

# Decision Procedures

## An Algorithmic Point of View

### Equalities and Uninterpreted Functions

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## Part III

Equalities and Uninterpreted Functions

## ① Introduction to Equality Logic

- Definition, complexity

## ② Reducing uninterpreted functions to Equality Logic

## ③ Using uninterpreted functions in proofs

## ④ Simplifications

- A Boolean combination of Equalities and Propositions

$$x_1 = x_2 \wedge (x_2 = x_3 \vee \neg((x_1 = x_3) \wedge b \wedge x_1 = 2))$$

- We always push negations inside (NNF):

$$x_1 = x_2 \wedge (x_2 = x_3 \vee ((x_1 \neq x_3) \wedge \neg b \wedge x_1 \neq 2))$$

*formula* : *formula*  $\vee$  *formula*  
|  $\neg$ *formula*  
| *atom*

*atom* : *term-variable* = *term-variable*  
| *term-variable* = *constant*  
| *Boolean-variable*

- The *term-variables* are defined over some (possibly infinite) domain.  
The constants are from the same domain.
- The set of Boolean variables is always separate from the set of term variables

- Allows more natural description of systems, although technically it is as expressive as Propositional Logic.
- Obviously NP-hard.
- In fact, it is in NP, and hence NP-complete, for reasons we shall see later.

*formula* : *formula*  $\vee$  *formula*

|  $\neg$ *formula*

| *atom*

*atom* : *term* = *term*

| Boolean-variable

*term* : term-variable

| function (list of terms)

The *term-variables* are defined over some (possible infinite) domain.  
Constants are functions with an empty list of terms.

- Every function is a mapping from a domain to a range.
- Example: the ' $+$ ' function over the naturals  $\mathbb{N}$  is a mapping from  $\langle \mathbb{N} \times \mathbb{N} \rangle$  to  $\mathbb{N}$ .

- Suppose we replace ' $+$ ' by an uninterpreted binary function  $f(a, b)$
- Example:

$x_1 + x_2 = x_3 + x_4$  is replaced by  $f(x_1, x_2) = f(x_3, x_4)$

- We lost the 'semantics' of ' $+$ ', as  $f$  can represent **any binary function**.
- 'Loosing the semantics' means that  $f$  is not restricted by any axioms or rules of inference.
- But  $f$  is still a function!

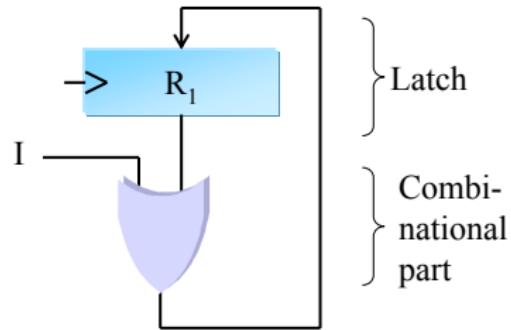
- The most general axiom for any function is **functional consistency**.
- Example: if  $x = y$ , then  $f(x) = f(y)$  for any function  $f$ .
- Functional consistency axiom schema:

$$x_1 = x'_1 \wedge \dots \wedge x_n = x'_n \implies f(x_1, \dots, x_n) = f(x'_1, \dots, x'_n)$$

- Sometimes, functional consistency is all that is needed for a proof.

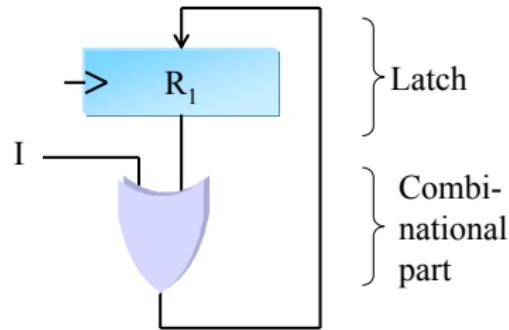
## Example: Circuit Transformations

- Circuits consist of combinational gates and latches (registers)



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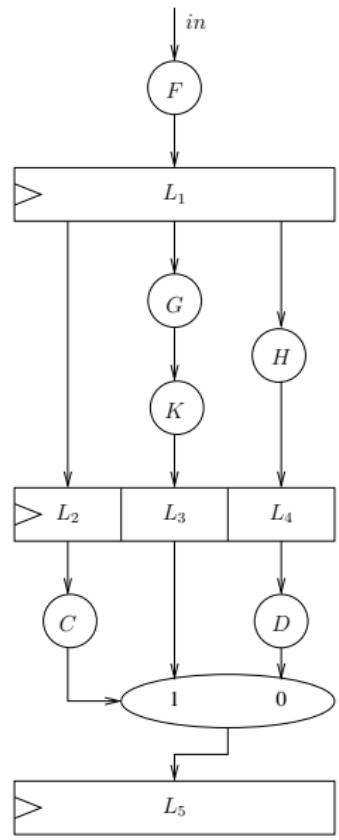
- Circuits consist of combinational gates and latches (registers)
- The combinational gates can be modeled using functions
- The latches can be modeled with variables



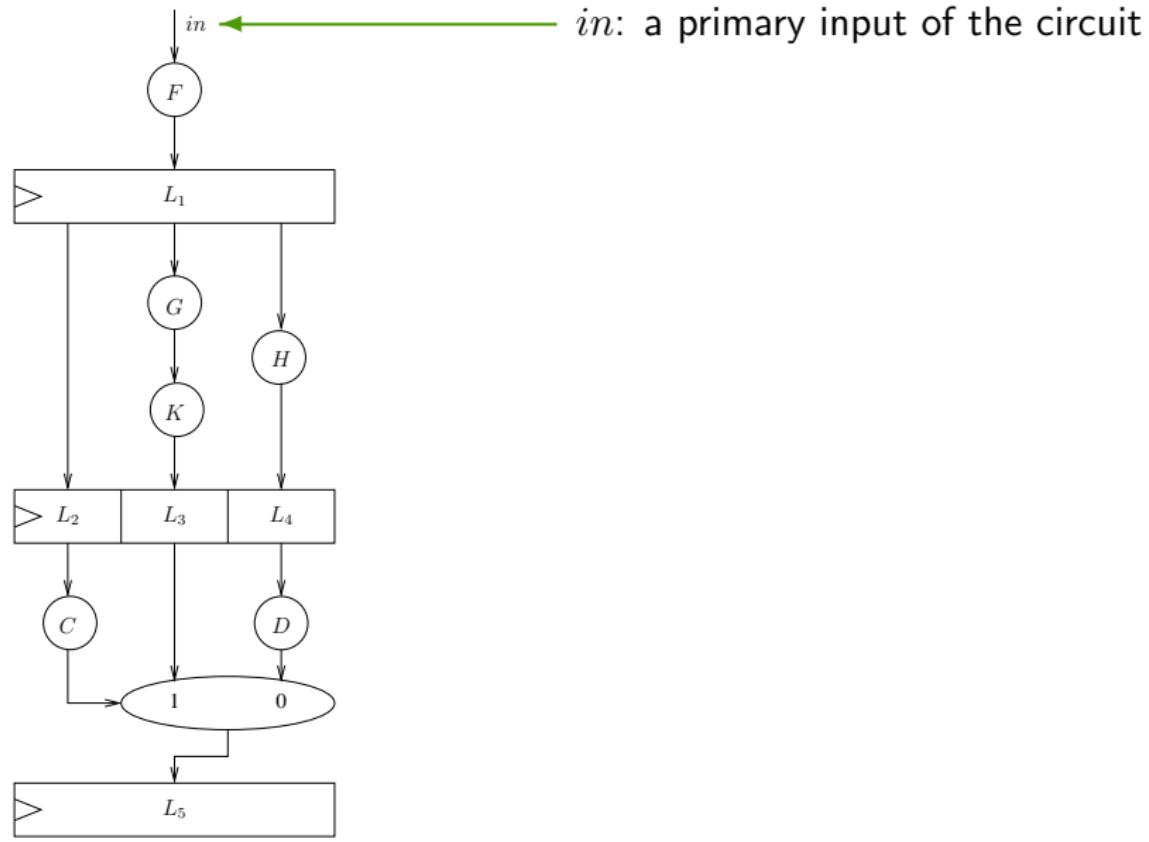
$$f(x, y) := x \vee y$$

$$R'_1 = f(R_1, I)$$

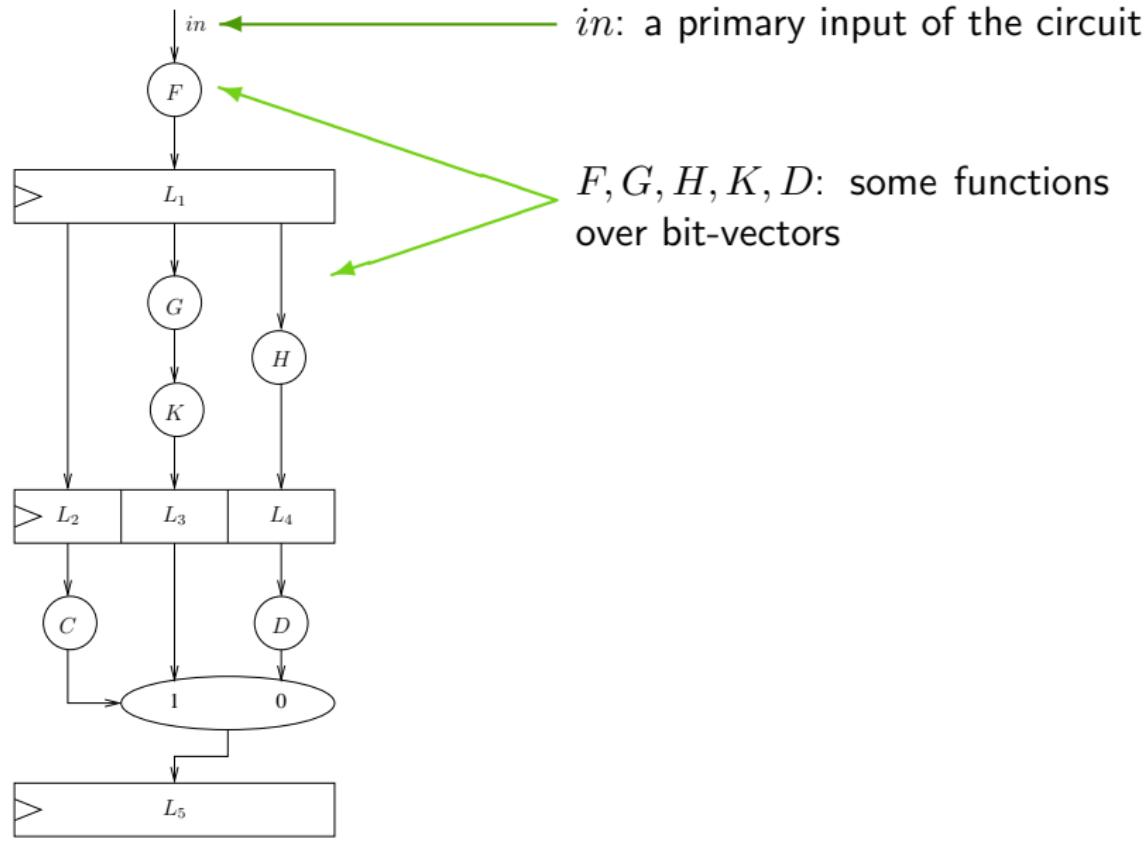
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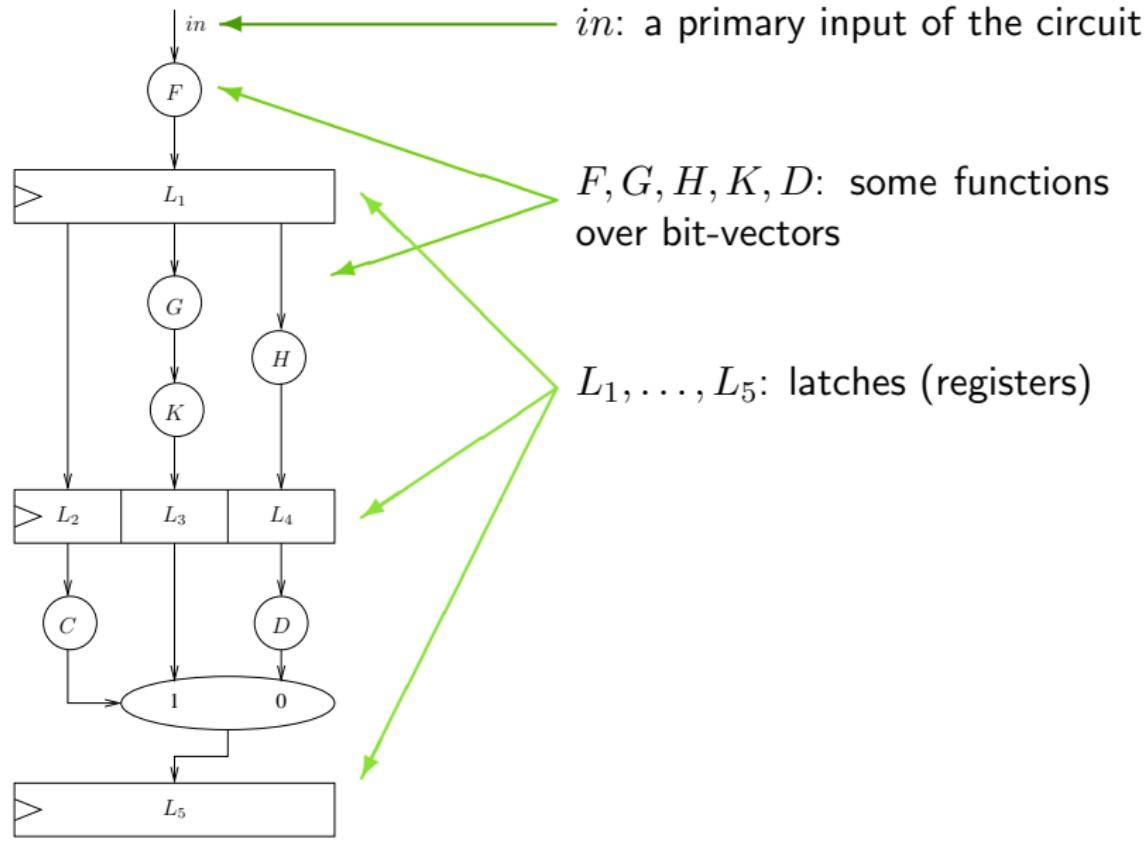
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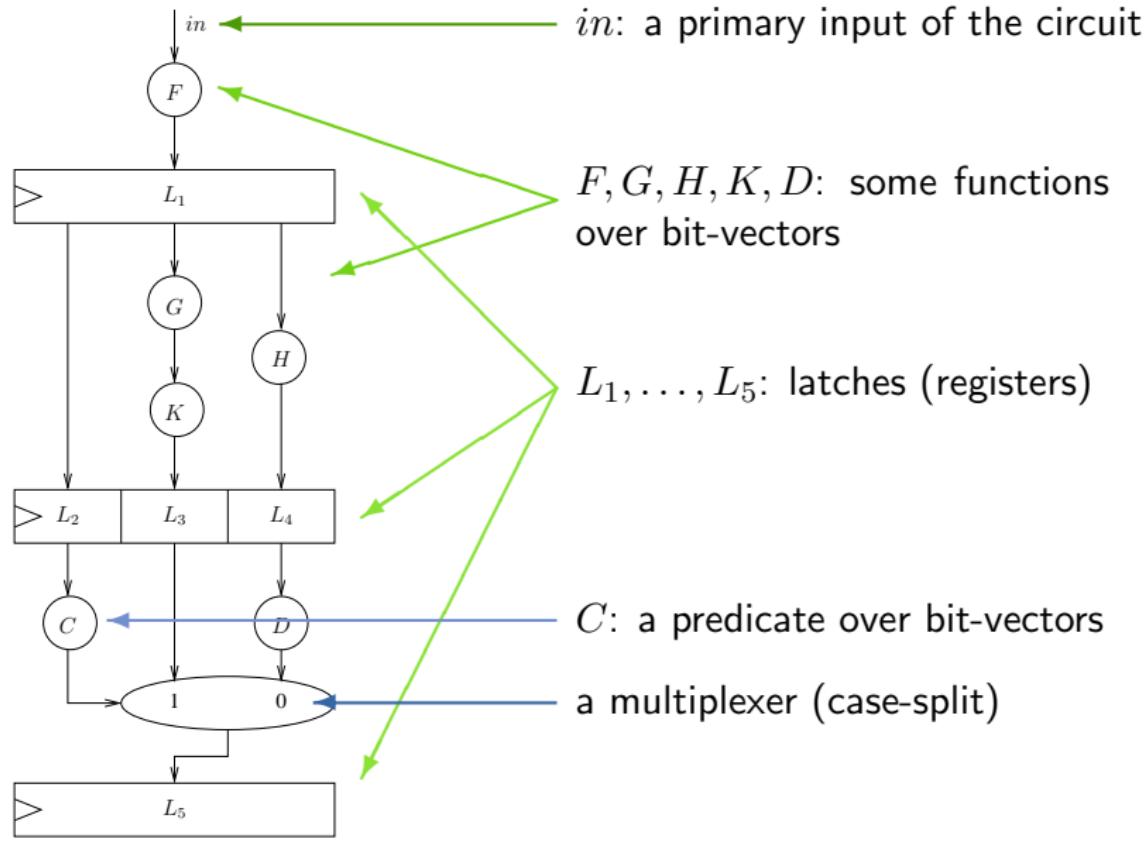
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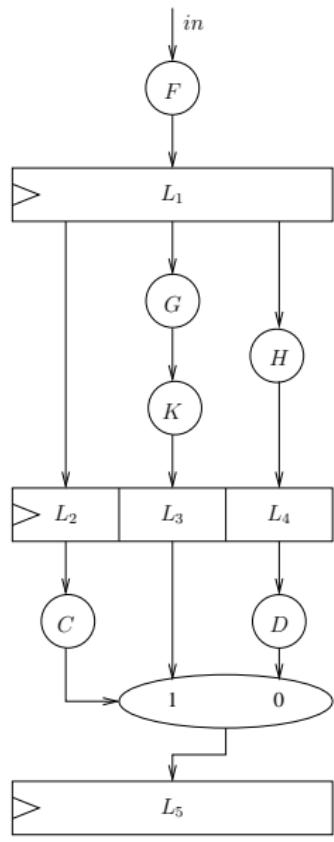
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- A pipeline processes data in *stages*
- Data is processed in parallel – as in an assembly line
- Formal model:

$$L_1 = f(I)$$

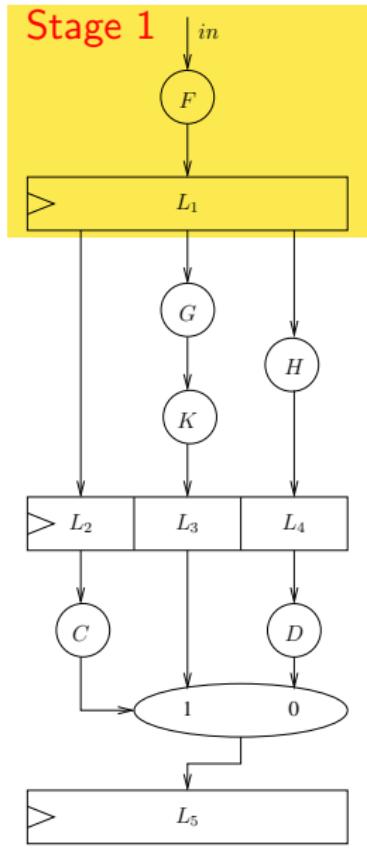
$$L_2 = L_1$$

$$L_3 = k(g(L_1))$$

$$L_4 = h(L_1)$$

$$L_5 = c(L_2) ? L_3 : l(L_4)$$

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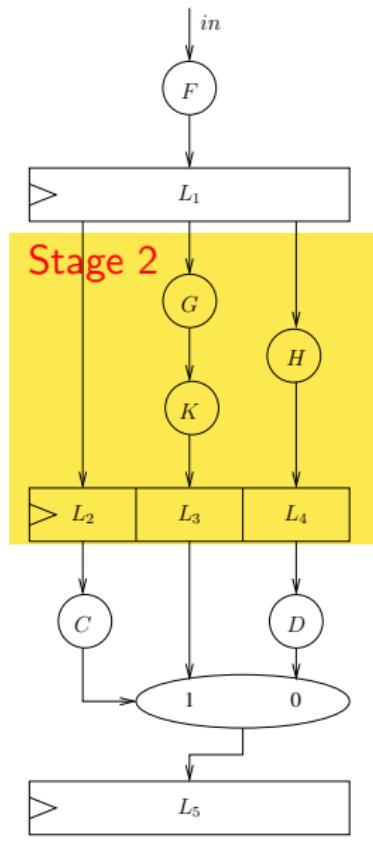
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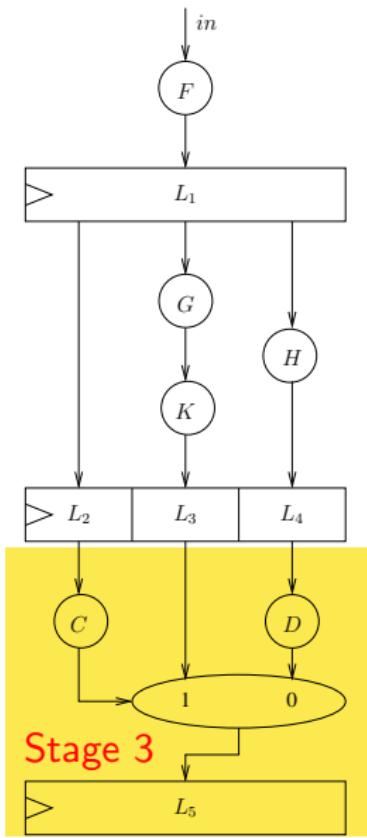
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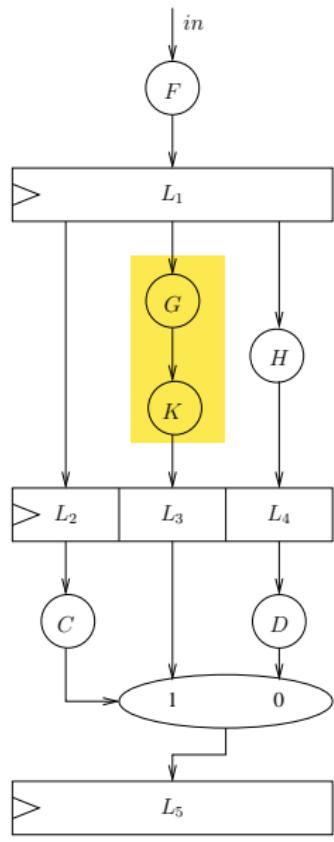
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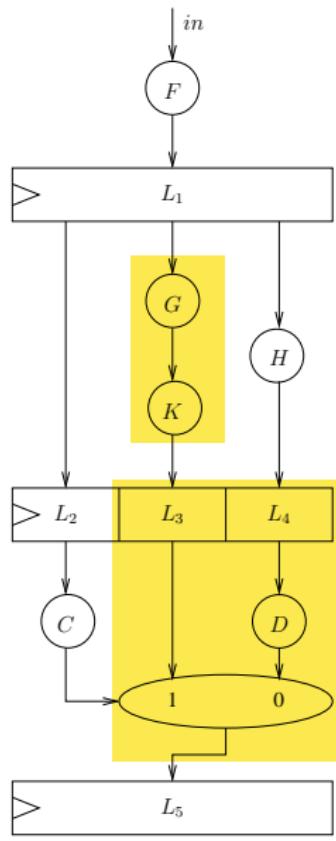
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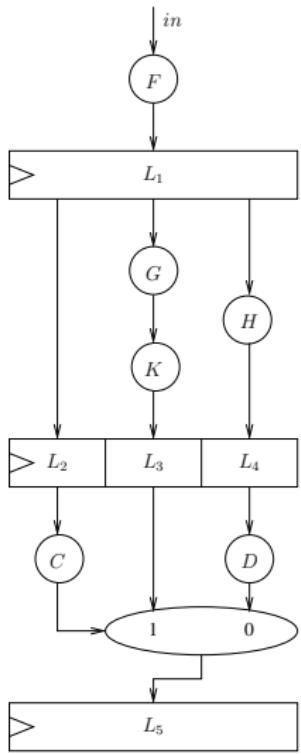
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- Note that the output of *g* is used as input to *k*
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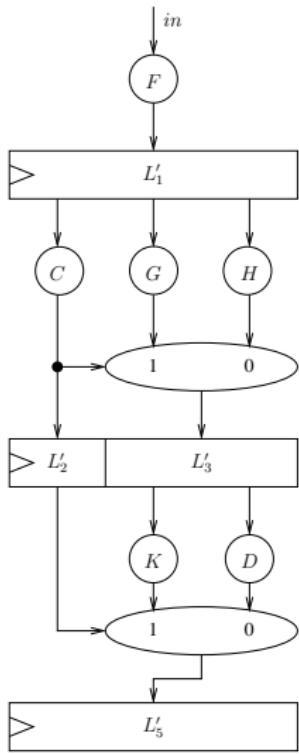


- The maximum clock frequency depends on the **longest path** between two latches
  - Note that the output of  $g$  is used as input to  $k$
  - We want to speed up the design by postponing  $k$  to the third stage
  - Also note that the circuit only uses one of  $L_3$  or  $L_4$ , never both
- ⇒ We can remove one of the latches

## Example: Circuit Transformations



?



## Example: Circuit Transformations

$$L_1 = f(I)$$

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$$L'_1 = f(I)$$

$$L'_2 = c(L'_1)$$

$$L'_3 = c(L'_1) ? g(L'_1) : h(L'_1)$$

$$L'_5 = L'_2 ? k(L'_3) : l(L'_3)$$

$$L_5 \stackrel{?}{=} L'_5$$

## Example: Circuit Transformations

$$\begin{array}{ll} L_1 &= f(I) \\ L_2 &= L_1 \\ L_3 &= k(g(L_1)) \\ L_4 &= h(L_1) \\ L_5 &= c(L_2) ? L_3 : l(L_4) \end{array} \qquad \begin{array}{ll} L'_1 &= f(I) \\ L'_2 &= c(L'_1) \\ L'_3 &= c(L'_1) ? g(L'_1) : h(L'_1) \\ L'_5 &= L'_2 ? k(L'_3) : l(L'_3) \end{array}$$

$$L_5 \stackrel{?}{=} L'_5$$

- Equivalence in this case holds **regardless of the actual functions**
- Conclusion: can be decided using *Equality Logic and Uninterpreted Functions*

- Given: a formula  $\varphi^{UF}$  with uninterpreted functions
- For each function in  $\varphi^{UF}$ :
  - Number function instances  $\longrightarrow F_2(F_1(x)) = 0$   
(from the inside out)

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2. Replace each function instance with a new variable  $\longrightarrow f_2 = 0$

3. Add functional consistency constraint to  $\varphi^{UF}$  for every pair of instances of the same function.  
 $\longrightarrow ((x = f_1) \longrightarrow (f_2 = f_1))$   
 $\longrightarrow f_2 = 0$

Suppose we want to check

$$x_1 \neq x_2 \vee F(x_1) = F(x_2) \vee F(x_1) \neq F(x_3)$$

for validity.

- ① First number the function instances:

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- ② Replace each function with a new variable:

$$x_1 \neq x_2 \vee f_1 = f_2 \vee f_1 \neq f_3$$

- ③ Add **functional consistency** constraints:

$$\left( \begin{array}{l} (x_1 = x_2 \rightarrow f_1 = f_2) \quad \wedge \\ (x_1 = x_3 \rightarrow f_1 = f_3) \quad \wedge \\ (x_2 = x_3 \rightarrow f_2 = f_3) \end{array} \right) \rightarrow$$

$$((x_1 \neq x_2) \vee (f_1 = f_2) \vee (f_1 \neq f_3))$$

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$$F_i^* := \begin{pmatrix} \text{case } x_1 = x_i : f_1 \\ x_2 = x_i : f_2 \\ \vdots \\ x_{i-1} = x_i : f_{i-1} \\ \text{true} : f_i \end{pmatrix} \longrightarrow f_1 = \begin{pmatrix} \text{case } a = b : f_1 \\ \text{true} : f_2 \end{pmatrix}$$

- Original formula:

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## Example of Bryant's reduction

- Original formula:

$$a = b \rightarrow F(G(a)) = F(G(b))$$

- Number the instances:

$$a = b \rightarrow F_1(G_1(a)) = F_2(G_2(b))$$

- Replace each function application with an expression:

$$a = b \rightarrow F_1^* = F_2^*$$

where

$$\begin{aligned}F_1^* &= f_1 \\F_2^* &= \left( \begin{array}{ll} \text{case } & G_1^* = G_2^* : f_1 \\ & \text{true } : f_2 \end{array} \right)\end{aligned}$$

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- Uninterpreted functions give us the ability to represent an *abstract* view of functions.
- It **over-approximates** the concrete system.

$1 + 1 = 1$  is a contradiction

But

$F(1, 1) = 1$  is satisfiable!

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- Conclusion: unless we are careful, we can give wrong answers, and this way, loose soundness.

- In general, a **sound but incomplete** method is more useful than an **unsound but complete** method.
- A **sound but incomplete** algorithm for deciding a formula with uninterpreted functions  $\varphi^{UF}$ :
  - ① Transform it into Equality Logic formula  $\varphi^E$
  - ② If  $\varphi^E$  is unsatisfiable, return 'Unsatisfiable'
  - ③ Else return 'Don't know'

# Using uninterpreted functions in proofs

- Question #1: is this useful?

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- When the abstract view is sufficient for the proof, it **enables** (or at least simplifies) a **mechanical proof**.
  - So when is the abstract view sufficient?

- (common) Proving equivalence between:
  - Two versions of a hardware design (one with and one without a pipeline)
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  - Two versions of a hardware design (one with and one without a pipeline)
  - Source and target of a compiler ("Translation Validation")
- (rare) Proving properties that do not rely on the exact functionality of some of the functions

## Example: Translation Validation

- Assume the source program has the statement

$$z = (x_1 + y_1) \cdot (x_2 + y_2);$$

which the compiler turned into:

$$\begin{aligned} u_1 &= x_1 + y_1; \\ u_2 &= x_2 + y_2; \\ z &= u_1 \cdot u_2; \end{aligned}$$

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- We need to prove that:

$$\begin{aligned} &(u_1 = x_1 + y_1 \wedge u_2 = x_2 + y_2 \wedge z = u_1 \cdot u_2) \\ \longrightarrow \quad &(z = (x_1 + y_1) \cdot (x_2 + y_2)) \end{aligned}$$

## Example: Translation Validation

- Claim:  $\varphi^{UF}$  is valid
- We will prove this by reducing it to an Equality Logic formula

$$\varphi^E = \left( \begin{array}{l} (x_1 = x_2 \wedge y_1 = y_2 \longrightarrow f_1 = f_2) \wedge \\ (u_1 = f_1 \wedge u_2 = f_2 \longrightarrow g_1 = g_2) \end{array} \right) \longrightarrow \\ ((u_1 = f_1 \wedge u_2 = f_2 \wedge z = g_1) \longrightarrow z = g_2)$$

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- Bad: almost all other cases
- Example:

<u>Left</u>	<u>Right</u>
$x + x$	$2x$

- This is easy to prove:

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$$(x_1 + y_1 = y_1 + x_1) \wedge (\textcolor{green}{x_2 + y_2 = y_2 + x_2})$$

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- What about *other cases*?

Use more rewriting rules!

## Example: equivalence of C programs (1/4)

```
int power3(int in) {  
    out = in;  
    for(i=0; i<2; i++)  
        out = out * in;  
    return out;  
}  
  
int power3_new(int in) {  
    out = (in*in)*in;  
    return out;  
}
```

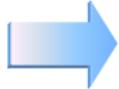
---

- These two functions return the same value regardless if it is '\*' or any other function.
- *Conclusion:* we can prove equivalence by replacing '\*' with an uninterpreted function

- But first we need to know how to turn programs into equations.
- There are several options – we will see **static single assignment** for bounded programs.

## Static Single Assignment (SSA) form

- → see compiler class
- Idea: **Rename variables** such that each variable is assigned **exactly once**

Example:     $x = x + y;$      $x = x * 2;$      $a[i] = 100;$          $x_1 = x_0 + y_0;$   
                                 $x_2 = x_1 * 2;$   
                                 $a_1[i_0] = 100;$

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- Read assignments as **equalities**
  - Generate constraints by simply **conjoining** these equalities

Example:  $x_1 = x_0 + y_0;$   
 $x_2 = x_1 * 2;$   
 $a_1[i_0] = 100;$



$x_1 = x_0 + y_0 \quad \wedge$   
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What about if? Branches are handled using  $\phi$ -nodes.

```
int main() {
    int x, y, z;
    y=8;
    if(x)
        y--;
    else
        y++;
    z=y+1;
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int main() {  
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    y1=8;  
  
    if(x0)  
        y2=y1-1;  
    else  
        y3=y1+1;  
  
    y4= $\phi$ (y2, y3);  
  
    z1=y4+1;  
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```

$$\begin{aligned} y_1 &= 8 & \wedge \\ y_2 &= y_1 - 1 & \wedge \\ y_3 &= y_1 + 1 & \wedge \\ y_4 &= & \\ & (x_0 \neq 0 ? y_2 : y_3) \wedge \\ z_1 &= y_4 + 1 \end{aligned}$$

What about loops?

→ We **unwind** them!

```
void f(...) {  
    ...  
    while(cond) {  
        BODY;  
    }  
    ...  
    Remainder;  
}
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Some caveats:

- Unwind **how many times?**
- Must preserve locality of variables declared inside loop

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There is a tool available that does this

- CBMC – **C Bounded Model Checker**
- Bound is verified using **unwinding assertions**
- Used frequently for embedded software  
→ Bound is a **run-time guarantee**
- Integrated into Eclipse
- Decision problem can be exported

# SSA for bounded programs: CBMC

The screenshot shows the Eclipse IDE interface with the following components:

- Navigator View:** Shows a project named "demo" containing several test cases like "bounds.tsk", "int\_overflow.tsk", etc.
- Editor View:** Displays the C code for "md2\_bounds.tsk". The code includes a main loop for encrypting a block of 16 bytes using a key and state array.
- Table View:** Titled "Claims - SATAB5 - md2\_bounds.tsk", it lists memory access bounds and their descriptions. The columns are: File, Property, Description, and Expression.
- Log View:** Shows the command-line output of the CBMC run, including Cadence SMV simulation details and CEGAR loop iteration.

File	Property	Description	Expression
R md2_bounds.c	bounds	array 'x' upper bound	$32 + i < 48$
✓ md2_bounds.c	array bound	dereference failure: array 'state' lower bound	$10 < 0 \quad    \quad !(c::md2_bounds::MD2Transform::!(c::md2_bounds::MD2Transform::!0 < 0) \quad    \quad !(c::md2_bounds::MD2Transform::!(c::md2_bounds::MD2Transform::$
✓ md2_bounds.c	array bound	dereference failure: array 'state' upper bound	$10 < 0 \quad    \quad !(c::md2_bounds::MD2Transform::!0 < 0) \quad    \quad !(c::md2_bounds::MD2Transform::!(c::md2_bounds::MD2Transform::$
R md2_bounds.c	array bound	dereference failure: array 'block' lower bound	$10 < 0 \quad    \quad !(c::md2_bounds::MD2Transform::!0 < 0) \quad    \quad !(c::md2_bounds::MD2Transform::!(c::md2_bounds::MD2Transform::$
R md2_bounds.c	array bound	dereference failure: array 'block' upper bound	$10 < 0 \quad    \quad !(c::md2_bounds::MD2Transform::!0 < 0) \quad    \quad !(c::md2_bounds::MD2Transform::!(c::md2_bounds::MD2Transform::$
W md2_bounds.c	bounds	array 'PI_SUBST' upper bound	TRUE
W md2_bounds.c	bounds	array 'x' upper bound	$t < 256$
W md2_bounds.c	bounds	array 'PI_SUBST' upper bound	TRUE
W md2_bounds.c	array bound	dereference failure: array 'block' lower bound	$!0 < 0 \quad    \quad !(c::md2_bounds::MD2Transform::!0 < 0 \quad    \quad !(c::md2_bounds::MD2Transform::!(c::md2_bounds::MD2Transform::$
W md2_bounds.c	array bound	dereference failure: array 'block' upper bound	$!0 < 0 \quad    \quad !(c::md2_bounds::MD2Transform::!0 < 0 \quad    \quad !(c::md2_bounds::MD2Transform::!(c::md2_bounds::MD2Transform::$
W md2_bounds.c	bounds	array 'PI SUBST' upper bound	$(t \wedge \text{funconst int}(*li + block)) < 0$

## Example: equivalence of C programs (2/4)

```
int power3(int in) {
    out = in;
    for(i=0; i<2; i++)
        out = out * in;
    return out;
}

int power3_new(int in) {
    out = (in*in)*in;
    return out;
}
```

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    out = (in*in)*in;  
    return out;  
}
```

---

Static single assignment (SSA) form:

$$out_1 = in \wedge$$

$$out_2 = out_1 * in \wedge$$

$$out_3 = out_2 * in$$

$$out'_1 = (in * in) * in$$

Prove that both functions return the same value:

$$out_3 = out'_1$$

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$$out'_1 = (in * in) * in$$

---

With uninterpreted functions:

$$out_1 = in \wedge$$

$$out_2 = F(out_1, in) \wedge$$

$$out_3 = F(out_2, in)$$

$$out'_1 = F(F(in, in), in)$$

## Example: equivalence of C programs (3/4)

Static single assignment (SSA) form:

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With numbered uninterpreted functions:

$$out_1 = in \wedge$$

$$out_2 = F_1(out_1, in) \wedge$$

$$out'_1 = F_4(F_3(in, in), in)$$

$$out_3 = F_2(out_2, in)$$

## Example: equivalence of C programs (4/4)

With numbered uninterpreted functions:

$$out_1 = in \wedge$$

$$out_2 = F_1(out_1, in) \wedge$$

$$out_3 = F_2(out_2, in)$$

$$out'_1 = F_4(F_3(in, in), in)$$

## Example: equivalence of C programs (4/4)

With numbered uninterpreted functions:

$$out_1 = in \wedge$$

$$out_2 = F_1(out_1, in) \wedge$$

$$out_3 = F_2(out_2, in)$$

$$out'_1 = F_4(F_3(in, in), in)$$

---

Ackermann's reduction:

$$out_1 = in \wedge$$

$$\varphi_a^E : \quad out_2 = f_1 \wedge$$

$$out_3 = f_2$$

$$\varphi_b^E : \quad out'_1 = f_4$$

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$$\varphi_a^E : out_2 = f_1 \wedge$$

$$out_3 = f_2$$

$$\varphi_b^E : out'_1 = f_4$$

---

The verification condition:

$$\left[ \begin{array}{l} (out_1 = out_2 \rightarrow f_1 = f_2) \wedge \\ (out_1 = in \rightarrow f_1 = f_3) \wedge \\ (out_1 = f_3 \rightarrow f_1 = f_4) \wedge \\ (out_2 = in \rightarrow f_2 = f_3) \wedge \\ (out_2 = f_3 \rightarrow f_2 = f_3) \wedge \\ (in = f_3 \rightarrow f_3 = f_4) \end{array} \right] \wedge \varphi_a^E \wedge \varphi_b^E \longrightarrow out_3 = out'_1$$

- Let  $n$  be the number of instances of  $F()$
- Both reduction schemes require  $O(n^2)$  comparisons
- This can be the *bottleneck* of the verification effort



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- Solution: try to *guess* the pairing of functions
- Still sound: wrong guess can only make a valid formula invalid

## Simplifications (1)

- Given  $x_1 = x'_1$ ,  $x_2 = x'_2$ ,  $x_3 = x'_3$ , prove  $\models o_1 = o_2$ .

$$o_1 = \underbrace{(x_1 + (a \cdot x_2))}_{f_1} \wedge a = \underbrace{x_3 + 5}_{f_2} \quad \text{Left}$$

$$o_2 = \underbrace{(x'_1 + (b \cdot x'_2))}_{f_3} \wedge b = \underbrace{x'_3 + 5}_{f_4} \quad \text{Right}$$

- 4 function instances  $\rightarrow$  6 comparisons

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- 4 function instances  $\rightarrow$  6 comparisons
- Guess: validity does not rely on  $f_1 = f_2$  or on  $f_3 = f_4$
- Idea: only enforce functional consistency of pairs (**Left**, **Right**).

## Simplifications (2)

$$o_1 = \underbrace{(x_1 + (a \cdot x_2))}_{f_1} \wedge a = \underbrace{x_3 + 5}_{f_2} \quad \text{Left}$$



$$o_2 = \underbrace{(x'_1 + (b \cdot x'_2))}_{f_3} \wedge b = \underbrace{x'_3 + 5}_{f_4} \quad \text{Right}$$

- Down to 4 comparisons!

## Simplifications (2)

$$o_1 = \underbrace{(x_1 + (a \cdot x_2))}_{f_1} \wedge a = \underbrace{x_3 + 5}_{f_2}$$

Left



$$o_2 = \underbrace{(x'_1 + (b \cdot x'_2))}_{f_3} \wedge b = \underbrace{x'_3 + 5}_{f_4}$$

Right

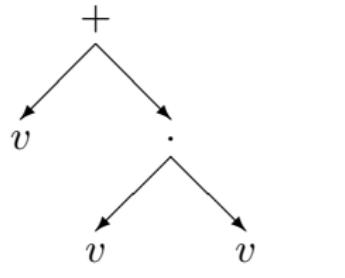
- Down to 4 comparisons!
- Another guess: equivalence only depends on  $f_1 = f_3$  and  $f_2 = f_4$
- *Pattern matching* may help here

## Simplifications (3)

$$o_1 = (\underbrace{x_1 + (a \cdot x_2)}_{f_1}) \wedge a = \underbrace{x_3 + 5}_{f_2} \quad \text{Left}$$

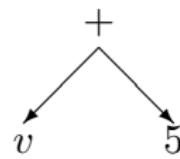
$$o_2 = (\underbrace{x'_1 + (b \cdot x'_2)}_{f_3}) \wedge b = \underbrace{x'_3 + 5}_{f_4} \quad \text{Right}$$

Match according  
to patterns  
('signatures')



Down to 2 comparisons!

$f_1, f_3$



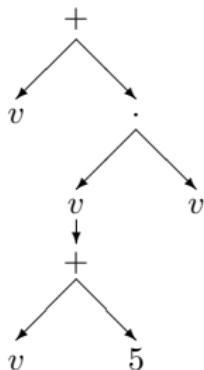
$f_2, f_4$

## Simplifications (4)

$$o_1 = \underbrace{(x_1 + (a \cdot x_2))}_{f_1} \wedge a = \underbrace{x_3 + 5}_{f_2} \quad \text{Left}$$

$$o_2 = \underbrace{(x'_1 + (b \cdot x'_2))}_{f_3} \wedge b = \underbrace{x'_3 + 5}_{f_4} \quad \text{Right}$$

Substitute  
intermediate  
variables (in the  
example:  $a, b$ )

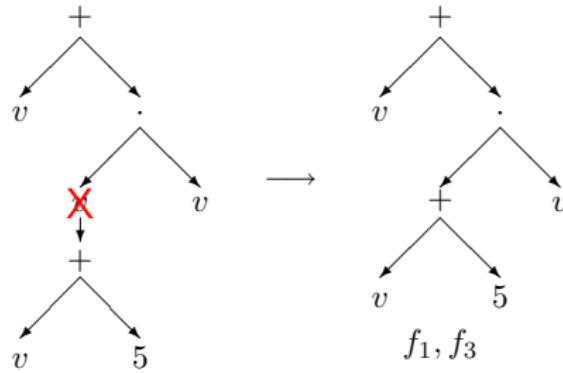


## Simplifications (4)

$$o_1 = \underbrace{(x_1 + (a \cdot x_2))}_{f_1} \wedge a = \underbrace{x_3 + 5}_{f_2} \quad \text{Left}$$

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Substitute  
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## The SSA example revisited (1)

With numbered uninterpreted functions:

$$out_1 = in \wedge$$

$$out_2 = F_1(out_1, in) \wedge$$

$$out_3 = F_2(out_2, in)$$

$$out'_1 = F_4(F_3(in, in), in)$$

## The SSA example revisited (1)

With numbered uninterpreted functions:

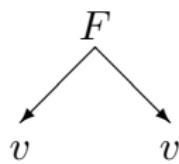
$$out_1 = in \wedge$$

$$out_2 = F_1(out_1, in) \wedge$$

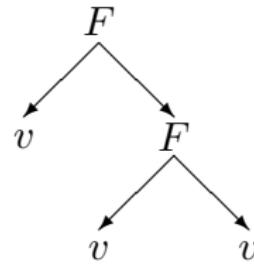
$$out_3 = F_2(out_2, in)$$

$$out'_1 = F_4(F_3(in, in), in)$$

Map  $F_1$  to  $F_3$ :



Map  $F_2$  to  $F_4$ :



## The SSA example revisited (2)

With numbered uninterpreted functions:

$$out_1 = in \wedge$$

$$out_2 = F_1(out_1, in) \wedge$$

$$out_3 = F_2(out_2, in)$$

$$out'_1 = F_4(F_3(in, in), in)$$

---

Ackermann's reduction:

$$out_1 = in \wedge$$

$$\varphi_a^E : \quad out_2 = f_1 \wedge$$

$$out_3 = f_2$$

$$\varphi_b^E : \quad out'_1 = f_4$$

---

The verification condition has *shrunk*:

$$\left[ \left( \begin{array}{l} (out_1 = in \longrightarrow f_1 = f_3) \quad \wedge \\ (out_2 = f_3 \longrightarrow f_2 = f_4) \end{array} \right) \wedge \varphi_a^E \wedge \varphi_b^E \right] \longrightarrow out_3 = out'_1$$

## Same example with Bryant's reduction

With numbered uninterpreted functions:

$$out_1 = in \wedge$$

$$out_2 = F_1(out_1, in) \wedge \quad out'_1 = F_4(F_3(in, in), in)$$

$$out_3 = F_2(out_2, in)$$

---

Bryant's reduction:

$$\varphi_a^E : \begin{array}{l} out_1 = in \wedge \\ out_2 = f_1 \wedge \\ out_3 = f_2 \end{array}$$

$$\varphi_b^E : out'_1 = \left( \begin{array}{ll} \text{case} & \left( \begin{array}{ll} \text{case} & in = out_1 : f_1 \\ \text{true} & : f_3 \end{array} \right) \\ \text{true} & = out_2 : f_2 \\ & : f_4 \end{array} \right)$$

---

The verification condition:

$$(\varphi_a^E \wedge \varphi_b^E) \longrightarrow out_3 = out'_1$$

## So is Equality Logic with UFs interesting?

- ① It is **expressible enough** to state something interesting.
- ② It is decidable and **more efficiently solvable** than richer logics, for example in which some functions are interpreted.
- ③ Models which rely on infinite-type variables are expressed **more naturally** in this logic in comparison with Propositional Logic.

