

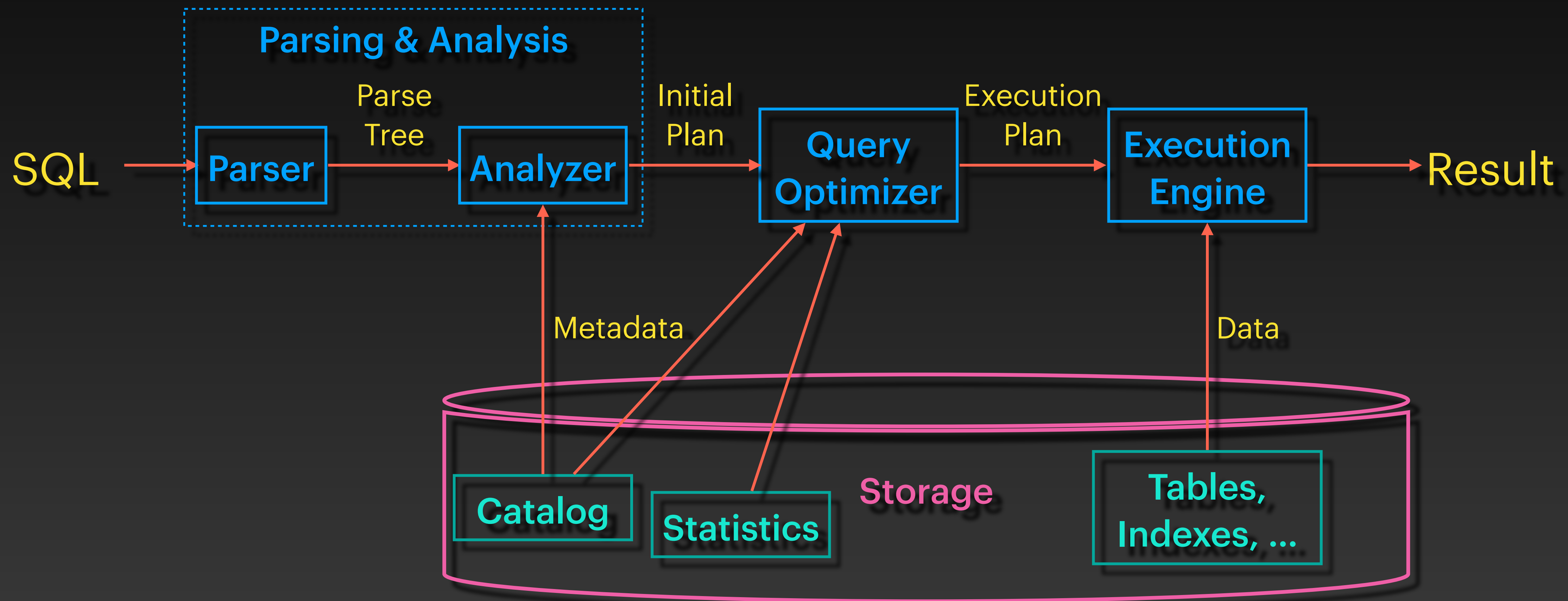
TECH
VAULT



SQL QUERY OPTIMIZER

AMR ELHELW

Query Engine



Employee (id, name, salary, dept_id)

5,000 rows, 500 pages
10 rows per page

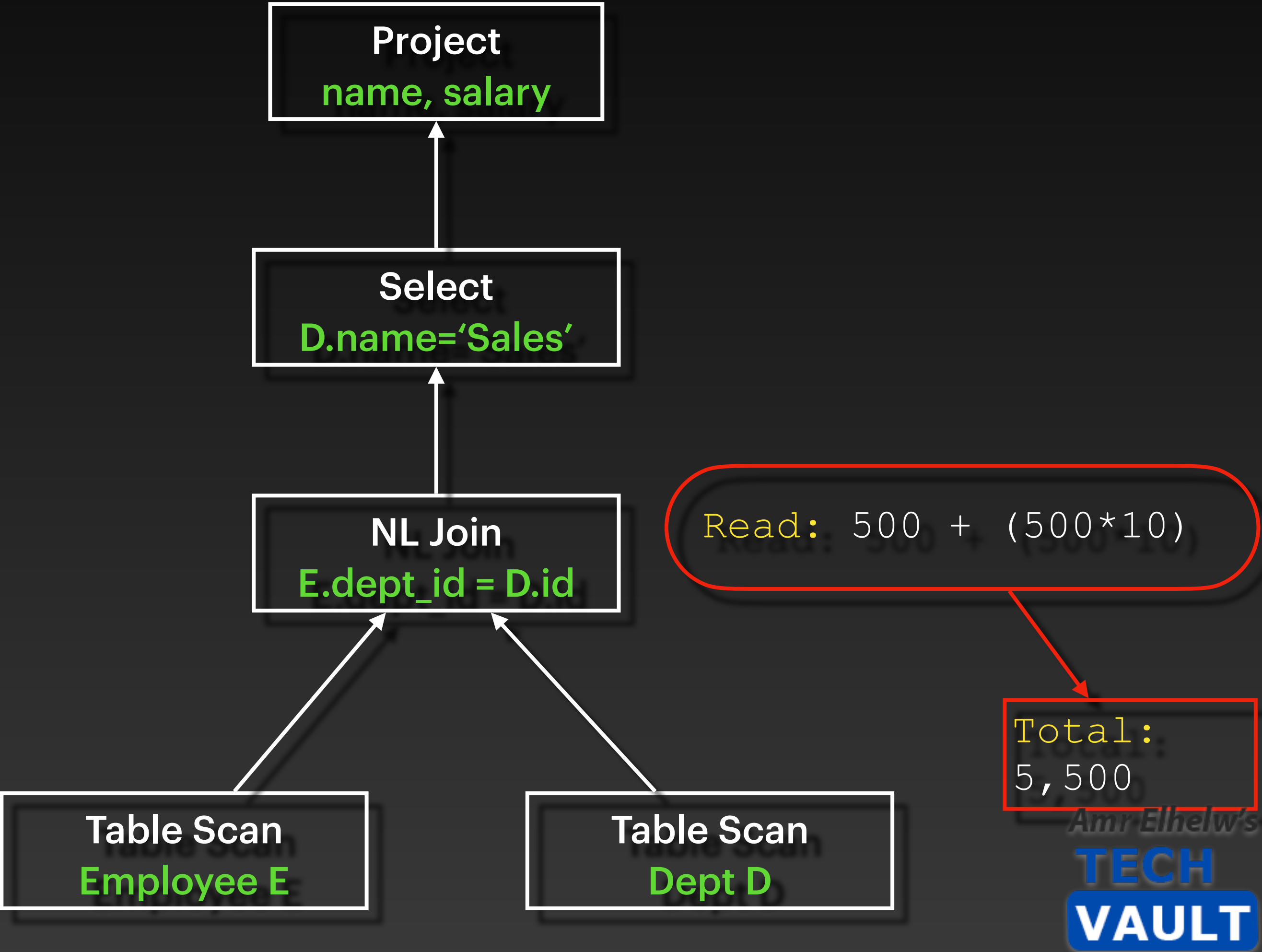
Index on (dept_id)

Dept (id, name, mgr, location)

100 rows, 10 pages
10 rows per page

Index on (name)

```
SELECT name, salary
FROM Employee E
JOIN Dept D ON E.dept_id = D.id
WHERE D.name = 'Sales'
```



Switch Join Order

Employee (id, name, salary, dept_id)

5,000 rows, 500 pages

10 rows per page

Index on (dept_id)

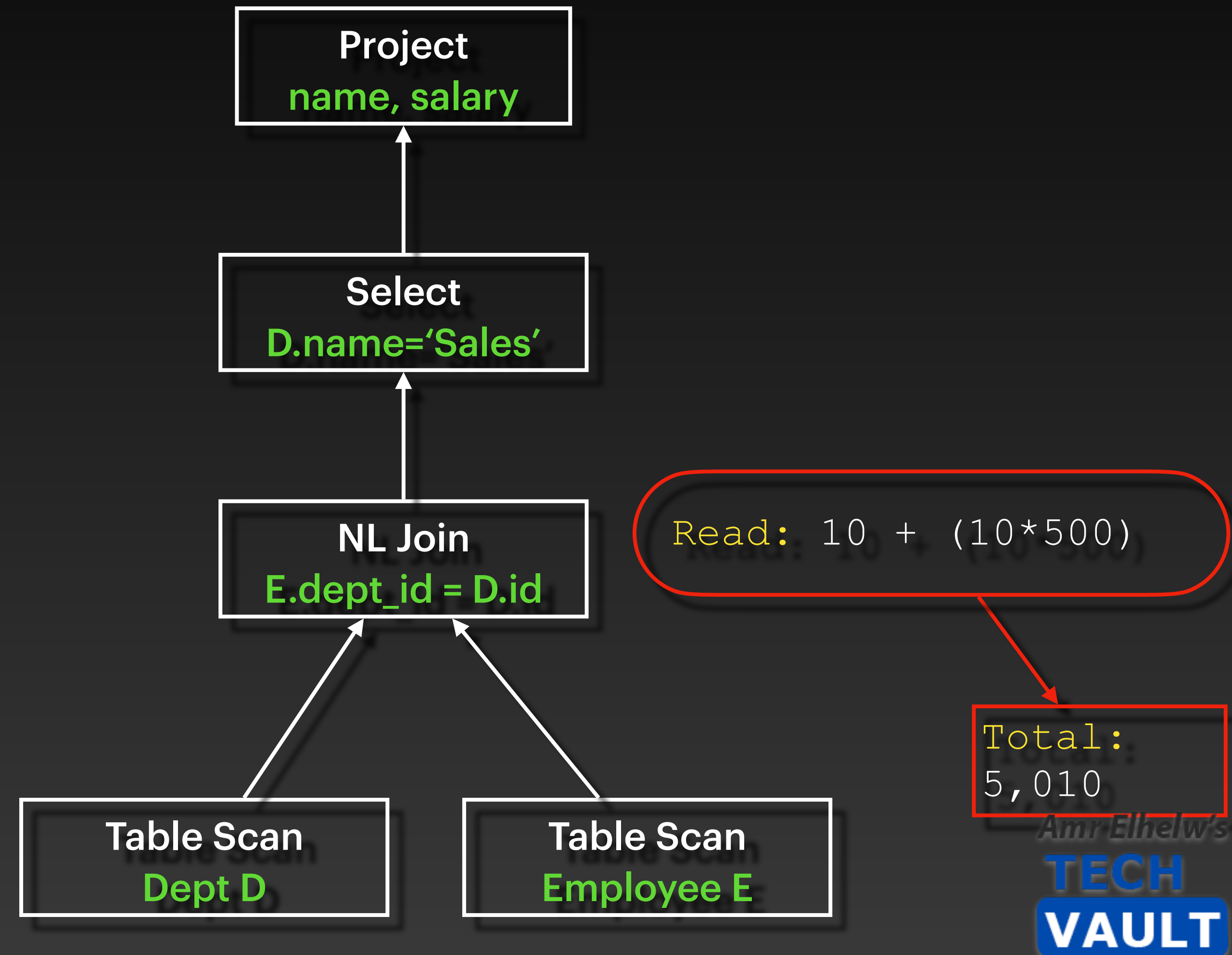
Dept (id, name, mgr, location)

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10 rows per page

Index on (name)

```
SELECT name, salary
FROM Employee E
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```



Push down selection

Employee (id, name, salary, dept_id)

5,000 rows, 500 pages

10 rows per page

Index on (dept_id)

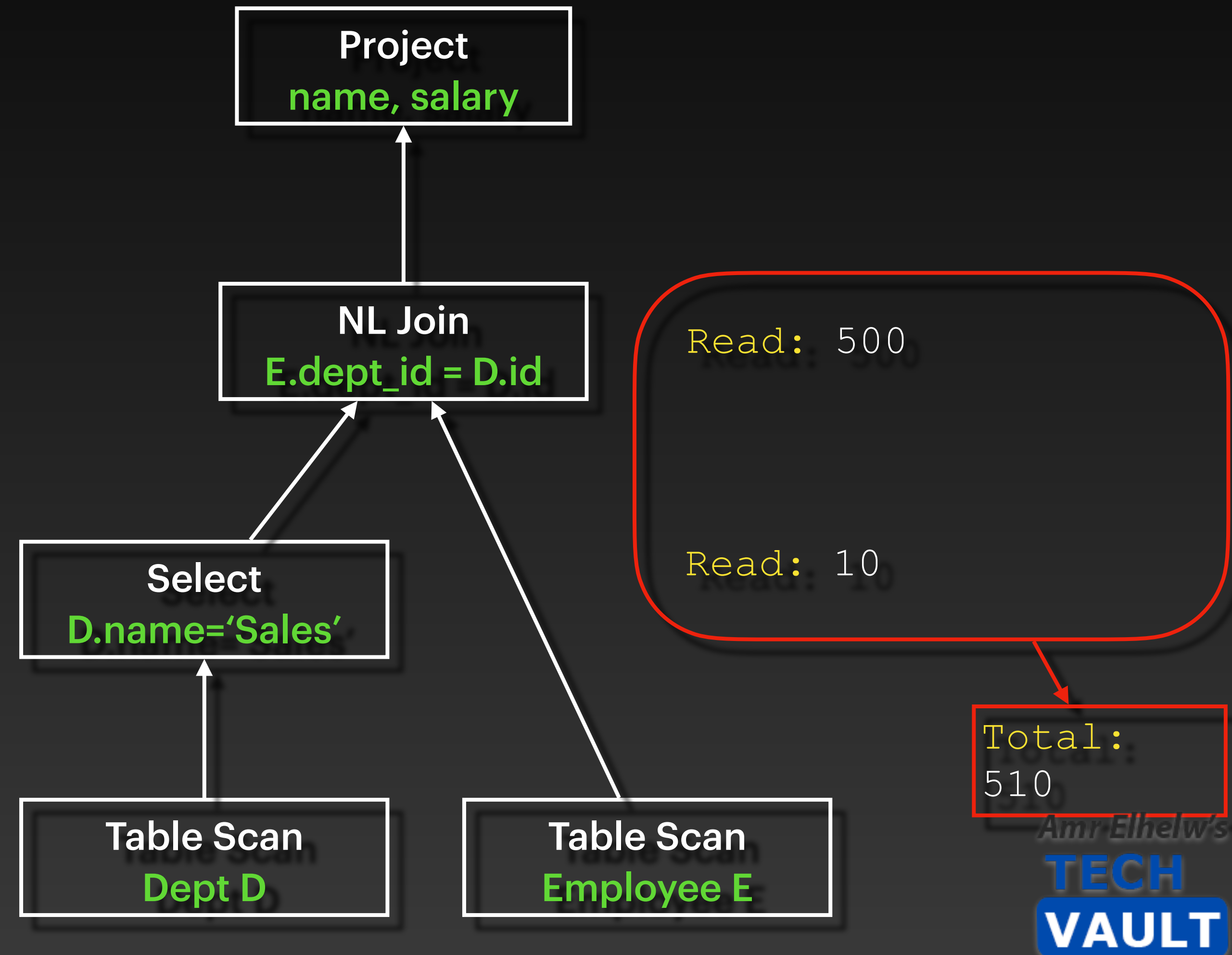
Dept (id, name, mgr, location)

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Index on (name)

```
SELECT name, salary
FROM Employee E
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```



Employee (id, name, salary, dept_id)

5,000 rows, 500 pages
10 rows per page

Index on (dept_id)

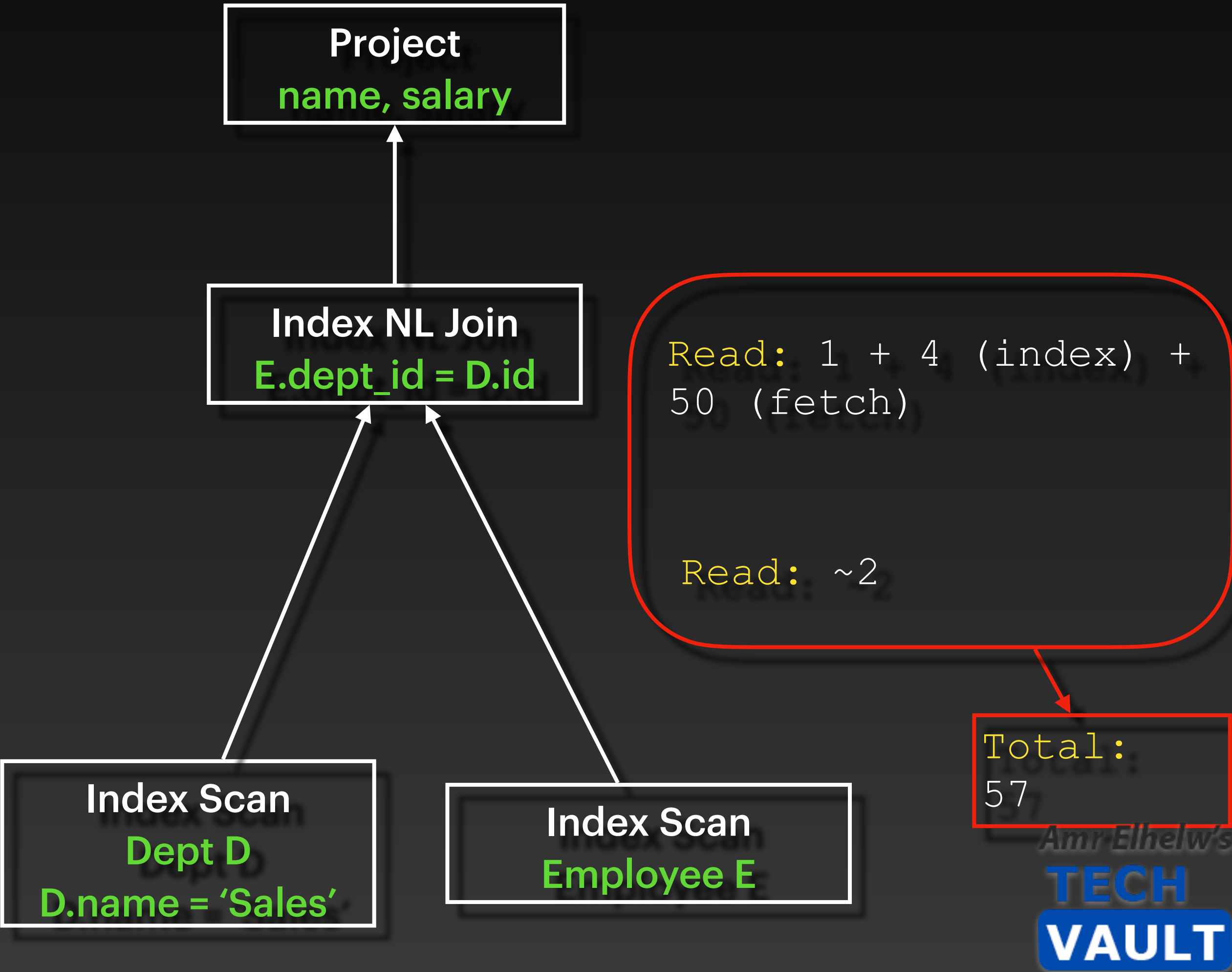
Dept (id, name, mgr, location)

100 rows, 10 pages
10 rows per page

Index on (name)

```
SELECT name, salary
FROM Employee E
JOIN Dept D ON E.dept_id = D.id
WHERE D.name = 'Sales'
```

Use index on name & Index NL Join



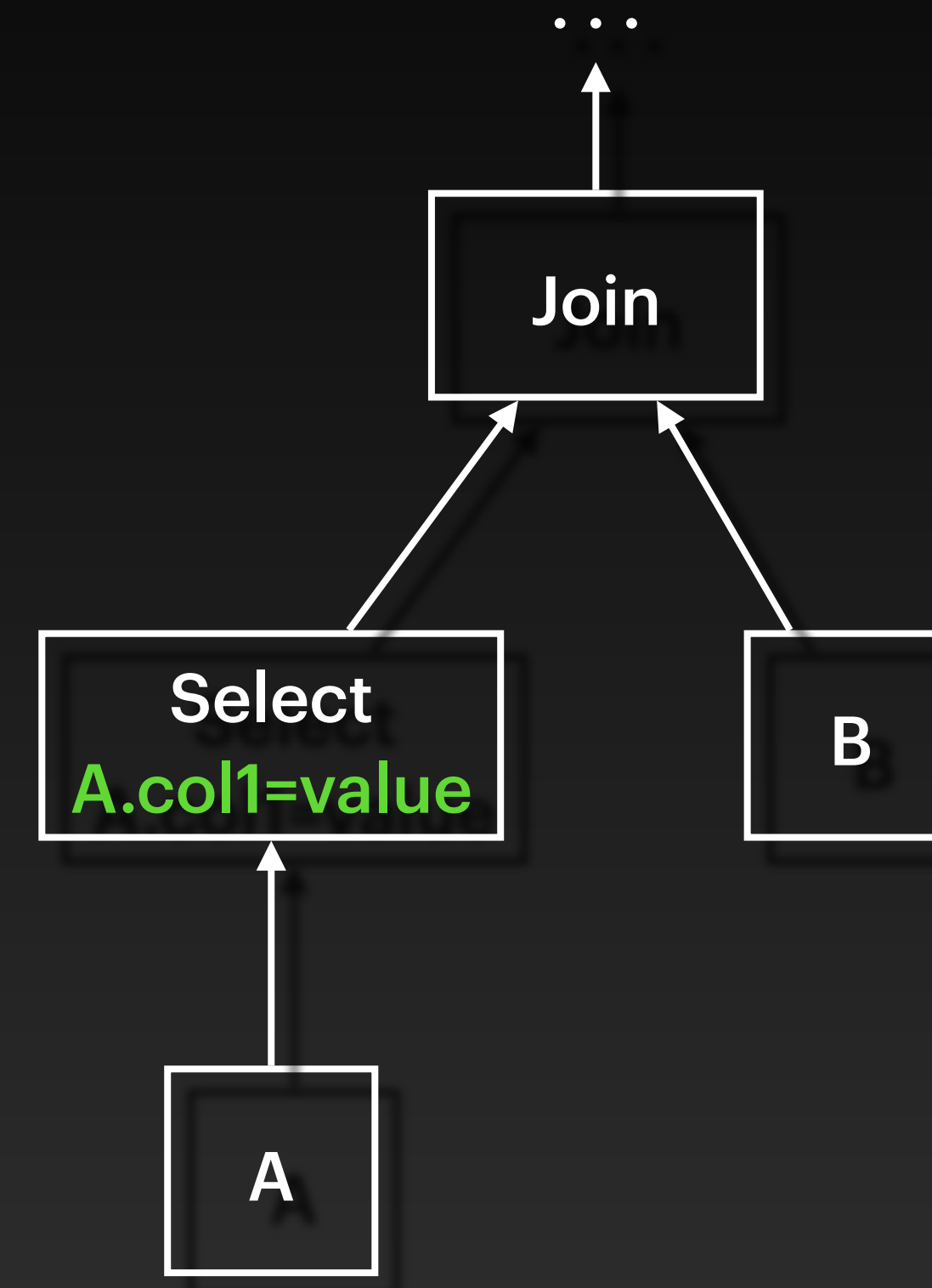
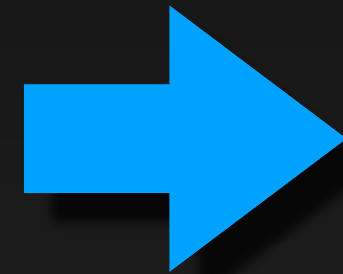
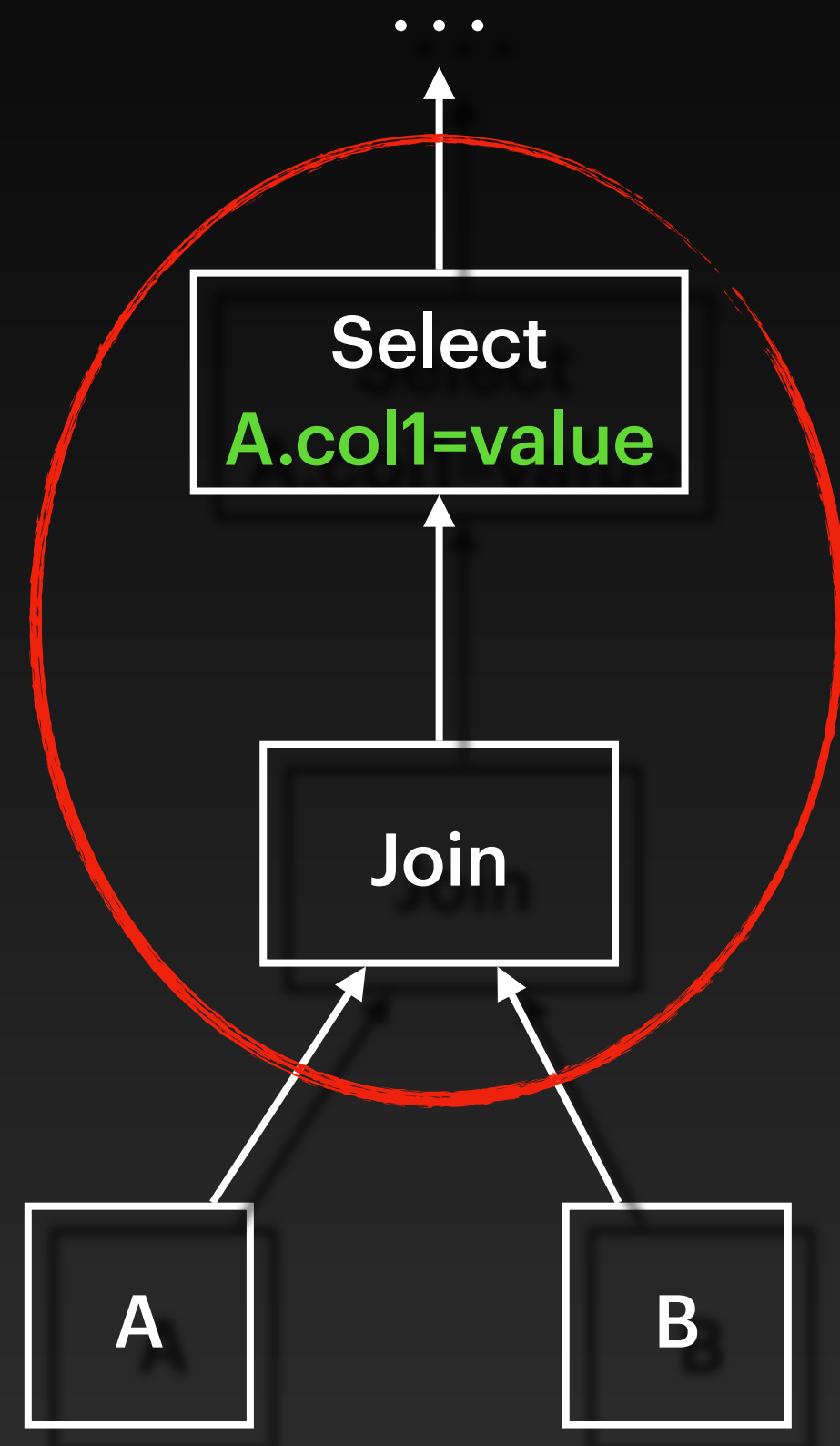
Logical Nodes

- An **abstract** operation - cannot be executed
- Corresponds to relational algebra
- E.g.: **Join, Scan, Aggregation**, etc.

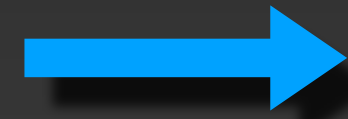
Physical Nodes

- An **concrete** algorithm/implementation
- Can be executed, has a **cost function**
- E.g.: **NL Join, Hash Join, Full scan, Index Scan, Sort- and Hash-based Aggregation**, etc.

Filter pushdown



... ($\sigma_{A.col1=value}(A \bowtie B)$)



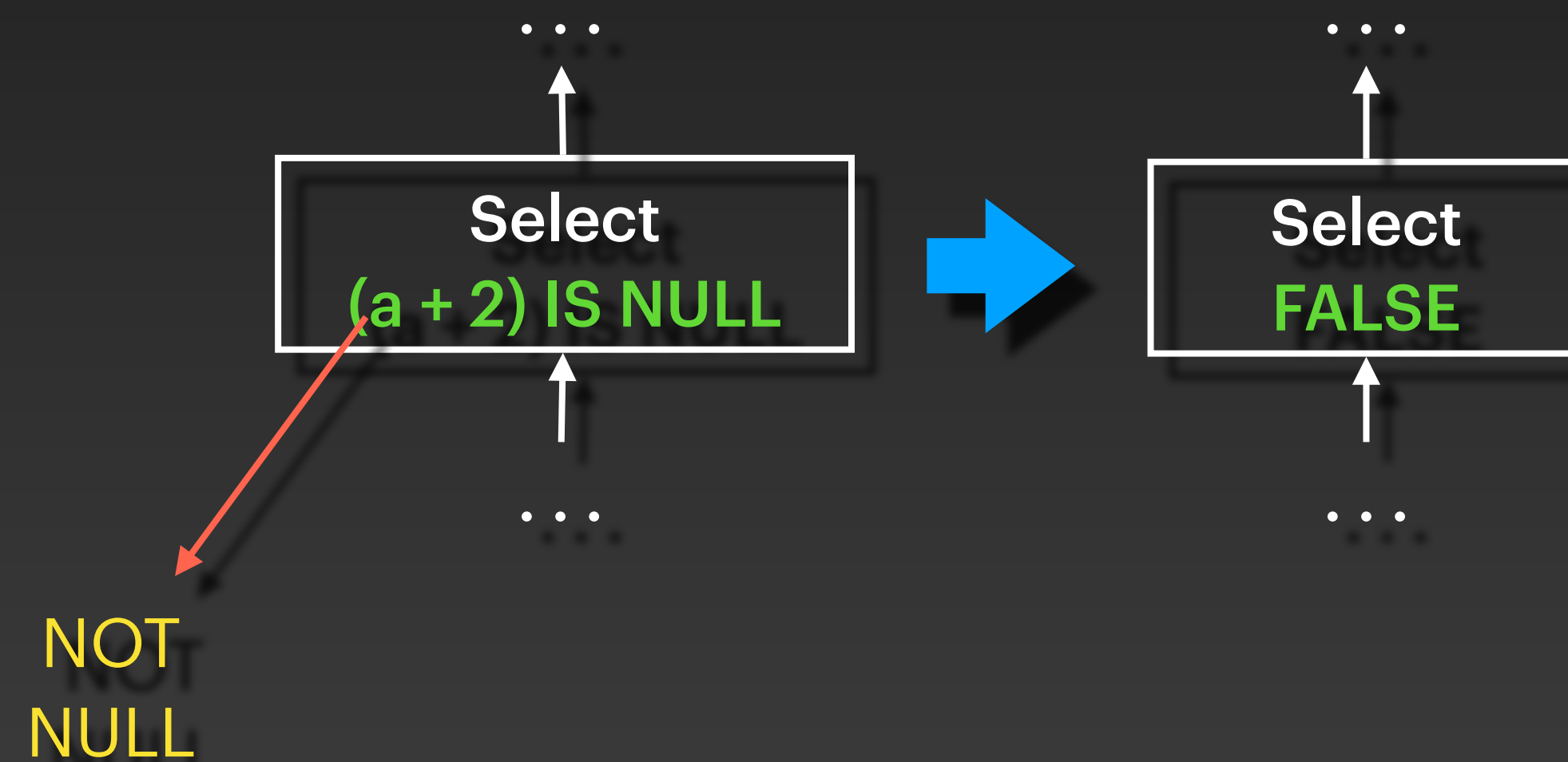
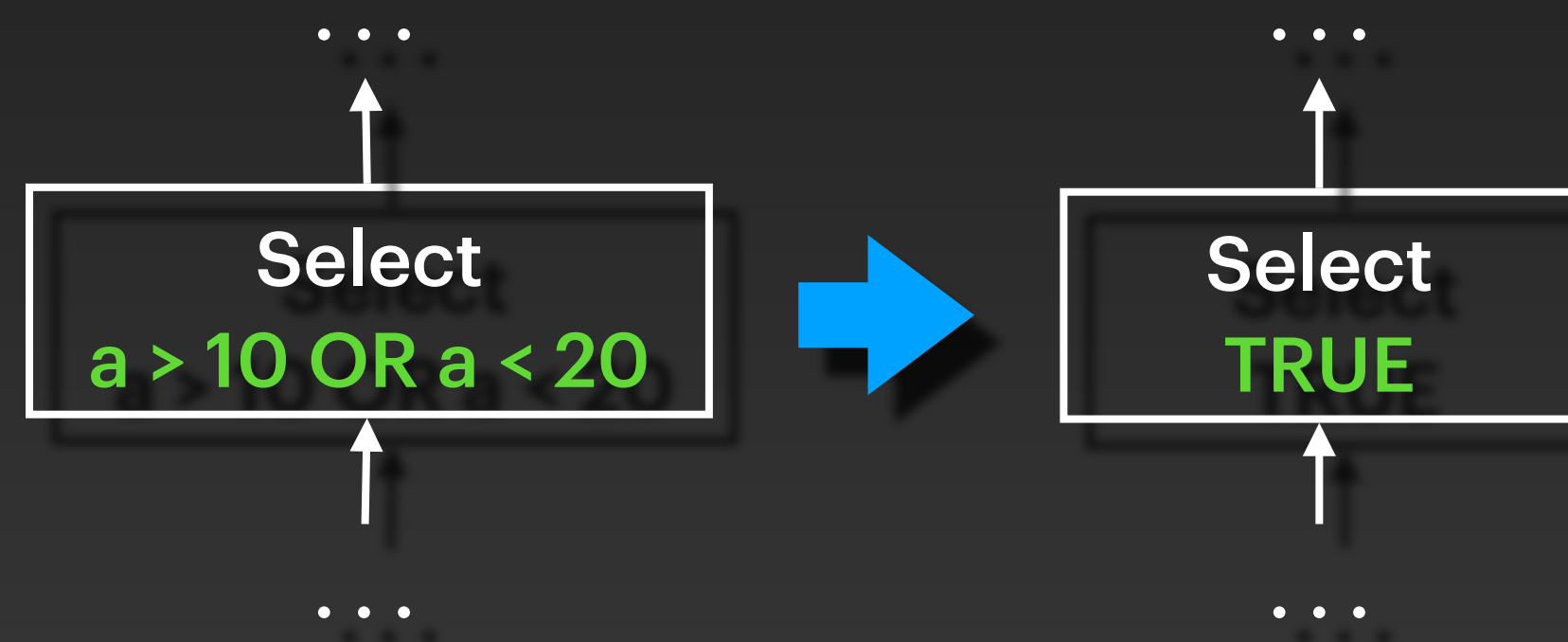
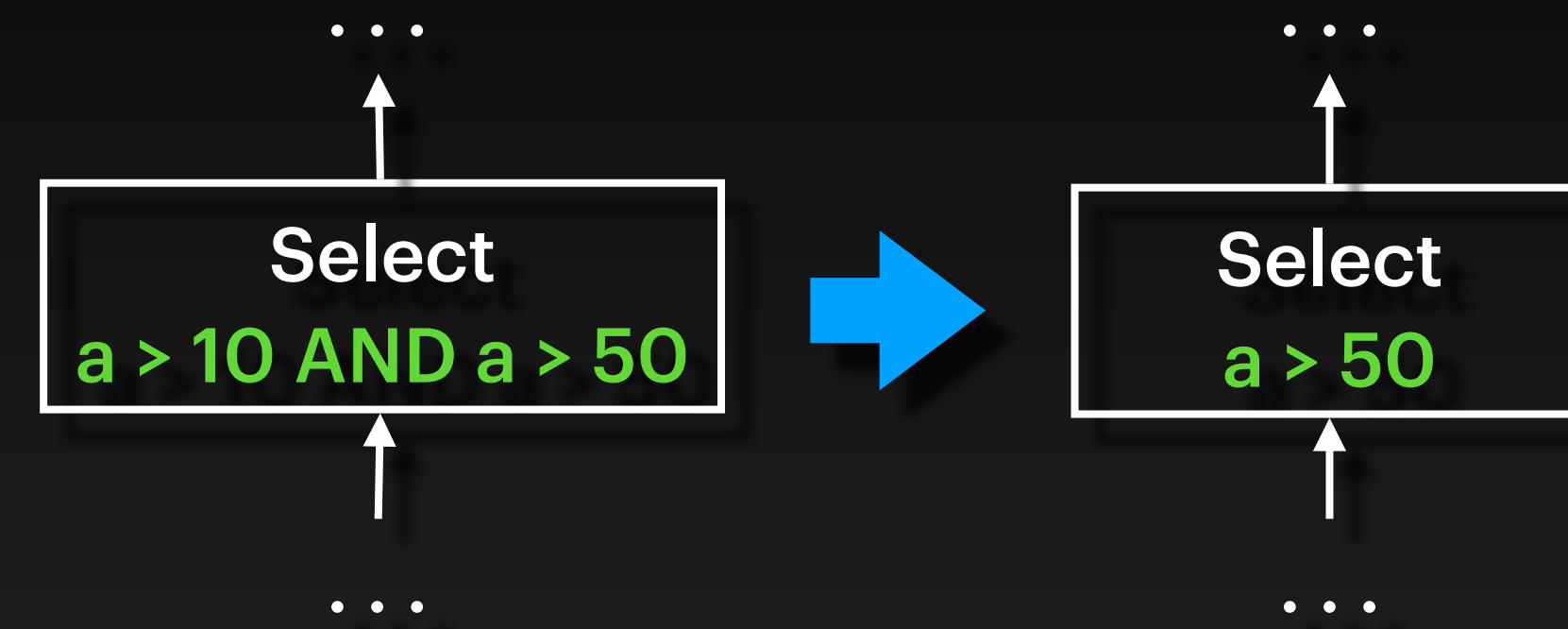
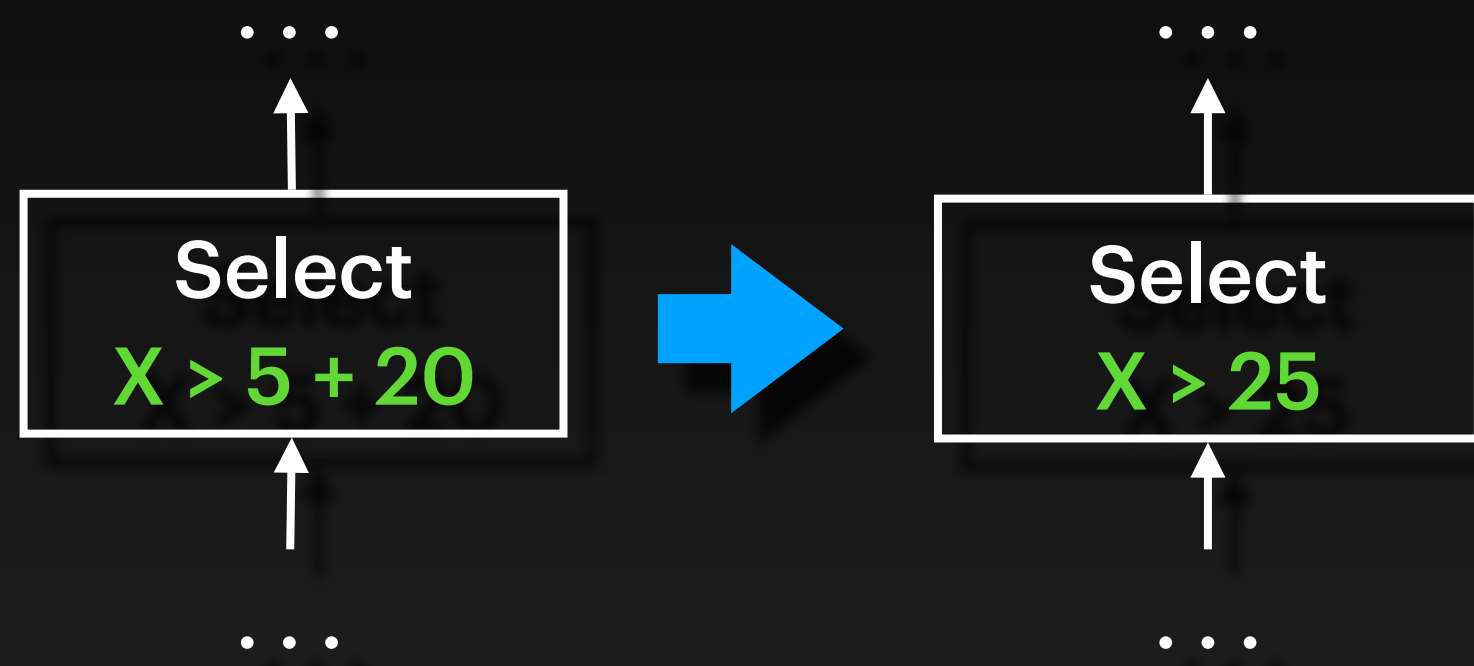
... ($\sigma_{A.col1=value}(A) \bowtie B$)

Rule-based Optimization

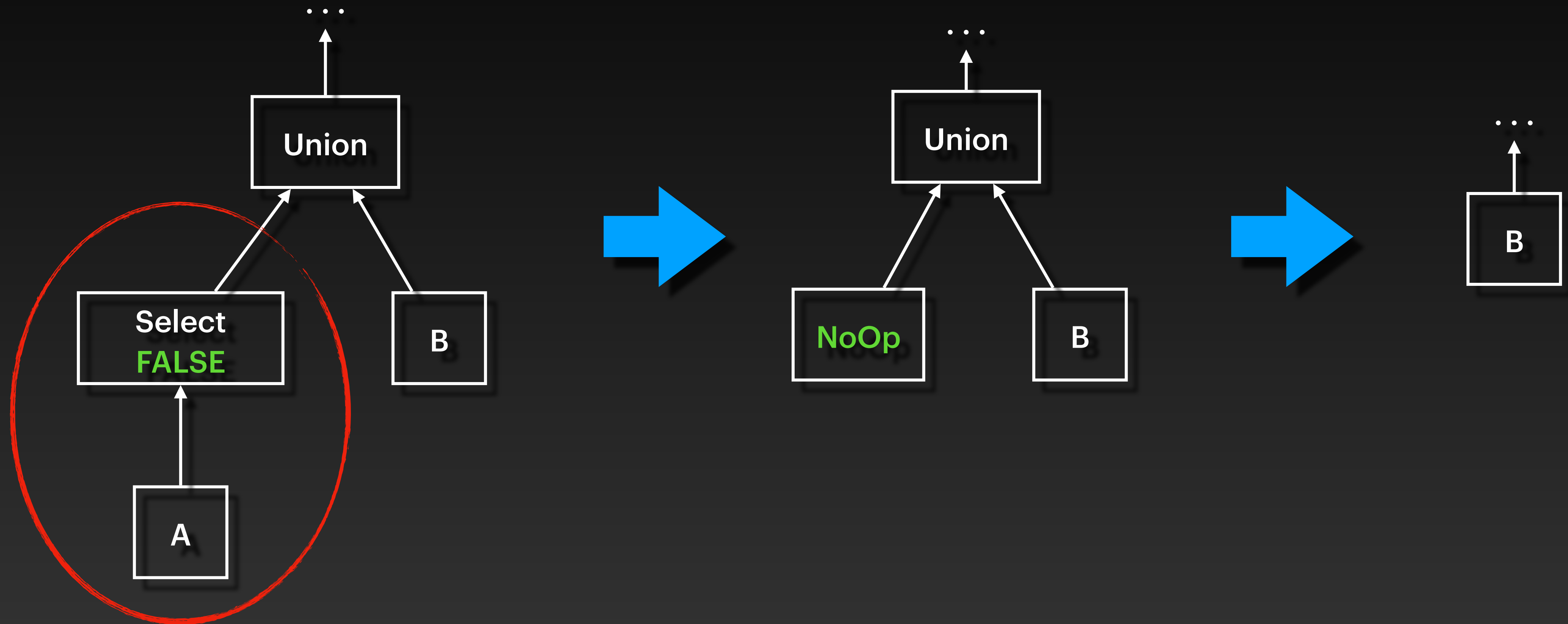
More relational algebra transformation rules:

<https://www.postgresql.org/message-id/attachment/32513/EquivalenceRules.pdf>

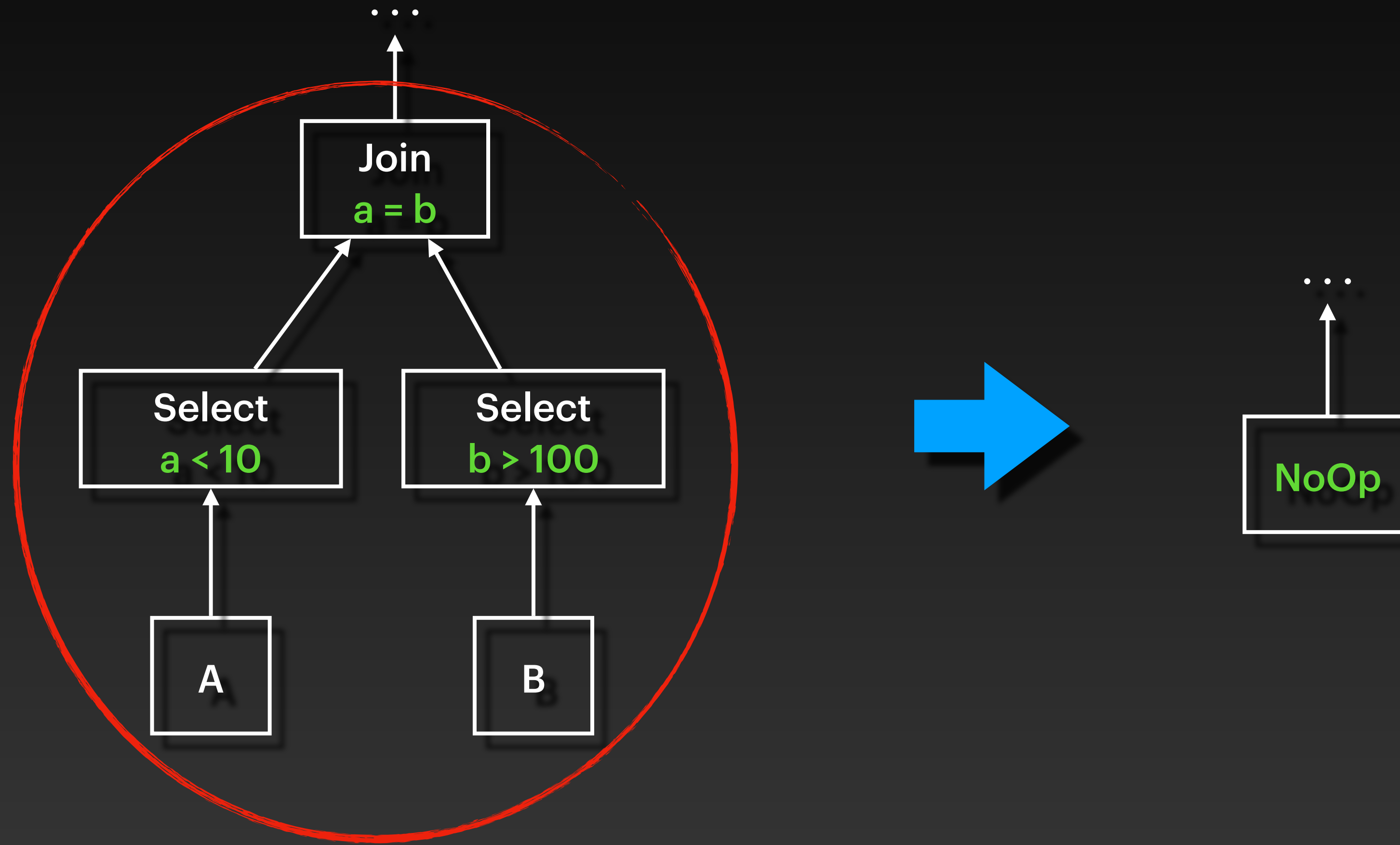
Expression Simplification



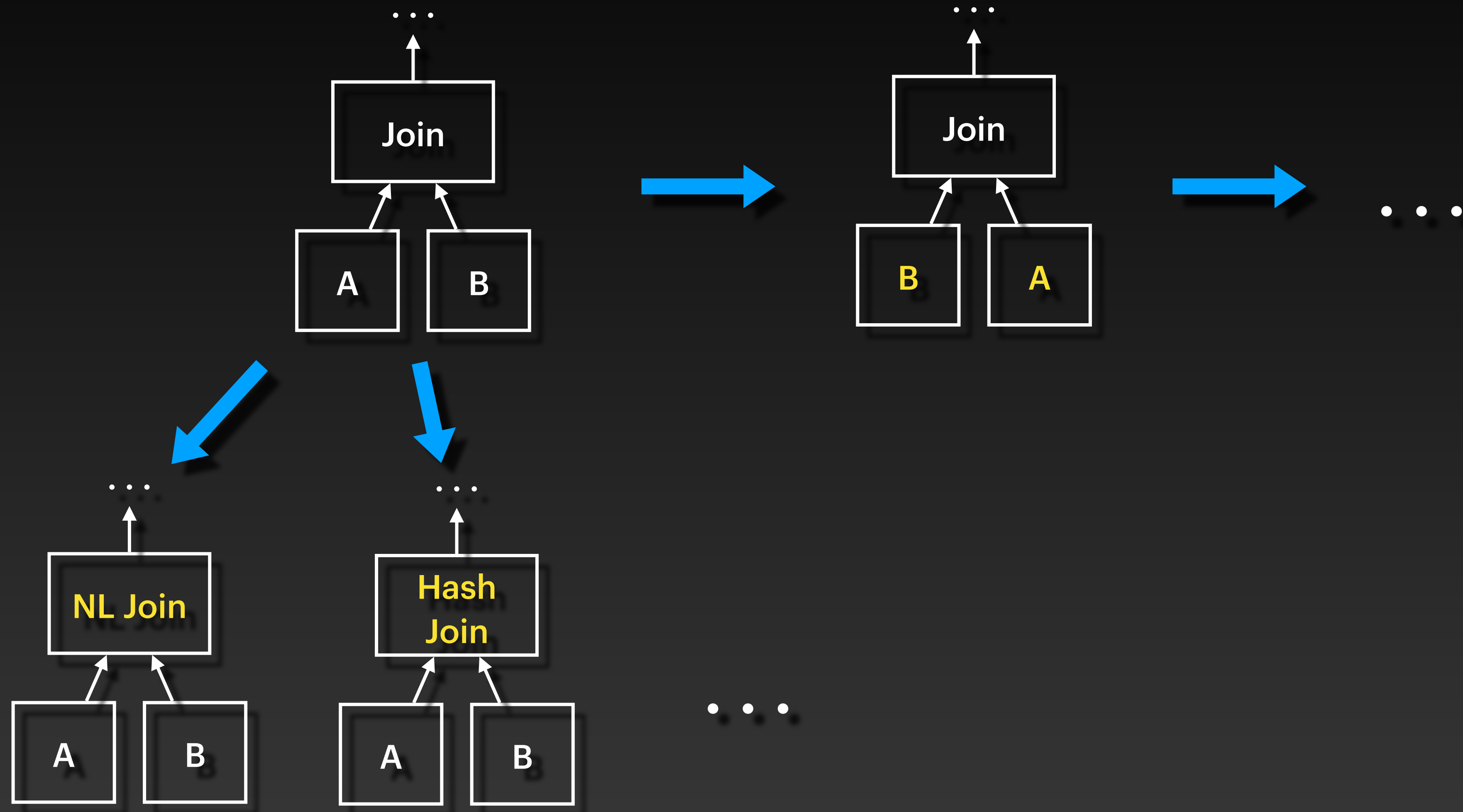
Empty Subtree Elimination



Empty Subtree Elimination



Transformations



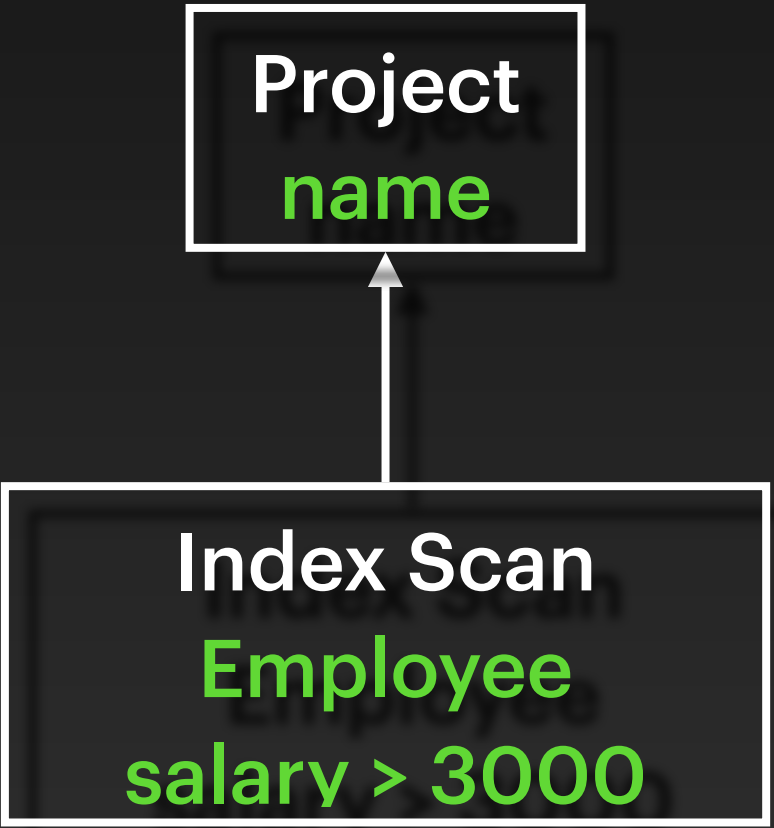
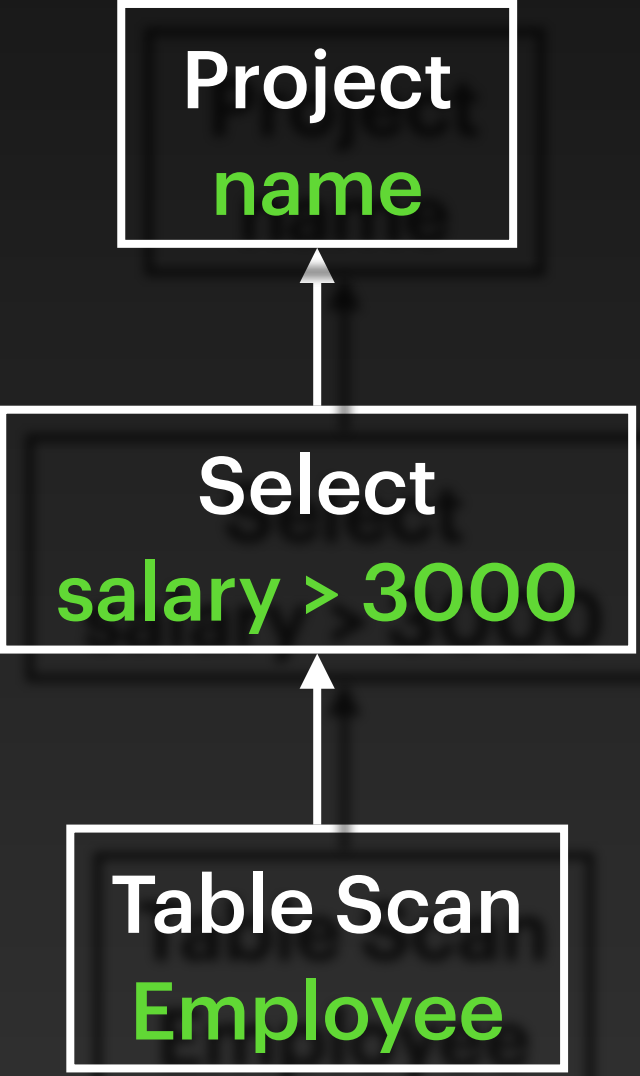
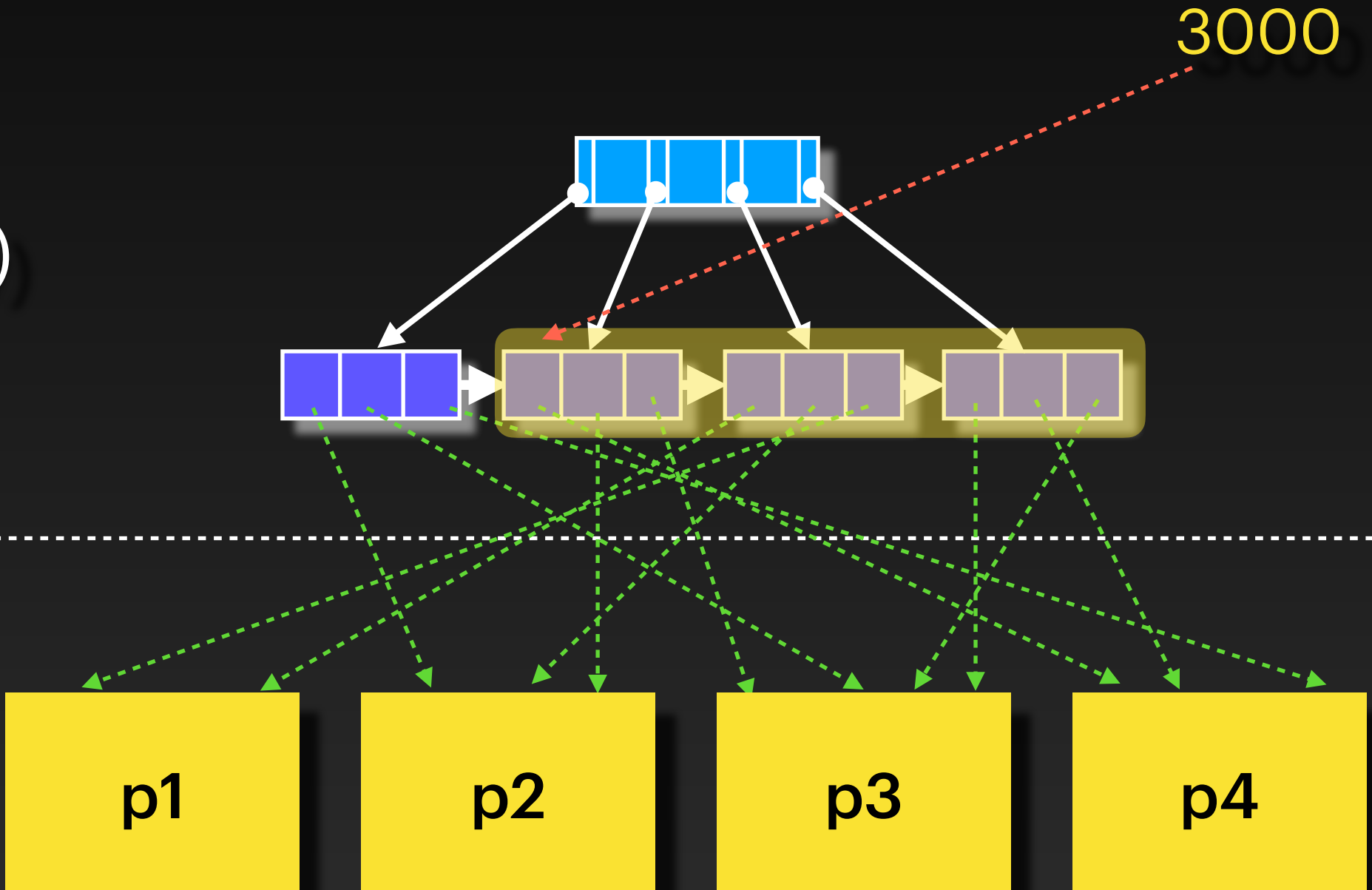
Cost-based Optimization

- Join Order
- Access Path Selection (Full scan, index scan, etc.)
- Join algorithms (NL, Hash, Merge...)
- Aggregation algorithms
- Sorting algorithms
- Etc.


```
SELECT name
FROM Employee E
WHERE salary > 3000
```

Index on (salary)

Table Pages

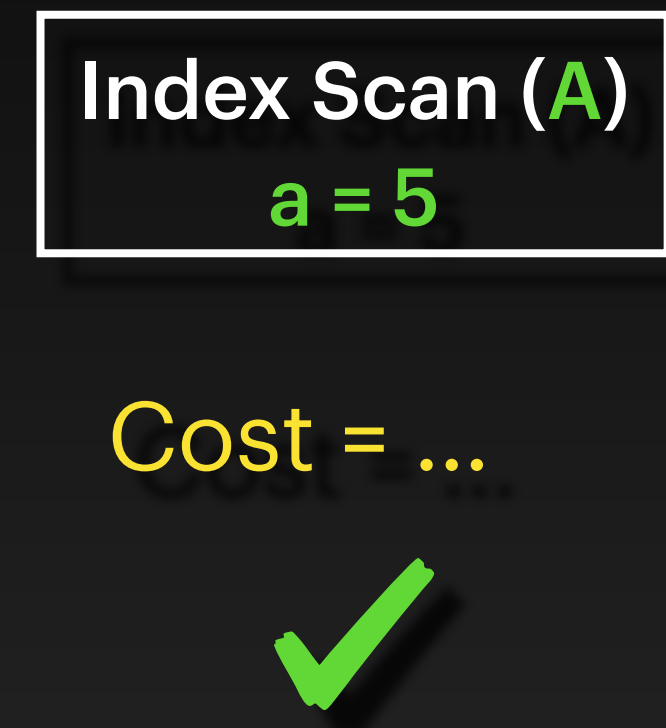
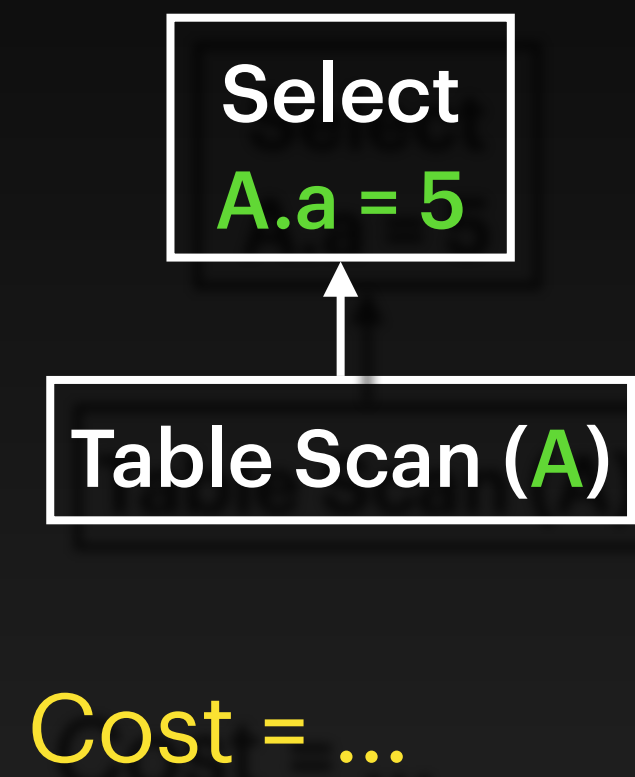


Bottom-Up Optimization

- For the given query, enumerate and compare sub-plans with:
 - 1 table
 - 2 tables
 - 3 tables
 - etc.
- At each level find the best sub-plan, and use in higher levels

```
SELECT *
FROM A, B, C
WHERE A.x = B.x AND B.y = C.y
AND A.a = 5
```

Sub-expression	Best plan	Cost
(A)	Index Scan (A)	...
(B)		
(C)		
(A, B)		
(B, C)		
(A, C)		
(A, B, C)		




```
SELECT *
FROM A, B, C
WHERE A.x = B.x AND B.y = C.y
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```

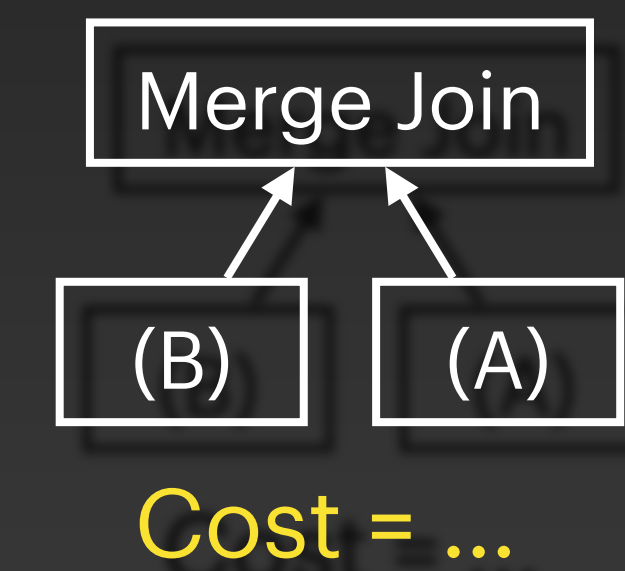
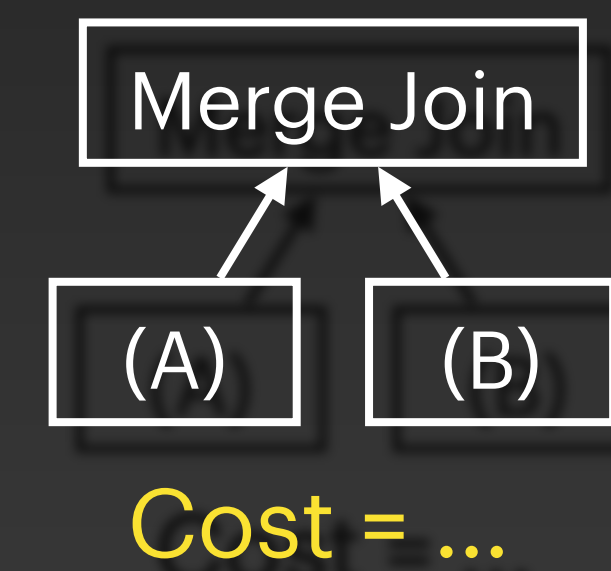
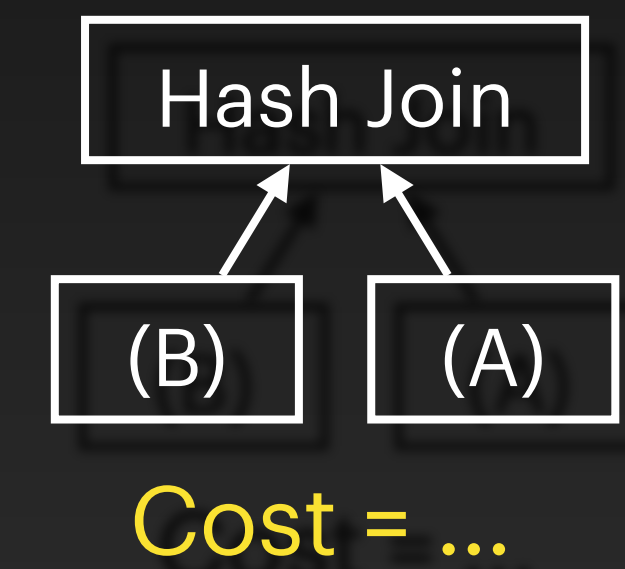
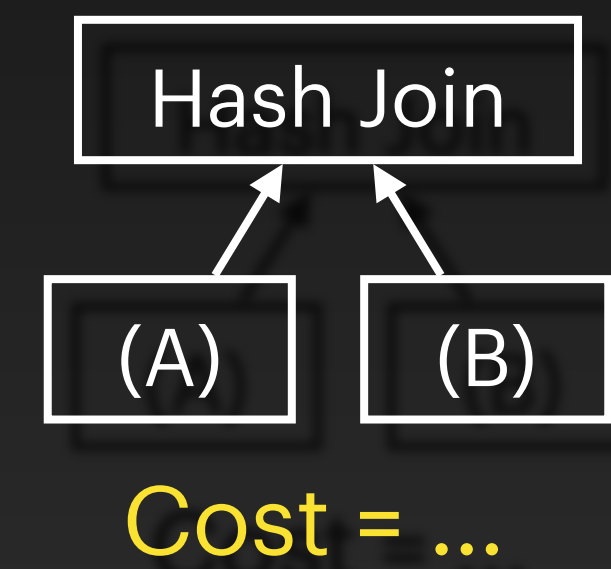
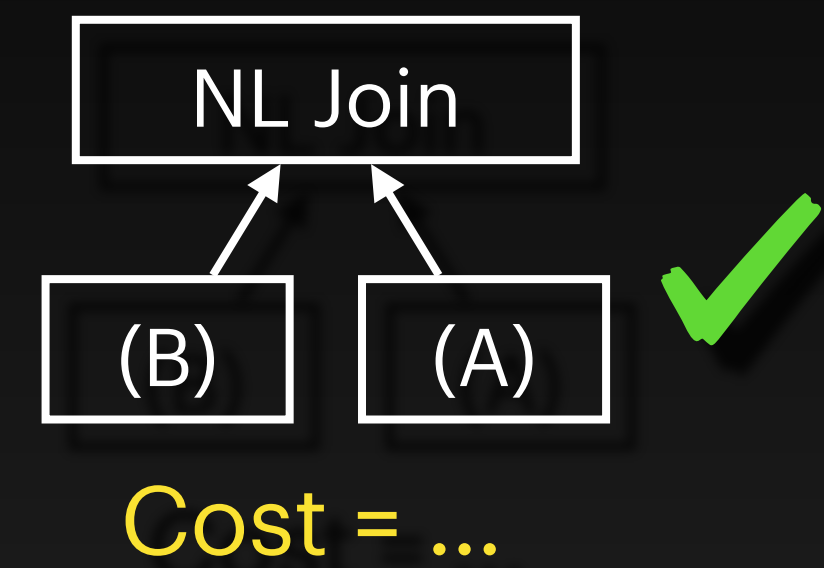
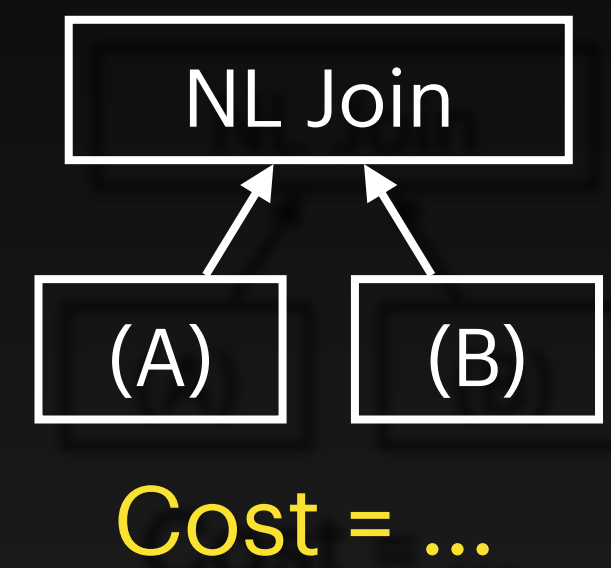
Sub-expression	Best plan	Cost
(A)	Index Scan (A)	...
(B)	Table Scan (B)	...
(C)	Table Scan (C)	...
(A, B)		
(B, C)		
(A, C)		
(A, B, C)		

Table Scan (B)

Cost = ...

```
SELECT *
FROM A, B, C
WHERE A.x = B.x AND B.y = C.y
AND A.a = 5
```

Sub-expression	Best plan	Cost
(A)	Index Scan (A)	...
(B)	Table Scan (B)	...
(C)	Table Scan (C)	...
(A, B)	(B) NL Join (A)	...
(B, C)		
(A, C)		
(A, B, C)		

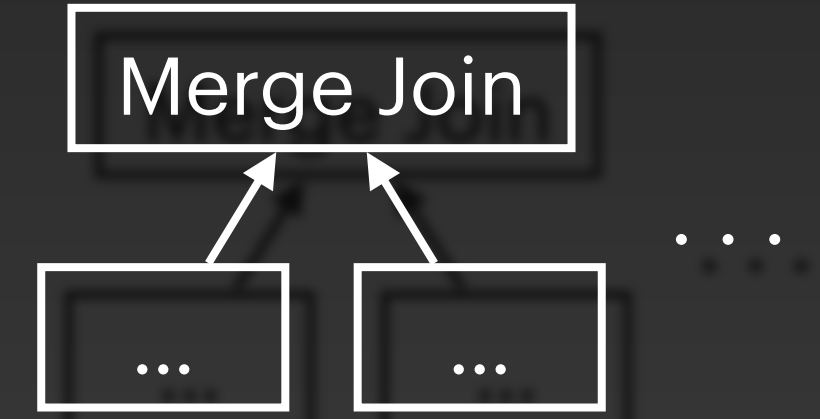
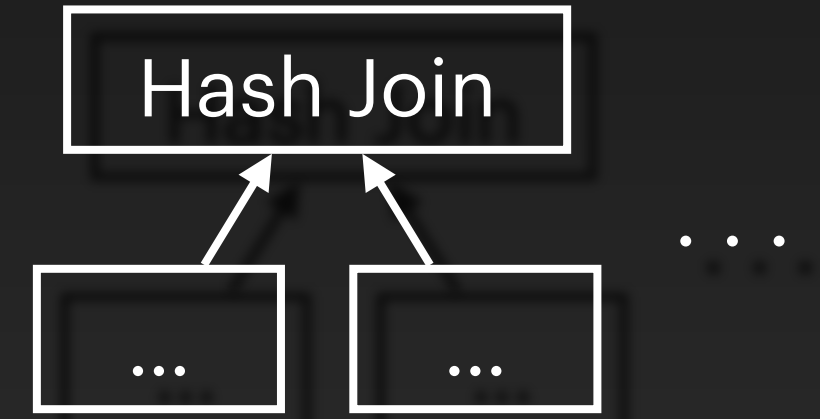
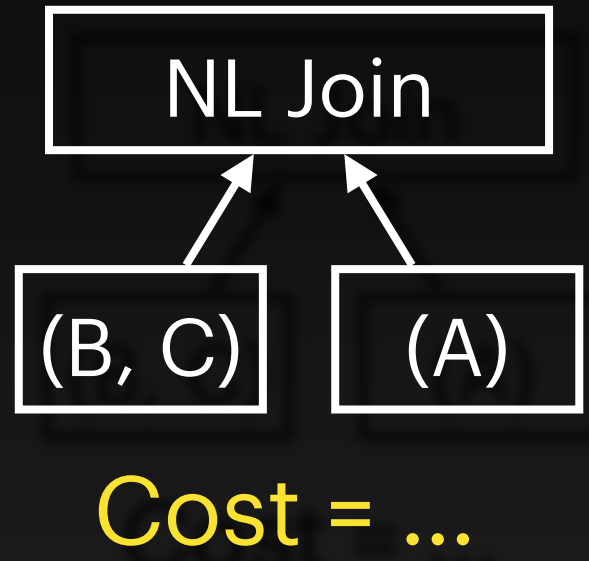
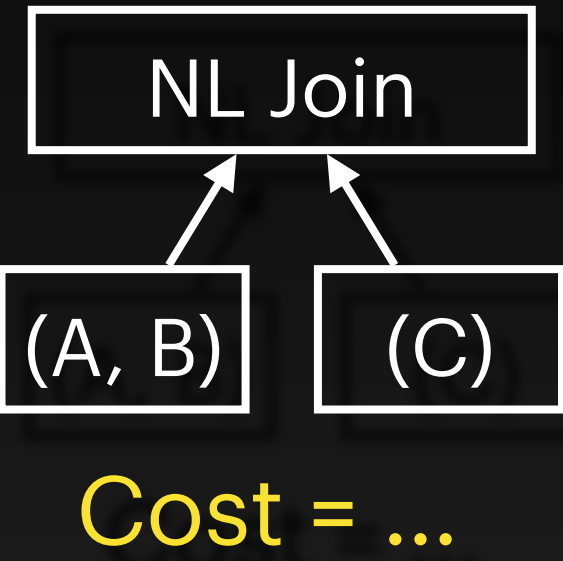


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(A, B)	(B) NL Join (A)	...
(B, C)	(B) Hash Join (C)	...
(A, C)		
(A, B, C)		

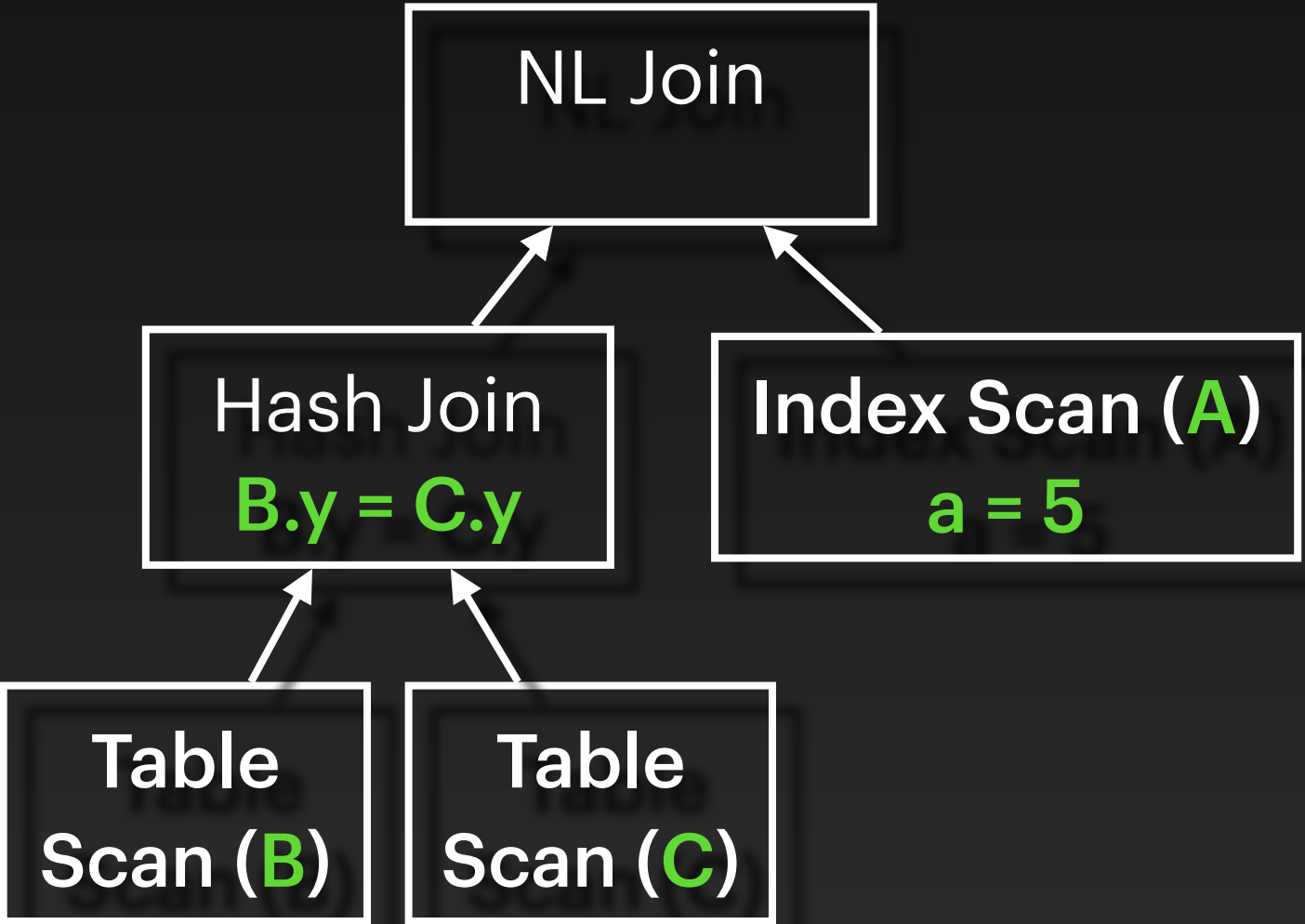

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Sub-expression	Best plan	Cost
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(C)	Table Scan (C)	...
(A, B)	(B) NL Join (A)	...
(B, C)	(B) Hash Join (C)	...
(A, C)		
(A, B, C)	(B, C) NL Join (A)	...



```
SELECT *
FROM A, B, C
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Sub-expression	Best plan	Cost
(A)	Index Scan (A)	...
(B)	Table Scan (B)	...
(C)	Table Scan (C)	...
(A, B)	(B) NL Join (A)	...
(B, C)	(B) Hash Join (C)	...
(A, C)		
(A, B, C)	(B, C) NL Join (A)	...



Bottom-Up Optimization

(+) Simple to implement

(-) Adding constraints about output column, sort order, etc. is not easy

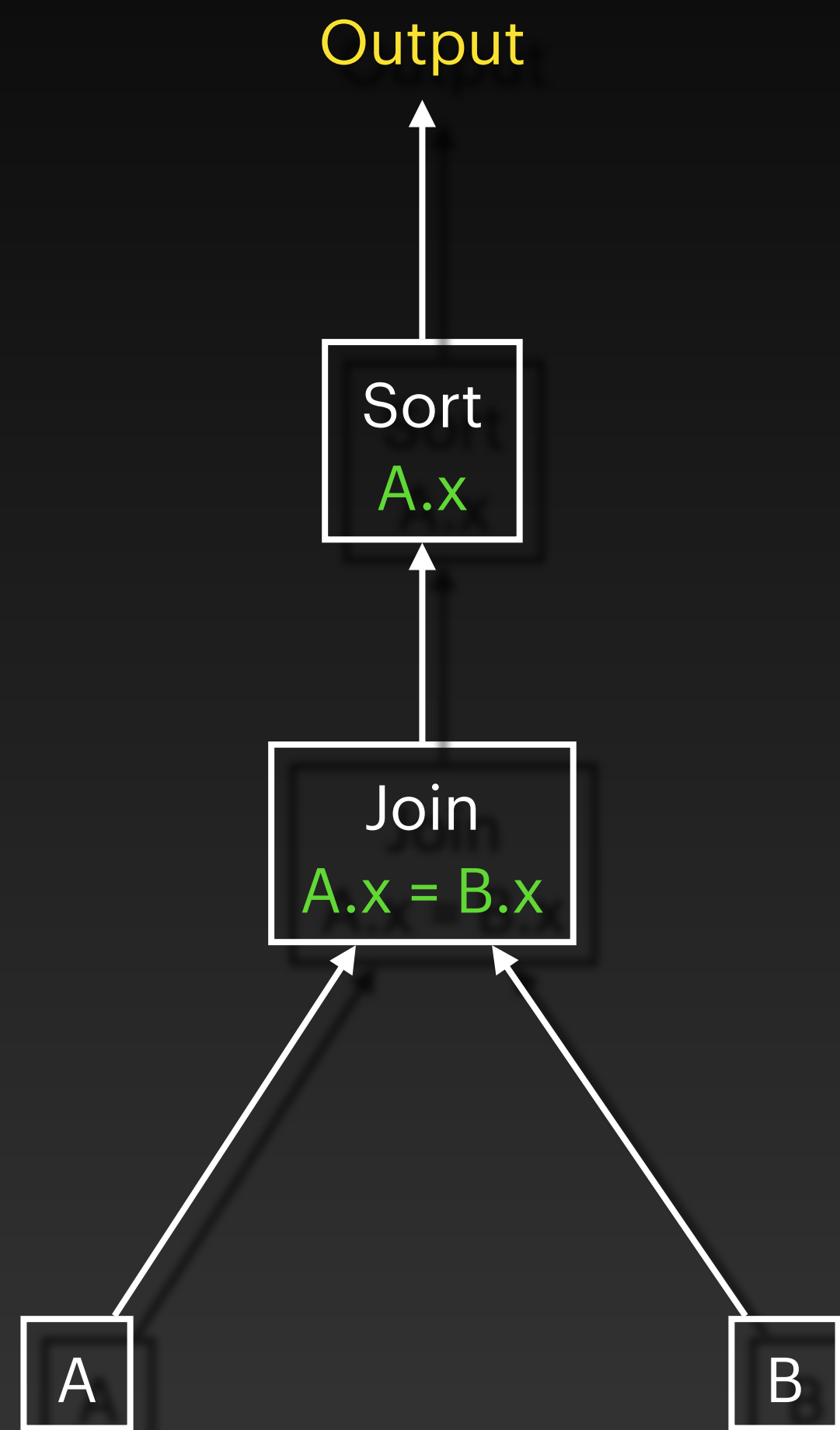
(-) Usually considers only left-deep trees

IBM System R, DB2, MySQL, Postgres,
most open-source DBMSs

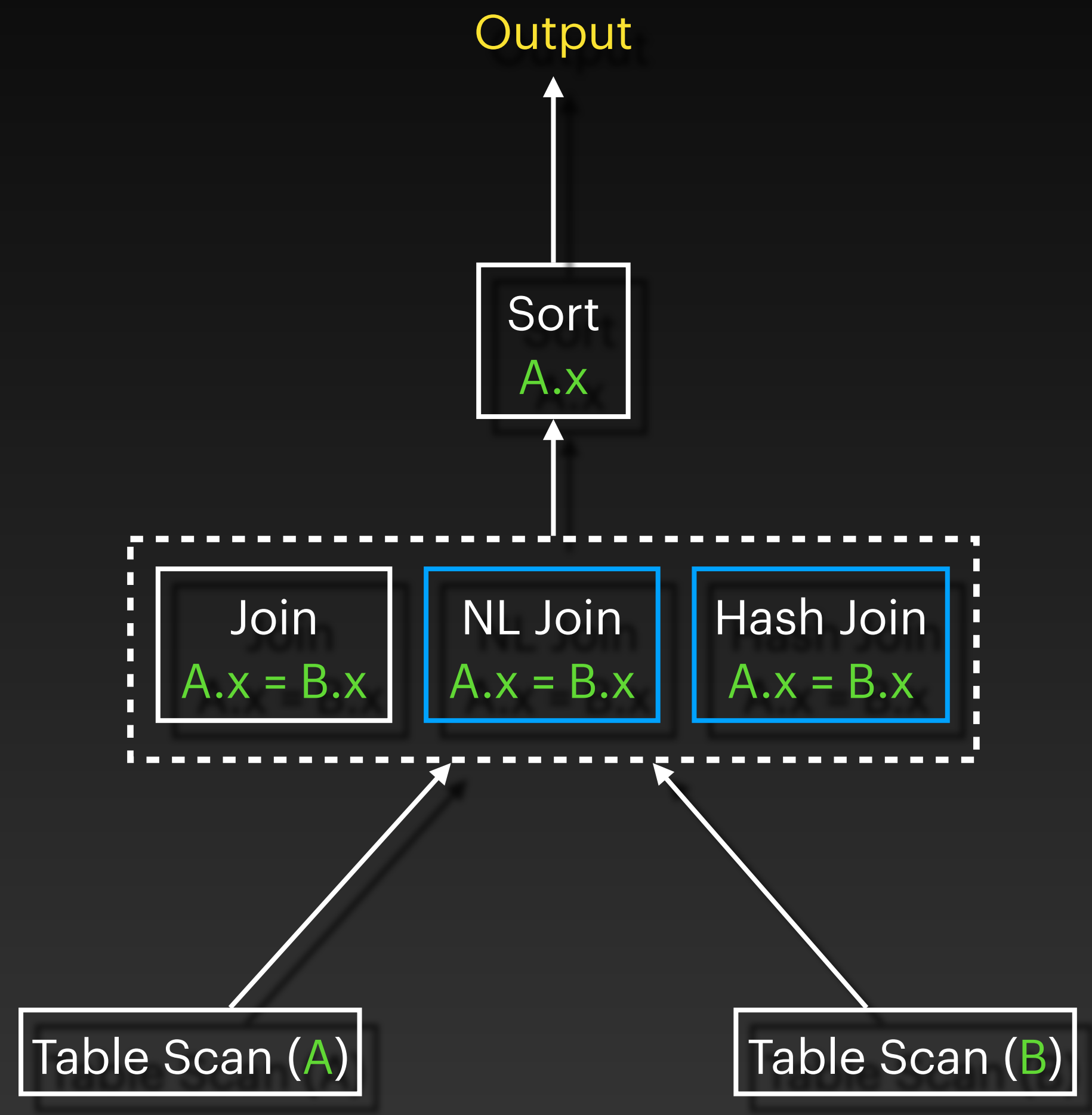
Top-down Optimization

- Start from logical plan (after applying heuristic rules)
- Apply more rules to generate other logical and physical alternatives
- Estimate cost and choose cheapest alternative

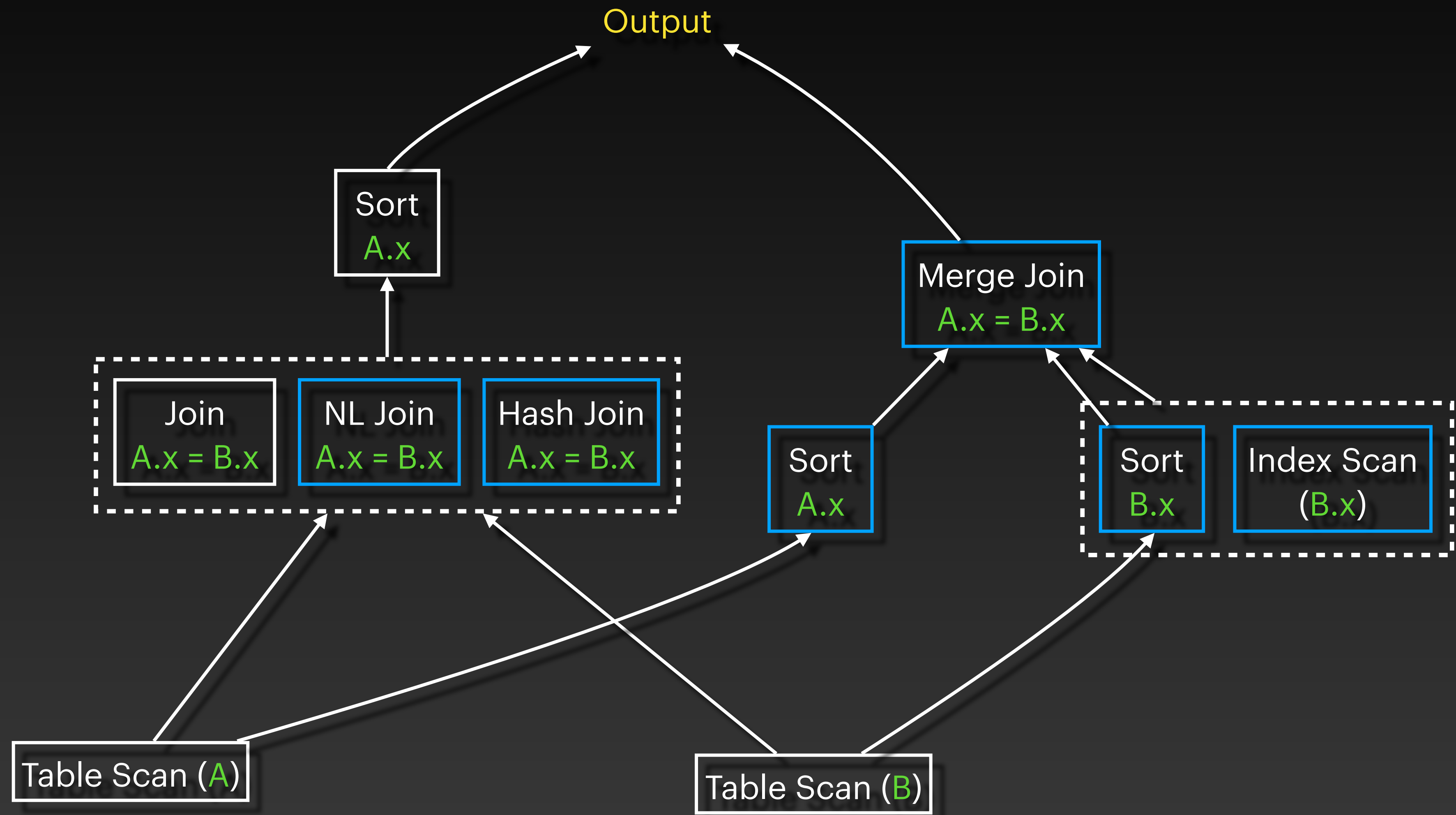

```
SELECT *  
FROM A, B  
WHERE A.x = B.x  
ORDER BY A.x
```



```
SELECT *  
FROM A, B  
WHERE A.x = B.x  
ORDER BY A.x
```



```
SELECT *  
FROM A, B  
WHERE A.x = B.x  
ORDER BY A.x
```



Top-down Optimization

(+) Sort order, output columns, etc. are an essential part of the framework

(+) More plan alternatives

(-) Somewhat complex

Volcano/Cascades Framework

SQL Server, Greenplum DB