

# “THE DYNAMIC IN THE STATIC”\*

## MANIFOLDS, BRAIDS, AND CLASSICAL NUMBER THEORY

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V ٰ ٰ coil of rope

Det. rope, exx. ٰ ٰ ٰ ٰ ٰ ‘rope’; ٰ ٰ ٰ ٰ ٰ ‘front-rope’ of ship; actions with rope or cord, exx. ٰ ٰ ٰ ٰ ٰ ‘drag’; ٰ ٰ ٰ ٰ ٰ ‘tie’; ٰ ٰ ٰ ٰ ٰ ‘string’ heads; ٰ ٰ ٰ ٰ ٰ ‘encircle’, ‘surround’. Probably from ٰ ٰ ٰ ٰ ٰ ‘network’, phon. or phon. det. ٰ ٰ in ٰ ٰ var. ٰ ٰ ٰ ٰ ٰ ‘dispute’, the relations of which with ٰ ٰ ٰ ٰ ٰ ‘exorcise’, ‘litigate’ and with ٰ ٰ ٰ ٰ ٰ ‘contend’ require further study. Another possibly related word is ٰ ٰ ٰ ٰ ٰ ‘hundred’ (§§ 259, 260). A similar, but doubtless different, sign is det. in ٰ ٰ ٰ ٰ ٰ ‘bent appendage’ (of metal ?) belonging to the crown ٰ.

\* M. u. K. 1, 5. \* Cairo 20395, 20162, d, in the title ٰ ٰ ٰ ٰ ٰ. † cf. too a title  
Int discussed JEA, 9, 15, n. 2. \* AZ, 36, 138. + AZ, 36, 125.  
\* Urk. IV, 200, 13.

\*M.C. Escher, letter to his nephew Rudolph Escher, 22 Feb. 1957.

# §I. KNOTS

# GAUSS, AGE 17

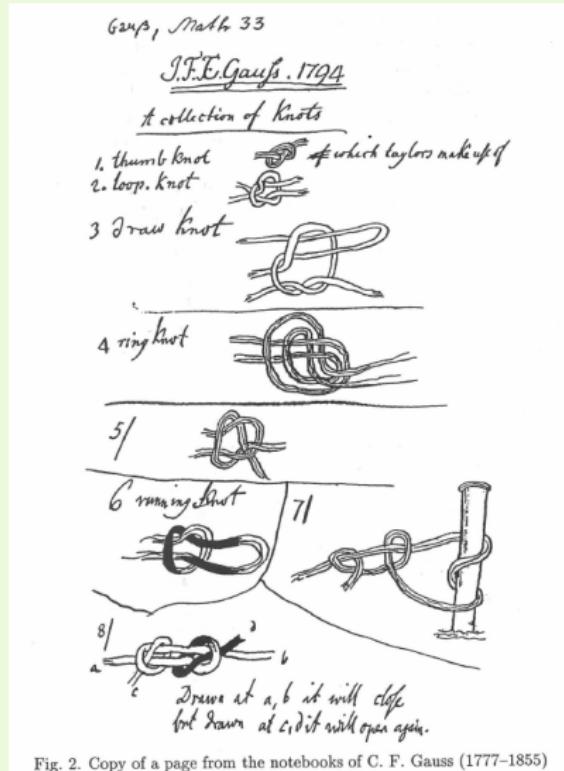


Fig. 2. Copy of a page from the notebooks of C. F. Gauss (1777–1855)

J. C. Thurner and P. v.d.Griend (eds.), *History and science of knots*, p. x.

# KNOTS AND LINKS

## Definition

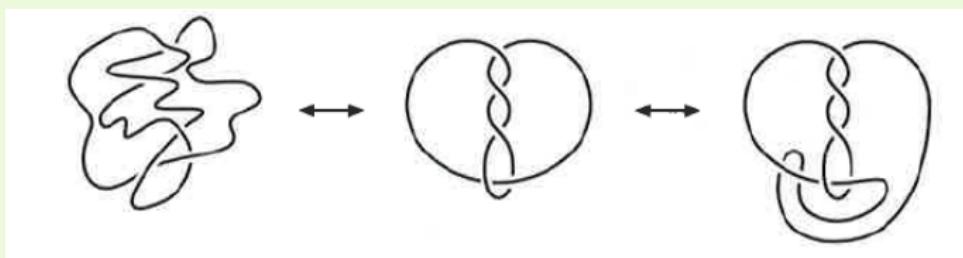
A **knot** is an embedding  $S^1 \rightarrow S^3$ . A link is an embedding  $S^1 \sqcup \dots \sqcup S^1 \rightarrow S^3$ .

414	APPENDIX C	TABLE OF KNOTS AND LINKS	415
	$10_{147}$ $(9-7+2)$		$211,3,21-$ $(5-4+2+1-1)$
	$10_{140}$ $(3+2)(3+2-)$ $(2+7+3-1)$		$10_{143}$ $(3+2)-(21+2)$ $(3-1-1+1)$
	$10_{144}$ $(3+2)(21+2-)$ $(11-9+5-1)$		$10_{146}$ $(21+2)-(21+2)$ $(7-6+9+1)$
	$10_{145}$ $(21+2)(3+2-)$ $(7-6+4-1)$		$10_{148}$ $-3+2+2$ $(7-5+3-1)$
	$10_{141}$ $(21+2)(21+2-)$ $(13-10+4-1)$		$10_{149}$ $-3+2+2$ $(9-6+4-1)$
	$10_{142}$ $(12-11+6-1)$		$10_{147}$ $-3+2+2+20$ $(3-2+6+1)$
	$10_{143}$ $(15-10+4-1)$		$10_{144}$ $-30+2+20$ $(11-9+3)$
	$10_{146}$ $(11-9+4-1)$		$10_{148}$ $-30+2+20$ $(15-12+5-1)$
	$10_{145}$ $(3-6+6-1)$		$10_{147}$ $-30+20+20$ $(17-11+3)$
	$10_{141}$ $3+2+20$ $(3-2+5+1)$		$10_{142}$ $8+2+20$ $(15-10+2)$

D. Rolfsen, *Knots and links*, pp. 414–415.

## Definition

Two knots are **equivalent** if there is an ambient isotopy of  $S^3$  which transforms one to the other.

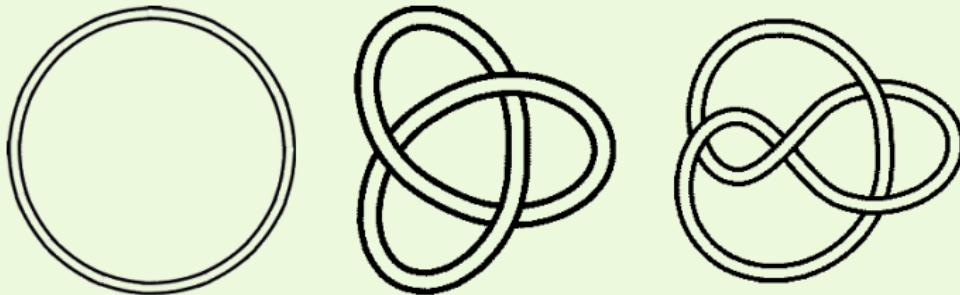


C. Adams, *The knot book*, p. 2.

# DISTINGUISHING KNOTS

## Exercise

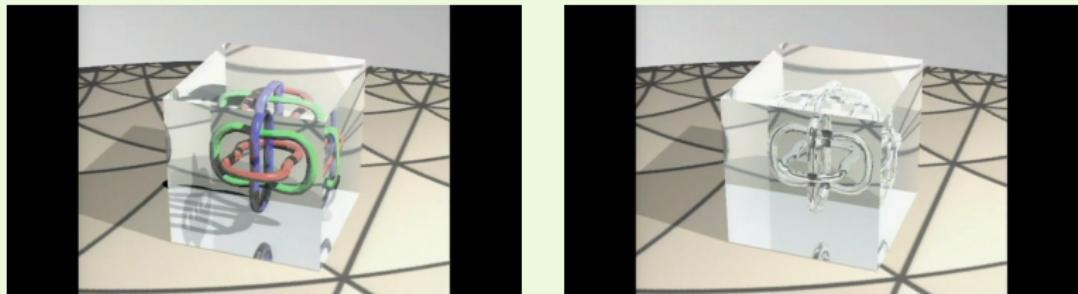
How do you know these three knots are different?



KnotPlot images from Scott Morrison and Dror Bar-Natan's *The knot atlas*, <http://katlas.org/>.

# KNOT COMPLEMENTS

If  $k$  is a knot or link, then  $S^3 \setminus k$  is a smooth oriented 3-manifold.



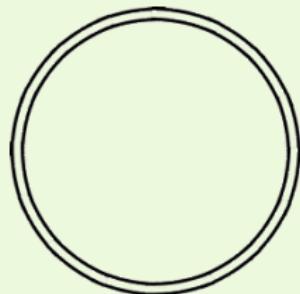
From Gunn/Maxwell, Not Knot: <https://www.youtube.com/watch?v=4aN6vX7qXPQ>.

Theorem (Gordon/Luecke, 1989)

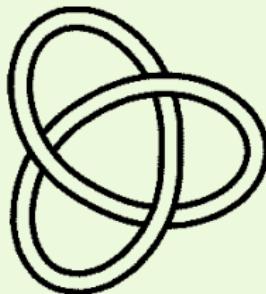
*Knots (but not general links) are determined topologically by their complements up to homeomorphism.*

## KNOT COMPLEMENTS

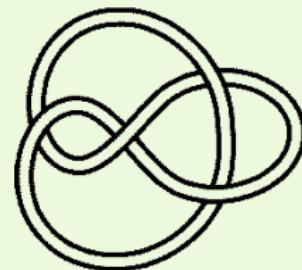
By Gordon–Luecke,  $\pi_1(S^3 \setminus k)$  is a knot invariant. A presentation can be computed mechanically from a diagram of the knot.



$$\langle x \rangle$$



$$\langle x, y : xyx = yxy \rangle$$



$$\langle x, y : yxy^{-1}xy = xyx^{-1}yx \rangle.$$

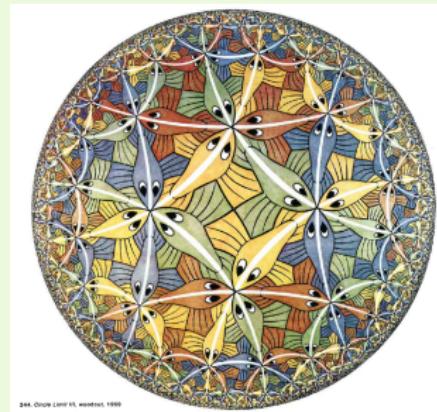
It is a nontrivial computational problem to check that these groups are not isomorphic.

# GEOMETRIC INVARIANTS

Theorem (William Thurston, c.1974)

*Most knot and link complements admit a hyperbolic metric, and are isometric to something of the form  $\mathbb{H}^3/G$  where  $G$  is a discrete group of hyperbolic isometries.*

That is, locally most knot and link complements look like a polyhedron in  $\mathbb{H}^3$  with faces identified.



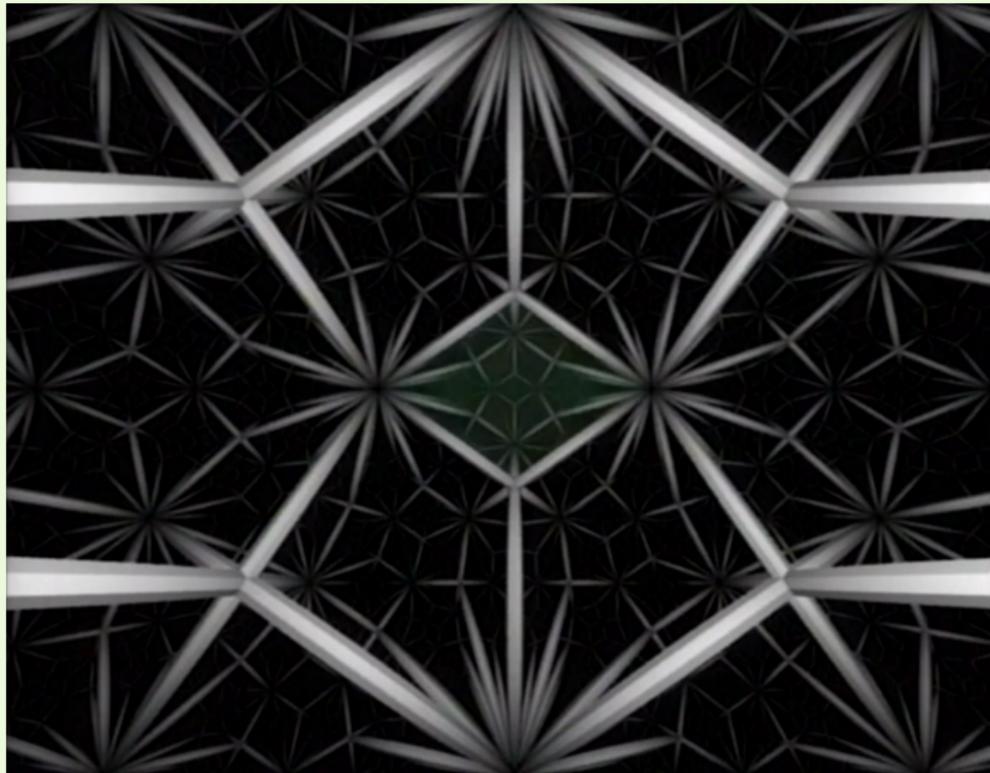
[M.C. Escher (1959)]

# INSIDE $\mathbb{H}^2 \times \mathbb{R}$



Screenshot from *Hyperbolica* (CodeParade, 2022).

# THE COMPLEMENT OF THE BORROMEEAN RINGS

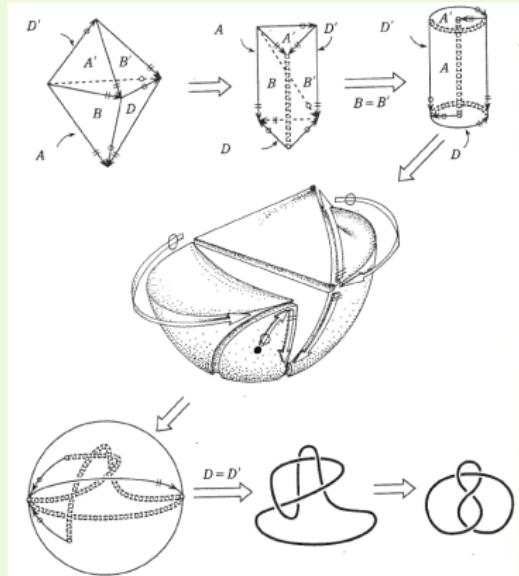


From Gunn/Maxwell, Not Knot: <https://www.youtube.com/watch?v=4aN6vX7qXPQ>.

# THE FIGURE 8 KNOT

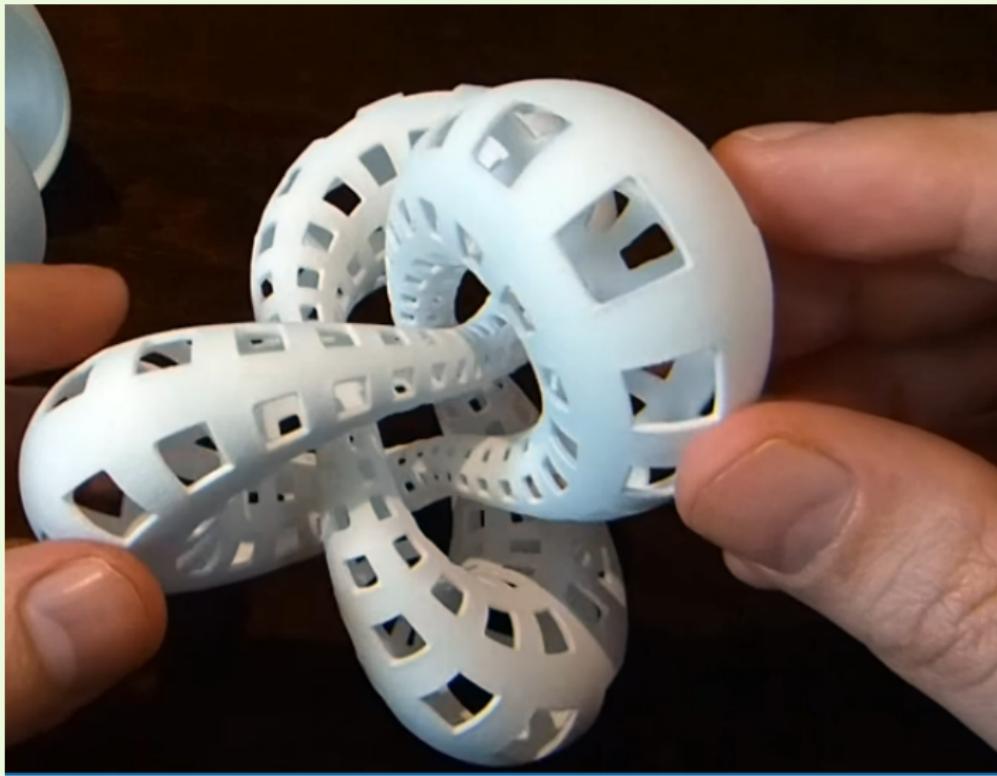
Theorem (Robert Riley (c.1974); William P. Thurston (c.1975))

*The figure 8 knot complement admits a hyperbolic geometry.*



Matsuzaki and Taniguchi, *Hyperbolic manifolds and Kleinian groups*, p.34.

# THE HYPERBOLIC STRUCTURE



[Guéritaud/Segerman/Schleimer, [https://youtu.be/xGf5jY\\_v5GE](https://youtu.be/xGf5jY_v5GE)]

# VOLUME AS AN INVARIANT

## Theorem (Gromov–Jørgensen–Thurston)

*The set of volumes of hyperbolic manifolds is a well-ordered subset of  $\mathbb{R}$ . The set of manifolds with any given volume is finite.*

Hyperbolic volume of the complement turns out to be a very good link invariant. It can be computed algorithmically.

## Example

The volume of the figure eight knot complement is

$$-6 \int_0^{\pi/3} \log |2 \sin \theta| \, d\theta = 2.02988\dots$$

## **§II. BRAIDS**

# WHAT IS...A BRAID?

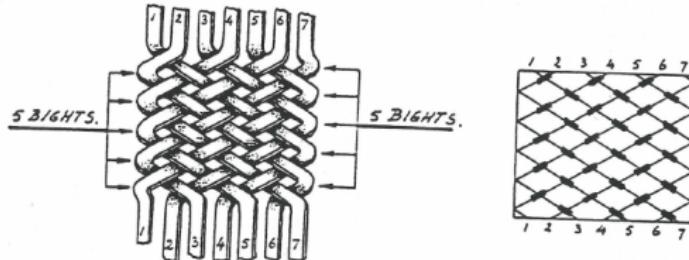


Fig. 6. The (7P, 5B) regular flat braid, with Turk's Head coding

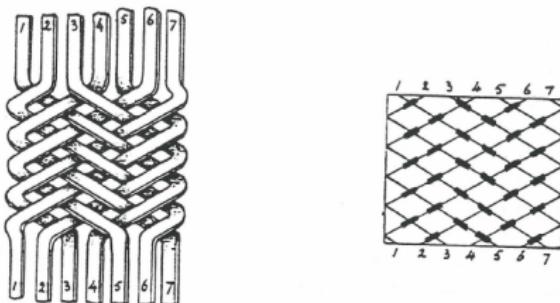
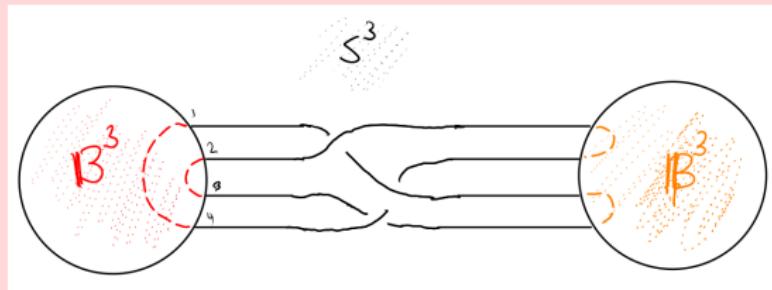


Fig. 7. The (7P, 5B) regular flat braid, with Two Pass Headhunter's coding.  
Figs. 6 and 7 demonstrate two different braids with the same whole string run

J. C. Thurner and P. v.d.Griend (eds.), *History and science of knots*, p. 284.

# BRAIDS AND CONFIGURATIONS OF POINTS ON THE SPHERE

Instead of braiding strings glued onto two planes, braid strings glued on two 2-spheres in  $S^3$  (mod ambient isotopy of  $S^3$ ).

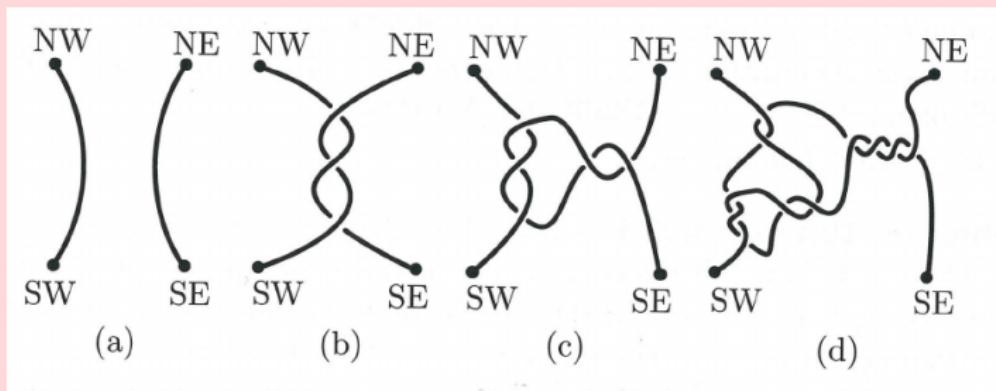


## Theorem

*Every braid with ends glued on spheres admits a unique completion to a knot/link which cannot be simplified by ‘untwisting’.*

# RATIONAL TANGLES

We will care only about braids with four strands, completed at one end. We will call these objects **rational tangles**.



J. Purcell, *Hyperbolic knot theory*, p. 208.

Every rational tangle is given by a sequence of integers, this one is  $[4, -2, -2, 3]$ .

# TWO-BRIDGE KNOTS

A two-bridge knot (or link) is the knot obtained by completing a rational tangle.

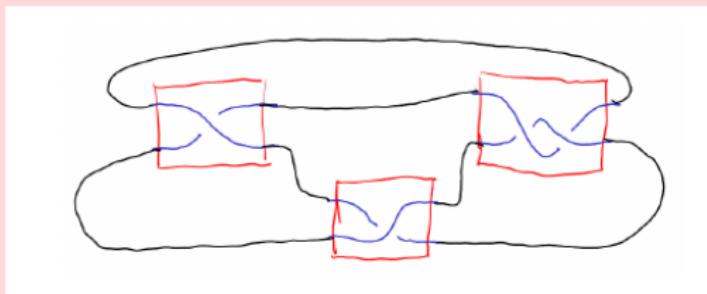
Theorem (Schubert (1956), Conway (1970))

*Rational tangles and two-bridge links are indexed by  $\mathbb{Q} \cup \{\infty\}$ :*

$$[a_n, a_{n-1}, \dots, a_1] \leftrightarrow a_n + \cfrac{1}{a_{n-1} + \cfrac{1}{\ddots + \cfrac{1}{a_1}}}$$

We write  $k(p/q)$  for the link indexed by  $p/q \in \mathbb{Q}$ .

# RILEY REPRESENTATION



## Example

The figure eight knot has rational form  $1 + 1/(1 + 1/2) = 5/3$ .

# RILEY REPRESENTATION

## Theorem (Riley (1972))

*Every two-bridge link  $k(p/q)$  has a fundamental group on two generators and one relation*

$$\langle X, Y : W_{p/q}X = YW_{p/q} \rangle$$

*where  $W_{p/q}$  is some word in  $X$  and  $Y$  depending only on  $p/q$ . This group admits a representation into  $\text{PSL}(2, \mathbb{C})$  given by*

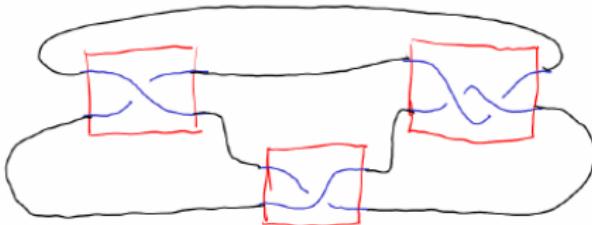
$$X = \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix}; \quad Y_p = \begin{bmatrix} 1 & 0 \\ p & 1 \end{bmatrix}$$

*where  $p \in \mathbb{C}$  depends only on  $p/q$ .*\*

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\*Different authors use  $p/q$  or  $q/p$  for different corresponding objects.

# RILEY REPRESENTATION



## Example

In this case the Riley representation is faithful and the fundamental group is

$$\left\langle \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix}, \begin{bmatrix} 1 & 0 \\ -e^{2\pi i/3} & 1 \end{bmatrix} \right\rangle.$$

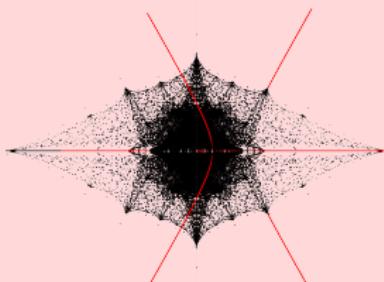
The corresponding word is  $W_{5/3} = Y^{-1}X^{-1}YXYX^{-1}Y^{-1}XYX$ .

## FAREY POLYNOMIALS

The manifold obtained by taking the complement of the rational tangle  $t$  (i.e.  $S^3 \setminus B^3 \cup t$ ) does not have a unique hyperbolic structure. The space of all possible hyperbolic structures is one dimensional over  $\mathbb{R}$ , and the set of all hyperbolic structures is indexed by the component of the set

$$\{\rho \in \mathbb{C} : \operatorname{tr} W_{p/q}(\rho) \in (-\infty, -2)\}$$

with asymptotic angle  $\pi p/q$ . The  $p/q$  knot complement is somehow the ‘limit’ of the sequence of geometric structures on complements of  $p/q$  tangles.



# THE RECURSION

Theorem (E.-Martin–Schillewartz (2022))

If  $\begin{vmatrix} p & r \\ q & s \end{vmatrix} = \pm 1$ , then

$$\mathrm{tr} W_{p/q} \mathrm{tr} W_{r/s} + \mathrm{tr} W_{(p+r)/(q+s)} + \mathrm{tr} W_{|p-r|/|q-s|} = 8$$

as a polynomial in  $p$ .

Really, this is a recursion down the tree of continued fractions.  
Doing a horizontal twist corresponds to ‘adding’  $0/1$ , and doing a vertical twist corresponds to ‘adding’  $1/0$ .

# EXAMPLE POLYNOMIALS

0/1	$2-z$
1/1	$2+z$
1/2	$2+z^2$
2/3	$2-z-2z^2-z^3$
3/5	$2+z+2z^2+3z^3+2z^4+z^5$
5/8	$2+4z^4+8z^5+8z^6+4z^7+z^8$
8/13	$2-z-2z^2-5z^3-12z^4-22z^5-32z^6-44z^7-54z^8-53z^9-38z^{10}-19z^{11}-6z^{12}-z^{13}$
13/21	$2+z+2z^2+7z^3+14z^4+31z^5+64z^6+124z^7+214z^8+339z^9+498z^{10}+699z^{11}+936z^{12}$ $+1148z^{13}+1216z^{14}+1064z^{15}+746z^{16}+409z^{17}+170z^{18}+51z^{19}+10z^{20}+z^{21}$
21/34	$2+z^2+8z^4+24z^5+68z^6+192z^7+516z^8+1256z^9+2834z^{10}+5912z^{11}+11460z^{12}$ $+20816z^{13}+35598z^{14}+57248z^{15}+86446z^{16}+122560z^{17}+163199z^{18}$ $+203952z^{19}+238564z^{20}+259704z^{21}+260686z^{22}+238320z^{23}+195694z^{24}$ $+142328z^{25}+90451z^{26}+49552z^{27}+23058z^{28}+8952z^{29}+2831z^{30}+704z^{31}$ $+130z^{32}+16z^{33}+z^{34}$

# **ADVERTISEMENT: MINICOURSE ON KNOT THEORY AND GEOMETRY**

**When?** Two lectures every week of July.

**Where?** Dept. of Mathematics, The University of Auckland.

**What?** Classical knot theory. Geometric knot theory and hyperbolic invariants. Braids and mapping classes. Knot polynomials (Alexander, Conway, Jones, HOMFLY-PT).

**Prereqs?** Basic topology (what is  $\pi_1$ ). Passing familiarity with classical hyperbolic geometry in 2 or 3 dimensions.

**Email** aelz176@aucklanduni.ac.nz

## BEDTIME READING

- A.J.E., Gaven Martin, and Jeroen Schillewaert, “Concrete one complex dimensional moduli spaces of hyperbolic manifolds and orbifolds”. In: *2021-22 MATRIX annals*. Springer, to appear.
- —, “The combinatorics of the Farey words and their traces”. arXiv:2204.08076 [math.GT], 2022.
- William P. Thurston, “Three dimensional manifolds, Kleinian groups and hyperbolic geometry”. In: *Bulletin (NS) of the AMS* **6**(3) pp.357–381, 1982.
- Benson Farb and Dan Margalit, *A primer on mapping class groups*. Princeton, 2012.
- Jessica Purcell, *Hyperbolic knot theory*. AMS, 2021.
- Title picture: A. Gardiner, *Egyptian grammar*. Griffith Institute, 1957.

# PROOF OF THE 2022 THEOREM

Suppose  $p/q < r/s$  and  $\begin{vmatrix} p & r \\ q & s \end{vmatrix}$ .

- (Word products.) By careful consideration of the ergodic behaviour of the lift of the curves represented by  $W_{p/q}$ ,  $W_{r/s}$ , and  $W_{(p+r)/(q+s)}$  to the universal cover  $\mathbb{H}^2$  of the four-punctured sphere, we see that  $W_{(p+r)/(q+s)} = W_{p/q} W_{r/s}$  with the  $(q + s)$ th generator in the word inverted.
- (Product and quotient lemmata.) Then by standard trace identities in  $\mathrm{PSL}(2, \mathbb{C})$  we see that

$$\mathrm{tr} W_{p/q} W_{r/s} + \mathrm{tr} W_{(p+r)/(q+s)} = \begin{cases} \mathrm{tr}^2 X & \text{if } q + s \text{ is even} \\ \mathrm{tr} X \mathrm{tr} Y & \text{if } q + s \text{ is odd} \end{cases}$$

and

$$\mathrm{tr} W_{p/q} W_{r/s}^{-1} + \mathrm{tr} W_{|q-s|/|q-s|} = \begin{cases} \mathrm{tr}^2 Y & \text{if } q - s \text{ is even} \\ \mathrm{tr} X \mathrm{tr} Y & \text{if } q - s \text{ is odd.} \end{cases}$$

## PROOF OF THE 2022 THEOREM (CTD)

We proved that

$$\operatorname{tr} W_{p/q} W_{r/s} + \operatorname{tr} W_{(p+r)/(q+s)} = \begin{cases} \operatorname{tr}^2 X & \text{if } q+s \text{ is even} \\ \operatorname{tr} X \operatorname{tr} Y & \text{if } q+s \text{ is odd} \end{cases}$$

$$\operatorname{tr} W_{p/q} W_{r/s}^{-1} + \operatorname{tr} W_{|q-s|/|q-s|} = \begin{cases} \operatorname{tr}^2 Y & \text{if } q-s \text{ is even} \\ \operatorname{tr} X \operatorname{tr} Y & \text{if } q-s \text{ is odd;} \end{cases}$$

- (Standard identity.) In  $\operatorname{PSL}(2, \mathbb{C})$ ,  $\operatorname{tr} A \operatorname{tr} B = \operatorname{tr} AB + \operatorname{tr} AB^{-1}$ .
- Adding the displayed equations and applying the standard identity gives the recurrence. (In fact we have proved more, we only claimed the special case  $\operatorname{tr} X = \operatorname{tr} Y = 2$  but we have proved it for arbitrary  $X$  and  $Y$ .)

