

# Compliance List DG

*Note: This compliance list is based on Regulation (EU) No. 965/2012 ("Air Operations")*

Operator's name and address:		Operator's AOC number:	
		Aircraft Type and Model for which approval is requested:	
		Aircraft Registration(s) <i>(only applicable unless the entire fleet is affected)</i> :	
Revision number(s): <i>(applicable only for those parts affected by the relevant SPA area)</i>  OM-A: OM-B: MEL: OM-C: OM-D: Other:		Revision date(s): <i>(applicable only for those parts affected by the relevant SPA area)</i>  OM-A: OM-B: MEL: OM-C: OM-D: Other:	
List created by <i>(competent person assigned by the operator)</i> :	Date:	List checked by <i>(person checking the list on behalf of the compliance management system of the operator)</i> :	Date:
Accountable Manager (name/signature):		Nominated Person for managing and supervising flight operations (name/signature):	
<i>(For Authority use only)</i> Austro Control POI:		<i>(For Authority use only)</i> Remarks:	<i>(For Authority use only)</i>

## Content of the compliance list:

A compliance list is a tool designed for the preparation and approval of each area requiring specific approval (SPA). This form has to be filled in for each aircraft type concerned.

Each line contains predefined references to one or more paragraph(s) of the applicable regulation (or AMC material). The user shall insert the reference to the relevant part of the Operations Manual.

This list can be edited by the operator in writing or electronically (preferred). When finished, the operator shall send the completed version to Austro Control by email for further processing.

Note: As the revision number(s) and date(s) of the affected part(s) of the OM is/are to be stated on the front page of this compliance list, no additional OM-compliance list has to be completed.

## Respective legal reference column:

This column lists the relevant legal paragraph.

## Requirement column:

This column provides the user with the implementing rule for each required section. Whenever the remark "refer to rule" is mentioned the user has to consult the Air Operations regulation. (This procedure is necessary when the respective rule is too extensive for publication in this compliance list.)

## Manual reference column:

All references in regard to the respective Air Operations paragraph(s) shall be listed in this column.

If an Air Operations paragraph is not relevant, the remark N/A shall be inserted in the relevant reference field. Therefore, all lines must have a remark either stating the OM reference or N/A, as applicable.

## Remarks column:

This column is for Authority use only. The operator may put remarks directly into the **Manual reference** column.

## Doc Stat column (Austro Control use only):

- ✓ Operator's OM is in compliance with the relevant paragraph(s)
- N** Operator's OM is **not** in compliance with the relevant paragraph(s)
- N/A** Not applicable for the relevant Operator / Operation

Note: This compliance list is a tool and does not replace a thorough study of official regulations.

Please help us to continuously improve the quality of this list. If you detect any error or deficiency mail to [ops@austrocontrol.at](mailto:ops@austrocontrol.at).

**Continue with checklist on next page.**

Respective legal reference	Requirement	Manual Reference	App/ Acc	Remarks (for Authority use only)	Doc Stat
DG (a)	Transport of dangerous goods				
SPA.DG.100	Except as provided for in Annex IV (Part-CAT), the operator shall only transport dangerous goods by air if the operator has been approved by the competent authority.				

Respective legal reference	Requirement	Manual Reference	App/ Acc	Remarks (for Authority use only)	Doc Stat
<b>DG (b) Approval to transport dangerous goods</b>					
SPA.DG.105(a)	To obtain the approval to transport dangerous goods, the operator shall in accordance with the technical instructions establish and maintain a training programme for all personnel involved and demonstrate to the competent authority that adequate training has been given to all personnel.				
SPA.DG.105(b)(1)	To obtain the approval to transport dangerous goods, the operator shall in accordance with the technical instructions establish operating procedures to ensure the safe handling of dangerous goods at all stages of air transport, containing information and instructions on the operator's policy to transport dangerous goods.				
SPA.DG.105(b)(2)	To obtain the approval to transport dangerous goods, the operator shall in accordance with the technical instructions establish operating procedures to ensure the safe handling of dangerous goods at all stages of air transport, containing information and instructions on the requirements for acceptance, handling, loading, stowage and segregation of dangerous goods.				
SPA.DG.105(b)(3)	To obtain the approval to transport dangerous goods, the operator shall in accordance with the technical instructions establish operating procedures to ensure the safe handling of dangerous goods at all stages of air transport, containing information and instructions on actions to take in the event of an aircraft accident or incident when dangerous goods are being carried.				
SPA.DG.105(b)(4)	To obtain the approval to transport dangerous goods, the operator shall in accordance with the technical instructions establish operating procedures to ensure the safe handling of dangerous goods at all stages of air transport, containing information and instructions on the response to emergency situations involving dangerous goods.				
SPA.DG.105(b)(5)	To obtain the approval to transport dangerous goods, the operator shall in accordance with the technical instructions establish operating procedures to ensure the safe handling of dangerous goods at all stages of air transport, containing information and instructions on the removal of any possible contamination.				
SPA.DG.105(b)(6)	To obtain the approval to transport dangerous goods, the operator shall in accordance with the technical instructions establish operating procedures to ensure the safe handling of dangerous goods at all stages of air transport, containing information and instructions on the duties of all personnel involved, especially with relevance to ground handling and aircraft handling.				

Respective legal reference	Requirement	Manual Reference	App/ Acc	Remarks (for Authority use only)	Doc Stat
SPA.DG.105(b)(7)	To obtain the approval to transport dangerous goods, the operator shall in accordance with the technical instructions establish operating procedures to ensure the safe handling of dangerous goods at all stages of air transport, containing information and instructions on inspection for damage, leakage or contamination.				
SPA.DG.105(b)(8)	To obtain the approval to transport dangerous goods, the operator shall in accordance with the technical instructions establish operating procedures to ensure the safe handling of dangerous goods at all stages of air transport, containing information and instructions on dangerous goods accident and incident reporting.				
AMC1 SPA.DG.105(a)/(a)	The operator should indicate for the approval of the training programme how the training will be carried out. For formal training courses, the course objectives, the training programme syllabus/curricula and examples of the written examination to be undertaken should be included.				
AMC1 SPA.DG.105(a)/(b)	Instructors should have knowledge of training techniques as well as in the field of transport of dangerous goods by air so that the subject is covered fully and questions can be adequately answered.				
AMC1 SPA.DG.105(a)/(c)	Training intended to give general information and guidance may be by any means including handouts, leaflets, circulars, slide presentations, videos, computer-based training, etc., and may take place on-the-job or off-the-job. The person being trained should receive an overall awareness of the subject. This training should include a written, oral or computer-based examination covering all areas of the training programme, showing that a required minimum level of knowledge has been acquired.				
AMC1 SPA.DG.105(a)/(d)	Training intended to give an in-depth and detailed appreciation of the whole subject or particular aspects of it should be by formal training courses, which should include a written examination, the successful passing of which will result in the issue of the proof of qualification. The course may be by means of tuition, as a self-study programme, or a mixture of both. The person being trained should gain sufficient knowledge so as to be able to apply the detailed rules of the Technical Instructions.				
AMC1 SPA.DG.105(a)/(e)(1)(i)	Training in emergency procedures should include as a minimum for personnel other than crew members: dealing with damaged or leaking packages.				
AMC1 SPA.DG.105(a)/(e)(1)(ii)	Training in emergency procedures should include as a minimum for personnel other than crew members: other actions in the event of ground emergencies arising from dangerous goods.				

Respective legal reference	Requirement	Manual Reference	App/ Acc	Remarks (for Authority use only)	Doc Stat
AMC1 SPA.DG.105(a)/(e)(2)(i)	Training in emergency procedures should include as a minimum for flight crew members: actions in the event of emergencies in flight occurring in the passenger compartment or in the cargo compartments				
AMC1 SPA.DG.105(a)/(e)(2)(ii)	Training in emergency procedures should include as a minimum for flight crew members: the notification to ATS should an in-flight emergency occur				
AMC1 SPA.DG.105(a)/(e)(3)(i)	Training in emergency procedures should include as a minimum for crew members other than flight crew members: dealing with incidents arising from dangerous goods carried by passengers				
AMC1 SPA.DG.105(a)/(e)(3)(ii)	Training in emergency procedures should include as a minimum for crew members other than flight crew members: dealing with damaged or leaking packages in flight				
AMC1 SPA.DG.105(a)/(f)	Training should be conducted at intervals of no longer than 2 years.				
AMC1 SPA.DG.105(b)	If an in-flight emergency occurs the pilot-in-command/commander should, as soon as the situation permits, inform the appropriate ATS unit of any dangerous goods carried as cargo on board the aircraft, as specified in the Technical Instructions.				
GM1 SPA.DG.105(b)(6)	Personnel include all persons involved in the transport of dangerous goods, whether they are employees of the operator or not.				

Respective legal reference	Requirement	Manual Reference	App/ Acc	Remarks (for Authority use only)	Doc Stat
<b>DG (c ) Dangerous goods information and documentation</b>					
SPA.DG.110(a)(1)	The operator shall, in accordance with the technical instructions provide written information to the pilot-in-command/commander about dangerous goods to be carried on the aircraft.				
SPA.DG.110(a)(2)	The operator shall, in accordance with the technical instructions provide written information to the pilot-in-command/commander for use in responding to in-flight emergencies.				
SPA.DG.110(b)	The operator shall, in accordance with the technical instructions use an acceptance checklist.				
SPA.DG.110(c)	The operator shall, in accordance with the technical instructions ensure that dangerous goods are accompanied by the required dangerous goods transport document(s), as completed by the person offering dangerous goods for air transport, except when the information applicable to the dangerous goods is provided in electronic form.				
SPA.DG.110(d)	The operator shall, in accordance with the technical instructions ensure that where a dangerous goods transport document is provided in written form, a copy of the document is retained on the ground where it will be possible to obtain access to it within a reasonable period until the goods have reached their final destination.				
SPA.DG.110(e)	The operator shall, in accordance with the technical instructions ensure that a copy of the information to the pilot-in-command/commander is retained on the ground and that this copy, or the information contained in it, is readily accessible to the aerodromes of last departure and next scheduled arrival, until after the flight to which the information refers.				
SPA.DG.110(f)	The operator shall, in accordance with the technical instructions retain the acceptance checklist, transport document and information to the pilot-in-command/commander for at least three months after completion of the flight.				
SPA.DG.110(g)	The operator shall, in accordance with the technical instructions retain the training records of all personnel for at least three years.				
AMC1 SPA.DG.110(a)	If the volume of information provided to the pilot-in-command/commander by the operator is such that it would be impracticable to transmit it in the event of an in-flight emergency, an additional summary of the information should also be provided, containing at least the quantities and class or division of the dangerous goods in each cargo compartment.				

Respective legal reference	Requirement	Manual Reference	App/ Acc	Remarks (for Authority use only)	Doc Stat
AMC1 SPA.DG.110(b)/(a)(1)	The operator should not accept dangerous goods unless the package, overpack or freight container has been inspected in accordance with the acceptance procedures in the Technical Instructions.				
AMC1 SPA.DG.110(b)/(a)(2)	The operator should not accept dangerous goods unless they are accompanied by two copies of a dangerous goods transport document or the information applicable to the consignment is provided in electronic form, except when otherwise specified in the Technical Instructions.				
AMC1 SPA.DG.110(b)/(a)(3)	The operator should not accept dangerous goods unless the English language is used for package marking and labelling and the dangerous goods transport document, in addition to any other language provision.				
AMC1 SPA.DG.110(b)/(a)(3)	The operator should not accept dangerous goods unless the English language is used for package marking and labelling and the dangerous goods transport document in addition to any other language provision.				
AMC1 SPA.DG.110(b)/(b)(1)	The operator or his/her handling agent should use an acceptance checklist which allows for all relevant details to be checked.				
AMC1 SPA.DG.110(b)/(b)(2)	The operator or his/her handling agent should use an acceptance checklist which allows for the recording of the results of the acceptance check by manual, mechanical or computerised means.				



Respective legal reference	Requirement	Manual Reference	App/ Acc	Remarks (for Authority use only)	Doc Stat
----------------------------	-------------	------------------	----------	----------------------------------	----------

Additional remarks

End of Compliance List