

# *Relational Algebra*

Adapted slides by Manos Athanassoulis

# Up to now ...

we have been discussing how to:

- (i) model the requirements
- (ii) translate them into relational schema
- (iii) refine the schema

today: execute queries

relational algebra

# Reminders

## Relation

Schema: relation name, attributes (type & name)

**Students**(*sid*: string, *name*: string, *login*: string, *age*: integer, *gpa*: real)

## Instance

a *table* containing *rows* of such *columns*

every relation instance is a set (all rows distinct)

# Relational Algebra

Relational Query Languages

Selection & Projection

Union, Set Difference & Intersection

Cross product & Joins

Examples

Division

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# Relational Query Languages

Query languages: manipulation and retrieval of data

Relational model supports simple, powerful QLs:

- Strong formal foundation based on logic.

- Allows for much optimization.

Query Languages **!=** programming languages!

- QLs not expected to be “Turing complete”.

- QLs not intended to be used for complex calculations.

- QLs support easy, efficient access to large data sets.

# Formal Relational Query Languages

Two mathematical Query Languages form the basis for “real” languages (e.g. SQL), and for implementation:

Relational Algebra: More **operational**, very useful for representing execution plans.

Relational Calculus: Lets users describe what they want, rather than how to compute it. (**Non-procedural**, declarative.)

*Understanding Algebra & Calculus is key to understanding SQL, query processing!*

# Preliminaries

## Query

from a **relation instance** to a **relation instance**

**input & output schema**

*different but fixed*

*queries run over any legal instances*

*output schema defined by the query constructs*

***attribute notation***

*positional & name-field*



# Relational Algebra: 5 Basic Operations

Selection ( $\sigma$ ) Selects a subset of *rows* from relation (horizontal).

Projection ( $\pi$ ) Retains only wanted *columns* from relation (vertical).

Cross-product ( $\times$ ) Allows us to combine two relations.

Set-difference ( $-$ ) Tuples in  $R_1$ , but not in  $R_2$ .

Union ( $\cup$ ) Tuples in  $R_1$  and/or in  $R_2$ .

each operation returns a relation : **composability** (Algebra is “closed”)

# Example Instances

 $R_1$ 

<u>sid</u>	<u>bid</u>	<u>day</u>
22	101	10/10/16
58	103	11/12/16

**Boats**

<u>bid</u>	bname	color
101	Interlake	blue
102	Interlake	red
103	Clipper	green
104	Marine	red

 $S_1$ 

<u>sid</u>	sname	rating	age
22	dustin	7	45.0
31	lubber	8	55.5
58	rusty	10	35.0

 $S_2$ 

<u>sid</u>	sname	rating	age
28	yuppy	9	35.0
31	lubber	8	55.5
44	guppy	5	35.0
58	rusty	10	35.0

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# Projection

Examples:  $\pi_{age}(S_2)$  ;  $\pi_{sname, rating}(S_2)$

retains only attributes that are in the “*projection list*”

*schema* of result:

fields of projection list (with the same names)

projection operator has to *eliminate duplicates*

why we may have duplicates? why remove them?



Note: systems typically don't do duplicate elimination unless the user explicitly asks for it (Why not?)



# Projection

<u>sid</u>	sname	rating	age
28	yuppy	9	35.0
31	lubber	8	55.5
44	guppy	5	35.0
58	rusty	10	35.0

$S_2$

sname	rating
yuppy	9
lubber	8
guppy	5
rusty	10

$\pi_{sname, rating}(S_2)$

# Projection

<u>sid</u>	sname	rating	age
28	yuppy	9	35.0
31	lubber	8	55.5
44	guppy	5	35.0
58	rusty	10	35.0

$S_2$

sname	rating
yuppy	9
lubber	8
guppy	5
rusty	10

$\pi_{sname, rating}(S_2)$

age
35.0
55.5

$\pi_{age}(S_2)$

# Selection ( $\sigma$ )

selects rows that satisfy a *selection condition*

result: has the same *schema* as the input relation

do we need to do duplicate elimination?



<u>sid</u>	sname	rating	age
28	yuppy	9	35.0
<del>31</del>	<del>lubber</del>	<del>8</del>	<del>55.5</del>
<del>44</del>	<del>guppy</del>	<del>5</del>	<del>35.0</del>
58	rusty	10	35.0

$$\sigma_{rating > 8}(S_2)$$

# Selection ( $\sigma$ )

selects rows that satisfy a *selection condition*

result: has the same *schema* as the input relation

do we need to do duplicate elimination?

<u>sid</u>	sname	rating	age
28	yuppy	9	35.0
31	lubber	8	55.5
44	guppy	5	35.0
58	rusty	10	35.0

$\sigma_{rating > 8}(S_2)$

sname	rating
yuppy	9
rusty	10

$\pi_{sname, rating}(\sigma_{rating > 8}(S_2))$



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**Union, Set Difference & Intersection**

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# Union and Set-Difference

the set operations take two input relations  
which must be union-compatible

- (i) same number of fields
- (ii) “corresponding” fields have the same type

for which, if any, is duplicate elimination required?  
(union/~~set-difference~~)



# Union

<u>sid</u>	sname	rating	age
22	dustin	7	45.0
31	lubber	8	55.5
58	rusty	10	35.0

$s_1$

sid	sname	rating	age
22	dustin	7	45.0
31	lubber	8	55.5
58	rusty	10	35.0
44	guppy	5	35.0
28	yuppy	9	35.0

$s_1 \cup s_2$

<u>sid</u>	sname	rating	age
28	yuppy	9	35.0
31	lubber	8	55.5
44	guppy	5	35.0
58	rusty	10	35.0

$s_2$

# Set Difference

<u>sid</u>	sname	rating	age
22	dustin	7	45.0
31	lubber	8	55.5
58	rusty	10	35.0

$S_1$

<u>sid</u>	sname	rating	age
28	yuppy	9	35.0
31	lubber	8	55.5
44	guppy	5	35.0
58	rusty	10	35.0

$S_2$

<u>sid</u>	sname	rating	age
22	dustin	7	45.0

$S_1 - S_2$

<u>sid</u>	sname	rating	age
28	yuppy	9	35.0
44	guppy	5	35.0

$S_2 - S_1$

# Compound Operator: Intersection

in addition to the 5 basic operators

several additional **compound operators**

no new computational power, but useful shorthands

can be expressed solely with the basic ops

**intersection** takes two union-compatible relations

Q: How to express it using basic operators?

$$R \cap S = R - (R - S)$$



# Intersection

<u>sid</u>	sname	rating	age
22	dustin	7	45.0
31	lubber	8	55.5
58	rusty	10	35.0

$s_1$

<u>sid</u>	sname	rating	age
28	yuppy	9	35.0
31	lubber	8	55.5
44	guppy	5	35.0
58	rusty	10	35.0

$s_2$

sid	sname	rating	age
31	lubber	8	55.5
58	rusty	10	35.0

$s_1 \cap s_2$

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# Cross-Product

$S_1 \times R_1$ : each row of  $S_1$  paired with each row of  $R_1$   
how many rows in the result?



*result schema* has one field per field of  $S_1$  and  $R_1$ , with field names “inherited” (if possible)

*may have a naming conflict:*

both  $S_1$  and  $R_1$  have a field with the same name

in this case, can use the *renaming operator*:

$$\rho(C(1 \rightarrow sid_1, 5 \rightarrow sid_2), S_1 \times R_1)$$



# Cross Product Example

<u>sid</u>	sname	rating	age
22	dustin	7	45.0
31	lubber	8	55.5
58	rusty	10	35.0

$S_1$

<u>sid</u>	<u>bid</u>	<u>day</u>
22	101	10/10/16
58	103	11/12/16

$R_1$

$S_1 \times R_1 =$

(sid)	sname	rating	age	(sid)	bid	day
22	dustin	7	45.0	22	101	10/ 10/ 16
22	dustin	7	45.0	58	103	11/ 12/ 16
31	lubber	8	55.5	22	101	10/ 10/ 16
31	lubber	8	55.5	58	103	11/ 12/ 16
58	rusty	10	35.0	22	101	10/ 10/ 16
58	rusty	10	35.0	58	103	11/ 12/ 16

# Compound Operator: Join

**Joins** are compound operators :  $\times$ ,  $\sigma$ , (sometimes)  $\pi$

frequent type is “natural join” (often called “join”)

$R \bowtie S$  conceptually is:

compute  $R \times S$

***select*** rows where attributes in both **R**, **S** have equal values

***project*** all unique attributes and one copy of the common ones

Note: Usually done much more efficiently than this  
Useful for putting normalized relations back together

# Natural Join Example

<u>sid</u>	sname	rating	age
22	dustin	7	45.0
31	lubber	8	55.5
58	rusty	10	35.0

$S_1$

<u>sid</u>	<u>bid</u>	<u>day</u>
22	101	10/10/16
58	103	11/12/16

$R_1$

$S_1 \bowtie R_1 =$

sid	sname	rating	age	bid	day
22	dustin	7	45.0	101	10/ 10/ 16
58	rusty	10	35.0	103	11/ 12/ 16

# Natural Join Example

1

$S_1 \times R_1 =$

(sid)	sname	rating	age	(sid)	bid	day
22	dustin	7	45.0	22	101	10/ 10/ 16
22	dustin	7	45.0	58	103	11/ 12/ 16
31	lubber	8	55.5	22	101	10/ 10/ 16
31	lubber	8	55.5	58	103	11/ 12/ 16
58	rusty	10	35.0	22	101	10/ 10/ 16
58	rusty	10	35.0	58	103	11/ 12/ 16

# Natural Join Example

1

$S_1 \times R_1 =$

2

$\sigma$

(sid)	sname	rating	age	(sid)	bid	day
22	dustin	7	45.0	22	101	10/ 10/ 16
<del>22</del>	<del>dustin</del>	<del>7</del>	<del>45.0</del>	<del>58</del>	<del>103</del>	<del>11/ 12/ 16</del>
<del>31</del>	<del>lubber</del>	<del>8</del>	<del>55.5</del>	<del>22</del>	<del>101</del>	<del>10/ 10/ 16</del>
<del>31</del>	<del>lubber</del>	<del>8</del>	<del>55.5</del>	<del>58</del>	<del>103</del>	<del>11/ 12/ 16</del>
<del>58</del>	<del>rusty</del>	<del>10</del>	<del>35.0</del>	<del>22</del>	<del>101</del>	<del>10/ 10/ 16</del>
58	rusty	10	35.0	58	103	11/ 12/ 16

# Natural Join Example

1

$S_1 \times R_1 =$

(sid)	sname	rating	age	(sid)	bid	day
22	dustin	7	45.0	22	101	10/ 10/ 16
<del>22</del>	<del>dustin</del>	<del>7</del>	<del>45.0</del>	<del>58</del>	<del>103</del>	<del>11/ 12/ 16</del>
<del>31</del>	<del>lubber</del>	<del>8</del>	<del>55.5</del>	<del>22</del>	<del>101</del>	<del>10/ 10/ 16</del>
<del>31</del>	<del>lubber</del>	<del>8</del>	<del>55.5</del>	<del>58</del>	<del>103</del>	<del>11/ 12/ 16</del>
<del>58</del>	<del>rusty</del>	<del>10</del>	<del>35.0</del>	<del>22</del>	<del>101</del>	<del>10/ 10/ 16</del>
58	rusty	10	35.0	58	103	11/ 12/ 16

2

$\sigma$

$\pi$

3

$S_1 \bowtie R_1 =$

sid	sname	rating	age	bid	day
22	dustin	7	45.0	101	10/ 10/ 16
58	rusty	10	35.0	103	11/ 12/ 16

# Other Types of Joins

condition join (or “theta-join”)

$$R \bowtie_c S = \sigma_c(R \times S)$$

(sid)	sname	rating	age	(sid)	bid	day
22	dustin	7	45.0	58	103	11/ 12/ 16
31	lubber	8	55.5	58	103	11/ 12/ 16

$$S_1 \bowtie_{S_1.sid < R_1.sid} R_1$$

result schema same as that of cross-product

may have fewer tuples than cross-product

Equi-Join: Special case: condition c contains only conjunction of *equalities*.

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**Examples**

Division



# Examples

## *Reserves*

<u>sid</u>	<u>bid</u>	<u>day</u>
22	101	10/10/16
58	103	11/12/16

## *Sailors*

<u>sid</u>	sname	rating	age
22	dustin	7	45.0
31	lubber	8	55.5
58	rusty	10	35.0

## *Boats*

<u>bid</u>	bname	color
101	Interlake	Blue
102	Interlake	Red
103	Clipper	Green
104	Marine	Red

**Reserves** (sid, bid, day)

**Sailors** (sid, sname, rating, age)

**Boats** (bid, bname, color)

**Find names of sailors who have reserved boat #103**

**Solution 1:**

$\pi_{sname}((\sigma_{bid=103} Reserves) \bowtie Sailors)$

**Solution 2:**

$\pi_{sname}(\sigma_{bid=103}(Reserves \bowtie Sailors))$

another solution?



**Reserves** (sid, bid, day)

**Sailors** (sid, sname, rating, age)

**Boats** (bid, bname, color)

**Find names of sailors who have reserved a red boat**

boat color only available in Boats; need an extra join:

$\pi_{sname}((\sigma_{color='red'} Boats) \bowtie Reserves \bowtie Sailors)$

a more efficient solution:

why more efficient?



$\pi_{sname}(\pi_{sid}((\pi_{bid} \sigma_{color='red'} Boats) \bowtie Res) \bowtie Sailors)$

a query optimizer can find this given the first solution!

**Reserves** (sid, bid, day)

**Sailors** (sid, sname, rating, age)

**Boats** (bid, bname, color)

**Find sailors who have reserved a red or a green boat**

identify all red or green boats first

$\rho (Tempboats, (\sigma_{color='red' \vee color='green'} Boats))$

then find sailors who have reserved one of these boats:

$\pi_{sname}(Tempboats \bowtie Reserves \bowtie Sailors)$

**Reserves** (sid, bid, day)

**Sailors** (sid, sname, rating, age)

**Boats** (bid, bname, color)

**Find sailors who have reserved a red and a green boat**

Previous approach will not work! **Why?**



identify sailors who have reserved red boats

$\rho (Tempred, \pi_{sid}((\sigma_{color='red'} Boats) \bowtie Reserves))$

sailors who have reserved green boats

$\rho (Tempgreen, \pi_{sid}((\sigma_{color='green'} Boats) \bowtie Reserves))$

then find the intersection (*sid is a key for Sailors*)

$\pi_{sname}((Tempred \cap Tempgreen) \bowtie Sailors)$

## More examples – Your turn!

1. Find (the name of) all sailors whose rating is above 9
2. Find all sailors who reserved a boat prior to November 1, 2016
3. Find (the names of) all boats that have been reserved at least once
4. Find all pairs of sailors with the same rating
5. Find all pairs of sailors in which the older sailor has a lower rating

**Reserves** (sid, bid, day)

**Sailors** (sid, sname, rating, age)

**Boats** (bid, bname, color)

**(1) Find (the name of) all sailors whose rating is above  
9**



$\pi_{sname}(\sigma_{rating>9}(Sailors))$

**Reserves** (sid, bid, day)

**Sailors** (sid, sname, rating, age)

**Boats** (bid, bname, color)

**(2) Find all sailors who reserved a boat prior to November 1, 2016**


$$\pi_{sname}(Sailors \bowtie \sigma_{day < '11/1/16'}(Reserves))$$



**Reserves** (sid, bid, day)

**Sailors** (sid, sname, rating, age)

**Boats** (bid, bname, color)



**(3) Find (the names of) all boats that have been reserved at least once**

$$\pi_{bname}(Boats \bowtie Reserves)$$

**Reserves** (sid, bid, day)

**Sailors** (sid, sname, rating, age)

**Boats** (bid, bname, color)



**(4) Find all pairs of sailors with the same rating**

$\rho(S_1(1 \rightarrow sid_1, 2 \rightarrow sname_1, 3 \rightarrow rating_1, 4 \rightarrow age_1), Sailors)$

$\rho(S_2(1 \rightarrow sid_2, 2 \rightarrow sname_2, 3 \rightarrow rating_2, 4 \rightarrow age_2), Sailors)$

$\pi_{sname_1, sname_2} (S_1 \bowtie_{rating_1 = rating_2 \wedge sid_1 \neq sid_2} S_2)$

is this ok?



$sid_1 < sid_2$

**Reserves** (sid, bid, day)

**Sailors** (sid, sname, rating, age)

**Boats** (bid, bname, color)

**(5) Find all pairs of sailors in which the older sailor has a lower rating**



$$\pi_{sname_1, sname_2} (S_1 \bowtie_{rating_1 < rating_2 \wedge age_1 > age_2} S_2)$$