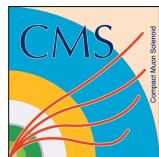
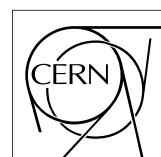

2 **Consolidation and longevity studies on CMS**
3 **Resistive Plate Chamber system in the context**
4 **of upgrade of CMS Muon System towards High**
5 **Luminosity LHC**

6 Alexis Fagot

7



Thesis to obtain the degree of
Doctor of Philosophy in Physics
Academic years 2012-2018





Universiteit Gent
Faculteit Wetenschappen
Vakgroep Fysica en Sterrenkunde

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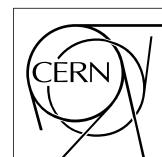
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Table of Contents

22

23	Acknowledgements	i
24	Nederlandse samenvatting	vii
25	English summary	ix
26	1 Introduction	1-1
27	2 Investigating the TeV scale	2-1
28	2.1 The Standard Model of Particle Physics	2-1
29	2.1.1 A history of particle physics	2-2
30	2.1.2 Construction and test of the model	2-10
31	2.1.3 Investigating the TeV scale	2-12
32	2.2 The Large Hadron Collider & the Compact Muon Solenoid	2-13
33	2.2.1 LHC, the most powerful particle accelerator	2-13
34	2.2.2 CMS, a multipurpose experiment	2-17
35	2.2.2.1 The silicon tracker, core of CMS	2-19
36	2.2.2.2 The calorimeters, measurement of particle's energy	2-19
37	2.2.2.3 The muon system, corner stone of CMS	2-21
38	3 Muon Phase-II Upgrade	3-1
39	3.1 High Luminosity LHC and muon system requirements	3-2
40	3.2 Necessity for improved electronics	3-5
41	3.3 New detectors and increased acceptance	3-8
42	3.3.1 Improved forward resistive plate chambers	3-9
43	3.3.2 Gas electron multipliers	3-12
44	3.3.3 Installation schedule	3-19
45	3.4 Implications of the different upgrades on the Level-1 Trigger. Improvement of	
46	physics performance.	3-20
47	3.5 Ecofriendly gas studies	3-24
48	4 Physics of Resistive plate chambers	4-1
49	4.1 Principle	4-1
50	4.2 Rate capability and time resolution of Resistive Plate Chambers	4-3
51	4.2.1 Operation modes	4-3
52	4.2.2 Standard gas mixture for RPCs operated in collider experiments	4-5
53	4.2.3 Detector designs and performance	4-9
54	4.2.3.1 Double gap RPC	4-11
55	4.2.3.2 Multigap RPC (MRPC)	4-13
56	4.2.3.3 Charge distribution and performance limitations	4-16
57	4.3 Signal formation	4-18

58	4.3.1	Energy loss at intermediate energies	4-19
59	4.3.2	Primary ionization	4-23
60	4.3.3	Development and propagation of avalanches	4-27
61	4.3.4	Drift and diffusion of the electron cloud	4-31
62	4.3.5	Space charge effect & streamers	4-33
63	4.4	Effect of atmospherical conditions on the detector's performance	4-37
64	5	Longevity studies and Consolidation of the present CMS RPC subsystem	5-1
65	5.1	Testing detectors under extreme conditions	5-2
66	5.1.1	GIF	5-4
67	5.1.2	GIF++	5-5
68	5.2	Preliminary studies at GIF	5-7
69	5.2.1	RPC test setup	5-7
70	5.2.2	Geometrical acceptance of the setup layout to cosmic muons	5-9
71	5.2.2.1	Description of the simulation layout	5-10
72	5.2.2.2	Simulation procedure	5-11
73	5.2.2.3	Results and limitations	5-12
74	5.2.3	Photon flux at GIF	5-14
75	5.2.4	Results and discussions	5-17
76	5.3	Longevity tests at GIF++	5-20
77	5.3.1	Selection and characterization of CMS RPCs for longevity at GIF++	5-20
78	5.3.2	RPC test setup	5-22
79	5.3.3	GIF++ data flow	5-24
80	5.3.4	Measurements performed during beam periods	5-26
81	5.3.4.1	Efficiency scans	5-26
82	5.3.4.2	Rate scans	5-26
83	5.3.4.3	Offline analysis and Data Quality Monitoring	5-27
84	5.3.5	Measurements performed during irradiation periods	5-28
85	5.3.5.1	Longevity scans	5-29
86	5.3.5.2	Daily rate monitoring scans	5-30
87	5.3.5.3	Weekly noise monitoring scans	5-31
88	5.3.5.4	Weekly source scans	5-31
89	5.3.5.5	Weekly current scans	5-32
90	5.3.5.6	Resistivity measurements	5-32
91	5.3.6	Results and discussions	5-32
92	6	Conclusions and outlooks	6-1
93	6.1	Conclusions	6-1
94	6.2	Outlooks	6-1
95	A	A data acquisition software for CAEN VME TDCs	A-1
96	A.1	GIF++ DAQ file tree	A-1
97	A.2	Usage of the DAQ	A-2
98	A.3	Description of the readout setup	A-3
99	A.4	Data read-out	A-3
100	A.4.1	V1190A TDCs	A-4
101	A.4.2	DataReader	A-6
102	A.4.3	Data quality flag	A-10
103	A.5	Communications	A-12
104	A.5.1	V1718 USB Bridge	A-13

105	A.5.2 Configuration file	A-13
106	A.5.3 WebDCS/DAQ intercommunication	A-17
107	A.5.4 Example of inter-process communication cycle	A-18
108	A.6 Software export	A-18
109	B Details on the offline analysis package	B-1
110	B.1 GIF++ Offline Analysis file tree	B-1
111	B.2 Usage of the Offline Analysis	B-2
112	B.2.1 Output of the offline tool	B-3
113	B.2.1.1 ROOT file	B-3
114	B.2.1.2 CSV files	B-5
115	B.3 Analysis inputs and information handling	B-6
116	B.3.1 Dimensions file and IniFile parser	B-6
117	B.3.2 TDC to RPC link file and Mapping	B-7
118	B.4 Description of GIF++ setup within the Offline Analysis tool	B-9
119	B.4.1 RPC objects	B-9
120	B.4.2 Trolley objects	B-10
121	B.4.3 Infrastructure object	B-11
122	B.5 Handeling of data	B-12
123	B.5.1 RPC hits	B-13
124	B.5.2 Clusters of hits	B-14
125	B.6 DAQ data Analysis	B-15
126	B.6.1 Determination of the run type	B-16
127	B.6.2 Beam time window calculation for efficiency runs	B-17
128	B.6.3 Data loop and histogram filling	B-18
129	B.6.4 Results calculation	B-19
130	B.6.4.1 Rate normalisation	B-19
131	B.6.4.2 Rate and activity	B-21
132	B.6.4.3 Strip masking tool	B-23
133	B.6.4.4 Output CSV files filling	B-25
134	B.7 Current data Analysis	B-29

135

Nederlandse samenvatting –Dutch Summary–

136

English summary

List of Figures

138

139	2.1	Through the gold foil experiment Rutherford could show that most of the mass of atoms was contained in a positively charged nucleus and could then propose a more accurate atomic model than that of Thomson.	2-3
140	2.2	Figure 2.2a: Meson octet. Figure 2.2b: Baryon octet. Figure 2.2c: Baryon decuplet. .	2-7
141	2.3	The elementary particles of the Standard Model are showed along with their names and properties. Their interactions with the strong, weak and electromagnetic forces have been explicated using color squares. In the left column, the scalar higgs boson is depicted, while the central is focused on the matter particles, the fermions, and the right on the force carriers, the gauge bosons. The role of the Higgs boson in electroweak symmetry breaking is highlighted, and the corresponding way properties of the various particles differ in the (high-energy) symmetric phase (top) and the (low-energy) broken-symmetry phase (bottom) are showed.	2-11
142	2.4	CERN accelerator complex.	2-14
143	2.5	Pictures of the different accelerators. From top to bottom: first the LINAC 2 and the <i>Pb</i> source of LINAC 3. Then the Booster and the LEIR. Finally, the PS, the SPS and the LHC.	2-15
144	2.6	Figure 2.6a: schematics of the LHC cryodipoles. 1: Superconducting Coils, 2: Beam pipe, 3: Heat exchanger Pipe, 4: Helium-II Vessel, 5: Superconducting Bus-bar, 6: Iron Yoke, 7: Non-Magnetic Collars, 8: Vacuum Vessel, 9: Radiation Screen, 10: Thermal Shield, 11: Auxiliary Bus-bar Tube, 12: Instrumentation Feed Throughs, 13: Protection Diode, 14: Quadrupole Bus-bars, 15: Spool Piece Bus-bars. Figure 2.6b: magnetic field and resulting motion force applied on the beam particles. . .	2-16
145	2.7	Figure 2.7a: picture of the LHC quadrupoles. Figure 2.7b: magnetic fields and resulting focussing force applied on the beam by 2 consecutive quadrupoles.	2-17
146	2.8	Picture of the CMS barrel. The red outer layer is the muon system hosted into the red iron return yokes. The calorimeters are the blue cylinder inside in magnet solenoid and the tracker is the inner yellow cylinder built around the beam pipe.	2-17
147	2.9	View of the CMS apparatus and of its different components.	2-18
148	2.10	Slice showing CMS sub-detectors and how particles interact with them.	2-19
149	2.11	CMS tracker.	2-19
150	2.12	Figure 2.12a: picture of the ECAL. Figure 2.12b: picture of the lead tungstate crystals composing the ECAL.	2-20
151	2.13	CMS hadron calorimeter barrel.	2-20
152	2.14	A quadrant of the muon system, showing DTs (yellow), RPCs (blue), and CSCs (green).	2-21
153	2.15	Figure 2.15a: Barrel wheel with its detector rings and return yokes. Figure 2.15b: CSC endcap disk with the 2 CSC stations. The outer station is made of 10 deg detectors while the inner station is made of 20 deg detectors. Figure 2.15c: RPC endcap disk. The inner station is not equipped and the inner CSC station can be seen.	2-22
154			
155			
156			
157			
158			
159			
160			
161			
162			
163			
164			
165			
166			
167			
168			
169			
170			
171			
172			
173			
174			
175			
176			
177			

- 178 2.16 Figure 2.16a: Cross section of a DT module showing the two superlayers measur-
 179 ing the ϕ coordinate, perpendicular to the cross section plane, and the superlayer
 180 measuring the η coordinate, placed in between the two others with honeycomb and
 181 parallel to the cross section plane. The DT detector is sandwiched in between 2
 182 RPCs whose readout strips are perpendicular to the cross section plane, measuring
 183 the ϕ coordinate. Figure 2.16b: A DT cell is shown together with its electric field.
 184 The path of a muon through a superlayer is shown. 2-22
- 185 2.17 Figure 2.17a: cathode strips and anode wire layout of a CSC panel. Figure 2.17b
 186 avalanche development and charge collection by anode wires and induction on cath-
 187 ode strips inside of a CSC panel. 2-23
- 188 2.18 Muon track reconstruction through the 6 panels of a CMS CSC using the infor-
 189 mation of anode wire groups and cathode strip charge distribution combined with
 190 comparator bits to decide on which half strip the muon is more likely to have passed. 2-23
- 191 2.19 Double gap layout of CMS RPCs. Muons passing through the gas volumes will cre-
 192 ate electron-ions pairs by ionising the gas. this ionisation will immediately translate
 193 into a developing avalanche. 2-24
- 194 3.1 Detailed timeline projection of for LHC and HL-LHC operation until 2039 show-
 195 ing the evolution of the instantaneous and integrated luminosity as designed (Fig-
 196 ure 3.1a) and in the ultimate case where the instantaneous luminosity is increased to
 197 $7.5 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$ (Figure 3.1b) [20, 22]. 3-2
- 198 3.2 Absorbed dose in the CMS cavern after an integrated luminosity of 3000 fb. Using
 199 the interaction point as reference, R is the transverse distance from the beamline and
 200 Z is the distance along the beamline. 3-3
- 201 3.3 A quadrant of the muon system, showing DTs (yellow), RPCs (blue), and CSCs
 202 (green). The locations of new forward muon detectors for Phase-II are contained
 203 within the dashed box and indicated in red for GEM stations (ME0, GE1/1, and
 204 GE2/1) and dark blue for improved RPC (iRPC) stations (RE3/1 and RE4/1). 3-4
- 205 3.4 Figure 3.4a: Extrapolated fraction of failing channels of the present DT MiC1 elec-
 206 tronics as a function of the integrated luminosity for different scenari until LS4. Fig-
 207 ure 3.4b: Comparison of the current (left) and upgraded (right) DT data processing.
 208 So far, the data is sent to service cavern of CMS facility via copper-to-optical-fiber
 209 translators (CuOF) by each MiC1. There, data including RPCs and outer hadron
 210 calorimeter is combined into trigger primitives (TPG) and transmitted by the Twin-
 211 Mux system to CMS Track Finder. The time-to-digital converter (TDC) data is col-
 212 lected and sent to the CMS data acquisition system (DAQ) by the micro read-out
 213 server (μ ROS). After the upgrade, the TDC data will be sent via optical links to
 214 a patch panel inside the experimental cavern by each MiC2, and transferred to the
 215 back-end, where triggering and event building will be performed. 3-6
- 216 3.5 Figure 3.5a: The event loss fractions as a function of the instantaneous luminosity is
 217 compared for CFEBs (Phase-1) and DCFEBs (Phase-II) at different CSC locations.
 218 HL-LHC luminosity is marked with the dashed brown line. Figure 3.5b: Comparison
 219 of the current (left) and upgraded (right) CSC data processing. A part of the con-
 220 nections in between ALCTs and DCFEBs, and the trigger mother boards (TMBs)
 221 and data acquisition mother boards (DMBs) will be upgraded toward optical data
 222 transfer. The detector dependent units (DDUs) used as interface in between CSCs'
 223 front-end electronics and the CMS DAQ will be replaced by new FED boards. 3-7
- 224 3.6 Comparison of the simulated time residuals in between reconstructed and true muon
 225 times without (blue) and with (red) the upgraded RPC link system. 3-8

226	3.7	RMS of the multiple scattering displacement as a function of muon p_T for the proposed forward muon stations. All of the electromagnetic processes such as bremsstrahlung and magnetic field effect are included in the simulation.	3-9
229	3.8	Simulation of the impact of RPC hit inclusion onto the local trigger primitive efficiency in station 3 (left) and station 4 (right). The contribution of iRPC starts above $ \eta = 1.8$	3-9
232	3.9	Expected hit rate due to neutrons, photons, electrons and positrons at HL-HLC instantaneous luminosity of $5 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$ in RE3/1 and RE4/1 chambers. The sensitivities of iRPCs used in the simulation for each particle are reported and differ from one endcap disk to another as the energies of the considered particles varies with the increasing distance from the interaction point.	3-10
237	3.10	Measured average charge per avalanche as a function of the effective electric field for different gas gap thickness in double gap RPCs using HPL electrodes.	3-11
239	3.11	The PETIROC time jitter as a function of the input signal amplitude, measured with and without internal clocks.	3-12
241	3.12	Schematics of a GEM showing the cathode on top, the GEM foil separating the gas volume into the drift region, in between the cathode and foil, and the induction region, in between the GEM foil and the anode, and the anode on which a 2D readout is installed. A negative voltage is applied on the cathode while the anode is connected to the ground.	3-13
246	3.13	Left: Picture of a CMS GEM foil provided by a scanning electron microscope. Right: Representation of the electric field lines in a GEM hole and of the amplification that electrons and ions undergo in the hole's volume due to the very intense electric field.	3-14
249	3.14	Schematic representation of CMS triple GEMs. The gas volume is divided into 4 areas. The drift area is the region where the primary electrons are created before being amplified a first time while passing through the first GEM foil. Then the process of drift and amplification is repeated twice in following two transfer areas and GEM foils. Finally, the charges have been amplified enough to induce current in the read-out strips while in the last drift area. The dimensions, potentials and electric fields are provided.	3-14
256	3.15	Simulated efficiency and rate of the standalone Level-1 muon trigger using tracks reconstructed in CSCs and all GEM stations compared with Phase-I values in the case where only CSCs are used or CSCs+GE1/1. The zones of inefficiency of the CSC subsystem are compensated by the addition of GEMs during Phase-II and the trigger rates is kept from increasing due to the high luminosity.	3-15
261	3.16	Figure 3.16a: Simulated resolution of the muon direction measurement $\Delta\phi$ with Phase-II conditions. In the second endcap station, the resolution is compared in the case of CSCs (ME2/1) alone and CSCs+GEMs (GE2/1+ME2/1) while a similar resolution measurement is given in the case of the first station (GE1/1+ME1/1). Figure 3.16b: The addition of GEM detectors on stations 1 and 2 (ME0 is considered to contribute to station station 1) as redundant system to CSCs allows to improve the muon momentum improvement through a more accurate measurement of the local bending angles ϕ_1 and ϕ_2	3-16
269	3.17	Schematics of the data communication chain for DAQ of the GEM subsystems. The sending of trigger data via optical links to the CSC OTMBs is only done for GE1/1 and GE2/1 to match the data with ME1/1 and ME2/1.	3-16

272	3.18	Figure 3.18a: Energy spectrum of GIF++ ^{137}Cs source as measured by the GE1/1 273 detector installed in GIF++. Figure 3.18b: Evolution of the normalized gain of the 274 GE1/1 detector installed at in GIF++ as a function of the integrated charge per unit 275 area. The first part of the study, up to a charge of 55 mC/cm^2 had been done in the 276 former Gamma Irradiation Facility (GIF) that has now been dismantled following 277 the construction of GIF++. No variation of the normalized gain can be observed 278 after an accumulation of 110 mC/cm^2	3-17
279	3.19	Figure 3.19a: Comparison of the gas gain as a function of the divider voltage before 280 and after the irradiation of a triple-GEM by neutrons in CHARM. Figure 3.19b: 281 Comparison of the gas gain as a function of the divider voltage before and after the 282 irradiation of a triple-GEM by alpha particles.	3-18
283	3.20	Data flow of the Level-1 Trigger during Phase-II operations.	3-20
284	3.21	Comparison of Phase-II DT trigger primitives algorithmic efficiency for segments 285 obtained with 2 super-layers (quality $\geq 6/8$) and 1 super-layer only (quality = 4/4). 286 The simulation was done by generating 2×10^6 muons. The candidate tracks with 287 correct time identification is showed with open symbols.	3-21
288	3.22	Simulated spatial (3.22a) and angular (3.22b) resolution of the algorithm using 8 289 aligned hits in both super-layers (quality = 8/8) and 4 aligned hits in only one super- 290 layer (quality = 4/4). The contribution of intermediate quality tracks (6 aligned hits) 291 is negligible in the angular range shown. [Be careful to update this caption as it 292 uses a text to close to the published one.]	3-21
293	3.23	Efficiency of the L1 trigger in the endcap region after Phase-II upgrade in the case 294 CSC/GEM/RPC hits are requested in at least 2 stations out of four (3.23a) and in all 295 four stations (3.23b).	3-22
296	3.24	Resolution of the LCT ambiguous events thanks to the combination of CSC and 297 iRPC readout data. Using CSCs only, 2 pairs of hits are possible.	3-23
298	3.25	The angular resolution on reconstructed muon tracks in the GEM overlap region 299 $2.0 < \eta < 2.15$ is compared for Phase-II conditions in the case CSC are alone 300 (Figure 3.25a) and in the case the GEMs' data, including ME0, is combined to which 301 of CSCs (Figure 3.25b).	3-23
302	3.26	Comparison of L1 trigger performances for prompt muons with and without the 303 addition of GEMs in the region $2.1 < \eta < 2.4$ at Phase-II conditions. GEMs would 304 allow a reduction of the trigger accept rate by an order of magnitude (Figure 3.26a) 305 while increasing the trigger efficiency (Figure 3.26b).	3-24
306	3.27	The efficiency (solid lines) and streamer probability (dashed lines) of HFO/CO_2 307 (Figure 3.27a) and CF_3I/CO_2 (Figure 3.27b) based gas mixtures as a function of 308 the effective high-voltage are compared with the present CMS RPC gas mixture 309 represented in black. The detector used for the study is a single gap RPC with similar 310 properties than CMS RPCs. The streamer probability is defined as the proportion of 311 events with a deposited charge greater than 20 pC	3-25
312	4.1	Different phases of the avalanche development in the RPC gas volume subjected to 313 a constant electric field E_0 . a) An avalanche is initiated by the primary ionisation 314 caused by the passage of a charged particle through the gas volume. b) Due to 315 its growing size, the avalanche starts to locally influence the electric field. c) The 316 electrons, lighter than the cations reach the anode first. d) The ions reach the cathode. 317 While the charges have not recombined, the electric field in the small region around 318 the avalanche stays affected and locally blinds the detector.	4-2

- 319 4.2 Movement of the charge carriers in an RPC. Figure 4.2a: Voltage across an RPC
 320 whose electrode have a relative permittivity of 5 at the moment the tension s applied.
 321 Figure 4.2b: After the charge carriers moved, the electrodes are charged and there
 322 is no voltage drop over the electrodes anymore. The full potential is applied on the
 323 gas gap only. Figure 4.2c: The streamer discharge initiated by a charged particle
 324 transports electrons and cations towards the anode and cathode respectively. 4-4
- 325 4.3 Typical oscilloscope pulses in streamer mode (Figure 4.3a) and avalanche mode(Figure 4.3b).
 326 In the case of streamer mode, the very small avalanche signal is visible. 4-4
- 327 4.4 Rate capability comparison for the streamer and avalanche mode of operation. An
 328 order of magnitude in rate capability for a maximal efficiency drop of 10% is gained
 329 by using the avalanche mode over the streamer mode. 4-5
- 330 4.5 Comparison of the charge distribution of signals induced by cosmic muons in an
 331 RPC operated with a gas mixture of argon, butane and bromotrifluoromethane (CF_3Br).
 332 The Ar/C_4H_{10} is kept constant at 60/40 in volume while the total amount of CF_3Br
 333 in the mixture is varied: 0% (Figure 4.5a), 4% (Figure 4.5b) and 8% (Figure 4.5c) [39]. 4-6
- 334 4.6 Comparison of the efficiency and streamer probability, defined as the fraction of
 335 events with an induced charge 10 times larger than that of the average avalanche,
 336 with and without irradiation by a 24 GBq ^{137}Cs source of an RPC successively
 337 operated with a 90/10 mixture $C_2H_2F_4/i-C_4H_{10}$ (Figure 4.6a) and a 70/5/10/15
 338 mixture of $Ar/i-C_4H_{10}/CO_2/C_2H_2F_4$ (Figure 4.6b) [40]. 4-6
- 339 4.7 Comparison of the fast charge ratio with and without irradiation by a 24 GBq ^{137}Cs
 340 source of an RPC successively operated with a 90/10 mixture $C_2H_2F_4/i-C_4H_{10}$
 341 (Figure 4.7a) and a 70/5/10/15 mixture of $Ar/i-C_4H_{10}/CO_2/C_2H_2F_4$ (Figure 4.7b).
 342 The results are provided for both single gap and double gap operation [40]. 4-7
- 343 4.8 Effeciency (circles and stars with 30 mV and 100 mV thresholds respectively) and
 344 streamer probability (opened circles) as function of the operating voltatge of a 2 mm
 345 single gap HPL RPC flushed with a gas mixture containing (a) 5%, (b) 2%, (c) 1%
 346 and (d) no SF_6 [42]. 4-8
- 347 4.9 Evolution of the efficiency, working voltage, and voltage at 50% of maximum ef-
 348 ficiency for CMS Barrel (Figure 4.9a) and Endcap (Figure 4.9b) RPCs obtained
 349 through yearly voltage scans since 2011. The working voltage of each RPC is up-
 350 dated after each voltage scan to ensure optimal operation [57]. 4-9
- 351 4.10 Comparison of the rate capability of 8 mm and 2 mm wide RPCs. The lines are
 352 linear fits on the data [59]. 4-10
- 353 4.11 Distributions of the induced charge of fast signals on 2 mm (Figure 4.11a) and 8 mm
 354 (Figure 4.11b) RPCs exposed to a radiation rate of 100 Hz/cm². Average induced
 355 charge of fast signals as a function of the high voltage applied on 2 mm and 8 mm
 356 RPCs (Figure 4.11c). In the case of the 2 mm RPC, a saturation of the pre-amplifier
 357 was observed. The average of the distribution is underestimated and the median is
 358 showed together with the average to account for this bias [59]. 4-10
- 359 4.12 Time distributions of the leading, trailing, and average of both leading and traling
 360 edges for 2 mm (top row) and 8 mm (bottom row) RPCs exposed to a 100 Hz/cm²
 361 radiation rate. The data was collected with RPCs operated at the voltage correspond-
 362 ing to the knee of the efficiency distribution, defined as the point where 95% of the
 363 maximum efficiency is obtained [59]. 4-11

- 364 4.13 Possible double-gap RPC layouts: a) "standard" 1D double-gap RPC, as used in
 365 CMS experiment, where the anodes are facing each other and a 1D read-out plane
 366 is sandwiched in between them, b) double read-out double-gap RPC as used in AT-
 367 LAS experiment, where the cathodes are facing each other and 2 read-out planes are
 368 used on the outer surfaces. This last layout can offer the possibility to use a 2D
 369 reconstruction by using orthogonal read-out planes. 4-12
- 370 4.14 Comparison of performance of CMS double and single gap RPCs using cosmic
 371 muons [55]. Figure 4.14a: Comparison of efficiency sigmoids. Figure 4.14b: Volt-
 372 age distribution at 95% of maximum efficiency. Figure 4.14c: $\Delta_{10\%}^{90\%}$ distribution. . . 4-12
- 373 4.15 Representation of different RPC layouts (wide gap on Figure (a), double gap on
 374 Figure (b) and multigap on Figure (c)), of the corresponding sensitive volume in
 375 gray, and of the associated avalanche size [45]. 4-13
- 376 4.16 Time distributions of the leading, trailing, and average of both leading and trailing
 377 edges for multigap RPCs consisting in two 4 mm (Figure 4.16a) and four 2 mm
 378 (Figure 4.16b) exposed to a 100 Hz/cm² radiation rate. The data was collected with
 379 RPCs operated at the voltage corresponding to the knee of the efficiency distribution,
 380 defined as the point where 95% of the maximum efficiency is obtained [45]. 4-14
- 381 4.17 Presentation of a study of a possible ALICE MRPC cell using 250 μm gas gaps,
 382 620 μm outer glass electrodes, and 550 μm inner floating electrodes (Figure 4.17a),
 383 and of its time resolution performance as a function of the applied high voltage for
 384 different radiation levels referred through different filter settings of the 740 GBq
¹³⁷Cs source the former CERN GIF facility [60]. 4-14
- 386 4.18 Particle identification applied to electrons in the STAR experiment. The identifica-
 387 tion is performed combining ToF and dE/dx measurements [65]. 4-15
- 388 4.19 Comparison of the detector performance of ALICE ToF MRPC [66] at fixed applied
 389 voltage (in blue) and at fixed effective voltage (in red). The effective voltage is kept
 390 fixed by increasing the applied voltage accordingly to the current drawn by the detector. 4-16
- 391 4.20 Ratio between total induced and drifting charge have been simulated for single gap,
 392 double-gap and multigap layouts [67]. The total induced charge for a double-gap
 393 RPC is a factor 2 higher than for a multigap. 4-17
- 394 4.21 Charge spectra have been simulated for single gap, double-gap and multigap lay-
 395 outs [67]. It appears that when single gap shows a decreasing spectrum, double and
 396 multigap layouts exhibit a spectrum whose peak is detached from the origin. The
 397 detachment gets stronger as the number of gaps increases. 4-17
- 398 4.22 The maximal theoretical efficiency is simulated for single gap, double-gap and multi-
 399 gap layouts [67] at a constant gap thickness of 2 mm and using an effective Townsend
 400 coefficient of 9 mm⁻¹. 4-18
- 401 4.23 Mass stopping power as a function of $\beta\gamma = p/Mc$ for positive muons in copper [68].
 402 The total stopping power is indicated with solid line and local components with
 403 dashed lines. the vertical bands are used to indicate boundaries between different
 404 approximations used at different energy range. 4-18
- 405 4.24 Mean mass stopping power for liquid hydrogen, as used in bubble chambers, gaseous
 406 helium, carbon, aluminum, iron, tin, and lead without the inclusion of radiative effect
 407 at higher $\beta\gamma$ necessary for pions and muons in denser materials [68]. 4-20
- 408 4.25 Mean excitation energies normalized to the atomic number as adopted by the ICRU [68,
 409 72, 73]. 4-21
- 410 4.26 Mean mass stopping power at minimum ionization as a function of the atomic num-
 411 ber [68]. 4-21

412	4.27 Example of straggling function $f(\Delta)$ of particles passing through 1.2 cm of Argon 413 gas with a $\beta\gamma$ of 3.6 and represented with a solid line. The original Landau distribu- 414 tion is showed with a dashed line [74].	4-22
415	4.28 Evolution of straggling functions $f(\Delta)$ of particles passing through a volume of 416 Argon gas with a $\beta\gamma$ of 3.6 with increasing thickness x [74].	4-22
417	4.29 Photo-absorption cross section as computed by HEED for nobles gases with different 418 electric shell numbers [70].	4-24
419	4.30 Photo-absorption cross section as computed by HEED for typical RPC gas mix- 420 tures [70]. The RPC mixture with CO_2 corresponds to the mixture used by CALICE 421 SDHCAL [78] while the other one was foreseen for the experiment ATLAS [79] but 422 has been changed since then.	4-25
423	4.31 Distributions of number of electrons (left) and energy loss (right) for a 5 GeV/c 424 muon passing through 1.2 mm of Helium (Figure 4.31a), Argon (Figure 4.31b) or a 425 typical RPC gas mixture (Figure 4.31c) [70].	4-26
426	4.32 Figure 4.32a: Mean cluster density for muons through different gas volumes [70]. 427 Figure 4.32b: Distribution of the number of electrons per cluster for a 5 GeV/c 428 muon traveling through a mixture of 96.7% $C_2H_2F_4$, 3% i- C_4H_{10} and 0.3% SF_6 [70, 429 79].	4-27
430	4.33 Townsend and attachment coefficient for a typical 96.7/3/0.3 mixture of $C_2H_2F_4$ /i- 431 C_4H_{10} / SF_6 , at a temperature $T = 296.15$ K and a pressure $P = 1013$ hPa [70, 79]. .	4-28
432	4.34 Comparison of the distribution law of Furry and the Poisson law for $\bar{n} = 5$ [82]. .	4-28
433	4.35 Single-electron avalanche size distribution in a proportionnal counter filled with 434 methylal at different E/p values. (a) 70, (b) 76.5, (c) 105, (d) 186.5, (e) 426V/cm torr [83].	4-29
435	4.36 Figure 4.36a: Comparison of avalanche size distributions for different values of 436 Townsend and attachment coefficients. The effective Townsend coefficient is the 437 same for both distributions. Figure 4.36b: Fluctuation in avalanche size for avalanche 438 started by a single electron with $\alpha = 13 \text{ mm}^{-1}$ and $\eta = 3.5 \text{ mm}^{-1}$ [79].	4-31
439	4.37 Figure 4.37a: Electron mean drift velocity v_D in pure $C_2H_2F_4$ and typical RPC 440 gas mixtures. Figure 4.37b: Transverse diffusion coefficient in pure $C_2H_2F_4$ and a 441 typical RPC gas mixture. Figure 4.37c: Longitudinal diffusion coefficient in pure 442 $C_2H_2F_4$ and a typical RPC gas mixture. All results are given with a pressure $P =$ 443 760 Torr and a temperature $T = 296.15$ K [70].	4-32
444	4.38 Comparison of the free charge carriers in the gas after a time $t = 7.90$ ns in the case 445 where no diffusion is taken into account to simulate the avalanche (Figure 4.38a) 446 and in the case where the diffusion is implemented (Figure 4.38b) [70].	4-32
447	4.39 Schematic representation of an avalanche and of the electric field deformation it 448 causes due to the local concentration of charge carriers [51].	4-34
449	4.40 Evolution of the charge induced by an avalanche started by a single electron in a 450 1.2 mm thick RPC with an applied electric field of 54 kV/cm in the case space 451 charge is not taken into account (Figure 4.40a) and in the case it is implemented into 452 the simulation (Figure 4.40b). The total induced charge is correlated to the size of 453 the avalanche [70].	4-35
454	4.41 Distributions of charge carriers within the gas volume of a 1.2 mm thick RPC and 455 the corresponding deformation of the electric field at different time steps with an 456 applied electric field of 55.5 kV/cm [70].	4-36
457	4.42 Representation of the weighting field in the volume of a RPC and the resulting in- 458 duced current in the strip placed at 1 V and its neighbour connected to the ground. 459 The induced current corresponds, as can be understood from Formula 4.22 [51].	4-37
460	4.43 Schematics of CMS RPC FEE logic.	4-38

461	4.44	Equivalence in between the charge of the induced signal in input of the CMS FEE and the signal strength on the output of the pre-amplifier.	4-38
462	4.45	Description of the principle of a CFD. A comparison of threshold triggering (left) and constant fraction triggering (right) is shown in Figure 4.45a. Constant fraction triggering is obtained thanks to zero-crossing technique as explained in Fig- ure 4.45b. The signal arriving at the input of the CFD is split into three components. A first one is delayed and connected to the inverting input of a first comparator. A second component is connected to the noninverting input of this first comparator. A third component is connected to the noninverting input of another comparator along with a threshold value connected to the inverting input. Finally, the output of both comparators is fed through an AND gate.	4-39
472	4.46	Typical efficiency sigmoid of a CMS RPC detector. The black dots correspond to the data, the blue line to the sigmoid fit and the opened cross to the knee and working extracted from the fit line.	4-40
475	4.47	Effect of the temperature variation on the rate (Figure 4.47a) and the efficiency (Fig- ure 4.47b) of a RPC [84].	4-41
477	4.48	Effect of the pressure variation on the rate (Figure 4.48a) and the efficiency (Fig- ure 4.48b) of a RPC [85].	4-42
479	5.1	Mean RPC Barrel (left column) and Endcap (right column) rate (top row) and current (bottom row) as a function of the instantaneous luminosity as measured in 2017 p - p collision data.	5-2
482	5.2	Figure 5.2a: The hit rate per region (Barrel, Endcap) is linearly extrapolated to HL-LHC highest instantaneous luminosity ($5 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$) using the rate as a function of instantaneous luminosity recorded by RPCs in 2017 showing a linear dependence. Figure 5.2b: The integrated charge per region (Barrel, Endcap) is ex- trapolated to HL-LHC integrated luminosity (3000 fb^{-1}) using the data accumulated in 2016 in every HV channels.	5-3
488	5.3	CMS RPC mean integrated charge in the Barrel region (Figure 5.3a) and the Endcap region (Figure 5.3b). The integrated charge per year is shown in blue. The red curve shows the evolution of the accumulated integrated charge through time. The blank period in 2013 and 2014 corresponds to LS1. The total integrated charge for the entire operation period (Oct.2009 - Dec.2017) is estimated to be about 1.66 mC/cm^2 in the Barrel and 4.58 mC/cm^2 in the Endcap.	5-3
494	5.4	Layout of the test beam zone called X5c GIF at CERN. Photons from the radioactive source produce a sustained high rate of random hits over the whole area. The zone is surrounded by 8 m high and 80 cm thick concrete walls. Access is possible through three entry points. Two access doors for personnel and one large gate for material. A crane allows installation of heavy equipment in the area.	5-4
499	5.5	^{137}Cs decays by β^- emission to the ground state of ^{137}Ba (BR = 5.64%) and via the 662 keV isomeric level of ^{137}Ba (BR = 94.36%) whose half-life is 2.55min.	5-5
501	5.6	Floor plan of the GIF++ facility. When the facility downstream of the GIF++ takes electron beam, a beam pipe is installed along the beam line (z-axis). The irradiator can be displaced laterally (its center moves from $x = 0.65 \text{ m}$ to 2.15 m), to increase the distance to the beam pipe.	5-6
505	5.7	Simulated unattenuated current of photons in the xz plane (Figure 5.7a) and yz plane (Figure 5.7b) through the source at $x = 0.65 \text{ m}$ and $y = 0 \text{ m}$ [94]. With angular correction filters, the current of 662 keV photons is made uniform in xy planes.	5-6

- 508 5.8 Description of the RPC setup. Dimensions are given in mm. A tent containing RPCs
 509 is placed at 1720 mm from the source container. The source is situated in the center
 510 of the container. RE-4-2-BARC-161 chamber is 160 mm inside the tent. This way,
 511 the distance between the source and the chambers plan is 2060 mm. Figure 5.8a
 512 provides a side view of the setup in the xz plane while Figure 5.8b shows a top view
 513 in the yz plane. 5-7
- 514 5.9 RE-4-2-BARC-161 chamber is inside the tent as described in Figure 5.8. In the
 515 top right, the two scintillators used as trigger can be seen. This trigger system has an
 516 inclination of 10° relative to horizontal and is placed above half-partition B2 of the
 517 RPCs. PMT electronics are shielded thanks to lead blocks placed in order to protect
 518 them without stopping photons from going through the scintillators and the chamber. 5-8
- 519 5.10 Hit distributions over all 3 partitions of RE-4-2-BARC-161 chamber is showed.
 520 Top, middle and bottom figures respectively correspond to partitions A, B, and C.
 521 The profiles show that some events still occur in other half-partitions than B2, which
 522 corresponds to strips 49 to 64, in front of which the trigger is placed, contributing to
 523 the inefficiency of detection of cosmic muons. In the case of partitions A and C, the
 524 very low amount of data can be interpreted as noise. On the other hand, it is clear
 525 that a little portion of muons reach the half-partition B1, corresponding to strips 33
 526 to 48. 5-8
- 527 5.11 Signals from the RPC strips are shaped by the FEE described on Figure 5.11a.
 528 Output LVDS signals are then read-out by a TDC module connected to a computer or
 529 converted into NIM and sent to scalers. Figure 5.11b describes how these converted
 530 signals are put in coincidence with the trigger. 5-9
- 531 5.12 Results are derived from data taken on half-partition B2 only. On the 18th of June
 532 2014, data has been taken on chamber RE-4-2-BARC-161 at CERN building 904
 533 (Prevessin Site) with cosmic muons providing us a reference efficiency plateau of
 534 $(97.54 \pm 0.15)\%$ represented by a black curve. A similar measurement has been done
 535 at GIF on the 21st of July with the same chamber giving a plateau of $(78.52 \pm 0.94)\%$
 536 represented by a red curve. 5-10
- 537 5.13 Representation of the layout used for the simulations of the test setup. The RPC
 538 read-out plane is represented as a yellow trapezoid while the two scintillators as blue
 539 cuboids looking at the sky. The green plane corresponds to the muon generation
 540 plane within the simulation. Figure 5.8a shows a global view of the simulated setup.
 541 Figure 5.8b shows a zoomed view that allows to see the 2 scintillators as well as the
 542 full RPC plane. 5-11
- 543 5.14 Geometrical acceptance distribution as provided by the Monte Carlo simulation. . . . 5-12
- 544 5.15 Correction of the efficiency without source. The efficiency after correction gets
 545 much closer to the Reference measurement performed before the study in GIF by
 546 reaching a plateau of $(93.52 \pm 2.64)\%$ 5-13
- 547 5.16 Hit distributions over read-out partition B of RE-4-2-BARC-161 chamber to-
 548 gether with skew distribution fits corresponding to forward and backward coming
 549 muons. 5-14
- 550 5.17 Figure 5.17a shows the linear approximation fit performed on data extracted from
 551 table 5.2. Figure 5.17b shows a comparison of Formula 5.5 with the simulated flux
 552 using a and b given in figure 5.17a in formulae 5.3 and the reference value $D_0 =$
 553 50 cm and the associated flux for each absorption factor F_0^{ABS} from table 5.1 5-16

554	5.18 Efficiency (Figure 5.18a) and cluster size (Figure 5.18b) of chamber RE-4-2-BARC-161 measured at GIF with Source OFF (red) and Source ON using different absorber settings: ABS 5 (green), ABS 2 (blue) and ABS 1 (yellow). The results are compared to the Reference values obtained with cosmics.	5-17
555		
556		
557		
558	5.19 Rates in chamber RE-4-2-BARC-161 measured at GIF with Source OFF (red) and Source ON using different absorber settings: ABS 5 (green), ABS 2 (blue) and ABS 1 (yellow). On Figure 5.19b, the rates of Figure 5.19a were normalized to the measured efficiency and constant fits are performed on Source ON data showing the gamma rate in the chamber.	5-18
559		
560		
561		
562		
563	5.20 Evolution of the voltages at half maximum and at 95% of the maximum efficiency (Figure 5.20a), and of the maximum efficiency (Figure 5.20b) as a function of the rate in chamber RE-4-2-BARC-161. The data is extracted from the fits in Figures 5.18a and 5.19b.	5-18
564		
565		
566		
567	5.21 Presentation of a double-gap endcap RPC with its 3 RPC gaps. Due to the partitioning of the read-out strips into 3 rolls, the TOP layer of gap is divided into 2 gaps: TOP NARROW (TN) and TOP WIDE (TW). The BOTTOM (B) only consists in 1 gap.	5-19
568		
569		
570		
571	5.22 Current density and charge deposition per gamma avalanche, defined as the current density normalized to the measured rate taken from Figure 5.19a as a function of the effective high voltage in chamber RE-4-2-BARC-161 measured at GIF with Source ON using different absorber settings: ABS 5 (green), ABS 2 (blue) and ABS 1 (yellow).	5-20
572		
573		
574		
575		
576	5.23 Characterization of CMS RPC detectors foreseen to be used at GIF++ for longevity studies of the present system. Using cosmic muons, efficiency (Figure 5.23a) and cluster size (Figure 5.23b) were measured as well as noise rate (Figure 5.23c) and current density (Figure 5.23d). For each detector, the working voltage, defined as in Formula 4.25, was extracted from sigmoid fits performed in Figure 5.23a and the values of efficiency, cluster size, noise rate and current density at this voltage are reported using open crosses.	5-21
577		
578		
579		
580		
581		
582		
583	5.24 CMS RPC setup inside of GIF++ bunker during test beam (Figure 5.24a) and ageing periods (Figure 5.24b). The space in between the RPCs and the source is usually used by other detectors while the space in between T1 and the upstream wall is not filled. Due to the presence of the beam pipe, the trolley is moved away from the beam line during irradiation periods and placed further away from the source for less intense irradiation. The position of the trolley can vary due to the use of the space by other setups and is then not exact. In the contrary, the position of the chambers in the trolley is fixed and given in Figure 5.24c.	5-23
584		
585		
586		
587		
588		
589		
590		
591	5.25 Visualtions of the main data flows in GIF++. The yellow flow lines corresponds to the DIP flow used for source, environmental and gas information. The red flow lines are for the CAEN flow through which the WebDCS communicates the voltages to be applied on the detectors using pressure and temperature informformation and retrieves the monitored voltages and currents. Finally, the blue flow lines corresponds to the DAQ flow through which the RPC data is collected from the TDCs by the computer.	5-24
592		
593		
594		
595		
596		
597		
598	5.26 DIP monitoring history accessed through GIF++ WebDCS interface.	5-25
599		
600	5.27 Example of DQM page available on CMS RPC WebDCS in GIF++. Here is presented the rate measured in one of the tracking chabers, namely GT1_20_20-BKL_JUL16_4. The DQM page allows to click on each histogram to extend its view and to navigate through the histograms thanks to the left and right arrows.	5-27
601		
602		

603	5.28 Example of DQM ROOT Browser page available on CMS RPC WebDCS in GIF++. Here is presented the strip activity profile, defined as the rate profile normalized to the mean rate, in one of the tracking chambers, namely GT1_20_20-BKL_JUL16_4. Available ROOT files and histograms can be browsed thanks to the left panel showing the directory and files structures.	5-28
604		
605		
606		
607		
608	5.29 Longevity statistics of chamber RE2-2-NPD-BARC-9 in GIF++. For each month since July 2017, the integrated charge (in blue) as well as the time efficiency of irradiation (in gray) is reported.	5-28
609		
610		
611	5.30 Example of current monitoring (Figure 5.30a) and of corresponding integrated charge (Figure 5.30b) of chamber RE2-2-NPD-BARC-09. The decrease of current are related to a decrease of the voltage due to the daily rate scan procedure or to periods during which the source was turned OFF.	5-29
612		
613		
614		
615	5.31 Example of rate (Figure 5.31a) and current (Figure 5.31b) monitoring of chamber RE2-2-NPD-BARC-09 with increasing integrated charge. The variations of rate and current are correlated and corresponds to change of source irradiation, gas flow, gas humidity, or environmental conditions.	5-30
616		
617		
618		
619	5.32 Example of strip activity of chamber RE2-2-NPD-BARC-09 monitoring through time. The activity of a strip is defined as the rate of the individual channel normalized to the mean rate measured in the corresponding read-out partition.	5-31
620		
621		
622	5.33 Total integrated charge in the irradiated RPCs, RE2-2-NPD-BARC-9 and RE4-2-CERN-165, before starting August 2018 test beam period. The irradiation of the RE2 chamber started in June 2016 while the RE4 chamber couldn't be irradiated before November 2016.	5-33
623		
624		
625		
626	A.1 (A.1a) View of the front panel of a V1190A TDC module [95]. (A.1b) View of the front panel of a V1718 Bridge module [96]. (A.1c) View of the front panel of a 6U 6021 VME crate [107].	A-3
627		
628		
629	A.2 Module V1190A <i>Trigger Matching Mode</i> timing diagram [95].	A-4
630		
631		
632		
633		
634		
635	A.3 Structure of the ROOT output file generated by the DAQ. The 5 branches (<code>EventNumber</code> , <code>number_of_hits</code> , <code>Quality_flag</code> , <code>TDC_channel</code> and <code>TDC_TimeStamp</code>) are visible on the left panel of the ROOT browser. On the right panel is visible the histogram corresponding to the variable <code>nHits</code> . In this specific example, there were approximately 50k events recorded to measure the gamma irradiation rate on the detectors. Each event is stored as a single entry in the <code>TTree</code>	A-10
636		
637		
638		
639		
640		
641		
642	A.4 The effect of the quality flag is explained by presenting the content of <code>TBranch number_of_hits</code> of a data file without <code>Quality_flag</code> in Figure A.4a and the content of the same <code>TBranch</code> for data corresponding to a <code>Quality_flag</code> where all TDCs were labelled as <code>GOOD</code> in Figure A.4b taken with similar conditions. It can be noted that the number of entries in Figure A.4b is slightly lower than in Figure A.4a due to the excluded events.	A-12
643		
644		
645		
646		
647	A.5 Using the same data as previously showed in Figure A.4, the effect of the quality flag is explained by presenting the reconstructed hit multiplicity of a data file without <code>Quality_flag</code> in Figure A.5a and the reconstructed content of the same RPC partition for data corresponding to a <code>Quality_flag</code> where all TDCs were labelled as <code>GOOD</code> in Figure A.5b taken with similar conditions. The artificial high content of bin 0 is completely suppressed.	A-12

648	A.6	WebDCS DAQ scan page. On this page, shifters need to choose the type of scan (Rate, Efficiency or Noise Reference scan), the gamma source configuration at the moment of data taking, the beam configuration, and the trigger mode. These information will be stored in the DAQ ROOT output. Are also given the minimal measurement time and waiting time after ramping up of the detectors is over before starting the data acquisition. Then, the list of HV points to scan and the number of triggers for each run of the scan are given in the table underneath.	A-14
655	B.1	Example of expected hit time distributions in the cases of efficiency (Figure B.1a) and noise/gamma rate per unit area (Figure B.1b) measurements as extracted from the raw ROOT files. The unit along the x-axis corresponds to ns. The fact that "the" muon peak is not well defined in Figure B.1a is due to the contribution of all the RPCs being tested at the same time that don't necessarily have the same signal arrival time. Each individual peak can have an offset with the ones of other detectors. The inconsistancy in the first 100 ns of both time distributions is an artefact of the TDCs and are systematically rejected during the analysis.	B-16
663	B.2	The effect of the quality flag is explained by presenting the reconstructed hit multiplicity of a data file without <code>Quality_flag</code> . The artificial high content of bin 0 is the effect of corrupted data.	B-19
666	B.3	Display of the masking tool page on the webDCS. The window on the left allows the shifter to edit <code>ChannelsMapping.csv</code> . To mask a channel, it only is needed to set the 3rd field corresponding to the strip to mask to 0. It is not necessary for older mapping file formats to add a 1 for each strip that is not masked as the code is versatile and the default behaviour is to consider missing mask fields as active strips. The effect of the mask is directly visible for noisy channels as the corresponding bin turns red. The global effect of masking strips will be an update of the rate value showed on the histogram that will take into consideration the rejected channels.	B-24

List of Tables

674

675	3.1	Details of the greenhouse fluorinated gases used in CMS and of their GWP [23].	3-24
676	4.1	Properties of the most used electrode materials for RPCs.	4-3
677	5.1	Total photon flux ($E\gamma \leq 662$ keV) with statistical error predicted considering a ^{137}Cs activity of 740 GBq at different values of the distance D to the source along the x-axis of irradiation field [92].	5-14
678	5.2	Correction factor c is computed thanks to Formula 5.4 taking as reference $D_0 = 50$ cm and the associated flux F_0^{ABS} for each absorption factor available in table 5.1.	5-15
679	5.3	The data at D_0 in 1997 is taken from [92]. Using Formula 5.5, the flux at D , including an error of 1 cm, can be estimated in 1997. Then, taking into account the attenuation of the source activity, the flux at D can be estimated at the time of the tests in GIF in 2014. Assuming a sensitivity of the RPC to $\gamma s = 2 \times 10^{-3}$, an estimation of the hit rate per unit area is obtained.	5-16
680	5.4	Summary of the characterization measurement performed in Ghent on CMS RPC detectors foreseen to be used at GIF++ for longevity studies of the present system. For each detector, the working voltage, defined as in Formula 4.25, was extracted from sigmoid fits performed in Figure 5.23a and the values of efficiency, cluster size, noise rate and current density at this voltage are reported.	5-22
681	A.1	Inter-process communication cycles in between the webDCS and the DAQ through file string signals.	A-19

List of Acronyms

A

700	AFL	Almost Full Level
701	ALCTs	anode local charged track boards

B

706	BARC	Bhabha Atomic Research Centre
707	BLT	Block Transfer
708	BMTF	Barrel Muon Track Finder
709	BNL	Brookhaven National Laboratory
710	BR	Branching Ratio

C

715	CAEN	Costruzioni Apparecchiature Elettroniche Nucleari S.p.A.
716	CERN	European Organization for Nuclear Research
717	CFD	Constant Fraction Discriminator
718	CFEBs	cathode front-end boards
719	CMB	Cosmic Microwave Background
720	CMS	Compact Muon Solenoid
721	CSC	Cathode Strip Chamber
722	CuOF	copper-to-optical-fiber translators

D

727	DAQ	Data Acquisition
728	DCS	Detector Control Software
729	DQM	Data Quality Monitoring

730	DT	Drift Tube
731		
732	E	
733		
734		
735	ECAL	electromagnetic calorimeter
736	EMTF	Endcap Muon Track Finder
737		
738	F	
739		
740		
741	FCC	Future Circular Collider
742	FEB	Front-End Board
743	FEE	Front-End Electronics
744	FWHM	full-width-at-half-maximum
745		
746	G	
747		
748		
749	GEANT	GEometry ANd Tracking - a series of software toolkit platforms developed by CERN
750		
751	GEB	GEM Electronics board
752	GEM	Gas Electron Multiplier
753	GIF	Gamma Irradiation Facility
754	GIF++	new Gamma Irradiation Facility
755	GWP	Global Warming Potential
756		
757	H	
758		
759		
760	HCAL	hadron calorimeter
761	HL-LHC	High Luminosity LHC
762	HPL	High-pressure laminate
763	HV	High Voltage
764		
765	I	
766		
767		
768	ICRU	International Commission on Radiation Units & Measurements
769	iRPC	improved RPC
770	IRQ	Interrupt Request
771	ISR	Intersecting Storage Rings

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773

L

775

776	LEIR	Low Energy Ion Ring
777	LEP	Large Electron-Positron
778	LHC	Large Hadron Collider
779	LS1	First Long Shutdown
780	LS2	Second Long Shutdown
781	LS3	Third Long Shutdown
782	LV	Low Voltage
783	LVDS	Low-Voltage Differential Signaling

784

785

M

787

788	MC	Monte Carlo
789	MCNP	Monte Carlo N-Particle
790	MiC1	first version of Minicrate electronics
791	mip's	minimum ionizing particles
792	MRPC	Multigap RPC

793

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N

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797	NIM	Nuclear Instrumentation Module logic signals
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O

800

802	OH	Optohybrid Board
803	OMTF	Overlap Muon Track Finder

804

805

P

806

808	PAI	Photo-Absorption Ionisation
809	PAIR	Photo-Absorption Ionisation with Relaxation
810	PMT	PhotoMultiplier Tube
811	PS	Proton Synchrotron
812	PU	pile-up

813

814

Q

816

817	QCD	Quantum Chromodynamics
818	QED	Quantum Electrodynamics
819		
820		
821	R	
822		
823	RADMON	Radiation Monitoring
824	RMS	Root Mean Square
825	ROOT	a framework for data processing born at CERN
826	RPC	Resistive Plate Chamber
827		
828		
829	S	
830		
831	SC	Synchrocyclotron
832	SLAC	Stanford Linear Accelerator Center
833	SM	Standard Model
834	SPS	Super Proton Synchrotron
835	SUSY	supersymmetry
836		
837		
838	T	
839		
840	TDC	Time-to-Digital Converter
841	TDR	Technical Design Report
842	ToF	Time-of-flight
843	TPG	trigger primitives
844		
845		
846	W	
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848	webDCS	Web Detector Control System
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851	Y	
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853	YETS	Year End Technical Stop

1

854

855

Introduction

856 Grasping an understanding of the world in which they are leaving in has always been part of hu-
857 mans lives. Beyond the metaphysical questioning of our origins and purpose, curiosity has brought
858 mankind to question its surroundings. Following the philosophy of ancient greeks and indians came
859 the development of sciences as the systematic experimentation aimed at testing hypothesis and re-
860 producing results obtained by fellow natural philosophers. With the industrial revolution and the
861 organisation of science, it became possible to go always further in the understanding of the universe
862 and of the matter in particular. Investigation on the constituant of matter proved to require more
863 and more powerful machines in order to break the bricks of our world apart into ever smaller pieces
864 and study their behaviour and extract new knowledge to help the development of humanity. So far,
865 the largest and most power machine that was built to study the particles composing matter and test
866 the models thought by physicists to explain their behaviours is the Large Hadron Collider (LHC), a
867 circular particle accelerator used to collide protons and heavy ions. After only a few years of inves-
868 tigations conducted thanks to the LHC, several discoveries, predicted by the existing models, have
869 been made and in the future, in order to boost the discovery potential on the LHC and be able to test
870 hypothesis lying beyond the already aknoledged models, the instantaneous luminosity, i.e. the rate
871 of particle interactions, will be slightly increased into a so called High Luminosity phase.

872 As the Large Hadron Collider will see its instantaneous luminosity be increased, the detectors
873 on the different experimental sites will have to suffer an increased background irradiation due to the
874 byproducts of the interaction of the beams with the infrastructure. This will cause for the detectors
875 a stress which implications need to be understood throughout the High Luminosity LHC (HL-LHC)
876 phase of operations. In the case of the Compact Muon Solenoid (CMS) experiment, it is important
877 to understand if the detectors that will be subjected to the higher levels of radiation will be able to
878 sustain higher detection rates while displaying the same performance they have so far been operated
879 at and if this level of performance of the detectors will stay stable for a period longer than 10 years.
880 More specifically, the detectors placed very close to the beam line will be the most subjected to the
881 change of luminosity. In CMS, the endcap detectors that will then be particularly affected by the
882 stronger background radiation. The endcap detectors compose a part of the muon system of CMS

883 and among them, the Resistive Plate Chamber (RPC)s play a key role in providing the experiment a
884 reliable trigger on potentially interesting data.

885 Looking at the successive evolution of the theoretical models that gave birth to the Standard
886 Model (SM) of particle physics, the need for very intense particle beams in high energy physics
887 experiment will be explained. The implications for LHC experiments and in particular for the CMS
888 detector will help replacing the need for longevity and rate capability studies conducted on the
889 Resistive Plate Chambers that are part of its Muon System. RPCs are gaseous detectors which
890 physics principles are non trivial and are still being investigated. Nevertheless, key processes driving
891 the electron multiplication in the gas volume, rate capability and ageing have been successfully
892 identified and will define the parameters that will have to be monitored during the longevity and rate
893 capability certification campaign that is reported in this document.

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894

895

Investigating the TeV scale

896 Throughout history, physics experiment became more and more powerful in order to investigate finer
897 details of nature and helped understanding the elementary blocks of matter and the fundamental
898 interactions that bond them in the microscopic world. Nowadays, the Standard Model of particle
899 physics is the most accurate theory designed to explain the behaviour of particles and was able to
900 make very precise predictions that are constantly verified, although some hints of new physics are
901 visible as bricks are still missing to have a global comprehension of the Universe.

902 To highlight the limits of the SM and test the different alternative theories, ever more powerful
903 machines are needed. This is in this context that the Large Hadron Collider has been thought and
904 built to accelerate and collide particles at energies exceeding anything that had been done before.
905 Higher collision energies and high pile-up imply the use of enormous detectors to measure the prop-
906 erties of the interaction products. The Compact Muon Solenoid is a multipurpose experiment that
907 have been designed to study the proton-proton collisions of the LHC and give answers on various
908 high energy physics scenari. Nevertheless, the luminosity delivered by the collider will in the future
909 be increased to levels beyond the original plans to improve its discovery potential giving no choice
910 to experiments such as CMS to upgrade their technologies to cope with the increased radiation levels
911 and detection rates.

912 2.1 The Standard Model of Particle Physics

913 In this early 21st century it is now widely accepted that matter is made of elementary blocks referred
914 to as *elementary particles*. The physics theory that classifies and describes the best the behaviour
915 and interaction of such elementary particles is the so called Standard Model that formalizes 3 of
916 the 4 fundamental interactions (electromagnetic, weak and strong interactions). Its development
917 took place during the 20th century thanks to a strong collaboration in between the theoretical and
918 experimental physicists.

919 2.1.1 A history of particle physics

920 The idea that nature is composed of elementary bricks, called *atomism*, is not contemporary as it
921 was already discussed by Indian or Greek philosophers during antiquity. In Greece, atomism has
922 been rejected by Aristotelianism as the existance of *atoms* would imply the existance of a void that
923 would violate the physical principles of Aristotle philosophy. Aristotelianism has been considered
924 as a reference in the european area until the 15th century and the italian *Rinascimento* where antic
925 text and history started to be more deeply studied. The re-discovery of Platon's philosophy would
926 allow to open the door to alternative theories and give a new approach to natural sciences where
927 experimentation would become central. A new era of knowledge was starting. By the begining of
928 the 17th century, atomism was re-discovered by philosophers and the very first attempt to estimate
929 an *atom* size would be provided by Magnetus in 1646. Although his *atoms* correspond to what would
930 nowadays be called *molecules*, Magnetus achieved feats by calculating that the number of molecules
931 in a grain of incense would be of the order of 10^{18} simply by considering the time necessary to smell
932 it everywhere in a large church after the stick was lit on. It is now known that this number only falls
933 short by 1 order of magnitude.

934 An alternative philosophy to atomism popularized by Descartes was corpuscularianism. Built on
935 ever divisible corpuscles, contrary to atoms, it's principles would be mainly used by alchemists like
936 Newton who would later develop a corpuscular theory of light. Boyle would combine together ideas
937 of both atomism or corpuscularianism leading to mechanical philosophy. The 18th century have
938 seen the development of engineering providing philosophical thought experiments with repeatable
939 demonstration and a new point of view to explain the composition of matter and Lavoisier would
940 greatly contribute to chemistry and atomism by publishing in 1789 a list of 33 chemical elements
941 corresponding to what is now called *atoms*. In the early 19th century Dalton would summarize the
942 knowledge on composition of matter and Fraunhofer would invent the spectrometer and discover
943 the spectral lines. The rise of atomic physics, chemistry and mathematical formalism would unravel
944 the different atomic elements and ultimately, the 20th century would see the very first sub-atomic
945 particles.

946 Discovery of the inner structure of the atom

947 The negative *electron* would be the first to be discovered in 1897 by Thomson after 3 decades of
948 research on cathode rays by proving that the electrification observed in an electroscope, as reported
949 by Perrin, was due to the rays themselves and that they had to be composed of electrically charged
950 particles. In 1900, Becquerel would show the *beta rays* emitted by radium had the same charge over
951 mass ratio than what measured by Thomson for cathode rays, pointing to eletrons as a constituant
952 of atoms. In 1907, Rutherford and Royds showed that *alpha* particles, once captured in a tube
953 and subjected to an electric spark causing an electron avalanche, where heluim ions as they could
954 combine with 2 electrons to form a ${}^4\text{He}$. This discovery was directly followed by the constraint of
955 the atom structure in 1909 through the gold foil experiment in which the deflection angle of alpha
956 particles fired at a very thin gold foil was measured and highlighted atoms where mainly empty with
957 nearly all its mass contained into a tiny positively charged *nucleus*. With these two observations,
958 he could formulate the Rutherford model of the atom in 1911, shown together with the Thomson
959 plum pudding model in Figure 2.1. The link in between atomic number and number of positive and
960 negative charges contained into the atoms would fast be understood and the different kind of element
961 transmutation appeared to be purely nuclear processes making clear that the electromagnetic nature
962 of chemical transformation could not possibly change nuclei. Thus a new branch in physics appeared

963 to study nuclei exclusively: the nuclear physics.

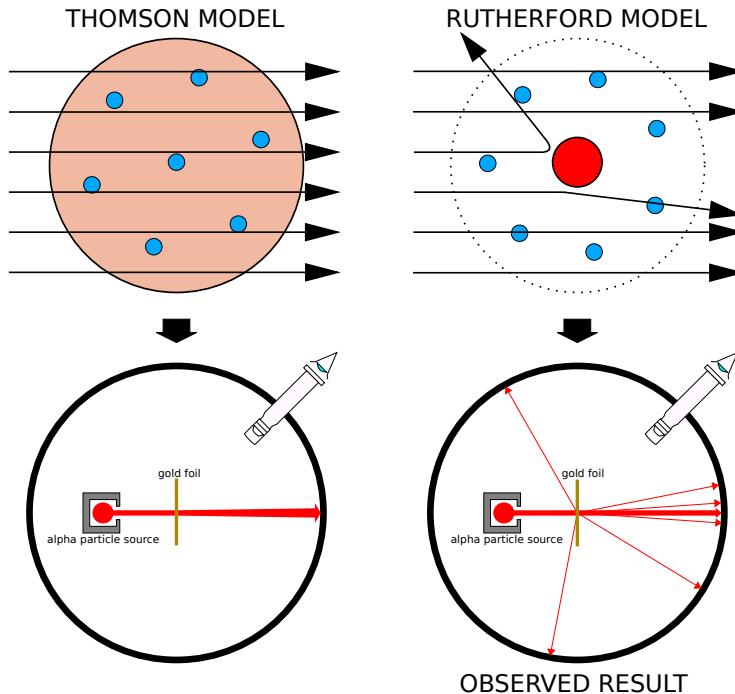
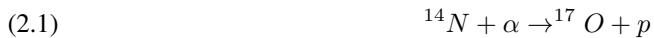


Figure 2.1: Through the gold foil experiment Rutherford could show that most of the mass of atoms was contained in a positively charged nucleus and could then propose a more accurate atomic model than that of Thomson.

964 Moreover, in 1913 quantum physics would be introduced into the atomic model by Bohr based
 965 on the assumptions of Plank to explain spectral lines, and other observed quantum effects. The same
 966 year, Moseley would confirm Bohr's model and Debye would extend it by introducing elliptical
 967 orbits.

968 By studying alpha emission and the product of their interaction with nitrogen gas, Rutherford
 969 reported in 1919 the very first nuclear reaction leading to the discovery that the hydrogen nucleus was
 970 composed of a single positively charged particle that was later baptised *proton*. This idea came from
 971 1815 Prout's hypothesis proposing that all atoms are composed of "protyles" (i.e. hydrogen atoms).
 972 By using scintillation detectors, Rutherford could highlight typical hydrogen nuclei signature and
 973 understand that the impact of alpha particles with nitrogen would knock out an hydrogen nucleus
 974 and produce an oxygen 17, as explicated in Formula 2.1 and would then postulate that protons are
 975 building bricks of all elements.



976 With this assumption and the discovery of isotopes together with Aston, elements with identical
 977 atomic number but different masses, Rutherford would propose that all elements' nuclei but hydrogen's are composed of both charged particles, protons, and of chargeless particles, which he called
 978 *neutrons*, and that these neutral particles would help maintaining nuclei as one, as charged protons
 979

were likely to electrostatically repulse each other, and introduced the idea of a new force, a *nuclear* force. Though the first idea concerning neutrons was a bond state of protons and electrons as it was known that the beta decay, emitting electrons, was taking place in the nucleus, it was then showed that such a model would hardly be possible due to Heisenberg's uncertainty principle and by the recently measured *spin* of both protons and electrons. The spin, discovered through the study of the emission spectrum of alkali metals, would be understood as a "two-valued quantum degree of freedom" and formalized by Pauli and extended by Dirac, to take the relativist case into account. Measured to be $\frac{1}{2}\hbar$ for both, it was impossible to arrange an odd number of half integer spins and obtain a global nucleus spin that would be integer. Finally, in 1932, following the discovery of a new neutral radiation, Chadwick could discover the neutron as an uncharged particle with a mass similar to that of the proton whose half integer spin would reveal to be the solution to explain the nuclear spin.

992 Development of the Quantum Electrodynamics

993 Historically, the development of the quantum theory revolved around the question of emission and
994 absorption of discrete amount of energy through light. Einstein used the initial intuition of Plank
995 about the black-body radiation to develop in 1905 a model to explain the photoelectric effect in
996 which light was described by discrete quanta now called *photons*. For this model, Einstein introduced
997 the concept of wave-particle duality as classical theory was not able to describe the phenomenon.
998 With the new understanding of atoms and of their structure, classical theories also proved unable
999 to explain atoms stability. Indeed, using classical mechanics, electrons orbiting around a nucleus
1000 should radiate an energy proportionnal to their angular momentum and thus loose energy through
1001 time and the spectrum of energy emission should then be continuous, but it was known since the
1002 19th century and the discovery of spectral lines that the emission spectrum of material was discrete.

1003 This was Bohr who first suggested that a quantum description of the atom was necessary in 1913.
1004 Using the correspondence principle stating that are large enough numbers the quantum calculations
1005 should give the same results than the classical theory, he proposed the very first quantum model
1006 of the hydrogen atom explaining the line spectrum by introducing the principal quantum number
1007 n describing the electron shell. This model would then be improved by Sommerfeld that would
1008 quantize the z-component of the angular momentum, leading to the second and third quantum
1009 numbers, or azimuthal and magnetic quantum number, l and m defining for the second the orbital
1010 angular momentum of the electrons on their shells and thus, the shape of the orbital, and for the third
1011 the available orbital on the subshell for each electron. Nevertheless, although the model was not only
1012 limited to sperical orbitals anymore, making the atom more realistic, the Zeeman effect couldn't be
1013 completely explained by just using n , l and m . A solution would be brought after the discovery of
1014 Pauli in 1924, as Uhlenbeck, Goudsmit, and Kronig proposed in 1925 the idea of intrinsic rotation
1015 of the electron, introducing a new angular momentum vector associated to the particle itself, and
1016 not to the orbital, and associated to a new quantic number s , the *spin* projection quantum number
1017 explaining the lift of degeneracy to an even number of energy levels.

1018 The introduction of the *spin* happened 1 year after another attempt of improvement of the theory
1019 was made by De Broglie in his PhD thesis. The original formulation of the quantum theory only
1020 considered photons as energy quanta behaving as both waves and particles. De Broglie proposed
1021 that all matter are described by waves and that there momentum is proportional to the oscillation of
1022 quantized electromagnetic field oscillators. This interpretation was able to reproduce the previous
1023 version of the quantum energy levels by showing that the quantum condition involves an integer

¹⁰²⁴ multiple of 2π , as shown by Formula 2.2.

$$(2.2) \quad p = \hbar k \Leftrightarrow \int pdx = \hbar \int kdx = 2\pi\hbar n$$

¹⁰²⁵ Although the intuition of De Broglie about the wave-particle duality of all matter, his interpretation
¹⁰²⁶ was semiclassical and it's in 1926 that the first fully quantum mechanical wave-equation would
¹⁰²⁷ be introduced by Schrödinger to describe electron-like particles, reproducing the previous semiclassi-
¹⁰²⁸ cal formulation without inconsistencies. This complexe equation describes the evolution of the
¹⁰²⁹ wave function Ψ of the quantum system, defined by it's position vector \mathbf{r} and time t as an energy
¹⁰³⁰ conservation law, in which the hamiltonian of the system \hat{H} is explicit, by solving the Equation 2.3.

$$(2.3) \quad i\hbar \frac{\partial}{\partial t} |\Psi(\mathbf{r}, t)\rangle = \hat{H} |\Psi(\mathbf{r}, t)\rangle$$

¹⁰³¹ In 1927, Dirac would go further in his paper about emission and absorption of radiation by
¹⁰³² proposing a second quantization not only of the physical process at play but also of the electromag-
¹⁰³³ netic field, providing the ingredients to the first formulation of *Quantum Electrodynamics (QED)*
¹⁰³⁴ and the description of photon emission by electrons dropping into a lower energy state in which the
¹⁰³⁵ final number of particles is different than the initial one. To complete this model to the many-body
¹⁰³⁶ wave functions of identical particles, Jordan included creation and annihilation operators for fields
¹⁰³⁷ obeying Fermi-Dirac statistics leading to a model describing particles that would be referred to as
¹⁰³⁸ *fermions*. Nevertheless, in order to properly treat electromagnetism, the incorporation of the relativ-
¹⁰³⁹ ity theory developed by Einstein. Including gravity into quantum physics still is a challenge nowa-
¹⁰⁴⁰ days, but in 1928 Pauli and Jordan would show that special relativity's coordinate transforma-
¹⁰⁴¹ tions could be applied to quantum fields as the field commutators were Lorentz invariant. Finally derived
¹⁰⁴² the same year, the Dirac equation, shown as Equation 2.4, similarly to Schrödinger's equation, is a
¹⁰⁴³ single-particle equation but it incorporates special relativity in addition to quantum mechanics rules.
¹⁰⁴⁴ It features the 4×4 gamma matrices γ^μ built using 2×2 Pauli matrices and unitary matrix, the
¹⁰⁴⁵ 4-gradient ∂_μ , the rest mass m of any half integer spin massive particle described by the wave func-
¹⁰⁴⁶ tion $\psi(x, t)$, also called a Dirac spinor, and the speed of light c . In addition to perfectly reproduce
¹⁰⁴⁷ the results obtained with quantum mechanics so far, it also provided with *negative-energy solutions*
¹⁰⁴⁸ that would later be interpreted as a new form of matter, *antimatter* and give a theoretical justifica-
¹⁰⁴⁹ tion to the Pauli equation that was phenomenologically constructed to account for the spin as in the
¹⁰⁵⁰ non-relativistic limit, the Dirac equation is similar.

$$(2.4) \quad i\hbar\gamma^\mu\partial_\mu\psi - mc\psi = 0$$

¹⁰⁵¹ The successes of the QED was soon followed with theoretical problems as computations of any
¹⁰⁵² physical process involving photons and charged particles were showed to be only reliable at the first
¹⁰⁵³ order of perturbation theory. At higher order of the theory, divergent contributions were appearing
¹⁰⁵⁴ giving nonsensical results. Only two effects were contributing to these infinities.

- ¹⁰⁵⁵ • The self-energy of the electron (or positron), the energy that the particle has due its own
¹⁰⁵⁶ interaction with its environment.

- 1057 ● The vacuum polarization, virtual electron–positron pairs produced by a background electro-
1058 magnetic field in the vacuum which is not an "empty" space. These virtual pairs affect the
1059 charge and current distributions generated by the original electromagnetic field.

1060 Solving this apparent problem was done by carefully defining the concepts of each observables,
1061 for example mass or charge, as these quantities are understood within the context of a non-interacting
1062 field equation, and that from the experiment point of view, they are abstractions as what is measured
1063 are "renormalized observables" shifted from there "bare" value by the interaction taking place in
1064 the measuring process. The infinities needed to be connected to corrections of mass and charge
1065 as those are fixed to finite values by experiment. This was the intuition of Bethe in 1947 who
1066 successfully computed the effect of such *renormalization* in the non-relativist case. Fully covariant
1067 formulations of QED including renormalization was achieved by 1949 by Tomonaga, Schwinger,
1068 Feynman and Dyson and Feynman is now famous for his association of diagrams to the term of
1069 the scattering matrix, greatly simplifying the representation and computation of interactions as the
1070 diagrams directly corresponded the measurable physical processes and would then be used in every
1071 quantum field theories. With the resolution of infinities, QED had mostly reached its final form,
1072 being still today the most accurate physical theory and would serve as a model to build all other
1073 quantum field theories.

1074 **Development of the quark model and Quantum Chromodynamics**

1075 To explain the nuclear force that holds *nucleons* (protons and neutrons) together, Yukawa theoreti-
1076 cally proposed in 1934 the existence of a force carrier called *meson* due to it's predicted mass in
1077 the range in between the electron and nucleon masses. Discovered in 1936 by Anderson and Ned-
1078 dermeyer, and confirmed using bubble chambers in 1937 by Street and Stevenson, a first meson
1079 candidate was observed in the decay products of cosmic rays. Assuming it had the same electric
1080 charge than electrons and protons, this particle was observed to have a curvature due to magnetic
1081 field that was sharper than protons but smoother than electrons resulting in a mass in between that
1082 of electrons and protons. But its properties were not compatible with Yukawa's theory, which was
1083 emphasized by the discovery of a new candidate in 1947, again in cosmic ray products using photo-
1084 graphic emulsions.

1085 This new candidate, although it had a similar mass than the already believed *meson*, would rather
1086 decay into it. For distinction, the first candidate would then be renamed "*mu meson*" when the second
1087 would be the "*pi meson*". The *mu meson* was behaving like a heavy electron and didn't participate
1088 in the strong interaction whereas the pion was believed to be the carrier of the nuclear interaction.
1089 This lead to classify the *mu* in a new category of particles called *leptons* together with the electron
1090 that shared similar properties and *the neutrino*, and be renamed *muon*. The *pi meson* was finally
1091 found to be a triplet of particles: a positively charged, a negatively charged, and a neutral particle.
1092 The neutral *pi meson* has been more difficult to identify as it wouldn't leave tracks on emulsions nor
1093 on bubble chambers and needed to be studied via it's decay products. It was ultimately identified in
1094 University of California's cyclotron in 1950 through the observation of its decay into 2 photons.

1095 Also discovered in 1947 but in cloud chamber photographs, the *K meson* as also been an im-
1096 portant step towards the establishment of the Standard Model. A triplet of particle, 2 charged and a
1097 neutral, with a mass roughly half that of a proton, were reported. These particles were baptised *K*
1098 *meson* in contrast to the "light" *pi* and *mu* "L-mesons". The particularity of the *K* were there very
1099 slow decays with a typical lifetime of the order of 10^{-10} s much greater than the 10^{-23} s of *pi*-proton
1100 reactions. The concept of *strangeness*, a new quantum number was then introduced by Pais as an

attempt to explain this phenomenon as *strange* particles appeared as a pair production of a strange and anti-strange particle.

With the development of synchrotrons, the particle *zoo* would grow to several dozens during the 1950s as higher energies were reachable through acceleration. In 1961, a first classification system, called Eightfold Way, was proposed by Gell-Mann and finding its roots in the Gell-Mann–Nishijima formula, which relates the electric charge Q , the third component of the isospin I_3 , the *baryon* number B and the strangeness S , as explicited in Formula 2.5. The isospin was a quantum number introduced in 1932 to explain symmetries of the newly discovered neutron using representation theory of $SU(2)$. The baryon number, was introduced by Nishijima as a quantum number for baryons, i.e. particles of the same family as nucleons. The mesons were classified in an octet and baryons of spin $\pm \frac{1}{2}$ and $\pm \frac{3}{2}$ were respectively classified into an octet and a decuplet, as shown in Figure 2.2. To complete the baryon decuplet, Gell-Mann predicted the existance of baryon Ω^- which would later be discovered in 1964.

$$(2.5) \quad Q = I_3 + \frac{1}{2}(B + S)$$

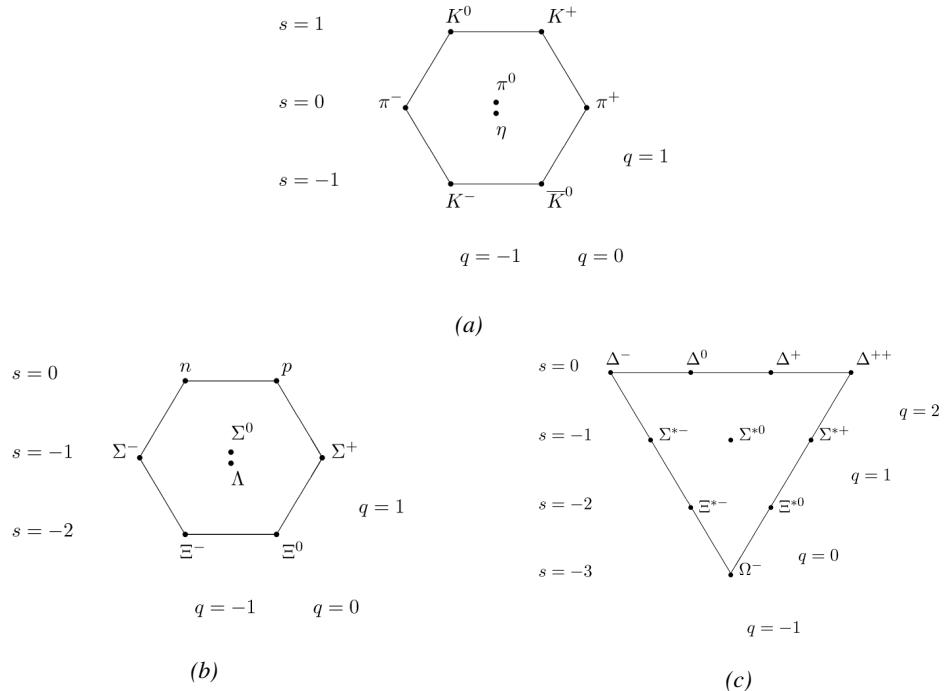


Figure 2.2: Figure 2.2a: Meson octet. Figure 2.2b: Baryon octet. Figure 2.2c: Baryon decuplet.

Strong of this classification using an $SU(3)$ flavor symmetry, Gell-Mann, and independently Zweig, would propose a full theoretical model in which *hadrons* (strongly interacting particles, i.e. mesons and baryons) were not elementary particles anymore. They would rather be composed with 3 flavors of particles called *quarks* and there anti-particles. The 3 flavors were called *up*, *down* and *strange*. *Up* and *down* would be used to explain the nucleons and non-strange mesons, while *strange*

would come into the composition of hadrons showing strangeness. *Up* and *down* flavors would be discovered in 1968 thanks to the deep inelastic scattering experiments conducted at the Stanford Linear Accelerator Center (SLAC), and *strange* could only be indirectly validated even though it provided a robust explanation to *kaon* (K) and *pion* (π). However, in the decade following the Gell-Mann-Zweig quark model proposition, several improvements to the model were brought, first by Glashow and Bjorken the same year that predicted the existence of a fourth quark flavor, the *charm*, that would equalize the then known number of quarks and leptons and finally in 1973 by Kobayashi and Maskawa that would increase the number of quarks to 6 to explain the experimental observation of CP violation. These two quarks would have been referred to as *top* and *bottom* for the first time in 1975. It's only after these additions to the quark model that finally the *charm* would be discovered in 1974 at both SLAC and Brookhaven National Laboratory (BNL). A meson where the *charm* was bound with an *anti-charm*, called J/ψ , would help convince the physics community of the validity of the model. The *top* would be discovered soon after in 1977 in Fermilab and indicate the existence of the *bottom* that would resist discovery until Fermilab's experiments CDF and D \emptyset in 1995 due to its very large mass and the energy needed to produce it.

As remarked by Struminsky, the original quark model proposal composed of 3 quarks should possess an additional quantum number due to mesons such as Ω^- or Δ^{++} . Indeed, these mesons are composed of 3 identical quarks, respectively 3 *strange* and *up* quark, with parallel spins, which should be forbidden by the exclusion principle. Independently, Greenberg and Han-Nambu proposed an additional SU(3) degree of freedom possessed by the quarks, that would later be referred to as *color charge gauge*, that could interact through *gluons*, the gauge boson octet corresponding to this degree of freedom. Nevertheless, as observing free quarks proved to be impossible, two visions of the quarks were argued mainly due to the failures to observe these particles free to prove their existence. On one side, Gell-Mann proposed to see quarks as mathematical construct instead of real particles, as they are always confined, implying that quantum field theory would not describe entirely the strong interaction. Opposed to this vision, Feynman on the contrary argued that quarks were real particles, that he would call *partons*, that should be described as all other particles by a distribution of position and momentum. The implications of quarks as point-like particles would be verified at SLAC and the concept of *color* would be added to the quark model in 1973 by Fritzsch and Leutwyler together with Gell-Mann to propose a description of the strong interaction through the theory of Quantum Chromodynamics (QCD). The discovery the same year of asymptotic freedom within the QCD by Gross, Politzer and Wilczek, allowed for very precise predictions thanks to the perturbation theory. Nowadays, the confinement of quarks is studied in experiments such as ALICE, through exploration of the quark-gluon plasma.

1153 **The Weak interaction, spontaneous symmetry breaking, the Higgs mechanism and the Elec- 1154 troweak unification**

1155 The weak interaction is the process that causes radioactive decays. Thanks to the neutron discovery,
1156 Fermi could explain in 1934 the beta radiations through the beta decay process in which the neutron
1157 decays into a proton by emitting an electron. Though the missing energy observed during this
1158 process triggered a huge debate about the apparent non conservation of energy, momentum and spin
1159 of the process, Fermi, as Pauli before him, proposed that the missing energy was due to a neutral
1160 not yet discovered particle that would then be baptised *neutrino*. The impossibility to detect such
1161 a particle would leave some members of the scientific community sceptical, but hints of energy
1162 conservation and of the existence of the neutrino were provided by measuring the energy spectrum

of electrons emitted through beta decay, as there was a strict limit on their energy. It's only 30 years later in 1953 that it would be discovered by the team of Cowan and Reines using the principle of inverse beta decay described through Formula 2.6. The experiment consisted in placing water tanks sandwiched in between liquid scintillators near a nuclear reactor with an estimated neutrino flux of $5 \times 10^{13} \text{ cm}^{-2} \text{ s}^{-1}$. However, in order to explain the absence of some reactions in the experiment of Cowan and Reines, and constraint the beta decay theory of Fermi and extend it to the case of the muon, Konopinski and Mahmoud proposed in 1953 that the muon decay would eject a particle similar to the neutrino and thus predicted the existence of a muon neutrino that would be different than the one involved in the beta decay, related to the electron. With this, the idea of lepton number would arise. The muon neutrino would successfully be detected in 1962 by Lederman, Schwartz and Steinberger.

$$(2.6) \quad \bar{\nu} + p \rightarrow n + e^+$$

The theory could not be valid though as the probability of interaction, called cross-section, would have been increasing without bound with the square of the energy. Fermi assumed in a two vector current coupling but Lee and Yang noted that an axial current could appear and would violate parity. The experiment of Wu in 1956 would confirm the parity violation and Gamow and Teller would try to account for it by describing Fermi's interaction through allowed (parity-violating) and superallowed (parity-conserving) decays. But the success of QED as a quantum field theory would spark the development of such a theory to describe the weak interaction.

As previously discussed, the great success of QED was built on an underlying symmetry, interpreted as a gauge invariance so that the effect of the force is the same in all space-time coordinates, and of the possibility to renormalize it in order to absorb the infinites. Independently in 1958, Glashow, and Salam and Ward used 1957 Schwinger ideas about vector intermediary for the decay processes, could find a way to unite both the electromagnetic and weak interaction into a gauge theory involving 4 gauge bosons, 3 of which were massive and carried out the weak interaction and a massless boson carrying the electromagnetic interaction. Among the 3 massive bosons, 2 were charged and 1 was neutral, similarly to the previously theorized *pi meson* vector of the Yukawa model and all have a mass much greater than nucleons and thus a very short life time implying a finite very short range contrary to the contact interaction originally proposed by Fermi.

Breakthrough in other fields of physics contributed in giving theoretical support and interpretation to the unified electroweak theory. The stepping stone would be the use of spontaneous symmetry breaking that was inspired to Nambu at the end of the 1950s following the development of the BCS superconductivity mechanism in 1957. Cooper had shown that BCS pairs, pairs of electrons bound together at low temperature, could have lower energy than the Fermi energy and where responsible for superconductivity. This lead to the discovery of Goldstone-Nambu bosons as a result of the spontaneous breaking of the chiral symmetry in a theory describing nucleons and mesons developed by Nambu and Jona-Lasinio in 1961, and now understood as a low-energy approximation of QCD. Similarly to mechanism of energy gap appearance in superconductivity, the nucleon mass is suggested to the result of a self-energy of a fermion field and is studied through a four-fermion interaction in which, as a consequence of the symmetry, bound states of nucleon-antinucleon pairs appear and can be regarded as virtual pions. Though the symmetry is maintained in the equations, the ground state is not preserved. Goldstone would later the same year show that the bound states corresponds to spinless bosons with zero mass.

1205 Although the model in itself didn't revolutionize particle physics, spontaneous symmetry breaking
 1206 would be generalized to quantum field theories. As all fundamental interactions are described
 1207 using gauge theories based on underlying symmetries, processes such as the chiral symmetry breaking
 1208 would be introduced soon after the publication of Nambu and Jona-Lasinio. In 1962, Anderson,
 1209 following an idea of Schwinger who suggested that zero-mass vector bosons were not necessarily
 1210 required to describe the conservation of baryons contrary to the bosons emerging from chiral sym-
 1211 metry breaking, discussed the implications of spontaneous symmetry breaking in particles physics.
 1212 A model was finally independently built in 1964 by Brout and Englert, Higgs, and Guralnik, Hagen,
 1213 and Kibble, who discovered that combining an additional field into a gauge theory in order to break
 1214 the symmetry, the resulting gauge bosons acquire a nonzero mass. Moreover, Higgs stated that this
 1215 implied the existence of at least one new massive, i.e. self-interacting, scalar boson, that are now
 1216 known as *Higgs bosons* corresponding to this additional field. The Higgs mechanism today specifi-
 1217 cally refers to the process through which the gauge bosons of the weak interaction acquire mass. In
 1218 1968, Weinberg could point to the Higgs mechanism to integrate a Higgs field into a new version
 1219 of the electroweak theory in which the spontaneous symmetry breaking mechanism of the Higgs
 1220 field would explicitly explain the masses of the weak interaction gauge bosons and the zero-mass
 1221 of photons.

1222 2.1.2 Construction and test of the model

1223 The Standard Model of particle physics was built in the middle of the 1970s after the experimental
 1224 confirmation of the existence of quarks. It is based on the assembly of the models previously intro-
 1225 duced and describing the fundamental interactions, except for gravitation, and their gauge bosons
 1226 as well as the way elementary "matter" particles interact with the fields associated with these force
 1227 carriers. In this sense, the development of QED and the unification of the electroweak interaction,
 1228 of the Yukawa interaction and of QCD, and of the Higgs mechanism made it possible to explain most
 1229 of contemporary physics.

1230 In the SM, "matter" particles, are described by 12 fermion fields of spin $\frac{1}{2}$ obeying the Fermi-
 1231 Dirac statistics, i.e. subjected to the Pauli exclusion principle. To each fermion is associated its
 1232 corresponding antiparticle. The fermions are classified according to the way they interact and, thus,
 1233 according to the charges they carry. 6 of them are classified as quarks (u , d , c , s , t , and b) and
 1234 are subjected to all interactions and the 6 others as leptons (e^- , μ^- , τ^- , ν_e , ν_μ , and ν_τ). Leptons
 1235 are not subjected to the strong interaction and among them, the 3 neutrinos only interact weakly as
 1236 they are neutral particles, which explains why they are so difficult to detect. The gauge boson fields
 1237 are the gluons g for the strong interaction, the photon γ for the electromagnetic interaction and the
 1238 weak bosons W^+ , W^- , and Z^0 for the weak interaction. Finally, the Higgs field H^0 is responsible,
 1239 through the spontaneous symmetry breaking, of the mixing of the massless electroweak boson fields
 1240 W_1 , W_2 , W_3 , and B leading to the observable states γ , W^+ , W^- , and Z^0 that can gain mass while
 1241 interacting with the Higgs field. This picture of the SM is summarized through Figure 2.3 where the
 1242 antifermions are not showed.

1243 When the model was first finalized, the existence of the weak gauge bosons, of the charm, of the
 1244 third quark generation composed of top and bottom quarks to explain the observed CP violation was
 1245 not proven but the predictions were measured with good precision in the years following. First, the
 1246 charm quark would be discovered in 1974, followed by the bottom quark in 1977. The weak bosons
 1247 would be discovered during the next decade in 1983. The top quark would resist until 1995 due to
 1248 its very large mass but would offer the last piece of the elementary QCD particles. The very last

predicted elementary particle of the model that was not observed yet would prove to be very difficult to observe. the Higgs boson needed the start of the LHC to finally be observed in 2012. A few years more of tests were necessary to measure its properties to confirm the observation of a scalar boson compatible with the predicted Higgs boson H^0 . Even though only quark-antiquark (mesons) and 3 quark states (baryons) were observed, exotic hadrons were not forbidden by QCD and no limit of quark is imposed by the theory. Moreover, gluons could form bound states by themselves and with quarks. These two types of states are called *glueballs* and *hybrid hadrons*. For decades, experiments have been conducted without confirmation of such possible states existing. Nevertheless, in 2014, tetraquarks were observed by LHCb, one of LHC's main experiments, and in 2015, the same experiment reported the discovery of pentaquarks making the SM one of the best tested theories of physics.

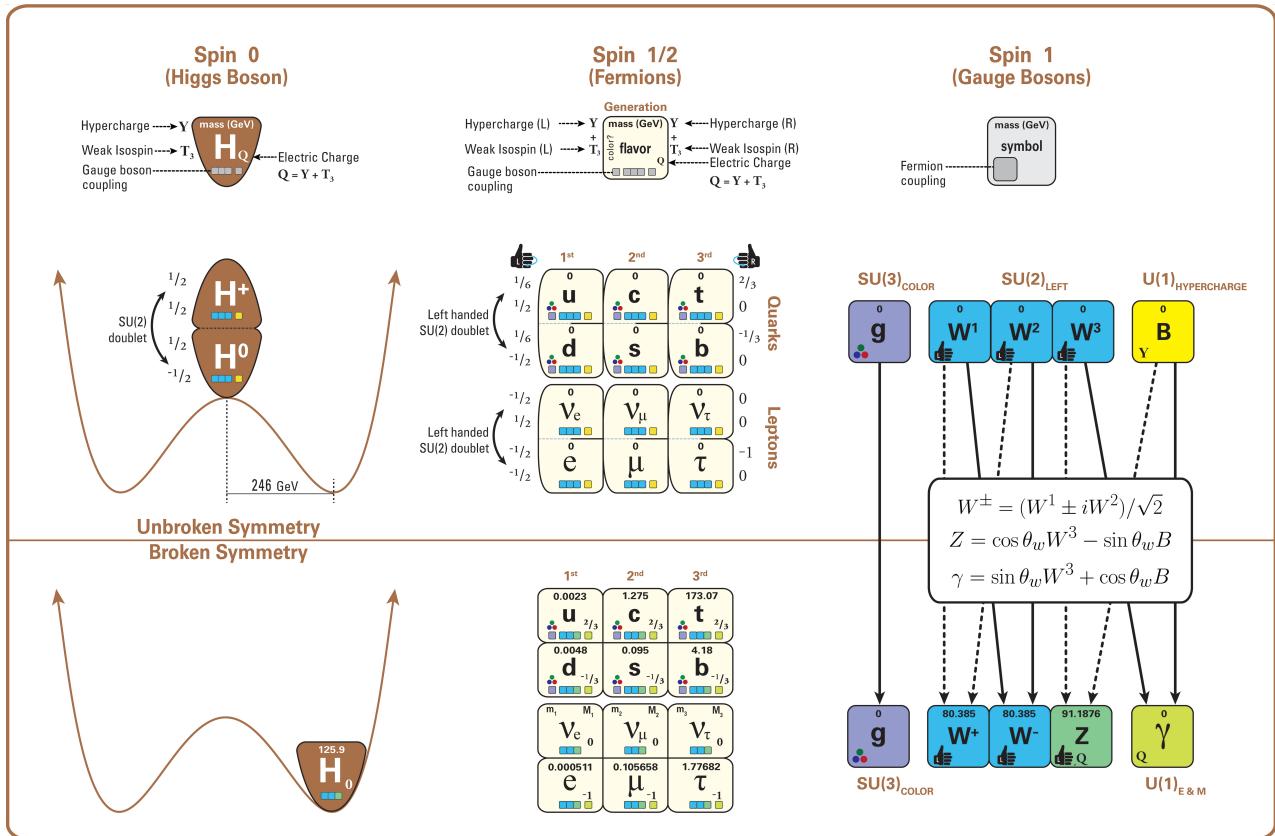


Figure 2.3: The elementary particles of the Standard Model are shown along with their names and properties. Their interactions with the strong, weak and electromagnetic forces have been explicated using color squares. In the left column, the scalar higgs boson is depicted, while the central is focused on the matter particles, the fermions, and the right on the force carriers, the gauge bosons. The role of the Higgs boson in electroweak symmetry breaking is highlighted, and the corresponding way properties of the various particles differ in the (high-energy) symmetric phase (top) and the (low-energy) broken-symmetry phase (bottom) are showed.

2.1.3 Investigating the TeV scale

Even though the SM is a well tested theory, several hints of physics going beyond its scope have been observed. First of all, gravity is not explained through this model and huge difficulties are encountered when trying to include gravitation. The strength of gravitational interaction is expected to be negligible at the scale of elementary particles, nevertheless, adding gravitation in the perspective of developing a "*theory of everything*" leads to divergent integrals that could not be fixed through renormalization.

Moreover, the SM considers neutrinos to be massless but it was shown in the late 1960s by the Homestake experiment that the flux of solar neutrinos (i.e. ν_e) measured didn't match the predicted values due to neutrino oscillations, confirmed in the early 2000s by the Sudbury Neutrino Observatory. This oscillation implies that neutrinos that can be observed are a superposition of massive neutrino states. The research on neutrino oscillation is already quite advanced with experiments looking at atmospheric, reactor or beam neutrinos in order to determine the elements of the mixing matrix similar to the CKM matrix describing the mixing of quarks. Nevertheless, no answer to the origin of neutrino mass is provided.

Another intriguing fact is that the universe is dominated by matter. However, the SM predicted that matter and antimatter should have been created in equal amounts and no mechanism is able to explain this matter-antimatter asymmetry. Although this asymmetry is seen from the visible universe, it may be possible that other unknown regions of the Universe are dominated by antimatter. Another possibility to explain the apparent asymmetry would be the existence of a electric dipole in any fundamental particle that would permit matter and antimatter particles to decay at different rates.

The discrepancy of velocity dispersion of stars in galaxies with respect to the visible mass they contain is known since the end of the 19th century where Kelvin proposed that this problem could be solved if a "*great majority of [the stars] would be dark bodies*". Throughout the 20th century, physicists like Kapteyn, Zwicky, showed the first hints of a "*dark matter*" by studying star velocities and galactic clusters, followed by robust measurements of galaxy rotation curves by Babcock which suggested that the mass-to-luminosity ratio was different from what would be expected from watching the visible light. Later in the 1970s, Rubin and Ford from direct light observations and Rogstad and Shostak from radio measurements showed that the radial velocity of visible objects in galaxies was increasing with increasing distance to the center of the galaxy. Finally observation of lensing effect by galaxy clusters, temperature distribution of hot gas in galaxies and clusters, and the anisotropies in the Cosmic Microwave Background (CMB) kept on pointing to a "*dark matter*". From all the data accumulated, the visible matter would only account to no more than 5% of the total content on the visible universe. Alternative theories have tried to investigate modified versions of the General Relativity as this theory is only well tested at the scale of the solar system but is not sufficiently tested on wider ranges or even theories in which gravitation is not a fundamental force but rather an emergent one, but so far, such theories have difficulties to reproduce all the experimental observations as easily as through dark matter.

A possible theory to offer dark matter candidates would be supersymmetry (SUSY) which proposes a relationship in between bosons and fermions. In this model, each elementary particle, through a spontaneous spacetime symmetry breaking mechanism would have a *super partner* from the other family of particles. On top of providing heavy dark matter candidates, supersymmetry could also help solving the *Hierarchy problem*, the very large scale difference in between the weak interaction and gravity, although, as mentioned before, in the case gravity is found not to be a fun-

1305 damental force, this problem would automatically fade.

1306

1307 All these different aspects of physics beyond the Standard Model of particle physics and the
 1308 Standard model itself can be tested through the use of very energetic and intense hadron and ion col-
 1309 liders. The LHC at CERN is a perfect tool to seek answers to these open questions except maybe for
 1310 the gravity as gravity is extremely weak at particles level. For example, one of LHCb experiment's
 1311 goal is to investigate CP-violation and thus baryonic asymmetry. In 2017, the collaboration has an-
 1312 nounced to have so far a 3.3σ statistical significance over a CP-violation through the study of the
 1313 decays of Λ_b^0 and $\bar{\Lambda}_b^0$ into a proton (or antiproton) and 3 pions. Many analysis teams are also working
 1314 hard on supersymmetry both in ATLAS and CMS collaborations, the two multipurpose experiments
 1315 of LHC, even though no evidence of a supersymmetrical theory was seen, the few hint having the
 1316 tendency to confirm the standard model. These experiments also have the possibility to investigate
 1317 ways to explain Majorana neutrino mass through Yukawa interactions of scalar particles.

1318

1319 The higher the center-of-mass energy, the smaller details the experiments will be able to see, the
 1320 heavier the potential particle creation barrier will be, the stronger the cross-section of certain rare
 1321 decay channels will be. As a comparison, with collisions happening at 14 TeV, the LHC is approxi-
 1322 mately 2 orders of magnitude more sensitive to the Higgs than the Tevatron was with its already very
 1323 powerful 2 TeV. All these advantages eventually lead to new discoveries and deeper understanding
 1324 of the models describing our Universe. But the LHC only is a step forward to gather more precise
 1325 tests of the Standard Model and new knowledge about the physics beyond it. A successful physics
 1326 campaign will probably serve to justify the building of new accelerators with even greater discovery
 1327 potential like for example the Future Circular Collider (FCC) that would push even further the study
 1328 of the unanswered questions of contemporary physics.

1328 **2.2 The Large Hadron Collider & the Compact Muon Solenoid**

1329 Throughout its history, CERN has played a leading role in high energy particle physics. Large re-
 1330 gional facilities such as CERN were thought after the second world war in an attempt to increase
 1331 international scientific collaboration and allows scientists to share the forever increasing costs of
 1332 experiment facilties required due to the need for increasing the energy in the center of mass to
 1333 deeper probe matter. The construction of the first accelerators at the end of the 50s, the Synchro-
 1334 cyclotron (SC) and the Proton Synchrotron (PS), was directly followed by the first observation of
 1335 antinuclei in 1965 [1]. Strong from the experience of the Intersecting Storage Rings (ISR), the very
 1336 first proton-proton collider that showed hints that protons are not elementary particles, the Super
 1337 Proton Synchrotron (SPS) was built in the 70s to investigate the structure of protons, the preference
 1338 for matter over antimatter, the state of matter in the early universe or exotic particles, and lead to
 1339 the discovery in 1983 of the W and Z bosons [2–5]. These newly discovered particles and the elec-
 1340 troweak intereaction would then be studied in details by the Large Electron-Positron (LEP) collider
 1341 that will help to prove in 1989 that there only are three generations of elementary particles [6]. The
 1342 LEP would then be dismantled in 2000 to allow for the LHC to be constructed in the existing tunnel.

1343 **2.2.1 LHC, the most powerful particle accelerator**

1344 The LHC has always been considered as an option to the future of CERN. At the moment of the
 1345 construction of the LEP beneath the border between France and Switzerland, the tunnel was built in
 1346 order to accomodate what would be a Large Hadron Collider with a dipole field of 10 T and a beam

1347 energy in between 8 and 9 TeV [7] directly followed in 1985 with the creation of a 'Working Group
 1348 on the Scientific and Technological Future of CERN' to investigate such a collider [8]. The decision
 1349 was finally taken almost 10 years later, in 1994, to construct the LHC in the LEP tunnel [9] and the
 1350 approval of the 4 main experiments that would take place at the 4 interaction points would come in
 1351 1997 [10] and 1998 [11]:

- 1352 • ALICE [12] has been designed in the purpose of studying quark-gluon plasma that is believed
 1353 to have been a state of matter that existed in the very first moment of the universe.
- 1354 • ATLAS [13] and CMS [14] are general purpose experiments that have been designed with
 1355 the goal of continuing the exploration of the Standard Model and investigate new physics.
- 1356 • LHCb [15] has been designed to investigate the preference of matter over antimatter in the
 1357 universe through the CP violation.

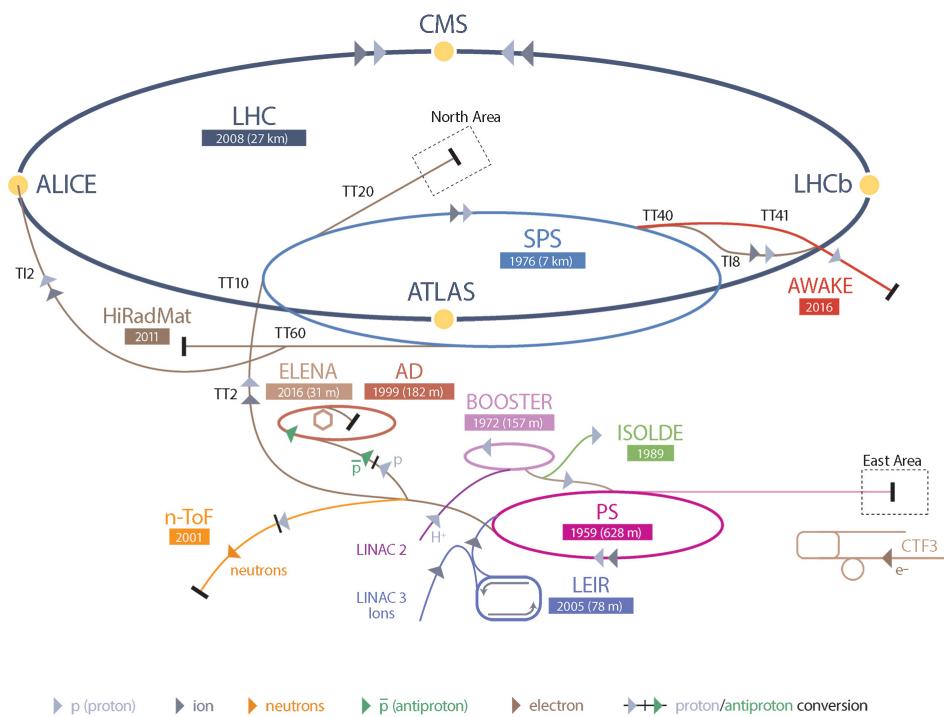


Figure 2.4: CERN accelerator complex.

1358 These large scale experiments, as well as the full CERN accelerator complex, are displayed on
 1359 Figure 2.4. The LHC is a 27 km long hadron collider and the most powerful accelerator used for
 1360 particle physics since 2008 [16]. The LHC was originally designed to collide protons at a center-of-mass
 1361 energy of 14 TeV and luminosity of $10^{34} \text{ cm}^{-2}\text{s}^{-1}$, as well as Pb ions at a center-of-mass
 1362 energy of 2.8 TeV/A with a peak luminosity of $10^{27} \text{ cm}^{-2}\text{s}^{-1}$. Run 1 of LHC, when the
 1363 center-of-mass energy only was half of the nominal LHC energy, was enough for both CMS and
 1364 ATLAS to discover the Higgs boson [17] and for LHCb to discover pentaquarks [18] and confirm
 1365 the existence of tetraquarks [19]. Nevertheless, after the Third Long Shutdown (LS3) (2024-2026),

1366 the accelerator will be in the so called High Luminosity LHC configuration [20], increasing its
 1367 instantaneous luminosity to $10^{35} \text{ cm}^{-2}\text{s}^{-1}$ for pp collisions and to $4.5 \times 10^{27} \text{ cm}^{-2}\text{s}^{-1}$, boosting the
 1368 discovery potential of the LHC. The HL-LHC phase should last at least another 10 years depending
 1369 on the breakthrough this machine would lead to. Already a new accelerating device, the FCC, has
 1370 been proposed to prepare the future of high energy physics after the LHC.



Figure 2.5: Pictures of the different accelerators. From top to bottom: first the LINAC 2 and the Pb source of LINAC 3. Then the Booster and the LEIR. Finally, the PS, the SPS and the LHC.

1371 The LHC is the last of a long series of accelerating devices. Before being accelerated by the
 1372 LHC, the particles need to pass through different acceleration stages. All these acceleration stages

1373 are visible on Figure 2.4 and pictures of the accelerators are showed in Figure 2.5.

1374

1375 The story of accelerated protons at CERN starts with a bottle of hydrogen gas injected into the
 1376 source chamber of the linear particle accelerator *LINAC 2* in which a strong electric field strips the
 1377 electron off the hygroden molecules only to keep their nuclei, the protons. The cylindrical conductors,
 1378 alternatively positively or negatively charged by radiofrequency cavities, accelerate protons by
 1379 pushing them from behing and pulling them from the front and ultimately give them an energy of
 1380 50 MeV, increasing their mass by 5% in the process.

1381

1382 When exiting the LINAC 2, the protons are divided into 4 bunches and injected into the 4 su-
 1383 perimposed synchrotron rings of the *Booster* where they are then accelerated to reach an energy of
 1384 1.4 GeV before being injected into the *PS*. Before the Booster was operational in 1972, the pro-
 1385 tons were directly injected into the PS from the LINAC 2 but the low injection energy limited the
 1386 amount of protons that could be accelerated at once by the PS. With the Booster, the PS accepts
 1387 approximately 100 times more particles.

1388

1389 The 4 proton bunches are thus sent as one to the PS where their energy eventually reaches
 1390 26 GeV. Since the 70s, the main goal of this 628 m circumference synchrotron has been to sup-
 1391 ply other machines with accelerated particles. Nowadays, not only the PS accelerates protons, it also
 1392 accelerates heavy ions from the *Low Energy Ion Ring (LEIR)*. Indeed, the LHC experiments are not
 1393 only designed to study *pp*-collisions but also *Pb*-collisions. Lead is first injected into the dedicated
 1394 linear collider *LINAC 3*, that accelerate the ions using the same principle than LINAC 2. Electrons
 1395 are striped off the lead ions all along the acceleration process and eventually, only bare nuclei are
 1396 injected in the LEIR whose goal is to transform the long ion pulses received into short dense bunches
 1397 for LHC. Ions injected and stored in the PS were aceelerated by the LEIR from 4.2 MeV to 72 MeV.

1398

1399 Directly following the PS, is finally the last acceleration stage before the LHC, the 7 km long
 1400 *SPS*. The SPS accelerates the protons to 450 GeV and inject proton in both LHC accelerator rings
 1401 that will increase their energy up to 7 TeV. When the LHC runs with heavy lead ions for ALICE
 1402 and LHCb, ions are injected and accelerated to reach the energy of 2.8 TeV/A.

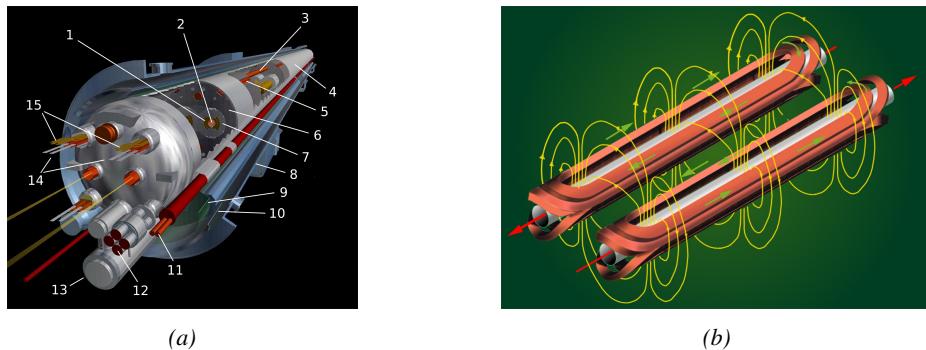


Figure 2.6: Figure 2.6a: schematics of the LHC cryodipoles. 1: Superconducting Coils, 2: Beam pipe, 3: Heat exchanger Pipe, 4: Helium-II Vessel, 5: Superconducting Bus-bar, 6: Iron Yoke, 7: Non-Magnetic Collars, 8: Vacuum Vessel, 9: Radiation Screen, 10: Thermal Shield, 11: Auxiliary Bus-bar Tube, 12: Instrumentation Feed Throughs, 13: Protection Diode, 14: Quadrupole Bus-bars, 15: Spool Piece Bus-bars. Figure 2.6b: magnetic field and resulting motion force applied on the beam particles.

1400

1401 The LHC beams are not continuous and are rather organised in bunch of paticles. When in *pp*-
 collision mode, the beams are composed of 2808 bunches of 1.15×10^{11} protons separated by 25 ns.

When in Pb collision mode, the 592 Pb bunches are on the contrary composed of 2.2×10^8 ions separated by 100 ns. The two parallel proton beams of the LHC are contained in a single twin-bore magnet due to the space restriction in the LEP tunnel. Indeed, building 2 completely separate accelerator rings next to each other was impossible. The dipoles of the 1232 twin-bore magnets are showed in Figure 2.6 alongside the magnetic field generated along the dipole section to accelerate the particles. The dipoles generate a nominal field of 8.33 T, needed to give protons and lead nucleons their nominal energy. Some 392 quadrupoles, presented in Figure 2.7, are also used to focus the beams, as well as other multipoles to correct smaller imperfections.

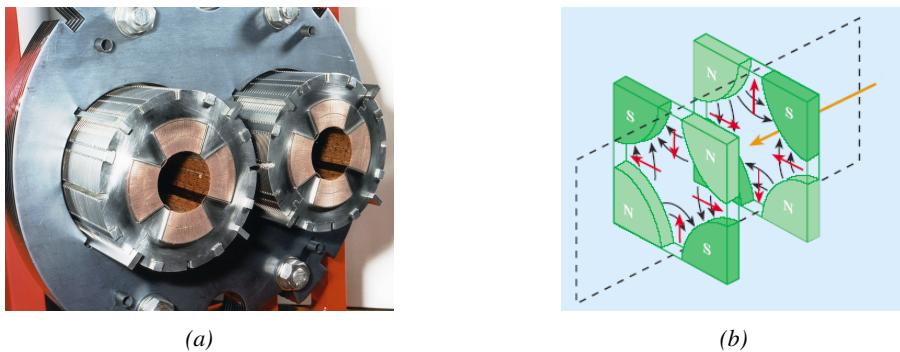


Figure 2.7: Figure 2.7a: picture of the LHC quadrupoles. Figure 2.7b: magnetic fields and resulting focussing force applied on the beam by 2 consecutive quadrupoles.

2.2.2 CMS, a multipurpose experiment

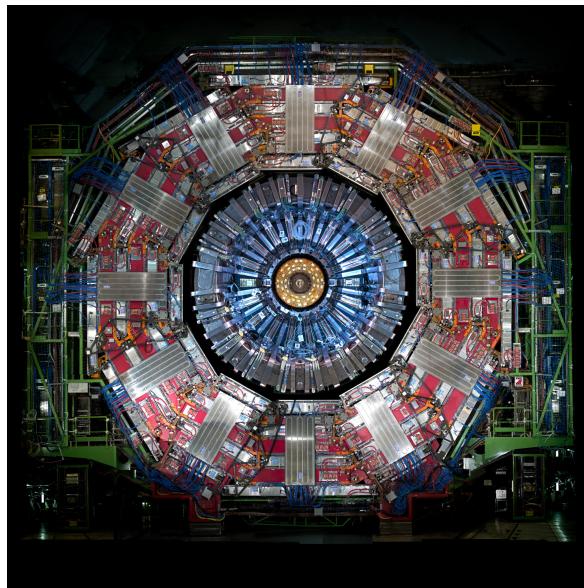


Figure 2.8: Picture of the CMS barrel. The red outer layer is the muon system hosted into the red iron return yokes. The calorimeters are the blue cylinder inside in magnet solenoid and the tracker is the inner yellow cylinder built around the beam pipe.

1411 Among the four main LHC experiment is the Compact Muon Solenoid used as a multipurpose tool to
 1412 investigate the SM and physics beyond its scope. Proposed through a letter of intention in 1992 [14],
 1413 and as its name suggests, this very compact detector's uses the muons as a clear tag of most of SM
 1414 and new physics interesting channels. In the original 1997 Technical Design Report (TDR) [21], the
 1415 very first sentences were stating that "*Muons are an unmistakable signature of most of the physics*
 1416 *LHC is designed to explore. The ability to trigger on and reconstruct muons at the highest lumi-*
 1417 *nosities is central to the concept of CMS, the Compact Muon Solenoid.*" CMS participated in the
 1418 discovery of the Higgs boson and the measurement of its properties and couplings together with
 1419 ATLAS and is also actively involved in the search for SUSY and heavy ion collisions. Other exotic
 1420 physics are also being investigated using the data collected by CMS.

1421 The CMS apparatus in itself is the heaviest detector ever built starring a SI15m diameter and a
 1422 29 m length for a total weight of 14 kT. A thick 4 T solenoid magnet located at the beam interaction
 1423 point hosts trackers and calorimeters. Extending in all directions around the magnet, heavy iron
 1424 return yokes are installed to extend the magnetic field and support a muon system. The apparatus
 1425 consists of a barrel, referring to the magnet and the detectors contained in it and the part of the
 1426 muon system built directly in the cylinder around the magnet, and of 2 endcaps in the forward and
 1427 backward region of the detector that closes the apparatus and complete the detection coverage along
 1428 the beam line. A front view on the barrel is provided in Figure 2.8 while a detailed view of the
 1429 apparatus is given in Figure 2.9.

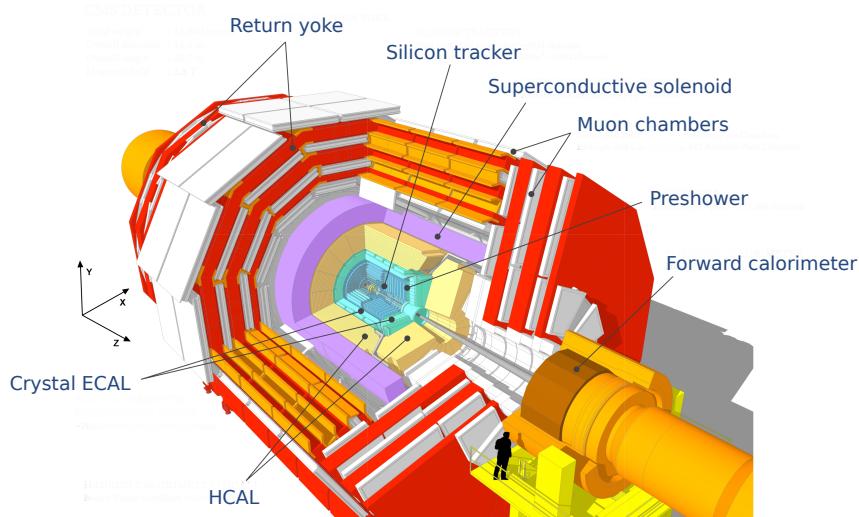


Figure 2.9: View of the CMS apparatus and of its different components.

1430 In order to efficiently detect all long leaving particles and measure their properties with good
 1431 precision, the CMS detector uses an onion like layout around of the interaction point in order to
 1432 maximize the covered solid angle. As detailed in Figure 2.10, in the innermost region of the detector,
 1433 closest to the interaction point, the silicon tracker records the trajectory of charged particles. Around
 1434 it, the electromagnetic calorimeter (ECAL) stops and measure the energy deposition of electrons
 1435 and photons. In the next layer, the hadron calorimeter (HCAL), hadrons are stopped are their energy
 1436 measured. These layers are contained inside of the magnet of CMS, the superconducting solenoid.
 1437 Outside of the magnet are the muon chambers embedded into iron return yokes used to control the

1438 magnetic field and gives muons, the only particles traveling completely through the whole detector, a
 1439 double bending helping in reconstructing their energy and trajectory. Note that photons and neutral
 1440 hadrons are differentiated from electrons and charged hadrons in the calorimeters by the fact that
 1441 don't interact with the silicon tracker and that they are not influenced by the magnetic field.

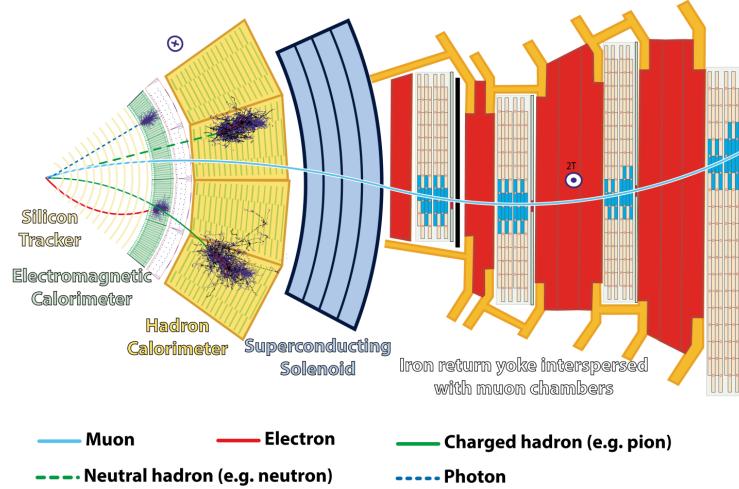


Figure 2.10: Slice showing CMS sub-detectors and how particles interact with them.

1442 2.2.2.1 The silicon tracker, core of CMS

1443 The silicon tracker visible on Figure 2.11 is divided into 2 different sub-systems: the *pixel detector*
 1444 at the very core and the *microstrip detector* around it. This system is composed of 75 million
 1445 individual readout channels with up to 6000 channels per squared centimeter for the pixels making
 1446 it the world's biggest silicon detector. This density allows for measurements of the particle tracks
 1447 with a precision of the order of $10 \mu\text{m}$. This is necessary to reconstruct all the different interaction
 1448 vertices with precision and have a precise measure of the curvature of the charged particles traveling
 1449 through the magnetic field to estimate their charge and momentum.

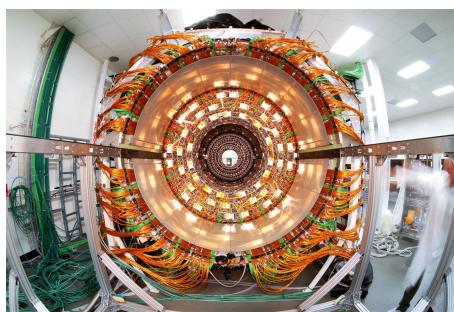


Figure 2.11: CMS tracker.

1450 2.2.2.2 The calorimeters, measurement of particle's energy

1451 The ECAL directly surrounding the tracker is composed of crystals of lead tungstate, PbWO_4 , a
 1452 very dense but optically transparent material used to stop high energy electrons and photons. These

1453 crystal blocks basically are extremely dense scintillators which scintillate in fast, short light bursts
 1454 proportionally to the energy deposition. The light is yielded rapidly and contained at 80% in the
 1455 corresponding 25 ns lasting bunch crossing. Each crystal is isolated from the other by the carbon
 1456 fiber matrix they are embedded in. It is composed of a barrel containing more than 60,000 crystals
 1457 and of closing endcaps containing another 15,000 crystals. In front of the ECAL endcap is installed
 1458 a preshower detector made out of two layers of lead and silicon strip detectors to increase the spatial
 1459 resolution close to the beam line for pion-photon and single-double photon discrimination purposes.
 1460 Figure 2.12 shows the calorimeter inside of the magnet and the crystals.

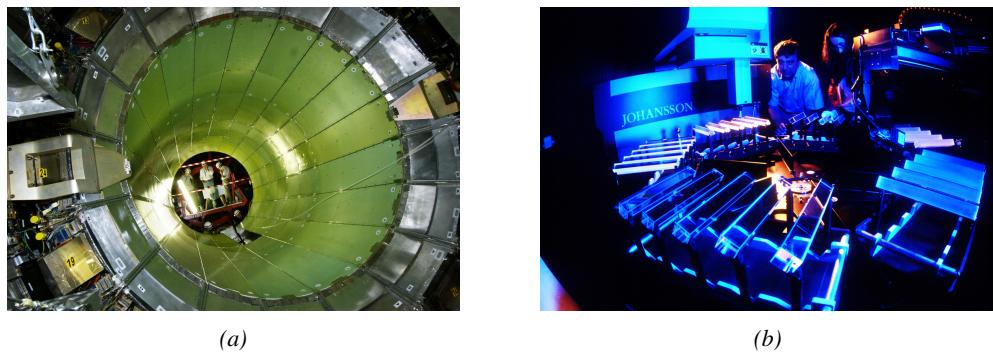


Figure 2.12: Figure 2.12a: picture of the ECAL. Figure 2.12b: picture of the lead tungstate crystals composing the ECAL.

1461 The next layer is the HCAL measuring the hadrons momentum and providing indirect hints of
 1462 non interacting neutral particles, such as neutrinos, as missing transverse momentum. Several layers
 1463 of brass or steel are interleaved with plastic scintillators readout by photodiodes using wavelength-
 1464 shifting fibers. The HCAL is also composed of a barrel, showed in Figure 2.13 and of endcaps. It
 1465 also features forward calorimeters on both sides of CMS in the region very close to the beam line at
 1466 high pseudorapidity ($3.0 < |\eta| < 5.0$). The role of these forward calorimeters, made using steel and
 1467 quartz fibers, is to measure very energetic hadrons.



Figure 2.13: CMS hadron calorimeter barrel.

1468 Finally, in the outer region of the apparatus, a muon system is used to trigger on potentially
 1469 interesting event by identifying muons. Indeed, the muon system is a very important part of the
 1470 CMS trigger infrastructure designed to efficiently select data from the enormous data flow received
 1471 by the detectors as the LHC delivers collisions at a rate of 40 MHz with a pile-ip of 20 to 30 collisions

per bunch crossing during Phase-I and up to 200 during Phase-II, representing billions of interactions per second among which a large quantity are low energy collisions that are not likely to produce new reactions, and which is physically impossible for nowadays technologies to cope with. Working at a maximum rate of 100 kHz, the trigger system is able to select the 100,000 more interesting events by looking at the energy distribution of the interaction products and clear signatures like muons reconstructed by the muon system. the vast majority of these events will not finally be stored after physics tests are applied.

2.2.2.3 The muon system, corner stone of CMS

The challenge for the muon system is to provide a robust and fast measurement of muons. Three different subsystems, and soon 4 after LS2, compose the muon system as showed in Figure 2.14 in which a quadrant of the CMS detector focused on muon system. Drift Tube (DT) are found in the barrel region covering the low pseudorapidity region where particles transverse momentum is lower and Cathode Strip Chamber (CSC) are found in the endcap region covering higher pseudorapidity region closer to beam line where particles have a stronger momentum. The redundancy of the system is insured by Resistive Plate Chambers in both the barrel and endcap region. Nevertheless, the region closest to the beam line ($|\eta| > 1.8$) was not equipped with RPCs. This lack of redundancy in the high pseudo rapidity region will be solved during LS2, the following Year End Technical Stop (YETS) in 2021 and 2022, and LS3 where the necessary services, detectors and Link System, that collects the data and synchronizes them, will be installed.

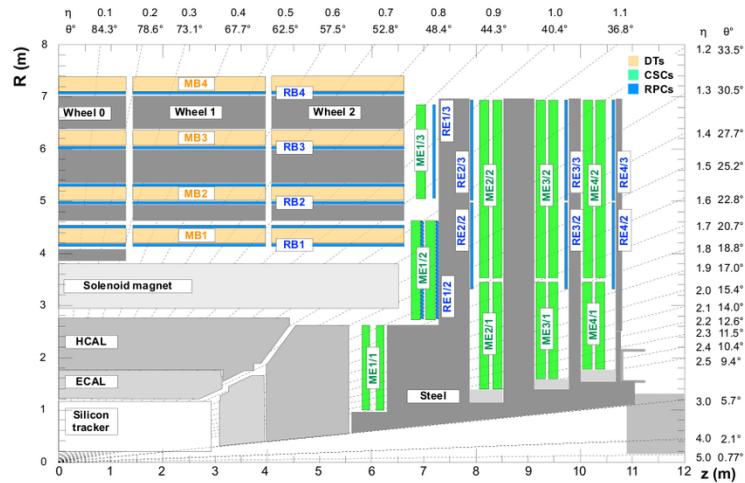


Figure 2.14: A quadrant of the muon system, showing DTs (yellow), RPCs (blue), and CSCs (green).

The barrel region is divided into 5 *wheels* made out of 4 *rings* of detectors with iron return yokes in between them whereas the endcaps are made out of 4 disks, each divided into pseudorapidity stations, 2 for CSCs (except for the first disk where 3 stations are equipped) and 3 for RPCs, although only 2 RPCs stations are equipped at present. The wheels and disks are showed in Figure 2.15. So far, each subsystem was dedicated to a particular task. DTs, in the barrel, and CSCs, in the endcaps, are used mainly for their spatial resolution. Indeed, DTs' resolution is of the order of 100 μm along both the ($r - \phi$) and ($r - z$) components while the resolution of CSCs is similar but varies in a range

from 50 μm to 140 μm depending on the distance to the beamline. On the other hand, RPCs are used for their time resolution as they can deliver an information on the muon tracks within 1.5 ns.

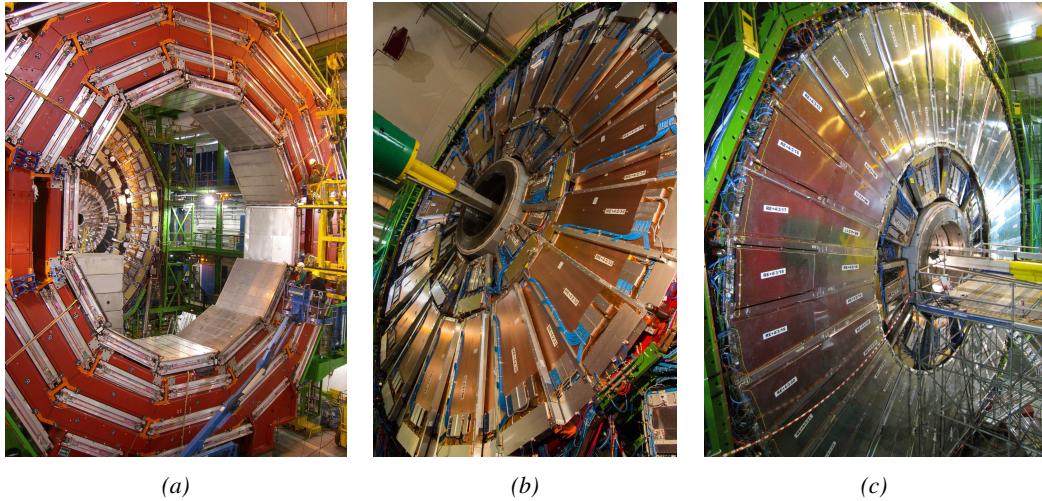


Figure 2.15: Figure 2.15a: Barrel wheel with its detector rings and return yokes. Figure 2.15b: CSC endcap disk with the 2 CSC stations. The outer station is made of 10 deg detectors while the inner station is made of 20 deg detectors. Figure 2.15c: RPC endcap disk. The inner station is not equipped and the inner CSC station can be seen.

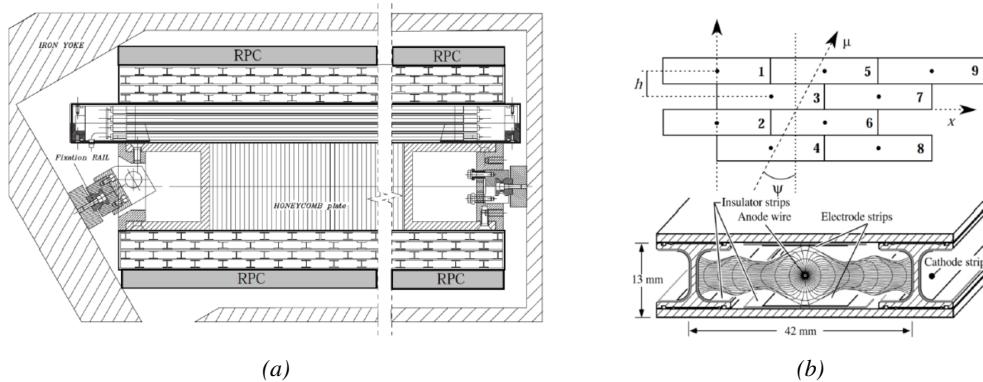


Figure 2.16: Figure 2.16a: Cross section of a DT module showing the two superlayers measuring the ϕ coordinate, perpendicular to the cross section plane, and the superlayer measuring the η coordinate, placed in between the two others with honeycomb and parallel to the cross section plane. The DT detector is sandwiched in between 2 RPCs whose readout strips are perpendicular to the cross section plane, measuring the ϕ coordinate. Figure 2.16b: A DT cell is shown together with its electric field. The path of a muon through a superlayer is shown.

The 250 CMS DTs, found in the barrel covering the pseudorapidity region $0 < |\eta| < 1.2$ and whose structure is shown in Figure 2.16, are composed of 3 *superlayers* of DT cells. Two of these superlayers are dedicated to measuring the ϕ coordinate of the muons and while the last one measures the η (or z) coordinate. Each superlayer consists on 4 layers of 60 to 70 DT cells arranged in quincunx to allow for a precise reconstruction of the muon path through the DT layers. Each DT

1505 cell is a rectangular aluminium gas volume with a central anode wire. Cathode strips are placed on
 1506 the narrow surface of the cells and electrode strips are placed on the wide surface to help shaping
 1507 the electric field to ensure a consistent drift velocity of electrons in the drift volume. These detectors
 1508 are operated using a 85/15 mixture of Ar and CO_2 .

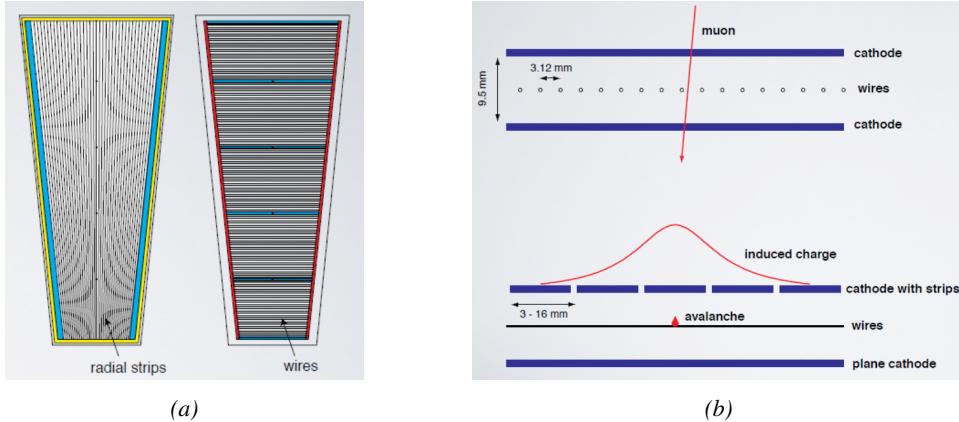


Figure 2.17: Figure 2.17a: cathode strips and anode wire layout of a CSC panel. Figure 2.17b avalanche development and charge collection by anode wires and induction on cathode strips inside of a CSC panel.

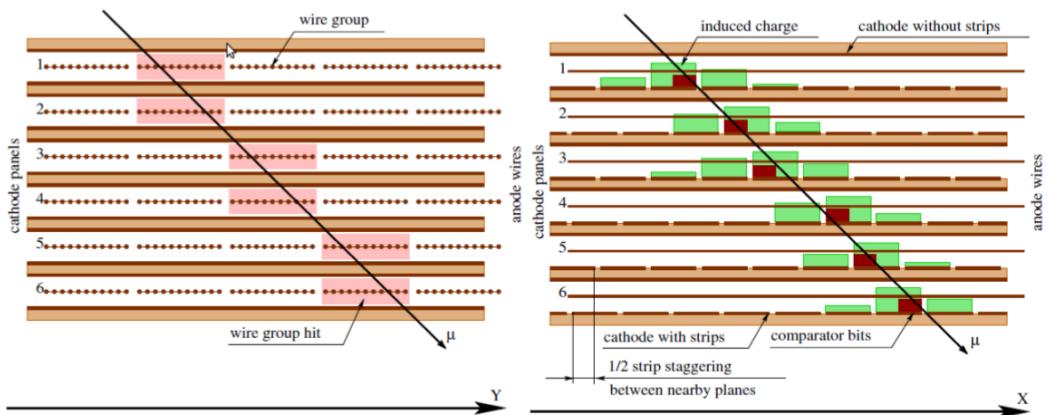


Figure 2.18: Muon track reconstruction through the 6 panels of a CMS CSC using the information of anode wire groups and cathode strip charge distribution combined with comparator bits to decide on which half strip the muon is more likely to have passed.

1509 The 540 CMS CSCs, found in the endcaps covering the pseudorapidity region $0.9 < |\eta| < 2.5$
 1510 and described through Figures 2.17 and 2.18, are composed of 6 panels of CSC, each panel consisting
 1511 in a wide gas volume of 9.5 mm (7 mm in the case of ME1/1 station) containing anode wires and
 1512 whose surfaces are cathodes. The top cathode is a wide copper plane of the size of the gas volume.
 1513 The bottom cathode is divided into thin trapezoidal copper strips radially arranged to measure the
 1514 azimuthal coordinate ϕ with a pitch ranging from 8 to 16 mm. The $0.50 \mu m$ anode wires are placed
 1515 perpendicularly to the strips to measure radial coordinate r and are grouped by 10 to 15 with a wire
 1516 to wire space of 3.2 mm. In the specific case of ME1/1 placed against the HCAL endcap, the $0.30 \mu m$

1517 anode wires have a wire to wire distance of 2.5 mm and are not disposed perpendicularly to the strips
 1518 but slightly tilted by an angle of 29 deg to compensate for the lorentz force due to the very strong
 1519 local magnetic field of 4 T. These detectors are operated with a 40/50/10 mixture of Ar , CO_2 and
 1520 CF_4 . Combining the information of the multiple CSC panels, the detectors achieve a very precise
 1521 measurement of the muon track.

1522 Despite their excellent spatial resolution, the wire chambers (DTs and CSCs) are limited in terms
 1523 of time resolution by the fact that the charge needs to drift towards the anode wire and be collected
 1524 before having the confirmation that a particle was detected as the drift volume is not used to develop
 1525 avalanches. Indeed, the stronger electric field close to the anode wire triggers the avalanche and the
 1526 gain of the detector. Due to the drift, the time resolution is thus limited at best to approximately 2 to
 1527 3 ns. In addition, even though the intrinsic time resolution of the tracking chambers is rather good
 1528 compared to the 25 ns in between successive collisions, the processing time of the trigger system
 1529 doesn't allow for very fast triggering as it provides a time precision of only 12.5 ns. Thus, detectors
 1530 fully dedicated to timing measurement have been installed as a redundant system. These detectors
 1531 are RPCs, also gaseous detectors but that use current induction instead of charge collection allowing
 1532 for a time resolution of the order of 1.5 ns only. Theoretically, depending on the design used, RPCs
 1533 could reach a time resolution of the order of 10 ps but in the context of LHC where bunch crossing
 1534 happen every 25 ns, a time resolution of 1.5 ns is sufficient to accurately assign the right bunch
 1535 crossing to each detected muon.

1536 The 1056 RPCs equipping the CMS muon system both in the barrel and endcap regions and
 1537 covering the pseudorapidity region $0 < |\eta| < 1.6$ are composed of two layers of RPC *gaps* as
 1538 described in Figure 2.19. Each gap consists in two resistive electrodes made out of 2 mm thick
 1539 Bakelite enclosing a 2 mm thick gas volume containing a 95.2/4.5/0.3 mixture of $C_2H_2F_4$, $i - C_4H_{10}$ and SF_6 . Due to this geometry, the electric field inside of a gap is homogeneous and linear
 1541 at every point in the gas translating into a uniform development of avalanches in the gas volume as
 1542 soon as a passing muon ionises the gas. The two gaps sandwich a readout copper strip plane. A
 1543 negative voltage is applied on the outer electrodes, used as cathodes, and the inner electrodes, the
 1544 anodes, are simply connected to the ground as well as the readout panel that picks up the current
 1545 induced by the accumulated charge of the growing avalanches in one or both of the gas gaps. This
 1546 OR system allows for a lower gain (i.e. a lower electric field) on both gaps to reach the maximal
 1547 efficiency of such a detector.

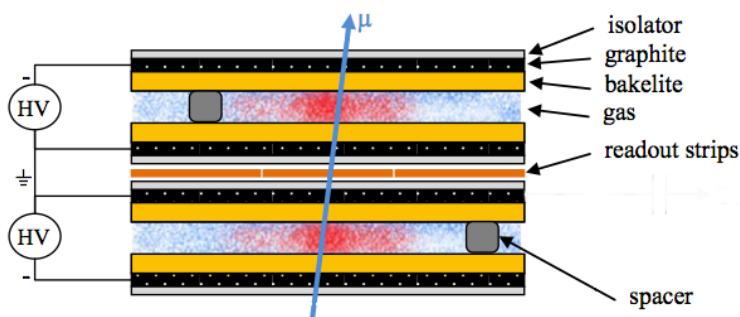


Figure 2.19: Double gap layout of CMS RPCs. Muons passing through the gas volumes will create electron-ion pairs by ionising the gas. this ionisation will immediately translate into a developing avalanche.

3

1548

1549

Muon Phase-II Upgrade

1550 The very first proton beam successfully circulated in the LHC in September 2008 directly followed
1551 by an incident leading to mechanical damage that would delay the LHC program for a year until
1552 November 2009, the very first collisions at a center-of-mass energy of 7 TeV taking place in March
1553 2010. The energy of the beam would be increased after a First Long Shutdown (LS1) starting early
1554 2013 after less than 3 years of data taking. Nevertheless, this first data taking period at only 7 TeV
1555 was sufficient to claim the discovery of a new particle compatible with the Higgs boson in July 2012.
1556 During the 2 years of shutdown, the upgrade of the accelerator allowed for several maintainances
1557 along the beam pipes, repair and consolidation of magnet connection and high-current splices. But
1558 not only the LHC was upgraded. Indeed, the experiments at the 4 collision points also took the
1559 advantage of this time to upgrade their system in prevision of the next LHC run (Run-II) until
1560 2018 and the Second Long Shutdown (LS2) as the luminosity and energy of the beam would be
1561 continuously increasing. By the end of Run-II, the luminosity will have reached twice its nominal
1562 value when the center-of-mass energy has already got close to its nominal value by reaching an
1563 historical 13 TeV for the first time in 2017.

1564 The next long shutdown will occur at the end of this year and will again be the occasion for sim-
1565 ilar maintenance and consolidation in prevision of Run-III and the future upgrade of LS3. Still, the
1566 main occupation of LS2 on LHC side will be the upgrade of LHC injectors. On the experiments side,
1567 LHCb and ALICE will, in a very tight schedule, implement major upgrades while ATLAS and CMS
1568 will wait until LS3 to upgrade their detectors in prevision of high luminosity *LHC-Phase-II*. ALICE
1569 main challenge is an upgrade of their apparatus to cope with the 50 kHz $Pb - Pb$ collisions. Simi-
1570 larly, LHCb will upgrade their frontend readout electronics to cope with the full 40 MHz collisions
1571 delivered by LHC. ATLAS will perform standard maintenance and CMS will focus on the urgent up-
1572 grade of the pixel detector and on the installation of new muon detectors in order to take profit of LS2
1573 time to mitigate the upgrade of detectors foreseen during LS3. Run-III will start in 2021 with the LHC
1574 at its nominal center-of-mass energy and will bring LHC-Phase-I to an end at the end of 2023. By
1575 then the luminosity will only increase to reach 2.5 times the nominal luminosity but during these 3
1576 years of run, the LHC will deliver as much integrated luminosity as what what brought during the al-

1577 most 7 years of both Run-I and II of data taking. Phase-I will end with an overall 300 fb^{-1} delivered.
 1578

1579 3.1 High Luminosity LHC and muon system requirements

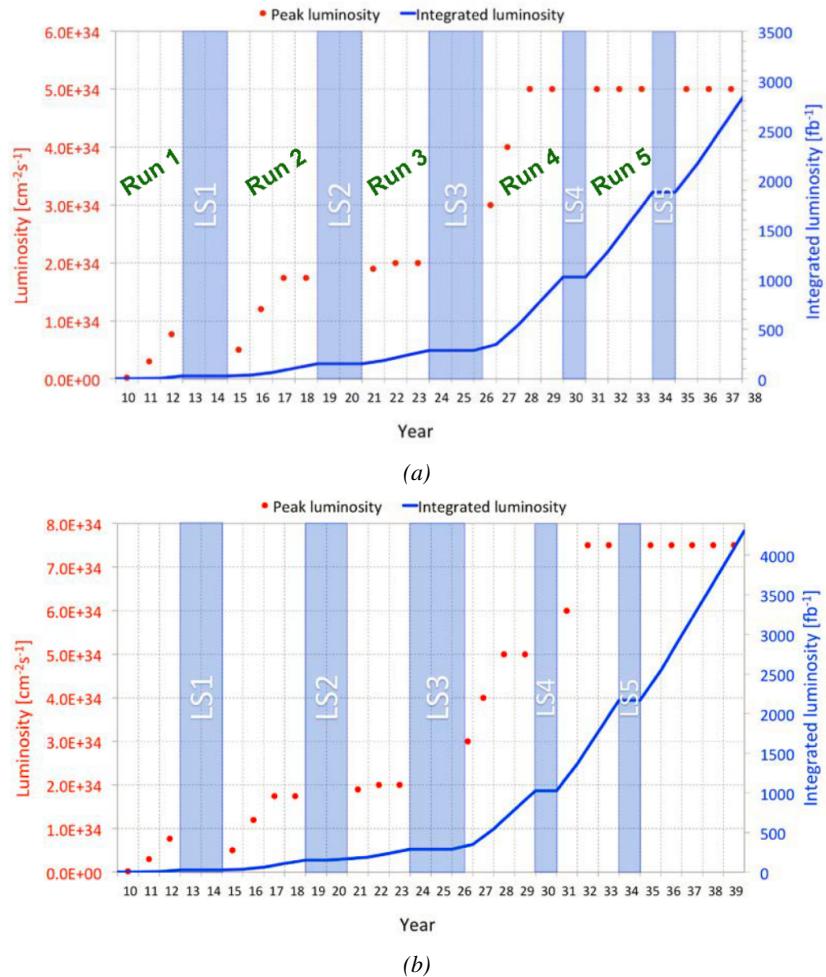


Figure 3.1: Detailed timeline projection of for LHC and HL-LHC operation until 2039 showing the evolution of the instantaneous and integrated luminosity as designed (Figure 3.1a) and in the ultimate case where the instantaneous luminosity is increased to $7.5 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$ (Figure 3.1b) [20, 22].

1580 After approximately 15 years of operation, the LHC will undergo a new series of upgrade during
 1581 the LS3 in order to boost its discovery potential as showed in Figure 3.1. This moment onward is
 1582 what is referred to HL-LHC or Phase-II. The goal is to aim for a luminosity 5 to 7 times stronger
 1583 than the nominal one trying to reach even 10 times this value if possible. Increasing the luminosity
 1584 means that the beam size at the collision points needs to be reduced to boost the number of collisions
 1585 per bunch crossing. For this purpose, new focusing and bending magnets, and collimators will be
 1586 installed at the collision points as well as newly developed "crab cavities" that will tilt the particle

1587 bunched just prior to the collisions by giving them transverse momentum and thus increasing their
 1588 meeting area. In addition, the full proton injection line will be upgraded.

1589 Over its full lifetime, the HL-LHC is expected to deliver an outstanding integrated luminosity of
 1590 3000 fb^{-1} leading, in the case of Higgs studies to measuring the couplings of the boson to a precision
 1591 of 2 to 5% thanks to the estimated 15 millions of Higgs created every year providing a more precise
 1592 measurement of potential deviations from the theoretical predictions. SUSY and heavy gauge boson
 1593 studies would also see their mass range limits pushed away by at least 1 TeV and could lead to a new
 1594 breakthrough. SUSY is a particularly important topic as it could give an answer to why the Higgs
 1595 boson can stay so light while coupled to heavy particles by introducing the contributions of the super
 1596 partners on top of providing dark matter candidates. Finally, the increase of luminosity will give the
 1597 possibility to investigate "exotic" mode like for example the models introducing extra dimensions to
 1598 explain the hierarchy problem.

1599 On the experiments side, the pile-up (PU) will be increased up to 150 to 200 interactions per
 1600 bunch crossing in ATLAS and CMS, making necessary an strong upgrade of the trigger system and
 1601 of the inner trackers and of the calorimeters. Both ATLAS and CMS will also need to upgrade
 1602 the muon trigger at the level of the endcaps mainly focusing on the coverage near the beam line in
 1603 order to increase the detection acceptance and event selection. Moreover, the increased luminosity
 1604 will also lead to an increased background rate and a faster ageing of the detectors. This PhD work
 1605 takes place into this very specific context of muon detector consolidation and certification for the
 1606 HL-LHC period in order to provide the CMS experiment with robust new detectors and confirm that
 1607 the present system will survive through the next 20 years of HL-LHC.

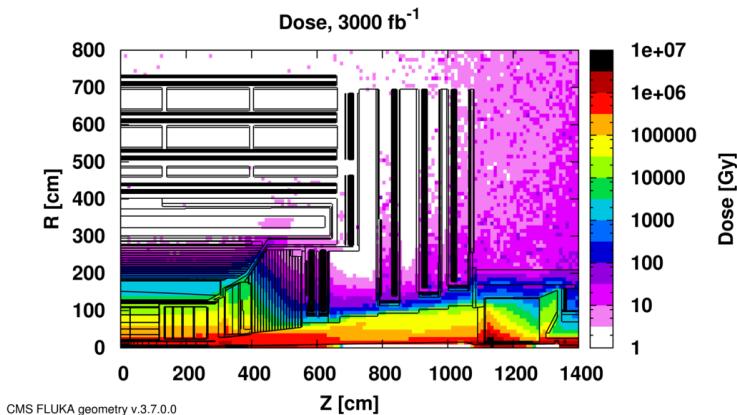


Figure 3.2: Absorbed dose in the CMS cavern after an integrated luminosity of 3000 fb^{-1} . Using the interaction point as reference, R is the transverse distance from the beamline and Z is the distance along the beamline.

1608 The end of 2018 will mark the beginning of LS2 and the start of Phase-II upgrade activities.
 1609 From the HL-LHC period onwards, i.e. past LS3, the performance degradation due to integrated
 1610 radiation as well as the average number of inelastic collisions per bunch crossing, seen as pile-up
 1611 into the detectors' readout that far exceeds this of the original LHC plans, will rise substantially and
 1612 become a major challenge for all of the LHC experiments, like CMS, that were forced to address
 1613 an upgrade program for Phase-II [23]. Dealing with the data from the muon detectors will force
 1614 to upgrade the detectors and electronics towards the most recent technologies. Simultaneously, this
 1615 will push new latency requirements onto the Level-1 trigger and the Data Acquisition (DAQ) that

will only be fulfilled by upgrading the system with electronics having deeper buffering and faster processing. Simulations of the expected distribution of absorbed dose in the CMS detector under HL-LHC conditions show, in Figure 3.2, that detectors placed close to the beam line will have to withstand high irradiation, the radiation dose being of the order of a few tens of Gy.

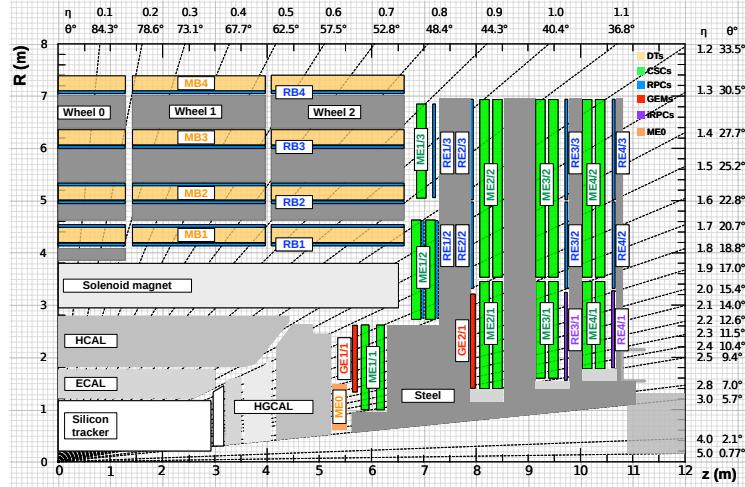


Figure 3.3: A quadrant of the muon system, showing DTs (yellow), RPCs (blue), and CSCs (green). The locations of new forward muon detectors for Phase-II are contained within the dashed box and indicated in red for GEM stations (ME0, GE1/1, and GE2/1) and dark blue for improved RPC (iRPC) stations (RE3/1 and RE4/1).

The increase of irradiation close to the beam line will affect the background rate seen by the muon detectors in this area and tracking muons will prove to be difficult as this region is not yet equipped with all the detectors that were already foreseen for Phase-I. Improving this situation will come with the increase of hit numbers recorded along the particle track to reduce the ambiguity on muon versus background detection. Moreover, the measurement of small production cross-section and/or decay branching ratio processes, such as the Higgs boson coupling to charge leptons, and in particular to muons, or the $B_s \rightarrow \mu^+ \mu^-$ decay, is of major interest and specific upgrades in the forward regions of the detector will be required to maximize the physics acceptance to the largest possible solid angle.

To ensure proper trigger performance within the present coverage, the muon system will be completed with new chambers and the electronics of the present system will need to be upgraded to ensure an efficient triggering. Figure 3.3 shows the addition of Gas Electron Multiplier (GEM) and improved RPC (iRPC) in the pseudo-rapidity region $1.6 < |\eta| < 2.4$ to complete the redundancy of the already existing CSCs as originally scheduled in the CMS Technical Proposal [24]. A first step into this direction will be taken by installing GEMs on the first endcap disk in position GE1/1 during LS2, during which preparations for the future installation of more GEMs and RPCs will take place by installing the needed services. During the YETS following LS2, iRPCs will be installed on the third and fourth endcap disks in position RE3/1 and RE4/1, and more GEMs will equip the second endcap in position GE2/1 and the inner layer, closest to the HCAL endcap called ME0 during LS3, finally completing the redundant coverage of the muon system and extending it a little by extending the reach to $|\eta| = 2.8$, the redundancy in the region $2.4 < |\eta| < 2.8$ being maintained by the 6 GEM layers contained in each ME0 detector that provide enough tracking points to efficiently reject

1642 neutron-induced background.

1643 Nevertheless, the region beyond $|\eta| > 2.8$ and extending to $|\eta| = 5.0$ only is covered by the
 1644 forward HCAL detectors and lack redundant muon detector coverage. Extensions of the tracker in
 1645 the context of HL-LHC will increase its coverage up to $|\eta| = 4.0$ but the identification of muons and
 1646 measurement of their energy with reasonable precision only using the tracker is nearly impossible.
 1647 Thus, this increased tracker coverage range needs to be put in parallel with a matching muon detector
 1648 and will open doors to multi-lepton final states in which leptons are likely to have a low transverse
 1649 momentum and to be found near the beam line.

1650

1651 Finally, as the muon system is composed only of gaseous detectors, strong environmental con-
 1652 cerns have risen over the last years as the European directives will restrict the use of fluorine based
 1653 gas mixtures. Both the CSC and RPC subsystems, using CF_4 , $C_2H_2F_4$, or SF_6 , will need to adapt
 1654 their working gas in order to strongly reduce the greenhouse potential of the mixtures released into
 1655 the atmosphere due to gas leaks.

1656 3.2 Necessity for improved electronics

1657 Drift Tubes and Cathode Strip Chambers are important components used to identify and measure
 1658 muons, especially thanks to their spatial resolution of the order of $100\ \mu m$. Nevertheless, the lumi-
 1659 nosity and irradiation during HL-LHC will cause serious event loss and ageing on the electronics of
 1660 these subsystems that will comprise the triggering and data transferring needs of CMS. Thus, elec-
 1661 tronics upgrade are foreseen to address these expected problems. While only the RPCs' electronic
 1662 system is able to operate under Phase-II requirements, DTs and CSCs will need to improve their
 1663 trigger accept rate and latency to ensure that Level-1 trigger threshold stays at the same level [25],
 1664 and DAQ data transfer rate, that respectively need to achieve a minimum of $500\ kHz$, get down to
 1665 $12.5\ \mu s$ [26], and increase to $1082\ Gbit/s$ DTs and to $1026\ Gbit/s$ for CSCs. As of today, the Level-
 1666 1 trigger accept rate of DTs doesn't reach $300\ kHz$ while this of CSCs is below $250\ kHz$ but the
 1667 foreseen upgrades are expected to increase the rate way beyond the requirement in the of DTs and
 1668 up to $4\ MHz$ for CSCs [23].

1669 The first version of Minicrate electronics (MiC1) used by DTs don't allow for high enough
 1670 trigger rate. In addition to this problem, it was showed that these electronics contain components
 1671 that are not radiation hard enough to sustain HL-LHC conditions and thus, a too large number of
 1672 channels may fail due to radiations. Considering the most optimistic scenario, at least 19% of the
 1673 channels could have failed by LS4, as explicit in Figure 3.4, far before the end of the HL-LHC
 1674 campain. The MiC1 will be replaced on each detector by an improved version referred to as MiC2
 1675 while front-end electronics and high-voltage modules will not need any replacement. On the other
 1676 hand, CSCs showed that there electronics would be able to live through the 10 years of Phase-II but
 1677 the limited buffer depth might cause memory overflows and readout inefficiencies with a fraction
 1678 of event loss ranging from 5 to more than 10% at an instantaneous luminosity similar to which of
 1679 HL-LHC depending on the expected background, as showed on Figure 3.5 through the different
 1680 detector positions. Thus the replacement of CSCs' cathode front-end boards (CFEBs) by digital
 1681 ones, DCFEBS, with deeper buffer would permit to make event loss negligible and satisfy HL-LHC
 1682 requirements [23].

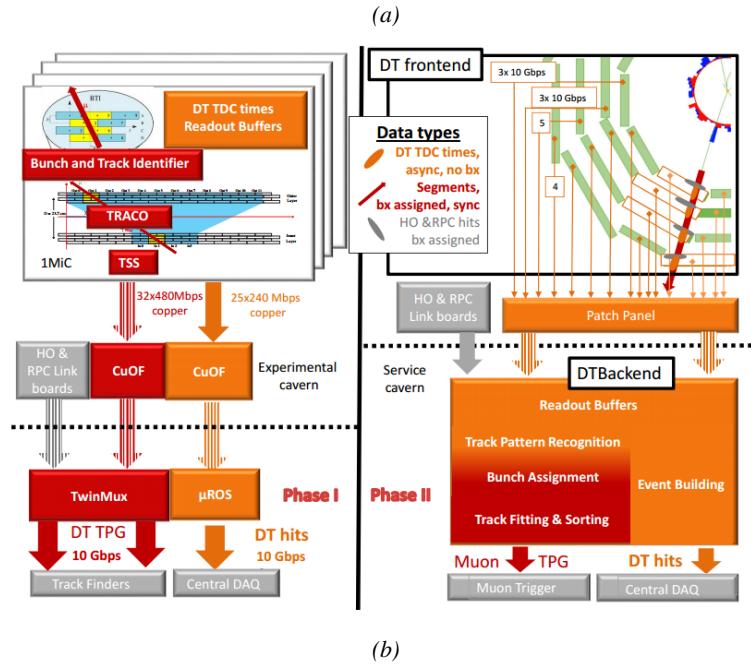
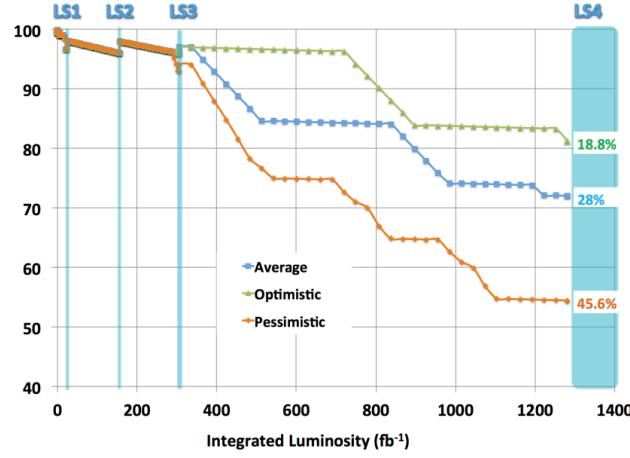


Figure 3.4: Figure 3.4a: Extrapolated fraction of failing channels of the present DT MiC1 electronics as a function of the integrated luminosity for different scenari until LS4. Figure 3.4b: Comparison of the current (left) and upgraded (right) DT data processing. So far, the data is sent to service cavern of CMS facility via copper-to-optical-fiber translators (CuOF) by each MiC1. There, data including RPCs and outer hadron calorimeter is combined into trigger primitives (TPG) and transmitted by the TwinMux system to CMS Track Finder. The time-to-digital converter (TDC) data is collected and sent to the CMS data acquisition system (DAQ) by the micro read-out server (μ ROS). After the upgrade, the TDC data will be sent via optical links to a patch panel inside the experimental cavern by each MiC2, and transferred to the back-end, where triggering and event building will be performed.

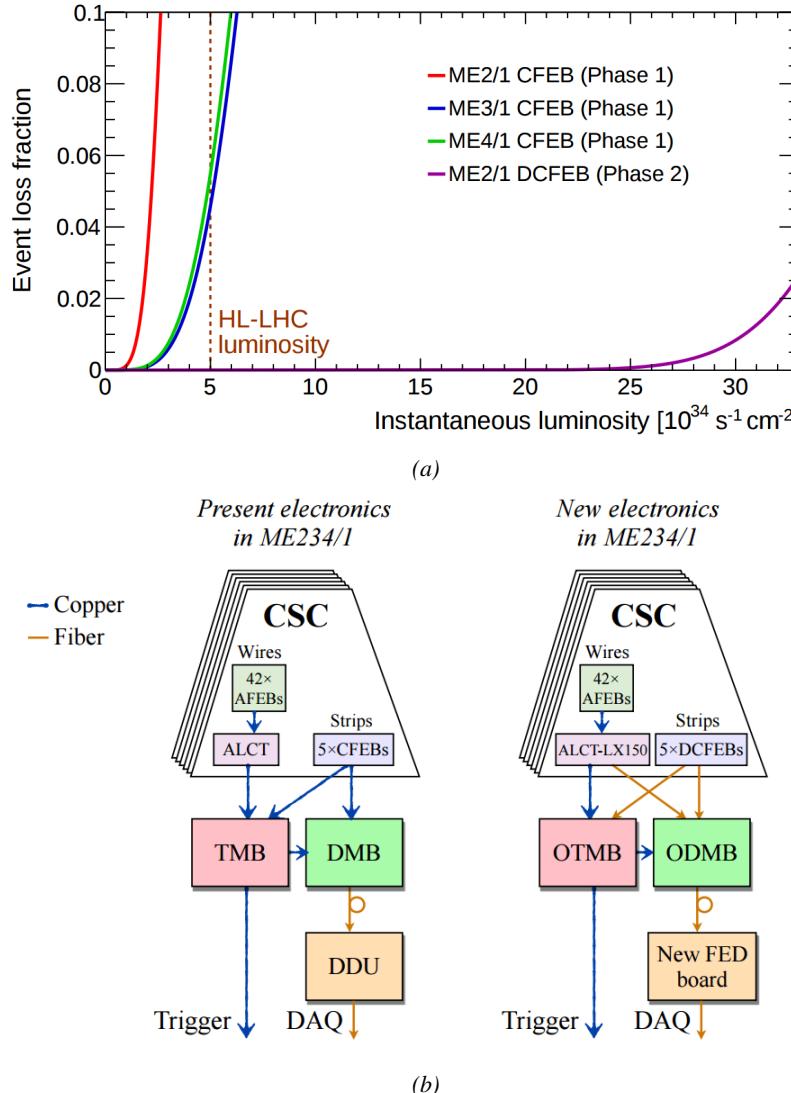


Figure 3.5: Figure 3.5a: The event loss fractions as a function of the instantaneous luminosity is compared for CFEBs (Phase-1) and DCFEBs (Phase-II) at different CSC locations. HL-LHC luminosity is marked with the dashed brown line. Figure 3.5b: Comparison of the current (left) and upgraded (right) CSC data processing. A part of the connections in between ALCTs and DCFEBs, and the trigger mother boards (TMBs) and data acquisition mother boards (DMBs) will be upgraded toward optical data transfer. The detector dependent units (DDUs) used as interface in between CSCs' front-end electronics and the CMS DAQ will be replaced by new FED boards.

1683 All these new DT and CSC electronics will be connected to the trigger electronics via optical
 1684 links to ensure a faster communication. The main change will come from the new DT minicrate
 1685 modules which will not anymore be responsible for trigger and event building logic which will be
 1686 transferred to the back-end electronics instead located in the service cavern via the patch pannels to
 1687 which the Time-to-Digital Converter (TDC) data will be sent. The trigger and data transfer logic will
 1688 barely change for CSCs. The existing copper cable connections of cathode and anode FEBs (CFEBs,

1689 and AFEBs which data is transmitted through the ALCTs) toward the trigger and data mother boards
 1690 (TMBs and DMBs) will simply be replaced by optical fibers and the TMBs and DMBs upgraded with
 1691 optical versions (OTMBS and ODMBs). As a new feature, the full anode wire data from ALCTs
 1692 will be sent to the ODMBs causing a lack of FPGA memory resources in these ALCT boards that
 1693 will thus need replacement.

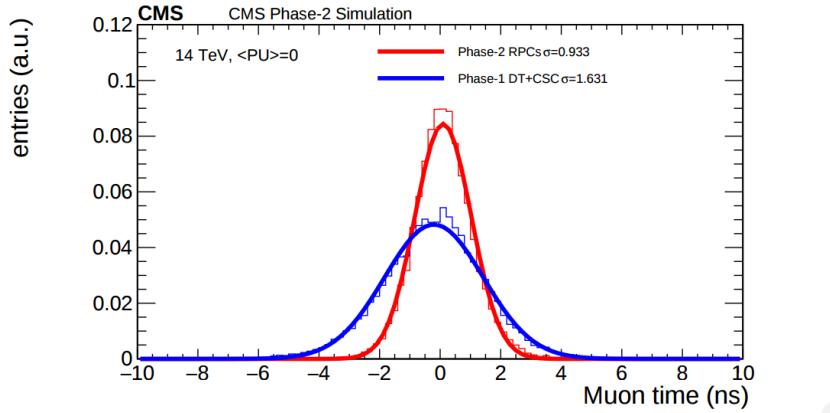


Figure 3.6: Comparison of the simulated time residuals in between reconstructed and true muon times without (blue) and with (red) the upgraded RPC link system.

1694 The upgrade on the side of Resistive Plate Chambers will then not come from their on-board
 1695 electronics but from the Link System located in the service cavern of CMS and that connects the
 1696 front-end electronics data of RPCs into CMS trigger processors. The main motivation for such an
 1697 upgrade is that the electronic board composing the link system are built using obsolete components
 1698 and weak components that can easily suffer from the electromagnetic noise. These components may
 1699 be the source of failing channels throughout Phase-II. Moreover, these link boards were originally
 1700 designed only to match RPC digitized signals with the corresponding bunch crossing. Due to this
 1701 feature, the time resolution of the full RPC chain is thus limited to 25 ns and does not exploit the full
 1702 time resolution of the detectors. This would make the synchronization of the RPC system easier and
 1703 allow to have a finer offline background removal within the 25 ns in between bunch crossings thanks
 1704 to the order of magnitude gained in terms of time resolution.

1705 Upgrading RPC link system will require the installation of 1376 new link boards and 216 control
 1706 boards. The new boards will make use of the recent progress made with fast FPGAs and will be a
 1707 great improvement to the ASICs formerly used as they will be able to process signals from several
 1708 detectors in parallel. The benefit from using the full RPC time resolution thanks to the upgraded
 1709 link system can be seen through Figure 3.6 where the resolution of the RPC system itself is better
 1710 than that of DTs and CSCs that was used until now.

1711 **3.3 New detectors and increased acceptance**

1712 In the present muon system, the redundancy was assured by RPCs used for their good timing per-
 1713 formances. The extension of the muon system towards higher pseudo-rapidity in order to complete
 1714 the redundancy in this very region and to contribute to the precision of muon momentum measure-
 1715 ments will require muon chambers with a spatial resolution less or comparable to the contribution

¹⁷¹⁶ muon of multiple scattering through the detector volume [21]. Most of the plausible physics is
¹⁷¹⁷ covered only considering muons with $p_T < 100$ GeV thus, in order to match CMS requirements,
¹⁷¹⁸ a spatial resolution of $\mathcal{O}(\text{few mm})$ will be necessary for the proposed new RPC stations while the
¹⁷¹⁹ GEMs will need a resolution better than 1 mm, as showed by the simulation in Figure 3.7.

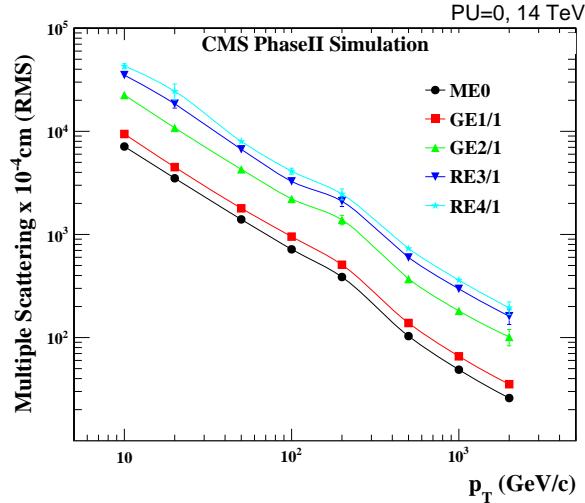


Figure 3.7: RMS of the multiple scattering displacement as a function of muon p_T for the proposed forward muon stations. All of the electromagnetic processes such as bremsstrahlung and magnetic field effect are included in the simulation.

¹⁷²⁰ 3.3.1 Improved forward resistive plate chambers

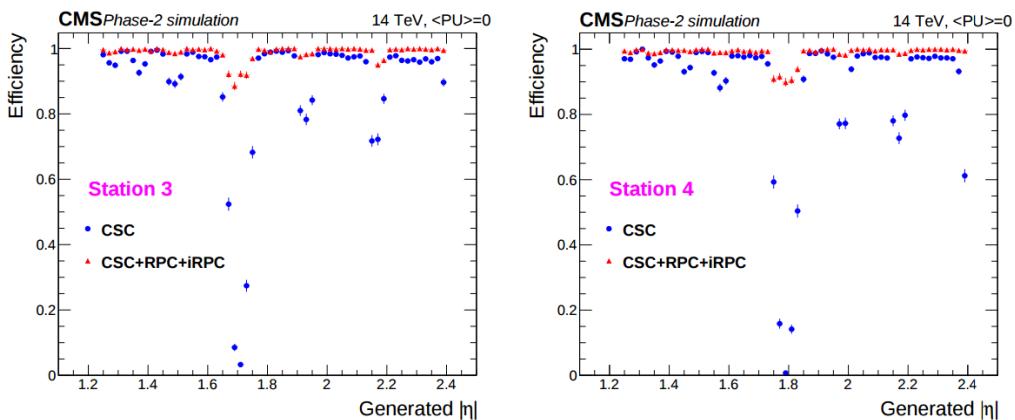


Figure 3.8: Simulation of the impact of RPC hit inclusion onto the local trigger primitive efficiency in station 3 (left) and station 4 (right). The contribution of iRPC starts above $|\eta| = 1.8$.

¹⁷²¹ Figure 3.3 shows that the iRPCs that will equip the third and fourth endcap disks in position RE3/1
¹⁷²² and RE4/1 will finally be the partners of the CSCs in position ME3/1 and ME4/1 and complete
¹⁷²³ Phase-I plans but bringing the needed upgrades in the scope of Phase-II as the older chambers are

not suitable to equip the forward region of CMS due to HL-LHC rates and charge deposition. By completing the redundancy, more track along the muon trajectory will be available and the lever arm will be improved. The benefits from extending the redundancy of the muon system with iRPCs to the forward most region is showed in Figure 3.8 in which the trigger efficiency is showed with and without RPCs in which it is possible to see that the efficiency of CMS trigger with the complete redundancy is improved is above 95% in the region $|\eta| > 1.8$ as the iRPCs help filling the holes in the CSC system.

1731

The detectors that will be installed in the coming years will be similar to the already existing RPC system. 18 of the new chambers, each spanning 20° in φ around the beam axis with 96 radially oriented trapezoidal read-out strips, will cover each muon endcap disk leading to the production of 72 iRPCs. The main difference with the old RPC chambers is that these detectors will not have readout strips segmented in η as by using fast front-end electronics the strips will be read-out on both sides allowing for a radial spatial resolution of the order of 2 cm in order to contribute to the better reconstruction of muon in the forward region where the bending of muons by the magnetic field is low. This is motivated by the fact that, in the case a η segmentation was used, at least 5 pseudorapidity partitions would have been necessary to reach the minimal radial spatial resolution (≈ 20 cm). Having only one strip read-out from both along the chamber reduces by 60% the total number of channels and the necessary cabling and allows for a better spatial resolution. The strip pitch will range from 6.0 mm (5.9 mm) on the high pseudo-rapidity end to 12.3 mm (10.9 mm) on the low one on position RE3/1 (RE4/1). The spatial resolution in the direction perpendicular to the strips should reach approximately 3 mm, better than the minimal needed resolution (Figure 3.7), and the overall time resolution of the new installation will be equally 1.5 ns, as for the present due to the same link system being used.

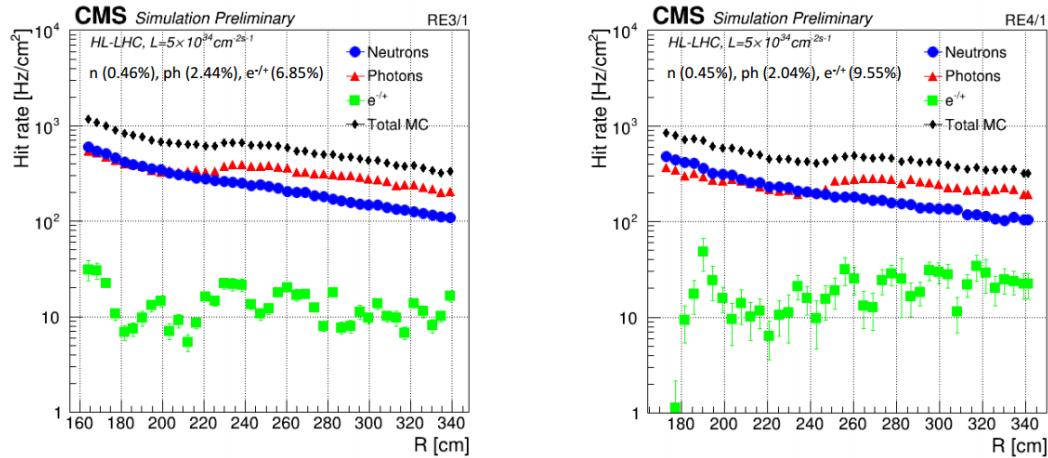


Figure 3.9: Expected hit rate due to neutrons, photons, electrons and positrons at HL-HLC instantaneous luminosity of $5 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$ in RE3/1 and RE4/1 chambers. The sensitivities of iRPCs used in the simulation for each particle are reported and differ from one endcap disk to another as the energies of the considered particles varies with the increasing distance from the interaction point.

Nevertheless, having only a single strip instead of pseudo-rapidity segmentation will increase the probability of double hits in the same channel. This probability was estimated to be low enough

as it shouldn't exceed 0.7%. This estimation was made assuming an average hit rate per unit area of 600 Hz/cm² in the iRPCs (see Figure 3.9), a cluster size (average number of strips fired per muon) of 2, a strip active area of 158.4 × 0.87 cm² and a safety factor 3 leading to an estimated rate per strip of 380 kHz corresponding to an average time interval of 2600 ns in between 2 consecutive hits. The time for a signal to go through the full strip length is about 10 ns to which can be added 1 ns of dead time and 2 TDC clock cycles of 2.5 ns for a minimal time interval of 16 ns necessary to avoid ambiguities. The probability of having ambiguous double hits in a strip is then the ratio in between this minimal time interval in between 2 consecutive hits and the average time interval estimated from the rate the detectors are subjected to.

The instantaneous luminosity at HL-LHC being very high, the rates at the level of the new chambers needed to be simulated in order to understand the necessary requirements for these detectors. The simulated results for different background components (neutrons, photons, electrons and positrons) are showed in Figure 3.9 assuming known sensitivities to these particles. It is showed that on average over the iRPC areas the rates would be of the order of 600 Hz/cm² (600 Hz/cm² seen in RE3/1 and 480 Hz/cm² in RE4/1) [27]. Thus, taking into account a safety factor of about 3, it was decided that improved RPCs should at least be certified for rates reaching 2 kHz/cm² which would be achieved thanks to several improvements on the design and on the electronics. The detectors design will be based on the existing RPC design as they will be double gaps. Similarly to the existing RPC system, the electrode material will be HPL although the thickness of the electrodes and of the gas gap will be reduced to 1.4 mm as a thinner gas gap leads to a decrease of deposited charge per avalanche as showed in Figure 3.10. The smaller the gas gap, the more the detector becomes sensitive to gap non-uniformities across the electrode planes making a gap of 1.4 mm a good compromise in between these two competing factors.

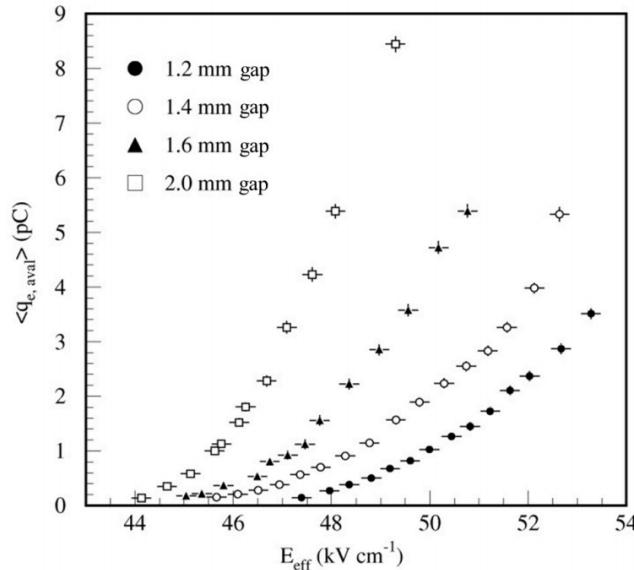


Figure 3.10: Measured average charge per avalanche as a function of the effective electric field for different gas gap thickness in double gap RPCs using HPL electrodes.

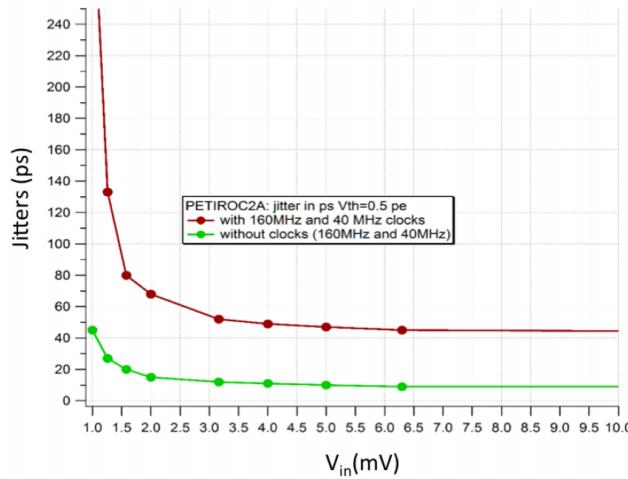


Figure 3.11: The PETIROC time jitter as a function of the input signal amplitude, measured with and without internal clocks.

1773 A lower charge deposition inside of the detector volume means a slower ageing and a longer life-
 1774 time for detectors subjected to high irradiation. But, in order to take advantage of the lower detector
 1775 gain, more sensitive electronics are required so that the part of gain that was formerly done in the gas
 1776 volume can be moved to the electronics. Achieving this with the technology developed more than
 1777 10 years ago for the present system is not possible as the signal over noise ratio of such electronics
 1778 doesn't allow to detect charges as low as 10 fC. Moreover, the new front-end electronics will need
 1779 to be radiation hard to survive to more than 10 years of HL-LHC conditions. The new technology
 1780 that has been chosen is based on the PETIROC ASIC manufactured by OMEGA and is a 64-channel
 1781 ASIC called CMS RPCROC on which the original SiGe technology will be replaced by CMOS to
 1782 increase its radiation hardness while keeping fast pre-amplification and discrimination with a very
 1783 low jitter that can reach less than 20 ps if no internal clock is used, as can be seen from Figure 3.11.
 1784 The ASIC is associated with an FPGA which purpose is to measure time thanks to a TDC with a
 1785 time resolution of 50-100 ps developed by Tsinghua University and that will provide a measurement
 1786 of the signal position along the strip with a precision of a few cm by measuring the signal timing
 1787 on both ends of the strips. In order to read-out all 96 strips, 3 ASICs and 3 TDCs, each having 64
 1788 channels, are hosted on a front-end board attached to the chamber.

1789
 1790 [Wait for the analysis of 2018 GIF++ data to add interesting information about the time and
 1791 spatial resolution measured during test beam periods.]
 1792

1793 3.3.2 Gas electron multipliers

1794 In the region closer to the interaction point where the spatial resolution is requested to be better
 1795 than 1 mm for the new detectors (at least for GE1/1 and ME0, GE2/1 being in the same order of
 1796 requested spatial resolution than the new iRPCs that will equip the third and fourth endcaps), the
 1797 choice has been made to use triple GEMs, micro pattern gaseous detectors, in the place of RPCs.
 1798 The GE1/1 project had been the first to be approved and demonstrators had been installed in CMS

¹⁷⁹⁹ already during LS1. The rest of the detectors will be installed during LS2 while the GE2/1 and ME0
¹⁸⁰⁰ projects are still under development. ME0, GE1/1 and GE2/1 will be installed respectively next to
¹⁸⁰¹ the HCAL endcap, on the first and on the second muon endcap disks as can be seen from Figure 3.3.

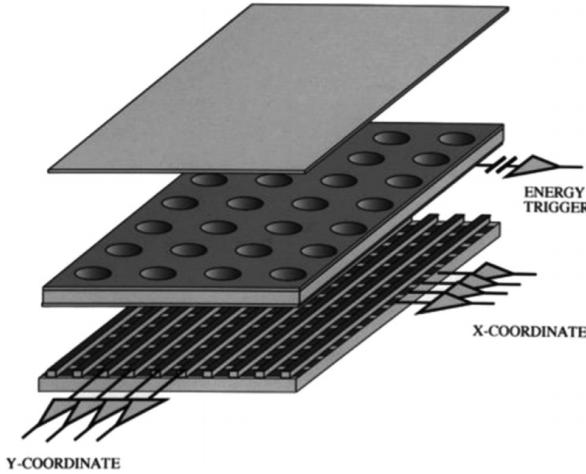


Figure 3.12: Schematics of a GEM showing the cathode on top, the GEM foil separating the gas volume into the drift region, in between the cathode and foil, and the induction region, in between the GEM foil and the anode, and the anode on which a 2D read-out is installed. A negative voltage is applied on the cathode while the anode is connected to the ground.

¹⁸⁰² Gas Electron multipliers are gaseous detectors [28] which gas volume is confined in between
¹⁸⁰³ 2 planar electrodes, the anode serving as read-out panel. The gas volume is divided in 2 or more
¹⁸⁰⁴ regions by a single or multiple *GEM foils* as showed in Figure 3.12. These foils are very thin, of
¹⁸⁰⁵ the order of a few tens of μm , and are pierced with holes as can be seen in Figure 3.13. Both
¹⁸⁰⁶ surfaces of the GEM foils are clad with copper in order to apply a strong electric field in between
¹⁸⁰⁷ each side that will generate very strong potentials in the holes. The gas region contained in between
¹⁸⁰⁸ the cathode and the GEM foil is called the drift region as the electric field is not strong enough to
¹⁸⁰⁹ cause avalanches and thus start an amplification. The primary electrons drift toward the foil and are
¹⁸¹⁰ accelerated and amplify by the very high potential within the holes, as showed in Figure 3.13. Then
¹⁸¹¹ the electrons reach the second drift region in which they will induce signal on the read-out located
¹⁸¹² on the anode. By restraining the amplification process at the level of the holes, the electrons can stay
¹⁸¹³ in a very confined space and thus induce a very localized current, providing the GEMs with a very
¹⁸¹⁴ good spatial resolution.

¹⁸¹⁵ In order to achieve a stronger amplification, the amplification process can be repeated several
¹⁸¹⁶ times in a row. The GEMs that will be used in CMS are triple GEM detectors operated with a 70/30
¹⁸¹⁷ gas mixture of Ar/CO_2 . They contain 3 GEM foils and thus 3 electron amplifications, as can be
¹⁸¹⁸ seen in Figure 3.14. The GEM foils used in CMS are 50 μm foils clad with 5 μm of copper on
¹⁸¹⁹ each side. The foils are pierced with double-canonical holes which inner and outer diameters are
¹⁸²⁰ respectively 50 and 70 μm which are placed 140 μm from each other in an hexagonal pattern, as
¹⁸²¹ showed in Figure 3.13. These detectors have a time resolution better than 10 ns and reach very good
¹⁸²² spatial resolutions of less than 200 μrad as indeed the position of the hits is not measured along the
¹⁸²³ strips but following the azimuthal angle granularity of the radially organized trapezoidal strips.

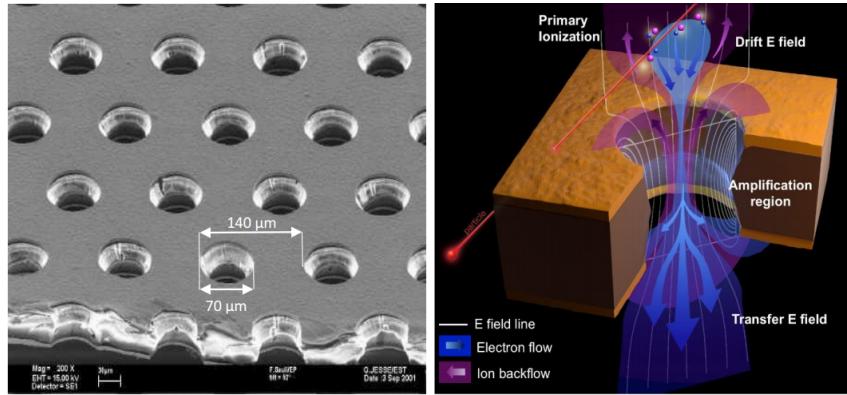


Figure 3.13: Left: Picture of a CMS GEM foil provided by a scanning electron microscope. Right: Representation of the electric field lines in a GEM hole and of the amplification that electrons and ions undergo in the hole's volume due to the very intense electric field.

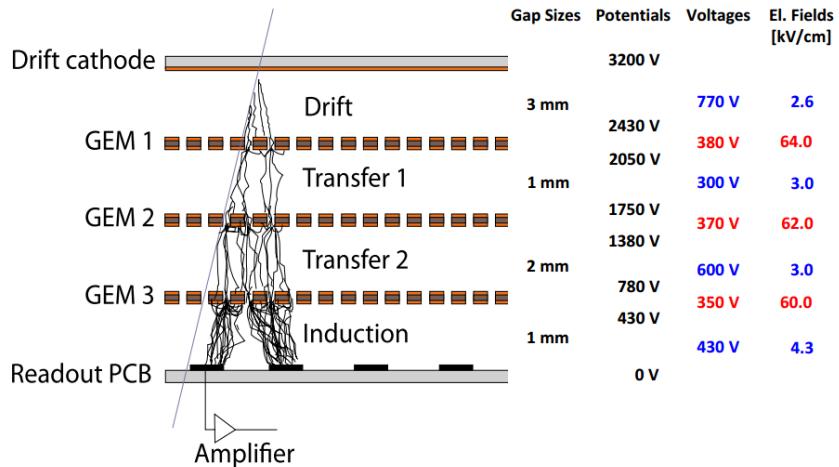


Figure 3.14: Schematic representation of CMS triple GEMs. The gas volume is divided into 4 areas. The drift area is the region where the primary electrons are created before being amplified a first time while passing through the first GEM foil. Then the process of drift and amplification is repeated twice in following two transfer areas and GEM foils. Finally, the charges have been amplified enough to induce current in the read-out strips while in the last drift area. The dimensions, potentials and electric fields are provided.

1824 The GEM Upgrade is divided into 3 subsystems as GE1/1 was the first approved project [29]
 1825 and that the detectors will already be installed during LS2. GE2/1 and ME0, on the other hand,
 1826 will profit of the R&D knowledge and skills developed for GE1/1 while the requirements for each
 1827 subsystem are different as they are not placed at the same distance from the interaction point. In this
 1828 very forward region, a different position with respect to the center of the detector can change dra-
 1829 matically the conditions in which the detectors will have to be operated. In terms of rate capability,
 1830 GE2/1, which is the furthest, is required to withstand 2.1 kHz/cm² while GE1/1 needs to be better
 1831 than 10 kHz/cm² and ME0, better than 150 kHz/cm². In terms of ageing with respect to charge
 1832 deposition, ME0 needs to be certified to 840 mC/cm², GE1/1 to 200 mC/cm² and GE2/1 only to

1833 9 mC/cm². All 3 detectors need to have a time resolution better than 10 ns and an angular resolution
 1834 better than 500 µrad.

1835 On each GE1/1 ring, 36 super chambers, consisting of 2 single GEM layers and spanning 10°,
 1836 will be installed covering the pseudo-rapidity region $1.6 < |\eta| < 2.2$ together with ME1/1 CSCs and
 1837 the reach of the muon system will be improved thanks to the GE2/1 that will overlap with the GE1/1
 1838 and cover a region from $|\eta| > 1.6$ to $|\eta| < 2.4$ and complete the redundancy of ME2/1. The super
 1839 chambers, built with 2 triple GEM layers each consisting of 4 single GEM modules due to the rather
 1840 large surface of the GE2/1 chambers, that will be installed on the first ring of the second endcap will
 1841 span 20° each, hence, a total of 72 chambers will be assembled to equip the muon system. Finally,
 1842 the ME0 installed near the HCAL endcap will cover the region $2.0 < |\eta| < 2.8$ and this subsystem
 1843 will consist in super modules of 6 layers of triple GEM detectors covering an azimuthal angle of 20°
 1844 leading to the construction of 216 single detectors.

1845 All these new GEM detectors will be using a similar internal layout which is described in Fig-
 1846 ure 3.14. The incoming muons will create detectable electron-ion pairs in the 3 mm thick drift
 1847 volume in which an electric field of 2.6 kV/cm is applied for the electrons to drift to the first GEM
 1848 foil on which a very intense field of 64 kV/cm is applied over a distance of only 60 µm which allows
 1849 for an average electronic gain of 20 to 25. After the first amplification stage, the electrons drift over
 1850 the 1 mm separating the 2 first GEM foils thanks to an electric field of 3.0 kV/cm and are again
 1851 amplified by a factor 20 to 25 while going through the second GEM foil to which is applied an elec-
 1852 tric field of 62 kV/cm. The electron drift another 2 mm towards the last GEM foil through a field of
 1853 3.0 kV/cm and are multiplied one last time from a similar factor passing through the 60 kV/cm
 1854 of the last GEM foil holes. Finally, they drift along the 1 mm of the induction volume in a field of
 1855 4.3 kV/cm to reach the trapezoidal strips on the read-out PCB used as anode. The total detector
 1856 gain is approximately of the order of 10^4 and the resulting output signal is both due to the induction
 1857 of moving charges in the induction volume and of charge pic-up once they read the read-out strips.

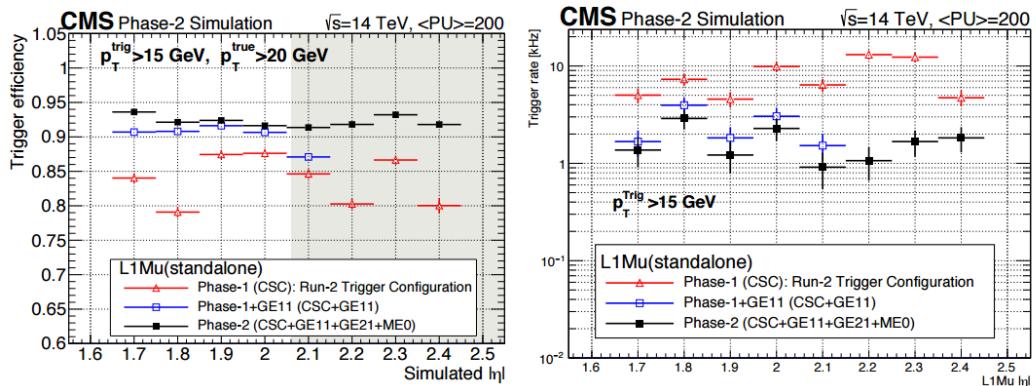


Figure 3.15: Simulated efficiency and rate of the standalone Level-1 muon trigger using tracks reconstructed in CSCs and all GEM stations compared with Phase-I values in the case where only CSCs are used or CSCs+GE1/1. The zones of inefficiency of the CSC subsystem are compensated by the addition of GEMs during Phase-II and the trigger rates is kept from increasing due to the high luminosity.

1858 Adding the GEMs into the forward region of the muon system will allow to strongly enhance
 1859 the Level-1 Trigger performance by reducing the inefficiency regions and the trigger rate as showed
 1860 in Figure 3.15. Moreover, benefiting from the good spatial and angular resolution of the GEMs, the

¹⁸⁶¹ precision into the muon measurement will also be greatly improved by the addition of GEMs as can
¹⁸⁶² be seen from the simulation presented in Figure 3.16.

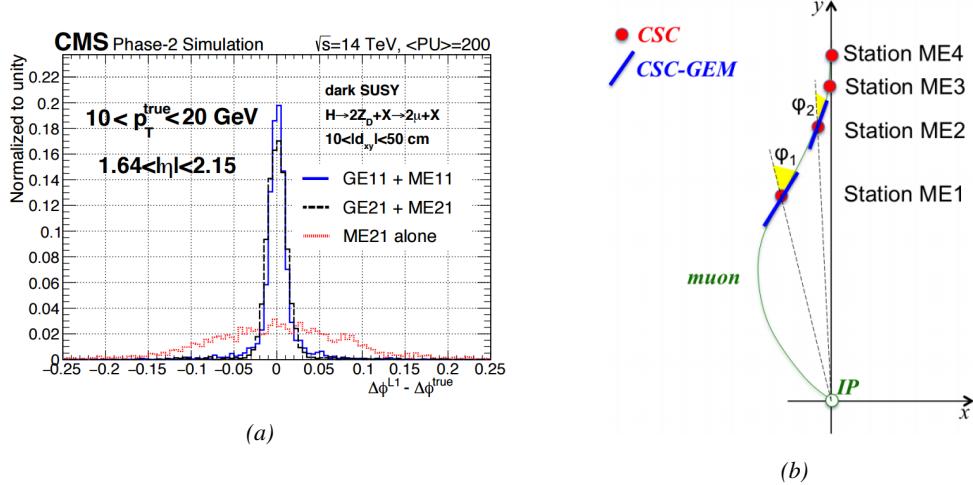


Figure 3.16: Figure 3.16a: Simulated resolution of the muon direction measurement $\Delta\phi$ with Phase-II conditions. In the second endcap station, the resolution is compared in the case of CSCs (ME2/I) alone and CSCs+GEMs (GE2/I+ME2/I) while a similar resolution measurement is given in the case of the first station (GE1/I+ME1/I). Figure 3.16b: The addition of GEM detectors on stations 1 and 2 (ME0 is considered to contribute to station station 1) as redundant system to CSCs allows to improve the muon momentum improvement through a more accurate measurement of the local bending angles ϕ_1 and ϕ_2 .

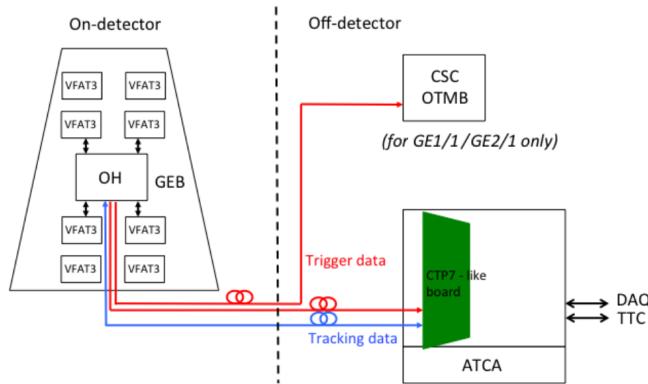


Figure 3.17: Schematics of the data communication chain for DAQ of the GEM subsystems. The sending of trigger data via optical links to the CSC OTMBs is only done for GE1/I and GE2/I to match the data with ME1/I and ME2/I.

¹⁸⁶³ The read-out of GEMs will use the same technology. The anode planes used as read-out PCBs
¹⁸⁶⁴ and referred to as GEM Electronics board (GEB) host on their outer surface VFAT3 ASICs that
¹⁸⁶⁵ connect to a total of 128 strips for a very fine angular granularity. Along the endcap radius, the strips are
¹⁸⁶⁶ divided into 8 pseudo-rapidity partitions. In the case of GE1/I and ME0, each η -partition consist in
¹⁸⁶⁷ 384 read-out strips connected into 3 VFAT3 ASICs and offering a while the large GE2/I partitions

1868 contain twice as many channels. Both GE1/1 and GE2/1 strips have an angular pitch of $474\text{ }\mu\text{m}$
 1869 while this of ME0 is twice larger due to its proximity with the interaction point. The VFAT3 ASICs
 1870 allow for a latency better than the $12.5\text{ }\mu\text{s}$ required by CMS Level-1 Trigger and there frequencies
 1871 goes up to 1 MHz. They are connected into the Optohybrid Board (OH) and this full ensemble
 1872 (GEB+VAT3+OH) constitute the on-chamber electronics. The OH is then sending the data to the
 1873 modules constituting the DAQ of the GEM system via optical fibers. These back-end electronics
 1874 modules are located in the service cavern of CMS and host CMS communication devices, used to
 1875 have a common clock, and control and links to the Endcap Muon Track Finder (EMTF) system.
 1876 Moreover, GE1/1 and GE2/1 also have links with the CSC OTMBs as the OH of these 2 subsystems
 1877 send data into these boards. This communication chain can be seen in Figure 3.17.

1878
 1879 The detectors that will placed in CMS will have to live through Phase-II without significant
 1880 performance degradation to ensure an efficient data taking and the possibility to investigate more
 1881 exotic physics. As the 3 GEM subsystems will be using the same detector technology, the choice
 1882 was made to certify the GEMs in the worst of the 3 environments, i.e. the ME0 station located right
 1883 behind the HCAL. According to FLUKA simulation, including all the latest foreseen upgrades into
 1884 the CMS detector geometry, it was shown that the maximal hit rate expected in ME0 would be of
 1885 the order of 50 kHz/cm^2 with contributions of neutrons (6 kHz/cm^2), photons (35 kHz/cm^2), and
 1886 electrons and positrons (8 kHz/cm^2) resulting in a charge deposition a little lower than 300 mC/cm^2
 1887 after 10 years of HL-LHC [23]. It is necessary to understand the classical ageing effects on the GEMs
 1888 but also premature ageing due to contaminants in the gas mixture leading to polymerization on the
 1889 surface of the GEM foils during operation and the effect of discharges on the detector operations if
 1890 they have to happen during their lifetime.

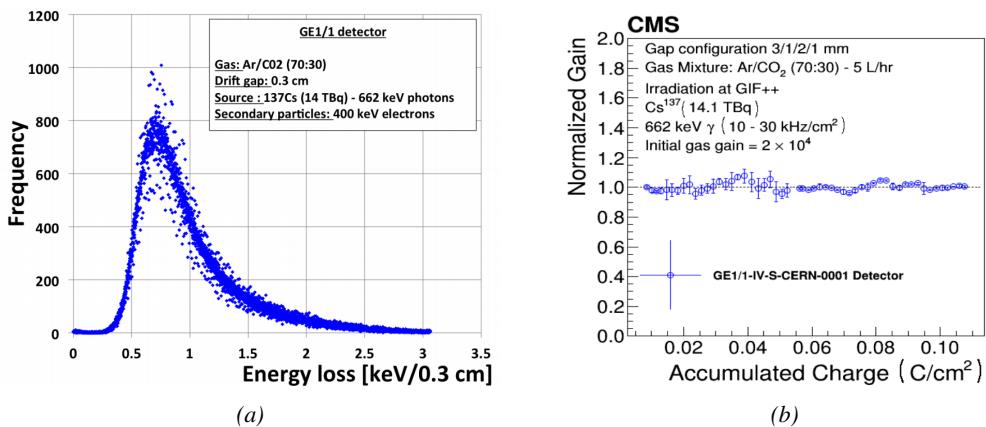


Figure 3.18: Figure 3.18a: Energy spectrum of GIF^{++} ^{137}Cs source as measured by the GE1/1 detector installed in GIF^{++} . Figure 3.18b: Evolution of the normalized gain of the GE1/1 detector installed at in GIF^{++} as a function of the integrated charge per unit area. The first part of the study, up to a charge of 55 mC/cm^2 had been done in the former Gamma Irradiation Facility (GIF) that has now been dismantled following the construction of GIF^{++} . No variation of the normalized gain can be observed after an accumulation of 110 mC/cm^2 .

1891 To characterize the classical ageing effects, a campaign is being conducted in the new Gamma
 1892 Irradiation Facility (GIF^{++}) of CERN where a GE1/1 detector operated at its nominal gain is placed
 1893 50 cm from the facility's 14 TBq ^{137}Cs source which emits gammas at an energy of 662 keV. In

order to spot any ageing of the detector, the effective gain is kept monitored, as can be seen in Figure 3.18b, as its variations gives clues about different aspects of the detector such as the geometry of the holes, the electric field configuration or the gas composition. The monitoring of the gamma energy distribution, showed on Figure 3.18a, can give an idea on the evolution of the performance of the chamber and finally, the evolution of the currents through time also is a good indicator of the appearance of dark current in the detector that would be due to the emission of electrons by thin insulating layers of the detector subjected to a long lasting irradiation known as Malter effect. At the time the Technical Design Report (TDR) for the Phase-II upgrade of the muon system was written [23], the GEM group had reported a total integrated charge of 110 mC/cm^2 which, if compared with 10 years of HL-LHC operation, represents a safety factor of 18 for the GE1/1 subsystem and a factor 37 for the GE2/1 subsystem but only 39% of the total expected ME0 integrated charge. It is estimated that reaching the total integrated charge necessary to certify the detectors for Phase-II operation will take another 2 to 3 years. Nevertheless, the present status of the longevity study shows no degradation of the performance of the detector installed in GIF++ as can be seen through Figure 3.18.

Aside of the classical ageing tests, outgassing of the different materials composing the GEMs have been conducted by placing the different materials to be tested into an outgassing box that consists in a stainless steel cylinder through which the CMS GEM 70/30 gas mixture of Ar/CO_2 with the possible contaminants is flowed while the detector is exposed to the continuous irradiation of a radioactive source and the heat is raised to enhance the outgassing. From the detector that was placed into this outgassing box, only one component was identified to cause loss of performance due to outgassing. This component was the polyurethane *Cell-Pack* used to coat the internal frame of the GEMs and the polymerization on its surface caused a 20% decrease of the gas gain. this polyurethane was replaced with a new one for which no outgassing effect causing a loss of performance was reported.

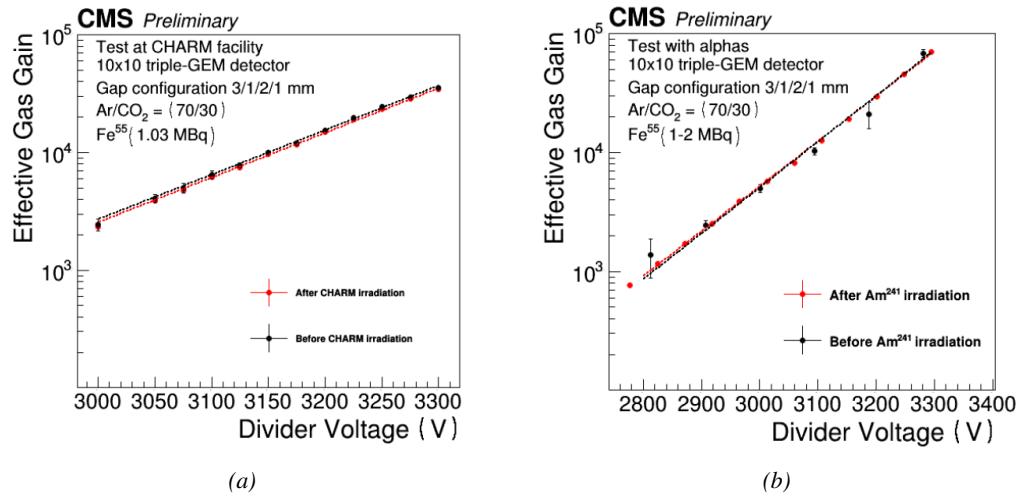


Figure 3.19: Figure 3.19a: Comparison of the gas gain as a function of the divider voltage before and after the irradiation of a triple-GEM by neutrons in CHARM. Figure 3.19b: Comparison of the gas gain as a function of the divider voltage before and after the irradiation of a triple-GEM by alpha particles.

Finally, even though the triple-GEM technology makes the detectors safe of discharges thanks to

its several amplification stages that allow to reach high gas gain using a relatively low electric field applied on the foils and to the distance separating the last foil from the read-out panel that is high enough to prevent discharging from developing all the way to the read-out, and hence, be stopped before it can cause any harm, it is important to have a good understanding of the discharge probability to ensure a safe operation over long periods. In order to further prevent discharges to develop in the detector volume, the GEM foils' power supply have been sectorized and protection resistors have been installed to limit the energy available for the discharge development. To reproduce the high-energy neutron background conditions of CMS, a GE1/1 detector have been placed in the CHARM facility of CERN. This facility allows to irradiate the detectors with a neutron fluence as high as $2.5 \times 10^8 / \text{cm}^2$. The detectors were operated with a slightly higher gain of 3.5×10^4 . It was measured that the discharge probability for a GEM operated under CMS conditions was of 2.85×10^{-9} per heavily ionizing particle with a 95% confidence level that would correspond to 225 discharges per cm^2 in ME0, 17 in GE1/1 and 12 in GE2/1 during the full HL-LHC period. According to Figure 3.19a, no degradation of the performance was observed after the irradiation at CHARM were 24 discharges per unit area were reported. Nevertheless, another test were the detector was exposed to a 5.5 MeV alpha source and were 450 discharges per unit area were reported didn't show any drop of performances either, as can be seen in Figure 3.19b.

3.3.3 Installation schedule

The previous discussion on the different upgrade projects makes it clear that a lot of work is scheduled for CMS to be ready at the end of LS3 for HL-LHC. Conducting all the upgrades of the muon system together with upgrades of the other subsystems like the replacement of the Tracker and of part of the ECAL, will prove to be very difficult as the opening of CMS to access the Barrel will be done by fully opening the endcaps leaving only the first disk to be accessible. Thus, most subsystems have planned early installation over LS2, and the following YETS until LS3 in order to give more space to LS3 schedule.

First of all, LS2 will see the installation of GE1/1 detectors, all the on-detector schedule of CSCs and the installation of the necessary services for the improved RPCs to be installed later, such as the HV and LV power supply lines, the gas and cooling lines or signal cables. CSCs will have a huge work to do during LS2 as they will need to extract all of their detectors to refurbish them with upgraded DCFEB and ALCT mezzanine boards. The GE1/1 services were installed during LS1 together with a few demonstrator and only the detectors needs to be integrated into the first endcap disk. The detectors are presently being built and tested at the different assembly site to prepare for a smooth LS2 work.

The work of GEMs will be continued during the following YETS during which is planned the installation of the GE2/1 stations to only leave the ME0 to be installed during LS3. The iRPC program will follow a similar path as the new detectors will be installed during the YETS preceding LS3 in prevision of the fact that the endcap disks will not be accessible during LS3. This way, all the subsystems, but DTs, made great effort on planning their installation and integration within CMS only to have to deal with off-detector issues during the LS3 period, such as the replacement of ODMBs and HV system in the case of CSCs or the upgrade of the RPC Link System. Finally, during LS3 are scheduled the replacement of DT minicrates electronics and the installation and integration of ME0 GEMs together with the HGCAL.

3.4 Implications of the different upgrades on the Level-1 Trigger. Improvement of physics performance.

The upgrades of the different subsystems will have a subsequent impact on the Level-1 Trigger. Indeed, although its main scheme will not be affected, the efficiency of the trigger in identifying muons and provide the DAQ with good and fast trigger that can cope with HL-LHC instantaneous luminosity is a major improvement. In addition to the upgrade of the muon system in terms of trigger accept rate and latency, the Level-1 Trigger will get extra information in including the Tracker Trigger into its Muon Track Finder logic and combine the L1 Muon Trigger with Tracker and Calorimeter Triggers to generate a Global L1 Trigger with a much better momentum resolution, as showed in Figure 3.20.

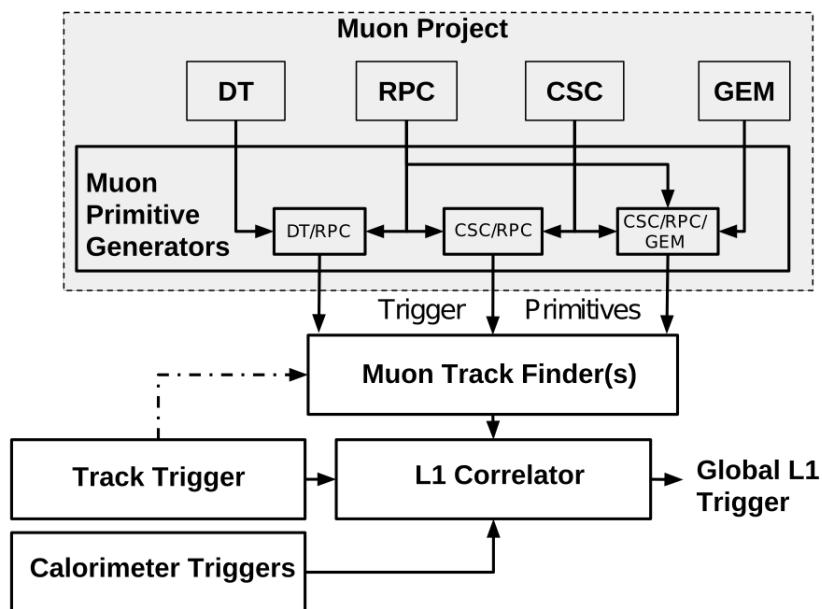


Figure 3.20: Data flow of the Level-1 Trigger during Phase-II operations.

In terms of muon trigger, 3 regions are considered due to their different track finding logic: the Barrel Muon Track Finder (BMTF), the Endcap Muon Track Finder (EMTF) for both the barrel and endcap regions, and finally the Overlap Muon Track Finder (OMTF) which concerns the pseudo-rapidity region in which there is a common coverage by both the barrel and endcap muon systems. This region can be seen in Figure 3.3 for $0.9 < |\eta| < 1.2$ and requires a specific more complex logic to provide with an efficient reconstruction of the muons due to the different orientation of the detectors and of the more complex magnetic field of this region that needs to be taken into account. The benefits of the upgrade for each of these track finders will be coming from different improvements and will be detailed sector by sector.

The main contribution to the improvement of the BMTF is the time resolution improvement of RPC link systems that will allow to take profit of the full 1.5 ns resolution of the detectors. From the perspective of RPCs only, this improvement will help reducing the neutron induced background and

slightly improve the bunch crossing assignment. The upgrade of DT electronics is also to take into account as the trigger primitive generator will be renewed through the use of TDCs that will send the digitized signals directly to the back-end electronics instead of having an on-detector trigger logic as it will be the case until the end of Phase-I. The front data of both DTs and RPCs will be sent to the same back-end electronics. These upgrades were detailed in section 3.2 and will lead to a more robust operation of the trigger in the barrel region. Indeed, the combination of RPC hits together with DT primitives will bring improvement in the bunch crossing assignment and improve the efficiency of the trigger in between the wheels where the quality of DT primitives is the poorest. Moreover, having a redundant information is important in the case of failure and loss of efficiency of one of either subsystems.

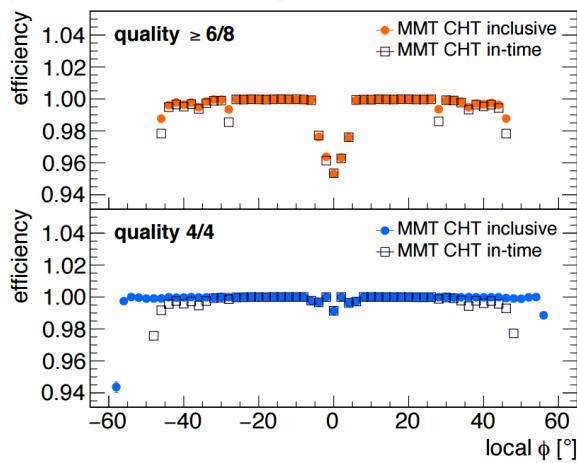


Figure 3.21: Comparison of Phase-II DT trigger primitives algorithmic efficiency for segments obtained with 2 super-layers ($\text{quality} \geq 6/8$) and 1 super-layer only ($\text{quality} = 4/4$). The simulation was done by generating 2×10^6 muons. The candidate tracks with correct time identification is showed with open symbols.

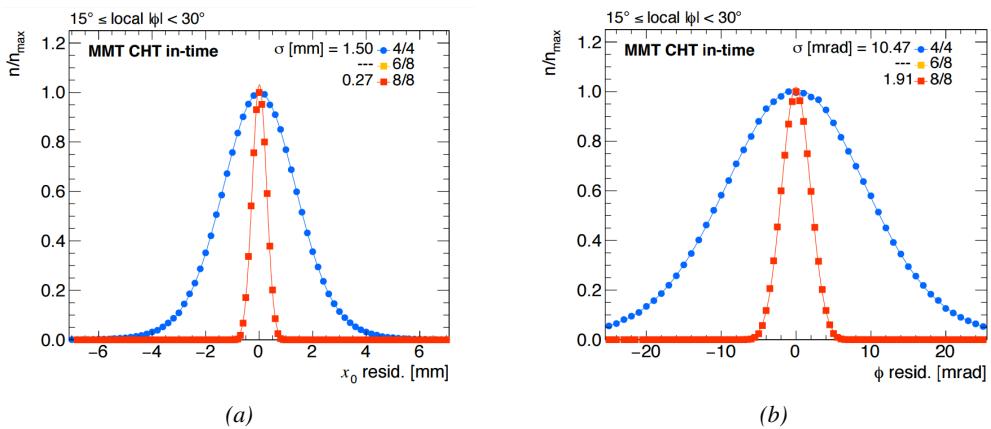


Figure 3.22: Simulated spatial (3.22a) and angular (3.22b) resolution of the algorithm using 8 aligned hits in both super-layers (quality = 8/8) and 4 aligned hits in only one super-layer (quality = 4/4). The contribution of intermediate quality tracks (6 aligned hits) is negligible in the angular range shown. [Be careful to update this caption as it uses a text to close to the published one.]

The loss of single hit efficiency of DTs due to ageing will also force the DT to change the algorithm use to identify tracks. So far, the identification was only performed at the level of a single DT super-layer, which is composed of 4 single DT layers. In the perspective the single efficiency drops, this will require to be upgraded to try to combine the data of more than a single super-layer to keep a high muon track identification efficiency. In addition to this change in trigger primitive candidate quality, new algorithms with higher efficiency are being developed. According to Figure 3.21, the efficiency of the new algorithm, both in the cases using 1 or 2 super-layers, is higher than with the current system [30]. Moreover, the overall efficiency of an algorithm requesting at least a muon detected in 6 DT layers out of the 8 composing the 2 super-layers of a DT module would stay comparable to the 4 DT layers out of 4 algorithm within the local bending angle range. On the other hand, despite the slight loss of efficiency in the low angle range, the algorithm using more DT layers achieves both higher spatial and angular resolution according to Figure 3.22.

2008

With new detectors to cover the very forward region and the upgrade of RPC Link System, the EMTF will be greatly improved. The current EMTF already use more sophisticated algorithms by combining together RPC hits and CSC primitives and will also benefit from the improved time resolution of the RPC system. The GEMs, in stations 1 and 2, and the iRPCs, in stations 3 and 4, will be added into the EMTF algorithm. Both these contributions will help increasing the efficiency of the L1 trigger in the endcap region in one hand, and help lowering the L1 trigger rate in the other hand, especially in the most forward region. The improvement of the efficiency will come both from the better time resolution of RPC link boards and from the addition of more hits along the muon tracks and also a contribution from the GEMs to the lever arm of each track thanks to their high angular resolution.

2019

The rate will be partly reduced in the forward region thanks to the better spatial resolution of iRPCs, with respect to the current RPC system, that will reduce the ambiguity brought by multiple local charged tracks in CSCs, as explained through Figure 3.24. Indeed, as the rates will increase, the probability to record more than a single local charged track will greatly increase. This is due to the fact that the trigger algorithm uses information from 3 consecutive bunch crossings to find muon tracks. It is estimated that with iRPCs operated at 95% efficiency the resolution of ambiguous events would be of the order of 99.7%.

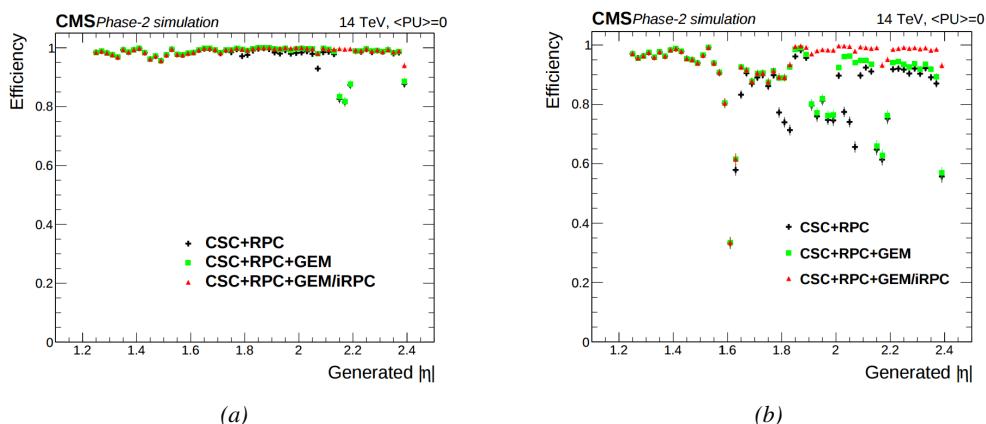


Figure 3.23: Efficiency of the L1 trigger in the endcap region after Phase-II upgrade in the case CSC/GEM/RPC hits are requested in at least 2 stations out of four (3.23a) and in all four stations (3.23b).

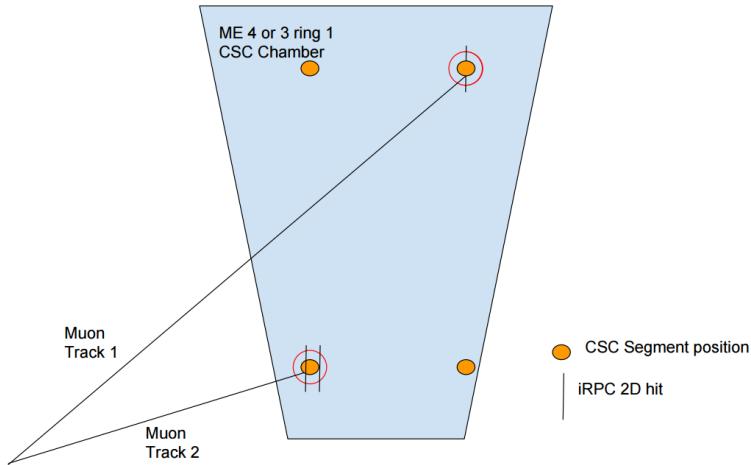


Figure 3.24: Resolution of the LCT ambiguous events thanks to the combination of CSC and iRPC readout data. Using CSCs only, 2 pairs of hits are possible.

The addition of GEMs will improve greatly the measured muon momentum resolution by improving the global resolution of the direction of muon tracks, as can be seen in Figure 3.25, which will contribute to lowering the trigger rate and increase the efficiency, as can be seen from Figure 3.26 that focuses especially in the most challenging pseudo-rapidity region. Data from both CSCs and GEMs are combined into the OTMB to build on each station, GEM/CSC primitives matching space and time information from both subsystems.

Finally, the development of a track finder specific to the overlap region was already achieved during the Phase-I upgrade of the L1-Trigger [31]. Nevertheless, the improvements of DT spatial resolution and RPC timing will be carried and implemented into the OMTF.

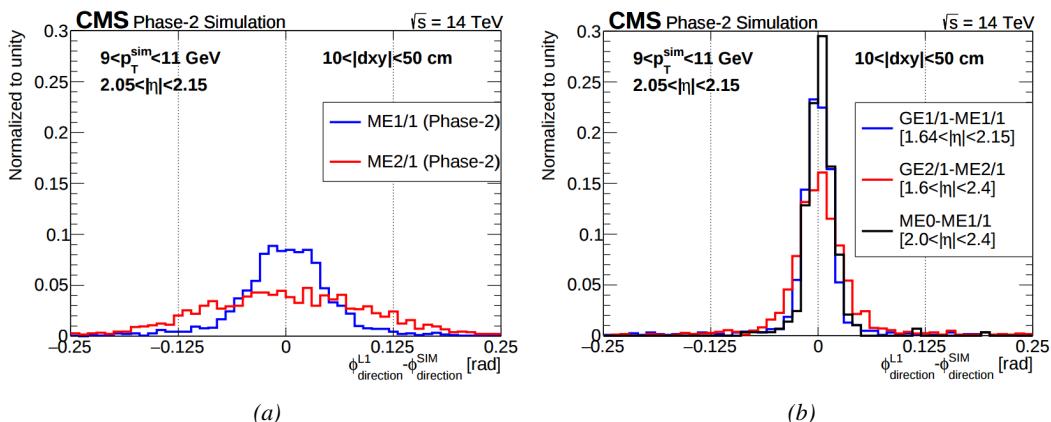


Figure 3.25: The angular resolution on reconstructed muon tracks in the GEM overlap region $2.0 < |\eta| < 2.15$ is compared for Phase-II conditions in the case CSC are alone (Figure 3.25a) and in the case the GEMs' data, including ME0, is combined to which of CSCs (Figure 3.25b).

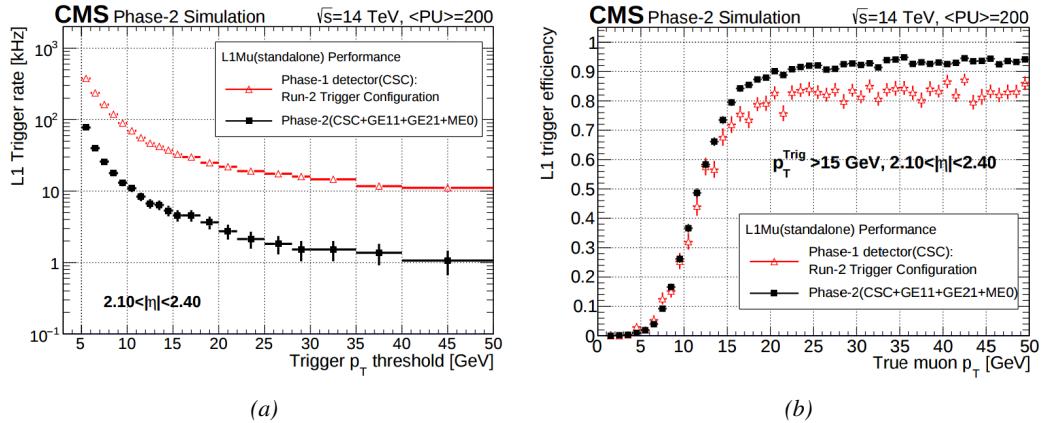


Figure 3.26: Comparison of L1 trigger performances for prompt muons with and without the addition of GEMs in the region $2.1 < |\eta| < 2.4$ at Phase-II conditions. GEMs would allow a reduction of the trigger accept rate by an order of magnitude (Figure 3.26a) while increasing the trigger efficiency (Figure 3.26b).

3.5 Ecofriendly gas studies

Future strict restrictions in the use of certain gases will affect the gaseous detectors of several experiment, including CMS. The European Commission adopted a new "F-gas regulation" in 2014 [32] with the goal to strongly control and reduce the use of fluorinated gases with high Global Warming Potential (GWP). Using CF_4 , $C_2H_2F_4$ and SF_6 , both CSC and RPC subsystems will need to address this problem by finding new gas mixture for the operation of their detectors. Finding a replacement for these gas component that were used for very specific reasons will be a great challenge. Indeed, CSCs use CF_4 in order to enhance the longevity of the detectors, increase the drift velocity of electrons and quench photons with a non-flammable gas mixture. RPCs use a mixture mainly composed of $C_2H_2F_4$, or $R134a$, that features a high effective Townsend coefficient and the great average fast charge allowing for operations with a high threshold, and contains a small fraction of SF_6 that is used for its electronegative properties that prevents the development of delta-rays in the gas volume that might trigger multiple ionization and avalanches.

	CSC	RPC
Greenhouse gases used	CF_4	$C_2H_2F_4$ and SF_6
Greenhouse gases fraction in the gas mixture	10%	95.2% and 0.3%
Global Warming Potential (relative to CO_2)	6500	1300 and 23900
Gas mixture re-circulation	Yes, 90%	Yes, 85%
Gas mixture replenishing rate (l/hr)	700	1100
F-gas recuperation	Yes, $\approx 40\%$	No
F-gas venting rate (l/hr)	42	1047 and 3.3
CO_2 -equivalent rate (m^3/h)	273	1440
Relative impact (entire muon system = 100%)	16%	84%

Table 3.1: Details of the greenhouse fluorinated gases used in CMS and of their GWP [23].

Nevertheless, all these gases have a very high GWP, as reported in Table 3.1, and only few options are left. The subsystems need to work on strongly decrease the loss of these gases due to leaks in the

gas system or completely change their gas mixture for more ecofriendly ones. Reducing the amount of F-gas released in the atmosphere due to leaks on the current gas system will require installation of a F-gas recuperation system on RPCs side and an upgrade of CSCs existing one in addition to the repair of existing leaks. With such measures, it is expected that the total rate of greenhouses gas vented in the atmosphere would represent only 1.6% of the current levels [23]. In the most critical case the F-gas were to be banned, it would be necessary to have replacement mixtures to operate CMS. CSC is presently investigating replacement for CF_4 such as CF_3I , C_4F_6 , IC_3F_6 , C_3F_8 or CHF_3 while RPCs, in collaboration with the ATLAS RPC group which uses the same gas mixture and, hence, faces similar restrictions, have identified CF_3I ($GWP \leq 1$) and $C_3H_2F_4$ ($GWP < 1$), referred to as HFO-1234ze, as potential candidates with mixtures containing CO_2 but more R&D needs to be conducted for both subsystems before concluding on the best alternative. No good alternative to the present mixtures will require very efficient abatement system in CMS to burn and convert the greenhouse gases into less harmful ones. This way, the air pollution would be strongly reduced.

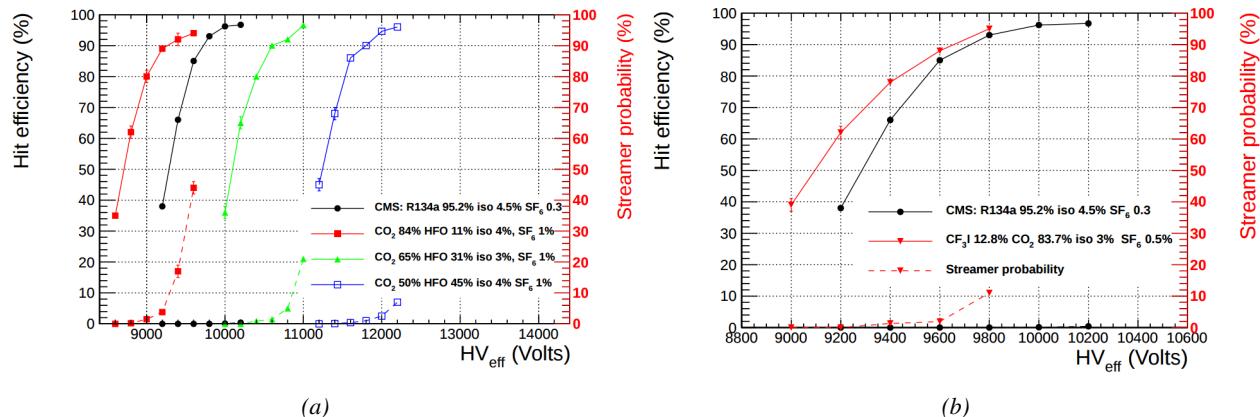


Figure 3.27: The efficiency (solid lines) and streamer probability (dashed lines) of HFO/CO₂ (Figure 3.27a) and CF₃I/CO₂ (Figure 3.27b) based gas mixtures as a function of the effective high-voltage are compared with the present CMS RPC gas mixture represented in black. The detector used for the study is a single gap RPC with similar properties than CMS RPCs. The streamer probability is defined as the proportion of events with a deposited charge greater than 20 pC.

The status of RPC studies are presented in Figure 3.27 in which the performance (efficiency and streamer probability) of an RPC operated with alternative gas mixtures is compared to the present CMS RPC mixture. Although the efficiency of detectors operated with mixtures containing CO₂/CF₃I or CO₂/HFO as a replacement for C₂H₂F₄ seem to reach similar levels than which of the detectors operated with the present mixture, the new gas mixtures feature a streamer probability (probability to have very large avalanches whose induced charge is greater than 20 pC) that far exceeds which of the present fluorinated mixture. The SF₆, being a component of the mixture added in order to reduce the probability of large avalanches thanks to its electronegativity, doesn't seem to prevent streamers as efficiently even when used at levels more than 3 times higher than with the standard CMS RPC mixture. Nevertheless, it is important to note that these results were obtained with a single gap RPC while the use of a double gap RPC would reduce the operation voltage by 200 to 300 V, lowering the induced charge. A compromise in between good efficiency and acceptable level of streamer probability and the fine tuned composition of potential replacement gas mixtures

²⁰⁷⁷ will be studied using a standard double-gap CMS RPC.

4

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Physics of Resistive plate chambers

2080 A Resistive Plate Chamber (RPC) is a gaseous detector used in high-energy physics experiments as
2081 described in Chapter 3. It has been developed in 1981 by Santonico and Cardarelli [33], under the
2082 name of *Resistive Plate Counter*, as an alternative to the local-discharge spark counters proposed in
2083 1978 by Pestov and Fedotovich [34, 35]. Working with spark chambers implied using high-pressure
2084 gas and high mechanical precision which the RPC simplified by formerly using a gas mixture of
2085 argon and butane flowed at atmospheric pressure and a constant and uniform electric field propagated
2086 in between two parallel electrode plates. Moreover, a significant increase in rate capability was
2087 introduced by the use of electrode plate material with high bulk resistivity, preventing the discharge
2088 from growing throughout the whole gas gap. Indeed, the effect of using resistive electrodes is that
2089 the constant electric field is locally canceled out by the development of the discharge, limiting its
2090 growth.

2091 Through its development history, different operating modes [36–38], gas mixtures [33, 38–43]
2092 and new detector designs [44–46] have been discovered, leading to further improvement of the rate
2093 capability of such a detector. The low developing costs and easily achievable large detection ar-
2094 eas offered by RPCs, as well as the wide range of possible designs, made them a natural choice to
2095 as muon chambers and/or trigger detectors in multipurpose experiments such as CMS [21] or AT-
2096 LAS [47], time-of-flight detectors in ALICE [48], calorimeter with CALICE [49] or even detectors
2097 for volcanic muography with ToMuVol [50].

2098 4.1 Principle

2099 RPCs are ionisation detectors composed of two parallel resistive plate electrodes in between which
2100 a constant electric field is set. The space in between the electrodes, referred to as *gap*, is filled with
2101 a dense gas that is used to generate primary ionization into the gas volume. The free charge carriers
2102 (electrons and cations) created by the ionization of the gas molecules are then accelerated towards the
2103 electrodes by the electric field, as shown in Figure 4.1 [51]. RPCs being passive detectors, a current
2104 on pick-up copper read-out placed outside of the gas volume is induced by the charge accumulation

2105 during the growth of the avalanche resulting from the acceleration of the charge carriers. As a
 2106 consequence, the time resolution of the detector is substantially increased as the output signal is
 2107 generated while the electrons are still in movement. The advantage of a constant electric field, over
 2108 multi-wire proportional chambers, is that the electrons are being fully accelerated from the moment
 2109 charge carriers are freed and feel the full strength of the electric field that doesn't depend on the
 2110 distance to the readout and that the output signal doesn't need for the electrons to be physically
 2111 collected.

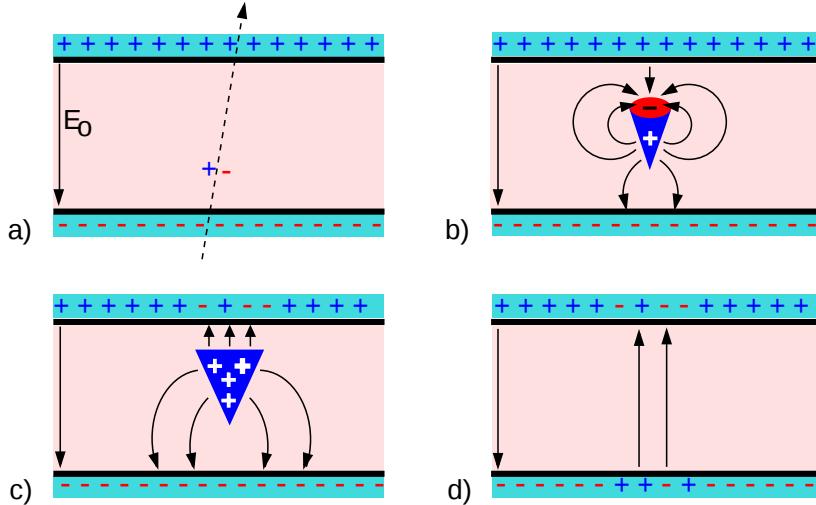


Figure 4.1: Different phases of the avalanche development in the RPC gas volume subjected to a constant electric field E_0 . a) An avalanche is initiated by the primary ionisation caused by the passage of a charged particle through the gas volume. b) Due to its growing size, the avalanche starts to locally influence the electric field. c) The electrons, lighter than the cations reach the anode first. d) The ions reach the cathode. While the charges have not recombined, the electric field in the small region around the avalanche stays affected and locally blinds the detector.

2112 After an avalanche developed in the gas, a time long compared to the development of a discharge
 2113 is needed to recombine the charge carriers in the electrode material due to their resistivity. This
 2114 property has the advantage of affecting the local electric field and avoiding sparks in the detector
 2115 but, on the other hand, the rate capability is intrinsically limited by the time constant τ_{RPC} of the
 2116 detector. Using a quasi-static approximation of Maxwell's equations for weakly conducting media,
 2117 it can be shown that the time constant τ_{RPC} necessary to the charge recombination at the interface
 2118 in between the electrode and the gas volume is given by the Formula 4.1 [52].

$$(4.1) \quad \tau_{RPC} = \frac{\epsilon_{electrode} + \epsilon_{gas}}{\sigma_{electrode} + \sigma_{gas}}$$

2119 A gas can be assimilated to vacuum, leading to $\epsilon_{gas} = \epsilon_0$ and $\sigma_{gas} = 0$, and the electrodes
 2120 permittivity and conductivity can be written as $\epsilon_{electrode} = \epsilon_r \epsilon_0$ and $\sigma_{electrode} = 1/\rho_{electrode}$,
 2121 showing the strong dependence of the time constant to the electrodes resistivity in Formula 4.2.

$$(4.2) \quad \tau_{RPC} = (\epsilon_r + 1)\epsilon_0 \times \rho_{electrode}$$

Very few materials with a low enough resistivity exist in nature. The resistivity targeted to build RPCs ranges from 10^9 to $10^{12} \Omega \cdot \text{cm}$. The most common RPC electrode materials are displayed in Table 4.1. When the doped glass and ceramics can offer short time constants of the order of 1 ms, the developing cost of such materials is quite high due to the very low demand. Thus, High-pressure laminate (HPL) is often the choice for high rate experiments using very large RPC detection areas. Other experiments working at cosmic muon fluxes can safely operate with ordinary float glass.

Material	$\rho_{\text{electrode}} (\Omega \cdot \text{cm})$	ϵ_r	$\tau_{\text{RPC}} (\text{ms})$
Float glass	10^{12}	~ 7	~ 700
High-pressure laminate	10^{10} to 10^{12}	~ 6	~ 6 to 600
Doped glass (LR S)	10^9 to 10^{11}	~ 10	~ 1 to 100
Doped ceramics (SiN/SiC)	10^9	~ 8.5	~ 1
Doped ceramics (Ferrite)	10^8 to 10^{12}	~ 20	~ 0.2 to 2000

Table 4.1: Properties of the most used electrode materials for RPCs.

4.2 Rate capability and time resolution of Resistive Plate Chambers

The electrode material plays a key role in the maximum intrinsic rate capability of RPCs. R&D is continuously being done to develop at always cheaper costs material with lower resistivity. Nevertheless, the amount of charge released, i.e. the size of the discharge, if reduced leads to a smaller blind area in the detector, increasing the rate capability of the detector. On the other hand, the drift velocity of electrons in the gas volume being quite stable with the applied electric field, the design of a detector and the associated read-out and pulse-processing electronics will be a major component of the time resolution of RPCs. Moreover, the sensitivity of the electronics will also help increasing the rate capability by lowering the gain the gas volume needs to be operated at, increasingly lowering the gas volume in which the signals will develop.

4.2.1 Operation modes

Being a gaseous detector using an accelerating electric field to amplify the signal of primary charge carriers, the RPC can be operated into different modes as the electric field intensity varies. Each mode offers different performances for such a detector, and it will be showed that the operating mode corresponding to the lowest electric field possible is best suited for high rate detectors working in collider experiments.

RPCs where developed early 1980s. At that time it was using an operating mode now referred to as *streamer mode*. Streamers are large discharges that develop in between the 2 electrodes enough to locally discharge the electrodes. If the electric field inside of the gas volume is strong enough, with electrons being fast compared to ions, a large and dense cloud of positive ions will develop nearby the anode and extend toward the cathode while the electrons are being collected, eventually leading to a streamer discharge due to the increase of field seen at the cathode. The field is then strong enough so that electrons are pulled out of the cathode. Electrodes, though they are a unique volume of resistive material, can be assimilated to capacitors. At the moment an electric field is applied in between their outer surfaces, the charge carriers inside of the volume will start moving leading to a situation where there is no voltage across the electrodes and a higher density of negative charges,

i.e. electrons, on the inner surface of the cathode. Finally, when a streamer discharge occurs, these electrons are partially released in the gas volume contributing to increase the discharge strength until the formation of a conductive plasma, the streamer. This can be understood through Figure 4.2 [36]. Streamer signals are very convenient in terms of read-out as no further amplification is required with output pulses amplitudes of the order of a few tens to few hundreds of mV as can be seen on Figure 4.3.

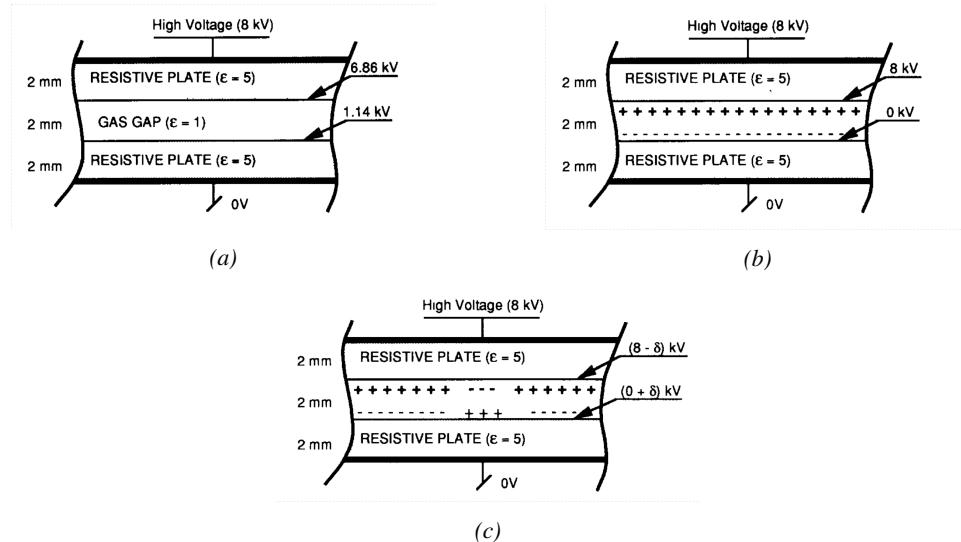


Figure 4.2: Movement of the charge carriers in an RPC. Figure 4.2a: Voltage across an RPC whose electrode have a relative permittivity of 5 at the moment the tension is applied. Figure 4.2b: After the charge carriers moved, the electrodes are charged and there is no voltage drop over the electrodes anymore. The full potential is applied on the gas gap only. Figure 4.2c: The streamer discharge initiated by a charged particle transports electrons and cations towards the anode and cathode respectively.

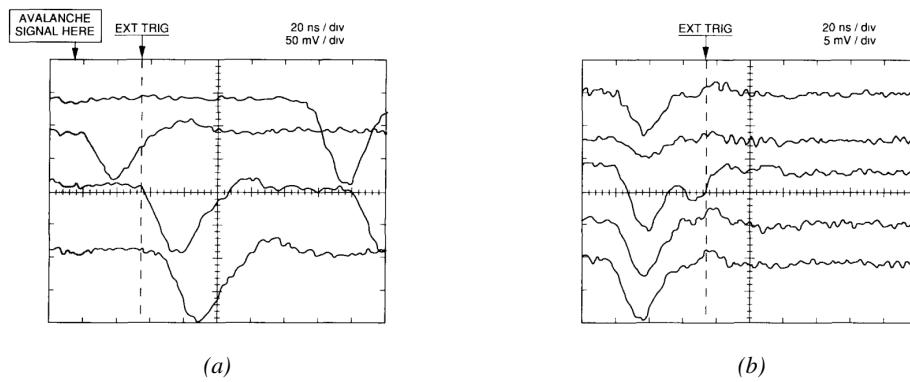


Figure 4.3: Typical oscilloscope pulses in streamer mode (Figure 4.3a) and avalanche mode (Figure 4.3b). In the case of streamer mode, the very small avalanche signal is visible.

When the electric field is reduced though, the electronic gain is small until the electrons get close enough to the anode and the positive ion cloud is much smaller. The electric field cannot rise to the

point a field emission of electrons on the cathode is possible. The resulting signal is weak, of the order of a few mv as shown on Figure 4.3, and requires amplification. This is the *avalanche mode* of RPC operation. This mode offers a higher rate capability by providing smaller discharges that don't affect the electrodes charge and are more locally contained in the gas volume as was demonstrated by Crotty with Figure 4.4 [36]. The detector only stays locally blind the time the charge carriers are recombined and there is no need for electrode recharge which is a long process affecting a large portion of the detector. Another advantage of avalanche signals over streamer is the great time consistency. Figure 4.3 shows very clearly that avalanche signals have a very small time jitter. Thus, using such a mode is a natural choice for experiments in which the detectors are required to have a high detection rate.

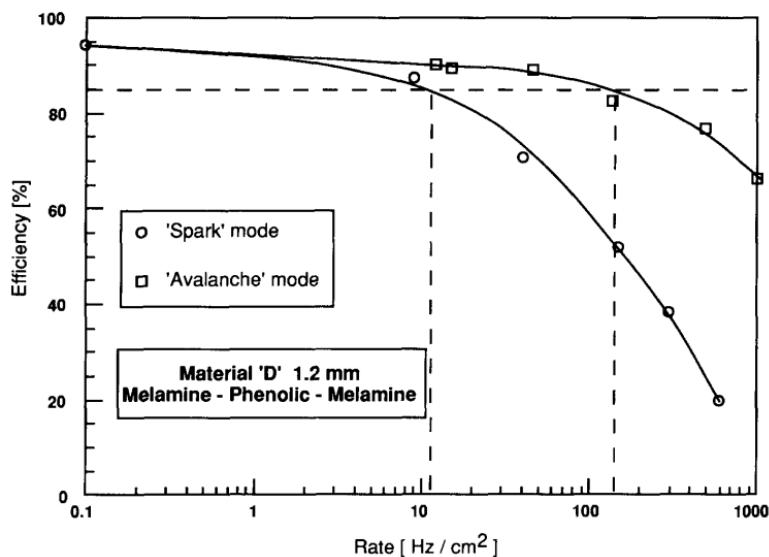


Figure 4.4: Rate capability comparison for the streamer and avalanche mode of operation. An order of magnitude in rate capability for a maximal efficiency drop of 10% is gained by using the avalanche mode over the streamer mode.

4.2.2 Standard gas mixture for RPCs operated in collider experiments

The first RPC working in streamer mode was operated with a 50/50 mixture of argon and butane [33], a standard mixture used at that time in multi-wire proportional chambers, taking profit of the good effective Townsend coefficient of argon to maximize the number of primary charge carriers freed in the gas by ionizing particles and of the quenching properties of butane. Before the discovery of the avalanche mode of RPC operation and concerned about the rate capability of RPCs operated in streamer mode, the performance improvement of the detectors through the increase of fast charge ratio in the signal development ,decreasing the charge induced per avalanche as can be seen through Figure 4.5, was studied by adding Freon based gases, such as CF_3Br , into the typical Ar/C_4H_{10} gas mixture was studied and showed that a lower induced charge could lead to an improvement the rate capability [39]. This consideration lead to the discovery of the avalanche mode which confirmed that the smaller the induced charge, the better the rate capability of the RPCs [36]. This discovery could happen thanks to the increased number of lower induced charge events allowed by adding a

²¹⁸⁶ fraction of strong quencher in the gas mixture.

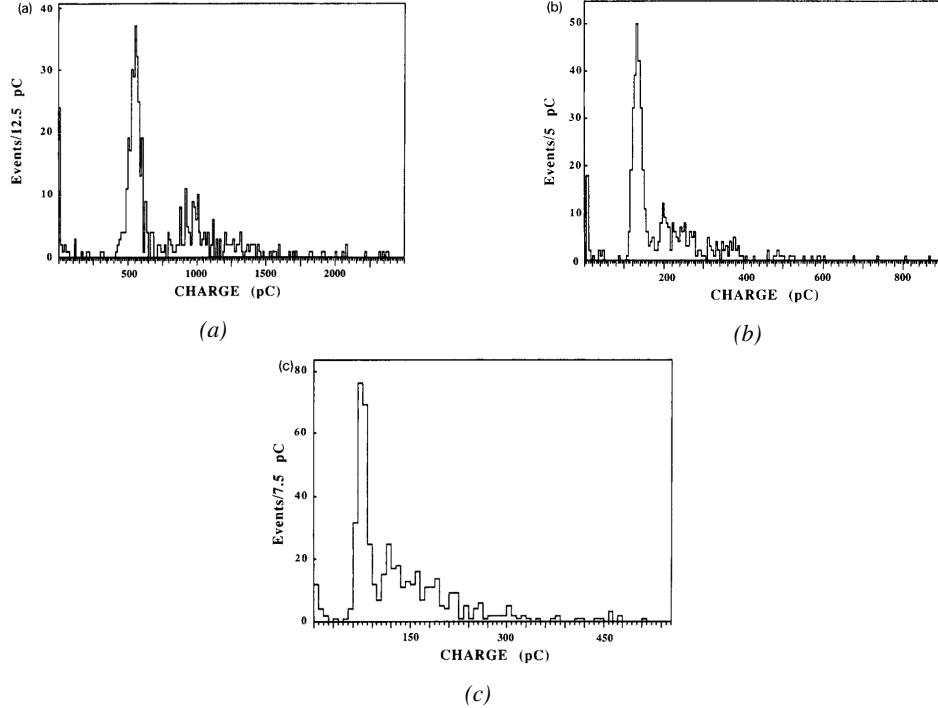


Figure 4.5: Comparison of the charge distribution of signals induced by cosmic muons in an RPC operated with a gas mixture of argon, butane and bromotrifluoromethane (CF₃Br). The Ar/C₄H₁₀ is kept constant at 60/40 in volume while the total amount of CF₃Br in the mixture is varied: 0% (Figure 4.5a), 4% (Figure 4.5b) and 8% (Figure 4.5c) [39].

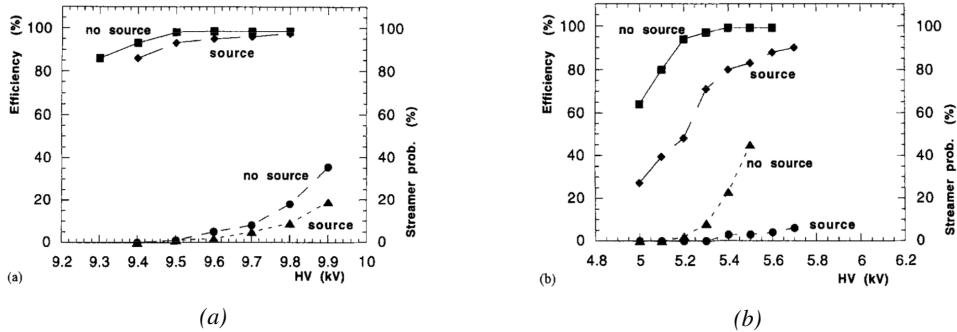


Figure 4.6: Comparison of the efficiency and streamer probability, defined as the fraction of events with an induced charge 10 times larger than that of the average avalanche, with and without irradiation by a 24 GBq ¹³⁷Cs source of an RPC successively operated with a 90/10 mixture C₂H₂F₄/i-C₄H₁₀ (Figure 4.6a) and a 70/5/10/15 mixture of Ar/i-C₄H₁₀/CO₂/C₂H₂F₄ (Figure 4.6b) [40].

²¹⁸⁷ From this moment onward, more and more studies were conducted in order to find a gas mixture
²¹⁸⁸ that would allow for the best suppression of streamers for the benefit of low charge avalanches. Most

R&D groups working with narrow gaps started using freon-based gas mixtures while users of wide gap RPCs kept using Ar/CO_2 based mixtures. The differences in between narrow and wide gaps will be later discussed in Section 4.2.3. The CF_3Br having a high GWP, tetrafluoroethane ($C_2H_2F_4$) was preferred to it as more suitable ecofriendly gas in the middle of the 90s. An advantage of this new freon component is that it featured a high primary ionization and a low operating voltage, as reported by Cardarelli [38]. Thus, the new gas mixtures used were mainly composed of tetrafluoroethane alone with lower content of isobutane in order to reduce the flammability of the mixtures in the case it were to be used in accelerator experiments for safety reasons. Performance and models about such mixtures were discussed in papers of Abbrescia [40, 41] and showed a better suitability of such a gas mixture, with respect to argon based ones, for operations with high radiation backgrounds leading to a need for high rate capability of the detectors, as can be seen from Figures 4.6 and 4.7. Indeed, although the operating voltage of a tetrafluoroethane based mixture is higher than which of an argon based mixture but the efficiency under irradiation is more stable, the voltage range with negligible streamer probability is much wider, and the fast charge ratio available is much greater, providing with more stable operation of the detector.

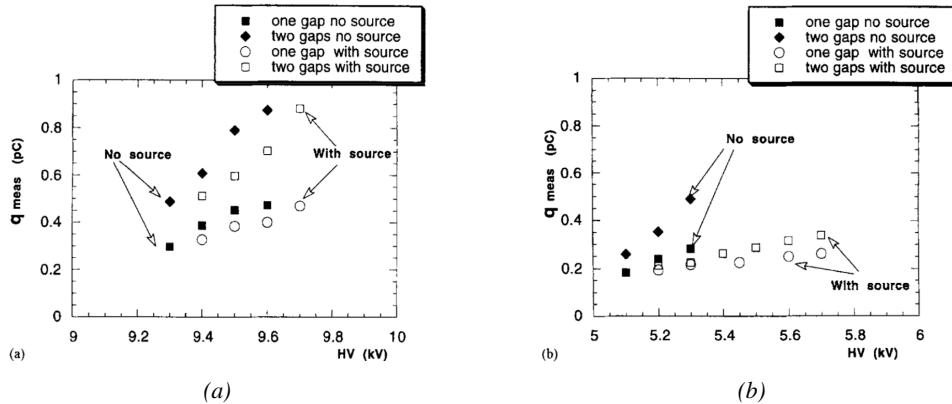


Figure 4.7: Comparison of the fast charge ratio with and without irradiation by a 24 GBq ^{137}Cs source of an RPC successively operated with a 90/10 mixture $C_2H_2F_4/i-C_4H_{10}$ (Figure 4.7a) and a 70/5/10/15 mixture of $Ar/i-C_4H_{10}/CO_2/C_2H_2F_4$ (Figure 4.7b). The results are provided for both single gap and double gap operation [40].

Aside of the improvement of the rate capability through linseed oil surface treatment of HPL electrodes, which allowed for a lower intrinsic noise rate and dark current of the detectors by improving the smoothness of the electrodes surface [53], it was later found that the streamers could be further suppressed by adding an electronegative compound into the gas mixture. The benefits of adding SF_6 in order to push the transitions from avalanche to streamers towards high voltages has been discussed in 1998 [42, 43] and eventually the high rate RPC destined to be used in accelerator physics would unanimously start using this compound into RPC gas mixtures. Being able to control the creation of streamers allows for slower ageing of the detector and lower power consumption as the currents driven by the electrodes consecutively to the induced charges would be smaller. In summary, the typical gas mixture RPCs are operated with is generally composed of the following 3 gas compounds, although, as mentioned in Chapter 3.5, research is being conducted into new more ecofriendly gas mixture using gases with a much lower Global Warming Potential:

- Tetrafluoroethane ($C_2F_4H_2$), also referred to as *Freon* or *R134a*, is the principal compound

of the RPC gas mixtures, with a typical fraction above 90%. It is used for its high effective Townsend coefficient and the great average fast charge that allows to operate the detector with a high threshold with respect to argon, for example, that has similar effective Townsend coefficient but suffers from a lower fast charge. To operate with similar conditions, argon would require a higher electric field leading to a higher fraction of streamers, thus limiting the rate capability of the detector [40, 41].

- Isobutane ($i\text{-C}_4\text{H}_{10}$), only present in a few percent in the gas mixtures, is used for its UV quenching properties [54] helping to prevent streamers due to UV photon emission during the avalanche growth.
- Sulfur hexafluoride, (SF_6), simply referred to as SF_6 , is used in very little quantities for its high electronegativity. Excess of electrons are being absorbed by the compound and streamers are suppressed [42, 43]. Nevertheless, a fraction of SF_6 higher than 1% will not bring any extra benefit in terms of streamer cancelation power but will lead to higher operating voltage [42], as can be understood through Figure 4.8.

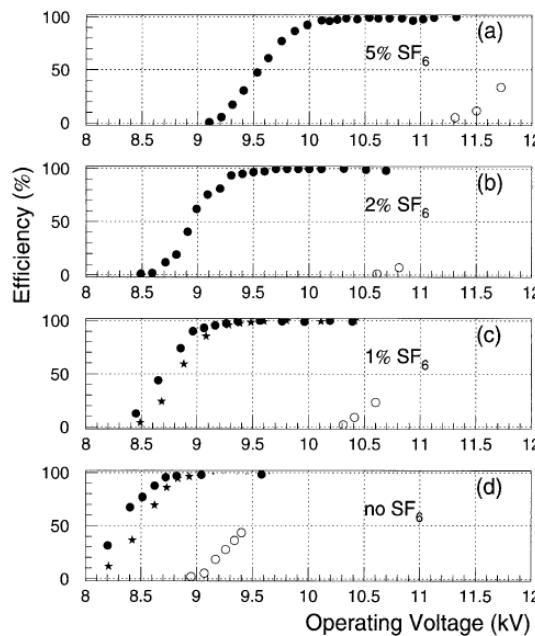


Figure 4.8: Efficiency (circles and stars with 30 mV and 100 mV thresholds respectively) and streamer probability (opened circles) as function of the operating voltage of a 2 mm single gap HPL RPC flushed with a gas mixture containing (a) 5%, (b) 2%, (c) 1% and (d) no SF_6 [42].

In the last steps of R&D, the gas mixture of CMS RPCs was foreseen to be a 96.2/3.5/0.3 composition of $C_2\text{H}_2\text{F}_4/i\text{-C}_4\text{H}_{10}/SF_6$ [55] but finally it was slightly changed into a 95.2/4.5/0.3 mixture of the same gases [56]. A summary of the operation performance of the RPCs since the start of LHC and of CMS data taking is given in Figure 4.9 [57]. The performance of the detectors is regularly monitored and the operating voltages updated in order to obtain a very stable performance through time. Nevertheless, the detectors will face new challenges during Phase-II during which they will

exposed to more extreme radiation conditions. Description of the longevity tests with extreme irradiation and the conclusions regarding the operation of the present RPC system will be given in Chapter 5.

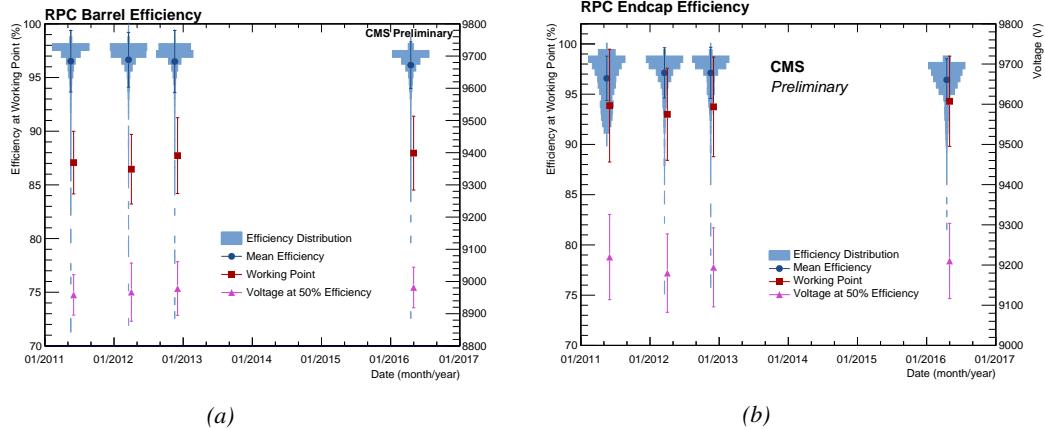


Figure 4.9: Evolution of the efficiency, working voltage, and voltage at 50% of maximum efficiency for CMS Barrel (Figure 4.9a) and Endcap (Figure 4.9b) RPCs obtained through yearly voltage scans since 2011. The working voltage of each RPC is updated after each voltage scan to ensure optimal operation [57].

It was already discussed that in the future, it is likely that the use of freon gases could be banned. As potential replacement for tetrafluoroethane was proposed the trifluoriodomethane (CF_3I), a molecule with similar properties than CF_3Br which was replaced by the tetrafluoroethane, and the 1,3,3,3-tetrafluoropropene ($C_3H_2F_4$ or HFO-1234re), a molecule with similar properties than the actual tetrafluoroethane and proposed as a replacement in refrigerating and air conditioning systems [58]. These 2 gases have stronger quenching properties than $C_2H_2F_4$ which means a much stronger electric field needs to be applied on the parallel electrodes of the RPCs in order to reach full efficiency. But the power supply system of CMS RPC is limited to 15 kV and so is ATLAS power supply system which is participating in a joined R&D. As can be seen from Figure 3.27, reducing the working voltage was achieved by mixing the potential replacements together with CO_2 . Introducing carbon dioxide into the mixture while keeping similar levels of isobutane and SF_6 increases the streamer probability and the best candidate identified for a compromise in between low enough working voltage and acceptable levels of streamers corresponds to a mixture containing 50% of CO_2 , 45% of HFO, 4% of isobutane and 1% of SF_6 but is not yet considered satisfactory. On the other hand, no good replacement for SF_6 has yet been identified. With its very high Global Warming Potential (23900), even small fraction of this gas in the mixture (it only represents 0.3% of CMS standard mixture but more than 5% of its overall GWP) substantially increase the danger for the environment. Although finding a replacement for this gas is less critical than for the tetrafluoroethane composing more than 90% of usual RPC standard mixtures, the problem will need to be addressed.

4.2.3 Detector designs and performance

Different RPC design have been used and each of them present its own advantages. Historically, the first type of RPC to have been developed is what is now referred to as *narrow gap* RPC [33, 59].

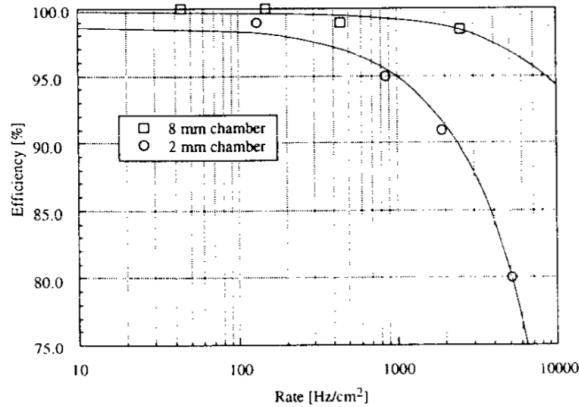


Figure 4.10: Comparison of the rate capability of 8 mm and 2 mm wide RPCs. The lines are linear fits on the data [59].

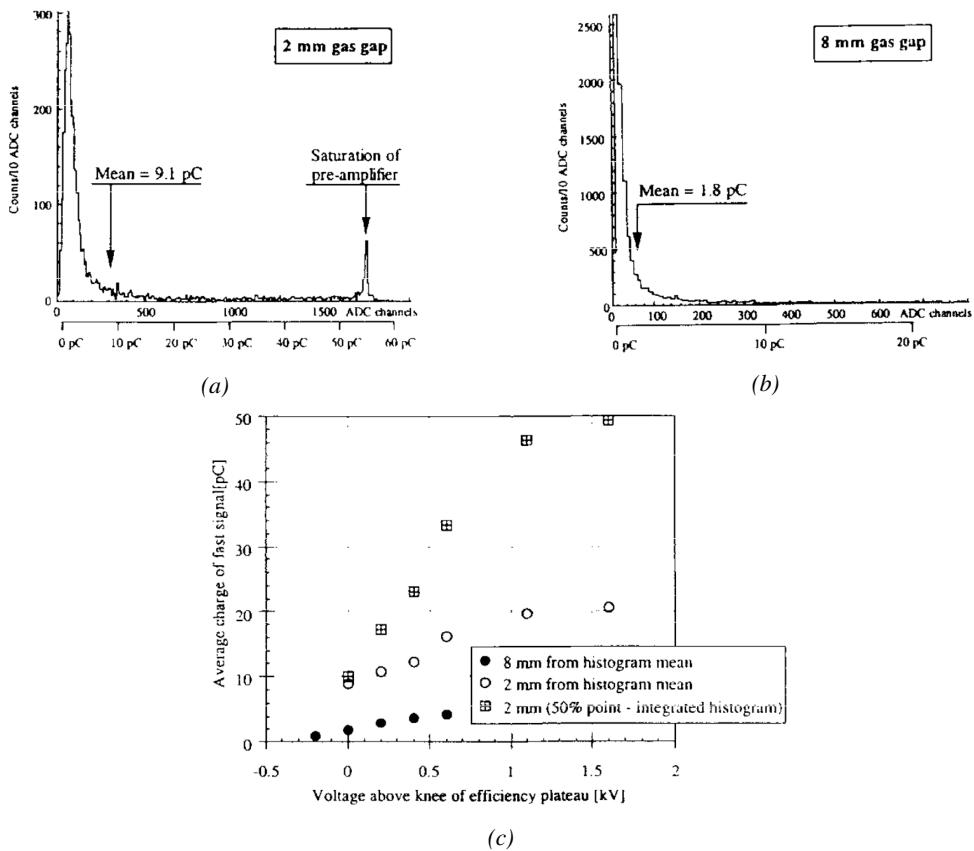


Figure 4.11: Distributions of the induced charge of fast signals on 2 mm (Figure 4.11a) and 8 mm (Figure 4.11b) RPCs exposed to a radiation rate of 100 Hz/cm^2 . Average induced charge of fast signals as a function of the high voltage applied on 2 mm and 8 mm RPCs (Figure 4.11c). In the case of the 2 mm RPC, a saturation of the pre-amplifier was observed. The average of the distribution is underestimated and the median is showed together with the average to account for this bias [59].

After the avalanche mode has been discovered [36], it has been showed that increasing the width of the gas gap lead to higher rate capability, due to lower charge deposition per avalanche, and lower power dissipation [59], as is showed in Figures 4.10 and 4.11. The distance in between the electrode being greater, a weaker electric field can be applied and a lower gain used as a longer gas volume will contribute to the signal induction on the read-out circuit. Nevertheless, by increasing the gas gap width, the time resolution of the detector decreases. This is a natural result if the increase of active gas volume in the detector is taken into account. Indeed, for a given detection threshold on the induced charge per signal, only the small fraction of gas closest to the cathode will provide enough gain to have a detectable signal. In the case of a wider gas volume, the active region is then larger and a larger time jitter is introduced with the variation of starting position of the avalanche, as discussed in [44] and showed in Figure 4.12.

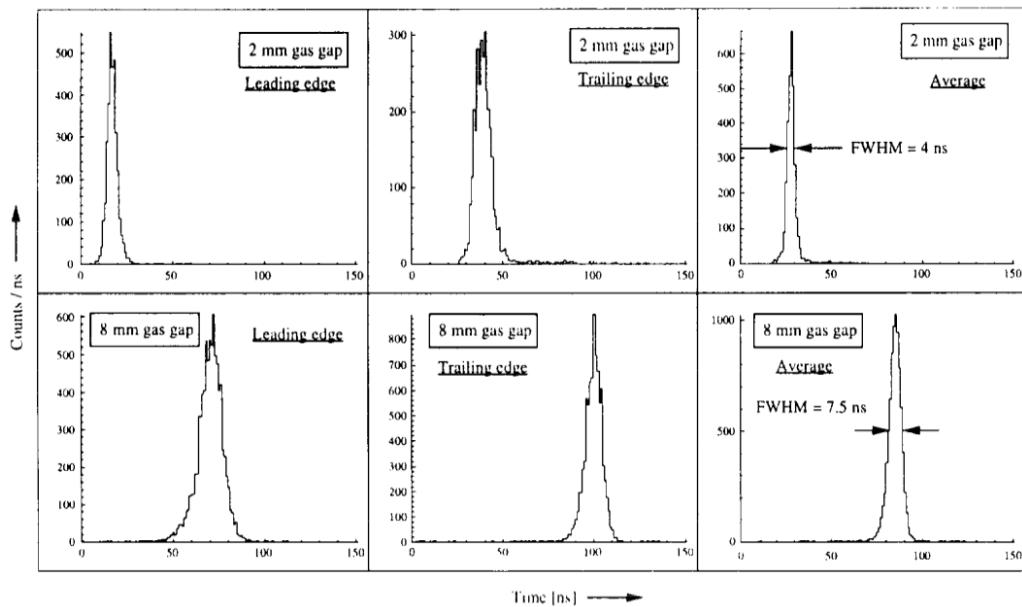


Figure 4.12: Time distributions of the leading, trailing, and average of both leading and trailing edges for 2 mm (top row) and 8 mm (bottom row) RPCs exposed to a 100 Hz/cm² radiation rate. The data was collected with RPCs operated at the voltage corresponding to the knee of the efficiency distribution, defined as the point where 95% of the maximum efficiency is obtained [59].

To improve both the time resolution and the rate capability, different methods were used trying to take advantage of both narrow and wide gap RPCs into a single design. Thus, double gap RPCs, combining two narrow gaps into a single detector to increase the effective sensitive volume, and multigap RPCs, which divided the large volume of a wide gap RPC into thinner sub-gaps by adding intermediate electrodes in between the cathode and anode to improve the time resolution by mimicking narrow gap RPCs, were developed starting from the middle of the 90s.

4.2.3.1 Double gap RPC

Made out of 2 narrow RPC detectors stacked on top of each other as shown in Figure 4.13, this detector layout, popularized by the two multipurpose experiments CMS [21] and ATLAS [47] at LHC, can be used as an OR system in which each individual chamber participates in the output signal

and increases the overall sensitive volume of the detector apparatus. Keeping the copper strip read-out system at the ground, CMS and ATLAS, due to different goals, have chosen different designs as CMS RPCs possess a 1D read-out while ATLAS RPCs offer a 2D read-out. The difference comes from placing the read-out in between the gaps, the anodes facing each other, or to have both RPC gaps in between 2 layers of read-out panels, one along the X-axis and one along the Y-axis, the cathodes facing each other.

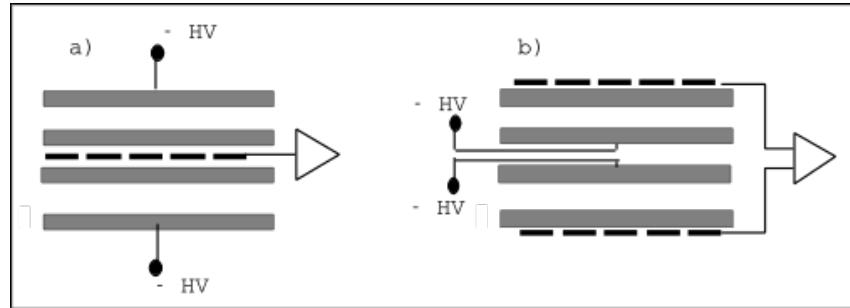


Figure 4.13: Possible double-gap RPC layouts: a) "standard" 1D double-gap RPC, as used in CMS experiment, where the anodes are facing each other and a 1D read-out plane is sandwiched in between them, b) double read-out double-gap RPC as used in ATLAS experiment, where the cathodes are facing each other and 2 read-out planes are used on the outer surfaces. This last layout can offer the possibility to use a 2D reconstruction by using orthogonal read-out planes.

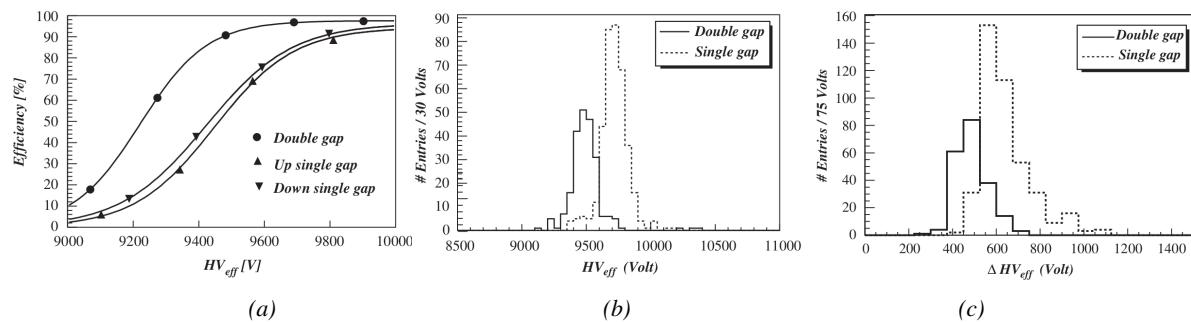


Figure 4.14: Comparison of performance of CMS double and single gap RPCs using cosmic muons [55]. Figure 4.14a: Comparison of efficiency sigmoids. Figure 4.14b: Voltage distribution at 95% of maximum efficiency. Figure 4.14c: $\Delta_{10\%}^{90\%}$ distribution.

The gain of such a detector is reduced by a factor 2 with respect to single-gap RPCs with an efficiency plateau reached at lower voltage, as visible on Figure 4.14, due to the two gas gaps contributing to the signal formation and offering a dynamic range closer to that of a wide gap RPC. A double gap is then fully efficient while the individual RPC gaps composing it are only 70 to 80% efficient. Naturally, by operating the double gap at a lower voltage, the rate capability is found to be increased as the induced charge per gap is then lower than in the case of a single gap detector also leading to a reduction of the streamer probability and a better extraction of the fast charge of the total signal as could be seen through previously presented Figure 4.7.

2298 **4.2.3.2 Multigap RPC (MRPC)**

2299 MRPCs are layouts in which floating sub electrode plates are placed into a wide gap RPC to divide
 2300 the gas volume and create a sum of narrow gaps [44, 45]. Similarly to the double gap RPC for which
 2301 the gain could be reduced by increasing the dynamic range, the multigap reduces the gain while
 2302 keeping a total dynamic range similar to which of a wide gap RPC by reducing the size of each
 2303 individual sub-gap composing the detector. The dynamic range, associated to the sensitive volume,
 2304 and the comparison of each detector layout to the wide gap RPC is showed in Figure 4.15.

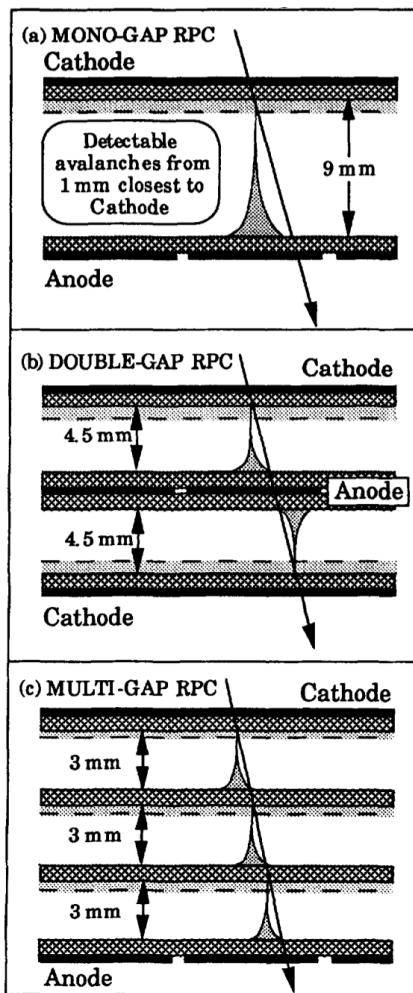


Figure 4.15: Representation of different RPC layouts (wide gap on Figure (a), double gap on Figure (b) and multigap on Figure (c)), of the corresponding sensitive volume in gray, and of the associated avalanche size [45].

2305 By operating the detector with thinner gaps, the time resolution is improved. Comparatively to
 2306 the time resolution presented in Figure 4.12 for the wide gap RPC of 8 mm, a complementary study
 2307 was conducted on multigaps using two 4 mm and four 2 mm subgaps and showed, via Figure 4.16,
 2308 an improvement of the time resolution with the reduction of the gap width and of the number of gaps

while the same sensitive volume was kept [45].

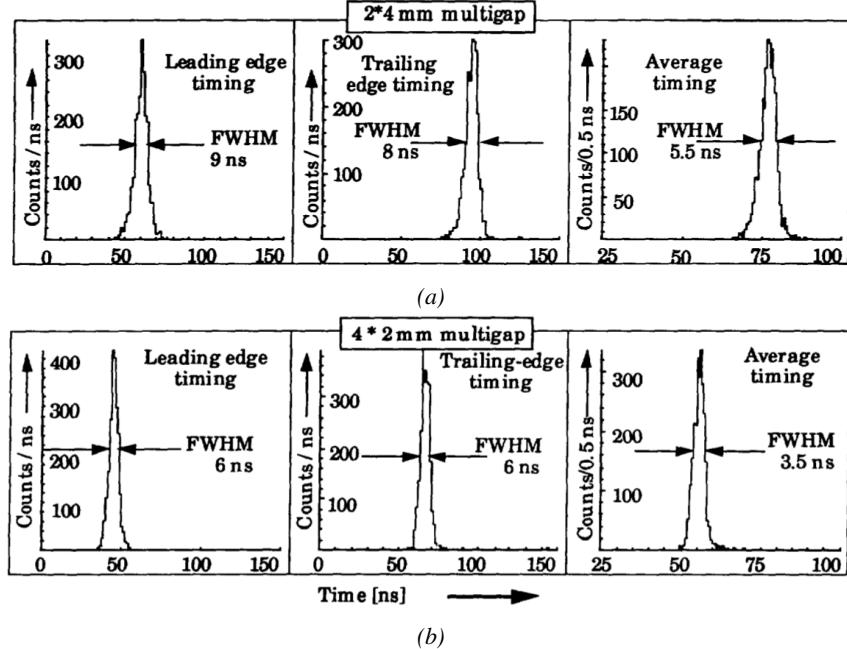


Figure 4.16: Time distributions of the leading, trailing, and average of both leading and trailing edges for multigap RPCs consisting in two 4 mm (Figure 4.16a) and four 2 mm (Figure 4.16b) exposed to a 100 Hz/cm^2 radiation rate. The data was collected with RPCs operated at the voltage corresponding to the knee of the efficiency distribution, defined as the point where 95% of the maximum efficiency is obtained [45].

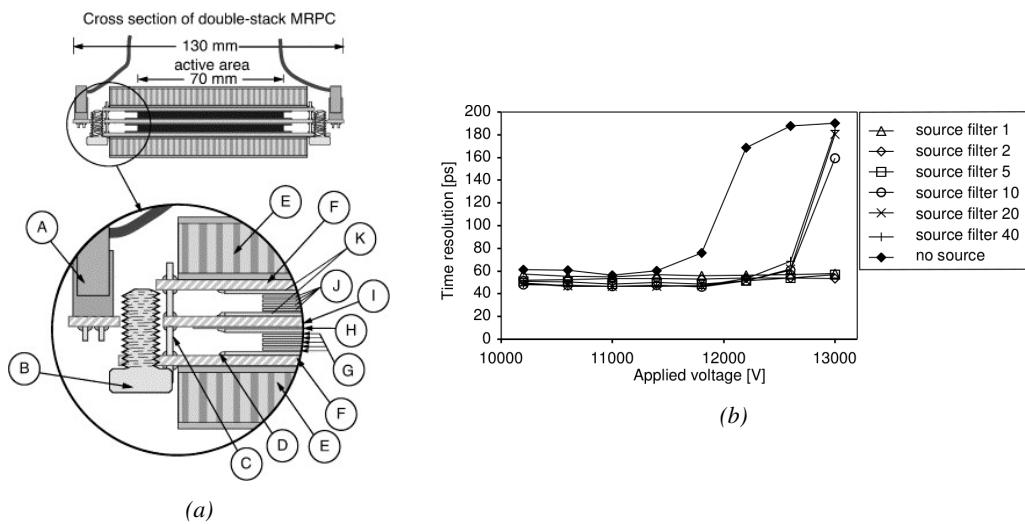


Figure 4.17: Presentation of a study of a possible ALICE MRPC cell using $250 \mu\text{m}$ gas gaps, $620 \mu\text{m}$ outer glass electrodes, and $550 \mu\text{m}$ inner floating electrodes (Figure 4.17a), and of its time resolution performance as a function of the applied high voltage for different radiation levels referred through different filter settings of the $740 \text{ GBq } ^{137}\text{Cs}$ source the former CERN GIF facility [60].

After the problem of streamers was solved by adding SF_6 into the gas mixture, the size of the MRPCs decreased as the research groups started applying the concept of dividing the gas volume into subvolumes to the narrow gap RPCs leading to the now widely used micro gap MRPCs. The time resolution of such a detector can reach of few tens of ps, with gas gaps of the order of a few hundred μm as showed in Figure 4.17 representing a single cell of ALICE Time-of-flight (ToF) system consisting of double MRPCs, as it was studied in the early 2000s [60].

Sometimes used as a double multigap RPC, taking advantage of the OR of double gap RPCs to both be able to operate a higher number of gaps while keeping a reasonable high voltage applied in between the cathode and anode and to further reduce the gain, the MRPC is mainly used as ToF detector [60–64] due to its excellent timing properties that allow to perform particle identification as explained by Williams in [65]. The principle of particle identification using ToF is simply the measurement of the velocity of a particle. Indeed, particles are defined by their mass (for the parameter of interest here, their electric charge being measured using the bending angle of the particles traveling through a magnetic field) and this mass can be calculated by measuring the velocity β and momentum of the particle:

$$(4.3) \quad \beta = \frac{p}{\sqrt{p^2 + m^2}}$$

Intuitively, it is trivial to understand that 2 different particles having the same momentum will have a different velocity due to the mass difference and thus a different flight time T_1 and T_2 through the detector and this is used to separate and identify particles. The better the time resolution of the ToF system used, the stronger will the separation be:

$$(4.4) \quad T = \frac{L}{v} = \frac{L}{c \cdot \beta}, \quad \Delta T = T_1 - T_2 = \frac{L}{c} \left(\sqrt{1 + m_1^2/p^2} - \sqrt{1 + m_2^2/p^2} \right) \cong (m_1^2 - m_2^2) \frac{L}{2cp^2}$$

An example of particle identification is given for the case of STAR experiment in Figure 4.18.

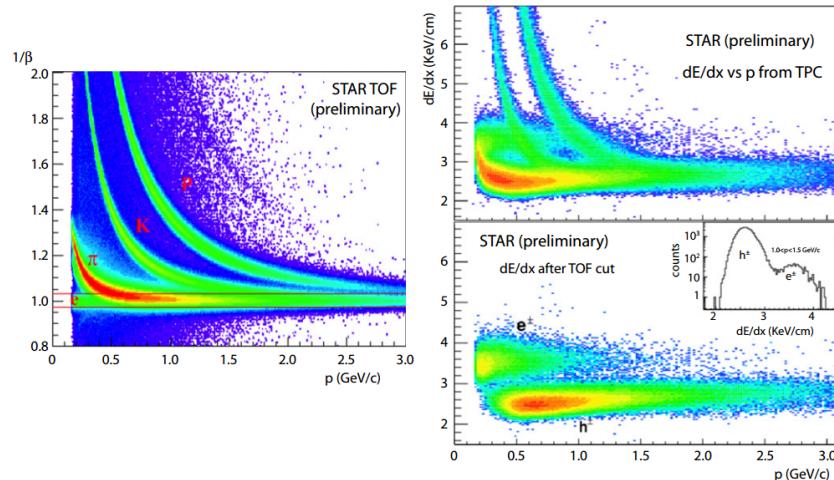
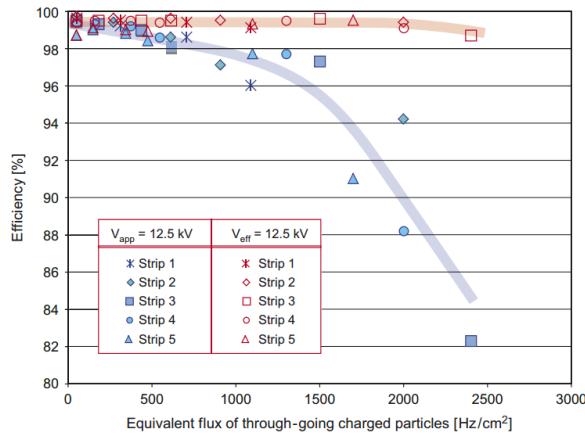


Figure 4.18: Particle identification applied to electrons in the STAR experiment. The identification is performed combining ToF and dE/dx measurements [65].

2330 Taking into account the distortion effect on the electric field inside of a MRPC built using micro
 2331 gaps due to the exposition to irradiation, distortion that can be understood by monitoring the current
 2332 drawn by the detector which should stay constant at constant electric field, another benefice of using
 2333 such small gas gaps is the strong reduction of the average avalanche volume and thus of the blind
 2334 spot on MRPCs leading to an improved rate capability. Multigaps can sustain backgrounds of several
 2335 kHz/cm² as demonstrated in Figure 4.19.



2336 *Figure 4.19: Comparison of the detector performance of ALICE ToF MRPC [66] at fixed applied voltage (in
 2337 blue) and at fixed effective voltage (in red). The effective voltage is kept fixed by increasing the applied voltage
 2338 accordingly to the current drawn by the detector.*

2336 4.2.3.3 Charge distribution and performance limitations

2337 **[This part could be moved in the next section of the chapter and deepened using the perspective
 2338 of the avalanche physics.]**

2339 The direct consequence of the different RPC layouts is a variation of intrinsic time resolution of
 2340 the RPC as the gap size decreases and of the rate capability when the deposited charge per event is
 2341 spread over a larger number of amplification volumes, allowing for a reduction of the overall gain of
 2342 the detectors which is replaced by an on-electronics pre-amplification of the signals. in this sense,
 2343 an advantage is given to multigaps whose design use sub-millimeter gas volumes providing very
 2344 consistent signals.

2345 From the charge spectrum point of view, each layout has its own advantages. When the double-
 2346 gap has the highest induced over drifting charge ratio, as seen in Figure 4.20, the multigap has a
 2347 charge spectrum strongly detached from the origin, as visible in Figure 4.21. A high induced over
 2348 drifting charge ratio means that the double gap can be safely operated at high threshold or that at
 2349 similar threshold it can be operated with a twice smaller drifting charge, meaning a higher rate
 2350 capability if operated with sensitive enough electronics. On the other hand, the strong detachment
 2351 of the charge spectrum from the origin in the MRPC case allows to reach a higher efficiency with
 2352 increasing threshold as most of the induced charge is not low due to the convolution of several
 2353 single gap spectra. The range of stable efficiency increases with the number of gap, as presented in
 2354 Figure 4.22.

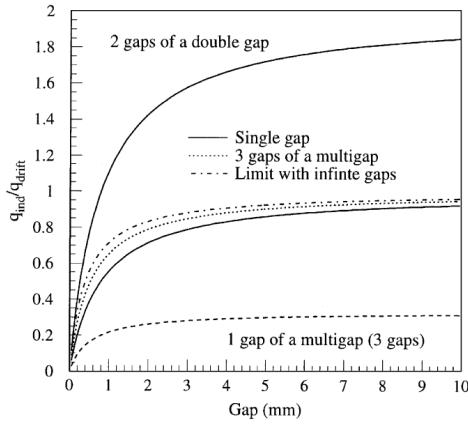


Figure 4.20: Ratio between total induced and drifting charge have been simulated for single gap, double-gap and multigap layouts [67]. The total induced charge for a double-gap RPC is a factor 2 higher than for a multigap.

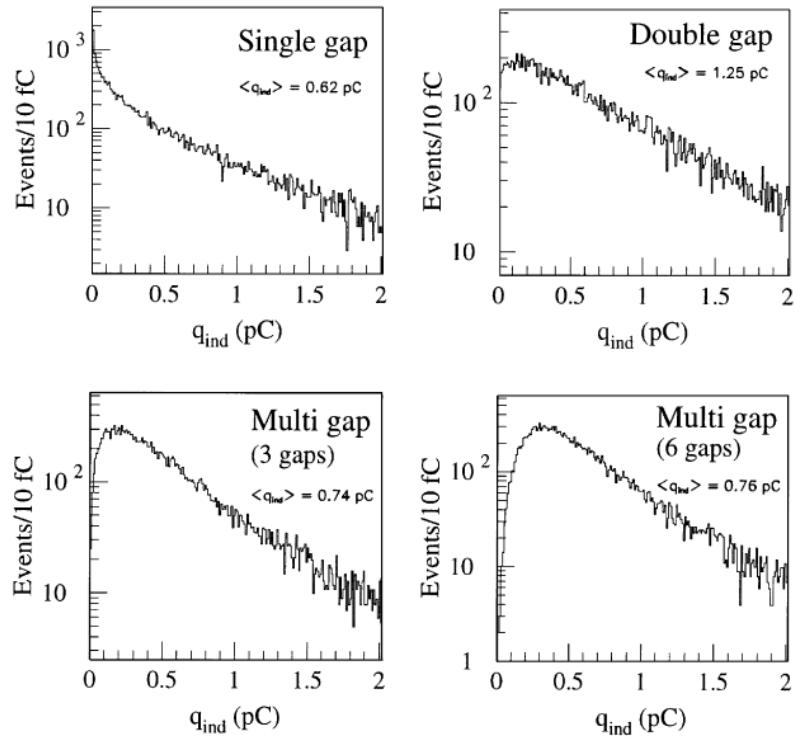


Figure 4.21: Charge spectra have been simulated for single gap, double-gap and multigap layouts [67]. It appears that when single gap shows a decreasing spectrum, double and multigap layouts exhibit a spectrum whose peak is detached from the origin. The detachment gets stronger as the number of gaps increases.

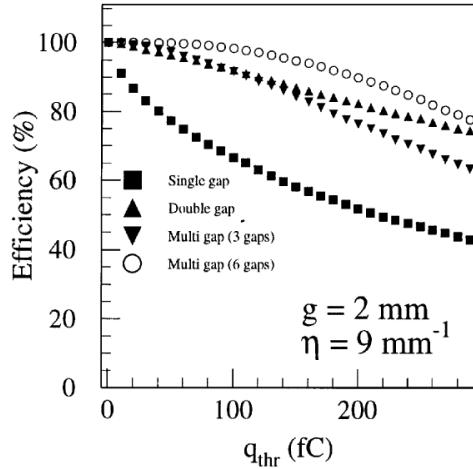


Figure 4.22: The maximal theoretical efficiency is simulated for single gap, double-gap and multigap layouts [67] at a constant gap thickness of 2 mm and using an effective Townsend coefficient of 9 mm^{-1} .

4.3 Signal formation

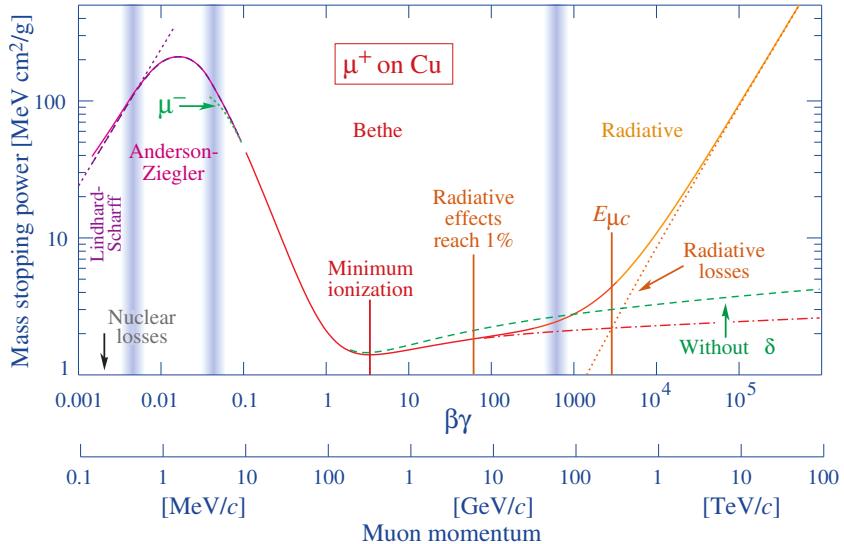


Figure 4.23: Mass stopping power as a function of $\beta\gamma = p/Mc$ for positive muons in copper [68]. The total stopping power is indicated with solid line and local components with dashed lines. The vertical bands are used to indicate boundaries between different approximations used at different energy range.

The physics of Resistive Plate Chambers still is far from being fully understood and work is regularly being accomplished in trying to model these detectors the best way possible by phenomenological models using well-defined physics [51, 69, 70]. These theoretical works have nevertheless lead to a better understanding of the key principles that account for RPCs signal formation. As previously

discussed, the typical mixture of such a detector is in great majority composed by a ionizing gas with some quenching properties and a low ionization potential to easily produce electrons, with the addition of UV quencher and electronegative compounds. The electronic avalanche formation will be triggered by a charged particle passing through the gas, typically a muon in the case of most uses of RPCs. The production of electrons in the gas of a detector is related to the energy lost by the incoming particle traveling through a material medium. The example of the mass stopping power of copper on muons is given in Figure 4.23 on which the different energy loss mechanisms at different energy ranges are visible. Once primary electrons have been freed in the gas volume, the electric field applied in between the electrodes of the RPC will make the charges move and there will be a competition in the gas in between the Townsend and attachment coefficient which describe the evolution of the number of electrons in an avalanche. While drifting through the gas, the electrons are also subjected to diffusion that will affect the evolution of the avalanches. Finally, when the avalanche is big enough, the accumulation of negative (electrons) and positive (ions) charges in the gas volume will start affecting the local electric field. This effect is known as space charge effect.

4.3.1 Energy loss at intermediate energies

Intuitively, a particle traveling through a medium will interact with its components, losing energy. When a muon travels through the gas of a gaseous detector, at the energy range usually observed, it interacts with the molecules of the gas leading to dissipation of the transferred energy in the form of inelastic diffusion or ionization. The photons and electron-ion pairs resulting from these interaction can trigger avalanches in the gas which will contribute to feed the avalanche growth thanks to the strong electric field applied in between the 2 electrodes of a RPC.

The mass stopping power of moderately relativistic ($0.1 \lesssim \beta\gamma \lesssim 1000$) heavy particles ($M \gg m_e$) traveling through a medium via excitation and ionization processes was studied by Bethe in 1930 [71] and is well described by the so called the Bethe Formula given in Equation 4.5.

$$(4.5) \quad \left\langle -\frac{dE}{dx} \right\rangle = K z^2 \frac{Z}{A} \frac{1}{\beta^2} \left(\frac{1}{2} \ln \frac{2m_e c^2 \beta^2 \gamma^2 W_{max}}{I^2} - \beta^2 - \delta(\beta\gamma) \right)$$

The different parameters used in this equation are

E	- incident particle energy γMc^2	MeV
x	- mass per unit area	g cm^{-2}
N_A	- Avogadro's number	$6.022\ 140\ 857(74) \times 10^{23} \text{ mol}^{-1}$
c	- speed of light in vacuum	$299\ 792\ 458 \text{ m s}^{-1}$
μ_0	- permeability of free space	$4\pi \times 10^{-7} \text{ N A}^{-2}$
ϵ_0	- permittivity of free space $\epsilon_0 = 1/\mu_0 c^2$	$8.854\ 187\ 817\dots \times 10^{-12} \text{ F m}^{-1}$
α	- fine structure constant $\alpha = e^2/4\pi\epsilon_0\hbar c$	$1/137.035\ 999\ 139(31)$
r_e	- classical electron radius $r_e = e^2/4\pi\epsilon_0 m_e c^2$	$2.817\ 940\ 3227(19) \text{ fm}$
e	- elementary charge of the electron	$-1.6021766208(98) \times 10^{-19} \text{ C}$
$m_e c^2$	- electron mass $\times c^2$	$0.510\ 998\ 9461(31) \text{ MeV}$
K	- constant defined as $K = 4\pi N_A r_e^2 m_e c^2$	$0.307\ 075 \text{ MeV mol}^{-1} \text{ cm}^2$
z	- charge number of incident particle	
Z	- atomic number of absorbing medium	
A	- atomic mass of absorbing medium	g mol^{-1}
β	- velocity of particle $\beta = v/c$	
γ	- Lorentz factor $\gamma = (1 - \beta^2)^{-1/2}$	

W_{max}	- maximum energy transfer through a single collision	MeV
I	- mean excitation energy of absorbing medium	eV
$\delta(\beta\gamma)$	- density effect correction to ionization energy loss	

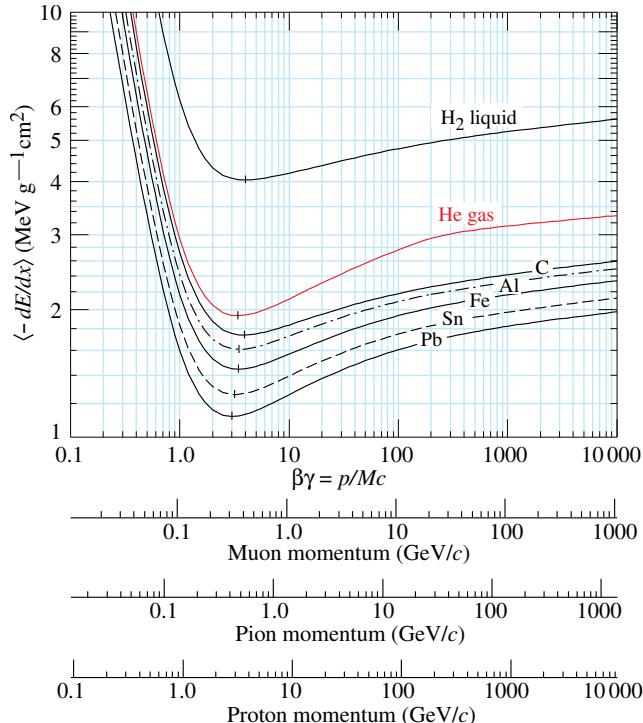


Figure 4.24: Mean mass stopping power for liquid hydrogen, as used in bubble chambers, gaseous helium, carbon, aluminum, iron, tin, and lead without the inclusion of radiative effect at higher $\beta\gamma$ necessary for pions and muons in denser materials [68].

2385 In this equation, the maximum energy transfer W_{max} is defined as function of the incident par-
 2386 ticle mass M , expressed in MeV/c^2

$$(4.6) \quad W_{max} = \frac{2m_e c^2 \beta^2 \gamma^2}{1 + 2\gamma m_e / M + (m_e / M)^2}$$

2387 and the mean excitation energy I depends on the absorber and its determination is non-trivial but
 2388 recommendation are given by the International Commission on Radiation Units & Measurements
 2389 (ICRU) based on experimental measurements and interpolations as showed in Figure 4.25.

2390 For the case of copper, the mean stopping power is visible in Figure 4.23. The mean stopping
 2391 power corresponding only to the Bethe range for other materials is given in Figure 4.24 and shows
 2392 that $\langle -dE/dx \rangle$ is similar for each material with a slow decrease with Z . The factor affecting the
 2393 equation the most is β as the dependence on M is introduced at higher energies in the logarithm via
 2394 the max transfer energy per single collision but in most practice cases, only the dependence on β is
 2395 considered as most of the relativistic particles are closed to the lowest mean energy loss rate and are

2396 referred to as minimum ionizing particles (mip's). The almost logarithmic relation in between the
 2397 mean energy loss rate for minimum ionizing particles and Z is showed in Figure 4.26.

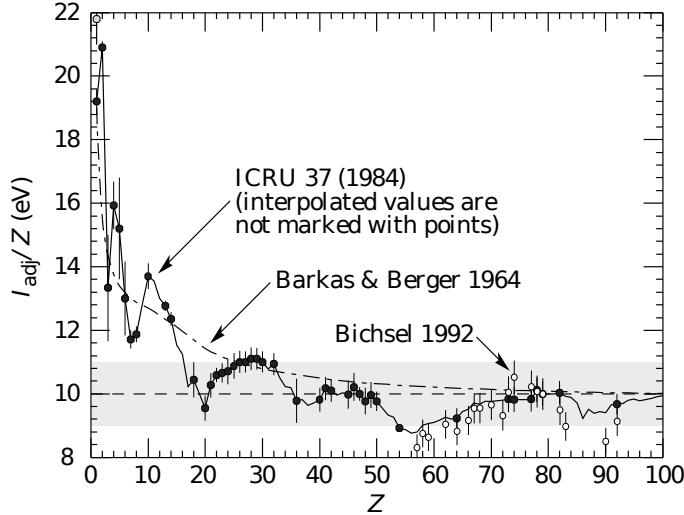


Figure 4.25: Mean excitation energies normalized to the atomic number as adopted by the ICRU [68, 72, 73].

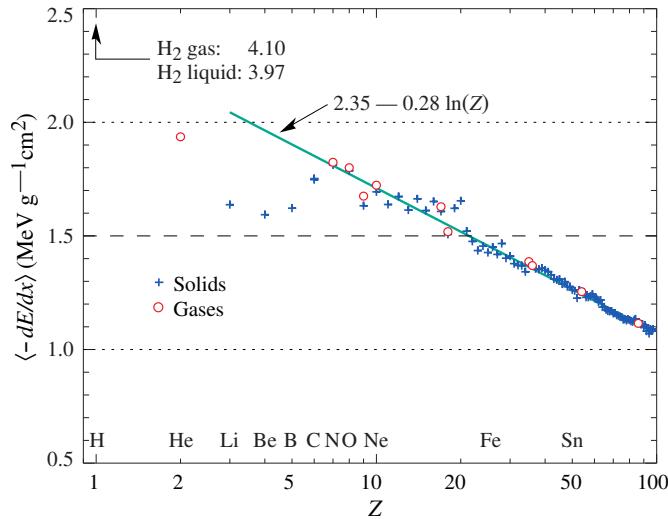


Figure 4.26: Mean mass stopping power at minimum ionization as a function of the atomic number [68].

2398 Finally, the term $\delta(\beta\gamma)/2$ corresponds to the density effect correction introduced to account for
 2399 the polarization of a real media that limits the spatial extension of the electric field of relativistic
 2400 particles. Indeed, as the energy of a particle increases, the associated electric field will flatten and
 2401 extend. Due to this effect, the distant collision contribution to Equation 4.5 will increase as $\ln(\beta\gamma)$
 2402 but the polarization of the media trunc this rise. At high energies, the correction is given by Equa-

2403 tion 4.7

$$(4.7) \quad \delta/2 \longrightarrow \ln(\hbar\omega_p/I) + \ln(\beta\gamma) - 1/2$$

2404 where $\hbar\omega_p$ represents the plasma energy that depends on the electron density of the media and
 2405 the electron mass and can be calculated as $\sqrt{\rho\langle Z/A\rangle} \times 28.816$ eV. The introduction of this cor-
 2406 rection term reduces the increase of the mean stopping power at higher energies as can be seen in
 2407 Figure 4.23. Moreover, due to poorer electron density, the effect is less visible on gases than on
 2408 liquids and solids has van be seen from Figure 4.24.

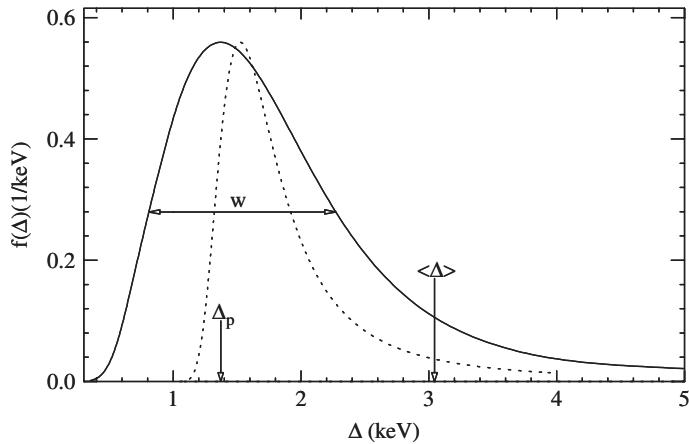


Figure 4.27: Example of straggling function $f(\Delta)$ of particles passing through 1.2 cm of Argon gas with a $\beta\gamma$ of 3.6 and represented with a solid line. The original Landau distribution is showed with a dashed line [74].

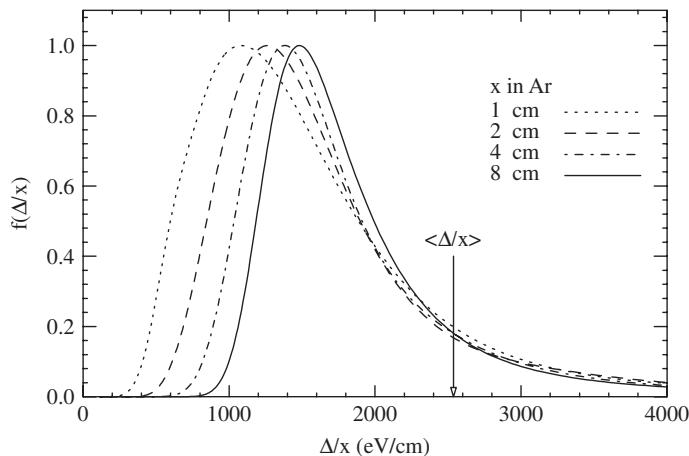


Figure 4.28: Evolution of straggling functions $f(\Delta)$ of particles passing through a volume of Argon gas with a $\beta\gamma$ of 3.6 with increasing thickness x [74].

2409 The mean energy loss per collision can be difficult to measure for low data samples and is not
 2410 always representative of the energy loss distribution for a given incident particle energy. Hence, it is

2411 easier to access the most probable energy loss which is a lower value than than the average loss due
 2412 to the distribution of the energy transfer. This value is well described by a highly skewed Landau
 2413 distribution for detectors with "moderate" thickness x , expressed in g mol⁻¹. But for gas volumes,
 2414 a Landau distribution greatly underestimates the width w of the distribution and only succeeds to
 2415 provide with a correct value for the most probable energy loss, as showed in Figure 4.27. Thus,
 2416 the energy loss distribution is better represented by its most probable energy loss Δ_p and its full-
 2417 width-at-half-maximum (FWHM) w . As showed by Figure 4.28, the distribution is affected by
 2418 the thickness of the gas volume and the most probable energy loss normalized to the thickness is
 2419 increased and the width decreased, converging towards the Landau distribution, whereas the mean
 2420 energy loss is unchanged. Correction are brought to the original Landau equation in order to account
 2421 better for the number of collisions leading to an increased width of the energy loss distribution [74].

2422 In the case of gas mixtures, composed of several elements, using Bragg additivity it can be
 2423 understood that the mean energy loss of the mixture is the sum of the mean energy losses in each
 2424 individual element j layer of weight w_j .

$$(4.8) \quad \left\langle \frac{dE}{dx} \right\rangle = \sum w_j \left\langle \frac{dE}{dx} \right\rangle_j$$

2425 4.3.2 Primary ionization

2426 Using Bethe formula to understand the mean energy transfer of charged particle when traveling
 2427 through a gas volume give an intuition of the physics that affect the particle but doesn't provide a
 2428 detailed enough information about the individual ionizations along its tracks at a microscopic level.
 2429 In order to simulate efficiently an RPC and hence understand the processes governing avalanches
 2430 creation and growth, knowledge on the ionization process is necessary.

2431 To convert the energy loss rate into a number of primary ionizations was developed in 1980 the
 2432 Photo-Absorption Ionisation (PAI) model [75] based on the cross section of ionization of gas atoms
 2433 to real photons and the dielectric constant of the medium through which the charged particles are
 2434 going. Indeed, the interaction of charged particles with the gas molecules being of electromagnetic
 2435 nature, it is mediated by quasi-real photons and, hence, the cross section to photon ionization is
 2436 important to understand. This approach is nevertheless semi-classical as it relies on classical elec-
 2437 trodynamics and it only gives access to the energy transfer to the gas atoms and no information on
 2438 the energy dissipation and secondary emissions is available on the output of the model. The energy
 2439 transferred to the medium is not all used for ionization. For an energy deposition Δ , the number of
 2440 electron-ion pairs produced is:

$$(4.9) \quad \Delta = n_i W$$

2441 W corresponds to the mean work per pair production that depends on the medium and is greater
 2442 than the ionization potential leading to the conclusion that part of the transferred energy is dissipated
 2443 through other processes [70, 76]. In order to understand the energy dissipation and the secondary
 2444 emissions, the fine structure of each atom is taken into account. Through the PAI model, the incident
 2445 charged particle interacts is assumed to interact with the full atom rather than with a single electron.

2446 Although, considering that the particle interacts with a single electron, leads to the possibility
 2447 to study the excited state of the atom once the photo-electron has been emitted with an energy

corresponding to the transferred energy minus the binding energy of the electronic shell. The resulting vacancies in the electronic shell will be filled through emission of photons or Auger electrons and can contribute to further ionization or excitation in the gas volume. Fluorescence photons are usually not considered as they only constitute a very small fraction of secondary emissions [77]. Assuming that the transferred energy is absorbed in its totality by a single electronic shell, the PAI model was modified to include relaxations and constitute the new Photo-Absorption Ionisation with Relaxation (PAIR) model [77]. In this model, the cross section corresponding to the whole atom is re-expressed using a sum of partial cross sections corresponding to the different electronic shells. When one or more electrons are knocked out of the atom, the vacancies are filled by electrons of the shell above with relaxation by Auger electron emission. These processes happen in cascade from the inner shell to the outer one until all the vacancies are filled and the energy has been released.

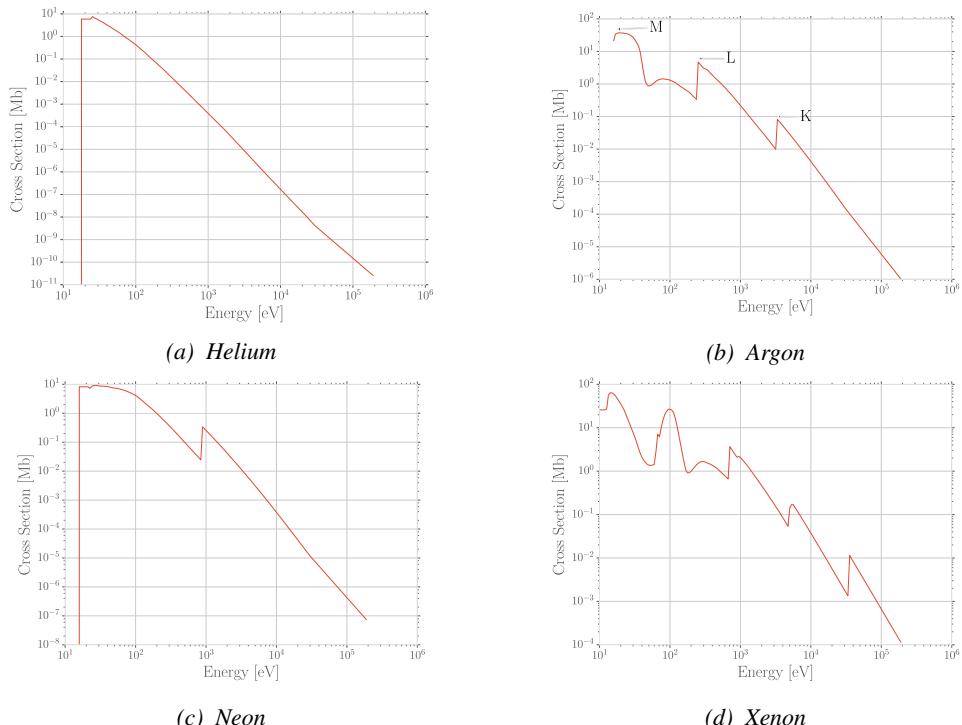


Figure 4.29: Photo-absorption cross section as computed by HEED for nobles gases with different electric shell numbers [70].

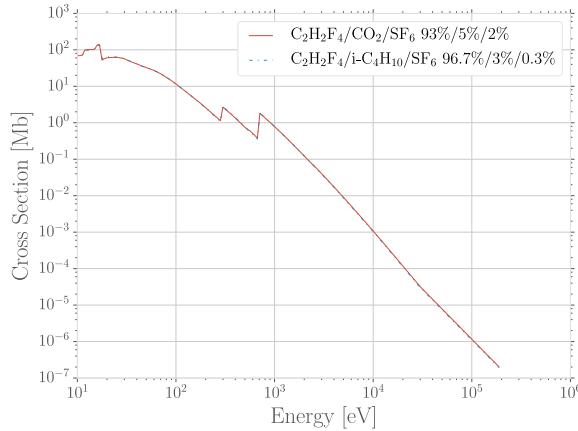


Figure 4.30: Photo-absorption cross section as computed by HEED for typical RPC gas mixtures [70]. The RPC mixture with CO₂ corresponds to the mixture used by CALICE SDHCAL [78] while the other one was foreseen for the experiment ATLAS [79] but has been changed since then.

This model is included in the program HEED developed at CERN [80] and called by Garfield, a program developed for the simulation of gaseous detectors. The results for the cross section for a few noble gases are given in Figure 4.29. It can be seen that for each shell, the cross section is increased. More complex patterns are seen with bigger atoms such as Xenon on Figure 4.29d. For gas mixtures, like the typical RPC mixtures, the cross section is showed in Figure 4.30. Both mixtures being mainly composed of C₂H₂F₄, the variations in between the 2 cross section profiles are very subtle and depends on the concentration of the other compounds.

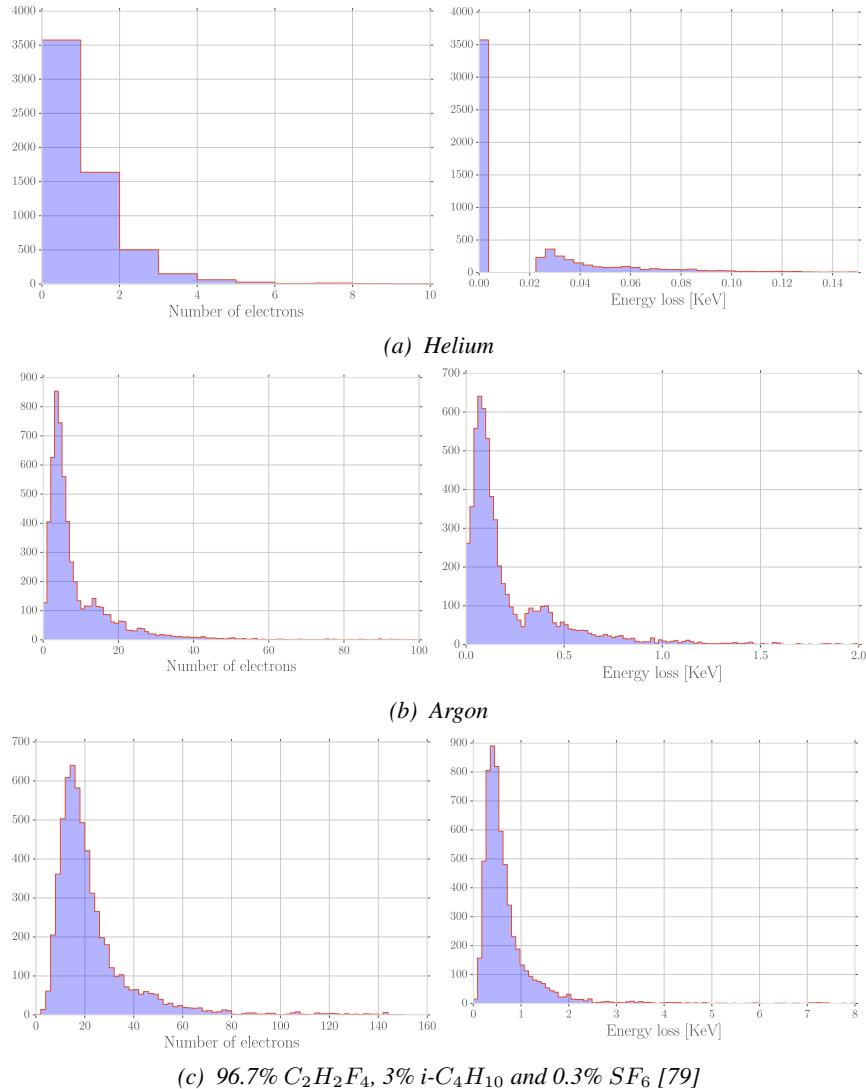


Figure 4.31: Distributions of number of electrons (left) and energy loss (right) for a 5 GeV/c muon passing through 1.2 mm of Helium (Figure 4.31a), Argon (Figure 4.31b) or a typical RPC gas mixture (Figure 4.31c) [70].

Once the cross section of interaction is known, it is possible to extract the distribution of energy loss and of the number of electron produced, as showed in Figure 4.31 for Helium, Argon, which is used in gaseous detectors, and for a typical RPC mixture [70]. The distributions are computed using HEED and show typical straggling function profiles with some complex structures that can be related to interaction of the incoming particle with the different electronic shells. Helium does not have a great photo-absorption cross-section according to Figure 4.29a and a muon will not be likely to lose a lot of energy and to create a lot of electrons whereas in a more complex atom like Argon, the cross-section is greater and will lead to a greater energy loss of muons and more electron produced. Finally, a complex gas mixture used in RPCs will offer an even greater cross-section, a wider energy loss distribution and will be able to produce more electrons. The same information is seen by looking

at the evolution of the mean number of cluster as a function of the lorentz factor associated to muons showed in Figure 4.32a. Indeed, the greater photo-absorption cross-section of RPC mixtures allow for a much greater amount of clusters to be created by charged particles. The size of these clusters is studied through Figure 4.32b which shows that, in most of the cases ($\approx 80\%$), the clusters only are composed of a single electron which is consistent with minimum ionizing particles.

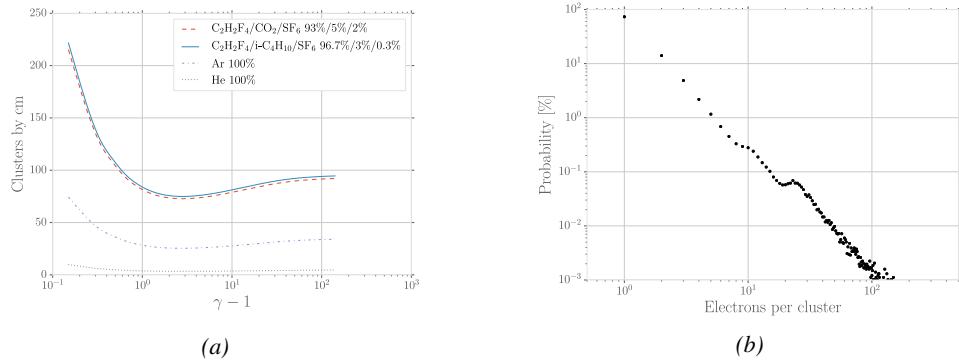


Figure 4.32: Figure 4.32a: Mean cluster density for muons through different gas volumes [70]. Figure 4.32b: Distribution of the number of electrons per cluster for a 5 GeV/c muon traveling through a mixture of 96.7% $C_2H_2F_4$, 3% $i-C_4H_{10}$ and 0.3% SF_6 [70, 79].

4.3.3 Development and propagation of avalanches

From the clusters released in the gas volume by the charged particles, free electrons will start drifting due to the electric applied in between the electrodes of the RPC. Gaining velocity and energy, these electrons in their turn will be able to ionize the gas. This process being repeated by all the produced electrons will trigger an avalanche to develop.

The growth of the avalanche can be intuitively understood as a competition between 2 effects. Due to their increasing energy, electrons have a probability to trigger new ionizations by interacting with gas molecules. On the other hand, before the minimal amount of energy is reached, the electrons can get attached to a gas molecule instead. These two effects can be described using a simple model in which the multiplication and attachment processes are given by the Townsend coefficient α and the attachment coefficient η , assuming that the history of previous interactions in the gas does not influence new interactions. This model simply takes into account probabilities to have at the gas depth z for a given number n of free electrons in the gas $n+1$ or $n-1$ electrons at the depth $z+dz$ (respectively $n\alpha dz$ and $n\eta dz$). Then, the mean number of electrons \bar{n} and cations \bar{p} can be written for single compound gases as

$$(4.10) \quad \frac{d\bar{n}}{dz} = (\alpha - \eta)\bar{n}, \quad \frac{d\bar{p}}{dz} = \alpha\bar{n}$$

which, assuming the initial conditions $\bar{n}(0) = 1$ and $\bar{p}(0) = 0$, lead to the mean number of electrons and cations at a depth z

$$(4.11) \quad \bar{n}(z) = e^{(\alpha-\eta)z}, \quad \bar{p}(z) = \frac{\alpha}{\alpha-\eta} \left(e^{(\alpha-\eta)z} - 1 \right)$$

²⁴⁹⁸ The Townsend and attachment coefficient as a function of the applied electric field are given in
²⁴⁹⁹ Figure 4.33 for a standard RPC gas mixture using Magboltz [81].

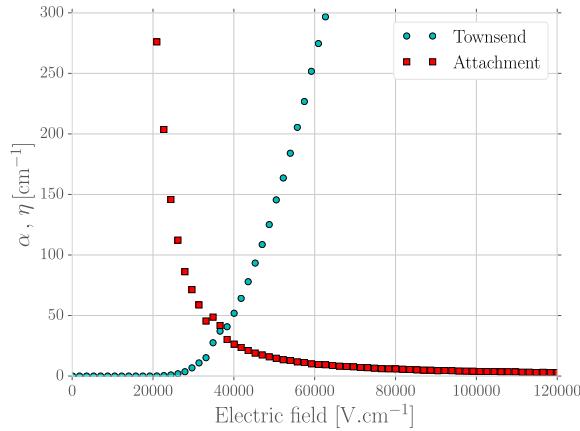


Figure 4.33: Townsend and attachment coefficient for a typical 96.7/3/0.3 mixture of $C_2H_2F_4/i\text{-}C_4H_{10}/SF_6$, at a temperature $T = 296.15$ K and a pressure $P = 1013$ hPa [70, 79].

²⁵⁰⁰ Nevertheless, there are more to the avalanche growth than simply these two factors. Throughout
²⁵⁰¹ the 20th century, models have been developed to better understand the physics of discharges in gas.
²⁵⁰² In 1937, Furry developed a model to describe electromagnetic cascades [82] that would be used for
²⁵⁰³ electron avalanches in gas during the 1950s. Furry realized that the use of a Poisson law to describe
²⁵⁰⁴ the distribution of shower sizes could not be accurate as he understood that the events occurring in
²⁵⁰⁵ the development of a cascade are not independent from each other, as a Poisson law would suggest.
²⁵⁰⁶ Indeed, part of the particles produce others and this process depends on both their original energy
²⁵⁰⁷ and energy lost. Experimental results showed excess of small showers and an under estimate of very
²⁵⁰⁸ large ones. To solve this problem, Furry proposed a distribution of sizes of following the likelihood
²⁵⁰⁹ described in Equation 4.12, in which $\bar{n} = e^{\alpha z}$, compared with a Poisson law in Figure 4.34.

$$(4.12) \quad P(n, \bar{n}) = \bar{n}^{-1}(1 - \bar{n}^{-1})^{n-1}$$

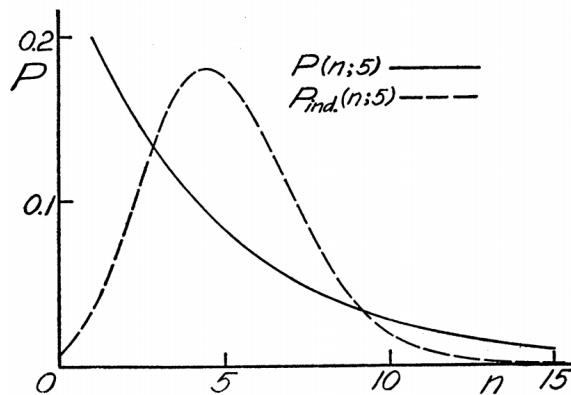


Figure 4.34: Comparison of the distribution law of Furry and the Poisson law for $\bar{n} = 5$ [82].

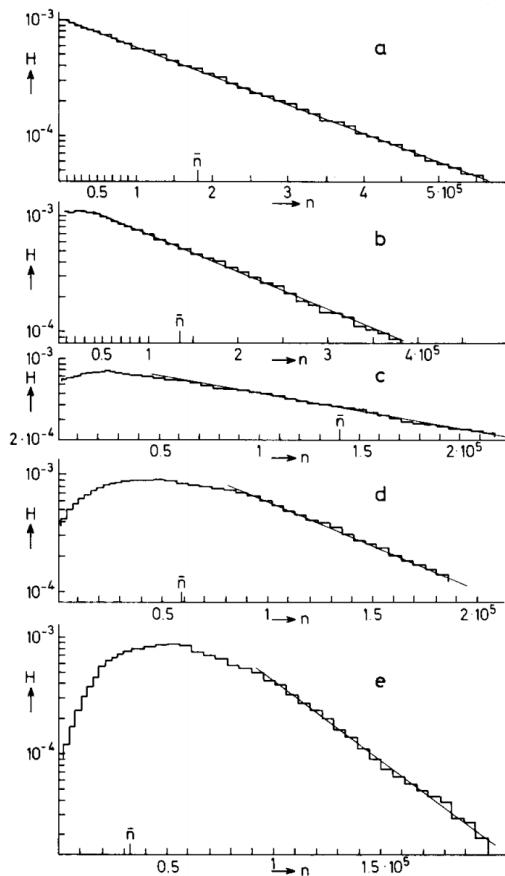


Figure 4.35: Single-electron avalanche size distribution in a proportionnal counter filled with methylal at different E/p values. (a) 70, (b) 76.5, (c) 105, (d) 186.5, (e) 426V/cm torr [83].

In this model, no extra energy is brought to the electrons in the showers, contrary to the case of a gaseous detector such as a RPC where an electric field accelerates them. Using the Furry model, Genz studied the fluctuations in electron avalanches in gaseous detectors [83]. Collisions leading to ionizations leave electrons with an energy much smaller than the ionization energy eU_i , where U_i is the ionization potential of a gas molecule. Hence, the electrons need to travel a distance $s = U_i/E$ along the electric field E to acquire a high enough energy to trigger a new ionization. For the probability of a new ionization to be independent from the path followed by the electrons since the previous ionization, the mean free path $1/\alpha$ of electrons in the gas has to be large compared to s and thus $E/\alpha \gg U_i$. The Townsend coefficient is related to the gas pressure leading to conditions on the value of E/p . Avalanches in gas are large compared to the showers Furry has studied in his original paper. For very large avalanche sizes, Equation 4.12 can be written as an exponential, as showed in Equation 4.13.

$$(4.13) \quad P(n, \bar{n}) = \bar{n}^{-1} e^{-n/\bar{n}}$$

This exponential behaviour is showed through Figure 4.35. In practice, to fully understand the avalanche growth, taking into account the path followed by electrons from one ionization to another

will become necessary. In the same paper, Genz then discusses models using Polya distributions to estimate the multiplication by looking at the size of the avalanche it self. Indeed, the number of charge carriers in the avalanche might become important enough to have an effect on the multiplication process. To account for this, it was proposed to use a varying Townsend coefficient such as described by Equation 4.14 depending on the position x in which θ is an empirical parameter leading to the probability distribution of Equation 4.15. In the limit case where θ goes to 0, the formula describes again the Furry model. But the data deviates from this model as well at large n values. Moreover, the introduction of an empirical parameters makes the model hard to interpret physically.

$$(4.14) \quad \alpha(n, x) = \alpha(x) \left(1 + \frac{\theta}{n}\right), \quad n > 0$$

$$(4.15) \quad P(n, x) = \frac{1 + \theta}{\bar{n}} \frac{1}{\theta!} \left(\frac{n(1 + \theta)}{\bar{n}}\right)^{\theta} e^{-\frac{n(1 + \theta)}{\bar{n}}}$$

In order to have a model that describes reality better, the introduction of the attachment into the model is an important step. Despite its limitations, the Furry model had the advantage to describe well avalanches occurring when the attachment could be ignored. This is only natural that this model was then extended to included attachment. This was done by Riegler, Lippmann and Veenhof [79] which showed that what was important was to consider both the Townsend coefficient describing the multiplication *and* the attachment coefficient, not only the effective multiplication coefficient $\bar{\alpha} = \alpha - \eta$. The probability to see an avalanche started by a single electron grow to a size n after having traveled a distance z through the gas is given by Equation 4.16.

$$(4.16) \quad \begin{aligned} P(n, z) = & P(n-1, z) (n-1)\alpha dz (1 - (n-1)\eta dz) \\ & + P(n, z) (1 - n\alpha dz) (1 - n\eta dz) \\ & + P(n, z) n\alpha dz n\eta dz \\ & + P(n+1, z) (1 - (n+1)\alpha dz) (n+1)\eta dz \end{aligned}$$

The first term of this probability that from a state with $n-1$ electrons, only 1 multiplies while the others don't get attached. Both the second and third terms describes the probability that from a state with already n electrons the total number of electrons stay the same. On the second term, no electron gets attached nor multiplies while on the third term, 1 electron gets multiplied and 1 gets attached to compensate. Finally, the fourth term describes the probability to fall from a state with $n+1$ to a state with n electrons due to the attachment of a single electron. At the first order, the evaluation of the previous expression leads to Equation 4.17 which general solution is given in Equation 4.18 in which are introduced the variables $\bar{n}(z)$, defined as in Equation 4.11, and $k = \eta/\alpha$ making explicit the fact that the distribution not only depends on the effective Townsend coefficient.

$$(4.17) \quad \frac{dP(n, z)}{dz} = -P(n, z)n(\alpha + \eta) + P(n-1, z)(n-1)\alpha + P(n+1, z)(n+1)\eta$$

$$(4.18) \quad P(n, z) = \begin{cases} k^{\frac{\bar{n}(z)-1}{\bar{n}(z)-k}}, & n = 0 \\ \bar{n}(z) \left(\frac{1-k}{\bar{n}(z)-k}\right)^2 \left(\frac{\bar{n}(z)-1}{\bar{n}(z)-k}\right)^{n-1}, & n > 0 \end{cases}$$

2549 The example given through Figure 4.36 shows the importance of each individual process in
 2550 the growth of avalanches and the fluctuation of their size. The values of α and η will influence
 2551 the probability distribution, as can be seen from Figure 4.36a. Then, Figure 4.36b shows that the
 2552 fluctuation really takes place within the very first interactions. Indeed, when the avalanche contains
 2553 a large enough amount of charge carriers (a few hundreds), its size then increases like $e^{z(\alpha-\eta)}$.

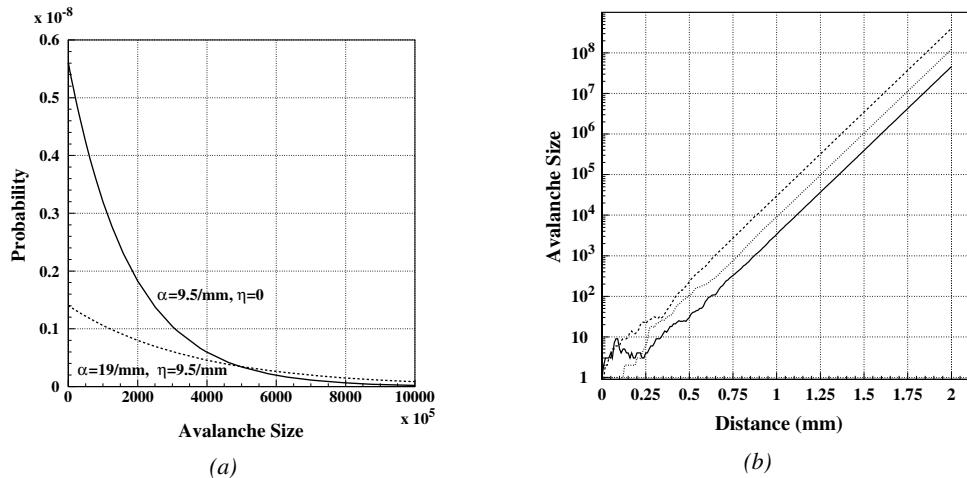


Figure 4.36: Figure 4.36a: Comparison of avalanche size distributions for different values of Townsend and attachment coefficients. The effective Townsend coefficient is the same for both distributions. Figure 4.36b: Fluctuation in avalanche size for avalanche started by a single electron with $\alpha = 13 \text{ mm}^{-1}$ and $\eta = 3.5 \text{ mm}^{-1}$ [79].

2554 4.3.4 Drift and diffusion of the electron cloud

2555 During the growth of avalanches, an electron cloud drifting along the electric field through the gas
 2556 will undergo thermal diffusion due to random collisions with the gas molecules. This phenomenon
 2557 can be studied using Maxwell-Boltzmann distribution whose mean is defined by the thermal energy
 2558 of the cloud $\langle E \rangle = 3/2kT$ with an extra component coming from the constant drift motion. The
 2559 drift of electrons along the field lines is usually observed on a macroscopic scale through which the
 2560 speed can be assimilated to a constant v_D which corresponds to the mean drift speed over a large
 2561 number of collisions in the gas.

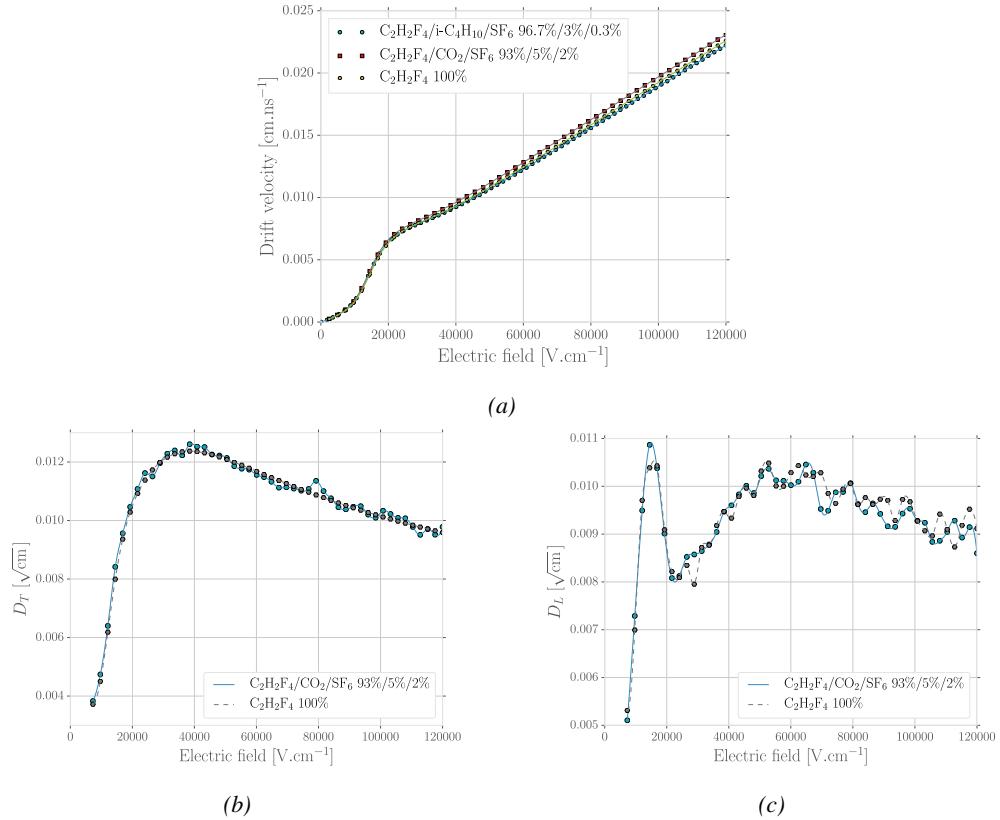


Figure 4.37: Figure 4.37a: Electron mean drift velocity v_D in pure C₂H₂F₄ and typical RPC gas mixtures. Figure 4.37b: Transverse diffusion coefficient in pure C₂H₂F₄ and a typical RPC gas mixture. Figure 4.37c: Longitudinal diffusion coefficient in pure C₂H₂F₄ and a typical RPC gas mixture. All results are given with a pressure $P = 760$ Torr and a temperature $T = 296.15$ K [70].

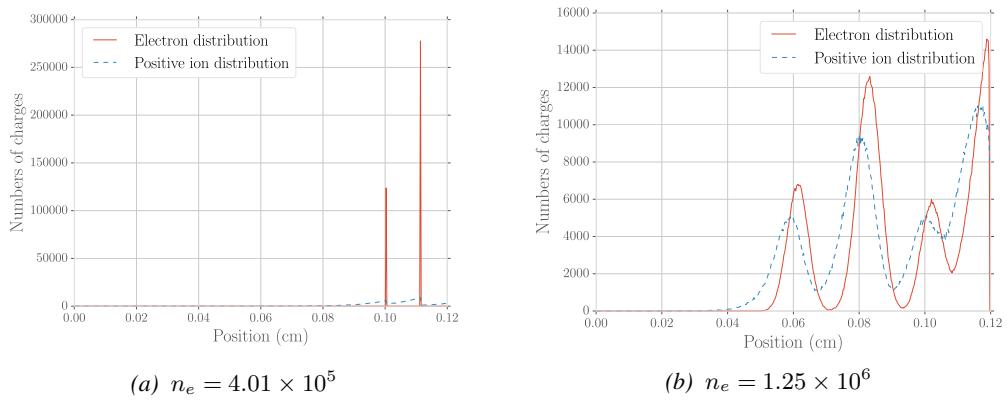


Figure 4.38: Comparison of the free charge carriers in the gas after a time $t = 7.90$ ns in the case where no diffusion is taken into account to simulate the avalanche (Figure 4.38a) and in the case where the diffusion is implemented (Figure 4.38b) [70].

Indeed, at the microscopic scale, the electrons are drifting over a distance δz while acquiring the corresponding kinetic energy $T = e_0 |\vec{E}| \delta z$ until they are slowed down by a collision in which they lose part of their energy. This process is repeated as long as electrons are free carriers. Starting from a point-like electron cloud, the Gaussian density distribution at \vec{r}_0 will be described by Formula 4.19 in which the width of the isotropic distribution is $\sigma = 2\bar{D}t$, with \bar{D} being a diffusion coefficient expressed in m^2/s [51].

$$(4.19) \quad \varphi(\vec{r}, t) = \frac{1}{(\sqrt{2\pi}\sigma(t))^3} \exp\left(-\frac{(\vec{r} - \vec{r}_0)^2}{2\sigma^2(t)}\right)$$

Now, if the constant drifting motion is added, the distribution is anisotropic and can be divided onto transversal (Formula 4.20) and longitudinal (Formula 4.21) terms, $\varphi(r, z, t) = \varphi_T(r, t)\varphi_L(z, t)$, with a cylindrical symmetry around the field axis [51]. The variables t and $\sigma_{T,L}(t)$ can be hidden to the profit of the diffusion coefficients by using the relations $v_D = l/t$ and $\sigma_{T,L}^2(t) = 2\bar{D}_{T,L}l/v_D$ and introducing new diffusion coefficients $D_{T,L} = \sqrt{2\bar{D}_{T,L}/v_D}$ in order to explicitly show the dependence of the Gaussian width in drifted distance l .

$$(4.20) \quad \varphi_T(r, t) = \frac{1}{D_T^2 l} \exp\left(-\frac{(r - r_0)^2}{2D_T^2 l}\right)$$

$$(4.21) \quad \varphi_L(z, t) = \frac{1}{\sqrt{2\pi l} D_L} \exp\left(-\frac{(z - z_0)^2}{2D_L^2 l}\right)$$

These coefficients, as well as the drift velocity of the electrons, can be calculated thanks to Magboltz as showed in Figure 4.37. The influence of the diffusion on the distribution of charge carriers throughout the gas volume is depicted in Figure 4.38. From very localised electron clusters in the gas in Figure 4.38a, a Gaussian diffusion is then visible in Figure 4.38b. Due to the interactions with gas molecules during the drift, diffusions can occur in the forward and backward direction. Electrons diffused backward will effectively drift over a longer distance and multiply more than electrons diffused forward that will see a shorter drift length. As an effect, the avalanche develops over a longer time and extends over a greater gas volume than in the case the electron cloud is considered as point like and without diffusion. Moreover, as a side effect to the longer growth of the avalanche due to backward longitudinal diffusion, the total production of electrons is increased.

4.3.5 Space charge effect & streamers

Now that have been considered the basic processes that influence the development of avalanches in a gaseous detector in the previous sections, it is now important to consider the influence of the charge carriers present in the avalanche on the electric field seen by the avalanche. Indeed, each charged particle induces an electric field and it is only natural that the increasing density of electrons and ions in the detector volume will affect the electric field. Thus, parameters such as the Townsend and attachment coefficients, drift velocity or diffusion coefficients will find themselves to be modified along the gas gap length due to this effect referred to as *space charge effect*. Figure 4.39 is a more detailed version of Figure 4.1 (b) in which three electric regions are distinguished [51]. When compared to the linear electric field of strength E_0 that is developed in between the detector's electrodes, the

2594 accumulation of negative charges (electrons) on the front of the avalanche will reinforce the effective
 2595 electric field in between the anode of the avalanche front. Deeper in the gas volume, the positive
 2596 charges (cations) slowly drift towards the cathode and can induce together with the avalanche front
 2597 opposite electric field loops. Finally, due to the density of positive charges, the electric field seen in
 2598 between the ions tails and the cathode charged with negative charges is on average stronger than E_0
 2599 and compensate for the locally reversed field E_2 . Lippmann roughly estimated by considering that
 2600 10^6 charges were contained in a sphere of radius $r_d = 0.1$ mm that the space charge effect could
 2601 change the electric field by 3% and the Townsend and attachment coefficient up to 14% [51, 70].

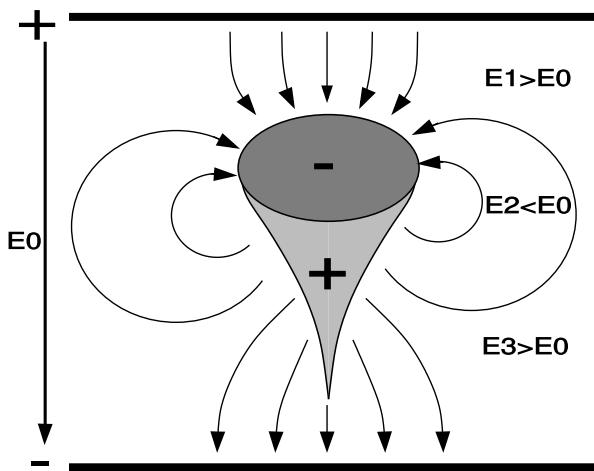


Figure 4.39: Schematic representation of an avalanche and of the electric field deformation it causes due to the local concentration of charge carriers [51].

2602 To account for the space charge effect, the electric potential and field of free charges are solved
 2603 and applied to each charges in the avalanche [51, 70]. As discussed by Français who has been working
 2604 on simulating RPCs similar to that used by the SDHCAL project of ILC, the computation of
 2605 these equations for each individual charge carrier to dynamically know the space charge field at every
 2606 stage of an avalanche development is a difficult task and would require far too much computation
 2607 time and a solution is to pre-compute an interpolation table keeping an adequately large number of
 2608 values of the space charge field for each positions in space thanks to which the values stored in the
 2609 interpolation table become very close to the analytic solution and allow for a much faster simulation.
 2610

2611 The study of space charge effect through simulation shows that it can lead to a saturation of
 2612 the avalanche growth due to the deformation of the electric field, as showed through Figure 4.40.
 2613 Additionnally, a more precise understanding of the space charge effect is given through Figure 4.41
 2614 which looks at the distribution of charges and the distortion of the electric field at different steps of
 2615 the evolution of an avalanche in a RPC. At the moment a 5 GeV muon ionizes the gas, electron-ion
 2616 pairs are created in the gas in different clusters (Figure 4.41a). Later, the first clusters have reached
 2617 the anode while the clusters that where created the closest to the cathode are now big enough to start
 2618 influencing the electric field in the gap (Figure 4.41b). When a cluster is big enough, the electric field
 2619 in front of it locally increases a lot and contributes to a stronger but very localised multiplication. At
 2620 the same moment, the positive ions right behind the cluster avalanche front decrease the electric field,
 2621 saturating the electron multiplication on the tail of the electron cloud (Figure 4.41c). Finally, when

2622 all the electrons have reached the anode and are relaxing, the electric field still is very deformed
 2623 by the distribution of both positive and negative ions in the the gas volume closest to the anode
 2624 (Figure 4.41d).

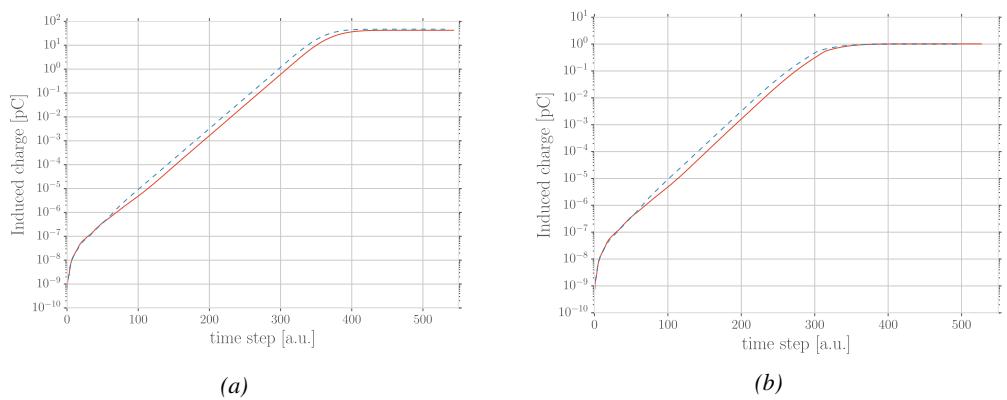


Figure 4.40: Evolution of the charge induced by an avalanche started by a single electron in a 1.2 mm thick RPC with an applied electric field of 54 kV/cm in the case space charge is not taken into account (Figure 4.40a) and in the case it is implemented into the simulation (Figure 4.40b). The total induced charge is correlated to the size of the avalanche [70].

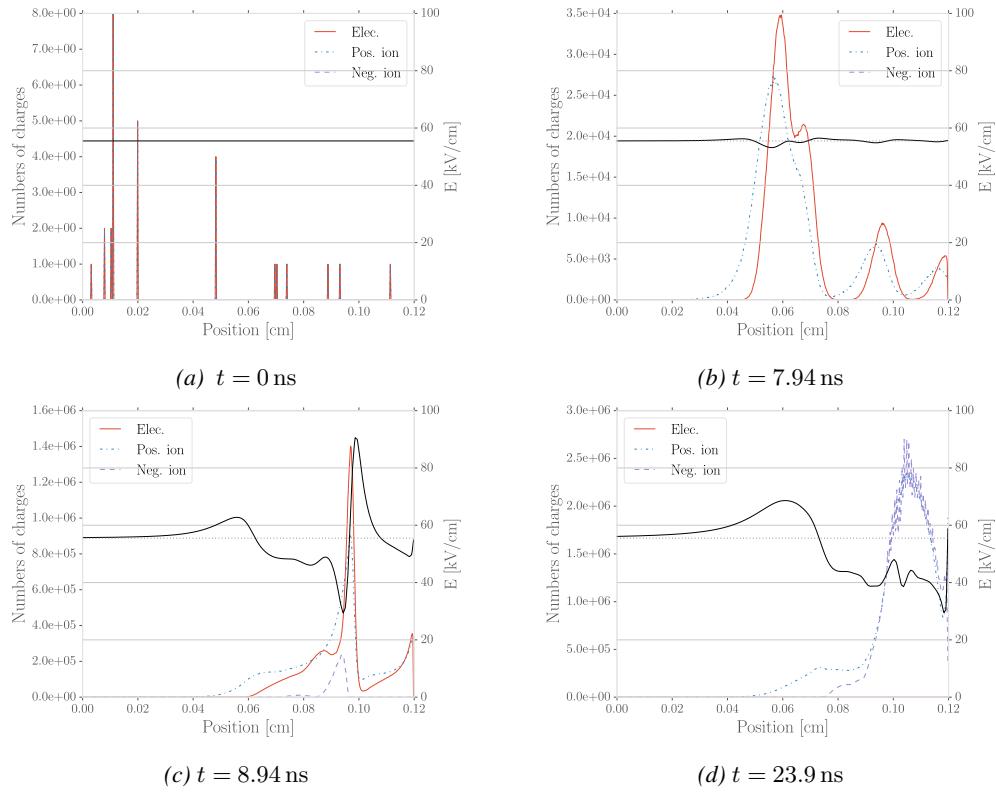


Figure 4.41: Distributions of charge carriers within the gas volume of a 1.2 mm thick RPC and the corresponding deformation of the electric field at different time steps with an applied electric field of 55.5 kV/cm [70].

2625 The electric field following the development of an avalanche can stay perturbed for a long time
 2626 with respect to the avalanche development due to the slow drift of the much heavier ions. This can
 2627 result in powerful secondary avalanches triggered by the fluctuation of the electric field together with
 2628 the emission of UV-photons leading to emission of electrons at the surface of the electrode. This is
 2629 a slow phenomenon compared to the development of avalanches. Experimentally, it is observed that
 2630 the stronger the electric field applied over the gap, the sooner after the avalanche, referred to as *pre-*
 2631 *cursor signal* in this context, and the stronger will the secondary avalanche be, possibly reaching the
 2632 streamer regime. This could be due to the amount of UV-photons emitted by the growing precursor.
 2633 These photons will be able to trigger new avalanches in a radius of a few mm around the precursor
 2634 by knocking electrons from the cathode by photoelectric effect. The strong distortions of the electric
 2635 field due to a large avalanche will be more likely to emit UV-photons as the electric field at the front
 2636 of the precursor avalanche will be large, providing the electrons with a larger energy. Eventually, the
 2637 new avalanches can grow to form streamers.

2638 4.4 Effect of atmospherical conditions on the detector's performance

2639

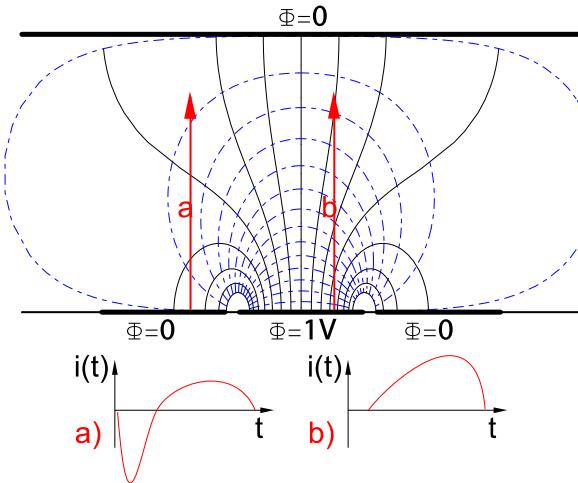


Figure 4.42: Representation of the weighting field in the volume of a RPC and the resulting induced current in the strip placed at 1 V and its neighbour connected to the ground. The induced current corresponds, as can be understood from Formula 4.22 [51].

2640 Accordingly to Ramo's theorem, the movement of charge carriers, and in particular, the movement
 2641 of a dense electron cloud toward the anode induces a current signal on one or more of the readout
 2642 electrodes (strips or pads). The ions on the other hand induce only a very small current as their
 2643 movement is much slower than which of the electrons. The current induced by n_{Cl} clusters of $N_j(t)$
 2644 charge carriers drifting at velocities $\vec{v}_{Dj}(t) = \vec{x}_j(t)$ at a time t is given by Formula 4.22 in which e_0
 2645 is the unit charge and \vec{E}_w is the weighting field.

$$(4.22) \quad i(t) = \sum_{j=1}^{n_{Cl}} \vec{E}_{wj}(\vec{x}_j(t)) \cdot \vec{v}_{Dj}(t) e_0 N_j(t)$$

2646 The weighting field, that has been schematised in Figure 4.42, corresponds to the electric field
 2647 that would be observed in the gas gap if a readout electrode is placed at a potential of 1 V while
 2648 keeping all the other electrodes grounded. Then the induced charge in the readout can be simply
 2649 obtained by integrating Formula 4.22 over the duration T of the signal, as given by Formula 4.23.

$$(4.23) \quad Q(t) = \int_0^T \sum_{j=1}^{n_{Cl}} \vec{E}_{wj}(\vec{x}_j(t)) \cdot \vec{v}_{Dj}(t) e_0 N_j(t)$$

2650 The signal induced in the readout of RPCs operated in avalanche mode is then sent into Front-
 2651 End Electronics in which they will be pre-amplified and discriminated. The discrimination and
 2652 digitization of signals in CMS FEE is described through Figure 4.43. On a first stage, analogic sig-
 2653 nals are amplified, following the curve given on Figure 4.44, and then sent to the Constant Fraction

Discriminator (CFD) described in Figure 4.45. At the end of the chain, 100 ns long pulses are sent in the LVDS output. The digital signals are both used as trigger for CMS and to evaluate the performance of the detectors. The performance will depend on the applied HV, i.e. on the electric field inside of the gas volume, but also on the threshold applied on the CFDs. Indeed, in order to reduce the probability to measure noise, the threshold is set to a level where the noise is strongly suppressed while the signals are not too affected. Typically, CMS FEEs are set to a threshold of 215 mV after pre-amplification, corresponding to an input charge of about 140 fC.

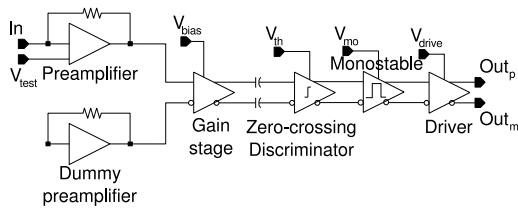


Figure 4.43: Schematics of CMS RPC FEE logic.

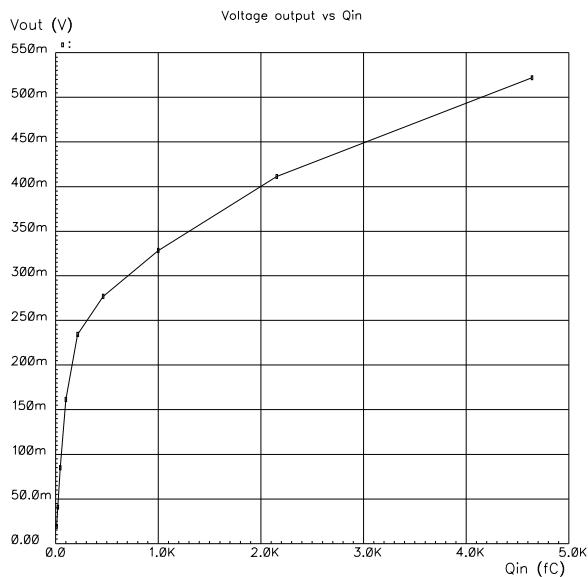


Figure 4.44: Equivalence in between the charge of the induced signal in input of the CMS FEE and the signal strength on the output of the pre-amplifier.

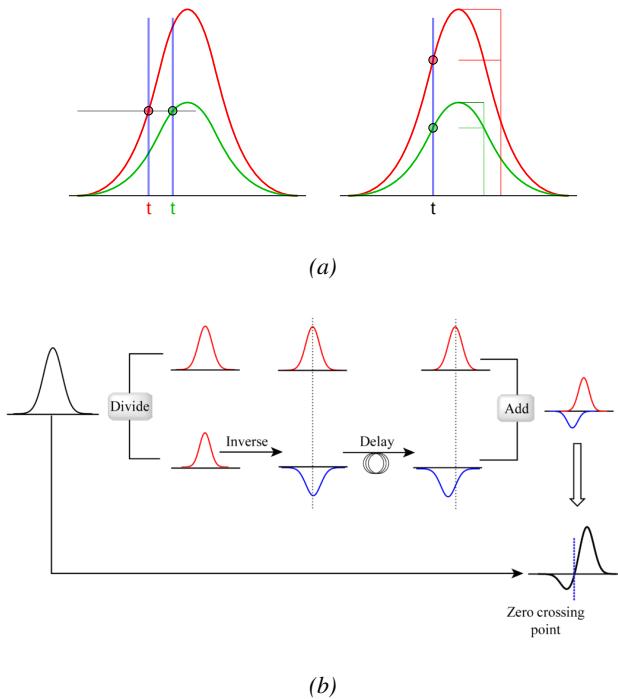


Figure 4.45: Description of the principle of a CFD. A comparison of threshold triggering (left) and constant fraction triggering (right) is shown in Figure 4.45a. Constant fraction triggering is obtained thanks to zero-crossing technique as explained in Figure 4.45b. The signal arriving at the input of the CFD is split into three components. A first one is delayed and connected to the inverting input of a first comparator. A second component is connected to the noninverting input of this first comparator. A third component is connected to the noninverting input of another comparator along with a threshold value connected to the inverting input. Finally, the output of both comparators is fed through an AND gate.

The performance of a detector is then simply measured relatively to which of a reference detector used as trigger as the ratio in between the number of events recorded in coincidence in the detector and the reference and the total amount of trigger events, $\epsilon = n_{events}/n_{triggers}$. An example of efficiency measured as a function of the effective voltage HV_{eff} is given in Figure 4.46 and can be fitted thanks to a sigmoidal function described as in Formula 4.24 and where ϵ_{max} is the maximal efficiency of the detector, λ is proportional to the slope at half maximum and HV_{50} is the value of the voltage when the efficiency reaches half of the maximum.

$$(4.24) \quad \epsilon(HV_{eff}) = \frac{\epsilon_{max}}{1 + e^{-\lambda(HV_{eff} - HV_{50})}}$$

CMS RPC also define two points on this sigmoid that called *knee* and *working point*. The corresponding voltages HV_{knee} is defined as the voltage at 95% of the maximum efficiency, and HV_{WP} is defined as in Formula 4.25.

$$(4.25) \quad HV_{WP} = HV_{knee} + \begin{cases} 100V & (\text{barrel}) \\ 150V & (\text{endcap}) \end{cases}$$

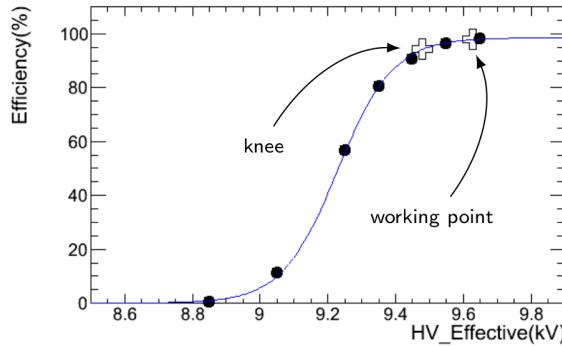


Figure 4.46: Typical efficiency sigmoid of a CMS RPC detector. The black dots correspond to the data, the blue line to the sigmoid fit and the opened cross to the knee and working extracted from the fit line.

Nevertheless, the voltage applied on a detector may vary due to a change in environmental conditions. Indeed, the variation of temperature and/or pressure will have effects on the gas density and the electrodes' resistivity which can be overcome by changing the electric field accordingly. The variation in temperature and pressure are depicted respectively in Figure 4.47 and Figure 4.48. A standard procedure to correct for temperature and pressure variations is to keep the factor $HV \cdot T/P$ constant using Formula 4.26 [84, 85] with reference values for T_0 and P_0 . For example, CMS uses $T_0 = 293.15$ K and $P_0 = 965$ hPa.

$$(4.26) \quad HV_{app} = HV_{eff} \frac{P_0}{P} \frac{T}{T_0}$$

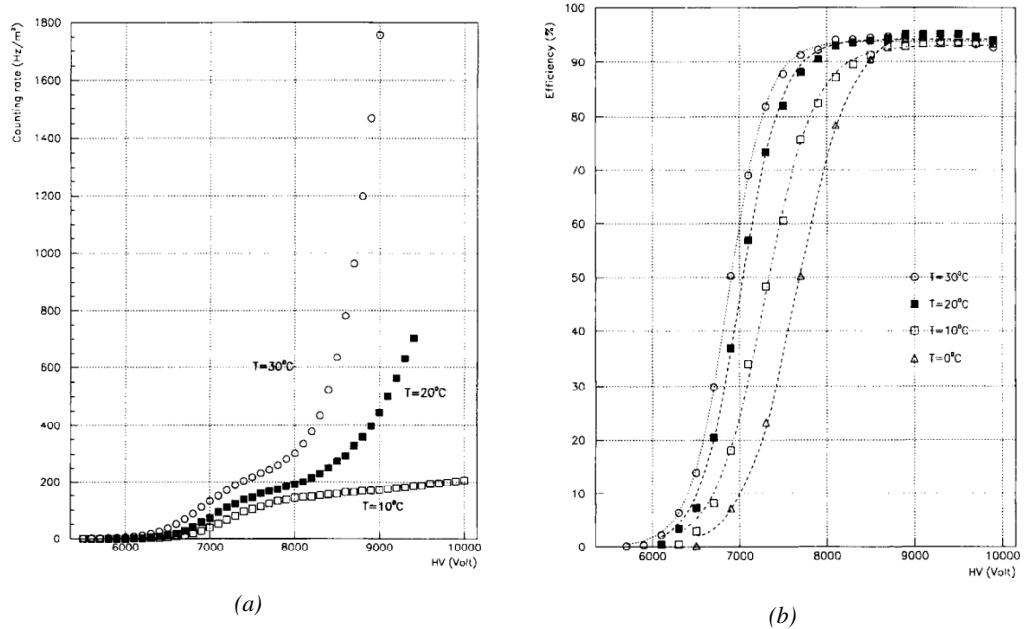


Figure 4.47: Effect of the temperature variation on the rate (Figure 4.47a) and the efficiency (Figure 4.47b) of a RPC [84].

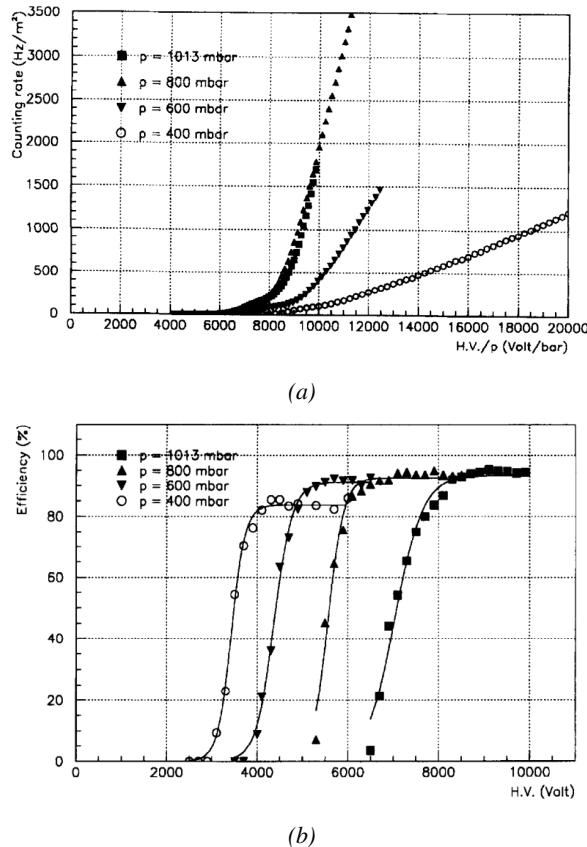


Figure 4.48: Effect of the pressure variation on the rate (Figure 4.48a) and the efficiency (Figure 4.48b) of a RPC [85].

It was actually found that such a simple procedure would overcorrect the applied voltage in the case the variations of temperature or pressure were too important [86–89]. CMS, considering that the temperature variations in the detector cavern were very small, decided only to improve the pressure correction, giving rise to Formula 4.27 [86] while on the other hand, ATLAS decided to have a more robust correction for both parameters and uses Formula 4.28 instead [89]. The coefficients α , in the case of CMS, and α, β , in the case of ATLAS, are extracted from fit on available dataset obtained during the operation of the detectors.

$$(4.27) \quad HV_{app} = HV_{eff} \left(1 - \alpha + \alpha \frac{P_0}{P} \right) \frac{T}{T_0}, \quad \alpha = 0.8$$

$$(4.28) \quad HV_{eff} = HV_{app} \left(1 + \alpha \frac{\Delta T}{T_0} \right) \left(1 - \beta \frac{\Delta P}{P_0} \right), \quad \alpha = 0.5, \beta = 0.71$$

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Longevity studies and Consolidation of the present CMS RPC subsystem

2688 The RPC system, located in both barrel and endcap regions, provides a fast, independent muon trigger
2689 with a looser p_T threshold over a large portion of the pseudo-rapidity range ($|\eta| < 1.6$). During
2690 HL-LHC operations the expected conditions in terms of background and pile-up will make the iden-
2691 tification and correct p_T assignment a challenge for the muon system. The goal of RPC upgrade is to
2692 provide additional hits to the Muon System with more precise timing. All this information will be
2693 elaborated by the Trigger System in a global way enhancing the performance of the trigger in terms
2694 of efficiency and rate control. The RPC Upgrade is based on two projects: an improved Link Board
2695 System and the extension of the RPC coverage up to $|\eta| = 2.4$.

2696 The Link Board System is responsible for the processing, the synchronization and the zero-
2697 suppression the signals coming from the RPC FEBs. The Link Board components have been pro-
2698 duced between 2006 and 2007 and will be subjected to ageing and failure on a long term scale. An
2699 upgraded Link Board System will overcome the ageing problems and will allow for a more precise
2700 timing information to the RPC hits from 25 to 1.5 ns.

2701 In order to develop an improved RPC that fulfills CMS requirements, an extensive R&D program is
2702 being conducted. The benefits of adding two new RPC layers in the innermost ring of stations 3 and
2703 4 will be mainly observed in the neutron-induced background reduction and efficiency improvement
2704 for both trigger and offline reconstruction.

2705 The coverage of the RPC System up to higher pseudo-rapidity $|\eta| = 2.1$ was part of the original
2706 CMS TDR. Nevertheless, the expected background rates being higher than the certified rate capabili-
2707 ty of the present CMS RPCs in that region and the budget being limited, RPCs were restricted to a
2708 shorter range. Even though the iRPC technology that will equip the extension of the Muon System
2709 will be different than the current CMS RPC technology, it is necessary to certify the rate capability
2710 and longevity of the existing detectors as the radiation level will increase together with the increase
2711 of instantaneous luminosity of the LHC. For this purpose, spare RPC detectors built but not installed
2712 in CMS have been installed in different irradiation facilities, first of all, to certify the detectors to the

new levels of irradiation they will be subjected to and, finally, to study their ageing and certify their good operation throughout the HL-LHC program.

5.1 Testing detectors under extreme conditions

The upgrade from LHC to HL-LHC will increase the peak luminosity from $10^{34} \text{ cm}^{-2} \text{ s}^{-1}$ to reach $5 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$, increasing in the same way the total expected background to which the RPC System will be subjected to. Mainly composed of low energy gammas, neutrons, and electrons and positrons from p - p collisions, but also of low momentum primary and secondary muons, punch-through hadrons from calorimeters, and particles produced in the interaction of the beams with collimators, the background will mostly affect the regions of CMS that are the closest to the beam line, i.e. the RPC detectors located in the endcaps.

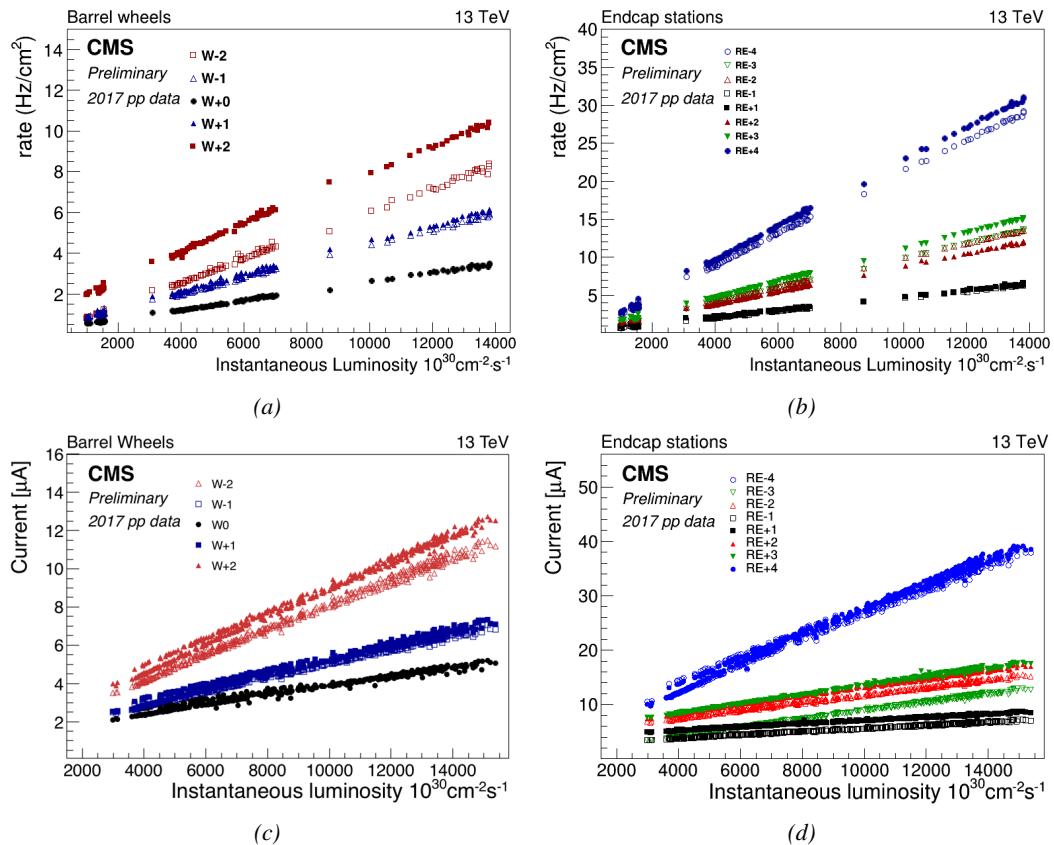


Figure 5.1: Mean RPC Barrel (left column) and Endcap (right column) rate (top row) and current (bottom row) as a function of the instantaneous luminosity as measured in 2017 p - p collision data.

Data collected over 2017, presented through Figure 5.1, allows to study the values of the background rate in all the RPC System. This was achieved thanks to a monitoring of the rates in each RPC rolls, where rolls correspond to the pseudo-rapidity partitioning of the readout electronics, and of the current in each HV channel. A linear dependence is between the mean rate or current with

instantaneous luminosity is showed in selected runs with identical LHC running parameters. In Figure 5.2, a linear extrapolation of the distribution of the background hit rate per unit area as well as the integrated charge is showed at a HL-LHC condition. The maximum rate per unit area in the endcap detectors at HL-LHC conditions is expected to be of the order of 600 Hz/cm^2 while the charge deposition should exceed 800 mC/cm^2 . The detectors will then be certified up to an irradiation of 840 mC/cm^2 . These extrapolations are provided with a required safety factor 3 for the certification study.

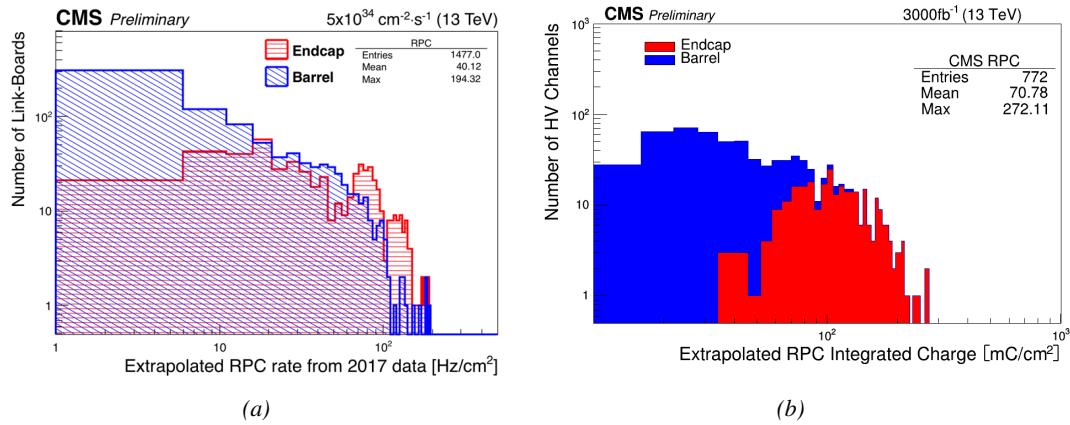


Figure 5.2: Figure 5.2a: The hit rate per region (Barrel, Endcap) is linearly extrapolated to HL-LHC highest instantaneous luminosity ($5 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$) using the rate as a function of instantaneous luminosity recorded by RPCs in 2017 showing a linear dependence. Figure 5.2b: The integrated charge per region (Barrel, Endcap) is extrapolated to HL-LHC integrated luminosity (3000 fb^{-1}) using the data accumulated in 2016 in every HV channels.

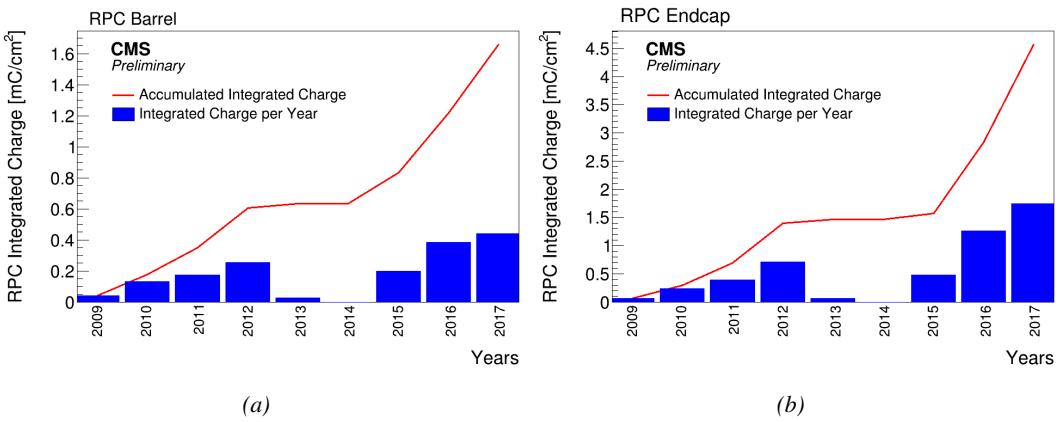


Figure 5.3: CMS RPC mean integrated charge in the Barrel region (Figure 5.3a) and the Endcap region (Figure 5.3b). The integrated charge per year is shown in blue. The red curve shows the evolution of the accumulated integrated charge through time. The blank period in 2013 and 2014 corresponds to LS1. The total integrated charge for the entire operation period (Oct.2009 - Dec.2017) is estimated to be about $1.66 \text{ mC}/\text{cm}^2$ in the Barrel and $4.58 \text{ mC}/\text{cm}^2$ in the Endcap.

In the past, extensive long-term tests were carried out at several gamma and neutron facilities

certifying the detector performance. Both full size and small prototype RPCs have been irradiated with photons up to an integrated charge of $\sim 0.05 \text{ C/cm}^2$ and $\sim 0.4 \text{ C/cm}^2$ respectively and were certified for rates reaching 200 Hz/cm^2 [90, 91]. Since the beginning of Run-I until December 2017, the RPC system provided stable operation and excellent performance and did not show any ageing effects for a maximum integrated charge in a detector of the order of 0.01 C/cm^2 - the average being of the order of 2 mC/cm^2 in the Barrel and 5 mC/cm^2 in the Endcap, closer to the beam line, as can be seen from Figure 5.3 - and a peak luminosity reaching $1.4 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$ during 2017 data taking period.

To perform the necessary studies on the present CMS RPC detectors, facilities offering the possibility to irradiate the chambers are necessary in order to recreate HL-LHC conditions or stronger and study their performance through time. Such facilities exist at CERN and were exploited to conduct this study. A first series of preliminary studies were conducted in the former gamma facility of CERN (GIF) before its dismantlement. This preliminary study was used as a stepping stone towards the building of a more powerful irradiation fully dedicated to longevity studies of CMS and ATLAS subsystems in the perspective of HL-LHC. The period of preliminary work has also been a key moment in the elaboration and improvement of data acquisition, offline analysis and online monitoring tools that are extensively used in the new gamma irradiation facility.

5.1.1 GIF

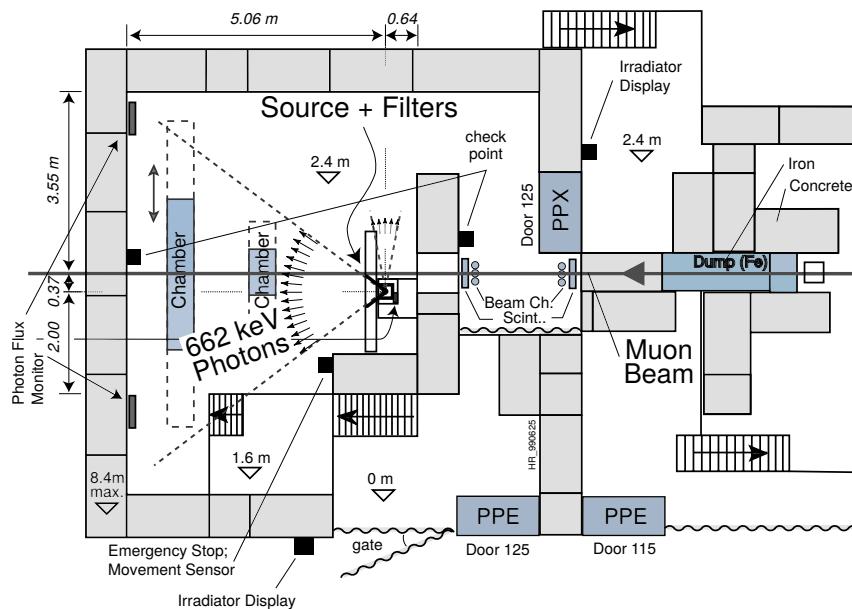


Figure 5.4: Layout of the test beam zone called X5c GIF at CERN. Photons from the radioactive source produce a sustained high rate of random hits over the whole area. The zone is surrounded by 8 m high and 80 cm thick concrete walls. Access is possible through three entry points. Two access doors for personnel and one large gate for material. A crane allows installation of heavy equipment in the area.

Located in the SPS West Area at the downstream end of the X5 test beam, the Gamma Irradiation Facility (GIF) was a test area in which particle detectors were exposed to a particle beam in presence of an adjustable gamma background [92]. Its goal was to reproduce background conditions these

detectors would suffer in their operating environment at LHC. GIF layout is showed in Figure 5.4. Gamma photons are produced by a strong ^{137}Cs source installed in the upstream part of the zone inside a lead container. The source container includes a collimator, designed to irradiate a $6 \times 6 \text{ m}^2$ area at 5 m maximum to the source. A thin lens-shaped lead filter helps providing with a uniform out-coming flux in a vertical plane, orthogonal to the beam direction. The photon rate is controlled by further lead filters allowing the maximum rate to be limited and to vary within a range of four orders of magnitude. Particle detectors under test are then placed within the pyramidal volume in front of the source, perpendicularly to the beam line in order to profit from the homogeneous photon flux. Adjusting the background flux of photons can then be done by using the filters and choosing the position of the detectors with respect to the source.

As described on Figure 5.5, the ^{137}Cs source emits a 662 keV photon in 85% of the decays. An activity of 740 GBq was measured on the 5th of March 1997. To estimate the strength of the flux in 2014, was considered the nuclear decay through time associated to the Cesium source whose half-life is well known ($t_{1/2} = (30.05 \pm 0.08) \text{ y}$). The GIF tests were done in between the 20th and the 31st of August 2014, i.e. at a time $t = (17.47 \pm 0.02) \text{ y}$ resulting in an attenuation of the activity from 740 GBq in 1997 to 494 GBq in 2014.

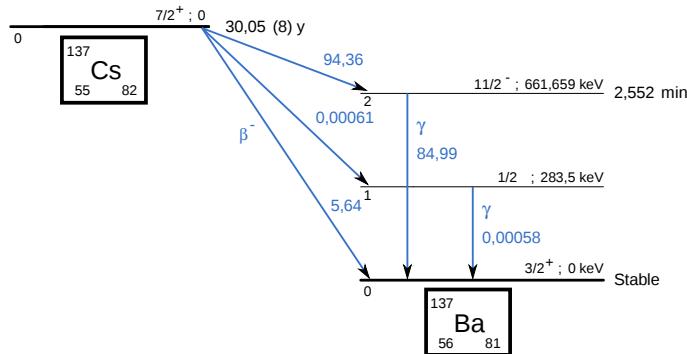


Figure 5.5: ^{137}Cs decays by β^- emission to the ground state of ^{137}Ba ($\text{BR} = 5.64\%$) and via the 662 keV isomeric level of ^{137}Ba ($\text{BR} = 94.36\%$) whose half-life is 2.55 min.

5.1.2 GIF++

The new Gamma Irradiation Facility (GIF++), located in the SPS North Area at the downstream end of the H4 test beam, has replaced its predecessor during LS1 and has been operational since spring 2015 [93]. Like GIF, GIF++ features a ^{137}Cs source of 662 keV gamma photons, their fluence being controlled with a set of filters of various attenuation factors. The source provides two separated large irradiation areas for testing several full-size muon detectors with homogeneous irradiation, as presented in Figure 5.6.

The source activity was measured to be about 13.5 TBq in March 2016. The photon flux being far greater than HL-LHC expectations, GIF++ provides an excellent facility for accelerated ageing tests of muon detectors. The source is situated in a bunker designed to perform irradiation test along a muon beam line, the muon beam being available during selected periods throughout the year. The H4 beam, providing the area with muons with a maximum momentum of about 150 GeV/c, passes through the GIF++ zone and is used to periodically study the performance of the detectors placed under long term irradiation. Its flux is of $104 \text{ particles/s/cm}^2$ focused in an area similar to

2786 $10 \times 10 \text{ cm}^2$. Therefore, with properly adjusted filters, one can simulate the background expected at
 2787 HL-LHC and study the performance and ageing of muon detectors in HL-LHC environment.

2788

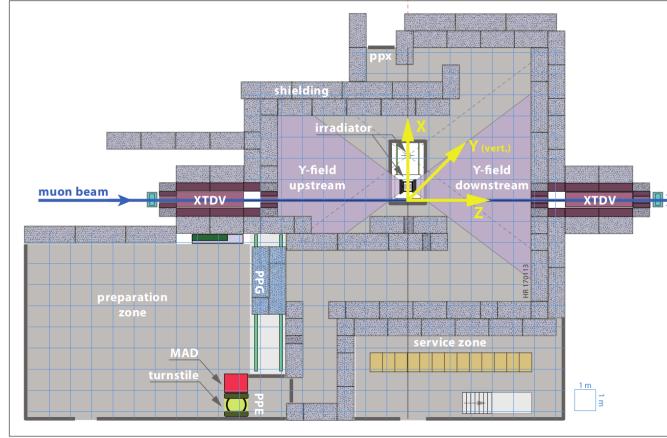


Figure 5.6: Floor plan of the *GIF++* facility. When the facility downstream of the *GIF++* takes electron beam, a beam pipe is installed along the beam line (z -axis). The irradiator can be displaced laterally (its center moves from $x = 0.65 \text{ m}$ to 2.15 m), to increase the distance to the beam pipe.

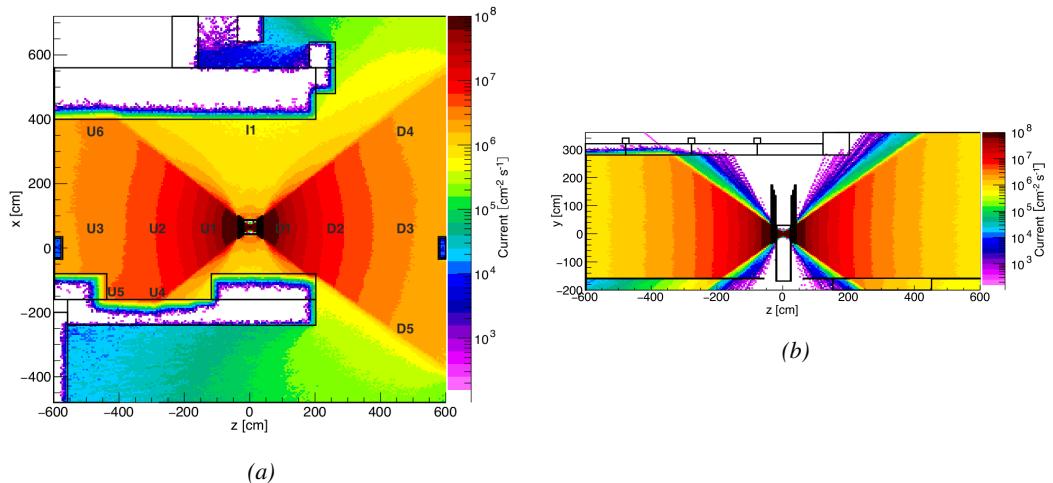


Figure 5.7: Simulated unattenuated current of photons in the xz plane (Figure 5.7a) and yz plane (Figure 5.7b) through the source at $x = 0.65 \text{ m}$ and $y = 0 \text{ m}$ [94]. With angular correction filters, the current of 662 keV photons is made uniform in xy planes.

2789 The gamma current as simulated with GEANT4 is presented in Figure 5.7 in which the labels
 2790 UN , DN , with $N \in [1 : 5]$ and $I1$ correspond to the position of different Radiation Monitoring
 2791 (RADMON) sensors dedicated to measuring the irradiation in the bunker area [94]. According to
 2792 the simulation results, that agree within 12% to the doses measured with the RADMONs, the RPCs
 2793 that will be tested in *GIF++* can expect a maximal gamma current of the order of 2 to 5×10^6

2794 $\text{cm}^{-2} \text{s}^{-1}$ assuming they will always stay in a region in between sensor U5 and the back wall of the
 2795 upstream area.

2796 5.2 Preliminary studies at GIF

2797 5.2.1 RPC test setup

2798 During summer 2014, preliminary tests have been conducted in the GIF area on an endcap chamber
 2799 of type RE4/2 and labeled RE-4-2-BARC-161 produced for the extension of the endcap with a
 2800 fourth disk in 2013. This chamber has been placed into a trolley covered with a tent. The position
 2801 of the RPC inside the tent and of the tent with respect to the source in the bunker are described in
 2802 Figure 5.8. The goal of the study were to have a preliminary understanding of the rate capability
 2803 of the present technology used in CMS. It was decided to measure the efficiency of the RPC under
 2804 irradiation at detecting cosmic muons as, at the time of the tests, the beam not operational anymore.
 2805 Three different absorber settings were used and compared to the case where the detector was not ir-
 2806 radiated in order to study the evolution of the performance of the detector with increasing exposition
 2807 to gamma radiation. First of all, measurements were done with fully opened source. To complete
 2808 this preliminary study, the gamma flux has been attenuated by a factor 2, a factor 5 and finally the
 2809 source was shut down. Was measured the efficiency of the RPC at detecting the cosmic muons in
 2810 coincidence with a cosmic trigger as well as the background rate as seen by the detectors.

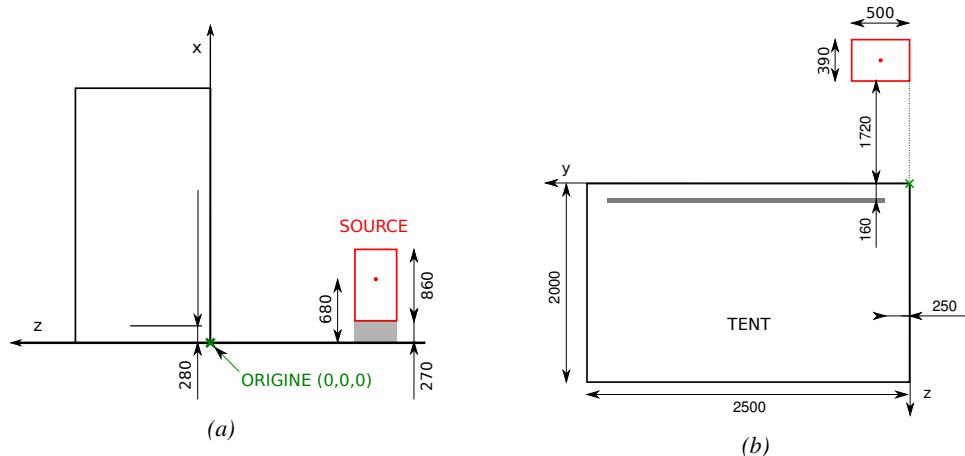


Figure 5.8: Description of the RPC setup. Dimensions are given in mm. A tent containing RPCs is placed at 1720 mm from the source container. The source is situated in the center of the container. RE-4-2-BARC-161 chamber is 160 mm inside the tent. This way, the distance between the source and the chambers plan is 2060 mm. Figure 5.8a provides a side view of the setup in the xz plane while Figure 5.8b shows a top view in the yz plane.

2811 The trigger system was composed of 2 plastic scintillators and was placed in front of the setup
 2812 with an inclination of 10° with respect to the detector plane in order to look at cosmic muons. Using
 2813 this particular trigger layout, showed in Figure 5.9, leads to a cosmic muon hit distribution into the
 2814 chamber similar to the one of Figure 5.10. Measured without gamma irradiation, two peaks can
 2815 be seen on the profile of readout partition B, centered on strips 52 and 59. Section 5.2.2 will help
 2816 us understand that these two peaks are due respectively to forward and backward coming cosmic

²⁸¹⁷ particles where forward coming particles are first detected by the scintillators and then the RPC
²⁸¹⁸ while the backward coming muons are first detected in the RPC.



Figure 5.9: RE-4-2-BARC-161 chamber is inside the tent as described in Figure 5.8. In the top right, the two scintillators used as trigger can be seen. This trigger system has an inclination of 10° relative to horizontal and is placed above half-partition B2 of the RPCs. PMT electronics are shielded thanks to lead blocks placed in order to protect them without stopping photons from going through the scintillators and the chamber.

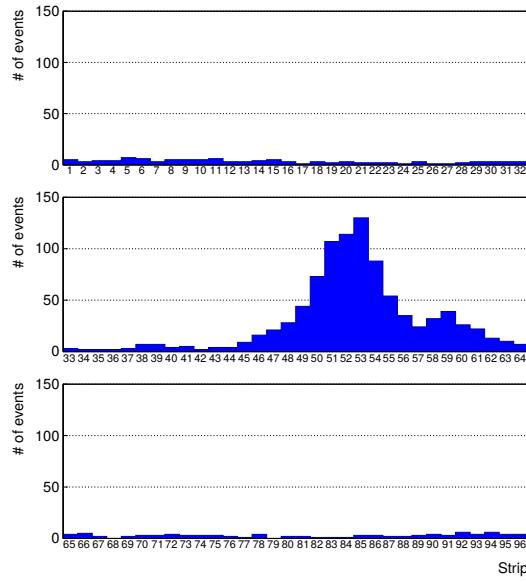


Figure 5.10: Hit distributions over all 3 partitions of RE-4-2-BARC-161 chamber is showed. Top, middle and bottom figures respectively correspond to partitions A, B, and C. The profiles show that some events still occur in other half-partitions than B2, which corresponds to strips 49 to 64, in front of which the trigger is placed, contributing to the inefficiency of detection of cosmic muons. In the case of partitions A and C, the very low amount of data can be interpreted as noise. On the other hand, it is clear that a little portion of muons reach the half-partition B1, corresponding to strips 33 to 48.

2819 The data taking is then performed thanks to a CAEN TDC module of type V1190A [95] to which
 2820 is connected the digitized output of the RPC Front-End Board, as described in Figure 5.11a and the
 2821 trigger signal from the telescope. The communication with the computer is performed thanks to a
 2822 CAEN communication module of type V1718 [96]. In order to control the rates recorded by the
 2823 detector, the digitized RPC signals are also sent to scalers as described in Figure 5.11b. The C++
 2824 DAQ software used in GIF was developed as an early attempt towards the understanding of the CAEN
 2825 libraries and the data collected by the TDCs was saved into .dat files is analysed with an algorithm
 2826 computing parameters such as efficiency, hit profile, cluster size, or gamma and noise rates which
 2827 was developed with C++ as well. Finally, histograms and curves are produced using ROOT.

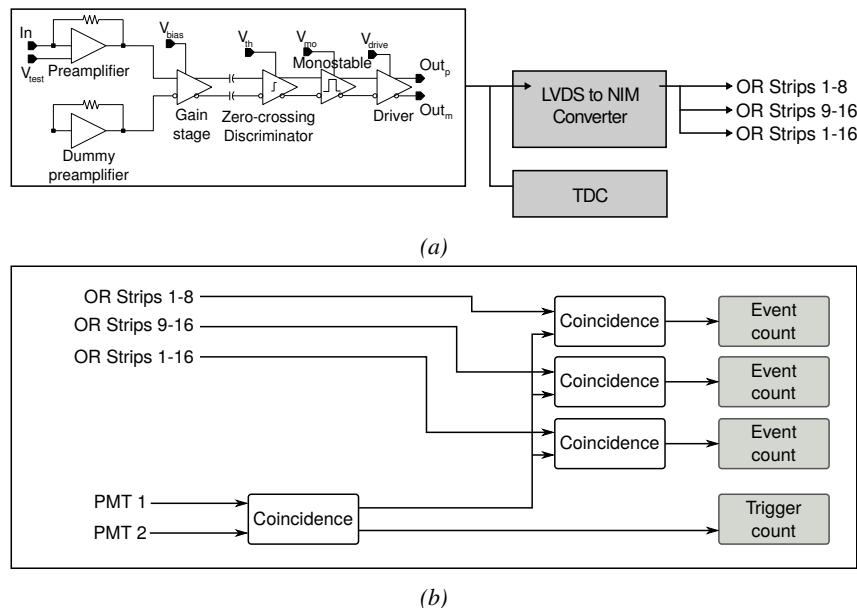


Figure 5.11: Signals from the RPC strips are shaped by the FEE described on Figure 5.11a. Output LVDS signals are then read-out by a TDC module connected to a computer or converted into NIM and sent to scalers. Figure 5.11b describes how these converted signals are put in coincidence with the trigger.

2828 5.2.2 Geometrical acceptance of the setup layout to cosmic muons

2829 In order to profit from a constant gamma irradiation, the detectors inside of the GIF bunker need
 2830 to be placed in a plane orthogonal to the beam line. The muon beam that used to be available was
 2831 meant to test the performance of detectors under test. This beam not being active anymore, an other
 2832 solution to test detector performance had to be used. Thus, it has been decided to use cosmic muons
 2833 detected through a telescope composed of two scintillators. Lead blocks were used as shielding to
 2834 protect the photomultipliers from gammas as can be seen from Figure 5.9.

2835 An inclination of $\sim 10^\circ$ has been given to the cosmic telescope to maximize the muon flux. A
 2836 good compromise had to be found between good enough muon flux and narrow enough hit distribution
 2837 to be sure to contain all the events into only one half partitions as required from the limited
 2838 available readout hardware. It was then foreseen to detect muons and read them out only from
 2839 half-partition B2, the last 16 channels of readout partition B (i.e. strips 49 to 64). Nevertheless,
 2840 a consequence of the misplaced trigger, that can be seen as a loss of events in half-partition B1

(strips 33 to 48) in Figure 5.10, is an inefficiency. The observed inefficiency of approximately 20% highlighted in Figure 5.12 by comparing the performance of chamber RE-4-2-BARC-161 as measured prior to the study at GIF and at GIF without irradiation seems too important, compared to the 12.7% of data contained into the first 16 strips observed on Figure 5.10, to only be explained by the geometrical acceptance of the setup itself. Simulations have been conducted to show how the setup brings inefficiency.

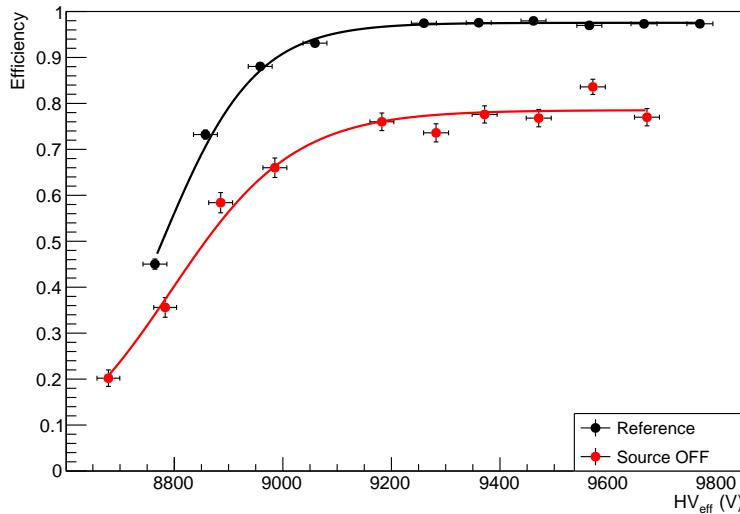


Figure 5.12: Results are derived from data taken on half-partition B2 only. On the 18th of June 2014, data has been taken on chamber RE-4-2-BARC-161 at CERN building 904 (Prevessin Site) with cosmic muons providing us a reference efficiency plateau of $(97.54 \pm 0.15)\%$ represented by a black curve. A similar measurement has been done at GIF on the 21st of July with the same chamber giving a plateau of $(78.52 \pm 0.94)\%$ represented by a red curve.

5.2.2.1 Description of the simulation layout

The layout of GIF setup has been reproduced, only roughly using Figure 5.9 due to the lack of measures, and incorporated into a C++ Monte Carlo (MC) simulation to study the geometrical acceptance of the telescope projected onto the readout strips [97]. A 3D view of the simulated layout is given into Figure 5.13. Muons are generated randomly in a horizontal plane located at a height corresponding to the lowest point of the PMTs. This way, the needed size of the plane in order to simulate events happening at very large azimuthal angles (i.e. $\theta \approx \pi$) can be kept relatively small while the total number of muon tracks to propagate is kept relatively small. The muon flux is designed to follow the usual $\cos^2\theta$ distribution for cosmic particles. The goal of the simulation is to look at muons that pass through the telescope composed of the two scintillators and define their distribution onto the RPC read-out plane. During the reconstruction, the read-out plane is then divided into read-out strips and each muon track is assigned to a strip.

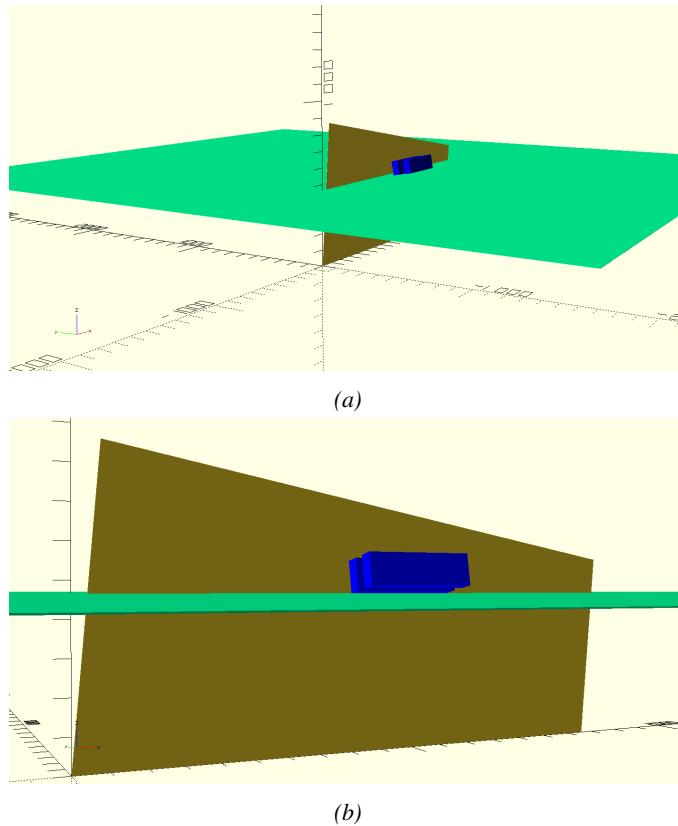


Figure 5.13: Representation of the layout used for the simulations of the test setup. The RPC read-out plane is represented as a yellow trapezoid while the two scintillators as blue cuboids looking at the sky. The green plane corresponds to the muon generation plane within the simulation. Figure 5.8a shows a global view of the simulated setup. Figure 5.8b shows a zoomed view that allows to see the 2 scintillators as well as the full RPC plane.

2859 5.2.2.2 Simulation procedure

2860 $N_\mu = 10^8$ muons are randomly generated inside the muon plane with an azimuthal angle θ chosen
 2861 to follow a $\cos^2\theta$ distribution. Infinite planes are associated to each surface of the scintillators.
 2862 Knowing the muon position into the muon generation plane and its direction allows, by assuming
 2863 that muons travel in a straight line, to compute the intersection of the muon track with these planes.
 2864 Applying conditions to the limits on the contours of the scintillators' faces then gives an answer to
 2865 whether or not the muon passed through the scintillators. In the case the muon was not *detected* into
 2866 both scintillators, the simulation discards the muon and generates a new one.

2867 On the contrary, if the muon is labeled as good, its position within the RPC read-out plane
 2868 is computed and the corresponding strip, determined through geometrical tests, gets a *hit*. Muon
 2869 hits fill different histograms whether they are associated to forward or backward coming muons.
 2870 A discrimination is performed according to their direction components. An (x, y, z) position into
 2871 the generation plane as well as a $(\theta; \phi)$ pair are associated to each generated muon providing with
 2872 information on the direction the track follows. This way, muons satisfying the condition $0 \leq \phi < \pi$
 2873 are labeled as *backward* coming muons while muons satisfying $\pi \leq \phi < 2\pi$ as *forward* coming

2874 muons.

2875 **5.2.2.3 Results and limitations**

2876 The output from the simulation is given in Figure 5.14 in which the distribution is showed for all
 2877 muons but also for the separate contributions of forward and backward coming muons. The strip
 2878 number is here given in a range of 1 to 32 corresponding to the 32 strips contained in each RPC
 2879 read-out partition, without taking into account the fact that partition B of an RPC correponds, by
 2880 convention, to strips 33 to 64. Comparing the number of muons recorded respectively in the first 16
 2881 strips and the in all of the 32 strips of the RPC read-out panel, it can be established than, out of the
 2882 total amount of muons that have passed through the telescope and reached the RPC, 16.8% where to
 2883 be detected in the 16 first strip of the read-out plane corresponding to half partition B1. This brings
 2884 a geometrical inefficiency of the same amount that can then be used to correct the data by scaling up
 2885 by a factor $c_{geo} = 1/(1 - 0.168)$ the maximum efficiency measured during data taking.

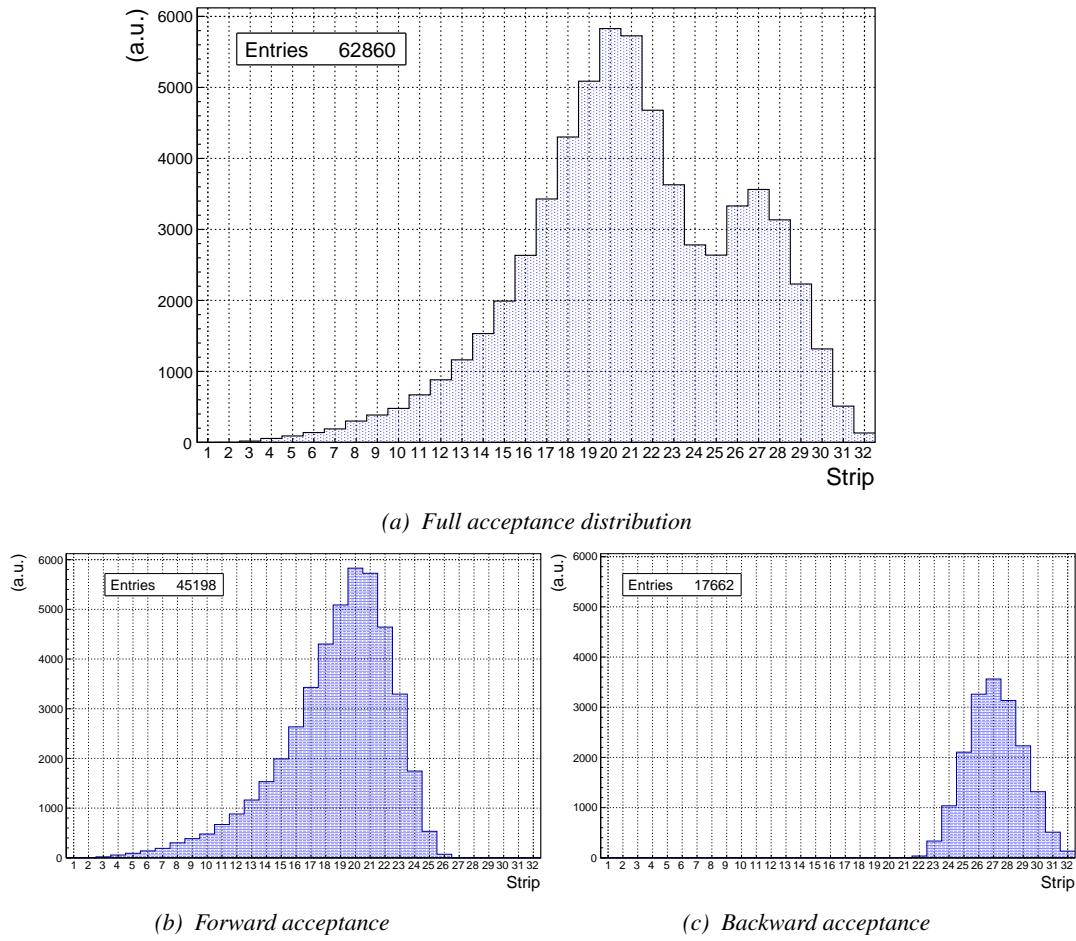


Figure 5.14: Geometrical acceptance distribution as provided by the Monte Carlo simulation.

2886 Nevertheless, it is difficult to evaluate a systematical uncertainty on this geometrical correction

for different reasons. First of all, even though the dimensions of the scintillators and of the RPC are well known, the position of each element of the setup with respect to one another was not measured. It was then necessary, using known dimensions, to extract the positions of each element from Figure 5.9 with unknown uncertainty. The inclination is also roughly measured to be 10° and even if the position of each peak, distant in the simulation of 7 strips, tends to confirm this assumption, the geometrical inefficiency would be affected by a variation of the inclination angle. Introducing in the simulation an error of $\pm 2^\circ$ would lead to a correction factor $c_{geo} = 1.20^{+0.04}_{-0.03}$ that allows for a good improvement of the efficiency measured in GIF, as can be seen from Figure 5.15. GIF measurement is in agreement with the reference curve within statistical errors.

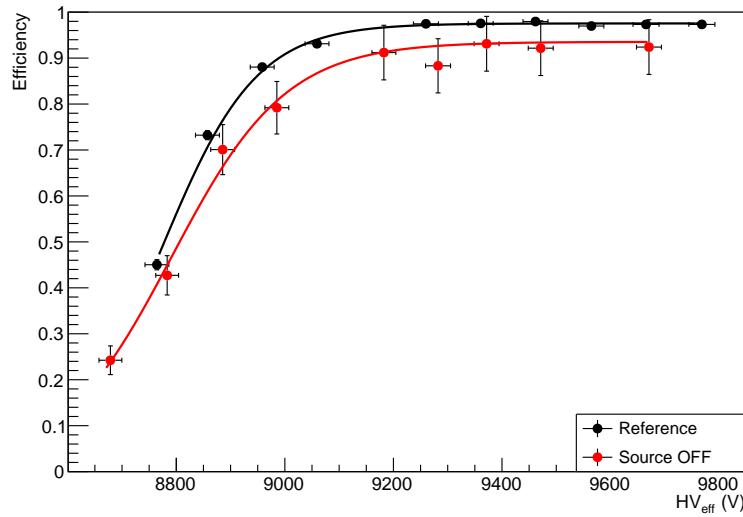


Figure 5.15: Correction of the efficiency without source. The efficiency after correction gets much closer to the Reference measurement performed before the study in GIF by reaching a plateau of $(93.52 \pm 2.64)\%$.

Further corrections could be also be brought as it can easily be understood that the distribution showed through Figure 5.14a differs from the measured hit profile showed in Figure 5.10. The contributions of forward and backward muon indicate that 28.1% of the total geometrical acceptance should contribute to detecting backward muons whereas it is measured that the hit profile contains 22.0% of backward data only. This estimation of the backward versus forward content in the data was done through a fit using a sum of two skew distribution, one acting on the forward muon peak while the other acts on the backward muon fit, as showed in Figure 5.16. Although a skew distribution lacks physical interpretation, it allows to easily fit such kind of data. A description of a skew distribution, as the product of a gaussian and a sigmoid (Formula 5.1), is given through Formula 5.2.

$$(5.1) \quad g(x) = A_g e^{\frac{-(x-\bar{x})^2}{2\sigma^2}}, \quad s(x) = \frac{A_s}{1 + e^{-\lambda(x-x_i)}}$$

$$(5.2) \quad sk(x) = g(x) \times s(x) = A_{sk} \frac{e^{\frac{-(x-\bar{x})^2}{2\sigma^2}}}{1 + e^{-\lambda(x-x_i)}}$$

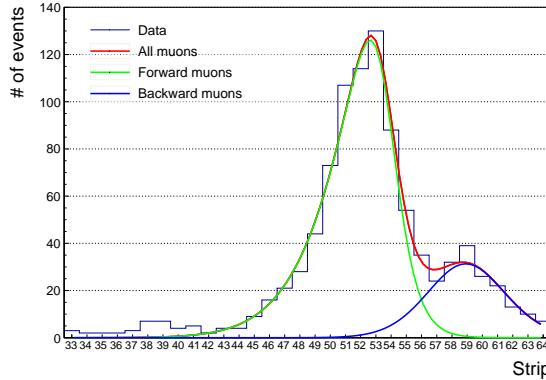


Figure 5.16: Hit distributions over read-out partition B of RE-4-2-BARC-161 chamber together with skew distribution fits corresponding to forward and backward coming muons.

From the obvious difference in between geometrical simulation and data, it is necessary to realize that the geometrical acceptance and the hit profile are two distinct information. When the geometrical acceptance only provides with the information about what the detector can expect to see in a perfect world where all muons are detected in the exact same way independently from their energy, angle of incidence, fluctuation of the detector gain due to complexe avalanche development, thresholds applied on the scintillators and on the RPC FEEs to reduce the noise, the cross-talk and corresponding spread of the induced charge observed on the read-out strips, the hit profile provides the final product of all the previously mentioned contributions and can greatly differ from purely geometrical considerations. A full physics analysis involving softwares such as GEANT would be required to further refine the correction on the measured efficiency at GIF.

5.2.3 Photon flux at GIF

In order to understand and evaluate the γ flux in the GIF area, simulations had been conducted at the time GIF was opened for research purposes [92]. Table 5.1 presented in this article gives the γ flux for different distances D to the source. The simulation was done using GEANT and a Monte Carlo N-Particle (MCNP) transport code, and the flux F is given with the estimated error from these packages expressed in %.

Nominal ABS	Photon flux F [$\text{cm}^{-2} \text{s}^{-1}$]			
	at $D = 50 \text{ cm}$	at $D = 155 \text{ cm}$	at $D = 300 \text{ cm}$	at $D = 400 \text{ cm}$
1	$0.12 \times 10^8 \pm 0.2\%$	$0.14 \times 10^7 \pm 0.5\%$	$0.45 \times 10^6 \pm 0.5\%$	$0.28 \times 10^6 \pm 0.5\%$
2	$0.68 \times 10^7 \pm 0.3\%$	$0.80 \times 10^6 \pm 0.8\%$	$0.25 \times 10^6 \pm 0.8\%$	$0.16 \times 10^6 \pm 0.6\%$
5	$0.31 \times 10^7 \pm 0.4\%$	$0.36 \times 10^6 \pm 1.2\%$	$0.11 \times 10^6 \pm 1.2\%$	$0.70 \times 10^5 \pm 0.9\%$

Table 5.1: Total photon flux ($E\gamma \leq 662 \text{ keV}$) with statistical error predicted considering a ^{137}Cs activity of 740 GBq at different values of the distance D to the source along the x-axis of irradiation field [92].

The simulation does not provide with an estimated flux at the level of the RPC under test. First of all, it is necessary to extract the value of the flux from the available data contained in the original paper and then to estimate the flux in 2014 at the time the experimentation took place. In the case of a pointlike source emitting isotropic and homogeneous gamma radiations, the gamma flux F at a

2925 distance D from the source with respect to a reference point situated at D_0 where a known flux F_0
 2926 is measured will be expressed like in Formula 5.3, assuming that the flux decreases as $1/D^2$, where
 2927 c is a fitting factor that can be written from Formula 5.3 as Formula 5.4. Finally, using Equation 5.4
 2928 and the data of Table 5.1, with $D_0 = 50$ cm as reference point, Table 5.2 can be built. It is interesting
 2929 to note that c for each value of D doesn't depend on the absorption factor.

$$(5.3) \quad F^{ABS} = F_0^{ABS} \times \left(\frac{cD_0}{D} \right)^2$$

$$(5.4) \quad c = \frac{D}{D_0} \sqrt{\frac{F^{ABS}}{F_0^{ABS}}} , \quad \Delta c = \frac{c}{2} \left(\frac{\Delta F^{ABS}}{F^{ABS}} + \frac{\Delta F_0^{ABS}}{F_0^{ABS}} \right)$$

Nominal ABS	Correction factor c		
	at $D = 155$ cm	at $D = 300$ cm	at $D = 400$ cm
1	$1.059 \pm 0.70\%$	$1.162 \pm 0.70\%$	$1.222 \pm 0.70\%$
2	$1.063 \pm 1.10\%$	$1.150 \pm 1.10\%$	$1.227 \pm 0.90\%$
5	$1.056 \pm 1.60\%$	$1.130 \pm 1.60\%$	$1.202 \pm 1.30\%$

Table 5.2: Correction factor c is computed thanks to Formula 5.4 taking as reference $D_0 = 50$ cm and the associated flux F_0^{ABS} for each absorption factor available in table 5.1.

2930 For the range of D/D_0 values available, it is possible to use a simple linear fit to get the evolution
 2931 of c that can be expressed as $c(D/D_0) = aD/D_0 + b$. Using Formula 5.5, but neglecting the
 2932 uncertainty on D that will only be used when extrapolating the values for the position of the RPC
 2933 under test whose position is not perfectly known, the results showed in Figure 5.17 is obtained.
 2934 Figure 5.17b confirms that using only a linear fit to extract c is enough as the evolution of the rate
 2935 that can be obtained superimposes well on the simulation points.

$$(5.5) \quad F^{ABS} = F_0^{ABS} \left(a + \frac{bD_0}{D} \right)^2 , \quad \Delta F^{ABS} = F^{ABS} \left[\frac{\Delta F_0^{ABS}}{F_0^{ABS}} + 2 \frac{\Delta a + \Delta b \frac{D_0}{D} + \Delta D \frac{bD_0}{D^2}}{a + \frac{bD_0}{D}} \right]$$

2936 In the context of the 2014 GIF tests, the RPC read-out plane is located at a distance $D = 206$ cm
 2937 from the source. Moreover, to estimate the strength of the flux in 2014 it is necessary to consider the
 2938 nuclear decay through time assiciated to the Cesium source whose half-life is well known ($t_{1/2} =$
 2939 (30.05 ± 0.08) y). The very first source activity measurement has been done on the 5th of March
 2940 1997 while the GIF tests where done in between the 20th and the 31th of August 2014, i.e. at a time
 2941 $t = (17.47 \pm 0.02)$ y resulting in an attenuation of the activity from 740 GBq in 1997 to 494 GBq in
 2942 2014. All the needed information to extrapolate the expected flux through the detector at the moment
 2943 of GIF preliminary tests has now been assembled, leading to Table 5.3. By assuming a sensitivity of
 2944 the RPC to γ of 2×10^{-3} , the order of magnitude of the expected hit rate per unit area would be of
 2945 the order of the kHz for the fully opened source, as reported in the last column of the table.

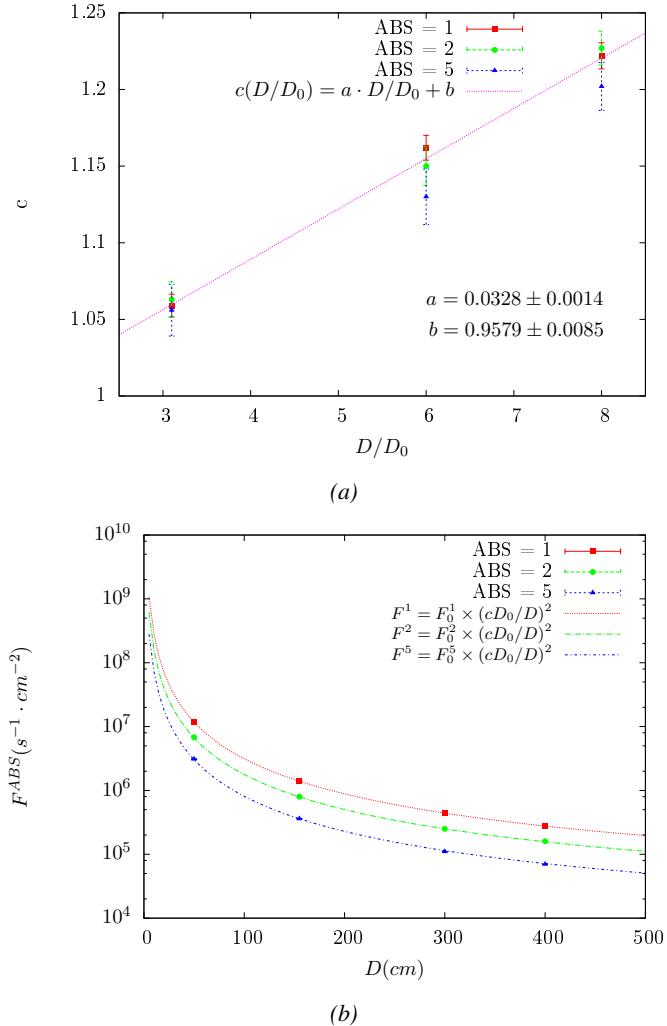


Figure 5.17: Figure 5.17a shows the linear approximation fit performed on data extracted from table 5.2. Figure 5.17b shows a comparison of Formula 5.5 with the simulated flux using a and b given in figure 5.17a in formulae 5.3 and the reference value $D_0 = 50$ cm and the associated flux for each absorption factor F_0^{ABS} from table 5.1

Nominal ABS	Photon flux F [$cm^{-2} s^{-1}$]			Rate [Hz/cm^2] at $D^{2014} = 206$ cm
	at $D_0^{97} = 50$ cm	at $D^{97} = 206$ cm	at $D^{2014} = 206$ cm	
1	$0.12 \times 10^8 \pm 0.2\%$	$0.84 \times 10^6 \pm 1.2\%$	$0.56 \times 10^6 \pm 1.2\%$	1129 ± 14
2	$0.68 \times 10^7 \pm 0.3\%$	$0.48 \times 10^6 \pm 1.2\%$	$0.32 \times 10^6 \pm 1.2\%$	640 ± 8
5	$0.31 \times 10^7 \pm 0.4\%$	$0.22 \times 10^6 \pm 1.2\%$	$0.15 \times 10^6 \pm 1.2\%$	292 ± 4

Table 5.3: The data at D_0 in 1997 is taken from [92]. Using Formula 5.5, the flux at D , including an error of 1 cm, can be estimated in 1997. Then, taking into account the attenuation of the source activity, the flux at D can be estimated at the time of the tests in GIF in 2014. Assuming a sensitivity of the RPC to γ s = 2×10^{-3} , an estimation of the hit rate per unit area is obtained.

The goal of the study will be to have a good measurement of the intrinsic performance without source irradiation. Then, taking profit of the two working absorbers, at absorbtion factors 5 (300 Hz) and 2 (\sim 600 Hz) the goal will be to show that the detectors fulfill the performance certification of CMS RPCs. Finally, a first idea of the performance of the detectors at higher background will be provided with absorbtion factor 1 (no absorbtion and >1 kHz).

5.2.4 Results and discussions

The data taking at GIF has been conducted in between the 21st and the 31st of August, 2014. Data has been collected with both source OFF and ON using three different absorber settings (ABS 5, 2 and 1) in order to vary the irradiation on the RPC. For each source setting, two HV scans have been performed with two different trigger settings. During a first scan the trigger sent to the TDC module was the coincidence of the two scintillators composing the telescope while during a second scan the trigger was a pulse coming from a pulse generator in order to measure the noise or gamma rate seen by the chamber. Indeed, using a pulse allows to trigger at moments not linked to any physical event and, hence, to obtain a *RANDOM* trigger on noise and gamma events to measure the associated rates, the probability to have a pulse in coincidence with a cosmic muon being negligible.

From the cosmic trigger scans, a summary of the efficiencies and corresponding cluster sizes is showed in Figure 5.18. The efficiency curves with Source ON show a shift with respect to the case without irradiation. With ABS 5, the general shape of the efficiency curve stays unchanged whereas a clear alteration of the performance is observed at ABS 2 and ABS 1. From the cluster size results, a reduction of the cluster size under irradiation can be oberved at equivalent efficiency. This effect can be due to the perturbation of the electric field by the strong rate of gamma particles starting avalanches in the gas volume of the detector.

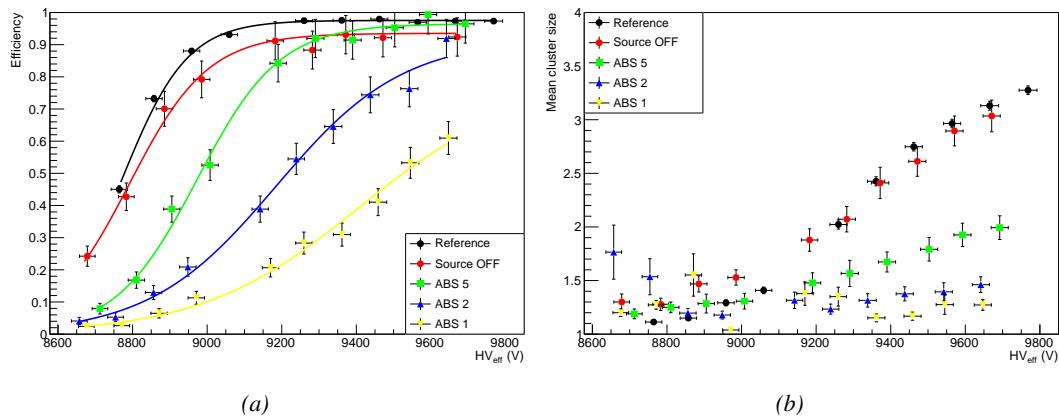


Figure 5.18: Efficiency (Figure 5.18a) and cluster size (Figure 5.18b) of chamber RE-4-2-BARC-161 measured at GIF with Source OFF (red) and Source ON using different absorber settings: ABS 5 (green), ABS 2 (blue) and ABS 1 (yellow). The results are compared to the Reference values obtained with cosmics.

It is necessary to study the evolution of the performance of the chamber with the increasing rate. In Figure 5.19a, the noise rate when the source is OFF stays low but increases at voltages above 9500 V. The rise of the noise rate in the detector can be related to the increased streamer probability observed with such a large electric field. The rates with source ON measured at GIF all show a

similar behaviour until a high voltage of approximately 9400 V at which the rate of ABS 5 saturates, corresponding to the chamber reaching full efficiency. It is important to note that, even though the rates look similar independently from the gamma flux, relatively to the efficiency of the chamber, the rate actually increases with increasing flux at equivalent efficiency. A rough way to measure the rate effectively observed by the detector for each source setting would be to normalize the measured rates to the efficiency of the detector. This exercise was done with Figure 5.19b from which constant fits were done on Source ON data in order to extract the rate the chamber was subjected to.

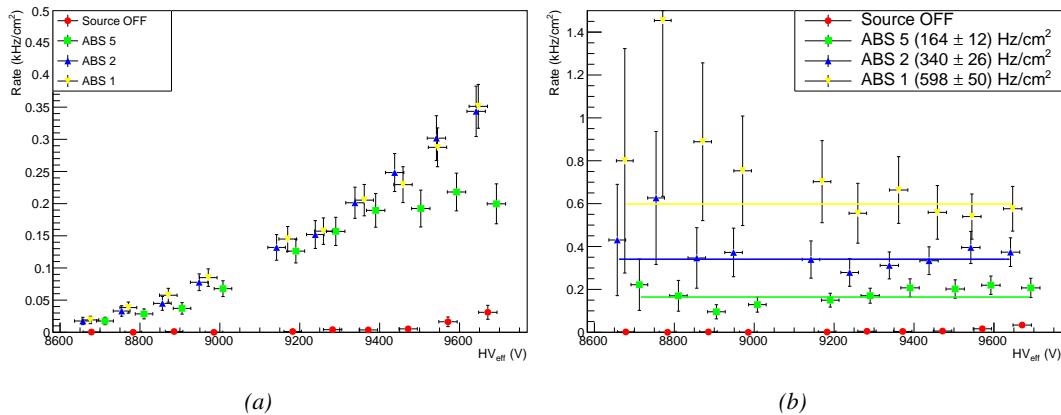


Figure 5.19: Rates in chamber RE-4-2-BARC-161 measured at GIF with Source OFF (red) and Source ON using different absorber settings: ABS 5 (green), ABS 2 (blue) and ABS 1 (yellow). On Figure 5.19b, the rates of Figure 5.19a were normalized to the measured efficiency and constant fits are performed on Source ON data showing the gamma rate in the chamber.

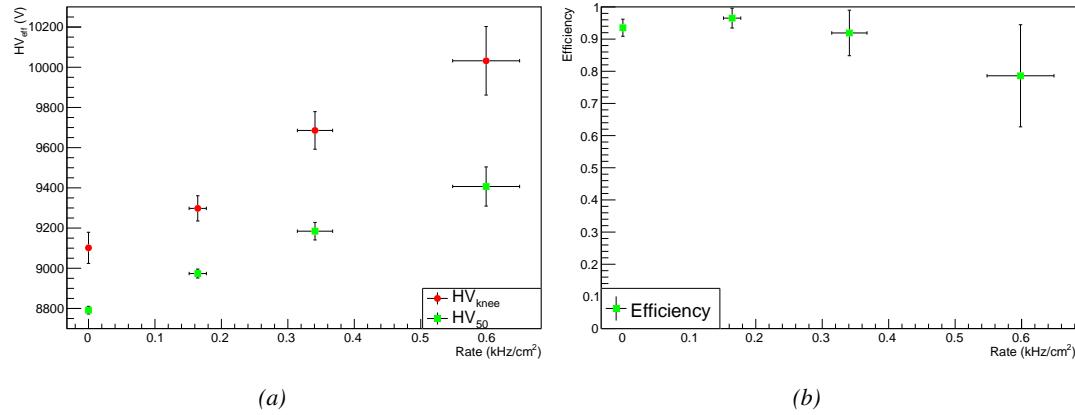


Figure 5.20: Evolution of the voltages at half maximum and at 95% of the maximum efficiency (Figure 5.20a), and of the maximum efficiency (Figure 5.20b) as a function of the rate in chamber RE-4-2-BARC-161. The data is extracted from the fits in Figures 5.18a and 5.19b.

The results need to be taken with care as a better estimation of the rate would have been to push the detector towards higher voltages to reach the efficiency plateau for each absorber configuration

and only then extract the measured rate at working voltage, defined as in Formula 4.25. Nevertheless, using this method to estimate the rate the chamber is subjected to, it is possible to look at the evolution of the HV_{50} and HV_{knee} (the working voltage being defined to be 150 V above the knee in the endcap) as a function of the increasing rate as showed in Figure 5.20. The results from GIF suggest that at a rate of 600 Hz/cm^2 the working voltage of the chamber is increased by a thousand V while the efficiency is reduced to approximately 80%, although the result still is consistent with an efficiency better than 90% due to the large error on the measurement. Moreover, it is likely that the rates obtained through fitting on normalized values is underestimated. Indeed, monitoring the current in the 3 gaps composing a CMS endcap RPC (Figure 5.21) while knowing the rate, the charge deposition per avalanche q_γ can be computed.

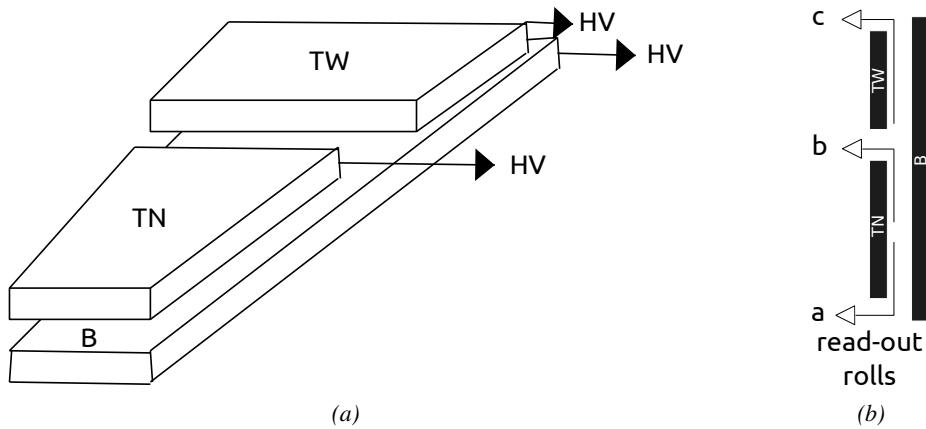


Figure 5.21: Presentation of a double-gap endcap RPC with its 3 RPC gaps. Due to the partitioning of the read-out strips into 3 rolls, the TOP layer of gap is divided into 2 gaps: TOP NARROW (TN) and TOP WIDE (TW). The BOTTOM (B) only consists in 1 gap.

A charge is expressed in C which is consistent with a current density, expressed in A/cm^2 , divided by a rate per unit area, expressed in Hz/cm^2 . The current driven by the RPC is assumed to be due to the irradiation, hence, to the avalanches developing in the gas volume due to the photons of the Cesium source. On the other hand, the rate is supposed to be a measure of the number of photons interacting with the detector. This way, it comes that the charge deposition per avalanche is expressed like $q_\gamma = J_{mon}/R_\gamma$, J_{mon} being the monitored current density and R_γ the measured γ rate. The current density is computed as the sum of the current density measured on the top gap layer and of which measured in the bottom gap layer, $J_{mon} = (I_{mon}^{TW} + I_{mon}^{TN})/(A_{TW} + A_{TN}) + I_{mon}^B/A_B$, $A_{B,TN,TW}$ being the active area and $I_{mon}^{B,TN,TW}$ the monitored currents of the gaps. According to Figure 5.22, the charge deposition per avalanche consistently converges to a value of the order of 50 pC for each absorber setting which corresponds to a value more than twice greater than what reported in litterature for CMS detectors [98, 99] indicating that the rates could have been wrongly evaluated during the short study performed at GIF. An increase of the γ rate by a factor 2 would be consistent with the expected rates calculated in Table 5.3, assuming the sensitivity to γ to be of the order of 2×10^{-3} .

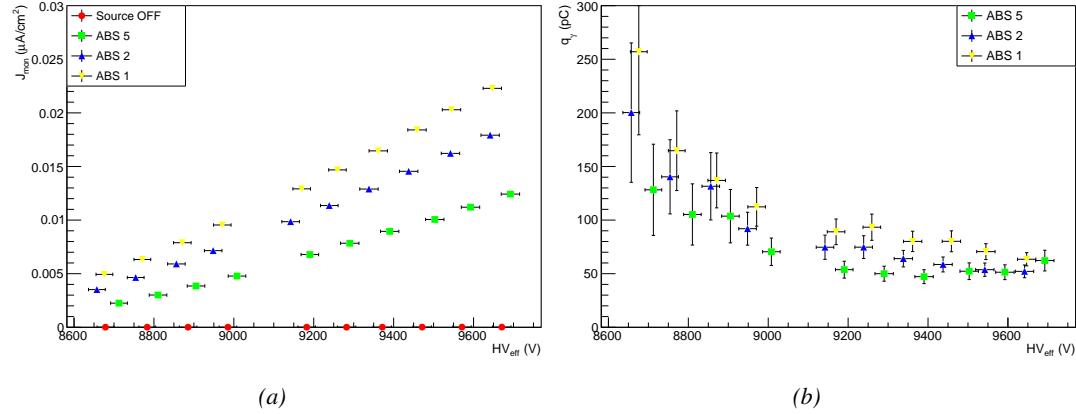


Figure 5.22: Current density and charge deposition per gamma avalanche, defined as the current density normalized to the measured rate taken from Figure 5.19a as a function of the effective high voltage in chamber RE-4-2-BARC-161 measured at GIF with Source ON using different absorber settings: ABS 5 (green), ABS 2 (blue) and ABS 1 (yellow).

Overall, working at GIF has been a rewarding experience as it offered CMS RPC R&D team the possibility to start developing the necessary skills and tools that would become the core of GIF++ experiment. The quality of the results can be argued both due to the little robustness of the experimental setup and the lack of available statistics to draw conclusions from, bringing large errors on the final result. The confrontation of the data to known results pointed to a failure in correctly measuring the γ rate at working voltage and, hence, to an overestimation of the charge per avalanche and of the drift of working voltage with increasing rate. Nevertheless, the prototypes of DAQ and offline analysis tools proved to be reliable.

5.3 Longevity tests at GIF++

5.3.1 Selection and characterization of CMS RPCs for longevity at GIF++

First proposed in 2009 [100], the new Gamma Irradiation Facility of CERN was thought in the perspective of future upgrades of PHC that would bring detectors to be operated in a high irradiation environment. GIF++ would thus provide all LHC R&D teams working on behalf of the different LHC experiment with a facility to perform longevity studies using a very intense Cesium gamma source.

In the specific case of CMS RPC, the longevity studies imply a constant irradiation of selected detectors in order to accumulate a charge equivalent to what is expected during HL-LHC, i.e. a charge of $0.8 \text{ C}/\text{cm}^2$ according to Figure 5.2 including a safety factor 3, while other detectors are left non irradiated to be used as references. Throughout the irradiation campaign, the performance of the irradiated and reference detectors will be periodically probed using the high intensity H4 muon beam. Dedicated test beam periods will be used to measure the efficiency and γ rate at the level of the detectors with different source absorber settings to have access to the rate capability of CMS RPCs, that needs to be certified above $600 \text{ Hz}/\text{cm}^2$, and to identify signs of ageing in the case the performance of the irradiated detectors diverges from those of the reference detectors with increasing accumulated charge. Other than the performance of the detectors, signs of ageing

3031 could come from increasing dark current that would be related to local ageing of the electrodes
 3032 triggered by the fluoridric acid (*HF*) production in an irradiated environment. *HF* is produced
 3033 by the decomposition of $C_2H_2F_4$ molecules during the charge multiplication process and leads to
 3034 increased dark current and noise in Bakelite RPCs treated with linseed oil. This effect is strongly
 3035 reinforced by the presence of UV photons [101, 102]. A close monitoring of the current driven by
 3036 the detectors will then be necessary as well as dedicated periodical electrode resistivity measurement
 3037 and chromatography measurement on the gas exhaust.

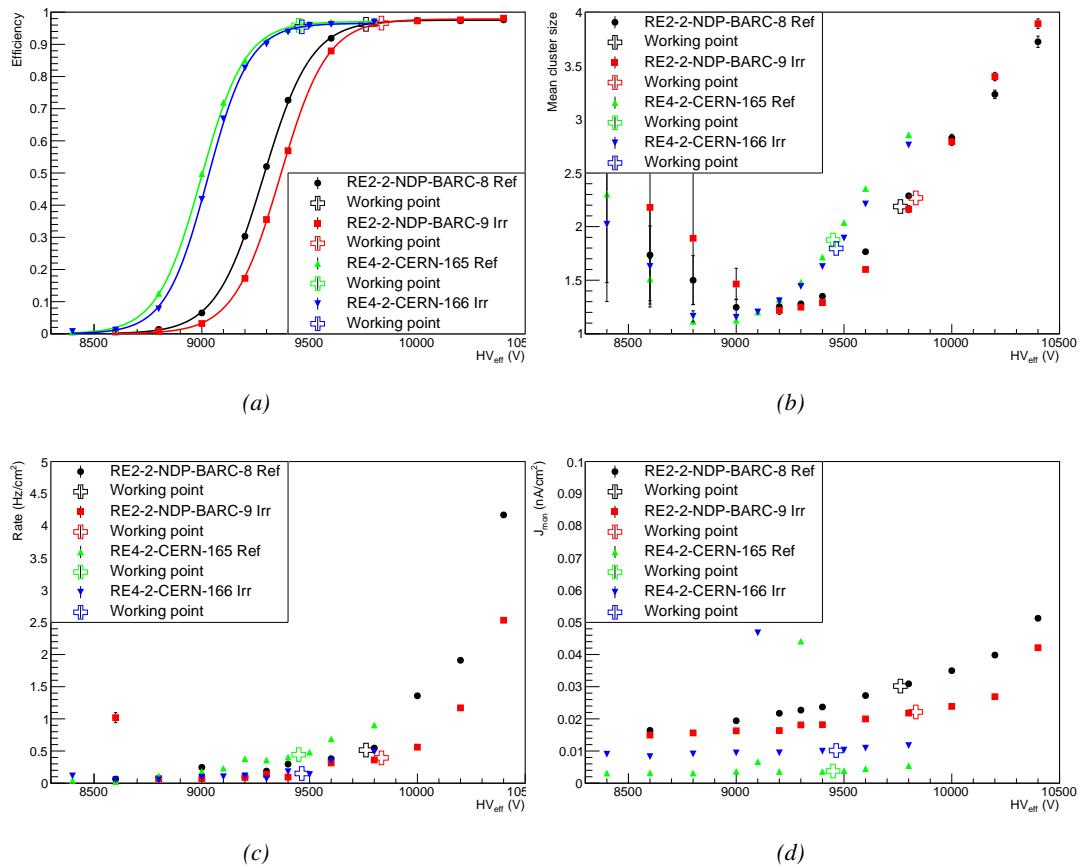


Figure 5.23: Characterization of CMS RPC detectors foreseen to be used at Gif++ for longevity studies of the present system. Using cosmic muons, efficiency (Figure 5.23a) and cluster size (Figure 5.23b) were measured as well as noise rate (Figure 5.23c) and current density (Figure 5.23d). For each detector, the working voltage, defined as in Formula 4.25, was extracted from sigmoid fits performed in Figure 5.23a and the values of efficiency, cluster size, noise rate and current density at this voltage are reported using open crosses.

3038 As the maximum background is found in the endcap, the choice naturally was made to focus
 3039 the Gif++ longevity studies on endcap chambers. Most of the RPC system was installed in 2007.
 3040 Nevertheless, the large chambers in the fourth endcap (RE4/2 and RE4/3) have been installed during
 3041 LS1 in 2014. The Bakelite of these two different productions having different properties, four spare
 3042 chambers of the present system were selected. From the original CMS RPC system, two RE2/2
 3043 spares were selected along two RE4/2 spares from the newest detectors. Having two chambers of

3044 each type allows to always keep one of them non irradiated as reference. Due to the limited gas
 3045 flow in GIF++, the RE4 chamber remained non-irradiated until end of November 2016 where the
 3046 longevity studies could finally be started on those chambers.

3047 The performance of the chambers prior to the start of the longevity campaign has been char-
 3048 acterized in Ghent before being shipped to CERN to be installed in GIF++. The results of the
 3049 characterization are showed in Figure 5.23 and summarized in Table 5.4. A clear difference in per-
 3050 formance for both types of chambers is observed as the working voltages of the newest chambers,
 3051 of type RE4, are 300 to 400 V lower to the older chambers indicating that the gap thickness of RE4
 3052 detectors could be thinner. This conclusion could as well be supported by the lower cluster size at
 3053 working voltages that also are smaller in RE4 chambers. Even though the measured currents are
 3054 low, RE4 detectors draw less current without irradiation than RE2 detectors pointing to a difference
 3055 in electrode resistivity that were produced at different moments. Efficiency and noise rate levels are
 3056 of the same order of magnitude for both type of RPCs.

RPC	RE2-2-NPD-BARC-08	RE2-2-NPD-BARC-09	RE4-2-CERN-165	RE4-2-CERN-166
Used as	Reference	Irradiation	Reference	Irradiation
HV_{WP} [V]	(9762 \pm 6)	(9833 \pm 6)	(9449 \pm 5)	(9464 \pm 5)
Efficiency at WP	(96.2 \pm 0.3)	(96.6 \pm 0.3)	(95.9 \pm 0.3)	(95.5 \pm 0.3)
Cluster size at WP	(2.19 \pm 0.04)	(2.27 \pm 0.05)	(1.88 \pm 0.04)	(1.80 \pm 0.04)
Noise at WP [Hz/cm ²]	(0.51 \pm 0.01)	(0.39 \pm 0.01)	(0.44 \pm 0.00)	(0.15 \pm 0.01)
J^{WP} [pA/cm ²]	(30.1 \pm 0.1)	(22.2 \pm 0.1)	(3.8 \pm 0.0)	(10.2 \pm 0.0)

Table 5.4: Summary of the characterization measurement performed in Ghent on CMS RPC detectors foreseen to be used at GIF++ for longevity studies of the present system. For each detector, the working voltage, defined as in Formula 4.25, was extracted from sigmoid fits performed in Figure 5.23a and the values of efficiency, cluster size, noise rate and current density at this voltage are reported.

5.3.2 RPC test setup

3057 For an easy manipulation of the detectors, a trolley with a structure containing slots in which the
 3058 RPCs can be slid vertically and referred to as T1 was used. In this position, each chamber is in a
 3059 plane perpendicular to the beam line and the source flux as can be seen through Figure 5.24, receiving
 3060 a uniform irradiation. Moreover the trolley allows for easy movement of the system. Indeed, the
 3061 position of trolley varies according to the period of the year.

3062 During the dedicated test beam periods during which GIF++ longevity experiments are in control
 3063 of the muon beam, the trolley is placed in the upstream region of the bunker, in the beam line, as
 3064 described through Figure 5.24a. The CMS RPC detectors are the ones being further away from the
 3065 source on this side of the source as other detectors need to be certified at higher background rates. An
 3066 additional trolley, referred to as T3, containing iRPCs and tracking RPCs is placed in between the
 3067 source and the trolley containing present CMS RPCs. Indeed, iRPCs need to be certified at higher
 3068 rates and thus need to be placed closer to the source to receive a stronger irradiation using the same
 3069 absorber settings. The space on T1 being limited, the tracking RPCs used for extra offline informa-
 3070 tion during the analysis are placed on the same trolley than iRPCs and are kept at full efficiency at
 3071 all time to reconstruct muon tracks in correlate them with hits recorded in T1 chambers. The beam
 3072 trigger system is composed of 2 scintillators placed outside on each side of the bunker and of a third
 3073 scintillator placed in between T1 and the wall of the bunker along the beam line.

3074 However, most of the year, T1 is placed in the so called *ageing position* corresponding to the

furthest position from the source outside of the beam line, which needs to stay clear during periods where GIF++ doesn't have the control of the beam so that a beam tube can be installed through the bunker, as can be seen in Figure 5.24b. The reason for placing the chambers as far as possible from the source comes from the too high irradiation delivered by the source during the irradiation periods where all the other experiment having placed detectors into the bunker requires to integrate as much charge as possible. Hence, the source is operated with any absorbers. On the contrary, during the test beam periods, all the groups working in GIF++ are interested in operating the source using various absorber settings to study the performance of their detectors under different irradiation conditions. The time spent with a source fully opened and during which the RPCs of T1 are kept at a standby voltage of 6500 V much lower than what necessary to grow avalanches in the gas is then small compared to the time spent with other source settings and during which data can be taken.

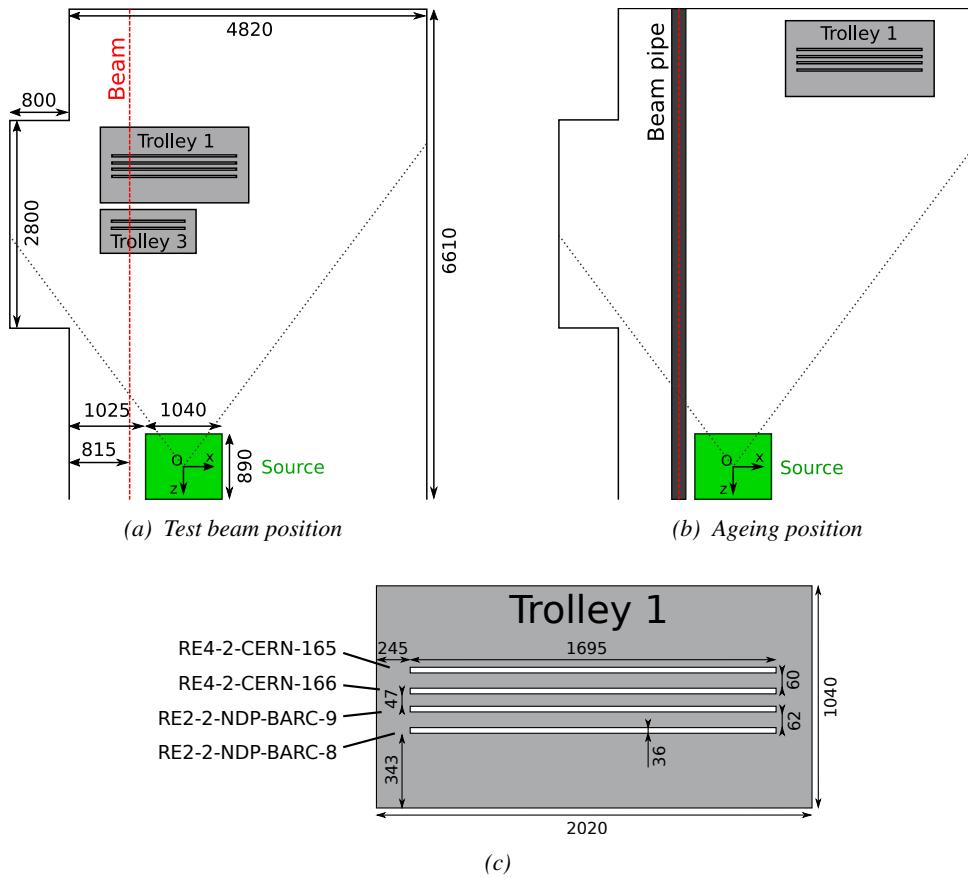


Figure 5.24: CMS RPC setup inside of GIF++ bunker during test beam (Figure 5.24a) and ageing periods (Figure 5.24b). The space in between the RPCs and the source is usually used by other detectors while the space in between T1 and the upstream wall is not filled. Due to the presence of the beam pipe, the trolley is moved away from the beam line during irradiation periods and placed further away from the source for less intense irradiation. The position of the trolley can vary due to the use of the space by other setups and is then not exact. In the contrary, the position of the chambers in the trolley is fixed and given in Figure 5.24c.

From the bunker area, the detectors are connected to the service area, visible in Figure 5.6, through the wooden floor tanks to long cable. The service area hosts all the high and low voltage power

3089 supplies, the TDCs and computers used for data acquisition and preliminary offline analysis used
 3090 to fill the Detector Control Software (DCS) webpage, referred to as WebDCS, with Data Quality
 3091 Monitoring (DQM) histograms useful for the shifters on duty in the control room located further in
 3092 the building, away from the beam lines, as well as the gas system required for the gaseous detectors
 3093 installed in GIF++ [103]. The detectors read-out is, as in the case of GIF, connected to V1190A
 3094 VME TDCs communicating with the DAQ computer thanks to a V1718 VME bridge manufactured
 3095 by CAEN. Moreover, a constant monitoring of all the environmental parameters, in different points
 3096 of the bunker area, gas parameters, to control its composition, temperature and pressure, and of the
 3097 voltages and currents delivered by the power supplies is performed and displayed on the homepage
 3098 of the WebDCS interface.

3099 5.3.3 GIF++ data flow

3100 At GIF++, the CMS RPC R&D experiment collects different types of data coming from the detectors
 3101 monitored parameters, such as voltage and currents, the gas, source, and environmental parameters,
 3102 and, of course, the TDC data in which are collected the actual muon and gamma physics. These
 3103 different data source compose 3 different data flows as presented in Figure 5.25.

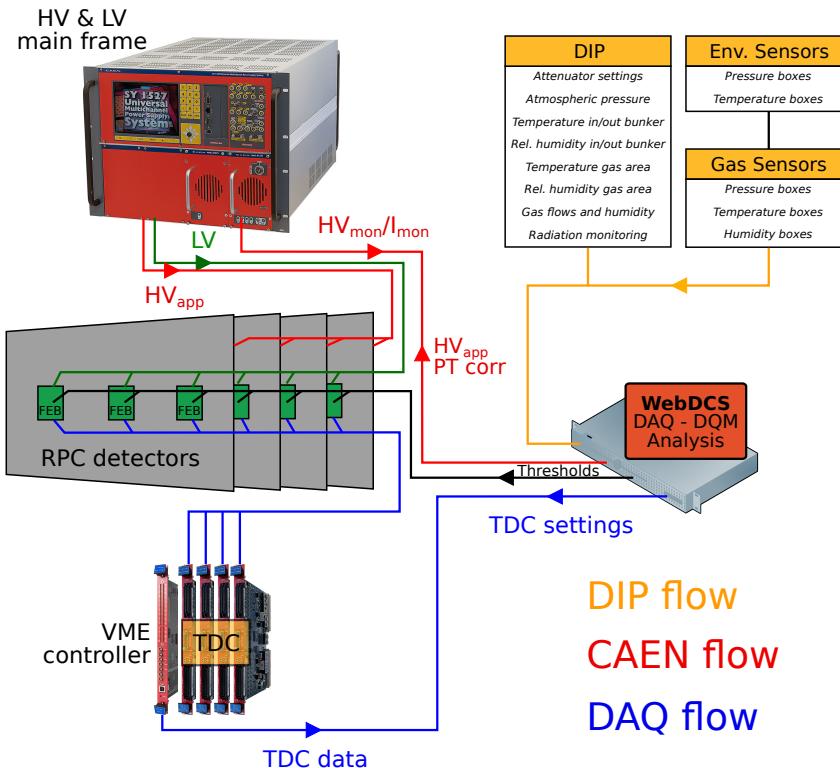


Figure 5.25: Visualtion of the main data flows in GIF++. The yellow flow lines corresponds to the DIP flow used for source, environmental and gas information. The red flow lines are for the CAEN flow through which the WebDCS communicates the voltages to be applied on the detectors using pressure and temperature information and retrieves the monitored voltages and currents. Finally, the blue flow lines corresponds to the DAQ flow through which the RPC data is collected from the TDCs by the computer.

3104 The *DIP flow*, DIP being a communication system allowing for exchange of real-time information
 3105 between systems, concerns all the data coming from the gas composition, temperature and
 3106 humidity, the environmental temperature and pressure, the source settings and the radiation monitoring
 3107 sensors. The experimental area is in charge of measuring, storing and distributing the data
 3108 of interest for all of the users of the facility (source settings, radiation monitoring, gas composition
 3109 at the exit of the gas mixer and general environmental information). Retrieving this data is done by
 3110 accessing to the database of the experimental hall in which GIF++ is located through DIP communica-
 3111 tion. More specific data such as gas flow, temperature and humidity at the level of the detectors
 3112 (upstream and downstream of the detectors) as well as environmental parameters are at the charge
 3113 of the users. For this reason, several pressure, temperature and humidity sensors were installed on
 3114 the gas distribution system of the RPC trolleys. The corresponding data flow, although not related
 3115 to DIP itself, is saved together with the DIP data into the local CMS RPC database and displayed
 3116 on the front page of the WebDCS together with alerts in the case the values measured are out of
 3117 optimal working range. The data is particularly important to perform the PT correction described in
 3118 Section 4.4 of Chapter 4 and keep stable the effective voltage of the detectors. Monitoring history
 3119 plots are made using JavaScript are also displayed for an easy access to past information, as
 3120 showed in Figure 5.26.

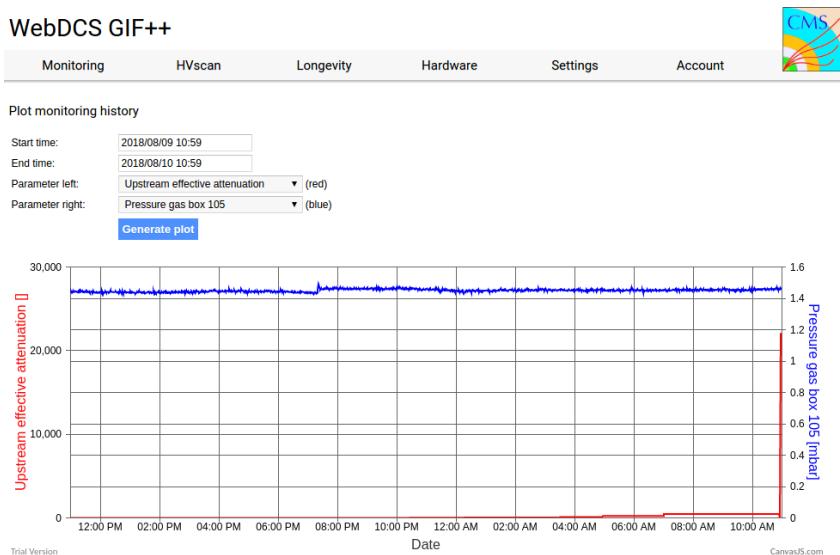


Figure 5.26: *DIP monitoring history accessed through GIF++ WebDCS interface.*

3121 The data flow related to the monitoring of detector high voltages and currents, referred to as
 3122 *CAEN flow* as a reference to the manufacturer of power supplies, is retrieved thanks to computer
 3123 to main frame communications. Indeed, during the operations (irradiation or beam period), these
 3124 values can be accessed directly through the bus of the main frame hosting the high voltage supplies.
 3125 Finally, the DAQ flow concerns all data acquired through the use of the TDCs, i.e. all the muon or
 3126 gamma data recorded by the detectors under test at GIF++.

3127 **5.3.4 Measurements performed during beam periods**

3128 As previously described, two types of measurement are performed on the chambers during beam
 3129 periods. On one hand, it is interesting to measure the efficiency of the RPCs with increasing voltage
 3130 with different source absorber settings but on the other hand, it is important to correlate the efficiency
 3131 information to the gamma rate seen by the chambers at the voltages that were scanned for efficiency.
 3132 The choice was made to separate efficiency measurements from rate measurements to better manage
 3133 time and data volume. In both cases, TDC data recorded during so called *HV scans* is divided into
 3134 *runs*, one for each high voltage point, whose data is stored into ROOT files. The TDC settings
 3135 used during both these scans as well as the ROOT data structure are detailed in Section A.4.2 of
 3136 Appendix A.

3137 The goal of both efficiency and rate scans is to measure the rate capability of the detectors but
 3138 also to monitor any degradation of the performance due to ageing. This way, during test beam
 3139 periods the efficiency and corresponding gamma background are measured to correlate the evolution
 3140 of rate capability at different stages of irradiation. In the absence of other signs of ageing, a reduction
 3141 of the rate capability could be related to an increase of the electrodes resistivity.

3142 **5.3.4.1 Efficiency scans**

3143 The HV scans performed to specifically measure the muon detection efficiency under different ir-
 3144 radiation conditions follow a standardized procedure. Data using the DAQ is taken at the same 12
 3145 HV points for all chambers, ranging from 9 kV to 10.1 kV by steps of 100 V. For each HV run, a
 3146 minimum of 5000 muon beam triggers, provided by the coincidence of the 3 scintillators, is required
 3147 in order to accumulate enough statistics for a reliable computation of the efficiency of the detectors.
 3148 In addition to the 4 RPCs held on T1, 2 tracking RPCs installed on T3 are kept at a fixed voltage of
 3149 9.7 kV to provide the analysis software [104] with beam position information to exclude off-track
 3150 signals. The tracking RPCs, whose design is based on which of CMS RPCs, are double gap detectors
 3151 featuring 2 mm HPL electrodes and 2 mm gas gaps. Finally, the monitored currents and voltages are
 3152 recorded in histograms along the TDC data in a different ROOT file for each run.

3153 HV scans are taken for different source settings as the goal is to irradiate all the detectors with a
 3154 minimal rate of 600 Hz/cm². Usually, a full study of the performance of the detectors is performed
 3155 with Source OFF, and then with 9 absorber settings that attenuate the nominal gamma flux by factors
 3156 from more than 200 to only 3, settings with fully opened source being avoided with RPCs in test
 3157 beam position. Adjusting the gamma flux is possible thanks to the 3 layers of absorbers featured on
 3158 the Cesium source [105].

3159 **5.3.4.2 Rate scans**

3160 These background measurement are performed using a similar HV scan procedure than in the case
 3161 of efficiency measurements. The HV scan in test beam period will be taken fewer HV points than
 3162 for the efficiency scans as the region of interest is located around the knee and efficiency plateau
 3163 of the detectors in order to extract through linear interpolation the value of the rate at the working
 3164 voltage deduced from the efficiency scan. Thus, these scans are performed only on 6 HV points
 3165 ranging from 9.5 kV to 10 kV. Rate scans are substantially heavier than efficiency scans. Indeed, a
 3166 good estimation of the rate requires a long enough integrated time worth of data. The way data is
 3167 collected, detailed in Appendix A, makes the DAQ search for data stored in the TDC buffers prior to
 3168 the trigger signal. The time window from which the data is collected ranges in between only 25 ns

3169 to more than $50\ \mu\text{s}$. The Cesium source delivering a consistent gamma flux, it was decided than a
 3170 total integrated time of $0.2\ \text{s}$ would be enough to have a reliable calculation of the γ rate. This is
 3171 achieved by taking 20,000 random trigger pulses delivered by a pulse generator at a frequency of
 3172 $300\ \text{Hz}$ while extracting $10\ \mu\text{s}$ of data from the buffers for each trigger.

3173 Separating rate measurements from efficiency measurement was motivated by the inconsistency
 3174 of the muon beam provided in GIF++. Using periods without beam to measure rates with a good
 3175 statistics allows for faster study programs. Moreover, depending on the muon strength that can
 3176 strongly vary due to users placed upstream of GIF++ and using magnets, the number of muon de-
 3177 livered per beam spill can make the accumulation of 20,000 events too long for the other users of
 3178 GIF++. Hence, efficiency scans are performed with lower statistics and the time window from which
 3179 the data is extracted is strongly reduced (400ns for efficiency scans versus $10\ \mu\text{s}$ for rate scans) to
 3180 keep the data size to its bare minimum.

3181 5.3.4.3 Offline analysis and Data Quality Monitoring

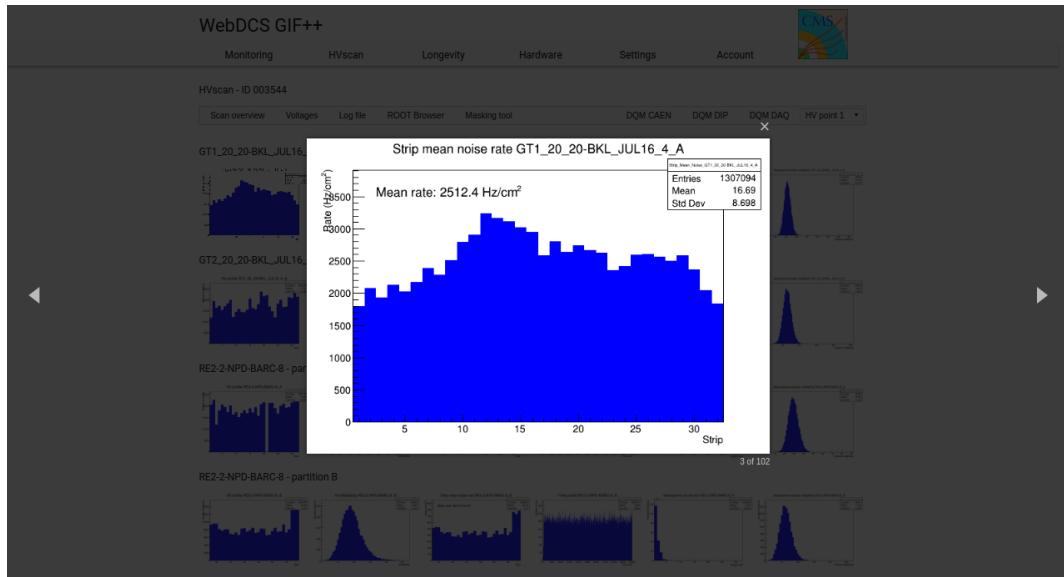


Figure 5.27: Example of DQM page available on CMS WebDCS in GIF++. Here is presented the rate measured in one of the tracking chambers, namely GT1_20_20-BKL_JUL16_4. The DQM page allows to click on each histogram to extend its view and to navigate through the histograms thanks to the left and right arrows.

3182 The data recorded during efficiency and rate scans always consist in two ROOT files per run, a run
 3183 corresponding to a HV point. One of the files corresponds to the TDC data, a collection of hits
 3184 per active channel on the read-out of the RPCs, while the second is the CAEN main frame data,
 3185 offering a monitoring of the currents and high voltages. This data is systematically analysed at the
 3186 end of each scan thanks to the Offline Analysis tool of GIF++, detailed in Appendix B, that produces
 3187 histograms such as hit, rate and time profiles, hit multiplicities, gamma cluster sizes or multiplicities
 3188 for the DQM display of the WebDCS, as showed in Figure 5.27. More histograms can be accessed
 3189 through the ROOT browser included in the WebDCS, as showed in Figure 5.28. Moreover, the
 3190 analysis performed thanks to the Offline tool is definitive in the case of evaluating the rates from rate

3191 scans. On the contrary, the algorithm for efficiency calculation is kept simple and approximative in
 3192 the tool as including traking into the analysis requires manual adjustment for each individual scan.

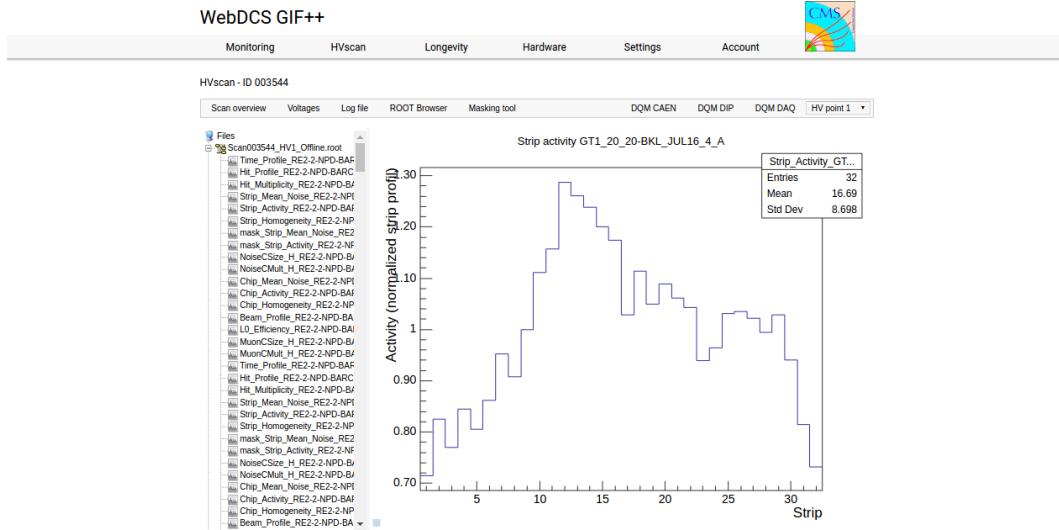


Figure 5.28: Example of DQM ROOT Browser page available on CMS RPC WebDCS in GIF++. Here is presented the strip activity profile, defined as the rate profile normalized to the mean rate, in one of the tracking chabers, namely GT1_20_20-BKL_JUL16_4. Available ROOT files and histograms can be browsed thanks to the left panel showing the directory and files structures.

3193 5.3.5 Measurements performed during irradiation periods

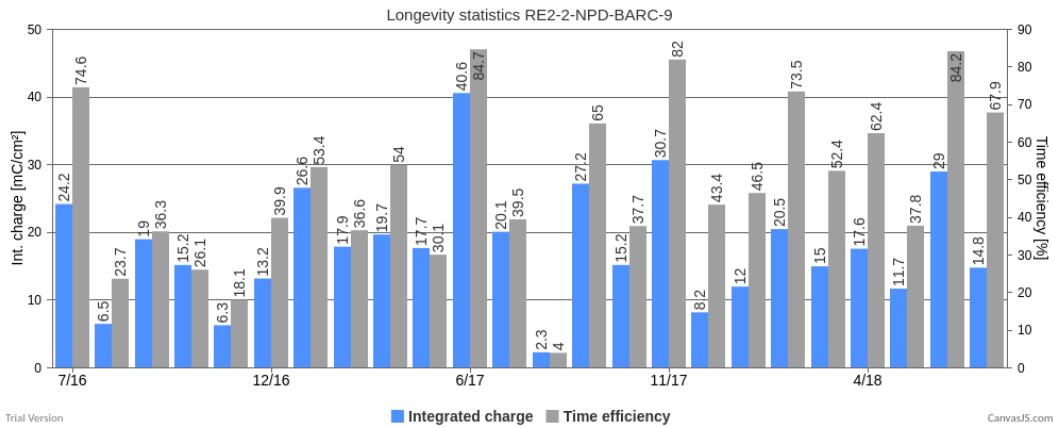


Figure 5.29: Longevity statistics of chamber RE2-2-NPD-BARC-9 in GIF++. For each month since July 2017, the integrated charge (in blue) as well as the time efficiency of irradiation (in gray) is reported.

3194 Even though test beam periods are stressful times has an extensive data taking planing needs to
 3195 be finalized in a short amount of time, the biggest amount of data comes from irradiation periods.

Indeed, when T1 is moved back to its ageing position in between each test beam periods, data is recorded at any time the source can be switched ON for irradiation. Indeed, other experiments in the area might prevent the source from staying opened continuously. As an example, the time efficiency of irradiation of CMS RPC detectors in Gif++ is presented in Figure 5.29.

Several types of measurement are performed throughout the irradiation period. Indeed, as long as the detectors are being irradiated, a monitoring of the currents is performed to evaluate the corresponding integrated charge considering the irradiation time. Moreover, the corresponding gamma rates need to be measured on a regular basis. Ageing signs can be understood through an increase of the detector noise correlated with an increased dark current. For this purpose, HV scans are performed to measure the noise with increasing voltage and the dark currents. Another way to highlight ageing is through the loss of rate capability of the detectors. During irradiation periods this can be looked through thanks to HV scans performed at various source settings, which are referred to as *source scans*. The loss in rate capability could be understood by a saturation of the measured at higher gamma flux. This effect could be correlated with an increase of the electrodes resistivity. The resistivity is then measure periodically during the year, generally before or after test beam periods by the use of Argon breakdown technic.

5.3.5.1 Longevity scans

The main activity of irradiation periods consist in the *longevity scans* during which the currents of the irradiated chambers is continuously monitored. The two irradiated chambers, RE2-2-NPD-BARC-09 and RE4-2-CERN-166, are both brought to a voltage of 9.8 kV while the source flux can vary depending on the need of experiments using the facility. The currents are recorded on each active gas volume and each gap contribution is then translated into the mean chamber integrated charge as can be seen from Figure 5.30. At the end of each longevity scan the integrated charge accumulated in each chamber is used to update the summary plots providing the collaboration with official results to be spread.

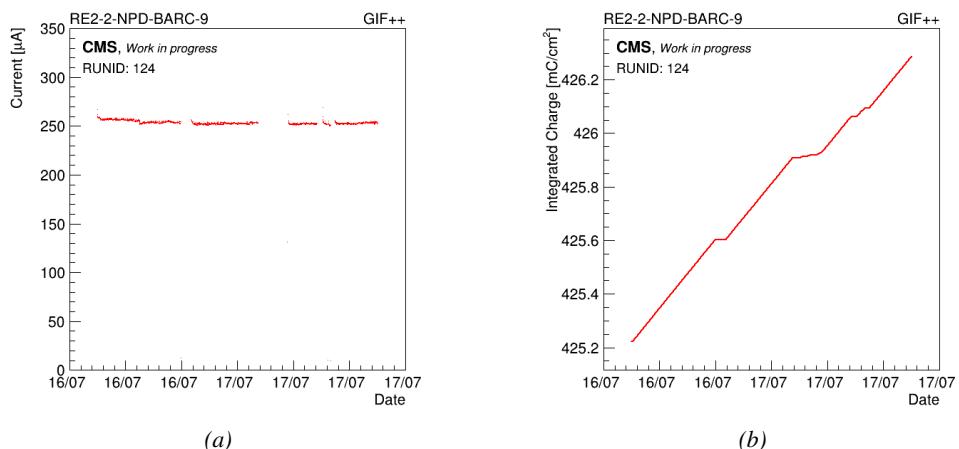


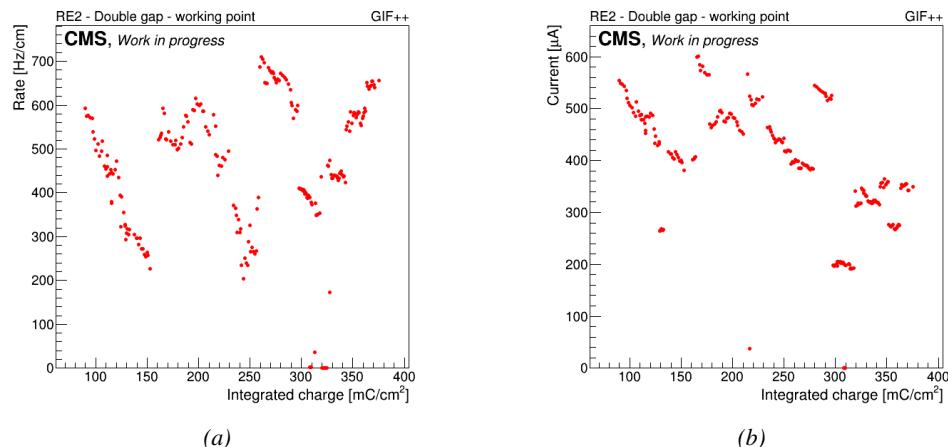
Figure 5.30: Example of current monitoring (Figure 5.30a) and of corresponding integrated charge (Figure 5.30b) of chamber RE2-2-NPD-BARC-09. The decrease of current are related to a decrease of the voltage due to the daily rate scan procedure or to periods during which the source was turned OFF.

3221 **5.3.5.2 Daily rate monitoring scans**

3222 Every night during longevity scans, the DAQ is used to perform *daily rate scans*. These scans aim
 3223 at keeping track of the gamma rate measured in the irradiated RPCs during longevity but is also
 3224 measured the noise rate at standby voltage and this, for each gap individually. The procedure for
 3225 these HV scans consist in 9 runs for which 50,000 random triggers are requested, corresponding to
 3226 0.5 s of total integrated time.

- 3227 1- All gaps are first left at the irradiation voltage of 9.8 kV to measure the γ rate.
 3228 2- Then all gaps are brought to the standby voltage of 6.5 kV to measure the noise rate of the full
 3229 detectors.
 3230 3- Both top gaps (TW and TN) are brought to a voltage equivalent to an OFF status, i.e. 1 kV so
 3231 that the noise contribution of only the bottom gap at standby voltage can be measured.
 3232 4- The bottom gaps are ramped up toward the working voltage of 9.8 kV to measure their contri-
 3233 bution to the gamma rate estimation.
 3234 5-8 The steps 3 and 4 are repeated on TN and then on TW, keeping the bottom and the top gap
 3235 which is not of interest at a voltage of 1 kV. This way, the individual contribution to the noise
 3236 and gamma rates are known.
 3237 9- Finally, both TW and TN are brought to working voltage while the bottom gap is left at 1 kV
 3238 to measure the gamma rate for the full top layer at once.

3239 Finally, the voltages of all gaps are brought back to working voltage for the longevity program
 3240 to continue until the next daily scan.



3241 *Figure 5.31: Example of rate (Figure 5.31a) and current (Figure 5.31b) monitoring of chamber
 3242 RE2-2-NPD-BARC-09 with increasing integrated charge. The variations of rate and current are correlated
 3243 and corresponds to change of source irradiation, gas flow, gas humidity, or environmental conditions.*

3244 Naturally, as this data is taken using Gif++ DAQ, 2 ROOT files containing the DAQ data and
 3245 CAEN data are created for each runs in the exact same way than for efficiency or rate scans taken
 3246 during test beam periods but while the currents are still monitored by the longevity scan and saved

3244 into GIFT++ database for an easy evaluation of the currents to the integrated charge. The Offline
 3245 Analysis tool provides then the DQM page with histograms and daily values can be assembled in
 3246 long term monitoring plots to study the variations of rate and current with increasing integrated
 3247 charge, as presented in Figure 5.31. The rates on every single read-out channel is also tracked to
 3248 control their activity with increasing integrated charge and, this way, understand the appearance of
 3249 hot spots through noisy channels, as showed in Figure 5.32.

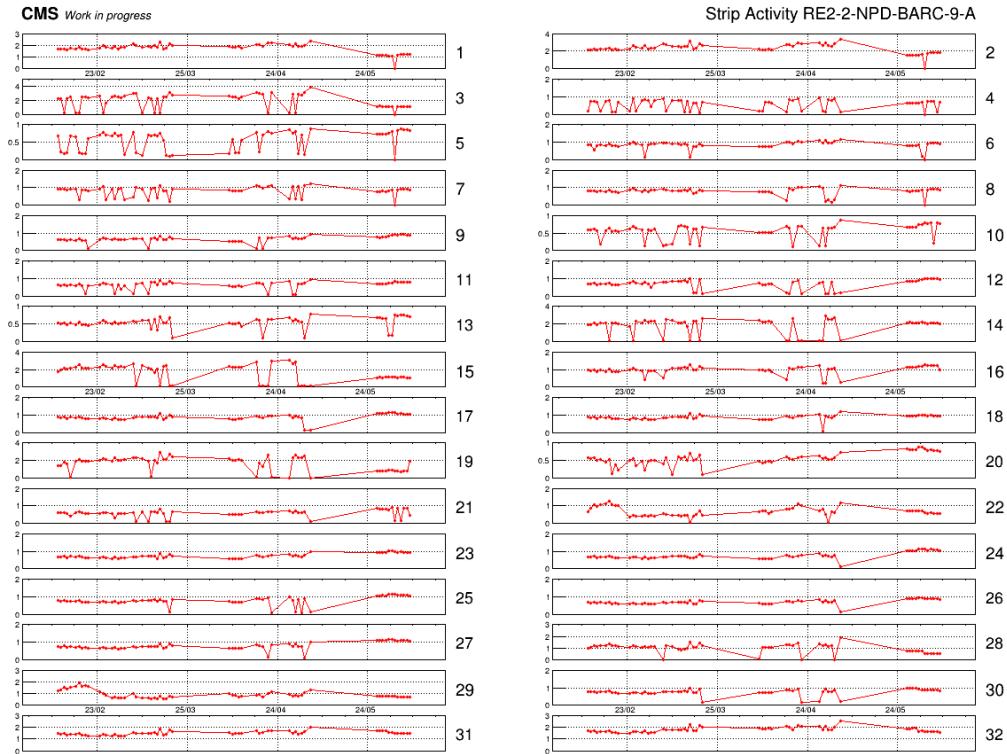


Figure 5.32: Example of strip activity of chamber RE2-2-NPD-BARC-09 monitoring through time. The activity of a strip is defined as the rate of the individual channel normalized to the mean rate measured in the corresponding read-out partition.

3250 5.3.5.3 Weekly noise monitoring scans

3251 Once a week, the source is turned OFF for the CMS RPC to make a noise scan, which consist into
 3252 a HV scan composed of 7 runs and involving both the irradiated but also the reference chambers,
 3253 providing with a weekly monitoring of the evolution of the irradiated chambers noise and dark
 3254 current. The first run is taken at standby voltage for all chambers while the next 6 runs are taken
 3255 with voltages ranging from 9.4 to 9.9 kV in order to have for both type of chambers, RE2 and RE4, a
 3256 coverage of the noise rate in the voltage region in which the efficiency rises and reaches the plateau.

3257 5.3.5.4 Weekly source scans

3258 Directly following the weekly noise scans, HV rate scans are organised at 3 different source settings,
 3259 usually corresponding to ABS 6.8, 4.6 and 3.3. The procedure of these HV scans is strictly similar to

3260 which of weekly noise scans, involving the four RPCs in order to have a weekly comparison of the
 3261 values recorded in every chambers. Measuring with all detectors at the same time allows to get rid
 3262 of potential systematics that might make the rates (noise or gamma) vary from one measurement to
 3263 another. If such systematic effect occurs, it will be observed in all detectors.

3264 **5.3.5.5 Weekly current scans**

3265 The previously detailed daily rate scans, but also the weekly noise and source scans are interesting
 3266 tools to look at an increase of noise rates and dark currents or at a loss of rate capability and point
 3267 to an increase of surface resistivity of the electrodes through the absorption of fluoridric acid. Nev-
 3268 ertheless, periodically measuring the currents on wider high voltage ranges allows to have access
 3269 to the ohmic part of the current driven by the detectors related to the electrodes resistance. This is
 3270 why precise current scans, consisting only in measuring the current driven through the 4 detectors,
 3271 are performed each week. The scan procedure consists in 131 high voltage steps in between 500 V
 3272 and 10 kV by steps of 100 V until the standby voltage of 6.5 kV is reached and then by steps of
 3273 50 V. The current increase in between 500 V and the voltage where charge multiplication starts to
 3274 occur is only driven by the resistance of the detector to current and thus increases linearly. A fit on
 3275 this linear increase of the currents in the range before charge multiplication occurs gives access to
 3276 the resistance of the system electrodes/gas. If any variation of the electrode resistance occurs, the
 3277 global resistance will increase and so will the current. Technically, these scans will record a ROOT
 3278 file per HV step that will have the same format than the CAEN ROOT file saved during other HV
 3279 scans and is also analysed using the Offline Analysis tool to provide with DQM histograms as well
 3280 as standardised I/V tables.

3281 **5.3.5.6 Resistivity measurements**

3282 Aside of the parameters monitored to spot ageing, the resistivity of the HPL planes is measured
 3283 regularly before or after test beam periods through high voltage scans of the detectors operated
 3284 with pure Argon. The electric field strength at which Argon breaks down being well known, the
 3285 breakdown voltage in the detectors is measured and gives an information about the resistance of the
 3286 electrodes, as above the breakdown voltage Argon turns into a conductive plasma and thus does not
 3287 offer electric resistance anymore, which then can be used to calculate the resistivity of the electrode
 3288 material. The Argon line in GIF++ are not kept humid and thus this measurement is not performed
 3289 too often to make sure the electrodes don't dry out, leading to an increase of the electrode resistivity.

3290 **5.3.6 Results and discussions**

3291 Since 2015, CMS RPCs have been irradiated in GIF++ with the goal to reach a total integrated
 3292 charge per irradiated detector of 0.84 C/cm^2 while certifying the detectors to a rate capability of
 3293 600 Hz/cm^2 . As of today, the RE2 and RE4 chambers respectively achieved 51 and 26% of the
 3294 total irradiation program. A few years of irradiation are expected before reaching the end of the
 3295 longevity study and a final answer on whether the detector will be able to live through HL-LHC or
 3296 not. A negative answer to this question would probably lead to solutions to replace the detectors
 3297 before HL-LHC or to improve the shielding of these detectors against background radiation in CMS
 3298 cavern, which could be a more sustainable solution.

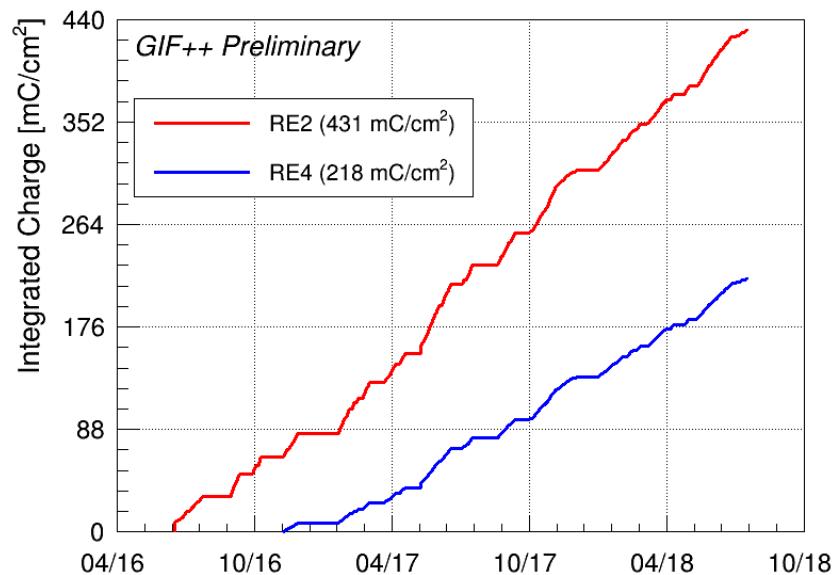


Figure 5.33: Total integrated charge in the irradiated RPCs, RE2–2–NPD–BARC–9 and RE4–2–CERN–165, before starting August 2018 test beam period. The irradiation of the RE2 chamber started in June 2016 while the RE4 chamber couldn't be irradiated before November 2016.

6

3299

3300

Conclusions and outlooks

3301 **6.1 Conclusions**

3302 **6.2 Outlooks**

A

3303

3304

A data acquisition software for CAEN VME TDCs

3305

3306 Certifying detectors in the perspective of HL-LHC required to develop tools for the GIF++ expe-
3307 riment. Among them was the C++ Data Acquisition (DAQ) software that allows to make the commu-
3308 nications in between a computer and TDC modules in order to retrieve the RPC data [106]. In this
3309 appendix, details about this software, as of how the software was written, how it functions and how
3310 it can be exported to another similar setup, will be given.

3311 A.1 GIF++ DAQ file tree

3312 GIF++ DAQ source code is fully available on github at [https://github.com/afagot/GIF_](https://github.com/afagot/GIF_DAQ)
3313 DAQ. The software requires 3 non-optional dependencies:

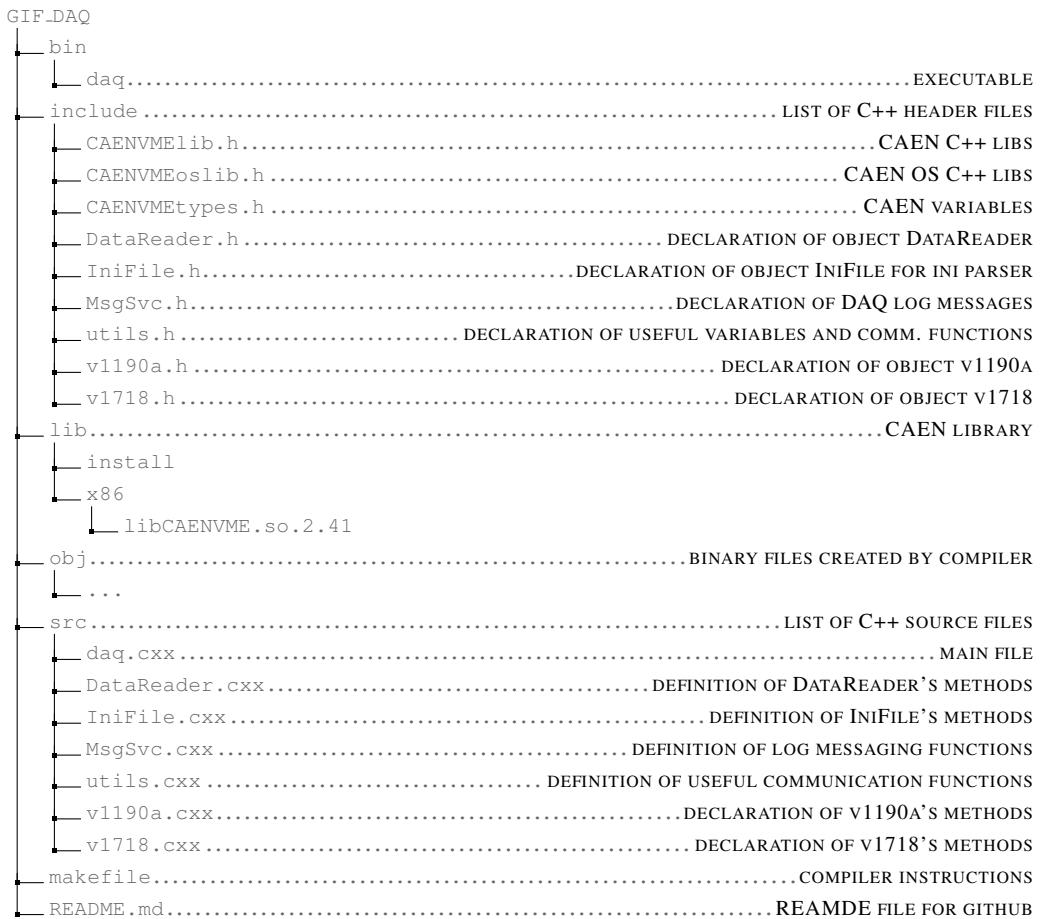
- 3314 • CAEN USB Driver, to mount the VME hardware,
3315 • CAEN VME Library, to communicate with the VME hardware, and
3316 • ROOT, to organize the collected data into a TTree.

3317 The CAEN VME library will not be packaged by distributions and will need to be installed man-
3318 ually. To compile the GIF++ DAQ project via a terminal, from the DAQ folder use the command:

3319
3320 make

3321 The source code tree is provided below along with comments to give an overview of the files' con-
3322 tent. The different objects created for this project (`v1718`, `v1190a`, `IniFile` & `DataReader`) will be
3323 described in details in the following sections.

3324



3325 A.2 Usage of the DAQ

3326 GIF++ DAQ, as used in GIF++, is not a standalone software. Indeed, the system being more com-
 3327 plexe, the DAQ only is a sub-layer of the software architecture developped to control and monitor
 3328 the RPCs that are placed into the bunker for performance study in an irradiated environment. The top
 3329 layer of GIF++ is a Web Detector Control System (webDCS) application. The DAQ is only called
 3330 by the webDCS when data needs to be acquired. The webDCS operates the DAQ through command
 3331 line. To start the DAQ, the webDCS calls:

3332
 3333 bin/daq /path/to/the/log/file/in/the/output/data/folder

3334 where /path/to/the/log/file/in/the/output/data/folder is the only argument required. This
 3335 log file is important for the webDCS as this file contains all the content of the communication of the
 3336 webDCS and the different systems monitored by the webDCS. Its content is constantly displayed
 3337 during data taking for the users to be able to follow the operations. The communication messages
 3338 are normally sent to the webDCS log file via the functions declared in file MsgSvc.h, typically
 3339 MSG_INFO(string message).

3340

3341 A.3 Description of the readout setup

3342 The CMS RPC setup at GIF++ counts 5 V1190A Time-to-Digital Converter (TDC) manufactured
 3343 by CAEN [95]. V1190A are VME units accepting 128 independent Multi-Hit/Multi-Event TDC
 3344 channels whose signals are treated by 4 100 ps high performance TDC chips developed by CERN
 3345 / ECP-MIC Division. The communication between the computer and the TDCs to transfer data is
 3346 done via a V1718 VME master module also manufactured by CAEN and operated from a USB
 3347 port [96]. These VME modules are all hosted into a 6U VME 6021 powered crate manufactured by
 3348 W-Ie-Ne-R than can accommodate up to 21 VME bus cards [107]. These 3 components of the DAQ
 3349 setup are shown in Figure A.1.

3350

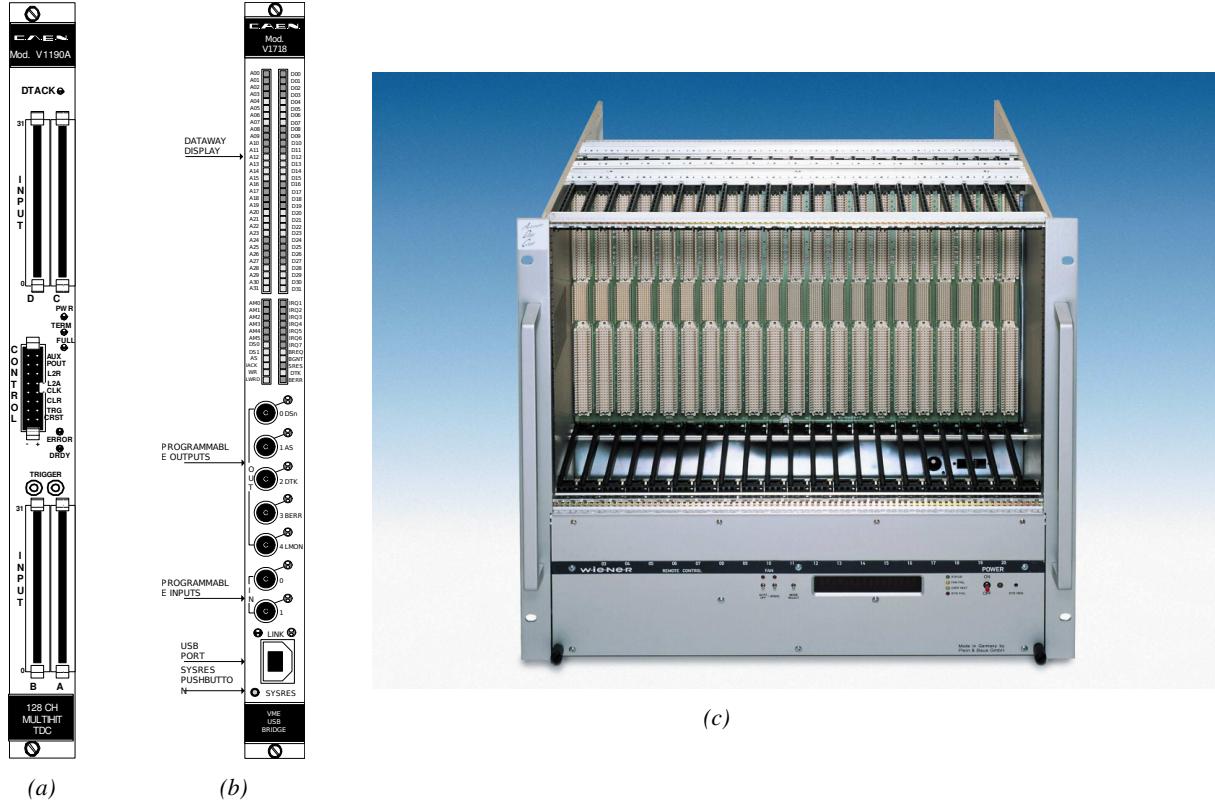


Figure A.1: (A.1a) View of the front panel of a V1190A TDC module [95]. (A.1b) View of the front panel of a V1718 Bridge module [96]. (A.1c) View of the front panel of a 6U 6021 VME crate [107].

3351

A.4 Data read-out

3352 To efficiently perform a data readout algorithm, C++ objects to handle the VME modules (TDCs
 3353 and VME bridge) have been created along with objects to store data and read the configuration file

3354 that comes as an input of the DAQ software.

3355

3356 A.4.1 V1190A TDCs

3357 The DAQ used at GIF takes profit of the *Trigger Matching Mode* offered by V1190A modules.
 3358 This setting is enabled through the method `v1190a::SetTrigMatching (int ntdcs)` where `ntdcs`
 3359 is the total number of TDCs in the setup this setting needs to be enabled for (Source Code A.1). A
 3360 trigger matching is performed in between a trigger time tag, a trigger signal sent into the TRIGGER
 3361 input of the TDC visible on Figure A.1a, and the channel time measurements, signals recorded from
 3362 the detectors under test in our case. Control over this data acquisition mode, explained through
 3363 Figure A.2, is offered via 4 programmable parameters:

- 3364 • **match window:** the matching between a trigger and a hit is done within a programmable time
 3365 window. This is set via the method

3366 `void v1190a::SetTrigWindowWidth(Uint windowHeight, int ntdcs)`

- 3367 • **window offset:** temporal distance between the trigger tag and the start of the trigger matching
 3368 window. This is set via the method

3369 `void v1190a::SetTrigWindowWidth(Uint windowHeight, int ntdcs)`

- 3370 • **extra search margin:** an extended time window is used to ensure that all matching hits are
 3371 found. This is set via the method

3372 `void v1190a::SetTrigSearchMargin(Uint searchMargin, int ntdcs)`

- 3373 • **reject margin:** older hits are automatically rejected to prevent buffer overflows and to speed
 3374 up the search time. This is set via the method

3375 `void v1190a::SetTrigRejectionMargin(Uint rejectMargin, int ntdcs)`

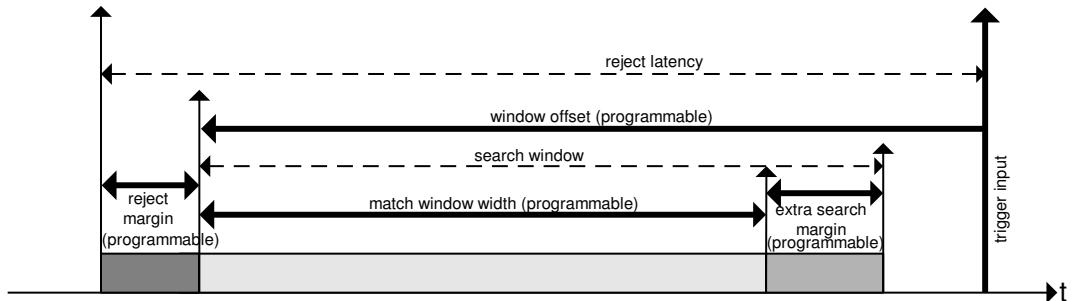


Figure A.2: Module V1190A Trigger Matching Mode timing diagram [95].

3376 Each of these 4 parameters are given in number of clocks, 1 clock being 25 ns long. It is easy to
 3377 understand at this level that there are 3 possible functioning settings:

- 3378 • **1:** the match window is entirely contained after the trigger signal,

- 3379 • **2:** the match window overlaps the trigger signal, or

- 3380 • **3:** the match window is entirely contained before the trigger signal as displayed on Figure A.2.

3381 In both the first and second cases, the sum of the window width and of the offset can be set to
3382 a maximum of 40 clocks, which corresponds to 1 μ s. Evidently, the offset can be negative, allowing
3383 for a longer match window, with the constraint of having the window ending at most 1 μ s after the
3384 trigger signal. In the third case, the maximum negative offset allowed is of 2048 clocks (12 bit) cor-
3385 responding to 51.2 μ s, the match window being strictly smaller than the offset. In the case of GIF++,
3386 the choice has been made to use this last setting by delaying the trigger signal. During the studies
3387 performed in GIF++, both the efficiency of the RPCs, probed using a muon beam, and the noise or
3388 gamma background rate are monitored. The extra search and reject margins are left unused.
3389 To probe the efficiency of RPC detectors, the trigger time tag is provided by the coïncidence of
3390 scintillators when a bunch of muons passes through GIF++ area is used to trigger the data acquisi-
3391 tion. For this measurement, it is useful to reduce the match window width only to contain the muon
3392 information. Indeed, the delay in between a trigger signal and the detection of the corresponding
3393 muon in the RPC being very contant (typically a few tens of ns due to jitter and cable length), the
3394 muon signals are very localised in time. Thus, due to a delay of approximalety 325 ns in between
3395 the muons and the trigger, the settings where chosen to have a window width of 24 clocks (600 ns)
3396 centered on the muon peak thanks to a negative offset of 29 clocks (725 ns).
3397 On the otherhand, monitoring the rates don't require for the DAQ to look at a specific time window.
3398 It is important to integrate enough time to have a robust measurement of the rate as the number of
3399 hits per time unit. The triggerring signal is provided by a pulse generator at a frequency of 300 Hz
3400 to ensure that the data taking occurs in a random way, with respect to beam physics, to probe only
3401 the irradiation spectrum on the detectors. The match window is set to 400 clocks (10 μ s) and the
3402 negative offset to 401 clocks as it needs to exceed the value of the match window.

```

3403 class v1190a
{
    private :
        long             Handle;
        vector<Data32>   Address;
        CVDataWidth      DataWidth;
        CVAddressModifier AddressModifier;

    public:

        v1190a(long handle, IniFile *inifile, int ntdcs);
        ~v1190a();
        Data16 write_op_reg(Data32 address, int code, string error);
        Data16 read_op_reg(Data32 address, string error);
        void Reset(int ntdcs);
        void Clear(int ntdcs);
        void TestWR(Data16 value,int ntdcs);
        void CheckTDCStatus(int ntdcs);
        void CheckCommunication(int ntdcs);
        void SetTDCTestMode(Data16 mode,int ntdcs);
        void SetTrigMatching(int ntdcs);
        void SetTrigTimeSubtraction(Data16 mode,int ntdcs);
        void SetTrigWindowWidth(Uint windowHeight,int ntdcs);
        void SetTrigWindowOffset(Uint windowOffset,int ntdcs);
        void SetTrigSearchMargin(Uint searchMargin,int ntdcs);
        void SetTrigRejectionMargin(Uint rejectMargin,int ntdcs);
        void GetTrigConfiguration(int ntdcs);
        void SetTrigConfiguration(IniFile *inifile,int ntdcs);
        void SetTDCDetectionMode(Data16 mode,int ntdcs);
        void SetTDCResolution(Data16 lsb,int ntdcs);
        void SetTDCDeadTime(Data16 time,int ntdcs);
        void SetTDCHeadTrailer(Data16 mode,int ntdcs);
        void SetTDCEventSize(Data16 size,int ntdcs);
        void SwitchChannels(IniFile *inifile,int ntdcs);
        void SetIRQ(Data32 level, Data32 count,int ntdcs);
        void SetBlockTransferMode(Data16 mode,int ntdcs);
        void Set(IniFile *inifile,int ntdcs);
        void CheckStatus(CVErrorCodes status) const;
        int ReadBlockD32(Uint tdc, const Data16 address,
                         Data32 *data, const Uint words, bool ignore_berr);
        Uint Read(RAWData *DataList,int ntdcs);
};

3404

```

3405 *Source Code A.1: Description of C++ object v1190a.*

3406 The v1190a object, defined in the DAQ software as in Source Code A.1, offers the possibility to
 3407 concatenate all TDCs in the readout setup into a single object containing a list of hardware addresses
 3408 (addresses to access the TDCs' buffer through the VME crate) and each constructor and method acts
 3409 on the list of TDCs.

3410

3411 A.4.2 DataReader

3412 Enabled thanks to v1190a::SetBlockTransferMode(Data16 mode, int ntdcs), the data transfer
 3413 is done via Block Transfer (BLT). Using BLT allows to tranfer a fixed number of events called a
 3414 *block*. This is used together with an Almost Full Level (AFL) of the TDCs' output buffers, defined

3415 through `v1190a::SetIRQ(Data32 level, Data32 count, int ntdcs)`. This AFL gives the maxi-
 3416 mum amount of 32735 words (16 bits, corresponding to the depth of a TDC output buffer) that can
 3417 writen in a buffer before an Interrupt Request (IRQ) is generated and seen by the VME Bridge,
 3418 stopping the data acquisition to transfer the content of each TDC buffers before resuming. For each
 3419 trigger, 6 words or more are written into the TDC buffer:

- 3420 • a **global header** providing information of the event number since the beginning of the data
 acquisition,
- 3422 • a **TDC header**,
- 3423 • the **TDC data** (*if any*), 1 for each hit recorded during the event, providing the channel and the
 time stamp associated to the hit,
- 3425 • a **TDC error** providing error flags,
- 3426 • a **TDC trailer**,
- 3427 • a **global trigger time tag** that provides the absolute trigger time relatively to the last reset,
 and
- 3429 • a **global trailer** providing the total word count in the event.

3430 As previously described in Section ??, CMS RPC FEEs provide us with 100 ns long LVDS out-
 3431 put signals that are injected into the TDCs' input. Any avalanche signal that gives a signal above the
 3432 FEEs threshold is thus recorded by the TDCs as a hit within the match window. Each hit is assigned
 3433 to a specific TDC channel with a time stamp, with a precision of 100 ps. The reference time, $t_0 = 0$,
 3434 is provided by the beginning of the match window. Thus for each trigger, coming from a scintillator
 3435 coïncidence or the pulse generator, a list of hits is stored into the TDCs' buffers and will then be
 3436 transferred into a ROOT Tree.

3437 When the BLT is used, it is easy to understand that the maximum number of words that have
 3438 been set as ALF will not be a finite number of events or, at least, the number of events that would
 3439 be recorded into the TDC buffers will not be a multiple of the block size. In the last BLT cycle to
 3440 tranfer data, the number of events to transfer will most propably be lower than the block size. In that
 3441 case, the TDC can add fillers at the end of the block but this option requires to send more data to the
 3442 computer and is thus a little slower. Another solution is to finish the transfer after the last event by
 3443 sending a bus error that states that the BLT reached the last event in the pile. This method has been
 3444 chosen in GIF++.

3446 Due to irradiation, an event in GIF++ can count up to 300 words per TDC. A limit of 4096 words
 3447 (12 bits) has been set to generate IRQ which represent from 14 to almost 700 events depending on
 3448 the average of hits collected per event. Then the block size has been set to 100 events with enabled
 3449 bus errors. When an AFL is reached for one of the TDCs, the VME bridge stops the acquisition by
 3450 sending a BUSY signal.

3452

```

3453     The data is then transferred one TDC at a time into a structure called RAWData (Source Code A.2).
3454
3455 struct RAWData{
3456     vector<int>           *EventList;
3457     vector<int>           *NHitsList;
3458     vector<int>           *QFlagList;
3459     vector<vector<int> >   *Channellist;
3460     vector<vector<float> > *TimeStampList;
3461 };

```

3456 *Source Code A.2: Description of data holding C++ structure `RAWData`.*

3457 In order to organize the data transfer and the data storage, an object called `DataReader` was
 3458 created (Source Code A.3). On one hand, it has `v1718` and `v1190a` objects as private members for
 3459 communication purposes, such as VME modules settings via the configuration file `*iniFile` or data
 3460 read-out through `v1190a::Read()` and on the other hand, it contains the structure `RAWData` that allows
 3461 to organise the data in vectors reproducing the tree structure of a ROOT file.

```

3462 class DataReader
3463 {
3464     private:
3465     bool      StopFlag;
3466     IniFile *iniFile;
3467     Data32   MaxTriggers;
3468     v1718   *VME;
3469     int       nTDCs;
3470     v1190a  *TDCs;
3471     RAWData TDCData;
3472
3473     public:
3474     DataReader();
3475     virtual ~DataReader();
3476     void      SetIniFile(string inifilename);
3477     void      SetMaxTriggers();
3478     Data32  GetMaxTriggers();
3479     void      SetVME();
3480     void      SetTDC();
3481     int       GetQFlag(UInt it);
3482     void      Init(string inifilename);
3483     void      FlushBuffer();
3484     void      Update();
3485     string  GetFileName();
3486     void      WriteRunRegistry(string filename);
3487     void      Run();
3488 };

```

3464 *Source Code A.3: Description of C++ object `DataReader`.*

3465 Each event is transferred from `TDCData` and saved into branches of a ROOT `TTree` as 3 integers
 3466 that represent the event ID (`EventCount`), the number of hits read from the TDCs (`nHits`), and the
 3467 quality flag that provides information for any problem in the data transfer (`qflag`), and 2 lists of
 3468 `nHits` elements containing the fired TDC channels (`TDCCh`) and their respective time stamps (`TDCTS`),
 3469 as presented in Source Code A.4. The ROOT file file is named using information contained into
 3470 the configuration file, presented in section A.5.2. The needed information is extracted using method
 3471 `DataReader::GetFileName()` and allow to build the output filename format `ScanXXXXXX_HVX_DAQ.root`

3472 where ScanXXXXXX is a 6 digit number representing the scan number into GIFT++ database and HVX
 3473 the HV step within the scan that can be more than a single digit. An example of ROOT data file is
 3474 provided with Figure A.3.

```
3475
RAWData TDCData;
TFile *outputFile = new TFile(outputFileName.c_str(),"recreate");
TTree *RAWDataTree = new TTree("RAWData","RAWData");

int EventCount = -9;
int nHits = -8;
int qflag = -7;
vector<int> TDCCh;
vector<float> TDCTS;

RAWDataTree->Branch("EventNumber",&EventCount, "EventNumber/I");
RAWDataTree->Branch("number_of_hits",&nHits,"number_of_hits/I");
RAWDataTree->Branch("Quality_flag",&qflag,"Quality_flag/I");
RAWDataTree->Branch("TDC_channel",&TDCCh);
RAWDataTree->Branch("TDC_TimeStamp",&TDCTS);

3476
//...
//Here read the TDC data using v1190a::Read() and place it into
//TDCData for as long as you didn't collect the requested amount
//of data.
//...

for(Uint i=0; i<TDCData.EventList->size(); i++){
    EventCount = TDCData.EventList->at(i);
    nHits = TDCData.NHitsList->at(i);
    qflag = TDCData.QFlagList->at(i);
    TDCCh = TDCData.ChannelList->at(i);
    TDCTS = TDCData.TimeStampList->at(i);
    RAWDataTree->Fill();
}
```

3477 *Source Code A.4: Highlight of the data transfer and organisation within DataReader::Run() after the data has been collected into TDCData.*

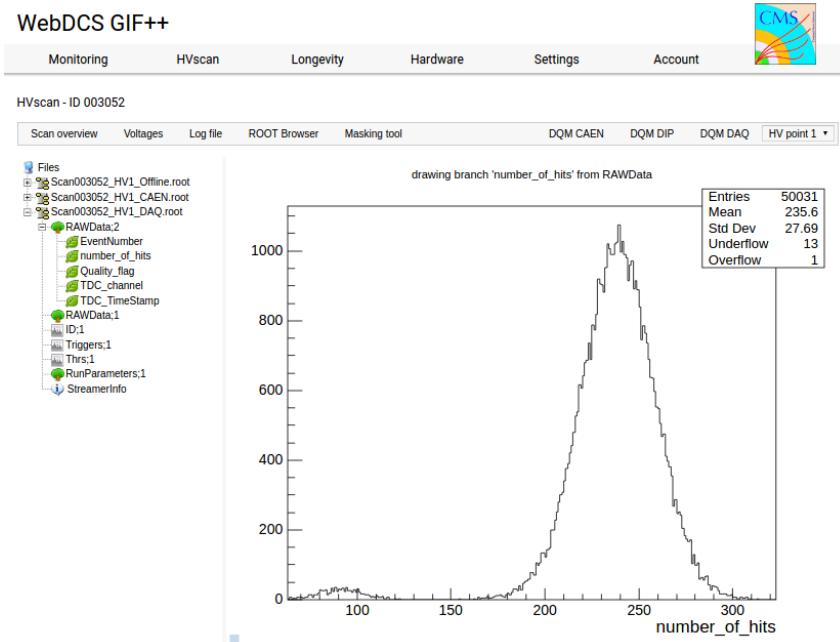


Figure A.3: Structure of the ROOT output file generated by the DAQ. The 5 branches (EventNumber, number_of_hits, Quality_flag, TDC_channel and TDC_TimeStamp) are visible on the left panel of the ROOT browser. On the right panel is visible the histogram corresponding to the variable nHits. In this specific example, there were approximately 50k events recorded to measure the gamma irradiation rate on the detectors. Each event is stored as a single entry in the TTree.

3478 A.4.3 Data quality flag

3479 Among the parameters that are recorded for each event, the quality flag, defined in Source Code A.5,
 3480 is determined on the fly by checking the data recorded by every single TDC. From method `v1190a::Read()`,
 3481 it can be understood that the content of each TDC buffer is readout one TDC at a time. Entries are
 3482 created in the data list for the first TDC and then, when the second buffer is readout, events corre-
 3483 sponding to entries that have already been created to store data for the previous TDC are added to
 3484 the existing list element. On the contrary, when an event entry has not been yet created in the data
 3485 list, a new entry is created.

```
3486
 3487 typedef enum _QualityFlag {
 3488     GOOD      = 1,
 3489     CORRUPTED = 0
 3490 } QualityFlag;
```

3488 *Source Code A.5: Definition of the quality flag `enum`.*

3489 It is possible that each TDC buffer contains a different number of events. In cases where the first
 3490 element in the buffer list is an event for corresponds to a new entry, the difference in between the
 3491 entry from the buffer and the last entry in the data list is recorded and checked. If it is greater than 1,
 3492 what should never be the case, the quality flag is set to CORRUPTED for this TDC and an empty entry
 3493 is created in the place of the missing ones. Missing entries are believe to be the result of a bad hold

3494 on the TDC buffers at the moment of the readout. Indeed, the software hold is effective only on 1
 3495 TDC at a time and no solution as been found yet to completely block the writting in the buffers when
 3496 an IRQ is received.

3497 At the end of each BLT cycle, the ID of the last entry stored for each TDC buffer is not recorded.
 3498 When starting the next cycle, if the first entry in the pile corresponds to an event already existing
 3499 in the list, the readout will start from this list element and will not be able to check the difference
 3500 in between this entry's ID and the one of the last entry that was recorded for this TDC buffer in
 3501 the previous cycle. In the case events were missing, the flag stays at its initial value of 0, which is
 3502 similar to CORRUPTED and it is assumed that then this TDC will not contribute to `number_of_hits`,
 3503 `TDC_channel` or `TDC_TimeStamp`.

3504 Finally, since there will be 1 `RAWData` entry per TDC for each event (meaning `nTDCs` entries,
 3505 referring to `DataReader` private attribute), the individual flags of each TDC will be added together.
 3506 The final format is an integer composed `nTDCs` digits where each digit is the flag of a specific TDC.
 3507 This is constructed using powers of 10 like follows:

```
3508     TDC 0: QFlag = 100 × _QualityFlag
 3509     TDC 1: QFlag = 101 × _QualityFlag
 3510     ...
 3511     TDC N: QFlag = 10N × _QualityFlag
```

3512 and the final flag to be with N digits:

```
3513     QFlag = n....3210
```

3514 each digit being 1 or 0. Below is given an example with a 4 TDCs setup.

3515 If all TDCs were good : `QFlag = 1111`,

3516 but if TDC 2 was corrupted : `QFlag = 1011`.

3517 When data taking is over and the data contained in the dynamical `RAWData` structure is transferred
 3518 to the ROOT file, all the 0s are changed into 2s by calling the method `DataReader::GetQFlag()`.
 3519 This will help translating the flag without knowing the number of TDCs beforehand. Indeed, a flag
 3520 111 could be due to a 3 TDC setup with 3 good individual TDC flags or to a more than 3 TDC setup
 3521 with TDCs those ID is greater than 2 being CORRUPTED, thus giving a 0.

3522 The quality flag has been introduced quite late, in October 2017 only, to the list of GIFT++ DAQ
 3523 parameters to be recorded into the output ROOT file. Before this addition, the missing data, corrupting
 3524 the quality for the offline analysis, was contributing to artificially fill data with lower multiplicity.
 3525 Looking at `TBranch number_of_hits` provides an information about the data of the full GIFT++
 3526 setup. When a TDC is not able to transfer data for a specific event, the effect is a reduction of the
 3527 total number of hits recorded in the full setup, this is what can be seen from Figure A.4. After offline
 3528 reconstruction detector by detector, the effect of missing events can be seen in the artificially filled
 3529 bin at multiplicity 0 shown in Figure A.5. Nonetheless, for data with high irradiation levels, as it is
 3530 the case for Figure A.5a, discarding the fake multiplicity 0 data can be done easily during the offline
 3531 analysis. At lower radiation, the missing events contribution becomes more problematic as the mul-
 3532 tiplicity distribution overlaps the multiplicity 0 and that in the same time the proportion of missing

events decreases. Attempts to fit the distribution with a Poisson or skew distribution function were not conclusive and this very problem has been at the origin of the quality flag that allows to give a non ambiguous information about each event quality.

3536

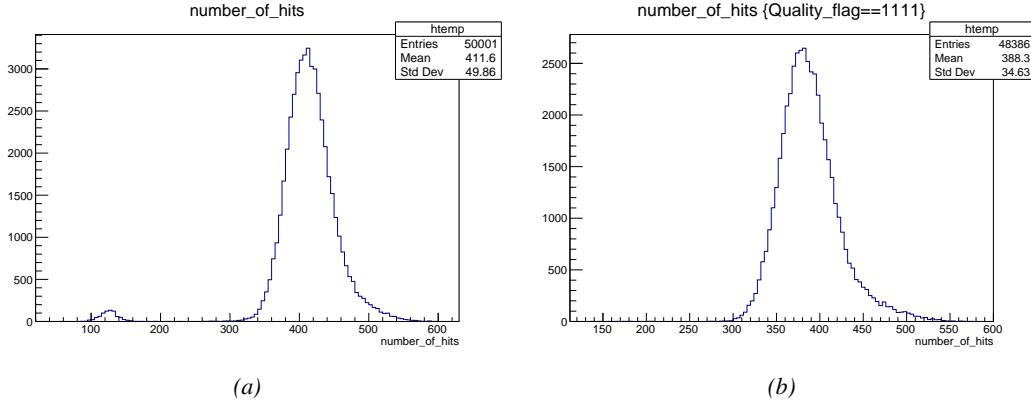


Figure A.4: The effect of the quality flag is explained by presenting the content of TBranch `number_of_hits` of a data file without `Quality_flag` in Figure A.4a and the content of the same TBranch for data corresponding to a `Quality_flag` where all TDCs were labelled as `GOOD` in Figure A.4b taken with similar conditions. It can be noted that the number of entries in Figure A.4b is slightly lower than in Figure A.4a due to the excluded events.

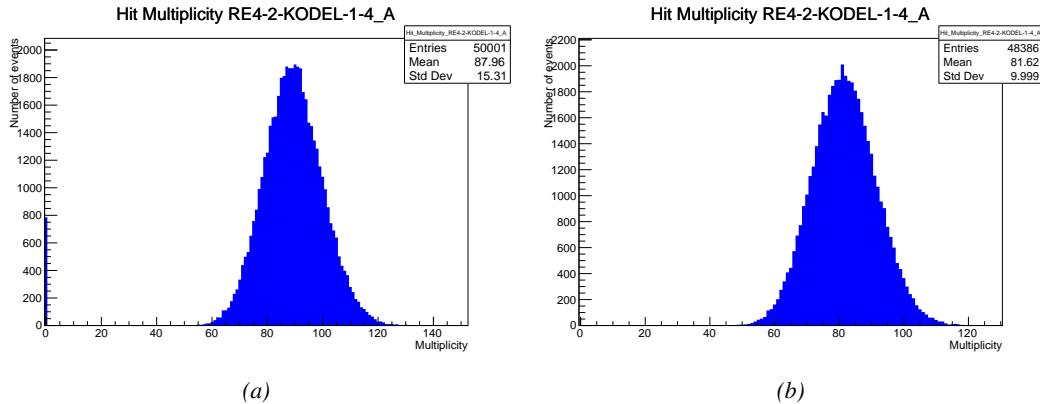


Figure A.5: Using the same data as previously showed in Figure A.4, the effect of the quality flag is explained by presenting the reconstructed hit multiplicity of a data file without `Quality_flag` in Figure A.5a and the reconstructed content of the same RPC partition for data corresponding to a `Quality_flag` where all TDCs were labelled as `GOOD` in Figure A.5b taken with similar conditions. The artificial high content of bin 0 is completely suppressed.

A.5 Communications

3537 To ensure data readout and dialog in between the machine and the TDCs or in between the webDCS
3539 and the DAQ, different communication solutions were used. First of all, it is important to have a

3540 module to allow the communication in between the TDCs and the computer from which the DAQ
 3541 operates. When this communication is effective, shifters using the webDCS to control data taking
 3542 can thus send instructions to the DAQ.

3543

3544 A.5.1 V1718 USB Bridge

3545 In the previous section, the data transfer has been discussed. The importance of the `v1718` object
 3546 (Source Code A.6), used as private member of `DataReader`, was not explicated. VME master
 3547 modules are used for communication purposes as they host the USB port that connects the pow-
 3548 ered crate buffer to the computer where the DAQ is installed. From the source code point of view,
 3549 this object is used to control the communication status, by reading the returned error codes with
 3550 `v1718::CheckStatus()`, or to check for IRQs coming from the TDCs through `v1718::CheckIRQ()`.
 3551 Finally, to ensure that triggers are blocked at the hardware level, a NIM pulse is sent out of one of the
 3552 5 programmable outputs (`v1718::SendBUSY()`) to the VETO of the coincidence module where the
 3553 trigger signals originate from. As long as this signal is ON, no trigger can reach the TDCs anymore.
 3554

```
3555 class v1718{
    private:
        int Handle;
        Data32 Data;           // Data
        CVIRQLevels Level;    // Interrupt level
        CVAddressModifier AM;  // Addressing Mode
        CVDataWidth dataSize; // Data Format
        Data32 BaseAddress;   // Base Address

    public:
        v1718(IniFile *inifile);
        ~v1718();
        long GetHandle(void) const;
        int GetData(Data16 data);
        Data16 GetData(void);
        int SetLevel(CVIRQLevels level);
        CVIRQLevels GetLevel(void);
        int SetAM(CVAddressModifier am);
        CVAddressModifier GetAM(void);
        int SetDatasize(CVDataWidth datasize);
        CVDataWidth GetDataSize(void);
        int SetBaseAddress(Data16 baseaddress);
        Data16 GetBaseAddress(void);
        void CheckStatus(CVErrorCodes status) const;
        void CheckIRQ();
        void SetPulsers();
        void SendBUSY(BusyLevel level);
};
```

3556 *Source Code A.6: Description of C++ object v1718.*

3557 A.5.2 Configuration file

3558 The DAQ software takes as input a configuration file written using INI standard [108]. This file is
 3559 partly filled with the information provided by the shifters when starting data acquisition using the
 3560 webDCS, as shown by Figure A.6. This information is written in section [`General`] and will later

3561 be stored in the ROOT file that contains the DAQ data as can be seen from Figure A.3. Indeed,
 3562 another `TTree` called `RunParameters` as well as the 2 histograms `ID`, containing the scan number,
 3563 start and stop time stamps, and `Triggers`, containing the number of triggers requested by the shifter,
 3564 are available in the data files. Moreover, `ScanID` and `HV` are then used to construct the file name
 3565 thanks to the method `DataReader::GetFileName()`.

WebDCS GIF++

Monitoring HVscan Longevity Hardware Settings Account CMS

DAQ High Voltage Scan

Type scan: Rate Scan Comments:

Source configuration: Source OFF U 333 D 333 HV after scan: Turn off

Beam configuration: Beam OFF

Waiting time: 1 (min)

Trigger mode: External Internal Random

Minimal measure time: 10 (min)

Chamber	RE2-2-NPD-BARC-8	RE4-2-CERN-106	RE2-3-NPD-BARC-9	RE4-2-CERN-165	RE4-2-KODEL-1-4	Max triggers
HV _{eff} 1	8600	8500	8600	8500	6500	
HV _{eff} 2	8700	8600	8700	8600	6600	
HV _{eff} 3	8800	8700	8800	8700	6700	
HV _{eff} 4	8900	8800	8900	8800	6800	
HV _{eff} 5	9000	8900	9000	8900	6900	
HV _{eff} 6	9100	9000	9100	9000	7000	
HV _{eff} 7	9200	9100	9200	9100	7100	
HV _{eff} 8	9300	9200	9300	9200	7200	
HV _{eff} 9	9400	9300	9400	9300	7300	
HV _{eff} 10	9500	9400	9500	9400	7400	

Start HV scan

Figure A.6: WebDCS DAQ scan page. On this page, shifters need to choose the type of scan (Rate, Efficiency or Noise Reference scan), the gamma source configuration at the moment of data taking, the beam configuration, and the trigger mode. These information will be stored in the DAQ ROOT output. Are also given the minimal measurement time and waiting time after ramping up of the detectors is over before starting the data acquisition. Then, the list of HV points to scan and the number of triggers for each run of the scan are given in the table underneath.

3566 The rest of the information is written beforehand in the configuration file template, as explicated
 3567 in Source Code A.7, and contains the hardware addresses to the different VME modules in the
 3568 setup as well as settings for the TDCs. As the TDC settings available in the configuration file are not
 3569 supposed to be modified, an improvement would be to remove them from the configuration file and
 3570 to hardcode them inside of the DAQ code itself or to place them into a different INI file that would
 3571 host only the TDC settings to lower the probability for a bad manipulation of the configuration file
 3572 that can be modified from one of webDCS' menus.

3573

```
[General]
TdcS=4
ScanID=$scanid
HV=$HV
RunType=$runtype
MaxTriggers=$maxtriggers
Beam=$beam
[VMEInterface]
Type=V1718
BaseAddress=0xFF0000
Name=VmeInterface
[TDC0]
Type=V1190A
BaseAddress=0x00000000
Name=Tdc0
StatusA00-15=1
StatusA16-31=1
StatusB00-15=1
StatusB16-31=1
StatusC00-15=1
StatusC16-31=1
StatusD00-15=1
StatusD16-31=1
[TDC1]
Type=V1190A
BaseAddress=0x11110000
Name=Tdc1
StatusA00-15=1
StatusA16-31=1
StatusB00-15=1
StatusB16-31=1
StatusC00-15=1
StatusC16-31=1
StatusD00-15=1
StatusD16-31=1
[TDC2]
Type=V1190A
BaseAddress=0x22220000
Name=Tdc2
StatusA00-15=1
StatusA16-31=1
StatusB00-15=1
StatusB16-31=1
StatusC00-15=1
StatusC16-31=1
StatusD00-15=1
StatusD16-31=1
[TDC3]
Type=V1190A
BaseAddress=0x44440000
Name=Tdc3
StatusA00-15=1
StatusA16-31=1
StatusB00-15=1
StatusB16-31=1
StatusC00-15=1
StatusC16-31=1
StatusD00-15=1
StatusD16-31=1
[TDCSettings]
TriggerExtraSearchMargin=0
TriggerRejectMargin=0
TriggerTimeSubtraction=0b1
TdcDetectionMode=0b01
TdcResolution=0b10
TdcDeadTime=0b00
TdcHeadTrailer=0b1
TdcEventSize=0b1001
TdcTestMode=0b0
BLTMode=1
```

3574

Source Code A.7: INI configuration file template for 4 TDCs. In section [General], the number of TDCs is explicitated and information about the ongoing run is given. Then, there are sections for each and every VME modules. There buffer addresses are given and for the TDCs, the list of channels to enable is given. Finally, in section [TDCSettings], a part of the TDC settings are given.

3576 In order to retrieve the information of the configuration file, the object `IniFile` has been developed
 3577 to provide an INI parser, presented in Source Code A.8. It contains private methods returning a
 3578 boolean to check the type of line written in the file, whether a comment, a group header or a key line
 3579 (`IniFile::CheckIfComment()`, `IniFile::CheckIfGroup()` and `IniFile::CheckIfToken()`). The
 3580 key may sometimes be referred to as *token* in the source code. Moreover, the private element
 3581 `FileData` is a map of `const string` to `string` that allows to store the data contained inside the
 3582 configuration file via the public method `IniFile::GetFileData()` following the formatting (see
 3583 method `IniFile::Read()`):

```
3584
  3585     string group, token, value;
  // Get the field values for the 3 strings.
  // Then concatenate group and token together as a single string
  // with a dot separation.
  token = group + "." + token;
  FileData[token] = value;
```

3586 More methods have been written to translate the different keys into the right variable format
 3587 when used by the DAQ. For example, to get a `float` value out of the configuration file data, knowing
 3588 the group and the key needed, the method `IniFile::floatType()` can be used. It takes 3 arguments
 3589 being the group name and key name (both `string`), and a default `float` value used as exception in
 3590 the case the expected combination of group and key cannot be found in the configuration file. This
 3591 default value is then used and the DAQ continues on working after sending an alert in the log file for
 3592 further debugging.

```

3593 typedef map< const string, string > IniFileData;
3594
class IniFile{
    private:
        bool          CheckIfComment (string line);
        bool          CheckIfGroup(string line, string& group);
        bool          CheckIfToken(string line, string& key, string& value);
        string         FileName;
        IniFileData   FileData;
        int           Error;

    public:
        IniFile();
        IniFile(string filename);
        virtual      ~IniFile();

        // Basic file operations
        void          SetFileName(string filename);
        int           Read();
        int           Write();
        IniFileData GetFileData();

        // Data readout methods
        Data32         addressType (string groupname, string keyname, Data32
→     defaultvalue);
        long          intType     (string groupname, string keyname, long
→     defaultvalue);
        long long    longType    (string groupname, string keyname, long long
→     defaultvalue );
        string         stringType  (string groupname, string keyname, string
→     defaultvalue );
        float         floatType   (string groupname, string keyname, float
→     defaultvalue );

        // Error methods
        string         GetErrorMsg();
    };

```

3595 *Source Code A.8: Description of C++ object `IniFile` used as a parser for INI file format.*

3596 A.5.3 WebDCS/DAQ intercommunication

3597 When shifters send instructions to the DAQ via the configuration file, it is the webDCS itself that
 3598 gives the start command to the DAQ and then the 2 softwares use inter-process communication
 3599 through file to synchronise themselves. This communication file is represented by the variable **const**
 3600 string __runstatuspath.

3601 On one side, the webDCS sends commands or status that are readout by the DAQ:

- 3602 • INIT, status sent when launching a scan and read via function `CtrlRunStatus(...)`,
- 3603 • START, command to start data taking and read via function `CheckSTART()`,
- 3604 • STOP, command to stop data taking at the end of the scan and read via function `CheckSTOP()`,
 3605 and
- 3606 • KILL, command to kill data taking sent by user and read via function `CheckKILL()`

3607 and on the other, the DAQ sends status that are controled by the webDCS:

- 3608 ● `DAQ_RDY`, sent with `SendDAQReady()` to signify that the DAQ is ready to receive commands
- 3609 from the webDCS,
- 3610 ● `RUNNING`, sent with `SendDAQRunning()` to signify that the DAQ is taking data,
- 3611 ● `DAQ_ERR`, sent with `SendDAQError()` to signify that the DAQ didn't receive the expected com-
- 3612 mand from the webDCS or that the launch command didn't have the right number of argu-
- 3613 ments,
- 3614 ● `RD_ERR`, sent when the DAQ wasn't able to read the communication file, and
- 3615 ● `WR_ERR`, sent when the DAQ wasn't able to write into the communication file.

3616 **A.5.4 Example of inter-process communication cycle**

3617 Under normal conditions, the webDCS and the DAQ processes exchange commands and status via
 3618 the file hosted at the address `__runstatuspath`, as explained in subsection A.5.3. An example of
 3619 cycle is given in Table A.1. In this example, the steps 3 to 5 are repeated as long as the webDCS tells
 3620 the DAQ to take data. A data taking cycle is the equivalent as what is called a *Scan* in GIFT++ jargon,
 3621 referring to a set a runs with several HV steps. Each repetition of steps 3 to 5 is then equivalent to a
 3622 single *Run*.

3623

3624 At any moment during the data taking, for any reason, the shifter can decide that the data taking
 3625 needs to be stopped before it reached the end of the scheduled cycle. Thus at any moment on the
 3626 cycle, the content of the inter-process communication file will be changed to `KILL` and the DAQ will
 3627 shut down right away. The DAQ checks for `KILL` signals every 5s after the TDCs configuration is
 3628 over. So far, the function `CheckKILL()` has been used only inside of the data taking loop of method
 3629 `DataReader::Run()` and thus, if the shifter decides to KILL the data taking during the TDC con-
 3630 figuration phase or the HV ramping in between 2 HV steps, the DAQ will not be stopped smoothly
 3631 and a *force kill* command will be sent to stop the DAQ process that is still awake on the computer.
 3632 Improvements can be brought on this part of the software to make sure that the DAQ can safely
 3633 shutdown at any moment.

3634

3635 **A.6 Software export**

3636 In section A.2 was discussed the fact that the DAQ as written in its last version is not a standalone
 3637 software. It is possible to make it a standalone program that could be adapted to any VME setup
 3638 using V1190A and V1718 modules by creating a GUI for the software or by printing the log mes-
 3639 sages that are normally printed in the webDCS through the log file, directly into the terminal. This
 3640 method was used by the DAQ up to version 3.0 moment where the webDCS was completed. Also, it
 3641 is possible to check branches of DAQ v2.X to have example of communication through a terminal.

3642

3643 DAQ v2.X is nonetheless limited in it's possibilities and requires a lot of offline manual interven-
 3644 tions from the users. Indeed, there is no communication of the software with the detectors' power
 3645 supply system that would allow for a user a predefine a list of voltages to operate the detectors at

step	actions of webDCS	status of DAQ	<code>__runstatuspath</code>
1	launch DAQ ramp voltages ramping over wait for currents stabilization	readout of IniFile configuration of TDCs	INIT
2		configuration done send DAQ ready wait for START signal	DAQ_RDY
3	waiting time over send START		START
4	wait for run to end monitor DAQ run status	data taking ongoing check for KILL signal	RUNNING
5		run over send DAQ_RDY wait for next DCS signal	DAQ_RDY
6	ramp voltages ramping over wait for currents stabilization		DAQ_RDY
3	waiting time over send START		START
4	wait for run to end monitor DAQ run status	update IniFile information data taking ongoing check for KILL signal	RUNNING
5		run over send DAQ_RDY wait for next DCS signal	DAQ_RDY
7	send command STOP	DAQ shuts down	STOP

Table A.1: Inter-process communication cycles in between the webDCS and the DAQ through file string signals.

3646 and loop over to take data without any further manual intervention. In v2.X, the data is taken for a
3647 single detector setting and at the end of each run, the softwares asks the user if he intends on taking
3648 more runs. If so, the software invites the user to set the operating voltages accordingly to what is
3649 necessary and to manual update the configuration file in consequence. This working mode can be a
3650 very first approach before an evolution and has been successfully used by colleagues from different
3651 collaborations.

3652
3653 For a more robust operation, it is recommended to develop a GUI or a web application to inter-
3654 face the DAQ. Moreover, to limit the amount of manual interventions, and thus the probability to
3655 make mistakes, it is also recommended to add an extra feature into the DAQ by installing the HV
3656 Wrapper library provided by CAEN of which an example of use in a similar DAQ software devel-
3657 opped by a master student of UGent, and called TinyDAQ, is provided on UGent's github. Then, this
3658 HV Wrapper will help you communicating with and give instructions to a CAEN HV powered crate
3659 and can be added into the DAQ at the same level where the communication with the user was made
3660 in DAQ v2.X. In case you are using another kind of power system for your detectors, it is stringly
3661 adviced to use HV modules or crates that can be remotely controled via a using C++ libraries.
3662

B

3663

3664

Details on the offline analysis package

3665 The data collected in GIF++ thanks to the DAQ described in Appendix A is difficult to interpret by
3666 a human user that doesn't have a clear idea of the raw data architecture of the ROOT data files. In
3667 order to render the data human readable, a C++ offline analysis tool was designed to provide users
3668 with detector by detector histograms that give a clear overview of the parameters monitored during
3669 the data acquisition [104]. In this appendix, details about this software in the context of GIF++, as
3670 of how the software was written and how it functions will be given.

3671 B.1 GIF++ Offline Analysis file tree

3672 GIF++ Offline Analysis source code is fully available on github at https://github.com/aafagot/GIF_OfflineAnalysis. The software requires ROOT as non-optionnal dependency
3673 as it takes ROOT files in input and write an output ROOT file containing histograms. To compile the
3674 GIF++ Offline Analysis project is compiled with cmake. To compile, first a build/ directory must
3675 be created to compile from there:
3676

```
3677     mkdir build
 3678     cd build
 3679     cmake ..
 3680     make
 3681     make install
```

3679 To clean the directory and create a new build directory, the bash script cleandir.sh can be used:

```
3680
 3681     ./cleandir.sh
```

3682 The source code tree is provided below along with comments to give an overview of the files' con-
3683 tent. The different objects created for this project (`Infrastructure`, `Trolley`, `RPC`, `Mapping`, `RPCHit`,
3684 `RPCCluster` and `Inifile`) will be described in details in the following sections.

3685

```

GIF_OfflineAnalysis
├── bin
│   └── offlineanalysis ..... EXECUTABLE
├── build..... CMAKE COMPILATION DIRECTORY
└── ...
    ├── include..... LIST OF C++ HEADER FILES
    │   ├── Cluster.h..... DECLARATION OF OBJECT RPCCLUSTER
    │   ├── Current.h..... DECLARATION OF GETCURRENT ANALYSIS MACRO
    │   ├── GIFTrolley.h..... DECLARATION OF OBJECT TROLLEY
    │   ├── Infrastructure.h..... DECLARATION OF OBJECT INFRASTRUCTURE
    │   ├── IniFile.h..... DECLARATION OF OBJECT INI FILE FORINI PARSER
    │   ├── Mapping.h..... DECLARATION OF OBJECT MAPPING
    │   ├── MsgSvc.h..... DECLARATION OF OFFLINE LOG MESSAGES
    │   ├── OfflineAnalysis.h..... DECLARATION OF DATA ANALYSIS MACRO
    │   ├── RPCTracker.h..... DECLARATION OF OBJECT RPC
    │   ├── RPCHit.h..... DECLARATION OF OBJECT RPCHIT
    │   ├── types.h..... DEFINITION OF USEFUL VARIABLE TYPES
    │   └── utils.h..... DECLARATION OF USEFUL FUNCTIONS
    ├── obj..... BINARY FILES CREATED BY COMPILER
    └── ...
        ├── src..... LIST OF C++ SOURCE FILES
        │   ├── Cluster.cc..... DEFINITION OF OBJECT RPCCLUSTER
        │   ├── Current.cc .....

```

3686 B.2 Usage of the Offline Analysis

3687 In order to use the Offline Analysis tool, it is necessary to know the Scan number and the HV Step
 3688 of the run that needs to be analysed. This information needs to be written in the following format:

3689

3690 Scan00XXXX_HVY

3691 where XXXX is the scan ID and y is the high voltage step (in case of a high voltage scan, data will be
 3692 taken for several HV steps). This format corresponds to the base name of data files in the database

3693 of the GIF++ webDCS. Usually, the offline analysis tool is automatically called by the webDCS at
 3694 the end of data taking or by a user from the webDCS panel if an update of the tool was brought.
 3695 Nonetheless, an expert can locally launch the analysis for tests on the GIF++ computer, or a user can
 3696 get the code on its local machine from github and download data from the webDCS for its own anal-
 3697 ysis. To launch the code, the following command can be used from the `GIF_OfflineAnalysis` folder:

3698
 3699 `bin/offlineanalysis /path/to/Scan00XXXX_HVY`

3700 where, `/path/to/Scan00XXXX_HVY` refers to the local data files. Then, the offline tool will by itself
 3701 take care of finding all available ROOT data files present in the folder, as listed below:

- 3702 ● `Scan00XXXX_HVY_DAQ.root` containing the TDC data as described in Appendix A.4.2 (events,
 3703 hit and timestamp lists), and
- 3704 ● `Scan00XXXX_HVY_CAEN.root` containing the CAEN mainframe data recorded by the monitor-
 3705 ing tool webDCS during data taking (HVs and currents of every HV channels). This file is
 3706 created independently of the DAQ.

3707 **B.2.1 Output of the offline tool**

3708 **B.2.1.1 ROOT file**

3709 The analysis gives in output ROOT datafiles that are saved into the data folder and called using the
 3710 naming convention `Scan00XXXX_HVY_Offline.root`. Inside those, a list of `TH1` histograms can be
 3711 found. Its size will vary as a function of the number of detectors in the setup as each set of histograms
 3712 is produced detector by detector. For each partition of each chamber, can be found:

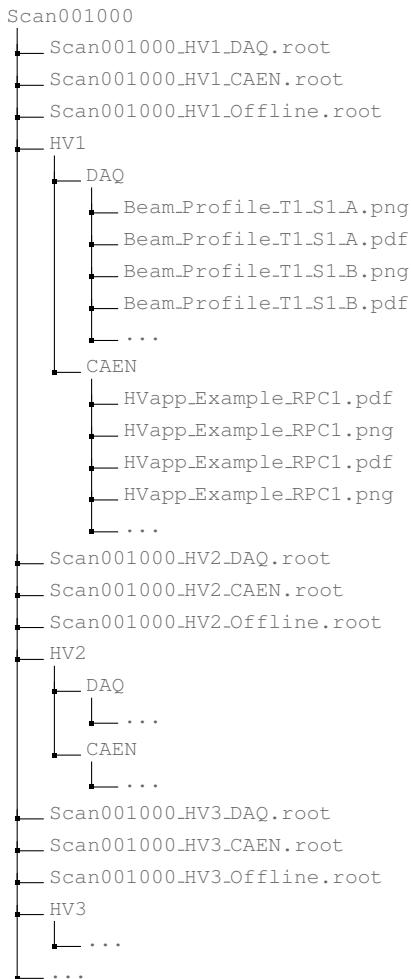
- 3713 ● `Time_Profile_Tt_Sc_p` shows the time profile of all recorded events (number of events per
 3714 time bin),
- 3715 ● `Hit_Profile_Tt_Sc_p` shows the hit profile of all recorded events (number of events per chan-
 3716 nel),
- 3717 ● `Hit_Multiplicity_Tt_Sc_p` shows the hit multiplicity (number of hits per event) of all recorded
 3718 events (number of occurrences per multiplicity bin),
- 3719 ● `Strip_Mean_Noise_Tt_Sc_p` shows noise/gamma rate per unit area for each strip in a se-
 3720 lected time range. After filters are applied on `Time_Profile_Tt_Sc_p`, the filtered version
 3721 of `Hit_Profile_Tt_Sc_p` is normalised to the total integrated time and active detection area
 3722 of a single channel,
- 3723 ● `Strip_Activity_Tt_Sc_p` shows noise/gamma activity for each strip (normalised version of
 3724 previous histogram - strip activity = strip rate / average partition rate),
- 3725 ● `Strip_Homogeneity_Tt_Sc_p` shows the *homogeneity* of a given partition ($\text{homogeneity} = \exp(-\text{strip rates standard deviation(strip rates in partition/average partition rate)})$),
- 3727 ● `mask_Strip_Mean_Noise_Tt_Sc_p` shows noise/gamma rate per unit area for each masked
 3728 strip in a selected time range. Offline, the user can control the noise/gamma rate and decide to
 3729 mask the strips that are judged to be noisy or dead. This is done via the *Masking Tool* provided
 3730 by the webDCS,

- 3731 ● `mask_Strip_Activity_Tt_Sc_p` shows noise/gamma activity per unit area for each masked
3732 strip with respect to the average rate of active strips,
- 3733 ● `NoiseCSize_H_Tt_Sc_p` shows noise/gamma cluster size, a cluster being constructed out of
3734 adjacent strips giving a signal at the *same time* (hits within a time window of 25 ns),
- 3735 ● `NoiseCMult_H_Tt_Sc_p` shows noise/gamma cluster multiplicity (number of reconstructed
3736 clusters per event),
- 3737 ● `Chip_Mean_Noise_Tt_Sc_p` shows the same information than `Strip_Mean_Noise_Tt_Scp` us-
3738 ing a different binning (1 chip corresponds to 8 strips),
- 3739 ● `Chip_Activity_Tt_Sc_p` shows the same information than `Strip_Activity_Tt_Scp` using
3740 chip binning,
- 3741 ● `Chip_Homogeneity_Tt_Sc_p` shows the homogeneity of a given partition using chip binning,
- 3742 ● `Beam_Profile_Tt_Sc_p` shows the estimated beam profile when taking efficiency scan. This
3743 is obtained by filtering `Time_Profile_Tt_Sc_p` to only consider the muon peak where the
3744 noise/gamma background has been subtracted. The resulting hit profile corresponds to the
3745 beam profile on the detector channels,
- 3746 ● `L0_Efficiency_Tt_Sc_p` shows the level 0 efficiency that was estimated **without** muon track-
3747 ing,
- 3748 ● `MuonCSize_H_Tt_Sc_p` shows the level 0 muon cluster size that was estimated **without** muon
3749 tracking, and
- 3750 ● `MuonCMult_H_Tt_Sc_p` shows the level 0 muon cluster multiplicity that was estimated **without**
3751 muon tracking.

3752 In the histogram labels, t stands for the trolley number (1 or 3), c for the chamber slot label in
3753 trolley t and p for the partition label (A, B, C or D depending on the chamber layout) as explained
3754 in Chapter 5.3.

3755 In the context of GIF++, an extra script called by the webDCS is called to extract the histograms
3756 from the ROOT files. The histograms are then stored in PNG and PDF formats into the correspond-
3757 ing folder (a single folder per HV step, so per ROOT file). the goal is to then display the histograms
3758 on the Data Quality Monitoring (DQM) page of the webDCS in order for the users to control the
3759 quality of the data taking at the end of data taking. An example of histogram organisation is given
3760 below:

3762



3763 *Here can put some screens from the webDCS to show the DQM and the plots available to users.*
 3764

3765 **B.2.1.2 CSV files**

3766 Moreover, up to 3 CSV files can be created depending on which ones of the 3 input files were in the
 3767 data folder:

- 3768 • `Offline-Corrupted.csv` , is used to keep track of the amount of data that was corrupted and
 3769 removed from old data format files that don't contain any data quality flag.
- 3770 • `Offline-Current.csv` , contains the summary of the currents and voltages applied on each
 3771 RPC HV channel.
- 3772 • `Offline-L0-EffC1.csv` , is used to write the efficiencies, cluster size and cluster multiplicity
 3773 of efficiency runs. Note that `L0` refers here to *Level 0* and means that the results of efficiency and
 3774 clusterization are a first approximation calculated without performing any muon tracking in

3775 between the different detectors. This offline tool provides the user with a preliminar calculation
 3776 of the efficiency and of the muon event parameters. Another analysis software especially
 3777 dedicated to muon tracking is called on selected data to retrieve the results of efficiency and
 3778 muon clusterization using a tracking algorithm to discriminate noise or gamma from muons
 3779 as muons are the only particles that pass through the full setup, leaving hits than can be used
 3780 to reconstruct their tracks.

- 3781 ● `Offline-Rate.csv`, is used to write the noise or gamma rates measured in the detector readout
 3782 partitions.

3783 Note that these 4 CSV files are created along with their *headers* (`Offline-[...]-Header.csv`
 3784 containing the names of each data columns) and are automatically merged together when the offline
 3785 analysis tool is called from the webDCS, contrary to the case where the tool is runned locally from
 3786 the terminal as the merging bash script is then not called. Thus, the resulting files, used to make
 3787 official plots, are:

- 3788 ● `Corrupted.csv`,
 3789 ● `Current.csv`,
 3790 ● `L0-EffCl.csv`.
 3791 ● `Rate.csv`.

3792 **B.3 Analysis inputs and information handling**

3793 The usage of the Offline Analysis tool as well as its output have been presented in the previous section.
 3794 It is now important to dig further and start looking at the source code and the inputs necessary
 3795 for the tool to work. Indeed, other than the raw ROOT data files that are analysed, more information
 3796 needs to be imported inside of the program to perform the analysis such as the description of the
 3797 setup inside of `GIF++` at the time of data taking (number of trolleys, of RPCs, dimensions of the
 3798 detectors, etc...) or the mapping that links the TDC channels to the coresponding RPC channels in
 3799 order to translate the TDC information into human readable data. 2 files are used to transmit all this
 3800 information:

- 3801
 3802 ● `Dimensions.ini`, that provides the necessary setup and RPC information, and
 3803 ● `ChannelsMapping.csv`, that gives the link between the TDC and RPC channels as well as the
 3804 *mask* for each channel (masked or not?).

3805 **B.3.1 Dimensions file and InFile parser**

3806 This input file, present in every data folder, allows the analysis tool to know of the number of active
 3807 trolleys, the number of active RPCs in those trolleys, and the details about each RPCs such as
 3808 the number of RPC gaps, the number of pseudo-rapidity partitions (for CMS-like prototypes), the
 3809 number of strips per partion or the dimensions. To do so, there are 3 types of groups in the INI file
 3810 architecture. A first general group, appearing only once at the head of the document, gives information
 3811 about the number of active trolleys as well as their IDs, as presented in Source Code B.1. For

3812 each active trolley, a group similar to Source Code B.2 can be found containing information about
 3813 the number of active detectors in the trolley and their IDs. Each trolley group as a `Tt` name format,
 3814 where `t` is the trolley ID. Finally, for each detector stored in slots of an active trolley, there is a group
 3815 providing information about their names and dimensions, as shown in Source Code B.3. Each slot
 3816 group as a `TtSs` name format, where `s` is the slot ID of trolley `t` where the active RPC is hosted.

```
3817 [General]
3818 nTrolleys=2
3819 TrolleysID=13
```

3819 *Source Code B.1: Example of `[General]` group as might be found in `Dimensions.ini`. In Gif++, only 2 trolleys are available to hold RPCs and place them inside of the bunker for irradiation. The IDs of the trolleys are written in a single string as "13" and then read character by character by the program.*

```
3820 [T1]
3821 nSlots=4
3822 SlotsID=1234
```

3821 *Source Code B.2: Example of trolley group as might be found in `Dimensions.ini`. In this example, the file tells that there are 4 detectors placed in the holding slots of the trolley `T1` and that their IDs, written as a single string variable, are 1, 2, 3 and 4.*

```
3822 [T1S1]
Name=RE2-2-NPD-BARC-8
Partitions=3
Gaps=3
Gap1=BOT
Gap2=TN
Gap3=TW
AreaGap1=11694.25
AreaGap2=6432
AreaGap3=4582.82
Strips=32
ActiveArea-A=157.8
ActiveArea-B=121.69
ActiveArea-C=93.03
```

3823 *Source Code B.3: Example of slot group as might be found in `Dimensions.ini`. In this example, the file provides information about a detector named `RE2-2-NPD-BARC-8`, having 3 pseudo-rapidity readout partitions and stored in slot `S1` of trolley `T1`. This is a CMS RE2-2 type of detector. This information will then be used for example to compute the rate per unit area calculation.*

3824 This information is readout and stored in a C++ object called `IniFile`, that parses the information
 3825 in the INI input file and stores it into a local buffer for later use. This INI parser is the exact same
 3826 one that was previously developed for the Gif++ DAQ and described in Appendix A.5.2.

3827 **B.3.2 TDC to RPC link file and Mapping**

3828 The same way the INI dimension file information is stored using `map`, the channel mapping and mask
 3829 information is stored and accessed through `map`. First of all, the mapping CSV file is organised into
 3830 3 columns separated by tabulations (and not by commas, as expected for CSV files as it is easier using
 3831 streams to read tab or space separated data using C++):

3832

3833 RPC_channel TDC_channel mask

3834 using as formatting for each field:

3835
3836 TSCCC TCCC M

3837 TSCCC is a 5-digit integer where T is the trolley ID, s the slot ID in which the RPC is held insite
3838 the trolley T and ccc is the RPC channel number, or *strip* number, that can take values up to
3839 3-digits depending on the detector,

3840 TCCC is a 4 digit integer where T is the TDC ID, ccc is the TDC channel number that can take values
3841 in between 0 and 127, and

3842 M is a 1-digit integer indicating if the channel should be considered ($M = 1$) or discarded ($M = 0$)
3843 during analysis.

3844 This mapping and masking information is readout and stored thanks to the object `Mapping`, pre-
3845 sented in Source Code B.4. Similarly to `IniFile` objects, this class has private methods. The first
3846 one, `Mapping::CheckIfNewLine()` is used to find the newline character '`\n`' or return character
3847 '`\r`' (depending on which kind of operating system interacted with the file). This is used for the
3848 simple reason that the masking information has been introduced only during the year 2017 but the
3849 channel mapping files exist since 2015 and the very beginning of data taking at GIF++. This means
3850 that in the older data folders, before the upgrade, the channel mapping file only had 2 columns, the
3851 RPC channel and the TDC channel. For compatibility reasons, this method helps controling the
3852 character following the readout of the 2 first fields of a line. In case any end of line character is
3853 found, no mask information is present in the file and the default $M = 1$ is used. On the contrary, if
3854 the next character was a tabulation or a space, the mask information is present.

3855 Once the 3 fields have been readout, the second private method `Mapping::CheckIfTDCCh()` is
3856 used to control that the TDC channel is an existing TDC channel. Finally, the information is stored
3857 into 3 different maps (`Link`, `ReverseLink` and `Mask`) thanks to the public method `Mapping::Read()`.
3858 `Link` allows to get the RPC channel by knowing the TDC channel while `ReverseLink` does the op-
3859 posite by returning the TDC channel by knowing the RPC channel. Finally, `Mask` returns the mask
3860 associated to a given RPC channel.

```

3861 typedef map<Uint,Uint> MappingData;

3862 class Mapping {
3863     private:
3864         bool          CheckIfNewLine(char next);
3865         bool          CheckIfTDCCh(Uint channel);
3866         string        FileName;
3867         MappingData  Link;
3868         MappingData  ReverseLink;
3869         MappingData  Mask;
3870         int           Error;
3871
3872     public:
3873         Mapping();
3874         Mapping(string baseName);
3875         ~Mapping();

3876         void SetFileName(const string filename);
3877         int Read();
3878         Uint GetLink(Uint tdcchannel);
3879         Uint GetReverse(Uint rpcchannel);
3880         Uint GetMask(Uint rpcchannel);
3881     };

```

3863 *Source Code B.4: Description of C++ object Mapping used as a parser for the channel mapping and mask file.*

3864 B.4 Description of GIF++ setup within the Offline Analysis tool

3865 In the previous section, the tool input files have been discussed. The dimension file information is
 3866 stored in a map hosted by the `IniFile` object. But this information is then used to create a series of
 3867 new objects that helps defining the GIF++ infrastructure directly into the Offline Analysis. Indeed,
 3868 from the `RPC`, to the more general `Infrastructure`, every element of the GIF++ infrastrucutre is
 3869 recreated for each data analysis based on the information provided in input. All this information
 3870 about the infrastructure will be used to assign each hit signal to a specific strip channel of a specific
 3871 detector, and having a specific active area. This way, rate per unit area calculation is possible.
 3872

3873 B.4.1 RPC objects

3874 `RPC` objects have been developped to represent physical active detectors in GIF++ at the moment
 3875 of data taking. Thus, there are as many `RPC` objects created during the analysis than there were
 3876 active `RPCs` tested during a run. Each `RPC` hosts the information present in the corresponding INI
 3877 slot group, as shown in B.3, and organises it using a similar architecture. This can be seen from
 3878 *Source Code B.5*.

3879 To make the object more compact, the lists of gap labels, of gap active areas and strip active
 3880 areas are stored into `vector` dynamical containers. `RPC` objects are always contructed thanks to the
 3881 dimension file information stored into the `IniFILE` and their ID, using the format `TtSs`. Using the
 3882 `RPC` ID, the constructor calls the methods of `IniFILE` to initialise the `RPC`. The other constructors
 3883 are not used but exist in case of need. Finally, some getters have been written to access the different
 3884 private parameters storing the detector information.

```

3885 class RPC{
3886     private:
3887         string      name;           //RPC name as in webDCS database
3888         Uint        nGaps;          //Number of gaps in the RPC
3889         Uint        nPartitions;    //Number of partitions in the RPC
3890         Uint        nStrips;        //Number of strips per partition
3891         vector<string> gaps;       //List of gap labels (BOT, TOP, etc...)
3892         vector<float>  gapGeo;        //List of gap active areas
3893         vector<float>  stripGeo;      //List of strip active areas
3894
3895     public:
3896         RPC();
3897         RPC(string ID, IniFile* geofile);
3898         RPC(const RPC& other);
3899         ~RPC();
3900         RPC& operator=(const RPC& other);
3901
3902         string GetName();
3903         Uint GetNGaps();
3904         Uint GetNPartitions();
3905         Uint GetNStrips();
3906         string GetGap(Uint g);
3907         float GetGapGeo(Uint g);
3908         float GetStripGeo(Uint p);
3909     };

```

3887 *Source Code B.5: Description of C++ objects RPC that describe each active detectors used during data taking.*

3888 B.4.2 Trolley objects

3889 Trolley objects have been developped to represent physical active trolleys in GIFT++ at the moment
 3890 of data taking. Thus, there are as many trolley objects created during the analysis than there were
 3891 active trolleys hosting tested RPCs during a run. Each Trolley hosts the information present in the
 3892 corresponding INI trolley group, as shown in B.2, and organises it using a similar architecture. In
 3893 addition to the information hosted in the INI file, these object have a dynamical container of RPC
 3894 objects, representing the active detectors the active trolley was hosting at the time of data taking.
 3895 This can been seen from Source Code B.6.

3896 Trolley objects are always contructed thanks to the dimension file information stored into the
 3897 IniFILE and their ID, using the format Tt. Using the Trolley ID, the constructor calls the methods
 3898 of IniFILE to initialise the Trolley. Retrieving the information of the RPC IDs via SlotsID, a new
 3899 RPC is constructed and added to the container RPCs for each character in the ID string. The other
 3900 constructors are not used but exist in case of need. Finally, some getters have been written to access
 3901 the different private parameters storing the trolley and detectors information.

```

3902
class Trolley{
    private:
        Uint          nSlots; //Number of active RPCs in the considered trolley
        string        SlotsID; //Active RPC IDs written into a string
        vector<RPC*> RPCs;   //List of active RPCs

    public:
        //Constructors, destructor and operator =
        Trolley();
        Trolley(string ID, IniFile* geofile);
        Trolley(const Trolley& other);
        ~Trolley();
        Trolley& operator=(const Trolley& other);

        //Get GIFTrolley members
        Uint  GetNSlots();
        string GetSlotsID();
        Uint   GetSlotID(Uint s);

        //Manage RPC list
        RPC*  GetRPC(Uint r);
        void  DeleteRPC(Uint r);

        //Methods to get members of RPC objects stored in RPCs
        string GetName(Uint r);
        Uint   GetNGaps(Uint r);
        Uint   GetNPartitions(Uint r);
        Uint   GetNStrips(Uint r);
        string GetGap(Uint r, Uint g);
        float  GetGapGeo(Uint r, Uint g);
        float  GetStripGeo(Uint r, Uint p);
    };

```

Source Code B.6: Description of C++ objects `Trolley` that describe each active trolley used during data taking.

3905 B.4.3 Infrastructure object

3906 The `Infrastructure` object has been developped to represent the GIFT++ bunker area dedicated to
 3907 CMS RPC experiments. With this very specific object, all the information about the CMS RPC
 3908 setup within GIFT++ at the moment of data taking is stored. It hosts the information present in the
 3909 corresponding INI general group, as shown in B.1, and organises it using a similar architecture. In
 3910 addition to the information hosted in the INI file, this object have a dynamical container of `Trolley`
 3911 objects, representing the active tolleys in GIFT++ area. This can be seen from Source Code B.7.

3912 The `Infrastructure` object is always contructed thanks to the dimension file information stored
 3913 into the `IniFILE`. Retrieving the information of the trolley IDs via `TrolleysID`, a new `Trolley` is
 3914 constructed and added to the container `Trolleys` for each character in the ID `string`. By extension,
 3915 it is easy to understand that the process described in Section B.4.2 for the construction of RPCs
 3916 takes place when a trolley is constructed. The other constructors are not used but exist in case of
 3917 need. Finally, some getters have been written to access the different private parameters storing the
 3918 infrastructure, tolleys and detectors information.

```

3919
class Infrastructure {
    private:
        Uint             nTrolleys;   //Number of active Trolleys in the run
        string          TrolleysID;  //Active trolley IDs written into a string
        vector<Trolley*> Trolleys;  //List of active Trolleys (struct)

    public:
        //Constructors and destructor
        Infrastructure();
        Infrastructure(IniFile* geofile);
        Infrastructure(const Infrastructure& other);
        ~Infrastructure();
        Infrastructure& operator=(const Infrastructure& other);

        //Get Infrastructure members
        Uint  GetNTrolleys();
        string GetTrolleysID();
        Uint   GetTrolleyID(Uint t);

3920
        //Manage Trolleys
        Trolley* GetTrolley(Uint t);
        void     DeleteTrolley(Uint t);

        //Methods to get members of GIFTrolley objects stored in Trolleys
        Uint  GetNSlots(Uint t);
        string GetSlotsID(Uint t);
        Uint   GetSlotID(Uint t, Uint s);
        RPC*  GetRPC(Uint t, Uint r);

        //Methods to get members of RPC objects stored in RPCs
        string GetName(Uint t, Uint r);
        Uint   GetNGaps(Uint t, Uint r);
        Uint   GetNPartitions(Uint t, Uint r);
        Uint   GetNStrips(Uint t, Uint r);
        string GetGap(Uint t, Uint r, Uint g);
        float  GetGapGeo(Uint t, Uint r, Uint g);
        float  GetStripGeo(Uint t, Uint r, Uint p);
};


```

Source Code B.7: Description of C++ object Infrastructure that contains the full information about CMS RPC experiment in GIF++.

3922 B.5 Handeling of data

3923 As discussed in Appendix A.4.2, the raw data as a `TTree` architecture where every entry is related to
 3924 a trigger signal provided by a muon or a random pulse, whether the goal of the data taking was to
 3925 measure the performance of the detector or the noise/gamma background respectively. Each of these
 3926 entries, referred also as events, contain a more or less full list of hits in the TDC channels to which
 3927 the detectors are connected. To this list of hits corresponds a list of time stamps, marking the arrival
 3928 of the hits within the TDC channel.

3929 The infrastructure of the CMS RPC experiment within `GIF++` being defined, combining the
 3930 information about the raw data with the information provided by both the mapping/mask file and the
 3931 dimension file allows to build new physical objects that will help in computing efficiency or rates.

3932 B.5.1 RPC hits

3933 The raw data stored in the ROOT file as output of the GIFT++ DAQ, is readout by the analysis tool
 3934 using the structure `RAWData` presented in Source Code B.9 that differs from the structure presented
 3935 in Appendix A.4.2 as it is not meant to hold all of the data contained in the ROOT file. In this sense,
 3936 this structure is in the case of the offline analysis tool not a dynamical object and will only be storing
 3937 a single event contained in a single entry of the `TTree`.

```
3938
class RPCHit {
    private:
        Uint Channel;      //RPC channel according to mapping (5 digits)
        Uint Trolley;     //0, 1 or 3 (1st digit of the RPC channel)
        Uint Station;     //Slot where is held the RPC in Trolley (2nd digit)
        Uint Strip;       //Physical RPC strip where the hit occurred (last 3
    →   digits)
        Uint Partition;   //Readout partition along eta segmentation
        float TimeStamp; //Time stamp of the arrival in TDC

    public:
        //Constructors, destructor & operator =
        RPCHit();
        RPCHit(Uint channel, float time, Infrastructure* Infra);
        RPCHit(const RPCHit& other);
        ~RPCHit();
        RPCHit& operator=(const RPCHit& other);

        //Get RPCHit members
        Uint GetChannel();
        Uint GetTrolley();
        Uint GetStation();
        Uint GetStrip();
        Uint GetPartition();
        float GetTime();
    };

typedef vector<RPCHit> HitList;
typedef struct GIFHitList { HitList rpc[NTROLLEYS][NSLOTS][NPARTITIONS]; }
    → GIFHitList;

bool SortHitbyStrip(RPCHit h1, RPCHit h2);
bool SortHitbyTime(RPCHit h1, RPCHit h2);
```

3940 *Source Code B.8: Description of C++ object RPCHit.*

```
3941
struct RAWData{
    int          iEvent;    //Event i
    int          TDCNHits; //Number of hits in event i
    int          QFlag;    //Quality flag list (1 flag digit per TDC)
    vector<Uint> *TDCCh;   //List of channels giving hits per event
    vector<float> *TDCTS;   //List of the corresponding time stamps
};
```

3942 *Source Code B.9: Description of C++ structure RAWData.*

3943 Each member of the structure is then linked to the corresponding branch of the ROOT data tree,
 3944 as shown in the example of Source Code B.10, and using the method `GetEntry(int i)` of the ROOT
 3945 class `TTree` will update the state of the members of `RAWData`.

```

3946 TTree* dataTree = (TTree*)dataFile.Get("RAWData");
3947 RAWData data;
3948
3949 dataTree->SetBranchAddress("EventNumber", &data.iEvent);
3950 dataTree->SetBranchAddress("number_of_hits", &data.TDCNHits);
3951 dataTree->SetBranchAddress("Quality_flag", &data.QFlag);
3952 dataTree->SetBranchAddress("TDC_channel", &data.TDCCh);
3953 dataTree->SetBranchAddress("TDC_TimeStamp", &data.TDCTS);

```

3948 *Source Code B.10: Example of link in between RAWData and TTree.*

3949 The data is then analysed entry by entry and to each element of the TDC channel list, a `RPCHit` is
 3950 constructed by linking each TDC channel to the corresponding RPC channel thanks to the `Mapping`
 3951 object. The information carried by the RPC channel format allows to easily retrieve the trolley and
 3952 slot from which the hit was recorded (see section B.3.2). Using these 2 values, the readout partition
 3953 can be found by knowing the strip channel and comparing it with the number of partitions and strips
 3954 per partition stored into the `Infrastructure` object.

3955 Thus `RPCHit` objects are then stored into 3D dynamical list called `GIFHitList` (Source Code B.9)
 3956 where the 3 dimensions refer to the 3 layers of the readout in `GIF++` : in the bunker there are *trolleys*
 3957 (τ) holding detectors in *slots* (s) and each detector readout is divided into 1 or more pseudo-rapidity
 3958 *partitions* (p). Using these 3 information allows to assign an address to each readout partition and
 3959 this address will point to a specific hit list.

3960

3961 **B.5.2 Clusters of hits**

3962 All the hits contained in the ROOT file have been sorted into the different hit lists through the
 3963 `GIFHitList`. At this point, it is possible to start looking for clusters. A cluster is a group of adjacent
 3964 strips getting hits within a time window of 25 ns. These strips are then assumed to be part of the same
 3965 physical avalanche signal generated by a muon passing through the chamber or by the interaction of
 3966 a gamma stopping into the electrodes of the RPCs.

3967 To keep the cluster information, `RPCCluster` objects have been defined as shown in Source
 3968 Code B.11. Using the information of each individual `RPCHit` taken out of the hit list, it stores
 3969 the cluster size (number of adjacent strips composing the cluster), the first and last hit, the center for
 3970 spatial reconstruction and finally the start and stop time stamps as well as te time spread in between
 3971 the first and last hit.

```

3972
class RPCCluster{
    private:
        Uint ClusterSize; //Size of cluster #ID
        Uint FirstStrip; //First strip of cluster #ID
        Uint LastStrip; //Last strip of cluster #ID
        float Center; //Center of cluster #ID ((first+last)/2)
        float StartStamp; //Time stamp of the earliest hit of cluster #ID
        float StopStamp; //Time stamp of the latest hit of cluster #ID
        float TimeSpread; //Time difference between earliest and latest hits
                           //of cluster #ID
    public:
        //Constructors, destructor & operator =
        RPCCluster();
        RPCCluster(HitList List, Uint cID, Uint cSize, Uint first, Uint firstID);
        RPCCluster(const RPCCluster& other);
        ~RPCCluster();
        RPCCluster& operator=(const RPCCluster& other);

        //Get Cluster members
        Uint GetID();
        Uint GetSize();
        Uint GetFirstStrip();
        Uint GetLastStrip();
        float GetCenter();
        float GetStart();
        float GetStop();
        float GetSpread();
    };

typedef vector<RPCCluster> ClusterList;

//Other functions to build cluster lists out of hit lists
void BuildClusters(HitList &cluster, ClusterList &clusterList);
void Clusterization(HitList &hits, TH1 *hcSize, TH1 *hcMult);

```

3974 *Source Code B.11: Description of C++ object Cluster.*

3975 To investigate the hit list of a given detector partition, the function `Clusterization()` defined
 3976 in `include/Cluster.h` needs the hits in the list to be time sorted. This is achieved by calling func-
 3977 tion `sort()` of library `<algorithm>` using the comparator `SortHitbyTime(RPCHit h1, RPCHit h2)`
 3978 defined in `include/RPCHit.h` that returns `true` if the time stamp of hit `h1` is lower than that of `h2`.
 3979 A first isolation of strips is made only based on time information. All the hits within the 25 ns win-
 3980 dow are taken separately from the rest. Then, this sub-list of hits is sorted this time by ascending
 3981 strip number, using this time the comparator `SortHitbyStrip(RPCHit h1, RPCHit h2)`. Finally, the
 3982 groups of adjacent strips are used to construct `RPCCluster` objects that are then stored in a temporary
 3983 list of clusters that is at the end of the process used to know how many clusters were reconstructed
 3984 and to fill their sizes into an histogram that will allows to know the mean size of muon or gamma
 3985 clusters.

3986

3987 **B.6 DAQ data Analysis**

3988 All the ingredients to analyse GIF++ data have been defined. This section will focus on the different
 3989 part of the analysis performed on the data, from determining the type of data the tool is dealing with

3990 to calculating the rate in each detector or reconstructing muon or gamma clusters.

3991 B.6.1 Determination of the run type

3992 In GIF++, both the performance of the detectors in detecting muons in an irradiated environment and
 3993 the gamma background can be independantly measured. These corresponds to different run types
 3994 and thus, to different TDC settings giving different data to look at.

3995

3996 In the case of performance measurements, the trigger for data taking is provided by the coïncidence
 3997 of several scintillators when muons from the beam passing through the area are detected. Data
 3998 is collected in a 600 ns wide window around the arrival of muons in the RPCs. The expected time
 3999 distribution of hits is shown in Figure B.1a. The muon peak is clearly visible in the center of the
 4000 distribution and is to be extracted from the gamma background that composes the flat part of the
 4001 distribution.

4002 On the other hand, gamma background or noise measurements are focussed on the non muon
 4003 related physics and the trigger needs to be independant from the muons to give a good measurement
 4004 of the gamma/noise distribution as seen by the detectors. The trigger is then provided by a pulse
 4005 generator at a frequency of 300 Hz whose pulse is not likely to be on time with a muon. In order
 4006 to increase the integrated time without increasing the acquisition time too much, the width of the
 4007 acquisition windows are increased to 10 μ s. The time distribution of the hits is expected to be flat, as
 4008 shown by Figure B.1b.

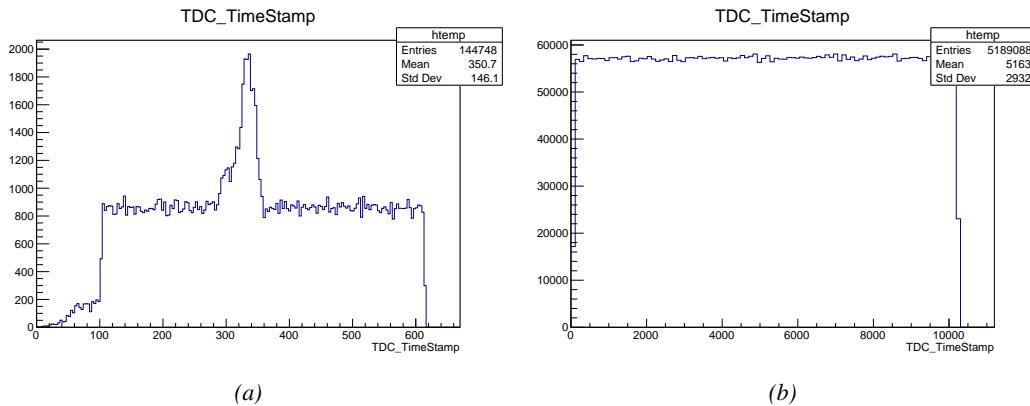


Figure B.1: Example of expected hit time distributions in the cases of efficiency (Figure B.1a) and noise/gamma rate per unit area (Figure B.1b) measurements as extracted from the raw ROOT files. The unit along the x-axis corresponds to ns. The fact that "the" muon peak is not well defined in Figure B.1a is due to the contribution of all the RPCs being tested at the same time that don't necessarily have the same signal arrival time. Each individual peak can have an offset with the ones of other detectors. The inconsistency in the first 100 ns of both time distributions is an artefact of the TDCs and are systematically rejected during the analysis.

4009 The ROOT files include a TTree called RunParameters containing, among other things, the in-
 4010 formation related to the type of run. The run type can then be accessed as described by Source
 4011 Code B.12 and the function IsEfficiencyRun() is then used to determine if the run file is an effi-
 4012 ciency run or, on the contrary, another type of run (noise or gamma measurement).

```

4013     TTree* RunParameters = (TTree*)dataFile.Get("RunParameters");
4014     TString* RunType = new TString();
4015     RunParameters->SetBranchAddress("RunType", &RunType);
4016     RunParameters->GetEntry(0);

```

4015 *Source Code B.12: Access to the run type contained in TTree* RunParameters.*

4016 Finally, the data files will have a slightly different content whether it was collected before or after
 4017 October 2017 and the upgrade of the DAQ software that brought a new information into the ROOT
 4018 output. This is discussed in Appendix A.4.3 and implies that the analysis will differ a little depending
 4019 on the data format. Indeed, as no information on the data quality is stored, in older data files, the cor-
 4020 rections for missing events has to be done at the end of the analysis. The information about the type
 4021 of data format is stored in the variable **bool** `isNewFormat` by checking the list of branches contained
 4022 in the data tree via the methods `TTree::GetListOfBranches()` and `TCollection::Contains()`.

4023 **B.6.2 Beam time window calculation for efficiency runs**

4024 Knowing the run type is important first of all to know the width of the acquisition window to be used
 4025 for the rate calculation and finally to be able to seek for muons. Indeed, the peak that appears in the
 4026 time distribution for each detectors is then fitted to extract the most probable time window in which
 4027 the tool should look for muon hits. The data outside of this time window is then used to evaluate the
 4028 noise or gamma background the detector was subjected to during the data taking. Computing the
 4029 position of the peak is done calling the function `SetBeamWindow()` defined in file `src/RPCHit.cc` that
 4030 loops a first time on the data. The data is first sorted in a 3D array of 1D histograms (`GIFH1Array`, see
 4031 *include/types.h*). Then the location of the highest bin is determined using `TH1::GetMaximumBin()`
 4032 and is used to define a window in which a gaussian fit will be applied to compute the peak width.
 4033 This window is a 80 ns defined by Formula B.1 around the central bin.

$$(B.1a) \quad t_{center}(ns) = bin \times width_{bin}(ns)$$

$$(B.1b) \quad [t_{low}; t_{high}] = [t_{center} - 40; t_{center} + 40]$$

4034 Before the fit is performed, the average number of noise/gamma hits per bin is evaluated using
 4035 the data outside of the fit window. Excluding the first 100 ns, the average number of hits per bin
 4036 due to the noise or gamma is defined by Formula B.2 after extracting the amount of hits in the time
 4037 windows $[100; t_{low}]$ and $[t_{high}; 600]$ thanks to the method `TH1::Integral()`. This average number
 4038 of hits is then subtracted to every bin of the 1D histogram, in order to *clean* it from the noise or
 4039 gamma contribution as much as possible to improve the fit quality. Bins where $\langle n_{hits} \rangle$ is greater
 4040 than the actual bin content are set to 0.

$$(B.2a) \quad \Delta t_{noise}(ns) = 600 \overbrace{-t_{high} + t_{low}}^{-80ns} - 100 = 420ns$$

$$(B.2b) \quad \langle n_{hits} \rangle = width_{bin}(ns) \times \frac{\sum_{t=100}^{t_{low}} + \sum_{t=t_{high}}^{600}}{\Delta t_{noise}(ns)}$$

4041 Finally, the fit parameters are extracted and saved for each detector in 3D arrays of **float**
 4042 (`muonPeak`, see `include/types.h`), a first one for the mean arrival time of the muons, `PeakTime`,

4043 and a second one for the width of the peak, `PeakWidth`. The width is defined as 6σ of the gaussian
 4044 fit. The same settings are applied to every partitions of the same detector. To determine which one
 4045 of the detector's partitions is directly illuminated by the beam, the peak height of each partition is
 4046 compared and the highest one is then used to define the peak settings.

4047 **B.6.3 Data loop and histogram filling**

4048 3D arrays of histogram are created to store the data and display it on the DQM of G4F++ webDCS
 4049 for the use of shifters. These histograms, presented in section B.2.1.1, are filled while looping on
 4050 the data. Before starting the analysis loop, it is necessary to control the entry quality for the new
 4051 file formats featuring `QFlag`. If the `QFlag` value for this entry shows that 1 TDC or more have a
 4052 `CORRUPTED` flag, then this event is discarded. The loss of statistics is low enough to be neglected.
 4053 `QFlag` is controlled using the function `IsCorruptedEvent()` defined in `src/utils.cc`. As explained
 4054 in Appendix A.4.3, each digit of this integer represents a TDC flag that can be 1 or 2. Each 2 is
 4055 the sign of a `CORRUPTED` state. Then, the data is accessed entry by entry in the ROOT `TTree` using
 4056 `RAWData` and each hit in the hit list is assigned to a detector channel and saved in the corresponding
 4057 histograms. In the first part of the analysis, in which the loop over the ROOT file's content is
 4058 performed, the different steps are:

4059 **1- RPC channel assignment and control:** a check is done on the RPC channel extracted thanks
 4060 to the mapping via the method `Mapping::GetLink()`. If the channel is not initialised and is 0, or if
 4061 the TDC channel was greater than 5127, the hit is discarded. This means there was a problem in the
 4062 mapping. Often a mapping problem leads to the crash of the offline tool.

4063 **2- Creation of a `RPCHit` object:** to easily get the trolley, slot and partition in which the hit has
 4064 been assigned, this object is particularly helpful.

4065 **3- General histograms are filled:** the hit is filled into the time distribution and the general hit
 4066 distribution histograms, and if the arrival time is within the first 100 ns, it is discarded and nothing
 4067 else happens and the loop proceeds with the next hit in the list.

4068 **4- Multiplicity counter:** the hit multiplicity counter of the corresponding detectors incremented.

4069 **5-a- Efficiency runs - Is the hit within the peak window? :** if the peak is contained in the peak
 4070 window previously defined in section B.6.2, the hit is filled into the beam hit profile histogram of
 4071 the corresponding chamber, added into the list of muon hits and increments the counter of *in time*
 4072 hits. The term *in time* here refers to the hits that are likely to be muons by arriving in the expected
 4073 time window. If the hit is outside of the peak window, it is filled into the noise profile histogram
 4074 of the corresponding detector, added into the list of noise/gamma hits and increments the counter of
 4075 noise/gamma hits.

4076 **5-b- Noise/gamma rate runs - Noise histograms are filled:** the hit is filled into the noise profile
 4077 histogram of the corresponding detector, added into the list of noise/gamma hits and increments the
 4078 counter of noise/gamma hits.

After the loop on the hit list of the entry is over, the next step is to clusterize the 3D lists filled in the previous steps. A 3D loop is then started over the active trolley, slot and RPC partitions to access these objects. Each `NoiseHitList` and `MuonHitList`, in case of efficiency run, are clusterized as described in section B.5.2. There corresponding cluster size and multiplicity histograms are filled at the end of the clustering process. Then, the efficiency histogram is filled in case of efficiency run. The selection is simply made by checking whether the RPC detected signals in the peak window during this event. Nevertheless, it is useful to highlight that at this level, it is not possible yet to discriminate in between a muon hit and noise or gamma hit. Thus, `MuonCSize_H`, `MuonCMult_H` and `Efficiency0_H` are subjected to noise and gamma contamination. This contamination will be estimated and corrected at the moment the results will be written into output CSV files. Finally, the loop ends on the filling of the general hit multiplicity histogram.

B.6.4 Results calculation

As mentioned in section B.2.1, the analysis of DAQ data provides the user with 3 CSV files and a ROOT file associated to each and every ROOT data file. The fourth CSV file is provided by the extraction of the CEAN main frame data monitored during data taking and will be discussed later. After looping on the data in the previous part of the analysis macro, the output files are created and a 3D loop on each RPC readout partitions is started to extract the histograms parameters and compute the final results.

4098

B.6.4.1 Rate normalisation

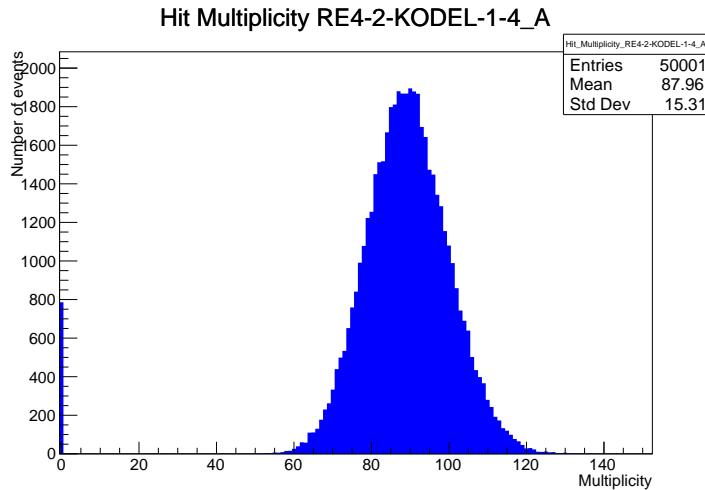


Figure B.2: The effect of the quality flag is explained by presenting the reconstructed hit multiplicity of a data file without `Quality_flag`. The artificial high content of bin 0 is the effect of corrupted data.

To analyse old data format files, not containing any quality flag, it is needed to estimate the amount of corrupted data via a fit as the corrupted data will always fill events with a fake "0 multiplicity". Indeed, as no hits were stored in the DAQ ROOT files, these events artificially contribute to fill the bin corresponding to a null multiplicity, as shown in Figure B.2. In the case the mean of the

4100

4101

4102

4103

4104 hit multiplicity distribution is high, the contribution of the corrupted data can easily be evaluated
 4105 for later correction by comparing the level of the bin at multiplicity 0 and of a skew fit curve that
 4106 should indicate a value consistent with 0. A skew fit has been chosen over a Poisson fit as it was
 4107 giving better results for lower mean multiplicity values. Nevertheless, for low irradiation cases,
 4108 as explained in Appendix A.4.3, the hit multiplicity distribution mean is, on the contrary, rather
 4109 small and the probability to record events without hits can't be considered small anymore, leading
 4110 to a difficult and non-reliable estimation of the corruption. As can be seen in Source Code B.13,
 4111 conditions have been applied to prevent bad fits and wrong corruption estimation in cases where :

4112 • The difference in between the data for multiplicity 1 and the corresponding fit value should be
 4113 lower than 1% of the total amount of data : $\frac{|n_{m=1} - sk(1)|}{N_{tot}} < 0.01$ where $n_{m=1}$ is the number
 4114 of entries with multiplicity 1, $sk(1)$ the value of the skew fit, as defined by Formula ??, for
 4115 multiplicity 1 and N_{tot} the total number of entries.

4116 • The amount of data contained in the multiplicity 0 bin should not exceed 40% : $\frac{n_{m=0}}{N_{tot}} \leq 0.4$
 4117 where $n_{m=0}$ is the number of entries with multiplicity 0. This number has been determined
 4118 to be the maximum to be able to separate the excess of data due to corruption from the hit
 4119 multiplicity distribution.

4120 Those 2 conditions need to be fulfilled to estimate the corruption of old data format files. If the
 4121 fit was successful, the level of corruption is written in `Offline-Corrupted.csv` and the number of
 4122 corrupted entries, refered as the integer `nEmptyEvent`, is subtracted from the total number of entries
 4123 when the rate normalisation factor is computed as explicit in Source Code B.13. Note that for new
 4124 data format files, the number of corrupted entries being set to 0, the definition of `rate_norm` stays
 4125 valid.

```

4126
    if(!isNewFormat){
        TF1* GaussFit = new TF1("gaussfit","[0]*exp(-0.5*((x-[1])/[2])**2)",0,Xmax);
        GaussFit->SetParameter(0,100);
        GaussFit->SetParameter(1,10);
        GaussFit->SetParameter(2,1);
        HitMultiplicity_H.rpc[T][S][p]->Fit(GaussFit,"LIQR","",0.5,Xmax);

        TF1* SkewFit = new TF1("skewfit","[0]*exp(-0.5*((x-[1])/[2])**2) / (1 +
→      exp(-[3]*(x-[4])))",0,Xmax);
        SkewFit->SetParameter(0,GaussFit->GetParameter(0));
        SkewFit->SetParameter(1,GaussFit->GetParameter(1));
        SkewFit->SetParameter(2,GaussFit->GetParameter(2));
        SkewFit->SetParameter(3,1);
        SkewFit->SetParameter(4,1);
        HitMultiplicity_H.rpc[T][S][p]->Fit(SkewFit,"LIQR","",0.5,Xmax);

        double fitValue = SkewFit->Eval(1,0,0,0);
        double dataValue = (double)HitMultiplicity_H.rpc[T][S][p]->GetBinContent(2);
        double difference = TMath::Abs(dataValue - fitValue);
        double fitTOdataVSentries_ratio = difference / (double)nEntries;
        bool isFitGOOD = fitTOdataVSentries_ratio < 0.01;

4127
        double nSinglehit = (double)HitMultiplicity_H.rpc[T][S][p]->GetBinContent(1);
        double lowMultRatio = nSinglehit / (double)nEntries;
        bool isMultLOW = lowMultRatio > 0.4;

        if(isFitGOOD && !isMultLOW){
            nEmptyEvent = HitMultiplicity_H.rpc[T][S][p]->GetBinContent(1);
            nPhysics = (int)SkewFit->Eval(0,0,0,0);
            if(nPhysics < nEmptyEvent)
                nEmptyEvent = nEmptyEvent-nPhysics;
        }
    }

    double corrupt_ratio = 100.*(double)nEmptyEvent / (double)nEntries;
    outputCorrCSV << corrupt_ratio << '\t';

    float rate_norm = 0.;
    float stripArea = GIFIInfra->GetStripGeo(tr,sl,p);

    if(IsEfficiencyRun(RunType)){
        float noiseWindow = BMTDCWINDOW - TIMEREJECT - 2*PeakWidth.rpc[T][S][p];
        rate_norm = (nEntries-nEmptyEvent)*noiseWindow*1e-9*stripArea;
    } else
        rate_norm = (nEntries-nEmptyEvent)*RDMNOISEWDW*1e-9*stripArea;

```

Source Code B.13: Definition of the rate normalisation variable. It takes into account the number of non corrupted entries and the time window used for noise calculation, to estimate the total integrated time, and the strip active area to express the result as rate per unit area.

4129 B.6.4.2 Rate and activity

4130 At this point, the strip rate histograms, StripNoiseProfile_H.rpc[T][S][p], only contain an in-
 4131 formation about the total number of noise or rate hits each channel received during the data taking.
 4132 As described in Source Code B.14, a loop on the strip channels will be used to normalise the content
 4133 of the rate distribution histogram for each detector partitions. The initial number of hits recorded for
 4134 a given bin will be extracted and 2 values will be computed:

- 4135 ● the strip rate, defined as the number of hits recorded in the bin normalised like described in
 4136 the previous section, using the variable `rate_norm`, and

- 4137 ● the strip activity, defined as the number of hits recorded in the bin normalised to the average
 4138 number of hits per bin contained in the partition histogram, using the variable `averageNhit`.
 4139 This value provides an information on the homogeneity of the detector response to the gamma
 4140 background or of the detector noise. An activity of 1 corresponds to an average response.
 4141 Above 1, the channel is more active than the average and bellow 1, the channel is less active.

```

int nNoise = StripNoiseProfile_H.rpc[T][S][p]->GetEntries();
float averageNhit = (nNoise>0) ? (float)(nNoise/nStripsPart) : 1.;

for(Uint st = 1; st <= nStripsPart; st++){
    float stripRate =
        StripNoiseProfile_H.rpc[T][S][p]->GetBinContent(st)/rate_norm;
    float stripAct =
        StripNoiseProfile_H.rpc[T][S][p]->GetBinContent(st)/averageNhit;

    StripNoiseProfile_H.rpc[T][S][p]->SetBinContent(st,stripRate);
    StripActivity_H.rpc[T][S][p]->SetBinContent(st,stripAct);
}

```

4143 *Source Code B.14: Description of the loop that allows to set the content of each strip rate and strip activity
 channel for each detector partition.*

4144 On each detector partitions, which are readout by a single FEE, all the channels are not processed
 4145 by the same chip. Each chip can give a different noise response and thus, histograms using a chip
 4146 binning are used to investigate chip related noise behaviours. The average values of the strip rate
 4147 or activity grouped into a given chip are extracted using the using the function `GetChipBin()` and
 4148 stored in dedicated histograms as described in Source Codes B.15 and B.16 respectively.

```

float GetChipBin(TH1* H, Uint chip){
    Uint start = 1 + chip*NSTRIPSCHIP;
    int nActive = NSTRIPSCHIP;
    float mean = 0.;

    for(Uint b = start; b <= (chip+1)*NSTRIPSCHIP; b++){
        float value = H->GetBinContent(b);
        mean += value;
        if(value == 0.) nActive--;
    }

    if(nActive != 0) mean /= (float)nActive;
    else mean = 0.;

    return mean;
}

```

4151 *Source Code B.15: Function used to compute the content of a bin for an histogram using chip binning.*

```

4152   for(UInt ch = 0; ch < (nStripsPart/NSTRIPSCHIP); ch++) {
        ChipMeanNoiseProf_H.rpc[T][S][p]->
            SetBinContent(ch+1,GetChipBin(StripNoiseProfile_H.rpc[T][S][p],ch));
4153   ChipActivity_H.rpc[T][S][p]->
            SetBinContent(ch+1,GetChipBin(StripActivity_H.rpc[T][S][p],ch));
}

```

Source Code B.16: Description of the loop that allows to set the content of each chip rate and chip activity bins for each detector partition knowing the information contained in the corresponding strip distribution histograms.

4154 The activity variable is used to evaluate the homogeneity of the detector response to background
 4155 or of the detector noise. The homogeneity h_p of each detector partition can be evaluated using the
 4156 formula $h_p = \exp(-\sigma_p^R / \langle R \rangle_p)$, where $\langle R \rangle_p$ is the partition mean rate and σ_p^R is the rate standard
 4157 deviation calculated over the partition channels. The more homogeneously the rates are distributed
 4158 and the smaller will σ_p^R be, and the closer to 1 will h_p get. On the contrary, if the standard deviation
 4159 of the channel's rates is large, h_p will rapidly get to 0. This value is saved into histograms as shown
 4160 in Source Code B.17 and could in the future be used to monitor through time, once extracted, the
 4161 evolution of every partition homogeneity. This could be of great help to understand the apparition of
 4162 eventual hot spots due to ageing of the chambers subjected to high radiation levels. The monitored
 4163 homogeneity information could then be combined with a monitoring of the activity of each individual
 4164 channel in order to have a finer information. Monitoring tools have been suggested and need to
 4165 be developed for this purpose.

```

4166   float MeanPartSDev = GetTH1StdDev(StripNoiseProfile_H.rpc[T][S][p]);
float strip_homog = (MeanPartRate==0)
    ? 0.
    : exp(-MeanPartSDev/MeanPartRate);
StripHomogeneity_H.rpc[T][S][p]->Fill("exp -#left(#frac{\#sigma_{Strip}
    \rightarrow Rate}{\#mu_{Strip Rate}}\#right)",strip_homog);
StripHomogeneity_H.rpc[T][S][p]->GetYaxis()->SetRangeUser(0.,1.);

4167   float ChipStDevMean = GetTH1StdDev(ChipMeanNoiseProf_H.rpc[T][S][p]);
float chip_homog = (MeanPartRate==0)
    ? 0.
    : exp(-ChipStDevMean/MeanPartRate);
ChipHomogeneity_H.rpc[T][S][p]->Fill("exp -#left(#frac{\#sigma_{Chip}
    \rightarrow Rate}{\#mu_{Chip Rate}}\#right)",chip_homog);
ChipHomogeneity_H.rpc[T][S][p]->GetYaxis()->SetRangeUser(0.,1.);

```

4168 *Source Code B.17: Storage of the homogeneity into dedicated histograms.*

4169 B.6.4.3 Strip masking tool

4170 The offline tool is automatically called at the end of each data taking to analyse the data and offer
 4171 the shifter DQM histograms to control the data quality. After the histograms have been published
 4172 online in the DQM page, the shifter can decide to mask noisy or dead channels that will contribute
 4173 to bias the final rate calculation by editing the mask column of `ChannelsMapping.csv` as can be seen
 4174 in Figure B.3.

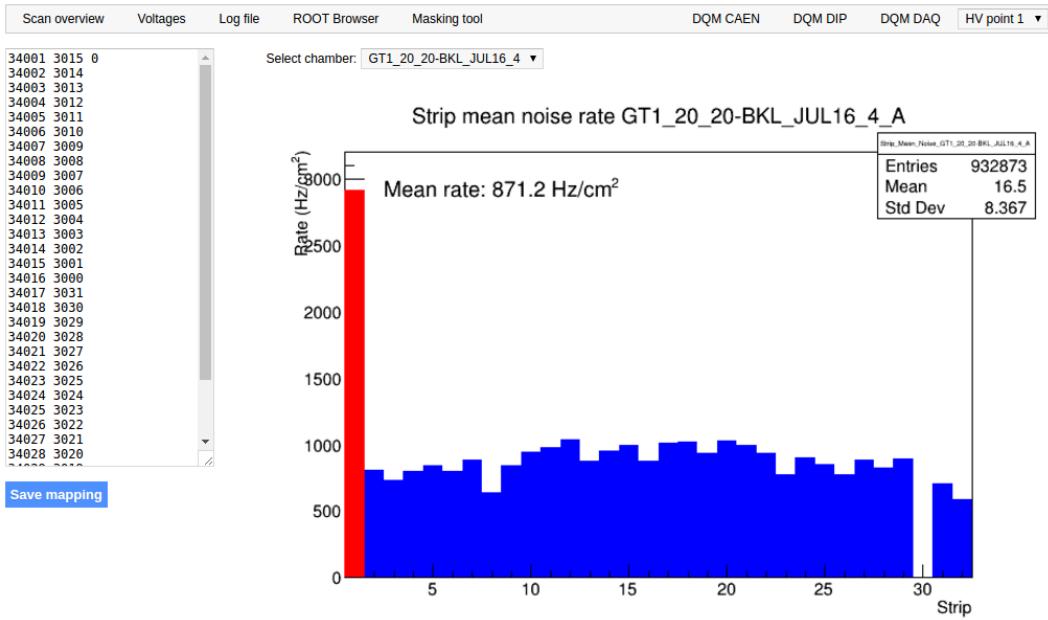


Figure B.3: Display of the masking tool page on the webDCS. The window on the left allows the shifter to edit ChannelsMapping.csv. To mask a channel, it only is needed to set the 3rd field corresponding to the strip to mask to 0. It is not necessary for older mapping file formats to add a 1 for each strip that is not masked as the code is versatile and the default behaviour is to consider missing mask fields as active strips. The effect of the mask is directly visible for noisy channels as the corresponding bin turns red. The global effect of masking strips will be an update of the rate value showed on the histogram that will take into consideration the rejected channels.

4175 From the code point of view, the function `GetTH1Mean()` is used to retrieve the mean rate par-
 4176 tition by partition after the rates have been calculated strip by strip and filled into the histograms
 4177 `StripNoiseProfile_H.rpc[T][S][p]`, as described through Source Code B.18.

4178 Once the mask for each rejected channel has been updated, the shifter can manually run the of-
 4179 fline tool again to update the DQM plots, now including the masked strips, as well the rate results
 4180 written in the output CSV file `Offline-Rate.csv`. If not done during the shifts, the strip masking
 4181 procedure needs to be carefully done by the person in charge of data analysis on the scans that were
 4182 selected to produce the final results.

```

4183   float GetTH1Mean(TH1* H) {
4184     int nBins = H->GetNbinsX();
4185     int nActive = nBins;
4186     float mean = 0.;

        for(int b = 1; b <= nBins; b++) {
          float value = H->GetBinContent(b);
          mean += value;
          if(value == 0.) nActive--;
        }

        if(nActive != 0) mean /= (float)nActive;
        else mean = 0.;

      return mean;
    }

```

Source Code B.18: The function `GetTH1Mean()` is used to return the mean along the y-axis of `TH1` histograms containing rate information. In order to take into account masked strips whose rate is set to 0, the function looks for masked channels and decrement the number of active channels for each null value found.

4186 B.6.4.4 Output CSV files filling

4187 All the histograms have been filled. Parameters will then be extracted from them to compute the
 4188 final results that will later be used to produce plots. Once the results have been computed, the very
 4189 last step of the offline macro is to write these values into the corresponding CSV outputs. Aside of
 4190 the file `Offline-Corrupted.csv`, 2 CSV files are being written by the macro `offlineAnalysis()`,
 4191 `Offline-Rates.csv` and `Offline-L0-EffCl.csv` that respectively contain information about noise
 4192 or gamma rates, cluster size and multiplicity, and about level 0 reconstruction of the detector effi-
 4193 ciency, muon cluster size and multiplicity. Details on the computation and file writing are respec-
 4194 tively given in Sources Codes B.19 and B.20.

4195 **Noise/gamma background variables** are computed and written in the output file for each detector
 4196 partitions. A detector average of the hit and cluster rate is also provided, as shown through Sources
 4197 Code B.19. The variables that are written for each partition are:

- 4198 • The mean partition hit rate per unit area, `MeanPartRate`, that is extracted from the histogram
 `StripNoiseProfile_H` as the mean value along the y-axis, as described in section B.6.4.3. No
 error is recorded for the hit rate as this is considered a single measurement. No statistical error
 can be associated to it and the systematics are unknown.
- 4202 • The mean cluster size, `cSizePart`, is extracted from the histogram `NoiseCSize_H` and it's
 statistical error, `cSizePartErr`, is taken to be 2σ of the total distribution.
- 4204 • The mean cluster multiplicity per trigger, `cMultPart`, is extracted from the histogram `NoiseCMult_H`
 and it's statistical error, `cMultPartErr`, is taken to be 2σ of the total distribution. It is impor-
 tant to point to the fact that this variable gives an information that is dependent on the buffer
 window width used for each trigger for the calculation.
- 4208 • The mean cluster rate per unit area, `ClustPartRate`, is defined as the mean hit rate normalised

4209 to the mean cluster size and it's statistical error, `ClustPartRateErr`, is then obtained using the
 4210 relative statistical error on the mean cluster size.

```

for (UInt tr = 0; tr < GIFInfra->GetNTrolleys(); tr++) {
    UInt T = GIFInfra->GetTrolleyID(tr);

    for (UInt sl = 0; sl < GIFInfra->GetNSlots(tr); sl++) {
        UInt S = GIFInfra->GetSlotID(tr,sl) - 1;

        float MeanNoiseRate = 0.;
        float ClusterRate = 0.;
        float ClusterSDev = 0.;

        for (UInt p = 0; p < GIFInfra->GetNPartitions(tr,sl); p++) {
            float MeanPartRate = GetTH1Mean(StripNoiseProfile_H.rpc[T][S][p]);
            float cSizePart = NoiseCSIZE_H.rpc[T][S][p]->GetMean();
            float cSizePartErr = (NoiseCSIZE_H.rpc[T][S][p]->GetEntries()==0)
                ? 0.
                : 2*NoiseCSIZE_H.rpc[T][S][p]->GetStdDev() /
                    sqrt(NoiseCSIZE_H.rpc[T][S][p]->GetEntries());
            float cMultPart = NoiseCMult_H.rpc[T][S][p]->GetMean();
            float cMultPartErr = (NoiseCMult_H.rpc[T][S][p]->GetEntries()==0)
                ? 0.
                : 2*NoiseCMult_H.rpc[T][S][p]->GetStdDev() /
                    sqrt(NoiseCMult_H.rpc[T][S][p]->GetEntries());
            float ClustPartRate = (cSizePart==0) ? 0.
                : MeanPartRate/cSizePart;
            float ClustPartRateErr = (cSizePart==0) ? 0.
                : ClustPartRate * cSizePartErr/cSizePart;

            outputRateCSV << MeanPartRate << '\t'
                << cSizePart << '\t' << cSizePartErr << '\t'
                << cMultPart << '\t' << cMultPartErr << '\t'
                << ClustPartRate << '\t' << ClustPartRateErr << '\t';

            RPCarea += stripArea * nStripsPart;
            MeanNoiseRate += MeanPartRate * stripArea * nStripsPart;
            ClusterRate += ClustPartRate * stripArea * nStripsPart;
            ClusterSDev += (cSizePart==0)
                ? 0.
                : ClusterRate*cSizePartErr/cSizePart;
        }

        MeanNoiseRate /= RPCarea;
        ClusterRate /= RPCarea;
        ClusterSDev /= RPCarea;

        outputRateCSV << MeanNoiseRate << '\t'
            << ClusterRate << '\t' << ClusterSDev << '\t';
    }
}

```

4212 *Source Code B.19: Description of rate result calculation and writing into the CSV output Offline-Rate.csv. Are saved into the file for each detector, the mean partition rate, cluster size and cluster mutiplicity, along with their errors, for each partition and as well as a detector average.*

4213 **Muon performance variables** are computed and written in the output file for each detector partitions as shown through Sources Code B.20. The variables that are written for each partition are:

4214

- 4215 ● The muon efficiency, `eff`, extracted from the histogram `Efficiency0_H`. It is reminded that
4216 this offline tool doesn't include any tracking algorithm to identify muons from the beam and
4217 only relies on the hits arriving in the time window corresponding to the beam time. The con-
4218 tent of the efficiency histogram is thus biased by the noise/gamma background contribution
4219 into this window and is thus corrected by estimating the muon data content in the peak re-
4220 gion knowing the noise/gamma content in the rate calculation region. Both time windows
4221 being different, the choice was made to normalise the noise/gamma background calculation
4222 window to it's equivalent beam window in order to have comparable values using the variable
4223 `windowRatio`. Finally, to estimate the data ratio in the peak region, the variable `DataRatio`
4224 is defined as the ratio in between the estimated mean cluster multiplicity of the muons in the
4225 peak region, `MuonCM`, and of the total mean cluster multiplicity in the peak region, `PeakCM`.
4226 `MuonCM` is itself defined as the difference in between the total mean cluster multiplicity in the
4227 peak region and the normalised mean noise/gamma cluster multiplicity calculated outside of
4228 the peak region. The statistical error related to the efficiency, `eff_err`, is computed using a
4229 binomial distribution, as the efficiency measure the probability of "success" and "failure" to
4230 detect muons.
- 4231 ● The mean muon cluster size, `MuonCS`, is calculated using the total mean cluster size and multi-
4232 plicity in the peak region, respectively extracted from histograms `MuonCSize_H` and `MuonCMult_H`,
4233 the noise/gamma background mean cluster size and normalised multiplicity, extracted from
4234 `NoiseCSize_H` and `NoiseCMult_H`, and of the estimated muon cluster multiplicity `MuonCM` pre-
4235 viously explicated. The associated statistical error, `MuonCM_err`, is calculated using the propa-
4236 gation of errors of the mentioned variables.
- 4237 ● The mean muon cluster multiplicity in the peak region, `MuonCM`, explicated above whose sta-
4238 tistical error, `MuonCM_err`, is the sum of statistical error associated to the total mean clus-
4239 ter multiplicity in the peak reagion, `PeakCM_err`, and of the mean noise/gamma cluster size,
4240 `NoiseCM_err`.

4241 In addition to these 2 CSV files, the histograms are saved in ROOT file `Scan00XXXX_HVY_Offline.root`
4242 as explained in section B.2.1.1.

4243

```

for (UInt tr = 0; tr < GIFInfra->GetNTrolleys(); tr++) {
    UInt T = GIFInfra->GetTrolleyID(tr);
    for (UInt sl = 0; sl < GIFInfra->GetNSlots(tr); sl++) {
        UInt S = GIFInfra->GetSlotID(tr,sl) - 1;
        for (UInt p = 0; p < GIFInfra->GetNPartitions(tr,sl); p++) {
            float noiseWindow =
                BMTDCWINDOW - TIMEREJECT - 2*PeakWidth.rpc[T][S][p];
            float peakWindow = 2*PeakWidth.rpc[T][S][p];
            float windowRatio = peakWindow/noiseWindow;

            float PeakCM = MuonCMult_H.rpc[T][S][p]->GetMean();
            float PeakCS = MuonCSize_H.rpc[T][S][p]->GetMean();
            float NoiseCM = NoiseCMult_H.rpc[T][S][p]->GetMean() *windowRatio;
            float NoiseCS = NoiseCSize_H.rpc[T][S][p]->GetMean();
            float MuonCM = (PeakCM<NoiseCM) ? 0. : PeakCM-NoiseCM;
            float MuonCS = (MuonCM==0 || PeakCM*PeakCS<NoiseCM*NoiseCS)
                ? 0.
                : (PeakCM*PeakCS-NoiseCM*NoiseCS) / MuonCM;
            float PeakCM_err = (MuonCMult_H.rpc[T][S][p]->GetEntries()==0.)
                ? 0.
                : 2*MuonCMult_H.rpc[T][S][p]->GetStdDev() /
                    sqrt(MuonCMult_H.rpc[T][S][p]->GetEntries());
            float PeakCS_err = (MuonCSize_H.rpc[T][S][p]->GetEntries()==0.)
                ? 0.
                : 2*MuonCSize_H.rpc[T][S][p]->GetStdDev() /
                    sqrt(MuonCSize_H.rpc[T][S][p]->GetEntries());
            float NoiseCM_err = (NoiseCMult_H.rpc[T][S][p]->GetEntries()==0.)
                ? 0.
                : windowRatio*2*NoiseCMult_H.rpc[T][S][p]->GetStdDev() /
                    sqrt(NoiseCMult_H.rpc[T][S][p]->GetEntries());
            float NoiseCS_err = (NoiseCSize_H.rpc[T][S][p]->GetEntries()==0.)
                ? 0.
                : 2*NoiseCSize_H.rpc[T][S][p]->GetStdDev() /
                    sqrt(NoiseCSize_H.rpc[T][S][p]->GetEntries());
            float MuonCM_err = (MuonCM==0) ? 0. : PeakCM_err+NoiseCM_err;
            float MuonCS_err = (MuonCS==0 || MuonCM==0) ? 0.
                : (PeakCS*PeakCM_err + PeakCM*PeakCS_err +
                    NoiseCS*NoiseCM_err + NoiseCM*NoiseCS_err +
                    MuonCS*MuonCM_err) / MuonCM;

            float DataRatio = MuonCM/PeakCM;
            float DataRatio_err = (MuonCM==0) ? 0.
                : DataRatio*(MuonCM_err/MuonCM + PeakCM_err/PeakCM);
            float eff = DataRatio*Efficiency0_H.rpc[T][S][p]->GetMean();
            float eff_err = DataRatio*2*Efficiency0_H.rpc[T][S][p]->GetStdDev() /
                sqrt(Efficiency0_H.rpc[T][S][p]->GetEntries()) +
                Efficiency0_H.rpc[T][S][p]->GetMean()*DataRatio_err;

            outputEffCSV << eff << '\t' << eff_err << '\t'
                << MuonCS << '\t' << MuonCS_err << '\t'
                << MuonCM << '\t' << MuonCM_err << '\t';
        }
    }
}

```

4244

Source Code B.20: Description of efficiency result calculation and writing into the CSV output Offline-L0-EffCl.csv. Are saved into the file for each detector, the efficiency, corrected taking into account the background in the peak window of the time profile, muon cluster size and muon cluster multiplicity, along with their errors, for each partition and as well as a detector average.

4245

4246 B.7 Current data Analysis

4247 Detectors under test at GIF++ are connected both to a CAEN HV power supply and to a CAEN
4248 ADC that reads the currents inside of the RPC gaps bypassing the supply cable. During data tak-
4249 ing, the webDCS records into a ROOT file called `Scan00XXXX_HVY_CAEN.root` histograms with the
4250 monitored parameters of both CAEN devices. Are recorded for each RPC channels (in most cases,
4251 a channel corresponds to an RPC gap):

- 4252 • the effective voltage, HV_{eff} , set by the webDCS using the PT correction on the CAEN power
4253 supply,
- 4254 • the applied voltage, HV_{app} , monitored by the CAEN power supply, and the statistical error
4255 related to the variations of this value through time to follow the variation of the environmental
4256 parameters defined as the RMS of the histogram divided by the square root of the number of
4257 recorded points,
- 4258 • the monitored current, I_{mon} , monitored by the CAEN power supply, and the statistical error
4259 related to the variations of this value through time to follow the variation of the environmental
4260 parameters defined as the RMS of the histogram divided by the square root of the number of
4261 recorded points,
- 4262 • the corresponding current density, J_{mon} , defined as the monitored current per unit area,
4263 $J_{mon} = I_{mon}/A$, where A is the active area of the corresponding gap,
- 4264 • the ADC current, I_{ADC} , recorded through the CAEN ADC module that monitors the dark
4265 current in the gap itself. First of all, the resolution of such a module is better than that of
4266 CAEN power supplies and moreover, the current is not read-out through the HV supply line
4267 but directly at the chamber level giving the real current inside of the detector. The statistical
4268 error is defined as the RMS of the histogram distribution divided by the square root of the
4269 number of recorded points.

4270 Once extracted through a loop over the element of GIF++ infrastructure via the C++ macro
4271 `GetCurrent()`, these parameters, organised in 9 columns per detector HV supply line, are written in
4272 the output CSV file `Offline-Current.csv`. The macro can be found in the file `Current.cc`.

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