

Admin:

Pset #1 due today

Pset #2 out today

Today:

Cryptographic Hash Functions II ("Merkle Day")

- Merkle trees
- Puzzles & brute-force search
- PK crypto based on puzzles (Merkle puzzles)
- Hash function construction methods
  - Merkle-Damgård
  - Keccak

Readings:

Katz/Lindell: Chapter 5

Paar/Pfaltz: Chapter 11

Ferguson: Chapter 5

News:

Lenovo "Superfish"

Citizenfour wins Oscar for best documentary  
(HBO tonight at 9pm)

Project idea:

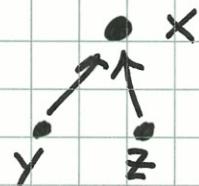
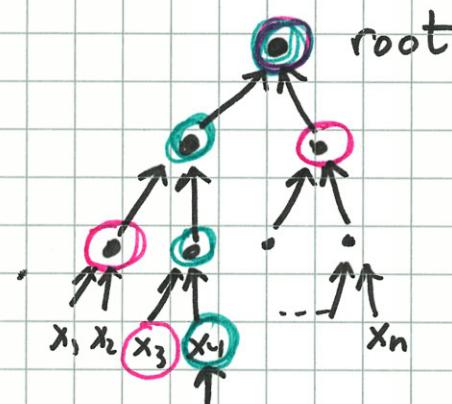
Do security analysis of "OpenWrt" router software

(5) To authenticate a collection of  $n$  objects:

Build a tree with  $n$  leaves  $x_1, x_2, \dots, x_n$

& compute authenticator node as fn of values

at children... This is a "Merkle tree:



value at  $x$

$$= h(\text{value at } y // \text{value at } z)$$

Root is authenticator for all  $n$  values  $x_1, x_2, \dots, x_n$

To authenticate  $x_i$ , give sibling of  $x_i$  &  
sibling of all his ancestors up to root

Apply to: time-stamping data  
authenticating whole file system

Need: CR

Used in bitcoin...

## Puzzles & Brute-force Search

$$h: \{0,1\}^k \rightarrow \{0,1\}^d$$

If  $h$  is well-modeled as a random oracle, inverting  $h$  requires  $2^d$  steps on average.

Given  $y \in \{0,1\}^d$ , adversary can do no better than trying  $x_1, x_2, \dots$  until

he finds  $x_i$ : s.t.  $h(x_i) = y$ . Probability that  $h(x_i) = y$  is  $2^{-d}$  (by R.O.M), so expected # trials needed is  $2^d$ . Brute-force

Can also have restricted domain

To make a "puzzle", choose  $d$  to be "not too large".

E.g.  $h(x) = \text{sha256}(x) \bmod 2^d$   
where  $d = 40$

Takes  $2^{40}$  steps to solve, on average.

Note: special-purpose chips & boards can do  $\approx 2^{40}$  hashes/second, so this is maybe a "one-second puzzle" for such a device.

Puzzle difficulty is controllable (by choosing  $d$ )

Easy to create many puzzles:  $h_k(x) = h(k || x)$   
so one puzzle for each parameter  $k$ .

Puzzle spec =  $(k, d, y)$  want  $x$  s.t.  $h_k(x) = y$

Puzzle creator knows solution (computes  $y$ , given  $x$ )

## Hash cash (Adam Back, 1997)

- Anti-spam measure
- Requires sender to provide "proof of work" ("stamp")
- Email without POW or from sender on whitelist is discarded.
- POW:

Solve puzzle  $h(k, r)$  ends in 20 zeros

where  $k = \text{sender} \parallel \text{receiver} \parallel \text{date} \parallel \text{time}$

$r$  = variable to be solved for

- Include  $r$  in header as POW
- easy for receiver to verify payment (POW)
- takes  $\propto 2^{20}$  trials to solve
- doesn't work well against botnets



## Merkle Puzzles (1974)

- First "public key" system. (Really: key agreement)



|| How can Alice & Bob agree on a key  $k$  over channel, while Eve is eavesdropping?

Parameters:  $n = \# \text{ of puzzles}$

~~$D = 2^d = \text{puzzle difficulty}$~~

- ① Bob makes  $n$  puzzles of difficulty  $D$

$$P_i = (y_i, E_{x_i}(K_i))$$

where  $h(i||x_i) = y_i \in \{0,1\}^{160}$

$K_i$  is session key  $\in \{0,1\}^d$

$$P_1, P_2, \dots, P_n$$

& sends them all to Alice (& Eve)

- ② Alice picks random  $i$  ( $1 \leq i \leq n$ ) & solves  $P_i$  (work  $D$  for Alice)

- ③ Alice lets Bob know (but not Eve)

which one she has solved, e.g. by sending  
e.g.  $h(K_i)$

- ④ Further communications protected with session key  $K_i$ .

Time for good guys =  $\underbrace{\mathcal{O}(n)}_{\text{Bob}} + \underbrace{\mathcal{O}(D)}_{\text{Alice}}$

Time for Eve  $\Rightarrow \mathcal{O}(n \cdot D)$

For  $n=D=10^9$ , "almost practical"!

## Hash function construction ("Merkle-Damgård" style)

- Choose output size  $d$  (e.g.  $d=256$  bits)
- Choose "chaining variable" size  $c$  (e.g.  $c=512$  bits)
 

[Must have  $c \geq d$ ; better if  $c \geq 2 \cdot d$  ...]
- Choose "message block size"  $b$  (e.g.  $b=512$  bits)
- Design "compression function"  $f$ 

$$f: \{0,1\}^c \times \{0,1\}^b \rightarrow \{0,1\}^c$$

[ $f$  should be OW, CR, PR, NM, TCR, ...]
- Merkle-Damgård is essentially a "mode of operation" allowing for variable-length inputs:

\* Choose a  $c$ -bit initialization vector  $\text{IV}$ ,  $c_0$

[Note that  $c_0$  is fixed & public.]

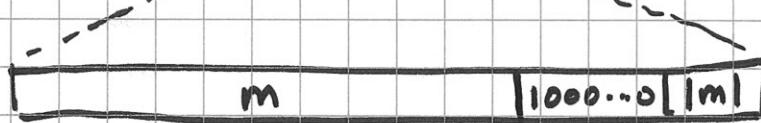
\* [Padding] Given message, append

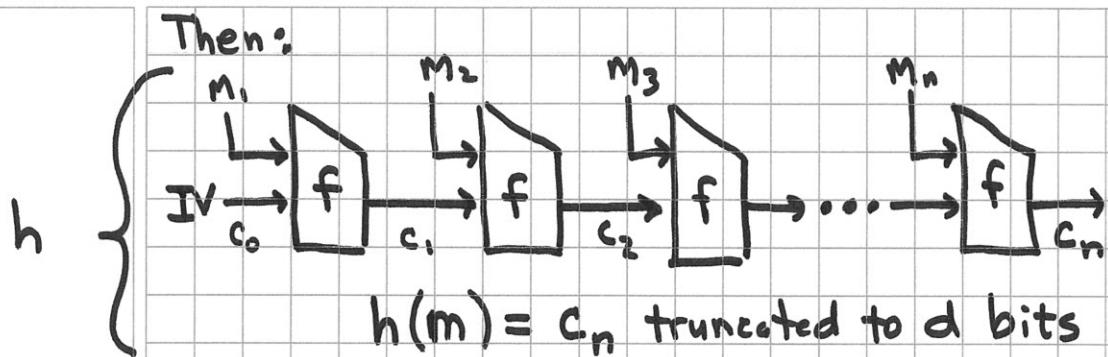
-  $10^*$  bits

- fixed-length representation of length of input

so result is a multiple of  $b$  bits in length:

$M = M_1 M_2 \dots M_n$ . (n b-bit blocks)





Theorem: IF  $f$  is CR, then so is  $h$ .

Proof: Given collision for  $h$ , can find one for  $f$  by working backwards through chain.  $\blacksquare$

Thm: Similarly for OW.

Common design pattern for  $f$ :

$$f(c_{i-1}, M_i) = c_{i-1} \oplus E(M_i, c_{i-1})$$

where  $E(K, M)$  is an encryption function

(block cipher) with  $b$ -bit key and  
 $c$ -bit input/output blocks.

(Davies-Meyer construction)

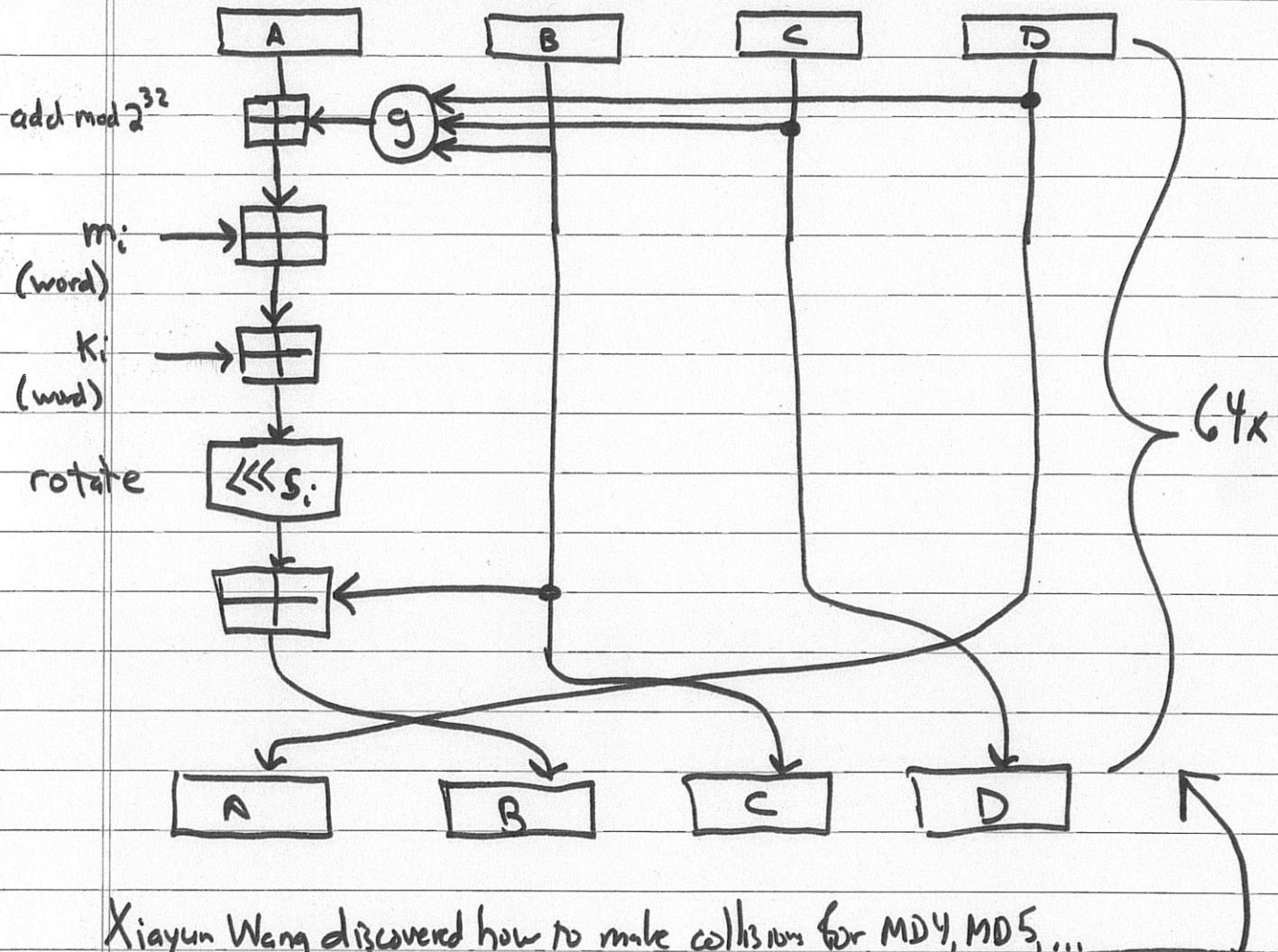
## Typical compression function (MD5):

6.857 Rivest

~~13/03~~

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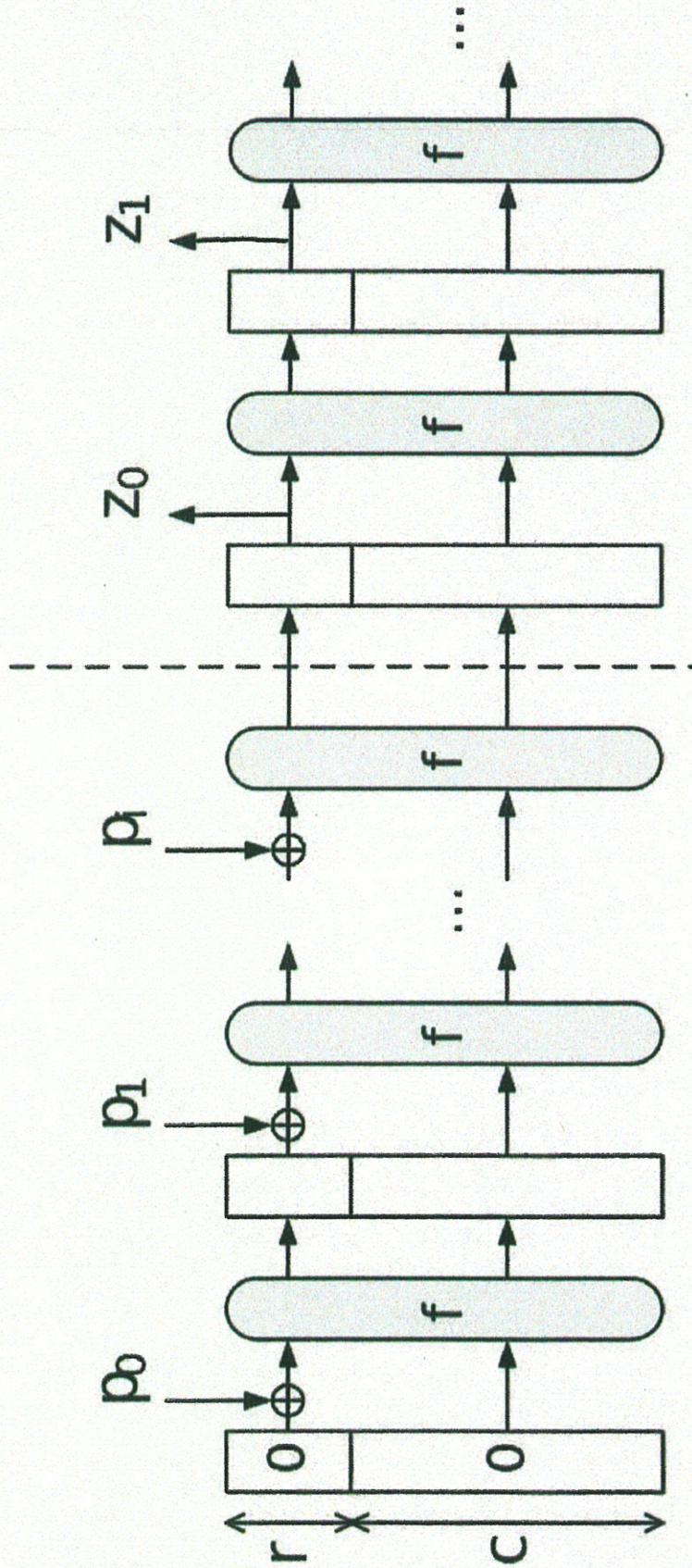
- chaining variable & output are 128 bits =  $4 \times 32$
- IV = fixed value
- 64 rounds; each modifies state (in reversible way) based on selected message ~~16~~ word
- message block  $b=512$  bits considered as 16 32-bit words
- uses end-around XOR too around entire compression fn (as above)



Xiaoyun Wang discovered how to make collisions for MD4, MD5, ...  
("Differential cryptanalysis")

~~SECRET~~ ~~CONFIDENTIAL~~

$$g(x,y,z) = \begin{cases} xy \vee \bar{x}z \\ xz \vee y \bar{z} \\ x \oplus y \oplus z \\ y \oplus x \bar{z} \end{cases} \text{ depending on round}$$



Keccak = SHA-3

$$\begin{aligned} d &= 256 \\ c &= 512 \\ r &= 1088 \\ w &= 64 \end{aligned}$$

Keccak Sponge Construction

$$d = \text{output hash size in bits } \in \{224, 256, 384, 512\}$$

$$c = 2^d \text{ bits}$$

$$\text{state size} = 25w \text{ where } w = \text{word size (e.g. } w=64)$$

$$c+r = 25w$$

$$r \geq d \text{ (so hash can be first } d \text{ bits of } z_0)$$

$$c+r \text{ padded with } 10^d \text{ until length is a multiple of } r$$

Input padded with  $10^d$  until length is a multiple of  $r$   
 $f$  has 24 rounds (for  $w=64$ ), not quite identical (round constant)  
 $f$  is public, efficient, invertible function from  $\{0,1\}^{25w}$  to  $\{0,1\}^{25w}$