

CMSC726 MACHINE LEARNING

PROJECT 2

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# Complex Classification

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# 1 Gradient Descent and Linear Classification

## 1.1 WU1

Find a few values of step size where it converges and a few values where it diverges. Where does the threshold seem to be?

It depends on what the number of iteration is, but with 100 iterations, from step 0.1 to 6.5 it finds a solution close to 0. But right after 6.6, it starts to diverge and for any value after 6.7 it diverges, so the threshold seems to be around 6.6.

## 1.2 WU2

Come up with a non-convex univariate optimization problem. Plot the function you're trying to minimize and show two runs of gd, one where it gets caught in a local minimum and one where it manages to make it to a global minimum. (Use different starting points to accomplish this.)

Using  $f(x) = \sin(\pi x) + x^2/2$ ,  $f'(x) = \pi \cos(\pi x) + x$ , and 10 iterations, the global minimum happens at  $x \approx -0.45385$ . If we start at 0, we can find the global minimum, but if we start at 1, gd gets caught in a local minimum 1.357

Output:

```
>>> gd.gd(f, derF, 0, 10, 0.2)
(-0.45385351939658519, array([ 0 -0.72244765,
 -0.84494752, -0.88472033, -0.88650671,
 -0.88651822, -0.88651823, -0.88651823,
 -0.88651823, -0.88651823, -0.88651823]))
>>> gd.gd(f, derF, 1, 10, 0.2)
(1.3577434052579487,
 array([ 1.22464680e-16, 4.52961014e-02,
 2.50055080e-02, 2.00175811e-02, 1.99477186e-02,
 1.99477147e-02, 1.99477147e-02, 1.99477147e-02,
 1.99477147e-02, 1.99477147e-02, 1.99477147e-02]))
```

The first run finds the global minimum, but the second one doesn't (the first value in the output of gd is the solution it found).

## 1.3 WU3 - Not Required

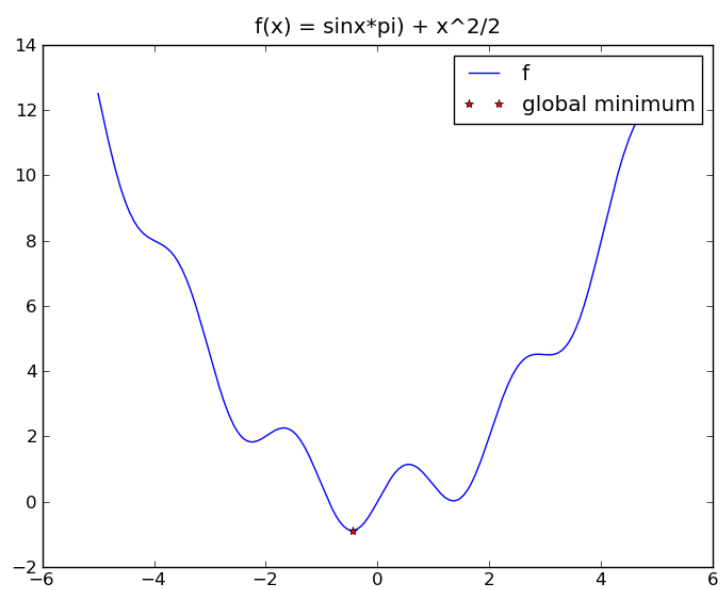


Figure 1:  $f(x) = \sin(\pi x) + x^2/2$ ,  $f'(x) = \pi \cos(\pi x) + x$

## 2 Warm Up with ML Tools

### 2.1 WU4

What are the five features with largest positive weight and what are the five features with largest negative weight? Do these seem "right" based on the task?

The features with the largest positive and negative weights are shown in Table 1 and Table 2 respectively. Some features, like the "xx" feature, do not seem to have a understandable meaning. However, many features do seem "right" according to their field. The features corresponding to graphics documents include "graphics", "image(s)", and "card" which all are easily related to the topic. The features associated with windows include "motif", "window", and "x" which makes sense since Motif is a GUI widget toolkit under the X Window System. Therefore, it appears that the largest weights have some understandable meanings.

Feature	Weight
graphics	1.0918
images	0.7224
image	0.7200
card	0.7124
xx	0.6912

Table 1: The five features with the largest positive weights.

Feature	Weight
motif	-1.2143
window	-1.1542
server	-0.9483
list	-0.8908
x	-0.8657

Table 2: The five features with the largest negative weights.

## 2.2 WU5

Draw the tree. How do the selected features compare to the features from the logistic regression model? Which features seem "better" and why? If you use a depth 10 tree, how well do you do on test data?

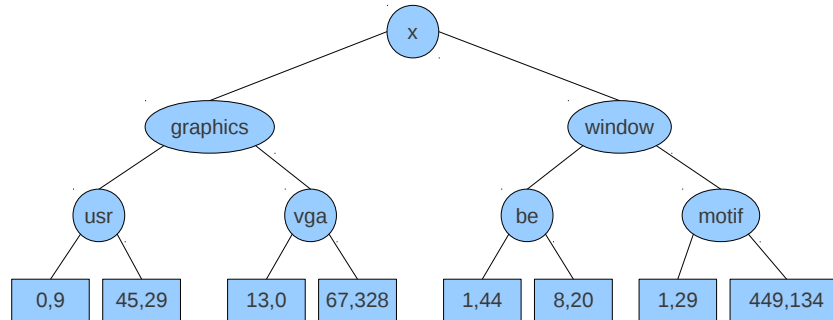


Figure 2: WU5: The tree

Features can be considered "better" if they are decisive, when the ratio between class 1 and class -1 at the leaf is high, and if they are robust, when many instances have corroborate the decision.

The features selected by the FastDT algorithm agree with four of the most positive and negative weighted features from the Megam algorithm; these include "graphics", "motif", "window", and "x". In detail, "motif" and "vga" are the better features. "motif" has high frequency (total 583 instances fall have no motif) and high ratio (about 80% of those without "motif" are in class 1). For "vga", 395 instances fall into the class 1, and 84% of those with no "vga" are in class -1. If they have "vga", 100% of them are in class 1. However, this may not be the most reliable indicator because only 13 data falls into yes "vga". In this respect, "usr" is one of the least useful of the features selected for the tree because the relative frequency is low, and when there is no "usr", the ratio of class 1 to class -1 is 6:4.

The depth of the decision tree can be increased to increase the potential number of decisions. If the depth of the tree is increased to 10 levels for this task, the test error is reduced by 0.463% to 20.53%. This implies that the nodes at higher levels in the tree are mostly sufficient for the classification task, and increasing depth beyond this point does not yield a significant boost in accuracy.

## 2.3 WU6

Using `comp.graphics` versus `comp.windows.x`, plot training and test error curves for each of the algorithms. For `megam`, use  $\lambda$  values of  $2^x$ ,  $-5 \leq x \leq 5$ . For `FastDT`, use depths 1 through 20. For `libsvm`, use  $C$  values of  $2^x$ ,  $-5 \leq x \leq 5$ . Before actually running these experiments, what do you expect to happen? What actually does happen?

Figure 3 shows the learning curve for `FastDT`, where the blue curve is the training error and red curve is the test error. I expected the training error reduce to a really small number with the increasing of the depth of the tree. The testing error will go down at the beginning and will go up later because of the overfitting. As shown in the figure, the plot is similar to what I expected.

Figure 3: Learning curve of fastDT on various depths

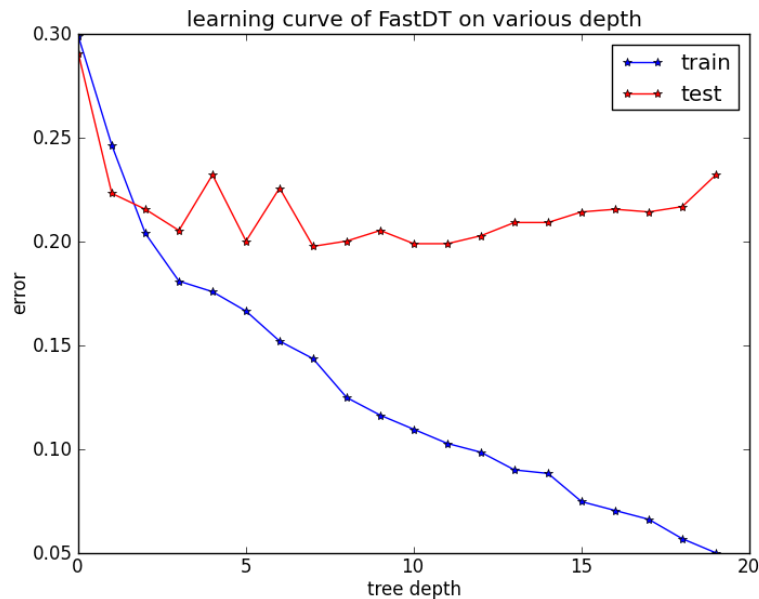


Figure 4 shows the learning curve for `Megam`. The expected training error is to be smaller and smaller but in this plot, the training error goes up as  $\lambda$  increases. This is because as we increase the value of  $\lambda$ , we are imposing too much prior on the weights.  $\lambda$  is the precision of the gaussian prior, and when precision is high, it takes longer iteration for `Megam` to find the optimal weights. However since we don't change the maximum iteration as we increase  $\lambda$ , the training error goes up.

Figure 4: Learning curve of Megam on various lambda values

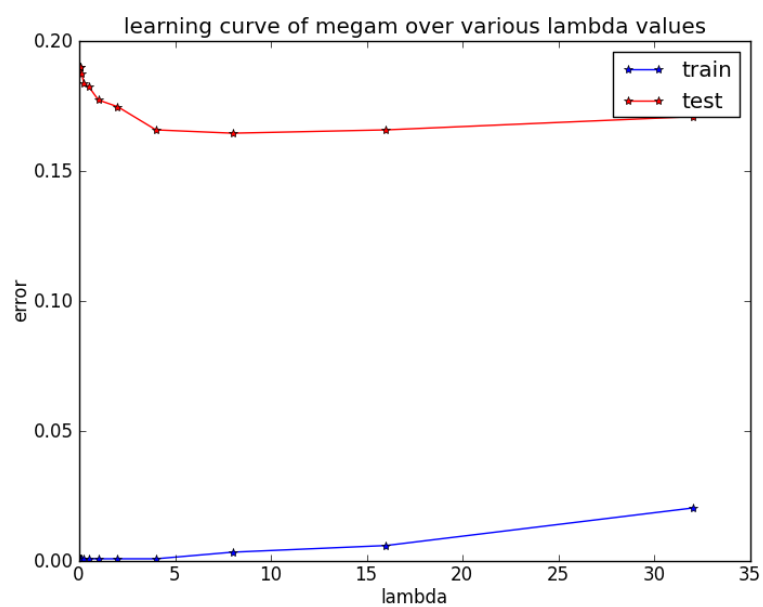


Figure 5: Learning curve of libSVM on various c values

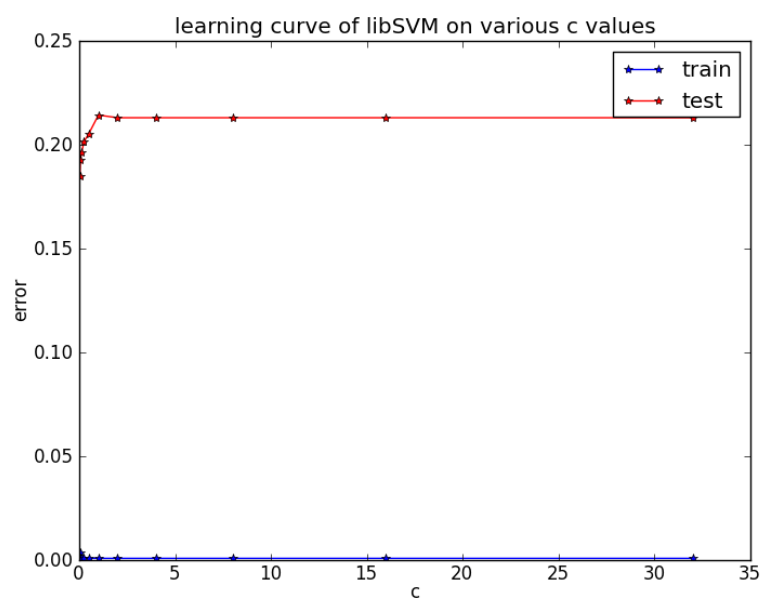


Figure 5 shows the learning curve for libsvm. I expected the training error reduce to a really small number with the increasing of the depth of the tree. The testing error will go down at the beginning and will go up later because of the overfitting. As shown in the figure, the plot is similar to what I expected.

## 2.4 WU7

Comparing the performance of the three different algorithms on the two tasks (text categorization versus digit recognition), which one(s) perform best on one and which on the other? Why?

The performances of each algorithm on the text and digit classification tasks are shown in Table 3. We see that for text classification FastDT and libSVM perform similarly, while Megam achieves a few extra percentage points for accuracy. For digit classification Megam and libSVM perform identically, and with higher accuracy than FastDT. One explanation for this difference would be that the text classification data can be separated linearly using a few basic features so all algorithms perform similarly, but this approach to digit classification uses very fine grained pixel level features which are not as useful for the decision tree model. If we used high level image features, like histograms, SIFT, or wavelets, we might find that the decision tree would be on par with its competitors.

Algorithm	Text	Digit
Megam	82.3%	93.0%
FastDT	79.5%	88.0%
libSVM	78.5%	93.0%

Table 3: Accuracy of Algorithms for Text and Digit Classification



## 3 Reductions for Multiclass Classification

### 3.1 WU8

For each of the three reductions, run your classifier on the text classification problem with four classes. For the tree reduction, make the first split graphics, windows versus baseball, hockey. Tune your hyperparameters as well as you can and report the best results you can for each of the three. Which one wins? Which one was easiest to tune hyperparameters for?

All reductions were done using the Megam classifier, and the only hyperparameter was the lambda value, so tuning the hyperparameters was easy for all three. We tested lambda values between  $2^{-5}$  to  $2^5$ . For OVA, the best lambda value was 1 yielding accuracy 79.684%. For AVA, the best lambda value was 4 yielding accuracy 84.494%. For tree reduction, the best lambda value is 4, yielding accuracy 84.87%. Overall, the tree reduction method performed better than OVA and AVA.

### 3.2 WU9

Change the structure of the tree classifier so that the first split is graphics, baseball versus windows, hockey. (Thus, the hard decision is first, and the easy decisions come second.) Return hyperparameters well. Does this work better or worse than the previous split, and why?

Testing lambda values from  $2^{-5}$  to  $2^5$ , the best we observed was 84.43% with lambda  $2^1$ . The performance of this tree depends on the lambda of course, but on average the first tree performs better than the second, with an average accuracy of 83.84% compared to the second tree's average accuracy of 83.5%.

The second tree is expected to do worse, because the first split at the root of the second tree is harder (graphics, baseball versus windows, hockey), and we would expect that more mistakes could be made at the root. Indeed, if we examine the performance for each type of tree using its best lambda, the error rate of the second tree's root classifier,  $203/1580 = 0.128481$ , is higher than that of the first tree's root classifier, which is  $59/1580 = 0.0373418$ .

However, the difference between the average performance of two trees is still not very significant. This might happen since the decisions at the leaf level are easier for the second tree, while those in the first tree are harder. So, to some degree, this compensates for the early errors in the second tree, but since the decision at the root is critical, the first tree works better in general.

## 4 Collective Classification

### 4.1 WU10b

Plot the accuracy of your classifier as a function of the number of levels in the stack. Do you observe that stacking helps? I.e., does some layer  $\geq 1$  perform better than layer 1? If not, perhaps you're not using sufficiently helpful features between the layers. Does the stack ever overfit? Plot your training error versus your test error as a function of the number of layers, and if you observe massive overfitting, you might need to do cross-validation to attenuate this. Report on your experience.

We used the Cora dataset <sup>1</sup>, which is a collection of 2708 Machine Learning papers, each falling into one of seven classes; Case Based, Genetic Algorithms, Neural Networks, Probabilistic Methods, Reinforcement Learning, Rule Learning, and Theory. The data for each document contains binary features representing the presence of 1433 unique words in the text. The cora dataset also comes with the citation graph, which contains 5429 directional links between the documents.

For basic training and testing, we randomly selected 80% of documents (2150) for training data, and the remaining 20% were used for testing data (550). For cross validation we used a three-fold approach and randomly separated the data into three equal group of 900 documents. We reduced the raw binary feature vectors for processing with megam by removing the unnecessary zero valued features, and those remaining were denoted with indices, identifying which words were present in each document.

In each stacking step, for each document we found how many of its neighbors were labelled for each class using the previous model, and added those values which were non-zero as new features. Using this approach, at most one feature for each class would be added at each stacking step. Finding good representations for these values is a major problem in itself, and we found that small changes in the way each feature's value was normalized could greatly impact the prediction results. This is likely because the word features are all binary, either 0 or 1, so normalizing the collective features could reduce the impact that they have on the predictions.

We tried various approaches such as reducing the word feature values, using binary features for the collective features, or normalizing and multiplying the collective values by some constant. However, we found that the straightforward approach of normalizing the values to 1 yielded the best results. As an example, if document A linked to documents B, C, D, and E, which were previously classified with labels 4, 2, 2, and 3 respectively then the added features would represent that 50% of A's neighbors were class 2, 25% class 4, and the remaining 25% class 3.

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<sup>1</sup>[www.research.whizbang.com/data](http://www.research.whizbang.com/data)

We also experimented with bootstrapping features as described in previous work <sup>2</sup> with the Cora dataset. In the bootstrapping process, the binary word features from each document’s neighbors are accumulated and added as new neighborhood word features. However, we found that bootstrapping hurt the accuracy of the model, so it was not used in our final approach.

The success of the stacking approach on the Cora dataset seems to be heavily dependent on the way the dataset is separated. It is possible that in some cases splitting the data breaks many important links between documents, consequently reducing the relevant information stacking might provide. With some random separations of test and training data stacking did not help the test or training performance, as shown in Figure 6 where stacking does not improve the training performance, although it does improve test performance. In another random separation of training and testing data shown in Figure 7, we see that stacking improves the accuracy in both cases. To attenuate these affects we performed cross validation and found accuracy as shown in Figure 8. For all of these cases we see that when stacking does help at some layer, and overfitting is often observed at a later layer.



Figure 6: Training error and test error vs stacking layers.

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<sup>2</sup>Sen, Namata, et al. 2008. Collective classification in Network Data

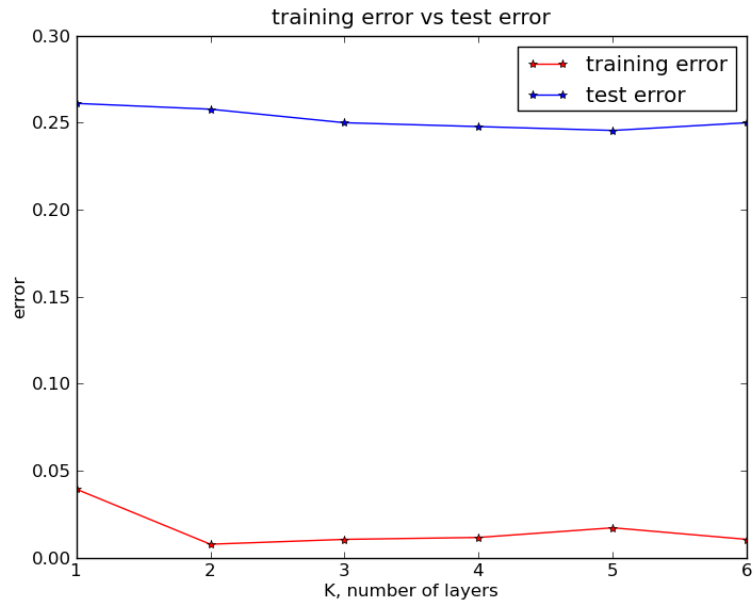


Figure 7: Training error and test error vs stacking layers.

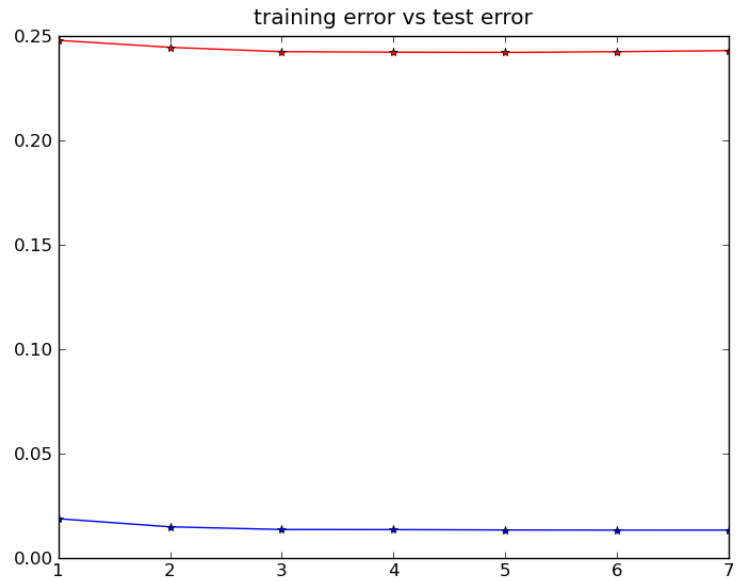


Figure 8: Cross validation training error and test error vs stacking layers.

Our stacking approaches consistently yielded just a few percentage points of increased accuracy on the Cora dataset as opposed to the accuracy without any stacking. Comparing our results with those in previous work with Cora data <sup>2</sup> we found similar error rates with models not using stacking, but previous work found as much as 12% increase in accuracy using stacking where we only gained as much as 2%. It may be that the feature values we used could be improved, that with further investigation bootstrapping might yield much better results, or we might try increasing the collective degree of each stacking step by looking at neighbors of neighbors. Overall, stacking has the potential to yield useful information that can improve classification, but we found that performance is highly dependent on how that data is represented and whether the connections are preserved when the data is mined.