# Seminar Notes Alifian

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# 1 3D Linear Elasticity

$$\Omega \subset \mathbb{R}^d (d=2,3)$$
  
 $u = \Omega \to \mathbb{R}^2 \text{(small displacement)}$   
 $x \mapsto u(x)$ 

#### 1.1 Strain Tensor

$$e[u] = (e_{ij}[u]) \in \mathbb{R}_{sym}^{dxd}$$

$$e[u] := \frac{1}{2} (\nabla^T u + (\nabla^T u)^T)$$
(1)

### 1.2 Stress Tensor

$$\sigma[u] = (\sigma i j[u]) \in \mathbb{R}_{sym}^{dxd} \tag{2}$$

Based on Hook's Law, stress tensor must have equality with strain so that

$$\sigma = \mathbf{C}e$$
with  $\mathbf{C} = \mathbf{C}_{ijkl}$  (is a 4th order elasticity tensor)
$$\sigma ij = \mathbf{C}ijkle_{kl}$$

$$\mathbf{C}_{ijkl} = \mathbf{C}_{ijlk} = \mathbf{C}_{klij}$$
 (symmetry)
$$\mathbf{C}_{ijkl}\xi_{ij}\xi_{kl} \geq \mathbf{C}_*|\xi|^2$$

## 1.3 Boundary Value Problem

$$\begin{cases}
-\partial_{i}\sigma_{ij}[u] &= f_{j}(x), x \in \Omega \\
u &= g(x), x \in \Gamma_{D} \\
\sigma[u]_{\nu} &= q(x), x \in \Gamma_{N}
\end{cases}$$
(3)

### 1.4 Equilibrium Equations of Force in $\Omega$ and on $\Gamma_N$

#### 1.4.1 Strain Energy Density

$$\omega[u](x) := \frac{1}{2}\sigma[u] : e[u] \tag{4}$$

Solving using Sobolev Space in Isotropic Case, equation 4 becomes

$$c_{ijkl} = \lambda \delta_{ij} \delta_{kl} + \mu (\delta_{ik} \delta_{jl} + \delta_{il} \delta_{jk})$$

with  $\lambda, \mu$  called Lame Constant

$$\delta_{ij} = \begin{cases} 1, i = j \\ 0, i \neq j \end{cases}$$

$$\sigma[u] = (\sigma_{ij}[u])$$

$$\sigma_{ij}[u] = c_{ijkl}e_{kl}[u]$$

$$= \lambda(\delta_k u_k)\delta_{ij} + \mu(\delta_i u_j + \delta_j u_i)$$

$$= \lambda(\operatorname{div} u)I + 2\mu e[u]$$

$$\omega[u] = \frac{1}{2}(\lambda(\operatorname{div} u)I + 2\mu e[u]) : e[u]$$

$$\omega[u] = \frac{1}{2}(\lambda(\operatorname{div} u)^2 + \mu|e[u]|^2$$

#### Remark 1. Positivity of C

$$(\mathbf{C}\xi) : \xi \ge \mathbf{C}_* |\xi|^2 (\forall \xi \in \mathbb{R}^{dxd}_{sym})$$
$$(\mathbf{C}\xi) : \xi = \lambda |tr|^2 + 2\mu |\xi|^2$$

If  $\lambda \geq 0, \mu > 0$ , then  $C_* = 2\mu$ 

$$\xi = (\xi_{ij}), |\xi|^2 = \xi_{ij}\xi_{ij} = \sum_{i=1...d}^{d} \sum_{j=1...d}^{d} |\xi_{ij}|^2$$

### 1.5 Elasticity Problem

$$\begin{cases}
-\text{div } \sigma[u] &= f(x) \text{ in } \Omega \subset \mathbb{R}^d \\
u &= g(x) \text{ on } \Gamma_D \\
\sigma[u]v &= q(x) \text{ on } \Gamma_N
\end{cases}$$
(5)

#### 1.6 Crack Problem

$$\begin{cases}
-\text{div } \sigma[u] &= f(x) \text{ in } \Omega \setminus \Sigma \subset \mathbb{R}^d \\
u &= g(x) \text{ on } \Gamma_D \\
\sigma[u]v &= q(x) \text{ on } \Gamma_N \\
\sigma[u]v &= 0 \text{ on } \Sigma^+ \cup \Sigma^-
\end{cases}$$
(6)

## 1.7 Lebesque Measurable Theory

$$L^{p}(\Omega) := \left\{ v : \Omega \to \mathbb{R} \middle| \begin{cases} v = \text{Lebesque measurable} \\ \int_{\Omega} |v(x)|^{p} dx < \infty \end{cases} \right\}$$
 (7)

**Remark 2.** for  $u, v \in \mathbb{L}^p(\Omega)$ , if  $\exists N \subset \Omega$  such that  $\begin{cases} u(x) = v(x)(x \in \Omega \setminus N) \\ \mathcal{L}^d(N) = 0, \end{cases}$  then we identify u and v,  $\mathcal{L}^d(N) = 0 \Leftrightarrow volume \ of \ N = 0 \ for \ simplicity, \ we \ also \ can \ say \ that$ 

u(x) = v(x) for a.e.  $x \in \Omega$ 

for example

$$v: \mathbb{R} \to \mathbb{R}$$

$$v(x) = \begin{cases} 1, x \in \mathbb{Q} \\ 0, x \in \mathbb{R} \setminus \mathbb{Q} \end{cases}$$

$$\int_{\mathbb{R}} v dx = 0, \mathcal{L}^{1}(\mathbb{Q}) = 0$$

$$v(x) = 0 \text{ on } \mathbb{R} \setminus \mathbb{Q}, \text{ or we can say } v = 0 \text{ a.e. in } \mathbb{R}$$

$$(8)$$

## 1.8 Sobolev Space

$$\mathbb{W}^{1,p}(\Omega) := \left\{ v \in \mathbb{L}^p(\Omega) \frac{\partial v}{\partial x_j} \Big|_{(j=1\dots d)} \in \mathbb{L}^p(\Omega) \right\}$$
(9)

such  $\frac{\partial v}{\partial x_j}$  we called it distribution sence. example of Sobolev Space is as follow:

$$v \in \mathbb{L}^p(\Omega)$$
 if  $\exists \omega_i \in \mathbb{L}(\Omega)$ 

such that

$$\int_{\Omega} v \frac{\partial \varphi}{\partial x_j} dx = -\int_{\Omega} \omega_j \varphi dx (\forall \varphi \in \mathbb{C}_0^{\infty}(\Omega))$$

$$\Rightarrow \frac{\partial \varphi}{\partial x_j} = \omega_j \text{ in distribution sence}$$

for

$$v \in \mathbb{C}^{1}(\Omega), \frac{\partial v}{\partial x_{j}}(x) = \omega_{j}(x)$$

$$\updownarrow$$

$$\int_{\Omega} \omega_{j} \varphi dx = -\int_{\Omega} v \frac{\partial \varphi}{\partial x_{i}} dx (\forall \varphi \in \mathbb{C}_{0}^{\infty}(\Omega))$$

In particular,

$$\mathbb{H}^{1}(\Omega) := \mathbb{W}^{1,2}(\Omega), \nabla u = \begin{pmatrix} \frac{\partial u}{\partial x_{1}} \\ \vdots \\ \frac{\partial u}{\partial x_{d}} \end{pmatrix}$$

inner product

$$(u,v)_{\mathbb{H}^1(\Omega)} := \int_{\Omega} uv \ dx + \int_{\Omega} \nabla u \cdot \nabla v \ dx$$

norm

$$||u||_{\mathbb{H}^1(\Omega)} := \sqrt{(u,v)_{\mathbb{H}^1(\Omega)}} = \sqrt{\int_{\Omega} |u|^2 dx + \int_{\Omega} |\nabla u|^2 dx}$$

 $\mathbb{H}^1(\Omega)$  is complete  $(\mathbb{H}^1(\Omega))$  is a Hilbert Space

$$(u,v)_{\mathbb{L}^2(\Omega)} = \int_{\Omega} uv dx$$

## 1.9 Incomplete Hilbert Space

 $\mathbb V$  : a vector space in  $\mathbb R$ 

$$\begin{cases} u, v \in \mathbb{V} \Rightarrow \alpha u + \beta v \in \mathbb{V} \\ \alpha, \beta \in \mathbb{R} \end{cases}$$

If  $(\cdot, \cdot) : \mathbb{V} \times \mathbb{V} \to \mathbb{R}$  satisfies

$$\begin{cases} (u \cdot v) \ge 0 \text{ and } (u, u) = 0 \Leftrightarrow u = 0_v \in \mathbb{V} \\ (u, v) = (v, u) \\ (\alpha u + \beta v, \omega) = \alpha(u, \omega) + \beta(v, \omega) \end{cases}$$

then we call  $[\mathbb{V} \times \mathbb{V}]$  pre Hilbert space or incomplete Hilbert Space.

## 1.10 Property of $\mathbb{L}^2(\Omega)$

For  $v \in \mathbb{C}^1(\Omega)$ ,

$$\frac{\partial v}{\partial x_j}(x) = w_j(x)$$

$$\updownarrow$$

$$\int_{\Omega} w_j \varphi dx = -\int \Omega v \frac{\partial \varphi}{\partial x_j} dx \ (\forall \varphi \in \mathbb{C}_0^{\infty}(\Omega))$$

 $(u,v)_{\mathbb{L}^2(\Omega)} = \int_{\Omega} uv dx$ 

$$\Rightarrow \left| \int_{\Omega} uv dx \right| \leq \int_{\Omega} |u| |v| dx \leq ||u||_{\mathbb{L}^2(\Omega)} ||v||_{\mathbb{L}^2(\Omega)}$$

 $u, v \in \mathbb{H}^1(\Omega)$ 

## 1.11 Energy (Revisited)

$$E(u) := \frac{1}{2} \int_{\Omega} \sigma[u] : e[u] dx - \int_{\Omega} f \cdot u dx - \int_{\Gamma_N} q \cdot u ds \tag{11}$$

with u is a vector of the elasticity problem define by:

$$u \in \mathbb{H}^1(\Omega : \mathbb{R}^d) := \{u : \Omega \to \mathbb{R}^d | u = (u_i, \dots, u_d), u_i \in \mathbb{H}^1(\Omega)\}$$
  
 $\Rightarrow E(u) < \infty$ 

u: become solution  $\Leftrightarrow u = argmin_{v \in \mathbb{H}^1(\Omega:\mathbb{R}^d)} E(v)$  such a technique we call it variational principle.

## 1.12 Variational Principle

Let's consider a Poisson Equation Problem:

$$\Omega \subset \mathbb{R}^d \begin{cases}
-\Delta u &= f(x) \in \Omega \\
u &= g(x) \text{ on } \Gamma_D f \in L^2(\Omega), g \in H^1(\Omega), q \in L^2(\Gamma_N) \\
\frac{\partial u}{\partial v} &= q(x) \text{ on } \Gamma_N
\end{cases} \tag{12}$$

#### Remark 3.

$$v \in H^1(\Omega) \Rightarrow \exists v|_{\Gamma} \in L^2(\Gamma)$$

we choose v on  $L^2$  because it will has value on the boundary

#### 1.12.1 Definition of Weak Solution

$$u \in H^{1}(\Omega) \text{ s.t. } \begin{cases} \int_{\Omega} \triangle u \cdot \triangle v dx = \int_{\Omega} f v dx + \int_{\Gamma_{N}} q v ds \\ \left( \forall v \in V := v \in H^{1}(\Omega) \big| v \big|_{\Gamma_{D}} \right) \\ v \big|_{\Gamma_{D}} = g \big|_{\Gamma_{D}} (v - g \in V) \end{cases}$$

 $(v-g\in V)$  mean  $(v\in V+g:=v+g|v\in V)$  with V is an affine space.

#### 1.12.2 Definition of Strong Solution

 $u \in H^2(\Omega)$  and u satisfies (12)

#### Remark 4.

$$H^{2}(\Omega) := \{ u \in L^{2}(\Omega) \frac{\partial u}{\partial x_{j}}, \frac{\partial^{2} u}{\partial x_{i} x_{j}} \in L^{2}(\Omega) \}$$
$$u \in H^{2}(\Omega) \Rightarrow \frac{\partial u}{\partial x_{j}} \in H^{1}(\Omega)$$
$$\frac{\partial u}{\partial v} = \sum v_{i} \frac{\partial v}{\partial x_{i}}$$

### Proposition 1.

$$u: strong solution \Leftrightarrow \begin{cases} u: weak solution \\ u \in H^2(\Omega) \end{cases}$$

Energy 
$$E(v) := \frac{1}{2} \int_{\Omega} |\nabla v|^2 dx - \int_{\Omega} f v dx - \int_{\Gamma_N} q v ds$$

#### Theorem 1.

$$u: weak \ solution \Leftrightarrow u = argmin_{v \in V+q} E(v)$$

#### Theorem 2.

$$\exists ! u = argmin_{v \in V + g} E(v)$$

*Proof.* of Theorem 1

$$(\Leftarrow) \text{ If } u = \operatorname{argmin}_{w \in V + g} E(w)$$
 since  $u + fv \in V + g \ (\forall v \in V, \forall t \in \mathbb{R})$  
$$\frac{d}{dt} E(u + tv)|_{t=0} = 0 \ (\text{First Variation})$$

$$0 = \frac{d}{dt}E(u+tv)|_{t=0}$$

$$= \frac{d}{dt}\left[\frac{1}{2}\int_{\Omega}|\nabla u|^{2} + 2t\nabla u \cdot \nabla v + t^{2}|\nabla v|^{2}dx - \int_{\Omega}fudx - \int_{\Gamma_{N}}quds - t(\int_{\Omega}fvdx + \int_{\Gamma_{N}}qvds)\right]_{t=0}$$

$$= \int_{\Omega}\nabla u \cdot \nabla vdx - \int_{\Omega}fvdx - \int_{\Gamma_{N}}qvds$$

 $\therefore u = \text{weak solution}$ 

Proof. of Theorem 1  $\Leftrightarrow$  Theorem 2  $(\Rightarrow)$  If u is a weak solution for any  $w \in V + g, (v := w - u \in V)$ 

$$\begin{split} E(w) - E(u) &= E(u+v) - E(u) \\ &= \int_{\Omega} (\nabla u \cdot \nabla v + \frac{1}{2} |\nabla v|^2) dx - \int_{\Omega} f v dx - \int_{\Gamma_N} q v ds \\ &= \frac{1}{2} \int_{\Omega} |\nabla v|^2 dx \geq 0 \\ &\therefore E(w) \geq E(u) (\forall w \in V + g) \\ &\therefore u = \operatorname{argmin}_{w \in V + g} E(w) \end{split}$$

# 2 Abstract Theory

X: a real Hilbert Space (ex:  $H^1(\Omega)$ )

V: a closed subspace of X (ex:  $V \subset H^1(\Omega)$ ) in case of Poisson Equation (Linear)

#### 2.1 Definition

- 1. a:  $X \times X \to \mathbb{R}$  is a bilinear form, if  $\begin{cases} u \mapsto a(u,v) \text{ is linear for all } v \in X \\ v \mapsto a(u,v) \text{ is linear for all } u \in X \end{cases}$
- 2. a bilinear form a(u,v) is bounded, if  $\exists a_0 > 0$  s.t.  $|a(u,v)| \leq a_0 ||u||_x ||v||_x (\forall u,v \in X)$
- 3. a bilinear form  $a(\cdot, \cdot)$  is symmetric, if  $a(u, v) = a(v, u) (\forall u, v \in X)$
- 4. a bilinear form  $a(\cdot,\cdot)$  is coercive, if  $\exists \alpha > 0$  s.t.  $a(u,u) \geq \alpha ||u||_x^2 (\forall u \in X)$

**Remark 5.** A bilinear form  $a(\cdot,\cdot)$  is bounded iff  $a: X \times X \to \mathbb{R}$  is continuous

#### 2.2 Definition

- 1.  $l: x \to \mathbb{R}$  is a linear form, if  $l: x \to \mathbb{R}$   $(\in u \mapsto l(u))$  is linear
- 2. A linear form l is bounded, if  $|l(u)| \leq \exists c ||u||_x$  ( $\forall u \in x$ )

**Remark 6.** A linear form l is bounded iff  $l: x \to \mathbb{R}$  is continuous.

## 2.3 Theorem (Lax - Milgram)

We suppose  $a(\cdot, \cdot)$  is a bounded bilinear form on  $X \times X$ , and l is a bounded linear form on X.

If  $a(\cdot, \cdot)$  is coercive on  $V \times V$ , then for any  $g \in X$ ,

$$\exists ! u \in V + g \text{ s.t. } a(u, v) = l(v) (\forall v \in V)$$

#### 2.3.1 Example

$$X = H^{1}(\Omega), V = v \in H^{1}(\Omega)|v|_{\Gamma_{D}} = 0$$
$$a(u, v) := \int_{\Omega} \nabla u \cdot \nabla v dx$$
$$l(v) := \int_{\Omega} f v dx + \int_{\Gamma_{N}} q v ds$$

#### Coercivity

$$\exists \alpha_0 > 0 : \int_{\Omega} |\nabla v|^2 dx \ge \alpha_0 \left( \int_{\Omega} |\nabla v|^2 dx + \int_{\Omega} |v|^2 dx \right)$$

$$\updownarrow \text{ If we choose } \alpha_0 \text{ so small}$$

$$\exists \alpha_1 > 0 : \int_{\Omega} |\nabla v|^2 dx \ge \alpha_1 \int_{\Omega} |v|^2 dx (\forall v \in V)$$

#### **Boundedness**

$$|a(u,v)| \leq \sqrt{\int_{\Omega} |\nabla u|^2 dx} \sqrt{\int_{\Omega} |\nabla v|^2 dx} \leq ||u||_x ||v||_x$$

$$|l(v)| \leq ||f||_{L^2(\Omega)} ||v||_{L^2(\Omega)} + ||q||_{L^2(\Gamma_N)} ||v||_{L^2(\Gamma_N)} \leq \left(||f||_{L^2(\Omega)} + C||q||_{L^2(\Gamma_N)}\right) ||v||_x$$

Another Example:

Energy  $E(u) := \frac{1}{2}a(u,u) - l(u)$ 

**Theorem 3.** (Variational Principle) for  $g \in X$ ,

$$\begin{cases} u \in V + g \\ a(u, v) = l(v) (\forall v \in V) \Leftrightarrow argmin_{w \in V + g} E(w) \end{cases}$$

Proof.

$$(\Leftarrow) \forall t \in \mathbb{R}, \forall v \in V, u + tv \in V + g$$

$$\frac{d}{dt} E(u + tv)|_{t=0} = 0$$

$$E(u + tv) = \frac{1}{2} a(u + tv, u + tv) - l(u + tv)$$

$$= \frac{1}{2} \left( a(u, u) + 2ta(u, v) + t^2 a(v, v) - l(u) - tl(u) \right)$$

$$a(u, v) = l(v) (\forall v \in V)$$

$$\Rightarrow \text{ For } w \in V + g \ (v := w - u \in V)$$

$$E(w) - E(v) = \frac{1}{2} a(v, v) \ge \frac{1}{2} \alpha ||v||_x^2 \ge 0$$

**Remark 7.** Uniqueness in Lax-Milgram If u and w are both the minimizer of E among V+g, then

$$0 = E(w) - E(u) = \frac{1}{2}a(v, v) \ge \frac{\alpha}{2}||v||_x^2 \ge 0$$

 $\therefore v = 0, \ \therefore w = u$ 

## 3 Linear Elasticity

We define:

$$\Omega \subset \mathbb{R}^d \ (d = 2, 3) 
u : \Omega \to \mathbb{R}^d \ (\text{displacement}) 
e[u] := \frac{1}{2} (\nabla^T u + \nabla u^T) \ (\text{strain}) 
\sigma[u] := Ce[u] 
C = (C_{ijkl}) \begin{cases} C_{ijkl} = C_{klij} = C_{jikl} \\ (C_{\xi}) : \xi \geq C_* |\xi|^2 (\forall \xi \in \mathbb{R}_{sym}^{d \times d}) \end{cases}$$

Let's consider linear elasticity problem:

$$(**) \begin{cases} -div \ \sigma[u] = f(x), \text{ in } \Omega \\ u = g(x) \text{ on } \Gamma_D \\ \sigma[u]\nu = q(x) \text{ on } \Gamma_N \end{cases}$$
 (13)

$$f \in L^2(\Omega : \mathbb{R}^d, g \in H^1(\Omega : \mathbb{R}^d), q \in L^2(\Gamma_N : \mathbb{R}^d))$$

## 3.1 Strong Solution

 $u \in H^2(\Omega : \mathbb{R}^d)$  satisfies (\*\*) then we call u: a strong solution

#### 3.2 Weak Solution

$$\begin{cases} \int_{\Omega} \sigma[u] : e[v] dx = \int_{\Omega} f \cdot v dx + \int_{\Gamma_N} q \cdot v ds \big( \forall v \in V := \{ v \in H^1 \ (\Omega : \mathbb{R}^d) \ |v|_{\Gamma_D} = 0 \} \big) \\ u \in V + g \end{cases}$$

## 3.3 Properties

$$\begin{array}{ll} u: \mbox{ strong solution } \Leftrightarrow \begin{cases} u: \mbox{ weak solution} \\ u \in H^2 \ (\Omega : \mathbb{R}^d) \end{cases} \\ X:=H^1(\Omega : \mathbb{R}^d) \\ a(u,v):=\int_{\Omega}\sigma[u]:e[v]dx \\ a(u,v):=\int_{\Omega}e[v]:(\mathcal{C}e[u])dx \\ l(v):=\int_{\Omega}f\cdot vdx +\int_{\Gamma_N}q\cdot vds \\ =a(v,u) \end{array}$$

For  $v \in V$ 

$$a(v,v) = \int_{\Omega} (Ce[v]) : e[v]dx$$

$$\geq C_* \int_{\Omega} |e[v]|^2 dx$$

$$\geq C_* ||v||_x^2$$

**Proposition 2.** •  $a(\cdot, \cdot)$  is bounded symmetric, bilinear form on  $X \times X$ .

- $a(\cdot, \cdot)$  is coercive on  $V \times V$ .
- l is bounded linear form on X.

**Theorem 4.** For any  $g \in H^1(\Omega : \mathbb{R}^d)$ ,

 $\exists ! u : a \text{ weak solution of } (**), \text{ and } \left\{ u = \operatorname{argmin}_{w \in V + g} E(w) \right\}$