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# Graph Theory

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Lecture notes integrated with the book TODO

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# Contents

<b>Information and Contacts</b>	<b>1</b>
<b>1 Basics of Graph Theory</b>	<b>2</b>
1.1 Introduction . . . . .	3
1.2 Important structures . . . . .	6
1.2.1 Paths, walks and cycles . . . . .	6
1.2.2 Trees . . . . .	10
1.2.3 Bipartite graphs . . . . .	14
1.2.4 Eulerian tours and Hamiltonian cycles . . . . .	17
1.2.5 Matchings . . . . .	20
1.2.6 Perfect and stable matchings . . . . .	27
1.3 Exercises . . . . .	30

# Information and Contacts

Personal notes and summaries collected as part of the *Graph Theory* course offered by the degree in Computer Science of the University of Rome "La Sapienza".

Further information and notes can be found at the following link:

<https://github.com/aflaag-notes>. Anyone can feel free to report inaccuracies, improvements or requests through the Issue system provided by GitHub itself or by contacting the author privately:

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## Suggested prerequisites:

- Progettazione degli Algoritmi

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# 1

## Basics of Graph Theory

In the 18th century, in the city of [Königsberg](#) (Prussia), a puzzle captured the imagination of the townspeople. Königsberg, nestled along the winding *Pregel River*, was divided into four land masses — two parts of the mainland and two islands, Kneiphof and Lomse. Connecting these regions were **seven bridges**, crisscrossing the river back and forth.

Over time, a curious question arose among the people of Königsberg: was it possible to take a *walk* through the city, crossing each of the seven bridges **exactly once**, without retracing any steps, and ending the walk in the same place where it started? This is known as the [Seven Bridges of Königsberg](#) problem.

It seemed simple enough, yet no one had managed to do it. The challenge became a favorite pastime, debated in marketplaces and whispered about in taverns. Some claimed it was possible with the right path, while others remained skeptical.



Figure 1.1: The map of Königsberg in Euler's time, showing the actual layout of the seven bridges. [1]

Word of this peculiar problem reached the brilliant Swiss mathematician, [Leonhard Euler](#), a man whose mind was always drawn to patterns and logic. Intrigued, Euler set out to solve the riddle — not by drawing endless maps or walking the streets himself, but by **abstracting** the problem into something entirely new.

Euler realized that the specific layout of the city was *irrelevant*. What truly mattered was the way the landmasses were connected by the bridges. He represented each landmass as a **dot** and each bridge as a **line** between them. In doing so, he stripped away unnecessary details and created a simple, elegant combinatorial structure that we now refer to as **graph**.



Figure 1.2: The graph drawn by Euler which models the *Seven Bridges of Königsberg* problem.

Through his analysis, Euler discovered a fundamental rule: for a walk to cross each bridge exactly once and return to the starting point, every landmass had to be connected by an **even** number of bridges. In Königsberg’s case, however, each landmass had an odd number of bridges, making the task impossible.

Euler’s proof, published in 1736, was groundbreaking — not just because he solved the Königsberg puzzle, but because he laid the foundation for an entirely new branch of mathematics: [graph theory](#). His ideas would go on to shape the study of networks, from modern transportation systems to social media connections and even the vast web of the internet itself. And so, from a simple question about bridges in a small Prussian city, a whole new field of mathematics was born—one that continues to shape the world centuries later.

This chapter will discuss the basics of the field of **graph theory**, and will lay the foundation for later chapters.

## 1.1 Introduction

### Definition 1.1: Graph

A **graph** is a pair  $G = (V, E)$ , where  $V$  is the — finite — set of **vertices** of the graph, and  $E$  is the set of **edges**.

For now, we will assume to be working with **simple** and **undirected** graphs, i.e. graphs in which the set of edges is defined as follows

$$E \subseteq [V]^2 = \{\{x, y\} \mid x, y \in V \wedge x \neq y\}$$

where the notation  $\{x, y\}$  will be used to indicate an edge between two nodes  $x, y \in V$ , and will be replaced with  $xy = yx$  directly — the *set* notation for edges is used to highlight that edges have no direction.

We will indicate with  $n$  and  $m$  the cardinality of  $|V|$  and  $|E|$ , respectively. Moreover, we will indicate with  $V(G)$  and  $E(G)$  the set of the vertices and edges of  $G$  respectively when there is ambiguity.

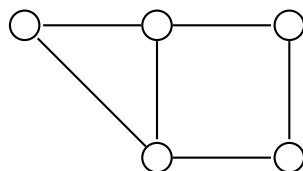


Figure 1.3: A simple graph.

Note that, in this definition, we are assuming that each edge has exactly 2 *distinct* endpoints — i.e. the graphs do not admit **loops** — and there cannot exist two edges with the same endpoints. In fact, if we drop these assumption we obtain what is called a **multigraph**.

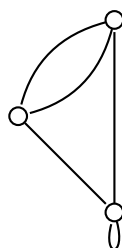


Figure 1.4: A multigraph.

### Definition 1.2: Subgraph

Given a graph  $G = (V, E)$ , a **subgraph**  $G' = (V', E')$  of  $G$  is a graph such that  $V' \subseteq V$  and  $E' \subseteq E$ .

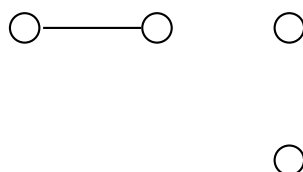


Figure 1.5: This is a subgraph of the graph shown in [Figure 1.3](#).

### Definition 1.3: Induced subgraph

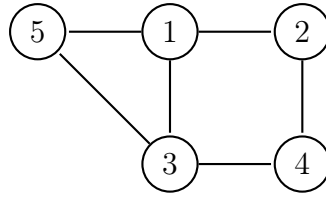
Given a graph  $G = (V, E)$ , a subgraph  $G' = (V', E')$  of  $G$  is **induced** if every edge of  $G$  with both ends in  $V'$  is an edge of  $V'$ .

This definition is *stricter* than the previous one: in fact, the last graph is *not* an example of an induced subgraph, but the following is:



Figure 1.6: This is an *induced* subgraph of the graph shown in Figure 1.3.

Note that every induced subgraph of a graph is **unique** by definition, and we indicate each induced subgraph as follows: suppose that the graph in Figure 1.3 had the following *labeling* on the vertices



then, the induced subgraph in Figure 1.6 would have been referred to as  $G[\{1, 3, 5\}]$ .

Intuitively, two vertices  $x, y \in V$  are said to be **adjacent**, if there is an edge  $xy \in E$ , and we write  $x \sim y$ . If there is no such edge, we write  $x \not\sim y$  for non-adjacency. The **neighborhood** of a vertex  $x \in V$  is the set of vertices that are adjacent to  $x$ , and it will be indicated as follows

$$\mathcal{N}(x) := \{y \in V \mid x \sim y\}$$

Similarly, the neighborhood of a set of vertices will be defined as follows

$$\forall S \subseteq V \quad \mathcal{N}(S) := \bigcup_{v \in S} \mathcal{N}(v)$$

The **degree** of a vertex  $x \in V$ , denoted with  $\deg(x)$ , is exactly  $|\mathcal{N}(x)|$ . We will use the following notation for the **minimum** and **maximum** degree of a graph, respectively

$$\delta := \min_{x \in V} \deg(x) \quad \Delta := \max_{x \in V} \deg(x)$$

### Lemma 1.1: Handshaking lemma

Given a graph  $G = (V, E)$ , it holds that

$$\sum_{x \in V} \deg(x) = 2|E|$$

*Proof.* Trivially, the sum of the degrees counts every edge in  $E$  exactly twice, once for each of the 2 endpoints.  $\square$

**Definition 1.4:  $k$ -regular graph**

A graph  $G$  is said to be  **$k$ -regular** if every vertex of  $G$  has degree  $k$ .

Note that in a  $k$ -regular graph it holds that

$$\sum_{x \in V} \deg(x) = k \cdot n$$

**Proposition 1.1**

There are no  $k$ -regular graphs with  $k$  odd and an odd number of vertices.

*Proof.* By way of contradiction, suppose that there exists a  $k$ -regular graph  $G = (V, E)$  such that both  $k$  and  $n$  are odd; however, by the handshaking lemma we would get that

$$2|E| = \sum_{x \in V} \deg(x) = k \cdot n$$

but the product of two odd numbers, namely  $k$  and  $n$ , is still an odd number, while  $2|E|$  must be even  $\nmid$ .  $\square$

## 1.2 Important structures

### 1.2.1 Paths, walks and cycles

**Definition 1.5: Path**

A **path** is a *graph* with vertex set  $x_0, \dots, x_n$  and edge set  $e_1, \dots, e_n$  such that  $e_i = x_{i-1}x_i$ .

The **length** of a path is the number of edges between  $x_0$  and  $x_n$ , i.e.  $|\{e_1, \dots, e_n\}|$ , namely  $n$  in this case. A path of length 1 is called *trivial* path.



Figure 1.7: A path graph of length 4 that links  $x_0$  and  $x_4$ .

Through *paths* we can provide the definition of **distance** between two nodes of a graph.

**Definition 1.6: Distance**

Given a graph  $G = (V, E)$ , and two vertices  $x, y \in V$ , the **distance** between  $x$  and  $y$  in  $G$ , denoted with  $\text{dist}_G(x, y)$ , is defined as the length of the *shortest* path between  $x$  and  $y$  in  $G$ .



If there is no ambiguity, we will simply write  $\text{dist}(x, y)$  instead of  $\text{dist}_G(x, y)$ . Finally, given a path  $P$  and two vertices  $u, v \in V(P)$ , we will denote with  $u P v$  the *subpath* of  $P$  between  $u$  and  $v$ . Now, consider the following definition.

### Definition 1.7: Walk

Given a graph  $G = (V, E)$ , a **walk** is a *sequence* of vertices and edges

$$x_0 \ e_1 \ x_1 \ \dots \ x_{k-1} \ e_k \ x_k$$

where  $x_0, \dots, x_k \in V$ ,  $e_1, \dots, e_k \in E$  and  $e_i = x_{i-1}x_i$ .

The **length** of a walk is the number of edges between  $x_0$  and  $x_k$ , i.e.  $|\{e_1, \dots, e_k\}|$ , namely  $k$  in this case. If  $x_0 = x_k$  we say that the walk is **closed**.

If there is a path – or a walk – between two vertices  $x, y \in V$ , we say that the path — or the walk — **links**  $x$  and  $y$ , and we write this as  $x \rightarrow y$ . Any vertex that is different from  $x$  and  $y$  is called *internal node*. For instance, given the previous graph labeled as follows



an example of a walk over this graph is given by the following sequence

$$1 \ \{1, 2\} \ 2 \ \{2, 4\} \ 4 \ \{4, 3\} \ 3 \ \{3, 1\} \ 1 \ \{1, 5\} \ 5$$

that *links* 1 and 5, i.e. the walk is of the form  $1 \rightarrow 5$ .

Note that there is a subtle difference between the definitions of **path** and **walk**: the definition of a path implies that this is always a *graph* on its own, while a walk is defined as a *sequence*. Nonetheless, we will treat *paths* as if they were *sequences* as well. This assumption holds for the following structures that will be discussed as well.

However, by definition of path, not every alternating sequence of vertices and edges is a valid path, in fact:

- in a *walk* it is possible to repeat both vertices and edges
- in a *path* there can be no repetition of vertices nor edges (note that *edge* repetition implies *vertex* repetition)

For instance, the previous example of *walk* is not a valid *path*, because the vertex 1 is repeated.

**Theorem 1.1: Paths and walks**

Given a graph  $G = (V, E)$  and two vertices  $x, y \in V$ , in  $G$  there is a path  $x \rightarrow y$  if and only if there is a walk  $x \rightarrow y$ .

*Proof.* By definition, every path is a walk, thus the direct implication is trivially true. To prove the converse implication, consider two vertices  $x$  and  $y$  for which there is at least one walk  $x \rightarrow y$  in  $G$ . Now, out of all the possible walks  $x \rightarrow y$  in  $G$ , consider the *shortest* one, i.e. the one with the least amount of edges, and let it be the following sequence

$$x \ e_1 \ x_1 \ \dots \ x_{k-1} \ e_k \ y$$

By way of contradiction, assume that this walk is not a path. Therefore, there must be either one vertex or one edge repeated, but since edge repetition always implies vertex repetition, we just need to take this case into account. Assume that there are two indices  $i, j \in [k-1]$  such that  $i \neq j$  and  $x_i = x_j$ ; however this implies that

$$x \ e_1 \ \dots \ x_{i-1} \ e_i \ x_i \ e_{j+1} \ x_{j+1} \ \dots \ x_{k-1} \ e_k \ y$$

is still a walk  $x \rightarrow y$  of strictly shorter length, but we chose the original sequence to be the *shortest* possible walk  $x \rightarrow y$ .  $\square$

**Proposition 1.2**

The longest path in any graph has a length of at least  $\delta$ .

*Proof.* Consider a graph  $G = (V, E)$ , and let  $P$  be a longest path in  $G$ , labeled as follows

$$x_0 \ e_1 \ x_1 \ \dots \ x_{k-1} \ e_k \ x_k$$

and assume that its length is  $k$ . Since  $P$  is a longest path in  $G$ ,  $x_k$  cannot have neighbors outside  $P$  itself, otherwise  $P$  would not have been the longest path of  $G$  — it could have been extended by one of  $x_k$ 's neighbors. This implies that

$$\mathcal{N}(x_k) \subseteq \{x_0, \dots, x_{k-1}\}$$

and since  $\delta \leq \deg(x_k) := |\mathcal{N}(x_k)|$  by definition of  $\delta$ , this implies that

$$\delta \leq |\{x_0, \dots, x_{k-1}\}| = k$$

$\square$

**Definition 1.8: Cycle**

A **cycle** is a *graph* with vertex set  $x_1, \dots, x_n$  and edge set  $x_1x_2, x_2x_3, \dots, x_{n-1}x_n, x_nx_1$ .

The **length** of a cycle is the number of edges between  $x_1$  and  $x_n$ , namely  $n$  in this case. A cycle of length  $n$  is denoted as  $C_n$ .



Figure 1.8: A cycle graph of length 4.

A graph that does not admit cycle subgraphs — or *cycles*, for short — is said to be **acyclic**.

### Proposition 1.3

Every graph with  $\delta \geq 2$  has a cycle of length at least  $\delta + 1$ .

*Proof.* Consider the proof of Proposition 1.2; by applying the same reasoning, we know that  $x_k$  cannot have neighbors outside  $P$  itself. However, since  $\delta \geq 2$ , and  $x_k \sim x_{k-1}$ , there must be at least one vertex in  $x_k$ 's neighborhood that lies in  $P$ . Therefore, let  $x_i$  be the first vertex of  $P$  — w.r.t. our labeling of  $P$  — that is adjacent to  $x_k$ ; hence, we have

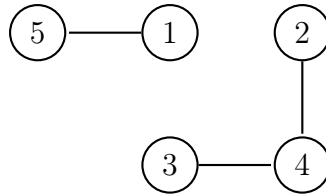
$$\mathcal{N}(x_k) \subseteq \{x_i, \dots, x_{k-1}\} \implies \delta \leq |\{x_i, \dots, x_{k-1}\}|$$

which implies that  $x_i, \dots, x_{k-1}, x_k, x_i$  is a cycle of length at least  $\delta + 1$ .  $\square$

### Definition 1.9: Connected graph

An undirected graph  $G = (V, E)$  is said to be **connected** if and only if for each vertex pair  $x, y \in V$  there is a path  $x \rightarrow y$ .

All the graphs that we presented so far are *connected*, thus the following figure provides an example of an **disconnected** graph.



### Definition 1.10: Component

Given a graph  $G$ , a **component** of  $G$  is a maximal connected subgraph of  $G$ .

For instance, the graph of the previous example is made up of 2 components, namely the following two subgraphs

$$C_1 = (\{1, 5\}, \{\{1, 5\}\})$$

$$C_2 = (\{2, 3, 4\}, \{\{2, 4\}, \{4, 3\}\})$$

### Proposition 1.4

If  $G$  is a connected graph, and  $C$  is a cycle in  $G$ , then for any edge  $e \in C$  it holds that  $G - \{e\}$  is still connected.

*Proof.* Consider a graph  $G = (V, E)$  that has a cycle  $C$ , and any two vertices  $x, y \in V$ ; in particular, since  $G$  is connected, there must be a path  $x \rightarrow y$  in  $G$ , and let this path be

$$P = x \ e_1 \ x_1 \ \dots \ x_{k-1} \ e_k \ y$$

Consider an edge  $e \in C$ ; if  $P$  does not traverse  $e$ , trivially  $G - \{e\}$  will still contain  $P$ .

Now let

$$C = z_1 \ f_2 \ z_2 \ \dots \ z_{l-1} \ f_l \ z_l \ f_{l+1} \ z_1$$

and w.l.o.g. assume that  $e = f_2 = z_1 z_2 = x_i x_{i+1} = e_{i+1}$  for some  $i \in [k-1]$ . Thus we can construct the following walk

$$x \ e_1 \ x_1 \ \dots \ x_i \ f_{l+1} \ z_l \ \dots \ f_3 \ z_2 \ e_{i+2} \ x_{i+2} \ \dots \ x_{k-1} \ e_k \ y$$

from  $x$  to  $y$ , and by [Theorem 1.1](#) we have that there is a path from  $x \rightarrow y$ , which proves that  $G - \{e\}$  is still connected.  $\square$

## 1.2.2 Trees

### Definition 1.11: Tree

A **tree** is a connected acyclic graph. Usually, but not necessarily, there is a fixed vertex called **root**, and any vertex that has degree 1 in the tree is called **leaf**.



Figure 1.9: A tree with tree leaves, rooted in  $r$ .

A **forest** is an disconnected graph in which each component is a *tree*, as in the following example



Figure 1.10: A forest.

Given a tree  $T$  rooted in some node  $r \in V(T)$ , and two vertices  $x, y \in V(T)$ , consider the paths  $P_x$  of the form  $x \rightarrow r$  and  $P_y$  of the form  $y \rightarrow r$ , respectively. The first vertex of  $P_y$  that is encountered by tracing  $P_x$  from  $x$  to  $r$  is called **lowest common ancestor** (LCA) of  $x$  and  $y$ .



Figure 1.11: For instance, in this tree — rooted in  $r$  — the LCA of  $x$  and  $y$  is the vertex labeled with  $z$ .

Note that the LCA between any two vertices of a tree is *always defined*, since in the “worst case” it is the root  $r$  itself.

### Theorem 1.2: Alternative definitions of tree

Given a graph  $T = (V, E)$ , the following statements are equivalent:

1.  $T$  is a tree
2. every vertex pair of  $T$  is connected by a unique path
3.  $T$  is *minimally connected*, i.e.  $T$  is connected and  $\forall e \in E$  it holds that  $T - \{e\}$  is disconnected
4.  $T$  is *maximally acyclic*, i.e.  $T$  is acyclic and  $\forall x, y \in V$  such that  $x \approx y$ , it holds that  $T \cup \{xy\}$  has a cycle

*Proof.* We will prove the statements cyclically.

- $1 \implies 2$ . By contrapositive, assume that in  $T$  there exist two vertices  $x, y \in V$  for which there are two distinct paths  $P$  and  $Q$  of the form  $x \rightarrow y$ . If  $P$  and  $Q$  are edge-disjoint, then  $P \cup Q$  is a cycle, which implies that  $T$  is not a tree by definition.

Otherwise, assume that  $P$  and  $Q$  are not edge-disjoint. If we start say in  $x$ , and we follow  $Q$  edge by edge since  $P$  and  $Q$  are distinct, at some point we will encounter an edge  $\{u, v\}$  such that  $u \in P \cap Q$  and  $v \in Q - P$  — possibly,  $u = x$  itself. Moreover, since both paths lead to  $y$ , if we keep following  $Q$  we will encounter a vertex  $z \in P \cap Q$  — possibly,  $z = y$  itself — from which the two paths will coincide. Let  $Q'$  be the subpath of  $P$  starting with  $u$  and ending in  $z$ ; then,  $Q' \cup (Q - P)$  is a cycle in  $T$ , which implies that  $T$  is not a tree by definition.

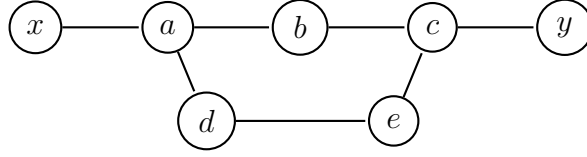


Figure 1.12: For instance, applying the argument of the proof in this graph we would get that  $P = \{x, a, b, c, y\}$ ,  $Q = \{x, a, d, e, c, y\}$ ,  $Q - P = \{d, e\}$ ,  $u = a$ ,  $z = c$  and  $Q' = \{a, b, c\}$ , in fact  $Q' \cup (Q - P) = \{a, b, c, e, d\}$  which is a cycle.

- $2 \implies 3$ . Consider an edge  $xy \in E$ ; this edge itself is a path  $x \rightarrow y$ , and if we assume statement 2 this implies that it is the *only* path from  $x$  to  $y$ . This implies that  $T - \{xy\}$  cannot contain a path from  $x$  to  $y$ , therefore  $T - \{xy\}$  is disconnected.
- $3 \implies 4$ . Since statement 3 implies that  $T$  is connected, by [Proposition 1.4](#) we have that  $T$  is acyclic. Now, pick  $x, y \in V$  such that  $x \approx y$ ; by connectivity of  $T$  there must be a path  $x \rightarrow y$  in  $T$ , and let this path be  $P$ . Lastly, since  $x \approx y$ , we have that  $P \cup \{xy\}$  is a cycle in  $T$ .
- $4 \implies 1$  By contrapositive, we want to prove that if  $T$  is not a tree, then  $T$  is not maximally acyclic. Note that if  $T$  is not a tree, we have two options:
  - if  $T$  is connected but contains a cycle, then  $T$  is clearly not maximally acyclic
  - if  $T$  is acyclic but disconnected, then by definition there must be two vertices  $x, y \in V$  such that there is no path  $x \rightarrow y$ , which implies that  $T \cup \{xy\}$  still does not contain any cycle

□

### Lemma 1.2

Every tree with at least 2 vertices has a leaf.

*Proof.* By way of contradiction, assume  $T$  is a tree with at least 2 vertices that does not contain any leaves; then  $\delta \geq 2$  in  $T$ , which implies that  $T$  contains a cycle of length at least  $\delta + 1$  by [Proposition 1.3](#). □

### Lemma 1.3

Given a tree  $T$ , and a leaf  $v$  of  $T$ , it holds that  $T - \{v\}$  is still a tree.

*Proof.* Since  $T$  is acyclic by definition,  $T - \{v\}$  is still acyclic, we just need to prove that  $T - \{v\}$  is still connected. By way of contradiction, assume that in  $T - \{v\}$  there exist two vertices  $x$  and  $y$  such that there is no path between them. However, since  $T$  is connected, there is a path  $P$  of the form  $x \rightarrow y$  in  $T$ .

Note that, if by removing  $v$  from  $T$  we disconnect  $x$  and  $y$ , it must be that  $v$  lies in  $P$ . Moreover, since  $v$  is in  $T$  but not in  $T - \{v\}$ , while both  $x$  and  $y$  are also in  $T - \{v\}$ , it

must be that  $v$  is an *internal* node of  $P$ , i.e.  $v \neq x, y$ , which implies that  $\deg(v) \geq 2$  by definition of path, contradicting the hypothesis for which  $v$  was a leaf  $\nmid$ .  $\square$

### Proposition 1.5

If  $T$  is a tree, then  $m = n - 1$ .

*Proof.* We will prove the statement by induction on  $n$

*Base case.* When  $n = 1$ , there are no edges in the tree, and  $0 = m = 1 - 1$ .

*Inductive hypothesis.* Assume that for a tree that has  $n = k - 1$  nodes the statement holds.

*Inductive step.* We will prove the statement for a tree  $T$  that has  $n = k$  nodes. Note that, since  $n = 1$  is the base case, we can assume that  $n = k \geq 2$ , hence by [Lemma 1.2](#)  $T$  contains at least one leaf. Let this leaf be  $v$ ; then, by [Lemma 1.3](#) it holds that  $T - \{v\}$  is still a tree, and clearly  $T - \{v\}$  has  $k - 1$  nodes, which implies that we can apply the inductive hypothesis on  $T - \{v\}$ , i.e.

$$|E(T - \{v\})| = |V(T - \{v\})| - 1 = k - 1 - 1 = k - 2$$

However, note that  $v$  is a leaf, concluding that

$$m = |E(T)| = |E(T - \{v\})| + 1 = k - 2 + 1 = k - 1 = n - 1$$

$\square$

### Definition 1.12: Spanning tree

Given a graph  $G = (V, E)$ , a **spanning tree**  $T$  of  $G$  is a subgraph of  $G$  such that

- $T$  is a tree
- $V(T) = V(G)$ , i.e.  $T$  *spans* every vertex of  $G$

For instance, given the graph in [Figure 1.3](#), a possible spanning tree is the following:



### Lemma 1.4

Any connected graph has a spanning tree.

*Proof.* Consider a connected graph  $G$ , and keep removing edges from  $E(G)$  — and their relative endpoints from  $V(G)$  — one by one, as long as  $G$  is still connected. If no other edge can be removed from  $G$  without violating connectivity, we will end up with a graph that must be a tree by statement 3 of [Theorem 1.2](#).  $\square$

Thanks to this last proposition, we can actually prove a stronger version of the [Proposition 1.5](#), which is the following.

### Theorem 1.3

$T$  is a tree if and only if  $T$  is connected and  $m = n - 1$ .

*Proof.* The direct implication is proved in [Proposition 1.5](#), so we just need to prove the converse implication. Consider a connected graph  $T$  such that  $m = n - 1$ ; by [Lemma 1.4](#)  $T$  must have a spanning tree  $T'$ , and by [Proposition 1.5](#) itself it holds that  $|E(T')| = |V(T')| - 1$ . However,  $T'$  is a spanning tree of  $T$ , therefore

$$V(T) = V(T') \implies |V(T)| = |V(T')| \implies |E(T')| = |V(T)| - 1 = |E(T)|$$

which implies  $E(T) = E(T')$  because  $T'$  is a subgraph of  $T$ , therefore  $T = T'$ , concluding that  $T$  must be a tree since  $T'$  is a tree.  $\square$

## 1.2.3 Bipartite graphs

### Definition 1.13: Bipartite graph

A graph  $G = (V, E)$  is said to be **bipartite** if there exists a set  $X \subseteq V$  such that every edge of  $G$  has exactly one endpoint in  $X$  and one in  $V - X$ . If such a set  $X$  exists, we say that  $(X, V - x)$  is a **bipartition** of  $G$ .



Figure 1.13: An example of a *bipartite graph*. In particular, if we call the uppermost set of nodes  $A$  and the lowermost one  $B$ , then  $(A, B)$  is a bipartition of the graph.

There are various types of graphs that can be bipartitioned. For example, any **tree**  $T$  can be bipartitioned through a bipartition  $(X, V(T) - X)$  by considering the following set of vertices

$$X := \{v \in V(T) \mid \text{dist}(r, v) \text{ even}\}$$

where  $r$  is  $T$ 's root.





Figure 1.14: The set of red vertices  $X$  defines a bipartition  $(X, V(T) - X)$  of this tree  $T$ .

However, *not every type* of graph can be bipartitioned. For instance, consider the following type.

#### Definition 1.14: Clique

A **clique** is a *graph* in which each vertex is adjacent to any other vertex of the graph. A clique that has  $n$  vertices is denoted as  $K_n$ .



Figure 1.15: The clique  $K_6$ .

It is easy to see that no clique  $K_n$  can be bipartitioned, since there is an edge between any pair of vertices of the graph. However, this is not the only type of graph that cannot be bipartitioned.

#### Lemma 1.5

If  $G$  is a bipartite graph, and  $H$  is a subgraph of  $G$ , then  $H$  must be bipartite.

*Proof.* Given a bipartite graph  $G = (V, E)$ , assume that  $(X, V - X)$  is a bipartition of  $G$ , and let  $H$  be a subgraph of  $G$ ; then, it is easy to see that  $(X \cap V(H), V(H) - X)$  is a bipartition for  $H$ .  $\square$

Note that this lemma implies that  $G$  is bipartite if and only if every connected component of  $G$  is bipartite: in fact, the direct implication follows from this lemma, and the following figure provides an intuition for the converse implication.

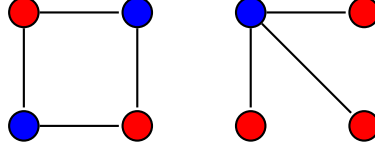


Figure 1.16: Consider the following disconnected graph  $G$  made of these two connected components,  $C_1$  and  $C_2$  respectively. Say that  $C_1$  has a bipartition  $(X_1, V(C_1) - X_1)$  where  $X_1$  is the red set, and  $C_2$  has a bipartition  $(X_2, V(C_2) - X_2)$  where  $X_2$  is the red set; thus,  $(X_1 \cup X_2, V(C_1 \cup C_2) - X_1 - X_2)$  is clearly a bipartition of  $G$ . This process may be repeated for all the connected components of any disconnected graph.

#### Theorem 1.4: Bipartite graphs

$G$  is bipartite if and only if  $G$  has no odd-length cycle.

*Proof.*

*Direct implication.* We will prove the contrapositive, i.e. if  $G$  has an odd-length cycle, then  $G$  cannot be bipartitioned. Consider a graph  $G$  with an odd-length cycle  $C_{2k+1}$  of vertices  $x_1, \dots, x_{2k+1}$ ; by way of contradiction, assume that  $G$  is bipartite through a bipartition  $(X, V(G) - X)$  for some  $X \subseteq V(G)$ . W.l.o.g. assume that  $x_1 \in X$ ; then, since  $X$  defines a bipartition of  $G$  it must be that  $x_2 \notin X$ , and  $x_3 \in X$  and so on and so forth. In particular, for any odd value of  $i$  we will have that  $x_i \in X$ , but this implies that both  $x_1$  and  $x_{2k+1}$  must be inside  $X$ , which means that the edge  $x_1 x_{2k+1}$  violates the bipartition induced by  $X$   $\nmid$ .

*Converse implication.* Again, we will prove the contrapositive, i.e. if  $G$  is not bipartite it must contain an odd-length cycle. By the previous observation,  $G$  is not bipartite if and only if at least one connected component of  $G$  is not bipartite, and let this component be  $\overline{G}$ . Note that, since  $\overline{G}$  is connected, it must contain a spanning tree  $T$  by [Lemma 1.4](#). Moreover, as previously described, we can always define a bipartition on a tree, namely  $(X, V(T) - X)$  where

$$X := \{v \in V(T) \mid \text{dist}_T(r, v) \text{ even}\}$$

for some root node  $r \in V(T)$ .

Now, since  $T$  is a spanning tree of  $\overline{G}$ , which is now bipartite by hypothesis, there must be an edge  $xy \in V(\overline{G})$  such that either  $x, y \in X$  or  $x, y \in V(T) - X$ , i.e. the edge  $xy$  must have both endpoints in the same set of  $T$ 's bipartition. Let  $z$  be the LCA between  $x$  and  $y$  in  $T$ , and  $P_x$  and  $P_y$  be the paths of the form  $x \rightarrow r$  and  $y \rightarrow r$ , respectively. Note that, since  $xy$  has both endpoints in the same set, it must be that the lengths of  $P_x$  and  $P_y$  have the same parity by definition of  $X$ . Lastly, by statement 2 of [Theorem 1.2](#) we have that  $r P_x z = r P_y z$ , which implies that the lengths of  $z P_x x$  and  $z P_y y$  must have the same parity. This concludes that

$$z P_x x \cup z P_y y \cup xy$$

is an odd-length cycle of  $G$ .

□

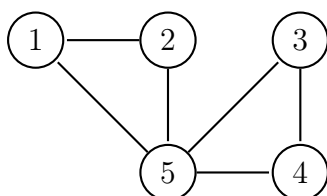
At the start of this chapter, we introduced the *Seven Bridges of Königsberg* problem, which led to the emergence of graph theory as a branch of combinatorics. Over time, as the field developed, this problem was formalized into the following definition.

### 1.2.4 Eulerian tours and Hamiltonian cycles

#### Definition 1.15: Eulerian tour

An **Eulerian tour** over a graph  $G$  is a closed walk that traverses every edge of  $G$  exactly once.

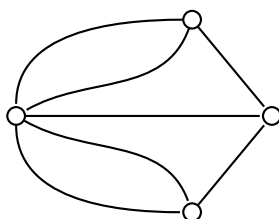
For instance, consider the following graph



After some trial and error, it is easy to find an Eulerian tour over this graph, for instance

1 {1, 2} 2 {2, 5} 5 {5, 3} 3 {3, 4} 4 {4, 5} 5 {5, 1} 1

Note that this is a valid Eulerian tour because there is no edge repetition, and vertex repetition is allowed by definition. On the counter side, the graph — or, more precisely, the *multigraph* — that the bridges of Königsberg define, which is the following



does not admit any Eulerian tour. But, given a graph, how can we determine with certainty whether it contains an Eulerian tour? The following theorem, proved by Euler himself in his original paper [2], answers this question. Note that this theorem holds both for graphs and multigraph.

#### Theorem 1.5: Eulerian tours

A graph  $G$  admits an Eulerian tour if and only if  $G$  is connected and every vertex of  $G$  has even degree.

*Proof.*

*Direct implication.* Consider the contrapositive of the direct implication, and assume that  $G$  contains at least one odd-degree vertex  $v$ . Recall that an Eulerian tour is a closed walk that does not allow edge repetition, which implies that the *starting* point of the walk is actually not relevant. Therefore, we can assume w.l.o.g. that any possible Eulerian tour defined on  $G$  starts on  $v$  itself, but then to be *closed* it must end on  $v$  as well. Moreover, each time any Eulerian tour passes through  $v$  it must use 2 distinct edges, however  $v$  is an odd-degree vertex, therefore at the end of the Eulerian tour there is no way we can come back to  $v$  and close the walk.

*Converse implication.* Consider a graph  $G$  in which every vertex has even degree, and let  $W$  be the *longest* walk of  $G$  that does not repeat edges, and let it be labeled as follows

$$x_0 \ e_1 \ x_1 \ \dots \ x_{k-1} \ e_k \ x_k$$

**Claim:**  $x_0 = x_k$ , i.e.  $W$  is closed.

*Proof of the Claim.* By way of contradiction, assume that  $W \ x_0 \neq x_k$ . If this is the case, then  $x_k = v$  for some vertex  $v$  that is not  $x_0$ . Note that  $W$  is a *walk*, therefore  $v$  may be repeated multiple times inside  $W$ , i.e.

$$x_0 \ e_1 \ x_1 \ \dots \ v \ \dots \ v \ \dots \ x_{k-1} \ e_k \ v$$

If  $l$  is the number of times  $v$  occurs in  $W$  *without counting*  $x_k$ , then clearly in  $W$  there are  $2l + 1$  edges incident to  $v$ , namely  $e_k$  and 2 edges each other time  $v$  appears in  $W$ . However, since  $2l + 1$  is odd and we assumed that  $G$  has no odd-degree vertices, there must be at least one edge  $vu \in E(G)$  such that  $u \notin V(W)$ . This implies that

$$x_0 \ e_1 \ x_1 \ \dots \ x_{k-1} \ e_k \ v \ vu \ u$$

is a longer walk than  $W$ , and it does not repeat any edges because  $uv \notin E(W)$ , contradicting the definition of  $W \nmid$ .  $\square$

This claim proves that  $W$  is closed, but we still need to prove that it traverses every edge to claim that it is indeed an Eulerian tour. By way of contradiction, assume that there exists at least one edge  $e \notin E(W)$  not used by  $W$ . Consider an vertex  $x_i$  of  $W$ ; by connectivity of  $G$ , there must be a path  $P$  between  $x_i$  and each of the endpoints of  $e$ . Let  $x_i u$  be the first edge of  $P$ , for some  $u \notin E(W)$ . This implies that

$$u \ ux_i \ x_i \ e_{i+1} \ x_{i+1} \ \dots \ x_{k-1} \ e_k \ x_k \ e_1 \ x_1 \ \dots \ x_{i-1} \ e_i \ x_i$$

is a longer walk than  $W$  that does not repeat any edge, since  $ux_i \notin E(W)$ , again contradicting the definition of  $W \nmid$ .  $\square$

Note that this theorem proves that it is not possible to describe an Eulerian tour over the bridges and landmasses of Königsberg, because every vertex of the multigraph has odd degree.

Eulerian tours are closed walks that traverse every edge of the graph exactly once. But what if we are interested in traversing each *vertex* exactly once instead?

**Definition 1.16: Hamiltonian paths and cycles**

A **Hamiltonian path** over a graph  $G$  is a subgraph  $P_n$  of  $G$ . A **Hamiltonian cycle** over a graph  $G$  is a subgraph  $C_n$  of  $G$ .

Hamiltonian paths and cycles are named after [W. R. Hamilton](#). Note that the notation  $P_n$  (or  $C_n$ ) implies that the length of the path (or cycle) is  $n$ , hence this definition matches our requirements.

As for the case of Eulerian tours, when some conditions are met, Hamiltonian cycles are guaranteed to exist, as discussed in the following theorem.

**Theorem 1.6: Hamiltonian cycles**

A graph  $G$  such that  $\delta \geq \frac{n}{2}$  contains a Hamiltonian cycle.

*Proof.* First, we will prove that the condition of the statement implies that  $G$  is connected.

**Claim:**  $G$  is connected.

*Proof of the Claim.* By way of contradiction, suppose that  $G$  is not connected; therefore  $G$  has at least two connected components. Let  $H$  be the smallest connected component of  $G$ ; then, clearly  $|V(H)| \leq \frac{n}{2}$ . However, note that  $|\mathcal{N}(x)| \geq \delta \geq \frac{n}{2}$  and since  $\{x\} \cup \mathcal{N}(x) \subseteq V(H)$ , we get that  $V(H)$  must have at least  $\frac{n}{2} + 1$  nodes  $\nmid$ .  $\square$

Let  $P$  be the longest path of  $G$ , and let  $x_0, \dots, x_k$  be its vertices.

**Claim:** There exists an index  $\ell$  such that  $x_0 e_1 x_1 \dots x_k e_\ell x_\ell e_{\ell+1} x_{\ell+1} e_0 x_0$  is a cycle.

*Proof of the Claim.* By the same argument used in the proof of [Proposition 1.2](#), we know that

$$\mathcal{N}(x_0), \mathcal{N}(x_k) \subseteq \{x_0, \dots, x_k\}$$

Let  $I_0$  and  $I_k$  be the following two sets

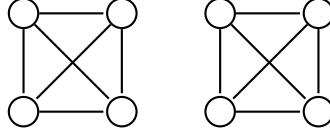
$$I_0 := \{i \mid i \in [1, k], x_i \in \mathcal{N}(x_0)\} \implies |I_0| = |\mathcal{N}(x_0)|$$

$$I_k := \{i \mid i \in [1, k], x_{i-1} \in \mathcal{N}(x_k)\} \implies |I_k| = |\mathcal{N}(x_k)|$$

Since  $\delta \geq \frac{n}{2}$ , we have that  $|I_0|, |I_k| \geq \frac{n}{2}$ . However, note that  $k \leq n - 1$  — since we started counting at 0 — hence by the pigeonhole principle there must be at least one index  $\ell \in I_0 \cap I_k$ , meaning that  $x_0 \sim x_\ell$  and  $x_k \sim x_{\ell-1}$ , defining a cycle as described in the statement of the claim.  $\square$

This means that we found a cycle  $C$  in the graph that uses all the vertices of  $P$ , namely  $x_0, \dots, x_k$ . By way of contradiction, assume that  $k < n - 1$ , i.e.  $C$  has less than  $n$  nodes, meaning that  $C$  is a non-Hamiltonian cycle. In particular, if  $k < n - 1$ , we have that  $|V(G)| - |V(C)| \neq \emptyset$ , thus let  $y \in V(G) - V(C)$ . By the previous claim, we know that  $G$  is connected, there must be an edge  $xy \in E(G)$  such that  $x \in V(C)$ . However, this would imply that  $P \cup \{xy\}$  is a path of longer path than  $P$   $\nmid$ .  $\square$

Note that the statement of this theorem cannot be improved, even by 1; for instance consider the following graph



composed of two disconnected  $K_4$ . Here, we have that

$$\delta = 4 - 1 = 3 \geq \frac{2 \cdot 4}{2} - 1 = 4 - 1 = 3 = \frac{n}{2} - 1$$

However,  $\delta \geq \frac{n}{2} - 1$  is not sufficient to guarantee connectivity.

### 1.2.5 Matchings

#### Definition 1.17: Matching

Given a graph  $G = (V, E)$ , a **matching** of  $G$  is a set of edges  $M \subseteq E$  such that

$$\forall e, e' \in M \quad e \cap e' = \emptyset$$

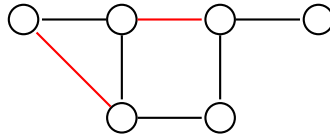


Figure 1.17: A *matching* of the previous graph.

As shown in figure, a matching is nothing more than a set of edges that must not share endpoints with each other — for this reason, in literature it is often referred to as **independent edge set**.

Given a matching  $M$  in a graph  $G$ , we say that a vertex  $v \in V(G)$  is **free** w.r.t.  $M$  if there are no edges  $e \in M$  such that  $e \cap v \neq \emptyset$ . An edge  $xy \in E(G)$  is said to be *disjoint* from  $M$  if both  $x$  and  $y$  are free w.r.t.  $M$ .

In graph theory we are often interested in the matching that has the largest possible cardinality of a graph. For this purpose, we often distinguish the two following concepts, namely *maximal* and *maximum* matching.

#### Definition 1.18: Maximal matching

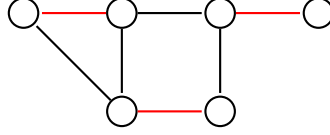
A **maximal matching** is a matching that cannot be extended any further.

For instance, the matching shown in Figure 1.17 is actually a **maximal matching**, because no other edge in  $E$  can be added to the current set of edges  $M$  of the matching without breaking the matching condition.

**Definition 1.19: Maximum matching**

A **maximum matching** is a matching that has the largest cardinality.

Clearly, the previous example does not represent a **maximum matching**, because the following set of edges



is still a valid matching for the graph, but has a larger cardinality than the previous set.

Given a matching  $M$  in a graph  $G$ , what conditions must be met to increase its cardinality? Trivially, if there exists an edge  $e$  disjoint from  $M$ , clearly  $M \cup \{e\}$  is a larger matching than  $M$  — implying that  $M$  was not *maximal* in  $G$ . However, this is not the only situation in which the cardinality of  $M$  can be extended. In fact, consider the following definitions.

**Definition 1.20: Alternating path**

Given a graph  $G$ , and a matching  $M$  in  $G$ , an  **$M$ -alternating path** is a path of  $G$  that starts at a free node w.r.t.  $M$ , and is composed of edges that alternate between  $M$  and  $E(G) - M$ .

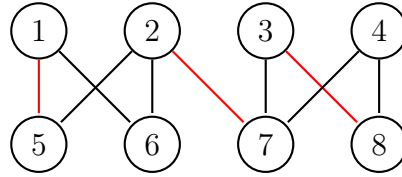


Figure 1.18: For instance, if  $M$  is the set of *red* edges — which forms a matching of the graph — then  $6 \{6, 2\} 2 \{2, 7\} 7 \{7, 3\} 3 \{3, 8\} 8$  is an  $M$ -alternating path.

**Definition 1.21: Augmenting path**

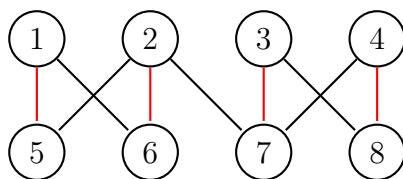
Given a graph  $G$ , and a matching  $M$  in  $G$ , an  **$M$ -augmenting path** is an  $M$ -alternating path that ends at a free vertex w.r.t.  $M$ .

For example, if we consider the path

$$6 \{6, 2\} 2 \{2, 7\} 7 \{7, 3\} 3 \{3, 8\} 8 \{8, 4\} 4$$

this is actually an  $M$ -augmenting path of the previous graph. Augmenting paths are very useful because they can be used to *expand* the cardinality of an initial matching. In fact,

in the previous graph we can actually define a *larger* matching by **swapping** the edges of this augmenting path, as shown below



This suggests that the presence of augmenting paths in a graph is a *sufficient* condition for a matching *not* to be maximum, but we can actually prove that it is also *necessary*, as stated in the following theorem.

### Theorem 1.7: Augmenting paths

Given a graph  $G$ ,  $M$  is a maximum matching of  $G$  if and only if there are no  $M$ -augmenting paths.

*Proof.*

*Direct implication.* By contrapositive, consider a graph  $G$  and a matching  $M$  such that there is an  $M$ -augmenting path  $P$  in  $G$ . Moreover, by way of contradiction assume that  $M$  is maximum; however  $M \Delta E(P)$  is a larger matching than  $M$  — here,  $\Delta$  is the [symmetric difference](#), therefore the operation  $M \Delta E(P)$  has the same effect of *swapping* the edges of  $P$  between the ones in  $M$  and in  $E(P) - M$ .

*Converse implication.* By contrapositive, consider a graph  $G$  and a matching  $M$  of  $G$  that is not maximum, i.e. there exists a matching  $M^*$  of  $G$  such that  $|M| < |M^*|$ . Consider the subgraph of  $G$  that has the vertices of  $V(G)$  and the edges described by  $M \Delta M^*$ ; the symmetric difference of these two sets will yield the set of edges that are either in  $M$  or in  $M^*$ , but not in  $M \cap M^*$ , therefore this subgraph is *not* a multigraph. Moreover, since  $M$  and  $M^*$  are both matchings, we have that

- (1) the degrees of the vertices of this subgraph can be either 0, 1 or 2
- (2) in each component of the subgraph the edges *must* alternate between  $M$  and  $M^*$

By the observation (1), we have that each component of the subgraph can be either

- a isolated vertex
- a cycle
- a path

and by observation (2), we have that all the cycle components must have *even* length, which implies that they have the same number of edges of  $M$  and  $M^*$ . On the other hand, path components may have either even or odd length; in particular, even-length paths must have the same number of edges of  $M$  and  $M^*$  — as for cycle



components — while odd-length paths have a different number of edges of  $M$  and  $M^*$ . However, since  $|M| < |M^*|$ , there must be at least one path component of this subgraph such that its edges of  $M$  are less than the edges of  $M^*$ , and this is clearly an  $M$ -augmenting path.

□

Given a graph  $G$ , and a matching  $M$  of  $G$ , what is the maximum possible value for  $|M|$ ? To answer this question, we need to introduce the following combinatorial structure.

### Definition 1.22: Vertex cover

Given a graph  $G$ , a **vertex cover** for  $G$  is a set of vertices  $C \subseteq V(G)$  such that every edge in  $G$  is incident to at least one vertex in  $C$ . Using symbols

$$\forall (u, v) \in E(G) \quad u \in C \vee v \in C$$

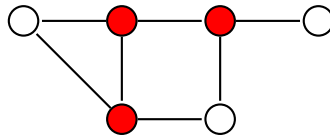


Figure 1.19: An example of a vertex cover.

As shown in figure, a vertex cover is simply a set of vertices that must *cover* all the edges of the graph. For vertex covers, we are interested in the *minimum* possible cardinality — the concepts of *minimal* and *minimum* are defined analogously.

As introduced before, through vertex covers we can bound the size of any matching of a graph.

### Theorem 1.8: Matchings bound vertex covers

Given a graph  $G$ , a matching  $M$ , and a vertex cover  $S$  of  $G$ , it holds that  $|M| \leq |S|$ .

*Proof.* By definition, any vertex cover  $S$  of  $G = (V, E)$  is also a vertex cover for  $G^B = (V, B)$ , for any set of edges  $B \subseteq E$ , and in particular this is true for  $G^M = (V, M)$ .

Now consider  $G^M$ , and a vertex cover  $C$  on it: by construction we have that  $\Delta \leq 1$ , therefore any vertex in  $C$  will cover at most 1 edge of  $M$ . This implies that if  $|C| = k$ , then  $C$  will cover at most  $k$  edges of  $G^M$ .

Lastly, since  $G^M$  has  $|M|$  edges by definition, any vertex cover defined on  $G^M$  has to contain at least  $|M|$  vertices. This implies that no vertex cover  $S$  of  $G$  smaller than  $|M|$  can exist, because  $S$  will have to cover at least the edges in  $M$ . □

**Corollary 1.1**

Given a graph  $G$ , a maximum matching  $M^*$  and a minimum vertex cover  $S^*$ , it holds that  $|M^*| \leq |S^*|$ .

Moreover, if the graph is bipartite this theorem is actually *stronger*, as proved by Konig [5] in 1931.

**Theorem 1.9: König's theorem**

Given a bipartite graph  $G$ , a maximum matching  $M^*$  and a minimum vertex cover  $S^*$ , it holds that  $|M^*| = |S^*|$ .

*Proof.* Consider a graph  $G$ , a maximum matching  $M^*$  and a minimum vertex cover  $S^*$  of  $G$ ; by the previous corollary, it follows that to prove the statement it suffices to show that there exists a vertex cover  $S$  such that  $|S| = |M^*|$ , because

$$|M^*| \leq |S^*| \leq |S| = |M^*| \implies |M^*| = |S^*|$$

Hence, we are going to construct the following vertex cover. Let  $G$  be bipartitioned through  $(A, B)$ ; then, for each edge  $ab \in M^*$  such that  $a \in A$  and  $b \in B$ , we place  $b \in S$  if and only if there exists an  $M^*$ -alternating path that starts in  $A$  and ends at  $b$ , otherwise we place  $a \in S$ .

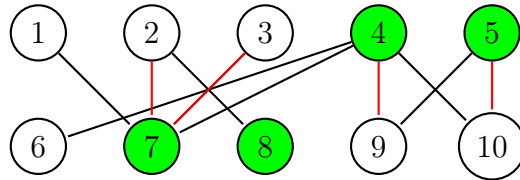


Figure 1.20: For instance, given this graph bipartitioned into  $(A, B)$  where  $A$  is the uppermost row of vertices, and the *red* matching, we would construct the *green* vertex cover.

Note that, by definition, it holds that  $|S| = |M^*|$ .

**Claim:**  $S$  is a vertex cover for  $G$ .

*Proof of the Claim.* Consider an edge  $ab \in E(G)$  such that  $a \in A$  and  $b \in B$ ; we have three cases.

- By way of contradiction, assume that  $ab$  is disjoint from  $M^*$ ; then, either  $a$  or  $b$  are endpoints of an edge  $e$  that is disjoint from  $M$  by definition, therefore  $M^* \cup \{e\}$  is still a matching, but of larger cardinality than  $|M^*|$   $\nlessdot$ . Hence,  $ab$  is not disjoint from  $M^*$ , i.e. there exists say  $a' \in A$  such that  $a'b \in M$ , meaning that

$$a \{a, b\} b \{b, a'\} a'$$

is an  $M^*$ -alternating path that starts in TODO

there is  
a hole  
here

- TODO

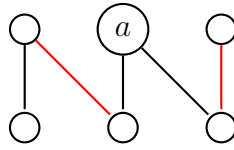
□

□

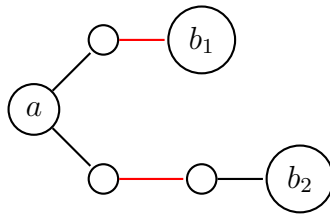
Consider a matching  $M$  of a graph  $G$ , and an  $M$ -augmenting path  $P$ ; the idea of *swapping* the edges of  $P$  between  $M$  and  $E(G) - M$  is very useful when  $G$  is **bipartite**. In fact, we can actually describe a procedure which is able to return a *maximum matching* of a bipartite graph, by swapping the edges of the augmenting paths present in  $G$ . However, for this algorithm to work, we first need a procedure capable of finding augmenting paths in bipartite graphs, which is defined down below:

1. Assume that the considered graph  $G$  is bipartite through  $(A, B)$ , and consider a matching  $M$  of  $G$
2. Starting from a node  $a \in A$  free w.r.t.  $M$ , compute a *modified* BFS such that the edges of its tree alternate between  $E(G) - M$  and  $M$
3. If the tree of the BFS contains a free leaf  $b \in B$ , then the path  $v \rightarrow b$  is  $M$ -augmenting

For instance, given the following bipartite graph  $G$ , and a matching  $M$  of  $G$  — outlined in *red*



the *modified* BFS rooted in  $a$  would produce the following tree



and we observe that the path  $a \rightarrow b_1$  is  $M$ -alternating, while the path  $a \rightarrow b_2$  is  $M$ -augmenting. The next proposition guarantees that if there are  $M$ -augmenting paths that start in  $a$ , our *modified* BFS will find at least one of them.

### Proposition 1.6

Given a bipartite graph  $G$ , bipartitioned into  $(A, B)$ , and a matching  $M$  of  $G$ , if there exists an  $M$ -augmenting path in  $G$  that starts in a vertex  $a \in A$  free w.r.t.  $M$ , then there exists an  $M$ -augmenting path in the tree  $T$  of the *modified* BFS.

*Proof.* Let  $P$  be an  $M$ -augmenting path that starts in  $a$  and minimizes the edges in  $E(P) - E(T)$  and, by way of contradiction, assume that  $E(P) - E(T) \neq \emptyset$ , i.e.  $P$  is not completely contained in  $T$ . Therefore, let  $xy$  be the first edge in  $E(P) - E(T)$  encountered while traversing  $P$ , starting at  $a$ , and w.l.o.g. assume that  $x \in V(P)$ .

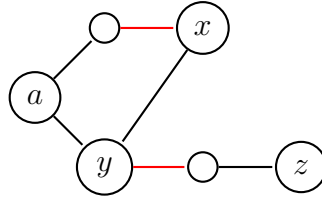
**Claim:**  $x \in A$ .

*Proof of the Claim.* By way of contradiction, assume that  $x \in B$ .

- Assume that  $x$  is not a leaf of  $T$ . Since the BFS starts at  $a \in A$ , and the edges of  $T$  alternate between  $M$  and  $E(G) - M$ , if  $x \in B$  then the next edge  $xy'$  in  $T$  is an edge in  $M$ . Moreover — by the same reasoning — since  $P$  is  $M$ -augmenting, it must be that  $xy \in M$ , meaning that  $xy, xy' \in M$  contradicting the definition of matching  $\not\perp$
- Now, assume that  $x$  is a leaf of  $T$ . By the same reasoning,  $xy$  must be in  $M$  because  $P$  is  $M$ -augmenting, but  $xy \notin E(T)$  would imply that the BFS stopped before adding the edge  $xy$  to  $T$   $\not\perp$ .

□

For instance, given the following setting



a possible path for  $P$  would be  $a \rightarrow x \rightarrow y \rightarrow z$ . However, the path  $a \rightarrow y \rightarrow z$  is still an  $M$ -augmenting path that starts at  $a$  but has one fewer edge not in  $T$  w.r.t.  $P$ , contradicting the definition of  $P$   $\not\perp$ .

Note that, in the general case we would consider the path  $P' := aTy \cup yP$ , however this is not guaranteed to be a path. The complete proof leverages the fact that  $G$  is bipartite in order to prove that  $P'$  is indeed a path, but it is very technical and outside the scope of these notes. □

Finally, now that we have a procedure which is guaranteed to find an augmenting path in a given bipartite graph, to return a maximum matching it suffices to run the following algorithm.

**Algorithm 1.1: Maximum matching (bipartite graphs)**

Given a bipartite graph  $G$ , the algorithm returns a maximum matching for  $G$ .

```

1: function MAXIMUMMATCHINGBIPGRAPHS( $G$ )
2:    $M := \emptyset$ 
3:   do
4:      $P := \text{FINDAUGMENTINGPATH}(G)$  ▷ the previous procedure
5:     Swap the edges between  $M$  and  $E(G) - M$  in  $P$ 
6:   while  $P \neq \text{None}$ 
7:   return  $M$ 
8: end function

```

In particular, the output of this algorithm is guaranteed to be a *maximum* matching thanks to [Theorem 1.7](#), since the algorithm terminates when there are no more augmenting paths left in the graph  $G$ .

**1.2.6 Perfect and stable matchings**

By definition, a matching is *not* forced to cover all the vertices of a graph. However, if this happens the matching is called **perfect matching**.

**Definition 1.23: Perfect matching**

Given a graph  $G$ , a **perfect matching** of  $G$  is a matching that covers all the vertices of  $G$ , i.e.  $M$  is a perfect matching if and only if

$$\forall v \in V(G) \quad \exists e \in M \quad v \cap e \neq \emptyset$$

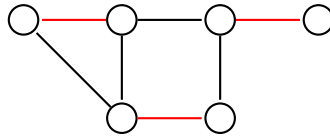


Figure 1.21: An example of a perfect matching.

Perfect matchings are an interesting topic of study when related to *bipartite graphs*. We observe that if a bipartite graph  $G$ , bipartitioned into  $(A, B)$ , admits a perfect matching  $M$ , it must be that  $|A| = |B|$ . This is because every matched edge must connect one vertex from  $A$  to one vertex from  $B$ , and by definition  $M$  matched *each* vertex exactly once. However, the converse is not true.

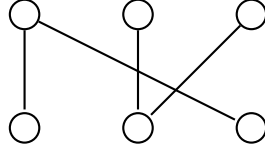


Figure 1.22: For instance, this bipartite graph, bipartitioned into  $(A, B)$  such that  $A$  is the uppermost row of vertices, even if  $|A| = |B|$  this graph does not admit a perfect matching.

In fact, [Hall's marriage theorem](#) — proved by Hall [4] in 1935 — provides a characterization of bipartite graphs which have a perfect matching.

### Theorem 1.10: Hall's marriage theorem

Given a bipartite graph  $G$ , bipartitioned into  $(A, B)$  such that  $|A| = |B|$ , then  $G$  admits a perfect matching if and only if

$$\forall S \subseteq A \quad |S| \leq |\mathcal{N}(S)|$$

*Proof.* The direct implication is trivially true by definition of matching. We will prove the converse implication by contrapositive. Suppose that the bipartite graph  $G$  does not admit any perfect matching. TODO □

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Matchings in bipartite graphs are particularly useful as they can be applied to model various types of problems across different fields. One well-known example is the [stable matching problem](#), which arises in scenarios like job assignments, college admissions, and matchmaking systems. In this problem, the goal is to find a *stable pairing* between two sets of entities — such as students and universities — where no two unmatched entities would prefer each other over their current assignments.

### Definition 1.24: Stable matching

Given a graph  $G$ , bipartitioned through  $(A, B)$ , and a matching  $M$  of  $G$ , consider a family of *preference* functions  $\{w_v\}_{v \in V(G)}$  that for each vertex  $v \in V(G)$ , assign a value to the edges  $vu \in E(G)$ , for all  $u \in \mathcal{N}(v)$

$$\forall v \in V(G) \quad w_v : \mathcal{N}(v) \rightarrow \mathbb{R}$$

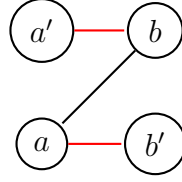
We say that  $M$  is **stable** if for each  $ab \in E(G)$  it does not happen that

$$(a \text{ free} \vee (\exists ab' \in M \quad w_a(b) > w_a(b')))$$

$$\wedge$$

$$(b \text{ free} \vee (\exists a'b \in M \quad w_b(a) > w_b(a')))$$

For instance, consider the following bipartite graph  $G$ , and a matching  $M$  — outlined in *red*:



If the family of preference functions  $\{w_v\}_{v \in V}$  is such that

$$w_a(b) > w_b(b') \wedge w_b(a) > w_b(a')$$

which implies that both  $a$  and  $b$  would prefer to *switch* their current matched vertex — then  $M$  is *not* stable.

The following theorem, proved by Gale et al. [3] in 1962, proves that a stable matching can be always constructed in a bipartite graph, regardless of the preference functions.

### Theorem 1.11: Gale's theorem

Given a bipartite graph  $G$ , and a family of preference functions  $\{w_v\}_{v \in V(G)}$ , there exists a stable matching of  $G$ .

*Proof.* Before proving the theorem, we need to introduce some definitions.

Consider a bipartite graph  $G$ , bipartitioned through  $(A, B)$ , and consider a matching  $M$ . Given two vertices  $a \in A$  and  $b \in B$ , we say that  $a$  is **acceptable to**  $b$  if

- $b$  is free, or
- $b$  is matched to a vertex  $a'$ , and  $w_b(a) > w_b(a')$

Moreover, we say that a vertex  $a \in A$  is **happy** if either

- $a$  is free, or
- $ab \in M$  and for each  $b'$  such that  $a$  is acceptable to  $b'$ , it holds that  $w_a(b) \geq w_a(b')$

Now, consider another matching  $M'$  of  $G$ ; we say that  $M$  is **better than**  $M'$  if

- $\forall a'b \in M' \quad \exists ab \in M \quad w_b(a) \geq w_b(a')$ , meaning that every vertex  $b \in B$  prefers its match in  $M$  at least as much as its match in  $M'$ , and
- $\exists a'b \in M', ab \in M \quad w_b(a) > w_b(a')$ , meaning that there is at least one vertex  $b$  that strictly prefers its match in  $M$  over its match in  $M'$

In other words,  $M$  is better than  $M'$  if no match in  $M$  is worse than in  $M'$ , and at least one match is strictly better.

TODO

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## 1.3 Exercises

### Problem 1.1

Let  $G = (V, E)$  be a graph of  $n$  vertices, where  $n \geq 2$ . Show that there must be two vertices  $x, y \in V$  such that  $\deg(x) = \deg(y)$ .

*Solution.* By definition, the range of the possible degrees for any node of  $G$  is  $[0, n - 1]$ . By way of contradiction, assume that for any two vertices  $x, y \in V$  it holds that  $\deg(x) \neq \deg(y)$ ; hence, since the graph has  $n$  nodes, it must be that each node is assigned a different degree, and that we use all the possible degrees in  $[0, n - 1]$ . In particular, this implies that there are two vertices  $u, v \in V$  such that  $\deg(u) = 0$  and  $\deg(v) = n - 1$ , but this is a contradiction because if the degree of  $v$  is  $n - 1$ , it must be adjacent to all the other nodes of  $V$ , including  $u$ , and  $\deg(u) = 0 \nmid$ .

### Problem 1.2

Let  $G$  be a  $k$ -regular bipartite graph, bipartitioned through  $(A, B)$ . Prove that

1.  $|A| = |B|$
2.  $G$  has a perfect matching

*Proof.* TODO

□

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