

# The ABC of Computational Text Analysis

## *#8 ETHICS AND THE EVOLUTION OF NLP*

Faculty of Humanities and Social Sciences  
University of Lucerne






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Faculty of Humanities and Social Sciences

# Recap last Lecture

- an abundance data sources  
*JSTOR, Nexis, few datasets*
- creating your own dataset  
*converting any data to .txt*



# Outline

- cover last lecture's batch processing 
- ethics is your responsibility   
- understand the development of modern NLP   
*... or how to put words into computers*

# A primer on Ethics

# Ethics doesn't need to be abstract

## CV Assessment

- 1. You send a CV for a job.
- 2. The company automatically pre-filters candidates
- 3. Do you pass?
- 4.  

## Interview Assessment

 E-mail

 Phone

Name

LAST NAME

JOB OCCUPIED

ABOUT ME

Briefly describe yourself... Consectetur adipiscing elit. Aliquam nec varius mauris. Duauque magna, bibendum at nunc id, gravida ultrices tellus. Pellentesqu, ehicula ante id, dictum arcu hicula ante gravida ultrices. Lorem ipsum dolor sit amet, consectetur adipiscing elit. Aliquam nec varius mauris augue magna.

EDUCATION

DIPLOMA XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX20XX – 20XX

University or school

DIPLOMA XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX20XX – 20XX

University or school

WORK EXPERIENCE

SUMMER JOB - NAME OF THE COMPANYSept. 20XX – Jan. 20XX

Missions or tasks realized: duis augue magna, bibendum at nunc id, gravida ultrices tellus. Pellentesqu, ehicula ante id, dictum arcu hicula ante gravida ultrices. Lorem ipsum dolor sit amet. Lorem ipsum dolor sit amet, varius mauris. bibendum at nunc id, gravida ultrices .

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LANGUAGES

English

Spanish

German

INTERESTS

☒ Judo

☒ Basket-ball

☒ Judo

☒ Basket-ball

☒ Judo

☒ Basket-ball

# Don't worry about the future ...

... worry about the present.

- AI is persuasive in everyday's life
- AI is extremely capable
- AI is not so smart



what is going on behind the scene?

# An (R)evolution of NLP

# From Bag of Words to Embeddings

Putting Words into Computers *(Smith 2020; Church2022?)*

- from **coarse, static** to **fine, contextual** meaning
- how to measure similarity
  - string-based*
  - syntactic (e.g., part-of-speech)*
  - semantic (e.g., animate)*
  - embedding*
- from counting to learning representations
  - end2end deep learning*



# Bag of Words

- word as arbitrary, discrete numbers

*King = 1, Queen = 2, Man = 3, Woman = 4*

- intrinsic meaning
  - derived from data*
  - defined by experts*

- how are these words similar?

# Corpus Representation

## Collection of Documents

1. NLP is great. I love NLP.
2. I understand NLP.
3. NLP, NLP, NLP.

## Document Term Matrix

	NLP	I	is	term
Doc 1	2	1	1	...
Doc 2	1	1	0	...
Doc 3	3	0	0	...
Doc ID	...	...	...	term frequency

«You shall know a word by the company it keeps!»

*Firth (1957)*

“I hate \_\_\_\_, but the others eat anything.”

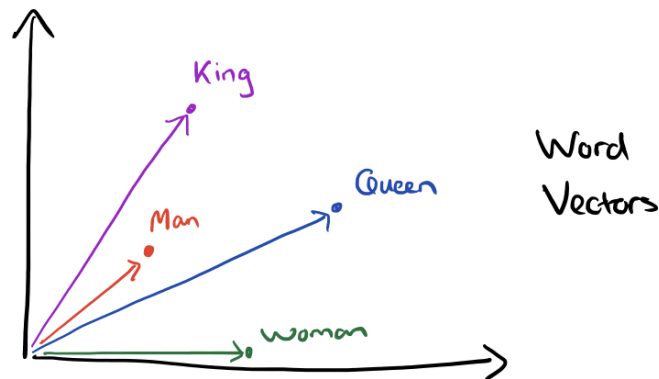
# Word Embeddings

word2vec (Mikolov et al. 2013)

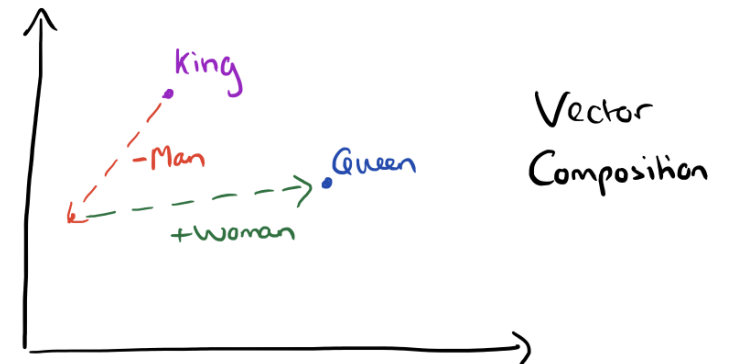
- words as continuous vectors  
*accounting for similarity between words*
- semantic similarity

$$\text{King} - \text{Man} + \text{Woman} = \text{Queen}$$

King	Queen	Woman	Princess
0.99	0.99	0.02	0.98
0.99	0.05	0.01	0.02
0.05	0.93	0.999	0.94
0.7	0.6	0.5	0.1
⋮			



Word  
Vectors



Vector  
Composition

Single continuous vector per word (Colyer 2016)

Words as points in a semantic space (Colyer 2016)

Doing arithmetics with words (Colyer 2016)

# Contextualized Word Embeddings

BERT *(Devlin et al. 2019)*

- recontextualize static word embedding  
*different embedding in different contexts*  
*accounting for ambiguity (e.g., bank)*
- acquire linguistic knowledge from language models (LM)  
*LM predict next/missing word*  
*pre-trained on massive data (> 1 billion words)*



embeddings mark a new era of NLP

# (Cultural) Associations in Data

- «\_\_ becomes a doctor.»
- «\_\_ takes care of the children.»
- «Doing illegal things is bad.»
- «A recent study by the Swiss state secretariat for migrants (SEM) estimates that there were 76,000 illegal immigrants.»



Are migrants somehow bad, then?

# Word vectors are biased ...

... because ~~our data is~~ we are biased. (*Bender2022?*)



# Two Sides of the AI Coin

Explaining vs. Solving

- understanding matters in **science: data analysis**
- automating matters in **business: applied AI**

# Biased Data and beyond

# Data = Digital Traces = Social Artifacts

- collecting, curating, preserving traces
- data is a **tool** to refine questions **rather than reflection** of the world

# Data vs. Capta

*«Differences in the etymological roots of the terms data and capta make the distinction between constructivist and realist approaches clear. Capta is “**taken**” actively while data is assumed to be a “**given**” able to be recorded and observed.»*

# Imperfect Data: A Tail of Bias

- social bias

*view from somewhere, stereotypes*

- data/archive holes

*lost, uncollected*

- corpus curation

*supposition that key-word indicates topic*

- noise in data

*OCR errors, inconsistent spelling, non-content*

👉 think about the data and mitigate issues

«*Raw data is an oxymoron.*» Gitelman (2013)

# Mind your Data

- Who has a voice in your data?  
*social context*
- bigger is not necessarily better  
*more vs. more diverse data*
- clean your data thoroughly  
*noisy vs. clean data*

# DATA HUMANISM

~~SMALL~~ ~~big~~ data

data ~~bandwidth~~ ~~QUALITY~~

~~IMPERFECT~~ ~~infallible~~ data

~~SUBJECTIVE~~ ~~impartial~~ data

~~INSPIRING~~ ~~descriptive~~ data

~~SERENDIPITOUS~~ ~~predictive~~ data

data ~~conventions~~ ~~POSSIBILITIES~~

data to ~~simplify~~ complexity / ~~DEPICT~~

data ~~processing~~ ~~DRAWING~~

~~data~~ ~~driven~~ ~~design~~

~~SPEND~~ ~~save~~ time with data

data is ~~numbers~~ ~~PEOPLE~~

data will make us more ~~efficient~~ ~~HUMAN.~~



# Fair is a Fad

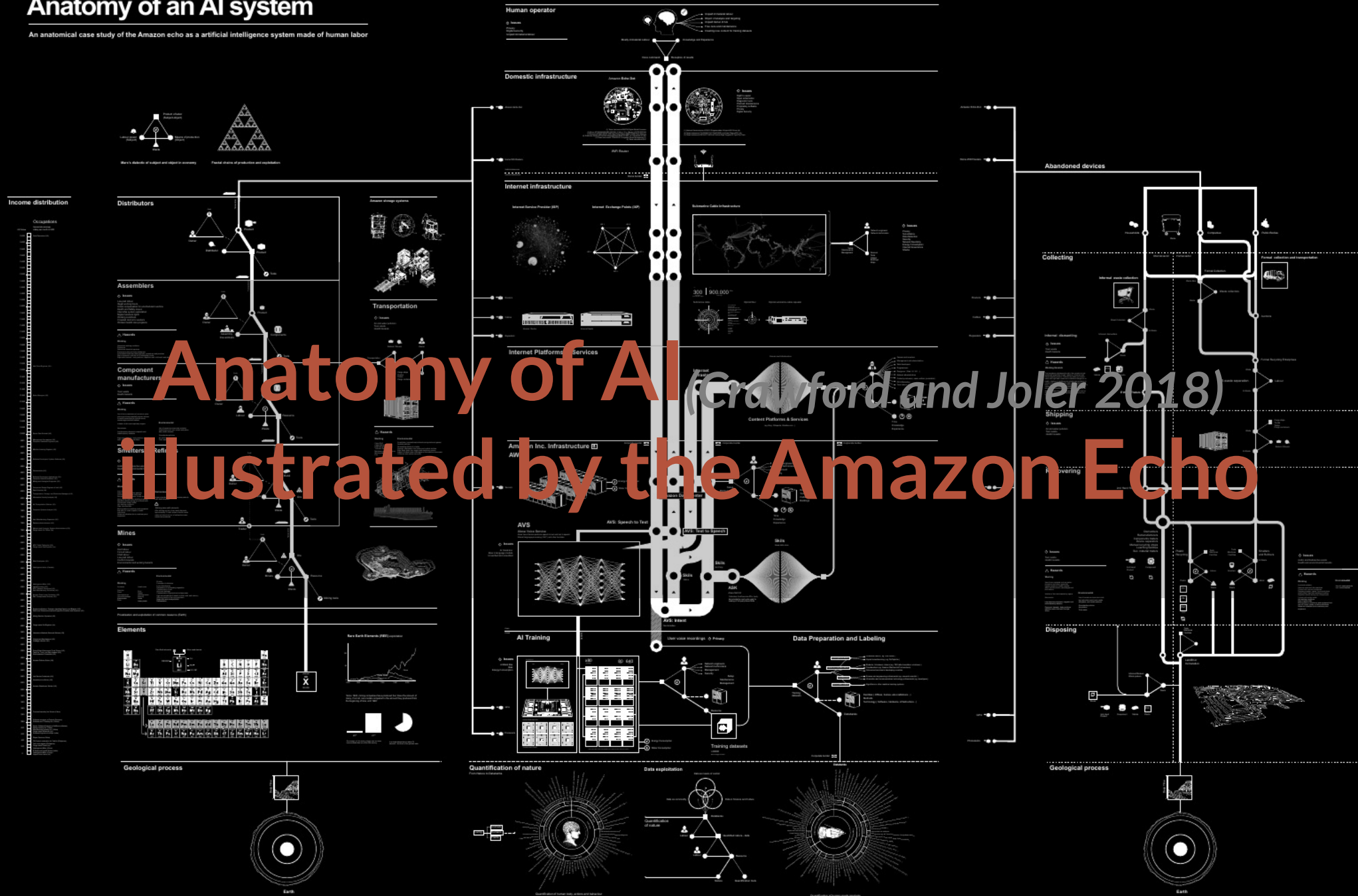
- *Fair and good* – but to whom? (Kalluri 2020)
- lacking democratic legitimacy
- debiasing data to avoid regulation
- looking beyond data
  - invading privacy*
  - economic monopolies*
  - (unpaid) AI-trainers and click-workers*
  - environmental costs*

*«Don't ask if artificial intelligence is good or fair,  
ask how it shifts power.»*

*Kalluri (2020)*

# Anatomy of an AI system

An anatomical case study of the Amazon echo as an artificial intelligence system made of human labor



Anatomy of AI (Crawford and Joler 2018)  
illustrated by the Amazon Echo

Data represents real life.

Don't be a fool. Be wise, think twice.





Questions?

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