



# Cache-Oblivious Priority Queue and Graph Algorithm Applications

.....

Alexandru Furculita

# Summary

1. Background and previous results
  - 1.1 I/O Model or external-memory model
  - 1.2 Cache-oblivious model
  - 1.3 Priority queues
  - 1.4 Priority queues in I/O Model
  - 1.5 I/O efficient graph algorithms
2. Optimal Cache-Oblivious Priority queues
  - 2.1 Structure
  - 2.2 Operations
  - 2.3 Graph algorithms

# I/O Model or external-memory model

## Memory architecture:

- Internal memory of size  $M$  and,
- Arbitrarily large external memory partitioned into blocks of size  $B$

**Efficiency measure:** the number of *memory transfers*, the number of blocks transferred between the two levels of memory

## Limitations:

- Parameters  $B$  and  $M$  must be known
- Does not handle multiple memory levels
- Does not handle dynamic  $M$

## Cache-oblivious model

- Design and analyze algorithms in the I/O model, but without having the size of the memory and of the blocks as explicit parameters
- Analyze in the I/O model for optimal off-line cache replacement strategy: If the main memory is full, the ideal block in main memory is elected for replacement based on the future characteristics of the algorithm

### Advantages:

- Optimal on arbitrary level optimal on all levels
- Portability: **B** and **M** not hard-wired into algorithm
- Dynamic changing parameters

# Priority queues

- Maintains a set of elements each with a priority (or key) under the operations insert, delete, and delete-min

# Priority queues in I/O Model

## Problem

Sort  $N$  elements using an  $O(\log_B N)$  priority queue closer to optimal solution.

The normal algorithms are a factor of  $\frac{\log_B N}{\log_{\frac{M}{B}} \frac{N}{B}}$  from optimal

# I/O efficient graph algorithms

## Problem

Develop I/O-efficient algorithms for:

- list ranking
- Euler Tour
- BFS
- DFS

# Summary

1. Background and previous results
  - 1.1 I/O Model or external-memory model
  - 1.2 Cache-oblivious model
  - 1.3 Priority queues
  - 1.4 Priority queues in I/O Model
  - 1.5 I/O efficient graph algorithms
2. Optimal Cache-Oblivious Priority queues
  - 2.1 Structure
  - 2.2 Operations
  - 2.3 Graph algorithms



# Optimal Cache-Oblivious Priority queues

## *Levels*

- consists of  $\Theta(\log \log N)$  levels of size  $N$  to a constant  $c$
- the size of a level corresponds to the number of elements that can be stored within it

# Optimal Cache-Oblivious Priority queues

## Buffers

A level consists of two levels:

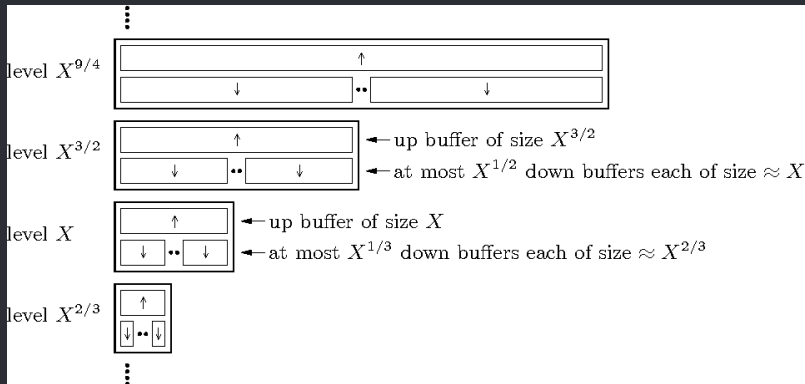
- *up buffer*: only one, can store up to  $X$  elements
- *down buffers*: at most  $X^{\frac{1}{3}}$  such buffers, each containing between  $\frac{1}{2}X^{\frac{2}{3}}$  and  $2X^{\frac{2}{3}}$

Three invariants about the relationships between the elements if buffers of various levels are maintained:

- At level  $X$ , elements are sorted among the down buffers
- At level  $X$ , the elements in the down buffers have smaller keys than the elements in the up buffer
- The elements in the down buffers at level  $X$  have smaller keys than the elements in the down buffers at the next higher level

# Optimal Cache-Oblivious Priority queues

## Layout



# Operations

## *Push*

- Inserts  $X$  elements into level  $X^{\frac{3}{2}}$
- Can be performed in  $O(X^{\frac{1}{2}} + \frac{X}{B} \log_{\frac{M}{B}} \frac{X}{B})$  memory transfers amortized

# Push

## Steps

To insert  $X$  elements into level  $X^{\frac{3}{2}}$ , we need to follow these steps:

1. Sort the  $X$  elements
2. Distribute the elements in the sorted list into the  $X^{\frac{1}{2}}$  down buffers of level  $X^{\frac{3}{2}}$  by scanning through the list and simultaneously visiting the down buffers in (linked) order.
3. If the down buffer runs full, split the buffer into two down buffers each containing  $X$  elements.
4. If the level already had the maximum number  $X^{\frac{1}{2}}$  of down buffers before the split, remove the last down buffer by inserting the less than  $2X$  elements into the up buffer.
5. If the up buffer runs full, all of these elements are recursively pushed into the next level up.

# Operations

## *Pull*

- Removes the  $X$  elements with smallest keys from level  $X^{\frac{3}{2}}$  and returns them in sorted order
- Can be performed in  $O(1 + \frac{X}{B} \log_{\frac{M}{B}} \frac{X}{B})$  memory transfers amortized

# Operations

## Total cost

A set of  $N$  elements can be maintained in a linear-space cache-oblivious priority queue data structure supporting each insert, delete, and delete operation in  $O\left(\frac{1}{B} \log_{\frac{M}{B}} \frac{N}{B}\right)$  amortized memory transfers and  $O(\log_2 N)$  amortized computation time.

## Graph algorithms applications and results

Using the cache oblivious priority queue, there can be developed cache oblivious algorithms for several graph problems that uses the same number of memory accesses as a cache-aware algorithm:

- The list ranking, the Euler Tour, BFS, DFS, and centroid decomposition problems on a  $V$  node list can be solved in  $O(\frac{V}{B} \log_{\frac{M}{B}} \frac{V}{B})$  memory accesses
- The DFS or BFS tree of a directed graph can be computed in  $O((V + \frac{E}{B}) \log_2 V + \frac{E}{B} \log_{\frac{E}{B}} \frac{E}{B})$  memory accesses.
- The BFS tree of an undirected graph can be computed cache-obliviously in  $O(N + \frac{E}{B} \log_{\frac{M}{B}} \frac{E}{B})$  memory accesses.



# Graph algorithms

## *List ranking*

### List ranking problem

Given a linked list of  $V$  nodes, each with a pointer (edge) to the next node in the list, stored as an unordered sequence, determine the rank of each node  $v$ , that is, the number of edges from  $v$  to the end of the list.

## List ranking

### *Cache oblivious algorithm*

The Cache oblivious algorithm for list ranking extends the existing I/O model algorithms by replacing the I/O model priority queues with cache oblivious priority queues.

### Chiang I/O Model algorithm

Find an independent set of  $O(V)$  nodes (nodes without edges to each other), contract the edges incident to the nodes in this set ("bridge out" the nodes in the independent set), recursively rank the remaining list, and finally reintegrate the contracted nodes in the list (compute their rank).

## List ranking

*Chiang I/O Model algorithms adapted to Cache oblivious*

The independent set algorithm of Chiang is based on 3-coloring algorithms. It consists of the following steps:

1. the list is split into two sets consisting of forward running segments (forward lists) and backward running segments (backward lists). It is performed using a cache oblivious scanning.
2. the nodes in the forward lists are colored red or blue by coloring the head nodes red and the other nodes alternately red and blue. The coloring is performed using a cache oblivious priority queue.
3. the nodes in the backward lists are colored green and blue in a similar way, with the head nodes being colored green.

## List ranking

*Chiang I/O Model algorithms adapted to Cache oblivious*

As a result of these 3 steps, every node is colored with one color, except for the heads/tails which have two colors.

By coloring a head/tail node red unless it was initially colored blue and green, in which case it is colored green, a 3-coloring is obtained.

## Bibliography

- [1] Lars Arge, Michael A. Bender, Erik D. Demaine, Bryan Holland-Minkley, and J. Ian Munro. *An Optimal Cache-Oblivious Priority Queue and Its Application to Graph Algorithms*. Available at <http://epubs.siam.org/doi/abs/10.1137/S0097539703428324>.