

ATLAS Note

GROUP-2017-XX



14th January 2020

Draft version 0.1

- **Search for the Effects of Dimension-six**
- Operators in the interactions of the Higgs
- **Boson with the Top Quark**

The ATLAS Collaboration

Several theories Beyond the Standard Model modify the A differential measurement of the
Higgs transverse momentum spectrum is performed for events where the Higgs Boson is
produced from top quark pairs and decays to final states that include multiple leptons. A
two-bin fit is used. The channels considered include two same-sign lepton and three lepton
final states. An effective field theory approach is used to parameterize and place limits on the
strength of potential six-dimensional operators.

- © 2020 CERN for the benefit of the ATLAS Collaboration.
- Reproduction of this article or parts of it is allowed as specified in the CC-BY-4.0 license.

13 Contents

| 14 | I | Introduction | 5 |
|----|----|--|----|
| 15 | 1 | Introduction | 5 |
| 16 | II | Theoretical Motivation | 7 |
| 17 | 2 | The Standard Model and the Higgs Boson | 7 |
| 18 | | 2.1 The Forces and Particles of the Standard Model | 7 |
| 19 | | 2.2 The Higgs Mechanism | 10 |
| 20 | | 2.2.1 The Higgs Field | 10 |
| 21 | | 2.2.2 Electroweak Symmetry Breaking | 12 |
| 22 | | 2.3 Limitations of the Standard Model | 15 |
| 23 | 3 | Effective Field Theory in ttH Production | 15 |
| 24 | | 3.1 Extensions to the Higgs Sector | 16 |
| 25 | | 3.2 Six Dimensional Operators | 16 |
| 26 | Ш | The LHC and the ATLAS Detector | 16 |
| 27 | 4 | The LHC | 16 |
| 28 | 5 | The ATLAS Detector | 19 |
| 29 | | 5.1 Inner Detector | 21 |
| 30 | | 5.2 Calorimeters | 21 |
| 31 | | 5.3 Muon Spectrometer | 23 |
| 32 | | 5.4 Forward Detector | 24 |
| 33 | | 5.5 Trigger System | 24 |
| 34 | IV | Search for Dimension-Six Operators | 25 |
| 35 | 6 | Data and Monte Carlo Samples | 25 |
| 36 | 7 | Object Reconstruction | 25 |
| 37 | 8 | Higgs Momentum Reconstruction | 26 |
| 38 | | 8.1 Truth Level Reconstruction | 27 |
| 39 | | 8.2 b-jet Identification | 27 |
| 40 | | 8.3 Higgs Reconstruction | 27 |
| 41 | | 8.4 nr Prediction | 27 |

| 42 | 9 | Signal Region Definitions | 27 |
|----|----|--|----|
| 43 | | 9.1 2LSS | 27 |
| 44 | | 9.2 31 | 27 |
| 45 | 10 | Background Rejection MVA | 27 |
| 46 | | 10.1 2ISS | 30 |
| 47 | | 10.1.1 2ISS - High p _T | 30 |
| 48 | | 10.1.2 2ISS - Low p_T | 30 |
| 49 | | 10.2 31 Semi-Leptonic | 30 |
| 50 | | 10.2.1 3l Semi-Leptonic - High p _T | 30 |
| 51 | | 10.2.2 3l Semi-Leptonic - Low p _T | 30 |
| 52 | | 10.3 31 Fully Leptonic | 30 |
| 53 | | 10.3.1 3l Fully Leptonic - High p _T | 30 |
| 54 | | 10.3.2 3l Fully Leptonic - Low p _T | 30 |
| 55 | 11 | Systematic Uncertainties | 30 |
| 56 | 12 | 2 Results | 32 |
| 57 | V | Conclusion | 32 |
| 58 | Ap | ppendices | 34 |

59 Part I

60 Introduction

61 Introduction

Particle physics is an attempt to describe the fundamental building blocks of the universe and their interactions, and the Standard Model (SM) - our best current theory of fundamental particle physics - does a remarkable job of doing exactly that. All known fundamental particles and (almost) all of the forces underlying their interactions can be explained by the SM, and the predictions from this theory agree with experiment to an incredibly precise degree. This is especially true since the Higgs Boson, last piece of the SM predicted decades before, was finally discovered at the Large Hadron Collider (LHC) in 2012.

Despite the success of the SM, there remains significant work to be done. For one, the

SM is incomplete: it fails to explain gravity at the quantum scale, to give any explanation for

the observation fo Dark Matter, or to provide a mechanism for neutrinos to gain mass. A Higgs

Boson with a mass of around 125 GeV also gives rise to what is known a hierarchy problem
such a low mass Higgs requires a seemingly unnatural level of "fine tuning".

A promising avenue for addressing these problems is to study the properties of the Higgs

Boson and the way it interacts with other particles, in part because these interactions have not

been accurately measured before. This leaves the Higgs sector of the SM a fertile ground to

search for new physics. Its interactions with the Top Quark are a particularly promising place to look: The Higgs Boson is responsible for giving particles their mass, and the strength of a particle's interaction with the Higgs is proportional to its mass. As the most massive of the fundamental particles, the Top Quark has the strongest coupling to the Higgs Boson. This means any new physics in the Higgs sector is likely to present itself most prominantly in its interaction with the Top Quark.

These interactions can be measured by directly by studying the production of a Higgs
Boson in association with a pair of Top Quarks (ttH). While studies have been done measuring
the overall rate tH of production, there are several theories of physics Beyond the Standard
Model (BSM) that would affect the kinematics of tH production without affecting its overall
rate. This dissertation attempts to make a differential measurement on the kinematics of tH
production in order to search for these BSM effects.

The proton-proton collision data collected by the ATLAS detector at the LHC from 20152018 provides the oppurtunity to made such a measurement for the first time. The unprecedented
energy acheived by the LHC during this period greatly increase the rate at which ttH events
are produced, and the large amount of data collected allow a differential measurement to be
performed.

This dissertation begins with a brief explanation of the SM, its limitations, and the theoretical motivation behind this work. This is followed by a description of the LHC and the ATLAS detector. The analysis strategy is then described, and the results are presented. Finally, the results

of the study are summarized in the conclusion.

98 Part II

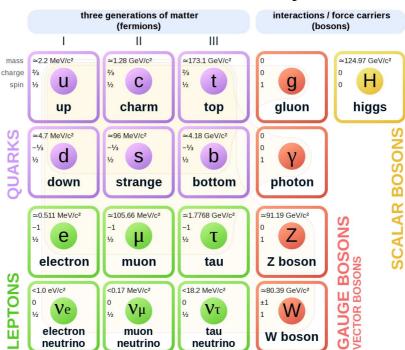
59 Theoretical Motivation

2 The Standard Model and the Higgs Boson

The Standard Model of particle physics (SM) is a Quantum Field Theory (QFT) describing the known fundamental particles and their interactions. It accounts for three of the four known fundamental force - electromagnetism, the weak nuclear force, and the strong nuclear force, but not gravity. Further, the SM describes a mechanism for combining the weak and electromagnetic forces into a singular interaction, known as the electroweak force. It is a non-Abelian gauge theory, invariant under the Lie Group $SU(3)_C \otimes SU(2)_L \otimes U(1)_Y$, where C refers to color charge, L, the helicity of the particle, and Y, the hypercharge.

2.1 The Forces and Particles of the Standard Model

The SM particles, summarized in figure 2.1, can be classified into two general categories based on their spin: fermions, and bosons.



Standard Model of Elementary Particles

Figure 2.1: A summary of the particles of the Standard Model, including their mass, charge and spin, with the fermions listed on the left, and the bosons on the right. []

Fermions are particles with $\frac{1}{2}$ -integer spin, which according to the spin-statistics theorem, causes them to comply with the Pauli-exclusion principle []. They can be separated into two groups, leptons and quarks, each of which consist of three generations of particles with increasing mass.

Leptons are fermions interact via the electroweak force, but not the strong force. The three generation of leptons consist of the electron and electron neutrino, the muon and muon neutrino, the tau and tau neutrino. The quarks, which do interact via the strong force - which is to say they have color charge - in addition to the electroweak force. The three generations include the up

and down quarks, the strange and charm quarks, and the top and bottom quarks. Each of these generations form left-handed doublets invariant under SU(2) transformations. For the leptons these doublets are:

$$\begin{pmatrix} e^{-} \\ \nu_{e} \end{pmatrix}_{I}, \begin{pmatrix} \mu^{-} \\ \nu_{\mu} \end{pmatrix}_{I}, \begin{pmatrix} \tau^{-} \\ \nu_{\tau} \end{pmatrix}_{I}$$
(2.1)

And for the quarks:

$$\begin{pmatrix} u \\ d \end{pmatrix}_{L}, \begin{pmatrix} s \\ c \end{pmatrix}_{L}, \begin{pmatrix} t \\ b \end{pmatrix}_{L}$$
 (2.2)

For both leptons and quarks, the heavier generations can decay into the lighter generation of particles, while the first generation does not decay. Hence, ordinary matter generally consists of this first generation of fermions - electrons, up quarks, and down quarks. Each of these fermions has a corresponding anti-particle, which has an equal mass as its partner but oppsite charge. The fermions acquire their mass via the Higgs Mechanism, except for the neutrinos, whose mass has been experimentally confirmed but is not accounted for in the SM.

Bosons, by contrast, have integer spin, and are therefore unconstrained by the Pauliexclusion principle. The SM includes two kinds of bosons: Gauge bosons, which are spin-1 particles that mediate the interactions between the fermions, and a single scalar, i.e. spin-0, particle - the Higgs Boson. Of the gauge bosons, the W^+ , W^- and Z bosons - which are the

mass eigenstates of the electroweak bosons - mediate the weak interaction, while the photon mediates the electric force, and the gluon mediates the strong force.

2.2 The Higgs Mechanism

A key feature of the SM is the gauge invariance of its Lagrangian. However, any terms added to
the Lagrangian giving mass to the the gauge bosons would violate the underlying symmetry of
the theory. This presents a clear problem with the theory: The experimental observation that the
W and Z bosons have mass seems to contradict the basic structure of the SM.

Rather than abandoning gauge invariance, an alternative way for particles to acquire mass beyond adding a simple mass term to the Lagrangian was theorized by Higgs, Englert and Brout in 1964 []. This procedure for introducing masses for the gauge bosons while preserving local gauge invariance, known as the Higgs mechanism, was incorporated into the electroweak theory by Weinberg in 1967 [].

145 2.2.1 The Higgs Field

The Higgs mechanism introduces a complex scalar SU(2) doublet, Φ , with the form:

$$\Phi = \begin{pmatrix} \Phi^+ \\ \Phi^0 \end{pmatrix}_{L} \tag{2.3}$$

This field introduces a scalar potential to the Lagrangian of the form:

147

$$V(\Phi) = \mu^2 |\Phi^{\dagger} \Phi| + \lambda (|\Phi^{\dagger} \Phi|)^2 \tag{2.4}$$

Where μ and λ are free parameters of the new field. This represents the most general potential allowed while preversing $SU(2)_L$ invariance and renormalizability. In the case that $\mu^2 < 0$, this potential takes the form shown in figure 2.2.

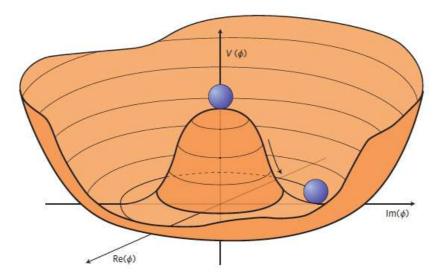


Figure 2.2: The value of the Higgs potential, $V(\Phi)$ as a function of Φ , for the case that $\mu^2 < 0$ [].

The significant feature of this potential is that its minimum does not occur for a value of $\Phi = 0$. Instead, it is minimized when $|\Phi^{\dagger}\Phi| = -\mu^2/\lambda$. This means that in its ground state, the Higgs field takes on a non-zero value - referred to as a vacuum expectation value (VEV). So while the Higgs potential is globally symmetric, about the minimum this symmetry is broken. Since the minimum is determined only by $\Phi^{\dagger}\Phi$, there is some ambiguity in the particular definition of

the VEV, but it is generally represented as

$$\langle \Phi \rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v \end{pmatrix} \tag{2.5}$$

The full value of Φ can be written as

$$\langle \Phi \rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v + H/\sqrt{2} \end{pmatrix} \tag{2.6}$$

with ν being the value of the VEV, and H being the real value of the scalar field.

2.2.2 Electroweak Symmetry Breaking

The Electroweak (EWK) interaction is described in the SM by a $SU(2)_L \bigotimes U(1)_Y$ gauge theory.

This theory predicts three $SU(2)_L$ gauge boson, $W^1_\mu, W^2_\mu, W^3_\mu$, and a single $U(1)_Y$ gauge boson,

 B_{μ} . The couplings of these bosons to the Higgs field show up in the kinetic terms of the scalar

field Φ in the Lagrangian:

158

$$(D_{\mu}\Phi)^{\dagger}(D^{\mu}\Phi) = |(\partial_{\mu} - \frac{ig}{2}W_{\mu}^{\alpha}\sigma^{\alpha} - \frac{ig'}{2}B_{\mu}Y)\Phi|^{2}$$
 (2.7)

Here D_{μ} represents the covariant derivative required to preserve gauge invariance, g and g' represent coupling constant of the gauge bosons, σ^{α} denotes the Pauli matrices of SU(2), and Y represents the hypercharge of U(1). The terms in this interaction which contribute to the masses of the gauge bosons can be written as:

$$\frac{1}{2}(0,\nu)(\frac{g}{2}W^{\alpha}_{\mu}\sigma^{\alpha} - \frac{g'}{2}B_{\mu})^{2}\begin{pmatrix}0\\\nu\end{pmatrix} \tag{2.8}$$

Expanding these terms into the mass eigenstates of the electroweak interaction yields four physical gauge bosons, two charged and two neutral, which are linear combinations of the fields $W^1_{\mu}, W^2_{\mu}, W^3_{\mu}$, and B_{μ} :

$$\begin{split} W^{\pm}_{\mu} &= \frac{1}{\sqrt{2}} (W^1_{\mu} \pm i W^2_{\mu}) \\ Z^{\mu} &= \frac{1}{\sqrt{(g^2 + g'^2)}} (-g' B_{\mu} + g W^3_{\mu}) \\ A^{\mu} &= \frac{1}{\sqrt{(g^2 + g'^2)}} (g B_{\mu} + g' W^3_{\mu}) \end{split} \tag{2.9}$$

And the masses of these fields are given by:

$$M_W^2 = \frac{1}{4}g^2v^2$$

$$M_Z^2 = \frac{1}{4}(g^2 + g'^2)v^2$$

$$M_A^2 = 0$$
(2.10)

This produces exactly the particles we observe - three massive gauge bosons and a single massless photon. The massless photon represents the portion of the gauge symmetry, a single U(1) of the electromagnetic force, that remains unbroken by the VEV.

Interactions with the Higgs field also lead to the generation of the fermion masses, which in the Lagrangian take the form:

$$-\lambda_{\psi}(\bar{\psi}_L \varphi \psi_R + \bar{\psi}_R \varphi^{\dagger} \psi_L) \tag{2.11}$$

After symmetry breaking has occured and ϕ has taken on the value of the VEV as written in equation 2.5, the mass terms or the fermions become $\lambda_{\psi}\nu$. Written this way, the fermion masses are proportional to their Yukawa coupling to the VEV, λ_{ψ} .

Based on the equation 2.6, an additional mass term, μ^2H^2 arises from the potential $V(\Phi)$.

This term can be understood as an excitation of the Higgs field, a scalar boson with mass $M_H = \mu$.

This is the Higgs boson, which comes about as a natural prediction of electroweak symmetry breaking.

The fermion's Yakawa coupling to the VEV take the same form as the fermion's coupling to the Higgs boson - λ_{ψ} . Therefore, the strength of a fermion's interaction with the Higgs is directly proportional to its mass. We now have a model that predicts a Higgs boson with mass $M_{H} = \mu$, which interacts with the fermions with coupling strength λ_{ψ} . Because μ and λ_{ψ} are

- free parameters of the theory, the mass of the Higgs boson and its interactions with the fermions
- must be measured experimentally.

2.3 Limitations of the Standard Model

- While the SM has great predictive power, there are still several experimental observation that the
- 192 SM fails to explain. For example,

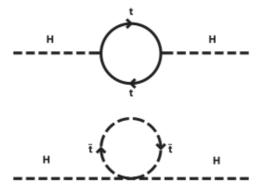


Figure 2.3: Above diagram is the leading order correction to the Higgs mass via a top quark loop, and below is the stop squark loop, coming from a supersymmetric extension of the SM, that provides a potential cancellation of the top diagram [].

3 Effective Field Theory in ttH Production

- ¹⁹⁴ Higher dimension operators are a common way to paramaterize the effects of physics at very
- 195 high energies into

6 3.1 Extensions to the Higgs Sector

197 3.2 Six Dimensional Operators

198 While the SM has been tested to great precision, particularly at the LHC, it is generally accepted

that it is only valid up to a certain energy scale. It is assumed that above a certain energy, at the

scale where something like a Grand Unified Theory (GUT) or quantum gravity become relevant,

the SM will not be applicable.

Part III

203 The LHC and the ATLAS Detector

4 The LHC

The Large Hadron Collider (LHC) is a particle accelerator consisting of a 27 km ring, designed

to collide protons at high energy. Located outside of Geneva, Switzerland and buried about 100

207 m undergound, it consists of a ring of superconducting magnets which are used to accelerate

208 opposing beams of protons - or lead ions - which collide at the center of one of the various

detectors located around the LHC ring which record the result of these collisions. These

detectors include two general purpose detectors, ATLAS and CMS, which are designed to make

precision measurements of a broad range of physics phenomenon, and two more specialized

experiments, LHCb and ALICE, which are optimized to study b-quarks and heavy-ion physics, respectively.

The LHC first began running in 2009 at a proton-proton center of mass energy of $\sqrt{s} = 8$ TeV. It operated at this energy from 2009 to 2012, known as Run 1, and data collected during this period was used in discovering the Higgs Boson. The LHC began running again in 2015, and collected data at an increased energy of $\sqrt{s} = 13$ TeV until 2018, a period referred to as Run 218 2.

The LHC consists of a chain of accelerators, which accelerate the protons to higher and 219 higher energies until they are injected into the main ring. This process is summarized in figure 220 4.1. Protons extracted from a tank of ionized hydrogen are fed into a linear accelerator, LINAC2, where they reach an energy of 50 MeV. From there, they enter a series of three separate circular 222 accelerators, before being injected into the main accelerator ring at an energy of 450 GeV. Within the main ring protons are seperated into two seperate beams moving in opposite directions, 224 and their energy is increased to their full collision energy. Radiofrequency cavities are used to 225 accelerate these particles are sort them into bunches. From 2015-2018, these bunches consisted 226 of around 100 billion protons each with an energy of 6.5 TeV per proton, which collided at a rate of 40 MHz, or every 25 ns. 228

Because these proton bunches consist of a large number of particles, each bunch crossing consists of not just one, but several direct proton-proton collisions. The number of interactions that occur per bunch crossing, μ , is known as pileup. During Run 2, the average pileup for bunch

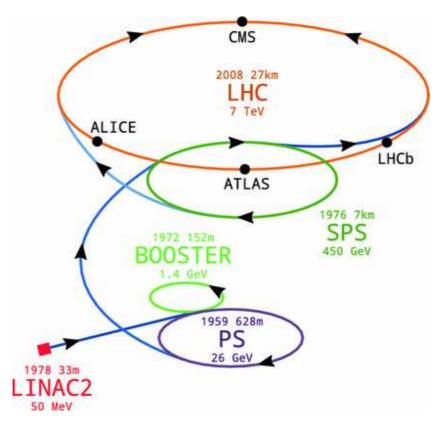


Figure 4.1: A summary of the accelerator chain used to feed protons into the LHC [].

crossings was around $\langle \mu \rangle = 35$, with values typically ranging between 10 and 70.

The amount of data collected by the LHC is measured in terms of luminosity, which is the ratio of the number of events detected per unit time, $\frac{dN}{dt}$, and the interaction cross-section, σ .

$$\mathcal{L} = \frac{1}{\sigma} \frac{dN}{dt} \tag{4.1}$$

The design luminosity of the LHC is $10^{34} \text{cm}^{-2} \text{s}^{-1}$, however the LHC has acheived a luminosity of over $2 \times 10^3 4 \text{cm}^{-2} \text{s}^{-1}$. The total luminosity is then this instantaneous luminosity

237 integrated over time.

$$\mathcal{L}_{int} = \int \mathcal{L} dt \tag{4.2}$$

The integrated luminosity collected by the ATLAS detector as of the end of 2018 is around 239 140 fb⁻¹, exceeding the expected integrated luminosity of 100 fb⁻¹.

5 The ATLAS Detector

ATLAS (a not terribly natural acronym for "A Toroidal LHC Apparatus") is a general purpose detector designed to maximize the detection efficiency of all physics objects, including leptons, jets, and photons. This means it is capable of measuring all SM particles, with the exception of neutrinos, the presence of which can be inferred based on missing transverse momentum. The detector measures 44 m long, and 25 m tall.

The ATLAS detector consists of multiple layers, each of which serves a different purpose in reconstructing collisions. At the very center of the detector is the interaction point where the proton beams of the LHC collide.

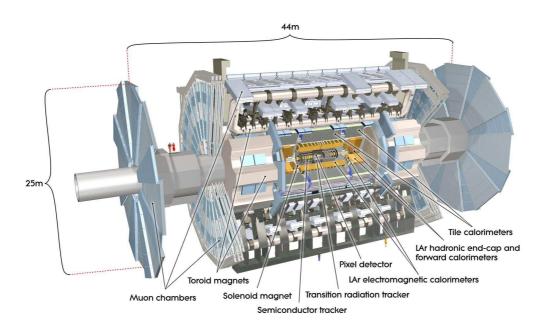


Figure 5.1: Cutaway view of the ATLAS detector, with labels of its major components [].

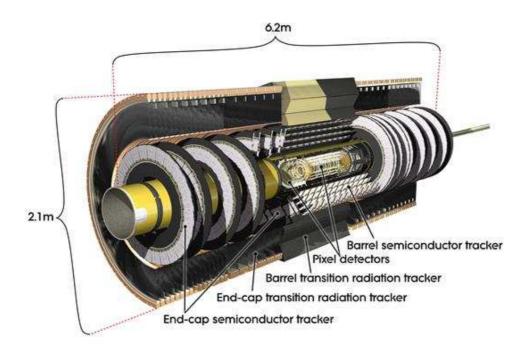


Figure 5.2: Cutaway view of the Inner Detector [].

5.1 Inner Detector

Just surrounding the interaction point is the Inner Detector, designed to track the path of charged particles moving through the detector. An inner solenoid surrounding the Innder Detector is used to produces a magnetic field of 2 T. This large magnetic field causes the path of charged particles moving through the Inner Detector to bend. Because this magnetic field is uniform and well known, it can be used in conjunction with the curvature of a particles path to measure its charge and momentum.

The Inner Detector consists of three components - the Pixel Detector, the Semi-Conductor
Tracker (SCT), and the Transition Radiation Tracker (TRT). The Pixel Detector is the innermost
of these, beginning just 33.25 mm away from the beam line. It consists of three silicon layers
along the barrel, as well as three endcap layers, covering a range of $|\eta| < 2.5$.

The Semiconductor Tracker (SCT) is similar to the Pixel detector, but uses long strips rather than small pixel to cover a larger spatial area.

5.2 Calorimeters

Situated outside the Innder Detector are two concentric calorimeters. The inner calorimeter uses liquid argon (LAr) to measure energy of particles the interact electromagnetically, which includes photons and any charged particle. The LAr calorimeter is made of heavy metals, primarily lead and copper, which causes electromagnetically interacting particles to shower, depositing their

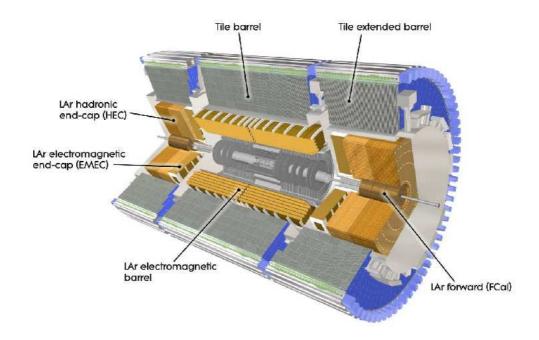


Figure 5.3: Cutaway view of the calorimeter system of the ATLAS detector [].

energy in the detector. The showering of the high energy particles that pass through calorimeter cause the liquid argon to ionize, and the ionized electrons are detected by electronic readouts.

The LAr calorimeter consists of around 180,000 readout channels.

The outer calorimeter measures the energy from particles that pass through the EM calorimeter, and measures the energy of particles that interact via the strong force. This is primarily
hadrons. It is composed of steel plates to cause hadronic showering and scintillating tiles as the
active material. The signals from the hadronic calorimeter are read out by photomultiplier tubes
(PMTs).

5.3 Muon Spectrometer

Because muons are heavier than electrons and photons, and do not interact via the strong force,
they generally pass through the detector without being stopped by the calorimeters. The outermost
components of the detector are designed specifically to measure the energy and momentum of
muons produced in the LHC. The muon spectrometer consists of tracking and triggering system.

It extends from the outside of the calormeter system, about a 4.25 m radius from the beam line,
to a radius of 11 m. This large detector system is necessary to accurately measure the momentum
of muons, which is essential not only for measurements involving the muons themselves, but also
to accurately estimate the missing energy in each event.

Two large toroidal magnets within the muon system generate a large magnetic field which covers an area 26 m long with a radius of 10 m. Because the area covered by this magnet system is so large, a uniform magnetic field like the one produced in the Inner Detector is impractical.

Instead, the magnetic field that exists in the muon spectrometer ranges between 2 T and 8 T, and is much less uniform. The path of the muons passing through the spectrometer is bent by this field, allowing their charge to be determined.

1200 tracking chambers are placed in the muon system in order to precisely measure the tracks of muons with high spatial resolution.

2 5.4 Trigger System

Because of the high collision rate and large amount of data collected by the various subdetectors,

ATLAS produces far more data than can actually be stored. Each event produces around 25 Mb

of raw data, which multiplied by the bunch crossing rate of 40 MHz, comes out to around a

petabyte of data every second. The information from every event cannot practically be stored,

therefore a sophisticated trigger system is employed in real time to determine whether events are

sufficiently interesting to be worth storing.

The trigger system in ATLAS involves multiple levels, each of which select out which
events move on to the next level of scrutiny. The level-1 trigger uses hardware information from
the calorimeters and muon spectrometer to select events that contain candidates for particles
commonly used in analysis, such as energetic leptons and jets. The level-1 trigger reduces the
rate of events from 40 MHz to around 100 kHz.

Events that pass the level-1 trigger move to the High-Level Trigger (HLT). The HLT takes

place outside of the detector in software, and looks for properties such as a large amount of

missing transverse energy, well defined leptons, and multiple high energy jets. Events that pass

the HLT are stored and used for analysis. Because the specifics of the HLT are determined by

software rather than hardware, the thresholds can be changed throughout the run of the detector

in response to run conditions such as changes to pilup and luminosity. After the HLT is applied,

the event rate is reduced to around 1000 per second, which are recorded for analysis.

Part IV

Search for Dimension-Six Operators

6 Data and Monte Carlo Samples

- This study used data collected by the ATLAS detector over the period from 2015-2018, repres-
- enting 138.9 fb^{-1} of data at an energy of 13 TeV.
- Several Monte Carlo generators were used to simulate both signal and background processes. For all of these, the effects of the ATLAS detector are simulated in Geant4.

7 Object Reconstruction

All analysis channels share a common trigger, jet, and lepton definition.

8 Higgs Momentum Reconstruction

- Reconstructing the momentum of the Higgs boson is a particular challenge for channels with
- leptons in the final state: Because all channels include at least two neutrinos in the final state, the
- Higgs can never be fully reconstructed. However, the momentum spectrum can be well predicted
- by a neural network when provided with the four-vectors of the Higgs Boson decay products,

as shown in section 8.1. With this in mind, a sophisticated approach involving several layers of
MVAs is used to reconstruction the Higgs momentum.

The first layer is a boosted decision-tree (BDT) algorithm designed to select which jets
are most likely to be the b-jets that came from the top decay. The kinematics of these jets are fed
into the second layer, also a BDT, which is designed to identify the decay products of the Higgs
Boson itself. The kinematics of these particles are then fed into a deep neural-network, which
predicts the momentum of the Higgs.

8.1 Truth Level Reconstruction

8.2 b-jet Identification

8.3 Higgs Reconstruction

8.4 p_T Prediction

9 Signal Region Definitions

Events are divided into two channels based on the number of leptons in the final state: one with two same-sign leptons, the other with three leptons.

9.1 2lSS

340 **9.2** 31

10 Background Rejection MVA

- Various multi-variate analysis techniques (MVAs) are used in order to distinguish signal from
- background. In particular, BDTs produced with XGBoost are used. Separate MVAs are produced
- for each of the analysis channels being considered: 2ISS, 31 semi-leptonic, and 31 fully leptonic.
- Further, because the background composition differs for events with a high reconstructed Higgs
- p_T compared to events with low reconstructed Higgs p_T, separate MVAs are produced for high
- and low p_T regions.

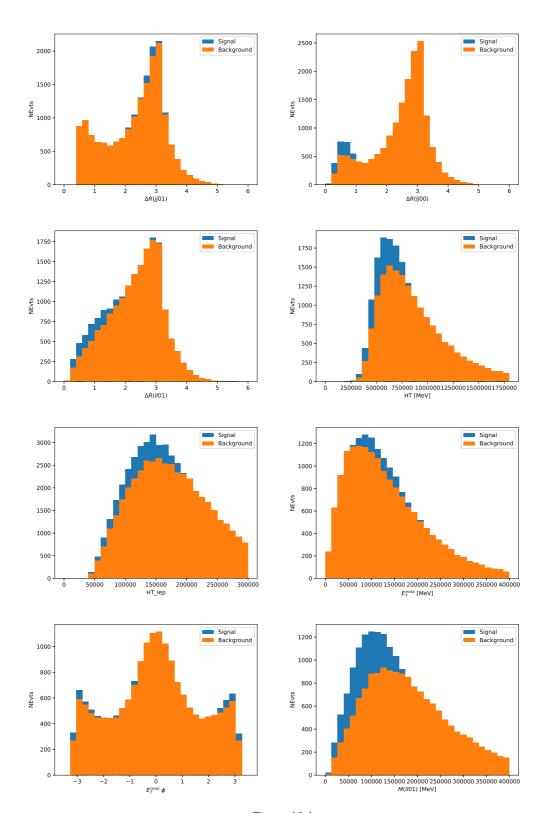


Figure 10.1

348 10.1 2ISS

349 **10.1.1 2ISS - High p**_T

350 10.1.2 2ISS - Low p_T

351 10.2 3l Semi-Leptonic

10.2.1 3l Semi-Leptonic - High p_T

353 10.2.2 3l Semi-Leptonic - Low p_T

354 10.3 3l Fully Leptonic

355 10.3.1 3l Fully Leptonic - High p_T

356 10.3.2 3l Fully Leptonic - Low p_T

11 Systematic Uncertainties

The systematic uncertainties that are considered are summarized in table ??. These are imple-

mented in the fit either as a normalization factors or as a shape variation or both in the signal

and background estimations. The numerical impact of each of these uncertainties is outlined in

section 12.

Table 1: Sources of systematic uncertainty considered in the analysis. Some of the systematic uncertainties are split into several components, as indicated by the number in the rightmost column.

| Systematic uncertainty | Components |
|--|------------|
| Luminosity | 1 |
| Pileup reweighting | 1 |
| Physics Objects | |
| Electron | 6 |
| Muon | 15 |
| Jet energy scale and resolution | 28 |
| Jet vertex fraction | 1 |
| Jet flavor tagging | 131 |
| E^{miss}_{T} | 3 |
| Total (Experimental) | 186 |
| Background Modeling | |
| Cross section | 24 |
| Renormalization and factorization scales | 10 |
| Parton shower and hadronization model | 2 |
| Shower tune | 4 |
| Total (Signal and background modeling) | 40 |
| Background Modeling | |
| Cross section | 24 |
| Renormalization and factorization scales | 10 |
| Parton shower and hadronization model | 2 |
| Shower tune | 4 |
| Total (Signal and background modeling) | 40 |
| Total (Overall) | 226 |

The uncertainty in the combined 2015+2016 integrated luminosity is derived from a calibration of the luminosity scale using x-y beam-separation scans performed in August 2015 and May 2016 [lumi].

The experimental uncertainties are related to the reconstruction and identification of light leptons and and b-tagging of jets, and to the reconstruction of E_T^{miss} . The sources which contribute to the uncertainty in the jet energy scale [**jes**] are decomposed into uncorrelated components and treated as independent sources in the analysis.

The uncertainties in the b-tagging efficiencies measured in dedicated calibration analyses

[btag_cal] are also decomposed into uncorrelated components. The large number of components

for b-tagging is due to the calibration of the distribution of the BDT discriminant.

The systematic uncertainties associated with the signal and background processes are accounted for by varying the cross-section of each process within its uncertainty.

12 Results

A maximum likelihood fit is performed simultaneously over the regions described in section ??.

Part V

Conclusion Conclusion

As search for the effects of dimension-six operators on $t\bar{t}H$ production is performed. An effective field theory approached is used to parametrize the effects of high energy physics on the Higgs momentum spectrum. The momentum spectrum is reconstructed using various MVA techniques, and the limits on dimension-six operators are limited to X.

List of contributions

384

385 Appendices

386 **A**