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A Deep Learning Approach to Differential Measurements of $t\bar{t}H$ Production in Multilepton Final States

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The ATLAS Collaboration

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The possibility of using the kinematic properties of the Higgs boson to search for new physics is investigated using $t\bar{t}H$ events with multiple leptons in the final state. Because of the challenges inherent to reconstructing the Higgs in multilepton final states, a deep learning approach is used to reconstruct the momentum spectrum of the Higgs, which would be altered by the presence of new physics without affecting the overall rate of $t\bar{t}H$ production. Simulations representing 139 fb^{-1} at $\sqrt{s} = 13 \text{ TeV}$ are used to provide estimates of the sensitivity to variations in the Higgs p_T spectrum.

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⁵¹ **1 Changes and outstanding items**

⁵² **1.1 Changelog**

⁵³ This is version 1

54 2 Introduction

55 Since the discovery of a Higgs boson compatible with the Standard Model (SM) in 2012 [1], its
 56 interactions with other particles have been studied using proton-proton collision data produced by
 57 the Large Hadron Collider (LHC). The strongest of these interactions is the coupling of the Higgs
 58 to the top quark, making the Yukawa coupling between these two particles of particular interest
 59 for study.

60 These interactions can be measured directly by studying the production of a Higgs Boson in
 61 association with a pair of Top Quarks ($t\bar{t}H$). While this process has been observed by both the
 62 ATLAS and CMS collaborations, these analyses have focused on measuring the overall rate of $t\bar{t}H$
 63 production. There are several theories of physics Beyond the Standard Model (BSM), however,
 64 that would affect the kinematics of $t\bar{t}H$ production without altering its overall rate [2].

65 An Effective Field Theory approach can be used to model the low energy effects of new, high
 66 energy physics, by parameterizing BSM effects as dimension-six operators. The addition of these
 67 operators can be shown to modify the transverse momentum (p_T) spectrum of the Higgs Boson
 68 [3]. Therefore, reconstructing the momentum spectrum of the Higgs provides a means to observe
 69 new physics in the Higgs sector.

70 This note reports on the feasibility of measuring the impact of dimension-six operators in $t\bar{t}H$
 71 events with multiple leptons in the final state, using Monte Carlo (MC) simulations scaled to
 72 139 fb^{-1} at an energy $\sqrt{s} = 13 \text{ TeV}$. Events are separated into channels based on the number
 73 of light leptons (electrons and muons) in the final state - either two same-sign leptons (2lSS),
 74 or three leptons (3l). A deep neural network is used to identify which objects originate from
 75 the decay of the Higgs, and reconstruct the momentum of the Higgs Boson in each event. This
 76 reconstructed momentum spectrum is used to place limits on BSM effects, and on the parameters
 77 of dimension-six operators.

78 This note is organized as follows: The dataset and Monte Carlo (MC) simulations used in the
 79 analysis is outlined in Section 3. Section 4 describes the identification and reconstruction of the
 80 various physics objects. The models used to reconstruct the momentum spectrum of the Higgs
 81 is discussed in Section 5. The selection and categorisation of events comprises Section 6, and
 82 the theoretical and experimental systematic uncertainties considered are described in Section 7.
 83 Finally, the results of the study are summarized in Section 8.

84 3 Data and Monte Carlo Samples

85 For both data and Monte Carlo (MC) simulations, samples were prepared in the xAOD format,
 86 which was used to produce a xAOD based on the HIGG8D1 derivation framework. This framework
 87 was designed for the main $t\bar{t}H$ multi-lepton analysis. Because this analysis targets events with
 88 multiple light leptons, as well as tau hadrons, this framework skims the dataset of any events that
 89 do not meet at least one of the following requirements:

- at least two light leptons within a range $|\eta| < 2.6$, with leading lepton $p_T > 15 \text{ GeV}$ and subleading lepton $p_T > 5 \text{ GeV}$
- at least one light lepton with $p_T > 15 \text{ GeV}$ within a range $|\eta| < 2.6$, and at least two hadronic taus with $p_T > 15 \text{ GeV}$.

Samples were then generated from these HIGG8D1 derivations using AnalysisBase version 21.2.127. A ptag of p4133 was used for MC samples, and p4134 for data.

3.1 Data Samples

The study uses proton-proton collision data collected by the ATLAS detector from 2015 through 2018, which represents an integrated luminosity of 139 fb^{-1} and an energy of $\sqrt{s} = 13 \text{ TeV}$. All data used in this analysis was included in one of the following Good Run Lists:

- data15_13TeV.periodAllYear_DetStatus-v79-repro20-02_DQDefects-00-02-02
_PHYS_StandardGRL_All_Good_25ns.xml
- data16_13TeV.periodAllYear_DetStatus-v88-pro20-21_DQDefects-00-02-04
_PHYS_StandardGRL_All_Good_25ns.xml
- data17_13TeV.periodAllYear_DetStatus-v97-pro21-13_Unknown_PHYS_StandardGRL
_All_Good_25ns_Triggerno17e33prim.xml
- data18_13TeV.periodAllYear_DetStatus-v102-pro22-04_Unknown_PHYS_StandardGRL
_All_Good_25ns_Triggerno17e33prim.xml

3.2 Monte Carlo Samples

Several Monte Carlo (MC) generators were used to simulate both signal and background processes. For all of these, the effects of the ATLAS detector are simulated in Geant4. The specific event generator used for each of these MC samples is listed in Table 1.

Table 1: The configurations used for event generation of signal and background processes, including the event generator, matrix element (ME) order, parton shower algorithm, and parton distribution function (PDF).

Process	Event generator	ME order	Parton Shower	PDF
t̄H	MG5_AMC (MG5_AMC)	NLO (NLO)	PYTHIA 8 (HERWIG++)	NNPDF 3.0 NLO [Ball:2014uwa] (CT10 [ct10])
t̄W	MG5_AMC (SHERPA 2.1.1)	NLO (LO multileg)	PYTHIA 8 (SHERPA)	NNPDF 3.0 NLO (NNPDF 3.0 NLO)
t̄(Z/γ* → ll)	MG5_AMC	NLO	PYTHIA 8	NNPDF 3.0 NLO
VV	SHERPA 2.2.2	MEPS NLO	SHERPA	CT10
t̄t	POWHEG-BOX v2 [powheggtt]	NLO	PYTHIA 8	NNPDF 3.0 NLO
t̄γ	MG5_AMC	LO	PYTHIA 8	NNPDF 2.3 LO
tZ	MG5_AMC	LO	PYTHIA 6	CTEQ6L1
tHqb	MG5_AMC	LO	PYTHIA 8	CT10
tHW	MG5_AMC (SHERPA 2.1.1)	NLO (LO multileg)	HERWIG++ (SHERPA)	CT10 (NNPDF 3.0 NLO)
tWZ	MG5_AMC	NLO	PYTHIA 8	NNPDF 2.3 LO
t̄t, t̄t̄t̄	MG5_AMC	LO	PYTHIA 8	NNPDF 2.3 LO
t̄tW+W-	MG5_AMC	LO	PYTHIA 8	NNPDF 2.3 LO
s-, t-channel, Wt single top	Powheg-Box v1 [powhegstp]	NLO	PYTHIA 6	CT10
qqVV, VVV				
Z → l+l-	SHERPA 2.2.1	MEPS NLO	SHERPA	NNPDF 3.0 NLO

112 As explained in detail in [6], the t̄W contribution predicted by MC is found disagree significantly
 113 with what is observed in data. While an effort is currently being undertaken to measure t̄W
 114 more accurately, the approach used by the 80 fb⁻¹ t̄H analysis is used for this analysis: A
 115 normalization factor of 1.68 is applied to the MC estimate of t̄W. Additionally, systematic
 116 uncertainties are applied to account for this modelling discrepancy, as outlined in Section 7.

117 While the main t̄H analysis uses a more sophisticated data-driven approach to estimating the
 118 contribution of events with non-prompt leptons (or "fakes"), at the time of this note this strategy has
 119 not been completely developed for the full Run-2 dataset. Therefore, the non-prompt contribution
 120 is estimated with MC, while applying normalization corrections and systematic uncertainties
 121 derived from data driven techniques developed for the 80 fb⁻¹ t̄H/t̄W analysis [6]. The
 122 primary contribution to the non-prompt lepton background is from t̄ production, with V+jets
 123 and single-top as much smaller sources. Likelihood fits over several control regions enriched with
 124 these non-prompt backgrounds are fit to data in order to derive normalization factors for these
 125 backgrounds. The specific normalization factors and uncertainties applied to the non-prompt
 126 contributions are listed in Section 7.

127 The specific DSIDs used in the analysis are listed below:

Sample	DSID
tH	345873-5, 346343-5
VV	364250-364254, 364255, 363355-60, 364890
tW	413008
tZ	410156, 410157, 410218-20
low mass tZ	410276-8
Rare Top	410397, 410398, 410399
single Top	410658-9, 410644-5
three Top	304014
four Top	410080
tWW	410081
Z + jets	364100-41
low mass Z + jets	364198-215
W + jets	364156-97
V γ	364500-35
tZ	410560
tW	410013-4
WtZ	410408
VVV	364242-9
VH	342284-5
WtH	341998
t $\bar{t}\gamma$	410389
t \bar{t}	410470

Table 2: List of Monte Carlo samples by data set ID used in the analysis.

128 4 Object Reconstruction

129 All analysis channels considered in this note share a common object selection for leptons and jets,
 130 as well as a shared trigger selection.

131 4.1 Trigger Requirements

132 Events are required to be selected by dilepton triggers, as summarized in Table 3.

Dilepton triggers (2015)	
$\mu\mu$ (asymm.)	HLT_mu18_mu8noL1
ee (symm.)	HLT_2e12_lhloose_L12EM10VH
$e\mu, \mu e$ (\sim symm.)	HLT_e17_lhloose_mu14
Dilepton triggers (2016)	
$\mu\mu$ (asymm.)	HLT_mu22_mu8noL1
ee (symm.)	HLT_2e17_lhvloose_nod0
$e\mu, \mu e$ (\sim symm.)	HLT_e17_lhloose_nod0_mu14
Dilepton triggers (2017)	
$\mu\mu$ (asymm.)	HLT_mu22_mu8noL1
ee (symm.)	HLT_2e24_lhvloose_nod0
$e\mu, \mu e$ (\sim symm.)	HLT_e17_lhloose_nod0_mu14
Dilepton triggers (2018)	
$\mu\mu$ (asymm.)	HLT_mu22_mu8noL1
ee (symm.)	HLT_2e24_lhvloose_nod0
$e\mu, \mu e$ (\sim symm.)	HLT_e17_lhloose_nod0_mu14

Table 3: List of lowest p_T -threshold, un-prescaled dilepton triggers used for 2015–2018 data taking.

4.2 Light Leptons

Electron candidates are reconstructed from energy clusters in the electromagnetic calorimeter that are associated with charged particle tracks reconstructed in the inner detector [4]. Electron candidates are required to have $p_T > 10$ GeV and $|\eta_{\text{cluster}}| < 2.47$. Candidates in the transition region between different electromagnetic calorimeter components, $1.37 < |\eta_{\text{cluster}}| < 1.52$, are rejected. A multivariate likelihood discriminant combining shower shape and track information is used to distinguish prompt electrons from nonprompt leptons, such as those originating from hadronic showers. Electron candidate are also required to pass TightLH identification.

To further reduce the non-prompt contribution, the track of each electron is required to originate from the primary vertex; requirements are imposed on the transverse impact parameter significance ($|d_0|/\sigma_{d_0}$) and the longitudinal impact parameter ($|\Delta z_0 \sin \theta_\ell|$).

Muon candidates are reconstructed by combining inner detector tracks with track segments or full tracks in the muon spectrometer [5]. Muon candidates are required to have $p_T > 10$ GeV and $|\eta| < 2.5$. Muons are required to Medium ID requirements.

All leptons are required to pass a non-prompt BDT selection developed by the main $t\bar{t}H - ML/t\bar{t}W$ analysis, described in detail in [6]. Optimized working points and scale factors for this BDT are taken from that analysis. This BDT and the WPs used are summarized in Appendix ??,

150 **4.3 Jets**

151 Jets are reconstructed from calibrated topological clusters built from energy deposits in the
 152 calorimeters [7], using the anti- k_t algorithm with a radius parameter $R = 0.4$. Particle Flow, or
 153 PFlow, jets are used in the analysis, which are hadronic objects reconstructed using information
 154 from both the tracker and the calorimeter. Jets with energy contributions likely arising from noise
 155 or detector effects are removed from consideration [8], and only jets satisfying $p_T > 25$ GeV
 156 and $|\eta| < 2.5$ are used in this analysis. For jets with $p_T < 60$ GeV and $|\eta| < 2.4$, a jet-track
 157 association algorithm is used to confirm that the jet originates from the selected primary vertex,
 158 in order to reject jets arising from pileup collisions [9].

159 **4.4 B-tagged Jets**

160 Each analysis channel used in this analysis includes b-jets in the final state. These are identified
 161 using the DL1r b-tagging algorithm, which uses jet vertex and kinematic information to distinguish
 162 heavy and light flavored jets. These features are used as inputs to a neural network, the output
 163 of which is used to form calibrated working points (WPs) based on how likely a jet is to have
 164 originated from a b-quark. This analysis uses the 70% DL1r WP - implying an efficiency of 70%
 165 for truth b-jets - for selecting b-tagged jets.

166 **4.5 Missing Transverse Energy**

167 Because all $t\bar{t}H - ML$ channels considered include multiple neutrinos, missing transverse energy
 168 (E_T^{miss}) is present in each event. The missing transverse momentum vector is defined as the
 169 inverse of the sum of the transverse momenta of all reconstructed physics objects as well as
 170 remaining unclustered energy, the latter of which is estimated from low- p_T tracks associated with
 171 the primary vertex but not assigned to a hard object [10].

172 **4.6 Overlap removal**

173 To avoid double counting objects and remove leptons originating from decays of hadrons, overlap
 174 removal is performed in the following order: any electron candidate within $\Delta R = 0.1$ of another
 175 electron candidate with higher p_T is removed; any electron candidate within $\Delta R = 0.1$ of a muon
 176 candidate is removed; any jet within $\Delta R = 0.3$ of an electron candidate is removed; if a muon
 177 candidate and a jet lie within $\Delta R = \min(0.4, 0.04 + 10[\text{GeV}] / p_T(\text{muon}))$ of each other, the jet
 178 is kept and the muon is removed.

179 This algorithm is applied to the preselected objects. The overlap removal procedure is summarized
 180 in Table 4.

Keep	Remove	Cone size (ΔR)
electron	electron (low p_T)	0.1
muon	electron	0.1
electron	jet	0.3
jet	muon	$\min(0.4, 0.04 + 10[\text{GeV}]/p_T(\text{muon}))$
electron	tau	0.2

Table 4: Summary of the overlap removal procedure between electrons, muons, and jets.

5 Higgs Momentum Reconstruction

Reconstructing the momentum of the Higgs boson is a particular challenge for channels with leptons in the final state: Because all channels include at least two neutrinos in the final state, the Higgs can never be fully reconstructed. However, the momentum spectrum can be well predicted by a neural network when provided with the four-vectors of the Higgs Boson decay products, as shown in Section 5.1. With this in mind, several layers of MVAs are used to reconstruction the Higgs momentum.

The first layer is a model designed to select which jets are most likely to be the b-jets that came from the top decay, detailed in Section 5.2. As described in Section 5.3, the kinematics of these jets and possible Higgs decay products are fed into the second layer, which is designed to identify the decay products of the Higgs Boson itself. The kinematics of the particles this layer identifies as most likely to have originated from the Higgs decay are then fed into yet another neural-network, which predicts the momentum of the Higgs (5.4). For the 3l channel, an additional MVA is used to determine the decay mode of the Higgs boson in the 3l channel (5.5).

Models are trained on Monte Carlo simulations of $t\bar{t}H$ events generated using MG5_AMC. Specifically, events DSIDs 346343-5, 345873-5, and 345672-4 are used for training in order to increase the statistics of the training sample.

For all of these models, the Keras neural network framework, with Tensorflow 2.0 as the backend, is used, and the number of hidden layers and nodes are determined using grid search optimization. Each neural network uses the LeakyReLU activation function, a learning rate of 0.01, and the Adam optimization algorithm, as alternatives are found to either decrease or have no impact on performance. Batch normalization is applied after each layer in order to stabilize the model and decrease training time. For the classification algorithms (b-jet matching, Higgs reconstruction, and 3l decay identification) binary-cross entropy is used as the loss function, while the p_T reconstruction algorithm uses MSE.

The specific inputs features used for each model are arrived at through a process of trial and error - features considered potentially useful are tried, and those that are found to increase performance are included. While each model includes a relatively large number of features, some using upwards of 30, this inclusive approach is found to maximize the performance of each model while

210 decreasing the variance compared to a reduced number of inputs. Each input feature is validated
 211 by comparing MC simulations to 80 fb^{-1} of data, as shown in the sections below.

212 5.1 Higgs Decay Product Identification

213 Machine Learning algorithms are trained to identify the decay products of the Higgs Boson using
 214 MC simulations of $t\bar{t}H$ events. The kinematics of the reconstructed physics objects, as well as
 215 event level variables, are used as inputs, with the parent ID taken from the truth record used to
 216 label the data. The objects considered include light leptons and jets.

217 Reconstructed physics objects are matched to particle level objects, in order to identify the parent
 218 particle of these reconstructed objects. Reconstructed jets are matched to truth jets based on
 219 the requirements that the reco jet and truth jet fall within $\Delta R < 0.4$, and the two objects have
 220 a p_T that agrees within 10%. Truth level and reco level leptons are required to have the same
 221 flavor, a $\Delta R < 0.1$, and p_T that agree within 10%. Events where no match can be found between
 222 the particle level decay products of the Higgs and the reconstructed objects are not included in
 223 training.

224 Leptons considered as possible Higgs and top decay candidates are required to pass the selection
 225 described in Section 4.2. For jets, however, it is found that a large fraction that originate from either
 226 the top decay or the Higgs decay fall outside the selection described in Section 4.3. Specifically,
 227 jets from the Higgs decay tend to be soft, with 32% having $p_T < 25 \text{ GeV}$. Therefore jets with p_T
 228 $< 15 \text{ GeV}$ are considered as possible candidates in the models described below. By contrast, less
 229 than 5% of the jets originating from the Higgs fall below this p_T threshold. The jets are found to
 230 be well modeled even down to this low p_T threshold, as shown in Section 6.1. The impact of
 231 using different p_T selection for the jet candidates is considered in detail in Section A.5. As they
 232 are expected to originate from the primary vertex, jets are also required to pass a JVT cut.

233 5.2 b-jet Identification

234 Including the kinematics of the b-jets that originate from the top decay is found to improve the
 235 identification of the Higgs decay products, and improve the accuracy with which the Higgs
 236 momentum can be reconstructed. Because these b-jets are reconstructed by the detector with high
 237 efficiency (just over 90% of the time), and can be identified relatively consistently, the first step in
 238 reconstructing the Higgs is selecting the b-jets from the top decay.

239 Exactly two b-jets are expected in the final state of $t\bar{t}H - \text{ML}$ events. However, in both the 3l and
 240 2lSS channels, only one or more b-tagged jets are required (where the 70% DL1r b-tag working
 241 point is used). Therefore, for events which have exactly one, or more than two, b-tagged jets,
 242 deciding which combination of jets correspond to the top decay is non-trivial. Further, events
 243 with 1 b-tagged jet represent just over half of all $t\bar{t}H - \text{ML}$ events. Of those, both b-jets are
 244 reconstructed by the detector 75% of the time. Therefore, rather than adjusting the selection to

245 require exactly 2 b-tagged jets, and losing more than half of the signal events, a neural network is
 246 used to predict which pair of jets is most likely to correspond to truth b-jets.

247 Once the network is trained, all possible pairings of jets are fed into the model, and the pair of jets
 248 with the highest output score are taken to be b-jets in successive steps of the analysis.

249 **5.2.1 2ISS Channel**

250 For the 2ISS channel, the input features shown in Table 5 are used for training. Here j_0 and j_1 are
 251 the two jet candidates, while l_0 and l_1 are the two leptons in the event, both ordered by p_T . jet DL1r is an integer corresponding to the calibrated b-tagging working points reached by each jet,
 252 where 5 represents the tightest working point and 1 represents the loosest. The variables $n\text{Jets}$
 253 DL1r 60\% and $n\text{Jets DL1r 85\%}$ represent the number of jets in the event passing the 60% and
 254 85% b-tag working points, respectively.

jet p_T 0	jet p_T 1	Lepton p_T 0
Lepton p_T 1	jet η 0	jet η 1
$\Delta R(j_0)(j_1)$	$M(j_0 j_1)$	$\Delta R(l_0)(j_0)$
$\Delta R(l_0)(j_1)$	$\Delta R(l_1)(j_0)$	$\Delta R(l_1)(j_1)$
$M(l_0 j_0)$	$M(l_0 j_1)$	$M(l_1 j_0)$
$M(l_1 j_1)$	jet DL1r 0	jet DL1r 1
$n\text{Jets OR DL1r 85}$	$n\text{Jets OR DL1r 60}$	$\Delta R(j_0 l_0)(j_1 l_1)$
$\Delta R(j_0 l_1)(j_1 l_0)$	$p_T(j_0 j_1 l_0 l_1 E_T^{\text{miss}})$	$M(j_0 j_1 l_0 l_1 E_T^{\text{miss}})$
$\Delta\phi(j_0)(E_T^{\text{miss}})$	$\Delta\phi(j_1)(E_T^{\text{miss}})$	HT jets
$n\text{Jets}$	E_T^{miss}	

Table 5: Input features used in the b-jet identification algorithm for the 2ISS channel

256 As there are far more incorrect combinations than correct ones, by a factor of more than 20:1, the
 257 training set is resampled to reduce the fraction of incorrect combinations. A random sample of 5
 258 million incorrect entries are used for training, along with close 1 million correct entries. 10% of
 259 the dataset is set aside for testing, leaving around 5 million datapoints for training.

260 The difference between the distributions for a few of these features for the "correct" (i.e. both jets
 261 are truth b-jets), and "incorrect" combinations are shown in Figure 5.1. The correct and incorrect
 262 contributions are scaled to the same integral, so as to better demonstrate the differences in the
 263 distributions.

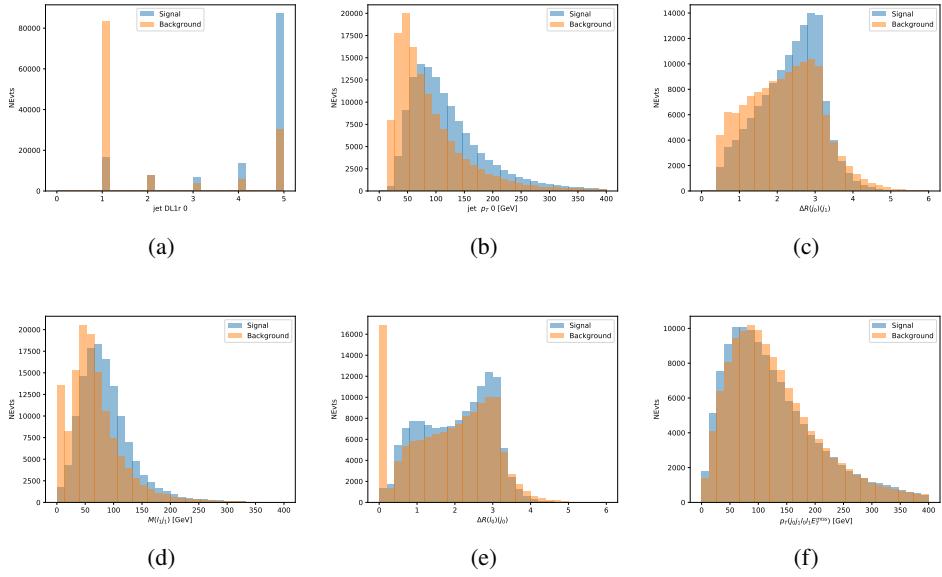


Figure 5.1: Input features for top2ISS training. The signal in blue represents events where both jet candidates are truth b-jets from top decays, and the orange is all other combinations. Each are scaled to the same number of events. (a) shows the DL1r working point of leading jet, (b) shows the p_T of the leading jet, (c) shows the ΔR of the two jets, (d) the invariant mass of lepton 1 and jet 1, (e) the ΔR of lepton 0 and jet 0, and (f) the p_T of both jets, both leptons, and the E_T^{miss} .

264 The modeling of these inputs is validated against data, with Figure 5.2 showing good general
265 agreement between data and MC. Plots for the complete list of features can be found in Section A.

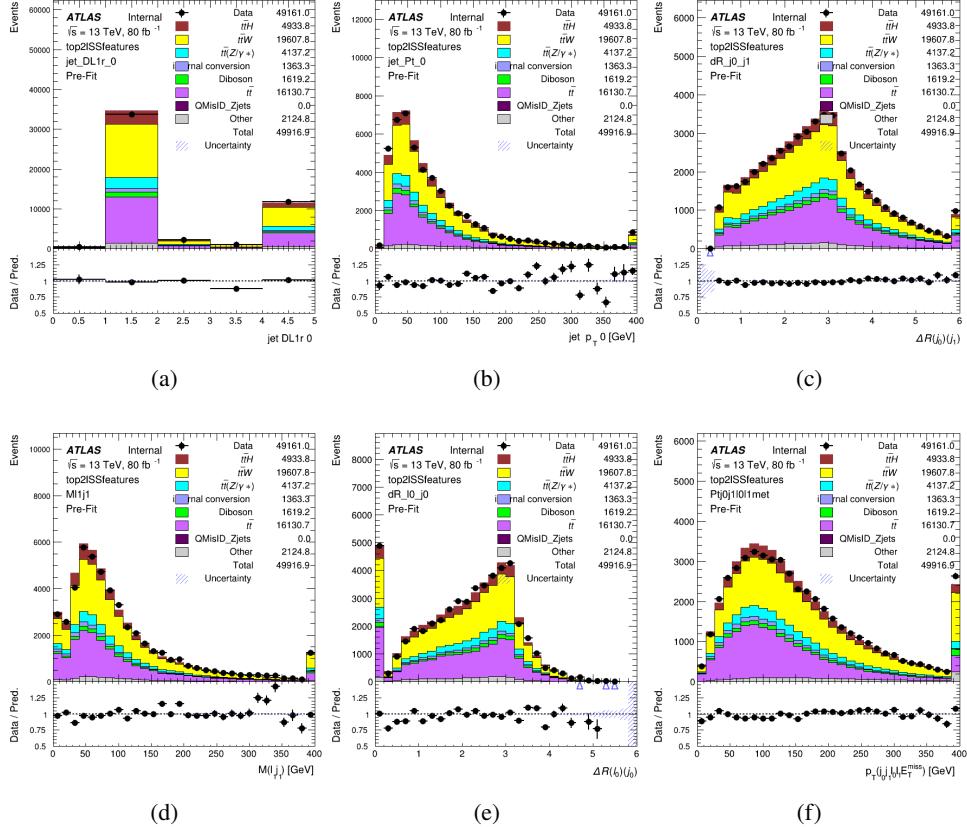


Figure 5.2: Data/MC comparisons of input features for top2lSS training for 80 fb^{-1} of data. (a) shows the DL1r working point of leading jet, (b) shows the p_T of the leading jet, (c) shows the ΔR of the two jets, (d) the invariant mass of lepton 1 and jet 1, (e) the ΔR of lepton 0 and jet 0, and (f) the p_T of both jets, both leptons, and the E_T^{miss} .

Based on the results of grid search evaluation, the optimal architecture is found to include 5 hidden layers with 40 nodes each. No regularizer or dropout is added to the network, as overfitting is found to not be an issue. The output score distribution as well as the ROC curve for the trained model are shown in Figure 5.2.1. The model is found to identify the correct pairing of jets for 73% of 2lSS signal events on test data.

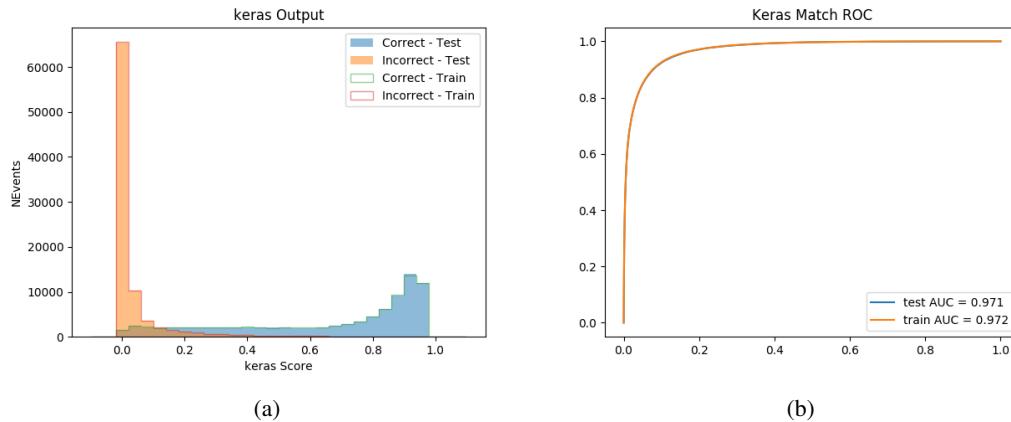


Figure 5.3: Results of the b-jet identification algorithm for the 2lSS channel, showing (a) the output score of the NN for correct and incorrect combinations of jets. (b) the ROC curve of the output, showing background rejection as a function of signal efficiency

For point of comparison, a "naive" approach to identify b-jets is used as well: The two jets which pass the highest DL1r b-tag working point are assumed to be the b-jets from the top decay. In the case that multiple jets meet the same b-tag working point, the jet with higher p_T is used. This method identifies the correct jet pair 65% of the time.

275 The accuracy of the model for different values of n-bjets, compared to this naive approach, is
276 shown in Table 6.

b-jet Selection	Neural Network	Naive
1 b-jet	58.6%	42.1%
2 b-jets	88.4%	87.1%
≥ 3 b-jets	61.7%	53.3%
Overall	73.9%	67.2%

Table 6: Accuracy of the NN in identifying b-jets from tops in 2ISS events, overall and split by the number of b-tagged jets in the event, compared to the accuracy of taking the two highest b-tagged jets.

277 5.2.2 3l Channel

²⁷⁸ The input features used in the 31 channel are listed in Table 7, with the same naming convention
²⁷⁹ as the 2ISS channel.

jet p_T 0	jet p_T 1	jet η 0
jet η 1	Lepton p_T 0	Lepton p_T 1
Lepton p_T 2	$\Delta R(j_0)(j_1)$	$M(j_0 j_1)$
$\Delta R(l_0)(j_0)$	$\Delta R(l_1)(j_0)$	$\Delta R(l_2)(j_0)$
$\Delta R(l_0)(j_1)$	$\Delta R(l_1)(j_1)$	$\Delta R(l_2)(j_1)$
$M(l_0 j_0)$	$M(l_1 j_0)$	$M(l_2 j_0)$
$M(l_0 j_1)$	$M(l_1 j_1)$	$M(l_2 j_1)$
$\Delta R(j_0 l_0)(j_1 l_1)$	$\Delta R(j_0 l_0)(j_1 l_2)$	$\Delta R(j_0 l_1)(j_1 l_0)$
$\Delta R(j_0 l_2)(j_1 l_0)$	jet DL1r 0	jet DL1r 1
$p_T(j_0 j_1 l_0 l_1 l_2 E_T^{\text{miss}})$	$M(t j_0 j_1 l_0 l_1 l_2 E_T^{\text{miss}})$	$\Delta\phi(j_0)(E_T^{\text{miss}})$
$\Delta\phi(j_1)(E_T^{\text{miss}})$	HT Lepton	HT jets
nJets	E_T^{miss}	nJets OR DL1r 85
nJets OR DL1r 60		

Table 7: Input features for the b-jet identification algorithm in the 3l channel.

280 A few of these features are shown in Figure 5.4, comparing the distributions for correct and
 281 incorrect combinations of jets.

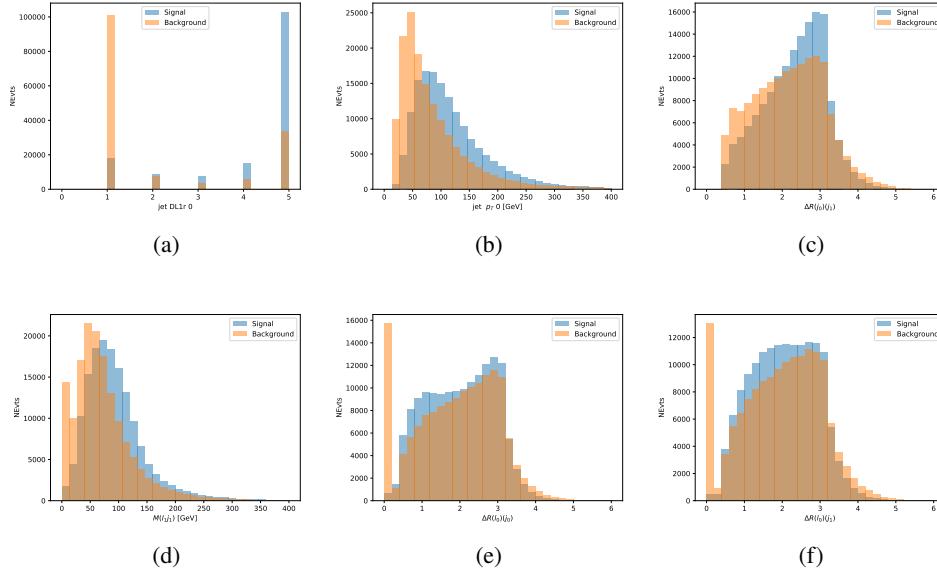


Figure 5.4: Input features for top3l training. The signal in blue represents events where both jet candidates are truth b-jets from top decays, and the orange is all other combinations. Scaled to the same number of events. (a) show the DL1r WP of jet 0, (b) the p_T of jet 0, (c) ΔR between jet 0 and jet 1, (d) the invariant mass of lepton 1 and jet 1, (e) ΔR between lepton 0 and jet 0, and (f) ΔR between lepton 0 and jet 1

282 The modeling of these inputs is validated against data, with Figure 5.5 showing good general
 283 agreement between data and MC. Plots for the complete list of features can be found in Section A.

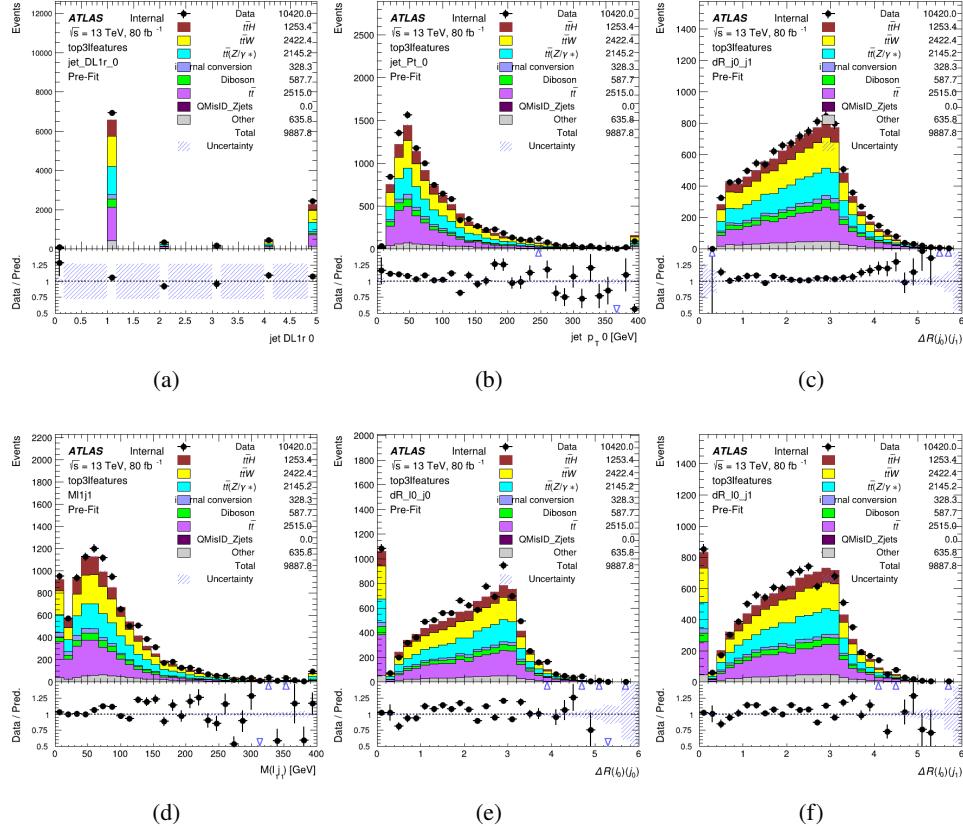


Figure 5.5: Data/MC comparisons of input features for top31 training for 80 fb^{-1} of data. (a) show the DL1r WP of jet 0, (b) the p_T of jet 0, (c) ΔR between jet 0 and jet 1, (d) the invariant mass of lepton 1 and jet 1, (e) ΔR between lepton 0 and jet 0, and (f) ΔR between lepton 0 and jet 1

284 Again, the dataset is downsized to reduce the ratio of correct and incorrect combination from 20:1,
 285 to 5:1. Around 7 million events are used for training, with 10% set aside for testing. Based on the
 286 results of grid search evaluation, the optimal architecture is found to include 5 hidden layers with
 287 60 nodes each. The output score distribution as well as the ROC curve for the trained model are
 288 shown in Figure 5.2.2.

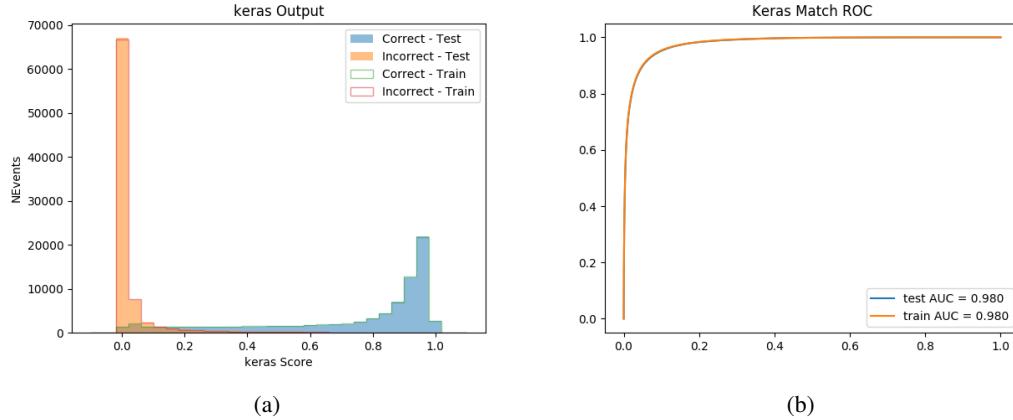


Figure 5.6: Results of the b-jet identification algorithm for the 3l channel, showing (a) the output score of the NN for correct and incorrect combinations of jets. (b) the ROC curve of the output, showing background rejection as a function of signal efficiency

289 This procedure is found to identify the correct pairing of jets for nearly 80% of 3l signal events.
 290 The accuracy of the model is summarized in Table 8.

Table 8: Accuracy of the NN in identifying b-jets from tops, compared to the naive method of taking the highest b-tagged jets.

	NN	Naive
1 b-jet	69.0%	48.9%
2 b-jets	89.6%	88.3%
≥ 3 b-jets	55.7%	52.3%
Overall	79.8%	70.2%

291 5.3 Higgs Reconstruction

292 Techniques similar to the b-jet identification algorithms are employed to select the decay products
 293 of the Higgs: kinematics of all possible combinations of reconstructed objects are fed into a neural
 294 network to determine which of those is most mostly to be the decay products of the Higgs.

295 Again separate models are used for the 2lSS and 3l channels, while the 3l channel has now been
 296 split into two: $t\bar{t}H$ events with three leptons in the final state include both instances where the
 297 Higgs decays into a lepton (and a neutrino) and a pair of jets, and instances where the Higgs
 298 decays to two leptons.

299 3l events are therefore categorized as either semi-leptonic (3lS) or fully-leptonic (3lF). In the
 300 semi-leptonic case the reconstructed decay products consist of two jets and a single leptons. For

301 the fully-leptonic case, the decay products include 2 of the three leptons associated with the
302 event. For training the models, events are separated into these two categories using truth level
303 information. A separate MVA, described in Section 5.5, is used to make this distinction at reco
304 level and determine which model to use.

305 For all channels, the models described in Section 5.2 are used to identify b-jet candidates, whose
306 kinematics are used to identify the Higgs decay products. These jets are not considered as possible
307 candidates for the Higgs decay, justified by the fact that these models are found to misidentify jets
308 from the Higgs decay as jets from the top decay less than 1% of the time.

309 **5.3.1 2lSS Channel**

310 For the 2lSS channel, the Higgs decay products include one light lepton and two jets. The neural
311 network is trained on the kinematics of different combinations of leptons and jets, as well as the
312 b-jets identified in Section 5.2, with the specific input features listed in Table 9.

Lepton p_T H	Lepton p_T T	jet p_T 0
jet p_T 1	top p_T 0	top p_T 1
top η 0	top η 1	jet η 0
jet η 1	jet Phi 0	jet Phi 1
Lepton η H	Lepton heta T	$\Delta R(j_0)(j_1)$
$\Delta R(l_H)(j_0)$	$\Delta R(l_H)(j_1)$	$M(j_0 j_1)$
$M(l_H j_0)$	$M(l_H j_1)$	$\Delta R(l_H)(b_0)$
$\Delta R(l_H)(b_1)$	$\Delta R(l_T)(b_0)$	$\Delta R(l_T)(b_1)$
$\Delta R(j_0 j_1)(l_H)$	$\Delta R(j_0 j_1)(l_T)$	$\Delta R(j_0 j_1)(b_0)$
$\Delta R(j_0 j_1)(b_1)$	$\Delta R(j_0)(b_0)$	$\Delta R(j_0)(b_1)$
$\Delta R(j_1)(b_0)$	$\Delta R(j_1)(b_1)$	$M(j_0 j_1 l_H)$
$p_T(j_0 j_1 l_H l_T b_0 b_1 E_T^{\text{miss}})$	topScore	E_T^{miss}
nJets	HT jets	

Table 9: Input features used to identify the Higgs decay products in 2lSS events

313 Here j_0 and j_1 , and l_H are the jet and lepton decay candidates, respectively. The other lepton in
 314 the event is labeled l_T , as it is assumed to have come from the decay of one of the top quarks. b_0
 315 and b_1 are the two b-jets identified by the b-jet identification algorithm. The b-jet Reco Score is
 316 the output of the b-jet reconstruction algorithm.

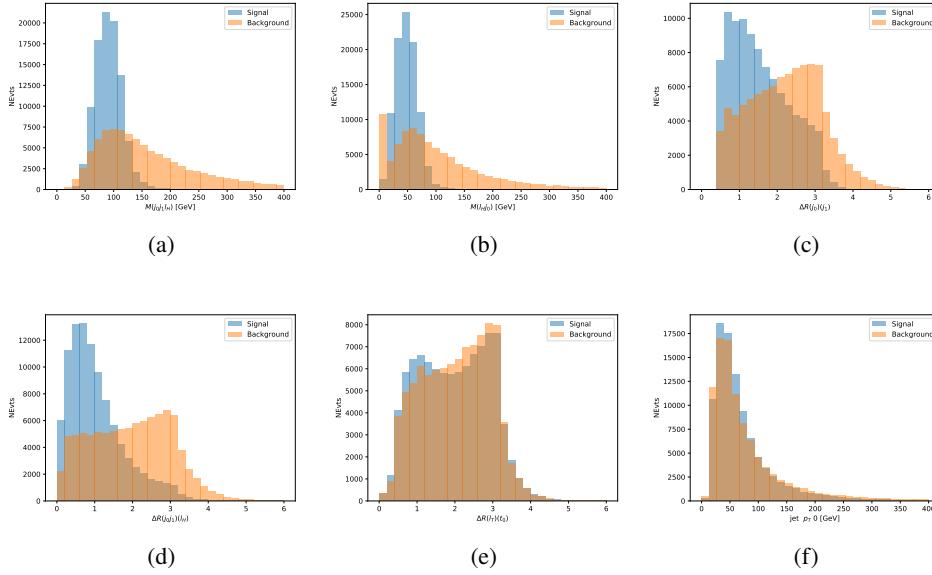


Figure 5.7: Input features for higgs2ISS training. The signal in blue represents events where both jet candidates are truth b-jets from top decays, and the orange is all other combinations. Scaled to the same number of events. (a) shows the invariant mass of the two jet candidates and the lepton candidate, (b) the invariant mass of jet 0 and the lepton candidate, (c) ΔR between the jet candidates, (d) ΔR between jet 0 + jet 1 and the lepton candidate, (e) ΔR between the lepton from the top and the leading b-jet, (f) the p_T of jet 0.

317 The modeling of these inputs is validated against data, with Figure 5.2 showing good general
 318 agreement between data and MC. Plots for the complete list of features can be found in Section A.

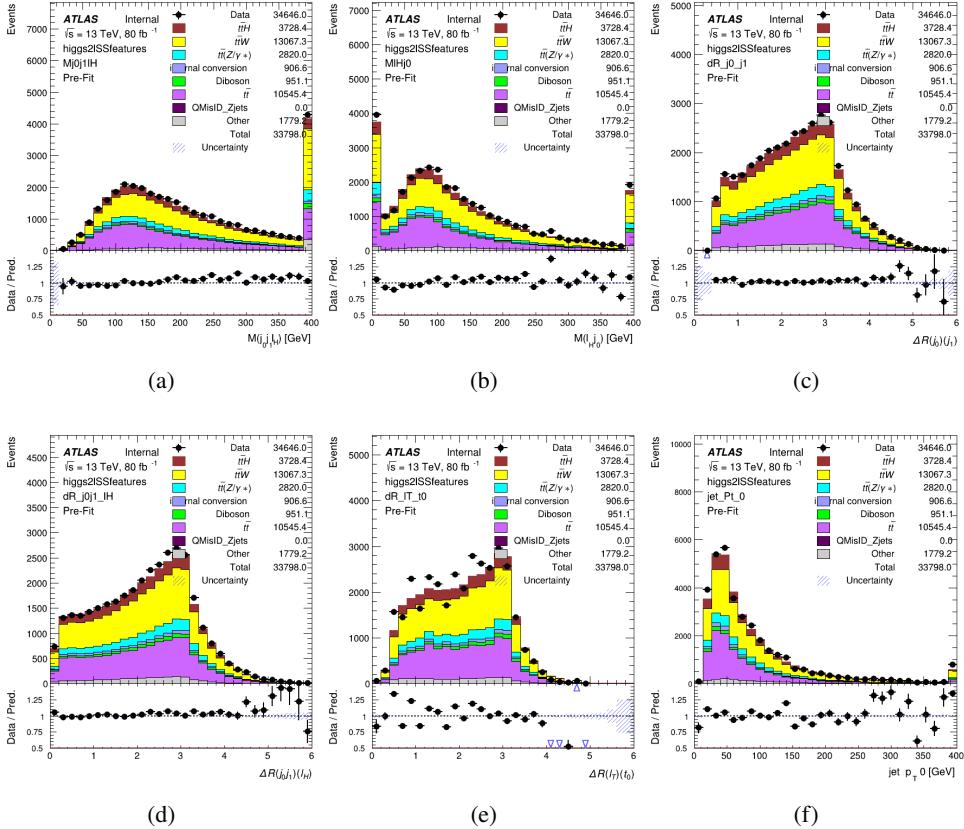


Figure 5.8: Data/MC comparisons of input features for higgs2ISS training for 80 fb^{-1} of data. (a) shows the invariant mass of the two jet candidates and the lepton candidate, (b) the invariant mass of jet 0 and the lepton candidate, (c) ΔR between the jet candidates, (d) ΔR between jet 0 + jet 1 and the lepton candidate, (e) ΔR between the lepton from the top and the leading b-jet, (f) the p_T of jet 0.

319 A neural network consisting of 7 hidden layers with 60 nodes each is trained on around 2 million
 320 events, with an additional 200,000 reserved for testing the model. In order to compensate for
 321 large number of incorrect combinations, these have been downsampled such that the correct
 322 combinations represent over 10% of the training set. The output of the NN is summarized in
 323 Figure 5.3.1.

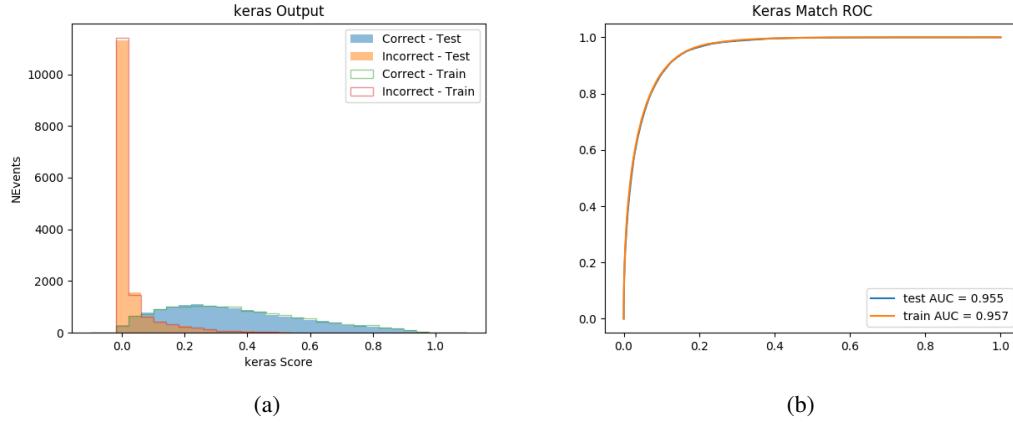


Figure 5.9: Result of the Higgs reconstruction algorithm in the 2lSS channel, showing (a) the output score of the NN for correct and incorrect combinations of jets scaled to an equal number of events, and (b) the ROC curve of the output, showing background rejection as a function of signal efficiency

324 The neural network identifies the correct combination 55% of the time. It identifies the correct
 325 lepton 85% of the time, and selects the correct lepton and at least one of the correct jets 81% of
 326 the time.

327 5.3.2 3l Semi-leptonic Channel

328 For 3l $t\bar{t}H$ where the Higgs decay semi-leptonically, the decay products include one of the three
 329 leptons and two jets. In this case, the other two leptons originated from the decay of the tops,
 330 meaning the opposite-sign (OS) lepton cannot have come from the Higgs. This leaves only the two
 331 same-sign (SS) leptons as possible Higgs decay products.

Lepton p_T H	Lepton p_T T_0	Lepton p_T T_1
jet p_T 0	jet p_T 1	top p_T 0
top p_T 1	jet η 0	jet η 1
jet ϕ 0	jet ϕ 1	$\Delta R(j_0)(j_1)$
$M(j_0j_1)$	$\Delta R(l_H)(j_0)$	$\Delta R(l_H)(j_1)$
$\Delta R(j_0j_1)(l_H)$	$\Delta R(j_0j_1)(l_{T_1})$	$\Delta R(l_{T_0})(l_{T_1})$
$\Delta R(l_H)(l_{T_1})$	$M(j_0j_1l_{T_0})$	$M(j_0j_1l_{T_1})$
$M(j_0j_1l_H)$	$\Delta R(j_0j_1l_H)(l_{T_0})$	$\Delta R(j_0j_1l_H)(l_{T_1})$
$\Delta\phi(j_0j_1l_H)(E_T^{\text{miss}})$	$p_T(j_0j_1l_Hl_{T_0}l_{T_1}b_0b_1E_T^{\text{miss}})$	$M(j_0j_1b_0)$
$M(j_0j_1b_1)$	$\Delta R(l_{T_0})(b_0)$	$\Delta R(l_{T_0})(b_1)$
$\Delta R(l_{T_1})(b_0)$	$\Delta R(l_{T_1})(b_1)$	$\Delta R(j_0)(b_0)$
$\Delta R(j_0)(b_1)$	$\Delta R(j_1)(b_0)$	$\Delta R(j_1)(b_1)$
topScore	MET	HT jets
nJets		

Table 10: Input features used to identify the Higgs decay products in 3lS events

332 Here j_0 and j_1 , and l_H are the jet and lepton decay candidates, respectively. The other two
 333 leptons in the event are labeled as l_{T0} and l_{T1} . b_0 and b_1 are the two b-jets identified by the
 334 b-jet identification algorithm. The b-jet Reco Score is the output of the Higgs reconstruction
 335 algorithm.

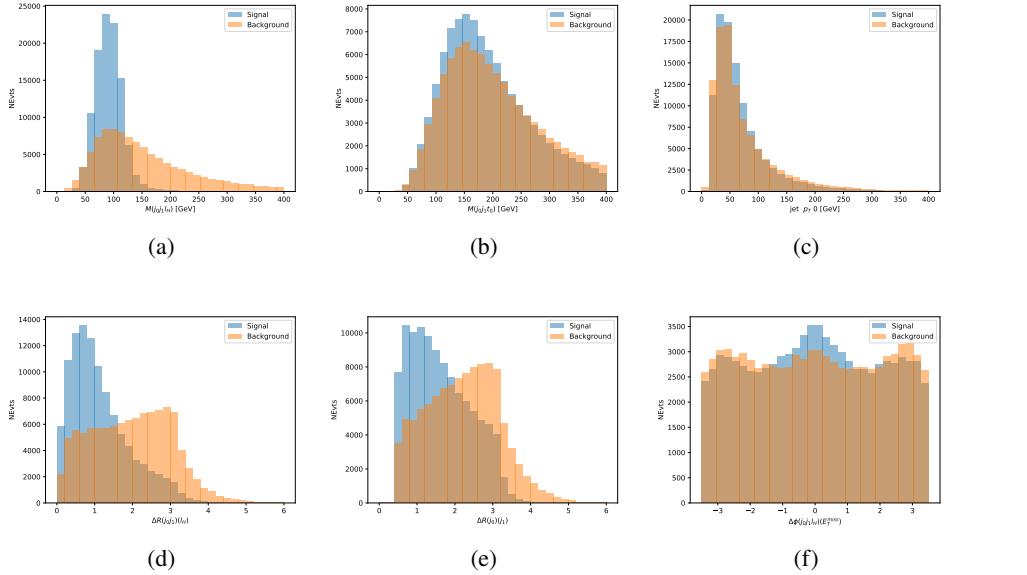


Figure 5.10: Input features for higgs3lS training. The signal in blue represents events where both jet candidates are truth b-jets from top decays, and the orange is all other combinations. Scaled to the same number of events.

336 The modeling of these inputs is validated against data, with Figure 5.11 showing good general
 337 agreement between data and MC. Plots for the complete list of features can found in appendix
 338 [A.1](#).

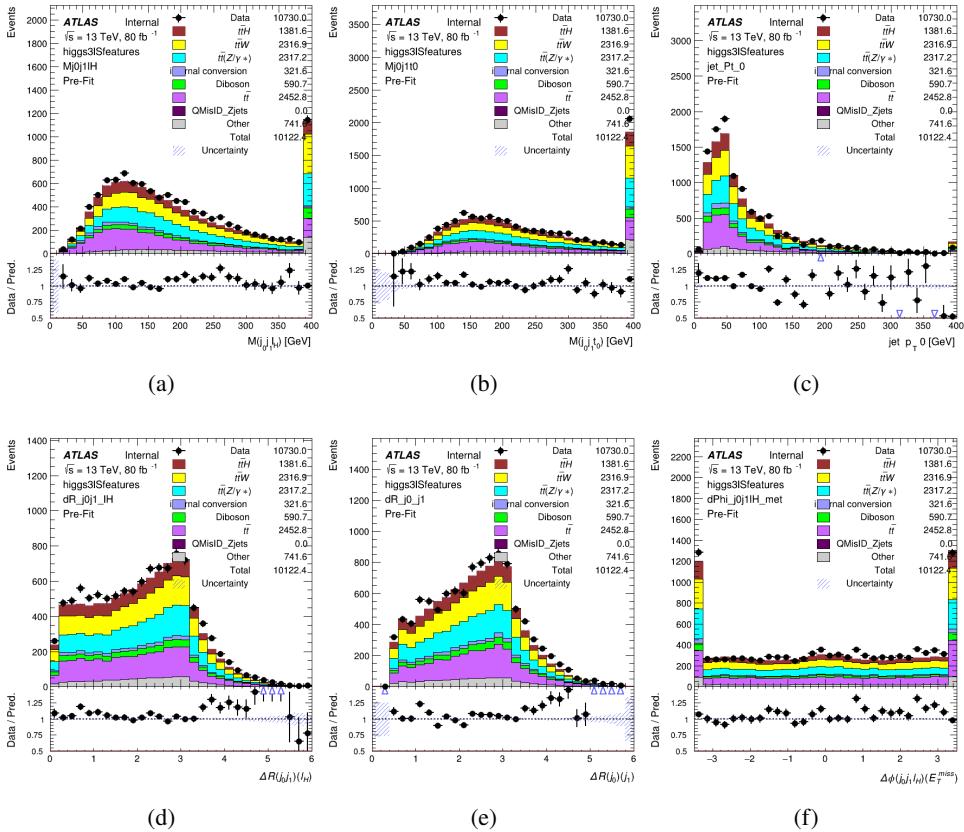


Figure 5.11: Data/MC comparisons of input features for higgs3IS training for 80 fb^{-1} of data.

339 A neural network of 7 hidden layers with 70 nodes each is trained on 1.8 million events. Once
 340 again, incorrect combinations are downsampled, such that the correct combinations are around
 341 10% of the training set. 10% of the dataset is reserved for testing. The output of the NN is
 342 summarized in Figure 5.3.2.

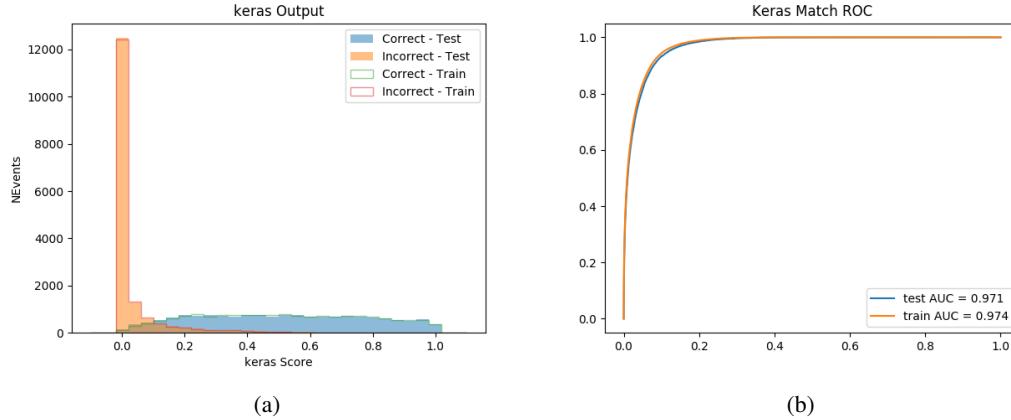


Figure 5.12: Results of the Higgs reconstruction algorithm in the 3lS channel, showing (a) the output score of the NN for correct and incorrect combinations of jets, scaled to an equal number of entries., (b) the ROC curve of the output, showing background rejection as a function of signal efficiency

343 The neural network identifies the correct combination 64% of the time. It identifies the correct
 344 lepton 85% of the time, and selects the correct lepton and at least one of the correct jets 83% of
 345 the time.

346 5.3.3 3l Fully-leptonic Channel

347 In the fully-leptonic 3l case, the goal is identify which two of the three leptons originated from
 348 the Higgs decay. Since one of these two must be the OS lepton, this problem is reduced to
 349 determining which of the two SS leptons originated from the Higgs. The kinematics of both
 350 possibilities are used for training, one where the SS lepton from the Higgs is correctly labeled,
 351 and one where it is not.

Lepton $p_T H_1$	Lepton $p_T H_0$	Lepton $p_T T$
top $p_T 0$	top $p_T 1$	$\Delta\phi(l_{H_1})(E_T^{\text{miss}})$
$\Delta\phi(l_T)(E_T^{\text{miss}})$	$M(l_{H_0}l_{H_1})$	$M(l_{H_1}l_T)$
$M(l_{H_0}l_T)$	$\Delta R(l_{H_0})(l_{H_1})$	$\Delta R(l_{H_1})(l_T)$
$\Delta R(l_{H_0})(l_T)$	$\Delta R(l_{H_0}l_{H_1})(l_T)$	$\Delta R(l_{H_0}l_T)(l_{H_1})$
$\Delta R(l_{H_0}l_{H_1})(b_0)$	$\Delta R(l_{H_0}l_{H_1})(b_1)$	$\Delta R(l_{H_0}b_0)$
$M(l_{H_0}b_0)$	$\Delta R(l_{H_0}b_1)$	$M(l_{H_0}b_1)$
$\Delta R(l_{H_1}b_0)$	$M(l_{H_1}b_0)$	$\Delta R(l_{H_1}b_1)$
$M(l_{H_1}b_1)$	E_T^{miss}	topScore

Table 11: Input features used to identify the Higgs decay products in 3lF events

352 Here l_{H0} and l_{H1} are the Higgs decay candidates. The other lepton in the event is labeled l_T . b_0
 353 and b_1 are the two b-jets identified by the b-jet identification algorithm. The b-jet Reco Score is
 354 the output of the Higgs reconstruction algorithm.

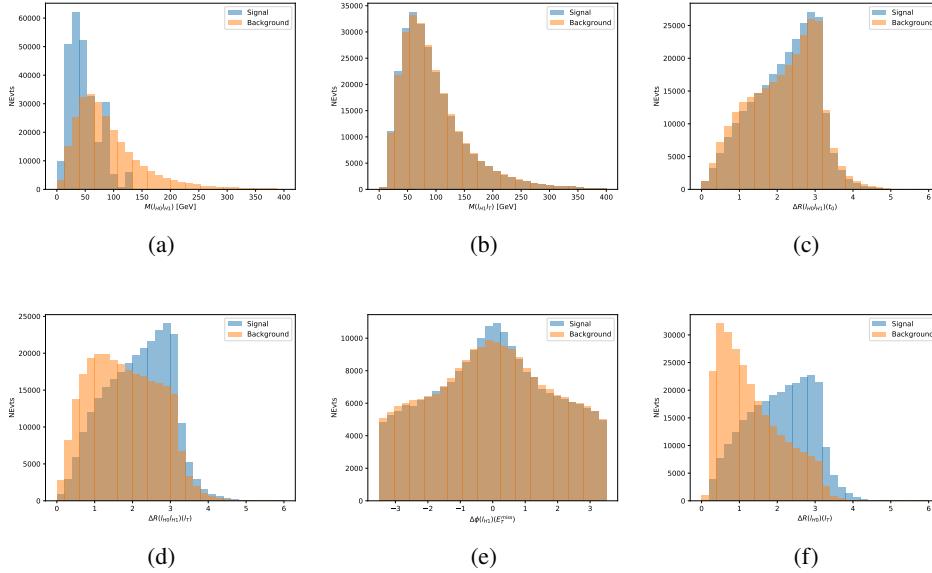


Figure 5.13: Input features for higgs3lF training. The signal in blue represents events where both jet candidates are truth b-jets from top decays, and the orange is all other combinations. Scaled to the same number of events.

355 The modeling of these inputs is validated against data, with Figure 5.14 showing good general
 356 agreement between data and MC. Plots for the complete list of features can found in Section A.

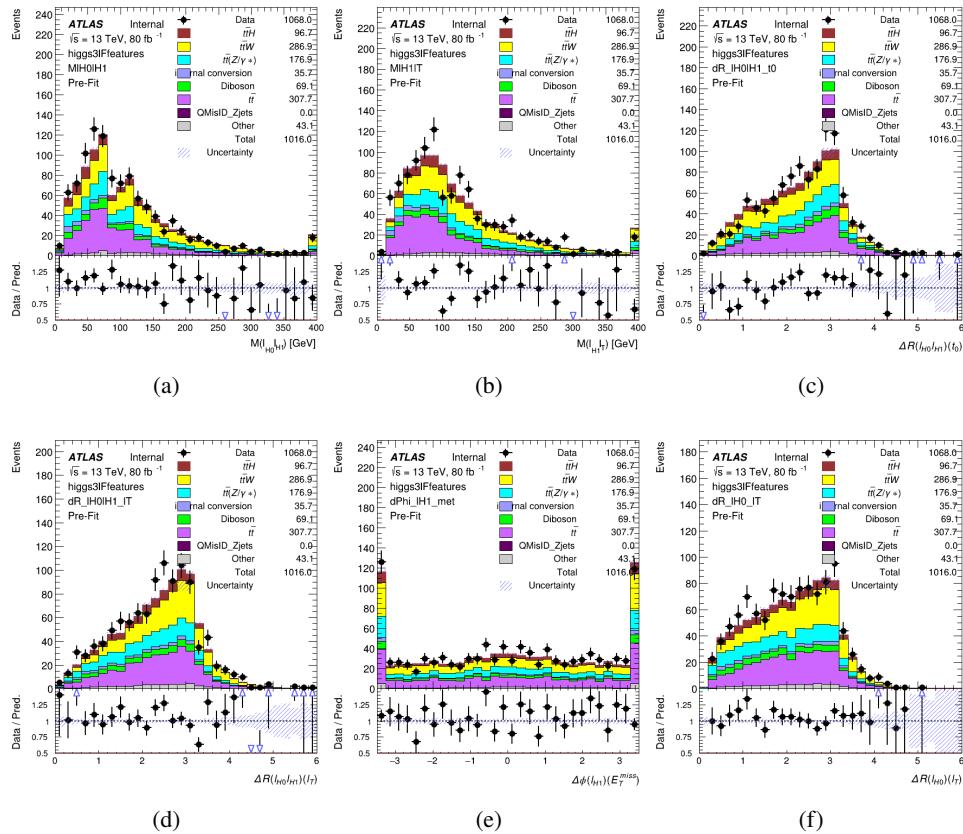


Figure 5.14: Data/MC comparisons of input features for higgs3lF training for 80 fb^{-1} of data.

357 A neural network consisting of 5 nodes and 60 hidden units is trained on 800,000 events, with
 358 10% of the dataset reserved for testing. The output of the model is summarized in Figure 5.3.3.

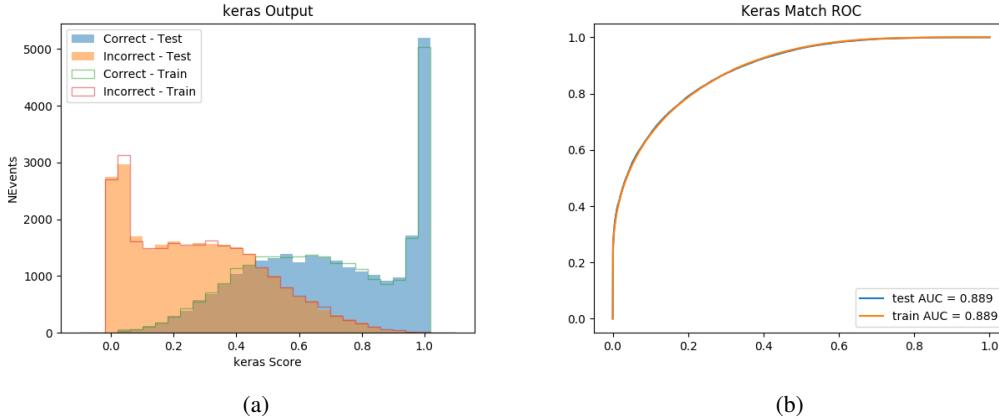


Figure 5.15: (a) the output score of the NN for correct and incorrect combinations of jets. (a) the ROC curve of the output, showing background rejection as a function of signal efficiency

359 The correct lepton is identified by the model for 80% of events in the testing data set.

360 5.4 p_T Prediction

361 Once the most probable decay products have been identified, their kinematics are used as inputs
 362 to a regression model which attempts to predict the momentum of the Higgs Boson. Once again,
 363 a DNN is used. Input variables representing the b-jets and leptons not from the Higgs decay
 364 are included as well, as these are found to improve performance. The truth p_T of the Higgs,
 365 as predicted by MC, are used as labels. Separate models are built for each channel - 2lSS, 3l
 366 Semi-leptonic and 3l Fully-leptonic.

367 As a two-bin fit is targeted for the final result, some metrics evaluating the performance of the
 368 models aim to show how well it distinguishes between "high p_T " and "low p_T " events. A cutoff
 369 point of 150 GeV is used to define these two categories.

370 Because the analysis uses a two bin fit of the Higgs p_T , the momentum reconstruction could be
 371 treated as a binary classification problem, rather than a regression problem. This approach is
 372 explored in detail in Section A.4, and is found not to provide any significant increase in sensitivity.
 373 The regression approach is used because it provides more flexibility for future analyses, as it is
 374 independent of the cutoff between high and low p_T , as well as the number of bins. Further, a
 375 regression allows the output of the neural network to be more clearly understood, as it can be
 376 directly compared to a physics observable.

377 **5.4.1 2ISS Channel**

378 The input variables listed in Table 12 are used to predict the Higgs p_T in the 2ISS channel. Here
379 j_0 and j_1 are the two jets identified as Higgs decay products. The lepton identified as originating
380 from the Higgs is labeled l_H , while the other lepton is labeled l_T , as it is assumed to have come
381 from the decay of one of the top quarks. b_0 and b_1 are the two b-jets identified by the b-jet
382 identification algorithm. The Higgs Reco Score and b-jet Reco Score are the outputs of the Higgs
383 reconstruction algorithm, and the b-jet identification algorithm, respectively.

HT	$M(j_0 j_1)$	$M(j_0 j_1 l_H)$
$M(l_H j_0)$	$M(l_H j_1)$	$p_T(b_0 b_1)$
$p_T(j_0 j_1 l_H)$	$\Delta\phi(j_0 j_1 l_H)(E_T^{\text{miss}})$	$\Delta R(j_0)(j_1)$
$\Delta R(j_0 j_1)(l_H)$	$\Delta R(j_0 j_1 l_H)(l_T)$	$\Delta R(j_0 j_1 l_H)(b_0)$
$\Delta R(j_0 j_1 l_H)(b_1)$	$\Delta R(l_H)(j_0)$	$\Delta R(l_H)(b_0)$
$\Delta R(l_H)(b_1)$	$\Delta R(l_T)(b_0)$	$\Delta R(l_T)(b_1)$
$\Delta R(b_0)(b_1)$	Higgs Reco Score	jet η 0
jet η 1	jet Phi 0	jet Phi 1
jet p_T 0	jet p_T 1	Lepton η H
Lepton ϕ H	Lepton p_T H	Lepton p_T T
E_T^{miss}	nJets	b-jet Reco Score
b-jet p_T 0	b-jet p_T 1	

Table 12: Input features for reconstructing the Higgs p_T spectrum for 2lSS events

384 The optimal neural network architecture for this channel is found to consist of 7 hidden layers with
 385 60 nodes each. The input data set includes 1.2 million events, 10% of which is used for testing,
 386 the other 90% for training. Training is found to converge after around 150 epochs.

387 To evaluate the performance of the model, the predicted p_T spectrum is compared to the truth
 388 Higgs p_T in Figure 5.16. In order to visualize the model performance more clearly, in (a) of that
 389 figure, the color of each point is determined by Kernel Density Estimation (KDE). The color
 390 shown represents the logarithm of the output from KDE, to counteract the large number of low
 391 p_T events. For that same reason, each column of the histogram shown in (b) of Figure 5.16 is
 392 normalized to unity. This plot therefore demonstrates what the model predicts for each slice of
 393 truth p_T .

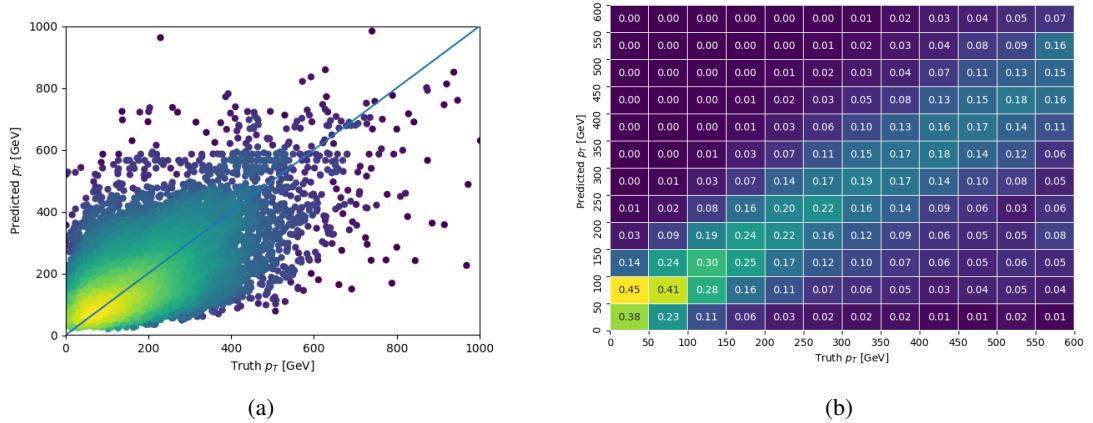


Figure 5.16: The regressed Higgs momentum spectrum as a function of the truth p_T for 2lSS $t\bar{t}H$ events in (a) a scatterplot, where the color of each point represents the log of the point density, based on Gaussian Kernel Density Estimation, and (b) a histogram where each column has been normalized to one.

394 We are also interested in how well the model distinguishes between events with $p_T < 150$ GeV
 395 and > 150 GeV. Figure 5.17 demonstrates the NN output for high and low p_T events based on this
 396 cutoff.

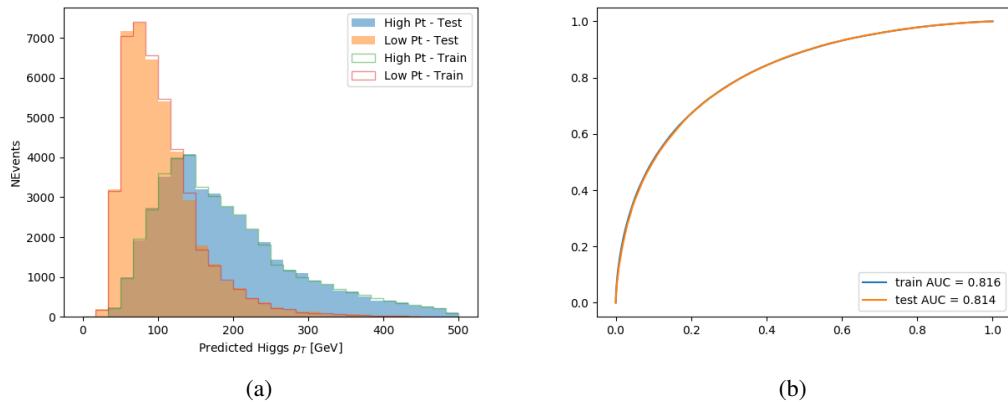


Figure 5.17: (a) shows the reconstructed Higgs p_T for 2lSS events with truth $p_T > 150$ GeV and < 150 GeV, while (b) shows the ROC curve for those two sets of events.

397 5.4.2 3l Semi-leptonic Channel

398 The following input features are used to predict the Higgs p_T for events in the 3lS channel:

HT jets	MET	$M(j_0 j_1)$
$M(j_0 j_1 l_H)$	$M(j_0 j_1 l_{T0})$	$M(j_0 j_1 l_{T1})$
$M(j_0 j_1 b_0)$	$M(j_0 j_1 b_1)$	$M(b_0 l_{T0})$
$M(b_0 l_{T1})$	$M(b_1 l_{T0})$	$M(b_1 l_{T1})$
$\Delta\phi(j_0 j_1 l_H)(E_T^{\text{miss}})$	$\Delta R(j_0)(j_1)$	$\Delta R(j_0 j_1)(l_H)$
$\Delta R(j_0 j_1)(l_{T1})$	$\Delta R(j_0 j_1)(b_0)$	$\Delta R(j_0 j_1)(b_1)$
$\Delta R(j_0 j_1 l_H)(l_{T0})$	$\Delta R(j_0 j_1 l_H)(l_{T1})$	$\Delta R(j_0 j_1 l_H)(b_0)$
$\Delta R(j_0 j_1 l_H)(b_1)$	$\Delta R(l_H)(j_0)$	$\Delta R(l_H)(j_1)$
$\Delta R(l_H)(l_{T1})$	$\Delta R(l_{T0})(l_{T1})$	$\Delta R(l_{T0})(b_0)$
$\Delta R(l_{T0})(b_1)$	$\Delta R(l_{T1})(b_0)$	$\Delta R(l_{T1})(b_1)$
higgsScore	jet η 0	jet η 1
jet ϕ 0	jet ϕ 1	jet p_T 0
jet p_T 1	Lepton η H	Lepton ϕ H
Lepton p_T H	Lepton p_T T0	Lepton p_T T1
nJets	topScore	b-jet p_T 0
b-jet p_T 1		

Table 13: Input features for reconstructing the Higgs p_T spectrum for 3lS events

Again, j_0 and j_1 are the two jets identified as Higgs decay products, ordered by p_T . The lepton identified as originating from the Higgs is labeled l_H , while the other two leptons are labeled l_{T0} and l_{T1} . b_0 and b_1 are the two b-jets identified by the b-jet identification algorithm. The Higgs Reco Score and b-jet Reco Score are the outputs of the Higgs reconstruction algorithm, and the b-jet identification algorithm, respectively.

The optimal neural network architecture for this channel is found to consist of 7 hidden layers with 80 nodes each. The input data set includes one million events, 10% of which is used for testing, the other 90% for training. Training is found to converge after around 150 epochs.

To evaluate the performance of the model, the predicted p_T spectrum is compared to the truth Higgs p_T in Figure 5.18. Once again, (a) of 5.18 shows a scatterplot of predicted vs truth p_T , where the color of each point corresponds to the log of the relative KDE at that point. Each column of the histogram in (b) is normalized to unity, to better demonstrate the output of the NN for each slice of truth p_T .

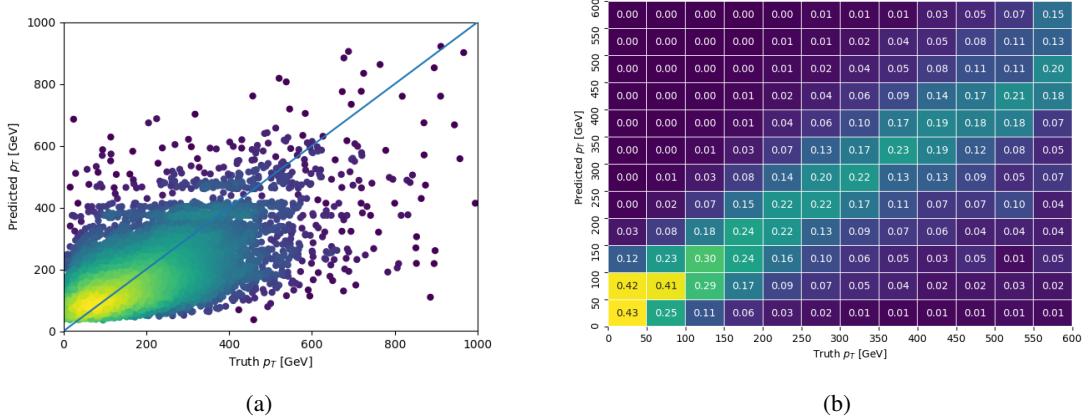


Figure 5.18: The regressed Higgs momentum spectrum as a function of the truth p_T for 3lS $t\bar{t}H$ events in (a) a scatterplot, where the color of each point represents the log of the point density, based on Gaussian Kernel Density Estimation, and (b) a histogram where each column has been normalized to one.

Figure 5.19 shows (a) the output of the NN for events with truth p_T less than and greater than 150 GeV and (b) the ROC curve demonstrating how well the NN distinguishes high and low p_T events.

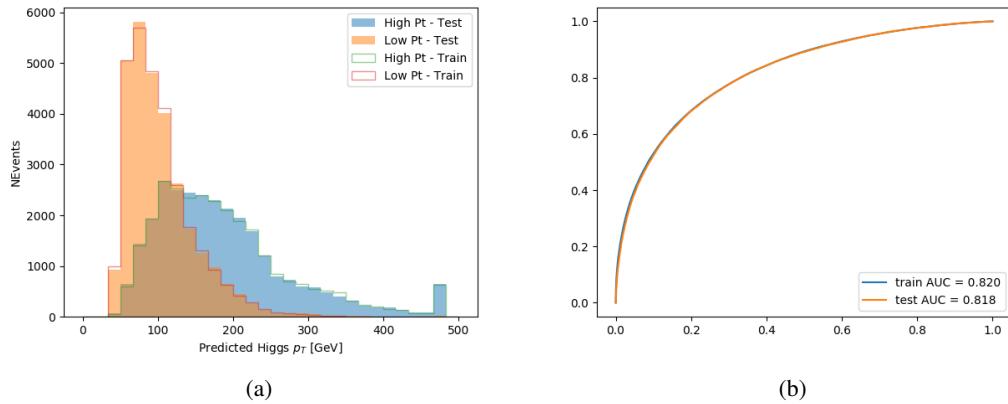


Figure 5.19: (

a) shows the reconstructed Higgs p_T for 3lS events with truth $p_T > 150$ GeV and < 150 GeV, while (b) shows the ROC curve for those two sets of events.

415 5.4.3 3l Fully-leptonic Channel

416 The features listed in 14 are used to construct a model for predictin the Higgs p_T for 3lF events.

HT	$M(l_{H0}l_{H1})$	$M(l_{H0}l_T)$
$M(l_{H0}b_0)$	$M(l_{H0}b_1)$	$M(l_{H1}l_T)$
$M(l_{H1}b_0)$	$M(l_{H1}b_1)$	$\Delta R(l_{H0})(l_{H1})$
$\Delta R(l_{H0})(l_T)$	$\Delta R(l_{H0}l_{H1})(l_T)$	$\Delta R(l_{H0}l_T)(l_{H1})$
$\Delta R(l_{H1})(l_T)$	$\Delta R(l_{H0}b_0)$	$\Delta R(l_{H0}b_1)$
$\Delta R(l_{H1}b_1)$	$\Delta R(l_{H1}b_0)$	higgsScore
Lepton η H_0	Lepton η H_1	Lepton η T
Lepton p_T H_0	Lepton p_T H_1	Lepton p_T T
E_T^{miss}	topScore	b-jet p_T 0
b-jet p_T 1		

Table 14: Input features for reconstructing the Higgs p_T spectrum for 3lF events

417 l_{H0} and l_{H1} represent the two leptons identified by the Higgs reconstruction model as originating
 418 from the Higgs, while l_T is the other lepton in the event. The Higgs Reco Score and b-jet Reco
 419 Score are the outputs of the Higgs reconstruction algorithm, and b-jet identification algorithm,
 420 respectively.

421 The optimal neural network architecture for this channel is found to consist of 5 hidden layers
 422 with 40 nodes each. The input data set includes 400,000 events, 10% of which is used for testing,
 423 the other 90% for training. Training is found to converge after around 150 epochs.

424 The predicted transverse momentum, as a function of the truth p_T , is shown in Figure 5.20.

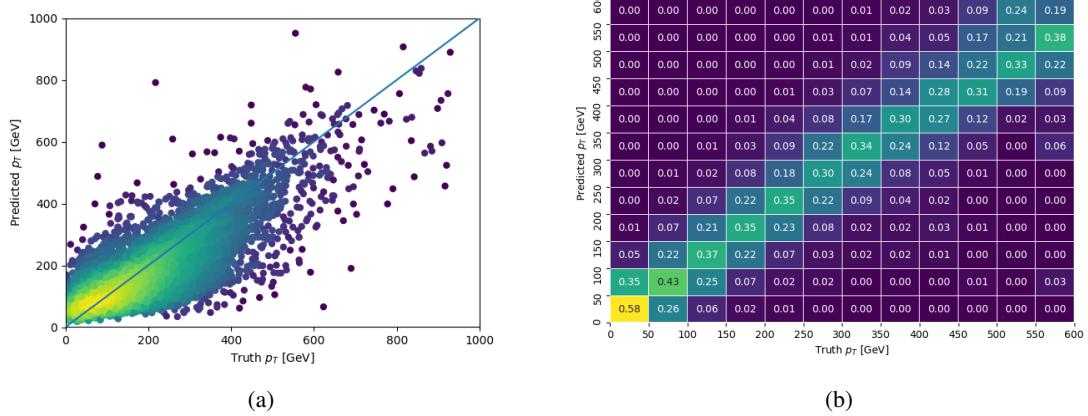


Figure 5.20: The regressed Higgs momentum spectrum as a function of the truth p_T for 3lF $t\bar{t}H$ events in (a) a scatterplot, where the color of each point represents the log of the point density, based on Gaussian Kernel Density Estimation, and (b) a histogram where each column has been normalized to one.

425 When split into high and low p_T , based on a cutoff of 150 GeV, the

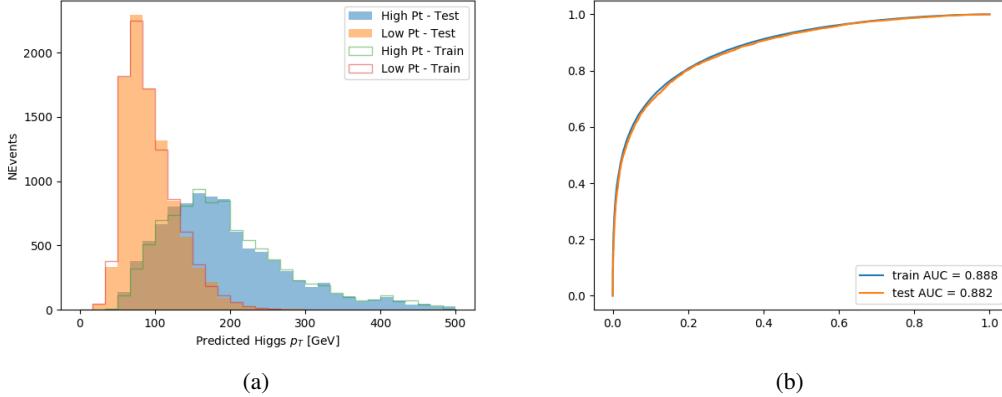


Figure 5.21: (a) shows the reconstructed Higgs p_T for 3lF events with truth $p_T > 150$ GeV and < 150 GeV, while (b) shows the ROC curve for those two sets of events.

5.5 3l Decay Mode

In the 3l channel, there are two possible ways for the Higgs to decay, both involving intermediate W boson pairs: Either both W bosons decay leptonically, in which case the reconstructed decay consists of two leptons (referred as the fully-leptonic 3l channel), or one W decays leptonically and the other hadronically, giving two jets and one lepton in the final state (referred to as the semi-leptonic 3l channel). In order to accurately reconstruct the Higgs, it is necessary to identify which of these decays took place for each 3l event.

The kinematics of each event, along with the output scores of the Higgs and top reconstruction algorithms, are used to distinguish these two possible decay modes. The particular inputs used are listed in Table 15.

HT jets	$M(l_0 t_0)$	$M(l_0 t_1)$
$M(l_1 t_0)$	$M(l_1 t_1)$	$M(l_0 l_1)$
$M(l_0 l_2)$	$M(l_1 l_2)$	$\Delta R(l_0 t_0)$
$\Delta R(l_0 t_1)$	$\Delta R(l_1 t_0)$	$\Delta R(l_1 t_1)$
$\Delta R(l l_0 1)$	$\Delta R(l l_0 2)$	$\Delta R(l l_1 2)$
Lepton η 0	Lepton η 1	Lepton η 2
Lepton ϕ 0	Lepton ϕ 1	Lepton ϕ 2
Lepton p_T 0	Lepton p_T 1	Lepton p_T 2
E_T^{miss}	nJets	nJets OR DL1r 60
nJets OR DL1r 85	score3lF	score3lS
topScore	total charge	

Table 15: Input features used to distinguish semi-leptonic and fully-leptonic Higgs decays in the 3l channel.

436 Here l_0 is the opposite charge lepton, l_1 and l_2 are the two SS leptons order by ΔR from lepton 0.
 437 score3lF and score3lS are the outputs of the 3lS and 3lF Higgs reconstruction algorithms, while
 438 topScore is the output of the b-jet identification algorithm.

439 A neural network with 5 hidden layers, each with 50 nodes, is trained to distinguish these two
 440 decay modes. The output of the model is summarized in Figure 5.22.

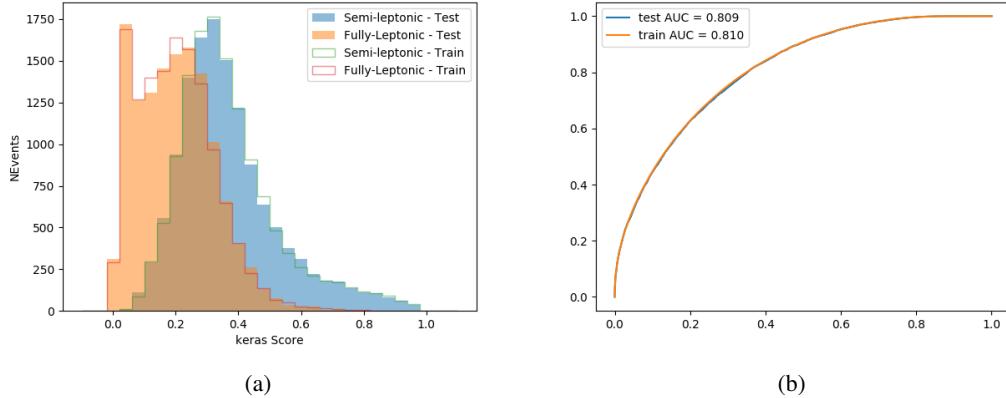


Figure 5.22: (a) shows the output of the decay separation NN for Semi-leptonic (blue) and Fully-leptonic (orange) 3l events, scaled to equal area. (b) shows the ROC curve for those two sets of events.

441 A cutoff of 0.23 is determined to be optimal for separating 3lS and 3lF in the fit.

442 6 Signal Region Definitions

443 Events are divided into two channels based on the number of leptons in the final state: one with
 444 two same-sign leptons, the other with three leptons. The 3l channel includes events where both
 445 leptons originated from the Higgs boson as well as events where only one of the leptons

446 6.1 Pre-MVA Event Selection

447 A preselection is applied to define orthogonal analysis channels based on the number of leptons
 448 in each event. For the 2lSS channel, the following preselection is used:

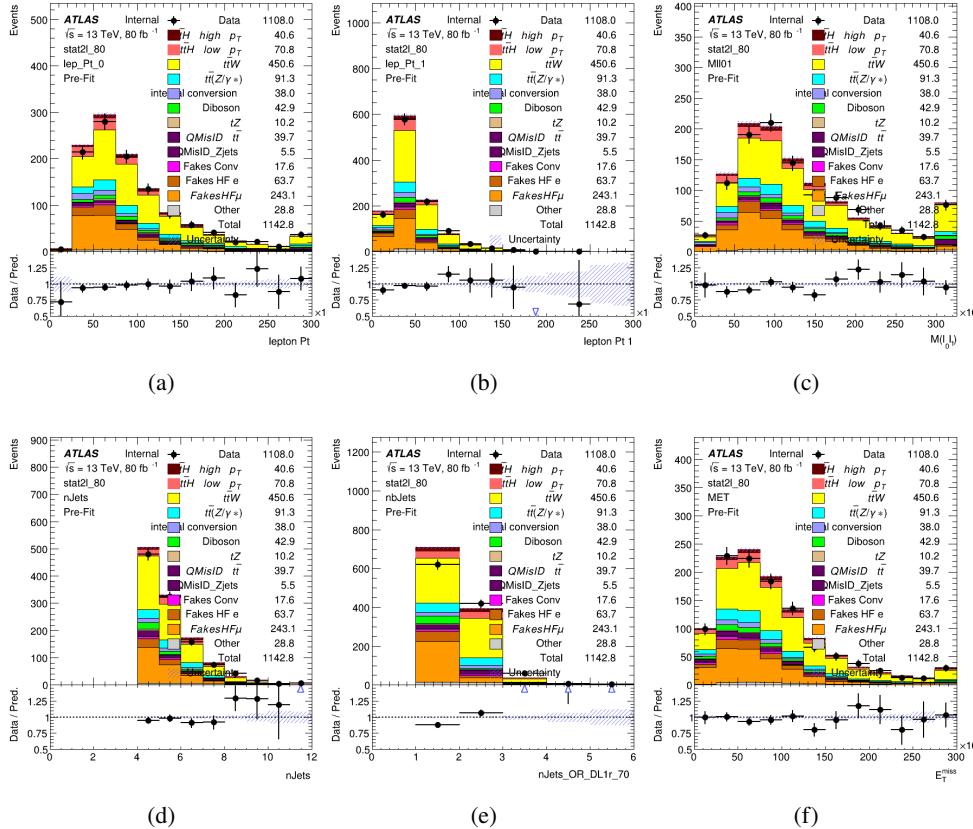
- 449 • Two very tight, same-charge, light leptons with $p_T > 20$ GeV
- 450 • ≥ 4 reconstructed jets, ≥ 1 b-tagged jets
- 451 • No reconstructed tau candidates

452 The event yield after the 2lSS preselection has been applied, for MC and data at 80 fb^{-1} , is shown
453 in Table 16.

	Yields
t̄H high p _T	36.19 ± 0.23
t̄H low p _T	63.58 ± 0.31
t̄W	440.64 ± 2.32
t̄Z/γ	91.84 ± 0.79
t̄lllowmass	8.47 ± 0.28
rareTop	24.2099 ± 0.40
VV	38.7927 ± 0.55
tZ	3e-05 ± 5.47-06
QMISID t̄	39.90 ± 2.36
QMISID Zjets	5.49 ± 0.67
t̄ int. conv.	12.74 ± 1.40
t̄ + γ int. conv.	12.09 ± 0.58
t̄ Conv.	13.55 ± 1.43
t̄ + γ Conv.	5.35 ± 0.38
t̄ HF e	59.92 ± 2.89
t̄ + γ HF e	0.51 ± 0.15
t̄ HF μ	224.57 ± 5.62
t̄ + γ HF μ	1.60 ± 0.23
Z + jets internal conv	3e-05 ± 5.47e-06
Z + jets conv	0.62 ± 0.21
Z + jets HF e	0.14 ± 0.13
Z + jets HF μ	0.82 ± 0.26
Single top Conv	2.27 ± 0.53
Single top HF e	2.33 ± 0.50
Single top HF μ	11.12 ± 1.07
Three top	2.22 ± 0.02
Four top	13.09 ± 0.16
t̄WW	10.985 ± 0.30
tW	3e-05 ± 5.47-06
WtZ	9.07 ± 0.44
VVV	0.30 ± 0.04
VH	0.59 ± 1.55
Total	1133.11 ± 7.69
Data	1108

Table 16: Event yield in the 2lSS preselection region.

454 Figure 6.1. Good general agreement is found.

Figure 6.1: Data/MC comparisons of the 2lSS pre-selection region. (a) and (b) show the p_T of leptons 0 and 1, (c) shows the invariant mass of lepton 0 and 1, (d) shows the jet multiplicity, (e) the b-tagged jet multiplicity, and (f) the missing transverse energy.

455 For the 3l channel, the following selection is applied:

- Three light leptons with total charge ± 1
- Same charge leptons are required to be very tight, with $p_T > 20 \text{ GeV}$
- Opposite charge lepton must be loose, with $p_T > 10 \text{ GeV}$
- ≥ 2 reconstructed jets, ≥ 1 b-tagged jets
- No reconstructed tau candidates
- $|M(l^+l^-) - 91.2 \text{ GeV}| > 10 \text{ GeV}$ for all opposite-charge, same-flavor lepton pairs

462 The event yield after the 3l preselection has been applied, for MC and data at 80 fb^{-1} , is shown
463 in Table 6.1.

	Yields
t̄H high p _T	18.40 ± 0.13
t̄H low p _T	29.91 ± 0.16
t̄W	134.22 ± 1.25
t̄Z/γ	88.47 ± 0.73
t̄lllowmass	2.77 ± 0.16
rareTop	15.05 ± 0.32
VV	34.54 ± 0.54
tZ	2e-05 ± 4.47-06
QMisID t̄t	1.80 ± 0.59
QMisID Zjets	0.02 ± 0.02
t̄t internal conversion	4.34 ± 0.43
t̄t + γ internal conversion	5.83 ± 0.42
t̄t Conv.	4.71 ± 0.45
t̄t + γ Conv.	2.64 ± 0.27
t̄t HF e	27.44 ± 1.05
t̄t + γ HF e	0.27 ± 0.11
t̄t HF μ	89.21 ± 1.92
t̄t + γ HF μ	0.94 ± 0.16
Z + jets conv	0.09 ± 0.19
Z + jets HF e	0.25 ± 0.15
Z + jets HF μ	2.41 ± 0.95
Single top Conv	0.58 ± 0.61
Single top HF e	1.50 ± 0.43
Single top HF μ	4.62 ± 0.85
Three top	0.96 ± 0.02
Four top	5.58 ± 0.10
t̄WW	5.45 ± 0.21
WtZ	8.71 ± 0.42
VVV	0.81 ± 0.02
Total	492.14 ± 3.22
Data	535

Table 17: Yields of the analysis

464 Comparisons of kinematic distributions for data and MC in this region are shown in Figure 6.2.

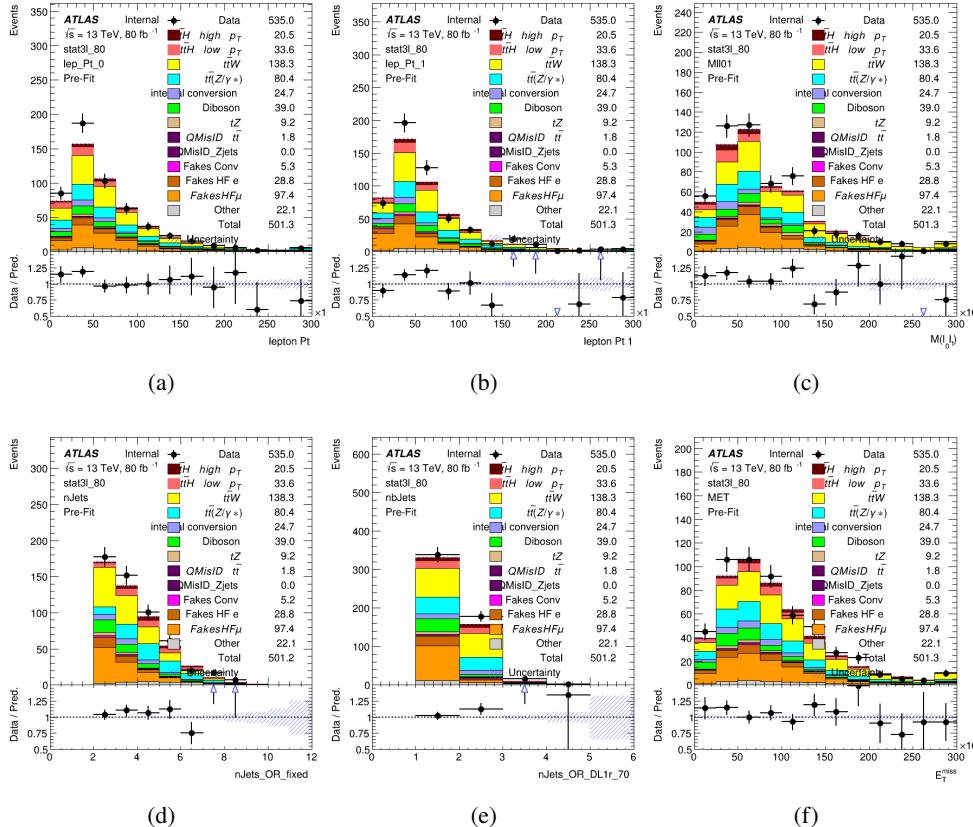


Figure 6.2: Data/MC comparisons of the 31 pre-selection region. (a) and (b) show the p_T of leptons 0 and 1, (c) shows the invariant mass of lepton 0 and 1, (d) shows the jet multiplicity, (e) the b-tagged jet multiplicity, and (f) the missing transverse energy.

465 6.2 Event MVA

466 Separate multi-variate analysis techniques (MVAs) are used in order to distinguish signal events
 467 from background for each analysis channel - 2lSS, 3l semi-leptonic (3lS), and 3l fully leptonic (3lF).
 468 In particular, Boosted Decision Tree (BDT) algorithms are produced with XGBoost [xgboost]
 469 are trained using the kinematics of signal and background events derived from Monte Carlo
 470 simulations. Events are weighted in the BDT training by the weight of each Monte Carlo event.

471 Because the background composition differs for events with a high reconstructed Higgs p_T
 472 compared to events with low reconstructed Higgs p_T , separate MVAs are produced for high and
 473 low p_T regions. This is found to provide better significance than attempting to build an inclusive
 474 model, as demonstrated in appendix A.2. A cutoff of 150 GeV is used. This gives a total of 6

⁴⁷⁵ background rejection MVAs - explicitly, 2lSS high p_T , 2lSS low p_T , 3lS high p_T , 3lS low p_T ,
⁴⁷⁶ 3lF high p_T , and 3lF low p_T .

⁴⁷⁷ The following features are used in both the high and low p_T 2lSS BDTs:

HT	$M(\text{lep}, E_T^{\text{miss}})$	$M(l_0 l_1)$
binHiggs p_T 2lSS	$\Delta R(l_0)(l_1)$	diLepton type
higgsRecoScore	jet η 0	jet η 1
jet ϕ 0	jet ϕ 1	jet p_T 0
jet p_T 1	Lepton η 0	Lepton η 1
Lepton ϕ 0	Lepton ϕ 1	Lepton p_T 0
Lepton p_T 1	E_T^{miss}	$\min \Delta R(l_0)(\text{jet})$
$\min \Delta R(l_1)(\text{jet})$	$\min \Delta R(\text{Lepton})(\text{bjet})$	mjjMax frwdJet
nJets	nJets OR DL1r 60	nJets OR DL1r 70
nJets OR DL1r 85	topRecoScore	

Table 18: Input features used to distinguish signal and background events in the 2lSS channel.

⁴⁷⁸ While for each of the 31 BDTs, the features listed below are used for training:

$M(\text{lep}, E_T^{\text{miss}})$	$M(l_0 l_1)$	$M(l_0 l_1 l_2)$
$M(l_0 l_2)$	$M(l_1 l_2)$	$\text{binHiggs } p_T \text{ 3lF}$
$\text{binHiggs } p_T \text{ 3lS}$	$\Delta R(l_0)(l_1)$	$\Delta R(l_0)(l_2)$
$\Delta R(l_1)(l_2)$	decayScore	higgsRecoScore3lF
higgsRecoScore3lS	$\text{jet } \eta \text{ 0}$	$\text{jet } \eta \text{ 1}$
$\text{jet } \phi \text{ 0}$	$\text{jet } \phi \text{ 1}$	$\text{jet } p_T \text{ 0}$
$\text{jet } p_T \text{ 1}$	$\text{Lepton } \eta \text{ 0}$	$\text{Lepton } \eta \text{ 1}$
$\text{Lepton } \eta \text{ 2}$	$\text{Lepton } \phi \text{ 0}$	$\text{Lepton } \phi \text{ 1}$
$\text{Lepton } \phi \text{ 2}$	$\text{Lepton } p_T \text{ 0}$	$\text{Lepton } p_T \text{ 1}$
$\text{Lepton } p_T \text{ 2}$	E_T^{miss}	$\min \Delta R(l_0)(\text{jet})$
$\min \Delta R(l_1)(\text{jet})$	$\min \Delta R(l_2)(\text{jet})$	$\min \Delta R(\text{Lepton})(\text{bjet})$
$mjj\text{Max frwdJet}$	$n\text{Jets}$	$n\text{Jets OR DL1r 60}$
$n\text{Jets OR DL1r 70}$	$n\text{Jets OR DL1r 85}$	topScore

Table 19: Input features used to distinguish signal and background events in the 3l channel.

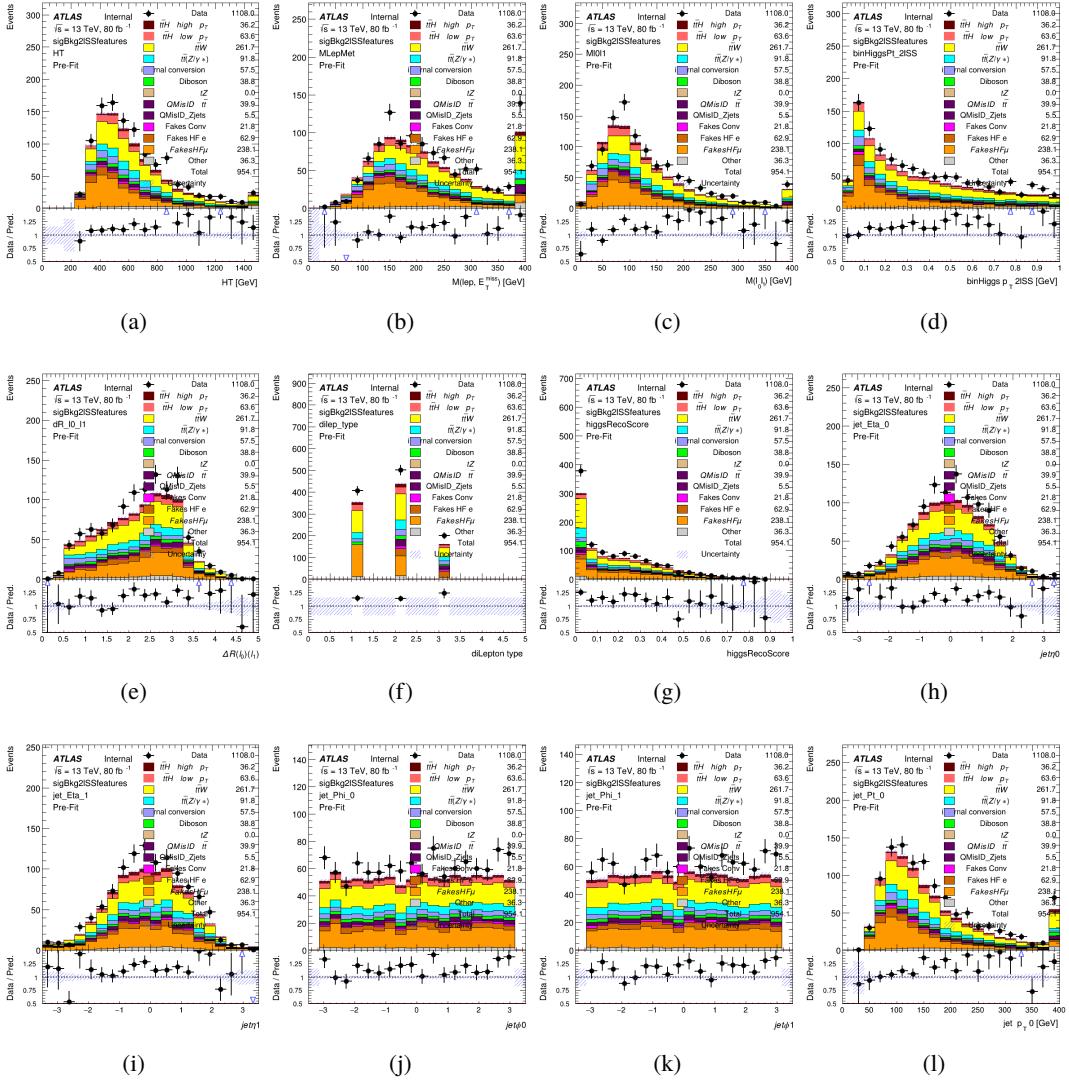


Figure 6.3:

479 The BDTs are produced with a maximum tree depth of 6, using AUC as the target loss function.

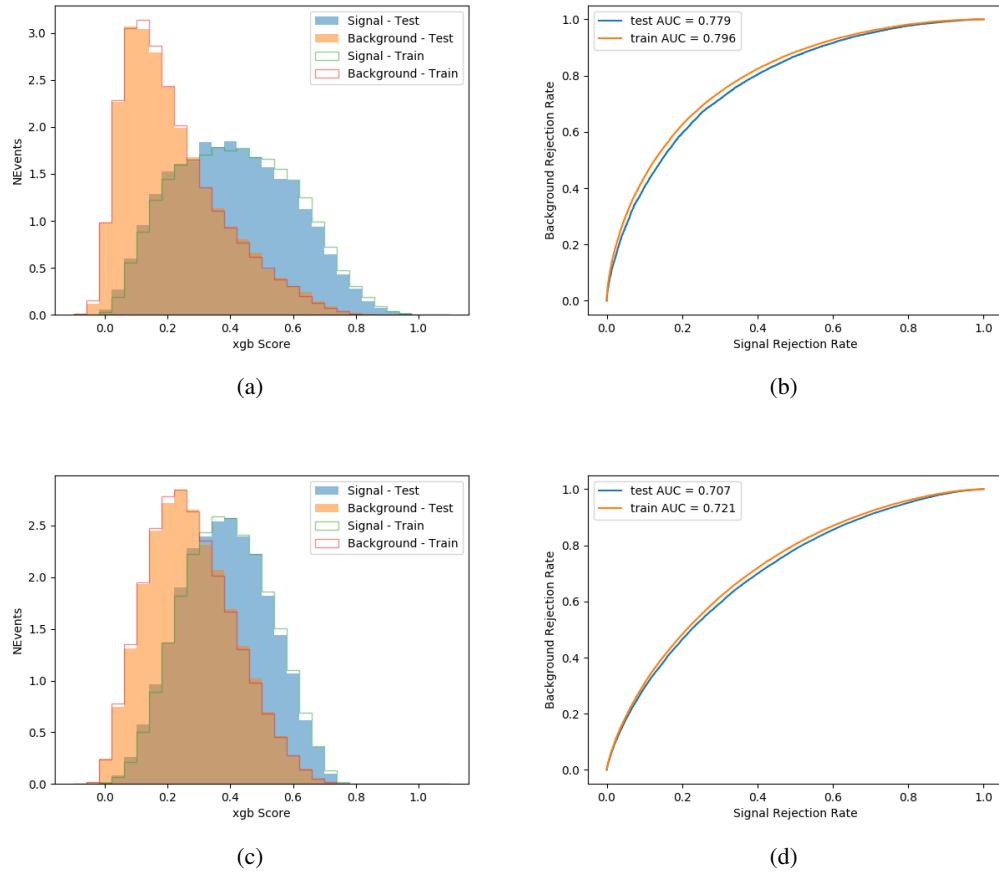


Figure 6.4:

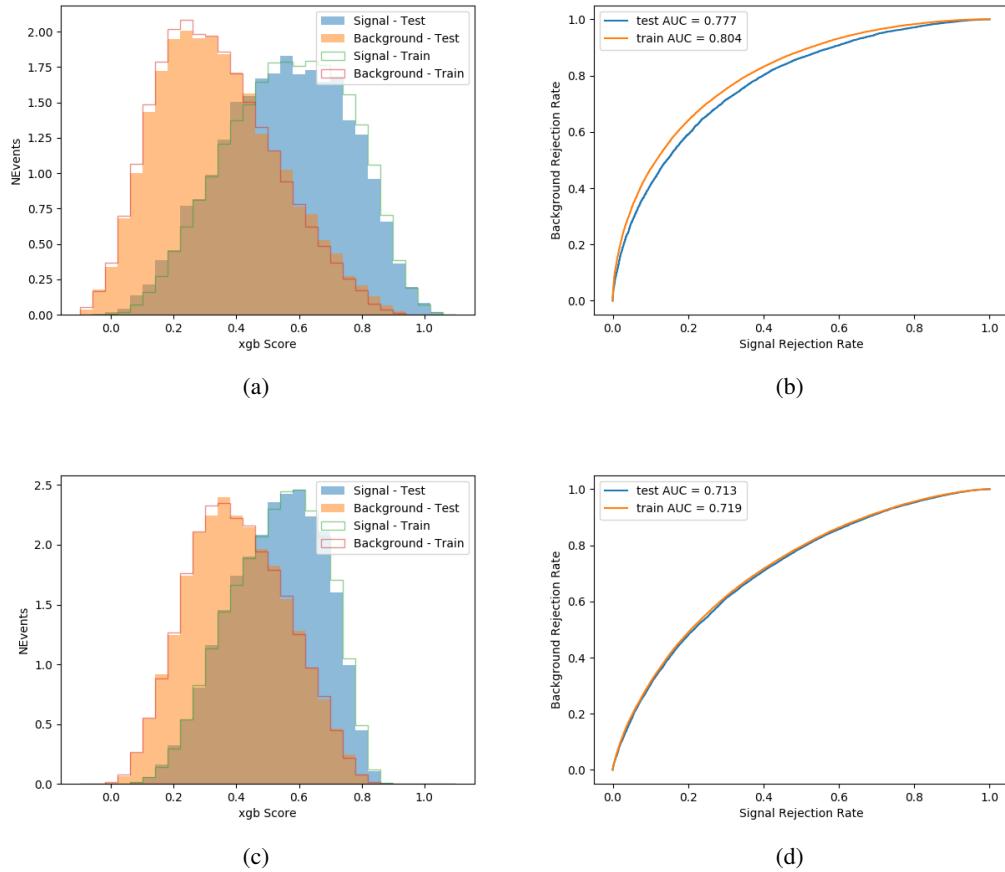


Figure 6.5:

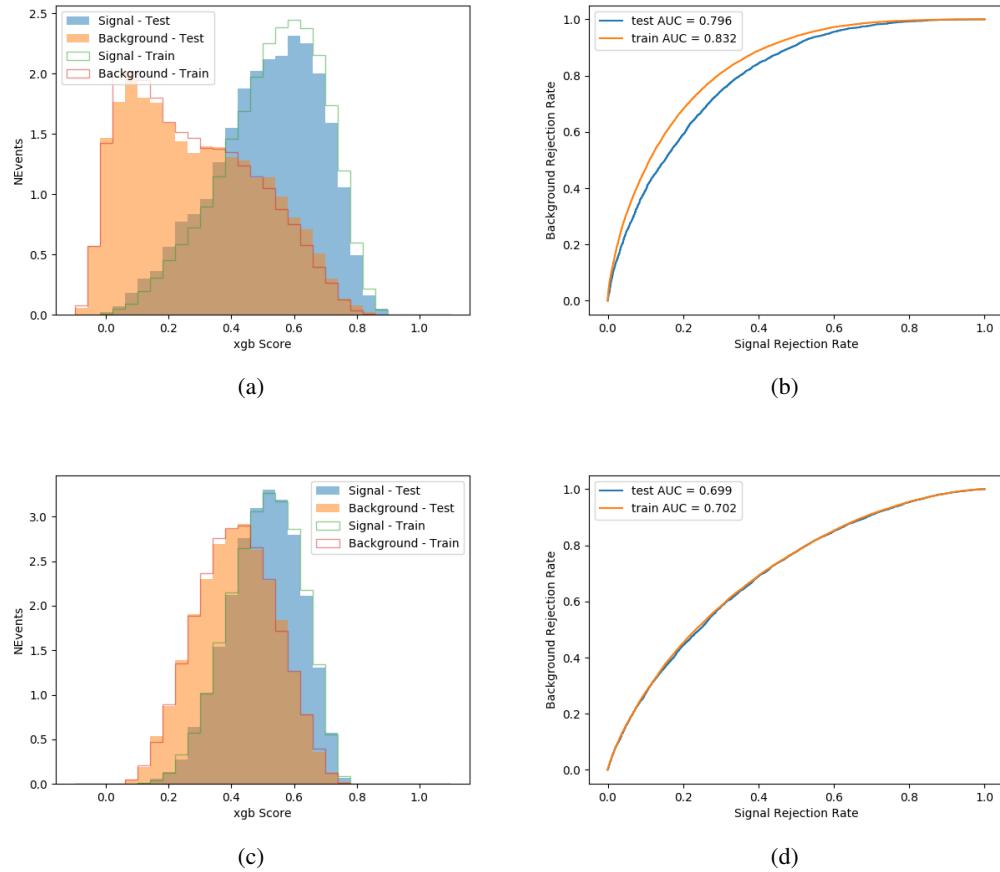


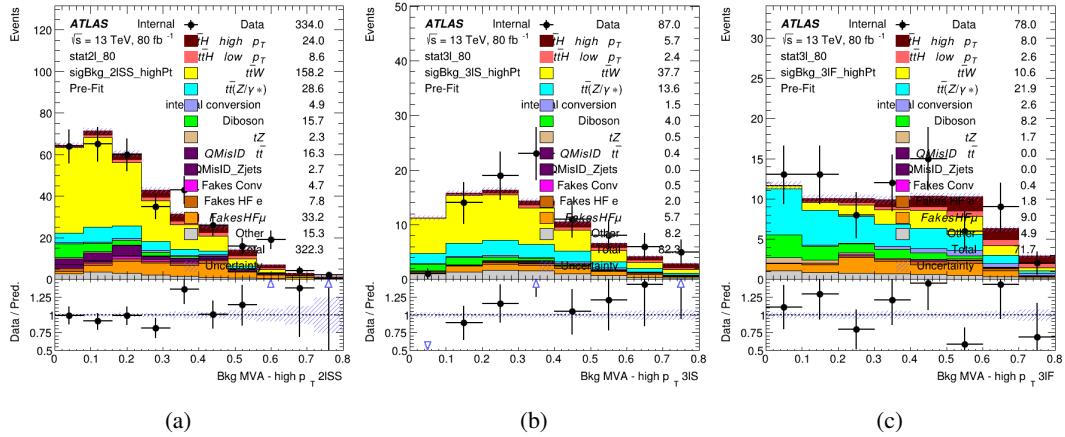
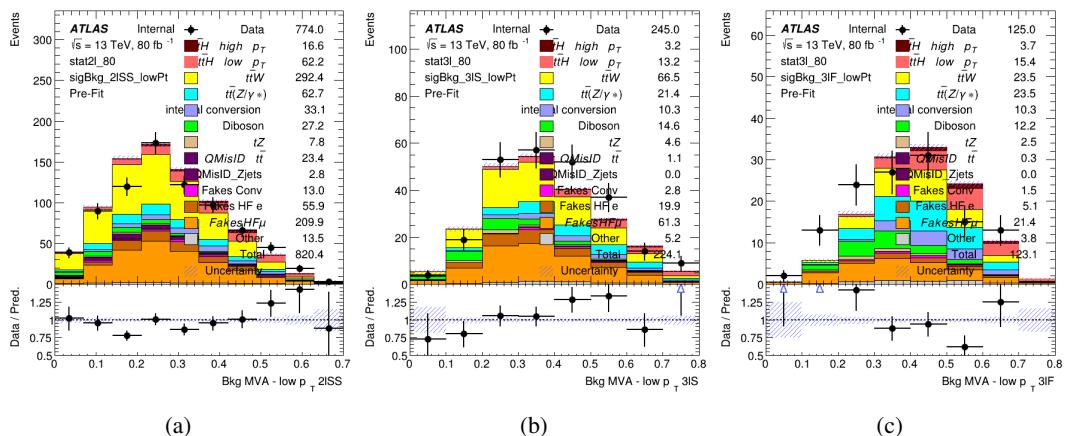
Figure 6.6:

480 Output distributions of each MVA comparing MC prediction to data at 80 fb^{-1} are shown in
 481 figures 6.7-6.2.

482 6.3 Signal Region Definitions

483 Once pre-selection has been applied, channels are further refined based on the MVAs described
 484 above. The output of the model described in Section 5.5 is used to separate the three channel into
 485 two - Semi-leptonic and Fully-leptonic - based on the predicted decay mode of the Higgs boson.
 486 This leaves three orthogonal signal regions - 2ISS, 3IS, and 3IF.

487 For each event, depending on the number of leptons as well as whether the p_T of the Higgs is
 488 predicted to be high ($> 150 \text{ GeV}$) or low ($< 150 \text{ GeV}$), a cut on the appropriate background
 489 rejection MVA is applied. The particular cut values, listed in Table 20, are determined by
 490 maximizing S/\sqrt{B} in each region.

Figure 6.7: Output score of the high p_T BDTs in the (a) 2ISS, (b) 3IS, and (c) 3IF channelsFigure 6.8: Output score of the low p_T BDTs in the (a) 2ISS, (b) 3IS, and (c) 3IF channels

Channel	BDT Score
2ISS high p_T	0.36
2ISS low p_T	0.34
3IS high p_T	0.51
3IS low p_T	0.43
3IF high p_T	0.33
3IF low p_T	0.41

Table 20: Cutoff values on background rejection MVA score applied to signal regions.

491 The event preselection and MVA selection define the three signal regions. These signal region
 492 definitions are summarized in Table 21.

Region	Selection
2ISS	Two same charge tight leptons with $p_T > 20$ GeV $N_{\text{jets}} \geq 4$, $N_{\text{b-jets}} \geq 1$ b-tagged jets Zero τ_{had} $H_{p_T}^{\text{pred}} > 150$ GeV and BDT score > 0.36 or $H_{p_T}^{\text{pred}} < 150$ GeV and BDT score > 0.34
3IS	Three light leptons with total charge ± 1 Two tight SS leptons, $p_T > 20$ GeV One loose OS lepton, $p_T > 10$ GeV $N_{\text{jets}} \geq 2$, $N_{\text{b-jets}} \geq 1$ b-tagged jets Zero τ_{had} $ M(l^+l^-) - 91.2 \text{ GeV} > 10 \text{ GeV}$ for all OSSF lepton pairs Decay NN Score < 0.23 $H_{p_T}^{\text{pred}} > 150$ GeV and BDT score > 0.51 or $H_{p_T}^{\text{pred}} > 150$ GeV and BDT score > 0.43
3IF	Three light leptons with total charge ± 1 Two tight SS leptons, $p_T > 20$ GeV One loose OS lepton, $p_T > 10$ GeV $N_{\text{jets}} \geq 2$, $N_{\text{b-jets}} \geq 1$ b-tagged jets Zero τ_{had} $ M(l^+l^-) - 91.2 \text{ GeV} > 10 \text{ GeV}$ for all OSSF lepton pairs Decay NN Score > 0.23 $H_{p_T}^{\text{pred}} > 150$ GeV and BDT score > 0.33 or $H_{p_T}^{\text{pred}} > 150$ GeV and BDT score > 0.41

Table 21: Selection applied to define the three signal regions used in the fit.

493 7 Systematic Uncertainties

494 The systematic uncertainties that are considered are summarized in Table 22. These are
 495 implemented in the fit either as a normalization factors or as a shape variation or both in the signal
 496 and background estimations. The numerical impact of each of these uncertainties is outlined in
 497 section 8.

Table 22: Sources of systematic uncertainty considered in the analysis. Some of the systematic uncertainties are split into several components, as indicated by the number in the rightmost column.

Systematic uncertainty	Components
Luminosity	1
Pileup reweighting	1
Physics Objects	
Electron	6
Muon	15
Jet energy scale and resolution	28
Jet vertex fraction	1
Jet flavor tagging	131
E_T^{miss}	3
Total (Experimental)	186
Background Modeling	
Cross section	24
Renormalization and factorization scales	10
Parton shower and hadronization model	2
Shower tune	4
Total (Signal and background modeling)	40
Background Modeling	
Cross section	24
Renormalization and factorization scales	10
Parton shower and hadronization model	2
Shower tune	4
Total (Signal and background modeling)	40
Total (Overall)	226

498 The uncertainty in the combined 2015+2016 integrated luminosity is derived from a calibration
 499 of the luminosity scale using x-y beam-separation scans performed in August 2015 and May 2016
 500 [11].

501 The experimental uncertainties are related to the reconstruction and identification of light leptons
 502 and b-tagging of jets, and to the reconstruction of E_T^{miss} . The TOTAL electron ID correlation

503 model is used, corresponding to 1 electron ID systematic. Electron ID is found to be a subleading
504 systematic that is unconstrained by the fit, making it an appropriate choice for this analysis.

505 The sources which contribute to the uncertainty in the jet energy scale [12] are decomposed into
506 uncorrelated components and treated as independent sources in the analysis. The CategoryReduction
507 model is used to account for JES uncertainties, which decomposes the uncertainties into 30
508 nuisance parameters included in the fit. The SimpleJER model is used to account for jet energy
509 resolution (JER) uncertainties, and 8 JER uncertainty components included as NPs in the fit.

510 The uncertainties in the b-tagging efficiencies measured in dedicated calibration analyses [13] are
511 also decomposed into uncorrelated components. The large number of components for b-tagging
512 is due to the calibration of the distribution of the BDT discriminant.

513 The systematic uncertainties associated with the signal and background processes are accounted
514 for by varying the cross-section of each process within its uncertainty.

515 The full list of systematic uncertainties considered in the analysis is summarized in Tables 23, 24
516 and 25.

517

Experimental Systematics on Leptons and E_T^{miss}			
Type	Description	Systematics Name	Application
Trigger			
Scale Factors	Trigger Efficiency	lepSFTrigTight_MU(EL)_SF_Trigger_STAT(SYST)	Event Weight
Muons			
Efficiencies	Reconstruction and Identification	lepSFObjTight_MU_SF_ID_STAT(SYST)	Event Weight
	Isolation	lepSFObjTight_MU_SF_Isol_STAT(SYST)	Event Weight
	Track To Vertex Association	lepSFObjTight_MU_SF_TTVA_STAT(SYST)	Event Weight
p_T Scale	p_T Scale	MUONS_SCALE	p_T Correction
Resolution	Inner Detector Energy Resolution	MUONS_ID	p_T Correction
	Muon Spectrometer Energy Resolution	MUONS_MS	p_T Correction
Electrons			
Efficiencies	Reconstruction	lepSFObjTight_EL_SF_ID	Event Weight
	Identification	lepSFObjTight_EL_SF_Reco	Event Weight
	Isolation	lepSFObjTight_EL_SF_Isol	Event Weight
Scale Factor	Energy Scale	EG_SCALE_ALL	Energy Correction
Resolution	Energy Resolution	EG_RESOLUTION_ALL	Energy Correction
E_T^{miss}			
Soft Tracks Terms	Resolution	MET_SoftTrk_ResoPerp	p_T Correction
	Resolution	MET_SoftTrk_ResoPara	p_T Correction
	Scale	MET_SoftTrk_ScaleUp	p_T Correction
	Scale	MET_SoftTrk_ScaleDown	p_T Correction

Table 23: Summary of experimental systematics considered for leptons and E_T^{miss} . Includes type, description, name of systematic as used in the fit, and mode of application. The mode of application indicates the systematic evaluation, e.g. as an overall event re-weighting (Event Weight) or rescaling (p_T Correction).

Experimental Systematics on Jets			
Type	Origin	Systematics Name	Application
Jet Vertex Tagger		JVT	Event Weight
Energy Scale	Calibration Method	JET_21NP_ JET_EffectiveNP_1-19	p_T Correction p_T Correction
	η inter-calibration	JET_EtaIntercalibration_Modelling JET_EtaIntercalibration_NonClosure JET_EtaIntercalibration_TotalStat	p_T Correction p_T Correction p_T Correction
	High p_T jets	JET_SingleParticle_HighPt	p_T Correction
	Pile-Up	JET_Pileup_OffsetNPV JET_Pileup_OffsetMu JET_Pileup_PtTerm JET_Pileup_RhoTopology	p_T Correction p_T Correction p_T Correction p_T Correction
	Non Closure	JET_PunchThrough_MC15	p_T Correction
	Flavour	JET_Flavor_Response JET_BJES_Response JET_Flavor_Composition	p_T Correction p_T Correction p_T Correction
Resolution		JET_JER_SINGLE_NP	Event Weight

Table 24: Jet systematics take into account effects of jets calibration method, η inter-calibration, high p_T jets, pile-up, and flavor response. They are all diagonalised into effective parameters.

Experimental Systematics on b-tagging		
Type	Origin	Systematic Name
Scale Factors	DL1r b-tagger efficiency on b originated jets in bins of η	DL1r_Continuous_EventWeight_B0-29
	DL1r b-tagger efficiency on c originated jets in bins of η	DL1r_Continuous_EventWeight_C0-19
	DL1r b-tagger efficiency on light flavoured originated jets in bins of η and p_T	DL1r_Continuous_EventWeight_Light0-79
	DL1r b-tagger extrapolation efficiency	DL1r_Continuous_EventWeight_extrapolation DL1r_Continuous_EventWeight_extrapolation_from_charm

Table 25: Summary of experimental systematics to be included for b-tagging of jets in the analysis, using the continuous DL1r tagging algorithm. All of the b-tagging related systematics are applied as event weights. From left: type, description, and the name of systematic used in the fit.

518 As mentioned in Section 3.2, a normalization corrections and uncertainties on the estimates of
 519 non-prompt leptons backgrounds are derived using data driven techniques, described in detail in
 520 [6]. These are derived from a likelihood fit over various non-prompt enriched control regions,
 521 targeting several sources of non-prompt light leptons separately: external conversion electrons,
 522 internal conversion electrons, electrons from heavy flavor decays, and muons from heavy flavor
 523 decays.

524 The normalization factor and uncertainty applied to each source of non-prompt leptons is
 525 summarized in Table 7

Processs	N
NF_e^{ExtCO}	1.70 ± 0.51
NF_e^{IntCO}	0.75 ± 0.26
NF_e^{HF}	1.09 ± 0.32
NF_μ^{HF}	1.28 ± 0.17

526 In addition to those derived from the control regions, several additional uncertainties are assigned
 527 to the non-prompt lepton background. An additional 25% uncertainty on material conversions is
 528 assigned, based on the comparison between data and MC in a region where a loose electron fails
 529 the photon conversion veto. A shape uncertainty of 15% (6%) is assigned to the HF non-prompt
 530 electron (muon) background based on a comparison between data and MC where the second
 531 leading electron (muon) is only required to be loose. As the contribution from light non-prompt
 532 leptons is small, about 10% percent of the contribution from HF non-prompt leptons, it is derived

533 from the agreement between data and simulation in a LF enriched region at low values of the
 534 non-prompt lepton BDT. The resulting uncertainty is 100%, and is taken to be uncorrelated
 535 between internal and material conversions.

536 Theoretical uncertainties applied to MC predictions, including cross section, PDF, and scale
 537 uncertainties are taken from theory calculations for the predominate prompt backgrounds.
 538 Following the nominal $t\bar{t}H$ – ML analysis, a 50% uncertainty is applied to Diboson to account
 539 for the large uncertainty in estimating $VV + \text{heavy flavor}$. The other “rare” background processes
 540 - including tZ , rare top processes, $ttWW$, WtZ , VVV , $tHjb$ and WtH - are assigned an overall
 541 50% normalization uncertainty as well. The theory uncertainties applied to the MC estimates are
 542 summarized in Table 26.

Process	X-section [%]
$t\bar{t} H$ (aMC@NLO+Pythia8)	QCD Scale: $^{+5.8}_{-9.2}$ PDF($+\alpha_S$): ± 3.6
$t\bar{t} Z$ (aMC@NLO+Pythia8)	QCD Scale: $^{+9.6}_{-11.3}$ PDF($+\alpha_S$): ± 4
$t\bar{t} W$ (aMC@NLO+Pythia8)	QCD Scale: $^{+12.9}_{-11.5}$ PDF($+\alpha_S$): ± 3.4
$tHjb$ (aMC@NLO+Pythia8)	QCD Scale: $^{+6.5}_{-14.9}$ PDF($+\alpha_S$): ± 3.7
WtH (aMC@NLO+Pythia8)	QCD Scale: $^{+5.0}_{-6.7}$ PDF($+\alpha_S$): ± 6.3
VV (Sherpa 2.2.1)	± 50
$t\bar{t}$	± 20
Others	± 50

Table 26: Summary of theoretical uncertainties for MC predictions in the analysis.

543 Additional uncertainties to account for $t\bar{t}W$ mismodelling are also applied. These include a
 544 “Generator” uncertainty, based on a comparison between the nominal Sherpa 2.2.5 sample, and
 545 the formerly used aMC@NLO sample, and an “Extra radiation” uncertainty, which includes
 546 renormalisation and factorisation scale variations of the Sherpa 2.2.5 sample.

547 8 Results

548 A maximum likelihood fit is performed simultaneously over the reconstructed Higgs p_T spectrum
 549 in the three signal regions, 2IS, 3IS, and 3IF. The signal is split into high and low p_T samples,
 550 based on whether the truth p_T of the Higgs is above or below 150 GeV. The parameters $\mu_{t\bar{t}H\text{high}p_T}$
 551 and $\mu_{t\bar{t}H\text{low}p_T}$, where $\mu = \sigma_{\text{observed}}/\sigma_{\text{SM}}$, are extracted from the fit, signifying the difference
 552 between the observed value and the theory prediction. Unblinded results are shown for the 80
 553 fb^{-1} data set, as well as MC only projections of results using the full Run-2, 140 fb^{-1} dataset.

554 As described in Section 7, there are 229 systematic uncertainties that are considered as NPs in
 555 the fit. These NPs are constrained by Gaussian or log-normal probability density functions. The
 556 latter are used for normalisation factors to ensure that they are always positive. The expected
 557 number of signal and background events are functions of the likelihood. The prior for each NP is
 558 added as a penalty term, decreasing the likelihood as it is shifted away from its nominal value.

559 8.1 Results - 80 fb^{-1}

560 As the data collected from 2015-2017 has been unblinded for $t\bar{t}H$ – ML channels, representing 80
 561 fb^{-1} , those events are unblinded. The predicted Higgs p_T spectrum is fit to data simultaneously
 562 in each of the three signal regions shown in Figure 8.1.

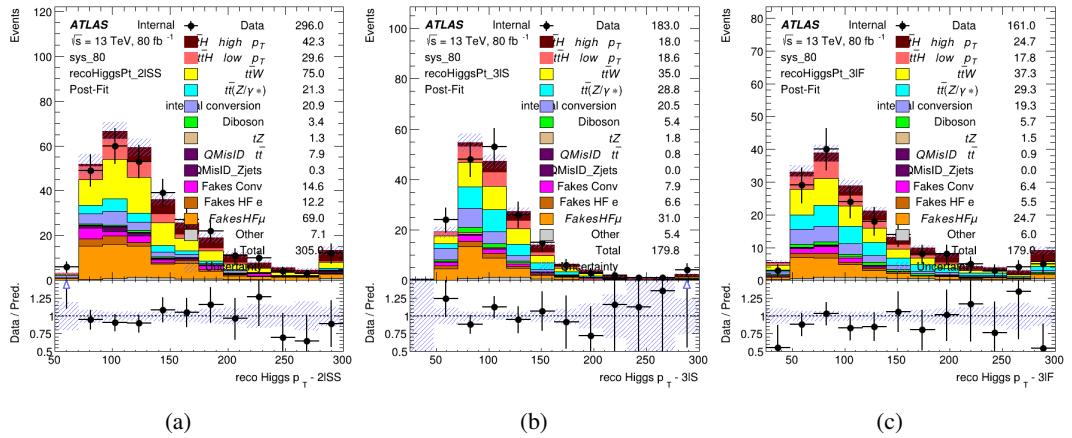


Figure 8.1: Post-fit distributions of the reconstructed Higgs p_T in the three signal regions, (a) 2ISS, (b) 3IS, and (c) 3IF, for 80 fb^{-1} of MC

563 A post-fit summary of the fitted regions is shown in Figure 8.2.

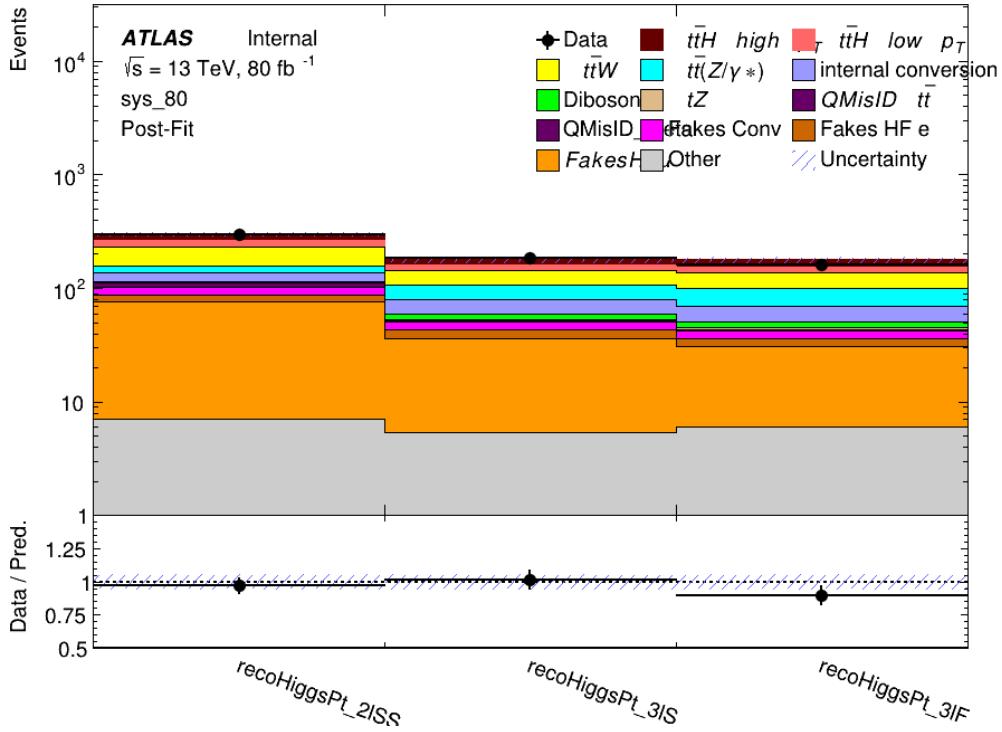


Figure 8.2: Post-fit summary of the yields in each signal region.

564 The measured μ values for high and low p_T Higgs production obtained from the fit are
 565 shown in 27. A significance of 1.7σ is observed for $t\bar{t}H$ high p_T , and 2.1σ is measured for
 566 $t\bar{t}H$ low p_T .

$$\mu_{t\bar{t}H \text{ high } p_T} = 2.1^{+0.62}_{-0.59} (\text{stat})^{+0.40}_{-0.43} (\text{sys})$$

$$\mu_{t\bar{t}H \text{ low } p_T} = 0.83^{+0.37}_{-0.37} (\text{stat})^{+0.48}_{-0.47} (\text{sys})$$

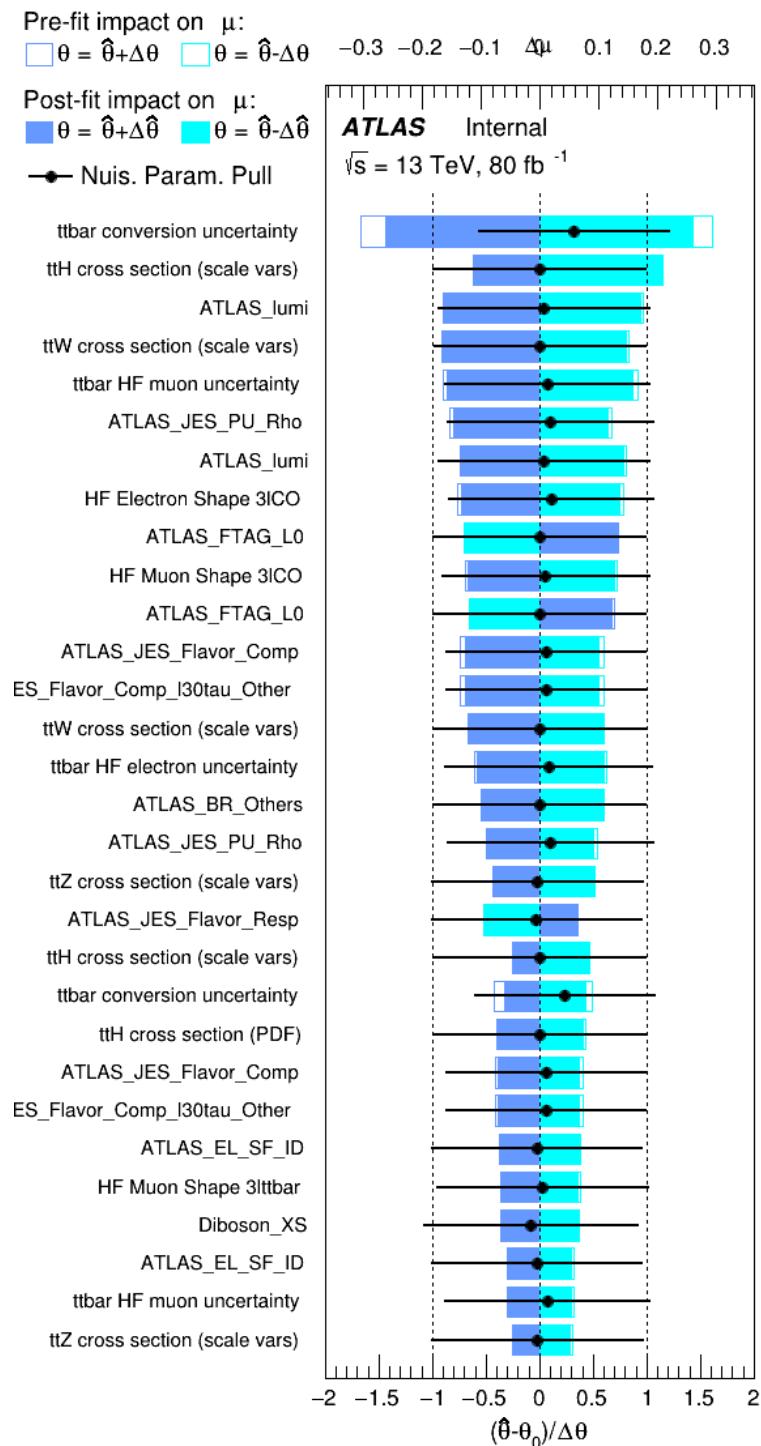
Table 27: Best fit μ values for $t\bar{t}H$ high p_T and $t\bar{t}H$ low p_T , where $\mu = \sigma_{\text{obs}}/\sigma_{\text{pred}}$

567 The most prominent sources of systematic uncertainty, as measured by their impact on $\mu_{t\bar{t}H \text{ high } p_T}$,
 568 are summarized in Table 28.

Uncertainty Source	$\Delta\mu$	
Jet Energy Scale	0.25	0.23
t̄H cross-section (QCD Scale)	-0.11	0.21
ATLAS Luminosity	-0.13	0.14
Jet Flavor Tagging	0.14	0.13
t̄W cross-section (QCD Scale)	-0.12	0.11
Higgs Branching Ratio	-0.1	0.11
t̄H cross-section (PDF)	-0.07	0.08
Electron ID	-0.06	0.06
t̄ HF Muon Unc.	-0.05	0.06
t̄Z cross-section (QCD Scale)	-0.05	0.05
Diboson cross-section	-0.05	0.05
HF Muon Shape - 3l	-0.04	0.04
Total Systematic Uncertainty	0.40	0.43

Table 28: Summary of the most significant sources of systematic uncertainty on the measurement of t̄H high p_T.

⁵⁶⁹ The ranking and impact of those nuisance parameters with the largest contribution to the overall
⁵⁷⁰ uncertainty is shown in Figure 8.3.

Figure 8.3: Impact of systematic uncertainties on the measurement of high p_T tH events

571 The background composition of each of the fit regions is shown in Figure 8.4.

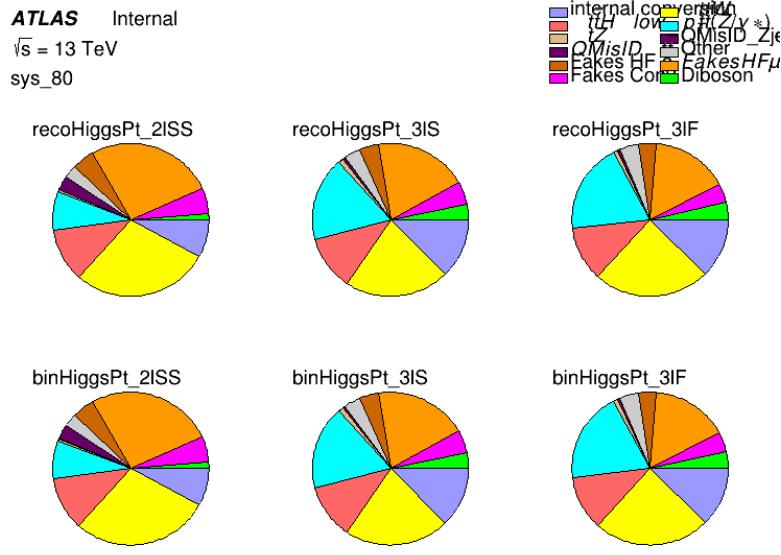


Figure 8.4: Background composition of the fit regions.

572 8.2 Projected Results - 140 fb^{-1}

573 As data collected in 2018 has not yet been unblinded for $t\bar{t}H$ – ML at the time of this note, data
 574 from that year remains blinded. Instead, an Asimov fit is performed – with the MC prediction
 575 being used both as the SM prediction as well as the data in the fit – in order to give expected
 576 results.

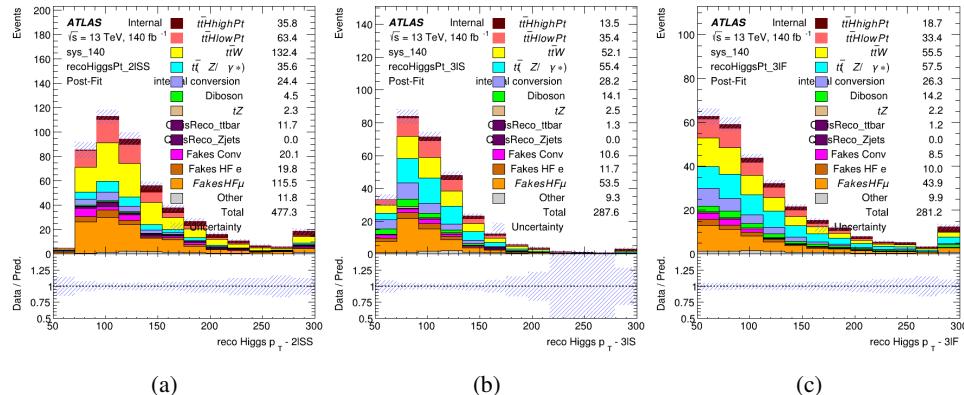


Figure 8.5: Blinded post-fit distributions of the reconstructed Higgs p_T in the three signal regions, (a) 2ISS, (b) 3IS, and (c) 3IF, for 140 fb^{-1} of data

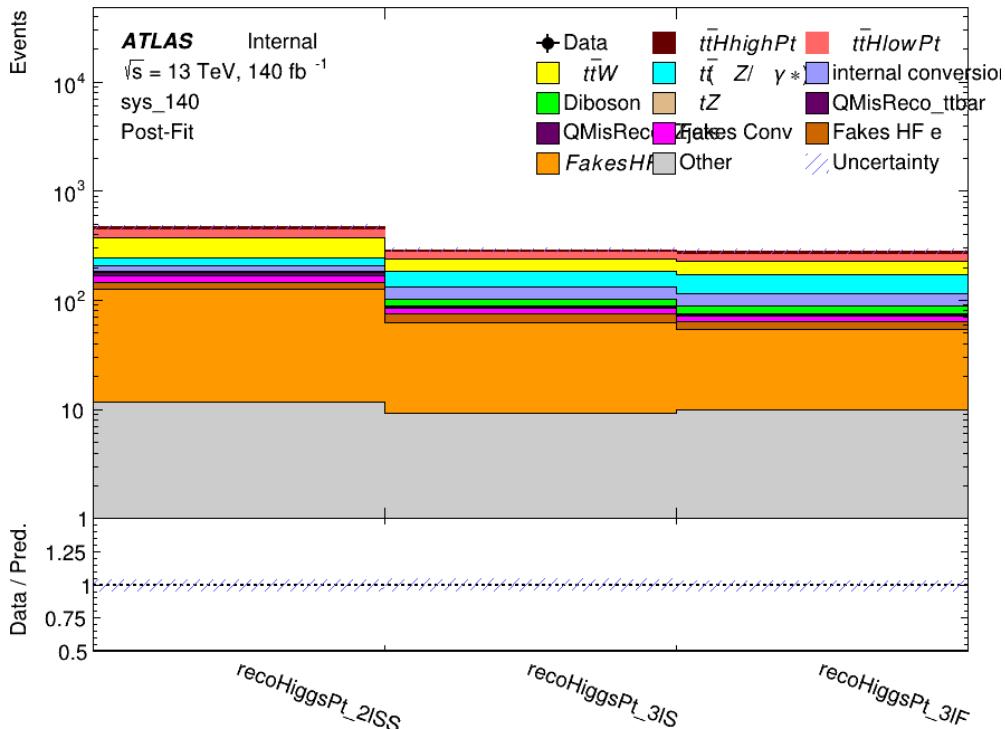


Figure 8.6: Post-fit summary of fit.

577 Projected uncertainties on the μ values extracted from the fit for high and low p_T Higgs are shown
 578 in 29. A significance of 2.0σ is expected for $t\bar{t}H$ high p_T , and a projected significance 2.3σ is
 579 extracted for $t\bar{t}H$ low p_T .

$$\mu_{t\bar{t}H \text{ high } p_T} = 1.00^{+0.45}_{-0.43} (\text{stat})^{+0.31}_{-0.31} (\text{sys})$$

$$\mu_{t\bar{t}H \text{ low } p_T} = 1.00^{+0.29}_{-0.30} (\text{stat})^{+0.47}_{-0.46} (\text{sys})$$

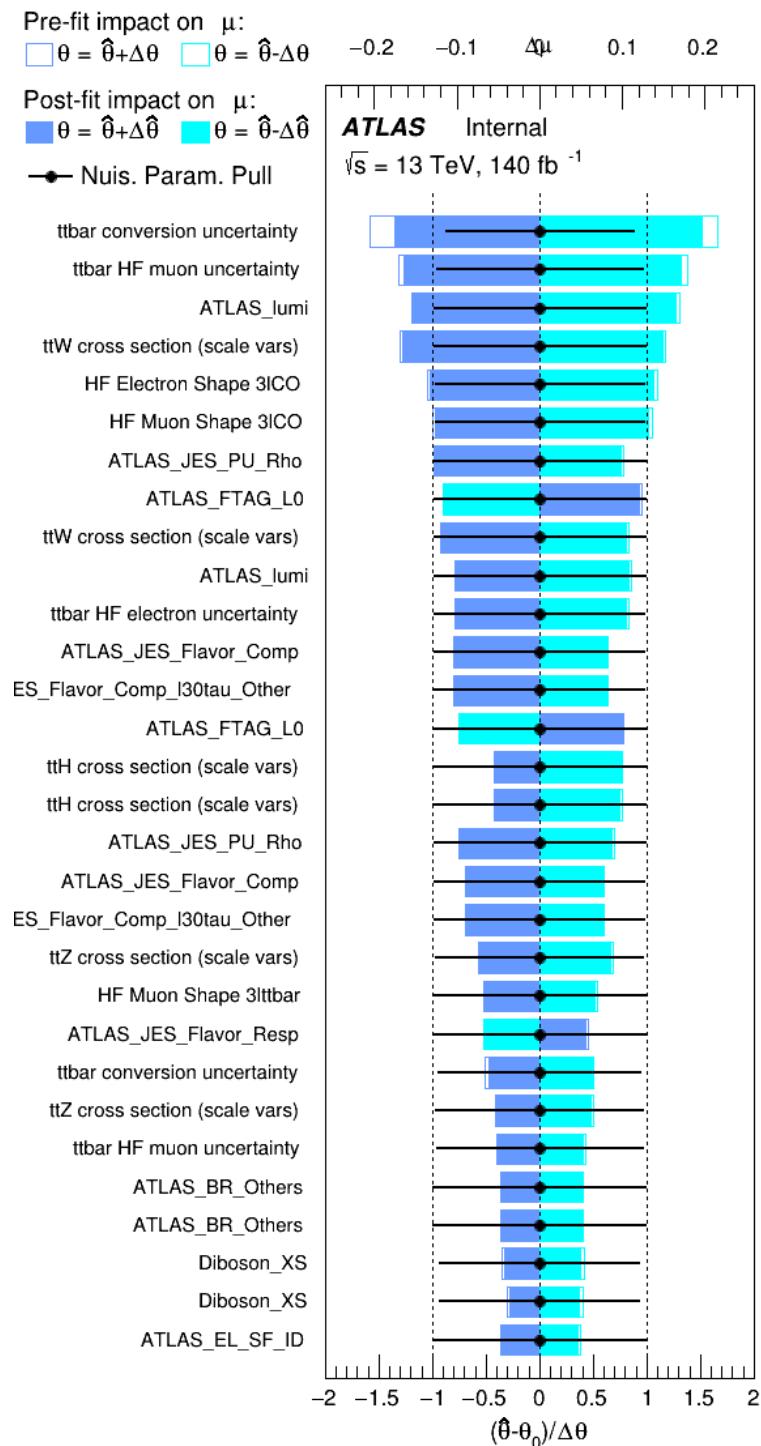
Table 29: Best fit μ values for $t\bar{t}H$ high p_T and $t\bar{t}H$ low p_T , where $\mu = \sigma_{\text{obs}}/\sigma_{\text{pred}}$

580 The most prominent sources of systematic uncertainty, as measured by their impact on $\mu_{t\bar{t}H \text{ high } p_T}$,
 581 are summarized in Table 30.

Uncertainty Source	$\Delta\mu$	
Jet Energy Scale	0.2	0.18
t̄W cross-section (QCD Scale)	-0.12	0.11
ATLAS Luminosity	-0.11	0.11
Jet Flavor Tagging	0.11	0.10
t̄H cross-section (QCD Scale)	-0.06	0.06
Higgs Branching Ratio	-0.1	0.11
t̄H cross-section (PDF)	-0.07	0.08
Electron ID	-0.05	0.05
t̄ HF Muon Unc.	-0.04	0.06
t̄Z cross-section (QCD Scale)	-0.03	0.04
Diboson cross-section	-0.03	0.03
HF Muon Shape - 3l	-0.02	0.02
Total Systematic Uncertainty	0.31	0.31

Table 30: Summary of the most significant sources of systematic uncertainty on the measurement of t̄H high p_T.

⁵⁸² The ranking and impact of those nuisance parameters with the largest contribution to the overall
⁵⁸³ uncertainty is shown in Figure 8.7.

Figure 8.7: Impact of systematic uncertainties on the measurement of high p_T $t\bar{t}H$ events

584 The background composition of each of the fit regions is shown in Figure 8.8.

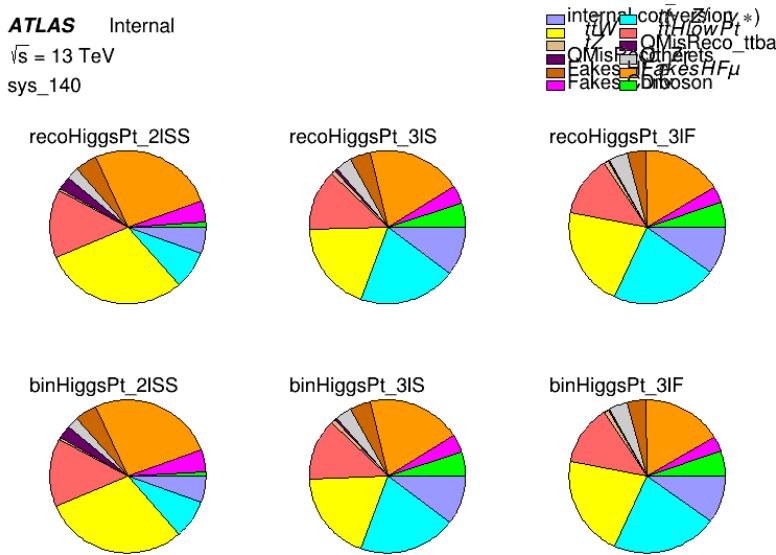


Figure 8.8: Background composition of the fit regions.

585 9 Conclusion

586 As search for the effects of dimension-six operators on $t\bar{t}H$ production is performed. An effective
 587 field theory approach is used to parameterize the effects of high energy physics on the Higgs
 588 momentum spectrum. The momentum spectrum is reconstructed using various MVA techniques,
 589 and the limits on dimension-six operators are limited to X.

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632 List of contributions

633

634 Appendices

635 A Machine Learning Models

636 The following section provides details of the various MVAs as well as a few studies performed in
637 support of this analysis, exploring alternate decisions and strategies.

638 A.1 Higgs Reconstruction Models

639 A.1.1 b-jet Identification Features - 2lSS

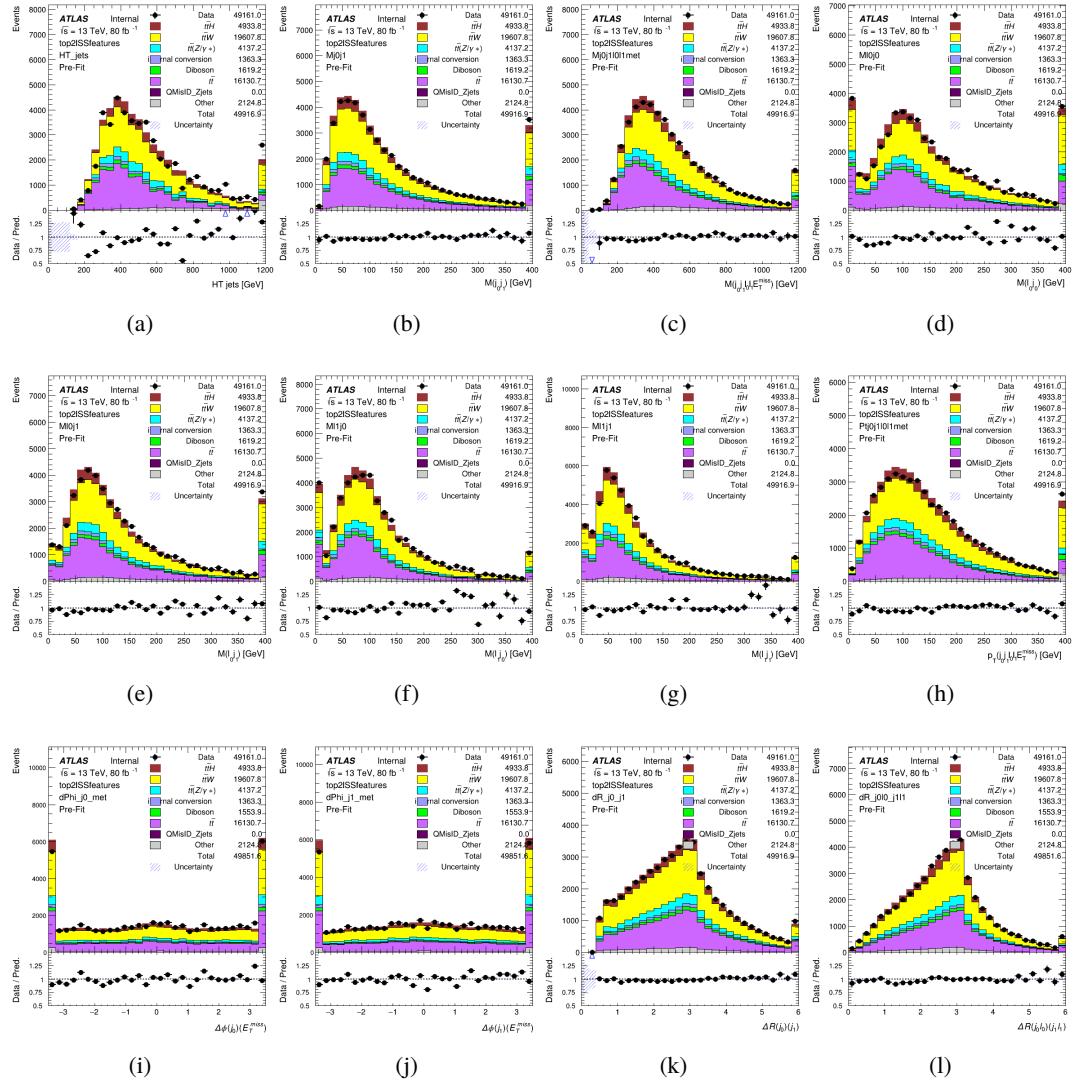


Figure A.1: Input features for top2lSS

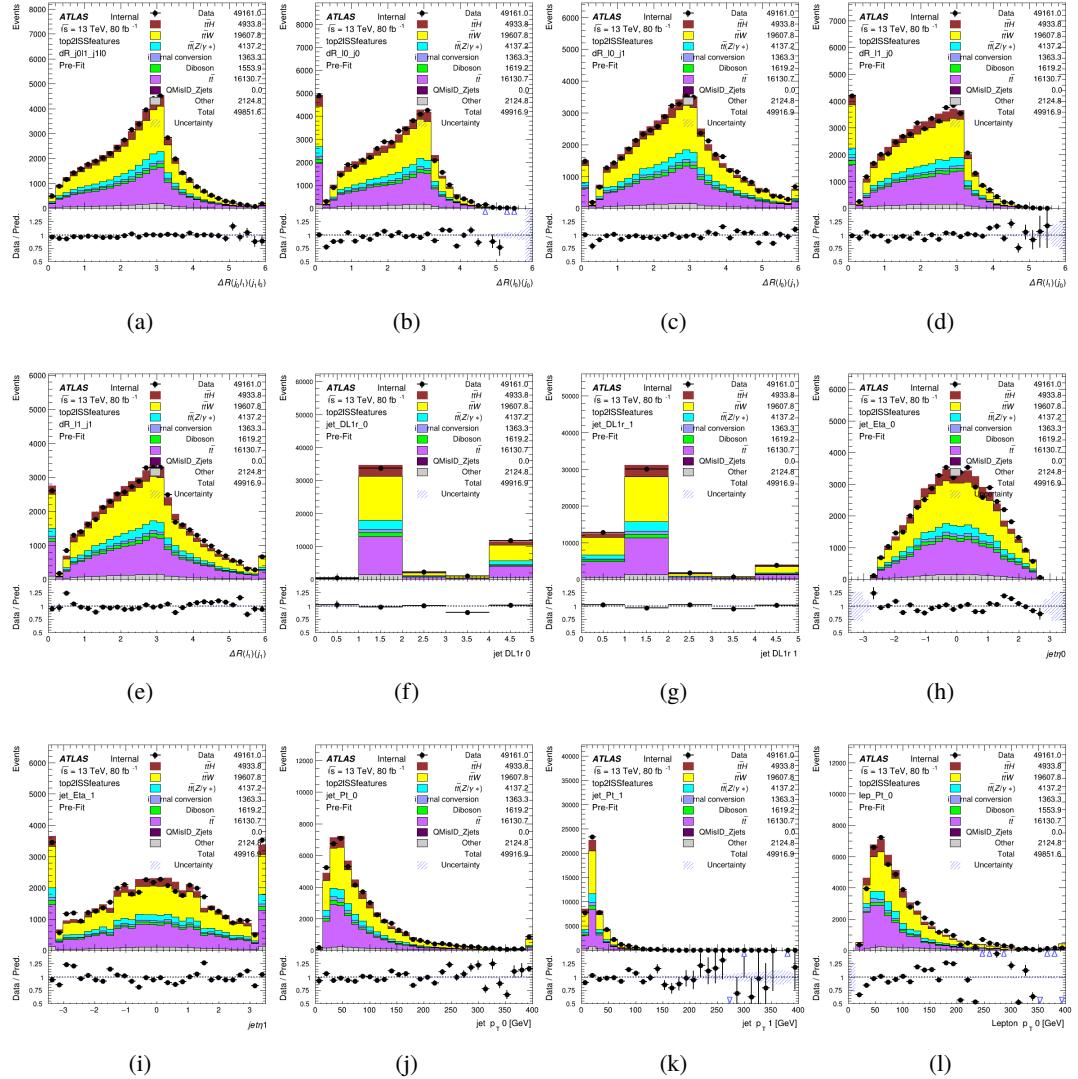


Figure A.2: Input features for top2lSS

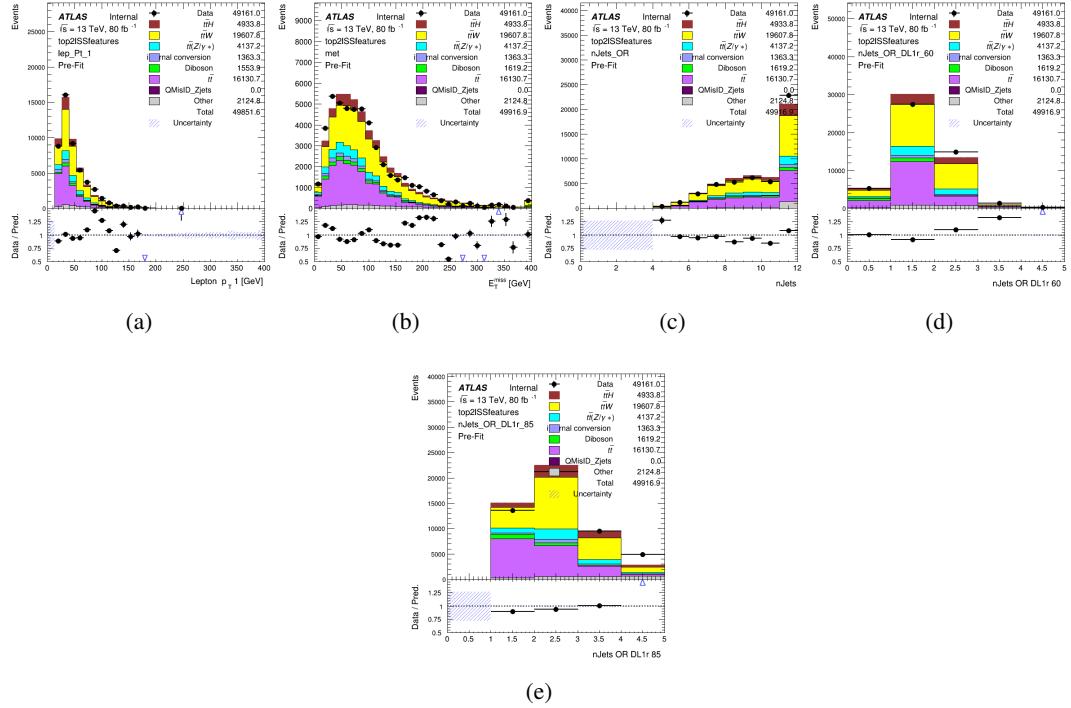


Figure A.3: Input features for top2ISS

640 **A.1.2 b-jet Identification Features - 3l**

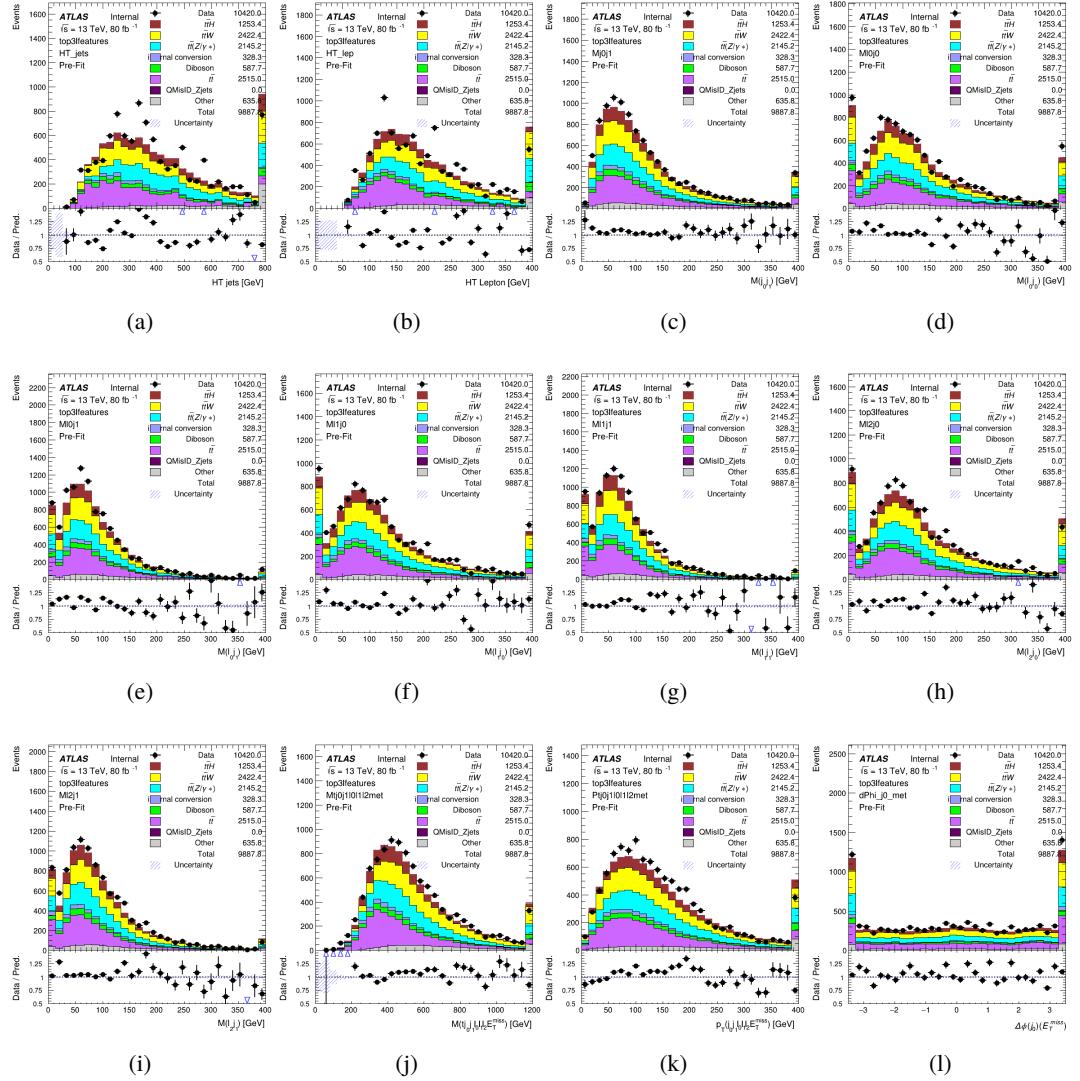


Figure A.4: Input features for top31

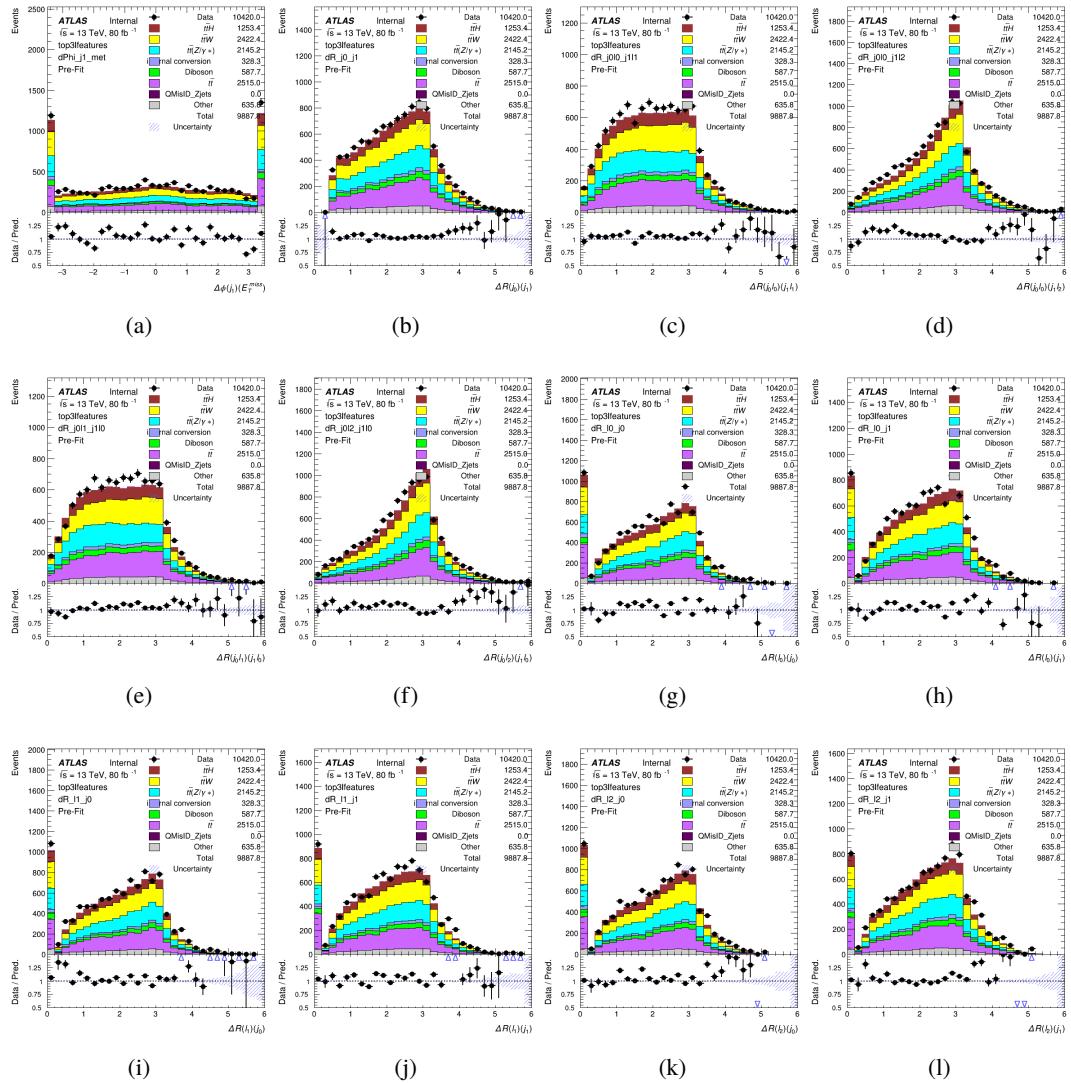


Figure A.5: Input features for top31

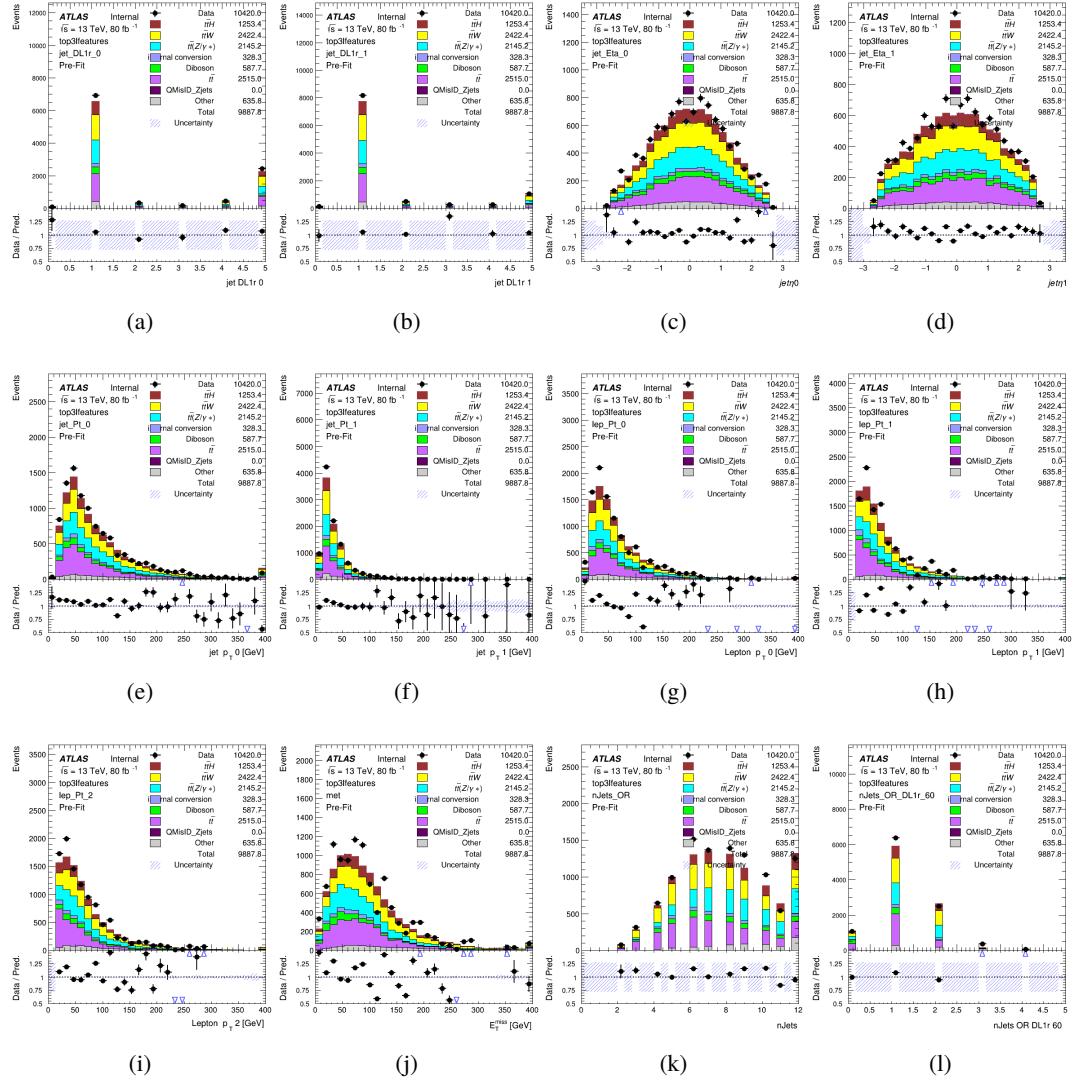
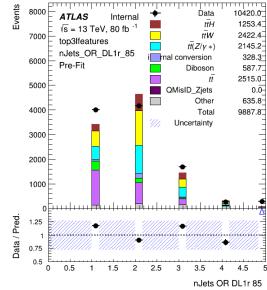


Figure A.6: Input features for top31



(a)

Figure A.7: Input features for top31

641 **A.1.3 Higgs Reconstruction Features - 2lSS**

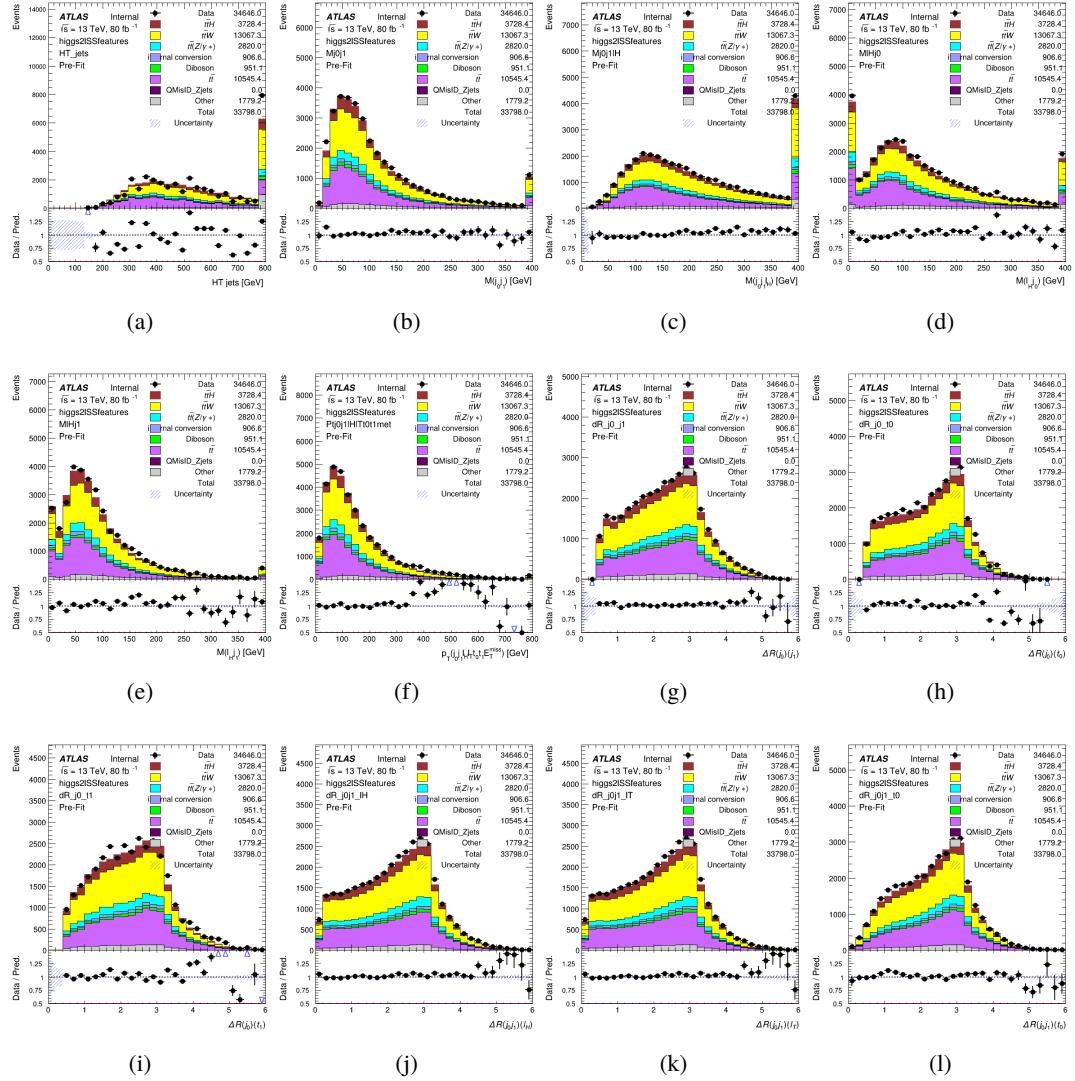


Figure A.8: Input features for higgs2lSS

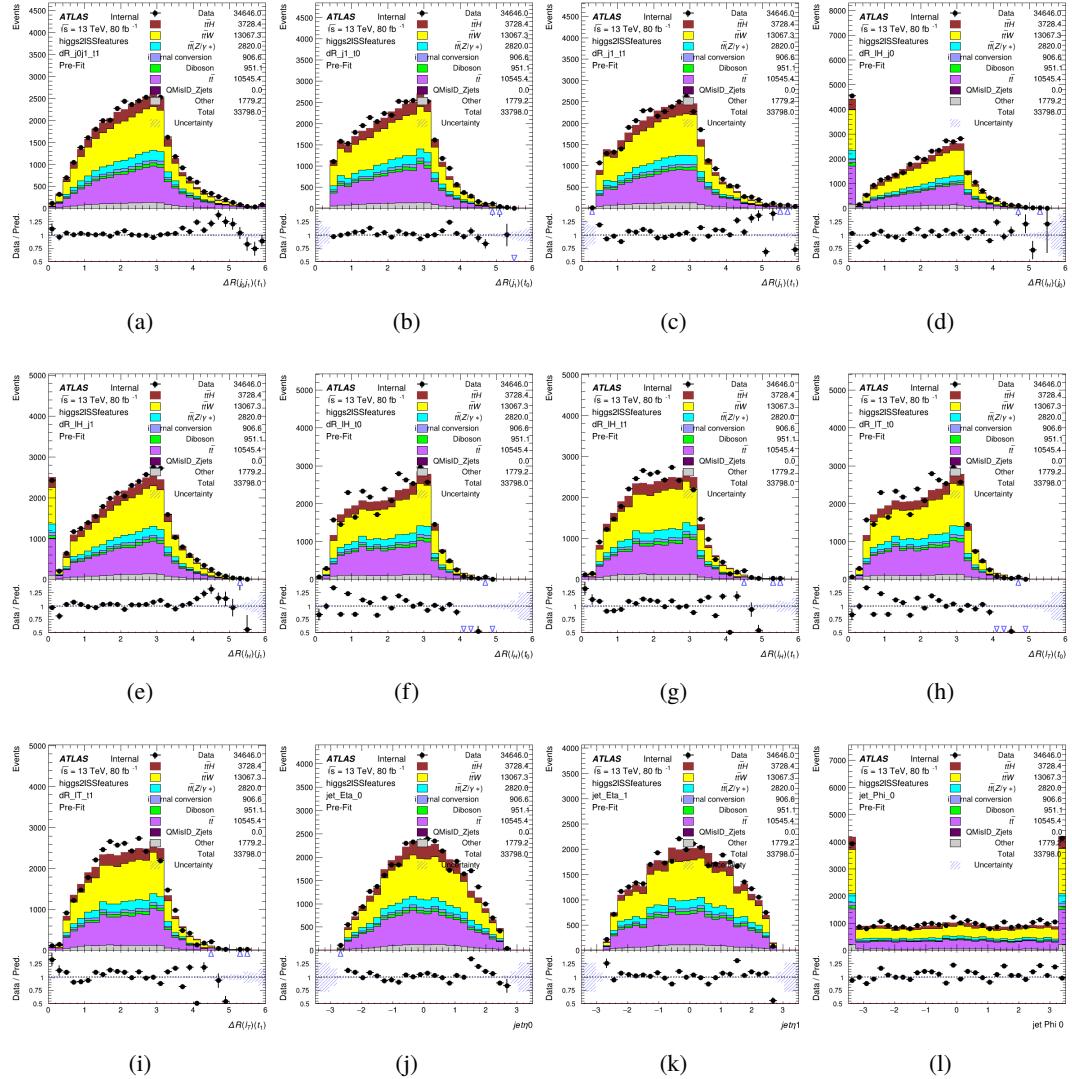


Figure A.9: Input features for higgs2lSS

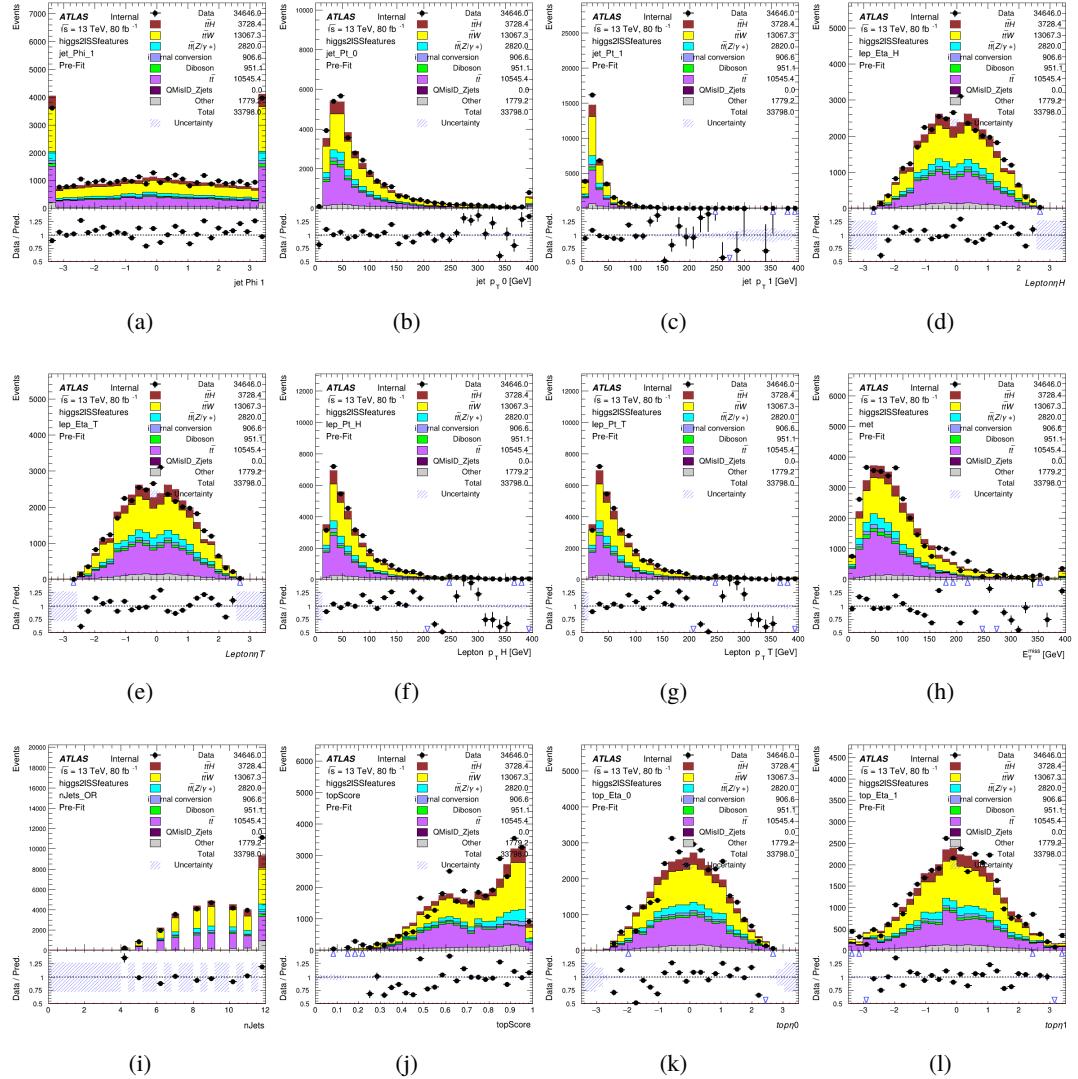


Figure A.10: Input features for higgs2IS

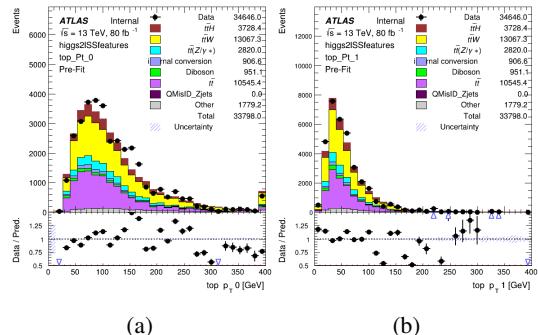


Figure A.11: Input features for higgs2lSS

642 **A.1.4 Higgs Reconstruction Features - 3lS**

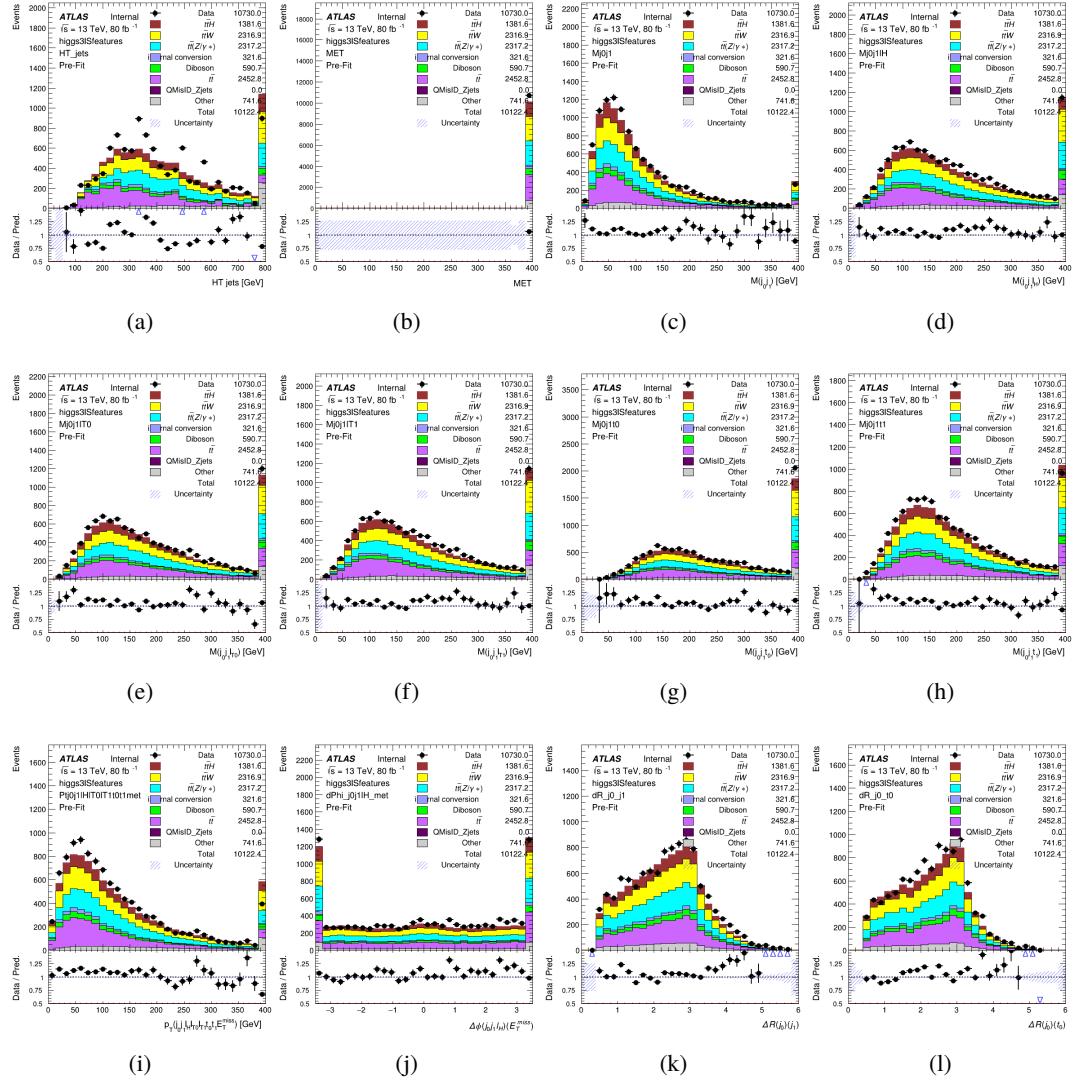


Figure A.12: Input features for higgs3S1S

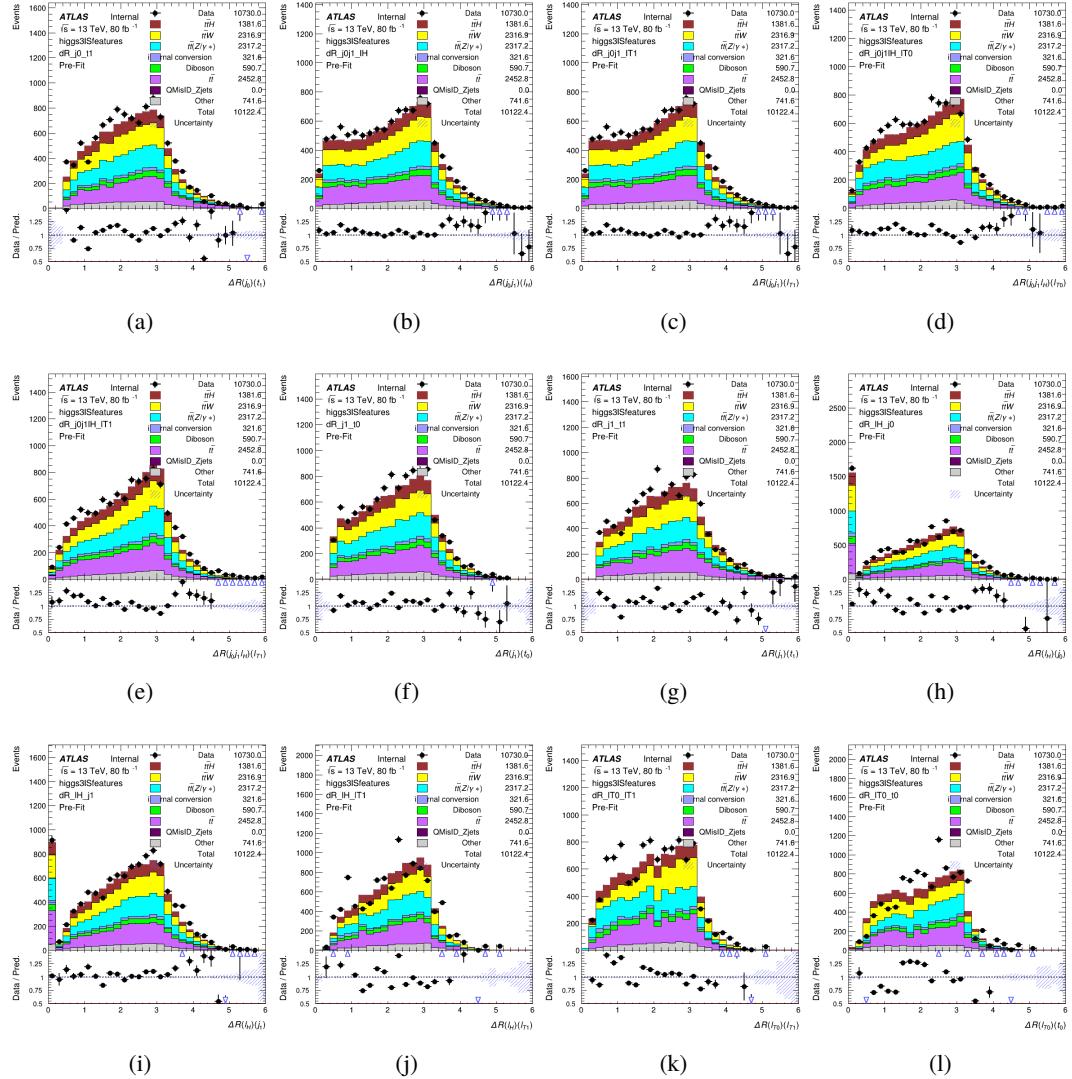


Figure A.13: Input features for higgs3IS

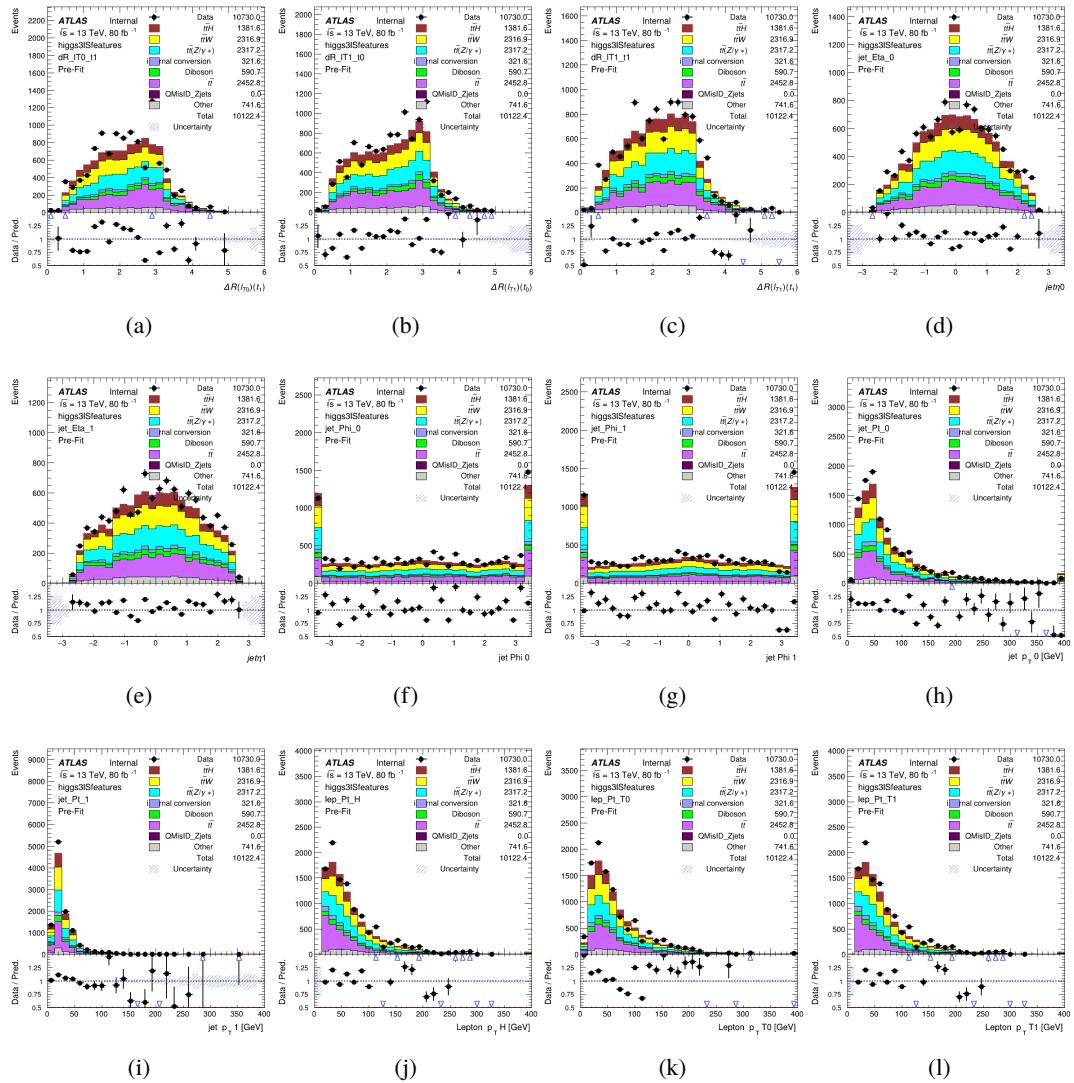


Figure A.14: Input features for higgs31S

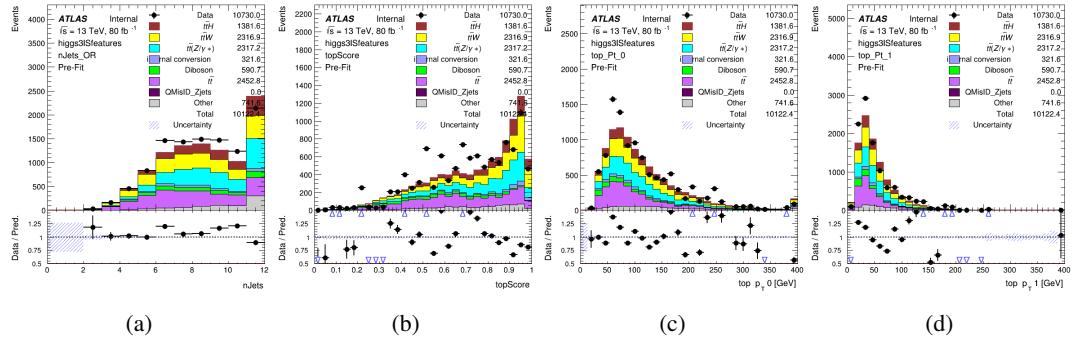


Figure A.15: Input features for higgs3IS

643 **A.1.5 Higgs Reconstruction Features - 3lF**

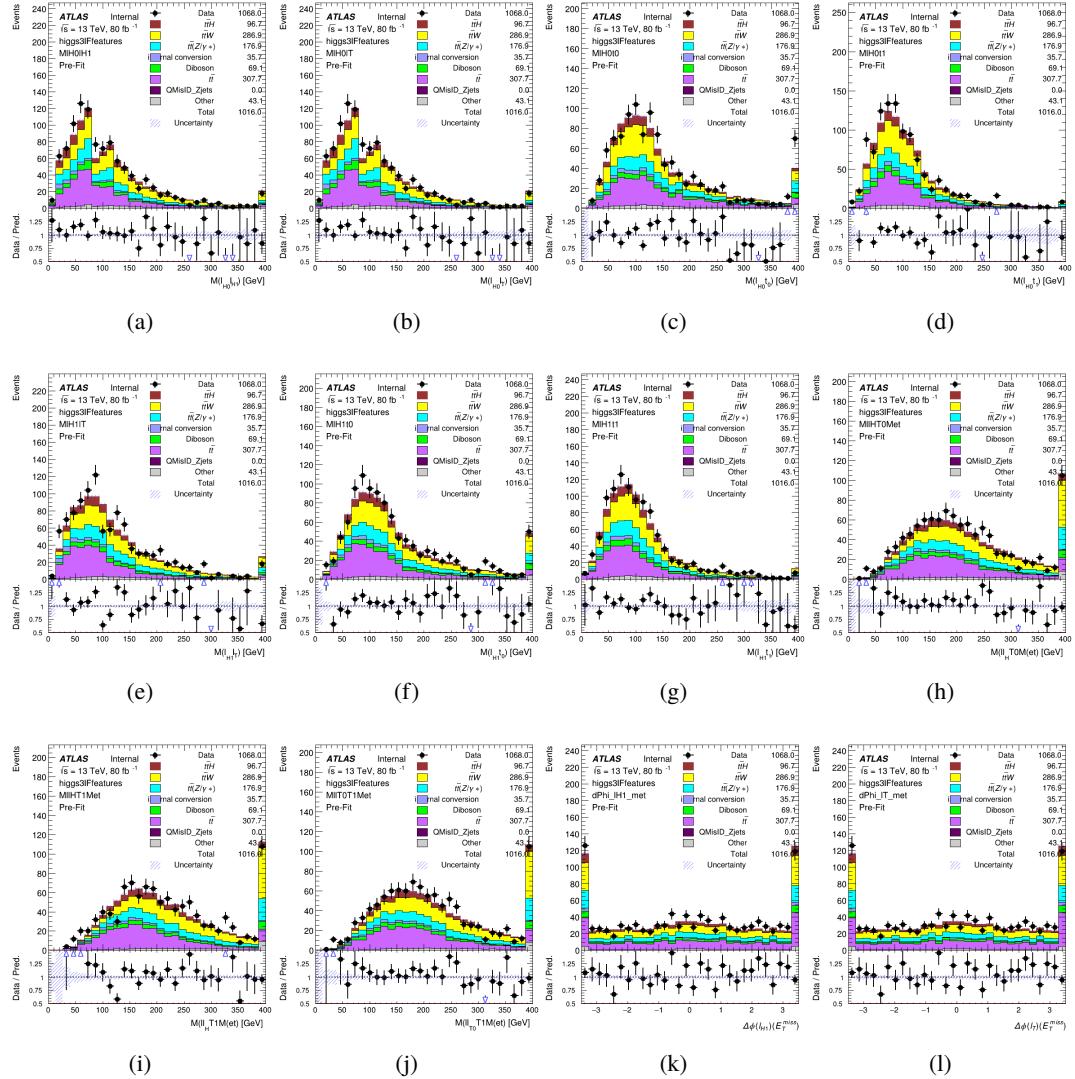


Figure A.16: Input features for higgs3IF

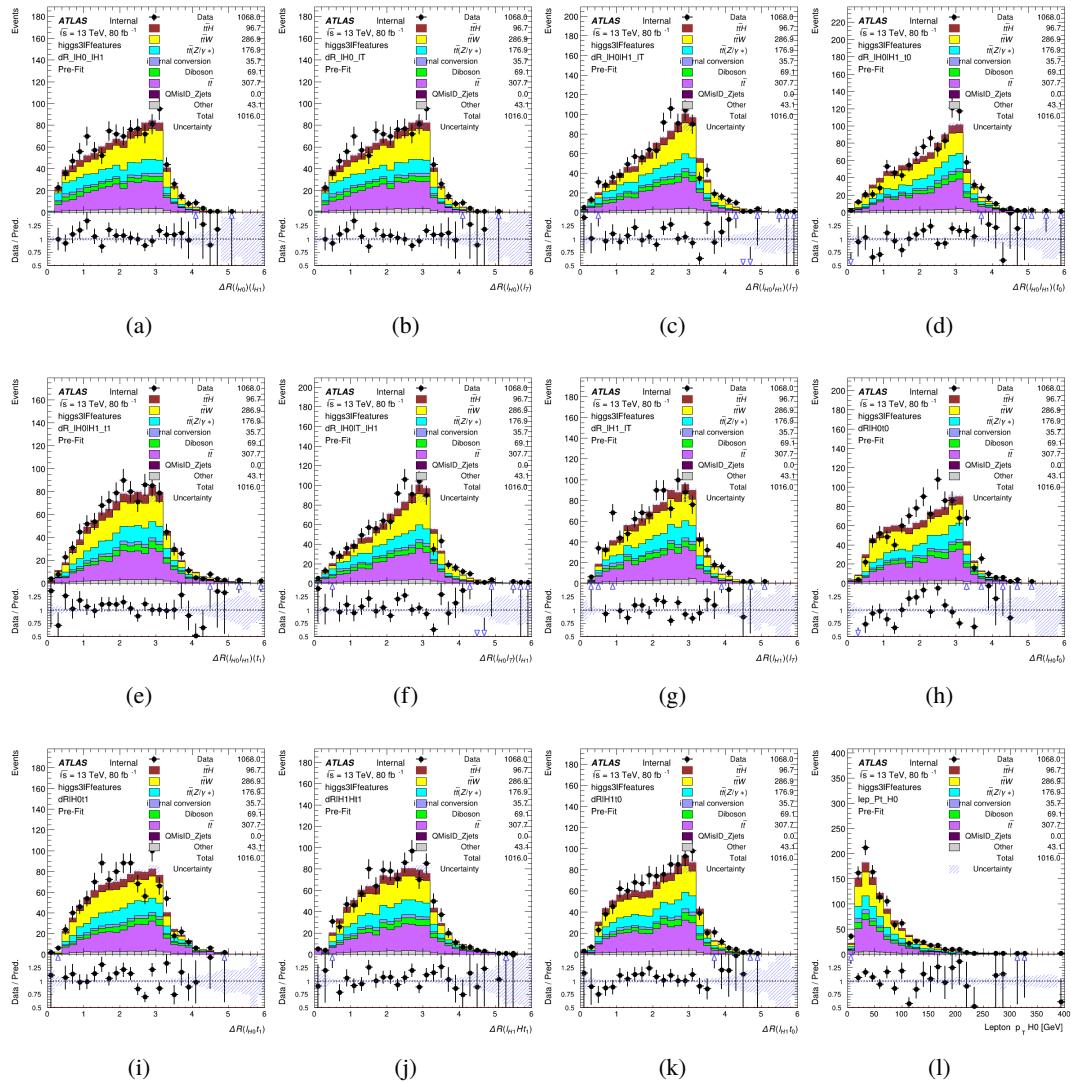


Figure A.17: Input features for higgs3lF

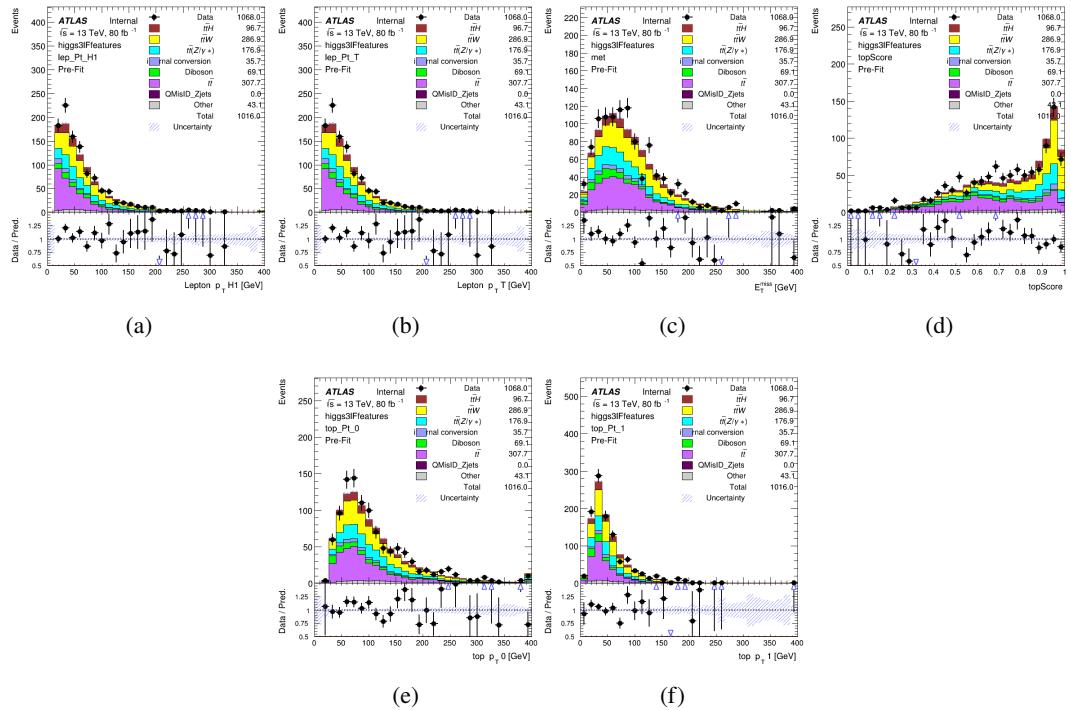


Figure A.18: Input features for higgs3lF

644 **A.2 Background Rejection MVAs**

645 **A.2.1 Background Rejection MVA Features - 2lSS**

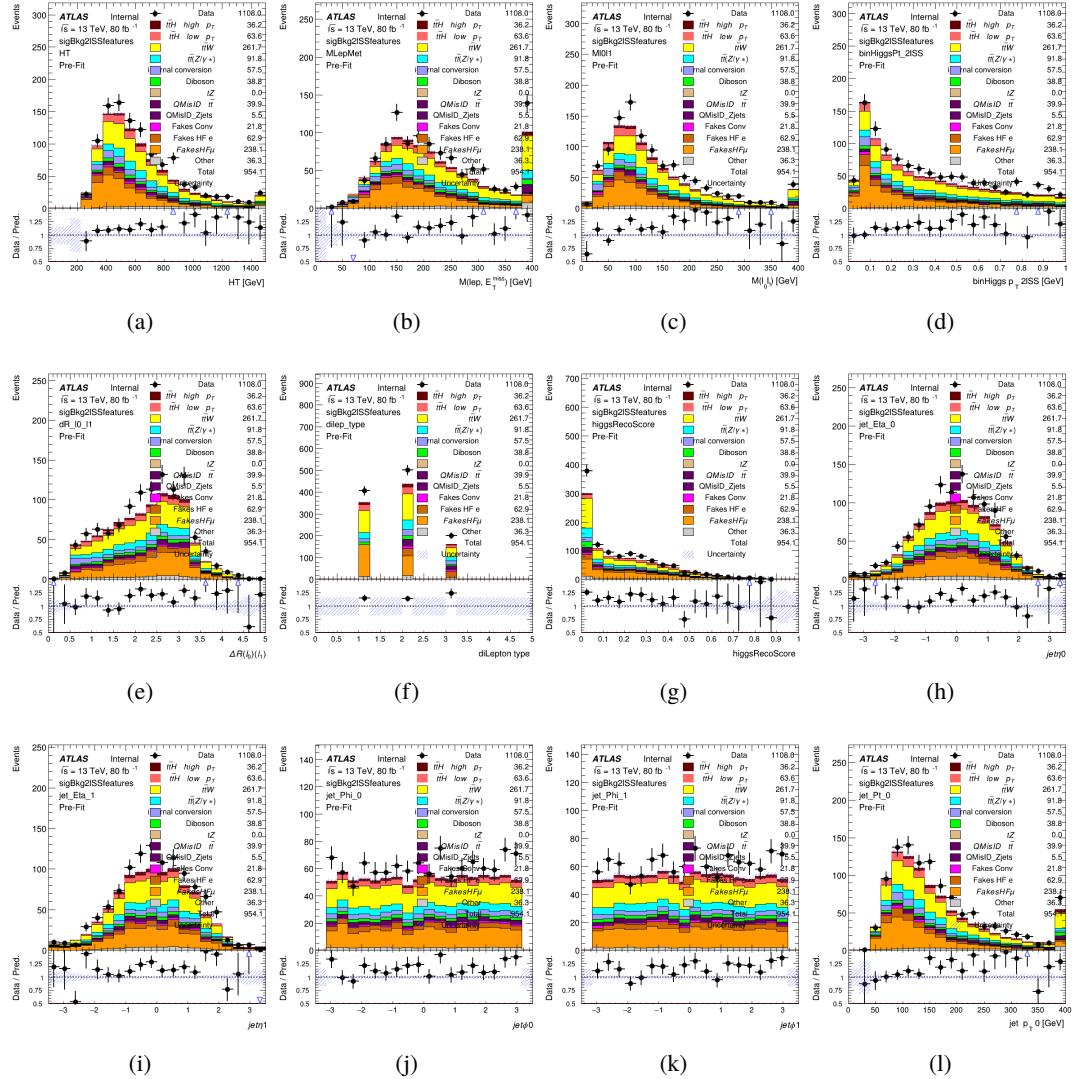


Figure A.19: Input features for sigBkg2lSS

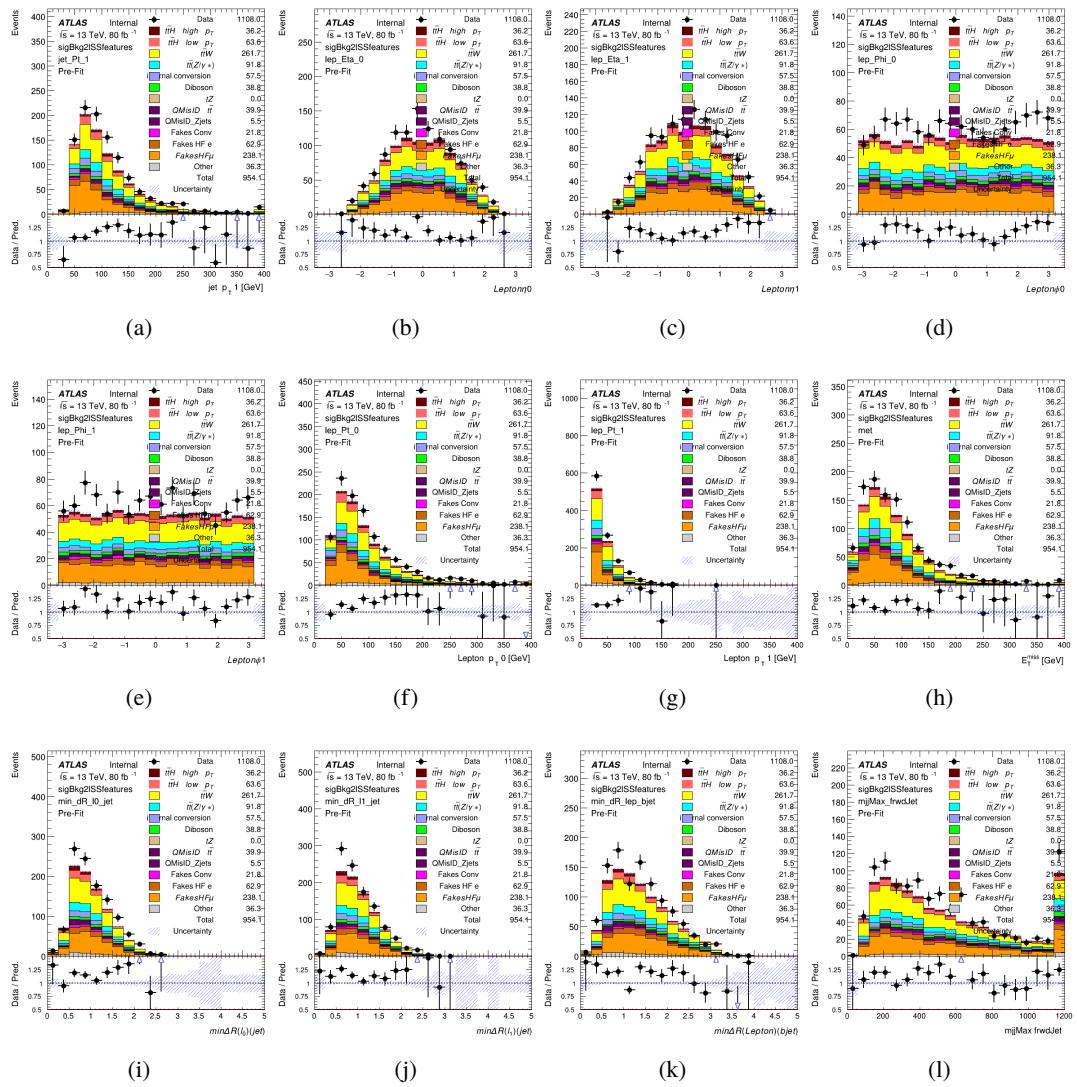


Figure A.20: Input features for sigBkg2lSS

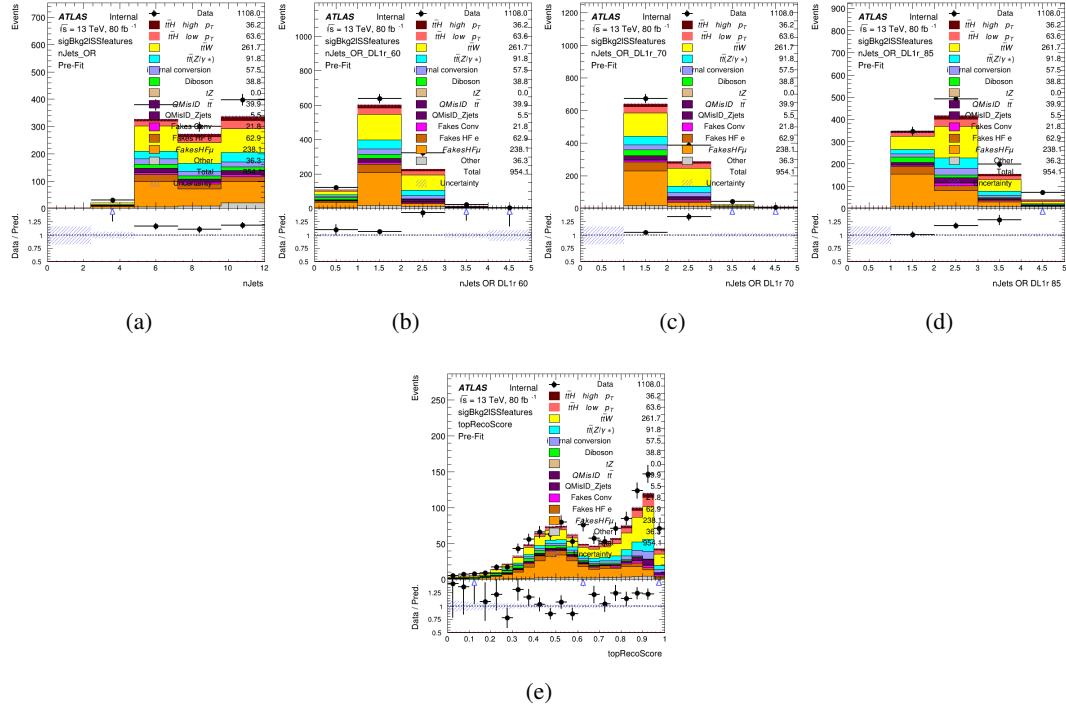


Figure A.21: Input features for sigBkg2lSS

646 **A.2.2 Background Rejection MVA Features - 3l**

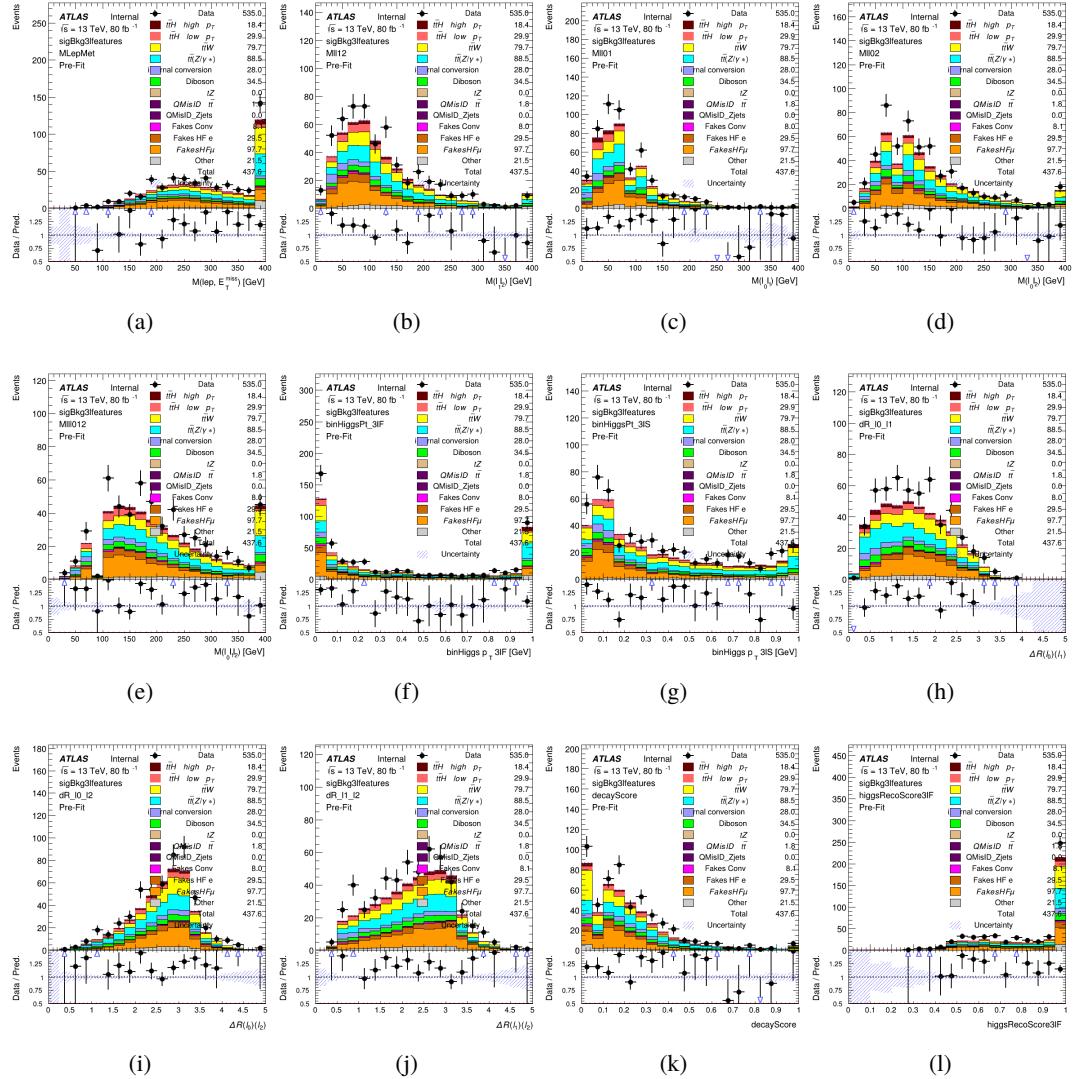


Figure A.22: Input features for sigBkg3l

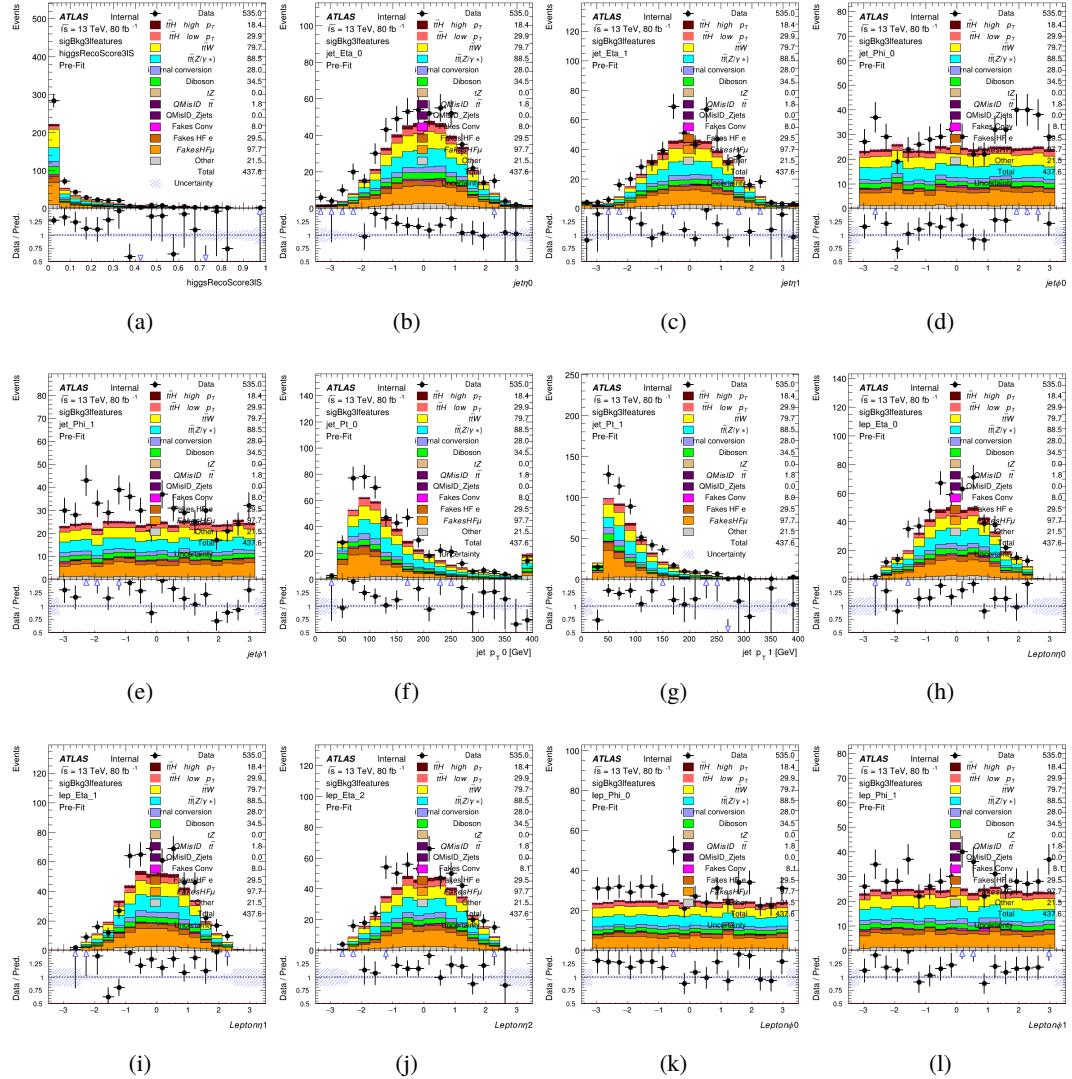
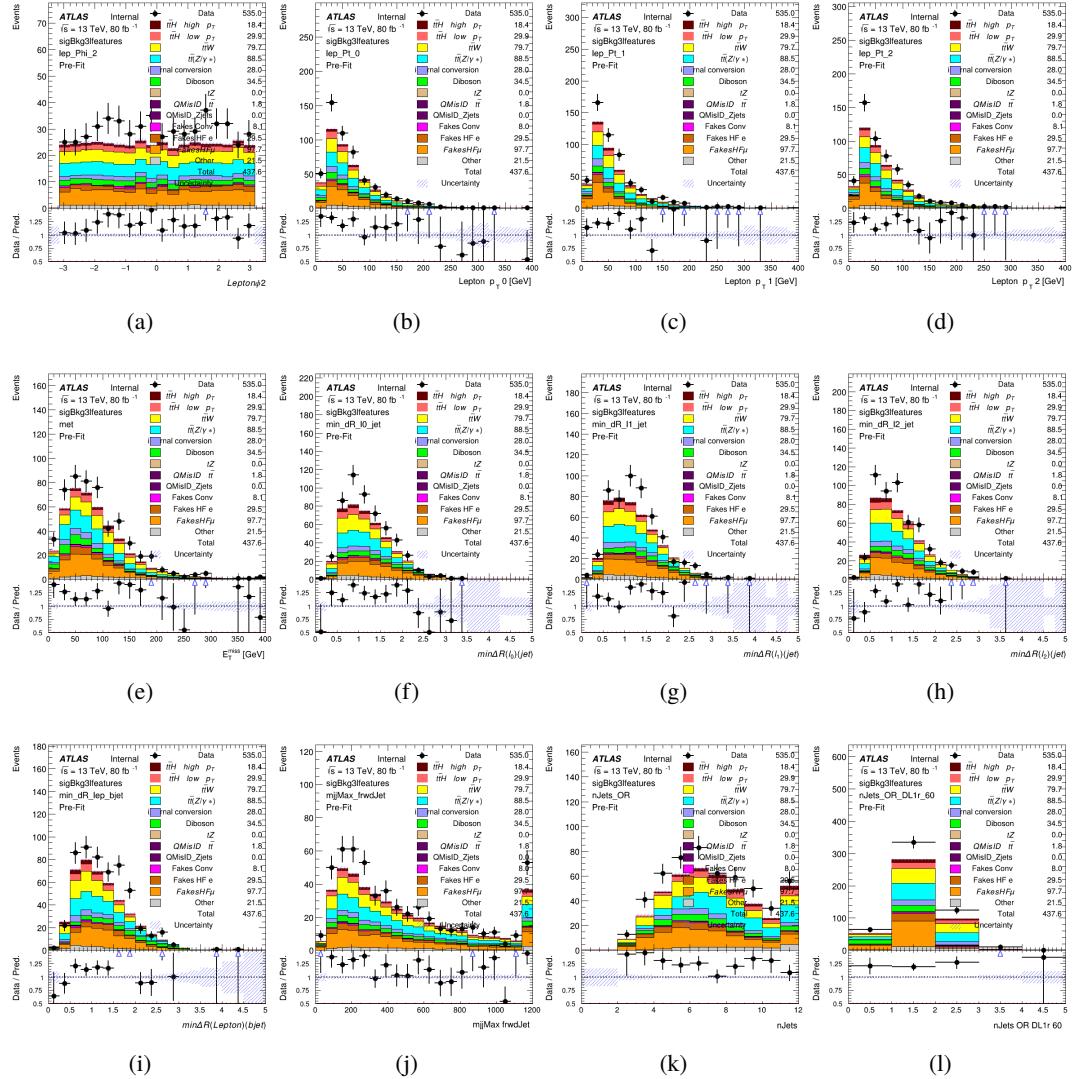


Figure A.23: Input features for sigBkg3l

Figure A.24: Input features for `sigBkg3l`

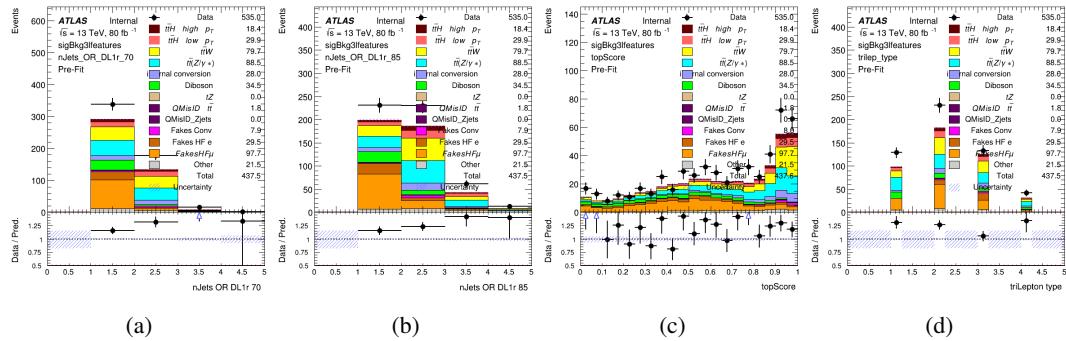


Figure A.25: Input features for sigBkg3l

647 A.3 Alternate b-jet Identification Algorithm

648 The nominal analysis reconstructs the b-jets by considering different combinations of jets, and
 649 asking a neural network to determine whether each combination consists of b-jets from top quark
 650 decays. An alternate approach would be to give the neural network about all of the jets in an event
 651 at once, and train it to select which two are most likely to be the b-jets from top decay. It was
 652 hypothesized that this could perform better than considering each combination independently, as
 653 the neural network could consider the event as a whole. While this is not found to be the case,
 654 these studies are documented here as a point of interest and comparison.

655 For these studies, the kinematics of the 10 highest p_T jets in each event are used for training. This
 656 includes the vast majority of truth b-jets. Specifically the p_T , η , ϕ , E , and DL1r score of each jet
 657 are used. For events with fewer than 10 jets, these values are substituted with 0. The p_T , η , ϕ ,
 658 and E of the leptons and E_T^{miss} are included as well. Categorical cross entropy is used as the loss
 659 function.

Table 31: Accuracy of the NN in identifying b-jets from tops in 2lSS events for the alternate categorical method compared to the nominal method.

Channel	Categorical	Nominal
2lSS	70.6%	73.9%
3l	76.1%	79.8%

660 A.4 Binary Classification of the Higgs p_T

661 A two bin fit of the Higgs momentum is used because statistics are insufficient for any finer
 662 resolution. This means separating high and low p_T events is sufficient for this analysis. As such,
 663 rather than attempting the reconstruct the full Higgs p_T spectrum, a binary classification approach
 664 is explored.

665 A model is built to determine whether $t\bar{t}H$ events include a high p_T (>150 GeV) or low p_T (<150
 666 GeV) Higgs Boson. While this is now a classification model, it uses the same input features
 667 described in section 5.4. Binary crossentropy is used as the loss function.

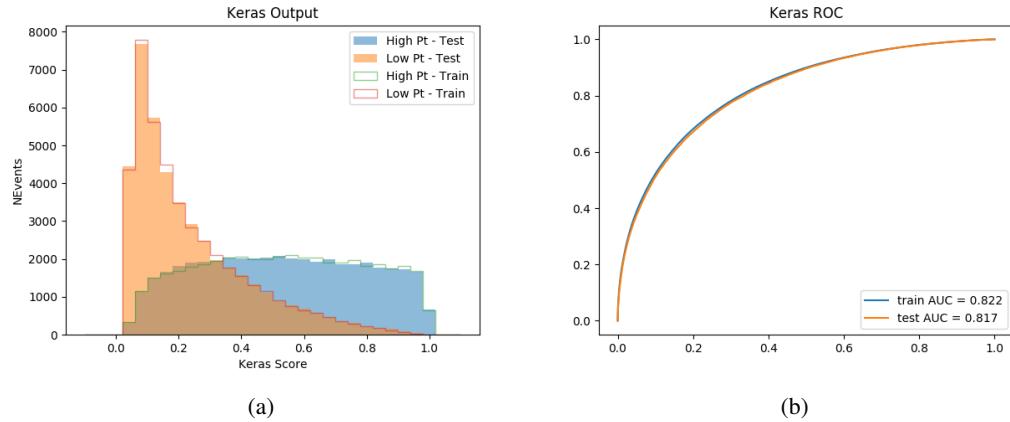


Figure A.26:

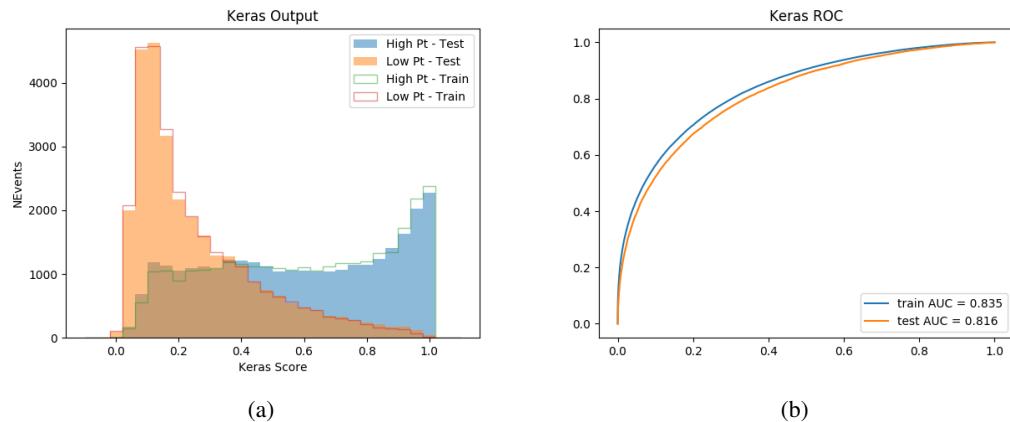


Figure A.27:

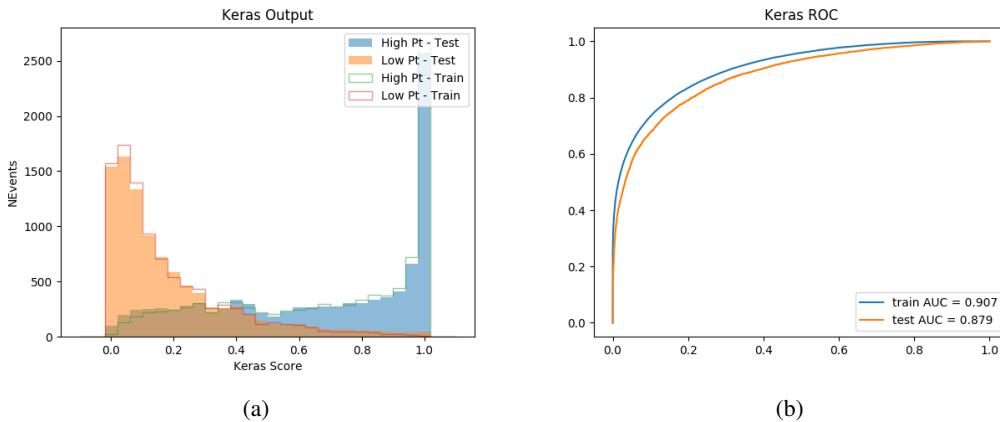


Figure A.28:

A.5 Impact of Alternative Jet Selection

A relatively low p_T threshold of 15 GeV is used to determine jet candidates, as the jets originating from the Higgs decay are found to fall between 15 and 25 GeV a large fraction of the time. The impact of different jet p_T cuts on our ability to reconstruct the Higgs p_T is explored here. The performance of the Higgs p_T prediction models is evaluated for jet p_T cuts of 10, 15, 20, and 25 GeV.

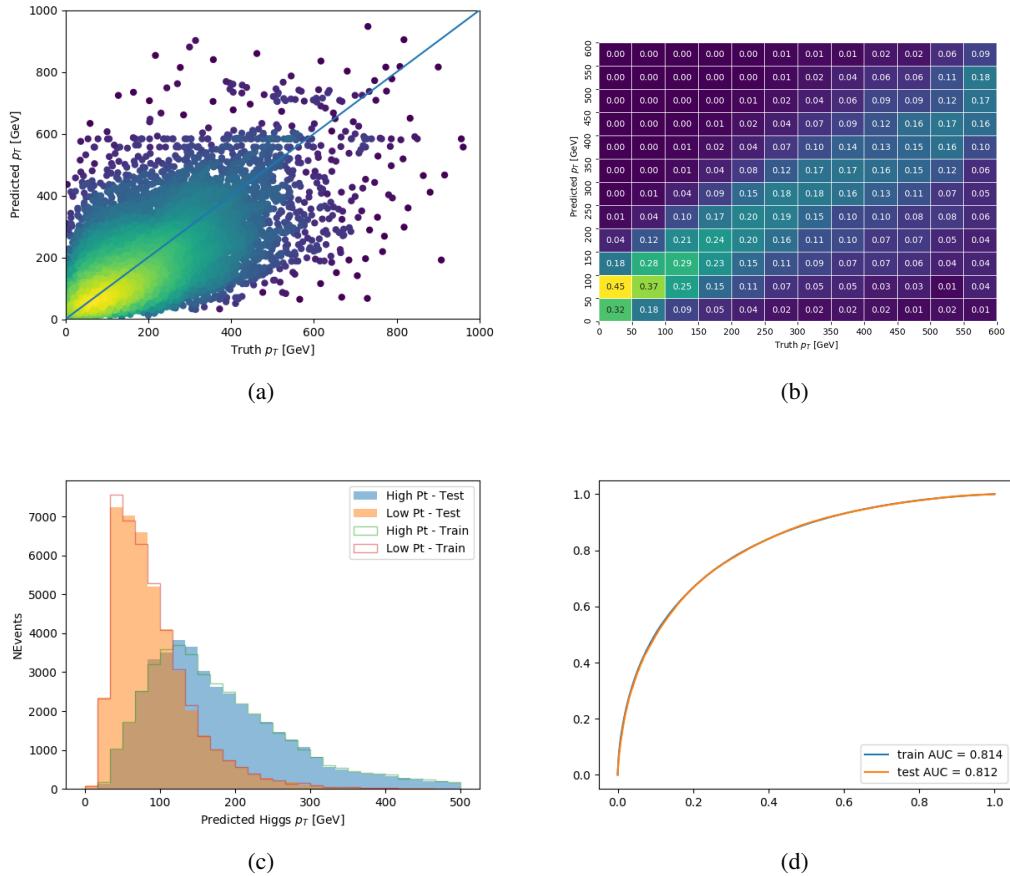


Figure A.29: