



**ATLAS Note**  
ATL-COM-PHYS-2019-962  
18th May 2021



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2 **WZ + Heavy Flavor Production in pp collisions**  
3 **at  $\sqrt{s} = 13$  TeV**

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9 A measurement of WZ produced with an associated heavy flavor jet is performed using 140  
10 fb<sup>-1</sup> of proton-proton collision data at  $\sqrt{s} = 13$  TeV from the ATLAS experiment at the  
11 LHC. The measurement is performed in the fully leptonic decay mode,  $WZ \rightarrow \ell\nu\ell\ell$ . The  
12 cross-section of WZ + b-jets is measured to be  $X \pm X \pm X$ , while the cross-section of WZ +  
13 charm is measured as  $X$ , with a correlation of  $X$  between the two processes.

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**List of contributions**

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# 1 Introduction

The production of WZ in association with a heavy flavor jet represents an important background for many major analyses. This includes any process with leptons and b-jets in the final state, such as  $t\bar{t}H$ ,  $t\bar{t}W$ , and  $t\bar{t}Z$ . While precise measurements have been made of WZ production [1], WZ + heavy flavor remains poorly understood. This is largely because the QCD processes involved in the production of the b-jet make it difficult to simulate accurately. This introduces a large uncertainty for analyses that include this process as a background.

Motivated by its relevance to the  $t\bar{t}H$  multilepton analysis, we perform a study of the fully leptonic decay mode of this channel; that is, events where both the W and Z decay leptonically. Because WZ has no associated jets at leading order, while the major backgrounds for this channel tend to have high jet multiplicity, events with more than two jets are rejected. This gives a final state signature of three leptons and one or two jets.

Events that meet this selection criteria are sorted into pseudo-continuous b-tagging regions based on the DL1r b-tag score of their associated jets. This is done to separate WZ + b-jet events from WZ + charm and WZ + light jets. These regions are fit to data in order make a more accurate estimate of the contribution of WZ + heavy-flavor, where heavy-flavor jets include b-jets and charm jets. The full Run-2 dataset collected by the ATLAS detector at the LHC, representing  $139 \text{ fb}^{-1}$  of data from pp collisions at  $\sqrt{s} = 13 \text{ TeV}$ , is used for this study.

All backgrounds are accounted for using Monte Carlo, with the simulation of non-prompt lepton backgrounds - Z+jets and  $t\bar{t}$  - validated using non-prompt Validation Regions.

Section 3 details the data and Monte Carlo (MC) samples used in the analysis. The reconstruction of various physics objects is described in section 4. Section 5 describes the event selection applied to these samples, along the definitions of the various regions used in the fit. The multivariate analysis techniques used to separate the  $tZ$  background from WZ + heavy flavor are described in section 6. Section 7 describes the various sources of systematic uncertainties considered in the fit. Finally, the results of the analysis are summarized in section 8, followed by a brief conclusion in section 9.

The analysis aims to report a cross-section measurement of WZ+b and WZ+charm, along with their correlations, for both 1-jet and 2-jet exclusive regions. The proposed fiducial region for these measurements includes events with three leptons, where the invariant mass of at least one opposite charge, same flavor lepton pair falls within 10 GeV of 91.2 GeV, with 1 or 2 associated jets. An alternate version of the measurement is included in the appendix, which considers  $tZ$  as part of the WZ+b signal.

The current state of the analysis shows blinded results for the full Run 2 dataset. Regions containing  $>5\%$  WZ+b events are blinded, and results are from Asimov, MC only fits. Expected significance and cross-section numbers are reported.

## 2 The ATLAS Detector

The ATLAS detector [2] at the LHC is a general purpose detector that covers nearly the entire solid angle around the collision point. It consists of several concentric subdetectors: The inner tracking detector, electromagnetic and hadronic calorimeters, and a muon spectrometer. The inner detector is made up of a high-granularity silicon pixel detector, designed to reconstruct the tracks of charged particles in a range of  $|\eta| < 2.5$ , and a transition radiation tracker which provides additional tracking and electron identification information for  $|\eta| < 2.0$  [3]. A 2 T axial magnetic field is produced in the inner detector, in order to bend the path of charged particles. The calorimeter system covers a pseudorapidity range of  $|\eta| < 4.9$ , with a lead/liquid-argon (LAr) electromagnetic calorimeter covering  $|\eta| < 3.2$ , and a steel/scintillator-tile hadronic calorimeter [4]. The rest of the solid-angle coverage of the calorimeter system comes from forward copper/LAr and tungsten/LAr modules. The muon spectrometer measures muons with  $|\eta| < 2.7$  using several layered tracking chambers placed within a magnetic field of approximately 0.5 T. A two-level trigger system [5] is used to reduce the event rate from 40 MHz to around 1 kHz, using a hardware based Level-1 trigger, followed by a second software based High-Level Trigger (HLT).

## 3 Data and Monte Carlo Samples

### 3.1 Data Samples

This study uses a sample of proton-proton collision data collected by the ATLAS detector from 2015 through 2018 at an energy of  $\sqrt{s} = 13$  TeV, which represents an integrated luminosity of  $139 \text{ fb}^{-1}$ . This data set was collected with a bunch crossing rate of 25 ns. All data used in this analysis was verified by data quality checks.

### 3.2 Monte Carlo Samples

Several different generators were used to produce Monte Carlo simulations of the signal and background processes. For all samples, the response of the ATLAS detector is simulated using Geant4. The WZ signal samples are simulated using Sherpa 2.2.2 [6]. Specific information about the Monte Carlo samples being used can be found in Table 1.

Table 1: The configurations used for event generation of signal and background processes, including the event generator, matrix element (ME) order, parton shower algorithm, and parton distribution function (PDF).

Process	Event generator	ME order	Parton Shower	PDF
WZ, VV	SHERPA 2.2.2	MEPS NLO	SHERPA	CT10
tZ	MG5_AMC	NLO	PYTHIA 8	CTEQ6L1
t $\bar{t}$ W	MG5_AMC (SHERPA 2.1.1)	NLO (LO multileg)	PYTHIA 8 (SHERPA)	NNPDF 3.0 NLO (NNPDF 3.0 NLO)
t $\bar{t}$ (Z/ $\gamma^* \rightarrow \ell\ell$ )	MG5_AMC	NLO	PYTHIA 8	NNPDF 3.0 NLO
t $\bar{t}$ H	MG5_AMC (MG5_AMC)	NLO (NLO)	PYTHIA 8 (HERWIG++)	NNPDF 3.0 NLO [7] (CT10 [8])
tHqb	MG5_AMC	LO	PYTHIA 8	CT10
tHW	MG5_AMC (SHERPA 2.1.1)	NLO (LO multileg)	HERWIG++ (SHERPA)	CT10 (NNPDF 3.0 NLO)
tWZ	MG5_AMC	NLO	PYTHIA 8	NNPDF 2.3 LO
t $\bar{t}t$ , t $\bar{t}t\bar{t}$	MG5_AMC	LO	PYTHIA 8	NNPDF 2.3 LO
t $\bar{t}$ W $^+W^-$	MG5_AMC	LO	PYTHIA 8	NNPDF 2.3 LO
t $\bar{t}$	POWHEG-BOX v2 [9]	NLO	PYTHIA 8	NNPDF 3.0 NLO
t $\bar{t}\gamma$	MG5_AMC	LO	PYTHIA 8	NNPDF 2.3 LO
s-, t-channel, Wt single top	POWHEG-BOX v1 [10]	NLO	PYTHIA 6	CT10
qqVV, VVV				
Z $\rightarrow \ell^+\ell^-$	SHERPA 2.2.1	MEPS NLO	SHERPA	NNPDF 3.0 NLO

## 4 Object Reconstruction

All regions defined in this analysis share a common lepton, jet, and overall event preselection. The selection applied to each physics object is detailed here; the event preselection, and the selection used to define the various fit regions, is described in Section 5.

All events are required to be selected by dilepton triggers. The  $p_T$  thresholds of the dilepton trigger on two electrons were 12 GeV in 2015, 17 GeV in 2016, and 24 GeV in 2017 and 2018, while for the dimuon triggers the  $p_T$  thresholds on the leading (sub-leading) muon were 18 GeV (8 GeV) in 2015, and 22 GeV (8 GeV) in 2016-2018. For the electron+muon triggers, the  $p_T$  thresholds on the electron (muon) were 17 GeV (14 GeV) for all datasets.

### 4.1 Light leptons

Electron candidates are reconstructed from energy clusters in the electromagnetic calorimeter that are associated with charged particle tracks reconstructed in the inner detector [11]. Electron

candidates are required to have  $p_T > 10$  GeV and  $|\eta_{\text{cluster}}| < 2.47$ . Muon candidates are reconstructed by combining inner detector tracks with track segments or full tracks in the muon spectrometer [12]. Muon candidates are required to have  $p_T > 10$  GeV and  $|\eta| < 2.5$ . Candidates in the transition region between different electromagnetic calorimeter components,  $1.37 < |\eta_{\text{cluster}}| < 1.52$ , are rejected. A multivariate likelihood discriminant combining shower shape and track information is used to distinguish real electrons from hadronic showers (fake electrons). To further reduce the non-prompt electron contribution, the track is required to be consistent with originating from the primary vertex; requirements are imposed on the transverse impact parameter significance ( $|d_0|/\sigma_{d_0} < 5$ ) and the longitudinal impact parameter ( $|\Delta z_0 \sin \theta_\ell| < 0.5$  mm). Electron candidates are required to pass TightLH identification.

Muon candidates are reconstructed by combining inner detector tracks with track segments or full tracks in the muon spectrometer [12]. Muon candidates are required to have  $p_T > 10$  GeV and  $|\eta| < 2.5$ . The longitudinal impact parameter is the same for both electrons and muons, while muons are required to pass a slightly tighter transverse impact parameter,  $|d_0|/\sigma_{d_0} < 3$ . Muons are also required to pass Medium ID requirements.

Leptons are additionally required to pass a non-prompt BDT selection developed by the  $t\bar{t}H$  multilepton/ $t\bar{t}W$  analysis group. This BDT and the WPs used are summarized in Appendix ??, and described in detail in [13]. Optimized working points and scale factors for this BDT are taken from that analysis.

## 4.2 Jets

Jets are reconstructed from calibrated topological clusters built from energy deposits in the calorimeters [14], using the anti- $k_t$  algorithm with a radius parameter  $R = 0.4$ . Particle Flow, or PFlow, jets are used in the analysis, which are hadronic objects reconstructed using information from both the tracker and the calorimeter. Jets with energy contributions likely arising from noise or detector effects are removed from consideration [15], and only jets satisfying  $p_T > 25$  GeV and  $|\eta| < 2.5$  are used in this analysis. For jets with  $p_T < 60$  GeV and  $|\eta| < 2.4$ , a jet-track association algorithm is used to confirm that the jet originates from the selected primary vertex, in order to reject jets arising from pileup collisions [16].

## 4.3 B-tagged Jets

In order to make a measurement of  $WZ$  + heavy flavor it is necessary to distinguish these events from  $WZ$  + light jets. For this purpose, the DL1r b-tagging algorithm is used to distinguish heavy flavor jets from lighter ones. The DL1r algorithm uses jet kinematics, particularly jet vertex information, as input for a neural network which assigns each jet a score designed to reflect how likely that jet is to have originated from a b-quark.



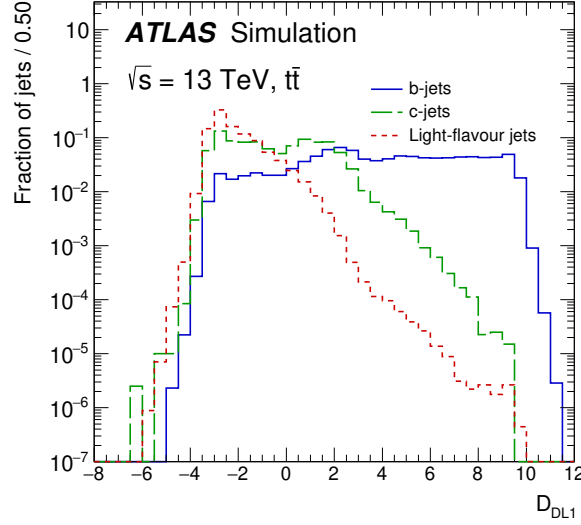


Figure 1: Output distribution of the DL1r algorithm for b-jets, charm jets, and light jets

From the output of the BDT, calibrated working points (WPs) are developed based on the efficiency of truth b-jets at particular values of the DL1r algorithm. The working points used in this analysis are summarized in Table 2.

WP	none	loose	medium	tight	tightest
b eff.	-	85%	77%	70%	60%

Table 2: B-tagging Working Points by tightness and b-jet efficiency

A tighter WP will accept fewer b-jets, but reject a higher fraction of charm and light jets. Generally, analyses that include b-jets will use a fixed working point, for example, requiring that a jet pass the 70% threshold. By instead treating these working point as bins, e.g. events with jets that fall between the 85% and 77% WPs fall into one bin, while events with jets passing the 60% WP fall into another, and looking at the full pseudo-continuous DL1r spectrum of the jets, additional information can be gained. The pseudo-continuous b-tag spectrum is used in this case to separate out WZ + b, WZ + charm, and WZ + light.

#### 4.4 Missing transverse energy

Missing transverse momentum ( $E_T^{\text{miss}}$ ) is used as part of the event selection. The missing transverse momentum vector is defined as the inverse of the sum of the transverse momenta of all reconstructed physics objects as well as remaining unclustered energy, the latter of which is

estimated from low- $p_T$  tracks associated with the primary vertex but not assigned to a hard object, with object definitions taken from [17]. Light leptons considered in the  $E_T^{\text{miss}}$  reconstruction are required to have  $p_T > 10$  GeV, while jets are required to have  $p_T > 20$  GeV.

## 4.5 Overlap removal

To avoid double counting objects and remove leptons originating from decays of hadrons, overlap removal is performed in the following order: any electron candidate within  $\Delta R = 0.1$  of another electron candidate with higher  $p_T$  is removed; any electron candidate within  $\Delta R = 0.1$  of a muon candidate is removed; any jet within  $\Delta R = 0.3$  of an electron candidate is removed; if a muon candidate and a jet lie within  $\Delta R = \min(0.4, 0.04 + 10[\text{GeV}]/p_T(\text{muon}))$  of each other, the jet is kept and the muon is removed.

This algorithm is applied to the preselected objects. The overlap removal procedure is summarized in Table 3.

Keep	Remove	Cone size ( $\Delta R$ )
electron	electron (low $p_T$ )	0.1
muon	electron	0.1
electron	jet	0.3
jet	muon	$\min(0.4, 0.04 + 10[\text{GeV}]/p_T(\text{muon}))$
electron	tau	0.2

Table 3: Summary of the overlap removal procedure between electrons, muons, and jets.

## 5 Event Selection and Signal Region Definitions

Event are required to pass a preselection described in Section 5.1 and summarized in Table 4. Those that pass this preselection are divided into various fit regions described in Section 5.2, based on the number of jets in the event, and the b-tag score of those jets.

### 5.1 Event Preselection

Events are required to include exactly three reconstructed light leptons passing the requirement described in 4.1, which have a total charge of  $\pm 1$ .

The leptons are ordered in the analysis code as 0, 1, and 2. Lepton 0 is the lepton whose charge is opposite the other two. Lepton 1 is the lepton closest to the opposite charge lepton, i.e. the smallest  $\Delta R$ , leaving lepton 2 as the lepton further from the opposite charge lepton. Lepton 0

is required to have  $p_T > 10$  GeV, while the same sign leptons, 1 and 2, are required to have  $p_T > 20$  GeV to reduce the contribution of non-prompt leptons.

The invariant mass of at least one pair of opposite sign, same flavor leptons is required to fall within 10 GeV of the mass of the Z boson, 91.2 GeV. Events where one of the opposite sign pairs have an invariant mass less than 12 GeV are rejected in order to suppress low mass resonances.

An additional requirement is placed on the missing transverse energy,  $E_T^{\text{miss}} > 20$  GeV, and the transverse mass of the W candidate,  $m(E_T^{\text{miss}} + l_{\text{other}}) > 30$  GeV, where  $E_T^{\text{miss}}$  is the missing transverse energy, and  $l_{\text{other}}$  is the lepton not included in the Z-candidate.

Events are required to have one or two reconstructed jets passing the selection described in Section 4.2. Events with more than two jets are rejected in order to reduce the contribution of backgrounds such as  $t\bar{t}Z$  and  $t\bar{t}W$ , which tend to have higher jet multiplicity.

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Event Selection

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Exactly three leptons with charge  $\pm 1$

Two same-charge leptons with  $p_T > 20$  GeV

One opposite charge lepton with  $p_T > 10$  GeV

$m(l^+l^-)$  within 10 GeV of 91.2 GeV

Transverse mass of W-candidate,  $m_T(E_T^{\text{miss}} + l_{\text{ep other}}) > 30$  GeV

Missing transverse energy,  $E_T^{\text{miss}} > 20$  GeV

One or two jets with  $p_T > 25$  GeV

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Table 4: Summary of the selection applied to events for inclusion in the fit

The event yields in the preselection region for both data and Monte Carlo are summarized in Table 5.1, which shows good agreement between data and Monte Carlo, and demonstrates that this region consists primarily of WZ events. The WZ events are split into WZ + b, WZ + c, and WZ + l based on the truth flavor of the associated jet in the event. In this ordering b-jet supersede charm, which supersedes light. That is, WZ + l events contain no charm and no b jets at truth level, WZ + c contain at least one truth charm and no b-jets, and WZ + b contains at least one truth b-jet.

Process	Events
WZ + b	$167.6 \pm 6.5$
WZ + c	$1080 \pm 40$
WZ + l	$7220 \pm 310$
Other VV	$850 \pm 140$
$t\bar{t}W$	$16.8 \pm 2.3$
$t\bar{t}Z$	$115 \pm 17$
rare Top	$2.2 \pm 0.1$
Single top	$0.10 \pm 0.45$
Three top	$0.01 \pm 0.01$
Four top	$0.02 \pm 0.01$
$t\bar{t}WW$	$0.23 \pm 0.05$
Z + jets	$600 \pm 260$
V + $\gamma$	$37 \pm 54$
tZ	$190 \pm 70$
tW	$5.5 \pm 1.2$
WtZ	$25.8 \pm 1.1$
VVV	$26.2 \pm 0.9$
VH	$94 \pm 7$
$t\bar{t}$	$108.68 \pm 8$
$t\bar{t}H$	$4.3 \pm 0.5$
Total	$10600 \pm 530$
Data	10574

Table 5: Event yields in the preselection region at  $139.0 \text{ fb}^{-1}$ 

Here Other VV represents diboson processes other than WZ, and consists predominantly of  $ZZ \rightarrow llll$  events where one of the leptons is not reconstructed.

## 5.2 Fit Regions

Once preselection has been applied, the remaining events are categorized into one of twelve orthogonal regions. The regions used in the fit are summarized in Table 6.

Table 6: A list of the regions used in the fit and the selection used for each.

Region	Selection
1j, <85%	$N_{\text{jets}} = 1, n\text{Jets\_DL1r\_85} = 0$
1j, 85%-77%	$N_{\text{jets}} = 1, n\text{Jets\_DL1r\_85} = 1, n\text{Jets\_DL1r\_77}=0$
1j, 77%-70%	$N_{\text{jets}} = 1, n\text{Jets\_DL1r\_77} = 1, n\text{Jets\_DL1r\_70}=0$
1j, 70%-60%	$N_{\text{jets}} = 1, n\text{Jets\_DL1r\_70} = 1, n\text{Jets\_DL1r\_60}=0$
1j, >60%	$N_{\text{jets}} = 1, n\text{Jets\_DL1r\_60} = 1, tZ \text{ BDT} > 0.12$
1j tZ CR	$N_{\text{jets}} = 1, n\text{Jets\_DL1r\_60} = 1, tZ \text{ BDT} < 0.12$
2j, <85%	$N_{\text{jets}} = 2, n\text{Jets\_DL1r\_85} = 0$
2j, 85%-77%	$N_{\text{jets}} = 2, n\text{Jets\_DL1r\_85} \geq 1, n\text{Jets\_DL1r\_77}=0$
2j, 77%-70%	$N_{\text{jets}} = 2, n\text{Jets\_DL1r\_77} \geq 1, n\text{Jets\_DL1r\_70}=0$
2j, 70%-60%	$N_{\text{jets}} = 2, n\text{Jets\_DL1r\_70} \geq 1, n\text{Jets\_DL1r\_60}=0$
2j, >60%	$N_{\text{jets}} = 2, n\text{Jets\_DL1r\_60} \geq 1, tZ \text{ BDT} > 0.12$
2j tZ CR	$N_{\text{jets}} = 2, n\text{Jets\_DL1r\_60} \geq 1, tZ \text{ BDT} < 0.12$

The working points discussed in Section 4.3 are used to separate events into fit regions based on the highest working point reached by a jet in each event. Because the background composition differs significantly based on the number of b-jets, events are further subdivided into 1-jet and 2-jet regions in order to minimize the impact of background uncertainties.

An unfolding procedure is performed to account for differences in the number of reconstructed jets compared to the number of truth jets in each event. In order to account for migration of WZ+1-jet and WZ+2-jet events between the 1-jet and 2-jet bins at reco level, the signal samples are separated based on the number of truth jets. Events with 0 jets or more than 3 jets at truth level, yet fall within one of the categories listed in Table 6, are categorized as WZ + other, and treated as a background. The composition of the number of truth jets in each reco jet bin is taken from MC, with uncertainties in these estimates described in detail in Section 7.

An additional tZ control region is created based on the BDT described in Section 6. The region with 1-jet passing the 60% working point is split in two - a signal enriched region of events with a BDT score greater than 0.03, and a tZ control region including events with less than 0.03. This cutoff is arrived at by performing an Asimov fit with a variety of cutoffs, and selecting the value that produces the highest significance for the measurement of WZ + b.

### 5.3 Non-Prompt Lepton Estimation

Two processes act as sources of non-prompt leptons appear in the analysis:  $t\bar{t}$  and Z+jet production both produce two prompt leptons, and each contribute to the 3l region when an additional non-prompt lepton appears in the event. The contribution of these processes is estimated with Monte Carlo simulations, which are validated using enriched control regions.

The modelling in the Z+jets and  $t\bar{t}$  CRs is further validated for each of the pseudo-continuous b-tag regions used in the analysis. The relevant lepton  $p_T$  spectrum in each b-tag region is shown in Appendix ?? for these CRs after the correction factors derived below have been applied.

### 5.3.1 $t\bar{t}$ Validation

$t\bar{t}$  events can produce two prompt leptons from the decay of each of the tops. These top decays produce two b-quarks, the decay of which can produce additional non-prompt leptons, which occasionally pass the event preselection. In order to validate that the Monte Carlo accurately simulates this process accurately, the MC prediction in a non-prompt  $t\bar{t}$  enriched control region is compared to data.

The  $t\bar{t}$  control region is similar to the preselection region - three leptons meeting the criteria described in Section 5 are required, and the requirements on  $E_T^{\text{miss}}$  remain the same. However, the selection requiring a lepton pair form a Z-candidate are reversed. Events where the invariant mass of any two opposite sign, same flavor leptons falls within 10 GeV of 91.2 GeV are rejected. This ensures the  $t\bar{t}$  control region is orthogonal to the preselection region.

Further, because the jet multiplicity of  $t\bar{t}$  events tends to be higher than WZ, the number of jets in each event is required to be greater than 1. As b-jets are almost invariably produced from top decays, at least one b-tagged jet passing the 70% DL1r WP in each event is required.

Data is compared to MC predictions in the region for a variety of kinematic variable, as well as various b-tag WPs. A constant normalization discrepancy between data and MC predictions of approximately 10% is found, which is accounted for by applying a constant correction factor of 0.9 to the  $t\bar{t}$  MC prediction. As data and MC are found to agree within 20% for each of the b-tag WPs considered, a 20% systematic uncertainty on the  $t\bar{t}$  prediction is included for the analysis.

### 5.3.2 Z+jets Validation

Similar to  $t\bar{t}$ , a non-prompt Z+jets control region is produced in order to validate the MC predictions. The lepton requirements remain the same as the preselection region. Because no neutrinos are present for this process, the  $E_T^{\text{miss}}$  cut is reversed, requiring  $E_T^{\text{miss}} < 30$  GeV. This also ensures this control region is orthogonal to the preselection region. Further, the number of jets in each event is required to be greater than or equal to one.

While there is general agreement between data and MC within statistical uncertainty, the shape of the  $p_T$  spectrum of the lepton from the W is found to differ. To account for this discrepancy, a variable correction factor is applied to Z+jets.  $\chi^2$  minimization of the W lepton  $p_T$  spectrum is performed to derive a correction factor of  $1.53 - 6.6 * 10^{-6}(\text{lep\_Pt\_W})$ .

The uncertainty in the Z + jets prediction is evaluated by comparing data to MC for each of the continuous b-tag WPs. For each of the regions considered, the data falls within 25% of the MC

prediction once this correction factor has been applied. Therefore, a 25% systematic uncertainty is applied to Z + jets in the analysis.

## 6 tZ Separation Multivariate Analysis

An important process to consider in this analysis is tZ: the top almost always decays into a W boson and b-quark, and when both the W and Z decay leptonically, this gives three leptons and a heavy flavor jet in the final state. Because tZ can produce a final state identical to the signal, it represents a predominant background in the most signal enriched regions. That is, the region with one jet passing the 60% DL1r WP. Therefore, a boosted decision tree (BDT) algorithm is trained using XGBoost [18] to separate WZ + heavy flavor from tZ. The result of this BDT is used to create a tZ enriched region in the fit, reducing its impact on the measurement of WZ + heavy flavor.

The following kinematic variables are used as inputs to train this BDT:

- The invariant mass of the reconstructed top candidate
- $p_T$  of each of the leptons, jet
- The invariant mass of each combination of lepton pairs,  $M(l\bar{l})$
- $E_T^{\text{miss}}$
- Distance between each combination of leptons,  $\Delta R(l\bar{l})$
- Distance between each lepton and the jet,  $\Delta R(lj)$

Here the top candidate is reconstructed based on the procedure described in section 6.1 of [19]. Broadly, the mass of the top quark candidate is reconstructed from the jet, the lepton not included in the Z-candidate, and a reconstructed neutrino. In the case that there is one jet in the event, there is only possible b-jet candidate. For events with two jets, the jet with the highest DL1r score is used.

The training samples included only events meeting the requirements of the 1-jet, >60% region, i.e. passing all the selection described in section 5 and having exactly one jet which passes the tightest (60%) DL1r working point. A sample of 20,000 background (tZ) and signal (WZ+b) Monte Carlo events are used to train the BDT. And additional 5,000 events are reserved for testing the model, in order to prevent over-fitting. A total of 750 decision trees with a maximum depth of 6 branches are used to build the model. These parameters are chosen empirically, by training several models with different parameters and selecting the one that gave the best separation for the test sample.

The results of the BDT training are shown in figure 2. The output scores for both signal and background events is shown on the left. The right shows the receiving operating characteristic (ROC) curve that results from the MVA. The ROC curve represents the background rejection

as a function of signal efficiency, where each point on the curve represents a different response score. The ROC curve of the BDT is compared to the performance of using an optimal set of flat selections on the same set of input variables.

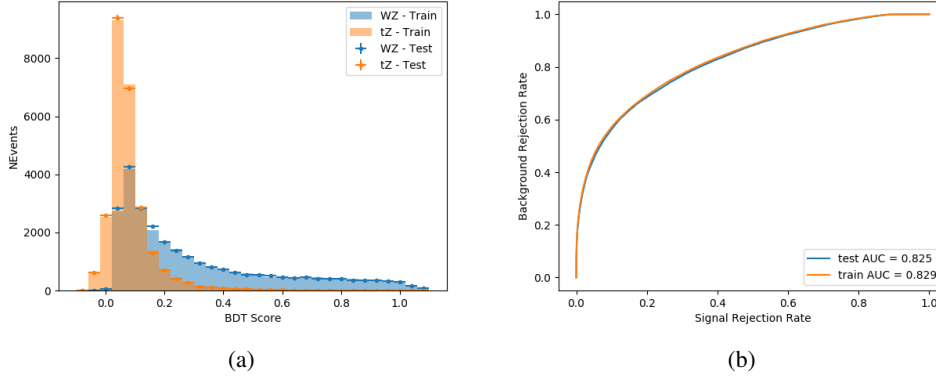


Figure 2: Distribution of the BDT response for signal and background events on the left, the ROC curve for the BDT on the right.

The relative importance of each input feature in the model, measured by how often they appeared in the decision trees, is shown in figure 3.

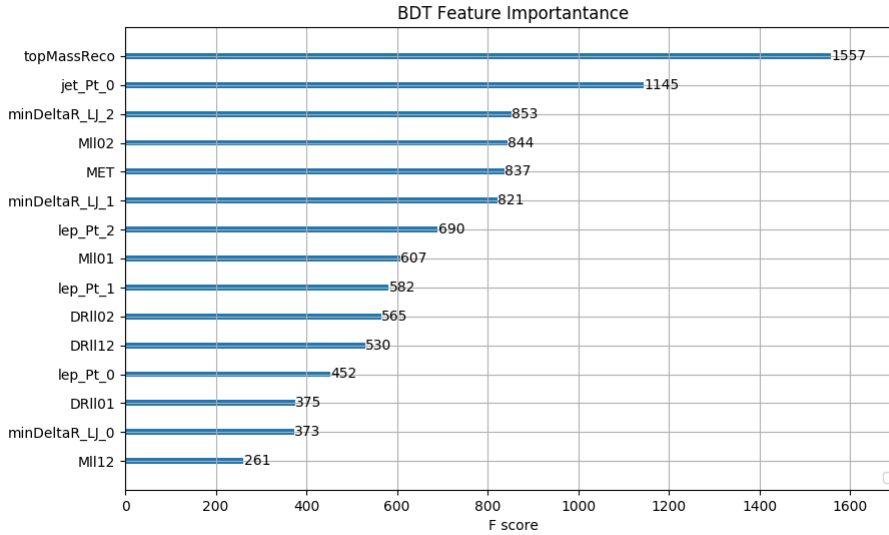


Figure 3: Relative importance of each input feature in the model.

These results suggest that some amount of separation can be achieved between these two pro-



cesses, with a high BDT score selecting a set of events that is pure in  $WZ + b$ . A BDT score of 0.12 is selected as a cutoff, where events with scores higher than this form a signal enriched region, and events with scores lower than this form a  $t\bar{t}$  control region. This cutoff is selected by varying the value of this cutoff in stat-only Asimov fits, and selecting the value that minimizes the statistical uncertainty on  $WZ + b$ .

## 7 Systematic Uncertainties

The systematic uncertainties that are considered are summarized in Table 7. These are implemented in the fit either as a normalization factors or as a shape variation or both in the signal and background estimations. The numerical impact of each of these uncertainties is outlined in Section 8.

Table 7: Sources of systematic uncertainty considered in the analysis.

Systematic uncertainty	Components
Luminosity	1
Pileup reweighting	1
<b>Physics Objects</b>	
Electron	6
Muon	15
Jet energy scale	28
Jet energy resolution	8
Jet vertex fraction	1
Jet flavor tagging	131
$E_T^{\text{miss}}$	3
Total (Experimental)	194
<b>Signal Modeling</b>	
Shape modelling	3
Renormalization and factorization scales	5
nJet Migration	5
<b>Background Modeling</b>	
Cross section	15
Renormalization and factorization scales	12
Total (Signal and background modeling)	35
Total (Overall)	230

The uncertainty in the combined integrated luminosity is derived from a calibration of the luminosity scale performed for 13 TeV proton-proton collisions [20], [21].

The experimental uncertainties are related to the reconstruction and identification of light leptons and b-tagging of jets, and to the reconstruction of  $E_T^{\text{miss}}$ . The sources which contribute to the uncertainty in the jet energy scale (JES) [22] are decomposed into uncorrelated components and treated as independent sources of uncertainty in the analysis. These are treated as 30 nuisance parameters included in the fit. A similar approach is used for the jet energy resolution (JER) uncertainty, which is decomposed into 8 JER uncertainty components included as NPs in the fit.

The uncertainties in the b-tagging efficiencies measured in dedicated calibration analyses [23] are also decomposed into uncorrelated components. The large number of components for b-tagging is due to the calibration of the distribution of the MVA discriminant.

Theoretical uncertainties applied to MC predictions, including cross section, PDF, and scale uncertainties are taken from theory calculations, with the exception of non-prompt and diboson backgrounds. The cross-section uncertainty on  $t\bar{t}Z$  is taken from [24]. Derivation of the non-prompt background uncertainties, Z+jets and  $t\bar{t}$ , are explained in Section 5.3. These normalization uncertainties are chosen so as to account for the complete uncertainty in the non-prompt contribution, and therefore no additional modelling uncertainties are considered for Z+jets and  $t\bar{t}$ .

The other VV + heavy flavor processes (namely VV+b and VV+charm, which primarily consist of ZZ events) are also poorly understood, because these processes involve the same physics as WZ + heavy flavor, and have also not been measured. Therefore, a conservative 50% uncertainty is applied to those samples. While this uncertainty is large, it is found to have little impact on the significance of the final result.

The theory uncertainties applied to the predominate background estimates are summarized in Table 8.

Process	X-section [%]
tZ	X-sec: $\pm 15.2$ QCD Scale: $^{+5.2}_{-1.3}$ PDF( $+\alpha_S$ ): $\pm 1.2$
t $\bar{t}$ H (aMC@NLO+Pythia8)	QCD Scale: $^{+5.8}_{-9.2}$ PDF( $+\alpha_S$ ): $\pm 3.6$
t $\bar{t}$ Z (aMC@NLO+Pythia8)	QCD Scale: $^{+9.6}_{-11.3}$ PDF( $+\alpha_S$ ): $\pm 4$
t $\bar{t}$ W (aMC@NLO+Pythia8)	QCD Scale: $^{+12.9}_{-11.5}$ PDF( $+\alpha_S$ ): $\pm 3.4$
VV + b/charm (Sherpa 2.2.1)	$\pm 50$
VV + light (Sherpa 2.2.1)	$\pm 6$
t $\bar{t}$	$\pm 20$
Z + jets	$\pm 25$
Others	$\pm 50$

Table 8: Summary of theoretical uncertainties for MC predictions in the analysis.

Additional signal uncertainties are estimated by comparing estimates from the nominal Sherpa WZ samples with alternate WZ samples generated with Powheg+PYTHIA8. Separate systematics are included in the fit for WZ + b, WZ + charm and WZ + light, where the distribution among each of the fit regions is varied based on the prediction of the Powheg sample.

A similar approach is taken to account for uncertainties in migrations between the number of reco and truth jets. The fraction of events with 1 truth jet which fall into the 1 jet bin versus the 2 jet bin at reco level is compared for Sherpa and Powheg. The same is done for events with 2 truth jets. A systematic is included where events are shifted between the 1-jet and 2-jet regions based on the differences between these two shapes. This is done independently for each of the WZ + b, WZ + charm, and WZ + light templates.

Additional systematics are included to account for the uncertainty in the contamination of 0 jet and 3 or more jet events (as defined at truth level) in the 1 and 2 reco jet bins. Because these events fall outside the scope of this measurement, these events are included as a background. As such, a normalization, rather than a shape, uncertainty is applied for this background. The number of WZ events with 0-jets and  $\geq 3$ -jets in the reconstructed 1-jet and 2-jet regions are compared for Sherpa and Powheg, and these differences are taken as separate normalization systematics on the yield of WZ+0-jet and WZ+ $\geq 3$ -jet events.

## 8 Results

### 8.1 Fit Procedure

A maximum-likelihood fit is performed over the various fit regions described in Section 5 in order to extract the best-fit value of the WZ + b-jet and WZ + charm jet contributions for events with both 1 and 2 associated jets.

Because the fit regions are defined by the number of associated jets at reco-level, an unfolding procedure is applied to the signal in order to account for differences in the number of truth jets compared to the number of reco-jets. The WZ + b, WZ + charm and WZ + light contributions are separated into independent samples based on the number of truth jets in each event. WZ + 1 truth-jet and WZ + 2 truth-jets are treated as signal samples, while WZ + 0 truth-jets and WZ +  $\geq 3$  truth-jets are treated as an additional background.

A maximum likelihood fit to data is performed simultaneously in the regions described in Section 5, summarized in figure 4. The six signal templates, which include WZ+b 1-jet, WZ+c 1-jet, WZ+l 1-jet, WZ+b 2-jets, WZ+c 2-jets, WZ+l 2-jets, are allowed to float, while the remaining background contributions are held fixed. The parameters  $\mu_{WZ+b-1-jet}$ ,  $\mu_{WZ+charm1-jet}$ ,  $\mu_{WZ+light-1-jet}$ ,  $\mu_{WZ+b-2-jet}$ ,  $\mu_{WZ+charm2-jet}$ ,  $\mu_{WZ+light-2-jet}$ , where  $\mu = \sigma_{observed}/\sigma_{SM}$ , are extracted from the fit. A simultaneous fit is performed over all 1-jet and 2-jet regions.

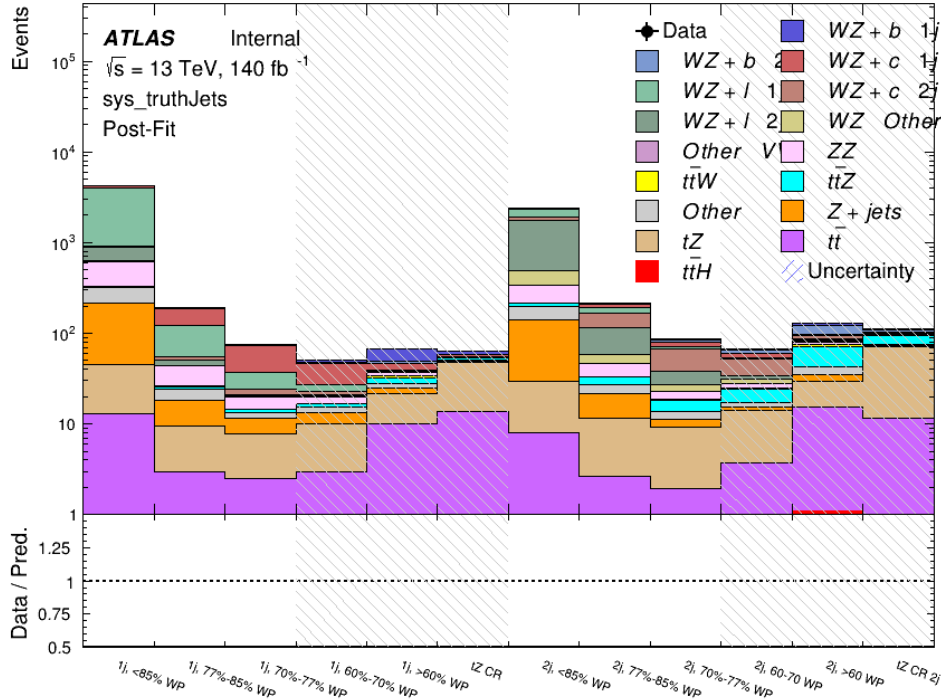


Figure 4: Post-fit summary of the fit regions.

As described in Section 7, there are 230 systematic uncertainties that are considered as NPs in the fit. These NPs are constrained by Gaussian or log-normal probability density functions. The latter are used for normalisation factors to ensure that they are always positive. The expected number of signal and background events are functions of the likelihood. The prior for each NP is added as a penalty term, decreasing the likelihood as it is shifted away from its nominal value. The correlations between these nuisance parameters are summarized in Figure ??.

Several alternate fit strategies are documented in Appendices A.1-A.2.1. These include a measurement of WZ + 1 or 2 jets inclusively, a fit where tZ is allowed to float, and a case where tZ is included as part of the signal.

## 8.2 Fiducial Region Definition

The fiducial volume at particle level is defined based on the number of stable leptons and jets in each event. Three light leptons with total charge  $\pm 1$  and one or two associated jets are required. This is separated into four observations based on the number and flavor of associated jets.

Only leptons which do not originate from hadron or  $\tau$  decays are considered. The phase space definitions use dressed kinematics of the final state particles. Leptons are dressed by summing

the momentum of photons within a cone of  $\Delta R < 0.1$  of the lepton to correct the leptons energy. Particle level jets are reconstructed using the anti- $k_t$  algorithm with a radius of  $R = 0.4$ .

The kinematic selection used at particle level closely follows the selection used at reconstructed level. Three light leptons with total charge  $\pm 1$  and one or two associated jets Leptons and jets are required to have  $|\eta| < 2.5$ , with the transition region included. The OS leptons is required to have  $p_T > 10$  GeV, while the SS leptons are required to have  $p_T > 20$  GeV. Jets are required to have  $p_T > 25$  GeV. The base fiducial region definition is summarized below:

- Three light leptons with total charge  $\pm 1$ ,  $|\eta| < 2.5$
- OS lepton with  $p_T > 10$  GeV, SS leptons with  $p_T > 20$  GeV
- One OSSF lepton pair with  $|M(\ell\ell) - 91.2 \text{ GeV}| < 10 \text{ GeV}$
- One or two associated truth jets with  $p_T > 25 \text{ GeV}$ ,  $|\eta| < 2.5$

The result of the fit is used to extract the cross-section in this fiducial region for  $WZ + b$  and  $WZ + \text{charm}$  with one associated jet, and  $WZ + b$  and  $WZ + \text{charm}$  with two associated jets, where the number and flavor of the jets is determined at particle level. Events with both charm and b-jets are counted as  $WZ + b$ .

### 8.3 Results of the Simultaneous Fit

The Asimov fit for 1-jet events gives an expected  $\mu$  value of  $1.00^{+0.47}_{-0.43}(\text{stat})^{+0.30}_{-0.27}(\text{sys})$  for  $WZ + b$ . The fitted cross-section modifiers for  $WZ + \text{charm}$  and  $WZ + \text{light}$  are  $1.00 \pm 0.17 \pm 0.17$  and  $1.00 \pm 0.06 \pm 0.14$ , respectively.

The expected cross-section of  $WZ+b$  with 1-jet is  $1.74^{+0.82}_{-0.75}(\text{stat})^{+0.53}_{-0.48}(\text{sys})$  fb, and  $14.6 \pm 2.5(\text{stat}) \pm 2.3(\text{sys})$  fb for  $WZ + \text{charm}$ , with a correlation of -0.15 between them. An expected significance of 2.0 is observed for  $WZ + b$  in this region.

For 2-jet events, the fit gives an expected  $\mu$  value of  $1.00^{+0.53}_{-0.51}(\text{stat})^{+0.39}_{-0.34}(\text{sys})$  for  $WZ + b$ . The fitted cross-section modifiers for  $WZ + \text{charm}$  and  $WZ + \text{light}$  are  $1.00 \pm 0.25 \pm 0.21$  and  $1.00 \pm 0.06 \pm 0.16$ , respectively.

The expected  $WZ + b$  cross-section in the 2-jet region is  $2.5^{+1.3}_{-1.3}(\text{stat})^{+0.95}_{-0.83}(\text{sys})$  fb with an expected significance of  $1.7\sigma$ . The 2-jet expected cross-section of  $WZ + \text{charm}$  is  $12.7 \pm 3.2(\text{stat}) \pm 2.7(\text{sys})$  fb, and the correlation between  $WZ + \text{charm}$  and  $WZ + b$  is -0.22.

A summary of the correlation between the various  $WZ$  components is summarized in Table 9.

	WZ + b - 1-jet	WZ + c - 1-jet	WZ + l - 1-jet	WZ + b - 2-jet	WZ + c - 2-jet	WZ + l - 2-jet
WZ + b - 1-jet	1.00	-0.15	0.28	-0.13	-0.22	0.17
WZ + c - 1-jet	-	1.00	0.36	0.13	-0.14	-0.16
WZ + l - 1-jet	-	-	1.00	0.10	-0.20	-0.39
WZ + b - 2-jet	-	-	-	1.00	-0.22	0.17
WZ + c - 2-jet	-	-	-	-	1.00	0.23
WZ + l - 2-jet	-	-	-	-	-	1.00

Table 9: Correlations between the various components of WZ

427 The impact of each NP is calculated by performing the fit with the parameter of interest held  
428 fixed, varied from its fitted value by its uncertainty, and calculating  $\Delta\mu$  relative to the baseline  
429 fit. The impact of the most significant sources of systematic uncertainties on WZ + b with one  
430 associated jet is summarized in Table 10.

Uncertainty Source	$\Delta\mu$	
WZ + 1-jet light cross-section	0.13	-0.15
WZ + 1-jet charm cross-section	-0.10	0.12
Jet Energy Scale	0.1	-0.13
Other Diboson + b cross-section	-0.09	0.09
tZ cross-section	-0.08	0.08
WZ 1-jet/2-jet Migration	0.08	-0.07
Jet Energy Resolution	-0.07	0.08
Luminosity	-0.06	0.07
Flavor tagging	0.05	0.05
t $\bar{t}$ cross-section	-0.05	0.05
Total Systematic Uncertainty	0.28	0.33

Table 10: Summary of the most significant sources of systematic uncertainty on the measurement of WZ + b with exactly one associated jet.

431 The ranking and impact of those nuisance parameters with the largest contribution to the overall  
432 uncertainty is shown in Figure 5.

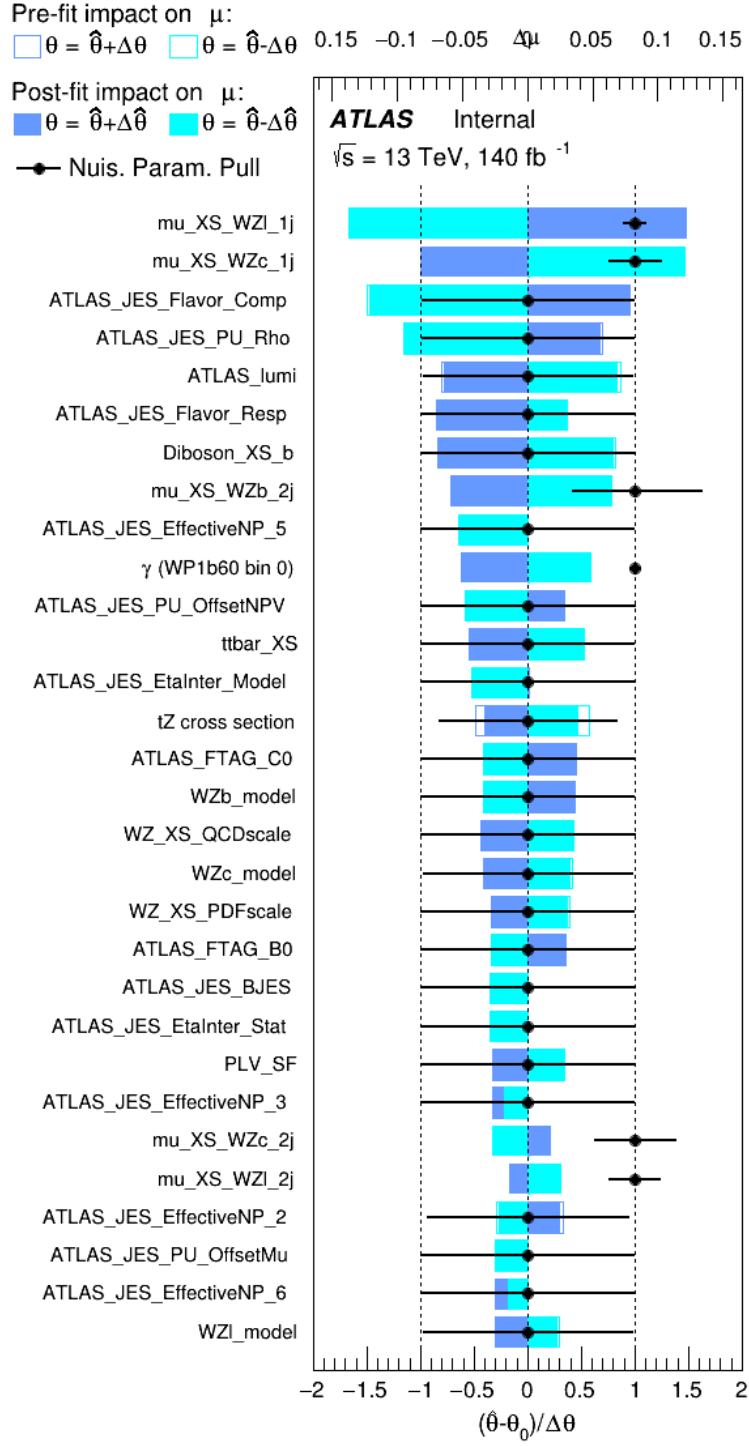


Figure 5: Impact of systematic uncertainties on the signal-strength of WZ + b for events with exactly one jet



The large impact of the Jet Energy Scale and Jet Flavor Tagging is unsurprising, as the shape of the fit regions depends heavily on the modeling of the jets. The other major sources of uncertainty come from background modelling and cross-section uncertainty.

The same set of systematic uncertainties considered for the 1-jet fit are included in the 2-jet fit as well. The impact of the most significant systematic uncertainties is summarized in Table 11.

Uncertainty Source	$\Delta\mu$	
WZ + c 2-jet cross-section	-0.13	0.16
WZ + l 2-jet cross-section	0.12	-0.09
ttZ cross-section - QCD scale	-0.10	0.13
WZ + b 1-jet cross-section	-0.11	0.10
Jet Energy Scale	-0.11	0.11
Luminosity	-0.11	0.12
tZ cross-section	-0.11	0.11
WtZ cross-section	-0.07	0.07
Flavor tagging	0.05	0.05
Other VV + b cross-section	-0.05	0.05
Total	0.35	0.37

Table 11: Summary of the most significant sources of systematic uncertainty on the measurement of WZ + b 2-jet events.

The ranking and impact of those nuisance parameters with the largest contribution to the overall uncertainty is shown in Figure 6.

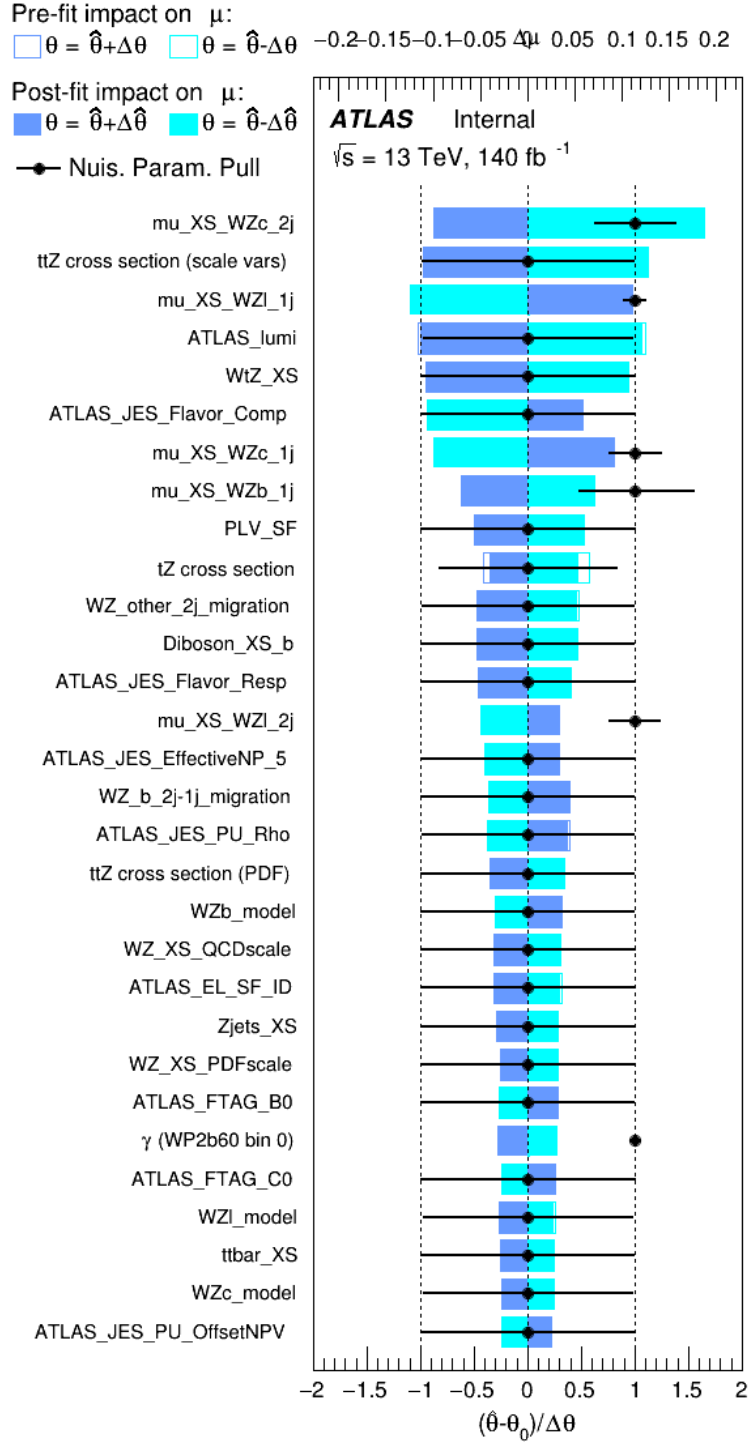


Figure 6: Impact of systematic uncertainties on the signal-strength of WZ + b in 2-jet events.

## 9 Conclusion

A measurement of  $WZ$  + heavy flavor is performed using  $140 \text{ fb}^{-1}$  of  $\sqrt{s} = 13 \text{ TeV}$  proton-proton collision data collected by the ATLAS detector at the LHC. The expected cross-section of  $WZ+b$  with 1-jet is  $1.74^{+0.82}_{-0.75}(\text{stat})^{+0.53}_{-0.48}(\text{sys}) \text{ fb}$ , and  $14.6 \pm 2.5(\text{stat}) \pm 2.3(\text{sys}) \text{ fb}$  for  $WZ$  + charm, with a correlation of -0.22 between them. An expected significance of 2.0 is observed for  $WZ + b$  in this region.

For the 2-jet regions, an expected significance of 1.7 is observed for  $WZ + b$ , with an expected cross-section of  $2.5^{+1.3}_{-1.3}(\text{stat})^{+0.95}_{-0.83}(\text{sys}) \text{ fb}$ . For  $WZ + \text{charm}$ , a cross-section of  $12.7 \pm 3.2(\text{stat}) \pm 2.7(\text{sys}) \text{ fb}$  is expected for 2-jet events. A correlation of -0.26 is observed for  $WZ+b$  and  $WZ + \text{charm}$ .

**This section will be include final results once unblinded.**

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## A Appendices

### A.1 Inclusive 1+2 Jet Cross Check

An alternate fit is performed which combines the WZ + 1-jet and WZ + 2-jet samples rather than fitting them independently. This is done primarily as a cross-check of the nominal analysis, to see if measuring 1-jet and 2-jet events separately and combining them gives drastically different results than measuring them together.

For this study, three signal templates, WZ + b, WZ + charm and WZ + light, are fit to data, and the systematics accounting for migrations between 1-jet and 2-jet bins are removed. All other background and nuisance parameters remain the same as the nominal fit.

The measured  $\mu$  value for WZ + b is  $\mu = 1.00^{+0.30}_{-0.29}(\text{stat})^{+0.25}_{-0.23}(\text{sys})$ , with a significance of  $2.8\sigma$ , and the uncertainty on WZ + charm is  $\mu = 1.00 \pm 0.12(\text{stat}) \pm 0.13(\text{sys})$ . This is compared to combined uncertainty of  $\mu = 1.00^{+0.32}_{-0.30}(\text{stat})^{+0.24}_{-0.23}(\text{sys})$  for WZ + b when 1-jet and 2-jet events are measured separately and then combined.

A post-fit summary plot of the fit regions is shown in Figure 7:

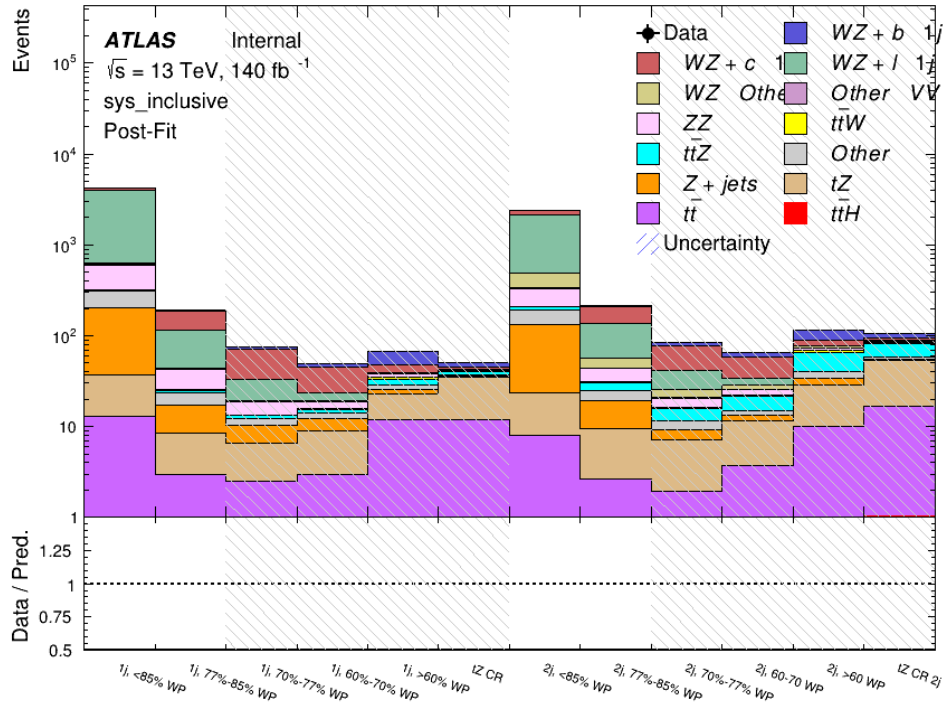


Figure 7: Post-fit summary of the 1-jet fit regions.

539 The impact of the most significant sources of systematic uncertainties on the measurement of  
 540 WZ+b is summarized in Table 12.

Uncertainty Source	$\Delta\mu$	
WZ + light cross-section	0.13	-0.12
WZ + charm cross-section	-0.10	0.12
Jet Energy Scale	0.08	0.13
tZ cross-section	-0.10	0.10
Jet Energy Resolution	-0.10	0.10
Luminosity	-0.08	0.09
Other Diboson + b cross-section	-0.07	0.07
Flavor tagging	0.05	0.05
t $\bar{t}$ cross-section	-0.05	0.05
WZ cross-section - QCD scale	-0.04	0.03
Total Systematic Uncertainty	0.28	0.32

Table 12: Summary of the most significant sources of systematic uncertainty on the measurement of WZ + b with one or two associated jets.

541 The ranking and impact of those nuisance parameters with the largest contribution to the overall  
 542 uncertainty is shown in Figure 8.

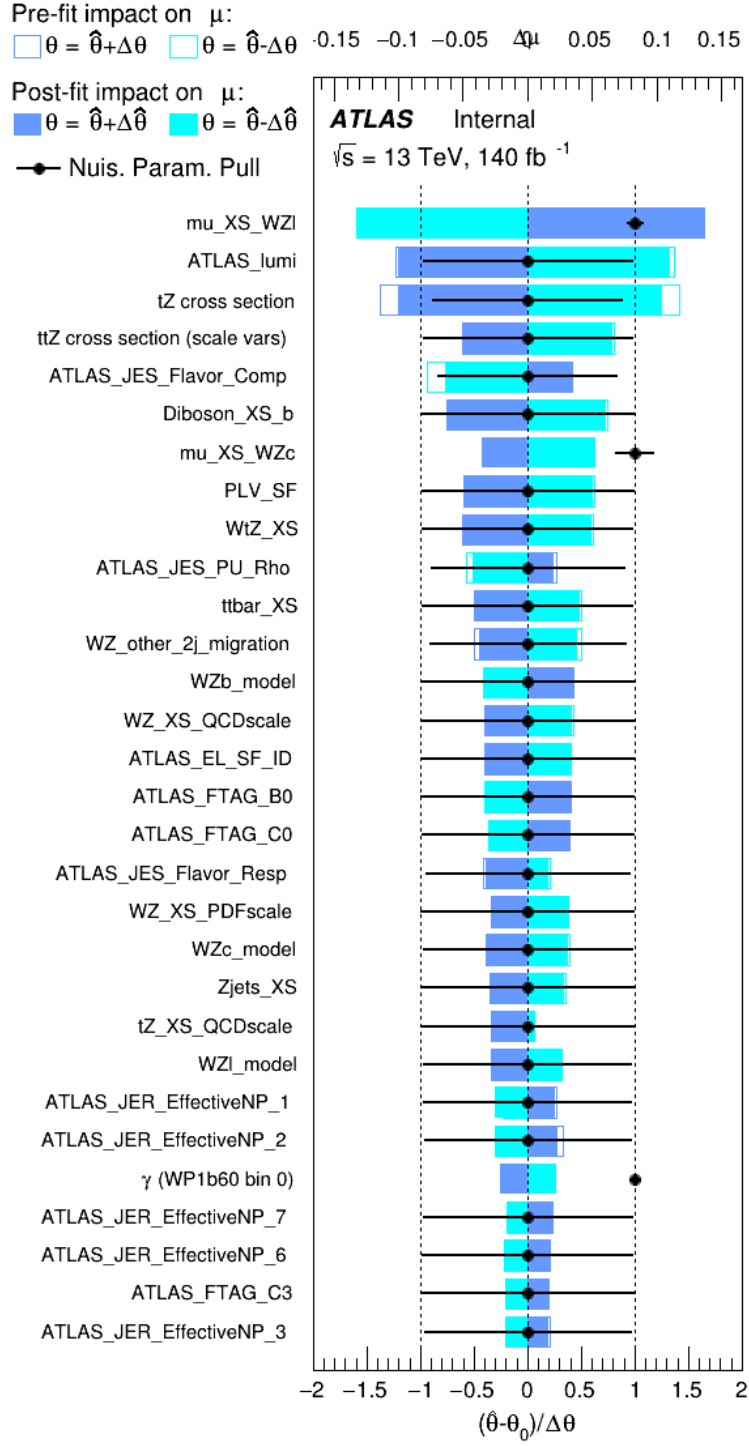


Figure 8: Impact of systematic uncertainties on the signal-strength of WZ + b for events with one or two jets



## A.2 Alternate tZ Inclusive Fit

### A.2.1 tZ Inclusive Fit

While tZ is often considered as a distinct process form WZ + b, this could also be considered part of the signal. Alternate studies are performed where, using the same framework as the nominal analysis, a measurement of WZ + b is performed that includes tZ as part of WZ+b.

Because of this change, the tZ CR is no longer necessary, and only the five pseudo-continuous b-tag regions are used in the fit. Further, systematics related to the tZ cross-section are removed from the fit, as they are now encompassed by the normalization measurement of WZ + b. All other systematic uncertainties are carried over from the nominal analysis.

An expected WZ + b cross-section of  $4.1^{+0.78}_{-0.74}(\text{stat})^{+0.53}_{-0.52}(\text{sys})$  fb is extracted from the fit, with an expected significance of  $4.0\sigma$ .

The impact of the predominate systematics are summarized in Table 13.

Uncertainty Source	$\Delta\mu$	
WZ + light cross-section	0.08	-0.08
Jet Energy Scale	-0.06	0.08
Luminosity	-0.05	0.06
WZ + charm cross-section	-0.04	0.05
Other Diboson + b cross-section	-0.04	0.04
WZ cross-section - QCD scale	-0.04	0.03
$t\bar{t}$ cross-section	-0.03	0.03
Jet Energy Resolution	-0.03	0.03
Flavor tagging	-0.03	0.03
Z+jets cross section	-0.02	0.02
Total Systematic Uncertainty	-0.15	0.16

Table 13: Summary of the most significant sources of systematic uncertainty on the measurement of WZ + b with exactly one associated jet.

### A.2.2 Floating tZ

In order to quantify the impact of the tZ uncertainty on the fit, an alternate fit strategy is used where the tZ normalization is allowed to float. This normalization factor replaces the cross-section uncertainty on tZ, and all other parameters of the fit remain the same.

An uncertainty of 17% on the normalization of tZ is extracted from the fit, compared to a theory uncertainty of 15% applied to the tZ cross-section. The measured uncertainties on WZ remain the same.