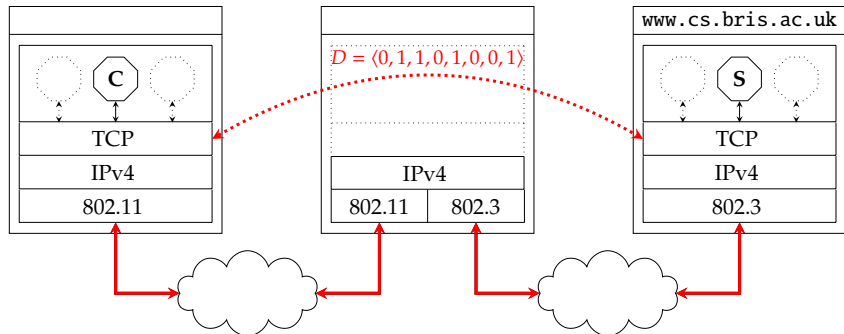


► **Goal:** investigate the **transport layer** e.g.,

1. connection management,
2. error, flow and congestion control

st. applications can use end-to-end, **datagram**- or **stream**-based communication (by transmitting **datagrams** or **segments** respectively).

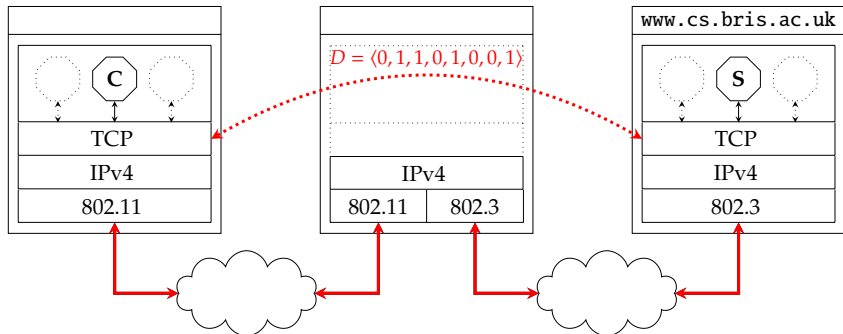


$$F = H_{802.11} \parallel H_{IPv4} \parallel H_{TCP} \parallel \langle 0, 1, 1, 0, 1, 0, 0, 1 \rangle \parallel T_{802.11}$$

► **Goal:** investigate the **transport layer** e.g.,

1. connection management,
2. error, flow and congestion control

st. applications can use end-to-end, **datagram-** or **stream-**based communication (by transmitting **datagrams** or **segments** respectively).



$$F = H_{802.11} \parallel H_{IPv4} \parallel H_{TCP} \parallel \langle 0, 1, 1, 0, 1, 0, 0, 1 \rangle \parallel T_{802.11}$$

► Note that:

- the transport layer need *only* be implemented by in hosts; routers *only* deal with network (and lower) layers,
- this implies the transport layer offers an abstraction of the network itself, i.e., a **service model** (or API) ...

- ▶ **Question:** *which* service model should we opt for?
- ▶ **Answer:** it depends ...

### Definition (datagram model)

For instance, **User Datagram Protocol (UDP)** [6] is

1. datagram (or message) oriented,
2. connection-less, unreliable,
3. allows unrestricted transmission,
4. limited length segment,
5. relatively simple, relatively efficient,
6. (mainly) used for stateless applications (e.g., DNS).

### Definition (stream model)

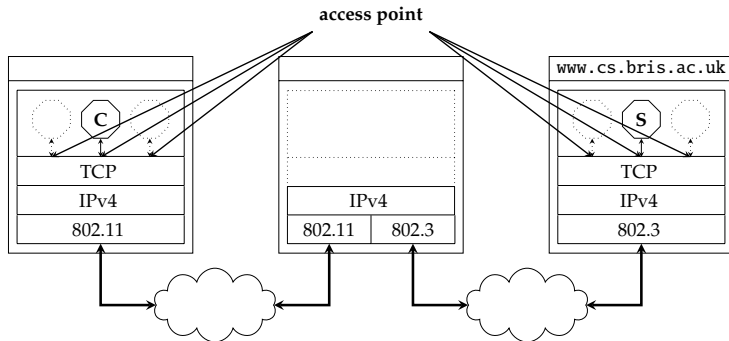
For instance, **Transport Control Protocol (TCP)** [7] is

1. stream oriented,
2. connection-based, reliable,
3. applies flow and congestion control,
4. arbitrary length segment,
5. relatively complex, relatively inefficient,
6. (mainly) used for stateful applications (e.g., HTTP).

st.  $TCP \gg UDP \simeq IP + \epsilon$ .

## Definition (ports and sockets)

Each transport layer **access point** is identified by a (local) **port number**; the tuple (IP address, protocol, port number), which is called a **socket**, therefore (globally) identifies the communication end-point (i.e., the application).



### Definition (ports and sockets)

Note that

- ▶ a **socket pair** canonically identifies one connection,
- ▶ a **well-known port** is statically (pre-)allocated for specific (often system) applications (e.g., server instances),
- ▶ an **ephemeral port** is dynamically allocated for applications (e.g., client instances).

### Definition (Automatic Repeat reQuest (ARQ))

**Automatic Repeat reQuest (ARQ)** is a (generic) mechanism used to provide reliability: it means that the

- ▶ destination (automatically) transmits acknowledgement (or **ACK**) on receipt of valid data,
- ▶ source (automatically) retransmits segment after time-out (i.e., after  $\tau$  time units)

e.g., using the **stop-and-wait protocol** .

$\mathcal{H}_j$  —————→ time

$\mathcal{H}_i$  —————→ time

### Definition (Automatic Repeat reQuest (ARQ))

**Automatic Repeat reQuest (ARQ)** is a (generic) mechanism used to provide reliability: it means that the

- ▶ destination (automatically) transmits acknowledgement (or **ACK**) on receipt of valid data,
- ▶ source (automatically) retransmits segment after time-out (i.e., after  $\tau$  time units)

e.g., using the **stop-and-wait** protocol .



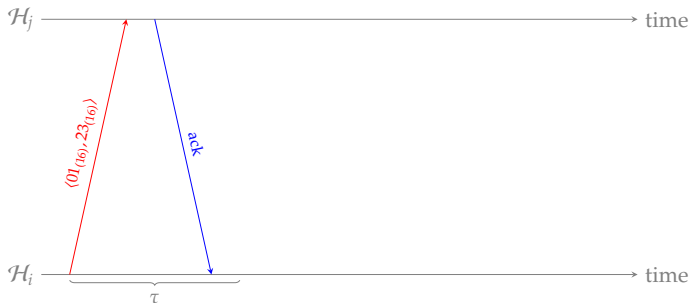


### Definition (Automatic Repeat reQuest (ARQ))

**Automatic Repeat reQuest (ARQ)** is a (generic) mechanism used to provide reliability: it means that the

- ▶ destination (automatically) transmits acknowledgement (or **ACK**) on receipt of valid data,
- ▶ source (automatically) retransmits segment after time-out (i.e., after  $\tau$  time units)

e.g., using the **stop-and-wait** protocol .

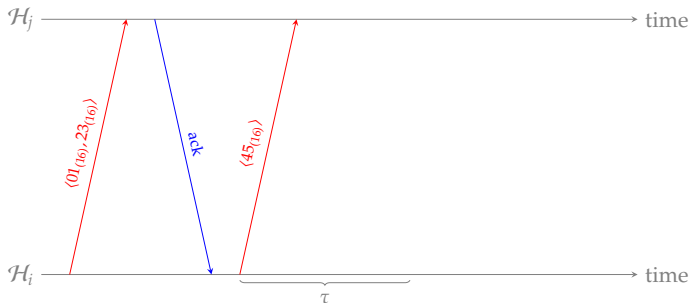


### Definition (Automatic Repeat reQuest (ARQ))

**Automatic Repeat reQuest (ARQ)** is a (generic) mechanism used to provide reliability: it means that the

- ▶ destination (automatically) transmits acknowledgement (or **ACK**) on receipt of valid data,
- ▶ source (automatically) retransmits segment after time-out (i.e., after  $\tau$  time units)

e.g., using the **stop-and-wait** protocol .

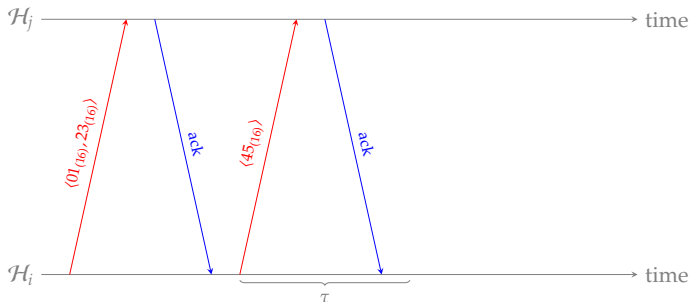


### Definition (Automatic Repeat reQuest (ARQ))

**Automatic Repeat reQuest (ARQ)** is a (generic) mechanism used to provide reliability: it means that the

- ▶ destination (automatically) transmits acknowledgement (or **ACK**) on receipt of valid data,
- ▶ source (automatically) retransmits segment after time-out (i.e., after  $\tau$  time units)

e.g., using the **stop-and-wait** protocol .



### Definition (Automatic Repeat reQuest (ARQ))

**Automatic Repeat reQuest (ARQ)** is a (generic) mechanism used to provide reliability: it means that the

- ▶ destination (automatically) transmits acknowledgement (or **ACK**) on receipt of valid data,
- ▶ source (automatically) retransmits segment after time-out (i.e., after  $\tau$  time units)

e.g., using the **stop-and-wait protocol** .

$\mathcal{H}_j$  —————→ time

$\mathcal{H}_i$  —————→ time

### Definition (Automatic Repeat reQuest (ARQ))

**Automatic Repeat reQuest (ARQ)** is a (generic) mechanism used to provide reliability: it means that the

- ▶ destination (automatically) transmits acknowledgement (or **ACK**) on receipt of valid data,
- ▶ source (automatically) retransmits segment after time-out (i.e., after  $\tau$  time units)

e.g., using the **stop-and-wait protocol** .

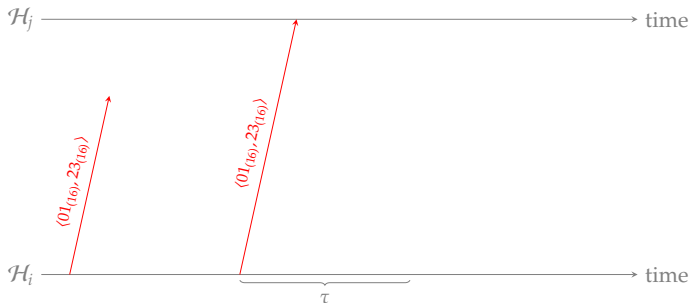


### Definition (Automatic Repeat reQuest (ARQ))

**Automatic Repeat reQuest (ARQ)** is a (generic) mechanism used to provide reliability: it means that the

- ▶ destination (automatically) transmits acknowledgement (or **ACK**) on receipt of valid data,
- ▶ source (automatically) retransmits segment after time-out (i.e., after  $\tau$  time units)

e.g., using the **stop-and-wait** protocol .



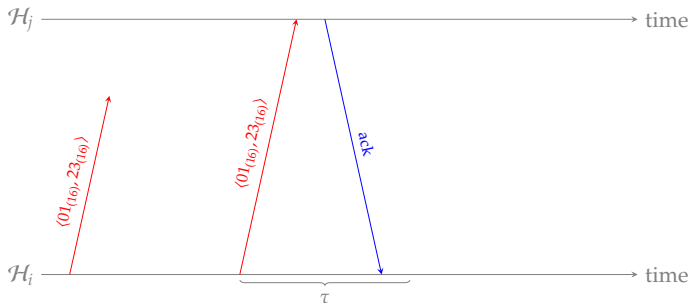
## Concepts (2)

### Definition (Automatic Repeat reQuest (ARQ))

**Automatic Repeat reQuest (ARQ)** is a (generic) mechanism used to provide reliability: it means that the

- ▶ destination (automatically) transmits acknowledgement (or **ACK**) on receipt of valid data,
- ▶ source (automatically) retransmits segment after time-out (i.e., after  $\tau$  time units)

e.g., using the **stop-and-wait** protocol .

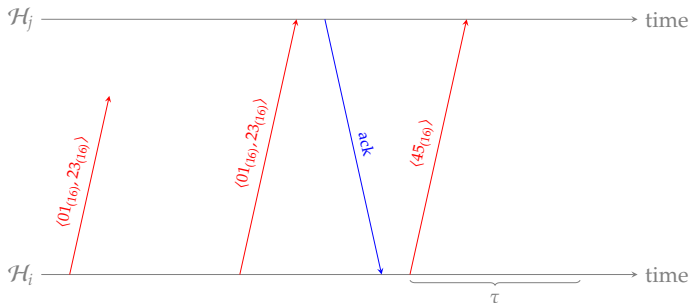


### Definition (Automatic Repeat reQuest (ARQ))

**Automatic Repeat reQuest (ARQ)** is a (generic) mechanism used to provide reliability: it means that the

- ▶ destination (automatically) transmits acknowledgement (or **ACK**) on receipt of valid data,
- ▶ source (automatically) retransmits segment after time-out (i.e., after  $\tau$  time units)

e.g., using the **stop-and-wait** protocol .



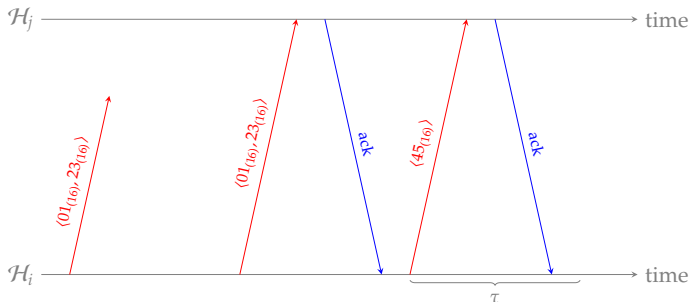


### Definition (Automatic Repeat reQuest (ARQ))

**Automatic Repeat reQuest (ARQ)** is a (generic) mechanism used to provide reliability: it means that the

- ▶ destination (automatically) transmits acknowledgement (or **ACK**) on receipt of valid data,
- ▶ source (automatically) retransmits segment after time-out (i.e., after  $\tau$  time units)

e.g., using the **stop-and-wait** protocol .



### Definition (Automatic Repeat reQuest (ARQ))

**Automatic Repeat reQuest (ARQ)** is a (generic) mechanism used to provide reliability: it means that the

- ▶ destination (automatically) transmits acknowledgement (or **ACK**) on receipt of valid data,
- ▶ source (automatically) retransmits segment after time-out (i.e., after  $\tau$  time units)

e.g., using the **stop-and-wait protocol** .

$\mathcal{H}_j$  —————→ time

$\mathcal{H}_i$  —————→ time

### Definition (Automatic Repeat reQuest (ARQ))

**Automatic Repeat reQuest (ARQ)** is a (generic) mechanism used to provide reliability: it means that the

- ▶ destination (automatically) transmits acknowledgement (or **ACK**) on receipt of valid data,
- ▶ source (automatically) retransmits segment after time-out (i.e., after  $\tau$  time units)

e.g., using the **stop-and-wait** protocol .

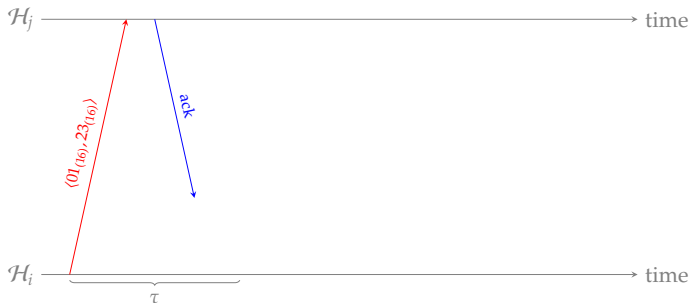


### Definition (Automatic Repeat reQuest (ARQ))

**Automatic Repeat reQuest (ARQ)** is a (generic) mechanism used to provide reliability: it means that the

- ▶ destination (automatically) transmits acknowledgement (or **ACK**) on receipt of valid data,
- ▶ source (automatically) retransmits segment after time-out (i.e., after  $\tau$  time units)

e.g., using the **stop-and-wait** protocol .

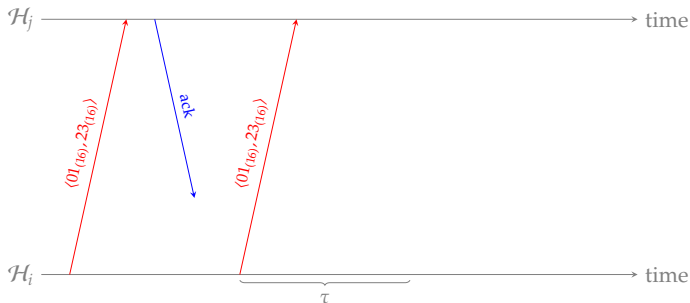


### Definition (Automatic Repeat reQuest (ARQ))

**Automatic Repeat reQuest (ARQ)** is a (generic) mechanism used to provide reliability: it means that the

- ▶ destination (automatically) transmits acknowledgement (or **ACK**) on receipt of valid data,
- ▶ source (automatically) retransmits segment after time-out (i.e., after  $\tau$  time units)

e.g., using the **stop-and-wait** protocol .

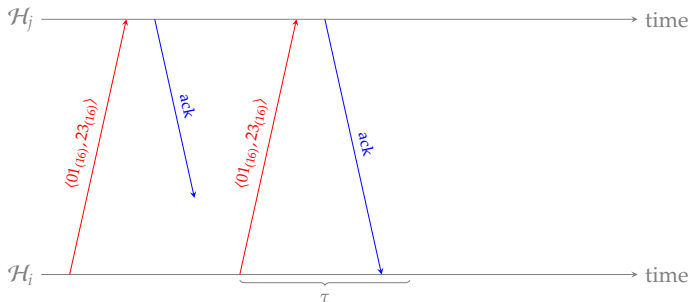


### Definition (Automatic Repeat reQuest (ARQ))

**Automatic Repeat reQuest (ARQ)** is a (generic) mechanism used to provide reliability: it means that the

- ▶ destination (automatically) transmits acknowledgement (or **ACK**) on receipt of valid data,
- ▶ source (automatically) retransmits segment after time-out (i.e., after  $\tau$  time units)

e.g., using the **stop-and-wait** protocol .

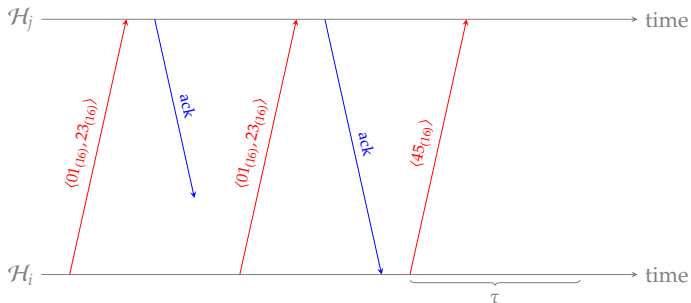


### Definition (Automatic Repeat reQuest (ARQ))

**Automatic Repeat reQuest (ARQ)** is a (generic) mechanism used to provide reliability: it means that the

- ▶ destination (automatically) transmits acknowledgement (or **ACK**) on receipt of valid data,
- ▶ source (automatically) retransmits segment after time-out (i.e., after  $\tau$  time units)

e.g., using the **stop-and-wait** protocol .

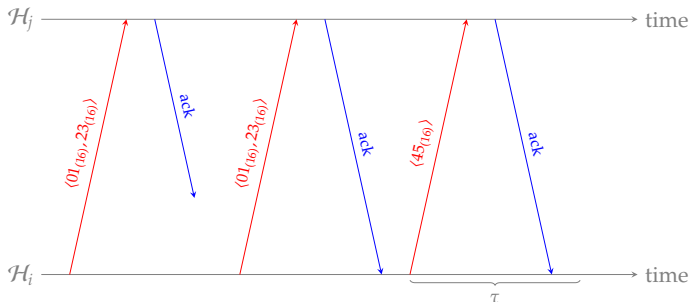


### Definition (Automatic Repeat reQuest (ARQ))

**Automatic Repeat reQuest (ARQ)** is a (generic) mechanism used to provide reliability: it means that the

- ▶ destination (automatically) transmits acknowledgement (or **ACK**) on receipt of valid data,
- ▶ source (automatically) retransmits segment after time-out (i.e., after  $\tau$  time units)

e.g., using the **stop-and-wait** protocol .





### Definition (Automatic Repeat reQuest (ARQ))

**Automatic Repeat reQuest (ARQ)** is a (generic) mechanism used to provide reliability: it means that the

- ▶ destination (automatically) transmits acknowledgement (or **ACK**) on receipt of valid data,
- ▶ source (automatically) retransmits segment after time-out (i.e., after  $\tau$  time units)

e.g., using the **stop-and-wait protocol** .

$\mathcal{H}_j$  —————→ time

$\mathcal{H}_i$  —————→ time

### Definition (Automatic Repeat reQuest (ARQ))

**Automatic Repeat reQuest (ARQ)** is a (generic) mechanism used to provide reliability: it means that the

- ▶ destination (automatically) transmits acknowledgement (or **ACK**) on receipt of valid data,
- ▶ source (automatically) retransmits segment after time-out (i.e., after  $\tau$  time units)

e.g., using the **stop-and-wait** protocol .

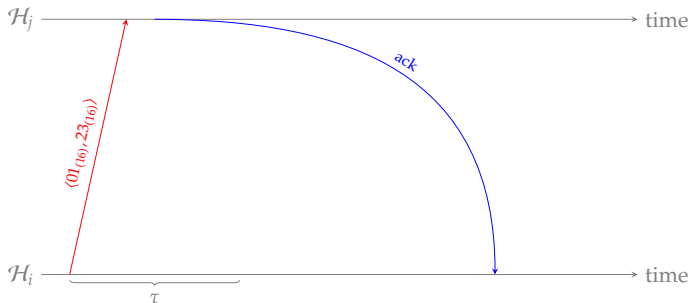


### Definition (Automatic Repeat reQuest (ARQ))

**Automatic Repeat reQuest (ARQ)** is a (generic) mechanism used to provide reliability: it means that the

- ▶ destination (automatically) transmits acknowledgement (or **ACK**) on receipt of valid data,
- ▶ source (automatically) retransmits segment after time-out (i.e., after  $\tau$  time units)

e.g., using the **stop-and-wait** protocol .

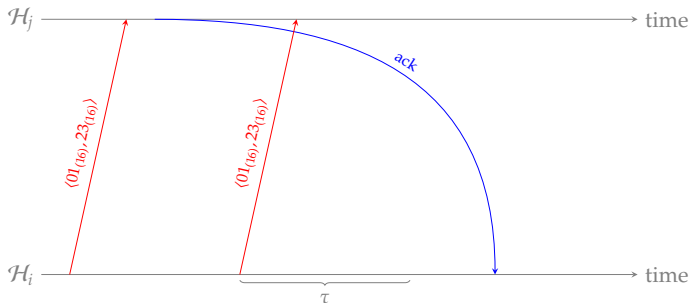


### Definition (Automatic Repeat reQuest (ARQ))

**Automatic Repeat reQuest (ARQ)** is a (generic) mechanism used to provide reliability: it means that the

- ▶ destination (automatically) transmits acknowledgement (or **ACK**) on receipt of valid data,
- ▶ source (automatically) retransmits segment after time-out (i.e., after  $\tau$  time units)

e.g., using the **stop-and-wait** protocol .

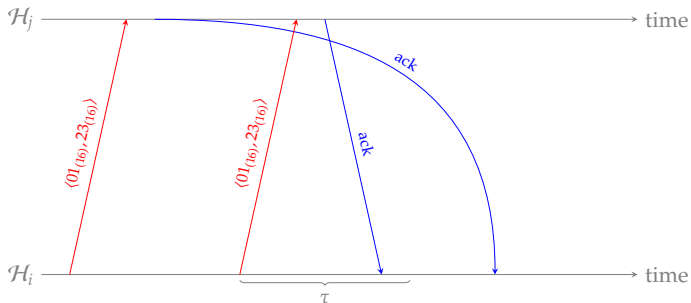


### Definition (Automatic Repeat reQuest (ARQ))

**Automatic Repeat reQuest (ARQ)** is a (generic) mechanism used to provide reliability: it means that the

- ▶ destination (automatically) transmits acknowledgement (or **ACK**) on receipt of valid data,
- ▶ source (automatically) retransmits segment after time-out (i.e., after  $\tau$  time units)

e.g., using the **stop-and-wait** protocol .

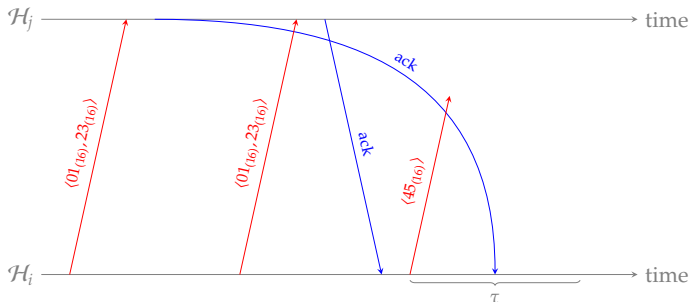


### Definition (Automatic Repeat reQuest (ARQ))

**Automatic Repeat reQuest (ARQ)** is a (generic) mechanism used to provide reliability: it means that the

- ▶ destination (automatically) transmits acknowledgement (or **ACK**) on receipt of valid data,
- ▶ source (automatically) retransmits segment after time-out (i.e., after  $\tau$  time units)

e.g., using the **stop-and-wait** protocol .

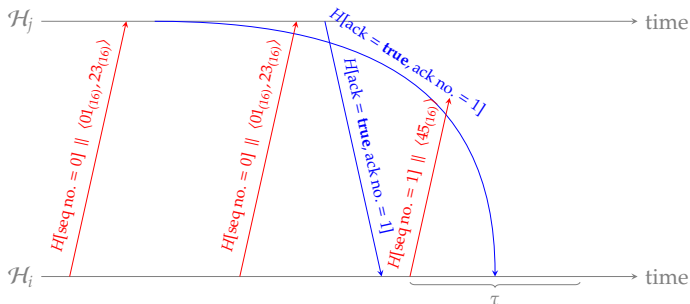


### Definition (Automatic Repeat reQuest (ARQ))

**Automatic Repeat reQuest (ARQ)** is a (generic) mechanism used to provide reliability: it means that the

- ▶ destination (automatically) transmits acknowledgement (or **ACK**) on receipt of valid data,
- ▶ source (automatically) retransmits segment after time-out (i.e., after  $\tau$  time units)

e.g., using the **stop-and-wait protocol** (plus **sequence numbers**).



- ▶ Normal TCP-based communication occurs in three phases, namely

1. **connection establishment**

- 1.1 exchange signalling parameters,
- 1.2 allocate resources,
- 1.3 synchronise ready to communicate

2. full-duplex (i.e., 2-way), unicast (i.e., with precisely two end-points) communication via the established connection, then eventually

3. **connection termination**

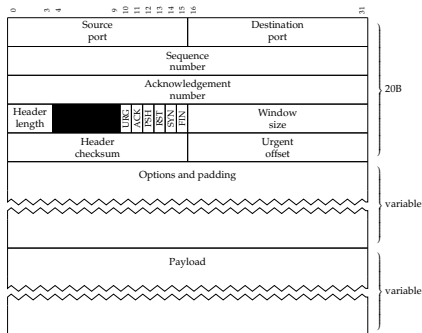
- 3.1 complete pending communication,
- 3.2 release resources

plus various auxiliary actions, e.g., **connection reset**.



## TCP (2)

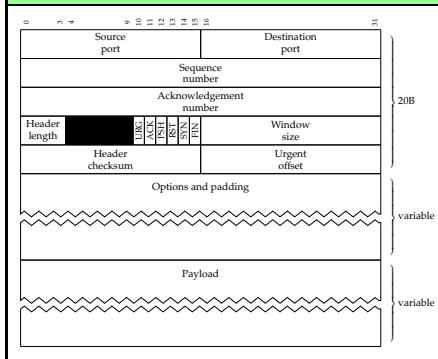
### Data Structure (TCP segment [7, Section 3.1])



The data structure includes:

- ▶ A 16-bit source port.
- ▶ A 16-bit destination port.
- ▶ A 32-bit sequence number.
- ▶ A 32-bit acknowledgement number.

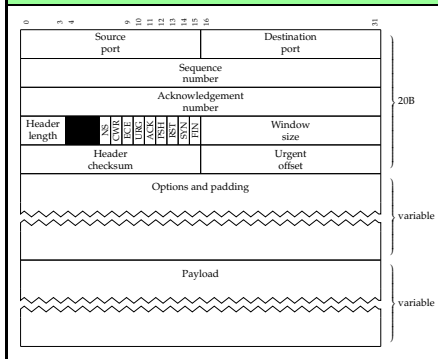
## Data Structure (TCP segment [7, Section 3.1])



The data structure includes:

- ▶ A set of flags, including
  - ▶ 1-bit **URG** (URG) flag, which marks the urgent pointer field as significant.
  - ▶ 1-bit **ACK** (ACK) flag, which marks the acknowledgement field as significant.
  - ▶ 1-bit **PuSH** (PSH) flag, which means "transmit *now*: don't buffer".
  - ▶ 1-bit **ReSeT** (RST) flag, used for connection control.
  - ▶ 1-bit **SYN** (SYN) flag, used for connection control.
  - ▶ 1-bit **FIN** (FIN) flag, used for connection control.

## Data Structure (TCP segment [7, Section 3.1]+[8, Section 6]+[9, Section 9])



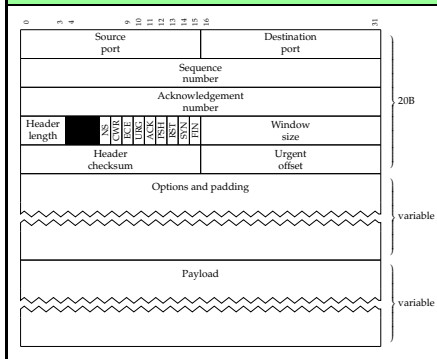
The data structure includes:

- ▶ A set of flags, including
  - ▶ 1-bit **URG** (**URG**) flag, which marks the urgent pointer field as significant.
  - ▶ 1-bit **ACK** (**ACK**) flag, which marks the acknowledgement field as significant.
  - ▶ 1-bit **PuSH** (**PSH**) flag, which means “transmit *now*: don’t buffer”.
  - ▶ 1-bit **ReSeT** (**RST**) flag, used for connection control.
  - ▶ 1-bit **SYN** (**SYN**) flag, used for connection control.
  - ▶ 1-bit **FIN** (**FIN**) flag, used for connection control.

plus some extras for **Explicit Congestion Notification (ECN)**.

## TCP (2)

### Data Structure (TCP segment [7, Section 3.1]+[8, Section 6]+[9, Section 9])

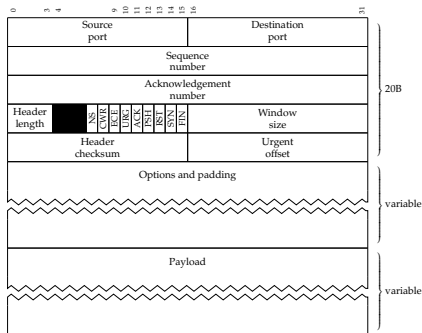


The data structure includes:

- ▶ A window size, used for flow control.
- ▶ A 16-bit checksum (on whole segment) used to detect errors
- ▶ An urgent offset, used for flow control.

## TCP (2)

### Data Structure (TCP segment [7, Section 3.1]+[8, Section 6]+[9, Section 9])



The data structure includes:

- ▶ A set of options (allowing protocol extensibility).
- ▶ Any padding required to ensure the header is a multiple of 32 bits.
- ▶ The payload.

$\mathcal{H}_j$  —————→ time

$\mathcal{H}_i$  —————→ time

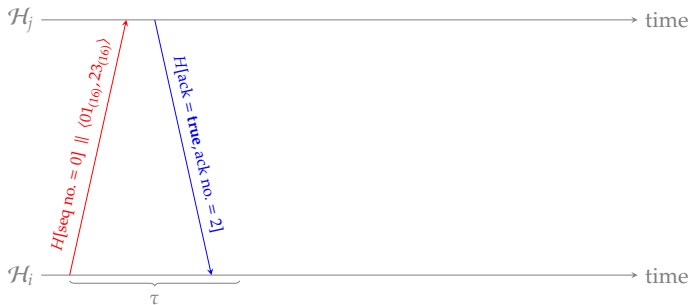
- ▶ **Question:** why have a sequence *and* ACK numbers?
- ▶ **Answer:** it allows optimisation of stop-and-wait via **ACK piggy-backing** st.
  - ▶ communication is reduced, i.e., it optimises use of bandwidth, *but*
  - ▶ if applied rigidly, means the host needing to transmit an ACK *might* block.

## TCP (5)



- ▶ **Question:** why have a sequence *and* ACK numbers?
- ▶ **Answer:** it allows optimisation of stop-and-wait via **ACK piggy-backing** st.
  - ▶ communication is reduced, i.e., it optimises use of bandwidth, *but*
  - ▶ if applied rigidly, means the host needing to transmit an ACK *might* block.

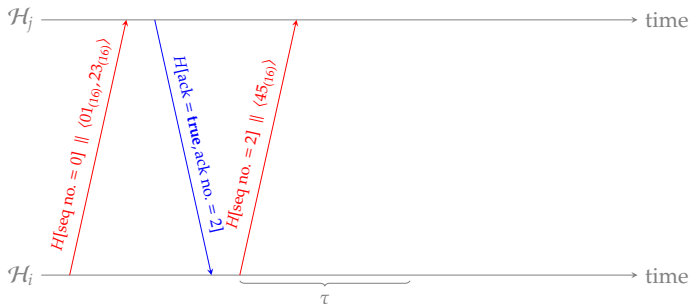
## TCP (5)



- ▶ **Question:** why have a sequence *and* ACK numbers?
- ▶ **Answer:** it allows optimisation of stop-and-wait via **ACK piggy-backing** st.
  - ▶ communication is reduced, i.e., it optimises use of bandwidth, *but*
  - ▶ if applied rigidly, means the host needing to transmit an ACK *might* block.

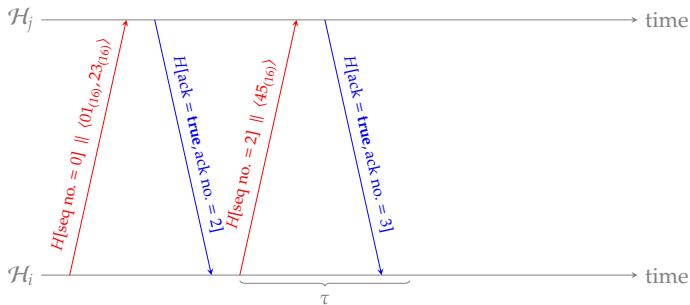


## TCP (5)



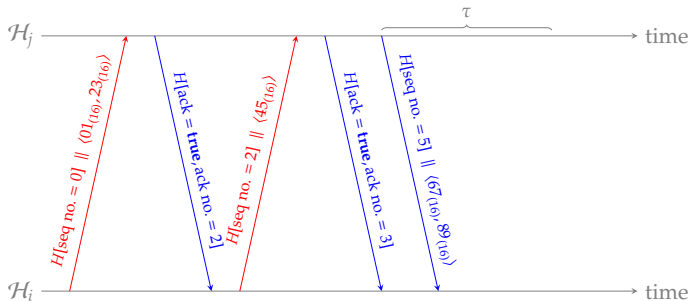
- ▶ **Question:** why have a sequence *and* ACK numbers?
- ▶ **Answer:** it allows optimisation of stop-and-wait via **ACK piggy-backing** st.
  - ▶ communication is reduced, i.e., it optimises use of bandwidth, *but*
  - ▶ if applied rigidly, means the host needing to transmit an ACK *might* block.

## TCP (5)



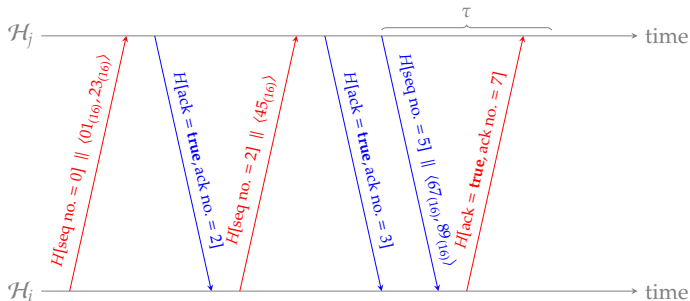
- ▶ **Question:** why have a sequence *and* ACK numbers?
- ▶ **Answer:** it allows optimisation of stop-and-wait via **ACK piggy-backing** st.
  - ▶ communication is reduced, i.e., it optimises use of bandwidth, *but*
  - ▶ if applied rigidly, means the host needing to transmit an ACK *might* block.

## TCP (5)



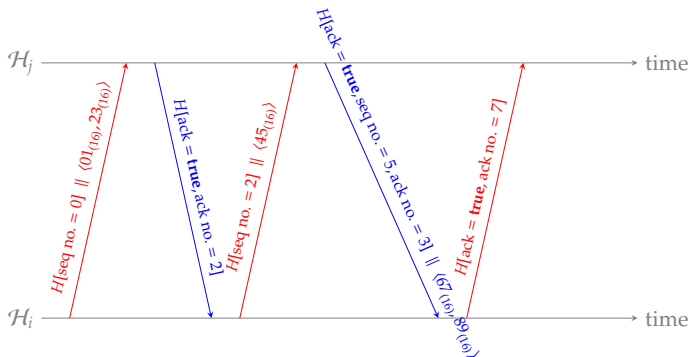
- ▶ **Question:** why have a sequence *and* ACK numbers?
- ▶ **Answer:** it allows optimisation of stop-and-wait via **ACK piggy-backing** st.
  - ▶ communication is reduced, i.e., it optimises use of bandwidth, *but*
  - ▶ if applied rigidly, means the host needing to transmit an ACK *might* block.

## TCP (5)



- ▶ **Question:** why have a sequence *and* ACK numbers?
- ▶ **Answer:** it allows optimisation of stop-and-wait via **ACK piggy-backing** st.
  - ▶ communication is reduced, i.e., it optimises use of bandwidth, *but*
  - ▶ if applied rigidly, means the host needing to transmit an ACK *might* block.

## TCP (5)



- ▶ **Question:** why have a sequence *and* ACK numbers?
- ▶ **Answer:** it allows optimisation of stop-and-wait via **ACK piggy-backing** st.
  - ▶ communication is reduced, i.e., it optimises use of bandwidth, *but*
  - ▶ if applied rigidly, means the host needing to transmit an ACK *might* block.

## TCP (6)

### ► Example:

$\mathcal{H}_j$  —————→ time

$\mathcal{H}_i$  —————→ time

## TCP (6)

### ► Example:

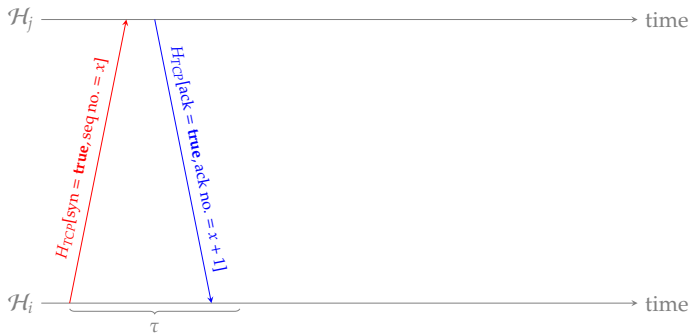
1. connection establishment,



## TCP (6)

### ► Example:

1. connection establishment,

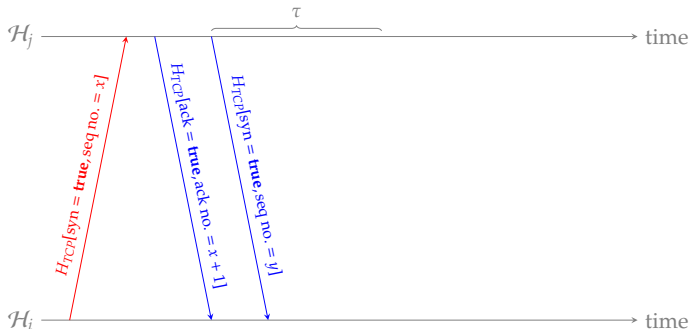




## TCP (6)

### ► Example:

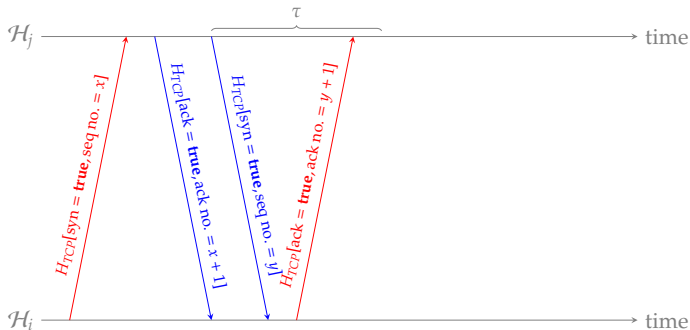
1. connection establishment,



## TCP (6)

### ► Example:

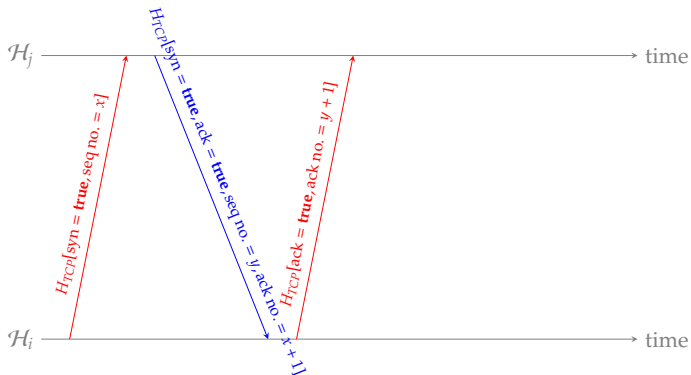
1. connection establishment,



## TCP (6)

### ► Example:

1. connection establishment,



## TCP (6)

### ► Example:

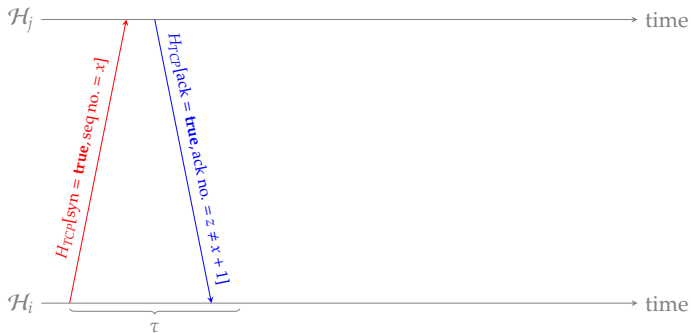
1. connection establishment,
2. connection reset,



## TCP (6)

### ► Example:

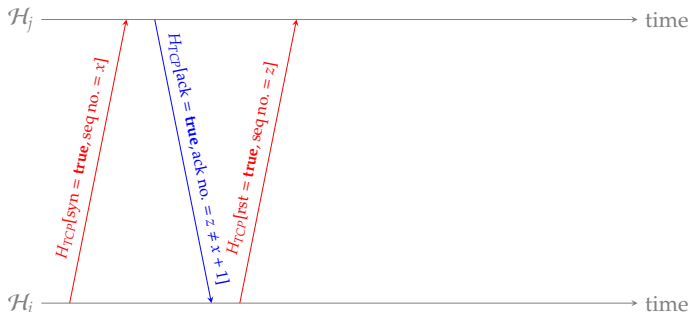
1. connection establishment,
2. connection reset,



## TCP (6)

### ► Example:

1. connection establishment,
2. connection reset,



## TCP (6)

### ► Example:

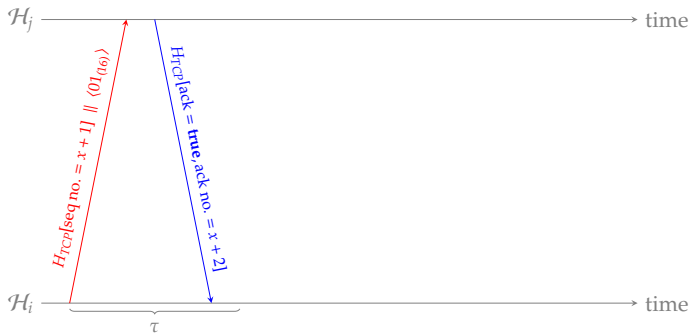
1. connection establishment,
2. connection reset,
3. full-duplex communication, and



## TCP (6)

### ► Example:

1. connection establishment,
2. connection reset,
3. full-duplex communication, and

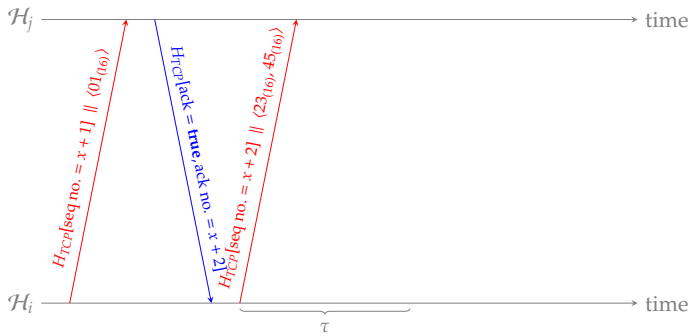




## TCP (6)

### ► Example:

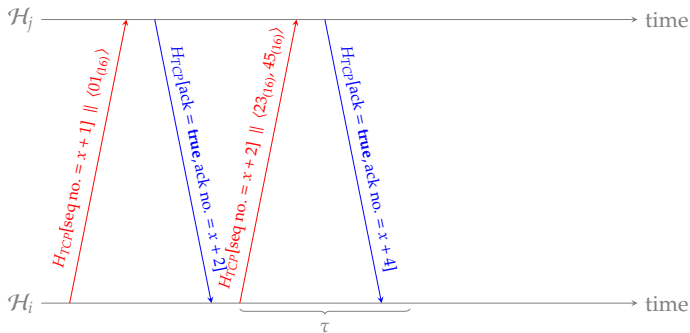
1. connection establishment,
2. connection reset,
3. full-duplex communication, and



## TCP (6)

### ► Example:

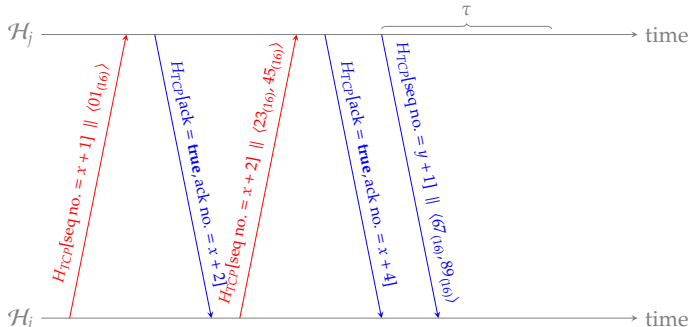
1. connection establishment,
2. connection reset,
3. full-duplex communication, and



## TCP (6)

### ► Example:

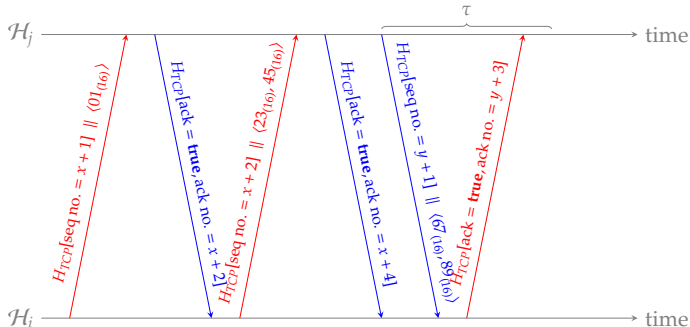
1. connection establishment,
2. connection reset,
3. full-duplex communication, and



## TCP (6)

### ► Example:

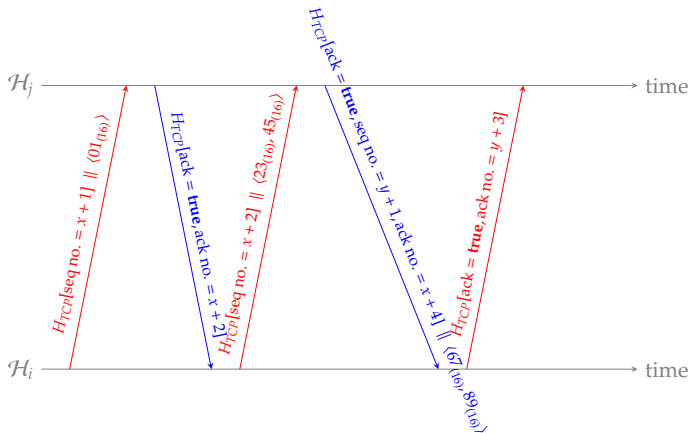
1. connection establishment,
2. connection reset,
3. full-duplex communication, and



## TCP (6)

### ► Example:

1. connection establishment,
2. connection reset,
3. full-duplex communication, and



## TCP (6)

### ► Example:

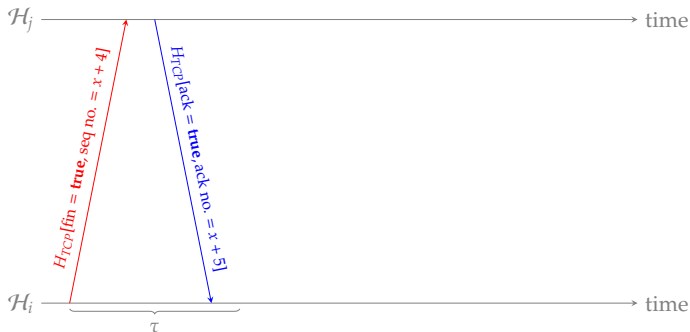
1. connection establishment,
2. connection reset,
3. full-duplex communication, and
4. connection termination.



## TCP (6)

### ► Example:

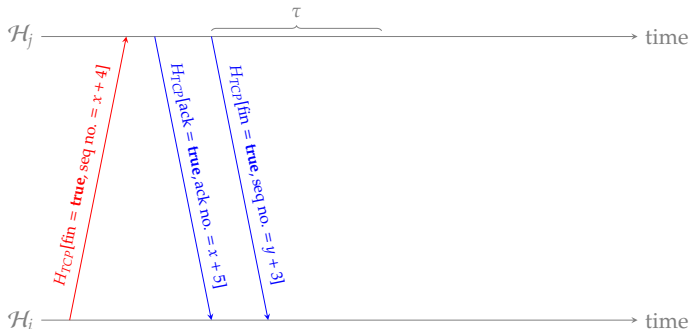
1. connection establishment,
2. connection reset,
3. full-duplex communication, and
4. connection termination.



## TCP (6)

### ► Example:

1. connection establishment,
2. connection reset,
3. full-duplex communication, and
4. connection termination.

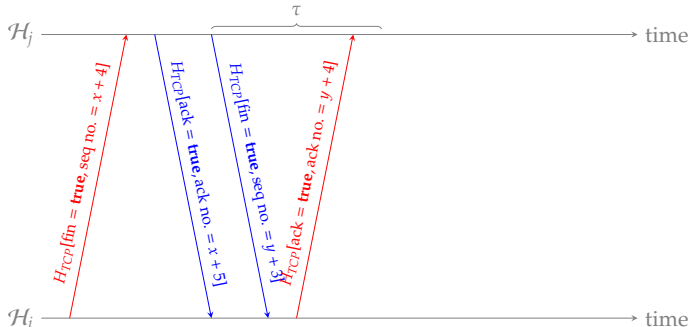




## TCP (6)

### ► Example:

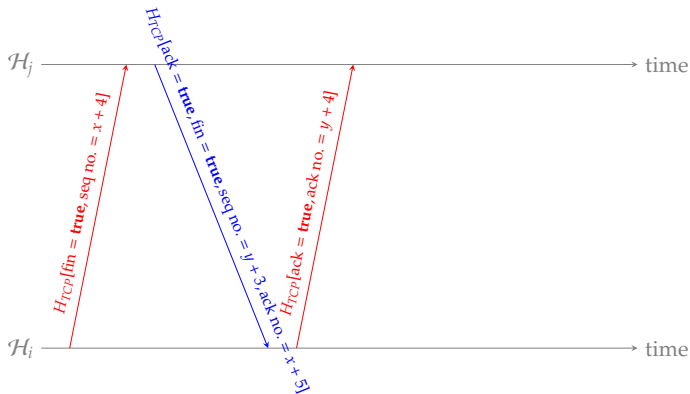
1. connection establishment,
2. connection reset,
3. full-duplex communication, and
4. connection termination.



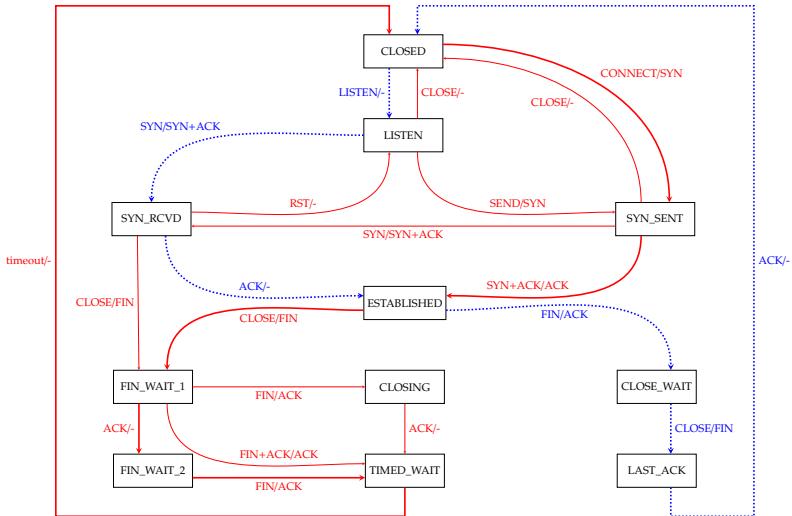
## TCP (6)

### ► Example:

1. connection establishment,
2. connection reset,
3. full-duplex communication, and
4. connection termination.



## Algorithm (TCP state machine)



Continued in next lecture ...

## References

- [1] Wikipedia: Automatic repeat request.  
[http://en.wikipedia.org/wiki/Automatic\\_repeat\\_request](http://en.wikipedia.org/wiki/Automatic_repeat_request).
- [2] Wikipedia: Port.  
[http://en.wikipedia.org/wiki/Port\\_\(computer\\_networking\)](http://en.wikipedia.org/wiki/Port_(computer_networking)).
- [3] Wikipedia: Socket.  
<http://en.wikipedia.org/wiki/Socket>.
- [4] Wikipedia: Transmission control protocol.  
[http://en.wikipedia.org/wiki/Transmission\\_Control\\_Protocol](http://en.wikipedia.org/wiki/Transmission_Control_Protocol).
- [5] Wikipedia: User datagram protocol.  
[http://en.wikipedia.org/wiki/User\\_Datagram\\_Protocol](http://en.wikipedia.org/wiki/User_Datagram_Protocol).
- [6] J. Postel.  
[User Datagram Protocol](#).  
Internet Engineering Task Force (IETF) Request for Comments (RFC) 768, 1980.  
<http://tools.ietf.org/html/rfc768>.
- [7] J. Postel.  
[Transmission Control Protocol](#).  
Internet Engineering Task Force (IETF) Request for Comments (RFC) 793, 1981.  
<http://tools.ietf.org/html/rfc793>.

## References

- [8] K. Ramakrishnan, S. Floyd, and D. Black.  
[The addition of Explicit Congestion Notification \(ECN\) to IP.](#)  
Internet Engineering Task Force (IETF) Request for Comments (RFC) 3168, 2001.  
<http://tools.ietf.org/html/rfc3168>.
- [9] N. Spring, D. Wetherall, and D. Ely.  
[Robust Explicit Congestion Notification \(ECN\) signaling with nonces.](#)  
Internet Engineering Task Force (IETF) Request for Comments (RFC) 3540, 2003.  
<http://tools.ietf.org/html/rfc3540>.
- [10] W. Stallings.  
[Chapter 23: Transport protocols.](#)  
In *Data and Computer Communications*. Pearson, 9th edition, 2010.