

# Data Structures and Algorithms – COMS21103

2014/2015

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## Representing and Exploring Graphs

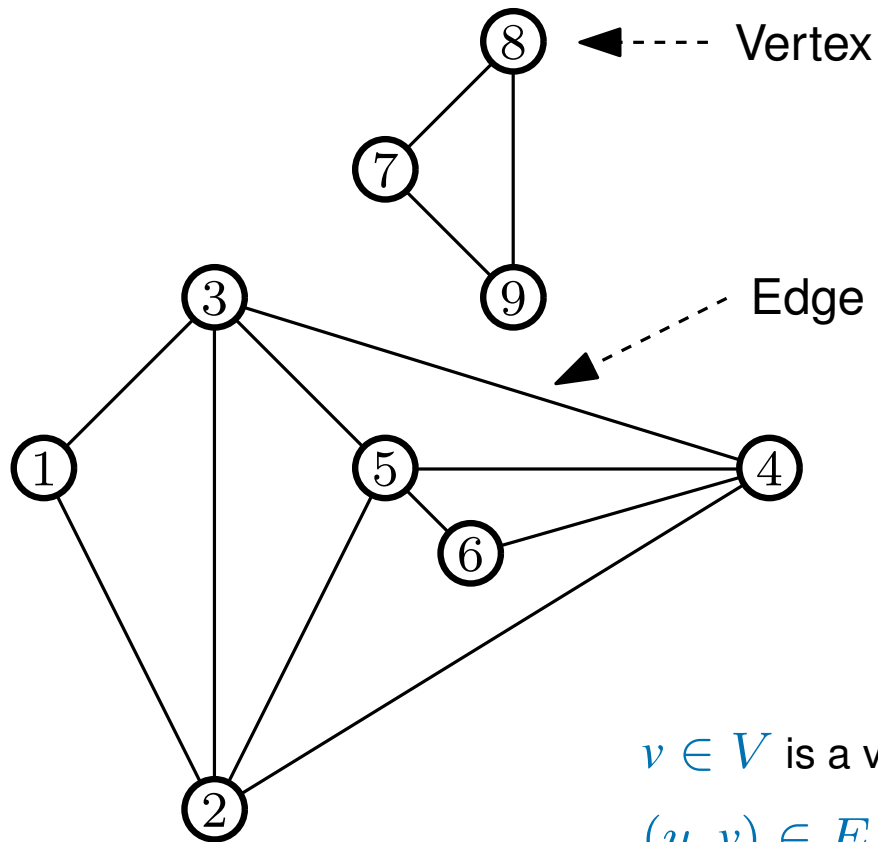
Depth First Search and Breadth First Search

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Benjamin Sach

# Graph notation recap

$G$  is a Graph,



$V$  is the set of vertices

$|V|$  is the number of vertices

$E$  is the set of edges

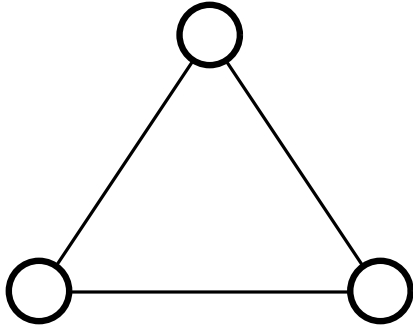
$|E|$  is the number of edges

$v \in V$  is a vertex

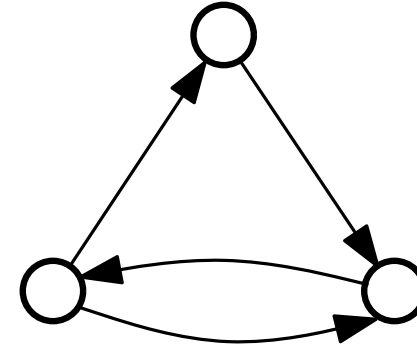
$(u, v) \in E$  is an edge from  $u$  to  $v$

This lecture focuses on exploring **undirected** and **unweighted** graphs  
*though everything discussed today will work for directed, unweighted graphs too*

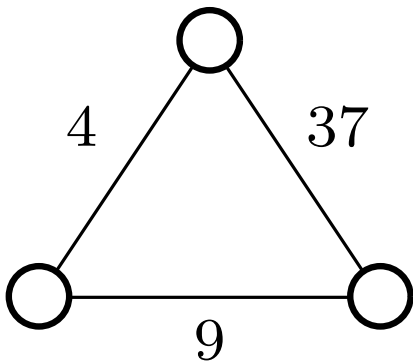
# Graph notation recap



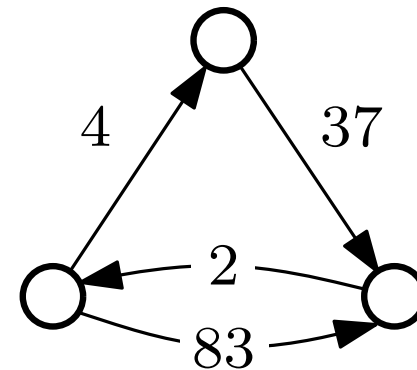
an **undirected** and **unweighted** graph



an **directed** and **unweighted** graph



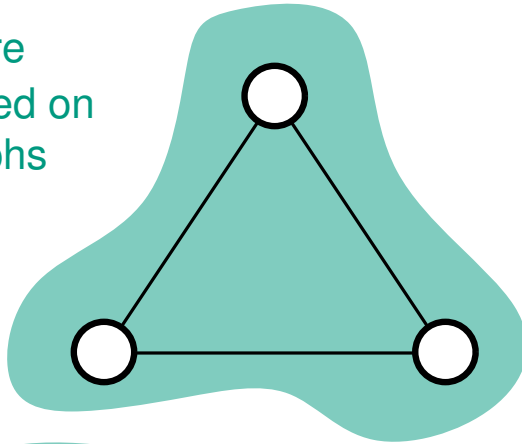
an **undirected** and **weighted** graph



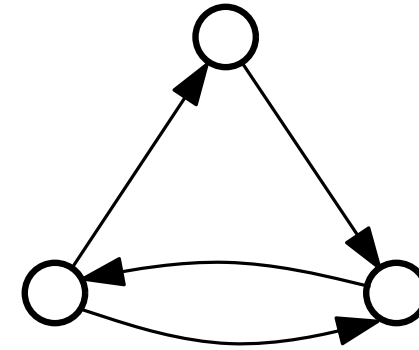
a **directed** and **weighted** graph

# Graph notation recap

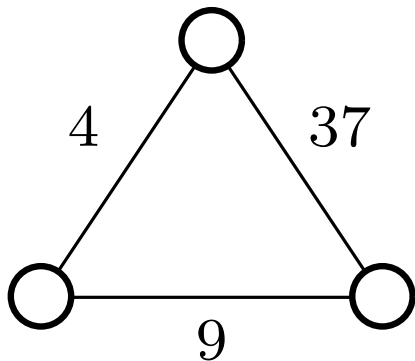
Today we're  
focused on  
these graphs



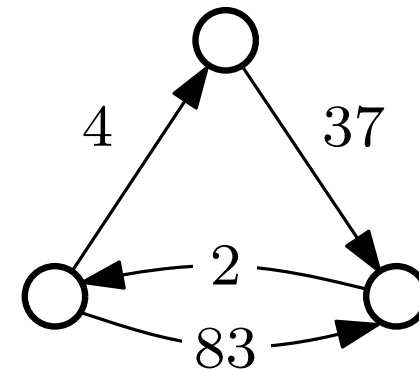
an **undirected** and **unweighted** graph



an **directed** and **unweighted** graph



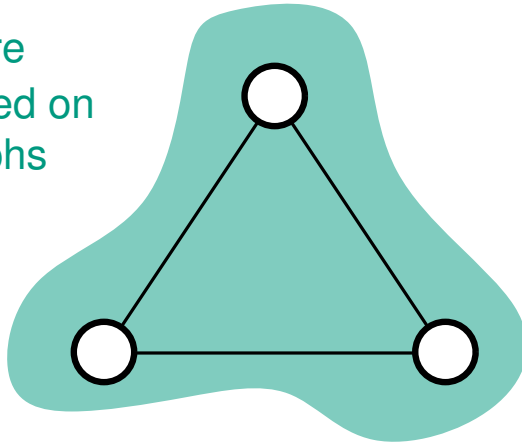
an **undirected** and **weighted** graph



a **directed** and **weighted** graph

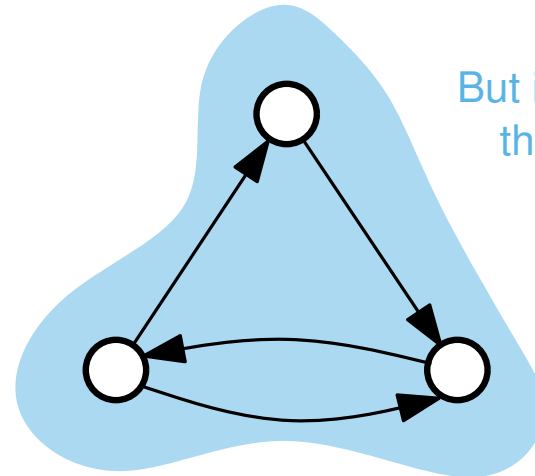
# Graph notation recap

Today we're  
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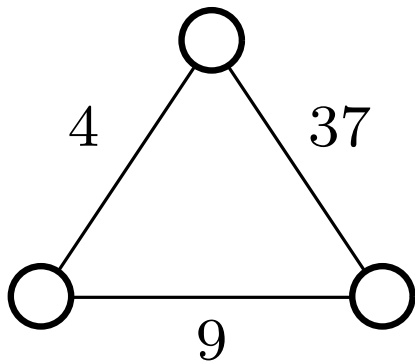


an **undirected** and **unweighted** graph

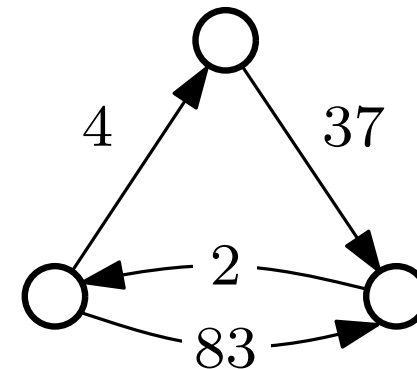
But it all works for  
these graphs too



an **directed** and **unweighted** graph



an **undirected** and **weighted** graph

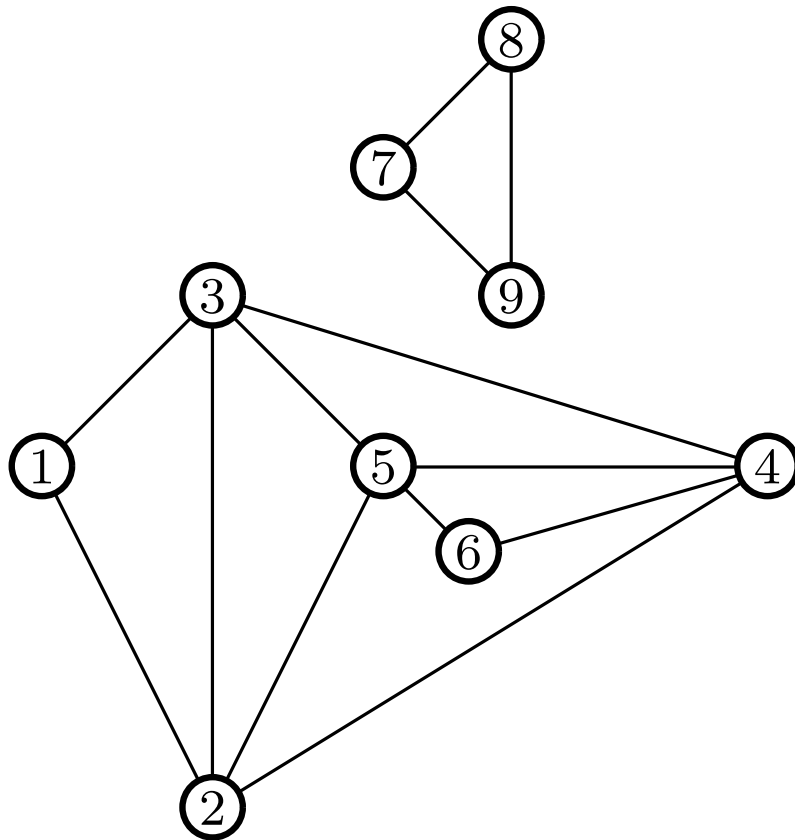


a **directed** and **weighted** graph

$V$  is the set of vertices  
 $|V|$  is the number of vertices

# Graph representations

$E$  is the set of edges  
 $|E|$  is the number of edges



	TO								
	1	2	3	4	5	6	7	8	9
1	0	1	1	0	0	0	0	0	0
2	1	0	1	1	1	0	0	0	0
3	1	1	0	1	1	0	0	0	0
4	0	1	1	0	1	1	0	0	0
5	0	1	1	1	0	1	0	0	0
6	0	0	0	1	1	0	0	0	0
7	0	0	0	0	0	0	0	1	1
8	0	0	0	0	0	0	1	0	1
9	0	0	0	0	0	0	1	1	0

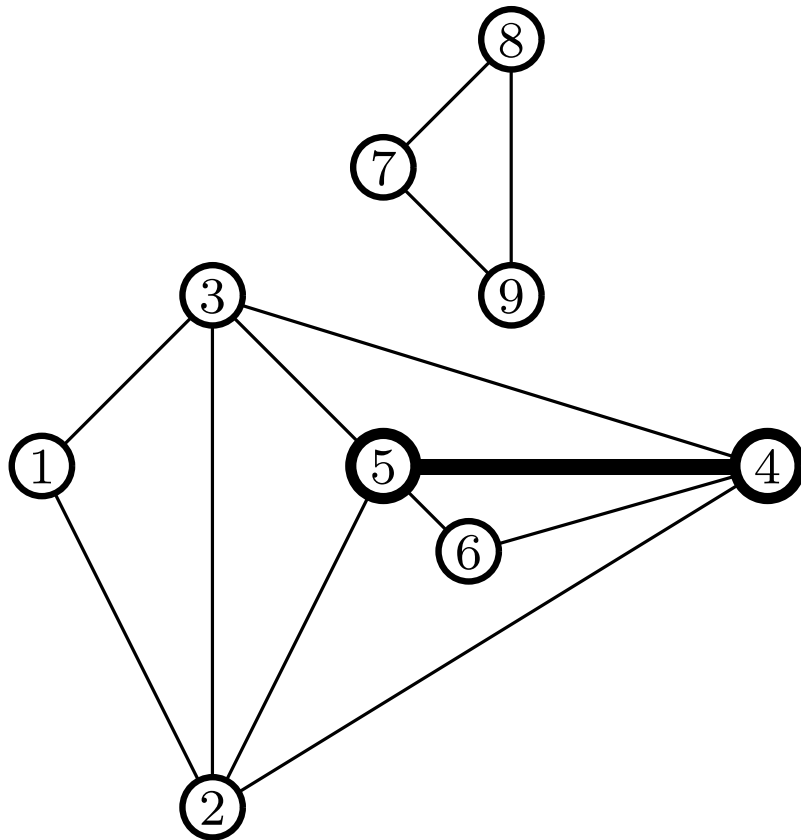
Adjacency Matrix

We will in general assume that the vertices are numbered  $1, 2, 3 \dots |V|$

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3	1	1	0	1	1	0	0	0	0
4	0	1	1	0	1	1	0	0	0
5	0	1	1	1	0	1	0	0	0
6	0	0	0	1	1	0	0	0	0
7	0	0	0	0	0	0	0	1	1
8	0	0	0	0	0	0	1	0	1
9	0	0	0	0	0	0	1	1	0

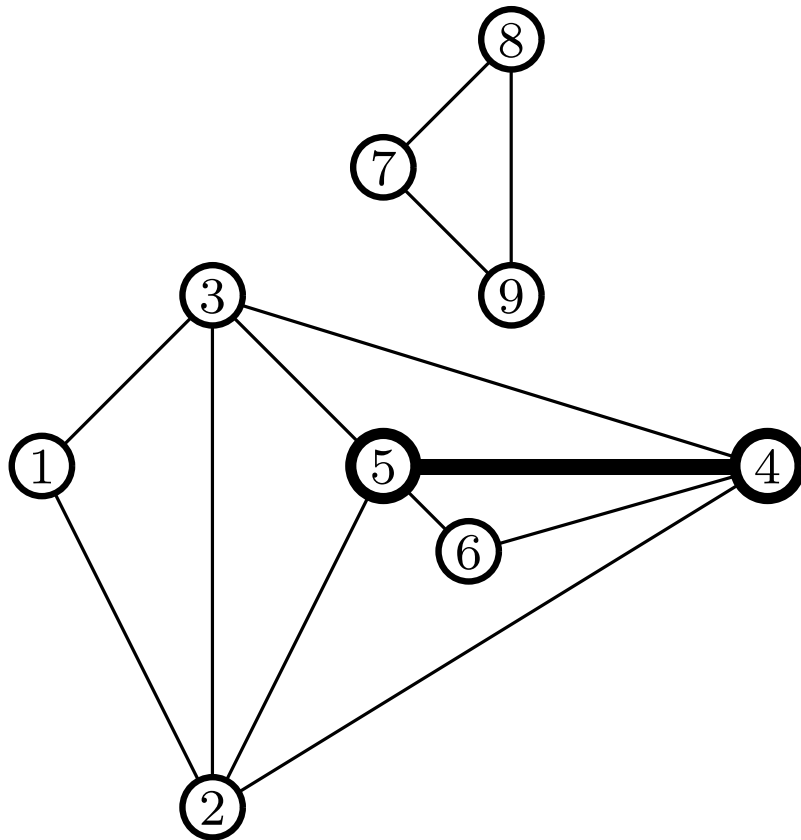
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5	0	1	1	1	0	1	0	0	0
6	0	0	0	1	1	0	0	0	0
7	0	0	0	0	0	0	0	1	1
8	0	0	0	0	0	0	1	0	1
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Adjacency Matrix

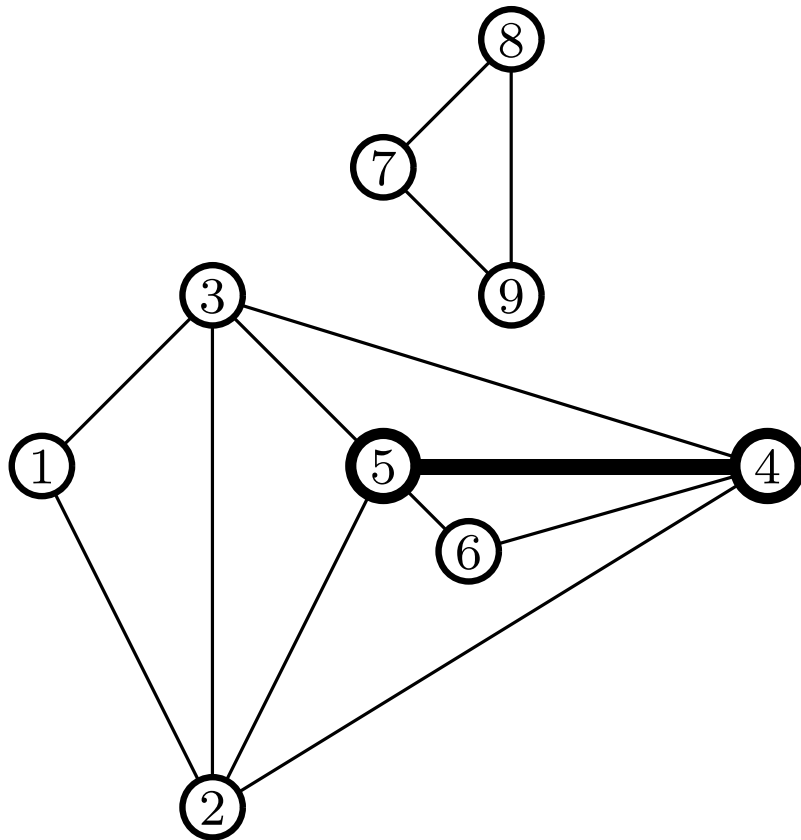
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		1	2	3	4	5	6	7	8	9
FROM	1	0	1	1	0	0	0	0	0	0
	2	1	0	1	1	1	0	0	0	0
	3	1	1	0	1	1	0	0	0	0
	4	0	1	1	0	1	1	0	0	0
	5	0	1	1	1	0	1	0	0	0
	6	0	0	0	1	1	0	0	0	0
	7	0	0	0	0	0	0	0	1	1
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	9	0	0	0	0	0	0	1	1	0
		$ V $								

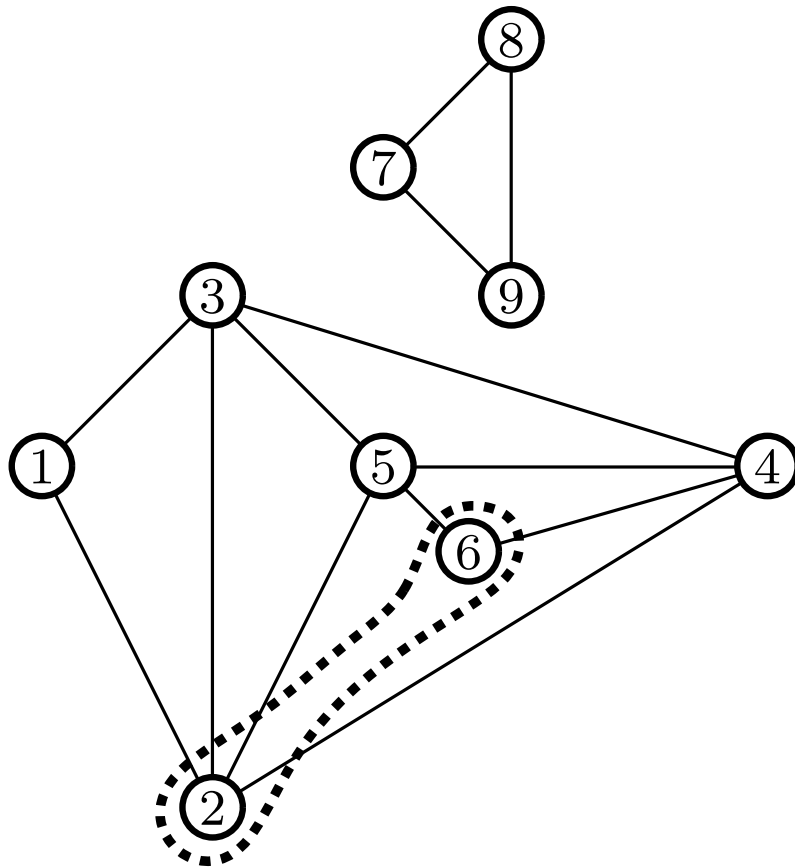
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	4	0	1	1	0	1	1	0	0	0
	5	0	1	1	1	0	1	0	0	0
	6	0	0	0	1	1	0	0	0	0
	7	0	0	0	0	0	0	0	1	1
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	9	0	0	0	0	0	0	1	1	0
		$ V $								

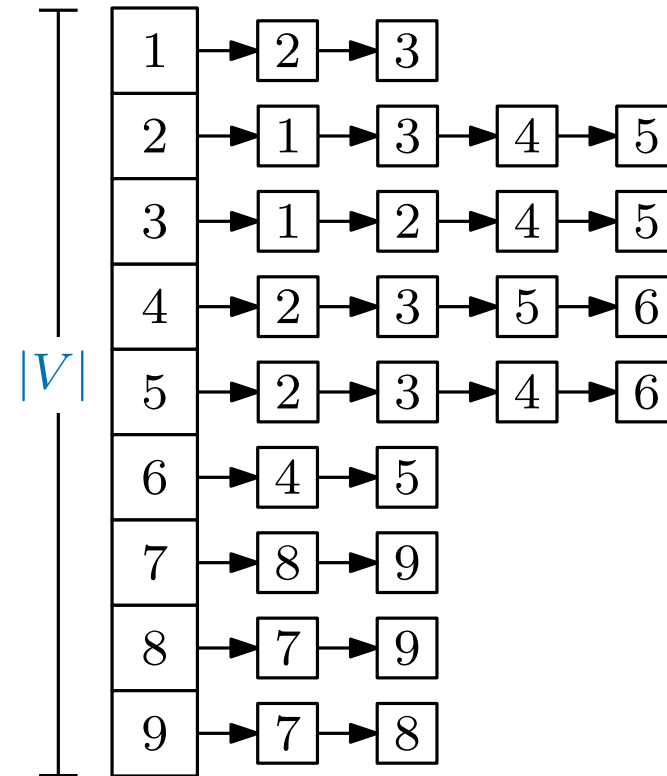
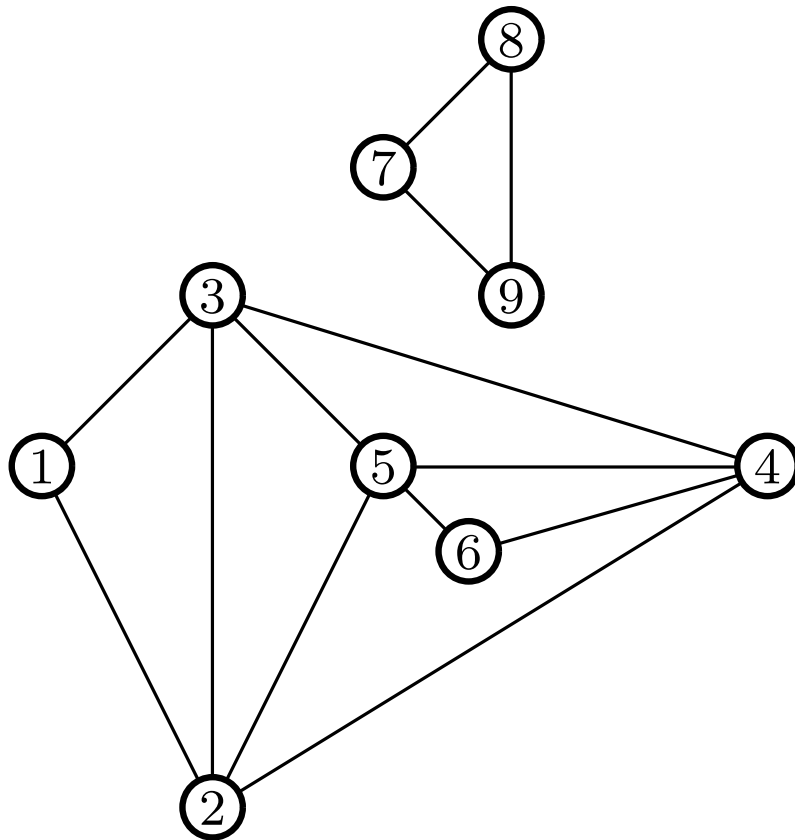
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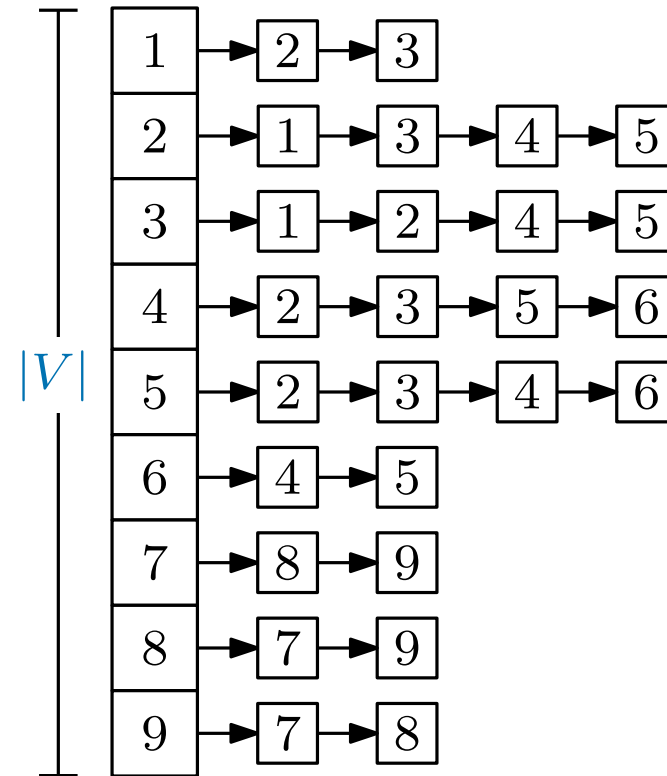
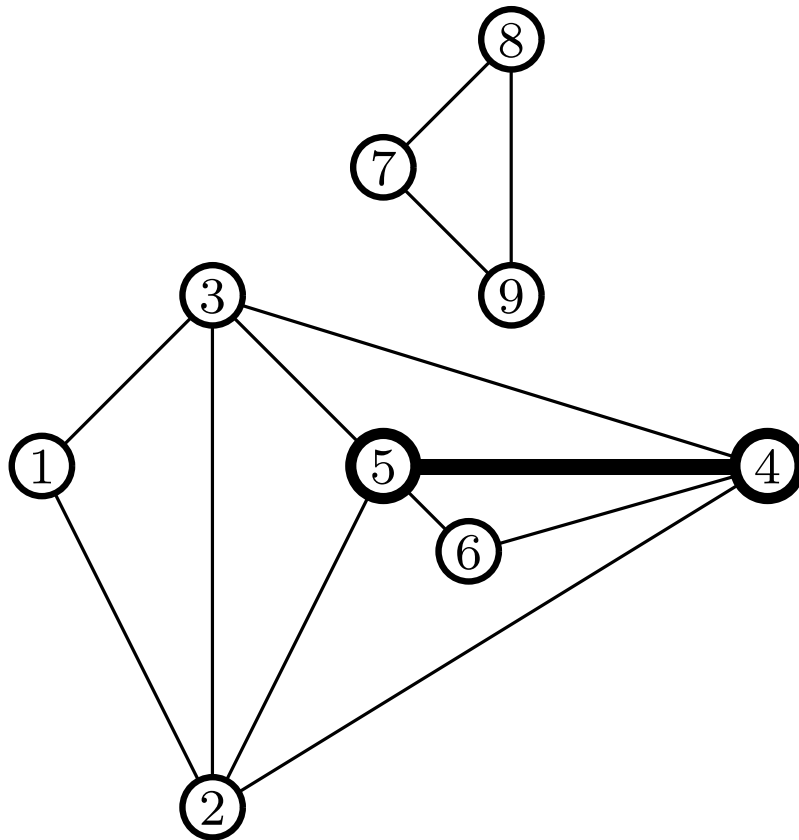
Adjacency List  
(using linked lists)

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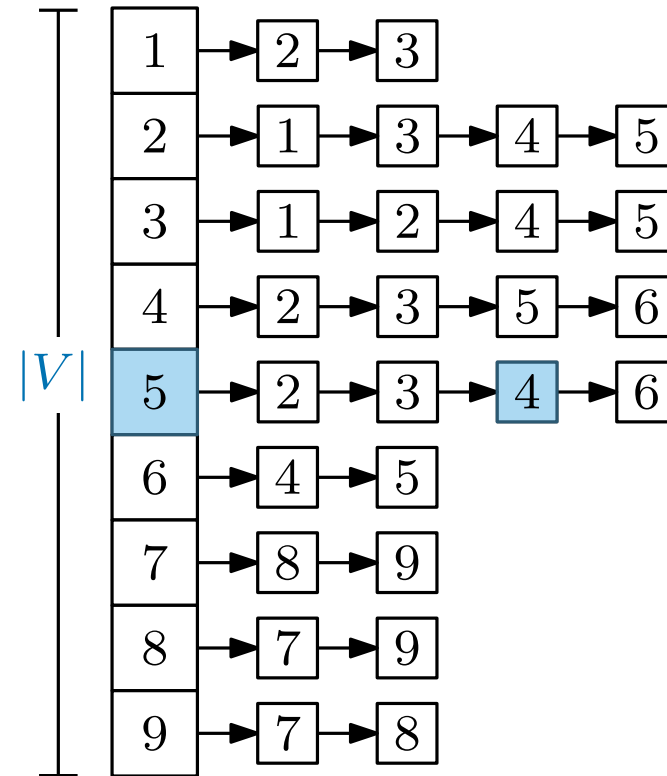
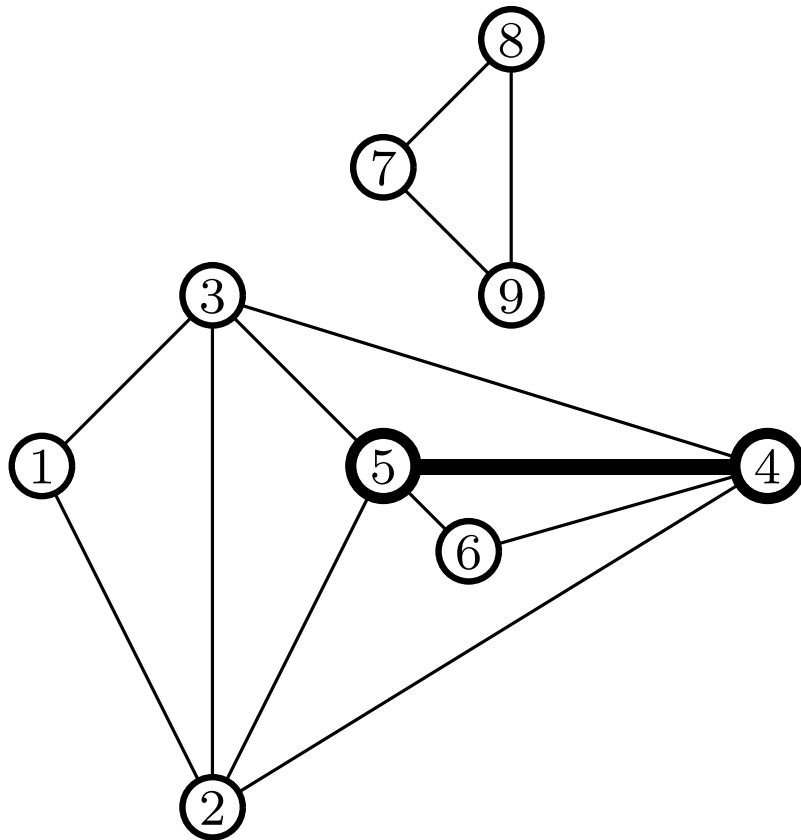
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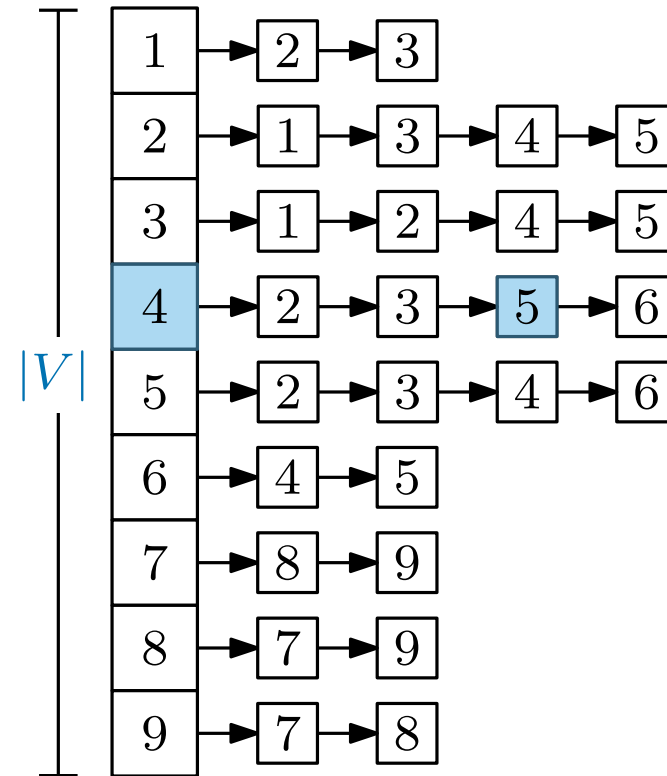
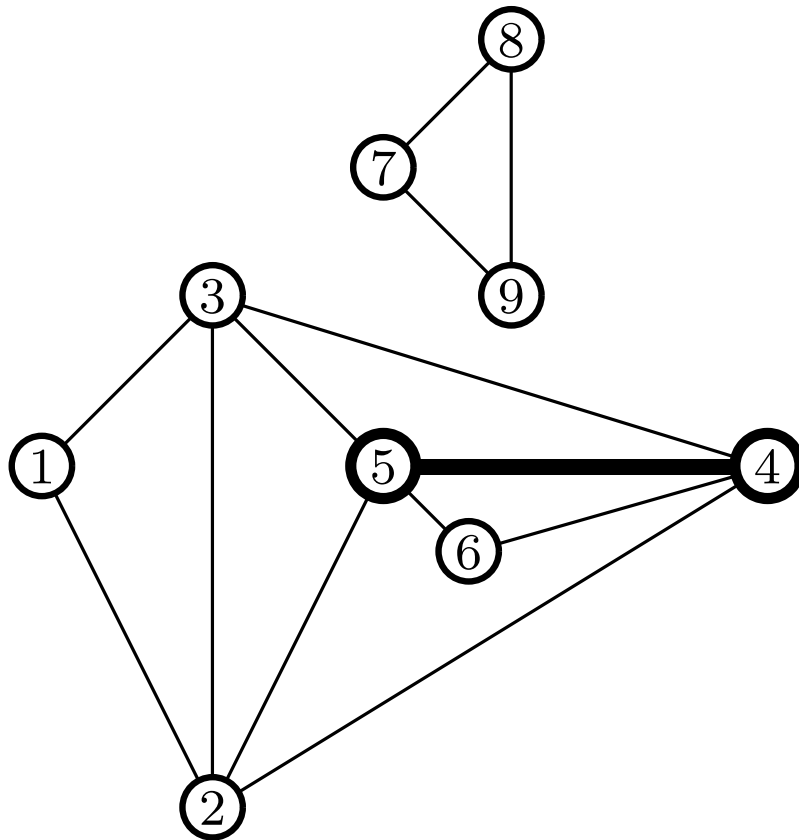
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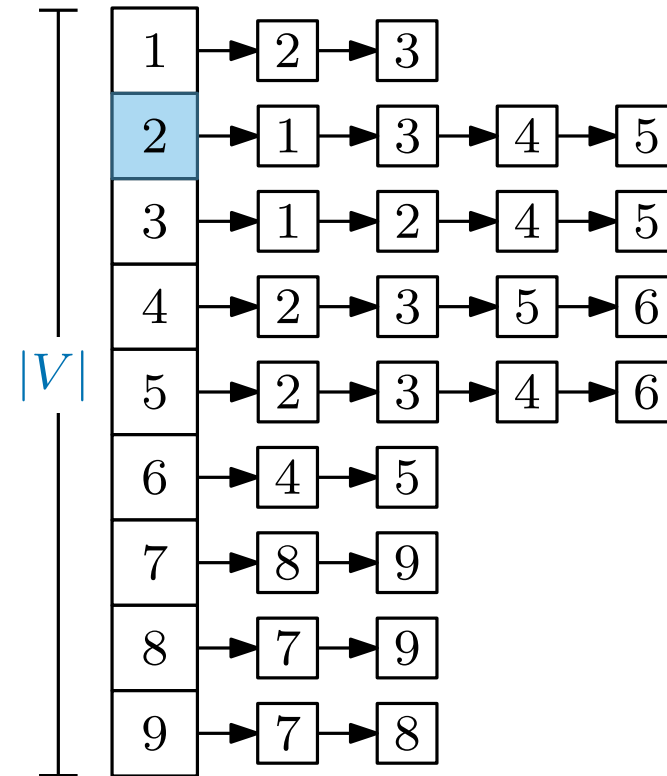
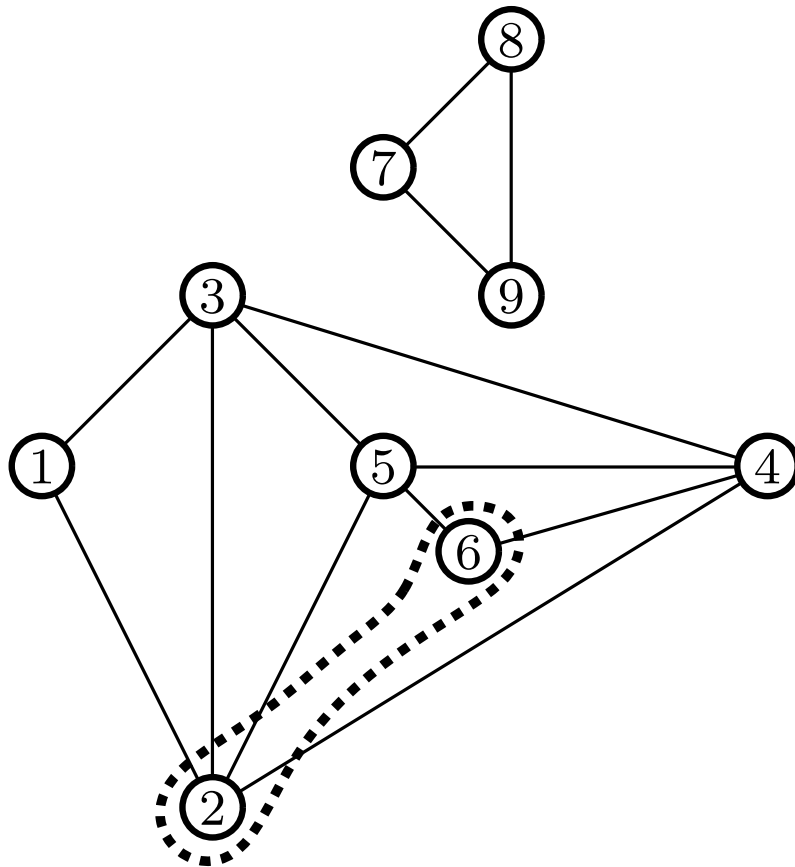
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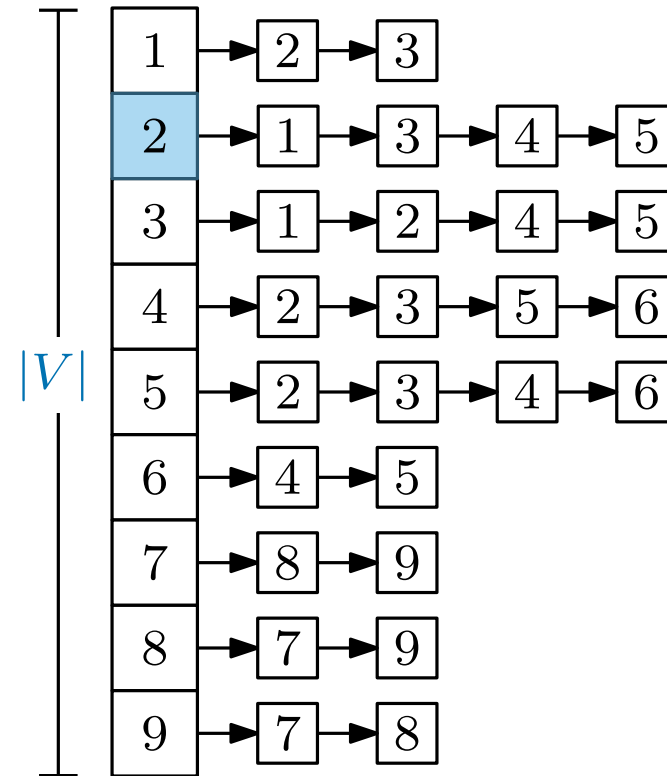
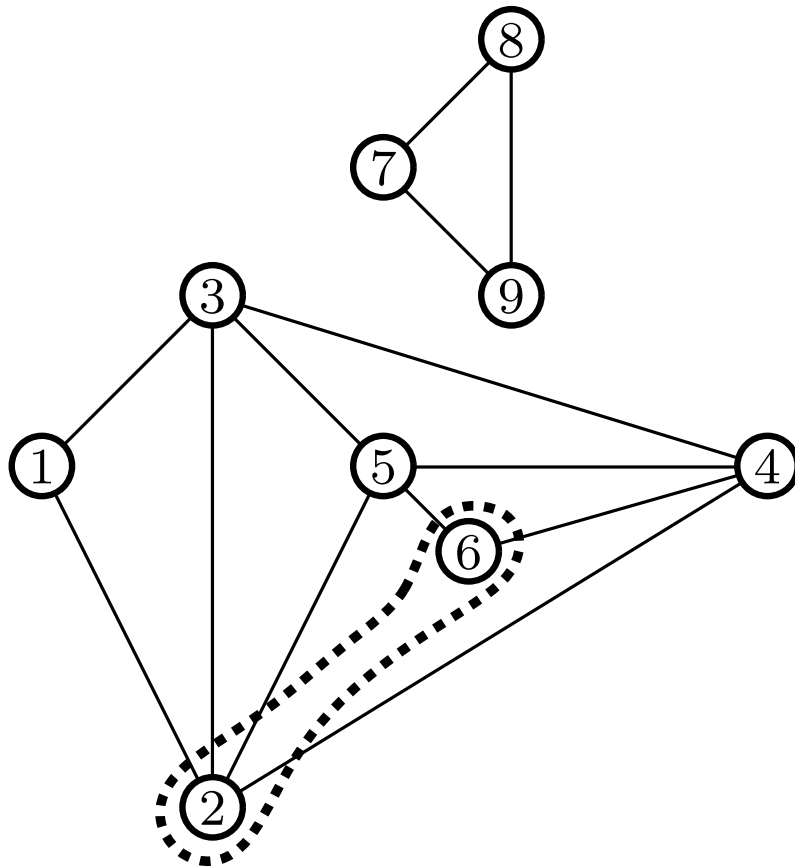
Adjacency List  
(using linked lists)

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## Graph representations

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Adjacency List  
*(using linked lists)*

We will in general assume that the vertices are numbered  $1, 2, 3 \dots |V|$

*both representations are symmetric because the graphs are undirected*



$V$  is the set of vertices  
 $|V|$  is the number of vertices

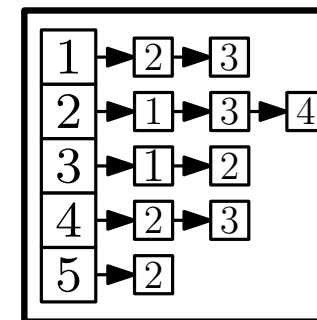
## Basic operations

$E$  is the set of edges  
 $|E|$  is the number of edges

	Adjacency Matrix	Adjacency List (using linked lists)
Space		
Is there an edge from vertex $u$ to $v$ ?		
List all the edges leaving $u \in V$		

	1	2	3	4	5
1	0	1	1	0	0
2	1	0	1	1	1
3	1	1	0	1	1
4	0	1	1	0	1
5	0	1	1	1	0

Adjacency Matrix



Adjacency List  
(using linked lists)

$V$  is the set of vertices  
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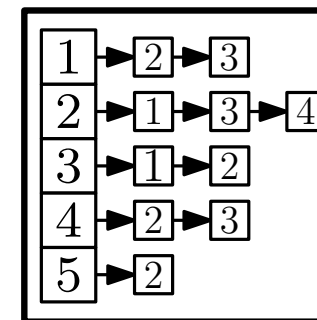
## Basic operations

$E$  is the set of edges  
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	Adjacency Matrix	Adjacency List (using linked lists)
Space	$\Theta( V ^2)$	$\Theta( V  +  E )$
Is there an edge from vertex $u$ to $v$ ?		
List all the edges leaving $u \in V$		

	1	2	3	4	5
1	0	1	1	0	0
2	1	0	1	1	1
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Adjacency Matrix



Adjacency List  
(using linked lists)

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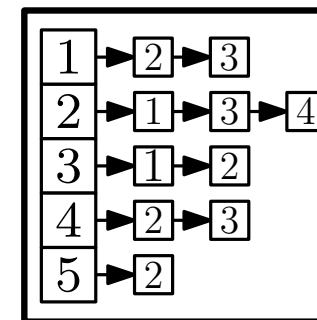
## Basic operations

$E$  is the set of edges  
 $|E|$  is the number of edges

	Adjacency Matrix	Adjacency List (using linked lists)
Space	$\Theta( V ^2)$	$\Theta( V  +  E )$
Is there an edge from vertex $u$ to $v$ ?	$O(1)$ time	
List all the edges leaving $u \in V$		

	1	2	3	4	5
1	0	1	1	0	0
2	1	0	1	1	1
3	1	1	0	1	1
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Adjacency Matrix



Adjacency List  
(using linked lists)

$V$  is the set of vertices  
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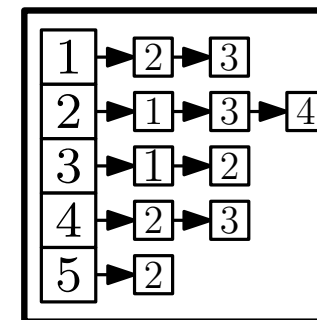
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	Adjacency Matrix	Adjacency List (using linked lists)
Space	$\Theta( V ^2)$	$\Theta( V  +  E )$
Is there an edge from vertex $u$ to $v$ ?	$O(1)$ time	$O(V)$ time
List all the edges leaving $u \in V$		

	1	2	3	4	5
1	0	1	1	0	0
2	1	0	1	1	1
3	1	1	0	1	1
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Adjacency Matrix



Adjacency List  
(using linked lists)

$V$  is the set of vertices  
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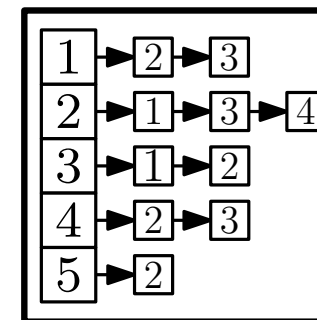
## Basic operations

$E$  is the set of edges  
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	Adjacency Matrix	Adjacency List (using linked lists)
Space	$\Theta( V ^2)$	$\Theta( V  +  E )$
Is there an edge from vertex $u$ to $v$ ?	$O(1)$ time	$O(\deg(u))$ time
List all the edges leaving $u \in V$		

	1	2	3	4	5
1	0	1	1	0	0
2	1	0	1	1	1
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Adjacency Matrix



Adjacency List  
(using linked lists)

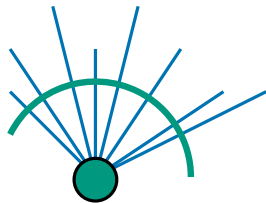
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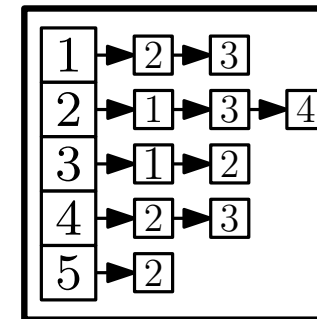
	Adjacency Matrix	Adjacency List (using linked lists)
Space	$\Theta( V ^2)$	$\Theta( V  +  E )$
Is there an edge from vertex $u$ to $v$ ?	$O(1)$ time	$O(\deg(u))$ time
List all the edges leaving $u \in V$		

$\deg(u)$  (the degree of  $u$ ),  
 is the number of edges leaving  $u$



	1	2	3	4	5
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Adjacency Matrix



Adjacency List  
(using linked lists)

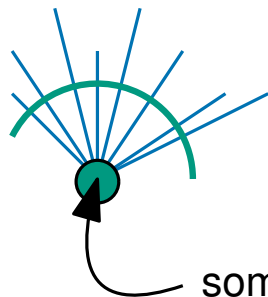
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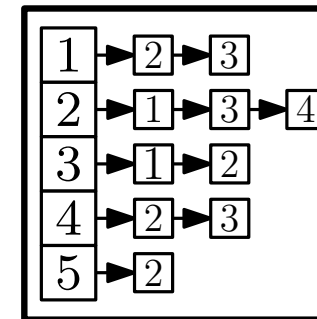
$\deg(u)$  (the degree of  $u$ ),  
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some vertex  $u$

	1	2	3	4	5
1	0	1	1	0	0
2	1	0	1	1	1
3	1	1	0	1	1
4	0	1	1	0	1
5	0	1	1	1	0

Adjacency Matrix



Adjacency List  
(using linked lists)

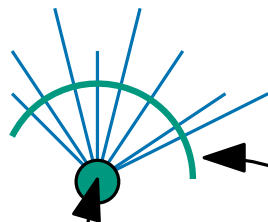
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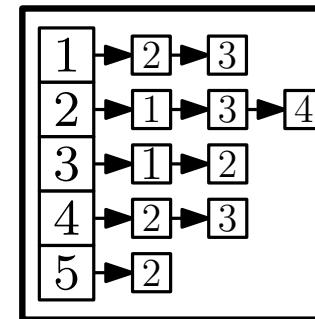
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Adjacency Matrix



Adjacency List  
(using linked lists)



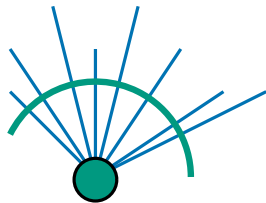
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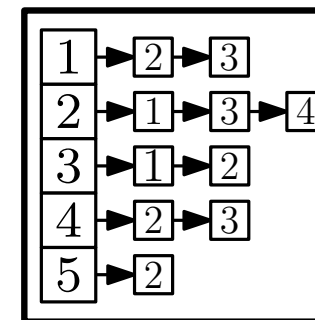
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1	0	1	1	0	0
2	1	0	1	1	1
3	1	1	0	1	1
4	0	1	1	0	1
5	0	1	1	1	0

Adjacency Matrix



Adjacency List  
(using linked lists)

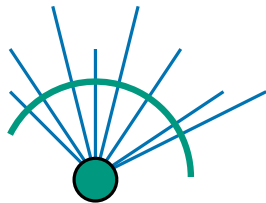
$V$  is the set of vertices  
 $|V|$  is the number of vertices

## Basic operations

$E$  is the set of edges  
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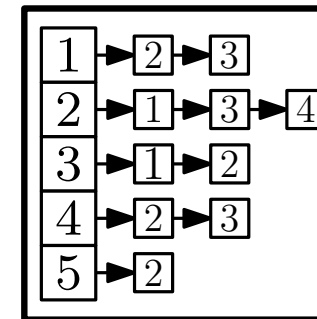
	Adjacency Matrix	Adjacency List (using linked lists)
Space	$\Theta( V ^2)$	$\Theta( V  +  E )$
Is there an edge from vertex $u$ to $v$ ?	$O(1)$ time	$O(\deg(u))$ time
List all the edges leaving $u \in V$	$O(V)$ time	

$\deg(u)$  (the degree of  $u$ ),  
is the number of edges leaving  $u$



	1	2	3	4	5
1	0	1	1	0	0
2	1	0	1	1	1
3	1	1	0	1	1
4	0	1	1	0	1
5	0	1	1	1	0

Adjacency Matrix



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(using linked lists)

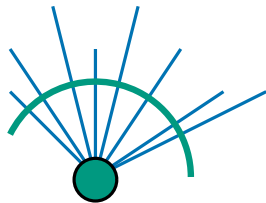
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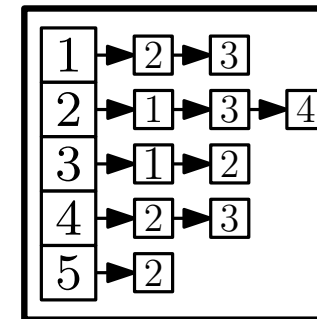
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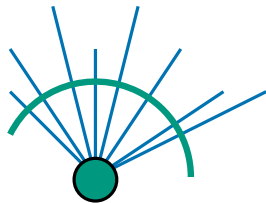
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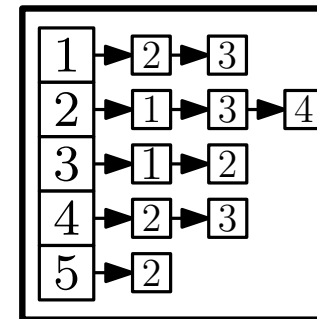
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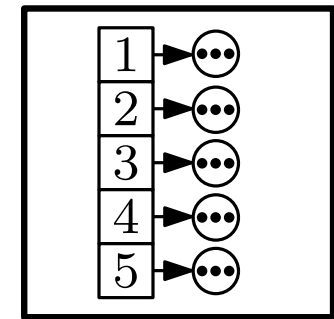


	1	2	3	4	5
1	0	1	1	0	0
2	1	0	1	1	1
3	1	1	0	1	1
4	0	1	1	0	1
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Adjacency Matrix



Adjacency List  
(using linked lists)



Adjacency List  
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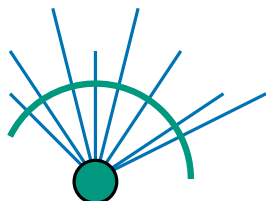
$V$  is the set of vertices  
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## Basic operations

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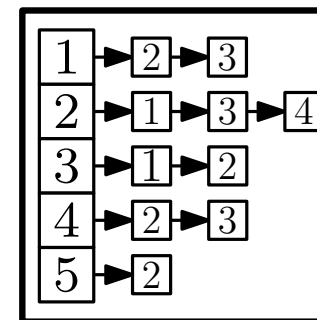
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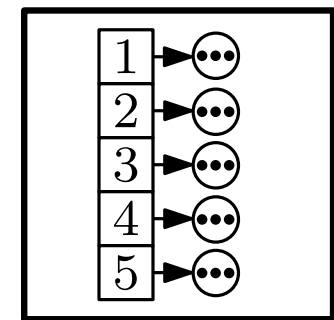


	1	2	3	4	5
1	0	1	1	0	0
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Adjacency Matrix



Adjacency List  
(using linked lists)



Adjacency List  
(using hash tables)

all three representations work for directed and/or weighted graphs too

(with the same complexities)

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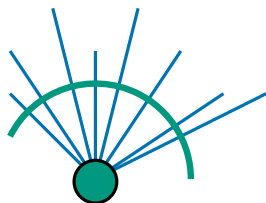
## Basic operations

$E$  is the set of edges  
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why don't we always use these?

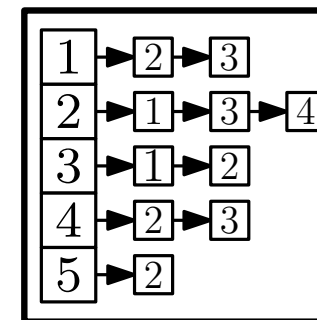
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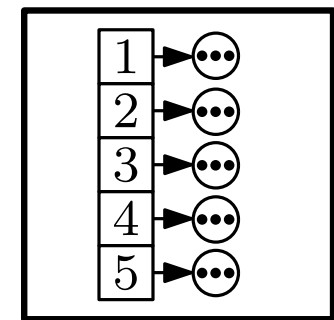


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Basic hash tables give *expected* time complexities (constant time 'on average')

- no *worst case* guarantees against collisions



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 come to **COMS31900** (Advanced Algorithms)

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Fortunately, few algorithms need to ask "*is there an edge?*"

Normally, "*tell me all the edges*" is fine

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*(for today just Adjacency Lists)*

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 you may not have control over how your graph is stored.

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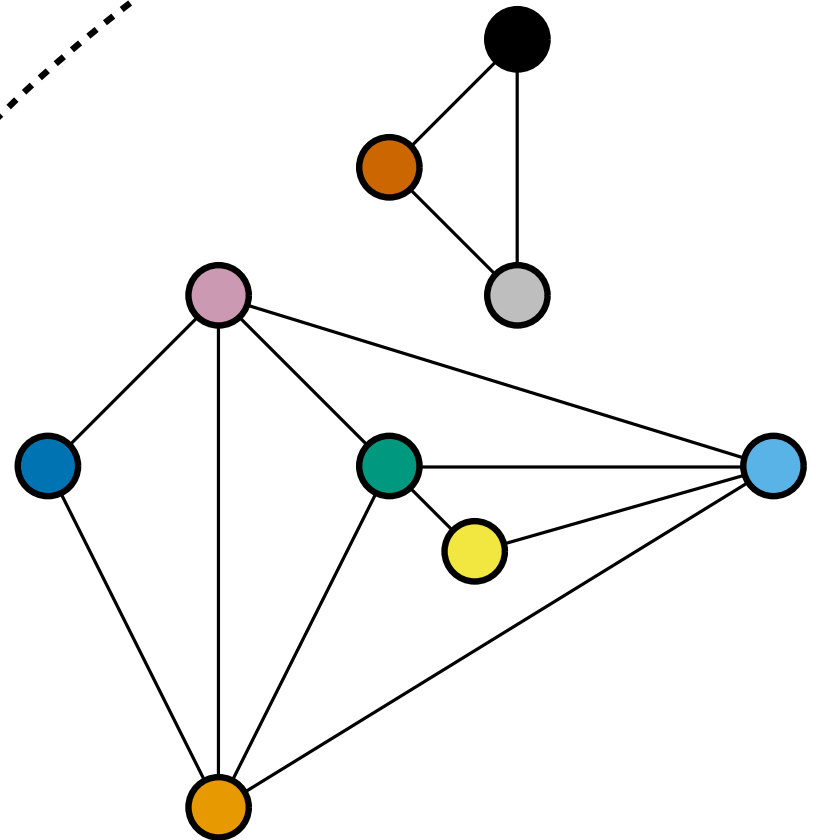
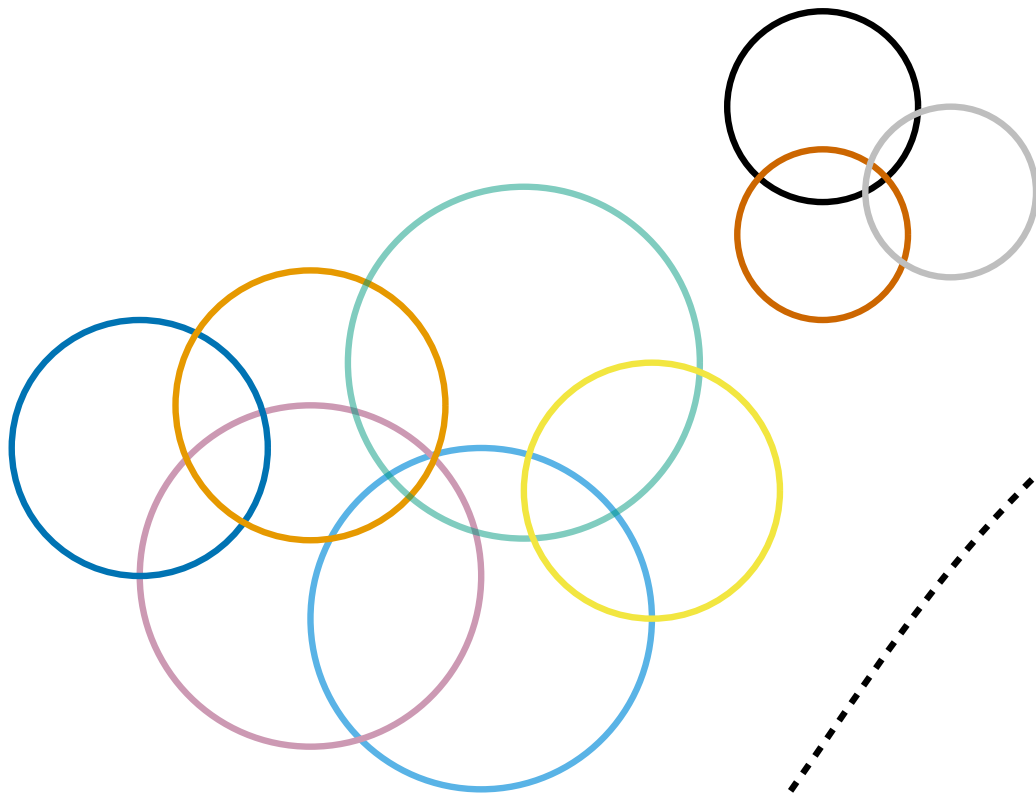
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One practical reason to stick to standard representations is that  
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*it may not even be stored as a graph...*

Fortunately in many cases, you can *pretend* your graph is stored as one of the above.

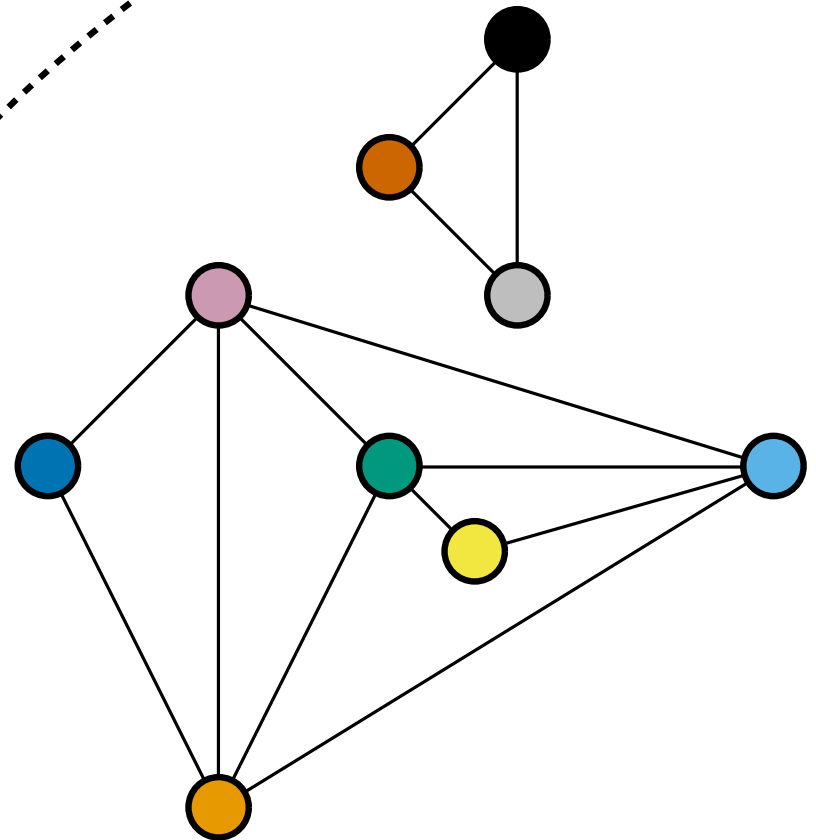
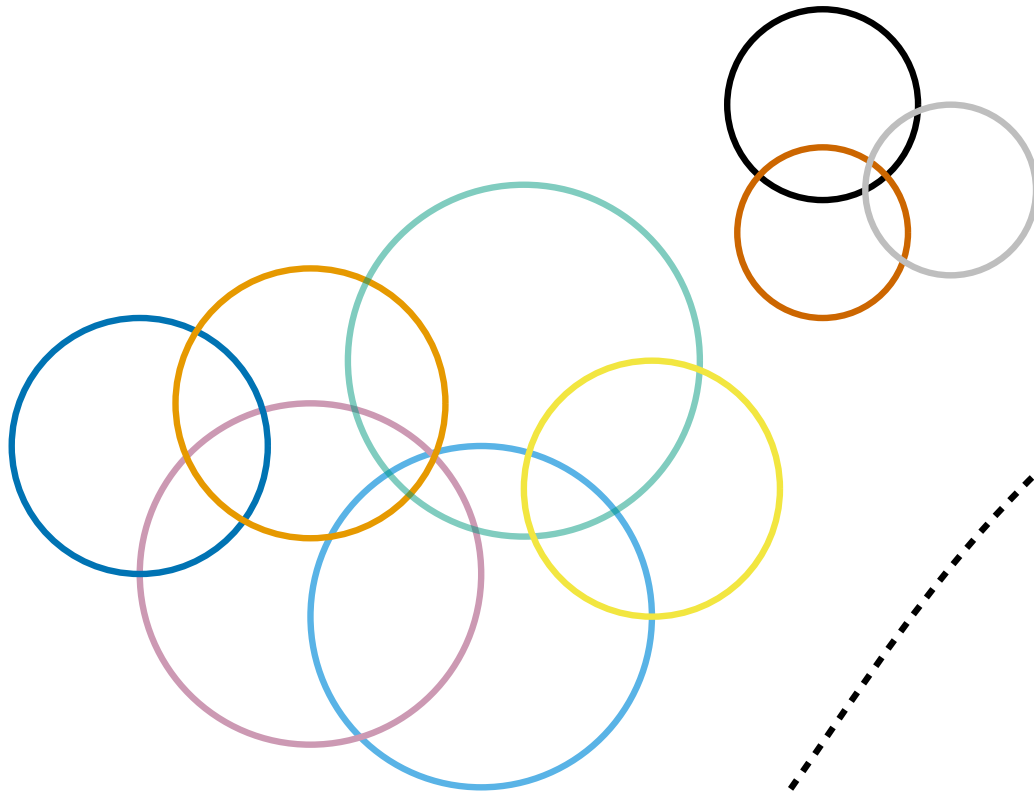
# Intersection Graphs





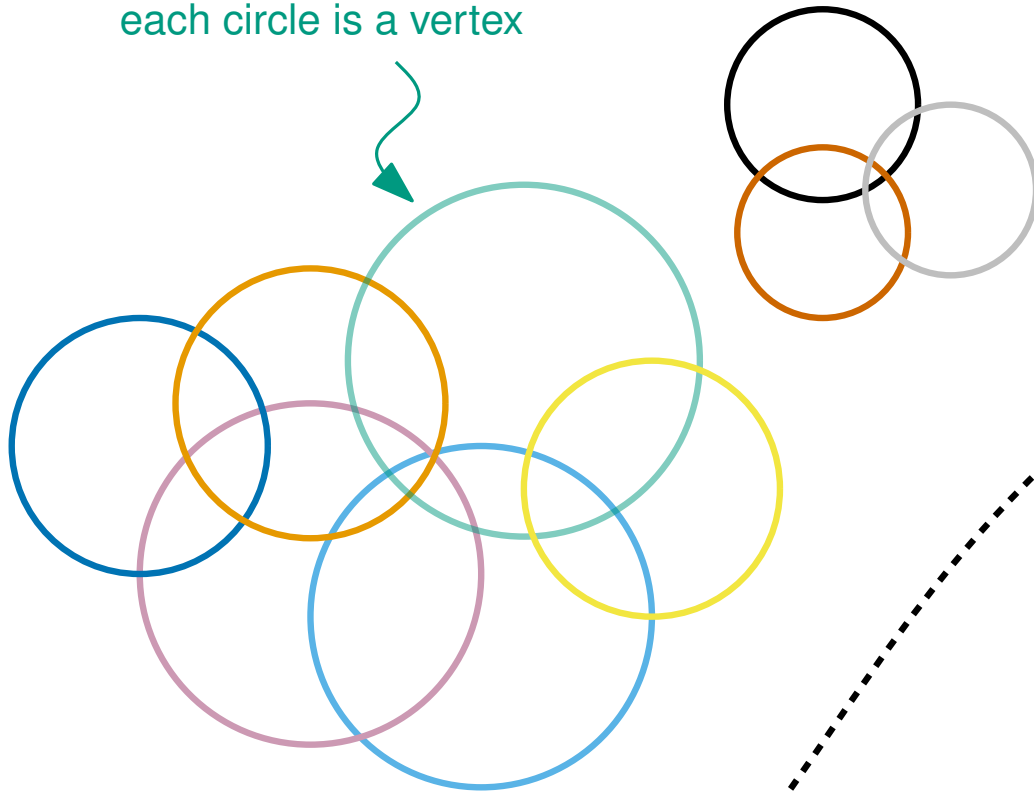
# Intersection Graphs

*the intersections of these circles  
correspond to the graph below*

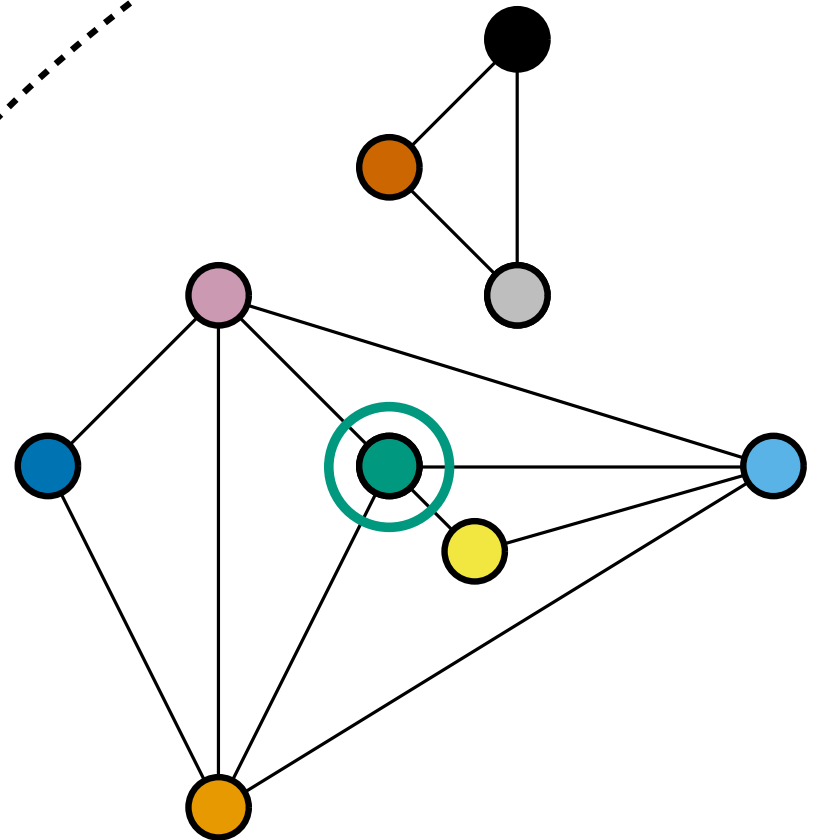


# Intersection Graphs

each circle is a vertex

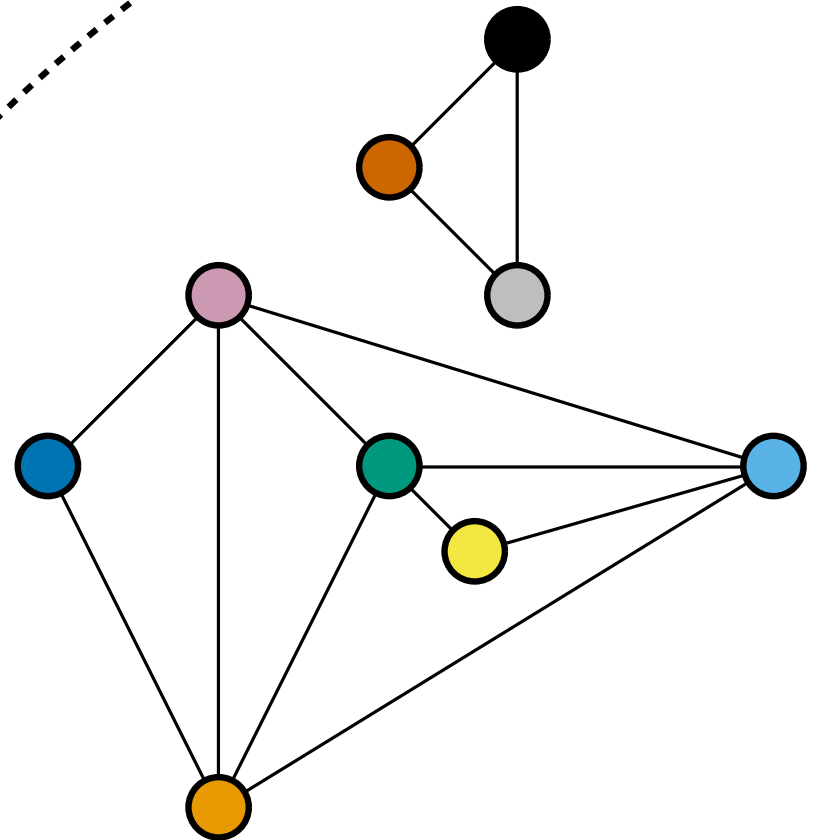
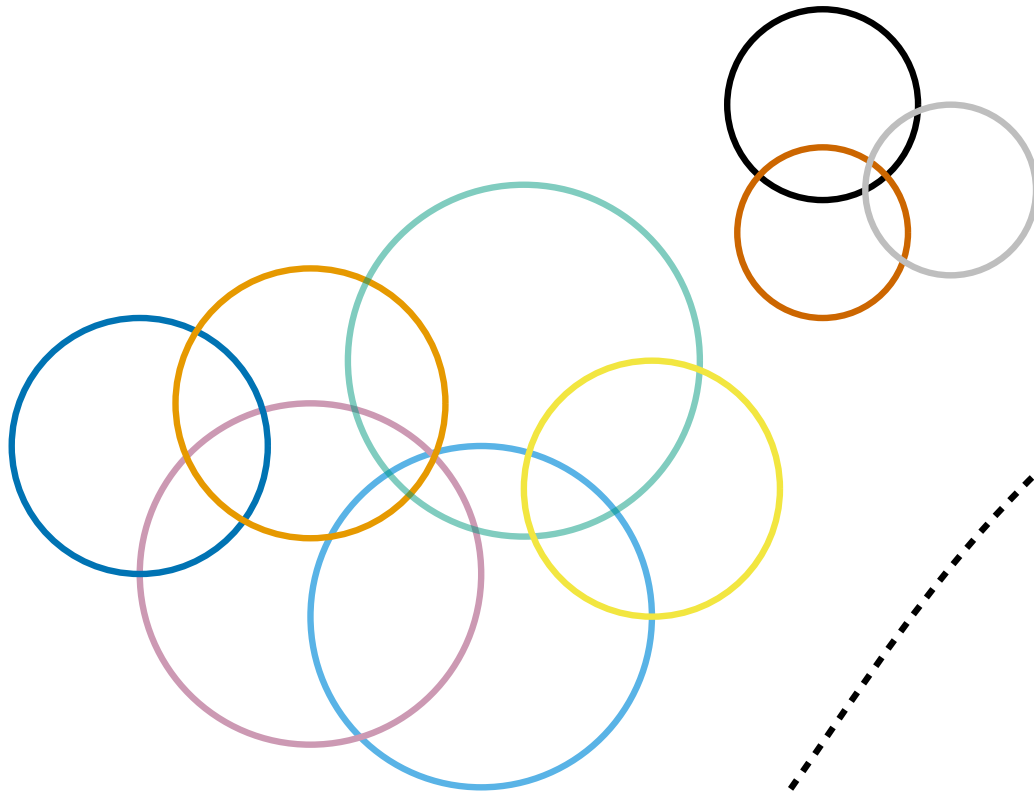


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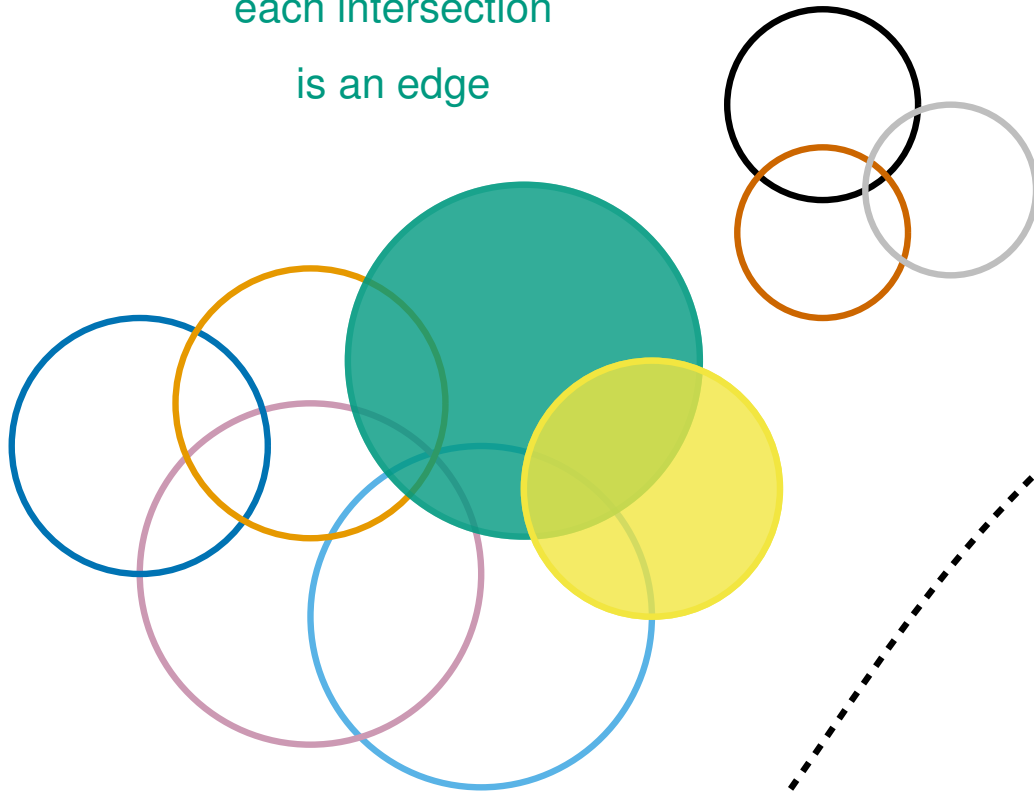
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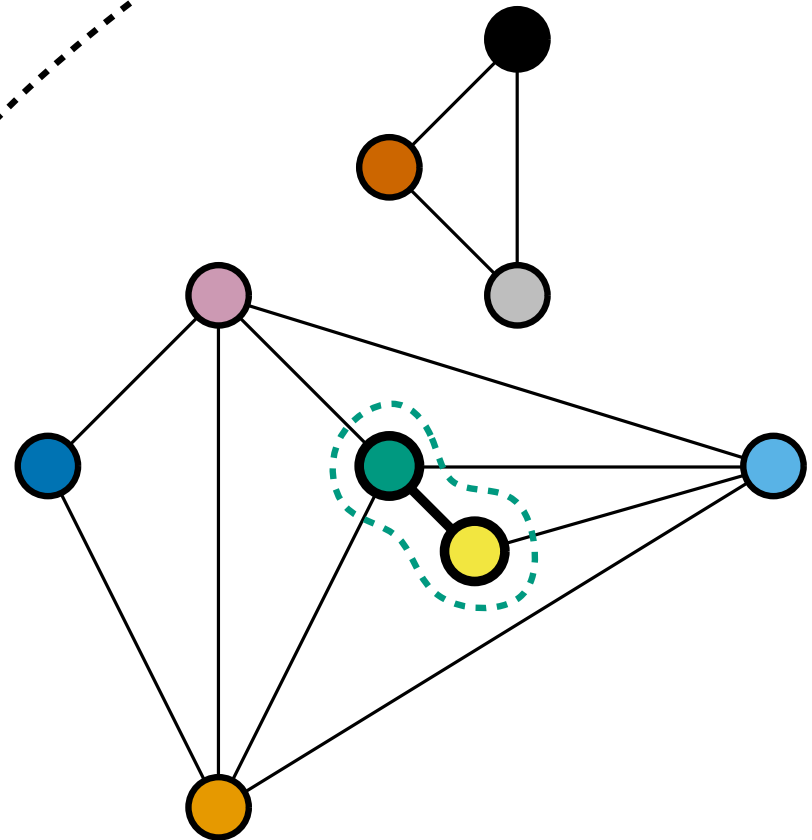


# Intersection Graphs

each intersection  
is an edge



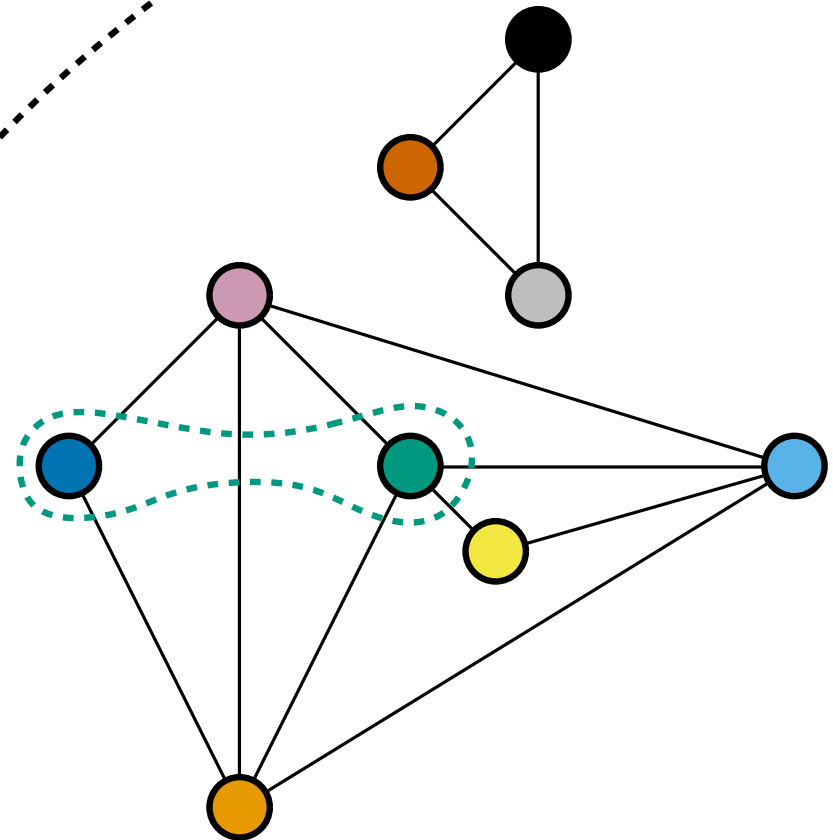
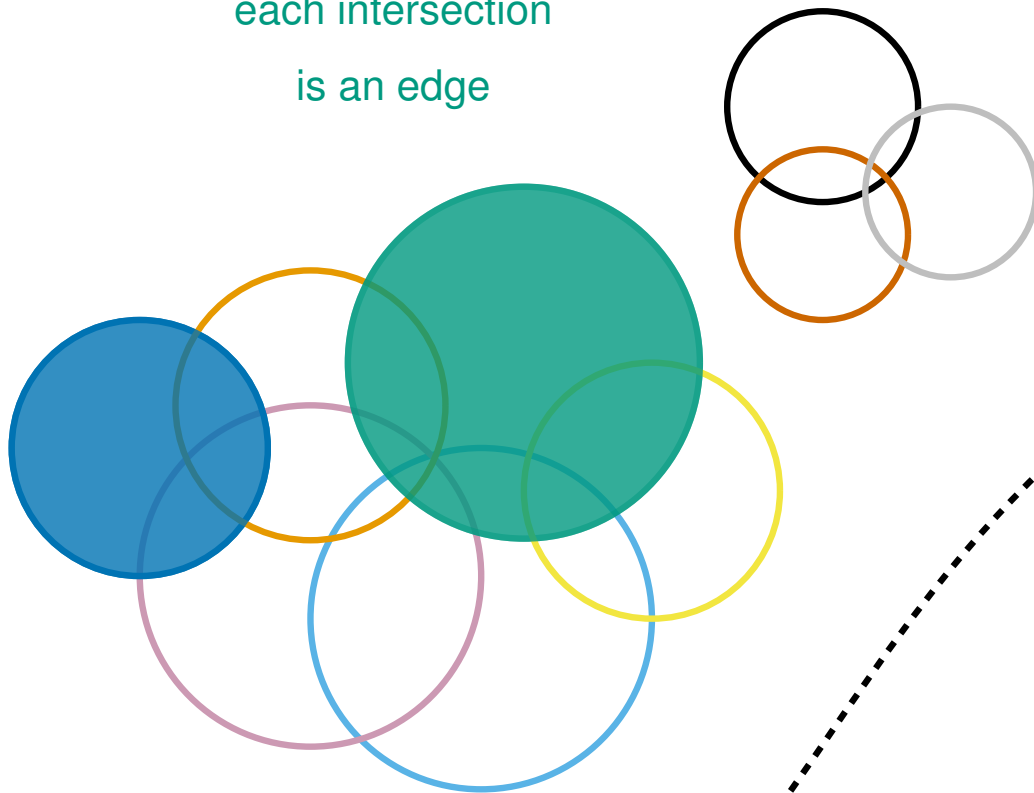
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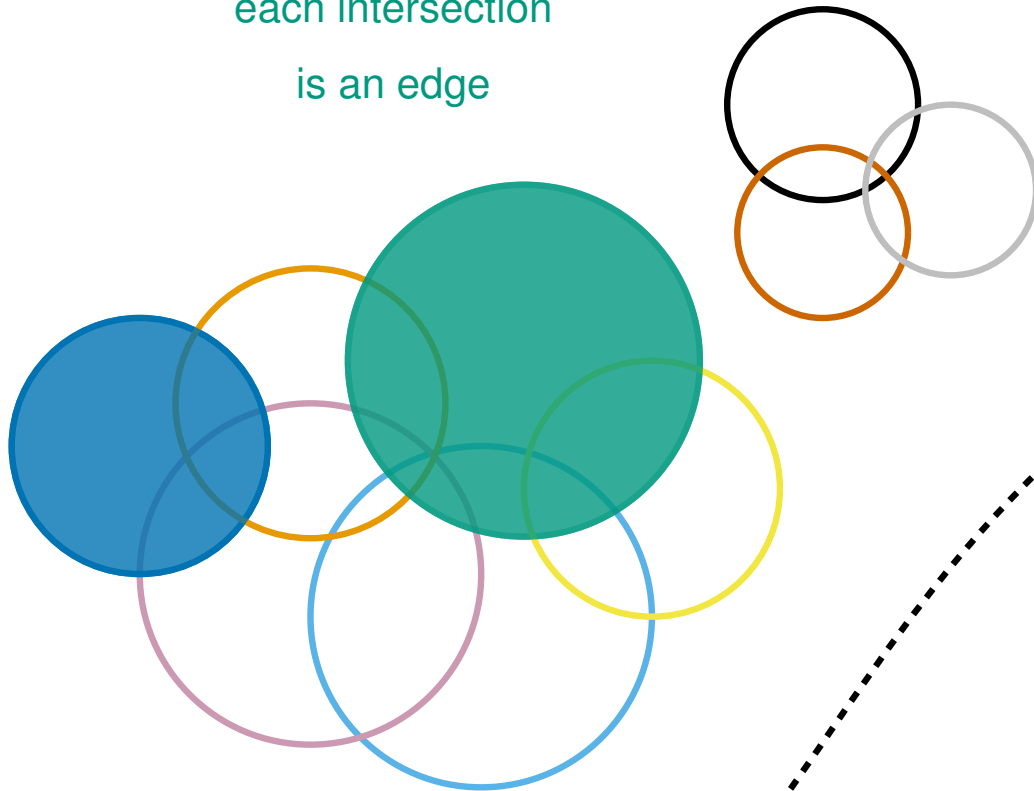
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# Intersection Graphs

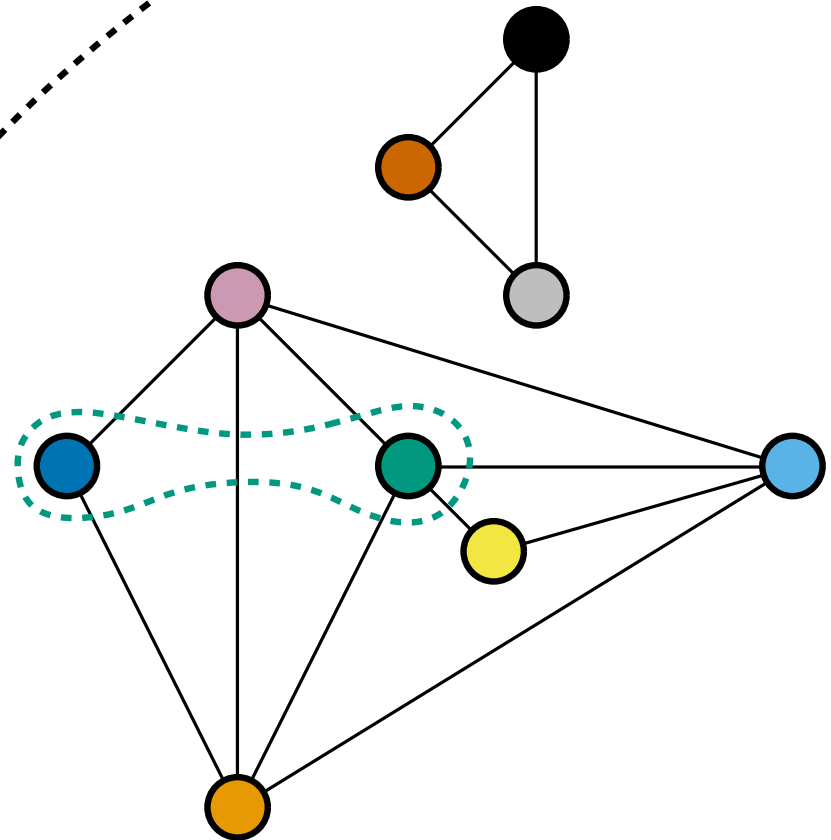
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*the intersections of these circles  
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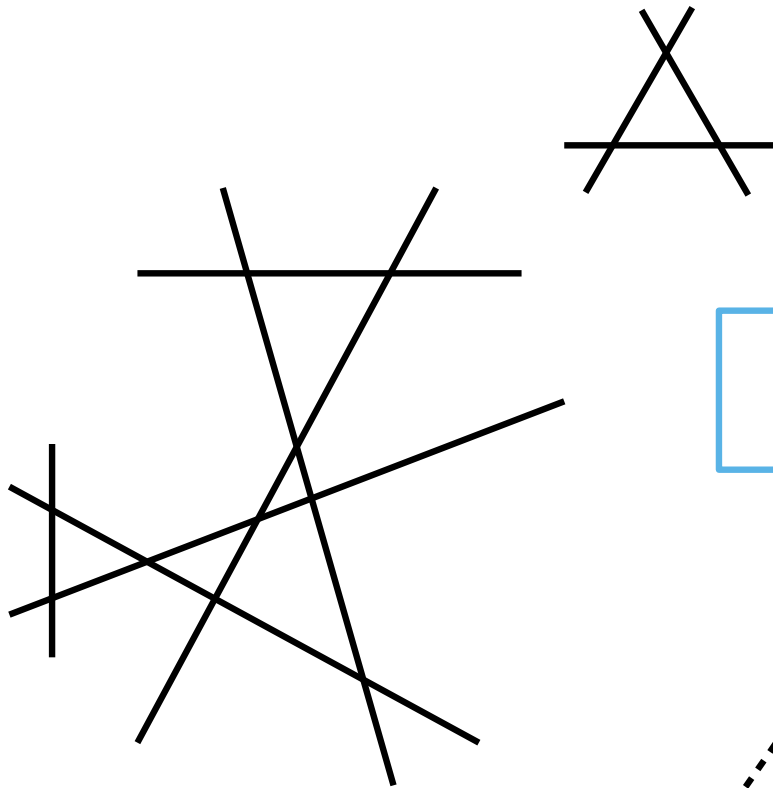


even if we only store the circles,

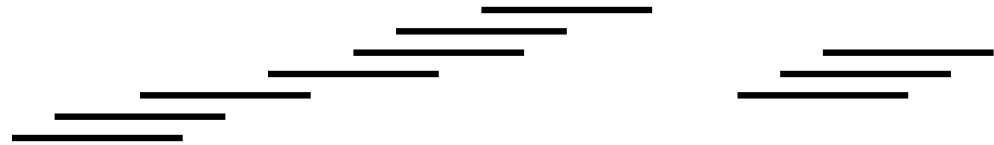
we can pretend we have  
an **Adjacency Matrix**  
without building one



## Other intersection graphs...



*both of these 'collections' also  
correspond to the example graph*

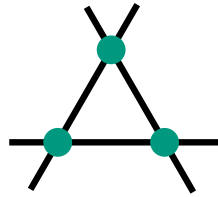
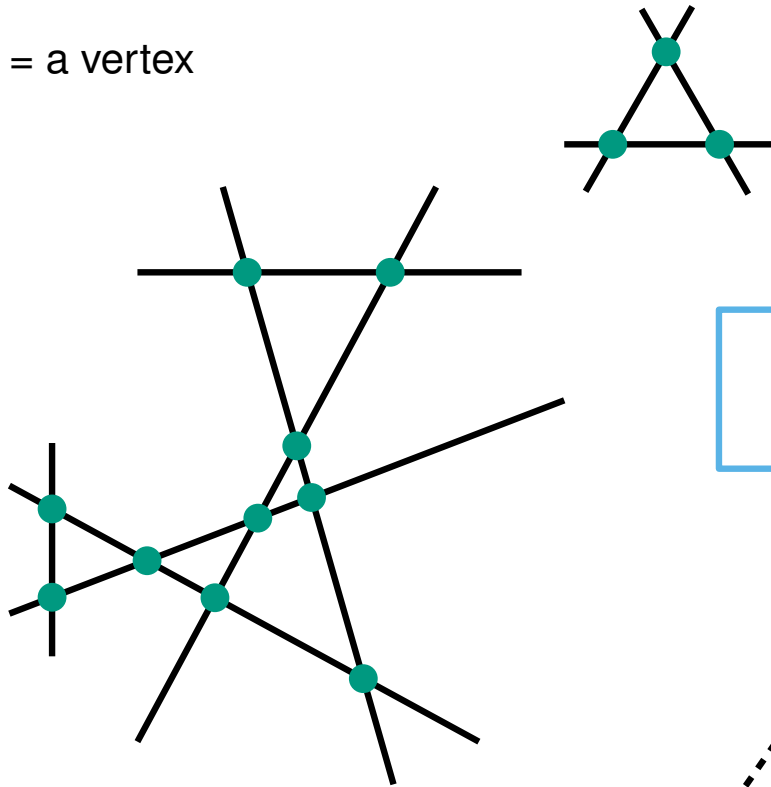


(these are 1D intervals on a line spread out for visual clarity)

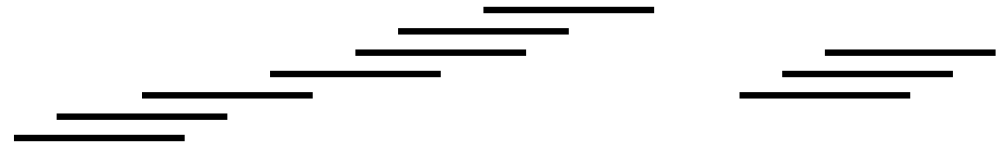
# Other intersection graphs...

● = an edge

↘ = a vertex



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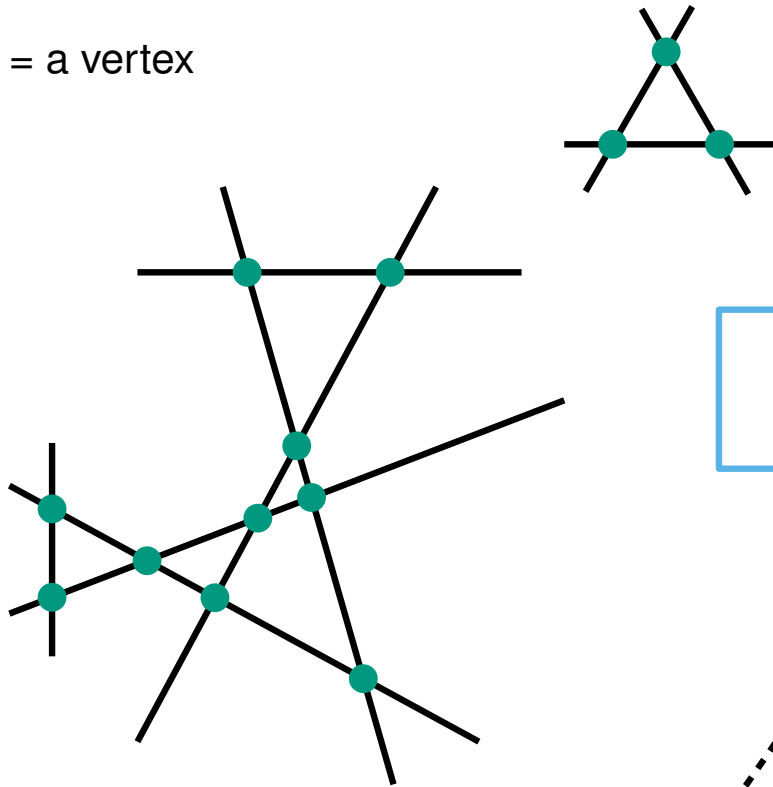
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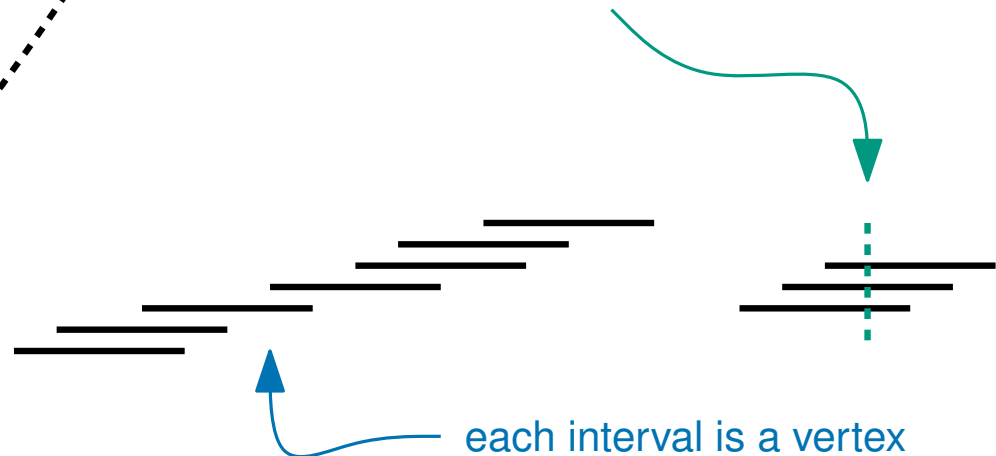
● = an edge

— = a vertex



*both of these 'collections' also  
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there is an edge if two intervals intersect

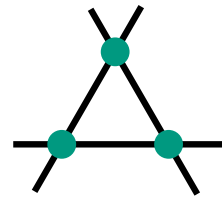
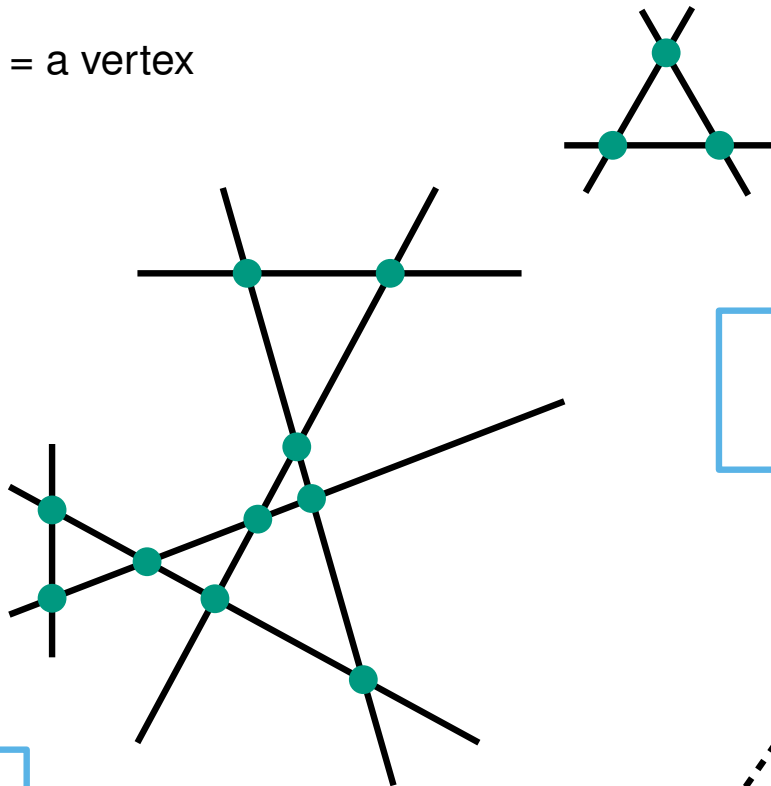


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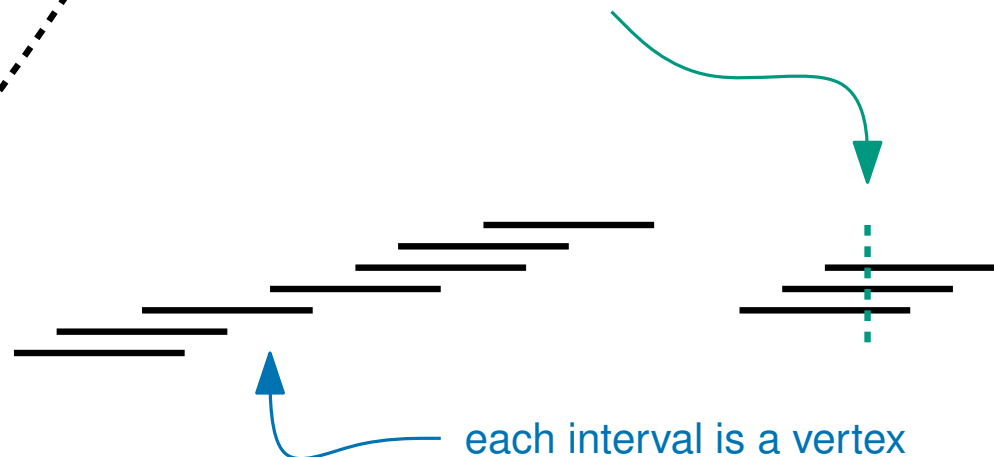


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Again,

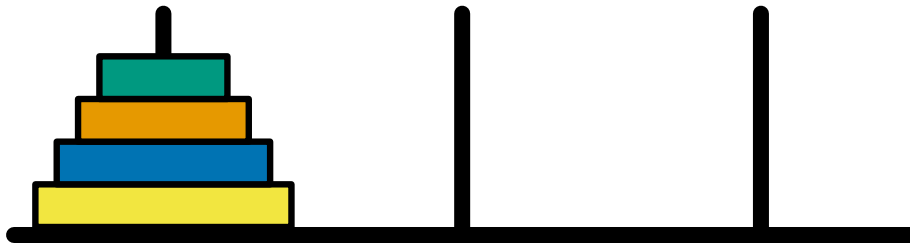
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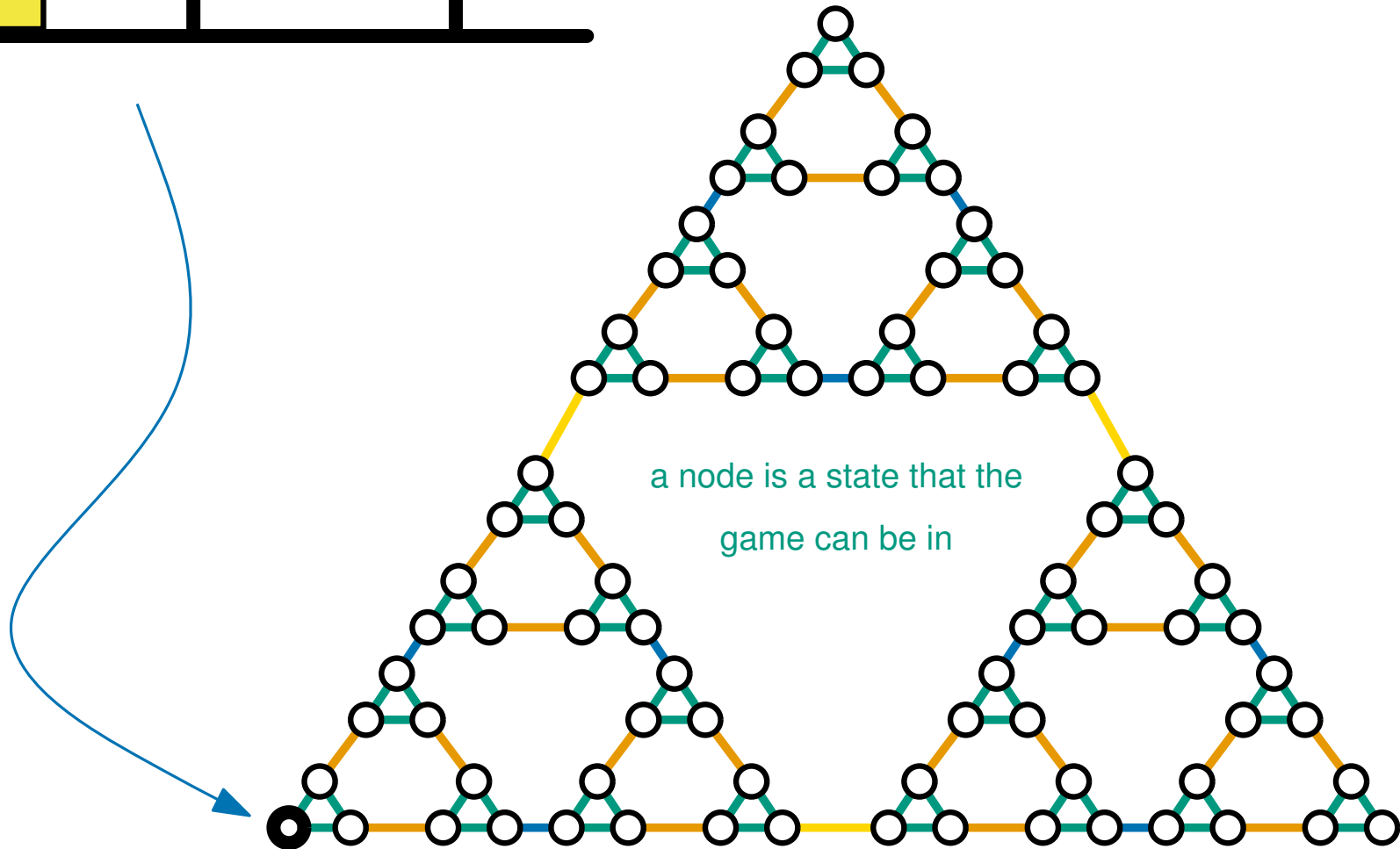


(these are 1D intervals on a line spread out for visual clarity)

# Configuration Graphs



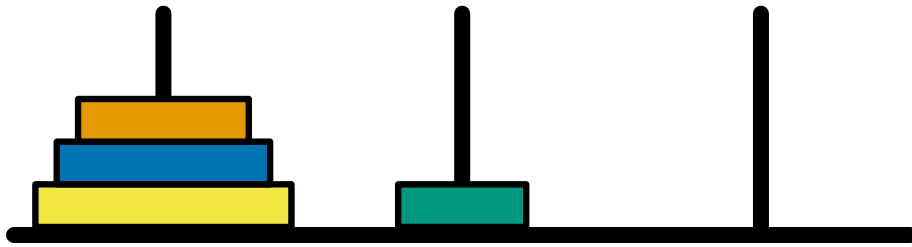
This is the configuration graph for the  
(4 disk) towers of Hanoi



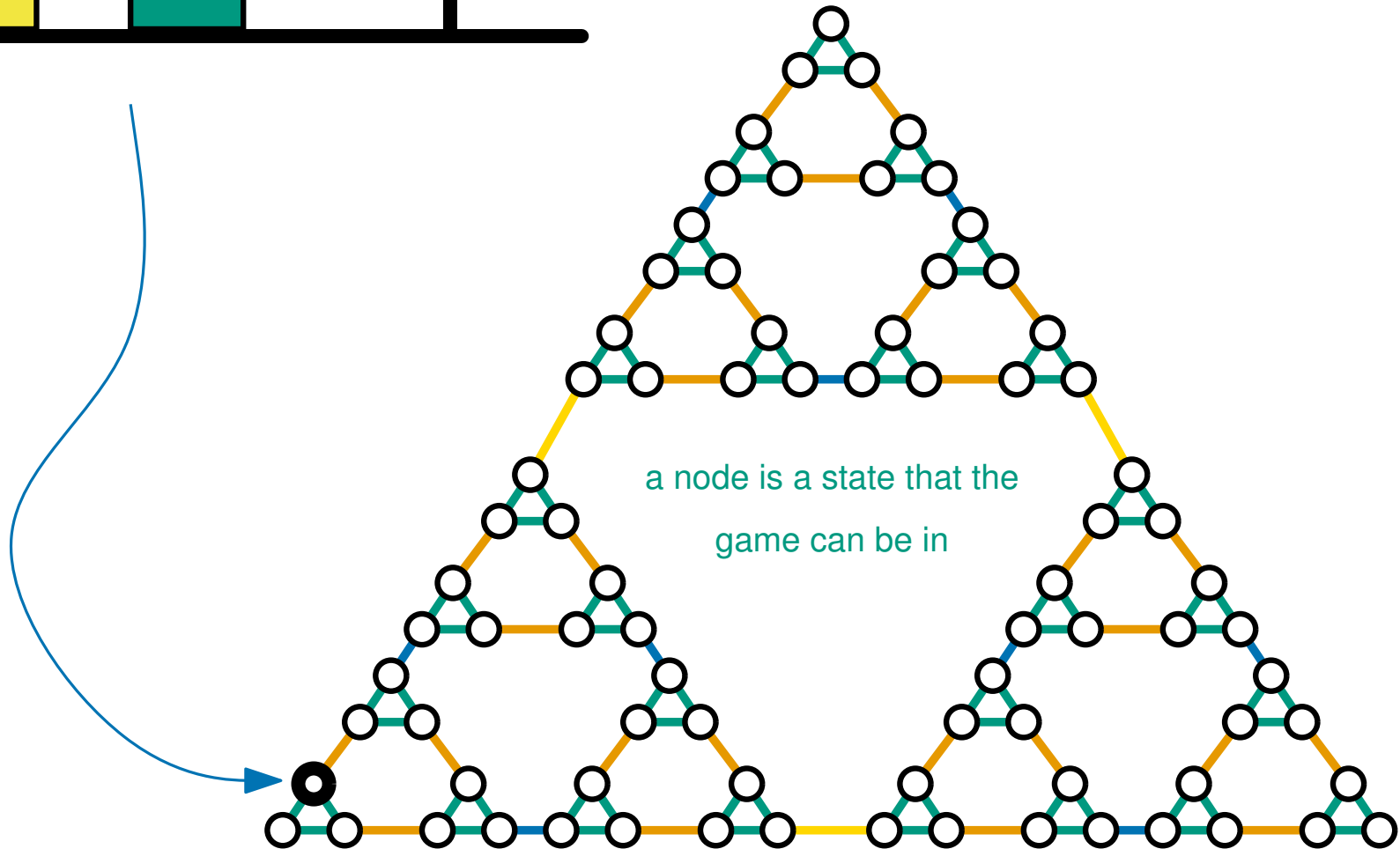
a node is a state that the  
game can be in

there is an edge if you can get from  
one state to another in a single move

# Configuration Graphs

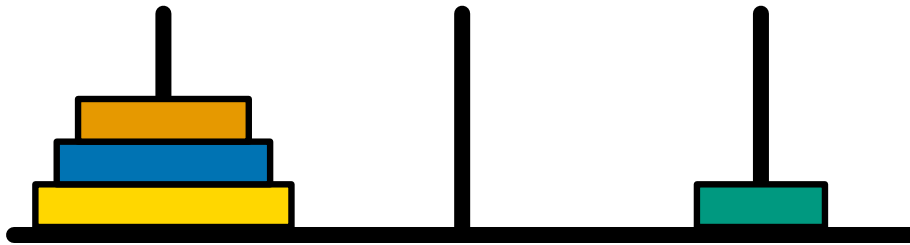


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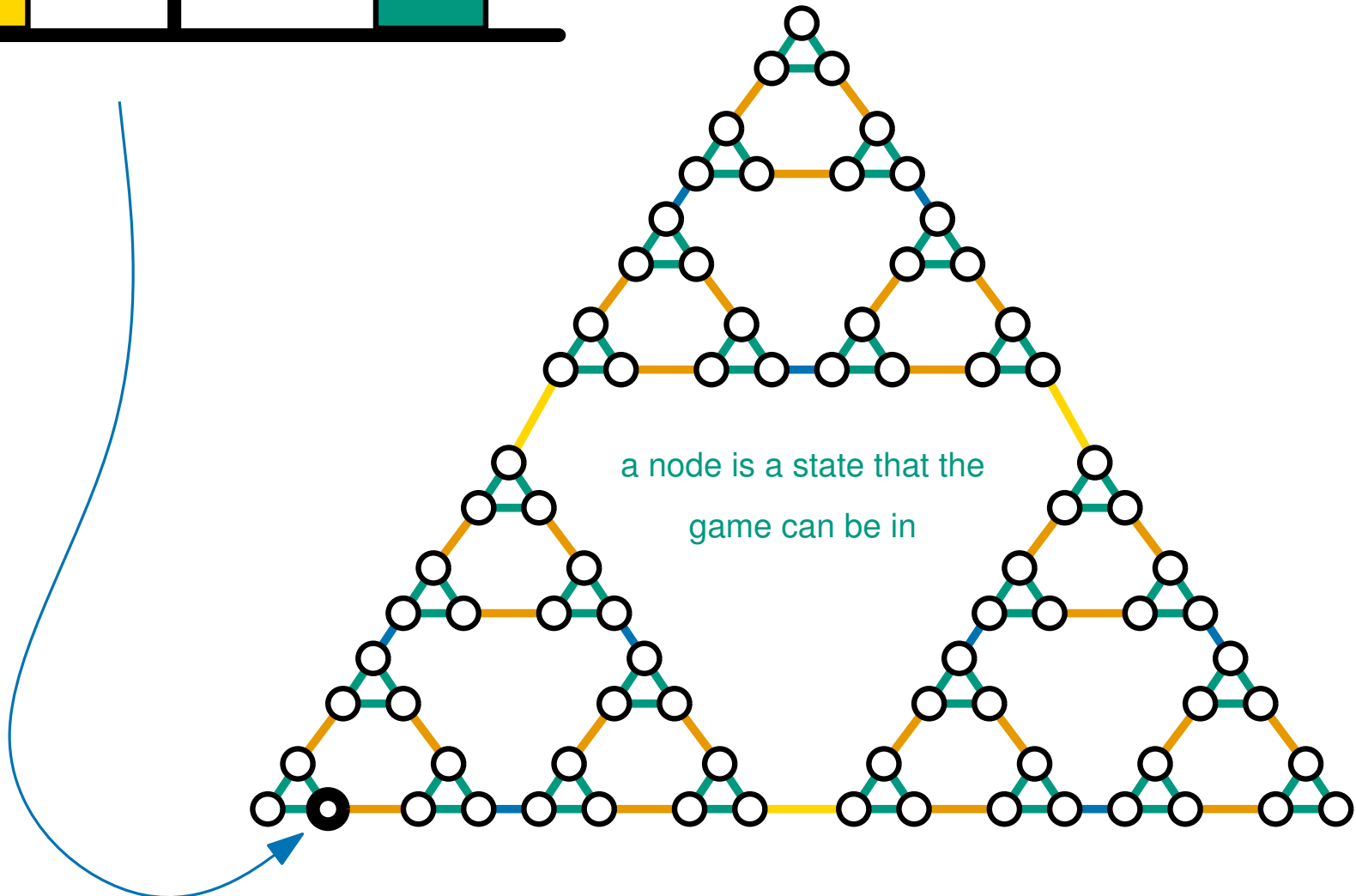


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# Configuration Graphs

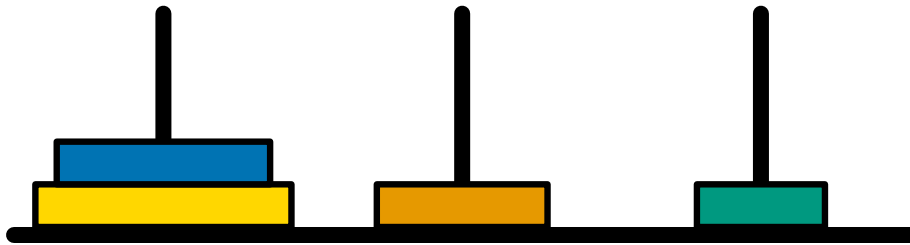


This is the configuration graph for the  
(4 disk) towers of Hanoi

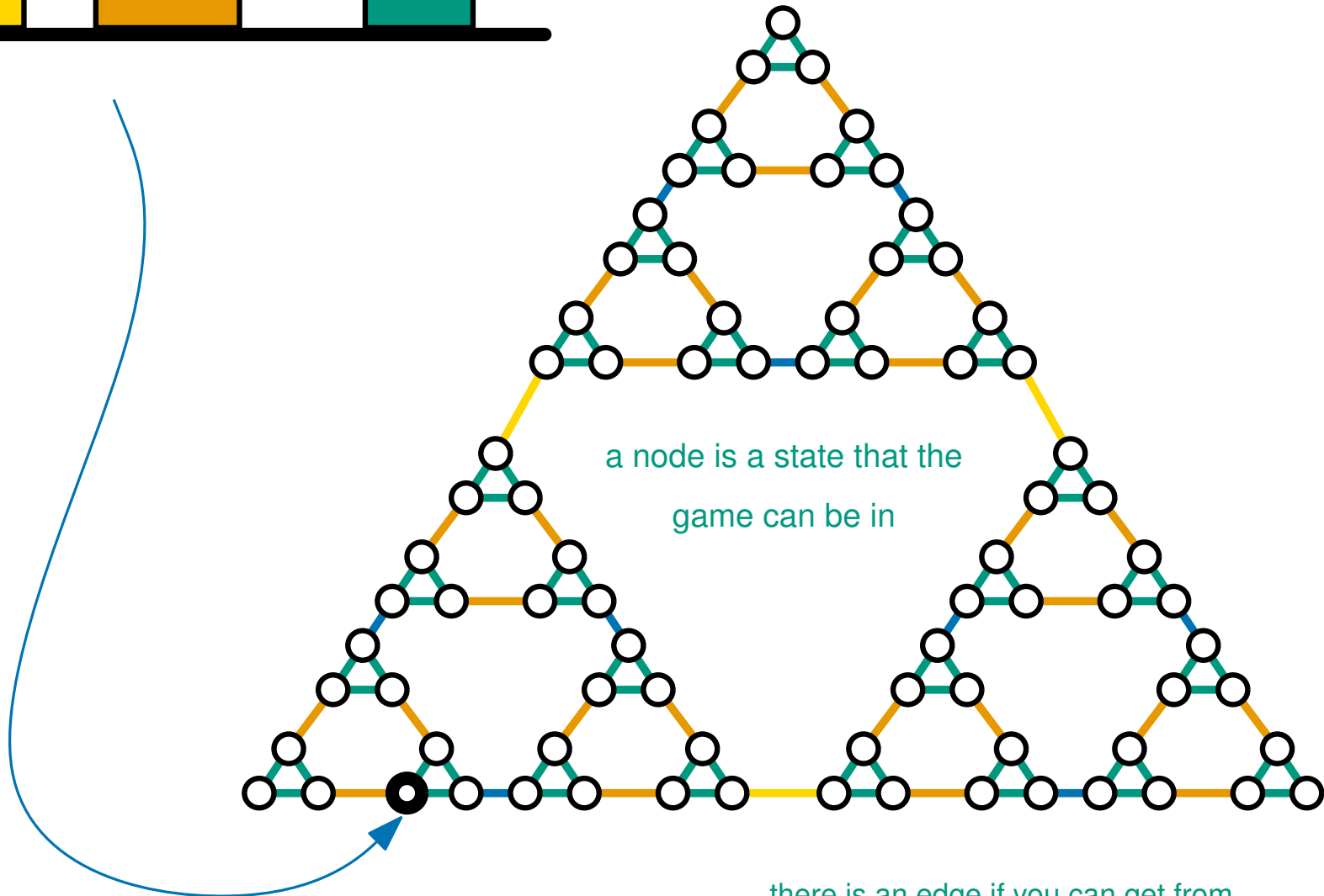


there is an edge if you can get from  
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# Configuration Graphs

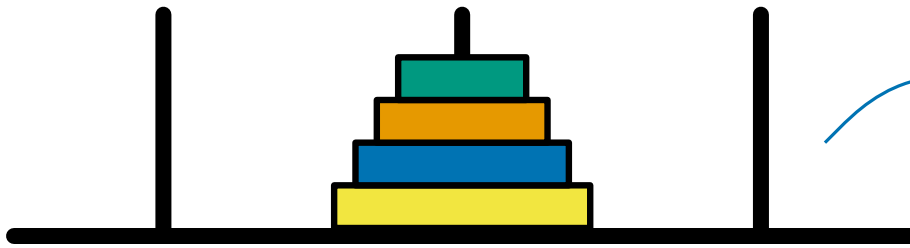


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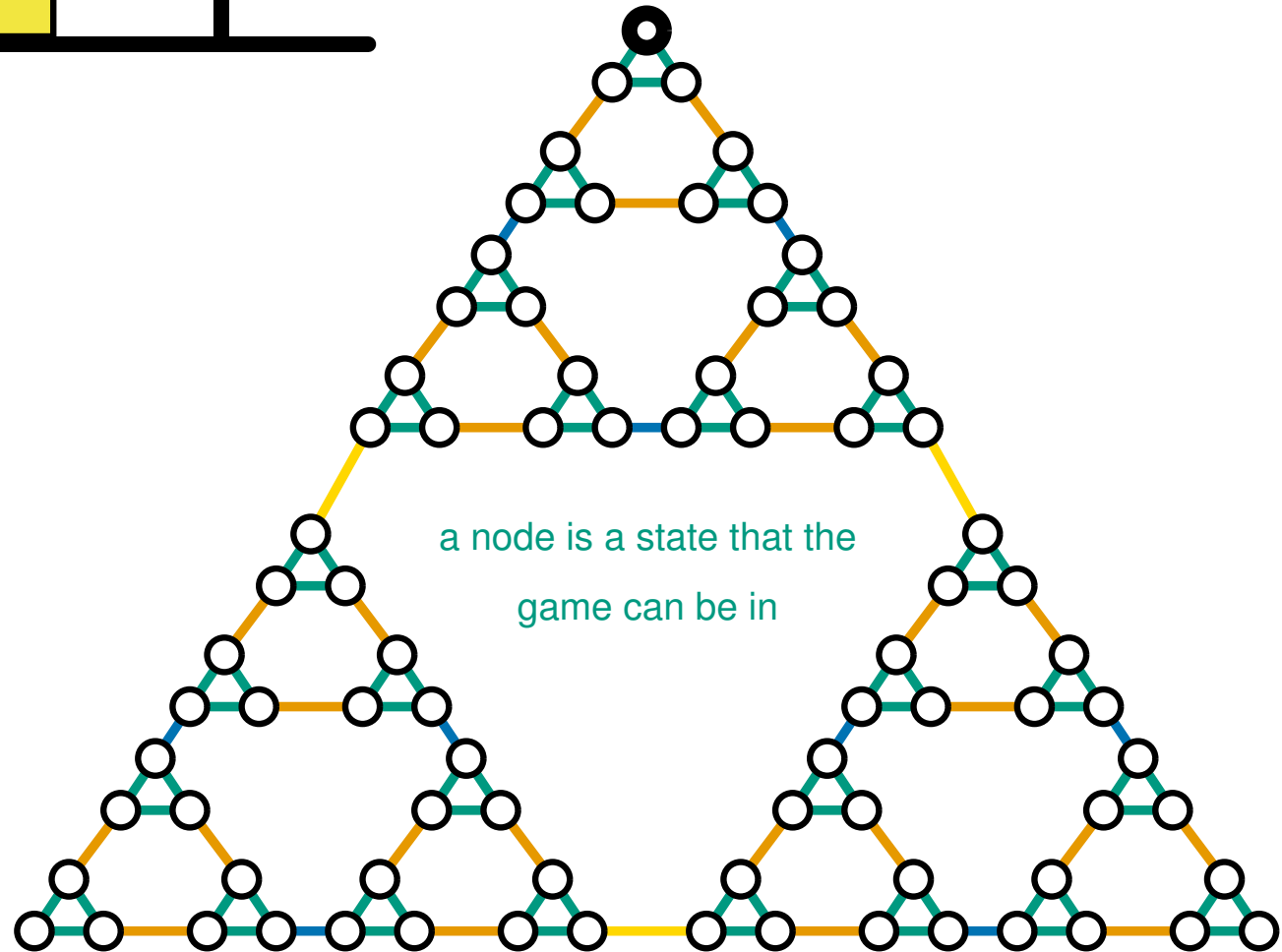


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# Configuration Graphs



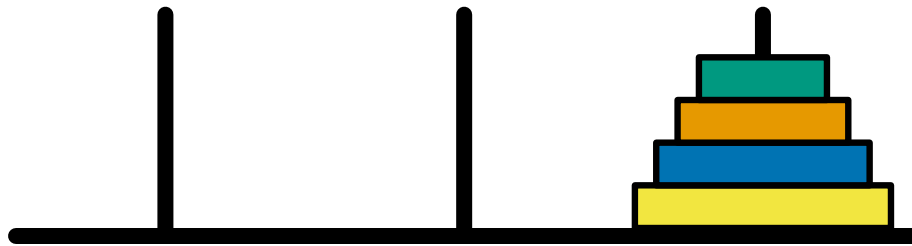
This is the configuration graph for the  
(4 disk) towers of Hanoi



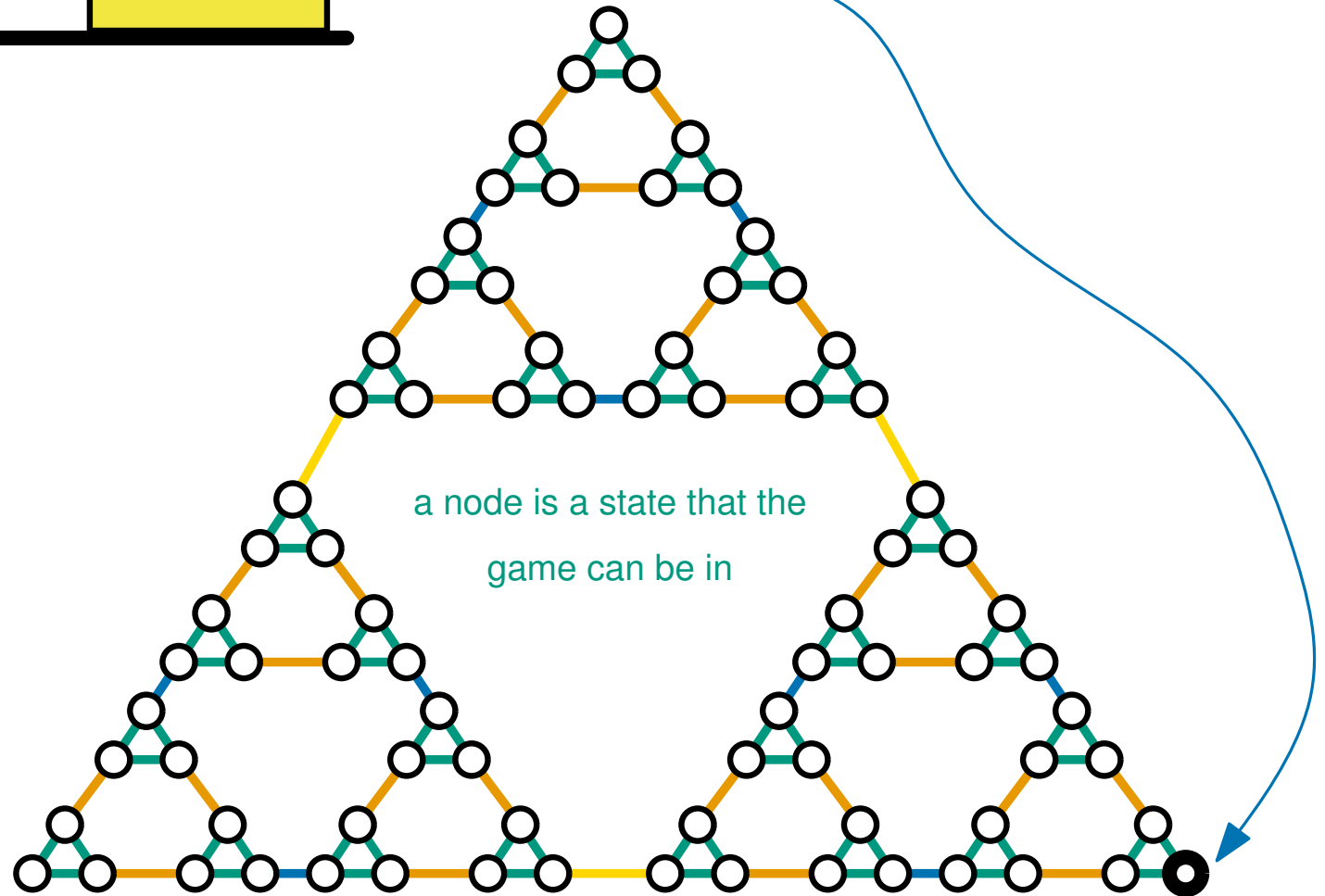
a node is a state that the  
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# Configuration Graphs



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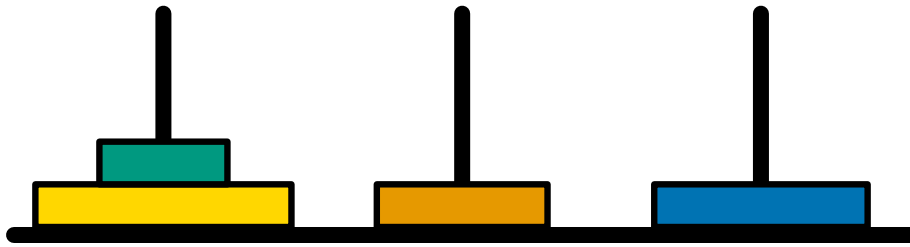


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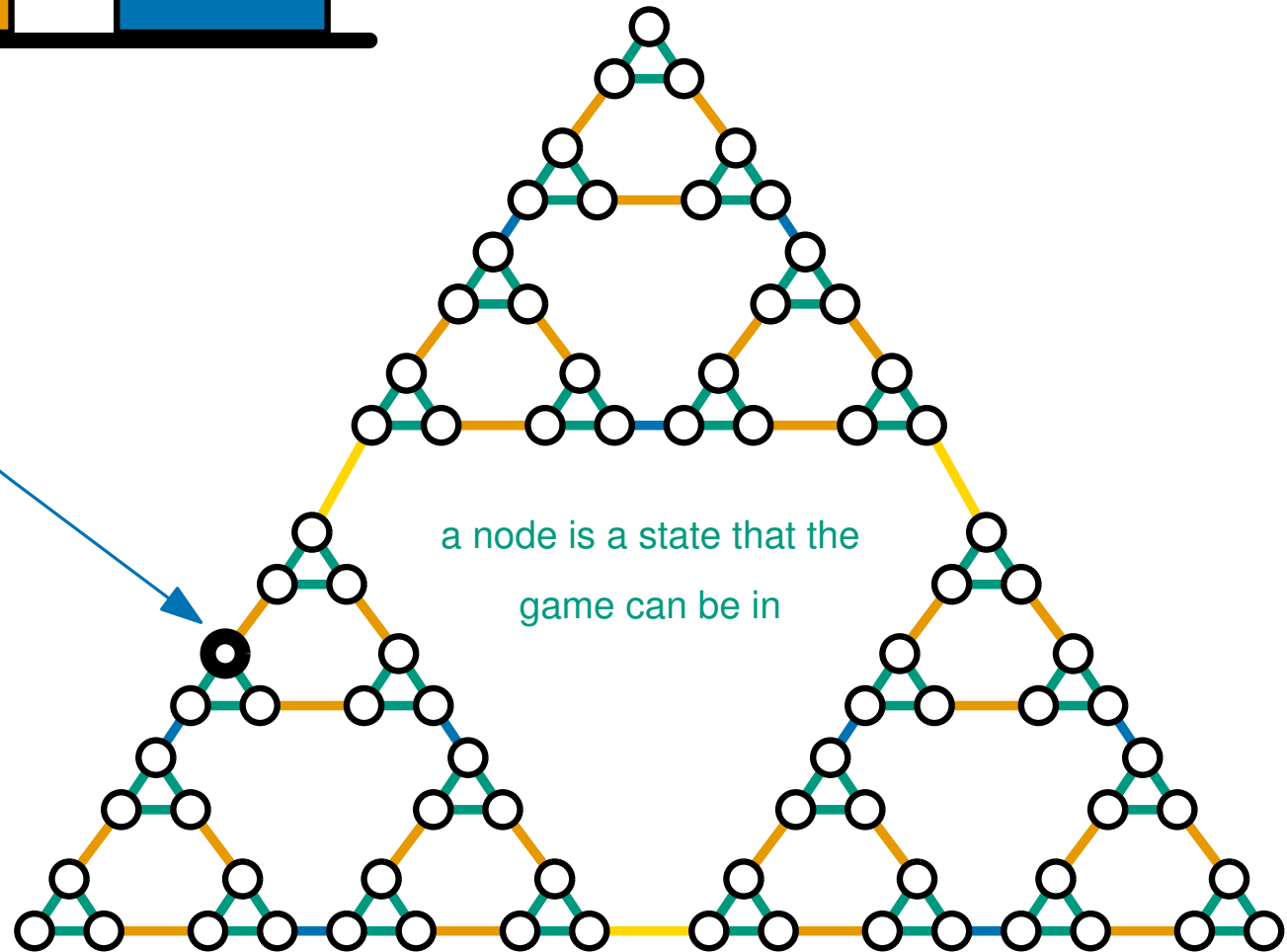
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# Configuration Graphs



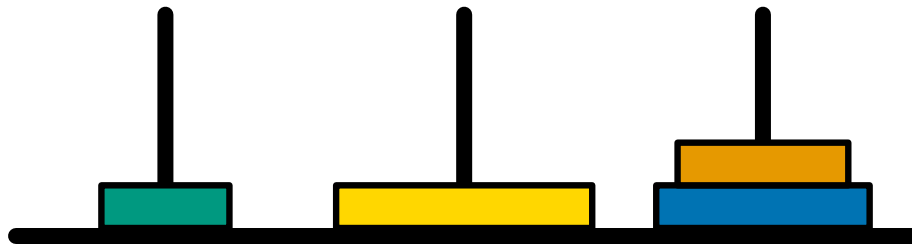
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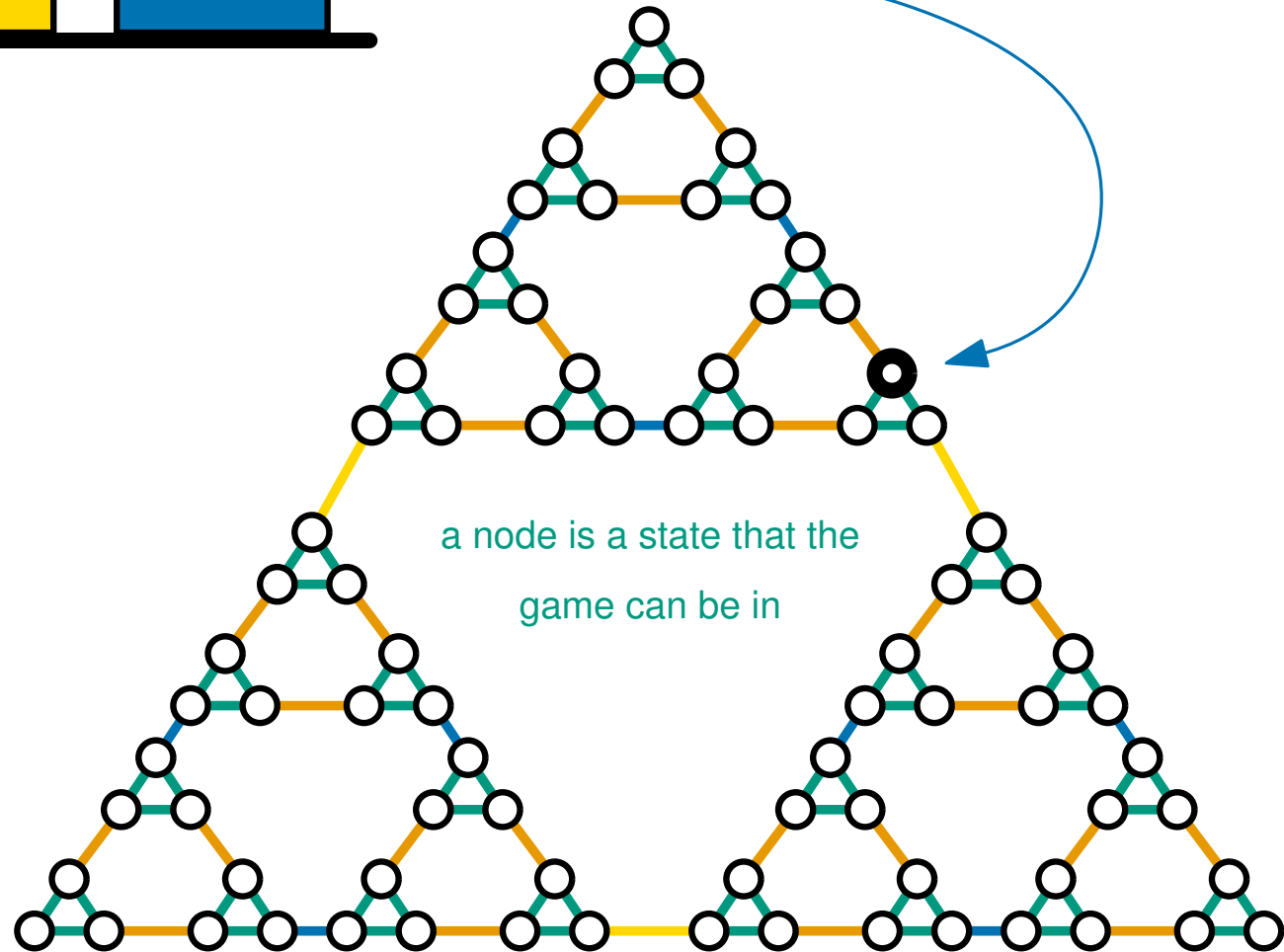
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# Configuration Graphs



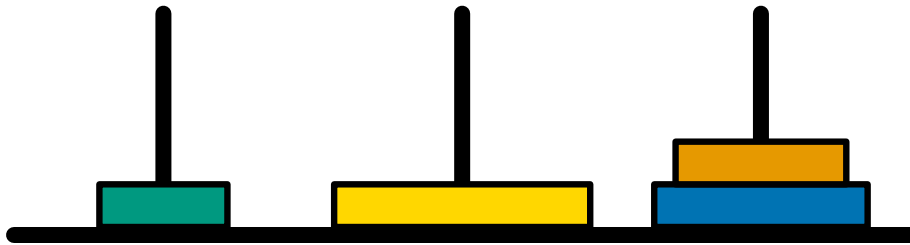
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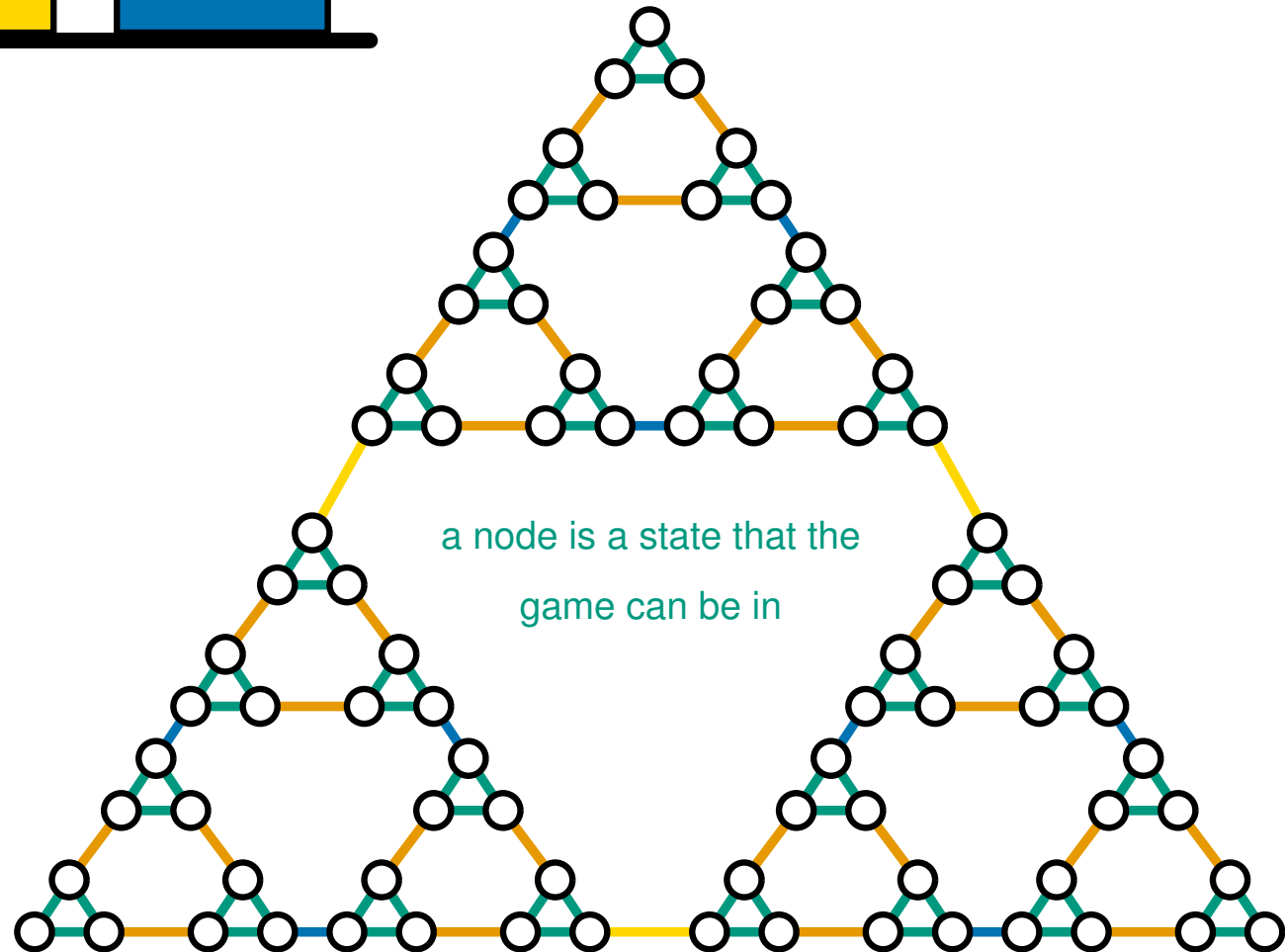
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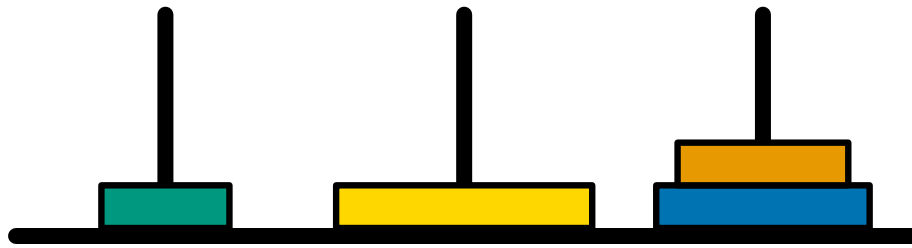


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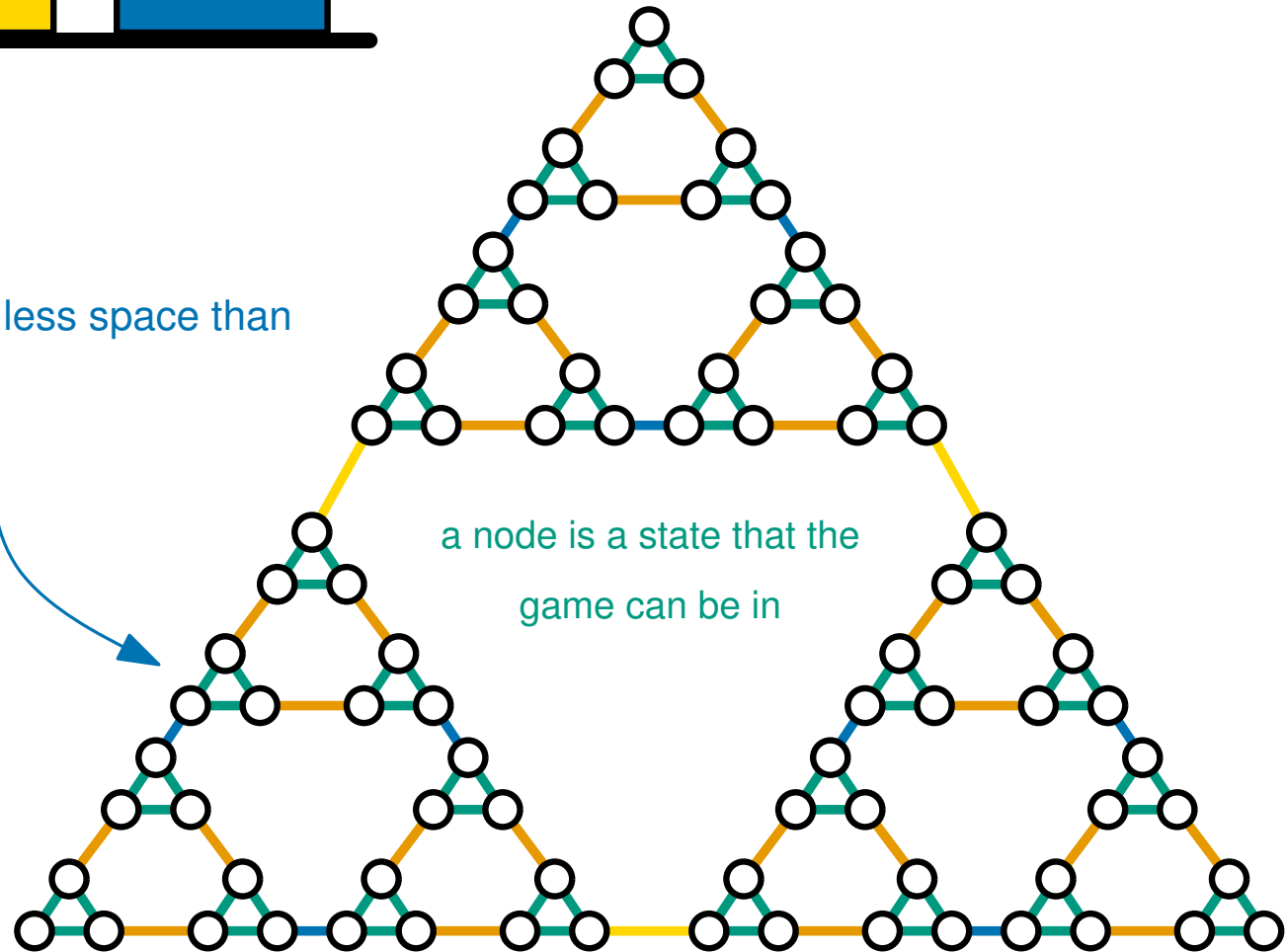
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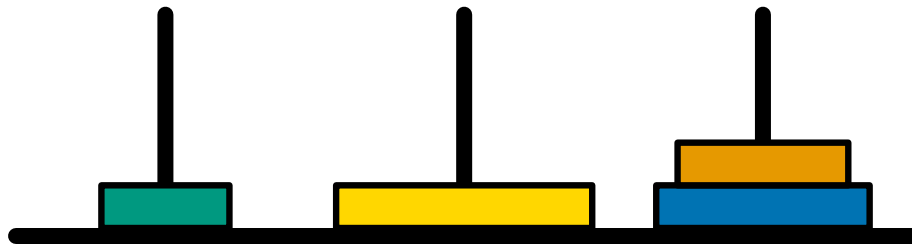
storing **this** uses much less space than  
storing this **this** graph



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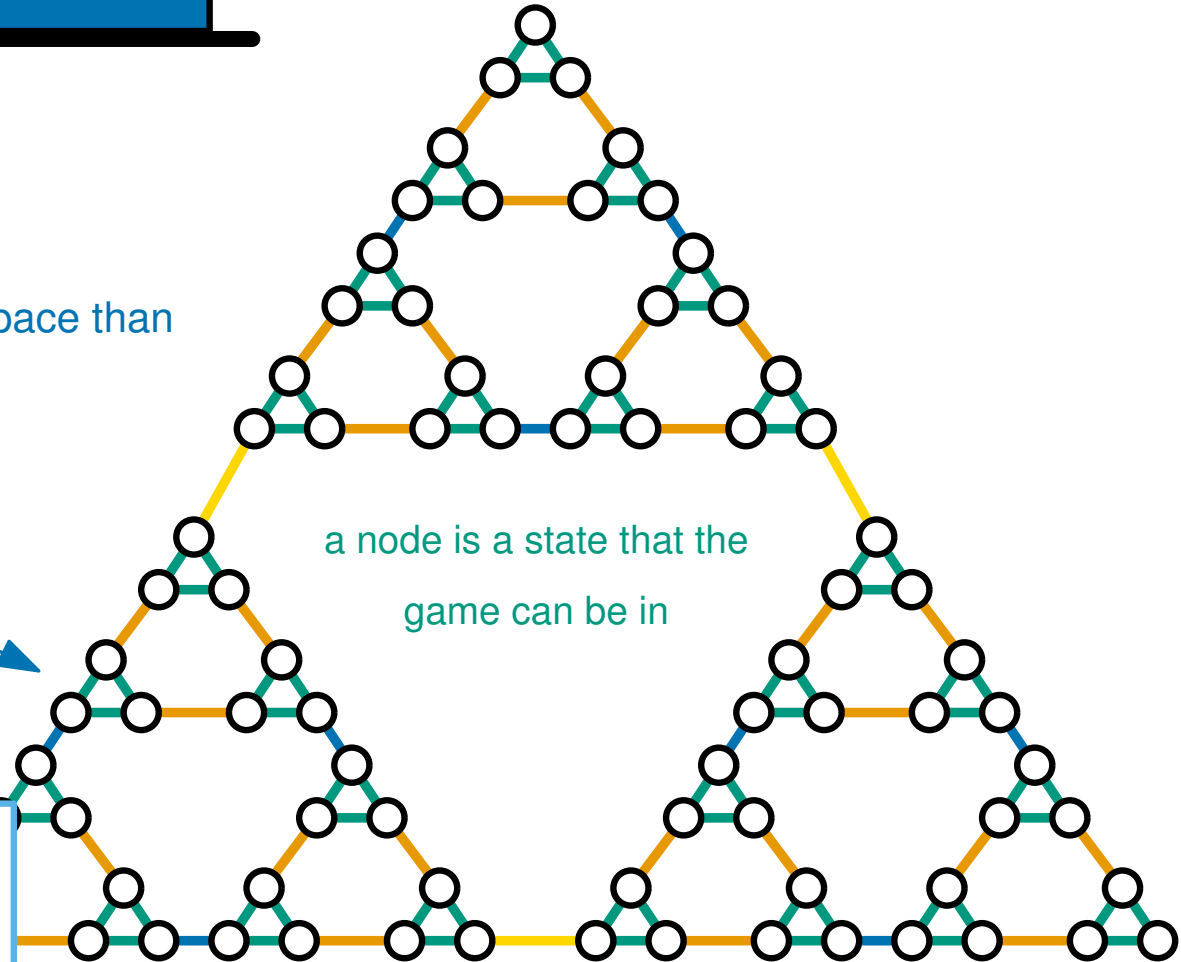
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# Configuration Graphs



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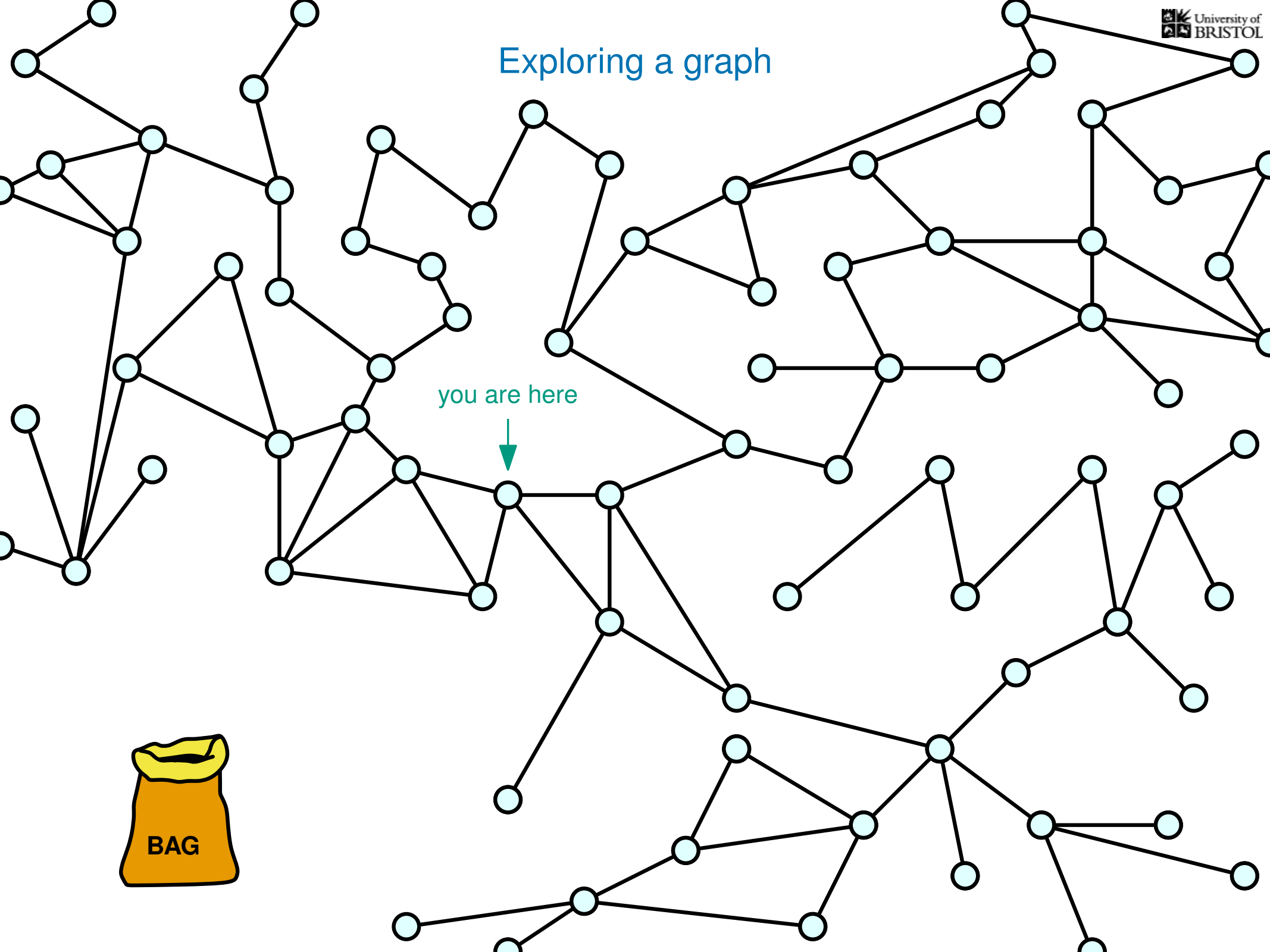
a node is a state that the  
game can be in

we can pretend we have  
an **Adjacency List**  
without building one

there is an edge if you can get from  
one state to another in a single move

# Exploring a graph

you are here



## Exploring a graph

you are here



**Goal:** visit every vertex in a  
connected graph efficiently

## Exploring a graph

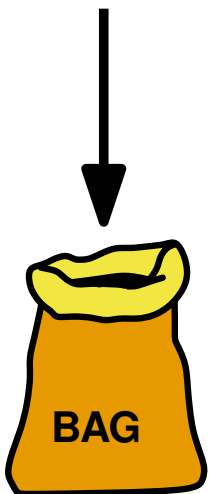
The **bag** (*secretly a data structure*)

We can put a **vertex** in the **bag**

We can take a **vertex** from the **bag**  
*but we don't know which one we'll get*

We don't care how it works (*for now*)

*(the bag doesn't forget or change things)*



**Goal:** visit every vertex in a  
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## Exploring a graph

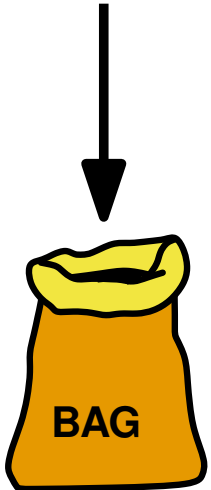
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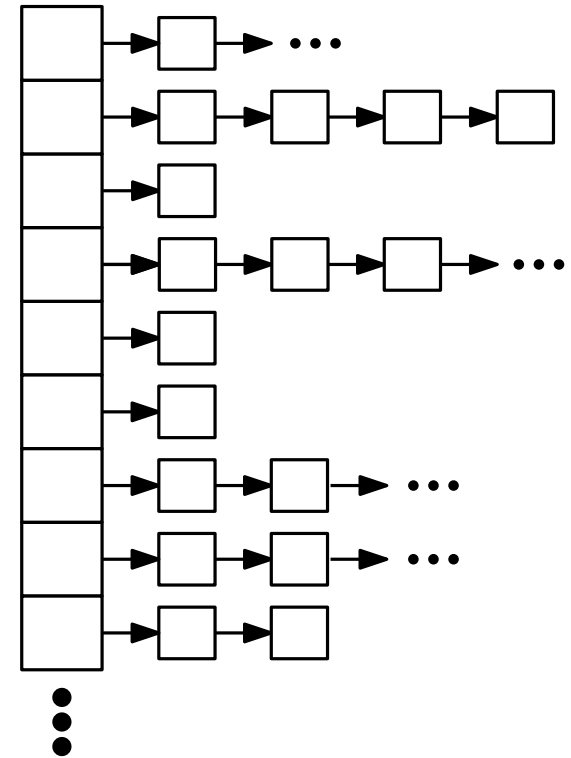
We can take a **vertex** from the **bag**  
*but we don't know which one we'll get*

We don't care how it works (*for now*)

*(the bag doesn't forget or change things)*



*We going to use an adjacency list so the graph is really stored like this:*



**Goal:** visit every vertex in a connected graph efficiently

## Exploring a graph

you are here



**Goal:** visit every vertex in a  
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TRAVERSE( $s$ )

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put  $s$  into the bag
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## Exploring a graph

vertex  $s$



Goal: visit every vertex in a connected graph efficiently

## Exploring a graph

TRAVERSE( $s$ )

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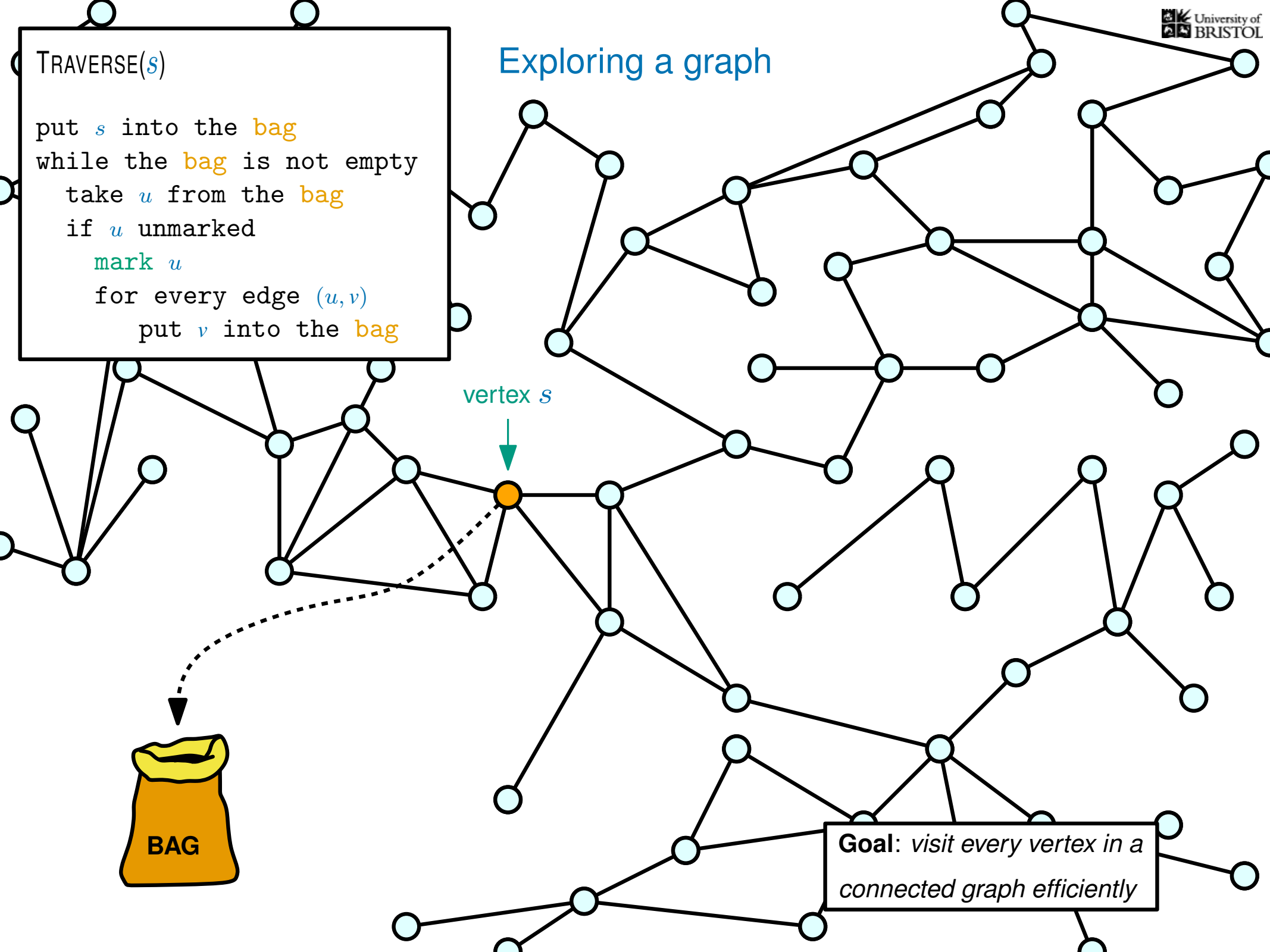
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BAG

Goal: visit every vertex in a  
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## Exploring a graph

vertex  $s$



BAG

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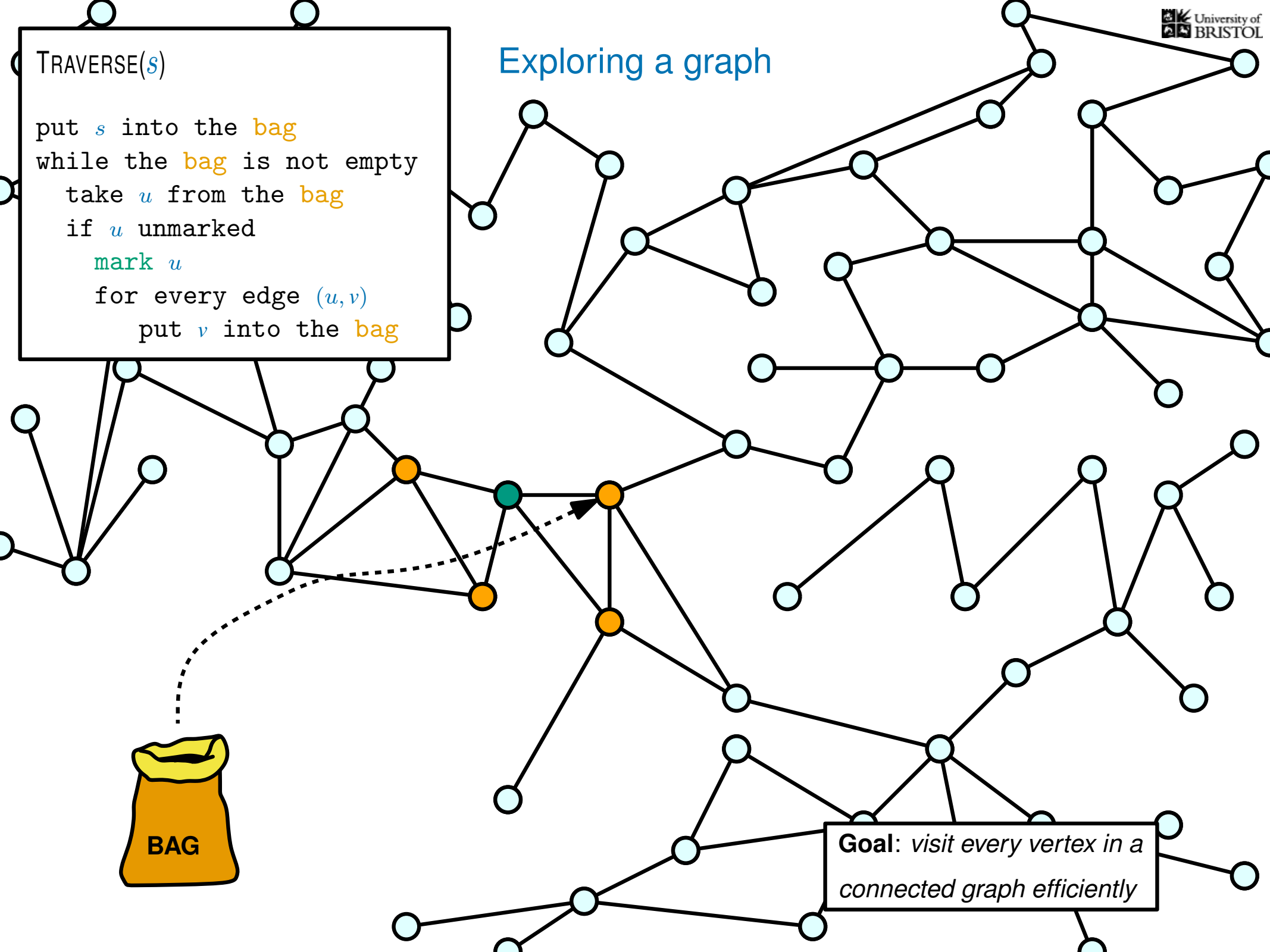
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Goal: visit every vertex in a connected graph efficiently



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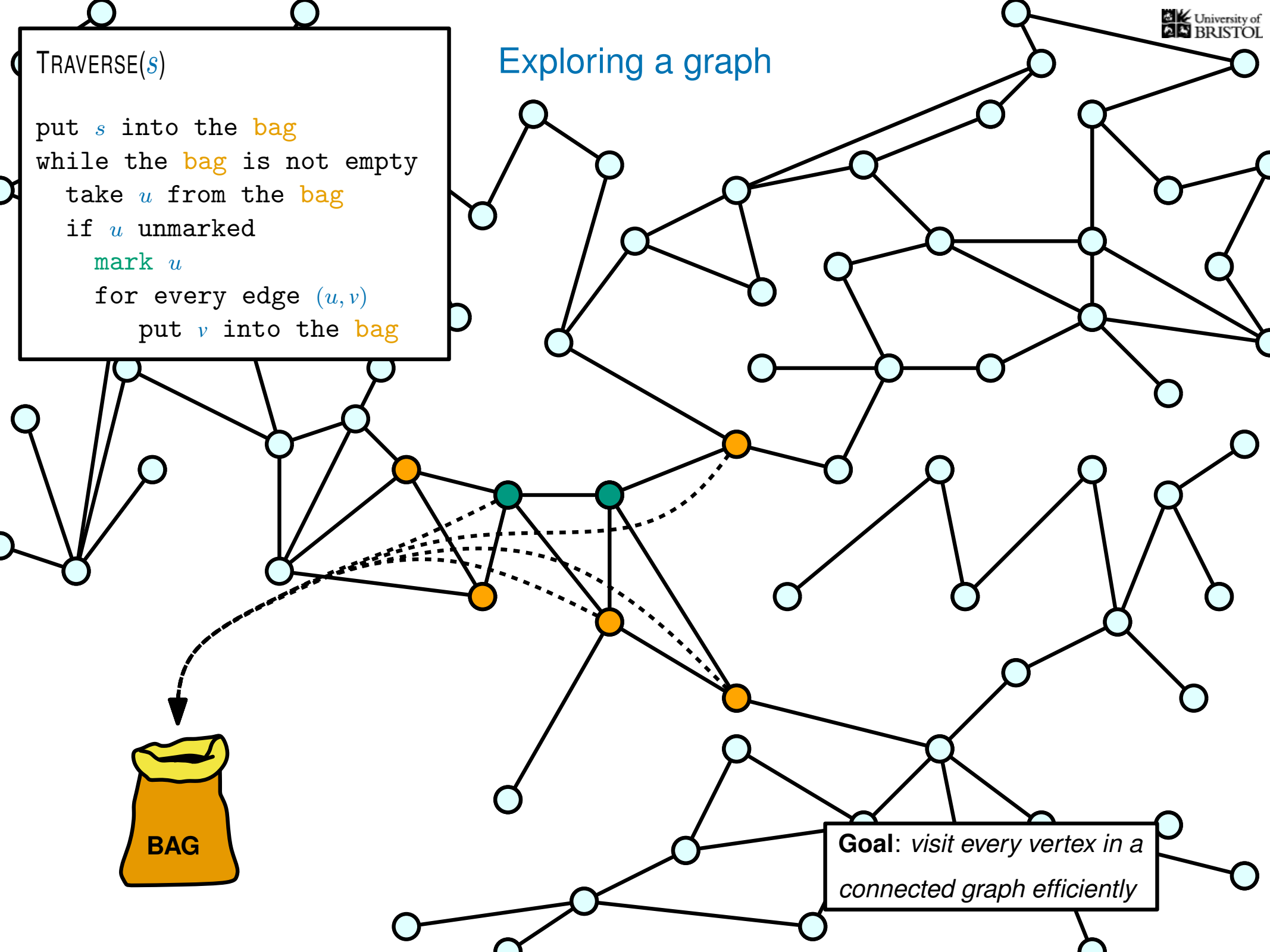


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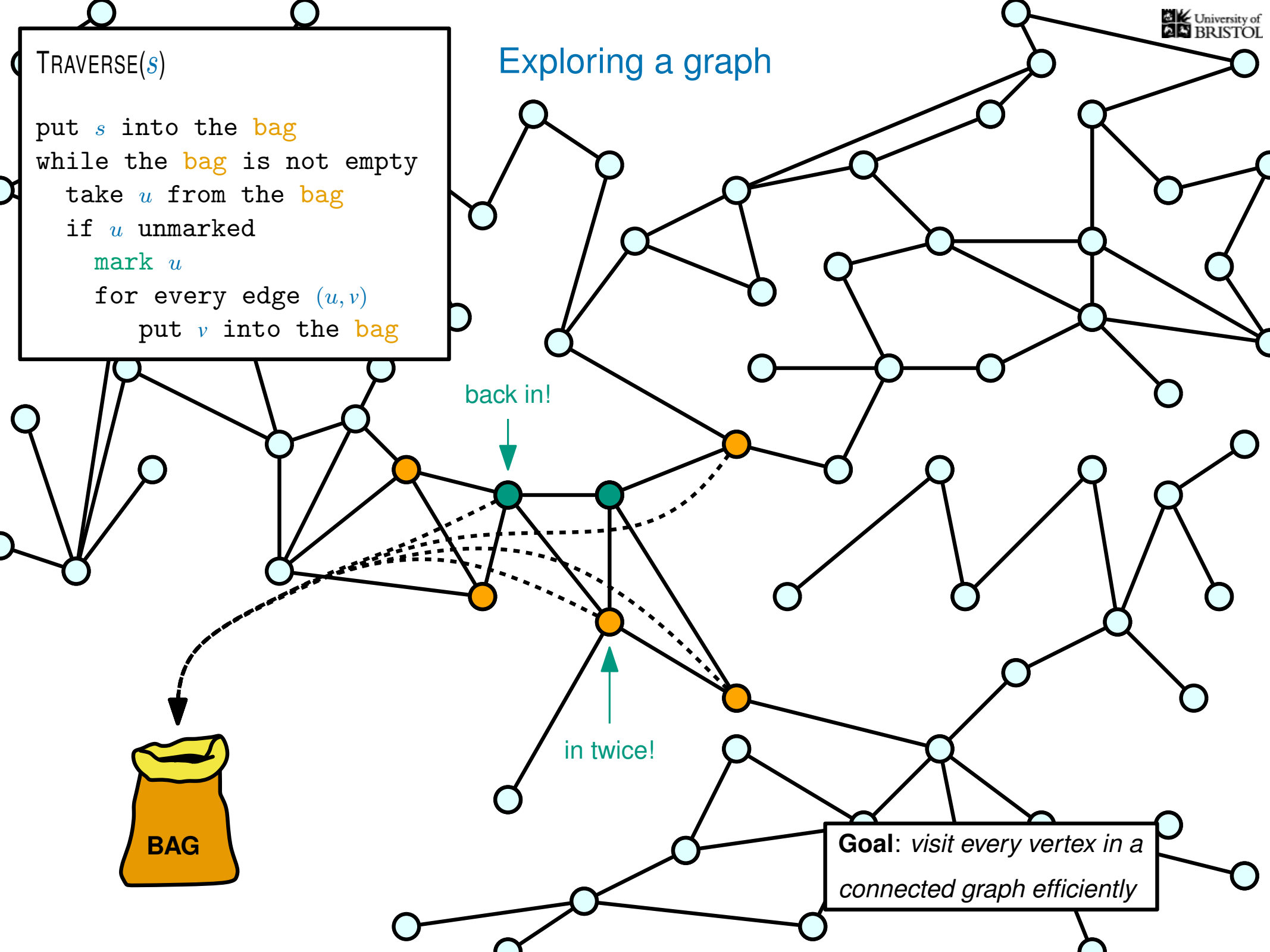


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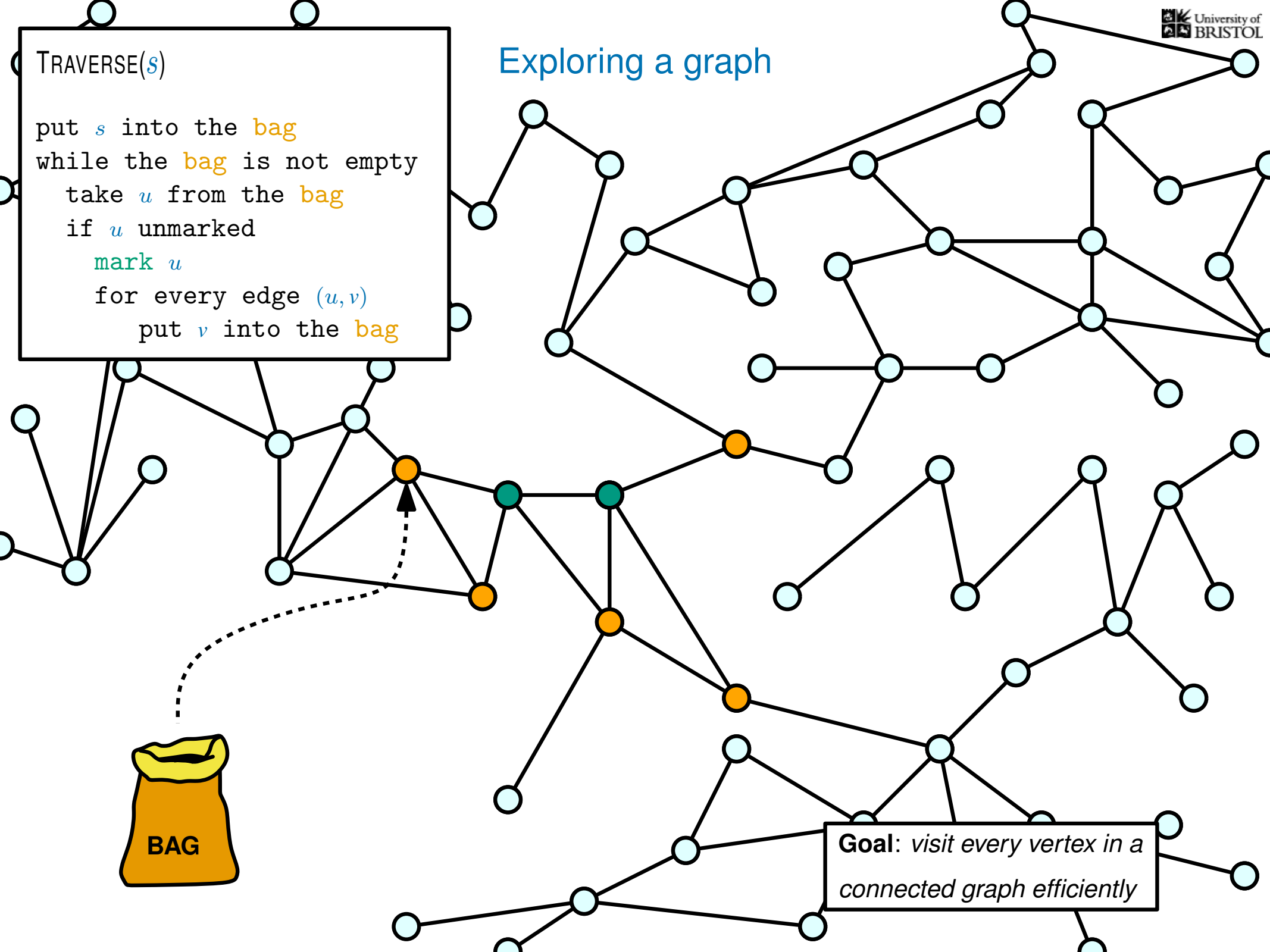


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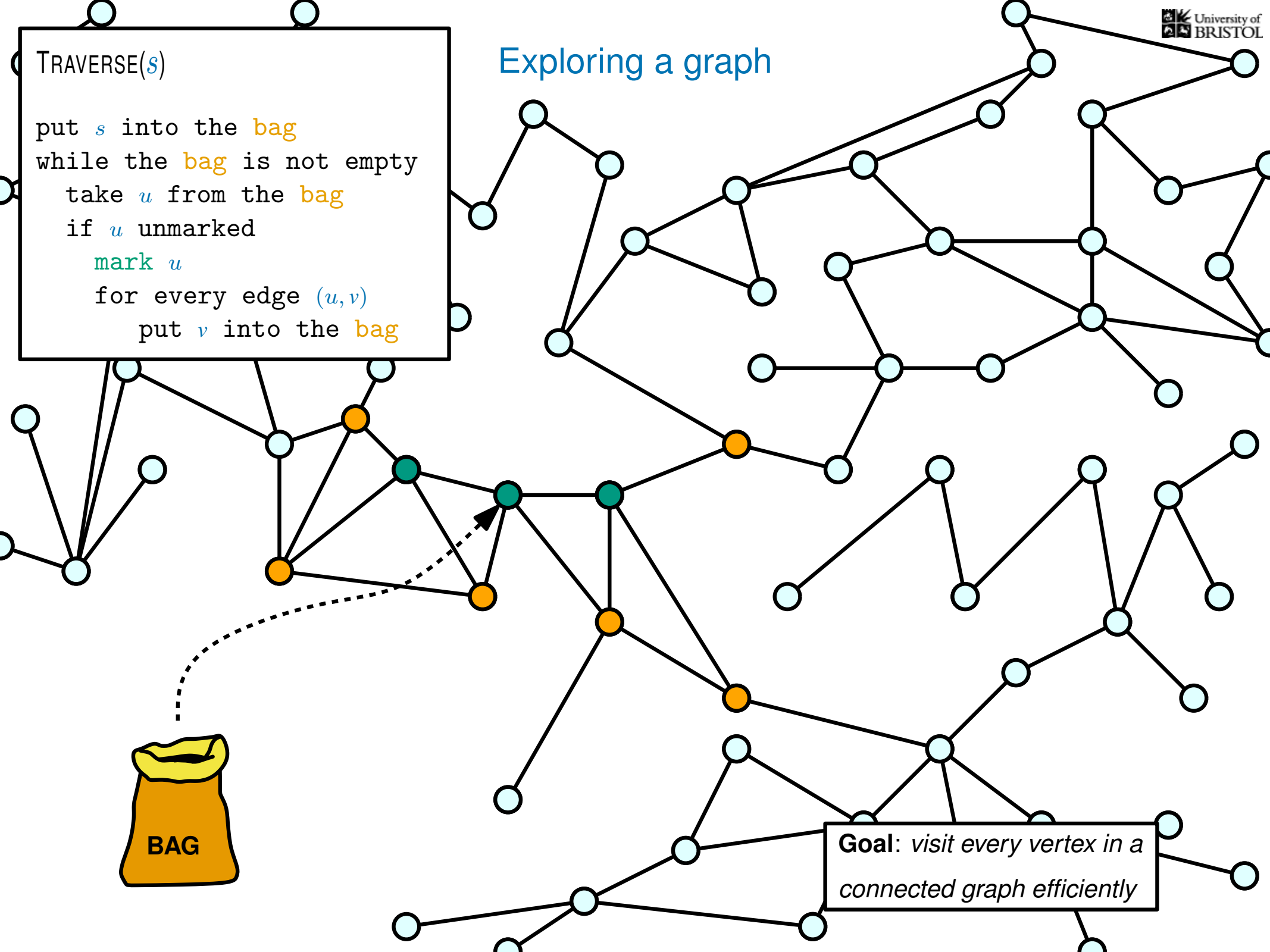
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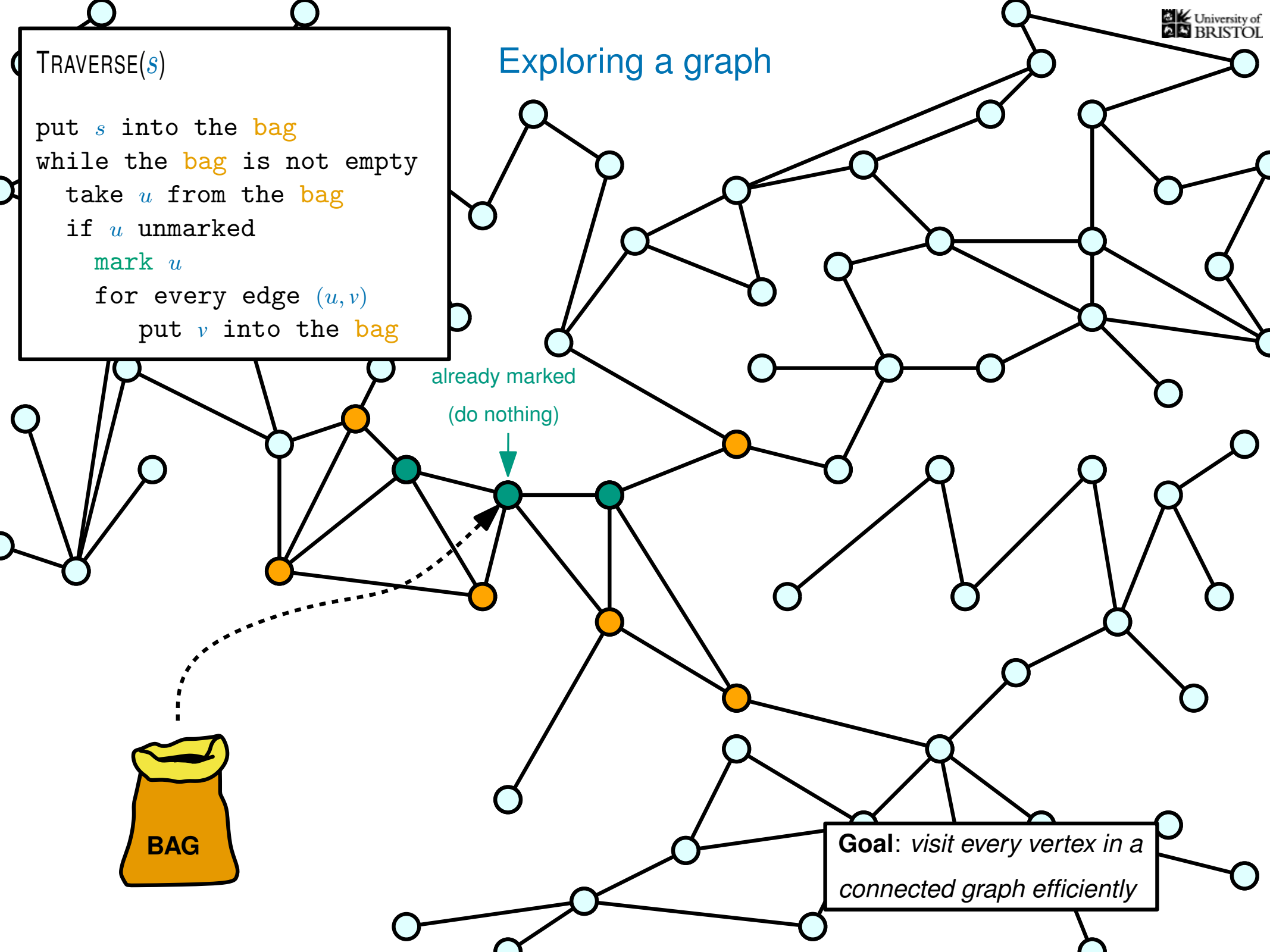
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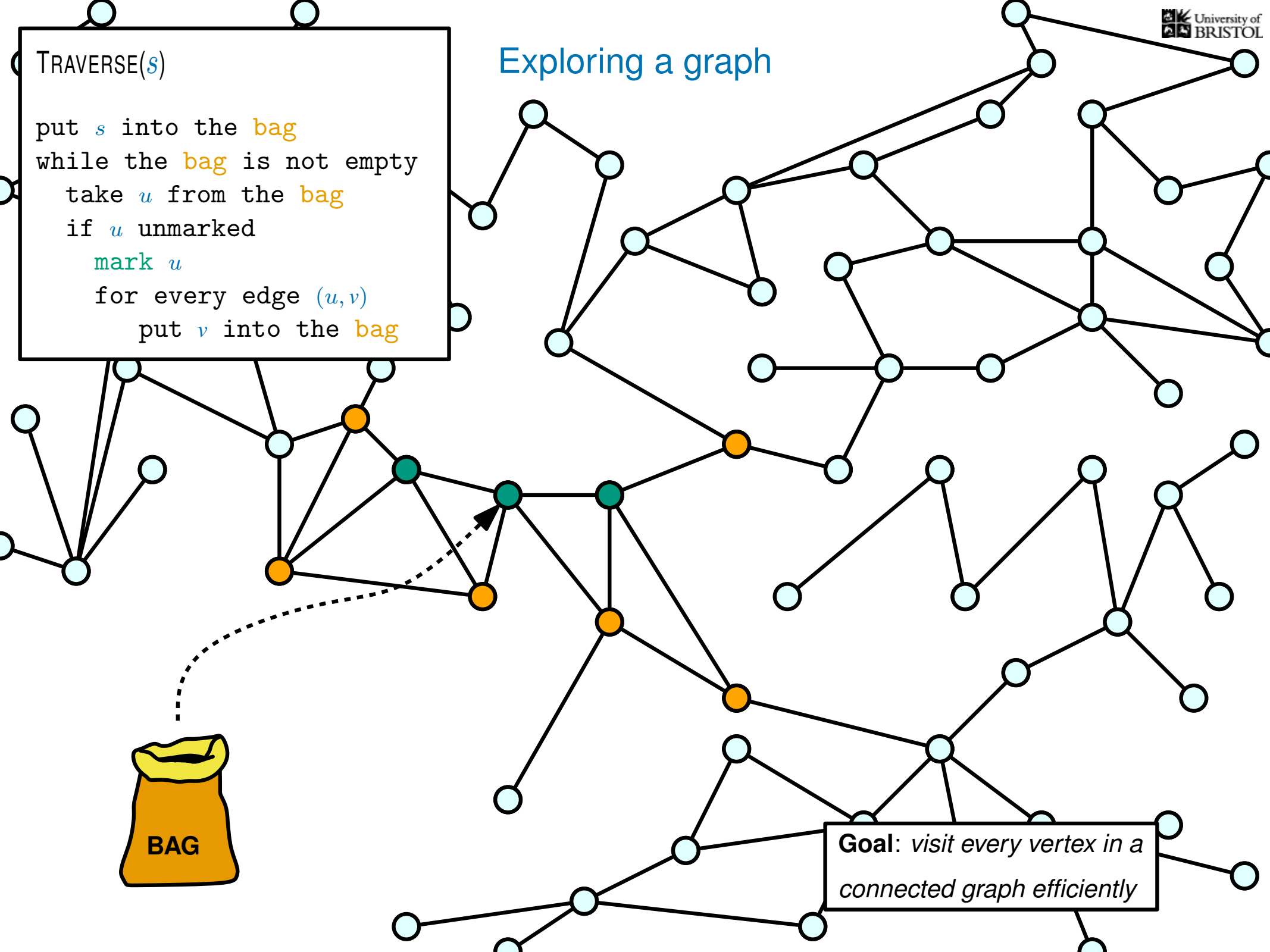
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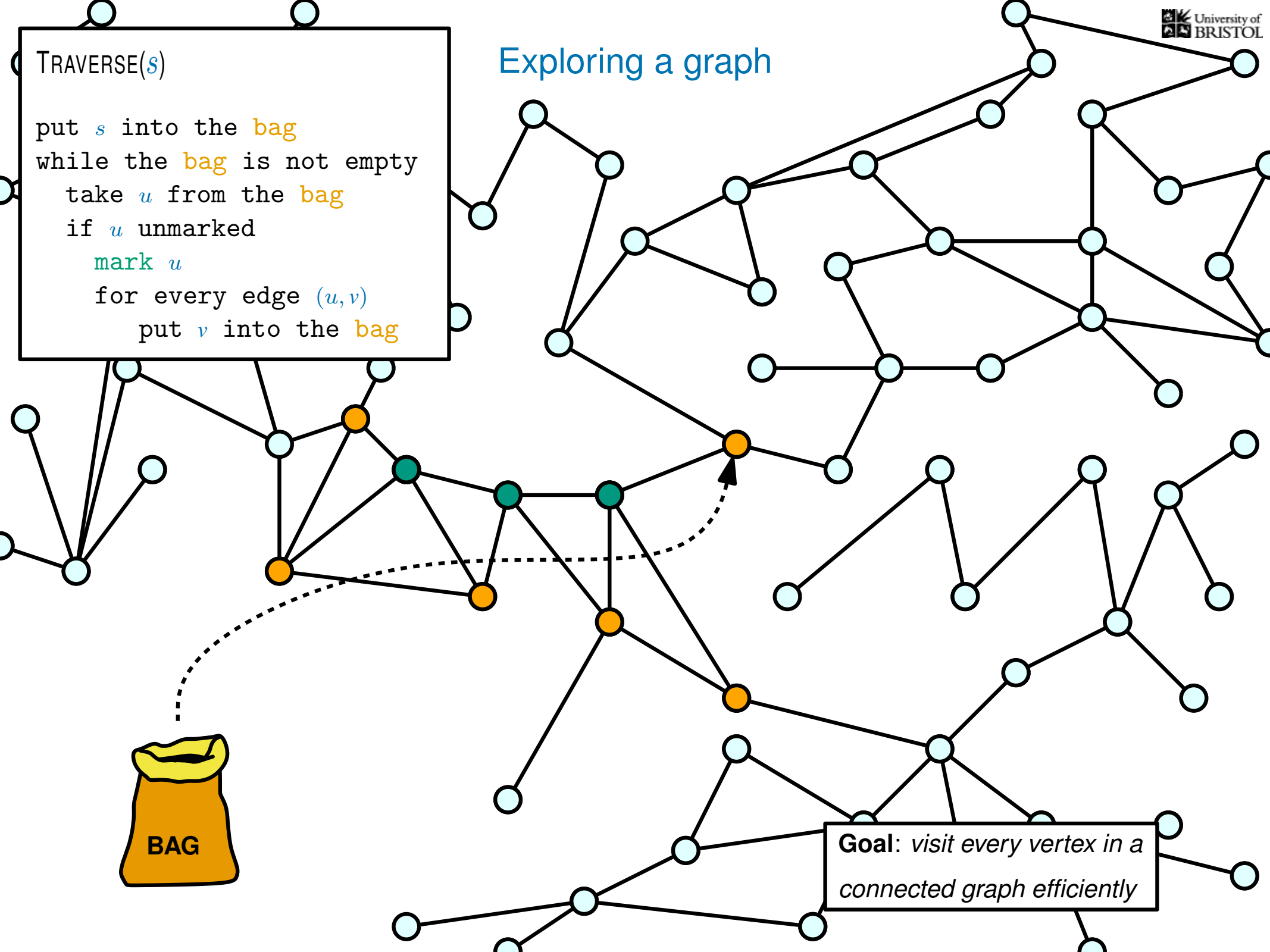
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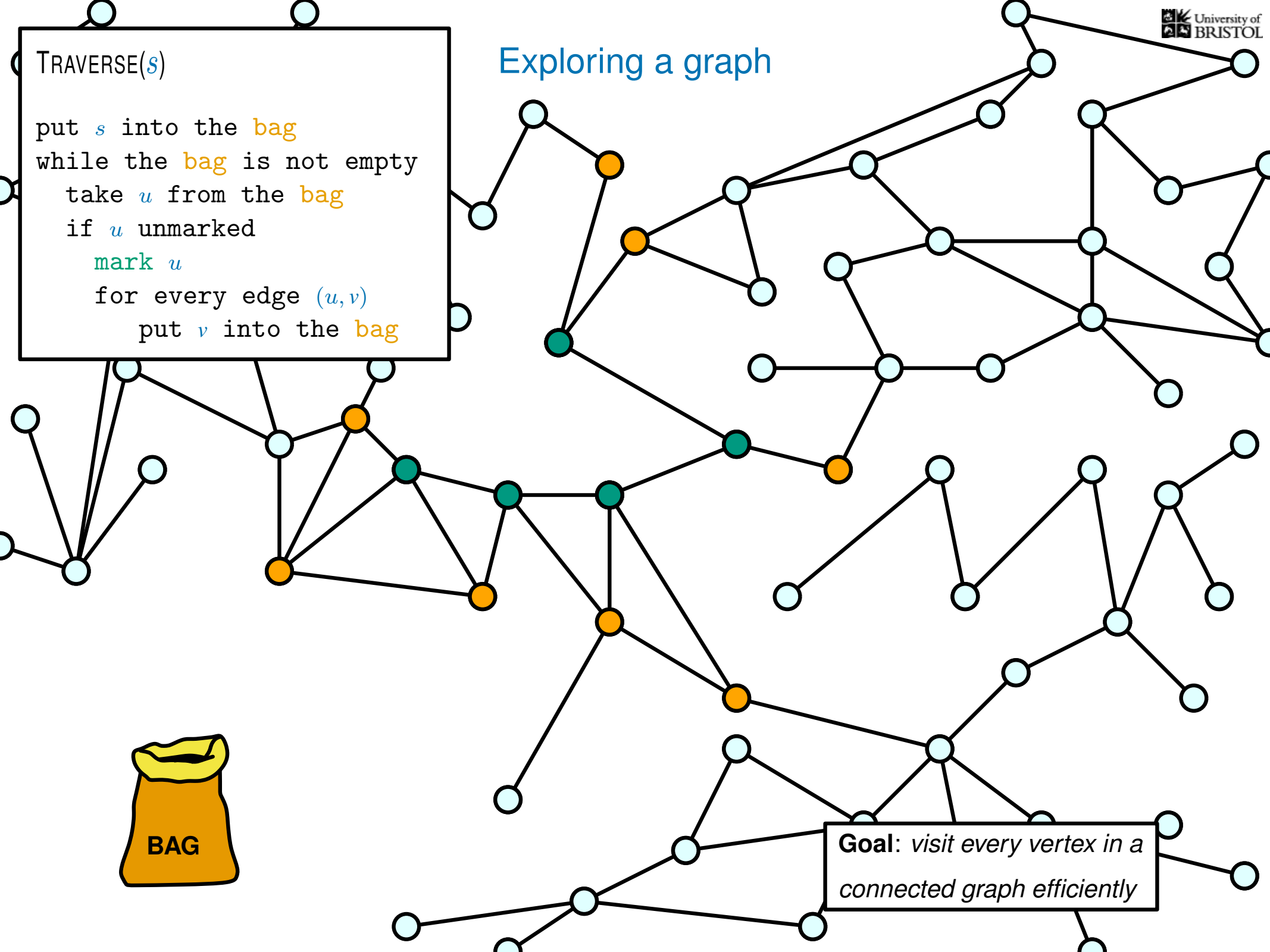
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Some time later...



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## Exploring a graph

Questions:

1. *Does TRAVERSE always stop?*
2. *Does it always visit every vertex?*
3. *How fast is it? (in the worst case)*

Some time later... ?



**Goal:** visit every vertex in a connected graph efficiently

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## Exploring a graph

1. Does TRAVERSE *always* stop?



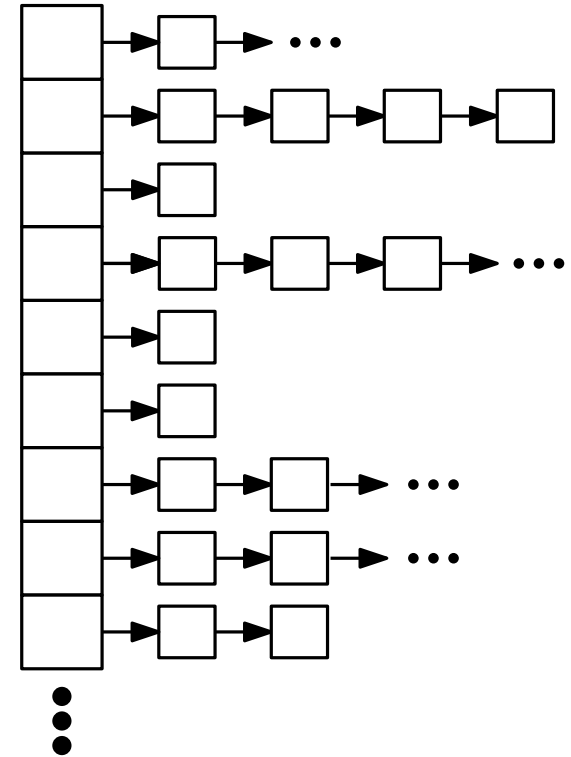
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## Exploring a graph

1. Does TRAVERSE always stop?



# Exploring a graph

TRAVERSE( $s$ )

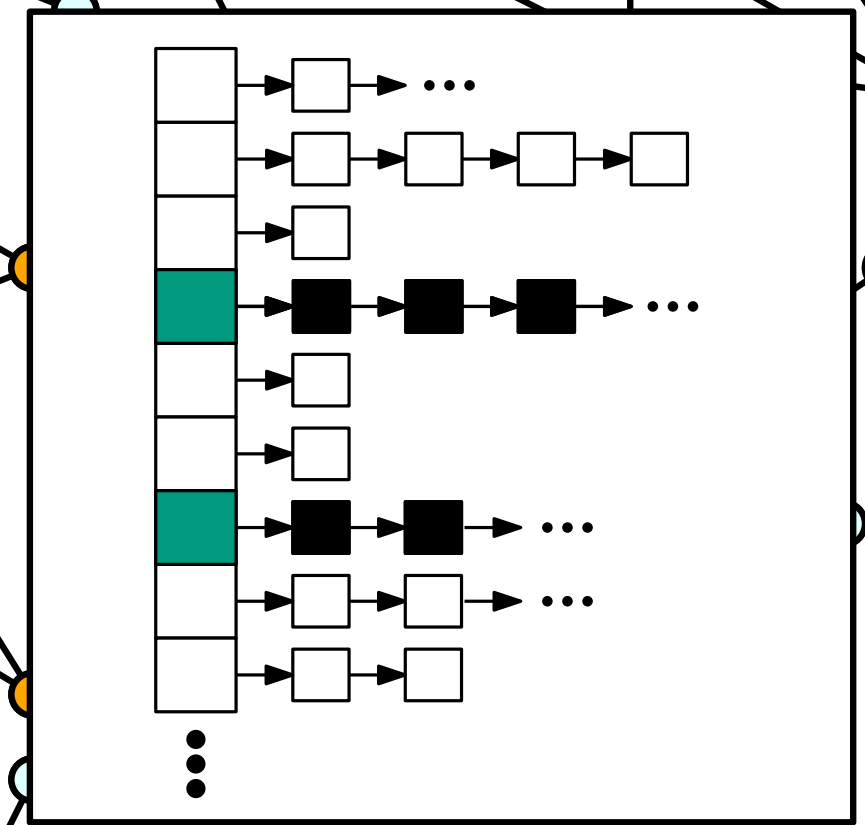
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1. Does TRAVERSE always stop?

back in!

in twice!



TRAVERSE( $s$ )

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## Exploring a graph

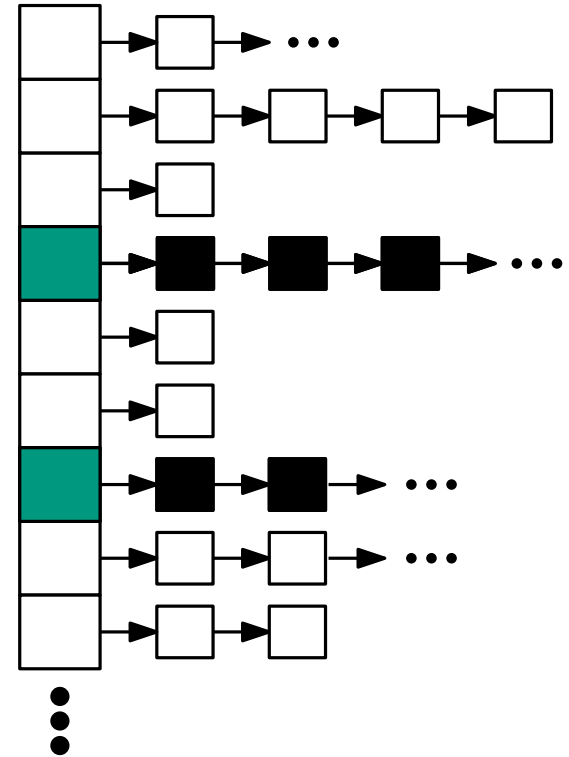
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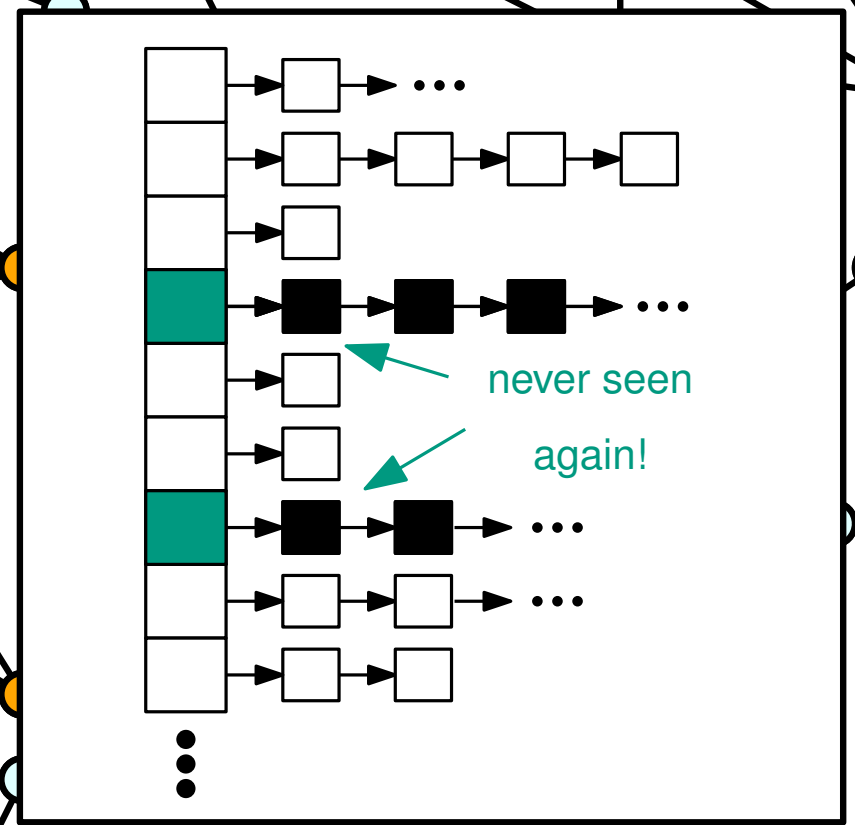
We may put a *vertex* in the *bag* many times but each *edge* is only processed twice (once in each direction)



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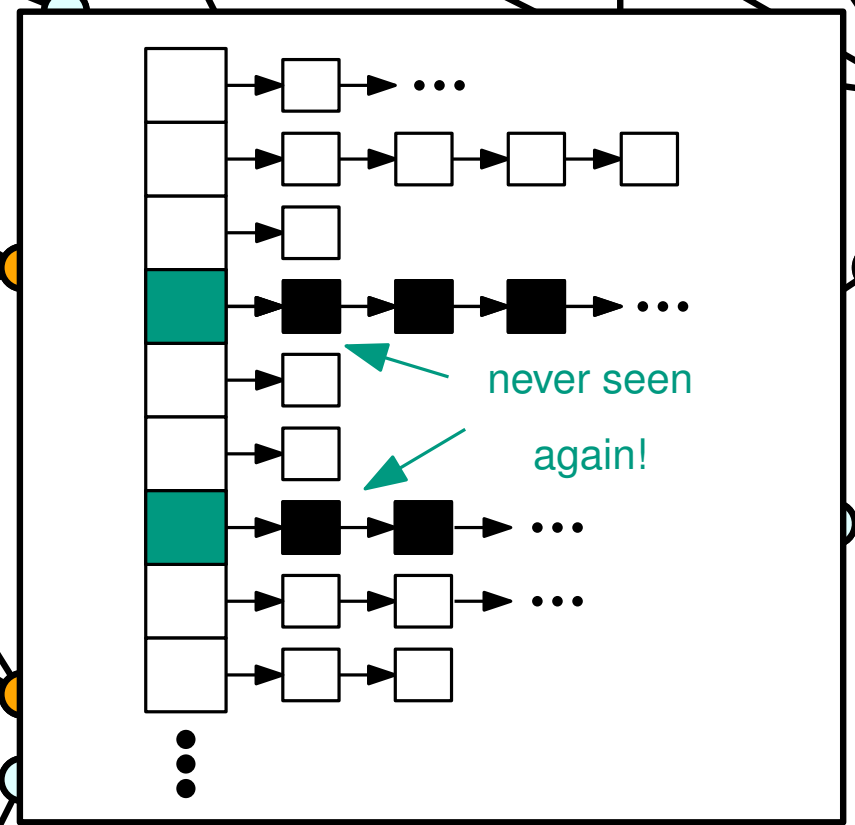


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Important later: number of 'puts'  $\leq 2|E|$



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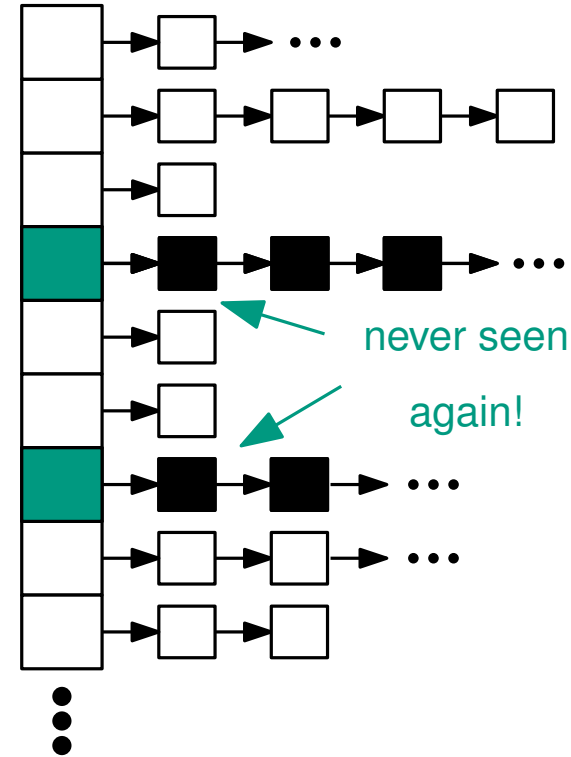
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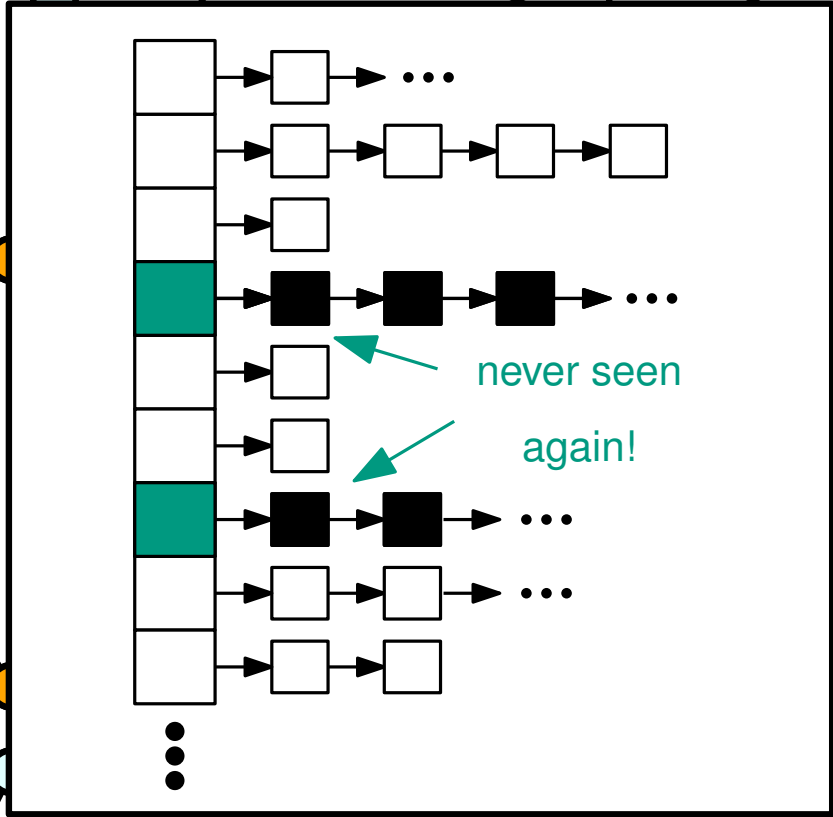
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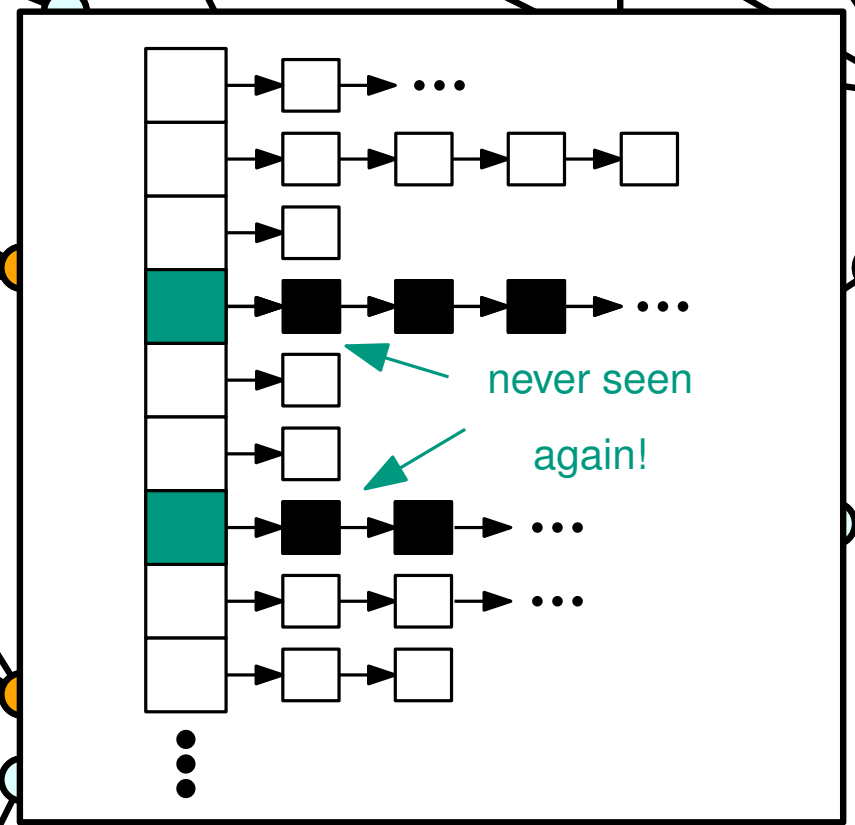
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1. Does TRAVERSE always stop?

Yes



back in!

in twice!



We may put a *vertex* in the *bag* many times but each *edge* is only processed twice (once in each direction)

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      put  $v$  into the bag
  
```

## Exploring a graph

Questions:

1. Does TRAVERSE *always* stop?
2. Does it *always* visit every vertex?
3. How fast is it? (in the worst case)

Yes



TRAVERSE( $s$ )

```

put  $s$  into the bag
while the bag is not empty
  take  $u$  from the bag
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## Exploring a graph

2. Does it always visit every vertex?



## Exploring a graph

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**Proof** (by induction)

Consider any path from  $s$  to some  $v$ :

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Eventually, that vertex is removed from the bag and is marked.

(unless it was already marked)

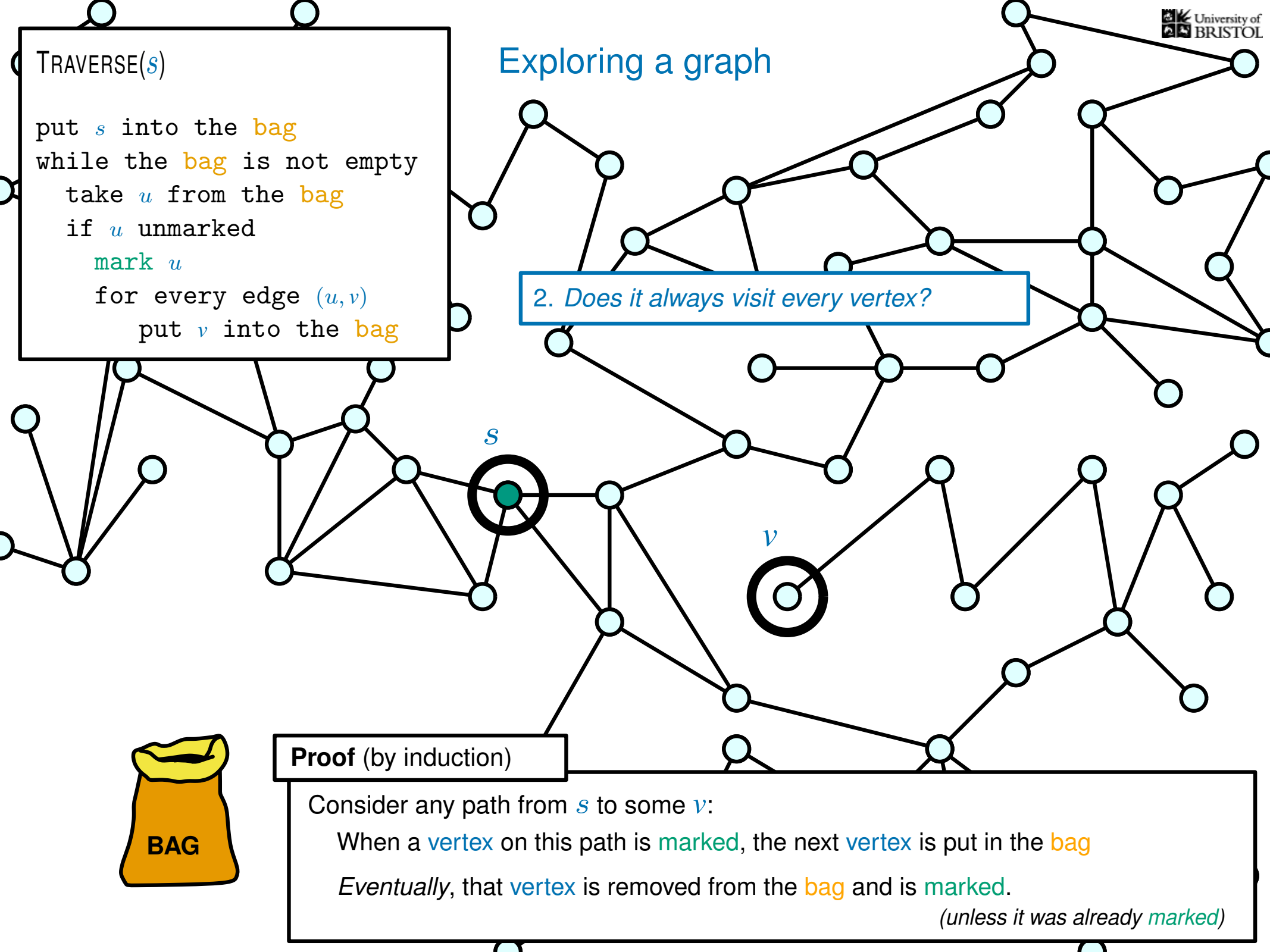
## Exploring a graph

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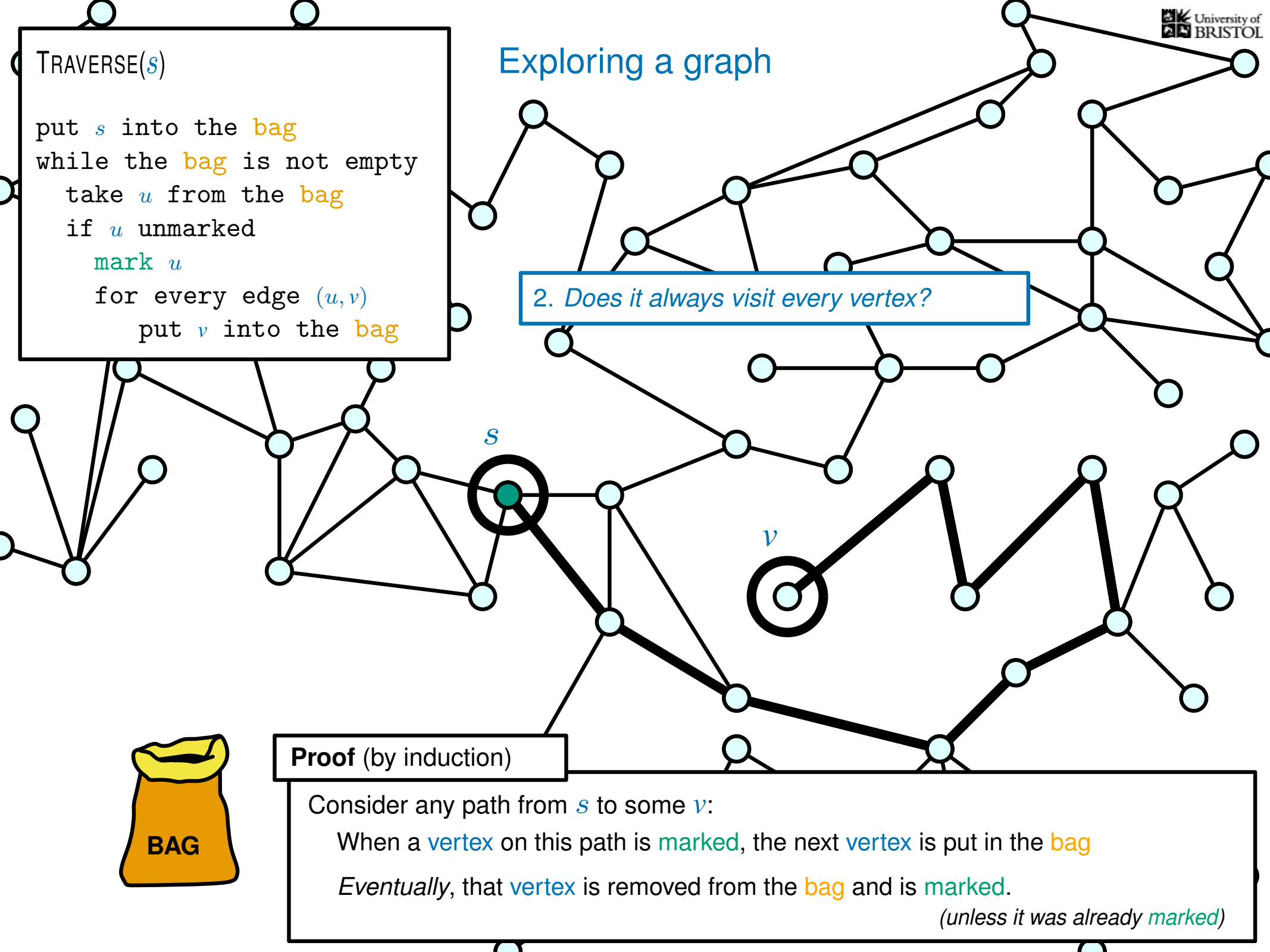
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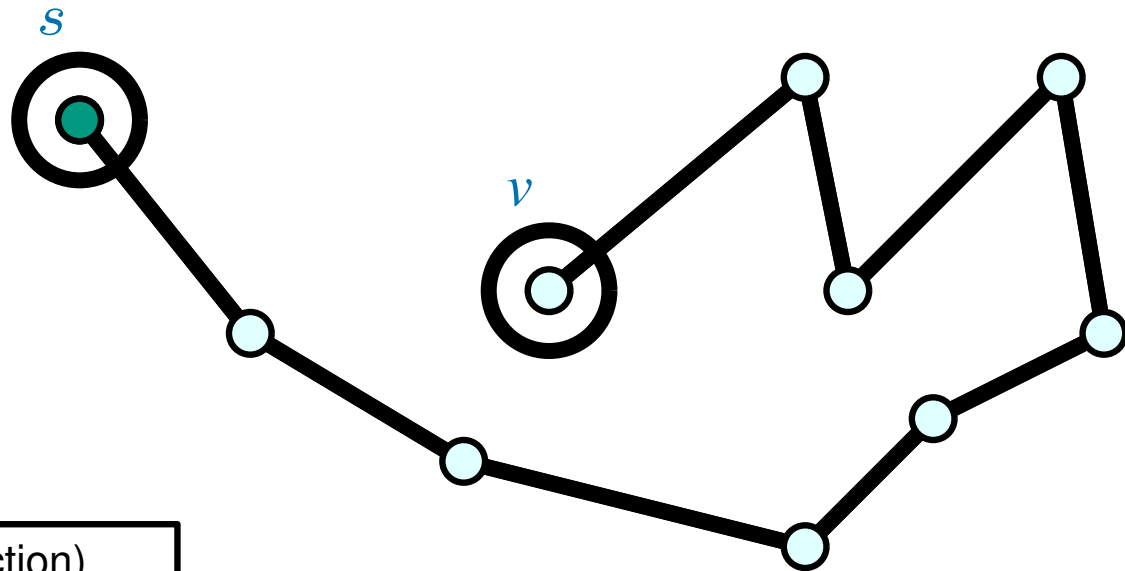


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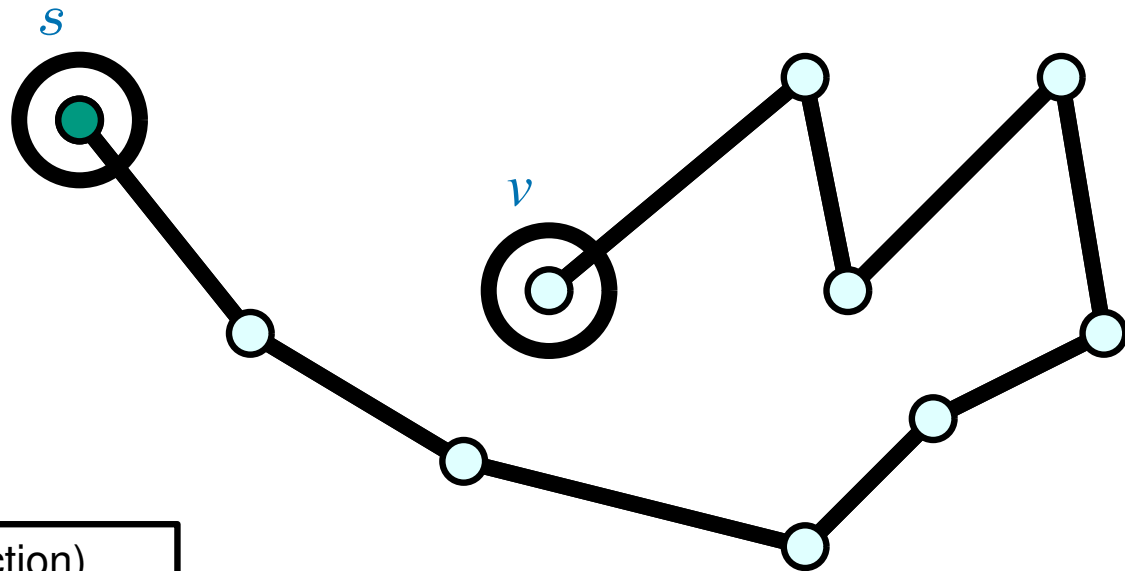
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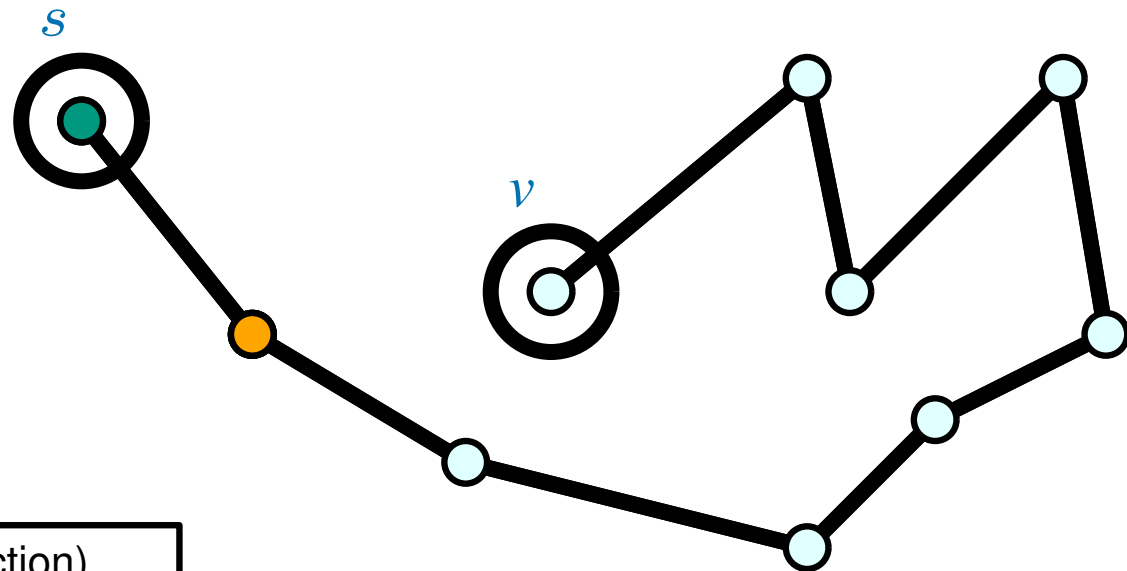
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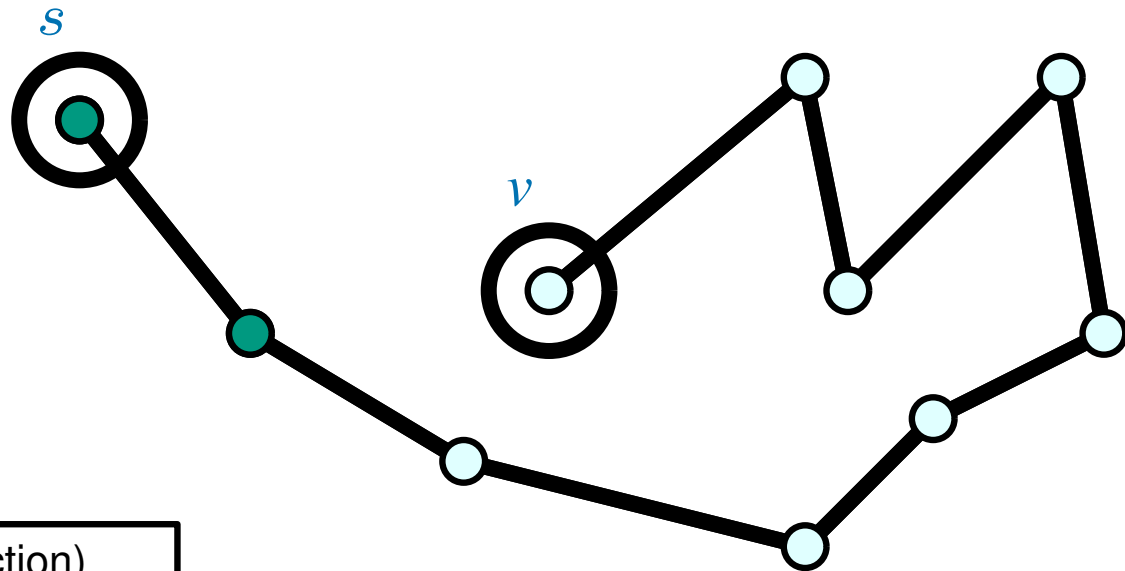
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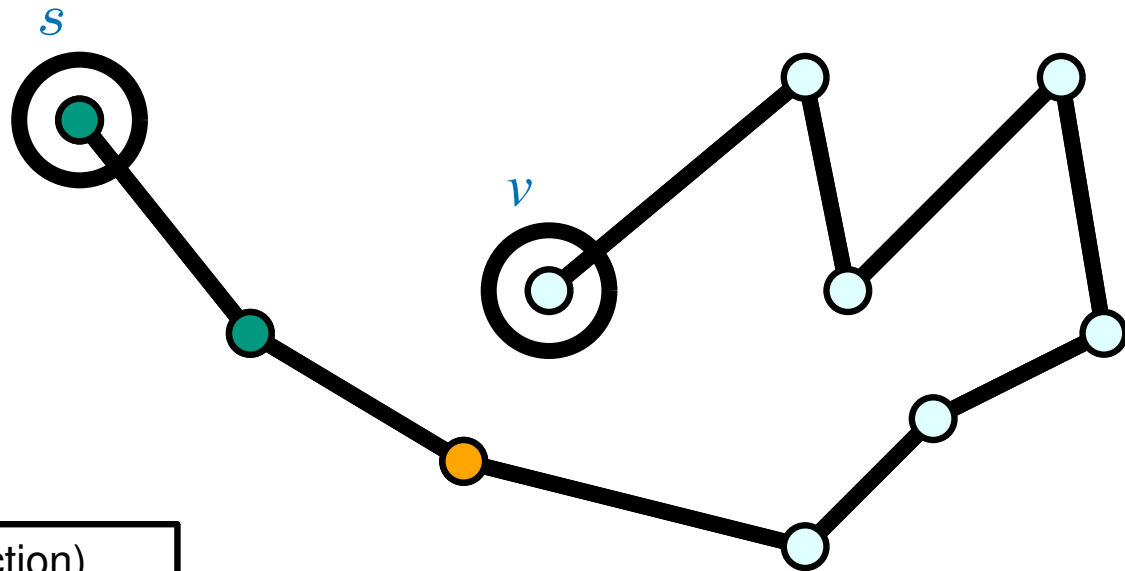
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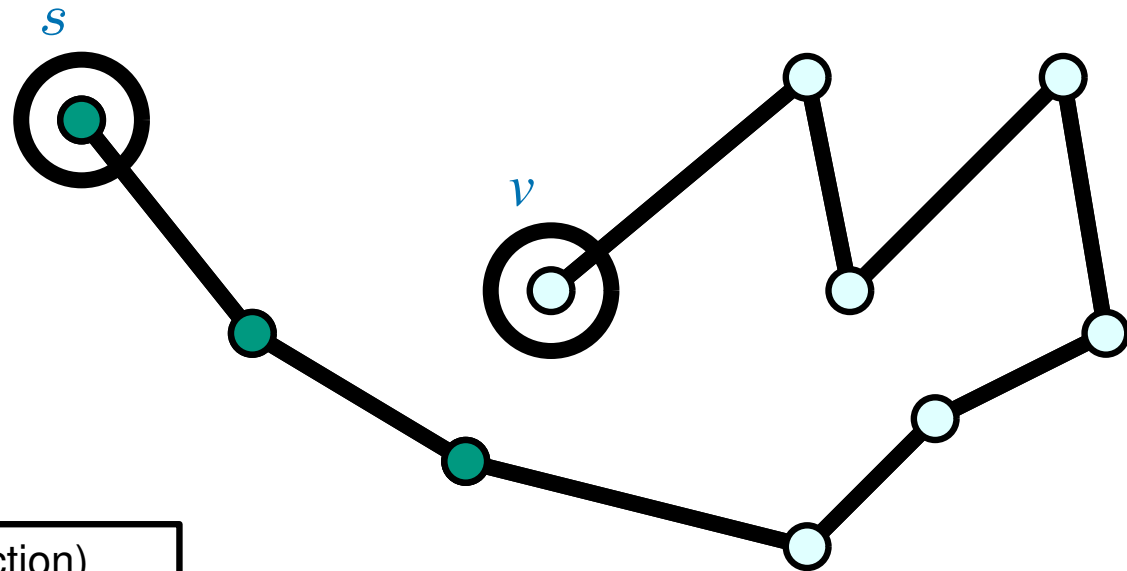
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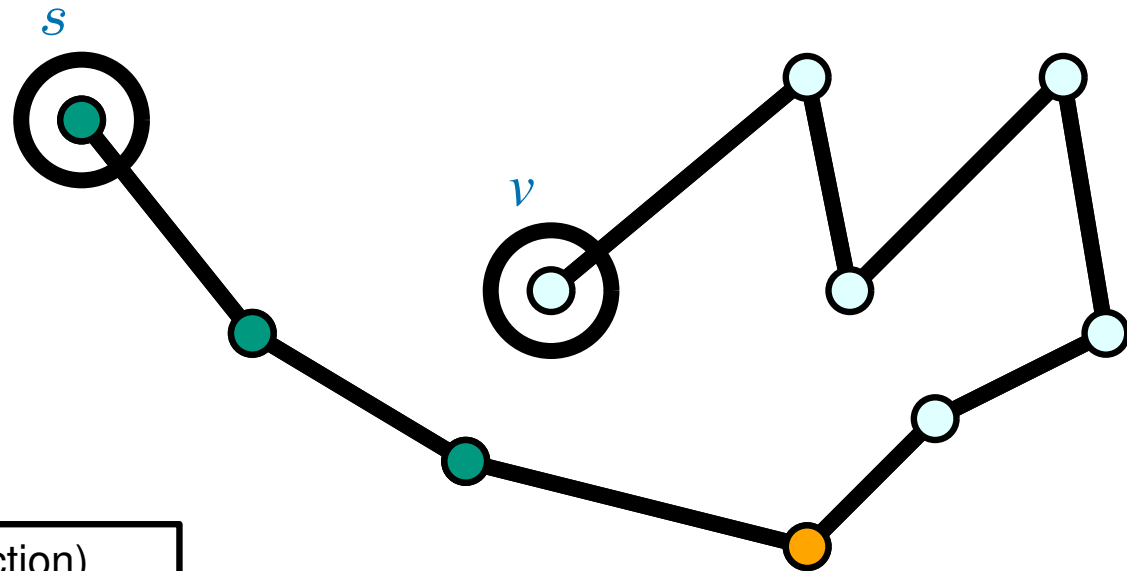
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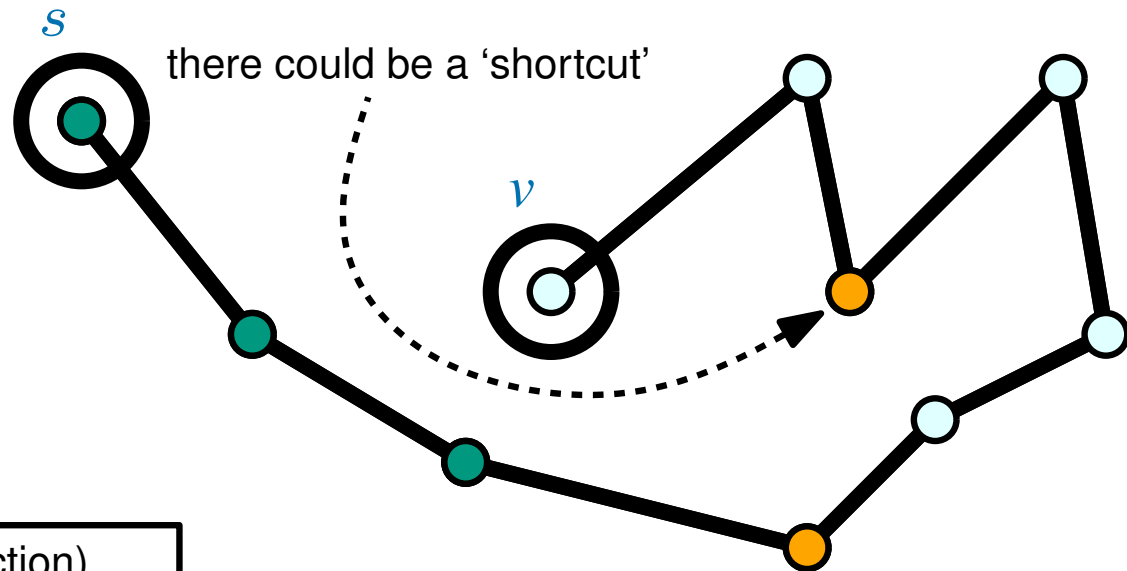
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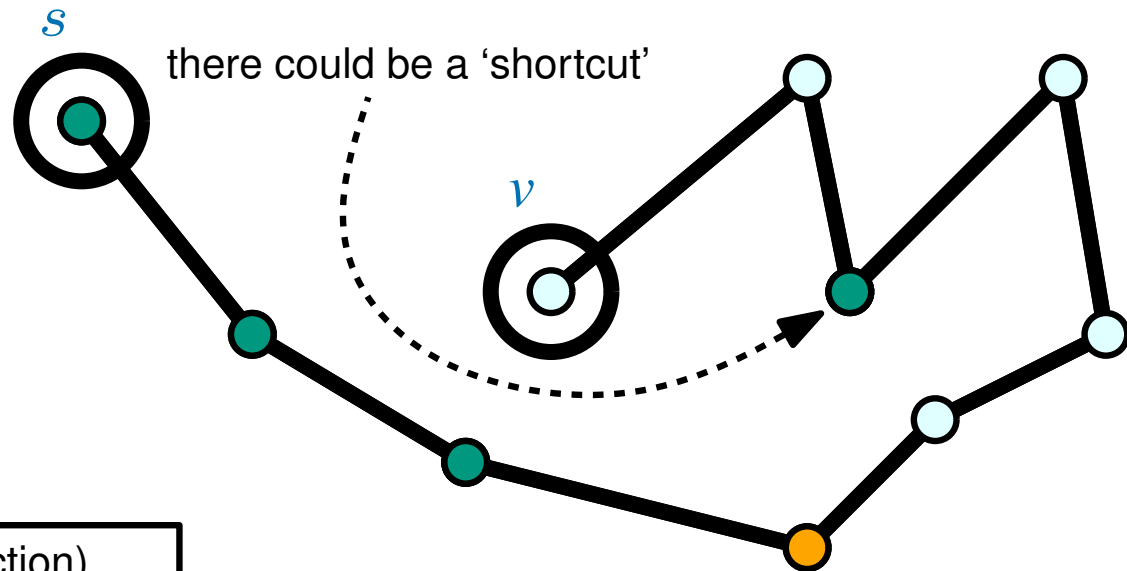


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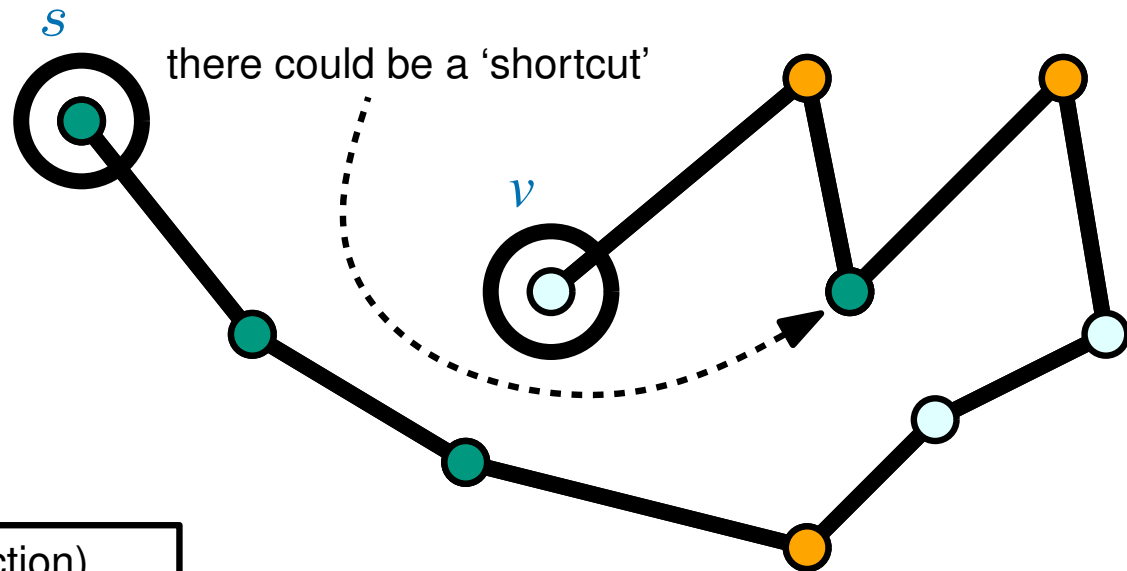
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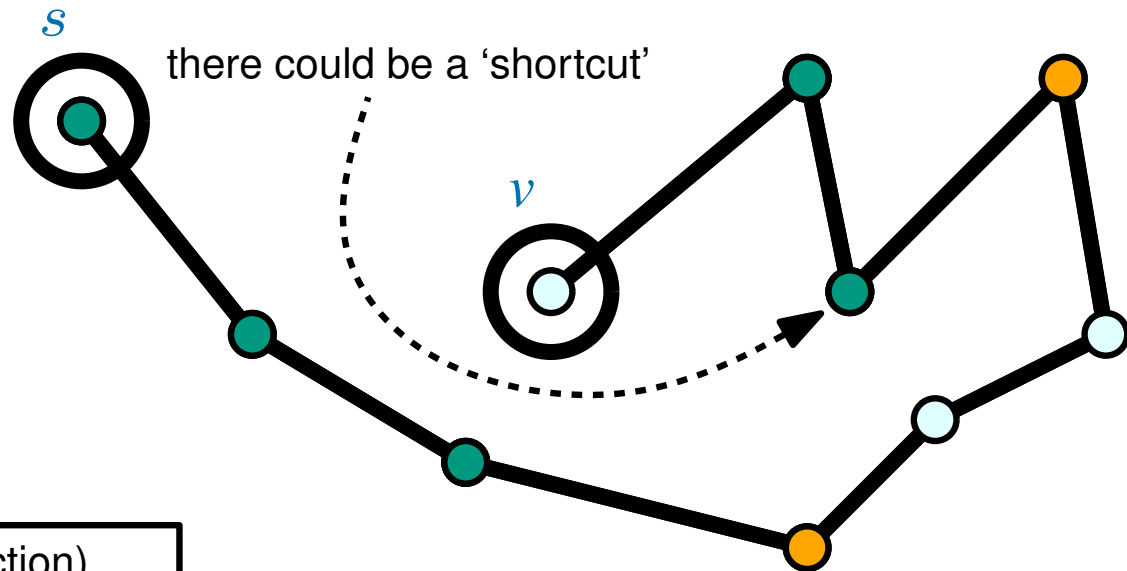
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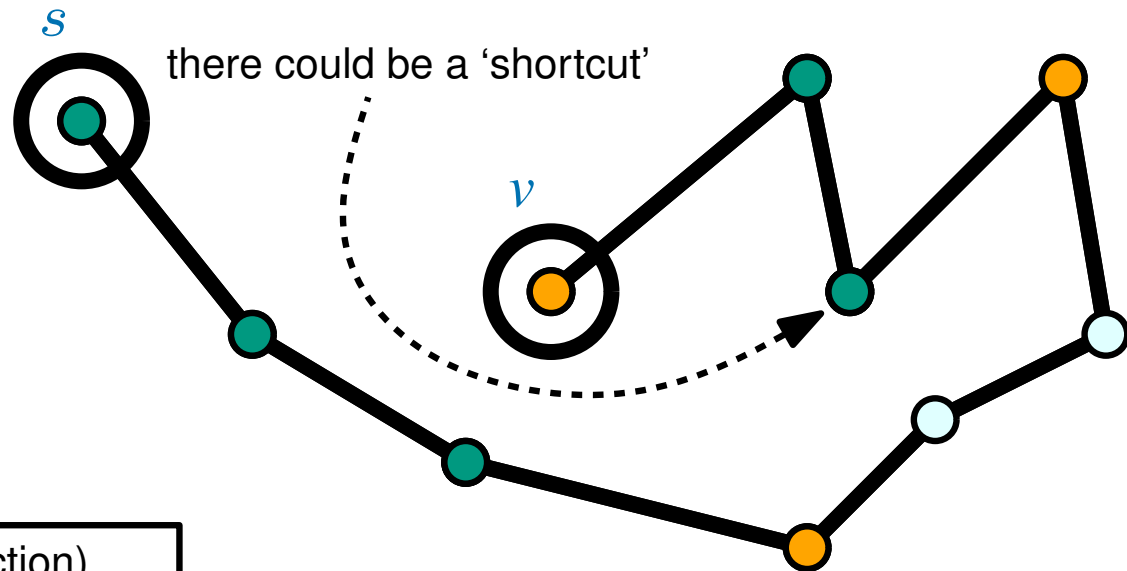
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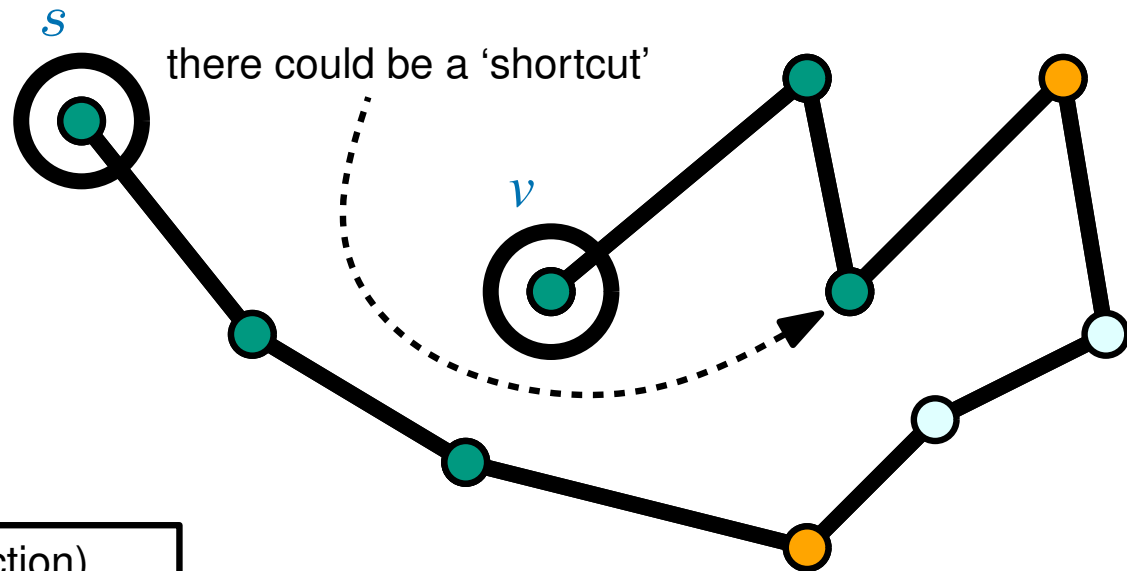
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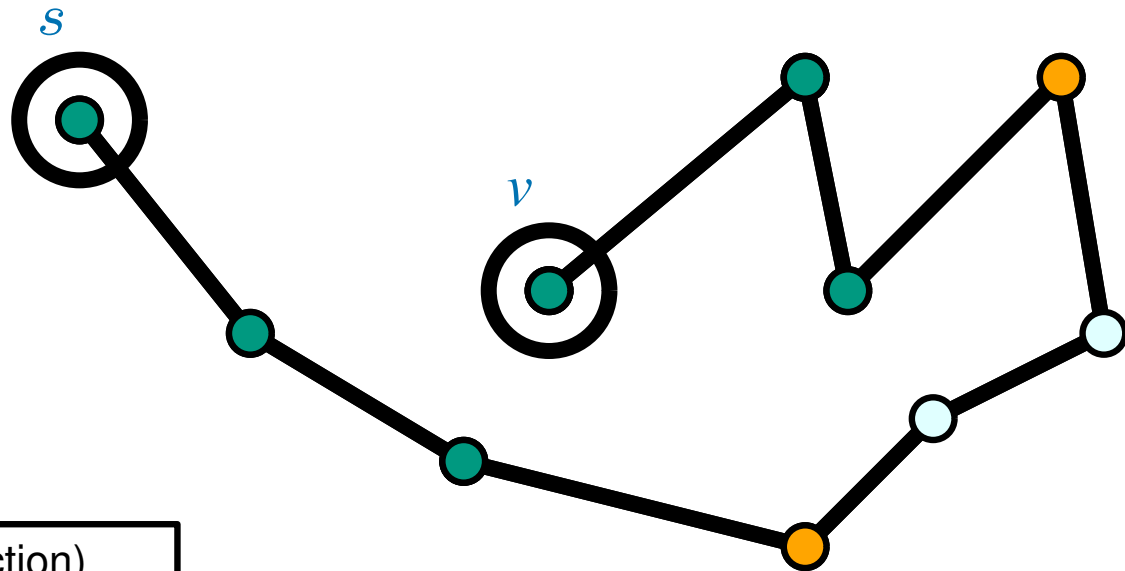
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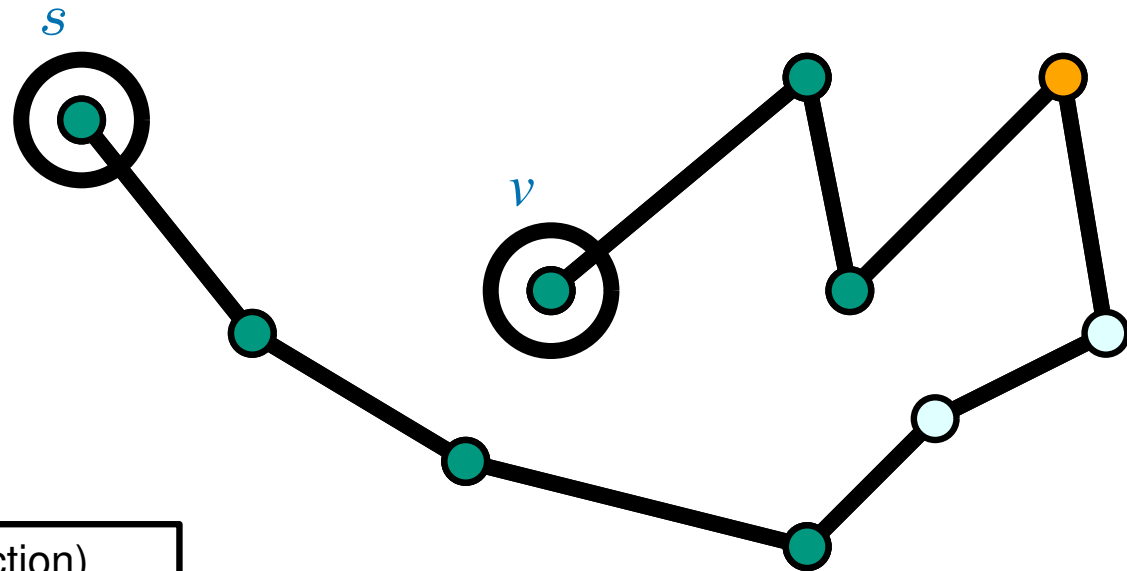
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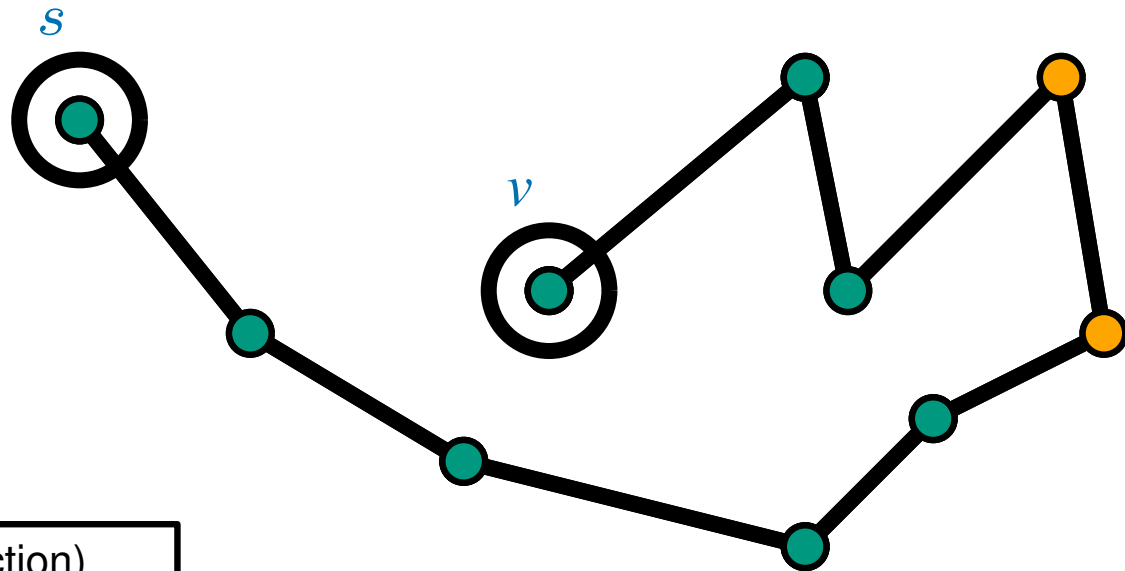
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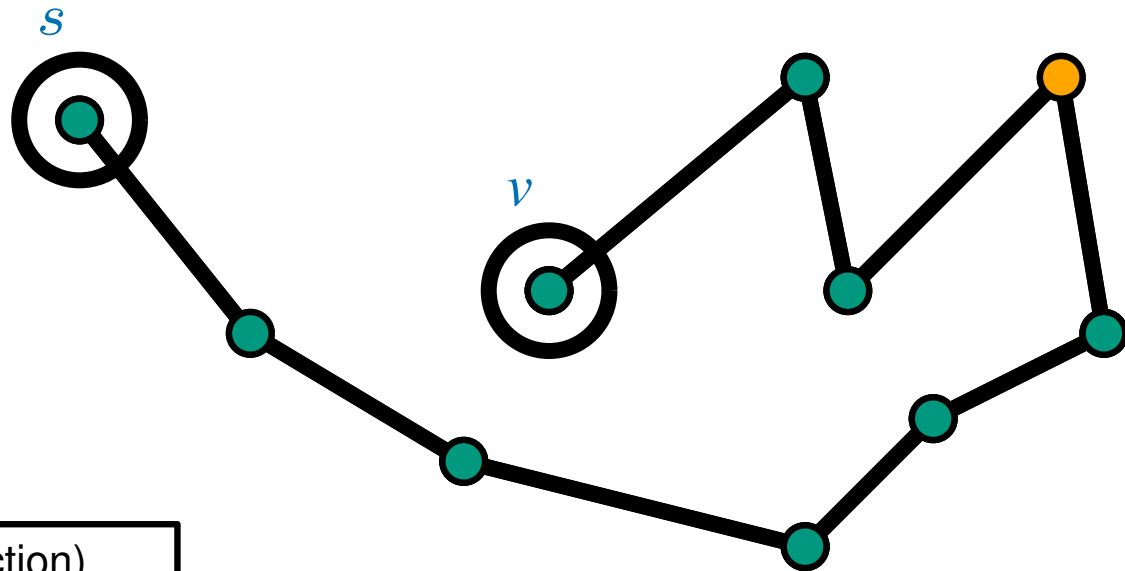
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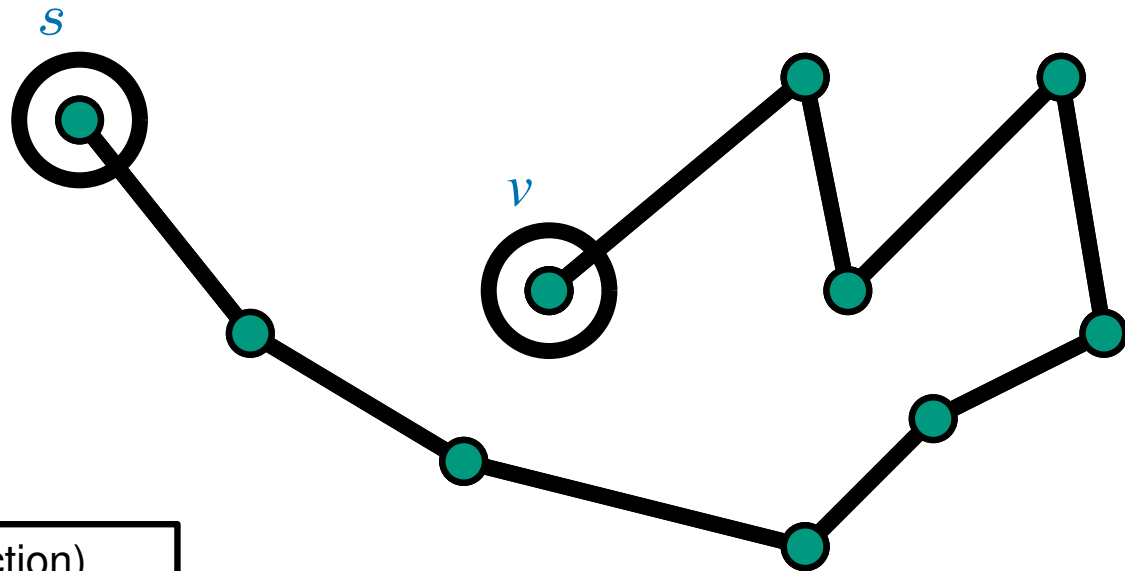
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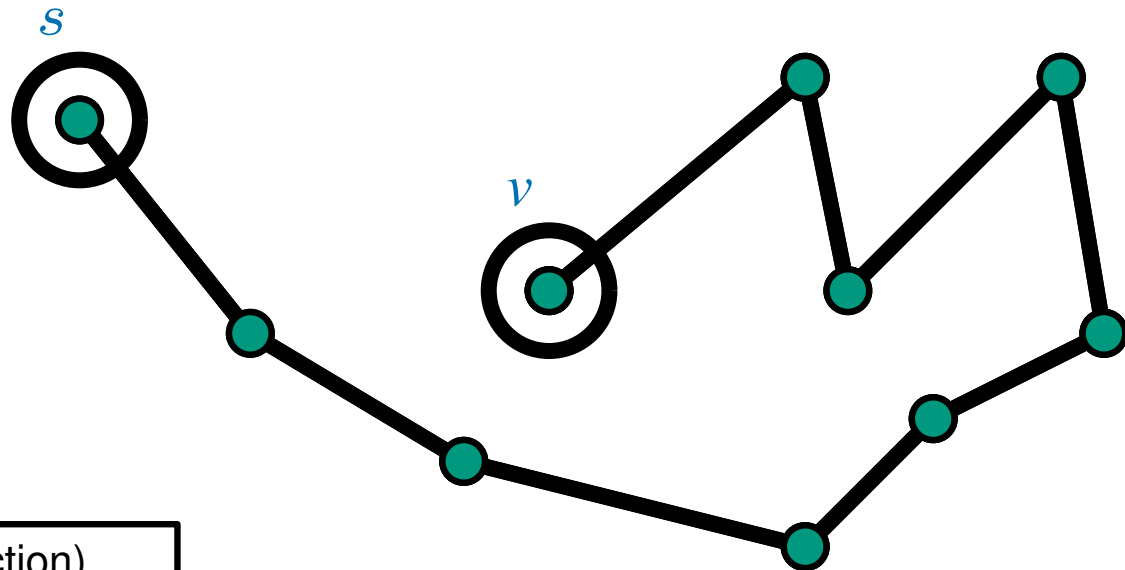
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*The correctness doesn't depend  
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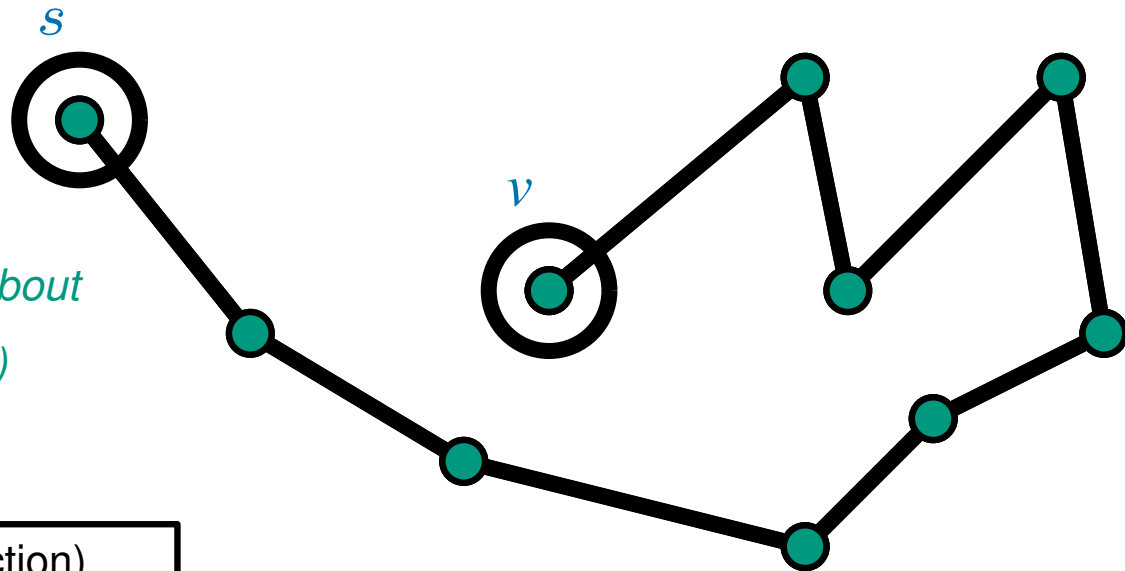
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*(but this doesn't tell you anything about  
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# Exploring a graph

Questions:

1. *Does TRAVERSE always stop?*
2. *Does it always visit every vertex?*
3. *How fast is it? (in the worst case)*

Yes

Yes



# Exploring a graph

## Assumption

bag operations  
take  $O(1)$  time

*(we'll come back to this)*

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$V$  is the set of vertices  
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## Exploring a graph

$E$  is the set of edges  
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$O(1)$  time every time we take from the bag

(store an array where  $\text{mark}[u] = 1$  iff  $u$  is marked)

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## Exploring a graph

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Time complexity:

$O(1)$  time every time we take from the bag

(store an array where  $\text{mark}[u] = 1$  iff  $u$  is marked)

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$V$  is the set of vertices  
 $|V|$  is the number of vertices

## Exploring a graph

$E$  is the set of edges  
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### Assumption

bag operations  
 take  $O(1)$  time

(we'll come back to this)

Questions:

1. Does TRAVERSE *always* stop?
2. Does it always visit every vertex?
3. How fast is it? (in the worst case)

Yes

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TRAVERSE( $s$ )

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What if we had used an  
 adjacency matrix?

	1	2	3	4	5
1	0	1	1	0	0
2	1	0	1	1	1
3	1	1	0	1	1
4	0	1	1	0	1
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finding all edges leaving  $u$  would have taken  $O(|V|)$  time

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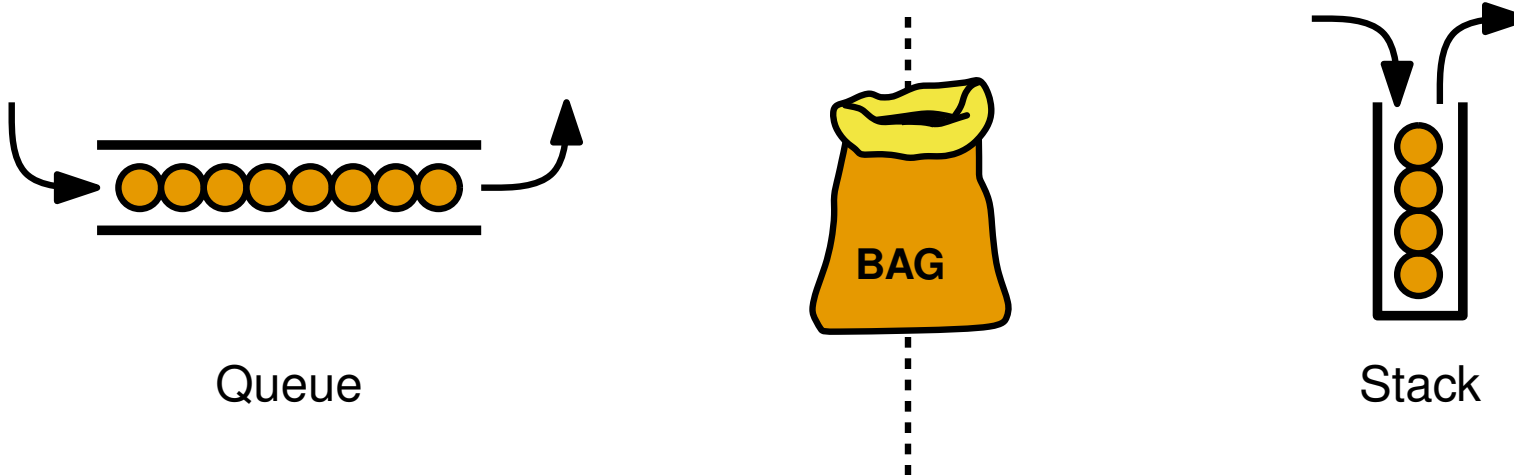
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finding all edges leaving  $u$  would have taken  $O(|V|)$  time

overall, the time complexity would have been  $O(|V|^2)$  instead of  $O(|E|)$ .



# How should we implement the bag?



Operations take  $O(1)$  time

TRAVERSE visits every **vertex** in a connected graph in  $O(|E|)$  time

*but in different orders with different types of bag*

with a Queue the algorithm is called

**Breadth First Search**

## Applications

**Shortest paths in unweighted graphs**

Max-Flow

Testing whether a graph is bipartite

with a Stack the algorithm is called

**Depth First Search**

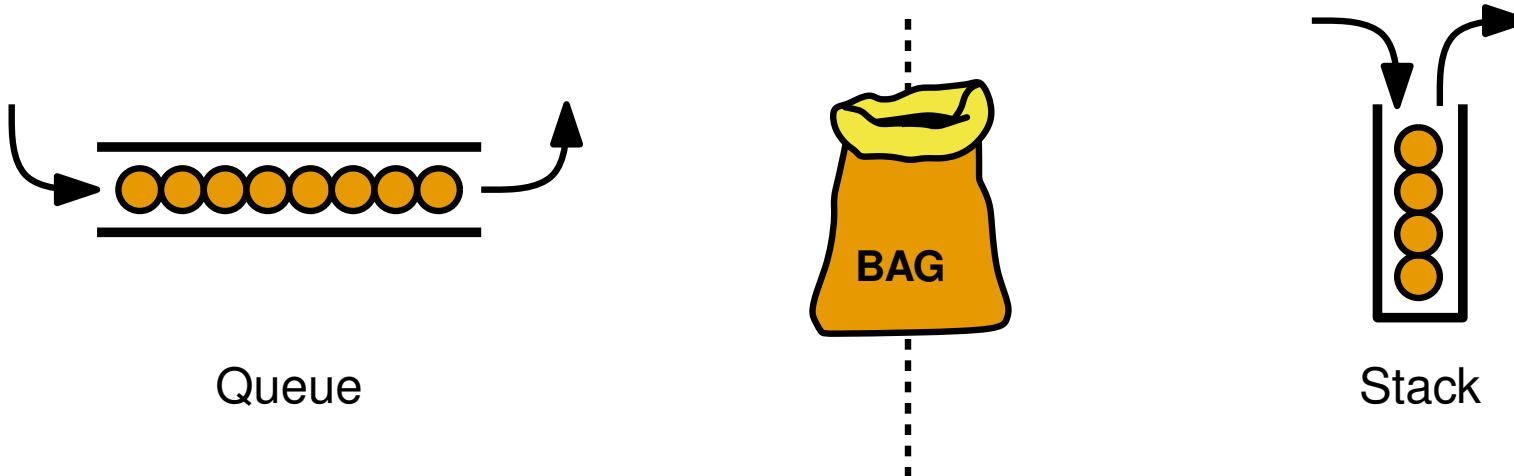
## Applications

Finding (strongly) connected components

Topologically sorting a Directed Acyclic Graph

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# How should we implement the bag?



Operations take  $O(1)$  time

TRAVERSE visits every **vertex** in a connected graph in  $O(|E|)$  time

*but in different orders with different types of bag*

(and it works for directed graphs too)

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**Shortest paths in unweighted graphs**

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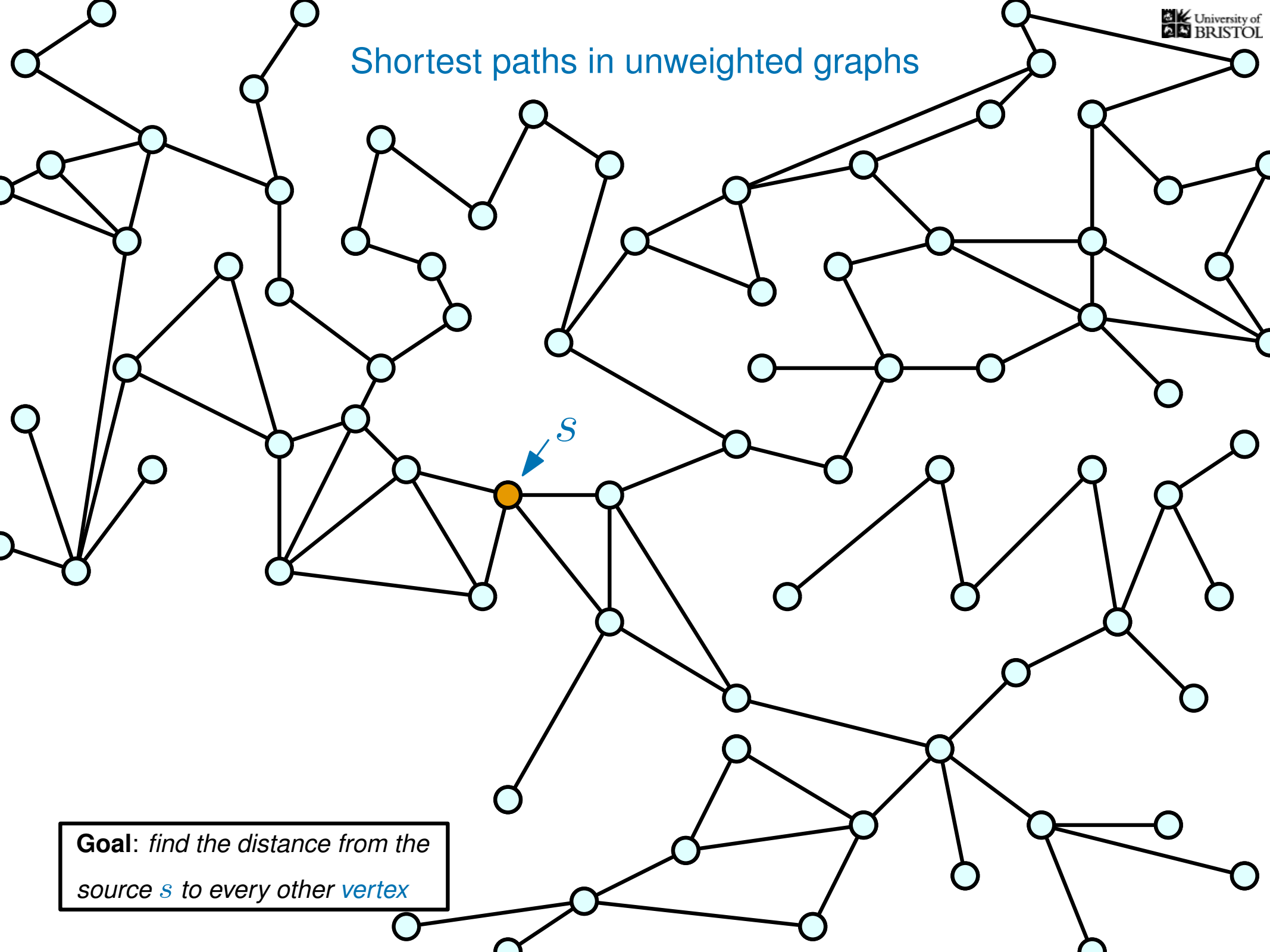
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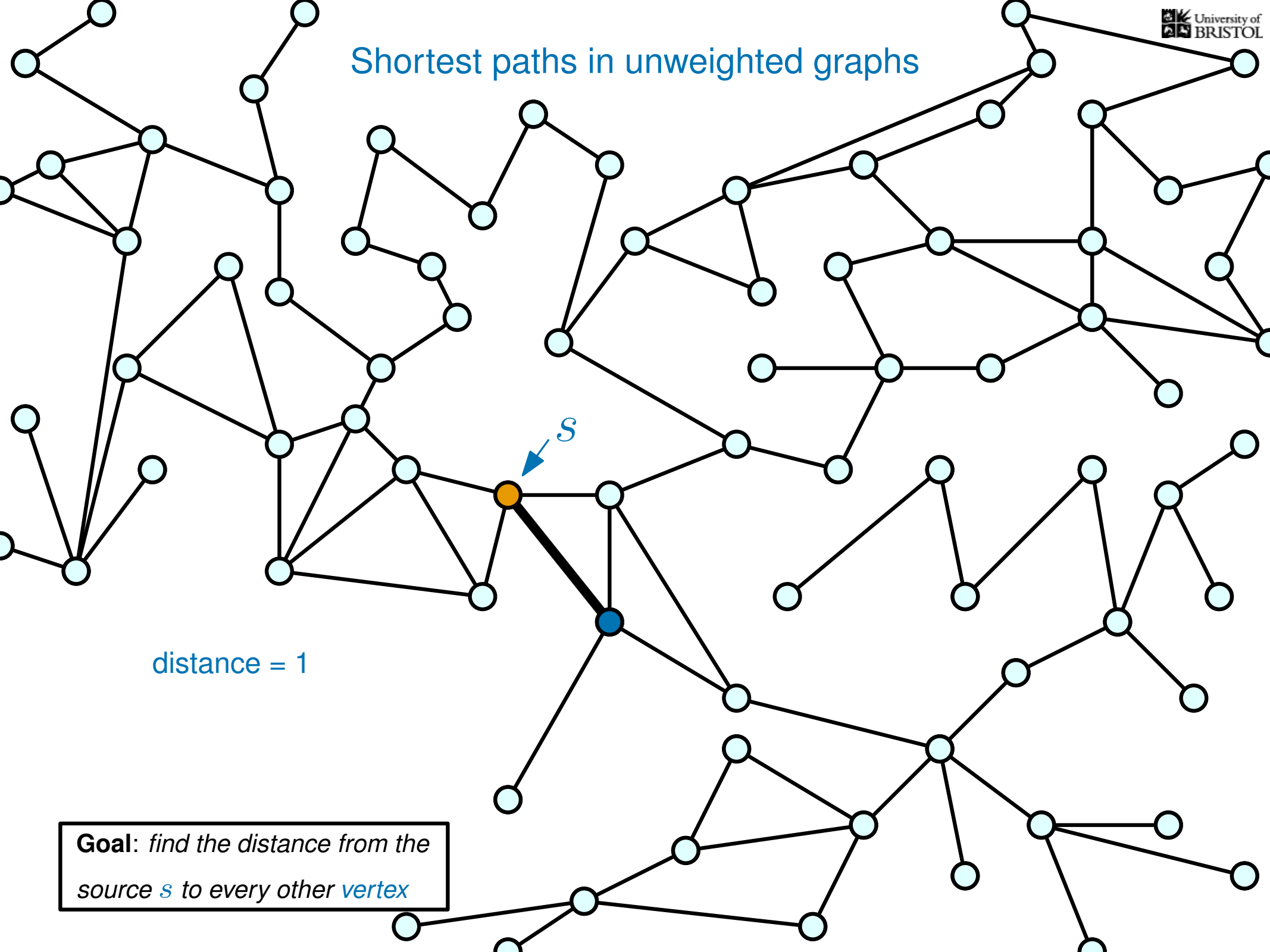
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# Shortest paths in unweighted graphs

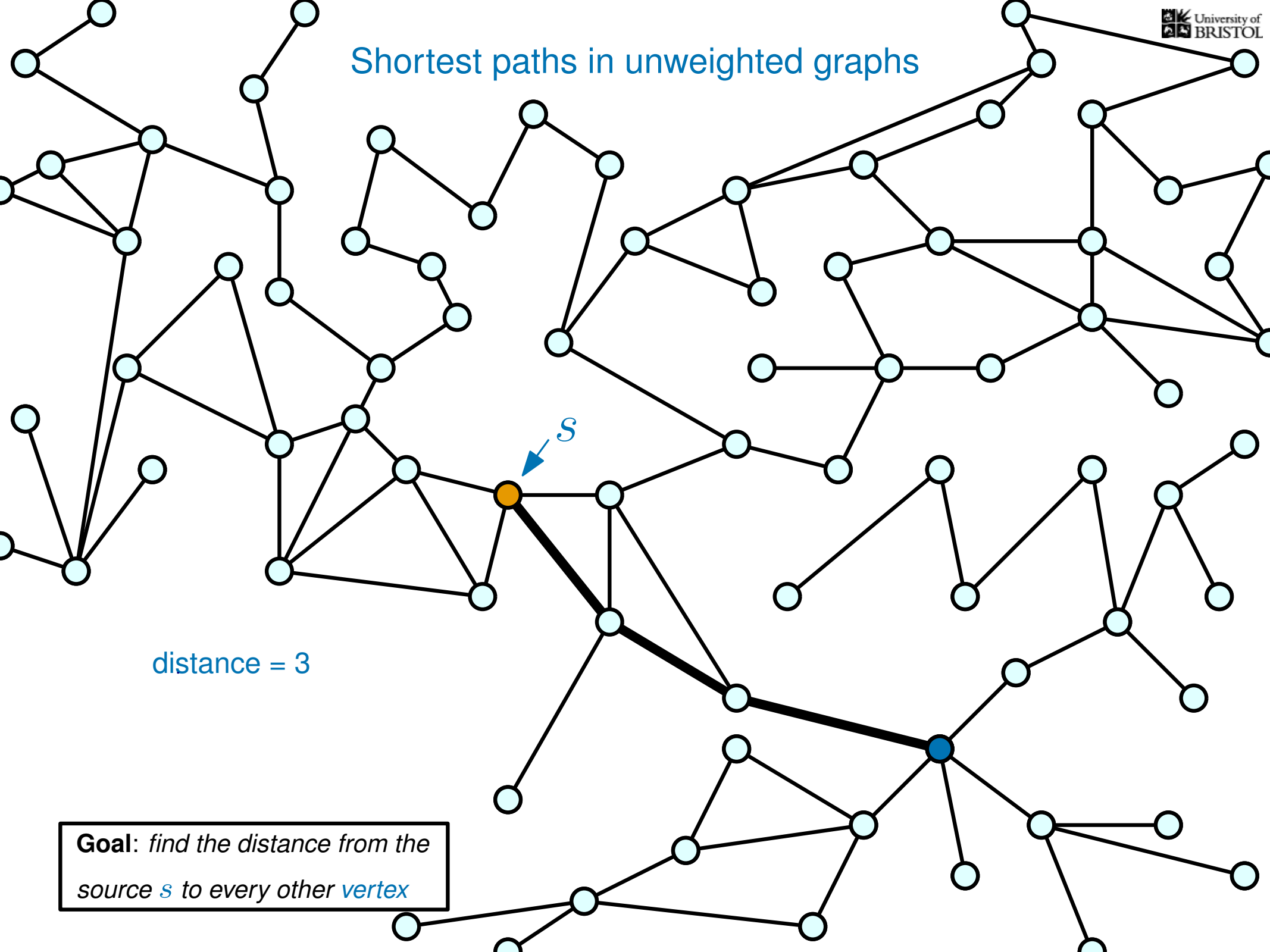


**Goal:** find the distance from the source  $s$  to every other vertex

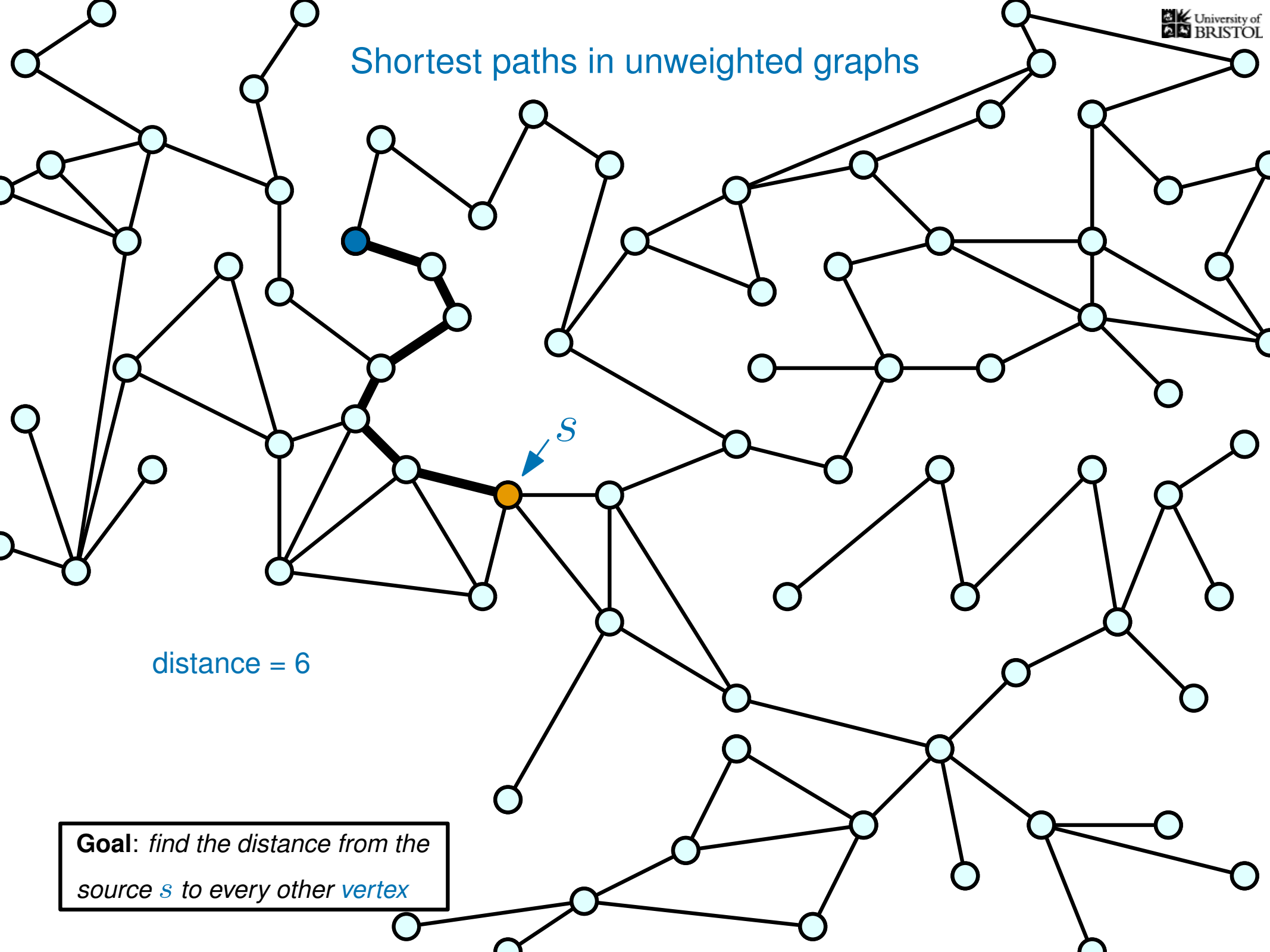
## Shortest paths in unweighted graphs



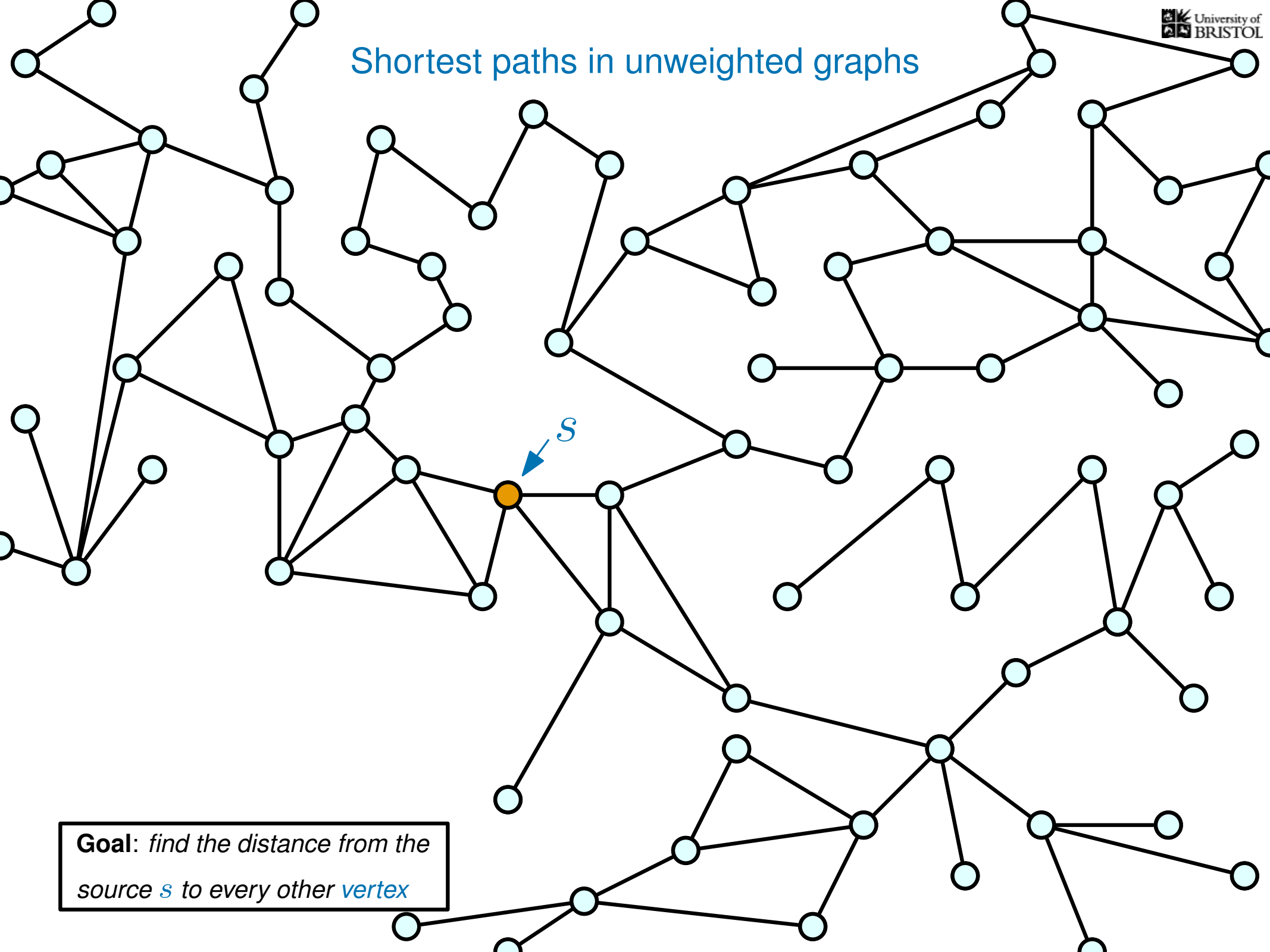
## Shortest paths in unweighted graphs



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# Shortest paths in unweighted graphs

TRAVERSE( $s$ ) - with a **queue**

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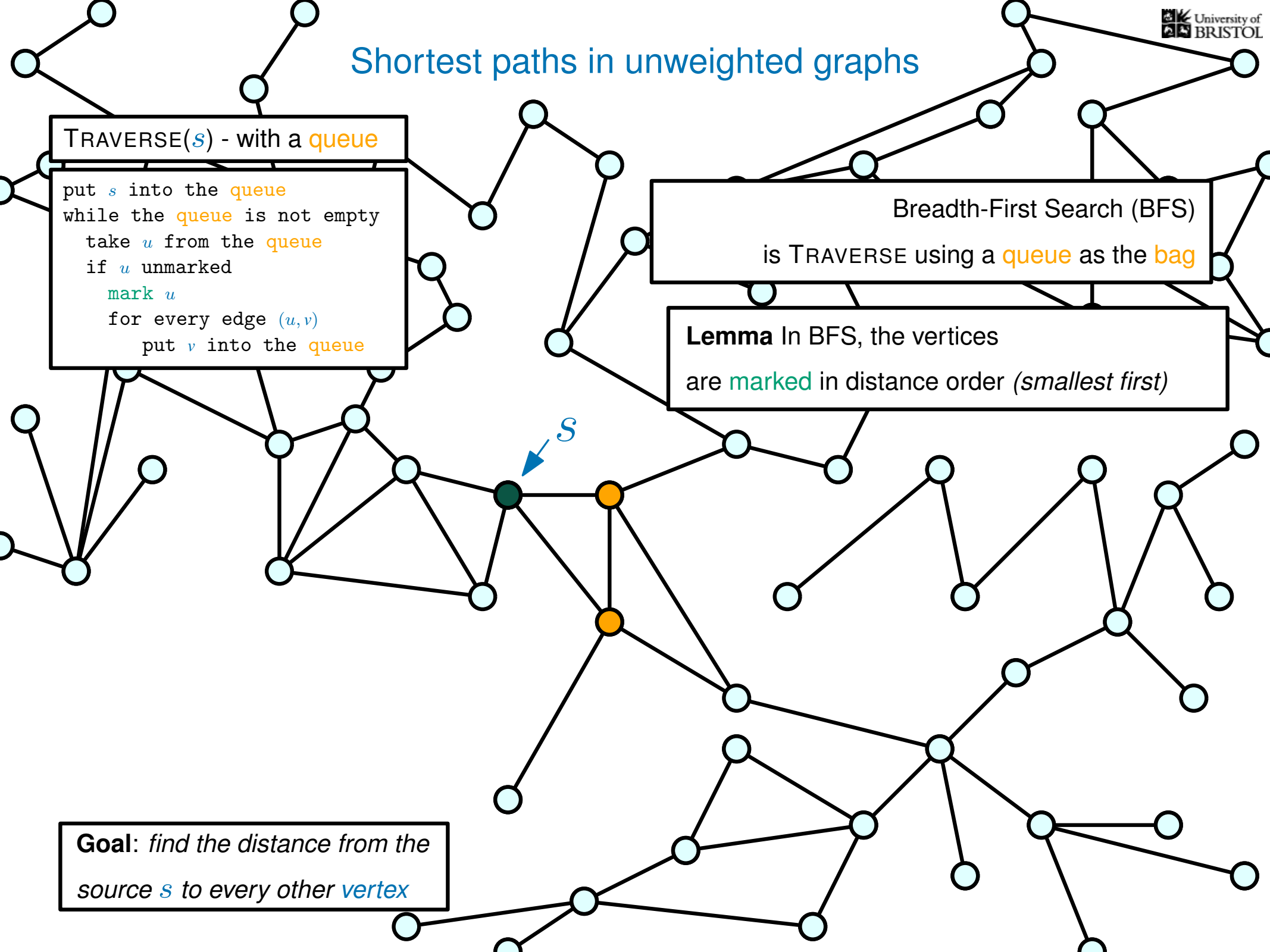
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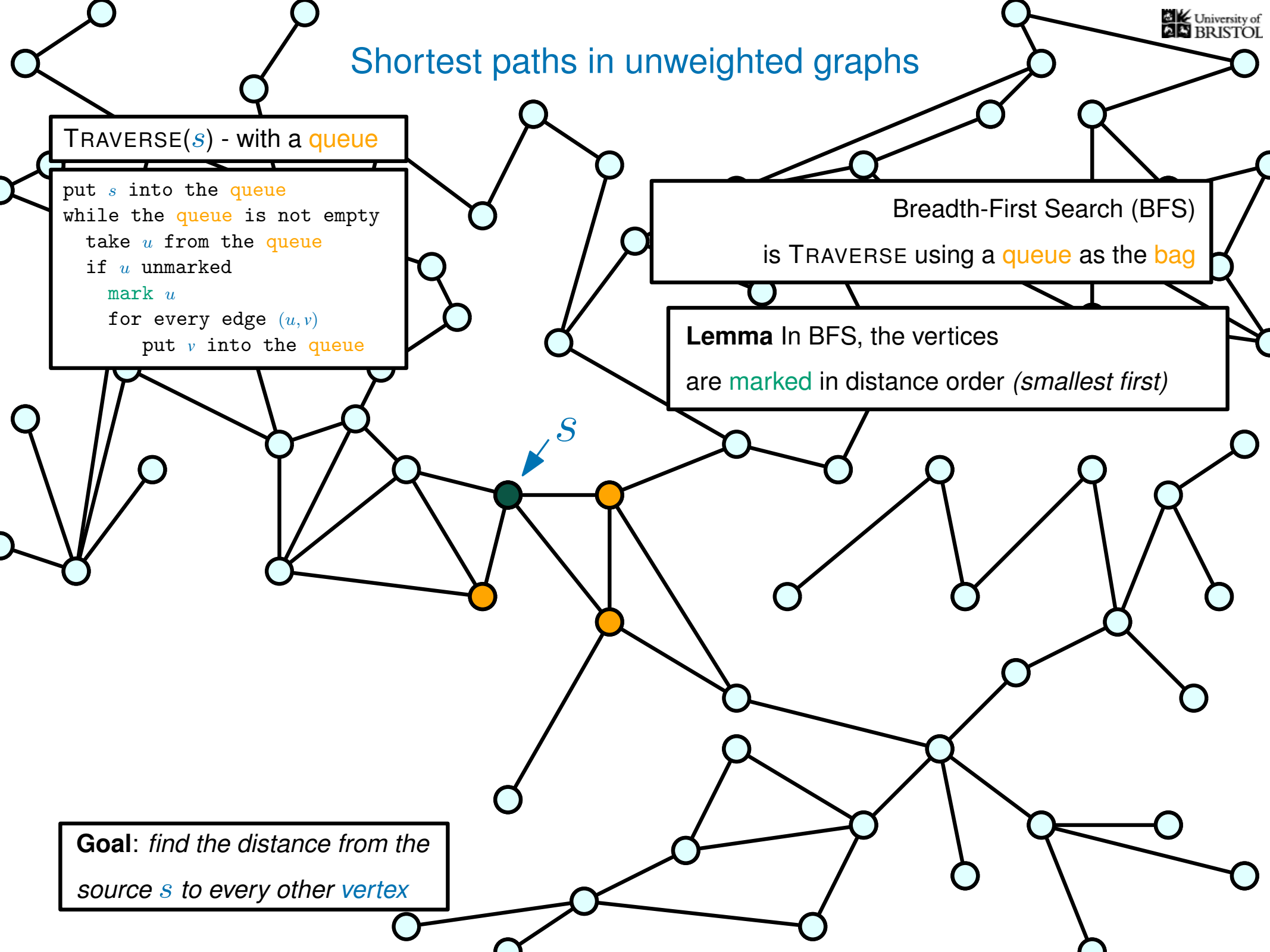
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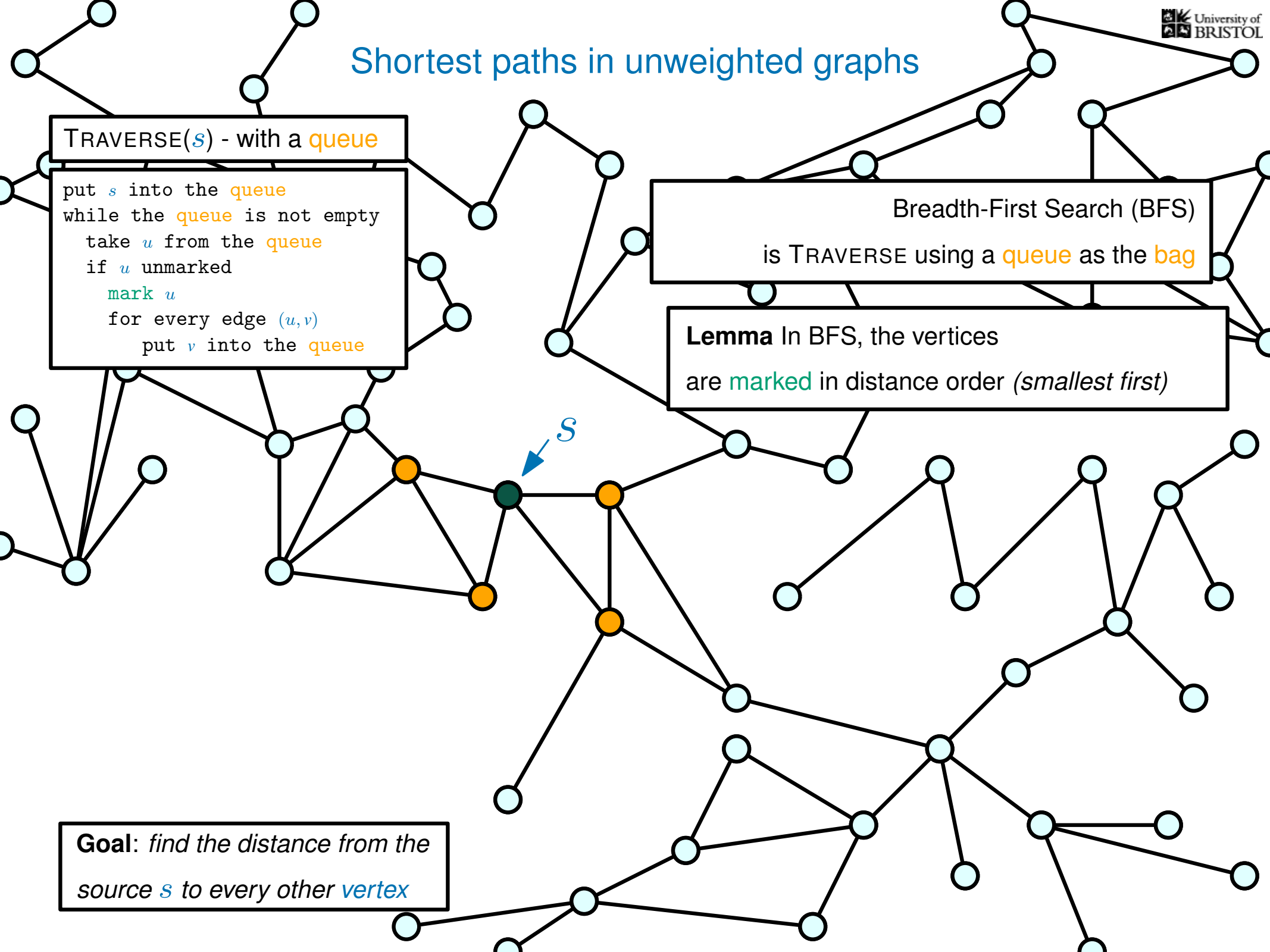
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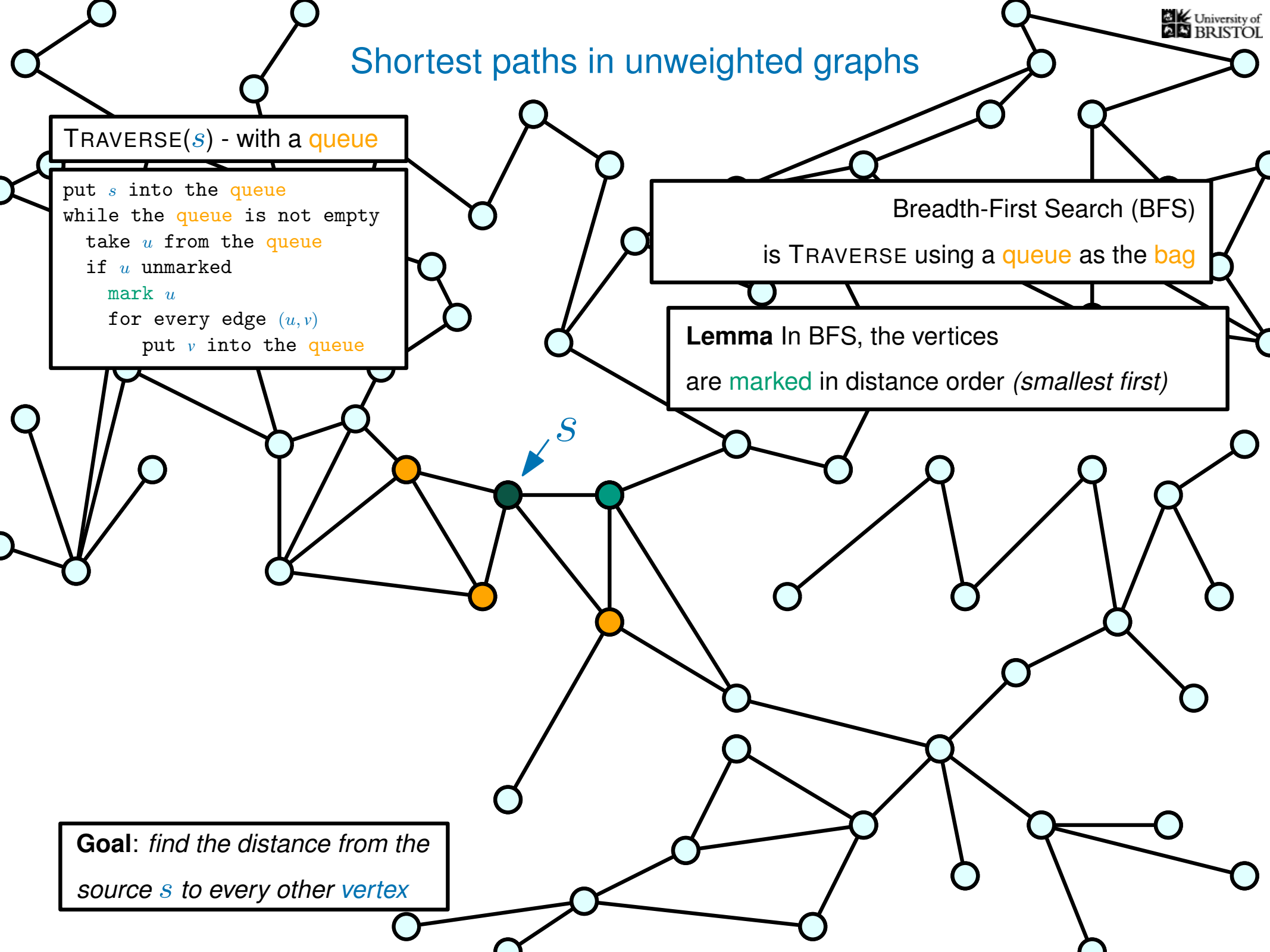
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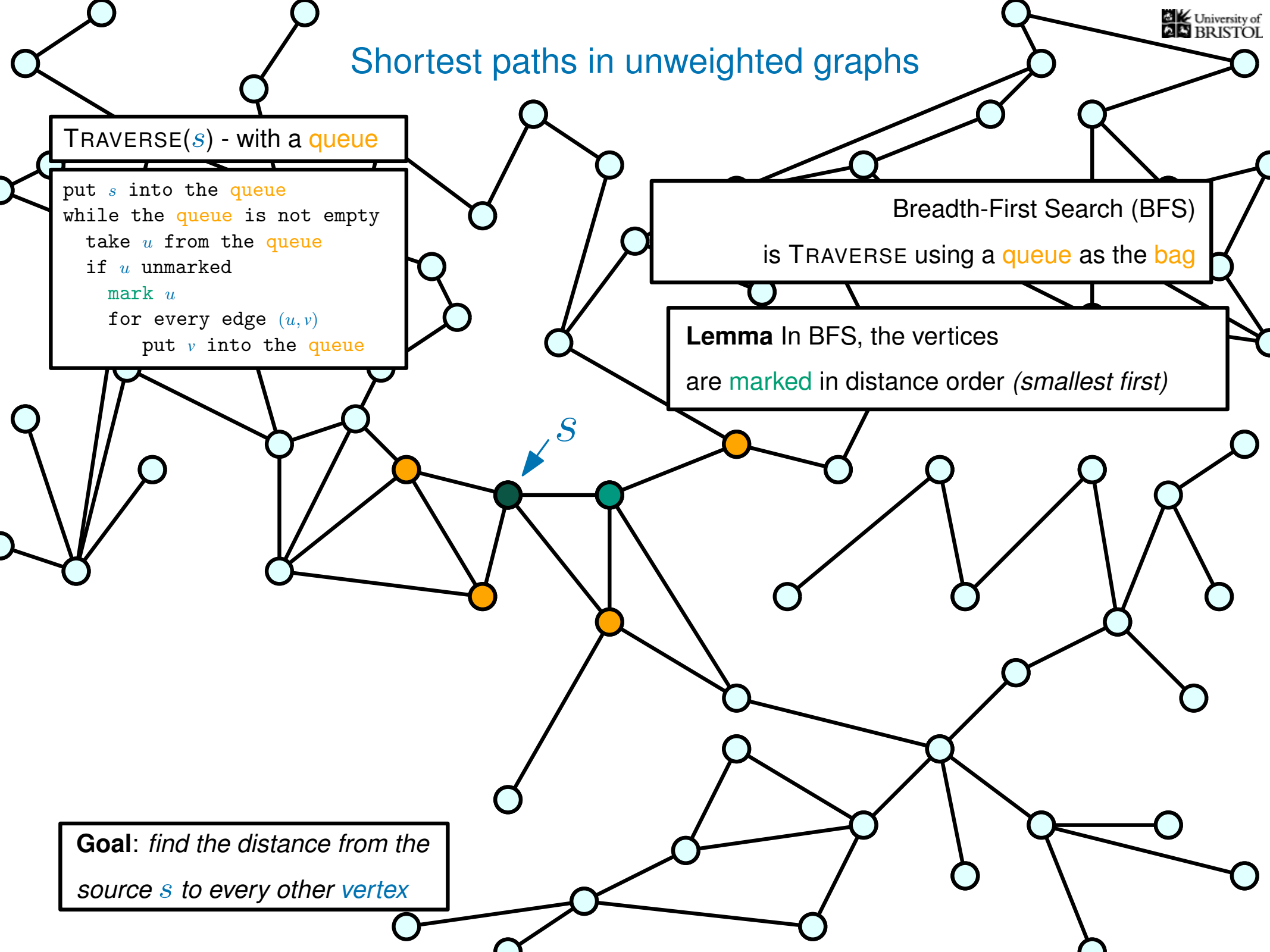
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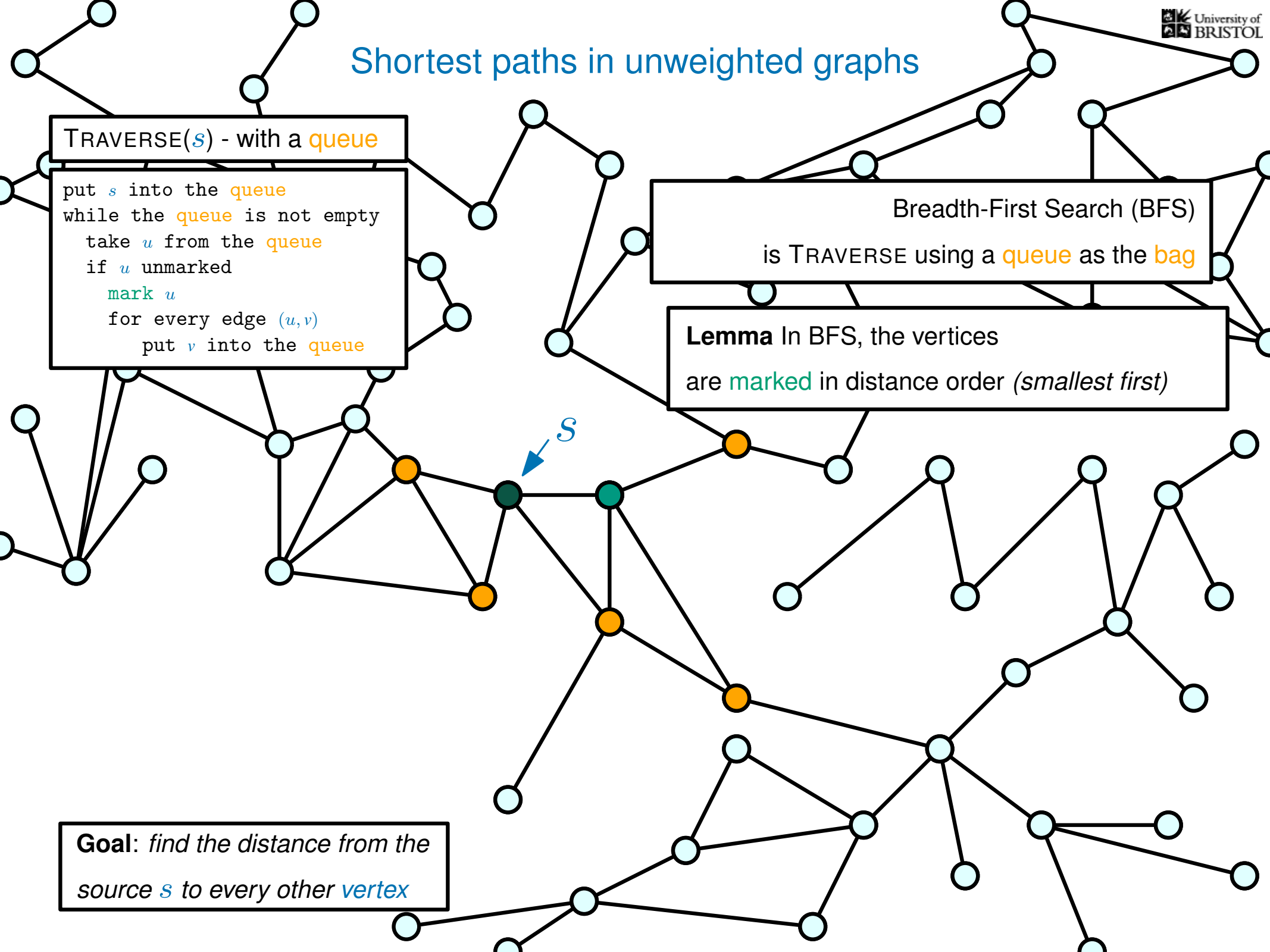
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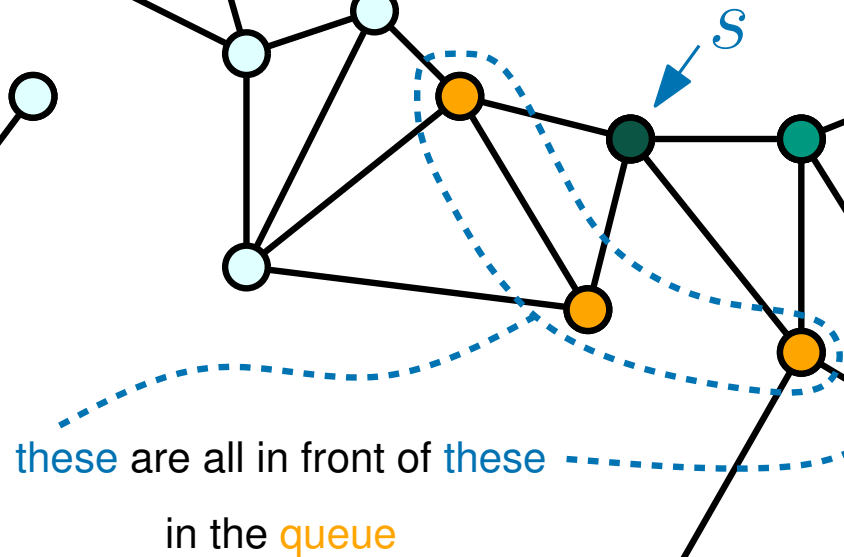
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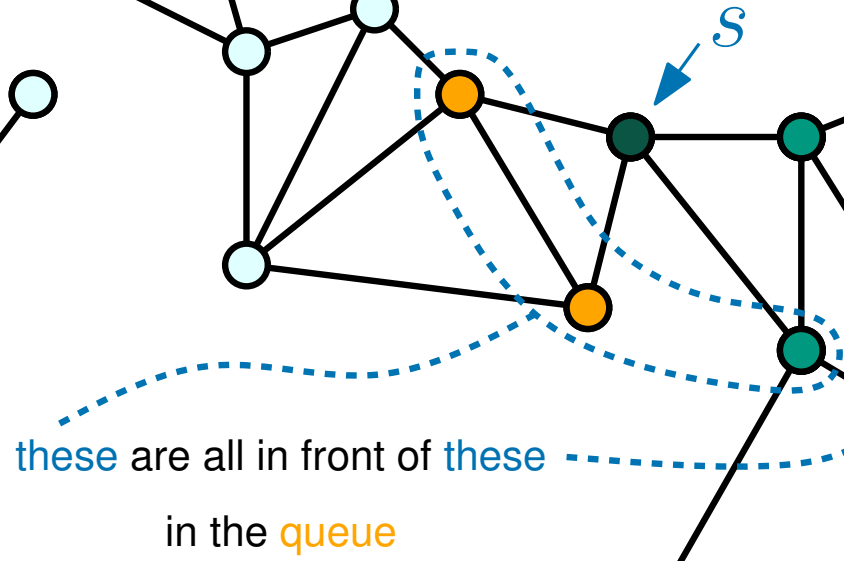
TRAVERSE(*s*) - with a queue

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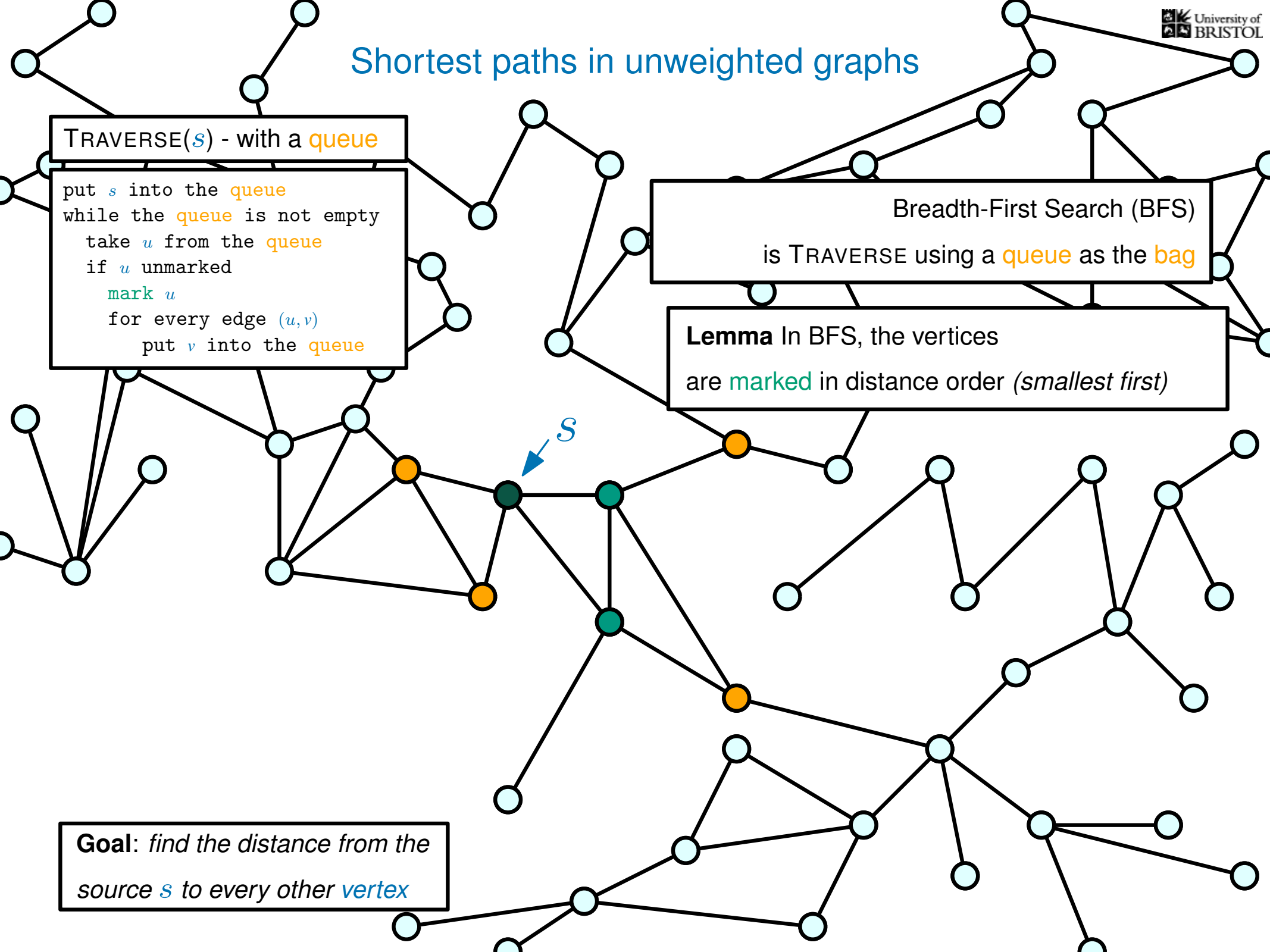
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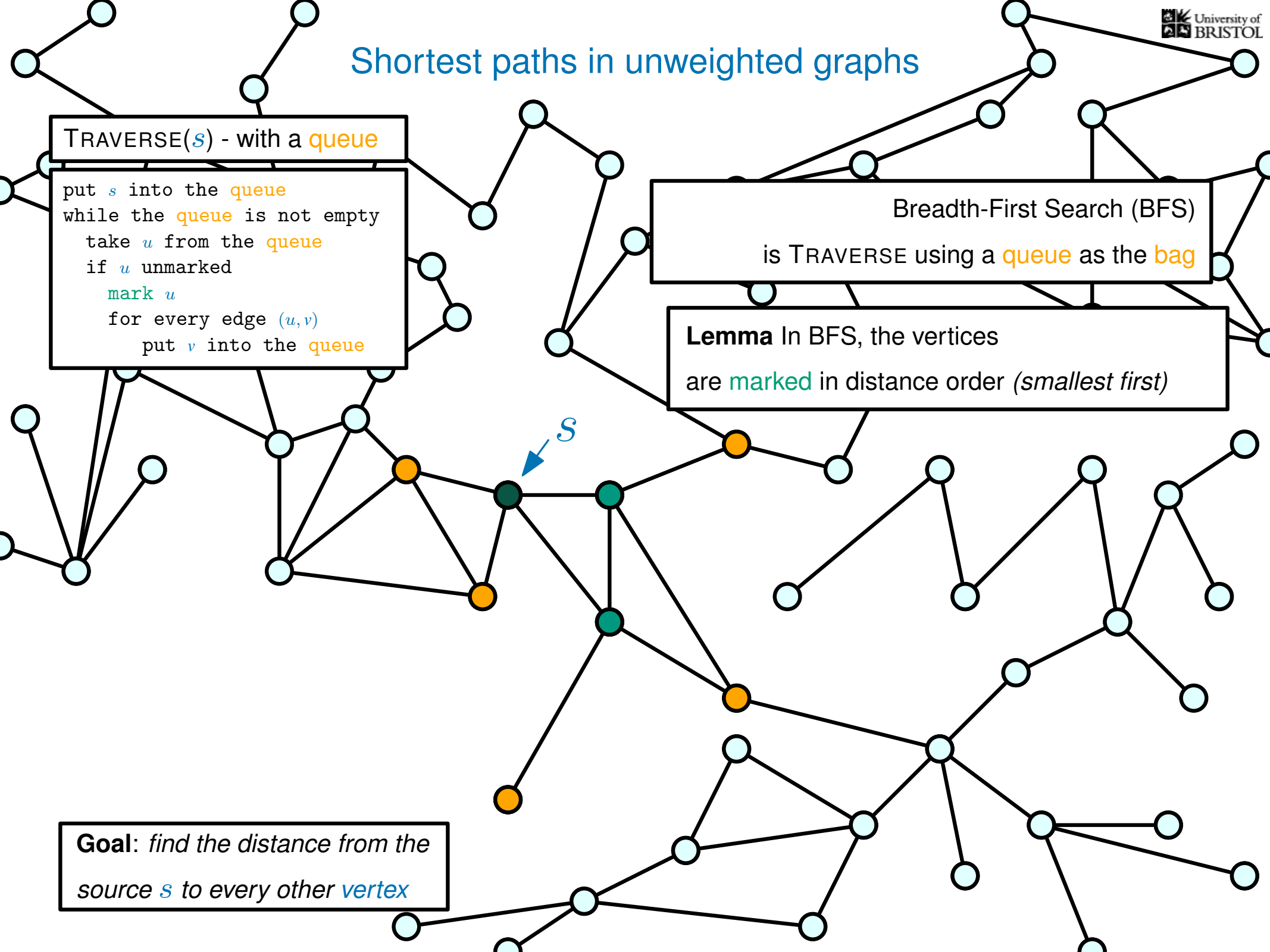
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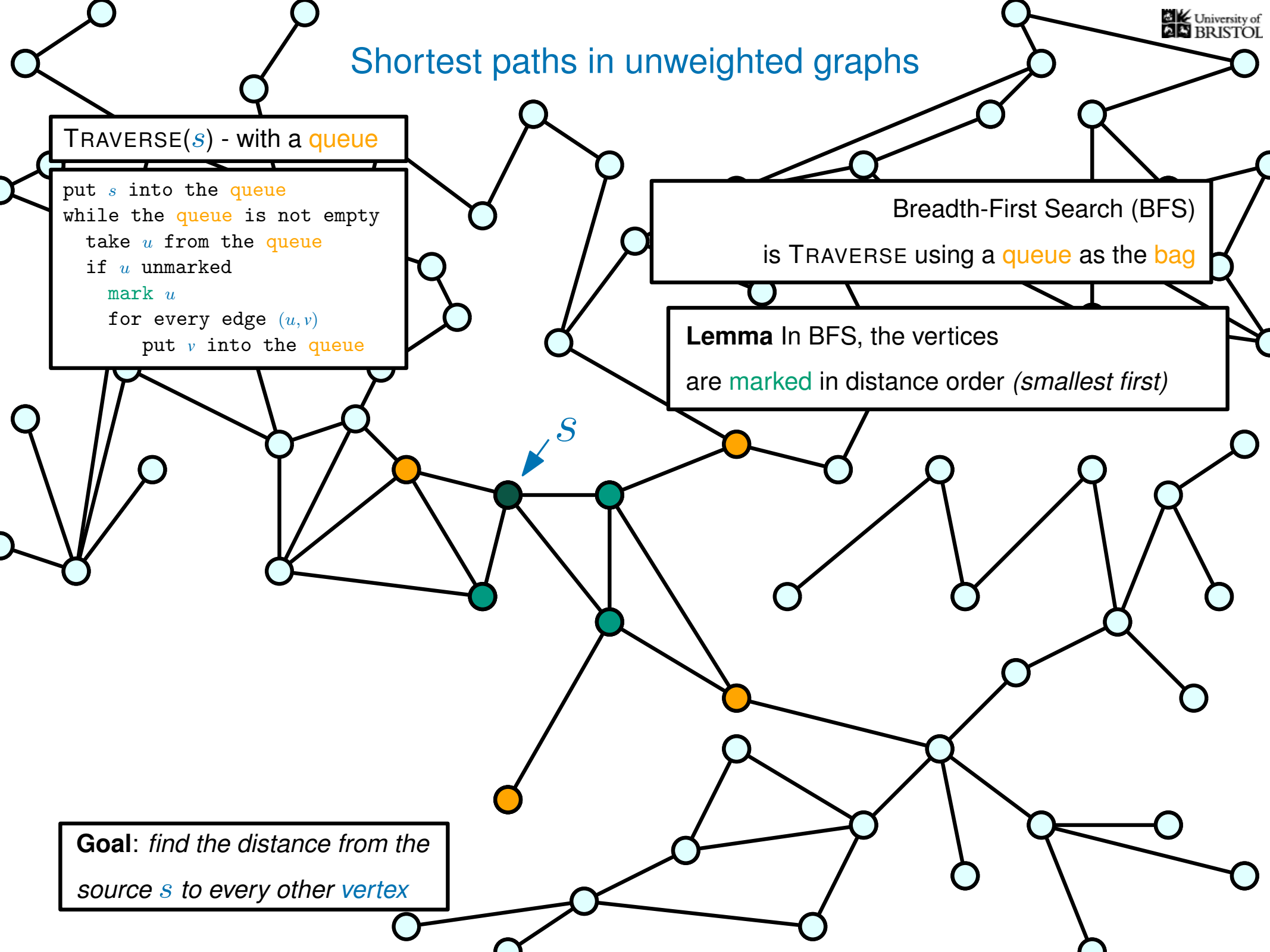
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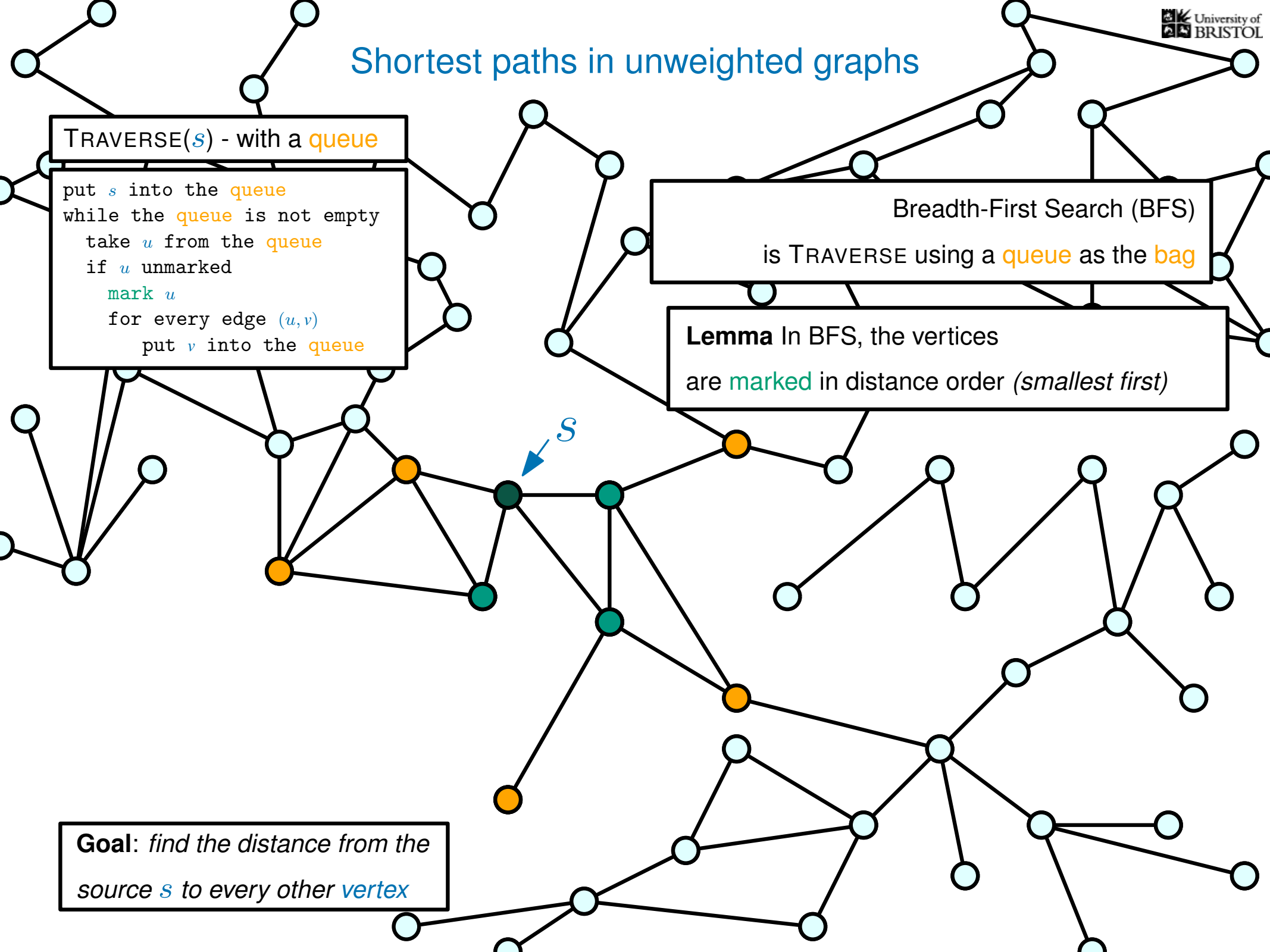
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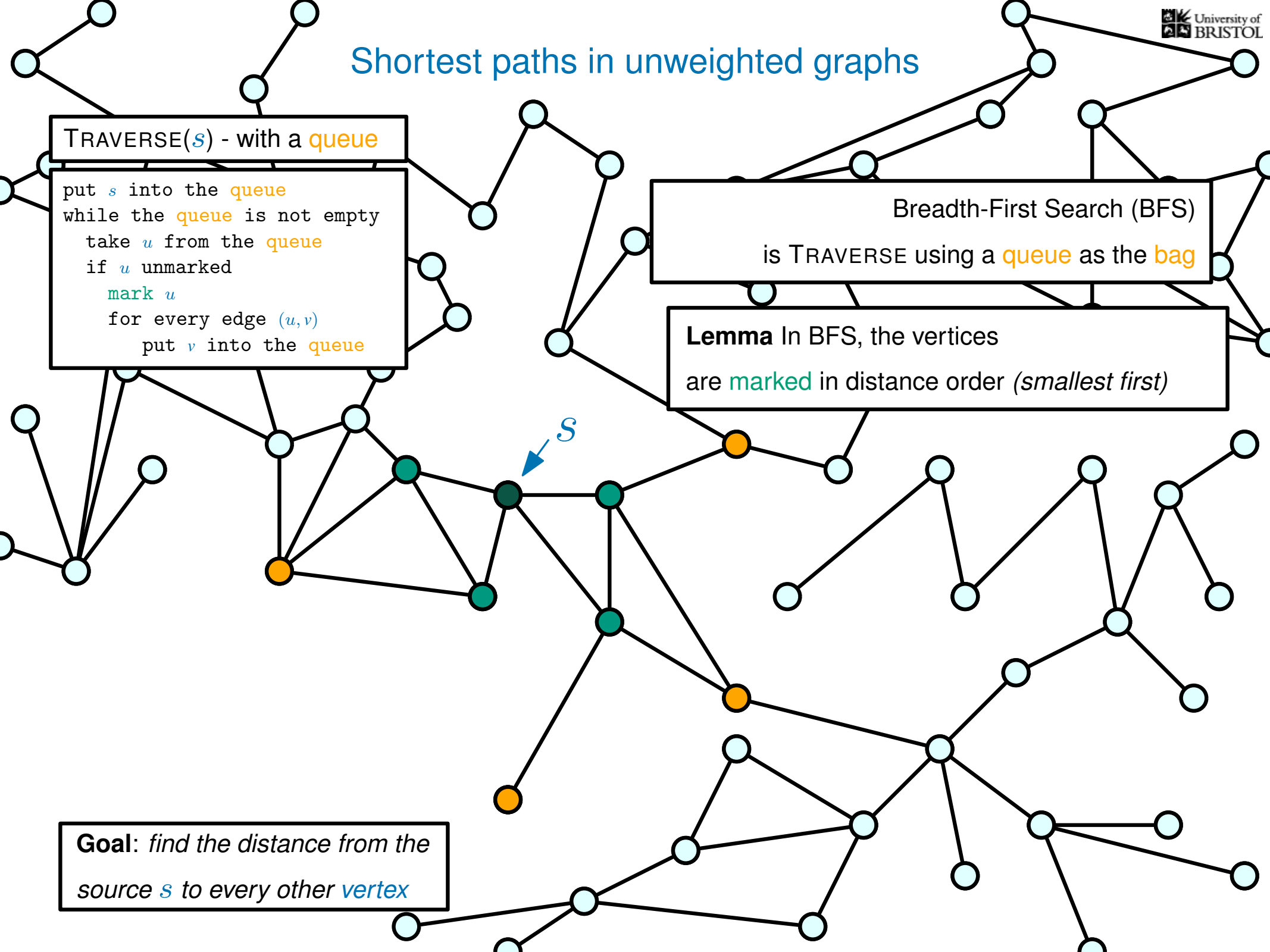
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**Lemma** In BFS, the vertices  
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**Goal:** find the distance from the  
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# Shortest paths in unweighted graphs

TRAVERSE( $s$ ) - with a **queue**

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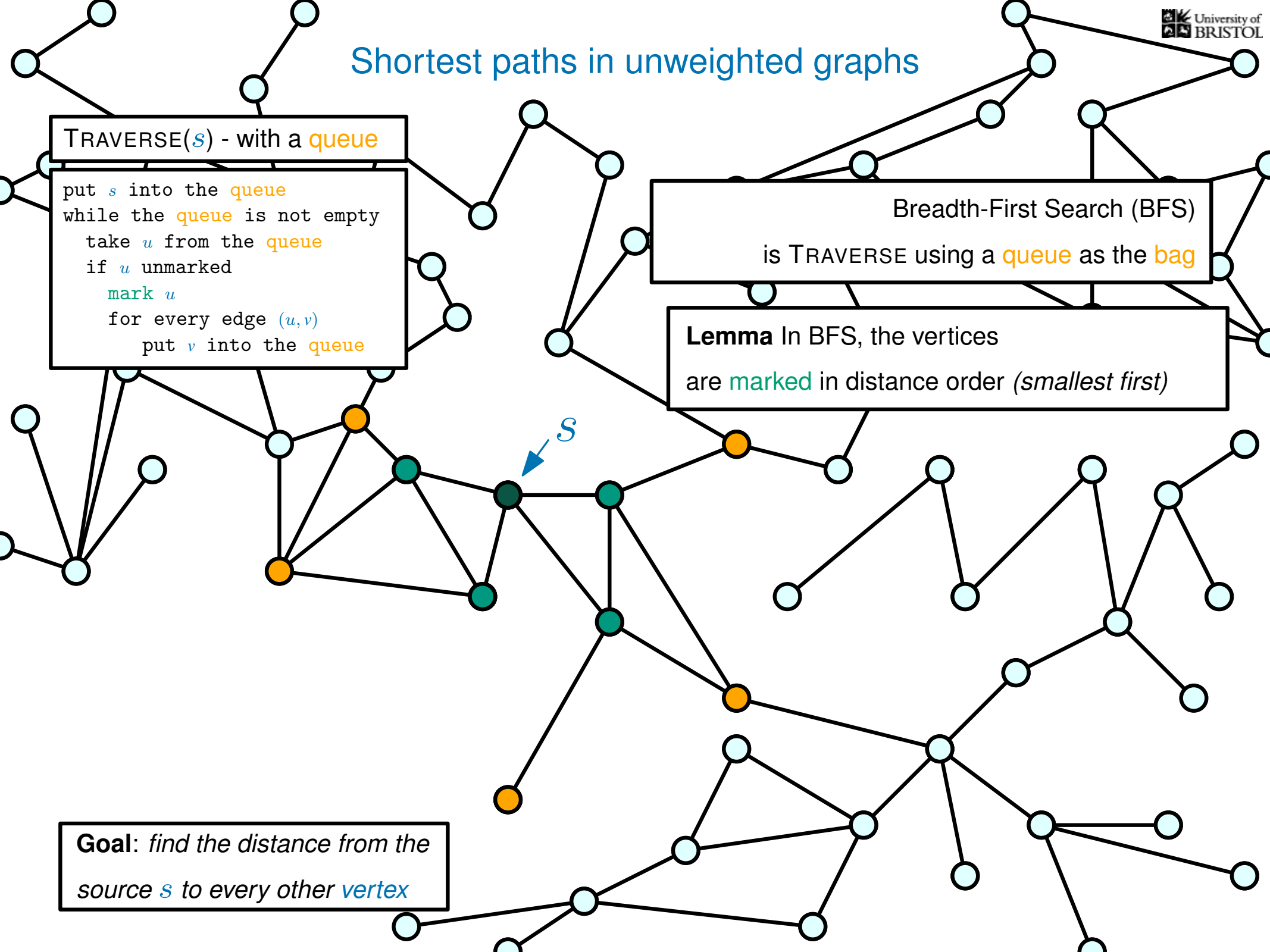
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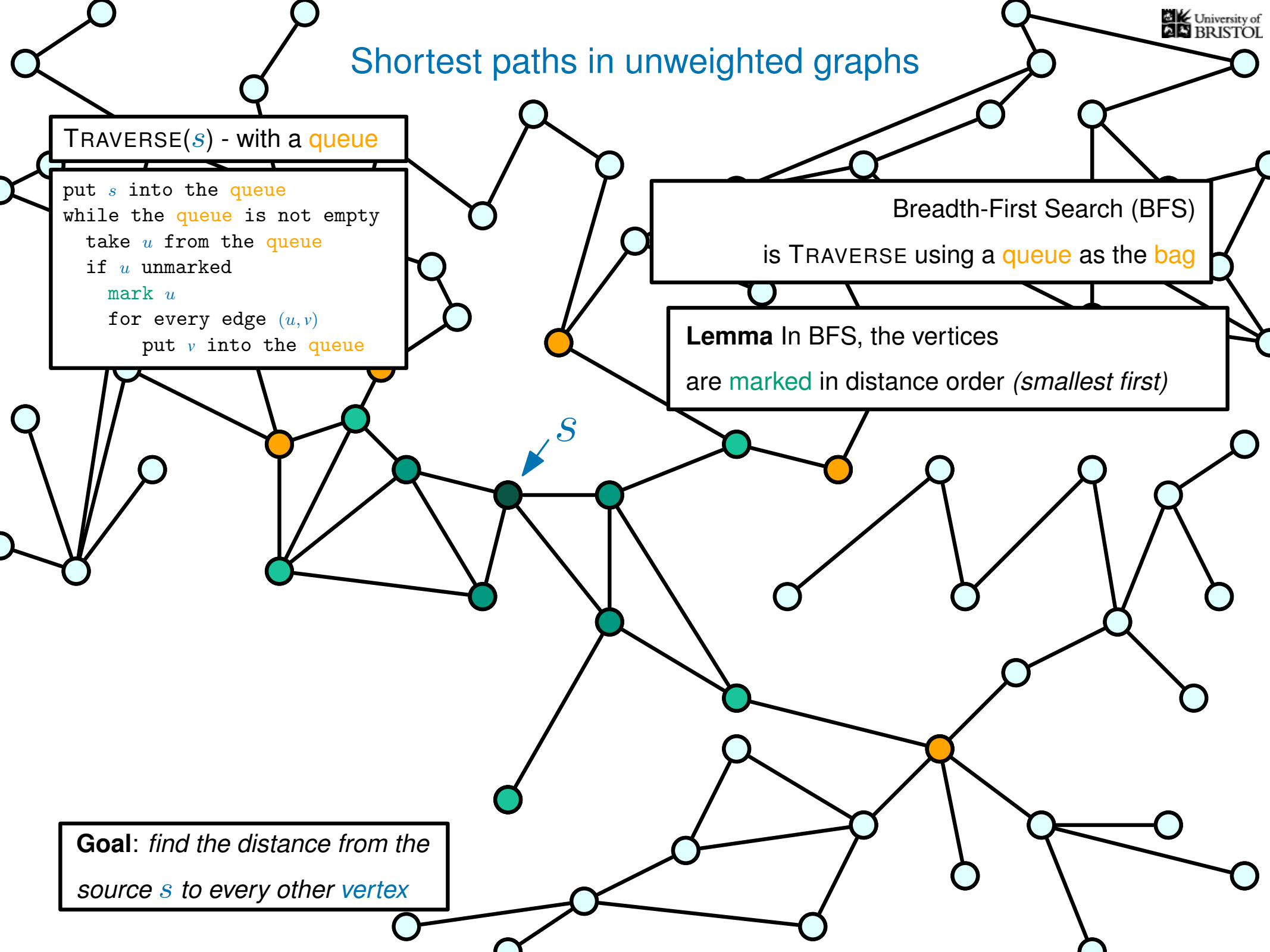
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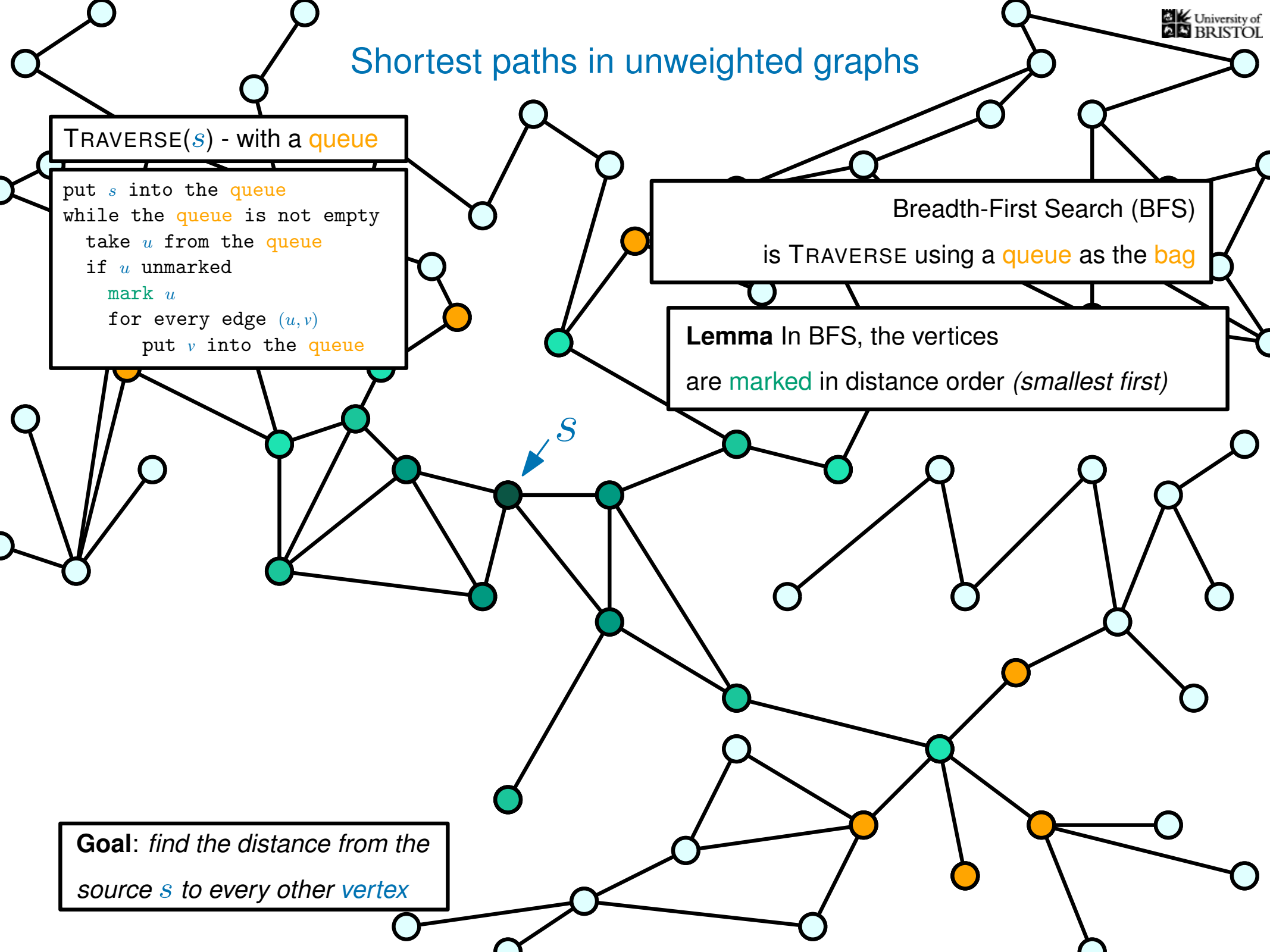
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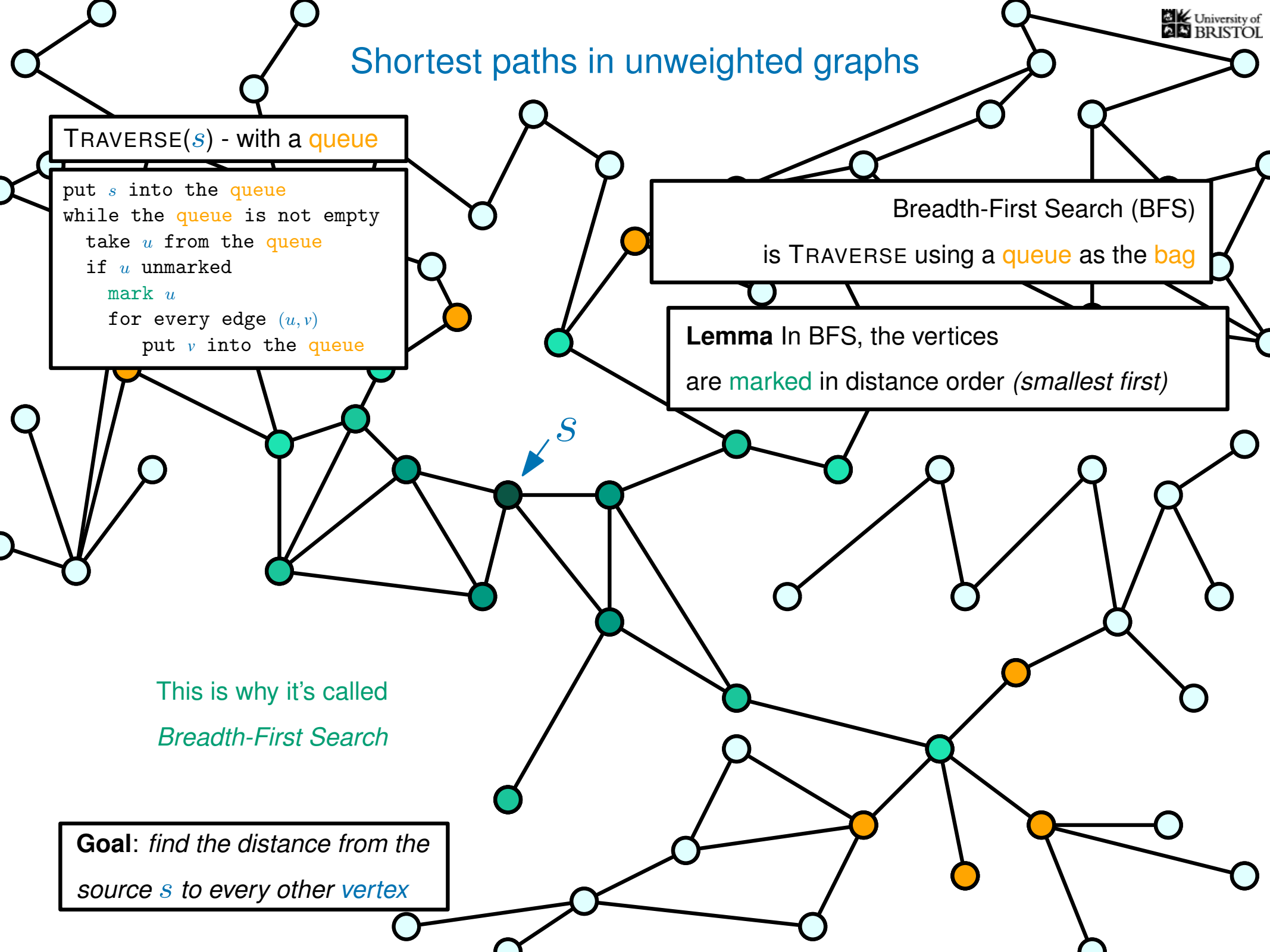
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Therefore, all vertices at distance  $i$  will be marked before any vertex at distance  $> i$

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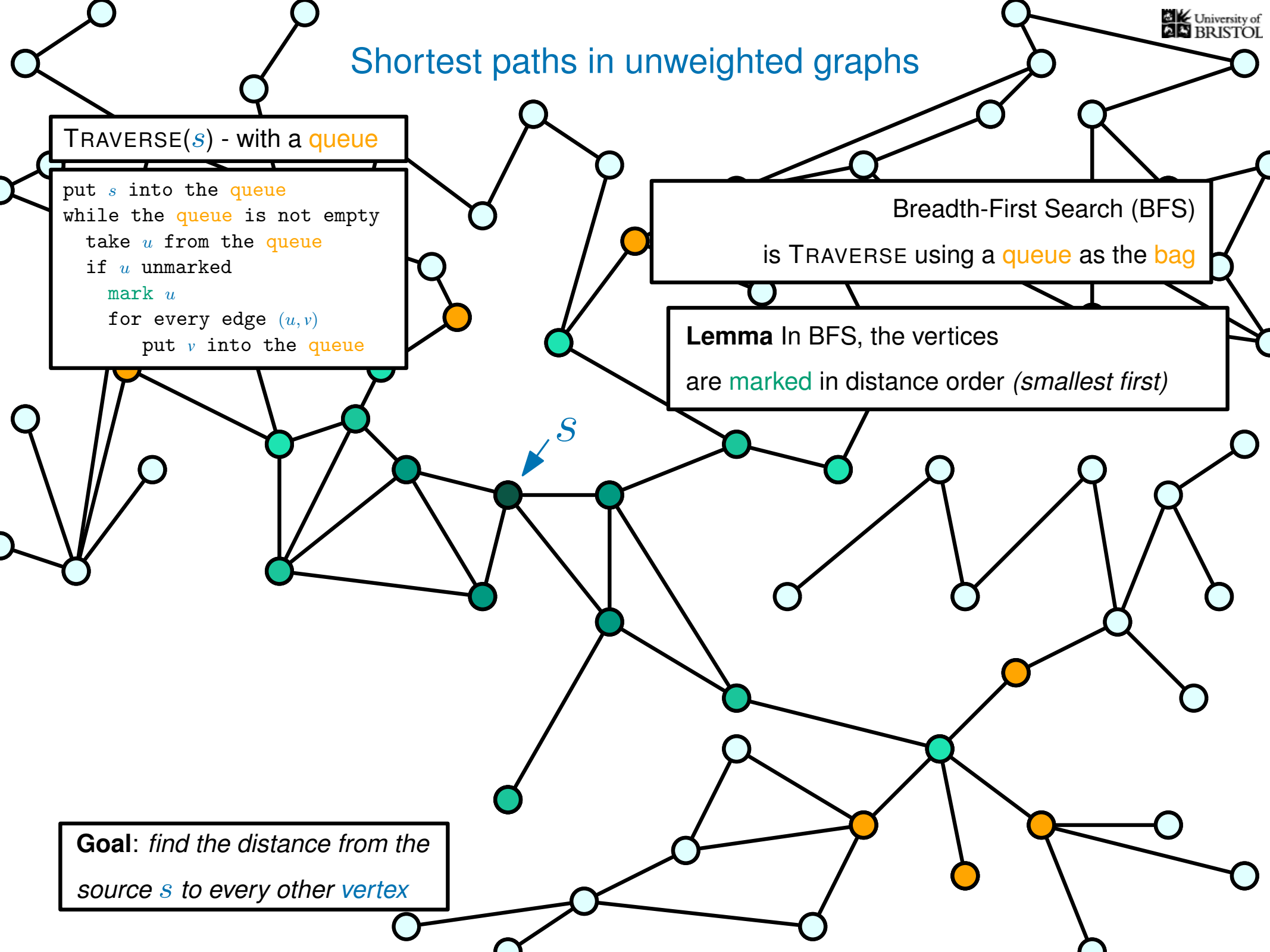
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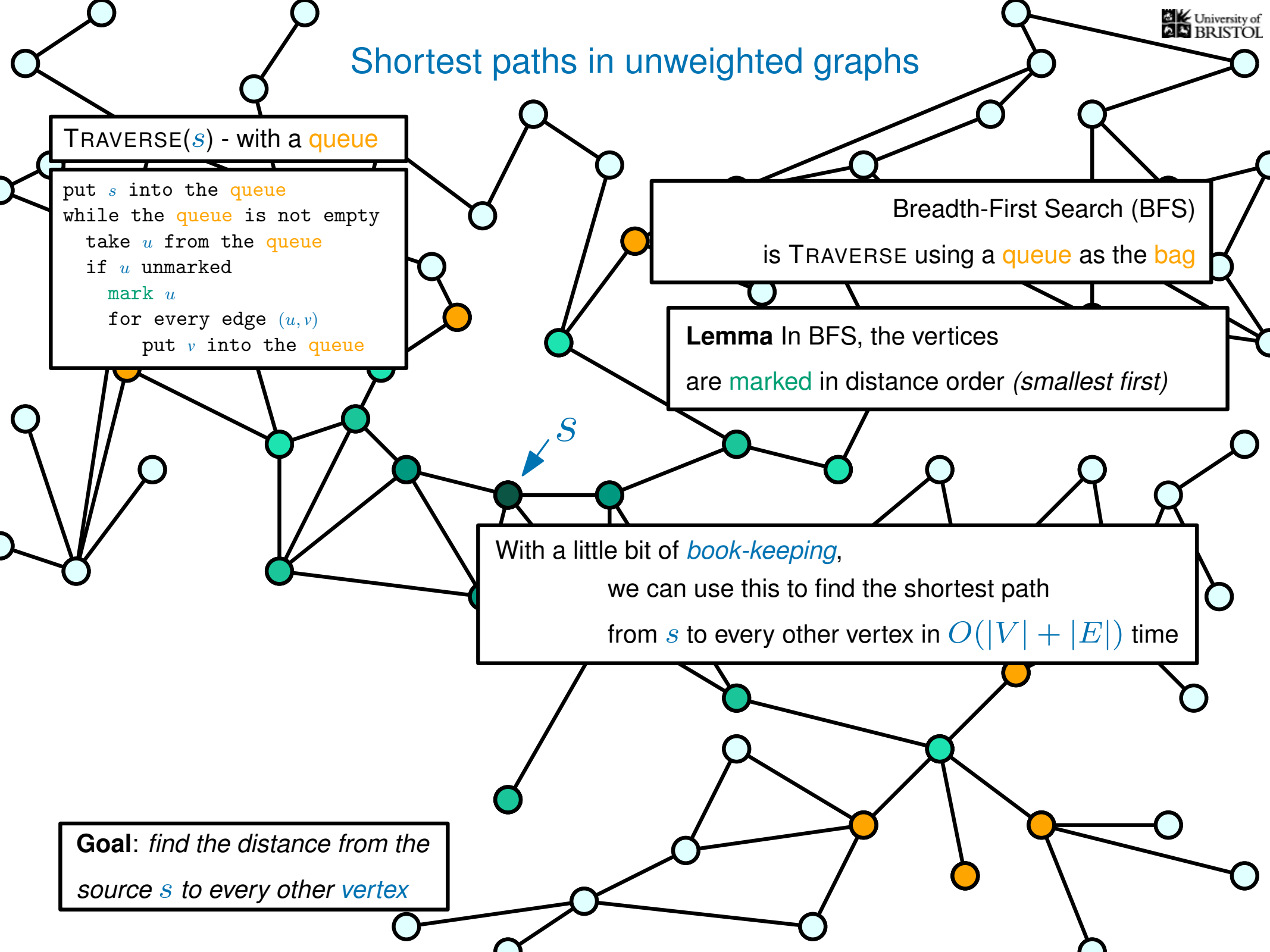
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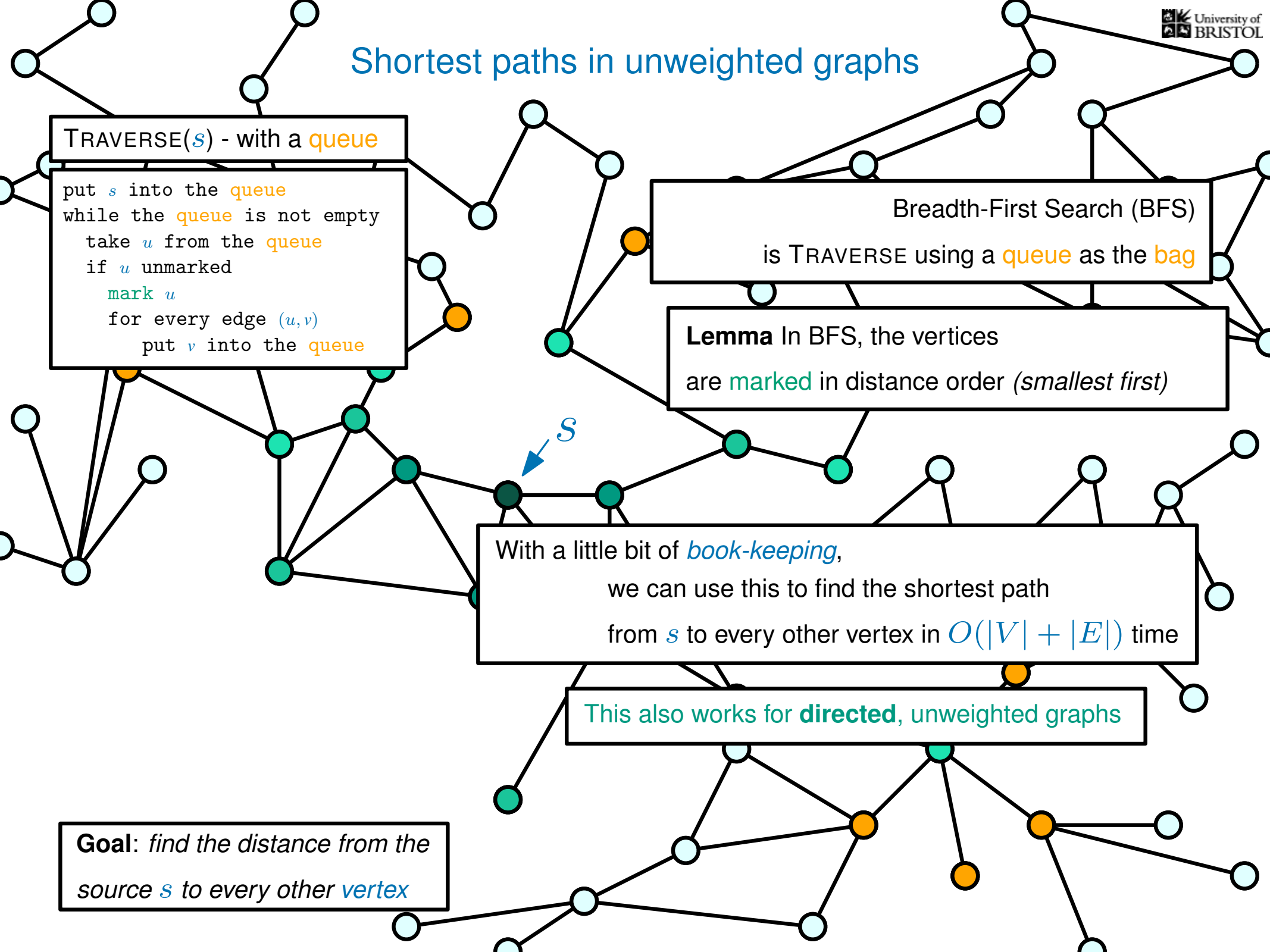
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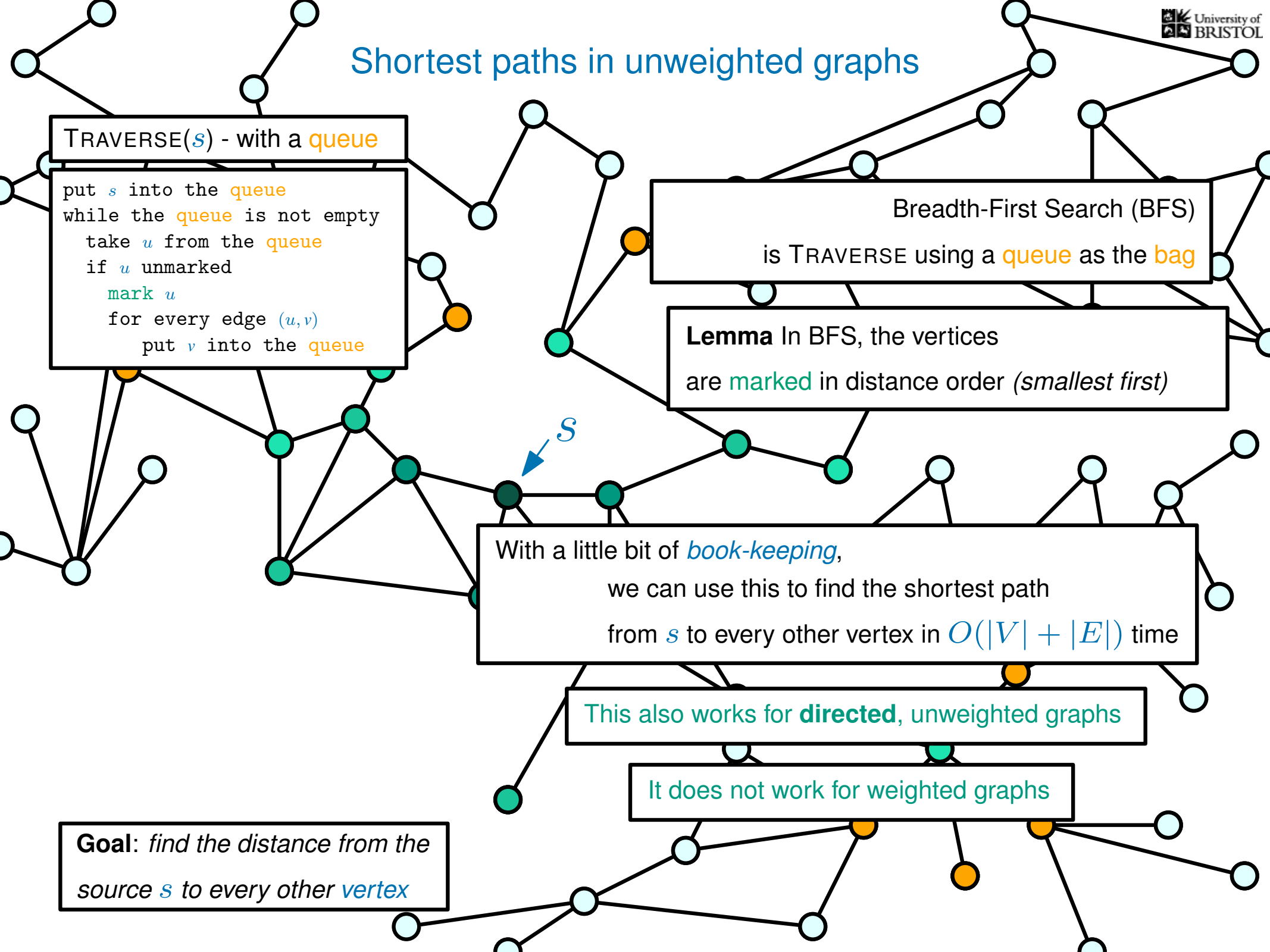
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# Shortest Paths using BFS

We've added four (NEW!) lines to TRAVERSE  
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BFS( $s$ )

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so the overall complexity is  $O(|V| + |E|)$

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**Correctness sketch:** (using the Lemma)

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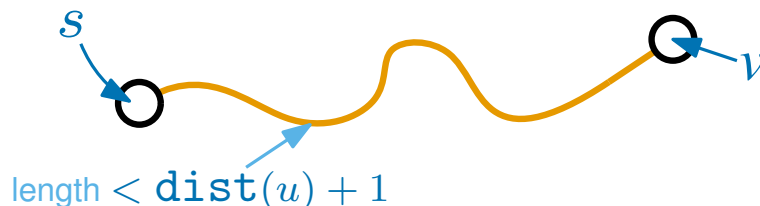
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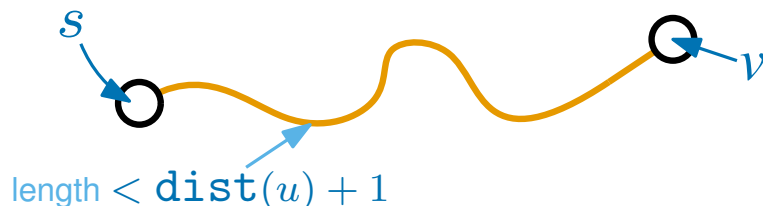
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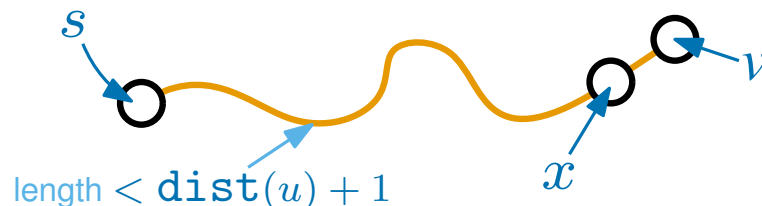
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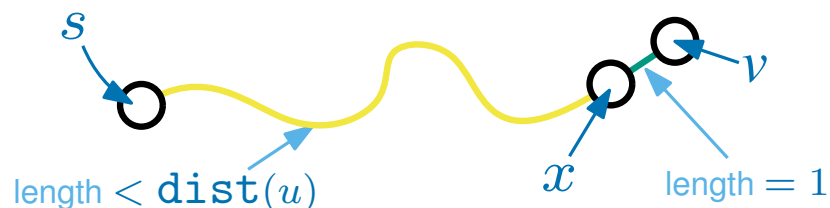
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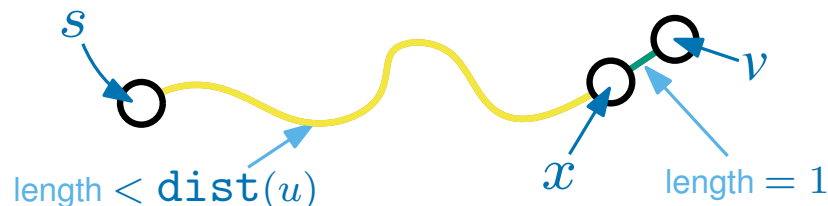
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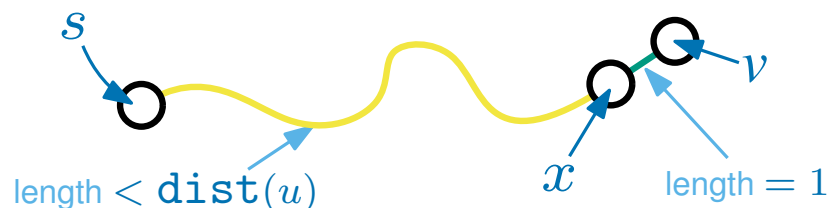
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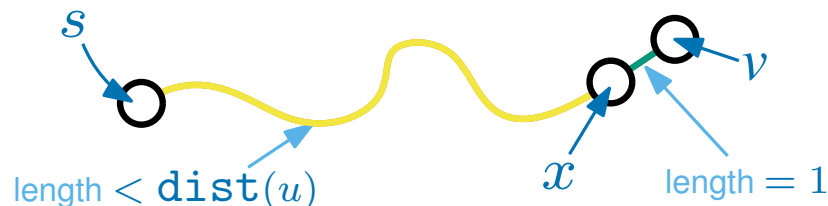
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**Contradiction!**



$V$  is the set of vertices  
 $|V|$  is the number of vertices

## Conclusion

$E$  is the set of edges  
 $|E|$  is the number of edges

TRAVERSE visits every **vertex** in a connected graph in  $O(|E|)$  time  
*(with an  $O(1)$  time bag)*

- *the traversal order depends on the type of bag*  
*(and it works for directed graphs too)*

with a Queue the algorithm is called

**Breadth First Search (BFS)**

### Applications

Max-Flow

Testing whether a graph is bipartite

### Shortest paths in unweighted graphs

take  $O(|V| + |E|)$  time using BFS

*(works for directed graphs too)*

with a Stack the algorithm is called

**Depth First Search (DFS)**

### Applications

Finding (strongly) connected components

Topologically sorting a Directed Acyclic Graph

Testing for planarity

### Question

What does TRAVERSE do on an  
 unconnected graph?