Inverse problems for the Helmholtz equation with first order terms. Applications to acoustic tomography of moving fluid

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Acoustic tomography of moving fluid

- A moving fluid in a bounded domain $D \subset \mathbb{R}^d$, d=2, is characterized by sound speed c=c(x), density $\rho=\rho(x)$, velocity $\mathbf{v}=\mathbf{v}(x)$ and absorption $\alpha=\omega^{\zeta(x)}\alpha_0(x)$
- There are acoustic transducers on ∂D . A transducer produces time-harmonic acoustic waves which are scattered by the fluid. Scattered acoustic waves are recorded by other transducers.

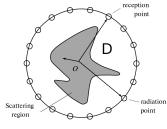


image: (Burov et al. '13)

Acoustic tomography problem. Given this data, recover fluid parameters.

Main applications in ocean tomography (determine the ocean temperature and heat transferring currents) and in medical diagnostics (determine scalar inhomogeneities and the blood flow)

Acoustic tomography of moving fluid



Figure: Acoustic tomograph (Acoustics Department, MSU). Rotating array of 26 transducers mimicking a 256 transducer array.

- Typical frequencies in ocean: 10Hz-1MHz (<10Hz: propagation is not possible; >1MHz: quick absorbtion)
- Most commonly used tranceducers emit at a single frequency (around 2MHz) to minimise technical difficulties

Acoustic tomography of moving fluid

$$L_{\omega} = -\Delta - 2i\left(\frac{\omega \mathbf{v}}{c^2} + \frac{i}{2}\nabla \ln \rho\right) \cdot \nabla - \frac{\omega^2}{c^2} - 2i\omega \frac{\alpha}{c}$$

Data from point sources (spherical wave scattering data):

$$\mathfrak{D}(\Omega, X, Y) = \big\{ G_{\omega}(x, y) \colon \omega \in \Omega, \ x \in X, \ y \in Y \big\},$$

$$G_{\omega} \text{ radiating Green function for } L_{\omega},$$

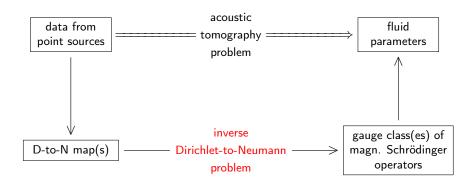
$$\Omega \subset \mathbb{R}_{\geq 0}, \ X \subset \partial D, \ Y \subset \partial D$$

Acoustic tomography problem: Given $\mathcal{D}(\Omega, X, Y)$, find c, \mathbf{v} , $\nabla \rho$ and α in D

Roussef-Winters '94, Rychagov-Ermert '96, Henkin-Novikov '88, Burov et al. '13: particular cases of the model

Rumyantseva-Shurup (Acoustical Physics, to app.): equation in general form





Inverse DN problem for the magnetic Schrödinger operator

ullet Magnetic Schrödinger operator in bounded domain $D\subset \mathbb{R}^{d\geq 2}$

$$L_{A,q} = -(\nabla + iA(x))^2 + q(x), \quad x \in D$$

• Dirichlet-to-Neumann map $\Lambda_{A,q}f = \nu \cdot (\nabla + iA)\psi|_{\partial D}$, where

$$\begin{cases} L_{A,q}\psi=E\psi & \text{in D}, \quad \textit{(E not a DE for $L_{A,q}$ in D)} \\ \psi|_{\partial D}=\textit{f} \end{cases}$$

• Gauge invariance: $\Lambda_{A+
ablaarphi,q}=\Lambda_{A,q},\ arphi|_{\partial D}=1$

The inverse DN problem: given $\Lambda_{A,q}$ (at fixed E), recover A, q modulo a GT

Problems of this type go back to Gelfand (PICM'54)



Inverse DN problem for the magnetic Schrödinger operator

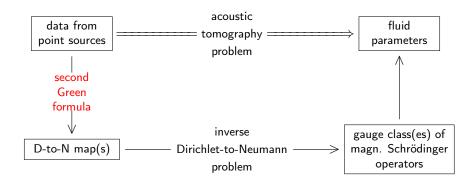
Sun '93, Nakamura-Sun-Uhlmann '95, Eskin-Ralston '95, Guillarmou-Tzou '11, Immanuilov-Uhlmann-Yamamoto '12, Krupchyk-Uhlmann '14: $F=\operatorname{curl} A$ and q are determined by $\Lambda_{A,q}$

Brown-Salo (AA'06): tangential part of $A|_{\partial D}$ is determined by $\Lambda_{A,q}$

Salo (CPDE'06): reconstruction algorithm, $d \ge 3$

Agaltsov-R. Novikov (JMP'14), Agaltsov (JIIP'15): reconstruction algorithm, d=2

<u>Shurup-Rumyantseva (Acoustical Physics, to app.)</u>: implementation and numerical verification of *Agaltsov-R. Novikov (JMP'14)*



Acoustic tomography: reduction to the IDN problem

<u>Dyson (PR'49)</u>, <u>Schwinger (PNAS'51)</u>: formulas relating Green functions in QFTs (Dyson and Schwinger-Dyson equations)

<u>Berezanski (TMMO'58)</u>: formulas relating data from point sources and plane wave scattering data (amplitude and wavefunction)

R. Novikov (FAA'88): formulas relating plane wave scattering data to Dirichlet-to-Neumann maps

Possible integral equation :

$$G_{\omega}^{0}(x,y)-G_{\omega}(x,y)=\int_{\partial D}\int_{\partial D}G_{\omega}^{0}(x,z)(\Lambda_{\omega}-\Lambda_{\omega}^{0})(z,w)G_{\omega}(w,y)dy\,dw$$

where G_{ω}^{0} , Λ_{ω}^{0} correspond to $\mathbf{v}=0$, $\rho=0$, $c=c_{0}$, $\alpha_{0}=0$

(see, e.g., Nachman (AM'88) for the case of Schrödinger operator and Laplacian)



Acoustic tomography: reduction to the IDN problem

The second resolvent identity:

- \mathcal{A} , \mathcal{B} invertible operators: $\mathcal{B} \mathcal{A} = \mathcal{B}(\mathcal{A}^{-1} \mathcal{B}^{-1})\mathcal{A}$
- Apply it to $A = (L_{\omega} i0)^{-1}$, $B = (L_{\omega}^{0} i0)^{-1}$:

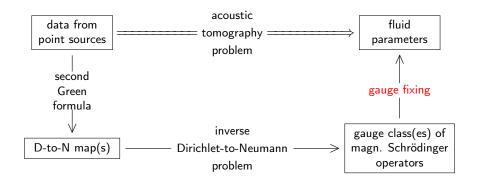
$$G_{\omega}^{0}(x,y)-G_{\omega}(x,y)=\int_{D}G_{\omega}^{0}(x,z)(L_{\omega}-L_{\omega}^{0})_{z}G_{\omega}(z,y)\,dz$$

Passing to the boundary:

- Let v: $L^0_{\omega}v = 0$ in D, $v|_{\partial D} = \mathcal{G}_{\omega}f$ and let $u = \mathcal{G}_{\omega}f$
- The second Green identity applied to $G^0_\omega(x,\cdot)$ and u-v:

$$\int_{\partial D} G_{\omega}^{0}(x,y) \frac{\partial (u-v)}{\partial \nu_{y}} dy - u(x) + v(x) = \int_{D} G_{\omega}^{0}(x,z) (L_{\omega} - L_{\omega}^{0})_{z} u(z) dz$$





$$\begin{split} L_{\omega} &= -(\nabla + iA_{\omega}(x))^2 + q_{\omega}(x), \\ A_{\omega} &= \frac{\omega \mathbf{v}}{c^2} + \frac{i}{2}\nabla \ln \rho \\ q_{\omega} &= f_1 - \omega^2 f_2 + i\omega f_3 - 2i\omega^{1+\zeta}\alpha_0 \end{split} \begin{vmatrix} f_1 &= \rho^{\frac{1}{2}}\Delta \rho^{-\frac{1}{2}} \\ f_2 &= \frac{1}{c^2} + \frac{\mathbf{v}}{c^2}\frac{\mathbf{v}}{c^2} \\ f_3 &= \nabla \cdot \left(\frac{\mathbf{v}}{c^2}\right) - \frac{\mathbf{v}}{c^2} \cdot \nabla \ln \rho \end{split}$$

- Λ_{ω} at fixed ω determines curl $A_{\omega}=\omega$ curl $rac{f v}{c^2}$ and q_{ω}
- Λ_{ω_1} , $\Lambda_{\omega_2} \implies$ linear algebraic equations for f_1 , f_2 :

Re
$$q_{\omega_1} = f_1 - \omega_1^2 f_2$$
,
Re $q_{\omega_2} = f_1 - \omega_2^2 f_2$



• Λ_{ω_1} , Λ_{ω_2} , Λ_{ω_3} \Longrightarrow equations for f_3 , ζ , α_0 (in general, transcendental):

$$\omega_{1}^{-1} \operatorname{Im} q_{\omega_{1}} = f_{3} - 2\omega_{1}^{\zeta} \alpha_{0},$$

$$\omega_{2}^{-1} \operatorname{Im} q_{\omega_{2}} = f_{3} - 2\omega_{2}^{\zeta} \alpha_{0},$$

$$\omega_{3}^{-1} \operatorname{Im} q_{\omega_{1}} = f_{3} - 2\omega_{3}^{\zeta} \alpha_{0},$$

As a corollary,

$$\begin{split} \left\{x\colon \alpha_0(x)=0\right\} &= \left\{x\colon \omega_3^{-1}\operatorname{Im} q_{\omega_3} = \omega_1^{-1}\operatorname{Im} q_{\omega_1}\right\}, \\ \frac{\omega_2^{-1}\operatorname{Im} q_{\omega_2} - \omega_1^{-1}\operatorname{Im} q_{\omega_1}}{\omega_3^{-1}\operatorname{Im} q_{\omega_3} - \omega_1^{-1}\operatorname{Im} q_{\omega_1}} &= \frac{\left(\frac{\omega_2}{\omega_1}\right)^{\zeta} - 1}{\left(\frac{\omega_3}{\omega_1}\right)^{\zeta} - 1}, \quad \text{where } \alpha_0 \neq 0 \\ f_3 &= \frac{\frac{\omega_1^{\zeta}}{\omega_2}\operatorname{Im} q_{\omega_2} - \frac{\omega_2^{\zeta}}{\omega_1}\operatorname{Im} q_{\omega_1}}{\omega_1^{\zeta} - \omega_2^{\zeta}}, \quad \text{where } \alpha_0 \neq 0 \end{split}$$

$$\frac{\omega_2^{-1}\operatorname{Im} q_{\omega_2} - \omega_1^{-1}\operatorname{Im} q_{\omega_1}}{\omega_3^{-1}\operatorname{Im} q_{\omega_3} - \omega_1^{-1}\operatorname{Im} q_{\omega_1}} = \frac{\left(\frac{\omega_2}{\omega_1}\right)^{\zeta} - 1}{\left(\frac{\omega_3}{\omega_1}\right)^{\zeta} - 1}, \quad \text{where } \alpha_0 \neq 0$$

- If $\omega_1 < \omega_2 < \omega_3$, the RHS is strictly decreasing in $\zeta \in (0, +\infty)$
- In medical diagnostics $\zeta \in [0.2, 2]$
- If $\frac{\omega_2}{\omega_1} = \left(\frac{\omega_3}{\omega_1}\right)^2$, then there is an explicit formula for ζ , since

$$rac{\left(rac{\omega_2}{\omega_1}
ight)^{\zeta}-1}{\left(rac{\omega_3}{\omega_1}
ight)^{\zeta}-1}=\left(rac{\omega_3}{\omega_1}
ight)^{\zeta}+1$$

• Use many frequencies to increase stability



$$L_{\omega} = -(\nabla + iA_{\omega}(x))^{2} + q_{\omega}(x),$$

$$A_{\omega} = \frac{\omega \mathbf{v}}{c^{2}} + \frac{i}{2}\nabla \ln \rho$$

$$q_{\omega} = f_{1} - \omega^{2}f_{2} + i\omega f_{3} - 2i\omega^{1+\zeta}\alpha_{0} \begin{vmatrix} f_{1} = \rho^{\frac{1}{2}}\Delta\rho^{-\frac{1}{2}} \\ f_{2} = \frac{1}{c^{2}} + \frac{\mathbf{v}}{c^{2}}\frac{\mathbf{v}}{c^{2}} \\ f_{3} = \nabla \cdot (\frac{\mathbf{v}}{c^{2}}) - \frac{\mathbf{v}}{c^{2}} \cdot \nabla \ln \rho \end{vmatrix}$$

• After determining $F = \text{curl } \frac{\mathbf{v}}{c^2}$, f_1 , f_2 , f_3 , α_0 , ζ solve direct problems for PDEs to determine ρ and $\mathbf{u} = \frac{\mathbf{v}}{c^2}$

$$\begin{split} \Delta \rho^{-\frac{1}{2}} &= \mathit{f}_{1} \rho^{-\frac{1}{2}}, \quad \rho|_{\partial D} \text{ is given}, \\ \begin{cases} \nabla \cdot \mathbf{u} - \mathbf{u} \cdot \nabla \ln \rho = \mathit{f}_{3}, \\ \mathsf{curl} \, \mathbf{u} = \mathit{F}, \quad \mathbf{u}|_{\partial D} = 0 \end{split}$$

• Finally, $c = (f_2 - |\mathbf{u}|^2)^{-2}$, $\mathbf{v} = c^2 \cdot \mathbf{u}$



Agaltsov (BSM'15): If $\rho \equiv \rho_0$, $\alpha_0 \equiv 0$, then Λ_{ω} at fixed ω uniquely determines \mathbf{v} , c; design of reconstruction algorithm in this case

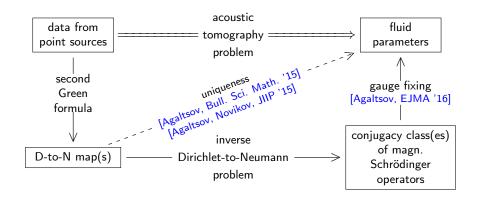
Agaltsov-R. Novikov (JIIP'15) ($\alpha = \omega^{\zeta(x)}\alpha_0(x)$):

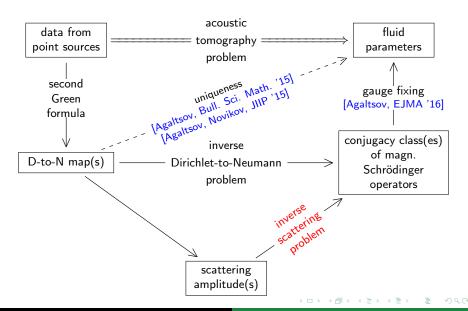
- I. $\alpha_0 \equiv 0 \implies \Lambda_{\omega}$ at 2 ω 's determines \mathbf{v} , \mathbf{c} , ρ .
- II. $\zeta \neq 0 \implies \Lambda_{\omega}$ at 3 ω 's determines **v**, c, ρ , ζ , α_0
- III. Explicit examples of non-distinguishable fluids when $\zeta \equiv 0$

<u>Agaltsov (EJMA'16)</u>: design of reconstruction algorithms for the cases of *Agaltsov-R. Novikov (JIIP'15)*

<u>Shurup-Rumyantseva</u> (<u>Acoustical Physics, to app.</u>): numerical implementation, verification, study and improvement of stability







The direct and inverse scattering problems

$$-(\nabla + iA(x))^2 \psi + q(x)\psi = E\psi, \quad x \in \mathbb{R}^d, \ E > 0, \ d \ge 2,$$
 supp A , supp $q \subset D$

• Classical scattering solutions $\psi^+(\cdot, k)$, $k \in \mathbb{R}^d$, $k^2 = E$:

$$\psi^+(x,k) = e^{ikx} + \left(egin{array}{c} ext{universal} \\ ext{spherical} \\ ext{wave} \end{array}
ight) \cdot f_{A,q}(k,rac{|k|}{|x|}x) \cdot \left(1 + o(1)\right), \quad |x| o + \infty$$

- Scattering data $f_{A,q}(k,l)$, $k, l \in \mathbb{R}^d$, $k^2 = l^2 = E$
- Gauge invariance: $f_{A+\nabla \varphi,q}=f_{A,q}$ for $\varphi\stackrel{\mathsf{fast}}{\to} 0$ at ∞

Direct Scattering Problem: given A, q, find $f_{A,q}$

Inverse Scattering Problem: given $f_{A,q}$ (at fixed E), recover A, q modulo a gauge transform



The direct and inverse scattering problem

• Charged spin-0 particle in a magnetic field:

$$-rac{1}{2m}ig(\hbar
abla-iqA(r)ig)^2\psi+q\phi(r)\psi=E\psi$$

- $|f(k, I)|^2$ is proportional to **probability density** of scattering in direction I for a particle emmited in direction k
- phase shift: $\frac{\partial \arg f(k,l)}{\partial |k|}$ time delay due to interaction

The direct scattering problem

<u>Lippman-Schwinger (PR'50)</u>: equations of type

$$\psi^{+}(x,k) = e^{ikx} + \int_{D} G^{+}(x-y,k)(L_{A,q} - L_{0,0})\psi^{+}(x,k) dx$$

- Eigenfunctions (corr. to E) of $L_{0,0}$ are e^{ikx} , $k^2 = E$
- Search for eigenfunctions $\psi(x, k) = e^{ikx} + \alpha(x, k)$ of $L_{A,q}$:

$$0 = (L_{A,q} - E)\psi(x,k) = (L_{A,q} - L_{0,0})\psi(x,k) + (L_{0,0} - E)\alpha(x,k)$$
yielding $\alpha(x,k) = (E - L_{0,0} + i0)^{-1}(L_{A,q} - L_{0,0})\psi^{+}(x,k)$

- In 3d $G^+(x,y) = -\frac{1}{2\pi} \frac{e^{i|k|\cdot|x-y|}}{|x-y|}$ (use momentum represent.)
- Since $|x-y|=|x|-\frac{x}{|x|}\cdot y+O(1/|x|)$ at ∞ , we have

$$\psi^{+}(x,k) = e^{ikx} - \frac{1}{2\pi} \frac{e^{i|k||x|}}{|x|} \int e^{-i\frac{|k|}{|x|}x \cdot y} (L_{A,q} - L_{0,0}) \psi^{+}(y,k) \, dy + O(\frac{1}{|x|^{2}}),$$
yielding $f_{A,q}(k,l) = (2\pi)^{-3} \int e^{-il \cdot x} (L_{A,q} - L_{0,0}) \psi^{+}(y,k) \, dy$

The inverse scattering: Born approximation

Consider the case A = 0 for simplicity

$$\psi^{+}(x,k) = e^{ikx} + \int G^{+}(x-y,k)q(y)\psi^{+}(y,k) dy,$$

$$f_{0,q}(k,l) = (2\pi)^{-d} \int e^{-ilx}q(y)\psi^{+}(y,k) dy$$

- If $q = O(\varepsilon)$, then $\psi^+ = e^{ikx} + O(\varepsilon)$, $f(k, l) = \widehat{q}(k l) + O(\varepsilon)$
- Faddeev (VLU'56): $f(k, l) = \hat{q}(k l) + O(E^{-\frac{1}{2}})$
- Note that for any $p: |p| \le 2\sqrt{E}$ there exist k, l: k l = p, $k^2 = l^2 = E$ (expect to recover $\widehat{q}(p)$, $|p| \le 2\sqrt{E}$, from f at E)



The inverse scattering problem with $A \neq 0$

R. Novikov-Henkin '87, Eskin-Ralston '95, Xiaosheng '05, Päivärinta-Salo-Uhlmann '12: uniqueness

Shiota '85, Henkin-Novikov '88, Arians '97, Nicoleau '97: Born-type reconstruction in high energy limit

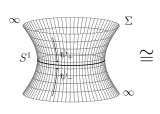
Weder-Yafaev '05, Salo '06: reconstruction at fixed E for $d \ge 3$

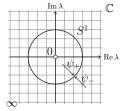
<u>Agaltsov-R. Novikov (JMP'14)</u>: reconstruction at fixed E, d=2; based on Faddeev '65, RHM: Manakov '81, Grinevich-Manakov '86, R. Novikov '86, '92, '99; develops R. Novikov '92 and generalizes R. Novikov '99 to $A \neq 0$

Shurup-Rumyantseva (Acoustical Physics, to app.): numerical implementation, verification, stability and convergence study of Agaltsov-R. Novikov (JMP'14)

Riemann-Hilbert problem approach to IS in 2d

<u>Faddeev (SPD'66)</u>: generalized scattering solutions $\psi(x, k)$, $k \in \Sigma$, $\Sigma = \{k \in \mathbb{C}^2 \setminus \mathbb{R}^2 : k_1^2 + k_2^2 = E\} \cong \mathbb{C} \setminus (S^1 \cup 0); \ \mu = e^{-ikx}\psi \text{ bnd}$





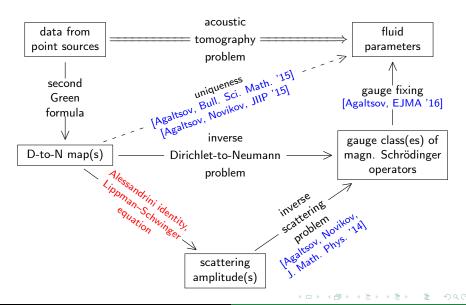
Grinevich-Manakov (FAA'86):
$$\bar{\partial}\mu(\lambda) = r(\lambda)\mu(-1/\bar{\lambda})$$
 in $\mathbb{C}\setminus S^1$

Riemann-Hilbert-Manakov problem: find $\mu \in C(\mathbb{C} \setminus S^1)$ such that $\bar{\partial}\mu = 0$ in $\mathbb{C} \setminus S^1$, $\mu(\infty) = 1$ and $\mu_+ - \mu_- = K\mu_+$ (non-loc)

<u>Grinevich-R. Novikov (FAA'85), (DAN'86)</u>: solution of this RHM for two types of symmetries of K (relevant to A=0 and to the self-adjoint case)

R. Novikov (TMF'86): finding K from the scattering amplitude

R. Novikov (JFA'92), (PSIM'99): reconstruction algorithm by posing r=0 and estimates (A=0); error $O(E^{-\frac{n-2}{2}})$ vs $O(E^{-\frac{n-2}{2n}})$ in Born app. (n-smt.)



Reduction of the IDN problem to the ISP

Novikov (FAA'88):

$$\psi^{+}(x,k) = e^{ikx} + \int_{\partial D} G^{+}(x-y,k) (\Lambda_{0,q} - \Lambda_{0,0}) \psi^{+}(x,k) dx,$$

$$f_{0,q}(k,l) = (2\pi)^{-d} \int_{\partial D} e^{-ilx} (\Lambda_{0,q} - \Lambda_{0,0}) \psi^{+}(x,k) dx$$

Eskin-Ralston (IPWP'97): non-zero vector potential A: $\Lambda_{A,q} - \Lambda_{0,0}$

R. Novikov (IP'05): non-zero background potential q_0 : $\Lambda_{0,q} - \Lambda_{0,q_0}$

<u>Agaltsov (JIIP'15)</u>: non-zero vector potential A + background potential q_0 (as in 2D acoustic tomography); gauge-covariant Schrödinger operator:

$$L_{A,Q} = -\sum_{k=1}^{d} \left(\frac{\partial}{\partial x_k} + i A_k(x) \right)^2 + Q(x),$$

 $A_j(x)$, $Q(x) \in M_n(\mathbb{C})$ and non-zero background potentials arising in mode tomography of moving ocean, see *Baykov-Burov-Sergeev (ECUA'96)*; aka Schrödinger operator in an external Yang-Mills field

Reduction of the IDN problem to the ISP

Easiest approach: LS equation + NA identity

<u>Lippmann-Schwinger (PR'50)</u>: equations of type (for A = 0)

$$\psi^{+}(x,k) = e^{ikx} + \int_{D} G^{+}(x-y,k)q(y)\psi^{+}(y,k) dy,$$

$$f_{0,q}(k,l) = (2\pi)^{-d} \int_{D} e^{-il\cdot y} q(y)\psi^{+}(y,k) dy$$

R. Novikov (FAA'88) $(q_2 = 0)$, Alessandrini (AA'88) $(q_2 \neq 0)$: if $-\Delta u_1 + q_1 u_1 = Eu_1$, $-\Delta u_2 + q_2 u_2 = Eu_2$, then

$$\int_{D} v_{1}(q_{2} - q_{1})v_{2} dx = \int_{\partial D} v_{1}(\Lambda_{0,q_{2}} - \Lambda_{0,q_{1}})v_{2} dx$$

Combination:

$$\psi^+(x,k) = e^{ikx} + \int_{\partial D} G^+(x-y,k)q(y)\psi^+(y,k) dy$$

And in a similar way for the scattering amplitude



Reduction of a 3d problem to a multi-channel 2d problem

$$-\Delta \psi + q(x,y,z)\psi = 0,$$

$$\psi|_{z=-H} = 0, \ \psi|_{z=0} = 0,$$
 where $(x,y) \in D$, $z \in [-H,0]$

Mode representation: $\psi = \sum_{j} \psi_{j}(x, y) \phi_{j}(z)$,

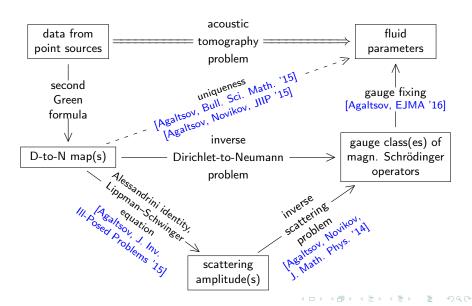
$$\begin{cases} -\phi_j'' = \lambda_j \phi_j & \text{in } [-H, 0], \\ \phi_j(-H) = \phi_j(0) = 0, \end{cases}$$
$$-\Delta_{x,y} \psi_i + \lambda_i \psi_i + \sum_j Q_{ij}(x, y) \psi_j = 0, \quad i \ge 1,$$
$$Q_{ij}(x, y) = \int_{-H}^0 \overline{\psi_i(z)} q(x, y, z) \phi_j(z) dz$$

see, e.g., Novikov-Santacesaria (BSM'11)

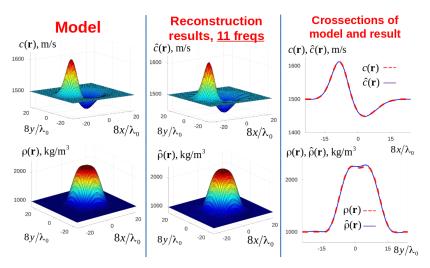
<u>Baykov-Burov-Sergeev (ECUA'96)</u>: fluid flows; application to mode tomography of moving ocean

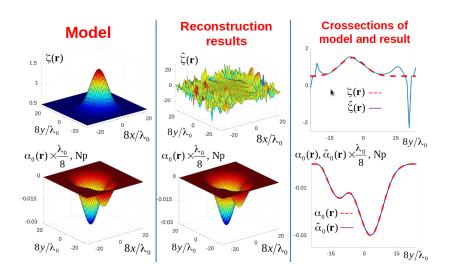
Novikov-Santacesaria (BSM'11): analog for the DN maps

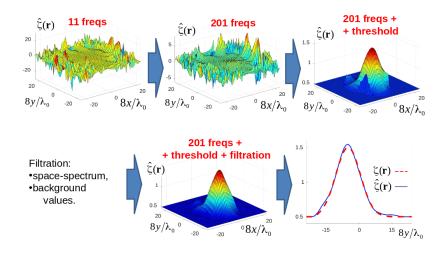


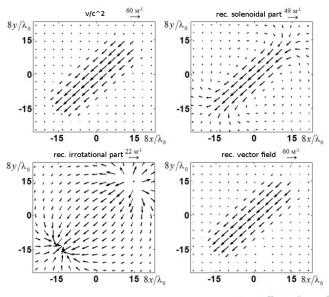


Normally distributed 3% noise









Thank you!

List of publications

- I. Agaltsov A. D., A global uniqueness result for acoustic tomography of moving fluid, BSM 139 (8), 2015, 937-942
- II. Agaltsov A. D., Novikov R. G., *Uniqueness and non-uniqueness in acoustic tomography of moving fluid*, JIIP 24 (3), 2016, 333-340
- III. Agaltsov A. D., Finding scattering data for a time-harmonic wave equation with first order perturbation from the Dirichlet-to-Neumann map, JIIP 23 (6), 2015, 627-645
- IV. Agaltsov A. D., Novikov R. G., Riemann-Hilbert problem approach for two-dimensional flow inverse scattering, JMP 55 (10), 2014, 103502
- V. Agaltsov A. D., On the reconstruction of parameters of a moving fluid from the Dirichlet-to-Neumann map, EJMCA 4 (1), 2016, 4-11