

# Uniqueness and reconstruction in a passive inverse problem of helioseismology

**Alexey Agaltsov**

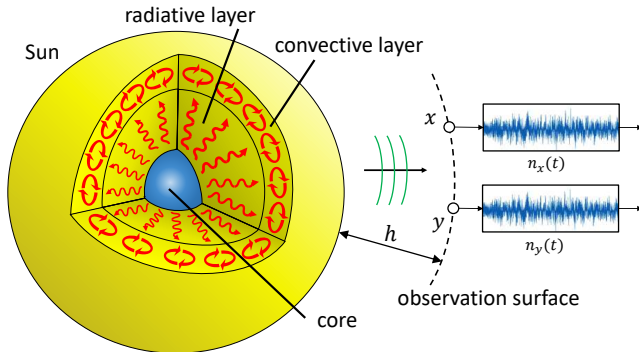
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Joint work with T. Hohage and R. G. Novikov

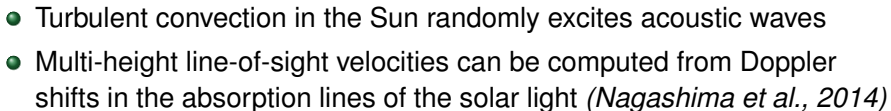
<https://arxiv.org/abs/1907.05939>

Dornbirn, 19-Sep-2019

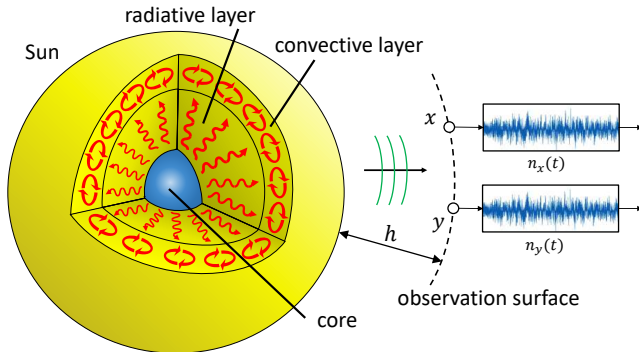
# Acoustic field in the Sun



- Turbulent convection in the Sun randomly excites acoustic waves

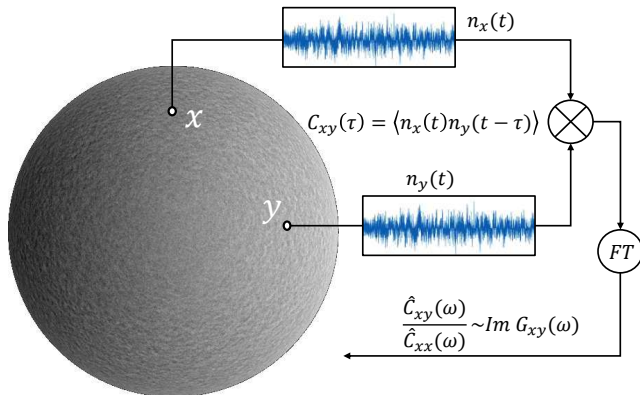


# Acoustic field in the Sun



- Turbulent convection in the Sun randomly excites acoustic waves
- Multi-height line-of-sight velocities can be computed from Doppler shifts in the absorption lines of the solar light (*Nagashima et al., 2014*)
- Recover solar sound speed, density and attenuation

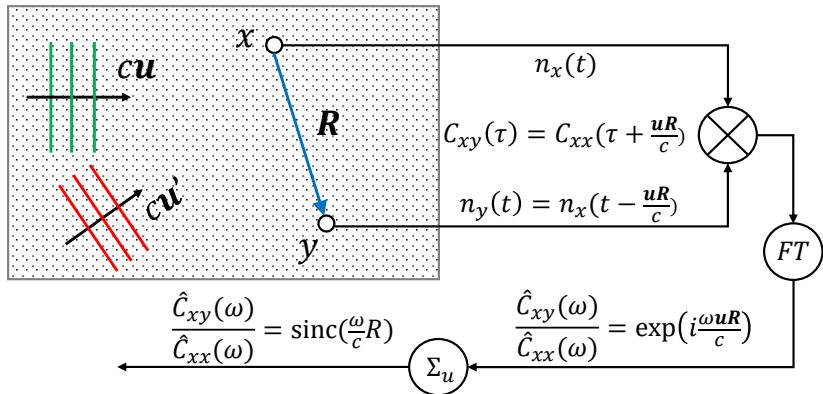
# Green's function from cross-correlations



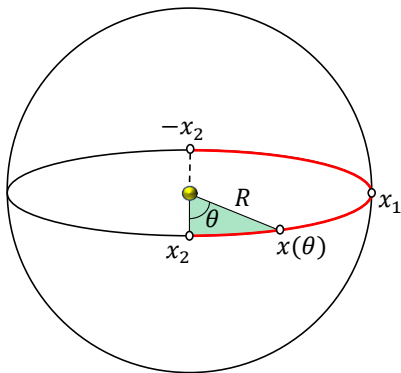
- Energy equidistribution of noise sources  $\implies$  Imaginary part of the radiation Green's function can be extracted from cross-correlations (*Eckart, 1953*), (*Cox, 1973*), (*Roux et al., 2005*), (*Snieder, 2007*), (*Gizon et al., 2017*)

# Green's function from cross-correlations

**Example:** homogeneous medium and isotropic noise (*Eckart, 1953*)



# Measurement manifold



- Great circle arc of angle  $\pi$  and radius  $R$ :

$$M_R^1 = \{(x(\theta), x_2) : \theta \in (0, \pi)\}, \quad x_j \in S_R^2, \quad x_1 \cdot x_2 = 0, \\ x(\theta) = x_1 \sin \theta + x_2 \cos \theta$$

# Equation for acoustic waves in the Sun

- Acoustic field in the Sun (*Gizon et al., 2017*):

$$\begin{aligned} -\nabla \left( \frac{1}{\rho} \nabla (\sqrt{\rho} \psi_\omega) \right) - \frac{\sigma^2}{c^2 \sqrt{\rho}} \psi_\omega &= \frac{f_\omega}{\sqrt{\rho}}, \\ \psi_\omega &= \sqrt{\rho} c^2 \nabla \cdot \xi_\omega, \quad \sigma^2 = \omega^2 + 2i\omega\gamma. \end{aligned}$$



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- Homogeneous higher atmosphere:

$$c(r) = c_0, \quad \rho(r) = \rho_0 e^{-(r-R_a)/H}, \quad \gamma(r) = 0, \quad r \geq R_a$$

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- Problem.** Given "Im  $G_\omega$ " at  $M_{R_1}^1 \cup M_{R_2}^1$ ,  $R_1 > R_2 \geq R_a$ , and for  $\omega = \omega_1, \omega_2$ , recover  $c, \rho, \gamma$

# Equivalent Schrödinger equation

- Equivalent Schrödinger equation:

$$(L_v - k^2)\psi_v = f, \quad L_v = -\Delta + v,$$

$$k^2 = \frac{\omega^2}{c_0^2} - \frac{1}{4H^2}, \quad v = k^2 - \frac{\sigma^2}{c^2} + \rho^{\frac{1}{2}}\Delta(\rho^{-\frac{1}{2}}),$$

where  $v = v(|x|)$ ,  $v(r) = 1/(Hr)$ ,  $r \geq R_a$

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- Radiation radiation condition for long range potentials (*Saitō, 1974*),  
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- Radiation radiation condition for long range potentials (*Saitō, 1974*), (*Agmon & Klein, 1992*)
- **Subproblem.** Given  $\text{Im } G_v$  at  $M_{R_1}^1 \cup M_{R_2}^1$  at fixed  $k > 0$ , recover  $v$

# Separation of variables

- Equation  $(L_\nu - k^2)\psi_\nu = 0$  separates in spherical coordinates:

$$(L_{\nu,\ell} - k^2)\psi_{\nu,\ell}^m = 0, \quad L_{\nu,\ell} = -\frac{d^2}{dr^2} + \frac{\ell(\ell+1)}{r^2} + \nu(r)$$

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- Incoming and outgoing solutions:

$$H_{v,\ell}^{\pm}(r) \sim \exp(\pm i(kr - \eta \ln(2kr) - \frac{1}{2}\ell\pi + \sigma_{\ell}(\eta))), \quad r \rightarrow +\infty,$$

$$H_{v,\ell}^{\pm}(r) = H_{\ell}^{\pm}(\eta, kr), \quad r \geq R_a,$$

where  $\eta = 1/(2Hk)$  and  $H_{\ell}^{\pm}$  are the Coulomb wave functions



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- Regular solution:

$$F_{v,\ell}(r) \stackrel{r \rightarrow 0}{\sim} r^{\ell+1}, \quad F_{v,\ell}(r) \stackrel{r \geq R_a}{=} C(H^{-}(\eta, kr) - s_{v,\ell}H^{+}(\eta, kr)),$$

where  $s_{v,\ell}$  are the scattering matrix elements

# Radial Green's function

- $G_{\nu,\ell}(r, r')$  is regular at zero, outgoing at  $\infty$ , and

$$(L_{\nu,\ell} - k^2)G_{\nu,\ell}(\cdot, r') = \delta_{r'}$$

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- Asymptotic expression:

$$G_{\nu,\ell}(r, r) = \frac{i}{2k} (H_{\ell}^-(\eta, kr) - s_{\nu,\ell} H_{\ell}^+(\eta, kr)) H_{\ell}^+(\eta, kr), \quad r \geq R_a$$

# Recovering the potential

$$\operatorname{Im} G_v|_{M_{R_1}^1, M_{R_2}^1} \xrightarrow{\text{I}} \operatorname{Im} G_{v,\ell}(r, r)|_{R_1, R_2} \xrightarrow{\text{II}} s_{v,\ell} \xrightarrow{\text{III}} \Lambda_{v,R} \xrightarrow{\text{IV}} v$$

**Step I.** Extracting  $\operatorname{Im} G_{v,\ell}(r, r)|_{R_1, R_2}$  from  $\operatorname{Im} G_v|_{M_{R_1}^1, M_{R_2}^1}$

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- Expansion in Legendre polynomials  $P_\ell$ :

$$G_v(x, x_2) = \frac{1}{4\pi R^2} \sum_{\ell=0}^{\infty} (2\ell+1) G_{v,\ell}(R, R) P_\ell(x \cdot x_2 / R^2), \quad (x, x_2) \in M_R^1,$$

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- It follows that

$$\begin{aligned} \text{Im } G_{v,\ell}(R, R) &= -2\pi R^2 \int_0^\pi \text{Im } G_v(x(\theta), x_2) P_\ell(\cos \theta) d \cos \theta, \\ x(\theta) &= x_1 \sin \theta + x_2 \cos \theta \end{aligned}$$

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**Step II.** Extracting  $s_{v,\ell}$  from  $\operatorname{Im} G_{v,\ell}(r, r)|_{R_1, R_2}$ :



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$$G_{v,\ell}(r, r) = \frac{i}{2k} (H_\ell^-(\eta, kr) - s_{v,\ell} H_\ell^+(\eta, kr)) H_\ell^+(\eta, kr), \quad r \geq R_a$$

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- A linear system for  $\operatorname{Re} s_{v,\ell}$ ,  $\operatorname{Im} s_{v,\ell}$ :

$$\operatorname{Im} G_{v,\ell} - \frac{1}{2k} |H_\ell^+|^2 = -\operatorname{Re} s_{v,\ell} \frac{\operatorname{Re}(H_\ell^+)^2}{2k} + \operatorname{Im} s_{v,\ell} \frac{\operatorname{Im}(H_\ell^+)^2}{2k},$$

where functions are evaluated at  $r = R_1, R_2$

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- Dirichet-to-Neumann map  $\Lambda_{v,R} \varphi = \frac{\partial \psi_v}{\partial r}|_{S_R^2}$ , where

$$(L_v - k^2)\psi_v = 0 \text{ in } B_R^3, \quad \psi_v|_{S_R^2} = \varphi$$

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- Solution to  $(L_v - k^2)\psi_v = 0$  in  $B_R^3$  with  $\psi_v|_{S_R^2} = Y_\ell^m$ :

$$\psi_v(x) = \frac{R}{|x|} \frac{F_{v,\ell}(|x|)}{F_{v,\ell}(R)} Y_\ell^m\left(\frac{x}{|x|}\right)$$

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- Expression for  $\Lambda_{v,R}$ :

$$\Lambda_{v,R} Y_\ell^m = R \frac{\left[ \frac{\partial}{\partial r} \left( \frac{1}{r} H_\ell^-(\eta, kr) \right) - s_{v,\ell} \frac{\partial}{\partial r} \left( \frac{1}{r} H_\ell^+(\eta, kr) \right) \right]_{r=R}}{H_\ell^-(\eta, kR) - s_{v,\ell} H_\ell^+(\eta, kR)} Y_\ell^m$$

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**Step IV.** Recovering  $v$  in  $B_R^3$  from  $\Lambda_{v,R}$

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## Step IV. Recovering $v$ in $B_R^3$ from $\Lambda_{v,R}$

- $v$  is uniquely determined by  $\Lambda_{v,R}$  if  $k^2$  is not a Dirichlet eigenvalue of  $L_v$  in  $B_R^3$  (Novikov, 1988) and (Berezanskii, 1958), (Nachman, 1988), (Sylvester & Uhlmann, 1987)



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- Subproblem solved.** Given  $\operatorname{Im} G_v$  at  $M_{R_1}^1 \cup M_{R_2}^1$  at fixed  $k > 0$ , recover  $v$

# Recovering the solar parameters

$$v = \omega^2 \left( \frac{1}{c_0^2} - \frac{1}{c^2} \right) + \rho^{\frac{1}{2}} \Delta(\rho^{-\frac{1}{2}}) - 2i\omega \frac{\gamma}{c^2}$$

- Repeat the reconstruction procedure for  $\omega = \omega_1, \omega_2$  to recover  $v = v_1, v_2$

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- Repeat the reconstruction procedure for  $\omega = \omega_1, \omega_2$  to recover  $v = v_1, v_2$
- Determine  $u_1 = \frac{1}{c_0^2} - \frac{1}{c^2}$ ,  $u_2 = \rho^{\frac{1}{2}} \Delta(\rho^{-\frac{1}{2}})$ ,  $u_3 = \frac{\gamma}{c^2}$  from  $v_1, v_2$

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- Find  $c = (c_0^{-2} - u_1)^{-\frac{1}{2}}$ ,  $\gamma = c^2 u_3$  and  $\rho$  from

$$-\Delta(\rho^{-\frac{1}{2}}) + u_2 \rho^{-\frac{1}{2}} = 0 \text{ in } B_{R_\alpha}^2, \quad \rho|_{S_{R_\alpha}^2} = \rho_0|_{S_{R_\alpha}^2}$$

# Recovering the solar parameters

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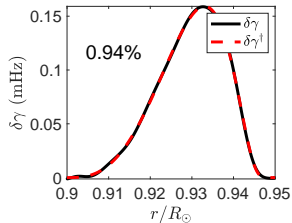
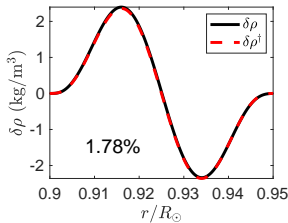
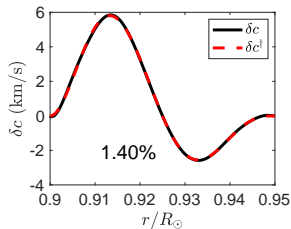
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- Determine  $u_1 = \frac{1}{c_0^2} - \frac{1}{c^2}$ ,  $u_2 = \rho^{\frac{1}{2}} \Delta(\rho^{-\frac{1}{2}})$ ,  $u_3 = \frac{\gamma}{c^2}$  from  $v_1, v_2$
- Find  $c = (c_0^{-2} - u_1)^{-\frac{1}{2}}$ ,  $\gamma = c^2 u_3$  and  $\rho$  from

$$-\Delta(\rho^{-\frac{1}{2}}) + u_2 \rho^{-\frac{1}{2}} = 0 \text{ in } B_{R_a}^2, \quad \rho|_{S_{R_a}^2} = \rho_0|_{S_{R_a}^2}$$

- Theorem.**  $c, \rho, \gamma$  are uniquely determined from  $\text{Im } G_{\omega_1}, \text{Im } G_{\omega_2}$  on  $M_{R_1}^1 \cup M_{R_2}^1$  and at fixed  $\omega_1, \omega_2 \in (\omega_{\text{ctf}}, +\infty) \setminus \Sigma'$ ,  $\omega_1 \neq \omega_2$ , where  $\Sigma'$  is a discrete set without finite accumulation points

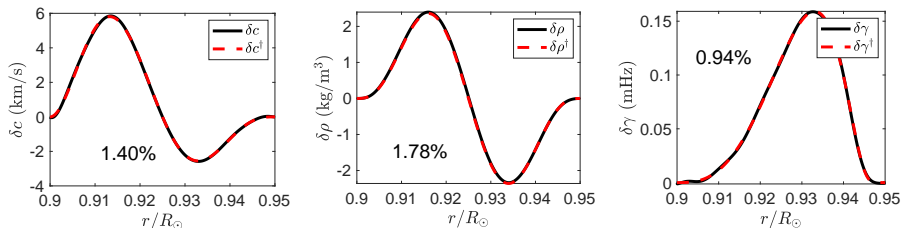
# Recovery of $c$ , $\rho$ , $\gamma$

- Simultaneous recovery of  $c$ ,  $\rho$ ,  $\gamma$  from exact data:

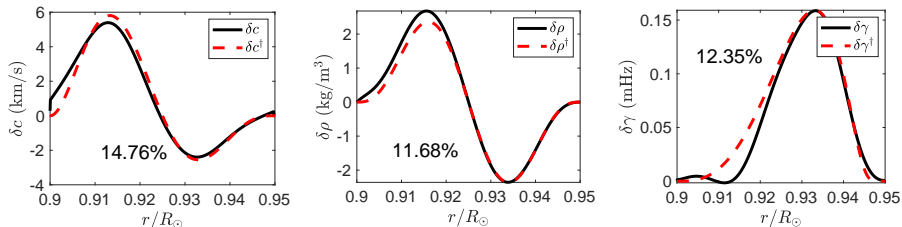


# Recovery of $c$ , $\rho$ , $\gamma$

- Simultaneous recovery of  $c$ ,  $\rho$ ,  $\gamma$  from exact data:



- Single parameter reconstruction for realistic noise levels :



# Summary

- Spherically symmetric model for the Sun with exponentially decaying density in the atmosphere
- Acoustic field is measured at two distances above the solar surface for finite number of frequencies
- Uniqueness in the passive inverse problem for  $c$ ,  $\rho$ ,  $\gamma$
- Confirmed by reconstructions from exact data
- Single parameter reconstructions for realistic noise levels using standard reconstruction procedures (IRGNM)



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