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leftmost derivation

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Defines	rightmost derivation

Let  $G = (\Sigma, N, P, \sigma)$  be a context-free grammar. Recall that a word  $v$  is generated by  $G$  if it is

- a word over the set  $T := \Sigma - N$  of terminals, and
- derivable from the starting symbol  $\sigma$ .

The second condition simply says that there is a finite sequence of derivation steps, starting from  $\sigma$ , and ending at  $v$ :

$$\sigma = v_0 \rightarrow v_1 \rightarrow \cdots \rightarrow v_n = v$$

For each derivation step  $v_i \rightarrow v_{i+1}$ , there is a production  $X \rightarrow w$  in  $P$  such that

$$\begin{aligned} v_i &= aXb, \\ v_{i+1} &= awb. \end{aligned}$$

Note that  $X$  is a non-terminal (an element of  $N$ ), and  $a, b, w$  are words over  $\Sigma$ .

**Definition.** Using the notations above, if  $X$  is the leftmost non-terminal occurring in  $v_i$ , then we say the derivation step  $v_i \rightarrow v_{i+1}$  is *leftmost*. Dually,  $v_i \rightarrow v_{i+1}$  is *rightmost* if  $X$  is the rightmost non-terminal occurring in  $v_i$ .

Equivalently,  $v_i \rightarrow v_{i+1}$  is leftmost (or rightmost) if  $a$  (or  $b$ ) is a word over  $T$ .

A derivation is said to be *leftmost* (or *rightmost*) if each of its derivation steps is leftmost (or rightmost).

**Example.** Let  $G$  be the grammar consisting of  $a, b$  as terminals,  $\sigma, X, Y, Z$  as non-terminals (with  $\sigma$  as the starting symbol), and  $\sigma \rightarrow XY$ ,  $X \rightarrow a$ ,  $Y \rightarrow b$ ,  $\sigma \rightarrow ZY$ , and  $Z \rightarrow X\sigma$  as productions.  $G$  is clearly context-free.

The word  $a^2b^2 = aabb$  can be generated by  $G$  by the following three derivations:

1.  $\sigma \rightarrow ZY \rightarrow X\sigma Y \rightarrow XXYY \rightarrow XaYY \rightarrow XaYb \rightarrow Xabb \rightarrow aabb$ ,
2.  $\sigma \rightarrow ZY \rightarrow X\sigma Y \rightarrow a\sigma Y \rightarrow aXYY \rightarrow aaYY \rightarrow aabY \rightarrow aabb$ ,
3.  $\sigma \rightarrow ZY \rightarrow Zb \rightarrow X\sigma b \rightarrow XXYb \rightarrow XXbb \rightarrow Xabb \rightarrow aabb$ .

The second is leftmost, the third is rightmost, and the first is neither.

Note that in every derivation, the first and last derivation steps are always leftmost and rightmost.

**Remarks.**

- One of the main properties of a context-free grammar  $G$  is that every word generated by  $G$  is derivable by a leftmost (correspondingly rightmost) derivation, which can be used to show that for every context-free language  $L$ , there is a pushdown automaton accepting every word in  $L$ , and conversely that the set of words accepted by a pushdown automaton is context-free.
- Leftmost derivations may be defined for any arbitrary formal grammar  $G$  satisfying the condition

(\*) no terminal symbols occur on the left side of any production.

Given two words  $u, v$ , we define  $u \Rightarrow_L v$  if there is a production  $A \rightarrow B$  such that  $u = xAy$  and  $v = xBy$  such that  $x$  is a terminal word. By taking the reflexive transitive closure of  $\Rightarrow_L$ , we have the leftmost derivation  $\Rightarrow_L^*$ . It can be shown that for any grammar  $G$  satisfying condition (\*), the language  $L_L(G)$  consisting of terminal words generated by  $G$  via leftmost derivations is always context-free.

## References

- [1] S. Ginsburg *The Mathematical Theory of Context-Free Languages*, McGraw-Hill, New York (1966).
- [2] D. C. Kozen *Automata and Computability*, Springer, New York (1997).