

UNITED STATES DEPARTMENT OF AGRICULTURE  
FOOD SAFETY AND INSPECTION SERVICE

Surveillance Record

	I. GENERAL INFO	
	Firm Name	
	Firm ID	
	Reasons	
	Retail Project	
	Project Name	
	Address 1	
	Address 2	
	City	
	State	
	Primary Business Type	
	Secondary Business Type	
Surveillance ID	Tertiary Business Type	
Date Performed	Created By	Creator

II. FOOD DEFENSE

Was Food Defense conducted?	
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Type of Products Observed

<input type="checkbox"/> MEAT	<input type="checkbox"/> POULTRY	<input type="checkbox"/> EGG PRODUCTS	<input type="checkbox"/> SHELL EGGS
<input type="checkbox"/> SILURIFORMES FISH	<input type="checkbox"/> NON-AMENABLE		

Plan Information	Is there a written Food Defense Plan?	
	Is there emergency contact information?	
Outside Security	Does the facility have food defense measures to protect the outer perimeter and/or outside premises of the facility? (e.g., cameras, security guards, fencing, lighting, alarm system, controlled access system, and/or locks)	

Inside Security	Does the facility have food defense measures in place for the inside of the facility? (e.g., cameras, security guards, lighting, alarm system, controlled access system, and/or locks)	
	Are there procedures in place to ensure that all persons in the firm are authorized and properly identified when on the premises?	
	Are there use and storage procedures for any hazardous materials in the firm to preclude product adulteration?	
	Are there procedures to protect food and food ingredients including the water used in products?	
	Does the firm keep a record of all visitors? (e.g., log)	
Shipping and Receiving	Is access to shipping/receiving area(s) restricted to authorized personnel?	
	Is there a procedure to verify that incoming/shipped products are consistent with shipping documents?	
	Is there a procedure to observe incoming products for indication of tampering?	
	Is there a procedure for maintaining security of products during loading/shipping?	
	Is there a procedure in place to verify driver identification to correlate with paper work?	
Product Control	Did a detention occur?	
Firm Notification	Have all identified security vulnerabilities been discussed with management?	
	Was FSIS form 5420-3 provided to the firm?	
Products Observed	All products observed were free from apparent tampering and adulteration?	
	Food Defense Exit Notes	
Investigator		

### III. FOOD SAFETY

Are all meat, poultry, Siluriformes fish, shell eggs or egg products wholesome and not adulterated?	
Are sanitary conditions such that meat, poultry, Siluriformes fish, shell eggs or egg products will not become contaminated with filth or rendered injurious to health?	
Are hazard controls adequate to prevent meat, poultry, Siluriformes fish, shell eggs or egg products from becoming adulterated?	
Are all meat, poultry, Siluriformes fish, shell eggs or egg products not intended for use as human food properly denatured or otherwise made inedible as prescribed by the regulations?	
Are all records kept and maintained in a manner that fully and correctly discloses all transactions involved in the business activity that is subject to the provisions of the Acts?	
Additional Comments	

### IV. IMPORTED PRODUCTS

Were imported products observed?	
Did all imported product bear shipping marks? If No, explain in additional comments	
Were the correct documents relating to the importation of the product in question available from the Importer of Record, product owner, custodian, Import Broker, or the interested party? If No, explain in additional comments	
Did all imported product bear shipping marks of Federal import inspection, if required? If No, explain in additional comments	
Was the PHIS or AIIS used to verify that the products? If No, explain in additional comments.	
a. Originated from eligible foreign countries?	
b. Originated from eligible foreign establishments?	
c. Were produced while the foreign establishment was listed as eligible?	
d. Were inspected and passed by FSIS?	
Additional Comments	

## V. ADDITIONAL INFORMATION

Firm Representatives	Did an Investigator meet with a firm representative for the surveillance?				
	Name of Representative 1				Title
	Name of Representative 2				Title
Referral of Findings	Did you refer your surveillance findings to other federal or state agencies?				
	Comments:				
Follow-up Information	Is this a follow up from a previous Food Defense or Surveillance review?				
	Is a follow up required for the surveillance?		Expected Follow Up Date	Follow Up Completed	Follow Up Date
	Follow Up Comments				

Additional Comments

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**VI. SHELL EGGS**

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Were shell egg temperatures verified?		Shell Egg Temperatures	
Are shell eggs properly labeled?			

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Comments:

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**VII. SAMPLING INFORMATION**

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Was a sample taken during the surveillance? If yes, please specify the type of sample taken.	
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Sample Type	
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If no, please provide the following:	
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Number of MT05/MT06 Samples	
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Number of Special Projects/Investigative Samples	
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Sample Result	
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Does the firm keep adequate grinding records?	
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VIII. NON-FOOD SAFETY CONSUMER PROTECTION

Was Nutritional Labeling Verified?	
Are all meat, poultry, Siluriformes fish, shell eggs or egg products observed properly marked, labeled and packaged?	
Do the records associated with the meat, poultry, Siluriformes fish, shell eggs or egg products observed properly identify these products in accordance to the Acts?	
Additional Comments	

IX. SUPERVISOR REVIEW

Supervisor Reviewed	Supervisor Comments

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**X. ASSOCIATED PRODUCT CONTROL**

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