

CS345 Theoretical Assignment 1

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1 Neister Tree

1.1 Overview

Given a complete graph $G(E, V)$, we construct neister tree of set X by using nodes in $\{G \setminus X\}$ in a brute force manner. Neister tree on X is a set Z so that $X \subset Z \subset V$, together with a spanning tree T of $G[Z]$ such that weight of $\text{MST}(G[Z])$ is minimum.

1.2 Maximum value of Z

Lemma 1: Any node $n \in \{Z \setminus X\}$ will have **degree** ≥ 3 in $\text{MST}(G[Z])$.

Proof:

- **degree**(n) can't be 0 since it $\in \text{MST}(G[Z])$ which is fully connected.
- **degree**(n) can't be 1 because a Spanning Tree can be constructed by just dropping n , whose weight will be less than $\text{MST}(G[Z])$. In that case Z won't be neister tree.
- Suppose node $n \in Z$
 X is connected to only 2 nodes (say x and y) in $M = \text{MST}(G[Z])$. Using Triangle Inequality we can say that,
 $\omega(n, x) + \omega(n, y) \geq \omega(x, y)$
Now, we can have a Spanning Tree S in which there is an edge between x and y , and n is absent.
weight(S) = **weight**(M) - $\omega(n, x)$ - $\omega(n, y)$ + $\omega(x, y)$ \leq **weight**(M)
Contradiction.

Hence we can say that **degree**(n) ≥ 3 in $\text{MST}(G[Z])$.

Lets calculate the maximum value of Z . Suppose $|Z \setminus X| = p$.

In a tree, $\sum_V \text{degree}(v) = 2E$. In case of $\text{MST}(G[Z])$, minimum degree of p and k vertices are 3 and 1 respectively.

$$\implies 3p + k \leq 2(p + k - 1) \quad \because E = V - 1 \text{ in a tree}$$

$$\implies p \leq k - 2$$

1.3 Pseudo-Code

- **n** = $|V|$
- **vertexSet**(G, k): returns a set of k distinct vertices from G which is different from previously returned sets
- **min_weight**: holds the minimum weight of all the trees seen so far.
- **neisterTree**: Holds the set of vertices(Z) which can be potential Neister-Tree.

```
1: procedure NEISTERTREE( $G, X, V$ )
2:   min_weight  $\leftarrow$  MST( $G[X]$ )
3:   neisterTree  $\leftarrow$   $X$ 
4:    $T \leftarrow \{G \setminus X\}$ 
5:   for  $i$  in 0 to  $k - 2$  do
6:     for  $j$  in 1 to  ${}^{n-k}C_i$  do
7:        $Z \leftarrow X \cup \text{vertexSet}(T, i)$ 
8:       temp  $\leftarrow$  MST( $G[Z]$ )
9:       if temp  $\leq$  min_weight then
10:        min_weight  $\leftarrow$  temp
11:        neisterTree  $\leftarrow$   $Z$ 
12:       end if
13:     end for
14:   end for
15:   return neisterTree
16: end procedure
```

1.4 Time Complexity

For every i^{th} iteration, time req. is

$${}^{n-k}C_i * \text{MST}(Z) \leq {}^{n-k}C_i * n^2$$

Summing up time for every iteration,

$$\begin{aligned} & {}^{n-k}C_0 * n^2 + {}^{n-k}C_1 * n^2 + {}^{n-k}C_2 * n^2 + \dots + {}^{n-k}C_{k-1} * n^2 + {}^{n-k}C_k * n^2 \\ &= {}^{n-k+1}C_{k+1} * n^2 \end{aligned}$$

$$\begin{aligned} &\leq {}^nC_{k+1} * n^2 \\ &\leq n^{k+1} * n^2 \\ &\leq n^{\mathcal{O}(k)} \end{aligned}$$

So time Complexity is $\mathcal{O}(n^{\mathcal{O}(k)})$

1.5 Justification

This algorithm uses brute force to cover all cases. Basically it iterates over every possible subset of $\{V \setminus X\}$ of cardinality less than $k-1$, calculating the weight of the MST thus formed and takes minimum of them. The tree with the minimum weight is our answer.

2 Unique-path graph

2.1 Overview

Given there exists a vertex u which has a path to every other vertex, we approach by first finding out vertex u . Now, we apply a single DFS from u and keep extra field of *Back.edge* to check for unique-path nature of the graph.

2.2 Algorithm

- Start
- Mark all vertices unvisited.
- Start DFS from every vertex that is unvisited and mark them visited whenever they get visited in the process. Also estimate start and finish times of every vertex.
- Let u = Vertex with maximum finish time.
- Start a DFS from vertex u . Exit with false if any cross edge, forward edge, or more than one back edge from any sub-tree to its ancestor is encountered as in these cases the graph cannot be unique-path graph.
- Exit with true.
- Stop.

2.3 Pseudo Code

Run a DFS and find the vertex v with maximum Finish Time. Now Run the following starting from v .

```
1: procedure DFS( $v$ )
2:   UniquePathGraph  $\leftarrow$  true
3:   Visited[ $v$ ]  $\leftarrow$  true
4:   D[ $v$ ]  $\leftarrow$  count ++
5:   backEdge[ $v$ ]  $\leftarrow$  Null
6:   for each edge ( $v, w$ ) do
7:     if Visited[ $w$ ] = false then
8:       DFS( $w$ );
9:       t  $\leftarrow$  backEdge[ $w$ ]
10:      if t <> Null && Finished[t]=false && t <> v then
11:        backEdge[ $v$ ] = t
12:      end if
13:    else if Finished[ $w$ ] then
14:      UniquePathGraph  $\leftarrow$  false
15:      break;
16:    else if backEdge[ $v$ ] = Null then
17:      backEdge[ $v$ ]  $\leftarrow$  w
18:    else
19:      UniquePathGraph  $\leftarrow$  false
20:      break;
21:    end if
22:  end for
23:  Finished[ $v$ ]  $\leftarrow$  true;
24:  F[ $v$ ]  $\leftarrow$  count ++
25: end procedure
```

2.4 Time Complexity :

- Locating the vertex u takes a single DFS for every unvisited vertex - $O(m+n)$
- From u we make a single DFS - $O(m+n)$
- Therefore, overall it is $O(m+n)$ algorithm.

2.5 Proof of Correctness

define v' : vertex from which every other vertex is reachable

Lemma 1: DFS from a vertex v visits all the unvisited vertices which are reachable from v .

Proof: Follows from the lemma discussed in the class.

Lemma 2: All vertices are reachable from vertex with maximum finish time.

Proof: Assume v' is reachable from v (in the worst case $v' = v$).

After $\text{dfs}(v)$, all vertices will be marked visited since v' is reachable from v .

Follows from lemma 1

Since v' is reachable from v , all vertices will be reachable from v . And since $\text{dfs}(v)$ will be the last one to end, v will have maximum finish time.

Theorem: A graph is unique path graph if and only if in there are no cross/forward edges and there is atmost one backedge in every subtree of the dfs tree of vertex v' .

Proof:

Suppose the graph has forward/cross edge or more than one backedge in any subtree of the dfs tree of v' .

- If an edge $(x - > y)$ is a forward/cross edge in dfs tree of v' , this implies that there are two paths from v' to y , one using only the tree edges and other through the edge $x - > y$. Hence the graph is non-unique path graph.
- Suppose a node x has a backedge $(x - > y)$ and another node in its subtree p has a backedge $(p - > q)$ where q is the ancestor of x .
 - If y is the ancestor of q . In that case there are two paths from x to q , one $(x - > y - - > q)$ and other via $x - - > p - > q$. Hence Non-unique.
 - If q is the ancestor of y . In that case there are two paths from x to y , one direct $(x - > y)$ and other via $x - - > p - > q - - > y$. Hence Non-unique.
 - If y is q . Here also two paths, $x - > q$ and $x - - > p - > q$.

Hence the graph is Non-Unique Path Graph.

Lets prove other part by contradiction.

Assume the graph doesn't have any cross/forward edges and there is atmost one backedge in every subtree, and the graph is non-unique path graph. Since it is non-unique path graph, it must have two paths between atleast two nodes (say $x - - > y$).

- If $y \in \text{subtree}(x)$, then only one path was possible from $x - - > y$ since there are no forward edges.
- If $y \in \text{subtree}$ other than $\text{subtree}(x)$, there is atmost one path from x to y since there are no cross-edges, and that too via backedge which is atmost one.

This implies that y is the ancestor of x . Therefore path from x to y can only be via backedge, but there is only one backedge eminating from the subtree of x which means that there can be atmost one path from x to y . Hence Contradiction.

Therefore we can say that a graph is unique path graph if and only if in there are no cross/forward edges and there is atmost one backedge in every subtree of the dfs tree of vertex v' .

Hence Proved.

3 A real life application of Directed Acyclic Graphs

3.1 Overview

This problem is approached by exploiting the topological ordering of DAGs. By the definition of root and exit, they will appear on the leftmost and rightmost ends of the ordering.

3.2 Algorithm

- Start.
- Sort the vertices to get their topological ordering. Let $path$ be an array, defined as - $path[i]$ stores the number of distinct paths from root to the i^{th} node in the topological ordering. Initialize all entries of this array with 0. and $path[0] = 1$
- Let $i = 1$, $node_1$ denotes the first vertex after root in order. Run the following step till $i \leq n - 1$
- For all incoming vertices to this i^{th} node : edge $(node_j, node_i)$ exists
 - assign edge weight as $path[j]$
 - $path[i] += path[j]$
- Stop.

3.3 Pseudo Code

```
Assign_edge_weight(V,E)
{
    node[ ] = topological_sort(V,E)
    path[ ] = 0
    path[0] = 1
    i = node[1] // node[0] will be root
    while(i < n - 1)
        For all (node[j], node[i]) ∈ E
            w(node[j], node[i]) = path[j]
            path[i] += path[j]
    return
}
```

3.4 Time Complexity

- Topological sorting - single DFS (using Finish Time) = $O(m + n)$
- $O(\text{while_loop}) = O(\text{sum of in degrees in the graph}) = O(m + n)$
- Hence, overall time for a query is $O(m + n)$.

3.5 Proof of Correctness

3.5.1 What is to be proved? or Claim

After i iterations, $node_j \in \{1, 2, \dots, i\}$ will have $path[j]$ to be the number of distinct paths that start from the root and end in $node[j]$. Each of these paths will be assigned a unique path id between 0 and $path[j] - 1$.

3.5.2 Proof by Induction

Induction is carried out on the iterator i

3.5.3 Base Cases

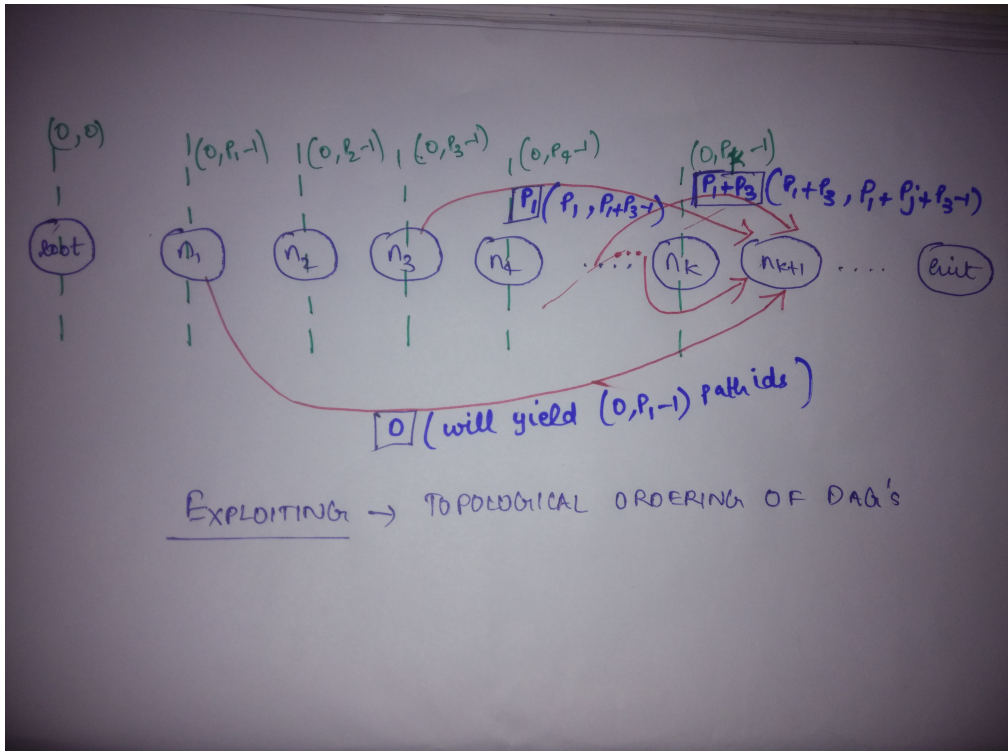
- ($i = 1$) - Only one edge from root to this $node_1$.
- $w(\text{root}, node_1) = \text{path}[1] = 0$
- $\text{path}[1] = \text{path}[0] + 0 = 1$
- Hence, claim satisfies base case.
- Notice, if there was no edge from root also, the claim will still hold.

3.5.4 Hypothesis

Let us assume that the Claim is true for all $i \leq k$ where $k > 1$ and both are integers.

3.5.5 Inductive Step

Let us prove that claim for $k + 1$ is true.



- if $(node_j, node_{k+1}) \in E$ then $j < k + 1$ as they are in topological order.
- From inductive hypothesis we know
 - $\text{path}[j]$ - The total number of distinct paths from root to $node_j$.
 - Every path from root to $node_j$ have unique path-ids $\in [0, \text{path}[j] - 1]$.
- $w(node_j, node_{k+1}) = \text{path}[k + 1]$ will help maintain distinct path-ids as the paths that were encountered to reach $node_{k+1}$ would have been assigned path-ids between 0 and $\text{path}[k + 1] - 1$. This new set of paths to $node_{k+1}$ via $node_j$ will get path-ids in $(\text{path}[k + 1], \text{path}[k + 1] + \text{path}[j])$.
- Notice that every such j will add $\text{path}[j]$ number of distinct paths to reach $node_{k+1}$ from the root. Hence, $\text{path}[k + 1] + \text{path}[j]$ updates that in the loop.
- Hence, the claim holds for $k + 1$.
- Thus, proved by induction.