Complexity Analysis

How fast is our code?

Overview

- Introducing a measurement of efficiency of a program
- Why we need measurement
- How we measure
 - How to analyze our code to get a measurement
- What is measurement
 - Asymptotic Notation

Key Idea

- We need a useful way to describe efficiency of our code
 - Useful = able to be easily use to predict how much resource (time / memory) that our program will need
 - Useful = not overly complex in analysis
 - Need to balance between usefulness and complexity
- Ultimately, we introduce a class of efficiency that says how our code use resource with respect to size of data
 - Focus on growth of resource usage

Preview

```
int find_max(vector<int> v) {
   int m = v[0];
   for (size_t i = 0;i < v.size();i++)
      if (v[i] > m)
      m = v[i];
   return m;
}
```

- This code takes time directly proportional to the size of the data
 - Size N takes time T
 - Size 5N should take time 5T

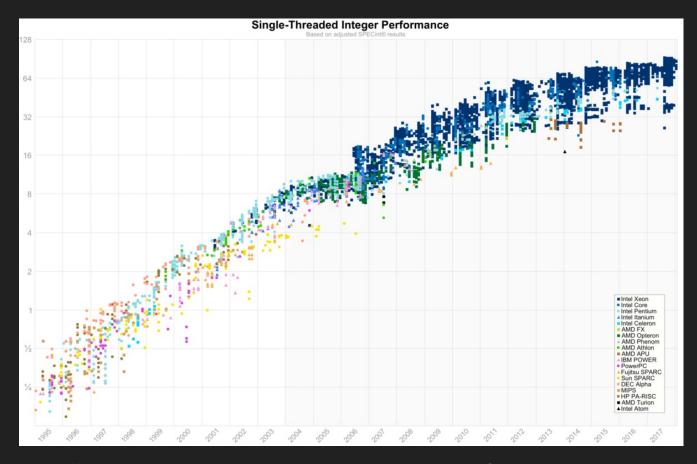
```
int count_pair_sum(vector<int> v,int k) {
   int count = 0;
   for (size_t i = 0;i < v.size();i++)
      for (size_t j = 0;j < v.size();j++)
      if (i != j && v[i] + v[j] == k)
          count++;
   return count/2;
}</pre>
```

- This code takes time directly proportional to the square of the size of the data
 - Size N takes time T
 - Size 5N should take time 25T

Why don't use real world clock?

- Ultimately, we want to know how long our program takes to do each operation
 - Use in design, How much resource we need
 - Help us choose appropriate data structure.
- Real world clock measurement is possible but has many drawback
 - System dependency
 - Too complex (we have to build our system)
 - Too Specific

Dependency of System



In summary, we want a measurement that is system independent

- Same program in different system = different time
 - CPU
 - RAM
 - Compiler
 - Operating parameter
 - Heat? Other running program?

https://preshing.com/20120208/a-look-back-at-single-threaded-cpu-performance/

How to measure

- Counting instruction
 - Depend on code only
- Dependency on size of data
 - Focus on large data

```
int count_pair_sum(vector<int> v,int k) {
  int count = 0;
  for (size_t i = 0; i < v.size(); i++)
    for (size_t j = 0; j < v.size(); j++)
    if (i != j && v[i] + v[j] == k)
        count++;
  return count/2;
}</pre>
```

This code use $4 + 4n + 5n^2$ instruction

```
(a) (b) (c) (d) (e) (f)

Total = 1 + 2+n+n + (2+n+n)*n + 2n^2 + n^2 + 1

= 4 + 4n + 5n^2
```



```
(a) 1
(b) 2 + n + n ( n = v.size() )
(c) (2 + n + n) * n
(d) 2 * n * n
(e) 1 * ???? ( <= (d) )
(f) 1</pre>
```

Time per instruction

- In reality, different CPU instructions use different time
- Same instruction but different CPU also use different number of cycle
- However, we just ignore it
 - For now

https://www.agner.org/optimize/instruction_tables.pdf

AMD Ryzen 3000 MUL

MUL, IMUL	r32/m32	2	3	1	
MUL, IMUL	r64/m64	2	3	1	
IMUL	r,r	1	3	1	
IMUL	r,m	1		1	

AMD Ryzen 3000 DIV

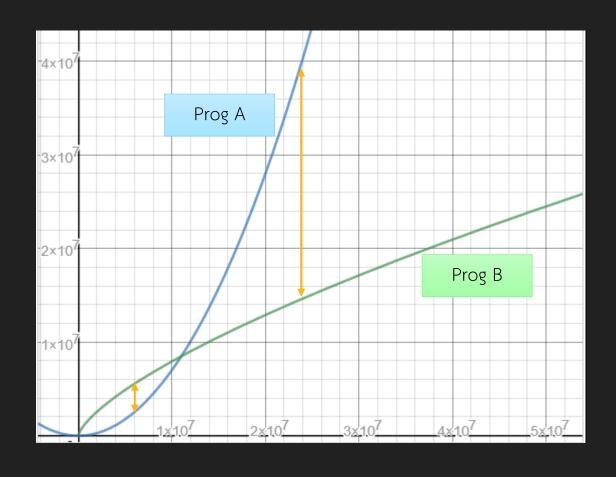
DIV	r8/m8	1	12-15	12-15	
DIV	r16/m16	2	13-20	13-20	
DIV	r32/m32	2	13-28	13-28	
DIV	r64/m64	2	13-44	13-44	

Intel Coffee Lake DIV

DIV	r8	10	10	p0 p1 p5 p6	23	6
DIV	r16	10	10	p0 p1 p5 p6	23	6
DIV	r32	10	10	p0 p1 p5 p6	26	6
DIV	r64	36	36	p0 p1 p5 p6	35-88	21-83

Focusing on large data

- In many cases, the size of the data we are working with will affect the time our code use
- Large data usually mean longer time
- What matter is when the data is large



Growth rate simplifies analysis

```
int count_pair_sum(vector<int> v,int k) {
  int count = 0;
  for (size_t i = 0; i < v.size(); i++)
    for (size_t j = i+1; j < v.size(); j++)
    if (v[i] + v[j] == k)
        count++;
  return count;
}</pre>
```

```
(a) (b) (c) (d) (e) (f)

Total = 1 + 2+n+n + n^2+n + n^2 + n^2 + 1

= 4 + 3n + 3n^2
```

This code uses $4 + 3n + 3n^2$ instruction

Small Detail

 n^2 $5n^2 + 4n + 4$ $3n^2 + 3n + 4$ n 1.31E+08 1.05E+08 5.24E+08 3.15E+084.19E+08 2.1E+09 1.26E+09

When counting instruction, it is usually OK to focus on most executed line

Measurement by Growth Rate

What?

- Growth rate = how much resource usage growth with respect to change of input
 - Resource usage = number of instruction used
 - Input = size of data
- Emphasizes long term trend

Why?

- System independent
 - The result can be used to predict behavior on any system
- Focus on change of resource usage with respect to size of input
- Can disregard small detail
 - Simple to calculate
 - Applicable in real world

Asymptotic Notation

Classification of growth rate

Overview

- Formally, it is a set of function having the growth rate related to something
- The definition focus on growth of the function while disregard small detail
- Also provide some workaround on dependency of value of input

What is?

- A notation written as O(f(n))
 - lacktriangle O can be one of f O, $f \Theta$, $m \Omega$, f O, $m \omega$
 - f(n) is some expression
- ullet Example O(n) or $\Theta(n^2+3)$ or $\omega(n^2log(n))$
- Usage
 - "This code is O(n)" (read as Big-Oh of n)
 - "This function takes time in $\Theta(\log(n))$ " (read as Big-theta of log n)
 - "Time complexity of this program is O(n²)"

Meaning

- "A is Θ (f(x))" means the growth rate of A is equal to the growth rate of f(x)
- "B is O(f(x))" means the growth rate of B is less than or equal to the growth rate of f(x)
- For Ω , σ , ω , (Big-Omega, little-oh, little-omega), we won't use it for now but the meaning is similar (which are more than or equal, less than, more than, respectively)
- Convention, we usually use N for the size of the data

Usage

- Let f(n) be the number of instruction need by code A when the size of data is n
- We will calculate asymptotic notation that f(n) is a member of
 - Find g(n) such that f(n) is O(g(n)) or $\Theta(g(n))$ (or $\Omega(g(n))$ or)

- Let's say we have analyzed that f(n) is O(g(n))
 - We now understand that the growth rate of instruction required by code A grows slower or the same as how g(n) grow

Comparing growth rate of f(n) and g(n)

• The relation of growth rate of f(n) and g(n) depends on the value of

f(n)/g(n) when n approach infinity

$$\lim_{n\to\infty} \left(\frac{f(n)}{g(n)}\right) = \begin{bmatrix} 0 & \text{f(n) grows slower than g(n)} \\ \\ c & \text{f(n) grows similar than g(n)} \end{bmatrix}$$

$$\infty \qquad \text{f(n) grows faster than g(n)}$$

O(g(n)) = set of all functions that does not grow faster than g(n)

 $\Theta(g(n))$ = set of all functions that grows similar to g(n)

Example

• $f(n) = 4 + 3n + 4n^2$

 \bullet g(n) = n²

$$\lim_{n\to\infty} \left(\frac{4n^2+3n+4}{n^2}\right) = \lim_{n\to\infty} \left(4 + \frac{3/n + 4/n^2}{n^2}\right) = 4$$

- Hence f(n) grows similar to g(n)
 - Therefore $f(n) = \Theta(n^2)$

vanish as n approach infinity

Another Example

- $f(n) = 0.00005 n^2$
- g(n) = 100000 n

$$\lim_{n\to\infty} \left(\frac{0.00005n^2}{100000n}\right) = \lim_{n\to\infty} \left(10^{-10}n\right) = \infty$$

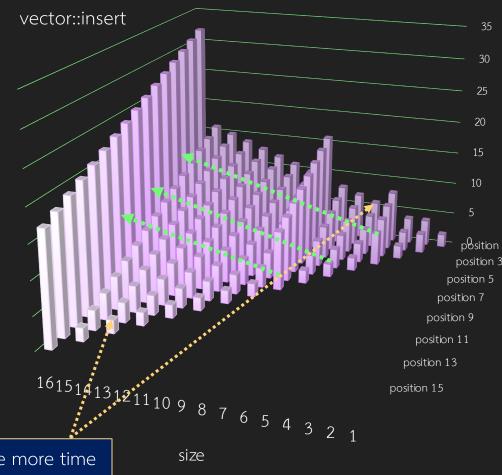
- Hence f(n) grows faster than g(n) (also means g(n) grows slower than f(n))
 - Therefore $g(n) = O(0.00005n^2)$
 - and $f(n) = \Omega(100000n)$

Big-O notation

- Formally, O(g(n)) is a set of all functions that grows either the same or slower than g(n)
- f(n) is O(g(n)) means $\lim (\frac{f(n)}{g(n)})$ is either 0 or a constant
 - Which implies that f(n) growth rate does not exceed that of g(n)

Dependency on the value of input

- Consider vector::insert(iterator it, T value)
- The time it takes depends on both the size of the vector and the value of it
 - Larger size --> more time
 - Closer to end() --> less time



Insert at begin() of size 4 use more time than insert at end of size 13

Big-O describes upper bound

- vector::insert is O(n)
 - Its growth rate does not exceed n
 - There are case that it maybe grow less than n (insert at end)
- This is very useful in real world
 - Knowing maximum load
 - Not overly complex in analysis

Big-O Example

- Find is O(n)
 - At worse, it can't find value and a and b points to begin() and end()
 - This case, find growth rate is n
 - At bets, it always find value at the first position (a)
 - This case, find growth as 1

```
bool find(iterator a, iterator b, T value) {
  while (a < b) {
    if (*a == value)
      return true;
    a++;
  }
  return false;
}</pre>
```

l'Hôpital's Rule

- Can help
- $F(n) = \log n$
- $g(n) = n^{0.5}$

$$\lim_{n \to \infty} \frac{\log n}{\sqrt{n}} = \lim_{n \to \infty} \frac{\ln(n)}{\ln(10)\sqrt{n}}$$

$$= \frac{1}{\ln(10)} \lim_{n \to \infty} \frac{\ln(n)}{\sqrt{n}}$$

$$= \frac{1}{\ln(10)} \lim_{n \to \infty} \frac{1/n}{1/(2\sqrt{n})}$$

$$= \frac{1}{\ln(10)} \lim_{n \to \infty} \frac{2}{\sqrt{n}} = 0$$

$$\log n \text{ grow slowe}$$

$$\text{use l'Hopital}$$

$$\frac{1/n}{1/(2\sqrt{n})} = \frac{2}{\sqrt{n}}$$

$$\lim_{n\to\infty} \frac{f(x)}{g(x)} = \lim_{n\to\infty} \frac{f'(x)}{g'(x)}$$

f(x) must be diffable

g(x) must be diffable

g(x) non-zero

lim(f'/g') must exists



log n grow slower than square root n

use l'Hopital

$$\frac{1/n}{1/(2\sqrt{n})} = \frac{2}{\sqrt{n}}$$

Exercise

- $f(n) = (\log n)^{c}$
- \bullet g(n) = n^k
- We know that c > 0, k > 0
- Does f(n) grow slower than g(n)?

Big-Theta is tight bound

- std::count always go through entire array
- Regardless of the value in the array, it always perform

```
if (*first == value)
```

More Example

O(n)

Observation: multiplicative and addition constants in f(n) can usually be ignored, since it will be disregard by lim. Degree cannot.

Θ(1)	Θ (n)	Θ	(n ²)
f(n) = 5	f(n) = n	f(n) = n*n
f(n) = 0	f(n) = n + 3	f(n) = C(n,2) = n(n-1)/2
f(n) = c	f(n) = n/1200 + 86	f(n	$) = 400n^2 + an + b$
	f(n) = 40000000n		Observation: O(g(n)) always include $oldsymbol{\Theta}$ (g(n))
			by definition
O(1)	<u> </u>	_	J

 $O(n^2)$

More Example

$\Theta(n)$

$$f(n) = n$$

$$f(n) = n + 3$$

$$f(n) = n/1200 + 86$$

$$f(n) = 40000000n$$

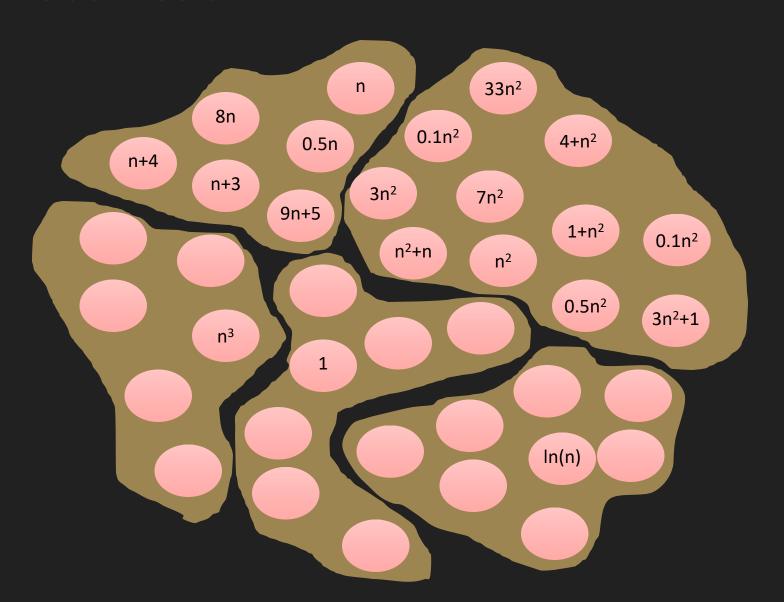
$$f(n) = n + 3$$
 is $O(n)$

$$f(n) = n$$
 is $O(4000000n)$

O(n) is O(400000n)

Which is also O(0.585n + 3)

Classification



Well known growth rate class

grow slow

Θ(1)

 $\Theta(\log(n))$

 $\Theta(\log^c(n))$, c >= 1

 $\Theta(n^a)$, 0 < a < 1

 $\Theta(n)$

 $\Theta(n \log(n))$

 $\Theta(n^2)$

 $\Theta(n^c)$, c >= 1

 $\Theta(c^n)$, c > 1

Θ(n!)

Constant

Logarithm

Polylogarithm

Sublinear

Linear

Linearithmic

Quadratic

Polynomial

Exponential

Factorial

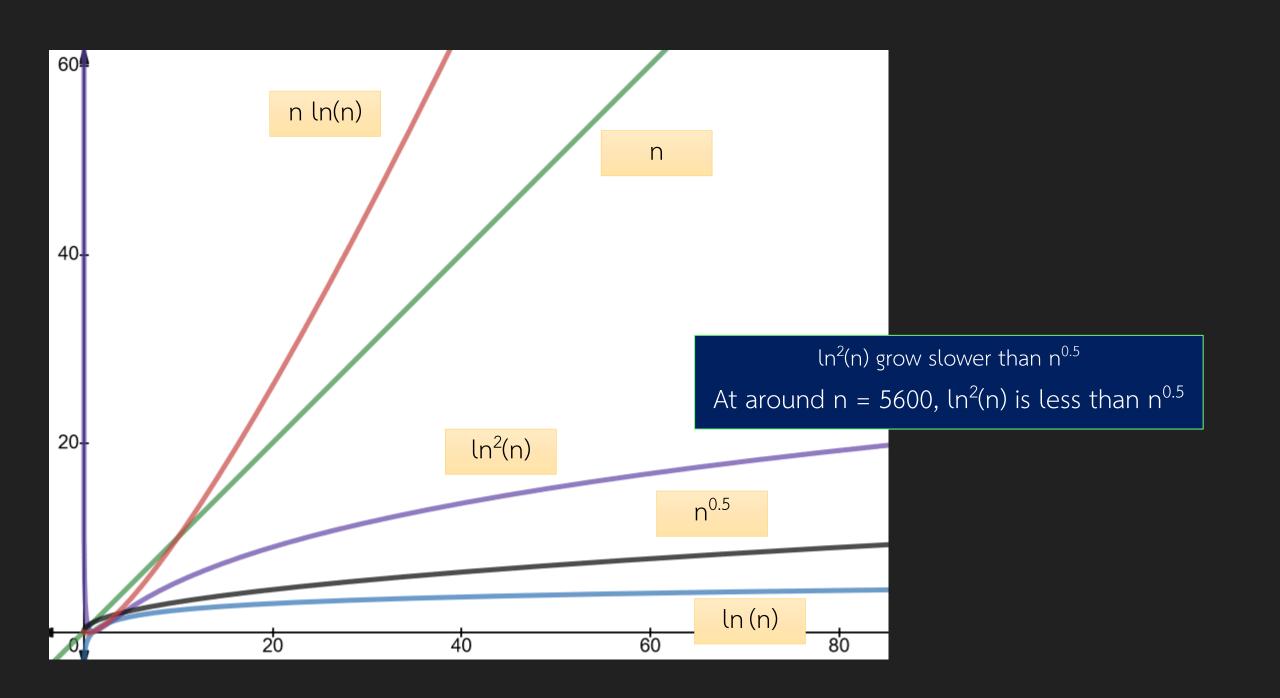
Exercise:

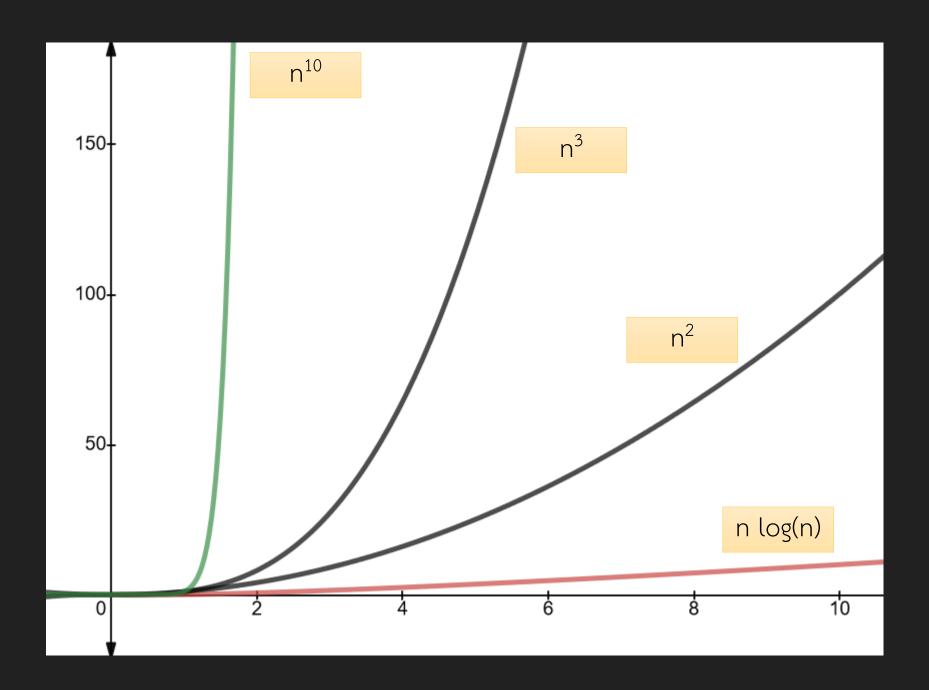
Try comparing following

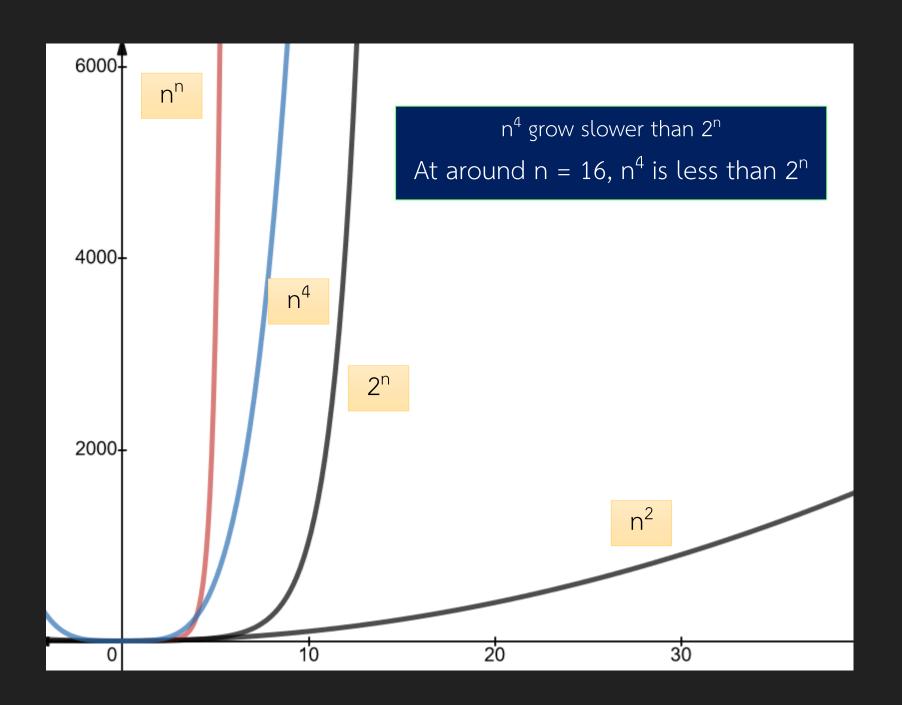
functions using $\lim \left(\frac{f(n)}{g(n)}\right)$

F(n)	G(n)	lim(F(n) / G(n))
log ₃ (n)	log ₈ (n)	
n ²	log ₂ (n)	
2 ⁿ	n ⁴	
log ₂ (n)	log ₂ (n ⁸)	

Grow fast







Beware

- ullet It is wrong to say that vector::insert is ullet(n)
 - Because there is a case that it grows faster than N
- ullet It is wrong to say that vector::push_back is $\Theta(n)$
 - Because there is a case that it grows slower than N
- It is ok to say that std::count is O(n)
 - Because, while it always grows as N, it does not grow faster than N
 - O is upper bound
 - But it is better to say that std::count is $\Theta(n)$

How to analyze using asymptotic notation

1: Write a code

2: Calculate the function F(n) that counts the number of instruction of the code when the data is of size n

Usually, just focus on most executed line

3: Find g(n) and a notation X such that f(n) is X(g(n))

It's either Big-O or Big-Theta

If there is a case that it can grow slower than G(n), use Big O

```
1 <= F(n) <= n
vector::erase is O(n)
```

Another Example

• Let's analyze vector::push back

```
void expand(size t capacity) {
  T *arr = new T[capacity]();
  for (size_t i = 0;i < mSize;i++) {
                                        Most executed line (a)
    arr[i] = mData[i];
  delete [] mData;
 mData = arr;
  mCap = capacity;
void ensureCapacity(size t capacity) {
  if (capacity > mCap) {
    size t s = (capacity > 2 * mCap) ? capacity : 2 * mCap;
    expand(s);
```

```
(a) Can be 0 to n

(b) Can also be 0 to n

Best case 0+0

Worst case n + n

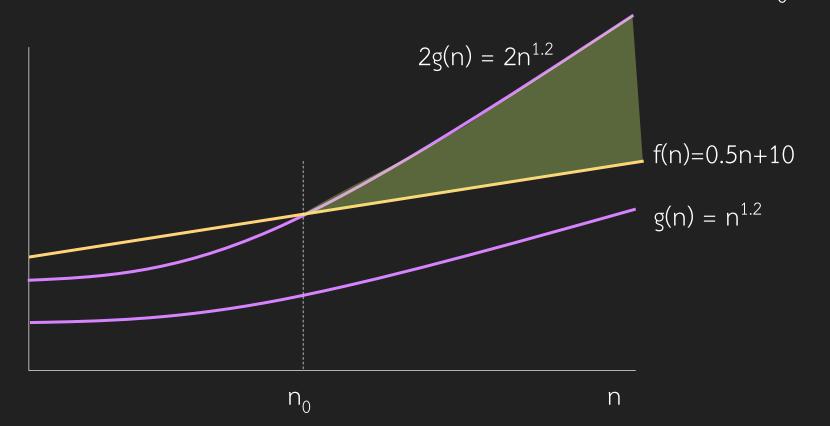
vector::push_back is O(n)
```

Another Definition for O and Θ

- Using set builder notation
- $O(g(n)) = \{ f(n) \mid \text{there exists } c > 0 \text{ and } n_0 >= 0$ such that $f(n) <= cg(n) \text{ for } n >= n_0 \}$
- $\Theta(g(n)) = \{ f(n) \mid \text{there exists } c_1 > 0, c_2 > 0 \text{ and } n_0 >= 0$ such that $c_1g(n) <= f(n) <= c_2g(n) \text{ for } n >= n_0 \}$
- The result is the same as definition using lim

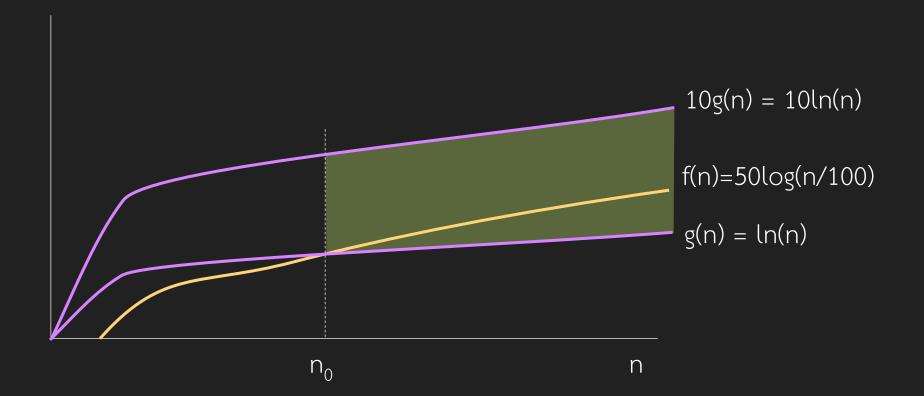
O as set builder notation

• $O(g(n)) = \{ f(n) \mid \text{there exists } c > 0 \text{ and } n_0 >= 0$ such that $f(n) <= cg(n) \text{ for } n >= n_0 \}$



O as set builder notation

• $\Theta(g(n)) = \{ f(n) \mid \text{there exists } c_1 > 0, c_2 > 0 \text{ and } n_0 >= 0$ such that $c_1g(n) <= f(n) <= c_2g(n) \text{ for } n >= n_0 \}$



Summary

- We use Asymptotic Notation to describe efficiency of a program
 - Measure instruction count instead of time
 - Focus on growth rate of instruction count
- Find most frequently executed line in the code and count it
- Maps to Big-Theta if we have tight bound
- Use Big-O if we have upper bound

More Example

f(n) = n

 Θ (n) Good

O(n) OK

O(n²) Bad, but not wrong

 $\Theta(n^2)$ Wrong

f(n) = n/2

 Θ (n) Good

O(n) OK

O(n²) Bad, but not wrong

 $\Theta(n^2)$ Wrong

```
int test2(vector<int> v) {
  int sum = 0;
  for (int i = 0;i < v.size();i++) \leftarrow
  for (int j = i+1;j < v.size();j++) \leftarrow
  sum += v[i] + v[j]; Most executed line return sum;
}
= n(n-1)/2
= \frac{n^2}{2} + \frac{n}{2}
```

 $\Theta(n^2)$ Good

O(n²) OK

O(n⁸) Bad, but not wrong

 $\Theta(n)$, $\Theta(n^3)$ Wrong

For polynomial,

use the one that has highest degree also discard constants

```
int test1(vector<int> v) {
   int sum = 0;
   for (int i = 0;i < v.size();i+= 2)
      sum += v[i];
   return sum;
}</pre>
```

```
int test2(vector<int> v) {
   int sum = 0;
   for (int i = 0;i < v.size();i++)
      for (int j = i+1;j < v.size();j++)
      sum += v[i] + v[j];
   return sum;
}</pre>
```

```
int test3(vector<int> v) {
  test1(v);
  test2(v);
}
```

For summation of multiple terms, use the one that grow fastest

$$f(n) = \Theta(n) + \Theta(n^2)$$

 $\Theta(n^2)$

Good

 $O(n^2)$

OK

 $O(n^8)$

Bad, but not wrong

 Θ (n), Θ (n³) O(n), O(1)

Wrong

```
int test1(vector<int> v) {
  int sum = 0;
  for (int i = 0;i < v.size();i+= 2)
    sum += v[i];
  return sum;
}</pre>
```

```
int test2(vector<int> v) {
   int sum = 0;
   for (int i = 0;i < v.size();i++)
      for (int j = i+1;j < v.size();j++)
      sum += v[i] + v[j];
   return sum;
}</pre>
```

```
int test3(vector<int> v) {
   if (v.size() % 2 == 0)
     test1(v);
   else
     test2(v);
}
```

With conditional statement where it can be either f1() or f2() Use $O(\max(f1(), f2()))$

$$f(n) = \begin{cases} \Theta(n) & \text{; n is even} \\ \Theta(n^2) & \text{; n is odd} \end{cases}$$

 $\Theta(n^2)$

Wrong

 $O(n^2)$

Good

 $O(n^8)$

Bad, but not wrong

 $\Theta(n)$, $\Theta(n^3)$ O(n), O(1)

Wrong

In each loop, n reduce by half

1: n

2: n/2

$$f(n) = \log_2(n)$$

3: n/2/2

4: n/2/2/2

 Θ (lg n)

Good

O(lg n)

OK

O(n)

Bad, but not wrong

 $\Theta(n^2)$

Wrong

$$f(n) = 100000 * log_{10}(n)$$

 Θ (lg n) Good

O(lg n) OK

O(n) Bad, but not wrong

 $\Theta(n^2)$ Wrong

Showing $\log_2(n!)$ is $\Theta(n \log_2 n)$

Will use set definition of Big-Theta

```
{ f(n) | \text{ there exists } c_1 > 0, c_2 > 0 \text{ and } n_0 >= 0
such that c_1 g(n) <= f(n) <= c_2 g(n) \text{ for } n >= n_0 }
```

Need to find c₁, c₂ and n₀

Finding c₁ and c₂

$$n! = n \times n - 1 \times n - 2 \times n - 3 \times \cdots \times 1$$

 $n! \le n \times n \quad \times n$ $\times n \times \cdots \times 1$

 $\log n! \leq \log n^n$

 $\log n^n = n \log n$ when $n \geq 1$

Found $c_2 = 1$ for upper bound

Found $c_1 = 32$ for lower bound

 $\log n! \le n \log n$ when $n \ge 1$

 $\log n! \ge 0.4 \, n \log n$ when $n \ge 32$

$$n! = n \times n - 1 \times \dots \times \left\lfloor \frac{n}{2} \right\rfloor \times \left(\left\lfloor \frac{n}{2} \right\rfloor - 1 \right) \times \dots \times 1$$

 $n! \ge \left| \frac{n}{2} \right| \times \left| \frac{n}{2} \right| \quad \times \dots \times \left| \frac{n}{2} \right| \times \quad 1 \times \dots \times 1$

 $n! \ge (n/2)^{n/2}$

 $\log(n/2)^{n/2} = (n/2)\log n - n/2$

 $\log n! \ge \log((n/2)^{n/2})$ $0.5n \log n - 0.5n \ge 0.4 \ n \log n$ when $n \ge 32$

$$0.1n \log n \ge 0.5n$$
$$0.1 \log n \ge 0.5$$
$$\log n \ge 5$$
$$n \ge 32$$

$$0.4 \, n \log n \leq \log n! \leq n \log n$$
 when $n \geq 32$
$$c_1 = 0.4 \quad c_2 = 1 \quad n_0 = 32$$

 $\log n!$ is $\Theta(n \log n)$ $n \log n$ is $\Theta(\log n!)$