

part of any positive integer n satisfying $\gcd(f(n), q) = 1$ must be supported on the primes in the set $\bigcup_{1 \leq v < k} S_v$. As a consequence, we have the following important observation.

Lemma 3.3. *If q is k -admissible, then the k -free part of any positive integer n satisfying $\gcd(f(n), q) = 1$ is bounded. More precisely, it is of size $O(1)$, where the implied constant depends only on the polynomials $\{W_{i,v}\}_{\substack{1 \leq i \leq K \\ 1 \leq v \leq k}}$.*

The following estimate (see [37, Lemma 2.4]) will be useful throughout the paper.

Lemma 3.4. *Let $G \in \mathbb{Z}[T]$ be a fixed nonconstant polynomial. For each positive integer q , let $\alpha_G(q) := \frac{1}{\varphi(q)} \#\{u \in U_q : G(u) \in U_q\}$. We have, uniformly in q and $x \geq 3q$,*

$$\sum_{p \leq x} \frac{\mathbb{1}_{(G(p), q)=1}}{p} = \alpha_G(q) \log_2 x + O((\log_2(3q))^{O(1)}).$$

Coming to the proof of the upper bound implied in (3.1), we define $y := \exp(\sqrt{\log x})$ and start by removing those n which are divisible by the $(k+1)$ -th power of a prime exceeding y . Writing any such n as AB for some k -free B and k -full A , Lemma 3.3 shows that $B \ll 1$ so that the contribution of such n to (3.1) is

$$(3.3) \quad \sum_{\substack{n \leq x: (f(n), q)=1 \\ \exists p > y: p^{k+1} | n}} 1 \ll \sum_{\substack{A \leq x \\ A \text{ is } k\text{-full} \\ \exists p > y: p^{k+1} | n}} 1 \leq \sum_{p > y} \sum_{\substack{v \geq k+1 \\ p^v \leq x}} \sum_{\substack{m \leq x/p^v \\ m \text{ is } k\text{-full}}} 1 \ll \sum_{p > y} \sum_{v \geq k+1} \left(\frac{x}{p^v}\right)^{1/k} \ll \left(\frac{x}{y}\right)^{1/k},$$

where we have used the fact that the number of k -full integers up to X is $O(X^{1/k})$ (see [13]). The last expression above is negligible in comparison to the right hand side of (3.1), hence, it remains to bound the number of n not divisible by the $(k+1)$ -th power of any prime greater than y and satisfying $(f(n), q) = 1$.

We write any such n in the form BMN , where N is y -rough, BM is y -smooth, B is k -free, M is k -full, and B, M, N are pairwise coprime. By Lemma 3.3, we see that $B = O(1)$ and that N is k -full. But since n is not divisible by the $(k+1)$ -th power of any prime exceeding y , N must be the k -th power of a squarefree y -rough integer A . Consequently,

$$(3.4) \quad \sum_{\substack{n \leq x: (f(n), q)=1 \\ p > y \Rightarrow p^{k+1} \nmid n}} 1 \leq \sum_{\substack{B \leq x \\ (f(B), q)=1 \\ B \text{ is } k\text{-free}}} \sum_{\substack{M \leq x/B: M \text{ is } k\text{-full} \\ P(M) \leq y, (f(M), q)=1}} \sum_{\substack{A \leq (x/BM)^{1/k} \\ P^-(A) > y: (f(A^k), q)=1 \\ A \text{ squarefree}}} 1.$$

We now write the right hand side of the above inequality as $\Sigma_1 + \Sigma_2$, where Σ_1 and Σ_2 count the contribution of (B, M, A) with $M \leq x^{1/2}$ and $M > x^{1/2}$, respectively. Any A counted in Σ_2 satisfies $A \leq (x/BM)^{1/k} \leq x^{1/2k}/B^{1/k}$, so that

$$\Sigma_2 \leq \sum_{\substack{B \leq x \\ (f(B), q)=1 \\ B \text{ is } k\text{-free}}} \sum_{\substack{A \leq x^{1/2k}/B^{1/k} \\ P^-(A) > y: (f(A^k), q)=1 \\ A \text{ squarefree}}} \sum_{\substack{M \leq x/BA^k: P(M) \leq y \\ M \text{ is } k\text{-full}, (f(M), q)=1}} 1.$$

To bound the innermost sum, we invoke Lemma 3.2; here $U = \frac{\log(x/BA^k)}{\log y} \geq \frac{1}{2}\sqrt{\log x}$. This yields

$$\Sigma_2 \ll \sum_{\substack{B \leq x \\ (f(B), q)=1 \\ B \text{ is } k\text{-free}}} \sum_{\substack{A \leq x^{1/2k}/B^{1/k} \\ P^-(A) > y: (f(A^k), q)=1 \\ A \text{ squarefree}}} \frac{x^{1/k}}{B^{1/k}A} \exp\left(-\frac{1}{6k}\sqrt{\log x} \cdot \log_2 x\right).$$

Recalling that $B = O(1)$ and bounding the sum on A trivially by $2\log x$, we deduce that $\Sigma_2 \ll x^{1/k} \exp(-\sqrt{\log x})$, which is negligible compared to the right hand side of (3.1).

To estimate Σ_1 , we invoke [17, Theorem 01, p. 2] on the multiplicative function $g(A) := \mu(A)^2 \mathbb{1}_{P^-(A) > y} \mathbb{1}_{(f(A^k), q)=1}$, with μ denoting the Möbius function. Since $M \leq x^{1/2}$ and $B \ll 1$,

$$\Sigma_1 \ll \frac{x^{1/k}}{\log x} \exp\left(\sum_{y < p \leq x} \frac{\mathbb{1}_{(W_k(p), q)=1}}{p}\right) \sum_{\substack{M \leq x^{1/2}: M \text{ is } k\text{-full} \\ P(M) \leq y, (f(M), q)=1}} \frac{1}{M^{1/k}}.$$

But since the sum on M above is no more than

$$(3.5) \quad \sum_{\substack{M \text{ is } k\text{-full} \\ P(M) \leq y, (f(M), q)=1}} \frac{1}{M^{1/k}} \leq \prod_{p \leq y} \left(1 + \frac{\mathbb{1}_{(f(p^k), q)=1}}{p} + O\left(\frac{1}{p^{1+1/k}}\right)\right) \ll \exp\left(\sum_{p \leq y} \frac{\mathbb{1}_{(W_k(p), q)=1}}{p}\right),$$

it follows by an application of Lemma 3.4 to estimate the sum $\sum_{p \leq x} \mathbb{1}_{(W_k(p), q)=1}/p$, that Σ_1 is absorbed in the right hand side of (3.1). This establishes Proposition 3.1.

4. THE MAIN TERM IN THEOREMS 2.1 TO 2.4: CONTRIBUTION OF “CONVENIENT” n

In what follows, we define

$$J := \lfloor \log_3 x \rfloor \text{ and } y := \exp((\log x)^{\epsilon/2}),$$

where ϵ is as in the statement of Theorem 2.1 and $\epsilon := 1$ for Theorems 2.2 to 2.4. We call $n \leq x$ **convenient** if the largest J *distinct* prime divisors of n exceed y and each appear to exactly the k -th power in n . In other words, n is convenient iff it can be uniquely written in the form $n = m(P_J \cdots P_1)^k$ for $m \leq x$ and primes P_1, \dots, P_J satisfying

$$(4.1) \quad L_m := \max\{y, P(m)\} < P_J < \cdots < P_1.$$

Note that any n having $P_{Jk}(n) \leq y$ must be inconvenient; on the other hand, if n is inconvenient and satisfies $\gcd(f(n), q) = 1$ then either $P_{Jk}(n) \leq y$ or n is divisible by the $(k+1)$ -th power of a prime exceeding y . We start by showing that there are a negligible number of inconvenient $n \leq x$ satisfying $\gcd(f(n), q) = 1$.

Proposition 4.1. *We have as $x \rightarrow \infty$,*

$$(4.2) \quad \sum_{\substack{n \leq x: (f(n), q)=1 \\ n \text{ inconvenient}}} 1 = o\left(\sum_{\substack{n \leq x \\ (f(n), q)=1}} 1\right),$$

uniformly in k -admissible $q \leq (\log x)^{K_0}$.

Proof. By (3.3) and (3.1), the contribution of the n 's that are divisible by the $(k+1)$ -th power of a prime exceeding y is negligible. Letting $z := x^{1/\log_2 x}$, we show that the same is true for the contribution of z -smooth n to the left hand side of (4.2). Indeed, writing any such n in the form AB for some k -free B and k -full A , we have $P(A) \leq z$ whereas (by Lemma 3.3) $B = O(1)$. Hence the contribution of z -smooth n is, by Lemma 3.2,

$$(4.3) \quad \sum_{\substack{n \leq x: P(n) \leq z \\ (f(n), q) = 1}} 1 \ll \sum_{\substack{A \leq x: P(A) \leq z \\ A \text{ is } k\text{-full}}} 1 \ll x^{1/k} \exp\left(-\left(\frac{1}{k} + o(1)\right) \log_2 x \log_3 x\right),$$

which is negligible compared to the right hand side of (4.2).

It remains to consider the contribution of those n which are not z -smooth and are not divisible by the $(k+1)$ -th power of a prime exceeding y . Since n is inconvenient, we have $P_{Jk}(n) \leq y$. Hence, n can be written in the form mP^k where $P := P(n) > z$ and $m = n/P^k$, so that $P_{Jk}(m) \leq y$, $\gcd(m, P) = 1$ and $f(n) = f(m)f(P^k)$. Given m , there are at most $\sum_{z < P \leq (x/m)^{1/k}} 1 \ll x^{1/k}/m^{1/k} \log z$ many possibilities for P . Consequently,

$$(4.4) \quad \sum_{\substack{n \leq x \text{ inconvenient} \\ P(n) > z, (f(n), q) = 1 \\ p > y \Rightarrow p^{k+1} \nmid n}} 1 \leq \sum_{\substack{n \leq x: P_{Jk}(n) \leq y \\ P(n) > z, (f(n), q) = 1 \\ p > y \Rightarrow p^{k+1} \nmid n}} 1 \ll \frac{x^{1/k} \log_2 x}{\log x} \sum_{\substack{m \leq x \\ P_{Jk}(m) \leq y, (f(m), q) = 1 \\ p > y \Rightarrow p^{k+1} \nmid m}} \frac{1}{m^{1/k}}.$$

As in the argument preceding (3.4), we write any m occurring in the above sum (uniquely) in the form BMA^k , where B is k -free, M is k -full, A is squarefree, $P(BM) \leq y < P^-(A)$, and $\Omega(A) \leq J$ (since $P_{Jk}(n) \leq y$). Since $B = O(1)$, we deduce that

$$\sum_{\substack{m \leq x \\ P_{Jk}(m) \leq y, (f(m), q) = 1 \\ p > y \Rightarrow p^{k+1} \nmid m}} \frac{1}{m^{1/k}} \ll \sum_{\substack{M \text{ } k\text{-full} \\ P(M) \leq y, (f(M), q) = 1}} \frac{1}{M^{1/k}} \sum_{\substack{A \leq x \\ \Omega(A) \leq J}} \frac{1}{A}.$$

The sum on A is no more than $(1 + \sum_{p \leq x} 1/p)^J \leq (2 \log_2 x)^J \leq \exp(O((\log_3 x)^2))$, while the sum on M is $\ll \exp(\alpha_k \log_2 y + O((\log_2(3q))^{O(1)}))$ by (3.5) and Lemma 3.4. Altogether,

$$(4.5) \quad \sum_{\substack{m \leq x \\ P_{Jk}(m) \leq y, (f(m), q) = 1 \\ p > y \Rightarrow p^{k+1} \nmid m}} \frac{1}{m^{1/k}} \ll (\log x)^{\alpha_k \epsilon/2} \exp(O((\log_3 x)^2 + (\log_2(3q))^{O(1)})),$$

and inserting this into (4.4) completes the proof via Proposition 3.1. \square

It is the convenient n which give rise to the main term in the count of $n \leq x$ satisfying the congruences $f_i(n) \equiv a_i \pmod{q}$. We shall spend the next few sections proving this.

Theorem 4.2. *Fix $K_0, B_0 > 0$ and assume that $\{W_{i,k}\}_{1 \leq i \leq K} \subset \mathbb{Z}[T]$ are nonconstant and multiplicatively independent. As $x \rightarrow \infty$, we have*

$$\sum_{\substack{n \leq x \text{ convenient} \\ (\forall i) f_i(n) \equiv a_i \pmod{q}}} 1 \sim \frac{1}{\varphi(q)^K} \sum_{\substack{n \leq x \\ (f(n), q) = 1}} 1,$$