

satisfying  $(A_1, \dots, A_K) \not\equiv (0, \dots, 0) \pmod{\ell}$ . To show this, we proceed as in the proof of (a), but working with the matrix  $M_1$  defined in (5.2) in place of the exponent matrix  $E_0$ . Observe that  $\tilde{F}(T) = \sum_{j=0}^{D-1} \left( \sum_{i=1}^K c_{i,j} A_i \right) T^j$ , hence if  $\kappa(\ell) := \text{ord}_\ell(\tilde{F})$ , then  $\ell^{\kappa(\ell)}$  divides all the entries of the matrix  $M_1(A_1 \cdots A_K)^\top$ . Since  $M_1$  has full rank and  $D = \sum_{i=1}^K \deg F_i \geq K$  many rows, and since  $(A_1, \dots, A_K) \not\equiv (0, \dots, 0) \pmod{\ell}$ , an argument entirely analogous to the one leading to (5.3) shows that  $\ell^{\kappa(\ell)}$  divides the last invariant factor  $\tilde{\beta}$  of  $M_1$ . Hence  $\text{ord}_\ell(\tilde{F}) = \kappa(\ell) \leq v_\ell(\tilde{\beta})$  and our claim follows as  $|\tilde{\beta}| < C_1$ .

As a consequence, we find that  $\text{ord}_\ell \left( T^{\varphi(\ell^r)} \left( \prod_{i=1}^K F_i(T)^{A_i-1} \right) \tilde{F}(T) \right) = \text{ord}_\ell(\tilde{F}) \leq \mathbb{1}_{\ell \leq C_1} C_1$  for all primes  $\ell \leq C_1$  satisfying  $\text{ord}_\ell(F_1 \cdots F_K) = 0$ , and also for all primes  $\ell > C_1$  (for which the condition  $\text{ord}_\ell(F_1 \cdots F_K) = 0$  is automatic by definition of  $C_1$ ). But now since  $\text{ord}_\ell(\varphi(\ell^r)) \geq 1$  for  $r \geq 2$  and  $\text{ord}_\ell(\varphi(\ell^r)) \geq C_1 + 1$  for  $r \geq C_1 + 2$ , (5.4) shows that  $\tau(\ell) = \text{ord}_\ell \left( T^{\varphi(\ell^r)} \left( \prod_{i=1}^K F_i(T)^{A_i-1} \right) \tilde{F}(T) \right)$ , establishing subpart (b) of the proposition.

Finally, since in both the cases of (5.1), we have  $\tau(\ell) < r - 1$ , the identity (5.4) reveals that

$$\mathcal{C}_\ell(T) \equiv \ell^{-\tau(\ell)} \left( T^{\varphi(\ell^r)} \prod_{i=1}^K F_i(T)^{A_i} \right)' \equiv T^{\varphi(\ell^r)} \left( \prod_{i=1}^K F_i(T)^{A_i-1} \right) \left( \ell^{-\tau(\ell)} \tilde{F}(T) \right) \text{ in the ring } \mathbb{F}_\ell[T].$$

As such, any root of the polynomial  $\theta \in \mathbb{F}_\ell$  of  $\mathcal{C}_\ell(T)$  (considered as a nonzero element of  $\mathbb{F}_\ell[T]$ ) which is not a root of  $T \prod_{i=1}^K F_i(T)$ , must be a root of  $\ell^{-\tau(\ell)} \tilde{F}(T)$ , and  $\theta$  must have the same multiplicity in  $\mathcal{C}_\ell(T)$  and  $\ell^{-\tau(\ell)} \tilde{F}(T)$ . This completes the proof of Proposition 5.3.  $\square$

We now come to the main result of this section: the promised generalization of Proposition 4.4. The following notation and conventions will be relevant only in the rest of the section.

Let  $\{G_{i,r}\}_{\substack{1 \leq i \leq K \\ 1 \leq r \leq L}} \subset \mathbb{Z}[T]$  be a fixed collection of nonconstant polynomials such that for each  $r \in [L]$ , the polynomials  $\{G_{i,r}\}_{1 \leq i \leq K} \subset \mathbb{Z}[T]$  are multiplicatively independent. Define  $D_0 := \max_{1 \leq r \leq L} \sum_{i=1}^K \deg G_{i,r}$ . Let  $N \geq 1$  and  $\{F_{i,j}\}_{\substack{1 \leq i \leq K \\ 1 \leq j \leq N}} \subset \mathbb{Z}[T]$  be a family of polynomials such that for each  $j \in [N]$ , the vector  $(F_{i,j})_{i=1}^K$  coincides with one of the vectors  $(G_{i,j'})_{i=1}^K$  for some  $j' \in [L]$  (possibly depending on  $j$ ). In this case we define, for any integer  $q$ ,

$$\tilde{\alpha}_j(q) := \frac{1}{\varphi(q)} \# \{u \in U_q : \prod_{i=1}^K F_{i,j}(u) \in U_q\} = \frac{1}{\varphi(q)} \# \{u \in U_q : \prod_{i=1}^K G_{i,j'}(u) \in U_q\},$$

and let  $\alpha_N^*(q) := \prod_{j=1}^N \tilde{\alpha}_j(q)$ . For any  $(w_i)_{i=1}^K \in U_q^K$ , define

$$\tilde{\mathcal{V}}_{N,K}(q; (w_i)_{i=1}^K) := \left\{ (v_1, \dots, v_N) \in U_q^N : (\forall i \in [K]) \prod_{j=1}^N F_{i,j}(v_j) \equiv w_i \pmod{q} \right\}.$$

Fix  $B_0 > 0$ . In the next three results, the implied constants may depend only on  $B_0$  and on the fixed collection of polynomials  $\{G_{i,r}\}_{\substack{1 \leq i \leq K \\ 1 \leq r \leq L}}$  (besides other parameters declared explicitly).

**Proposition 5.4.** *There exists a constant  $C_0 := C_0 \left( \{G_{i,r}\}_{1 \leq i \leq K; 1 \leq r \leq L}; B_0 \right) > (8D_0)^{2D_0+2}$  depending only on  $\{G_{i,r}\}_{1 \leq i \leq K; 1 \leq r \leq L}$  and  $B_0$ , such that for any constant  $C > C_0$ , the following hold.*

- (a) *Uniformly for  $N \geq KD_0 + 1$  and in coprime residues  $w_1, \dots, w_K$  to moduli  $q$  satisfying  $\alpha_N^*(q) \neq 0$  and  $IFH(G_{1,r}, \dots, G_{K,r}; B_0)$  for each  $r \in [L]$ , we have*

$$(5.5) \quad \frac{\#\tilde{\mathcal{V}}_{N,K}(q; (w_i)_{i=1}^K)}{\varphi(q)^N} = \frac{\alpha_N^*(q)}{\alpha_N^*(Q_0)} \left( \frac{\varphi(Q_0)}{\varphi(q)} \right)^K \left\{ \frac{\#\tilde{\mathcal{V}}_{N,K}(Q_0; (w_i)_{i=1}^K)}{\varphi(Q_0)^N} + O\left(\frac{1}{C^N}\right) \right\} \prod_{\substack{\ell|q \\ \ell > C_0}} \left( 1 + O\left(\frac{(4D_0)^N}{\ell^{N/D_0-K}}\right) \right),$$

where  $Q_0$  is a  $C_0$ -smooth divisor of  $q$  of size  $O_C(1)$ .

- (b) *For any **fixed**  $N \geq 1$  and uniformly in coprime residues  $w_1, \dots, w_K \bmod q$ , we have*

$$(5.6) \quad \frac{\#\tilde{\mathcal{V}}_{N,K}(q; (w_i)_{i=1}^K)}{\varphi(q)^N} \leq \frac{(\prod_{\ell|q} e)^{1_{N=KD_0}}}{q^{\min\{K, N/D_0\}}} \exp(O(\omega(q))).$$

*Proof.* In what follows,  $q$  is an arbitrary positive integer (unless stated otherwise). We may assume that  $\alpha_N^*(q) \neq 0$ , for both the assertions of the proposition are vacuous or tautological otherwise. In particular, this means that  $\text{ord}_\ell(\prod_{i=1}^K \prod_{j=1}^N F_{i,j}) = 0$  for each prime  $\ell \mid q$ . Fix  $C_0 := C_0 \left( \{G_{i,r}\}_{1 \leq i \leq K; 1 \leq r \leq L}; B_0 \right)$  to be any constant exceeding  $B_0$ ,  $(32D_0)^{2D_0+2}$ , the sizes of the leading and constant coefficients of  $\{G_{i,r}\}_{1 \leq i \leq K; 1 \leq r \leq L}$ , as well as the constants  $C_1(G_{1,r}, \dots, G_{K,r})$  coming from applications of Proposition 5.3 to each of the families  $\{G_{i,r}\}_{1 \leq i \leq K}$  of multiplicatively independent polynomials. We will show that any such choice of  $C_0$  suffices.

We first consider the case  $D_0 > 1$ ; we will deal with the  $D_0 = 1$  case towards the end of this argument. For an arbitrary positive integer  $Q$  and coprime residues  $w_1, \dots, w_K \bmod Q$ , we apply the orthogonality of Dirichlet characters to detect the congruences defining  $\tilde{\mathcal{V}}_{N,K}(Q; (w_i)_{i=1}^K)$ . This yields

$$(5.7) \quad \#\tilde{\mathcal{V}}_{N,K}(Q; (w_i)_{i=1}^K) = \frac{1}{\varphi(Q)^K} \sum_{\chi_1, \dots, \chi_K \bmod Q} \bar{\chi}_1(w_1) \cdots \bar{\chi}_K(w_K) \prod_{j=1}^N Z_{Q; \chi_1, \dots, \chi_K}(F_{1,j}, \dots, F_{K,j}),$$

where  $Z_{Q; \chi_1, \dots, \chi_K}(F_{1,j}, \dots, F_{K,j}) := \sum_{v \bmod Q} \chi_{0,Q}(v) \prod_{i=1}^K \chi_i(F_{i,j}(v))$  and  $\chi_{0,Q}$  denotes (as usual) the trivial character mod  $Q$ .

We show the following estimates, both uniform in residues  $w_1, \dots, w_K \in U_{\ell^e}$  for primes  $\ell > C_0$ :

- (i) If  $\alpha_N^*(\ell) \neq 0$  and  $\gcd(\ell - 1, \beta(G_{1,r}, \dots, G_{K,r})) = 1$  for each  $r \in [L]$ , then

$$(5.8) \quad \frac{\#\tilde{\mathcal{V}}_{N,K}(\ell^e; (w_i)_{i=1}^K)}{\varphi(\ell^e)^N} = \frac{\alpha_N^*(\ell)}{\varphi(\ell^e)^K} \left( 1 + O\left(\frac{(4D_0)^N}{\ell^{N/D_0-K}}\right) \right),$$

uniformly in  $N \geq KD_0 + 1$ .

- (ii) For each fixed  $N \geq 1$ , there is a constant  $K'$  depending at most on  $N$  and  $\{G_{i,r}\}_{1 \leq i \leq K, 1 \leq r \leq L}$  such that

$$(5.9) \quad \frac{\#\tilde{\mathcal{V}}_{N,K}(\ell^e; (w_i)_{i=1}^K)}{\varphi(\ell^e)^N} \leq K' \frac{e^{\mathbb{1}_{N=KD_0}}}{(\ell^e)^{\min\{K, N/D_0\}}}.$$

To show these, we start by applying (5.7) with  $Q := \ell^e$  to get

$$(5.10) \quad \frac{\#\tilde{\mathcal{V}}_{N,K}(\ell^e; (w_i)_{i=1}^K)}{\varphi(\ell^e)^N} \leq \frac{1}{\varphi(\ell^e)^K} \left\{ 1 + \frac{1}{\varphi(\ell^e)^N} \sum_{(\chi_1, \dots, \chi_K) \neq (\chi_{0,\ell}, \dots, \chi_{0,\ell}) \pmod{\ell^e}} \prod_{j=1}^N |Z_{\ell^e; \chi_1, \dots, \chi_K}(F_{1,j}, \dots, F_{K,j})| \right\};$$

in addition, if  $\alpha_N^*(\ell) \neq 0$ , then from  $Z_{\ell^e; \chi_{0,\ell}, \dots, \chi_{0,\ell}}(F_{1,j}, \dots, F_{K,j}) = \tilde{\alpha}_j(\ell)\varphi(\ell^e)$ , we have

$$(5.11) \quad \frac{\#\tilde{\mathcal{V}}_{N,K}(\ell^e; (w_i)_{i=1}^K)}{\varphi(\ell^e)^N} = \frac{\alpha_N^*(\ell)}{\varphi(\ell^e)^K} \left\{ 1 + \frac{1}{\alpha_N^*(\ell)\varphi(\ell^e)^N} \sum_{(\chi_1, \dots, \chi_K) \neq (\chi_{0,\ell}, \dots, \chi_{0,\ell}) \pmod{\ell^e}} \bar{\chi}_1(w_1) \cdots \bar{\chi}_K(w_K) \prod_{j=1}^N Z_{\ell^e; \chi_1, \dots, \chi_K}(F_{1,j}, \dots, F_{K,j}) \right\}.$$

Now consider any tuple  $(\chi_1, \dots, \chi_K) \neq (\chi_{0,\ell}, \dots, \chi_{0,\ell}) \pmod{\ell^e}$  and any  $j \in [N]$ . Let  $\ell^{e_0} := \text{lcm}[\mathfrak{f}(\chi_1), \dots, \mathfrak{f}(\chi_K)] \in \{\ell, \dots, \ell^e\}$ . Using  $\chi_1, \dots, \chi_K$  to also denote the characters mod  $\ell^{e_0}$  inducing  $\chi_1, \dots, \chi_K$  respectively, we get

$$(5.12) \quad Z_{\ell^e; \chi_1, \dots, \chi_K}(F_{1,j}, \dots, F_{K,j}) = \ell^{e-e_0} Z_{\ell^{e_0}; \chi_1, \dots, \chi_K}(F_{1,j}, \dots, F_{K,j})$$

Since  $\ell > C_0 > 2$ , the character group mod  $\ell^{e_0}$  is generated by the character  $\psi_{e_0}$  given by  $\psi_{e_0}(\gamma) := \exp(2\pi i/\varphi(\ell^{e_0}))$ , for some generator  $\gamma$  of  $U_{\ell^{e_0}}$ . As such, there exists a tuple  $(A_1, \dots, A_K) \in [\varphi(\ell^{e_0})]$  satisfying  $\chi_i = \psi_{e_0}^{A_i}$  for each  $i$ , and

$$(5.13) \quad (A_1, \dots, A_K) \not\equiv \begin{cases} (0, \dots, 0) \pmod{\ell}, & \text{if } e_0 > 1, \\ (0, \dots, 0) \pmod{\ell-1}, & \text{if } e_0 = 1, \end{cases}$$

since at least one of  $\chi_1, \dots, \chi_K$  is primitive mod  $\ell^{e_0}$ . This gives

$$(5.14) \quad Z_{\ell^{e_0}; \chi_1, \dots, \chi_K}(F_{1,j}, \dots, F_{K,j}) = \sum_{v \pmod{\ell^{e_0}}} \psi_{e_0} \left( v^{\varphi(\ell^{e_0})} \prod_{i=1}^K F_{i,j}(v)^{A_i} \right).$$

We now consider two possibilities, namely when  $e_0 = 1$  or  $e_0 \geq 2$ .

*Case 1:* Suppose  $e_0 = 1$ . For each  $j \in [N]$ , consider  $j' \in [L]$  satisfying  $(G_{i,j'})_{i=1}^K = (F_{i,j})_{i=1}^K$ . By Proposition 5.3(a), we see there are  $O_L(1)$  many possible tuples  $(\chi_1, \dots, \chi_K)$  of characters mod  $\ell^e$  having  $\text{lcm}[\mathfrak{f}(\chi_1), \dots, \mathfrak{f}(\chi_K)] = \ell$ , for which  $T^{\varphi(\ell)} \prod_{i=1}^K F_{i,j}(T)^{A_i} = T^{\varphi(\ell)} \prod_{i=1}^K G_{i,j'}(T)^{A_i}$  is of the form  $c \cdot G(T)^{\ell-1}$  in  $\mathbb{F}_\ell[T]$  for some  $j \in [N]$  (here  $A_i$  are as above). Moreover if  $\gcd(\ell-1, \beta(G_{1,r}, \dots, G_{K,r})) = 1$  for all  $r \in [L]$ , then there is no such tuple  $(\chi_1, \dots, \chi_K)$ . For