

part of any positive integer  $n$  satisfying  $\gcd(f(n), q) = 1$  must be supported on the primes in the set  $\bigcup_{1 \leq v < k} S_v$ . As a consequence, we have the following important observation.

**Lemma 3.3.** *If  $q$  is  $k$ -admissible, then the  $k$ -free part of any positive integer  $n$  satisfying  $\gcd(f(n), q) = 1$  is bounded. More precisely, it is of size  $O(1)$ , where the implied constant depends only on the polynomials  $\{W_{i,v}\}_{\substack{1 \leq i \leq K \\ 1 \leq v \leq k}}$ .*

The following estimate (see [37, Lemma 2.4]) will be useful throughout the paper.

**Lemma 3.4.** *Let  $G \in \mathbb{Z}[T]$  be a fixed nonconstant polynomial. For each positive integer  $q$ , let  $\alpha_G(q) := \frac{1}{\varphi(q)} \#\{u \in U_q : G(u) \in U_q\}$ . We have, uniformly in  $q$  and  $x \geq 3q$ ,*

$$\sum_{p \leq x} \frac{\mathbb{1}_{(G(p), q) = 1}}{p} = \alpha_G(q) \log_2 x + O((\log_2(3q))^{O(1)}).$$

Coming to the proof of the upper bound implied in (3.1), we define  $y := \exp(\sqrt{\log x})$  and start by removing those  $n$  which are divisible by the  $(k+1)$ -th power of a prime exceeding  $y$ . Writing any such  $n$  as  $AB$  for some  $k$ -free  $B$  and  $k$ -full  $A$ , Lemma 3.3 shows that  $B \ll 1$  so that the contribution of such  $n$  to (3.1) is

$$(3.3) \quad \sum_{\substack{n \leq x: (f(n), q) = 1 \\ \exists p > y: p^{k+1} | n}} 1 \ll \sum_{\substack{A \leq x \\ A \text{ is } k\text{-full} \\ \exists p > y: p^{k+1} | n}} 1 \leq \sum_{p > y} \sum_{v \geq k+1} \sum_{\substack{m \leq x/p^v \\ p^v \leq x \\ m \text{ is } k\text{-full}}} 1 \ll \sum_{p > y} \sum_{v \geq k+1} \left( \frac{x}{p^v} \right)^{1/k} \ll \left( \frac{x}{y} \right)^{1/k},$$

where we have used the fact that the number of  $k$ -full integers up to  $X$  is  $O(X^{1/k})$  (see [13]). The last expression above is negligible in comparison to the right hand side of (3.1), hence, it remains to bound the number of  $n$  not divisible by the  $(k+1)$ -th power of any prime greater than  $y$  and satisfying  $(f(n), q) = 1$ .

We write any such  $n$  in the form  $BMN$ , where  $N$  is  $y$ -rough,  $BM$  is  $y$ -smooth,  $B$  is  $k$ -free,  $M$  is  $k$ -full, and  $B, M, N$  are pairwise coprime. By Lemma 3.3, we see that  $B = O(1)$  and that  $N$  is  $k$ -full. But since  $n$  is not divisible by the  $(k+1)$ -th power of any prime exceeding  $y$ ,  $N$  must be the  $k$ -th power of a squarefree  $y$ -rough integer  $A$ . Consequently,

$$(3.4) \quad \sum_{\substack{n \leq x: (f(n), q) = 1 \\ p > y \implies p^{k+1} \nmid n}} 1 \leq \sum_{\substack{B \leq x \\ (f(B), q) = 1 \\ B \text{ is } k\text{-free}}} \sum_{\substack{M \leq x/B: M \text{ is } k\text{-full} \\ P(M) \leq y, (f(M), q) = 1}} \sum_{\substack{A \leq (x/BM)^{1/k} \\ A \text{ squarefree}}} 1.$$

We now write the right hand side of the above inequality as  $\Sigma_1 + \Sigma_2$ , where  $\Sigma_1$  and  $\Sigma_2$  count the contribution of  $(B, M, A)$  with  $M \leq x^{1/2}$  and  $M > x^{1/2}$ , respectively. Any  $A$  counted in  $\Sigma_2$  satisfies  $A \leq (x/BM)^{1/k} \leq x^{1/2k}/B^{1/k}$ , so that

$$\Sigma_2 \leq \sum_{\substack{B \leq x \\ (f(B), q) = 1 \\ B \text{ is } k\text{-free}}} \sum_{\substack{A \leq x^{1/2k}/B^{1/k} \\ P^-(A) > y: (f(A^k), q) = 1 \\ A \text{ squarefree}}} \sum_{\substack{M \leq x/BA^k: P(M) \leq y \\ M \text{ is } k\text{-full}, (f(M), q) = 1}} 1.$$

To bound the innermost sum, we invoke Lemma 3.2; here  $U = \frac{\log(x/BA^k)}{\log y} \geq \frac{1}{2}\sqrt{\log x}$ . This yields

$$\Sigma_2 \ll \sum_{\substack{B \leq x \\ (f(B), q) = 1 \\ B \text{ is } k\text{-free}}} \sum_{\substack{A \leq x^{1/2k}/B^{1/k} \\ P^-(A) > y: (f(A^k), q) = 1 \\ A \text{ squarefree}}} \frac{x^{1/k}}{B^{1/k}A} \exp\left(-\frac{1}{6k}\sqrt{\log x} \cdot \log_2 x\right).$$

Recalling that  $B = O(1)$  and bounding the sum on  $A$  trivially by  $2 \log x$ , we deduce that  $\Sigma_2 \ll x^{1/k} \exp(-\sqrt{\log x})$ , which is negligible compared to the right hand side of (3.1).

To estimate  $\Sigma_1$ , we invoke [17, Theorem 01, p. 2] on the multiplicative function  $g(A) := \mu(A)^2 \mathbb{1}_{P^-(A) > y} \mathbb{1}_{(f(A^k), q) = 1}$ , with  $\mu$  denoting the Möbius function. Since  $M \leq x^{1/2}$  and  $B \ll 1$ ,

$$\Sigma_1 \ll \frac{x^{1/k}}{\log x} \exp\left(\sum_{y < p \leq x} \frac{\mathbb{1}_{(W_k(p), q) = 1}}{p}\right) \sum_{\substack{M \leq x^{1/2}: M \text{ is } k\text{-full} \\ P(M) \leq y, (f(M), q) = 1}} \frac{1}{M^{1/k}}.$$

But since the sum on  $M$  above is no more than

$$(3.5) \quad \sum_{\substack{M \text{ is } k\text{-full} \\ P(M) \leq y, (f(M), q) = 1}} \frac{1}{M^{1/k}} \leq \prod_{p \leq y} \left(1 + \frac{\mathbb{1}_{(f(p^k), q) = 1}}{p} + O\left(\frac{1}{p^{1+1/k}}\right)\right) \ll \exp\left(\sum_{p \leq y} \frac{\mathbb{1}_{(W_k(p), q) = 1}}{p}\right),$$

it follows by an application of Lemma 3.4 to estimate the sum  $\sum_{p \leq x} \mathbb{1}_{(W_k(p), q) = 1}/p$ , that  $\Sigma_1$  is absorbed in the right hand side of (3.1). This establishes Proposition 3.1.

#### 4. THE MAIN TERM IN THEOREMS 2.1 TO 2.4: CONTRIBUTION OF “CONVENIENT” $n$

In what follows, we define

$$J := \lfloor \log_3 x \rfloor \text{ and } y := \exp((\log x)^{\epsilon/2}),$$

where  $\epsilon$  is as in the statement of Theorem 2.1 and  $\epsilon := 1$  for Theorems 2.2 to 2.4. We call  $n \leq x$  **convenient** if the largest  $J$  distinct prime divisors of  $n$  exceed  $y$  and each appear to exactly the  $k$ -th power in  $n$ . In other words,  $n$  is convenient iff it can be uniquely written in the form  $n = m(P_J \cdots P_1)^k$  for  $m \leq x$  and primes  $P_1, \dots, P_J$  satisfying

$$(4.1) \quad L_m := \max\{y, P(m)\} < P_J < \cdots < P_1.$$

Note that any  $n$  having  $P_{Jk}(n) \leq y$  must be inconvenient; on the other hand, if  $n$  is inconvenient and satisfies  $\gcd(f(n), q) = 1$  then either  $P_{Jk}(n) \leq y$  or  $n$  is divisible by the  $(k+1)$ -th power of a prime exceeding  $y$ . We start by showing that there are a negligible number of inconvenient  $n \leq x$  satisfying  $\gcd(f(n), q) = 1$ .

**Proposition 4.1.** *We have as  $x \rightarrow \infty$ ,*

$$(4.2) \quad \sum_{\substack{n \leq x: (f(n), q) = 1 \\ n \text{ inconvenient}}} 1 = o\left(\sum_{\substack{n \leq x \\ (f(n), q) = 1}} 1\right),$$

uniformly in  $k$ -admissible  $q \leq (\log x)^{K_0}$ .

*Proof.* By (3.3) and (3.1), the contribution of the  $n$ 's that are divisible by the  $(k+1)$ -th power of a prime exceeding  $y$  is negligible. Letting  $z := x^{1/\log_2 x}$ , we show that the same is true for the contribution of  $z$ -smooth  $n$  to the left hand side of (4.2). Indeed, writing any such  $n$  in the form  $AB$  for some  $k$ -free  $B$  and  $k$ -full  $A$ , we have  $P(A) \leq z$  whereas (by Lemma 3.3)  $B = O(1)$ . Hence the contribution of  $z$ -smooth  $n$  is, by Lemma 3.2,

$$(4.3) \quad \sum_{\substack{n \leq x: P(n) \leq z \\ (f(n), q) = 1}} 1 \ll \sum_{\substack{A \leq x: P(A) \leq z \\ A \text{ is } k\text{-full}}} 1 \ll x^{1/k} \exp\left(-\left(\frac{1}{k} + o(1)\right) \log_2 x \log_3 x\right),$$

which is negligible compared to the right hand side of (4.2).

It remains to consider the contribution of those  $n$  which are not  $z$ -smooth and are not divisible by the  $(k+1)$ -th power of a prime exceeding  $y$ . Since  $n$  is inconvenient, we have  $P_{Jk}(n) \leq y$ . Hence,  $n$  can be written in the form  $mP^k$  where  $P := P(n) > z$  and  $m = n/P^k$ , so that  $P_{Jk}(m) \leq y$ ,  $\gcd(m, P) = 1$  and  $f(n) = f(m)f(P^k)$ . Given  $m$ , there are at most  $\sum_{z < P \leq (x/m)^{1/k}} 1 \ll x^{1/k}/m^{1/k} \log z$  many possibilities for  $P$ . Consequently,

$$(4.4) \quad \sum_{\substack{n \leq x \text{ inconvenient} \\ P(n) > z, (f(n), q) = 1 \\ p > y \implies p^{k+1} \nmid n}} 1 \leq \sum_{\substack{n \leq x: P_{Jk}(n) \leq y \\ P(n) > z, (f(n), q) = 1 \\ p > y \implies p^{k+1} \nmid n}} 1 \ll \frac{x^{1/k} \log_2 x}{\log x} \sum_{\substack{m \leq x \\ P_{Jk}(m) \leq y, (f(m), q) = 1 \\ p > y \implies p^{k+1} \nmid m}} \frac{1}{m^{1/k}}.$$

As in the argument preceding (3.4), we write any  $m$  occurring in the above sum (uniquely) in the form  $BMA^k$ , where  $B$  is  $k$ -free,  $M$  is  $k$ -full,  $A$  is squarefree,  $P(BM) \leq y < P^-(A)$ , and  $\Omega(A) \leq J$  (since  $P_{Jk}(n) \leq y$ ). Since  $B = O(1)$ , we deduce that

$$\sum_{\substack{m \leq x \\ P_{Jk}(m) \leq y, (f(m), q) = 1 \\ p > y \implies p^{k+1} \nmid m}} \frac{1}{m^{1/k}} \ll \sum_{\substack{M \leq x \\ P(M) \leq y, (f(M), q) = 1}} \frac{1}{M^{1/k}} \sum_{\substack{A \leq x \\ \Omega(A) \leq J}} \frac{1}{A}.$$

The sum on  $A$  is no more than  $(1 + \sum_{p \leq x} 1/p)^J \leq (2 \log_2 x)^J \leq \exp(O((\log_3 x)^2))$ , while the sum on  $M$  is  $\ll \exp(\alpha_k \log_2 y + O((\log_2(3q))^{O(1)}))$  by (3.5) and Lemma 3.4. Altogether,

$$(4.5) \quad \sum_{\substack{m \leq x \\ P_{Jk}(m) \leq y, (f(m), q) = 1 \\ p > y \implies p^{k+1} \nmid m}} \frac{1}{m^{1/k}} \ll (\log x)^{\alpha_k \epsilon / 2} \exp(O((\log_3 x)^2 + (\log_2(3q))^{O(1)})),$$

and inserting this into (4.4) completes the proof via Proposition 3.1.  $\square$

It is the convenient  $n$  which give rise to the main term in the count of  $n \leq x$  satisfying the congruences  $f_i(n) \equiv a_i \pmod{q}$ . We shall spend the next few sections proving this.

**Theorem 4.2.** *Fix  $K_0, B_0 > 0$  and assume that  $\{W_{i,k}\}_{1 \leq i \leq K} \subset \mathbb{Z}[T]$  are nonconstant and multiplicatively independent. As  $x \rightarrow \infty$ , we have*

$$\sum_{\substack{n \leq x \text{ convenient} \\ (\forall i) f_i(n) \equiv a_i \pmod{q}}} 1 \sim \frac{1}{\varphi(q)^K} \sum_{\substack{n \leq x \\ (f(n), q) = 1}} 1,$$