

By [27, Theorem 1], the Euler totient  $\varphi(n)$  and the sum of divisors  $\sigma(n)$  are jointly WUD modulo a fixed integer  $q$  precisely when  $q$  is coprime to 6; in fact,  $\mathcal{Q}(1; \varphi, \sigma) = \{q : (q, 6) = 1\}$ . Theorem 2.1 shows that this joint weak equidistribution holds uniformly in  $q \leq (\log x)^{(1-\epsilon)\alpha(q)}$  coprime to 6, where  $\alpha(q) := \prod_{\ell|q} (\ell - 3)/(\ell - 1)$  and  $\epsilon > 0$  is fixed but arbitrary. In subsection § 8.1, we will show that the ranges of  $q$  in (i)–(iii) above are all essentially optimal, and that for  $K \geq 2$ , the range of  $q$  under condition (i) is essentially optimal, even if  $q$  is squarefree and  $\{W_{i,k}\}_{1 \leq i \leq K}$  are *all* linear, for *any* choice of (pairwise coprime) linear functions! In particular, this means that the range  $(\log x)^{(1-\epsilon)\alpha(q)}$  is essentially optimal for the joint weak equidistribution of  $\varphi$  and  $\sigma$ , even if we restrict to squarefree  $q$ .

Our constructions in § 8.1 will reveal that obstructions to uniformity in  $q$  come from inputs  $n$  that are  $k$ -th powers of a prime  $P$ . Modifying these constructions, we can produce obstructions of the form  $mP^k$  with  $m$  fixed or growing slowly with  $x$ . It turns out that the problematic inputs are those with too few large prime factors. More precisely, complete uniformity in  $q$  up to a fixed but arbitrary power of  $\log x$  can be restored by restricting the set of inputs  $n$  to those divisible by a sufficient number (say  $R$ ) of primes exceeding  $q$  (here and below, all prime factors are counted with multiplicity unless stated otherwise). A smaller value of  $R$  suffices provided we assume that sufficiently many of these primes appear to a  $k$ -th power in  $n$ .

To make these precise, we let  $P(n)$  denote the largest prime divisor of  $n$ , with the convention that  $P(1) := 1$ . Set  $P_1(n) := P(n)$ , and inductively define  $P_k(n) := P_{k-1}(n/P(n))$ . Thus,  $P_k(n)$  is the  $k$ -th largest prime factor of  $n$  (counted with multiplicity), with  $P_k(n) = 1$  if  $\Omega(n) < k$ . We also use  $n_k$  to denote the largest positive integer such that  $n_k^k$  is a unitary divisor of  $n$ ; in other words, no prime divisor of the integer  $n/n_k^k$  appears to an exponent divisible by  $k$ . (Informally, we may call  $n_k$  the “reduced  $k$ -th power part” of  $n$ ; if  $k = 1$ , then  $n_1 = n$ .) Since  $D = 1$  forces  $K = 1$  and  $W_k = W_{1,k}$  to be linear (a case in which Theorem 2.1(i) already gives complete uniformity in  $q \leq (\log x)^{K_0}$ ), we assume in Theorems 2.2 to 2.4 below that  $D \geq 2$ .

**Theorem 2.2.** *The following formulae hold as  $x \rightarrow \infty$ , uniformly in coprime residues  $a_1, \dots, a_K$  to moduli  $q \leq (\log x)^{K_0}$  lying in  $\mathcal{Q}(k; f_1, \dots, f_K)$  and satisfying IFH( $W_{1,k}, \dots, W_{K,k}; B_0$ ).*

(a)

$$(2.2) \quad \#\{n \leq x : P_R(n) > q, (\forall i) f_i(n) \equiv a_i \pmod{q}\} \\ \sim \frac{1}{\varphi(q)^K} \#\{n \leq x : \gcd(f(n), q) = 1\} \sim \frac{1}{\varphi(q)^K} \#\{n \leq x : P_R(n) > q, \gcd(f(n), q) = 1\},$$

where

$$\begin{cases} R = k(KD + 1), & \text{if } k < D \\ R \text{ is the least integer exceeding } k(1 + (k+1)(K-1/D)), & \text{if } k \geq D. \end{cases}$$

(b)

$$(2.3) \quad \#\{n \leq x : P_{KD+1}(n_k) > q, (\forall i) f_i(n) \equiv a_i \pmod{q}\} \\ \sim \frac{1}{\varphi(q)^K} \#\{n \leq x : \gcd(f(n), q) = 1\} \sim \frac{1}{\varphi(q)^K} \#\{n \leq x : P_{KD+1}(n_k) > q, \gcd(f(n), q) = 1\}.$$

We remark here that since the inputs  $n$  we work with satisfy  $\gcd(f(n), q) = 1$ , the  $k$ -admissibility of  $q$  guarantees that  $n$  must differ by a bounded factor from a  $k$ -full integer (see Lemma 3.3 below). This is what makes the anatomy of the reduced  $k$ -th power parts (i.e. the  $n_k$ ), and hence also the kind of restriction in subpart (b), natural to consider. The two formulae (2.2) and (2.3) coincide for  $k = 1$ , and even in the special case  $k = K = 1$ , either of them improves over Theorem 1.4(a) in [37]. The value of  $R$  in Theorem 2.2(a) is optimal for the sum of divisors function  $\sigma(n)$  to even moduli  $q$ ; see the discussion on applications following the statement of Theorem 2.6.

For squarefree moduli  $q$ , it suffices to have much weaker restrictions (that are also exactly or nearly optimal) on the set of inputs  $n$  so as to detect weak equidistribution.

**Theorem 2.3.** *The following hold as  $x \rightarrow \infty$ , uniformly in coprime residues  $a_1, \dots, a_K$  modulo squarefree  $q \leq (\log x)^{K_0}$  lying in  $\mathcal{Q}(k; f_1, \dots, f_K)$  and satisfying  $\text{IFH}(W_{1,k}, \dots, W_{K,k}; B_0)$ .*

(a) *The formulae (2.2) for  $k \geq 2$ , with<sup>4</sup>*

$$R := \begin{cases} k(Kk + K - k) + 1, & \text{if at least one of } \{W_{i,k}\}_{1 \leq i \leq K} \subset \mathbb{Z}[T] \text{ is not squarefull.} \\ k(Kk + K - k + 1) + 1, & \text{in general.} \end{cases}$$

(b) *The formulae (2.3), either with “ $KD + 1$ ” replaced by  $2K + 1$  for any  $K \geq 1$ , or with “ $KD + 1$ ” replaced by 2 for  $K = 1$  when  $W_k = W_{1,k} \in \mathbb{Z}[T]$  is not squarefull.*

Since  $n_1 = n$ , the case  $k = 1$  missing in (a) is accounted for in (b). It is worthwhile to strive for the optimality of  $R$  since doing so ensures weak equidistribution among the largest possible set of inputs  $n$ . In subsection § 11.1, we show that the restriction on the inputs  $n$  in (a) is optimal in the sense that in order to have uniformity in  $q \leq (\log x)^{K_0}$ , it is not possible to reduce “ $k(Kk + K - k) + 1$ ” to “ $k(Kk + K - k)$ ”. Likewise, the restriction in (b) is nearly optimal in that it is not possible to reduce “ $2K + 1$ ” to “ $2K - 1$ ” for any  $K \geq 2$ , nor is it possible to reduce the “2” to “1” for  $K = 1$ . (In fact, in all these examples,  $\{W_{i,k}\}_{i=1}^K$  will be pairwise coprime irreducibles, making  $\prod_{i=1}^K W_{i,k}$  separable over  $\mathbb{Q}$ .) The restriction  $k \geq 2$  and the nonsquarefullness condition in (a) are for technical reasons that will become clear from the arguments.

Our constructions demonstrating the aforementioned optimality or near-optimality of the values of  $R$  in Theorem 2.3 will come from multiplicative functions  $f_i$  for which the polynomials  $\{W_{i,k}\}_{1 \leq i \leq K}$  are nonconstant (in fact multiplicatively independent), but for which the polynomials  $\{W_{i,k+1}\}_{1 \leq i \leq K}$  or  $\{W_{i,2k}\}_{1 \leq i \leq K}$  are constant. In practice however, the  $W_{i,v}$  are often non-constant for many more values of  $v$  (beyond a fixed threshold  $k$ ); in fact, for many well-known arithmetic functions  $f$  (such as the Euler totient and sums of divisor-powers  $\sigma_r(n) := \sum_{d|n} d^r$ ), the values  $f(p^v)$  are controlled by nonconstant polynomials  $W_v \in \mathbb{Z}[T]$  for all  $v \geq 1$ . Hence, it is natural to ask whether the restriction on inputs  $n$  in Theorems 2.2 and 2.3 can be weakened when such additional control on the  $f_i$  is available, or in other words, if  $V$  (the number of

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<sup>4</sup>Here we write a polynomial  $F \in \mathbb{Z}[T]$  as  $F = r \prod_{j=1}^M H_j^{\nu_j}$  for some  $\nu_j \in \mathbb{N}$  and pairwise coprime primitive irreducibles  $H_j \in \mathbb{Z}[T]$ , and we say that  $F$  is “squarefull” in  $\mathbb{Z}[T]$  if  $(\prod_{j=1}^M H_j)^2 \mid F$ . This condition is equivalent to saying that  $\prod_{\substack{\theta \in \mathbb{C} \\ F(\theta)=0}} (T - \theta)^2 \mid F(T)$  in  $\mathbb{C}[T]$ , i.e., that every root of  $F$  in  $\mathbb{C}$  has multiplicity at least 2.

powers of primes at which we are assuming the  $f_i$  to be controlled by nonconstant polynomials  $W_{i,v}$ ) can be taken to be sufficiently large. It turns out that we can almost always do this for squarefree  $q$  and in several cases in general. Unlike the results stated so far, the implied constants in Theorem 2.4 below could depend on the full set of polynomials  $\{W_{i,v}\}_{\substack{1 \leq i \leq K \\ 1 \leq v \leq V}}$ .

**Theorem 2.4.** *Assume that the polynomials  $\{W_{i,v}\}_{1 \leq i \leq K} \subset \mathbb{Z}[T]$  are multiplicatively independent for each  $v$  satisfying  $k \leq v \leq V$ . Let  $D_0 := \max_{k \leq v \leq V} D_v = \max_{k \leq v \leq V} \sum_{i=1}^K \deg W_{i,v}$ .*

- (a) *If either  $V > k(K + 1 - 1/D_{\min}) - 1$  and  $R := \max\{k(KD + 1), (Kk - 1)D_0 + 2\}$ , or*
- (b) *If  $q$  is squarefree,  $V \geq Kk$ , and  $R := k(2K + 1)$ ,*

*then the relations (2.2) hold, uniformly in coprime residues  $a_1, \dots, a_K$  modulo  $q \leq (\log x)^{K_0}$  lying in  $\mathcal{Q}(k; f_1, \dots, f_K)$  and satisfying IFH( $W_{1,k}, \dots, W_{K,k}; B_0$ ).*

Notice that for any  $K > 2$ , the result under (b) unconditionally improves over Theorem 2.3(a) in terms of weakening the restriction on inputs  $n$ . On the other hand, the result under condition (a) improves over Theorem 2.2(a) whenever  $k$  or  $D$  is large enough compared to  $D_0$ .

We now explain the necessity of the two key additional hypotheses that we have been assuming in our main results so far, namely the multiplicative independence of  $\{W_{i,k}\}_{1 \leq i \leq K} \subset \mathbb{Z}[T]$  and the invariant factor hypothesis. It turns out that without the former condition, the  $K$  congruences  $f_i(n) \equiv a_i \pmod{q}$  (for  $1 \leq i \leq K$ ) may degenerate to fewer congruences for sufficiently many inputs  $n$ , making weak equidistribution fail uniformly to *all* sufficiently large  $q \leq (\log x)^{K_0}$ . In this situation, weak equidistribution *cannot* be restored *no matter* how much we restrict the set of inputs  $n$  to those having sufficiently many large prime factors. We make this explicit in the next result.

**Theorem 2.5.** *Fix  $R \geq 1$ ,  $K > 1$  and assume that  $\{W_{i,k}\}_{1 \leq i \leq K-1} \subset \mathbb{Z}[T]$  are multiplicatively independent, with  $\sum_{i=1}^{K-1} \deg W_{i,k} > 1$ . Suppose  $W_{K,k} = \prod_{i=1}^{K-1} W_{i,k}^{\lambda_i}$  for some nonnegative integers  $(\lambda_i)_{i=1}^{K-1} \neq (0, \dots, 0)$ . There exists a constant  $C := C(W_{1,k}, \dots, W_{K-1,k}) > 0$  such that*

$$\#\{n \leq x : P_{Rk}(n) > q, (\forall i \in [K]) f_i(n) \equiv a_i \pmod{q}\} \gg \frac{1}{\varphi(q)^{K-1}} \cdot \frac{x^{1/k}(\log \log x)^{R-2}}{\log x}$$

*as  $x \rightarrow \infty$ , uniformly in  $k$ -admissible  $q \leq (\log x)^{K_0}$  supported on primes  $\ell > C$  satisfying  $\gcd(\ell - 1, \beta(W_{1,k}, \dots, W_{K-1,k})) = 1$ , and in  $a_i \in U_q$  with  $a_K \equiv \prod_{i=1}^{K-1} a_i^{\lambda_i} \pmod{q}$ .*

The compatibility of the relations involving  $W_{K,k}$  and  $a_K$  suggests the aforementioned degeneracy from  $K$  to  $K - 1$  congruences. Note that the above lower bound will in fact come from the  $n$  which are supported on primes much larger than  $q$ . A similar lower bound holds for  $K = 1$  when  $W_k = W_{1,k}$  is constant (see the remark preceding subsection § 13.1). Using the above theorem, we shall construct (in § 13.1) explicit examples of polynomials  $\{W_{i,k}\}_{1 \leq i \leq K-1}$  and moduli  $q \in \mathcal{Q}(k; f_1, \dots, f_K)$  where the above lower bound grows strictly faster than the expected proportion of  $n \leq x$  having  $\gcd(f(n), q) = 1$ . This would demonstrate an overrepresentation of the coprime residues  $(a_i \pmod{q})_{i=1}^K$  by the multiplicative functions  $f_1, \dots, f_K$ , coming from inputs  $n$  that have at least  $Rk$  many prime factors exceeding  $q$ , showing the necessity of our hypothesis on the multiplicative independence of  $\{W_{i,k}\}_{1 \leq i \leq K}$ .