Differentially Private Synthetic Text Generation for Retrieval-Augmented Generation (RAG)

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Abstract

Retrieval-Augmented Generation (RAG) enhances large language models (LLMs) by grounding them in external knowledge. However, its application in sensitive domains is limited by privacy risks. Existing private RAG methods typically rely on query-time differential privacy (DP), which requires repeated noise injection and leads to accumulated privacy loss. To address this issue, we propose DP-SynRAG, a framework that uses LLMs to generate differentially private synthetic RAG databases. Unlike prior methods, the synthetic text can be reused once created, thereby avoiding repeated noise injection and additional privacy costs. To preserve essential information for downstream RAG tasks, DP-SynRAG extends private prediction, which instructs LLMs to generate text that mimics subsampled database records in a DP manner. Experiments show that DP-SynRAG achieves superior performanec to the state-of-the-art private RAG systems while maintaining a fixed privacy budget, offering a scalable solution for privacy-preserving RAG.

1 Introduction

Retrieval-Augmented Generation (RAG) (Lewis et al., 2020) has been widely used to enhance the performance of large language models (LLMs) (Gao et al., 2024) by leveraging external knowledge databases. However, recent studies have identified significant privacy risks in RAG systems when their databases contain sensitive information (Zeng et al., 2024; Qi et al., 2025; Jiang et al., 2025; Maio et al., 2024; Wang et al., 2025b). For instance, extraction attacks against medical chatbots for patients or recommender systems for customers can expose private data to attackers. Moreover, sensitive information in the retrieved documents may also be inadvertently revealed to benign users during normal interactions through LLM outputs (Figure 1).

To develop secure RAG systems, differential privacy (DP), a formal framework for protecting in-

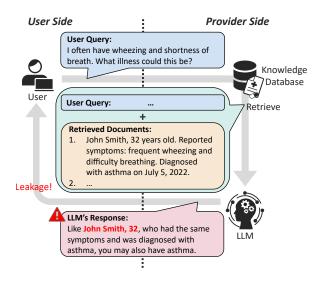


Figure 1: A demonstration of privacy risks in RAG databases: sensitive information contained in retrieved documents (e.g., patient names) may be revealed to benign users through LLM's responses.

dividual records, has begun to be used (Grislain, 2025; Koga et al., 2025; Wang et al., 2025a). Existing approaches ensures DP by injecting noise into the LLM's responses to user queries, thereby reducing the influence of any single record.

However, these methods must add noise to each response; thus, they consume the privacy budget proportionally to the number of queries. Consequently, in typical RAG scenarios involving many queries under a fixed privacy budget, the utility of responses degrades substantially.

To avoid repeated noise injection, we propose a text generation method using LLMs, called **D**ifferentially **P**rivate **Syn**thetic text generation for **RAG** databases (DP-SynRAG). Once synthetic texts with DP guarantees are generated, they can be reused indefinitely as the RAG database without incurring additional privacy budget, regardless of the number of queries. To achieve high-quality private text generation, we adopt *private prediction* (Hong et al., 2024; Tang et al., 2024; Amin et al., 2024;

Gao et al., 2025), which prompts the LLM with subsampled database records and rephrasing instructions, while perturbing the aggregated output token distributions to limit per-record information leakage. One limitation of these approaches is that they capture only global properties of the entire dataset, discarding not only sensitive details but also important knowledge needed for RAG. DP-SynRAG mitigates this issue by clustering documents in a DP manner based on keywords and document-level embeddings, thereby grouping semantically similar documents and separating distinct topics. Applying private prediction to these clusters in parallel enables large-scale synthetic text generation that preserves cluster-specific knowledge at low privacy cost. Finally, to reduce the effect of low-quality synthetic texts, we apply LLM-based self-filtering to improve downstream RAG performance.

We validate our approach on three datasets tailored to our setting. Results show that our method outperforms existing private RAG approaches in most cases while maintaining a fixed privacy budget, demonstrating its effectiveness and scalability for privacy-preserving RAG applications.

2 Related Works

2.1 Privacy Risks of RAG

When sensitive information is stored in the external databases used by RAG, various privacy risks arise. The first major threat is that adversarial prompts can trigger extraction attacks, causing personally identifiable information (PII) or raw database text to be leaked through the LLM's output to malicious users (Zeng et al., 2024; Qi et al., 2025; Jiang et al., 2025; Maio et al., 2024; Peng et al., 2025). Wang et al. (2025b) has also shown that extraction may occur even from benign queries. The second major threat is membership inference attacks (MIAs), in which an adversary infers whether specific target data exist in the database. Several studies (Li et al., 2025; Anderson et al., 2025; Naseh et al., 2025; Liu et al., 2025) have shown that such attacks are also effective against RAG systems.

Our paper proposes a general defense method that counters all the above attacks by ensuring DP.

2.2 Privacy-preserving RAG

Various methods have been proposed to protect the privacy of RAG. One early approach paraphrases documents in the database using LLMs to remove sensitive information (Zeng et al., 2025). However,

this method ignores privacy risks during retrieval and lacks DP guarantees. As non-DP defenses, researchers have proposed training embedding models robust to adversarial queries (He et al., 2025), detecting MIA queries and excluding the corresponding documents (Choi et al., 2025), and perturbing data embeddings (Yao and Li, 2025).

Recent studies have also proposed directly enforcing DP on LLM outputs (Grislain, 2025; Koga et al., 2025; Wang et al., 2025a). However, because each query output is perturbed, these methods consume the privacy budget per query, which increases the risk of leakage as queries accumulate.

2.3 Differentially Private Text Generation

Our method relies on DP-guaranteed synthetic text generation. Generated data can be used for downstream tasks such as training, in-context learning, and RAG without incurring extra privacy costs.

Early approaches apply local differential privacy (LDP) sanitization to raw text (Yue et al., 2021; Chen et al., 2023), but this severely reduces utility due to the strong noise to each word.

Recent work instead leverages the generative capabilities of LLMs to produce DP synthetic text. One direction is *private fine-tuning*, where an LLM fine-tuned on private data using DP-SGD generates synthetic text under DP guarantees (Yue et al., 2023; Kurakin et al., 2024; Yu et al., 2024). These methods, however, are computationally expensive due to DP-SGD and impractical for RAG, where the underlying knowledge database is periodically updated, making repeated retraining infeasible.

Another direction is *private prediction*, which applies a DP mechanism to the output token distribution when an LLM paraphrases the original text. This is typically implemented via *subsample-and-aggregation* (Nissim et al., 2007), where the private dataset is divided into disjoint subsets, and non-private predictions from each subset are privately aggregated. Private prediction has been applied to generate a small number of texts for prompt tuning or in-context learning (Hong et al., 2024; Tang et al., 2024; Gao et al., 2025), while (Amin et al., 2024) proposes generating large-scale data without assuming a specific downstream task. However, these methods capture only average dataset properties, which is insufficient for RAG applications.

Accurate query answering requires synthetic data that preserves locality, i.e., the distinctive features of the original dataset. Current private prediction methods lack mechanisms for generating

locality-aware outputs, leaving a significant gap in their applicability to RAG. Our method fills this gap. Although a recent study (Amin et al., 2025) reports that clustering improves synthetic data quality, their approach assumes public cluster centers, which is unrealistic in a private RAG setting as considered in this work.

3 Preliminaries

3.1 Differential Privacy

Let \mathcal{D} be a set of possible datasets. Two datasets D and D' are called *neighbors* if one is obtained from the other by dropping a single record.

Definition 1 $((\varepsilon, \delta)$ -DP). A randomized mechanism \mathcal{M} is (ε, δ) -differentially private if any neghboring dataset $D, D' \in \mathcal{D}$ and any set \mathcal{S} of possible outputs, it holds that

$$\Pr[\mathcal{M}(D) \in \mathcal{S}] \le e^{\varepsilon} \Pr[\mathcal{M}(D') \in \mathcal{S}] + \delta.$$

In this paper, we employ two representative DP algorithms: the *Gaussian mechanism* and the *exponential mechanism*. The Gaussian mechanism adds Gaussian noise $\mathcal{N}(0,\sigma^2I_d)$ to a real-valued function $f(D) \in \mathbb{R}^d$. The exponential mechanism selects an output y with probability proportional to $\exp\left(\frac{\varepsilon \cdot u(D,y)}{2\Delta_{\infty}u}\right)$, where u(D,y) is the utility function and $\Delta_{\infty}u = \sup_{y,D,D'}|u(D,y) - u(D',y)|$ denotes its sensitivity. Both mechanisms satisfy (ε,δ) -DP (see Appendix B).

3.2 Retrieval-Augmented Generation

RAG combines information retrieval with LLMs to improve the factual accuracy of responses. It guides generation by conditioning the LLM on relevant information retrieved from an external corpus.

Formally, let $D=\{d_i\}_{i=1}^N$ denote a document corpus, and let $\mathcal V$ be a vocabulary space. Given a user query $q\in\mathcal V^*$, RAG first uses an embedding model $\mathcal E:\mathcal V^*\to\mathbb R^d$ to encode both the query and each document $d_i\in D$ into a shared vector space. A similarity function $\mathrm{sim}(\mathcal E(q),\mathcal E(d_i))$ ranks the documents, enabling the selection of the top-k most relevant contexts. The retrieved documents (d_{i_1},\ldots,d_{i_k}) are concatenated with the query to form an augmented prompt: $p_{\mathrm{aug}}=(q,d_{i_1},\ldots,d_{i_k})$. The LLM $\mathcal L$ then conditions its generation on p_{aug} and repeatedly draws tokens from the token space $\mathcal T$ according to

$$y_n \sim \operatorname{softmax}(\mathcal{L}(p_{\text{aug}}, y_{< n})/\tau),$$
 (1)

where $y_{< n}$ is the sequence of previously generated tokens and $\tau \geq 0$ is the temperature. The function \mathcal{L} maps input tokens to a logit vector in $\mathbb{R}^{|\mathcal{T}|}$.

3.3 Private Prediction

To generate DP synthetic data, our method relies on the private prediction framework, which enables LLMs to produce DP outputs. It has been applied to inference tasks that protect training data (Flemings et al., 2024) and RAG databases (Koga et al., 2025; Grislain, 2025; Wang et al., 2025a), and DP synthetic data generation that preserves the privacy of original datasets (Amin et al., 2024; Hong et al., 2024; Tang et al., 2024; Gao et al., 2025).

This framework follows a subsample-andaggregate paradigm: a randomly selected subset of the private dataset is divided into disjoint partitions, from which non-private predictions are obtained and then privately aggregated. We leverage the inherent uncertainty of token sampling in LLMs to perform private aggregation without adding explicit noise, thereby reducing output distortion (Amin et al., 2024). The key insight is that sampling tokens from the aggregation of clipped token logits via softmax can be viewed as an exponential mechanism. Let $D_s \subset D$ be a subsampled subset. For each $d_i \in D_s$, a prompt $p_i = (p, d_i)$ is constructed using a task-specific prompt template p. The logit of the n-th token is computed for each p_i , clipped to the range [-c, c], and summed:

$$z_n(D_s) = \sum_{d_i \in D_s} \operatorname{clip}_c (\mathcal{L}(p_i, y_{< n})).$$

Sampling a token from $z_n(D_s)$ via softmax, as described in Eq. (1), constitutes an exponential mechanism with sensitivity $\Delta_{\infty} z_n = c$. Thus, the sequence $y_{\leq T}$ generated by repeatedly applying this process satisfies DP (Amin et al., 2024).

4 Proposed Method

Existing approaches to our problem (Grislain, 2025; Koga et al., 2025) respond to user queries in RAG systems via private prediction mechanisms. However, when directly applied to multiple queries, their total privacy budget grows linearly with the number of queries. To address this, we propose DP-SynRAG, which generates synthetic data resembling the private data in advance through private prediction while ensuring DP. Since using this synthetic data as a knowledge corpus for later RAG inference is post-processing, the privacy budget remains fixed regardless of the number of queries.

Current methods for generating synthetic data via private prediction often produce tokens that capture only the average characteristics of randomly subsampled subsets of the original data (see Section 3.3). While this supports domain-specific data generation, it often loses the fine-grained factual details useful as RAG context. In contrast, DP-SynRAG first clusters the dataset based on keywords and document-level embeddings under DP, grouping semantically similar documents and separating distinct topics. Applying private prediction to these subsets in parallel can generate large volumes of high-quality synthetic texts covering diverse topics in the original dataset at a low privacy budget.

4.1 Problem Formulation

We consider the problem of returning a privacy-preserving response to a user query q under the RAG framework, where retrieval dataset $D = \{d_i\}_{i=1}^N$ is private. Formally, our goal is to design a mechanism that outputs differentially private sequence of T tokens $y_{\leq T}$ with respect to D, while satisfying the two objectives simultaneously:

- **1. Privacy-budget efficiency:** The mechanism should satisfy DP with a fixed privacy budget independent of the number of queries, ensuring scalability in multi-query RAG scenarios.
- **2. RAG-specific utility:** The generated responses should exhibit high utility in terms of fact-based effectiveness in downstream RAG tasks rather than generic language-model metrics (e.g., perplexity).

4.2 Overview of DP-SynRAG

Figure 2 illustrates an overview of DP-SynRAG. The core idea is to partition the dataset into coherent topical subsets and apply rephrasing within each subset with DP guarantees. Specifically it comprises two differentially private stages: (1) soft clustering based on keywords and document embeddings and (2) synthetic text generation. The full algorithm is shown in Appndix A (Algorithm 1).

Stage 1 identifies representative keywords under DP (Figure 2 (a)) and uses them to softly cluster documents into multiple topic-specific subsets (Figure 2 (b)). To ensure each subset contains semantically similar documents, we further refine them with embedding-based similarity (Figure 2 (c)).

Stage 2 leverages LLMs to generate synthetic texts for each subset in parallel (Figure 2 (d)). By rephrasing documents in a DP manner, we create synthetic data that retains the semantic richness of the original corpus while protecting sensitive

information. A post-processing self-filtering step further improves the utility of the synthetic dataset for downstream RAG inference (Figure 2 (e)).

4.3 Stage 1: Soft Clustering Based on Keywords and Document Embeddings

(a) Keywords Histogram Generation. This step privately constructs a histogram to extract representative keywords for forming clusters. From each document, we first extract a set of K distinct keywords that best represent the document instead of counting all words. This extraction from the vocabulary space $\mathcal V$ is performed by prompting the LLM $\mathcal L$ to select K representative keywords, and formulated as the function $\operatorname{Ext}_{\mathcal L}^K: \mathcal V^* \to \{0,1\}^{|\mathcal V|}$, where $\sum_{v \in \mathcal V} \operatorname{Ext}_{\mathcal L}^K(d_i)_v = K$, indicating the K keywords extracted from document d_i . Summing across all documents yields a histogram $h(D) = \sum_{d_i \in D} \operatorname{Ext}_{\mathcal L}^K(d_i)$. To release h(D) under DP, we add Gaussian noise to h(D):

$$h'(D) = h(D) + \mathcal{N}(0, \sigma_h^2 I_{|\mathcal{V}|}).$$

(b) Keywords-based Soft Clustering. From the histogram h'(D), we select the top-R most frequent keywords, denoted by $W = \{w_1, \ldots, w_R\}$, where w_1 is the most frequent and w_R the least frequent among W. Each keyword w_r defines a cluster C_r , and a document is assigned to C_r if and only if it contains w_r , subject to the constraint that each document may belong to at most L clusters. To reduce the dominance of uninformative high-frequency words, cluster assignment proceeds in reverse order of frequency, from w_R up to w_1 . Formally, for keyword w_r ($r = R, \ldots, 1$), we define

$$C_r = \left\{ d_i \in D \middle| w_r \in d_i, \sum_{r' > r} \mathbb{1}[d_i \in C_{r'}] < L \right\},$$

where $\mathbb{1}[\cdot]$ is the indicator function. In this way, a document may belong to at most L clusters anchored by relatively infrequent but representative keywords. This controlled overlap prevents documents from being absorbed into clusters dominated by uninformative words, thereby improving utility. (c) **Embedding-based Retrieval.** By keywords-based clustering, documents with similar topics are grouped together. However, some documents remain as outliers at the document-level, thereby introducing noise in subsequent synthetic data generation. To mitigate this, we remove outliers from each cluster in parallel based on document-level

Stage 1: Soft Clustering Based on Keywords and Document Embeddings

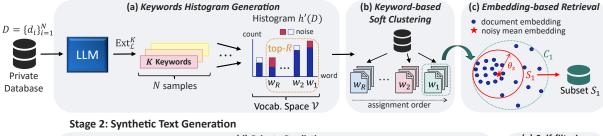


Figure 2: A two stage pipeline of **DP-SynRAG**. Stage 1 first constructs a noisy histogram from the K keywords extracted from each document (a). Each document is assigned to up to L clusters formed by the top-R keywords from the histogram (b). From these clusters, relevant subsets are retrieved using embeddings (c). Stage 2 generates DP synthetic text by rephrasing the documents in each subset and privately aggregating the clipped output token logits (d). Finally, the LLM filters the synthetic texts based on their usefulness for the downstream task (e).

similarity. First, we compute the mean embedding $\mu(C_r)$ of each cluster via the Gaussian mechanism:

$$\mu(C_r) = \sum_{d_i \in C_r} \mathcal{E}(d_i) + \mathcal{N}(0, \sigma_{\mu}^2 I_d),$$

where $\mathcal{E}(d_i)$ is the normalized embedding of d_i . This mean embedding reflects the dominant characteristics of the cluster. We then privately retrieve the top-k documents most similar to this embedding. The similarity threshold $\theta_s \in [0,1]$ is selected using the exponential mechanism with privacy parameter ε_{θ_s} , as in (Grislain, 2025). The utility function with sensitivity 1 is defined as

$$u(C_r, \theta_s) = -\Big|\sum_i \mathbb{1} [\theta_s \in [0, s_{ir}]] - k\Big|,$$
 (2)

where $s_{ir} = \sin(\mathcal{E}(d_i), \mu(C_r))$ is the similarity between $\mathcal{E}(d_i)$ and $\mu(C_r)$. Using the selected threshold, we retrieve the relevant subset $S_r \subseteq C_r$ as

$$S_r = \{d_i \in C_r \mid sim(\mathcal{E}(d_i), \mu(C_r)) > \theta_s\}.$$

4.4 Stage 2: Synthetic Text Generation.

(d) **Private Prediction.** By applying the private prediction method from Section 3.3 in parallel to each subset using the LLM \mathcal{L} and a rephrasing prompt template p (e.g., "Rephrase the following text:"), we generate a total of R synthetic

texts. Specifically, for each subset S_r , clipped and summed logits for the n-th token are computed as $z_n(S_r) = \sum_{d_i \in S_r} \operatorname{clip}_c(\mathcal{L}(p_i, y_{r, < n}))$, where $p_i = (p, d_i)$. These logits are used to sequentially generate a token sequence $y_{r, \leq T}$ of length T via the exponential mechanism.

For the clipping method, we follow the approach of Grislain (2025), which emphasizes tokens with larger logit values and thereby reduces the impact of noise. The details are given in Appendix A.2.

(e) Self-filtering. Synthetic text generated from small subsets is often low-quality, as tokens are sampled from a nearly random distribution, which increases the likelihood that useful information for downstream RAG tasks is lost. Because such text introduces noise, we apply self-filtering using LLMs. In methods like Self-RAG (Asai et al., 2024), unrelated documents are removed after retrieval based on their query relevance; however, this approach increases inference-time computational cost. Therefore, we instead prompt the LLM with non-private downstream task information and the synthetic text, then filter the text according to whether it contains information essential for solving the downstream task prior to inference. The filtered outputs are then used to construct the synthetic RAG database. This filtering serves as a post-processing step in synthetic text generation.

 $^{^{1}}$ We omit division by cluster size to ensure $\mu(C_{r})$ is defined even when the cluster is empty, and to keep the noise level consistent across clusters. A constant multiplicative factor does not affect similarity computations.

5 Privacy Analysis

Our algorithm generates R synthetic texts with minimal total privacy budget, using the overlapping parallel composition introduced by Smith et al. (2022) and converted to zCDP (Appendix B). The formal privacy guarantee is stated below. We sketch the proof here and defer the full version to Appendix B.

Theorem 1. DP-SynRAG (Algorithm 1 in Appendix A) satisfies (ε, δ) -DP for any $\delta > 0$ and $\varepsilon = \rho + \sqrt{4\rho \log(1/\delta)}$, where

$$\rho = \frac{K}{2\sigma_{b}^{2}} + L\left(\frac{1}{8}\varepsilon_{\theta_{s}}^{2} + \frac{1}{2\sigma_{u}^{2}} + \frac{T}{2}\left(\frac{c}{\tau}\right)^{2}\right).$$

Proof Overview. We adopt zero-concentrated differential privacy (zCDP) (Bun and Steinke, 2016), a variant of DP, as it provides tighter composition bounds and more precise privacy accounting. Our algorithm comprises two sequentially composed mechanisms: (a) histogram generation (M_{hist}) and (b-d) keyword-based clustering followed by parallel operations on each cluster ($M_{\rm clus}$). Within $M_{\rm clus}$, each cluster undergoes a sequence of operations: (c) retrieval (M_{retr}) , (d) private prediction (M_{pred}) . We exclude self-filtering from the privacy analysis since it a post-processing. Our proof proceeds in three steps. First, we show that M_{hist} , M_{retr} , and M_{pred} each satisfy ρ_{hist} , ρ_{retr} , and ρ_{pred} -zCDP, respectively, since they rely on Gaussian or exponential mechanisms (or their compositions). Next, each algorithm executed in parallel within a cluster satisfies $(\rho_{\text{retr}} + \rho_{\text{pred}})$ -zCDP by sequential composition, and $M_{\rm clus}$ satisfies $L(\rho_{\rm retr} + \rho_{\rm pred})$ -zCDP due to overlapping parallel composition with L overlaps. Finally, by sequentially composing $M_{\rm hist}$ and $M_{\rm clus}$, the entire algorithm satisfies ρ -zCDP with $\rho = \rho_{\text{hist}} + L(\rho_{\text{retr}} + \rho_{\text{pred}})$. We then convert ρ -zCDP to (ε, δ) -DP using the conversion lemma (Bun and Steinke, 2016).

6 Experiments

6.1 Settings

Datasets. We evaluate our method on three datasets (details in Appendix C.2). **Medical Synth** (Grislain, 2025) is a synthetic medical records dataset containing 100 fictitious diseases. It includes patient queries describing symptoms and corresponding doctor responses. Doctor responses to other patients serve as the private knowledge base. Performance is measured by accuracy, defined as whether

the LLM's output includes the correct fictitious disease name based on retrieved diagnoses from prior patients. Movielens-1M (Harper and Konstan, 2015) is used in a natural language form to study privacy in RAG, as it includes user profiles as well as movie ratings. Using GPT-5, we generate textual descriptions of each user's preferences from their profiles and favorite movies, defined as their top-10 rated movies. Private RAG documents include each user's profile, generated preferences, and liked movies. The task is to recommend movies for a query user by referring to favorites of similar users. For simplicity, we restrict the dataset to the 30 most frequently rated movies. Accuracy is measured by whether the LLM's output includes any of the user's top-10 favorites. SearchQA (Dunn et al., 2017), a standard RAG benchmark, consists of Jeopardy!-derived question-answer pairs with associated search snippets. We use training questions with at least 40 supporting snippets containing the correct answer, grouped into six bins by snippet count (40-50 to 90-100). we randomly sample 17 questions from each bin, yielding 102 in total.

Compared Methods. We compare our DP-**SynRAG** with four approaches, each illustrating a different privacy-utility trade-off: (1) Non-RAG excludes any RAG database ($\varepsilon = 0$) and relies solely on the LLM's general knowledge, representing the lower bound of utility. (2) non-private RAG uses RAG database without privacy constraints $(\varepsilon = \infty)$, representing the upper bound of utility. (3) **DP-RAG** (Grislain, 2025) is a representative private RAG approach that operates under a fixed privacy budget, which accumulates over multiple queries. (4) **DP-Synth** (Amin et al., 2024) is a representative DP-based synthetic data generation method that operates under a fixed privacy budget independent of the number of queries and belongs to the same category as DP-SynRAG.

Models. As an embedding model, we use multi-qa-mpnet-base-dot-v1 model (109M parameters) from the Sentence-Transformers library (Reimers and Gurevych, 2019), designed for semantic search. We compare three LLMs for text generation: Phi-4-mini-instruct (3.8B) (Microsoft et al., 2025), Gemma-2 (2B) (Team et al., 2024), Llama-3.1 (8B) (Grattafiori et al., 2024).

Implementation Details. Unless otherwise specified, the overall privacy budget is fixed at $\varepsilon_{\rm total} = 10$. For DP-RAG, we consider a per-query budget $\varepsilon_{\rm query}$; if the number of queries is m, then $\varepsilon_{\rm total} \approx m\varepsilon_{\rm query}$. We set $\delta = 10^{-3}$ for all datasets.

Dataset	Method	Drivoov Rudget		Model	
Dataset	Method	Privacy Budget	Phi-4-mini	Gemma-2-2B	Llama-3.1-8B
	Non-RAG	$\varepsilon_{\text{total}} = 0$	0.00 0.00	$0.00_{0.00}$	$0.00_{0.00}$
	RAG	$\varepsilon_{\mathrm{total}} = \infty$	$87.00_{0.00}$	$85.20_{0.00}$	$86.20_{0.00}$
Medical Synth	DP-Synth (Amin et al., 2024)	$\varepsilon_{\text{total}} = 10$	$0.00_{0.00}$	$0.00_{0.00}$	$0.00_{0.00}$
Wiedicai Synth	DP-SynRAG (Ours)	$\varepsilon_{\text{total}} = 10$	$67.26_{2.22}$	67.06 _{1.68}	$61.26_{2.33}$
	DP-RAG (Grislain, 2025)	$\varepsilon_{\text{query}} = 10$ $(\varepsilon_{\text{total}} \approx 10000)$	59.92 _{0.44}	67.06 _{0.44}	48.94 _{0.38}
	Non-RAG	$\varepsilon_{\text{total}} = 0$	$22.60_{0.00}$	34.00 0.00	43.60 0.00
	RAG	$\varepsilon_{\mathrm{total}} = \infty$	$67.80_{0.00}$	$54.60_{0.00}$	$70.80_{0.00}$
Movielens	DP-Synth (Amin et al., 2024)	$\varepsilon_{\rm total} = 10$	$37.60_{2.60}$	16.64 _{2.29}	46.12 _{2.54}
MOVICIONS	DP-SynRAG (Ours)	$\varepsilon_{\text{total}} = 10$	$42.56_{1.97}$	$41.08_{\ 2.19}$	54.12 _{2.51}
	DP-RAG (Grislain, 2025)	$\varepsilon_{ m query} = 10$ $(\varepsilon_{ m total} \approx 5000)$	34.72 _{0.54}	$40.48_{\ 0.48}$	56.80 _{0.62}
	Non-RAG	$\varepsilon_{\text{total}} = 0$	$65.69_{0.00}$	$70.59_{0.00}$	88.24 0.00
	RAG	$\varepsilon_{\mathrm{total}} = \infty$	$92.16_{0.00}$	$94.12_{0.00}$	$95.10_{0.00}$
SearchQA	DP-Synth (Amin et al., 2024)	$\varepsilon_{\rm total} = 10$	$60.20_{ 2.91}$	$20.20_{3.15}$	$40.00_{3.88}$
	DP-SynRAG (Ours)	$\varepsilon_{\rm total} = 10$	89.61 3.22	85.10 _{2.13}	91.18 3.25
	DP-RAG (Grislain, 2025)	$\varepsilon_{ m query} = 10$ $(\varepsilon_{ m total} \approx 1000)$	85.10 _{1.75}	83.14 1.75	84.90 1.78

Table 1: Performance comparison across datasets, methods, and models under fixed total privacy budgets $\varepsilon_{\text{total}}$, except for DP-RAG, which uses per-query budget $\varepsilon_{\text{query}}$. The number of queries is 1,000 for Medical Synth, 500 for Movielens, and 102 for SearchQA. We report mean and standard deviation of the accuracy (%) over 5 runs.

For RAG, the number of retrieved documents is k=10 for Medical Synth and SearchQA, and k=15 for Movielens. The inference temperature is fixed at 0. The hyperparameters of the proposed and baseline methods are tuned on the validation set (see Appendix C.3 for details). Each method is executed five times, and the average result is reported. We build the public vocabulary space using the NLTK (v3.9.1) English word corpus (Bird et al., 2009), excluding common stopwords.

6.2 Results

Main Results. Table 1 presents the average accuracy across three datasets and three models. DP-SynRAG substantially outperforms Non-RAG by exploiting the RAG database while ensuring DP. In particular, Medical Synth requires answers containing fictitious disease names, which standalone LLMs lacking domain knowledge cannot handle, while DP-SynRAG achieves over 60% accuracy across all models. As expected, compared with DP-Synth, whose synthetic text reflects only average characteristics and is therefore unsuitable for RAG, DP-SynRAG effectively retains critical information (e.g., disease names) in the database. Furthermore, even when the total privacy budget of DP-SynRAG equals the per-query budget of DP-RAG, DP-SynRAG demonstrates superior performance in most cases. Note that the total budget of DP-RAG far exceeds $\varepsilon_{\text{total}} = 10$, as its total budget scales with the number of queries.

Accuracy vs. Number of Queries. To highlight a key advantage of DP-SynRAG, namely its constant privacy budget regardless of query count, we compare its accuracy with DP-RAG across different numbers of queries under fixed total privacy budgets (ε_{total}). Figure 3 presents results for three datasets using Llama-3.1. Each line depicts the accuracy of each method under different fixed $\varepsilon_{\mathrm{total}}$ values. When inference involves only a single query, both methods achieve comparable accuracy. However, as the number of queries increases, DP-RAG's performance steadily declines; even at $\varepsilon_{\text{total}} = 20$, it fails completely once the query count reaches 20. These results demonstrate that generating synthetic text with DP guarantees is an effective strategy for RAG.

Privacy of RAG. We evaluate the privacy risks of RAG and the effectiveness of DP using Medical Synth to quantify instances in which sensitive information is leaked from the LLM outputs. Sensitive information is defined as the full names of patients contained in the database records. Specifically, we measure how often a patient's full name appears in the model's responses. The evaluation uses 1,000 benign queries employed in the performance evaluation and 100 targeted attack queries explicitly crafted to extract patient names. The targeted attack uses the prompt template: "Who is the patient diagnosed with {disease}?" for 100 diseases.

Table 2 reports the average number of leaks across five experimental runs for each method. The

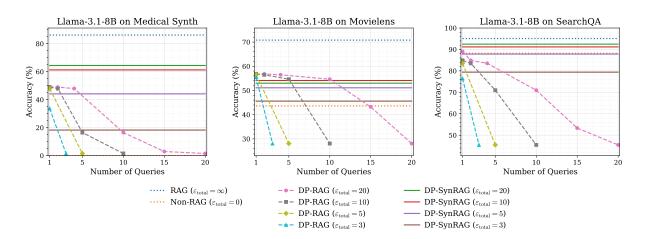


Figure 3: Accuracy versus number of queries under various fixed total privacy budgets. Since DP-SynRAG can reuse generated synthetic data as a RAG database without incurring additional privacy costs, its accuracy remains constant regardless of the number of queries. In contrast, DP-RAG needs to allocate a smaller privacy budget per query as the number of queries increases, causing its accuracy to decrease significantly.

results indicate that even benign queries can cause RAG to inadvertently reveal patient names, demonstrating a clear privacy risk. Moreover, targeted attacks substantially increase the number of leaks. While DP-RAG significantly reduces leakage, a small number of leaks still occur under benign queries. In contrast, our proposed method achieves a sufficiently low probability of leakage. Even with $\varepsilon_{\rm total}=10$, the per-token privacy budget for synthetic text is sufficiently small to effectively prevent the disclosure of sensitive information.

Method	Phi-4-mini		Gemm	a-2-2B	Llama-3.1-8B	
Method	Benign	Attack	Benign	Attack	Benign	Attack
RAG	4	85	12	90	22	81
DP-RAG	2.8	0	1.8	2	6	0
DP-SynRAG	0.5	1.25	0	0.25	2.4	1

Table 2: Average occurrences of patient full-name leakage in Medical Synth under 1,000 benign queries and 100 attack queries. We use $\varepsilon_{\rm total}=10$ for DP-SynRAG and $\varepsilon_{\rm query}=10$ for DP-RAG.

Ablation Study. The proposed method centers on keyword-based clustering and private prediction, operating independently of other components. To assess the impact of additional features, we perform an ablation study on three elements: document-based retrieval, soft versus hard clustering, and self-filtering. Table 3 reports the accuracy when each element is disabled. The results indicate that these components enhance performance on most datasets. In particular, using hard clustering causes many documents to be grouped under irrelevant keywords, substantially degrading the quality of the generated synthetic text. Note that self-filtering

Method	Phi-4-mini	Gemma-2-2B	Llama-3.1-8B			
	Medical Sy	nth				
DP-SynRAG	67.26	67.06	61.26			
w/o Retrieval	$65.92_{\downarrow 1.34}$	$61.46_{\downarrow 5.60}$	$57.74_{\downarrow 3.52}$			
w/o Self-filtering	$66.78_{\downarrow 0.48}$	$66.74_{\downarrow 0.32}$	$52.20_{\downarrow 9.06}$			
Hard clustering $(L=1)$	$42.52_{24.74}$	51.40 _{15.66}	29.38 \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \			
Movielens						
DP-SynRAG	42.56	41.08	54.12			
w/o Retrieval	$42.28_{\downarrow 0.28}$	$42.84_{1.76}$	53.76 _{10.36}			
w/o Self-filtering	$40.68_{11.88}$	$38.40_{12.68}$	45.1219.00			
Hard clustering $(L=1)$	38.36 _{14.20}	$38.32_{\downarrow 2.76}$	46.56 _{17.56}			
	SearchQ	A				
DP-SynRAG	89.61	85.10	91.18			
w/o Retrieval	$89.22_{\downarrow 0.39}$	$83.73_{\downarrow 1.37}$	$90.98_{\downarrow 0.20}$			
w/o Self-filtering	89.61	85.10	91.18			
Hard clustering $(L=1)$	$76.67_{12.94}$	$67.06_{18.04}$	$82.94_{18.24}$			

Table 3: The average accuracy when each component of DP-SynRAG is removed: embedding-based retrieval, self-filtering, and soft clustering. The subscript indicates the accuracy difference between the full DP-SynRAG and the DP-SynRAG without each component.

is not applied to SearchQA because this dataset includes diverse question types rather than fixed tasks.

7 Conclusion

This study introduces DP-SynRAG, a novel framework for generating privacy-preserving synthetic texts for RAG that preserves both data utility and formal DP guarantees. By creating synthetic RAG databases, DP-SynRAG eliminates the need for repeated noise injection and enables unlimited query access within a fixed privacy budget. Experiments on multiple datasets show that DP-SynRAG consistently achieves performance better than existing private RAG methods in most cases, demonstrating its scalability and practical effectiveness.

Limitations

While DP-SynRAG shows strong performance and scalability for privacy-preserving RAG, several limitations remain. First, the method assumes that the RAG database contains multiple related documents to generate informative synthetic texts. When such redundancy is limited, the generated texts become less informative, reducing downstream performance. This limitation reflects the DP constraint that bounds each record's influence. Moreover, because clustering relies on keyword overlap, it performs poorly when documents share few common terms. In practice, however, this issue is often mitigated, as documents on similar topics typically exhibit sufficient overlap.

Second, like other DP-based text generation methods, DP-SynRAG experiences significant utility loss under an extremely tight privacy budget (e.g., $\varepsilon_{\text{total}} \approx 1$) due to per-token privacy enforcement. Nonetheless, as shown in the main text, the per-token budget remains sufficiently small enough to keep information leakage negligible, as confirmed empirically.

Third, our approach includes several hyperparameters that control clustering and noise calibration. However, as demonstrated in the Appendix C.3, fixed default values perform consistently well across different models and datasets, minimizing the need for extensive tuning.

Finally, when the RAG database is updated, the synthetic database must be regenerated to maintain privacy guarantees. This regeneration, however, does not require additional model retraining, keeping overall maintenance costs modest.

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A Algorithm Details

This section describes the details of our DP-SynRAG. Algorithm 1 shows the complete procedure. In the following, we explain in detail the algorithm components that require further clarification.

A.1 Keywords Extraction from Documents

The keyword extraction step (line 4), which extracts K keywords from each document d_i , is designed to reduce the sensitivity of the Gaussian mechanism applied during histogram generation. To achieve this, both the extraction prompt and the document are provided as input to the LLM. We employ the same prompt template across all datasets for this process. as shown below.

Keywords Extraction Prompt

Extract $\{K\}$ single words from the following document that represent key information specific to the content.

Document: $\{d_i\}$

A.2 Private Prediction

In private prediction, we prompt the LLM to rephrase each document d_i in a subset (line 14). The resulting token logits are then privately aggregated within the subset (line 15) to generate synthetic text (line 16).

Rephrasing Prompt. We use the rephrasing prompt explicitly instructs the LLM to preserve the important information useful for downstream RAG tasks, as shown below. This prompt template is applied consistently across the entire dataset.

Rephrasing Prompt

Rephrase the following document without altering the important information contained within it.

Document: $\{d_i\}$

Clipping Method. For the clipping method, we follow the approach of Grislain (2025), which emphasizes tokens with larger logit values and thereby reduces the influence of noise. The t-th element of the logit l(t) is clipped as follows. First, we exponentiate l(t) with a normalization factor to highlight large values:

$$l^{\exp}(t) = \frac{e^{l(t)}}{\max_s e^{l(s)}}.$$

```
Algorithm 1 Differentially Private Synthetic Text Generation for RAG (DP-SynRAG)
```

```
1: Input: Private database D = \{d_i\}_{i=1}^N, Vocabulary \mathcal{V}, Embedding model \mathcal{E}, LLM \mathcal{L}, task
 2: Parameters: K, J, L, \sigma_h, \sigma_\mu, \varepsilon_{\theta_s}, c, \tau, T, \theta_p
 3: Output: Synthetic database D_{\text{synth}} = \{y_j\} for RAG
      # Stage 1: (a) Keywords Histogram Generation
 4: \operatorname{Ext}_{\mathcal{L}}^{K}(d_{i}) \in \mathbb{R}^{|\mathcal{V}|}, i = 1, \dots, N \triangleright Extract distinct K keywords from d_{i} instructing \mathcal{L}
 5: h(D) \leftarrow \sum_{d_i \in D} \operatorname{Ext}_{\mathcal{L}}^K(d_i)
                                                       6: h'(D) \leftarrow h(D) + \mathcal{N}(0, \sigma_h^2 I_{|\mathcal{V}|})
      # Stage 1: (b) Keywords-based Soft Clustering
 7: W = \{w_1, \dots, w_R\} \leftarrow \text{top-}R \text{ most frequent keywords from } h'(D) \qquad \triangleright \text{Descending order}
 8: C_r \leftarrow \{d_i \in D \mid w_r \in d_i, \sum_{r'>r} \mathbb{1}[d_i \in C_{r'}] < L\}, r = R, R-1, \ldots, 1 \Rightarrow \text{Define } R \text{ soft clusters}
 9: for all cluster C_r do
           # Stage 1: (c) Embedding-based Retrieval
           \mu(C_r) \leftarrow \sum_{d_i \in C_r} \mathcal{E}(d_i) + \mathcal{N}(0, \sigma_{\mu}^2 I)
10:
                                                                          Select \theta_s via exponential mechanism with utility function defined as:
11:
           u(C_r, \theta_s) = - \Big| \sum_i \mathbb{1} \Big[ \theta_s \in [0, \sin(\mathcal{E}(d_i), \mu(C_r))] \Big] - k \Big|
S_r \leftarrow \{ d_i \in C_r \mid \sin(\mathcal{E}(d_i), \mu(C_r)) > \theta_s \} \quad \triangleright \text{ Retrieve relevant documents}
12:
           # Stage 2: (d) Private Prediction
13:
           for n=1 to T do
                 p_i \leftarrow \mathsf{concat}(p, d_i), \ d_i \in S_r
                                                                      \triangleright Prompt: p = "Rephrase the following document:"
14:
                 z_n(S_r) \leftarrow \sum_{d_i \in C_r} \operatorname{clip}_c \left( \mathcal{L}(p_i, y_{r, < n}) \right)
                                                                                     ▷ Compute clipped logits and sum them
15:
                 y_{r,n} \sim \operatorname{softmax}(z_n(S_r)/\tau)

    Sample tokens

16:
           end for
17:
           Set y_r = (y_{r,1}, \dots, y_{r,T})
18:
19: end for
      # Stage 2: (e) Self-filtering
20: D_{\text{synth}} \leftarrow []
21: for all y_r in \{y_r\}_{r=1}^R do
           p_r \leftarrow \mathsf{concat}(p_{\mathsf{filter}}(\mathsf{task}), y_r)
                                                                  \triangleright p_{\text{filter}}(\text{task}): task-specific filtering prompt
           response \sim \mathcal{L}(p_r)
23:
           if response = YES then
24:
                 D_{\text{synth}} \leftarrow D_{\text{synth}} \cup \{y_r\}
25:
26:
           end if
27: end for
28: Return: D_{\text{synth}}
```

Next, to reduce information loss from clipping within the range [-c, c], we shift the center so that the maximum and minimum have equal magnitude:

$$l^{\mathrm{cent}}(t) = l^{\mathrm{exp}}(t) - \frac{\max_{s} l^{\mathrm{exp}}(s) + \min_{s} l^{\mathrm{exp}}(s)}{2}.$$

Finally, we rescale $l^{\text{cent}}(t)$ to lie within [-c, c]:

$$\operatorname{clip}_{c}\left(l\right)\left(t\right) = l^{\operatorname{cent}}\left(t\right) \min\left(1, \frac{c}{\left|\left|l^{\operatorname{cent}}\right|\right|_{\infty}}\right).$$

A.3 Self-filtering

Self-filtering filters synthetic texts by instructing the LLM to determine, based on task information, whether a synthetic text y_r contains information relevant to the task (line 20-27). Because the filtering prompt varies across tasks, the prompts used for each dataset are listed below. Note that self-filtering is not applied to SearchQA, as it includes diverse questions and lacks a fixed task.

Self-filtering Prompt on Medicla Synth

Does the following document contain any specific diagnosis names, even if they are fictional? Answer only YES or NO.

Document: $\{y_r\}$

Answer:

Self-filtering Prompt on Movielens

Does the following document contain specific movie titles released in the 20th century? Answer only YES or NO.

Document: $\{y_r\}$

Answer:

B Privacy Analysis

In this section, we present the complete proof of Theorem 1. We first introduce zero-concentrated differential privacy (zCDP) (Bun and Steinke, 2016), a variant of DP that offers tighter composition bounds and more accurate privacy accounting, which we use in our analysis.

Definition 2 (ρ -zCDP). A randomized mechanism \mathcal{M} satisfies ρ -concentrated differential privacy (ρ -zCDP) if for all $\alpha > 1$

$$D_{\alpha}(\mathcal{M}(D)||\mathcal{M}(D')) \leq \rho \alpha,$$

where $D_{\alpha}(P||Q)$ is the Rényi divergence of order α between distributions P and Q.

The widely used two DP algorithms defined in Section 3.1: Gaussian mechanism and exponential mechanism both guarantee zCDP.

Lemma 2 ((Bun and Steinke, 2016)). *Gaussian mechanism* GM: $\mathcal{D} \to \mathbb{R}^d$ of the form

$$GM(D) = f(D) + \mathcal{N}(0, \sigma^2 I_d)$$

satisfies ρ -zCDP for $\rho = \frac{(\Delta_2 f)^2}{2\sigma^2}$.

Lemma 3 ((Cesar and Rogers, 2021)). *Exponential mechanism* EM: $\mathcal{D} \to \mathcal{Y}$ of the form

$$\Pr[\text{EM}(D) = y] \propto \exp\left(\frac{\varepsilon \cdot u(D, y)}{2\Delta_{\infty} u}\right)$$

satisfies ρ -zCDP for $\rho = \frac{1}{8}\varepsilon^2$.

Here, $\Delta_p f$ denotes the L_p -sensitivity of a function $f: \mathcal{D} \to \mathbb{R}^d$, defined as

$$\Delta_p f = \sup_{D, D'} \|f(D) - f(D')\|_p.$$

We note that (ε, δ) -DP and ρ -zCDP can be converted into each other by the following lemma.

Lemma 4 (Relationship between DP and zCDP (Bun and Steinke, 2016)). Let $\mathcal{M} \colon \mathcal{D} \to \mathcal{Y}$ satisfy ρ -zCDP. Then \mathcal{M} satisfies (ε, δ) -DP for all $\delta > 0$ and

$$\varepsilon = \rho + \sqrt{4\rho \log(1/\delta)}.$$

Thus, to achieve a given (ε, δ) -DP guarantee, it suffices to satisfy ρ -zCDP with

$$\rho = \left(\sqrt{\varepsilon + \log(1/\delta)} - \sqrt{\log(1/\delta)}\right)^2.$$

As a final step, we introduce two composition theorems: the sequential composition theorem and the overlapping parallel composition theorem. The overlapping parallel composition is originally proposed by Smith et al. (2022); in this paper, we adapt it to the zCDP framework and provide a proof.

Lemma 5 (Sequential Composition (Bun and Steinke, 2016)). Let $\mathcal{M} \colon \mathcal{D} \to \mathcal{Y}$ and $\mathcal{M}' \colon \mathcal{D} \times \mathcal{Y} \to \mathcal{Z}$. Suppose \mathcal{M} satisfies ρ -zCDP and \mathcal{M}' satisfies ρ' -zCDP as a function of its first argument. Define $\mathcal{M}'' \colon \mathcal{D} \to \mathcal{Z}$ by $\mathcal{M}''(D) = \mathcal{M}'(D, M(D))$. Then, it holds that

$$D_{\alpha}(\mathcal{M}''(D)||\mathcal{M}''(D')) \le D_{\alpha}(\mathcal{M}(D)||\mathcal{M}(D')) + \sup_{y \in \mathcal{V}} D_{\alpha}(\mathcal{M}'(D,y)||\mathcal{M}'(D',y)),$$
(3)

and therefore, \mathcal{M}'' satisfies $(\rho + \rho')$ -zCDP.

Lemma 6 (Overlapping Parallel Composition). Let R and L be positive integers. Let $P(d_i, r)$ be a proposition that depends only on $d_i \in D$ and $r \in [R]$, and is independent of other samples in D. For each $r \in [R]$, define a subset $C_r \subset D$ as

$$C_r = \{d_i \in D \mid P(d_i, r) = \text{True}\}$$
, where
$$\sum_{r=1}^R \mathbb{1} [P(d_i, r) = \text{True}] \leq L \text{ for any } d_i \in D.$$

Let $\mathcal{M} \colon \mathcal{D} \to \mathcal{Y}$ be a mechanism that satisfies ρ -zCDP. If \mathcal{M}' is the mechanism defined by

$$\mathcal{M}'(D) = (\mathcal{M}(C_1), \dots, \mathcal{M}(C_R)),$$

then \mathcal{M}' satisfies $L\rho$ -zCDP.

Proof. Let D, D' be neighboring datasets. Without loss of generality, assume $D = D' \cup \{d_i\}$. Since the assignment of d_i to each subset C_r depends on only d_i and r, it holds that $C_r = C'_r$ for r such that $P(d_i, r) = \text{False}$ and $C_r = C'_r \cup \{d_i\}$ for r such that $P(d_i, r) = \text{True}$. We have for all $\alpha > 1$

$$D_{\alpha}(\mathcal{M}'(D) \| \mathcal{M}'(D'))$$

$$= \sum_{r=1}^{R} D_{\alpha}(\mathcal{M}(C_r) \| \mathcal{M}(C'_r))$$

$$= \sum_{r:P(d_i,r)=\text{True}} D_{\alpha}(\mathcal{M}(C_r) \| \mathcal{M}(C'_r)) \leq L\rho.$$

We now prove Theorem 1, establishing the privacy analysis of Algorithm 1.

Proof. Our algorithm comprises two sequentially composed mechanisms: (a) histogram generation (\mathcal{M}_{hist}) and (b-d) keyword-based clustering followed by parallel operations on each cluster (\mathcal{M}_{clus}). Within \mathcal{M}_{clus} , each cluster undergoes a sequence of operations: (c) retrieval (\mathcal{M}_{retr}), (d) private prediction (\mathcal{M}_{pred}). Formally, the full algorithm \mathcal{M} is defined as

$$\mathcal{M}(D) = \mathcal{M}_{\text{clus}}(D, \mathcal{M}_{\text{hist}}(D)),$$

and, given a histogram h', \mathcal{M}_{clus} is defined as

$$\mathcal{M}_{\mathrm{clus}}(D,h') = (\mathcal{M}_{\mathrm{pred}}(\mathcal{M}_{\mathrm{retr}}(C_1)), \dots, \mathcal{M}_{\mathrm{pred}}(\mathcal{M}_{\mathrm{retr}}(C_R))).$$

We first prove \mathcal{M}_{hist} , \mathcal{M}_{retr} , and \mathcal{M}_{pred} satisfy ρ_{hist} , ρ_{retr} , ρ_{pred} -zCDP, respectively. Since \mathcal{M}_{hist}

generates the histogram using the Gaussian mechanism with sensitivity \sqrt{K} , it satisfies $\rho_{\text{hist}}\text{-zCDP}$ with $\rho_{\text{hist}} = \frac{K}{2\sigma_h^2}$ by Lemma 2. $\mathcal{M}_{\text{retr}}$ is a composition of the Gaussian mechanism and the exponential mechanism, both with sensitivity 1. Therefore, from Lemma 2 and Lemma 3, it satisfies $\rho_{\text{retr}}\text{-zCDP}$ with $\rho_{\text{retr}} = \frac{1}{8}\varepsilon_{\theta_s}^2 + \frac{1}{2\sigma_\mu^2}$. $\mathcal{M}_{\text{pred}}$ is a composition of T applications of the exponential mechanism, so by Lemma 3, it satisfies $\rho_{\text{pred}} = \frac{T}{2} \left(\frac{c}{\tau}\right)^2\text{-zCDP}$. Hence, by Lemma 5, $\mathcal{M}_{\text{clus}}$ satisfies $(\rho_{\text{ret}} + \rho_{\text{gen}})\text{-zCDP}$.

For the overall algorithm \mathcal{M} , Lemma 5 gives

$$D_{\alpha}(\mathcal{M}(D)||\mathcal{M}(D')) \leq D_{\alpha}(\mathcal{M}_{hist}(D)||\mathcal{M}_{hist}(D')) + \sup_{h' \in \mathbb{R}^{|\mathcal{V}|}} D_{\alpha}(\mathcal{M}_{clus}(D, h')||\mathcal{M}_{clus}(D', h')).$$

For any histogram h', the assignment of $d_i \in D$ to a cluster C_r depends only on d_i and r. Thus, by Lemma 6,

$$D_{\alpha}(\mathcal{M}(D)||\mathcal{M}(D')) \leq \rho_{\text{hist}} + L(\rho_{\text{retr}} + \rho_{\text{pred}})$$
$$= \frac{K}{2\sigma_{h}^{2}} + L\left(\frac{1}{8}\varepsilon_{\theta_{s}}^{2} + \frac{1}{2\sigma_{\mu}^{2}} + \frac{T}{2}\left(\frac{c}{\tau}\right)^{2}\right) = \rho.$$

Therefore, the algorithm \mathcal{M} satisfies ρ -zCDP, which can be converted to (ε, δ) -DP by Lemma 4.

C Details of Experiments

C.1 Computational Resources

All experiments in this study use 4 NVIDIA A100 GPUs with 40 GB memory each, running on a Linux-based server cluster equipped with Intel Xeon Silver 4216 CPUs and 755 GB RAM. Reproducing the main results in Table 1 (5 runs of 5 methods across 3 datasets and 3 models) takes approximately 48 hours.

C.2 Datasets

In this paper, We use publicly available three datasets under their respective usage licenses: Medical Synth², Movielens³, and SearchQA⁴ The details of them are summarized in Table 4. Each dataset's queries are divided into validation and test sets: 1,000 each for Medical Synth, 500 each for Movielens, and 102 each for SearchQA. For Medical Synth and Movielens, patients or users

²Apache-2.0 license, https://huggingface.co/datasets/sarus-tech/medical_dirichlet_phi3

³See the README for license details, https://grouplens.org/datasets/movielens/

 $^{^4}BSD$ 3-Clause license, https://github.com/nyu-dl/dl4ir-searchQA/tree/master

not included in the query sets are used as the retrieval database for RAG. Table 5 shows examples of queries from each dataset and the corresponding top-1 retrieved document from the database. The following describes the preprocessing details for Movielens.

Preprocessing of Movielens. As the first step in converting MovieLens data into text, we use GPT-5 to generate textual descriptions of each user's movie preferences from the user profile, the user's 10 highest-rated films (restricted to the dataset's 30 most frequently rated titles), and the genres of those films available in MovieLens. Because MovieLens does not include user names, we assign each user a GPT-5—generated pseudonym. We use the template below to generate these preferences.

Movie Preference Generation Prompt

The following user is one of the users in the MovieLens dataset collected in 2000. Describe this user's movie preferences according to the following conditions:

- 1. Describe in one sentence that fully reflects their profile and the characteristics of the movies they like.
- 2. Do not include specific movie titles or the user's profile information.
- 3. Begin with either "He" or "She".
- 4. Provide only the user's preferences.

User: {name} is a {age}-year-old {gender} {occupation}. He/She likes {movie_1}, {movie_2}, ...

```
{movie_1}
Genres: {genre_1}, {genre_2}, ...
...
```

Using these generated preferences, we then create database documents with the template below. We use these documents as a RAG database to answer queries consisting of each user's profile and generated preferences.

Database Template for Movielens

```
{name} is a {age}-year-old {gender} {occu-
pation}.
{generated preference}
In particular, he/she likes {movie_1},
{movie_2}, ...
```

C.3 Hyperparameter Search

Hyperparameters of each method are tuned using the validation queries.

Compared Methods. For DP-RAG, we mainly adopt the parameter values reported in the original paper (Grislain, 2025). The output token length is set to 70 for Medical Synth and MovieLens, and to 30 for SearchQA, as its answers are shorter. The top-p value, which controls the number of retrieved documents, is set to 0.02 for MedicalSynth (following the original paper) and 0.05 for MovieLens and SearchQA. For DP-Synth, the batch size is fixed at 100 for all datasets.

Proposed Method. The hyperparameters used for the main results of DP-SynRAG (Table 1) are summarized in Table 6. The other hyperparameters can be computed from those listed in the table. The parameters ε_{θ_s} , $\rho_{\rm hist}$, and $\rho_{\rm retr}$ are set based on the total privacy budget. The parameters Kand T are chosen according to the average token length per record in the dataset and kept constant across all experiments. The parameter R determines the number of words extracted from the noisy histogram. To minimize the probability of extracting words with original zero counts, R is set at the position where the word frequency approximately corresponds to $3\sigma_h$ when the words are sorted by frequency. For Medical Synth and MovieLens, this corresponds to R = 500, and for SearchQA, R = 1000. The parameters L and k are tuned using the validation queries. To assess the sensitivity of DP-SynRAG to its hyperparameters K, R, L, and k, Tables 7-10 report the average accuracy on the test queries as each hyperparameter varies. The results indicate that accuracy remains stable except when the hyperparameters are extremely small, suggesting that extensive fine-tuning is unnecessary.

D Examples of Synthetic Texts

Table 11 presents synthetic data samples generated by our proposed method. We include both good examples, which preserve essential information, and bad examples, which lose key information and are of low quality as text due to being generated from a small subset. In the good examples, sensitive information such as names is removed or replaced with LLM-generated pseudonyms, thereby protecting privacy.

Dataset	# Database	# Query (Val/Test)	Answer Set	Task Description
Medical Synth	8,000	1,000 / 1,000	100 fictional disease names	Given a patient's symptom description, retrieve similar doctor responses and output the correct fictitious disease name. Accuracy is evaluated by whether the correct disease name is included in the output.
Movielens	4,083	500 / 500	Top-30 frequent movie titles	Recommend movies for a querying user based on their profile and generated preferences by retrieving similar users' favorites. Accuracy is evaluated by whether the output includes any of the user's top-10 favorite movies within the top-30 frequent titles.
SearchQA	7,054	102 / 102	Factual answers	Answer Jeopardy! questions by retrieving search snippets. Accuracy is evaluated based on whether the model output includes the gold answer.

Table 4: Summary of datasets used for evaluation.

Dataset	Query Sample	Top-1 Retrieved Document
Medical Synth	I am Katarina Nordberg, I am dealing with severe itching specifically around my waistline, I also notice redness on my ears, and I find that my skin reacts unusually to cotton fabrics, exhibiting heightened sensitivity. What is my disease?	Patient Fernando Lund is experiencing severe itching specifically around the waistline, redness in his ears, and heightened sensitivity to cotton fabrics. Based on these symptoms, the medical condition diagnosed is Flumplenoxis. The recommended treatment for this condition is the administration of Doozy Drops.
Movielens	This user is a 35-year-old male college student. He gravitates toward timeless, character-driven epics that blend action with adventure, sci-fi/fantasy, war, and crime, favoring heroic quests and moral complexity in richly realized worlds while also appreciating enduring family-friendly fantasy musicals. What movie is recommended for this user? Answer with movies released in the 20th century.	Logan Butler is a 35-year-old male executive. He favors timeless, character-driven epics that blend action and adventure with rich world-building, moral complexity, and touches of wit and romance across sci-fi, crime drama, and fantastical adventure. In particular, he likes Star Wars: Episode IV - A New Hope (1977), The Godfather (1972), and The Princess Bride (1987).
SearchQA	Question: The discovery of the Comstock Lode in 1859 attracted miners & prospectors to this state	In 1859, two young prospectors struck gold in the Sierra Nevada lands. Henry Comstock discovered a vein of gold called a lode. The Comstock Lode attracted thousands of prospectors. Miners came across the United States, as well as from France, Germany, Ireland, Mexico, and China. One of every three miners was

Table 5: Examples of queries and their top-1 retrieved documents in RAG. The correct answers contained in the documents are highlighted in blue.

Dataset	K	R	L	k	T	ε_{θ_s}	$ ho_{ m hist}$	$ ho_{ m retr}$
Medical Synth	10	500	5	80	70	0.4	0.1	0.009
Movielens	10	500	5	100	70	0.4	0.1	0.009
SearchQA	10	1000	5	100	70	0.4	0.1	0.009

Table 6: Hyperparameters of DP-SynRAG for the main results presented in Table 1. K: number of keywords extracted from each document; R: number of clusters; L: number of overlapping documents across clusters; k: number of retrieved documents; T: token length of synthetic texts; ε_{θ_s} : privacy parameter of threshold selection; ρ_{hist} : zCDP parameter of the histogram generation step; ρ_{retr} : zCDP parameter of the retrieval step.

Dataset	K = 5	K = 10	K = 20
Medical Synth	67.18 _{2.29}	67.26 _{2.22}	67.84 _{1.68}
Movielens	44.48 1.71	42.56 _{1.97}	44.16 _{1.79}
SearchQA	91.57 _{2.26}	89.61 3.22	92.16 _{1.83}

Table 7: Sensitivity analysis of DP-SynRAG with respect to the number of keywords extracted from each document K. We set $\varepsilon_{\text{total}} = 10$. All other hyperparameters except K are set to the values listed in Table 6.

Dataset	R = 100	R = 300	R = 500	R = 700	R = 1000
Medical Synth	52.84 3.47	67.56 _{1.22}	67.26 _{2.22}	66.68 _{2.91}	66.36 _{2.00}
Movielens	44.16 _{2.18}	$44.60_{1.74}$	42.56 _{1.97}	$40.56_{1.31}$	$44.32_{2.42}$
SearchQA	$72.94_{1.12}$	$88.24_{4.27}$	$90.78_{1.78}$	$90.00_{1.89}$	89.61 3.22

Table 8: Sensitivity analysis of DP-SynRAG with respect to the number of clusters R. We set $\varepsilon_{\text{total}} = 10$. All other hyperparameters except R are set to the values listed in Table 6.

Dataset	L = 1	L=3	L = 5	L = 7	L = 10
Medical Synth	42.52 4.84	62.82 2.97	67.26 _{2.22}	67.86 _{1.88}	66.14 2.25
Movielens	$38.36_{2.54}$	40.44 3.10	$42.56_{1.97}$	$44.96_{2.10}$	$43.12_{3.42}$
SearchQA	$76.67_{\ 2.54}$	85.62 _{1.50}	89.61 3.22	88.56 _{2.04}	85.29 _{1.70}

Table 9: Sensitivity analysis of DP-SynRAG with respect to the number of overlapping documents across clusters L. We set $\varepsilon_{\text{total}} = 10$. All other hyperparameters except L are set to the values listed in Table 6.

Dataset	k = 40	k = 60	k = 80	k = 100	k = 120
Medical Synth	57.84 _{3.00}	65.68 _{1.58}	67.26 _{2.22}	68.16 _{2.38}	67.42 _{1.88}
Movielens	$40.32_{1.30}$	$41.84_{1.86}$	$44.20_{\ 1.17}$	42.56 _{1.97}	$43.48_{\ 2.59}$
SearchQA	87.25 3.47	$90.78_{0.88}$	89.61 2.03	89.61 3.22	90.59 1.91

Table 10: Sensitivity analysis of DP-SynRAG with respect to the number of retrieved documents k. We set $\varepsilon_{\text{total}} = 10$. All other hyperparameters except k are set to the values listed in Table 6.

Dataset	Good Synthetic Text	Bad Synthetic Text
Medical Synth	Patient K, displaying symptoms of sudden limb weakness, uncontrolled gas release, and a peculiar tingling sensation in the left nasal passage, has been diagnosed with Flibberflamia Frigibulitis. To effectively manage this condition, a treatment plan tailored to address the specific needs of Flibberflamia Frigibulitis	A case file lists certain anomalies L'Andre Duche whose assumed french inspired nickname appears incorrect was mistaken it has another " name given in it it indicates he suffering in issues of, haying filds for distance. as result confusion problems his way see think, problems his of breath have an haze,. Following a set list by certain of symptoms
Movielens	Zachary Scarlett Lee is a 25-year-old male. He has a strong affinity for dark, complex, and thought-provoking films that often blend elements of drama, crime, and the supernatural. His favorite movies include American Beauty (1999), and The Usual Suspects (1995).	18-month college female participant Val Addabelle isn' covered (but information does show) sales Associate like to paint as it reminds me and the rest with in, äction thrill rides she most finds appealing The genre for suspense movie that was shown at school by,The classic in year she in was able a bit for
SearchQA	On this initial mention of renowned developer Mikhail Kalash transformer of the iconic device, the first AK-47 assault rifle was created in him Russia, The AK-47 was first introduced to Russian forces in 1949.	Following specific guidelines a toy sweetening alternative, referred to as conjunctions - artificially and naturally used to reduce the meaning without an interruption of the overall message - compounds are used in sugarcoaster and they produce the same result when two or no items - (a) are compared to (x)= another item; 2. Two substances with the combination

Table 11: Examples of synthetic texts generated by DP-SynRAG for three datasets. Good examples (green) preserve essential information for downstream RAG tasks. Bad examples (red) lose key information and are of low quality as text.