# Reinforcement learning: Project 4

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## Introduction

In this project our goal is to train an agent to play the game Othello, which is a modern version of Reversi<sup>1</sup>. Othello is a turn-based strategy board game for two players played on an  $8 \times 8$  grid. Players take turns placing one piece on an empty spot on the board with their assigned color. After a play is made, the pieces of the opponent's color that lie bounded by the current player's color are turned over. The game ends when the board is filled or when none of the players can make a move. The player who controls the most pieces at the end wins the game. Figure 1 shows an example of the first few moves in a game.

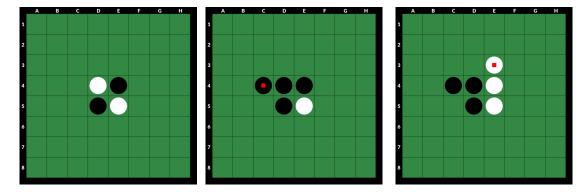


Figure 1: Starting state and first two moves of a game.

### Some challenges and how we address them

Since Othello is a two-player game, we need to consider the agent/opponent setting. A natural idea would be to have one set of values for black and a completely different set of values for white, thus yielding two separate policies. When dealing with a two-player setting such as this, however, it turns out that we can avoid having to train two agents simultaneously by using self-play in combination with a minimax algorithm, thus allowing for both the black and white pieces to play against the same set of values.

Othello, similarly to Chess and AlphaGo, is unsolved and has a huge state space (around  $10^{28}$  possible board positions on an  $8 \times 8$  grid). Handling this size would require a large amount of compute which we do not have. Therefore, we decide to cut down the size of the board to a  $6 \times 6$  grid instead. This results in a state space of around  $10^{12}$ , which is still large enough to prevent accidentally brute-forcing the problem, but much more manageable within the scope of this project. A possibly even more important

<sup>&</sup>lt;sup>1</sup>https://en.wikipedia.org/wiki/Reversi

advantage of this simplification is that  $6 \times 6$  Othello is solved, with the white pieces having a clear advantage<sup>2</sup>. This means that it will now be much easier to assess the results of the training, since we now know what behavior to look for. Ideally, we would like to see the agent converge towards white winning every game.

As in most reinforcement learning systems, we have a setting of sparse rewards (only at the end of games) and the issue of balancing exploration/exploitation properly. We want to be able to learn meaningful patterns in a reasonable amount of time without having to go through the whole decision tree of possible games. Our attempt at addressing both of these problems (which is heavily inspired by the AlphaGo article Silver et al. 2016) is to use a Monte Carlo tree search algorithm in combination with a neural network-approximated policy that, simply put, favors deep over wide exploration such that rewards become more frequent, thus speeding up the learning.

# MDP framing

The environment is a  $6 \times 6$  grid where the dynamics are completely deterministic. The number of unique board positions in  $6 \times 6$  Othello has been approximated to  $10^{12}$  ( $3^{32} \cdot 2^4 \approx 10^{16}$  and then divided by some number that accounts for what proportion of these actually represent legal board positions). A state will be represented as a  $6 \times 6$  matrix with entries being

$$s_{ij} = \begin{cases} -1 & \text{if square } (i,j) \text{ is occupied by a white piece,} \\ 0 & \text{if square } (i,j) \text{ is not occupied by any piece,} \\ 1 & \text{if square } (i,j) \text{ is occupied by a black piece.} \end{cases}$$
 (1)

The action space of size 32 includes all squares on the board, excluding the four starting pieces. However, most of these actions will be illegal. According to a paper that claims to have weakly solved Othello, there are on average 10 legal actions per move on a  $8 \times 8$  grid (Takizawa 2023). This leads us to believe that a  $6 \times 6$  grid may have on average 5-8 legal actions from a given state. An action a is represented as a tuple (i, j), which is the position where the piece is placed on the board.

A reward is only given at the end of each game and will be defined as

$$R(s,a) = \begin{cases} 1 & \text{if black wins the game} \\ -1 & \text{if white wins the game} \\ 0 & \text{if the game is drawn} \end{cases}$$
 (2)

<sup>&</sup>lt;sup>2</sup>https://en.wikipedia.org/wiki/Computer\_Othello

# Monte Carlo tree search (MCTS)

Monte Carlo tree search (Sutton, Barto, et al. 2018, ch. 8.11) is a type of decision-time planning algorithm based on Monte Carlo control applied to simulations starting from the root state, that is, a type of rollout algorithm.

Decision-time planning (Sutton, Barto, et al. 2018, ch. 8.8) is a type of planning that focuses on a particular state. That is, instead of simulating experience for the whole state space, it only plans ahead from the current state  $s_t$ . Using simulated experience to gradually improve a policy or value function or to select an action for the current state. The values and policy are specified to the current state and the action choices available there. In decision-time planning there is a tradeoff between better policies and the time that is needed to simulate enough trajectories to obtain good value estimates.

A rollout algorithm (Sutton, Barto, et al. 2018, ch. 8.10) is a decision-time planning algorithm based on Monte Carlo control applied to simulated trajectories that all begin in the current state. Each rollout produce Monte Carlo estimates of action-values for each current state and for a given policy, usually called the rollout policy. The aim of a rollout algorithm is to improve the rollout policy and not find the optimal policy like in regular Monte Carlo methods.

In MCTS, as in a rollout algorithm, each execution is an iterative process that simulates many trajectories, each trajectory starting from the current state  $s_t$ , running to a terminal state  $s_T$ . This iterative process consists of four steps; selection, expansion, rollout (simulation) and backpropagation.

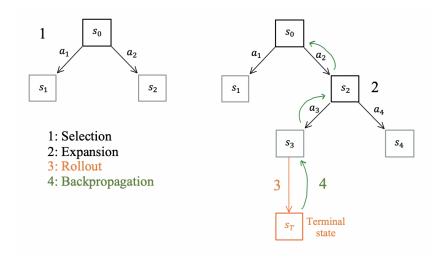


Figure 2: Monte Carlo tree search

These four steps continues to execute, starting each time at the tree's root node (initial state), until some criterion is fulfilled. This criterion can for instance be iterating for a fixed number of iterations (fixed number of terminal states reached with the tree policy).

### 1. Selection

In the selection part, a node (state) is chosen according to a tree policy. The tree policy balances exploration and exploitation, where it's action selection can for instance be based on  $\epsilon$ -greedy or an

upper confidence bound (UCB) selection formula

$$a_t = \operatorname*{arg\,max}_{a} \left( Q(s, a) + c \sqrt{\frac{\log N(s)}{N(s, a)}} \right). \tag{3}$$

The Q(s,a) is an average action-value (updated by the backpropagation, explained in part 4), c is a constant that controls the greediness of the action selection, N(s) is the number of visits in current state s and N(s,a) is the number of visits in the next state given action a.

The MCTS traverses down the decision tree and whenever a state is visited, the next action is selected among the possible actions from this current state according to equation 3. This process continues until a leaf node (unexplored and not terminal state) is reached in which an expansion is applied.

### 2. Expansion

The decision tree is expanded from the current state by connecting all possible actions to their respectively next state. From these new states one is selected based on the tree policy used in the selection step. However, all these newly added states will have a N(s,a) count equal to zero. Since the MCTS priorities untried actions, one of these states will simply be picked randomly as a zero count will be seen as a  $a_t$  value of  $\pm \infty$ . Whenever a zero counted state-action is chosen we proceed to the next step, the rollout.

#### 3. Rollout

During the rollout, a simulation is applied from the current state. This simulates a whole episode starting from the current state until a terminal state is reached. The actions selected during the simulation are generated using a rollout policy and does not necessarily follow the chosen tree policy. The rollout policy is usually a simpler policy allowing for faster computation. It could for example follow a policy where actions are chosen uniformly. When the terminal state is reached, a rollout reward  $R_r$  is received. The reward will be backpropagated and finally, all values generated by the rollout will be discarded.

#### 4. Backpropagation

In the final phase, called the backpropagation, the reward observed from doing the rollout is backpropagated through the tree, updating all state-action values from where we started the rollout (the leaf) up to the root. The update rule is the in-place update of the average, given by

$$Q_{n+1}(s,a) \leftarrow Q_n(s,a) + \frac{1}{N(s,a)} [R_r - Q_n(s,a)],$$
 (4)

(Sutton, Barto, et al. 2018, eq. (2.5)) where  $Q_n(s,a)$  is the old state-action-value, N(s,a) is the number of visits to state-action pair s,a,  $R_r$  is the reward yielded from the rollout, and  $Q_{n+1}(s,a)$  is the updated value.

# **Modifying MCTS**

In order to speed up the tree traversal and gain better control of the exploration (such that rewards become more frequent) we now make some modifications to regular MCTS.

First, to address the agent/opponent setting of the system, we solve this problem by using a minimax<sup>3</sup> algorithm in combination with self-play. As such, we reframe the system as an agent learning how to play Othello against itself, rather than an agent playing Othello against an opponent. The minimax algorithm is a decision rule strategy used in game theory in order to find optimal moves in zero-sum games (when a player's gain is balanced out by the other player's loss). The algorithm assumes that one player tries to maximize its score, while the opponent tries to minimize it. This fits well into our model as our reward structure results in a zero-sum game.

We have incorporated this algorithm into the Monte Carlo tree structure by adding additional information about which color's move it is at each state. By doing this the MCTS has an indicator of when to minimize/maximize the next state-action value. The action selection part of the tree will handle the minimax situation by using formula

$$a_t = \operatorname*{arg\,min}_a \left( Q(s, a) \stackrel{+}{_{\scriptscriptstyle -}} c \frac{P(s, a) \sqrt{N(s)}}{N(s, a)} \right), \tag{5}$$

where the black (white) player will try to maximize (minimize) the return.

In addition, a policy P(s, a) is added in order to make the agent more selective toward states that have already seen a lot of visits, thus pushing the actual exploration down along a few branches instead of higher up among many branches. This will lead to rewards being less sparse in the training, and as such, will speed up learning. The policy itself is retrieved through a neural network, which we will present in its own section.

Lastly, the rollout policy is replaced by function approximation of state-action values, as constantly letting the games play out to their end is too slow. Now, instead of playing them out, we simply input the leaf state into a function and get an approximation of the value back immediately. This function approximation is also handled by a neural network which we will present in its entirety in the next section. The backpropagation still remains as before. The only difference now is that instead of the actual reward, it is the approximated value that gets backpropagated, unless we have actually reached a terminal state - then the real reward is backpropagated.

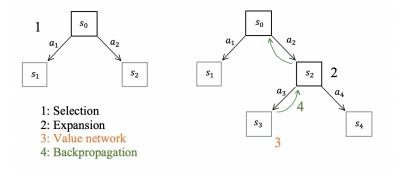


Figure 3: Modified Monte Carlo tree search

<sup>&</sup>lt;sup>3</sup>https://en.wikipedia.org/wiki/Minimax#Minimax

## Value approximation network

The function approximation of the action-values is handled by a deep convolutional neural network. In this section we will briefly present the architecture of the network and also explain how, and on what data, the initial training was done. For specific hyperparameter settings (such as batch-sizes, learning rates, etc.) we refer to the code appendix (line 749 in the code).

The architecture of the value neural network is heavily inspired by resnet-18 (He et al. 2016). Figure 4 depicts the network in its entirety.

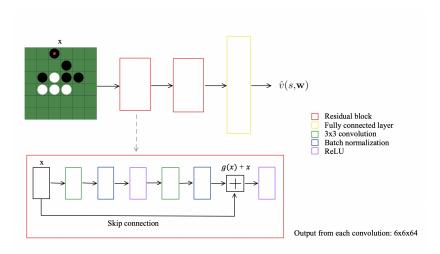
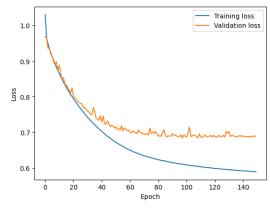


Figure 4: Value network architecture.

The input is a  $6 \times 6$  matrix with elements according to (1) in the MDP framing, the targets are the Monte Carlo returns associated with the game from which that particular board position was retrieved, i.e. -1, 0 or 1, the output is the full-gradient action-value approximation, and finally, the loss function is the Mean Squared Value Error with  $\mu(s)$  set to constant 1/|data| (Sutton, Barto, et al. 2018, p. 199).

The data used for the initial training of the value network consists of unique board positions retrieved from one hundred thousand randomly simulated games, with each one labeled according to the reward that was given at the end of its respective game. In total, this yields a dataset of size  $\approx 2 \cdot 10^6$ , which is then divided into a training dataset and a validation dataset according to a 80-20 split. Figure 5 depicts the training curve for 150 epochs. From the validation curve, we see no indication of overfitting, and the training curve seems to converge. As such, we deduce that the training is healthy. Looking at the actual loss, however, which is squared, we notice that for returns in the range [-1, 1] it seems relatively large. This might be an indication that our network is not deep enough to successfully capture the complex relationships be-

Figure 5: Initial training of the value network.



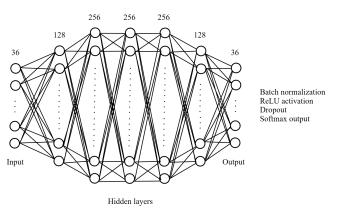
tween board positions and returns. Given more time and resources, adding more residual blocks is a potential improvement for the project. See code appendix for the full tensorflow implementation.

## Policy approximation network

The policy approximation is handled by a plain, fully connected, feedforward neural network with linear inputs up until and including the output layer, which uses a softmax function in order to model the probability distribution over the legal actions in the given state. The architecture is summarized in Figure 6. Again, refer to the code appendix for specific hyperparameter settings (line 802 in the code).

Since this network only requires the states as a sort of identifier to know which actions are legal, the board position matrices can be flattened to a 36dimensional vector before being input into the network, thus avoiding the need for convolutions to preserve more complex relations. Most likely, the states can be mapped to an even lower dimension and still act as unique identifiers. This is a potential improvement for the network, in terms of lowering the necessary complexity. The targets here are the normalized number of times each action had been taken from the given state in that particular tree, thus forming an approx-

Figure 6: Initial training of the value network.

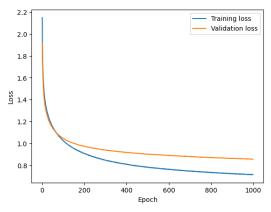


imate probability distribution over the actions. Since the number of actions can vary depending on the state, the output dimension is kept at a constant 36. This means that the target will be of this dimension as well, but with zeros on all positions that are not legal actions from the given state.

This means that the predicted probabilities will not form a perfect distribution over the legal actions, but the hope is that the bulk of the probability mass will still fall here, as the remaining actions are fitted to zeros. Dealing with this is another potential improvement. Finally, the Kullback-Leibler divergence<sup>4</sup> was used as loss function (minimization of distance between probability distributions).

The dataset used for the initial training of the policy network is a set of one thousand games simulated using the regular *upper-confidence bound* formula (eq. (3)) but with the value network instead of the rollout policy, thus forming a sort of intermediary step before transitioning completely to the model in Figure 3. Ide-

Figure 7: Initial training of the value network.



ally, this is where we would want to use prerecorded "expert" experience, but due to not being able find any such databases for  $6 \times 6$  Othello, we settle for this solution, and assume that the MCTS with the value network alone is sufficient. The training can be seen in Figure 7 and looks healthy. Since the targets represent unique state-action visits, we are ensured that there are no identical matches between the training and validation set. This indicates that the model generalizes well to unseen data.

<sup>&</sup>lt;sup>4</sup>https://en.wikipedia.org/wiki/Kullback%E2%80%93Leibler\_divergence

# Training cycle

With the modified MCTS, the value network and the policy network, we are now ready to define a training cycle, which is shown in Figure 8. Using the initially trained neural networks, we begin with simulating games from the Monte Carlo tree with a predefined number of episodes before termination. These games are then stored in a replay buffer which upon termination of the tree is sent into training of the neural networks for a number of epochs. Finally the updated networks are sent back to the tree, where a new step of the cycle can begin.

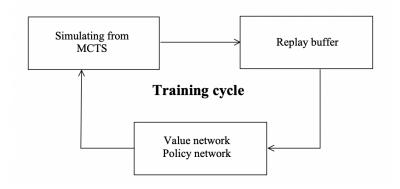


Figure 8: The agent training cycle.

In the actual implementation of the model, we let one tree iterate until it had reached terminal states 25 times, for 30 cycles. Even a single cycle often took as long as 30 minutes to complete due to the tree exploring along several different branches before reaching terminal states. In total, with the initial training of the value and policy networks included, approximately 15 hours was spent on training the model (on a CPU).

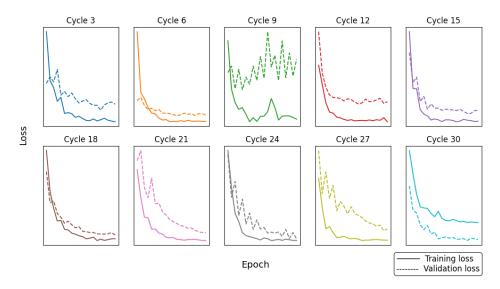


Figure 9: Value network training from ten selected cycles.

Figures 9 and 10 depict the training curves of the neural networks within the 30 training cycles. Overall the training looks very healthy in both networks. Cycle 9 within the value network has a validation

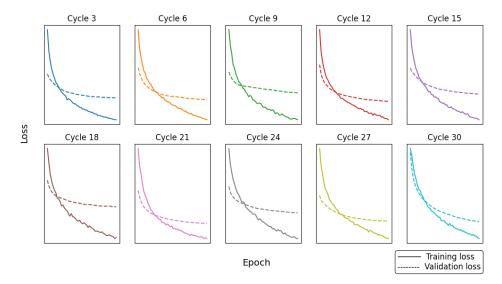


Figure 10: Policy network training from ten selected cycles.

curve that indicates a poor fit, which would be interesting to examine further. Cycle 30 from the value network has a validation curve that lies entirely below the training curve. This is actually because the training has converged such that the training and validation datasets are perfectly correlated. The regularization in the training is what causes the validation curve to fall below. In the policy network training we don't see any anomalies, and we can now move on to the results of the agent.

## Results

Figure 11 shows the result after training the model on 30 training cycles with 25 episodes each. As seen in Figure 11, the white piece player becomes increasingly more dominant and, at the end, completely dominating. This is a promising result as  $6 \times 6$  Othello is solved with the result that white has an advantage.

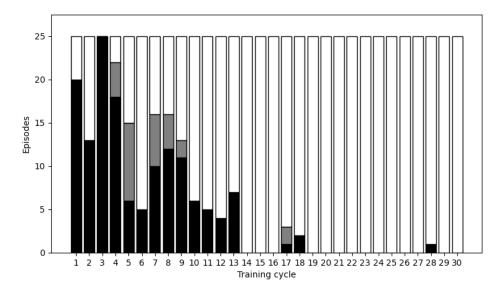


Figure 11: Reward distribution of each training cycle.

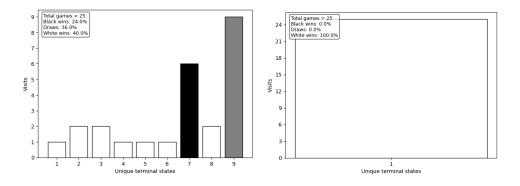


Figure 12: Terminal state distribution of cycle 5 (left) and cycle 30 (right).

Delving into some of the specific cycles now, we can see from Figure 12, for example, that even though white wins the first 6 episodes in the cycle, the fact that it wins in 6 different ways indicates that black is fighting back. Eventually, black even manages to get back with 6 wins in the same terminal state. Why white allows for this same terminal state to be reached so many times in a row could have something to do with the fact that white previously has gotten high rewards along this branch and therefore requires a couple of iterations before it realizes that better options exist.

Finally, though, which can be seen from Figure 12, white takes over completely and manages to force the game towards a single terminal state each game in the whole cycle. This happened in four other cycles towards the end as well, further strengthening the hypothesis that our training converged.

### Discussion

The final result indicates that our agent has trained well. However, to gain more insight into the agents behavior, a deeper analysis of the trained decision tree is required. This involves examining the structure of the tree to find meaningful patterns, such as frequently visited paths and areas where the agent shows uncertainty or bias, and map out specific trajectories and compare them to solutions of  $6 \times 6$  Othello. Since the game is solved, it would actually be possible to see if an optimal policy has been reached.

We mention that initially we worked on a  $4 \times 4$  grid where a large amount of time was spent on implementing the methods. This turned out to be too simple as we ended up brute-forcing the whole tree, resulting in an optimal solution during the first cycle. Then we jumped straight to the  $8 \times 8$  grid in which we realized we did not have enough computational power. Since our code was written for the general case, we seemlessly ended up with the  $6 \times 6$  grid eventually.

## Possible Improvements

### **Neural Networks**

The policy network needs further improvements seeing how the probability mass of the output did not match well with the legal actions deeper down the tree. Meaning that in some cases, as little as 50% of the probability mass was distributed to the legal actions. One way to solve it could be by adding a masking part which zeros out the illegal actions and weighted up the legal actions so they sum to one. There is probably a better way to improve this but we would need more time to figure it out.

Another improvement could be to simply train one neural network instead of having two separate ones. This network would then have as output both the actions and the values. By only training one network, we may speed up the training cycle slightly. It was, however, the tree traversal that ate up most of the training time, due to the size of the state space. So, any gains in the traversal would be even more valuable.

### Parallel Processing

To train the tree, it needed to be traversed many times. Instead of just using one agent to traverse down the tree, we could have trained several agents simultaneously to enhance the process. There should also be a way for parallelizing the networks and the tree.

### Handle Symmetry

We know for sure that the first four positions in which black can put its pieces are completely symmetric. With this knowledge, we could have fixed the first black piece to one position and then only trained that part of the tree to optimize it performance on one branch. Then the result in this branch could somehow map the other starting positions to equivalent actions.

## Play against the agent

Ideally, it would be nice to be able to play with the agent. This way we could see if it actually wins all the games (given that it plays the white pieces) when playing against a human. We started building a graphical user interface (GUI) to play against the agent. However, because our agent had not explored enough state-action pairs, it could not make an action fast enough as it had to explore the tree from this unseen state. A way to solve this could be to either build a larger tree with the already trained weights from the networks (but this takes quite a time) or we could have added a time

horizon threshold such that the agent only had a set amount of time to think. As it was implemented now, it spent a long time thinking (planning ahead) on each move which made the games very long. We are unfortunately not entirely sure how well it performs against a human, as of now.

## References

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- Takizawa, Hiroki (2023). "Othello is solved". In: arXiv preprint arXiv:2310.19387.

# Appendix A: Python code

```
1 import copy
2 import numpy as np
3 import pickle
4 import random
5 import tensorflow as tf
6 from MCT_Othello_classes import *
7 from sklearn.model_selection import train_test_split
8 from matplotlib import pyplot as plt
9 import matplotlib.ticker as mticker
10 import keras
11 from keras import layers, regularizers, Model
12 from othello_rl_helper_fcts import *
# 0=blank, 1=tot_black, -1=white
class OthelloBoard():
16
       dirx = [-1, 0, 1, -1, 1, -1, 0, 1]
       diry = [-1, -1, -1, 0, 0, 1, 1, 1]
17
18
      def __init__(self, n):
19
20
           self.n = n
21
           self.board = [[0 for _ in range(n)] for _ in range(n)]
           self.to_play = 1 #keep track of players turn 1=tot_black -1=white
22
23
           # self.pass_counter = 0
           self.reset_game()
24
25
26
      def reset_game(self):
           n = self.n
27
28
           # self.pass_counter = 0
           self.to_play = 1
29
           self.board = [[0 for _ in range(n)] for _ in range(n)]
31
           board = self.board
32
           # set up initial bricks
33
           z = (n - 2) // 2
34
           board[z][z] = -1
           board [n - 1 - z][z] = 1
board [z][n - 1 - z] = 1
36
37
           board[n - 1 - z][n - 1 - z] = -1
38
39
40
           return board
41
      def print_board(self):
42
          n = self.n
43
           board = self.board
44
45
           m = len(str(n - 1))
           for y in range(n):
46
               row = ',
47
               for x in range(n):
48
                   row += str(board[y][x])
49
                   row += ' ' * m
50
               print(row + ' ' + str(y))
51
           print("")
52
           row = ''
53
           for x in range(n):
               row += str(x).zfill(m) + ', '
55
           print(row + '\n')
56
57
58
      def make_move(self, curr_state, action, to_play):
           x = action[0]
           y = action[1]
60
           if self.check_valid_move(curr_state, x, y, to_play):
61
62
               n = self.n
               bricks_taken = 0 # total number of opponent pieces taken
63
```

```
64
                curr_state[y][x] = to_play
65
                for d in range(len(self.dirx)): # 8 directions
66
67
                    bricks = 0
                    for i in range(n):
68
                        dx = x + self.dirx[d] * (i + 1)
69
                        dy = y + self.diry[d] * (i + 1)
70
                        if dx < 0 or dx > n - 1 or dy < 0 or dy > n - 1:
71
72
                            bricks = 0; break
                        elif curr_state[dy][dx] == to_play:
73
74
                            break
                        elif curr_state[dy][dx] == 0:
75
76
                            bricks = 0; break
77
                        else:
78
                            bricks += 1
79
                    for i in range(bricks):
                        dx = x + self.dirx[d] * (i + 1)
80
81
                        dy = y + self.diry[d] * (i + 1)
                        curr_state[dy][dx] = to_play
82
                    bricks_taken += bricks
83
                return (curr_state, bricks_taken)
84
85
           else:
                return print("Not valid move, retry")
86
87
88
       def check_valid_move(self, curr_state, x, y, to_play):
89
           Function checks playable moves. First if the agent is within the board, then
90
       checks
           if the spot is occupied by a tot_black or white brick and finally, if the
91
       player do not
           take any of the opponents bricks, then it is not a legal move.
92
93
           if x < 0 or x > self.n - 1 or y < 0 or y > self.n - 1:
94
               return False
95
           if curr_state[y][x] != 0:
96
               return False
97
           (_, totctr) = self._check_valid_move(copy.deepcopy(curr_state), x, y, to_play)
98
           if totctr == 0:
99
               return False
100
101
           return True
102
       def _check_valid_move(self, board, x, y, to_play):
104
           Helper function to check_valid_move function to not overwrite the playing
105
       board if move is illegal
           11 11 11
106
107
           n = self.n
           bricks_taken = 0 # total number of opponent pieces taken
108
109
           board[y][x] = to_play
           for d in range(len(self.dirx)): # 8 directions
               bricks = 0
112
                for i in range(n):
                    dx = x + self.dirx[d] * (i + 1)
114
                    dy = y + self.diry[d] * (i + 1)
115
                    if dx < 0 or dx > n - 1 or dy < 0 or dy > n - 1:
116
117
                        bricks = 0; break
                    elif board[dy][dx] == to_play:
118
119
                        break
                    elif board[dy][dx] == 0:
120
121
                        bricks = 0; break
122
                    else:
                        bricks += 1
123
                for i in range(bricks):
124
                    dx = x + self.dirx[d] * (i + 1)
125
```

```
dy = y + self.diry[d] * (i + 1)
126
                    board[dy][dx] = to_play
127
                bricks_taken += bricks
128
           return (board, bricks_taken)
129
130
       def move_generator(self, curr_state, to_play):
131
            possibleMoves = []
132
            for i in range(self.n):
133
134
                for j in range(self.n):
                    if(self.check_valid_move(curr_state, i, j, to_play)):
135
136
                        possibleMoves.append((i, j))
            return possibleMoves
137
138
139
       def find_winner(self, curr_state):
            tot_black = 0
140
141
            tot_whites = 0
142
143
            for i in range(self.n):
                for j in range(self.n):
144
                    if (curr_state[i][j] == -1):
145
146
                         tot_whites += 1
                    elif (curr_state[i][j] == 1):
147
148
                        tot_black += 1
149
150
            if (tot_black == tot_whites):
151
                return 0
            elif (tot_black > tot_whites):
152
153
                return 1
            else:
154
155
                return -1
156
157
158
   class MCTSNode(OthelloBoard):
159
       def __init__(self, n, state, to_play, parent=None, parent_action=None):
160
            super().__init__(n)
161
            self.terminal_visits = 0
162
            self.state = state
163
            self.parent = parent
164
165
            self.parent_action = parent_action
           self.child_nodes = []
166
           self._nof_visits = 0
167
168
            self.player_turn = to_play
            self.q_value = 0
169
170
           self.p_action = 0
           self.avg_q_value = 0
171
172
            self.pass_counter = 0
            self._untried_actions = self.untried_actions()
            self.all_visited = False
174
176
177
       def untried_actions(self):
            self._untried_actions = self.move_generator(self.state, self.player_turn)
178
179
            if len(self._untried_actions) == 0 and self.pass_counter != 2:
180
                self.pass_counter += 1
181
                self.player_turn *= -1
182
                self.untried_actions()
183
184
            return self._untried_actions
185
186
       def expand(self):
187
            action = self._untried_actions.pop()
188
            next_state, _ = self.make_move(copy.deepcopy(self.state), action, self.player_
189
       turn)
```

```
next_player = self.player_turn*-1
190
           child_node = MCTSNode(self.n, next_state, next_player, parent=self, parent_
191
       action=action)
192
           self.child_nodes.append(child_node)
           if len(self._untried_actions) == 0:
                self.all_visited = True
194
           return child_node
195
196
197
       def update_q(self, val):
           self.q_value = val
198
199
           # return self.q_value
200
       # generalize this function such that it works for something
201
202
       def uniform_policy(self):
203
204
           Initializes a policy uniformly over all legal actions.
205
206
           nof_actions = len(self.child_nodes)
           return 1 / nof_actions
207
208
209
       def backpropagate(self, q_NN):
           # self.acum_q_value += q_NN
210
           self._nof_visits += 1
211
           self.avg_q_value += (q_NN - self.avg_q_value)/self._nof_visits # Q_{n+1}
212
213
           if self.parent: # Check if list is empty
214
                self.parent.backpropagate(q_NN)
215
216
       def best_child(self, c):
217
218
           Minimax for training two agents
219
220
           if self.player_turn == 1: # max
               UCB_values = [child.avg_q_value + c * child.p_action * np.sqrt(self._nof_
221
       visits) / child._nof_visits
                               for child in self.child_nodes]
222
               # + c_paramnp.sqrt(np.log(self._nof_visits)/child._nof_visits)
223
               return self.child_nodes[np.argmax(UCB_values)]
224
           elif self.player_turn == -1: # min
               UCB_values = [child.avg_q_value - c * child.p_action * np.sqrt(self._nof_
226
       visits) / child._nof_visits
                              for child in self.child_nodes]
227
                # - c_param*np.sqrt(np.log(self._nof_visits)/child._nof_visits)
228
               return self.child_nodes[np.argmin(UCB_values)]
229
230
231
   def unpack_positions_returns(games):
232
233
       Takes in games in the form of a list of tuples where the first element of the
       tuple is a list of board positions representing one full game, and the second
234
       element in the tuple is the reward (-1, 0, or 1) from that game.
235
236
       Returns a list of tuples where each tuple consists of a single board position
237
       and the reward associated with the game where that board position came from.
238
       This is a (state s_t, return G_t) tuple in RL terms.
239
240
       data = []
241
       for game in games:
242
243
           for position in game[0]:
               data.append((position, game[1]))
244
245
       return data
246
247
      unique_board_positions(state_return_tuples):
248
       Takes in list of tuples (state, return) where state is a board position and
249
       a list of only the unique tuples.
```

```
251
       This function will most likely be used only once, on the purely random simulated
252
       data.
253
       unique dict = {}
254
       for state, target in state_return_tuples:
255
           # Convert the matrix to a hashable representation using .tobytes()
256
           key = (state.tobytes(), target)
257
258
           # Only add unique tuples
259
260
           if key not in unique_dict:
               unique_dict[key] = (state, target)
261
262
       # Saving the unique (board, reward) tuples as data list
263
       return list(unique_dict.values())
264
265
266 def value_predictors_targets(state_return_tuples):
267
       Takes in list of tuples (state, return) where state is board position and return
268
       observed reward from game.
269
270
       And returns them in the form of X, y, ready to be used in a model.
271
272
273
       # orders data into predictros and targets
       X = np.array([x for x, _ in state_return_tuples])
274
       y = np.array([y for _, y in state_return_tuples])
275
276
       # expanding X to include #channels=1
277
278
       X = np.expand_dims(X, axis=-1)
279
280
       return X, y
281
282 # Unpickling and saving the games to a list
   def read_files(path):
       with open(path, "rb") as fp:
284
           boards = pickle.load(fp)
285
       return boards
286
287
   def create_dataset(X, y, batch_size=128, shuffle_buffer_size=10000):
288
289
       X and y should already be processed according to above preprocessing
290
291
       functions before being passed to this function.
292
293
       This function is simply to make training more efficient from memory.
294
295
       # Create dataset from tensor slices
       dataset = tf.data.Dataset.from_tensor_slices((X, y))
296
297
298
       # Shuffle the dataset (reshuffles each epoch)
       dataset = dataset.shuffle(buffer_size=shuffle_buffer_size, reshuffle_each_
299
       iteration=True)
300
       # Batch the dataset
301
       dataset = dataset.batch(batch_size)
302
303
304
       # Prefetch for performance optimization
       dataset = dataset.prefetch(tf.data.AUTOTUNE)
305
306
       return dataset
307
308
def map_states_to_action_visits(sav):
       state_to_action_visits = {}
310
       for state, action, count in sav:
311
       key = (state.tobytes())
312
```

```
if key not in state_to_action_visits:
313
                state_to_action_visits[key] = [(action, count)]
314
315
                state_to_action_visits[key].append((action, count))
316
317
       return state_to_action_visits
318
319
320 def map_actions_to_integers(n):
321
       actions = [(i,j) for i in range(n) for j in range(n)]
       map_actions = dict(zip(actions, [i for i in range(n*n)]))
322
323
       return map_actions
324
325
   def policy_predictors_targets(sav, n):
326
327
328
       Takes in a list of tuples (state, action, count) where state is board position,
       action is the action taken in this state and the count is the number of visits
329
330
       to the next state when taking the action.
331
       It should be the N(s,a) count from the UCB algorithm.
332
333
       The predictors are the states and the target will be the N(s,a) count
334
335
336
       map_sav = map_states_to_action_visits(sav)
337
       mapped_actions = map_actions_to_integers(n)
338
       X = []
       y = []
339
340
       for state, value in map_sav.items():
341
342
           x = np.frombuffer(state, dtype=int)
           X.append(x)
343
344
           y_i = np.zeros(n*n)
345
           total_visits = 0
           for action, visits in value:
346
                total_visits += visits
347
                y_i[mapped_actions[action]] = visits
348
349
           y_i = y_i/total_visits
350
           y.append(y_i)
351
352
       X = np.array(X)
353
       y = np.array(y)
354
355
       return X, y
356
357
358 def episode(node):
359
       episode_list = [np.array(node.state)]
       if node.parent:
360
           episode_list.extend(episode(node.parent))
361
362
       return episode_list
363
   def treetraversal(node, res):
364
365
       Recursive helper function to state_action_visits.
366
367
       if not node:
368
369
           return
370
371
       if node.parent != None:
           tup = (np.array(node.parent.state), node.parent_action, node._nof_visits)
372
           res.append(tup)
373
374
       for child in node.child_nodes:
375
376
            treetraversal(child, res)
377
```

```
379 def state_action_visits(root):
380
       Given MCTS, recursively goes through the tree and returns a list with tuples
381
       containing state s, action a and N(s,a).
382
383
384
       res = []
       treetraversal(root, res)
385
386
       return res
387
388
389 def results_distribution(episodes):
390
       Given episodes, return a tuple with win, draw and loss.
391
392
393
       win = 0
       loss = 0
394
395
       draw = 0
396
       for i in range(len(episodes)):
397
           if episodes[i][1] == -1:
308
                loss += 1
399
400
           elif episodes[i][1] == 0:
401
402
                draw += 1
403
           elif episodes[i][1] == 1:
404
405
                win += 1
406
407
       return (win, draw, loss)
408
409
410 def terminal_state_visits(episodes):
411
       Given episodes, returns a dictionary with terminal state as key
412
       and number of visits in that terminal state as value.
413
414
       term state visits = {}
415
416
417
       for i in range(len(episodes)):
           term_state = (episodes[i][0][0].tobytes(),)  # Make terminal state hashable
418
           term_state_visits[term_state] = term_state_visits.get(term_state, 0) + 1
419
420
       return term_state_visits
421
422
423
424 def bar_plot_term_states(episodes):
425
       Given episodes, plot the distribution over how often a terminal state is visited.
426
427
       win, draw, loss = results_distribution(episodes)
428
       tot_games = len(episodes)
429
       win_proc = np.round(win/tot_games*100, decimals=4)
430
       draw_proc = np.round(draw/tot_games*100, decimals=4)
       loss_proc = np.round(loss/tot_games*100, decimals=4)
432
433
434
       term_state_visits = terminal_state_visits(episodes)
       x_vals = [i for i in range(len(term_state_visits))]
435
       plt.bar(x_vals, term_state_visits.values())
436
437
438
       # Add a text box with game results
       textstr = f"Total games = {tot_games}\nWin: {win_proc}%\nDraw: {draw_proc}%\nLoss:
439
        {loss_proc}%"
       # Position the text box
441
```

```
props = dict(boxstyle='round', facecolor='white', alpha=0.8)
442
       plt.text(0.68, 0.9, textstr, transform=plt.gca().transAxes, fontsize=9,
443
               verticalalignment='top', bbox=props)
444
445
       plt.xlabel("Terminal states")
446
       plt.ylabel("Number of visits")
447
       plt.grid()
448
449
450
def online_value_training(value_model, replay_buffer, epochs=20, batch_size=64):
452
       Given a replay buffer in the form of list of tuples, (where each tuple consists of
453
       a list of board positions from one game, and the reward from said game) and a
454
       value model,
       trains this model using the replay buffer through given number of epochs.
455
456
       Returns the trained value_model
457
458
       state_return_tuples = unpack_positions_returns(replay_buffer)
459
       X_buffer, y_buffer = value_predictors_targets(state_return_tuples)
460
       X_train_onl, X_test_onl, y_train_onl, y_test_onl = train_test_split(X_buffer, y_
461
       buffer,
                                                                               test_size=0.2,
462
                                                                               random_state
463
       =80085)
464
       train_dataset = create_dataset(X_train_onl, y_train_onl)
465
466
       val_dataset = create_dataset(X_test_onl, y_test_onl)
467
       history_onl = value_model.fit(
468
           train_dataset,
469
470
           batch_size=batch_size,
471
           epochs = epochs,
           validation_data=val_dataset
472
473
474
       return value_model, history_onl
475
476
477 def online_policy_training(policy_model, tree, epochs=20, n=6, batch_size=64):
478
       Given a replay buffer in the form of list of state-actions and their visits and a
479
       policy model,
480
       trains this model using the replay buffer through given number of epochs.
481
482
       Returns the trained policy_model
483
484
       savs = state_action_visits(tree)
       X_buffer , y_buffer = policy_predictors_targets(savs, n)
485
       X_train_onl, X_test_onl, y_train_onl, y_test_onl = train_test_split(X_buffer, y_
486
       buffer.
                                                                               test_size=0.2,
487
                                                                               random_state
       =80085)
       train_dataset = create_dataset(X_train_onl, y_train_onl)
490
       val_dataset = create_dataset(X_test_onl, y_test_onl)
491
492
       history_onl = policy_model.fit(
493
494
           train_dataset,
           batch_size=batch_size,
495
496
           epochs=epochs,
497
           validation_data=val_dataset
498
499
     return policy_model, history_onl
500
```

```
501
   def simulate_random_games(n):
502
503
       game_boards = []
       game = OthelloBoard(n)
504
505
       while True:
506
507
           moves = game.move_generator()
           if moves == []:
508
509
                game.pass_counter += 1
                game.change_turn()
510
511
           else:
512
513
                game.pass_counter = 0 # reset counter
514
                action = random.choice(moves)
                board = game.make_move(action)[0]
515
516
                game_boards.append(np.array(board))
                game.change_turn()
517
518
           if game.pass_counter == 2:
519
                reward = game.find_winner()
520
521
                break
       return (game_boards, reward)
523
524
525
   def save_games(n, nr_simulations):
526
       all_game_boards = []
528
       for _ in range(nr_simulations):
           boards, actions = simulate_random_games(n)
529
            all_game_boards.append(boards)
531
532
       with open(f"othello_sim_boards_{nr_simulations}", "wb") as fp: #Pickling
533
            pickle.dump(all_game_boards, fp)
534
   def build_tree(n, value_model, policy_model, c, nr_simulations=1000):
535
536
       game = OthelloBoard(n)
537
       root = MCTSNode(n, game.board, game.to_play)
538
       episodes = []
539
540
       mapped_actions = map_actions_to_integers(n)
541
       while True:
543
           terminal_state, reward = expand_tree_iteratively(root, value_model, policy_
       model, mapped_actions, c)
            episodes.append((episode(terminal_state)[:-1], reward))
           print('Episode done!')
545
546
           if len(episodes) == nr_simulations:
547
548
549
       return root, episodes
551
   def expand_tree_iteratively(root, value_model, policy_model, mapped_actions, c):
552
       stack = [(root, root)] # Stack holds pairs of (root, root)
553
554
       while stack:
555
556
           current_root, current_node = stack.pop() # Get the last node to process
557
            if current_node._untried_actions: # If the node has untried actions, expand
558
       it.
559
                next_node = current_node.expand()
                \# Instead of using recursion, use a random value for the q_val
560
               q_val = np.array(value_model(np.expand_dims(np.array(next_node.state),
561
       axis=(0, -1)))[0][0])
562
               next_node.update_q(q_val)
```

```
next_node.backpropagate(next_node.q_value)
563
564
               # Push the root back into the stack to continue processing from the root
565
               stack.append((current_root, current_root))
566
567
           else: # If no untried actions, move to the best child or terminal node
568
               if current_node.pass_counter != 2:
569
570
571
                    flatten_state = np.ndarray.flatten(np.array(current_node.state))
                    policy_dist = np.array(policy_model(np.expand_dims(flatten_state, axis
572
       =0))[0])
                    for child in current_node.child_nodes:
573
574
                        child.p_action = policy_dist[mapped_actions[child.parent_action]]
575
                    best_child = current_node.best_child(c)
576
                    stack.append((current_root, best_child)) # Continue with the best
577
       child
578
               else: # Terminal node/state
579
                    reward = current_node.find_winner(current_node.state)
580
                    current_node.update_q(reward)
581
582
                    current_node.backpropagate(reward)
                    current_node.terminal_visits += 1
583
                   return current_node, reward
584
585
586
   def unpack_plot_history(history_list):
       for i, history in enumerate(history_list):
587
588
           if history != []:
               label = f"C{i}"
589
               plt.plot(history.history['loss'], label=f'Training loss', color = label)
590
               plt.plot(history.history['val_loss'], linestyle="dashed", label = '
591
       Validation loss', color = label)
592
       plt.xlabel('Epoch')
593
       plt.ylabel('Loss')
594
       plt.legend(loc='upper right')
595
       plt.show()
596
597
   def cycle_results_histogram(episodes_list):
598
       Takes in an ordered (from first to last training cycle) episodes list where the
600
       length of the
601
       list denotes the number of training cycles that were needed to create it (each
       element in the
602
       list should be a list of episodes).
603
604
       In return, plots a histogram with sections colored according to the number of
       white wins, black
       wins, and draws (grey).
605
606
       As white should win at 6x6 othello, we expect to see the bars further to the right
607
        to be
       increasingly dominated by the white sections.
608
609
       results = []
610
       for episodes in episodes_list:
611
612
           results.append(results_distribution(episodes))
613
       # Generate x-axis indices for the number of tuples in the list
614
       x = range(len(results))
615
       labels = list(range(1, len(results) + 1))
616
617
       # Unpack each tuple into separate segments
618
       segment1 = [t[0] for t in results] # Black section
619
       segment2 = [t[1] for t in results] # Grey section
620
```

```
segment3 = [t[2] for t in results] # White section
621
622
       plt.figure(figsize=(11, 5))
623
624
       # Plot the first segment (black)
625
       plt.bar(x, segment1, color='black', edgecolor='black')
626
627
       # Plot the second segment (grey), stacked on top of the first
628
629
       plt.bar(x, segment2, bottom=segment1, color='grey', edgecolor='black')
630
631
       # Compute the bottom for the third segment (segment1 + segment2)
       bottom3 = [a + b for a, b in zip(segment1, segment2)]
632
633
634
       # Plot the third segment (white)
       plt.bar(x, segment3, bottom=bottom3, color='white', edgecolor='black')
635
636
       # # Add a text annotation above each bar showing the white percentage.
637
638
       # for i, t in enumerate(results):
             total = sum(t)
639
             # Calculate the white percentage (t[2] is the white segment)
640
             white_percentage = (t[2] / total) * 100 if total else 0
641
             # Use .3g to format the number with a maximum of 3 significant digits
642
             plt.text(i, total + 1, f'{white_percentage:.3g}%', ha='center', va='bottom',
643
       fontsize=5)
       plt.xlabel('Training cycle')
645
       plt.ylabel('Episodes')
646
       plt.ylim(0, max([sum(t) for t in results]) * 1.1) # Slightly higher than max for
647
       visual clarity
       plt.xticks(x, labels)
648
       plt.show()
649
650
def terminal_visits_histogram_colored(episodes):
652
       Takes in a list of episodes and returns a histogram with as many bars as
653
       unique terminal states that were visited in those episodes, with each bar
654
       colored according to who wins (or draw) in that position. White bars for
655
       white wins, black bars for black wins, and grey for draws.
656
657
658
       nr_games = len(episodes)
659
       win, draw, loss = results_distribution(episodes)
660
661
       w_rate, d_rate, l_rate = np.round(np.array([win, draw, loss])*(100/nr_games),
       decimals=0)
662
       terminal_states = {}
663
664
       for episode in episodes:
           key = (episode[0][0].tobytes(), episode[1])
665
           if key not in terminal_states:
666
667
               terminal_states[key] = 1
           else:
668
               terminal_states[key] += 1
669
670
       color_mapping = {
671
           -1: 'white', # white for -1
672
                       # grey for 0
           0: 'grey',
673
           1: 'black' # black for 1
674
675
676
       # Extract rewards, visits, and colors
677
678
       identifiers = []
       heights = []
679
       colors = []
680
       for (identifier, y_value), height in terminal_states.items():
        if isinstance(identifier, bytes):
682
```

```
identifier = identifier.decode('latin-1')
683
                     identifiers.append(identifier)
684
                     heights.append(height)
685
                      colors.append(color_mapping[y_value])
686
687
             x = range(len(terminal_states))
688
689
             plt.figure(figsize=(7.2, 5))
690
691
              plt.bar(identifiers, heights, color=colors, edgecolor='black')
692
693
              # Add a text box with game results
             textstr = f"Total games = {nr_games}\nBlack wins: {w_rate}%\nDraws: {d_rate}%\nDraws: {d_rate}%\n
694
             nWhite wins: {l_rate}%"
695
              # Position the text box
696
              props = dict(boxstyle='round', facecolor='white', alpha=0.8)
697
              plt.text(0.025, 0.975, textstr, transform=plt.gca().transAxes, fontsize=9,
698
699
                              verticalalignment='top', bbox=props)
700
701
             plt.xticks(x, [str(i + 1) for i in x])
702
703
              plt.gca().yaxis.set_major_locator(mticker.MaxNLocator(integer=True))
704
705
706
              plt.xlabel('Unique terminal states')
              plt.ylabel('Visits')
707
708
709
              plt.show()
710
711 def remove_empty_lists(list):
              # remove all empty lists from the list
712
713
              list = [x for x in list if x]
              return list
714
715
716 def unpack_plot_train_val_curves(history_list, cycle_labels):
717
              All empty lists have to removed from the input list here in order for it to work.
718
719
             See above helper function.
720
              Cycle_labels should be a list of of labels for the cycles, i.e. [3,6,9,...] if
721
              every third cycle is passed in the history list
722
723
724
             fig = plt.figure(figsize=(12, 6))
725
726
              for i, history in enumerate(history_list):
727
728
                     plt.subplot(2,5,i+1)
                     color = f"C{i}"
729
730
731
                     plt.plot(history.history['loss'], label=f'Training loss', color = color)
                     plt.plot(history.history['val_loss'], linestyle="dashed", label = 'Validation
732
             loss', color = color)
                     plt.title(f'Cycle {cycle_labels[i]}')
733
                     plt.tick_params(axis='x', which='both', bottom=False,
734
                              top=False, labelbottom=False)
735
                     plt.tick_params(axis='y', which='both', right=False,
736
737
                             left=False, labelleft=False)
738
              textstr = '\u2500' * 5 + ' Training loss \n---- Validation loss'
739
              props = dict(boxstyle='round', facecolor='white', alpha=0.8)
740
              plt.text(-0.125, -0.10, textstr, transform=plt.gca().transAxes, fontsize=12,
741
                              verticalalignment='top', bbox=props)
742
743
             fig.text(0.5, 0.04, 'Epoch', va='center', ha='center', fontsize=14)
```

```
fig.text(0.09, 0.5, 'Loss', va='center', ha='center', rotation='vertical',
745
      fontsize=14)
      plt.show()
746
747
749 # ------ Beginning of VALUE NN architecture ------
751
752 def residual_block(x, channels=64, kernel_size=(3,3), weight_decay=0.001):
      shortcut = x # No projection needed if dimensions already match.
753
754
      x = layers.Conv2D(channels, kernel_size=kernel_size,
756
                      padding='same', use_bias=False,
                      kernel_regularizer=regularizers.12(weight_decay))(x)
757
      x = layers.BatchNormalization()(x)
758
759
      x = layers.ReLU()(x)
760
761
      x = layers.Conv2D(channels, kernel_size=kernel_size,
                      padding='same', use_bias=False,
762
                      kernel_regularizer=regularizers.12(weight_decay))(x)
763
764
      x = layers.BatchNormalization()(x)
765
      # Direct addition is fine here.
766
      x = layers.Add()([x, shortcut])
767
768
      x = layers.ReLU()(x)
769
      return x
770
771
772 # Build the model
773 inputs = layers.Input(shape=(6, 6, 1)) # 6x6 Othello
774
# Initial convolution block (producing 16 channels)
x = layers.Conv2D(64, kernel_size=(3,3),
                  padding='same', use_bias=False,
777
                  kernel_regularizer=regularizers.12(0.001))(inputs)
778
x = layers.BatchNormalization()(x)
x = layers.ReLU()(x)
781
_{782} # Add a number of residual blocks with constant 64 channels
783 x = residual_block(x, channels=64, kernel_size=(3,3), weight_decay=0.001)
784 x = residual_block(x, channels=64, kernel_size=(3,3), weight_decay=0.001)
786 # Flatten the features and output a single scalar value (for a value network)
x = layers.Flatten()(x)
outputs = layers.Dense(1, name="value_output")(x)
789
790 # Create the model
value_model = Model(inputs=inputs, outputs=outputs)
792 value_model.summary()
793
794 # Optimizer for the value model
795 value_model.compile(
      optimizer=keras.optimizers.SGD(learning_rate=1e-4, momentum=0.9),
796
      loss = keras.losses.MeanSquaredError()
      # metrics = [keras.metrics.RootMeanSquaredError]
798
799 )
800
802 # ------ Beginning of Policy NN architecture ------
804
805 # Policy model architecture
806 policy_model = keras.Sequential([
   layers.Dense(128, input_shape=(36,)),
808
```

```
layers.BatchNormalization(),
809
       layers.Activation("relu"),
810
811
       layers.Dropout(0.025),
812
       layers.Dense (256),
813
       layers.BatchNormalization(),
814
       layers.Activation("relu"),
815
       layers.Dropout (0.025),
816
817
       layers.Dense (256),
818
819
       layers.BatchNormalization(),
       layers.Activation('relu'),
820
821
       layers.Dropout(0.025),
822
       layers.Dense (256),
823
       layers.BatchNormalization(),
824
       layers.Activation("relu"),
825
826
       layers.Dropout(0.025),
827
       layers.Dense(128),
828
       layers.BatchNormalization(),
829
       layers.Activation('relu'),
830
       layers.Dropout(0.025),
831
832
833
       layers.Dense(36),
       layers. Activation ('softmax')
834
835 ])
836
837 policy_model.summary()
839 policy_model.compile(optimizer=keras.optimizers.SGD(learning_rate=1e-3, momentum=0.9),
840
                      loss=keras.losses.KLDivergence())
841
842 # %%
843 # Loading the model weights
844 # Load the model weights
845 # value_model.load_weights('zeroth_value_nn.weights.h5')
# policy_model.load_weights('zeroth_policy_nn.weights.h5')
847
850 # ------ Online training section ------
852
853 """
854 Given a replay buffer, we want to be able to continously feed new game
855 information into the value network in the form of mini batches. This
856 section aims to prepare for thats.
_{858} Replay buffer will be in the form of a list of tuples, where the first
859 elements in each tuple is a game consisting of a sequence of board positions
860 and the second element is the observed reward from that game.
861
862 Prerequisites for the following function is to already have pre-trained
863 value and policy networks.
864 || || ||
865
866 # %%
867 def online_simulation(n, c, value_model, policy_model, nr_cycles, nr_episodes_per_tree
       = 160, history_val = [], history_pol = []):
       ''' hehiha '''
868
869
       trees = []
870
       episodes = []
871
872
```

```
value_model_history = [history_val]
873
       policy_model_history = [history_pol]
874
875
      for _ in range(nr_cycles):
876
877
          ##### Build tree with updated model #####
878
          tree, replay_buffer = build_tree(n, value_model, policy_model, c, nr_episodes_
879
      per_tree)
880
           trees.append(tree)
881
882
           episodes.append(replay_buffer)
883
          ##### Update value network #####
884
          value_model, value_history_temp = online_value_training(value_model, replay_
885
      buffer,
                                                                 epochs=20, batch_size
       =64)
887
          policy_model, policy_history_temp = online_policy_training(policy_model, tree,
                                                                 epochs=50, batch_size
888
       =128)
          value_model_history.append(value_history_temp)
889
          policy_model_history.append(policy_history_temp)
890
891
          print('Cycle done!')
892
893
894
       return trees, episodes, value_model_history, policy_model_history
895
896
897
898 # %%
trees, episodes, val_hist, pol_hist = online_simulation(n=6, c=0.5,
900
                                                         value_model=value_model,
                                                         policy_model = policy_model ,
901
                                                         nr_cycles=10,
902
                                                         nr_episodes_per_tree=25)
903
904
905 # %%
906 # saving the training from the online training
907 second_10cycles25episodes_c05_data = [trees, episodes, val_hist, pol_hist]
908 with open('second_10cycles25episodes_c05_data', 'wb') as handle:
      pickle.dump(second_10cycles25episodes_c05_data,
909
                  handle, protocol=pickle.HIGHEST_PROTOCOL)
910
911
912 value_model.save_weights('value_second_10cycles25episodes_c05.weights.h5')
policy_model.save_weights('policy_second_10cycles25episodes_c05.weights.h5')
914
916 # ------ Initial training of VALUE NN -----
918
919 # Initial value network data - from completely random games
920\ \mbox{\#} Unique board positions from first 100k random games
path = './othello_random_simulations/othello_sim_boards_100000_6x6'
922 games = read_files(path)
923
924 # %%
925 state_return_tuples = unpack_positions_returns(games)
926 state_return_tuples = unique_board_positions(state_return_tuples)
928 X, y = value_predictors_targets(state_return_tuples)
929
930 # splitting data into training and validation
931 X_train_val, X_test_val, y_train_val, y_test_val = train_test_split(X, y, test_size
   =0.2,
```

```
random_state
932
      =80085)
933
934 train_dataset_value_model = create_dataset(X_train_val, y_train_val, batch_size=256,
                                         shuffle_buffer_size=10000)
935
  val_dataset_value_model = create_dataset(X_test_val, y_test_val, batch_size=256,
                                       shuffle buffer size=10000)
937
938
939 # Training the value model
940 history_value = value_model.fit(
      train_dataset_value_model,
      epochs = 50,
942
943
      validation_data=val_dataset_value_model
      # callbacks=[keras.callbacks.EarlyStopping(monitor='val_loss', patience=10)]
944
945
946
947 # with open('initial_value_training_history', 'wb') as handle:
       pickle.dump(history_value, handle, protocol=pickle.HIGHEST_PROTOCOL)
949
950 # # Saving the weights from the first good network
# value_model.save_weights('zeroth_value_nn.weights.h5')
952
953 # %%
955 # ------ Initial training of Policy NN ------
957
958 sav = read_files("./othello_random_simulations/othello_sim_sa_visits")
959 X, y = policy_predictors_targets(sav, 6)
961 X_train_pol, X_test_pol, y_train_pol, y_test_pol = train_test_split(X, y, test_size
      =0.2.
                                                 random state=80085)
962
963
964 train_dataset_policy_model = create_dataset(X_train_pol, y_train_pol, batch_size=128)
965 val_dataset_policy_model = create_dataset(X_test_pol, y_test_pol, batch_size=128)
967 history_policy = policy_model.fit(train_dataset_policy_model,
                        validation_data=val_dataset_policy_model,
968
                        epochs = 500)
969
970
# with open('initial_policy_training_history_2', 'wb') as handle:
972 #
       pickle.dump(history_policy, handle, protocol=pickle.HIGHEST_PROTOCOL)
973
974 # saving weights from first good network
# policy_model.save_weights('zeroth_policy_nn_2.weights.h5')
977
979 # ----- Concatenating history from different runs ------
982 # concatenating and plotting history from first and second iteration of VALUE network
983 initial_value_training_history_1 = read_files('initial_value_training_history')
984 initial_value_training_history_2 = read_files('initial_value_training_history_2')
985
986 complete_value_history_train_loss = initial_value_training_history_1.history['loss'] +
       initial_value_training_history_2.history['loss']
  complete_value_history_val_loss = initial_value_training_history_1.history['val_loss']
       + initial_value_training_history_2.history['val_loss']
989 plt.plot(complete_value_history_train_loss, label='Training loss')
990 plt.plot(complete_value_history_val_loss, label = 'Validation loss')
991 plt.xlabel('Epoch')
992 plt.ylabel('Loss')
```

```
993 plt.title('value network')
plt.legend(loc='upper right')
995 plt.show()
996
997 # %%
998 # concatenating and plotting history from first and second iteration of POLICY network
999 initial_policy_training_history_1 = read_files('initial_policy_training_history')
initial_policy_training_history_2 = read_files('initial_policy_training_history_2')
1002 complete_policy_history_train_loss = initial_policy_training_history_1.history['loss']
        + initial_policy_training_history_2.history['loss']
1003 complete_policy_history_val_loss = initial_policy_training_history_1.history['val_loss
       '] + initial_policy_training_history_2.history['val_loss']
1004
plt.plot(complete_policy_history_train_loss, label='Training loss')
1006 plt.plot(complete_policy_history_val_loss, label = 'Validation loss')
1007 plt.xlabel('Epoch')
1008 plt.ylabel('Loss')
plt.title('policy network')
plt.legend(loc='upper right')
1011 plt.show()
```