
ALGORITHMS AND DATA STRUCTURES

CSCI 382

Author

Who?

Where?

When?

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1 Algorithms

1.1 How to write

1.2 How to solve

1. reduce to
 - (a) sorting
 - (b) searching
2. design new algo
 - (a) brute force
 - (b) divide and conquer

1.3 shortest path algos

1. graph, find shortest ways to get there

2 Running time

“How to count”

2.1 Big O

2.2 Big Ω

2.3 Big Θ

3 recurrences

4 models of computation

1. which operations are $O(1)$
2. word RAM models
3. comparison model

5 Data Structures

5.1 Vocabulary

1. interface:
2. implementation
3. array-based (example: array/list)
4. static: region of memory stays static
5. pointer-based (ex: linked list)
6. dynamic

5.2 Static Sequence

1. Stores elements x_0, \dots, x_{n-1}

5.2.1 Operations

1. `build(X)` builds the sequence from collection of elements `X`.
2. `iterseq()` output items in order
3. `code`
4. `len()`, returns `n`
5. get at index, returns thing at index
6. set at `i`, `val`, sets `val` at location `I`

5.3 Dynamic Sequence

5.3.1 Operations

All carry over from static sequences, but we include

1. `insertAt(i, x)` which inserts item `x` at location `I`
2. `deleteAt(i)` deletes item at location `i` and returns it

5.4 Sets

5.4.1 Operations

Static:

1. `build(X)`

5.5 Direct access table

5.5.1 Example

items: Reed students

keyspace: 8 digit reed id

make table: $0 - 10^8 - 1$

index = Reed ID #

10 to the 8th -1 is way too big for Reed, wasting space

but like that findk is $O(1)$

5.6 Hash tables

Before: sorting and searching using only comparisons on items.

Now: use keys in more complex ways arrow direct access table

```
h : {ids} -> {0, ... , m-1}
# assume m = Theta(m)
```

store items as determined by $h(\text{key})$

cannot be a bijection

collision: things in ids that map to same idem in codomain

solution: instead of storing item in table, store pointer to another data structure

“Chaining” to some other data structure

```
def hash.find(k):  
    digest = h(k)  
    c = get(h.direst) -> go find k?
```

5.6.1 Running time

ideally: all chains are constant size so then all operations on chains are constant size

chose m, h such that all chains end up with about n/m approx $O(1)$ things in them

5.6.2 Example

modular division: $h(k) = k \bmod(m)$.

Problem: only as even as keys themselves

if too even, might get mapped to same thing

5.6.3 Better example: universal family of hash functions

example of one family:

define $h_{ab}(k) = (((ak + b) \bmod p) \bmod m)$ where p is a large prime and a, b chosen randomly from 0 to $p-1$

Formally, define family $\mathcal{H}(p, m) = \{h_{ab}(k) \mid a, b \in [0 \dots p-1] \text{ and } a \neq 0\}$ desired property: for some $h \in \mathcal{H}$, \Pr that any pairs of keys collide $[h(k_1) = h(k_2)] \leq \frac{1}{m}$ for all k_1, k_2 such that $k_1 \neq k_2$

parallel concept for sorting comparison model

lower bound $\Omega(n \log n)$

5.7 Binary Trees

6 Running Time

7 Correctness

7.1 Loop invariants

8 Recursion

9 Limits

9.1 Limit Laws

10 Sorting

10.1 Vocab

10.2 Permutation Sort

sorting

10.3 Selection Sort

10.4 Comparison

10.5 Merge Sort

```
if n = 0, 1: done
otherwise:
    split array into Left, Right
    MergeSort(Left), MergeSort(Right)
    Merge(Left, Right)
```

1. specificity: array A, p starting point, r ending point
 2. if $p \geq r$ (if p equal to r, then only one element and return)
 3. convention: $A[a:b] = []$ if b less than A
 4. a:b means including both end points
 5. define midpoint $q = (p + r)/2$ (floor of the average)
 6. Left = $A[p:q]$
 7. Right $A[q+1:r]$
 8. MergeSort(A, p, q)
 9. MergeSort(A, q+1, r)
 10. Merge(A, p, q, r)
1. comparisons in constant time?
 - (a) mostly comparing integers

- (b) assume $i \leq j$ in constant time
- (c) might be more complex
- (d)

11 Sept 25th

11.1 review

1. direct access Arrays
2. hash tables

12 Binary Search Trees

$O(h)$ time where h is height

usually use these for sets

example: set us cs profs

name/id

adam/560

charlie/703

erica/998

greg/997

jim/100

include bst from notes

properties: for any X , keys in $x.\text{left}$ \leq $x.\text{key}$ \leq keys $x.\text{right}$

can also store a sequence in this way

ex 2: grocery list

apples, bananas, cereal, dish soap, eggs

order doesn't matter

bst property: for any $L[i]$, all items in $L[i].\text{left}$ appear before $L[i]$

all items in right subtree appear after $L[i]$ is balanced, height is at most $O(\log n)$

when balanced, called red-black trees

12.1 non-modifying operations

1. find min - all the down left
2. find max - all the way down right
3. kind k - compare at every node
- 4.

12.2 modifying properties

1. insert item: traverse, then insert as a leaf
2. delete: find successor and swap 16 with 17, then remove old leaf (find a safe leaf to remove)
- 3.

balanced if even under dynamic operations it maintains height $O \log N$
red-black tree

1. dummy nodes
2. imagine single dummy node "tree ends"
3. stop drawing them

loop invariants

different cases for red black trees

13 priority queues and heaps

13.1 Priority Queue Interface

new: delete max - remove item with highest priority

find max = find highest priority item

supports subset of set interface operations

optimized for finding min or max, we will focus on max priority

also has insert and build

13.2 priority queue sort

algo in slides

simple because you make the ds do it

13.3 binary heaps

13.3.1 infer tree from array

require: completely full in upper levels (until last level)

binary trees with n things -> arrays of length n

bottom level should be left justified

different than traversal ordering function

root is index 0

finding the left child of i is just at index $2i+1$

$\text{right}(i) = 2i+2$

$\text{parent}(i) = \text{floor}((i-1)/2)$

max heap properties:

1. at node l , the value of thing at position l is going to be at least the value its children
- 2.

tree does not live in memory, just array

we want higher importance nodes to be closer to the top

bottom layer is less than all elements above it

example 1 from slides: not a max heap: 15 is a node that is not greater than or equal to both of its children

inserting(x)

-append x to array: whole function is in $O(\log n)$
 -fix problems in heap
 fixing: swap up with parents until max heap rule is followed:
 check that val of parent \geq val node, if no, swap and keep going
 delete-max():
 this deletes the root!
 1. swap root with item at node number n-1, then delete thing at n-1
 the fix downwards:
 - start at root
 - swap it with the greater key in its children, then continue
 this is $O(\log n)$ time

14 Cheat Sheets

14.1 Running Times for DS

Operations $O(\cdot)$				
Set Data Structure	Container	Static	Dynamic	Order
array	AX	ALA	248	
sorted array	AL	ALB	008	

14.2 orders

15 servers

1. servers and clients
2. each server: some capacity
3. each client: some workload
4. assign so that no server goes over its capacity

implementation

1. initialize(X) where X is set of servers
2. connect(client, workload)
3. disconnect(client)
4. clients-of(server): return list of clients connected to that server

how to do:

idea

use priority queue for clients and prioritize biggest

priority queue for servers so that you can find best fit the best

notes on actual:

server has set of clients where key is client id and value is workload

priority queue storing the servers

store based on priority, which is available capacity

structures:

pq P:(server.id, available capacity)

set S: (maps server id to set of clients and pointer to si in P) set C: maps client id to connected server and workload (if not stored as client object)

16 Graph algorithms

later: get chapters

16.1 Definition

graph $G = (V, E)$

can use for state transition diagram

in this class, simple graph, so for all u, v in edges, u not equal to v

no duplicate edges. each edge in E is unique

common to talk about outgoing and incoming neighbor set for directed and regular neighbor set for undirected.

outgoing edge neighbor of u $\text{Adj}^+(u) = \text{set}(v \text{ in } V \text{ such that } (u, v) \text{ in } E)$

incoming neighbor of u $\text{Adj}^-(u) = \text{set}(v \text{ in } V \text{ st } (v, u) \text{ in } E)$ out degree(u) = size of adj^+ in degree(u) = size adj^-

16.2 Running time

1. linear means $O(V + E)$ (size of those sets)

doing something with each edge and each vertex

2. $|E| = O(V^2)$ for simple graphs

most edges you can have is fully connected graph

3. undirected: $|E| \leq \text{size of } V \text{ choose } 2$ (proved on homework)

4. directed : $|E| \leq 2 \text{ times } (V \text{ size chose } 2)$

16.3 how to represent

1. adj list

2. adj matrix

abstract:

top level set adj of vertices

lower level: adj lists $\text{Adj}(u)$ either set or sequence (default to outgoing

can be direct access array

)

16.4 breadth-first search

16.5 path problems

17 Feedback

17.1 Problem set 1

1. Missing justification of $n \leq (\log n)^{(\log n)}$

2. Give a specific expression for the new n_0, c_1, c_2 in terms of the corresponding constants for f and g . Also, note that the definition of $f(n)$ in $O(g)$ (or $\Theta(g)$, or $\Omega(g)$) only tells us that there exist constants such that the inequality holds, not necessarily equality. So in the case of $O(g)$, we know there exist c, n_0 s.t. $0 \leq f(n) \leq cg(n)$ for all $n \geq n_0$, but it is not necessarily true that there exists c, n_0 s.t. $f(n) = cg(n)$ for all $n \geq n_0$ (\leq vs $=$).

17.2 Problem set 2

17.3 Problem set 3c