# Dynamic LLC Replacement for Helper Threaded Data Prefetching on CMPs

Min Cai, Zhimin Gu

Abstract-Helper threaded data prefetching on chip multiprocessors (CMPs), in its most basic form, uses the processing power of underutilized neighboring cores to improve the performance of a single-threaded program running on a shared cache CMP. Effective helper threaded data prefetching demands that the helper thread (HT) should issue correct and timely LLC requests just before the main thread (MT) requests them. However this ideal case can not be assumed in the practical implemention of helper threaded data prefetching on CMPs: some LLC requests coming from HT could not contribute to the MT performance, but instead stress and pollute LLC if no effective LLC replacement techniques are employed. Traditional notions of accuracy and coverage for hardware based data prefetching can be applied to helper threaded data prefetching on CMPs as well, however they do not provide sufficient details on the cache pollution and inter-thread LLC interference caused by HT LLC requests for improving LLC replacement for helper threaded data prefetching on CMPs.

In this paper, we present the dynamic LLC replacement technique for helper threaded data prefetching on CMPs, based on a pollution-aware taxonomy of HT LLC requests. Firstly, the experimental methodology used in this work are discussed. Secondly, a pollution aware taxonomy of HT LLC requests is presented from the view of the contribution of HT LLC requests to the MT performance. Thirdly, based on the intuition of the result of the taxonomy applied to the Pthreads based helper threaded version of the memory-intensive mst benchmark in Olden, the dynamic LLC replacement for helper threaded data prefetching on CMPs are elaborated. Experimental results from cycle accurate simulation show that: (1) there is nontrivial LLC pollution caused by HT in helper-threaded data prefetching on CMPs; (2) LLC request taxonomy based dynamic LLC replacement can improve the effectiveness and timeliness of helper-threaded data prefetching on CMPs.

Index Terms—chip multiprocessors, helper threaded data prefetching, cache replacement, cache pollution, inter-thread interference

# I. INTRODUCTION

ELPER threaded data prefetching on chip multiprocessors (CMPs), in its most basic form, uses the processing power of underutilized neighboring cores to improve the performance of a single-threaded program running on a shared cache CMP. Effective helper threaded data prefetching demands that the helper thread (HT) should issue correct and timely LLC requests just before the main thread (MT) requests them. However this ideal case can not be assumed in the practical implemention of helper threaded data prefetching on CMPs: some LLC requests coming from HT could not contribute to the MT performance, but instead stress and

Min Cai, School of Computer Science and Technology, Beijing Institute of Technology, Beijing, China, e-mail: min.cai.china@gmail.com.

Zhimin Gu, School of Computer Science and Technology, Beijing Institute of Technology, Beijing, China, e-mail: zmgu@x263.net.

pollute LLC if no effective LLC replacement techniques are employed.

Several metrics have been proposed in the past for evaluating the effectiveness of hardware based data prefetching, among which prefetch accuracy and coverage are the most intuitive ones [1]. We can easily adapt the definitions of accuracy and coverage for hardware based data prefetching to the HT scheme. HT request accuracy is defined as the ratio of the number of useful HT requests to the number of total HT requests. And HT request coverage is defined as the ratio of the number of useful HT requests to the number of MT misses plus MT hits to HT requested data. Here, an HT request is called useful when its requested data is referenced by MT before evicted. Furthermore, similar to the approach to hardware prefetching, to measure coverage and accuracy, all HT requests can be categorized into "Useful" and "Useless" HT requests [1]. a "Useful" HT request is one whose brought data is hit by a MT request before it is replaced, while a "Useless" Ht request is one whose brought data is replaced before it is hit by a MT request. However the above accuracy and coverage metrics for HT requests and the classification of "Useful" and "Useless" HT requests don't care about the cache pollution and inter-thread interference caused by HT LLC requests, which are two kinds of deficiencies in the HT

In this paper, we present the dynamic LLC replacement technique for helper threaded data prefetching on CMPs, based on a pollution-aware taxonomy of HT LLC requests. Firstly, the experimental methodology used in this work are discussed. Secondly, a pollution aware taxonomy of HT LLC requests is presented from the view of the contribution of HT LLC requests to the MT performance, in the aim of providing insights on designing and implementing effective LLC replacement techniques for the HT scheme. Thirdly, based on the intuition of the result of the taxonomy applied to the Pthreads based helper threaded version of the memory-intensive mst benchmark in Olden, the dynamic LLC replacement for helper threaded data prefetching on CMPs are elaborated. Experimental results from cycle accurate simulation show that: (1) there is non-trivial LLC pollution caused by HT in helper-threaded data prefetching on CMPs; (2) LLC request taxonomy based dynamic LLC replacement can improve the effectiveness and timeliness of helper-threaded data prefetching on CMPs. We assume here a two level cache hierarchy where L1 caches are private and the L2 cache is shared among all processor cores on a single chip.

The main contributions of this paper can be summarized as answers for the following two questions:

1) How can the HT LLC requests be classified based on the

cache pollution and inter-thread LLC interference caused by HT LLC requests in helper threaded data prefetching on CMPs (or the HT scheme)?

2) How can LLC replacement be improved based on the observed result of the proposed pollution-aware HT LLC request taxonomy in the HT scheme?

The rest of this paper is organized as follows. Section 3 presents the experimental methodology used in this work. Section 2 presents the pollution aware taxonomy of HT requests and the experimental result of mst in Olden. Section 4 discusses the dynamic LLC replacement for helper threaded data prefetching on CMPs and its experimental results. Section 5 talks about related work. In section 6 we conclude the paper.

# II. EXPERIMENTAL METHODOLOGY

We use an in-house CMP architectural simulator named Archimulator in our experiments mentioned in this work. Archimulator is a flexible execution-driven architectural simulator written in Java and running on Linux. It provides fast forward functional simulation and cycle-accurate application-only simulation of MIPSII executables on multicore architectures consisting of out-of-order super-scalar cores and configurable memory hierarchy of directory-based MESI coherence. It has basic support of simulating Pthreads based multithreaded workloads.

## A. Simulated CMP Architecture

As shown in Fig.1, the simulated target CMP architecture has two cores where each core is a two-way SMT with its own private L1 caches (32KB 8-way data caches and 32KB 4-way instruction caches). Both cores share a 4MB 8-way L2 cache. MESI coherence is maintained between L1 caches. An LRU cache called HTRVC is attached to the LLC(L2) to implement the taxonommy of HT LLC requests, which will be detailed in the next section. Detailed microarchitecture parameters are listed in Tab.I.

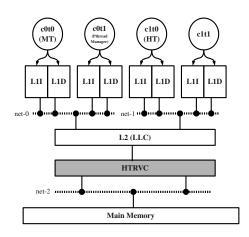


Figure 1. Simulated CMP Architecture

Pipeline	4-wide superscalar OoO 2 x 2 CMP; physical register file capacity: 128; decode buffer capacity: 96; reorder buffer						
	capacity: 96; load store queue capacity: 48						
Branch Predictors	Perfect branch predictor						
	Name		Count		Operation Lat.		Issue Lat.
Execution Units	Int ALU		8		2		1
	Int Mult		2		3		1
	Int Div				20		19
	Fp Add		8		4		1
	Fp Compare				4		1
	Fp Convert				4		1
	Fp Mult		2		8		1
	Fp Div				40		20
	Fp Sqrt				80		40
	Read Port		4		1		1
	Write Port				1		1
Cache Geometries	Name	Size		Assoc.		Line Size	Hit Lat.
	11i	32KB		4		64B	1
	11d	32K	В	8		64B	1
	12	4096	<b>KB</b>	8		64B	10
Interconnect	Switch based P2P topology, 32B link width						
Main Memory	4GB, 200-cycle fixed latency						

Table I
BASELINE HARDWARE CONFIGURATIONS

# B. Software Context to Hardware Thread Mapping

For a typical Pthreads based HT program, there are three threads when running: MT, HT and the Pthreads manager thread. The Pthreads manager thread takes the role of spawning, pausing and resuming HT by passing signals to HT. Consider a simulated target multicore machine which has two cores where each core supports two hardware threads. In our application-only simulation using Archimulator, without the OS intervention, one hardware thread can only run at least one software context (or simply called thread). Therefore, the typical software context to hardware thread mappings can be:  $C0T0 \rightarrow MT, C0T1 \rightarrow Pthreads$  manager thread,  $C1T0 \rightarrow HT$  (C = core, T = thread), as shown in Fig.1. We use this context mapping in the following discussions.

# C. ROI Based Two Phase Fast Simulation of Helper Threaded Workloads

As shown in Fig.2, Here we use two phase simulation technique to speedup the simulation of benchmark executions. We utilize the special case when a MIPS32 assembly instruction addi is invoked with register operands being R0: addi R0, R0, imm to indicate the spawning point of the helper thread (named by "HT Spawn": addi R0, R0, 3720). Since the code executed after encountering the "HT spawn" is our region of interest in this work, code execution before encountering the "HT spawn" can be simulated functionally without the hassle of modeling the microarchitecture details while not hurting the experimental results. To take cache warmup into account, we simulate in the detailed simulation mode 200 million instructions in the main thread after "HT Spawn" pseudocall is encountered.

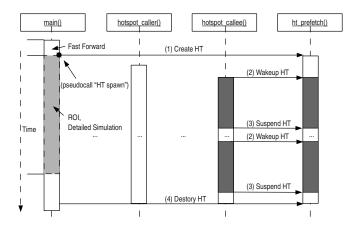


Figure 2. ROI Based Two Phase Fast Simulation of Helper Threaded Data Prefetching on CMPs

# III. POLLUTION AWARE TAXONOMY OF HT LLC REQUESTS

## A. Definitions

As illustrated in Tab.II, We propose a taxonomy of HT requests based on the cache pollution and HT request lateness. Among these types of HT requests, good HT requests have positive impact on MT performance. Ugly HT requests have little performance impact on MT performance because the requested data are not referenced by MT before evicted and they do not evict any data that will be used by MT. Bad HT requests are harmful for MT performance which should be prevented as much as possible. Late HT requests are good indicators for potential performance improvement of the HT scheme because late HT requests may be converted to good HT requests by finetuning the parameters of the HT scheme.

## Table II HT REQUEST TAXONOMY

Name	Description	
Good HT requests	HT requests that hit by MT before evicted	
Bad HT requests	HT requests whose requested data are used later (or not used	
	at all) by MT than the evicted data	
Ugly HT requests	Requests that are not good or bad	
Late HT requests	In-flight HT requests that hit by MT requests	

# B. Algorithms for Tracking HT LLC Requests and Victims

There are two invariants that should be maintained:

- # of HT Lines in the LLC Set = # of Victim Entries in the HTRVC Set;
  - a) # of Victim Entries in HTRVC Set + # of Valid MT LLC Lines in Set ≤ LLC Set Associativity.

HT lines refer to the cache lines that are brought by HT requests. From the above two invariants, we can easily conclude that: # of HT Lines in the LLC Set+ # of Valid MT LLC Lines in Set ≤ LLC Set Associativity. Actions should be taken in LLC and HTRVC when filling an LLC line or servicing an incoming LLC request.

1) Actions taken on Filling an LLC Line: When filling an LLC line, we should consider five cases:

- a) An HT request evicts an INVALID line. In this case, no eviction is needed.
- b) An HT request evicts an LLC line which is previously brought by an MT request. In this case, eviction is needed to make room for the incoming HT request.
- c) An HT request evicts an LLC line which is previously brought by an HT request. In this case, eviction is needed to make room for the incoming HT request.
- d) An MT request evicts an LLC line which is previously brought by an HT request. In this case, eviction is needed to make room for the incoming MT request.
- e) An MT request evicts an LLC line which is previously brought by an MT request, and there exists one line that is brought by an HT request. In this case, eviction is needed to make room for the incoming MT request.

Specific actions taken on the above five cases are listed in Fig.3, where hitInLLC: whether the request hits in LLC or not, requesterIsHT: whether the request comes from HT or not, hasEviction: whether the request needs to evict some data, lineFoundIsHT: whether the LLC line found is brought by HT or not, and htRequestFound(): whether there is at least one line in the LLC set that is brought by HT.

```
//HT miss
if(!hitInLLC && requesterIsHT) {
  totalHtRequests++;
if(requesterIsHT && !hitInLLC && !hasEviction) {
  llc.setHT(set, llcLine.way);
  htrvc.insertNullEntry(set);
else if (requesterIsHT && !hitInLLC && hasEviction && !
     lineFoundIsHT)
  llc.setHT(set, llcLine.way);
  htrvc.insertDataEntry(set, llcLine.tag);
 //Case 3
else if (requesterIsHT && !hitInLLC && hasEviction &&
    lineFoundIsHT) {
 //Case 4
else if(!requesterIsHT && !hitInLLC && hasEviction &&
     lineFoundIsHT)
  llc.setMT(set, llcLine.way);
  htrvc.removeLRU(set);
//Case 5
else if(!requesterIsHT && !lineFoundIsHT) {
  if (htRequestFound())
    htrvc.removeLRU(set):
    htrvc.insertDataEntry(set, llcLine.tag);
```

Figure 3. Actions Taken When Fillling an LLC Line

2) Actions taken on Servicing an Incoming LLC Request: When servicing an incoming LLC request, either

hit or miss, we should consider four cases:

- a) LLC miss and victim hit, which indicates a bad HT request. This happens when HT request evicts useful data.
- b) HT LLC hit, which indicates a good HT request. This happens when HT requested data is hit by MT request before evicted data.
- c) HT LLC hit and victim hit. This happens when useful data is evicted and brought back in by HT request.
- d) MT LLC hit and victim hit. This happens when useful data is evicted and brought back in by HT request and hit to by MT request.

Specific actions taken on the above five cases are listed in Fig.4, where mtHit: whether the request comes from MT and hits in the LLC, htHit: whether the request comes from HT and hits in the LLC, and vtHit: whether the request comes from MT and hits in the HTRVC.

```
//Case 1
if(!mtHit && !htHit && vtHit) {
 badHtRequests++;
 htrvc.setLRU(set, vtLine.way);
//Case 2
else if (!mtHit && htHit && !vtHit) {
  llc.setMT(set, llcLine.way);
  goodHtRequests++;
 htrvc.removeLRU(set);
//Case 3
else if(!mtHit && htHit && vtHit) {
  llc.setMT(set, llcLine.way);
  htrvc.setLRU(set, vtLine.way);
 htrvc.removeLRU(set);
//Case 4
else if (mtHit && !htHit && vtHit) {
 htrvc.setLRU(set, vtLine.way);
```

Figure 4. Actions Taken When Servicing an LLC Request

# C. Hardware Support for the Pollution-Aware Taxonomy of HT LLC Requests

- 1) LLC request and replacement event tracking: To monitor the request and replacement activities in LLC, we need to consider the event when the LLC receives a request coming from the upper level cache, whether it is a hit or a miss. The event has a few important properties to be used in the experiment, e.g., the address of the requested LLC line, the requester memory hierarchy access, line found in the LLC, a boolean value indicating whether the request needs to evict some LLC line. This event is similar to one used in [2].
- 2) LLC HT request state tracking: In order to track the HT request states in the LLC, we need to add one field to each LLC line to indicate whether the line is brought by the main thread (MT) or the helper thread (HT) or otherwise invalid (INVALID).
- 3) LLC HT request victim state tracking: In order to track victims replaced by HT requests, we need to add an LRU cache

named HT Request Victim Cache (HTRVC) to maintain the LLC lines that are evicted by HT requests. Similar to the evict table used in [2], the HTRVC has the same structure of the LLC, but there is no direct mapping between LLC lines and HTRVC lines. HTRVC has only the purpose of profiling, so it has no impact on performance.

4) Detecting Late HT Requests: Lastly, in order to measure late HT requests, we only need to identify the event when an MT request hits to an LLC line which is being brought by an inflight HT request coming from the upper level cache. This can be accomplished by monitoring the LLC MSHRs or equivalent hardware components.

#### D. Results

Three benchmarks have been evaluated in this paper: mst and em3d in Olden, and 429.mcf in CPU2006. All three benchmarks are cross-compiled with "-O3". The HT parameters for each benchmark are set empirically to: mst: lookahead=20, blocksize=10; em3d: lookahead=10, blocksize=10; 429.mcf: lookahead=10, blocksize=10.

- 4M LLC, mst 1000, HT version (params: LOOKA-HEAD=20, STRIDE=10), detailed simulation vs checkpointed simulation
  - detailed simulation
    - llc.totalHtRequests=584655
    - llc.goodHtRequests=584579
    - llc.badHtRequests=0
    - llc.uglyHtRequests=76
  - checkpointed simulation
    - llc.totalHtRequests=585150
    - llc.goodHtRequests=585079
    - llc.badHtRequests=0
    - llc.uglyHtRequests=71
- 4M LLC, mst 10000, HT version, checkpointed simulation
  - pending...
- 4M LLC, em3d 1000, HT version (params: LOOKA-HEAD=20), detailed simulation
  - llc.totalHtRequests=539
  - llc.goodHtRequests=517
  - llc.badHtRequests=0
  - llc.uglyHtRequests=22
- 4M LLC, em3d 400000, HT version, checkpointed simulation
  - pending...
- 1M LLC, mst 1000, HT version (snapshot at cycle #351552909)

llc.mtMisses: 1431649llc.totalHtRequests: 683517llc.usefulHtRequests: 683253

llc.ht\_accuracy: 99.96%llc.ht\_coverage: 47.72%

• llc.goodHtRequests: 683246

llc.badHtRequests: 1llc.uglyHtRequests: 270

• llc.lateHtRequests: 69075

# IV. DYANAMIC LLC REPLACEMENT FOR HELPER THREADED DATA PREFETCHING ON CMPS

## A. Results

# V. RELATED WORK

[2] presents a taxonomy of hardware prefetches based on the idea of shared cache pollution. A hardware structure called the Evict Table (ET) is added to the LLC to gauge the amount of shared cache pollution caused by hardware prefetching. [3]

TODOs: previous work on prefetch taxonomies.

- Classic metrics of coverage and accuracy for h/w prefetches.
- 2) Good, bad and ugly breakdown of h/w prefetches.
- 3) More fine-grained breakdowns of h/w prefetches.
- 4) Any previous work on cache request breakdowns for helper threaded data prefetching?

#### VI. CONCLUSION

TODOs: our work: what? how? result? Further work?

#### ACKNOWLEGMENTS

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