# Post-Pass Binary Adaptation for Software-Based Speculative Precomputation

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#### **ABSTRACT**

Recently, a number of thread-based prefetching techniques have been proposed. These techniques aim at improving the latency of single-threaded applications by leveraging multithreading resources to do memory prefetching via speculative prefetch threads. Software-based speculative precomputation (SSP) is one such technique, proposed for multithreaded Itanium models. SSP does not require expensive hardware support—instead it relies on the compiler to adapt binaries to perform prefetching on otherwise idle hardware threads at run time. This paper presents a post-pass compilation tool for generating SSP-enhanced binaries. The tool is able to: (1) analyze a single-threaded application to generate prefetch threads; (2) identify and embed trigger points in the original binary; and (3) produce a new binary that has the prefetch threads attached. The execution of the new binary spawns the speculative prefetch threads, which are executed concurrently with the main thread. Our results indicate that for a set of pointer-intensive benchmarks, the prefetching performed by the speculative threads achieves an average of 87% speedup on an in-order processor and 5% speedup on an out-of-order processor.

#### 1. INTRODUCTION

Memory latency has become a critical bottleneck in achieving high performance on modern processors. Many large applications today are memory intensive, as both their data working set and the complexity to predict their memory accesses increase. Despite continued advances in cache design and development of new prefetching techniques, the memory latency problem persists and escalates especially with *pointer-intensive* applications, which tend to defy conventional stride-based prefetching techniques. One solution is to overlap memory stalls in one program with the execution of useful instructions from another program, as done in the emerging simultaneous multithreading (SMT) processors [10][15][22][28]. In addition to improving multitasking throughput, SMT can be used to improve the performance of a single-threaded application by leveraging speculative threads to perform cache prefetches on behalf of the main (or non-speculative) thread [25]. A speculative thread

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executes code to precompute memory addresses and issue prefetches. Instead of using a complex address pattern predictor, this pre-execution approach uses the program itself as a predictor to prefetch for a pointer-intensive program accurately and efficiently.

Various forms of such thread-based prefetching have been proposed recently. Examples include Collins et al.'s Speculative Precomputation [7], Luk's Software Controlled Pre-Execution [21], Roth and Sohi's Data Driven Multithreading [25], and Zilles and Sohi's Speculative Slices [34]. These studies demonstrated the performance potential of thread-based prefetching by assuming the availability of hardware and/or compiler support. In this paper, we introduce an automated tool for transforming application code in order to attach prefetch threads in the binary. The aim of this paper is to demonstrate the feasibility of automatically generating binaries for thread-based prefetching and the effectiveness of the resulting binaries. To our knowledge, this work is the first to automate the entire process of extracting dependent instructions leading to target operations, identifying proper spawning points and managing inter-thread communication to ensure timely pre-execution.

Our tool is post-pass because it does not modify the normal compilation steps, but rather is invoked after the compilation process. The tool is based on the Speculative Precomputation (SP) paradigm for future Itanium<sup>TM</sup> processors [16]. SP utilizes hardware thread contexts to execute precomputation slices (pslices), which consist of instructions that compute the memory addresses for prefetching [7]. Speculative threads can be spawned by one of two events: a basic trigger, which occurs when a designated trigger instruction in the non-speculative thread is retired, or a chaining trigger, by which one speculative thread explicitly spawns another. Collins et al. demonstrated that longrange prefetching using chaining triggers is the key to high performance via speculative precomputation [7]. As a proof of concept, they manually find the chaining triggers in the binary. Collins et al. later proposed Dynamic Speculative Precomputation, which shows the implementation of an allhardware approach [6]. In contrast, our work uses the SMT model without expensive hardware support and relies on the postpass compilation to generate p-slices and to place triggers iudiciously. Instead of constructing p-slices dynamically, the post-pass tool examines code regions and extracts p-slices statically with profiling feedback. To maximize the concurrent usage of available memory bandwidth, the chaining triggers inside the p-slices are scheduled early across multiple threads. We

also traverse the dependence graph to identify and embed basic triggers in the main thread's code.

We show that the tool is effective for a set of pointer-intensive benchmarks. The algorithms employed in the tool effectively schedules the p-slices and triggers so that speculative threads can run ahead of the main thread to perform effective prefetches. The tool improves the performance by 87% on an in-order processor and by 5% on an out-of-order processor. SSP provides a greater benefit for the former, because the latter already hide some memory stall cycles. Finally, it is also important to examine whether the automated results match the results from hand adaptation in finding slices and locating triggers. We show that the former loses at most 20% of the performance on the in-order processor and 27% on the out-of-order processor, compared with the two hand-tuned binaries available from our previous work using the same machine model [31].

The rest of the paper is organized as follows. Section 2 describes the SSP paradigm and highlights the design of two research Itanium machine models and our tool. We describe the post-pass compilation algorithm and evaluate the resulting binaries in Sections 3 and 4, respectively. Section 5 discusses the related work and Section 6 concludes the paper.

# 2. SOFTWARE-BASED SPECULATIVE PRECOMPUTATION

In speculative precomputation, a run-time event (either a basic or a chaining trigger) invokes the execution of a p-slice as a speculative thread. The thread precomputes and prefetches the address accessed by a delinquent load [7] that is expected to appear later in the instruction stream of the main thread. Thus, the speculatively executed p-slice can reduce the cache misses in the main thread. In general, any long-latency operation can be viewed as a delinquent operation and become a potential candidate to benefit from speculative precomputation. SSP uses the otherwise idle hardware thread contexts to execute p-slices. The post-pass tool ensures that no store instructions are included in the precomputation, so that the speculative execution of p-slices is sandboxed without altering the architecture state of the main thread. Consequently, the integration [25] of results from speculative threads into the main thread becomes unnecessary. Although prefetching wrong addresses may hurt performance, the SSP paradigm does not require p-slice computation to satisfy the correctness constraints, since the precomputation is prevented from modifying the main thread's architecture states. Thus, SSP enables many optimizations in the speculative threads by separating the performance issue from the correctness issue.

SSP assumes no expensive hardware support. It uses existing lightweight exception-recovery mechanisms in Itanium to spawn a thread and uses existing memory buffers in the processor to transfer the live-in values from a parent thread to its child thread. Thus, the machine does not need to have special flash-copying hardware between register files of the two thread contexts. SSP relies on the software to generate p-slices and modify it to first load its live-in values from the main thread, delaying the start of precomputation. In the following sections, we first describe our Itanium machine models and then the post-pass compilation tool that adapts binaries for SSP.

#### 2.1 Research Itanium Models

We investigate both in-order execution and out-of-order execution Itanium machine models. The in-order model uses a 12-stage pipeline that resembles a two-bundle wide Itanium [26], with each bundle consisting of three instructions. Our model supports SMT mechanism with four hardware thread contexts. Compared to the baseline in-order model, the out-of-order (OOO) model assumes four additional front-end pipe stages to account for the extra OOO complexity, such as register renaming and instruction scheduling stages. The expansion queue in the in-order machine is per-thread 16-bundle long. In contrast, the OOO model has a per-thread 255-entry reorder buffer and an 18-entry reservation station. Table 1 specifies cache and memory latency information, along with processor details. To account for future processor generations, the models are designed with higher memory latencies than the current product generations.

Table 1. Modeled Research Itanium Processor				
Threading	SMT processor with 4 hardware thread contexts.			
Pipelining	In-order: 12-stage pipeline. OOO: 16-stage pipeline.			
Fetch per cycle	2 bundles from 1 thread or 1 bundle each from 2 threads			
Branch predict.	2k-entry GSHARE. 256-entry 4-way associative BTB.			
Issue per cycle	2 bundles from 1 thread or 1 bundle each from 2 threads			
Function units	4 int. units, 2 FP units, 3 branch units, 2 memory port			
Register files per thread	128 integer registers, 128 FP registers, 64 predicate registers, 8 branch registers, 128 control registers.			
Cache structure	L1 (separate I & D): 16KB each. 4-way. 2-cycle latency. L2 (shared cache): 256KB. 4-way. 14-cycle latency. L3 (shared cache): 3072KB. 12-way. 30-cycle latency. Fill buffer: 16 entries. All caches have 64-byte lines.			
Memory	230-cycle latency. TLB Miss Penalty: 30 cycles.			

Spawning a speculative thread involves allocating a hardware thread context for the thread, and providing to the thread context the address of the first instruction of the speculative thread. If a free hardware context is not available, then the spawn request is ignored. We use the lightweight exception-recovery mechanism to raises an exception when a free hardware context is available for thread spawning. To implement live-in buffers for inter-thread communication, we utilize the backing store of the Register Stack Engine on the Itanium processor family [26]. Our software tool adds code to copy necessary live-in values into this on-chip buffer, which is shared by both threads. The values can then be copied to the register file in the newly spawned thread, eliminating the possibility of inter-thread hazards where a register may be overwritten before a child thread has read it. In our experiment, the number of live-in values is relatively small as described in Section 4.

# 2.2 Post-Pass Compilation Tool

In contrast to Dynamic Speculative Precomputation [6], SSP uses software to identify p-slices and trigger points prior to run time. The tool adapts the binaries to enhance them to achieve performance speedups. Figure 1 shows the tool flow of the system. To minimize changes to the normal compilation process, we encapsulate the tool in a post-pass. This encapsulation allows us to reuse the same tool in a future binary translation tool when the source code is not available.

The first compilation pass generates the regular binary. In the second pass, we use the profiling information collected from running the original binary to enhance the binary for SSP. The post-pass tool first reads in the compiler intermediate representation (IR) and the control flow graph (CFG). This representation is also used by the code generator at the code emission stage, where the IR exactly matches the hardware instructions in the binary [4]. Each CFG node is also annotated with run-time frequency information.

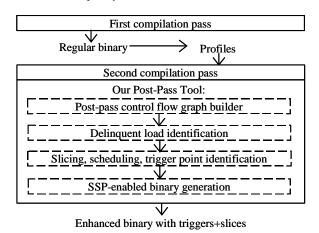


Figure 1. Tool flow for generating SSP-enhanced binaries

Since we target only delinquent loads, our tool needs to first identify them in the program. For many programs, only a small number of static loads are responsible for the vast majority of cache misses. The tool uses the cache profiles from the simulator to identify the top delinquent loads that miss frequently. Figure 2 shows that the tool successfully locates the loads that greatly impact performance for both in-order and OOO research models. These programs are from the SPEC CPU2000 [14], and Olden benchmarks suites [5]. In Figure 2, the first bar in each category shows the speedup assuming a perfect memory subsystem where all loads hit in the L1 cache. The phenomenal speedups confirm that these are memory-intensive programs. The second bar in each category represents the speedup when the top delinquent loads are assumed to always hit in the L1 cache. This information also provides us the upper bound on what the post-pass tool can achieve. In most cases, eliminating performance losses from only the delinquent loads yields much of the speedup achievable by zero-miss-latency memory. Figure 2 also shows that, comparing with the in-order model, the OOO model has less room for improvement via SSP.

After delinquent load identification, the tool computes the *program slice* of identified loads' memory addresses, defined as the set of instructions that contribute to the computation of the address for the speculative thread to execute before issuing the memory prefetch. Slicing can reduce the code to only the instructions relevant to the computation of an address. Using slicing analysis and profile information, the tool extracts a minimal sequence of instructions to produce the addresses of delinquent loads, and schedules the slice to ensure large execution distances between the main thread and the speculative threads.

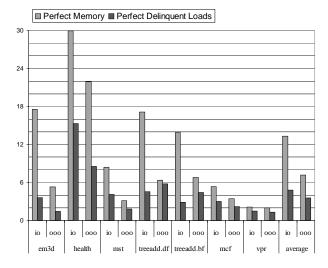


Figure 2. Speedup of memory-intensive programs when assuming perfect memory vs. when assuming few worst behaving loads always hit the cache.

Finally, the tool locates the desirable triggering points in the main thread for spawning the p-slices in order to minimize the communication (i.e., the number of live-in values) between the threads, while ensuring enough prefetching distance. In code generation, the tool inserts the trigger points into the original binary to invoke p-slices. After the p-slices are built, they are compiled with live-in copying code and appended to the function in the program binary. Since we use SSP without the flash-copy hardware, the tool performs live-in analysis and generates code to copy live-in values. The detailed post-pass algorithm for SSP is presented in the following section.

#### 3. POST-PASS ALGORITHMS FOR SSP

The post-pass tool adapts the binary so that the speculative thread prefetches the data of the delinquent load with large enough slack. The slack of two dynamic instructions, a and b, between the main thread and the speculative thread is defined as:

$$slack(a,b) = timestamp_{main}(b) - timestamp_{main}(a)$$
  
-  $(timestamp_{speculative}(b) - timestamp_{speculative}(a))$ 

The  $timestamp_{main}$  and  $timestamp_{speculative}$  functions compute the timestamp of an instruction in the main thread and the speculative thread, respectively. The above mathematical definition is ideal since we almost never compute this slack in practice. We approximate this definition in the later equations for slack in the context of SP. Positive slack values are desirable, because it indicates that the speculative thread executes the instructions ahead of the main thread. The goal of our algorithm is to find the slice that contains large enough slack between the main computation and the speculative precomputation. On the other hand, the slack value should not be too large. Having too much slack may cause adverse cache interference, or the prefetched data may be replaced by the time the main thread encounters the delinquent load.

We first present the slicing tool and scheduling algorithm in Sections 3.1, and 3.2. Section 3.3 describes the identification of all the trigger points in the main thread's binary for launching the slice computation. Finally, Section 3.4 describes how the tool generates the new binary with the p-slices containing code for copying of live-in values.

# 3.1 Slicing for Speculative Precomputation

To generate p-slices, the post-pass tool first reads in the program representation and identifies the delinquent loads. The tool uses cache profiling in the simulator to determine the set of loads that contributes to the majority of cache misses. Typically only a small number of static loads are selected. Next, the tool applies program slicing to these load addresses to reduce the code to only the instructions relevant to the computation of the addresses.

The slice selection process determines the content for speculative precomputation. A common technique for computing program slices is to transitively follow all of the control and data dependence edges originating from the reference being sliced. But this notion of slicing, originally proposed by Weiser, may result in large slices [32]. Specifically, this original context-insensitive algorithm may suffer from inaccuracy due to unrealizable paths [18] because they compute the slice as the union of all the statements in all paths that reach the reference without matching in- and out-parameter bindings. To solve this imprecision problem, the slicing algorithm proposed in [20] defines a context-sensitive slice of a reference r in the procedure p with respect to a calling context C as:

$$\begin{aligned} slice(r, [c_1, ..., c_n]) = & (slice \ in \ p) \\ & \bigcup \bigcup_{f \in F} slice(contextmap(f, c_n), [c_1, ..., c_{n-1}]) \end{aligned}$$

In the equation, let  $C = [c_1, ..., c_n]$  be the call sites currently on the call stack, with  $c_n$  being the one on the top of the call stack, and F be the set of formal parameters of the procedure p that r depends on, the function contextmap(f,c) returns the actual parameter passed to a formal variable at a call site. In summary, the computation of context-specific slices only tracks the slices up the chain of calls on the call stack. For speculative precomputation to be beneficial, the execution of the slices in the speculative thread must occur before that of the instructions in the original code of the main thread. The slicing tool ignores loop-carried anti-dependences and output-dependences in order to produce smaller slices.

# 3.1.1 Region-Based Slicing

A slice with a large slack would ensure that speculative thread runs early enough to perform timely prefetch. On the other hand, unnecessary expansion of slack may cause prefetched data to be evicted from cache before its use. We propose a region-based slicing method that allows us to increase the slack value incrementally from one code region to its outer ones. Hence, we find slices with large enough slack to avoid untimely prefetches, yet without too large of slack to avoid early eviction. A region represents a loop, a loop body, or a procedure in the program. Derived from CFG, a region graph is a hierarchical program representation that uses edges to connect a parent region to its child regions, that is, from callers to callees, and from an outer

scope to an inner scope. In addition, we build dependence graph that contains both control and data dependence edges. For each delinquent load identified, we locate the backward slice of the load address on the dependence graph, starting with the innermost region in which this load occurs. The demand-driven slice computation accepts a request on a delinquent load's address and uses a primarily recursive descent algorithm region by region until the slack of precomputing the address is large enough.

When it is a loop or a procedure with recursive procedure calls, the region may introduce recurrence in the equation to compute the slice of a reference r. To allow the reuse of previous slices, slice summary is recorded to exploit redundancy of slice computation [20]. We resolve the recurrence in computing a slice summary by using a fixed point method. The algorithm locates the recurrences by using a stack to track the slice summaries of the references being constructed. If a slice summary to be computed is already on the stack, a recurrence is detected and the algorithm simply uses the approximate slice summary already built. If the approximate summary is used in the computation of another summary, we deem that the latter depends on the former. All such dependence relationships are recorded. When the approximate slice summary is finalized, its dependent summaries are placed on a worklist. The algorithm finds the fixed point solution by iteratively removing a slice summary from the worklist, recomputing it, and adding new dependents on the worklist if the result changes. The fixed point computation terminates when the worklist is empty. With the fixed point solution, the algorithm is guaranteed to terminate because the number of static instructions in a program is finite.

# 3.1.2 Speculative Slicing

Another source of enhancing the likelihood of timely prefetch is from quick execution of the p-slice. To achieve that, we use speculation techniques to reduce the size of a slice. Since the SSP threads do not alter the architecture state, unlike traditional speculation mechanisms, we do *not* generate recovery code for mis-speculation. Although reducing the size using speculation techniques is prone to lower the accuracy of address computation, we exploit dynamic information to maintain a reasonable tradeoff between the size and accuracy of slices.

Empirical results have shown that pure static slicing may introduce a large number of unnecessary instructions in the slice due to the lack of run-time information [19]. However, pure dynamic slicing [2] can often be prohibitively expensive. We use a hybrid slicing [13] approach to improve precision while maintaining efficiency. This approach, called control-flow speculative slicing, alleviates the imprecision problem of static slicing by exploiting block profiling and dynamic call graphs. This control flow information is used to filter out unexecuted paths and unrealized calls. Especially for indirect calls, in our experience, the quality and efficiency of static analysis drops rapidly if the code being analyzed contains those calls that we cannot resolve. To tackle this issue, we instrument all the indirect procedural calls to create a call graph during execution, and provide the result back to the slicing algorithm. The slicing tool currently does not use data speculation information to trim the slices, since the static disambiguator in the compiler has proven to be effective [11]. Most memory-access pairs are disambiguated to be either unaliased or aliased on all paths through the flow graph.

In summary, both region-based slicing and speculative slicing perform *slice-pruning* operations [20]. That is, after the slack value becomes large enough, region-based slicing prunes the traversals of the dependence edges to avoid prefetching the data too early. Similarly, speculative slicing prunes the slice computation at those nodes that are unlikely to yield effective speculative precomputation. Slice-pruning is key for SSP, because a precise slicing tool may not produce useful slices if the precomputation does not result in timely prefetches.

# 3.2 Scheduling the Slice

Scheduling and synchronization determine when and which slices are assigned to the available thread contexts. Our algorithm aims at scheduling enough slack for the prefetches. For each targeted code region, the scheduling algorithm considers two precomputation models: *chaining speculative precomputation* and *basic speculative precomputation*. Chaining SP is to use one precomputation thread to spawn another precomputation thread. Both threads are called the *chaining threads*. Chaining SP allows parallelism among the chaining threads. In contrast, basic SP uses only one speculative thread. The scheduling algorithms for both SP models are presented in Section 3.2.1 and 3.2.2, respectively.

# 3.2.1 Scheduling for Chaining SP

Scheduling for chaining SP is often the key to create enough slacks, because the spawning inside the speculative threads enables long-range prefetching without incurring the spawning overhead on the main thread. Delinquent loads in a loop are especially amenable for using chaining SP. A chaining thread can be setup to iterate over multiple iterations or targets for multiple regions. For simplicity, in this paper, each chaining thread only targets one iteration in a loop region. Furthermore, the scheduling algorithm requires the latency information in the dependence graph. The latency of a memory operation is determined by the cache profiles, and the machine model provides the latency estimates for other instructions. The latency information is annotated on a dependence graph edge. A slice and the annotated dependence edges between the nodes in the slice form the dependence graph of the slice. Using the region graph information, the instructions in a region are scheduled together. Currently we do not move instructions across region boundaries.

The key for an efficient schedule is to minimize the delay of the consecutive chaining threads when there is any inter-slice dependence. Minimizing the delays results in larger slack values. Cytron showed that the delay-minimizing scheduling problem is NP-complete, even for simpler loop parallelization problems that assume no loop-independent dependences exist [9]. Hence Cytron proposed a two-phase scheduling heuristics, which first partitions the dependence graph and then reorders the nodes in each partition according to their priority value. Specifically, the first phase in [9] partitions the graph by level-sorting the instructions considering only the forward dependences. The second phase computes the node priority by subtracting the maximal edge latency among the incoming backward edges from the maximal edge latency among the outgoing backward edges from the node. Our algorithm is two-phase as well: graph partitioning followed by list scheduling on the resulting acyclic graph. While Cytron used adjacent edges to compute the node's priority, we use the maximum node height in the dependence graph of the slice as the priority value of a node. The detail of the two phases is presented in the following sections.

## 3.2.1.1 Graph Partitioning

We use the strongly connected components (SCC) algorithm in [24] to partition a dependence graph. In a strongly connected subgraph, there exists a path from a node to any other nodes in the same subgraph. The SCC is defined as the maximal strongly connected subgraph. A degenerate SCC contains only one instruction node. Furthermore, we form SCC's without considering any false loop-carried dependences. In comparison, Cytron's partitioning phase is relatively restrictive since all loopcarried forward dependences are enforced to produce code schedule. Any occurrence of non-degenerate SCC in the dependence graph consists of one or more dependence cycles, which implies the existence of loop-carried dependences. A speculative thread executing a loop iteration must resolve the loop carried dependence in a dependence cycle in order for the next chaining thread to start executing the same dependence cycle in the next iteration. Hence the span of the dependence cycle should be minimized. To achieve this, our heuristics schedules all instructions in an SCC first before scheduling instructions in another SCC.

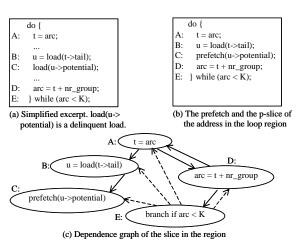


Figure 3. Simplified code example from the *mcf*, the slice of a load address and the dependence graph.

In this section, we use the simplified code excerpt from the function primal\_bea\_map() in *mcf* from the SPEC CPU2000 benchmark suite as an example to illustrate the algorithm. Figure 3 shows the code excerpt and a corresponding slice. A solid arrow denotes a data dependence, and a dashed arrow denotes a control dependence. No false loop-carried dependence is shown. By finding the slice of the delinquent load "t->tail->potential", both basic and chaining SP execute fewer instructions than the main thread does. This is because many instructions in the loop body are not present in the slice. Figure 4(a) shows the SCC's in the slice's dependence graph in Figure 3(c). The three instructions, A, D, and E, in Figure 3 are merged into one SCC node in Figure 4. Each of the load instructions, B and C, forms a degenerate SCC node, because it is not part of any dependence cycles. Node B and C do not compute live-in values

for the next chaining thread. Since the partitioning uses SCC's to tighten the dependence cycles into one SCC, the algorithm can then schedule the chaining thread to first execute the non-degenerate SCC in Figure 4, spawn the next chaining thread, and then execute the two degenerate SCC's in Figure 4. This partitioning is important for the Itanium, because when the load instruction B in Figure 3 misses in cache, instruction C, which depends on the outcome of instruction B, must wait for the miss being serviced on an in-order processor. Chaining SP triggers several speculative threads to overlap multiple prefetches. To overlap the miss cycles in the code in Figure 3, chaining SP forms several instances of a pointer dereference and executes the chaining threads in parallel, as shown in Figure 4(a).

## 3.2.1.2 Scheduling an Acyclic Graph

The resulting partitioned graph is a directed acyclic graph (DAG) and thus can be scheduled by list scheduling algorithm. We select the forward cycle scheduling with maximum cumulative cost heuristics. As the heuristics accumulates the cost, or latency, for each path, the node with longer latency to the leaf nodes of the slice has a higher priority. If two nodes have the same cost, the node with the lower IP address in the original binary has a higher priority. Finally, the instructions within each non-degenerate SCC are list scheduled by ignoring all the loop-carried dependence edges. The resulting code is shown in Figure 4(b). Node B and C have smaller node heights than the non-degenerate SCC does and are assigned lower priority.

As shown in Figure 4, we partition the slice into a *critical sub-slice* and a *non-critical sub-slice*. The term critical sub-slice denotes the set of SCC nodes before the spawn point. The remaining nodes on the DAG become the non-critical sub-slice. The instructions in the former are often in a long dependence chain due to the chaining effect. The non-degenerate SCC in Figure 4(a) is identified as the critical instructions. On the other hand, no future instructions depend on the non-critical sub-slice, which does not compute the live-in registers for the next chaining thread.

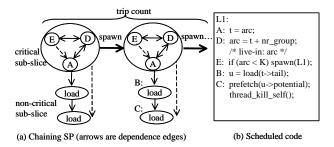


Figure 4. Chaining SP for the code in Figure 3

Although heuristics is needed for this NP-complete problem [9], it is important to investigate whether the output of the algorithm is comparable to the optimal schedule. Cooper et al. showed that if the available instruction-level parallelism (ILP) is very small, the forward scheduling with maximum dependence height heuristics performs very well on real codes and there is little opportunity for improving its performance further [8]. The available ILP is computed as the length of the worst possible schedule divided by the length of the best possible schedule. This is equivalent to the ratio of the sum of the latencies of all

operations in the dependence graph to the critical path length. Our tool automatically computes the available ILP from the dependence graph. We observe that the dependence chains leading to the delinquent loads do not exhibit much instruction-level parallelism. Thus, simply using the height of a node in the dependence graph is a reasonable metrics for assigning priority values.

For chaining SP, we compute the slack of a slice at the *i*-th iteration with respect to a region as:

slack<sub>csp</sub>(i)=(height(region)-height(critical sub-slice) -latency(copy live-in's and spawn)) \* i

The function *height* computes the height of the dependence graph for a region or for a slice by finding the maximum of the node heights in a region or a slice. The above equation assumes that there are enough hardware threads. We model the communication overhead (copying live-in's) as a factor in decreasing the slack.

#### 3.2.1.3 Synchronizations across the Threads

After a slice is scheduled by the two phases above, we need to insert synchronizations in the resulting code schedule. The partitioning algorithm via SCC facilitates efficient synchronization, because the nodes in the same dependence cycle are tightened into one SCC. Synchronization placements determine the degree of the thread-level parallelism and the synchronization overhead. For instance, if we have more than one non-degenerate SCC nodes, assuming no synchronization cost, synchronizing across threads after the execution of each nondegenerate SCC may result in shorter delays across the threads than synchronizing once after all the non-degenerate SCC's have been executed. However, the former scheme requires more instances of handshaking and the implementation of faster synchronization hardware primitives than the latter. To minimize both the synchronization overhead and the hardware support, the tool currently allows one point-to-point communication between the threads. Thus, we synchronize only once after all the nondegenerate SCC's have been executed. We pass all the live-in values at the spawn point.

# 3.2.1.4 Dependence Reductions for Thread-level Parallelism

Although parallelism is not a necessary condition for chaining SP to be useful, increasing the parallelism is important in achieving high performance in practice. Thus, we develop the two-phase scheduling algorithm above to address the delay minimization problem [9] for a given set of dependences. To minimize the given set of dependences, we present two pre-scheduling optimizations that reduce the number of dependences and hence facilitate better scheduling.

The parallelism of chaining SP is highly sensitive to actual code sequence in the slice for a loop iteration. For example, if the first instruction of a chaining thread depends on the last instruction of the previous chaining thread, no amount of thread scheduling efforts can improve further as the thread executions are serialized. However, it is possible to prevent thread serialization by code reordering before the scheduling phase. We are able to reorder code without affecting the main thread since, unlike traditional parallelization, our main thread and speculative threads execute different codes. The first optimization is to use loop rotation to

reduce loop-carried dependence from the bottom of the slice in one iteration to the top of the slice in the next iteration. The algorithm greedily finds the new loop boundary that converts many backward loop-carried dependences into true intra-iteration dependences. The algorithm enforces that the new boundary does not introduce new loop-carried dependences. Loop-carried anti dependence and output dependence are ignored in chaining SP. By shifting the loop boundary, we may expose more parallelism for chaining SP.

The second optimization is to use the prediction techniques on some conditional expressions in the slice. For instance, spawning chaining threads often occurs for the loop that contains a delinquent load, because the load misses many times during the program execution. The spawn condition becomes highly predictable. Thus, we use the prediction when the parallelism is little, or the delinquent load is in the critical sub-slice. The computation of the chaining spawn condition usually incurs loop-carried dependences, hence by using prediction, the size of critical sub-slice is oftentimes reduced. The prediction breaks the dependences leading to the spawn condition after predicting the spawn condition. After such removal of dependences, more instructions can be moved from the critical sub-slice to the non-critical sub-slice. This results in higher thread-level parallelism.

# 3.2.2 Scheduling for Basic SP

Basic SP does not allow a speculative thread to spawn another thread. The advantage of using basic SP is that it saves the thread spawning and communication overhead. However, basic SP uses only one sequential speculative thread and thus may stall if the thread encounters a data dependence after the delinquent load on an in-order execution machine. Figure 5(a) shows the sequential execution of the loop iterations for the slice in Figure 3. Because the slice contains pointer dereferences, the speculative thread stalls at the second load when the first load misses in the cache. This reduces the memory parallelism across different loop iterations using basic SP.

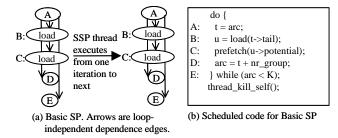


Figure 5. Basic SP for the code in Figure 3

The instructions in Figure 3 are list scheduled by ignoring all the loop-carried dependence. The resulting code for basic SP is shown in Figure 5(b). For basic SP, the slack value at the i-th iteration with respect to a region is computed as:

```
slack_{hsp}(i) = (height(region) - height(slice)) * i
```

Contrasting the two equations that compute the slack show that basic SP saves more thread spawning overhead, while chaining SP can provide a higher slack value when a non-critical sub-slice incurs high latency. The basic SP for a loop region is illustrated in Figure 5. If the region is a loop body, basic SP uses a

speculative thread to execute one iteration (loop body) and in each iteration of the loop, the main thread triggers new speculative thread for the next iteration, as done in [7].

# 3.3 Slice Triggering for SSP

After we find the slice inside a region, locating good trigger points in the main thread would ensure enough slack while minimizing the communication between the main thread and the speculative thread. The set of triggers should form a cut set on the control flow graph to ensure that each execution path leading to the delinquent load has only one trigger point. As infrequent edges are filtered out in a pre-pass, the optimal solution is to find the minimum total cost of the cut weighted by the frequency,  $\Sigma_i$  ( $f_i$ \*  $c_i$ ), where  $f_i$  and  $c_i$  are the frequency and the triggering cost of the edge i, described below. Given the set of costs associated with the edges, if we map the problem to the max-flow min-cut problem by representing cost as capacity [12], the complexity for finding the optimal cut is polynomial to the product of the number of the edges and the number of the nodes. However, computing the precise cost is difficult in practice. As a result, our traversal on the dependence graph for finding a trigger point is conservative. During the traversal, we compute the slack of the slice by subtracting the dependence height of the slice from the length of program schedule in the main thread.

With basic SP, the communication between the main thread and the speculative thread would slow down the main thread. The main thread needs to allocate the live-in buffer (LIB) and copy the live-in values to the buffer. Thus, we model the copying overhead as decreasing the slack with a higher cost. Minimizing the live-in copying takes precedence over increasing the slack value. In addition, we maintain control dominance information intra-procedurally and terminate the traversal at the procedural boundaries. With such information, we only consider the nodes that control-dominate the delinquent loads as potential trigger points and prune the slicing when the slack value is too high. If the slack value increase remains low after some traversal, we would prune the slicing as well. This is because continuing the slicing would include too many nodes while the slack value remains the same. Finally, the tool would first place the trigger after the instruction that produces the last live-in to the slice, and then move the trigger points to the immediate control dominant nodes if the slack value of the immediate dominant node remains the same. Note that the trigger points do not need to be in the dependence graph of the slice. By moving the triggers to a control dominance point, several triggers may be combined and thus reduce the number of trigger placements.

#### 3.4 SSP-Enabled Code Generation

Finally, the post-pass tool selects the region and the precomputation model for a delinquent load and then generates the new binary with the p-slices attached.

3.4.1 Selecting Regions and Precomputation Models If there is no overlap among the execution of different threads, conventional do-across parallelization may yield no speedups. However, parallelizing an application for SSP may still produce enough slack between the main thread and the speculative thread when there is no parallelism. Thus, instead of the degree of parallelism, we use the number of reduced miss cycles and the

slack as our optimization targets. The region-based traversal starts with the innermost region where the delinquent load occurs and finds the first region in which the reduced miss cycles for basic or chaining SP is greater than a threshold value. The value is calculated as the product of the cutoff percentage and the miss cycles from cache profiling. We experiment with different percentage values and discover that the resulting performance is not highly sensitive to the percentage as long as it is reasonably selected. To avoid a slice becoming too big that often leads to wrong address calculations, we also stop the traversal of the region graph when it is nested several levels deep. The traversal also stops when the outermost region is reached. If none of the regions reduce the miss cycles beyond the threshold percentage, we pick the region with the largest percentage of miss cycles. However, when the reduced miss cycles are about the same for two regions, we prefer the inner one to the outer.

For a given region, we assign one to be its trip count (or the number of iterations) if the region is not a loop. For a loop region, the trip counts are derived from block profiling if available; otherwise, they are estimated. If the trip count is small or the slack value for basic SP is larger than that for chaining SP, we use  $slack_{bsp}$  to compute the slack function below. Otherwise, chaining SP is used. Reduced miss cycle count for a region is computed by summing over all the iterations the number of miss cycles reduced in each iteration.

 $reduced\_misscycle = \Sigma_i min(miss\_cycle\_per\_iteration, slack(i))$ 

We record the decision to use chaining or basic SP for each region traversed and the slack values. Thus, the final code may have nested chaining SP. Finally, different slices are combined if they share nodes in the dependence graph.

# *3.4.2 Generating the Binary*

After the selection above, we insert the trigger instructions and generate slices in the binary. The trigger instruction is to bind a spawned thread to a free hardware context. The thread spawning is done via the existing lightweight exception-recovery mechanism in the Itanium architecture. This mechanism uses the speculation check instructions to determine if an exception should be raised for mis-speculation recovery. We take advantage of this feature by introducing a new check instruction, chk.c, for available context check. The trigger instruction, chk.c, raises an exception if free hardware context is available for spawning. Otherwise, chk.c behaves like a nop. Figure 6 shows that the tool adapts the binary by replacing a single nop instruction with a chk.c instruction and by appending to the function the slice code as the exception recovery code.

As shown in Figure 6, each spawn instance consists of two blocks, the stub block and the slice block. The stub block is executed by the main thread as the recovery code for a chk.c instruction. The stub code copies the live-in values to the live-in buffer and issues an instruction to spawn the speculative thread at its end. The main thread resumes its normal execution after executing the stub block as its recovery code. At the same time, the spawned thread begins executing the slice block, which contains code to copy live in values from the live-in buffer to the thread's register file. The code layout for two thread spawns and their individual trigger instructions are illustrated in the Figure 6. The tool can form a slice block by extracting instructions from

various procedures. Finally, to implement a live-in buffer that the main thread and the speculative thread can both access, we use the on-chip memory buffer, which is the spill area for the backing store of the Register Stack Engine on the Itanium processor family, as the live-in buffer [26].

Function Body	Attachment 1	Attachment 2	
instruction <i>n</i> instruction <i>n+1</i> chk.c stub_block_1	stub_block_1: copy live-in to buf spawn slice_block_1	stub_block_2: copy live-in to buf spawn slice_block_2	
chk.c stub_block_2	slice_block_1: copy live-in from buf slice body	slice_block_2: copy live-in from buf slice body	

Figure 6. Code layout in the enhanced binary for SSP

# 4. EXPERIMENTS AND ANALYSIS

In this section, we begin with a description of the simulation environment and the benchmark suites. We then present the performance data.

# 4.1 Experimental Framework

The experiments are carried out on SMTSIM/IPFsim, a version of SMTSIM simulator [27] adapted to work with Intel's Itanium simulation environment [29]. This infrastructure is execution-driven and cycle-accurate. It supports a variety of single-threaded and multi-threaded Itanium research processor models, including in-order pipeline, out-of-order pipeline, and SMT. It enables comprehensive performance study of several speculative precomputation machine models.

All benchmarks studied in this paper are compiled with the Intel compiler [4][17] using the advanced instruction-level parallelism techniques such as aggressive use of speculation and prefetching. To ensure that the baseline binaries achieve the best that our compiler can deliver, we use the peak options including profileguided optimizations and prefetching. While the automated tool leverages production compiler infrastructure [4], it is currently for research investigation only and is not yet intended as a new feature in the production compiler. The tool targets the pointerintensive applications in the SPEC CPU2000 suite [14] and the Olden suite [5]. From the former suite, we use the mcf and vpr benchmarks that exhibit an average of about four-times speedup when we assume zero-miss-penalty memory on an in-order model, as shown in Figure 2. Mcf is a combinatorial optimization program. Vpr performs FPGA circuit placement and routing. The Olden benchmarks consist of the pointer-intensive codes that are known to suffer frequent L2 and L3 cache misses. Em3d solves electromagnetic propagation in three dimensions. Health models Colombian health care system. Mst computes the minimumspanning tree of a graph. Finally, treeadd performs depth-first search on a balanced B-tree. To study prefetching for both depthfirst and breadth-first traversals, we enhance the program to perform both. Thus, two versions are used: treeadd.df for the depth-first traversal of a balanced B-tree and treeadd.bf for the breadth-first counterpart.

#### 4.2 Slice Characteristics

As shown in Table 2, the post-pass tool successfully locates several static slices to target a small number of selected delinquent loads. The column labeled "Interproc slices" indicates the number of p-slices that are interprocedural. We find that interprocedural slices contribute to larger slack value and hence higher performance. Furthermore, the slice-pruning methods such as speculative slicing and region-based slicing, effectively extracts *short* sequence of instructions to produce the address for a delinquent load. Finally, the last column in Table 2 shows that the average number of live-in values for the slices identified above is relatively small.

Benchmark	Slices (#)	Interproc slices (#)	Average size	Average # live-in
em3d	8	0	10.3	2.8
health	2	1	9.0	3.5
mst	4	1	28.3	4.8
treeadd.df	3	0	11.3	3.0
treeadd.bf	2	0	12.5	4.5
mcf	5	0	14.0	4.4
vpr	6	0	13.5	4.0

**Table 2. Slice characteristics** 

The tool automatically selects basic or chaining SP for a given region. The benchmark *treeadd.df* uses basic SP. Most loops in the benchmark suite use chaining SP. We find that chaining SP produces bigger slacks and achieves long-range prefetching. The performance impact of the scheduling is presented in the next section.

#### 4.3 Performance of SSP-Enhanced Binaries

This section presents the speedups gained by SSP on both inorder and OOO processors, over the baseline in-order processor. In Figure 7, the three bars associated with each application denote the speedup of SSP on the in-order machine, that of the OOO machine, and that of SSP on the OOO machine, respectively. The baseline is the in-order processor without the precomputation threads. SSP achieves an average speedup of 87% over the baseline in-order processor on the seven pointer-intensive benchmarks. Although our machine can only issue one bundle from each of the two threads per clock cycle, SSP achieves at least 2x speedups for em3d, health, and treeadd.bf on the in-order processor. This demonstrates an advantage of the SSP model over traditional parallelization that relies on data and computation decomposition: In the SSP model, one instruction executed by the speculative thread may save more than one clock cycle for the main thread if the instruction is executed early. A single prefetch instruction executed 230 cycles ahead of the main thread may save 230 cycles if the data had only been in the main memory. SSP is effective for in-order processors especially because the inorder pipeline stalls when an instruction attempts to use the destination register of an outstanding load miss. The first bar for each benchmark in Figure 7 shows that the post-pass tool effectively enhances the binary to use multithreading for preventing such pipeline stalls in the main thread's execution.

Even though SSP only targets top few delinquent loads, its performance for *health* approaches that of the OOO execution, which attempts to cover all misses. However, we observe that the OOO processor can on average achieve 175% speedup over the baseline in-order processor. The reason is that OOO can execute beyond dependent instructions and tolerate many L1 cache misses on memory-intensive applications. The SSP tool achieves an average of 5% speedup on the OOO processor.

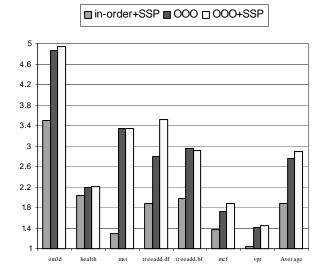


Figure 7. Speedups of SSP, OOO model, SSP+OOO model over the baseline in-order model.

# 4.4 Dynamic Statistics for SSP-Enhanced Binaries

To evaluate the effectiveness of our tool in details, we measure the cache miss reduction for the benchmark programs. Figure 8 shows the percentage breakdown of which level of the memory hierarchy is accessed. The height of any bar in the figure is the L1 cache miss rate. In the figure, the four configurations for each benchmark are presented in the following order: the baseline inorder model, the in-order model with SSP, the OOO model, and the OOO mode with SSP. All the partial misses in the figure denote the percentage of accesses to cache lines which were already in transit to L1 cache due to accesses by prior loads from the main thread or from a prefetch.

Figure 8 shows that on the in-order model, most of the reduction of cache misses happens in the lower cache levels, which are categorized in the bottom portions of the bars in the figure. Thus, the chaining SP schedules many long-range prefetches and hence reduces many L3 and L2 cache misses. Chaining threads can achieve these long-range prefetches because even if one thread stalls due to the in-order execution, many chaining threads can still run ahead and prefetch data. Traditional intra-thread prefetching techniques cannot overcome this stall problem on the in-order model. Although some benchmarks cause more L1 cache misses on the OOO model than on the in-order model, the former model executes the benchmarks faster than the latter. This is because the OOO model overlaps many cache misses with program execution. Furthermore, because OOO hides cache

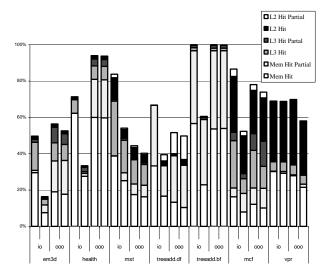


Figure 8. Percentage breakdown of where delinquent loads satisfied when missing in L1. "Partial" indicates access to a cache line already in transit to L1 from the indicated memory structure.

misses better, and relies less on thread-based prefetching, SSP reduces fewer misses on the OOO model than on the in-order model.

Even if a slice computes the load address correctly, the prefetch is useless if it is untimely. The reduction in cache misses in Figure 8 shows not only that the slices compute many addresses correctly but also that the scheduling algorithm generates many timely prefetches. The number of wrong addresses generated by speculative slicing is small for these benchmarks.

#### 4.4.1 Cache Latency Reduction by SSP

To further understand the speedups, we show in Figure 9 the detailed cycle breakdown for SSP on both an in-order and OOO model. All data are normalized to the execution cycle count of the baseline in-order processor. This reveals how much miss penalty SSP manages to reduce at different levels of cache hierarchy. The height of each bar in the figure denotes the cycle counts, normalized to the cycle count of the baseline in-order processor. As shown in Figure 9, the total cycles are partitioned into six categories: L3, L2, L1, Cache+Exec, Exec, and Other. The first three, shown as the bottom three partitions of a bar in the figure, denote the miss cycles for L3, L2, and L1 cache respectively, while no instruction is issued for execution. If the cache hierarchy and instruction issue are both active in the same cycle, we account the cycle as Cache+Exec. If only the latter is active in a cycle, the cycle belongs to Exec category. The Other category accounts for all other cycles such as bubble cycles due to branch misprediction. Figure 9 shows that the benchmarks suffer performance loss from cache misses at nearly all levels of the memory hierarchy.

Figure 9 shows that SSP effectively reduces the L3 cycles, which is the main reason for the 87% speedup on the in-order processor. Over the seven programs, SSP achieves a speedup of 135% on average for the L3 category. The reason is that the tool enhances

the binary to issue long-range prefetches. Because in-order processors are not as latency-tolerant as OOO models, the benefit of using SSP is more dramatic on the former. However, we still observe the reduction in the *L3* cycles for all programs on the OOO processor. *Mst, treeadd.df* and *treeadd.bf* have a substantial reduction in *L3*. The reduction is due to the long-range prefetches resulting from SSP, attacking load misses beyond the reach of the OOO instruction window. On top of the OOO processor which can hide both cache misses in most cache levels (especially L1) and functional unit latency, SSP can further reduce the latency on the lower levels of cache hierarchy by performing long-range prefetching for few loads.

While SSP reduces the L3 cycles effectively, it sometimes increases the L1 cycles. As a result, SSP achieves only 5% speedups on the OOO processor. Because we assume SSP without special hardware support, speculative threads can only be spawned at the retirement stage of the pipeline. And thread spawning is assessed with similar penalty to exception handling that incurs pipeline flushes. This makes the SSP scheme less effective in producing timely prefetches for L1 cache misses. Our results show that there is potential in further improving the tool for an OOO processor. The opportunity lies in judiciously applying SSP to even more selective loads, targeting long-range prefetching for reducing L3 cache misses without interfering with L1 misses that are covered by OOO. Future dynamic optimizers can monitor the coverage and timeliness data associated with a prefetching thread and if the thread does not help reduce latency, future chk.c instructions for that thread will return no available context. Alternatively, on OOO we need to create a slice that achieves even longer-range prefetching. For instance, precomputation via hand-adaptation in [31] achieves 3-times speedup on health on an OOO processor by creating a bigger interprocedural slice. This is due to the inlining of a few levels of recursive function calls by the programmer's hand adaptation to

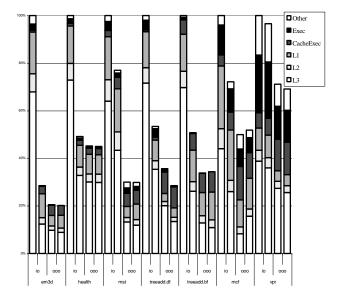


Figure 9. Cycle breakdown of in-order, in-order+SP, OOO, and OOO+SP, normalized to in-order model. It shows the impact of SP on cache hierarchy on both in-order and OOO.

create large enough slack. The tool could not perform such aggressive optimization. We are investigating SSP techniques to complement, instead of interfering, the prefetching by OOO execution.

# 4.5 Automatic vs. Hand Adaptation

Wang et al. performed hand adaptation on three memory-intensive benchmarks for speculative precomputation [31]. In contrast, we use the automated binary adaptation tool to enhance the binary for SSP. We compare the performance of both approaches on the same simulator. The common programs from both works are *mcf* and *health*. On an in-order processor, hand-adaptation achieves a speedup of 73% on *mcf*, while the post-pass tool achieves 37% speedup. Our tool loses 20% of the overall performance of the manual version. On an OOO processor, both approaches achieve little improvements.

For the *health* benchmark, the enhanced binary from SSP achieves 103% speedup on the in-order processor, while hand adaptation achieves a speedup of 130%. We lose about 12% of the overall performance of the manual version. On the OOO processor, the hand-adaptation achieves 200% speedup, while our tool reports a speedup of only 120%. We lose 27% of the overall performance. As explained in Section 4.4, the loss is due to the fact that our tool could not perform the aggressive inlining of recursive function calls done by hand.

## 5. RELATED WORK

Longer memory latencies (relative to processing time) have motivated the research on building more complex pattern-based predictors of program behaviors in hardware. In comparison, several research groups have recognized recently that the program itself could be used as a predictor. This paper presents a software tool for such program-as-predictor prefetching. Luk proposed Software Controlled Pre-Execution that uses available hardware thread contexts to execute inserted code for prefetching [21]. The hand-inserted code provides prefetches for a non-speculative thread and yields an average speedup of 24% in seven irregular applications on an out-of-order SMT processor based on Alpha. The inserted code was not trimmed using the concept of slicing. Roth and Sohi proposed Data Driven Multithreading that uses hardware contexts to prefetch for future memory accesses and predict future branches [25]. There was no automated compiler for identifying the triggers or extracting a minimal sequence of instructions to produce the address of a future memory access. Zilles and Sohi performed analysis of dynamic backward slices for execution-based prediction [33][34]. They target more delinquent events such as problem branching. Our work focuses on the automated tool that generates p-slices and triggers for load operations.

Collins et al. used the simulator to capture the dependence graph that forms p-slices for basic triggers [7]. This graph-capture code is equivalent to performing dynamic slicing, which is shown to be potentially prohibitively expensive [2]. P-slices using chaining triggers were constructed manually. The post-pass binary tool aims at automating it in the compiler. Dependence Graph Precomputation [3], Dynamic Speculative Precomputation [6], and Slice Processors [23] use all-hardware approaches. The hardware complexity may increase if future processors try to target a very long-range prefetch. Furthermore, to identify a

program subset with minimal size and maximal accuracy may require a sophisticated program analysis, and the complexity of attainable analysis in hardware is typically constrained. However, hardware has the advantage of being able to track program behaviors and dynamically adjust accordingly. In comparison to the all-hardware approaches, SSP uses the existing SMT hardware and relies on the compiler to perform binary adaptation. Aamodt et al. approached pre-execution as a generalized form of computation prediction [1]. They introduced and measured slicelocality, a necessary property for history-based methods such as those used for value and branch outcome patterns to be extended for dynamically predicting repeating patterns of computations. They provided the insight that program execution exhibits slicelocality and that by recording the few most recently seen unique slice traces, the majority of problem branches and load instances are covered. Because slice-locality exists, we aim at identifying these dominant slices statically instead of building hardware to track and adjust slices.

### 6. CONCLUSIONS AND FUTURE WORK

It is difficult to parallelize pointer-intensive applications for multi-threading architectures. However, we demonstrate an SMT approach that leverages otherwise-idle threads to perform precomputation and prefetches for the main thread. By exploiting the increasing memory bandwidth available on modern processors, we can reduce the memory latency for the main thread. This new form of multi-threading, unlike traditional parallelization which focuses on data and computation decomposition, minimizes the changes to the existing binaries and to the execution of the main thread. The main thread does not integrate computation results from the speculative threads. This makes it possible to speed up existing optimized binaries via multithreading.

Unlike some previous work that either assumes complex hardware mechanisms or relies on manual adaptation, this paper presents an effective post-pass compilation tool for the software-based speculative precomputation. The tool achieves an average of 87% speedup on an in-order processor for seven applications. Motivated by our results on the research Itanium model and by our assumption of no expensive hardware support, we recently performed SSP on the Pentium 4 processor with the Hyperthreading technology [22] and achieved 7% to 45% speedups on real silicon [30]. In the future, we plan to extend this tool for other delinquent events in the program and for using SSP more judiciously on an OOO processor. Furthermore, we plan to use this automated tool to enable broader and more productive application of SSP to programs such as database applications.

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