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# On the power of multi-prover interactive protocols

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### *Abstract*

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We look at complexity issues of interactive proof systems with multiple provers separated from each other. This model, developed by Ben-Or et al. (1988) allows the verifier to play the provers off each other. We show this model equivalent to an alternative interactive proof system model using oracles as provers. We also show that every language accepted by these models lies in nondeterministic exponential time. We exhibit a relativized world where a co-NP language does not have multiple prover interactive proofs. Finally, we show a simple example that one cannot parallelize multiple prover protocols as easily as the single prover model.

## 1. Introduction

Interactive proof systems, as described in [23] and [3], are models in which a probabilistic polynomial time verifier may interactively ask questions of a prover with unbounded computational power in order to decide the truth of a proposition.

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This is a generalization of the NP type proof system in which the verifier may only listen and not speak or toss coins.

In this paper we consider a further generalization of the proof system model, due to Ben-Or et al. [6], where instead of a single prover there may be many. This apparently gives the model additional power. The intuition for this may be seen by considering the case of two criminal suspects who are under interrogation to see if they are guilty of together robbing a bank. Of course they (the provers) are trying to convince Scotland Yard (the verifier) of their innocence. Assuming that they are in fact innocent, it is clear that their ability to convince the police of this is enhanced if they are questioned in separate rooms and can corroborate each other's stories without communicating. We shall see later in this paper that this sort of corroboration is the key to the additional power of multiple provers.

Interactive proof systems have seen a number of important applications to cryptography [23, 22], algebraic complexity [3], program testing [7, 8] and distributed computation [16, 23]. For example, a chain of results concerning interactive proof systems [22, 3, 24, 9] conclude that if the graph isomorphism problem is NP-complete then the polynomial time hierarchy collapses. Multiple-prover interactive proof systems have also seen several important applications including the analysis of program testing [7, 4] and the complexity of approximation algorithms [14, 2, 1].

Brief summary of results: First we give a simple characterization of the power of the multi-prover model in terms of probabilistic oracle Turing machines. Then we show that every language accepted by multiple prover interactive proof systems can be computed in nondeterministic exponential time. Babai et al. [4] have since shown this bound is tight. We then show results like the one proved by Babai et al. cannot relativize by exhibiting an oracle relative to which there exist co-NP problems that do not have multiple prover interactive proof systems. We show, however, that the existence of an oracle relative to which there exist languages with multiple prover interactive proof systems but cannot be computed in polynomial space would imply an unrelativized separation of NP and poly-log space. Finally, we show a simple example that illustrates that multiple prover interactive proof systems do not behave independently in parallel as previously believed.

## 2. Definitions and other results

Let  $P_1, P_2, \dots, P_k$  be infinitely powerful machines and  $V$  be a probabilistic polynomial-time machine, all of which share the same read-only input tape. The verifier  $V$  shares communication tapes with each  $P_i$ , but different provers  $P_i$  and  $P_j$  have no tapes they can both access besides the input tape. We allow  $k$  to be as large as a polynomial in the size of the input; any larger and  $V$  could not access all the provers.

Formally, each  $P_i$  is a function from the input and the conversation it has seen so far to a message. We put no restrictions on the complexity of this function other than that the lengths of the messages produced by this function must be bounded by

a polynomial in the size of the input. We will assume throughout this paper that the inputs are drawn from the set of strings over the alphabet  $\Sigma = \{0, 1\}$ .

$P_1, \dots, P_k$  and  $V$  form a multi-prover interactive protocol for a language  $L$  if:

(1) If  $x \in L$  then  $\Pr(P_1, \dots, P_k \text{ and } V \text{ on } x \text{ accept}) > 1 - 2^{-n}$ .

(2) If  $x \notin L$  then for all provers  $P'_1, \dots, P'_k$ ,  $\Pr(P'_1, \dots, P'_k \text{ and } V \text{ on } x \text{ accept}) < 2^{-n}$ .

MIP is the class of all languages which have multi-prover interactive protocols. If  $k$  is one get the class IP of languages accepted by one-prover interactive proof systems [23].

Note that we require an exponentially small probability of error. We could reduce a constant error to a probability of error of less than  $2^{-p(n)}$  for any polynomial  $p(n)$  by running the protocols several times serially. Unlike the result of Babai and Moran [5] for the one-prover model, it is unknown whether we can decrease the probability of error in multi-prover proof systems by running the protocols in parallel (see Section 6).

A *round* of a multi-prover interactive protocol consists of messages from the verifier to some or all of the provers followed by messages from these provers to the verifier. In general, interactive protocols can have a polynomial number of rounds. We let  $\alpha_{ij}$  designate a message from prover  $i$  to the verifier in round  $j$  and  $\beta_{ij}$  designate a message from the verifier to prover  $i$  in round  $j$ .

Ben-Or et al. [6] originally developed multi-prover interactive proof systems primarily for cryptographic purposes. They show every language accepted by a two prover interactive proof system has a perfect zero-knowledge two prover proof system, where even NP does not have perfect zero-knowledge single prover proof systems unless the polynomial-time hierarchy collapses [17]. They also show two prover systems can simulate any multi-prover system. Along the lines of Furer et al. [21], they show any two prover system has an equivalent system that accepts with probability one for strings in the language. Complete proofs of these results appeared in [25].

Subsequent to the results described in this paper, the complexity of interactive proof systems have been shown to be much more powerful than previously believed. Lund et al. [27] have shown the existence of an interactive proof system for every language in the polynomial time hierarchy. Using the techniques of Lund et al. [29] has shown that every language computable in polynomial space has an interactive proof system. Building on the result of Lund et al. and Theorem 3.1, Babai et al. [4] have shown that every language accepted in nondeterministic exponential time has a two-prover interactive proof system.

A series of results due to Cai, Condon, Lipton, Lapidot, Shamir, Feige and Lovász [12, 10, 11, 13, 26, 15] have modified the protocol of Babai et al. [4] to show that every language in NEXP has a two-prover, one-round proof systems with an exponentially small error, strengthening the (unproven) claims made in an earlier version of this paper [19]. The general question of parallelizing protocols remains open (see [15]).

Feige et al. [14] use the Babai–Fortnow–Lund [4] result to prove some grave consequences of clique approximation. Arora and Safra [2] improved

these results and Arora et al. [1] applied these techniques to the MAX-SNP-hard problems [28].

### 3. Probabilistic oracle machines

Suppose a prover in an interactive proof system must set all his possible responses before the protocol with the verifier takes place. We can think of the prover as an oracle attempting to convince a probabilistic machine whether to accept a certain input string. The oracle must be fully specified before the protocol begins.

Let  $M$  be a probabilistic polynomial-time Turing machine with access to an oracle  $O$ . A language  $L$  is accepted by an oracle machine  $M$  iff

(1) For every  $x \in L$  there is an oracle  $O$  such that  $M^O$  accepts  $x$  with probability  $> 1 - 2^{-n}$ .

(2) For every  $x \notin L$  and for all oracles  $O'$ ,  $M^{O'}$  accepts with probability  $< 2^{-n}$ .

This model differs from the one-prover interactive protocol model in that the oracle must be set ahead of time while in an interactive protocol the prover may let his future answers depend on previous ones.

**Theorem 3.1.**  *$L$  is accepted by a probabilistic oracle machine if and only if  $L$  is accepted by a multi-prover interactive protocol.*

**Proof** ( $\Leftarrow$ ). Suppose  $L$  is accepted by a multi-prover interactive proof system  $V$ . Without loss of generality, we can assume that all messages from the provers to the verifier consist of only a single bit. Then define  $M$  as follows:  $M$  simulates  $V$  with  $M$  remembering all messages. When  $V$  sends a message to a prover,  $M$  asks the oracle the question  $(x, i, j, \beta_{i1}, \dots, \beta_{ij})$  suitably encoded and uses the response as the  $j$ th message from prover  $i$  on input  $x$  where  $\beta_{i1}, \dots, \beta_{ij}$  are the first  $j$  messages sent from the verifier to prover  $i$ .  $M$  then accepts  $x$  if and only if  $V$  does.

(1) Let  $P_1, \dots, P_k$  be provers which cause  $V$  to accept each  $x \in L$  with probability at least  $1 - 2^{-n}$ . If we let  $O$  be the oracle which encodes in the above manner the messages of  $P_1, \dots, P_k$ , then  $M^O$  will accept each  $x \in L$  with the same probability as  $V$ .

(2) Suppose there were an input  $x \notin L$  and an oracle  $O'$  such that  $M^{O'}$  accepts  $x$  with probability more than  $2^{-n}$ . Then we could construct provers  $P'_1, \dots, P'_k$  which cause  $V$  to accept  $x$  with the same probability by just using  $O'$  to create their messages. Since, by definition, no such  $P'_1, \dots, P'_k$  exist, neither does  $O'$ .

( $\Rightarrow$ ). Suppose  $L$  is accepted by a probabilistic oracle machine  $M$  in  $n^k$  steps. We will define a verifier,  $V$ , to simulate  $M$  using  $4n^{k+1}$  provers. First the verifier flips two sets of coins  $r_1$  and  $r_2$ . The verifier uses  $r_1$  to choose a random ordering of the  $4n^{k+1}$  provers. The verifier then simulates  $M$  using  $r_2$  and whenever  $M$  asks an oracle question,  $V$  asks the question to each of the next  $n$  provers in the chosen ordering. If the provers are unanimous in their answer,  $V$  uses that answer in its simulation of  $M$ ; if not,  $V$  rejects immediately. If the provers successfully answer in its simulation of  $M$ ; if

not,  $V$  rejects immediately. If the provers successfully answer all oracle queries, then the verifier accepts if and only if  $M$  does. There can be at most  $n^k$  questions so  $V$  will use at most  $n^{k+1}$  provers. There will be at least  $3n^{k+1}$  unused provers.

(1) Let  $O$  be an oracle such that  $M^O$  accepts such  $x \in L$  with probability at least  $1 - 2^{-n}$ . If we let  $P_1, \dots, P_{4n^{k+1}}$  all answer (identically) according to  $O$ , then they will cause  $V$  to accept each  $x \in L$  with the same probability as  $M^O$ .

(2) Consider  $x \notin L$ ; consider any powers  $P'_1, \dots, P'_{4n^{k+1}}$ . Let oracle  $O'$  answer queries as the majority of  $P'_1, \dots, P'_{4n^{k+1}}$  would. If there is no majority then  $O'$  answers arbitrarily. We know that  $O'$ , like every oracle, cannot cause  $M^{O'}$  to accept  $x$  with probability greater than  $2^{-n}$ . We need to also show that the provers  $P'_1, \dots, P'_{4n^{k+1}}$  cannot do much better in the above defined protocol.

There are two cases to consider for  $V$  accepting  $x$ : either all oracle queries in the simulation answered consistently with  $O'$  or some oracle query answered differently than  $O'$  would. By the definition of acceptance for probabilistic oracle machines, we know that the probability of accepting given that the first case occurs is bounded by  $2^{-n}$ , where this probability is over the random coins of  $M$ .

Now consider the second case. For  $V$  to accept using an oracle answer inconsistent with  $O'$ , it must be the case that, for some  $i$ , the  $i$ th set of  $n$  provers all give an answer inconsistent with  $O'$  on the  $i$ th query. Let  $S_i$  be the event that  $i$  is least with this property given that  $V$  accepts. Fix  $r_2$  and all the first  $i - 1$  sets of provers. There are  $4n^{k+1} - n(i - 1) > 3n^{k+1}$  remaining provers. Of these provers at most  $2n^{k+1}$  can give an answers inconsistent with  $O'$  on the  $i$ th query. Thus the probability that  $S_i$  occurs is bounded by

$$\frac{\binom{2n^{k+1}}{n}}{\binom{3n^{k+1}}{n}} \leq (2/3)^n.$$

The probability that  $S_i$  will occur for some  $i$  is at most  $n^k$  times this.

We will use the following identity for any two events  $B$  and  $C$ :

$$\Pr(B \wedge C) = \Pr(B|C)\Pr(C) \leq \Pr(B|C). \quad (1)$$

Let  $A$  be the event that  $V$  accepts. Let  $F$  be the event that the first case occurs. Let  $S$  be the event that the second case occurs. By (1), we have:

$$\begin{aligned} \Pr(A) &= \Pr(A \wedge F) + \Pr(A \wedge S) \leq \Pr(A|F) + \Pr(S|A) \leq 2^{-n} + n^k(2/3)^n \\ &< (n^k + 1)(2/3)^n. \end{aligned}$$

We can reduce the error in the usual way by running this protocol in series several times.  $\square$

Theorem 3.1 gives a natural model equivalent to multiple provers and useful for proving theorems about them. In fact the proof that multiple provers can simulate

nondeterministic exponential time [4] requires this theorem. We can also make connections to program checking.

Blum and Kannan [7] define *function-restricted IP* as the set of languages accepted by a probabilistic oracle machine with the additional restriction on the first requirement:

- (1) For every  $x \in L$ ,  $M^L$  accepts  $x$  with probability  $> 1 - 2^{-n}$ .

In other words, the “honest oracle” must just compute the language but the “dishonest” oracle may still compute any function.

Blum and Kannan also define an *instance checker*  $C_L^{\mathcal{P}}$  for a language  $L$  and an instance  $x \in \{0, 1\}^*$  as a probabilistic polynomial-time oracle Turing machine that given a program  $\mathcal{P}$  claiming to compute  $L$ , and an input  $x$ :

- (1) If  $\mathcal{P}$  correctly computes  $L$  for all inputs then with high probability  $C_L^{\mathcal{P}}$  will output “correct”.

- (2) If  $\mathcal{P}(x) \neq L(x)$ , with high probability  $C_L^{\mathcal{P}}(x)$  will output “ $\mathcal{P}$  does not compute  $L$ ”.

Blum and Kannan show that a language  $L$  has an instance checker if both  $L$  and  $\bar{L}$  have function-restricted interactive proof systems.

The proof of Theorem 3.1 yields the following corollary.

**Corollary 3.2.** *A language  $L$  has a function restricted interactive proof system if and only if there exists a multiple prover interactive proof system for  $L$  where the honest provers need only answer questions about  $L$ .*

Arora and Safra [2] define a hierarchy of complexity classes PCP (probabilistically checkable proofs), corresponding to the number of random and query bits required to verify a proof of membership in the language, as follows:

A *verifier*  $M$  is a probabilistic polynomial-time Turing machine with random access to a string  $\Pi$  representing a membership proof;  $M$  can *query* any bit of  $\Pi$ . Call  $M$  an  $(r(n), q(n))$ -restricted verifier if, on an input of size  $n$ , it is allowed to use at most  $r(n)$  random bits for its computation, and query at most  $q(n)$  bits of the proof.

A language  $L$  is in  $\text{PCP}(r(n), q(n))$  if there exists an  $(r(n), q(n))$ -restricted verifier  $M$  such that for every input  $x$ :

- (1) If  $x \in L$ , there is a proof  $\Pi_x$  which causes  $M$  to accept for every random string, i.e., with probability 1.

- (2) If  $x \notin L$ , then for all proofs  $\Pi$ , the probability over random strings of length  $r(n)$  that  $M$  using proof  $\Pi$  accepts is bounded by  $\frac{1}{2}$ .

Notice that the role of  $\Pi$  is identical to the role of the oracle  $O$  in our definition of probabilistic oracle machines. Thus combining Theorem 3.1 with the fact that any multiple-prover interactive proof system has an equivalent system that accepts with probability one for strings in the language [6, 21] we have the following corollary.

**Corollary 3.3.**  $\text{MIP} = \bigcup_{k > 0} \text{PCP}(n^k, n^k)$ .

Thus Babai et al. [4] show that  $\text{NEXP} = \bigcup_{k>0} \text{PCP}(n^k, n^k)$ . Arora et al. [1] show that  $\text{NP} = \bigcup_{c>0} \text{PCP}(c \log(n), c)$ .

#### 4. Nondeterministic exponential time suffices

In this section, we show an upper bound on the complexity of multiple prover interactive proof systems.

**Theorem 4.1.** *If there exists a multiple prover interactive proof system accepting a language  $L$  then  $L$  can be computed in nondeterministic exponential time.*

By nondeterministic exponential time, we mean  $\bigcup_{k>0} \text{NTIME}[2^{n^k}]$ .

**Proof.** By Theorem 3.1, we can assume there exists a probabilistic oracle machine  $M$  accepting  $L$  with  $M$  using time  $n^k$  on inputs of length  $n$  for some  $k>0$ . We create a nondeterministic exponential time machine to accept  $L$  as follows: On input  $x$  of length  $n$ , guess the value of the oracle  $O$  on all questions of length at most  $n^k$ . Note  $M(x)$  can only ask oracle questions of length no longer than  $n^k$ . There are exactly  $2^{n^k+1} - 1$  such questions. For  $r$  a string of length  $n^k$ , let  $f(x, O, r) = 1$  if  $M$  on input  $x$  accepts using random coin tosses  $r$  and getting the oracle answers from  $O$  and  $f(x, O, r) = 0$  otherwise. Compute

$$S = \sum_{r \in \{0,1\}^{n^k}} f(x, O, r).$$

Accept if  $S > 2^{n^k}/2$ .

By the definition of probabilistic oracle machines, for  $x \in L$  there exists a setting of the oracle such that  $S \geq (1 - 2^{-n})2^{n^k}$ . If  $x \notin L$  then for any setting of the oracle,  $S \leq 2^{-n}2^{n^k}$ . This proves the correctness of the computation above.  $\square$

Babai et al. [4] have shown that any language computable in nondeterministic exponential time has a multiple prover interactive proof system. Thus we have an equivalence of the class of languages provable by multiple prover proof systems and those computable in nondeterministic exponential time.

Note Theorem 4.1 does not show that any language  $L$  with a multiple prover proof system can be proven with provers of nondeterministic exponential time complexity. The provers must actually find the nondeterministic guesses that would make the nondeterministic exponential time machine accept. This would require the second level of the exponential time hierarchy to determine, say, the lexicographically first such series of nondeterministic guesses. We do not know whether they can be improved to have nondeterministic exponential time complexity. Babai et al. [4] show any language in deterministic exponential time requires only deterministic exponential time provers.

## 5. Relativized limits on multiple provers

The result of Babai et al. [4] that shows all languages computable in nondeterministic exponential time have multiple prover interactive proof systems does not relativize, i.e., their proof does not imply that given any oracle  $A$ , nondeterministic exponential time with access to oracle  $A$  has a multiple prover interactive proof with the provers and verifiers also having access to oracle  $A$ . We show that any proof of this result cannot relativize:

**Theorem 5.1.** *There exists an oracle  $A$  and a language  $L \in \text{co-NP}^A$  such that  $L \notin \text{MIP}^A$ .*

Theorem 5.1 extends a result by Fortnow and Sipser [20] that shows the existence of an oracle relative to which co-NP does not have single prover interactive proofs.

**Proof.** In this proof we will use the oracle machine model. It is easy to verify that the proof of Theorem 3.1 holds under relativizations to all oracles. Note that our machines can ask questions about two oracles, the “prover” oracle  $O$  and the “relativization” oracle  $A$ .

We can enumerate all possible probabilistic polynomial-time machines in the standard manner, letting  $M_i$  be bounded in time by  $n^i$ , where  $n$  is the size of the input.

For any oracle  $A$ , let

$$L(A) = \{1^n : A \text{ contains all strings of length } n\}.$$

It is clear that  $L(A) \in \text{co-NP}^A$  for all oracles  $A$ .

In step  $i$  we make  $L(A)$  different than any language accepted by oracle machine  $M_i^A$  using any prover oracle  $O$ . Then  $L(A)$  cannot have a multi-prover interactive protocol and we have proved our theorem.

This idea is as follows: If  $1^n \in L(M^A)$  then  $M^A(1^n)$  must accept with probability at least  $1 - 2^{-n}$ . If  $1^n \notin L(M^A)$  then  $M^A(1^n)$  accepts with probability at most  $2^{-n}$ . We will pick a length  $n$  and a string  $x$  of length  $n$  such that whether  $x \in A$  will determine whether  $1^n \in L(A)$  but whether  $x \in A$  will only affect the probability of whether  $M^A(1^n)$  accepts by less than  $\frac{1}{2}$ . This will allow us to diagonalize against  $M^A$ .

*Step i:* Pick  $N_i$  large enough so  $2^{N_i} > 2(N_i)^i$  and no oracle questions to  $A$  of length  $N_i$  have been asked in any previous step. Let  $p_i = (N_i)^i$ .

Define a machine  $M'_i$  that simulates  $M_i^A$  using a built-in table of the finite locations where  $A$  has already been defined. When  $M_i^A$  queries a string from  $A$ ,  $M'_i$  will either answer correctly if that string has been previously set otherwise  $M'_i$  will answer yes to that oracle question.

If there are not any oracles  $O$  such that  $O$  and  $M'_i$  accept on input  $1^{N_i}$  with probability at least  $1 - 2^{-n}$  then we put in the oracle  $A$  all strings of length  $N_i$  and every other previously unset string that  $M_i^A$  asks about for any oracle  $O$ . This completes step  $i$ . Note that  $M_i^A$  can only ask questions of length less than  $p_i$  so we will always be able to find  $N_{i+1}$  in step  $i+1$ .



Otherwise we have some oracle  $O$  such that  $O$  and  $M'_i$  will accept  $1^{N_i}$  with probability at least  $1 - 2^{-n}$ . On any computation path (which is determined by  $M'_i$ 's coin tosses),  $M'_i$  can ask at most  $p_i$  oracle questions to  $A$  of length  $N_i$ . There are  $2^{N_i}$  questions of length  $N_i$ . A counting argument shows that there is some oracle question  $x$  of length  $N_i$  that appears in no more than  $p_i/2^{N_i}$  ratio of the computation paths of  $M'_i$ . By the way we chose  $N_i$  this means the oracle question  $x$  appears in less than one half of the computation paths of  $M'_i$ . Put all strings of length  $N_i$  except for  $x$  in the oracle  $A$ . Also place in the oracle  $A$  every string queried of any other length by  $M'_i$  on every possible communication with every possible  $O$ . The oracle  $O$  will convince  $M'_i$  to accept with probability greater than  $2^{-n}$  since more than a half of the computation paths act the same as the corresponding paths of  $M'_i$ .

If there exists an oracle  $O$  that makes  $M'_i$  accept  $1^{N_i}$  with probability greater than  $1 - 2^{-n}$  then  $L(A)$  does not contain  $1^{N_i}$ . Conversely, if no oracles exist that cause  $M'_i$  to accept with probability at least  $2^{-n}$  then  $L(A)$  does contain  $1^{N_i}$ . By the standard diagonalization argument  $L(A)$  does not equal the language accepted by  $M'_j$  for any  $j$ .  $\square$

This result implies the earlier result of Fortnow and Sipser [20] since the language  $L(A)$  does not have one-prover interactive proof systems under the oracle  $A$ .

The result of Babai et al. [4] gives us strong evidence that there exist languages not computable in polynomial space that have multiple prover interactive proof systems since most theoretical computer scientists believe polynomial space is not sufficient to accept all languages computable in nondeterministic exponential time. However, an oracle to separate MIP and PSPACE would imply a major separation result.

**Theorem 5.2.** *If there exists an oracle  $A$  such that  $\text{PSPACE}^A$  does not contain  $\text{MIP}^A$  then there exist languages in NP that cannot be computed in poly-log space.*

**Proof.** Assume that every language in NP can be accepted in poly-log space. Let  $A$  be any oracle and  $L$  be a language in  $\text{MIP}^A$  accepted by a verifier that runs in time  $n^k$ . From the proof of Theorem 4.1 we know that  $L$  is accepted by a nondeterministic exponential-time oracle machine  $M(x)$  that only looks at the oracle queries of  $A$  of length no larger than  $|x|^k$ . Define  $M'(y)$  where

$$y = (x, b_x b_0 b_1 b_{00} b_{01} \dots \underbrace{b_{11} \dots 1}_{|x|^k})$$

as the machine that simulates  $M(x)$  using bit  $b_z$  as the answer to oracle query  $z$ . Since  $|y| = \Omega(2^{|x|^k})$ ,  $M'$  runs in nondeterministic polynomial time in the length of  $y$ . Thus  $L(M') \in \text{NP}$  and thus by assumption there exists a polylog space machine  $N(y)$  that accepts  $L(M')$ . We create a new machine  $N'(x)$  using oracle  $A$  that works as follows: Simulate  $N(y)$  (without creating  $y$ ) and whenever  $N$  asks about bit  $b_z$  use  $A(z)$ . It's easy

to see that  $L(N') = L$  and we can simulate  $N'$  in polynomial (in  $|x|$ ) space with access to the oracle  $A$ .  $\square$

## 6. Bounded round protocols

In an earlier version of this paper [19], we claimed two results about collapsing rounds in multi-prover proof systems: Every language provable by a single-prover interactive proof system has a two-prover protocol using only one round and every language provable by a multi-prover proof system has a three-prover protocol using only two rounds. Unfortunately, we have since discovered an error in the “proof” of these statements. Our arguments required that we can somehow decrease the error probability of certain protocols by running them in parallel. We assumed that if the provers can be prevented from communicating among themselves through the protocol then parallel runs of the protocol work independently like parallel runs of one prover interactive protocols [5]. Unfortunately, this assumption is fallacious.

As mentioned in section 2, results of Cai, Condon, Lipton, Feige, Lovász, Lapidot and Shamir [10–13, 15, 26] show that we can create a two-prover one-round protocol with exponentially small error equivalent to any multi-prover protocol. However, the proofs work by looking at special properties of the Babai–Fortnow–Lund [4] protocol instead of showing how to parallelize general multi-prover protocols.

We show the parallelization assumption faulty in even a simple case with the following counterexample. This example first appeared in [18].

Suppose we have the following two-prover protocol:

$V$ : Pick two bits  $a$  and  $b$  uniformly and independently at random.

$V \rightarrow P_1 : a$

$V \rightarrow P_2 : b$

$P_1 \rightarrow V : c$

$P_2 \rightarrow V : d$

$V$ : Accept if  $(a \vee c) \neq (b \vee d)$ .

It is easy to show the best strategy for two provers causes the verifier to accept with probability  $\frac{1}{2}$ . Notice neither prover has any notion of what bit the verifier has sent to the other prover.

Now let us examine the two-round version of the same protocol:

$V$ : Pick bits  $a_1, a_2$  and  $b_1, b_2$  uniformly and independently at random.

$V \rightarrow P_1 : a_1, a_2$

$V \rightarrow P_2 : b_1, b_2$

$$P_1 \rightarrow V: c_1, c_2$$

$$P_2 \rightarrow V: d_1, d_2$$

$$V: \text{Accept if } (a_1 \vee c_1) \neq (b_1 \vee d_1) \text{ and } (a_2 \vee c_2) \neq (b_2 \vee d_2).$$

If the parallel runs of the protocol behave independently we would expect the optimum strategy for the provers causes the verifier to accept with probability  $(\frac{1}{2})^2 = \frac{1}{4}$ . However the following strategy for the provers causes the verifier to accept with probability  $\frac{3}{8}$ .

$$P_1: \text{If } a_1 = a_2 = 0 \text{ respond } c_1 = c_2 = 0 \text{ otherwise respond } c_1 = c_2 = 1.$$

$$P_2: \text{If } b_1 = b_2 = 0 \text{ respond } d_1 = d_2 = 0 \text{ otherwise respond } d_1 = d_2 = 1.$$

Note in  $n$  rounds the probability of acceptance of this protocol cannot exceed  $(\frac{3}{4})^n$  since the verifier will not accept if  $a_i = b_i = 1$  for any  $i$ . We cannot find any counter-example without this type of exponential decrease. However we have not been able to prove any such decrease in a general setting.

## 7. Further research

There still remain many open questions including:

- What effect does running protocols in parallel have? In particular, if a protocol is run in parallel for  $m$  rounds, is the error necessarily exponentially small in  $m$ ?
- A public-coin interactive proof system can accept any language accepted by a interactive proof system [24]. What can we say about public-coin multi-prover interactive proof systems? How do we even define public-coin proof systems for multiple provers?
- Do there exists multiple prover interactive proof systems for proving co-NP questions where the provers need only answer NP questions? A positive result would imply an instance checker for NP-complete problems.

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