



# Deep Learning Layers & Architectures

Mai H. Nguyen, Ph.D.



# DEEP LEARNING OVERVIEW

### Neural Network Basics

- Processing Unit
- Activation Function
- Loss Function
- Neural Network Training

# Deep Learning Fundamentals

- Deep Network Layers
- DL Architectures
- DL Libraries

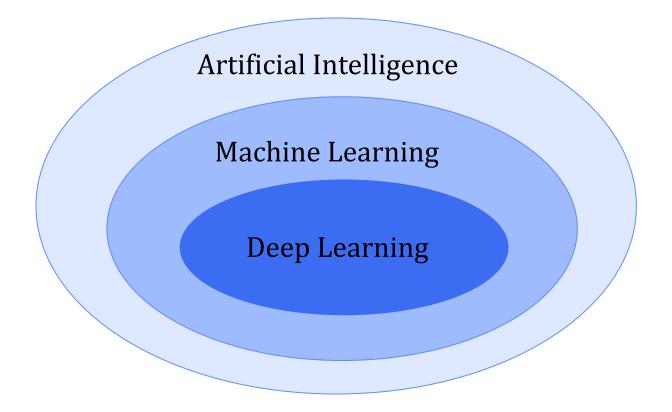
# Transfer Learning

- Transfer Learning Concepts
- Transfer Learning Demo



# **DEEP LEARNING**

Deep Learning is a subfield of Machine Learning





# APPLICATIONS OF DEEP LEARNING

- Image classification
- Speech recognition
- Text summarization
- Self-driving cars
- Face recognition
- Drug design
- Precision medicine
- Fraud detection
- Targeted ads
- Stock market analysis
- Many others ...



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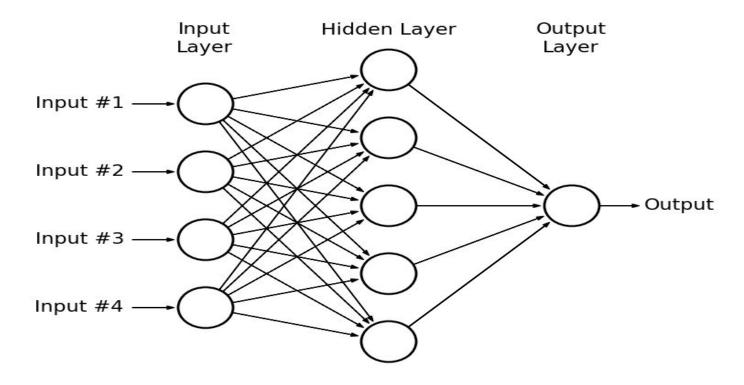
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# **NEURAL NETWORK**

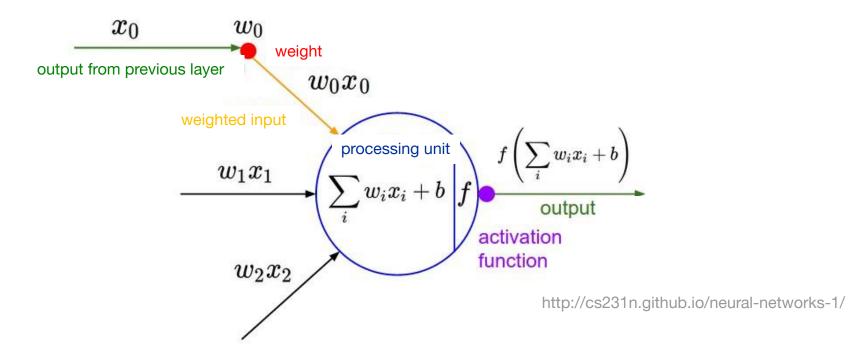


http://www.astroml.org/book\_figures/appendix/fig\_neural\_network.html

- Machine learning model
- Consists of processing units connected by weights
- Learns mapping from input to output based on training data
- Inspired by biological neural systems



# PROCESSING UNIT IN NEURAL NETWORK



# **Steps Performed by Each Unit**

- Compute dot product of inputs and weights
- Add bias
- Apply activation function
- Feed output to next layer of units

# **ACTIVATION FUNCTION**

# Converts input of node to output

Implements input-to-output mapping

### Nonlinear

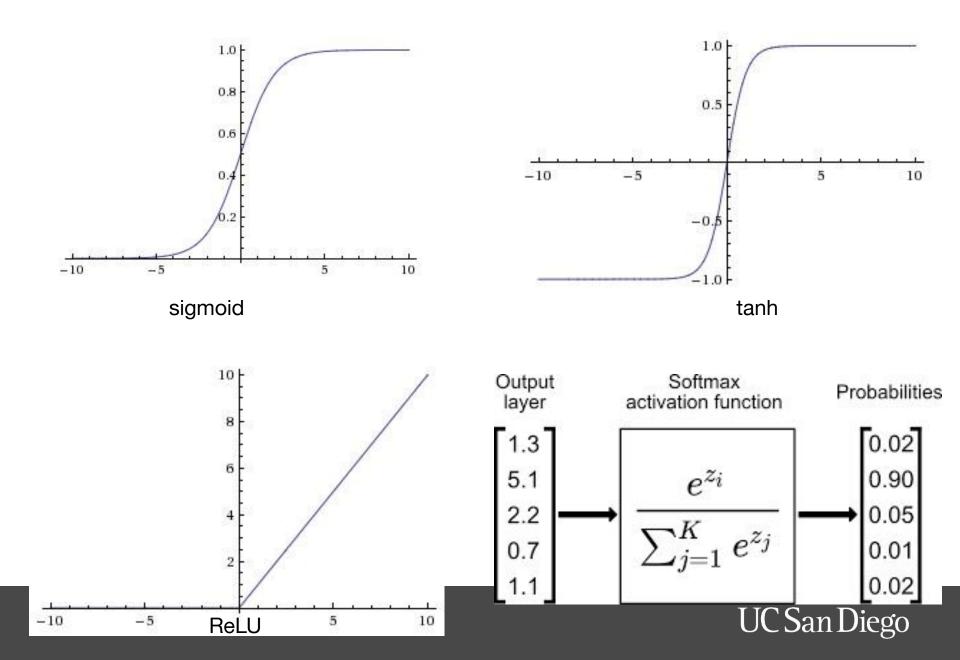
 Necessary to represent complex arbitrary functional mappings between input and output

### Differentiable

Necessary to propagate loss through model to adjust parameters



# **COMMON ACTIVATION FUNCTIONS**



# LOSS FUNCTION

# Allows evaluation of model's performance

- Quantifies agreement between model's predictions and targets
- Provides single scalar value that captures performance of complex model
- Used to optimize model parameters

### Other names

- cost function
- objective function

# **COMMON LOSS FUNCTIONS**

# Cross Entropy (CE)

 Average of differences between predicted and target probability distributions for all classes

$$S = -\sum_{c=1}^{M} y_{o,c} \log(p_{o,c})$$

# Mean Squared Error (MSE)

 Average of squared differences between predicted and target values

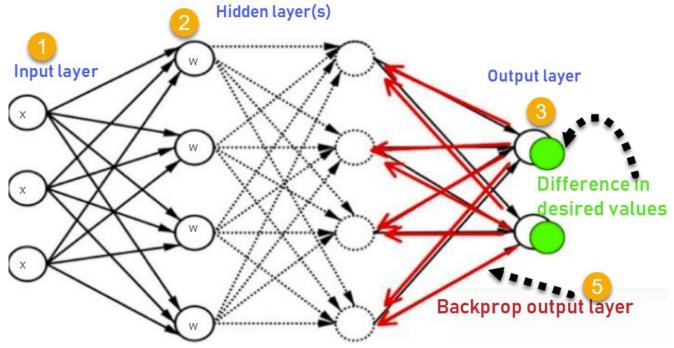
$$S = \sum_{i=1}^{n} (y_i - f(x_i))^2$$

# Mean Absolute Error (MAE)

 Average of absolute differences between predicted and target values

$$S = \sum_{i=1}^{n} |y_i - f(x_i)|.$$

# **NEURAL NETWORK TRAINING**



https://www.guru99.com/backpropogation-neural-network.html

- 1. Input is fed to network
- 2. Input is multiplied by weights (i.e., model parameters)
- 3. Output of one layer is fed as input to the next (forward pass)
- 4. Error is calculated at output layer
- Error is backpropagated to adjust weights in order to decrease error based on loss function



# **OPTIMIZATION**

# Training neural network = optimization problem

- Adjust weights to change loss function
- Goal: Find best set of model parameters ("weights") that minimize loss function

# Challenge

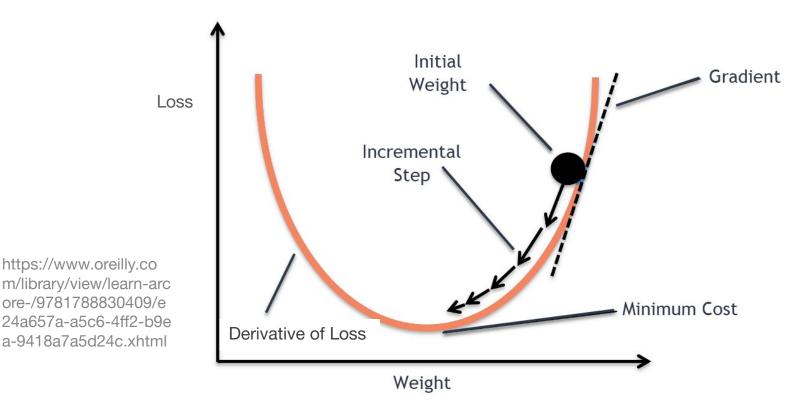
Finding best set of weights difficult/impossible

# Approach

- Iterative refinement
- Iteratively adjust model's weights to lower loss
- This is what happens during training of neural networks



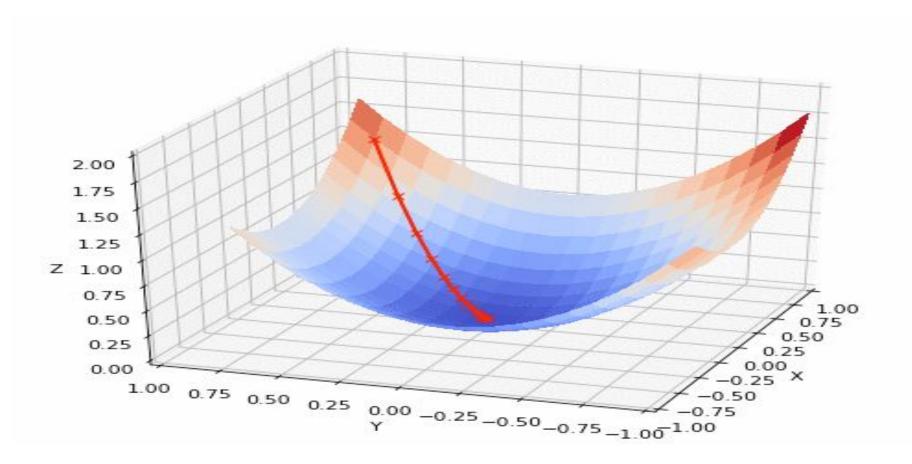
# **BACKPROPAGATION**



- Backprop is algorithm used to train neural networks
- Compute direction in weight space to decrease loss => gradient
- Change model weights along gradient
- Amount of change determined by learning rate
- Chain rule is used to propagate gradients through layers



# **GRADIENT DESCENT**





# **GRADIENT DESCENT**

- Batch Gradient Descent
  - Compute gradient over entire training dataset
- Stochastic Gradient Descent
  - Compute gradient over a single training sample
- Mini-Batch Gradient Descent
  - Compute gradient over batches of training data
  - Batch size
    - Based on memory constraints and/or experimental results
    - Value is a power of 2 (e.g., 8, 32)
  - Note: SGD often used to mean mini-batch GD



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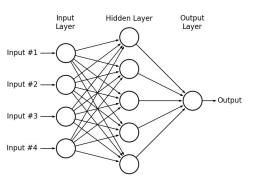
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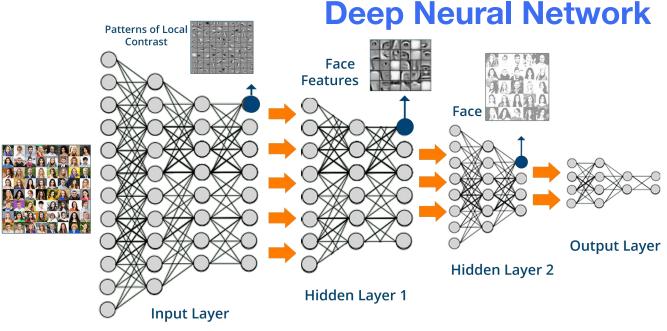


# **DEEP LEARNING**

### **Neural Network**



http://www.astroml.org/book \_figures/appendix/fig\_neural\_ network.html



https://cdn.edureka.co/blog/wp-content/uploads/2017/05/Deep-Neural-Network-Whatis-Deep-Learning-Edureka.png

# 'Deep' refers to the many layers in model

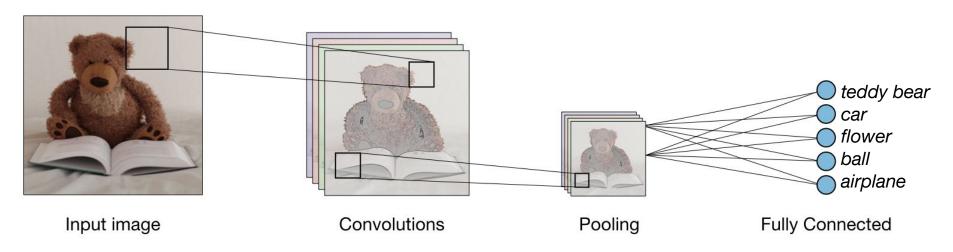
- · Allows for learning at different levels of abstraction
- Leads to automatic feature learning & excellent performance



# DEEP LEARNING MODELS

# General Deep Network Architecture:

- Has sequence of layers
- Each layer transforms its input to generate an output through nonlinear function
- Has different types of layers

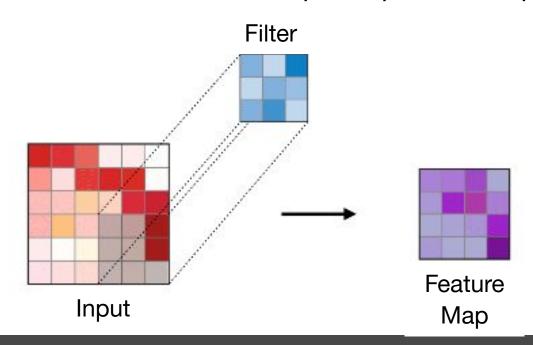


https://stanford.edu/~shervine/teaching/cs-230/cheatsheet-convolutional-neural-networks#style-transfer



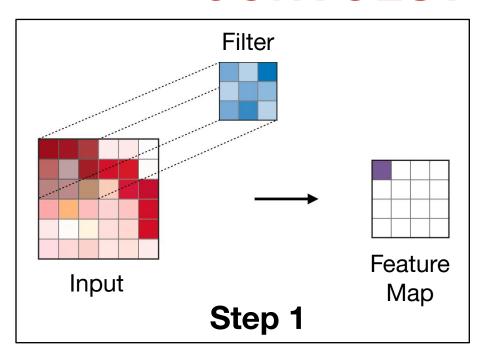
# **CONVOLUTION LAYER**

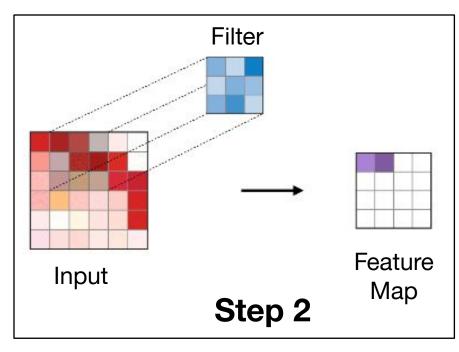
- Core building block of CNN
- Performs convolution operations on input using convolution filters
- Filter operates on local region of input and slides over input
- Filters have parameters that are adjusted during training
- Filters learn to detect features in input important for prediction task





# **CONVOLUTION FILTER**





https://stanford.edu/~shervine/teaching/cs-230/cheatsheet-convolutional-neural-networks#style-transfer

- Filter size = receptive field of filter
- Stride = sliding amount, i.e., # pixels by which filter is moved over image
- Padding = padding around input volume
- Depth = number of filters

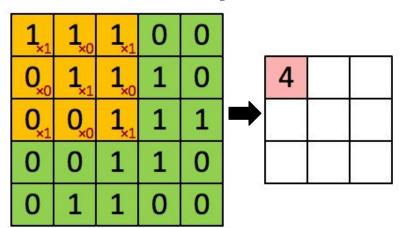


# **CONVOLUTION OPERATION**

1	0	1
0	1	0
1	0	1

3 x 3 Filter

### **Steps**



Input

Feature Map

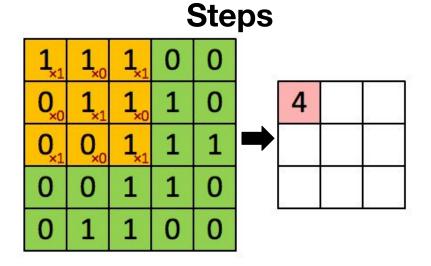
http://ufldl.stanford.edu/tutorial/supervised/FeatureExtractionUsingConvolution/



# **CONVOLUTION OPERATION**

1	0	1
0	1	0
1	0	1

3 x 3 Filter



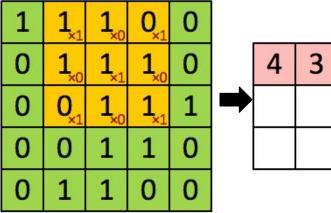
**Feature** 

Map

http://ufldl.stanford.edu/tutorial/supervised/Feat ureExtractionUsingConvolution/

Input

Step 2



Input

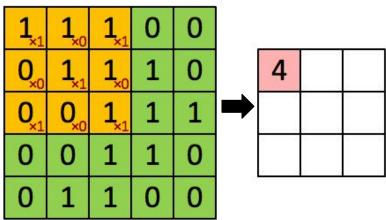
Feature Map

# **CONVOLUTION OPERATION**

1	0	1
0	1	0
1	0	1

3 x 3 Filter



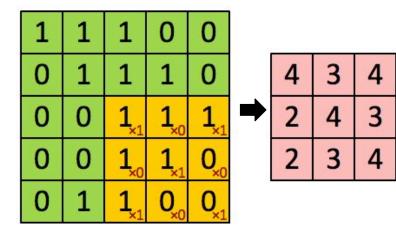


Input

Feature Map

http://ufldl.stanford.edu/tutorial/supervised/FeatureExtractionUsingConvolution/

### Step 9

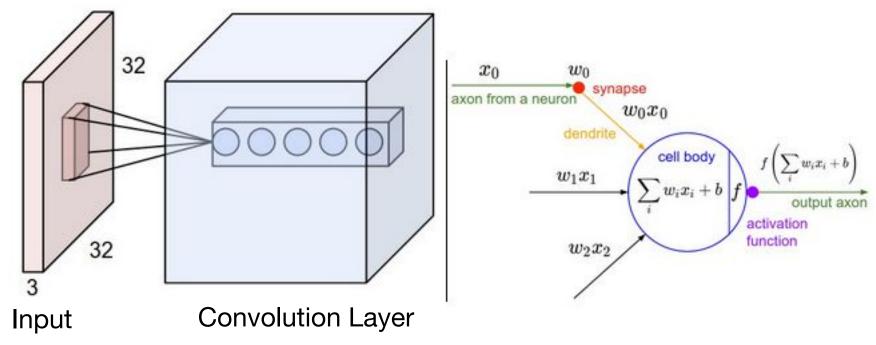


Input

Feature Map

# **CONVOLUTION LAYER**

- Performs convolution on input volume (height X width X channels) with filters
- Each filter in convolution layer is connected to local region in input
- Result of convolution is passed through nonlinear activation function
- Depth = number of filters = number of feature maps in convolution layer

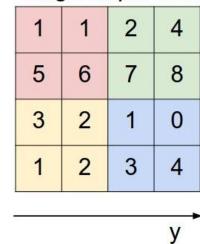


http://cs231n.github.io/convolutional-networks/

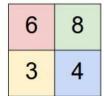


# **POOLING LAYER**

### Single depth slice



max pool with 2x2 filters and stride 2



Pooling reduces spatial size of input

http://cs231n.github.io/convolutional-networks/



# **POOLING LAYER**

### Single depth slice



X

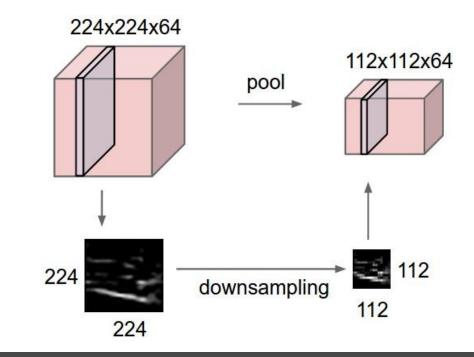
max pool with 2x2 filters and stride 2

6	8
3	4

Pooling reduces spatial size of input

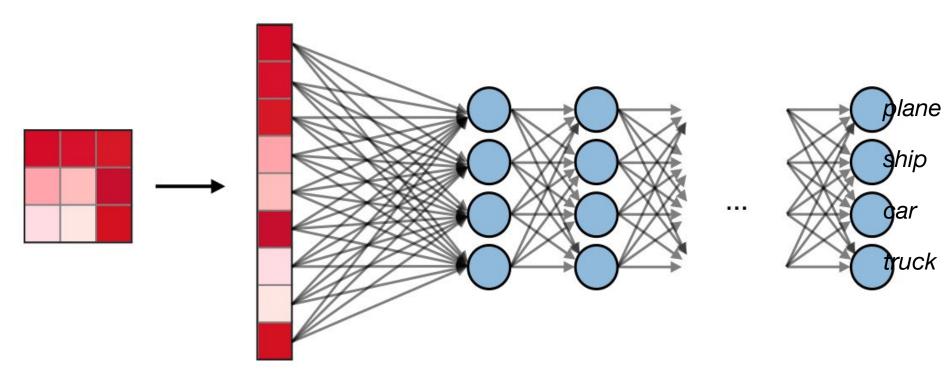
Pooling is performed independently on every slice of input

http://cs231n.github.io/convolutional-networks/



# **FULLY CONNECTED LAYER**

- Fully connected (FC) layer takes flattened input.
- Every input is connected to all processing units.
- Output of FC layer is typically vector with probabilities for categories.

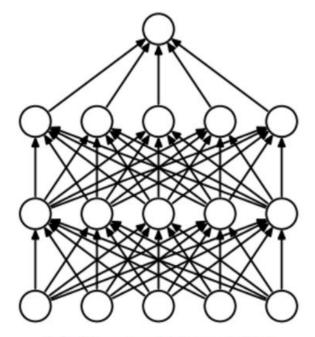


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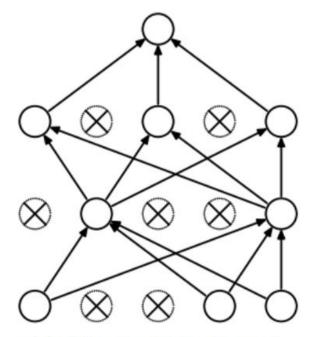


# DROPOUT

- Randomly drop units during training
- Prevents units from co-adapting
- Helps to address overfitting



(a) Standard Neural Net



(b) After applying dropout.

# **BATCH NORMALIZATION**

# Normalizes input to layer

Subtract mean and divide by standard deviation for each mini-batch

### Benefits

- Increased stability
- Faster convergence
- Less sensitive to weight initialization
- Reduces overfitting



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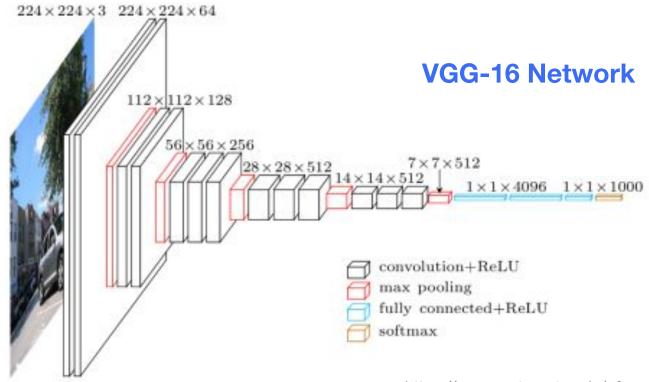
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# CONVOLUTIONAL NEURAL NETWORK (CNN)

- Model consists of several repeating sets of layers called 'blocks'
- Input volume is tensor of size width X height X # of channels
- Output is vector of numbers representing class probabilities



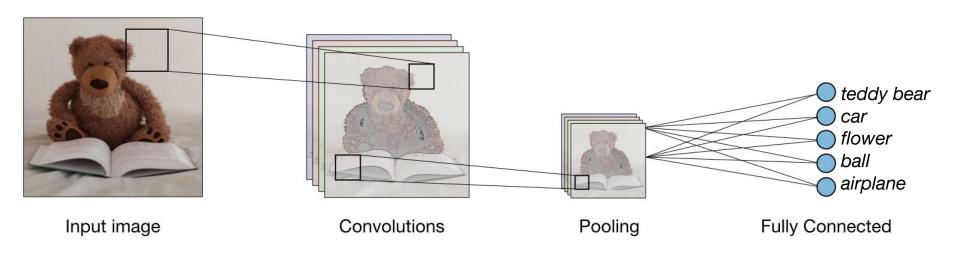




# CNN

### General CNN Architecture

- Has sequence of layers
- Each layer transforms its input to generate an output through nonlinear function
- Has different types of layers



https://stanford.edu/~shervine/teaching/cs-230/cheatsheet-convolutional-neural-networks#style-transfer



# **CNN Models**

- LeNet
- AlexNet
- VGG
- Inception
- ResNet
- XceptionNet
- Inception-ResNet
- ...

# **CNN Applications**

# Image Analysis

- Object classification, localization, detection
- Face recognition
- Text classification

# Natural Language Processing

- Topic modeling
- Part-of-speech tagging

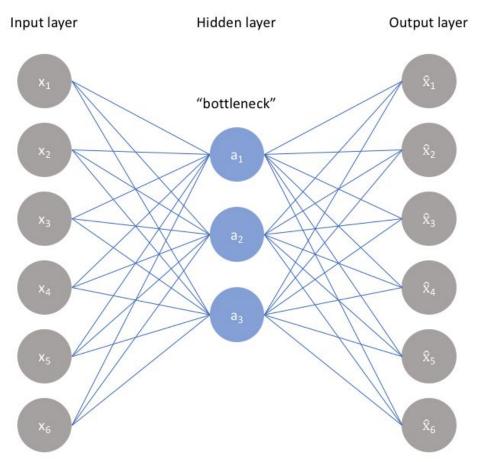
### Others

- Drug design
- Crime hot spots identification
- House price prediction



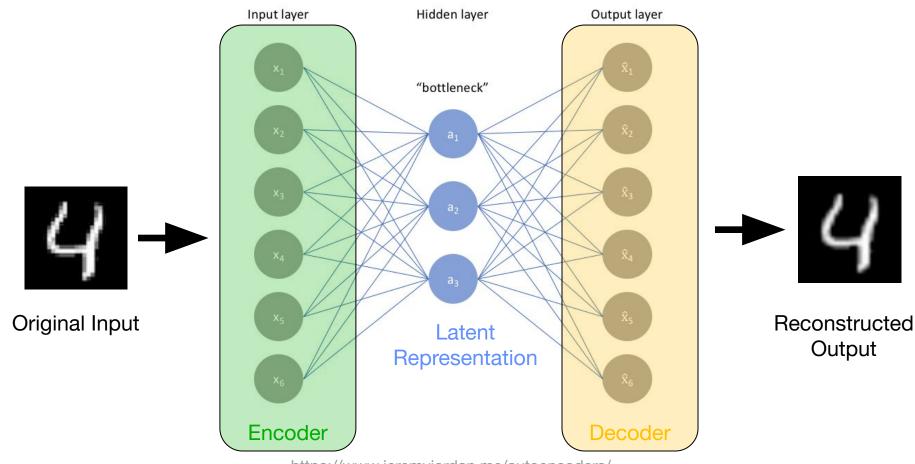
# **AUTOENCODER**

- Input is fed to hidden layer
- Output is reconstructed version of input
- Model learns to reconstruct input data





## **AUTOENCODER**



https://www.jeremyjordan.me/autoencoders/

- "Bottleneck" layer provides encoding of input
- Used to generate latent representation of data

# **AUTOENCODER**

#### Uses

- Feature learning
  - Generated features useful for downstream tasks (e.g., classification, anomaly detection, clustering)
- As part of larger deep learning model

#### Variations

- Sparse
- Denoising
- Contractive
- Variational



### **U-NET**

#### Semantic Segmentation

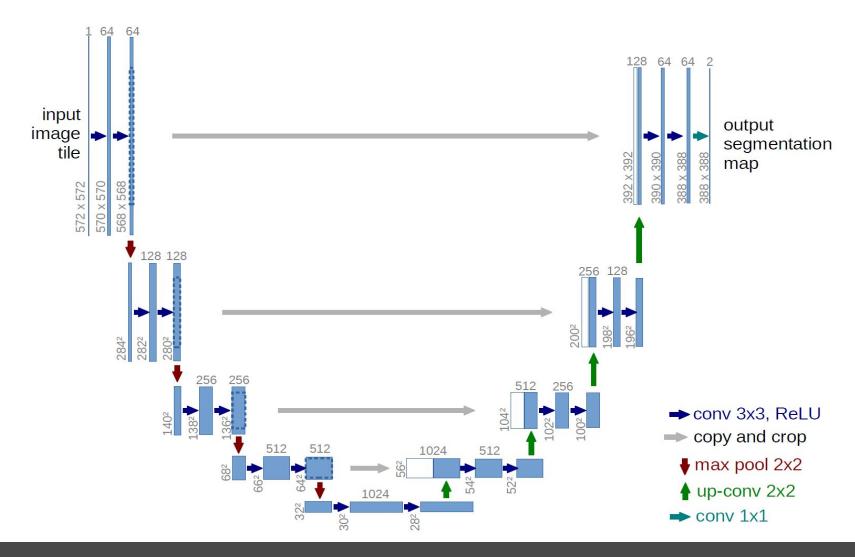
- Dividing image into multiple salient image regions
- Assign label to every pixel in image
- Pixels with same label are similar



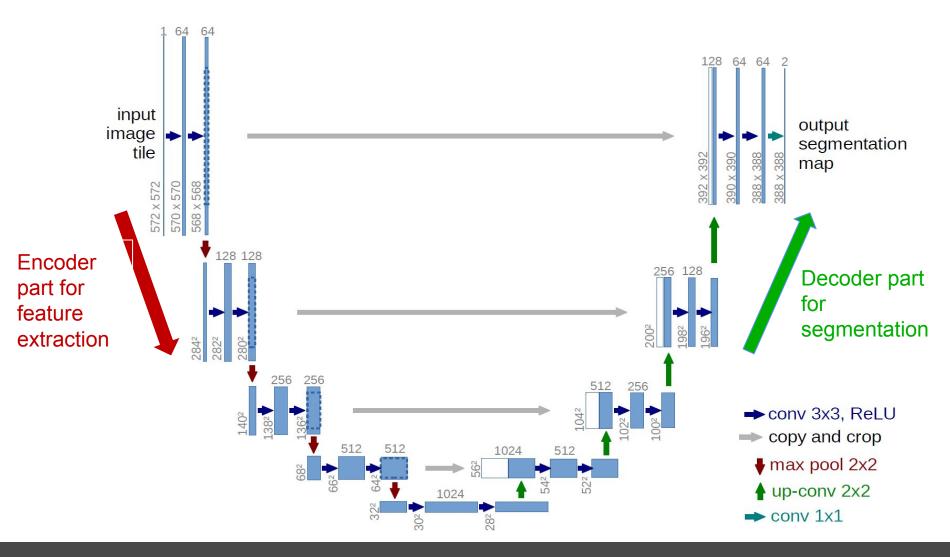
https://medium.com/@keremturgutlu/semantic-segmentation-u-net-part-1-d8d6f6005066



# **U-NET ARCHITECTURE**

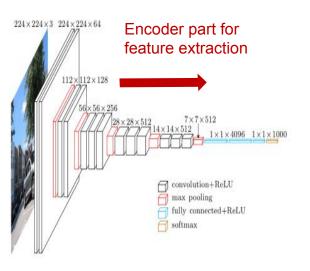


# **U-NET ARCHITECTURE**

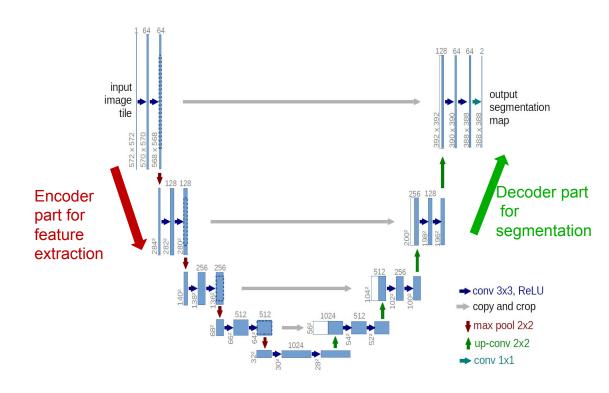


# **U-NET ARCHITECTURE**

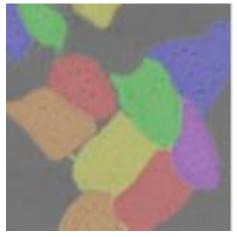
#### **VGG16 CNN Architecture**



#### **U-Net Architecture**

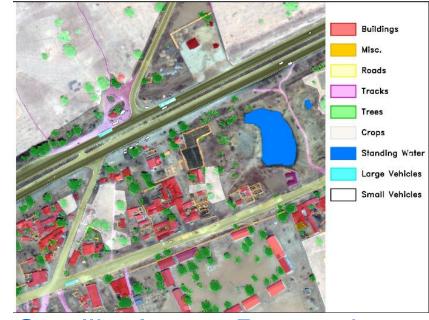


# U-NET APPLICATIONS

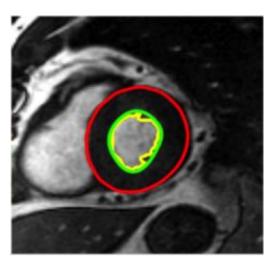


**Biomedical Segmentation** 





Satellite Image Processing



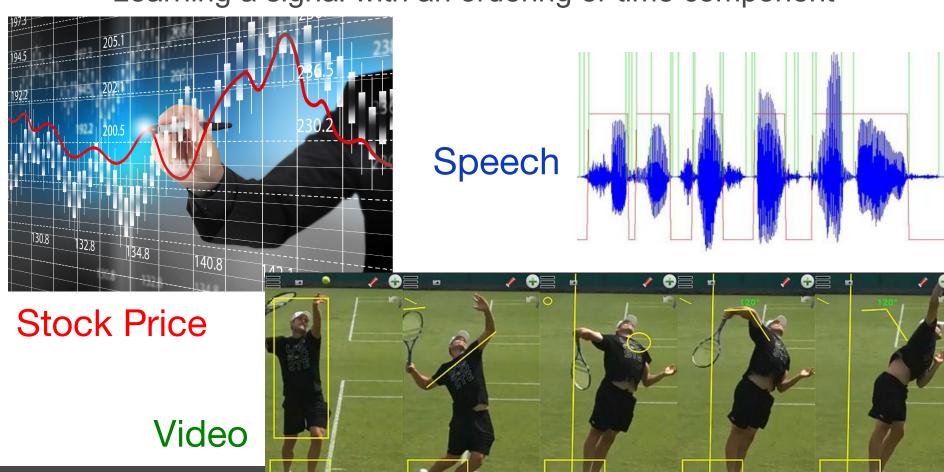
Medical Image Analysis

#### **LSTM**

- Long Short-Term Memory
- Used for sequence learning
- Type of Recurrent Neural Network (RNN)

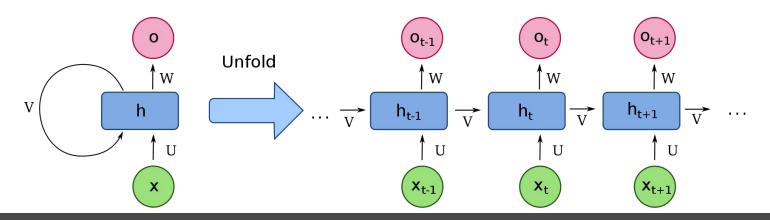
## **LSTM**

- Sequence Learning
  - Learning a signal with an ordering or time component



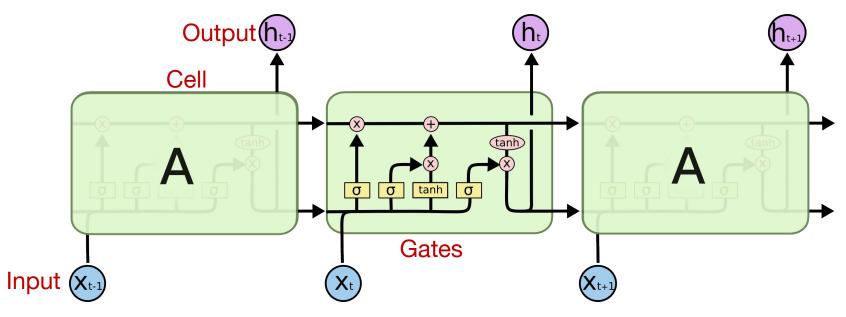
# RECURRENT NEURAL NETWORK (RNN)

- Can model sequences and time-dependent signals
- Have cyclic connections that feed previous activations as part of input back to network
  - · Allows for temporal contextual information to be stored
  - Predictions at current time step depend on current input and previous predictions
  - Context required must be learned





# LSTM ARCHITECTURE



http://colah.github.io/posts/2015-08-Understanding-LSTMs/

- Info flows through memory blocks called 'cells'
- Structure of cell allows LSTM to selectively remember/forget pieces of information
- Each cell manipulates memory through 'gates'



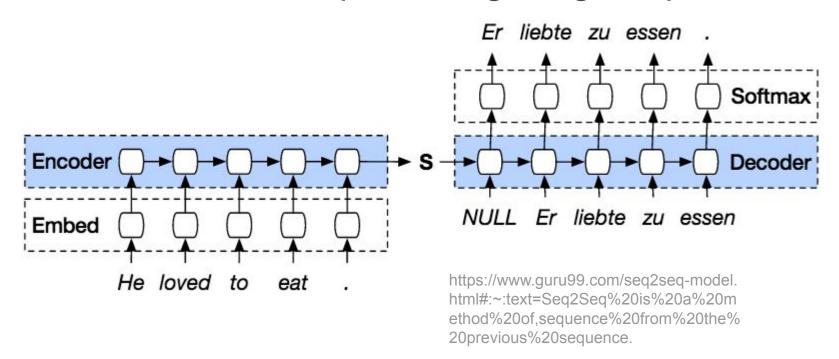
# **LSTM Applications**

- Speech recognition
- Machine translation
- Language modeling
- Speech synthesis
- Handwriting recognition
- Text generation
- Video analysis
- Protein structure prediction
- Stock price prediction



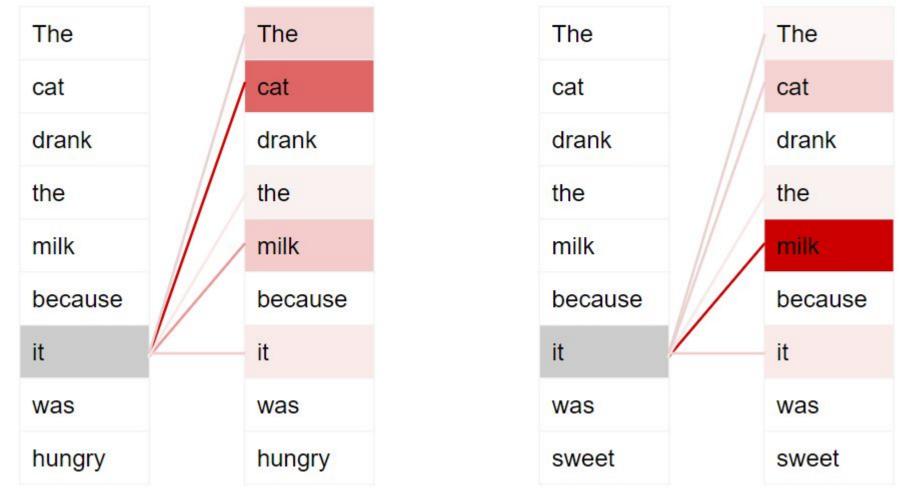
# **SEQ2SEQ**

- Converts input sequence to output sequence
  - machine translation, question-answering
- Encoder & decoder are RNNs
- Issue: Difficult to capture long-range dependencies



# **ATTENTION MECHANISM**

For each word, attention is used to determine which other words in sequence are important



# **TRANSFORMER**

- Encoder-decoder model
- Uses only
   attention to
   capture
   relationships
   between words
   in sentence
   ("Attention is All
   You Need")
- No recurrence or convolutions

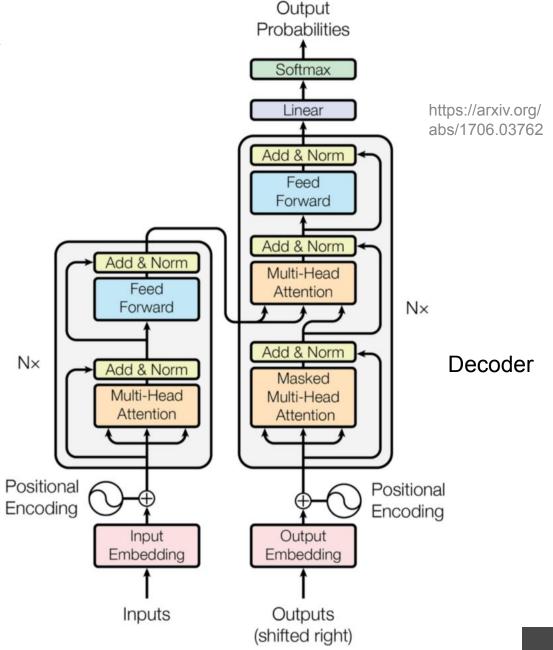
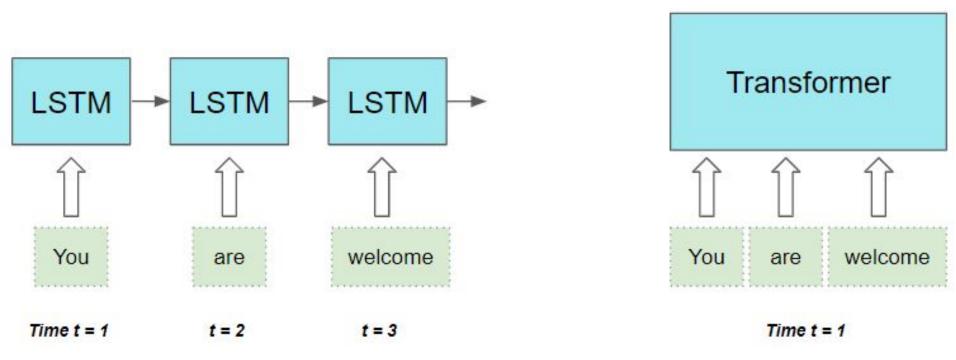


Figure 1: The Transformer - model architecture.



# TRANSFORMER ADVANTAGES OVER RNN

- Long-range dependencies can be captured
- All words in sequence are processed in parallel



https://towardsdatascience.com/transformers-explained-visually-part-1-overview-of-functionality-95a6dd460452



#### **BERT**

- Bidirectional Encoder Representations for Transformers
- Transformer trained as a language model
  - Encoding part only
- Pre-trained on Wikipedia and Books Corpus
- Can be fine-tuned for various NLP tasks
  - e.g., named entity recognition, relation extraction, question-answering, sentiment analysis

#### **GPT**

- LLMs developed by OpenAl
  - GPT-2, GPT-3, GPT-4o
- Generative Pre-trained Transformer
  - · Generative: Can create new content
  - Pre-trained: Model trained on massive amounts of text data to learn language patterns
  - Transformer: Based on transformer architecture
- Training
  - Pre-trained to enable model to learn statistical properties of language
  - Unsupervised learning task: predict next word in sentence given preceding words (language modeling)
  - Fine-tuned on specific tasks (summarization, translation, question answering, etc.)



#### **GPT**

#### **Generative Pre-trained Transformer**

Can generate text to answer questions, write essays, summarize text, translate languages

OpenAI's GPT-3 may be the biggest thing since bitcoin

OpenAI, a non-profit artificial intelligence research company backed by Peter Thiel, Elon Musk, Reid Hoffman, Marc Benioff, Sam Altman and others, released its third generation of language prediction model (GPT-3) into the open-source wild. Language models allow computers to produce random-ish sentences of approximately the same length and grammatical structure as those in a given body of text.

In my early experiments with GPT-3 I found that GPT-3's predicted sentences, when published on the bitcointalk.org forum, attracted lots of positive attention from posters there, including suggestions that the system must have been intelligent (and/or sarcastic) and that it had found subtle patterns in their posts. I imagine that similar results can be obtained by republishing GPT-3's outputs to other message boards, blogs, and social media.

https://maraoz.com/2020/07/18/openai-gpt3/

#### This was written by GPT-3

Prompt:

<Author's Bio>

Title: OpenAI's GPT-3 may be the biggest thing since bitcoin

tags: tech, machine-learning, hacking

Summary: I share my early experiments with OpenAI's new language prediction model (GPT-3) beta. I explain why I think GPT-3 has disruptive potential comparable to that of blockchain technology.



### TRANSFORMER APPLICATIONS

#### NLP tasks

- machine translation
- text summarization
- question-answering
- named entity recognition

#### Vision tasks

- video classification
- object detection
- image classification
- image generation

#### Both

- image captioning
- image Q&A

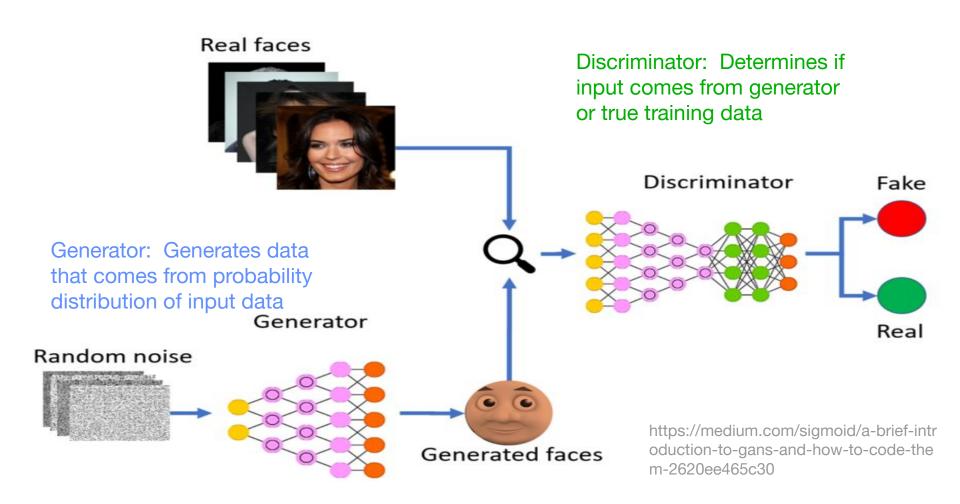


# GENERATIVE ADVERSARIAL NETWORKS (GANs)

- Deep learning approach to generative modeling
- Allows for model to generate data
  - Model learns structure of input data to generate new data with similar characteristics as input data
- Consists of two models
  - Generator: Generates new samples
  - Discriminator: Determines if sample is generated (fake) or from input data (real)
  - Trained in an adversarial way



# **GAN ARCHITECTURE**



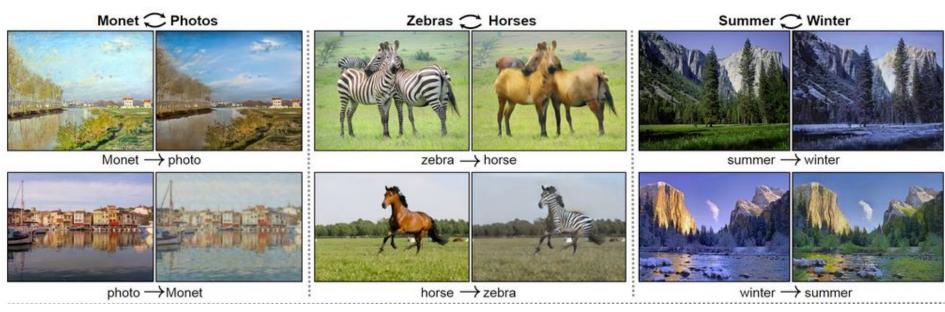
Noise ~ N(0,1)

Generative Model



https://arxiv.org/pdf/1710.10196.pdf

- Image-to-Image Translation
  - Transform images from one domain (e.g., real scenery) to another domain (Monet paintings)



https://junyanz.github.io/CycleGAN/



#### Superresolution

Create high-resolution images from lower-resolution images

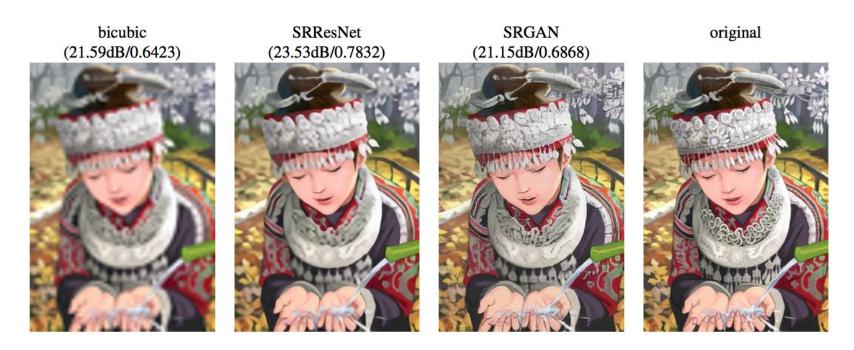


Figure 2: From left to right: bicubic interpolation, deep residual network optimized for MSE, deep residual generative adversarial network optimized for a loss more sensitive to human perception, original HR image. Corresponding PSNR and SSIM are shown in brackets. [4× upscaling]

https://arxiv.org/pdf/1609.04802.pdf

#### Others

- Text-to-image translation
- Face view generation
- Pose generation
- Photos to emojis
- Face aging
- ...

### **GENERATIVE AI**

- Al that can create new content resembling human-generated content
  - Various modalities (e.g., text, images, audio)
- Generative Al models
  - Learn patterns from existing data to generate new data that is similar
- Applications
  - Text generation
  - Text-to-image generation
  - Programming code generation
  - Music generation
  - Many others ...

# CHAT-GPT https://openai.com/blog/chatgpt

#### Chatbot that can engage in conversational interactions with users



The buzz around ChatGPT, or conversational GPT, stems from its impressive capabilities in engaging in text-based conversations and providing human-like responses. ChatGPT, which is built upon the GPT-3 (Generative Pre-trained Transformer 3) model developed by OpenAI, has garnered attention for its ability to understand context, maintain conversational flow, and generate coherent and relevant responses.

Prompt:
What is all the buzz
around ChatGPT?

Follow-up prompts:

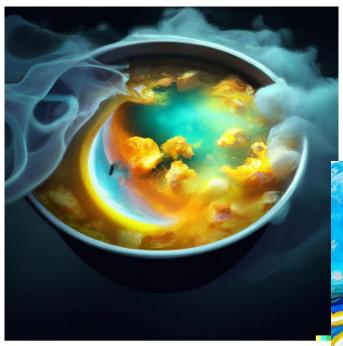
- What are somethings that ChatGPT cannot do?
- Summarize in 1 paragraph

ChatGPT has limitations such as a lack of real-world understanding and common-sense reasoning, potential inaccuracy in generating factual information, difficulties with maintaining long-term coherence, sensitivity to input phrasing, potential for biased or inappropriate responses, and the absence of genuine emotional understanding. While it is an impressive conversational AI model, these limitations highlight the need for ongoing research and responsible development to address these challenges and improve its capabilities.

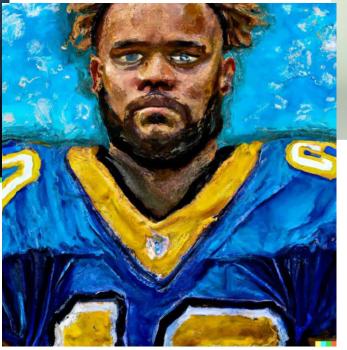


## **DALL-E**

# https://openai.com/product/dall-e-2 Generates image from text description



A bowl of soup that is also a portal to another dimension, digital art



A Van Gogh style painting of an American football player



An armchair in the shape of an avocado







https://openai.com/blog/openai-codex

# Convert natural language to executable code

# Explain code segment in understandable language

#### Prompt

```
### Postgres SQL tables, with their properties:
#
# Employee(id, name, department_id)
# Department(id, name, address)
# Salary_Payments(id, employee_id, amount, date)
#
### A query to list the names of the departments wh
more than 10 employees in the last 3 months
SELECT
```

#### Prompt

```
# Python 3
def remove_common_prefix(x, prefix, ws_prefix):
    x["completion"] = x["completion"].str[len(prefix):]
    if ws_prefix:
        # keep the single whitespace as prefix
        x["completion"] = " " + x["completion"]
return x

# Explanation of what the code does
#
```

#### Sample response

```
SELECT d.name
FROM Department d
INNER JOIN Employee e ON d.id = e.department
INNER JOIN Salary_Payments sp ON e.id = sp.en
WHERE sp.date > NOW() - INTERVAL '3 months'
GROUP BY d.name
HAVING COUNT(*) > 10
```

#### Sample response

This code removes a common prefix from a dataframe column containing strings. It takes in the dataframe, the prefix to be removed, and a boolean value indicating whether to keep a single whitespace as a prefix. It then removes the prefix from the dataframe column and, if the boolean value is true, adds a single whitespace as a prefix. Finally, it returns the modified dataframe.

#### DEEP LEARNING OVERVIEW

#### Neural Network Basics

- Processing Unit
- Activation Function
- Loss Function
- Neural Network Training

# Deep Learning Fundamentals

- Deep Network Layers
- DL Architectures
- DL Libraries

#### Transfer Learning

- Transfer Learning Concepts
- Transfer Learning Demo



#### PYTHON DEEP LEARNING LIBRARIES

#### TensorFlow

- https://www.tensorflow.org/
- ML framework developed by Google
- Keras: High-level NN API. Now part of TensorFlow.

#### PyTorch

- https://pytorch.org/
- ML framework developed by Facebook
- PyTorch Lightning: High-level API for PyTorch

#### Apache MXNet

- https://mxnet.apache.org/
- DL framework used by AWS



### OTHER DEEP LEARNING LIBRARIES

#### Java

- Deeplearning4j
- R
  - TensorFlow, MXNet
- Cloud
  - Google Cloud ML
  - AWS SageMaker
  - Microsoft Azure
  - IBM Watson ML

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#### RESOURCES

- CS231n Convolutional Neural Networks for Visual Recognition: <a href="http://cs231n.github.io/">http://cs231n.github.io/</a>
- TensorFlow Getting Started. <a href="https://www.tensorflow.org/get\_started/">https://www.tensorflow.org/get\_started/</a>
- TensorFlow Neural Network Playground. <a href="http://playground.tensorflow.org/">http://playground.tensorflow.org/</a>
- PyTorch Tutorials: <a href="https://pytorch.org/tutorials/">https://pytorch.org/tutorials/</a>
- U-Net Paper: <a href="https://arxiv.org/abs/1505.04597">https://arxiv.org/abs/1505.04597</a>
- LSTM Paper: <a href="https://www.mitpressjournals.org/doi/abs/10.1162/neco.1997.9.8.1735">https://www.mitpressjournals.org/doi/abs/10.1162/neco.1997.9.8.1735</a>
- Understanding LSTM Networks: <a href="http://colah.github.io/posts/2015-08-Understanding-LSTMs/">http://colah.github.io/posts/2015-08-Understanding-LSTMs/</a>
- Transformer Paper: <a href="https://arxiv.org/abs/1706.03762">https://arxiv.org/abs/1706.03762</a>
- The Illustrated Transformer: <a href="https://jalammar.github.io/illustrated-transformer/">https://jalammar.github.io/illustrated-transformer/</a>
- GAN Paper: <a href="https://arxiv.org/abs/1406.2661">https://arxiv.org/abs/1406.2661</a>
- GAN Introduction: <a href="https://machinelearningmastery.com/what-are-generative-adversarial-net-works-gans/">https://machinelearningmastery.com/what-are-generative-adversarial-net-works-gans/</a>

