

6.1.1966

Dear Coates,

Here a few more comments to my talk on the conjectures. The following proposition shows that the conjecture $C_X(X)$ is independent of the chosen polarization, and has also some extra interest, in showing the part played by the fact that $H^1(X)$ should be "motive-theoretically" isomorphic to its natural dual $H^{2n-1}(X)$ (as usual, I drop the twist for simplicity).

Proposition The condition $C_X(X)$ is equivalent also to the following conditions: each of

a) $D_X(X)$ holds, and for every i , ^{$< n$} there exists an isomorphism $H^{2n-1}(X) \rightarrow H^i(X)$ which is algebraic (i.e. induced by an algebraic correspondence class; we do not make any assertion on what it induces in degrees different from $2n-1$).

b) For every endomorphism $H^1(X) \rightarrow H^1(X)$ which is algebraic, the coefficients of the characteristic polynomial are rational, and for every i , ^{$< n$} there exists an isomorphism $H^{2n-1}(X) \rightarrow H^i(X)$ which is algebraic.

Proof. I sketched already how $D_X(X)$ implies the fact that for an algebraic endomorphism of $H^1(X)$, the coefficients of the characteristic polynomial are rational numbers. Therefore we know that a) implies b), and of course $C_X(X)$ implies a). It remains to prove that b) implies $C_X(X)$. Let $u: H^{2n-1}(X) \rightarrow H^1(X)$ be the given isomorphism which is algebraic, and $v: H^1(X) \rightarrow H^{2n-1}(X)$ an algebraic isomorphism in the opposite direction, induced by L_X^{n-1} . Then $uv = w$ is an automorphism of $H^1(X)$ which is algebraic, and the Hamilton-Cayley formula $w^b - \tau_1(w)w^{b-1} + \dots + (-1)^b \tau_b(w) = 0$ (where the $\tau_i(w)$ are the coefficients of the char. pol. of w) shows that w^{-1} is a linear combination of the w^i , with coefficients of the type $\pm \tau_i(w)/\tau_b(w)$ (NB $b = \text{rank } H^1$). The assumption implies

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that these coefficients are rational, which implies that w^{-1} is algebraic, and so is $w^{-1}u = v^{-1}$, which was to be proved.

NB In char. 0, ~~this~~ the statement simplifies to: $C(X)$ equivalent to the existence of algebraic isomorphisms $H^{2n-i}(X) \rightarrow H^i(X)$, (as the preliminary condition in b) is then automatically satisfied). Maybe with some extra care this can be proved too in arbitrary characteristics.

Corollary Assume X and X' satisfy condition C_X , and let $u: H^i(X) \rightarrow H^{i+2j}(X')$ ^{$(j \in \mathbb{Z})$} be an isomorphism which is algebraic. Then u^{-1} is algebraic.

Indeed, the two spaces can be identified "algebraically" (both directions !) to their dual, so that the transpose of u can be viewed as an isomorphism $u': H^{i+2j}(X') \rightarrow H^i(X)$. Thus $u'u$ is an ^{algebraic} automorphism of $H^i(X)$, and by the previous argument we see that w^{-1} is algebraic, hence so is $u^{-1} = w^{-1}u'$.

As a consequence, we see that if $x \in H^i(X)$ is such that $u(x)$ is algebraic (i being now assumed to be even), then so is x . The same result should hold in fact if u is a monomorphism, the reason being that in this case there should exist a left-inverse which is algebraic; this exists indeed in a case like $H^{n-1}(X) \rightarrow H^{n-1}(Y)$ (where we take the left inverse $\wedge_X \phi_*$). But to get it in general, it seems we need moreover the Hodge index relation. (The complete yoga then being that we have the category of motives which is semi-simple !). Without speaking of motives, and staying down on earth, it would be nice to explain in the notes that $C(X)$ together with the index relation $I(X \times X)$ implies that the ring of correspondance classes for X is semi-simple, and how one deduces from this the existence of left and right inverses as looked for above.

This could be given in an extra paragraph (which I did not really touch upon in the talk), containing also the deduction of the Weil conjectures from the conjectures C and A.

A last and rather trivial remark is the following. Let's introduce π variants $A_{\chi}^i(X)$ and $A_{\chi}^{\pi}(X)$ as follows:

$A_{\chi}^i(X)$: if $2i \leq n-1$, any element x of $H^i(X)$ whose image in $H^i(Y)$ is algebraic, is algebraic.

$A_{\chi}^{\pi}(X)$: if $2i \geq n-1$, any ~~element of $H^i(X)$ whose image in $H^{i+2}(X)$ is algebraic~~ ^{algebraic} ~~image of $H^{i+2}(X)$ is algebraic~~ ^{the image of an algebraic element of $H^i(Y)$.}

Let us consider also the specifications $A_{\chi}^i(X)^{\circ}$ and $A_{\chi}^{\pi}(X)^{\circ}$, where we restrict to the critical dimensions $2i = n-1$ if n odd, $2i = n-2$ if n even. All these conditions are in the nature of "weak" Lefschetz relations, and they are trivially implied by $A_{\chi}(X)$ resp. $C_{\chi}(Y)$ (in the first case, applying ϕ we see that $L_X x$ is algebraic; in the second, we take $y = \wedge_Y \phi^+(x)$). The remark then is that these pretend "weak" variants in fact imply the full Lefschetz relations for algebraic cycles, namely:

Proposition $A_{\chi}(X)$ is equivalent to the conjunction $C_{\chi}(Y) + A_{\chi}^i(X \times X)^{\circ} + A_{\chi}^{\pi}(X \times X)^{\circ}$, hence (by induction) also to the conjunction of the

conditions $A_{\chi}^i(X)^{\circ}$ and $A_{\chi}^{\pi}(X)^{\circ}$ for all of the varieties $X \times X, Y \times Y, Z \times Z, \dots$. Analogous statement with $X \times Y, Y \times Z$ etc instead of $X \times X, Y \times Y$ etc.

This comes from the remark that ~~if X is even dimensional (say a square like $X \times X$) then~~ $A_{\chi}(X \times X)^{\circ}$ follows from the conjunction of $A_{\chi}^i(X)^{\circ}$ and $A_{\chi}^{\pi}(X)^{\circ}$, as one sees by decomposing $L_X^2: H^{2m-2}(X) \rightarrow H^{2m+2}(X)$ into $H^{2m-2}(X) \xrightarrow{\varphi^*} H^{2m-2}(Y) \xrightarrow{\varphi_*} H^{2m}(X) \xrightarrow{L_X} H^{2m+2}(X)$ if $\dim X = 2m$ is even, and $H^{2m+1-1}(X) \rightarrow H^{2m+1+1}(X)$ into $H^{2m}(X) \xrightarrow{\varphi^*} H^{2m}(Y) \xrightarrow{\varphi_*} H^{2m+2}(X)$ if $\dim X = 2m+1$ is odd.

Sincerely yours