

SIBSON CALEB EDAKARA

PROFESSIONAL SUMMARY

Compliance professional with 5 years of hands-on experience in AML and KYC operations across major financial institutions. Proven track record in onboarding and conducting due diligence for complex client structures, including high-risk entities, corporate hierarchies, and international businesses. Skilled at analysing ownership structures, conducting enhanced due diligence, and performing sanctions and PEP screening using industry-standard platforms. Strong ability to collaborate with stakeholders to gather critical information while ensuring full regulatory compliance. Experienced in investigating suspicious activity, preparing compliance reports, and supporting AML programme administration. Committed to maintaining the highest standards of financial crime prevention while working efficiently to meet deadlines.

EMPLOYMENT HISTORY

CUSTOMER SERVICE ADVISOR (PART-TIME)

Apr 2024 - Dec 2024

Lloyds Banking Group

- ◆ Provided data-driven insights to resolve customer issues while maintaining high standards of data integrity during interactions.
- ◆ Built strong relationships with clients, ensuring accurate data collection to prevent future issues and improve service quality.
- ◆ Investigated and escalated complex cases, consistently adhering to regulatory requirements and internal service standards.
- ◆ Maintained excellent team relationships by proactively assisting colleagues in resolving customer complaints and complex requests.

AML QA ANALYST

Apr 2022 - Sep 2023

StateStreet Corporate Services

- ◆ Performed quality checks on New-to-Business (NTB), Periodic Reviews, and Event-Driven Review (EDR) cases across a wide range of retail and institutional investment clients, including SPVs, trusts, funds, and complex corporate entities, ensuring accuracy, completeness, and adherence to regulatory standards.
- ◆ Supported the development and training of junior team members, reviewing their work and providing guidance to improve accuracy and compliance.
- ◆ Performed and reviewed client and matter risk assessments, making risk-based decisions based on detailed analysis and due diligence results.
- ◆ Completed in-depth research and analysis to understand clients' businesses, ownership structures, and related parties, enabling effective monitoring for unusual or suspicious transactions.
- ◆ Investigated and escalated potential money laundering and counter-terrorist financing (ML/CTF) concerns to the AML Compliance Manager, MLCO, and MLRO, ensuring clear and concise reporting.
- ◆ Conducted periodic reviews of existing clients, working with Partners and Fee Earners to ensure CDD and risk assessments remained up to date.
- ◆ Assisted the AML Compliance Manager with a range of AML-related projects, including process improvements, audits, and policy updates.
- ◆ Reviewed and assessed AML and reputational risks associated with new client and matter requests, providing informed recommendations.
- ◆ Completed CDD/KYC for corporate entities, including those with complex ownership structures and cross-border operations.
- ◆ Performed sanctions, PEP, and adverse media screening, including enhanced due diligence for high-risk clients, and maintained accurate central registers.
- ◆ Used LexisNexis and LSEG World-Check to perform sanctions, PEP, and adverse media screening
- ◆ Supported process mapping and advisory activities, including the assessment of suspicious activity reports (SARs), sanctions reviews, onboarding checks, and due diligence enhancements.

AML/KYC ANALYST
Royal Bank of Scotland

Apr 2017 - Dec 2020

- ◆ Conducted client due diligence and onboarding across a wide range of entity structures, including complex multi-layered ownerships, individuals, sole proprietors, overseas clients, trusts, mutual funds, hedge funds, pension funds, government bodies, investment advisers, investment managers, and other high-risk or international entities.
- ◆ Analysed data from sources such as Companies House and international corporate registries to verify Ultimate Beneficial Owners (UBOs) and determine additional documentation requirements.
- ◆ Performed electronic ID checks and investigated system-generated referrals, ensuring full compliance with AML regulations and firm procedures.
- ◆ Collaborated with Relationship Managers (RMs) and internal teams to gather and clarify CDD information, ensuring accuracy, completeness, and adherence to risk standards.
- ◆ Supported ongoing monitoring activities, including sanctions, PEP, and adverse media screening, as well as periodic client reviews.
- ◆ Identified and escalated discrepancies relating to Persons with Significant Control (PSC) and complex ownership structures.
- ◆ Utilised the LSEG World-Check platform and other screening tools such as Lexis-Nexis to ensure thorough and accurate results.
- ◆ Assisted the AML Officer with policy updates, technical training sessions, and ad hoc compliance projects.
- ◆ Maintained a strong understanding of AML legislation, risk frameworks, and best practices, ensuring consistent application across all onboarding activities.
- ◆ Worked effectively within a regulated financial services environment, applying strong analytical and communication skills to manage risk and maintain compliance.
- ◆ Managed competing priorities and met strict deadlines while ensuring accuracy, attention to detail, and high-quality output.

EDUCATION

MSC. BUSINESS ANALYTICS
University of Wolverhampton

Sep 2023 - Dec 2024

SKILLS

Data Analysis, Regulatory Compliance, Project Coordination, Training Development, Customer Due Diligence, Enhanced Due Diligence, MS Excel, PowerBI, SQL, World-Check, Lexis-Nexis, Actimize.

ADDITIONAL INFORMATION

CERTIFICATION

The Global Association of Certified KYC Specialist