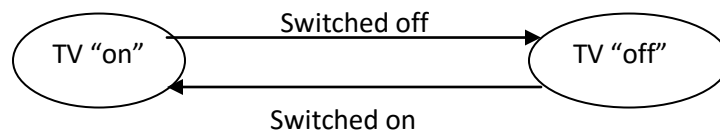


## Chapter 2

### Finite Automata (DFA and NFA, epsilon NFA)

#### Intuitive example

Consider a man watching a TV in his room. The TV is in "on" state. When it is switched off, the TV goes to "off" state. When it is switched on, it again goes to "on" state. This can be represented by following picture.



The above figure is called state diagram.

A language is a subset of the set of strings over an alphabet. A language can be generated by grammar. A language can also be recognized by a machine. Such machine is called recognition device. The simplest machine is the finite state automaton.

#### Finite Automata

A finite automaton is a mathematical (model) abstract machine that has a set of "states" and its "control" moves from state to state in response to external "inputs". The control may be either "deterministic" meaning that the automation can't be in more than one state at any one time, or "non deterministic", meaning that it may be in several states at once. This distinguishes the class of automata as DFA or NFA.

- The DFA, i.e. Deterministic Finite Automata can't be in more than one state at any time.
- The NFA, i.e. Non-Deterministic Finite Automata can be in more than one state at a time.

#### Applications:

The finite state machines are used in applications in computer science and data networking. For example, finite-state machines are basis for programs for spell checking, indexing, grammar checking, searching large bodies of text, recognizing speech, transforming text using markup languages such as XML & HTML, and network protocols that specify how computers communicate.

#### Definition (Deterministic finite state automata [DFSFA])

A deterministic finite automaton is defined by a quintuple (5-tuple) as  $(Q, \Sigma, \delta, q_0, F)$ .

Where,

$Q$  = Finite set of states,

$\Sigma$  = Finite set of input symbols,

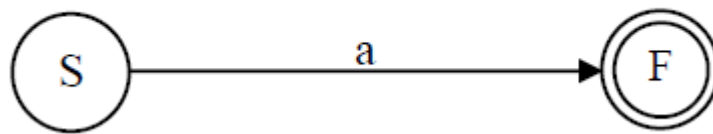
$\delta$  = A transition function that maps  $Q \times \Sigma \rightarrow Q$

$q_0$  = A start state;  $q_0 \in Q$

$F$  = Set of final states;  $F \subseteq Q$ .

A transition function  $\delta$  that takes as arguments a state and an input symbol and returns a state. In our diagram,  $\delta$  is represented by arcs between states and the labels on the arcs.

For example



If  $s$  is a state and  $a$  is an input symbol then  $\delta(p,a)$  is that state  $q$  such that there are arcs labeled ' $a$ ' from  $p$  to  $q$ .

## General Notations of DFA

There are two preferred notations for describing this class of automata;

- Transition Table
- Transition Diagram

### 1) Transition Table: -

Transition table is a conventional, tabular representation of the transition function  $\delta$  that takes the arguments from  $Q \times \Sigma$  & returns a value which is one of the states of the automation. The row of the table corresponds to the states while column corresponds to the input symbol. The starting state in the table is represented by  $\rightarrow$  followed by the state i.e.  $\rightarrow q$ , for  $q$  being start state, whereas final state as  $*q$ , for  $q$  being final state.

The entry for a row corresponding to state  $q$  and the column corresponding to input  $a$ , is the state  $\delta(q, a)$ .

For example:

I. Consider a DFA;

$Q = \{q_0, q_1, q_2, q_3\}$

$\Sigma = \{0, 1\}$

$q_0 = q_0$

$F = \{q_0\}$

$\delta = Q \times \Sigma \rightarrow Q$

Then the transition table for above DFA is as follows:

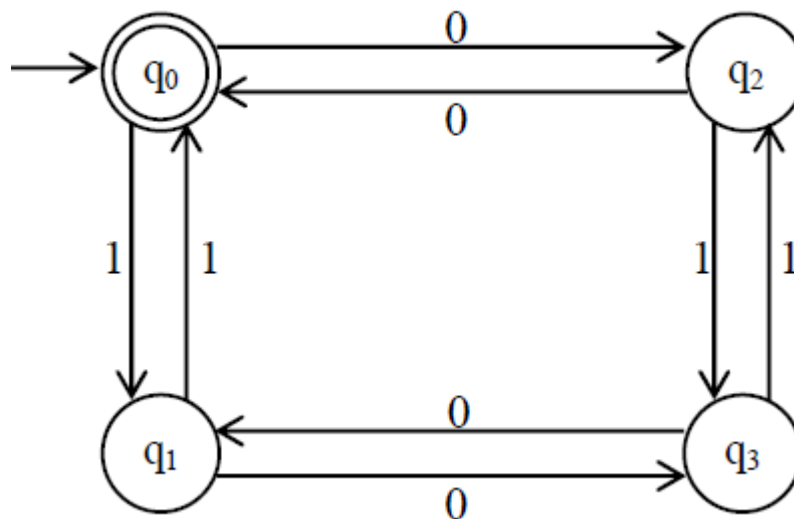
$\delta$	0	1
* $\rightarrow q_0$	$q_2$	$q_1$
$q_1$	$q_3$	$q_0$
$q_2$	$q_0$	$q_3$
$q_3$	$q_1$	$q_2$

This DFA accepts strings having both an even number of 0's & even number of 1's.

### Transition Diagram:

A transition diagram of a DFA is a graphical representation where; (or is a graph)

- For each state in  $Q$ , there is a node represented by circle,
- For each state  $q$  in  $Q$  and each input  $a$  in  $\Sigma$ , if  $\delta(q, a) = p$  then there is an arc from node  $q$  to  $p$  labeled  $a$  in the transition diagram. If more than one input symbol cause the transition from state  $q$  to  $p$  then arc from  $q$  to  $p$  is labeled by a list of those symbols.
- The start state is labeled by an arrow written with "start" on the node.
- The final or accepting state is marked by double circle.
- For the example I considered previously, the corresponding transition diagram is:



### How a DFA process strings?

The first thing we need to understand about a DFA is how DFA decides whether or not to “accept” a sequence of input symbols. The “language” of the DFA is the set of all symbols that the DFA accepts. Suppose  $a_1, a_2, \dots, a_n$  is a sequence of input symbols. We start out with the DFA in its start state,  $q_0$ . We consult the transition function  $\delta$  also for this purpose. Say  $\delta(q_0, a_1) = q_1$  to find the state that the DFA enters after processing the first input symbol  $a_1$ . We then process the next input symbol  $a_2$ , by evaluating  $\delta(q_1, a_2)$ ; suppose this state be  $q_2$ . We continue in this manner, finding states  $q_3, q_4, \dots, q_n$  such that  $\delta(q_{i-1}, a_i) = q_i$  for each  $i$ . if  $q_n$  is a member of  $F$ , then input  $a_1, a_2, \dots, a_n$  is accepted & if not then it is rejected.

### Extended Transition Function of DFA( $\hat{\delta}$ ):-

The extended transition function of DFA, denoted by  $\hat{\delta}$  is a transition function that takes two arguments as input, one is the state  $q$  of  $Q$  and another is a string  $w \in \Sigma^*$ , and generates a state  $p \in Q$ . This state  $p$  is that the automaton reaches when starting in state  $q$  & processing the sequence of inputs  $w$ .

i.e.  $\hat{\delta}(q, w) = p$

Let us define by induction on length of input string as follows:

**Basis step:**  $\hat{\delta}(q, \epsilon) = q$ . i.e. from state  $q$ , reading no input symbol stays at the same state.

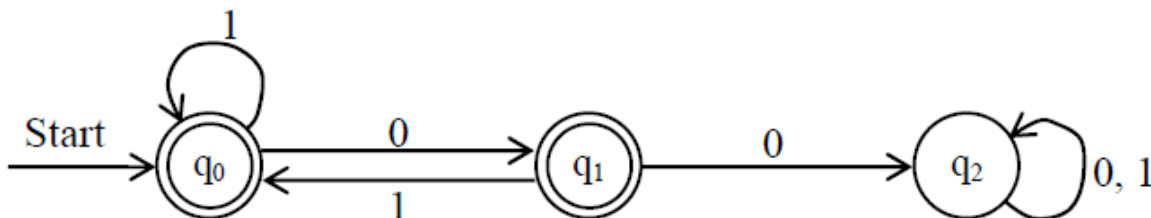
**Induction:** Let  $w$  be a string from  $\Sigma^*$  such that  $w = xa$ , where  $x$  is substring of  $w$  without last symbol and  $a$  is the last symbol of  $w$ , then  $\hat{\delta}(q, w) = \delta(\hat{\delta}(q, x), a)$ .

Thus, to compute  $\hat{\delta}(q, w)$ , we first compute  $\hat{\delta}(q, x)$ , the state the automaton is in after processing all but last symbol of  $w$ . let this state is  $p$ , i.e.  $\hat{\delta}(q, x) = p$ .

Then,  $\hat{\delta}(q, w)$  is what we get by making a transition from state  $p$  on input  $a$ , the last symbol of  $w$ .

i.e.  $\hat{\delta}(q, w) = \delta(p, a)$

### For Example



Now compute  $\hat{\delta}(q_0, 1001)$

$$\begin{aligned}
&= \delta(\hat{\delta}(q_0, 100), 1) \\
&= \delta(\delta(\hat{\delta}(q_0, 10), 0), 1) \\
&= \delta(\delta(\delta(\hat{\delta}(q_0, 1), 0), 0), 1) \\
&= \delta(\delta(\delta(\delta(\hat{\delta}(q_0, \epsilon), 1), 0), 0), 1) \\
&= \delta(\delta(\delta(\delta(q_0, 1), 0), 0), 1) \\
&= \delta(\delta(\delta(q_0, 0), 0), 1) \\
&= \delta(\delta(q_1, 0), 1) \\
&= \delta(q_2, 1) \\
&= q_2, \text{ so accepted.}
\end{aligned}$$

2) **Compute**  $\hat{\delta}(q_0, 101)$  yourself.( ans : Not accepted by above DFA)

### String accepted by a DFA

A string  $x$  is accepted by a DFA  $(Q, \Sigma, \delta, q_0, F)$  if;  $\hat{\delta}(q, x) = p \in F$ .

### Language of DFA

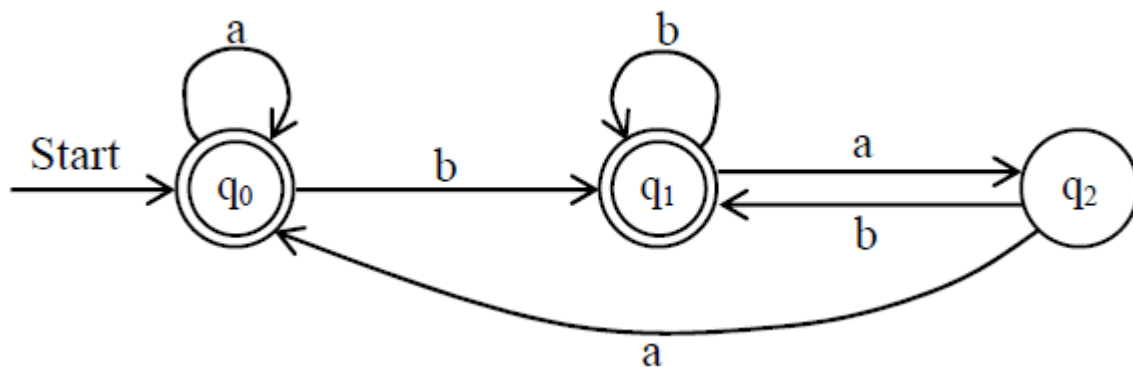
The language of DFA  $M = (Q, \Sigma, \delta, q_0, F)$  denoted by  $L(M)$  is a set of strings over  $\Sigma^*$  that are accepted by  $M$ .

i.e;  $L(M) = \{w / \hat{\delta}(q_0, w) = p \in F\}$

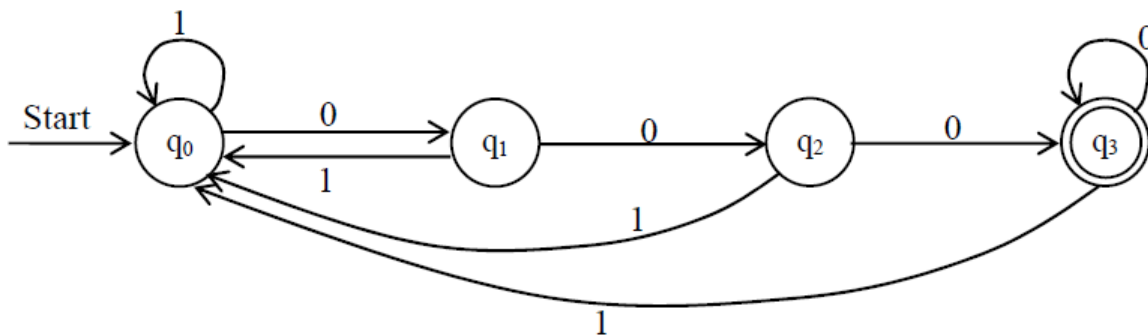
That is; the language of a DFA is the set of all strings  $w$  that take DFA starting from start state to one of the accepting states. The language of DFA is called regular language.

### Examples (DFA Design for recognition of a given language)

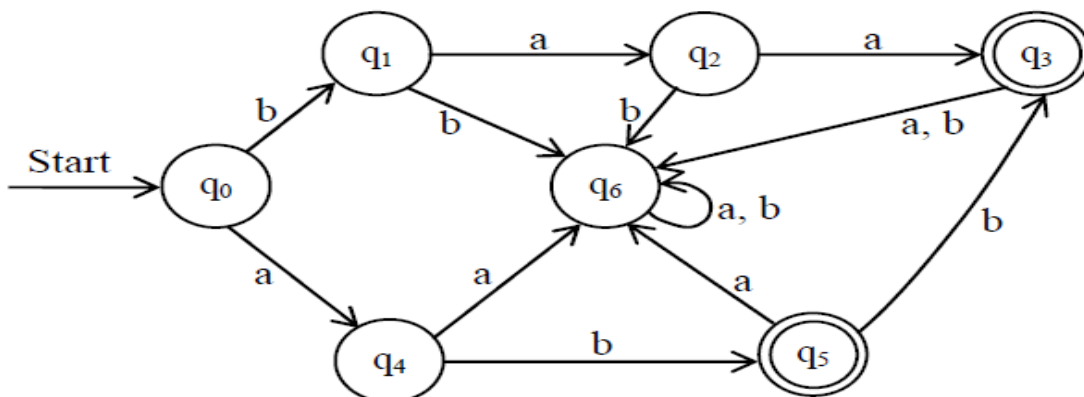
1. Construct a DFA, that accepts all the strings over  $\Sigma = \{a, b\}$  that do not end with  $ba$ .



2. DFA accepting all string over  $\Sigma = \{0, 1\}$  ending with 3 consecutive 0's.

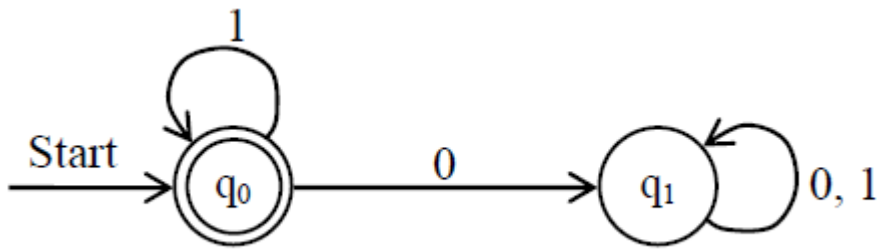


3. DFA over  $\{a, b\}$  accepting  $\{baa, ab, abb\}$

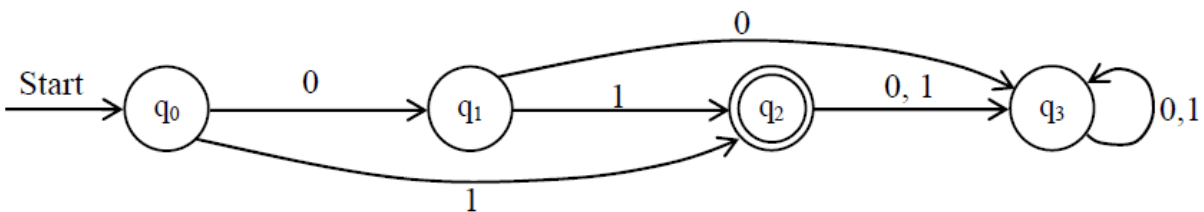


4. DFA accepting zero or more consecutive 1's.

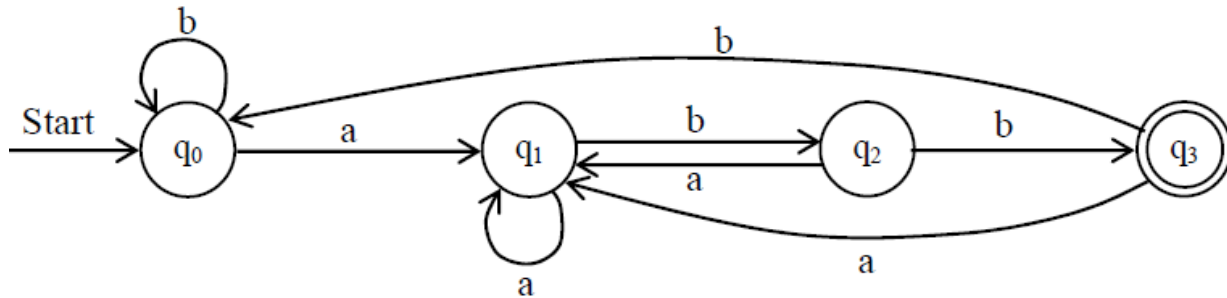
i.e.  $L(M) = \{1_n / n = 0, 1, 2, \dots\}$



**5. DFA over  $\{0, 1\}$  accepting  $\{1, 01\}$**



**6. DFA over  $\{a, b\}$  that accepts the strings ending with abb.**



**Exercises: (Please do this exercise as homework problems and the question in final exam will be of this patterns)**

1. Give the DFA for the language of string over  $\{0,1\}$  in which each string end with 11. [2067,TU BSc CSIT]
2. Give the DFA accepting the string over  $\{a,b\}$  such that each string does not end with ab.[2067, TU B.Sc CSIT]
3. Give the DFA for the language of string over  $\{a,b\}$  such that each string contain aba as substring.

4. Give the DFA for the language of string over  $\{0,1\}$  such that each string start with 01.
5. The question from book: 2.2.4, 2.2.5 of chapter 2.

### Non-Deterministic Finite Automata (NFA)

A non-deterministic finite automaton is a mathematical model that consists of:

- A set of states  $Q$ , (finite)
- A finite set of input symbols  $\Sigma$ , (alphabets)
- A transition function that maps state symbol pair to sets of states.
- A state  $q_0 \in Q$ , that is distinguished as a start (initial) state.
- A set of final states  $F$  distinguished as accepting (final) state.  $F \subseteq Q$ .

Thus, NFA can also be interpreted by a quintuple;  $(Q, \Sigma, \delta, q_0, F)$  where  $\delta$  is  $Q \times \Sigma \rightarrow 2^Q$ . Unlike DFA, a transition function in NFA takes the NFA from one state to several states just with a single input.

**For example;**

1.

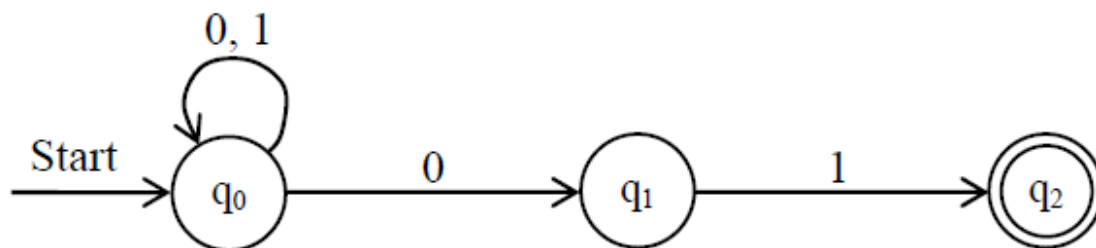


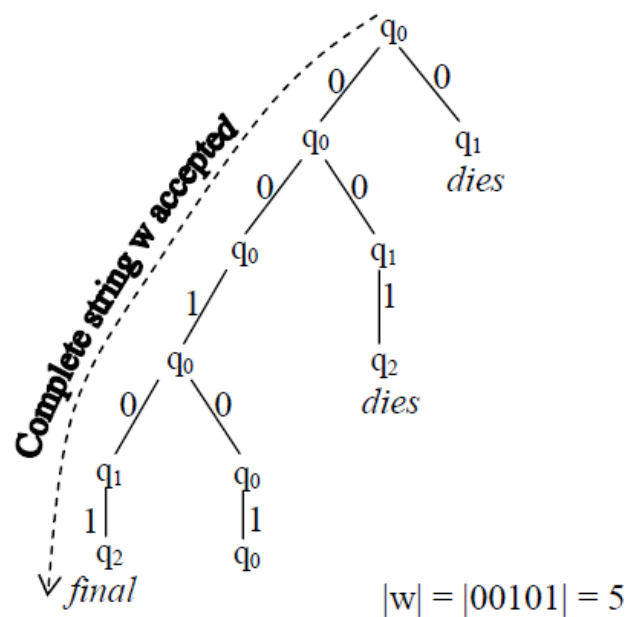
Fig: - NFA accepting all strings that end in 01.

Here, from state  $q_1$ , there is no any arc for input symbol 0 & no any arc out of  $q_2$  for 0 & 1. So, we can conclude in a NFA, there may be zero no. of arcs out of each state for each input symbol. While in DFA, it has exactly one arc out of each state for each input symbol.

**$\delta$ , the transition function** is a function that takes a state in  $Q$  and an input symbol in  $\Sigma$  as arguments and returns a subset of  $Q$ . The only difference between an NFA and DFA is in type of value that  $\delta$  returns. In NFA,  $\delta$  returns a set of states and in case of DFA it returns a single state.

For input sequence  $w = 00101$ , the NFA can be in the states during the processing of the input are as:





$$Q = \{q_0, q_1, q_2\}$$

$$\Sigma = \{0, 1\}$$

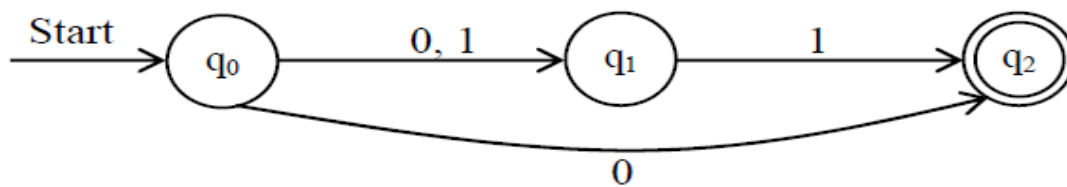
$$q_0 = \{q_0\}$$

$$F = \{q_2\}$$

Transition table:

$\delta:$	0	1
$\rightarrow q_0$	$\{q_0, q_1\}$	$\{q_0\}$
$q_1$	$\{\phi\}$	$\{q_2\}$
$*q_2$	$\{\phi\}$	$\{\phi\}$

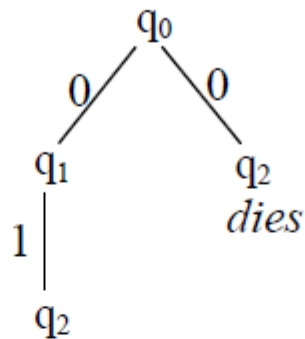
2. NFA over  $\{0, 1\}$  accepting strings  $\{0, 01, 11\}$ .



Transition table:

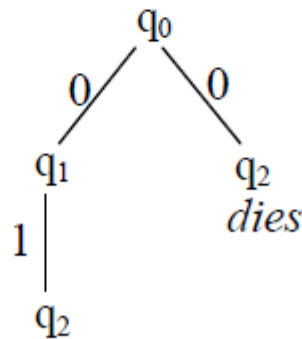
$\delta:$	0	1
$\rightarrow q_0$	$\{q_0, q_2\}$	$\{q_1\}$
$q_1$	$\{\phi\}$	$\{q_2\}$
$*q_2$	$\{\phi\}$	$\{\phi\}$

Computation tree for 01;



*Final, so 01 is accepted*

Computation tree for 0110



*dies, so 0110 is not accepted*

### The Extended transition function of NFA

As for DFA's, we need to define the extended transition function  $\hat{\delta}$  that takes a state  $q$  and a string of input symbol  $w$  and returns the set of states that is in if it starts in state  $q$  and processes the string  $w$ .

**Definition by Induction:**

**Basis Step:**  $\hat{\delta}(q, \epsilon) = \{q\}$  i.e. reading no input symbol remains into the same state.

**Induction:** Let  $w$  be a string from  $\Sigma^*$  such that  $w = xa$ , where  $x$  is a substring of without last symbol

a.

Also let,

$$\hat{\delta}(q, x) = \{p_1, p_2, p_3, \dots, p_k\}$$

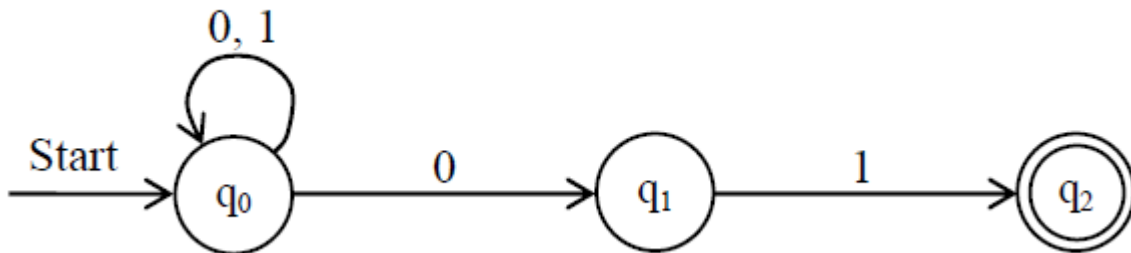
and

$$\bigcup_{i=1}^k \delta(p_i, a) = \{r_1, r_2, r_3, \dots, r_m\}$$

$$\text{Then, } \hat{\delta}(q, w) = \{r_1, r_2, r_3, \dots, r_m\}$$

Thus, to compute  $\hat{\delta}(q, w)$  we first compute  $\hat{\delta}(q, x)$  & then following any transition from each of these states with input a.

Consider, a NFA,



Now, computing for  $\hat{\delta}(q_0, 01101)$

*Solution:*

$$\delta(q_0, 01101)$$

$$\delta(q_0, \epsilon) = \{q_0\}$$

$$\delta(q_0, 0) = \{q_0, q_1\}$$

$$\delta(q_0, 01) = \delta(q_0, 1) \cup \delta(q_1, 1) = \{q_0\} \cup \{q_2\} = \{q_0, q_2\}$$

$$\delta(q_0, 011) = \delta(q_0, 1) \cup \delta(q_2, 1) = \{q_0\} \cup \{\phi\} = \{q_0\}$$

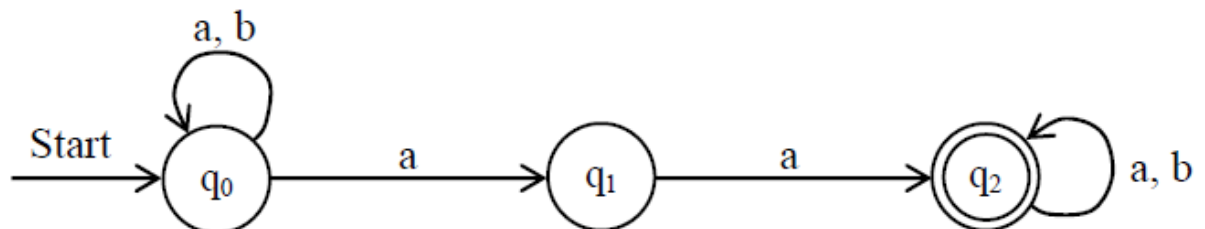
$$\delta(q_0, 0110) = \delta(q_0, 0) = \{q_0\} \cup \{q_1\} = \{q_0, q_1\}$$

$$\delta(q_0, 01101) = \delta(q_0, 1) \cup \delta(q_1, 1) = \{q_0\} \cup \{q_2\} = \{q_0, q_2\}$$

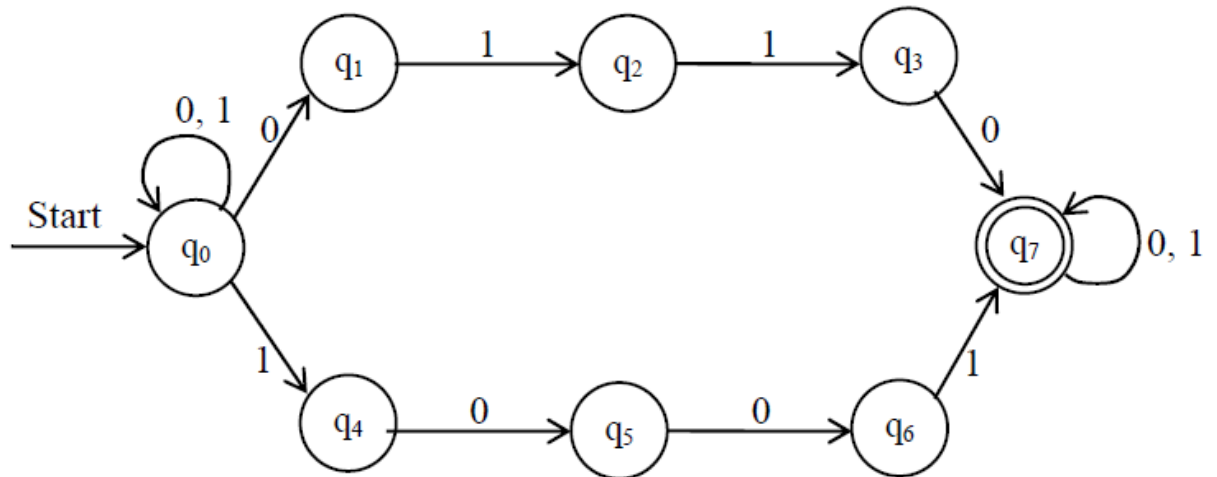
Since the result of above computation returns the set of state  $\{q_0, q_2\}$  which include the accepting state  $q_2$  of NFA so the string 01101 is accepted by above NFA.

**Examples (Design NFA to recognize the given language)**

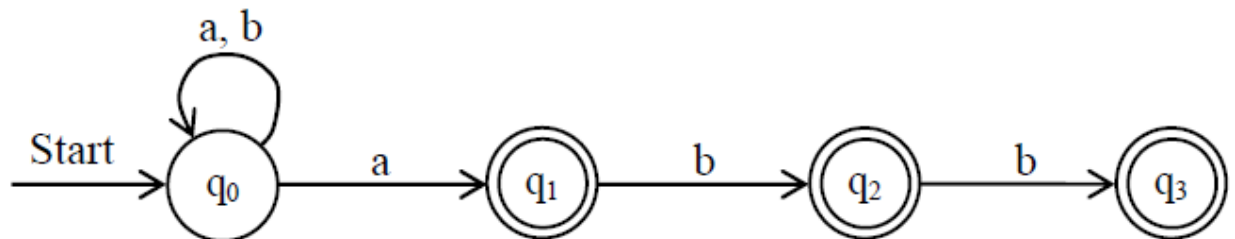
1. Construct a NFA over  $\{a, b\}$  that accepts strings having  $aa$  as substring.



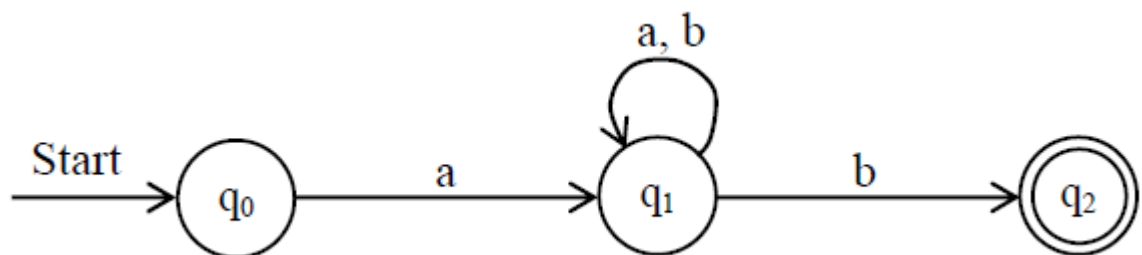
2. NFA for strings over  $\{0, 1\}$  that contain substring 0110 or 1001



3. NFA over {a, b} that have “a” as one of the last 3 characters.



4. NFA over {a, b} that accepts strings starting with a and ending with b.



### Language of NFA

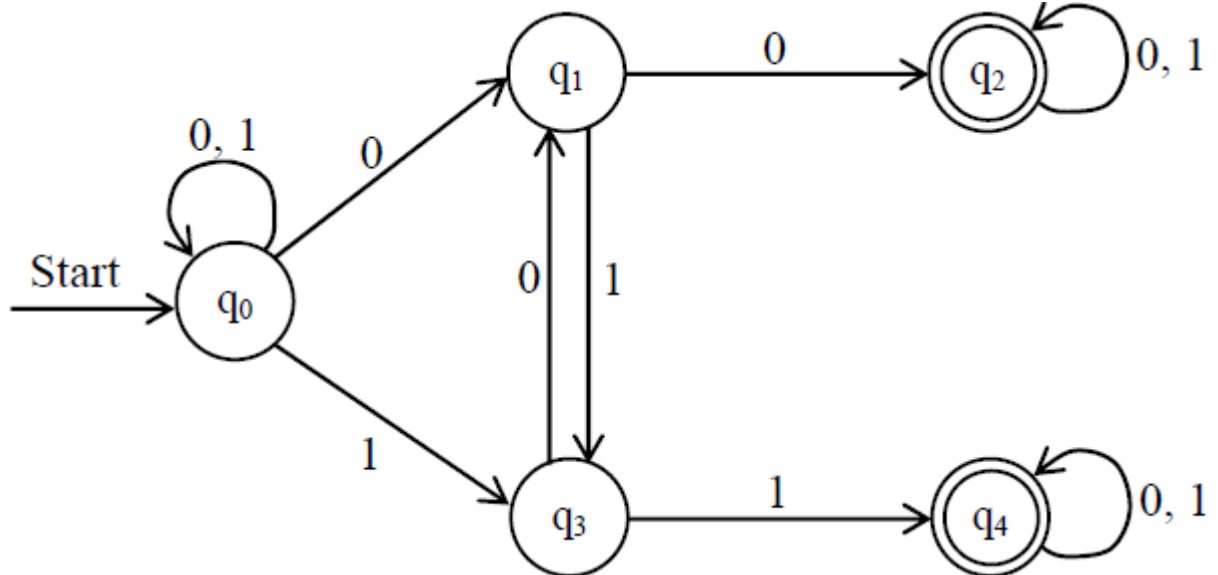
The language of NFA,  $M = (Q, \Sigma, \delta, q_0, F)$ , denoted by  $L(M)$  is;

$$L(M) = \{w / \hat{\delta}(q_0, w) \cap F \neq \phi\}$$

i.e.  $L(M)$  is the set of strings  $w$  in  $\Sigma^*$  such that  $\hat{\delta}(q_0, w)$  contains at least one state accepting state.

### Examples

1. Design a NFA for the language over  $\{0, 1\}$  that have at least two consecutive 0's or 1's



Now, compute for acceptance of string 10110;

Solution

$$\hat{\delta}(q_0, 10110)$$

Start with starting state as

$$\hat{\delta}(q_0, \epsilon) = \{q_0\}$$

$$\hat{\delta}(q_0, 1) = \{q_0, q_3\}$$

$$(q_0, 10) = \delta(q_0, 0) \cup \delta(q_3, 0) = \{q_1, q_0\} \cup \{q_1\} = \{q_1, q_0\}$$

$$(q_0, 101) = \delta(q_1, 1) \cup \delta(q_0, 1) = \{q_3\} \cup \{q_3\} = \{q_3\}$$

$$(q_0, 1011) = \delta(q_3, 1) = \{q_4\}$$

$$(q_0, 10110) = \delta(q_4, 0) = \{q_4\} = \{q_4\}$$

So accepted (since the result in final state)

### Exercise

1. Question from book: 2.3.4 of chapter 2
2. Give a NFA to accept the language of string over  $\{a, b\}$  in which each string contain  $abb$  as substring.
3. Give a NFA which accepts binary strings which have at least one pair of '00' or one pair of '11'.

### Equivalence of NFA & DFA

Although there are many languages for which NFA is easier to construct than DFA, it can be proved that every language that can be described by some NFA can also be described by some DFA.

The DFA has more transition than NFA and in worst case the smallest DFA can have  $2^n$  state while the smallest NFA for the same language has only  $n$  states.

We now show that DFAs & NFAs accept exactly the same set of languages. That is non-determinism does not make a finite automaton more powerful.

To show that NFAs and DFAs accept the same class of language, we show;

Any language accepted by a NFA can also be accepted by some DFA. For this we describe an algorithm that takes any NFA and converts it into a DFA that accepts the same language. The algorithm is called “subset construction algorithm”.

The key idea behind the algorithm is that; the equivalent DFA simulates the NFA by keeping track of the possible states it could be in. Each state of DFA corresponds to a subset of the set of states of the NFA, hence the name of the algorithm. If NFA has  $n$ -states, the DFA can have  $2^n$  states (at most), although it usually has many less.

## The steps are:

To convert a NFA,  $N = (Q_N, \Sigma, \delta_N, q_0, F_N)$  into an equivalent DFA  $D = (Q_D, \Sigma, \delta_D, q_0, F_D)$ , we have following steps.

1. The start state of  $D$  is the set of start states of  $N$  i.e. if  $q_0$  is start state of  $N$  then  $D$  has start state as  $\{q_0\}$ .

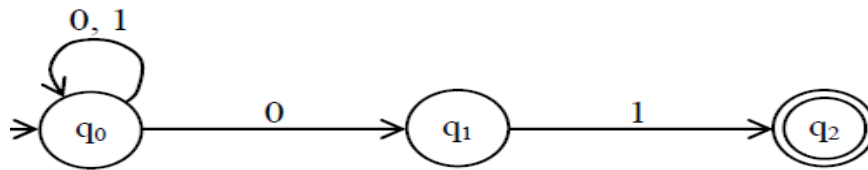
2.  $Q_D$  is set of subsets of  $Q_N$  i.e.  $Q_D = 2^{Q_N}$ . So,  $Q_D$  is power set of  $Q_N$ . So if  $Q_N$  has  $n$  states then  $Q_D$  will have  $2^n$  states. However, all of these states may not be accessible from start state of  $Q_D$  so they can be eliminated. So  $Q_D$  will have less than  $2^n$  states.

3.  $F_D$  is set of subsets  $S$  of  $Q_N$  such that  $S \cap F_N \neq \phi$  i.e.  $F_D$  is all sets of  $N$ 's states that include at least one final state of  $N$ .

For each set  $S \subseteq Q_N$  & each input  $a \in \Sigma$ ,  $\delta_D(S, a) = \bigcup_{p \in S} \delta_N(p, a)$

i.e. for any state  $\{q_0, q_1, q_2, \dots, q_k\}$  of the DFA & any input  $a$ , the next state of the DFA is the set of all states of the NFA that can result as next states if the NFA is in any of the state's  $q_0, q_1, q_2, \dots, q_k$  when it reads  $a$ .

## For Example



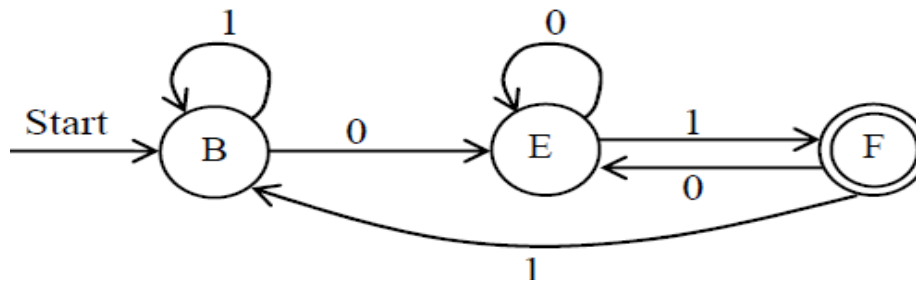
	$\delta:$	0	1
A	$\phi$	$\phi$	$\phi$
B	$\rightarrow \{q_0\}$	$\{q_0, q_1\}$	$\{q_0\}$
C	$\{q_1\}$	$\phi$	$\{q_2\}$
D	$*\{q_2\}$	$\phi$	$\phi$
E	$\{q_0, q_1\}$	$\{q_0, q_1\}$	$\{q_0, q_2\}$
F	$*\{q_0, q_2\}$	$\{q_0, q_1\}$	$\{q_0\}$
G	$*\{q_1, q_2\}$	$\phi$	$\{q_2\}$
H	$*\{q_0, q_1, q_2\}$	$\{q_0, q_1\}$	$\{q_0, q_2\}$

The same table can be represented with renaming the state on table entry as

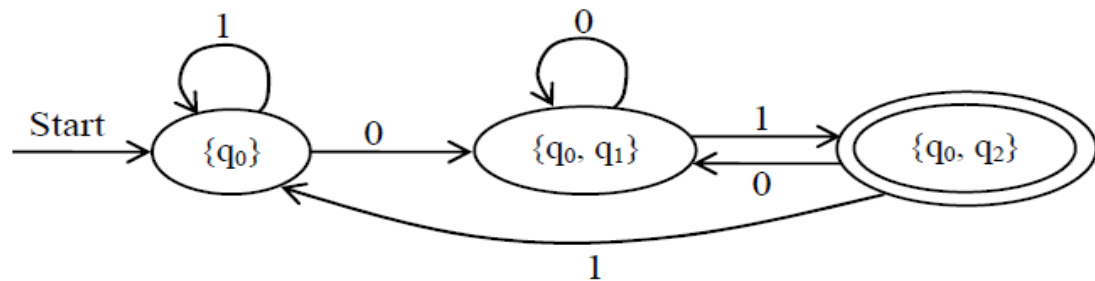
$\delta:$	0	1
A	A	A
$\rightarrow B$	E	B
C	A	D
*D	A	A
E	E	F
*F	E	B
*G	A	D
*H	E	F

The equivalent DFA is





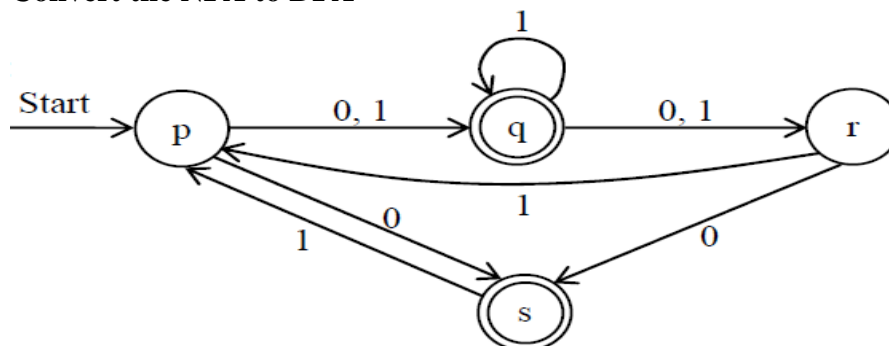
Or



The other state are removed because they are not reachable from start state.

### Example2

Convert the NFA to DFA



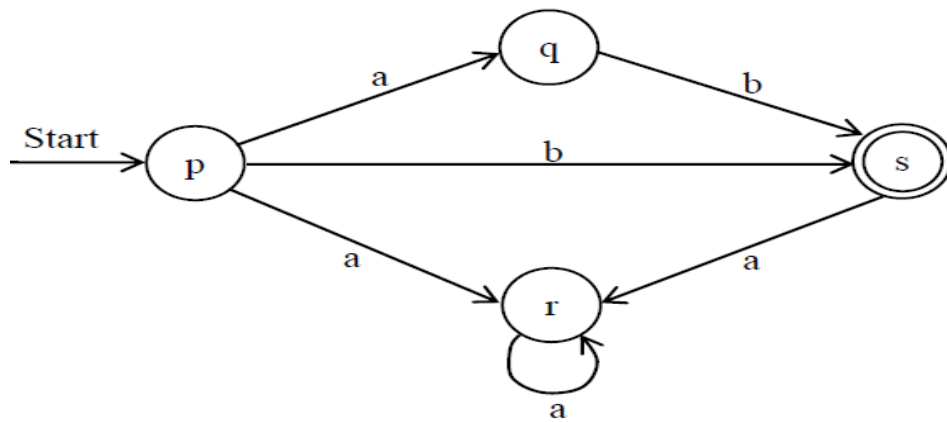
Solution: using the subset construction we have the DFA as

$\delta:$	0	1
$\phi$	$\phi$	$\phi$
$\rightarrow \{p\}$	$\{q, s\}$	$\{q\}$
$*\{q, s\}$	$\{r\}$	$\{p, q, r\}$
$*\{q\}$	$\{r\}$	$\{q, r\}$
$\{r\}$	$\{s\}$	$\{p\}$
$*\{p, q, r\}$	$\{q, r, s\}$	$\{p, q, r\}$
$*\{q, r\}$	$\{r, s\}$	$\{p, q, r\}$
$*\{s\}$	$\phi$	$\{p\}$
$*\{q, r, s\}$	$\{r, s\}$	$\{p, q, r\}$
$*\{r, s\}$	$\{s\}$	$\{p\}$

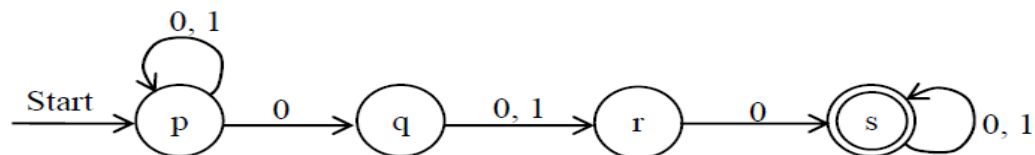
Draw the DFA diagram from above transition table yourself.

### Exercises

1. Convert the following NFA to DFA



- 2.



### 3.Question from text book: 2.3.1, 2.3.2

#### Theorem 1:

For any NFA,  $N = (Q_N, \Sigma, \delta_N, q_0, F_N)$  accepting language  $L \subseteq \Sigma^*$  there is a DFA  $D = (Q_D, \Sigma, \delta_D, q_0', F_D)$  that also accepts  $L$  i.e.  $L(N) = L(D)$ .

Proof: -

The DFA  $D$ , say can be defined as;

$$Q_D = 2^{Q_N}, q_0' = \{q_0\}$$

Let  $S = \{p_1, p_2, p_3, \dots, p_k\} \in Q_D$ . Then for  $S \in Q_D$  &  $a \in \Sigma$ ,

$$\delta_D(S, a) = \bigcup_{p_i \in S} \delta_N(p_i, a)$$

$$F_D = \{S / S \in Q_D \text{ \& } S \cap F_N \neq \phi \}$$

The fact that D accepts the same language as N is as;  
for any string  $w \in \Sigma^*$ ;

$$\hat{\delta}_N(q_0, w) = \hat{\delta}_D(q_0, w)$$

Thus, we prove this fact by induction on length of w.

***Basis Step:***

Let  $|w| = 0$ , then  $w = \epsilon$ ,

$$\hat{\delta}_N(q_0, \epsilon) = \{q_0\} = q_0 = \hat{\delta}_D(q_0, \epsilon)$$

***Induction step;***

Let  $|w| = n + 1$  is a string such that  $w = xa$  &  $|x| = n$ ,  $|a| = 1$ ; a being last symbol.

Let the inductive hypothesis is that x satisfies.

Thus,

$$\hat{\delta}_D(q_0', x) = \hat{\delta}_N(q_0, x), \text{ let these states be } \{p_1, p_2, p_3, \dots p_k\}$$

Now,

$$\begin{aligned} \hat{\delta}_N(q_0, w) &= \hat{\delta}_N(q_0, xa) \\ &= \delta_N(\hat{\delta}_N(q_0, x), a) \\ &= \delta_N(\{p_1, p_2, p_3, \dots p_k\}, a) \text{ [Since, from inductive step]} \\ &= \cup \delta_N(p_i, a) \dots \dots \dots (1) \end{aligned}$$

Also

$$\begin{aligned} \hat{\delta}_D(q_0', w) &= \hat{\delta}_D(q_0', xa) \\ &= \delta_D(\hat{\delta}_D(q_0', x), a) \\ &= \delta_D(\hat{\delta}_N(q_0, x), a) \text{ [Since, by the inductive step as it is true for x]} \\ &= \delta_D(\{p_1, p_2, p_3, \dots p_k\}, a) \text{ [Since, from inductive step]} \end{aligned}$$

Now, from subset construction, we can write,

$$\delta_D(\{p_1, p_2, p_3, \dots p_k\}, a) = \cup \delta_N(p_i, a)$$

so, we have

$$\hat{\delta}_D(q_0', w) = \cup \delta_N(p_i, a) \dots \dots \dots (2)$$

Now we conclude from 1 and 2 that

$$\hat{\delta}_N(q_0, w) = \hat{\delta}_D(q_0, w).$$

Hence, if this relation is true for  $|x| = n$ , then it is also true for  $|w| = n + 1$ .

$\therefore$  DFA D & NFA N accepts the same language.

i.e.  $L(D) = L(N)$  **Proved.**

### Theorem 2:

A language L is accepted by some DFA if and only if L is accepted by some NFA.

Proof:

‘if’ part (A language is accepted by some DFA if L is accepted by some NFA):

It is the subset construction and is proved in previous theorem. In exam, you should write the proof of previous theorem here.

### Only if part (a language is accepted by some NFA if L is accepted by some DFA):

Here we have to convert the DFA into an identical NFA.

Consider we have a DFA  $D = (Q_D, \Sigma, \delta_D, q_0, F_D)$ .

This DFA can be interpreted as a NFA having the transition diagram with exactly one choice of transition for any input.

Let NFA  $N = (Q_N, \Sigma, \delta_N, q_0', F_N)$  to be equivalent to D.

Where  $Q_N = Q_D$ ,  $F_N = F_D$ ,  $q_0' = q_0$  and  $\delta_N$  is defined by the rule

**If  $\delta_D(p, a) = q$  then  $\delta_N(p, a) = \{q\}$ .**

Then to show if L is accepted by D then it is also accepted by N, it is sufficient to show, for any string  $w \in \Sigma^*$ ,  $\hat{\delta}_D(q_0, w) = \hat{\delta}_N(q_0, w)$

We can prove this fact using induction on length of the string.

*Basis step:* -

Let  $|w| = 0$  i.e.  $w = \epsilon$

$$\therefore \hat{\delta}_D(q_0, w) = \hat{\delta}_D(q_0, \epsilon) = q_0$$

$$\hat{\delta}_N(q_0, w) = \hat{\delta}_N(q_0, \epsilon) = \{q_0\}$$

$$\therefore \hat{\delta}_D(q_0, w) = \hat{\delta}_N(q_0, w) \text{ for } |w| = 0 \text{ is true.}$$

*Induction:* -

Let  $|w| = n + 1$  &  $w = xa$ . Where  $|x| = n$  &  $|a| = 1$ ; a being the last symbol.

Let the inductive hypothesis is that it is true for x.

$\therefore$  if  $\hat{\delta}_D(q_0, x) = p$ , then  $\hat{\delta}_N(q_0, x) = \{p\}$

i.e.  $\hat{\delta}_D(q_0, x) = \hat{\delta}_N(q_0, x)$

Now,

$$\begin{aligned}\hat{\delta}_D(q_0, w) &= \hat{\delta}_D(q_0, xa) \\ &= \delta_D(\hat{\delta}_D(q_0, x), a) \\ &= \delta_D(p, a) \text{ [from inductive step } \hat{\delta}_D(q_0, x) = p] \\ &= r, \text{ say}\end{aligned}$$

Now,

$$\begin{aligned}\hat{\delta}_N(q_0, w) &= \hat{\delta}_N(q_0, xa) \\ &= \delta_N(\hat{\delta}_N(q_0, x), a) \text{ [from inductive steps]} \\ &= \delta_N(\{p\}, a) \\ &= r \text{ [from the rule that define } \delta_N]\end{aligned}$$

Hence proved. i.e.  $\hat{\delta}_D(q_0, w) = \hat{\delta}_N(q_0, w)$

### NFA with $\epsilon$ -transition ( $\epsilon$ -NFA)

This is another extension of finite automata. The new feature that it incorporates is, it allows a transition on  $\epsilon$ , the empty string, so that a NFA could make a transition spontaneously without receiving an input symbol.

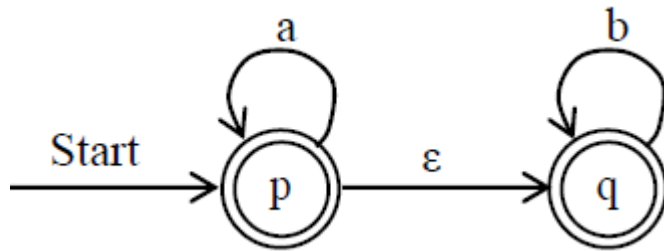
Like Non-determinism added to DFA, this feature does not expand the class of language that can be accepted by finite automata, but it does give some added programming convenience. This is very helpful when we study regular expression (RE) and prove the equivalence between class of language accepted by RE and finite automata.

A NFA with  $\epsilon$ -transition is defined by five tuples  $(Q, \Sigma, \delta, q_0, F)$ , where;

$Q$  = set of finite states  
 $\Sigma$  = set of finite input symbols  
 $q_0$  = Initial state,  $q_0 \in Q$   
 $F$  = set of final states;  $F \subseteq Q$   
 $\delta$  = a transition function that maps;  
 $Q \times \Sigma \cup \{\epsilon\} \rightarrow 2^Q$

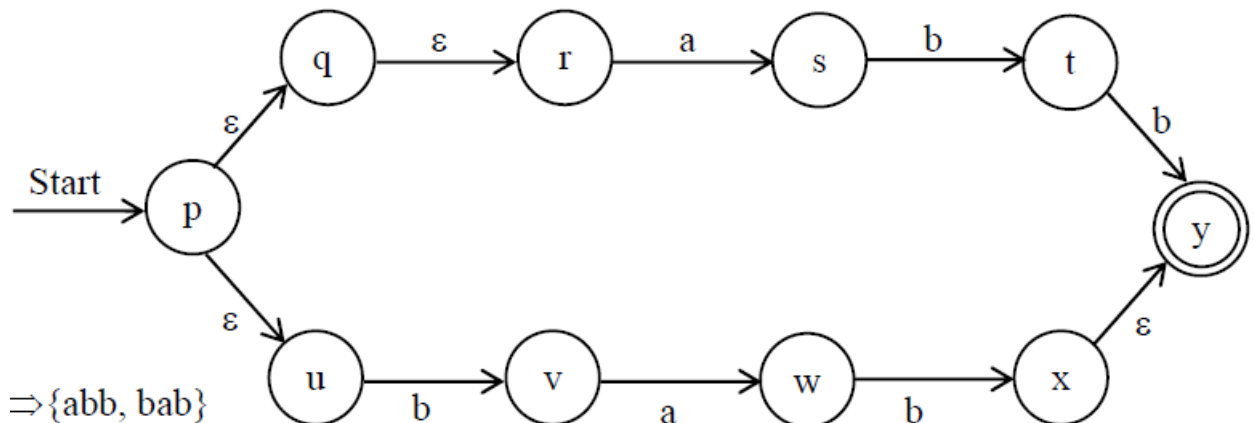
For examples:

1.



This accepted the language  $\{a, aa, ab, abb, b, bbb, \dots\}$

2.



### **$\epsilon$ -closure of a state:**

$\epsilon$ -closure of a state 'q' can be obtained by following all transitions out of q that are labeled  $\epsilon$ . After we get to another state by following  $\epsilon$ , we follow the  $\epsilon$ -transitions out of those states & so on, eventually finding every state that can be reached from q along any path whose arcs are all labeled  $\epsilon$ .

Formally, we can define  $\epsilon$ -closure of the state q as;

*Basis:* state q is in  $\epsilon$ -closure (q).

*Induction:* If state q is reached with  $\epsilon$ -transition from state q, p is in  $\epsilon$ -closure (q). And if there is an arc from p to r labeled  $\epsilon$ , then r is in  $\epsilon$ -closure (q) and so on.

### **Extended Transition Function of $\epsilon$ -NFA: -**

The extended transition function of  $\epsilon$ -NFA denoted by  $\hat{\delta}$ , is defined as;

- i) BASIS STEP: -  $\hat{\delta}(q, \epsilon) = \epsilon\text{-closure}(q)$   
 ii) INDUCTION STEP: -

Let  $w = xa$  be a string, where  $x$  is substring of  $w$  without last symbol  $a$  and  $a \in \Sigma$  but  $a \neq \epsilon$ .

Let  $\hat{\delta}(q, x) = \{p_1, p_2, \dots, p_k\}$  i.e.  $p_i$ 's are the states that can be reached from  $q$  following path labeled  $x$  which can end with many  $\epsilon$  & can have many  $\epsilon$ .

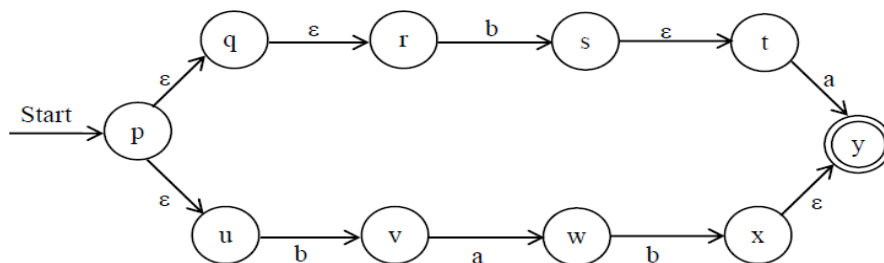
Also let,

$$\bigcup_{i=1}^k \delta(p_i, a) = \{r_1, r_2, \dots, r_m\}$$

then

$$\delta(q, x) = \bigcup_{j=1}^m \epsilon\text{-closure}(r_j)$$

Example



**Now compute for string ba**

$$\hat{\delta}(p, \epsilon) = \epsilon\text{-closure}(p) = \{p, q, r, u\}$$

Compute for b i.e.

- $\delta(p, b) \cup \delta(q, b) \cup \delta(r, b) \cup \delta(u, b) = \{s, v\}$
- $\epsilon\text{-closure}(s) \cup \epsilon\text{-closure}(v) = \{s, t, v\}$

Computer for next input 'a'

- $\delta(s, a) \cup \delta(t, a) \cup \delta(v, a) = \{y, w\}$
- $\epsilon\text{-closure}(y) \cup \epsilon\text{-closure}(w) = \{y, w\}$

The final result set contains the one of the final state so the string is accepted.

