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# Scheduling Policies

Lecture 13

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# CS241 Administrative

- ❑ Read Chapter 9. 1 and 9.2 Stallings
- ❑ SMP3 is ON this week (2/12-2/19)

# Content of This Lecture

Why CPU Scheduling?

Basic scheduling algorithms

- FIFO (FCFS)

- Shortest job first

- Round Robin

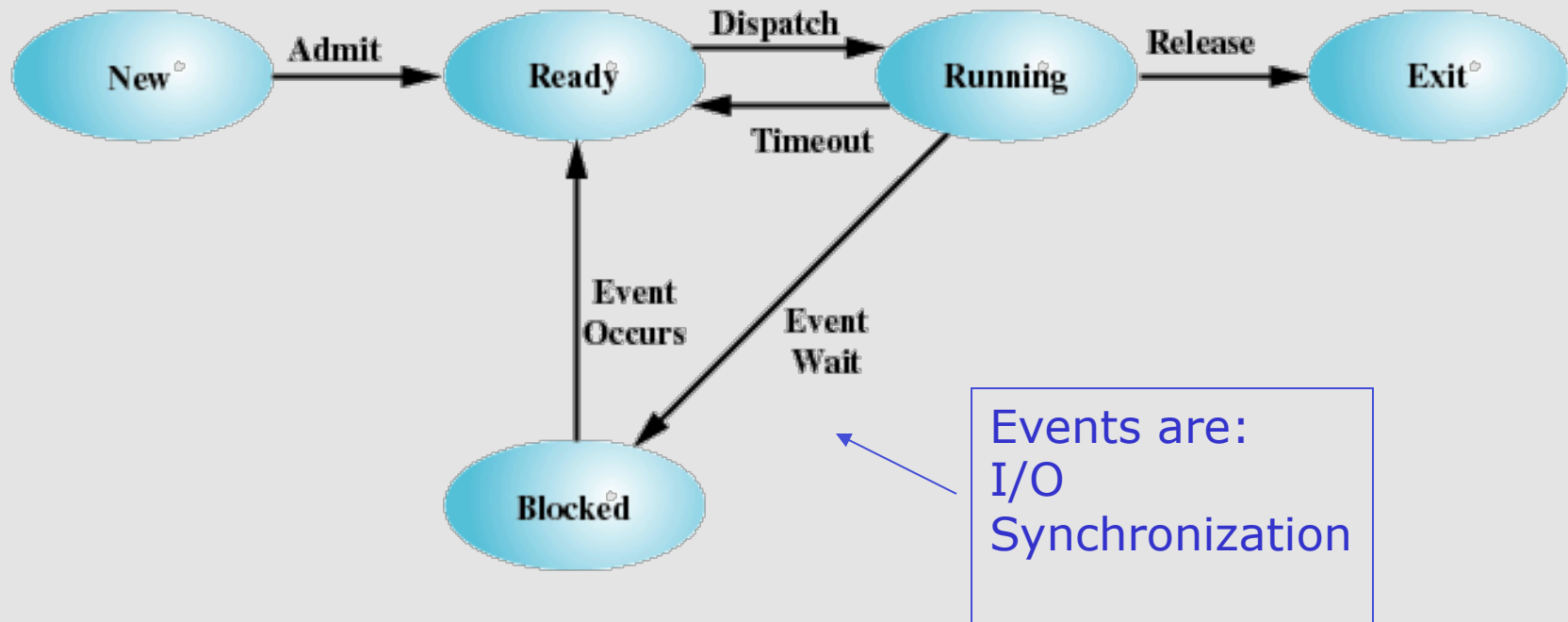
- Priority Scheduling

Goals:

- Understand how your program is executed on the machine together with other programs

- SMP4

# Review: State Process Model



**Figure 3.6 Five-State Process Model**

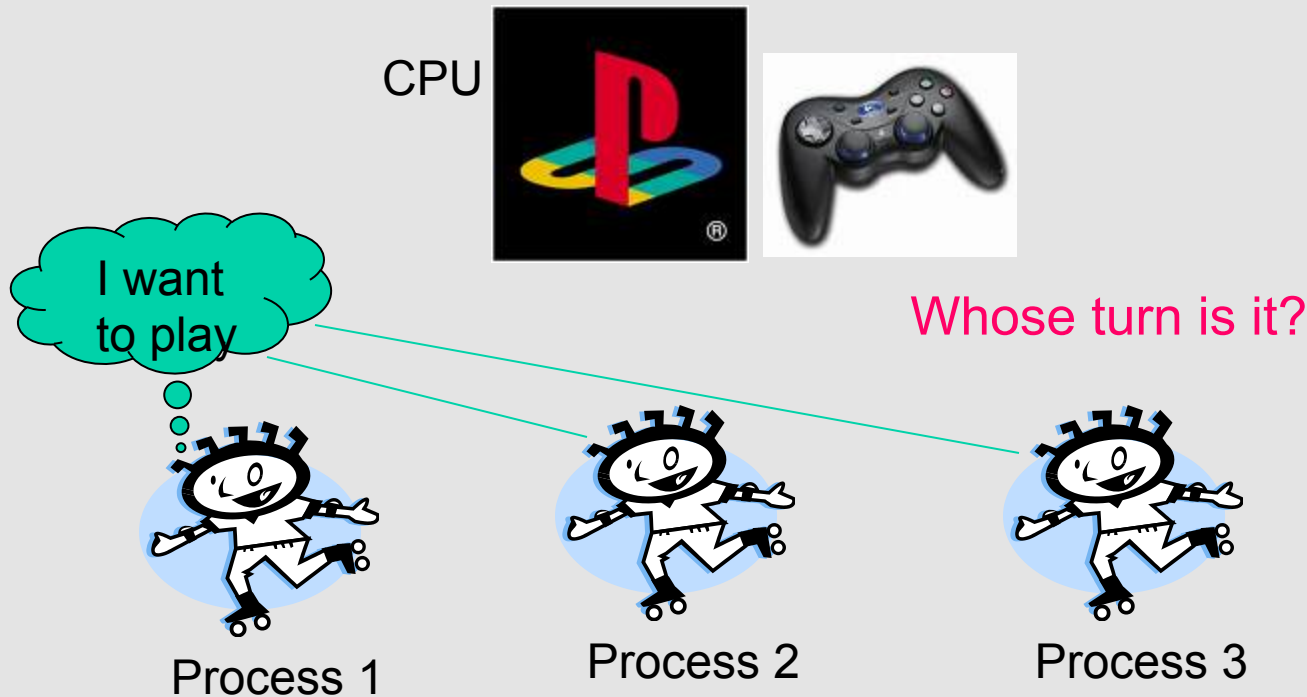
# OS Representation of Process via Process Control Block (PCB)

Process management	Memory management	File management
Registers Program counter Program status word Stack pointer Process state Priority Scheduling parameters Process ID Parent process Process group Signals Time when process started CPU time used Children's CPU time Time of next alarm	Pointer to text segment Pointer to data segment Pointer to stack segment	Root directory Working directory File descriptors User ID Group ID

Fields of a process table entry

# Process Scheduling

Deciding which process/thread should occupy the resource (CPU, disk, etc)



# Process Scheduling

**Objective of multiprogramming** – maximal CPU utilization, i.e., have always a process running

**Objective of time-sharing** – switch CPU among processes frequently enough so that users can interact with a program which is running

Need:

**Scheduling Mechanisms:**

**Context Switching** between Processes

**Queueing Capabilities**

**Scheduling Policies:**

**Which process to select for running at CPU ?**

# Context Switch

Switch CPU from one process to another

Performed by scheduler

It includes:

- save PCB state of the old process;

- load PCB state of the new process;

- Flush memory cache;

- Change memory mapping (TLB);

**Context switch is expensive** (1-1000 microseconds)

- No useful work is done (pure overhead)

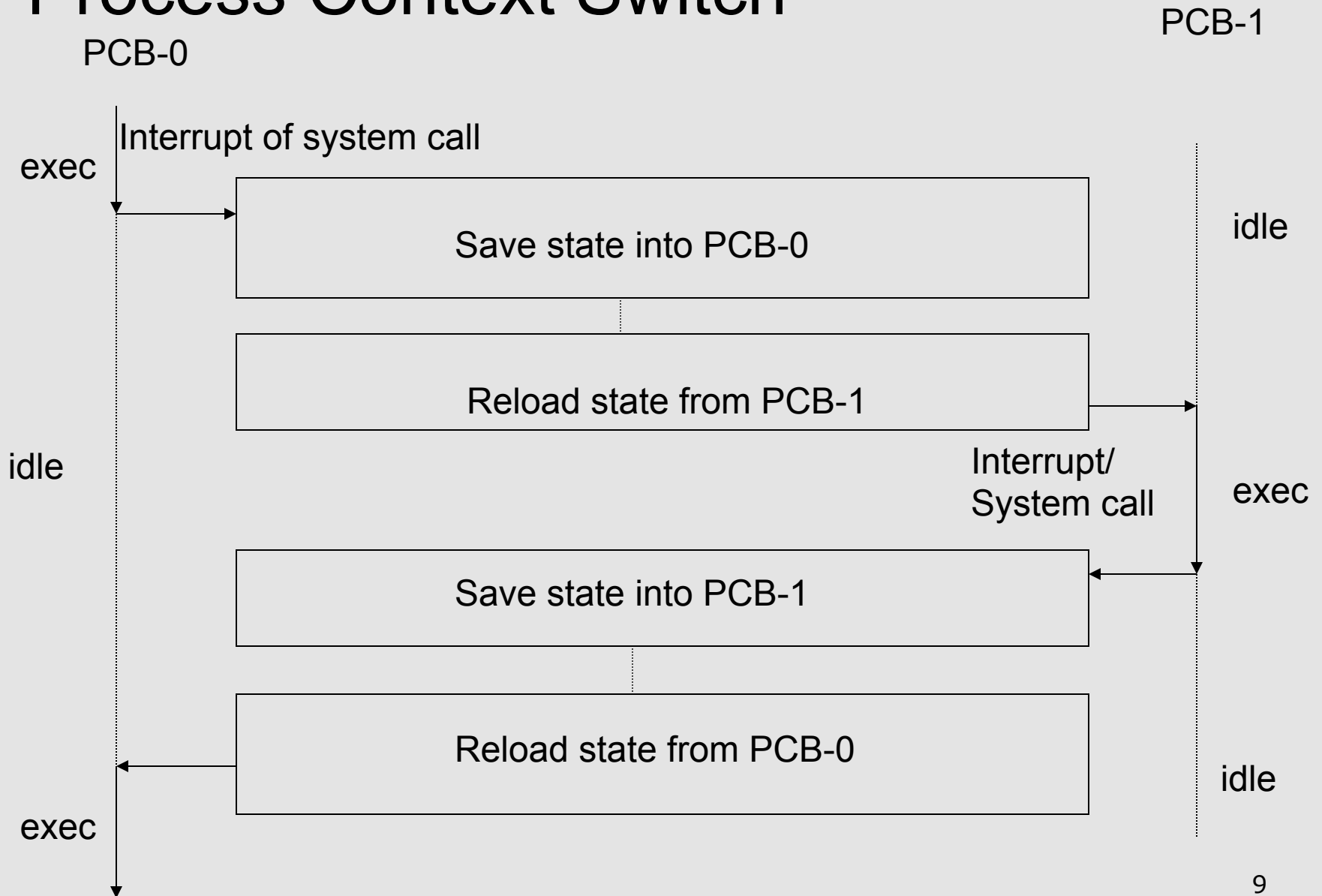
- Can become a bottleneck

**Real life analogy?**

Need hardware support



# Process Context Switch



# When to schedule?

A new process starts

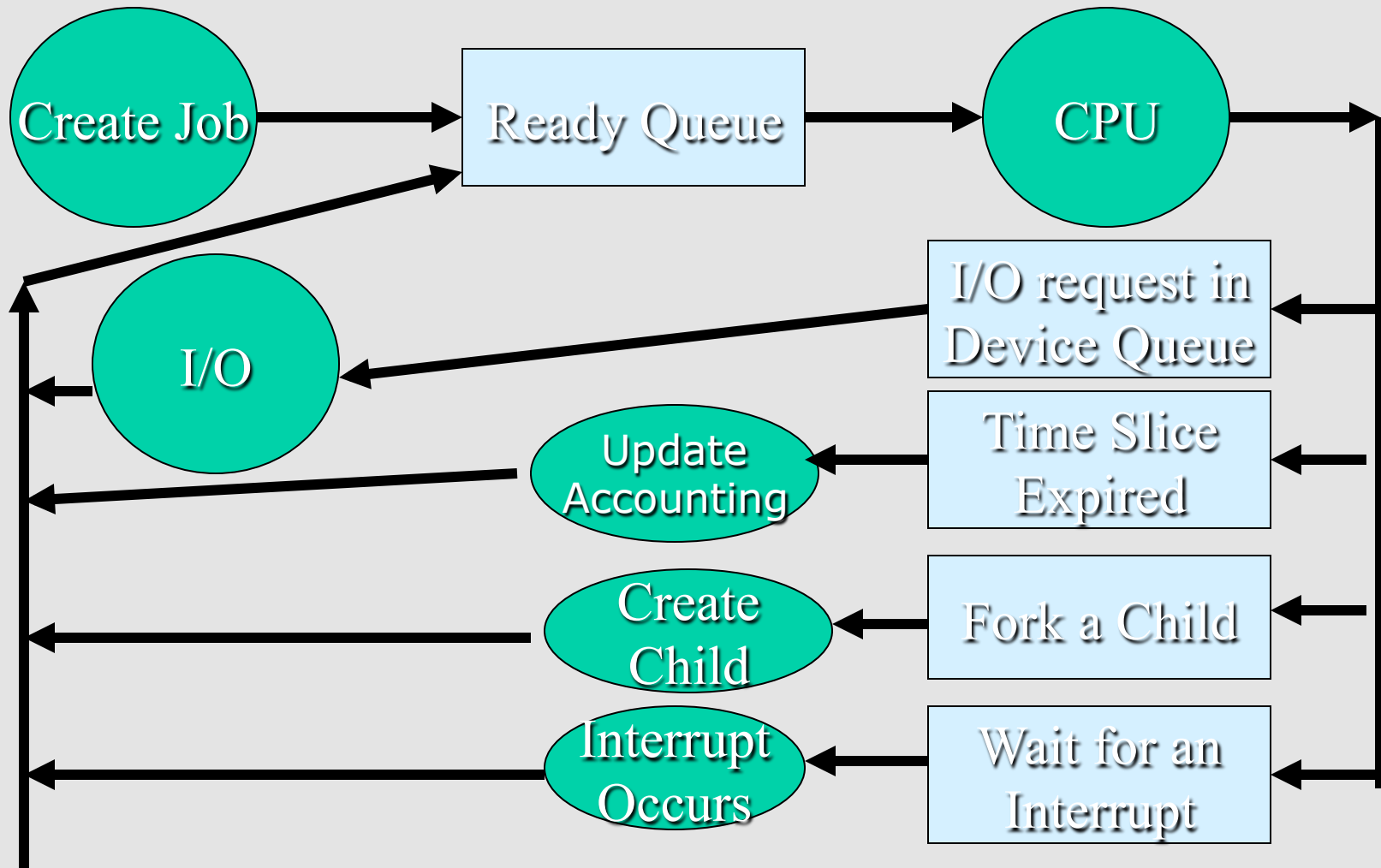
The running process exits

The running process is blocked

I/O interrupt (some processes will be ready)

Clock interrupt (every 10 milliseconds)

# Queuing Diagram for Processes



# Preemptive vs. Non-preemptive

## Non-preemptive scheduling:

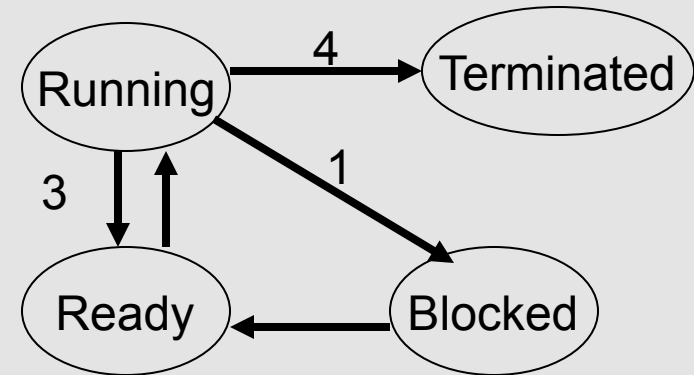
The running process keeps the CPU until it

**voluntarily** gives up the CPU

process exits

switches to blocked state

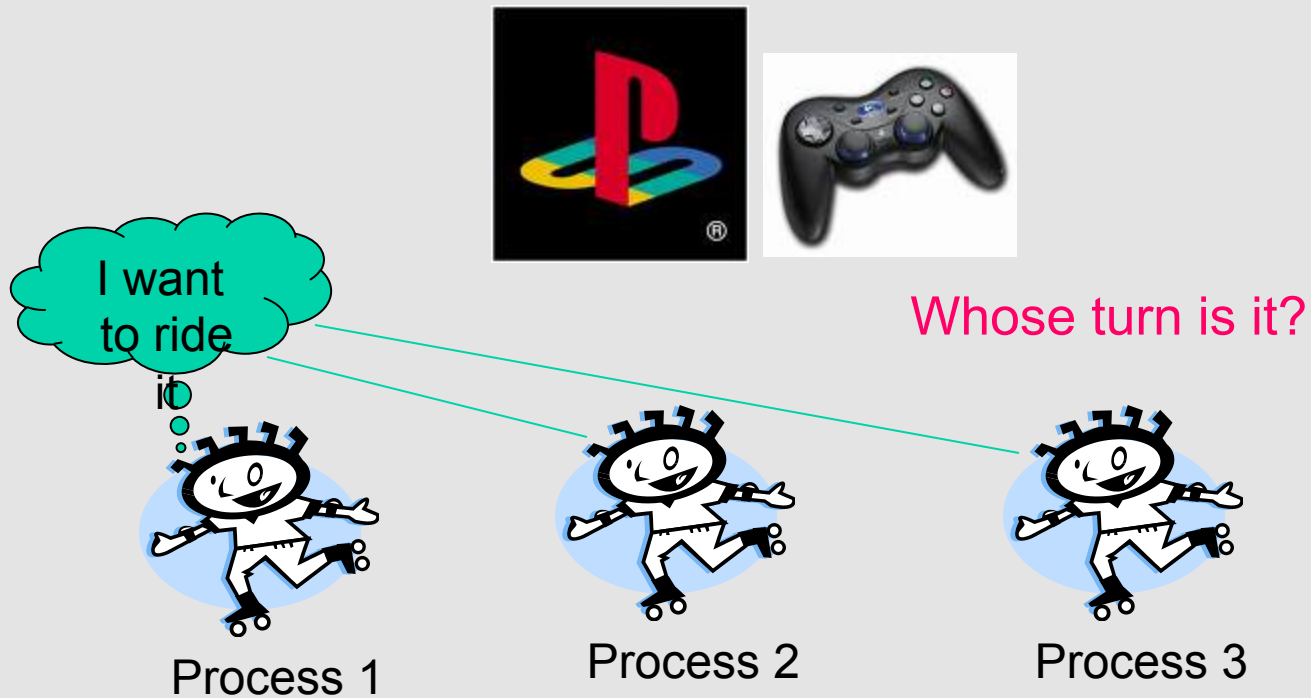
1 and 4 only (no 3)



## Preemptive scheduling:

The running process can be interrupted and must release the CPU (can be **forced** to give up CPU)

# What are the scheduling objectives?



# Scheduling Objectives

**Fair** (nobody cries)

**Priority** (lady first)

**Efficiency** (make best use of equipment)

**Encourage good behavior** (good boy/girl)

**Support heavy loads** (degrade gracefully)

**Adapt to different environments** (interactive, real-time, multi-media)

# Performance Criteria

## Fairness

**Efficiency:** keep resources as busy as possible

**Throughput:** # of processes that completes in unit time

**Turnaround Time** (also called elapse time)

amount of time to execute a particular process from the time its entered

## Waiting Time

amount of time process has been waiting in ready queue

## Response Time

amount of time from when a request was first submitted until first response is produced.

predictability and variance

## Policy Enforcement:

seeing that stated policy is carried out

## Proportionality:

meet users' expectation

**Meeting Deadlines:** avoid losing data

# Process Profiles

## I/O – Bound

Does too much I/O to keep CPU busy

## CPU – Bound

Does too much computation to keep I/O busy

## Process Mix

Scheduling should load balance between I/O bound and CPU-bound processes

Ideal would be to run all equipment at 100% utilization but that would not necessarily be good for response time



# CPU Scheduler

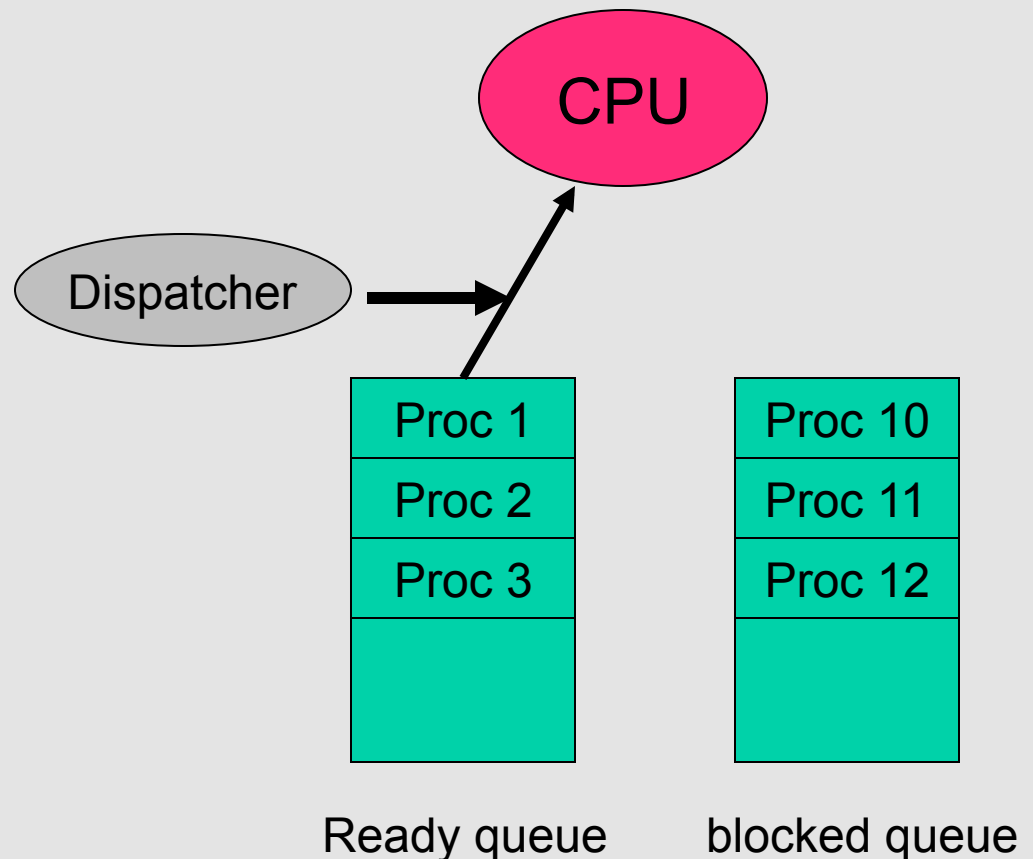
Proc 1: 14 time units

Proc2: 8 time units

Proc3: 8 time units

Dispatcher

Preemptive vs.  
non-preemptive



# Simple Processor Scheduling Algorithms

## Batch systems

- First Come First Serve (FCFS)

- Shortest Job First

## Interactive Systems

- Round Robin

- Priority Scheduling

- ...

# First Come First Serve (FCFS)

Process that requests the CPU FIRST is allocated the CPU FIRST.

Also called FIFO

Preemptive or Non-preemptive?

Used in Batch Systems

Real life analogy?

Fast food restaurant

Implementation

- FIFO queues

- A new process enters the tail of the queue

- The schedule selects from the head of the queue.

Performance Metric: **Average Waiting Time.**

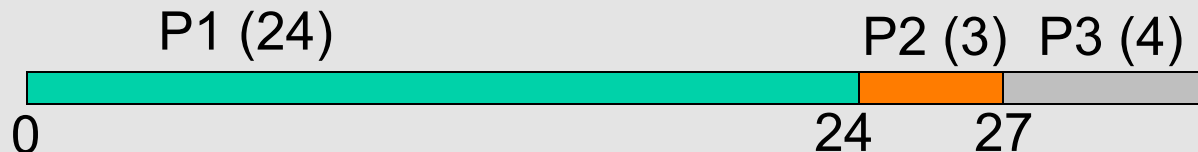
Given Parameters:

- Burst Time (in ms), Arrival Time and Order

# FCFS Example

Process	Duration	Order	Arrival Time
P1	24	1	0
P2	3	2	0
P3	4	3	0

The final schedule:



P1 waiting time: 0  
P2 waiting time: 24  
P3 waiting time: 27

The average waiting time:  
 $(0+24+27)/3 = 17$

What if P1 arrives at time 2

# Problems with FCFS

Non-preemptive

Not optimal AWT

Cannot utilize resources in parallel:

Assume 1 process CPU bounded and many I/O  
bounded processes

result: Convoy effect, low CPU and I/O Device  
utilization

Why?

# Summary

Why Scheduling?

Scheduling objectives

Scheduling Algorithms

FCFS (FIFO)