Chapter 3: Summarizing Data

3.1 Creating an Accumulating Total Variable 3.2 Accumulating Totals for a Group of Data

Objectives

- Explain how SAS initializes the value of a variable in the PDV.
- Prevent reinitialization of a variable in the PDV.
- Create an accumulating variable.

Business Scenario

A retail manager for Orion Star Sportswear asked to see her department's daily sales for April, as well as a month-to-date total for each day.

Create a new data set, **mnthtot**, that includes the month-to-date total (**Mth2Dte**) for each day.

Partial Listing of mnthtot

SaleDate	Sale Amt	Mth2Dte
01APR2007	498.49	498.49
02APR2007	946.50	1444.99
03APR2007	994.97	2439.96
04APR2007	564.59	3004.55
05APR2007	783.01	3787.56

Input Data

The SAS data set **orion.aprsales** contains daily sales data from the Orion Star Sportswear department.

Partial Listing of orion.aprsales

SaleDate	SaleAmt
01APR2007	498.49
02APR2007	946.50
03APR2007	994.97
04APR2007	564.59
05APR2007	783.01
06APR2007	228.82
07APR2007	930.57

One observation for each day in April shows the date (SaleDate) and the total sales for that day (SaleAmt).

3.01 Quiz

Open and submit the program in **p203a01**. Does this program create the correct values for **Mth2Dte**?

```
data mnthtot;
    set orion.aprsales;
    Mth2Dte=Mth2Dte+SaleAmt;
run;
```

Creating an Accumulating Variable

By default, variables created with an assignment statement are initialized to missing at the top of each iteration of the DATA step.

Mth2Dte=Mth2Dte+SaleAmt;

Mth2Dte is an example of an *accumulating variable* that needs to keep its value from one observation to the next.

The RETAIN Statement

The RETAIN statement prevents SAS from reinitializing the values of new variables at the top of the DATA step.

General form of the RETAIN statement:

RETAIN *variable-name <initial-value>* ...;

Previous values of retained variables are available for processing across iterations of the DATA step.

The RETAIN Statement – Details

The RETAIN statement

- retains the value of the variable in the PDV across iterations of the DATA step
- initializes the retained variable to missing before the first iteration of the DATA step if an initial value is not specified
- is a compile-time-only statement.
- The RETAIN statement has no effect on variables that are read with SET, MERGE, or UPDATE statements; variables read from SAS data sets are automatically retained.

Create an Accumulating Variable

Retain the values of **Mth2Dte** and set an initial value.

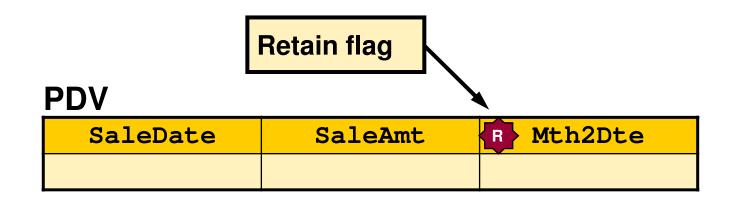
```
data mnthtot;
    set orion.aprsales;
    retain Mth2Dte 0;
    Mth2Dte=Mth2Dte+SaleAmt;
run;
```



If you do not supply an initial value, all the values of **Mth2Dte** will be missing.

Compilation: Create an Accumulating Variable

```
data mnthtot;
    set orion.aprsales;
    retain Mth2Dte 0;
    Mth2Dte=Mth2Dte+SaleAmt;
run;
```



SaleDate	SaleAmt
17257	498.49
17258	946.50
17259	994.97
17260	564.59
17261	783.01

```
data mnthtot;
   set orion.aprsales;
   retain Mth2Dte 0;
   Mth2Dte=Mth2Dte+SaleAmt;
run;
```

Initialize PDV.

PDV

SaleDate	SaleAmt	R Mth2Dte
	•	0

11

SaleDate	SaleAmt
17257	498.49
17258	946.50
17259	994.97
17260	564.59
17261	783.01

```
data mnthtot;
    set orion.aprsales;
    retain Mth2Dte 0;
    Mth2Dte=Mth2Dte+SaleAmt;
run;
```

PDV

SaleDate	SaleAmt	R Mth2Dte
17257	498.49	0

12

SaleDate	SaleAmt
17257	498.49
17258	946.50
17259	994.97
17260	564.59
17261	783.01

```
data mnthtot;
    set orion.aprsales;
    retain Mth2Dte 0;
    Mth2Dte=Mth2Dte+SaleAmt;
run;
```

0 + 498.49

PDV

SaleDate	SaleAmt	R Mth2Dte
17257	498.49	498.49

SaleDate	SaleAmt
17257	498.49
17258	946.50
17259	994.97
17260	564.59
17261	783.01

```
data mnthtot;
    set orion.aprsales;
    retain Mth2Dte 0;
    Mth2Dte=Mth2Dte+SaleAmt;
run;
```

Implicit OUTPUT; Implicit RETURN;

PDV

SaleDate	SaleAmt	R Mth2Dte
17257	498.49	498.49

Write observation to mnthtot

SaleDate	SaleAmt
17257	498.49
17258	946.50
17259	994.97
17260	564.59
17261	783.01

```
data mnthtot;
   set orion.aprsales;
   retain Mth2Dte 0;
   Mth2Dte=Mth2Dte+SaleAmt;
run;
```

Mth2Dte is not reinitialized.

PDV

SaleDate	SaleAmt	R Mth2Dte
17258	498.49	498.49

15

SaleDate	SaleAmt
17257	498.49
17258	946.50
17259	994.97
17260	564.59
17261	783.01

```
data mnthtot;
    set orion.aprsales;
    retain Mth2Dte 0;
    Mth2Dte=Mth2Dte+SaleAmt;
run;
```

PDV

SaleDate	SaleAmt	R Mth2Dte
17258	946.50	498.49

SaleDate	SaleAmt
17257	498.49
17258	946.50
17259	994.97
17260	564.59
17261	783.01

```
data mnthtot;
    set orion.aprsales;
    retain Mth2Dte 0;
    Mth2Dte=Mth2Dte+SaleAmt;
run;
```

498.49 + 946.50

PDV

SaleDate	SaleAmt	R Mth2Dte
17258	946.50	1444.99

SaleDate	SaleAmt
17257	498.49
17258	946.50
17259	994.97
17260	564.59
17261	783.01

```
data mnthtot;
    set orion.aprsales;
    retain Mth2Dte 0;
    Mth2Dte=Mth2Dte+SaleAmt;
run;
```

Implicit OUTPUT; Implicit RETURN;

PDV

SaleDate	SaleAmt	R Mth2Dte
17258	946.50	1444.99

Write observation to mnthtot

SaleDate	SaleAmt
17257	498.49
17258	946.50
17259	994.97
17260	564.59
17261	783.01

```
data mnthtot;
   set orion.aprsales;
   retain Mth2Dte 0;
   Mth2Dte=Mth2Dte+SaleAmt;
run;
```

Mth2Dte is not reinitialized.

PDV

SaleDate	SaleAmt	Mth2Dte	
17258	946.50	1444.99	

SaleDate	SaleAmt
17257	498.49
17258	946.50
17259	994.97
17260	564.59
17261	783.01

```
data mnthtot;
    set orion.aprsales;
    retain Mth2Dte 0;
    Mth2Dte=Mth2Dte+SaleAmt;
run;
```

Continue until EOF.

PDV

SaleDate	SaleAmt	R Mth2Dte
17258	946.50	1444.99

Create an Accumulating Variable

```
proc print data=mnthtot noobs;
   format SaleDate date9.;
run;
```

Partial PROC PRINT Output

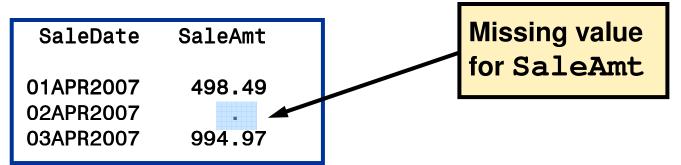
SaleDate	Sale Amt	Mth2Dte	
01APR2007	498.49	498.49	
02APR2007	946.50	1444.99	
03APR2007	994.97	2439.96	
04APR2007	564.59	3004.55	
05APR2007	783.01	3787.56	

Setup for the Poll

What happens if there are missing values for **SaleAmt**?

Open and submit **p203a02** and examine the output.

Partial listing of input data

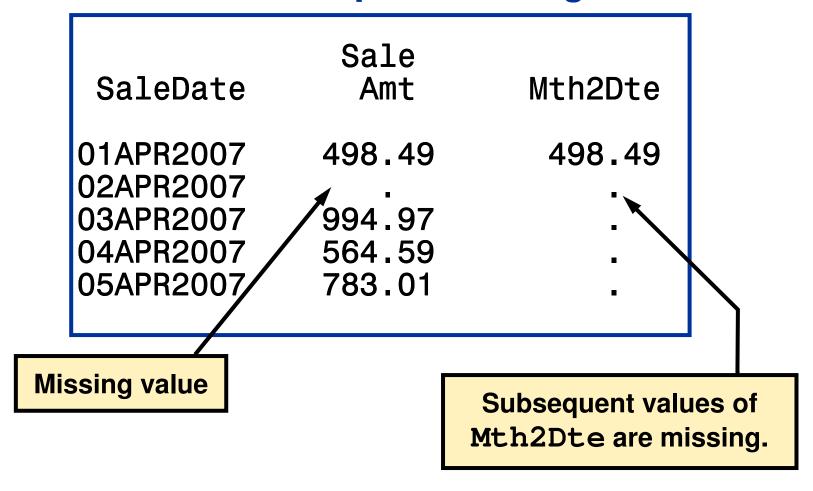


3.02 Multiple Choice Poll

What effect did the missing value for **SaleAmt** have on **Mth2Dte**?

- a. The missing value was ignored; **Mth2Dte** values were not affected.
- b. The missing value will cause the DATA step to stop processing.
- c. The missing value will cause the subsequent values for **Mth2Dte** to be set to missing.

Undesirable Output: Missing Values



The SUM Function

A RETAIN statement along with a SUM function in an assignment statement can be used to create **Mth2Dte**.

```
retain Mth2Dte 0;
Mth2Dte=sum(Mth2Dte,SaleAmt);
```

The SUM function ignores missing values.

The Sum Statement

When you create an accumulating variable, a better alternative is to use the sum statement.

General form of the sum statement:

Example:

Mth2Dte+SaleAmt;

The Sum Statement – Details

The sum statement

- creates the variable on the left side of the plus sign if it does not already exist
- initializes the variable to zero before the first iteration of the DATA step
- automatically retains the variable
- adds the value of expression to the variable at execution
- ignores missing values.

The Sum Statement – Example

Use the sum statement to create Mth2Dte.

```
data mnthtot2;
    set work.aprsales2;
    Mth2Dte+SaleAmt;
run;
```

Specifics about **Mth2Dte**:

- Initialized to zero
- Automatically retained
- Increased by the value of SaleAmt for each observation
- Ignored missing values of SaleAmt

The Sum Statement – Example

```
proc print data=mnthtot2 noobs;
   format SaleDate date9.;
run;
```

Partial PROC PRINT Output

SaleDate	SaleAmt	Mth2Dte	
01APR2007	498.49	498.49	
02APR2007	•	498.49	
03APR2007	994.97	1493.46	
04APR2007	564.59	2058.05	
05APR2007	783.01	2841.06	

Chapter 3: Summarizing Data

3.1 Creating an Accumulating Total Variable 3.2 Accumulating Totals for a Group of Data

Objectives

- Define First. and Last. processing.
- Calculate an accumulating total for groups of data.
- Use a subsetting IF statement to output selected observations.

Business Scenario

The SAS data set **orion.specialsals** contains information about employees working on special projects.

Partial Listing of orion.specialsals

Employee_ ID	Salary	Dept
110004 110009 110011 110036 110037	42000 34000 27000 20000 19000	HUMRES ENGINR FINANC ENGINR ENGINR

The **Salary** variable represents the portion of the employee's salary allocated to the project. An analyst would like to see these salary totals by department.

Desired Output

Create a new data set, **deptsals**, that has the total salaries for each department.

Listing of deptsals

Dept	DeptSal	
ADMIN ENGINR FINANC HUMRES SALES	410000 163000 318000 181000 373000	

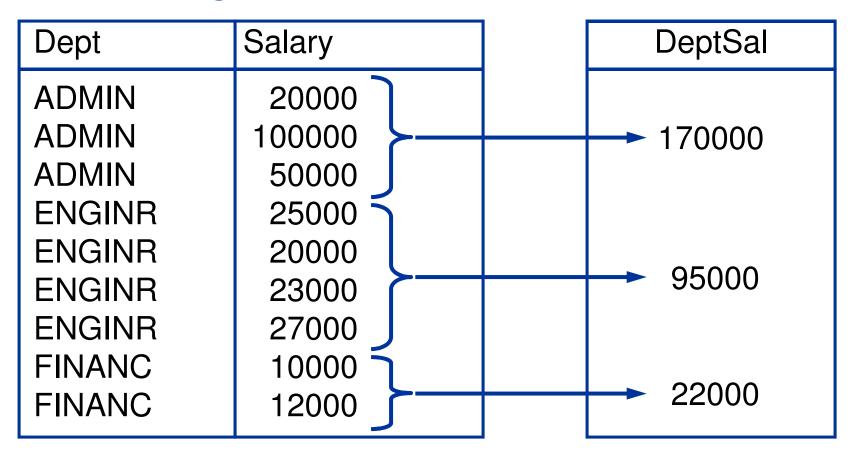
Processing Needed

Dept	Salary
ADMIN	20000
ADMIN	100000
ADMIN	50000
ENGINR	25000
ENGINR	20000
ENGINR	23000
ENGINR	27000
FINANC	10000
FINANC	12000

DeptSal	

Step 1: Sort the data by Dept.

Processing Needed



Step 2: Summarize the observations by department groups.

3.03 Multiple Choice Poll

How often do you work with data that needs to be processed by groups?

- a. All the time
- b. Regularly
- c. Not often

The SORT Procedure (Review)

You can rearrange the observations into groups using the SORT procedure.

General form of a PROC SORT step:

```
PROC SORT DATA=input-SAS-data-set 
<OUT=output-SAS-data-set>;
BY <DESCENDING> BY-variable ...;
RUN;
```

BY-Group Processing

The BY statement in the DATA step enables SAS to process data in groups.

General form of a BY statement in a DATA step:

```
DATA output-SAS-data-set;
SET input-SAS-data-set;
BY BY-variable ...;
<additional SAS statements>
RUN;
```

BY-Group Processing

This is a good start for the SAS program ...

```
proc sort data=orion.specialsals
  out=salsort;
  by Dept;
run;

data deptsals(keep=Dept DeptSal);
  set salsort;
  by Dept;
  <additional SAS statements>
run;
Step 1: Sort
by Dept
by Dept
groups
```

...but you need some way to identify the beginning and end of each department's group of observations.

First. and Last. Values

A BY statement in a DATA step creates two temporary variables for each variable listed in the BY statement.

General form of the First. and Last. variables:

First. BY-variable Last. BY-variable

- The First. variable has a value of 1 for the first observation in a BY group; otherwise, it equals 0.
- The Last. variable has a value of 1 for the *last* observation in a BY group; otherwise, it equals 0.

First. / Last. Values – 1st DATA Step Iteration

Dept	Salary
ADMIN	20000
ADMIN	100000
ADMIN	50000
ENGINR	25000
ENGINR	20000
ENGINR	23000
ENGINR	27000
FINANC	10000
FINANC	12000

First.Dept
1

Last.Dept ?

How can SAS determine the value for Last. Dept?

First. / Last. Values – 1st DATA Step Iteration

Dept	Salary		First.Dept
ADMIN	20000		1
ADMIN _	100000	•	
ADMIN	50000		
ENGINR	25000		Last.Dept
ENGINR	20000		0
ENGINR	23000		
ENGINR	27000		
FINANC	10000		ks ahead at the next
FINANC	12000		tion to determine
		Last.I	Dept value.

First. / Last. Values – 2nd DATA Step Iteration

Dept	Salary		First.Dept
ADMIN	20000		0
ADMIN	100000		
ADMIN	50000	•	
ENGINR	25000		Last.Dept
ENGINR	20000		0
ENGINR	23000		
ENGINR	27000		
FINANC	10000		
FINANC	12000		

First. / Last. Values – 3rd DATA Step Iteration

Dept	Salary		First.Dept
ADMIN	20000		0
ADMIN	100000		
ADMIN	50000		
ENGINR	25000	Ì	Last.Dept
ENGINR	20000		1
ENGINR	23000	'	
ENGINR	27000		
FINANC	10000		
FINANC	12000		

First. / Last. Values – 4th DATA Step Iteration

Dept	Salary		First.Dept
ADMIN	20000		1
ADMIN	100000		
ADMIN	50000		
ENGINR	25000		Last.Dept
ENGINR	20000	,	0
ENGINR	23000	'	
ENGINR	27000		
FINANC	10000		
FINANC	12000		

3.04 Quiz

What are the values for **First.Dept** and **Last.Dept** when the DATA step is processing the observation indicated by the red arrow?

Dept	Salary		First.Dept
ADMIN	20000		?
ADMIN	100000		
ADMIN	50000	4	
ENGINR	25000		Last.Dept
FINANC	10000	•	?
FINANC	12000	'	

What Must Happen When?

There is a three-part process for using the DATA step to summarize grouped data.

- Task 1: Set the accumulating variable to zero at the start of each BY group.
- Task 2: Increment the accumulating variable with a sum statement (automatically retains).
- Task 3: Output only the last observation of each BY group.

Task 1: Set the accumulating variable to zero at the start of each BY group.

```
data deptsals(keep=Dept DeptSal);
   set SalSort;
   by Dept;
   if First.Dept then DeptSal=0;
   <additional SAS statements>
run;
```

The condition is considered true when First.Dept has a value of 1.

Task 2: Increment the accumulating variable with a sum statement (automatically retains).

```
data deptsals(keep=Dept DeptSal);
    set SalSort;
    by Dept;
    if First.Dept then DeptSal=0;
    DeptSal+Salary;
    <additional SAS statements>
run;
```

Task 3: Output only the last observation of each BY group.

Dept	Salary	DeptSal
ADMIN	20000	20000
ADMIN	100000	120000
ADMIN	50000	170000
ENGINR	25000	25000
ENGINR	20000	45000
ENGINR	23000	68000
ENGINR	27000	95000
FINANC	10000	10000
FINANC	12000	22000

Subsetting IF Statement (Review)

The subsetting IF defines a condition that the observation must meet to be further processed by the DATA step.

General form of the subsetting IF statement:

IF expression;

- If the expression is true, the DATA step continues processing the current observation.
- If the expression is false, SAS returns to the top of the DATA step.

Task 3: Output only the last observation of each BY group.

```
data deptsals(keep=Dept DeptSal);
   set SalSort;
   by Dept;
   if First.Dept then DeptSal=0;
   DeptSal+Salary;
   if Last.Dept;
run;
```

p203d04

Partial SAS Log

```
NOTE: There were 39 observations read
```

from the data set WORK.SALSORT.

NOTE: The data set WORK.DEPTSALS has 5

observations and 2 variables.

```
proc print data=deptsals noobs;
run;
```

PROC PRINT Output

Dept	DeptSal
ADMIN	410000
ENGINR	163000
FINANC	318000
HUMRES	181000
SALES	373000

3.05 Multiple Answer Poll

What must happen in the DATA step to summarize data by groups? (Circle all that apply.)

- a. Sort the input data.
- b. Set the accumulating variable to zero at the start of each BY group.
- c. Increment the accumulating variable.
- d. Output only the last observation of each BY group.

Business Scenario

Each employee listed in **orion.projsals** is assigned to a special project. A business analyst would like to see the salary totals from each department for each special project.

Partial Listing of orion.projsals

Employee_ ID	Salary	Proj	Dept
110004 110009 110011 110036 110037 110048 110077 110097	42000 34000 27000 20000 19000 19000 27000 20000 31000	EZ WIN WIN EZ EZ CAP1 EZ EZ	HUMRES ENGINR FINANC ENGINR ENGINR FINANC ADMIN ADMIN ENGINR

Business Scenario – Desired Output

Create a new data set, **pdsals**, that shows the number of employees and salary totals from each department for each special project.

Partial Listing of pdsals

Proj	Dept	Dept Sal	Num Emps
CAP1	ADMIN ADMIN ENGINR FINANC HUMRES ADMIN	70000	2
EZ		83000	3
EZ		109000	4
EZ		122000	3
EZ		178000	5
NGEN		37000	2

Sorting by Project and Department

This is similar to the previous business scenario except that now the data must be sorted by multiple BY variables.

Sort the data by **Proj** and **Dept**:

- **Proj** is the primary sort variable.
- **Dept** is the secondary sort variable.

Sorting by Project and Department

```
proc print data=projsort noobs;
    var Proj Dept Salary;
run;
```

Partial PROC PRINT Output

Proj	Dept	Salary
CAP1	ADMIN	27000
CAP1	ADMIN	43000
EZ	ADMIN	20000
EZ	ADMIN	31000
EZ	ADMIN	32000
EZ	ENGINR	19000

The DATA step must include both **Proj** and **Dept** in the BY statement.

```
data pdsals;
    set projsort;
    by Proj Dept;
    <additional SAS statements>
run;
```

How does the DATA step set First. and Last. values for multiple BY variables?

First. / Last. Values – 1st DATA Step Iteration

Proj	Dept
CAP1	ADMIN
CAP1	ADMIN
CAP1	ADMIN
EZ	ADMIN
EZ	ENGINR
EZ	ENGINR
NGEN	ENGINR
NGEN	SALES

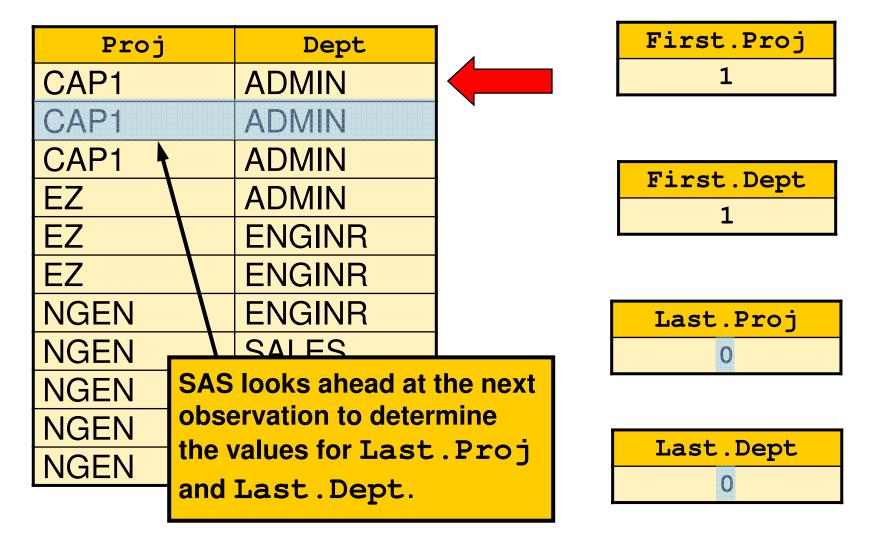




Last.Proj
?

Last.Dept			
?			

First. / Last. Values – 1st DATA Step Iteration

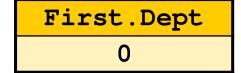


62

First. / Last. Values – 2nd DATA Step Iteration

Proj	Dept
CAP1	ADMIN
CAP1	ADMIN
CAP1	ADMIN
EZ	ADMIN
EZ	ENGINR
EZ	ENGINR
NGEN	ENGINR
NGEN	SALES





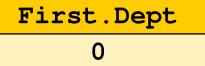
Last.Proj
0

Last.Dept
0

First. / Last. Values – 3rd DATA Step Iteration

Proj	Dept	
	_	
CAP1	ADMIN	
CAP1	ADMIN	
CAP1	ADMIN	
EZ	ADMIN	•
EZ	ENGINR	
EZ	ENGINR	
NGEN	ENGINR	
NGEN	SALES	





Last.Proj
1

Last.Dept 1

First. / Last. Values – 4th DATA Step Iteration

Proj	Dept	
CAP1	ADMIN	
CAP1	ADMIN	
CAP1	ADMIN	
EZ	ADMIN	
EZ	ENGINR	`
EZ	ENGINR	
NGEN	ENGINR	
NGEN	SALES	





Last.Proj
0

Last.Dept 1

First. / Last. Values – 5th DATA Step Iteration

		T .	
Proj	Dept		First
CAP1	ADMIN		
CAP1	ADMIN		
CAP1	ADMIN		First
EZ	ADMIN		FIRST
EZ	ENGINR		
EZ	ENGINR		
NGEN	ENGINR		Last
NGEN	SALES		
NGEN	SALES	•	
NGEN	SALES	ı	Tast
NGEN	SALES		Last
110.2.1	G/ (123	1	

First.Proj
0

First.Dept
1

Last.Proj 0

Last.Dept 0

3.06 Quiz

What are the values for First. and Last. variables when the DATA step is processing the observation indicated by the red arrow?

Proj	Dept		First.Proj
CAP1	ADMIN		?
CAP1	ADMIN		
CAP1	ADMIN		First.Dept
EZ	ADMIN		?
EZ	ENGINR		
EZ	ENGINR		Last.Proj
NGEN	ENGINR	`	?
NGEN	SALES		
NGEN	SALES		Last.Dept
			?

First. and Last. for Multiple BY Variables

When you use more than one variable in the BY statement,

Last . *BY-variable*=1 for the **primary variable** forces

Last. BY-variable=1 for the secondary variable(s).

Proj	Dept	First. Proj	Last. Proj	First. Dept	Last.Dept
CAP1 CAP1 CAP1 EZ EZ	ADMIN ADMIN ADMIN ADMIN ENGINR	1 0 0 1 0	0 0 0 0 0 0	1 0 0 1 1	0 0 1 1 0
Change in Change in Secondary					

Here is the complete DATA step:

```
data pdsals(keep=Proj Dept
                  DeptSal NumEmps);
   set projsort;
   by Proj Dept;
   if First.Dept then do;
      DeptSal=0;
      NumEmps=0;
   end;
   DeptSal+Salary;
   NumEmps+1;
   if Last.Dept;
run;
```

p203d05

Partial SAS Log

NOTE: There were 39 observations read

from the data set WORK.PROJSORT.

NOTE: The data set WORK.PDSALS has 14

observations and 4 variables.

```
proc print data=pdsals noobs;
run;
```

Partial PROC PRINT Output

		Dept	Num
Proj	Dept	Sal	Emps
CAP1	ADMIN	70000	2
EZ	ADMIN	83000	3
EZ	ENGINR	109000	4
EZ	FINANC	122000	3

Chapter Review

1. What statement prevents SAS from reinitializing the values in a variable?

2. Describe the effect on **Total_Salary** if it is used in this statement: **Total_Salary** + **Salary**;

3. If a data set is not sorted, can it be used as the input data set for BY-group processing?

Chapter Review

- 4. What statement in the DATA step enables BY-group processing?
- 5. In BY-group processing, two temporary variables are created for each variable listed in the BY statement. What are the names of the temporary variables?
- 6. What are the possible values for those temporary variables?
- 7. Is there ever a case where the values for the First.BY-variable and the Last.BY-variable can both be 1?

Chapter Review Answers

- 4. What statement in the DATA step enables BY-Group processing?
 - The BY statement enables BY-group processing.
- 5. In BY-group processing, two temporary variables are created for each variable listed in the BY statement. What are the names of the temporary variables?
 - First.BY-variable and Last.BY-variable where the BY-variable is the name of the variable used in the BY statement

Chapter Review Answers

6. What are the possible values for those temporary variables?

The First. variable has a value of 1 for the first observation in a BY group; otherwise, it equals 0.

The Last. variable has a value of 1 for the last observation in a BY group; otherwise, it equals 0.

7. Is there ever a case where the values for the First.BY-variable and the Last.BY-variable can both be 1?

Yes, this happens when a BY group is composed of a single observation.