

# Math 415 - Midterm 1

Thursday, September 25, 2014

Circle your section:

Philipp Hieronymi	2pm	3pm
Armin Straub	9am	11am

Name:

NetID:

UIN:

**Problem 0.** [1 point] Write down the number of your discussion section (for instance, AD2 or ADH) and the first name of your TA (Allen, Anton, Babak, Mahmood, Michael, Nathan, Tigran, Travis).

Section:	TA:
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To be completed by the grader:

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*Good luck!*

**Problem 1.** Let

$$A = \begin{bmatrix} 0 & 1 & 1 \\ 2 & 1 & 0 \\ 1 & 1 & 1 \end{bmatrix}.$$

(a) [8 points] Determine  $A^{-1}$ .

(b) [3 points] Using  $A^{-1}$ , solve  $A\mathbf{x} = \begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix}$ .

**Solution 1.** (a) Using the Gauss–Jordan method, we find:

$$\begin{aligned} \begin{pmatrix} 0 & 1 & 1 & 1 & 0 & 0 \\ 2 & 1 & 0 & 0 & 1 & 0 \\ 1 & 1 & 1 & 0 & 0 & 1 \end{pmatrix} &\xrightarrow[R1 \leftrightarrow R3]{\sim} \begin{pmatrix} 1 & 1 & 1 & 0 & 0 & 1 \\ 2 & 1 & 0 & 0 & 1 & 0 \\ 0 & 1 & 1 & 1 & 0 & 0 \end{pmatrix} \\ &\xrightarrow[R2 \rightarrow R2 - 2R1]{\sim} \begin{pmatrix} 1 & 1 & 1 & 0 & 0 & 1 \\ 0 & -1 & -2 & 0 & 1 & -2 \\ 0 & 1 & 1 & 1 & 0 & 0 \end{pmatrix} \\ &\xrightarrow[R3 \rightarrow R3 + R2]{\sim} \begin{pmatrix} 1 & 1 & 1 & 0 & 0 & 1 \\ 0 & -1 & -2 & 0 & 1 & -2 \\ 0 & 0 & -1 & 1 & 1 & -2 \end{pmatrix} \\ &\xrightarrow[R3 \rightarrow -R3]{\sim} \begin{pmatrix} 1 & 1 & 1 & 0 & 0 & 1 \\ 0 & 1 & 2 & 0 & -1 & 2 \\ 0 & 0 & 1 & -1 & -1 & 2 \end{pmatrix} \\ &\xrightarrow[R2 \rightarrow R2 - 2R3]{\sim} \begin{pmatrix} 1 & 1 & 0 & 1 & 1 & -1 \\ 0 & 1 & 0 & 2 & 1 & -2 \\ 0 & 0 & 1 & -1 & -1 & 2 \end{pmatrix} \\ &\xrightarrow[R1 \rightarrow R1 - R2]{\sim} \begin{pmatrix} 1 & 0 & 0 & -1 & 0 & 1 \\ 0 & 1 & 0 & 2 & 1 & -2 \\ 0 & 0 & 1 & -1 & -1 & 2 \end{pmatrix} \end{aligned}$$

Hence,

$$A^{-1} = \begin{pmatrix} -1 & 0 & 1 \\ 2 & 1 & -2 \\ -1 & -1 & 2 \end{pmatrix}.$$

(b) We obtain

$$\mathbf{x} = A^{-1} \begin{pmatrix} 1 \\ 0 \\ 1 \end{pmatrix} = \begin{pmatrix} -1 & 0 & 1 \\ 2 & 1 & -2 \\ -1 & -1 & 2 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \\ 1 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}.$$

[Note that this is actually obvious when thinking in terms of the column picture of the linear system.]

**Problem 2.** Consider the matrix

$$A = \begin{bmatrix} 1 & 1 & 1 \\ 1 & 4 & 4 \\ 1 & 4 & 8 \end{bmatrix}.$$

- (a) **[10 points]** Calculate the LU decomposition of  $A$ .  
 (b) **[5 points]** Solve

$$\begin{bmatrix} 1 & 1 & 1 \\ 1 & 4 & 4 \\ 1 & 4 & 8 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix} = \begin{bmatrix} 3 \\ 3 \\ 7 \end{bmatrix}$$

without reducing the augmented matrix, but using the LU decomposition.

**Solution 2.** (a) We first find  $U$  by Gaussian elimination:

$$\begin{aligned} \begin{pmatrix} 1 & 1 & 1 \\ 1 & 4 & 4 \\ 1 & 4 & 8 \end{pmatrix} &\xrightarrow[\widetilde{R3 \rightarrow R3 - R1}]{R2 \rightarrow R2 - R1} \begin{pmatrix} 1 & 1 & 1 \\ 0 & 3 & 3 \\ 0 & 3 & 7 \end{pmatrix} \\ &\xrightarrow[\widetilde{R3 \rightarrow R3 - R2}]{} \begin{pmatrix} 1 & 1 & 1 \\ 0 & 3 & 3 \\ 0 & 0 & 4 \end{pmatrix} \end{aligned}$$

We determine the matrix  $L$  from the row operations performed to get the LU decomposition

$$\begin{pmatrix} 1 & 1 & 1 \\ 1 & 4 & 4 \\ 1 & 4 & 8 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 1 & 1 & 0 \\ 1 & 1 & 1 \end{pmatrix} \begin{pmatrix} 1 & 1 & 1 \\ 0 & 3 & 3 \\ 0 & 0 & 4 \end{pmatrix}.$$

- (b) We solve  $A\mathbf{x} = \mathbf{b}$  by first solving  $L\mathbf{c} = \mathbf{b}$  via forward substitution. We find

$$\begin{pmatrix} 1 & 0 & 0 \\ 1 & 1 & 0 \\ 1 & 1 & 1 \end{pmatrix} \mathbf{c} = \begin{pmatrix} 3 \\ 3 \\ 7 \end{pmatrix} \implies \mathbf{c} = \begin{pmatrix} 3 \\ 0 \\ 4 \end{pmatrix}.$$

Finally, we solve  $U\mathbf{x} = \mathbf{c}$  via backward substitution.

$$\begin{pmatrix} 1 & 1 & 1 \\ 0 & 3 & 3 \\ 0 & 0 & 4 \end{pmatrix} \mathbf{x} = \begin{pmatrix} 3 \\ 0 \\ 4 \end{pmatrix} \implies \mathbf{x} = \begin{pmatrix} 3 \\ -1 \\ 1 \end{pmatrix}.$$

**Problem 3.** Consider the following system of linear equations:

$$\begin{array}{rrrrr} x_1 & -2x_2 & +x_3 & & = & 1 \\ -x_1 & +2x_2 & +x_3 & +2x_4 & = & 1 \\ -2x_1 & +4x_2 & +4x_3 & +6x_4 & = & 4 \end{array}$$

- (a) **[2 points]** Write down the augmented matrix corresponding to this system.
- (b) **[7 points]** Determine the row reduced echelon form of the augmented matrix.
- (c) **[6 points]** Use your result in (b) to find a parametric description of the set of solutions to the system of linear equations.

**Solution 3.** (a) The augmented matrix is

$$\left( \begin{array}{ccccc} 1 & -2 & 1 & 0 & 1 \\ -1 & 2 & 1 & 2 & 1 \\ -2 & 4 & 4 & 6 & 4 \end{array} \right).$$

- (b) Gauss–Jordan elimination produces:

$$\begin{array}{l} \left( \begin{array}{ccccc} 1 & -2 & 1 & 0 & 1 \\ -1 & 2 & 1 & 2 & 1 \\ -2 & 4 & 4 & 6 & 4 \end{array} \right) \xrightarrow[R3 \rightarrow R3+2R1]{R2 \rightarrow R2+R1} \left( \begin{array}{ccccc} 1 & -2 & 1 & 0 & 1 \\ 0 & 0 & 2 & 2 & 2 \\ 0 & 0 & 6 & 6 & 6 \end{array} \right) \\ \xrightarrow[R3 \rightarrow R3-3R2]{R3 \rightarrow R3-3R2} \left( \begin{array}{ccccc} 1 & -2 & 1 & 0 & 1 \\ 0 & 0 & 2 & 2 & 2 \\ 0 & 0 & 0 & 0 & 0 \end{array} \right) \\ \xrightarrow[R2 \rightarrow \frac{1}{2}R2]{R2 \rightarrow \frac{1}{2}R2} \left( \begin{array}{ccccc} 1 & -2 & 1 & 0 & 1 \\ 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 0 & 0 & 0 \end{array} \right) \\ \xrightarrow[R1 \rightarrow R1-R2]{R1 \rightarrow R1-R2} \left( \begin{array}{ccccc} 1 & -2 & 0 & -1 & 0 \\ 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 0 & 0 & 0 \end{array} \right) \end{array}$$

Hence, the row reduced echelon form is

$$\left( \begin{array}{ccccc} 1 & -2 & 0 & -1 & 0 \\ 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 0 & 0 & 0 \end{array} \right).$$

- (c) The variables  $x_2$  and  $x_4$  are free, and we find the parametric description of the solutions as follows:

$$\begin{cases} x_1 = 2x_2 + x_4 \\ x_2 \text{ is free} \\ x_3 = 1 - x_4 \\ x_4 \text{ is free} \end{cases}$$

Optionally, and equivalently, we can write the set of solutions as

$$\left\{ \begin{pmatrix} 2x_2 + x_4 \\ x_2 \\ 1 - x_4 \\ x_4 \end{pmatrix} : x_2, x_4 \text{ in } \mathbb{R} \right\} = \begin{pmatrix} 0 \\ 0 \\ 1 \\ 0 \end{pmatrix} + \text{span} \left\{ \begin{pmatrix} 2 \\ 1 \\ 0 \\ 0 \end{pmatrix}, \begin{pmatrix} 1 \\ 0 \\ -1 \\ 1 \end{pmatrix} \right\}.$$

[Note that the final span is the null space of the coefficient matrix.]

**Problem 4.** Let

$$\mathbf{w} = \begin{bmatrix} 1 \\ h \\ 3h \end{bmatrix}, \quad \mathbf{v}_1 = \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}, \quad \mathbf{v}_2 = \begin{bmatrix} 1 \\ 2 \\ 3 \end{bmatrix}.$$

- (a) [8 points] For which value of  $h$  is  $\mathbf{w}$  a linear combination of  $\mathbf{v}_1$  and  $\mathbf{v}_2$ ?  
 (b) [4 points] For the value of  $h$  found in (a), write down the linear combination of  $\mathbf{v}_1$  and  $\mathbf{v}_2$  which gives  $\mathbf{w}$ .

**Solution 4.** (a)  $\mathbf{w}$  is a linear combination of  $\mathbf{v}_1$  and  $\mathbf{v}_2$  if and only if the system with augmented matrix

$$\begin{pmatrix} 1 & 1 & 1 \\ 1 & 2 & h \\ 1 & 3 & 3h \end{pmatrix}$$

is consistent. From the echelon form

$$\begin{pmatrix} 1 & 1 & 1 \\ 1 & 2 & h \\ 1 & 3 & 3h \end{pmatrix} \xrightarrow[R3 \rightarrow R3 - R1]{R2 \rightarrow R2 - R1} \begin{pmatrix} 1 & 1 & 1 \\ 0 & 1 & h - 1 \\ 0 & 2 & 3h - 1 \end{pmatrix} \xrightarrow{R3 \rightarrow R3 - 2R2} \begin{pmatrix} 1 & 1 & 1 \\ 0 & 1 & h - 1 \\ 0 & 0 & h + 1 \end{pmatrix}$$

we find that the system is consistent if and only if  $h = -1$ .

Hence,  $\mathbf{w}$  is a linear combination of  $\mathbf{v}_1$  and  $\mathbf{v}_2$  if and only if  $h = -1$ .

- (b) The coefficients of such a linear combinations are given by the solutions of the system. In the case  $h = -1$ , this system has augmented matrix

$$\begin{pmatrix} 1 & 1 & 1 \\ 0 & 1 & h - 1 \\ 0 & 0 & h + 1 \end{pmatrix} = \begin{pmatrix} 1 & 1 & 1 \\ 0 & 1 & -2 \\ 0 & 0 & 0 \end{pmatrix}.$$

A simple backward substitution gives the solution  $x_2 = -2$  and  $x_1 = 3$ . The corresponding linear combination is

$$3\mathbf{v}_1 - 2\mathbf{v}_2 = \mathbf{w}.$$

**Problem 5.** [8 points] Determine which of the following sets are a subspace of the vector space of all  $2 \times 2$  matrices. In each case, give a short reason.

(a)  $W_1 = \left\{ \begin{bmatrix} 2a & b \\ b & 3a \end{bmatrix} : a, b \text{ in } \mathbb{R} \right\}$

(b)  $W_2 = \left\{ \begin{bmatrix} 2a & b \\ b & 3a \end{bmatrix} : a, b \text{ in } \mathbb{R} \text{ and } a + b = 1 \right\}$

**Solution 5.** (a)  $W_1$  is a subspace because we can write it as a span:

$$W_1 = \text{span} \left\{ \begin{pmatrix} 2 & 0 \\ 0 & 3 \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \right\}$$

(b)  $W_2$  is not a subspace because it does not contain the zero vector. Indeed, if

$$\begin{pmatrix} 2a & b \\ b & 3a \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix},$$

then  $a = 0$  and  $b = 0$ . But this contradicts  $a + b = 1$ .

SHORT ANSWERS  
[21 points overall, 3 points each]

**Instructions:** The following problems have a short answer. No reason needs to be given. If the problem is multiple choice, circle the correct answer (there is always exactly one correct answer).

**Short Problem 1.** Let  $A = \begin{bmatrix} 1 & 0 \\ 0 & 1 \\ 0 & 1 \end{bmatrix}$ . Compute  $A^T A$ .

$$A^T A = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & 1 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 2 \end{pmatrix}$$

**Short Problem 2.** Let  $A$  be a matrix such that, for every  $\begin{bmatrix} x \\ y \\ z \end{bmatrix}$  in  $\mathbb{R}^3$ ,  $A \begin{bmatrix} x \\ y \\ z \end{bmatrix} = \begin{bmatrix} 2y \\ x \\ x - z \end{bmatrix}$ .

Then, what is  $A$ ?

$$A = \begin{pmatrix} 0 & 2 & 0 \\ 1 & 0 & 0 \\ 1 & 0 & -1 \end{pmatrix}$$

**Short Problem 3.** Let  $C$  be a  $3 \times 4$  matrix such that  $C$  has two pivot columns, and let  $\mathbf{d}$  be a vector in  $\mathbb{R}^3$ . Is it true that, if the equation  $C\mathbf{x} = \mathbf{d}$  has a solution, then it has infinitely many solutions?

- (a) True.
- (b) False.
- (c) Unable to determine.

This is true, because there is a free variable (actually, it is two). Hence, the system has infinitely many solutions unless it is inconsistent.

**Short Problem 4.** Let

$$A = \begin{bmatrix} a & a+1 \\ a+1 & a \end{bmatrix}.$$

For which choice(s) of  $a$  is the matrix  $A$  *not* invertible?

A  $2 \times 2$  matrix  $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$  is not invertible if and only if  $ad - bc = 0$ . Since  $a^2 - (a+1)^2 = -2a - 1$ ,  $A$  is not invertible if and only if  $a = -\frac{1}{2}$ .

**Short Problem 5.** There is one vector which every subspace of  $\mathbb{R}^2$  has to contain. Which vector is that?

$$\begin{pmatrix} 0 \\ 0 \end{pmatrix}$$

**Short Problem 6.** Let  $W_1$  be the set of all polynomials  $p(t)$  which have a zero at  $t = 1$  (that is,  $p(1) = 0$ ), and let  $W_0$  be the set of all polynomials  $p(t)$  which have a zero at  $t = 0$ . Are these sets subspaces of the vector space of all polynomials?

- (a) Both  $W_0$  and  $W_1$  are subspaces.
- (b) Only  $W_0$  is a subspace.
- (c) Only  $W_1$  is a subspace.
- (d) Neither  $W_0$  nor  $W_1$  are subspaces.

If two polynomials have a zero at  $t = t_0$  for some fixed  $t_0$  in  $\mathbb{R}$ , then the sum of these polynomials has a zero at  $t = t_0$  as well. Likewise, for scaling. Hence, both  $W_0$  and  $W_1$  are subspaces.

**Short Problem 7.** Let  $W = \text{span} \left\{ \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ 1 \\ 2 \end{bmatrix} \right\}$ . Which of the following is true?

- (a)  $W$  is empty.
- (b)  $W$  is a line.
- (c)  $W$  is a plane.
- (d)  $W$  is all of  $\mathbb{R}^3$ .

This is a plane.