



**Thomas J. Watson School of Engineering & Applied Science
Department of Electrical & Computer Engineering**

Neural Network & Deep Learning (EECE680C)

Homework_4

Solutions

Submitted By

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Submission
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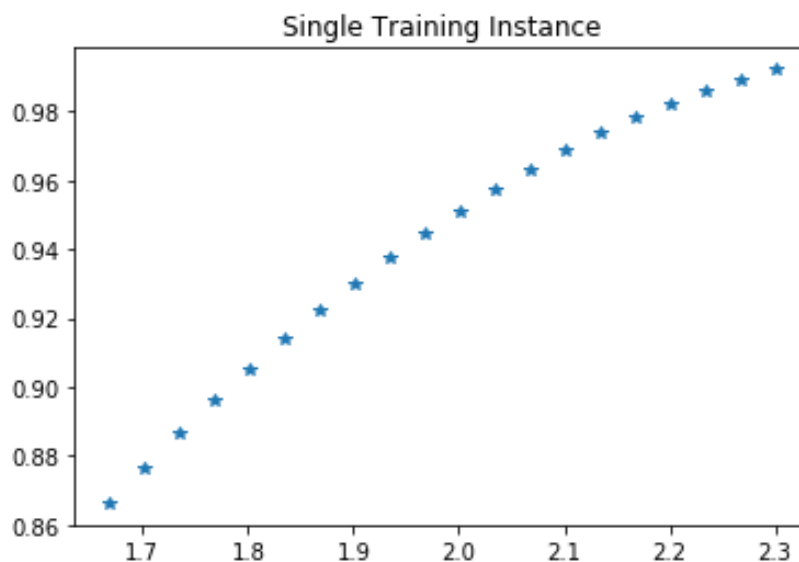
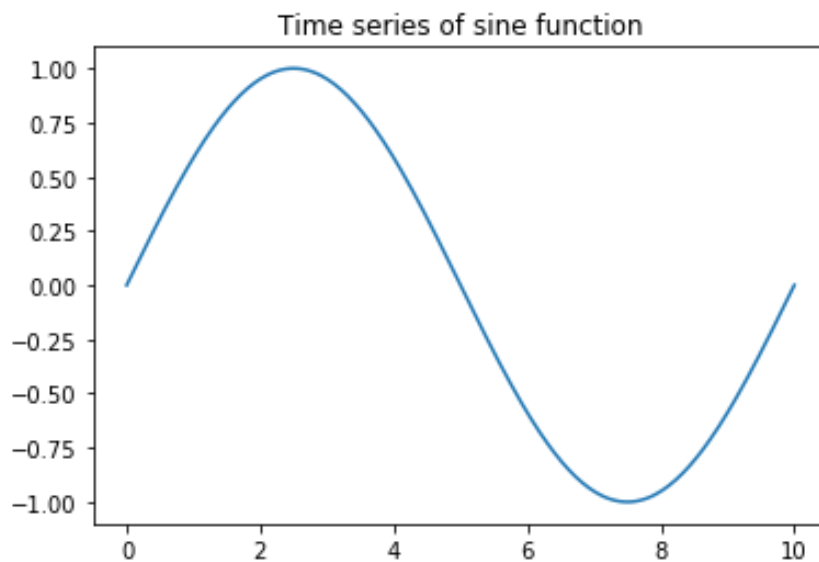
Binghamton, New York

The test results of problem #3, the programming problem, are hereunder presented:

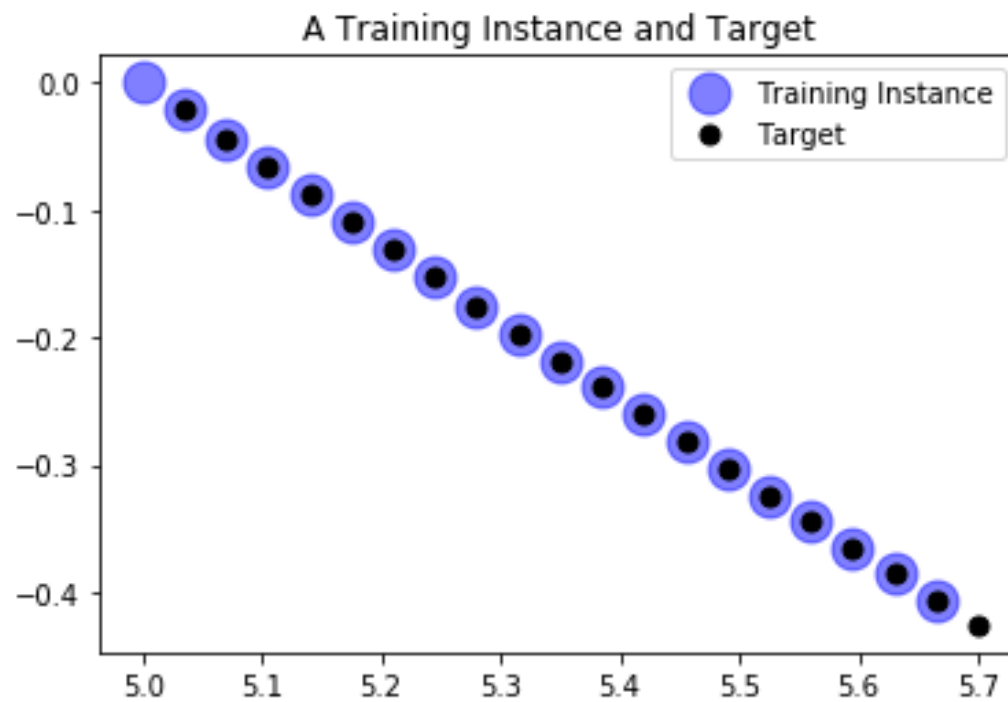
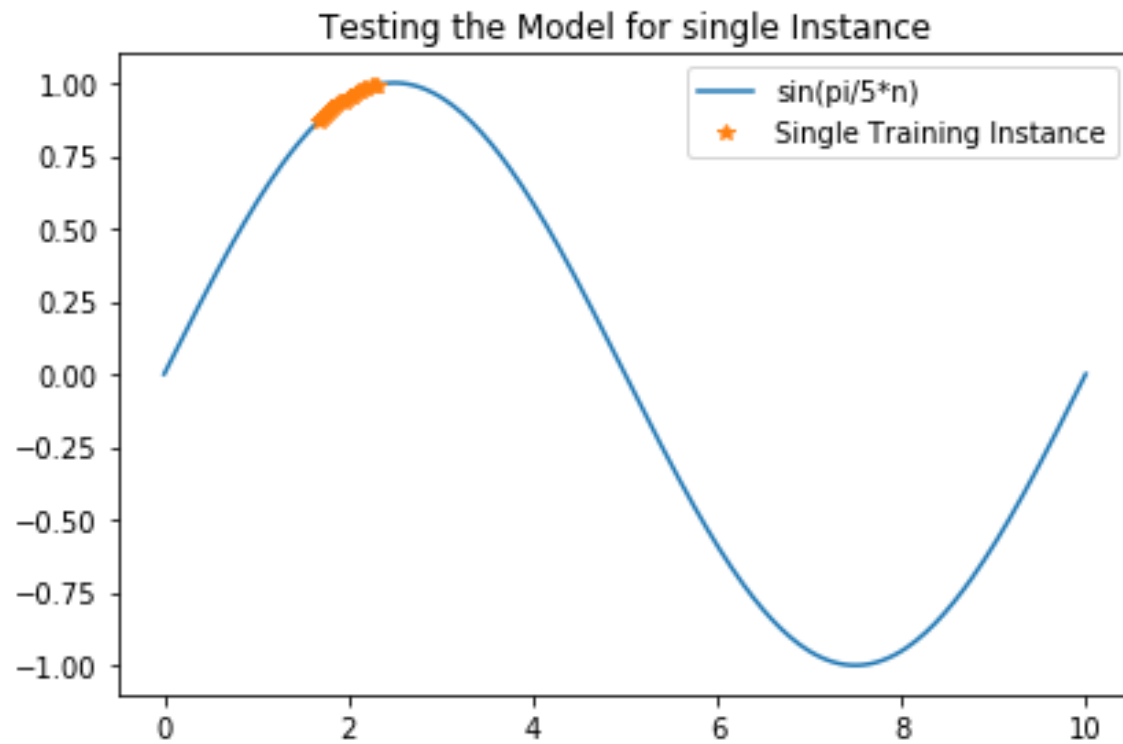
```
sin(0.2*np.pi*n) for n=0 upto 299 → 300 points  
SineSeries (num_points,xmin,xmax)=SineSeries(300,0,10)  
Num_samples=20 # number of samples  
Iteration#=2200  
Single training instance before training was conducted
```

```
In [1]: runfile('/home/alem/LSTMasst.py', wdir='/home/alem')
```

```
*****Preliminary processes and tests before data training*****
```



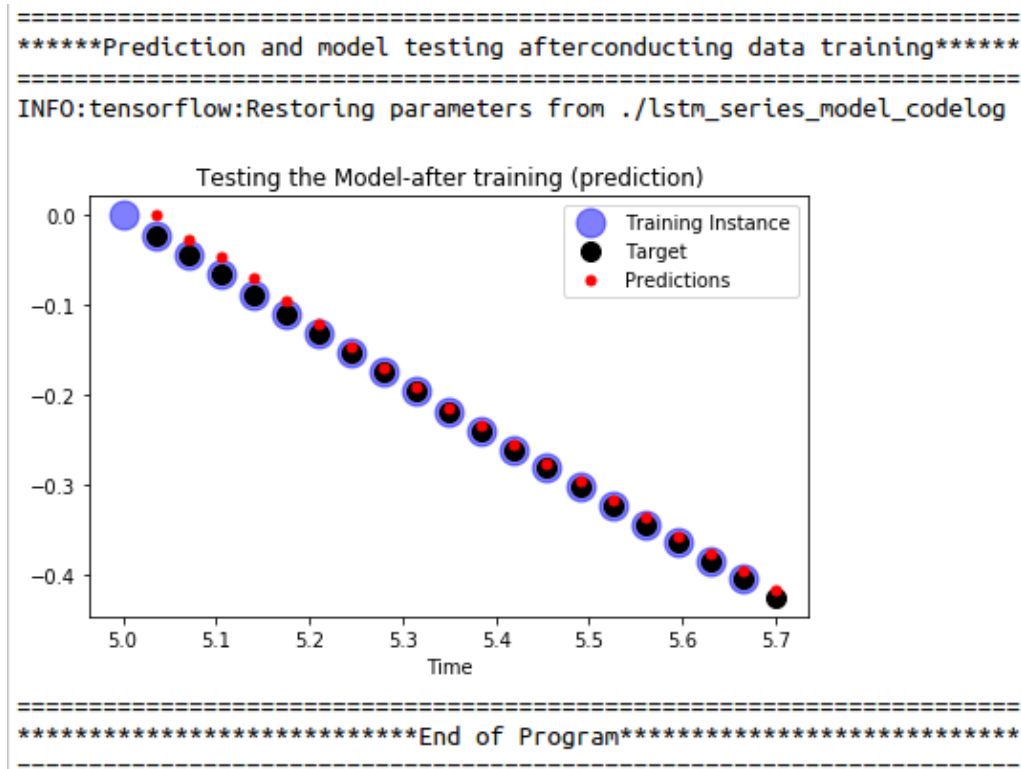
Testing the model for single instance of training data, and generating training instance and the target



Training the model

```
=====
.....Training is on progress, please wait for a while!
=====
Iteration      0      Mean Square Error 0.875735
Iteration     100      Mean Square Error 0.0942191
Iteration     200      Mean Square Error 0.00917639
Iteration     300      Mean Square Error 0.0156673
Iteration     400      Mean Square Error 0.00335173
Iteration     500      Mean Square Error 0.00528435
Iteration     600      Mean Square Error 0.000151139
Iteration     700      Mean Square Error 0.000209462
Iteration     800      Mean Square Error 0.00440594
Iteration     900      Mean Square Error 9.25027e-05
Iteration    1000      Mean Square Error 0.000559856
Iteration    1100      Mean Square Error 0.000237966
Iteration    1200      Mean Square Error 0.000245692
Iteration    1300      Mean Square Error 0.000100152
Iteration    1400      Mean Square Error 0.000469705
Iteration    1500      Mean Square Error 0.000775393
Iteration    1600      Mean Square Error 8.64337e-05
Iteration    1700      Mean Square Error 0.000442672
Iteration    1800      Mean Square Error 9.54112e-05
Iteration    1900      Mean Square Error 0.000102052
Iteration    2000      Mean Square Error 0.000138961
Iteration    2100      Mean Square Error 5.67146e-05
```

Prediction and Model Testing



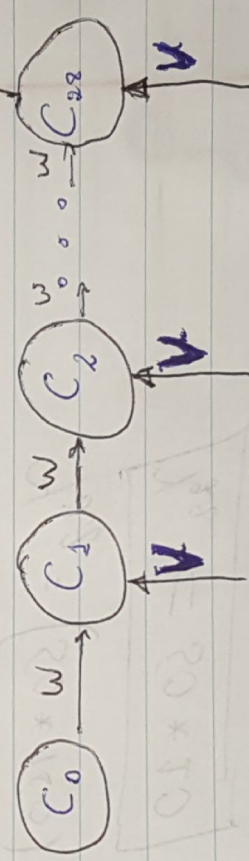
① Classifying MNIST digits using RNN instead of CNN.

So/2:

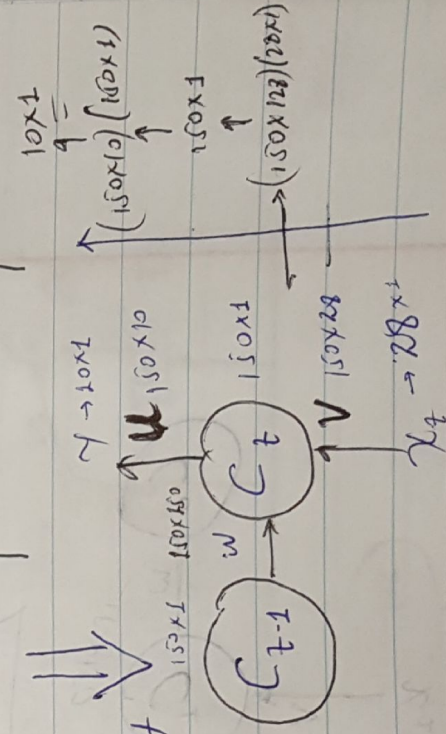
- Given:
 - $\#$ of layers = 28
 - X_2 has dimension 28
 - Single output Y_{28} at last layer
 - $Y_{28} \rightarrow 10 \text{ dim} \rightarrow \text{one-hot vector}$
 - $\#$ of neurons = 150 as RNN states
- Sketch the RNN & specify the dimensions of all the tensors

- Inputs
- Outputs
- States
- Weights

RNN Sketch (Diagram)



Analyzing it



$$X_{dim} = \text{Batch-size} * \text{Layers} * \text{Neuron-inputs}$$

$$= 50 * 28 * 28$$

permutation of layers & batch size

$$X_{dim} = 28 * 50 * 28$$

$$C_t = f(X_t U + C_{t-1} W)$$

$$\begin{aligned} C_t &= 50 * 150 \\ U &= 28 * 150 \\ C_{t-1} &= 50 * 150 \\ W &= 150 * 150 \end{aligned}$$

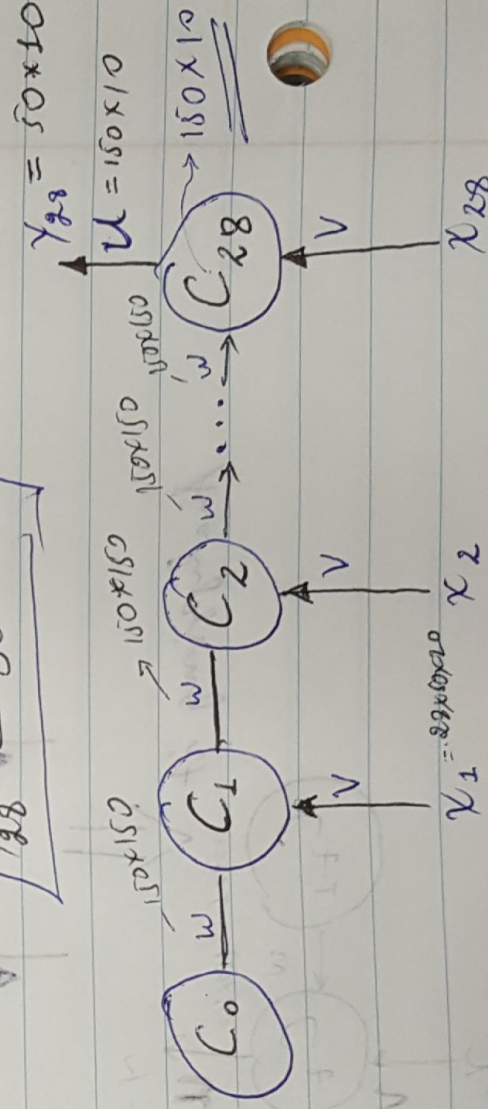
$$Y_{28} = C_{28} * U$$

$$\Rightarrow C_{28} = 50 * 150$$

$$U = 150 * 10$$

$$Y_{28} = (50 * 150) (150 * 10)$$

$$Y_{28} = 50 * 10$$



③ LSTM Design for $[0, 1, 2, 3, 4, 5, 6]$ where the previous integer is used to predict the next one. Specify the dimensions of all tensors.

Solⁿ:

④ Given: $v = [0, 1, 2, 3, 4, 5, 6]$

v Batch size = 3

v # of steps = 2

④ Input size = Batch size \times num_steps
 $= 3 \times 2$

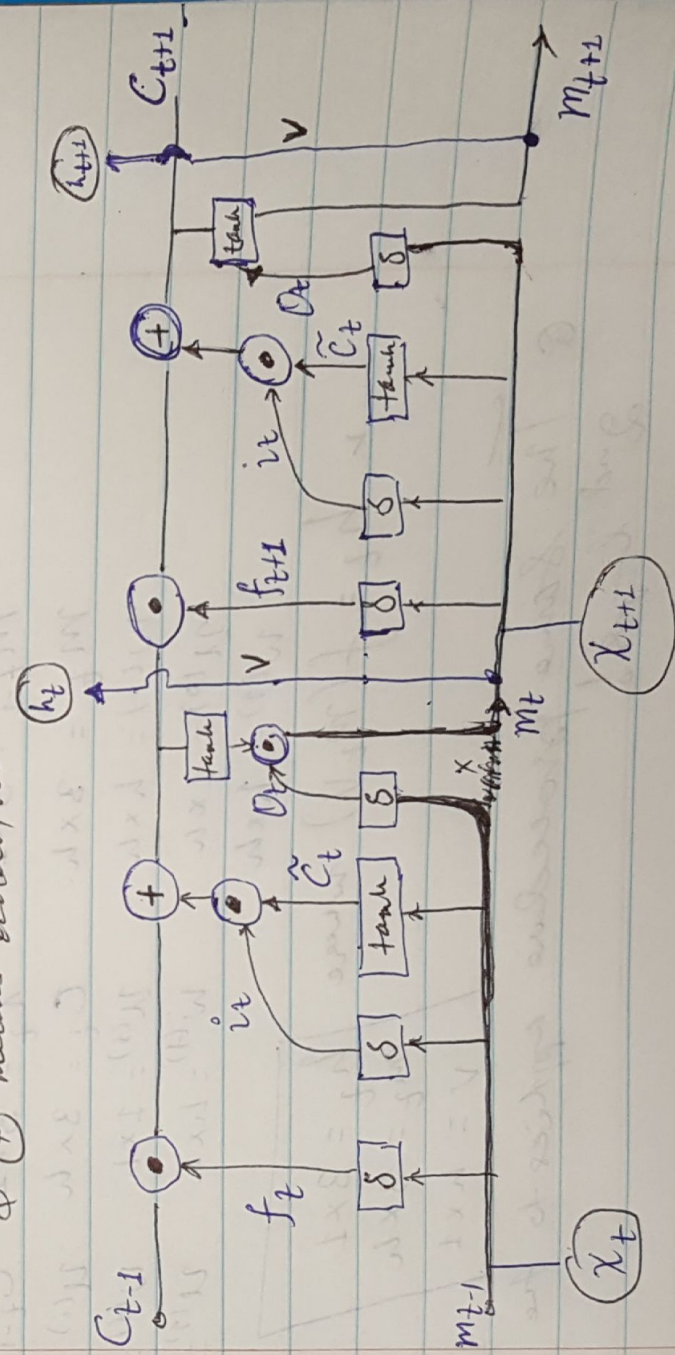
④ Input & output in matrix forms

$$X = \begin{bmatrix} 0 & 1 \\ 1 & 2 \\ 2 & 3 \end{bmatrix} \quad \& \quad Y = \begin{bmatrix} 1 & 2 \\ 2 & 3 \\ 3 & 4 \end{bmatrix}$$

$$\textcircled{*} \quad X_{t+1} = \begin{bmatrix} 0 \\ 1 \\ 2 \end{bmatrix} \quad \& \quad X_{t+2} = \begin{bmatrix} 1 \\ 2 \\ 3 \end{bmatrix}$$

④ LSTM design \rightarrow 2 layers

④ In the figure below, \odot = o/wise multiplication & \oplus means addition.



↳ corresponding Equations

• $f_z = \delta(x_z u^{(t)} + m_{z-1} w^{(t)})$

⊕ $i_z = \delta(x_z u^{(i)} + m_{z-1} w^{(i)})$

⊕ $O_z = \delta(x_z^{(o)} + m_{z-1} w^{(o)})$

⊗ $\tilde{C}_z = \tanh(x_z u^{(t)} + m_{z-1} w^{(o)})$

⊗ $C_z = C_{z-1} \odot f + \tilde{C}_z \odot i_z$

⊗ $m_z = \tanh(\tilde{C}_z) \odot O_z$

↳ Assumption: Let $h = \# \text{ of hidden neurons}$
Hence,

$m_{z-1} = 3 \times h$

$x_z = 3 \times 1$ $C_{z-1} = 3 \times h$

$m_z = 3 \times h$

$C_z = 3 \times h$ $u^{(i)} = 1 \times h$

$w^{(i)} = h \times h$

$u^{(t)} = 1 \times h$ $w^{(t)} = h \times h$

$u^{(o)} = 1 \times h$

$w^{(o)} = 1 \times h$

$w^{(s)} = h \times h$

↳ $h_z = f(m_z u)$ where

$h_z = 3 \times 1$
$m_z = 3 \times h$
$v = h \times 1$

⊗ The same procedure applies to the 2nd layer.