LOCI: A CHESS ENGINE FOR GENERATING GAME DATA

BY

ADEFOKUN AHIRA JUSTICE

185576

SUPERVISOR: MR. OGUNTUNDE

A REPORT SUBMITTED TO THE DEPARTMENT OF COMPUTER SCIENCE, UNIVERSITY OF IBADAN, IN PARTIAL FULFILMENT OF THE REQUIREMENTS FOR THE AWARD OF A BACHELOR OF SCIENCE DEGREE IN COMPUTER SCIENCE

JUNE, 2018

# CHAPTER ONE

# INTRODUCTION

## BACKGROUND OF THE STUDY

Games have been one of the most visible areas of progress in the AI space in the last few years. Chess, Jeopardy, GO and, very recently, Poker are some of the games that have been mastered by AI systems using breakthrough technologies. From that viewpoint, the success of AI seems to be really tied to the progress on game theory (Rodriguez, 2017).

While games are, obviously, the most visible materialization of game theory, it is far from being the only space on which those concepts are applied. From that perspective, there are many other areas that can be influenced by the combination of game theory and AI. The fact is that most scenarios that involve multiple “participants”, collaborating or competing to accomplish a task, can be gamified and improved using AI techniques. Even though the previous statement is a generalization, I hope it conveys the point that game theory and AI is a way to think and model software systems rather than a specific technique.

Chess is a game that requires much creativity and sophisticated reasoning that it was once thought of as something no computers will ever be able to do. (Lai, 2015). It was frequently listed alongside activities like poetry writing and painting, as examples of tasks that can only be performed by humans. While writing poetry has remained very difficult for computers to this day, we have had much more success building chess-playing computers. (Lai, 2015)

In 1997, IBM’s Deep Blue defeated the reigning World Chess Champion, Garry Kasparov, under standard tournament rules, for the first time in the history of chess (Lai, 2015). In the ensuing two decades, both computer hardware and AI research advanced the state-of-art chess-playing computers to the point where even the best humans today have no realistic chance of defeating a modern chess engine running on a smartphone. (Lai, 2015)

Although they differ in implementation, almost all chess engines in existence today (and all of the top contenders) implement largely the same algorithms. They are all based on the idea of the fixed-depth minimax algorithm first developed by John von Neumann in 1928, and adapted for the problem of chess by Claude E. Shannon in 1950. (Lai, 2015)

## 1.2 PROBLEM STATEMENT

Training data for deep learning chess engines is rare to come by. Some of these datasets are collated manually from tournaments played by humans and artificial intelligence (AI). These datasets are entered into online repositories for referencing (e.g. <https://www.ficsgames.org/>). This project allows researchers to generate the data needed for the “training” of this new breed of “intelligent” game engines.

Also, ready tools for the comparison of different evaluation function metrics are not readily available. This project intends to address this problem by allowing researchers provide custom evaluation heuristics directly to the engine, and then observe how it performs.

## 1.3 AIM AND OBJECTIVES

The aim of this project is to build a chess engine that produces data that can be used for the training of neural networks in deep learning chess engines, used in the analysis of chess engine strength, and be used to rapidly determine the effect of heuristic changes made to chess engine evaluation functions.

The proposed system has the following objectives:

1. To design and model a chess engine that enables **AI to AI** gaming.
2. To implement a chess engine model that provides large chess game data.
3. To implement a chess engine model that allows for changes to engine evaluation functions.

## 1.4 METHODOLOGY

* System requirement analysis was done with review of literature on chess engines and an examination of the manual existing system.
* Design will be done using use case model, and activity diagrams.
* Implementation will be done with the python programming language with plain text data in portable game notation (PGN) as the data output format.
* Deployment and Testing would be done on a personal computer with the command line as its interface.

## 1.5 SCOPE OF THE STUDY

The proposed system aims to provide a chess engine that produces chess game data. The system is designed to implement two distinct evaluation functions to describe two artificial intelligences. The system is also designed to be extendable, with the varying of the distinct evaluation functions to investigate the effects of heuristics changes.

## 1.6 JUSTIFICATION OF THE STUDY

In the development of chess engines, the vast majority of engines use brute force-linear algorithms. In recent years, there has been a push to make chess engines smarter by “teaching” them to play. This is a new area of artificial intelligence research that uses neural networks and deep learning to “teach” a computer to play chess. This project implements a system that provides the data necessary to train these neural networks. Also, heuristic analysis is critical to the strength of chess engines. This system aims to provide data that can be used to determine the effects of changes made to evaluation functions.

# CHAPTER TWO

# LITERATURE REVIEW

## 2.1 PREAMBLE

Chess is one of the oldest and most popular board games in the world, played by two opponents on a checkered board with specially designed pieces of contrasting colors, commonly white and black. White makes the first move, after which the players alternate turns in accordance with fixed rules, each player attempting to force the opponent’s principal piece, the King, into checkmate—a position where it is unable to avoid capture. (Soltis, 2017)

## 2.2 THEORETICAL BACKGROUND

### 2.2.1 CHESS

Chess is played on a board of 64 squares arranged in eight vertical rows called files and eight horizontal rows called ranks. These squares alternate between two colors: one light, such as white, beige, or yellow; and the other dark, such as black or green. The board is set between the two opponents so that each player has a light-colored square at the right-hand corner. (Soltis, 2017)

### 2.2.2 ALGEBRAIC NOTATION

Individual moves and entire games can be recorded using one of several forms of notation. By far the most widely used form, algebraic (or coordinate) notation, identifies each square from the point of view of the player with the light-colored pieces, called White. The eight ranks are numbered 1 through 8 beginning with the rank closest to White. The files are labeled a through h beginning with the file at White’s left hand. Each square has a name consisting of its letter and number, such as b3 or g8. Additionally, files a through d are referred to as the queenside, and files e through h as the kingside

### 2.2.3 PIECES

### KING

White’s king begins the game on e1. Black’s king is opposite at e8. Each king can move one square in any direction; e.g., White’s king can move from e1 to d1, d2, e2, f2, or f1.

### ROOK

Each player has two rooks (formerly also known as castles), which begin the game on the corner squares a1 and h1 for White, a8 and h8 for Black. A rook can move vertically or horizontally to any unobstructed square along the file or rank on which it is placed.

### BISHOP

Each player has two bishops, and they begin the game at c1 and f1 for White, c8 and f8 for Black. A bishop can move to any unobstructed square on the diagonal on which it is placed. Therefore, each player has one bishop that travels only on light-colored squares and one bishop that travels only on dark-colored squares.

### QUEEN

Each player has one queen, which combines the powers of the rook and bishop and is thus the most mobile and powerful piece. The White queen begins at d1, the Black queen at d8.

### KNIGHT

Each player has two knights, and they begin the game on the squares between their rooks and bishops—i.e., at b1 and g1 for White and b8 and g8 for Black. The knight has the trickiest move, an L-shape of two steps: first one square like a rook, then one square like a bishop, but always in a direction away from the starting square. A knight at e4 could move to f2, g3, g5, f6, d6, c5, c3, or d2. The knight has the unique ability to jump over any other piece to reach its destination. It always moves to a square of a different color.

### PAWN

Each player has eight pawns, which begin the game on the second rank closest to each player; i.e., White’s pawns start at a2, b2, c2, and so on, while Black’s pawns start at a7, b7, c7, and so on. The pawns are unique in several ways. A pawn can move only forward; it can never retreat. It moves differently than it captures. A pawn moves to the square directly ahead of it but captures on the squares diagonally in front of it; e.g., a White pawn at f5 can move to f6 but can capture only on g6 or e6. An unmoved pawn has the option of moving one or two squares forward. This is the reason for another peculiar option, called en passant—that is, in passing—available to a pawn when an enemy pawn on an adjoining file advances two squares on its initial move and could have been captured had it moved only one square. The first pawn can take the advancing pawn en passant, as if it had advanced only one square. An en passant capture must be made then or not at all. Only pawns can be captured en passant. The last unique feature of the pawn occurs if it reaches the end of a file; it must then be promoted to—that is, exchanged for—a queen, rook, bishop, or knight.

### 2.2.4 MOVES

The board represents a battlefield in which two armies fight to capture each other’s king. A player’s army consists of 16 pieces that begin play on the two ranks closest to that player. There are six different types of pieces: king, rook, bishop, queen, knight, and pawn; the pieces are distinguished by appearance and by how they move. The players alternate moves, White going first.

### CAPTURING

The king, rook, bishop, queen, and knight capture enemy pieces in the same manner that they move. For example, a White queen on d3 can capture a Black rook at h7 by moving to h7 and removing the enemy piece from the board. Pieces can capture only enemy pieces.

### CASTLING

The one exception to the rule that a player may move only one piece at a time is a compound move of king and rook called castling. A player castles by shifting the king two squares in the direction of a rook, which is then placed on the square the king has crossed. For example, White can castle kingside by moving the king from e1 to g1 and the rook from h1 to f1. Castling is permitted only once in a game and is prohibited if the king or rook has previously moved or if any of the squares between them is occupied. Also, castling is not legal if the square the king starts on, crosses, or finishes on is attacked by an enemy piece.

### 2.2.5 RELATIVE PIECE VALUES

Assigning the pawn a value of 1, the values of the other pieces are approximately as follows: knight 3, bishop 3, rook 5, and queen 9. The relative values of knights and bishops vary with different pawn structures. Additionally, tactical considerations may temporarily override the pieces’ usual relative values. Material concerns are secondary to winning.

### 2.2.6 OBJECT OF THE GAME

When a player moves a piece to a square on which it attacks the enemy king—that is, a square from which it could capture the king if the king is not shielded or moved—the king is said to be in check. The game is won when one king is in check and cannot avoid capture on the next move; this is called checkmate. A game also can end when a player, believing the situation to be hopeless, acknowledges defeat by resigning.

There are three possible results in chess: win, lose, or draw. There are six ways a draw can come about: (1) by mutual consent, (2) when neither player has enough pieces to deliver checkmate, (3) when one player can check the enemy king endlessly (perpetual check), (4) when a player who is not in check has no legal move (stalemate), (5) when an identical position occurs three times with the same player having the right to move, and (6) when no piece has been captured and no pawn has been moved within a period of 50 moves.

In competitive events, a victory is scored as one point, a draw as half a point, and a loss as no points.

### 2.2.7 GAME NOTATION

A move can be recorded by designating the initial of the piece moved and the square to which it moves. For example, Be5 means a bishop has moved to e5. There are two exceptions: a knight is identified by N, and no initials are used for pawn moves. For example, 1 e4 means White’s first move is a two-square advance of a pawn on the e-file, and 1 . . . Nf6 means Black’s response is to bring a knight from g8 to f6. For both White and Black, castling kingside is indicated by 0-0, while castling queenside is notated by 0-0-0. Captures are indicated by inserting an x or : between the piece moving and the square it moves to. For pawn moves, this means dxe5 indicates a White pawn on d4 captures a piece on e5. En passant captures are designated by e.p. Checks are indicated by adding ch or + at the end of the move, and checkmate is often indicated by adding # or ++ at the end of the move. Notation is used to record games as they are played and to analyze them in print afterward. In annotating (commenting) on a game, an appended exclamation mark means a very good move, two exclamation marks are occasionally used to indicate an extremely good move, a question mark indicates a bad move, two question marks indicate a blunder, and the combination of an exclamation mark and a question mark on the same move indicates a double-edged or somewhat dubious move.

## 2.3 CONTEXT OF WORK

## 2.4 REVIEW OF RELATED WORK

## 2.5 DEFINITION OF TERMS

Artificial Intelligence (AI):

Integrated Development Environment (IDE):

FIDE

# CHAPTER THREE

# METHODOLOGY

## 3.1 OVERVIEW

In developing this system, the **prototyping paradigm** of software engineering will be used. Sommerville describes software engineering to be the production of software from the early stages of system specification through to maintenance of the system after it has been deployed (Sommerville, 2011). For this project, the development of the software system includes the following activities:

* System requirement analysis
* Design
* Implementation
* Deployment and testing

## 3.2 EXISTING SYSTEMS

The existing systems, by which a developer of deep learning chess engines could collate data for the training of its neural network is largely a mammoth task of obtaining precomputed data of chess games from online repositories and normalizing or parsing such data into usable formats either by manual means or automated scripting, or both.

Also, there are no systems of note, which permit evaluation function changes to the degree of studying effects of heuristics changes.

The system requirements are obtained both from an examination of the existing systems and by considerations of how it can be extended upon and made better.

## 3.3 PROPOSED SYSTEM

The proposed system generates game data dynamically, as games played in-the-moment by two distinct “artificial intelligences” and stored as portable game notation (PGN).

The proposed system is very processor intensive and requires a considerable amount of computational power to run efficiently. This is the most crucial non-functional requirement of the system. Since the system does not deal with the management of a database, security as a non-functional requirement is not a primary concern.

The system is designed to receive input from the user on the amount of games to compute. It is also designed to receive configuration input specifying the value of individual game pieces. These configuration files prescribe the behavior of the artificial intelligences that will play against each other. After the above specified input has been supplied by the user, computation proceeds for a given period of time, hours or days even. The time spent in computation is a factor of the amount of games played by the engine and the computational power of the deployment environment.

The proposed system has a **client-server architecture**, with a command-line interface as the client frontend, and the chess engine as the server backend.

This system is a niche application and thus requires some expertise with computational chess to be used effectively.

## 3.4 SYSTEM REQUIREMENTS SPECIFICATION

Software system requirements can be classified as functional requirements or non-functional requirements (Sommerville, 2011). Functional requirements describe the services that a system provides, how it reacts to certain input, and how it behaves in given situations (Sommerville, 2011). Non-functional requirements are the constraints on the services the system offers. This includes timing constraints, development constraints, and constraints imposed by standards for the software system (Sommerville, 2011)

### 3.4.1 NON-FUNCTIONAL REQUIREMENTS

For this system, the primary non-functional requirement is **performance**. The system requires a considerable amount of computational power to produce results within reasonable time limits, as it is very process bound and computationally complex system. This requires a computer with a very fast processor, and huge amounts of memory.

**Correctness** is also a key non-functional requirement of the system. The correctness of the game data stored in portable game notation (PGN) depends on the correctness of each stored move. Any errors produced by the system would affect the integrity of the produced data.

Requirements like security and usability, while considered, are not important requirements for this system.

**Hardware Requirements**

Recommended specifications

Processor Intel® Core™ i7-7700HQ processor Quad-core 2.80 GHz

Memory 16 GB DDR4 RAM Memory

**Software Requirements**

Windows 7, 8, 8.1, 10

Python 3.x

Python Chess

Pygame

**Tools**

Visual Studio Code

Python

### 3.4.2 FUNCTIONAL REQUIREMENTS

The functional requirements of a system describe what that system should do, along with its primary functions (Sommerville, 2011).

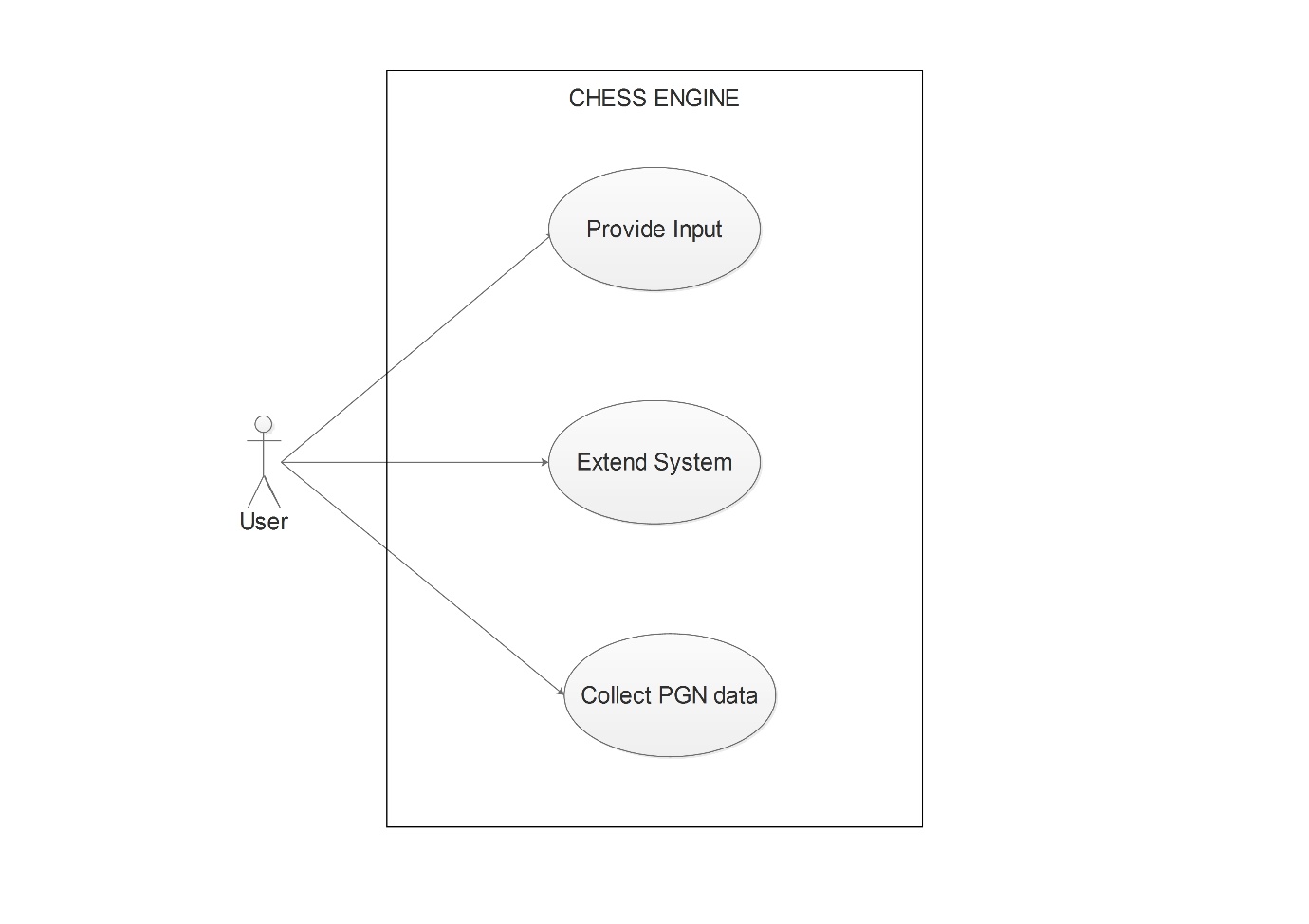
The functional requirements of this system are:

* A user shall be able to tell the system how many games they want computed.
* A user shall be able to extend the system and implement their own evaluation functions.
* A user shall be able to access the data of computed games in portable game notation (PGN) format.
* The system shall be able to receive input specifying the number of games to be played, and validate the correctness of this input.
* The system shall be able to receive configuration input describing the evaluation functions for the AI that will play the specified number of games, and validate the correctness of this input.
* The system will play the specified amount of games and produce the data of those games in portable game notation (PGN) format.

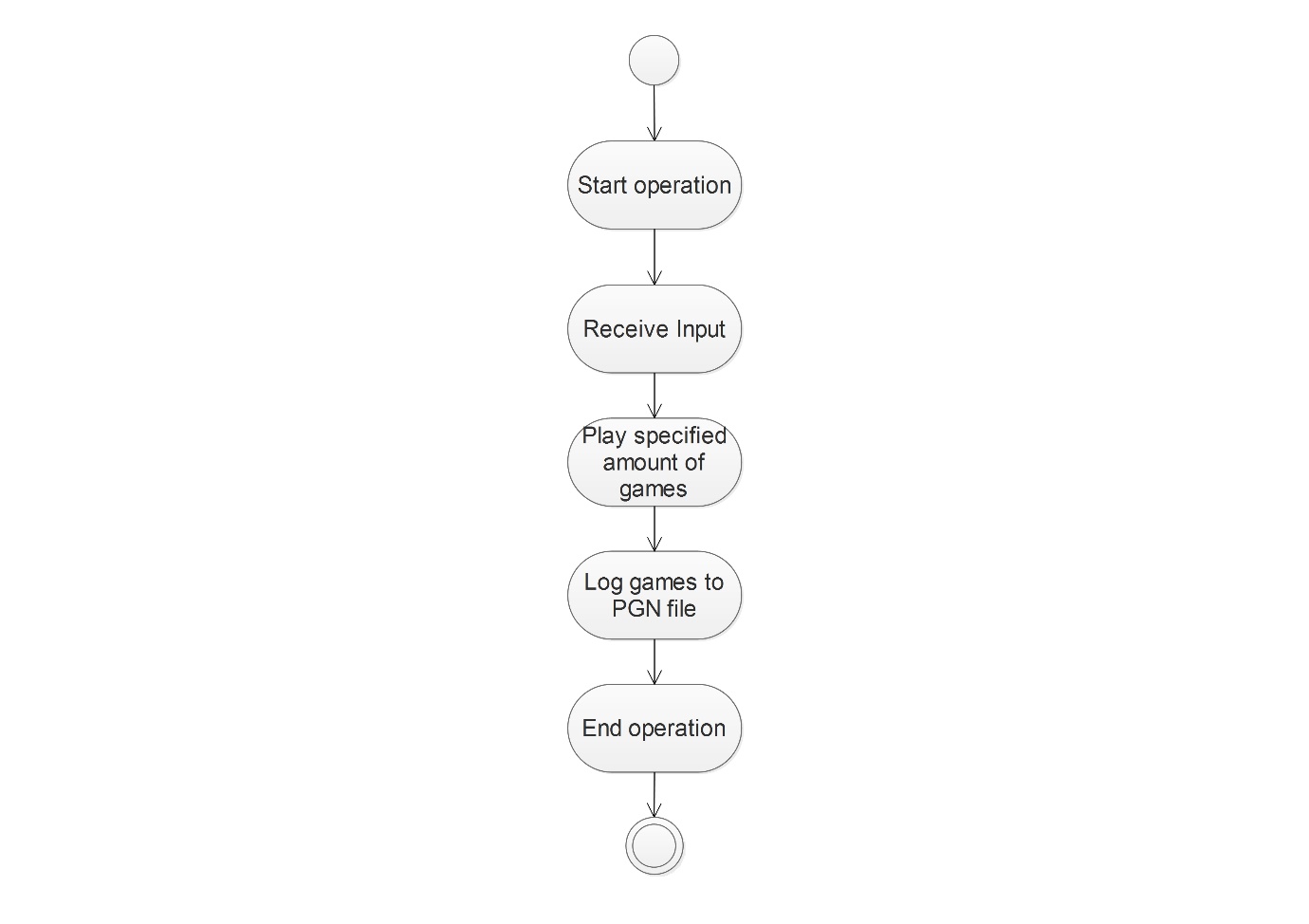
## 3.5 SYSTEM MODELING

In designing this system, some system models are used to describe the various aspects of the system’s operation. For the interaction model a use case diagram is produced. An activity diagram is also produced.

### 3.5.1 USECASE DIAGRAM



### 3.5.2 ACTIVITY DIAGRAM



## 3.6 IMPLEMENTATION TOOLS

The language chosen for implementation is the **Python** programming language. Python is desirable for use in this project for some reasons.

One reason is that code written in Python is easy to read as it has a very English-like syntax. This is important because one of the functional requirements is user extendibility of the system. Python is a scripting language and this makes it easier for a third-party to add functionality to the code.

Another reason is that python has suitable APIs and libraries that make the development of this system easier. One of those libraries is **python-chess**, a pure Python chess library with move generation, move validation and support for common formats (Fiekas, 2019). The version of python-chess used with this project is *python-chess 0.23.8*.

The visualization GUI will be built using **pygame**. Pygame is a Python wrapper module for the SDL multimedia library. It contains python functions and classes that will allow you to use SDL’s support for playing cdroms, audio and video output, and keyboard, mouse and joystick input (Shinners, Dudfield, Appen, & Pendleton, 2018).

The chosen IDE is **Visual Studio Code**.

# CHAPTER FOUR

# IMPLEMENTATION

## 4.1 INTRODUCTION

## 4.2 DOCUMENTATION

## 4.3 TESTING

# CHAPTER FIVE

# CONCLUSION

## 5.1 SUMMARY

## 5.2 RECOMMENDATIONS

## 5.3 CONCLUSION

# REFERENCES

# APPENDIX