# **Operations Research B**

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#### 1 Initial solution

For our initial solution we decided to use a sequential construction algorithm with a hill climbing algorithm introduced in [HoMu12]. We have chosen these algorithms because they seemed to produce good and fast initial solutions in the literature. We had to do some little adjustments because we could not open as many routes as we want because we have a fix number of vehicles. In line 1 we initialize an empty solution. Then we repeat the following until all customers have been inserted into a route or the number of routes is the number of vehicles we have: Initialize an empty route r (line 3). Then we have a for loop for all unassigned customers. In line 5 we get a random customer i. Then we insert the customer i at the end of the current route. In line 7 we call the hill climbing algorithm to improve the route r. If the new toot is feasible we mark customer i as inserted. If it is not feasible we remove i from the route again. After the for loop for all unassigned customers has finished we add the route r to the solution in line 12.

In the hill climbing algorithm to improve the routes we have given a route r. Then we repeat the following until no improvement is achived in the previous pass: The for loop for each possible pair of locations starts (line 3). If the latter location is more urgent in its upper time window (line 4) then we swap the current two locations in r to get a new route r'. In line 6 we calculate the cost function for r and r'. If the new route has a better cost function value we set  $r \leftarrow r'$ .

The cost function is dependant of the route duration, the number of time window violations and the number of capacity violations. Their weights w1, w2 and w3 have to be equal to 1.

#### Algorithm 1 Sequential construction

```
1: Initialize an empty solution s
 2: repeat
       Initialize an empty route r
3:
       for (All unassigned customers) do
4:
          Get a random next customer i
 5:
6:
          Insert the customer i at the end of the current route r
          Call HC (Algorithm 2) to improve route r
 7:
          if (route r is feasible) then
8:
              Mark i as inserted
9:
          else
10:
              Remove i from route r
11:
       Add route r to solution s
12:
13: until (All customers have been inserted OR |s| = |Vehicles|)
```

#### Algorithm 2 Hill climbing

```
1: Given a route r
2: repeat
3: for (Each possible pair of locations) do
4: if (The latter location is more urgent in its upper time window bound) then
5: Swap the current two locations in r to get a new route r'
6: Calculate cost(r) and cost(r')
7: if (cost(r) - cost(r') > 0) then
8: r \leftarrow r'
9: until (Done) {Stop when no improvement achieved in the previous pass}
```

#### 1.1 Different approaches

As we found out that we did not find initial solutions for larger instances we tried some different approaches to solve the problem

#### 1.1.1 Removing the customer with the largest distance

The sequential construction algorithm adds random customers to the route and after the root gets improved by the hill climbing algorithm we remove the customer we had just added if the new route is infeasible. Instead of removing the just added customer we tried to remove the customer which needed the most time on the route. For every customer and it's destination we added the distance from the last node to the customer/destination and from the customer/destination to the next node. We removed the customer which needed the most time. In experiments we found out that this approach was no improvement compared to the one we had before.

# 2 Large neighborhood search

The large neighborhood search tries to improve a given solution. The approach was intrudced in [JaHe11]. The algorithm gets the parameters maxSize (the maximum number of customers to be removed), range (to increase the neighborhood size progressively), iterations (the number of iterations) and probability (the probability to accept a worse solution).

We have given a solution s and set current to the solution s in line 2. Then we have 3 nested for loops in which we produce a new solution new by removing radomly i+j customers from the current solution. In line 7 we start another for loop for all removed customers. We add the customer to a randomly selected route r in the new route new. then we always improve the route by calling the hill climbing algorithm. After the for loop we generate a random number pr between 0 and 1 in line 10. If the new solution is feasible we check if the cost function of the new solution has a better value than the cost function of the current solution. If this is the case or if pr is smaller than probability we set current = new. Then we check if the cust function value of the current solution is smaller than the cost function value of the given solution s (line 14). If it is better we update our solution s by setting it to our current solution.

#### **Algorithm 3** LNS (maxSize, range, iterations, probability)

```
1: Given a solution s
 2: current \leftarrow s
 3: for (i \leftarrow 2; i \leq masSize - range; i \leftarrow i + 1) do
        for (j \leftarrow 0; j \leq range; j \leftarrow j + 1) do
 4:
            for (k \leftarrow 0; k < iterations; k \leftarrow k + 1) do
 5:
                new \leftarrow \text{Remove randomly } i + j \text{ customer in } current
 6:
                for (All removed customer) do
 7:
                    Add customer to a randomly selected route r in new
 8:
                    Call HC (Algorithm 2) to improve route r
 9:
                pr \leftarrow \text{random number between 0 and 1}
10:
                if (new is feasible solution) then
11:
                    if (cost(new) < cost(current)) OR pr < probability then
12:
                        current = new
13:
                        if (cost(current) < cost(s)) then
14:
                            s = current
15:
```

### 3 Relevant work in the literature

In the literature nearly all possible metaheuristics have been tested for the dial-a-ride problem. [JaHe11] introduced a large neighborhood search for the problem. It seemed to work well which is the reason why we decided to implement this metaheuristic. A different approach was introduced in [CoLa03] where they used a tabu search heuristic for the dial-a-ride problem. In  $[Parragh\ et\ al.\ 10]$  they used a variable neighborhood search to solve the problem. In  $[Jørgensen\ et\ al.\ 07]$  genetic algorithms were introduced the solve the dial-a-ride problem. Every approach has its pros and cons but in most cases the large neighborhood search gets the best solutions in the literature.

# 4 How to compile and run the code

Our approach is implemented in C# using Microsoft Visual Studio Enterprise 2015 under Windows. To compile and run the code, simply open the solution file ORB.DARP.sln and run the project using F5 (debug) or Shift + F5 (no debug).

A copy of Microsoft Visual Studio Enterprise 2015 can be downloaded at Dreamspark UPB.

Another method to run the code, is to open a command line window and enter the path to the compiled *orbdar.exe* with the additional parameters.

5	Experimental	investigation	of our	approach's	components
То	Do				

6	Experimental	investigation	of our	approach's	performance
То	oDo				

# 7 Literature

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