Loans 4160-PAK; 8412-PAK; Grant0816-PAK

Pakistan: Khyber Pakhtunkhwa Cities Improvement Project

Monthly Progress Report

November 2023

Project Management Unit, Local Government, Elections, and Rural Development Department, Khyber Pakhtunkhwa

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**MAP OF PROJECT AREA**

Diagram, map

Description automatically generated

**BASIC DATA**

**Table 1. Project at a Glance**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Project Name, Sector, Subsectors and Executing and Implementing Agencies** | | | | | | | | | | | | | | | |
| **Project Name** | | Khyber Pakhtunkhwa Cities Improvement Project | | | | | | **Loans and Grant No.** | | | | 4160-PAK, 8412-PAK);  G0816-PAK | | | |
| **Sector** | | Water and other urban infrastructure and services | | | | | | **Subsector(s)** | | | | * Urban Water Supply * Urban Sewerage * Urban solid waste management * Urban hazardous waste management * Urban flood protection * Urban policy, institutional and capacity development | | | |
| **Executing Agency** | | Local Government, Elections, and Rural Development Department, Government of Khyber Pakhtunkhwa, through a Project Management Unit | | | | | | **Implementation Agencies** | | | | * Water Supply and Sanitation Companies of Abbottabad, Kohat, Mingora, Mardan, and Peshawar – through respective City Implementation Units | | | |
| **Project Design Summary** | | | | | | | | | | | | | | | |
| **Impact** | | Livability and community health in urban centers of KPK improved | | | | | | | | | | | | | |
| **Outcome** | | Access to reliable and resilient urban services in Abbottabad, Kohat, Mardan, Mingora, and Peshawar improved. | | | | | | | | | | | | | |
| **Outputs** | | **Output 1:** Climate-resilient and gender-friendly urban infrastructure improved.  **Output 2:** Institutional capacity and gender inclusiveness of urban service providers, provincial government, and city governments strengthened.  **Output 3:** Women’s participation in urban governance & access to economic opportunities increased. | | | | | | | | | | | | | |
| **Project Classification** | | | | | | | | | | | | | | | |
| **Environment** | A | | **IR** | A | **Indigenous People** | | C | | | **Gender** | GEN | | | **Risk Cat.** | Complex |
| **SDG Classification** | | | | | | | | | | | | | | | |
| SDG 3.9, SDG 5.a, SDG 6.2, SGD 6.5, SDG 10.2, SDG 11.6, SGD 11.7, SDG 12.c, SDG 13.a | | | | | | | | | | | | | | | |
| **Milestones** | | | | | | | | | | | | | | | |
| **Milestone** | | **ADB Loan**  **(L4160-PAK)** | | | | **ADB Grant**  **(G816-PAK)** | | | **AIIB Loan**  **(L8412-PAK)** | | | | **Minor Change (if any)** | | |
| Approval | | 10 Dec 2021 | | | | 10 Dec 2021 | | | 16 Dec 2021 | | | | Scope Change | | n.a. |
| Signing | | 15 Dec 2021 | | | | 15 Dec 2021 | | | 11 Apr 2022 | | | | Reallocation | | n.a. |
| Effectiveness | | 11 Apr 2022 | | | | 11 Apr 2022 | | | 11 May 2022 | | | | Imp. Arrangement | | n.a. |
| Completion | | 31 Dec 2027 | | | | 31 Dec 2027 | | | 31 Dec 2027 | | | | Extension | | n.a. |
| Closing | | 30 Jun 2028 | | | | 30 Jun 2028 | | | 30 Jun 2028 | | | | Last Mission | | n.a. |
| **Project Elapsed time (calculated from date of Effectiveness of ADB Loan and Grant)** | | | | | | | | | | | | | | | 6.6% |
| **Project Financials ($ million) – As of 10 November 2023** | | | | | | | | | | | | | | | |
|  | | | | | | | |  | | | | | | | |

# EXECUTIVE SUMMARY

* The Project Management Unit (PMU) has been established, and 78.15% of the authorized staff positions (93 out of 119) are currently filled, including both PMU and City Implementation Units (CIU). However, only 18.27% of the filled positions are occupied by females, and 26 positions (21.84%) remain vacant. Contracts have been issued for the positions of Director Gender, Director Reforms, and Director Project Development, while the Director Finance & Admin position has been re-advertised. The recruitment plan for Q4-2023 aims to fill the remaining 26 vacant positions, including critical roles like Director Finance and Admin, Deputy Director (Landscape Architect), and Internal Auditor. The recruitment process faced delays due to limited competition, leading to repeated advertisements.

A total of 12 contracts were awarded, covering urban green space initiatives, water supply, and sewerage systems. One contract for a Water Treatment Plant in Mingora was reassigned due to a missed deadline by the initial awardee. Six other contracts, including Solid Waste Management Systems in multiple cities, are in the planning stages, with bidding set for 2024. The project aims for the Construction of Urban/Green Spaces in Abbottabad by Q3 2026. Additionally, a waste management sub-project in Mingora will relocate due to site limitations, pending a decision from GoKP.

Disbursements, totaling US$ 71.04 million, comprised contributions from ADB, AIIB, and ADF Grant. Disbursement targets varied quarter by quarter, with notable variances from targets in the second and third quarters of 2023. Expenditure, totaling US$ 48.81 million until June 30, 2023, encompassed various project costs, including civil works and operational expenses, following International Public Sector Accounting Standards (IPSAS) on a cash basis.

Overall, the project witnessed disbursements of US$ 71.04 million, primarily from ADB and AIIB, with ADF Grant contributing a smaller sum of US$ 0.36 million until November 10, 2023..

The output wise progress is given as follows;

Output 1: The progress update focuses on urban infrastructure enhancement in various cities. Under Output 1, civil works packages like water supply improvement in Abbottabad, Kohat, and Peshawar are behind planned progress. Similarly, sewerage system construction in Kotal Township is progressing well, but Rorya STP Mardan is lagging. Solid waste management contracts are being developed, and Urban Green Spaces initiatives are ongoing, albeit with varying progress levels.

Output 2 highlights strengthening institutional capacities and gender inclusivity in urban service providers. Efforts to manage non-revenue water await completion of network projects. Initiatives for increased women representation in governing bodies are ongoing, despite some dissolved boards in Mardan and Peshawar.

Output 3 emphasizes women's roles in urban development, detailing ongoing civil work, workshops gathering insights into community needs, and the development of concepts like the Community Incentive Fund. Additionally, there's progress toward initiating a scholarship program for 2024.

# PROJECT MANAGEMENT

## Staffing

* The Project Management Unit (PMU) is established and the staff is hired. A total of 93 (78.15%) positions are filled out of the total authorized staff positions of 119 as per the approved Project Administration Manual (PAM). These include incremental staff of PMU, and City Implementation Units (CIU). Out of these, only 17 (18.27%) are females. 26 (21.84%) positions are vacant. During the reporting month contracts has been issued to the positions of Director Gender, Director Reforms, and Director Project Development. However the position of Director Finance & Admin has been re-advertised. **Appendix 1** provides a summary and details of approved and filled staff positions.
* The remaining period of Q4-2023 recruitment plan aims to fill 26 vacant positions across PMU and CIUs, including critical roles like Director Finance and Admin, Deputy Director (Landscape Architect), and Internal Auditor at PMU. These positions have undergone multiple rounds of advertising. The repeated ads were necessary due to limited competition, causing recruitment delays. The Staff Recruitment Plan is updated as of the reporting month and is outlined in **Appendix 2**.

## Consulting Services

Individual Consultants: In accordance with the Project Administration Manual (PAM), there are a total of 50 available individual consultant positions. Currently, 19 positions (38%) have been filled, comprising roles such as Environmental Specialist, Social Safeguard Specialist, M&E Specialist, and Procurement & Contract Administration Specialist. Additionally, the roles of Community Engagement and Behavior Change Specialist, Research Specialist, Skills & Enterprise Development Specialist, Scholarships Program Specialist, and Capacity Development Specialist for WASH & SWM were appointed as individual consultants from Grant (0816) for the gender component.

The remaining 31 positions (62%) are anticipated to be filled in Q4-2023. The primary cause of the delay in recruiting individual consultants was the receipt of applications that did not meet our essential criteria. Below is a summary of the individual consultant positions.

**Table 1: Summary of the Individual Consultants**

| **S#** | **Name of Entity** | **Total positions per PAM** | **No. of Positions Filled in** | | | **No. of Positions yet to be Advertised** |
| --- | --- | --- | --- | --- | --- | --- |
| **Total** | **Male** | **Female** |
| 1 | PMU, Peshawar | 20 | 09 | 08 | 01 | 11 |
| 2 | CIU Abbottabad | 06 | 02 | 02 | 0 | 04 |
| 3 | CIU Kohat | 06 | 02 | 01 | 01 | 04 |
| 4 | CIU Mingora | 06 | 02 | 02 | 0 | 04 |
| 5 | CIU Mardan | 06 | 02 | 02 | 0 | 04 |
| 6 | CIU Peshawar | 06 | 02 | 01 | 01 | 04 |
|  | **Total** | **50** | **19** | **16** | **03** | **31** |

Details of consulting recruitment activity is provided in **Appendix 3**.

The joint venture firm, Project Management & Construction Supervision Consultants (PMCSC), was contracted to supervise civil works, support the implementation of the Gender Action plan, and ensure compliance with safeguards for KPCIP.

Utilization of consulting services and their input is provided in **Appendix 4**.

# FUND UTILIZATION

## Contract Awards

A total of 12 contracts have been awarded for various works, which encompass urban green space initiatives, Water supply, and sewerage system.

CW-04-Lot 1 - Greater Water Supply Scheme: Water Treatment Plant (WTP) in MINGORA, was initially awarded to MATRACON-SWCCG, who failed to submit their performance security by the deadline. The sub project has been re-advertised and evaluation of the bids completed. Technical evaluation report is submitted to ADB for concurrence.

There are currently six other civil works contracts in the planning stage. These contracts include the procurement, supply, installation, commissioning, and operations of the Solid Waste Management System in Mardan (CW-05) and the Solid Waste Management Systems in Peshawar, Kohat, Mingora, and Abbottabad (CW-07). Bidding for these contracts is scheduled to open in 2024. Once CW-07 nears completion, procurement will commence for the Construction of Urban/Green Spaces in Salhad Bagh, Abbottabad (CW-06), expected to take place in Q3 of 2026.

The sub-project CW-07 Lot 3, which involves the procurement, supply, installation, commissioning, and operation of a solid waste management system in Mingora, will be relocated to a new site due to overfilling and insufficient land at the current site. The decision regarding the new site will be made by the GoKP.

The project has also awarded nineteen individual consultancy contracts, which are currently active. More information on the already awarded contracts can be found in **Appendix 5.**

A list of contracts, works, goods, non-consulting services that are still in the planning stage along with procurement contract monitoring sheet for works and goods through shopping (prior review) can be found in **Appendix 6.**

## Disbursements

The project's total disbursement from inception until November 10, 2023, was US$ 71.04 million. The disbursements from ADB (LN1460-PAK), AIIB (LN8412-PAK), and ADF Grant amounted to US$ 50.45 million, US$ 20.23 million, and US$ 0.36 million, respectively.

In the third quarter of 2023 (Jul-Sep), the disbursement was US$ 0.20 million, against the target of US$ 19.2 million. In comparison, the disbursement during the second quarter of 2023 (Apr-Jun) amounted to US$ 34.23 million, surpassing the target of US$ 6.69 million.

During the previous quarter July-September 2023, disbursement from ADB (LN1460-PAK) was US$ 0.05 million against target of US$12.46 million and AIIB (LN8412-PAK) disbursement was US$ 0.03 million against target of US$ 6.74 million. In comparison to the previous quarter ADB (LN1460-PAK) disbursed US$ 24.73 million, achieving 571% of the target of US$ 4.33 million.

The disbursement by ADF Grant (G0816-PAK) has reached US$ 0.36 million by November 10, 2023, exceeding the target of US$ 0.16 million.

The utilization of ADB and AIIB Loan and ADF Grant is provided in Tables 1, 2, and 3 of **Appendix 7**.

The total expenditure for the project until June 30, 2023, amounts to US$ 48.81 million. This includes costs for civil works, project management, environment and social mitigation, mechanical and equipment, and operational expenses. The civil works cover mobilization advances to contractors (against bank guarantees), which are reflected in the expenditure report. The project follows International Public Sector Accounting Standards (IPSAS) for financial reporting and prepares receipts and payments on a cash basis.

The Project Expenditure has been categorized and is available in the following tables in **Appendix 8**.

Table: A8.1 Category-wise Project Expenditure ($ million)

Table: A8.2 Project Expenditure during the Quarter – By Financier ($ million)

Table: A8.3 Project Expenditure cumulative till date – By Financier ($ million)

Table: A8.4 Project Expenditure – By Output ($ million)

Table: A8.5 Utilization of ADB, AIIB, and ADF Grant – Against Annual Projections Per PAM ($ million)

## Contract Award and Disbursement Performance

The project's overall disbursement from inception until November 10, 2023, amounted to US$ 71.04 million. Of this, ADB (LN1460-PAK) contributed US$ 50.45 million, AIIB (LN8412-PAK) contributed US$ 20.23 million, and the ADF Grant contributed US$ 0.36 million.

The CAD achievement status for all products as of the last quarter Jul-Sep 2023 is provided in **Appendix 9**.

**iv)** Counterpart Funds

The Government of Khyber Pakhtunkhwa (GoKP) has timely released the counterpart share. The allocation for the current year is PKR 426.86 million. As of September 30, 2023, the releases have reached PKR 442 million, with PKR 410 million (92% of the release) already spent. The historical record of counterpart funds allocation, releases, and expenditure is provided in the Table 2 below;

**Table 2: Counterpart Funds Allocation and Utilization Status**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Cat**  **Ref.** | **Financial Year** | **PC-1 Allocation** | **Counterpart Funds (PKR million)** | | | |
| **ADP Allocation** | **Released** | **Expenditure** | **% Utilization** |
| 1 | FY 2021-22 | 56.86 |  | - | - | - |
| 2 | FY 2022-23 | 426.86 |  | 442 | 410 | 92% |
| 3 | FY 2023-24 | 1,839.71 | 50 | 17 | 4.88 | 28% |
| 4 | FY 2024-25 | 2,481.66 |  | - | - | - |
| 5 | FY 2025-26 | 1,607.81 |  | - | - | - |
| 6 | FY 2026-27 | 1,637.68 |  | - | - | - |
| **Total** | | **8050.58** |  |  |  |  |

# **IMPLEMENTATION PROGRESS BY COMPONENT**

The project is aligned with the following impact: livability and community health in urban centers of KP improved.

The project will have the following outcome: access to reliable and resilient urban services in Abbottabad, Kohat, Mardan, Mingora, and Peshawar improved. The outcome will be achieved through three outputs:

## Progress by Outputs

1. **Output 1: Climate-resilient and gender-friendly urban infrastructure improved**

* *1a. Increased supply to 400,000 m3 daily is planned in four Project cities of Abbottabad, Kohat, Mingora and Peshawar will be awarded under two Civil Works (CW) procurement packages of CW-02 & CW-04.*
* *1b. Installation of 1,200 km of new water distribution network and rehabilitation of 550 km of existing network is also planned in four Project cities of Abbottabad, Kohat, Mingora and Peshawar that is to be awarded under two Civil Works (CW) procurement packages of CW-02 & CW-04.*

**Water Supply, Water Treatment Plant (WTP) with Supervisory control and data acquisition (SCADA), & Water Distribution System**

The progress update of two civil works procurement packages contributing to the above performance indicators of output 1 is summarized below:

**CW-02: Improvement of Water Supply System with SCADA**

The contracts for all the four lots mentioned below under the CW-02 package has been signed.

i) In the project CW-02-Lot1 for the Rehabilitation & Upgrade of Water Supply to WTP with SCADA in Abbottabad, the contractor has provided the mobilization advance guarantee and received the advance payment. Commencement letter has not been issued to the JV-Contractor.

ii) In CW-02-Lot 2, for the Rehabilitation & Upgrade of the Water Supply System connected to the WTP with SCADA, including the New Water Treatment Plant in Abbottabad: The JV-Contractor has achieved an overall progress of 1.54% against planned 2.71% till the end of the reporting month. Only 1.04% progress has been achieved during the month.

iii) In CW-02-Lot 3, for the Improvement of the Water Supply System with SCADA in Kohat, the overall progress is at 2.42%, which is below the planned progress of 7.82% by the end of the reporting period. Only 0.22% progress has been made during the month.

iv) For CW-02-Lot 4, the Improvement of Water Supply System with SCADA in Peshawar, the overall progress is at 4.12%, falling short of the planned progress of 14.2% by the reporting period. Progress made during the month is 0.86%.

**CW-04: Mingora Greater Water Supply Scheme**

(i) CW-04-Lot 1-Greater Water Supply Scheme: Water Treatment Plant (WTP) in MINGORA: The sub project has not been awarded yet.

(ii) CW-04-Lot 2- Water Distribution System, Intake Structure, Distribution System, and SCADA in MINGORA: An overall progress of 0.84% has been made, which falls short of the planned progress of 10.47% for the reporting period. Only 0.13% progress has been made during the month.

The following table gives an overview of the status of planned vs achieved progress.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Sub Project | Cumulative as of | | | | Progress made during Nov-23 only | Remarks |
| Oct-23 | | Nov-23 | |
| Planned | Achieved | Planned | Achieved |
| CW-02-Lot1 |  |  |  |  | 0.00 | Commencement letter not issued |
| CW-02-Lot2 | 2.5 | 0.5 | 2.71 | 1.54 | 1.04 |  |
| CW-02-Lot3 | 5.23 | 2.2 | 7.82 | 2.42 | 0.22 |  |
| CW-02-Lot4 | 9.39 | 3.26 | 14.2 | 4.12 | 0.86 |  |
| CW-04-Lot1 |  |  |  |  | 0 | Not awarded yet |
| CW-04-Lot2 | 8.19 | 0.71 | 10.47 | 0.84 | 0.13 |  |

* *1d. The cumulative sewage treatment capacity of 30,000 cubic meters daily is to be achieved with construction of two new sewage treatment plants in two Project cities of Kohat and Mardan to be awarded under a Civil Works (CW-03) procurement package.*
* *1e. The installation of 156 km of new sewerage pipes is also planned with construction of two new treatment plants in two Project cities of Kohat and Mardan to be awarded under a Civil Works (CW-03) procurement package.*

**CW-03: Construction of Sewerage System Including New Sewage Treatment Plant (STP)**

The progress update of the two subprojects under the CW-03 package is summarized below:

(i) CW-03-Lot 1-Kotal Township (KDA) Sewerage System, including the New Sewage Treatment Plant (STP) and necessary SCADA system, has made a physical progress of 9.1%, surpassing the planned progress of 13.75% for the reporting period. The progress achieved during the reporting month is 2.67%.

(ii) In CW-03-Lot 2, covering the Catchment Area of Rorya STP Mardan, the contractor has achieved an overall progress of 9.1%, falling short of the planned 15.53% progress for the reporting period. 2.96% progress has been made during the reporting month.

*1f. The solid waste treatment capacity of at least 2,000 tons daily is to be achieved with construction of five (5) modern solid waste management facilities (SWMF) in all five cities to be awarded under two Civil Works (CW) procurement packages.*

**Solid Waste Management System**

The status update of the two packages i.e. Procurement, Supply, Installation, commissioning and operations of Solid waste management system Mardan (CW-05) and Procurement, Supply, Installation, commissioning and operations of Solid waste management system, Peshawar, Kohat, Mingora & Abbottabad (CW-07) is summarized below:

One of the tasks assigned to Institutional Reform and Capacity Building (IRCB) consultants under PRF was to provide the business model solution (BMS) for the solid waste management component. The Operational Design and Performance Monitoring Framework under Milestone-5 have been shared with pertinent stakeholders for feedback and review. This includes operational designs and financial models for water and sewerage treatment plants in various cities. Feedback from the stakeholders has been communicated to the IRCB consultants for necessary adjustments.

* *1g. The 1.6 km2 of gender-friendly and climate resilient urban spaces and parks is to be established within all five cities and awarded under two Civil Works (CW-01 & CW-06) procurement packages.*

**Urban Green Spaces Initiatives**

Four out of five Urban Green Spaces Initiatives CW-01 package subprojects are currently underway, i.e;

(i) CW-01-Lot1: Pedestrianization of Market in Old City Centre, Sherwan Adventure Family Park, Abbottabad: Contractor has re-submitted the revised working schedule on dated 30th October 2023 & site activities are in progress according to the revised working schedule. A progress of 19.87% has been accomplished, slightly below the planned target of 20.85% overall. 6.17 % progress has been added to the cumulative achievement during the month.

(ii) CW-01-Lot2: Women’s Business Development & Community Centre and Sports Complex, Kohat: A progress of 38.40% has been accomplished, which is below the planned revised target of 51.29%. In the previous month of October the overall progress stands at 41.1%, which was below the planned 100%.

(iii) CW-01-Lot3: Ring Road Green Belt and N-45 National Highway, Mardan: This sub-project is being terminated due to isolation and visibility issues, with approval sought from ADB after the PD-KPCIP's agreement.

(iv) CW-01-Lot4: Neighborhood Park, Mingora: An overall progress of 14.56% has been achieved against the planned progress of 100%. Only 5.11% progress has been made during the month.

(v) CW-01-Lot5: Besai Park Hayatabad and Bagh-e-Naran Park Extension, Peshawar: Progress stands at 46.93%, below the planned 64.55%. Progress made during the reporting month is 5.73%.

The table below provides a quick overview of the planned vs achieved progress of the sub projects under Green Urban Spaces;

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Sub Project | Cumulative as of | | | | Progress made during Nov-23 only | Remarks |
| Oct-23 | | Nov-23 | |
| Planned | Achieved | Planned | Achieved |
| CW-01-Lot1 | 10.01 | 13.70 | 20.85 | 19.87 | 6.17 |  |
| CW-01-Lot2 | 100.00 | 41.10 | 51.29 | 38.40 | -2.70 |  |
| CW-01-Lot3 |  |  |  |  | 0.00 |  |
| CW-01-Lot4 | 100.00 | 9.45 | 100.00 | 14.56 | 5.11 |  |
| CW-01-Lot5 | 55.70 | 41.20 | 64.55 | 46.93 | 5.73 |  |

**CW-06:** Construction of Urban / Green Spaces - Salhad Bagh, Abbottabad: To be advertised after completion of the Solid Waste Management System.

1. **Output 2: Institutional capacities of gender inclusive urban service providers and government strengthened**

* *2a. Non-revenue water reduced to 30% of total water produced*
* *2b. New tariff scheme formulated and adopted by WSSCs*
* *2c. An estimated 150 district metered areas with SCADA system for water supply commissioned*

The implementation of non-revenue water management will be initiated following the completion of the new water distribution network and rehabilitation of the existing network in four Project cities of Abbottabad, Kohat, Mingora and Peshawar under two Civil Works (CW) procurement packages - KPCIP/ CW-02 & KPCIP/CW-04.

* *2d. Gender-inclusive human resources policies and procedures developed and adopted for 5 WSSCs.*

The documents developed by IRCB have been reviewed by ADB gender team, comments provided on both the indicators are yet to be incorporated for final submission.

* *2e. At least 20% of board members in each WSSC are women.*

The project aims to boost women's representation in the WSSC Board of Directors, aiming for a shift from the baseline of 3% in 2021 to the ultimate goal of 20%. The project has effectively sustained a gender ratio of 12.5%. However, in the third quarter of 2023, the WSSC boards in Mardan and Peshawar were dissolved, presenting an opportunity to advocate for increased inclusion of women members as the process of reconstituting the Board of Directors commences. Most of the BoDs have been dissolved. Suggestions for enhancement of women member to at least 20% have been shared with all WSSCs. The gender ratio details of WSSC board members in different cities as of October-23 can be viewed in the table below;

**Table 5: WSSCs Board Members Gender Ratio**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **District** | **Male** | **Female** | **Total** | **Female ratio** |
| Peshawar | 0 | 0 | 0 | 0 |
| Kohat | 10 | 1 | 11 | 9.09% |
| Mardan | 0 | 0 | 0 | 0 |
| Swat | 9 | 1 | 10 | 10% |
| Abbottabad | 9 | 2 | 11 | 18.2% |
| **Total** | **28** | **4** | **32** | **12.5%** |

* *2f. Performance and service benchmarks for key urban services with sex-disaggregated targets approved for 5 WSSCs*.

The documents developed by IRCB have been reviewed by ADB gender team, comments provided on both the indicators are yet to be incorporated for final submission.

* *2g. At least 50% of the target population reached through consultations and awareness-raising campaigns are women.*

The BCC firm CCPP is in the process of developing BCC strategy, Cities Action Plan and the KPCIP action plan.

The gender team of CIUs conducting awareness raising sessions in concerned communities on regular basis. Details of which are as:

|  |  |  |  |
| --- | --- | --- | --- |
| **City** | **Gender** | **No of Session** | **No. of Participants** |
| Mardan | Male | 04 | 1150 |
| Kohat | Male | 11 | 165 |
| Female | 9 | 135 |
| Peshawar | Male | 136 | 1168 |
| Female | 142 | 955 |
| Swat | Male | 3 | 30 |
| Female | 15 | 50 |

1. **Output 3: Women’s role in urban development increased**

* *3a. WBDC in Kohat constructed and one technical training institute for girls in Peshawar upgraded*

Civil Work is in Progress. Work on the proposal of Forming an Apex Body, responsible for the management, maintenance and operation of the center is in progress.

* 3b. At least 100 WBDC business development trainees provided with materials and *technical training have set up their own businesses*

A successful One-Day Needs Analysis Workshop took place, involving 50 participants from government and non-government organizations. This workshop, a key activity under GAP Component 3.2, aimed to gather insights into community women's needs for the WBDC in Kohat.

* *3c. At least 100 women entrepreneurs have established green enterprises with the material and business under the Community Incentive Fund established by the project*

Draft Concept Paper for Community Incentive Fund developed by BCC Specialist and shared with PMU.

* *3d. At least 55 female beneficiaries of the project’s scholarship program earned university degrees in fields related to urban water supply and sanitation*

One-year master’s Scholarship Program

Inception for scholarship program is in progress for the year 2024 on national and international level.

An update on the Project Design and Monitoring Framework (DMF) and the percentage progress of civil works sub-projects can be found in **Appendix 10**.

## Change in Scope/Need for Contract Variations/Extension

* Urban Green Space Initiatives – Ring Road Green Belt and N-45 national Highway, MARDAN (CW-01: Lot-3)

Due to issues related to isolation and a lack of visibility, the employer is currently terminating the sub-project. The PD-KPCIP has accepted this termination for convenience and has informed ADB for approval.

* Urban Green Space Initiatives – Women’s Business Development & Community Centre and Sports Complex, Kohat (CW-01: Lot-3)

The Sports Complex Kohat's variation case is currently undergoing processing by the PMU. It has already received a recommendation from 'The Engineer' and has been verified by the CIU Chief Engineer-KPCIP. Subsequently, it will be submitted to ADB for approval after recommendation by City Level Project Administration and Management Committee (CLPAMC).

# **PERFORMANCE OF CONTRACTORS AND SUPPLIERS**

* The performance of contractors is not satisfactory in relation to physical progress and activities. THE ENGINEER served notices for necessary compliance to the contractor for improvement.

# **PERFORMANCE OF CONSULTANTS**

* **PMCSC(Minco-CEC-JV)**

The PMCSC has performed satisfactorily overall, however, responses pertaining to design are taking longer than expected. A portion of the recruitment and replacement of important personnel is still pending. All necessary responsibilities and deliverables have been sent to the PMU, either upon request or when the PMU/ADB deems it necessary.

* **IRCB**

The IRCB consultants, working under the KPCIP-Project Readiness Financing (PRF) 1, are instituting reforms to strengthen the Department of Local Government Election and Rural Development Department (LGE&RDD) in Khyber Pakhtunkhwa. Notably, during July 1, 2023, to September 30, 2023, they completed Milestones three (3) and six (6), including Detailed and Comparative Analysis, as well as a Training Need Assessment and Plan, with approval from stakeholders.

Moreover, they have requested fund re-appropriation for Milestone-7's remaining trainings, with the "Time and Motion study of waste collection vehicles" scheduled for October 11, 2023. Under Milestone-4, draft Business Model Reports for Green Urban Spaces Sub-Projects have been submitted for Abbottabad, Kohat, Mardan, Swat (Mingora), and Peshawar.

Similarly, draft reports for Operational Design and Performance Monitoring Framework under Milestone-5 have been shared with pertinent stakeholders for feedback and review. This includes operational designs and financial models for water and sewerage treatment plants in various cities. Feedback from the stakeholders has been communicated to the IRCB consultants for necessary adjustments.

* **Individual Consultants**

At present, there are only nineteen individual consultants working at PMU and CIUs, including GAP consultants. Social safeguard Specialists and Environmental Safeguard specialists for each WSSC/CIU has been hired during previous quarter. The remaining 31 consultants for PMU & CIUs are expected to be hired in Q4-2023. Appendix-4 provides a detailed utilization of the consultants.

# **SAFEGUARDS AND GENDER**

## Social Safeguards Compliance

During the reporting month under the social safeguards and Resettlement component the details of city wise activities are given in **Appendix-11**.

## Environmental Screening and Monitoring

Environmental safeguard activities of KPCIP continued for the reporting month. Physical works on KPCIP CW-01, CW-02, CW-03 and CW-04 Lot-2 commenced during reporting month. SSEMPS and SSHSMPs of all active KPCIP projects has been approved and issued to contractors for compliance. The ADB clearances have been obtained for all sub-projects under the existing KPCIP portfolio. Environmental approval of all KPCIP projects has been obtained except NOC of EIA of Kohat solid waste management facility for which public hearing is conducted in October, 2023. KP EPA sent queries to PMU after public hearing for which detailed response was prepared and submitted to EPA on 21st Nov, 2023 for further review and issuance of NOC.

With respect to monitoring of environmental safeguard implementation PMCSC has hired environmental safeguard specialists at their main office in Peshawar while environmental associates are deputed in each city. Environmental associates of Mardan, Swat and Peshawar has left the project and PMCSC is advised to fill the positions on priority. Environmental safeguard monitoring is being reported through MPRs and QPRs. CIU environment specialists has been hired and working at city levels to monitor safeguard compliances and non-compliances. Environment specialist of CIU Abbottabad has resigned from the position on medical grounds and PMU has advertised the position and hiring is in progress.

During reporting month ADB has conducted Loan Review Mission (LRM) dated 13-23 Nov, 2023. The main agenda of loan review mission was to review the projects’ progress, achievements against the DMF, utilization and potential savings in the loan, compliance with loan covenants, and assess the possibility of de-scoping or loan cancelation. Status of environmental safeguards presented to LRM team. Status of contract wise environmental is provided in **Appendix-12**.

## Implementation of Gender Action Plan (GAP)

*Output 1: Climate resilient and gender friendly urban infrastructure and services improved*

**1.1.1.** The BCC firm CCPP is in the process of developing BCC strategy, Cities Action Plan and the KPCIP action plan.

The gender team of CIUs conducting awareness raising sessions in concerned communities on regular basis. Details of which are as:

|  |  |  |  |
| --- | --- | --- | --- |
| **City** | **Gender** | **No of Session** | **No. of Participants** |
| Mardan | Male | 04 | 1150 |
| Kohat | Male | 11 | 165 |
| Female | 9 | 135 |
| Peshawar | Male | 136 | 1168 |
| Female | 142 | 955 |
| Swat | Male | 3 | 30 |
| Female | 15 | 50 |

**1.1.2.** The first round of BCC Training for CIU and WSSC staff was successfully conducted from July to September 2023 in Mardan, Kohat, Mingora, Abbottabad, and Peshawar. Further trainings will be conducted after formation of BCC strategy and other related documents by BCC firm.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **BCC Trainings for CIUs/WSSCs Staff-(1st round)** | | | | |
| **City** | **Dates** | **Male Participants** | **Female Participants** | **Total** |
| Mardan | July 26–27, 2023 | 12 | 2 | 14 |
| Kohat | August 15-16, 2023 | 20 | 5 | 25 |
| Mingora (Swat) | August 21-22, 2023 | 19 | 4 | 23 |
| Abbottabad | September 5-6, 2023 | 20 | 5 | 25 |
| Peshawar | September 14 -15, 2023 | 7 | 05 | 13 |
| **Total** | | **78** | **21** | **99** |

**1.2.1.** A total of 07 gender friendly urban spaces & parks are in the process of development and upgradation. Details given in the Table below:

**Table 4: Gender Friendly Green Urban Spaces-Beneficiaries**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Population (Household) Data of Green Urban Spaces** | | | | |
| **District** | **Project Activity** | **Residents** | **Total HH** |  |
| Abbottabad | Sherwan Family Adventure Park | Nawa Shahir  City Urban Kehal Malik Pora | 310515 | Total= 366180 |
| Pedestrianization of Old City Area |
| Kohat | Kohat Sports Complex | Tehsil Urban Kohat | 28569 |
| Women Business Development Center |
| Mingora | Ladies Neighborhood Park | Landikas-Malakanan | 3802 |
| Peshawar |
| Bagh e Naran Extension Park | Hayatabad | 23,294 |
| Besai Park |

**1.2.2.** The baseline for this component has been completed by all 5 cities i.e., Mardan, Swat, Abbottabad and Kohat and Peshawar the data punching in soft in Peshawar is in progress.

|  |  |  |  |
| --- | --- | --- | --- |
| **Cities** | **NC** | **HH** | **Survey forms** |
| Mardan | 16 | 303 | 303 |
| Kohat | 16 | 100 | 100 |
| Peshawar | 130 | 1950 | 1950 |
| Swat | 25 | 305 | 305 |
| Abbottabad | 3 | 58 | 58 |

*Output 2: Institutional capacities of gender inclusive urban service providers and government strengthened.*

**2.1.1.** IRCB has disseminated the "Diversity and Inclusion Policy," a document crafted for WSSCs by an HR expert at IRCB. The documents developed by IRCB have been reviewed by ADB gender team, comments provided on both the indicators are yet to be incorporated for final submission.

**2.1.2.** IRCB is currently integrating the gender inputs and feedback received from both the ADB gender team and the KPCIP gender section to amend the document concerning the subject indicator. The revised document is yet to be submitted by IRCB.

**2.2.1.** The WSSC women staff ratio has been increased from 2% in 2021 to 2.6 in June 2023, no progress recorded after June,2023.

Once the HR policy manuals of WSSCs are revised and developed from gender perspective, the sensitization sessions will be conducted for the senior management to ensure the desired ratio of women staff at each WSSC.

The staffing data of WSSC (Water Supply and Sanitation Company) working at all levels has been collected from all five targeted cities of KPCIP. The current percentage of female staff has increased to 2.6%.

**2.2.2.** The Director Gender position has been filled by a woman, and 50% of the mentioned indicator has been achieved.

**2.2.3.** The project is tasked with increasing the women's representation on the WSSC board of directors from 3% as the baseline 2021 to 20% as the ultimate project outcome. The project has successfully maintained a 12.5% gender ratio during the reporting month. However, since in 3rd quarter 2023, the WSSC board of directors in Mardan and Peshawar, and were dissolved, creating an opportunity to advocate for more women members' inclusion as the reconstitution of the Board of Directors began. Most of the BoDs have been dissolved. Suggestions for enhancement of women member to at least 20% have been shared with all WSSCs.The gender ratio details of WSSC board members as of Oct-23 in different cities can be viewed in the table below;

**Table 5: WSSCs Board Members Gender Ratio**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **District** | **Male** | **Female** | **Total** | **Female ratio** |
| Peshawar | 0 | 0 | 0 | 0 |
| Kohat | 10 | 1 | 11 | 9.09% |
| Mardan | 0 | 0 | 0 | 0 |
| Swat | 9 | 1 | 10 | 10% |
| Abbottabad | 9 | 2 | 11 | 18.2% |
| **Total** | **28** | **4** | **32** | **12.5%** |

**2.3.1.** The overall target number of WASH groups for the year 2023 has been achieved by all five CIUs. A total of 170 WASH groups have been formed as of the reporting period. The details of these are as follows:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **District** | **Male** | **Female** | **Mixed** | **Total** |
| Abbottabad | 10 | 12 | 01 | 23 |
| Mardan | 12 | 18 | 00 | 30 |
| Peshawar | 34 | 31 | 00 | 65 |
| Kohat | 16 | 16 | 00 | 32 |
| Swat | 10 | 10 | 00 | 20 |
| **Total** | **82** | **87** | **01** | **170** |

**2.3.2.** A training module on the safe use of water, sanitation, and best hygiene practices has been developed; the training is scheduled to take place in December 2023. Additionally, a draft WASH program has been formulated and submitted. Currently, the comments from the Asian Development Bank (ADB) gender team are being incorporated into the program.

*Output 3:* *Women’s role in urban development increased*

**3.1.1** After completing the shortlisting based on the new criteria shared by the ADB Gender team, several gaps were identified. These were collectively discussed and resolved during the ADB mission. To finalize the criteria and prevent future discrepancies, the Internship Interview & Selection Committee members convened a meeting at PMU-KPCIP. A decision was made based on the majority consensus, and certain adjustments were integrated. The final criteria are currently being incorporated, and interviews are scheduled for the second week of December 2023. Intern placements at WSSCs are expected in Quarter-1 of 2024.

**3.1.2.** One-year master’s Scholarship Program

After completion of extensive working on provincial level institutions and execution plan the ADB gender team suggested an in-depth research and exploration of national and international scholarship programs/opportunities and agreed on the delay of the program up to 1 year. Inception for scholarship program is in progress for the year 2024 on national and international level.

**3.2.1.** Civil Work is in Progress. Work on the proposal of Forming an Apex Body, responsible for the management, maintenance and operation of the center is in progress.

**3.2.2, 3.2.3, 3.2.4, 3.2.5.** The skills & enterprise development consultant is facilitating the implementation on the target indicators.

**3.2.6.** Draft Concept Paper developed by BCC Specialist and shared with PMU. Reply from PMU still awaited.

The updated matrix of GAP implementation progress is provided in **Appendix 13**.

# COMPLIANCE WITH COVENANTS

The overall compliance status with covenants indicates that 30% are fully complied, 45% are currently in progress, 10% are partially complied, and 15% are either not yet due or not applicable.

**Covenants in Loan Agreement:**

Of the 54 covenants, 20 (37%) are fully complied, 19 (35%) are ongoing, 8 (14.8%) are partly complied, while 7 (12.96%) are not yet due. None of the loan covenant is in non-compliance.

**Covenants in Grant Agreement:**

Out of 8 grant covenants, 3 are complied, 4 are ongoing, and 1 is not yet due.

**Covenants in Project Agreement:**

Of the 26 project covenants, 3 (11.5%) are fully complied, 17 (65.38%) are ongoing, 1 (3.84%) is partly complied, 5 (15.38%) are not yet due/not applicable.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Covenant in Agreement** | **Complied** | **Ongoing** | **Partly Complied** | **Not Yet due** | **Total** |
| Loan | 20 | 19 | 8 | 7 | 54 |
| Grant | 3 | 4 | 0 | 1 | 8 |
| Project | 3 | 17 | 1 | 5 | 26 |
| **Total** | **26** | **40** | **9** | **13** | **88** |

Detailed matrix on compliance of covenants is provided in **Appendix 14**.

# FINANCIAL MANAGEMENT AND AUDITING

* **Financial Management Action Plan.**

Progress has been achieved in adhering to the actions outlined in the Financial Management Action Plan (FMAP). Among the total 14 actions in the FMAP, six are compiled (43%), two have been partially complied (14%), three are not yet due (21%) and three are not applicable (21%).

The PMU has made significant progress in implementing accounting software, and data entry has started during this upcoming quarter. The development of the chart of accounts and the preparation of reporting structures using the accounting software have been completed.

A detailed assessment of compliance against each action of the FMAP is provided in **Appendix 15**.

* **Audit**

Letter requesting for deferment of audited project financial statement for the FY (2021-2022) of ADB Loan 4160-PAK, ADB Grant 0816-PAK, and ADB Loan 8412-PAK (AIIB Co-Financing) has been sent to ADB. However, first year audit will be FY 2022-23 and audit report will share before 31st December 2023.

# UPDATE ON AGREED ACTIONS WITH MOST RECENT ADB MISSIONS

During the ADB mission, approximately 41 actions were collectively agreed upon, primarily pertaining to PMU, PMCSC, WSSCs/CIUs. Among these, 23 agreed actions have been successfully accomplished up to the reporting quarter. The remaining actions are currently either "in progress" or "awaiting approval". The details are provided in **Appendix 17**.

# MAJOR PROJECT ISSUES AND PROBLEMS

Due to low competition, the hiring process for key staff positions like Directors and Individual consultants has been delayed. It took several rounds of advertising to draw in eligible applicants. In order to comply with the mandate of posting newspaper advertisements, it was also necessary to re-advertise the Director posts. These positions were to be shortlisted by a selection committee chaired by the Local Government Secretary, and interviews were to be conducted by the Additional Chief Secretary (ACS).

* Four out of five subprojects in the CW-01 package of the Urban Green Spaces Initiatives are currently underway. Notable findings for Lots 1, 4, and 5 reveal significant deviation from the planned progress trajectory. The actual progress on-site differs noticeably from the progress outlined in the approved work program. Consequently, the previous work program has been deemed ineffective. To rectify this issue, JV-Contractors have been instructed to submit revised or recovery work schedules that accurately reflect the current progress and ensure timely project completion.

# LIST OF APPENDICES

## Appendix-1: Update on Staff Recruitment

**Table A1.1: Summary Staffing Position**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Incremental Staff** | | | | | | |
| **S#** | **Name of Entity** | **Total positions per PAM** | **No. of Positions Filled in** | | | **No. of Positions yet to be Advertised** |
| **Total** | **Male** | **Female** |
| 1 | PMU, Peshawar | 37 | 29 | 26 | 03 | 08 |
| 2 | CIU Abbottabad | 17 | 13 | 10 | 03 | 04 |
| 3 | CIU Kohat | 18 | 15 | 12 | 03 | 03 |
| 4 | CIU Mingora | 16 | 12 | 9 | 03 | 04 |
| 5 | CIU Mardan | 15 | 11 | 9 | 02 | 04 |
| 6 | CIU Peshawar | 16 | 13 | 10 | 03 | 03 |
|  | **Total** | **119** | **93** | **76** | **17** | **26** |
|  |  |  | **%** | **81.7** | **18.3** |  |

| **Table A1.2: Detailed Staffing Position of PMU** | | | | | |
| --- | --- | --- | --- | --- | --- |
| **S#** | **Name of Staff Position** | **Name of Person Appointed** | **Gender** | **Date of Appointment** | **Remarks** |
|  | **INCREMENTAL STAFF** |  |  |  |  |
| 1. | Project Director | Syed Zafar Ali Shah | Male | 29 Jul 2022 | * NTP issued and onboard |
| 2. | Internal Auditor |  |  |  | * Position re-advertised on August 31 2023.Shortlisting and evaluation of CVs in process * Mr. Asif Khan resigned from the position in July 2023 * NTP issued and onboard |
| 3. | Director Technical | Mian Shakeel | Male | 13 Apr 2022 | * NTP issued and onboard |
| 4. | Director Compliance | Amir Alam Khan | Male | 13 Apr 2022 | * NTP issued and onboard |
| 5. | Director Finance and Administration |  |  |  | * Position re-advertised,   will be filled in next Quarter.   * Previously Mr. Muhammad Jan was appointed who resigned in October 2022 |
| 6. | Director Project Development | Shaida Muhammad | Male |  | * Contract Issued. * Previously Mr. Atif Masood was appointed who resigned in July 2022 |
| 7. | Director Gender and inclusion | Mehnaz Iftikhar | Female |  | Contract Issued.  Ms. Shandana Saad came on board dated 13 April 2022 and Resigned from the post on 23 April 2023 |
| 8. | Director Reforms | Sahibzada Ali Noor | Male |  | * Position advertised * Position will be filled in next Quarter |
| 9. | Project Support Officer (Gender) | Neelam Mahal | Female | 22 May 2023 | * NTP issued and onboard |
| 10. | Urban Development Specialist | Altaf Mashwaani | Male | 28 Mar 2022 | * NTP issued and onboard |
| 11. | Wastewater Treatment Specialist | Mr. Abdul Rehman Khan | Male | 27 October 2023 | * Contract Issued |
| 12. | Solid Waste Management Specialist | Mr Arshad Samad Khan | Male | 27 October 2023 | * Contract Issued |
| 13. | Water Supply and Sanitation Specialist | Alamgir Afridi | Male | 17 May 2022 | * NTP issued and onboard |
| 14. | Deputy Director *(Landscape Architect)* |  |  |  | * Position advertised * Position will be filled in next Quarter |
| 15. | Urban Planner | Farooq Hayat | Male | 25 May 2023 | * NTP issued and onboard |
| 16. | Municipal Finance Specialist/Financial Management Specialist |  |  |  |  |
| 17. | Community Liaison Specialist | Sarmad Ali Shah | Male | 31 Mar 2022 | * NTP issued and onboard |
| 18. | ICT Officer | Sajjad Ahmad | Male | 30 Mar 2022 | * NTP issued and onboard |
| 19. | Project Development Officer |  |  |  | * Position advertised * Position will be filled in next Quarter |
| 20. | Monitoring & Evaluation Officer |  |  |  | Re-advertise and will be filled in next Quarter.  Previously Mr. Qaiser Mehmood was appointed in March 2022 who resigned in December 2022. |
| 21. | Media and Communication Officer | Fawad Ali | Male | 13 Jun 2023 | * NTP issued and onboard |
| 22. | Admin Officer | Ayesha Shah | Female | 31 Mar 2022 | * NTP issued and onboard |
| 23. | Finance & Account Officer (Reporting) | Qazi Raees Ahmed | Male | 31 Mar 2022 | * NTP issued and onboard |
| 24. | Finance & Account Officer (Accounting) |  |  |  | * Position advertised * Position will be filled in next Quarter |
| 25. | Accounts Assistant 1 | Ahmad Ali | Male | 28 Mar 2022 | * NTP issued and Staff on board |
| 26. | Accounts Assistant 2 | Umar Niaz Khan | Male | 28 Mar 2022 | * NTP issued and Staff on board |
| 27. | Accounts Assistant 3 |  |  |  | Position re advertised, will be filled in Q4 2023 |
| 28. | Admin / Office Assistant 1 | Syed Usman Ali shah | Male | 5 Sep 2022 | NTP issued and onboard |
| 29. | Admin / Office Assistant 2 | Hakim Ullah | Male | 5 Sep 2022 | NTP issued and onboard |
| 30. | Procurement Assistant | Mubarak Ali | Male | 23 Sep 2022 | NTP issued and onboard |
| 31. | Procurement Assistant | Muhammad Waqar | Male | 23 Sep 2022 | NTP issued and onboard |
| 32. | Computer Operator / Draftsman1 | Ubaid Ali | Male | 28 Mar 2022 | NTP issued and onboard |
| 33. | Computer Operator / Draftsman 2 | Adnan Khan | Male | 28 Mar 2022 | NTP issued and onboard |
| 34. | Computer Operator / Draftsman 3 | Khalid Nasir | Male | 28 Mar 2022 | NTP issued and onboard |
| 35. | Computer Operator / Draftsman 4 | Israr Akbar | Male | 28 Mar 2022 | NTP issued and onboard |
| 36. | Computer Operator / Draftsman 5 | Muhammad Ijaz | Male | 28 Mar 2022 | NTP issued and onboard |
| 37. | Computer Operator / Draftsman 6 | Saddam | Male | 28 Mar 2022 | NTP issued and onboard |
|  | **INDIVIDUAL CONSULTANTS** |  |  |  |  |
| 38.. | Monitoring & Evaluation Specialist | Shafiq Muhammad Khan | Male | 12 Aug 2022 | * NTP issued and onboard |
| 39. | Procurement & Contract Specialist | Shafiqullah Khan | Male | 12 Aug 2022 | * NTP issued and onboard |
| 40. | Social Safeguard Specialist | Ali Akbar | Male | 12 Aug 2022 | * NTP issued and onboard |
| 41. | Environmental Safeguard Specialist | Abdul Qayum | Male | 12 Aug 2022 | * NTP issued and onboard |
| 42. | Legal Expert |  |  |  | * To be decided |
| 43. | Training/Capacity Building Specialist |  |  |  | * TBD |
| 44. | Municipal Finance Specialist |  |  |  | * TBD |
| 45. | Communication Specialist |  |  |  | * Position re-advertised * Previously Mr. Tariq Afridi was appointed in August 2022, later on resigned in November 2022 |
| 46. | Smart Cities Development Specialist |  |  |  | * To be decided |
| 47. | Tourism Specialist |  |  |  | * TBD |
| 48. | ICT Specialist |  |  |  | * EOIs received * Position will be decided |
| 49. | Institutional Development Specialist |  |  |  | * TBD |
| 50. | GIS Specialist |  |  |  | * TBD |
| 51. | Urban Planner |  |  |  |  |
| 52. | External Environmental Monitoring Expert |  |  |  | * TBD |
|  | Technical Expert-1 (For PRF-2) | TBD |  | Not Advertised | * Not Advertised * TBD in next Quarter |
|  | Technical Expert-2 (For PRF-2) | TBD |  | Not Advertised | * Not Advertised * TBD in next Quarter |
|  | Technical Expert-3 (For PRF-2) | TBD |  | Not Advertised | * Not Advertised * TBD in next Quarter |
|  | **Individual Consultants Gender** |  |  |  |  |
| 53. | Community Engagement and Behavior Change Specialist | Sufi Ahmed Owais | Male | 12 Aug 2022 | * NTP issued and onboard |
| 54 | Research Specialist | Shakeel Hayat | Male | 12 Aug 2022 | NTP issued and onboard |
| 55 | Scholarships Program Specialist | Danish Latif | Male | 03 July 2023 | NTP Issue and onboard.  Submission 2 yet to be completed. |
| 56.. | Capacity Development Specialist GESI, WASH & SWM | Noor Mahal | Female | 12 Aug 2022 | * NTP issued and onboard |
| 57 | Skills and Enterprise Development Specialist | Zahid Khurshid | Male | 03 July 2023 | NTP Issue and onboard.   * Submission 2 yet to be completed. |

| **Table A1.3: Detailed Staffing Position of CIUs** | | | | | |
| --- | --- | --- | --- | --- | --- |
| **S/No** | **Name of Staff Position** | **Name of the person appointed** | **Gender** | **Date of Appointment** | **Remarks** |
|  | **CIU Abbottabad** |  |  |  |  |
| 1. | Infrastructure Engineer (WATSAN) | Syed Ibrahim Shah | Male | 24 Jan 2023 | NTP issued, Staff onboard |
| 2. | Infrastructure Engineer (SWM) | Imran Ullah | Male | 24 Jan 2023 | NTP issued, Staff onboard |
| 3. | Infrastructure Engineer (Wastewater) |  |  |  | TBD |
| 4. | MIS Officer |  |  |  | Position advertised.  Position will be filled in Q4 2023 |
| 5. | Contract Admin / Management Officer | Waqar Ur Rehman | Male | 15 Aug 2022 | NTP issued, Staff onboard |
| 6. | M&E Officer |  |  |  | Position advertised.  Position will be filled in Quarter 4 2023.  Qasim Ali was appointed in August 2022 who resigned. |
| 7. | GIS Officer | Rawaiz Muhammad | Male | 15 Aug 2022 | NTP issued, Staff onboard |
| 8. | Gender Coordinator / Officer | Farhat Parveen | Female | 29 Aug 2022 | NTP issued, Staff onboard |
| 9. | IT Officer |  |  |  | Position advertised, will be filled in Q4, 2023 |
| 10. | Social Mobilizer | Shamim Sohrab | Female | 23 Nov 2022 | NTP issued, Staff onboard |
| 11. | Social Mobilizer | Gul e Arzo | Female | 23 Nov 2022 | NTP issued, Staff onboard |
| 12. | Social Mobilizer | Inayat Ullah | Male | 23 Nov 2022 | NTP issued, Staff onboard |
| 13. | Social Mobilizer | Inam Ullah | Male | 23 Nov 2022 | NTP issued, Staff onboard |
| 14. | Sub-Engineers | Haris Waheed | Male | 23 Nov 2022 | NTP issued, Staff onboard |
| 15. | Sub-Engineers | Fazir Muhammad | Male | 23 Nov 2022 | NTP issued, Staff onboard |
| 16. | Sub-Engineers | Awal Zeb | Male | 23 Nov 2022 | NTP issued, Staff onboard |
| 17. | Sub-Engineers | Shakeel Altaf | Male | 23 Nov 2022 | NTP issued, Staff onboard |
|  | **INDIVIDUAL CONSULTANTS** |  |  |  |  |
| 18. | Monitoring & Evaluation Specialist | TBD |  | N/A | TBD |
| 19. | Social Safeguard Specialist | Muhammad Waqar Saleem | Male | 19 Jun 2023 | NTP issued, and Submission 2 finalized on September 21, 2023 |
| 20. | Environmental Safeguard Specialist | Sadaf Iqbal | Male | 19 Jun 2023 | NTP issued, and Submission 2 finalized on September 21, 2023 |
| 21. | Municipal Finance Specialist | TBD |  | N/A | * TBD |
| 22. | Training/Capacity Building Specialist | TBD |  | N/A | * TBD |
| 23. | Urban Planner | TBD |  | N/A | * TBD |
| **CIU Kohat** | | | | | |
| 1. | Infrastructure Engineer (WATSAN) | * Junaid Hassan | Male | 24 Jan 2023 | * NTP issued, Staff onboard |
| 2. | Infrastructure Engineer (SWM) | * Shahbaz Khan | Male | 24 Jan 2023 | * NTP issued, Staff onboard |
| 3. | Infrastructure Engineer (Wastewater Treatment) | * Zafarullah | Male | 24 Jan 2023 | * NTP issued, Staff onboard |
| 4. | MIS Officer | Sameer Ali Shah | Male | 1 Sep 2022 | * NTP issued, Staff on board |
| 5. | Contract Admin/Management Officer |  |  |  | * Position advertised. * Position will be filled in next Quarter |
| 6. | M&E Officer |  |  |  | * Position advertised. * Position will be fill in next Quarter |
| 7. | GIS Officer | M Waqas Awan | Male | 29 Aug 2022 | * NTP issued, Staff on board |
| 8. | Gender Coordinator /Officer | Shehla Gul | Female | 29 Aug 2022 | * NTP issued, Staff on board |
| 9. | IT Officer |  |  |  | Position advertised, will be filled in Q4, 2023 |
| 10. | Social Mobilizer | Naeema Bibi | Female | 23 Nov 2022 | NTP issued Staff onboard |
| 11. | Social Mobilizer | Saima Taimour | Female | 23 Nov 2022 | * NTP issued Staff onboard |
| 12. | Social Mobilizer | Haroon Rasheed | Male | 23 Nov 2022 | * NTP issued Staff onboard |
| 13. | Social Mobilizer | Zia Ur Rehman | Male | 23 Nov 2022 | * NTP issued Staff onboard |
| 14. | Sub-Engineers | Hameed Khan | Male | 23 Nov 2022 | * NTP issued Staff onboard |
| 15. | Sub-Engineers | Imran Khan | Male | 23 Nov 2022 | * NTP issued Staff onboard |
| 16. | Sub-Engineers | Muhammad Al Kashif | Male | 23 Nov 2022 | * NTP issued Staff onboard |
| 17. | Sub-Engineers | Saddiq Ullah | Male | 23 Nov 2022 | * NTP issued Staff onboard |
| 18. | Sub-Engineers | Zafran Khan | Male | 23 Nov 2022 | NTP issued Staff onboard |
|  | **INDIVIDUAL CONSULTANTS** |  |  |  |  |
| 19. | Monitoring & Evaluation Specialist |  |  | N/A | * TBD |
| 20. | Social Safeguard Specialist | Muhammad Waqar Saleem | Male | 19 Jun 2023 | * NTP issued, and Submission 2 finalized on September 21, 2023 |
| 21. | Environmental Safeguard Specialist | Tayyaba Akhtar | Female | 19 Jun 2023 | * NTP issued, and Submission 2 finalized on September 21, 2023 |
| 22. | Municipal Finance Specialist |  |  | N/A | * TBD |
| 23. | Training / Capacity Building Specialist |  |  | N/A | * TBD |
| 24. | Urban Planner |  |  | N/A | * TBD |
| **CIU Mingora** | | | | | |
| 1. | Infrastructure Engineer (WATSAN) | Kamran Khan | Male | 24 Jan 2023 | NTP issued, Staff onboard |
| 2. | Infrastructure Engineer (SWM) |  |  |  | * Position re-advertised * Position will be fill in Quarter 4 2023 |
| 3. | MIS Officer |  |  |  | * Position re-advertised * Position will be fill in Q4 2023 |
| 4. | Contract Admin/ Management Officer |  |  |  | * Position re-advertised * Position will be fill in next Quarter |
| 5. | M&E Officer | Moazzam Ali | Male | 29 Aug 2022 | * NTP issued and Staff onboard |
| 6. | GIS Officer | Muhammad Siddique | Male | 5 Sep 2022 | * NTP issued Staff onboard |
| 7. | Gender Coordinator / Officer | Malka Tahir | Female | 12 Sep 2022 | * NTP issued and Staff onboard |
| 8. | IT Officer |  |  |  | * Position advertised, will be filled in Q4, 2023 |
| 9. | Social Mobilizer | Nabila | Female | 23 Nov 2022 | * NTP issued Staff onboard |
| 10. | Social Mobilizer | Ulfat Shahi | Female | 23 Nov 2022 | * NTP issued Staff onboard |
| 11. | Social Mobilizer | Sajid Ali | Male | 23 Nov 2022 | * NTP issued Staff onboard |
| 12. | Social Mobilizer | Salman Shafqat | Male | 23 Nov 2022 | NTP issued Staff onboard |
| 13. | Sub-Engineers | Aziz Ullah | Male | 23 Nov 2022 | * NTP issued Staff onboard |
| 14. | Sub-Engineers | Muhammad Ilyas Khan | Male | 23 Nov 2022 | * NTP issued Staff onboard |
| 15. | Sub-Engineers | Shafiq Ahmed | Male | 23 Nov 2022 | * NTP issued Staff onboard |
| 16. | Sub-Engineers | Tehseen Ullah | Male | 23 Nov 2022 | * NTP issued Staff onboard |
|  | **INDIVIDUAL CONSULTANTS** |  |  |  |  |
| 17. | Monitoring & Evaluation Specialist |  |  | N/A | * TBD |
| 18. | Social Safeguard Specialist | Saeed Hussain | Male | 19 Jun 2023 | * NTP issued, and Submission 2 finalized on September 21, 2023 |
| 19. | Environmental Safeguard Specialist | Sahibzada Tanzeel Ahmed | Male | 19 Jun 2023 | * NTP issued, and Submission 2 finalized on September 21, 2023 |
| 20. | Municipal Finance Specialist |  |  | N/A | * TBD |
| 21. | Training / Capacity Building Specialist |  |  | N/A | * TBD |
| 22. | Urban Planner |  |  | N/A | * TBD |
|  | | | | | |
| **CIU Mardan** | | | | | |
| 1. | Infrastructure Engineer (SWM) | Shabir Ahmad | Male | 24 Jan 2023 | NTP issued, Staff onboard |
| 2. | Infrastructure Engineer (Wastewater Treatment) | Ijaz Ahmad | Male | 24 Jan 2023 | NTP issued, Staff onboard |
| 3. | MIS Officer | Waqas Jan | Male | 29 Aug 2022 | * NTP issued, Staff onboard |
| 4. | Contract Admin / Management Officer |  |  |  | * Position re-advertised * Position will be fill in next Quarter |
| 5. | M&E Officer |  |  |  | * Position re-advertised * Position will be fill in next Quarter |
| 6. | GIS Officer | Gohar Ali | Male | 29 Aug 2022 | * NTP issued Staff onboard |
| 7. | Gender Coordinator / Officer |  |  |  | * Position re-advertised * Muslim Khan was appointed in August 2022.The staff member demised in a car accident. |
| 8. | IT Officer |  |  |  | Position advertised, will be filled in Q4, 2023 |
| 9. | Social Mobilizer | Sajjad Ahmed | Male | 23 Nov 2022 | * NTP issued Staff onboard |
| 10 | Social Mobilizer | Farheela | Female | 23 Nov 2022 | * NTP issued Staff onboard |
| 11 | Social Mobilizer | Zill-e-Huma | Female | 23 Nov 2022 | * NTP issued Staff onboard |
| 12 | Social Mobilizer | Irfan Nasrallah | Male | 23 Nov 2022 | * NTP issued Staff onboard |
| 13 | Sub-Engineers | Jawad Khan | Male | 23 Nov 2022 | * NTP issued Staff onboard |
| 14 | Sub-Engineers | Muhammad Tahir | Male | 23 Nov 2022 | * NTP issued Staff onboard |
| 15 | Sub-Engineers | Rahman Ali | Male | 23 Nov 2022 | * NTP issued Staff onboard |
|  | **INDIVIDUAL CONSULTANTS** |  |  |  |  |
| 16 | Monitoring & Evaluation Specialist |  |  |  | * TBD |
| 17 | Social Safeguard Specialist | Akmal Khan | Male | 19 June 2023 | * NTP issued, and Submission 2 finalized on September 21, 2023 |
| 18 | Environmental Safeguard Specialist | Sahibzada Tanzeel Ahmed | Male | 19 June 2023 | * NTP issued, and Submission 2 finalized on September 21, 2023 |
| 19 | Municipal Finance Specialist |  |  |  | * TBD |
| 20 | Training/ Capacity Building Specialist |  |  |  | * TBD |
| 21 | Urban Planner |  |  |  | * TBD |
|  | | | | | |
| **CIU Peshawar** | | | | | |
| 1. | Infrastructure Engineer (WATSAN) | Muhammad Murtaza Khan | Male | 24 Jan 2023 | NTP issued, Staff onboard |
| 2. | Infrastructure Engineer (SWM) | Nazir Ahmad | Male | 24 Jan 2023 | NTP issued, Staff onboard |
| 3. | MIS Officer |  |  |  | * Position advertised * Position will be fill in Q4 2023 |
| 4. | Contract Admin / Management Officer |  |  |  | * Position advertised * Position will be fill in Q4 2023 |
| 5. | M&E Officer | Fakhar Zaman | Male | 29 Aug 2022 | * NTP issued Staff onboard |
| 6. | GIS Officer | Muhammad Siddique | Male | 29 Aug 2022 | * NTP issued Staff onboard |
| 7. | Gender Coordinator / Officer | Neelam Naz | Female | 29 Aug 2022 | * NTP issued Staff onboard |
| 8. | IT Officer |  |  |  | Position advertised, will be filled in Q4, 2023 |
| 9. | Social Mobilizer | Sadia Babar Awais | Female | 23 Nov 2022 | * NTP issued Staff onboard |
| 10 | Social Mobilizer | Samina Akbar | Female | 23 Nov 2022 | * NTP issued Staff onboard |
| 11 | Social Mobilizer | Farooq Salar | Male | 23 Nov 2022 | * NTP issued Staff onboard |
| 12 | Social Mobilizer | Qazim Jan | Male | 23 Nov 2022 | * NTP issued Staff onboard |
| 13 | Sub-Engineers | Hassan Rabbani | Male | 23 Nov 2022 | * NTP issued Staff onboard |
| 14 | Sub-Engineers | Jawad Shah | Male | 23 Nov 2022 | * NTP issued Staff onboard |
| 15 | Sub-Engineers | Noor Ullah | Male | 23 Nov 2022 | * NTP issued Staff onboard |
| 16 | Sub-Engineers | Zahid Ur Rahman | Male | 23 Nov 2022 | * NTP issued Staff onboard |
|  | **INDIVIDUAL CONSULTANTS** |  |  |  |  |
| 17 | Monitoring & Evaluation Specialist |  |  |  | * TBD |
| 18 | Social Safeguard Specialist | Sehrish Ashraf | Female | 19 Jun 2023 | * NTP issued, and Submission 2 finalized on September 21, 2023 |
| 19 | Environmental Safeguard Specialist | Abdul Wakeel Khan | Male | 19 Jun 2023 | * NTP issued, and Submission 2 finalized on September 21, 2023 |
| 20 | Municipal Finance Specialist |  |  |  | * TBD |
| 21 | Training/Capacity Building Specialist |  |  |  | * TBD |
| 22 | Urban Planner |  |  |  | * TBD |

## Appendix 2: Consolidated Staff Recruitment Plan

| **Staff Recruitment Plan** | | | | | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **S#** | **Name of Staff Position** |  | **Target Dates For** | | | | | **Remark** |
| **Location**  **PMU/CIU** | **Advertisement** | **Invitation for Interview** | **Evaluation and Ranking** | **Approval from Competent Authority** | **Appointment Letter Issuance** |  |
|  | Internal Auditor | PMU | 31 August 2023 | 01 Nov 2023 | 08 Nov 2023 | November 15, 2023 | Q4, 2023 |  |
| 1 | Director Finance and Administration | PMU | 28 July 2023 | 09 Aug 2023 | 15 Aug 2023 | 01 Nov, 2023 | Q4, 2023 |  |
| 2 | Deputy Director *(Landscape Architect)* | PMU | 28 April 2023 | 19 Jul 2023 | 28 Jul 2023 | 11 Aug 2023 | Q4, 2023 |  |
| 3 | Municipal Finance Specialist/Financial Management Specialist | PMU |  |  |  |  |  | **TBD** |
| 4 | Project Development Officer | PMU | 06 May 2023 | 19 Jul 2023 | 28 Jul 2023 | 01 Nov, 2023 | Q4, 2023 |  |
| 5 | M&E Officer | PMU | 23 Dec 2023 | 19 Jul 2023 | 28 Jul 2023 | 01 Nov, 2023 | Q4, 2023 |  |
| 6 | Finance & Account Officer (Accounting) | PMU | 06 May 2023 | 19 Jul 2023 | 28 Jul 2023 | 01 Nov, 2023 | Q4, 2023 |  |
| 7 | Accounts Assistant 3 | PMU | 06 May 2023 | 19 Jul 2023 | 28 Jul 2023 | 01 Nov, 2023 | Q4, 2023 |  |
| 8 | Legal Expert | PMU | 23 Dec 2023 | 19 Jul 2023 | 28 Jul 2023 | 01 Nov, 2023 | Q4, 2023 |  |
| 9 | Training / Capacity Building Specialist | PMU | 23 Dec 2022 | 19 Jul 2023 | 28 Jul 2023 | 01 Nov, 2023 | Q4, 2023 |  |
| 10 | Municipal Finance Specialist | PMU | 23 Dec 2022 | 19 Jul 2023 | 28 Jul 2023 | 01 Nov, 2023 | Q4, 2023 |  |
| 11 | Communication Specialist | PMU | 23 Dec 2023 | 19 Jul 2023 | 28 Jul 2023 | 01 Nov, 2023 | Q4, 2023 |  |
| 12 | Smart City Specialist | PMU | 23 Dec 2023 | 19 Jul 2023 | 28 Jul 2023 | 01 Nov, 2023 | Q4, 2023 |  |
| 13 | Tourism Specialist | PMU | 23 Dec 2023 | 19 Jul 2023 | 28 Jul 2023 | 01 Nov, 2023 | Q4, 2023 |  |
| 14 | ICT Specialist | PMU | 23 Dec 2023 | 19 Jul 2023 | 28 Jul 2023 | 01 Nov, 2023 | Q4, 2023 |  |
| 15 | Institutional Development Specialist | PMU | 23 Dec 2022 | 19 Jul 2023 | 28 Jul 2023 | 01 Nov, 2023 | Q4, 2023 |  |
| 16 | GIS Specialist | PMU | 23 Dec 2022 | 19 Jul 2023 | 28 Jul 2023 | 01 Nov, 2023 | Q4, 2023 |  |
| 17 | Urban Planner (Individual Consultant) | PMU |  |  |  |  |  | **TBD** |
| 18 | External Environmental Monitoring Expert | PMU |  |  |  |  |  | **TBD** |
|  | Technical Expert-1(For PRF-2) | PMU | N/A |  |  |  |  | **TBD** |
|  | Technical Expert-3 (For PRF-2) | PMU | N/A |  |  |  |  | **TBD** |
|  | **CIU Abbottabad** |  |  |  |  |  |  |  |
| 19 | Infrastructure Engineer (Wastewater) | CIU Abbottabad |  |  |  |  |  | **TBD** |
| 20 | MIS Officer | CIU Abbottabad | 23 Dec 2022 | 19 Jul 2023 | 28 Jul 2023 | 01 Nov, 2023 | Q4, 2023 |  |
| 21 | M&E Officer | CIU Abbottabad | 23 Dec 2022 | 19 Jul 2023 | 28 Jul 2023 | 01 Nov, 2023 | Q4, 2023 |  |
| 22 | IT Officer | CIU Abbottabad | 23 Dec 2022 | 19 Jul 2023 | 28 Jul 2023 | 01 Nov, 2023 | Q4, 2023 |  |
| 23 | Monitoring & Evaluation Specialist | CIU Abbottabad | N/A |  |  |  |  | **TBD** |
| 24 | Municipal Finance Specialist | CIU Abbottabad | N/A |  |  |  |  | **TBD** |
| 25 | Training / Capacity Building Specialist | CIU Abbottabad | N/A |  |  |  |  | **TBD** |
| 26 | Urban Planner (Individual Consultant) | CIU Abbottabad | N/A |  |  |  |  | **TBD** |
|  | **CIU Kohat** |  |  |  |  |  |  |  |
| 27 | Contract Admin/ Management Officer | CIU Kohat | 23 Dec 2022 | 19 Jul 2023 | 28 Jul 2023 | 01 Nov, 2023 | Q4, 2023 |  |
| 28 | M&E Officer | CIU Kohat | 23 Dec 2022 | 19 Jul 2023 | 28 Jul 2023 | 01 Nov, 2023 | Q4, 2023 |  |
| 29 | IT Officer | CIU Kohat | 23 Dec 2022 | 19 Jul 2023 | 28 Jul 2023 | 01 Nov, 2023 | Q4, 2023 |  |
| 30 | Monitoring & Evaluation Specialist | CIU Kohat | N/A |  |  |  |  | **TBD** |
| 31 | Municipal Finance Specialist | CIU Kohat | N/A |  |  |  |  | **TBD** |
| 32 | Training / Capacity Building Specialist | CIU Kohat | N/A |  |  |  |  | **TBD** |
| 33 | Urban Planner (Individual Consultant) | CIU Kohat | N/A |  |  |  |  | **TBD** |
|  | **CIU Swat** |  |  |  |  |  |  |  |
| 34 | Infrastructure Engineer (SWM) | CIU Swat | 23 Dec 2022 | 19 Jul 2023 | 28 Jul 2023 | 01 Nov, 2023 | Q4, 2023 |  |
| 35 | MIS Officer | CIU Swat | 23 Dec 2022 | 19 Jun 2023 | 28 Jul 2023 | 01 Nov, 2023 | Q4, 2023 |  |
| 36 | Contract Admin/ Management Officer | CIU Swat | 23 Dec 2022 | 19 Jul 2023 | 28 Jul 2023 | 01 Nov, 2023 | Q4, 2023 |  |
| 37 | IT Officer | CIU Swat | 23 Dec 2022 | 19 Jul 2023 | 28 Jul 2023 | 01 Nov, 2023 | Q4, 2023 |  |
| 38 | Monitoring & Evaluation Specialist | CIU Swat | N/A |  |  |  |  | **TBD** |
| 39 | Municipal Finance Specialist | CIU Swat | N/A |  |  |  |  | **TBD** |
| 40 | Training / Capacity Building Specialist | CIU Swat | N/A |  |  |  |  | **TBD** |
| 41 | Urban Planner (Individual Consultant) | CIU Swat | N/A |  |  |  |  | **TBD** |
|  | **CIU Mardan** |  |  |  |  |  |  |  |
| 42 | Contract Admin / Management Officer | CIU Mardan | 23 Dec 2022 | 19 Jul 2023 | 28 Jul 2023 | 01 Nov, 2023 | Q4, 2023 |  |
| 43 | M&E Officer | CIU Mardan | 23 Dec 2022 | 19 Jul 2023 | 28 Jul 2023 | 01 Nov, 2023 | Q4, 2023 |  |
| 44 | Gender Coordinator / Officer | CIU Mardan | 27 Jun 2023 | 31 Jul 2023 | 15 Aug 3023 | 01 Nov, 2023 | Q4, 2023 |  |
| 45 | IT Officer | CIU Mardan | 23 Dec 2022 | 19 Jul 2023 | 28 Jul 2023 | 01 Nov, 2023 | Q4, 2023 |  |
| 46 | Monitoring & Evaluation Specialist | CIU Mardan | N/A |  |  |  |  | **TBD** |
| 47 | Municipal Finance Specialist | CIU Mardan | N/A |  |  |  |  | **TBD** |
| 48 | Training / Capacity Building Specialist | CIU Mardan | N/A |  |  |  |  | **TBD** |
| 49 | Urban Planner (Individual Consultant) | CIU Mardan | N/A |  |  |  |  | **TBD** |
|  | **CIU Peshawar** |  |  |  |  |  |  |  |
| 50 | MIS Officer | CIU Peshawar | 23 Dec 2022 | 19 Jul 2023 | 28 Jul 2023 | 01 Nov, 2023 | Q4, 2023 |  |
| 51 | Contract Admin/ Management Officer | CIU Peshawar | 23 Dec 2022 | 19 Jul 2023 | 28 Jul 2023 | 01 Nov, 2023 | Q4, 2023 |  |
| 52 | IT Officer | CIU Peshawar | 23 Dec 2022 | 19 Jul 2023 | 28 Jul 2023 | 01 Nov, 2023 | Q4, 2023 |  |
| 53 | Monitoring & Evaluation Specialist | CIU Peshawar | N/A |  |  |  |  | **TBD** |
| 54 | Municipal Finance Specialist | CIU Peshawar | N/A |  |  |  |  | **TBD** |
| 55 | Training / Capacity Building Specialist | CIU Peshawar | N/A |  |  |  |  | **TBD** |
| 56 | Urban Planner (Individual Consultant) | CIU Peshawar | N/A |  |  |  |  | **TBD** |

## Appendix-3: Consulting Recruitment Activity Monitoring

| **Appendix-3-Table A3.1: Consulting Firms – Quality and Cost-Based Selection (QCBS)** | | | | | | | | | | | | | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Package** | **Name of Contract Package** | **Est. Amt.**  **($ million)** |  | **Milestone Dates** | | | | | | | | | | | | |
| **Planned/ Approved** | **Submission 0 to ADB** | **ADB approval** | **EOI Advert** | **Submission 1 to ADB** | **ADB approval** | **Submission 2 to ADB** | **ADB approval** | **Submission 3 to ADB** | **ADB Approval** | **Contract Negotiation** | **Contract Award** | **Intended Completion** | **Awarded Amount**  **($ million)** |
| KPCIP-Cons-01 | Project Management and Construction Supervision Consultants (PMCSC) | 20.0 | Planned | N/A | N/A | N/A | 22 Jun 2021 | 12 Jul 2021 | Combined Submission-2 and 3  15 Oct 2021 | | | 25 Oct 2021 | 19 Nov 2021 | 24 Nov 2021 | 23 Nov 2026 | 20.0 |
| Actual | N/A | N/A | N/A | 20 Aug 2021 | 3 Sep 2021 | 30 Nov 2021 | | | 22 Feb 2022 | 24 & 25 Feb 2022 | 25 Feb 2022 | 24 Feb 2027 | Foreign Component: US$ 1,726,800  Local Component: PKR 2,535,968,000  Provisional Sums: US$ 556,923 = PKR 95,846,448  Contingency: US$ 371,282 = PKR 63,897,632 |

| **Appendix-3-Table A3.2: Individual Consultants Recruitment Monitoring** | | | | | | | | | | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Package #** | **Name of Contract Package** | **Est. Amt.** |  | **Milestone Dates** | | | | | | | | |  |
| **Planned/ Approved** | **ToRs / Budget shared with ADB** | **ADB Clearance** | **EOI Advert** | **Submission 1 to ADB** | **ADB approval** | **Contract Signing** | **Intended completion** | **Submission 2 to ADB2** | **ADB Approval** | **Awarded Amount** |
| KPCIP-IC-PMU-01 | Monitoring & Evaluation Specialist | 61,508 | Planned |  |  |  |  |  |  |  |  |  | 53,910.15 |
| Actual |  |  | 17 Sep 2021 | 16 May 2022 | 27 Jul 2022 | 11 Aug 2022 | 31 Dec 2026 | 12 Aug 2022 | N/A |
| KPCIP-IC-PMU-02 | Social Safeguard Specialist | 94,196 | Planned |  |  |  |  |  |  |  |  |  | 48,269.55 |
| Actual |  |  | 17 Sep 2021 | 19 May 2022 | 27 Jul 2022 | 01 Aug 2022 | 31 Dec 2026 | 12 Aug 2022 | N/A |
| KPCIP-IC-PMU-03 | Environmental Safeguard Specialist | 80,729 | Planned |  |  |  |  |  |  |  |  |  | 100,000.00 |
| Actual |  |  | 17 Sep 2021 | 16 May 2022 | 27 Jul 2022 | 25 Aug 2022 | 31 Dec 2026 | 31 Aug 2022 | N/A |
| KPCIP-IC-PMU-05 | Procurement & Contract Management Specialist | 178,000 | Planned |  |  |  |  |  |  |  |  |  | 246,896 |
| Actual |  |  | 17 Sep 2021 | 16 May 2022 | 27 Jul 2022 | 11 Aug 2022 | 31 Dec 2026 | 12 Aug 2022 | N/A |
| KPCIP-IC-PMU-16 | Skills & Enterprise Development Specialist | 142,525 | Planned |  |  |  |  |  |  |  |  |  | 114,392.68 |
| Actual |  |  | 17 Sep 2021 | 20 May 2022 | 27 Jul 2022 | 11 Aug 2022 | 31 Dec 2026 | 12 Aug 2022 | N/A |
| ??? | Scholarship Program Specialist | ???? | Planned |  |  |  |  |  |  |  |  |  |  |
| Actual |  |  |  |  |  |  |  |  |  |
| KPCIP-IC-PMU-18 | Research Specialist | 147,276 | Planned |  |  |  |  |  |  |  |  |  | 114,392.68 |
| Actual |  |  | 17 Sep 2021 | 20 May 2022 | 27 Jul 2022 | 11 Aug 2022 | 31 Dec 2026 | 12 Aug 2022 | N/A |
| KPCIP-IC-PMU-15 | Community Engagement and Behavioral Change Expert | 152,027 | Planned |  |  |  |  |  |  |  |  |  | 40,016.64 |
| Actual |  |  | 17 Sep 2021 | 20 May 2022 | 27 Jul 2022 | 11 Aug 2022 | 31 Dec 2026 | 12 Aug 2022 | N/A |
| KPCIP-IC-PMU-04 | Communication Specialist | 54,948 | Planned |  |  |  |  |  |  |  |  |  | 57,653.91 |
| Actual |  |  | 17 Sep 2021 | 16 May 2022 | 27 Jul 2022 | 11 Aug 2022 | 31 Dec 2026 | 12 Aug 2022 | N/A |
| KPCIP-IC-PMU-17 | Capacity Development Specialist - Gender & Social Inclusion (WASH & SWM) | 147,276 | Planned |  |  |  |  |  |  |  |  |  | 48,502.50 |
| Actual |  |  | 17 Sep 2021 | 20 May 2022 | 27 Jul 2022 | 11 Aug 2022 | 31 Dec 2026 | 12 Aug 2022 | N/A |
| KPCIP-IC-CIUs-03-01A | Environment Specialist CIU Abbottabad | 37,721 | Planned |  |  |  |  |  |  |  |  |  |  |
| Actual |  |  | 28 Mar 2023 | 21 May 2023 | 23 May 2023 | 19 June 2023 | 31 Dec 2026 | 21 Sep 2023 |  |
| KPCIP-IC-CIUs-03-02K | Environment Specialist CIU Kohat | 37,721 | Planned |  |  |  |  |  |  |  |  |  |  |
| Actual |  |  | 28 Mar 2023 | 21 May 2023 | 23 May 2023 | 19 June 2023 | 31 Dec 2026 | 21 Sep 2023 |  |
| KPCIP-IC-CIUs-03-03M | Environment Specialist CIU Mardan | 37,721 | Planned |  |  |  |  |  |  |  |  |  |  |
| Actual |  |  | 28 Mar 2023 | 21 May 2023 | 23 May 2023 | 19 June 2023 | 31 Dec 2026 | 21 Sep 2023 |  |
| KPCIP-IC-CIUs-03-04P | Environment Specialist CIU Peshawar | 37,721 | Planned |  |  |  |  |  |  |  |  |  |  |
| Actual |  |  | 28 Mar 2023 | 21 May 2023 | 23 May 2023 | 19 June 2023 | 31 Dec 2026 | 21 Sep 2023 |  |
| KPCIP-IC-CIUs-03-05S | Environment Specialist CIU Swat | 37,721 | Planned |  |  |  |  |  |  |  |  |  |  |
| Actual |  |  | 28 Mar 2023 | 21 May 2023 | 23 May 2023 | 19 June 2023 | 31 Dec 2026 | 21 Sep 2023 |  |
| KPCIP-IC-CIUs- 02-1A | Social Safeguard Specialist CIU Abbottabad | 37,150 | Planned |  |  |  |  |  |  |  |  |  |  |
| Actual |  |  | 28 Mar 2023 | 27 May 2023 | 1 June 2023 | 19 June 2023 | 31 Dec 2026 | 21 Sep 2023 |  |
| KPCIP-IC-CIUs- 02-2K | Social Safeguard Specialist CIU Kohat | 37,150 | Planned |  |  |  |  |  |  |  |  |  |  |
| Actual |  |  | 28 Mar 2023 | 27 May 2023 | 1 June 2023 | 19 June 2023 | 31 Dec 2026 | 21 Sep 2023 |  |
| KPCIP-IC-CIUs- 02-3M | Social Safeguard Specialist CIU Mardan | 37,150 | Planned |  |  |  |  |  |  |  |  |  |  |
| Actual |  |  | 28 Mar 2023 | 27 May 2023 | 1 June 2023 | 19 June 2023 | 31 Dec 2026 | 21 Sep 2023 |  |
| KPCIP-IC-CIUs- 02-4P | Social Safeguard Specialist CIU Peshawar | 37,150 | Planned |  |  |  |  |  |  |  |  |  |  |
| Actual |  |  | 28 Mar 2023 | 27 May 2023 | 1 June 2023 | 19 June 2023 | 31 Dec 2026 | 21 Sep 2023 |  |
| KPCIP-IC-CIUs- 02-5S | Social Safeguard Specialist CIU Swat | 37,150 | Planned |  |  |  |  |  |  |  |  |  |  |
| Actual |  |  | 28 Mar 2023 | 27 May 2023 | 1 June 2023 | 19 June 2023 | 31 Dec 2026 | 21 Sep 2023 |  |

## Appendix-4: Utilization of Consultants Inputs

| **Table A4.1: Loan Financed Individual Consultants – PMU and CIUs** | | | | | | | | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **No.** | **Position Title** | **As approved** | | | **Contracted input (p.m)** | **Input Consumed by the Consultants input (p.m.)** | | | **Remaining input at the end of quarter** | **Is more input needed? If yes, how many p.m?** | |
| **# of positions** | **p.m. / position** | **Total p.m.** | **At beginning of quarter** | **During the quarter** | **Cumulative at end of quarter** |
|  | | | | | | | | | | | |
| **A** | **PMU** |  |  |  |  |  |  |  |  |  | |
| 1 | M&E Specialist | 1 | 12 | 12 | 12 | 2.59 | 1.27 | 3.86 | 8.14 | Yes | |
| 2 | Procurement and Contract Management Specialist | 1 | 12 | 12 | 24 | 5.95 | 0.50 | 6.45 | 17.55 | Yes | |
| 3 | Social Safeguards Specialist | 1 | 20 | 20 | 18 | 5.59 | 2.50 | 8.09 | 9.91 | Yes | |
| 4 | Environment Specialist | 1 | 24 | 24 | 18 | 2.27 | 1.27 | 3.54 | 14.46 | Yes | |
| 5 | Legal Expert | 1 | 24 | 24 | - | - | - | - | - | - | |
| 6 | Training & Capacity Dev. Sp. | 1 | 12 | 12 | - | - | - | - | - | - | |
| 7 | Municipal Finance Specialist | 1 | 18 | 18 | - | - | - | - | - | - | |
| 8 | Communication Specialist | 1 | 12 | 12 | - | - | - | - | - | - | |
| 9 | Smart Cities Dev Specialist | 1 | 6 | 6 | - | - | - | - | - | - | |
| 10 | Tourism Specialist | 1 | 6 | 6 | - | - | - | - | - | - | |
| 11 | ICT Specialist | 1 | 8 | 8 | - | - | - | - | - | - | |
| 12 | Institutional Dev. Specialist | 1 | 8 | 8 | - | - | - | - | - | - | |
| 13 | GIS Specialist | 1 | 12 | 12 | - | - | - | - | - | - | |
| 14 | Urban Planner | 1 | 6 | 6 | - | - | - | - | - | - | |
| 15 | External Environmental Monitoring Expert | 1 | 20 | 20 | - | - | - | - | - | - | |
|  | Subtotal | 15 | 200 | 200 | 72 | 16.4 | 5.54 | 21.94 | 50.06 | Yes | |
| **B.** | **CIUs** |  |  |  |  |  |  |  |  |  | |
| 1 | M&E Specialist | 5 | 24 | 120 | - | - | - | - | - | - |
| 2 | Social Safeguards Specialist | 5 | 24 | 120 | - | - | - | - | - | - |
| 3 | Environment Safeguards Specialist | 5 | 36 | 180 | - | - | - | - | - | - |
| 4 | Municipal Finance Specialist | 5 | 18 | 90 | - | - | - | - | - | - |
| 6 | Training/Capacity Building Specialist | 5 | 24 | 120 | - | - | - | - | - | - |
| 7 | Urban Planner | 5 | 10 | 50 | - | - | - | - | - | - |
|  | Subtotal | 30 | 136 | 680 | - | - | - | - | - | - |
|  | Total | 45 | 336 | 880 | - | - | - | - | - | - |

| **Table 4.2: Grant Financed Individual Consultants – PMU** | | | | | | | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **No.** | **Position Title** | **As approved** | | | **Contracted input (p.m.)** | **Utilization of Consultants input (p.m.)** | | | **Balance at the end of quarter** | **Is more input needed? If yes, how many p.m.?** |
| **# of positions** | **p.m. / position** | **Total p.m.** | **At beginning of quarter** | **During the quarter** | **Cumulative at end of quarter** |
|  |  |  |  |  |  |  |  |  |  |  |
| 1 | Community Engagement and Behavior Change Specialist | 1 | 24 | 24 | 24 | 5.86 | 1.36 | 7.22 | 16.78 | Yes |
| 2 | Research Specialist | 1 | 24 | 24 | 24 | 4.59 | 0.50 | 5.09 | 18.91 | Yes |
| 3 | Scholarship Program Specialist | 1 | 24 | 24 | 24 |  |  |  |  |  |
| 4 | Capacity Development Specialist GESI, WASH & SWM | 1 | 24 | 24 | 24 | 6.05 | 1.00 | 7.05 | 16.95 | Yes |
| 5 | Skill and Enterprise Development Specialist | 1 | 12 | 12 | 24 | 2.32 | 0 | 2.32 | 21.68 | Yes |
|  | **Subtotal** | 5 | 108 | 108 | 120 | 18.82 | 2.86 | 21.68 | 74.32 |  |

| **Table A.4.3: Project Management and Construction Supervision Consultants** | | | | | | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **No.** | **Position Title** | **As approved** | | | **Contracted input (p.m)** | **Utilization of Consultants input (p.m.)** | | | **Balance at the end of quarter** |
| **# of positions** | **p.m./ position** | **Total p.m.** | **At beginning of quarter** | **During the quarter** | **Cumulative at end of quarter** |
|  | |  |  |  |  |  |  |  |  |
| I. International Consultants | |  |  |  |  |  |  |  |  |
| KEI-1 | Landfill Site/SWM Expert | 1 | 24 | 24 | - | - | - | - | - |
| KEI-2 | Water Supply and Sanitation Expert | 1 | 18 | 18 | - | - | - | - | - |
| KEI-3 | Water Treatment Expert | 1 | 18 | 18 | - | - | - | - | - |
| KEI-4 | Biogas and Processing Expert | 1 | 12 | 12 | - | - | - | - | - |
| KEI-5 | Contract Expert | 1 | 12 | 12 | - | - | - | - | - |
|  | Subtotal | 5 |  | 84 | - | - | - | - | - |
| II. National Consultants | |  |  |  |  |  |  |  |  |
|  | Construction Management |  |  |  |  |  |  |  |  |
| KEN-1 | Resident Engineer (The Engineer) | 13 | 36 | 431.14 | 468 | 7.00 | 15.00 | 22.00 | 409.14 |
| NKEN-2 | Assistant Resident Engineer | 23 | 29.2 | 629.68 | 672 | 9.00 | 20.00 | 29.00 | 600.68 |
| NKEN-3 | Material Engineer | 18 | 23.7 | 409 | - | 4.00 | 15.50 | 19.50 | 389.50 |
| NKEN-4 | Construction Planning & Management Engineer | 5 | 33.6 | 159 | 168 | 1.50 | 6.00 | 7.50 | 151.50 |
| NKEN-5 | Quantity Surveyor | 19 | 26.5 | 469 | 504 | 6.00 | 12.00 | 18.00 | 451.00 |
|  | Subtotal | 78 | 149 | 2,098 | 1812 | 27.50 | 68.50 | 96.00 | 2,001.82 |
|  | Contract & Financial Management |  |  |  |  |  |  |  |  |
| NKEN-6 | Contract and Claim Engineer | 3 | 20 | 60 | - | 1.00 | 2.00 | - | 60.00 |
| NKEN-7 | Financial & Accounting Specialist | 5 | 36 | 148 | 180 | 4.00 | 10.00 | 14.00 | 134.00 |
|  | Subtotal | 8 | 56 | 208 | 180 | 5 | 12 | 14 | 194 |
|  | Construction Supervision Support Team |  |  |  |  |  |  |  |  |
| KEN-8 | Project Design and Supervision Coordinator (DTL) | 1 | 36 | 25 | 36 | 1.00 | 2.00 | 3.00 | 22.00 |
| KEN-9 | Resettlement Specialist | 2 | 18 | 28 | 18 | 1.00 | 2.00 | 3.00 | 25.00 |
| KEN-10 | Environment Specialist | 2 | 18 | 36 | - | 2.00 | 4.00 | - | 36.00 |
| KEN-11 | Gender Specialist | 2 | 18 | 21 | 36 | 2.00 | 4.00 | 6.00 | 15.00 |
| KEN-12 | HSE Engineer | 2 | 18 | 28.77 | - | 2.00 | 3.00 | 5.00 | 23.77 |
| KEN-13 | Traffic Management Specialists | 4 | 12 | 48 | - | - |  | - | 48 |
| KEN-14 | M&E Specialist | 2 | 12 | 20 | - | 1.00 | 2.00 | 3.00 | 17.00 |
|  | ­­­ Subtotal | 15 | 132 | 206.77 | 90 | 9 | 17 | 20 | 186.77 |
|  | The Engineers Team |  |  |  |  |  |  |  |  |
|  | Construction Management |  |  |  |  |  |  |  |  |
| NKEN-1 | Sub Engineer | 65 | 24 | 1,385 | 1608 | 34.36 | 78.00 | 112.36 | 1,272.19 |
| NKEN-2 | Community Liaison and Communication Specialist | 13 | 12 | 92.09 | 156 | 6.00 | 14.00 | 20.00 | 72.09 |
| NKEN-3 | Surveyors | 65 | 24 | 1,477 | 1632 | 11.00 | 20.00 | 31.00 | 1,446.04 |
| NKEN-4 | HSE Inspector | 10 | 15.6 | 143 | 156 | 2.00 | 4.00 | 6.00 | 137.00 |
| NKEN-5 | Traffic Management Inspector | 10 | 15.6 | 156 | - | - | 2.00 | 2.00 | 154 |
| NKEN-6 | Environment Associate | 10 | 15.6 | 134 | 156 | 5.00 | 10.00 | 15.00 | 119.00 |
| NKEN-7 | Resettlement Associate | 10 | 15.6 | 115 | 156 | 5.00 | 10.00 | 15.00 | 100.00 |
| NKEN-8 | AutoCAD Operator | 10 | 21.1 | 128 | 211 | 10.00 | 20.00 | 30.00 | 98.00 |
| NKEN-9 | Lab Technician | 32 | 24 | 759.5 | - | 4.00 | 12.36 | 16.36 | 743.14 |
| NKEN- 10 | Assistant | 97 | 24 | 2,223 | 2328 | 13.00 | 34.00 | 47.00 | 2,176.41 |
|  | Subtotal | 322 | 191.5 | 6,613 | 6403 | 90.36 | 204.36 | 294.72 | 6317.87 |
|  | Design Coordination |  |  |  |  |  |  |  |  |
| KEN-11 | Sr. Urban Planner | 1 | 12 | 1.59 | 12 | 0.68 | 0.91 | 1.59 | - |
| KEN-12 | Sr. Landscape Architect | 1 | 12 | 2.32 | 12 | 0.68 | 1.64 | 2.32 | - |
| KEN-13 | Sr. WSS Expert | 1 | 12 | 6.19 | 12 | 0.36 | 0.73 | 1.09 | 5.10 |
| KEN-14 | Sr. SWM Expert | 1 | 12 | 12 | - | - | - | - | 12.00 |
|  |  | 4 | 48 | 22.1 | 36 | 1.72 | 3.28 | 5 | 17.1 |
|  | Construction Supervision Support Team |  |  |  |  |  |  |  |  |
| KEN-15 | Landscape Architect | 2 | 8 | 5.27 | 16 | 1.00 | 1.00 | 2.00 | 3.27 |
| KEN-16 | Drainage Engineer | 2 | 8 | 10.46 | 16 | 0.68 | 1.36 | 2.04 | 8.42 |
| KEN-17 | Water Supply Engineer | 2 | 8 | 8.55 | 16 | 0.50 | 0.91 | 1.41 | 7.14 |
| KEN-18 | Structural Engineer | 2 | 8 | 11 | 16 | 0.50 | 1.00 | 1.50 | 9.50 |
| KEN-19 | Water Treatment Engineer | 2 | 8 | 16 | - | - |  | - | 16.00 |
| KEN-20 | SWM Engineer | 2 | 8 | 16 | - | - |  | - | 16.00 |
| KEN-21 | Electrical Engineer also (SACDA) | 2 | 6 | 12 | - | - |  | - | 12.00 |
| KEN-22 | Mechanical Engineer (also SMART Meters) | 2 | 6 | 12 | - | - |  | - | 12.00 |
| KEN-23 | Waste Recycling Engineer | 2 | 8 | 16 | - | - |  | - | 16.00 |
| KEN-24 | Geo tech Engineer (Piling Supervision) | 2 | 8 | 16 | - | 0.50 | 1.00 | - | 16.00 |
| KEN-25 | Hydro Geologist | 2 | 8 | 16 | - | 0.50 | 1.00 | - | 16.00 |
|  | Subtotal | 22 | 84 | 139.28 | 64 | 3.68 | 6.27 | 6.95 | 132.33 |
|  | Intl. Key Expert – A |  |  |  |  |  |  |  |  |
|  | National Key Exp. – B |  |  |  |  |  |  |  |  |
|  | National NonKey – C |  |  |  |  |  |  |  |  |
|  | G. Total: A+B+C |  |  |  |  |  |  |  |  |

## Appendix-5: Contracts Already Awarded

| **Table A5.1: Consultancy Contracts Awarded Under the Project** | | | | | | | | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **No** | **Name of Consultancy Package** | **Firm/ Individual** | **Name of Firm or Individual** | **Contract Award Date** | **Completion** | | **Currency b** | **Contract Amount (million)** | | **Disbursement (million)** | **Contract Status c** |
| **Original** | **Revised a** | **Original** | **Revised** |
|  | | | | | | | | | | | |
| **A. Loan financed Consultants** | | | | | | | | | | | |
| 1 | KPCIP-Cons-05 / Monitoring & Evaluation Specialist (Intermittent Basis) | Individual | Mr. Shafique Muhammad Khan | 15-Aug-22 | 31-Dec-26 | N/a | PKR | 12.96 | N/a |  | Active |
| 2 | KPCIP-Cons-05 / Environmental Specialist  (Intermittent Basis) | Individual | Dr. Abdul Qayyum Aslam | 29-Aug-22 | 31-Dec-26 | N/a | PKR | 21.81 | N/a |  | Active |
| 3 | KPCIP-Cons-05 / Social Safeguard Specialist (Intermittent Basis) | Individual | Mr. Ali Akbar | 15-Aug-22 | 31-Dec-26 | N/a | PKR | 11.60 | N/a |  | Active |
| 4 | KPCIP-Cons-05 / Communication Specialist  (Intermittent Basis) | Individual | Mr. Tariq Khan Afridi | 15-Aug-22 | 31-Dec-26 | N/a | PKR | 13.86 | N/a |  | Resigned / Financially Closed / re- advertised |
| 5 | KPCIP-Cons-05 / Environment Specialist – CIU Abbottabad | Individual | Sadaf Iqbal | 19-Jun-23 | 31-Dec-26 | N/a | PKR | 9.34 | N/a |  | Active |
| 6 | KPCIP-Cons-05 / Environment Specialist – CIU Kohat | Individual | Tayyaba Akhtar | 19-Jun-23 | 31-Dec-26 | N/a | PKR | 5.28 | N/a |  | Active |
| 7 | KPCIP-Cons-05 / Environment Specialist – CIU Mardan | Individual | Sahibzada Tanzeel Ahmed | 19-Jun-23 | 31-Dec-26 | N/a | PKR | 10.66 | N/a |  | Active |
| 8 | KPCIP-Cons-05 / Environment Specialist – CIU Peshawar | Individual | Abdul Wakeel Khan | 19-Jun-23 | 31-Dec-26 | N/a | PKR | 6.44 | N/a |  | Active |
| 9 | KPCIP-Cons-05 / Environment Specialist – CIU Swat | Individual | Abdul Hadi | 19-Jun-23 | 31-Dec-26 | N/a | PKR | 3.17 | N/a |  | Active |
| 10 | KPCIP-Cons-05 / Social Safeguard Specialist – CIU Abbottabad | Individual | Muhammad Waqar Saleem | 19-Jun-23 | 31-Dec-26 | N/a | PKR | 10.66 | N/a |  | Active |
| 11 | KPCIP-Cons-05 / Social Safeguard Specialist – CIU Kohat | Individual | Muhammad Waqar Saleem | 19-Jun-23 | 31-Dec-26 | N/a N/a | PKR | 10.66 | N/a |  | Active |
| 12 | KPCIP-Cons-05 / Social Safeguard Specialist – CIU Mardan | Individual | Akmal Khan | 19-Jun-23 | 31-Dec-26 | N/a | PKR | 4.85 | N/a |  | Active |
| 13 | KPCIP-Cons-05 / Social Safeguard Specialist – CIU Peshawar | Individual | Sehrish Ashraf | 19-Jun-23 | 31-Dec-26 | N/a | PKR | 5.91 | N/a |  | Active |
| 14 | KPCIP-Cons-05 / Social Safeguard Specialist – CIU Swat | Individual | Saeed Hussain | 19-Jun-23 | 31-Dec-26 | N/a | PKR | 10.66 | N/a |  | Active |
| **B. ADF Grant financed Consultants** | | | | | | | | | | | |
| 1 | KPCIP-Cons-06 / Research Specialist (Intermittent Basis) | Individual | Mr. Shakeel Hayat | 15-Aug-22 | 31-Dec-26 | N/a | PKR | 27.50 | N/a |  | Active |
| 2 | KPCIP-Cons-06 / Capacity Development Specialist - Gender & Social Inclusion GESI (WASH & SWM) (Intermittent Basis) | Individual | Ms. Noor Mahal | 15-Aug-22 | 31-Dec-26 | N/a | PKR | 11.66 | N/a |  | Active |
| 3 | KPCIP-Cons-06 / Community Engagement & Behavioral Change Specialist (Intermittent Basis) | Individual | Mr. Sufi Ahmad Owais | 15-Aug-22 | 31-Dec-26 | N/a | PKR | 9.62 | N/a |  | Active |
| 4 | KPCIP-Cons-06 / Skills & Enterprise Development Specialist  (Intermittent Basis) | Individual | Mr. Sarmad Hussain Khan | 15-Aug-22 | 31-Dec-26 | N/a | PKR | 27.50 | N/a |  | Resigned / Financially Closed / re-advertised. Contact issued in First week of July |
|  | Skills & Enterprise Development Specialist  (Intermittent Basis) | Individual | Zahir Khurshid | 3-July-23 |  |  |  |  |  |  | Active |
| 5 | KPCIP-Cons-06 / Scholarships Programme Specialist (Intermittent Basis) | Individual | Mr. Fayyaz Ali Khan | 15-Aug-22 | 31-Dec-26 | N/a | PKR | 25.92 | N/a |  | Resigned / Financially Closed / re-advertised. Contact issued in First week of July |
|  | Scholarships Programme Specialist  (Intermittent Basis) | Individual | Danish Latif | 3-July-23 |  |  |  |  |  |  | Active |

a Give the revised completion date if the contract was extended.

b If the contract amount is in multiple currencies, mention each currency amount in separate row.

c Enter the contract status as follows: (i) Active, (ii) Physically Completed, and (iii) Financially Closed.

| **Table A5.2: Works, Goods, and Non-Consulting Services Contracts Awarded Under the Project** | | | | | | | | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Package No.** | **Name of Contract** | **Contractor/**  **Supplier** | **Contract Type** | **Contract Award Date** | **Completion date** | | **Currency** | **Contract Amount (million) PKR** | | **Expenditure (MA+IPC's) in million.** | **Contract Status d** |
| **Original** | **Revised b** |
| **Original** | **Revised** |
|  |  |  |  |  |  |  |  |  |  |  |  |
| CW-01, Lot-1: ABBOTTABAD. | Urban Green Space Initiatives | M/s Reliable-JHK (JV) | Works | 27-Jun-22 | 19-Apr-24 |  |  | 1174.98 |  | 281 | Active |
| CW-01, Lot-2: KOHAT. | M/s Reliable-JHK (JV) | 27-Jun-22 | 27-Oct-23 |  |  | 389.95 |  | 139 | Active |
| CW-01, Lot-3: MARDAN. | M/s Reliable-JHK (JV) | 27-Jun-22 | 15 Months |  |  | 582.64 |  | 87.4 | PD-KPCIP has agreed to this termination for convenience and has shared it with ADB for approval. |
| CW-01, Lot-4: MINGORA. | M/s Reliable-JHK (JV) | 27-Jun-22 | 07-Nov-23 |  |  | 287.98 |  | 43 | Active |
| CW-01, Lot-5: PESHAWAR. | M/s Reliable-JHK (JV) | 27-Jun-22 | 19-Apr-24 |  |  | 1056.66 |  | 376 | Active |
| CW-02, Lot-1: ABBOTTABAD. | Rehabilitation & Upgrade of the Water Supply System | ZKB-TTSH-INSAAT (JV) | 22-March--2023 | 36 Months |  |  | 8503.65 |  | 1275.5 | Active |
| CW-02, Lot-2: ABBOTTABAD. | New Water Treatment Plant | ZKB-TTSH-INSAAT (JV) | 08-Nov-22 | 07-Nov-25 |  |  | 2596.19 |  | 0 | Active |
| CW-02, Lot-3: KOHAT. | Rehabilitation & Upgrade of the Water Supply System | ZKB-TTSH-INSAAT (JV) | 08-Nov-22 | 19-Jul-25 |  |  | 4646.93 |  | 697 | Active |
| CW-02, Lot-4: PESHAWAR. | Rehabilitation & Upgrade of the Water Supply System | ZKB-TTSH-INSAAT (JV) | 08-Nov-22 | 30-Jan-25 |  |  | 2595.27 |  | 389 | Active |
| CW-03, Lot-1: KOHAT. | STP | ES BAKU-JHK (JV) | 03-Oct-22 | 02-Oct-25 |  |  | 6731.94 |  | 1010 | Active |
| CW-03, Lot-2: MARDAN. | STP | MATRACON-SWCCG (JV) | 04-Nov-22 | 03-Nov-25 |  |  | 8313.86 |  | 1247 | Active |
| CW-04, Lot-1: MINGORA. | New Water Treatment Plant | - |  |  |  |  | 3405 |  | 0 | Technical evaluation report is submitted to ADB for concurrence. |
| CW-04, Lot-2: MINGORA. | Rehabilitation & Upgrade of Water Supply System | ZKB-TTSH-INSAAT (JV) | 29-Nov-22 | 30-Jan-26 |  |  | 20328.31 |  | 3049 | Active |

a Use the following types: (i) Works, (ii) Goods, and (iii) Non-consulting services.

b Give the revised completion date if the contract was extended.

c If the contract amount is in multiple currencies, mention each currency amount in separate row.

d Enter the status as follows: (i) Active, (ii) Physically Completed, and (iii) Financially Closed

## Appendix-6: Pipeline Contracts – To Be Awarded under The Project

| **Table A6.1: Works, Goods and Non-consulting Services Contracts through Open Competitive Bidding Single Stage-Two Envelope** | | | | | | | | | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Package/ Lot # as in PP** | **Name of Contract Package** | **Est. Amt.**  **($ million)** | **Planned/ Approved** | **Milestone Dates** | | | | | | | | **Remarks** |
| **IFB** | **Technical Bid Opening** | **TBER to ADB** | **ADB Approval** | **Financial Bid opening** | **PBER to ADB** | **ADB approval** | **Contract Award** |
|  |  |  |  |  |  |  |  |  |  |  |  |  |
| CW-05: | Procurement, Supply, Installation, commissioning and operations of Solid waste management system MARDAN | 24.87 | Planned | Q3-2023 | Q4-2023 | Q4-2023 | Q4-2023 | Q4-2023 | Q4-2023 | Q4-2023 | Q4-2023 |  |
| Actual |  |  |  |  |  |  |  |  |  |
| CW-06: | Construction of Urban / Green Spaces – Salhad Bagh, ABBOTTABAD | 5.44 | Planned | Q3-2026 | Q3-2026 | Q3-2026 | Q3-2026 | Q4-2026 | Q4-2026 | Q4-2026 | Q4-2026 | Once the CW-07 is near to completion, the procurement will start for CW-06 |
| Actual |  |  |  |  |  |  |  |  |
| CW-07: Lot-1 | Procurement, Supply, Installation, commissioning and operations of Solid waste management system PESHAWAR | 77.11 | Planned | Q2-2023 | Q3-2023 | Q3-2023 | Q4-2023 | Q4-2023 | Q4-2023 | Q4-2023 | Q4-2023 |  |
| Actual |  |  |  |  |  |  |  |  |  |
| CW-07: Lot-2 | Procurement, Supply, Installation, commissioning and operations of Solid waste management system KOHAT | 23.60 | Planned | Q2-2023 | Q3-2023 | Q3-2023 | Q4-2023 | Q4-2023 | Q4-2023 | Q4-2023 | Q4-2023 |  |
| Actual |  |  |  |  |  |  |  |  |  |
| CW-07: Lot-3 | Procurement, Supply, Installation, commissioning and operations of Solid waste management system MINGORA | 13.45 | Planned |  |  |  |  |  |  |  |  | The sub-project will be shifted to some other site as the current site is not feasible – GoKP to decide. |
| Actual |  |  |  |  |  |  |  |  |
| CW-07: Lot-4 | CW-07: Procurement, Supply, Installation, commissioning and operations of Solid waste management system ABBOTABAD | 24.04 | Planned | Q2-2023 | Q3-2023 | Q3-2023 | Q4-2023 | Q4-2023 | Q4-2023 | Q4-2023 | Q4-2023 |  |
| Actual |  |  |  |  |  |  |  |  |  |

IFB = invitation for bids, PBER = price bid evaluation report, PP = procurement plan, TBER = technical bid evaluation report.

a Use the following date format {dd-mm-yy) e.g. “10-Jun-22”

| **Procurement Contracts Monitoring Sheet**  **Table A6.2: Works and Goods through Shopping**  **(Prior Review)** | | | | | | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Package No.** | **Name of Contract Package** | **Estimated amount (PKR)** | **Date type** | **Milestone Dates a** | | | | | **Remarks** |
| **RFQs advertised** | **Evaluation Report to ADB.** | **ADB approval** | **Contract Award** | **Intended Completion** |
|  |  |  |  |  |  |  |  |  |  |
| 03A / 01 | KPCIP - Shopping - Goods: General Office Equipment | 7,605,200 | Planned | Jul-22 | - | Sep-22 | - | - |  |
| Actual | 24-Sep-22 | 26-Sep-22 | 27-Sep-22 | 28-Sep-22 | 6-Oct-22 |  |
| 03A / 02 | KPCIP - Shopping - Goods: Procurement of General Office Equipment | 1,697,850 | Planned | Jul-22 |  | Sep-22 |  |  |  |
| Actual | 27-Sep-22 | 28-Sep-22 | 29-Sep-22 | 4-Oct-22 | 10-Oct-22 |  |
| 03B / 01 | KPCIP - Shopping - Goods: Procurement of IT Equipments (PMU) | 13,240,000 | Planned | Jul-22 |  | Sep-22 |  |  |  |
| Actual | 4-Nov-22 | 6-Nov-22 | 7-Nov-22 | 16-Oct-22 | 24-Nov-22 |  |
| 03B / 02 | KPCIP - Shopping - Goods: Procurement of IT Equipments (CIUS) | 15,600,000 | Planned | Jul-22 |  | Sep-22 |  |  |  |
| Actual | 4-Nov-22 | 6-Nov-22 | 7-Nov-22 | 16-Oct-22 | 24-Nov-22 |  |
| 03C / 01 | KPCIP - Shopping - Goods: Procurement of Office Furniture | 13,540,000 | Planned | Jul-22 |  | Sep-22 |  |  |  |
| Actual | 18-Oct-22 | 20-Oct-22 | 24-Oct-22 | 31-Oct-22 | 4-Nov-22 |  |
| 03D / 01 | KPCIP - Shopping - Goods: Procurement & Supply of Vehicles (Mid-Sized Sedan Car with 1.5/1.6 L Engine & Auto Transmission (08 Nos.) for PMU | 39,792,000 | Planned | Jul-22 |  | Sep-22 |  |  |  |
| Actual | 27-Sep-22 | 28-Sep-22 | 29-Sep-22 | 3-Oct-22 | 10-Oct-22 |  |
| 03D / 02 | KPCIP - Shopping - Goods: Procurement of Motor Bikes | 4,491,375 | Planned | Jul-22 |  | Sep-22 |  |  |  |
| Actual | 27-Sep-22 | 28-Sep-22 | 29-Sep-22 | 4-Oct-22 | 10-Oct-22 |  |
| 03D / 03 | KPCIP - Shopping - Goods: Procurement &  Supply of: (I) Vehicle [07 Seater, 05 Door Vehicle 'JEEP' (4X4) (2700 to 2800 CC) -  Fortuner Legender (01 No.)] AND (II) Mid - Sized Sedan Car with 1.3/1.5 L Engine & Auto Transmission Toyota YARIS ATIV CVT (07 Nos.) for PMU | 46,839,000 | Planned | Jul-22 |  | Sep-22 |  |  |  |
| Actual | 27-Sep-22 | 28-Sep-22 | 29-Sep-22 | 3-Oct-22 | 10-Oct-22 |  |
| 03D / 04 | KPCIP - Shopping - Goods: Procurement of Mid - Sized Sedan Car with 1.3/1.5 L Engine & Auto Transmission (10 Nos.) for CIU's | 41,070,000 | Planned | Jul-22 |  | Sep-22 |  |  |  |
| Actual | 27-Sep-22 | 28-Sep-22 | 29-Sep-22 | 5-Oct-22 | 10-Oct-22 |  |
| 03D / 05 | KPCIP - Shopping - Goods: Procurement of Mid - Sized Sedan Car with 1.3/1.5 L Engine & Auto Transmission (08 Nos.) for PMCSC | 32,856,000 | Planned | Jul-22 |  | Sep-22 |  |  |  |
| Actual | 27-Sep-22 | 28-Sep-22 | 29-Sep-22 | 5-Oct-22 | 10-Oct-22 |  |
| 03D / 06 | KPCIP - Shopping - Goods: Procurement of Mid - Sized Sedan Car with 1.3/1.5 L Engine & Auto Transmission (09 Nos.) for PMCSC | 36,963,000 | Planned | Jul-22 |  | Sep-22 |  |  |  |
| Actual | 27-Sep-22 | 28-Sep-22 | 29-Sep-22 | 5-Oct-22 | 10-Oct-22 |  |

a Use the following date format {dd-mm-yy) e.g. “10-Jun-22”

| **Table A6.3: Works and Goods through Shopping**  **(Post Review)** | | | | | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Package No.** | **Name of Contract Package** | **Estimated amount  (USD)** | **Date type** | **Milestone Dates a** | | | | **Remarks** |
| **Request for Quotations advertised** | **Evaluation approved by CSC** | **Award of Contract** | **Intended Completion** |
|  |  |  | Planned |  |  |  |  |  |
| Actual |  |  |  |  |  |
|  |  |  | Planned |  |  |  |  |  |
| Actual |  |  |  |  |  |

## Appendix-7: Category-Wise Utilization of Loans and Grant Proceeds

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Table 7.1: Category-wise Utilization of ADB Loan Proceeds**  **($ million)** | | | | | | | | |
| **Cat Ref.** | **Category Name\*** | **Current Allocation** | **Contract Awards** | | | **Disbursements** | | |
| **As of Last Qtr (A)** | **During Qtr (B)** | **Total** | **As of Last Qtr (A)** | **During Qtr (B)** | **Total (A)+(B)** |
| 01 | Works | 301.77 | 9.47 | 129.77 | 139.24 | 22.714 | - | 22.71 |
| 02 | Mechanical and Equipment | 5.35 | - | 0.93 | 0.93 | 0.94 | - | 0.94 |
| 03 | Environment and Social Mitigation | 5.91 | - | - | - | 0.93 | - | 0.93 |
| 04 | Project Management | 33.44 | 8.71 | 0.74 | 9.45 | 2.13 | - | 2.13 |
| 05 | Interest and Commitment Charges | 26.56 | - | - | - | 0.943 | - | 0.94 |
| 06 | Community and Social Wings WSSC | 1.01 | - | - | - | - | - | - |
| 07 | Unallocated | 5.97 | - | - | - | - | - | - |
|  | Advance Account |  |  |  |  | 20.73 | - | 20.73 |
|  | Total | 380.0 | 18.18 | 131.44 | 149.62 | 48.39 | - | 48.39 |

\* Include cost categories as given in the Loan Agreement.

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Table 7.2: Category-wise Utilization of AIIB Loan Proceeds**  **($ million)** | | | | | | | | |
| **Cat Ref.** | **Category Name\*** | **Current Allocation** | **Contract Awards** | | | **Disbursements** | | |
| **As of Last Qtr (A)** | **During the Qtr (B)** | **Total** | **As of Last Qtr (A)** | **During Qtr (B)** | **Total (A) + (B)** |
| 01 | Goods & Works for Part 1 of Project | 162.49 | 5.02 | 69.73 | 74.75 | 9.05 | - | 9.05 |
| 02 | Goods, Consulting, Non-Consulting Services, Incremental Operating Costs for Part 2 &3 of Project | 20.07 | 5.20 | - | 5.20 | 0.86 | - | 0.86 |
| 03 | Interest and Commitment Charges | 5.03 | - | - | - | - | - | - |
| 04 | Un-allocated | 12.41 | - | - | - | - | - | - |
| 5 | Advance Account | - | - | - | - | 9.44 | - | 9.44 |
|  | Total | 200.00 | 10.22 | 69.73 | 79.95 | 19.35 | - | 19.35 |

\* Include cost categories as given in the ADB system.

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Table 7.3: Category-wise Utilization of ADF Grant Proceeds**  **($ million)** | | | | | | | | |
| **Cat Ref.** | **Category Name\*** | **Current Allocation** | **Contract Awards** | | | **Disbursements** | | |
| **As of Last Qtr (A)** | **During the Qtr (B)** | **Total** | **As of Last Qtr (A)** | **During Qtr (B)** | **Total (A) + (B)** |
| 0300 | Works: Women Business Development | 1.01 | 0.95 | - | 0.95 | 0.15 | 0.12 | 0.27 |
| 1200 | Gender Interventions | 1.65 | - | 0.34 | 0.34 | - | - | - |
| 1201 | Project Management | 1.98 | - | 0.23 | 0.23 | 0.01 | - | 0.01 |
| 9300 | Un-allocated | 0.36 | - | - | - | - | - | - |
| - | Advance Account | - |  |  |  | 0.27 | - | 0.27 |
|  | Total | 5.0 | 0.95 | 0.56 | 1.51 | 0.43 | 0.12 | 0.55 |

\* Include cost categories as given in the Grant Agreement.

## Appendix-8: Project Expenditure

| **Table: A8.1 Category-wise Project Expenditure**  ($ million) | | | | | | | | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Item** | | **Project Cost** | | | **% of base cost** | **Expenditure during Quarter** | | | **Cumulative Expenditure** | | |
| **FE** | **LC** | **Total** | **FE** | **LC** | **Total** | **FE** | **LC** | **Total** |
| A. | Investment Costs |  |  |  |  |  |  |  |  |  |  |
|  | 1. Civil Works | 68.832 | 395.425 | 464.257 | 85.70% | - | 4.20 | 4.20 | - | 46.49 | 46.49 |
|  | 2. Mechanical and Equipment - Loan |  | 5.35 | 5.35 | 1.00% | - | - | - | - | - | - |
|  | 3. Mechanical and Equipment - Grant |  | 0.098 | 0.098 | 0.00% | - | - | - | - | - | - |
|  | 4. Environment and Social mitigation |  | 12.944 | 12.944 | 2.40% | - | - | - | - | - | - |
|  | 5. Gender Mainstreaming |  |  |  |  |  | - |  | - | - | - |
|  | a. Community and Social Wings - WSSCs |  | 1.009 | 1.009 | 0.20% | - | - | - | - | - | - |
|  | b. Capacity building/Institutional Strength. |  | 0.532 | 0.532 | 0.10% | - | - | - | - | - | - |
|  | c. Scholarship and internship program |  | 1.021 | 1.021 | 0.20% | - | - | - | - | - | - |
|  | d. Women Business Dev Centre, Kohat |  | 1.009 | 1.009 | 0.20% | - | - | - | - | - | - |
|  | 6.  Consultants |  |  |  |  |  | - | - | - | - | - |
|  | a. Project Management | 2.065 | 22.769 | 24.834 | 4.60% | - | 0.80 | 0.80 | - | 1.66 | 1.66 |
|  | b. Reforms and Capacity Building |  | 13.497 | 13.497 | 2.50% | - | - | - | - | - | - |
|  | c. Gender Mainstreaming |  | 0.818 | 0.818 | 0.20% | - | - | - | - | - | - |
|  | Subtotal (A) | 70.897 | 454.473 | 525.37 | 97.00% | - | 5.00 | 5.00 | - | 48.15 | 48.15 |
| B. | Recurrent Costs |  |  |  |  |  |  |  | - | - | - |
|  | 1.    Incremental Staff Salaries - Loans |  | 12.947 | 12.947 | 2.40% | - | 0.16 | 0.16 | - | 0.46 | 0.46 |
|  | 2. Incremental Staff salaries - Grant |  | 1.072 | 1.072 | 0.20% | - | 0.03 | 0.03 | - | 0.08 | 0.08 |
|  | 3.    Office Operations - Loans Component |  | 2.23 | 2.23 | 0.40% | - | 0.08 | 0.08 | - | 0.12 | 0.12 |
|  | 4.    Office Operations - Grant Component |  | 0.094 | 0.094 | 0.00% | - | - | - | - | - | - |
|  | Subtotal (B) | - | 16.343 | 16.343 | 3.00% | - | 0.27 | 0.27 | - | 0.66 | 0.66 |
|  | Total Base Cost | 70.897 | 470.817 | 541.714 | 100.00% | - | 5.27 | 5.27 | - | 48.81 | 48.81 |
| C. | Contingencies |  |  |  |  |  |  | - | - | - | - |
|  | 1.    Physical | 5.424 | 36.835 | 42.259 | 7.80% | - | - | - | - | - | - |
|  | 2.    Priceb | 1.323 | 33.113 | 34.436 | 6.40% | - | - | - | - | - | - |
|  | Subtotal (C) | 6.747 | 69.948 | 76.695 | 14.20% | - | - | - | - | - | - |
| D. | Financial Charges During Implementation |  |  |  |  |  |  | - | - | - | - |
|  | 1.    Interest during construction | 28.292 |  | 28.292 | 5.20% | - | - | - | - | - | - |
|  | 2.    Commitment charges | 3.3 |  | 3.3 | 0.60% | - | - | - | - | - | - |
|  | Subtotal (D) | 31.592 |  | 31.592 | 5.80% | - | - | - | - | - | - |
|  | Total Project Cost ($) | 109.235 | 540.765 | 650 |  | - | 5.27 | 5.27 | - | 48.81 | 48.81 |
|  | Total Project Cost (Euro) a | 94.74 | 469.007 | 563.747 |  | - | 5.17 | 5.17 | - | 47.84 | 47.84 |
| FE = foreign exchange, LC = local cost | | | | | | | | | | | |

| **Table: A8.2 Project Expenditure during the quarter – By Financier**  ($ million) | | | | | | | | | | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Item** | | **Project Cost at Appraisal** | | | | | | **Expenditure during the Quarter** | | | | | |
| **OCR Loan** | **ADF Grant** | **AIIB Loan** | **GoKP** | **Total Project Cost** | **Taxes and duties** | **ADB Loan** | **ADF Grant** | **AIIB Loan** | **GoKP** | **Total Project Cost** | **Taxes and duties** |
| A. | Investment Costsb |  |  |  |  |  |  |  |  |  |  |  |  |
|  | 1. Civil Works | 301.767 |  | 162.49 |  | 464.257 | 14.176 | 0.86 | 0.03 | 3.31 | - | 4.20 | - |
| 2. Mechanical and Equipment - Loan | 5.35 |  |  |  | 5.35 | 0.909 | - | - | - | - | - | - |
|  | 3. Mechanical and Equipment - Grant |  | 0.098 |  |  | 0.098 | 0.017 | - | - | - | - | - | - |
|  | 4. Environment and Social mitigation | 5.906 |  |  | 7.038 | 12.944 | 1.294 | - | - | - | - | - | - |
|  | 5. Gender Component |  |  |  |  |  |  | - | - | - | - | - |  |
|  | a. Community and Social Wings - WSSCs | 1.009 |  |  |  | 1.009 | 0.101 | - | - | - | - | - | - |
|  | b. Capacity Bld./Inst..Strgth. |  | 0.532 |  |  | 0.532 | 0.053 | - | - | - | - | - | - |
|  | c. Scholarship and internship |  | 1.021 |  |  | 1.021 | 0.102 | - | - | - | - | - | - |
|  | d. Women Business Dev, Kohat |  | 1.009 |  |  | - | 0 | - | - | - | - | - | - |
|  | 6.  Consultants |  |  |  |  |  |  | - | - | - | - | - |  |
|  | a. Project Management | 15.521 |  | 9.313 |  | 24.834 | 3.239 | 0.52 | - | 0.28 | - | 0.80 |  |
|  | b. Reforms and Capacity Building | 8.436 |  | 5.062 |  | 13.497 | 1.761 | - | - | - | - | - |  |
|  | c. Gender Mainstreaming |  | 0.818 |  |  | 0.818 | 0.212 | - | - | - | - | - |  |
|  | Subtotal (A) | 337.989 | 3.479 | 176.864 | 7.038 | 525.37 | 21.864 | 1.38 | 0.03 | 3.59 | - | 5.00 | - |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| B. | Recurrent Costs |  |  |  |  |  |  |  |  |  |  |  |  |
|  | 1.     Incremental Staff Salaries - Loans | 8.092 |  | 4.855 |  | 12.947 | 1.295 | 0.14 | - | 0.02 | - | 0.16 | - |
|  | 2. Incremental Staff salaries - Grant |  | 1.072 |  |  | 1.072 | 0.107 | - | 0.03 | - | - | 0.03 | - |
|  | 3.     Office Operations-Loans | 1.394 |  | 0.836 |  | 2.23 | 0.223 | 0.07 | - | 0.01 | - | 0.08 | - |
|  | 4.     Office Operations-Grant |  | 0.094 |  |  | 0.094 | 0.009 | - | - | - | - | - | - |
|  | Subtotal (B) | 9.486 | 1.166 | 5.691 | - | 16.343 | 1.634 | 0.21 | 0.03 | 0.03 | - | 0.27 | - |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  | Total Base Cost | 347.475 | 4.645 | 182.556 | 7.038 | 541.714 | 23.498 | 1.59 | 0.06 | 3.62 | - | 5.27 | - |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| C. | Contingencies |  |  |  |  |  |  |  |  |  |  |  |  |
|  | 1.     Physical | 3.367 | 0.208 | 7.007 | 31.677 | 42.259 | 0 | - | - | - | - | - | - |
|  | 2.     Price | 2.599 | 0.147 | 5.405 | 26.286 | 34.436 | 0 | - | - | - | - | - | - |
|  | Subtotal (C) | 5.966 | 0.355 | 12.411 | 57.963 | 76.695 | 0 | - | - | - | - | - | - |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| D. | Financial Charges |  |  |  |  |  |  |  |  |  |  |  |  |
|  | 1.      Interest during construction | 24.222 |  | 4.07 |  | 28.292 | 0 | - | - | - | - | - |  |
|  | 2.     Commitment charges | 2.337 |  | 0.963 |  | 3.3 | 0 | - |  |  |  | - |  |
|  | Subtotal (D) | 26.559 | - | 5.033 |  | 31.592 | 0 | - | - | - | - | - |  |
|  | Total Project Cost ($) | 380 | 5 | 200 | 65.001 | 650 | 23.498 | 1.59 | 0.06 | 3.62 | - | 5.27 | - |
|  | % of Project Cost | 58.5% | 0.8% | 30.8% | 10% |  |  |  |  |  |  |  |  |
|  | Project Cost in Euro a | 329.575 | 4.337 | 173.461 | 56.375 | 563.747 | 20.729 | 1.56 | 0.06 | 3.55 | - | 5.17 | - |
| Note: Numbers may not sum precisely because of rounding. | | | | | | | | | | | | | |

| **Table: A8.3 Project Expenditure cumulative till date – By Financier**  ($ million) | | | | | | | | | | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Item** | | **Project Cost at Appraisal** | | | | | | **Cumulative Expenditure** | | | | | |
| **OCR Loan** | **ADF Grant** | **AIIB Loan** | **GoKP** | **Total Project Cost** | **Taxes and duties** | **OCR Loan** | **ADF Grant** | **AIIB Loan** | **GoKP** | **Total Project Cost** | **Taxes and duties** |
| A. | Investment Costsb |  |  |  |  |  |  |  |  |  |  |  |  |
|  | 1. Civil Works | 301.767 |  | 162.49 |  | 464.257 | 14.176 | 33.19 | 1.52 | 11.78 | - | 46.49 | - |
| 2. Mechanical and Equipment - Loan | 5.35 |  |  |  | 5.35 | 0.909 | - | - | - | - | - | - |
|  | 3. Mechanical and Equipment - Grant |  | 0.098 |  |  | 0.098 | 0.017 | - | - | - | - | - | - |
|  | 4. Environment and Social mitigation | 5.906 |  |  | 7.038 | 12.944 | 1.294 | - | - | - | - | - | - |
|  | 5. Gender Component |  |  |  |  |  |  | - | - | - | - | - | - |
|  | a. Community and Social Wings - WSSCs | 1.009 |  |  |  | 1.009 | 0.101 | - | - | - | - | - | - |
|  | b. Capacity Bldg/Inst.Streng. |  | 0.532 |  |  | 0.532 | 0.053 | - | - | - | - | - | - |
|  | c. Scholarship and internship |  | 1.021 |  |  | 1.021 | 0.102 | - | - | - | - | - | - |
|  | d. Women Business Dev, Kohat |  | 1.009 |  |  | - | 0 | - | - | - | - | - | - |
|  | 6.  Consultants |  |  |  |  |  |  | - | - | - | - | - | - |
|  | a. Project Management | 15.521 |  | 9.313 |  | 24.834 | 3.239 | 0.52 | - | 1.14 | - | 1.66 | - |
|  | b. Reforms and Capacity Building | 8.436 |  | 5.062 |  | 13.497 | 1.761 | - | - | - | - | - | - |
|  | c. Gender Mainstreaming |  | 0.818 |  |  | 0.818 | 0.212 | - | - | - | - | - | - |
|  | Subtotal (A) | 337.989 | 3.479 | 176.864 | 7.038 | 525.37 | 21.864 | 33.71 | 1.52 | 12.92 | - | 48.15 | - |
|  |  |  |  |  |  |  |  | - | - | - | - | - | - |
| B. | Recurrent Costs |  |  |  |  |  |  | - | - | - | - | - | - |
|  | 1.     Incremental Staff Salaries - Loans | 8.092 |  | 4.855 |  | 12.947 | 1.295 | 0.39 | - | 0.07 | - | 0.46 | 0.01 |
|  | 2. Incremental Staff salaries - Grant |  | 1.072 |  |  | 1.072 | 0.107 | - | 0.08 | - | - | 0.08 | - |
|  | 3.     Office Operations-Loans | 1.394 |  | 0.836 |  | 2.23 | 0.223 | 0.10 | - | 0.02 | - | 0.12 | - |
|  | 4.     Office Operations-Grant |  | 0.094 |  |  | 0.094 | 0.009 | - | - | - | - | - | - |
|  | Subtotal (B) | 9.486 | 1.166 | 5.691 | - | 16.343 | 1.634 | 0.49 | 0.08 | 0.09 | - | 0.66 | 0.01 |
|  |  |  |  |  |  |  |  | - | - | - | - | - | - |
|  | Total Base Cost | 347.475 | 4.645 | 182.556 | 7.038 | 541.714 | 23.498 | 34.20 | 1.60 | 13.01 | - | 48.81 | 0.01 |
|  |  |  |  |  |  |  |  | - | - | - | - | - | - |
| C. | Contingencies |  |  |  | 12.79% |  |  | - | - | - | - | - | - |
|  | 1.     Physical | 3.367 | 0.208 | 7.007 | 31.677 | 42.259 | 0 | - | - | - | - | - | - |
|  | 2.     Price | 2.599 | 0.147 | 5.405 | 26.286 | 34.436 | 0 | - | - | - | - | - | - |
|  | Subtotal (C) | 5.966 | 0.355 | 12.411 | 57.963 | 76.695 | 0 | - | - | - | - | - | - |
|  |  |  |  |  |  |  |  | - | - | - | - | - | - |
| D. | Financial Charges |  |  |  |  |  |  | - | - | - | - | - | - |
|  | 1.      Interest during construction | 24.222 |  | 4.07 |  | 28.292 | 0 | 0.38 | - | - | - | - | - |
|  | 2.     Commitment charges | 2.337 |  | 0.963 |  | 3.3 | 0 | 0.01 | - | - | - | - | - |
|  | Subtotal (D) | 26.559 | - | 5.033 |  | 31.592 | 0 | 0.39 | - | - | - | - | - |
|  | Total Project Cost ($) | 380 | 5 | 200 | 65.001 | 650 | 23.498 | 0.78 | - | - | - | - | - |
|  | % of Project Cost |  | 5 |  |  |  |  | - | - | - | - | - | - |
|  | Project Cost in Euro a | 329.575 | 4.337 | 173.461 | 56.375 | 563.747 | 20.729 | 34.98 | 1.60 | 13.01 | - | 48.81 | 0.01 |

| **Table: A8.4 Project Expenditure – By Output**  ($ million) | | | | | | | | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Item** | | **Total** | **Project Cost At Appraisal** | | | **Expenditure during Quarter** | | | **Cumulative Expenditure** | | |
| **Output 1** | **Output 2** | **Output 3** | **Output 1** | **Output 2** | **Output 3** | **Output 1** | **Output 2** | **Output 3** |
| **Amount** | **Amount** | **Amount** | **Amount** | **Amount** | **Amount** | **Amount** | **Amount** | **Amount** |
| A. | Investment Costs |  |  |  |  |  |  |  |  |  |  |
|  | 1. Civil Works | 464.257 | 464.257 | - | - | 4.17 | - | 0.03 | 46.18 | - | 0.03 |
| 2. Mechanical and Equipment - Loan | 5.35 | 1.35 | 4 | - | - | - | - | 0.94 | - | - |
|  | 3. Mechanical and Equipment - Grant | 0.098 | - | - | 0.098 | - | - | - | - | - | - |
|  | 4. Environment and Social mitigationb | 12.944 | 12.944 | - | - | - | - | - | - | - | - |
|  | 5. Gender Mainstreaming |  | - | - | - | - | - | - | - | - | - |
|  | a. Community and Social Wings - WSSCs | 1.009 | 0 | 0 | 1.009 | - | - | - | - | - | - |
|  | b. Capacity building/Inst. Strength. | 0.532 | 0 | 0 | 0.532 | - | - | - | - | - | - |
|  | c. Scholarship and internship program | 1.021 | 0 | 0 | 1.021 | - | - | - | - | - | - |
|  | d. Women Business Centre, Kohat | 1.009 | 0 | 0 | 1.009 | - | - | - | - | - | - |
|  | 6.  Consultants |  |  |  |  |  | - | - | - | - | - |
|  | a. Project Management | 24.834 | 24.834 | 0 | 0 | 0.8 | - | - | 1.66 | - | - |
|  | b. Reforms and Capacity Building | 13.497 | 0 | 13.497 | 0 | - | - | - | - | - | - |
|  | c, Gender Mainstreaming | 0.818 |  |  | 0.818 | - | - | - | - | - | - |
|  | Subtotal (A) | 525.37 | 503.385 | 17.497 | 4.487 | 4.97 | - | 0.03 | 48.78 | - | 0.03 |
| B. | Recurrent Costs |  |  |  |  |  |  |  | - | - | - |
|  | 1.    Incremental Staff Salaries - Loans | 12.947 | 12.658 | 0.289 | 0 | 0.16 |  |  | 0.44 | - | - |
|  | 2. Incremental Staff salaries - Grant | 1.072 | 0 | 0 | 1.072 | 0 |  | 0.03 | 0 | - | 0.05 |
|  | 3.    Office Operations - Loans Component | 2.23 | 2.221 | 0.009 | 0 | 0.07 |  |  | 0.13 | - | - |
|  | 4.    Office Operations - Grant Component | 0.094 |  |  | 0.094 | 0 |  |  |  | - | - |
|  | Subtotal (B) | 16.343 | 14.879 | 0.298 | 1.166 | 0.23 | 0 | 0.03 | 0.57 | 0 | 0.05 |
|  | Total Base Cost | 541.713 | 518.264 | 17.795 | 5.653 | 5.2 | 0 | 0.06 | 49.35 | 0 | 0.08 |
| C. | Contingencies |  |  |  |  |  |  |  | - | - | - |
|  | 1.      Physical | 42.259 | 42.05 | 0 | 0.208 | 0 | 0 | 0 | - | - | - |
|  | 2.      Price | 34.436 | 34.289 | 0 | 0.147 | 0 | 0 | 0 | - | - | - |
|  | Subtotal (C) | 76.695 | 76.339 | 0 | 0.355 | 0 | 0 | 0 | - | - | - |
| D. | Financial Charges During Implementation |  |  |  |  |  |  |  | - | - | - |
|  | 1.      Interest during construction | 28.292 | 27.571 | 0.721 | 0 | - | 0 | 0 | 0.38 | - | - |
|  | 2.     Commitment charges | 3.3 | 3.216 | 0.084 | 0 | - | 0 | 0 | - | - | - |
|  | Subtotal (D) | 31.592 | 30.786 | 0.805 | 0 | - | - | - | 0.38 | - | - |
|  | Total Project Cost ($) | 650 | 625.389 | 18.6 | 6.008 | 5.20 | - | 0.06 | 49.73 | - | 0.08 |
|  | % of Project Cost |  |  |  |  |  |  |  | - | - | - |
|  | Total Project Cost (Euro)a | 563.747 | 542.403 | 16.132 | 5.212 | 5.10 | - | 0.06 | 48.74 | - | 0.08 |

WSSC = Water and Sanitation Services Company

Output 1: Climate resilient urban infrastructure and services improved

Output 2: Institutional capacities of urban service providers, provincial government, and city governments strengthened

Output 3: Women’s participation in urban governance and access to economic opportunities increased

| **Table: A8.5 Utilization of ADB, AIIB, and ADF Grant – Against Annual Projections per PAM**  ($ million) | | | | | | | | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Item** | | **At Appraisal** | | **Project Expenditure by \*** | | | | **Cumulative Project Expenditure** | | | |
| **During Current Quarter** | | | | **During Current Quarter** | | | |
| **Total** | **In 2022** | **ADB Loan** | **ADF Grant** | **AIIB Loan** | **Total** | **ADB Loan** | **ADF Grant** | **AIIB Loan** | **Total** |
| A. | Investment Costs |  |  |  |  |  |  |  |  |  |  |
|  | 1. Civil Works | 469.918 | 10.57 | 0.86 | 0.03 | 3.31 | 4.20 | 33.19 | 1.52 | 11.78 | 46.49 |
| 2. Mechanical and Equipment - Loan | 5.35 | 0.93 | - | - | - | - | - | - | - | - |
|  | 3. Mechanical and Equipment - Grant | 0.098 | 0.017 | - | - | - | - | - | - | - | - |
|  | 4. Environment and Social mitigationb | 5.906 | 1.027 | - | - | - | - | - | - | - | - |
|  | 5. Gender Mainstreaming | - | - | - | - | - | - | - | - | - | - |
|  | a. Community and Social Wings - WSSCs | 1.01 | 0.176 | - | - | - | - | - | - | - | - |
|  | b. Capacity building/Inst. Strength. | 0.532 | 0.092 | - | - | - | - | - | - | - | - |
|  | c. Scholarship and internship program | 1.021 | 0.178 | - | - | - | - | - | - | - | - |
|  | d. Women Business Dev. Centre, Kohat | 1.009 | 0.176 | - | - | - | - | - | - | - | - |
|  | 6.  Consultants | - | - | - | - | - | - | - | - | - | - |
|  | a. Project Management | 21.334 | 2.819 | 0.52 | - | 0.28 | 0.80 | 0.52 | - | 1.14 | 1.66 |
|  | b. Reforms and Capacity Building | 12.621 | 2.348 | - | - | - | - | - | - | - | - |
|  | c, Gender Mainstreaming | 0.818 | 0.142 | - | - | - | - | - | - | - | - |
|  | Subtotal (A) | 519.618 | 18.475 | 1.38 | 0.03 | 3.59 | 5.00 | 33.71 | 1.52 | 12.92 | 48.15 |
| B. | Recurrent Costs | - | - |  |  |  |  | - | - | - | - |
|  | 1.       Incremental Staff Salaries - Loans | 12.017 | 1.395 | 0.14 | - | 0.02 | 0.16 | 0.39 | - | 0.07 | 0.46 |
|  | 2. Incremental Staff salaries - Grant | 1.072 | 0.187 | - | 0.03 | - | 0.03 | - | 0.08 | - | 0.08 |
|  | 3.       Office Operations - Loans Component | 2.23 | 0.388 | 0.07 | - | 0.01 | 0.08 | 0.10 | - | 0.02 | 0.12 |
|  | 4.       Office Operations - Grant Component | 0.094 | 0.016 | - | - | - | - | - | - | - | - |
|  | Subtotal (B) | 15.413 | 1.985 | 0.21 | 0.03 | 0.03 | 0.27 | 0.49 | 0.08 | 0.09 | 0.66 |
|  | Total Base Cost | 535.031 | 20.461 | 1.59 | 0.06 | 3.62 | 5.27 | 34.20 | 1.60 | 13.01 | 48.81 |
| C. | Contingencies | - | - |  |  |  |  | - | - | - | - |
|  | 1.      Physical | 10.374 |  | - | - | - | - | - | - | - | - |
|  | 2.      Price | 8.004 |  | - | - | - | - | - | - | - | - |
|  | Subtotal (C) | 18.377 | - | - | - | - | - | - | - | - | - |
| D. | Financial Charges During Implementation | - | - |  |  |  |  | - | - | - | - |
|  | 1.      Interest during construction | 28.292 | 4.921 | - | - |  | - | - | - | - | - |
|  | 2.     Commitment charges | 3.3 | 0.574 | - | - |  | - | - | - | - | - |
|  | Subtotal (D) | 31.592 | 5.495 | - | - | - | - | - | - | - | - |
|  |  | - |  |  |  |  |  | - | - | - | - |
|  | Total Project Cost ($) | 585 | 25.955 | 1.59 | 0.06 | 3.62 | 5.27 | 34.20 | 1.60 | 13.01 | 48.81 |
|  | % Total Loans and Grant ($) | 100.00% | 4.40% |  |  |  |  | - | - | - | - |
|  | Total Project Cost (Euro)a | 507.373 | 22.511 | 1.56 | 0.06 | 3.55 | 5.17 | 33.52 | 1.57 | 12.75 | 47.84 |

## Appendix-9: Quarter Contract Awards and Disbursement (CAD) Performance

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Item** | **2022** | | **Quarters of Calendar Year 2023** | | | | | | | | | | **Accumulated Total** | |
| **Year Total** | | **Q1** | | **Q2** | | **Q3** | | **Q4** | | **Year Total 2023** | | **(2022+2023)** | |
| **Projected** | **Actual** | **Projected** | **Actual** | **Projected** | **Actual** | **Projected** | **Actual** | **Projected** | **Actual** | **Projected** | **Actual** | **Projected** | **Actual** |
| **A. Contract Awards** |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 1. Loan 4160-PAK | 74.55 | 149.62 | 25.10 | 18.80 | 16.54 | 1.26 | - | 0.32 | 78.00 | 0.14 | 119.64 | 20.51 | 194.19 | 170.13 |
| 2. Loan 8412-PAK | 40.10 | 79.95 | 13.51 | 10.85 | 8.90 | - | - | - | 42.00 | - | 64.41 | 10.85 | 104.51 | 90.80 |
| 3. Grant 0816-PAK | 0.31 | 1.51 | - | - | - | - | - | - | - | - | - | - | 0.31 | 1.51 |
| **Total Contract Awards** | **114.96** | **231.08** | **38.61** | **29.65** | **25.44** | **1.26** | **-** | **0.32** | **120.00** | **0.14** | **184.05** | **31.36** | **299.01** | **262.44** |
| **B. Disbursements** |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 1. Loan 4160-PAK | 8.98 | 22.57 | 0.32 | 1.11 | 4.33 | 24.73 | 12.46 | 0.05 | 30.17 | 1.99 | 47.28 | 27.88 | 56.26 | 50.45 |
| 2. Loan 8412-PAK | 7.09 | 10.78 | 0.19 | - | 2.36 | 9.42 | 6.74 | 0.03 | 16.29 | - | 25.58 | 9.45 | 32.67 | 20.23 |
| 3. Grant 0816-PAK | 0.16 | 0.16 | - | - | - | 0.08 | - | 0.12 | - | - | - | 0.20 | 0.16 | 0.36 |
| **Total Disbursements** | **16.23** | **33.51** | **0.51** | **1.11** | **6.69** | **34.23** | **19.20** | **0.20** | **46.46** | **1.99** | **72.86** | **37.53** | **89.09** | **71.04** |

Note: Use the projections given in the PAM

|  |  |  |  |
| --- | --- | --- | --- |
| **Table A 9.2: CAD Performance During the Quarter - ADB Loan**  **($)** | | | |
| **Contract Awards and Disbursement Status** | **Up to end of last quarter** | **During the Quarter under report** | **Cumulative as of end of current Quarter** |
| **A.     Contract Awards** | | | |
| 1.     Cumulative |  |  |  |
| a.     Projected | 74.552 | - | 74.552 |
| b.     Actual | 149.62 | - | 149.62 |
| % achieved = (b/a x 100) | |  | 201% |
| 2.     For the year |  |  |  |
| a.     Projected | 74.552 | - | 74.552 |
| b.     Actual | 149.62 | - | 149.62 |
| % achieved = (b/a x 100) | |  | 201% |
| **B.     Disbursements** | | | |
| 1.     Cumulative |  |  |  |
| a.     Projected | 13.63 | 12.46 | 26.09 |
| b.     Actual | 48.41 | - | 48.41 |
| % achieved = (b/a x 100) | |  | 186% |
| 2.     For the year |  |  |  |
| c.      Projected | - | 12.46 | 12.46 |
| d.     Actual | - | - | 0 |
| % achieved = (b/a x 100) | |  | 186% |

|  |  |  |  |
| --- | --- | --- | --- |
| **Table A 9.3: CAD Performance During the Quarter - AIIB Loan**  **($)** | | | |
| **Contract Awards and Disbursement Status** | **Up to end of last quarter** | **During the Quarter under report** | **Cumulative as of end of current Quarter** |
| A.     Contract Awards |  |  |  |
| 1.     Cumulative |  |  |  |
| a.     Projected | 40.101 | - | 40.101 |
| b.     Actual | 79.95 | - | 79.95 |
| % achieved = (b/a x 100) | |  | 199% |
| 2.     For the year |  |  |  |
| a.     Projected | 40.10 | - | 40.10 |
| b.     Actual | 79.95 | - | 79.95 |
| % achieved = (b/a x 100) | |  | 199% |
| B.     Disbursements |  |  |  |
| 1.     Cumulative |  |  |  |
| a.     Projected | 9.64 | 6.74 | 16.38 |
| b.     Actual | 20.18 | - | 20.18 |
| % achieved = (b/a x 100) | |  | 123% |
| 2.     For the year |  |  |  |
| c.      Projected | - | 6.74 | 16.38 |
| d.     Actual | - | - | 20.18 |
| % achieved = (b/a x 100) | |  | 123% |

| **Table A 9.4: CAD Performance During the Quarter - ADF Grant Loan**  **($)** | | | |
| --- | --- | --- | --- |
| **Contract Awards and Disbursement Status** | **Up to end of last quarter** | **During the Quarter under report** | **Cumulative as of end of current Quarter** |
| **A.     Contract Awards** | | | |
| 1.     Cumulative |  |  |  |
| a.     Projected | 0.243 | 0.073 | 0.316 |
| b.     Actual | 0.946 | 0.563 | 1.509 |
| % achieved = (b/a x 100) | | 771% | 478% |
| 2. For the year |  |  |  |
| a.     Projected | 0.243 | 0.073 | 0.316 |
| b.     Actual | 0.946 | 0.563 | 1.509 |
| % achieved = (b/a x 100) | | 771% | 478% |
| **B.     Disbursements** | | | |
| 1.     Cumulative |  |  |  |
| a.     Projected | 0.16 | 0 | 0.16 |
| b.     Actual | 0.24 | 0.12 | 0.36 |
| % achieved = (b/a x 100) | |  | 225% |
| 2.     For the year |  |  |  |
| c.      Projected | 0.163 | 0 | 0.163 |
| d.     Actual | 0.155 | 0.12 | 0.275 |
| % achieved = (b/a x 100) | |  | 169% |

## Appendix-10: Update on Design Monitoring Framework

| **Update on Design Monitoring Framework** | | |
| --- | --- | --- |
| **Results Chain** | **Performance Indicators** | **Status At the End of Reporting Month** |
| **Outcome** | **By 2028:** |  |
| Access to reliable and resilient urban services in Abbottabad, Kohat, Mardan, Mingora, and Peshawar improved | At least 800,000 people, of which 400,000 are female, have access to piped water supply system (2021 baseline: 247,000 people, of which 124,000 are female) (OP 2.1.4; OP 4.1; OP 4.1.1) |  |
| At least 180,000 people, including 90,000 women, are served by sewerage network connected to new wastewater treatment system (2021 baseline: 19,880 people) (OP 2.1.4; OP 4.1.1) |  |
| At least 250,000 households received door-to-door solid waste collection services (2021 baseline: 0) (OP 4.1.1) |  |
| At least 35% reduction in time spent by women and girls in the collection, storing, and treatment of water (2021 baseline: 76 minutes per day) (OP 2.4) |  |
|  | At least 90,000 people visit renovated green urban spaces annually, of which 50% are female (2021 baseline: 0) |  |
| Greenhouse gas emissions reduced to 177,109 equivalent tCO2e/year in the project area (2021 baseline: 427,000 tCO2e/year) (OP 3.1) |  |
|  | At least 50% of the 270 women beneficiaries of project scholarships, research scholarships, and internships gained employment in urban water supply and sanitation (2021 baseline: 0) (OP 2.1.1) |  |
| Number of residents’ reporting problems with their household water supply services declines to 25% in four project cities (2020 baseline: 58%) (OP 4.1) |  |
| **Outputs:**  **1. Climate-resilient and gender-friendly urban infrastructure and services improved** | **By 2027**  1a. Clean water supply capacity of WSSCs increased to 400,000 m3 daily (2019 baseline: 238,443 m3/day) (OP 4.1.2) | Two projects of civil works i.e CW-02 and CW-04 will contribute to achieve the mentioned performance indicators.  **Water & Sanitation sub projects**  **CW-02: Improvement of Water Supply System with SCADA**  The contracts for all the four lots mentioned below under the CW-02 package has been signed.  i) In the project CW-02-Lot1 for the Rehabilitation & Upgrade of Water Supply to WTP with SCADA in Abbottabad, the contractor has provided the mobilization advance guarantee and received the advance payment. Commencement letter has not been issued to the JV-Contractor.  ii) In CW-02-Lot 2, for the Rehabilitation & Upgrade of the Water Supply System connected to the WTP with SCADA, including the New Water Treatment Plant in Abbottabad: The JV-Contractor has achieved an overall progress of 1.54% against planned 2.71% till the end of the reporting month. Only 1.04% progress has been achieved during the month.  iii) In CW-02-Lot 3, for the Improvement of the Water Supply System with SCADA in Kohat, the overall progress is at 2.42%, which is below the planned progress of 7.82% by the end of the reporting period. Only 0.22% progress has been made during the month.  iv) For CW-02-Lot 4, the Improvement of Water Supply System with SCADA in Peshawar, the overall progress is at 4.12%, falling short of the planned progress of 14.2% by the reporting period. Progress made during the month is 0.86%.  **CW-04: Mingora Greater Water Supply Scheme**  (i) CW-04-Lot 1-Greater Water Supply Scheme: Water Treatment Plant (WTP) in MINGORA: The sub project has not been awarded yet.  (ii) CW-04-Lot 2- Water Distribution System, Intake Structure, Distribution System, and SCADA in MINGORA: An overall progress of 0.84% has been made, which falls short of the planned progress of 10.47% for the reporting period. Only 0.13% progress has been made during the month. |
| 1b. About 1,200 km of new water distribution network installed, and 550 km of existing network rehabilitated (2019 baseline: 550 km) (OP 4.1.2) |
| 1c. 150,000 smart meters installed (2019 baseline: 0) (OP 4.1.2) | After completion of CW-03 and CW-04 |
| 1d. Cumulative sewage treatment capacity of 30,000 cubic meters daily achieved with construction of 2 new sewage treatment plants (2021 baseline: 0) (OP 4.1.2) | **CW-03: Construction of Sewerage System Including New Sewage Treatment Plant (STP)**  The progress update of the two subprojects under the CW-03 package is summarized below:  (i) CW-03-Lot 1-Kotal Township (KDA) Sewerage System, including the New Sewage Treatment Plant (STP) and necessary SCADA system, has made a physical progress of 9.1%, surpassing the planned progress of 13.75% for the reporting period. The progress achieved during the reporting month is 2.67%.  (ii) In CW-03-Lot 2, covering the Catchment Area of Rorya STP Mardan, the contractor has achieved an overall progress of 9.1%, falling short of the planned 15.53% progress for the reporting period. 2.96% progress has been made during the reporting month.. |
| 1e. 156 km of new sewerage pipes installed (2021 baseline: 0 km) (OP 4.1.2) |
| 1f. Solid waste treatment capacity of at least 2,000 tons daily achieved with modern solid waste management facilities constructions (2021 baseline: 0 facilities) (OP 4.1.1; OP 4.1.2; OP 4.3.1) | **Solid Waste Management System**  The status update of the two packages i.e. Procurement, Supply, Installation, commissioning and operations of Solid waste management system Mardan (CW-05) and Procurement, Supply, Installation, commissioning and operations of Solid waste management system, Peshawar, Kohat, Mingora & Abbottabad (CW-07) is summarized below:  One of the tasks assigned to Institutional Reform and Capacity Building (IRCB) consultants under PRF was to provide the business model solution (BMS) for the solid waste management component. The Operational Design and Performance Monitoring Framework under Milestone-5 have been shared with pertinent stakeholders for feedback and review. This includes operational designs and financial models for water and sewerage treatment plants in various cities. Feedback from the stakeholders has been communicated to the IRCB consultants for necessary adjustments |
| 1g. 1.6 km2 of gender-friendly and climate-resilient urban spaces and parks established b (2021 baseline: 0) (OP 2.1.4; OP 4.1.2; OP 2.4.1) | **Green Urban Infrastructure sub-projects**  The progress updates of the five subprojects under the CW-01 package is summarized below;  (i) CW-01-Lot1: Pedestrianization of Market in Old City Centre, Sherwan Adventure Family Park, Abbottabad: Contractor has re-submitted the revised working schedule on dated 30th October 2023 & site activities are in progress according to the revised working schedule. A progress of 19.87% has been accomplished, slightly below the planned target of 20.85% overall. 6.17 % progress has been added to the cumulative achievement during the month.  (ii) CW-01-Lot2: Women’s Business Development & Community Centre and Sports Complex, Kohat: A progress of 38.40% has been accomplished, which is below the planned revised target of 51.29%. In the previous month of October the overall progress stands at 41.1%, which was below the planned 100%.  (iii) CW-01-Lot3: Ring Road Green Belt and N-45 National Highway, Mardan: This sub-project is being terminated due to isolation and visibility issues, with approval sought from ADB after the PD-KPCIP's agreement.  (iv) CW-01-Lot4: Neighborhood Park, Mingora: An overall progress of 14.56% has been achieved against the planned progress of 100%. Only 5.11% progress has been made during the month.  (v) CW-01-Lot5: Besai Park Hayatabad and Bagh-e-Naran Park Extension, Peshawar: Progress stands at 46.93%, below the planned 64.55%. Progress made during the reporting month is 5.73%.  **CW-06: Construction of Urban / Green Spaces - Salhad Bagh, Abbottabad**  To be advertised after completion of the Solid Waste Management System. |
| **2. Institutional capacity and gender inclusiveness of urban service providers, provincial government, and city governments strengthened** | **By 2027:**  2a. Nonrevenue water reduced to 30% of total water produced (2021 baseline: estimated at 45%) c (OP 4.2) | The performance indicators will be achieved after completion of civil works packages CW-02 and CW-04. The implementation of non-revenue water management will be initiated following the completion of the new water distribution network and rehabilitation of the existing network in four Project cities of Abbottabad, Kohat, Mingora and Peshawar under two Civil Works (CW) procurement packages - KPCIP/ CW-02 & KPCIP/CW-04. |
| 2b. New tariff scheme formulated and adopted by WSSCs (2021 baseline: Not applicable) (OP 4.2.1) |
| 2c. An estimated 150 district metered areas with SCADA system for water supply commissioned (2021 baseline: 0) |
| 2d. Gender-inclusive human resources policies and procedures developed and adopted for 5 WSSCs (2021 baseline: 0) (OP 4.2.1; OP 4.2.2; OP 2.3.2) | The documents developed by IRCB have been reviewed by ADB gender team, comments provided on both the indicators are yet to be incorporated for final submission. |
| 2e. At least 20% of board members in each WSSC are women (2021 baseline: 3%) (OP 2.3) | The project aims to boost women's representation in the WSSC Board of Directors, aiming for a shift from the baseline of 3% in 2021 to the ultimate goal of 20%. The project has effectively sustained a gender ratio of 12.5%. However, in the third quarter of 2023, the WSSC boards in Mardan and Peshawar were dissolved, presenting an opportunity to advocate for increased inclusion of women members as the process of reconstituting the Board of Directors commences. Most of the BoDs have been dissolved. Suggestions for enhancement of women member to at least 20% have been shared with all WSSCs. |
| 2f. Performance and service benchmarks for key urban services, with sex-disaggregated targets approved for 5 WSSCs (2021 baseline: 0) (OP 6.3) | The documents developed by IRCB have been reviewed by ADB gender team, comments provided on both the indicators are yet to be incorporated for final submission. |
| 2g. At least 50% of the target population reached through consultations and awareness-raising campaigns are women (2021 baseline: 0) (OP 4.2.1; OP 4.2.2) | The BCC firm CCPP is in the process of developing BCC strategy, Cities Action Plan and the KPCIP action plan.  The gender team of CIUs conducting awareness raising sessions in concerned communities on regular basis.Details of which are as:   |  |  |  |  | | --- | --- | --- | --- | | **City** | **Gender** | **No of Session** | **No. of Participants** | | Mardan | Male | 04 | 1150 | | Kohat | Male | 11 | 165 | | Female | 9 | 135 | | Peshawar | Male | 136 | 1168 | | Female | 142 | 955 | | Swat | Male | 3 | 30 | | Female | 15 | 50 | |
| **3. Women’s participation in urban governance and access to economic opportunities increased** | **By 2027:** | Civil Work is in Progress. Work on the proposal of Forming an Apex Body, responsible for the management, maintenance and operation of the center is in progress. |
| 3a. 1 WBDC in Kohat constructed and 1 technical training institute for girls in Peshawar upgraded (2020 baseline: 0) (OP 2.4.1) |
| 3b. At least 100 WBDC business development trainees provided with materials and technical training have set up their own businesses (2020 baseline: 0) (OP 2.2.1) | Yet to be started |
| 3c. At least 100 women entrepreneurs have established green enterprises with the material and business under the Community Incentive Fund established by the project (2020 baseline: 0) (OP 2.4.1) | Draft Concept Paper developed by BCC Specialist and shared with PMU. |
| 3d. At least 55 female beneficiaries of the project’s scholarship program earned university degrees in fields related to urban water supply and sanitation (2020 baseline: 0) (OP 2.2.1) | **One-year master’s Scholarship Program**  Inception for scholarship program is in progress for the year 2024 on national and international level. |
|  |

| **Update on Work Plan** | | |
| --- | --- | --- |
| **Key Activities with Milestones** | **Completed**  **(Yes/No)** | **Current Status/Remarks** |
| **1. Climate-resilient and gender-friendly urban infrastructure and services improved**  **Water supply and sanitation subproject** |  |  |
| 1. Start procurement of water treatment plants (Q3–Q4 2021) | **Yes** | **CW-02**  **CW04** |
| 1. Sign contract (Q2 2022) | **Yes** | **CW-02**  **CW-04** |
| 1. Start procurement of water resource development subprojects (Q3 2021) | **Yes** | **CW-02**  **CW-04** |
| 1. Construct water and sewerage network (Q4 2024) | **In progress** | **Water & Sanitation sub projects**  Packages CW-02 and CW-04 are in progress  **KPCIP/ CW-03 Construction of Sewerage System including New Sewage Treatment Plant (STP);**  The two subprojects under the CW-03 package is in progress: |
| 1. Implement nonrevenue water management (Q3 2027). | **No** | **CW-02**  **CW-04** |
| 1. Construct wastewater treatment plants (Q1 2027). | **In Progress** | KPCIP/ CW-03 Construction of Sewerage System including New Sewage Treatment Plant (STP);  The two subprojects under the CW-03 package is in progress |
| **Solid waste management subproject** | **No** |  |
| 1. Start procurement of landfill sites and equipment (Q2 2022). |
| 1. Sign contract (Q1 2023). | **No** |  |
| 1. Construct primary and secondary collection and sanitary disposal facilities (Q4 2026). | **Not due yet** |  |
| 1. Start program for waste reduction and recycling and waste-to-energy conversion (Q4 2026). | **Not due yet** |  |
| 1. Construct sanitary (engineered) landfill sites (Q1 2027). | **Not due yet** |  |
| **Green urban infrastructure subproject** |  |  |
| 1. Start procurement of green urban spaces and equipment (Q4 2021). | **Yes** |  |
| 1. Sign contract (Q2 2022) | **Yes** |  |
| 1. Rehabilitate existing green urban spaces, riverside trails, and parks (Q1 2025). | **In Progress** | CW-01: Work in progress in 4 out of 5 lots  Additionally, CW-06, focused on the construction of Urban/Green Spaces at Salhad Bagh in Abbottabad, will be advertised after the completion of the Solid Waste Management System. |
| **2. Institutional capacity and gender inclusiveness of urban service providers, provincial government, and city governments strengthened** |  |  |
| 1. Start consultant recruitment (Q1-Q2 2022). | In progress |  |
| 1. Strengthen capacity of WSSCs and local government (Q4 2022). | In Progress |  |
| 1. Implement citizen satisfaction surveys and technical baseline surveys by WSSCs (Q2 2022). | No | N/A |
| 1. Map geographic information system for asset management and install SCADA (Q3 2022) | No | N/A |
| 1. Develop and implement time-bound financial sustainability action plans for WSSCs (Q4 2022). | No | N/A |
| 1. Promote and support environmentally sound technologies (Q2 2023). | No | N/A |
| 1. Complete governance and regulatory reforms to increase efficiency of urban service operations (Q3 2027). | No | N/A |
| **3. Women’s participation in urban governance and access to economic opportunities increased** |  |  |
| 1. Complete recruitment of gender specialist of project management unit (Q1 2022). | In progress | Contract has been issued to Director Gender during November 2023 |
| 1. Award civil works contract for WBDC, Kohat (Q1 2023). | Yes | The Women Development Center (WBDC) construction is in progress. Additionally, the specialist conducted a Needs Analysis Workshop for WBDC and worked on the Operational Plan Framework. Meetings with IRCB and Creative addressed business models and budget components, ensuring efficiency |
| 1. Complete orientation of WSSC staff, gender staff, and consultants on gender-inclusive water, sanitation, and hygiene; solid waste management; and social mobilization (Q2 2027). | In Progress |  |
| 1. Engage community, and design and commence incentive fund (Q4 2023). | In progress | Draft Concept Paper developed by BCC Specialist and shared with PMU. |
| 1. Design and roll out scholarship and internship program (Q2 2023). | In Progress | One-year master’s Scholarship Program  Inception for scholarship program is in progress for the year 2024 on national and international level. |
| 1. Establish small green enterprises owned by women (Q3 2027). | In Progress |  |
| 1. Complete rehabilitation of Polytechnic Institute for Women in Peshawar (Q4 2025). | In Progress |  |
| 1. Complete the scholarships and research program (Q4 2027). | In Progress |  |

## Appendix-11: Social Safeguard Quarterly Progress Report



## Appendix-12: Environmental Screening & Monitoring Reporting



## Appendix-13: Implementation Progress of Gender Action Plan

| **Appendix 13- Gender Action Plan** | | | | |
| --- | --- | --- | --- | --- |
| **Activities** | **Performance Targets/Indicators** | **Responsibility** | **Time-frame** | **Cumulative Progress**  **November, 2023** |
| **Outcome:** **Access to reliable and resilient urban services improved** | | | | |
| a. Improve women’s access to reliable and safe water supply and sanitation services, as well as to safe public spaces | By 2028:  a.1 At least 800,000 people, of which approximately 400,000 are women, have access to piped water supply system (2021 baseline: 247,000 people, 124,000 women) | PMU, WSSC, LGER&DD | 2028 | The KPCIP is executing 5 water supply schemes in its selected cities, aiming at clean and accessible water provision to the people of targeted cities. The details of the total number of beneficiaries, including female beneficiaries are as under: The KPCIP is executing 5 water supply schemes in its selected cities, aiming at clean and accessible water provision to the people of targeted cities. The details of the total number of beneficiaries, including female beneficiaries are as under:   |  |  |  | | --- | --- | --- | | Total Beneficiaries | Male Beneficiaries | Female Beneficiaries | | 833,037 | 403721 | 429316 |   The total number of households that will benefit from the water supply Schemes are as under:   |  |  |  | | --- | --- | --- | | HH of DWSS/Water Supply System | | | | District | Total HH | Total=541840 | | Peshawar | 437,679 | | Kohat | 28000 | | Abbottabad | 21018 | | Swat | 55143 |   CIU Peshawar conducted awareness raising sessions at concerned communities regarding the OHRs, safe water uses etc. Details are given as under:   |  |  |  | | --- | --- | --- | | Activity | No.  of Sessions | No.  of participants | | Awareness session with Male Communities | 16 | 170 | | Awareness session with Female Communities | 17 | 121 |   CIU Swat conducted meetings with district administration and other stakeholders regarding progress, ongoing processes/issues, and future planning. |
|  | a.2 At least 180,000 people, including 90,000 women, are served by sewerage network connected to new wastewater treatment system (2021 baseline: 19,880) |  |  | The sewerage treatment plants in districts Kohat, and construction of STP boundary, wall, excavation of ponds and existing drains and other related civil works in district Mardan is in progress.  Details of household that will benefit from wastewater treatment plants are as follow:   |  |  |  | | --- | --- | --- | | HHs of water treatment system | | | | District | Total HH | Total=29409 | | Mardan | 18050 | | Kohat | 11359 | |
|  | a.3 At least 35% reduction in time spent by women and girls in the collection, storing, and treatment of water, as well as in disposing solid waste (2021 baseline: 0)a |  |  | For the outcome indicator “a.3”, the baseline data indicating that women and girls spend 76 minutes per day collecting drinking water is available in the DMF. However, for the second part of the same indicator related to solid waste disposal and related time spent by women and girls has yet to be collected.  Detailed work has been done to modify the sample size for the baseline survey. The data has been converted from beneficiaries’ individual data to household data was collected from all districts which is 833268, the recalculated sample size is 3053 households from which baseline data related to Solid Waste Management (SWM), Sewage Treatment Plants (STP), and Green Urban Spaces divided by the number of targeted NCs in each of the 5 selected cities as follow:  • Peshawar: 130 NCs, Sample size: 1950 (PMU will provide necessary support)  • Mardan: 26 NCs, Sample size: 390  • Abbottabad: 11 NCs, Sample size: 165 (Transport arrangements to be ensured by CIU)  • Kohat: 16 NCs, Sample size: 288  • Swat: 20 NCs, Sample size: 300  An average of 15 households will be contacted per Neighborhood Committee, and this activity has been completed by District Mardan, Swat, Abbottabad and Kohat and started data punching in soft while in Peshawar the activity is in progress. |
| b. Provide women and girls opportunities to take on technical jobs in WSSCs | b.1 At least 50% of the 270 women beneficiaries of project scholarships, research scholarships, and internships gained employment in the urban WSS sector (2021 baseline: 0%) |  |  | Project internship program is in the process of execution. After the ADB gender team’ disagreement on the initial criteria for shortlisting and selection of internees a revised criterion has been developed and shared by ADB gender team. The revised criteria have been approved by Project director PMU-KPCIP and adopted with true spirit. After completion of shortlisting process and before formal meeting of committee members for discussion and finalization of interview dates, an informal meeting was held to brief the available committee members and ADB section observers, where some greys areas were identified and communicated to ADB via Email for further discussion and finalization.  Whereas, after completion of extensive working on provincial level institutions and execution plan for One-Year paid internship program the ADB gender team suggested an in-depth research and exploration of national and international scholarship programs/opportunities and agreed on the delay of the program up to 1 year. |
| **Output 1: Climate resilient and gender friendly urban infrastructure and services improved** | | | | |
| 1.1 Develop and implement gender-sensitive BCC strategy | 1.1.1. By 2027, 50% of the target population reached through multimedia campaigns, consultations, and awareness raising are women and girls (2021 base line: 0%) | PMU, WSSC, CIUs | 2027 | The BCC firm CCPP is in the process of developing BCC strategy, Cities Action Plan and the KPCIP action plan.  The gender team of CIUs conducting awareness raising sessions in concerned communities on regular basis. Details of which are as:   |  |  |  |  | | --- | --- | --- | --- | | **City** | **Gender** | **No of Session** | **No. of Participants** | | Mardan | Male | 04 | 1150 | | Kohat | Male | 11 | 165 | | Female | 9 | 135 | | Peshawar | Male | 136 | 1168 | | Female | 142 | 955 | | Swat | Male | 3 | 30 | | Female | 15 | 50 | |
|  | 1.1.2. By 2023, 80% WSSCs staff (of whom 40% are women) report increased information on gender-sensitive BCC through training programs (2 in each city)b (2021 baseline: 0%) | PMU, WSSC, CIUs | Q3-2024 | First round of trainings on BCC in all 5 cities have already been completed in Quarter 3. |
| 1.2 Support gender-inclusive urban spaces | 1.2.1 1.6 km2 of gender-friendly urban spaces & parks establishedc (2021 baseline: 0) | TMAs, LGER&DD, PMU | 2027 | A total of 07 gender friendly urban spaces & parks are in the process of development and upgradation.  Details given in the Table below:   |  |  |  |  |  | | --- | --- | --- | --- | --- | | Population (Household) Data of Green Urban Spaces | | | | | | District | Project Activity | Residents | Total HH |  | | Abbottabad | Sherwan Family Adventure Park | Nawa Shahir  City Urban Kehal Malik Pora | 310515 | Total= 366180 | | Pedestrianization of Old City Area | | Kohat | Kohat Sports Complex | Tehsil Urban Kohat | 28569 | | Women Business Development Center | | Mingora | Ladies Neighborhood Park | Landikas-Malakanan | 3802 | | Peshawar | | Bagh e Naran Extension Park | Hayatabad | 23,294 | | Besai Park | |
|  | 1.2.2. Post-survey results indicate 80% of women and girls feel safe and use the improved urban spaces in KPCIP locations (2021 baseline: 0)d | TMAs, LGER&DD, PMU | 2027 | The baseline for this component has been completed by all 5 cities i.e., Mardan, Swat, Abbottabad and Kohat and Peshawar the data punching in soft in Peshawar is in progress.   |  |  |  |  | | --- | --- | --- | --- | | **Cities** | **NC** | **HH** | **Survey forms** | | Mardan | 16 | 303 | 303 | | Kohat | 16 | 100 | 100 | | Peshawar | 130 | 1950 | 1950 | | Swat | 25 | 305 | 305 | | Abbottabad | 3 | 58 | 58 | |
| **Output 2: Institutional capacities of gender inclusive urban service providers and government strengthened** | | | | |
| 2.1 Develop and implement gender-inclusive HR policies and procedures for WSSCs | 2.1.1. Gender-inclusive HR policies and procedures developed and adopted for the five WSSCse (2021 baseline: 0) | PMU, WSSCs, LGER&DD | Q2 of 2022–2026 | IRCB has disseminated the "Diversity and Inclusion Policy," a document crafted for WSSCs by an HR expert at IRCB. The documents developed by IRCB have been reviewed by ADB gender team, comments provided on both the indicators are yet to be incorporated for final submission. |
|  | 2.1.2. Performance/service benchmarks for key urban services, with sex-disaggregated targets developed and approved for 5 WSSCs | PMU, WSSCs, LGER&DD | Q2 of 2022–2026 | IRCB is currently integrating the gender inputs and feedback received from both the ADB gender team and the KPCIP gender section to amend the document concerning the subject indicator. The revised document is yet to be submitted by IRCB. |
| 2.2 Undertake affirmative actions to increase women staff at technical and senior level positions | 2.2.1. Women staff in WSSCs increased to 20% by 2026 (2021 baseline: 2%) | PMU, WSSCs, LGER&DD | Q2 of 2022–2026 | The WSSC women staff ratio has been increased from 2% in 2021 to 2.6 in June 2023, no progress recorded after June,2023.  Once the HR policy manuals of WSSCs are revised and developed from gender perspective, the sensitization sessions will be conducted for the senior management to ensure the desired ratio of women staff at each WSSC.  The staffing data of WSSC (Water Supply and Sanitation Company) working at all levels has been collected from all five targeted cities of KPCIP. The current percentage of female staff has increased to 2.6%. |
|  | 2.2.2. At least 2 women are appointed at senior management level at the PMU |  |  | The Director Gender position has been filled by a woman, and 50% of the mentioned indicator has been achieved. |
|  | 2.2.3. At least 20% of board members in each WSSC are women (2021 baseline: 3%) |  |  | The project is tasked with increasing the women's representation on the WSSC board of directors from 3% as the baseline 2021 to 20% as the ultimate project outcome. The project has successfully maintained a 12.5% gender ratio during the reporting month. However, since in 3rd quarter 2023, the WSSC board of directors in Mardan and Peshawar, and were dissolved, creating an opportunity to advocate for more women members' inclusion as the reconstitution of the Board of Directors began. Most of the BoDs have been dissolved. Suggestions for enhancement of women member to at least 20% have been shared with all WSSCs.The gender ratio details of WSSC board members as of Oct-23 in different cities can be viewed in the table below;   |  |  |  |  |  | | --- | --- | --- | --- | --- | | District | Male | Female | Total | Female ratio | | Peshawar | 0 | 0 | 0 | 0 | | Kohat | 10 | 1 | 11 | 9.09% | | Mardan | 0 | 0 | 0 | 0 | | Swat | 9 | 1 | 10 | 10% | | Abbottabad | 9 | 2 | 11 | 18.2% | | Total | 28 | 4 | 32 | 12.5% | |
| 2.3 Develop and implement WASH program promoting women as leaders | 2.3.1 At least 100 community WASH groups support improvement in urban WSS (30% low-income and 40% women-led groups) (2021 baseline: 0) | PMU, WSSCs, LGER&DD | Q2 of 2022–2026 | The overall target number of WASH groups for the year 2023 has been achieved by all five CIUs. A total of 170 WASH groups have been formed as of the reporting period. The details of these are as follows:   |  |  |  |  |  | | --- | --- | --- | --- | --- | | District | Male | Female | Mixed | Total | | Abbottabad | 10 | 12 | 01 | 23 | | Mardan | 12 | 18 | 00 | 30 | | Peshawar | 34 | 31 | 00 | 65 | | Kohat | 16 | 16 | 00 | 32 | | Swat | 10 | 10 | 00 | 20 | | Total | 82 | 87 | 01 | 170 | |
|  | 2.3.2 By 2024, at least 200 women and girls report enhanced leadership skills in promoting WASH and safe SWM in 5 cities |  |  | A training module on the safe use of water, sanitation, and best hygiene practices has been developed; the training is scheduled to take place in December 2023. Additionally, a draft WASH program has been formulated and submitted. Currently, the comments from the Asian Development Bank (ADB) gender team are being incorporated into the program. |
| **Output 3: Women’s role in urban development increased** | | | | |
| 3.1 Create a pool of women technical workers | 3.1.1 At least 200 female graduates of university and technical institutes provided with internship in a higher skill technical position at WSSCsf (2021 baseline: 0) | PMU, WSSCs | 2022–2027 | After completing the shortlisting based on the new criteria shared by the ADB Gender team, several gaps were identified. These were collectively discussed and resolved during the ADB mission. To finalize the criteria and prevent future discrepancies, the Internship Interview & Selection Committee members convened a meeting at PMU-KPCIP. A decision was made based on the majority consensus, and certain adjustments were integrated. The final criteria are currently being incorporated, and interviews are scheduled for the second week of December 2023. Intern placements at WSSCs are expected in Quarter-1 of 2024. |
|  | 3.1.2. At least 55 female beneficiaries of the project’s scholarship program earned university degrees in the fields related to urban WSS (2021 baseline: 0) |  |  | After completion of extensive working on provincial level institutions and execution plan the ADB gender team suggested an in-depth research and exploration of national and international scholarship programs/opportunities and agreed on the delay of the program up to 1 year. Inception for scholarship program is in progress for the year 2024 on national and international level. |
| 3.2 Increase women’s access to jobs and enterprises | 3.2.1. WBDC in Kohat constructed,g and one Technical Training Institute for girls in Peshawar upgraded (2021 baseline: 0) |  |  | Civil Work is in Progress. Work on the proposal of Forming an Apex Body, responsible for the management, maintenance and operation of the center is in progress. |
|  | 3.2.2. At least 1000 women and girls with certifications from training programs of the WBDC and WTTI (2021 baseline: 0) |  |  | The Skills & Enterprise development consultant is facilitating the implementation on the target indicator. |
|  | 3.2.3. At least 30 women teachers of the WBDC and WTTI report improved teaching skills from the training programs supported by the project (2021 baseline: 0) |  |  |  |
|  | 3.2.4. At least 240 women who have completed training from the WBDC and WTTI are employed (2021 baseline: 0) |  |  |  |
|  | 3.2.5. At least 100 WBDC trainees provided with materials and technical training have set up their own businesses (2021 baseline:0) |  |  |  |
|  | 3.2.6. At least 300 women entrepreneurs have established their green enterprises with the material and technical training support provided under the Community Incentive Fund established by the projecth, I (2021 baseline: 0) |  |  | Draft Concept Paper developed by BCC Specialist and shared with PMU. |
| **\*Please note, all blue highlighted GAP outputs i.e., 2.2.1 onwards to 3.2.6 are ADF Grant outputs.**  BCC = behavioral change and communication, CIU = City Implementation Unit, HR = human resource, km = kilometer, KPCIP = Khyber Pakhtunkhwa Cities Improvement Project, LGER&DD = Local Government, Elections, and Rural Development Department, PMU = Project Management Unit, Q = quarter, SWM = solid waste management, TMA = tehsil municipal administration, WTTI = Women’s Technical Training Institute, WASH = water, sanitation, and hygiene, WBDC = Women’s Business Development Center, WSS = water supply and sanitation WSSC = water supply and sanitation company.  a Baseline data on current time spent by women in water collection and solid waste disposal to be determined through a survey.  b BCC refers to promoting women’s increased participation and gender-transformation in urban planning and executing urban services.  c Gender friendly urban spaces with dedicated spaces to promote women sports and physical health, family areas, well-lit rest areas & toilets.  d Baseline data on current perceptions of women and girls about urban spaces to be determined through a survey at the start of the project.  e Gender-Inclusive HR policies and procedures that encourage women’s recruitment and retention in WSSCs.  f A year-long internship includes living and transport support, office space, and IT equipment.  g WBDC Kohat will include facilities such as: training rooms, multipurpose community hall, market space, and a childcare for working mothers.  h Green enterprises refer to environment-friendly and sustainable enterprises such as the provision of WSS and SWM.  I The Community Incentive Fund will be administered by WSSCs to support women in establishing and expanding green enterprises. | | | | |

## Appendix-14: Compliance to Covenants

| **Table A14.1: Covenants in Loan Agreement** | | | |
| --- | --- | --- | --- |
| **No.** | **Covenant** | **Reference in Loan Agreement** | **Status of compliance** |
| 1 | The Borrower shall relend the proceeds of the Loan to the Project Executing Agency under a Relending Sanction Letter upon terms and conditions prescribed in the Relending Policy, 2020 as may be satisfactory to ADB. | Section 3.01. (a) | Partly Complied |
| 2 | The Borrower Shall cause the Project Executing Agency and the Implementing Agencies to apply such proceeds to the financing of expenditures on the Project in accordance with the provisions of this Loan Agreement and the Project Agreement. | Section 3.01. (b) | Complied |
| 3 | The proceeds of the Loan shall be allocated and withdrawn in accordance with the provisions of Schedule 3 to this Loan Agreement, as such Schedule may be amended from time to time by agreement between the Borrower and ADB. | Section 3.02. | Complied |
| 4 | Except as ADB may otherwise agree, the Borrower shall procure, or cause to be procured the items of expenditure to be financed out of the proceeds of the Loan in accordance with the provisions of Schedule 4 to this Loan Agreement. | Section 3.03. | Complied |
| 5 | The Loan Closing Date for the purposes of Section 9.02 of the Loan Regulations shall be 30 June 2028 or such other date as may from time to time be agreed between the Borrower and ADB. | Section 3.04. | Not yet due |
| 6 | In the carrying out of the Project and operation of the Project facilities, the Borrower shall perform, or cause to be performed, all obligations set forth in Schedule 4 to this Loan Agreement and the Project Agreement. | Section 4.01. | Partly complied |
| 7 | The Borrower shall enable ADB’s representatives to inspect the Project, the Goods, Works and Services, and any relevant records and documents. | Section 4.02 | Ongoing |
| 8 | ADB shall disclose the annual audited financial statements for the Project and the opinion of the auditors on the financial statements within 14 days of the date of ADB's confirmation of their acceptability by posting them on ADB's website. | Section 4.03. | Not yet due |
| 9 | The Borrower shall take all actions which shall be necessary on its part to enable the project Executing Agency to perform its obligations under the Project Agreement and shall not take or permit any action which would interfere with the performance of such obligations. | Section 4.04 | Ongoing |
| 10 | Except as ADB may otherwise agree, the Loan proceeds Shall be disbursed in accordance with the Loan Disbursement Handbook. | Para. 5, Schedule 3 | Complied |
| 11 | **Implementation Arrangements.**  The Borrower shall ensure, and cause the project Executing Agency and Implementing Agencies to ensure, that the Project is implemented in accordance with the detailed arrangements set forth in the PAM. Any subsequent change to the PAM shall become effective only after approval of such change by the Borrower and ADB. In the event of any discrepancy between the PAM and this Loan Agreement, the provisions of this Loan Agreement shall prevail. | Para. 1, Schedule 4 | Partly complied. |
| 12 | **Procurement.**  The Borrower shall ensure, and cause the project Executing Agency and Implementing Agencies to ensure, that:   1. the procurement of Goods, Works and Services is carried out in accordance with the Procurement policy and the procurement Regulations | Para. 2 (a) , Schedule 4 | Complied |
| 13 | 1. Goods, Works, and Services shall be procured based on the detailed arrangements set forth in the Procurement Plan including the procurement and selection methods, the type of bidding documents and ADB's review requirements. The Borrower may modify the detailed arrangements set forth in the Procurement Plan only with the prior agreement of ADB, and such modifications must be set out in updates to the Procurement Plan; | Para. 2 (b) ,Schedule 4 | Complied |
| 14 | C.(i) all Goods and Works procured, and Services obtained (including -all computer hardware, software and systems, whether separately procured or incorporated within other goods and services procured) do not violate or infringe any industrial property or intellectual property right or claim of any third party; and | Para. 2 (c-i) , Schedule 4 | Complied |
| 15 | (ii) all contracts for the procurement of Goods, Works and Services contain appropriate representations, warranties and, if appropriate indemnities from the contractor, supplier, consultant, or service provider with respect to the matters referred to in this subparagraph. | Para. 2 (ciii), Schedule 4 | Complied |
| 16 | The Borrower. through the project Executing Agency, shall not award any Works contracts which involves environmental impacts until:   1. Khyber Pakhtunkhwa Environmental Protection Agency has granted the final approval of the EIA; and | Para. 3 (a), Schedule 4 | Complied |
| 17 | 1. The Borrower has or has ensured that the Project Executing Agency has  incorporated the relevant provisions from the EMP into the Works contract. | Para. 3 (b), Schedule 4 | Complied |
| 18 | 1. The Borrower, through the Project Executing Agency shall not award any Works contract which involves involuntary resettlement impacts until the Borrower has: 2. prepared and submitted to ADB the final RP, the final CAP and the final SDDR for that contract and obtained ADBs clearance of such RPs, CAPS and SDDRs; and | Para. 4 (a), Schedule 4 | Complied |
| 19 | 1. the contract expressly provides that the commencement of the installation and construction phase for any section or part of such Works is strictly conditional upon issuance by the Project Executing Agency and Implementing Agencies of a notice to commence such section or part of such Works. | Para. 4 (b), Schedule 4 | Complied |
| 20 | **Environment**  The Borrower, through the project Executing Agency and Implementing Agencies, shall ensure that the preparation design, construction, implementation, operation and decommissioning of the Project and all Project facilities comply with (a) all applicable laws and regulations of the Borrower and Khyber Pakhtunkhwa relating to environment, health and safety; and (b) the Environmental Safeguards; and (c) all measures and requirements set forth in the IEEs, ElAs, the EMP, and any corrective or preventative actions set forth in a Safeguards Monitoring Report. | Para. 5,  Schedule 4 | Ongoing |
| 21 | The Borrower through the Project Executing Agency and Implementing Agencies shall ensure, that all land and all rights-of-way for the Project are made available to the Works contractor in accordance with the schedule agreed under the related Works contract and all land acquisition and resettlement activities are implemented in compliance with (a) all applicable laws and regulations of the Borrower and Khyber Pakhtunkhwa relating to land acquisition and involuntary resettlement; (b) the Involuntary Resettlement Safeguards; and (c) all measures and requirements set forth in the RF, RPs, and any corrective or preventative actions set forth in the Safeguards Monitoring Report. The Borrower shall ensure, and cause the Project Executing Agency and Implementing Agencies to ensure, that no land shall be acquired for the purposes of the Project under the emergency acquisition provisions of the Borrower's Land Acquisition Act (1894) as amended from time to time. | Para. 6,  Schedule 4 | Ongoing |
| 22 | Without limiting the application of the Involuntary Resettlement Safeguards or the RE, RPs, or the CAPS, the Borrower shall ensure, or Cause the Project Executing Agency and Implementing Agencies to ensure, that no physical or economic displacement takes place in connection with the Project until:   1. compensation and other entitlements have been provided to affected people in accordance with the RPs and CAPS: and | Para. 7 (a), Schedule 4 | Ongoing |
| 23 | 1. a Comprehensive income and livelihood restoration program has been established in accordance with the RPs and CAPS. | Para. 7 (b), Schedule 4 | Ongoing |
| 24 | Without prejudice to paragraphs 6 and 7 the Borrower shall ensure. and shall cause the project Executing Agency and Implementing Agencies to ensure, that subsequent to the award of any Works contract, no notice to commence Works is issued to a contractor in relation to any section or part of such Works unless the applicable provisions of the RP and/or CAP have been implemented and an RP/CAP Compliance Report, prepared by the external expert or NGO described in paragraph 12(c) and describing progress with implementation of, and compliance with, such RP, including any corrective and preventative actions, has been submitted to and cleared by ADB. | Para. 8,  Schedule 4 | Ongoing |
| 25 | **Indigenous People.**  The Borrower, through the project Executing Agency and Implementing Agencies, shall ensure, that the preparation, design, construction, implementation, and operation of the Project and all Project facilities does not have any indigenous peoples impacts within the meaning of the SPS. In the event that the Project does have any such impact, the Borrower shall, and shall cause the Project Executing Agency and Implementing Agencies to, take all steps required to ensure that the Project complies with applicable laws and regulations of the Borrower and Khyber Pakhtunkhwa and with the SPS. | Para. 9, Schedule 4 | Ongoing |
| 26 | **Human and Financial Resources Requirements to Implement**  10. The Borrower shall cause the Project Executing Agency and the Implementing Agencies to make available necessary budgetary and human resources to fully implement the EMPs and the RPs. | Para.10, Schedule 4 | Partly complied |
| 27 | **Safeguards Related provisions in Bidding documents and Works contracts.**  11. The Borrower Shall cause the Project Executing Agency and the Implementing Agencies to ensure that all bidding documents and contracts for Works contain provisions that require contractors to:   * 1. comply with the measures relevant to the contractor set forth in the IEES' ElAs, the EMPs, and the RPs (to the extent they concern impacts on affected people during construction), and any corrective or preventative actions set forth in a Safeguards Monitoring Report; | Para. 11 (a), Schedule 4 | Complied |
| 28 | * 1. make available a budget for all such environmental and social measures; | Para. 11 (b), Schedule 4 | Complied |
| 29 | * 1. provide the Borrower, the project Executing Agency and the Implementing  Agencies with a written notice of any unanticipated environmental, resettlement or indigenous peoples risks or impacts that arise during construction, implementation or operation of the Project that were not considered in the ElAs, the EMPs and the RPs; | Para. 11 (c), Schedule 4 | Complied |
| 30 | * 1. adequately record the condition of roads, agricultural land and other Infrastructure prior to starting to transport materials and construction; and | Para. 11 (d), Schedule 4 | Complied |
| 31 | * 1. reinstate pathways, other local infrastructure, and agricultural land to at least their pre-project condition upon the completion of construction. | Para. 11 (e), Schedule 4 | Complied |
| 32 | **Safeguards Monitoring and Reporting**  The Borrower Shall cause the Project Executing Agency to do the following: (a)Submit semiannual Safeguards Monitoring Reports to ADB and disclose relevant information from such reports to affected persons promptly upon submission; | Para. 12 (a), Schedule | Ongoing |
| 33 | (b) if any unanticipated environmental and/or social risks and impacts arise during construction implementation or operation of the Project that were not considered in the IEEs, EIAs, the EMPs the RF, the RRs and CAPS, promptly inform ADB of the occurrence of such risks or impacts, with detailed description of the event and proposed time-bound corrective action plan; | Para. 12 (b), Schedule | Ongoing |
| 34 | (c) no later than finalization of the RPs and CAPs, engage qualified and experienced external experts under a selection process and terms of reference acceptable to ADB to verify information produced through the Project monitoring process, and facilitate the carrying out of any verification activities by such external experts; | Para. 12 (c), Schedule | Ongoing |
| 35 | d) no later than the commencement of works by the Works contractor, engage external experts to monitor and report upon Project implementation, and facilitate the carrying out of any monitoring activities by such external experts; and | Para. 12 (d), Schedule | Ongoing |
| 36 | e) report any actual or potential breach of compliance with the measures and requirements set forth in the EMPs or the RPs promptly after becoming aware of the breach. | Para. 12 (e), Schedule | Ongoing |
| 37 | **Prohibited List of Investments**  The Borrower shall cause the Project Executing Agency and Implementing Agencies to ensure that no proceeds of the Loan are used to finance any activity included in the list of prohibited investment activities provided in Appendix 5 of the SPS. | Para. 13,Schedule 4 | Ongoing |
| 38 | **Grievance Redress Mechanism**  The Borrower shall cause the Project Executing Agency and the Implementing Agencies to ensure that a safeguards grievance redress mechanism acceptable to ADB is established and functional in accordance with the provisions of the IEEs, ElAs, the EMPs and the RPs no later than the date of award of the Works contract to consider safeguards complaints. | Para. 14,Schedule 4 | Complied |
| 39 | **Labour Standards Health and Safety.**  The Borrower shall ensure that the core labor standards and the Borrowers applicable laws and regulations are complied with during Project implementation. The Borrower shall include specific provisions in the bidding documents and contracts financed by ADB under the Project requiring that the contractors, among other things: (a) comply with the Borrowers applicable labor law and regulations and incorporate applicable workplace occupational safety norms: (b) do not use child labor; (c) do not discriminate workers in respect of employment and occupation; (d) do not use forced labor; (e) allow freedom of association and effectively recognize the right to collective bargaining; and (f) disseminate, or engage appropriate service providers to disseminate, information on the risks of sexually transmitted diseases, including HIV/AIDS, to the employees of contractors engaged under the Project and to members of the local communities surrounding the project area, particularly women. | Para. 15,Schedule 4 | Complied |
| 40 | The Borrower shall strictly monitor compliance with the requirements set forth in paragraph 15 above and provide ADB with regular reports. | Para. 16,Schedule 4 | Ongoing |
| 41 | **Gender and Development**  The Borrower shall ensure and cause the Project Executing Agency and Implementing Agencies to ensure, that (a) the GAP is implemented in accordance with its terms; (b) the bidding documents and contracts include relevant provisions for contractors to comply with the measures set forth in the GAP (c) adequate resources are allocated for implementation of the GAP and (d) progress on implementation of the GAP including progress toward achieving key gender outcome and output targets are regularly monitored and reported to ADB. | Para. 17 Schedule 4 | Ongoing |
| 42 | **Counterpart Support**  The Borrower shall cause the Project Executing Agency to make available adequate counterpart funds and other resources in addition to the proceeds of the Loan and have such counterpart funds and resources released in a timely manner, for the successful implementation of the Project. In addition to the foregoing, the Borrower shall ensure that the Project Executing Agency and Implementing Agencies have sufficient funds to satisfy their liabilities arising from any Works, Goods and/or Services contract, | Para. 18, Schedule 4 | Partly Complied. |
| 43 | **Financial Covenants**  The Borrower shall ensure that:   * 1. for the fiscal year ending 30 June 2025, each WSSC has an Operating Ratio of not less than 0.8 | Para. 19 (a), schedule 4 | Not due yet |
| 44 | * 1. for the fiscal year ending 30 June 2026, and for each fiscal year thereafter, each WSSC has an Operating Ratio of not less than 1. | Para. 19 (b), schedule 4 | Not due yet |
| 45 | * 1. timely and adequate tariff differential subsidy funding is provided to the WSSCs until the target Operating Ratio of 1 is achieved. In addition, the Borrower shall cause the Project Executing Agency to implement a mechanism to forecast the Subsidy funding requirements of WSSCs determined on the basis of semiannual rolling cash flow projections to be submitted by the WSSCs to the Project Executing Agency; and | Para. 19 (c), schedule 4 | Ongoing |
| 46 | * 1. each WSSC remains in compliance with the requirements of section 220 to 236 of the Borrower's Companies Act, 2017, and the Public Sector Companies (Corporate Governance) Rules, 2013, as amended from time to time. | Para. 19 (d), schedule 4 | Partly complied. |
| 47 | **Operational Sector Performance Covenants**  21. The Borrower shall ensure and cause the Project Executing Agency and Implementing Agencies to ensure that the Project is implemented in accordance with applicable design and technical specifications and construction norms satisfactory to ADB, and construction supervision, quality control and contract management are performed in accordance with international standards. | Para. 21, Schedule 4 | Ongoing. |
| 48 | The Borrower shall ensure and Cause the Project Executing Agency and Implementing Agencies to ensure that all Project facilities are operated and maintained, in an effective and sustainable manner, in accordance with the Borrower's applicable laws, regulations and standards and, in other respects not covered by such laws, regulations and standards, in accordance with best international industry practice. | Para. 22 (a), Schedule 4 | Not yet due.  No project facilities has yet been constructed/or in operation. |
| 49 | The Borrower shall ensure or cause the Project Executing Agency and Implementing Agencies to ensure that adequate budgetary and other resources are allocated timely and provided promptly as necessary and appropriate for the Operation and maintenance Of Project facilities as described in sub-paragraph | Para. 22 (b),  Schedule 4 | Not yet due.  No project facilities has yet been constructed/or in operation. |
| 50 | The Borrower shall Cause the Project Executing Agency and Implementing Agencies to carry out and complete all key actions in the financial management action plan set out in the PAM. All key actions shall be completed within the time frames indicated in the financial management action plan. | Para. 22 (c),  Schedule 4 | Partly complied.  At present, only one action (7%) is fully complied. Of the remaining actions, five of the actions in the FMAP are partly complied (36%), four (29%) are not applicable, and two (14%) are not yet due. Moreover, one (7%) action is not complied, and another one (7%) is ongoing. |
| 51 | The Borrower shall Cause the Implementing Agencies to ensure that any vacancies on the board of directors of the Implementing Agencies is filled in a timely, fair and transparent manner, and in accordance with all applicable laws, regulations and standards of the Borrower and Khyber Pakhtunkhwa. | Para. 22 (d),  Schedule 4 | Partly complied.  Nomination of Board members is not based on a fair and transparent manner. It’s based totally on the will of the government. |
| 52 | **Change of Ownership**  The Borrower Shall ensure, and cause the project Executing Agency and Implementing Agencies to ensure that ADB's written consent is obtained at least 6 months prior to the implementation of any of the following: (a) change in the ownership of any asset, facility or Structure financed under the Project: (b) sale, transfer, or assignment of any interest or control in any asset facility or structure financed under the Project; or (c) lease or other contract or modification of the functions and authority of the WSSCs, as the case may be, over the operation and maintenance of any asset, facility or structure financed under the Project. The Borrower shall ensure and cause the Project Executing Agency and Implementing Agencies to ensure that ADB is promptly notified of any proposal to implement any such change and the notification includes sufficient details regarding the change. The Borrower shall ensure and cause the Project Executing Agency and Implementing Agencies to ensure that any such change will be carried out in a legal and transparent manner. | Para. 23,  Schedule 4 | Not yet due. |
| 53 | **Governance and Anticorruption**  The Borrower. the Project Executing Agency, and the Implementing Agencies shall (a) comply with ADB's Anticorruption Policy (1998, as amended to date) and acknowledge that ADB reserves the right to investigate directly, or through its agents, any alleged corrupt, fraudulent, collusive or coercive practice relating to the Project; and (b) cooperate with any such investigation and extend all necessary assistance for satisfactory completion of such investigation. | Para. 24,  Schedule 4 | Ongoing |
| 54 | The Borrower, the Project Executing Agency and the Implementing Agencies shall ensure that the anticorruption provisions acceptable to ADB are included in all bidding documents and contracts, including provisions specifying the right of ADB to audit and examine the records and accounts of the executing and implementing agencies and all contractors, suppliers, consultants, and other service providers as they relate to the Project. | Para. 25,  Schedule 4 | Complied. |

| **Table A14.2: Covenants in Grant Agreement** | | | |
| --- | --- | --- | --- |
| **No.** | **Covenant** | **Reference in Grant Agreement** | **Status of compliance** |
| 1 | The Recipient shall make the proceeds of the Grant available to the Project Executing Agency and the Implementing Agencies upon terms and conditions satisfactory to ADB and shall cause the Project Executing Agency and the Implementing Agencies to apply such proceeds to the financing of expenditures on the Project in accordance with the provisions of this Grant Agreement and the Project Agreement. | Section 3.01 | Ongoing. |
| 2 | The proceeds of the Grant shall be allocated and withdrawn in accordance with the provisions of Schedule 1 to this Grant Agreement, as such Schedule may be amended from time to time by agreement between the Recipient and ADB. | Section 3.02 | Ongoing. |
| 3 | Except as ADB may otherwise agree the Recipient shall procure or cause to be procured, the items of expenditure to be financed out of the proceeds Of the Grant in accordance with the provisions Of Schedule 3 to the Loan Agreement. | Section 3.03 | Ongoing |
| 4 | The Grant Closing Date for the purposes Of Section 8.02 Of the Grant Regulations shall be 30 June 2028 or such other date as may from time to time be agreed between the Recipient and ADB. | Section 3.04 | Complied. |
| 5 | Section 4.01. In the carrying Out of the Project and operation of the Project facilities, the Recipient shall perform or cause to be performed, all obligations set forth in the Project Agreement and Schedule 4 to the Loan Agreement. | Section 4.01 | Complied |
| 6 | Section 4.02. The Recipient shall enable ADB's representatives to inspect the Project, the Goods, Works and Services, and any relevant records and documents. | Section 4.02 | Complied. |
| 7 | Section 4.03. ADB shall disclose the annual audited financial statements for the Project and the opinion of the auditors on the financial statements within 14 days Of the date Of ADBs confirmation Of their acceptability by posting them on ADB's website. | Section 4.03 | Not yet due |
| 8 | Section 4.04 The Recipient shall take all actions which shall be necessary on its part to enable the Project Executing Agency to perform obligations under the Project Agreement, and shall not take or permit any action which would interfere with the performance of such obligations. | Section 4.01 | Ongoing. |

| **Table 14.3: Covenants in Project Agreement** | | | |
| --- | --- | --- | --- |
| **No.** | **Covenant** | **Reference in Project Agreement** | **Status of compliance** |
| 1 | Khyber Pakhtunkhwa shall carry out the Project with due diligence and efficiency, and in conformity with sound applicable technical, financial, business, and development practices. | Section 2.01 (a) | Ongoing. |
| 2 | (b) In the carrying out of the Project and operation of the Project facilities, Khyber Pakhtunkhwa shall perform all Obligations set forth in the Loan Agreement and the Grant Agreement to the extent that they are applicable to Khyber Pakhtunkhwa. | Section 2.01 (b) | Not yet due. |
| 3 | Khyber Pakhtunkhwa shall make available, promptly as needed, and on terms and conditions acceptable to ADB, the funds, facilities, services, land and other resources as required, in addition to the proceeds of the Loan and the Grant, for the carrying out of the project. | Section 2.02 | **Partly complied**. |
| 4 | In the carrying out Of the Project, Khyber Pakhtunkhwa shall employ competent and qualified consultants and contractors, acceptable to ADB, to an extent and upon terms and conditions satisfactory to ADB. | Section 2.03 (a) | Complied. |
| 5 | Except as ADB may otherwise agree, Khyber Pakhtunkhwa shall procure all items of expenditures to be financed out of the proceeds of the Loan and the Grant in accordance with the provisions of Schedule 4 to the Loan Agreement. ADB may refuse to finance a contract where any such item has not been procured under procedures substantially in accordance with those agreed between the Borrower and ADB or where the terms and conditions of the contract are not satisfactory to ADB. | Section 2.03 (b) | Ongoing |
| 6 | Khyber Pakhtunkhwa shall carry out the project in accordance with plans, design standards, specifications, work schedules and construction methods acceptable to ADB. Khyber Pakhtunkhwa shall furnish, or cause to be furnished, to ADB, promptly after their preparation, such plans, design standards, specifications and work schedules, and any material modifications subsequently made therein, in such detail as ADB shall reasonably request. | Section 2.04 | Ongoing. |
| 7 | Khyber Pakhtunkhwa shall take out and maintain with responsible insurers, or make other arrangements satisfactory to ADB for, insurance against such risks and in such amounts as shall be consistent with sound practice. | Section 2.05 (a) | Complied. |
| 8 | Without limiting the generality of the foregoing, Khyber Pakhtunkhwa undertakes to insure, or cause to be insured, the Goods to be imported for the Project against hazards incident to the acquisition, transportation and delivery thereof to the place of use or installation, and for such insurance any indemnity shall be payable in a Currency freely usable to replace or repair such Goods. | Section 2.05 (b) | **Not applicable**. \*\*\* |
| 9 | Khyber Pakhtunkhwa Shall maintain. or cause to be maintained, records and accounts adequate to identify the items of expenditure financed out Of the proceeds of the Loan and the Grant, to disclose the use thereof in the Project, to record the progress Of the Project (including the cost thereof) and to reflect, in accordance with consistently maintained sound accounting principles, its operations and financial condition. | Section 2.06 | **Ongoing** |
| 10 | ADB and Khyber Pakhtunkhwa shall cooperate fully to ensure that the purposes of the Loan and the Grant will be accomplished. | Section 2.07 (a) | Ongoing. |
| 11 | Khyber Pakhtunkhwa shall promptly inform ADB of any condition which interferes with, or threatens to interfere with, the progress Of the Project, the performance of its Obligations under this Project Agreement, or the accomplishment of the purposes Of the Loan and the Grant. | Section 2.07 (b) | Ongoing. |
| 12 | ADB and Khyber Pakhtunkhwa shall from time to time, at the request of either party, exchange views through their representatives with regard to any matters relating to the Project, Khyber Pakhtunkhwa, the Loan and the Grant. | Section 2.07 (c) | Ongoing. |
| 13 | Khyber Pakhtunkhwa shall furnish to ADB all such reports and information as ADB shall reasonably request concerning (i) the Loan, the Grant and the expenditure of the proceeds thereof; (ii) the items of expenditure financed out of such proceeds; (iii) the Project; (iv) the administration, operations and financial condition of Khyber Pakhtunkhwa; and (v) any other matters relating to the purposes Of the Loan and the Grant. | Section 2.08 (a) | Complied. |
| 14 | Without limiting the generality Of the foregoing, Khyber Pakhtunkhwa shall furnish to ADB periodic reports on the execution of the Project and on the operation and management of the Project facilities. Such reports shall be submitted in such form and in such detail and within such a period as ADB shall reasonably request, and shall indicate, among other things, progress made and problems encountered during the period under review, steps taken or proposed to be taken to remedy these problems, and proposed program of activities and expected progress during the following period. | Section 2.08 (b) | **Ongoing**.  A format for quarterly progress report (QPR) has been developed by the Inception Mission and provided to the PMU. This shall be used for QPR submission. |
| 15 | Promptly after physical completion Of the project, but in any event not later than 3 months thereafter or such later date as ADB may agree for this purpose, Khyber Pakhtunkhwa shall prepare and furnish to ADB a report, in such form and in such detail as ADB shall reasonably request, on the execution and initial operation Of the project, including its cost, the performance by Khyber Pakhtunkhwa Of its obligations under this Project Agreement and the accomplishment of the purposes of the Loan and the Grant. | Section 2.08 (c) | Not yet due. |
| 16 | Khyber Pakhtunkhwa shall (i) maintain separate accounts and records for the Project; (ii) prepare annual financial statements for the project in accordance with financial reporting standards acceptable to ADS: (iii) have such financial statements audited annually by independent auditors whose qualifications, experience and terms of reference are acceptable to ADB, in accordance with auditing standards acceptable to ADB; (iv) as part Of each such audit, have the auditors prepare a report, which includes the auditors' opinion(s) on the financial statements and the use of the Loan and Grant proceeds, and a management letter (which sets out the deficiencies in the internal control of the Project that were identified in the course of the audit. if any); and (v) furnish to ADB, no later than 6 months after the close of the fiscal year to which they relate, copies of such audited financial statements, audit report and management letter, all in the English language, and such Other information concerning these documents and the audit thereof as ADB shall from time to time reasonably request. | Section 2.09 (a) | Ongoing.  For FY2022, no expenditure were made. EA has submitted deferment request which is under ADB’s review for approval. |
| 17 | ADB shall disclose the annual audited financial statements for the Project and the opinion of the auditors on the financial statements within 14 days of the date of ADBs confirmation of their acceptability by posting them on ADB's website. | Section 2.09 (b) | Not yet due. |
| 18 | In addition to the annual audited financial statements referred to in subsection (a) hereinabove, Khyber Pakhtunkhwa shall (i) provide annual entity financial statements Of the Implementing Agencies, prepared in accordance with financial reporting standards acceptable to ADB; (ii) ensure that the financial statements of the Implementing Agencies are audited annually by independent auditors whose qualifications, experience and terms Of reference are acceptable to ADB, in accordance with auditing standards acceptable to ADB; (iii) as part of each such audit, have the auditors prepare the auditors' opinion(s) on the financial statements and compliance with the financial covenants of the Loan Agreement; and (iv) furnish to ADB, no later than 1 month after approval by the relevant authority, copies of such audited financial statements and auditors' opinion(s), all in the English language, and such other information concerning these documents and the audit thereof as ADB shall from time to time reasonably request. | Section 2.09 (c) | Not yet due. |
| 19 | Khyber Pakhtunkhwa Shall enable ADB, upon ADB's request, to discuss the financial statements for the Project and Khyber Pakhtunkhwa and its financial affairs where they relate to the Project with the auditors appointed by Khyber Pakhtunkhwa pursuant to subsection (a) (iii) hereinabove, and shall authorize and require any representative of such auditors to participate in any such discussions requested by ADS. This is provided that such discussions shall be conducted only in the presence of an authorized officer of Khyber Pakhtunkhwa, unless Khyber Pakhtunkhwa shall otherwise agree. | Section 2.09 (d) | Ongoing. |
| 20 | Khyber Pakhtunkhwa shall enable ADB's representatives to inspect the Project, the Goods, Works and Services and any relevant records and documents. | Section 2.10 | Ongoing. |
| 21 | Khyber Pakhtunkhwa shall promptly as required, take all action within its powers to maintain its corporate existence, to carry out its operations, and to acquire, maintain and renew all rights, properties, powers, privileges and franchises which are necessary in the carrying out of the Project or in the conduct of its operations | Section 2.11 (a) | Ongoing. |
| 22 | Khyber Pakhtunkhwa shall at all times Conduct its operations in accordance with sound applicable technical, financial, business, development and operational practices, and under the supervision of competent and experienced management and personnel. | Section 2.11 (b) | Ongoing. |
| 23 | Khyber Pakhtunkhwa Shall at all times operate and maintain its plants, equipment and other property, and from time to time, promptly as needed, make all necessary repairs and renewals thereof, all in accordance with sound applicable technical, financial, business, development, operational and maintenance practices. | Section 2.11 (c) | Ongoing. |
| 24 | Except as ADB may otherwise agree, Khyber Pakhtunkhwa shall not sell, lease or otherwise dispose of any of its assets which shall be required for the efficient carrying on Of its operations or the disposal Of which may prejudice its ability to perform satisfactorily any Of its obligations under this Project Agreement. | Section 2.12 | Ongoing. |
| 25 | Except as ADB may otherwise agree, Khyber Pakhtunkhwa shall apply the proceeds of the Loan and the Grant to the financing of expenditures on the Project in accordance with the provisions of the Loan Agreement, Grant Agreement and this Project Agreement, and shall ensure that all items of expenditures financed Out of such proceeds are used exclusively in the carrying Out Of the Project. | Section 2.13 | Ongoing. |
| 26 | Khyber Pakhtunkhwa shall promptly notify ADB of any proposal to amend, suspend or repeal any provision of its policy documents, which, if implemented, could adversely affect the carrying out of the Project or the operation of the Project facilities. Khyber Pakhtunkhwa shall afford ADB an adequate opportunity to comment on such proposal prior to taking any affirmative action thereon. | Section 2.14 | Ongoing. |

## Appendix-15: Update on Financial Management Action Plan of PAM

| **Update on Financial Management Action Plan** | | | | |
| --- | --- | --- | --- | --- |
| **S. no.** | **Key Risk** | **Action item** | **Responsibility and Deadline** | **Progress Update** |
| 1 | FM department is not adequately staffed and appropriately structured to manage the expected volume of work and ensure adequate segregation of duties.  Risk rating: Substantial | By no later than loan effectiveness, the PMU’s FM department will be structured to segregate the accounting and financial reporting sections, and strengthened with sufficient FM staff. A Finance Director will head the PMU’s Accounting and Finance department. Each section will be headed by a Finance & Accounts Officer. The Accounts section will staff two Accounts Assistant whereas the Reporting section will staff one Accounts Assistant. The incumbent FM Specialist shall be engaged to implement enhancement in FM systems and internal controls. | LGE&RDD  Loan Effectiveness | The accounting and finance function has been segregated at the PMU. As per the Organogram of FM function provided by the PMU, the vacancies of (i) Director Finance, (ii) Finance Officer, (iii) Accounts Officer and (iv) Three Assistants have been created. The FM specialist is also on board. However, the positions of (i) Director Finance, (ii) Accounts Officer – (Accounts) and (iii) One Accounts Assistant are in process of hiring and will be concluded in next QTR 4th, 2023. |
| 2 | The SOPs related to FM are not sufficiently detailed and responsive to the proposed structure of FM department and increased FM oversight role, envisaged under the Project. this might result in lack of comprehensive mechanism for authority and accountability.  Risk Rating: Substantial. | By no later than three months from the date of loan effectiveness, the PMU (LGE&RDD) shall update the SOPs, and notify their effectiveness, to provide adequate controls, procedural guidance and tools/templates for:  a) accounting and financial reporting at sub-project and consolidated levels; b) budget monitoring at sub-project and consolidated levels; c) segregation of duties in the payment authorization, accounting and financial reporting activities; d) review of contractors’/ suppliers’ invoices in relation with project implementation activities; and e) recording, tagging, movement and reporting of the Project assets. | LGE&RDD/ PMU  Complied | The SOPs are being implemented at the PMU. SOPs contain followings:   * accounting and financial reporting at sub-project and consolidated levels; * budget monitoring at sub-project and consolidated levels; * segregation of duties in the payment authorization, accounting and financial reporting activities; * review of contractors’/ suppliers’ invoices in relation with project implementation activities; and * recording, tagging, movement and reporting of the Project assets. |
|  |  | By no later than three months from the date of loan effectiveness, the LGE&RDD shall develop a comprehensive mechanism for independent validation of contractors’/suppliers’ invoices related to project implementation activities. Such mechanism shall be duly notified as per Governmental procedures and formally endorsed by the respective managements of implementing partners. | LGE&RDD  Complied | The mechanism for independent validation of contractors’/suppliers’ invoices related to project implementation activities has been developed. |
|  |  | Within six months of loan effectiveness, the LGE&RDD shall hire the services of an independent construction supervision consultant firm. | LGE&RDD  Complied | The independent construction supervision consultant firm has been hired. |
| 3 | Internal audit function is not currently operational, thus an oversight function over the use of financial resources and compliance with applicable laws and regulations is not currently in place.  Risk Rating: Substantial. | * By no later than three months from the date of loan effectiveness, the TORs/Charter of the internal auditors shall be developed and notified by the LGE&RDD. | LGE&RDD | The TORs of the Internal Auditor have been developed. |
| * By no later than six months from the date of loan effectiveness, the LGE&RDD will appoint an internal audit team comprising of two audit officers, who will report directly to the Internal Auditor – LGE&RDD. | LGE&RDD/  PMU | The Internal Auditor has been appointed. However (two positions) of audit officers are under process of hiring. |
|  |  | * A risk-based annual internal audit of the Project’s systems, internal controls and financial transactions will be performed. The results shall be shared with ADB by no later than three months from the end of each year. | LGE&RDD/  PMU  Within 3 months from every year end. | Not yet due. |
| 4 | Manual bookkeeping is performed exposing the project to risks related to human intervention and lack of audit trails.  Risk Rating: Substantial. | By no later than six months from the date of loan effectiveness, the PMU (LGE&RDD) will deploy an accounting software, sufficient to meet the FM accounting and reporting requirements under the Project. | Project Director  10 Oct 2022 | At the moment, the PMU is using excel-based accounting system since an accounting software has not been procured and deployed at the PMU.  PMU has started implementation of accounting software and hopefully shift data in next QTR (3rd QTR 2023) |
| 5 | WSSCs in target cities do not possess robust FM systems and capacities to manage ADB funds. | For an WSSC to be considered eligible for managing ADB funds, the following conditions should be met at the time of making formal request to ADB for managing ADB funds: | WSSC  At the time of request by WSSC | Not applicable  WSSCs are currently not engaged in managing funds. |
|  |  | An endorsement by the LGERDD of such request.The request shall be accompanied by: (a) evidence that the BOD of the WSSC and its committees, remained effective during previous three years from the date of such request; (b) complete description of accounting and financial reporting systems; (c) list of sanctioned and vacant FM and internal audit positions; (d) copies of internal audit reports for at least one year from the date of such request; copies of audited annual financial statements for the three preceding financial years, from the date of such request. |  | Same as above. |
|  |  | An irrevocable authority letter approved by the BOD to the LGERDD, allowing its ADB PMU to access the accounting records and other supporting documentation of the WSSC, in relation with expenditure claimed for reimbursement and/or liquidation to be incurred under the Project. |  | Same as above. |
| 6 | The financial sustainability of the WSSCs is dependent upon budgetary support from the GOKP. | The loan agreement includes a covenant requiring gradual improvement of operating ratio (calculated on the basis of internally generated revenue). | ADB | The requirement of gradual improvement of operating ratio (calculated on the basis of internally generated revenue) has been included in para.. 18, Schedule 4 of the Loan agreement. |
|  |  | Not later than nine months from the loan effectiveness, the financial sustainability action plan (FSAP) for each WSSC shall be approved by the competent authorities. | LGERDD | The financial sustainability action plan in form of Business Model Solution has developed under Project Readiness Financing – Institutional Review and Capacity Building Consultants. |
|  |  | Semi-annual reports on the implementation of financial sustainability action plan (FSAP) shall be submitted to ADB from the commencement of FSAP implementation. | LGERDD  Within 15 days of end of half year period. | Not yet due. |

## Appendix-16: Update on Settlement of Audit Observations by DG Audit

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| **Update on Settlement of Audit Observation** | | | | | | | |
| **No.** | **Audit Observation**  **(in brief)** | **Financial value of Audit para**  **(PKR)** | **Audit Report for FY20XX** | **Date DAC meeting held** | **Decision by DAC about the audit para** | **If para was not dropped what was recommended action by DAC** | **Next Steps/Remarks** |
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## Appendix-17: Update on Actions Agreed with Last ADB Mission

| **Table A17.1 Update on Actions Agreed with Loan Review Mission (Month, year)** | | | | | |
| --- | --- | --- | --- | --- | --- |
| **No** | **Issue** | **Agreed Action** | **Responsibility** | **Deadline** | **Current Status** |
| 1 | **Contract Awards and Disbursements** | Contract Award target for 2023: $184 million | PMU | 15 Nov 2023 | $20.6 million contracts awarded |
| Disbursement target for 2023: $112 million |  |
| 2 | **PMU/CIU Strengthening and Resourcing** | Complete hiring of all existing and additional staff positions in PMU and CIUs at market-competitive rates. | PMU, CIUs, LGERDD | 31-Mar-23 | Interviews of Directors were convened but were delayed by Chairman of Hiring Committee. File has been processed, new ACS will convene interviews in third week of Oct 23 |
| 3 | Revise the PC-I of PMU/CIU establishment and PAM to reflect the organogram, terms of reference and salary structure agreed during the Mission and recorded in this Aide Memoire. | PMU, LGERDD | Q1 2023 | New setup in place, PC-I to be presented for revision in Q4 of 2023 |
| 4 | ADB to assess the need for orientation and training sessions during the first loan review mission and deliver the identified sessions accordingly. | ADB | Q3 2023 | ADB to respond |
| 5 | * **Hire Individual Consultants in two phases:** | PMU, LGERDD |  |  |
| - Complete Phase 1 hiring | 15-Mar-23 | Subsequent to the induction of the relevant Director. Which is expected to be completed in Nov 23 |
| - Complete Phase 2 hiring | 01-May-23 | Subsequent to the induction of the relevant Director. Which is expected to be completed in Nov 23 |
| 6 | Repair works for KPCIP-PMU office to be finalized by PMCSC | PMU, PMCSC | 15-Mar-23 | Designs prepared |
| 7 | Award shopping contracts for additional vehicles and IT equipment for CIUs | PMU, CIUs | 01-May-23 | RFQs and aligned documents prepared. Previous ACS gave standing order to follow PC-I. Case to be presented to New ACS for allowing PMU to continue procurement |
| 8 | **WSSC Strengthening/ Resourcing** | **- Enterprise Resource Planning (ERP) of WSSCs:** | PMU, WSSCs, LGERDD |  | Report to be developed by PMU ICT Specialist who will be hired in Nov 23. The Technical Team will provide relevant data to the expert |
| - Constitute a technical team; | 10-Feb-23 |
| - Conclude the situation analysis report, ERP and implementation, terms of reference and budget | 10-Feb-23 |
| 9 | Revise Article of Association (AOA) of the WSSCs to resolve governance and compliance issues and get approvals from respective Boards. | PMU, WSSCs, LGERDD | 30-Jun-23 | Case submitted to LGE&RDD and quick actions are being taken to complete BoDs composition and hiring of new CEOs before Dec 23. |
| 10 | Re-appoint independent directors of all WSSCs | PMU, WSSCs, LGERDD | 31-Dec-23 |
| 11 | **Designing de-scoped work** | The PMU, in close coordination with the CIU and PMCSC will prepare the de-scope work for CW-01 Lot-3, and submit variation to ADB for consideration and approval. | PMU, CIU, PMCSC | 15-Mar-23 | Contractor has agreed on Termination by Convenience. Contract to be terminated in Oct 23. |
| 12 | **Social Safeguards** | Conclude hiring of all CIU safeguards staff | PMU, LGERDD | 28-Feb-23 | Achieved |
| 13 | Submit the first external monitoring report (EMR), followed by regular quarterly submissions. | PMU | 15-Jan-23 | Achieved |
| 14 | Preparation of LARPS |  |  |  |
| Abbottabad LFS |  |  | To be submitted till end of Oct 23 |
| Kohat LFS |  |  | Submitted to ADB. Approval awaited |
| Approach Road to Mingora WTP |  |  | Approved |
| Charbagh Pipeline |  |  | Section 5 notified. District Administration being pursued for quick acquisition |
| 15 | **Environmental Safeguards** | PMU to submit IEE for Women Development Complex, Kohat to ADB for approval | PMU | 15-Feb-23 | Achieved |
| 16 | PMU, CIUs, PMCSC, and contractors to hire individuals for vacant positions of Environment Specialist, Health and Safety Specialist, and Traffic Management Specialist | PMU, CIUs, PMCSC, contractor | 28-Feb-23 | Achieved |
| 17 | PMU to share the bidding documents for construction works with ADB environmental safeguards team for review and comments | PMU | 28-Feb-23 | Achieved |
| 18 | CIUs and PMCSC to expedite the preparation of Contractors’ SSEMP with subplans | PMU, CIUs, | 28-Feb-23 | Achieved |
| PMCSC, |
| contractor |
| 19 | Contractors to carry out pre-construction instrumental monitoring of environmental parameters through independent EPA-certified laboratories | PMU, CIUs, | 28-Feb-23 | Achieved |
| PMCSC, |
| contractor |
| 20 | CIUs and PMCSC to conduct training sessions for project management teams on EMP, health, and safety | PMU, CIUs, PMCSC | 28-Feb-23 | Achieved |
| 21 | PMU to establish a mechanism to raise awareness of the project’s GRM among public and stakeholders | PMU | 28-Feb-23 | Achieved |
| 22 | **Gender Mainstreaming** | PMU to submit to ADB the design review of WBDC from a gender perspective | PMU | 28-Feb-23 | Achieved |
| 23 | PMU to ensure that reporting on gender mainstreaming in all future QPRs is in accordance with the activities and targets mentioned in the GAP. | PMU, CIUs | Starting | Achieved |
| Jan-23 |
| 24 | PMU to share with ADB gender team the implementation progress of ADF grant on monthly basis. | PMU | Starting | Achieved |
| Jan-23 |
| 25 | PMU and WSSCs to share their HR policies and procedures with ADB for review and feedback to make them gender inclusive. | PMU, WSSCs | Feb-23 | Achieved |
| 26 | PMU to share with ADB the preliminary situation analysis for developing a gender-sensitive behavior change campaign (BCC) strategy. | PMU | 28-Feb-23 | Achieved |
| 27 | PMU to share with ADB the tools used for the capacity assessment of stakeholders for preparation of BCC strategy. | PMU | 15-Feb-23 | Achieved |
| 28 | PMU to share with ADB the need assessment and areas of capacity building in the five project cities. | PMU | 28-Feb-23 | Achieved |
| 29 | PMU to share with ADB outline of the situation analysis report on internship program for female graduates, in higher skills technical positions. | PMU | 15-Feb-23 | Achieved |
| 30 | **Financial Management** | PMU to conclude hiring of all accounts and finance department staff and share the letter of acceptance of respective employees with ADB. | PMU | 28-Feb-23 | 01 FMS interview conducted and expected to be onboard in Oct 23. Remaining staff to be onboard by Nov 23 |
| 31 | PMU to share with ADB notifications SOPs implemented at the project level for (i) accounting and financial reporting at subproject and consolidated levels; (ii) budget monitoring at sub-project and consolidated levels; (iii) segregation of duties in the payment authorization, accounting and financial reporting activities; (iv) review of contractors’/ suppliers’ invoices in relation with project implementation activities; and (v) recording, tagging, movement and reporting of the project assets. | PMU | 15 Feb 2023, | Achieved |
| 32 | PMU to share with ADB the notification of mechanism for independent validation of contractors’/suppliers’ invoices related to project implementation activities. Such mechanism shall be duly notified as per Governmental procedures and formally endorsed by the respective managements of implementing partners. | PMU | 15-Feb-23 | Achieved |
| 33 | PMU to share the ToRs of the internal auditor / internal audit charter and notified thereof by the LGE&RDD | PMU, LGE&RDD | 15-Feb-23 | Achieved |
| 34 | PMU to confirm that the complete Internal Audit team has been appointed and is on board, including the Internal Auditor and two audit officers. PMU shall also share ToRs of the internal auditors and the audit officers with ADB by the same date. | PMU | 28-Feb-23 | To be done in Nov 23 |
| 35 | -Procure and deploy an accounting software, | PMU | 15-Jan-23 | Procured, incorporating data |
| Start recording all financial transactions (previous as well as future) on the accounting software. | 20 Feb 2023. |
| 36 | GoKP to prepare: | GoKP, PMU, WSSCs | 30-Jun-23 | Achieved |
| -Intergovernmental Fiscal Transfers Policy and Strategy: a formula-based mechanism for flow of funds from GoKP to WSSCs with built in performance incentives; and |
| -Financial Management Plan and guidelines for bringing financial efficiency and discipline in WSSCs leading to gradual reliance on own-source revenue |
| 37 | **Audit** | Share with ADB the written consent of the Registrar SECP, granting extension in deadline for submission of audited financial statements. | WSSCs, PMU | 28-Feb-23 | GoKP completing the BoDs composition for AEFS |
| 38 | Submit all future AEFS on time | WSSCs, PMU | 31 Dec of each year |  |
| 39 | Loan Covenants | Ensure full compliance of all loan covenants | PMU | 30 Jun 2023 | Ongoing |
| 40 | Minor Change in the Project | PMU to submit to ADB (through EAD), request for change in disbursement percentage and category reallocation of recurrent costs under ADB loan | PMU | 28-Feb-23 | Achieved |
| 41 | Reporting | PMU to submit to ADB first quarterly progress report for the quarter ending 31 December 2022. Followed by regular quarterly submission. | PMU | Starting 15 Jan 2023 | Ongoing |
| 42 | PRF Savings | PMU to share with ADB a proposal for utilization of $0.2 million savings under PRF | PMU | 28-Feb-23 | Complied???  Not yet approved by GoKP for use of savings from PRF-1 |