### Collaborative Similarity Embedding for Recommender Systems

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#### ABSTRACT

We present collaborative similarity embedding (CSE), a unified framework that exploits comprehensive collaborative relations available in a user-item bipartite graph for representation learning and recommendation. In the proposed framework, we differentiate two types of proximity relations: direct proximity and k-th order neighborhood proximity. While learning from the former exploits direct user-item associations observable from the graph, learning from the latter makes use of implicit associations such as user-user similarities and item-item similarities, which can provide valuable information especially when the graph is sparse. Moreover, for improving scalability and flexibility, we propose a sampling technique that is specifically designed to capture the two types of proximity relations. Extensive experiments on eight benchmark datasets show that CSE yields significantly better performance than state-of-theart recommendation methods.

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#### **INTRODUCTION**

Collaborative filtering (CF) is a common yet powerful approach for generating user recommendations that takes the advantage of the collective wisdom from all users [10]. Many CF-based recommendation algorithms have been shown to work well across various domains and been used in many real-world applications [21]. The core idea of model-based CF algorithms is to learn low-dimensional representations of users and items from either explicit user-item associations such as user-item ratings or implicit feedback such as

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playcounts and dwell time. This can be done by training a ratingbased model with matrix completion to learn from observed useritem associations (either explicit or implicit feedback) to predict associations that are unobserved [1, 4, 8, 9, 11-15, 20, 28]. In addition to this rating-based approach, ranking-based methods have been proposed based on optimizing ranking loss; the ranking-based methods [15, 19, 25-27] have been found more suitable for implicit feedback. However, many existing model-based CF algorithms leverage only the user-item associations available in a given user-item bipartite graph. Thus, when the available user-item associations are sparse, these algorithms may not work well.

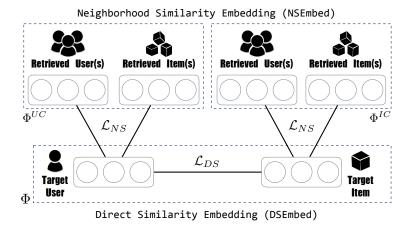
It has been noted that it is possible to mine from a user-item bipartite graph other types of collaborative relations, such as user-user and item-item similarities, since users and items can be indirectly connected in the graph. By taking random walks on the graph, it also exploits higher-order proximity among users and items. Using item-item similarities in the learning process has been firstly studied by Liang et al. [13], who propose to jointly decompose the user-item interaction matrix and the item-item co-occurrence matrix with shared item latent factors. Hsieh et al. [8] propose to learn a joint metric space to encode both user preferences and useruser and item-item similarities. A recent work presented by Yu et al. [27] shows that jointly modeling user-item, user-user, and itemitem relations outperforms competing methods that consider only user-item relations. In [6, 16], the higher-order proximity has been shown useful in graph embedding methods. In general, exploiting additional collaborative relations shows promise in learning better representations of vertexes in an information graph.

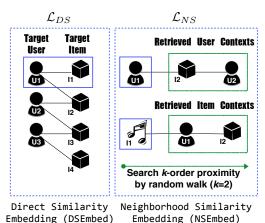
We note that these prior arts [6, 8, 13, 27] share the same core idea: using some specific methods to sample auxiliary information from a graph to augment the data for representation learning. However, there is a lack of a unified and efficient model that generalizes the underlying computation and aims at recommendation problems. For example, Liang et al. [13] consider only the item-item similarities but no other collaborative relations; Yu et al. [27] consider only ranking-based loss functions but not rating-based ones. Higherorder proximity is exploited in [5, 6, 16], which however deal with the general graph embedding problem not the recommendation one. Moreover, the model presented by [8] fails to manage large-scale user-item associations [23].

To address this discrepancy, we present collaborative similarity embedding (CSE), a unified representation learning framework for collaborative recommender systems with the aim of modeling

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(a) The bottom part depicts the direct similarity embedding module for user-item associations, whereas the upper left (right) part corresponds to modeling user-user (item-item, respectively) similarity with the neighborhood similarity embedding module. An optimization step includes a sampled pair of a user and an item in DSEm- a 2-step random walk is applied to obtain the contexts bed and multiple high-order relation pairs in NSEmbed.

(b) The left part shows that a target user-item pair (U1-I1) can be directly sampled from the observed edges for DSEmbed; the right part shows that for U1 (or I1), used in the NSEmbed module.

Figure 1: An overview of the proposed CSE framework

the direct and in-direct edges of user-item interactions in a simple and effective way. CSE involves a direct similarity embedding module for modeling user-item associations as well as a neighborhood similarity embedding module for modeling user-user and item-item similarities. The former module provides the flexibility to implement various types of modeling techniques for user-item associations, whereas the later module models user-user and item-item relations via k-order neighborhood proximity. To simultaneously manage the two modules, we introduce triplet embedding into the proposed framework to ideally model user-user, item-item clustering and user-item relations in a single and joint-learning model, while most prior arts use only one or two embedding mappings in their methods. Moreover, the two sub-modules are fused by a carefully designed sampling technique for scalability and flexibility. The space complexity and time of convergence are both only linear with respect to the number of observed user-item associations. In addition, with the proposed sampling techniques, CSE provides the flexibility to shape different relation distributions in its optimization.

Extensive experiments were conducted on eight recommendation datasets. We compare the performance of CSE with classic methods such as matrix factorization (MF) and Bayesian personalized ranking (BPR) [19], recent methods that incorporate user-user and/or item-item relations [8, 13, 27], as well as several general graph embedding methods [5, 16]. The evaluation shows that CSE outperforms the competing methods for seven out of the eight datasets. Our framework advances the state-of-the-art recommendation algorithms along the following four dimensions.

- (1) The CSE serves as a generalized framework that models comprehensive pairwise relations among users and items with a unified function in a simple and effective manner.
- (2) The proposed sampling technique enables the suitability of CSE for large-scale user-item recommendations.
- (3) We report extensive experiments over eight recommendation datasets covering different user-item interaction types, levels of data sparsity, and data sizes, demonstrating the robustness, efficiency, and effectiveness of our framework.
- (4) For reproducibility, we share the source code of CSE online at a GitHub repo, 1 by which the learning process can be done within an hour for each dataset performed in this work.

#### PROPOSED CSE FRAMEWORK

Problem Formulation. A recommender system provides a list of ranked items to users based on their historical interactions with items. Let *U* and *I* denote the sets of users and items, respectively. User-item associations can be presented as a bipartite graph G =(V, E), where  $V = \{v_1, \dots, v_{|V|}\} = U \cup I$ , and E represents the set of observed user-item associations. Note that for explicit rating data, the weights of the user-item preference edges can be positive real numbers, whereas for implicit interactions, the bipartite graph becomes a binary graph. The goal of the CSE framework is to obtain an embedding matrix  $\Phi \in \mathbb{R}^{|V| \times d}$  that maps each user and item into a d-dimensional embedding vector for item recommendation; that is, with the learned embedding matrix  $\Phi$ , for a user  $v_i \in U$ , the proposed framework generates the top-N recommended items via computing the similarity between the embedding vector of the

<sup>&</sup>lt;sup>1</sup> https://github.com/cnclabs/proNet-core

user, i.e.,  $\Phi_{v_i}$ , and those of all items, i.e.,  $\Phi_{v_j}$  for all  $v_j \in I$ , where  $\Phi_{v_x}$  denotes the row vector for vertex  $v_x \in V$  from matrix  $\Phi$ .

Framework Overview. Figure 1 provides an overview of CSE. In the figure, CSE consists of two similarity embedding modules: a direct similarity embedding (DSEmbed) module to model user-item associations, and a neighborhood similarity embedding (NSEmbed) module to model user-user and item-item similarities. The DSEmbed model provides the flexibility to implement two mainstream types of modeling techniques: rating-based and ranking-based models to preserve direct proximity of user-item associations; NSEmbed, in turn, models user-user and item-item relations using the contexts within a k-step random walk, as shown in Fig. 1(b), to preserve k-order neighborhood proximity between users and items. To minimize the sum of the losses from DSEmbed and NSEmbed modules, which are denoted as  $\mathcal{L}_{DS}$  and  $\mathcal{L}_{NS}$  respectively, the objective function of the proposed framework is designed as

$$\mathcal{L} = \mathcal{L}_{DS} + \lambda \mathcal{L}_{NS}$$

where  $\lambda$  controls the balance between the two losses. The rationale behind this design is that  $\mathcal{L}_{DS}$  controls the optimization of the embedding vectors towards preserving direct user-item associations, and  $\mathcal{L}_{NS}$  encourages users/items sharing similar neighbors to be close to one another in the learned embedding space.

## 2.1 Direct Similarity Embedding (DSEmbed) Module

Definition 2.1. (**Direct Proximity**) Given a bipartite graph G = (V, E), the direct proximity between a user  $v_i \in U$  and an item  $v_j \in I$  is represented by the presence of an edge  $(v_i, v_j) \in E$  between these two vertices. If there is no edge between user  $v_i$  and item  $v_j$ , then their direct proximity is defined as 0.

The DSEmbed module is designed to model the direct proximity of the user-item associations defined in Definition 2.1. For a rating-based approach, the objective is to find the embedding matrix  $\Phi$  that maximizes the log-likelihood function of observed user-item pairs:

$$\arg \max_{\Phi} \sum_{(v_i, v_j) \in E} \log p(v_i, v_j | \Phi)$$

$$= \arg \min_{\Phi} \sum_{(v_i, v_j) \in E} -\log p(v_i, v_j | \Phi).$$
(1)

In contrast, a ranking-based approach cares more about whether we can predict stronger association between a 'positive' user-item pair  $(v_i, v_j) \in E$  than a 'negative' user-item pair  $(v_i, v_k) \in \bar{E}$  [19], where  $\bar{E}$  denotes the set of edges for all the unobserved user-item associations. This can be approached by maximizing the log-likelihood function of observed user-item pairs over unobserved user-item pairs for each user:

$$\arg \max_{\Phi} \sum_{(v_i, v_j, v_k)} \log p(v_j >_i v_k | \Phi)$$

$$= \arg \min_{\Phi} \sum_{(v_i, v_j, v_k)} -\log p(v_j >_i v_k | \Phi),$$
(2)

where  $v_i \in U$  and  $v_j, v_k \in I$ , and  $>_i$  indicates that user  $v_i$  prefers item  $v_j$  over item  $v_k$ . In the above two equations,  $p(v_i, v_j | \Phi)$  and

 $p(v_i >_i v_k | \Phi)$  is calculated by

$$p(v_i, v_j | \Phi) = \sigma \left( \Phi_{v_i} \cdot \Phi_{v_j} \right),$$

and

$$p(\upsilon_j >_i \upsilon_k | \Phi) = \sigma \left( \Phi_{\upsilon_i} \cdot \Phi_{\upsilon_j} - \Phi_{\upsilon_i} \cdot \Phi_{\upsilon_k} \right),$$

respectively, and  $\sigma(\cdot)$  denotes the sigmoid function.

# 2.2 Neighborhood Similarity Embedding (NSEmbed) Module

Definition 2.2. (k-Order Neighborhood Proximity) Given a bipartite graph G=(V,E) representing the observed user-item associations of the set of users and items in  $V=U\cup I$ , the k-order neighborhood proximity of a pair of users (or items) is defined as the similarity between their neighborhood network structures retrieved by k-step random walks. Mathematically speaking, given the k-order neighborhood structures of a pair of users (or items),  $v_i, v_j \in U$  (or  $v_i, v_j \in I$ , respectively), which are denoted as two sets of neighbor nodes  $N_{v_i}$  and  $N_{v_j}$ , with  $|N_{v_i}| = |N_{v_j}| = k$ , the k-order neighborhood proximity between  $v_i$  and  $v_j$  is decided by the similarity between these two sets  $N_{v_i}$  and  $N_{v_j}$ . If there are no shared neighbors between  $v_i$  and  $v_j$ , the neighborhood proximity between them is 0.

The NSEmbed module is designed to model k-order neighborhood proximity for capturing user-user and item-item similarities. Given a set of neighborhood relations for users (or items)  $S_U = \{(v_i,v_j)| \ \forall v_i \in U, v_j \in N_{v_i}\}$  (or  $S_I = \{(v_i,v_j)| \ \forall v_i \in I, v_j \in N_{v_i}\}$ , respectively), the NSEmbed module seeks a set of embedding matrices  $\Phi, \Phi^{UC}, \Phi^{IC} \in \mathbb{R}^{|V| \times d}$  that maximizes the likelihood of all pairs in  $S_U$  (or  $S_I$ , respectively), where  $\Phi$  is a vertex mapping matrix akin to that used in the DSEmbed module, and  $\Phi^{UC}$  and  $\Phi^{IC}$  are two context mapping matrices. Note that each vertex (representing a user or an item) plays two roles for modeling the neighborhood proximity: 1) the vertex itself and 2) the context of other vertices [2,6,16,22,29]. With this design, the embedding vectors of vertices that share similar contexts are thus closely located in the learned vector space. Therefore, the maximization of the likelihood function can be defined as

$$\begin{split} \arg\max_{\Phi,\Phi^{UC},\Phi^{IC}} & & \prod_{(v_i,v_j)\in S_U} p(v_j|v_i;\Phi;\Phi^{UC}) \\ & & + \prod_{(v_i,v_j)\in S_I} p(v_j|v_i;\Phi;\Phi^{IC}). \end{split}$$

Similar to Eqs. (1) and (2), the above objective function becomes

$$\arg \min_{\Phi, \Phi^{UC}, \Phi^{IC}} \quad \sum_{(v_i, v_j) \in S_U} -\log p(v_j | v_i; \Phi; \Phi^{UC})$$

$$+ \sum_{(v_i, v_j) \in S_I} -\log p(v_j | v_i; \Phi; \Phi^{IC}), \qquad (3)$$

where

$$p(v_j|v_i;\Theta) = \begin{cases} \sigma\left(\Phi_{v_i} \cdot \Phi_{v_j}^{UC}\right) & \text{if } v_i \in U, \\ \sigma\left(\Phi_{v_i} \cdot \Phi_{v_j}^{IC}\right) & \text{if } v_i \in I. \end{cases}$$
(4)

It is worth mentioning that most prior arts use only one or two embedding mappings; while the former approach fails to consider high-order neighbors (e.g., [3, 7, 12, 22, 24]), the later one cannot model user-user, item-item, and user-item relations simultaneously (e.g., [1, 2, 6, 16, 17, 29]). Our designed triplet embedding solution

(i.e.,  $\Theta = \{\Phi, \Phi^{UC}, \Phi^{UC}\}\)$  can ideally model user-user, item-item clustering and user-item relations in a joint-learning model.

#### 2.3 Sampling-based Expectation Loss

In order to minimize the above objective functions, we need to go through all the pairs in E for Eq. (1), E and  $\bar{E}$  for Eq. (2), and  $S_U$  and  $S_I$  for Eq. (3), to compute all the pairwise losses. This is not feasible in real-world recommendation scenarios as the complexity is  $O(|V| \times |V|)$ . To address this, we propose a sampling technique to work in tandem with the above two modules to enhance CSE's scalability and flexibility in learning user and item representations from large-scale datasets.

In CSE, the DSEmbed and NSEmbed modules are fused with the shareable data sampling technique described below. For each parameter update, we first sample an observed user-item pair  $(v_i, v_j) \in E$ , as shown as U1 and I1 in Fig. 1(b), where  $v_i \in U$  and  $v_j \in I$ . Then, we search for the k-order neighborhood structures of user  $v_i$  and item  $v_j$  via the k-step random walks. To improve computational efficiency, we use negative sampling [22]. Consequently, for a rating-based approach (see Eq. (1)), the expected sampled loss of the DSEmbed module can be re-written as

$$\mathcal{L}_{DS} = \mathbb{E}_{(v_i, v_j) \sim E} \left[ -\log p(v_i, v_j | \Phi) \right] + \sum_{M} \mathbb{E}_{(v_k, v_h) \sim \bar{E}} \left[ \log p(v_k, v_h | \Phi) \right], \tag{5}$$

where M denotes the number of negative pairs adopted. For a ranking-based approach (see Eq. (2)), the DSEmbed module can be re-written as

$$\mathcal{L}_{DS} = \mathbb{E}_{(v_i, v_k) \sim \bar{E}} \left[ \mathbb{E}_{(v_i, v_j) \sim E} \left[ -\log p(v_j >_i v_k | \Phi) \right] | v_i \right]. \quad (6)$$

Note that for the ranking-based approach, there is no need to explicitly include M negative sample pairs as this kind of method naturally involves negative pairs from  $\bar{E}$ . Similarly, given a user or an item vertex  $v_i$ , its k-order neighborhood structure  $N_{v_i}$  is composed of nodes in the k-step random walks surfing on G,  $W_{v_i} = (W^0_{v_i}, W^1_{v_i}, W^2_{v_i}, \ldots, W^k_{v_i})$ , where the vertex for  $W^j_{v_i}$  is randomly chosen from the neighbors of the vertex v given  $W^{j-1}_{v_i} = v$  and  $W^0_{v_i} = v_i$ . The expected sampled loss of the NSEmbed module can be re-written as

$$\mathcal{L}_{NS} = \mathbb{E}_{(v_i, v_j) \sim S_U} \left[ -\log p(v_j | v_i; \Phi; \Phi^{UC}) \right] +$$

$$\sum_{M} \mathbb{E}_{(v_i, v_j) \sim \bar{E}} \left[ \log p(v_j | v_i; \Phi; \Phi^{UC}) \right] +$$

$$\mathbb{E}_{(v_i, v_j) \sim S_I} \left[ -\log p(v_j | v_i; \Phi; \Phi^{IC}) \right] +$$

$$\sum_{M} \mathbb{E}_{(v_i, v_j) \sim \bar{E}} \left[ \log p(v_j | v_i; \Phi; \Phi^{IC}) \right].$$
 (7)

Since  $\mathcal{L}_{DS}$  and  $\mathcal{L}_{NS}$  are described in a sampling-based expectation form, CSE provides the flexibility for accommodating arbitrary distributions of positive and negative data. In the following experiments, we produce the positive data according to primitive edges distribution of given user-item graph. As to negative sampling, we propose to directly sample the negative data from whole data collection instead of unobserved data collection.

Dataset	#Users	#Items	#Edges	Edge type	
Frappe	957	4,028	96,202	click count	
CiteULike	5,551	16,980	210,504	like/dislike	
Netflix	65,533	17,759	25,120,129	5-star	
MovieLens-Latest	259,137	40,110	24,404,096	5-star	
Last.fm-360K	359,347	294,015	17,559,530	play count	
Amazon-Book	603,668	367,982	8,898,041	5-star	
Epinions-Extend	755,760	120,492	13,668,319	5-star	
Echonest	1,019,318	384,546	48,373,586	play count	

Table 1: Statistics of the datasets considered in our experiments.

#### 2.4 Optimization

In the optimization stage, we use asynchronous stochastic gradient descent (ASGD) [18] to efficiently update the parameters in parallel. The model parameters are composed of the three embedding matrices  $\Theta = \{\Phi, \Phi^{UC}, \Phi^{IC}\}$ , each having the size O(|V|d). They are updated with learning rate  $\alpha$  according to

$$\Theta \leftarrow \Theta - \alpha \left( \frac{\partial \mathcal{L}_{DS}}{\partial \Theta} + \lambda \left( \frac{\partial \mathcal{L}_{NS}}{\partial \Theta} \right) - \lambda_V \|\Phi\| \right), \tag{8}$$

where  $\lambda_V$  is a hyper-parameter for reducing the risk of overfitting.

#### 3 EXPERIMENT

#### 3.1 Settings

3.1.1 Datasets and Preprocessing. To examine the capability and scalability of the CSE framework, we conducted experiments on eight publicly available real-world datasets that vary in terms of domain, size, and density, as shown in Table 1. For each of the datasets, we discarded the users who have less than ten associated interactions with items. In addition, we converted each data into implicit feedback:<sup>2</sup> 1) for 5-star rating datasets, we transformed ratings higher than or equal to 3.5 to 1 and the rest to 0; 2) for count-based datasets, we transformed counts higher than or equal to 3 to 1 and the rest to 0; 3) for the CiteULike dataset, no transformation was conducted as it is already a binary preference dataset.

3.1.2 Baseline Algorithms. We compare the performance of our model with the following eight baseline methods: 1) POP, a naive popularity model that ranks the items by their degrees, 2) Deep-Walk [16], a classic algorithm of network embedding, 3) WALS [9], a weighted rating-based factorization model, 4) ranking-based factorization models: BPR [19], WARP [25], and K-OS [26], 5) BiNE [5], a network embedding model specialized for bipartite networks, and 6) recent advanced models considering user-user/item-item relations: coFactor [13], CML [8] and WalkRanker [27]. Note that except for POP, the embedding vectors for users and items learned by these competitors as well as by our method can be directly used for item recommendations. Additionally, while CML adopts Euclidean distance as the scoring function, all other methods including ours utilize the dot product to calculate the score of a pair of user-item embedding vectors. The experiments for WALS and

<sup>&</sup>lt;sup>2</sup>Note that in real-world scenarios, most feedback is not explicit but implicit [19]; we here converted the datasets into implicit feedback as most of the recent developed methods focus on dealing with such type of data. However, our method is not limited to binary preference since the presented sampling technique has the flexibility to manage arbitrary weighted edge distributions and rating estimation is also allowed in the proposed RATE-CSE.

	Frappe		CiteULike		Netflix		MovieLens-Latest	
	Recall@10	mAP@10	Recall@10	mAP@10	Recall@10	mAP@10	Recall@10	mAP@10
Pop	0.1750	0.0708	0.0270	0.0114	0.0861	0.0359	0.0882	0.0289
DeepWalk [16]	0.0430	0.0256	0.0875	0.0458	0.0235	0.0112	0.0207	0.0061
WALS [9]	0.1632	0.1117	0.1851	0.0915	0.1214	0.0471	0.2350	†0.1682
BPR [19]	0.2785	0.1550	0.0861	0.0426	0.1496	0.0757	0.2163	0.1130
WARP [25]	0.3012	0.1796	0.1468	0.0813	†0.1887	†0.1004	†0.2712	0.1651
K-OS [26]	0.3018	0.1914	0.1356	0.0756	0.1783	0.0868	0.2522	0.1641
BiNE [5]	0.2159	0.1201	0.0422	0.0201	-	-	-	-
coFactor [13]	0.2110	0.1309	0.1323	0.0721	-	-	-	-
CML [8]	†0.3311	0.1958	0.1740	0.1008	0.1035	0.0444	0.1109	0.0957
WalkRanker [27]	0.3286	†0.2099	†0.2059	†0.1192	0.1090	0.0483	0.1307	0.0351
RATE-CSE	0.3347	0.2047	*0.2362	*0.1452	*0.2014	*0.1039	*0.3225	*0.1990
Improv. (%)	+1.0%	-2.4%	+14.7%	+21.9%	+6.7%	+3.5%	+18.9%	+18.3%
RANK-CSE	0.3155	0.2005	0.1993	*0.1228	*0.2156	*0.1202	*0.3094	*0.1902
Improv. (%)	-4.7%	-4.4%	-3.2%	+3.0%	+14.2%	+19.7%	+14.1%	+13.1%
	Last.fm-360K		Amazon-Book		Epinions-Extend		Echonest	
	Recall@10	mAP@10	Recall@10	mAP@10	Recall@10	mAP@10	Recall@10	mAP@10
Pop	0.0309	0.0133	0.0053	0.0015	0.0450	0.0246	0.0257	0.0104
WALS [9]	0.1621	0.0857	†0.0540	†0.0227	0.1479	0.0634	0.1287	†0.0638
BPR [19]	0.1120	0.0545	0.0248	0.0119	0.1126	0.0579	0.0499	0.0210
WARP [25]	0.1556	0.0832	0.0457	0.0199	†0.1509	†0.0775	0.1001	0.0447
K-OS [26]	†0.1641	†0.0888	0.0511	0.0215	0.1493	0.0766	†0.1249	0.0597
CML [8]	0.0496	0.0199	0.0129	0.0052	0.1171	0.0629	0.0357	0.0195
WalkRanker [27]	0.0233	0.0088	0.0080	0.0036	0.0560	0.0289	0.0309	0.0133
RATE-CSE	*0.1687	*0.0909	0.0540	0.0240	*0.1659	0.0788	0.1260	0.0605
Improv. (%)	+2.8%	+2.3%	+0.0%	+5.7%	+9.9%	+1.7%	+0.8%	-0.5%
RANK-CSE	*0.1762	*0.0970	*0.0625	*0.0274	*0.1767	*0.0921	*0.1358	*0.0679
Improv. (%)	+8.2%	+14.4%	+15.7%	+20.7%	+17.0%	+20.2%	+8.7%	+6.4%

Table 2: Recommendation performance. The  $\dagger$  symbol indicates the best performing method among all the baseline methods; "\* and '%Improv' denote statistical significance at p < 0.01 with a paired t-test and the percentage improvement of the proposed method, respectively, with respect to the best performing baseline.

BPR were conducted using the matrix factorization library QMF, $^3$  and those for WARP and K-OS were conducted using LightFM; $^4$  for coFactor, CML, and WalkRanker, we used the code provided by the respective authors.

3.1.3 Experimental Setup. For all the experiments, the dimension of embedding vectors was fixed to 100; the values of the hyperparameters for the compared method were decided via implementing a grid search over different settings, and the combination that leads to the best performance was picked.

For our model, the learning rate  $\alpha$  was set to 0.1,  $\lambda_V$  was set to 0.025; the hyper-parameter  $\lambda$  was set to 0.05 and 0.1 for rating-based CSE and ranking-based CSE, respectively, and k was set to 2 as the default value. The sensitivity of CSE parameters are additionally reported. For each dataset, the sample time for convergence depends on the number of non-zero user-item interaction edges and is set to  $80 \times |E|$ . Sensitivity analysis for k and k and convergence analysis are later provided in the section for convergence analyses.

#### 3.2 Results

3.2.1 Recommendation Performance Comparison. The results for the ten baseline methods along with the proposed method are listed in Table 2, where RATE-CSE and RANK-CSE denote two versions of our method that employ respectively rating-based and rankingbased loss functions for user-item associations. Note that the best results are always indicated by the bold font, and for coFactor and BiNE we report only part of the experimental results on Frappe and CiteULike because of resource limitations.<sup>5</sup> DeepWalk is not suitable for user-item recommendation as it make the users apart from items in the embedding space. In addition, observe that BiNE does not perform well in our experiments; such a result is due to the fact that BiNE is a general network embedding model and thus does not incorporate the regularizer in their objective function, which is however an important factor for the robustness of recommendation performance. Comparing the performance of the other baseline methods, we observe that the performance of WALS, WARP

<sup>&</sup>lt;sup>3</sup>https://github.com/quora/qmf

<sup>&</sup>lt;sup>4</sup>https://github.com/lyst/lightfm

 $<sup>^5</sup>$ While the memory usage of coFactor implementation is  $\mathcal{O}(|V|^2)$ , BiNE's requires extensive computational time, e.g., more than 24 hours to learn the embedding for the large dataset, Movielens-Latest.

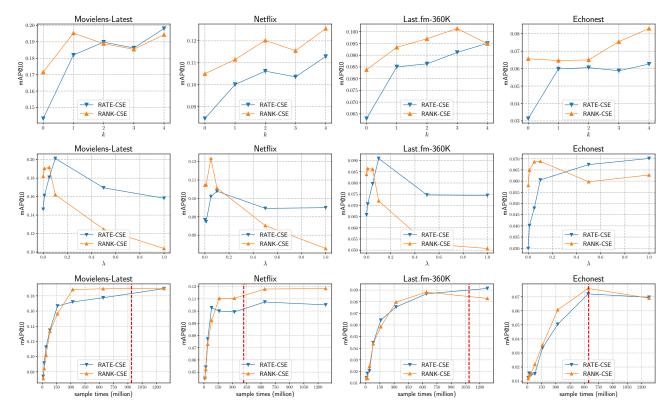


Figure 2: Sensitivity and convergence analyses

and *K*-OS is very competitive. That is, these methods achieve the top performance among all the baselines on several datasets. The performance of WalkRanker and CML, on the other hand, seems satisfactory only on two rather small datasets.

We observe that our method achieves the best results in terms of both Recall@10 and mAP@10 for most datasets. Moreover, RANK-CSE generally outperforms RATE-CSE in the experiments, reconfirming that using a ranking-based loss is indeed better for datasets with binary implicit feedbacks [19, 25, 26]. Specifically, except for Frappe, RATE-CSE or RANK-CSE achieves significantly much better performance than the best performing baseline methods.

3.2.2 Parameter Sensitivity and Convergence Analyses. Figure 2 shows the sensitivity tests on two hyper-parameters k and  $\lambda$  in the first and second rows, respectively, and those of the convergence analysis based on sample times in the third row.<sup>6</sup> We first observe that increasing the order k of modeling neighborhood proximity between users or items improves the performance in general. The optimal value of k is data dependent and has to be empirically tuned considering the trade-off between accuracy and time/space complexity. In general, a larger k leads to better result, and from our experience, the result would reach a plateau when k is sufficiently large (e.g., when k > 3). The second row of Figure 2 shows how the balancing parameter  $\lambda$  affects performance: RANK-CSE obtains better performance with a value around 0.05, while RATE-CSE performs well with a value around 0.1. Finally, we empirically show

that the required total sample times for convergence is linear with respect to |E| as illustrated in the third row, where the vertical dash line indicates the boundary of  $|E| \times 80$  as we applied to the previous recommendation experiment. As the training time depends linearly on the sample times, it can be said that both RATE-CSE and RANK-CSE converge with less than a constant multiple of |E| sample times. This demonstrates the nice scalability of CSE.

#### 4 CONCLUSION

We present CSE, a unified representation learning framework that exploits comprehensive collaborative relations available in a useritem bipartite graph for recommender systems. Two types of proximity relations are modeled by the proposed DSEmbed and NSEmbed modules. Moreover, we propose a sampling technique to enhance the scalability and flexibility of the model. Experimental results show that CSE yields superior recommendation performance over a wide aange of datasets with different sizes, densities, and types than many state-of-the-art recommendation methods.

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<sup>&</sup>lt;sup>6</sup>Note that due to space limits, we report the results for the four largest datasets only.

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