# Operating Systems 0107451 Chapter 2 Processes and Threads

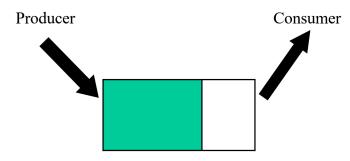
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#### Producer Consumer



#### **Issues**

- ► Mutual Exclusion.
- ► Buffer full.
- Buffer empty.



#### Producer Consumer

#### Problems?

- How does any of them know about how much in buffer?
- What about critical regions?



#### Mutual Exclusion

#### Problems?

How does any of them know about how much in buffer?



#### Producer Consumer

- Cannot be solved by mutexes only.
- Need a way to block till some condition is satisfied:
  - Sleep and Wakeup
  - Semaphore (not part of pthread package)
  - Condition variables (preferred with pthread)



- ▶ N: Size of the buffer
- Shared variables:
  - **count**: number of item in the buffer
  - buffer

# Sleep and Wakeup

```
Producer:
                                Consumer:
    while(True)
                                   while(True)
        item=produce();
                                        if(count==0)
        if(count==N)
                                             sleep();
                                        item=remove(buffer);
            sleep();
        insert(item, buffer);
                                        count--;
                                        if(count==N-1)
        count++:
        if(count==1)
                                             wakeup(producer);
            wakeup(consumer);
                                        consume(item);
```



- ▶ **N**: Size of the buffer
- Shared variables:
  - **count**: number of item in the buffer
  - buffer

## Sleep and Wakeup

```
Producer:
                               Consumer:
    while(True)
                                  while(True)
                                        if(count==0)
        item=produce();
        if(count==N)
                                            sleep();
            sleep();
                                        lock(mutexs);
        lock(mutexs);
                                        item=remove(buffer);
        insert(item, buffer);
                                        count--;
                                        unlock(mutexs);
        count++;
        unlock(mutexs);
                                        if(count==N-1)
        if(count==1)
                                            wakeup(producer);
                                  consume(item);
            wakeup(consumer);
```



## Semaphore Interface

```
S: Integer value
Down(S):
    when(S>0)
       S=S-1;
Up(S):
    S=S+1;
```

- Atomic actions
- Down might block
- ► Up never blocks



# Semaphore Implementation

#### Down(S):

- ► If (S==0) then:
  - Suspend thread, put it into a waiting queue
  - Schedule another thread to run
- ► Else:
  - Decrement S
  - Return

## Up(S):

- Increment S
- If any is in waiting queue then:
  - Release one of them (make it runnable)



- N: Size of the buffer
- Shared variables:
  - count: number of item in the buffer
  - buffer
- Empty: semaphore initialized to N (number of empty slots)
- Full: semaphore initialized to zero (number of filled slots)

# Semaphore

```
Producer:
                               Consumer:
    while(True)
                                   while(True)
        item=produce();
                                        down(Full);
        down(Empty);
                                        lock(mutexs);
        lock(mutexs);
                                        item=remove(buffer);
        insert(item, buffer);
                                        count--;
                                        unlock(mutexs);
        count++;
        unlock(mutexs);
                                        up(Empty);
        up(Full);
                                        consume(item);
                                 4 D F 4 B F 4 B F 9 Q C
```



# Blocking mutex is a special case of Semaphore

- ▶ Initialize semaphore S = 1
- ▶ lock\_mutex = Down(S)
- unlock\_mutex=Up(S)
- Difference:
  - With pthread\_mutexes, only the thread which currently holds the lock can unlock it.
  - No such restriction in semaphores.
- It is called a binary semaphore



# Example (1) on semaphore usage

Computer game with multiple players, where no more than 2 players in a room.

#### Solution

- ► Initialize semaphore S=2
- Players execute:
  - Down(S) before entering.
  - Up(S) while leaving.



# Example (2) on semaphore usage

Web Server can handle only 10 threads at a time:

- Multiple points where threads are being created
- ▶ How to ensure no more than 10 active threads?

#### Solution

Semaphore with initial value  $\mathsf{S}=10$ 

- Down(S) before thread creation
- ► Up(S) once thread finishes



# POSIX Semaphore

```
#include<semaphore.h>
int sem_init(sem_t *sem, int pshared, unsigned int value);
pshared=0: semaphore is shared between threads in a process.
pshared!=0: semaphore is shared between processes.
value: initial value of the semaphore

int sem_wait(sem_t * sem);//down(s)
int sem_trywait(sem_t * sem);//try to down(s)
int sem_post(sem_t * sem);//up(s)
int sem_getvalue(sem_t * sem, int * sval);
int sem_destroy(sem_t * sem);
```



#### Questions

- 1. What if we changed the order of lock() and Down() in producer consumer solution?
- 2. What if we changed the order of unlock() and Up()?



# Implementing wait() system call with semaphore

- Parent process does a wait() system call on child (wait till child finishes before exiting).
- What if parent executed wait() after child exited? (wait should return immediately).



# Implementing wait() system call with semaphore - solution

Semaphore zombie: initialize to 0

Parent: down(zombie) inside wait()

Child: up(zombie) upon exiting.



#### Condition variables

Allows a thread to wait till a condition is satisfied

Testing if the condition is satisfied must be done within a mutex

With every condition variable, a mutex is associated



#### Condition variables

```
pthread_cond_t condition_variable;
                  pthread_mutex_t mutex;
Waiting Thread:
                                        Signaling Thread:
    pthread_mutex_lock(&mutex);
                                             pthread_mutex_lock(&mutex);
                                             /* Change variable values */
    while(condition not satisfied){
                                             if(condition is satisfied){
        pthread_cond_wait(
                                                 pthread_cond_signal(
        &condition variable.
                                                 &condition variable):
        &mutex);
                                             pthread_mutex_unlock(&mutex);
    pthread_mutex_unlock(&mutex);
                                             /* Alternative to cond_signal is
                                               pthread_cond_broadcast(
                                               &condition variable):
```



#### Condition variables and mutex

A mutex is passed into wait: <a href="mailto:pthread\_cond\_wait(cond\_var">pthread\_cond\_wait(cond\_var</a>, mutex)

Mutex is released before the thread sleeps

Mutex is locked again before pthread\_cond\_wait() returns

Safe to use **pthread\_cond\_wait()** in a while loop and check condition again before proceeding



# Usage Example

Write a program using two threads:

- ► Thread 1 prints "hello"
- ► Thread 2 prints "world"
- ▶ Thread 2 should wait till thread 1 finishes before printing.

Use a condition variable



## Solution