

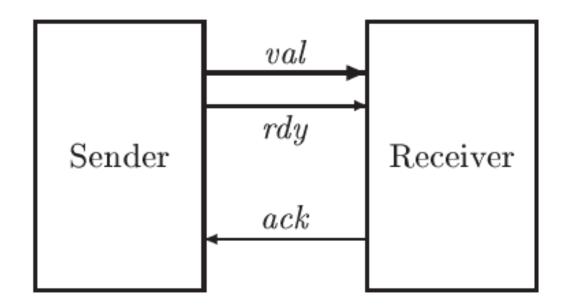
## Faculty of Computer Systems & Software Engineering

# Formal methods. Specification of an Asynchronous Interface

Vitaliy Mezhuyev

## м

### Asynchronous data transfer system



#### Components:

- Sender and Receiver
- Data line val (value)
- rdy (ready) and ack (acknowledgment) synchronization lines.

## 7

## Data transfer protocol

$$\begin{bmatrix} val & = 26 \\ rdy & = 0 \\ ack & = 0 \end{bmatrix} \xrightarrow{Send \ 37} \begin{bmatrix} val & = 37 \\ rdy & = 1 \\ ack & = 0 \end{bmatrix} \xrightarrow{Ack} \begin{bmatrix} val & = 37 \\ rdy & = 1 \\ ack & = 1 \end{bmatrix} \xrightarrow{Send \ 4} \xrightarrow{\longrightarrow} \begin{bmatrix} val & = 4 \\ rdy & = 0 \\ ack & = 1 \end{bmatrix} \xrightarrow{Ack} \begin{bmatrix} val & = 4 \\ rdy & = 0 \\ ack & = 0 \end{bmatrix} \xrightarrow{Send \ 19} \begin{bmatrix} val & = 19 \\ rdy & = 1 \\ ack & = 0 \end{bmatrix} \xrightarrow{Ack} \xrightarrow{\longrightarrow} \cdots$$

We can send any value by val data line (e.g. 26, 37, 4, 19...).

Send is enabled if *rdy* equals *ack* (*rdy* = *ack*, e.g. both are 0 or 1).

The sender must wait for an acknowledgment (Ack) for a data item before it can send the next ( $rdy \neq ack$ ).

## ٠,

## **Specification - declarations**

Let assume that Data is a constant set of data can be sent

CONSTANT Data

To create a model of lines (wires) we will define variables val, rdy, ack

Variables *rdy* and *ack* can have value only 0 or 1. In other words {0, 1} is the type of *rdy* and *ack* 

To specify this property we will define the logical formula and will call it *type invariant* 

 $TypeInvariant \triangleq (val \in Data) \land (rdy \in \{0,1\}) \land (ack \in \{0,1\})$ 

## **Specification - type invariant**

Generally speaking, an invariant **Inv** of a specification **Spec** is a state predicate such that

$$Spec \Rightarrow \Box Inv$$

is a theorem.

We can formulate *TypeInvariant* in this form\*:

$$TypeInvariant \triangleq \land val \in Data \\ \land rdy \in \{0, 1\} \\ \land ack \in \{0, 1\}$$

<sup>\*</sup> The indentation is significant in TLA notation

## **Specification – Init predicate**

$$Init \triangleq \wedge val \in Data$$

$$\wedge rdy \in \{0, 1\}$$

$$\wedge ack = rdy$$

Initially, val can equal any element of Data.

rdy and ack can start from either both 0 or both 1.

## 1

## Specification – Send action

$$Send \triangleq \land rdy = ack$$

$$\land val' \in Data$$

$$\land rdy' = 1 - rdy$$

$$\land UNCHANGED \ ack$$

- Send is enabled if *rdy* equals *ack*.
- The new value val can be any element of Data
- UNCHANGED ack means ack = ack

## м

## Specification – Rcv (Receive) action

$$Rcv \triangleq \land rdy \neq ack$$
  
  $\land ack' = 1 - ack$   
  $\land UNCHANGED \langle val, rdy \rangle$ 

- *rdy* is not equal *ack*
- val and rdy remains unchanged
- TLA tuples in ASCII are defined with double triangle brackets, e.g. <<val, rdy>>

## w

## **Specification – the next predicate**

A step of the protocol either sends a value or receives a value.

$$Next \triangleq Send \vee Rcv$$

The specification allows stuttering steps, i.e. the steps that leave <<val, rdy, ack>> unchanged

$$Spec \triangleq Init \wedge \Box [Next]_{\langle val, rdy, ack \rangle}$$

#### - Module AsynchInterface

```
EXTENDS Naturals
CONSTANT Data

VARIABLES val, rdy, ack

TypeInvariant \triangleq \land val \in Data
\land rdy \in \{0, 1\}
\land ack \in \{0, 1\}

\land rdy \in \{0, 1\}
\land ack = rdy

Send \triangleq \land rdy = ack
```

$$Send \triangleq \land rdy = ack$$

$$\land val' \in Data$$

$$\land rdy' = 1 - rdy$$

$$\land UNCHANGED ack$$

$$Rcv \triangleq \land rdy \neq ack$$
  
  $\land ack' = 1 - ack$   
  $\land UNCHANGED \langle val, rdy \rangle$ 

$$Next \triangleq Send \vee Rcv$$
  
 $Spec \triangleq Init \wedge \Box [Next]_{\langle val, rdy, ack \rangle}$ 

Theorem  $Spec \Rightarrow \Box TypeInvariant$ 

#### **Print values in TLC**

- TLC allows to print values during model checking
- Operator Print is defined in the standard module TLC
- To use Print, include TLC by EXTENDS keyword.
- TLA definition of Print

Print(exp1, exp2) == exp2

the return value of Print(exp1, exp2) is exp2

#### **Use Print in formulas**

To use Print in formulas we can specify

#### Print(exp, TRUE)

To print more than one expression we can use tuple
 Print(<<id, exp>>, TRUE)

#### Example

```
Send == Λ ack' = 0

Λ val' = IF val # 12 THEN val+1 ELSE 1

Λ Print(<<"Send ", val>>, TRUE)
```

## ۲

## **Using records**

Record is a composite data structure. Record allows us to use one variable **chan** in exchange of val, rdy, ack

$$[val: Data, rdy: \{0,1\}, ack: \{0,1\}]$$

The fields of a record in TLA are not ordered, e.g.

$$[ack: \{0,1\}, val: Data, rdy: \{0,1\}]$$

Variable chan

 $TypeInvariant \triangleq chan \in [val:Data, rdy:\{0,1\}, ack:\{0,1\}]$ 

## Adding parameters to actions

The Send action now send unspecified data value TLA allows to define Send with parameter, showing exact data to be sent by **Send(d)** 

Lets modify the *Next* action

$$Next \triangleq (\exists d \in Data : Send(d)) \lor Rcv$$

This means that exists *d* in *Data*, such that the step satisfies *Send(d)* 

## м

## Different possible of syntax of Send(d)

$$Send(d) \triangleq \land chan.rdy = chan.ack \land chan' = [val \mapsto d, rdy \mapsto 1 - chan.rdy, ack \mapsto chan.ack]$$

By default, we modify val and rdy of chan'

TLA also allows other syntax

$$Send(d) \triangleq \land chan.rdy = chan.ack$$
  
  $\land chan' = [chan \ EXCEPT \ !.val = d, \ !.rdy = 1 - @]$ 

Here **!.val**, **!.rdy** stands for **chan.val**, **chan.rdy** correspondingly @ allow not repeat **chan.rdy** 

## **Specification of Async Interface**

MODULE Channel

```
CONSTANT Data
VARIABLE chan
TypeInvariant \triangleq chan \in [val: Data, rdy: \{0,1\}, ack: \{0,1\}]
Init \triangleq \land TypeInvariant
           \wedge chan.ack = chan.rdy
Send(d) \triangleq \wedge chan.rdy = chan.ack
               \wedge chan' = [chan except !.val = d, !.rdy = 1 - @]
Rcv \stackrel{\triangle}{=} \wedge chan.rdy \neq chan.ack
               \wedge \ chan' = [chan \ EXCEPT \ !.ack = 1 - @]
Next \triangleq (\exists d \in Data : Send(d)) \lor Rcv
Spec \triangleq Init \wedge \Box [Next]_{chan}
```

EXTENDS Naturals

THEOREM  $Spec \Rightarrow \Box TypeInvariant$ 

## м

#### Calculation of amount of distinct states

$$TypeInvariant \triangleq \land val \in Data \\ \land rdy \in \{0, 1\} \\ \land ack \in \{0, 1\}$$

#### ■ What is the model?

Specify the values of declared constants.

Data <- [ model value ] {d1, d2, d3}

Amount = 
$$|Data|^*|\{0,1\}|^*|\{0,1\}|$$
  
=  $3^*2^*2 = 12$ 

## **Analyses of specification**

A step of the protocol either sends a value **OR** receives a value.

$$Next \triangleq Send \lor Rcv$$
 $Send \triangleq \land rdy = ack$ 
 $\land val' \in Data$ 
 $\land rdy' = 1 - rdy$ 
 $\land UNCHANGED \ ack$ 
 $Rcv \triangleq \land rdy \neq ack$ 
 $\land ack' = 1 - ack$ 
 $\land UNCHANGED \ \langle val, rdy \rangle$ 



## **Analyses of deadlock**

 The absence of deadlock is a particular property we often want to check.

It is expressed by the invariance property  $\Box$  (ENABLED Next)

- Deadlock means reaching a state in which Next is not enabled, so no further (nonstuttering) step is possible.
- TLC normally checks for deadlock by setting option (in Model overview page):

■ What to check?

Deadlock

 Note, it can be unchecked, since, for some systems, deadlock may indicate successful termination.

## 1

#### **Questions**

- 1. What is Asynchronous data transfer system?
- 2. What are components of Asynchronous data transfer system?
- 3. What are actions of Asynchronous data transfer system?
- 4. How to calculate amount of distinct states?
- 5. What is deadlock?
- 6. Is deadlock always unwanted property?
- 7. How to print values in TLC?
- 8. How to Use Print in TLA formulas?

# Thank you for your attention! Please ask questions