

# Theory of Computation

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## Text Books:

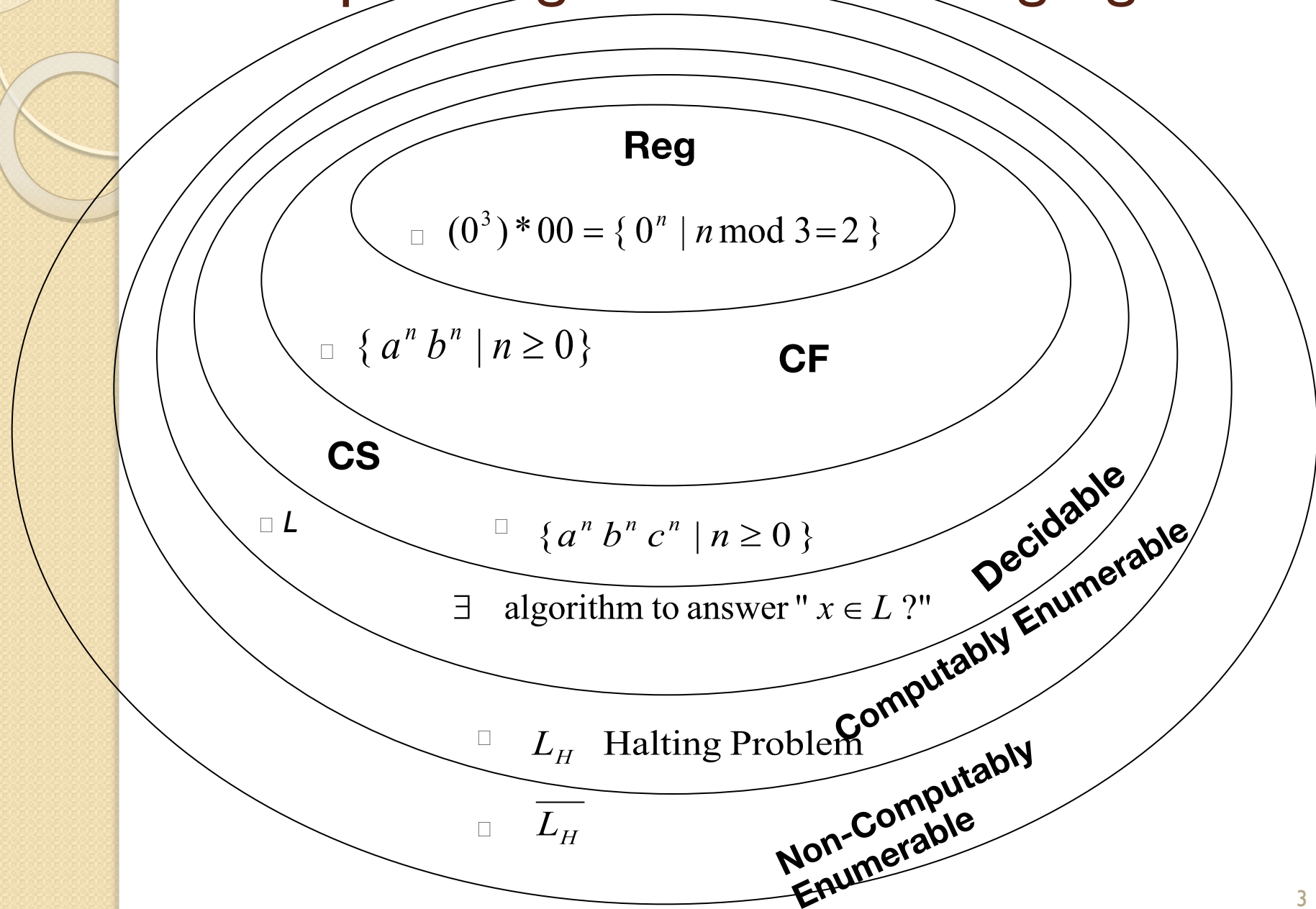
***“An Introduction to the theory of computation”*** by Michael Sipser, 2<sup>nd</sup> Edition, PWS Publishing Company, Inc. 2006; ISBN: 0-534-95097-3

***“Theory of Computation an Introduction”*** by James L. Hein, Jones & Bartlett Publishers 1996; ISBN: 0-86720-497-4.

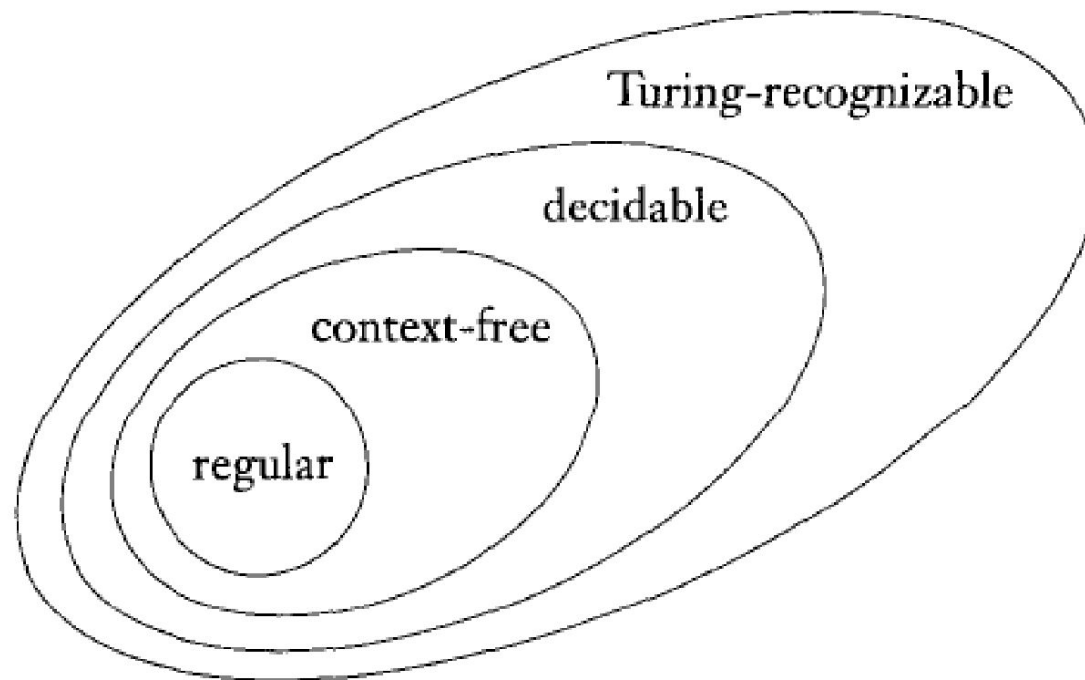
# Agenda

- Chomsky hierarchy
- Halting problem
- Complexity theory
  - Class P
    - $0^n 1^n$
    - PATH
  - Class NP
    - HAMPATH
    - CLIQUE
- Non-Robustness of TM

# Relationship among Classes of all languages



# Relationship among Classes of all languages



# Undecidability and the Halting Problem.

## Limitations of Turing machines

- Many of our computational tasks involve questions or decisions. For example, some problems involving numbers are:

- *Is this integer a prime?*
- *Does this equation have a root between 0 and 1?*
- *Is this integer a perfect square?*
- *Does this series converge?*
- *Is this sequence of numbers sorted?*

*However, not all tasks contains numbers. For example,*

- *Is this program correct?*
- *How long will this program run?*
- *Does this program contain an infinite loop?*
- *Is this program more efficient than that one?*

# Reformulating the halting problem

Let  $A_{TM} = \{\langle M, w \rangle \mid M \text{ is a TM that accepts } w\}$ .

$A_{TM}$  is sometimes called halting problem,  $A_{TM}$  is the problem of testing whether a Turing machine accepts a given input, it is an undecidable but recognizable.

$U =$  “On input  $\langle M, w \rangle$ , where  $M$  is a TM and  $w$  is a string:

1. Simulate  $M$  on input  $w$ .
2. If  $M$  ever enters its accept state, *accept*; if  $M$  ever enters its reject state, *reject*.”

Note that this machine loops on input  $\langle M, w \rangle$  if  $M$  loops on  $w$ , which is why this machine does not decide  $A_{TM}$ .

# Reducability

- It is the problem of converting one problem into another.
- The second problem can be solved, so the first problem as well.
- As example, the problem of finding your way around a new city can be reduced to the problem of finding a map to this city.
- The problem of traveling from one city to another can be reduced to the problem of buying the tickets.

# Mapping reducibility

- *mapping reducibility*, roughly speaking, being able to reduce problem  $A$  to problem  $B$ .
- *a mapping reducibility*: means that a computable function exists that converts instances of problem  $A$  to instances of problem  $B$ .
- If such a conversion exists, the function called a *reduction*, we can solve  $A$  with a solver for  $B$ .
- The reason is that any instance of  $A$  can be solved by first using the reduction to convert it to an instance of  $B$  and then applying the solver for  $B$ .



# Reducability

- The  $HALT_{TM}$ : the problem of determining whether a Turing machine halts (by accepting or rejecting) on a given input.
- We use the undecidability of  $A_{TM}$  to prove the undecidability of  $HALT_{TM}$  by reducing  $A_{TM}$  to  $HALT_{TM}$ . Let

# Reducability

$HALT_{TM}$  is undecidable.

$HALT_{TM} = \{ \langle M, w \rangle \mid M \text{ is a TM and } M \text{ halts on input } w \}$

$A_{TM} = \{ \langle M, w \rangle \mid M \text{ is a TM that accepts } w \}$ .

**PROOF** Let's assume for the purposes of obtaining a contradiction that TM  $R$  decides  $HALT_{TM}$ . We construct TM  $S$  to decide  $A_{TM}$ , with  $S$  operating as follows.

$S =$  “On input  $\langle M, w \rangle$ , an encoding of a TM  $M$  and a string  $w$ :

1. Run TM  $R$  on input  $\langle M, w \rangle$ .
2. If  $R$  rejects, *reject*.
3. If  $R$  accepts, simulate  $M$  on  $w$  until it halts.
4. If  $M$  has accepted, *accept*; if  $M$  has rejected, *reject*.”

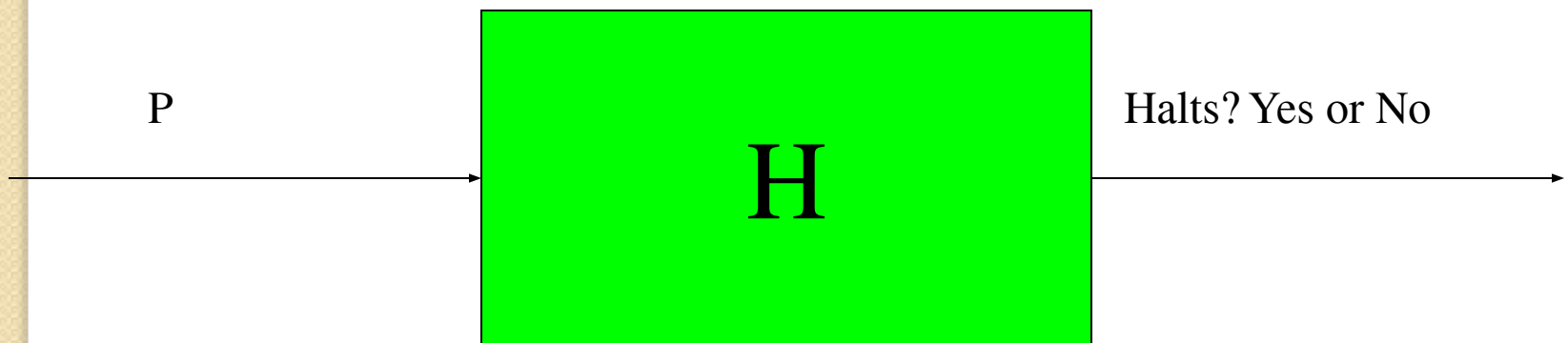
Clearly, if  $R$  decides  $HALT_{TM}$ , then  $S$  decides  $A_{TM}$ . Because  $A_{TM}$  is undecidable,  $HALT_{TM}$  also must be undecidable.

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# Halting Problem

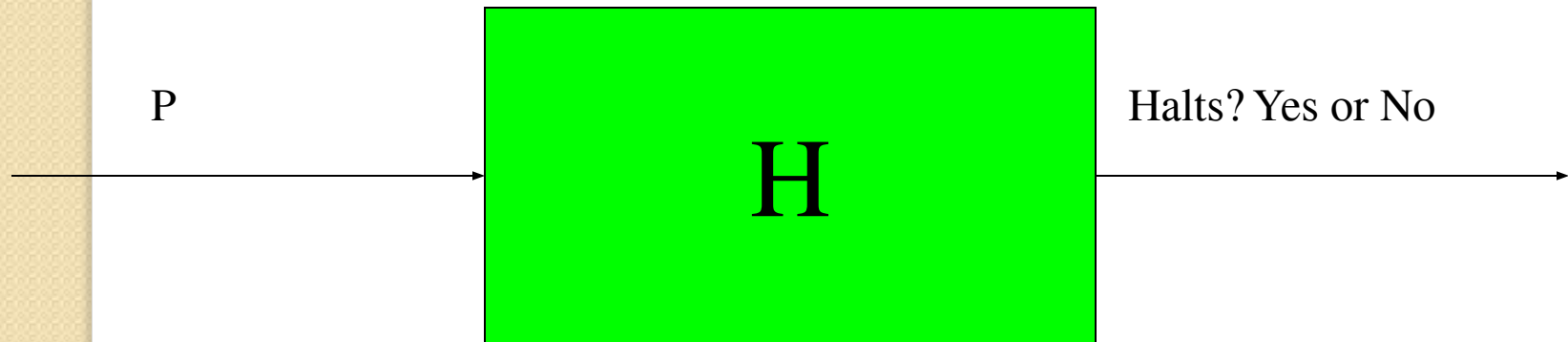
In other words, using recursion and paradox concepts

Program H will always halt and give the correct answer no matter what program has been given as input.



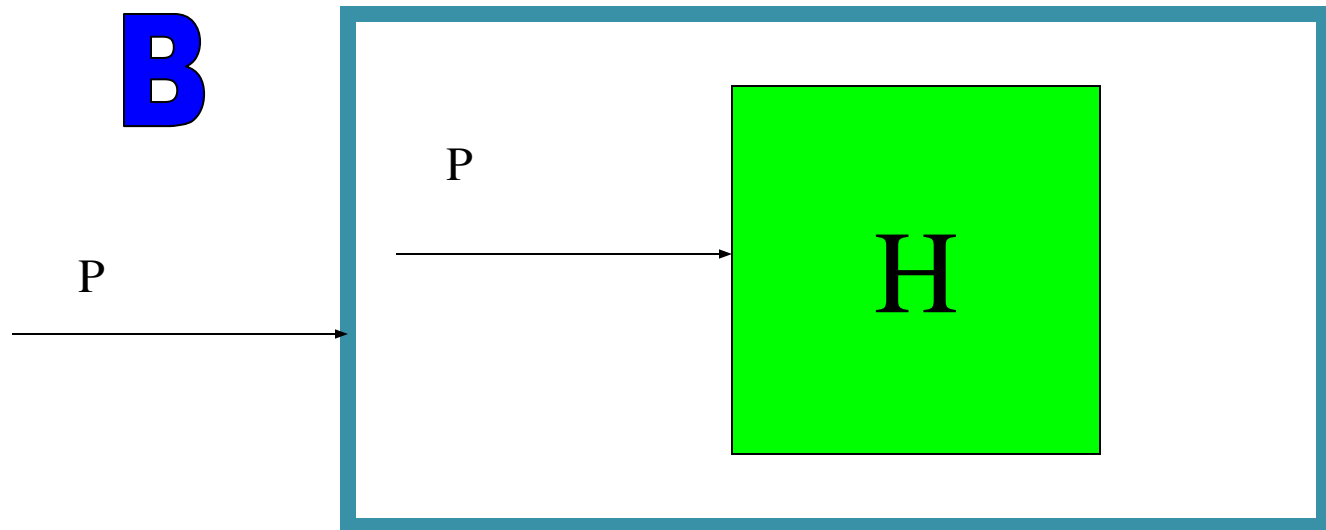
# Assume Halting Problem OK

- Let  $H$  be a program (or sub-program) that determines whether a program will halt.



# Let's Build Another Program

- Let B be a program that uses H.
- For any given program, B will call H and pass it the given program.

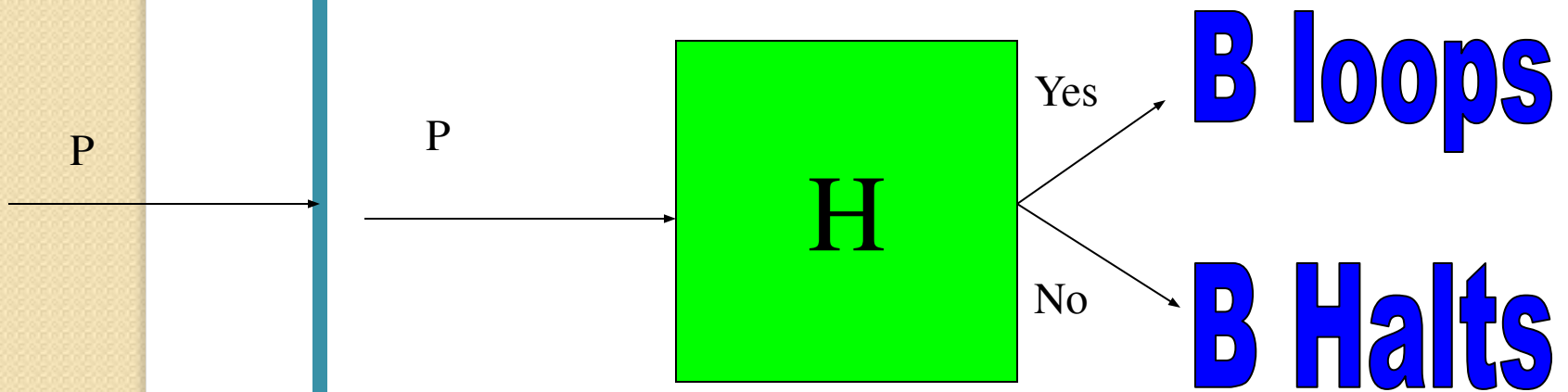


# What does P do?

- Now, B acts as follows:
  - B takes a program as input and feeds the program to H as input.
  - If H answer yes, then B will enter an infinite loop and run forever.
  - If H answer no, then B will stop.

# What does B do?

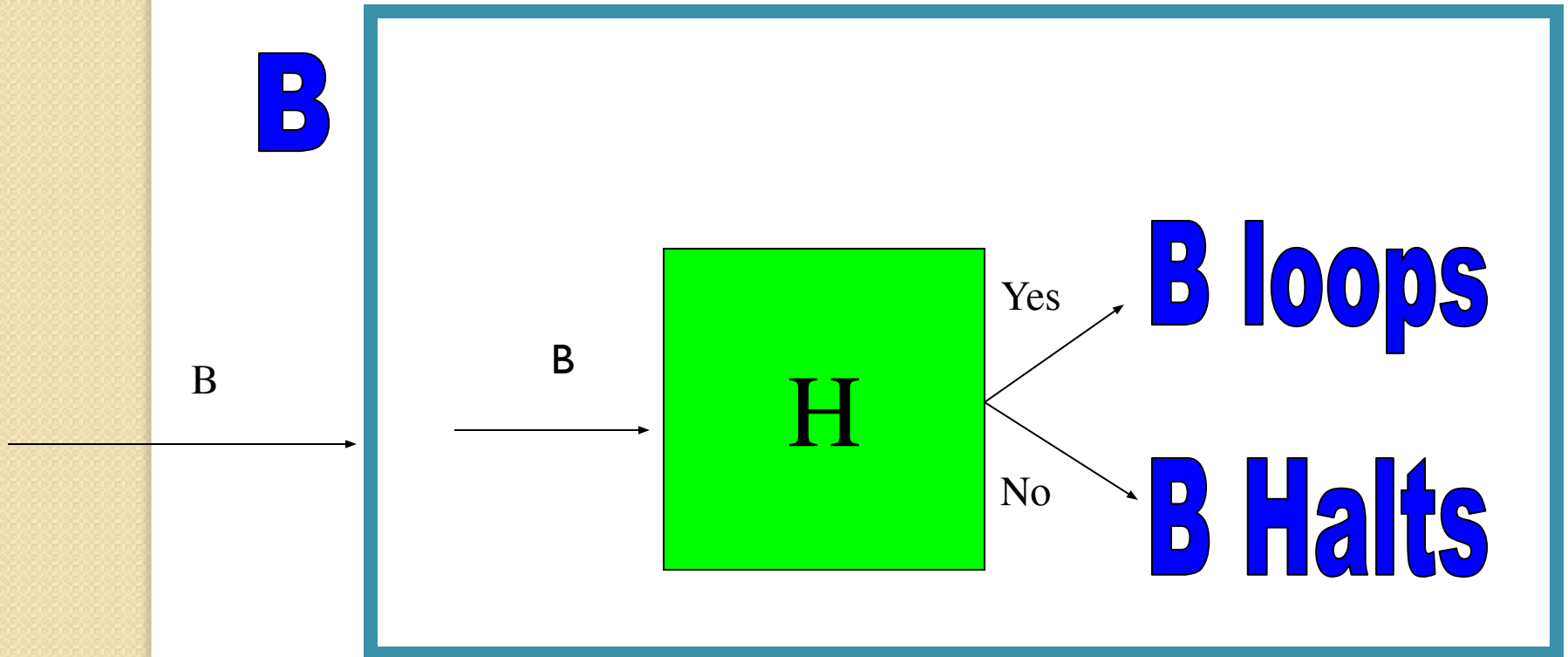
**B**



# What Can We Do With P?

- Let's give B a copy of **itself** as its input.

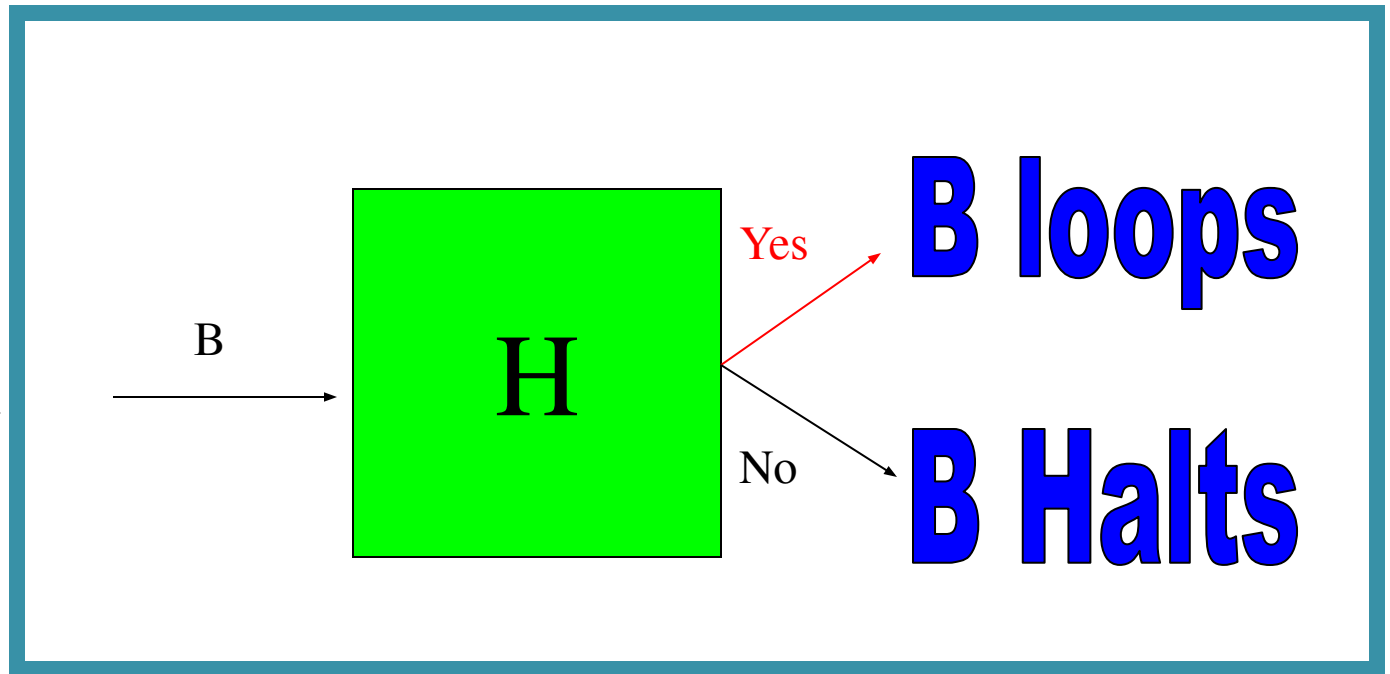
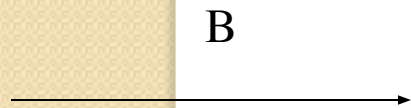
**B**





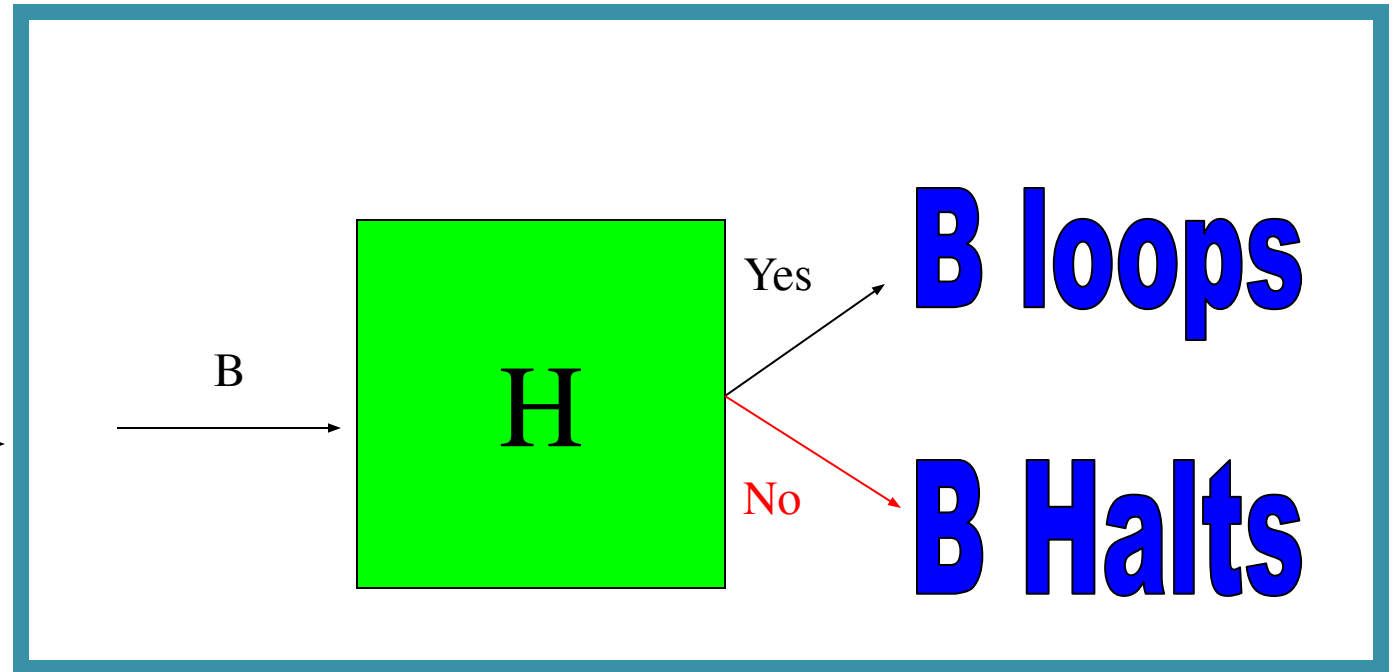
# What if B Halts?

**B**



# What if B Loops Forever?

**B**



# The Paradox

- If B is a program that **halts** when given itself as its input,  
then, when given itself as input,  
B will go into an infinite loop.
- If B is a program that **loops indefinitely** when given itself as its input,  
then , when given itself as input,  
B will halt immediately.

# So, Proof by Contradiction:

- We assumed that the Halting Problem **COULD** be computed.
- We developed another program that used the Halting Problem function.
- We found ourselves caught in a paradox (the contradiction).
- We proved that the Halting Problem is not computable.

# *COMPLEXITY THEORY*

**Studies what can and can't be computed under limited resources such as time, space, etc.**

- We measure time complexity by counting the elementary steps required for a machine to halt**

# MEASURING TIME COMPLEXITY

Consider the language  $A = \{ 0^k 1^k \mid k \geq 0 \}$

}  
 $2k$

1. Scan across the tape and reject if the string is not of the form  $0^m 1^n$

$2k^2$

2. Repeat the following if both 0s and 1s remain on the tape:  
Scan across the tape, crossing off a single 0 and a single 1

$2k$

3. If 0s remain after all 1s have been crossed off, or vice-versa, reject. Otherwise accept.

$O(k^2)$  is the order of  $A$ .

# COMPLEXITY THEORY

- The number of steps that an algorithm uses on a particular input may depend on several parameters.
- For instance, if the input is a graph, then the number of steps may depend on the number of nodes, the number of edges, *etc...*
- For simplicity, we compute the running time purely as a function of the length of the input string and don't consider any other parameters.

**Definition:**  $\text{TIME}(t(n))$  is the set of all languages that are decidable in  $O(t(n))$  time by a Turing Machine.

$$\{ 0^k 1^k \mid k \geq 0 \} \in \text{TIME}(n^2)$$



We can prove that a (single-tape) TM can't decide  $A$  faster than  $O(n \log n)$ .

$$A = \{ 0^k 1^k \mid k \geq 0 \}$$

$M_2 =$  "On input string  $w$ :

1. Scan across the tape and *reject* if a 0 is found to the right of a 1.
2. Repeat as long as some 0s and some 1s remain on the tape:
3. Scan across the tape, checking whether the total number of 0s and 1s remaining is even or odd. If it is odd, *reject*.
4. Scan again across the tape, crossing off every other 0 starting with the first 0, and then crossing off every other 1 starting with the first 1.
5. If no 0s and no 1s remain on the tape, *accept*. Otherwise, *reject*."

$A = \{ 0^k 1^k \mid k \geq 0 \} \in \text{TIME}(n \log n)$

Cross off every other 0 and every other 1. If the # of 0s and 1s left on the tape is odd, reject.

000000000000000011111111111111

x0x0x0x0x0x0xx1x1x1x1x1x1x

xxx0xxx0xxx0xxxx1xxx1xxx1x

xxxxxxxx0xxxxxxxxxxxxxxxx1xxxxx

xxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxx

**Can  $A = \{ 0^k 1^k \mid k \geq 0 \}$  be decided in time  $O(n)$  with a two-tape TM?**

- **Scan all 0s and copy them to the second tape.**
- **Scan all 1s, crossing off a 0 from the second tape for each 1.**

**Different models of computation yield different running times for the same language!**

# Complexity Relationships Among Models

Does the computational model affects the time complexity of languages?????

**Theorem:**

Let  $t(n)$  be a function such that  $t(n) \geq n$ .

Then every  $t(n)$ -time multi-tape TM has an equivalent  $O(t^2(n))$  single-tape TM.

**Definition:** P is the class of languages that are decidable in polynomial time on a deterministic single-tape Turing machine. In other words,

$$P = \bigcup_{k \in \mathbb{N}} \text{TIME}(n^k)$$

# The class P

- P is the class of languages that are decidable in polynomial time on a deterministic, single tape TM
- Problems in class P
  - PATH:  $\{ \langle G, s, t \rangle \mid G \text{ is a directed graph, find a directed path from } s \text{ to } t \}$
  - RELPRIME:  $\{ \langle x, y \rangle \mid x \text{ and } y \text{ are relatively prime} \}$ 
    - Euclidean algorithm
- Every context-free language is in P  $\square O(n^3)$ 
  - See page 262

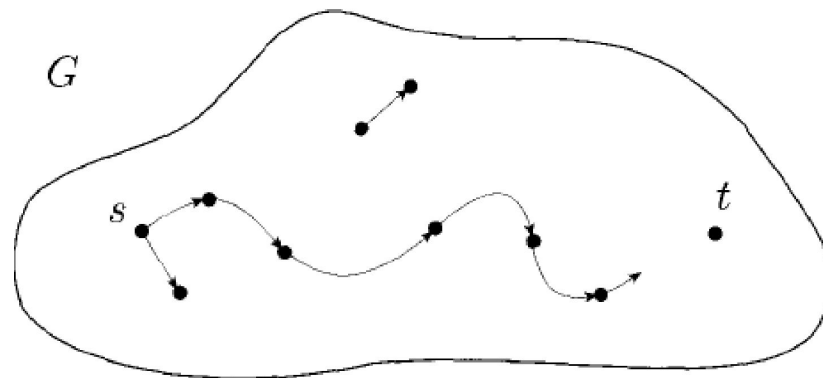
# The PATH problem $O(n) \in \text{Class P}$

$PATH = \{ \langle G, s, t \rangle \mid G \text{ is a directed graph that has a directed path from } s \text{ to } t \}$ .

**PROOF** A polynomial time algorithm  $M$  for  $PATH$  operates as follows.

$M =$  “On input  $\langle G, s, t \rangle$  where  $G$  is a directed graph with nodes  $s$  and  $t$ :

1. Place a mark on node  $s$ .
2. Repeat the following until no additional nodes are marked:
3.     Scan all the edges of  $G$ . If an edge  $(a, b)$  is found going from a marked node  $a$  to an unmarked node  $b$ , mark node  $b$ .
4. If  $t$  is marked, *accept*. Otherwise, *reject*.”



# Relative Prime “RELPRIME” problem $O(n) \in P$

RELPRIME:  $\{\langle x, y \rangle \mid x \text{ and } y \text{ are relatively prime}\}$

**PROOF** The Euclidean algorithm  $E$  is as follows.

$E =$  “On input  $\langle x, y \rangle$ , where  $x$  and  $y$  are natural numbers in binary:

1. Repeat until  $y = 0$ :
2.     Assign  $x \leftarrow x \bmod y$ .
3.     Exchange  $x$  and  $y$ .
4.     Output  $x$ .”

Algorithm  $R$  solves *RELPRIME*, using  $E$  as a subroutine.

$R =$  “On input  $\langle x, y \rangle$ , where  $x$  and  $y$  are natural numbers in binary:

1. Run  $E$  on  $\langle x, y \rangle$ .
2. If the result is 1, *accept*. Otherwise, *reject*.”

- Example: 10 and 21 are RELPRIME, however 10 and 22 are not.
- Note that 10 and 21 are both not prime numbers.

# Nondeterministic single-tape TM

## Theorem:

Let  $t(n)$  be a function, where  $t(n) \geq n$ . Then every  $t(n)$  time nondeterministic single-tape Turing machine has an equivalent  $2^{O(t(n))}$  time deterministic single-tape Turing machine.

**Definition:**  $\text{NTIME}(t(n))$  is the set of languages decided by a  $O(t(n))$ -time non-deterministic Turing machine.

$$\text{TIME}(t(n)) \subseteq \text{NTIME}(t(n))$$



# Non-deterministic polynomial time

- *Deterministic Polynomial Time*: The TM takes at most  $O(n^c)$  steps to decide a string of length  $n$ .
- *Non-deterministic Polynomial Time*: The TM takes at most  $O(n^c)$  steps on *each computation path* to decide a string of length  $n$

# The Class P and the Class NP

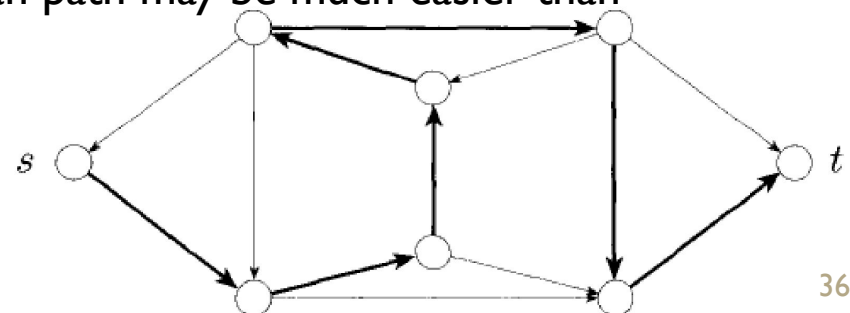
- $P = \{ L \mid L \text{ is decidable by a deterministic single tape Turing Machine in polynomial time} \}$
- $NP = \{ L \mid L \text{ is decidable by a non-deterministic single tape Turing Machine in polynomial time} \}$
- They are sets of languages

# The class NP

- NP is the class of languages that are decidable in polynomial time on a nondeterministic single tape TM.
  - Problems in class NP
    - HAMPATH:  $\{ \langle G, s, t \rangle \mid G \text{ is a directed graph, with Hamilton path from } s \text{ to } t \}$  (a path that passes through every vertex of a graph exactly once)
    - The problem of COMPOSITES =  $\{x \mid x = pq, \text{ for integers } p, q > 1\}$
    - The CLIQUE =  $\{ \langle G, k \rangle \mid G \text{ is a graph with a clique of size } k \}$
  - These problems are decidable on a deterministic single tape TM in exponential time

# The class NP

- A *Hamiltonian path* in a directed graph  $G$  is a directed path that goes through each node exactly once. We consider the problem of testing whether a directed graph contains a Hamiltonian path connecting two specified nodes, as shown in the following figure.
  - HAMPATH:  $\{ \langle G, s, t \rangle \mid G \text{ is a directed graph with a Hamiltonian path from } s \text{ to } t \}$ .
  - We can easily obtain an exponential time algorithm for the HAMPATH problem by modifying the **brute-force** algorithm for PATH problem. a checker to verify that the potential path is Hamiltonian will be added to the PATH. No one knows whether HAMPATH is solvable in polynomial time.
- The *HAMPATH* problem does have a feature called *polynomial verifiability* that is important for understanding its complexity.
- *verifying* the existence of a Hamiltonian path may be much easier than *determining* its existence.



# HAMPATH using (NTM)

- The following is a nondeterministic Turing machine (NTM) that decides the *HAMPATH* problem in nondeterministic polynomial time. Recall that, we defined the time of a nondeterministic machine to be the time by the longest computation branch.
- *NI = "On input  $(G, s, t)$ , where  $G$  is a directed graph with nodes  $s$  and  $t$ :*
  1. *Write a list of  $m$  numbers,  $p_1, \dots, p_m$ , where  $m$  is the number of nodes in  $G$ . Each number in the list is non-deterministically selected to be between 1 and  $m$ .*
  2. *Check for repetitions in the list. If any are found, reject.*
  3. *Check whether  $s = p_1$  and  $t = p_m$ . If either fail, reject.*
  4. *For each  $i$  between 1 and  $m - 1$ , check whether  $(p_i, p_{i+1})$  is an edge of  $G$ . If any are not, reject. Otherwise, all tests have been passed, so accept."*

# The class NP

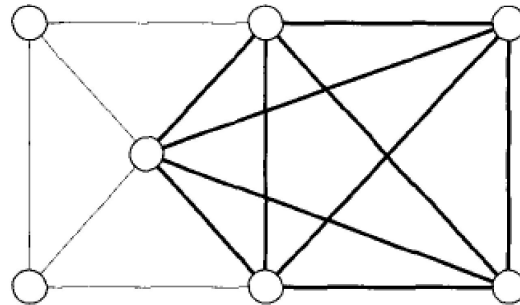
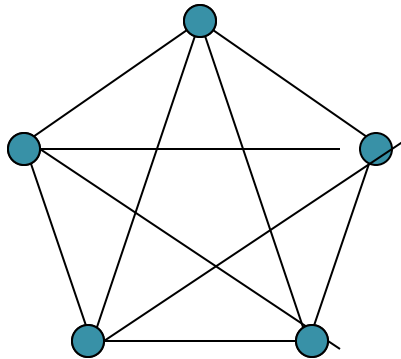
- Another polynomially verifiable problem is compositeness.
- A natural number is **composite** if it is the product of two integers greater than 1.
  - $\text{COMPOSITES} = \{x \mid x = pq, \text{ for integers } p, q > 1\}$
- We can easily verify that a number is composite, all that is needed is a divisor of that number. Recently, a polynomial time algorithm for testing whether a number is prime or composite was discovered, but it is considerably more complicated than the preceding method for verifying compositeness.
- Try to find that polynomial algorithm????

# Verifier

- A verifier of a language,  $A$ , is an algorithm,  $V$ , such that
    - $A = \{ w \mid V \text{ accepts } \langle w, c \rangle \text{ for some string } c \}$   
where  $c$  is a certificate (additional information that provides proof),  $|c|$  is polynomial in terms of  $|w|$ .
  - For the *HAMPATH* problem, a certificate for a string  $(G, s, t) \in \text{HAMPATH}$  simply is the Hamiltonian path from  $s$  to  $t$ .
  - For the *COMPOSITES* problem, a certificate for the composite number  $x$  simply is one of its divisors.
  - In both cases the verifier can check in polynomial time that the input is in the language when it is given the certificate.
- ***NP is the class of languages that have polynomial time (in terms of the length of  $w$ ) verifiers.***

# NP problem: Clique

- $\text{CLIQUE} = \{ \langle G, k \rangle \mid G \text{ is a graph with a clique of size } k \}$
- A clique is a subset of vertices that are all connected
- Why is CLIQUE in NP?



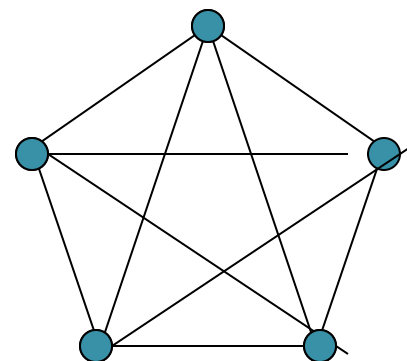


# NP problem: Clique

**PROOF** The following is a verifier  $V$  for *CLIQUE*.

$V =$  “On input  $\langle\langle G, k \rangle, c\rangle$ :

1. Test whether  $c$  is a set of  $k$  nodes in  $G$
2. Test whether  $G$  contains all edges connecting nodes in  $c$ .
3. If both pass, *accept*; otherwise, *reject*.”



**ALTERNATIVE PROOF** If you prefer to think of NP in terms of nondeterministic polynomial time Turing machines, you may prove this theorem by giving one that decides *CLIQUE*. Observe the similarity between the two proofs.

$N =$  “On input  $\langle G, k \rangle$ , where  $G$  is a graph:

1. Nondeterministically select a subset  $c$  of  $k$  nodes of  $G$ .
2. Test whether  $G$  contains all edges connecting nodes in  $c$ .
3. If yes, *accept*; otherwise, *reject*.”

# Co-NP class

- Some problems are not polynomial verifiable, as the HAMPATH, CLIQUE problems. *Till now we do not know a verifier in a polynomial time for the direct nonexistence, however we have an exponential time algorithm for making the determination of the existence.*
- **The class co-NP:** class of problems whose complement is in the class NP.
- HAMPATH and CLIQUE  $\notin$  NP class.

# **Non**-Robustness of TM Complexity

- Computability: all variations on TMs have the same computing power
  - If there is a multi-tape TM that can decide  $L$ , there is a regular TM that can decide  $L$ .
  - If there is a nondeterministic TM that can decide  $L$ , there is a deterministic TM that can decide  $L$ .
- Complexity: variations on TM can solve problems in different times
  - Is a multi-tape TM *faster* than a regular TM?
  - Is a nondeterministic TM *faster* than a regular TM?

# Multi-Tape vs. One-Tape TM

Are there problems that are in **TIME**( $t(n)$ ) for a multi-tape TM, but not in **TIME**( $t(n)$ ) for a one-tape TM?

*Is Class P is sensitive to the TM computational model????*



Class P is robust .

# P and NP classes

- *Are there problems that are in  $TIME(t(n))$  for a DTM, but not in  $NTIME(t(n))$  for the NTM? No.*
- *Are there problems that are in  $NTIME(t(n))$  for a NTM, but not in  $TIME(t(n))$  for the DTM? Yes.*
- *Is Class NP is sensitive to the TM computational model????*



Class NP is robust .

# P vs. NP QUESTIONS

- $P \subseteq NP$ 
  - unknown if the classes are unequal
- If  $P = NP$ , then all problems in NP can be solved in polynomial time, if we are clever enough to find the right algorithm
- $P$  = the class of languages for which membership can be *decided* quickly “in a polynomial time”.
- $NP$  = the class of languages for which membership can be *verified* quickly “in a polynomial time”..

**Thanks for listining**