

# Lecture 3: Genetic Algorithms Examples

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# Implementing Randomization in GAs

# Canonical Genetic Algorithm

```
Canonical_Genetic_Algorithm()  
{  
    Initialize the Population =  $G_0$ ; // population size is constant ...  
    For(i=1 to Max_Generations) // Continue Evolution ...  
    {  
        Evaluate Fitness of Individuals of  $G_{i-1}$ ; // By Objective Function ...  
  
        Select Parents for Reproduction; // Roulette Wheel?  
  
        Crossover; // According to probability of crossover...  
  
        Mutation; // According to probability of mutation  
  
        Replacement; // Replacing old generation  $G_{i-1}$  with new generation  $G_i$   
    }  
}
```

# Crossover Probabilities

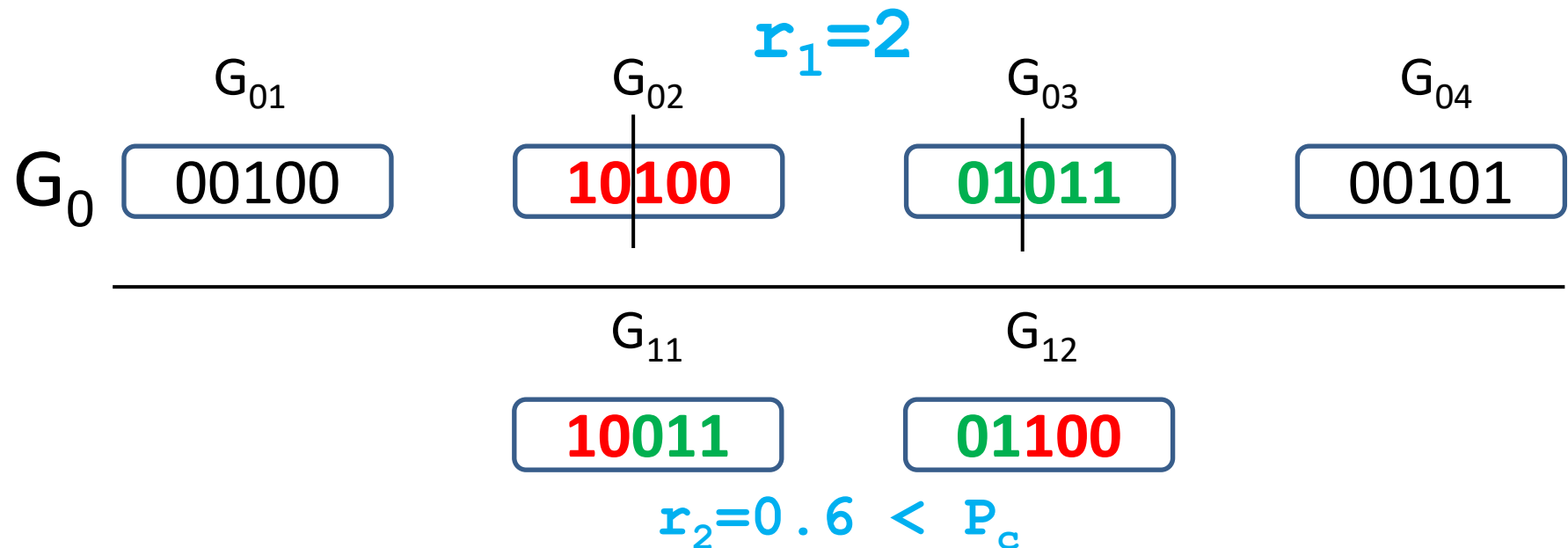
- ① •  $P_c$  fixed parameter in GA [0.4 → 0.7]
  - Probability that crossover will occur between two selected chromosomes
- Generate random number  $r_1 \in [1, L-1]$ 
  - Where  $L$  = length of chromosome
- ② • Crossover point =  $r_1$ 
  - Crossover will occur between two individuals after  $r_1$  genes
- Generate random number  $r_2 \in [0, 1]$ 
  - if  $r_2 \leq P_c$  then perform crossover
  - else no crossover occur ...
    - Offspring1 = Parent1
    - Offspring2 = Parent2
- Can steps of generating  $r_1$  and  $r_2$  be swapped?



②      ③

# Crossover Probabilities

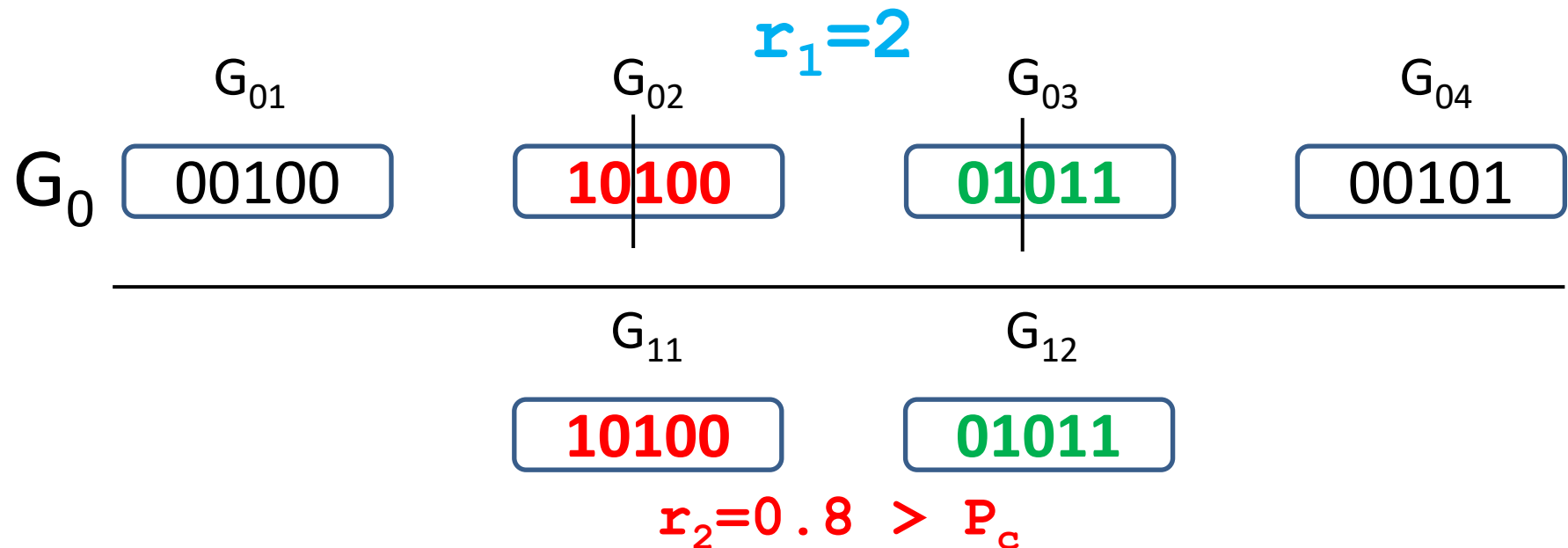
- Assume  $P_c = 0.7$



- Single point crossover after 2 bits (Genes)

# Crossover Probabilities

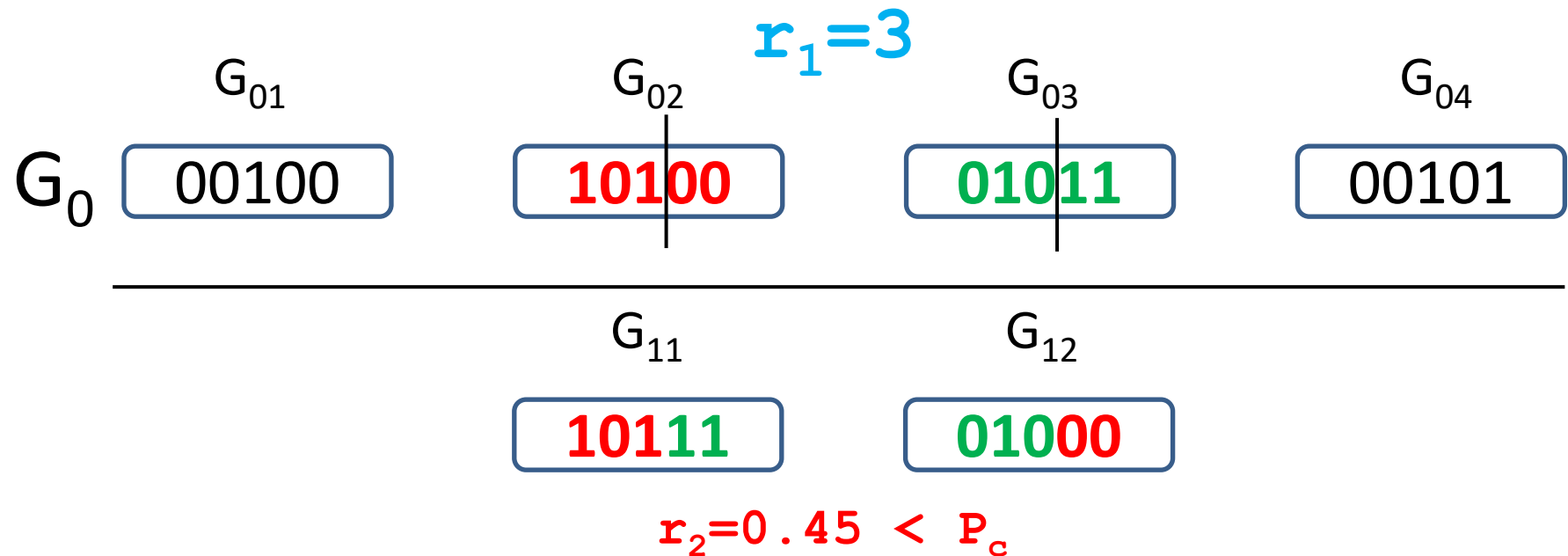
- Assume  $P_c = 0.7$



- Single point crossover after 2 bits (Genes)
- No Crossover occurs

# Crossover Probabilities

- Assume  $P_c = 0.7$



- Single point crossover after 3 bits

# Mutation Probabilities

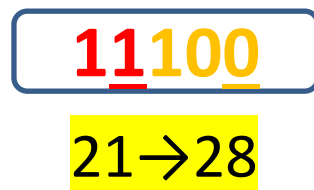
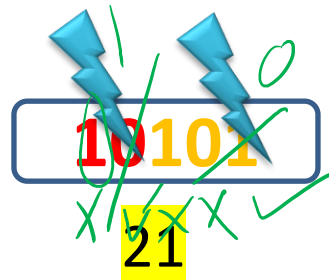
- $P_m$  fixed parameter in GA  $[0.001 \rightarrow 0.1]$ 
  - Probability that mutation will occur for a gene/bit in some chromosomes
- Chromosome = bits[1...L]  
for(i=1 to L)  
{  
    Generate Random number  $r_i \in [0, 1]$   
    if( $r_i \leq P_m$ )  
        flip bit[i]  
    elseif( $r_i > pm$ )  
        no change to bit[i]  
}



# Mutation Probabilities

- $P_m = 0.1$

$r_i$	Value
$r_1$	0.2
$r_2$	0.01
$r_3$	0.5
$r_4$	0.11
$r_5$	0.1



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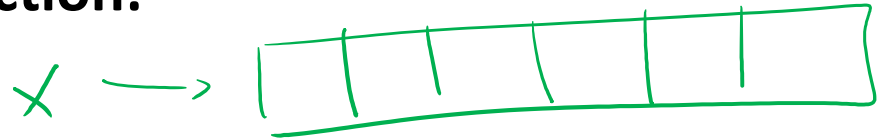
Mutation

# Example: $f(x) = x^2$

- Find the maximum of a function:

- $f(x) = x^2$

- Range  $[0, 63]$



# Example : $f(x) = x^2$

- Finding the maximum of a function:
  - $f(x) = x^2$
  - Range [0, 63]
  - Binary representation: string length 6  $\rightarrow$  64 numbers (0-63)

genotype	0	0	0	1	0	1
<hr/>						
mapping	...	$2^2$	$2^1$	$2^0$		
	...	4	2	1		
<hr/>						
phenotype	...				$1*4+0*2+1*1 = 5$	
<hr/>						
fitness	25				$= f(x)$	

$$f(x) = x^2$$

# Initial Random Population

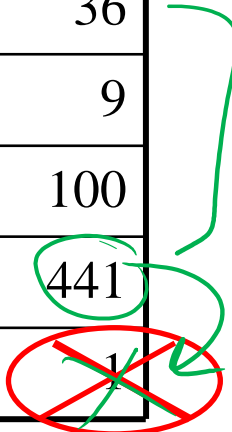
pop. size = 5

	binary	value $x$	fitness $x^2$
String 1	000110 ✓	6	36
String 2	000011 ✓	3	9
String 3	001010 ✓	10	100
String 4	010101 ✓	21	441
String 5	000001 ✓	1	1

$$f(x) = x^2$$

# Selection

	binary	value	fitness
String 1	000110	6	36
String 2	000011	3	9
String 3	001010	10	100
String 4	010101	21	441
String 5	000001	1	<del>1</del>



- Worst one can be removed

$$f(x) = x^2$$

# Selection

	binary	value	fitness
String 1	000110	6	36
String 2	000011	3	9
String 3	001010	10	100
String 4	010101	21	441
String 5	000001	1	1

- Best individual: can be reproduced twice → keep population size constant

$$f(x) = x^2$$

# Selection

	binary	value	fitness
String 1	000110	6	36
String 2	000011	3	9
String 3	001010	10	100
String 4	010101	21	441
String 5	000001	1	<del>1</del>

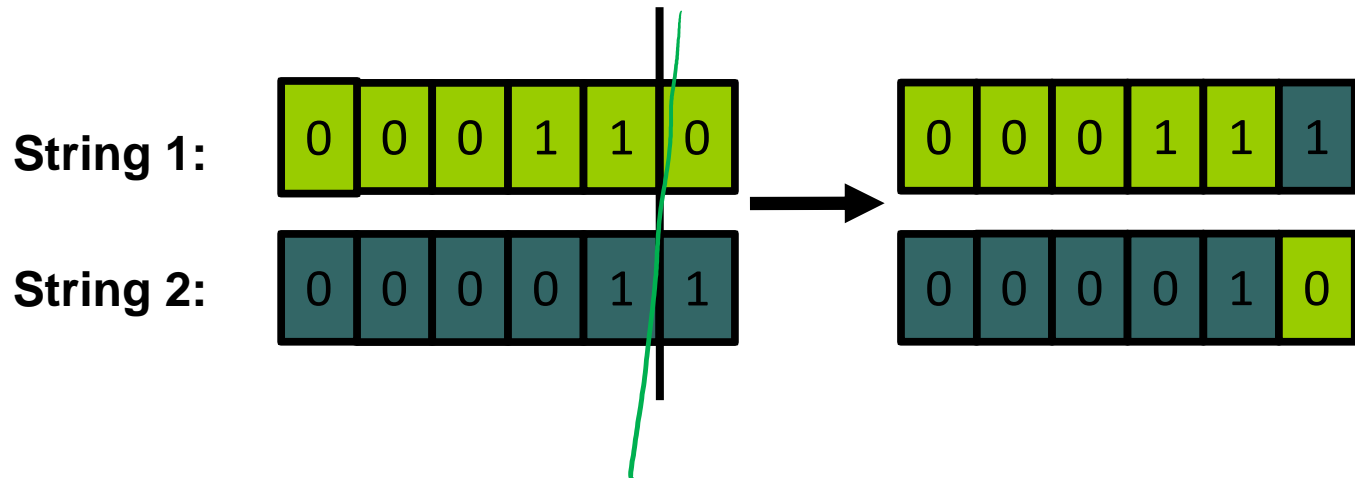
- All others are reproduced once

$$f(x) = x^2$$

## Recombination

- Parents and x-position randomly selected

	partner	x-position
<b>String 1</b>	<b>String 2</b>	5 $r_1$
String 3	String 4	3 $r_2$





$$f(x) = x^2$$

# Recombination

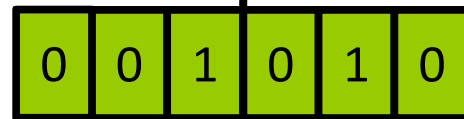
- Parents and x-position randomly selected

$r_2$

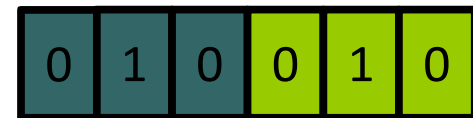
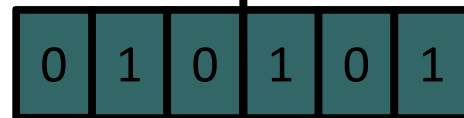
$r_2$

	partner	x-position
String 1	String 2	5
<b>String 3</b>	<b>String 4</b>	<b>3</b>

String 3:

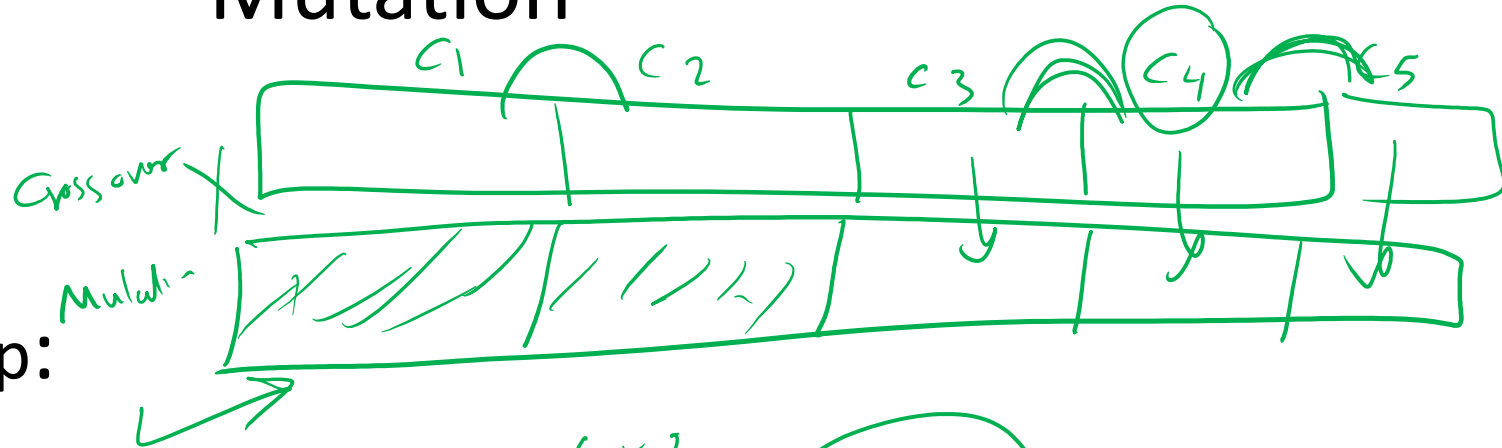


String 4:



$$f(x) = x^2$$

## Mutation



- bit-flip:

– Offspring-String 1:

000111 (7) → 010111 (23)

– String 5:

010101 (21) → 010001 (17)

$$f(x) = x^2$$

Old Generation

	binary	value	fitness
String 1	000110	6	36
String 2	000011	3	9
String 3	001010	10	100
String 4	010101	21	441
String 5	000001	1	1

$$f(x) = x^2$$

## New Generation

$$63 \times 63$$

- All individuals in the parent population are replaced by offspring in the new generation
  - (generations are **discrete**!)
- **New population (Offspring):**

Full  
① Max fitness  
② Average "

	binary	value	fitness
String 1	010111	23	529
String 2	000010	2	4
String 3	001101	13	169
String 4	010010	18	324
String 5	010001	17	289

$$f(x) = x^2$$

# When to stop?

- Iterate until termination condition reached, e.g.:
  - ① – Best fitness  $< \text{optimal solution Accuracy} > 95\%$
  - ② – Number of generations  $\text{max}$
  - ③ – No New Chromosomes
  - ④ – No improvements after a number of generations

- Result after one generation:
  - Best individual: 010111 (23) – fitness 529

# Drilling for Oil Example

- Imagine you had to drill for oil somewhere along a single 1km desert road
- Problem: choose the best place on the road that produces the most oil per day
- We could represent each solution as a position on the road
- Say, a whole number between [0..1000]



# Where to drill for oil?

Solution1 = 300

Solution2 = 900

Road

0

500

1000



# Digging for Oil

- The set of all possible solutions  $[0..1000]$  is called the *search space* or *state space*
- Often GA's code numbers in binary producing a bitstring representing a solution
- In our example we choose 10 bits which is enough to represent  $0..1000$



# Convert to binary string

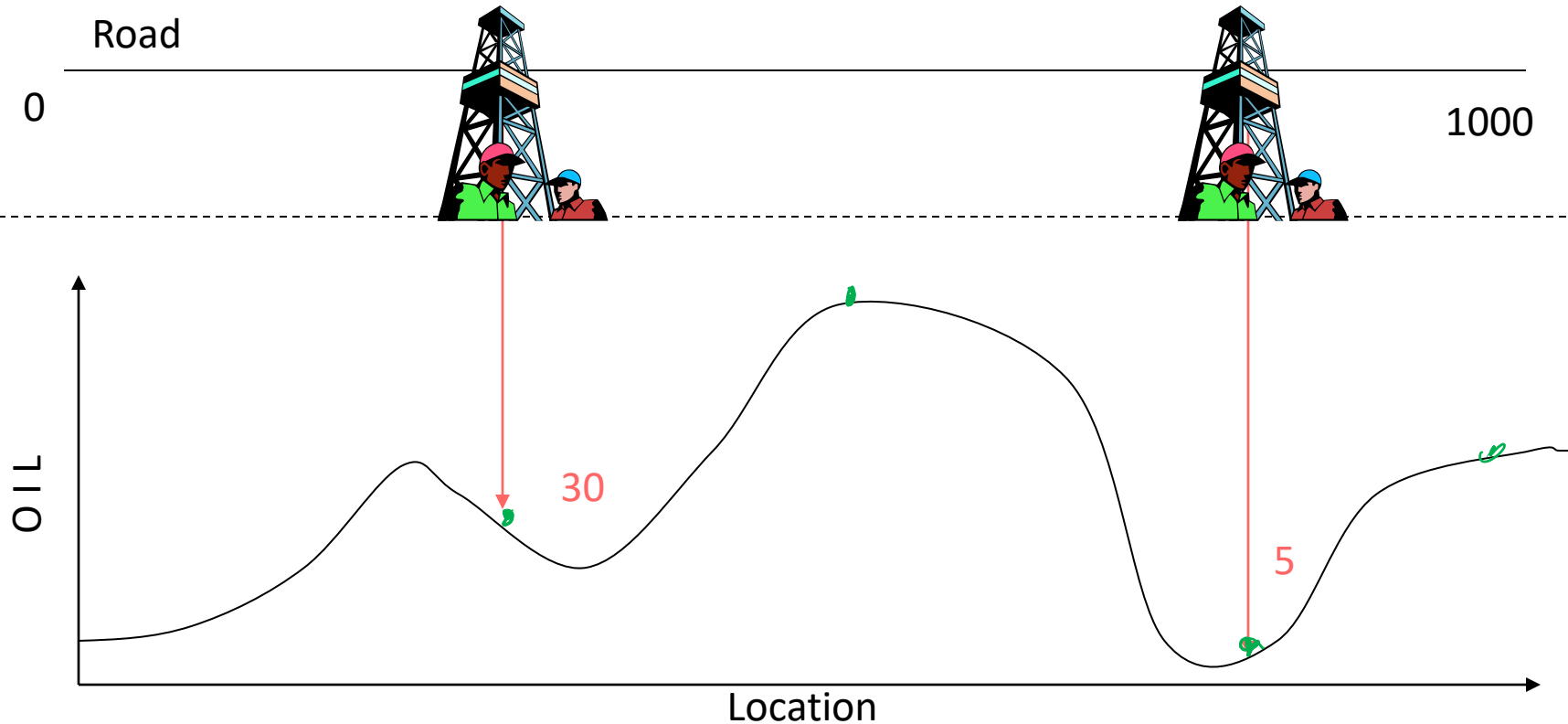
0-1000  
0-1023 10 bills

[illegible]

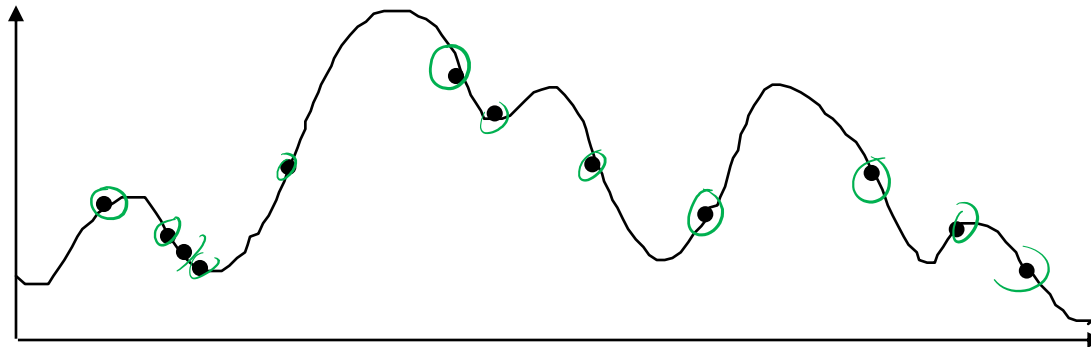
# The objective function

Solution1 = 300 (0100101100)

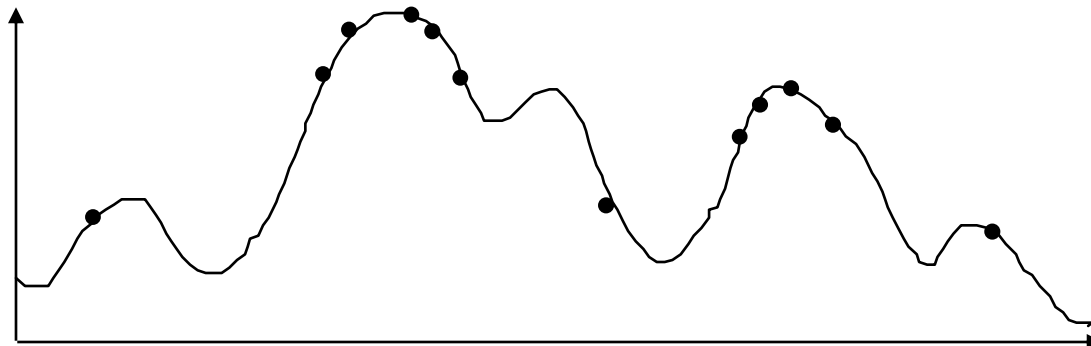
Solution2 = 900 (1110000100)



# Individuals on Curve



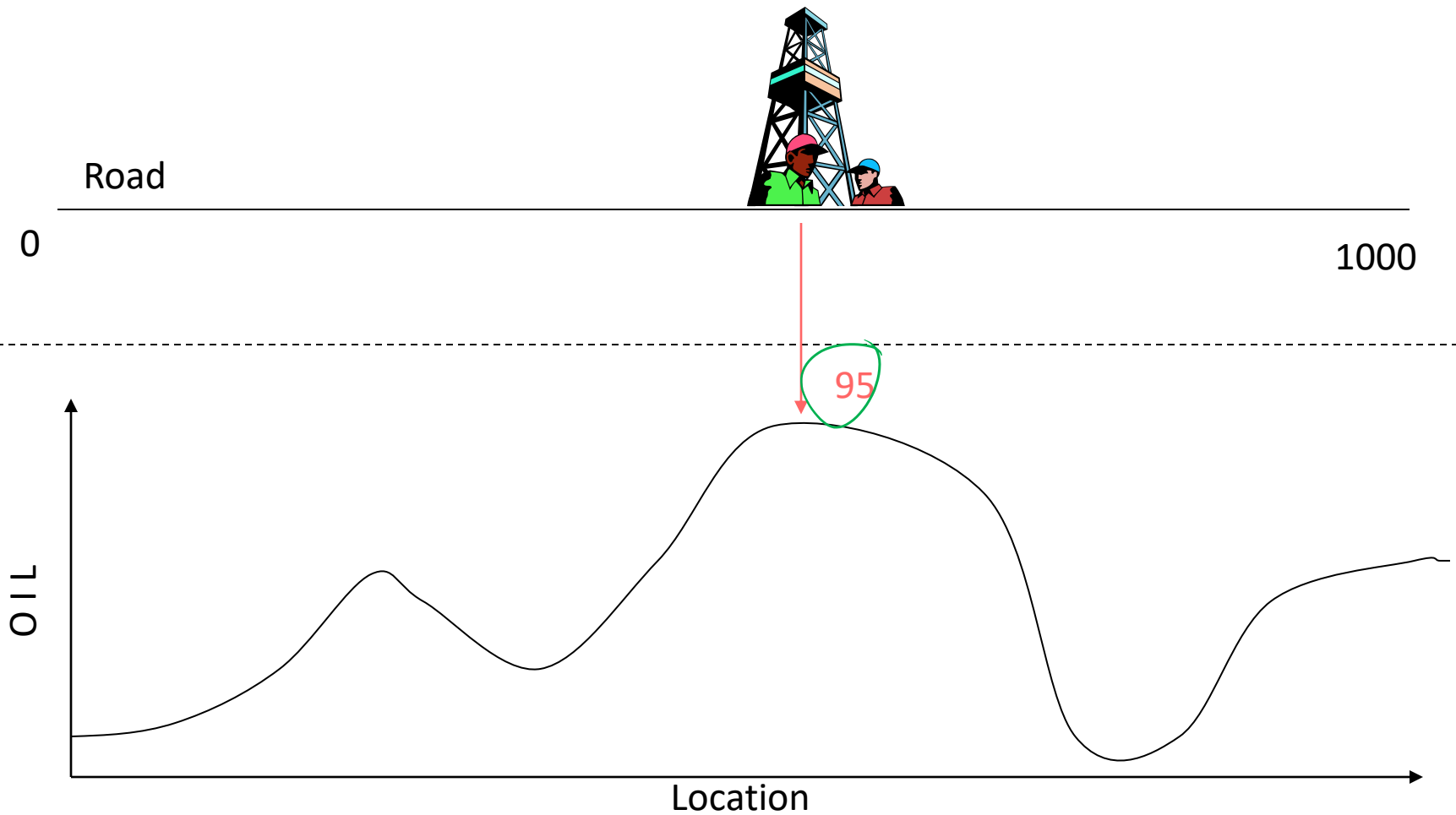
Distribution of Individuals in Generation 0



Distribution of Individuals in Generation N

# Optimal Solution

Solution? = 600  
(1001011000)



# Summary

- Represent possible solutions as a number
- Encoded a number into a binary string
- Ensure that all genotypes correspond to feasible solutions
- Generate a score for each number given a *function* of “how good” each solution is
- Our oil example is really optimisation over a function  $f(x)$  where we adapt the parameter  $x$