

# BINARY GENETIC ALGORITHM EXAMPLE

Soft Computing



# PROBLEM

Find the minimum value of this function

$$f(x) = x^2 - 4x + 10$$

How to solve it using Genetic Algorithm (**GA**)?

# GIVENS & REQUIREMENTS

## Givens:

- $x \in [0,127]$
- Population size ( $pS$ ) = 6
- Probability of Crossover ( $P_c$ ) = 0.7
- Probability of Mutation ( $P_m$ ) = 0.02
- Number of selected Chromosomes ( $N$ ) = 4

## Requirements:

- Use Rank Selection
- Use 2-point Crossover
- Use Elitist Replacement

## Objective:

- Minimize the value of the function
- $\min. f(x), \text{ where } f(x) = x^2 - 4x + 10$

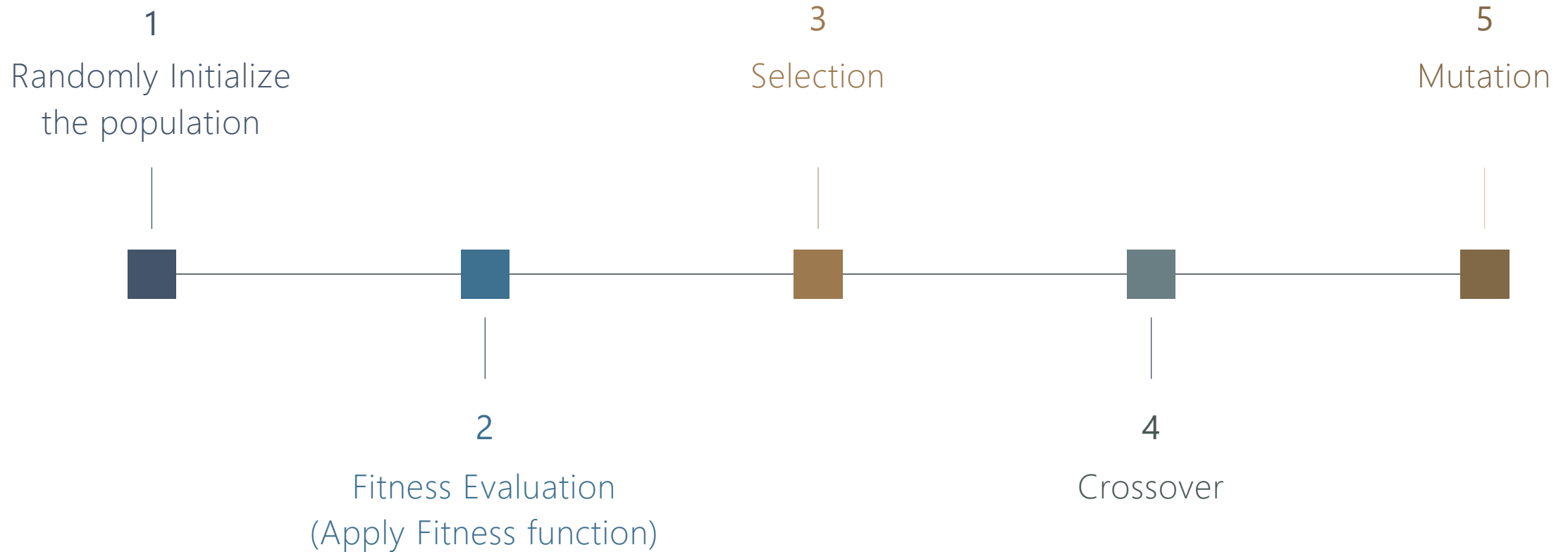
# SOLUTION STEPS

→ You must decide the representation of the Chromosomes first and determine the problem objective

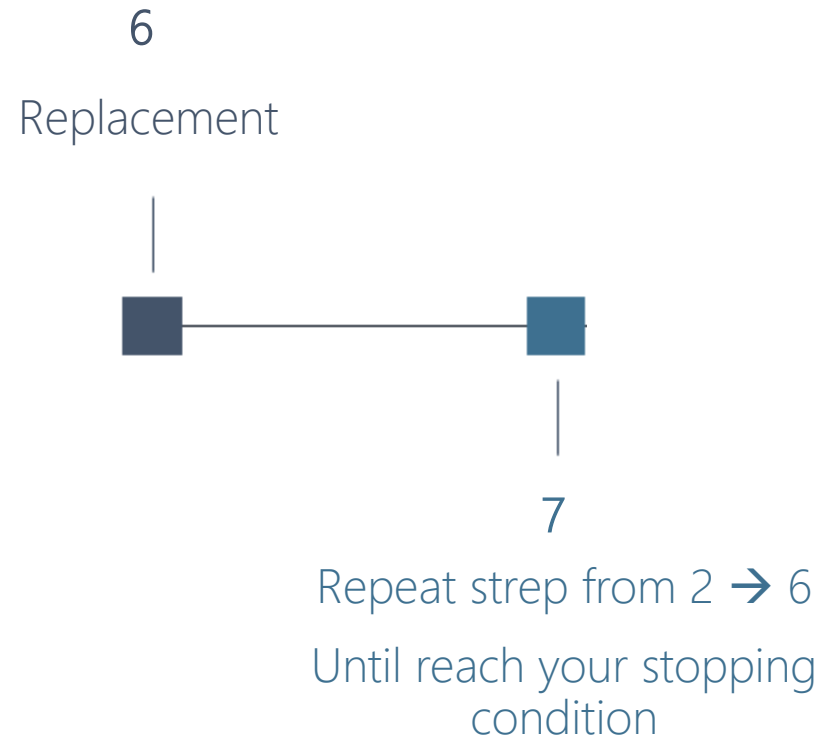
Initial steps to solve any problem with GA:

1. Find the representation/encoding of the chromosomes
2. Decide the Objective & Fitness Function
3. Apply GA

# SOLUTION STEPS



# SOLUTION STEPS



# SOLUTION

## 1. Solution Representation/encoding:

Choose Binary representation of the  $x$  value

→ Each chromosome represented as an array of binary bits, with size = 7

Chromosome:

1	0	..	...	...	1	1
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## 2. Find Objective:

→ Minimize the function value. (*already specified in the problem*)

## SOLUTION (3. APPLY GA)

1. Randomly Initialize the population:

Population size = 6 → generate 6 random chromosomes:

C1: 

0	0	0	0	1	1	1
---	---	---	---	---	---	---

C4: 

1	0	0	0	0	0	0
---	---	---	---	---	---	---

C2: 

0	0	1	1	0	0	0
---	---	---	---	---	---	---

C5: 

1	0	0	0	1	1	1
---	---	---	---	---	---	---

C3: 

0	1	1	0	0	1	1
---	---	---	---	---	---	---

C6: 

0	0	1	1	0	1	1
---	---	---	---	---	---	---



## SOLUTION (3. APPLY GA)

### 2. Fitness Evaluation: $f(x) = x^2 - 4x + 10$

C1: 

0	0	0	0	1	1	1
---	---	---	---	---	---	---

Phenotype:  $x = 7$

Fitness:  $7^2 - 4 * 7 + 10 = 31$

C2: 

0	0	1	1	0	0	0
---	---	---	---	---	---	---

Phenotype:  $x = 24$

Fitness:  $24^2 - 4 * 24 + 10 = 490$

C3: 

0	1	1	0	0	1	1
---	---	---	---	---	---	---

Phenotype:  $x = 51$

Fitness:  $51^2 - 4 * 51 + 10 = 2407$

C4: 

1	0	0	0	0	0	0
---	---	---	---	---	---	---

Phenotype:  $x = 64$

Fitness:  $64^2 - 4 * 64 + 10 = 3850$

C5: 

1	0	0	0	1	1	1
---	---	---	---	---	---	---

Phenotype:  $x = 71$

Fitness:  $71^2 - 4 * 71 + 10 = 4767$

C6: 

0	0	1	1	0	1	1
---	---	---	---	---	---	---

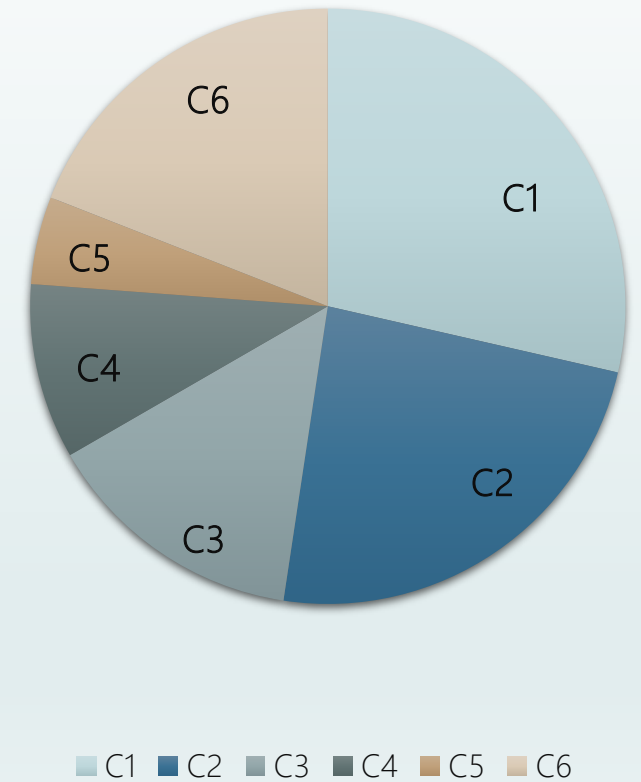
Phenotype:  $x = 27$

Fitness:  $27^2 - 4 * 27 + 10 = 631$

# SOLUTION (3. APPLY GA)

## 3. Selection (*Rank Selection*):

	Fitness	Rank	Normalized	Cumulative
C1	31	6	$\frac{6}{21}$	$\frac{6}{21} = 0.285$
C2	490	5	$\frac{5}{21}$	$\frac{11}{21} = 0.523$
C3	2407	3	$\frac{3}{21}$	$\frac{14}{21} = 0.666$
C4	3850	2	$\frac{2}{21}$	$\frac{16}{21} = 0.761$
C5	4767	1	$\frac{1}{21}$	$\frac{17}{21} = 0.809$
C6	631	4	$\frac{4}{21}$	1

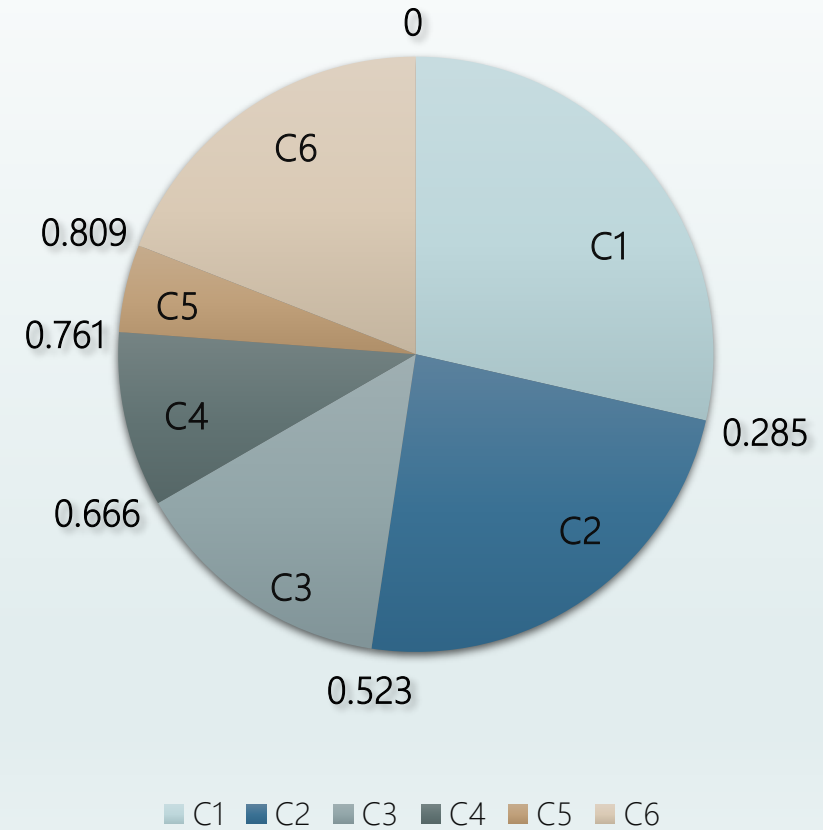


## SOLUTION (3. APPLY GA)

### 3. Selection (Rank Selection):

Generate  $N$  Random Numbers ( $r$ ), where  $r \in [0,1]$

$r$	Selected Chromosome
0.1	C1
0.5	C2
0.8	C5
0.23	C1



## SOLUTION (3. APPLY GA)

### 4. Crossover (2-point crossover): $P_c = 0.7$

Apply crossover on C1 & C2

- $r = 0.2 \rightarrow$  apply crossover
- Generate 2 random numbers  $\in [1, 6]$

$$x_1 = 1, x_2 = 4$$

C1: 

0	0	0	0	1	1	1
---	---	---	---	---	---	---

C2: 

0	0	1	1	0	0	0
---	---	---	---	---	---	---

O1: 

0	0	1	1	1	1	1
---	---	---	---	---	---	---

O2: 

0	0	0	0	0	0	0
---	---	---	---	---	---	---

Apply crossover on C5 & C1

- $r = 0.8 \rightarrow$  no crossover

C5: 

1	0	0	0	1	1	1
---	---	---	---	---	---	---

C1: 

0	0	0	0	1	1	1
---	---	---	---	---	---	---

O3: 

0	0	0	0	1	1	1
---	---	---	---	---	---	---

O4: 

1	0	0	0	1	1	1
---	---	---	---	---	---	---

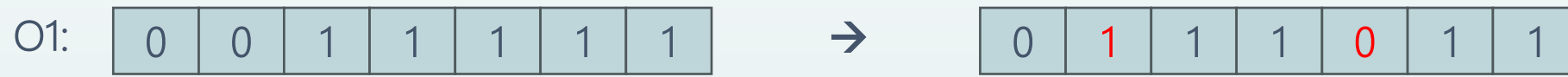
## SOLUTION (3. APPLY GA)

### 5. Mutation: $P_m = 0.02$

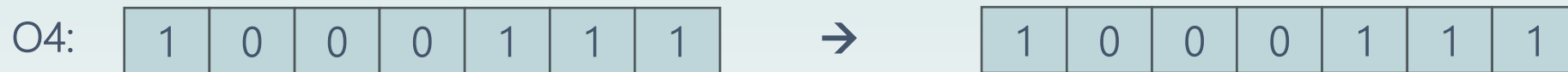
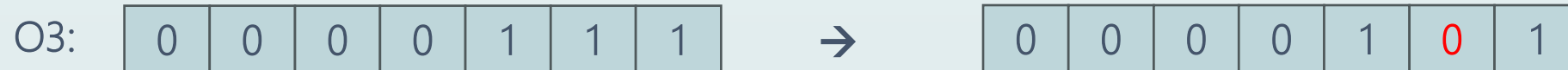
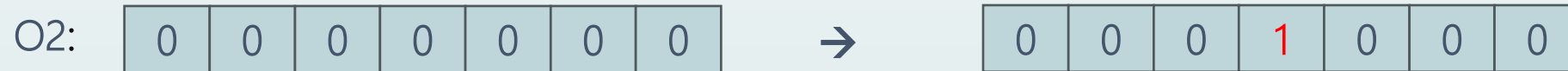
Generate random number  $r_{i,j} \in [0,1]$ , where  $i$  is number of chromosome,  $j$ =index of bit

Applying on O1

$$r_{1,0} = 0.1 \quad r_{1,1} = 0.01 \quad r_{1,2} = 0.7 \quad r_{1,3} = 0.65 \quad r_{1,4} = 0.008 \quad r_{1,5} = 0.247 \quad r_{1,6} = 0.7676$$



Doing the same on O2, O3, O4



## SOLUTION (3. APPLY GA)

### 6. Replacement (*Elitist Strategy*):

*First, evaluate the parents and offsprings using fitness function*

Chromosome	C1	C2	C3	C4	C5	C6	O1	O2	O3	O4
Fitness	31	490	2407	3850	4767	631	3255	42	15	4767

*Second, sort and choose first **pS** chromosomes*

O3	C1	O2	C2	C6	C3	O1	C4	C5	O4
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*New generation:*  
**O3, C1, O2, C2, C6, C3**

# RANK VS ROULETTE WHEEL SELECTION

Soft Computing





Fitness: 2



20



10



5

## Selection Method

### Rank

#### Minimization Problem

- Give the chromosome with smallest fitness, largest rank (pop\_size)
- Apply Rank Selection

#### Maximization Problem

- Give the chromosome with highest fitness, largest rank (pop\_size)
- Apply Rank Selection

	Fitness	Rank	Norm	Cum.
C1	2	4	4/10	4/10
C2	20	1	1/10	5/10
C3	10	2	2/10	7/10
C4	5	3	3/10	1

	Fitness	Rank	Norm	Cum.
C1	2	1	1/10	1/10
C2	20	4	4/10	5/10
C3	10	3	3/10	8/10
C4	5	2	2/10	1

### Roulette Wheel

#### Minimization Problem

- Take the inverse of Fitness values
- Apply Roulette wheel

#### Maximization Problem

- Take Fitness values as it is
- Apply Roulette wheel

	Fitness	Norm	Cum.
C1	1/2	10/17	10/17
C2	1/20	1/17	11/17
C3	1/10	2/17	13/17
C4	1/5	4/17	1

	Fitness	Norm	Cum.
C1	2	2/37	2/37
C2	20	20/37	22/37
C3	10	10/37	32/37
C4	5	5/37	1





Fitness: 2



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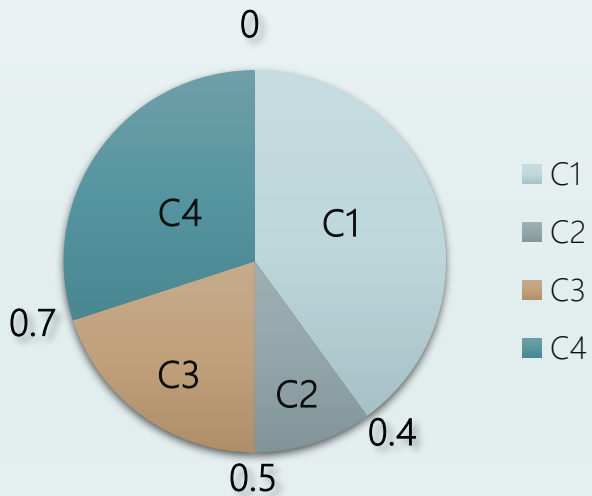
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## Selection Method

### Rank

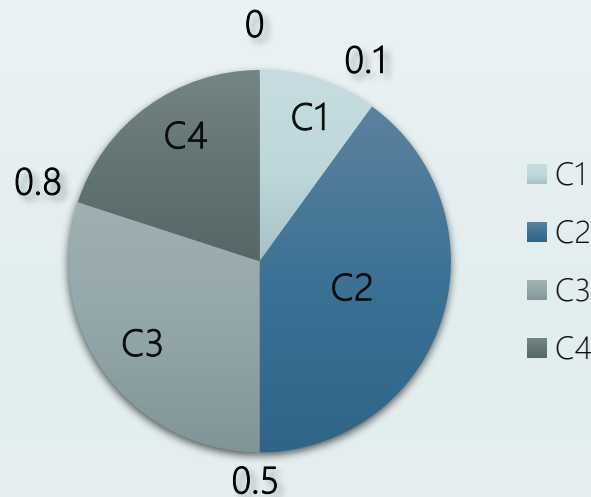
#### Minimization Problem

- Give the chromosome with smallest fitness, largest rank (pop\_size)
- Apply Rank Selection



#### Maximization Problem

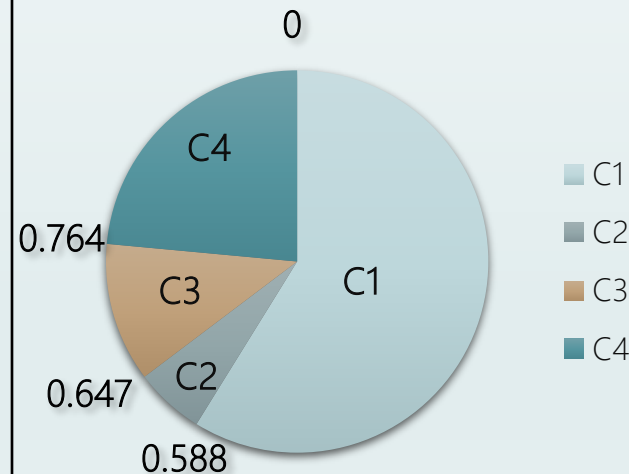
- Give the chromosome with highest fitness, largest rank (pop\_size)
- Apply Rank Selection



### Roulette Wheel

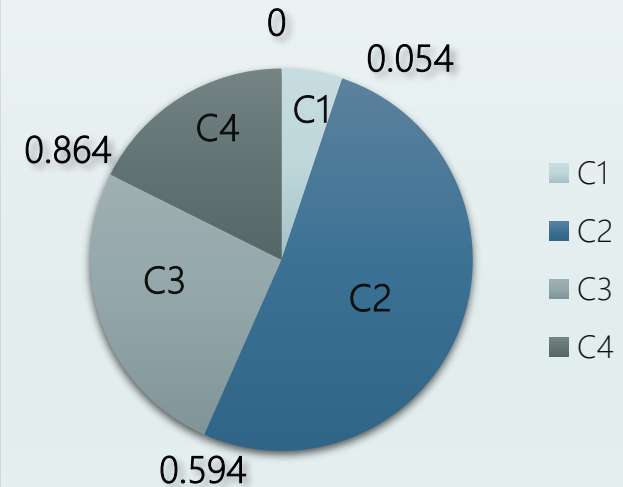
#### Minimization Problem

- Take the inverse of Fitness values
- Apply Roulette wheel



#### Maximization Problem

- Take Fitness values as it is
- Apply Roulette wheel





Fitness: 2



20



10



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Rank

Roulette Wheel

Minimization Problem

Maximization Problem

Minimization Problem

Maximization Problem

	Fitness	Rank	Norm	Cum.		Fitness	Rank	Norm	Cum.		Fitness	Norm	Cum.		Fitness	Norm	Cum.
C1	2	4	4/10	4/10	C1	2	1	1/10	1/10	C1	1/2	10/17	10/17	C1	2	2/37	2/37
C2	20	1	1/10	5/10	C2	20	4	4/10	5/10	C2	1/20	1/17	11/17	C2	20	20/37	22/37
C3	10	2	2/10	7/10	C3	10	3	3/10	8/10	C3	1/10	2/17	13/17	C3	10	10/37	32/37
C4	5	3	3/10	1	C4	5	2	2/10	1	C4	1/5	4/17	1	C4	5	5/37	1

