

# Theory of Designs in Isabelle/UTP

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## Abstract

This document describes a mechanisation of the UTP theory of designs in Isabelle/UTP. Designs enrich UTP relations with explicit precondition/postcondition pairs, as present in formal notations like VDM, B, and the refinement calculus. If a program's precondition holds, then it is guaranteed to terminate and establish its postcondition, which is an approach known as total correctness. If the precondition does not hold, the behaviour is maximally nondeterministic, which represents unspecified behaviour. In this mechanisation, we create the theory of designs, including its alphabet, signature, and healthiness conditions. We then use these to prove the key algebraic laws of programming. This development can be used to support program verification based on total correctness.

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## 1 Design Signature and Core Laws

```
theory utp-des-core
imports UTP.utp
begin
```

UTP designs [2, 4] are a subset of the alphabetised relations that use a boolean observational variable *ok* to record the start and termination of a program. For more information on designs please see Chapter 3 of the UTP book [4], or the more accessible designs tutorial [2].

### 1.1 Definitions

Two named theorem sets exist are created to group theorems that, respectively, provide pre-postcondition definitions, and simplify operators to their normal design form.

```
named-theorems ndes and ndes-simp
```

```
alphabet des-vars =
  ok :: bool
```

```
declare des-vars.defs [lens-defs]
```

The two locale interpretations below are a technicality to improve automatic proof support via the predicate and relational tactics. This is to enable the (re-)interpretation of state spaces to remove any occurrences of lens types after the proof tactics *pred-simp* and *rel-simp*, or any of their derivatives have been applied. Eventually, it would be desirable to automate both interpretations as part of a custom outer command for defining alphabets.

```
interpretation des-vars: lens-interp  $\lambda r. (ok_v\ r, more\ r)$ 
apply (unfold-locales)
apply (rule injI)
apply (clarsimp)
done
```

**interpretation** *des-vars-rel*:

*lens-interp*  $\lambda(r, r'). (ok_v\ r, ok_v\ r', more\ r, more\ r')$

**apply** (*unfold-locales*)

**apply** (*rule injI*)

**apply** (*clarsimp*)

**done**

**lemma** *ok-ord* [*usubst*]:

$ok \prec_v ok'$

**by** (*simp add: var-name-ord-def*)

**type-synonym**  $'\alpha\ des = '\alpha\ des\ vars\ scheme$

**type-synonym**  $(''\alpha, '\beta)\ rel\ des = (''\alpha\ des, '\beta\ des)\ urel$

**type-synonym**  $'\alpha\ hrel\ des = (''\alpha\ des)\ hrel$

**translations**

$(type)\ '\alpha\ des \leq (type)\ '\alpha\ des\ vars\ scheme$

$(type)\ '\alpha\ des \leq (type)\ '\alpha\ des\ vars\ ext$

$(type)\ (''\alpha, '\beta)\ rel\ des \leq (type)\ (''\alpha\ des, '\beta\ des)\ urel$

$(type)\ '\alpha\ hrel\ des \leq (type)\ '\alpha\ des\ hrel$

**notation** *des-vars-child-lens* ( $\Sigma_D$ )

**lemma** *ok-des-bij-lens*: *bij-lens* ( $ok +_L \Sigma_D$ )

**by** (*unfold-locales, simp-all add: ok-def des-vars-child-lens-def lens-plus-def prod.case-eq-if*)

Define the lens functor for designs

**definition** *lmap-des-vars* ::  $(''\alpha \implies '\beta) \Rightarrow (''\alpha\ des\ vars\ scheme \implies '\beta\ des\ vars\ scheme)$  (*lmap<sub>D</sub>*)

**where** [*lens-defs*]: *lmap-des-vars* = *lmap*[*des-vars*]

**lemma** *lmap-des-vars*: *vwb-lens*  $f \implies vwb-lens\ (lmap\ des\ vars\ f)$

**by** (*unfold-locales, auto simp add: lens-defs*)

**lemma** *lmap-id*: *lmap<sub>D</sub>*  $1_L = 1_L$

**by** (*simp add: lens-defs fun-eq-iff*)

**lemma** *lmap-comp*: *lmap<sub>D</sub>*  $(f ;_L g) = lmap_D\ f ;_L lmap_D\ g$

**by** (*simp add: lens-defs fun-eq-iff*)

The following notations define liftings from non-design predicates into design predicates using alphabet extensions.

**abbreviation** *lift-desr* ( $\lceil \cdot \rceil_D$ )

**where**  $\lceil P \rceil_D \equiv P \oplus_p (\Sigma_D \times_L \Sigma_D)$

**abbreviation** *lift-pre-desr* ( $\lceil \cdot \rceil_{D<}$ )

**where**  $\lceil p \rceil_{D<} \equiv \lceil \lceil p \rceil_{<} \rceil_D$

**abbreviation** *lift-post-desr* ( $\lceil \cdot \rceil_{D>}$ )

**where**  $\lceil p \rceil_{D>} \equiv \lceil \lceil p \rceil_{>} \rceil_D$

**abbreviation** *drop-desr* ( $\lfloor \cdot \rfloor_D$ )

**where**  $\lfloor P \rfloor_D \equiv P \upharpoonright_e (\Sigma_D \times_L \Sigma_D)$

**abbreviation** *dcond* ::  $(''\alpha, '\beta)\ rel\ des \Rightarrow '\alpha\ upred \Rightarrow (''\alpha, '\beta)\ rel\ des \Rightarrow (''\alpha, '\beta)\ rel\ des$

$((\beta - \triangleleft - \triangleright_D / -) [52, 0, 53] 52)$

**where**  $P \triangleleft b \triangleright_D Q \equiv P \triangleleft [b]_{D<} \triangleright Q$

**definition**  $design::('α, 'β) rel-des \Rightarrow ('α, 'β) rel-des \Rightarrow ('α, 'β) rel-des$  (**infixl**  $\vdash$  60) **where**  
 $[upred-defs]: P \vdash Q = (\$ok \wedge P \Rightarrow \$ok' \wedge Q)$

An rdesign is a design that uses the Isabelle type system to prevent reference to ok in the assumption and commitment.

**definition**  $rdesign::('α, 'β) urel \Rightarrow ('α, 'β) urel \Rightarrow ('α, 'β) rel-des$  (**infixl**  $\vdash_r$  60) **where**  
 $[upred-defs]: (P \vdash_r Q) = [P]_D \vdash [Q]_D$

An ndesign is a normal design, i.e. where the assumption is a condition

**definition**  $ndesign::'α cond \Rightarrow ('α, 'β) urel \Rightarrow ('α, 'β) rel-des$  (**infixl**  $\vdash_n$  60) **where**  
 $[upred-defs]: (p \vdash_n Q) = ([p]_{<} \vdash_r Q)$

**definition**  $skip-d :: 'α hrel-des$  ( $II_D$ ) **where**  
 $[upred-defs]: II_D \equiv (true \vdash_r II)$

**definition**  $bot-d :: ('α, 'β) rel-des$  ( $\perp_D$ ) **where**  
 $[upred-defs]: \perp_D = (false \vdash false)$

**definition**  $pre-design :: ('α, 'β) rel-des \Rightarrow ('α, 'β) urel$  ( $pre_D$ ) **where**  
 $[upred-defs]: pre_D(P) = [\neg P \llbracket true, false / \$ok, \$ok' \rrbracket]_D$

**definition**  $post-design :: ('α, 'β) rel-des \Rightarrow ('α, 'β) urel$  ( $post_D$ ) **where**  
 $[upred-defs]: post_D(P) = [P \llbracket true, true / \$ok, \$ok' \rrbracket]_D$

**syntax**

$-ok-f :: logic \Rightarrow logic$  ( $-^f [1000] 1000$ )  
 $-ok-t :: logic \Rightarrow logic$  ( $-^t [1000] 1000$ )  
 $-top-d :: logic$  ( $\top_D$ )

**translations**

$P^f \equiv CONST usubst (CONST subst-upd CONST id (CONST ovar CONST ok) false) P$   
 $P^t \equiv CONST usubst (CONST subst-upd CONST id (CONST ovar CONST ok) true) P$   
 $\top_D \Rightarrow CONST not-upred (CONST utp-expr.var (CONST ivar CONST ok))$

## 1.2 Lifting, Unrestriction, and Substitution

**lemma**  $drop-desr-inv$   $[simp]: \llbracket [P]_D \rrbracket_D = P$   
**by** ( $simp$   $add: prod-mwb-lens$ )

**lemma**  $lift-desr-inv$ :

**fixes**  $P :: ('α, 'β) rel-des$   
**assumes**  $\$ok \# P \ \$ok' \# P$   
**shows**  $\llbracket [P]_D \rrbracket_D = P$

**proof** –

**have**  $bij-lens (\Sigma_D \times_L \Sigma_D +_L (in-var\ ok +_L out-var\ ok)) :: (-, 'α\ des-vars-scheme \times 'β\ des-vars-scheme)$   
 $lens)$   
**(is**  $bij-lens$   $(?P))$

**proof** –

**have**  $?P \approx_L (ok +_L \Sigma_D) \times_L (ok +_L \Sigma_D)$  **(is**  $?P \approx_L ?Q$ )  
**apply** ( $simp$   $add: in-var-def\ out-var-def\ prod-as-plus$ )  
**apply** ( $simp$   $add: prod-as-plus[THEN\ sym]$ )  
**apply** ( $meson\ lens-equiv-sym\ lens-equiv-trans\ lens-indep-prod\ lens-plus-comm\ lens-plus-prod-exchange\ des-vars-indeps(1)$ )

```

done
moreover have bij-lens ?Q
  by (simp add: ok-des-bij-lens prod-bij-lens)
ultimately show ?thesis
  by (metis bij-lens-equiv lens-equiv-sym)
qed

with assms show ?thesis
  apply (rule-tac aext-arestr[of - in-var ok +L out-var ok])
  apply (simp add: prod-mwb-lens)
  apply (simp)
  apply (metis alpha-in-var lens-indep-prod lens-indep-sym des-vars-indeps(1) out-var-def prod-as-plus)
  using unrest-var-comp apply blast
done
qed

lemma unrest-out-des-lift [unrest]:  $out\alpha \# p \implies out\alpha \# [p]_D$ 
  by (pred-simp)

lemma lift-dist-seq [simp]:
 $[P ;; Q]_D = ([P]_D ;; [Q]_D)$ 
  by (rel-auto)

lemma lift-des-skip-dr-unit [simp]:
 $([P]_D ;; [II]_D) = [P]_D$ 
 $([II]_D ;; [P]_D) = [P]_D$ 
  by (rel-auto)+

lemma lift-des-skip-dr-unit-unrest:  $\$ok' \# P \implies (P ;; [II]_D) = P$ 
  by (rel-auto)

lemma state-subst-design [usubst]:
 $[\sigma \oplus_s \Sigma_D]_s \dagger (P \vdash_r Q) = ([\sigma]_s \dagger P) \vdash_r ([\sigma]_s \dagger Q)$ 
  by (rel-auto)

lemma design-subst [usubst]:
 $\llbracket \$ok \# \sigma; \$ok' \# \sigma \rrbracket \implies \sigma \dagger (P \vdash Q) = (\sigma \dagger P) \vdash (\sigma \dagger Q)$ 
  by (simp add: design-def usubst)

lemma design-msubst [usubst]:
 $(P(x) \vdash Q(x))\llbracket x \rightarrow v \rrbracket = (P(x)\llbracket x \rightarrow v \rrbracket \vdash Q(x)\llbracket x \rightarrow v \rrbracket)$ 
  by (rel-auto)

lemma design-ok-false [usubst]:  $(P \vdash Q)\llbracket false/\$ok \rrbracket = true$ 
  by (simp add: design-def usubst)

lemma ok-pre:  $(\$ok \wedge [pre_D(P)]_D) = (\$ok \wedge (\neg P^f))$ 
  by (pred-auto robust)

lemma ok-post:  $(\$ok \wedge [post_D(P)]_D) = (\$ok \wedge (P^t))$ 
  by (pred-auto robust)

```

### 1.3 Basic Design Laws

```

lemma design-export-ok:  $P \vdash Q = (P \vdash (\$ok \wedge Q))$ 
  by (rel-auto)

```

**lemma** *design-export-ok'*:  $P \vdash Q = (P \vdash (\$ok' \wedge Q))$   
**by** (*rel-auto*)

**lemma** *design-export-pre*:  $P \vdash (P \wedge Q) = P \vdash Q$   
**by** (*rel-auto*)

**lemma** *design-export-spec*:  $P \vdash (P \Rightarrow Q) = P \vdash Q$   
**by** (*rel-auto*)

**lemma** *design-ok-pre-conj*:  $(\$ok \wedge P) \vdash Q = P \vdash Q$   
**by** (*rel-auto*)

**lemma** *true-is-design*:  $(false \vdash true) = true$   
**by** (*rel-auto*)

**lemma** *true-is-rdesign*:  $(false \vdash_r true) = true$   
**by** (*rel-auto*)

**lemma** *bot-d-true*:  $\perp_D = true$   
**by** (*rel-auto*)

**lemma** *bot-d-ndes-def* [*ndes-simp*]:  $\perp_D = (false \vdash_n true)$   
**by** (*rel-auto*)

**lemma** *design-false-pre*:  $(false \vdash P) = true$   
**by** (*rel-auto*)

**lemma** *rdesign-false-pre*:  $(false \vdash_r P) = true$   
**by** (*rel-auto*)

**lemma** *ndesign-false-pre*:  $(false \vdash_n P) = true$   
**by** (*rel-auto*)

**lemma** *ndesign-miracle*:  $(true \vdash_n false) = \top_D$   
**by** (*rel-auto*)

**lemma** *top-d-ndes-def* [*ndes-simp*]:  $\top_D = (true \vdash_n false)$   
**by** (*rel-auto*)

**lemma** *skip-d-alt-def*:  $II_D = true \vdash II$   
**by** (*rel-auto*)

**lemma** *skip-d-ndes-def* [*ndes-simp*]:  $II_D = true \vdash_n II$   
**by** (*rel-auto*)

**lemma** *design-subst-ok*:  
 $(P \llbracket true/\$ok \rrbracket \vdash Q \llbracket true/\$ok \rrbracket) = (P \vdash Q)$   
**by** (*rel-auto*)

**lemma** *design-subst-ok-ok'*:  
 $(P \llbracket true/\$ok \rrbracket \vdash Q \llbracket true, true/\$ok, \$ok' \rrbracket) = (P \vdash Q)$

**proof** –  
**have**  $(P \vdash Q) = ((\$ok \wedge P) \vdash (\$ok \wedge \$ok' \wedge Q))$   
**by** (*pred-auto*)

**also have** ... =  $((\$ok \wedge P\llbracket true/\$ok \rrbracket) \vdash (\$ok \wedge (\$ok' \wedge Q\llbracket true/\$ok' \rrbracket)\llbracket true/\$ok \rrbracket))$   
**by** (*metis conj-eq-out-var-subst conj-pos-var-subst upred-eq-true utp-pred-laws.inf-commute ok-vwb-lens*)  
**also have** ... =  $((\$ok \wedge P\llbracket true/\$ok \rrbracket) \vdash (\$ok \wedge \$ok' \wedge Q\llbracket true, true/\$ok, \$ok' \rrbracket))$   
**by** (*simp add: usubst*)  
**also have** ... =  $(P\llbracket true/\$ok \rrbracket \vdash Q\llbracket true, true/\$ok, \$ok' \rrbracket)$   
**by** (*pred-auto*)  
**finally show** ?thesis ..  
**qed**

**lemma** *design-subst-ok'*:

$(P \vdash Q\llbracket true/\$ok' \rrbracket) = (P \vdash Q)$

**proof** –

**have**  $(P \vdash Q) = (P \vdash (\$ok' \wedge Q))$

**by** (*pred-auto*)

**also have** ... =  $(P \vdash (\$ok' \wedge Q\llbracket true/\$ok' \rrbracket))$

**by** (*metis conj-eq-out-var-subst upred-eq-true utp-pred-laws.inf-commute ok-vwb-lens*)

**also have** ... =  $(P \vdash Q\llbracket true/\$ok' \rrbracket)$

**by** (*pred-auto*)

**finally show** ?thesis ..

**qed**

## 1.4 Sequential Composition Laws

**theorem** *design-skip-idem* [*simp*]:

$(II_D ;; II_D) = II_D$

**by** (*rel-auto*)

**theorem** *design-composition-subst*:

**assumes**

$\$ok' \# P1 \ \$ok \# P2$

**shows**  $((P1 \vdash Q1) ;; (P2 \vdash Q2)) =$

$((\neg ((\neg P1) ;; true)) \wedge \neg (Q1\llbracket true/\$ok' \rrbracket ;; (\neg P2))) \vdash (Q1\llbracket true/\$ok' \rrbracket ;; Q2\llbracket true/\$ok \rrbracket))$

**proof** –

**have**  $((P1 \vdash Q1) ;; (P2 \vdash Q2)) = (\exists ok_0. ((P1 \vdash Q1)\llbracket \llcorner ok_0 \rceil / \$ok' \rrbracket ;; (P2 \vdash Q2)\llbracket \llcorner ok_0 \rceil / \$ok \rrbracket))$

**by** (*rule seqr-middle, simp*)

**also have** ...

$= (((P1 \vdash Q1)\llbracket false/\$ok' \rrbracket ;; (P2 \vdash Q2)\llbracket false/\$ok \rrbracket) \vee ((P1 \vdash Q1)\llbracket true/\$ok' \rrbracket ;; (P2 \vdash Q2)\llbracket true/\$ok \rrbracket))$

**by** (*simp add: true-alt-def false-alt-def, pred-auto*)

**also from** *assms*

**have** ... =  $((\neg (\$ok \wedge P1 \Rightarrow Q1\llbracket true/\$ok' \rrbracket)) ;; (P2 \Rightarrow \$ok' \wedge Q2\llbracket true/\$ok \rrbracket)) \vee ((\neg (\$ok \wedge P1)) ;; true)$

**by** (*simp add: design-def usubst unrest, pred-auto*)

**also have** ... =  $((\neg \$ok ;; true_h) \vee ((\neg P1) ;; true) \vee (Q1\llbracket true/\$ok' \rrbracket ;; (\neg P2)) \vee (\$ok' \wedge (Q1\llbracket true/\$ok' \rrbracket ;; Q2\llbracket true/\$ok \rrbracket)))$

**by** (*rel-auto*)

**also have** ... =  $((\neg ((\neg P1) ;; true)) \wedge \neg (Q1\llbracket true/\$ok' \rrbracket ;; (\neg P2))) \vdash (Q1\llbracket true/\$ok' \rrbracket ;; Q2\llbracket true/\$ok \rrbracket))$

**by** (*simp add: precond-right-unit design-def unrest, rel-auto*)

**finally show** ?thesis .

**qed**

**theorem** *design-composition*:

**assumes**

$\$ok' \# P1 \ \$ok \# P2 \ \$ok' \# Q1 \ \$ok \# Q2$

**shows**  $((P1 \vdash Q1) ;; (P2 \vdash Q2)) = ((\neg ((\neg P1) ;; true)) \wedge \neg (Q1 ;; (\neg P2))) \vdash (Q1 ;; Q2)$

**using** *assms* **by** (*simp add: design-composition-subst usubst*)

**theorem** *design-composition-runrest*:

**assumes**  
 $\$ok' \# P1 \ \$ok \# P2 \ ok \ \#\# \ Q1 \ ok \ \#\# \ Q2$   
**shows**  $((P1 \vdash Q1) ;; (P2 \vdash Q2)) = (((\neg ((\neg P1) ;; true)) \wedge \neg (Q1^t ;; (\neg P2))) \vdash (Q1 ;; Q2))$   
**proof** –  
**have**  $(\$ok \wedge \$ok' \wedge (Q1^t ;; Q2 \llbracket true/\$ok \rrbracket)) = (\$ok \wedge \$ok' \wedge (Q1 ;; Q2))$   
**proof** –  
**have**  $(\$ok \wedge \$ok' \wedge (Q1 ;; Q2)) = ((\$ok \wedge Q1) ;; (Q2 \wedge \$ok'))$   
**by** (*metis* (*no-types*, *lifting*) *conj-comm* *segr-post-var-out* *segr-pre-var-out*)  
**also have**  $\dots = ((Q1 \wedge \$ok') ;; (\$ok \wedge Q2))$   
**by** (*simp* *add*: *assms*(3) *assms*(4) *runrest-ident-var*)  
**also have**  $\dots = (Q1^t ;; Q2 \llbracket true/\$ok \rrbracket)$   
**by** (*metis* *ok-vwb-lens* *segr-pre-transfer* *segr-right-one-point* *true-alt-def* *uovar-convr* *upred-eq-true* *utp-pred-laws.inf.left-idem* *utp-rel.unrest-ouvar* *vwb-lens-mwb*)  
**finally show** *?thesis*  
**by** (*metis* *utp-pred-laws.inf.left-commute* *utp-pred-laws.inf.left-idem*)  
**qed**  
**moreover have**  $(\neg (\neg P1 ;; true) \wedge \neg (Q1^t ;; (\neg P2))) \vdash (Q1^t ;; Q2 \llbracket true/\$ok \rrbracket) =$   
 $(\neg (\neg P1 ;; true) \wedge \neg (Q1^t ;; (\neg P2))) \vdash (\$ok \wedge \$ok' \wedge (Q1^t ;; Q2 \llbracket true/\$ok \rrbracket))$   
**by** (*metis* *design-export-ok* *design-export-ok'*)  
**ultimately show** *?thesis* **using** *assms*  
**by** (*simp* *add*: *design-composition-subst* *usubst*, *metis* *design-export-ok* *design-export-ok'*)  
**qed**

**theorem** *rdesign-composition*:

$((P1 \vdash_r Q1) ;; (P2 \vdash_r Q2)) = (((\neg ((\neg P1) ;; true)) \wedge \neg (Q1 ;; (\neg P2))) \vdash_r (Q1 ;; Q2))$   
**by** (*simp* *add*: *rdesign-def* *design-composition* *unrest alpha*)

**theorem** *design-composition-cond*:

**assumes**  
 $out\alpha \# p1 \ \$ok \# P2 \ \$ok' \# Q1 \ \$ok \# Q2$   
**shows**  $((p1 \vdash Q1) ;; (P2 \vdash Q2)) = ((p1 \wedge \neg (Q1 ;; (\neg P2))) \vdash (Q1 ;; Q2))$   
**using** *assms*  
**by** (*simp* *add*: *design-composition* *unrest* *precond-right-unit*)

**theorem** *rdesign-composition-cond*:

**assumes**  $out\alpha \# p1$   
**shows**  $((p1 \vdash_r Q1) ;; (P2 \vdash_r Q2)) = ((p1 \wedge \neg (Q1 ;; (\neg P2))) \vdash_r (Q1 ;; Q2))$   
**using** *assms*  
**by** (*simp* *add*: *rdesign-def* *design-composition-cond* *unrest alpha*)

**theorem** *design-composition-wp*:

**assumes**  
 $ok \# p1 \ ok \# p2$   
 $\$ok \# Q1 \ \$ok' \# Q1 \ \$ok \# Q2 \ \$ok' \# Q2$   
**shows**  $((\llbracket p1 \rrbracket_{<} \vdash Q1) ;; (\llbracket p2 \rrbracket_{<} \vdash Q2)) = ((\llbracket p1 \wedge Q1 \ wp \ p2 \rrbracket_{<} \vdash (Q1 ;; Q2))$   
**using** *assms* **by** (*rel-blast*)

**theorem** *rdesign-composition-wp*:

$((\llbracket p1 \rrbracket_{<} \vdash_r Q1) ;; (\llbracket p2 \rrbracket_{<} \vdash_r Q2)) = ((\llbracket p1 \wedge Q1 \ wp \ p2 \rrbracket_{<} \vdash_r (Q1 ;; Q2))$   
**by** (*rel-blast*)

**theorem** *ndesign-composition-wp* [*ndes-simp*]:

$((p1 \vdash_n Q1) ;; (p2 \vdash_n Q2)) = ((p1 \wedge Q1 \ wp \ p2) \vdash_n (Q1 ;; Q2))$



by (*rel-blast*)

**theorem** *design-true-left-zero*:  $(true ;; (P \vdash Q)) = true$

**proof** –

have  $(true ;; (P \vdash Q)) = (\exists ok_0 \cdot true \llbracket \llcorner ok_0 \gg / \$ok' \rrbracket ;; (P \vdash Q) \llbracket \llcorner ok_0 \gg / \$ok \rrbracket)$   
 by (*subst segr-middle*[*of ok*], *simp-all*)  
 also have  $\dots = ((true \llbracket false / \$ok' \rrbracket ;; (P \vdash Q) \llbracket false / \$ok \rrbracket) \vee (true \llbracket true / \$ok' \rrbracket ;; (P \vdash Q) \llbracket true / \$ok \rrbracket))$   
 by (*simp add: disj-comm false-alt-def true-alt-def*)  
 also have  $\dots = ((true \llbracket false / \$ok' \rrbracket ;; true_h) \vee (true ;; ((P \vdash Q) \llbracket true / \$ok \rrbracket)))$   
 by (*subst-tac, rel-auto*)  
 also have  $\dots = true$   
 by (*subst-tac, simp add: precondition-right-unit unrest*)  
 finally show *?thesis* .

qed

**theorem** *design-left-unit-hom*:

fixes  $P Q :: 'a \text{ hrel-des}$

shows  $(II_D ;; (P \vdash_r Q)) = (P \vdash_r Q)$

**proof** –

have  $(II_D ;; (P \vdash_r Q)) = ((true \vdash_r II) ;; (P \vdash_r Q))$   
 by (*simp add: skip-d-def*)  
 also have  $\dots = (true \wedge \neg (II ;; (\neg P))) \vdash_r (II ;; Q)$

**proof** –

have  $out\alpha \nVdash true$   
 by *unrest-tac*  
 thus *?thesis*  
 using *rdesign-composition-cond* by *blast*

qed

also have  $\dots = (\neg (\neg P)) \vdash_r Q$

by *simp*

finally show *?thesis* by *simp*

qed

**theorem** *rdesign-left-unit* [*simp*]:

$II_D ;; (P \vdash_r Q) = (P \vdash_r Q)$

by (*rel-auto*)

**theorem** *design-right-semi-unit*:

$(P \vdash_r Q) ;; II_D = ((\neg (\neg P) ;; true) \vdash_r Q)$

by (*simp add: skip-d-def rdesign-composition*)

**theorem** *design-right-cond-unit* [*simp*]:

assumes  $out\alpha \nVdash p$

shows  $(p \vdash_r Q) ;; II_D = (p \vdash_r Q)$

using *assms*

by (*simp add: skip-d-def rdesign-composition-cond*)

**theorem** *ndesign-left-unit* [*simp*]:

$II_D ;; (p \vdash_n Q) = (p \vdash_n Q)$

by (*rel-auto*)

**theorem** *design-bot-left-zero*:  $(\perp_D ;; (P \vdash Q)) = \perp_D$

by (*rel-auto*)

**theorem** *design-top-left-zero*:  $(\top_D ;; (P \vdash Q)) = \top_D$

by (rel-auto)

## 1.5 Preconditions and Postconditions

**theorem** *design-npre*:

$$(P \vdash Q)^f = (\neg \$ok \vee \neg P^f)$$

by (rel-auto)

**theorem** *design-pre*:

$$\neg (P \vdash Q)^f = (\$ok \wedge P^f)$$

by (simp add: design-def, subst-tac)

$$(metis (no-types, hide-lams) not-conj-deMorgans true-not-false(2) utp-pred-laws.compl-top-eq utp-pred-laws.sup.idem utp-pred-laws.sup-compl-top)$$

**theorem** *design-post*:

$$(P \vdash Q)^t = ((\$ok \wedge P^t) \Rightarrow Q^t)$$

by (rel-auto)

**theorem** *rdesign-pre* [simp]:  $pre_D(P \vdash_r Q) = P$

by (pred-auto)

**theorem** *rdesign-post* [simp]:  $post_D(P \vdash_r Q) = (P \Rightarrow Q)$

by (pred-auto)

**theorem** *ndesign-pre* [simp]:  $pre_D(p \vdash_n Q) = \lceil p \rceil_<$

by (pred-auto)

**theorem** *ndesign-post* [simp]:  $post_D(p \vdash_n Q) = (\lceil p \rceil_< \Rightarrow Q)$

by (pred-auto)

**lemma** *design-pre-choice* [simp]:

$$pre_D(P \sqcap Q) = (pre_D(P) \wedge pre_D(Q))$$

by (rel-auto)

**lemma** *design-post-choice* [simp]:

$$post_D(P \sqcap Q) = (post_D(P) \vee post_D(Q))$$

by (rel-auto)

**lemma** *design-pre-condr* [simp]:

$$pre_D(P \triangleleft \lceil b \rceil_D \triangleright Q) = (pre_D(P) \triangleleft b \triangleright pre_D(Q))$$

by (rel-auto)

**lemma** *design-post-condr* [simp]:

$$post_D(P \triangleleft \lceil b \rceil_D \triangleright Q) = (post_D(P) \triangleleft b \triangleright post_D(Q))$$

by (rel-auto)

**lemma** *preD-USUP-mem*:  $pre_D(\bigsqcup_{i \in A} P \cdot i) = (\bigsqcap_{i \in A} pre_D(P \cdot i))$

by (rel-auto)

**lemma** *preD-USUP-ind*:  $pre_D(\bigsqcup i \cdot P \cdot i) = (\bigsqcap i \cdot pre_D(P \cdot i))$

by (rel-auto)

## 1.6 Distribution Laws

**theorem** *design-choice*:

$$(P_1 \vdash P_2) \sqcap (Q_1 \vdash Q_2) = ((P_1 \wedge Q_1) \vdash (P_2 \vee Q_2))$$

by (rel-auto)

**theorem** *rdesign-choice*:

$(P_1 \vdash_r P_2) \sqcap (Q_1 \vdash_r Q_2) = ((P_1 \wedge Q_1) \vdash_r (P_2 \vee Q_2))$   
by (rel-auto)

**theorem** *ndesign-choice* [ndes-simp]:

$(p_1 \vdash_n P_2) \sqcap (q_1 \vdash_n Q_2) = ((p_1 \wedge q_1) \vdash_n (P_2 \vee Q_2))$   
by (rel-auto)

**theorem** *ndesign-choice'* [ndes-simp]:

$((p_1 \vdash_n P_2) \vee (q_1 \vdash_n Q_2)) = ((p_1 \wedge q_1) \vdash_n (P_2 \vee Q_2))$   
by (rel-auto)

**theorem** *design-inf*:

$(P_1 \vdash P_2) \sqcup (Q_1 \vdash Q_2) = ((P_1 \vee Q_1) \vdash ((P_1 \Rightarrow P_2) \wedge (Q_1 \Rightarrow Q_2)))$   
by (rel-auto)

**theorem** *rdesign-inf*:

$(P_1 \vdash_r P_2) \sqcup (Q_1 \vdash_r Q_2) = ((P_1 \vee Q_1) \vdash_r ((P_1 \Rightarrow P_2) \wedge (Q_1 \Rightarrow Q_2)))$   
by (rel-auto)

**theorem** *ndesign-inf* [ndes-simp]:

$(p_1 \vdash_n P_2) \sqcup (q_1 \vdash_n Q_2) = ((p_1 \vee q_1) \vdash_n (([p_1]_{<} \Rightarrow P_2) \wedge ([q_1]_{<} \Rightarrow Q_2)))$   
by (rel-auto)

**theorem** *design-condr*:

$((P_1 \vdash P_2) \triangleleft b \triangleright (Q_1 \vdash Q_2)) = ((P_1 \triangleleft b \triangleright Q_1) \vdash (P_2 \triangleleft b \triangleright Q_2))$   
by (rel-auto)

**theorem** *ndesign-dcond* [ndes-simp]:

$((p_1 \vdash_n P_2) \triangleleft b \triangleright_D (q_1 \vdash_n Q_2)) = ((p_1 \triangleleft b \triangleright q_1) \vdash_n (P_2 \triangleleft b \triangleright_r Q_2))$   
by (rel-auto)

**lemma** *design-UNF-mem*:

assumes  $A \neq \{\}$   
shows  $(\prod i \in A \cdot P(i) \vdash Q(i)) = (\bigsqcup i \in A \cdot P(i) \vdash (\prod i \in A \cdot Q(i)))$   
using *assms* by (rel-auto)

**lemma** *ndesign-UNF-mem* [ndes-simp]:

assumes  $A \neq \{\}$   
shows  $(\prod i \in A \cdot p(i) \vdash_n Q(i)) = (\bigsqcup i \in A \cdot p(i) \vdash_n (\prod i \in A \cdot Q(i)))$   
using *assms* by (rel-auto)

**lemma** *ndesign-UNF-ind* [ndes-simp]:

$(\prod i \cdot p(i) \vdash_n Q(i)) = (\bigsqcup i \cdot p(i) \vdash_n (\prod i \cdot Q(i)))$   
by (rel-auto)

**lemma** *design-USUP-mem*:

$(\bigsqcup i \in A \cdot P(i) \vdash Q(i)) = (\prod i \in A \cdot P(i) \vdash (\bigsqcup i \in A \cdot P(i) \Rightarrow Q(i)))$   
by (rel-auto)

**lemma** *ndesign-USUP-mem* [ndes-simp]:

$(\bigsqcup i \in A \cdot p(i) \vdash_n Q(i)) = (\prod i \in A \cdot p(i) \vdash_n (\bigsqcup i \in A \cdot [p(i)]_{<} \Rightarrow Q(i)))$   
by (rel-auto)

**lemma** *ndesign-USUP-ind* [*ndes-simp*]:  
 $(\bigsqcup i \cdot p(i) \vdash_n Q(i)) = (\prod i \cdot p(i)) \vdash_n (\bigsqcup i \cdot \lceil p(i) \rceil_{<} \Rightarrow Q(i))$   
**by** (*rel-auto*)

## 1.7 Refinement Introduction

**lemma** *ndesign-eq-intro*:  
**assumes**  $p_1 = q_1 \ P_2 = Q_2$   
**shows**  $p_1 \vdash_n P_2 = q_1 \vdash_n Q_2$   
**by** (*simp add: assms*)

**theorem** *design-refinement*:  
**assumes**  
 $\$ok \# P1 \ \$ok' \# P1 \ \$ok \# P2 \ \$ok' \# P2$   
 $\$ok \# Q1 \ \$ok' \# Q1 \ \$ok \# Q2 \ \$ok' \# Q2$   
**shows**  $(P1 \vdash Q1 \sqsubseteq P2 \vdash Q2) \longleftrightarrow ('P1 \Rightarrow P2' \wedge 'P1 \wedge Q2 \Rightarrow Q1')$   
**proof** –  
**have**  $(P1 \vdash Q1) \sqsubseteq (P2 \vdash Q2) \longleftrightarrow '(\$ok \wedge P2 \Rightarrow \$ok' \wedge Q2) \Rightarrow (\$ok \wedge P1 \Rightarrow \$ok' \wedge Q1)'$   
**by** (*pred-auto*)  
**also with** *assms* **have**  $\dots = '(P2 \Rightarrow \$ok' \wedge Q2) \Rightarrow (P1 \Rightarrow \$ok' \wedge Q1)'$   
**by** (*subst subst-bool-split[of in-var ok], simp-all, subst-tac*)  
**also with** *assms* **have**  $\dots = '(\neg P2 \Rightarrow \neg P1) \wedge ((P2 \Rightarrow Q2) \Rightarrow P1 \Rightarrow Q1)'$   
**by** (*subst subst-bool-split[of out-var ok], simp-all, subst-tac*)  
**also have**  $\dots \longleftrightarrow '(P1 \Rightarrow P2)' \wedge 'P1 \wedge Q2 \Rightarrow Q1'$   
**by** (*pred-auto*)  
**finally show** *?thesis* .  
**qed**

**theorem** *rdesign-refinement*:  
 $(P1 \vdash_r Q1 \sqsubseteq P2 \vdash_r Q2) \longleftrightarrow ('P1 \Rightarrow P2' \wedge 'P1 \wedge Q2 \Rightarrow Q1')$   
**by** (*rel-auto*)

**lemma** *design-refine-intro*:  
**assumes**  $'P1 \Rightarrow P2' \ 'P1 \wedge Q2 \Rightarrow Q1'$   
**shows**  $P1 \vdash Q1 \sqsubseteq P2 \vdash Q2$   
**using** *assms unfolding upred-defs*  
**by** (*pred-auto*)

**lemma** *design-refine-intro'*:  
**assumes**  $P2 \sqsubseteq P1 \ Q1 \sqsubseteq (P1 \wedge Q2)$   
**shows**  $P1 \vdash Q1 \sqsubseteq P2 \vdash Q2$   
**using** *assms design-refine-intro[of P1 P2 Q2 Q1]* **by** (*simp add: refBy-order*)

**lemma** *rdesign-refine-intro*:  
**assumes**  $'P1 \Rightarrow P2' \ 'P1 \wedge Q2 \Rightarrow Q1'$   
**shows**  $P1 \vdash_r Q1 \sqsubseteq P2 \vdash_r Q2$   
**using** *assms unfolding upred-defs*  
**by** (*pred-auto*)

**lemma** *rdesign-refine-intro'*:  
**assumes**  $P2 \sqsubseteq P1 \ Q1 \sqsubseteq (P1 \wedge Q2)$   
**shows**  $P1 \vdash_r Q1 \sqsubseteq P2 \vdash_r Q2$   
**using** *assms unfolding upred-defs*  
**by** (*pred-auto*)

**lemma** *ndesign-refinement*:

$p1 \vdash_n Q1 \sqsubseteq p2 \vdash_n Q2 \iff (p1 \Rightarrow p2' \wedge '[p1]_< \wedge Q2 \Rightarrow Q1')$   
**by** (*simp add: ndesign-def rdesign-def design-refinement unrest, rel-auto*)

**lemma** *ndesign-refine-intro*:

**assumes**  $'p1 \Rightarrow p2' '[p1]_< \wedge Q2 \Rightarrow Q1'$   
**shows**  $p1 \vdash_n Q1 \sqsubseteq p2 \vdash_n Q2$   
**using** *assms unfolding upred-defs*  
**by** (*pred-auto*)

**lemma** *design-top*:

$(P \vdash Q) \sqsubseteq \top_D$   
**by** (*rel-auto*)

**lemma** *design-bottom*:

$\perp_D \sqsubseteq (P \vdash Q)$   
**by** (*rel-auto*)

**lemma** *design-refine-thms*:

**assumes**  $P \sqsubseteq Q$   
**shows**  $'pre_D(P) \Rightarrow pre_D(Q)' '[pre_D(P) \wedge post_D(Q) \Rightarrow post_D(P)'$   
**apply** (*metis assms design-pre-choice disj-comm disj-upred-def order-refl rdesign-refinement utp-pred-laws.le-iff-sup*)  
**apply** (*metis assms conj-comm design-post-choice disj-upred-def refBy-order semilattice-sup-class.le-iff-sup*  
*utp-pred-laws.inf.coboundedI1*)  
**done**

**end**

## 2 Design Healthiness Conditions

**theory** *utp-des-healths*

**imports** *utp-des-core*

**begin**

### 2.1 H1: No observation is allowed before initiation

**definition** *H1* ::  $('α, 'β) rel-des \Rightarrow ('α, 'β) rel-des$  **where**  
 $[upred-defs]: H1(P) = (\$ok \Rightarrow P)$

**lemma** *H1-idem*:

$H1 (H1 P) = H1(P)$   
**by** (*pred-auto*)

**lemma** *H1-monotone*:

$P \sqsubseteq Q \implies H1(P) \sqsubseteq H1(Q)$   
**by** (*pred-auto*)

**lemma** *H1-Continuous*: *Continuous H1*

**by** (*rel-auto*)

**lemma** *H1-below-top*:

$H1(P) \sqsubseteq \top_D$   
**by** (*pred-auto*)

**lemma** *H1-design-skip*:

$H1(II) = II_D$   
**by** (*rel-auto*)

**lemma** *H1-cond*:  $H1(P \triangleleft b \triangleright Q) = H1(P) \triangleleft b \triangleright H1(Q)$   
**by** (*rel-auto*)

**lemma** *H1-conj*:  $H1(P \wedge Q) = (H1(P) \wedge H1(Q))$   
**by** (*rel-auto*)

**lemma** *H1-disj*:  $H1(P \vee Q) = (H1(P) \vee H1(Q))$   
**by** (*rel-auto*)

**lemma** *design-export-H1*:  $(P \vdash Q) = (P \vdash H1(Q))$   
**by** (*rel-auto*)

The H1 algebraic laws are valid only when  $\alpha(R)$  is homogeneous. This should maybe be generalised.

**theorem** *H1-algebraic-intro*:

**assumes**  
 $(true_h ;; R) = true_h$   
 $(II_D ;; R) = R$   
**shows** *R is H1*

**proof** –

**have**  $R = (II_D ;; R)$  **by** (*simp add: assms(2)*)  
**also have**  $\dots = (H1(II) ;; R)$   
**by** (*simp add: H1-design-skip*)  
**also have**  $\dots = (\$ok \Rightarrow II) ;; R$   
**by** (*simp add: H1-def*)  
**also have**  $\dots = (((\neg \$ok) ;; R) \vee R)$   
**by** (*simp add: impl-alt-def seqr-or-distl*)  
**also have**  $\dots = (((\neg \$ok) ;; true_h) ;; R) \vee R$   
**by** (*simp add: precondition-right-unit unrest*)  
**also have**  $\dots = (((\neg \$ok) ;; true_h) \vee R)$   
**by** (*metis assms(1) seqr-assoc*)  
**also have**  $\dots = (\$ok \Rightarrow R)$   
**by** (*simp add: impl-alt-def precondition-right-unit unrest*)  
**finally show** *?thesis* **by** (*metis H1-def Healthy-def'*)

**qed**

**lemma** *nok-not-false*:

$(\neg \$ok) \neq false$   
**by** (*pred-auto*)

**theorem** *H1-left-zero*:

**assumes** *P is H1*  
**shows**  $(true ;; P) = true$

**proof** –

**from** *assms* **have**  $(true ;; P) = (true ;; (\$ok \Rightarrow P))$   
**by** (*simp add: H1-def Healthy-def'*)

**also from** *assms* **have**  $\dots = (true ;; (\neg \$ok \vee P))$  (**is**  $- = (?true ;; -)$ )  
**by** (*simp add: impl-alt-def*)

**also from** *assms* **have**  $\dots = ((?true ;; (\neg \$ok)) \vee (?true ;; P))$

**using** *seqr-or-distr* **by** *blast*

**also from** *assms* **have**  $\dots = (true \vee (true ;; P))$

by (simp add: nok-not-false precondition-left-zero unrest)  
 finally show ?thesis  
 by (simp add: upred-defs urel-defs)  
 qed

**theorem** *H1-left-unit*:

fixes  $P :: 'a \text{ hrel-des}$

assumes  $P$  is *H1*

shows  $(II_D ;; P) = P$

**proof** –

have  $(II_D ;; P) = ((\$ok \Rightarrow II) ;; P)$

by (metis *H1-def H1-design-skip*)

also have  $\dots = (((\neg \$ok) ;; P) \vee P)$

by (simp add: impl-alt-def seqr-or-distl)

also from *assms* have  $\dots = (((\neg \$ok) ;; true_h) ;; P) \vee P$

by (simp add: precondition-right-unit unrest)

also have  $\dots = (((\neg \$ok) ;; (true_h ;; P)) \vee P)$

by (simp add: seqr-assoc)

also from *assms* have  $\dots = (\$ok \Rightarrow P)$

by (simp add: *H1-left-zero impl-alt-def precondition-right-unit unrest*)

finally show ?thesis using *assms*

by (simp add: *H1-def Healthy-def'*)

qed

**theorem** *H1-algebraic*:

$P$  is *H1*  $\longleftrightarrow (true_h ;; P) = true_h \wedge (II_D ;; P) = P$

using *H1-algebraic-intro H1-left-unit H1-left-zero* by blast

**theorem** *H1-nok-left-zero*:

fixes  $P :: 'a \text{ hrel-des}$

assumes  $P$  is *H1*

shows  $((\neg \$ok) ;; P) = (\neg \$ok)$

**proof** –

have  $((\neg \$ok) ;; P) = (((\neg \$ok) ;; true_h) ;; P)$

by (simp add: precondition-right-unit unrest)

also have  $\dots = ((\neg \$ok) ;; true_h)$

by (metis *H1-left-zero assms seqr-assoc*)

also have  $\dots = (\neg \$ok)$

by (simp add: precondition-right-unit unrest)

finally show ?thesis .

qed

**lemma** *H1-design*:

$H1(P \vdash Q) = (P \vdash Q)$

by (rel-auto)

**lemma** *H1-rdesign*:

$H1(P \vdash_r Q) = (P \vdash_r Q)$

by (rel-auto)

**lemma** *H1-choice-closed* [closure]:

$\llbracket P \text{ is } H1; Q \text{ is } H1 \rrbracket \Longrightarrow P \sqcap Q \text{ is } H1$

by (simp add: *H1-def Healthy-def' disj-upred-def impl-alt-def semilattice-sup-class.sup-left-commute*)

**lemma** *H1-inf-closed* [closure]:

$\llbracket P \text{ is } H1; Q \text{ is } H1 \rrbracket \implies P \sqcup Q \text{ is } H1$   
 by (rel-blast)

**lemma** *H1-UINF*:

assumes  $A \neq \{\}$   
 shows  $H1(\bigsqcap i \in A \cdot P(i)) = (\bigsqcap i \in A \cdot H1(P(i)))$   
 using *assms* by (rel-auto)

**lemma** *H1-Sup*:

assumes  $A \neq \{\} \ \forall P \in A. P \text{ is } H1$   
 shows  $(\bigsqcap A) \text{ is } H1$

**proof** –

from *assms*(2) have  $H1 \text{ ‘ } A = A$   
 by (auto simp add: Healthy-def rev-image-eqI)  
 with *H1-UINF*[of *A id*, OF *assms*(1)] **show** ?thesis  
 by (simp add: UINF-as-Sup-image Healthy-def, presburger)

**qed**

**lemma** *H1-USUP*:

shows  $H1(\bigsqcup i \in A \cdot P(i)) = (\bigsqcup i \in A \cdot H1(P(i)))$   
 by (rel-auto)

**lemma** *H1-Inf* [closure]:

assumes  $\forall P \in A. P \text{ is } H1$   
 shows  $(\bigsqcup A) \text{ is } H1$

**proof** –

from *assms* have  $H1 \text{ ‘ } A = A$   
 by (auto simp add: Healthy-def rev-image-eqI)  
 with *H1-USUP*[of *A id*] **show** ?thesis  
 by (simp add: USUP-as-Inf-image Healthy-def, presburger)

**qed**

## 2.2 H2: A specification cannot require non-termination

**definition** *J* ::  $\alpha \text{ hrel-des}$  **where**

[upred-defs]:  $J = ((\$ok \Rightarrow \$ok') \wedge \lceil II \rceil_D)$

**definition** *H2* **where**

[upred-defs]:  $H2(P) \equiv P ;; J$

**lemma** *J-split*:

shows  $(P ;; J) = (P^f \vee (P^t \wedge \$ok'))$

**proof** –

have  $(P ;; J) = (P ;; ((\$ok \Rightarrow \$ok') \wedge \lceil II \rceil_D))$   
 by (simp add: H2-def J-def design-def)  
 also have  $\dots = (P ;; ((\$ok \Rightarrow \$ok' \wedge \$ok') \wedge \lceil II \rceil_D))$   
 by (rel-auto)  
 also have  $\dots = ((P ;; (\neg \$ok \wedge \lceil II \rceil_D)) \vee (P ;; (\$ok \wedge (\lceil II \rceil_D \wedge \$ok'))))$   
 by (rel-auto)  
 also have  $\dots = (P^f \vee (P^t \wedge \$ok'))$

**proof** –

have  $(P ;; (\neg \$ok \wedge \lceil II \rceil_D)) = P^f$

**proof** –

have  $(P ;; (\neg \$ok \wedge \lceil II \rceil_D)) = ((P \wedge \neg \$ok') ;; \lceil II \rceil_D)$   
 by (rel-auto)  
 also have  $\dots = (\exists \$ok' \cdot P \wedge \$ok' =_u \text{false})$



```

    by (rel-auto)
  also have ... =  $P^f$ 
    by (metis C1 one-point out-var-uvar unrest-as-exists ok-vwb-lens vwb-lens-mwb)
  finally show ?thesis .
qed
moreover have  $(P ;; (\$ok \wedge (\lceil II \rceil_D \wedge \$ok')) = (P^t \wedge \$ok')$ 
proof -
  have  $(P ;; (\$ok \wedge (\lceil II \rceil_D \wedge \$ok'))) = (P ;; (\$ok \wedge II))$ 
    by (rel-auto)
  also have ... =  $(P^t \wedge \$ok')$ 
    by (rel-auto)
  finally show ?thesis .
qed
ultimately show ?thesis
  by simp
qed
finally show ?thesis .
qed

```

**lemma H2-split:**  
 shows  $H2(P) = (P^f \vee (P^t \wedge \$ok'))$   
 by (simp add: H2-def J-split)

**theorem H2-equivalence:**  
 $P \text{ is } H2 \iff 'P^f \Rightarrow P^t'$   
**proof** -  
 have  $'P \Leftrightarrow (P ;; J)' \iff 'P \Leftrightarrow (P^f \vee (P^t \wedge \$ok'))'$   
 by (simp add: J-split)  
 also have ...  $\iff '(P \Leftrightarrow P^f \vee P^t \wedge \$ok')^f \wedge (P \Leftrightarrow P^f \vee P^t \wedge \$ok')^t'$   
 by (simp add: subst-bool-split)  
 also have ... =  $'(P^f \Leftrightarrow P^f) \wedge (P^t \Leftrightarrow P^f \vee P^t)'$   
 by subst-tac  
 also have ... =  $'P^t \Leftrightarrow (P^f \vee P^t)'$   
 by (pred-auto robust)  
 also have ... =  $'(P^f \Rightarrow P^t)'$   
 by (pred-auto)  
 finally show ?thesis  
 by (metis H2-def Healthy-def' taut-iff-eq)  
**qed**

**lemma H2-equiv:**  
 $P \text{ is } H2 \iff P^t \sqsubseteq P^f$   
 using H2-equivalence refBy-order by blast

**lemma H2-design:**  
 assumes  $\$ok' \# P \ \$ok' \# Q$   
 shows  $H2(P \vdash Q) = P \vdash Q$   
 using assms  
 by (simp add: H2-split design-def usubst unrest, pred-auto)

**lemma H2-rdesign:**  
 $H2(P \vdash_r Q) = P \vdash_r Q$   
 by (simp add: H2-design unrest rdesign-def)

**theorem J-idem:**

$(J ;; J) = J$   
**by** (*rel-auto*)

**theorem** *H2-idem*:  
 $H2(H2(P)) = H2(P)$   
**by** (*metis H2-def J-idem seqr-assoc*)

**theorem** *H2-Continuous: Continuous H2*  
**by** (*rel-auto*)

**theorem** *H2-not-okay:  $H2 (\neg \$ok) = (\neg \$ok)$*   
**proof** –  
**have**  $H2 (\neg \$ok) = ((\neg \$ok)^f \vee ((\neg \$ok)^t \wedge \$ok')$   
**by** (*simp add: H2-split*)  
**also have**  $\dots = (\neg \$ok \vee (\neg \$ok) \wedge \$ok')$   
**by** (*subst-tac*)  
**also have**  $\dots = (\neg \$ok)$   
**by** (*pred-auto*)  
**finally show** *?thesis* .  
**qed**

**lemma** *H2-true:  $H2(true) = true$*   
**by** (*rel-auto*)

**lemma** *H2-choice-closed [closure]*:  
 $\llbracket P \text{ is } H2; Q \text{ is } H2 \rrbracket \implies P \sqcap Q \text{ is } H2$   
**by** (*metis H2-def Healthy-def' disj-upred-def seqr-or-distl*)

**lemma** *H2-inf-closed [closure]*:  
**assumes**  $P \text{ is } H2 \ Q \text{ is } H2$   
**shows**  $P \sqcup Q \text{ is } H2$   
**proof** –  
**have**  $P \sqcup Q = (P^f \vee P^t \wedge \$ok') \sqcup (Q^f \vee Q^t \wedge \$ok')$   
**by** (*metis H2-def Healthy-def J-split assms(1) assms(2)*)  
**moreover have**  $H2(\dots) = \dots$   
**by** (*simp add: H2-split usubst, pred-auto*)  
**ultimately show** *?thesis*  
**by** (*simp add: Healthy-def*)  
**qed**

**lemma** *H2-USUP*:  
**shows**  $H2(\bigsqcap i \in A \cdot P(i)) = (\bigsqcap i \in A \cdot H2(P(i)))$   
**by** (*rel-auto*)

**theorem** *H1-H2-commute*:  
 $H1 (H2 P) = H2 (H1 P)$   
**proof** –  
**have**  $H2 (H1 P) = ((\$ok \Rightarrow P) ;; J)$   
**by** (*simp add: H1-def H2-def*)  
**also have**  $\dots = ((\neg \$ok \vee P) ;; J)$   
**by** (*rel-auto*)  
**also have**  $\dots = (((\neg \$ok) ;; J) \vee (P ;; J))$   
**using** *seqr-or-distl* **by** *blast*  
**also have**  $\dots = ((H2 (\neg \$ok)) \vee H2(P))$   
**by** (*simp add: H2-def*)

also have ... =  $((\neg \$ok) \vee H2(P))$   
 by (simp add: H2-not-okay)  
 also have ... =  $H1(H2(P))$   
 by (rel-auto)  
 finally show ?thesis by simp  
 qed

## 2.3 Designs as $H1$ - $H2$ predicates

**abbreviation**  $H1\text{-}H2 :: ('\alpha, '\beta) \text{rel-des} \Rightarrow ('\alpha, '\beta) \text{rel-des} \text{ (H)}$  where  
 $H1\text{-}H2\ P \equiv H1\ (H2\ P)$

**lemma**  $H1\text{-}H2\text{-comp}$ :  $\mathbf{H} = H1 \circ H2$   
 by (auto)

**theorem**  $H1\text{-}H2\text{-eq-design}$ :

$\mathbf{H}(P) = (\neg P^f) \vdash P^t$

**proof** –

have  $\mathbf{H}(P) = (\$ok \Rightarrow H2(P))$

by (simp add: H1-def)

also have ... =  $(\$ok \Rightarrow (P^f \vee (P^t \wedge \$ok')))$

by (metis H2-split)

also have ... =  $(\$ok \wedge (\neg P^f) \Rightarrow \$ok' \wedge \$ok \wedge P^t)$

by (rel-auto)

also have ... =  $(\neg P^f) \vdash P^t$

by (rel-auto)

finally show ?thesis .

qed

**theorem**  $H1\text{-}H2\text{-is-design}$ :

assumes  $P$  is  $H1$   $P$  is  $H2$

shows  $P = (\neg P^f) \vdash P^t$

using assms by (metis H1-H2-eq-design Healthy-def)

**theorem**  $H1\text{-}H2\text{-eq-rdesign}$ :

$\mathbf{H}(P) = pre_D(P) \vdash_r post_D(P)$

**proof** –

have  $\mathbf{H}(P) = (\$ok \Rightarrow H2(P))$

by (simp add: H1-def Healthy-def')

also have ... =  $(\$ok \Rightarrow (P^f \vee (P^t \wedge \$ok')))$

by (metis H2-split)

also have ... =  $(\$ok \wedge (\neg P^f) \Rightarrow \$ok' \wedge P^t)$

by (pred-auto)

also have ... =  $(\$ok \wedge (\neg P^f) \Rightarrow \$ok' \wedge \$ok \wedge P^t)$

by (pred-auto)

also have ... =  $(\$ok \wedge [pre_D(P)]_D \Rightarrow \$ok' \wedge \$ok \wedge [post_D(P)]_D)$

by (simp add: ok-post ok-pre)

also have ... =  $(\$ok \wedge [pre_D(P)]_D \Rightarrow \$ok' \wedge [post_D(P)]_D)$

by (pred-auto)

also have ... =  $pre_D(P) \vdash_r post_D(P)$

by (simp add: rdesign-def design-def)

finally show ?thesis .

qed

**theorem**  $H1\text{-}H2\text{-is-rdesign}$ :

assumes  $P$  is  $H1$   $P$  is  $H2$

**shows**  $P = pre_D(P) \vdash_r post_D(P)$   
**by** (*metis H1-H2-eq-rdesign Healthy-def assms(1) assms(2)*)

**lemma** *H1-H2-refinement*:

**assumes**  $P$  is **H**  $Q$  is **H**  
**shows**  $P \sqsubseteq Q \iff ('pre_D(P) \Rightarrow pre_D(Q)' \wedge 'pre_D(P) \wedge post_D(Q) \Rightarrow post_D(P)')$   
**by** (*metis H1-H2-eq-rdesign Healthy-if assms rdesign-refinement*)

**lemma** *H1-H2-refines*:

**assumes**  $P$  is **H**  $Q$  is **H**  $P \sqsubseteq Q$   
**shows**  $pre_D(Q) \sqsubseteq pre_D(P)$   $post_D(P) \sqsubseteq (pre_D(P) \wedge post_D(Q))$   
**using** *H1-H2-refinement assms refBy-order* **by** *auto*

**lemma** *H1-H2-idempotent*: **H** (**H**  $P$ ) = **H**  $P$

**by** (*simp add: H1-H2-commute H1-idem H2-idem*)

**lemma** *H1-H2-Idempotent* [closure]: *Idempotent* **H**

**by** (*simp add: Idempotent-def H1-H2-idempotent*)

**lemma** *H1-H2-monotonic* [closure]: *Monotonic* **H**

**by** (*simp add: H1-monotone H2-def mono-def seqr-mono*)

**lemma** *H1-H2-Continuous* [closure]: *Continuous* **H**

**by** (*simp add: Continuous-comp H1-Continuous H1-H2-comp H2-Continuous*)

**lemma** *design-is-H1-H2* [closure]:

$\llbracket \$ok' \# P; \$ok' \# Q \rrbracket \implies (P \vdash Q) \text{ is } \mathbf{H}$   
**by** (*simp add: H1-design H2-design Healthy-def'*)

**lemma** *rdesign-is-H1-H2* [closure]:

$(P \vdash_r Q) \text{ is } \mathbf{H}$   
**by** (*simp add: Healthy-def H1-rdesign H2-rdesign*)

**lemma** *top-d-is-H1-H2* [closure]:  $\top_D \text{ is } \mathbf{H}$

**by** (*simp add: H1-def H2-not-okay Healthy-intro impl-alt-def*)

**lemma** *bot-d-is-H1-H2* [closure]:  $\perp_D \text{ is } \mathbf{H}$

**by** (*simp add: bot-d-def closure unrest*)

**lemma** *seq-r-H1-H2-closed* [closure]:

**assumes**  $P$  is **H**  $Q$  is **H**  
**shows**  $(P ;; Q) \text{ is } \mathbf{H}$

**proof** –

**obtain**  $P_1 P_2$  **where**  $P = P_1 \vdash_r P_2$   
**by** (*metis H1-H2-commute H1-H2-is-rdesign H2-idem Healthy-def assms(1)*)  
**moreover obtain**  $Q_1 Q_2$  **where**  $Q = Q_1 \vdash_r Q_2$   
**by** (*metis H1-H2-commute H1-H2-is-rdesign H2-idem Healthy-def assms(2)*)  
**moreover have**  $((P_1 \vdash_r P_2) ;; (Q_1 \vdash_r Q_2)) \text{ is } \mathbf{H}$   
**by** (*simp add: rdesign-composition rdesign-is-H1-H2*)  
**ultimately show** *?thesis* **by** *simp*

**qed**

**lemma** *UINF-H1-H2-closed* [closure]:

**assumes**  $A \neq \{\}$   $\forall P \in A. P \text{ is } \mathbf{H}$   
**shows**  $(\bigcap A) \text{ is } H1-H2$

**proof** –

**from** *assms* **have**  $A: A = H1-H2 \text{ ' } A$   
**by** (*auto simp add: Healthy-def rev-image-eqI*)  
**also have**  $(\bigcap \dots) = (\bigcap P \in A \cdot H1-H2(P))$   
**by** (*simp add: UINF-as-Sup-collect*)  
**also have**  $\dots = (\bigcap P \in A \cdot (\neg P^f) \vdash P^t)$   
**by** (*meson H1-H2-eq-design*)  
**also have**  $\dots = (\bigcup P \in A \cdot \neg P^f) \vdash (\bigcap P \in A \cdot P^t)$   
**by** (*simp add: design-UINF-mem assms*)  
**also have**  $\dots$  *is*  $H1-H2$   
**by** (*simp add: design-is-H1-H2 unrest*)  
**finally show** *?thesis* .

**qed**

**definition** *design-inf* ::  $('α, 'β) \text{ rel-des set} \Rightarrow ('α, 'β) \text{ rel-des } (\bigcap_D - [900] 900)$  **where**  
 $\bigcap_D A = (\text{if } (A = \{\}) \text{ then } \top_D \text{ else } \bigcap A)$

**abbreviation** *design-sup* ::  $('α, 'β) \text{ rel-des set} \Rightarrow ('α, 'β) \text{ rel-des } (\bigcup_D - [900] 900)$  **where**  
 $\bigcup_D A \equiv \bigcup A$

**lemma** *design-inf-H1-H2-closed*:

**assumes**  $\forall P \in A. P \text{ is } \mathbf{H}$   
**shows**  $(\bigcap_D A) \text{ is } \mathbf{H}$   
**apply** (*auto simp add: design-inf-def closure*)  
**apply** (*simp add: H1-def H2-not-okay Healthy-def impl-alt-def*)  
**apply** (*metis H1-def Healthy-def UINF-H1-H2-closed assms empty-iff impl-alt-def*)  
**done**

**lemma** *design-sup-empty* [*simp*]:  $\bigcap_D \{\} = \top_D$   
**by** (*simp add: design-inf-def*)

**lemma** *design-sup-non-empty* [*simp*]:  $A \neq \{\} \Rightarrow \bigcap_D A = \bigcap A$   
**by** (*simp add: design-inf-def*)

**lemma** *USUP-mem-H1-H2-closed*:

**assumes**  $\bigwedge i. i \in A \Rightarrow P \text{ is } \mathbf{H}$   
**shows**  $(\bigcup_{i \in A} P \ i) \text{ is } \mathbf{H}$

**proof** –

**from** *assms* **have**  $(\bigcup_{i \in A} P \ i) = (\bigcup_{i \in A} P \ i)$   
**by** (*auto intro: USUP-cong simp add: Healthy-def*)  
**also have**  $\dots = (\bigcup_{i \in A} P \ i \cdot (\neg (P \ i)^f) \vdash (P \ i)^t)$   
**by** (*meson H1-H2-eq-design*)  
**also have**  $\dots = (\bigcap_{i \in A} P \ i \cdot \neg (P \ i)^f) \vdash (\bigcup_{i \in A} P \ i \cdot \neg (P \ i)^f \Rightarrow (P \ i)^t)$   
**by** (*simp add: design-USUP-mem*)  
**also have**  $\dots$  *is*  $\mathbf{H}$   
**by** (*simp add: design-is-H1-H2 unrest*)  
**finally show** *?thesis* .

**qed**

**lemma** *USUP-ind-H1-H2-closed*:

**assumes**  $\bigwedge i. P \ i \text{ is } \mathbf{H}$   
**shows**  $(\bigcup i \cdot P \ i) \text{ is } \mathbf{H}$   
**using** *assms USUP-mem-H1-H2-closed* [*of UNIV P*] **by** *simp*

**lemma** *Inf-H1-H2-closed*:

**assumes**  $\forall P \in A. P \text{ is } \mathbf{H}$   
**shows**  $(\sqcup A) \text{ is } \mathbf{H}$   
**proof** –  
**from** *assms* **have**  $A: A = \mathbf{H} \text{ ' } A$   
**by** (*auto simp add: Healthy-def rev-image-eqI*)  
**also have**  $(\sqcup \dots) = (\sqcup P \in A \cdot \mathbf{H}(P))$   
**by** (*simp add: USUP-as-Inf-collect*)  
**also have**  $\dots = (\sqcup P \in A \cdot (\neg P^f) \vdash P^t)$   
**by** (*meson H1-H2-eq-design*)  
**also have**  $\dots = (\prod P \in A \cdot \neg P^f) \vdash (\sqcup P \in A \cdot \neg P^f \Rightarrow P^t)$   
**by** (*simp add: design-USUP-mem*)  
**also have**  $\dots \text{ is } \mathbf{H}$   
**by** (*simp add: design-is-H1-H2 unrest*)  
**finally show** *?thesis* .  
**qed**

**lemma** *rdesign-ref-monos*:  
**assumes**  $P \text{ is } \mathbf{H} \ Q \text{ is } \mathbf{H} \ P \sqsubseteq Q$   
**shows**  $\text{pre}_D(Q) \sqsubseteq \text{pre}_D(P) \ \text{post}_D(P) \sqsubseteq (\text{pre}_D(P) \wedge \text{post}_D(Q))$   
**proof** –  
**have**  $r: P \sqsubseteq Q \longleftrightarrow (\text{'pre}_D(P) \Rightarrow \text{pre}_D(Q) \text{' } \wedge \text{'pre}_D(P) \wedge \text{post}_D(Q) \Rightarrow \text{post}_D(P) \text{'})$   
**by** (*metis H1-H2-eq-rdesign Healthy-if assms(1) assms(2) rdesign-refinement*)  
**from** *r assms* **show**  $\text{pre}_D(Q) \sqsubseteq \text{pre}_D(P)$   
**by** (*auto simp add: refBy-order*)  
**from** *r assms* **show**  $\text{post}_D(P) \sqsubseteq (\text{pre}_D(P) \wedge \text{post}_D(Q))$   
**by** (*auto simp add: refBy-order*)  
**qed**

## 2.4 H3: The design assumption is a precondition

**definition**  $H3 :: ('\alpha, '\beta) \text{ rel-des} \Rightarrow ('\alpha, '\beta) \text{ rel-des}$  **where**  
 $[\text{upred-defs}]: H3(P) \equiv P ;; \Pi_D$

**theorem** *H3-idem*:  
 $H3(H3(P)) = H3(P)$   
**by** (*metis H3-def design-skip-idem seqr-assoc*)

**theorem** *H3-mono*:  
 $P \sqsubseteq Q \Longrightarrow H3(P) \sqsubseteq H3(Q)$   
**by** (*simp add: H3-def seqr-mono*)

**theorem** *H3-Monotonic*:  
 $\text{Monotonic } H3$   
**by** (*simp add: H3-mono mono-def*)

**theorem** *H3-Continuous*:  $\text{Continuous } H3$   
**by** (*rel-auto*)

**theorem** *design-condition-is-H3*:  
**assumes**  $\text{out}\alpha \nVdash p$   
**shows**  $(p \vdash Q) \text{ is } H3$   
**proof** –  
**have**  $((p \vdash Q) ;; \Pi_D) = (\neg((\neg p) ;; \text{true})) \vdash (Q^t ;; \Pi[\text{true}/\text{\$ok}])$   
**by** (*simp add: skip-d-alt-def design-composition-subst unrest assms*)  
**also have**  $\dots = p \vdash (Q^t ;; \Pi[\text{true}/\text{\$ok}])$   
**using** *assms precondition-equiv seqr-true-lemma* **by force**

also have ... =  $p \vdash Q$   
 by (rel-auto)  
 finally show ?thesis  
 by (simp add: H3-def Healthy-def')  
 qed

**theorem** *rdesign-H3-iff-pre*:

$P \vdash_r Q$  is  $H3 \iff P = (P ;; \text{true})$

**proof** –

have  $(P \vdash_r Q) ;; II_D = (P \vdash_r Q) ;; (\text{true} \vdash_r II)$   
 by (simp add: skip-d-def)  
 also have ... =  $(\neg ((\neg P) ;; \text{true}) \wedge \neg (Q ;; (\neg \text{true}))) \vdash_r (Q ;; II)$   
 by (simp add: rdesign-composition)  
 also have ... =  $(\neg ((\neg P) ;; \text{true}) \wedge \neg (Q ;; (\neg \text{true}))) \vdash_r Q$   
 by simp  
 also have ... =  $(\neg ((\neg P) ;; \text{true})) \vdash_r Q$   
 by (pred-auto)  
 finally have  $P \vdash_r Q$  is  $H3 \iff P \vdash_r Q = (\neg ((\neg P) ;; \text{true})) \vdash_r Q$   
 by (metis H3-def Healthy-def')  
 also have ...  $\iff P = (\neg ((\neg P) ;; \text{true}))$   
 by (metis rdesign-pre)  
 thm seqr-true-lemma  
 also have ...  $\iff P = (P ;; \text{true})$   
 by (simp add: seqr-true-lemma)  
 finally show ?thesis .  
 qed

**theorem** *design-H3-iff-pre*:

assumes  $\$ok \# P \$ok' \# P \$ok \# Q \$ok' \# Q$

shows  $P \vdash Q$  is  $H3 \iff P = (P ;; \text{true})$

**proof** –

have  $P \vdash Q = \lfloor P \rfloor_D \vdash_r \lfloor Q \rfloor_D$   
 by (simp add: assms lift-desr-inv rdesign-def)  
 moreover hence  $\lfloor P \rfloor_D \vdash_r \lfloor Q \rfloor_D$  is  $H3 \iff \lfloor P \rfloor_D = (\lfloor P \rfloor_D ;; \text{true})$   
 using rdesign-H3-iff-pre by blast  
 ultimately show ?thesis  
 by (metis assms(1,2) drop-desr-inv lift-desr-inv lift-dist-seq aext-true)  
 qed

**theorem** *H1-H3-commute*:

$H1 (H3 P) = H3 (H1 P)$

by (rel-auto)

**lemma** *skip-d-absorb-J-1*:

$(II_D ;; J) = II_D$

by (metis H2-def H2-rdesign skip-d-def)

**lemma** *skip-d-absorb-J-2*:

$(J ;; II_D) = II_D$

**proof** –

have  $(J ;; II_D) = ((\$ok \Rightarrow \$ok') \wedge \lceil II \rceil_D) ;; (\text{true} \vdash II)$   
 by (simp add: J-def skip-d-alt-def)  
 also have ... =  $(\exists ok_0 \cdot ((\$ok \Rightarrow \$ok') \wedge \lceil II \rceil_D) \llbracket \ll ok_0 \gg / \$ok' \rrbracket ;; (\text{true} \vdash II) \llbracket \ll ok_0 \gg / \$ok \rrbracket)$   
 by (subst seqr-middle[of ok], simp-all)  
 also have ... =  $((((\$ok \Rightarrow \$ok') \wedge \lceil II \rceil_D) \llbracket false / \$ok' \rrbracket ;; (\text{true} \vdash II) \llbracket false / \$ok \rrbracket)$

$\vee (((\$ok \Rightarrow \$ok') \wedge \lceil II \rceil_D) \llbracket true/\$ok' \rrbracket ;; (true \vdash II) \llbracket true/\$ok \rrbracket))$   
 by (simp add: disj-comm false-alt-def true-alt-def)  
 also have ... =  $((\neg \$ok \wedge \lceil II \rceil_D ;; true) \vee (\lceil II \rceil_D ;; \$ok' \wedge \lceil II \rceil_D))$   
 by (rel-auto)  
 also have ... =  $II_D$   
 by (rel-auto)  
 finally show ?thesis .  
 qed

**lemma** *H2-H3-absorb*:  
 $H2 (H3 P) = H3 P$   
 by (metis H2-def H3-def segr-assoc skip-d-absorb-J-1)

**lemma** *H3-H2-absorb*:  
 $H3 (H2 P) = H3 P$   
 by (metis H2-def H3-def segr-assoc skip-d-absorb-J-2)

**theorem** *H2-H3-commute*:  
 $H2 (H3 P) = H3 (H2 P)$   
 by (simp add: H2-H3-absorb H3-H2-absorb)

**theorem** *H3-design-pre*:  
 assumes  $\$ok \# p \text{ out}\alpha \# p \ \$ok \# Q \ \$ok' \# Q$   
 shows  $H3(p \vdash Q) = p \vdash Q$   
 using *assms*  
 by (metis Healthy-def' design-H3-iff-pre precondition-right-unit unrest-out $\alpha$ -var ok-vwb-lens vwb-lens-mwb)

**theorem** *H3-rdesign-pre*:  
 assumes  $\text{out}\alpha \# p$   
 shows  $H3(p \vdash_r Q) = p \vdash_r Q$   
 using *assms*  
 by (simp add: H3-def)

**theorem** *H3-ndesign*:  $H3(p \vdash_n Q) = (p \vdash_n Q)$   
 by (simp add: H3-def ndesign-def unrest-pre-out $\alpha$ )

**theorem** *ndesign-is-H3* [closure]:  $p \vdash_n Q$  is *H3*  
 by (simp add: H3-ndesign Healthy-def)

## 2.5 Normal Designs as *H1-H3* predicates

A normal design [3] refers only to initial state variables in the precondition.

**abbreviation** *H1-H3* ::  $(\alpha, \beta) \text{ rel-des} \Rightarrow (\alpha, \beta) \text{ rel-des } (\mathbf{N})$  **where**  
 $H1-H3 \ p \equiv H1 (H3 \ p)$

**lemma** *H1-H3-comp*:  $H1-H3 = H1 \circ H3$   
 by (auto)

**theorem** *H1-H3-is-design*:  
 assumes  $P$  is *H1*  $P$  is *H3*  
 shows  $P = (\neg P^f) \vdash P^t$   
 by (metis H1-H2-eq-design H2-H3-absorb Healthy-def' *assms*(1) *assms*(2))

**theorem** *H1-H3-is-rdesign*:  
 assumes  $P$  is *H1*  $P$  is *H3*



**shows**  $P = pre_D(P) \vdash_r post_D(P)$   
**by** (metis *H1-H2-is-rdesign H2-H3-absorb Healthy-def' assms*)

**theorem** *H1-H3-is-normal-design*:  
**assumes**  $P$  is *H1*  $P$  is *H3*  
**shows**  $P = \lfloor pre_D(P) \rfloor_{<} \vdash_n post_D(P)$   
**by** (metis *H1-H3-is-rdesign assms drop-pre-inv ndesign-def precond-equiv rdesign-H3-iff-pre*)

**lemma** *H1-H3-idempotent*:  $\mathbf{N} (\mathbf{N} P) = \mathbf{N} P$   
**by** (simp add: *H1-H3-commute H1-idem H3-idem*)

**lemma** *H1-H3-Idempotent* [closure]: *Idempotent*  $\mathbf{N}$   
**by** (simp add: *Idempotent-def H1-H3-idempotent*)

**lemma** *H1-H3-monotonic* [closure]: *Monotonic*  $\mathbf{N}$   
**by** (simp add: *H1-monotone H3-mono mono-def*)

**lemma** *H1-H3-Continuous* [closure]: *Continuous*  $\mathbf{N}$   
**by** (simp add: *Continuous-comp H1-Continuous H1-H3-comp H3-Continuous*)

**lemma** *H1-H3-intro*:  
**assumes**  $P$  is **H**  $out\alpha \nVdash pre_D(P)$   
**shows**  $P$  is  $\mathbf{N}$   
**by** (metis *H1-H2-eq-rdesign H1-rdesign H3-rdesign-pre Healthy-def' assms*)

**lemma** *H1-H3-impl-H2* [closure]:  $P$  is  $\mathbf{N} \implies P$  is **H**  
**by** (metis *H1-H2-commute H1-idem H2-H3-absorb Healthy-def'*)

**lemma** *H1-H3-eq-design-d-comp*:  $\mathbf{N}(P) = ((\neg P^f) \vdash P^t) ;; II_D$   
**by** (metis *H1-H2-eq-design H1-H3-commute H3-H2-absorb H3-def*)

**lemma** *H1-H3-eq-design*:  $\mathbf{N}(P) = (\neg (P^f ;; true)) \vdash P^t$   
**apply** (simp add: *H1-H3-eq-design-d-comp skip-d-alt-def*)  
**apply** (subst *design-composition-subst*)  
**apply** (simp-all add: *usubst unrest*)  
**apply** (rel-auto)  
**done**

**lemma** *H3-unrest-out-alpha-nok* [unrest]:  
**assumes**  $P$  is  $\mathbf{N}$   
**shows**  $out\alpha \nVdash P^f$   
**proof** –  
**have**  $P = (\neg (P^f ;; true)) \vdash P^t$   
**by** (metis *H1-H3-eq-design Healthy-def assms*)  
**also have**  $out\alpha \nVdash (...)^f$   
**by** (simp add: *design-def usubst unrest, rel-auto*)  
**finally show** ?thesis .  
**qed**

**lemma** *H3-unrest-out-alpha* [unrest]:  $P$  is  $\mathbf{N} \implies out\alpha \nVdash pre_D(P)$   
**by** (metis *H1-H3-commute H1-H3-is-rdesign H1-idem Healthy-def' precond-equiv rdesign-H3-iff-pre*)

**lemma** *ndesign-H1-H3* [closure]:  $p \vdash_n Q$  is  $\mathbf{N}$   
**by** (simp add: *H1-rdesign H3-def Healthy-def' ndesign-def unrest-pre-out\alpha*)

**lemma** *ndesign-form*:  $P$  is  $\mathbf{N} \implies (\lfloor \text{pre}_D(P) \rfloor_{<} \vdash_n \text{post}_D(P)) = P$   
**by** (*metis H1-H2-eq-rdesign H1-H3-impl-H2 H3-unrest-out-alpha Healthy-def drop-pre-inv ndesign-def*)

**lemma** *des-bot-H1-H3* [closure]:  $\perp_D$  is  $\mathbf{N}$   
**by** (*metis H1-design H3-def Healthy-def' design-false-pre design-true-left-zero skip-d-alt-def bot-d-def*)

**lemma** *des-top-is-H1-H3* [closure]:  $\top_D$  is  $\mathbf{N}$   
**by** (*metis ndesign-H1-H3 ndesign-miracle*)

**lemma** *skip-d-is-H1-H3* [closure]:  $\text{II}_D$  is  $\mathbf{N}$   
**by** (*simp add: ndesign-H1-H3 skip-d-ndes-def*)

**lemma** *seq-r-H1-H3-closed* [closure]:  
**assumes**  $P$  is  $\mathbf{N}$   $Q$  is  $\mathbf{N}$   
**shows**  $(P \mathrel{;;} Q)$  is  $\mathbf{N}$   
**by** (*metis (no-types) H1-H2-eq-design H1-H3-eq-design-d-comp H1-H3-impl-H2 Healthy-def assms(1) assms(2) seq-r-H1-H2-closed seqr-assoc*)

**lemma** *dcond-H1-H2-closed* [closure]:  
**assumes**  $P$  is  $\mathbf{N}$   $Q$  is  $\mathbf{N}$   
**shows**  $(P \triangleleft b \triangleright_D Q)$  is  $\mathbf{N}$   
**by** (*metis assms ndesign-H1-H3 ndesign-dcond ndesign-form*)

**lemma** *inf-H1-H2-closed* [closure]:  
**assumes**  $P$  is  $\mathbf{N}$   $Q$  is  $\mathbf{N}$   
**shows**  $(P \sqcap Q)$  is  $\mathbf{N}$   
**by** (*metis assms ndesign-H1-H3 ndesign-choice ndesign-form*)

**lemma** *sup-H1-H2-closed* [closure]:  
**assumes**  $P$  is  $\mathbf{N}$   $Q$  is  $\mathbf{N}$   
**shows**  $(P \sqcup Q)$  is  $\mathbf{N}$   
**by** (*metis assms ndesign-H1-H3 ndesign-inf ndesign-form*)

**lemma** *ndes-seqr-miracle*:  
**assumes**  $P$  is  $\mathbf{N}$   
**shows**  $P \mathrel{;;} \top_D = \lfloor \text{pre}_D P \rfloor_{<} \vdash_n \text{false}$   
**proof** –  
**have**  $P \mathrel{;;} \top_D = (\lfloor \text{pre}_D(P) \rfloor_{<} \vdash_n \text{post}_D(P)) \mathrel{;;} (\text{true} \vdash_n \text{false})$   
**by** (*simp add: assms ndesign-form ndesign-miracle*)  
**also have**  $\dots = \lfloor \text{pre}_D P \rfloor_{<} \vdash_n \text{false}$   
**by** (*simp add: ndesign-composition-wp wp alpha*)  
**finally show** ?thesis .  
**qed**

**lemma** *ndes-seqr-abort*:  
**assumes**  $P$  is  $\mathbf{N}$   
**shows**  $P \mathrel{;;} \perp_D = (\lfloor \text{pre}_D P \rfloor_{<} \wedge \text{post}_D P \text{ wp false}) \vdash_n \text{false}$   
**proof** –  
**have**  $P \mathrel{;;} \perp_D = (\lfloor \text{pre}_D(P) \rfloor_{<} \vdash_n \text{post}_D(P)) \mathrel{;;} (\text{false} \vdash_n \text{false})$   
**by** (*simp add: assms bot-d-true ndesign-false-pre ndesign-form*)  
**also have**  $\dots = (\lfloor \text{pre}_D P \rfloor_{<} \wedge \text{post}_D P \text{ wp false}) \vdash_n \text{false}$   
**by** (*simp add: ndesign-composition-wp alpha*)  
**finally show** ?thesis .  
**qed**

**lemma** *USUP-ind-H1-H3-closed* [closure]:

$\llbracket \bigwedge i. P \ i \text{ is } \mathbf{N} \rrbracket \implies (\bigsqcup i \cdot P \ i) \text{ is } \mathbf{N}$

**by** (rule *H1-H3-intro*, simp-all add: *H1-H3-impl-H2 USUP-ind-H1-H2-closed preD-USUP-ind unrest*)

## 2.6 H4: Feasibility

**definition**  $H_4 :: ('\alpha, '\beta) \text{ rel-des} \Rightarrow (''\alpha, ''\beta) \text{ rel-des}$  **where**

[upred-defs]:  $H_4(P) = ((P;;\text{true}) \Rightarrow P)$

**theorem** *H4-idem*:

$H_4(H_4(P)) = H_4(P)$

**by** (*rel-auto*)

**lemma** *is-H4-alt-def*:

$P \text{ is } H_4 \iff (P;;\text{true}) = \text{true}$

**by** (*rel-blast*)

**end**

## 2.7 UTP theory of Designs

**theory** *utp-des-theory*

**imports** *utp-des-healths*

**begin**

## 2.8 UTP theories

**typedec** *DES*

**typedec** *NDES*

**abbreviation**  $DES \equiv UTHY(DES, '\alpha \text{ des})$

**abbreviation**  $NDES \equiv UTHY(NDES, '\alpha \text{ des})$

**overloading**

$\text{des-hcond} == \text{utp-hcond} :: (DES, '\alpha \text{ des}) \text{ uthy} \Rightarrow (''\alpha \text{ des} \times ''\alpha \text{ des}) \text{ health}$

$\text{des-unit} == \text{utp-unit} :: (DES, '\alpha \text{ des}) \text{ uthy} \Rightarrow '\alpha \text{ hrel-des}$  (**unchecked**)

$\text{ndes-hcond} == \text{utp-hcond} :: (NDES, '\alpha \text{ des}) \text{ uthy} \Rightarrow (''\alpha \text{ des} \times ''\alpha \text{ des}) \text{ health}$

$\text{ndes-unit} == \text{utp-unit} :: (NDES, '\alpha \text{ des}) \text{ uthy} \Rightarrow '\alpha \text{ hrel-des}$  (**unchecked**)

**begin**

**definition**  $\text{des-hcond} :: (DES, '\alpha \text{ des}) \text{ uthy} \Rightarrow (''\alpha \text{ des} \times ''\alpha \text{ des}) \text{ health}$  **where**

[upred-defs]:  $\text{des-hcond } t = H1\text{-}H2$

**definition**  $\text{des-unit} :: (DES, '\alpha \text{ des}) \text{ uthy} \Rightarrow '\alpha \text{ hrel-des}$  **where**

[upred-defs]:  $\text{des-unit } t = II_D$

**definition**  $\text{ndes-hcond} :: (NDES, '\alpha \text{ des}) \text{ uthy} \Rightarrow (''\alpha \text{ des} \times ''\alpha \text{ des}) \text{ health}$  **where**

[upred-defs]:  $\text{ndes-hcond } t = H1\text{-}H3$

**definition**  $\text{ndes-unit} :: (NDES, '\alpha \text{ des}) \text{ uthy} \Rightarrow '\alpha \text{ hrel-des}$  **where**

[upred-defs]:  $\text{ndes-unit } t = II_D$

**end**

**interpretation** *des-utp-theory*: *utp-theory DES*

by (simp add: H1-H2-commute H1-idem H2-idem des-hcond-def utp-theory-def)

**interpretation** *ndes-utp-theory*: utp-theory NDES  
 by (simp add: H1-H3-commute H1-idem H3-idem ndes-hcond-def utp-theory.intro)

**interpretation** *des-left-unital*: utp-theory-left-unital DES  
 apply (unfold-locale)  
 apply (simp-all add: des-hcond-def des-unit-def)  
 using seq-r-H1-H2-closed apply blast  
 apply (simp add: rdesign-is-H1-H2 skip-d-def)  
 apply (metis H1-idem H1-left-unit Healthy-def')  
 done

**interpretation** *ndes-unital*: utp-theory-unital NDES  
 apply (unfold-locale, simp-all add: ndes-hcond-def ndes-unit-def)  
 using seq-r-H1-H3-closed apply blast  
 apply (metis H1-rdesign H3-def Healthy-def' design-skip-idem skip-d-def)  
 apply (metis H1-idem H1-left-unit Healthy-def')  
 apply (metis H1-H3-commute H3-def H3-idem Healthy-def')  
 done

**interpretation** *design-theory-continuous*: utp-theory-continuous DES  
 rewrites  $\bigwedge P. P \in \text{carrier } (\text{uthy-order } DES) \longleftrightarrow P \text{ is } \mathbf{H}$   
 and  $\text{carrier } (\text{uthy-order } DES) \rightarrow \text{carrier } (\text{uthy-order } DES) \equiv \llbracket \mathbf{H} \rrbracket_H \rightarrow \llbracket \mathbf{H} \rrbracket_H$   
 and  $\llbracket \mathcal{H}_{DES} \rrbracket_H \rightarrow \llbracket \mathcal{H}_{DES} \rrbracket_H \equiv \llbracket \mathbf{H} \rrbracket_H \rightarrow \llbracket \mathbf{H} \rrbracket_H$   
 and  $le (\text{uthy-order } DES) = (\sqsubseteq)$   
 and  $eq (\text{uthy-order } DES) = (=)$   
 by (unfold-locale, simp-all add: des-hcond-def H1-H2-Continuous utp-order-def)

**interpretation** *normal-design-theory-continuous*: utp-theory-continuous NDES  
 rewrites  $\bigwedge P. P \in \text{carrier } (\text{uthy-order } NDES) \longleftrightarrow P \text{ is } \mathbf{N}$   
 and  $\text{carrier } (\text{uthy-order } NDES) \rightarrow \text{carrier } (\text{uthy-order } NDES) \equiv \llbracket \mathbf{N} \rrbracket_H \rightarrow \llbracket \mathbf{N} \rrbracket_H$   
 and  $\llbracket \mathcal{H}_{NDES} \rrbracket_H \rightarrow \llbracket \mathcal{H}_{NDES} \rrbracket_H \equiv \llbracket \mathbf{N} \rrbracket_H \rightarrow \llbracket \mathbf{N} \rrbracket_H$   
 and  $le (\text{uthy-order } NDES) = (\sqsubseteq)$   
 and  $A \subseteq \text{carrier } (\text{uthy-order } NDES) \longleftrightarrow A \subseteq \llbracket \mathbf{N} \rrbracket_H$   
 and  $eq (\text{uthy-order } NDES) = (=)$   
 by (unfold-locale, simp-all add: ndes-hcond-def H1-H3-Continuous utp-order-def)

**lemma** *design-lat-top*:  $\top_{DES} = \mathbf{H}(\text{false})$   
 by (simp add: design-theory-continuous.healthy-top, simp add: des-hcond-def)

**lemma** *design-lat-bottom*:  $\perp_{DES} = \mathbf{H}(\text{true})$   
 by (simp add: design-theory-continuous.healthy-bottom, simp add: des-hcond-def)

**lemma** *ndesign-lat-top*:  $\top_{NDES} = \mathbf{N}(\text{false})$   
 by (metis ndes-hcond-def normal-design-theory-continuous.healthy-top)

**lemma** *ndesign-lat-bottom*:  $\perp_{NDES} = \mathbf{N}(\text{true})$   
 by (metis ndes-hcond-def normal-design-theory-continuous.healthy-bottom)

## 2.9 Galois Connection

Example Galois connection between designs and relations. Based on Jim's example in COM-PASS deliverable D23.5.

**definition** [*upred-defs*]:  $Des(R) = \mathbf{H}(\lceil R \rceil_D \wedge \$ok')$

**definition**  $[upred-defs]$ :  $Rel(D) = \lfloor D \llbracket true, true / \$ok, \$ok \rrbracket \rfloor_D$

**lemma** *Des-design*:  $Des(R) = true \vdash_r R$   
**by** (*rel-auto*)

**lemma** *Rel-design*:  $Rel(P \vdash_r Q) = (P \Rightarrow Q)$   
**by** (*rel-auto*)

**interpretation** *Des-Rel-coretract*:

*coretract*  $DES \leftarrow \langle Des, Rel \rangle \rightarrow REL$

**rewrites**

$\bigwedge x. x \in carrier \mathcal{X}_{DES \leftarrow \langle Des, Rel \rangle \rightarrow REL} = (x \text{ is } \mathbf{H})$  **and**

$\bigwedge x. x \in carrier \mathcal{Y}_{DES \leftarrow \langle Des, Rel \rangle \rightarrow REL} = True$  **and**

$\pi_{DES \leftarrow \langle Des, Rel \rangle \rightarrow REL}^* = Des$  **and**

$\pi_{DES \leftarrow \langle Des, Rel \rangle \rightarrow REL}^* = Rel$  **and**

$le \mathcal{X}_{DES \leftarrow \langle Des, Rel \rangle \rightarrow REL} = (\sqsubseteq)$  **and**

$le \mathcal{Y}_{DES \leftarrow \langle Des, Rel \rangle \rightarrow REL} = (\sqsubseteq)$

**proof** (*unfold-locales, simp-all add: rel-hcond-def des-hcond-def*)

**show**  $\bigwedge x. x \text{ is } id$

**by** (*simp add: Healthy-def*)

**next**

**show**  $Rel \in \llbracket \mathbf{H} \rrbracket_H \rightarrow \llbracket id \rrbracket_H$

**by** (*auto simp add: Rel-def rel-hcond-def Healthy-def*)

**next**

**show**  $Des \in \llbracket id \rrbracket_H \rightarrow \llbracket \mathbf{H} \rrbracket_H$

**by** (*auto simp add: Des-def des-hcond-def Healthy-def H1-H2-commute H1-idem H2-idem*)

**next**

**fix**  $R :: 'a \text{ hrel}$

**show**  $R \sqsubseteq Rel (Des R)$

**by** (*simp add: Des-design Rel-design*)

**next**

**fix**  $R :: 'a \text{ hrel}$  **and**  $D :: 'a \text{ hrel-des}$

**assume**  $a: D \text{ is } \mathbf{H}$

**then obtain**  $D_1 D_2$  **where**  $D: D = D_1 \vdash_r D_2$

**by** (*metis H1-H2-commute H1-H2-is-rdesign H1-idem Healthy-def*)

**show**  $(Rel D \sqsubseteq R) = (D \sqsubseteq Des R)$

**proof** –

**have**  $(D \sqsubseteq Des R) = (D_1 \vdash_r D_2 \sqsubseteq true \vdash_r R)$

**by** (*simp add: D Des-design*)

**also have**  $\dots = 'D_1 \wedge R \Rightarrow D_2'$

**by** (*simp add: rdesign-refinement*)

**also have**  $\dots = ((D_1 \Rightarrow D_2) \sqsubseteq R)$

**by** (*rel-auto*)

**also have**  $\dots = (Rel D \sqsubseteq R)$

**by** (*simp add: D Rel-design*)

**finally show** *?thesis* ..

**qed**

**qed**

From this interpretation we gain many Galois theorems. Some require simplification to remove superfluous assumptions.

**thm** *Des-Rel-coretract.deflation[simplified]*

**thm** *Des-Rel-coretract.inflation*

**thm** *Des-Rel-coretract.upper-comp[simplified]*

**thm** *Des-Rel-coretract.lower-comp*

## 2.10 Fixed Points

**abbreviation** *design-lfp* :: ( $'\alpha$  hrel-des  $\Rightarrow$   $'\alpha$  hrel-des)  $\Rightarrow$   $'\alpha$  hrel-des ( $\mu_D$ ) **where**  
 $\mu_D F \equiv \mu_{DES} F$

**abbreviation** *design-gfp* :: ( $'\alpha$  hrel-des  $\Rightarrow$   $'\alpha$  hrel-des)  $\Rightarrow$   $'\alpha$  hrel-des ( $\nu_D$ ) **where**  
 $\nu_D F \equiv \nu_{DES} F$

**syntax**

-dmu :: *pttrn*  $\Rightarrow$  *logic*  $\Rightarrow$  *logic* ( $\mu_D$  - - - [0, 10] 10)  
 -dnu :: *pttrn*  $\Rightarrow$  *logic*  $\Rightarrow$  *logic* ( $\nu_D$  - - - [0, 10] 10)

**translations**

$\mu_D X \cdot P == \mu_{CONST\ DES} (\lambda X. P)$   
 $\nu_D X \cdot P == \nu_{CONST\ DES} (\lambda X. P)$

**thm** *design-theory-continuous.GFP-unfold*

**thm** *design-theory-continuous.LFP-unfold*

Specialise *mu-refine-intro* to designs.

**lemma** *design-mu-refine-intro*:

**assumes**  $\$ok' \# C \$ok' \# S (C \vdash S) \sqsubseteq F(C \vdash S) \text{ ' } C \Rightarrow (\mu_D F \Leftrightarrow \nu_D F) \text{ '}$   
**shows**  $(C \vdash S) \sqsubseteq \mu_D F$

**proof** –

**from** *assms* **have**  $(C \vdash S) \sqsubseteq \nu_D F$   
**thm** *design-theory-continuous.weak.GFP-upperbound*  
**by** (*simp add: design-is-H1-H2 design-theory-continuous.weak.GFP-upperbound*)  
**with** *assms* **show** *?thesis*  
**by** (*rel-auto, metis (no-types, lifting)*)

**qed**

**lemma** *rdesign-mu-refine-intro*:

**assumes**  $(C \vdash_r S) \sqsubseteq F(C \vdash_r S) \text{ ' } \lceil C \rceil_D \Rightarrow (\mu_D F \Leftrightarrow \nu_D F) \text{ '}$   
**shows**  $(C \vdash_r S) \sqsubseteq \mu_D F$   
**using** *assms* **by** (*simp add: rdesign-def design-mu-refine-intro unrest*)

**lemma** *H1-H2-mu-refine-intro*:

**assumes**  $P \text{ is } \mathbf{H} P \sqsubseteq F(P) \text{ ' } \lceil pre_D(P) \rceil_D \Rightarrow (\mu_D F \Leftrightarrow \nu_D F) \text{ '}$   
**shows**  $P \sqsubseteq \mu_D F$   
**by** (*metis H1-H2-eq-rdesign Healthy-if assms rdesign-mu-refine-intro*)

Foundational theorem for recursion introduction using a well-founded relation. Contributed by Dr. Yakoub Nemouchi.

**theorem** *rdesign-mu-wf-refine-intro*:

**assumes**  $WF: wf\ R$   
**and**  $M: Monotonic\ F$   
**and**  $H: F \in \llbracket \mathbf{H} \rrbracket_H \rightarrow \llbracket \mathbf{H} \rrbracket_H$   
**and** *induct-step*:  
 $\bigwedge st. (P \wedge \lceil e \rceil_{<} =_u \ll st \gg) \vdash_r Q \sqsubseteq F((P \wedge (\lceil e \rceil_{<}, \ll st \gg)_u \in_u \ll R \gg) \vdash_r Q)$   
**shows**  $(P \vdash_r Q) \sqsubseteq \mu_D F$

**proof** –

{  
**fix** *st*

**have**  $(P \wedge [e]_{<} =_u \ll st \gg) \vdash_r Q \sqsubseteq \mu_D F$   
**using** *WF proof (induction rule: wf-induct-rule)*  
**case** *(less st)*  
**hence**  $0: (P \wedge ([e]_{<}, \ll st \gg)_u \in_u \ll R \gg) \vdash_r Q \sqsubseteq \mu_D F$   
**by** *rel-blast*  
**from** *M H design-theory-continuous.LFP-lemma3 mono-Monotone-utp-order*  
**have**  $1: \mu_D F \sqsubseteq F (\mu_D F)$   
**by** *blast*  
**from**  $0\ 1$  **have**  $2: (P \wedge ([e]_{<}, \ll st \gg)_u \in_u \ll R \gg) \vdash_r Q \sqsubseteq F (\mu_D F)$   
**by** *simp*  
**have**  $3: F ((P \wedge ([e]_{<}, \ll st \gg)_u \in_u \ll R \gg) \vdash_r Q) \sqsubseteq F (\mu_D F)$   
**by** *(simp add: 0 M monoD)*  
**have**  $4: (P \wedge [e]_{<} =_u \ll st \gg) \vdash_r Q \sqsubseteq \dots$   
**by** *(rule induct-step)*  
**show** *?case*  
**using** *order-trans[OF 3 4] H M design-theory-continuous.LFP-lemma2 dual-order.trans mono-Monotone-utp-order*  
**by** *blast*  
**qed**  
**}**  
**thus** *?thesis*  
**by** *(pred-simp)*  
**qed**

**theorem** *ndesign-mu-wf-refine-intro'*:  
**assumes** *WF: wf R*  
**and** *M: Monotonic F*  
**and** *H: F ∈ [H]<sub>H</sub> → [H]<sub>H</sub>*  
**and** *induct-step:*  
 $\bigwedge st. ((p \wedge e =_u \ll st \gg) \vdash_n Q) \sqsubseteq F ((p \wedge (e, \ll st \gg)_u \in_u \ll R \gg) \vdash_n Q)$   
**shows**  $(p \vdash_n Q) \sqsubseteq \mu_D F$   
**using** *assms unfolding ndesign-def*  
**by** *(rule-tac rdesign-mu-wf-refine-intro[of R F [p]<sub><</sub> e], simp-all add: alpha)*

**theorem** *ndesign-mu-wf-refine-intro*:  
**assumes** *WF: wf R*  
**and** *M: Monotonic F*  
**and** *H: F ∈ [N]<sub>H</sub> → [N]<sub>H</sub>*  
**and** *induct-step:*  
 $\bigwedge st. ((p \wedge e =_u \ll st \gg) \vdash_n Q) \sqsubseteq F ((p \wedge (e, \ll st \gg)_u \in_u \ll R \gg) \vdash_n Q)$   
**shows**  $(p \vdash_n Q) \sqsubseteq \mu_{NDES} F$

**proof** –  
**{**  
**fix** *st*  
**have**  $(p \wedge e =_u \ll st \gg) \vdash_n Q \sqsubseteq \mu_{NDES} F$   
**using** *WF proof (induction rule: wf-induct-rule)*  
**case** *(less st)*  
**hence**  $0: (p \wedge (e, \ll st \gg)_u \in_u \ll R \gg) \vdash_n Q \sqsubseteq \mu_{NDES} F$   
**by** *rel-blast*  
**from** *M H design-theory-continuous.LFP-lemma3 mono-Monotone-utp-order*  
**have**  $1: \mu_{NDES} F \sqsubseteq F (\mu_{NDES} F)$   
**by** *(simp add: mono-Monotone-utp-order normal-design-theory-continuous.LFP-lemma3)*  
**from**  $0\ 1$  **have**  $2: (p \wedge (e, \ll st \gg)_u \in_u \ll R \gg) \vdash_n Q \sqsubseteq F (\mu_{NDES} F)$   
**by** *simp*  
**have**  $3: F ((p \wedge (e, \ll st \gg)_u \in_u \ll R \gg) \vdash_n Q) \sqsubseteq F (\mu_{NDES} F)$

```

    by (simp add: 0 M monoD)
  have 4: (p ∧ e =u «st» ) ⊢n Q ⊆ ...
    by (rule induct-step)
  show ?case
    using order-trans[OF 3 4] H M normal-design-theory-continuous.LFP-lemma2 dual-order.trans
mono-Monotone-utp-order
    by blast
qed
}
thus ?thesis
  by (pred-simp)
qed

end

```

### 3 Design Proof Tactics

```

theory utp-des-tactics
  imports utp-des-theory
begin

```

The tactics split apart a healthy normal design predicate into its pre-postcondition form, using elimination rules, and then attempt to prove refinement conjectures.

**named-theorems** *ND-elim*

```

lemma ndes-elim: [ P is N; Q(⌊preD(P)⌋< ⊢n postD(P)) ] ⇒ Q(P)
  by (simp add: ndesign-form)

```

```

lemma ndes-ind-elim: [ ∧ i. P i is N; Q(λ i. ⌊preD(P i)⌋< ⊢n postD(P i)) ] ⇒ Q(P)
  by (simp add: ndesign-form)

```

```

lemma ndes-split [ND-elim]: [ P is N; ∧ pre post. Q(pre ⊢n post) ] ⇒ Q(P)
  by (metis H1-H2-eq-rdesign H1-H3-impl-H2 H3-unrest-out-alpha Healthy-def drop-pre-inv ndesign-def)

```

Use given closure laws (cls) to expand normal design predicates

```

method ndes-expand uses cls = (insert cls, (erule ND-elim)+)

```

Expand and simplify normal designs

```

method ndes-simp uses cls =
  ((ndes-expand cls: cls)?, (simp add: ndes-simp closure alpha usubst unrest wp prod.case-eq-if))

```

Attempt to discharge a refinement between two normal designs

```

method ndes-refine uses cls =
  (ndes-simp cls: cls; rule-tac ndesign-refine-intro; (insert cls; rel-simp; auto?))

```

Attempt to discharge an equality between two normal designs

```

method ndes-eq uses cls =
  (ndes-simp cls: cls; rule-tac antisym; rule-tac ndesign-refine-intro; (insert cls; rel-simp; auto?))

```

**end**



## 4 Imperative Programming in Designs

```
theory utp-des-prog
  imports utp-des-tactics
begin
```

### 4.1 Assignment

**definition** *assigns-d* :: ' $\alpha$  *usubst*  $\Rightarrow$  ' $\alpha$  *hrel-des* ( $\langle \cdot \rangle_D$ ) **where**  
 $[upred-defs]:$  *assigns-d*  $\sigma = (true \vdash_r assigns-r \sigma)$

**syntax**

*-assignmenttd* :: *svids*  $\Rightarrow$  *uexprs*  $\Rightarrow$  *logic* (**infixr** :=<sub>D</sub> 72)

**translations**

*-assignmenttd* *xs vs* ==> *CONST assigns-d* (*-mk-usubst* (*CONST id*) *xs vs*)  
*-assignmenttd* *x v* <= *CONST assigns-d* (*CONST subst-upd* (*CONST id*) *x v*)  
*-assignmenttd* *x v* <= *-assignmenttd* (*-spvar x*) *v*  
 $x, y :=_D u, v <=$  *CONST assigns-d* (*CONST subst-upd* (*CONST subst-upd* (*CONST id*) (*CONST svar svar x*) *u*) (*CONST svar y*) *v*)

**lemma** *assigns-d-is-H1-H2* [*closure*]:  $\langle \sigma \rangle_D$  *is* **H**  
**by** (*simp add: assigns-d-def rdesign-is-H1-H2*)

**lemma** *assigns-d-H1-H3* [*closure*]:  $\langle \sigma \rangle_D$  *is* **N**  
**by** (*metis H1-rdesign H3-ndesign Healthy-def' aext-true assigns-d-def ndesign-def*)

Designs are closed under substitutions on state variables only (via lifting)

**lemma** *state-subst-H1-H2-closed* [*closure*]:  
 $P$  *is* **H**  $\implies [\sigma \oplus_s \Sigma_D]_s \uparrow P$  *is* **H**  
**by** (*metis H1-H2-eq-rdesign Healthy-if rdesign-is-H1-H2 state-subst-design*)

**lemma** *assigns-d-ndes-def* [*ndes-simp*]:  
 $\langle \sigma \rangle_D = (true \vdash_n \langle \sigma \rangle_a)$   
**by** (*rel-auto*)

**lemma** *assigns-d-id* [*simp*]:  $\langle id \rangle_D = II_D$   
**by** (*rel-auto*)

**lemma** *assign-d-left-comp*:  
 $(\langle f \rangle_D ;; (P \vdash_r Q)) = ([f]_s \uparrow P \vdash_r [f]_s \uparrow Q)$   
**by** (*simp add: assigns-d-def rdesign-composition assigns-r-comp subst-not*)

**lemma** *assign-d-right-comp*:  
 $((P \vdash_r Q) ;; \langle f \rangle_D) = ((\neg ((\neg P) ;; true)) \vdash_r (Q ;; \langle f \rangle_a))$   
**by** (*simp add: assigns-d-def rdesign-composition*)

**lemma** *assigns-d-comp*:  
 $(\langle f \rangle_D ;; \langle g \rangle_D) = \langle g \circ f \rangle_D$   
**by** (*simp add: assigns-d-def rdesign-composition assigns-comp*)

**lemma** *assigns-d-comp-ext*:  
**fixes**  $P :: 'a$  *hrel-des*  
**assumes**  $P$  *is* **H**  
**shows**  $(\langle \sigma \rangle_D ;; P) = [\sigma \oplus_s \Sigma_D]_s \uparrow P$   
**proof** –

**have**  $\langle \sigma \rangle_D ;; P = \langle \sigma \rangle_D ;; (pre_D(P) \vdash_r post_D(P))$   
**by** (*metis H1-H2-commute H1-H2-is-rdesign H2-idem Healthy-def' assms*)  
**also have**  $\dots = \lceil \sigma \rceil_s \dagger pre_D(P) \vdash_r \lceil \sigma \rceil_s \dagger post_D(P)$   
**by** (*simp add: assign-d-left-comp*)  
**also have**  $\dots = \lceil \sigma \oplus_s \Sigma_D \rceil_s \dagger (pre_D(P) \vdash_r post_D(P))$   
**by** (*rel-auto*)  
**also have**  $\dots = \lceil \sigma \oplus_s \Sigma_D \rceil_s \dagger P$   
**by** (*metis H1-H2-commute H1-H2-is-rdesign H2-idem Healthy-def' assms*)  
**finally show** *?thesis* .  
**qed**

Normal designs are closed under substitutions on state variables only

**lemma** *state-subst-H1-H3-closed* [closure]:

$P \text{ is } \mathbf{N} \implies \lceil \sigma \oplus_s \Sigma_D \rceil_s \dagger P \text{ is } \mathbf{N}$   
**by** (*metis H1-H2-eq-rdesign H1-H3-impl-H2 Healthy-if assign-d-left-comp assigns-d-H1-H3 seq-r-H1-H3-closed state-subst-design*)

**lemma** *H4-assigns-d*:  $\langle \sigma \rangle_D \text{ is } H4$

**proof** –

**have**  $(\langle \sigma \rangle_D ;; (false \vdash_r true_h)) = (false \vdash_r true)$   
**by** (*simp add: assigns-d-def rdesign-composition assigns-r-feasible*)  
**moreover have**  $\dots = true$   
**by** (*rel-auto*)  
**ultimately show** *?thesis*  
**using** *is-H4-alt-def* **by** *auto*  
**qed**

## 4.2 Guarded Commands

**definition** *GrdCommD* ::  $'\alpha \text{ upred} \Rightarrow (' \alpha, ' \beta) \text{ rel-des} \Rightarrow (' \alpha, ' \beta) \text{ rel-des} \rightarrow_D - [85, 86] 85)$  **where**  
*[upred-defs]*:  $b \rightarrow_D P = P \triangleleft b \triangleright_D \top_D$

**lemma** *GrdCommD-ndes-simp* [ndes-simp]:

$b \rightarrow_D (p_1 \vdash_n P_2) = ((b \Rightarrow p_1) \vdash_n (\lceil b \rceil_{<} \wedge P_2))$   
**by** (*rel-auto*)

**lemma** *GrdCommD-H1-H3-closed* [closure]:  $P \text{ is } \mathbf{N} \implies b \rightarrow_D P \text{ is } \mathbf{N}$

**by** (*simp add: GrdCommD-def closure*)

**lemma** *GrdCommD-true* [simp]:  $true \rightarrow_D P = P$

**by** (*rel-auto*)

**lemma** *GrdCommD-false* [simp]:  $false \rightarrow_D P = \top_D$

**by** (*rel-auto*)

**lemma** *GrdCommD-abort* [simp]:  $b \rightarrow_D true = ((\neg b) \vdash_n false)$

**by** (*rel-auto*)

## 4.3 Alternation

**consts**

*ualtern* ::  $'a \text{ set} \Rightarrow ('a \Rightarrow 'p) \Rightarrow ('a \Rightarrow 'r) \Rightarrow 'r \Rightarrow 'r$

*ualtern-list* ::  $('a \times 'r) \text{ list} \Rightarrow 'r \Rightarrow 'r$

**definition** *AlternateD* ::  $'a \text{ set} \Rightarrow ('a \Rightarrow ' \alpha \text{ upred}) \Rightarrow ('a \Rightarrow (' \alpha, ' \beta) \text{ rel-des}) \Rightarrow (' \alpha, ' \beta) \text{ rel-des} \Rightarrow (' \alpha, ' \beta) \text{ rel-des}$  **where**

[upred-defs, ndes-simp]:

$\text{AlternateD } A \ g \ P \ Q = (\bigcap i \in A \cdot g(i) \rightarrow_D P(i)) \sqcap (\bigwedge i \in A \cdot \neg g(i)) \rightarrow_D Q$

This lemma shows that our generalised alternation is the same operator as Marcel Oliveira's definition of alternation when the else branch is abort.

**lemma** *AlternateD-abort-alternate*:

**assumes**  $\bigwedge i. P(i)$  *is* **N**

**shows**

$\text{AlternateD } A \ g \ P \perp_D =$

$((\bigvee i \in A \cdot g(i)) \wedge (\bigwedge i \in A \cdot g(i) \Rightarrow \lfloor \text{pre}_D(P \ i) \rfloor_{<})) \vdash_n (\bigvee i \in A \cdot \lceil g(i) \rceil_{<} \wedge \text{post}_D(P \ i))$

**proof** (*cases*  $A = \{\}$ )

**case** *False*

**have**  $\text{AlternateD } A \ g \ P \perp_D =$

$(\bigcap i \in A \cdot g(i) \rightarrow_D (\lfloor \text{pre}_D(P \ i) \rfloor_{<} \vdash_n \text{post}_D(P \ i))) \sqcap (\bigwedge i \in A \cdot \neg g(i)) \rightarrow_D (\text{false} \vdash_n \text{true})$

**by** (*simp add: AlternateD-def ndesign-form bot-d-ndes-def assms*)

**also have**  $\dots = ((\bigvee i \in A \cdot g(i)) \wedge (\bigwedge i \in A \cdot g(i) \Rightarrow \lfloor \text{pre}_D(P \ i) \rfloor_{<})) \vdash_n (\bigvee i \in A \cdot \lceil g(i) \rceil_{<} \wedge \text{post}_D(P \ i))$

**by** (*simp add: ndes-simp False, rel-auto*)

**finally show** *?thesis* **by** *simp*

**next**

**case** *True*

**thus** *?thesis*

**by** (*simp add: AlternateD-def, rel-auto*)

**qed**

**definition** *AlternateD-list*  $:: ('\alpha \text{ upred} \times ('\alpha, '\beta) \text{ rel-des}) \text{ list} \Rightarrow ('\alpha, '\beta) \text{ rel-des} \Rightarrow ('\alpha, '\beta) \text{ rel-des}$   
**where**

[upred-defs, ndes-simp]:

$\text{AlternateD-list } xs \ P =$

$\text{AlternateD } \{0..<\text{length } xs\} (\lambda i. \text{map fst } xs \ ! \ i) (\lambda i. \text{map snd } xs \ ! \ i) \ P$

**adhoc-overloading**

*ualtern AlternateD and*

*ualtern-list AlternateD-list*

**nonterminal** *gcomm and gcomms*

**syntax**

*-altind-els*  $:: \text{pttrn} \Rightarrow \text{logic} \Rightarrow \text{logic} \Rightarrow \text{logic} \Rightarrow \text{logic} \Rightarrow \text{logic} \ (if \ - \in \ - \rightarrow \ - \ \text{else} \ - \ fi)$

*-altind*  $:: \text{pttrn} \Rightarrow \text{logic} \Rightarrow \text{logic} \Rightarrow \text{logic} \Rightarrow \text{logic} \ (if \ - \in \ - \rightarrow \ - \ fi)$

*-gcomm*  $:: \text{logic} \Rightarrow \text{logic} \Rightarrow \text{gcomm} \ (- \rightarrow \ - \ [65, 66] \ 65)$

*-gcomm-nil*  $:: \text{gcomm} \Rightarrow \text{gcomms} \ (-)$

*-gcomm-cons*  $:: \text{gcomm} \Rightarrow \text{gcomms} \Rightarrow \text{gcomms} \ (- \ | \ - \ [60, 61] \ 61)$

*-gcomm-show*  $:: \text{logic} \Rightarrow \text{logic}$

*-altgcomm-els*  $:: \text{gcomms} \Rightarrow \text{logic} \Rightarrow \text{logic} \ (if \ / \ - \ / \ \text{else} \ - \ / \ fi)$

*-altgcomm*  $:: \text{gcomms} \Rightarrow \text{logic} \ (if \ / \ - \ / \ fi)$

**translations**

*-altind-els*  $x \ A \ g \ P \ Q \Rightarrow \text{CONST } \text{ualtern } A \ (\lambda x. g) \ (\lambda x. P) \ Q$

*-altind-els*  $x \ A \ g \ P \ Q \Leftarrow \text{CONST } \text{ualtern } A \ (\lambda x. g) \ (\lambda x'. P) \ Q$

*-altind*  $x \ A \ g \ P \Rightarrow \text{CONST } \text{ualtern } A \ (\lambda x. g) \ (\lambda x. P) \ (\text{CONST } \text{Orderings.top})$

*-altind*  $x \ A \ g \ P \Leftarrow \text{CONST } \text{ualtern } A \ (\lambda x. g) \ (\lambda x'. P) \ (\text{CONST } \text{Orderings.top})$

*-altgcomm*  $cs \Rightarrow \text{CONST } \text{ualtern-list } cs \ (\text{CONST } \text{Orderings.top})$

*-altgcomm*  $(\text{-gcomm-show } cs) \Leftarrow \text{CONST } \text{ualtern-list } cs \ (\text{CONST } \text{Orderings.top})$

*-altgcomm-els*  $cs \ P \Rightarrow \text{CONST } \text{ualtern-list } cs \ P$

```

-altgcomm-els (-gcomm-show cs) P <= CONST ualtern-list cs P

-gcomm g P => (g, P)
-gcomm g P <= -gcomm-show (g, P)
-gcomm-cons c cs => c # cs
-gcomm-cons (-gcomm-show c) (-gcomm-show (d # cs)) <= -gcomm-show (c # d # cs)
-gcomm-nil c => [c]
-gcomm-nil (-gcomm-show c) <= -gcomm-show [c]

lemma AlternateD-H1-H3-closed [closure]:
  assumes  $\bigwedge i. i \in A \implies P\ i\ \text{is}\ \mathbf{N}\ Q\ \text{is}\ \mathbf{N}$ 
  shows if  $i \in A \cdot g(i) \rightarrow P(i)$  else Q fi is N
proof (cases A = {})
  case True
  then show ?thesis
    by (simp add: AlternateD-def closure false-upred-def assms)
next
  case False
  then show ?thesis
    by (simp add: AlternateD-def closure assms)
qed

lemma AltD-ndes-simp [ndes-simp]:
  if  $i \in A \cdot g(i) \rightarrow (P_1(i) \vdash_n P_2(i))$  else  $Q_1 \vdash_n Q_2\ \text{fi}$ 
  =  $((\bigwedge i \in A \cdot g\ i \Rightarrow P_1\ i) \wedge ((\bigwedge i \in A \cdot \neg g\ i) \Rightarrow Q_1)) \vdash_n$ 
     $((\bigvee i \in A \cdot [g\ i]_{<} \wedge P_2\ i) \vee (\bigwedge i \in A \cdot \neg [g\ i]_{<} \wedge Q_2))$ 
proof (cases A = {})
  case True
  then show ?thesis by (simp add: AlternateD-def)
next
  case False
  then show ?thesis
    by (simp add: ndes-simp, rel-auto)
qed

declare UINF-upto-expand-first [ndes-simp]
declare UINF-Suc-shift [ndes-simp]
declare USUP-upto-expand-first [ndes-simp]
declare USUP-Suc-shift [ndes-simp]
declare true-upred-def [THEN sym, ndes-simp]

lemma AlternateD-mono-refine:
  assumes  $\bigwedge i. P\ i \sqsubseteq Q\ i\ R \sqsubseteq S$ 
  shows  $(\text{if } i \in A \cdot g(i) \rightarrow P(i) \text{ else } R\ \text{fi}) \sqsubseteq (\text{if } i \in A \cdot g(i) \rightarrow Q(i) \text{ else } S\ \text{fi})$ 
  using assms by (rel-auto, meson)

lemma Monotonic-AlternateD [closure]:
   $\llbracket \bigwedge i. \text{Monotonic } (F\ i); \text{Monotonic } G \rrbracket \implies \text{Monotonic } (\lambda X. \text{if } i \in A \cdot g(i) \rightarrow F\ i\ X \text{ else } G(X)\ \text{fi})$ 
  by (rel-auto, meson)

lemma AlternateD-eq:
  assumes  $A = B \wedge i. i \in A \implies g(i) = h(i) \wedge i. i \in A \implies P(i) = Q(i)\ R = S$ 
  shows  $\text{if } i \in A \cdot g(i) \rightarrow P(i) \text{ else } R\ \text{fi} = \text{if } i \in B \cdot h(i) \rightarrow Q(i) \text{ else } S\ \text{fi}$ 
  by (insert assms, rel-blast)

```

**lemma** *AlternateD-empty:*

*if  $i \in \{\}$  ·  $g(i) \rightarrow P(i)$  else  $Q$  fi =  $Q$*   
**by** (*rel-auto*)

**lemma** *AlternateD-true-singleton:*

**assumes**  $P$  is **N**  
**shows** *if true  $\rightarrow P$  fi =  $P$*   
**by** (*ndes-eq cls: assms*)

**lemma** *AlternateD-no-ind:*

**assumes**  $A \neq \{\}$   $P$  is **N**  $Q$  is **N**  
**shows** *if  $i \in A$  ·  $b \rightarrow P$  else  $Q$  fi = if  $b \rightarrow P$  else  $Q$  fi*  
**by** (*ndes-eq cls: assms*)

**lemma** *AlternateD-singleton:*

**assumes**  $P$   $k$  is **N**  $Q$  is **N**  
**shows** *if  $i \in \{k\}$  ·  $b(i) \rightarrow P(i)$  else  $Q$  fi = if  $b(k) \rightarrow P(k)$  else  $Q$  fi (is ?lhs = ?rhs)*

**proof** –

**have** ?lhs = *if  $i \in \{k\}$  ·  $b(k) \rightarrow P(k)$  else  $Q$  fi*  
**by** (*auto intro: AlternateD-eq simp add: assms ndesign-form*)  
**also have** ... = ?rhs  
**by** (*simp add: AlternateD-no-ind assms closure*)  
**finally show** ?thesis .

**qed**

**lemma** *AlternateD-commute:*

**assumes**  $P$  is **N**  $Q$  is **N**  
**shows** *if  $g_1 \rightarrow P$  |  $g_2 \rightarrow Q$  fi = if  $g_2 \rightarrow Q$  |  $g_1 \rightarrow P$  fi*  
**by** (*ndes-eq cls: assms*)

**lemma** *AlternateD-dcond:*

**assumes**  $P$  is **N**  $Q$  is **N**  
**shows** *if  $g \rightarrow P$  else  $Q$  fi =  $P \triangleleft g \triangleright_D Q$*   
**by** (*ndes-eq cls: assms*)

**lemma** *AlternateD-cover:*

**assumes**  $P$  is **N**  $Q$  is **N**  
**shows** *if  $g \rightarrow P$  else  $Q$  fi = if  $g \rightarrow P$  |  $(\neg g) \rightarrow Q$  fi*  
**by** (*ndes-eq cls: assms*)

**lemma** *UINF-ndes-expand:*

**assumes**  $\bigwedge i. i \in A \implies P(i)$  is **N**  
**shows**  $(\bigcap i \in A \cdot \lfloor pre_D(P(i)) \rfloor_{<} \vdash_n post_D(P(i))) = (\bigcap i \in A \cdot P(i))$   
**by** (*rule UINF-cong, simp add: assms ndesign-form*)

**lemma** *USUP-ndes-expand:*

**assumes**  $\bigwedge i. i \in A \implies P(i)$  is **N**  
**shows**  $(\bigsqcup i \in A \cdot \lfloor pre_D(P(i)) \rfloor_{<} \vdash_n post_D(P(i))) = (\bigsqcup i \in A \cdot P(i))$   
**by** (*rule USUP-cong, simp add: assms ndesign-form*)

**lemma** *AlternateD-ndes-expand:*

**assumes**  $\bigwedge i. i \in A \implies P(i)$  is **N**  $Q$  is **N**  
**shows** *if  $i \in A$  ·  $g(i) \rightarrow P(i)$  else  $Q$  fi =*  
*if  $i \in A$  ·  $g(i) \rightarrow (\lfloor pre_D(P(i)) \rfloor_{<} \vdash_n post_D(P(i)))$  else  $\lfloor pre_D(Q) \rfloor_{<} \vdash_n post_D(Q)$  fi*  
**apply** (*simp add: AlternateD-def*)

```

apply (subst UINF-ndes-expand[THEN sym])
apply (simp add: assms closure)
apply (ndes-simp cls: assms)
apply (rel-auto)
done

```

```

lemma AlternateD-ndes-expand':
  assumes  $\bigwedge i. i \in A \implies P(i) \text{ is } \mathbf{N}$ 
  shows  $\text{if } i \in A \cdot g(i) \rightarrow P(i) \text{ fi} = \text{if } i \in A \cdot g(i) \rightarrow (\lfloor \text{pre}_D(P(i)) \rfloor_{<} \vdash_n \text{post}_D(P(i))) \text{ fi}$ 
  apply (simp add: AlternateD-def)
  apply (subst UINF-ndes-expand[THEN sym])
  apply (simp add: assms closure)
  apply (ndes-simp cls: assms)
  apply (rel-auto)
  done

```

```

lemma ndesign-ind-form:
  assumes  $\bigwedge i. P(i) \text{ is } \mathbf{N}$ 
  shows  $(\lambda i. \lfloor \text{pre}_D(P(i)) \rfloor_{<} \vdash_n \text{post}_D(P(i))) = P$ 
  by (simp add: assms ndesign-form)

```

```

lemma AlternateD-insert:
  assumes  $\bigwedge i. i \in (\text{insert } x \ A) \implies P(i) \text{ is } \mathbf{N} \ Q \text{ is } \mathbf{N}$ 
  shows  $\text{if } i \in (\text{insert } x \ A) \cdot g(i) \rightarrow P(i) \text{ else } Q \text{ fi} =$ 
     $\text{if } g(x) \rightarrow P(x) \mid$ 
     $(\bigvee i \in A \cdot g(i)) \rightarrow \text{if } i \in A \cdot g(i) \rightarrow P(i) \text{ fi}$ 
     $\text{else } Q$ 
     $\text{fi} \text{ (is ?lhs = ?rhs)}$ 
proof –
  have  $\text{?lhs} = \text{if } i \in (\text{insert } x \ A) \cdot g(i) \rightarrow (\lfloor \text{pre}_D(P(i)) \rfloor_{<} \vdash_n \text{post}_D(P(i))) \text{ else } (\lfloor \text{pre}_D(Q) \rfloor_{<} \vdash_n \text{post}_D(Q)) \text{ fi}$ 
  using AlternateD-ndes-expand assms(1) assms(2) by blast
  also
  have ... =
     $\text{if } g(x) \rightarrow (\lfloor \text{pre}_D(P(x)) \rfloor_{<} \vdash_n \text{post}_D(P(x))) \mid$ 
     $(\bigvee i \in A \cdot g(i)) \rightarrow \text{if } i \in A \cdot g(i) \rightarrow \lfloor \text{pre}_D(P(i)) \rfloor_{<} \vdash_n \text{post}_D(P(i)) \text{ fi}$ 
     $\text{else } \lfloor \text{pre}_D(Q) \rfloor_{<} \vdash_n \text{post}_D(Q) \text{ fi}$ 
    by (ndes-simp cls:assms, rel-auto)
  also have ... = ?rhs
  by (simp add: AlternateD-ndes-expand' ndesign-form assms)
  finally show ?thesis .
qed

```

#### 4.4 Iteration

```

theorem ndesign-iteration-wp [ndes-simp]:
   $(p \vdash_n Q) ;; (p \vdash_n Q) \wedge^n n = ((\bigwedge i \in \{0..n\} \cdot (Q \wedge i) \text{ wp } p) \vdash_n Q \wedge \text{Suc } n)$ 
proof (induct n)
  case 0
  then show ?case by (rel-auto)
next
  case (Suc n) note hyp = this
  have  $(p \vdash_n Q) ;; (p \vdash_n Q) \wedge \text{Suc } n = (p \vdash_n Q) ;; (p \vdash_n Q) ;; (p \vdash_n Q) \wedge^n n$ 
  by (simp add: upred-semiring.power-Suc)
  also have ... =  $(p \vdash_n Q) ;; ((\bigwedge i \in \{0..n\} \cdot Q \wedge i \text{ wp } p) \vdash_n Q \wedge \text{Suc } n)$ 

```

```

  by (simp add: hyp)
also have ... = (p ∧ Q wp (⋈ i ∈ {0..n}. Q ^ i wp p)) ⊢n (Q ;; Q) ;; Q ^ n
  by (simp add: upred-semiring.power-Suc ndesign-composition-wp seqr-assoc)
also have ... = (p ∧ (⋈ i ∈ {0..n}. Q ^ Suc i wp p)) ⊢n (Q ;; Q) ;; Q ^ n
  by (simp add: upred-semiring.power-Suc wp)
also have ... = (p ∧ (⋈ i ∈ {0..n}. Q ^ Suc i wp p)) ⊢n (Q ;; Q) ;; Q ^ n
  by (simp add: USUP-as-Inf-image)
also have ... = (p ∧ (⋈ i ∈ {1..Suc n}. Q ^ i wp p)) ⊢n (Q ;; Q) ;; Q ^ n
  by (metis (no-types, lifting) One-nat-def image-Suc-atLeastAtMost image-cong image-image)
also have ... = (Q ^ 0 wp p ∧ (⋈ i ∈ {1..Suc n}. Q ^ i wp p)) ⊢n (Q ;; Q) ;; Q ^ n
  by (simp add: wp)
also have ... = ((⋈ i ∈ {0..Suc n}. Q ^ i wp p)) ⊢n (Q ;; Q) ;; Q ^ n
  by (simp add: atMost-Suc-eq-insert-0 atLeast0AtMost conj-upred-def image-Suc-atMost)
also have ... = (⋈ i ∈ {0..Suc n}. Q ^ i wp p) ⊢n Q ^ Suc (Suc n)
  by (simp add: upred-semiring.power-Suc USUP-as-Inf-image upred-semiring.mult-assoc)
finally show ?case .
qed

```

## Overloadable Syntax

### consts

```

uiterate      :: 'a set ⇒ ('a ⇒ 'p) ⇒ ('a ⇒ 'r) ⇒ 'r
uiterate-list :: ('a × 'r) list ⇒ 'r

```

### syntax

```

-iterind      :: ptnr ⇒ logic ⇒ logic ⇒ logic ⇒ logic (do -∈- · - → - od)
-itergcomm    :: gcomms ⇒ logic (do - od)

```

### translations

```

-iterind x A g P => CONST uiterate A (λ x. g) (λ x. P)
-iterind x A g P <= CONST uiterate A (λ x. g) (λ x'. P)
-itergcomm cs => CONST uiterate-list cs
-itergcomm (-gcomm-show cs) <= CONST uiterate-list cs

```

**definition** *IterateD* :: 'a set ⇒ ('a ⇒ 'α upred) ⇒ ('a ⇒ 'α hrel-des) ⇒ 'α hrel-des **where**  
[upred-defs, ndes-simp]:

*IterateD* A g P = (μ<sub>NDES</sub> X · if i∈A · g(i) → P(i) ;; X else I<sub>D</sub> fi)

**definition** *IterateD-list* :: ('α upred × 'α hrel-des) list ⇒ 'α hrel-des **where**  
[upred-defs, ndes-simp]:

*IterateD-list* xs = *IterateD* {0..*length* xs} (λ i. fst (nth xs i)) (λ i. snd (nth xs i))

### ad hoc-overloading

```

uiterate IterateD and
uiterate-list IterateD-list

```

**lemma** *IterateD-H1-H3-closed* [closure]:

```

assumes ⋀ i. i ∈ A ⇒ P i is N
shows do i∈A · g(i) → P(i) od is N

```

**proof** (cases A = {})

case *True*

then show ?thesis

by (simp add: *IterateD-def* closure assms)

next

case *False*

then show ?thesis

by (simp add: IterateD-def closure assms)  
qed

**lemma** IterateD-empty:

do  $i \in \{\}$  ·  $g(i) \rightarrow P(i)$  od =  $II_D$

by (simp add: IterateD-def AlternateD-empty normal-design-theory-continuous.LFP-const skip-d-is-H1-H3)

**lemma** IterateD-list-single-expand:

do  $b \rightarrow P$  od = ( $\mu_{NDES} X \cdot \text{if } b \rightarrow P \text{ ;; } X \text{ else } II_D \text{ fi}$ )

oops

**lemma** IterateD-singleton:

assumes  $P$  is  $\mathbf{N}$

shows do  $b \rightarrow P$  od = do  $i \in \{0\} \cdot b \rightarrow P$  od

apply (simp add: IterateD-list-def IterateD-def AlternateD-singleton assms)

apply (subst AlternateD-singleton)

apply (simp)

apply (rel-auto)

oops

**lemma** IterateD-mono-refine:

assumes

$\bigwedge i. P \ i \text{ is } \mathbf{N} \ \bigwedge i. Q \ i \text{ is } \mathbf{N}$

$\bigwedge i. P \ i \sqsubseteq Q \ i$

shows (do  $i \in A \cdot g(i) \rightarrow P(i)$  od)  $\sqsubseteq$  (do  $i \in A \cdot g(i) \rightarrow Q(i)$  od)

apply (simp add: IterateD-def normal-design-theory-continuous.utp-lfp-def)

apply (subst normal-design-theory-continuous.utp-lfp-def)

apply (simp-all add: closure assms)

apply (subst normal-design-theory-continuous.utp-lfp-def)

apply (simp-all add: closure assms)

apply (simp add: ndes-hcond-def)

apply (rule gfp-mono)

apply (rule AlternateD-mono-refine)

apply (simp-all add: closure seqr-mono assms)

done

**lemma** IterateD-single-refine:

assumes

$P \text{ is } \mathbf{N} \ Q \text{ is } \mathbf{N} \ P \sqsubseteq Q$

shows (do  $g \rightarrow P$  od)  $\sqsubseteq$  (do  $g \rightarrow Q$  od)

oops

**lemma** IterateD-refine-intro:

fixes  $V :: (\text{nat}, 'a) \text{ uexpr}$

assumes  $\text{vwb-lens } w$

shows

$I \vdash_n (w: [\![ I \wedge \neg (\bigvee i \in A \cdot g(i)) \]\!]_{>}) \sqsubseteq$

do  $i \in A \cdot g(i) \rightarrow (I \wedge g(i)) \vdash_n (w: [\![ I \]\!]_{>} \wedge [\![ V \]\!]_{>} <_u [\![ V \]\!]_{<})$  od

**proof** (cases  $A = \{\}$ )

case True

with assms show ?thesis

by (simp add: IterateD-empty, rel-auto)

next

case False

then show ?thesis



```

using assms
  apply (simp add: IterateD-def)
  apply (rule ndesign-mu-wf-refine-intro[where  $e=V$  and  $R=\{(x, y). x < y\}$ ])
  apply (simp-all add: wf closure)
  apply (simp add: ndes-simp unrest)
  apply (rule ndesign-refine-intro)
  apply (rel-auto)
  apply (rel-auto)
  apply (metis mwb-lens.put-put vwb-lens-mwb)
done
qed

lemma IterateD-single-refine-intro:
  fixes  $V :: (nat, 'a) uexpr$ 
  assumes vwb-lens w
  shows
 $I \vdash_n (w: [I \wedge \neg g]_{>}) \sqsubseteq$ 
 $do\ g \rightarrow ((I \wedge g) \vdash_n (w: [I]_{>} \wedge [V]_{>} <_u [V]_{<}))\ od$ 
  apply (rule order-trans)
  defer
  apply (rule IterateD-refine-intro[of w {0} λ i. g I V, simplified, OF assms(1)])
  oops

```

## 4.5 Let and Local Variables

**definition**  $LetD :: ('a, 'α) uexpr \Rightarrow ('a \Rightarrow 'α\ hrel-des) \Rightarrow 'α\ hrel-des$  **where**  
 $[upred-defs]: LetD\ v\ P = (P\ x) \llbracket x \rightarrow [v]_{D<} \rrbracket$

**syntax**  
 $-LetD \quad :: [letbinds, 'a] \Rightarrow 'a \quad ((let_D\ (-)/\ in\ (-))\ [0, 10]\ 10)$

**translations**  
 $-LetD\ (-binds\ b\ bs)\ e \Rightarrow -LetD\ b\ (-LetD\ bs\ e)$   
 $let_D\ x = a\ in\ e \quad \Rightarrow\ CONST\ LetD\ a\ (\lambda x. e)$

**lemma**  $LetD-ndes-simp\ [ndes-simp]:$   
 $LetD\ v\ (\lambda x. p(x) \vdash_n Q(x)) = (p(x) \llbracket x \rightarrow v \rrbracket) \vdash_n (Q(x) \llbracket x \rightarrow [v]_{<} \rrbracket)$   
**by** (*rel-auto*)

**lemma**  $LetD-H1-H3-closed\ [closure]:$   
 $\llbracket \bigwedge x. P(x)\ is\ \mathbf{N} \rrbracket \Longrightarrow LetD\ v\ P\ is\ \mathbf{N}$   
**by** (*rel-auto*)

## 4.6 Deep Local Variables

**definition**  $des-local-state ::$   
 $'a::countable\ itself \Rightarrow ((nat, 's)\ local-scheme\ des, 's, nat, 'a::countable)\ local-prim$  **where**  
 $des-local-state\ t = (\ sstate = \Sigma_D, sassigns = assigns-d, inj-local = nat-inj-univ\ )$

**syntax**  
 $-des-local-state-type :: type \Rightarrow logic\ (\mathcal{L}_D[-])$   
 $-des-var-scope-type :: id \Rightarrow type \Rightarrow logic \Rightarrow logic\ (var_D\ - :: - \cdot -\ [0, 0, 10]\ 10)$

**translations**  
 $\mathcal{L}_D['a] == CONST\ des-local-state\ TYPE('a)$   
 $-des-var-scope-type\ x\ t\ P \Rightarrow -var-scope-type\ (-des-local-state-type\ t)\ x\ t\ P$

$var_D x :: 'a \cdot P \leq var[\mathcal{L}_D['a]] x \cdot P$

**lemma** *get-rel-local* [*lens-defs*]:

$get_{\mathbf{s}} \mathcal{L}_D['a::countable] = get_{\Sigma_D}$   
**by** (*simp add: des-local-state-def*)

**lemma** *des-local-state* [*simp*]: *utp-local-state*  $\mathcal{L}_D['a::countable]$

**by** (*unfold-locales, simp-all add: upred-defs assigns-comp des-local-state-def, rel-auto*)  
*(metis local.cases-scheme)*

**lemma** *sassigns-des-state* [*simp*]:  $\langle \sigma \rangle_{\mathcal{L}_D['a::countable]} = \langle \sigma \rangle_D$

**by** (*simp add: des-local-state-def*)

**lemma** *des-var-open-H1-H3-closed* [*closure*]:

*open* $[\mathcal{L}_D['a::countable]]$  *is*  $\mathbf{N}$   
**by** (*simp add: utp-local-state.var-open-def closure*)

**lemma** *des-var-close-H1-H3-closed* [*closure*]:

*close* $[\mathcal{L}_D['a::countable]]$  *is*  $\mathbf{N}$   
**by** (*simp add: utp-local-state.var-close-def closure*)

**lemma** *unrest-ok-vtop-des* [*unrest*]: *ok*  $\#$  *top* $[\mathcal{L}_D['a::countable]]$

**by** (*simp add: utp-local-state.top-var-def, simp add: des-local-state-def unrest*)

**lemma** *msubst-H1-H3-closed* [*closure*]:

$\llbracket \$ok \# v; out\alpha \# v; (\bigwedge x. P\ x\ is\ \mathbf{N}) \rrbracket \implies (P(x) \llbracket x \rightarrow v \rrbracket) \text{ is } \mathbf{N}$   
**by** (*rel-auto, metis+*)

**lemma** *var-block-H1-H3-closed* [*closure*]:

$(\bigwedge x. P\ x\ is\ \mathbf{N}) \implies \mathcal{V}[\mathcal{L}_D['a::countable], P] \text{ is } \mathbf{N}$   
**by** (*simp add: utp-local-state.var-scope-def closure unrest*)

**lemma** *inj-local-rel* [*simp*]: *inj-local*  $R_l = \mathcal{U}_{\mathbf{N}}$

**by** (*simp add: rel-local-state-def*)

**lemma** *sstate-rel* [*simp*]:  $\mathbf{s}_{R_l} = 1_L$

**by** (*simp add: rel-local-state-def*)

**lemma** *inj-local-des* [*simp*]:

*inj-local*  $\mathcal{L}_D['a::countable] = \mathcal{U}_{\mathbf{N}}$   
**by** (*simp add: des-local-state-def*)

**lemma** *sstate-des* [*simp*]:  $\mathbf{s}_{\mathcal{L}_D['a::countable]} = \Sigma_D$

**by** (*simp add: des-local-state-def*)

**lemma** *ndesign-msubst-top* [*usubst*]:

$(p\ x \vdash_n Q\ x) \llbracket x \rightarrow \lceil top[\mathcal{L}_D['a::countable]] \rceil \rrbracket_{<} = ((p\ x) \llbracket x \rightarrow top[R_l['a]] \rrbracket \vdash_n (Q\ x) \llbracket x \rightarrow \lceil top[R_l['a]] \rceil \rrbracket_{<})$   
**by** (*rel-auto'*)

First attempt at a law for expanding design variable blocks. Far from adequate at the moment though.

**lemma** *ndesign-local-expand-1* [*ndes-simp*]:

$(var_D x :: 'a :: countable \cdot p(x) \vdash_n Q(x)) =$   
 $(\bigsqcup v \cdot (p\ x) \llbracket x \rightarrow top[R_l] \rrbracket \llbracket \&store \hat{\_}_u \langle \llbracket v \rrbracket \rrbracket / store \rrbracket \vdash_n$   
 $(\bigsqcap v \cdot store := \&store \hat{\_}_u \langle \llbracket v \rrbracket \rrbracket ;; (Q\ x) \llbracket x \rightarrow \lceil top[R_l] \rceil \rrbracket_{<} ;; store := (front_u(\&store) \triangleleft 0 <_u$

```

#u(&store) ▷ &store))
  apply (simp add: utp-local-state.var-scope-def utp-local-state.var-open-def utp-local-state.var-close-def
    seq-UINF-distr' usubst)
  apply (simp add: ndes-simp wp unrest)
  apply (rel-auto')
done

end

```

## 4.7 Design Hoare Logic

```

theory utp-des-hoare
  imports utp-des-prog
begin

```

**definition** *HoareD* :: '*s upred* ⇒ '*s hrel-des* ⇒ '*s upred* ⇒ bool (*{-}{-}*<sub>D</sub>) **where**  
*[upred-defs, ndes-simp]*: *HoareD p S q* = ((*p* ⊢<sub>n</sub> [*q*]<sub>></sub>) ⊆ *S*)

**lemma** *assigns-hoare-d [hoare-safe]*: '*p* ⇒ *σ* † *q*' ⇒ {*p*}(*σ*)<sub>D</sub>{*q*}<sub>D</sub>  
 by *rel-auto*

**lemma** *assigns-backward-hoare-d*:  
 {*σ* † *p*}(*σ*)<sub>D</sub>{*p*}<sub>D</sub>  
 by *rel-auto*

**lemma** *seq-hoare-d*:  
 assumes *C is N D is N {p}C{q}*<sub>D</sub> {*q*}*D*{*r*}<sub>D</sub>  
 shows {*p*}*C* ;; *D*{*r*}<sub>D</sub>  
**proof** –  
 obtain *c*<sub>1</sub> *C*<sub>2</sub> **where** *C*: *C* = *c*<sub>1</sub> ⊢<sub>n</sub> *C*<sub>2</sub>  
 by (*metis* *assms*(1) *ndesign-form*)  
 obtain *d*<sub>1</sub> *D*<sub>2</sub> **where** *D*: *D* = *d*<sub>1</sub> ⊢<sub>n</sub> *D*<sub>2</sub>  
 by (*metis* *assms*(2) *ndesign-form*)  
**from** *assms*(3–4) **show** ?thesis  
 apply (simp add: *C D*)  
 apply (*ndes-simp*)  
 apply (simp add: *ndesign-refinement*)  
 apply (*rel-blast*)  
done  
**qed**

end

## 5 Design Weakest Preconditions

```

theory utp-des-wp
  imports utp-des-prog utp-des-hoare
begin

```

**definition** *wp-design* :: ('α, 'β) *rel-des* ⇒ 'β *cond* ⇒ 'α *cond* (**infix** *wp*<sub>D</sub> 60) **where**  
*[upred-defs]*: *Q wp*<sub>D</sub> *r* = (*pre*<sub>D</sub>(*Q*) ;; *true* :: ('α, 'β) *urel*)<sub><</sub> ∧ (*post*<sub>D</sub>(*Q*) *wp* *r*)

If two normal designs have the same weakest precondition for any given postcondition, then the two designs are equivalent.

**theorem** *wpd-eq-intro*: [⋀ *r*. (*p*<sub>1</sub> ⊢<sub>n</sub> *Q*<sub>1</sub>) *wp*<sub>D</sub> *r* = (*p*<sub>2</sub> ⊢<sub>n</sub> *Q*<sub>2</sub>) *wp*<sub>D</sub> *r*] ⇒ (*p*<sub>1</sub> ⊢<sub>n</sub> *Q*<sub>1</sub>) = (*p*<sub>2</sub> ⊢<sub>n</sub> *Q*<sub>2</sub>)

**apply** (*rel-simp robust; metis curry-conv*)  
**done**

**theorem** *wpd-H3-eq-intro*:  $\llbracket P \text{ is } H1-H3; Q \text{ is } H1-H3; \bigwedge r. P \text{ wp}_D r = Q \text{ wp}_D r \rrbracket \implies P = Q$   
**by** (*metis H1-H3-commute H1-H3-is-normal-design H3-idem Healthy-def' wpd-eq-intro*)

**lemma** *wp-d-abort* [*wp*]:  $\text{true wp}_D p = \text{false}$   
**by** (*rel-auto*)

**lemma** *wp-assigns-d* [*wp*]:  $\langle \sigma \rangle_D \text{ wp}_D r = \sigma \dagger r$   
**by** (*rel-auto*)

**theorem** *rdesign-wp* [*wp*]:  
 $(\llbracket p \rrbracket_{<} \vdash_r Q) \text{ wp}_D r = (p \wedge Q \text{ wp } r)$   
**by** (*rel-auto*)

**theorem** *ndesign-wp* [*wp*]:  
 $(p \vdash_n Q) \text{ wp}_D r = (p \wedge Q \text{ wp } r)$   
**by** (*simp add: ndesign-def rdesign-wp*)

**theorem** *wpd-seq-r*:  
**fixes** *Q1 Q2 :: 'α hrel*  
**shows**  $((\llbracket p1 \rrbracket_{<} \vdash_r Q1) ;; (\llbracket p2 \rrbracket_{<} \vdash_r Q2)) \text{ wp}_D r = (\llbracket p1 \rrbracket_{<} \vdash_r Q1) \text{ wp}_D ((\llbracket p2 \rrbracket_{<} \vdash_r Q2) \text{ wp}_D r)$   
**apply** (*simp add: wp*)  
**apply** (*subst rdesign-composition-wp*)  
**apply** (*simp only: wp*)  
**apply** (*rel-auto*)  
**done**

**theorem** *wpnd-seq-r* [*wp*]:  
**fixes** *Q1 Q2 :: 'α hrel*  
**shows**  $((p1 \vdash_n Q1) ;; (p2 \vdash_n Q2)) \text{ wp}_D r = (p1 \vdash_n Q1) \text{ wp}_D ((p2 \vdash_n Q2) \text{ wp}_D r)$   
**by** (*simp add: ndesign-def wpd-seq-r*)

**theorem** *wpd-seq-r-H1-H3* [*wp*]:  
**fixes** *P Q :: 'α hrel-des*  
**assumes** *P is N Q is N*  
**shows**  $(P ;; Q) \text{ wp}_D r = P \text{ wp}_D (Q \text{ wp}_D r)$   
**by** (*metis H1-H3-commute H1-H3-is-normal-design H1-idem Healthy-def' assms(1) assms(2) wpnd-seq-r*)

**theorem** *wp-hoare-d-link*:  
**assumes** *Q is N*  
**shows**  $\{p\}Q\{r\}_D \longleftrightarrow (Q \text{ wp}_D r \sqsubseteq p)$   
**by** (*ndes-simp cls: assms, rel-auto*)

**end**

## 6 Refinement Calculus

**theory** *utp-des-refcalc*  
**imports** *utp-des-prog*  
**begin**

**definition** *des-spec* ::  $('a \implies 'α) \Rightarrow 'α \text{ upred} \Rightarrow ('α \Rightarrow 'α \text{ upred}) \Rightarrow 'α \text{ hrel-des}$  **where**  
 $[upred-defs]: \text{des-spec } x \ p \ q = (\bigsqcup v \cdot ((p \wedge \&\mathbf{v} =_u \ll v \gg) \vdash_n x: \llbracket q(v) \rrbracket_{>}))$

### syntax

-init-var :: logic  
-des-spec ::  $\text{salpha} \Rightarrow \text{logic} \Rightarrow \text{logic} \Rightarrow \text{logic} \text{ } (-: [-, / -]_D [99, 0, 0] \text{ } 100)$   
-des-log-const ::  $\text{pttrn} \Rightarrow \text{logic} \Rightarrow \text{logic} \text{ } (\text{con}_D - \cdot - [0, 10] \text{ } 10)$

### translations

-des-spec  $x \text{ } p \text{ } q \Rightarrow \text{CONST des-spec } x \text{ } p \text{ } (\lambda \text{ } \text{-init-var. } q)$   
-des-spec  $(\text{-salphaset } (\text{-salphamk } x)) \text{ } p \text{ } q \Leftarrow \text{CONST des-spec } x \text{ } p \text{ } (\lambda \text{ } \text{iv. } q)$   
-des-log-const  $x \text{ } P \Rightarrow \sqcup x \cdot P$

### parse-translation $\ll$

let  
  fun init-var-tr [] = Syntax.free iv  
  | init-var-tr - = raise Match;  
in  
  [(@{syntax-const -init-var}, K init-var-tr)]  
end  
 $\gg$

**abbreviation**  $\text{choose}_D x \equiv \{\&x\}:[\text{true}, \text{true}]_D$

### lemma des-spec-simple-def:

$x:[\text{pre}, \text{post}]_D = (\text{pre} \vdash_n x:[[\text{post}]_{>}])$   
**by** (rel-auto)

### lemma des-spec-abort:

$x:[\text{false}, \text{post}]_D = \perp_D$   
**by** (rel-auto)

### lemma des-spec-skip: $\emptyset:[\text{true}, \text{true}]_D = II_D$

**by** (rel-auto)

### lemma des-spec-strengthen-post:

**assumes**  $\text{'post'} \Rightarrow \text{post'}$   
**shows**  $w:[\text{pre}, \text{post}]_D \sqsubseteq w:[\text{pre}, \text{post'}]_D$   
**using** *assms* **by** (rel-auto)

### lemma des-spec-weaken-pre:

**assumes**  $\text{'pre} \Rightarrow \text{pre'}$   
**shows**  $w:[\text{pre}, \text{post}]_D \sqsubseteq w:[\text{pre'}, \text{post}]_D$   
**using** *assms* **by** (rel-auto)

### lemma des-spec-refine-skip:

**assumes**  $\text{vwb-lens } w \text{ 'pre} \Rightarrow \text{post'}$   
**shows**  $w:[\text{pre}, \text{post}]_D \sqsubseteq II_D$   
**using** *assms* **by** (rel-auto)

### lemma rc-iter:

**fixes**  $V :: (\text{nat}, 'a) \text{ uexpr}$   
**assumes**  $\text{vwb-lens } w$   
**shows**  $w:[\text{ivr}, \text{ivr} \wedge \neg (\bigvee i \in A \cdot g(i))]_D$   
           $\sqsubseteq (\text{do } i \in A \cdot g(i) \rightarrow \sqcup \text{iv} \cdot w:[\text{ivr} \wedge g(i) \wedge \ll \text{iv} \gg =_u \&\mathbf{v}, \text{ivr} \wedge (V <_u V[\ll \text{iv} \gg / \mathbf{v}])]_D \text{ od}) \text{ (is } ?lhs \sqsubseteq ?rhs)$   
**apply** (rule order-trans)

```

defer
  apply (simp add: des-spec-simple-def)
  apply (rule IterateD-refine-intro[of - - - V])
  apply (simp add: assms)
  apply (rule IterateD-mono-refine)
    apply (simp-all add: ndes-simp closure)
    apply (rel-auto)
  using assms
  apply (rel-auto)
done

end

```

## 7 Theory of Invariants

```

theory utp-des-invariants
  imports utp-des-theory
begin

```

The theory of invariants formalises operation and state invariants based on the theory of designs. For more information, please see the associated paper [1, Section 4].

### 7.1 Operation Invariants

**definition**  $OIH(\psi)(D) = (D \wedge (\$ok \wedge \neg D^f \Rightarrow \psi))$

**declare**  $OIH\text{-}def$  [*upred-defs*]

**lemma**  $OIH\text{-}design$ :

```

  assumes  $D$  is  $H1\text{-}H2$ 
  shows  $OIH(\psi)(D) = ((\neg D^f) \vdash (D^t \wedge \psi))$ 
proof -
  have  $OIH(\psi)(D) = (((\neg D^f) \vdash D^t) \wedge (\$ok \wedge \neg D^f \Rightarrow \psi))$ 
    by (metis  $H1\text{-}H2\text{-}commute$   $H1\text{-}H2\text{-}is\text{-}design$   $H1\text{-}idem$   $Healthy\text{-}def'$   $OIH\text{-}def$   $assms$ )
  also have  $\dots = ((\$ok \wedge \neg D^f \Rightarrow \$ok' \wedge D^t) \wedge (\$ok \wedge \neg D^f \Rightarrow \psi))$ 
    by (simp add:  $design\text{-}def$ )
  also have  $\dots = ((\neg D^f) \vdash (D^t \wedge \psi))$ 
    by (pred-auto)
  finally show ?thesis .
qed

```

**lemma**  $OIH\text{-}idem$ :

```

  assumes  $D$  is  $H1\text{-}H2$   $\$ok' \nVdash \psi$ 
  shows  $OIH(\psi)(OIH(\psi)(D)) = OIH(\psi)(D)$ 
  using  $assms$ 
  by (simp add:  $OIH\text{-}design$   $design\text{-}is\text{-}H1\text{-}H2$   $unrest$ ) (simp add:  $design\text{-}def$   $usubst$ ,  $rel\text{-}auto$ )

```

**lemma**  $OIH\text{-}of\text{-}design$ :

```

 $\$ok' \nVdash P \Longrightarrow OIH(\psi)(P \vdash Q) = (P \vdash (Q \wedge \psi))$ 
  by (simp add:  $OIH\text{-}def$   $design\text{-}def$   $usubst$ ,  $rel\text{-}auto$ )

```

### 7.2 State Invariants

**definition**  $ISH(\psi)(D) = (D \vee (\$ok \wedge \neg D^f \wedge [\psi]_{<} \Rightarrow \$ok' \wedge D^t))$

**declare** *ISH-def* [*upred-defs*]

**lemma** *ISH-design*:  $ISH(\psi)(D) = (\neg D^f \wedge \lceil \psi \rceil_<) \vdash D^t$   
**by** (*rel-auto*, *metis+*)

**lemma** *ISH-idem*:  $ISH(\psi)(ISH(\psi)(D)) = ISH(\psi)(D)$   
**by** (*simp add: ISH-design usubst design-def, pred-auto*)

**lemma** *ISH-of-design*:  
 $\llbracket \$ok' \# P; \$ok' \# Q \rrbracket \implies ISH(\psi)(P \vdash Q) = ((P \wedge \lceil \psi \rceil_<) \vdash Q)$   
**by** (*simp add: ISH-design design-def usubst, pred-auto*)

**definition**  $OSH(\psi)(D) = (D \wedge (\$ok \wedge \neg D^f \wedge \lceil \psi \rceil_< \Rightarrow \lceil \psi \rceil_>))$

**declare** *OSH-def* [*upred-defs*]

**lemma** *OSH-as-OIH*:  
 $OSH(\psi)(D) = OIH(\lceil \psi \rceil_< \Rightarrow \lceil \psi \rceil_>)(D)$   
**by** (*simp add: OSH-def OIH-def, pred-auto*)

**lemma** *OSH-design*:  
**assumes** *D is H1-H2*  
**shows**  $OSH(\psi)(D) = ((\neg D^f) \vdash (D^t \wedge (\lceil \psi \rceil_< \Rightarrow \lceil \psi \rceil_>)))$   
**by** (*simp add: OSH-as-OIH OIH-design assms*)

**lemma** *OSH-of-design*:  
 $\llbracket \$ok' \# P; \$ok' \# Q \rrbracket \implies OSH(\psi)(P \vdash Q) = (P \vdash (Q \wedge (\lceil \psi \rceil_< \Rightarrow \lceil \psi \rceil_>)))$   
**by** (*simp add: OSH-design design-is-H1-H2 unrest, simp add: design-def usubst, pred-auto*)

**definition**  $SIH(\psi) = ISH(\psi) \circ OSH(\psi)$

**declare** *SIH-def* [*upred-defs*]

**lemma** *SIH-of-design*:  
 $\llbracket \$ok' \# P; \$ok' \# Q; ok \# \psi \rrbracket \implies SIH(\psi)(P \vdash Q) = ((P \wedge \lceil \psi \rceil_<) \vdash (Q \wedge \lceil \psi \rceil_>))$   
**by** (*simp add: SIH-def OSH-of-design ISH-of-design unrest, pred-auto*)

**end**

## 8 Meta Theory for UTP Designs

**theory** *utp-designs*  
**imports**  
*utp-des-core*  
*utp-des-healths*  
*utp-des-theory*  
*utp-des-tactics*  
*utp-des-hoare*  
*utp-des-prog*  
*utp-des-wp*  
*utp-des-refcalc*  
*utp-des-invariants*  
**begin end**

## References

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