

A Shallow Model of the UTP in Isabelle/HOL

Abderrahmane Feliachi Simon Foster Marie-Claude Gaudel
Burkhart Wolff Frank Zeyda

April 7, 2016

Contents

| | | |
|----------|---|-----------|
| 1 | UTP variables | 2 |
| 1.1 | Deep UTP variables | 5 |
| 1.2 | Cardinalities | 5 |
| 1.3 | Injection functions | 6 |
| 1.4 | Deep variables | 7 |
| 2 | UTP expressions | 10 |
| 2.1 | Evaluation laws for expressions | 16 |
| 3 | Unrestriction | 16 |
| 4 | Substitution | 18 |
| 4.1 | Substitution definitions | 18 |
| 4.2 | Substitution laws | 20 |
| 4.3 | Unrestriction laws | 22 |
| 5 | Lifting expressions | 22 |
| 5.1 | Lifting definitions | 22 |
| 5.2 | Lifting laws | 23 |
| 5.3 | Substitution laws | 23 |
| 6 | Alphabetised Predicates | 23 |
| 6.1 | Predicate syntax | 23 |
| 6.2 | Predicate operators | 24 |
| 6.3 | Proof support | 27 |
| 6.4 | Unrestriction Laws | 27 |
| 6.5 | Substitution Laws | 29 |
| 6.6 | Predicate Laws | 30 |
| 6.7 | Quantifier lifting | 33 |
| 7 | Alphabetised relations | 34 |
| 7.1 | Unrestriction Laws | 36 |
| 7.2 | Substitution laws | 37 |
| 7.3 | Relation laws | 37 |
| 7.4 | Converse laws | 41 |
| 7.5 | Relational unrestriction | 43 |
| 7.6 | Weakest precondition calculus | 44 |

| | | |
|-----------|--|-----------|
| 8 | UTP Theories | 45 |
| 9 | Example UTP theory: Boyle's laws | 47 |
| 10 | Designs | 48 |
| 10.1 | Definitions | 48 |
| 10.2 | Design laws | 51 |
| 10.3 | H1: No observation is allowed before initiation | 55 |
| 10.4 | H2: A specification cannot require non-termination | 57 |
| 10.5 | H3: The design assumption is a precondition | 60 |
| 10.6 | H4: Feasibility | 62 |
| 11 | Concurrent programming | 62 |
| 11.1 | Design parallel composition | 62 |
| 11.2 | Parallel by merge | 63 |
| 12 | Reactive processes | 67 |
| 12.1 | Preliminaries | 67 |
| 12.2 | R1: Events cannot be undone | 69 |
| 12.3 | R2 | 70 |
| 12.4 | R3 | 72 |

1 UTP variables

```

theory utp-var
imports
  ../contrib/Kleene-Algebras/Quantales
  ../utils/cardinals
  ../utils/Continuum
  ../utils/finite-bijection
  ../utils/Lenses
  ../utils/Library-extra/Pfun
  ../utils/Library-extra/Derivative-extra
  ~~ /src/HOL/Library/Prefix-Order
  ~~ /src/HOL/Library/Adhoc-Overloading
  ~~ /src/HOL/Library/Monad-Syntax
  ~~ /src/HOL/Library/Countable
  ~~ /src/HOL/Eisbach/Eisbach
  utp-parser-utils
begin

no-notation inner (infix  $\cdot$  70)

```

This theory describes the foundational structure of UTP variables, upon which the rest of our model rests. We start by defining alphabets, which in this shallow model are simply represented as types, though by convention usually a record type where each field corresponds to a variable.

```
type-synonym 'α alphabet = 'α
```

UTP variables carry two type parameters, 'α that corresponds to the variable's type and 'α that corresponds to alphabet of which the variable is a type. There is thus a strong link between alphabets and variables in this model. Variables are characterized by two functions, *var-lookup* and *var-update*, that respectively lookup and update the variable's value in some alphabetised state space. These functions can readily be extracted from an Isabelle record type.

type-synonym $(\text{'a}, \text{'}\alpha) \text{ uvar} = (\text{'a}, \text{'}\alpha) \text{ lens}$

The *VAR* function is a syntactic translations that allows to retrieve a variable given its name, assuming the variable is a field in a record.

syntax $\text{-VAR} :: id \Rightarrow (\text{'a}, \text{'r}) \text{ uvar} \ (\text{VAR} \ -)$

translations $\text{VAR } x \Rightarrow \text{FLDLens } x$

abbreviation $\text{var-lookup} :: (\text{'a}, \text{'}\alpha) \text{ uvar} \Rightarrow \text{'}\alpha \Rightarrow \text{'a} \text{ where}$
 $\text{var-lookup} \equiv \text{lens-get}$

abbreviation $\text{var-assign} :: (\text{'a}, \text{'}\alpha) \text{ uvar} \Rightarrow \text{'a} \Rightarrow (\text{'}\alpha \Rightarrow \text{'}\alpha) \text{ where}$
 $\text{var-assign } x \ v \ \sigma \equiv \text{lens-put } x \ \sigma \ v$

abbreviation $\text{var-update} :: (\text{'a}, \text{'}\alpha) \text{ uvar} \Rightarrow (\text{'a} \Rightarrow \text{'a}) \Rightarrow (\text{'}\alpha \Rightarrow \text{'}\alpha) \text{ where}$
 $\text{var-update} \equiv \text{weak-lens.update}$

abbreviation $\text{semi-uvar} \equiv \text{mwb-lens}$

abbreviation $\text{uvar} \equiv \text{vwb-lens}$

We also define some lifting functions for variables to create input and output variables. These simply lift the alphabet to a tuple type since relations will ultimately be defined to a tuple alphabet.

definition $\text{in-var} :: (\text{'a}, \text{'}\alpha) \text{ uvar} \Rightarrow (\text{'a}, \text{'}\alpha \times \text{'}\beta) \text{ uvar} \text{ where}$
 $[\text{lens-defs}]: \text{in-var } x = x ;_L \text{fst}_L$

definition $\text{out-var} :: (\text{'a}, \text{'}\beta) \text{ uvar} \Rightarrow (\text{'a}, \text{'}\alpha \times \text{'}\beta) \text{ uvar} \text{ where}$
 $[\text{lens-defs}]: \text{out-var } x = x ;_L \text{snd}_L$

definition $\text{pr-var} :: (\text{'a}, \text{'}\beta) \text{ uvar} \Rightarrow (\text{'a}, \text{'}\beta) \text{ uvar} \text{ where}$
 $[\text{simp}]: \text{pr-var } x = x$

lemma $\text{in-var-semi-uvar} [\text{simp}]:$
 $\text{semi-uvar } x \Longrightarrow \text{semi-uvar } (\text{in-var } x)$
by $(\text{simp add: comp-mwb-lens fst-vwb-lens in-var-def})$

lemma $\text{in-var-uvar} [\text{simp}]:$
 $\text{uvar } x \Longrightarrow \text{uvar } (\text{in-var } x)$
by $(\text{simp add: comp-vwb-lens fst-vwb-lens in-var-def})$

lemma $\text{out-var-semi-uvar} [\text{simp}]:$
 $\text{semi-uvar } x \Longrightarrow \text{semi-uvar } (\text{out-var } x)$
by $(\text{simp add: comp-mwb-lens out-var-def snd-vwb-lens})$

lemma $\text{out-var-uvar} [\text{simp}]:$
 $\text{uvar } x \Longrightarrow \text{uvar } (\text{out-var } x)$
by $(\text{simp add: comp-vwb-lens out-var-def snd-vwb-lens})$

lemma $\text{in-out-indep} [\text{simp}]:$
 $\text{in-var } x \bowtie \text{out-var } y$
by $(\text{simp add: lens-indep-def in-var-def out-var-def fst-lens-def snd-lens-def lens-comp-def})$

lemma $\text{out-in-indep} [\text{simp}]:$
 $\text{out-var } x \bowtie \text{in-var } y$
by $(\text{simp add: lens-indep-def in-var-def out-var-def fst-lens-def snd-lens-def lens-comp-def})$

lemma *in-var-indep* [simp]:
 $x \bowtie y \implies \text{in-var } x \bowtie \text{in-var } y$
by (simp add: in-var-def out-var-def fst-vwb-lens lens-indep-left-comp)

lemma *out-var-indep* [simp]:
 $x \bowtie y \implies \text{out-var } x \bowtie \text{out-var } y$
by (simp add: lens-indep-left-comp out-var-def snd-vwb-lens)

We also define some lookup abstraction simplifications.

lemma *var-lookup-in* [simp]: $\text{lens-get } (\text{in-var } x) (A, A') = \text{lens-get } x A$
by (simp add: in-var-def fst-lens-def lens-comp-def)

lemma *var-lookup-out* [simp]: $\text{lens-get } (\text{out-var } x) (A, A') = \text{lens-get } x A'$
by (simp add: out-var-def snd-lens-def lens-comp-def)

lemma *var-update-in* [simp]: $\text{lens-put } (\text{in-var } x) (A, A') v = (\text{lens-put } x A v, A')$
by (simp add: in-var-def fst-lens-def lens-comp-def)

lemma *var-update-out* [simp]: $\text{lens-put } (\text{out-var } x) (A, A') v = (A, \text{lens-put } x A' v)$
by (simp add: out-var-def snd-lens-def lens-comp-def)

Variables can also be used to effectively define sets of variables. Here we define the the universal alphabet (Σ) to be a variable with identity for both the lookup and update functions. Effectively this is just a function directly on the alphabet type.

abbreviation (*input*) *univ-alpha* :: $('a, 'a) \text{ uvar } (\Sigma)$ **where**
univ-alpha $\equiv 1_L$

nonterminal *svid* **and** *svar* **and** *salpha*

syntax

-*salphaid* :: $id \Rightarrow \text{salpha } (- [999] 999)$
-*salphavar* :: $\text{svar} \Rightarrow \text{salpha } (- [999] 999)$
-*salphacomp* :: $\text{salpha} \Rightarrow \text{salpha} \Rightarrow \text{salpha}$ (**infixr** , 75)
-*svid* :: $id \Rightarrow \text{svid } (- [999] 999)$
-*svid-alpha* :: $\text{svid } (\Sigma)$
-*spvar* :: $\text{svid} \Rightarrow \text{svar } (\&- [999] 999)$
-*sinvar* :: $\text{svid} \Rightarrow \text{svar } (\$- [999] 999)$
-*soutvar* :: $\text{svid} \Rightarrow \text{svar } (\$-' [999] 999)$

consts

svar :: $'v \Rightarrow 'e$
ivar :: $'v \Rightarrow 'e$
ovar :: $'v \Rightarrow 'e$

ad hoc-overloading

svar pr-var **and** *ivar in-var* **and** *ovar out-var*

translations

-*salphaid* $x \Rightarrow x$
-*salphacomp* $x y \Rightarrow x +_L y$
-*salphavar* $x \Rightarrow x$
-*svid-alpha* $== \Sigma$
-*svid* $x \Rightarrow x$
-*spvar* $x == \text{CONST svar } x$

```

-sinvar x == CONST ivar x
-soutvar x == CONST ovar x

```

end

1.1 Deep UTP variables

```

theory utp-dvar
  imports utp-var
begin

```

UTP variables represented by record fields are shallow, nameless entities. They are fundamentally static in nature, since a new record field can only be introduced definitionally and cannot be otherwise arbitrarily created. They are nevertheless very useful as proof automation is excellent, and they can fully make use of the Isabelle type system. However, for constructs like alphabet extension that can introduce new variables they are inadequate. As a result we also introduce a notion of deep variables to complement them. A deep variable is not a record field, but rather a key within a store map that records the values of all deep variables. As such the Isabelle type system is agnostic of them, and the creation of a new deep variable does not change the portion of the alphabet specified by the type system.

In order to create a type of stores (or bindings) for variables, we must fix a universe for the variable valuations. This is the major downside of deep variables – they cannot have any type, but only a type whose cardinality is up to \mathfrak{c} , the cardinality of the continuum. This is why we need both deep and shallow variables, as the latter are unrestricted in this respect. Each deep variable will therefore specify the cardinality of the type it possesses.

1.2 Cardinalities

We first fix a datatype representing all possible cardinalities for a deep variable. These include finite cardinalities, \aleph_0 (countable), and \mathfrak{c} (uncountable up to the continuum).

```

datatype ucard = fin nat | aleph0 ( $\aleph_0$ ) | cont ( $\mathfrak{c}$ )

```

Our universe is simply the set of natural numbers; this is sufficient for all types up to cardinality \mathfrak{c} .

```

type-synonym uuniv = nat set

```

We introduce a function that gives the set of values within our universe of the given cardinality. Since a cardinality of 0 is no proper type, we use finite cardinality 0 to mean cardinality 1, 1 to mean 2 etc.

```

fun uuniv :: ucard  $\Rightarrow$  uuniv set ( $\mathcal{U}'(-)$ ) where
 $\mathcal{U}(\text{fin } n) = \{\{x\} \mid x. x \leq n\} \mid$ 
 $\mathcal{U}(\aleph_0) = \{\{x\} \mid x. \text{True}\} \mid$ 
 $\mathcal{U}(\mathfrak{c}) = \text{UNIV}$ 

```

We also define the following function that gives the cardinality of a type within the *continuum* type class.

```

definition ucard-of :: 'a::continuum itself  $\Rightarrow$  ucard where
ucard-of x = (if (finite (UNIV :: 'a set))
  then fin(card(UNIV :: 'a set) - 1)
  else if (countable (UNIV :: 'a set))
  then  $\aleph_0$ )

```

else c)

syntax

-ucard :: *type* \Rightarrow *ucard* (*UCARD*'(-'))

translations

UCARD('a) == *CONST* *ucard-of* (*TYPE*('a))

lemma *ucard-non-empty*:

$\mathcal{U}(x) \neq \{\}$

by (*induct* *x*, *auto*)

lemma *ucard-of-finite* [*simp*]:

finite (*UNIV* :: 'a::continuum set) \Rightarrow *UCARD*('a) = *fin*(*card*(*UNIV* :: 'a set) - 1)

by (*simp* *add*: *ucard-of-def*)

lemma *ucard-of-countably-infinite* [*simp*]:

$\llbracket \text{countable}(\text{UNIV} :: 'a::\text{continuum set}); \text{infinite}(\text{UNIV} :: 'a \text{ set}) \rrbracket \Rightarrow \text{UCARD}('a) = \aleph_0$

by (*simp* *add*: *ucard-of-def*)

lemma *ucard-of-uncountably-infinite* [*simp*]:

uncountable (*UNIV* :: 'a set) \Rightarrow *UCARD*('a :: continuum) = c

apply (*simp* *add*: *ucard-of-def*)

using *countable-finite* **apply** *blast*

done

1.3 Injection functions

definition *uinject-finite* :: 'a::finite \Rightarrow *uuniv* **where**

uinject-finite *x* = {*to-nat-fin* *x*}

definition *uinject-aleph0* :: 'a::{countable, infinite} \Rightarrow *uuniv* **where**

uinject-aleph0 *x* = {*to-nat-bij* *x*}

definition *uinject-continuum* :: 'a::{continuum, infinite} \Rightarrow *uuniv* **where**

uinject-continuum *x* = *to-nat-set-bij* *x*

definition *uinject* :: 'a::continuum \Rightarrow *uuniv* **where**

uinject *x* = (if (*finite* (*UNIV* :: 'a set))

then {*to-nat-fin* *x*}

else if (*countable* (*UNIV* :: 'a set))

then {*to-nat-on* (*UNIV* :: 'a set) *x*}

else *to-nat-set* *x*)

definition *uproject* :: *uuniv* \Rightarrow 'a::continuum **where**

uproject = *inv* *uinject*

lemma *uinject-finite*:

finite (*UNIV* :: 'a::continuum set) \Rightarrow *uinject* = (λ *x* :: 'a. {*to-nat-fin* *x*})

by (*rule* *ext*, *auto* *simp* *add*: *uinject-def*)

lemma *uinject-uncountable*:

uncountable (*UNIV* :: 'a::continuum set) \Rightarrow (*uinject* :: 'a \Rightarrow *uuniv*) = *to-nat-set*

by (*rule* *ext*, *auto* *simp* *add*: *uinject-def* *countable-finite*)

lemma *card-finite-lemma*:

```

assumes finite (UNIV :: 'a set)
shows  $x < \text{card } (\text{UNIV} :: 'a \text{ set}) \longleftrightarrow x \leq \text{card } (\text{UNIV} :: 'a \text{ set}) - \text{Suc } 0$ 
proof -
  have  $\text{card } (\text{UNIV} :: 'a \text{ set}) > 0$ 
    by (simp add: assms finite-UNIV-card-ge-0)
  thus ?thesis
    by linarith
qed

```

This is a key theorem that shows that the injection function provides a bijection between any continuum type and the subuniverse of types with a matching cardinality.

```

lemma uinject-bij:
  bij-betw (uinject :: 'a::continuum  $\Rightarrow$  uuniv) UNIV  $\mathcal{U}(\text{UCARD}('a))$ 
proof (cases finite (UNIV :: 'a set))
  case True thus ?thesis
    apply (auto simp add: uinject-def bij-betw-def inj-on-def image-def card-finite-lemma[THEN sym])
    apply (auto simp add: inj-eq to-nat-fin-inj to-nat-fin-bounded)
    using to-nat-fin-ex apply blast
  done
next
  case False note infinite = this thus ?thesis
proof (cases countable (UNIV :: 'a set))
  case True thus ?thesis
    apply (auto simp add: uinject-def bij-betw-def inj-on-def infinite image-def card-finite-lemma[THEN sym])
    apply (meson image-to-nat-on infinite surj-def)
  done
next
  case False note uncount = this thus ?thesis
    apply (simp add: uinject-uncountable)
    using to-nat-set-bij apply blast
  done
qed
qed

```

```

lemma uinject-card [simp]: uinject ( $x :: 'a::continuum$ )  $\in \mathcal{U}(\text{UCARD}('a))$ 
  by (metis bij-betw-def rangeI uinject-bij)

```

```

lemma uinject-inv [simp]:
  uproject (uinject  $x$ ) =  $x$ 
  by (metis UNIV-I bij-betw-def inv-into-f-f uinject-bij uproject-def)

```

```

lemma uproject-inv [simp]:
   $x \in \mathcal{U}(\text{UCARD}('a::continuum)) \implies \text{uinject } ((\text{uproject} :: \text{nat set} \Rightarrow 'a) \ x) = x$ 
  by (metis bij-betw-inv-into-right uinject-bij uproject-def)

```

1.4 Deep variables

A deep variable name stores both a name and the cardinality of the type it points to

```

record dname =
  dname-name :: string
  dname-card :: ucard

```

A *vstore* is a function mapping deep variable names to corresponding values in the universe, such that the deep variables specified cardinality is matched by the value it points to.

```

typedef vstore = {f :: dname  $\Rightarrow$  uuniv.  $\forall$  x. f(x)  $\in$   $\mathcal{U}(\text{dname-card } x)$ }
  apply (rule-tac x =  $\lambda$  x. {0} in exI)
  apply (auto)
  apply (rename-tac x)
  apply (case-tac dname-card x)
  apply (simp-all)
done

```

setup-lifting *type-definition-vstore*

```

typedef ('a::continuum) dvar = {x :: dname. dname-card x = UCARD('a)}
  by (auto, meson dname.select-convs(2))

```

setup-lifting *type-definition-dvar*

```

lift-definition mk-dvar :: string  $\Rightarrow$  ('a::continuum) dvar ( $\lceil \cdot \rceil_d$ )
is  $\lambda$  n. ( $\lceil \cdot \rceil$  dname-name = n, dname-card = UCARD('a)  $\lceil \cdot \rceil$ )
  by auto

```

lift-definition *dvar-name* :: '*a*::continuum *dvar* \Rightarrow *string* **is** *dname-name* .

lift-definition *dvar-card* :: '*a*::continuum *dvar* \Rightarrow *ucard* **is** *dname-card* .

```

lemma dvar-name [simp]: dvar-name  $\lceil x \rceil_d$  = x
  by (transfer, simp)

```

```

lift-definition vstore-lookup :: ('a::continuum) dvar  $\Rightarrow$  vstore  $\Rightarrow$  'a
is  $\lambda$  x s. (uproject :: uuniv  $\Rightarrow$  'a) (s(x)) .

```

```

lift-definition vstore-put :: ('a::continuum) dvar  $\Rightarrow$  'a  $\Rightarrow$  vstore  $\Rightarrow$  vstore
is  $\lambda$  (x :: dname) (v :: 'a) f . f(x := uinject v)
  by (auto)

```

```

definition vstore-upd :: ('a::continuum) dvar  $\Rightarrow$  ('a  $\Rightarrow$  'a)  $\Rightarrow$  vstore  $\Rightarrow$  vstore
where vstore-upd x f s = vstore-put x (f (vstore-lookup x s)) s

```

```

lemma vstore-upd-comp [simp]:
  vstore-upd x f (vstore-upd x g s) = vstore-upd x (f  $\circ$  g) s
  by (simp add: vstore-upd-def, transfer, simp)

```

```

lemma vstore-lookup-put [simp]: vstore-lookup x (vstore-put x v s) = v
  by (transfer, simp)

```

```

lemma vstore-lookup-upd [simp]: vstore-lookup x (vstore-upd x f s) = f (vstore-lookup x s)
  by (simp add: vstore-upd-def)

```

```

lemma vstore-upd-eta [simp]: vstore-upd x ( $\lambda$  -. vstore-lookup x s) s = s
  apply (simp add: vstore-upd-def, transfer, auto)
  apply (metis Domainp-iff dvar.domain fun-upd-idem-iff uproject-inv)
done

```

```

lemma vstore-lookup-put-diff-var [simp]:
  assumes dvar-name x  $\neq$  dvar-name y
  shows vstore-lookup x (vstore-put y v s) = vstore-lookup x s
  using assms by (transfer, auto)

```


lemma *vstore-put-commute*:
assumes *dvar-name* $x \neq \text{dvar-name } y$
shows $\text{vstore-put } x \ u \ (\text{vstore-put } y \ v \ s) = \text{vstore-put } y \ v \ (\text{vstore-put } x \ u \ s)$
using *assms*
by (*transfer*, *fastforce*)

lemma *vstore-put-put* [*simp*]:
 $\text{vstore-put } x \ u \ (\text{vstore-put } x \ v \ s) = \text{vstore-put } x \ u \ s$
by (*transfer*, *simp*)

The *vst* class provides an interface for extracting a variable store from a state space. For now, the state-space is limited to countably infinite types, though we will in the future build a more expressive universe.

class *vst* =
fixes *get-vstore* :: $'a \Rightarrow \text{vstore}$
and *put-vstore* :: $'a \Rightarrow \text{vstore} \Rightarrow 'a$
assumes *put-get-vstore* [*simp*]: $\text{get-vstore } (\text{put-vstore } s \ x) = x$
and *get-put-vstore* [*simp*]: $\text{put-vstore } s \ (\text{get-vstore } s) = s$
and *put-put-vstore* [*simp*]: $\text{put-vstore } (\text{put-vstore } s \ x) \ y = \text{put-vstore } s \ y$

definition *dvar-lift* :: $'a::\text{continuum } \text{dvar} \Rightarrow ('a, 'a::\text{vst}) \text{uvar} \ (-\uparrow [999] \ 999)$
where $\text{dvar-lift } x = \langle \mid \text{lens-get} = (\lambda v. \text{vstore-lookup } x \ (\text{get-vstore } v))$
 $\quad \quad \quad , \text{lens-put} = (\lambda s \ v. \text{put-vstore } s \ (\text{vstore-put } x \ v \ (\text{get-vstore } s)))$
 $\quad \quad \quad \rangle$

definition [*simp*]: $\text{in-dvar } x = \text{in-var } (x\uparrow)$
definition [*simp*]: $\text{out-dvar } x = \text{out-var } (x\uparrow)$

adhoc-overloading
 $\text{ivar } \text{in-dvar}$ **and** $\text{ovar } \text{out-dvar}$ **and** $\text{svar } \text{dvar-lift}$

lemma *uvar-dvar*: $\text{uvar } (x\uparrow)$
apply (*unfold-locales*)
apply (*simp-all add: dvar-lift-def*)
apply (*metis get-put-vstore vstore-upd-def vstore-upd-eta*)
done

Deep variables with different names are independent

lemma *dvar-indep-diff-name*:
assumes *dvar-name* $x \neq \text{dvar-name } y$
shows $x\uparrow \bowtie y\uparrow$
using *assms*
apply (*auto simp add: assms dvar-lift-def lens-indep-def vstore-put-commute*)
using *assms* **apply** *auto*
done

lemma *dvar-indep-diff-name'* [*simp*]:
 $x \neq y \implies [x]_d\uparrow \bowtie [y]_d\uparrow$
by (*auto intro: dvar-indep-diff-name*)

A basic record structure for vstores

record *vstore-d* =
 $\text{vstore} :: \text{vstore}$

```

instantiation vstore-d-ext :: (type) vst
begin
  definition [simp]: get-vstore-vstore-d-ext = vstore
  definition [simp]: put-vstore-vstore-d-ext = ( $\lambda$  x s. vstore-update ( $\lambda$ -. s) x)
instance
  by (intro-classes, simp-all)
end

end

```

2 UTP expressions

```

theory utp-expr
imports
  utp-var
  utp-dvar
begin

```

Before building the predicate model, we will build a model of expressions that generalise alphabetised predicates. Expressions are represented semantically as mapping from the alphabet to the expression's type. This general model will allow us to unify all constructions under one type. All definitions in the file are given using the *lifting* package.

Since we have two kinds of variable (deep and shallow) in the model, we will also need two versions of each construct that takes a variable. We make use of *ad hoc*-overloading to ensure the correct instance is automatically chosen, within the user noticing a difference.

```

typedef ('t, 'α) uepr = UNIV :: ('α alphabet  $\Rightarrow$  't) set ..

```

```

notation Rep-uepr ( $\llbracket$ · $\rrbracket_e$ )

```

```

lemma uepr-eq-iff:
   $e = f \iff (\forall b. \llbracket e \rrbracket_e b = \llbracket f \rrbracket_e b)$ 
  using Rep-uepr-inject[of e f, THEN sym] by (auto)

```

```

named-theorems ueval

```

```

setup-lifting type-definition-uepr

```

Get the alphabet of an expression

```

definition alpha-of :: ('a, 'α) uepr  $\Rightarrow$  ('α, 'α) lens ( $\alpha'$ (-')) where
alpha-of e = 1L

```

A variable expression corresponds to the lookup function of the variable.

```

lift-definition var :: ('t, 'α) uvar  $\Rightarrow$  ('t, 'α) uepr is var-lookup .

```

```

declare [coercion-enabled]
declare [coercion var]

```

```

definition dvar-exp :: 't::continuum dvar  $\Rightarrow$  ('t, 'α::vst) uepr
where dvar-exp x = var (dvar-lift x)

```

A literal is simply a constant function expression, always returning the same value.

```

lift-definition lit :: 't  $\Rightarrow$  ('t, 'α) uepr
is  $\lambda v b. v$  .

```

We define lifting for unary, binary, and ternary functions, that simply apply the function to all possible results of the expressions.

lift-definition $uop :: ('a \Rightarrow 'b) \Rightarrow ('a, 'α) uexpr \Rightarrow ('b, 'α) uexpr$
is $\lambda f e b. f (e b) .$

lift-definition $bop :: ('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow ('a, 'α) uexpr \Rightarrow ('b, 'α) uexpr \Rightarrow ('c, 'α) uexpr$
is $\lambda f u v b. f (u b) (v b) .$

lift-definition $trop :: ('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd) \Rightarrow ('a, 'α) uexpr \Rightarrow ('b, 'α) uexpr \Rightarrow ('c, 'α) uexpr \Rightarrow ('d, 'α) uexpr$
is $\lambda f u v w b. f (u b) (v b) (w b) .$

We also define a UTP expression version of function abstract

lift-definition $ulambda :: ('a \Rightarrow ('b, 'α) uexpr) \Rightarrow ('a \Rightarrow 'b, 'α) uexpr$
is $\lambda f A x. f x A .$

We define syntax for expressions using adhoc overloading – this allows us to later define operators on different types if necessary (e.g. when adding types for new UTP theories).

consts

$ulit :: 't \Rightarrow 'e (\ll-\gg)$
 $ueq :: 'a \Rightarrow 'a \Rightarrow 'b (\text{infixl } =_u \ 50)$

adhoc-overloading

$ulit \ lit$

syntax

$-uuvar :: svar \Rightarrow logic$

translations

$-uuvar \ x == CONST \ var \ x$

syntax

$-uuvar :: svar \Rightarrow logic \ (-)$

We also set up some useful standard arithmetic operators for Isabelle by lifting the functions to binary operators.

instantiation $uexpr :: (plus, type) plus$

begin

definition $plus-uexpr-def: u + v = bop \ (op \ +) \ u \ v$

instance ..

end

Instantiating uminus also provides negation for predicates later

instantiation $uexpr :: (uminus, type) uminus$

begin

definition $uminus-uexpr-def: - u = uop \ uminus \ u$

instance ..

end

instantiation $uexpr :: (minus, type) minus$

begin

definition $minus-uexpr-def: u - v = bop \ (op \ -) \ u \ v$

instance ..

end

```

instantiation uexpr :: (times, type) times
begin
  definition times-uexpr-def:  $u * v = \text{bop } (op *) u v$ 
instance ..
end

instantiation uexpr :: (inverse, type) inverse
begin
  definition inverse-uexpr-def:  $\text{inverse } u = \text{uop } \text{inverse } u$ 
  definition divide-uexpr-def:  $u / v = \text{bop } (op /) u v$ 
instance ..
end

instantiation uexpr :: (Divides.div, type) Divides.div
begin
  definition div-uexpr-def:  $u \text{ div } v = \text{bop } (op \text{ div}) u v$ 
  definition mod-uexpr-def:  $u \text{ mod } v = \text{bop } (op \text{ mod}) u v$ 
instance ..
end

instantiation uexpr :: (zero, type) zero
begin
  definition zero-uexpr-def:  $0 = \text{lit } 0$ 
instance ..
end

instantiation uexpr :: (one, type) one
begin
  definition one-uexpr-def:  $1 = \text{lit } 1$ 
instance ..

end

instance uexpr :: (semigroup-mult, type) semigroup-mult
  by (intro-classes) (simp add: times-uexpr-def one-uexpr-def, transfer, simp add: mult.assoc) +

instance uexpr :: (monoid-mult, type) monoid-mult
  by (intro-classes) (simp add: times-uexpr-def one-uexpr-def, transfer, simp) +

instance uexpr :: (semigroup-add, type) semigroup-add
  by (intro-classes) (simp add: plus-uexpr-def zero-uexpr-def, transfer, simp add: add.assoc) +

instance uexpr :: (monoid-add, type) monoid-add
  by (intro-classes) (simp add: plus-uexpr-def zero-uexpr-def, transfer, simp) +

instance uexpr :: (semiring, type) semiring
  by (intro-classes) (simp add: plus-uexpr-def times-uexpr-def, transfer, simp add: fun-eq-iff add.commute
semiring-class.distrib-right semiring-class.distrib-left) +

instance uexpr :: (ring-1, type) ring-1
  by (intro-classes) (simp add: plus-uexpr-def uminus-uexpr-def minus-uexpr-def times-uexpr-def zero-uexpr-def
one-uexpr-def, transfer, simp add: fun-eq-iff) +

instance uexpr :: (numeral, type) numeral
  by (intro-classes, simp add: plus-uexpr-def, transfer, simp add: add.assoc)

```

Set up automation for numerals

lemma *numeral-uepr-rep-eq*: $\llbracket \text{numeral } x \rrbracket_e b = \text{numeral } x$
by (*induct* *x*, *simp-all* *add*: *plus-uepr-def one-uepr-def numeral.simps lit.rep-eq bop.rep-eq*)

lemma *numeral-uepr-simp*: $\text{numeral } x = \llbracket \text{numeral } x \rrbracket$
by (*simp* *add*: *uepr-eq-iff numeral-uepr-rep-eq lit.rep-eq*)

definition *eq-upred* :: $('a, 'α) \text{uepr} \Rightarrow ('a, 'α) \text{uepr} \Rightarrow (\text{bool}, 'α) \text{uepr}$
where *eq-upred* *x y* = *bop HOL.eq x y*

adhoc-overloading

ueq eq-upred

definition *fun-apply* *f x* = *f x*

declare *fun-apply-def* [*simp*]

consts

uapply :: $'f \Rightarrow 'k \Rightarrow 'v$
udom :: $'f \Rightarrow 'a \text{ set}$
uran :: $'f \Rightarrow 'b \text{ set}$
ucard :: $'f \Rightarrow \text{nat}$

adhoc-overloading

uapply fun-apply **and** *uapply nth* **and** *uapply pfun-app* **and**
udom Domain **and** *udom pdom* **and** *udom seq-dom* **and**
udom Range **and** *uran pran* **and** *uran set* **and**
ucard card **and** *ucard pcard* **and** *ucard length*

nonterminal *utuple-args* **and** *umaplet* **and** *umaplets*

syntax

-ucoerce :: $('a, 'α) \text{uepr} \Rightarrow \text{type} \Rightarrow ('a, 'α) \text{uepr}$ (**infix** $:_u$ 50)
-unil :: $('a \text{ list}, 'α) \text{uepr} (\langle \rangle)$
-ulist :: $\text{args} \Rightarrow ('a \text{ list}, 'α) \text{uepr} (\langle \langle - \rangle \rangle)$
-uappend :: $('a \text{ list}, 'α) \text{uepr} \Rightarrow ('a \text{ list}, 'α) \text{uepr} \Rightarrow ('a \text{ list}, 'α) \text{uepr}$ (**infixr** $\hat{~}_u$ 80)
-ulast :: $('a \text{ list}, 'α) \text{uepr} \Rightarrow ('a, 'α) \text{uepr}$ (*last_u*'(-'))
-ufront :: $('a \text{ list}, 'α) \text{uepr} \Rightarrow ('a \text{ list}, 'α) \text{uepr}$ (*front_u*'(-'))
-uhead :: $('a \text{ list}, 'α) \text{uepr} \Rightarrow ('a, 'α) \text{uepr}$ (*head_u*'(-'))
-utail :: $('a \text{ list}, 'α) \text{uepr} \Rightarrow ('a \text{ list}, 'α) \text{uepr}$ (*tail_u*'(-'))
-ucard :: $('a \text{ list}, 'α) \text{uepr} \Rightarrow (\text{nat}, 'α) \text{uepr}$ (*#_u*'(-'))
-ufilter :: $('a \text{ list}, 'α) \text{uepr} \Rightarrow ('a \text{ set}, 'α) \text{uepr} \Rightarrow ('a \text{ list}, 'α) \text{uepr}$ (**infixl** \downarrow_u 75)
-uextract :: $('a \text{ set}, 'α) \text{uepr} \Rightarrow ('a \text{ list}, 'α) \text{uepr} \Rightarrow ('a \text{ list}, 'α) \text{uepr}$ (**infixl** \uparrow_u 75)
-uelems :: $('a \text{ list}, 'α) \text{uepr} \Rightarrow ('a \text{ set}, 'α) \text{uepr}$ (*elems_u*'(-'))
-usorted :: $('a \text{ list}, 'α) \text{uepr} \Rightarrow (\text{bool}, 'α) \text{uepr}$ (*sorted_u*'(-'))
-udistinct :: $('a \text{ list}, 'α) \text{uepr} \Rightarrow (\text{bool}, 'α) \text{uepr}$ (*distinct_u*'(-'))
-ules :: $('a, 'α) \text{uepr} \Rightarrow ('a, 'α) \text{uepr} \Rightarrow (\text{bool}, 'α) \text{uepr}$ (**infix** $<_u$ 50)
-uleq :: $('a, 'α) \text{uepr} \Rightarrow ('a, 'α) \text{uepr} \Rightarrow (\text{bool}, 'α) \text{uepr}$ (**infix** \leq_u 50)
-ugreat :: $('a, 'α) \text{uepr} \Rightarrow ('a, 'α) \text{uepr} \Rightarrow (\text{bool}, 'α) \text{uepr}$ (**infix** $>_u$ 50)
-ugeq :: $('a, 'α) \text{uepr} \Rightarrow ('a, 'α) \text{uepr} \Rightarrow (\text{bool}, 'α) \text{uepr}$ (**infix** \geq_u 50)
-uempset :: $('a \text{ set}, 'α) \text{uepr} (\{\}_u)$
-uset :: $\text{args} \Rightarrow ('a \text{ set}, 'α) \text{uepr} (\{\langle - \rangle\}_u)$
-uunion :: $('a \text{ set}, 'α) \text{uepr} \Rightarrow ('a \text{ set}, 'α) \text{uepr} \Rightarrow ('a \text{ set}, 'α) \text{uepr}$ (**infixl** \cup_u 65)
-uinter :: $('a \text{ set}, 'α) \text{uepr} \Rightarrow ('a \text{ set}, 'α) \text{uepr} \Rightarrow ('a \text{ set}, 'α) \text{uepr}$ (**infixl** \cap_u 70)
-umem :: $('a, 'α) \text{uepr} \Rightarrow ('a \text{ set}, 'α) \text{uepr} \Rightarrow (\text{bool}, 'α) \text{uepr}$ (**infix** \in_u 50)
-unmem :: $('a, 'α) \text{uepr} \Rightarrow ('a \text{ set}, 'α) \text{uepr} \Rightarrow (\text{bool}, 'α) \text{uepr}$ (**infix** \notin_u 50)

$-usubset \quad :: ('a \text{ set}, 'α) uexpr \Rightarrow ('a \text{ set}, 'α) uexpr \Rightarrow (bool, 'α) uexpr \text{ (infix } \subset_u 50)$
 $-usubseteq \quad :: ('a \text{ set}, 'α) uexpr \Rightarrow ('a \text{ set}, 'α) uexpr \Rightarrow (bool, 'α) uexpr \text{ (infix } \subseteq_u 50)$
 $-utuple \quad :: ('a, 'α) uexpr \Rightarrow utuple\text{-args} \Rightarrow ('a * 'b, 'α) uexpr \text{ ((1'(-, / -')_u))}$
 $-utuple\text{-arg} \quad :: ('a, 'α) uexpr \Rightarrow utuple\text{-args} \text{ (-)}$
 $-utuple\text{-args} \quad :: ('a, 'α) uexpr \Rightarrow utuple\text{-args} \Rightarrow utuple\text{-args} \quad \text{(-, / -)}$
 $-uunit \quad :: ('a, 'α) uexpr \text{ ('()_u)}$
 $-ufst \quad :: ('a \times 'b, 'α) uexpr \Rightarrow ('a, 'α) uexpr \text{ (}\pi_1\text{'(-))}$
 $-usnd \quad :: ('a \times 'b, 'α) uexpr \Rightarrow ('b, 'α) uexpr \text{ (}\pi_2\text{'(-))}$
 $-uapply \quad :: ('a \Rightarrow 'b, 'α) uexpr \Rightarrow utuple\text{-args} \Rightarrow ('b, 'α) uexpr \text{ (-[]_u [999,0] 999)}$
 $-ulambda \quad :: pttrn \Rightarrow logic \Rightarrow logic \text{ (}\lambda \text{ - - - [0, 10] 10)}$
 $-udom \quad :: logic \Rightarrow logic \text{ (dom}_u\text{'(-))}$
 $-uran \quad :: logic \Rightarrow logic \text{ (ran}_u\text{'(-))}$
 $-uinl \quad :: logic \Rightarrow logic \text{ (inl}_u\text{'(-))}$
 $-uinr \quad :: logic \Rightarrow logic \text{ (inr}_u\text{'(-))}$
 $-umap\text{-empty} \quad :: logic \text{ ([]}_u\text{)}$
 $-umap\text{-plus} \quad :: logic \Rightarrow logic \Rightarrow logic \text{ (infixl } \oplus_u 85)$
 $-umap\text{-minus} \quad :: logic \Rightarrow logic \Rightarrow logic \text{ (infixl } \ominus_u 85)$
 $-udom\text{-res} \quad :: logic \Rightarrow logic \Rightarrow logic \text{ (infixl } \triangleleft_u 85)$
 $-uran\text{-res} \quad :: logic \Rightarrow logic \Rightarrow logic \text{ (infixl } \triangleright_u 85)$
 $-umaplet \quad :: [logic, logic] \Rightarrow umaplet \text{ (- / \mapsto / -)}$
 $\quad \quad \quad :: umaplet \Rightarrow umaplets \text{ (-)}$
 $-UMaplets \quad :: [umaplet, umaplets] \Rightarrow umaplets \text{ (-, / -)}$
 $-UMapUpd \quad :: [logic, umaplets] \Rightarrow logic \text{ (-/'(-) [900,0] 900)}$
 $-UMap \quad :: umaplets \Rightarrow logic \text{ ((1[-]_u))}$

translations

$f(\downarrow v)_u \leq \text{CONST } uapply \text{ } f \text{ } v$
 $\text{dom}_u(f) \leq \text{CONST } udom \text{ } f$
 $\text{ran}_u(f) \leq \text{CONST } uran \text{ } f$
 $\#_u(f) \leq \text{CONST } ucard \text{ } f$

translations

$x :_u 'a == x :: ('a, -) uexpr$
 $\langle \rangle \quad == \ll [] \gg$
 $\langle x, xs \rangle \quad == \text{CONST } bop \text{ (op \#) } x \langle xs \rangle$
 $\langle x \rangle \quad == \text{CONST } bop \text{ (op \#) } x \ll [] \gg$
 $x \hat{ }_u y \quad == \text{CONST } bop \text{ (op @) } x \text{ } y$
 $\text{last}_u(xs) == \text{CONST } uop \text{ } \text{CONST } \text{last } xs$
 $\text{front}_u(xs) == \text{CONST } uop \text{ } \text{CONST } \text{butlast } xs$
 $\text{head}_u(xs) == \text{CONST } uop \text{ } \text{CONST } \text{hd } xs$
 $\text{tail}_u(xs) == \text{CONST } uop \text{ } \text{CONST } \text{tl } xs$
 $\#_u(xs) == \text{CONST } uop \text{ } \text{CONST } \text{ucard } xs$
 $\text{elems}_u(xs) == \text{CONST } uop \text{ } \text{CONST } \text{set } xs$
 $\text{sorted}_u(xs) == \text{CONST } uop \text{ } \text{CONST } \text{sorted } xs$
 $\text{distinct}_u(xs) == \text{CONST } uop \text{ } \text{CONST } \text{distinct } xs$
 $xs \downarrow_u A \quad == \text{CONST } bop \text{ } \text{CONST } \text{seq-filter } xs \text{ } A$
 $A \uparrow_u xs \quad == \text{CONST } bop \text{ (op } \uparrow_i) \text{ } A \text{ } xs$
 $x <_u y \quad == \text{CONST } bop \text{ (op } <) \text{ } x \text{ } y$
 $x \leq_u y \quad == \text{CONST } bop \text{ (op } \leq) \text{ } x \text{ } y$
 $x >_u y \quad == y <_u x$
 $x \geq_u y \quad == y \leq_u x$
 $\{\}_u \quad == \ll \{\} \gg$
 $\{x, xs\}_u == \text{CONST } bop \text{ (CONST insert) } x \{xs\}_u$
 $\{x\}_u \quad == \text{CONST } bop \text{ (CONST insert) } x \ll \{\} \gg$

$A \cup_u B == \text{CONST } \text{bop } (\text{op } \cup) A B$
 $A \cap_u B == \text{CONST } \text{bop } (\text{op } \cap) A B$
 $f \oplus_u g \Rightarrow (f :: ((-, -) \text{ pfun}, -) \text{ uepr}) + g$
 $f \ominus_u g \Rightarrow (f :: ((-, -) \text{ pfun}, -) \text{ uepr}) - g$
 $x \in_u A == \text{CONST } \text{bop } (\text{op } \in) x A$
 $x \notin_u A == \text{CONST } \text{bop } (\text{op } \notin) x A$
 $A \subset_u B == \text{CONST } \text{bop } (\text{op } <) A B$
 $A \subset_u B \leq \text{CONST } \text{bop } (\text{op } \subset) A B$
 $f \subset_u g \leq \text{CONST } \text{bop } (\text{op } \subset_p) f g$
 $A \subseteq_u B == \text{CONST } \text{bop } (\text{op } \leq) A B$
 $A \subseteq_u B \leq \text{CONST } \text{bop } (\text{op } \subseteq) A B$
 $f \subseteq_u g \leq \text{CONST } \text{bop } (\text{op } \subseteq_p) f g$
 $()_u == \langle\langle\rangle\rangle$
 $(x, y)_u == \text{CONST } \text{bop } (\text{CONST } \text{Pair}) x y$
 $\text{-utuple } x (\text{-utuple-args } y z) == \text{-utuple } x (\text{-utuple-arg } (\text{-utuple } y z))$
 $\pi_1(x) == \text{CONST } \text{uop } \text{CONST } \text{fst } x$
 $\pi_2(x) == \text{CONST } \text{uop } \text{CONST } \text{snd } x$
 $f(\lfloor x \rfloor)_u == \text{CONST } \text{bop } \text{CONST } \text{uapply } f x$
 $\lambda x \cdot p == \text{CONST } \text{ulambda } (\lambda x. p)$
 $\text{dom}_u(f) == \text{CONST } \text{uop } \text{CONST } \text{udom } f$
 $\text{ran}_u(f) == \text{CONST } \text{uop } \text{CONST } \text{uran } f$
 $\text{inl}_u(x) == \text{CONST } \text{uop } \text{CONST } \text{Inl } x$
 $\text{inr}_u(x) == \text{CONST } \text{uop } \text{CONST } \text{Inr } x$
 $\square_u == \langle\langle \text{CONST } \text{pempty} \rangle\rangle$
 $A \triangleleft_u f == \text{CONST } \text{bop } (\text{op } \triangleleft_p) A f$
 $f \triangleright_u A == \text{CONST } \text{bop } (\text{op } \triangleright_p) A f$
 $\text{-UMapUpd } m (\text{-UMaplets } xy \ ms) == \text{-UMapUpd } (\text{-UMapUpd } m \ xy) \ ms$
 $\text{-UMapUpd } m (\text{-umaplet } x \ y) == \text{CONST } \text{trop } \text{CONST } \text{pfun-upd } m \ x \ y$
 $\text{-UMap } ms == \text{-UMapUpd } \square_u \ ms$
 $\text{-UMap } (\text{-UMaplets } ms1 \ ms2) \leq \text{-UMapUpd } (\text{-UMap } ms1) \ ms2$
 $\text{-UMaplets } ms1 (\text{-UMaplets } ms2 \ ms3) \leq \text{-UMaplets } (\text{-UMaplets } ms1 \ ms2) \ ms3$
 $f(\lfloor x, y \rfloor)_u == \text{CONST } \text{bop } \text{CONST } \text{uapply } f (x, y)_u$

Lifting set intervals

syntax

$\text{-uset-atLeastAtMost} :: ('a, 'α) \text{ uepr} \Rightarrow ('a, 'α) \text{ uepr} \Rightarrow ('a \text{ set}, 'α) \text{ uepr } ((1\{...\}_u))$
 $\text{-uset-atLeastLessThan} :: ('a, 'α) \text{ uepr} \Rightarrow ('a, 'α) \text{ uepr} \Rightarrow ('a \text{ set}, 'α) \text{ uepr } ((1\{...\<\}_u))$
 $\text{-uset-compr} :: id \Rightarrow ('a \text{ set}, 'α) \text{ uepr} \Rightarrow (\text{bool}, 'α) \text{ uepr} \Rightarrow ('b, 'α) \text{ uepr} \Rightarrow ('b \text{ set}, 'α) \text{ uepr } ((1\{-\text{/ } - \text{/ } - \text{/ } -\}_u))$

lift-definition $\text{ZedSetCompr} ::$

$(('a \text{ set}, 'α) \text{ uepr} \Rightarrow ('a \Rightarrow (\text{bool}, 'α) \text{ uepr} \times ('b, 'α) \text{ uepr}) \Rightarrow ('b \text{ set}, 'α) \text{ uepr})$
is $\lambda A \text{ PF } b. \{ \text{snd } (\text{PF } x) \ b \mid x. x \in A \ b \wedge \text{fst } (\text{PF } x) \ b \} .$

translations

$\{x..y\}_u == \text{CONST } \text{bop } \text{CONST } \text{atLeastAtMost } x \ y$
 $\{x..<y\}_u == \text{CONST } \text{bop } \text{CONST } \text{atLeastLessThan } x \ y$
 $\{x : A \mid P \cdot F\}_u == \text{CONST } \text{ZedSetCompr } A (\lambda x. (P, F))$

Lifting limits

definition $\text{ulim-left} = (\lambda p \ f. \text{Lim } (\text{at-left } p) \ f)$

definition $\text{ulim-right} = (\lambda p \ f. \text{Lim } (\text{at-right } p) \ f)$

definition $\text{ucont-on} = (\lambda f \ A. \text{continuous-on } A \ f)$

syntax

$\text{-ulim-left} :: \text{id} \Rightarrow \text{logic} \Rightarrow \text{logic} \Rightarrow \text{logic} \ (\lim_u'(- \rightarrow -^-)'(-'))$
 $\text{-ulim-right} :: \text{id} \Rightarrow \text{logic} \Rightarrow \text{logic} \Rightarrow \text{logic} \ (\lim_u'(- \rightarrow -^+)'(-'))$
 $\text{-ucont-on} :: \text{logic} \Rightarrow \text{logic} \Rightarrow \text{logic} \ (\mathbf{infix} \ \text{cont-on}_u \ 90)$

translations

$\lim_u(x \rightarrow p^-)(e) == \text{CONST bop CONST ulim-left } p \ (\lambda x \cdot e)$
 $\lim_u(x \rightarrow p^+)(e) == \text{CONST bop CONST ulim-right } p \ (\lambda x \cdot e)$
 $f \ \text{cont-on}_u \ A == \text{CONST bop CONST continuous-on } A \ f$

lemmas uexpr-defs =

alpha-of-def
 zero-uexpr-def
 one-uexpr-def
 plus-uexpr-def
 uminus-uexpr-def
 minus-uexpr-def
 times-uexpr-def
 inverse-uexpr-def
 divide-uexpr-def
 div-uexpr-def
 mod-uexpr-def
 eq-upred-def
 $\text{numeral-uexpr-simp}$
 ulim-left-def
 ulim-right-def
 ucont-on-def

2.1 Evaluation laws for expressions

lemma $\text{lit-ueval} \ [\text{ueval}]: \llbracket \langle x \rangle \rrbracket_e b = x$
by (transfer , simp)

lemma $\text{var-ueval} \ [\text{ueval}]: \llbracket \text{var } x \rrbracket_e b = \text{var-lookup } x \ b$
by (transfer , simp)

lemma $\text{uop-ueval} \ [\text{ueval}]: \llbracket \text{uop } f \ x \rrbracket_e b = f \ (\llbracket x \rrbracket_e b)$
by (transfer , simp)

lemma $\text{bop-ueval} \ [\text{ueval}]: \llbracket \text{bop } f \ x \ y \rrbracket_e b = f \ (\llbracket x \rrbracket_e b) \ (\llbracket y \rrbracket_e b)$
by (transfer , simp)

lemma $\text{trop-ueval} \ [\text{ueval}]: \llbracket \text{trop } f \ x \ y \ z \rrbracket_e b = f \ (\llbracket x \rrbracket_e b) \ (\llbracket y \rrbracket_e b) \ (\llbracket z \rrbracket_e b)$
by (transfer , simp)

declare $\text{uexpr-defs} \ [\text{ueval}]$

end

3 Unrestriction

theory utp-unrest
imports utp-expr
begin

Unrestriction is an encoding of semantic freshness, that allows us to reason about the presence

of variables in predicates without being concerned with abstract syntax trees. An expression p is unrestricted by variable x , written $x \# p$, if altering the value of x has no effect on the valuation of p . This is a sufficient notion to prove many laws that would ordinarily rely on an fv function.

consts

$unrest :: 'a \Rightarrow 'b \Rightarrow bool$

syntax

$-unrest :: salpha \Rightarrow logic \Rightarrow logic \Rightarrow logic \text{ (infix } \# 20)$

translations

$-unrest\ x\ p == CONST\ unrest\ x\ p$

named-theorems $unrest$

lift-definition $unrest\text{-upred} :: ('a, 'α) \text{ uvar} \Rightarrow ('b, 'α) \text{ uexpr} \Rightarrow bool$

is $\lambda\ x\ e.\ \forall\ b\ v.\ e\ (var\text{-assign}\ x\ v\ b) = e\ b\ .$

definition $unrest\text{-dvar}\text{-upred} :: 'a::continuum\ dvar \Rightarrow ('b, 'α::vst) \text{ uexpr} \Rightarrow bool$ **where**

$unrest\text{-dvar}\text{-upred}\ x\ P = unrest\text{-upred}\ (x\uparrow)\ P$

ad hoc-overloading

$unrest\ unrest\text{-upred}$

lemma $unrest\text{-var}\text{-comp}\ [unrest]:$

$\llbracket x \# P; y \# P \rrbracket \Longrightarrow x, y \# P$

by $(transfer, simp\ add: lens\text{-defs})$

lemma $unrest\text{-lit}\ [unrest]: x \# \llbracket v \rrbracket$

by $(transfer, simp)$

The following law demonstrates why we need variable independence: a variable expression is unrestricted by another variable only when the two variables are independent.

lemma $unrest\text{-var}\ [unrest]: \llbracket uvar\ x; x \bowtie y \rrbracket \Longrightarrow y \# var\ x$

by $(transfer, auto)$

lemma $unrest\text{-iuvar}\ [unrest]: \llbracket uvar\ x; x \bowtie y \rrbracket \Longrightarrow \$y \# \$x$

by $(metis\ in\text{-var}\text{-indep}\ in\text{-var}\text{-uvar}\ unrest\text{-var})$

lemma $unrest\text{-ouvar}\ [unrest]: \llbracket uvar\ x; x \bowtie y \rrbracket \Longrightarrow \$y' \# \$x'$

by $(metis\ out\text{-var}\text{-indep}\ out\text{-var}\text{-uvar}\ unrest\text{-var})$

lemma $unrest\text{-iuvar}\text{-ouvar}\ [unrest]:$

fixes $x :: ('a, 'α) \text{ uvar}$

assumes $uvar\ y$

shows $\$x \# \y'

by $(metis\ prod.\ collapse\ unrest\text{-upred}.\ rep\text{-eq}\ var.\ rep\text{-eq}\ var.\ lookup\text{-out}\ var.\ update\text{-in})$

lemma $unrest\text{-ouvar}\text{-iuvar}\ [unrest]:$

fixes $x :: ('a, 'α) \text{ uvar}$

assumes $uvar\ y$

shows $\$x' \# \y

by $(metis\ prod.\ collapse\ unrest\text{-upred}.\ rep\text{-eq}\ var.\ rep\text{-eq}\ var.\ lookup\text{-in}\ var.\ update\text{-out})$

lemma $unrest\text{-uop}\ [unrest]: x \# e \Longrightarrow x \# uop\ f\ e$

by $(transfer, simp)$

```

lemma unrest-bop [unrest]:  $\llbracket x \# u; x \# v \rrbracket \implies x \# \text{bop } f \ u \ v$ 
  by (transfer, simp)

lemma unrest-trop [unrest]:  $\llbracket x \# u; x \# v; x \# w \rrbracket \implies x \# \text{trop } f \ u \ v \ w$ 
  by (transfer, simp)

lemma unrest-eq [unrest]:  $\llbracket x \# u; x \# v \rrbracket \implies x \# u =_u v$ 
  by (simp add: eq-upred-def, transfer, simp)

lemma unrest-zero [unrest]:  $x \# 0$ 
  by (simp add: unrest-lit zero-uepr-def)

lemma unrest-one [unrest]:  $x \# 1$ 
  by (simp add: one-uepr-def unrest-lit)

lemma unrest-numeral [unrest]:  $x \# (\text{numeral } n)$ 
  by (simp add: numeral-uepr-simp unrest-lit)

lemma unrest-plus [unrest]:  $\llbracket x \# u; x \# v \rrbracket \implies x \# u + v$ 
  by (simp add: plus-uepr-def unrest)

lemma unrest-uminus [unrest]:  $x \# u \implies x \# - u$ 
  by (simp add: uminus-uepr-def unrest)

lemma unrest-minus [unrest]:  $\llbracket x \# u; x \# v \rrbracket \implies x \# u - v$ 
  by (simp add: minus-uepr-def unrest)

lemma unrest-times [unrest]:  $\llbracket x \# u; x \# v \rrbracket \implies x \# u * v$ 
  by (simp add: times-uepr-def unrest)

lemma unrest-divide [unrest]:  $\llbracket x \# u; x \# v \rrbracket \implies x \# u / v$ 
  by (simp add: divide-uepr-def unrest)

end

```

4 Substitution

```

theory utp-subst
imports
  utp-expr
  utp-unrest
begin

```

4.1 Substitution definitions

We introduce a polymorphic constant that will be used to represent application of a substitution, and also a set of theorems to represent laws.

```

consts
  usubst :: 's  $\Rightarrow$  'a  $\Rightarrow$  'a (infixr  $\dagger$  80)

```

```

named-theorems usubst

```

A substitution is simply a transformation on the alphabet; it shows how variables should be mapped to different values.

type-synonym $'\alpha$ *usubst* = $'\alpha$ *alphabet* \Rightarrow $'\alpha$ *alphabet*

lift-definition *subst* :: $'\alpha$ *usubst* \Rightarrow $('a, '\alpha)$ *uexpr* \Rightarrow $('a, '\alpha)$ *uexpr* **is**
 $\lambda \sigma e b. e (\sigma b)$.

ad hoc-overloading

usubst subst

Update the value of a variable to an expression in a substitution

consts *subst-upd* :: $'\alpha$ *usubst* \Rightarrow $'v \Rightarrow ('a, '\alpha)$ *uexpr* \Rightarrow $'\alpha$ *usubst*

definition *subst-upd-uvar* :: $'\alpha$ *usubst* \Rightarrow $('a, '\alpha)$ *uvar* \Rightarrow $('a, '\alpha)$ *uexpr* \Rightarrow $'\alpha$ *usubst* **where**
subst-upd-uvar $\sigma x v = (\lambda b. \text{var-assign } x (\llbracket v \rrbracket_e b) (\sigma b))$

definition *subst-upd-dvar* :: $'\alpha$ *usubst* \Rightarrow $'a::\text{continuum}$ *dvar* \Rightarrow $('a, '\alpha::\text{vst})$ *uexpr* \Rightarrow $'\alpha$ *usubst* **where**
subst-upd-dvar $\sigma x v = \text{subst-upd-uvar } \sigma (x \uparrow) v$

ad hoc-overloading

subst-upd subst-upd-uvar **and** *subst-upd subst-upd-dvar*

Lookup the expression associated with a variable in a substitution

lift-definition *usubst-lookup* :: $'\alpha$ *usubst* \Rightarrow $('a, '\alpha)$ *uvar* \Rightarrow $('a, '\alpha)$ *uexpr* $(\langle - \rangle_s)$
is $\lambda \sigma x b. \text{var-lookup } x (\sigma b)$.

Relational lifting of a substitution to the first element of the state space

definition *usubst-rel-lift* :: $'\alpha$ *usubst* \Rightarrow $(' \alpha \times ' \beta)$ *usubst* $(\llbracket - \rrbracket_s)$ **where**
 $\llbracket \sigma \rrbracket_s = (\lambda (A, A'). (\sigma A, A'))$

definition *usubst-rel-drop* :: $(' \alpha \times ' \alpha)$ *usubst* \Rightarrow $' \alpha$ *usubst* $(\llbracket - \rrbracket_s)$ **where**
 $\llbracket \sigma \rrbracket_s = (\lambda A. \text{fst } (\sigma (A, \text{undefined})))$

definition *unrest-usubst* :: $('a, '\alpha)$ *uvar* \Rightarrow $'\alpha$ *usubst* \Rightarrow *bool*
where *unrest-usubst* $x \sigma = (\forall \varrho v. \sigma (\text{put}_x \varrho v) = \text{put}_x (\sigma \varrho) v)$

ad hoc-overloading

unrest unrest-usubst

nonterminal *smaplet* **and** *smaplets*

syntax

-smaplet :: $[salpha, 'a] \Rightarrow$ *smaplet* $(- / \mapsto_s / -)$
 $::$ *smaplet* \Rightarrow *smaplets* $(-)$
-SMaplets :: $[smaplet, smaplets] \Rightarrow$ *smaplets* $(-, / -)$
-SubstUpd :: $['m \text{ usubst}, smaplets] \Rightarrow$ $'m \text{ usubst } (- / '(-) [900, 0] 900)$
-Subst :: *smaplets* \Rightarrow $'a \leadsto \Rightarrow 'b$ $((1[-]))$

translations

-SubstUpd m (-SMaplets xy ms) == *-SubstUpd (-SubstUpd m xy) ms*
-SubstUpd m (-smaplet x y) == *CONST subst-upd m x y*
-Subst ms == *-SubstUpd (CONST id) ms*
-Subst (-SMaplets ms1 ms2) <= *-SubstUpd (-Subst ms1) ms2*
-SMaplets ms1 (-SMaplets ms2 ms3) <= *-SMaplets (-SMaplets ms1 ms2) ms3*

4.2 Substitution laws

We set up a simple substitution tactic that applies substitution and unrestriction laws

method *subst-tac* = (*simp add: usubst unrest*)?

lemma *usubst-lookup-id* [*usubst*]: $\langle id \rangle_s x = var\ x$
by (*transfer, simp*)

lemma *usubst-lookup-upd* [*usubst*]:
assumes *semi-uvar* *x*
shows $\langle \sigma(x \mapsto_s v) \rangle_s x = v$
using *assms*
by (*simp add: subst-upd-uvar-def, transfer*) (*simp*)

lemma *usubst-upd-idem* [*usubst*]:
assumes *semi-uvar* *x*
shows $\sigma(x \mapsto_s u, x \mapsto_s v) = \sigma(x \mapsto_s v)$
by (*simp add: subst-upd-uvar-def assms comp-def*)

lemma *usubst-upd-comm*:
assumes $x \bowtie y$
shows $\sigma(x \mapsto_s u, y \mapsto_s v) = \sigma(y \mapsto_s v, x \mapsto_s u)$
using *assms*
by (*rule-tac ext, auto simp add: subst-upd-uvar-def assms comp-def lens-indep-comm*)

lemma *usubst-upd-comm2*:
assumes $z \bowtie y$ **and** *semi-uvar* *x*
shows $\sigma(x \mapsto_s u, y \mapsto_s v, z \mapsto_s s) = \sigma(x \mapsto_s u, z \mapsto_s s, y \mapsto_s v)$
using *assms*
by (*rule-tac ext, auto simp add: subst-upd-uvar-def assms comp-def lens-indep-comm*)

lemma *swap-usubst-inj*:
fixes $x\ y :: ('a, 'α)\ uvar$
assumes $uvar\ x\ uvar\ y\ x \bowtie y$
shows *inj* $[x \mapsto_s \&y, y \mapsto_s \&x]$
using *assms*
apply (*auto simp add: inj-on-def subst-upd-uvar-def*)
apply (*smt lens-indep-get lens-indep-sym var.rep-eq vwb-lens.put-eq vwb-lens-wb wb-lens-weak weak-lens.put-get*)
done

lemma *usubst-upd-var-id* [*usubst*]:
 $uvar\ x \implies [x \mapsto_s var\ x] = id$
apply (*simp add: subst-upd-uvar-def*)
apply (*transfer*)
apply (*rule ext*)
apply (*auto*)
done

lemma *usubst-upd-comm-dash* [*usubst*]:
fixes $x :: ('a, 'α)\ uvar$
shows $\sigma(\$x' \mapsto_s v, \$x \mapsto_s u) = \sigma(\$x \mapsto_s u, \$x' \mapsto_s v)$
using *in-out-indep usubst-upd-comm* **by** *force*

lemma *usubst-lookup-upd-indep* [*usubst*]:
assumes $x \bowtie y$

shows $\langle \sigma(y \mapsto_s v) \rangle_s x = \langle \sigma \rangle_s x$
using *assms*
by (*simp add: subst-upd-uvar-def, transfer, simp*)

lemma *subst-unrest* [*usubst*]: $x \# P \implies \sigma(x \mapsto_s v) \dagger P = \sigma \dagger P$
by (*simp add: subst-upd-uvar-def, transfer, auto*)

lemma *id-subst* [*usubst*]: $\text{id} \dagger v = v$
by (*transfer, simp*)

lemma *subst-lit* [*usubst*]: $\sigma \dagger \ll v \gg = \ll v \gg$
by (*transfer, simp*)

lemma *subst-var* [*usubst*]: $\sigma \dagger \text{var } x = \langle \sigma \rangle_s x$
by (*transfer, simp*)

We add the symmetric definition of input and output variables to substitution laws so that the variables are correctly normalised after substitution.

lemma *subst-uop* [*usubst*]: $\sigma \dagger \text{uop } f v = \text{uop } f (\sigma \dagger v)$
by (*transfer, simp*)

lemma *subst-bop* [*usubst*]: $\sigma \dagger \text{bop } f u v = \text{bop } f (\sigma \dagger u) (\sigma \dagger v)$
by (*transfer, simp*)

lemma *subst-trop* [*usubst*]: $\sigma \dagger \text{trop } f u v w = \text{trop } f (\sigma \dagger u) (\sigma \dagger v) (\sigma \dagger w)$
by (*transfer, simp*)

lemma *subst-plus* [*usubst*]: $\sigma \dagger (x + y) = \sigma \dagger x + \sigma \dagger y$
by (*simp add: plus-ueexpr-def subst-bop*)

lemma *subst-times* [*usubst*]: $\sigma \dagger (x * y) = \sigma \dagger x * \sigma \dagger y$
by (*simp add: times-ueexpr-def subst-bop*)

lemma *subst-minus* [*usubst*]: $\sigma \dagger (x - y) = \sigma \dagger x - \sigma \dagger y$
by (*simp add: minus-ueexpr-def subst-bop*)

lemma *subst-uminus* [*usubst*]: $\sigma \dagger (- x) = - (\sigma \dagger x)$
by (*simp add: uminus-ueexpr-def subst-uop*)

lemma *subst-zero* [*usubst*]: $\sigma \dagger 0 = 0$
by (*simp add: zero-ueexpr-def subst-lit*)

lemma *subst-one* [*usubst*]: $\sigma \dagger 1 = 1$
by (*simp add: one-ueexpr-def subst-lit*)

lemma *subst-eq-upred* [*usubst*]: $\sigma \dagger (x =_u y) = (\sigma \dagger x =_u \sigma \dagger y)$
by (*simp add: eq-upred-def usubst*)

lemma *subst-subst* [*usubst*]: $\sigma \dagger \varrho \dagger e = (\varrho \circ \sigma) \dagger e$
by (*transfer, simp*)

lemma *subst-upd-comp* [*usubst*]:
fixes $x :: ('a, 'a) \text{uvar}$
shows $\varrho(x \mapsto_s v) \circ \sigma = (\varrho \circ \sigma)(x \mapsto_s \sigma \dagger v)$
by (*rule ext, simp add: ueexpr-defs subst-upd-uvar-def, transfer, simp*)

```

lemma subst-lift-id [usubst]:  $\lceil id \rceil_s = id$ 
  by (simp add: usubst-rel-lift-def)

lemma subst-drop-id [usubst]:  $\lfloor id \rfloor_s = id$ 
  by (auto simp add: usubst-rel-drop-def)

lemma subst-lift-drop [usubst]:  $\lfloor \lceil \sigma \rceil_s \rfloor_s = \sigma$ 
  by (simp add: usubst-rel-lift-def usubst-rel-drop-def)

```

4.3 Unrestriction laws

```

lemma unrest-usubst-id [unrest]:
  semi-uvar  $x \implies x \# id$ 
  by (simp add: unrest-usubst-def)

lemma unrest-usubst-upd [unrest]:
   $\llbracket x \bowtie y; x \# \sigma; x \# v \rrbracket \implies x \# \sigma(y \mapsto_s v)$ 
  by (simp add: subst-upd-uvar-def unrest-usubst-def unrest-upred.rep-eq lens-indep-comm)

```

nonterminal *uexprs* and *svars* and *salphas*

syntax

```

-psubst :: [logic, svars, uexprs]  $\Rightarrow$  logic
-subst  :: logic  $\Rightarrow$  uexprs  $\Rightarrow$  salphas  $\Rightarrow$  logic (( $\llbracket - \rfloor$ ) [999,999] 1000)
-uexprs :: [logic, uexprs]  $\Rightarrow$  uexprs (-, / -)
          :: logic  $\Rightarrow$  uexprs (-)
-svars  :: [svar, svars]  $\Rightarrow$  svars (-, / -)
          :: svar  $\Rightarrow$  svars (-)
-salphas :: [salpha, salpha]  $\Rightarrow$  salphas (-, / -)
          :: salpha  $\Rightarrow$  salphas (-)

```

translations

```

-subst  $P$  es vs  $\Rightarrow$  CONST subst (-psubst (CONST id) vs es)  $P$ 
-psubst  $m$  (-salphas  $x$   $xs$ ) (-uexprs  $v$   $vs$ )  $\Rightarrow$  -psubst (-psubst  $m$   $x$   $v$ )  $xs$   $vs$ 
-psubst  $m$   $x$   $v$   $\Rightarrow$  CONST subst-upd  $m$   $x$   $v$ 
 $P \llbracket v/\$x \rrbracket <=$  CONST usubst (CONST subst-upd (CONST id) (CONST ivar  $x$ )  $v$ )  $P$ 
 $P \llbracket v/\$x \rfloor <=$  CONST usubst (CONST subst-upd (CONST id) (CONST ovar  $x$ )  $v$ )  $P$ 

```

end

5 Lifting expressions

```

theory utp-lift
imports
  utp-alphabet
begin

```

5.1 Lifting definitions

We define operators for converting an expression to and from a relational state space

```

abbreviation lift-pre :: ('a, 'α) uexpr  $\Rightarrow$  ('a, 'α  $\times$  'β) uexpr ( $\lceil - \rceil_{<}$ )
where  $\lceil P \rceil_{<} \equiv P \oplus_p fst_L$ 

```

```

abbreviation drop-pre :: ('α  $\times$  'α) upred  $\Rightarrow$  'α upred ( $\lfloor - \rfloor_{<}$ )

```

where $\llbracket P \rrbracket_{<} \equiv P \vdash_p fst_L$

abbreviation $lift_post :: ('a, 'b) \text{ uexpr} \Rightarrow ('a, 'a \times 'b) \text{ uexpr} (\llbracket - \rrbracket_{>})$

where $\llbracket P \rrbracket_{>} \equiv P \oplus_p snd_L$

abbreviation $drop_post :: ('a \times 'a) \text{ upred} \Rightarrow 'a \text{ upred} (\llbracket - \rrbracket_{>})$

where $\llbracket P \rrbracket_{>} \equiv P \vdash_p snd_L$

5.2 Lifting laws

lemma $lift_pre_var [simp]$:

$\llbracket var\ x \rrbracket_{<} = \x

by ($alpha_tac$)

lemma $lift_post_var [simp]$:

$\llbracket var\ x \rrbracket_{>} = \x'

by ($alpha_tac$)

5.3 Substitution laws

lemma $subst_lift_upd [usubst]$:

fixes $x :: ('a, 'a) \text{ uvar}$

shows $\llbracket \sigma(x \mapsto_s v) \rrbracket_s = \llbracket \sigma \rrbracket_s (\$x \mapsto_s \llbracket v \rrbracket_{<})$

by ($simp\ add: usubst_rel_lift_def\ subst_upd_uvar_def, transfer, auto\ simp\ add: fst_lens_def$)

end

6 Alphabetised Predicates

theory utp_pred

imports

utp_expr

utp_subst

begin

An alphabetised predicate is simply a boolean valued expression

type-synonym $'a \text{ upred} = (bool, 'a) \text{ uexpr}$

translations

$(type)\ 'a \text{ upred} <= (type)\ (bool, 'a) \text{ uexpr}$

named-theorems $upred_defs$

6.1 Predicate syntax

We want to remain as close as possible to the mathematical UTP syntax, but also want to be conservative with HOL. For this reason we chose not to steal syntax from HOL, but where possible use polymorphism to allow selection of the appropriate operator (UTP vs. HOL). Thus we will first remove the standard syntax for conjunction, disjunction, and negation, and replace these with adhoc overloaded definitions.

no-notation

$conj$ (**infixr** \wedge 35) **and**

disj (**infixr** \vee 30) **and**
Not (\neg - [40] 40)

consts

uttrue :: 'a (*true*)
ufalse :: 'a (*false*)
uconj :: 'a \Rightarrow 'a \Rightarrow 'a (**infixr** \wedge 35)
udisj :: 'a \Rightarrow 'a \Rightarrow 'a (**infixr** \vee 30)
wimpl :: 'a \Rightarrow 'a \Rightarrow 'a (**infixr** \Rightarrow 25)
wiff :: 'a \Rightarrow 'a \Rightarrow 'a (**infixr** \Leftrightarrow 25)
unot :: 'a \Rightarrow 'a (\neg - [40] 40)
uex :: ('a, 'α) *uvar* \Rightarrow 'p \Rightarrow 'p
uall :: ('a, 'α) *uvar* \Rightarrow 'p \Rightarrow 'p
ushEx :: ['a \Rightarrow 'p] \Rightarrow 'p
ushAll :: ['a \Rightarrow 'p] \Rightarrow 'p

adhoc-overloading

uconj conj **and**
udisj disj **and**
unot Not

We set up two versions of each of the quantifiers: *uex* / *uall* and *ushEx* / *ushAll*. The former pair allows quantification of UTP variables, whilst the latter allows quantification of HOL variables. Both varieties will be needed at various points. Syntactically they are distinguished by a boldface quantifier for the HOL versions (achieved by the "bold" escape in Isabelle).

syntax

-*uex* :: *salpha* \Rightarrow *logic* \Rightarrow *logic* (\exists - - - [0, 10] 10)
-*uall* :: *salpha* \Rightarrow *logic* \Rightarrow *logic* (\forall - - - [0, 10] 10)
-*ushEx* :: *idt* \Rightarrow *logic* \Rightarrow *logic* (\exists - - - [0, 10] 10)
-*ushAll* :: *idt* \Rightarrow *logic* \Rightarrow *logic* (\forall - - - [0, 10] 10)
-*ushBEx* :: *idt* \Rightarrow *logic* \Rightarrow *logic* \Rightarrow *logic* (\exists - \in - - - [0, 0, 10] 10)
-*ushBAll* :: *idt* \Rightarrow *logic* \Rightarrow *logic* \Rightarrow *logic* (\forall - \in - - - [0, 0, 10] 10)

translations

-*uex* *x P* == *CONST uex* *x P*
-*uall* *x P* == *CONST uall* *x P*
 \exists *x* . *P* == *CONST ushEx* (λ *x*. *P*)
 \exists *x* \in *A* . *P* \Rightarrow \exists *x* . $\langle\langle x \rangle\rangle \in_u A \wedge P$
 \forall *x* . *P* == *CONST ushAll* (λ *x*. *P*)
 \forall *x* \in *A* . *P* \Rightarrow \forall *x* . $\langle\langle x \rangle\rangle \in_u A \Rightarrow P$

6.2 Predicate operators

We chose to maximally reuse definitions and laws built into HOL. For this reason, when introducing the core operators we proceed by lifting operators from the polymorphic algebraic hierarchy of HOL. Thus the initial definitions take place in the context of type class instantiations. We first introduce our own class called *refine* that will add the refinement operator syntax to the HOL partial order class.

class *refine* = *order*

abbreviation *refineBy* :: 'a::*refine* \Rightarrow 'a \Rightarrow *bool* (**infix** \sqsubseteq 50) **where**
P \sqsubseteq *Q* \equiv *less-eq Q P*

Since, on the whole, lattices in UTP are the opposite way up to the standard definitions in

HOL, we syntactically invert the lattice operators. This is the one exception where we do steal HOL syntax, but I think it makes sense for UTP.

```
notation inf (infixl  $\sqcap$  70)
notation sup (infixl  $\sqcup$  65)
```

```
notation Inf ( $\sqcap$  - [900] 900)
notation Sup ( $\sqcup$  - [900] 900)
```

```
notation bot ( $\top$ )
notation top ( $\perp$ )
```

We now introduce a partial order on expressions. Note this is more general than refinement since it lifts an order on any expression type (not just Boolean). However, the Boolean version does equate to refinement.

```
instantiation uexpr :: (order, type) order
begin
  lift-definition less-eq-uexpr :: ('a, 'b) uexpr  $\Rightarrow$  ('a, 'b) uexpr  $\Rightarrow$  bool
  is  $\lambda P Q. (\forall A. P A \leq Q A) .$ 
  definition less-uexpr :: ('a, 'b) uexpr  $\Rightarrow$  ('a, 'b) uexpr  $\Rightarrow$  bool
  where less-uexpr P Q =  $(P \leq Q \wedge \neg Q \leq P)$ 
instance proof
  fix x y z :: ('a, 'b) uexpr
  show  $(x < y) = (x \leq y \wedge \neg y \leq x)$  by (simp add: less-uexpr-def)
  show  $x \leq x$  by (transfer, auto)
  show  $x \leq y \implies y \leq z \implies x \leq z$ 
    by (transfer, blast intro:order.trans)
  show  $x \leq y \implies y \leq x \implies x = y$ 
    by (transfer, rule ext, simp add: eq-iff)
qed
end
```

We also trivially instantiate our refinement class

```
instance uexpr :: (order, type) refine ..
```

Next we introduce the lattice operators, which is again done by lifting.

```
instantiation uexpr :: (lattice, type) lattice
begin
  lift-definition sup-uexpr :: ('a, 'b) uexpr  $\Rightarrow$  ('a, 'b) uexpr  $\Rightarrow$  ('a, 'b) uexpr
  is  $\lambda P Q A. \text{sup } (P A) (Q A) .$ 
  lift-definition inf-uexpr :: ('a, 'b) uexpr  $\Rightarrow$  ('a, 'b) uexpr  $\Rightarrow$  ('a, 'b) uexpr
  is  $\lambda P Q A. \text{inf } (P A) (Q A) .$ 
instance
  by (intro-classes) (transfer, auto)+
end
```

```
instantiation uexpr :: (bounded-lattice, type) bounded-lattice
begin
  lift-definition bot-uexpr :: ('a, 'b) uexpr is  $\lambda A. \text{bot} .$ 
  lift-definition top-uexpr :: ('a, 'b) uexpr is  $\lambda A. \text{top} .$ 
instance
  by (intro-classes) (transfer, auto)+
end
```

Finally we show that predicates form a Boolean algebra (under the lattice operators).

```

instance uexpr :: (boolean-algebra, type) boolean-algebra
  by (intro-classes, simp-all add: uexpr-defs)
    (transfer, simp add: sup-inf-distrib1 inf-compl-bot sup-compl-top diff-eq)+

instantiation uexpr :: (complete-lattice, type) complete-lattice
begin
  lift-definition Inf-uexpr :: ('a, 'b) uexpr set  $\Rightarrow$  ('a, 'b) uexpr
  is  $\lambda PS A. INF P:PS. P(A)$  .
  lift-definition Sup-uexpr :: ('a, 'b) uexpr set  $\Rightarrow$  ('a, 'b) uexpr
  is  $\lambda PS A. SUP P:PS. P(A)$  .
instance
  by (intro-classes)
    (transfer, auto intro: INF-lower SUP-upper simp add: INF-greatest SUP-least)+
end

```

With the lattice operators defined, we can proceed to give definitions for the standard predicate operators in terms of them.

```

definition true-upred = (top :: 'α upred)
definition false-upred = (bot :: 'α upred)
definition conj-upred = (inf :: 'α upred  $\Rightarrow$  'α upred  $\Rightarrow$  'α upred)
definition disj-upred = (sup :: 'α upred  $\Rightarrow$  'α upred  $\Rightarrow$  'α upred)
definition not-upred = (uminus :: 'α upred  $\Rightarrow$  'α upred)
definition diff-upred = (minus :: 'α upred  $\Rightarrow$  'α upred  $\Rightarrow$  'α upred)

```

We also define the other predicate operators

```

lift-definition impl::'α upred  $\Rightarrow$  'α upred  $\Rightarrow$  'α upred is
 $\lambda P Q A. P A \longrightarrow Q A$  .

lift-definition iff-upred ::'α upred  $\Rightarrow$  'α upred  $\Rightarrow$  'α upred is
 $\lambda P Q A. P A \longleftrightarrow Q A$  .

lift-definition ex :: ('a, 'α) uvar  $\Rightarrow$  'α upred  $\Rightarrow$  'α upred is
 $\lambda x P b. (\exists v. P(\text{var-assign } x v b))$  .

lift-definition shEx ::['β  $\Rightarrow$  'α upred]  $\Rightarrow$  'α upred is
 $\lambda P A. \exists x. (P x) A$  .

lift-definition all :: ('a, 'α) uvar  $\Rightarrow$  'α upred  $\Rightarrow$  'α upred is
 $\lambda x P b. (\forall v. P(\text{var-assign } x v b))$  .

lift-definition shAll ::['β  $\Rightarrow$  'α upred]  $\Rightarrow$  'α upred is
 $\lambda P A. \forall x. (P x) A$  .

```

We have to add a u subscript to the closure operator as I don't want to override the syntax for HOL lists (we'll be using them later).

```

lift-definition closure::'α upred  $\Rightarrow$  'α upred ( $[-]_u$ ) is
 $\lambda P A. \forall A'. P A'$  .

```

```

lift-definition taut :: 'α upred  $\Rightarrow$  bool (‘-‘)
is  $\lambda P. \forall A. P A$  .

```

```

adhoc-overloading
  uttrue true-upred and
  ufalse false-upred and
  unot not-upred and

```

```

uconj conj-upred and
udisj disj-upred and
uimpl impl and
uiff iff-upred and
uex ex and
uall all and
ushEx shEx and
ushAll shAll

```

syntax

```
-uneq      :: logic  $\Rightarrow$  logic  $\Rightarrow$  logic (infixl  $\neq_u$  50)
```

translations

```
 $x \neq_u y == \text{CONST } \text{unot } (x =_u y)$ 
```

6.3 Proof support

We set up a simple tactic with the help of *Eisbach* that applies predicate definitions, applies the transfer method to drop down to the core definitions, applies extensionality (to remove the resulting lambda term) and the applies auto. This simple tactic will suffice to prove most of the standard laws.

```
method pred-tac = ((simp only: upred-defs)? ; (transfer, (rule-tac ext)?, auto simp add: lens-defs
fun-eq-iff prod.case-eq-if)?)
```

```

declare true-upred-def [upred-defs]
declare false-upred-def [upred-defs]
declare conj-upred-def [upred-defs]
declare disj-upred-def [upred-defs]
declare not-upred-def [upred-defs]
declare diff-upred-def [upred-defs]
declare subst-upd-uvar-def [upred-defs]
declare subst-upd-dvar-def [upred-defs]
declare uexpr-defs [upred-defs]
declare usubst-rel-lift-def [upred-defs]
declare usubst-rel-drop-def [upred-defs]

```

```

lemma true-alt-def: true =  $\ll \text{True} \gg$ 
  by (pred-tac)

```

```

lemma false-alt-def: false =  $\ll \text{False} \gg$ 
  by (pred-tac)

```

6.4 Unrestriction Laws

```

lemma unrest-true [unrest]:  $x \# \text{true}$ 
  by (pred-tac)

```

```

lemma unrest-false [unrest]:  $x \# \text{false}$ 
  by (pred-tac)

```

```

lemma unrest-conj [unrest]:  $\ll x \# (P :: 'a \text{ upred}); x \# Q \gg \Longrightarrow x \# P \wedge Q$ 
  by (pred-tac)

```

```

lemma unrest-disj [unrest]:  $\ll x \# (P :: 'a \text{ upred}); x \# Q \gg \Longrightarrow x \# P \vee Q$ 
  by (pred-tac)

```

lemma *unrest-impl* [*unrest*]: $\llbracket x \# P; x \# Q \rrbracket \Longrightarrow x \# P \Rightarrow Q$
by (*pred-tac*)

lemma *unrest-iff* [*unrest*]: $\llbracket x \# P; x \# Q \rrbracket \Longrightarrow x \# P \Leftrightarrow Q$
by (*pred-tac*)

lemma *unrest-not* [*unrest*]: $x \# (P :: 'a \text{ upred}) \Longrightarrow x \# (\neg P)$
by (*pred-tac*)

The sublens proviso can be thought of as membership below.

lemma *unrest-ex-in* [*unrest*]:
 $\llbracket \text{semi-uvar } y; x \subseteq_L y \rrbracket \Longrightarrow x \# (\exists y \cdot P)$
by (*pred-tac*)

declare *sublens-refl* [*simp*]
declare *lens-plus-ub* [*simp*]
declare *lens-plus-right-sublens* [*simp*]
declare *comp-wb-lens* [*simp*]
declare *comp-mwb-lens* [*simp*]
declare *plus-mwb-lens* [*simp*]

lemma *unrest-ex-diff* [*unrest*]:
assumes $x \bowtie y \ y \# P$
shows $y \# (\exists x \cdot P)$
using *assms*
apply (*pred-tac*)
using *lens-indep-comm* **apply** *fastforce* +
done

lemma *unrest-all-in* [*unrest*]:
 $\llbracket \text{semi-uvar } y; x \subseteq_L y \rrbracket \Longrightarrow x \# (\forall y \cdot P)$
by *pred-tac*

lemma *unrest-all-diff* [*unrest*]:
assumes $x \bowtie y \ y \# P$
shows $y \# (\forall x \cdot P)$
using *assms*
by (*pred-tac*, *simp-all* *add: lens-indep-comm*)

lemma *unrest-shEx* [*unrest*]:
assumes $\bigwedge y. x \# P(y)$
shows $x \# (\exists y \cdot P(y))$
using *assms* **by** *pred-tac*

lemma *unrest-shAll* [*unrest*]:
assumes $\bigwedge y. x \# P(y)$
shows $x \# (\forall y \cdot P(y))$
using *assms* **by** *pred-tac*

lemma *unrest-closure* [*unrest*]:
 $x \# [P]_u$
by *pred-tac*

6.5 Substitution Laws

lemma *subst-true* [*usubst*]: $\sigma \dagger \text{true} = \text{true}$
by (*pred-tac*)

lemma *subst-false* [*usubst*]: $\sigma \dagger \text{false} = \text{false}$
by (*pred-tac*)

lemma *subst-not* [*usubst*]: $\sigma \dagger (\neg P) = (\neg \sigma \dagger P)$
by (*pred-tac*)

lemma *subst-impl* [*usubst*]: $\sigma \dagger (P \Rightarrow Q) = (\sigma \dagger P \Rightarrow \sigma \dagger Q)$
by (*pred-tac*)

lemma *subst-iff* [*usubst*]: $\sigma \dagger (P \Leftrightarrow Q) = (\sigma \dagger P \Leftrightarrow \sigma \dagger Q)$
by (*pred-tac*)

lemma *subst-disj* [*usubst*]: $\sigma \dagger (P \vee Q) = (\sigma \dagger P \vee \sigma \dagger Q)$
by (*pred-tac*)

lemma *subst-conj* [*usubst*]: $\sigma \dagger (P \wedge Q) = (\sigma \dagger P \wedge \sigma \dagger Q)$
by (*pred-tac*)

lemma *subst-closure* [*usubst*]: $\sigma \dagger [P]_u = [P]_u$
by (*pred-tac*)

lemma *subst-shEx* [*usubst*]: $\sigma \dagger (\exists x \cdot P(x)) = (\exists x \cdot \sigma \dagger P(x))$
by *pred-tac*

lemma *subst-shAll* [*usubst*]: $\sigma \dagger (\forall x \cdot P(x)) = (\forall x \cdot \sigma \dagger P(x))$
by *pred-tac*

TODO: Generalise the quantifier substitution laws to n-ary substitutions

lemma *subst-ex-same* [*usubst*]:
assumes *semi-uvar* *x*
shows $(\exists x \cdot P)[v/x] = (\exists x \cdot P)$
by (*simp add: assms id-subst subst-unrest unrest-ex-in*)

lemma *subst-ex-indep* [*usubst*]:
assumes $x \bowtie y \ y \nmid v$
shows $(\exists y \cdot P)[v/x] = (\exists y \cdot P[v/x])$
using *assms*
apply (*pred-tac*)
using *lens-indep-comm apply fastforce+*
done

lemma *subst-all-same* [*usubst*]:
assumes *semi-uvar* *x*
shows $(\forall x \cdot P)[v/x] = (\forall x \cdot P)$
by (*simp add: assms id-subst subst-unrest unrest-all-in*)

lemma *subst-all-indep* [*usubst*]:
assumes $x \bowtie y \ y \nmid v$
shows $(\forall y \cdot P)[v/x] = (\forall y \cdot P[v/x])$
using *assms*
by (*pred-tac, simp-all add: lens-indep-comm*)

6.6 Predicate Laws

Showing that predicates form a Boolean Algebra (under the predicate operators) gives us many useful laws.

interpretation *boolean-algebra diff-upred not-upred conj-upred op ≤ op < disj-upred false-upred true-upred*
by (*unfold-locales*, *pred-tac+*)

lemma *refBy-order*: $P \sqsubseteq Q = 'Q \Rightarrow P'$
by (*transfer*, *auto*)

lemma *conj-idem* [*simp*]: $((P::'\alpha \text{ upred}) \wedge P) = P$
by *pred-tac*

lemma *disj-idem* [*simp*]: $((P::'\alpha \text{ upred}) \vee P) = P$
by *pred-tac*

lemma *conj-comm*: $((P::'\alpha \text{ upred}) \wedge Q) = (Q \wedge P)$
by *pred-tac*

lemma *disj-comm*: $((P::'\alpha \text{ upred}) \vee Q) = (Q \vee P)$
by *pred-tac*

lemma *conj-subst*: $P = R \implies ((P::'\alpha \text{ upred}) \wedge Q) = (R \wedge Q)$
by *pred-tac*

lemma *disj-subst*: $P = R \implies ((P::'\alpha \text{ upred}) \vee Q) = (R \vee Q)$
by *pred-tac*

lemma *conj-assoc*: $((P::'\alpha \text{ upred}) \wedge Q) \wedge S = (P \wedge (Q \wedge S))$
by *pred-tac*

lemma *disj-assoc*: $((P::'\alpha \text{ upred}) \vee Q) \vee S = (P \vee (Q \vee S))$
by *pred-tac*

lemma *conj-disj-abs*: $((P::'\alpha \text{ upred}) \wedge (P \vee Q)) = P$
by *pred-tac*

lemma *disj-conj-abs*: $((P::'\alpha \text{ upred}) \vee (P \wedge Q)) = P$
by *pred-tac*

lemma *conj-disj-distr*: $((P::'\alpha \text{ upred}) \wedge (Q \vee R)) = ((P \wedge Q) \vee (P \wedge R))$
by *pred-tac*

lemma *disj-conj-distr*: $((P::'\alpha \text{ upred}) \vee (Q \wedge R)) = ((P \vee Q) \wedge (P \vee R))$
by *pred-tac*

lemma *true-disj-zero* [*simp*]:
 $(P \vee \text{true}) = \text{true} \quad (\text{true} \vee P) = \text{true}$
by (*pred-tac*) (*pred-tac*)

lemma *true-conj-zero* [*simp*]:
 $(P \wedge \text{false}) = \text{false} \quad (\text{false} \wedge P) = \text{false}$
by (*pred-tac*) (*pred-tac*)

lemma *imp-vacuous* [*simp*]: $(\text{false} \Rightarrow u) = \text{true}$

by *pred-tac*

lemma *imp-true* [*simp*]: $(p \Rightarrow \text{true}) = \text{true}$
by *pred-tac*

lemma *true-imp* [*simp*]: $(\text{true} \Rightarrow p) = p$
by *pred-tac*

lemma *p-and-not-p* [*simp*]: $(P \wedge \neg P) = \text{false}$
by *pred-tac*

lemma *p-or-not-p* [*simp*]: $(P \vee \neg P) = \text{true}$
by *pred-tac*

lemma *p-imp-p* [*simp*]: $(P \Rightarrow P) = \text{true}$
by *pred-tac*

lemma *p-iff-p* [*simp*]: $(P \Leftrightarrow P) = \text{true}$
by *pred-tac*

lemma *p-imp-false* [*simp*]: $(P \Rightarrow \text{false}) = (\neg P)$
by *pred-tac*

lemma *not-conj-deMorgans* [*simp*]: $(\neg ((P::'\alpha \text{ upred}) \wedge Q)) = ((\neg P) \vee (\neg Q))$
by *pred-tac*

lemma *not-disj-deMorgans* [*simp*]: $(\neg ((P::'\alpha \text{ upred}) \vee Q)) = ((\neg P) \wedge (\neg Q))$
by *pred-tac*

lemma *conj-disj-not-abs* [*simp*]: $((P::'\alpha \text{ upred}) \wedge ((\neg P) \vee Q)) = (P \wedge Q)$
by (*pred-tac*)

lemma *double-negation* [*simp*]: $(\neg \neg (P::'\alpha \text{ upred})) = P$
by (*pred-tac*)

lemma *true-not-false* [*simp*]: $\text{true} \neq \text{false} \text{ false} \neq \text{true}$
by *pred-tac*+

lemma *closure-conj-distr*: $([P]_u \wedge [Q]_u) = [P \wedge Q]_u$
by *pred-tac*

lemma *closure-imp-distr*: $'[P \Rightarrow Q]_u \Rightarrow [P]_u \Rightarrow [Q]_u'$
by *pred-tac*

lemma *true-iff* [*simp*]: $(P \Leftrightarrow \text{true}) = P$
by *pred-tac*

lemma *impl-alt-def*: $(P \Rightarrow Q) = (\neg P \vee Q)$
by *pred-tac*

lemma *eq-upred-refl* [*simp*]: $(x =_u x) = \text{true}$
by *pred-tac*

lemma *eq-upred-sym*: $(x =_u y) = (y =_u x)$
by *pred-tac*

lemma *conj-eq-in-var-subst*:
fixes $x :: ('a, 'α) \text{ uvar}$
assumes $\text{uvar } x$
shows $(P \wedge \$x =_u v) = (P[v/\$x] \wedge \$x =_u v)$
using *assms*
by (*pred-tac*, (*metis vwb-lens-wb wb-lens.get-put*)+)

lemma *conj-eq-out-var-subst*:
fixes $x :: ('a, 'α) \text{ uvar}$
assumes $\text{uvar } x$
shows $(P \wedge \$x' =_u v) = (P[v/\$x'] \wedge \$x' =_u v)$
using *assms*
by (*pred-tac*, (*metis vwb-lens-wb wb-lens.get-put*)+)

lemma *shEx-bool [simp]*: $\text{shEx } P = (P \text{ True} \vee P \text{ False})$
by (*pred-tac*, *metis (full-types)*)

lemma *shAll-bool [simp]*: $\text{shAll } P = (P \text{ True} \wedge P \text{ False})$
by (*pred-tac*, *metis (full-types)*)

lemma *upred-eq-true [simp]*: $(p =_u \text{true}) = p$
by *pred-tac*

lemma *upred-eq-false [simp]*: $(p =_u \text{false}) = (\neg p)$
by *pred-tac*

lemma *one-point*:
assumes $\text{semi-uvar } x \nparallel v$
shows $(\exists x. (P \wedge (\text{var } x =_u v))) = P[v/x]$
using *assms*
by (*simp add: upred-defs, transfer, auto*)

lemma *uvar-assign-exists*:
 $\text{uvar } x \implies \exists v. b = \text{var-assign } x \ v \ b$
by (*rule-tac x=var-lookup x b in exI, simp*)

lemma *uvar-obtain-assign*:
assumes $\text{uvar } x$
obtains v **where** $b = \text{var-assign } x \ v \ b$
using *assms*
by (*drule-tac uvar-assign-exists[of - b], auto*)

lemma *taut-split-subst*:
assumes $\text{uvar } x$
shows $'P' \longleftrightarrow (\forall v. 'P[v/\$x']')$
using *assms*
by (*pred-tac, metis uvar-assign-exists*)

lemma *eq-split*:
assumes $'P \Rightarrow Q' \ 'Q \Rightarrow P'$
shows $P = Q$
using *assms*
by (*pred-tac*)


```

lemma subst-bool-split:
  assumes uvar x
  shows 'P' = '(P[[false/x]] ∧ P[[true/x]])'
proof -
  from assms have 'P' = (∀ v. 'P[[v>>/x]]')
    by (subst taut-split-subst[of x], auto)
  also have ... = ('P[[True>>/x]]' ∧ 'P[[False>>/x]]')
    by (metis (mono-tags, lifting))
  also have ... = '(P[[false/x]] ∧ P[[true/x]])'
    by (pred-tac)
  finally show ?thesis .
qed

lemma taut-iff-eq:
  'P ⇔ Q' ⟷ (P = Q)
  by pred-tac

lemma subst-eq-replace:
  fixes x :: ('a, 'α) uvar
  shows (p[[u/x]] ∧ u =u v) = (p[[v/x]] ∧ u =u v)
  by pred-tac

lemma exists-twice: semi-uvar x ⟹ (∃ x · ∃ x · P) = (∃ x · P)
  by (pred-tac)

lemma all-twice: semi-uvar x ⟹ (∀ x · ∀ x · P) = (∀ x · P)
  by (pred-tac)

lemma ex-commute:
  assumes x ⊔ y
  shows (∃ x · ∃ y · P) = (∃ y · ∃ x · P)
  using assms
  apply (pred-tac)
  using lens-indep-comm apply fastforce+
done

lemma all-commute:
  assumes x ⊔ y
  shows (∀ x · ∀ y · P) = (∀ y · ∀ x · P)
  using assms
  apply (pred-tac)
  using lens-indep-comm apply fastforce+
done

```

6.7 Quantifier lifting

named-theorems *uquant-lift*

```

lemma shEx-lift-conj-1 [uquant-lift]:
  ((∃ x · P(x)) ∧ Q) = (∃ x · P(x) ∧ Q)
  by pred-tac

```

```

lemma shEx-lift-conj-2 [uquant-lift]:
  (P ∧ (∃ x · Q(x))) = (∃ x · P ∧ Q(x))
  by pred-tac

```

end

7 Alphabetised relations

theory *utp-rel*

imports

utp-pred

utp-lift

begin

default-sort *type*

named-theorems *urel-defs*

consts

useq :: $'a \Rightarrow 'b \Rightarrow 'c$ (**infixr** ;; 15)

uskip :: $'a$ (*II*)

definition *in α* :: $(' \alpha, ' \alpha \times ' \beta)$ *uvar* **where**

in α = $\langle \mid \text{ lens-get} = \text{fst}, \text{ lens-put} = \lambda (A, A') v. (v, A') \mid \rangle$

definition *out α* :: $(' \beta, ' \alpha \times ' \beta)$ *uvar* **where**

out α = $\langle \mid \text{ lens-get} = \text{snd}, \text{ lens-put} = \lambda (A, A') v. (A, v) \mid \rangle$

declare *in α -def* [*urel-defs*]

declare *out α -def* [*urel-defs*]

The alphabet of a relation consists of the input and output portions

lemma *alpha-in-out*:

$\Sigma \approx_L \text{ in}\alpha +_L \text{ out}\alpha$

by (*metis fst-lens-def fst-snd-id-lens in α -def lens-equiv-refl out α -def snd-lens-def*)

type-synonym $' \alpha$ *condition* = $' \alpha$ *upred*

type-synonym $(' \alpha, ' \beta)$ *relation* = $(' \alpha \times ' \beta)$ *upred*

type-synonym $' \alpha$ *hrelation* = $(' \alpha \times ' \alpha)$ *upred*

definition *cond*:: $(' \alpha, ' \beta)$ *relation* $\Rightarrow (' \alpha, ' \beta)$ *relation* $\Rightarrow (' \alpha, ' \beta)$ *relation* $\Rightarrow (' \alpha, ' \beta)$ *relation*
 $((\exists - \triangleleft - \triangleright / -) [14,0,15] \ 14)$

where $(P \triangleleft b \triangleright Q) \equiv (b \wedge P) \vee ((\neg b) \wedge Q)$

abbreviation *rcond*:: $(' \alpha, ' \beta)$ *relation* $\Rightarrow ' \alpha$ *condition* $\Rightarrow (' \alpha, ' \beta)$ *relation* $\Rightarrow (' \alpha, ' \beta)$ *relation*
 $((\exists - \triangleleft - \triangleright_r / -) [14,0,15] \ 14)$

where $(P \triangleleft b \triangleright_r Q) \equiv (P \triangleleft \lceil b \rceil_{<} \triangleright Q)$

lift-definition *segr*:: $((' \alpha \times ' \beta)$ *upred*) $\Rightarrow ((' \beta \times ' \gamma)$ *upred*) $\Rightarrow (' \alpha \times ' \gamma)$ *upred*

is $\lambda P Q r. r : (\{p. P p\} \ O \ \{q. Q q\})$.

lift-definition *conv-r* :: $(' a, ' \alpha \times ' \beta)$ *uexpr* $\Rightarrow (' a, ' \beta \times ' \alpha)$ *uexpr* (*-* [999] 999)

is $\lambda e (b1, b2). e (b2, b1)$.

definition *skip-ra* :: $(' \beta, ' \alpha)$ *lens* $\Rightarrow ' \alpha$ *hrelation* (*II*.) **where**

skip-ra *v* = $(\$v' =_u \$v)$

definition *assigns-ra* :: $' \alpha$ *usubst* $\Rightarrow (' \beta, ' \alpha)$ *lens* $\Rightarrow ' \alpha$ *hrelation* ($\langle \cdot \rangle$.) **where**

$\langle \sigma \rangle_a = (\lceil \sigma \rceil_s \uparrow \text{ II } a)$

lift-definition *assigns-r* :: 'α *usubst* ⇒ 'α *hrelation* ($\langle \cdot \rangle_a$)
is λ σ (*A*, *A'*). *A'* = σ(*A*) .

definition *skip-r* :: 'α *hrelation* **where**
skip-r = *assigns-r id*

abbreviation *assign-r* :: ('*t*, 'α) *uvar* ⇒ ('*t*, 'α) *uexpr* ⇒ 'α *hrelation*
where *assign-r* *x v* ≡ *assigns-r* [*x* ↦_s *v*]

abbreviation *assign-2-r* ::
('t1, 'α) *uvar* ⇒ ('t2, 'α) *uvar* ⇒ ('t1, 'α) *uexpr* ⇒ ('t2, 'α) *uexpr* ⇒ 'α *hrelation*
where *assign-2-r* *x y u v* ≡ *assigns-r* [*x* ↦_s *u*, *y* ↦_s *v*]

nonterminal
svid-list **and** *uexpr-list*

syntax
-svid-unit :: *svid* ⇒ *svid-list* (-)
-svid-list :: *svid* ⇒ *svid-list* ⇒ *svid-list* (-, / -)
-uexpr-unit :: ('a, 'α) *uexpr* ⇒ *uexpr-list* (- [40] 40)
-uexpr-list :: ('a, 'α) *uexpr* ⇒ *uexpr-list* ⇒ *uexpr-list* (-, / - [40,40] 40)
-assignment :: *svid-list* ⇒ *uexprs* ⇒ 'α *hrelation* (**infixr** := 55)
-mk-usubst :: *svid-list* ⇒ *uexprs* ⇒ 'α *usubst*

translations
-mk-usubst σ (*-svid-unit* *x*) *v* == σ(&*x* ↦_s *v*)
-mk-usubst σ (*-svid-list* *x xs*) (*-uexprs* *v vs*) == (*-mk-usubst* (σ(*x* ↦_s *v*)) *xs vs*)
-assignment *xs vs* => *CONST assigns-r* (*-mk-usubst* (*CONST id*) *xs vs*)
x := *v* <= *CONST assign-r* *x v*
x, y := *u, v* <= *CONST assign-2-r* *x y u v*

ad hoc-overloading
useq seqr **and**
uskip skip-r

method *rel-tac* = ((*simp add: upred-defs urel-defs*)?, (*transfer, (rule-tac ext)*)?, *auto simp add: lens-defs urel-defs relcomp-unfold fun-eq-iff prod.case-eq-if*)?

We describe some properties of relations

definition *ufunctional* :: ('a, 'b) *relation* ⇒ *bool*
where *ufunctional* *R* ⇔ (*II* ⊆ (*R*⁻ ;; *R*))

declare *ufunctional-def* [*urel-defs*]

definition *uinj* :: ('a, 'b) *relation* ⇒ *bool*
where *uinj* *R* ⇔ *II* ⊆ (*R* ;; *R*⁻)

declare *uinj-def* [*urel-defs*]

A test is like a precondition, except that it identifies to the postcondition. It forms the basis for Kleene Algebra with Tests (KAT).

definition *lift-test* :: 'α *condition* ⇒ 'α *hrelation* ($\lceil \cdot \rceil_t$)
where $\lceil b \rceil_t = (\lceil b \rceil_{<} \wedge II)$

declare *cond-def* [*urel-defs*]
declare *skip-r-def* [*urel-defs*]

We implement a poor man's version of alphabet restriction that hides a variable within a relation

definition *rel-var-res* :: $'\alpha \text{ hrelation} \Rightarrow ('a, '\alpha) \text{ uvar} \Rightarrow '\alpha \text{ hrelation}$ (**infix** \vdash_α 80) **where**
 $P \vdash_\alpha x = (\exists \$x \cdot \exists \$x' \cdot P)$

declare *rel-var-res-def* [*urel-defs*]

7.1 Unrestriction Laws

lemma *unrest-iuvar* [*unrest*]: $\text{semi-uvar } x \Longrightarrow \text{out}\alpha \# \x
by (*simp add: out α -def, transfer, auto*)

lemma *unrest-ouvar* [*unrest*]: $\text{semi-uvar } x \Longrightarrow \text{in}\alpha \# \x'
by (*simp add: in α -def, transfer, auto*)

lemma *unrest-in α -var* [*unrest*]:
 $\llbracket \text{semi-uvar } x; \text{in}\alpha \# (P :: ('\alpha, '\beta) \text{ relation}) \rrbracket \Longrightarrow \$x \# P$
by (*pred-tac, simp add: in α -def, blast,metis in α -def lens.select-convs(2) old.prod.case*)

lemma *unrest-out α -var* [*unrest*]:
 $\llbracket \text{semi-uvar } x; \text{out}\alpha \# (P :: ('\alpha, '\beta) \text{ relation}) \rrbracket \Longrightarrow \$x' \# P$
by (*pred-tac, simp add: out α -def, blast,metis lens.select-convs(2) old.prod.case out α -def*)

lemma *in α -uvar* [*simp*]: $\text{uvar in}\alpha$
by (*unfold-locales, auto simp add: in α -def*)

lemma *out α -uvar* [*simp*]: $\text{uvar out}\alpha$
by (*unfold-locales, auto simp add: out α -def*)

lemma *unrest-pre-out α* [*unrest*]: $\text{out}\alpha \# \lceil b \rceil_<$
by (*transfer, auto simp add: out α -def*)

lemma *unrest-post-in α* [*unrest*]: $\text{in}\alpha \# \lceil b \rceil_>$
by (*transfer, auto simp add: in α -def*)

lemma *unrest-pre-in-var* [*unrest*]:
 $x \# p1 \Longrightarrow \$x \# \lceil p1 \rceil_<$
by (*transfer, simp*)

lemma *unrest-post-out-var* [*unrest*]:
 $x \# p1 \Longrightarrow \$x' \# \lceil p1 \rceil_>$
by (*transfer, simp*)

lemma *unrest-convr-out α* [*unrest*]:
 $\text{in}\alpha \# p \Longrightarrow \text{out}\alpha \# p^-$
by (*transfer, auto simp add: in α -def out α -def*)

lemma *unrest-convr-in α* [*unrest*]:
 $\text{out}\alpha \# p \Longrightarrow \text{in}\alpha \# p^-$
by (*transfer, auto simp add: in α -def out α -def*)

lemma *unrest-in-rel-var-res* [*unrest*]:
 $\text{uvar } x \Longrightarrow \$x \# (P \vdash_\alpha x)$
by (*simp add: rel-var-res-def unrest*)

lemma *unrest-out-rel-var-res* [*unrest*]:
 $uvar\ x \implies \$x' \# (P \vdash_{\alpha} x)$
by (*simp add: rel-var-res-def unrest*)

7.2 Substitution laws

It should be possible to substantially generalise the following two laws

lemma *usubst-seq-left* [*usubst*]:
 $\llbracket semi-uvar\ x; out_{\alpha} \# v \rrbracket \implies (P ;; Q) \llbracket v / \$x \rrbracket = ((P \llbracket v / \$x \rrbracket) ;; Q)$
apply (*rel-tac*)
apply (*rename-tac x v P Q a y ya*)
apply (*rule-tac x=ya in exI*)
apply (*simp*)
apply (*drule-tac x=a in spec*)
apply (*drule-tac x=y in spec*)
apply (*drule-tac x=ya in spec*)
apply (*simp*)
apply (*rename-tac x v P Q a ba y*)
apply (*rule-tac x=y in exI*)
apply (*drule-tac x=a in spec*)
apply (*drule-tac x=y in spec*)
apply (*drule-tac x=ba in spec*)
apply (*simp*)
done

lemma *usubst-seq-right* [*usubst*]:
 $\llbracket semi-uvar\ x; in_{\alpha} \# v \rrbracket \implies (P ;; Q) \llbracket v / \$x' \rrbracket = (P ;; Q \llbracket v / \$x' \rrbracket)$
by (*rel-tac, metis+*)

lemma *usubst-condr* [*usubst*]:
 $\sigma \dagger (P \triangleleft b \triangleright Q) = (\sigma \dagger P \triangleleft \sigma \dagger b \triangleright \sigma \dagger Q)$
by *rel-tac*

lemma *subst-skip-r* [*usubst*]:
fixes $x :: ('a, 'a) uvar$
shows $H \llbracket [v]_{<} / \$x \rrbracket = (x := v)$
by (*rel-tac*)

7.3 Relation laws

Homogeneous relations form a quantale

abbreviation *truer* :: $'\alpha$ *hrelation* (*true_h*) **where**
truer \equiv *true*

abbreviation *false_r* :: $'\alpha$ *hrelation* (*false_h*) **where**
false_r \equiv *false*

interpretation *upred-quantale*: *unital-quantale-plus*

where *times* = *segr* **and** *one* = *skip-r* **and** *Sup* = *Sup* **and** *Inf* = *Inf* **and** *inf* = *inf* **and** *less-eq* =
less-eq **and** *less* = *less*

and *sup* = *sup* **and** *bot* = *bot* **and** *top* = *top*

apply (*unfold-locales*)

apply (*rel-tac*)

apply (*unfold SUP-def*, *transfer*, *auto*)
apply (*unfold SUP-def*, *transfer*, *auto*)
apply (*unfold INF-def*, *transfer*, *auto*)
apply (*unfold INF-def*, *transfer*, *auto*)
apply (*rel-tac*)
apply (*rel-tac*)
done

lemma *drop-pre-inv* [*simp*]: $\llbracket \text{out}\alpha \# p \rrbracket \implies \llbracket p \rrbracket_{<} = p$
by (*pred-tac*, *auto simp add: out α -def lens-create-def fst-lens-def prod.case-eq-if*)

abbreviation *ustar* :: ' α *hrelation* \Rightarrow ' α *hrelation* ($-^*_u$ [999] 999) **where**
 $P^*_u \equiv \text{unital-quantale.qstar II op} \;; \text{Sup } P$

definition *while* :: ' α *condition* \Rightarrow ' α *hrelation* \Rightarrow ' α *hrelation* (*while* - *do* - *od*) **where**
 $\text{while } b \text{ do } P \text{ od} = ((\llbracket b \rrbracket_{<} \wedge P)^*_u \wedge (\neg \llbracket b \rrbracket_{>}))$

declare *while-def* [*urel-defs*]

lemma *cond-idem*: $(P \triangleleft b \triangleright P) = P$ **by** *rel-tac*

lemma *cond-symm*: $(P \triangleleft b \triangleright Q) = (Q \triangleleft \neg b \triangleright P)$ **by** *rel-tac*

lemma *cond-assoc*: $((P \triangleleft b \triangleright Q) \triangleleft c \triangleright R) = (P \triangleleft b \wedge c \triangleright (Q \triangleleft c \triangleright R))$ **by** *rel-tac*

lemma *cond-distr*: $(P \triangleleft b \triangleright (Q \triangleleft c \triangleright R)) = ((P \triangleleft b \triangleright Q) \triangleleft c \triangleright (P \triangleleft b \triangleright R))$ **by** *rel-tac*

lemma *cond-unit-T*: $(P \triangleleft \text{true} \triangleright Q) = P$ **by** *rel-tac*

lemma *cond-unit-F*: $(P \triangleleft \text{false} \triangleright Q) = Q$ **by** *rel-tac*

lemma *cond-L6*: $(P \triangleleft b \triangleright (Q \triangleleft b \triangleright R)) = (P \triangleleft b \triangleright R)$ **by** *rel-tac*

lemma *cond-L7*: $(P \triangleleft b \triangleright (P \triangleleft c \triangleright Q)) = (P \triangleleft b \vee c \triangleright Q)$ **by** *rel-tac*

lemma *cond-and-distr*: $((P \wedge Q) \triangleleft b \triangleright (R \wedge S)) = ((P \triangleleft b \triangleright R) \wedge (Q \triangleleft b \triangleright S))$ **by** *rel-tac*

lemma *cond-or-distr*: $((P \vee Q) \triangleleft b \triangleright (R \vee S)) = ((P \triangleleft b \triangleright R) \vee (Q \triangleleft b \triangleright S))$ **by** *rel-tac*

lemma *cond-imp-distr*:
 $((P \Rightarrow Q) \triangleleft b \triangleright (R \Rightarrow S)) = ((P \triangleleft b \triangleright R) \Rightarrow (Q \triangleleft b \triangleright S))$ **by** *rel-tac*

lemma *cond-eq-distr*:
 $((P \Leftrightarrow Q) \triangleleft b \triangleright (R \Leftrightarrow S)) = ((P \triangleleft b \triangleright R) \Leftrightarrow (Q \triangleleft b \triangleright S))$ **by** *rel-tac*

lemma *cond-conj-distr*: $(P \wedge (Q \triangleleft b \triangleright S)) = ((P \wedge Q) \triangleleft b \triangleright (P \wedge S))$ **by** *rel-tac*

lemma *cond-disj-distr*: $(P \vee (Q \triangleleft b \triangleright S)) = ((P \vee Q) \triangleleft b \triangleright (P \vee S))$ **by** *rel-tac*

lemma *cond-neg*: $\neg (P \triangleleft b \triangleright Q) = (\neg P \triangleleft b \triangleright \neg Q)$ **by** *rel-tac*

lemma *comp-cond-left-distr*:
 $((P \triangleleft b \triangleright_r Q) ;; R) = ((P ;; R) \triangleleft b \triangleright_r (Q ;; R))$
by *rel-tac*

These laws may seem to duplicate quantale laws, but they don't – they are applicable to non-

homogeneous relations as well, which will become important later.

lemma *seqr-assoc*: $(P ;; (Q ;; R)) = ((P ;; Q) ;; R)$
by *rel-tac*

lemma *seqr-left-unit* [*simp*]:
 $(II ;; P) = P$
by *rel-tac*

lemma *seqr-right-unit* [*simp*]:
 $(P ;; II) = P$
by *rel-tac*

lemma *seqr-left-zero* [*simp*]:
 $(false ;; P) = false$
by *pred-tac*

lemma *seqr-right-zero* [*simp*]:
 $(P ;; false) = false$
by *pred-tac*

lemma *seqr-mono*:
 $\llbracket P_1 \sqsubseteq P_2; Q_1 \sqsubseteq Q_2 \rrbracket \implies (P_1 ;; Q_1) \sqsubseteq (P_2 ;; Q_2)$
by (*rel-tac*, *blast*)

lemma *pre-skip-post*: $(\lceil b \rceil_{<} \wedge II) = (II \wedge \lceil b \rceil_{>})$
by (*rel-tac*)

lemma *seqr-exists-left*:
 $semi-uvar\ x \implies ((\exists \$x \cdot P) ;; Q) = (\exists \$x \cdot (P ;; Q))$
by (*rel-tac*)

lemma *seqr-exists-right*:
 $semi-uvar\ x \implies (P ;; (\exists \$x' \cdot Q)) = (\exists \$x' \cdot (P ;; Q))$
by (*rel-tac*)

We should be able to generalise this law to arbitrary assignments at some point, but that requires additional conversion operators for substitutions that act only on $in\alpha$.

lemma *assign-subst* [*usubst*]:
 $\llbracket semi-uvar\ x; semi-uvar\ y \rrbracket \implies [\$x \mapsto_s \lceil u \rceil_{<} \dagger (y := v)] = (x, y := u, [x \mapsto_s u] \dagger v)$
by *rel-tac*

lemma *assigns-idem*: $semi-uvar\ x \implies (x, x := u, v) = (x := v)$
by (*simp add: usubst*)

lemma *assigns-comp*: $(assigns-r\ f ;; assigns-r\ g) = assigns-r\ (g \circ f)$
by (*transfer, auto simp add: relcomp-unfold*)

lemma *assigns-r-conv*:
 $bij\ f \implies \langle f \rangle_a^- = \langle inv\ f \rangle_a$
by (*rel-tac, simp-all add: bij-is-inj bij-is-surj surj-f-inv-f*)

lemma *assigns-r-comp*: $(\langle \sigma \rangle_a ;; P) = (\lceil \sigma \rceil_s \dagger P)$
by *rel-tac*

lemma *assign-r-comp*: $semi-uvar\ x \implies (x := u ;; P) = ([\$x \mapsto_s \lceil u \rceil_{<} \dagger P)$

by (*simp add: assigns-r-comp usubst*)

lemma *assign-test: semi-uvar* $x \Longrightarrow (x := \llbracket u \rrbracket ;; x := \llbracket v \rrbracket) = (x := \llbracket v \rrbracket)$
by (*simp add: assigns-comp subst-upd-comp subst-lit usubst-upd-idem*)

lemma *assigns-r-ufunc: ufunctional* $\langle f \rangle_a$
by (*rel-tac*)

lemma *assigns-r-uinj: inj* $f \Longrightarrow \text{ujn} \langle f \rangle_a$
by (*rel-tac, simp add: inj-eq*)

lemma *assigns-r-swap-uinj:*
 $\llbracket \text{uvar } x; \text{uvar } y; x \bowtie y \rrbracket \Longrightarrow \text{ujn} (x, y := \&y, \&x)$
using *assigns-r-uinj swap-usubst-inj* **by** *auto*

lemma *skip-r-unfold:*
 $\text{uvar } x \Longrightarrow II = (\$x' =_u \$x \wedge II|_{\alpha} x)$
by (*rel-tac, blast, metis mwb-lens.put-put vwb-lens-mwb vwb-lens-wb wb-lens.get-put*)

lemma *skip-r-alpha-eq:*
 $II = (\$ \Sigma' =_u \$ \Sigma)$
by (*rel-tac*)

lemma *assign-unfold:*
 $\text{uvar } x \Longrightarrow (x := v) = (\$x' =_u \lceil v \rceil_{<} \wedge II|_{\alpha} x)$
apply (*rel-tac, auto simp add: comp-def*)
using *vwb-lens.put-eq* **by** *fastforce*

lemma *seqr-or-distl:*
 $((P \vee Q) ;; R) = ((P ;; R) \vee (Q ;; R))$
by *rel-tac*

lemma *seqr-or-distr:*
 $(P ;; (Q \vee R)) = ((P ;; Q) \vee (P ;; R))$
by *rel-tac*

lemma *seqr-and-distr-ufunc:*
 $\text{ufunctional } P \Longrightarrow (P ;; (Q \wedge R)) = ((P ;; Q) \wedge (P ;; R))$
by *rel-tac*

lemma *seqr-and-distl-uinj:*
 $\text{ujn } R \Longrightarrow ((P \wedge Q) ;; R) = ((P ;; R) \wedge (Q ;; R))$
by (*rel-tac, metis*)

lemma *seqr-unfold:*
 $(P ;; Q) = (\exists v \cdot P[\llbracket v \rrbracket / \$ \Sigma'] \wedge Q[\llbracket v \rrbracket / \$ \Sigma])$
by *rel-tac*

lemma *seqr-middle:*
assumes *uvar* x
shows $(P ;; Q) = (\exists v \cdot P[\llbracket v \rrbracket / \$x'] ;; Q[\llbracket v \rrbracket / \$x])$
using *assms*
apply (*rel-tac*)
apply (*rename-tac xa P Q a b y*)
apply (*rule-tac x=var-lookup xa y in exI*)


```

  apply (rule-tac x=y in exI)
  apply (simp)
done

```

```

theorem precondition-equiv:
  P = (P ;; true)  $\longleftrightarrow$  (out $\alpha$  # P)
  by (rel-tac)

```

```

theorem postcondition-equiv:
  P = (true ;; P)  $\longleftrightarrow$  (in $\alpha$  # P)
  by (rel-tac)

```

```

lemma precondition-right-unit: out $\alpha$  # p  $\implies$  (p ;; true) = p
  by (metis precondition-equiv)

```

```

lemma postcondition-left-unit: in $\alpha$  # p  $\implies$  (true ;; p) = p
  by (metis postcondition-equiv)

```

```

theorem precondition-left-zero:
  assumes out $\alpha$  # p p  $\neq$  false
  shows (true ;; p) = true
  using assms
  apply (simp add: out $\alpha$ -def upred-defs)
  apply (transfer, auto simp add: relcomp-unfold, rule ext, auto)
  apply (rename-tac p b)
  apply (subgoal-tac  $\exists$  b1 b2. p (b1, b2))
  apply (auto)
done

```

7.4 Converse laws

```

lemma convr-invol [simp]: p- - = p
  by pred-tac

```

```

lemma lit-convr [simp]:  $\ll v \gg^- = \ll v \gg$ 
  by pred-tac

```

```

lemma uivar-convr [simp]:
  fixes x :: ('a, 'α) uvar
  shows ($x)- = $x'
  by pred-tac

```

```

lemma uovar-convr [simp]:
  fixes x :: ('a, 'α) uvar
  shows ($x')- = $x
  by pred-tac

```

```

lemma uop-convr [simp]: (uop f u)- = uop f (u-)
  by (pred-tac)

```

```

lemma bop-convr [simp]: (bop f u v)- = bop f (u-) (v-)
  by (pred-tac)

```

```

lemma eq-convr [simp]: (p =u q)- = (p- =u q-)
  by (pred-tac)

```

lemma *disj-convr* [simp]: $(p \vee q)^- = (q^- \vee p^-)$
 by (*pred-tac*)

lemma *conj-convr* [simp]: $(p \wedge q)^- = (q^- \wedge p^-)$
 by (*pred-tac*)

lemma *seqr-convr* [simp]: $(p ;; q)^- = (q^- ;; p^-)$
 by *rel-tac*

theorem *seqr-pre-transfer*: $\text{in}\alpha \# q \implies ((P \wedge q) ;; R) = (P ;; (q^- \wedge R))$
 by (*rel-tac*)

theorem *seqr-post-out*: $\text{in}\alpha \# r \implies (P ;; (Q \wedge r)) = ((P ;; Q) \wedge r)$
 by (*rel-tac, blast+*)

theorem *seqr-post-transfer*: $\text{out}\alpha \# q \implies (P ;; (q \wedge R)) = (P \wedge q^- ;; R)$
 by (*simp add: seqr-pre-transfer unrest-convr-in\alpha*)

lemma *seqr-pre-out*: $\text{out}\alpha \# p \implies ((p \wedge Q) ;; R) = (p \wedge (Q ;; R))$
 by (*rel-tac, blast+*)

lemma *seqr-true-lemma*:
 $(P = (\neg (\neg P ;; \text{true}))) = (P = (P ;; \text{true}))$
 by *rel-tac*

lemma *shEx-lift-seq* [*uquant-lift*]:
 $((\exists x \cdot P(x)) ;; (\exists y \cdot Q(y))) = (\exists x \cdot \exists y \cdot P(x) ;; Q(y))$
 by *pred-tac*

While loop laws

lemma *while-cond-true*:
 $((\text{while } b \text{ do } P \text{ od}) \wedge [b]_<) = ((P \wedge [b]_<) ;; \text{while } b \text{ do } P \text{ od})$

proof –

have $(\text{while } b \text{ do } P \text{ od} \wedge [b]_<) = ((([b]_< \wedge P)^* \wedge (\neg [b]_>)) \wedge [b]_<)$
 by (*simp add: while-def*)
 also have $\dots = (((II \vee ([b]_< \wedge P) ;; ([b]_< \wedge P)^*) \wedge \neg [b]_>) \wedge [b]_<)$
 by (*simp add: disj-upred-def*)
 also have $\dots = ((([b]_< \wedge (II \vee ([b]_< \wedge P) ;; ([b]_< \wedge P)^*)) \wedge (\neg [b]_>))$
 by (*simp add: conj-comm utp-pred.inf.left-commute*)
 also have $\dots = ((([b]_< \wedge II) \vee ([b]_< \wedge ([b]_< \wedge P) ;; ([b]_< \wedge P)^*)) \wedge (\neg [b]_>))$
 by (*simp add: conj-disj-distr*)
 also have $\dots = ((([b]_< \wedge II) \vee ([b]_< \wedge P) ;; ([b]_< \wedge P)^*)) \wedge (\neg [b]_>))$
 by (*subst seqr-pre-out[THEN sym], simp add: unrest, rel-tac*)
 also have $\dots = (((II \wedge [b]_>) \vee ([b]_< \wedge P) ;; ([b]_< \wedge P)^*)) \wedge (\neg [b]_>))$
 by (*simp add: pre-skip-post*)
 also have $\dots = ((II \wedge [b]_> \wedge \neg [b]_>) \vee ((([b]_< \wedge P) ;; ([b]_< \wedge P)^*)) \wedge (\neg [b]_>))$
 by (*simp add: utp-pred.inf.assoc utp-pred.inf-sup-distrib2*)
 also have $\dots = ((([b]_< \wedge P) ;; ([b]_< \wedge P)^*) \wedge (\neg [b]_>))$
 by *simp*
 also have $\dots = (([b]_< \wedge P) ;; ((([b]_< \wedge P)^*) \wedge (\neg [b]_>)))$
 by (*simp add: seqr-post-out unrest*)
 also have $\dots = ((P \wedge [b]_<) ;; \text{while } b \text{ do } P \text{ od})$
 by (*simp add: utp-pred.inf-commute while-def*)
 finally show ?thesis .

qed

lemma *while-cond-false*:

$((\text{while } b \text{ do } P \text{ od}) \wedge (\neg \lceil b \rceil_{<})) = (II \wedge \neg \lceil b \rceil_{<})$

proof –

have $(\text{while } b \text{ do } P \text{ od} \wedge (\neg \lceil b \rceil_{<})) = (((\lceil b \rceil_{<} \wedge P)^*_u \wedge (\neg \lceil b \rceil_{>})) \wedge (\neg \lceil b \rceil_{<}))$

by (*simp add: while-def*)

also have $\dots = (((II \vee ((\lceil b \rceil_{<} \wedge P) ;; (\lceil b \rceil_{<} \wedge P)^*_u)) \wedge \neg \lceil b \rceil_{>}) \wedge (\neg \lceil b \rceil_{<}))$

by (*simp add: disj-upred-def*)

also have $\dots = (((II \wedge \neg \lceil b \rceil_{>}) \wedge \neg \lceil b \rceil_{<}) \vee ((\neg \lceil b \rceil_{<} \wedge (((\lceil b \rceil_{<} \wedge P) ;; ((\lceil b \rceil_{<} \wedge P)^*_u)) \wedge \neg \lceil b \rceil_{>})))$

by (*simp add: conj-disj-distr utp-pred.inf commute*)

also have $\dots = (((II \wedge \neg \lceil b \rceil_{>}) \wedge \neg \lceil b \rceil_{<}) \vee (((\neg \lceil b \rceil_{<} \wedge (\lceil b \rceil_{<} \wedge P) ;; ((\lceil b \rceil_{<} \wedge P)^*_u)) \wedge \neg \lceil b \rceil_{>})))$

by (*simp add: seqr-pre-out unrest-not unrest-pre-out α utp-pred.inf.assoc*)

also have $\dots = (((II \wedge \neg \lceil b \rceil_{>}) \wedge \neg \lceil b \rceil_{<}) \vee (((\text{false} ;; ((\lceil b \rceil_{<} \wedge P)^*_u)) \wedge \neg \lceil b \rceil_{>})))$

by (*simp add: conj-comm utp-pred.inf.left-commute*)

also have $\dots = ((II \wedge \neg \lceil b \rceil_{>}) \wedge \neg \lceil b \rceil_{<})$

by *simp*

also have $\dots = (II \wedge \neg \lceil b \rceil_{<})$

by *rel-tac*

finally show *?thesis* .

qed

theorem *while-unfold*:

$\text{while } b \text{ do } P \text{ od} = ((P ;; \text{while } b \text{ do } P \text{ od}) \triangleleft b \triangleright_r II)$

by (*metis (no-types, hide-lams) bounded-semilattice-sup-bot-class.sup-bot.left-neutral comp-cond-left-distr cond-def cond-idem disj-comm disj-upred-def seqr-right-zero upred-quantale.bot-zero α utp-pred.inf-bot-right utp-pred.inf-commute while-cond-false while-cond-true*)

7.5 Relational unrestriction

Relational unrestriction states that a variable is unchanged by a relation. Eventually I'd also like to have it state that the relation also does not depend on the variable's initial value, but I'm not sure how to state that yet. For now we represent this by the parametric healthiness condition RID.

definition *RID* :: $(\alpha, \alpha) \text{ var} \Rightarrow \alpha \text{ hrelation} \Rightarrow \alpha \text{ hrelation}$

where $\text{RID } x \ P = (P \wedge \$x' =_u \$x)$

declare *RID-def* [*urel-defs*]

lemma *RID-skip-r*:

$\text{RID}(x)(II) = II$

by *rel-tac*

lemma *RID-assigns-r*:

$\llbracket \text{var } x; x \# \sigma \rrbracket \Longrightarrow \text{RID}(x)(\langle \sigma \rangle_a) = \langle \sigma \rangle_a$

apply (*rel-tac*)

apply (*auto simp add: unrest-usubst-def*)

apply (*metis vwb-lens-wb wb-lens.get-put wb-lens-weak weak-lens.put-get*)

done

definition *unrest-relation* :: $(\alpha, \alpha) \text{ var} \Rightarrow \alpha \text{ hrelation} \Rightarrow \text{bool}$ (**infix** $\# \#$ 20)

where $(x \# \# P) \longleftrightarrow (P = \text{RID}(x)(P))$

declare *unrest-relation-def* [*urel-defs*]

lemma *skip-r-runrest* [*unrest*]:

$x \# \# H$
by *rel-tac*

lemma *assigns-r-runrest*:
 $\llbracket \text{uvar } x; x \# \sigma \rrbracket \Longrightarrow x \# \# \langle \sigma \rangle_a$
by (*simp add: RID-assigns-r unrest-relation-def*)

lemma *seq-r-runrest* [*unrest*]:
 $\llbracket x \# \# P; x \# \# Q \rrbracket \Longrightarrow x \# \# (P ;; Q)$
by (*rel-tac, metis*)

lemma *false-runrest* [*unrest*]: $x \# \# \text{false}$
by (*rel-tac*)

lemma *and-runrest* [*unrest*]: $\llbracket x \# \# P; x \# \# Q \rrbracket \Longrightarrow x \# \# (P \wedge Q)$
by (*rel-tac, metis*)

lemma *or-runrest* [*unrest*]: $\llbracket x \# \# P; x \# \# Q \rrbracket \Longrightarrow x \# \# (P \vee Q)$
by (*rel-tac, blast+*)

end

7.6 Weakest precondition calculus

theory *utp-wp*
imports *utp-rel*
begin

A very quick implementation of wp – more laws still needed!

named-theorems *wp*

method *wp-tac* = (*simp add: wp*)

consts
 $\text{uwp} :: 'a \Rightarrow 'b \Rightarrow 'c \text{ (infix wp 60)}$

definition *wp-upred* :: $('a, 'b) \text{ relation} \Rightarrow 'b \text{ condition} \Rightarrow 'a \text{ condition}$ **where**
 $\text{wp-upred } Q \ r = \lfloor \neg (Q ;; \neg \lceil r \rceil_{<}) \rfloor_{<}$

adhoc-overloading
 $\text{uwp } \text{wp-upred}$

declare *wp-upred-def* [*urel-defs*]

theorem *wp-assigns-r* [*wp*]:
 $(\text{assigns-r } \sigma) \text{ wp } r = \sigma \dagger r$
by *rel-tac*

theorem *wp-skip-r* [*wp*]:
 $H \text{ wp } r = r$
by *rel-tac*

theorem *wp-true* [*wp*]:
 $r \neq \text{true} \Longrightarrow \text{true wp } r = \text{false}$
by *rel-tac*

theorem *wp-conj* [*wp*]:
 $P \text{ wp } (q \wedge r) = (P \text{ wp } q \wedge P \text{ wp } r)$
by *rel-tac*

theorem *wp-seq-r* [*wp*]: $(P ;; Q) \text{ wp } r = P \text{ wp } (Q \text{ wp } r)$
by *rel-tac*

theorem *wp-cond* [*wp*]: $(P \triangleleft b \triangleright_r Q) \text{ wp } r = ((b \Rightarrow P \text{ wp } r) \wedge ((\neg b) \Rightarrow Q \text{ wp } r))$
by *rel-tac*

end

8 UTP Theories

theory *utp-theory*
imports *utp-rel*
begin

type-synonym $'\alpha \text{ Healthiness-condition} = '\alpha \text{ upred} \Rightarrow '\alpha \text{ upred}$

definition
 $\text{Healthy}::'\alpha \text{ upred} \Rightarrow '\alpha \text{ Healthiness-condition} \Rightarrow \text{bool}$ (**infix** *is 30*)
where $P \text{ is } H \equiv (P = H P)$

lemma *Healthy-def'*: $P \text{ is } H \longleftrightarrow (H P = P)$
unfolding *Healthy-def* **by** *auto*

declare *Healthy-def'* [*upred-defs*]

definition *Idempotent*(H) $\longleftrightarrow (\forall P. H(H(P)) = H(P))$

definition *Monotonic*(H) $\longleftrightarrow (\forall P Q. Q \sqsubseteq P \longrightarrow (H(Q) \sqsubseteq H(P)))$

definition *IMH*(H) $\longleftrightarrow \text{Idempotent}(H) \wedge \text{Monotonic}(H)$

definition *Antitone*(H) $\longleftrightarrow (\forall P Q. Q \sqsubseteq P \longrightarrow (H(P) \sqsubseteq H(Q)))$

definition *NM* : $\text{NM}(P) = (\neg P \wedge \text{true})$

lemma *Monotonic(NM)*
apply (*simp add:Monotonic-def*)
nitpick
oops

lemma *Antitone(NM)*
by (*simp add:Antitone-def NM*)

definition *Conjunctive* :: $'\alpha \text{ Healthiness-condition} \Rightarrow \text{bool}$ **where**
 $\text{Conjunctive}(H) \longleftrightarrow (\exists Q. \forall P. H(P) = (P \wedge Q))$

lemma *Conjunctive-Idempotent*:
 $\text{Conjunctive}(H) \Longrightarrow \text{Idempotent}(H)$
by (*auto simp add: Conjunctive-def Idempotent-def*)

lemma *Conjunctive-Monotonic*:
 $\text{Conjunctive}(H) \implies \text{Monotonic}(H)$
unfolding *Conjunctive-def Monotonic-def*
using *dual-order.trans* **by** *fastforce*

lemma *Conjunctive-conj*:
assumes *Conjunctive(HC)*
shows $HC(P \wedge Q) = (HC(P) \wedge Q)$
using *assms unfolding Conjunctive-def*
by (*metis utp-pred.inf.assoc utp-pred.inf.commute*)

lemma *Conjunctive-distr-conj*:
assumes *Conjunctive(HC)*
shows $HC(P \wedge Q) = (HC(P) \wedge HC(Q))$
using *assms unfolding Conjunctive-def*
by (*metis Conjunctive-conj assms utp-pred.inf.assoc utp-pred.inf-right-idem*)

lemma *Conjunctive-distr-disj*:
assumes *Conjunctive(HC)*
shows $HC(P \vee Q) = (HC(P) \vee HC(Q))$
using *assms unfolding Conjunctive-def*
using *utp-pred.inf-sup-distrib2* **by** *fastforce*

lemma *Conjunctive-distr-cond*:
assumes *Conjunctive(HC)*
shows $HC(P \triangleleft b \triangleright Q) = (HC(P) \triangleleft b \triangleright HC(Q))$
using *assms unfolding Conjunctive-def*
by (*metis cond-conj-distr utp-pred.inf.commute*)

definition *FunctionalConjunctive* :: ' α Healthiness-condition \Rightarrow bool **where**
 $\text{FunctionalConjunctive}(H) \longleftrightarrow (\exists F. \forall P. H(P) = (P \wedge F(P)) \wedge \text{Monotonic}(F))$

definition *WeakConjunctive* :: ' α Healthiness-condition \Rightarrow bool **where**
 $\text{WeakConjunctive}(H) \longleftrightarrow (\forall P. \exists Q. H(P) = (P \wedge Q))$

lemma *FunctionalConjunctive-Monotonic*:
 $\text{FunctionalConjunctive}(H) \implies \text{Monotonic}(H)$
unfolding *FunctionalConjunctive-def* **by** (*metis Monotonic-def utp-pred.inf-mono*)

lemma *WeakConjunctive-Refinement*:
assumes *WeakConjunctive(HC)*
shows $P \sqsubseteq HC(P)$
using *assms unfolding WeakConjunctive-def* **by** (*metis utp-pred.inf.cobounded1*)

lemma *WeakCojunctive-Healthy-Refinement*:
assumes *WeakConjunctive(HC)* **and** *P is HC*
shows $HC(P) \sqsubseteq P$
using *assms unfolding WeakConjunctive-def Healthy-def* **by** *simp*

lemma *WeakConjunctive-implies-WeakConjunctive*:
 $\text{Conjunctive}(H) \implies \text{WeakConjunctive}(H)$
unfolding *WeakConjunctive-def Conjunctive-def* **by** *pred-tac*

declare *Conjunctive-def* [*upred-defs*]

```
declare Monotonic-def [upred-defs]
```

```
end
```

9 Example UTP theory: Boyle's laws

```
theory utp-boyle  
imports utp-theory  
begin
```

Boyle's law states that $k = p * V$ is invariant. We here encode this as a simple UTP theory. We first create a record to represent the alphabet of the theory consisting of the three variables k , p and V .

```
record alpha-boyle =  
  boyle-k :: real  
  boyle-p :: real  
  boyle-V :: real
```

For now we have to explicitly cast the fields to UTP variables using the VAR syntactic transformation function – in future we'd like to automate this. We also have to add the definition equations for these variables to the simplification set for predicates to enable automated proof through our tactics.

```
definition k = VAR boyle-k  
definition p = VAR boyle-p  
definition V = VAR boyle-V
```

```
declare k-def [upred-defs] and p-def [upred-defs] and V-def [upred-defs]
```

Next we state Boyle's law using the healthiness condition B and likewise add it to the UTP predicate definitional equation set. The syntax differs a little from UTP; we try not to override HOL constants and so UTP predicate equality is subscripted. Moreover to distinguish variables standing for a predicate (like ϕ) from variables standing for UTP variables we have to prepend the latter with an ampersand.

```
definition B( $\varphi$ ) = (( $\exists$  k  $\cdot$   $\varphi$ )  $\wedge$  ( $\&k =_u \&p * \&V$ ))
```

```
declare B-def [upred-defs]
```

We can then prove that B is both idempotent and monotone simply by application of the predicate tactic.

```
lemma B-idempotent:  
  B(B(P)) = B(P)  
by pred-tac
```

```
lemma B-monotone:  
   $X \sqsubseteq Y \implies B(X) \sqsubseteq B(Y)$   
by pred-tac
```

We also create some example observations; the first satisfies Boyle's law and the second doesn't.

```
definition  $\varphi_1$  = (( $\&p =_u 10$ )  $\wedge$  ( $\&V =_u 5$ )  $\wedge$  ( $\&k =_u 50$ ))
```

```
definition  $\varphi_2$  = (( $\&p =_u 10$ )  $\wedge$  ( $\&V =_u 5$ )  $\wedge$  ( $\&k =_u 100$ ))
```

We prove that φ_1 satisfied by Boyle's law by simplification of its definitional equation and then application of the predicate tactic.

lemma $B\text{-}\varphi_1$: φ_1 is B
by (*simp add: φ_1 -def, pred-tac*)

We prove that φ_2 does not satisfy Boyle's law by showing it's in fact equal to φ_1 . We do this via an automated Isar proof.

lemma $B\text{-}\varphi_2$: $B(\varphi_2) = \varphi_1$
proof –
have $B(\varphi_2) = B((\&p =_u 10) \wedge (\&V =_u 5) \wedge (\&k =_u 100))$
by (*simp add: φ_2 -def*)
also have $\dots = ((\exists k \cdot (\&p =_u 10) \wedge (\&V =_u 5) \wedge (\&k =_u 100)) \wedge (\&k =_u \&p * \&V))$
by *pred-tac*
also have $\dots = ((\&p =_u 10) \wedge (\&V =_u 5) \wedge (\&k =_u \&p * \&V))$
by *pred-tac*
also have $\dots = ((\&p =_u 10) \wedge (\&V =_u 5) \wedge (\&k =_u 50))$
by *pred-tac*
also have $\dots = \varphi_1$
by (*simp add: φ_1 -def*)
finally show *?thesis* .
qed
end

10 Designs

theory *utp-designs*
imports
utp-rel
utp-wp
utp-theory
begin

In UTP, in order to explicitly record the termination of a program, a subset of alphabetized relations is introduced. These relations are called designs and their alphabet should contain the special boolean observational variable *ok*. It is used to record the start and termination of a program.

10.1 Definitions

In the following, the definitions of designs alphabets, designs and healthiness (well-formedness) conditions are given. The healthiness conditions of designs are defined by $H1$, $H2$, $H3$ and $H4$.

record $\alpha\text{-}d = \text{des-}ok::\text{bool}$

The *ok* variable is defined using the syntactic translation VAR

definition $ok = VAR \text{ des-}ok$

declare $ok\text{-}def$ [*upred-defs*]

lemma $uvar\text{-}ok$ [*simp*]: $uvar\ ok$
by (*unfold-locales, simp-all add: ok-def*)

type-synonym $'\alpha$ *alphabet-d* = $'\alpha$ *alpha-d-scheme alphabet*
type-synonym $('a, '\alpha)$ *uvar-d* = $('a, '\alpha$ *alphabet-d)* *uvar*
type-synonym $(' \alpha, '\beta)$ *relation-d* = $(' \alpha$ *alphabet-d, '\beta* *alphabet-d)* *relation*
type-synonym $'\alpha$ *hrelation-d* = $'\alpha$ *alphabet-d hrelation*

definition *des-lens* :: $(' \alpha, '\alpha$ *alphabet-d)* *lens* (Σ_D) **where**
des-lens = $(\mid$ *lens-get* = *more*, *lens-put* = *fld-put more-update* $\mid)$

syntax

-svid-alpha-d :: *svid* (Σ_D)

translations

-svid-alpha-d => Σ_D

declare *des-lens-def* [*upred-defs*]

lemma *uvar-des-lens* [*simp*]: *uvar des-lens*
by (*unfold-locales*, *simp-all add: des-lens-def*)

lemma *ok-indep-des-lens* [*simp*]: *ok* \bowtie *des-lens des-lens* \bowtie *ok*
by (*rule lens-indepI*, *simp-all add: ok-def des-lens-def*)+

lemma *ok-des-bij-lens*: *bij-lens* (*ok* +_L *des-lens*)
by (*unfold-locales*, *simp-all add: ok-def des-lens-def lens-plus-def prod.case-eq-if*)

It would be nice to be able to prove some general distributivity properties about these lifting operators. I don't know if that's possible somehow...

abbreviation *lift-desr* :: $(' \alpha, '\beta)$ *relation* \Rightarrow $(' \alpha, '\beta)$ *relation-d* $(\lceil _ \rceil_D)$
where $\lceil P \rceil_D \equiv P \oplus_p (des-lens \times_L des-lens)$

abbreviation *drop-desr* :: $(' \alpha, '\beta)$ *relation-d* \Rightarrow $(' \alpha, '\beta)$ *relation* $(\lfloor _ \rfloor_D)$
where $\lfloor P \rfloor_D \equiv P \upharpoonright_p (des-lens \times_L des-lens)$

definition *design*:: $(' \alpha, '\beta)$ *relation-d* \Rightarrow $(' \alpha, '\beta)$ *relation-d* \Rightarrow $(' \alpha, '\beta)$ *relation-d* (**infixl** \vdash 60)
where $P \vdash Q = (\$ok \wedge P \Rightarrow \$ok' \wedge Q)$

An rdesign is a design that uses the Isabelle type system to prevent reference to ok in the assumption and commitment.

definition *rdesign*:: $(' \alpha, '\beta)$ *relation* \Rightarrow $(' \alpha, '\beta)$ *relation* \Rightarrow $(' \alpha, '\beta)$ *relation-d* (**infixl** \vdash_r 60)
where $(P \vdash_r Q) = \lceil P \rceil_D \vdash \lceil Q \rceil_D$

An ndesign is a normal design, i.e. where the assumption is a condition

definition *ndesign*:: $'\alpha$ *condition* \Rightarrow $(' \alpha, '\beta)$ *relation* \Rightarrow $(' \alpha, '\beta)$ *relation-d* (**infixl** \vdash_n 60)
where $(p \vdash_n Q) = (\lceil p \rceil_{<} \vdash_r Q)$

definition *skip-d* :: $'\alpha$ *hrelation-d* (Π_D)
where $\Pi_D \equiv (true \vdash_r \Pi)$

definition *assigns-d* :: $(' \alpha$ *alphabet-d)* *usubst* \Rightarrow $'\alpha$ *hrelation-d* $(\langle _ \rangle_D)$
where *assigns-d* $\sigma = (true \vdash assigns-r \sigma)$

syntax

-assignmentd :: *svid-list* \Rightarrow *uexprs* \Rightarrow *logic* (**infixr** :=_D 55)

translations

-assignmentd xs vs => CONST assigns-d (-mk-usubst (CONST id) xs vs)

definition $J :: 'α \text{ hrelation-}d$
where $J = ((\$ok \Rightarrow \$ok') \wedge \lceil II \rceil_D)$

definition $H1 (P) \equiv \$ok \Rightarrow P$

definition $H2 (P) \equiv P ;; J$

definition $H3 (P) \equiv P ;; II_D$

definition $H4 (P) \equiv ((P;;true) \Rightarrow P)$

syntax

-ok-f :: logic \Rightarrow logic $(-^f [1000] 1000)$
-ok-t :: logic \Rightarrow logic $(-^t [1000] 1000)$

translations

$P^f \Rightarrow CONST \text{ usubst } (CONST \text{ subst-upd } CONST \text{ id } (CONST \text{ ovar } CONST \text{ ok}) \text{ false}) P$
 $P^t \Rightarrow CONST \text{ usubst } (CONST \text{ subst-upd } CONST \text{ id } (CONST \text{ ovar } CONST \text{ ok}) \text{ true}) P$

definition *pre-design* :: $('α, 'β) \text{ relation-}d \Rightarrow ('α, 'β) \text{ relation } (pre_D '(-))$ **where**
 $pre_D(P) = \lfloor \neg P \llbracket true, false / \$ok, \$ok' \rrbracket \rfloor_D$

definition *post-design* :: $('α, 'β) \text{ relation-}d \Rightarrow ('α, 'β) \text{ relation } (post_D '(-))$ **where**
 $post_D(P) = \lfloor P \llbracket true, true / \$ok, \$ok' \rrbracket \rfloor_D$

definition *wp-design* :: $('α, 'β) \text{ relation-}d \Rightarrow 'β \text{ condition} \Rightarrow 'α \text{ condition}$ (**infix** wp_D 60) **where**
 $Q \text{ wp}_D r = (\lfloor pre_D(Q) \rfloor ;; true)_{<} \wedge (post_D(Q) \text{ wp } r)$

declare *design-def* [*upred-defs*]
declare *rdesign-def* [*upred-defs*]
declare *skip-d-def* [*upred-defs*]
declare *J-def* [*upred-defs*]
declare *pre-design-def* [*upred-defs*]
declare *post-design-def* [*upred-defs*]
declare *wp-design-def* [*upred-defs*]
declare *assigns-d-def* [*upred-defs*]

declare *H1-def* [*upred-defs*]
declare *H2-def* [*upred-defs*]
declare *H3-def* [*upred-defs*]
declare *H4-def* [*upred-defs*]

lemma *drop-desr-inv* [*simp*]: $\lfloor \lfloor P \rfloor_D \rfloor_D = P$
by (*simp add: arestr-aert prod-mwb-lens*)

lemma *lift-desr-inv*:

fixes $P :: ('α, 'β) \text{ relation-}d$
assumes $\$ok \# P \ \$ok' \# P$
shows $\lfloor \lfloor P \rfloor_D \rfloor_D = P$

proof –

have *bij-lens* (*des-lens* \times_L *des-lens* $+_L$ (*in-var ok* $+_L$ *out-var ok*)) :: $(-, 'α \text{ alpha-d-scheme} \times 'β \text{ alpha-d-scheme}) \text{ lens}$
(is *bij-lens* (*?P*))

```

proof –
  have  $?P \approx_L (ok +_L des\text{-}lens) \times_L (ok +_L des\text{-}lens)$  (is  $?P \approx_L ?Q$ )
    apply (simp add: in-var-def out-var-def prod-as-plus)
    apply (simp add: prod-as-plus[THEN sym])
    apply (meson lens-equiv-sym lens-equiv-trans lens-indep-prod lens-plus-comm lens-plus-prod-exchange
ok-indep-des-lens)
  done
  moreover have bij-lens ?Q
    by (simp add: ok-des-bij-lens prod-bij-lens)
  ultimately show ?thesis
    by (metis bij-lens-equiv lens-equiv-sym)
qed

with assms show ?thesis
  apply (rule-tac aext-arestr[of - in-var ok +_L out-var ok])
  apply (simp add: prod-mwb-lens)
  apply (simp)
  apply (metis alpha-in-var lens-indep-prod lens-indep-sym ok-indep-des-lens out-var-def prod-as-plus)
  using unrest-var-comp apply blast
done
qed

```

10.2 Design laws

```

lemma prod-lens-indep-in-var [simp]:
   $a \bowtie x \implies a \times_L b \bowtie in\text{-}var\ x$ 
  by (metis in-var-def in-var-indep out-in-indep out-var-def plus-pres-lens-indep prod-as-plus)

```

```

lemma prod-lens-indep-out-var [simp]:
   $b \bowtie x \implies a \times_L b \bowtie out\text{-}var\ x$ 
  by (metis in-out-indep in-var-def out-var-def out-var-indep plus-pres-lens-indep prod-as-plus)

```

```

lemma unrest-out-des-lift [unrest]:  $out\alpha \# p \implies out\alpha \# [p]_D$ 
  by (pred-tac, auto simp add: out $\alpha$ -def des-lens-def prod-lens-def)

```

```

lemma lift-dist-seq [simp]:
   $[P ;; Q]_D = ([P]_D ;; [Q]_D)$ 
  by (rel-tac, metis alpha-d.select-convs(2))

```

theorem *design-refinement:*

```

assumes
   $\$ok \# P1 \ \$ok' \# P1 \ \$ok \# P2 \ \$ok' \# P2$ 
   $\$ok \# Q1 \ \$ok' \# Q1 \ \$ok \# Q2 \ \$ok' \# Q2$ 
shows  $(P1 \vdash Q1 \sqsubseteq P2 \vdash Q2) \longleftrightarrow ('P1 \Rightarrow P2' \wedge 'P1 \wedge Q2 \Rightarrow Q1')$ 
proof –
  have  $(P1 \vdash Q1) \sqsubseteq (P2 \vdash Q2) \longleftrightarrow '(\$ok \wedge P2 \Rightarrow \$ok' \wedge Q2) \Rightarrow (\$ok \wedge P1 \Rightarrow \$ok' \wedge Q1)'$ 
    by pred-tac
  also with assms have  $\dots = '(P2 \Rightarrow \$ok' \wedge Q2) \Rightarrow (P1 \Rightarrow \$ok' \wedge Q1)'$ 
    by (subst subst-bool-split[of in-var ok], simp-all, subst-tac)
  also with assms have  $\dots = '(\neg P2 \Rightarrow \neg P1) \wedge ((P2 \Rightarrow Q2) \Rightarrow P1 \Rightarrow Q1)'$ 
    by (subst subst-bool-split[of out-var ok], simp-all, subst-tac)
  also have  $\dots \longleftrightarrow '(P1 \Rightarrow P2)' \wedge 'P1 \wedge Q2 \Rightarrow Q1'$ 
    by (pred-tac)
  finally show ?thesis .
qed

```

theorem *rdesign-refinement*:

$(P1 \vdash_r Q1 \sqsubseteq P2 \vdash_r Q2) \longleftrightarrow (P1 \Rightarrow P2' \wedge P1 \wedge Q2 \Rightarrow Q1')$

apply (*simp add: rdesign-def*)

apply (*subst design-refinement*)

apply (*simp-all add: unrest*)

apply (*pred-tac*)

apply (*metis alpha-d.select-convs(2)*) +

done

lemma *design-refine-intro*:

assumes $P1 \Rightarrow P2'$ $P1 \wedge Q2 \Rightarrow Q1'$

shows $P1 \vdash Q1 \sqsubseteq P2 \vdash Q2$

using *assms unfolding upred-defs*

by *pred-tac*

theorem *design-ok-false* [*usubst*]: $(P \vdash Q) \llbracket \text{false}/\$ok \rrbracket = \text{true}$

by (*simp add: design-def usubst*)

theorem *design-pre*:

$\$ok' \# P \Longrightarrow \neg (P \vdash Q)^f = (\$ok \wedge P^f)$

by (*simp add: design-def, subst-tac*)

(*metis (no-types, hide-lams) not-conj-deMorgans true-not-false(2) utp-pred.compl-top-eq*
utp-pred.sup.idem utp-pred.sup-compl-top)

declare *des-lens-def* [*upred-defs*]

declare *lens-create-def* [*upred-defs*]

declare *prod-lens-def* [*upred-defs*]

declare *in-var-def* [*upred-defs*]

theorem *rdesign-pre* [*simp*]: $\text{pre}_D(P \vdash_r Q) = P$

by *pred-tac*

theorem *rdesign-post* [*simp*]: $\text{post}_D(P \vdash_r Q) = (P \Rightarrow Q)$

by *pred-tac*

theorem *design-true-left-zero*: $(\text{true} ;; (P \vdash Q)) = \text{true}$

proof –

have $(\text{true} ;; (P \vdash Q)) = (\exists \text{ok}_0 \cdot \text{true} \llbracket \llcorner \text{ok}_0 \gg / \$ok' \rrbracket ;; (P \vdash Q) \llbracket \llcorner \text{ok}_0 \gg / \$ok \rrbracket)$

by (*subst segr-middle[of ok], simp-all*)

also have $\dots = ((\text{true} \llbracket \text{false}/\$ok' \rrbracket ;; (P \vdash Q) \llbracket \text{false}/\$ok \rrbracket) \vee (\text{true} \llbracket \text{true}/\$ok' \rrbracket ;; (P \vdash Q) \llbracket \text{true}/\$ok \rrbracket))$

by (*simp add: disj-comm false-alt-def true-alt-def*)

also have $\dots = ((\text{true} \llbracket \text{false}/\$ok' \rrbracket ;; \text{true}_h) \vee (\text{true} ;; ((P \vdash Q) \llbracket \text{true}/\$ok \rrbracket)))$

by (*subst-tac, rel-tac*)

also have $\dots = \text{true}$

by (*subst-tac, simp add: precond-right-unit unrest*)

finally show *?thesis* .

qed

theorem *design-composition-subst*:

assumes

$\$ok' \# P1 \ \$ok \# P2$

shows $((P1 \vdash Q1) ;; (P2 \vdash Q2)) =$

$((\neg ((\neg P1) ;; \text{true})) \wedge \neg (Q1 \llbracket \text{true}/\$ok' \rrbracket ;; (\neg P2))) \vdash (Q1 \llbracket \text{true}/\$ok' \rrbracket ;; Q2 \llbracket \text{true}/\$ok \rrbracket))$

proof –

have $((P1 \vdash Q1) ;; (P2 \vdash Q2)) = (\exists \text{ok}_0 \cdot ((P1 \vdash Q1) \llbracket \llcorner \text{ok}_0 \gg / \$ok' \rrbracket ;; (P2 \vdash Q2) \llbracket \llcorner \text{ok}_0 \gg / \$ok \rrbracket))$

by (rule seqr-middle, simp)
 also have ...
 = ((($P1 \vdash Q1$) $\llbracket false/\$ok' \rrbracket$;; ($P2 \vdash Q2$) $\llbracket false/\$ok \rrbracket$)
 \vee (($P1 \vdash Q1$) $\llbracket true/\$ok' \rrbracket$;; ($P2 \vdash Q2$) $\llbracket true/\$ok \rrbracket$))
 by (simp add: true-alt-def false-alt-def, pred-tac)
 also from assms
 have ... = ((($\$ok \wedge P1 \Rightarrow Q1$) $\llbracket true/\$ok' \rrbracket$;; ($P2 \Rightarrow \$ok' \wedge Q2$) $\llbracket true/\$ok \rrbracket$) \vee (($\neg (\$ok \wedge P1)$) ;;
 true))
 by (simp add: design-def usubst unrest, pred-tac)
 also have ... = (($\neg \$ok$;; $true_h$) \vee ($\neg P1$;; $true$) \vee ($Q1$) $\llbracket true/\$ok' \rrbracket$;; $\neg P2$) \vee ($\$ok' \wedge (Q1$) $\llbracket true/\$ok' \rrbracket$
 ;; $Q2$) $\llbracket true/\$ok \rrbracket$))
 by (rel-tac)
 also have ... = ((($\neg (\neg P1)$;; $true$) \wedge $\neg (Q1$) $\llbracket true/\$ok' \rrbracket$;; ($\neg P2$)) \vdash ($Q1$) $\llbracket true/\$ok' \rrbracket$;; $Q2$) $\llbracket true/\$ok \rrbracket$))
 by (simp add: precondition-right-unit design-def unrest, rel-tac)
 finally show ?thesis .
 qed

theorem design-composition:

assumes

$\$ok' \# P1 \ \$ok \# P2 \ \$ok' \# Q1 \ \$ok \# Q2$

shows (($P1 \vdash Q1$) ;; ($P2 \vdash Q2$)) = ((($\neg (\neg P1)$;; $true$) \wedge $\neg (Q1$;; ($\neg P2$))) \vdash ($Q1$;; $Q2$))

using assms by (simp add: design-composition-subst usubst)

theorem rdesign-composition:

(($P1 \vdash_r Q1$) ;; ($P2 \vdash_r Q2$)) = ((($\neg (\neg P1)$;; $true$) \wedge $\neg (Q1$;; ($\neg P2$))) \vdash_r ($Q1$;; $Q2$))

by (simp add: rdesign-def design-composition unrest alpha)

lemma skip-d-alt-def: $II_D = true \vdash II$

by (rel-tac)

theorem design-skip-idem [simp]:

(II_D ;; II_D) = II_D

by (simp add: skip-d-def urel-defs, pred-tac)

theorem design-composition-cond:

assumes

$out\alpha \# p1 \ \$ok \# P2 \ \$ok' \# Q1 \ \$ok \# Q2$

shows (($p1 \vdash Q1$) ;; ($P2 \vdash Q2$)) = (($p1 \wedge \neg (Q1$;; ($\neg P2$))) \vdash ($Q1$;; $Q2$))

using assms

by (simp add: design-composition unrest precondition-right-unit)

theorem rdesign-composition-cond:

assumes $out\alpha \# p1$

shows (($p1 \vdash_r Q1$) ;; ($P2 \vdash_r Q2$)) = (($p1 \wedge \neg (Q1$;; ($\neg P2$))) \vdash_r ($Q1$;; $Q2$))

using assms

by (simp add: rdesign-def design-composition-cond unrest alpha)

theorem design-composition-wp:

fixes $Q1 \ Q2$:: 'a hrelation-d

assumes

$ok \# p1 \ ok \# p2$

$\$ok \# Q1 \ \$ok' \# Q1 \ \$ok \# Q2 \ \$ok' \# Q2$

shows (($\lceil p1 \rceil_{<} \vdash Q1$) ;; ($\lceil p2 \rceil_{<} \vdash Q2$)) = (($\lceil p1 \wedge Q1 \ wp \ p2 \rceil_{<} \vdash$ ($Q1$;; $Q2$))

using assms

by (simp add: design-composition-cond unrest, rel-tac)

theorem *rdesign-composition-wp*:

fixes $Q1\ Q2 :: 'a\ hrelation$

shows $((\lceil p1 \rceil_{<} \vdash_r Q1) ;; (\lceil p2 \rceil_{<} \vdash_r Q2)) = ((\lceil p1 \wedge Q1\ wp\ p2 \rceil_{<} \vdash_r (Q1 ;; Q2))$

by (*simp add: rdesign-composition-cond unrest, rel-tac*)

theorem *rdesign-wp [wp]*:

$(\lceil p \rceil_{<} \vdash_r Q)\ wp_D\ r = (p \wedge Q\ wp\ r)$

by *rel-tac*

theorem *wpd-seq-r*:

fixes $Q1\ Q2 :: 'a\ hrelation$

shows $(\lceil p1 \rceil_{<} \vdash_r Q1 ;; \lceil p2 \rceil_{<} \vdash_r Q2)\ wp_D\ r = (\lceil p1 \rceil_{<} \vdash_r Q1)\ wp_D\ ((\lceil p2 \rceil_{<} \vdash_r Q2)\ wp_D\ r)$

apply (*simp add: wp*)

apply (*subst rdesign-composition-wp*)

apply (*simp only: wp*)

apply (*rel-tac*)

done

theorem *design-left-unit [simp]*:

$(II_D ;; P \vdash_r Q) = (P \vdash_r Q)$

by (*simp add: skip-d-def urel-defs, pred-tac*)

theorem *design-right-cond-unit [simp]*:

assumes $out\alpha \nVdash p$

shows $(p \vdash_r Q ;; II_D) = (p \vdash_r Q)$

using *assms*

by (*simp add: skip-d-def rdesign-composition-cond*)

lemma *lift-des-skip-dr-unit [simp]*:

$(\lceil P \rceil_D ;; \lceil II \rceil_D) = \lceil P \rceil_D$

$(\lceil II \rceil_D ;; \lceil P \rceil_D) = \lceil P \rceil_D$

by *rel-tac rel-tac*

lemma *assigns-d-id [simp]*: $\langle id \rangle_D = II_D$

by (*rel-tac*)

lemma *assign-d-right-comp*:

$\llbracket \$ok' \nVdash P; ok \nVdash f \rrbracket \implies ((P \vdash Q) ;; \langle f \rangle_D) = ((\neg (\neg P ;; true)) \vdash (Q ;; \langle f \rangle_a))$

apply (*simp add: assigns-d-def*)

apply (*subst design-composition-subst*)

apply (*simp-all add: unrest*)

apply (*rel-tac*)

apply (*simp add: unrest-usubst-def*)

apply (*metis alpha-d.ext-inject alpha-d.surjective alpha-d.update-convs(1)*)

done

lemma *assigns-d-comp*:

assumes $ok \nVdash f$

shows $(\langle f \rangle_D ;; \langle g \rangle_D) = \langle g \circ f \rangle_D$

using *assms*

apply (*simp add: assigns-d-def design-def*)

apply (*pred-tac*)

apply (*simp add: relcomp-unfold*)

apply (*auto*)

```

apply (simp add: relcomp-unfold)
apply (simp add: unrest-usubst-def)
apply (metis alpha-d.select-convs(1) alpha-d.surjective alpha-d.update-convs(1))
done

```

10.3 H1: No observation is allowed before initiation

lemma *H1-idem*:

```

   $H1 (H1 P) = H1(P)$ 
by pred-tac

```

lemma *H1-monotone*:

```

   $P \sqsubseteq Q \implies H1(P) \sqsubseteq H1(Q)$ 
by pred-tac

```

lemma *H1-design-skip*:

```

   $H1(II) = II_D$ 
by rel-tac

```

The H1 algebraic laws are valid only when $\alpha(R)$ is homogeneous. This should maybe be generalised.

theorem *H1-algebraic-intro*:

```

assumes
  ( $true_h ;; R = true_h$ )
  ( $II_D ;; R = R$ )
shows  $R$  is H1

```

proof –

```

have  $R = (II_D ;; R)$  by (simp add: assms(2))
also have  $\dots = (H1(II) ;; R)$ 
  by (simp add: H1-design-skip)
also have  $\dots = (\$ok \Rightarrow II) ;; R$ 
  by (simp add: H1-def)
also have  $\dots = ((\neg \$ok ;; R) \vee R)$ 
  by (simp add: impl-alt-def seqr-or-distl)
also have  $\dots = (((\neg \$ok ;; true_h) ;; R) \vee R)$ 
  by (simp add: precondition-right-unit unrest)
also have  $\dots = ((\neg \$ok ;; true_h) \vee R)$ 
  by (metis assms(1) seqr-assoc)
also have  $\dots = (\$ok \Rightarrow R)$ 
  by (simp add: impl-alt-def precondition-right-unit unrest)
finally show ?thesis by (metis H1-def Healthy-def')

```

qed

lemma *not-not-false*:

```

   $(\neg \$ok) \neq false$ 
by (pred-tac, metis alpha-d.select-convs(1))

```

theorem *H1-left-zero*:

```

assumes  $P$  is H1
shows  $(true ;; P) = true$ 

```

proof –

```

from assms have  $(true ;; P) = (true ;; (\$ok \Rightarrow P))$ 
  by (simp add: H1-def Healthy-def')

```

```

also from assms have  $\dots = (true ;; (\neg \$ok \vee P))$  (is - = (?true ;; -))

```

```

  by (simp add: impl-alt-def)
also from assms have ... = ((?true ;;  $\neg$  $ok)  $\vee$  (?true ;; P))
  using seqr-or-distr by blast
also from assms have ... = (true  $\vee$  (true ;; P))
  by (simp add: nok-not-false precondition-left-zero unrest)
finally show ?thesis
  by (rel-tac)
qed

```

theorem *H1-left-unit:*

fixes $P :: 'a \text{ hrelation-}d$

assumes P is *H1*

shows $(II_D ;; P) = P$

proof –

```

have  $(II_D ;; P) = ((\$ok \Rightarrow II) ;; P)$ 
  by (metis H1-def H1-design-skip)
also have ... =  $((\neg \$ok ;; P) \vee P)$ 
  by (simp add: impl-alt-def seqr-or-distl)
also from assms have ... =  $((\neg \$ok ;; true_h) ;; P) \vee P$ 
  by (simp add: precondition-right-unit unrest)
also have ... =  $((\neg \$ok ;; (true_h ;; P)) \vee P)$ 
  by (simp add: seqr-assoc)
also from assms have ... =  $(\$ok \Rightarrow P)$ 
  by (simp add: H1-left-zero impl-alt-def precondition-right-unit unrest)
finally show ?thesis using assms
  by (simp add: H1-def Healthy-def')

```

qed

theorem *H1-algebraic:*

P is *H1* $\longleftrightarrow (true_h ;; P) = true_h \wedge (II_D ;; P) = P$

using *H1-algebraic-intro H1-left-unit H1-left-zero* by blast

theorem *H1-nok-left-zero:*

fixes $P :: 'a \text{ hrelation-}d$

assumes P is *H1*

shows $(\neg \$ok ;; P) = (\neg \$ok)$

proof –

```

have  $(\neg \$ok ;; P) = ((\neg \$ok ;; true_h) ;; P)$ 
  by (simp add: precondition-right-unit unrest)
also have ... =  $((\neg \$ok) ;; true_h)$ 
  by (metis H1-left-zero assms seqr-assoc)
also have ... =  $(\neg \$ok)$ 
  by (simp add: precondition-right-unit unrest)
finally show ?thesis .

```

qed

lemma *H1-design:*

$H1(P \vdash Q) = (P \vdash Q)$

by (rel-tac)

lemma *H1-rdesign:*

$H1(P \vdash_r Q) = (P \vdash_r Q)$

by (rel-tac)

10.4 H2: A specification cannot require non-termination

lemma *J-split*:

shows $(P ;; J) = (P^f \vee (P^t \wedge \$ok'))$

proof –

have $(P ;; J) = (P ;; ((\$ok \Rightarrow \$ok') \wedge \lceil II \rceil_D))$

by (*simp add: H2-def J-def design-def*)

also have $\dots = (P ;; ((\$ok \Rightarrow \$ok \wedge \$ok') \wedge \lceil II \rceil_D))$

by *rel-tac*

also have $\dots = ((P ;; (\neg \$ok \wedge \lceil II \rceil_D)) \vee (P ;; (\$ok \wedge (\lceil II \rceil_D \wedge \$ok'))))$

by *rel-tac*

also have $\dots = (P^f \vee (P^t \wedge \$ok'))$

proof –

have $(P ;; (\neg \$ok \wedge \lceil II \rceil_D)) = P^f$

proof –

have $(P ;; (\neg \$ok \wedge \lceil II \rceil_D)) = ((P \wedge \neg \$ok') ;; \lceil II \rceil_D)$

by *rel-tac*

also have $\dots = (\exists \$ok' \cdot P \wedge \$ok' =_u \text{false})$

by (*rel-tac, metis (mono-tags, lifting) alpha-d.surjective alpha-d.update-convs(1)*)

also have $\dots = P^f$

by (*metis one-point out-var-uvar unrest-false uvar-ok vwb-lens-mwb*)

finally show *?thesis* .

qed

moreover have $(P ;; (\$ok \wedge (\lceil II \rceil_D \wedge \$ok'))) = (P^t \wedge \$ok')$

proof –

have $(P ;; (\$ok \wedge (\lceil II \rceil_D \wedge \$ok'))) = (P ;; (\$ok \wedge II))$

by (*rel-tac, metis alpha-d.equality*)

also have $\dots = (P^t \wedge \$ok')$

by (*rel-tac, metis (full-types) alpha-d.surjective alpha-d.update-convs(1)+*)

finally show *?thesis* .

qed

ultimately show *?thesis*

by *simp*

qed

finally show *?thesis* .

qed

lemma *H2-split*:

shows $H2(P) = (P^f \vee (P^t \wedge \$ok'))$

by (*simp add: H2-def J-split*)

theorem *H2-equivalence*:

$P \text{ is } H2 \iff 'P^f \Rightarrow P^t'$

proof –

have $'P \Leftrightarrow (P ;; J)' \iff 'P \Leftrightarrow (P^f \vee (P^t \wedge \$ok'))'$

by (*simp add: J-split*)

also from *assms* **have** $\dots \iff '(P \Leftrightarrow P^f \vee P^t \wedge \$ok')^f \wedge (P \Leftrightarrow P^f \vee P^t \wedge \$ok')^t'$

by (*simp add: subst-bool-split*)

also from *assms* **have** $\dots = '(P^f \Leftrightarrow P^f) \wedge (P^t \Leftrightarrow P^f \vee P^t)'$

by *subst-tac*

also have $\dots = 'P^t \Leftrightarrow (P^f \vee P^t)'$

by *pred-tac*

also have $\dots = '(P^f \Rightarrow P^t)'$

by *pred-tac*

finally show *?thesis* **using** *assms*

by (*metis H2-def Healthy-def' taut-iff-eq*)

qed

lemma *H2-equiv*:

$P \text{ is } H2 \longleftrightarrow P^t \sqsubseteq P^f$

using *H2-equivalence refBy-order* **by** *blast*

lemma *H2-design*:

assumes $\$ok \# P \ \$ok' \# P \ \$ok \# Q \ \$ok' \# Q$

shows $H2(P \vdash Q) = P \vdash Q$

using *assms*

by (*simp add: H2-split design-def usubst unrest, pred-tac*)

lemma *H2-rdesign*:

$H2(P \vdash_r Q) = P \vdash_r Q$

by (*simp add: H2-design unrest rdesign-def*)

theorem *J-idem*:

$(J ;; J) = J$

by (*simp add: J-def urel-defs, pred-tac*)

theorem *H2-idem*:

$H2(H2(P)) = H2(P)$

by (*metis H2-def J-idem seqr-assoc*)

theorem *H2-not-okay*: $H2(\neg \$ok) = (\neg \$ok)$

proof –

have $H2(\neg \$ok) = ((\neg \$ok)^f \vee ((\neg \$ok)^t \wedge \$ok'))$

by (*simp add: H2-split*)

also have $\dots = (\neg \$ok \vee (\neg \$ok) \wedge \$ok')$

by (*subst-tac*)

also have $\dots = (\neg \$ok)$

by *pred-tac*

finally show *?thesis* .

qed

theorem *H1-H2-commute*:

$H1(H2 P) = H2(H1 P)$

proof –

have $H2(H1 P) = ((\$ok \Rightarrow P) ;; J)$

by (*simp add: H1-def H2-def*)

also from *assms* **have** $\dots = ((\neg \$ok \vee P) ;; J)$

by *rel-tac*

also have $\dots = ((\neg \$ok ;; J) \vee (P ;; J))$

using *seqr-or-distl* **by** *blast*

also have $\dots = ((H2(\neg \$ok)) \vee H2(P))$

by (*simp add: H2-def*)

also have $\dots = ((\neg \$ok) \vee H2(P))$

by (*simp add: H2-not-okay*)

also have $\dots = H1(H2(P))$

by *rel-tac*

finally show *?thesis* **by** *simp*

qed

lemma *ok-pre*: $(\$ok \wedge \lceil pre_D(P) \rceil_D) = (\$ok \wedge (\neg P^f))$

by (*pred-tac*)

(metis (mono-tags, lifting) alpha-d.surjective alpha-d.update-convs(1) alpha-d.update-convs(2))+

lemma *ok-post*: ($\$ok \wedge [post_D(P)]_D = (\$ok \wedge (P^t))$)

by (*pred-tac*)

(metis alpha-d.cases-scheme alpha-d.ext-inject alpha-d.select-convs(1) alpha-d.select-convs(2) alpha-d.update-convs(1) alpha-d.update-convs(2))+

theorem *H1-H2-is-design*:

assumes *P is H1 P is H2*

shows $P = (\neg P^f) \vdash P^t$

proof –

from *assms* have $P = (\$ok \Rightarrow H2(P))$

by (*simp add: H1-def Healthy-def'*)

also have $\dots = (\$ok \Rightarrow (P^f \vee (P^t \wedge \$ok')))$

by (*metis H2-split*)

also have $\dots = (\$ok \wedge (\neg P^f) \Rightarrow \$ok' \wedge P^t)$

by *pred-tac*

also have $\dots = (\$ok \wedge (\neg P^f) \Rightarrow \$ok' \wedge \$ok \wedge P^t)$

by *pred-tac*

also have $\dots = (\neg P^f) \vdash P^t$

by *pred-tac*

finally show *?thesis* .

qed

theorem *H1-H2-is-rdesign*:

assumes *P is H1 P is H2*

shows $P = pre_D(P) \vdash_r post_D(P)$

proof –

from *assms* have $P = (\$ok \Rightarrow H2(P))$

by (*simp add: H1-def Healthy-def'*)

also have $\dots = (\$ok \Rightarrow (P^f \vee (P^t \wedge \$ok')))$

by (*metis H2-split*)

also have $\dots = (\$ok \wedge (\neg P^f) \Rightarrow \$ok' \wedge P^t)$

by *pred-tac*

also have $\dots = (\$ok \wedge (\neg P^f) \Rightarrow \$ok' \wedge \$ok \wedge P^t)$

by *pred-tac*

also have $\dots = (\$ok \wedge [pre_D(P)]_D \Rightarrow \$ok' \wedge \$ok \wedge [post_D(P)]_D)$

by (*simp add: ok-post ok-pre*)

also have $\dots = (\$ok \wedge [pre_D(P)]_D \Rightarrow \$ok' \wedge [post_D(P)]_D)$

by *pred-tac*

also from *assms* have $\dots = pre_D(P) \vdash_r post_D(P)$

by (*simp add: rdesign-def design-def*)

finally show *?thesis* .

qed

abbreviation *H1-H2* $P \equiv H1 (H2 P)$

lemma *rdesign-is-H1-H2*:

$(P \vdash_r Q)$ is *H1-H2*

by (*simp add: Healthy-def H1-rdesign H2-rdesign*)

lemma *seq-r-H1-H2-closed*:

assumes *P is H1-H2 Q is H1-H2*

shows $(P ;; Q)$ is *H1-H2*

proof –

obtain $P_1 P_2$ **where** $P = P_1 \vdash_r P_2$
by (*metis H1-H2-commute H1-H2-is-rdesign H2-idem Healthy-def assms(1)*)
moreover obtain $Q_1 Q_2$ **where** $Q = Q_1 \vdash_r Q_2$
by (*metis H1-H2-commute H1-H2-is-rdesign H2-idem Healthy-def assms(2)*)
moreover have $((P_1 \vdash_r P_2) ;; (Q_1 \vdash_r Q_2))$ *is H1-H2*
by (*simp add: rdesign-composition rdesign-is-H1-H2*)
ultimately show *?thesis* **by** *simp*
qed

10.5 H3: The design assumption is a precondition

theorem *H3-idem:*

$H3(H3(P)) = H3(P)$
by (*metis H3-def design-skip-idem seqr-assoc*)

theorem *rdesign-H3-iff-pre:*

$P \vdash_r Q$ *is* $H3 \iff P = (P ;; true)$

proof –

have $(P \vdash_r Q ;; II_D) = (P \vdash_r Q ;; true \vdash_r II)$
by (*simp add: skip-d-def*)
also from *assms* **have** $\dots = (\neg(\neg P ;; true) \wedge \neg(Q ;; \neg true)) \vdash_r (Q ;; II)$
by (*simp add: rdesign-composition*)
also from *assms* **have** $\dots = (\neg(\neg P ;; true) \wedge \neg(Q ;; \neg true)) \vdash_r Q$
by *simp*
also have $\dots = (\neg(\neg P ;; true)) \vdash_r Q$
by *pred-tac*
finally have $P \vdash_r Q$ *is* $H3 \iff P \vdash_r Q = (\neg(\neg P ;; true)) \vdash_r Q$
by (*metis H3-def Healthy-def'*)
also have $\dots \iff P = (\neg(\neg P ;; true))$
by (*metis rdesign-pre*)
also have $\dots \iff P = (P ;; true)$
by (*simp add: seqr-true-lemma*)
finally show *?thesis* .

qed

theorem *design-H3-iff-pre:*

assumes $\$ok \# P \$ok' \# P \$ok \# Q \$ok' \# Q$
shows $P \vdash Q$ *is* $H3 \iff P = (P ;; true)$

proof –

have $P \vdash Q = \lfloor P \rfloor_D \vdash_r \lfloor Q \rfloor_D$
by (*simp add: assms lift-desr-inv rdesign-def*)
moreover hence $\lfloor P \rfloor_D \vdash_r \lfloor Q \rfloor_D$ *is* $H3 \iff \lfloor P \rfloor_D = (\lfloor P \rfloor_D ;; true)$
using *rdesign-H3-iff-pre* **by** *blast*
ultimately show *?thesis*
by (*metis assms drop-desr-inv lift-desr-inv lift-dist-seq aext-true*)

qed

theorem *H1-H3-commute:*

$H1(H3 P) = H3(H1 P)$
by *rel-tac*

lemma *skip-d-absorb-J-1:*

$(II_D ;; J) = II_D$
by (*metis H2-def H2-rdesign skip-d-def*)

lemma *skip-d-absorb-J-2:*

$(J ;; II_D) = II_D$
proof –
 have $(J ;; II_D) = ((\$ok \Rightarrow \$ok') \wedge \lceil II \rceil_D ;; true \vdash II)$
 by (*simp add: J-def skip-d-alt-def*)
 also have $\dots = (\exists ok_0 \cdot ((\$ok \Rightarrow \$ok') \wedge \lceil II \rceil_D) \llbracket \ll ok_0 \gg / \$ok' \rrbracket ;; (true \vdash II) \llbracket \ll ok_0 \gg / \$ok \rrbracket)$
 by (*subst segr-middle[of ok], simp-all*)
 also have $\dots = (((\$ok \Rightarrow \$ok') \wedge \lceil II \rceil_D) \llbracket false / \$ok' \rrbracket ;; (true \vdash II) \llbracket false / \$ok \rrbracket)$
 $\quad \vee (((\$ok \Rightarrow \$ok') \wedge \lceil II \rceil_D) \llbracket true / \$ok' \rrbracket ;; (true \vdash II) \llbracket true / \$ok \rrbracket)$
 by (*simp add: disj-comm false-alt-def true-alt-def*)
 also have $\dots = ((\neg \$ok \wedge \lceil II \rceil_D ;; true) \vee (\lceil II \rceil_D ;; \$ok' \wedge \lceil II \rceil_D))$
 by *rel-tac*
 also have $\dots = II_D$
 by *rel-tac*
 finally show *?thesis* .
qed

lemma *H2-H3-absorb*:
 $H2 (H3 P) = H3 P$
 by (*metis H2-def H3-def segr-assoc skip-d-absorb-J-1*)

lemma *H3-H2-absorb*:
 $H3 (H2 P) = H3 P$
 by (*metis H2-def H3-def segr-assoc skip-d-absorb-J-2*)

theorem *H2-H3-commute*:
 $H2 (H3 P) = H3 (H2 P)$
 by (*simp add: H2-H3-absorb H3-H2-absorb*)

theorem *H3-design-pre*:
 assumes $\$ok \# p \text{ out}\alpha \# p \ \$ok \# Q \ \$ok' \# Q$
 shows $H3(p \vdash Q) = p \vdash Q$
 using *assms*
 by (*metis Healthy-def' design-H3-iff-pre precondition-right-unit unrest-out α -var uvar-ok vwb-lens-mwb*)

theorem *H3-rdesign-pre*:
 assumes $\text{out}\alpha \# p$
 shows $H3(p \vdash_r Q) = p \vdash_r Q$
 using *assms*
 by (*simp add: H3-def*)

theorem *H1-H3-is-rdesign*:
 assumes $P \text{ is } H1 \ P \text{ is } H3$
 shows $P = \text{pre}_D(P) \vdash_r \text{post}_D(P)$
 by (*metis H1-H2-is-rdesign H2-H3-absorb Healthy-def' assms*)

theorem *H1-H3-is-normal-design*:
 assumes $P \text{ is } H1 \ P \text{ is } H3$
 shows $P = \lfloor \text{pre}_D(P) \rfloor_{<} \vdash_n \text{post}_D(P)$
 by (*metis H1-H3-is-rdesign assms drop-pre-inv ndesign-def precondition-equiv rdesign-H3-iff-pre*)

abbreviation $H1-H3 \ p \equiv H1 (H3 \ p)$

lemma *H3-unrest-out-alpha* [*unrest*]: $P \text{ is } H1-H3 \implies \text{out}\alpha \# \text{pre}_D(P)$
 by (*metis H1-H3-commute H1-H3-is-rdesign H1-idem Healthy-def' precondition-equiv rdesign-H3-iff-pre*)

```

theorem wpd-seq-r-H1-H2 [wp]:
  fixes P Q :: ' $\alpha$  hrelation-d
  assumes P is H1-H3 Q is H1-H3
  shows (P ;; Q) wpD r = P wpD (Q wpD r)
  by (smt H1-H3-commute H1-H3-is-rdesign H1-idem Healthy-def' assms(1) assms(2) drop-pre-inv
precond-equiv rdesign-H3-iff-pre wpd-seq-r)

```

10.6 H4: Feasibility

```

theorem H4-idem:
  H4(H4(P)) = H4(P)
  by pred-tac

```

end

11 Concurrent programming

```

theory utp-concurrency
  imports utp-designs
begin

```

```

no-notation
  Sublist.parallel (infixl || 50)

```

11.1 Design parallel composition

```

definition design-par :: (' $\alpha$ , ' $\beta$ ) relation-d  $\Rightarrow$  (' $\alpha$ , ' $\beta$ ) relation-d  $\Rightarrow$  (' $\alpha$ , ' $\beta$ ) relation-d (infixr || 85)
where
P || Q = ((preD(P)  $\wedge$  preD(Q))  $\vdash_r$  (postD(P)  $\wedge$  postD(Q)))

```

```

declare design-par-def [upred-defs]

```

```

lemma parallel-zero: P || true = true
proof -
  have P || true = (preD(P)  $\wedge$  preD(true))  $\vdash_r$  (postD(P)  $\wedge$  postD(true))
    by (simp add: design-par-def)
  also have ... = (preD(P)  $\wedge$  false)  $\vdash_r$  (postD(P)  $\wedge$  true)
    by rel-tac
  also have ... = true
    by rel-tac
  finally show ?thesis .
qed

```

```

lemma parallel-assoc: P || Q || R = (P || Q) || R
  by rel-tac

```

```

lemma parallel-comm: P || Q = Q || P
  by pred-tac

```

```

lemma parallel-idem:
  assumes P is H1 P is H2
  shows P || P = P
  by (metis H1-H2-is-rdesign assms conj-idem design-par-def)

```

```

lemma parallel-mono-1:

```

assumes $P_1 \sqsubseteq P_2$ P_1 is $H1-H2$ P_2 is $H1-H2$
shows $P_1 \parallel Q \sqsubseteq P_2 \parallel Q$
proof –
have $pre_D(P_1) \vdash_r post_D(P_1) \sqsubseteq pre_D(P_2) \vdash_r post_D(P_2)$
by (*metis H1-H2-commute H1-H2-is-rdesign H1-idem Healthy-def' assms*)
hence $(pre_D(P_1) \vdash_r post_D(P_1)) \parallel Q \sqsubseteq (pre_D(P_2) \vdash_r post_D(P_2)) \parallel Q$
by (*auto simp add: rdesign-refinement design-par-def*) (*pred-tac+*)
thus *?thesis*
by (*metis H1-H2-commute H1-H2-is-rdesign H1-idem Healthy-def' assms*)
qed

lemma *parallel-mono-2*:
assumes $Q_1 \sqsubseteq Q_2$ Q_1 is $H1-H2$ Q_2 is $H1-H2$
shows $P \parallel Q_1 \sqsubseteq P \parallel Q_2$
by (*metis assms parallel-comm parallel-mono-1*)

11.2 Parallel by merge

We describe the partition of a state space into two pieces.

type-synonym $'\alpha$ *partition* = $'\alpha \times '\alpha$

definition *left-uvar* $x = x ;_L fst_L ;_L snd_L$

definition *right-uvar* $x = x ;_L snd_L ;_L snd_L$

declare *left-uvar-def* [*upred-defs*]

declare *right-uvar-def* [*upred-defs*]

Extract the *i*th element of the second part

definition *ind-uvar* $i x = x ;_L list_lens\ i ;_L snd_L ;_L des_lens$

definition *pre-uvar* $x = x ;_L fst_L$

definition *in-ind-uvar* $i x = in_var\ (ind_uvar\ i\ x)$

definition *out-ind-uvar* $i x = out_var\ (ind_uvar\ i\ x)$

definition *in-pre-uvar* $x = in_var\ (pre_uvar\ x)$

definition *out-pre-uvar* $x = out_var\ (pre_uvar\ x)$

definition *in-ind-uexpr* $i x = var\ (in_ind_uvar\ i\ x)$

definition *out-ind-uexpr* $i x = var\ (out_ind_uvar\ i\ x)$

definition *in-pre-uexpr* $x = var\ (in_pre_uvar\ x)$

definition *out-pre-uexpr* $x = var\ (out_pre_uvar\ x)$

declare *ind-uvar-def* [*upred-defs*]

declare *pre-uvar-def* [*upred-defs*]

declare *in-ind-uvar-def* [*upred-defs*]

declare *out-ind-uvar-def* [*upred-defs*]

declare *in-ind-uexpr-def* [*upred-defs*]
declare *out-ind-uexpr-def* [*upred-defs*]

declare *in-pre-uexpr-def* [*upred-defs*]
declare *out-pre-uexpr-def* [*upred-defs*]

lemma *left-uvar-indep-right-uvar* [*simp*]:
left-uvar $x \bowtie$ *right-uvar* y
apply (*simp* *add*: *left-uvar-def right-uvar-def lens-comp-assoc*[*THEN sym*])
apply (*metis in-out-indep in-var-def lens-indep-left-comp out-var-def out-var-indep uvar-des-lens vwb-lens-mwb*)
done

lemma *right-uvar-indep-left-uvar* [*simp*]:
right-uvar $x \bowtie$ *left-uvar* y
by (*simp add*: *lens-indep-sym*)

lemma *left-uvar* [*simp*]: *uvar* $x \implies$ *uvar* (*left-uvar* x)
by (*simp add*: *left-uvar-def comp-vwb-lens fst-vwb-lens snd-vwb-lens*)

lemma *right-uvar* [*simp*]: *uvar* $x \implies$ *uvar* (*right-uvar* x)
by (*simp add*: *right-uvar-def comp-vwb-lens fst-vwb-lens snd-vwb-lens*)

lemma *ind-uvar-indep* [*simp*]:
 $\llbracket \text{mwb-lens } x; i \neq j \rrbracket \implies \text{ind-uvar } i \ x \bowtie \text{ind-uvar } j \ x$
apply (*simp add*: *ind-uvar-def lens-comp-assoc*[*THEN sym*])
apply (*metis lens-indep-left-comp lens-indep-right-comp list-lens-indep out-var-def out-var-indep uvar-des-lens vwb-lens-mwb*)
done

lemma *ind-uvar-semi-uvar* [*simp*]:
semi-uvar $x \implies$ *semi-uvar* (*ind-uvar* $i \ x$)
by (*auto intro*!: *comp-mwb-lens list-mwb-lens simp add*: *ind-uvar-def snd-vwb-lens*)

lemma *in-ind-uvar-semi-uvar* [*simp*]:
semi-uvar $x \implies$ *semi-uvar* (*in-ind-uvar* $i \ x$)
by (*simp add*: *in-ind-uvar-def*)

lemma *out-ind-uvar-semi-uvar* [*simp*]:
semi-uvar $x \implies$ *semi-uvar* (*out-ind-uvar* $i \ x$)
by (*simp add*: *out-ind-uvar-def*)

declare *id-vwb-lens* [*simp*]

syntax

-svarpre $:: \text{svid} \Rightarrow \text{svid} \ (-< [999] \ 999)$
-svarleft $:: \text{svid} \Rightarrow \text{svid} \ (0-- [999] \ 999)$
-svarright $:: \text{svid} \Rightarrow \text{svid} \ (1-- [999] \ 999)$

translations

-svarpre $x == \text{CONST } \text{pre-uvar } x$
-svarleft $x == \text{CONST } \text{left-uvar } x$
-svarright $x == \text{CONST } \text{right-uvar } x$

type-synonym $'\alpha \text{ merge} = (' \alpha \times ' \alpha \text{ partition}, ' \alpha) \text{ relation-d}$

Separating simulations. I assume that the value of `ok'` should track the value of `n.ok'`.

definition $U0 = (true \vdash_r (\$0 - \Sigma' =_u \$\Sigma \wedge \$\Sigma_{<} =_u \$\Sigma))$

definition $U1 = (true \vdash_r (\$1 - \Sigma' =_u \$\Sigma \wedge \$\Sigma_{<} =_u \$\Sigma))$

declare $U0\text{-def}$ [*upred-defs*]

declare $U1\text{-def}$ [*upred-defs*]

The following implementation of parallel by merge is less general than the book version, in that it does not properly partition the alphabet into two disjoint segments. We could actually achieve this specifying lenses into the larger alphabet, but this would complicate the definition of programs. May reconsider later.

definition *par-by-merge* ::

$'\alpha \text{ hrelation-d} \Rightarrow '\alpha \text{ merge} \Rightarrow '\alpha \text{ hrelation-d} \Rightarrow '\alpha \text{ hrelation-d}$ (**infixr** \parallel - 85)

where $P \parallel_M Q = (((P ;; U0) \parallel (Q ;; U1))) ;; M$

definition $swap_m = true \vdash_r (0 - \Sigma, 1 - \Sigma := \&1 - \Sigma, \&0 - \Sigma)$

declare $One\text{-nat-def}$ [*simp del*]

declare $swap_m\text{-def}$ [*upred-defs*]

lemma $U0\text{-H1-H2}$: $U0$ is $H1\text{-H2}$

by (*simp add: U0-def rdesign-is-H1-H2*)

lemma $U0\text{-swap}$: $(U0 ;; swap_m) = U1$

apply (*simp add: U0-def swap_m-def rdesign-composition*)

apply (*subst seqr-and-distl-uj*)

using *assigns-r-swap-uj id-vwb-lens left-uvar right-uvar* **apply** *fastforce*

apply (*rel-tac*)

apply (*metis prod.collapse*)**+**

done

lemma $U1\text{-H1-H2}$: $U1$ is $H1\text{-H2}$

by (*simp add: U1-def rdesign-is-H1-H2*)

lemma $U1\text{-swap}$: $(U1 ;; swap_m) = U0$

apply (*simp add: U1-def swap_m-def rdesign-composition*)

apply (*subst seqr-and-distl-uj*)

using *assigns-r-swap-uj id-vwb-lens left-uvar right-uvar* **apply** *fastforce*

apply (*rel-tac*)

apply (*metis prod.collapse*)**+**

done

lemma *swap-merge-par-distl*:

assumes P is $H1\text{-H2}$ Q is $H1\text{-H2}$

shows $((P \parallel Q) ;; swap_m) = (P ;; swap_m) \parallel (Q ;; swap_m)$

proof –

obtain $P_1 P_2$ **where** $P: P = P_1 \vdash_r P_2$

by (*metis H1-H2-commute H1-H2-is-rdesign H2-idem Healthy-def assms(1)*)

obtain $Q_1 Q_2$ **where** $Q: Q = Q_1 \vdash_r Q_2$

by (*metis H1-H2-commute H1-H2-is-rdesign H2-idem Healthy-def assms(2)*)

have $((P_1 \vdash_r P_2) \parallel (Q_1 \vdash_r Q_2)) ;; swap_m =$

$(\neg (\neg P_1 \vee \neg Q_1 ;; true)) \vdash_r ((P_1 \Rightarrow P_2) \wedge (Q_1 \Rightarrow Q_2) ;; \langle \&0 - \Sigma \mapsto_s \&1 - \Sigma, \&1 - \Sigma \mapsto_s \&0 - \Sigma \rangle_a)$

```

    by (simp add: design-par-def swapm-def rdesign-composition)
    also have ... = (¬ (¬ P1 ∨ ¬ Q1 ;; true)) ⊢r (((P1 ⇒ P2) ;; ⟨[&0-Σ ↦s &1-Σ, &1-Σ ↦s &0-Σ]⟩a) ∧ ((Q1 ⇒ Q2) ;; ⟨[&0-Σ ↦s &1-Σ, &1-Σ ↦s &0-Σ]⟩a))
    apply (subst seqr-and-distl-ujinj)
    using assigns-r-swap-ujinj id-vwb-lens left-uvar right-uvar apply fastforce
    apply (simp)
done

also have ... = ((P1 ⊢r P2) ;; swapm) || ((Q1 ⊢r Q2) ;; swapm)
  by (simp add: design-par-def swapm-def rdesign-composition, rel-tac)

finally show ?thesis
  using P Q by blast
qed

lemma par-by-merge-left-zero:
  assumes M is H1
  shows true ||M P = true
proof -
  have true ||M P = ((true ;; U0) || (P ;; U1) ;; M) (is - = ((?P || ?Q) ;; ?M))
    by (simp add: par-by-merge-def)
  moreover have ?P = true
    by (rel-tac, meson alpha-d.select-convs(1))
  ultimately show ?thesis
    by (metis H1-left-zero assms parallel-comm parallel-zero)
qed

lemma par-by-merge-right-zero:
  assumes M is H1
  shows P ||M true = true
proof -
  have P ||M true = ((P ;; U0) || (true ;; U1) ;; M) (is - = ((?P || ?Q) ;; ?M))
    by (simp add: par-by-merge-def)
  moreover have ?Q = true
    by (rel-tac, meson alpha-d.select-convs(1))
  ultimately show ?thesis
    by (metis H1-left-zero assms parallel-comm parallel-zero)
qed

lemma par-by-merge-commute:
  assumes P is H1-H2 Q is H1-H2 M = (swapm ;; M)
  shows P ||M Q = Q ||M P
proof -
  have P ||M Q = (((P ;; U0) || (Q ;; U1)) ;; M)
    by (simp add: par-by-merge-def)
  also have ... = (((P ;; U0) || (Q ;; U1)) ;; swapm) ;; M
    by (metis assms(3) seqr-assoc)
  also have ... = (((P ;; U0 ;; swapm) || (Q ;; U1 ;; swapm)) ;; M)
    by (simp add: U0-def U1-def assms(1) assms(2) rdesign-is-H1-H2 seq-r-H1-H2-closed seqr-assoc swap-merge-par-distl)
  also have ... = (((P ;; U1) || (Q ;; U0)) ;; M)
    by (simp add: U0-swap U1-swap)
  also have ... = Q ||M P
    by (simp add: par-by-merge-def parallel-comm)
  finally show ?thesis .

```

qed

lemma *par-by-merge-mono-1*:

assumes $P_1 \sqsubseteq P_2$ P_1 is $H1-H2$ P_2 is $H1-H2$

shows $P_1 \parallel_M Q \sqsubseteq P_2 \parallel_M Q$

using *assms*

by (*auto intro: seqr-mono parallel-mono-1 seq-r-H1-H2-closed U0-H1-H2 U1-H1-H2 simp add: par-by-merge-def*)

lemma *par-by-merge-mono-2*:

assumes $Q_1 \sqsubseteq Q_2$ Q_1 is $H1-H2$ Q_2 is $H1-H2$

shows $P \parallel_M Q_1 \sqsubseteq P \parallel_M Q_2$

using *assms*

by (*auto intro: seqr-mono parallel-mono-2 seq-r-H1-H2-closed U0-H1-H2 U1-H1-H2 simp add: par-by-merge-def*)

end

12 Reactive processes

theory *utp-reactive*

imports

utp-concurrency

utp-event

begin

12.1 Preliminaries

type-synonym $'\alpha$ *trace* = $'\alpha$ *list*

fun *list-diff* :: $'\alpha$ *list* \Rightarrow $'\alpha$ *list* \Rightarrow $'\alpha$ *list option* **where**

list-diff l [] = *Some* l

| *list-diff* [] l = *None*

| *list-diff* ($x \# xs$) ($y \# ys$) = (*if* ($x = y$) *then* (*list-diff* xs ys) *else* *None*)

lemma *list-diff-empty* [*simp*]: *the* (*list-diff* l []) = l

by (*cases* l) *auto*

lemma *prefix-subst* [*simp*]: $l @ t = m \Longrightarrow m - l = t$

by (*auto*)

lemma *prefix-subst1* [*simp*]: $m = l @ t \Longrightarrow m - l = t$

by (*auto*)

The definitions of reactive process alphabets and healthiness conditions are given in the following. The healthiness conditions of reactive processes are defined by $R1$, $R2$, $R3$ and their composition R .

type-synonym $'\vartheta$ *refusal* = $'\vartheta$ *set*

record $'\vartheta$ *alpha-rp* = *alpha-d* +

rp-wait :: *bool*

rp-tr :: $'\vartheta$ *trace*

rp-ref :: $'\vartheta$ *refusal*

type-synonym $('\vartheta, '\alpha)$ *alphabet-rp* = $('\vartheta, '\alpha)$ *alpha-rp-scheme alphabet*

type-synonym $('\vartheta, '\alpha, '\beta)$ *relation-rp* = $(('\vartheta, '\alpha)$ *alphabet-rp*, $('\vartheta, '\beta)$ *alphabet-rp*) *relation*

type-synonym (ϑ, α) *hrelation-rp* = $((\vartheta, \alpha)$ *alphabet-rp*, (ϑ, α) *alphabet-rp*) *relation*

type-synonym (ϑ, σ) *predicate-rp* = (ϑ, σ) *alphabet-rp upred*

definition *wait* = *VAR rp-wait*

definition *tr* = *VAR rp-tr*

definition *ref* = *VAR rp-ref*

definition *wait_R* = (*wait* /_L Σ_D)

definition *tr_R* = (*tr* /_L Σ_D)

definition *ref_R* = (*ref* /_L Σ_D)

declare *wait-def* [*upred-defs*]

declare *tr-def* [*upred-defs*]

declare *ref-def* [*upred-defs*]

lemma *tr-ok-indep* [*simp*]: *tr* \bowtie *ok* *ok* \bowtie *tr*
by (*auto intro!*: *lens-indepI*, *pred-tac+*)

lemma *wait-ok-indep* [*simp*]: *wait* \bowtie *ok* *ok* \bowtie *wait*
by (*auto intro!*: *lens-indepI*, *pred-tac+*)

lemma *ref-ok-indep* [*simp*]: *ref* \bowtie *ok* *ok* \bowtie *ref*
by (*auto intro!*: *lens-indepI*, *pred-tac+*)

lemma *tr-wait-indep* [*simp*]: *tr* \bowtie *wait* *wait* \bowtie *tr*
by (*auto intro!*: *lens-indepI*, *pred-tac+*)

lemma *ref-wait-indep* [*simp*]: *ref* \bowtie *wait* *wait* \bowtie *ref*
by (*auto intro!*: *lens-indepI*, *pred-tac+*)

lemma *tr-ref-indep* [*simp*]: *ref* \bowtie *tr* *tr* \bowtie *ref*
by (*auto intro!*: *lens-indepI*, *pred-tac+*)

instantiation *alpha-rp-ext* :: (*type*, *vst*) *vst*

begin

definition *get-vstore-alpha-rp-ext* :: (*a*, *b*) *alpha-rp-ext* \Rightarrow *vstore*

where [*simp*]: *get-vstore-alpha-rp-ext* *x* = *get-vstore* (*alpha-rp.more* (*alpha-d.extend* *undefined* *x*))

definition *put-vstore-alpha-rp-ext* :: (*a*, *b*) *alpha-rp-ext* \Rightarrow *vstore* \Rightarrow (*a*, *b*) *alpha-rp-ext*

where [*simp*]: *put-vstore-alpha-rp-ext* *s* *x* = *alpha-d.more* (*alpha-rp.more-update* ($\lambda v.$ *put-vstore* *v* *x*) (*alpha-d.extend* *undefined* *s*))

instance

apply (*intro-classes*, *auto simp add*: *alpha-rp.defs* *alpha-d.defs*)

apply (*metis* *alpha-d.select-convs*(2) *alpha-rp.select-convs*(4) *alpha-rp.surjective* *alpha-rp.update-convs*(4) *put-get-vstore*)

apply (*metis* (*no-types*, *lifting*) *alpha-d.select-convs*(2) *alpha-rp.surjective* *alpha-rp.update-convs*(4) *get-put-vstore*)

apply (*metis* (*no-types*, *lifting*) *alpha-d.select-convs*(2) *alpha-rp.surjective* *alpha-rp.update-convs*(4) *put-put-vstore*)

done

end

lemma *uvar-wait* [*simp*]: *uvar* *wait*
by (*unfold-locales*, *simp-all add*: *wait-def*)

lemma *uvar-tr* [*simp*]: *uvar* *tr*

by (*unfold-locales*, *simp-all add: tr-def*)

lemma *uvar-ref* [*simp*]: *uvar ref*
by (*unfold-locales*, *simp-all add: ref-def*)

abbreviation *wait-f*::('θ, 'α, 'β) *relation-rp* ⇒ ('θ, 'α, 'β) *relation-rp* (-_f [1000] 1000)
where *wait-f* *R* ≡ *R*[[*false*/\$*wait*]]

abbreviation *wait-t*::('θ, 'α, 'β) *relation-rp* ⇒ ('θ, 'α, 'β) *relation-rp* (-_t [1000] 1000)
where *wait-t* *R* ≡ *R*[[*true*/\$*wait*]]

lift-definition *lift-rea* :: ('α, 'β) *relation* ⇒ ('θ, 'α, 'β) *relation-rp* ([·]_R) **is**
λ *P* (*A*, *A'*). *P* (*more A*, *more A'*) .

lift-definition *drop-rea* :: ('θ, 'α, 'β) *relation-rp* ⇒ ('α, 'β) *relation* ([·]_R) **is**
λ *P* (*A*, *A'*). *P* (⟦ *des-ok* = *True*, *rp-wait* = *True*, *rp-tr* = [], *rp-ref* = {}, ... = *A* ⟧,
⟦ *des-ok* = *True*, *rp-wait* = *True*, *rp-tr* = [], *rp-ref* = {}, ... = *A'* ⟧) .

12.2 R1: Events cannot be undone

definition *R1-def* [*upred-defs*]: *R1* (*P*) = (*P* ∧ (\$*tr* ≤_u \$*tr*'))

lemma *R1-idem*: *R1*(*R1*(*P*)) = *R1*(*P*)
by *pred-tac*

lemma *R1-mono*: *P* ⊆ *Q* ⇒ *R1*(*P*) ⊆ *R1*(*Q*)
by *pred-tac*

lemma *R1-conj*: *R1*(*P* ∧ *Q*) = (*R1*(*P*) ∧ *R1*(*Q*))
by *pred-tac*

lemma *R1-disj*: *R1*(*P* ∨ *Q*) = (*R1*(*P*) ∨ *R1*(*Q*))
by *pred-tac*

lemma *R1-extend-conj*: *R1*(*P* ∧ *Q*) = (*R1*(*P*) ∧ *Q*)
by *pred-tac*

lemma *R1-cond*: *R1*(*P* ◁ *b* ▷ *Q*) = (*R1*(*P*) ◁ *b* ▷ *R1*(*Q*))
by *rel-tac*

lemma *R1-negate-R1*: *R1*(¬ *R1*(*P*)) = *R1*(¬ *P*)
by *pred-tac*

lemma *R1-wait-true*: (*R1* *P*)_t = *R1*(*P*)_t
by *pred-tac*

lemma *R1-wait-false*: (*R1* *P*)_f = *R1*(*P*)_f
by *pred-tac*

lemma *R1-skip*: *R1*(*II*) = *II*
by *rel-tac*

lemma *R1-by-refinement*:
P is *R1* ⇔ ((\$*tr* ≤_u \$*tr*')) ⊆ *P*
by *rel-tac*

lemma *tr-le-trans*:

$(\$tr \leq_u \$tr' ;; \$tr \leq_u \$tr') = (\$tr \leq_u \$tr')$
by (*rel-tac*, *metis alpha-rp.select-convs(2) order-refl*)

lemma *R1-seqr-closure*:

assumes *P is R1 Q is R1*
shows $(P ;; Q)$ *is R1*
using *assms unfolding R1-by-refinement*
by (*metis seqr-mono tr-le-trans*)

lemma *R1-ok'-true*: $(R1(P))^t = R1(P^t)$

by *pred-tac*

lemma *R1-ok'-false*: $(R1(P))^f = R1(P^f)$

by *pred-tac*

lemma *R1-ok-true*: $(R1(P))\llbracket true/\$ok \rrbracket = R1(P\llbracket true/\$ok \rrbracket)$

by *pred-tac*

lemma *R1-ok-false*: $(R1(P))\llbracket false/\$ok \rrbracket = R1(P\llbracket false/\$ok \rrbracket)$

by *pred-tac*

lemma *seqr-R1-true-right*: $((P ;; R1(true)) \vee P) = (P ;; (\$tr \leq_u \$tr'))$

by *rel-tac*

12.3 R2

definition *R2s-def* [*upred-defs*]: $R2s(P) = (P\llbracket \langle \rangle / \$tr \rrbracket \llbracket (\$tr' - \$tr) / \$tr' \rrbracket)$

definition *R2-def* [*upred-defs*]: $R2(P) = R1(R2s(P))$

lemma *R2s-idem*: $R2s(R2s(P)) = R2s(P)$

by (*pred-tac*)

lemma *R2-idem*: $R2(R2(P)) = R2(P)$

by (*pred-tac*)

lemma *R2-mono*: $P \sqsubseteq Q \implies R2(P) \sqsubseteq R2(Q)$

by (*pred-tac*)

lemma *R2s-conj*: $R2s(P \wedge Q) = (R2s(P) \wedge R2s(Q))$

by (*pred-tac*)

lemma *R2-conj*: $R2(P \wedge Q) = (R2(P) \wedge R2(Q))$

by (*pred-tac*)

lemma *R2s-condr*: $R2s(P \triangleleft b \triangleright Q) = (R2s(P) \triangleleft R2s(b) \triangleright R2s(Q))$

by *rel-tac*

lemma *R2-condr*: $R2(P \triangleleft b \triangleright Q) = (R2(P) \triangleleft R2(b) \triangleright R2(Q))$

by *rel-tac*

lemma *tr-prefix-as-concat*: $(xs \leq_u ys) = (\exists zs \cdot ys =_u xs \hat{\ }_u \ll zs \gg)$

by (*rel-tac*, *simp add: less-eq-list-def prefixeq-def*)

lemma *R2-form*:

$R2(P) = (\exists tt \cdot P\llbracket \langle \rangle / \$tr \rrbracket \llbracket \ll tt \gg / \$tr' \rrbracket \wedge \$tr' =_u \$tr \hat{\ }_u \ll tt \gg)$

by (*rel-tac*, *metis prefix-subst strict-prefixE*)

lemma *uconc-left-unit* [*simp*]: $\langle \rangle \hat{^}_u e = e$
by *pred-tac*

lemma *uconc-right-unit* [*simp*]: $e \hat{^}_u \langle \rangle = e$
by *pred-tac*

This laws is proven only for homogeneous relations, can it be generalised?

lemma *R2-seqr-form*:

fixes $P Q :: ('t, 'a, 'a) \text{ relation-rp}$

shows $(R2(P) ;; R2(Q)) =$

$$(\exists tt_1 \cdot \exists tt_2 \cdot ((P[\langle \rangle / \$tr][\ll tt_1 \gg / \$tr']) ;; (Q[\langle \rangle / \$tr][\ll tt_2 \gg / \$tr']))) \\ \wedge (\$tr' =_u \$tr \hat{^}_u \ll tt_1 \gg \hat{^}_u \ll tt_2 \gg))$$

proof –

have $(R2(P) ;; R2(Q)) = (\exists tr_0 \cdot (R2(P))[\ll tr_0 \gg / \$tr']) ;; (R2(Q))[\ll tr_0 \gg / \$tr'])$
by (*subst seqr-middle*[*of tr*], *simp-all*)

also have ... =

$$(\exists tr_0 \cdot \exists tt_1 \cdot \exists tt_2 \cdot ((P[\langle \rangle / \$tr][\ll tt_1 \gg / \$tr'] \wedge \ll tr_0 \gg =_u \$tr \hat{^}_u \ll tt_1 \gg) ;; \\ (Q[\langle \rangle / \$tr][\ll tt_2 \gg / \$tr'] \wedge \$tr' =_u \ll tr_0 \gg \hat{^}_u \ll tt_2 \gg)))$$

by (*simp add: R2-form usubst unrest uquant-lift, rel-tac*)

also have ... =

$$(\exists tr_0 \cdot \exists tt_1 \cdot \exists tt_2 \cdot ((\ll tr_0 \gg =_u \$tr \hat{^}_u \ll tt_1 \gg \wedge P[\langle \rangle / \$tr][\ll tt_1 \gg / \$tr']) ;; \\ (Q[\langle \rangle / \$tr][\ll tt_2 \gg / \$tr'] \wedge \$tr' =_u \ll tr_0 \gg \hat{^}_u \ll tt_2 \gg)))$$

by (*simp add: conj-comm*)

also have ... =

$$(\exists tt_1 \cdot \exists tt_2 \cdot \exists tr_0 \cdot ((P[\langle \rangle / \$tr][\ll tt_1 \gg / \$tr']) ;; (Q[\langle \rangle / \$tr][\ll tt_2 \gg / \$tr']) \\ \wedge \ll tr_0 \gg =_u \$tr \hat{^}_u \ll tt_1 \gg \wedge \$tr' =_u \ll tr_0 \gg \hat{^}_u \ll tt_2 \gg))$$

by (*simp add: seqr-pre-out seqr-post-out unrest, rel-tac*)

also have ... =

$$(\exists tt_1 \cdot \exists tt_2 \cdot ((P[\langle \rangle / \$tr][\ll tt_1 \gg / \$tr']) ;; (Q[\langle \rangle / \$tr][\ll tt_2 \gg / \$tr'])) \\ \wedge (\exists tr_0 \cdot \ll tr_0 \gg =_u \$tr \hat{^}_u \ll tt_1 \gg \wedge \$tr' =_u \ll tr_0 \gg \hat{^}_u \ll tt_2 \gg))$$

by *rel-tac*

also have ... =

$$(\exists tt_1 \cdot \exists tt_2 \cdot ((P[\langle \rangle / \$tr][\ll tt_1 \gg / \$tr']) ;; (Q[\langle \rangle / \$tr][\ll tt_2 \gg / \$tr'])) \\ \wedge (\$tr' =_u \$tr \hat{^}_u \ll tt_1 \gg \hat{^}_u \ll tt_2 \gg))$$

by *rel-tac*

finally show *?thesis* .

qed

lemma *R2-seqr-distribute*:

fixes $P Q :: ('t, 'a, 'a) \text{ relation-rp}$

shows $R2(R2(P) ;; R2(Q)) = (R2(P) ;; R2(Q))$

proof –

have $R2(R2(P) ;; R2(Q)) =$

$$((\exists tt_1 \cdot \exists tt_2 \cdot (P[\langle \rangle / \$tr][\ll tt_1 \gg / \$tr']) ;; Q[\langle \rangle / \$tr][\ll tt_2 \gg / \$tr'])[(\$tr' - \$tr) / \$tr'] \\ \wedge \$tr' - \$tr =_u \ll tt_1 \gg \hat{^}_u \ll tt_2 \gg) \wedge \$tr' \geq_u \$tr)$$

by (*simp add: R2-seqr-form, simp add: R2s-def usubst unrest, rel-tac*)

also have ... =

$$((\exists tt_1 \cdot \exists tt_2 \cdot (P[\langle \rangle / \$tr][\ll tt_1 \gg / \$tr']) ;; Q[\langle \rangle / \$tr][\ll tt_2 \gg / \$tr'])[(\ll tt_1 \gg \hat{^}_u \ll tt_2 \gg) / \$tr'] \\ \wedge \$tr' - \$tr =_u \ll tt_1 \gg \hat{^}_u \ll tt_2 \gg) \wedge \$tr' \geq_u \$tr)$$

by (*subst subst-eq-replace, simp*)

also have ... =

$$((\exists tt_1 \cdot \exists tt_2 \cdot (P[\langle \rangle / \$tr][\ll tt_1 \gg / \$tr']) ;; Q[\langle \rangle / \$tr][\ll tt_2 \gg / \$tr']) \\ \wedge \$tr' - \$tr =_u \ll tt_1 \gg \hat{^}_u \ll tt_2 \gg) \wedge \$tr' \geq_u \$tr)$$

by (*simp add: usubst unrest*)
 also have ... =
 ($\exists \text{ } tt_1 \cdot \exists \text{ } tt_2 \cdot (P[\langle \rangle / \$tr][\ll tt_1 \gg / \$tr'] ;; Q[\langle \rangle / \$tr][\ll tt_2 \gg / \$tr'])$
 $\wedge (\$tr' - \$tr =_u \ll tt_1 \gg \hat{}_u \ll tt_2 \gg \wedge \$tr' \geq_u \$tr)$)
 by *pred-tac*
 also have ... =
 ($(\exists \text{ } tt_1 \cdot \exists \text{ } tt_2 \cdot (P[\langle \rangle / \$tr][\ll tt_1 \gg / \$tr'] ;; Q[\langle \rangle / \$tr][\ll tt_2 \gg / \$tr'])$
 $\wedge \$tr' =_u \$tr \hat{}_u \ll tt_1 \gg \hat{}_u \ll tt_2 \gg)$)
 proof -
 have $\bigwedge \text{ } tt_1 \text{ } tt_2. (((\$tr' - \$tr =_u \ll tt_1 \gg \hat{}_u \ll tt_2 \gg) \wedge \$tr' \geq_u \$tr) :: ('\vartheta, '\alpha, '\alpha) \text{ relation-rp})$
 $= (\$tr' =_u \$tr \hat{}_u \ll tt_1 \gg \hat{}_u \ll tt_2 \gg)$
 by (*rel-tac, metis prefix-subst strict-prefixE*)
 thus ?thesis by *simp*
 qed
 also have ... = ($R2(P) ;; R2(Q)$)
 by (*simp add: R2-seqr-form*)
 finally show ?thesis .
 qed

lemma *R1-R2-commute*:
 $R1(R2(P)) = R2(R1(P))$
 by *pred-tac*

12.4 R3

definition *skip-rea-def* [*urel-defs*]: $II_r = (II \vee (\neg \$ok \wedge \$tr \leq_u \$tr'))$

definition *R3-def* [*upred-defs*]: $R3(P) = (II \triangleleft \$wait \triangleright P)$

definition *R3c-def* [*upred-defs*]: $R3c(P) = (II_r \triangleleft \$wait \triangleright P)$

definition *RH-def* [*upred-defs*]: $RH(P) = R1(R2(R3c(P)))$

lemma *R3-idem*: $R3(R3(P)) = R3(P)$
 by *rel-tac*

lemma *R3-mono*: $P \sqsubseteq Q \implies R3(P) \sqsubseteq R3(Q)$
 by *rel-tac*

lemma *R3-conj*: $R3(P \wedge Q) = (R3(P) \wedge R3(Q))$
 by *rel-tac*

lemma *R3-disj*: $R3(P \vee Q) = (R3(P) \vee R3(Q))$
 by *rel-tac*

lemma *R3-condr*: $R3(P \triangleleft b \triangleright Q) = (R3(P) \triangleleft b \triangleright R3(Q))$
 by *rel-tac*

lemma *R3-skipr*: $R3(II) = II$
 by *rel-tac*

lemma *R3-form*: $R3(P) = ((\$wait \wedge II) \vee (\neg \$wait \wedge P))$
 by *rel-tac*

lemma *R3-semir-form*:
 $(R3(P) ;; R3(Q)) = R3(P ;; R3(Q))$

by *rel-tac*

lemma *R3-semir-closure*:
 assumes *P is R3 Q is R3*
 shows *(P ;; Q) is R3*
 using *assms*
 by (*metis Healthy-def' R3-semir-form*)

lemma *R1-R3-commute*: $R1(R3(P)) = R3(R1(P))$
 by *rel-tac*

lemma *R2-R3-commute*: $R2(R3(P)) = R3(R2(P))$
 by (*rel-tac*, (*metis (no-types, lifting) alpha-rp.surjective alpha-rp.update-convs(2) append-Nil2 prefix-subst strict-prefixE*)+)

lemma *R2-R3c-commute*: $R2(R3c(P)) = R3c(R2(P))$
 by (*rel-tac*, (*metis (no-types, lifting) alpha-rp.surjective alpha-rp.update-convs(2) append-Nil2 append-minus strict-prefixE*)+)

lemma *R3c-idem*: $R3c(R3c(P)) = R3c(P)$
 by *rel-tac*

lemma *R1-skip-rea*: $R1(II_r) = II_r$
 by *rel-tac*

lemma *R2-skip-rea*: $R2(II_r) = II_r$
 apply (*rel-tac*)
 apply (*metis (no-types, lifting) alpha-rp.surjective alpha-rp.update-convs(2) append-Nil2 prefix-subst strict-prefixE*)
 done

end