# Mathematical Toolkit for Isabelle/UTP

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# Abstract

This document describes our mathematical toolkit for Isabelle/UTP, which provides a foundational collection of definition, theorems, and proof facilities. This includes extensions to existing HOL libraries, such as for list and partial functions, and also new type definitions, theorems, and Isabelle/HOL commands.

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# 1 Introduction

This document contains the description of our mathematical toolkit for Isabelle/UTP [2, 4, 5, 8], a mechanisation of Hoare and He's *Unifying Theories of Programming* [6, 1]. The toolkit provides a foundational collection of additional HOL theorems, new abstract types, and proof facilities, upon which Isabelle/UTP depends. In brief, the toolkit contains the following principal items:

- additional laws and functions for the list, map (partial functions), countable set, and finite set types;
- type definitions for partial and finite functions, together with additional functions and laws derived from the Z mathematical toolkit [7];
- positive subtypes of existing types;
- trace algebras, which underlie generalised reactive processes in UTP [3];
- infinite sequences;
- injection universes;
- the "total recall" package, which allows us to precisely control overriding of existing syntax annotations.

A few other theories exist that add smaller utilities and additional laws.

# 2 Lists: extra functions and properties

```
\begin{array}{c} \textbf{theory } \textit{List-Extra} \\ \textbf{imports} \\ \textit{Main} \\ \textit{HOL-Library.Sublist} \\ \textit{HOL-Library.Monad-Syntax} \\ \textit{HOL-Library.Prefix-Order} \\ \textbf{begin} \end{array}
```

#### 2.1 Useful Abbreviations

**abbreviation** *list-sum*  $xs \equiv foldr (op +) xs \theta$ 

# 2.2 List Lookup

The following variant of the standard nth function returns  $\perp$  if the index is out of range.

```
primrec
  nth\text{-}el :: 'a \ list \Rightarrow nat \Rightarrow 'a \ option \ (-\langle -\rangle_l \ [90, \ 0] \ 91)
where
  [\langle i \rangle_l = None
|(x \# xs)\langle i\rangle_l = (case \ i \ of \ 0 \Rightarrow Some \ x \mid Suc \ j \Rightarrow xs \ \langle j\rangle_l)
lemma nth-el-appendl[simp]: i < length <math>xs \Longrightarrow (xs @ ys)\langle i \rangle_l = xs\langle i \rangle_l
  apply (induct xs arbitrary: i)
   apply simp
  apply (case-tac \ i)
   apply simp-all
  done
lemma nth-el-appendr[simp]: length xs \leq i \Longrightarrow (xs @ ys)\langle i \rangle_l = ys\langle i - length xs \rangle_l
  apply (induct xs arbitrary: i)
   apply simp
  apply (case-tac \ i)
  apply simp-all
  done
```

#### 2.3 Extra List Theorems

### 2.3.1 Map

```
lemma map-nth-Cons-atLeastLessThan:
map\ (nth\ (x\ \#\ xs))\ [Suc\ m..< n] = map\ (nth\ xs)\ [m..< n-1]
proof —
have nth\ xs = nth\ (x\ \#\ xs)\circ Suc
by auto
hence map\ (nth\ xs)\ [m..< n-1] = map\ (nth\ (x\ \#\ xs)\circ Suc)\ [m..< n-1]
by simp
also have ... = map\ (nth\ (x\ \#\ xs))\ (map\ Suc\ [m..< n-1])
by simp
also have ... = map\ (nth\ (x\ \#\ xs))\ [Suc\ m..< n]
by (metis\ Suc\ diff-1\ le-0\ eq\ length-upt\ list.simps(8)\ list.size(3)\ map\ Suc\ upt\ not\ less\ upt\ 0)
finally show ?thesis ..
```

#### 2.3.2 Sorted Lists

```
lemma sorted-last [simp]: [x \in set \ xs; \ sorted \ xs] \implies x \le last \ xs apply (induct xs) apply (auto) apply (metis last-in-set sorted-Cons)+ done lemma sorted-map: [sorted \ xs; \ mono \ f] \implies sorted \ (map \ f \ xs) by (simp add: monoD sorted-equals-nth-mono)
```

```
lemma sorted-distinct [intro]: [sorted (xs); distinct(xs)] \implies (\forall i < length xs - 1. xs!i < xs!(i + 1))
 apply (induct xs)
 apply (auto)
 apply (metis Suc-mono distinct.simps(2) length-Cons lessI less-SucI less-le nth-Cons-Suc nth-eq-iff-index-eq
sorted-equals-nth-mono)
done
Is the given list a permutation of the given set?
definition is-sorted-list-of-set :: ('a::ord) set \Rightarrow 'a list \Rightarrow bool where
is-sorted-list-of-set A xs = ((\forall i < length(xs) - 1. xs!i < xs!(i + 1)) \land set(xs) = A)
lemma sorted-is-sorted-list-of-set:
 assumes is-sorted-list-of-set A xs
 shows sorted(xs) and distinct(xs)
using assms proof (induct xs arbitrary: A)
 show sorted []
   by auto
next
 show distinct []
   by auto
next
 \mathbf{fix} \ A :: 'a \ set
 {f case} \ ({\it Cons} \ x \ xs) \ {f note} \ {\it hyps} = {\it this}
 assume isl: is-sorted-list-of-set A (x \# xs)
 hence srt: (\forall i < length xs - Suc 0. xs ! i < xs ! Suc i)
   using less-diff-conv by (auto simp add: is-sorted-list-of-set-def)
  with hyps(1) have srtd: sorted xs
   by (simp add: is-sorted-list-of-set-def)
  with isl show sorted (x \# xs)
   apply (auto simp add: is-sorted-list-of-set-def)
   apply (metis length-pos-if-in-set less-imp-le nth-Cons-0 sorted.simps sorted-many sorted-single)
  done
 from srt\ hyps(2) have distinct\ xs
   by (simp add: is-sorted-list-of-set-def)
 with isl show distinct (x \# xs)
 proof -
   have (\forall n. \neg n < length (x \# xs) - 1 \lor (x \# xs) ! n < (x \# xs) ! (n + 1)) \land set (x \# xs) = A
     by (meson (is-sorted-list-of-set\ A\ (x\ \#\ xs)) is-sorted-list-of-set-def)
 then show ?thesis
     by (metis Nat.add-0-right One-nat-def (distinct xs) (sorted (x \# xs)) add-Suc-right diff-Suc-Suc
diff-zero distinct.simps(2) insert-iff length-pos-if-in-set linorder-not-less list.set(2) list.simps(1) list.simps(3)
list.size(3) list.size(4) not-less-iff-gr-or-eq nth-Cons-0 nth-Cons-Suc sorted.cases)
 qed
qed
lemma is-sorted-list-of-set-alt-def:
  is-sorted-list-of-set A xs \longleftrightarrow sorted (xs) \land distinct (xs) \land set(xs) = A
 apply (auto intro: sorted-is-sorted-list-of-set)
   apply (auto simp add: is-sorted-list-of-set-def)
 apply (metis Nat.add-0-right One-nat-def add-Suc-right sorted-distinct)
 done
definition sorted-list-of-set-alt :: ('a::ord) set \Rightarrow 'a list where
sorted-list-of-set-alt A =
  (if (A = \{\}) then [] else (THE xs. is-sorted-list-of-set A xs))
```

```
\mathbf{lemma}\ \textit{is-sorted-list-of-set}\colon
    finite A \Longrightarrow is\text{-}sorted\text{-}list\text{-}of\text{-}set A (sorted\text{-}list\text{-}of\text{-}set A)
    apply (simp add: is-sorted-list-of-set-def)
    apply (metis One-nat-def add.right-neutral add-Suc-right sorted-distinct sorted-list-of-set)
    done
lemma sorted-list-of-set-other-def:
    finite A \Longrightarrow sorted-list-of-set(A) = (THE \ xs. \ sorted(xs) \land distinct(xs) \land set \ xs = A)
    apply (rule sym)
    apply (rule the-equality)
     apply (auto)
    apply (simp add: sorted-distinct-set-unique)
    done
lemma sorted-list-of-set-alt [simp]:
    finite A \Longrightarrow sorted-list-of-set-alt(A) = sorted-list-of-set(A)
    apply (rule sym)
    apply (auto simp add: sorted-list-of-set-alt-def is-sorted-list-of-set-alt-def sorted-list-of-set-other-def)
    done
Sorting lists according to a relation
definition is-sorted-list-of-set-by :: 'a rel \Rightarrow 'a set \Rightarrow 'a list \Rightarrow bool where
is-sorted-list-of-set-by R A xs = ((\forall i < length(xs) - 1. (xs!i, xs!(i+1)) \in R) \land set(xs) = A)
definition sorted-list-of-set-by :: 'a rel \Rightarrow 'a set \Rightarrow 'a list where
sorted-list-of-set-by R A = (THE xs. is-sorted-list-of-set-by R A xs)
definition fin-set-lexord :: 'a rel \Rightarrow 'a set rel where
fin-set-lexord R = \{(A, B). \text{ finite } A \land \text{ finite } B \land A \}
                                                               (\exists \ xs \ ys. \ is\text{-}sorted\text{-}list\text{-}of\text{-}set\text{-}by \ R \ A \ xs \ \land \ is\text{-}sorted\text{-}list\text{-}of\text{-}set\text{-}by \ R \ B \ ys
                                                                 \land (xs, ys) \in lexord R)
\mathbf{lemma}\ is\text{-}sorted\text{-}list\text{-}of\text{-}set\text{-}by\text{-}mono:
     \llbracket R \subseteq S; \text{ is-sorted-list-of-set-by } R A \text{ xs } \rrbracket \Longrightarrow \text{ is-sorted-list-of-set-by } S A \text{ xs}
    by (auto simp add: is-sorted-list-of-set-by-def)
lemma lexord-mono':
     [(\land x y. fx y \longrightarrow gx y); (xs, ys) \in lexord \{(x, y). fx y\}] \Longrightarrow (xs, ys) \in lexord \{(x, y). gx y\}
    by (metis case-prodD case-prodI lexord-take-index-conv mem-Collect-eq)
lemma fin-set-lexord-mono [mono]:
    (\bigwedge x \ y. \ fx \ y \longrightarrow g \ x \ y) \Longrightarrow (xs, \ ys) \in fin\text{-set-lexord} \ \{(x, \ y). \ fx \ y\} \longrightarrow (xs, \ ys) \in fin\text{-set-lexord} \ \{(x, \ y). \ fx \ y\} \longrightarrow (xs, \ ys) \in fin\text{-set-lexord} \ \{(x, \ y). \ fx \ y\} \longrightarrow (xs, \ ys) \in fin\text{-set-lexord} \ \{(x, \ y). \ fx \ y\} \longrightarrow (xs, \ ys) \in fin\text{-set-lexord} \ \{(x, \ y). \ fx \ y\} \longrightarrow (xs, \ ys) \in fin\text{-set-lexord} \ \{(x, \ y). \ fx \ y\} \longrightarrow (xs, \ ys) \in fin\text{-set-lexord} \ \{(x, \ y). \ fx \ y\} \longrightarrow (xs, \ ys) \in fin\text{-set-lexord} \ \{(x, \ y). \ fx \ y\} \longrightarrow (xs, \ ys) \in fin\text{-set-lexord} \ \{(x, \ y). \ fx \ y\} \longrightarrow (xs, \ ys) \in fin\text{-set-lexord} \ \{(x, \ y). \ fx \ y\} \longrightarrow (xs, \ ys) \in fin\text{-set-lexord} \ \{(x, \ y). \ fx \ y\} \longrightarrow (xs, \ ys) \in fin\text{-set-lexord} \ \{(x, \ y). \ fx \ y\} \longrightarrow (xs, \ ys) \in fin\text{-set-lexord} \ \{(x, \ y). \ fx \ y\} \longrightarrow (xs, \ ys) \in fin\text{-set-lexord} \ \{(x, \ y). \ fx \ y\} \longrightarrow (xs, \ ys) \in fin\text{-set-lexord} \ \{(x, \ y). \ fx \ y\} \longrightarrow (xs, \ ys) \in fin\text{-set-lexord} \ \{(x, \ y). \ fx \ y\} \longrightarrow (xs, \ ys) \in fin\text{-set-lexord} \ \{(x, \ y). \ fx \ y\} \longrightarrow (xs, \ ys) \in fin\text{-set-lexord} \ \{(x, \ y). \ fx \ y\} \longrightarrow (xs, \ ys) \in fin\text{-set-lexord} \ \{(x, \ y). \ fx \ y\} \longrightarrow (xs, \ ys) \in fin\text{-set-lexord} \ \{(x, \ y). \ fx \ y\} \longrightarrow (xs, \ ys) \in fin\text{-set-lexord} \ \{(x, \ y). \ fx \ y\} \longrightarrow (xs, \ ys) \in fin\text{-set-lexord} \ \{(x, \ y). \ fx \ y\} \longrightarrow (xs, \ ys) \in fin\text{-set-lexord} \ \{(x, \ y). \ fx \ y\} \longrightarrow (xs, \ ys) \mapsto (xs, \ 
y). g x y
proof
     assume
        fin: (xs, ys) \in fin\text{-}set\text{-}lexord \{(x, y), f x y\}  and
        hyp: (\bigwedge x y. f x y \longrightarrow g x y)
     from fin have finite xs finite ys
        using fin-set-lexord-def by fastforce+
    with fin hyp show (xs, ys) \in fin\text{-set-lexord } \{(x, y), g \mid x \mid y\}
        apply (auto simp add: fin-set-lexord-def)
        apply (rename-tac xs' ys')
```

```
apply (rule-tac \ x=xs' \ in \ exI)
   apply (auto)
    apply (metis case-prodD case-prodI is-sorted-list-of-set-by-def mem-Collect-eq)
   apply (metis case-prodD case-prodI is-sorted-list-of-set-by-def lexord-mono' mem-Collect-eq)
   done
qed
definition distincts :: 'a set \Rightarrow 'a list set where
distincts A = \{xs \in lists A. distinct(xs)\}\
lemma tl-element:
 \llbracket x \in set \ xs; \ x \neq hd(xs) \ \rrbracket \Longrightarrow x \in set(tl(xs))
 \textbf{by} \ (\textit{metis in-set-insert insert-Nil list.collapse list.distinct} (2) \ \textit{set-ConsD})
         List Update
2.3.3
lemma listsum-update:
 fixes xs :: 'a :: ring \ list
 assumes i < length xs
 shows list-sum (xs[i := v]) = list-sum xs - xs ! i + v
using assms proof (induct xs arbitrary: i)
 case Nil
 then show ?case by (simp)
next
 case (Cons a xs)
 then show ?case
 proof (cases i)
   case \theta
   thus ?thesis
     by (simp add: add.commute)
 next
   case (Suc i')
   with Cons show ?thesis
     by (auto)
 qed
qed
2.3.4
         Drop While and Take While
{f lemma} \ drop While-sorted-le-above:
  \llbracket \text{ sorted } xs; x \in \text{ set } (\text{drop While } (\lambda \ x. \ x \leq n) \ xs) \ \rrbracket \Longrightarrow x > n
 apply (induct xs)
  apply (auto)
 apply (rename-tac a xs)
 apply (case-tac a \leq n)
  apply (simp-all)
 using sorted-Cons apply blast
 apply (meson dual-order.trans not-less sorted-Cons)
done
lemma set-drop While-le:
 sorted xs \Longrightarrow set\ (drop\ While\ (\lambda\ x.\ x \le n)\ xs) = \{x \in set\ xs.\ x > n\}
 apply (induct xs)
  apply (simp)
 \mathbf{apply} \ (\mathit{rename-tac} \ \mathit{x} \ \mathit{xs})
```

**apply** (subgoal-tac sorted xs)

```
apply (simp)
  apply (safe)
    apply (simp-all)
  apply (meson not-less order-trans sorted-Cons)
  using sorted-Cons apply auto
done
\mathbf{lemma}\ set\text{-}take \textit{While-less-sorted}\colon
  \llbracket \text{ sorted } I; x \in \text{ set } I; x < n \rrbracket \Longrightarrow x \in \text{ set } (\text{take While } (\lambda x. x < n) I)
proof (induct I arbitrary: x)
 case Nil thus ?case
   by (simp)
\mathbf{next}
  case (Cons a I) thus ?case
   by (auto, (meson le-less-trans sorted-Cons)+)
lemma nth-le-takeWhile-ord: \llbracket sorted xs; i \geq length (takeWhile (\lambda x. x \leq n) xs); i < length xs \rrbracket \Longrightarrow
n \leq xs \mid i
 apply (induct xs arbitrary: i, auto)
 apply (rename-tac \ x \ xs \ i)
 apply (case-tac x \leq n)
  apply (auto simp add: sorted-Cons)
  apply (metis One-nat-def Suc-eq-plus1 le-less-linear le-less-trans less-imp-le list.size(4) nth-mem
set-ConsD)
 done
lemma length-take While-less:
  \llbracket a \in set \ xs; \neg P \ a \rrbracket \implies length \ (take While \ P \ xs) < length \ xs
  by (metis in-set-conv-nth length-takeWhile-le nat-neg-iff not-less set-takeWhileD takeWhile-nth)
lemma nth-length-takeWhile-less:
  \llbracket \text{ sorted } xs; \text{ distinct } xs; (\exists \ a \in \text{ set } xs. \ a \geq n) \ \rrbracket \Longrightarrow xs \ ! \text{ length } (\text{takeWhile } (\lambda x. \ x < n) \ xs) \geq n
 apply (induct xs, auto)
 using sorted-Cons apply blast
 done
          Last and But Last
2.3.5
lemma length-qt-zero-butlast-concat:
  assumes length ys > 0
 shows butlast (xs @ ys) = xs @ (butlast ys)
  using assms by (metis butlast-append length-greater-0-conv)
\mathbf{lemma}\ length-eq\text{-}zero\text{-}butlast\text{-}concat:
  assumes length ys = 0
 shows butlast (xs @ ys) = butlast xs
  using assms by (metis append-Nil2 length-0-conv)
lemma butlast-single-element:
  shows butlast [e] = []
  by (metis\ butlast.simps(2))
lemma last-single-element:
  shows last [e] = e
 by (metis last.simps)
```

```
\mathbf{lemma}\ \mathit{length\text{-}zero\text{-}last\text{-}concat}\colon
  assumes length t = 0
 shows last (s @ t) = last s
 by (metis append-Nil2 assms length-0-conv)
\mathbf{lemma}\ length-gt-zero-last-concat:
  assumes length t > 0
 shows last (s @ t) = last t
 by (metis assms last-append length-greater-0-conv)
2.3.6
        Prefixes and Strict Prefixes
lemma prefix-length-eq:
  \llbracket length \ xs = length \ ys; \ prefix \ xs \ ys \ \rrbracket \Longrightarrow xs = ys
  by (metis not-equal-is-parallel parallel-def)
lemma prefix-Cons-elim [elim]:
 assumes prefix (x \# xs) ys
 obtains ys' where ys = x \# ys' prefix xs \ ys'
  using assms
 by (metis append-Cons prefix-def)
lemma prefix-map-inj:
  \llbracket inj\text{-}on \ f \ (set \ xs \cup set \ ys); \ prefix \ (map \ f \ xs) \ (map \ f \ ys) \ \rrbracket \Longrightarrow
  prefix xs ys
 apply (induct xs arbitrary:ys)
  apply (simp-all)
 apply (erule prefix-Cons-elim)
  apply (auto)
 \mathbf{apply} \ (\mathit{metis} \ \mathit{image-insert} \ \mathit{insert-Diff-if} \ \mathit{singletonE})
  done
lemma prefix-map-inj-eq [simp]:
  inj-on f (set xs \cup set \ ys) \Longrightarrow
  prefix (map f xs) (map f ys) \longleftrightarrow prefix xs ys
  by (metis map-prefixI prefix-map-inj)
lemma strict-prefix-Cons-elim [elim]:
  assumes strict-prefix (x \# xs) ys
  obtains ys' where ys = x \# ys' strict-prefix xs \ ys'
  using assms
  by (metis Sublist.strict-prefixE' Sublist.strict-prefixI' append-Cons)
lemma strict-prefix-map-inj:
  \llbracket inj\text{-}on \ f \ (set \ xs \cup set \ ys); \ strict\text{-}prefix \ (map \ f \ xs) \ (map \ f \ ys) \ \rrbracket \Longrightarrow
  strict-prefix xs ys
 apply (induct xs arbitrary:ys)
  apply (auto)
  using prefix-bot.bot.not-eq-extremum apply fastforce
  apply (erule strict-prefix-Cons-elim)
 apply (auto)
  apply (metis (hide-lams, full-types) image-insert insertI1 insert-Diff-if singletonE)
  done
```

**lemma** strict-prefix-map-inj-eq [simp]:

```
inj-on f (set xs \cup set \ ys) \Longrightarrow
  strict-prefix (map\ f\ xs)\ (map\ f\ ys) \longleftrightarrow strict-prefix xs\ ys
  by (metis inj-on-map-eq-map map-prefixI prefix-map-inj prefix-order.less-le)
lemma prefix-drop:
  \llbracket drop (length xs) ys = zs; prefix xs ys \rrbracket
  \implies ys = xs \ @ \ zs
 \mathbf{by}\ (\mathit{metis}\ \mathit{append-eq\text{-}conv\text{-}conj}\ \mathit{prefix\text{-}def})
lemma list-append-prefixD [dest]: x @ y \le z \Longrightarrow x \le z
  using append-prefixD less-eq-list-def by blast
lemma prefix-not-empty:
  assumes strict-prefix xs ys and xs \neq []
  shows ys \neq []
  using Sublist.strict-prefix-simps(1) assms(1) by blast
lemma prefix-not-empty-length-qt-zero:
  assumes strict-prefix xs ys and xs \neq []
 shows length ys > 0
  using assms prefix-not-empty by auto
lemma butlast-prefix-suffix-not-empty:
  {\bf assumes}\ strict\text{-}pre\!\mathit{fix}\ (\mathit{butlast}\ \mathit{xs})\ \mathit{ys}
  shows ys \neq []
  using assms prefix-not-empty-length-gt-zero by fastforce
lemma prefix-and-concat-prefix-is-concat-prefix:
  assumes prefix s t prefix (e @ t) u
  shows prefix (e @ s) u
  using Sublist.same-prefix-prefix\ assms(1)\ assms(2)\ prefix-order.dual-order.trans\ by\ blast
lemma prefix-eq-exists:
  prefix \ s \ t \longleftrightarrow (\exists xs \ . \ s \ @ \ xs = t)
 using Sublist.prefixE Sublist.prefixI by blast
lemma strict-prefix-eq-exists:
  strict-prefix s \ t \longleftrightarrow (\exists xs \ . \ s \ @ \ xs = t \land (length \ xs) > 0)
  using prefix-def strict-prefix-def by auto
lemma butlast-strict-prefix-eq-butlast:
  assumes length s = length t and strict-prefix (butlast s) t
 shows strict-prefix (butlast s) t \longleftrightarrow (butlast s) = (butlast t)
 by (metis append-butlast-last-id append-eq-append-conv assms(1) assms(2) length-0-conv length-butlast
strict-prefix-eq-exists)
lemma butlast-eq-if-eq-length-and-prefix:
 assumes length s > 0 length z > 0
         length \ s = length \ z \ strict-prefix \ (butlast \ s) \ t \ strict-prefix \ (butlast \ z) \ t
 shows (butlast s) = (butlast z)
  using assms by (auto simp add:strict-prefix-eq-exists)
lemma prefix-imp-length-lteq:
  assumes prefix s t
  shows length s \leq length t
```

```
using assms by (simp add: Sublist.prefix-length-le)
lemma prefix-imp-length-not-gt:
 assumes prefix s t
 shows \neg length t < length s
 using assms by (simp add: Sublist.prefix-length-le leD)
lemma prefix-and-eq-length-imp-eq-list:
 assumes prefix s t and length t = length s
 shows s=t
 using assms by (simp add: prefix-length-eq)
\textbf{lemma} \ \textit{but last-prefix-imp-length-not-gt}:
 assumes length s > 0 strict-prefix (butlast s) t
 shows \neg (length t < length s)
 using assms prefix-length-less by fastforce
lemma length-not-qt-iff-eq-length:
 assumes length s > 0 and strict-prefix (butlast s) t
 shows (\neg (length \ s < length \ t)) = (length \ s = length \ t)
proof -
 have (\neg (length \ s < length \ t)) = ((length \ t < length \ s) \lor (length \ s = length \ t))
     by (metis not-less-iff-gr-or-eq)
 also have ... = (length \ s = length \ t)
     using assms
     by (simp add:butlast-prefix-imp-length-not-gt)
 finally show ?thesis.
qed
Greatest common prefix
fun gcp :: 'a \ list \Rightarrow 'a \ list \Rightarrow 'a \ list where
gcp [] ys = [] |
gcp (x \# xs) (y \# ys) = (if (x = y) then x \# gcp xs ys else []) |
gcp - - = []
lemma gcp\text{-}right [simp]: gcp \ xs \ [] = []
 by (induct xs, auto)
lemma gcp-append [simp]: gcp (xs @ ys) (xs @ zs) = xs @ gcp ys zs
 by (induct xs, auto)
lemma gcp-lb1: prefix (gcp xs ys) xs
 apply (induct xs arbitrary: ys, auto)
 apply (case-tac ys, auto)
 done
lemma gcp-lb2: prefix (gcp xs ys) ys
 apply (induct ys arbitrary: xs, auto)
 apply (case-tac xs, auto)
 done
interpretation prefix-semilattice: semilattice-inf gcp prefix strict-prefix
proof
 \mathbf{fix} \ xs \ ys :: 'a \ list
```

```
show prefix (gcp xs ys) xs
by (induct xs arbitrary: ys, auto, case-tac ys, auto)
show prefix (gcp xs ys) ys
by (induct ys arbitrary: xs, auto, case-tac xs, auto)
next
fix xs ys zs :: 'a list
assume prefix xs ys prefix xs zs
thus prefix xs (gcp ys zs)
by (simp add: prefix-def, auto)
qed
```

# 2.3.7 Lexicographic Order

```
lemma lexord-append:
 assumes (xs_1 @ ys_1, xs_2 @ ys_2) \in lexord \ R \ length(xs_1) = length(xs_2)
 shows (xs_1, xs_2) \in lexord R \vee (xs_1 = xs_2 \wedge (ys_1, ys_2) \in lexord R)
using assms
proof (induct xs_2 arbitrary: xs_1)
 case (Cons \ x_2 \ xs_2') note hyps = this
 from hyps(3) obtain x_1 xs_1' where xs_1: xs_1 = x_1 \# xs_1' length(xs_1') = length(xs_2')
   by (auto, metis Suc-length-conv)
 with hyps(2) have xcases: (x_1, x_2) \in R \vee (xs_1' @ ys_1, xs_2' @ ys_2) \in lexord R
   by (auto)
 show ?case
 proof (cases\ (x_1,\ x_2)\in R)
   case True with xs<sub>1</sub> show ?thesis
     by (auto)
 next
   case False
   with xcases have (xs_1' @ ys_1, xs_2' @ ys_2) \in lexord R
     by (auto)
   with hyps(1) xs_1 have dichot: (xs_1', xs_2') \in lexord R \lor (xs_1' = xs_2' \land (ys_1, ys_2) \in lexord R)
     by (auto)
   have x_1 = x_2
     using False hyps(2) xs_1(1) by auto
   with dichot xs<sub>1</sub> show ?thesis
     by (simp)
 qed
next
 case Nil thus ?case
   by auto
qed
lemma strict-prefix-lexord-rel:
 strict-prefix xs \ ys \Longrightarrow (xs, \ ys) \in lexord \ R
 by (metis Sublist.strict-prefixE' lexord-append-rightI)
lemma strict-prefix-lexord-left:
 assumes trans R (xs, ys) \in lexord R strict-prefix xs' xs
 shows (xs', ys) \in lexord R
 by (metis assms lexord-trans strict-prefix-lexord-rel)
lemma prefix-lexord-right:
 assumes trans R (xs, ys) \in lexord R strict-prefix ys ys'
 shows (xs, ys') \in lexord R
 by (metis assms lexord-trans strict-prefix-lexord-rel)
```

```
lemma lexord-eq-length:
 assumes (xs, ys) \in lexord R length xs = length ys
 shows \exists i. (xs!i, ys!i) \in R \land i < length xs \land (\forall j < i. xs!j = ys!j)
using assms proof (induct xs arbitrary: ys)
 case (Cons \ x \ xs) note hyps = this
  then obtain y \ ys' where ys: ys = y \# ys' length ys' = length \ xs
   by (metis Suc-length-conv)
 show ?case
 proof (cases\ (x,\ y) \in R)
   case True with ys show ?thesis
     by (rule-tac \ x=0 \ in \ exI, \ simp)
 next
   case False
   with ys \ hyps(2) have xy: x = y \ (xs, \ ys') \in lexord \ R
   with hyps(1,3) ys obtain i where (xs!i, ys'!i) \in R i < length xs (\forall j < i. xs!j = ys'!j)
     by force
   with xy ys show ?thesis
     apply (rule-tac x=Suc i in <math>exI)
     apply (auto simp add: less-Suc-eq-0-disj)
   done
 qed
next
 case Nil thus ?case by (auto)
qed
lemma lexord-intro-elems:
 assumes length xs > i length ys > i (xs!i, ys!i) \in R \ \forall \ j < i. \ xs!j = ys!j
 shows (xs, ys) \in lexord R
using assms proof (induct i arbitrary: xs ys)
 case \theta thus ?case
   by (auto, metis lexord-cons-cons list.exhaust nth-Cons-0)
next
 {f case}\ (Suc\ i)\ {f note}\ hyps=this
 then obtain x'y'xs'ys' where xs = x' \# xs'ys = y' \# ys'
   by (metis Suc-length-conv Suc-lessE)
 moreover with hyps(5) have \forall j < i. xs' ! j = ys' ! j
   by (auto)
 ultimately show ?case using hyps
   by (auto)
qed
2.4
       Distributed Concatenation
definition uncurry :: ('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow ('a \times 'b \Rightarrow 'c) where
[simp]: uncurry f = (\lambda(x, y), f(x, y))
definition dist-concat ::
  'a list set \Rightarrow 'a list set \Rightarrow 'a list set (infixr \cap 100) where
dist-concat ls1 \ ls2 = (uncurry \ (op \ @) \ ` \ (ls1 \times ls2))
lemma dist-concat-left-empty [simp]:
  \{\} \cap ys = \{\}
 by (simp add: dist-concat-def)
```

```
lemma dist-concat-right-empty [simp]:
 xs \cap \{\} = \{\}
 by (simp add: dist-concat-def)
lemma dist-concat-insert [simp]:
insert l \ ls1 \cap ls2 = ((op @ l) \cdot (ls2)) \cup (ls1 \cap ls2)
 by (auto simp add: dist-concat-def)
2.5
       List Domain and Range
abbreviation seq-dom :: 'a list \Rightarrow nat set (dom_l) where
seq\text{-}dom \ xs \equiv \{0... < length \ xs\}
abbreviation seq-ran :: 'a list \Rightarrow 'a set (ran_l) where
seq-ran xs \equiv set xs
       Extracting List Elements
2.6
definition seq-extract :: nat set \Rightarrow 'a list \Rightarrow 'a list (infix 1, 80) where
seg\text{-}extract\ A\ xs=nths\ xs\ A
lemma seq-extract-Nil [simp]: A \uparrow_l [] = []
 by (simp add: seq-extract-def)
lemma seq-extract-Cons:
  A \upharpoonright_l (x \# xs) = (if \ 0 \in A \ then \ [x] \ else \ []) @ \{j. \ Suc \ j \in A\} \upharpoonright_l xs
 by (simp add: seq-extract-def nths-Cons)
lemma seq-extract-empty [simp]: \{\} \mid_l xs = []
 by (simp add: seq-extract-def)
lemma seq-extract-ident [simp]: \{0..< length \ xs\} \mid_{l} xs = xs
 unfolding list-eq-iff-nth-eq
 by (auto simp add: seq-extract-def length-nths atLeast0LessThan)
lemma seq-extract-split:
 assumes i \leq length xs
 shows \{0..< i\} \mid_l xs @ \{i..< length xs\} \mid_l xs = xs
using assms
proof (induct xs arbitrary: i)
 case Nil thus ?case by (simp add: seq-extract-def)
next
 case (Cons \ x \ xs) note hyp = this
 have \{j. \ Suc \ j < i\} = \{0..< i-1\}
 moreover have \{j. \ i \leq Suc \ j \land j < length \ xs\} = \{i - 1.. < length \ xs\}
   \mathbf{by} \ (auto)
 ultimately show ?case
   using hyp by (force simp add: seq-extract-def nths-Cons)
qed
\mathbf{lemma}\ \mathit{seq-extract-append}\colon
 A \upharpoonright_l (xs @ ys) = (A \upharpoonright_l xs) @ (\{j. j + length xs \in A\} \upharpoonright_l ys)
 by (simp add: seq-extract-def nths-append)
```

**lemma** seq-extract-range:  $A \upharpoonright_l xs = (A \cap dom_l(xs)) \upharpoonright_l xs$ 

```
apply (auto simp add: seq-extract-def nths-def)
 apply (metis (no-types, lifting) at Least Less Than-iff filter-cong in-set-zip nth-mem set-upt)
done
lemma seq-extract-out-of-range:
 A \cap dom_l(xs) = \{\} \Longrightarrow A \mid_l xs = []
 by (metis seq-extract-def seq-extract-range nths-empty)
lemma seq-extract-length [simp]:
 length (A \upharpoonright_l xs) = card (A \cap dom_l(xs))
proof -
 have \{i.\ i < length(xs) \land i \in A\} = (A \cap \{0..< length(xs)\})
   by (auto)
 thus ?thesis
   by (simp add: seq-extract-def length-nths)
qed
lemma seg-extract-Cons-atLeastLessThan:
 assumes m < n
 shows \{m..< n\} \mid_l (x \# xs) = (if (m = 0) then x \# (\{0..< n-1\} \mid_l xs) else \{m-1..< n-1\} \mid_l xs)
proof -
 have \{j. \ Suc \ j < n\} = \{0..< n - Suc \ 0\}
   by (auto)
 moreover have \{j. \ m \leq Suc \ j \wedge Suc \ j < n\} = \{m - Suc \ 0... < n - Suc \ 0\}
   by (auto)
 ultimately show ?thesis using assms
   by (auto simp add: seq-extract-Cons)
qed
lemma seq-extract-singleton:
 assumes i < length xs
 shows \{i\} \mid_l xs = [xs ! i]
 using assms
 apply (induct xs arbitrary: i)
 apply (auto simp add: seq-extract-Cons)
 apply (rename-tac xs i)
 apply (subgoal-tac \{j. \ Suc \ j=i\} = \{i-1\})
 apply (auto)
done
lemma seq-extract-as-map:
 assumes m < n \ n \le length \ xs
 shows \{m.. < n\} \mid_l xs = map (nth xs) [m.. < n]
using assms proof (induct xs arbitrary: m n)
 case Nil thus ?case by simp
next
 case (Cons \ x \ xs)
 have [m..< n] = m \# [m+1..< n]
   using Cons.prems(1) upt-eq-Cons-conv by blast
 moreover have map (nth (x \# xs)) [Suc m..< n] = map (nth xs) [m..< n-1]
   by (simp add: map-nth-Cons-atLeastLessThan)
 ultimately show ?case
   using Cons upt-rec
   by (auto simp add: seq-extract-Cons-atLeastLessThan)
```

```
\mathbf{qed}
```

```
lemma seq-append-as-extract:
  xs = ys @ zs \longleftrightarrow (\exists i \leq length(xs), ys = \{0... < i\} \mid_{l} xs \land zs = \{i... < length(xs)\} \mid_{l} xs)
proof
  assume xs: xs = ys @ zs
  moreover have ys = \{0..< length \ ys\} \mid_l (ys @ zs)
    by (simp add: seq-extract-append)
  moreover have zs = \{length \ ys... < length \ ys + length \ zs\} \mid_l (ys @ zs)
  proof -
    have {length ys..<length ys + length zs} \cap {0..<length ys} = {}
    moreover have s1: \{j. \ j < length \ zs\} = \{0.. < length \ zs\}
     by auto
    ultimately show ?thesis
      by (simp add: seq-extract-append seq-extract-out-of-range)
  ultimately show (\exists i \leq length(xs). ys = \{0... < i\} \mid_l xs \land zs = \{i... < length(xs)\} \mid_l xs)
    by (rule-tac x=length ys in exI, auto)
  assume \exists i \leq length \ xs. \ ys = \{0... < i\} \mid_l xs \land zs = \{i... < length \ xs\} \mid_l xs
  thus xs = ys @ zs
    by (auto simp add: seq-extract-split)
qed
2.7
        Filtering a list according to a set
definition seg-filter :: 'a list \Rightarrow 'a set \Rightarrow 'a list (infix \upharpoonright_l 80) where
seq-filter xs \ A = filter \ (\lambda \ x. \ x \in A) \ xs
lemma seq-filter-Cons-in [simp]:
 x \in cs \Longrightarrow (x \# xs) \upharpoonright_l cs = x \# (xs \upharpoonright_l cs)
 by (simp add: seq-filter-def)
lemma seq-filter-Cons-out [simp]:
  x \notin cs \Longrightarrow (x \# xs) \upharpoonright_{l} cs = (xs \upharpoonright_{l} cs)
 by (simp add: seq-filter-def)
lemma seq-filter-Nil [simp]: [] \upharpoonright_l A = []
 by (simp add: seq-filter-def)
lemma seq-filter-empty [simp]: xs \upharpoonright_l \{\} = []
 by (simp add: seq-filter-def)
lemma seq-filter-append: (xs @ ys) \upharpoonright_l A = (xs \upharpoonright_l A) @ (ys \upharpoonright_l A)
 by (simp add: seq-filter-def)
lemma seq-filter-UNIV [simp]: xs \upharpoonright_l UNIV = xs
 by (simp add: seq-filter-def)
lemma seq-filter-twice [simp]: (xs \upharpoonright_l A) \upharpoonright_l B = xs \upharpoonright_l (A \cap B)
 by (simp add: seq-filter-def)
```

#### 2.8 Minus on lists

**instantiation** *list* :: (type) minus

### begin

We define list minus so that if the second list is not a prefix of the first, then an arbitrary list longer than the combined length is produced. Thus we can always determined from the output whether the minus is defined or not.

```
definition xs - ys = (if (prefix ys xs) then drop (length ys) xs else [])
instance ..
end
lemma minus-cancel [simp]: xs - xs = []
 by (simp add: minus-list-def)
lemma append-minus [simp]: (xs @ ys) - xs = ys
 by (simp add: minus-list-def)
lemma minus-right-nil [simp]: xs - [] = xs
 by (simp add: minus-list-def)
lemma list-concat-minus-list-concat: (s @ t) - (s @ z) = t - z
 by (simp add: minus-list-def)
lemma length-minus-list: y \le x \Longrightarrow length(x - y) = length(x) - length(y)
 by (simp add: less-eq-list-def minus-list-def)
lemma map-list-minus:
 xs \leq ys \Longrightarrow map \ f \ (ys - xs) = map \ f \ ys - map \ f \ xs
 by (simp add: drop-map less-eq-list-def map-prefixI minus-list-def)
lemma list-minus-first-tl [simp]:
 [x] \leq xs \Longrightarrow (xs - [x]) = tl \ xs
 by (metis Prefix-Order.prefixE append.left-neutral append-minus list.sel(3) not-Cons-self2 tl-append2)
Extra lemmas about prefix and strict-prefix
lemma prefix-concat-minus:
 assumes prefix xs ys
 shows xs @ (ys - xs) = ys
 using assms by (metis minus-list-def prefix-drop)
lemma prefix-minus-concat:
 assumes prefix s t
 shows (t - s) @ z = (t @ z) - s
 using assms by (simp add: Sublist.prefix-length-le minus-list-def)
lemma strict-prefix-minus-not-empty:
 assumes strict-prefix xs ys
 shows ys - xs \neq []
 using assms by (metis append-Nil2 prefix-concat-minus strict-prefix-def)
lemma strict-prefix-diff-minus:
 assumes prefix xs \ ys and xs \neq ys
 shows (ys - xs) \neq []
 using assms by (simp add: strict-prefix-minus-not-empty)
\mathbf{lemma}\ length\text{-}tl\text{-}list\text{-}minus\text{-}butlast\text{-}gt\text{-}zero:
```

```
assumes length s < length t and strict-prefix (butlast s) t and length s > 0
 shows length (tl (t - (butlast s))) > 0
 using assms
 by (metis Nitpick.size-list-simp(2) butlast-snoc hd-Cons-tl length-butlast length-greater-0-conv length-tl
less-trans\ nat-neq-iff\ strict-prefix-minus-not-empty\ prefix-order\ .dual-order\ .strict-implies-order\ prefix-concat-minus)
lemma list-minus-butlast-eq-butlast-list:
 assumes length t = length \ s and strict-prefix (butlast s) t
 \mathbf{shows}\ t - (butlast\ s) = [last\ t]
 using assms
  by \ (met is \ append-but last-last-id \ append-eq-append-conv \ but last. simps (1) \ length-but last \ less-numeral-extra (3) 
list.size(3)\ prefix-order.dual-order.strict-implies-order\ prefix-concat-minus\ prefix-length-less)
\mathbf{lemma}\ \textit{butlast-strict-prefix-length-lt-imp-last-tl-minus-butlast-eq-last:}
 assumes length s > 0 strict-prefix (butlast s) t length s < length t
 shows last (tl (t - (butlast s))) = (last t)
  using assms by (metis last-append last-tl length-tl-list-minus-butlast-gt-zero less-numeral-extra(3)
list.size(3) append-minus strict-prefix-eq-exists)
lemma tl-list-minus-butlast-not-empty:
 assumes strict-prefix (butlast s) t and length s > 0 and length t > length s
 shows tl (t - (butlast s)) \neq []
 using assms length-tl-list-minus-butlast-gt-zero by fastforce
lemma tl-list-minus-butlast-empty:
 assumes strict-prefix (butlast s) t and length s > 0 and length t = length s
 shows tl (t - (butlast s)) = []
 using assms by (simp add: list-minus-butlast-eq-butlast-list)
lemma concat-minus-list-concat-butlast-eq-list-minus-butlast:
 assumes prefix (butlast u) s
 shows (t @ s) - (t @ (butlast u)) = s - (butlast u)
 using assms by (metis append-assoc prefix-concat-minus append-minus)
lemma tl-list-minus-butlast-eq-empty:
 assumes strict-prefix (butlast s) t and length s = length t
 shows tl (t - (butlast s)) = []
 using assms by (metis list.sel(3) list-minus-butlast-eq-butlast-list)
lemma prefix-length-tl-minus:
 assumes strict-prefix s t
 shows length (tl\ (t-s)) = (length\ (t-s)) - 1
 by (auto)
lemma length-list-minus:
 assumes strict-prefix s t
 shows length(t - s) = length(t) - length(s)
 using assms by (simp add: minus-list-def prefix-order.dual-order.strict-implies-order)
end
```

# 3 Infinite Sequences

theory Sequence

```
imports
  Real
  List	ext{-}Extra
 HOL-Library.Sublist
  HOL-Library.Nat-Bijection
begin
typedef 'a seq = UNIV :: (nat \Rightarrow 'a) set
 by (auto)
setup-lifting type-definition-seq
definition ssubstr :: nat \Rightarrow nat \Rightarrow 'a seq \Rightarrow 'a list where
ssubstrij xs = map (Rep-seq xs) [i .. < j]
lift-definition nth\text{-}seq :: 'a \ seq \Rightarrow nat \Rightarrow 'a \ (infixl !_s \ 100)
is \lambda f i. f i.
abbreviation sinit :: nat \Rightarrow 'a \ seq \Rightarrow 'a \ list \ \mathbf{where}
sinit i xs \equiv ssubstr 0 i xs
lemma sinit-len [simp]:
  length (sinit i xs) = i
 by (simp add: ssubstr-def)
lemma sinit-0 [simp]: sinit 0 xs = []
 by (simp add: ssubstr-def)
lemma prefix-upt-0 [intro]:
 i \leq j \Longrightarrow prefix [0..< i] [0..< j]
 \textbf{by} \ (\textit{induct} \ i, \ \textit{auto}, \ \textit{metis} \ \textit{append-prefixD} \ \textit{le0} \ \textit{prefix-order}. \textit{lift-Suc-mono-le} \ \textit{prefix-order}. \textit{order-refl} \ \textit{upt-Suc})
lemma sinit-prefix:
 i \leq j \Longrightarrow prefix (sinit i xs) (sinit j xs)
 by (auto intro: map-prefixI simp add: ssubstr-def)
lemma sinit-strict-prefix:
 i < j \Longrightarrow strict\text{-prefix (sinit i xs) (sinit j xs)}
 by (metis sinit-len sinit-prefix le-less nat-neq-iff prefix-order.dual-order.strict-iff-order)
lemma nth-sinit:
  i < n \Longrightarrow sinit \ n \ xs \ ! \ i = xs \ !_s \ i
 apply (auto simp add: ssubstr-def)
 apply (transfer, auto)
  done
lemma sinit-append-split:
 assumes i < j
 shows sinit j xs = sinit i xs @ ssubstr i j xs
proof -
  have [\theta..< i] @ [i..< j] = [\theta..< j]
    by (metis assms le0 le-add-diff-inverse le-less upt-add-eq-append)
  thus ?thesis
    by (auto simp add: ssubstr-def, transfer, simp add: map-append[THEN sym])
qed
```

```
lemma sinit-linear-asym-lemma1:
 assumes asym R \ i < j \ (sinit \ i \ xs, \ sinit \ i \ ys) \in lexord \ R \ (sinit \ j \ ys, \ sinit \ j \ xs) \in lexord \ R
 shows False
proof -
 have sinit-xs: sinit j xs = sinit i xs @ ssubstr i j xs
   by (metis assms(2) sinit-append-split)
 have sinit-ys: sinit j ys = sinit i ys @ ssubstr i j ys
   by (metis\ assms(2)\ sinit-append-split)
 from sinit-xs \ sinit-ys \ assms(4)
  have (sinit i ys, sinit i xs) \in lexord R \vee (sinit i ys = sinit i xs \wedge (ssubstr i j ys, ssubstr i j xs) \in
lexord R)
   by (auto dest: lexord-append)
 with assms lexord-asymmetric show False
   by (force)
qed
lemma sinit-linear-asym-lemma2:
 assumes asym R (sinit i xs, sinit i ys) \in lexord R (sinit j ys, sinit j xs) \in lexord R
 shows False
proof (cases i j rule: linorder-cases)
 case less with assms show ?thesis
   by (auto dest: sinit-linear-asym-lemma1)
\mathbf{next}
 case equal with assms show ?thesis
   by (simp add: lexord-asymmetric)
next
 case greater with assms show ?thesis
   by (auto dest: sinit-linear-asym-lemma1)
qed
lemma range-ext:
 assumes \forall i :: nat. \ \forall x \in \{0... < i\}. \ f(x) = g(x)
 shows f = g
proof (rule ext)
 \mathbf{fix} \ x :: nat
 obtain i :: nat where i > x
   by (metis lessI)
 with assms show f(x) = g(x)
   by (auto)
qed
lemma sinit-ext:
  (\forall i. \ sinit \ i \ xs = sinit \ i \ ys) \Longrightarrow xs = ys
 by (simp add: ssubstr-def, transfer, auto intro: range-ext)
definition seq-lexord :: 'a rel \Rightarrow ('a \ seq) \ rel \ \mathbf{where}
seq-lexord R = \{(xs, ys). (\exists i. (sinit i xs, sinit i ys) \in lexord R)\}
lemma seq-lexord-irreflexive:
 \forall x. (x, x) \notin R \Longrightarrow (xs, xs) \notin seq\text{-lexord } R
 by (auto dest: lexord-irreflexive simp add: irrefl-def seq-lexord-def)
lemma seq-lexord-irrefl:
  irrefl R \Longrightarrow irrefl (seq-lexord R)
```

```
by (simp add: irrefl-def seq-lexord-irreflexive)
lemma seq-lexord-transitive:
 assumes trans R
 shows trans (seq-lexord R)
unfolding seq-lexord-def
proof (rule transI, clarify)
 fix xs \ ys \ zs :: 'a \ seq \ and \ m \ n :: nat
 assume las: (sinit \ m \ xs, \ sinit \ m \ ys) \in lexord \ R \ (sinit \ n \ ys, \ sinit \ n \ zs) \in lexord \ R
 hence inz: m > 0
   using gr\theta I by force
  from las(1) obtain i where sinitm: (sinit\ m\ xs!i,\ sinit\ m\ ys!i) \in R\ i < m\ \forall\ j < i.\ sinit\ m\ xs!j =
sinit m ys!j
   using lexord-eq-length by force
 from las(2) obtain j where sinitn: (sinit n ys!j, sinit n zs!j) \in R j < n \forall k <j. sinit n ys!k = sinit
   using lexord-eq-length by force
 show \exists i. (sinit \ i \ xs, \ sinit \ i \ zs) \in lexord \ R
 proof (cases \ i \leq j)
   case True note lt = this
   with sinitm sinitn have (sinit n xs!i, sinit n zs!i) \in R
     by (metis assms le-eq-less-or-eq le-less-trans nth-sinit transD)
   moreover from lt sinitm sinith have \forall j < i. sinit m xs!j = sinit m zs!j
     by (metis less-le-trans less-trans nth-sinit)
   ultimately have (sinit n xs, sinit n zs) \in lexord R using sinitm(2) sinitn(2) lt
     apply (rule-tac lexord-intro-elems)
        apply (auto)
     apply (metis less-le-trans less-trans nth-sinit)
     done
   thus ?thesis by auto
 next
   case False
   then have ge: i > j by auto
   with assms sinitm sinitn have (sinit n xs!j, sinit n zs!j) \in R
     by (metis less-trans nth-sinit)
   moreover from ge sinitm sinith have \forall k < j. sinit m xs!k = sinit m zs!k
     by (metis dual-order.strict-trans nth-sinit)
   ultimately have (sinit n xs, sinit n zs) \in lexord R using sinitm(2) sinitm(2) qe
     apply (rule-tac lexord-intro-elems)
        apply (auto)
     apply (metis less-trans nth-sinit)
     done
   thus ?thesis by auto
 qed
qed
lemma seq-lexord-trans:
  \llbracket (xs, ys) \in seq\text{-lexord } R; (ys, zs) \in seq\text{-lexord } R; trans R \rrbracket \Longrightarrow (xs, zs) \in seq\text{-lexord } R
 by (meson\ seq-lexord-transitive\ transE)
lemma seq-lexord-antisym:
  \llbracket asym\ R;\ (a,\ b)\in seq\text{-lexord}\ R\ \rrbracket \Longrightarrow (b,\ a)\notin seq\text{-lexord}\ R
```

 $\mathbf{lemma}\ \mathit{seq-lexord-asym}\colon$ 

by (auto dest: sinit-linear-asym-lemma2 simp add: seq-lexord-def)

```
assumes asym R
 shows asym (seq-lexord R)
 by (meson assms asym.simps seq-lexord-antisym seq-lexord-irreft)
\mathbf{lemma} seq-lexord-total:
 assumes total R
 shows total (seq-lexord R)
 using assms by (auto simp add: total-on-def seq-lexord-def, meson lexord-linear sinit-ext)
{f lemma} seq-lexord-strict-linear-order:
 assumes strict-linear-order R
 shows strict-linear-order (seq-lexord R)
 using assms
 by (auto simp add: strict-linear-order-on-def partial-order-on-def preorder-on-def
          intro: seq-lexord-transitive seq-lexord-irreft seq-lexord-total)
lemma seq-lexord-linear:
 assumes (\forall a b. (a,b) \in R \lor a = b \lor (b,a) \in R)
 shows (x,y) \in seq\text{-}lexord\ R \lor x = y \lor (y,x) \in seq\text{-}lexord\ R
proof -
 have total R
   using assms total-on-def by blast
 hence total (seq-lexord R)
   using seq-lexord-total by blast
 thus ?thesis
   by (auto simp add: total-on-def)
qed
instantiation seq :: (ord) ord
begin
definition less-seq :: 'a seq \Rightarrow 'a seq \Rightarrow bool where
less-seq xs \ ys \longleftrightarrow (xs, \ ys) \in seq\text{-lexord} \{(xs, \ ys). \ xs < ys\}
definition less-eq-seq :: 'a seq \Rightarrow 'a seq \Rightarrow bool where
less-eq-seq xs \ ys = (xs = ys \lor xs < ys)
instance ..
end
instance \ seq :: (order) \ order
proof
 \mathbf{fix} \ xs :: 'a \ seq
 show xs \le xs by (simp \ add: \ less-eq-seq-def)
next
 \mathbf{fix} \ xs \ ys \ zs :: 'a \ seq
 assume xs \leq ys and ys \leq zs
 then show xs \leq zs
   by (force dest: seq-lexord-trans simp add: less-eq-seq-def less-seq-def trans-def)
\mathbf{next}
 \mathbf{fix} \ xs \ ys :: 'a \ seq
 assume xs \leq ys and ys \leq xs
 then show xs = ys
   apply (auto simp add: less-eq-seq-def less-seq-def)
```

```
apply (rule seq-lexord-irreflexive [THEN \ not E])
     defer
     apply (rule seq-lexord-trans)
       apply (auto intro: transI)
    done
next
  \mathbf{fix} \ xs \ ys :: 'a \ seq
  show xs < ys \longleftrightarrow xs \le ys \land \neg ys \le xs
    apply (auto simp add: less-seq-def less-eq-seq-def)
    defer
    apply (rule seq-lexord-irreflexive [THEN \ not E])
     apply auto
     \mathbf{apply} \ (rule \ seq\text{-}lexord\text{-}irreflexive \ [THEN \ notE])
     defer
     apply (rule seg-lexord-trans)
       apply (auto intro: transI)
    apply (simp add: seq-lexord-irreflexive)
qed
instance seq :: (linorder) linorder
proof
 fix xs \ ys :: 'a \ seq
 have (xs, ys) \in seq\text{-lexord } \{(u, v). \ u < v\} \lor xs = ys \lor (ys, xs) \in seq\text{-lexord } \{(u, v). \ u < v\}
    by (rule seq-lexord-linear) auto
  then show xs \leq ys \vee ys \leq xs
    by (auto simp add: less-eq-seq-def less-seq-def)
qed
lemma seq-lexord-mono [mono]:
 (\bigwedge x\ y.\ f\ x\ y \longrightarrow g\ x\ y) \Longrightarrow (xs,\ ys) \in \textit{seq-lexord}\ \{(x,\ y).\ f\ x\ y\} \longrightarrow (xs,\ ys) \in \textit{seq-lexord}\ \{(x,\ y).\ g\ x \in x\}
x y
 apply (auto simp add: seq-lexord-def)
 apply (metis case-prodD case-prodI lexord-take-index-conv mem-Collect-eq)
done
fun insort-rel :: 'a rel \Rightarrow 'a list \Rightarrow 'a list where
insort-rel R x [] = [x] |
insort-rel R x (y \# ys) = (if (x = y \lor (x,y) \in R) then x \# y \# ys else y \# insort-rel R x ys)
inductive sorted-rel :: 'a rel \Rightarrow 'a list \Rightarrow bool where
Nil-rel [iff]: sorted-rel R [] |
Cons-rel: \forall y \in set \ xs. \ (x = y \lor (x, y) \in R) \Longrightarrow sorted-rel \ R \ xs \Longrightarrow sorted-rel \ R \ (x \# xs)
definition list-of-set :: 'a rel \Rightarrow 'a set \Rightarrow 'a list where
list-of-set R = folding.F (insort-rel R)
lift-definition seq-inj :: 'a seq seq \Rightarrow 'a seq is
\lambda \ f \ i. \ f \ (fst \ (prod-decode \ i)) \ (snd \ (prod-decode \ i)).
lift-definition seq-proj :: 'a seq \Rightarrow 'a seq seq is
\lambda \ f \ i \ j. \ f \ (prod\text{-}encode \ (i, j)).
lemma seq-inj-inverse: seq-proj (seq-inj x) = x
 by (transfer, simp)
```

```
lemma seq-proj-inverse: seq-inj (seq-proj x) = x
by (transfer, simp)

lemma seq-inj: inj seq-inj
by (metis injI seq-inj-inverse)

lemma seq-inj-surj: bij seq-inj
apply (rule bijI)
apply (nuto simp add: seq-inj)
apply (metis rangeI seq-proj-inverse)
done
end
```

# 4 Finite Sets: extra functions and properties

```
theory FSet-Extra
imports
  ^{\sim\sim}/src/HOL/Library/FSet
 ^{\sim\sim}/src/HOL/Library/Countable\text{-}Set\text{-}Type
begin
setup-lifting type-definition-fset
notation fempty (\{\}\})
notation fset (\langle - \rangle_f)
notation fminus (infixl -_f 65)
syntax
  -FinFset :: args => 'a fset \quad (\{(-)\})
translations
  \{x, xs\} = CONST \text{ finsert } x \{xs\}
  \{x\} = CONST \text{ finsert } x \{\}
term fBall
syntax
  -fBall :: pttrn =  'a fset =  bool =  bool ((3\forall -|\in|-./-)[0, 0, 10] 10)
 -fBex :: pttrn => 'a fset => bool => bool ((3 \exists -|\in|-./-) [0, 0, 10] 10)
translations
 \forall x \in A. P = CONST fBall A (\%x. P)
 \exists x \in A. P = CONST fBex A (\%x. P)
definition FUnion :: 'a fset fset \Rightarrow 'a fset (\bigcup_{f} - [90] 90) where
FUnion xs = Abs\text{-}fset \ (\bigcup x \in \langle xs \rangle_f, \langle x \rangle_f)
definition FInter :: 'a fset fset \Rightarrow 'a fset (\bigcap_{f}- [90] 90) where
FInter xs = Abs\text{-}fset \ (\bigcap x \in \langle xs \rangle_f, \langle x \rangle_f)
Finite power set
definition FinPow :: 'a fset \Rightarrow 'a fset fset where
FinPow \ xs = Abs\text{-}fset \ (Abs\text{-}fset \ `Pow \ \langle xs \rangle_f)
```

```
Set of all finite subsets of a set
```

**definition** Fow :: 'a set 
$$\Rightarrow$$
 'a fset set where Fow  $A = \{x. \langle x \rangle_f \subseteq A\}$ 

declare Abs-fset-inverse [simp]

# lemma fset-intro:

$$fset \ x = fset \ y \Longrightarrow x = y$$
  
**by**  $(simp \ add:fset-inject)$ 

#### lemma fset-elim:

$$\llbracket x = y; fset \ x = fset \ y \Longrightarrow P \ \rrbracket \Longrightarrow P$$
 by (auto)

#### **lemma** fmember-intro:

$$\llbracket x \in fset(xs) \rrbracket \Longrightarrow x \mid \in \mid xs$$
  
**by**  $(metis\ fmember.rep-eq)$ 

# **lemma** fmember-elim:

$$\llbracket x \mid \in \mid xs; x \in fset(xs) \Longrightarrow P \rrbracket \Longrightarrow P$$
  
by (metis fmember.rep-eq)

# **lemma** fnmember-intro [intro]:

$$\llbracket x \notin fset(xs) \rrbracket \Longrightarrow x \mid \notin \mid xs$$
  
**by** (metis fmember.rep-eq)

$$\llbracket x \mid \notin \mid xs; x \notin fset(xs) \Longrightarrow P \rrbracket \Longrightarrow P$$
  
**by** (metis fmember.rep-eq)

#### lemma fsubset-intro [intro]:

$$\langle xs \rangle_f \subseteq \langle ys \rangle_f \Longrightarrow xs \mid \subseteq \mid ys$$
  
**by** (metis less-eq-fset.rep-eq)

# **lemma** fsubset-elim [elim]:

$$\llbracket xs \mid \subseteq \mid ys; \langle xs \rangle_f \subseteq \langle ys \rangle_f \Longrightarrow P \rrbracket \Longrightarrow P$$
  
by (metis less-eq-fset.rep-eq)

#### **lemma** fBall-intro [intro]:

$$Ball \langle A \rangle_f P \Longrightarrow fBall A P$$

# **lemma** fBall-elim [elim]:

$$\llbracket fBall \ A \ P; \ Ball \ \langle A \rangle_f \ P \Longrightarrow Q \ \rrbracket \Longrightarrow Q$$
  
by (metis fBallE fmember.rep-eq)

lift-definition  $\mathit{finset} :: 'a \; \mathit{list} \Rightarrow 'a \; \mathit{fset} \; \mathbf{is} \; \mathit{set} \; ..$ 

#### context linorder

begin

#### **lemma** *sorted-list-of-set-inj*:

[ finite xs; finite ys; sorted-list-of-set xs = sorted-list-of-set ys ] 
$$\implies xs = ys$$
 apply (simp add:sorted-list-of-set-def)

```
apply (induct xs rule:finite-induct)
  apply (induct ys rule:finite-induct)
   apply (simp-all)
 \textbf{apply} \ (\textit{metis finite.insert I insert-not-empty sorted-list-of-set-def sorted-list-of-set-empty sorted-list-of-set-eq-Nil-iff})
 apply (metis finite.insertI finite-list set-remdups set-sort sorted-list-of-set-def sorted-list-of-set-sort-remdups)
done
definition flist :: 'a fset \Rightarrow 'a list where
flist xs = sorted-list-of-set (fset xs)
lemma flist-inj: inj flist
 apply (simp add:flist-def inj-on-def)
 apply (clarify)
 apply (rename-tac \ x \ y)
 apply (subgoal-tac fset x = fset y)
  apply (simp add:fset-inject)
 apply (rule sorted-list-of-set-inj, simp-all)
done
lemma flist-props [simp]:
  sorted (flist xs)
  distinct (flist xs)
 by (simp-all add:flist-def)
lemma flist-empty [simp]:
 flist \{\}\} = []
 by (simp add:flist-def)
lemma flist-inv [simp]: finset (flist xs) = xs
 by (simp add:finset-def flist-def fset-inverse)
\mathbf{lemma}\ \mathit{flist-set}\ [\mathit{simp}] \colon \mathit{set}\ (\mathit{flist}\ \mathit{xs}) = \mathit{fset}\ \mathit{xs}
 by (simp add:finset-def flist-def fset-inverse)
lemma fset-inv [simp]: [sorted xs; distinct xs] \implies flist (finset xs) = xs
 apply (simp add:finset-def flist-def fset-inverse)
 apply (metis local.sorted-list-of-set-sort-remdups local.sorted-sort-id remdups-id-iff-distinct)
done
lemma fcard-flist:
 fcard xs = length (flist xs)
 apply (simp add:fcard-def)
 apply (fold flist-set)
 apply (unfold\ distinct\text{-}card[OF\ flist\text{-}props(2)])
 apply (rule refl)
done
lemma flist-nth:
 i < fcard \ vs \implies flist \ vs \ ! \ i \ | \in | \ vs
 apply (simp add: fmember-def flist-def fcard-def)
 apply (metis distinct-card finite-fset nth-mem sorted-list-of-set)
done
definition fmax :: 'a fset \Rightarrow 'a where
fmax \ xs = (if \ (xs = \{\}\}) \ then \ undefined \ else \ last \ (flist \ xs))
```

#### end

```
definition flists :: 'a fset \Rightarrow 'a list set where
flists A = \{xs. \ distinct \ xs \land finset \ xs = A\}
lemma flists-nonempty: \exists xs. xs \in flists A
  apply (simp add: flists-def)
 apply (metis Abs-fset-cases Abs-fset-inverse finite-distinct-list finite-fset finset.rep-eq)
 done
lemma flists-elem-uniq: [x \in flists \ A; x \in flists \ B] \implies A = B
  by (simp add: flists-def)
definition flist-arb :: 'a fset \Rightarrow 'a list where
flist-arb\ A = (SOME\ xs.\ xs \in flists\ A)
lemma flist-arb-distinct [simp]: distinct (flist-arb A)
  \mathbf{by} \ (\textit{metis} \ (\textit{mono-tags}) \ \textit{flist-arb-def} \ \textit{flists-def} \ \textit{flists-nonempty} \ \textit{mem-Collect-eq} \ \textit{someI-ex})
lemma flist-arb-inv [simp]: finset (flist-arb\ A) = A
 by (metis (mono-tags) flist-arb-def flists-def flists-nonempty mem-Collect-eq someI-ex)
lemma flist-arb-inj:
  inj flist-arb
 by (metis\ flist-arb-inv\ injI)
lemma flist-arb-lists: flist-arb 'Fow A \subseteq lists A
  apply (auto)
  using Fow-def finset.rep-eq apply fastforce
done
lemma countable-Fow:
 fixes A :: 'a \ set
 assumes countable A
 shows countable (Fow A)
proof -
  from assms obtain to-nat-list :: 'a list \Rightarrow nat where inj-on to-nat-list (lists A)
   by blast
  thus ?thesis
   apply (simp add: countable-def)
   apply (rule-tac x=to-nat-list \circ flist-arb in exI)
   apply (rule comp-inj-on)
    apply (metis flist-arb-inv inj-on-def)
   apply (simp add: flist-arb-lists subset-inj-on)
   done
qed
definition flub :: 'a fset set \Rightarrow 'a fset \Rightarrow 'a fset where
flub A \ t = (if \ (\forall \ a \in A. \ a \ |\subseteq| \ t) \ then \ Abs-fset \ (\bigcup x \in A. \ \langle x \rangle_f) \ else \ t)
lemma finite-Union-subsets:
  \llbracket \forall a \in A. \ a \subseteq b; finite \ b \ \rrbracket \Longrightarrow finite \ (\bigcup A)
 by (metis Sup-le-iff finite-subset)
```

```
lemma finite-UN-subsets:
  \llbracket \forall a \in A. \ B \ a \subseteq b; finite \ b \rrbracket \Longrightarrow finite \ (\bigcup a \in A. \ B \ a)
  by (metis UN-subset-iff finite-subset)
lemma flub-rep-eq:
  \langle flub \ A \ t \rangle_f = (if \ (\forall \ a \in A. \ a \ |\subseteq| \ t) \ then \ (\bigcup x \in A. \ \langle x \rangle_f) \ else \ \langle t \rangle_f)
  apply (subgoal-tac (if (\forall a \in A. \ a \mid \subseteq \mid t) then (\bigcup x \in A. \ \langle x \rangle_f) else \langle t \rangle_f) \in \{x. \ finite \ x\})
   apply (auto simp add:flub-def)
  apply (rule finite-UN-subsets[of - - \langle t \rangle_f])
   apply (auto)
  done
definition fglb :: 'a fset set \Rightarrow 'a fset \Rightarrow 'a fset where
fglb A t = (if (A = \{\}) then t else Abs-fset (<math>\bigcap x \in A. \langle x \rangle_f))
lemma fglb-rep-eq:
  \langle fglb \ A \ t \rangle_f = (if \ (A = \{\}) \ then \ \langle t \rangle_f \ else \ (\bigcap x \in A. \ \langle x \rangle_f))
  apply (subgoal-tac (if (A = \{\}) then \langle t \rangle_f else (\bigcap x \in A, \langle x \rangle_f)) \in \{x, finite x\})
   apply (metis Abs-fset-inverse fglb-def)
  apply (auto)
  apply (metis finite-INT finite-fset)
  done
lemma FinPow-rep-eq [simp]:
  fset\ (FinPow\ xs) = \{ys.\ ys\ |\subseteq|\ xs\}
  apply (subgoal-tac finite (Abs-fset 'Pow \langle xs \rangle_f))
   apply (auto simp add: fmember-def FinPow-def)
   apply (rename-tac x' y')
   apply (subgoal-tac finite x')
    apply (auto)
   apply (metis finite-fset finite-subset)
  apply (metis (full-types) Pow-iff fset-inverse imageI less-eq-fset.rep-eq)
  done
\mathbf{lemma}\ FUnion\text{-}rep\text{-}eq\ [simp]:
  \langle \bigcup_f xs \rangle_f = (\bigcup_f x \in \langle xs \rangle_f, \langle x \rangle_f)
  by (simp add:FUnion-def)
lemma FInter-rep-eq [simp]:
  xs \neq \{\}\} \Longrightarrow \langle \bigcap_f xs \rangle_f = (\bigcap_f x \in \langle xs \rangle_f, \langle x \rangle_f)
  apply (simp add:FInter-def)
  apply (subgoal-tac finite (\bigcap x \in \langle xs \rangle_f, \langle x \rangle_f))
   apply (simp)
  apply (metis (poly-guards-query) bot-fset.rep-eq fglb-rep-eq finite-fset fset-inverse)
  done
lemma FUnion\text{-}empty [simp]:
  \bigcup_{f} \{ \} = \{ \}
  by (auto simp add:FUnion-def fmember-def)
lemma FinPow-member [simp]:
  xs \in |FinPow xs|
  by (auto simp add:fmember-def)
lemma FUnion-FinPow [simp]:
```

# 5 Countable Sets: Extra functions and properties

```
theory Countable-Set-Extra
imports

HOL-Library.Countable-Set-Type

Sequence

FSet-Extra

HOL-Library.Bit

begin
```

# 5.1 Extra syntax

```
notation cempty ({}_c) notation cin (infix \in_c 50) notation cUn (infix) \cup_c 65) notation cUn (infix) \cap_c 70) notation cInt (infix) \cap_c 70) notation cDiff (infix) -c 65) notation cUnion (\bigcup_{c^-} [900] 900) notation cUnion (\bigcup_{c^-} [900] 900) notation cimage (infixr '_c 90) abbreviation csubseteq :: 'a cset <math>\Rightarrow 'a cset \Rightarrow bool ((-/\subseteq_c -) [51, 51] 50) where A \subseteq_c B \equiv A < B
```

# 5.2 Countable set functions

```
\mathbf{setup\text{-}lifting}\ type\text{-}definition\text{-}cset
```

```
lift-definition cnin :: 'a \Rightarrow 'a \ cset \Rightarrow bool \ (infix \notin_c 50) \ is \ op \notin .
definition cBall :: 'a \ cset \Rightarrow ('a \Rightarrow bool) \Rightarrow bool \ where
cBall \ A \ P = (\forall x. \ x \in_c A \longrightarrow P \ x)
```

**definition**  $cBex :: 'a \ cset \Rightarrow ('a \Rightarrow bool) \Rightarrow bool$  **where**  $cBex \ A \ P = (\exists \ x. \ x \in_c A \longrightarrow P \ x)$ 

**declare** cBall-def [mono,simp] **declare** cBex-def [mono,simp]

syntax

```
-cBall :: pttrn => 'a \ cset => bool => bool ((3\forall -\in_c -./ -) [0, 0, 10] 10)
  -cBex :: pttrn => 'a \ cset => bool => bool ((3\exists -\in_{c}-./-) [0, 0, 10] 10)
translations
 \forall x \in_{c} A. P == CONST \ cBall \ A \ (\%x. \ P)
 \exists x \in_{c} A. P == CONST \ cBex \ A \ (\%x. P)
definition cset\text{-}Collect :: ('a \Rightarrow bool) \Rightarrow 'a \ cset \ \mathbf{where}
cset-Collect = (acset \ o \ Collect)
lift-definition cset\text{-}Coll::'a\ cset\Rightarrow ('a\Rightarrow bool)\Rightarrow 'a\ cset\ \textbf{is}\ \lambda\ A\ P.\ \{x\in A.\ P\ x\}
 by (auto)
lemma cset-Coll-equiv: cset-Coll A P = cset-Collect (\lambda x. x \in_c A \land P x)
 by (simp add:cset-Collect-def cset-Coll-def cin-def)
declare cset-Collect-def [simp]
syntax
  -cColl :: pttrn => bool => 'a cset ((1\{-./-\}_c))
translations
  \{x \cdot P\}_c \rightleftharpoons (CONST \ cset\text{-}Collect) \ (\lambda \ x \cdot P)
syntax (xsymbols)
  -cCollect :: pttrn => 'a \ cset => bool => 'a \ cset \ ((1\{-\in_c/-./-\}_c))
translations
  \{x \in_c A. P\}_c => CONST \ cset\text{-}Coll \ A \ (\lambda \ x. \ P)
lemma cset-CollectI: P(a :: 'a :: countable) \implies a \in_c \{x. P x\}_c
 by (simp add: cin-def)
lemma cset-CollI: [a \in_c A; P \ a] \implies a \in_c \{x \in_c A. P \ x\}_c
  by (simp add: cin.rep-eq cset-Coll.rep-eq)
lemma cset-CollectD: (a :: 'a :: countable) \in_c \{x. \ P \ x\}_c \Longrightarrow P \ a
 by (simp add: cin-def)
lemma cset-Collect-cong: (\bigwedge x. P x = Q x) ==> \{x. P x\}_c = \{x. Q x\}_c
 by simp

    Avoid eta-contraction for robust pretty-printing.

print-translation
[Syntax-Trans.preserve-binder-abs-tr']
   @\{const\text{-}syntax\ cset\text{-}Collect\}\ @\{syntax\text{-}const\ \text{-}cColl\}]
lift-definition cset-set :: 'a list \Rightarrow 'a cset is set
  using countable-finite by blast
lemma countable-finite-power:
  countable(A) \Longrightarrow countable \{B. B \subseteq A \land finite(B)\}
  by (metis Collect-conj-eq Int-commute countable-Collect-finite-subset)
```

```
lift-definition cINTER :: 'a \ cset \Rightarrow ('a \Rightarrow 'b \ cset) \Rightarrow 'b \ cset is
\lambda \ A \ f. \ if \ (A = \{\}) \ then \ \{\} \ else \ INTER \ A \ f
 by (auto)
definition cInter :: 'a cset cset \Rightarrow 'a cset (\bigcap_{c}- [900] 900) where
\bigcap_{c} A = cINTER A id
lift-definition cfinite :: 'a cset \Rightarrow bool is finite.
lift-definition cInfinite :: 'a cset \Rightarrow bool is infinite.
lift-definition clist :: 'a::linorder cset \Rightarrow 'a list is sorted-list-of-set.
lift-definition ccard :: 'a \ cset \Rightarrow nat \ is \ card.
lift-definition cPow :: 'a \ cset \Rightarrow 'a \ cset \ cset \ is \ \lambda \ A. \ \{B. \ B \subseteq_c A \land cfinite(B)\}
proof -
 \mathbf{fix} \ A
 have \{B :: 'a \ cset. \ B \subseteq_c A \land cfinite \ B\} = acset \ `\{B :: 'a \ set. \ B \subseteq rcset \ A \land finite \ B\}
    apply (auto simp add: cfinite.rep-eq cin-def less-eq-cset-def countable-finite)
    using image-iff apply fastforce
    done
  moreover have countable \{B :: 'a \text{ set. } B \subseteq rcset \ A \land finite \ B\}
    \mathbf{by}\ (\mathit{auto\ intro}\colon \mathit{countable}\text{-}\mathit{finite}\text{-}\mathit{power})
  ultimately show countable \{B.\ B \subseteq_c A \land cfinite\ B\}
    by simp
qed
definition CCollect :: ('a \Rightarrow bool \ option) \Rightarrow 'a \ cset \ option \ where
CCollect \ p = (if \ (None \notin range \ p) \ then \ Some \ (cset-Collect \ (the \circ p)) \ else \ None)
definition cset-mapM :: 'a option cset \Rightarrow 'a cset option where
cset-mapM A = (if (None \in_{c} A) then None else Some (the 'c A))
lemma cset-mapM-Some-image [simp]:
  cset-mapM (cimage\ Some\ A) = Some\ A
 apply (auto simp add: cset-mapM-def)
 apply (metis cimage-cinsert cinsertI1 option.sel set-cinsert)
done
definition CCollect-ext :: ('a \Rightarrow 'b \ option) \Rightarrow ('a \Rightarrow bool \ option) \Rightarrow 'b \ cset \ option where
CCollect-ext f p = do \{ xs \leftarrow CCollect p; cset-mapM (f `c xs) \}
lemma the-Some-image [simp]:
  the \ `Some \ `xs = xs
 by (auto simp add:image-iff)
lemma CCollect-ext-Some [simp]:
  CCollect-ext Some \ xs = CCollect \ xs
  apply (case-tac CCollect xs)
  apply (auto simp add: CCollect-ext-def)
  done
lift-definition list-of-cset :: 'a :: linorder cset \Rightarrow 'a list is sorted-list-of-set.
lift-definition fset-cset :: 'a fset \Rightarrow 'a cset is id
  using uncountable-infinite by auto
```

```
definition cset\text{-}count :: 'a \ cset \Rightarrow 'a \Rightarrow nat \ \mathbf{where}
cset\text{-}count\ A =
  (if (finite (reset A))
  then (SOME f::'a \Rightarrow nat. inj-on f (reset A))
  else (SOME f::'a \Rightarrow nat.\ bij-betw\ f\ (rcset\ A)\ UNIV))
lemma cset-count-inj-seq:
  inj-on (cset-count A) (rcset A)
proof (cases finite (rcset A))
 case True note fin = this
 obtain count :: 'a \Rightarrow nat where count-inj: inj-on count (reset A)
   by (metis countable-def mem-Collect-eq rcset)
 with fin show ?thesis
   by (metis (poly-quards-query) cset-count-def someI-ex)
next
 case False note inf = this
 obtain count :: 'a \Rightarrow nat where count-bij: bij-betw count (reset A) UNIV
   by (metis countableE-infinite inf mem-Collect-eq rcset)
 with inf have bij-betw (cset-count A) (rcset A) UNIV
   by (metis (poly-guards-query) cset-count-def someI-ex)
 thus ?thesis
   by (metis bij-betw-imp-inj-on)
qed
lemma cset-count-infinite-bij:
 assumes infinite (reset A)
 shows bij-betw (cset-count A) (rcset A) UNIV
proof -
 from assms obtain count :: 'a \Rightarrow nat where count-bij: bij-betw count (reset A) UNIV
   by (metis countableE-infinite mem-Collect-eq rcset)
 with assms show ?thesis
   by (metis (poly-guards-query) cset-count-def some I-ex)
qed
definition cset\text{-}seq:: 'a \ cset \Rightarrow (nat \rightharpoonup 'a) where
cset\text{-}seq\ A\ i=(if\ (i\in range\ (cset\text{-}count\ A)\ \land\ inv\text{-}into\ (rcset\ A)\ (cset\text{-}count\ A)\ i\in_{c}\ A)
               then Some (inv-into (reset A) (cset-count A) i)
               else None)
lemma cset\text{-}seq\text{-}ran: ran (cset\text{-}seq A) = rcset(A)
 apply (auto simp add: ran-def cset-seq-def cin.rep-eq)
 apply (metis cset-count-inj-seq inv-into-f-f rangeI)
done
lemma cset-seq-inj: inj cset-seq
proof (rule injI)
 fix A B :: 'a cset
 assume cset\text{-}seq\ A=cset\text{-}seq\ B
 thus A = B
   by (metis cset-seq-ran rcset-inverse)
qed
lift-definition cset2seq :: 'a cset \Rightarrow 'a seq
is (\lambda \ A \ i.\ if\ (i \in cset\text{-}count\ A\ `rcset\ A)\ then\ inv\text{-}into\ (rcset\ A)\ (cset\text{-}count\ A)\ i\ else\ (SOME\ x.\ x \in_c
```

```
A)).
lemma range-cset2seq:
 A \neq \{\}_c \Longrightarrow range \ (Rep\text{-seq} \ (cset2seq \ A)) = rcset \ A
 by (force intro: some I2 simp add: cset2seq.rep-eq cset-count-inj-seq bot-cset.rep-eq cin.rep-eq)
lemma infinite-cset-count-surj: infinite (rcset A) \Longrightarrow surj (cset-count A)
 using bij-betw-imp-surj cset-count-infinite-bij by auto
lemma cset2seq-inj:
 inj-on cset2seq \{A. A \neq \{\}_c\}
 apply (rule inj-onI)
 apply (simp)
 apply (metis range-cset2seq rcset-inject)
 done
lift-definition nat\text{-}seq2set :: nat seq \Rightarrow nat set is
\lambda f. prod\text{-}encode ' \{(x, fx) \mid x. True\}.
lemma inj-nat-seq2set: inj nat-seq2set
proof (rule injI, transfer)
 assume prod-encode '\{(x, f x) | x. True\} = prod-encode '\{(x, g x) | x. True\}
 hence \{(x, f x) | x. True\} = \{(x, g x) | x. True\}
   by (simp add: inj-image-eq-iff[OF inj-prod-encode])
 thus f = g
   by (auto simp add: set-eq-iff)
qed
lift-definition bit\text{-}seg\text{-}of\text{-}nat\text{-}set :: nat set <math>\Rightarrow bit seg
is \lambda A i. if (i \in A) then 1 else 0.
lemma bit-seq-of-nat-set-inj: inj bit-seq-of-nat-set
 apply (rule injI)
 apply (transfer, auto)
  apply (metis bit.distinct(1))
 apply (meson zero-neg-one)
 done
lemma bit-seq-of-nat-cset-bij: bij bit-seq-of-nat-set
 apply (rule bijI)
  apply (fact bit-seq-of-nat-set-inj)
 apply (auto simp add: image-def)
 apply (transfer)
 apply (rename-tac x)
 apply (rule-tac x = \{i. \ x \ i = 1\} in exI)
 apply (auto)
 done
```

This function is a partial injection from countable sets of natural sets to natural sets. When used with the Schroeder-Bernstein theorem, it can be used to conjure a total bijection between these two types.

```
definition nat-set-cset-collapse :: nat set cset \Rightarrow nat set where nat-set-cset-collapse = inv bit-seq-of-nat-set \circ seq-inj \circ cset2seq \circ (\lambda A. (bit-seq-of-nat-set '_c A))
```

```
lemma nat-set-cset-collapse-inj: inj-on nat-set-cset-collapse \{A.\ A \neq \{\}_c\}
proof -
 have op 'c bit-seq-of-nat-set ' \{A. A \neq \{\}_c\} \subseteq \{A. A \neq \{\}_c\}
   by (auto simp add:cimage.rep-eq)
  thus ?thesis
   apply (simp add: nat-set-cset-collapse-def)
   apply (rule comp-inj-on)
    apply (meson bit-seq-of-nat-set-inj cset.inj-map injD inj-onI)
   apply (rule comp-inj-on)
    apply (metis cset2seq-inj subset-inj-on)
   apply (rule comp-inj-on)
    apply (rule subset-inj-on)
     apply (rule seq-inj)
    apply (simp)
   apply (meson UNIV-I bij-imp-bij-inv bij-is-inj bit-seq-of-nat-cset-bij subsetI subset-inj-on)
   done
qed
lemma inj-csingle:
 inj csingle
 by (auto intro: injI simp add: cinsert-def bot-cset.rep-eq)
lemma range-csingle:
 range csingle \subseteq \{A. \ A \neq \{\}_c\}
 by (auto)
lift-definition csets :: 'a set \Rightarrow 'a cset set is
\lambda A. \{B. B \subseteq A \land countable B\} by auto
lemma csets-finite: finite A \Longrightarrow finite (csets A)
 by (auto simp add: csets-def)
lemma csets-infinite: infinite A \Longrightarrow infinite (csets A)
 by (auto simp add: csets-def, metis csets.abs-eq csets.rep-eq finite-countable-subset finite-imageI)
lemma csets-UNIV:
  csets (UNIV :: 'a set) = (UNIV :: 'a cset set)
 by (auto simp add: csets-def, metis image-iff rcset rcset-inverse)
lemma infinite-nempty-cset:
 assumes infinite (UNIV :: 'a set)
 shows infinite (\{A. A \neq \{\}_c\} :: 'a cset set)
proof -
 have infinite (UNIV :: 'a cset set)
   by (metis assms csets-UNIV csets-infinite)
 hence infinite ((UNIV :: 'a \ cset \ set) - \{\{\}_c\})
   by (rule infinite-remove)
 thus ?thesis
   by (auto)
qed
lemma nat-set-cset-partial-bij:
 obtains f :: nat \ set \ cset \Rightarrow nat \ set \ where \ bij-betw \ f \ \{A.\ A \neq \{\}_c\} \ UNIV
  using Schroeder-Bernstein[OF nat-set-cset-collapse-inj, of UNIV csingle, simplified, OF inj-csingle
range-csingle
```

```
by (auto)
lemma nat-set-cset-bij:
 obtains f :: nat \ set \ cset \Rightarrow nat \ set \ where \ bij f
proof -
 obtain g :: nat \ set \ cset \Rightarrow nat \ set \ where \ bij-betw \ g \ \{A. \ A \neq \{\}_c\} \ UNIV
   using nat-set-cset-partial-bij by blast
 moreover obtain h: nat \ set \ cset \Rightarrow nat \ set \ cset \ where \ bij-betw \ h \ UNIV \ \{A.\ A \neq \{\}_c\}
 proof -
   have infinite (UNIV :: nat set cset set)
     by (metis Finite-Set.finite-set csets-UNIV csets-infinite infinite-UNIV-char-0)
   then obtain h' :: nat \ set \ cset \Rightarrow nat \ set \ cset \ where \ bij-betw \ h' \ UNIV \ (UNIV - \{\{\}_c\})
     using infinite-imp-bij-betw[of\ UNIV\ ::\ nat\ set\ cset\ set\ \{\}_c] by auto
   moreover have (UNIV :: nat set cset set) - \{\{\}_c\} = \{A. A \neq \{\}_c\}
     by (auto)
   ultimately show ?thesis
     using that by (auto)
  ultimately have bij (g \circ h)
   using bij-betw-trans by blast
  with that show ?thesis
   by (auto)
\mathbf{qed}
definition nat\text{-}set\text{-}cset\text{-}bij = (SOME f :: nat set cset <math>\Rightarrow nat set. bij f)
lemma bij-nat-set-cset-bij:
 bij nat-set-cset-bij
 by (metis nat-set-cset-bij nat-set-cset-bij-def someI-ex)
lemma inj-on-image-csets:
 inj-on f A \Longrightarrow inj-on (op '_c f) (csets A)
 by (fastforce simp add: inj-on-def cimage-def cin-def csets-def)
lemma image-csets-surj:
  \llbracket inj\text{-}on \ f \ A; \ f \ `A = B \ \rrbracket \Longrightarrow op \ `c \ f \ `csets \ A = csets \ B
 apply (auto simp add: cimage-def csets-def image-mono map-fun-def)
 apply (simp add: image-comp)
 apply (auto simp add: image-Collect)
 apply (erule subset-imageE)
 apply (auto)
 apply (metis countable-image reset-inverse reset-to-reset subset-inj-on the-inv-into-onto)
 done
lemma bij-betw-image-csets:
 bij-betw f A B \implies bij-betw (op `c f) (csets A) (csets B)
 \mathbf{by}\ (simp\ add\colon bij\text{-}betw\text{-}def\ inj\text{-}on\text{-}image\text{-}csets\ image\text{-}csets\text{-}surj)
end
      Map Type: extra functions and properties
6
theory Map-Extra
 imports
```

Main

HOL-Library. Countable-Set

```
HOL-Library.Monad-Syntax begin
```

#### 6.1 Functional Relations

```
definition functional :: ('a * 'b) set \Rightarrow bool where
functional \ g = inj-on fst \ g
definition functional-list :: ('a * 'b) list \Rightarrow bool where
functional-list xs = (\forall x y z. ListMem(x,y) xs \land ListMem(x,z) xs \longrightarrow y = z)
lemma functional-insert [simp]: functional (insert (x,y) g) \longleftrightarrow (g''\{x\} \subseteq \{y\} \land functional\ g)
 by (auto simp add:functional-def inj-on-def image-def)
lemma functional-list-nil[simp]: functional-list []
 by (simp add:functional-list-def ListMem-iff)
lemma functional-list: functional-list xs \longleftrightarrow functional \ (set \ xs)
 apply (induct xs)
  apply (simp add:functional-def)
 apply (simp add:functional-def functional-list-def ListMem-iff)
 apply (safe)
        apply (force)
       apply (force)
      apply (force)
     apply (force)
    apply (force)
   apply (force)
  apply (force)
 apply (force)
 done
6.2
       Graphing Maps
definition map-graph :: ('a \rightarrow 'b) \Rightarrow ('a * 'b) set where
map\text{-}graph f = \{(x,y) \mid x \ y. \ f \ x = Some \ y\}
definition graph-map :: ('a * 'b) set \Rightarrow ('a \rightharpoonup 'b) where
graph-map g = (\lambda \ x. \ if \ (x \in fst \ 'g) \ then \ Some \ (SOME \ y. \ (x,y) \in g) \ else \ None)
definition graph-map' :: ('a \times 'b) set \rightharpoonup ('a \rightharpoonup 'b) where
graph-map' R = (if (functional R) then Some (graph-map R) else None)
lemma map-graph-mem-equiv: (x, y) \in map-graph f \longleftrightarrow f(x) = Some y
 by (simp add: map-graph-def)
lemma map-graph-functional[simp]: functional\ (map-graph\ f)
 by (simp add:functional-def map-graph-def inj-on-def)
lemma map-graph-countable [simp]: countable (dom f) \Longrightarrow countable (map-graph f)
 apply (auto simp add:map-graph-def countable-def)
 apply (rename-tac\ f')
 apply (rule-tac x=f' \circ fst in exI)
 apply (auto simp add:inj-on-def dom-def)
 apply fastforce
 done
```

```
lemma map-graph-inv [simp]: graph-map (map-graph f) = f
   by (auto intro!:ext simp add:map-graph-def graph-map-def image-def)
lemma graph-map-empty[simp]: graph-map {} {} {} {} = empty
   by (simp add:graph-map-def)
\textbf{lemma} \ \textit{graph-map-insert} \ [\textit{simp}] : \llbracket \textit{functional} \ g; \ g``\{x\} \subseteq \{y\} \rrbracket \Longrightarrow \textit{graph-map} \ (\textit{insert} \ (x,y) \ g) = (\textit{graph-map-insert} \ (x,y) \ g) = (\textit{graph-m
g)(x \mapsto y)
   by (rule ext, auto simp add:graph-map-def)
lemma dom\text{-}map\text{-}graph: dom f = Domain(map\text{-}graph f)
   by (simp add: map-graph-def dom-def image-def)
lemma ran-map-graph: ran f = Range(map-graph f)
   by (simp add: map-graph-def ran-def image-def)
lemma ran-map-add-subset:
   ran (x ++ y) \subseteq (ran x) \cup (ran y)
   by (auto simp add:ran-def)
lemma finite-dom-graph: finite (dom f) \Longrightarrow finite (map-graph f)
   by (metis dom-map-graph finite-imageD fst-eq-Domain functional-def map-graph-functional)
lemma finite-dom-ran [simp]: finite (dom f) \Longrightarrow finite (ran f)
   by (metis finite-Range finite-dom-graph ran-map-graph)
lemma graph-map-inv [simp]: functional g \implies map-graph (graph-map g) = g
   apply (auto simp add:map-graph-def graph-map-def functional-def)
       apply (metis (lifting, no-types) image-iff option.distinct(1) option.inject someI surjective-pairing)
    apply (simp add:inj-on-def)
    apply (metis fst-conv snd-conv some-equality)
   apply (metis (lifting) fst-conv image-iff)
   done
lemma graph-map-dom: dom (graph-map R) = fst 'R
   by (simp add: graph-map-def dom-def)
lemma graph-map-countable-dom: countable R \implies countable \ (dom \ (graph-map \ R))
   by (simp add: graph-map-dom)
lemma countable-ran:
   assumes countable (dom f)
   shows countable (ran f)
proof -
   have countable (map-graph f)
       by (simp add: assms)
    then have countable (Range(map-graph f))
       by (simp add: Range-snd)
   thus ?thesis
       by (simp add: ran-map-graph)
qed
lemma map-graph-inv' [simp]:
   graph-map' (map-graph f) = Some f
```

```
by (simp add: graph-map'-def)
lemma map-graph-inj:
  inj map-graph
 by (metis injI map-graph-inv)
\textbf{lemma} \ \textit{map-eq-graph:} \ f = g \longleftrightarrow \textit{map-graph} \ f = \textit{map-graph} \ g
  by (auto simp add: inj-eq map-graph-inj)
lemma map-le-graph: f \subseteq_m g \longleftrightarrow map-graph f \subseteq map-graph g
  by (force simp add: map-le-def map-graph-def)
lemma map-graph-comp: map-graph (g \circ_m f) = (map-graph f) O (map-graph g)
  apply (auto simp add: map-comp-def map-graph-def relcomp-unfold)
 apply (rename-tac \ a \ b)
 apply (case-tac\ f\ a,\ auto)
  done
        Map Application
6.3
definition map-apply :: ('a \rightarrow 'b) \Rightarrow 'a \Rightarrow 'b \ (-'(-')_m \ [999,0] \ 999) where
map\text{-}apply = (\lambda f x. the (f x))
6.4
        Map Membership
fun map-member :: 'a \times 'b \Rightarrow ('a \rightharpoonup 'b) \Rightarrow bool (infix \in_m 50) where
(k, v) \in_m m \longleftrightarrow m(k) = Some(v)
lemma map-ext:
  \llbracket \bigwedge x \ y. \ (x, \ y) \in_m A \longleftrightarrow (x, \ y) \in_m B \rrbracket \Longrightarrow A = B
 by (rule ext, auto, metis not-Some-eq)
lemma map-member-alt-def:
  (x, y) \in_m A \longleftrightarrow (x \in dom \ A \land A(x)_m = y)
 by (auto simp add: map-apply-def)
lemma map-le-member:
 f \subseteq_m g \longleftrightarrow (\forall x y. (x,y) \in_m f \longrightarrow (x,y) \in_m g)
 by (force simp add: map-le-def)
6.5
        Preimage
definition preimage :: ('a \rightarrow 'b) \Rightarrow 'b \ set \Rightarrow 'a \ set where
preimage f B = \{x \in dom(f). the(f(x)) \in B\}
lemma preimage-range: preimage f (ran f) = dom f
 by (auto simp add: preimage-def ran-def)
lemma dom-preimage: dom (m \circ_m f) = preimage f (dom m)
  apply (auto simp add: dom-def preimage-def)
  apply (meson map-comp-Some-iff)
  apply (metis map-comp-def option.case-eq-if option.distinct(1))
  done
lemma countable-preimage:
```

 $\llbracket countable \ A; inj\text{-}on \ f \ (preimage \ f \ A) \ \rrbracket \Longrightarrow countable \ (preimage \ f \ A)$ 

```
apply (auto simp add: countable-def)
 apply (rename-tac g)
 apply (rule-tac x=g \circ the \circ f in exI)
 apply (rule inj-onI)
 apply (drule inj-onD)
    apply (auto simp add: preimage-def inj-onD)
 done
6.6
       Minus operation for maps
definition map-minus :: ('a \rightarrow 'b) \Rightarrow ('a \rightarrow 'b) \Rightarrow ('a \rightarrow 'b) (infixl -- 100)
where map-minus f g = (\lambda x. if (f x = g x) then None else f x)
lemma map-minus-apply [simp]: y \in dom(f -- g) \Longrightarrow (f -- g)(y)_m = f(y)_m
 by (auto simp add: map-minus-def dom-def map-apply-def)
lemma map-member-plus:
 (x, y) \in_m f ++ g \longleftrightarrow ((x \notin dom(g) \land (x, y) \in_m f) \lor (x, y) \in_m g)
 \mathbf{by} \ (\mathit{auto} \ \mathit{simp} \ \mathit{add} \colon \mathit{map-add-Some-iff})
lemma map-member-minus:
  (x, y) \in_m f \longrightarrow (x, y) \in_m f \land (\neg (x, y) \in_m g)
 by (auto simp add: map-minus-def)
\mathbf{lemma}\ \mathit{map-minus-plus-commute} :
  dom(g)\,\cap\,dom(h)=\{\} \Longrightarrow (f\,--\,g)\,++\,h=(f\,++\,h)\,--\,g
 apply (rule map-ext)
 apply (auto simp add: map-member-plus map-member-minus simp del: map-member.simps)
 apply (auto simp add: map-member-alt-def)
 done
```

 $\mathbf{lemma}\ \mathit{map-minus-common-subset} :$ 

$$[\![ h \subseteq_m f; h \subseteq_m g ]\!] \Longrightarrow (f -- h = g -- h) = (f = g)$$
  
by (auto simp add: map-eq-graph map-graph-minus map-le-graph)

**lemma** map-graph-minus: map-graph (f - g) = map-graph f - map-graph g**by** (auto simp add: map-minus-def map-graph-def, (meson option.distinct(1))+)

#### 6.7 Map Bind

Create some extra intro/elim rules to help dealing with proof about option bind.

**lemma** option-bindSomeE [elim!]:

```
\llbracket X >>= F = Some(v); \bigwedge^{\iota} x. \ \llbracket X = Some(x); F(x) = Some(v) \ \rrbracket \Longrightarrow P \ \rrbracket \Longrightarrow P by (case-tac X, auto)
```

**lemma** option-bindSomeI [intro]:

```
\llbracket X = Some(x); F(x) = Some(y) \rrbracket \Longrightarrow X >>= F = Some(y) by (simp)
```

**lemma** if Some E [elim]: [ (if c then Some(x) else None) = Some(y); [ c; x = y  $]] \Longrightarrow P$  by (case-tac c, auto)

## 6.8 Range Restriction

A range restriction operator; only domain restriction is provided in HOL.

```
definition ran-restrict-map :: ('a \rightarrow 'b) \Rightarrow 'b \ set \Rightarrow 'a \rightarrow 'b \ (-1 \cdot [111,110] \ 110) where
ran-restrict-map f B = (\lambda x. \ do \{ v < -f(x); \ if \ (v \in B) \ then \ Some(v) \ else \ None \})
lemma ran-restrict-empty [simp]: f \upharpoonright_{\{\}} = Map.empty
 by (simp add:ran-restrict-map-def)
lemma ran-restrict-ran [simp]: f \upharpoonright_{ran(f)} = f
 apply (auto simp add:ran-restrict-map-def ran-def)
 apply (rule ext)
  apply (case-tac f(x), auto)
  done
lemma ran-ran-restrict [simp]: ran(f | B) = ran(f) \cap B
  by (auto intro!:option-bindSomeI simp add:ran-restrict-map-def ran-def)
lemma dom-ran-restrict: dom(f|_B) \subseteq dom(f)
 by (auto simp add:ran-restrict-map-def dom-def)
lemma ran-restrict-finite-dom [intro]:
 finite(dom(f)) \Longrightarrow finite(dom(f|_B))
 by (metis finite-subset dom-ran-restrict)
lemma dom-Some [simp]: dom (Some \circ f) = UNIV
  by (auto)
lemma dom-left-map-add [simp]: x \in dom \ g \Longrightarrow (f ++ g) \ x = g \ x
 by (auto simp add:map-add-def dom-def)
lemma dom-right-map-add [simp]: [x \notin dom \ g; \ x \in dom \ f] \implies (f ++ g) \ x = f \ x
  by (auto simp add:map-add-def dom-def)
lemma map-add-restrict:
 f ++ g = (f \mid `(-dom g)) ++ g
 by (rule ext, auto simp add: map-add-def restrict-map-def)
6.9
        Map Inverse and Identity
definition map-inv :: ('a \rightharpoonup 'b) \Rightarrow ('b \rightharpoonup 'a) where
\textit{map-inv} \ f \equiv \lambda \ \textit{y. if} \ (\textit{y} \in \textit{ran} \ f) \ \textit{then} \ \textit{Some} \ (\textit{SOME} \ \textit{x.} \ \textit{f} \ \textit{x} = \textit{Some} \ \textit{y}) \ \textit{else} \ \textit{None}
definition map\text{-}id\text{-}on :: 'a \ set \Rightarrow ('a \rightharpoonup 'a) where
map-id-on xs \equiv \lambda x. if (x \in xs) then Some x else None
lemma map-id-on-in [simp]:
 x \in xs \Longrightarrow map\text{-}id\text{-}on \ xs \ x = Some \ x
 by (simp add:map-id-on-def)
lemma map-id-on-out [simp]:
  x \notin xs \Longrightarrow map\text{-}id\text{-}on \ xs \ x = None
 by (simp\ add:map-id-on-def)
lemma map-id-dom [simp]: dom (map-id-on xs) = xs
  by (simp add:dom-def map-id-on-def)
lemma map-id-ran [simp]: ran (map-id-on xs) = xs
```

```
by (force simp add:ran-def map-id-on-def)
lemma map-id-on-UNIV[simp]: map-id-on\ UNIV = Some
 by (simp add:map-id-on-def)
lemma map-id-on-inj [simp]:
 inj-on (map-id-on xs) xs
 \mathbf{by} \ (simp \ add:inj\text{-}on\text{-}def)
lemma map-inv-empty [simp]: map-inv empty = empty
 by (simp\ add:map-inv-def)
lemma map-inv-id [simp]:
 map-inv \ (map-id-on \ xs) = map-id-on \ xs
 by (force simp add:map-inv-def map-id-on-def ran-def)
lemma map-inv-Some [simp]: map-inv Some = Some
 by (simp add:map-inv-def ran-def)
lemma map-inv-f-f [simp]:
 \llbracket inj\text{-}on \ f \ (dom \ f); f \ x = Some \ y \ \rrbracket \implies map\text{-}inv \ f \ y = Some \ x
 apply (auto simp add: map-inv-def)
  apply (rule some-equality)
   apply (auto simp add:inj-on-def dom-def ran-def)
 done
lemma dom-map-inv [simp]:
 dom (map-inv f) = ran f
 by (auto simp add:map-inv-def)
lemma ran-map-inv [simp]:
 inj-on f (dom f) \Longrightarrow ran (map-inv f) = dom f
 apply (auto simp add:map-inv-def ran-def)
  apply (rename-tac \ a \ b)
  apply (rule-tac x=a in exI)
  apply (force intro:someI)
 apply (rename-tac \ x \ y)
 apply (rule-tac \ x=y \ \mathbf{in} \ exI)
 apply (auto)
 apply (rule some-equality, simp-all)
 apply (auto simp add:inj-on-def dom-def)
 done
lemma dom\text{-}image\text{-}ran: f \cdot dom f = Some \cdot ran f
 by (auto simp add:dom-def ran-def image-def)
lemma inj-map-inv [intro]:
 inj-on f (dom f) \Longrightarrow inj-on (map-inv f) (ran f)
 apply (auto simp add:map-inv-def inj-on-def dom-def ran-def)
 apply (rename-tac \ x \ y \ u \ v)
 apply (frule-tac P=\lambda xa. f xa = Some x in some-equality)
  apply (auto)
 apply (metis (mono-tags) option.sel someI)
 done
```

```
lemma inj-map-bij: inj-on f (dom f) \Longrightarrow bij-betw f (dom f) (Some 'ran f)
 by (auto simp add:inj-on-def dom-def ran-def image-def bij-betw-def)
lemma map-inv-map-inv [simp]:
 assumes inj-on f (dom f)
 shows map-inv (map-inv f) = f
proof -
 from assms have inj-on (map-inv f) (ran f)
   by auto
 thus ?thesis
   apply (rule-tac ext)
   apply (rename-tac x)
   apply (case-tac \exists y. map-inv f y = Some x)
    apply (auto)[1]
    apply (simp add:map-inv-def)
    apply (rename-tac \ x \ y)
    apply (case-tac y \in ran f, simp-all)
    apply (auto)
    apply (rule\ some I2-ex)
     apply (simp add:ran-def)
    apply (simp)
  \mathbf{apply} \ (metis\ assms\ dom\mbox{-}image\mbox{-}ran\ dom\mbox{-}map\mbox{-}inv\ image\mbox{-}iff\ map\mbox{-}add\mbox{-}dom\mbox{-}app\mbox{-}simps(2)\ map\mbox{-}add\mbox{-}dom\mbox{-}app\mbox{-}simps(3)
ran-map-inv)
   done
qed
lemma map-self-adjoin-complete [intro]:
 assumes dom f \cap ran f = \{\} inj\text{-}on f (dom f)\}
 shows inj-on (map-inv f ++ f) (dom f \cup ran f)
 \mathbf{apply} \ (\mathit{rule} \ \mathit{inj-onI})
 apply (insert assms)
 apply (rename-tac \ x \ y)
 apply (case-tac \ x \in dom \ f)
  apply (simp)
  apply (case-tac y \in dom f)
   apply (simp add:inj-on-def)
  apply (case-tac\ y \in ran\ f)
   apply (subgoal-tac\ y \in dom\ (map-inv\ f))
    apply (simp)
    apply (metis Int-iff domD empty-iff ranI ran-map-inv)
   apply (simp)
  apply (simp)
 apply (simp)
 apply (case-tac \ y \in dom \ f)
  apply (simp)
  apply (case-tac \ y \in ran \ f)
   apply (subgoal-tac\ y \in dom\ (map-inv\ f))
    apply (simp)
    apply (metis Int-iff domD empty-iff ranI ran-map-inv)
   apply (simp)
  apply (metis Int-iff domD empty-iff ranI ran-map-inv)
 apply (simp)
 apply (metis (lifting) inj-map-inv inj-on-contraD)
```

#### done

```
lemma inj-completed-map [intro]:
 \llbracket dom f = ran f; inj - on f (dom f) \rrbracket \implies inj (Some ++ f)
 apply (drule inj-map-bij)
 apply (auto simp add:bij-betw-def)
 apply (auto simp add:inj-on-def)[1]
 apply (rename-tac \ x \ y)
 apply (case-tac \ x \in dom \ f)
  apply (simp)
  apply (case-tac\ y \in dom\ f)
   apply (simp)
  apply (simp add:ran-def)
 apply (case-tac\ y \in dom\ f)
  apply (auto intro:ranI)
 done
lemma bij-completed-map [intro]:
 \llbracket dom f = ran f; inj-on f (dom f) \rrbracket \Longrightarrow
  bij-betw (Some ++ f) UNIV (range Some)
 apply (auto intro: inj-completed-map simp add:bij-betw-def)
  apply (rename-tac x)
  apply (case-tac \ x \in dom \ f)
   apply (simp)
   apply (metis domD rangeI)
  apply (simp)
 apply (simp add:image-def)
 apply (metis (full-types) dom-image-ran dom-left-map-add image-iff map-add-dom-app-simps(3))
 done
lemma bij-map-Some:
 bij-betw f a (Some 'b) \Longrightarrow bij-betw (the \circ f) a b
 apply (simp \ add:bij-betw-def)
 apply (safe)
   apply (metis (hide-lams, no-types) comp-inj-on-iff f-the-inv-into-f inj-on-inverseI option.sel)
  apply (metis (hide-lams, no-types) comp-apply image-iff option.sel)
 apply (metis imageI image-comp option.sel)
 done
lemma ran-map-add [simp]:
 m'(dom \ m \cap dom \ n) = n'(dom \ m \cap dom \ n) \Longrightarrow
  ran(m++n) = ran \ n \cup ran \ m
 apply (auto simp add:ran-def)
  apply (metis map-add-find-right)
 apply (rename-tac \ x \ a)
 apply (case-tac \ a \in dom \ n)
  apply (subgoal-tac \exists b. n b = Some x)
   apply (auto)
   apply (rename-tac \ x \ a \ b \ y)
   apply (rule-tac x=b in exI)
   apply (simp)
  apply (metis (hide-lams, no-types) IntI domI image-iff)
 apply (metis (full-types) map-add-None map-add-dom-app-simps(1) map-add-dom-app-simps(3) not-None-eq)
 done
```

```
lemma ran-maplets [simp]:
  \llbracket length \ xs = length \ ys; \ distinct \ xs \ \rrbracket \Longrightarrow ran \ [xs \ [\mapsto] \ ys] = set \ ys
   by (induct rule:list-induct2, simp-all)
lemma inj-map-add:
  \llbracket inj\text{-}on \ f \ (dom \ f); \ inj\text{-}on \ g \ (dom \ g); \ ran \ f \cap ran \ g = \{\} \ \rrbracket \Longrightarrow
  inj-on (f ++ g) (dom f \cup dom g)
 apply (auto simp add:inj-on-def)
     apply (metis (full-types) disjoint-iff-not-equal domI dom-left-map-add map-add-dom-app-simps(3)
ranI)
    apply (metis\ dom I)
   apply (metis disjoint-iff-not-equal ranI)
  apply (metis disjoint-iff-not-equal domIff map-add-Some-iff ranI)
 apply (metis \ dom I)
 done
lemma map-inv-add [simp]:
 assumes inj-on f (dom f) inj-on g (dom g)
         dom f \cap dom g = \{\} ran f \cap ran g = \{\}
 shows map-inv (f ++ g) = map-inv f ++ map-inv g
proof (rule ext)
 from assms have minj: inj-on (f ++ g) (dom (f ++ g))
   by (simp, metis inj-map-add sup-commute)
 have x \in ran \ g \Longrightarrow map\text{-}inv \ (f ++ g) \ x = (map\text{-}inv \ f ++ map\text{-}inv \ g) \ x
 proof -
   assume ran:x \in ran g
   then obtain y where dom: g \ y = Some \ x \ y \in dom \ g
     by (auto simp add:ran-def)
   hence (f ++ g) y = Some x
     by simp
   with assms minj ran dom show map-inv (f ++ g) x = (map-inv f ++ map-inv g) x
     by simp
 qed
 moreover have \llbracket x \notin ran \ g; \ x \in ran \ f \ \rrbracket \Longrightarrow map\text{-}inv \ (f \ ++ \ g) \ x = (map\text{-}inv \ f \ ++ \ map\text{-}inv \ g) \ x
  proof -
   assume ran: x \notin ran \ g \ x \in ran \ f
   with assms obtain y where dom:fy = Some \ x \ y \in dom \ f \ y \notin dom \ g
     by (auto simp add:ran-def)
   with ran have (f ++ g) y = Some x
     by (simp)
   with assms minj ran dom show map-inv (f ++ g) x = (map-inv f ++ map-inv g) x
     by simp
 \mathbf{qed}
 moreover from assms minj have [x \notin ran \ g; x \notin ran \ f] \implies map-inv \ (f ++ g) \ x = (map-inv \ f)
++ map-inv g) x
   apply (auto simp add:map-inv-def ran-def map-add-def)
```

```
apply (metis\ dom\ -left\ -map\ -add\ map\ -add\ -def\ map\ -add\ -dom\ -app\ -simps(3))
   done
  ultimately show map-inv (f ++ g) x = (map-inv f ++ map-inv g) x
   apply (case-tac x \in ran g)
    apply (simp)
   apply (case-tac \ x \in ran \ f)
    apply (simp-all)
   done
qed
lemma map-add-lookup [simp]:
  x \notin dom f \Longrightarrow ([x \mapsto y] ++ f) \ x = Some \ y
 by (simp add:map-add-def dom-def)
lemma map\text{-}add\text{-}Some: Some ++ f = map\text{-}id\text{-}on (- dom f) ++ f
 apply (rule ext)
 apply (rename-tac x)
 apply (case-tac \ x \in dom \ f)
  apply (simp-all)
  done
lemma distinct-map-dom:
  x \notin set \ xs \Longrightarrow x \notin dom \ [xs \ [\mapsto] \ ys]
 by (simp add:dom-def)
lemma distinct-map-ran:
  \llbracket distinct \ xs; \ y \notin set \ ys; \ length \ xs = length \ ys \ \rrbracket \Longrightarrow
  y \notin ran([xs \mapsto ys])
 apply (simp add:map-upds-def)
 apply (subgoal-tac distinct (map fst (rev (zip xs ys))))
 apply (simp add:ran-distinct)
 apply (metis (hide-lams, no-types) image-iff set-zip-rightD surjective-pairing)
 apply (simp add:zip-rev[THEN sym])
done
lemma maplets-lookup[rule-format,dest]:
  \llbracket length \ xs = length \ ys; \ distinct \ xs \ \rrbracket \Longrightarrow
    \forall y. [xs \mapsto ys] x = Some y \longrightarrow y \in set ys
 by (induct rule:list-induct2, auto)
lemma maplets-distinct-inj [intro]:
  \llbracket \ length \ xs = length \ ys; \ distinct \ xs; \ distinct \ ys; \ set \ xs \cap set \ ys = \{\} \ \rrbracket \Longrightarrow
  inj-on [xs \mapsto ys] (set xs)
  apply (induct rule:list-induct2)
  apply (simp-all)
  apply (rule\ conjI)
  apply (rule\ inj\text{-}onI)
  apply (rename-tac \ x \ xs \ y \ ys \ xa \ ya)
  apply (case-tac \ xa = x)
   apply (simp)
  apply (case-tac \ xa = y)
   apply (simp)
  apply (simp)
  apply (case-tac\ ya = x)
```

```
apply (simp)
  apply (simp add:inj-on-def)
  apply (auto)
  apply (rename-tac \ x \ ss \ y \ ss \ xa)
 apply (case-tac \ xa = y)
  apply (simp)
 \mathbf{apply} \ (\mathit{metis} \ \mathit{maplets-lookup})
  done
lemma map-inv-maplet[simp]: map-inv [x \mapsto y] = [y \mapsto x]
  by (auto simp add:map-inv-def)
lemma map-inv-maplets [simp]:
  \llbracket length \ xs = length \ ys; \ distinct \ xs; \ distinct \ ys; \ set \ xs \cap set \ ys = \{\} \ \rrbracket \Longrightarrow
  map\text{-}inv \ [xs \ [\mapsto] \ ys] = [ys \ [\mapsto] \ xs]
 apply (induct rule:list-induct2)
  apply (simp-all)
 apply (rename-tac \ x \ xs \ y \ ys)
 apply (subgoal-tac map-inv ([xs \mapsto y] ++ [x \mapsto y]) = map-inv [xs \mapsto y] ++ map-inv [x \mapsto y])
  apply (simp)
  apply (rule map-inv-add)
    apply (auto)
  done
lemma maplets-lookup-nth [rule-format,simp]:
  \llbracket length \ xs = length \ ys; \ distinct \ xs \ \rrbracket \Longrightarrow
  \forall i < length \ ys. \ [xs \ [\mapsto] \ ys] \ (xs \ ! \ i) = Some \ (ys \ ! \ i)
  apply (induct rule:list-induct2)
  apply (auto)
  apply (rename-tac \ x \ xs \ y \ ys \ i)
  apply (case-tac \ i)
   apply (simp-all)
  apply (metis nth-mem)
  apply (rename-tac \ x \ xs \ y \ ys \ i)
  apply (case-tac i)
  apply (auto)
  done
theorem the-Some [simp]: the \circ Some = id
 by (simp add:comp-def id-def)
theorem inv-map-inv:
  \llbracket inj\text{-}on f (dom f); ran f = dom f \rrbracket
  \implies inv \ (the \circ (Some ++ f)) = the \circ map-inv \ (Some ++ f)
 apply (rule ext)
  apply (simp add:map-add-Some)
 apply (simp add:inv-def)
 apply (rename-tac x)
  apply (case-tac \exists y. fy = Some x)
  apply (erule exE)
  apply (rename-tac \ x \ y)
  apply (subgoal-tac \ x \in ran \ f)
   apply (subgoal-tac\ y \in dom\ f)
    apply (simp)
    apply (rule some-equality)
```

```
apply (simp)
    apply (metis (hide-lams, mono-tags) domD domI dom-left-map-add inj-on-contraD map-add-Some
map-add-dom-app-simps(3) \ option.sel)
   apply (simp add:dom-def)
  apply (metis ranI)
 apply (simp)
 apply (rename-tac x)
 apply (subgoal\text{-}tac\ x \notin ran\ f)
  apply (simp)
  apply (rule some-equality)
   apply (simp)
  apply (metis domD dom-left-map-add map-add-Some map-add-dom-app-simps(3) option.sel)
 apply (metis dom-image-ran image-iff)
 done
lemma map-comp-dom: dom (g \circ_m f) \subseteq dom f
 by (metis (lifting, full-types) Collect-mono dom-def map-comp-simps(1))
lemma map-comp-assoc: f \circ_m (g \circ_m h) = f \circ_m g \circ_m h
proof
 \mathbf{fix} \ x
 show (f \circ_m (g \circ_m h)) x = (f \circ_m g \circ_m h) x
 proof (cases \ h \ x)
   {\bf case}\ {\it None}\ {\bf thus}\ {\it ?thesis}
     by (auto simp add: map-comp-def)
 next
   case (Some y) thus ?thesis
     by (auto simp add: map-comp-def)
qed
lemma map-comp-runit [simp]: f \circ_m Some = f
 by (simp add: map-comp-def)
lemma map-comp-lunit [simp]: Some \circ_m f = f
proof
 \mathbf{fix} \ x
 show (Some \circ_m f) x = f x
 \mathbf{proof}\ (\mathit{cases}\ f\ x)
   case None thus ?thesis
     by (simp add: map-comp-def)
 \mathbf{next}
   case (Some y) thus ?thesis
     by (simp \ add: \ map-comp-def)
 qed
qed
lemma map-comp-apply [simp]: (f \circ_m g) x = g(x) >>= f
 by (auto simp add: map-comp-def option.case-eq-if)
6.10
         Merging of compatible maps
definition comp-map :: ('a \rightarrow 'b) \Rightarrow ('a \rightarrow 'b) \Rightarrow bool (infixl \parallel_m 60) where
comp\text{-}map\ f\ g = (\forall\ x \in dom(f) \cap dom(g).\ the(f(x)) = the(g(x)))
lemma comp-map-unit: Map.empty \parallel_m f
```

```
by (simp add: comp-map-def)
lemma comp-map-refl: f \parallel_m f
  by (simp add: comp-map-def)
lemma comp-map-sym: f \parallel_m g \Longrightarrow g \parallel_m f
 by (simp add: comp-map-def)
definition merge :: ('a \rightharpoonup 'b) \ set \Rightarrow 'a \rightharpoonup 'b \ \mathbf{where}
merge\ fs =
 (\lambda \ x. \ if \ (\exists \ f \in \mathit{fs}. \ x \in \mathit{dom}(f)) \ then \ (\mathit{THE} \ y. \ \forall \ f \in \mathit{fs}. \ x \in \mathit{dom}(f) \longrightarrow f(x) = y) \ else \ \mathit{None})
lemma merge-empty: merge \{\} = Map.empty
 by (simp add: merge-def)
lemma merge-singleton: merge \{f\} = f
 apply (auto intro!: ext simp add: merge-def)
  using option.collapse apply fastforce
  done
6.11
          Conversion between lists and maps
definition map-of-list :: 'a list \Rightarrow (nat \rightharpoonup 'a) where
map-of-list xs = (\lambda i. if (i < length xs) then Some (xs!i) else None)
lemma map-of-list-nil\ [simp]:\ map-of-list\ []=Map.empty
 by (simp add: map-of-list-def)
lemma dom\text{-}map\text{-}of\text{-}list\ [simp]:\ dom\ (map\text{-}of\text{-}list\ xs) = \{0..< length\ xs\}
  by (auto simp add: map-of-list-def dom-def)
lemma ran-map-of-list [simp]: ran (map-of-list xs) = set xs
  apply (simp add: ran-def map-of-list-def)
 apply (safe)
  apply (force)
  apply (meson in-set-conv-nth)
  done
definition list-of-map :: (nat \rightarrow 'a) \Rightarrow 'a \ list \ \mathbf{where}
list-of-map f = (if \ (f = Map.empty) \ then \ [] \ else \ map \ (the \circ f) \ [0 \ .. < Suc(GREATEST \ x. \ x \in dom \ f)])
lemma list-of-map-empty [simp]: list-of-map Map.empty = []
 by (simp add: list-of-map-def)
definition list-of-map' :: (nat \rightharpoonup 'a) \rightharpoonup 'a list where
list-of-map' f = (if (\exists n. dom f = \{0...< n\}) then Some (list-of-map f) else None)
lemma map\text{-}of\text{-}list\text{-}inv [simp]: list\text{-}of\text{-}map (map\text{-}of\text{-}list xs) = xs
proof (cases \ xs = [])
  case True thus ?thesis by (simp)
next
  case False
 moreover hence (GREATEST \ x. \ x \in dom \ (map-of-list \ xs)) = length \ xs - 1
   by (auto intro: Greatest-equality)
  moreover from False have map-of-list xs \neq Map.empty
   by (metis ran-empty ran-map-of-list set-empty)
```

```
ultimately show ?thesis
by (auto simp add: list-of-map-def map-of-list-def nth-equalityI)
qed

6.12 Map Comprehension

Map comprehension simply converts a relation built through set comprehension into a map.

syntax
-Mapcompr :: 'a \Rightarrow 'b \Rightarrow idts \Rightarrow bool \Rightarrow 'a \rightharpoonup 'b \quad ((1[-\mapsto - |/-./-]))

translations
-Mapcompr F G xs P = CONST graph-map \{(F, G) \mid xs. P\}

lemma map-compr-eta:
[x \mapsto y \mid x \ y. \ (x, y) \in_m f] = f
```

apply (metis (mono-tags, lifting) Domain.DomainI fst-eq-Domain mem-Collect-eq old.prod.case op-

tion.distinct(1) option.expand option.sel) done

apply (rule ext)

```
lemma map-compr-simple: [x \mapsto F \ x \ y \mid x \ y. \ (x, \ y) \in_m f] = (\lambda \ x. \ do \{ \ y \leftarrow f(x); \ Some(F \ x \ y) \})
```

apply (rule ext)
apply (auto simp add: graph-map-def image-Collect)
done

**lemma** map-compr-dom-simple [simp]: dom  $[x \mapsto f \ x \mid x. \ P \ x] = \{x. \ P \ x\}$ 

**apply** (auto simp add: graph-map-def)

by (force simp add: graph-map-dom image-Collect)

lemma map-compr-ran-simple [simp]:  $ran \ [x \mapsto f \ x \mid x. \ P \ x] = \{f \ x \mid x. \ P \ x\}$ apply (auto simp add: graph-map-def ran-def) apply (metis (mono-tags, lifting) fst-eqD image-eqI mem-Collect-eq someI) done

**lemma** map-compr-eval-simple [simp]:  $[x \mapsto f \ x \mid x. \ P \ x] \ x = (if \ (P \ x) \ then \ Some \ (f \ x) \ else \ None)$  **by** (auto simp add: graph-map-def image-Collect)

#### 6.13 Sorted lists from maps

```
definition sorted-list-of-map :: ('a::linorder \rightharpoonup 'b) \Rightarrow ('a \times 'b) list where sorted-list-of-map f = map \ (\lambda \ k. \ (k, \ the \ (f \ k))) (sorted-list-of-set(dom(f))) 
lemma sorted-list-of-map-empty [simp]: sorted-list-of-map Map.empty = \lceil
```

```
by (simp add: sorted-list-of-map-def)
lemma sorted-list-of-map-inv:
   assumes finite(dom(f))
   shows map-of (sorted-list-of-map f) = f
proof -
   obtain A where finite A A = dom(f)
```

```
by (simp add: assms)
 thus ?thesis
 proof (induct A rule: finite-induct)
   case empty thus ?thesis
     by (simp add: sorted-list-of-map-def, metis dom-empty empty-iff map-le-antisym map-le-def)
 next
   case (insert x A) thus ?thesis
     by (simp add: sorted-list-of-map-def, metis finite-insert map-of-map-keys sorted-list-of-set)
 qed
qed
declare map-member.simps [simp del]
6.14
        Extra map lemmas
lemma map-eqI:
 \llbracket dom f = dom g; \forall x \in dom(f). the(fx) = the(gx) \rrbracket \Longrightarrow f = g
 by (metis domIff map-le-antisym map-le-def option.expand)
lemma map-restrict-dom-compl: f \mid `(-dom f) = Map.empty
 by (metis dom-eq-empty-conv dom-restrict inf-compl-bot)
lemma restrict-map-neg-disj:
 dom(f) \cap A = \{\} \Longrightarrow f \mid `(-A) = f
 by (auto simp add: restrict-map-def, rule ext, auto, metis disjoint-iff-not-equal domIff)
lemma map-plus-restrict-dist: (f ++ g) \mid A = (f \mid A) ++ (g \mid A)
 by (auto simp add: restrict-map-def map-add-def)
lemma map-plus-eq-left:
 assumes f ++ h = g ++ h
 shows (f \mid `(-dom\ h)) = (g \mid `(-dom\ h))
proof -
 have h \mid `(-dom h) = Map.empty
   by (metis Compl-disjoint dom-eq-empty-conv dom-restrict)
 then have f2: f \mid `(-dom \ h) = (f ++ h) \mid `(-dom \ h)
   by (simp add: map-plus-restrict-dist)
 have h \mid `(-dom h) = Map.empty
   by (metis (no-types) Compl-disjoint dom-eq-empty-conv dom-restrict)
 then show ?thesis
   using f2 assms by (simp add: map-plus-restrict-dist)
qed
lemma map-add-split:
 dom(f) = A \cup B \Longrightarrow (f \mid A) ++ (f \mid B) = f
 by (rule ext, auto simp add: map-add-def restrict-map-def option.case-eq-if)
lemma map-le-via-restrict:
 f \subseteq_m g \longleftrightarrow g \mid `dom(f) = f
 by (auto simp add: map-le-def restrict-map-def dom-def fun-eq-iff)
```

end

# 7 Alternative List Lexicographic Order

```
theory List-Lexord-Alt
imports Main
begin
```

Since we can't instantiate the order class twice for lists, and we want prefix as the default order for the UTP we here add syntax for the lexicographic order relation.

```
definition list-lex-less :: 'a::linorder list \Rightarrow 'a list \Rightarrow bool (infix <_l 50) where xs <_l ys \longleftrightarrow (xs, ys) \in lexord \{(u, v). u < v\}

lemma list-lex-less-neq [simp]: x <_l y \Longrightarrow x \neq y
apply (simp add: list-lex-less-def)
apply (meson case-prodD less-irrefl lexord-irreflexive mem-Collect-eq)
done

lemma not-less-Nil [simp]: \neg x <_l []
by (simp add: list-lex-less-def)

lemma Nil-less-Cons [simp]: [] <_l a \# x
by (simp add: list-lex-less-def)

lemma Cons-less-Cons [simp]: a \# x <_l b \# y \longleftrightarrow a < b \lor a = b \land x <_l y
by (simp add: list-lex-less-def)
end
```

# 8 Partial Functions

theory Partial-Fun imports Map-Extra begin

I'm not completely satisfied with partial functions as provided by Map.thy, since they don't have a unique type and so we can't instantiate classes, make use of adhoc-overloading etc. Consequently I've created a new type and derived the laws.

## 8.1 Partial function type and operations

```
typedef ('a, 'b) pfun = UNIV :: ('a \rightarrow 'b) \ set ...
setup-lifting type-definition-pfun

lift-definition pfun-app :: ('a, 'b) \ pfun \Rightarrow 'a \Rightarrow 'b \ (-'(-')_p \ [999,0] \ 999) \ is
\lambda \ f \ x. \ if \ (x \in dom \ f) \ then \ the \ (f \ x) \ else \ undefined \ .

lift-definition pfun-upd :: ('a, 'b) \ pfun \Rightarrow 'a \Rightarrow 'b \Rightarrow ('a, 'b) \ pfun
is \lambda \ f \ k \ v. \ f(k := Some \ v) .

lift-definition pdom :: ('a, 'b) \ pfun \Rightarrow 'a \ set \ is \ dom .

lift-definition pfun-comp :: ('a, 'b) \ pfun \Rightarrow 'b \ set \ is \ ran .

lift-definition pfun-comp :: ('b, 'c) \ pfun \Rightarrow ('a, 'b) \ pfun \Rightarrow ('a, 'c) \ pfun \ (infixl \circ_p \ 55) \ is \ map-comp .
```

```
lift-definition pfun-member :: a \times b \Rightarrow (a, b) pfun \Rightarrow bool (infix \in_p 50) is op \in_m .
lift-definition pId-on :: 'a set \Rightarrow ('a, 'a) pfun is \lambda A x. if (x \in A) then Some x else None.
abbreviation pId :: ('a, 'a) pfun where
pId \equiv pId\text{-}on \ UNIV
lift-definition plambda :: ('a \Rightarrow bool) \Rightarrow ('a \Rightarrow 'b) \Rightarrow ('a, 'b) pfun
is \lambda \ P f x. if (P x) then Some (f x) else None.
lift-definition pdom-res :: 'a set \Rightarrow ('a, 'b) pfun \Rightarrow ('a, 'b) pfun (infixl \triangleleft_p 85)
is \lambda A f. restrict-map f A.
lift-definition pran-res :: ('a, 'b) pfun \Rightarrow 'b set \Rightarrow ('a, 'b) pfun (infixl \triangleright_p 85)
is ran-restrict-map.
lift-definition pfun-graph :: ('a, 'b) pfun \Rightarrow ('a \times 'b) set is map-graph.
lift-definition graph-pfun :: ('a \times 'b) set \Rightarrow ('a, 'b) pfun is graph-map.
lift-definition pfun-entries :: 'k set \Rightarrow ('k \Rightarrow 'v) \Rightarrow ('k, 'v) pfun is
\lambda \ df \ x. \ if \ (x \in d) \ then \ Some \ (f \ x) \ else \ None.
definition pcard :: ('a, 'b) pfun \Rightarrow nat
where pcard f = card (pdom f)
instantiation pfun :: (type, type) zero
lift-definition zero-pfun :: ('a, 'b) pfun is Map.empty .
instance ..
end
abbreviation pempty :: ('a, 'b) pfun (\{\}_p)
where pempty \equiv 0
instantiation pfun :: (type, type) plus
lift-definition plus-pfun :: ('a, 'b) pfun \Rightarrow ('a, 'b) pfun \Rightarrow ('a, 'b) pfun is op ++.
instance ..
end
instantiation pfun :: (type, type) minus
lift-definition minus-pfun :: ('a, 'b) pfun \Rightarrow ('a, 'b) pfun \Rightarrow ('a, 'b) pfun is op --.
instance ..
\mathbf{end}
instance pfun :: (type, type) monoid-add
 by (intro-classes, (transfer, auto)+)
instantiation pfun :: (type, type) inf
lift-definition inf-pfun :: ('a, 'b) pfun \Rightarrow ('a, 'b) pfun \Rightarrow ('a, 'b) pfun is
\lambda f g x. if (x \in dom(f) \cap dom(g) \wedge f(x) = g(x)) then f(x) else None.
```

instance ..

```
end
```

```
abbreviation pfun-inter :: ('a, 'b) pfun \Rightarrow ('a, 'b) pfun \Rightarrow ('a, 'b) pfun (infixl \cap_p 80)
where pfun-inter \equiv inf
instantiation pfun :: (type, type) order
begin
 lift-definition less-eq-pfun :: ('a, 'b) pfun \Rightarrow ('a, 'b) pfun \Rightarrow bool is
  \lambda f g. f \subseteq_m g.
 lift-definition less-pfun :: ('a, 'b) pfun \Rightarrow ('a, 'b) pfun \Rightarrow bool is
 \lambda f g. f \subseteq_m g \wedge f \neq g.
instance
 by (intro-classes, (transfer, auto intro: map-le-trans simp add: map-le-antisym)+)
end
abbreviation pfun-subset :: ('a, 'b) pfun \Rightarrow ('a, 'b) pfun \Rightarrow bool (infix \subset_p 50)
where pfun-subset \equiv less
abbreviation pfun-subset-eq :: ('a, 'b) pfun \Rightarrow ('a, 'b) pfun \Rightarrow bool (infix \subseteq_p 50)
where pfun-subset-eq \equiv less-eq
instance pfun :: (type, type) semilattice-inf
 by (intro-classes, (transfer, auto simp add: map-le-def dom-def)+)
lemma pfun-subset-eq-least [simp]:
  \{\}_p \subseteq_p f
  by (transfer, auto)
syntax
  -PfunUpd :: [('a, 'b) pfun, maplets] => ('a, 'b) pfun (-'(-')_p [900,0]900)
            :: maplets => ('a, 'b) pfun
                                                 ((1\{-\}_p))
            :: pttrn \Rightarrow logic \Rightarrow logic \Rightarrow logic (\lambda - | - . - [0,0,10] 10)
  -plam
translations
  -PfunUpd\ m\ (-Maplets\ xy\ ms)\ ==\ -PfunUpd\ (-PfunUpd\ m\ xy)\ ms
  -PfunUpd\ m\ (-maplet\ x\ y) == CONST\ pfun-upd\ m\ x\ y
  -Pfun ms
                                => -PfunUpd (CONST pempty) ms
  -Pfun \ (-Maplets \ ms1 \ ms2) <= -PfunUpd \ (-Pfun \ ms1) \ ms2
  -Pfun ms
                                <= -PfunUpd (CONST pempty) ms
  \lambda x \mid P \cdot e
                               => CONST \ plambda \ (\lambda \ x. \ P) \ (\lambda \ x. \ e)
  \lambda x \mid P \cdot e
                               \langle = CONST \ plambda \ (\lambda \ x. \ P) \ (\lambda \ y. \ e)
 \lambda y \mid P \cdot e
                               <= CONST plambda (\lambda x. P) (\lambda y. e)
 \lambda y \mid f v y \cdot e
                               <= CONST plambda (f v) (\lambda y. e)
        Algebraic laws
8.2
lemma pfun-comp-assoc: f \circ_p (g \circ_p h) = (f \circ_p g) \circ_p h
 by (transfer, simp add: map-comp-assoc)
lemma pfun-comp-left-id [simp]: pId \circ_p f = f
  by (transfer, auto)
lemma pfun-comp-right-id [simp]: f \circ_p pId = f
  by (transfer, auto)
lemma pfun-override-dist-comp:
```

```
(f+g) \circ_p h = (f \circ_p h) + (g \circ_p h)
 apply (transfer)
 apply (rule ext)
 apply (auto simp add: map-add-def)
 apply (rename-tac\ f\ g\ h\ x)
 apply (case-tac h(x))
  apply (auto)
 apply (rename-tac\ f\ g\ h\ x\ y)
 apply (case-tac \ g \ y)
  apply (auto)
 done
lemma pfun-minus-unit [simp]:
 fixes f :: ('a, 'b) pfun
 \mathbf{shows}\ f\ -\ \theta\ = f
 by (transfer, simp add: map-minus-def)
lemma pfun-minus-zero [simp]:
 fixes f :: ('a, 'b) pfun
 shows \theta - f = \theta
 by (transfer, simp add: map-minus-def)
lemma pfun-minus-self [simp]:
 fixes f :: ('a, 'b) pfun
 shows f - f = 0
 by (transfer, simp add: map-minus-def)
lemma pfun-minus-plus-commute:
  pdom(g) \cap pdom(h) = \{\} \Longrightarrow (f - g) + h = (f + h) - g
 by (transfer, simp add: map-minus-plus-commute)
lemma pfun-plus-minus:
 f \subseteq_p g \Longrightarrow (g - f) + f = g
 by (transfer, rule ext, auto simp add: map-le-def map-minus-def map-add-def option.case-eq-if)
lemma pfun-minus-common-subset:
  \llbracket h \subseteq_p f; h \subseteq_p g \rrbracket \Longrightarrow (f - h = g - h) = (f = g)
 by (transfer, simp add: map-minus-common-subset)
lemma pfun-minus-plus:
 pdom(f) \cap pdom(g) = \{\} \Longrightarrow (f+g) - g = f
 by (transfer, simp add: map-add-def map-minus-def option.case-eq-if, rule ext, auto)
    (metis Int-commute domIff insert-disjoint(1) insert-dom)
8.3
       Lambda abstraction
lemma plambda-app [simp]: (\lambda x \mid P x \cdot f x)(v)_p = (if (P v) then (f v) else undefined)
 by (transfer, auto)
lemma plambda-eta [simp]: (\lambda x \mid x \in pdom(f), f(x)_p) = f
 by (transfer; auto simp add: domIff)
lemma plambda-id [simp]: (\lambda x \mid Px \cdot x) = pId-on \{x \cdot Px\}
 by (transfer, simp)
```

# 8.4 Membership, application, and update

```
lemma pfun-ext: \llbracket \bigwedge x \ y. \ (x, y) \in_p f \longleftrightarrow (x, y) \in_p g \rrbracket \Longrightarrow f = g
 by (transfer, simp add: map-ext)
lemma pfun-member-alt-def:
  (x, y) \in_p f \longleftrightarrow (x \in pdom f \land f(x)_p = y)
  by (transfer, auto simp add: map-member-alt-def map-apply-def)
lemma pfun-member-plus:
  (x, y) \in_p f + g \longleftrightarrow ((x \notin pdom(g) \land (x, y) \in_p f) \lor (x, y) \in_p g)
  by (transfer, simp add: map-member-plus)
lemma pfun-member-minus:
  (x, y) \in_p f - g \longleftrightarrow (x, y) \in_p f \land (\neg (x, y) \in_p g)
 by (transfer, simp add: map-member-minus)
lemma pfun-app-upd-1 [simp]: x = y \Longrightarrow (f(x \mapsto v)_p)(y)_p = v
  by (transfer, simp)
lemma pfun-app-upd-2 [simp]: x \neq y \Longrightarrow (f(x \mapsto v)_p)(y)_p = f(y)_p
  by (transfer, simp)
lemma pfun-upd-add [simp]: f + g(x \mapsto v)_p = (f + g)(x \mapsto v)_p
  by (transfer, simp)
lemma pfun-upd-twice [simp]: f(x \mapsto u, x \mapsto v)_p = f(x \mapsto v)_p
  by (transfer, simp)
lemma pfun-upd-comm:
  assumes x \neq y
  shows f(y \mapsto u, x \mapsto v)_p = f(x \mapsto v, y \mapsto u)_p
  using assms by (transfer, auto)
lemma pfun-upd-comm-linorder [simp]:
  fixes x y :: 'a :: linorder
 assumes x < y
 shows f(y \mapsto u, x \mapsto v)_p = f(x \mapsto v, y \mapsto u)_p
  using assms by (transfer, auto)
lemma pfun-app-minus [simp]: x \notin pdom \ g \Longrightarrow (f - g)(x)_p = f(x)_p
  by (transfer, auto simp add: map-minus-def)
lemma pfun-app-empty [simp]: \{\}_p(x)_p = undefined
 by (transfer, simp)
lemma pfun-app-not-in-dom:
  x \notin pdom(f) \Longrightarrow f(x)_p = undefined
 \mathbf{by}\ (\mathit{transfer},\ \mathit{simp})
lemma pfun-upd-minus [simp]:
  x \notin pdom \ g \Longrightarrow (f - g)(x \mapsto v)_p = (f(x \mapsto v)_p - g)
  by (transfer, auto simp add: map-minus-def)
lemma pdom-member-minus-iff [simp]:
```

 $x \notin pdom \ g \Longrightarrow x \in pdom(f-g) \longleftrightarrow x \in pdom(f)$ 

```
by (transfer, simp add: domIff map-minus-def)
lemma psubseteq-pfun-upd1 [intro]:
  \llbracket f \subseteq_p g; x \notin pdom(g) \rrbracket \Longrightarrow f \subseteq_p g(x \mapsto v)_p
  by (transfer, auto simp add: map-le-def dom-def)
lemma psubseteq-pfun-upd2 [intro]:
  \llbracket f \subseteq_p g; x \notin pdom(f) \rrbracket \Longrightarrow f \subseteq_p g(x \mapsto v)_p
 by (transfer, auto simp add: map-le-def dom-def)
lemma psubseteq-pfun-upd3 [intro]:
  \llbracket f \subseteq_p g; g(x)_p = v \rrbracket \Longrightarrow f \subseteq_p g(x \mapsto v)_p
 by (transfer, auto simp add: map-le-def dom-def)
\mathbf{lemma}\ psubseteq-dom-subset:
 f \subseteq_p g \Longrightarrow pdom(f) \subseteq pdom(g)
 by (transfer, auto simp add: map-le-def dom-def)
lemma psubseteq-ran-subset:
 f \subseteq_p g \Longrightarrow pran(f) \subseteq pran(g)
 by (transfer, auto simp add: map-le-def dom-def ran-def, fastforce)
8.5
        Domain laws
lemma pdom\text{-}zero [simp]: pdom 0 = {}
 by (transfer, simp)
lemma pdom-pId-on [simp]: pdom (pId-on A) = A
  by (transfer, auto)
lemma pdom-plus [simp]: pdom (f + g) = pdom f \cup pdom g
 by (transfer, auto)
lemma pdom-inter: pdom (f \cap_p g) \subseteq pdom f \cap pdom g
 by (transfer, auto simp add: dom-def)
lemma pdom-comp [simp]: pdom (g \circ_p f) = pdom (f \triangleright_p pdom g)
  by (transfer, auto simp add: ran-restrict-map-def)
lemma pdom-upd [simp]: pdom (f(k \mapsto v)_p) = insert \ k \ (pdom \ f)
 \mathbf{by}\ (\mathit{transfer},\ \mathit{simp})
lemma pdom\text{-}plamda [simp]: pdom (\lambda x \mid P x \cdot f x) = \{x \cdot P x\}
  by (transfer, auto)
lemma pdom\text{-}pdom\text{-}res [simp]: pdom (A \triangleleft_p f) = A \cap pdom(f)
  by (transfer, auto)
lemma pdom-graph-pfun [simp]: pdom (graph-pfun R) = Domain R
  by (transfer, simp add: Domain-fst graph-map-dom)
lemma pdom-pran-res-finite [simp]:
 finite\ (pdom\ f) \Longrightarrow finite\ (pdom\ (f \rhd_p A))
 by (transfer, auto)
```

**lemma** pdom-pfun-graph-finite [simp]:

```
finite (pdom f) \Longrightarrow finite (pfun-graph f)
by (transfer, simp \ add: finite-dom-graph)
8.6 Range laws
```

**lemma** pran-zero [simp]: pran  $\theta = \{\}$  by (transfer, simp)

**lemma** pran-pId-on [simp]: pran  $(pId-on\ A) = A$  **by**  $(transfer,\ auto\ simp\ add:\ ran-def)$ 

**lemma** pran-upd [simp]: pran  $(f(k \mapsto v)_p) = insert\ v\ (pran\ ((-\{k\}) \triangleleft_p f))$ **by**  $(transfer,\ auto\ simp\ add:\ ran-def\ restrict-map-def)$ 

**lemma** pran-plamda [simp]: pran  $(\lambda x \mid Px \cdot fx) = \{fx \mid x \cdot Px\}$ **by** (transfer, auto simp add: ran-def)

**lemma** pran-pran-res [simp]: pran  $(f \rhd_p A) = pran(f) \cap A$  by (transfer, auto)

**lemma** pran-comp [simp]: pran  $(g \circ_p f) = pran (pran f \triangleleft_p g)$ **by** (transfer, auto simp add: ran-def restrict-map-def)

**lemma** pran-finite [simp]: finite  $(pdom \ f) \Longrightarrow finite \ (pran \ f)$  by  $(transfer, \ auto)$ 

### 8.7 Domain restriction laws

**lemma** pdom-res-zero [simp]:  $A \triangleleft_p \{\}_p = \{\}_p$  **by** (transfer, auto)

lemma pdom-res-empty [simp]:

$$(\{\} \triangleleft_p f) = \{\}_p$$
  
by  $(transfer, auto)$ 

**lemma** pdom-res-UNIV [simp]:  $UNIV \triangleleft_p f = f$  **by** (transfer, auto)

**lemma**  $pdom\text{-}res\text{-}alt\text{-}def \colon A \lhd_p f = f \circ_p pId\text{-}on \ A$  **by**  $(transfer, rule\ ext,\ auto\ simp\ add \colon restrict\text{-}map\text{-}def)$ 

lemma pdom-res-upd-in [simp]:

$$k \in A \Longrightarrow A \triangleleft_p f(k \mapsto v)_p = (A \triangleleft_p f)(k \mapsto v)_p$$
  
by  $(transfer, auto)$ 

**lemma** pdom-res-upd-out [simp]:

$$k \notin A \Longrightarrow A \triangleleft_p f(k \mapsto v)_p = A \triangleleft_p f$$
  
by  $(transfer, auto)$ 

**lemma** pfun-pdom-antires-upd [simp]:

$$k \in A \Longrightarrow ((-A) \triangleleft_p m)(k \mapsto v)_p = ((-(A - \{k\})) \triangleleft_p m)(k \mapsto v)_p$$
  
by  $(transfer, simp)$ 

**lemma** pdom-antires-insert-notin [simp]:

$$k \notin pdom(f) \Longrightarrow (-insert \ k \ A) \triangleleft_p f = (-A) \triangleleft_p f$$
  
**by**  $(transfer, auto simp add: restrict-map-def)$ 

```
lemma pdom-res-override [simp]: A \triangleleft_p (f + g) = (A \triangleleft_p f) + (A \triangleleft_p g)
 by (simp add: pdom-res-alt-def pfun-override-dist-comp)
lemma pdom-res-minus [simp]: A \triangleleft_p (f - g) = (A \triangleleft_p f) - g
  by (transfer, auto simp add: map-minus-def restrict-map-def)
lemma pdom-res-swap: (A \triangleleft_p f) \triangleright_p B = A \triangleleft_p (f \triangleright_p B)
  by (transfer, auto simp add: restrict-map-def ran-restrict-map-def)
lemma pdom-res-twice [simp]: A \triangleleft_p (B \triangleleft_p f) = (A \cap B) \triangleleft_p f
  by (transfer, auto simp add: Int-commute)
lemma pdom-res-comp [simp]: A \triangleleft_p (g \circ_p f) = g \circ_p (A \triangleleft_p f)
  by (simp add: pdom-res-alt-def pfun-comp-assoc)
lemma pdom-res-apply [simp]:
  x \in A \Longrightarrow (A \lhd_p f)(x)_p = f(x)_p
 by (transfer, auto)
8.8
        Range restriction laws
lemma pran-res-zero [simp]: \{\}_p \rhd_p A = \{\}_p
 by (transfer, auto simp add: ran-restrict-map-def)
lemma pran-res-upd-1 [simp]: v \in A \Longrightarrow f(x \mapsto v)_p \triangleright_p A = (f \triangleright_p A)(x \mapsto v)_p
  by (transfer, auto simp add: ran-restrict-map-def)
lemma pran-res-upd-2 [simp]: v \notin A \Longrightarrow f(x \mapsto v)_p \rhd_p A = ((-\{x\}) \vartriangleleft_p f) \rhd_p A
  \mathbf{by}\ (\mathit{transfer},\ \mathit{auto}\ \mathit{simp}\ \mathit{add}\colon \mathit{ran-restrict-map-def})
lemma pran-res-alt-def: f \triangleright_p A = pId-on A \circ_p f
 by (transfer, rule ext, auto simp add: ran-restrict-map-def)
lemma pran-res-override: (f + g) \triangleright_p A \subseteq_p (f \triangleright_p A) + (g \triangleright_p A)
  apply (transfer, auto simp add: map-add-def ran-restrict-map-def map-le-def)
  apply (rename-tac\ f\ g\ A\ a\ y\ x)
 apply (case-tac \ g \ a)
  apply (auto)
 done
8.9
        Graph laws
lemma pfun-graph-inv: graph-pfun (pfun-graph f) = f
 by (transfer, simp)
lemma pfun-graph-zero: pfun-graph \theta = \{\}
  by (transfer, simp add: map-graph-def)
lemma pfun-graph-pId-on: pfun-graph (pId-on A) = Id-on A
  by (transfer, auto simp add: map-graph-def)
lemma pfun-graph-minus: pfun-graph (f - g) = pfun-graph f - pfun-graph g
  by (transfer, simp add: map-graph-minus)
\textbf{lemma} \ \textit{pfun-graph-inter: pfun-graph} \ (f \ \cap_p \ g) = \textit{pfun-graph} \ f \ \cap \ \textit{pfun-graph} \ g
```

```
apply (transfer, auto simp add: map-graph-def)
  apply (metis\ option.discI)+
 done
8.10
         Entries
lemma pfun-entries-empty [simp]: pfun-entries \{\}\ f = \{\}_p
 by (transfer, simp)
lemma pfun-entries-apply-1 [simp]:
 x \in d \Longrightarrow (pfun\text{-}entries\ d\ f)(x)_p = f\ x
 by (transfer, auto)
lemma pfun-entries-apply-2 [simp]:
 x \notin d \Longrightarrow (pfun\text{-}entries\ d\ f)(x)_p = undefined
 by (transfer, auto)
8.11
         Summation
definition pfun-sum :: ('k, 'v::comm-monoid-add) pfun \Rightarrow 'v where
pfun\text{-}sum f = sum (pfun\text{-}app f) (pdom f)
lemma pfun-sum-empty [simp]: pfun-sum \{\}_p = 0
 by (simp add: pfun-sum-def)
lemma pfun-sum-upd-1:
 assumes finite(pdom(m)) \ k \notin pdom(m)
 shows pfun-sum (m(k \mapsto v)_p) = pfun-sum m + v
 by (simp-all add: pfun-sum-def assms, metis add.commute assms(2) pfun-app-upd-2 sum.cong)
lemma pfun-sums-upd-2:
 assumes finite(pdom(m))
 shows pfun-sum (m(k \mapsto v)_p) = pfun\text{-sum} ((-\{k\}) \triangleleft_p m) + v
proof (cases k \notin pdom(m))
 case True
 then show ?thesis
   by (simp add: pfun-sum-upd-1 assms)
next
 {f case} False
 then show ?thesis
   using assms pfun-sum-upd-1 [of ((-\{k\}) \triangleleft_p m) k v]
   by (simp add: pfun-sum-upd-1)
\mathbf{qed}
lemma pfun-sum-dom-res-insert [simp]:
 assumes x \in pdom \ f \ x \notin A \ finite \ A
 shows pfun-sum ((insert \ x \ A) \lhd_p f) = f(x)_p + pfun-sum (A \lhd_p f)
 using assms by (simp add: pfun-sum-def)
lemma pfun-sum-pdom-res:
 fixes f :: ('a, 'b :: ab - group - add) pfun
 assumes finite(pdom f)
 shows pfun\text{-}sum (A \triangleleft_p f) = pfun\text{-}sum f - (pfun\text{-}sum ((-A) \triangleleft_p f))
 have 1:A \cap pdom(f) = pdom(f) - (pdom(f) - A)
   by (auto)
```

```
show ?thesis
   apply (simp add: pfun-sum-def)
   apply (subst 1)
   apply (subst sum-diff)
     apply (auto simp add: sum-diff Diff-subset Int-commute boolean-algebra-class.diff-eq assms)
   done
qed
lemma pfun-sum-pdom-antires [simp]:
 fixes f :: ('a, 'b::ab-group-add) pfun
 assumes finite(pdom f)
 shows pfun-sum ((-A) \triangleleft_p f) = pfun-sum (A \triangleleft_p f)
 by (subst pfun-sum-pdom-res, simp-all add: assms)
Hide implementation details for partial functions
lifting-update pfun.lifting
lifting-forget pfun.lifting
end
9
      Finite Functions
theory Finite-Fun
imports Map-Extra Partial-Fun FSet-Extra
begin
9.1
       Finite function type and operations
typedef ('a, 'b) ffun = \{f :: ('a, 'b) pfun. finite(pdom(f))\}
 morphisms pfun-of Abs-pfun
 by (rule-tac \ x=\{\}_p \ in \ exI, \ auto)
setup-lifting type-definition-ffun
lift-definition ffun-app :: ('a, 'b) ffun \Rightarrow 'a \Rightarrow 'b (-'(-')_f [999,0] 999) is pfun-app.
lift-definition ffun-upd :: ('a, 'b) ffun \Rightarrow 'a \Rightarrow 'b \Rightarrow ('a, 'b) ffun is pfun-upd by simp
lift-definition fdom :: ('a, 'b) ffun \Rightarrow 'a set is pdom .
lift-definition fran :: ('a, 'b) ffun \Rightarrow 'b set is pran.
lift-definition ffun-comp :: ('b, 'c) ffun \Rightarrow ('a, 'b) ffun \Rightarrow ('a, 'c) ffun (infixl \circ_f 55) is pfun-comp by
auto
lift-definition ffun-member :: a \times b \Rightarrow (a, b) ffun \Rightarrow bool (infix e_f 50) is op e_p.
lift-definition fdom-res :: 'a set \Rightarrow ('a, 'b) ffun \Rightarrow ('a, 'b) ffun (infixl \triangleleft_f 85)
is pdom-res by simp
lift-definition fran-res :: ('a, 'b) ffun \Rightarrow 'b set \Rightarrow ('a, 'b) ffun (infixl \triangleright_f 85)
is pran-res by simp
lift-definition ffun-graph :: ('a, 'b) ffun \Rightarrow ('a \times 'b) set is pfun-graph.
```

```
lift-definition graph-ffun :: ('a \times 'b) set \Rightarrow ('a, 'b) ffun is
  \lambda R. if (finite (Domain R)) then graph-pfun R else pempty
 by (simp add: finite-Domain)
{\bf instantiation}\ \mathit{ffun}\ ::\ (\mathit{type},\ \mathit{type})\ \mathit{zero}
begin
lift-definition zero-ffun :: ('a, 'b) ffun is \theta by simp
instance ..
end
abbreviation fempty :: ('a, 'b) ffun (\{\}_f)
where fempty \equiv 0
instantiation ffun :: (type, type) plus
lift-definition plus-ffun :: ('a, 'b) ffun \Rightarrow ('a, 'b) ffun \Rightarrow ('a, 'b) ffun is op + by simp
instance ..
end
instantiation ffun :: (type, type) minus
begin
lift-definition minus-ffun :: ('a, 'b) ffun \Rightarrow ('a, 'b) ffun \Rightarrow ('a, 'b) ffun is op –
 by (metis finite-Diff finite-Domain pdom-graph-pfun pdom-pfun-graph-finite pfun-graph-inv pfun-graph-minus)
instance ..
end
instance ffun :: (type, type) monoid-add
 by (intro-classes, (transfer, simp add: add.assoc)+)
instantiation ffun :: (type, type) inf
begin
lift-definition inf-ffun :: ('a, 'b) ffun \Rightarrow ('a, 'b) ffun \Rightarrow ('a, 'b) ffun is inf
 by (meson finite-Int infinite-super pdom-inter)
instance ..
end
abbreviation ffun-inter :: ('a, 'b) ffun \Rightarrow ('a, 'b) ffun \Rightarrow ('a, 'b) ffun (infixl \cap_f 80)
where ffun-inter \equiv inf
instantiation ffun :: (type, type) order
begin
 lift-definition less-eq-ffun :: ('a, 'b) ffun \Rightarrow ('a, 'b) ffun \Rightarrow bool is
 \lambda f g. f \subseteq_{p} g.
 lift-definition less-ffun :: ('a, 'b) ffun \Rightarrow ('a, 'b) ffun \Rightarrow bool is
 \lambda f g. f < g.
instance
 by (intro-classes, (transfer, auto)+)
end
abbreviation ffun-subset :: ('a, 'b) ffun \Rightarrow ('a, 'b) ffun \Rightarrow bool (infix \subseteq_f 50)
where ffun-subset \equiv less
abbreviation ffun-subset-eq :: ('a, 'b) ffun \Rightarrow ('a, 'b) ffun \Rightarrow bool (infix \subseteq_f 50)
where ffun-subset-eq \equiv less-eq
```

```
instance ffun :: (type, type) semilattice-inf
 by (intro-classes, (transfer, auto)+)
lemma ffun-subset-eq-least [simp]:
  \{\}_f \subseteq_f f
 by (transfer, auto)
syntax
  -FfunUpd :: [('a, 'b) ffun, maplets] => ('a, 'b) ffun (-'(-')_f [900, 0]900)
          :: maplets => ('a, 'b) ffun
                                                   ((1\{-\}_f))
translations
  \operatorname{-Ffun}Upd\ m\ (\operatorname{-Maplets}\ xy\ ms)\ ==\ \operatorname{-Ffun}Upd\ (\operatorname{-Ffun}Upd\ m\ xy)\ ms
  -FfunUpd\ m\ (-maplet\ x\ y) == CONST\ ffun-upd\ m\ x\ y
  -Ffun ms
                              => -FfunUpd (CONST fempty) ms
 -Ffun \ (-Maplets \ ms1 \ ms2) <= -Ffun Upd \ (-Ffun \ ms1) \ ms2
                               <= -FfunUpd (CONST fempty) ms
  -Ffun ms
9.2
        Algebraic laws
lemma ffun-comp-assoc: f \circ_f (g \circ_f h) = (f \circ_f g) \circ_f h
 by (transfer, simp add: pfun-comp-assoc)
lemma pfun-override-dist-comp:
 (f+g) \circ_f h = (f \circ_f h) + (g \circ_f h)
 by (transfer, simp add: pfun-override-dist-comp)
lemma ffun-minus-unit [simp]:
 fixes f :: ('a, 'b) ffun
 shows f - \theta = f
 by (transfer, simp)
lemma ffun-minus-zero [simp]:
 fixes f :: ('a, 'b) ffun
 shows \theta - f = \theta
 by (transfer, simp)
lemma ffun-minus-self [simp]:
 fixes f :: ('a, 'b) ffun
 \mathbf{shows}\ f - f = \theta
 by (transfer, simp)
lemma ffun-minus-plus-commute:
 fdom(g) \cap fdom(h) = \{\} \Longrightarrow (f - g) + h = (f + h) - g
 by (transfer, simp add: pfun-minus-plus-commute)
lemma ffun-plus-minus:
 f \subseteq_f g \Longrightarrow (g - f) + f = g
 by (transfer, simp add: pfun-plus-minus)
lemma ffun-minus-common-subset:
  \llbracket h \subseteq_f f; h \subseteq_f g \rrbracket \Longrightarrow (f - h = g - h) = (f = g)
 by (transfer, simp add: pfun-minus-common-subset)
lemma ffun-minus-plus:
 fdom(f) \cap fdom(g) = \{\} \Longrightarrow (f + g) - g = f
```

# 9.3 Membership, application, and update

```
lemma ffun-ext: \llbracket \bigwedge x \ y. \ (x, \ y) \in_f f \longleftrightarrow (x, \ y) \in_f g \rrbracket \Longrightarrow f = g
 by (transfer, simp add: pfun-ext)
\mathbf{lemma}\ \mathit{ffun-member-alt-def}\colon
  (x, y) \in_f f \longleftrightarrow (x \in fdom f \land f(x)_f = y)
 by (transfer, simp add: pfun-member-alt-def)
lemma ffun-member-plus:
  (x, y) \in_f f + g \longleftrightarrow ((x \notin fdom(g) \land (x, y) \in_f f) \lor (x, y) \in_f g)
 by (transfer, simp add: pfun-member-plus)
lemma ffun-member-minus:
  (x, y) \in_f f - g \longleftrightarrow (x, y) \in_f f \land (\neg (x, y) \in_f g)
  by (transfer, simp add: pfun-member-minus)
lemma ffun-app-upd-1 [simp]: x = y \Longrightarrow (f(x \mapsto v)_f)(y)_f = v
  by (transfer, simp)
lemma ffun-app-upd-2 [simp]: x \neq y \Longrightarrow (f(x \mapsto v)_f)(y)_f = f(y)_f
 by (transfer, simp)
lemma ffun-upd-add [simp]: f + g(x \mapsto v)_f = (f + g)(x \mapsto v)_f
 by (transfer, simp)
lemma ffun-upd-twice [simp]: f(x \mapsto u, x \mapsto v)_f = f(x \mapsto v)_f
 by (transfer, simp)
lemma ffun-upd-comm:
  assumes x \neq y
 shows f(y \mapsto u, x \mapsto v)_f = f(x \mapsto v, y \mapsto u)_f
  using assms by (transfer, simp add: pfun-upd-comm)
lemma ffun-upd-comm-linorder [simp]:
  fixes x y :: 'a :: linorder
  assumes x < y
 shows f(y \mapsto u, x \mapsto v)_f = f(x \mapsto v, y \mapsto u)_f
  using assms by (transfer, auto)
lemma ffun-app-minus [simp]: x \notin fdom \ g \Longrightarrow (f - g)(x)_f = f(x)_f
  by (transfer, auto)
lemma ffun-upd-minus [simp]:
  x \notin fdom \ g \Longrightarrow (f - g)(x \mapsto v)_f = (f(x \mapsto v)_f - g)
 by (transfer, auto)
lemma fdom-member-minus-iff [simp]:
```

 $x \notin fdom \ g \Longrightarrow x \in fdom(f-g) \longleftrightarrow x \in fdom(f)$ 

 $\llbracket f \subseteq_f g; x \notin fdom(g) \rrbracket \Longrightarrow f \subseteq_f g(x \mapsto v)_f$ 

by (transfer, simp)

**by** (transfer, auto)

**lemma** fsubseteq-ffun-upd1 [intro]:

```
lemma fsubseteq-ffun-upd2 [intro]:
  \llbracket f \subseteq_f g; x \notin fdom(f) \rrbracket \Longrightarrow f \subseteq_f g(x \mapsto v)_f
 by (transfer, auto)
lemma psubseteq-pfun-upd3 [intro]:
  \llbracket f \subseteq_f g; g(x)_f = v \rrbracket \Longrightarrow f \subseteq_f g(x \mapsto v)_f
  by (transfer, auto)
lemma fsubseteq-dom-subset:
 f \subseteq_f g \Longrightarrow fdom(f) \subseteq fdom(g)
 by (transfer, auto simp add: psubseteq-dom-subset)
lemma fsubseteq-ran-subset:
 f \subseteq_f g \Longrightarrow fran(f) \subseteq fran(g)
 by (transfer, simp add: psubseteq-ran-subset)
9.4
        Domain laws
lemma fdom\text{-}zero [simp]: fdom \theta = \{\}
 by (transfer, simp)
lemma fdom-plus [simp]: fdom (f + g) = fdom f \cup fdom g
 by (transfer, auto)
lemma fdom-inter: fdom (f \cap_f g) \subseteq fdom f \cap fdom g
 by (transfer, meson pdom-inter)
lemma fdom-comp [simp]: fdom (g \circ_f f) = fdom (f \rhd_f fdom g)
  by (transfer, auto)
lemma fdom-upd [simp]: fdom (f(k \mapsto v)_f) = insert \ k \ (fdom \ f)
 by (transfer, simp)
lemma fdom\text{-}fdom\text{-}res [simp]: fdom (A \triangleleft_f f) = A \cap fdom(f)
  by (transfer, auto)
lemma fdom-graph-ffun [simp]:
 finite\ (Domain\ R) \Longrightarrow fdom\ (graph-ffun\ R) = Domain\ R
 by (transfer, simp add: Domain-fst graph-map-dom)
9.5
        Range laws
lemma fran-zero [simp]: fran \theta = \{\}
 by (transfer, simp)
lemma fran-upd [simp]: fran (f(k \mapsto v)_f) = insert \ v \ (fran \ ((-\{k\}) \triangleleft_f f))
 by (transfer, auto)
lemma fran-fran-res [simp]: fran (f \triangleright_f A) = fran(f) \cap A
 by (transfer, auto)
lemma fran-comp [simp]: fran (g \circ_f f) = fran (fran f \triangleleft_f g)
 by (transfer, auto)
```

#### 9.6 Domain restriction laws

```
lemma fdom-res-zero [simp]: A \triangleleft_f \{\}_f = \{\}_f
  by (transfer, auto)
lemma pdom-res-upd-in [simp]:
  k \in A \Longrightarrow A \triangleleft_f f(k \mapsto v)_f = (A \triangleleft_f f)(k \mapsto v)_f
  by (transfer, auto)
lemma pdom-res-upd-out [simp]:
  k \notin A \Longrightarrow A \triangleleft_f f(k \mapsto v)_f = A \triangleleft_f f
  by (transfer, auto)
lemma fdom-res-override [simp]: A \triangleleft_f (f + g) = (A \triangleleft_f f) + (A \triangleleft_f g)
  \mathbf{by}\ (\mathit{metis}\ \mathit{fdom-res.rep-eq}\ \mathit{pdom-res-override}\ \mathit{pfun-of-inject}\ \mathit{plus-ffun.rep-eq})
lemma fdom-res-minus [simp]: A \triangleleft_f (f - g) = (A \triangleleft_f f) - g
  by (transfer, auto)
lemma fdom-res-swap: (A \triangleleft_f f) \triangleright_f B = A \triangleleft_f (f \triangleright_f B)
  by (transfer, simp add: pdom-res-swap)
lemma fdom-res-twice [simp]: A \triangleleft_f (B \triangleleft_f f) = (A \cap B) \triangleleft_f f
  by (transfer, auto)
lemma fdom-res-comp [simp]: A \triangleleft_f (g \circ_f f) = g \circ_f (A \triangleleft_f f)
```

#### 9.7 Range restriction laws

**by** (transfer, simp)

```
lemma fran-res-zero [simp]: \{\}_f \rhd_f A = \{\}_f
  by (transfer, auto)
lemma fran-res-upd-1 [simp]: v \in A \Longrightarrow f(x \mapsto v)_f \triangleright_f A = (f \triangleright_f A)(x \mapsto v)_f
  by (transfer, auto)
lemma fran-res-upd-2 [simp]: v \notin A \Longrightarrow f(x \mapsto v)_f \rhd_f A = ((-\{x\}) \lhd_f f) \rhd_f A
  by (transfer, auto)
lemma fran-res-override: (f + g) \triangleright_f A \subseteq_f (f \triangleright_f A) + (g \triangleright_f A)
  by (transfer, simp add: pran-res-override)
```

#### 9.8 Graph laws

```
lemma ffun-graph-inv: graph-ffun (ffun-graph f) = f
 by (transfer, auto simp add: pfun-graph-inv finite-Domain)
lemma ffun-graph-zero: ffun-graph 0 = {}
 \mathbf{by}\ (\mathit{transfer},\ \mathit{simp}\ \mathit{add}\colon \mathit{pfun}\text{-}\mathit{graph}\text{-}\mathit{zero})
lemma ffun-graph-minus: ffun-graph (f - g) = ffun-graph f - ffun-graph g
 by (transfer, simp add: pfun-graph-minus)
lemma ffun-graph-inter: ffun-graph (f \cap_f g) = \text{ffun-graph } f \cap \text{ffun-graph } g
  by (transfer, simp add: pfun-graph-inter)
```

Hide implementation details for finite functions

```
lifting-update ffun.lifting lifting-forget ffun.lifting
```

end

# 10 Infinity Supplement

```
theory Infinity imports Main\ Real \sim\sim/src/HOL/Library/Infinite-Set\ Optics. Two begin
```

This theory introduces a type class *infinite* that guarantees that the underlying universe of the type is infinite. It also provides useful theorems to prove infinity of the universes for various HOL types.

# 10.1 Type class infinite

The type class postulates that the universe (carrier) of a type is infinite.

```
class infinite = assumes infinite-UNIV [simp]: infinite (UNIV :: 'a set)
```

### 10.2 Infinity Theorems

Useful theorems to prove that a type's *UNIV* is infinite.

Note that *infinite-UNIV-nat* is already a simplification rule by default.

```
\textbf{lemmas} \ infinite-UNIV-int} \ [simp]
```

```
\begin{array}{l} \textbf{theorem} \ \ infinite\text{-}UNIV\text{-}real \ [simp]:} \\ infinite \ (UNIV :: real \ set) \\ \textbf{by} \ (rule \ infinite\text{-}UNIV\text{-}char\text{-}0) \end{array}
```

```
theorem infinite-UNIV-fun1 [simp]: infinite (UNIV :: 'a set) \Longrightarrow card (UNIV :: 'b set) \neq Suc 0 \Longrightarrow infinite (UNIV :: ('a \Rightarrow 'b) set) apply (erule contrapos-nn) apply (erule finite-fun-UNIVD1) apply (assumption) done
```

```
theorem infinite-UNIV-fun2 [simp]: infinite (UNIV :: 'b set) \Longrightarrow infinite (UNIV :: ('a \Rightarrow 'b) set) apply (erule contrapos-nn) apply (erule finite-fun-UNIVD2) done
```

```
theorem infinite-UNIV-set [simp]: infinite (UNIV :: 'a set) \Longrightarrow infinite (UNIV :: 'a set set)
```

```
apply (erule contrapos-nn)
 apply (simp add: Finite-Set.finite-set)
 done
theorem infinite-UNIV-prod1 [simp]:
infinite (UNIV :: 'a set) \Longrightarrow
infinite (UNIV :: ('a \times 'b) set)
 apply (erule contrapos-nn)
 apply (simp add: finite-prod)
 done
theorem infinite-UNIV-prod2 [simp]:
infinite (UNIV :: 'b set) \Longrightarrow
infinite (UNIV :: ('a \times 'b) set)
 apply (erule contrapos-nn)
 apply (simp add: finite-prod)
 done
theorem infinite-UNIV-sum1 [simp]:
infinite (UNIV :: 'a set) \Longrightarrow
infinite (UNIV :: ('a + 'b) set)
 apply (erule contrapos-nn)
 apply (simp)
 done
theorem infinite-UNIV-sum2 [simp]:
infinite (UNIV :: 'b set) \Longrightarrow
infinite (UNIV :: ('a + 'b) set)
 apply (erule contrapos-nn)
 apply (simp)
 done
theorem infinite-UNIV-list [simp]:
infinite (UNIV :: 'a list set)
 apply (rule infinite-UNIV-listI)
 done
theorem infinite-UNIV-option [simp]:
infinite (UNIV :: 'a set) \Longrightarrow
infinite (UNIV :: 'a option set)
 apply (erule contrapos-nn)
 apply (simp)
 done
theorem infinite-image [intro]:
infinite A \Longrightarrow inj-on f A \Longrightarrow infinite (f 'A)
 apply (metis finite-imageD)
 done
{f theorem} \ infinite-transfer :
infinite\ B \Longrightarrow B \subseteq f ' A \Longrightarrow infinite\ A
 using infinite-super
 apply (blast)
 done
```

## 10.3 Instantiations

The instantiations for product and sum types have stronger caveats than in principle needed. Namely, it would be sufficient for one type of a product or sum to be infinite. A corresponding rule, however, cannot be formulated using type classes. Generally, classes are not entirely adequate for the purpose of deriving the infinity of HOL types, which is perhaps why a class such as *infinite* was omitted from the Isabelle/HOL library.

```
instance nat :: infinite by (intro-classes, simp)
instance int :: infinite by (intro-classes, simp)
instance real :: infinite by (intro-classes, simp)
instance fun :: (type, infinite) infinite by (intro-classes, simp)
instance set :: (infinite) infinite by (intro-classes, simp)
instance prod :: (infinite, infinite) infinite by (intro-classes, simp)
instance sum :: (infinite, infinite) infinite by (intro-classes, simp)
instance list :: (type) infinite by (intro-classes, simp)
instance option :: (infinite) infinite by (intro-classes, simp)
subclass (in infinite) two by (intro-classes, auto)
end
```

# 11 Positive Subtypes

```
theory Positive
imports
Infinity
HOL-Library.Countable
begin
```

#### 11.1 Type Definition

```
typedef (overloaded) 'a::{zero, linorder} pos = {x::'a. x \ge 0} apply (rule-tac x = 0 in exI) apply (clarsimp) done

syntax
-type-pos :: type \Rightarrow type (-+ [999] 999)

translations
(type) 'a+ == (type) 'a pos

setup-lifting type-definition-pos

type-synonym preal = real pos
```

# 11.2 Operators

```
lift-definition mk-pos :: 'a::{zero, linorder} \Rightarrow 'a pos is \lambda n. if (n \geq 0) then n else 0 by auto
lift-definition real-of-pos :: real pos \Rightarrow real is id .
declare [[coercion real-of-pos]]
```

#### 11.3 Instantiations

```
instantiation pos :: ({zero, linorder}) zero
begin
 lift-definition zero-pos :: 'a pos
   is \theta :: 'a ..
 instance ..
end
instantiation pos :: ({zero, linorder}) linorder
 lift-definition less-eq-pos :: 'a pos \Rightarrow 'a pos \Rightarrow bool
   is op \leq :: 'a \Rightarrow 'a \Rightarrow bool.
 lift-definition less-pos :: 'a pos \Rightarrow 'a pos \Rightarrow bool
   is op < :: 'a \Rightarrow 'a \Rightarrow bool.
 instance
   apply (intro-classes; transfer)
       apply (auto)
 done
end
instance pos :: ({zero, linorder, no-top}) no-top
 apply (intro-classes)
 apply (transfer)
 apply (clarsimp)
 apply (meson gt-ex less-imp-le order.strict-trans1)
 done
instance pos :: ({zero, linorder, no-top}) infinite
 apply (intro-classes)
 apply (rule notI)
 apply (subgoal-tac \forall x :: 'a pos. x \leq Max UNIV)
 using gt-ex leD apply (blast)
 apply (simp)
 done
instantiation pos :: (linordered-semidom) linordered-semidom
begin
 lift-definition one-pos :: 'a pos
   is 1 :: 'a by (simp)
 lift-definition plus-pos :: 'a pos \Rightarrow 'a pos \Rightarrow 'a pos
   is op + by (simp)
 lift-definition minus-pos :: 'a pos \Rightarrow 'a pos \Rightarrow 'a pos
   is \lambda x \ y. if y \le x \ then \ x - y \ else \ \theta
   by (simp add: add-le-imp-le-diff)
 lift-definition times-pos :: 'a pos \Rightarrow 'a pos \Rightarrow 'a pos
   is op * by (simp)
 instance
   apply (intro-classes; transfer; simp?)
          apply (simp add: add.assoc)
         apply (simp add: add.commute)
         apply (safe; clarsimp?) [1]
           apply (simp add: diff-diff-add)
          apply (metis add-le-cancel-left le-add-diff-inverse)
         apply (simp add: add.commute add-le-imp-le-diff)
         apply (metis add-increasing2 antisym linear)
```

```
apply (simp add: mult.assoc)
       apply (simp add: mult.commute)
      apply (simp add: comm-semiring-class.distrib)
     apply (simp add: mult-strict-left-mono)
    apply (safe; clarsimp?) [1]
      apply (simp add: right-diff-distrib')
     apply (simp add: mult-left-mono)
   using mult-left-le-imp-le apply (fastforce)
   apply (simp add: distrib-left)
   \mathbf{done}
end
instantiation pos :: (linordered-field) semidom-divide
 lift-definition divide\text{-pos} :: 'a pos \Rightarrow 'a pos \Rightarrow 'a pos
   is op div by (simp)
 instance
   apply (intro-classes; transfer)
    apply (simp-all)
   done
end
instantiation pos :: (linordered-field) inverse
begin
 lift-definition inverse-pos :: 'a pos \Rightarrow 'a pos
   is inverse by (simp)
 instance ..
end
lemma pos-positive [simp]: 0 \le (x::'a::\{zero, linorder\} pos)
 by (transfer, simp)
11.4
         Theorems
lemma mk-pos-zero [simp]: mk-pos \theta = \theta
 by (transfer, simp)
lemma mk-pos-one [simp]: mk-pos 1 = 1
 by (transfer, simp)
lemma mk-pos-leq:
  \llbracket 0 \le x; x \le y \rrbracket \implies mk\text{-pos } x \le mk\text{-pos } y
 by (transfer, auto)
lemma mk-pos-less:
  \llbracket 0 \le x; x < y \rrbracket \implies mk\text{-pos } x < mk\text{-pos } y
  by (transfer, auto)
lemma real-of-pos [simp]: x \ge 0 \Longrightarrow real-of-pos (mk-pos x) = x
 by (transfer, simp)
lemma mk-pos-real-of-pos [simp]: mk-pos (real-of-pos x) = x
  by (transfer, simp)
```

#### 11.5 Transfer to Reals

 ${\bf named\text{-}theorems}\ \textit{pos-transfer}$ 

```
lemma real-of-pos-0 [pos-transfer]:
  real-of-pos \theta = \theta
 by (transfer, auto)
lemma real-of-pos-1 [pos-transfer]:
  real-of-pos 1 = 1
 by (transfer, auto)
lemma real-op-pos-plus [pos-transfer]:
  real-of-pos (x + y) = real-of-pos x + real-of-pos y
 by (transfer, simp)
lemma real-op-pos-minus [pos-transfer]:
 x \ge y \Longrightarrow real\text{-}of\text{-}pos\ (x-y) = real\text{-}of\text{-}pos\ x - real\text{-}of\text{-}pos\ y
 by (transfer, simp)
lemma real-op-pos-mult [pos-transfer]:
  real-of-pos (x * y) = real-of-pos x * real-of-pos y
 by (transfer, simp)
lemma real-op-pos-div [pos-transfer]:
  real-of-pos (x / y) = real-of-pos x / real-of-pos y
 by (transfer, simp)
lemma real-of-pos-numeral [pos-transfer]:
  real-of-pos (numeral\ n) = numeral\ n
 by (induct n, simp-all only: numeral.simps pos-transfer)
lemma real-of-pos-eq-transfer [pos-transfer]:
  x = y \longleftrightarrow real\text{-}of\text{-}pos \ x = real\text{-}of\text{-}pos \ y
 by (transfer, auto)
lemma real-of-pos-less-eq-transfer [pos-transfer]:
 x \leq y \longleftrightarrow real\text{-}of\text{-}pos\ x \leq real\text{-}of\text{-}pos\ y
 by (transfer, auto)
lemma real-of-pos-less-transfer [pos-transfer]:
 x < y \longleftrightarrow real\text{-}of\text{-}pos \ x < real\text{-}of\text{-}pos \ y
 by (transfer, auto)
```

## 12 Recall Undeclarations

```
theory Total-Recall
imports Main
keywords
purge-syntax :: thy-decl and
purge-notation :: thy-decl and
recall-syntax :: thy-decl
begin
```

end

# 12.1 ML File Import

ML-file Total-Recall.ML

## 12.2 Outer Commands

```
ML <
 val - =
   Outer-Syntax.command @{command-keyword purge-syntax}
    purge raw syntax clauses
    ((Parse.syntax-mode -- Scan.repeat1 Parse.const-decl) >>
      (Toplevel.theory\ o\ (fn\ (mode,\ args) =>
        (TotalRecall.record-no-syntax mode args) o
        (Sign.del-syntax-cmd mode args))));
 val - =
   Outer-Syntax.local-theory @{command-keyword purge-notation}
    purge concrete syntax for constants / fixed variables
    ((Parse.syntax-mode -- Parse.and-list1 (Parse.const -- Parse.mixfix)) >>
      (fn \ (mode, \ args) =>
        (Local-Theory.background-theory
         (TotalRecall.record-no-notation\ mode\ args))\ o
        (Specification.notation-cmd false mode args)));
 val - =
   Outer-Syntax.command @{command-keyword recall-syntax}
    recall undecarations of all purged items
    (Scan.succeed (Toplevel.theory TotalRecall.execute-all))
end
```

# 13 Injection Universes

```
theory Injection-Universe
imports
HOL-Library.Countable
Optics.Lenses
begin
```

An injection universe shows how one type 'a can be injected into another type, 'u. They are applied in UTP to provide local variables which require that we can injection a variety of different datatypes into a unified stack type.

```
record ('a, 'u) inj-univ = to-univ :: 'a \Rightarrow 'u (to-univ1)

locale inj-univ = fixes I :: ('a, 'u) inj-univ (structure) assumes inj-to-univ: inj to-univ begin

definition from-univ :: 'u \Rightarrow 'a (from-univ) where from-univ = inv to-univ

lemma to-univ-inv [simp]: from-univ (to-univ x) = x by (simp add: from-univ-def inv-f-f inj-to-univ)
```

```
Lens-based view on universe injection and projection.
```

```
definition to-univ-lens :: 'a \Longrightarrow 'u \ (to-univ_L) where to-univ-lens = \{ | lens-get = from-univ, lens-put = (\lambda \ s \ v. \ to-univ \ v) \}

lemma mwb-to-univ-lens [simp]:
mwb-lens to-univ-lens [simp]:
by \ (unfold\text{-locales}, simp\text{-all } add: to\text{-univ-lens-def})
end

Example universe based on natural numbers. Any countable type can be injected into it. definition nat-inj-univ :: ('a::countable, nat) inj-univ (\mathcal{U}_{\mathbb{N}}) where nat-inj-univ = \{ | to\text{-univ} = to\text{-nat} | \}
lemma nat-inj-univ: inj-univ nat-inj-univ by \ (unfold-locales, simp \ add: nat-inj-univ-def)
```

# 14 Trace Algebras

```
theory Trace-Algebra
imports
List-Extra
Positive
begin
```

Trace algebras provide a useful way in the UTP of characterising different notions of trace history. They can characterise notions as diverse as discrete event sequences and piecewise continuous functions, as employed by hybrid systems. For more information, please see our journal publication [3].

### 14.1 Ordered Semigroups

#### 14.2 Monoid Subclasses

end

```
class left-cancel-monoid = monoid-add + assumes add-left-imp-eq: a + b = a + c \Longrightarrow b = c
class right-cancel-monoid = monoid-add + assumes add-right-imp-eq: b + a = c + a \Longrightarrow b = c
class monoid-sum-0 = monoid-add +
```

```
assumes zero-sum-left: a+b=0 \Longrightarrow a=0
begin

lemma zero-sum-right: a+b=0 \Longrightarrow b=0
by (metis local.add-0-left local.zero-sum-left)

lemma zero-sum: a+b=0 \longleftrightarrow a=0 \land b=0
by (metis local.add-0-right zero-sum-right)

end

context monoid-add
begin
```

An additive monoid gives rise to natural notions of order, which we here define.

```
definition monoid-le (infix \leq_m 50) where a \leq_m b \longleftrightarrow (\exists c. b = a + c)
```

We can also define a subtraction operator that remove a prefix from a monoid, if possible.

```
definition monoid-subtract (infixl -_m 65)
where a -_m b = (if (b \leq_m a) \text{ then THE } c. \ a = b + c \text{ else } 0)
```

end

# 14.3 Trace Algebras

A pre-trace algebra is based on a left-cancellative monoid with the additional property that plus has no additive inverse. The latter is required to ensure that there are no "negative traces". A pre-trace algebra has all the trace algebra axioms, but does not export the definitions of  $op \leq$  and op -.

```
class pre-trace = left-cancel-monoid + monoid-sum-0 +  assumes sum-eq\text{-}sum\text{-}conv: \ (a+b) = (c+d) \Longrightarrow \exists \ e \ . \ a = c+e \land e+b = d \lor a+e = c \land b=e+d \\ - ?a + ?b = ?c + ?d \Longrightarrow \exists \ e . \ ?a = ?c+e \land e+?b = ?d \lor ?a+e=?c \land ?b=e+?d \text{ shows}  how two equal traces that are each composed of two subtraces, can be expressed in terms of each other. begin
```

From our axiom set, we can derive a variety of properties of the monoid order

```
lemma monoid-le-least-zero: 0 \le_m a by (simp \ add: \ monoid-le-def)

lemma monoid-le-refl: a \le_m a by (simp \ add: \ monoid-le-def, metis \ add.right-neutral)

lemma monoid-le-trans: [a \le_m b; b \le_m c] \implies a \le_m c by (metis \ add.assoc \ monoid-le-def)

lemma monoid-le-antisym:
assumes a \le_m b \ b \le_m a
shows a = b
proof -
obtain a' where a': b = a + a'
using assms(1) \ monoid-le-def by auto
```

```
obtain b' where b': a = b + b'
   using assms(2) monoid-le-def by auto
 have b' = (b' + a' + b')
   by (metis a' add-assoc b' local.add-left-imp-eq)
 hence a' + b' = 0
   by (metis add-assoc local.add-0-right local.add-left-imp-eq)
 hence a' = \theta b' = \theta
   by (simp \ add: zero-sum)+
 with a'b' show ?thesis
   by simp
qed
lemma monoid-le-add: a \leq_m a + b
 by (auto simp add: monoid-le-def)
lemma monoid-le-add-left-mono: a \leq_m b \Longrightarrow c + a \leq_m c + b
 using add-assoc by (auto simp add: monoid-le-def)
The monoid minus operator is also the inverse of plus in this context, as expected.
lemma add-monoid-diff-cancel-left [simp]: (a + b) -_m a = b
 apply (simp add: monoid-subtract-def monoid-le-add)
 apply (rule the-equality)
  apply (simp)
 using local.add-left-imp-eq apply blast
 done
Iterating a trace
fun tr-iter :: nat \Rightarrow 'a \Rightarrow 'a where
tr-iter-0: tr-iter 0 t = 0
tr-iter-Suc: tr-iter (Suc n) t = tr-iter n t + t
lemma tr-iter-empty [simp]: tr-iter m \theta = \theta
 by (induct \ m, \ simp-all)
end
We now construct the trace algebra by also exporting the order and minus operators.
class trace = pre-trace + ord + minus +
 assumes le-is-monoid-le: a \leq b \longleftrightarrow (a \leq_m b)
 and less-iff: a < b \longleftrightarrow a \le b \land \neg (b \le a)
 and minus-def: a - b = a -_m b
begin
Next we prove all the trace algebra lemmas.
 lemma le-iff-add: a \le b \longleftrightarrow (\exists c. b = a + c)
   by (simp add: local.le-is-monoid-le local.monoid-le-def)
 lemma least-zero [simp]: 0 \le a
   by (simp add: local.le-is-monoid-le local.monoid-le-least-zero)
 lemma le-add [simp]: a \le a + b
```

```
by (simp add: le-is-monoid-le local.monoid-le-add)
 lemma not-le-minus [simp]: \neg (a \le b) \Longrightarrow b - a = 0
   by (simp add: le-is-monoid-le local.minus-def local.monoid-subtract-def)
 lemma add-diff-cancel-left [simp]: (a + b) - a = b
   by (simp add: minus-def)
 lemma diff-zero [simp]: a - 0 = a
   by (metis local.add-0-left local.add-diff-cancel-left)
 lemma diff-cancel [simp]: a - a = 0
   by (metis local.add-0-right local.add-diff-cancel-left)
 lemma add-left-mono: a \le b \Longrightarrow c + a \le c + b
   by (simp add: local.le-is-monoid-le local.monoid-le-add-left-mono)
 lemma add-le-imp-le-left: c + a < c + b \implies a < b
   by (auto simp add: le-iff-add, metis add-assoc local.add-diff-cancel-left)
 lemma add-diff-cancel-left' [simp]: (c + a) - (c + b) = a - b
 proof (cases b \leq a)
   case True thus ?thesis
     by (metis add-assoc local.add-diff-cancel-left local.le-iff-add)
 next
   case False thus ?thesis
     using local.add-le-imp-le-left not-le-minus by blast
  qed
 lemma minus-zero-eq: [b \le a; a - b = 0] \implies a = b
   using local.le-iff-add local.monoid-le-def by auto
 lemma diff-add-cancel-left': a \le b \implies a + (b - a) = b
   using local.le-iff-add local.monoid-le-def by auto
 lemma add-left-strict-mono: [ a + b < a + c ] \Longrightarrow b < c
   using local.add-le-imp-le-left local.add-left-mono local.less-iff by blast
 lemma sum-minus-left: c \le a \Longrightarrow (a + b) - c = (a - c) + b
    by \ (\textit{metis add-assoc diff-add-cancel-left' local.add-monoid-diff-cancel-left local.minus-def}) \\
 lemma neq-zero-impl-greater:
   x \neq 0 \Longrightarrow 0 < x
   using le-is-monoid-le less-iff monoid-le-antisym monoid-le-least-zero by auto
 lemma minus-cancel-le:
   \llbracket x \leq y; y \leq z \rrbracket \Longrightarrow y - x \leq z - x
   using add-assoc le-iff-add by auto
The set subtraces of a common trace c is totally ordered.
 lemma le-common-total: [a \le c; b \le c] \implies a \le b \lor b \le a
   by (metis diff-add-cancel-left' le-add local.sum-eq-sum-conv)
 lemma le-sum-cases: a \le b + c \Longrightarrow a \le b \lor b \le a
   by (simp add: le-common-total)
```

```
lemma le-sum-cases':
   a \le b + c \Longrightarrow a \le b \lor b \le a \land a - b \le c
  by (auto, metis le-sum-cases, metis minus-def le-is-monoid-le add-monoid-diff-cancel-left monoid-le-def
sum-eq-sum-conv)
 lemma le-sum-iff: a \le b + c \longleftrightarrow a \le b \lor b \le a \land a - b \le c
  by (metis le-sum-cases' add-monoid-diff-cancel-left le-is-monoid-le minus-def monoid-le-add-left-mono
monoid-le-def monoid-le-trans)
 lemma sum-minus-right: c \ge a \implies a + b - c = b - (c - a)
   by (metis diff-add-cancel-left' local.add-diff-cancel-left')
 lemma minus-gr-zero-iff [simp]:
   0 < x - y \longleftrightarrow y < x
  by (metis diff-cancel le-is-monoid-le least-zero less-iff minus-zero-eq monoid-le-antisym not-le-minus)
 lemma le-zero-iff [simp]: x < 0 \longleftrightarrow x = 0
   using local.le-iff-add local.zero-sum by auto
 lemma minus-assoc [simp]: x - y - z = x - (y + z)
   by (metis local.add-diff-cancel-left' local.diff-add-cancel-left' local.le-add local.le-sum-iff
       local.not-le-minus local.zero-sum-right)
end
Trace algebra give rise to a partial order on traces.
instance trace \subseteq order
 apply (intro-classes)
    apply (simp-all add: less-iff le-is-monoid-le monoid-le-reft)
 using monoid-le-trans apply blast
 apply (simp add: monoid-le-antisym)
 done
14.4
         Models
Lists form a trace algebra.
instantiation \ list :: (type) \ monoid-add
begin
 definition zero-list :: 'a list where zero-list = []
 definition plus-list :: 'a list \Rightarrow 'a list \Rightarrow 'a list where plus-list = op @
instance
 by (intro-classes, simp-all add: zero-list-def plus-list-def)
end
lemma monoid-le-list:
 (xs :: 'a \ list) \leq_m ys \longleftrightarrow xs \leq ys
 apply (simp add: monoid-le-def plus-list-def)
 using Prefix-Order.prefixE Prefix-Order.prefixI apply blast
 done
```

lemma monoid-subtract-list:

```
(xs :: 'a \ list) -_m \ ys = xs - ys
    apply (auto simp add: monoid-subtract-def monoid-le-list minus-list-def less-eq-list-def)
     apply (rule the-equality)
       apply (simp-all add: zero-list-def plus-list-def prefix-drop)
    done
instance list :: (type) trace
    apply (intro-classes, simp-all add: zero-list-def plus-list-def monoid-le-def monoid-subtract-list)
       apply (simp add: append-eq-append-conv2)
    using Prefix-Order.prefixE Prefix-Order.prefixI apply blast
   apply (simp add: less-list-def)
    done
lemma monoid-le-nat:
    (x :: nat) \leq_m y \longleftrightarrow x \leq y
   by (simp add: monoid-le-def nat-le-iff-add)
\mathbf{lemma}\ monoid\text{-}subtract\text{-}nat:
    (x :: nat) -_m y = x - y
   by (auto simp add: monoid-subtract-def monoid-le-nat)
instance nat :: trace
    apply (intro-classes, simp-all add: monoid-subtract-nat)
     \mathbf{apply} \; (\textit{metis Nat.diff-add-assoc Nat.diff-add-assoc2} \; \textit{add-diff-cancel-right'} \; \textit{add-le-cancel-left} \; \textit{add-le-cancel-left} \; \textit{add-le-cancel-right'} \; \textit{add-le-cancel-left} \; \textit{add-le-cancel-right'} \; \textit{add-le-cancel-left} \; \textit{add-le-cancel-right'} \; \textit{add-le-cancel-left} \; \textit{add-le-cancel-right'} \; \textit{add-le-cancel-left} \; \textit{add-left} \; \textit{add-le
add-less-mono cancel-ab-semigroup-add-class.add-diff-cancel-left' less-irreft not-le)
     apply (simp add: nat-le-iff-add monoid-le-def)
    apply linarith+
    done
Positives form a trace algebra.
\mathbf{instance}\ pos::(linordered\text{-}semidom)\ trace
proof (intro-classes, simp-all)
    fix a \ b \ c \ d :: 'a \ pos
   show a + b = 0 \Longrightarrow a = 0
       by (transfer, simp add: add-nonneg-eq-0-iff)
    show a+b=c+d\Longrightarrow \exists \ e.\ a=c+e\land e+b=d\lor a+e=c\land b=e+d
       apply (cases c \leq a)
       apply (metis (no-types, lifting) cancel-semigroup-add-class. add-left-imp-eq-le-add-diff-inverse semiring-normalization-
     apply\ (metis\ (no-types,\ lifting)\ cancel-semigroup-add-class.\ add-left-imp-eq\ less-imp-le\ linordered-semidom-class.\ add-di
semiring-normalization-rules(21))
       done
    show (a < b) = (a \le b \land \neg b \le a)
    show le-def: \bigwedge a \ b :: 'a \ pos. \ (a \le b) = (a \le_m b)
       by (auto simp add: monoid-le-def, metis le-add-diff-inverse)
    \mathbf{show} \ a - b = a -_m \ b
       apply (auto simp add: monoid-subtract-def le-def [THEN sym])
         apply (rule sym)
         apply (rule the-equality)
           apply (simp-all)
       apply (transfer, simp)
       done
qed
end
```

# 15 Meta-theory for UTP Toolkit

### theory utp-toolkit

#### imports

Deriv

HOL-Library. Adhoc-Overloading

HOL-Library. Char-ord

HOL-Library. Countable-Set

HOL-Library.FSet

HOL-Library.Monad-Syntax

HOL-Library. Countable

HOL-Library. Order-Continuity

HOL-Library.Prefix-Order

HOL-Library.Product-Order

HOL-Library.Sublist

 $HOL-Algebra.\ Complete-Lattice$ 

 $HOL-Algebra.\ Galois-Connection$ 

HOL-Eisbach.Eisbach

Optics.Lenses

Countable-Set-Extra

FSet-Extra

Map-Extra

List-Extra

List-Lexord-Alt

Partial-Fun

 $Finite ext{-}Fun$ 

Infinity

Positive Positive

Total-Recall

Injection-Universe

Trace-Algebra

begin end

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