A Shallow Model of the UTP in Isabelle/HOL

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1 UTP variables

This theory describes the foundational structure of UTP variables, upon which the rest of our model rests. We start by defining alphabets, which is this shallow model are simple represented as types, though by convention usually a record type where each field corresponds to a variable.

```
type-synonym '\alpha alphabet = '\alpha
```

UTP variables carry two type parameters, 'a that corresponds to the variable's type and ' α that corresponds to alphabet of which the variable is a type. There is a thus a strong link between alphabets and variables in this model. Variable are characterized by two functions, var-lookup and var-update, that respectively lookup and update the variable's value in some alphabetised state space. These functions can readily be extracted from an Isabelle record type.

```
record ('a, '\alpha) uvar = 
var-lookup :: '\alpha \Rightarrow 'a 
var-update :: ('a \Rightarrow 'a) \Rightarrow '\alpha \Rightarrow '\alpha
```

The var-assign function uses the var-update function of a variable to update its value.

```
abbreviation var-assign :: ('a, '\alpha) uvar \Rightarrow 'a \Rightarrow '\alpha \Rightarrow '\alpha
where var-assign f v \equiv var-update f (\lambda - . v)
```

The VAR function is a syntactic translations that allows to retrieve a variable given its name, assuming the variable is a field in a record.

```
syntax -VAR :: id \Rightarrow ('a, 'r) \ uvar \ (VAR -)
translations VAR \ x => (| \ var-lookup = x, \ var-update = -update-name \ x |)
```

In order to allow reasoning about variables generically, we introduce a locale called *uvar*, that axiomatises properties of a valid variable, that should be satisfied for any record field. When a UTP alphabet record is created it will be necessary to prove these properties for each variable field, though this will always be automatic. The locale effectively describes the relationship between the functions *var-update* and *var-lookup*, and thus prevents one from having arbitrary functions as variables. Moreover, these properties allow us to prove several important UTP laws, such as the assignment laws in the theory of alphabetised relations.

```
locale semi-uvar =
  fixes x :: ('a, 'r) uvar
  — Application of two updates should correspond to the composition of update functions
  assumes var-update-comp: var-update x f (var-update x g \sigma) = var-update x (f \circ g) \sigma
  — Updating a variable's value to the one it already has is ineffectual
  and var-update-eta: var-update x (\lambda-. var-lookup x \sigma) \sigma = \sigma
locale uvar = semi-uvar +
  assumes var-update-lookup: var-lookup x (var-update x f \sigma) = f (var-lookup x \sigma)
  and var-state-eq-iff: \llbracket var-lookup x \sigma = var-lookup x \varrho; var-assign x v \sigma = var-assign x v \varrho \rrbracket \Longrightarrow \sigma
declare semi-uvar.var-update-comp [simp]
declare uvar.var-update-lookup [simp]
declare semi-uvar.var-update-eta [simp]
lemma var-assign-inject: \llbracket uvar \ x; var-assign x \ u \ \sigma = var-assign x \ v \ \sigma \ \rrbracket \Longrightarrow u = v
  by (metis uvar.var-update-lookup)
lemma uvar-semi-var [simp]: uvar x \Longrightarrow semi-uvar x
 by (simp add: uvar-def)
lemma var-assign-eq:
  \llbracket uvar \ x; \ var\text{-}lookup \ x \ b = k; \ var\text{-}assign \ x \ u \ b = var\text{-}assign \ x \ v \ a \ \rrbracket \Longrightarrow var\text{-}assign \ x \ k \ a = b
  apply (rule uvar.var-state-eq-iff [of x - v])
  apply (simp-all add:comp-def)
  apply (metis uvar.var-update-lookup)
done
```

In addition to defining the validity of variable, we also need to show how two variables are related. Since variables are pairs of functions and have no identifying name that we can reason about, and moreover will often have different types, we cannot use the usual HOL inequalities to reason about them. Thus we define a weaker notion of inequality called *independence* – two variables are independent if their update functions commute. That is to say, updates to the variables do not have any effect on each other. This assumes they are also valid variables.

```
definition uvar-indep :: ('a, 'r) uvar \Rightarrow ('b, 'r) uvar \Rightarrow bool (infix \bowtie 50) where x \bowtie y \longleftrightarrow (\forall f g \ \sigma. \ var-update x f \ (var-update y g \ \sigma) = var-update y g \ (var-update x f \ \sigma))
```

We can now demonstrate some useful properties about the variable independence relation.

```
lemma uvar-indep-sym: x \bowtie y \Longrightarrow y \bowtie x
by (simp\ add:\ uvar-indep-def)
lemma uvar-indep-comm:
assumes x \bowtie y
shows var-update x\ f\ (var-update y\ g\ \sigma) = var-update y\ g\ (var-update x\ f\ \sigma)
using assms by (simp\ add:\ uvar-indep-def)
```

The following property states that looking up the value of a variable is unaffected by an update to an independent variable.

```
lemma uvar \cdot indep \cdot lookup \cdot upd [simp]:
   assumes uvar \ x \ \bowtie \ y
   shows var \cdot lookup \ x \ (var \cdot update \ y \ f \ \sigma) = var \cdot lookup \ x \ \sigma

proof -
   have var \cdot lookup \ x \ (var \cdot update \ y \ f \ \sigma) = var \cdot lookup \ x \ (var \cdot update \ y \ f \ (var \cdot update \ x \ (\lambda \cdot . \ var \cdot lookup \ x \ \sigma))
   by (simp \ add: \ assms(1))
   also have \dots = var \cdot lookup \ x \ (var \cdot update \ x \ (\lambda \cdot . \ var \cdot lookup \ x \ \sigma) \ (var \cdot update \ y \ f \ \sigma))
   using assms(2) by (auto \ simp \ add: uvar \cdot indep \cdot def)
   also have \dots = var \cdot lookup \ x \ \sigma
   by (simp \ add: \ assms(1))
   finally show ?thesis.
```

We also define some lifting functions for variables to create input and output variables. These simply lift the alphabet to a tuple type since relations will ultimately be defined to a tuple alphabet.

```
definition in-var :: ('a, '\alpha) uvar \Rightarrow ('a, '\alpha \times '\beta) uvar where in-var x = (|var\text{-}lookup| = var\text{-}lookup \ x \circ fst, var\text{-}update = (\lambda f \ (A, A'). (var\text{-}update \ x f \ A, A')) |)
definition out-var :: ('a, '\beta) uvar \Rightarrow ('a, '\alpha \times '\beta) uvar where out-var x = (|var\text{-}lookup| = var\text{-}lookup \ x \circ snd, var\text{-}update = (\lambda f \ (A, A'). (A, var\text{-}update \ x f \ A')) |)
```

We show that lifted input and output variables are both valid variables, and that input and output variables are always independent.

```
lemma in-var-semi-uvar [simp]:
 assumes semi-uvar x
 shows semi-uvar (in-var x)
 using assms
 by (unfold-locales, auto simp add: in-var-def)
lemma out-var-semi-uvar [simp]:
 assumes semi-uvar x
 shows semi-uvar (out-var x)
 using assms
 by (unfold-locales, auto simp add: out-var-def)
lemma in-var-uvar [simp]:
 assumes uvar x
 shows uvar (in-var x)
 using assms
 by (unfold-locales, auto intro: uvar.var-state-eq-iff simp add: in-var-def)
lemma out-var-uvar [simp]:
```

```
assumes uvar x
 shows uvar (out\text{-}var x)
 using assms
 by (unfold-locales, auto intro: uvar.var-state-eq-iff simp add: out-var-def)
lemma in-out-indep [simp]:
  in\text{-}var \ x \bowtie out\text{-}var \ y
 by (simp add: uvar-indep-def in-var-def out-var-def)
lemma out-in-indep [simp]:
  out-var x \bowtie in-var y
 by (simp add: uvar-indep-def in-var-def out-var-def)
lemma in-var-indep [simp]:
 x \bowtie y \Longrightarrow in\text{-}var \ x \bowtie in\text{-}var \ y
 by (simp add: uvar-indep-def in-var-def)
lemma out-var-indep [simp]:
 x \bowtie y \Longrightarrow out\text{-}var \ x \bowtie out\text{-}var \ y
 by (simp add: uvar-indep-def out-var-def)
We also define some lookup abstraction simplifications.
lemma var-lookup-in [simp]: var-lookup (in-var x) (A, A') = var-lookup x A
 by (simp add: in-var-def)
lemma var-lookup-out [simp]: var-lookup (out-var x) (A, A') = var-lookup x A'
 by (simp add: out-var-def)
lemma var-update-in [simp]: var-update (in-var x) f(A, A') = (var-update \ x \ f \ A, A')
 by (simp add: in-var-def)
lemma var-update-out [simp]: var-update (out-var x) f(A, A') = (A, var-update x f A')
 by (simp add: out-var-def)
```

Variables can also be used to effectively define sets of variables. Here we define the the universal alphabet (Σ) to be a variable with identity for both the lookup and update functions. Effectively this is just a function directly on the alphabet type.

```
definition univ-alpha :: ('\alpha, '\alpha) uvar (\Sigma) where univ-alpha = (|var-lookup = id, var-update = id |)
```

The following operator attempts to combine two variables to produce a unified projection update pair. I hoped this could be used to define alphabet subsets by allowing a finite composition of variables. However, I don't think it works as the update function can't really be split into it's constituent parts if, e.g. the update of the first component depends on the second etc. You really want to update the two fields in parallel, but I don't think this is possible.

```
definition uvar\text{-}comp :: ('a, '\alpha) \ uvar \Rightarrow ('b, '\alpha) \ uvar \Rightarrow ('a \times 'b, '\alpha) \ uvar \ (\text{infix} \circ_v \ 35) \ \text{where} \ uvar\text{-}comp \ x \ y = (|\ var\text{-}lookup \ = \lambda \ A. \ (var\text{-}lookup \ x \ A, \ var\text{-}lookup \ y \ A) \ , \ var\text{-}update \ = \lambda \ f. \ var\text{-}update \ x \ (\lambda \ a. \ fst \ (f \ (a, \ undefined))) \circ \ var\text{-}update \ y \ (\lambda \ b. \ snd \ (f \ (undefined, \ b))) \ )
```

nonterminal svar

```
\begin{array}{ll} \mathbf{syntax} \\ -svar & :: id \Rightarrow svar \ (\text{-} \ [999] \ 999) \end{array}
```

```
-spvar :: id \Rightarrow svar \ (\&-[999] \ 999)
-sinvar :: id \Rightarrow svar \ (\$-[999] \ 999)
-soutvar :: id \Rightarrow svar \ (\$-[999] \ 999)

consts
svar :: 'v \Rightarrow 'e
ivar :: 'v \Rightarrow 'e
ovar :: 'v \Rightarrow 'e
adhoc-overloading
ivar \ in-var \ \text{and} \ ovar \ out-var

translations
-svar \ x => x
-spvar \ x => x
```

end

1.1 Deep UTP variables

-sinvar x == CONST ivar x-soutvar x == CONST ovar x

theory utp-dvar imports utp-var begin

UTP variables represented by record fields are shallow, nameless entities. They are fundamentally static in nature, since a new record field can only be introduced definitionally and cannot be otherwise arbitrarily created. They are nevertheless very useful as proof automation is excellent, and they can fully make use of the Isabelle type system. However, for constructs like alphabet extension that can introduce new variables they are inadequate. As a result we also introduce a notion of deep variables to complement them. A deep variable is not a record field, but rather a key within a store map that records the values of all deep variables. As such the Isabelle type system is agnostic of them, and the creation of a new deep variable does not change the portion of the alphabet specified by the type system.

In order to create a type of stores (or bindings) for variables, we must fix a universe for the variable valuations. This is the major downside of deep variables – they cannot have any type, but only a type whose cardinality is up to \mathfrak{c} , the cardinality of the continuum. This is why we need both deep and shallow variables, as the latter are unrestricted in this respect. Each deep variable will therefore specify the cardinality of the type it possesses.

1.2 Cardinalities

We first fix a datatype representing all possible cardinalities for a deep variable. These include finite cardinalities, \aleph_0 (countable), and \mathfrak{c} (uncountable up to the continuum).

```
datatype ucard = fin \ nat \mid aleph0 \ (\aleph_0) \mid cont \ (c)
```

Our universe is simply the set of natural numbers; this is sufficient for all types up to cardinality \mathfrak{c} .

```
type-synonym \ uuniv = nat \ set
```

We introduce a function that gives the set of values within our universe of the given cardinality. Since a cardinality of 0 is no proper type, we use finite cardinality 0 to mean cardinality 1, 1 to

```
mean 2 etc.
fun uuniv :: ucard \Rightarrow uuniv set (U'(-')) where
\mathcal{U}(fin\ n) = \{\{x\} \mid x.\ x \le n\} \mid
\mathcal{U}(\aleph_0) = \{ \{x\} \mid x. \ True \} \mid
U(c) = UNIV
We also define the following function that gives the cardinality of a type within the continuum
type class.
definition ucard-of :: 'a::continuum itself \Rightarrow ucard where
ucard-of x = (if (finite (UNIV :: 'a set))
                then fin(card(UNIV :: 'a set) - 1)
              else if (countable (UNIV :: 'a set))
                then \aleph_0
```

syntax

```
-ucard :: type \Rightarrow ucard (UCARD'(-'))
```

else c)

translations

```
UCARD('a) == CONST \ ucard-of \ (TYPE('a))
```

lemma ucard-non-empty:

```
\mathcal{U}(x) \neq \{\}
by (induct \ x, \ auto)
```

lemma ucard-of-finite [simp]:

```
finite\ (UNIV:: 'a::continuum\ set) \Longrightarrow UCARD('a) = fin(card(UNIV:: 'a\ set) - 1)
by (simp add: ucard-of-def)
```

lemma ucard-of-countably-infinite [simp]:

```
\llbracket countable(\textit{UNIV} :: 'a :: continuum \ set); infinite(\textit{UNIV} :: 'a \ set) \rrbracket \implies \textit{UCARD}('a) = \aleph_0
by (simp add: ucard-of-def)
```

```
lemma ucard-of-uncountably-infinite [simp]:
 uncountable\ (UNIV::'a\ set) \Longrightarrow UCARD('a::continuum) = c
```

apply (simp add: ucard-of-def) using countable-finite apply blast

done

1.3 Injection functions

```
definition uinject-finite :: 'a::finite \Rightarrow uuniv where
uinject-finite x = \{to-nat-fin x\}
```

```
definition uinject-aleph0 :: 'a::\{countable, infinite\} \Rightarrow uuniv where
uinject-aleph0 \ x = \{to-nat-bij x\}
```

definition $uinject\text{-}continuum :: 'a::\{continuum, infinite\} \Rightarrow uuniv where$ uinject-continuum x = to-nat-set-bij x

```
definition uinject :: 'a::continuum \Rightarrow uuniv where
uinject \ x = (if \ (finite \ (UNIV :: 'a \ set))
                  then \{to\text{-}nat\text{-}fin\ x\}
               else if (countable (UNIV :: 'a set))
                   then \{to\text{-}nat\text{-}on\ (UNIV :: 'a\ set)\ x\}
```

```
else to-nat-set x)
definition uproject :: uuniv \Rightarrow 'a::continuum where
uproject = inv \ uinject
lemma uinject-finite:
 finite (UNIV :: 'a::continuum set) \implies uinject = (\lambda x :: 'a. {to-nat-fin x})
 by (rule ext, auto simp add: uinject-def)
lemma uinject-uncountable:
 uncountable\ (UNIV::'a::continuum\ set) \Longrightarrow (uinject::'a \Rightarrow uuniv) = to-nat-set
 by (rule ext, auto simp add: uinject-def countable-finite)
lemma card-finite-lemma:
 assumes finite (UNIV :: 'a set)
 shows x < card (UNIV :: 'a set) \longleftrightarrow x \leq card (UNIV :: 'a set) - Suc \theta
proof -
 have card (UNIV :: 'a set) > 0
   by (simp add: assms finite-UNIV-card-ge-0)
 thus ?thesis
   by linarith
qed
This is a key theorem that shows that the injection function provides a bijection between any
continuum type and the subuniverse of types with a matching cardinality.
lemma uinject-bij:
 bij-betw (uinject :: 'a::continuum \Rightarrow uuniv) UNIV \mathcal{U}(UCARD('a))
proof (cases finite (UNIV :: 'a set))
 case True thus ?thesis
   apply (auto simp add: uinject-def bij-betw-def inj-on-def image-def card-finite-lemma[THEN sym])
   apply (auto simp add: inj-eq to-nat-fin-inj to-nat-fin-bounded)
   using to-nat-fin-ex apply blast
 done
 next
 case False note infinite = this thus ?thesis
 proof (cases countable (UNIV :: 'a set))
   case True thus ?thesis
    apply (auto simp add: uinject-def bij-betw-def inj-on-def infinite image-def card-finite-lemma THEN
sym])
     apply (meson image-to-nat-on infinite surj-def)
   done
   next
   case False note uncount = this thus ?thesis
     apply (simp add: uinject-uncountable)
     using to-nat-set-bij apply blast
   done
 \mathbf{qed}
qed
lemma uinject-card [simp]: uinject (x :: 'a :: continuum) \in \mathcal{U}(UCARD('a))
 by (metis bij-betw-def rangeI uinject-bij)
lemma uinject-inv [simp]:
 uproject (uinject x) = x
 by (metis UNIV-I bij-betw-def inv-into-f-f uinject-bij uproject-def)
```

```
lemma uproject-inv [simp]: x \in \mathcal{U}(UCARD('a::continuum)) \Longrightarrow uinject ((uproject :: nat set <math>\Rightarrow 'a) x) = x by (metis bij-betw-inv-into-right uinject-bij uproject-def)
```

1.4 Deep variables

A deep variable name stores both a name and the cardinality of the type it points to

```
record dname =
  dname-name :: string
  dname-card :: ucard
```

A vstore is a function mapping deep variable names to corresponding values in the universe, such that the deep variables specified cardinality is matched by the value it points to.

```
typedef vstore = \{f :: dname \Rightarrow uuniv. \forall x. f(x) \in \mathcal{U}(dname\text{-}card x)\}
 apply (rule-tac x=\lambda x. \{0\} in exI)
 apply (auto)
 apply (rename-tac x)
 apply (case-tac dname-card x)
 apply (simp-all)
done
{\bf setup\text{-}lifting}\ type\text{-}definition\text{-}vstore
typedef ('a::continuum) dvar = \{x :: dname. dname-card x = UCARD('a)\}
 by (auto, meson dname.select-convs(2))
setup-lifting type-definition-dvar
lift-definition mk-dvar :: string \Rightarrow ('a::continuum) dvar ([-]_d)
is \lambda n. (| dname-name = n, dname-card = UCARD('a) |)
 by auto
lift-definition dvar-name :: 'a::continuum dvar \Rightarrow string is dname-name.
lift-definition dvar\text{-}card :: 'a::continuum \ dvar \Rightarrow ucard \ \textbf{is} \ dname\text{-}card \ \textbf{.}
lemma dvar-name [simp]: dvar-name [x]_d = x
 by (transfer, simp)
lift-definition vstore-lookup :: ('a::continuum) dvar \Rightarrow vstore \Rightarrow 'a
is \lambda x s. (uproject :: uuniv \Rightarrow 'a) (s(x)).
lift-definition vstore-put :: ('a::continuum) dvar \Rightarrow 'a \Rightarrow vstore \Rightarrow vstore
is \lambda (x :: dname) (v :: 'a) f . f(x := uinject v)
 by (auto)
definition vstore-upd :: ('a::continuum) \ dvar \Rightarrow ('a \Rightarrow 'a) \Rightarrow vstore \Rightarrow vstore
where vstore-upd x f s = vstore-put x (f (<math>vstore-lookup x s)) s
lemma vstore-upd-comp [simp]:
  vstore-upd \ x \ f \ (vstore-upd \ x \ g \ s) = <math>vstore-upd \ x \ (f \circ g) \ s
  by (simp add: vstore-upd-def, transfer, simp)
lemma vstore-lookup-upd [simp]: vstore-lookup x (vstore-upd x f s) = f (vstore-lookup x s)
  by (simp add: vstore-upd-def, transfer, simp)
```

```
lemma vstore-upd-eta [simp]: vstore-upd x (\lambda -. vstore-lookup x s) s=s
 apply (simp add: vstore-upd-def, transfer, auto)
 apply (metis Domainp-iff dvar.domain fun-upd-idem-iff uproject-inv)
done
lemma vstore-lookup-put-diff-var [simp]:
 assumes dvar-name x \neq dvar-name y
 shows vstore-lookup x (vstore-put y v s) = vstore-lookup x s
 using assms by (transfer, auto)
lemma vstore-put-commute:
 assumes dvar-name x \neq dvar-name y
 shows vstore-put \ x \ u \ (vstore-put \ y \ v \ s) = vstore-put \ y \ v \ (vstore-put \ x \ u \ s)
 using assms
 by (transfer, fastforce)
The vst class provides an interface for extracting a variable store from a state space. For now,
the state-space is limited to countably infinite types, though we will in the future build a more
expressive universe.
class vst =
 fixes get-vstore :: 'a \Rightarrow vstore
 and upd\text{-}vstore :: (vstore \Rightarrow vstore) \Rightarrow 'a \Rightarrow 'a
 assumes qet-upd-vstore [simp]: qet-vstore (upd-vstore f s) = f (qet-vstore s)
 and upd-vstore-comp [simp]: upd-vstore f (upd-vstore g s) = upd-vstore (f \circ g) s
 and upd-vstore-eta [simp]: upd-vstore (\lambda -. get-vstore s) s=s
 and upd-store-parm: upd-vstore f s = upd-vstore (\lambda - f (get-vstore s)) s
definition dvar-lift :: 'a::continuum dvar \Rightarrow ('a, '\alpha::vst) uvar (-\(\tau\) [999] 999)
where dvar-lift x = (|var-lookup| = \lambda v. vstore-lookup x (get-vstore v))
                   , var\text{-}update = \lambda f s. upd\text{-}vstore (vstore\text{-}upd x f) s
definition [simp]: in-dvar x = in-var (x\uparrow)
definition [simp]: out-dvar x = out-var (x\uparrow)
adhoc-overloading
 ivar in-dvar and ovar out-dvar
lemma vstore-upd-compose [simp]: vstore-upd x \ f \circ vstore-upd x \ q = vstore-upd x \ (f \circ q)
 by (rule ext, simp add: vstore-upd-def, transfer, auto)
lemma update-vstore-appl: upd-vstore (\lambda x. f (g x)) s = upd-vstore f (upd-vstore g s)
 by (metis comp-apply upd-store-parm upd-vstore-comp)
lemma vstore-upd-appl: vstore-upd x (\lambda x. f (g x)) s = vstore-upd x f (vstore-upd x g s)
 by (metis (no-types, lifting) vstore-lookup-upd vstore-upd-comp vstore-upd-def)
lemma uvar-dvar: uvar (x\uparrow)
proof
 fix f g :: 'a \Rightarrow 'a and \sigma :: 'b
 show var-update (x\uparrow) f (var-update (x\uparrow) g \sigma) = var-update (x\uparrow) (f \circ g) \sigma
   by (simp add: dvar-lift-def)
  show var-assign (x\uparrow) (var-lookup (x\uparrow) \sigma) \sigma = \sigma
   by (simp add: dvar-lift-def, subst upd-store-parm, simp)
```

```
show var-lookup (x\uparrow) (var-update (x\uparrow) f \sigma) = f (var-lookup (x\uparrow) \sigma)
             by (simp add: dvar-lift-def)
      fix \varrho :: 'b and v :: 'a
      \mathbf{show} \ \mathit{var-lookup} \ (x \uparrow) \ \sigma = \mathit{var-lookup} \ (x \uparrow) \ \varrho \Longrightarrow \mathit{var-assign} \ (x \uparrow) \ v \ \sigma = \mathit{var-assign} \ (x \uparrow) \ v \ \varrho \Longrightarrow \sigma = \mathsf{var-assign} \ (x \uparrow) \ \mathsf{v} \ \varrho \Longrightarrow \sigma = \mathsf{var-assign} \ \mathsf{var-assign} \
      proof -
             assume vl: var-lookup (x\uparrow) \sigma = var-lookup (x\uparrow) \rho and va: var-assign (x\uparrow) v \sigma = var-assign (x\uparrow) v
             have get-vstore \sigma = get-vstore \varrho
                      by (metis (no-types, lifting) dvar-lift-def get-upd-vstore uvar.select-convs(1) uvar.select-convs(2)
va vl vstore-lookup-upd vstore-upd-comp vstore-upd-def vstore-upd-eta)
             moreover from va have upd-vstore (\lambda-. get-vstore \varrho) \sigma = \varrho
                     by (simp add: dvar-lift-def, metis upd-store-parm upd-vstore-eta update-vstore-appl)
             ultimately show ?thesis
                     by (metis upd-vstore-eta)
      ged
\mathbf{qed}
Deep variables with different names are independent
lemma dvar-indep-diff-name:
       assumes dvar-name x \neq dvar-name y
      shows x \uparrow \bowtie y \uparrow
proof -
       from assms have \bigwedge fg. vstore-upd x f \circ vstore-upd y g = vstore-upd y g \circ vstore-upd x f \circ vstore-
             apply (auto simp add: comp-def vstore-upd-def)
             apply (rule ext, subst vstore-put-commute, auto)
       done
   thus ?thesis
             by (auto simp add: uvar-indep-def dvar-name-def dvar-card-def dvar-lift-def vstore-upd-def)
qed
lemma dvar-indep-diff-name' [simp]:
      x \neq y \Longrightarrow \lceil x \rceil_d \uparrow \bowtie \lceil y \rceil_d \uparrow
      by (auto intro: dvar-indep-diff-name)
A basic record structure for vstores
record \ vstore-d =
       vstore::vstore
instantiation vstore-d-ext :: (type) vst
begin
       definition [simp]: get-vstore-vstore-d-ext = <math>vstore
      definition [simp]: upd-vstore-vstore-d-ext = <math>vstore-update
instance
      by (intro-classes, simp-all)
end
end
```

2 UTP expressions

```
theory utp-expr
imports
utp-var
utp-dvar
begin
```

Before building the predicate model, we will build a model of expressions that generalise alphabetised predicates. Expressions are represented semantically as mapping from the alphabet to the expression's type. This general model will allow us to unify all constructions under one type. All definitions in the file are given using the *lifting* package.

```
Since we have two kinds of variable (deep and shallow) in the model, we will also need two
versions of each construct that takes a variable. We make use of adhoc-overloading to ensure
the correct instance is automatically chosen, within the user noticing a difference.
typedef ('t, '\alpha) uexpr = UNIV :: ('\alpha alphabet \Rightarrow 't) set ...
notation Rep\text{-}uexpr (\llbracket - \rrbracket_e)
lemma uexpr-eq-iff:
  e = f \longleftrightarrow (\forall b. \llbracket e \rrbracket_e \ b = \llbracket f \rrbracket_e \ b)
 using Rep-uexpr-inject[of ef, THEN sym] by (auto)
named-theorems ueval
setup-lifting type-definition-uexpr
A variable expression corresponds to the lookup function of the variable.
lift-definition var :: ('t, '\alpha) \ uvar \Rightarrow ('t, '\alpha) \ uexpr \ is \ var-lookup \ .
declare [[coercion-enabled]]
declare [[coercion var]]
definition dvar-exp :: 't::continuum dvar \Rightarrow ('t, '\alpha::vst) uexpr
where dvar-exp x = var (dvar-lift x)
We can then define specific cases for input and output variables, that simply perform tuple
lifting. We also have variants for deep variables.
definition iuvar :: ('t, '\alpha) \ uvar \Rightarrow ('t, '\alpha \times '\beta) \ uexpr
where iuvar x = var (in-var x)
definition ouvar :: ('t, '\beta) \ uvar \Rightarrow ('t, '\alpha \times '\beta) \ uexpr
where ouvar x = var (out\text{-}var x)
definition idvar :: 't::continuum \ dvar \Rightarrow ('t, '\alpha::vst \times '\beta) \ uexpr
where idvar x = var (in-var (dvar-lift x))
```

where odvar x = var (out-var (dvar-lift x))

definition odvar :: 't::continuum dvar \Rightarrow ('t, '\alpha \times '\beta::vst) uexpr

```
lift-definition lit: 't \Rightarrow ('t, '\alpha) \ uexpr is \lambda \ v \ b. \ v .
```

A literal is simply a constant function expression, always returning the same value.

We define lifting for unary, binary, and ternary functions, that simply apply the function to all possible results of the expressions.

```
lift-definition uop :: ('a \Rightarrow 'b) \Rightarrow ('a, '\alpha) \ uexpr \Rightarrow ('b, '\alpha) \ uexpr is \lambda \ f \ e \ b . \ f \ (e \ b).
lift-definition bop :: ('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow ('a, '\alpha) \ uexpr \Rightarrow ('b, '\alpha) \ uexpr \Rightarrow ('c, '\alpha) \ uexpr is \lambda \ f \ u \ v \ b . \ f \ (u \ b) \ (v \ b).
lift-definition trop :: ('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd) \Rightarrow ('a, '\alpha) \ uexpr \Rightarrow ('b, '\alpha) \ uexpr \Rightarrow ('c, '\alpha) \ uexpr \Rightarrow ('d, '\alpha) \ uexpr is \lambda \ f \ u \ v \ w \ b . \ f \ (u \ b) \ (v \ b) \ (w \ b).
```

We define syntax for expressions using adhoc overloading – this allows us to later define operators on different types if necessary (e.g. when adding types for new UTP theories).

consts

```
ulit :: 't \Rightarrow 'e \ (\ll -\gg)
ueq :: 'a \Rightarrow 'a \Rightarrow 'b \ (infixl =_u 50)
ueuvar :: 'v \Rightarrow 'p
uiuvar :: 'v \Rightarrow 'p
uouvar :: 'v \Rightarrow 'p
```

adhoc-overloading

```
ulit lit and
ueuvar var and
ueuvar dvar-exp and
uiuvar iuvar and
uiuvar idvar and
uouvar ouvar and
uouvar odvar
```

syntax

```
-uuvar :: ('t, '\alpha) uvar \Rightarrow logic (&- [999] 999)

-uiuvar :: ('t, '\alpha) uvar \Rightarrow logic ($- [999] 999)

-uouvar :: ('t, '\alpha) uvar \Rightarrow logic ($-' [999] 999)
```

translations

We also set up some useful standard arithmetic operators for Isabelle by lifting the functions to binary operators.

```
instantiation uexpr:(plus,\ type)\ plus begin definition plus\text{-}uexpr\text{-}def\colon u+v=bop\ (op\ +)\ u\ v instance .. end
```

Instantiating uminus also provides negation for predicates later

```
instantiation uexpr: (uminus, type) uminus begin definition uminus-uexpr-def: -u = uop uminus u instance .. end
```

```
instantiation uexpr :: (minus, type) minus
begin
 definition minus-uexpr-def: u - v = bop (op -) u v
instance ..
end
instantiation uexpr :: (times, type) times
begin
 definition times-uexpr-def: u * v = bop (op *) u v
instance ..
end
instantiation \ uexpr :: (Divides.div, \ type) \ Divides.div
 definition div-uexpr-def: u \ div \ v = bop \ (op \ div) \ u \ v
 definition mod\text{-}uexpr\text{-}def: u \ mod \ v = bop \ (op \ mod) \ u \ v
instance ..
end
instantiation uexpr :: (zero, type) zero
begin
 definition zero-uexpr-def: \theta = lit \ \theta
instance ..
end
instantiation uexpr :: (one, type) one
begin
 definition one-uexpr-def: 1 = lit 1
instance ..
end
instance\ uexpr::(semigroup-mult,\ type)\ semigroup-mult
 by (intro-classes) (simp add: times-uexpr-def one-uexpr-def, transfer, simp add: mult.assoc)+
instance uexpr :: (monoid-mult, type) monoid-mult
 by (intro-classes) (simp add: times-uexpr-def one-uexpr-def, transfer, simp)+
instance\ uexpr::(semigroup-add,\ type)\ semigroup-add
 by (intro-classes) (simp add: plus-uexpr-def zero-uexpr-def, transfer, simp add: add.assoc)+
instance \ uexpr :: (monoid-add, \ type) \ monoid-add
 by (intro-classes) (simp add: plus-uexpr-def zero-uexpr-def, transfer, simp)+
instance uexpr :: (numeral, type) numeral
 by (intro-classes, simp add: plus-uexpr-def, transfer, simp add: add.assoc)
Set up automation for numerals
lemma numeral-uexpr-rep-eq: [numeral \ x]_e \ b = numeral \ x
 by (induct x, simp-all add: plus-uexpr-def one-uexpr-def numeral.simps lit.rep-eq bop.rep-eq)
lemma numeral-uexpr-simp: numeral x = «numeral x»
 by (simp add: uexpr-eq-iff numeral-uexpr-rep-eq lit.rep-eq)
definition eq-upred :: ('a, '\alpha) uexpr \Rightarrow ('a, '\alpha) uexpr \Rightarrow (bool, '\alpha) uexpr
```

```
where eq-upred x y = bop HOL.eq x y
adhoc-overloading
  ueq eq-upred
abbreviation seq-filter :: 'a set \Rightarrow 'a list \Rightarrow 'a list where
seq-filter A \equiv filter (\lambda \ x. \ x \in A)
nonterminal utuple-args and umaplet and umaplets
syntax
  -unil
                 :: ('a \ list, '\alpha) \ uexpr (\langle \rangle)
                :: args = \langle ('a \ list, \ '\alpha) \ uexpr \ (\langle (-) \rangle)
  -ulist
                  :: ('a list, '\alpha) uexpr \Rightarrow ('a list, '\alpha) uexpr \Rightarrow ('a list, '\alpha) uexpr (infixr \hat{\ }_u 80)
  -uappend
  -ulast
                 :: ('a list, '\alpha) uexpr \Rightarrow ('a, '\alpha) uexpr (last<sub>u</sub>'(-'))
                :: ('a \ list, '\alpha) \ uexpr \Rightarrow ('a \ set, '\alpha) \ uexpr \Rightarrow ('a \ list, '\alpha) \ uexpr \ (infixl \mid_u 75)
  -ufilter
                 :: ('a, '\alpha) \ uexpr \Rightarrow ('a, '\alpha) \ uexpr \Rightarrow (bool, '\alpha) \ uexpr \ (infix <_u 50)
  -uless
  -uleq
                 (a, '\alpha) \ uexpr \Rightarrow (a, '\alpha) \ uexpr \Rightarrow (bool, '\alpha) \ uexpr \ (infix \leq_u 50)
                 :: ('a, '\alpha) \ uexpr \Rightarrow ('a, '\alpha) \ uexpr \Rightarrow (bool, '\alpha) \ uexpr \ (infix >_u 50)
  -ugreat
                 :: ('a, '\alpha) \ uexpr \Rightarrow ('a, '\alpha) \ uexpr \Rightarrow (bool, '\alpha) \ uexpr \ (infix \ge_u 50)
  -ugeq
                :: ('a \ set, \ '\alpha) \ uexpr (\{\}_u)
  -uempset
                 :: args => ('a \ set, '\alpha) \ uexpr (\{(-)\}_u)
  -uset
                  :: ('a set, '\alpha) uexpr \Rightarrow ('a set, '\alpha) uexpr \Rightarrow ('a set, '\alpha) uexpr (infixl \cup_u 65)
  -uunion
                 :: ('a \ set, \ '\alpha) \ uexpr \Rightarrow ('a \ set, \ '\alpha) \ uexpr \Rightarrow ('a \ set, \ '\alpha) \ uexpr \ (infixl \cap_u \ 70)
  -uinter
  -umem
                    :: ('a, '\alpha) \ uexpr \Rightarrow ('a \ set, '\alpha) \ uexpr \Rightarrow (bool, '\alpha) \ uexpr \ (infix \in_u 50)
                    :: ('a, '\alpha) \ uexpr \Rightarrow ('a \ set, '\alpha) \ uexpr \Rightarrow (bool, '\alpha) \ uexpr \ (infix \notin_u 50)
  -unmem
                  :: ('a \ set, '\alpha) \ uexpr \Rightarrow ('a \ set, '\alpha) \ uexpr \Rightarrow (bool, '\alpha) \ uexpr \ (infix \subset_u 50)
  -usubset
  -usubseteq :: ('a set, '\alpha) uexpr \Rightarrow ('a set, '\alpha) uexpr \Rightarrow (bool, '\alpha) uexpr (infix \subseteq_u 50)
                 :: ('a, '\alpha) \ uexpr \Rightarrow utuple-args \Rightarrow ('a * 'b, '\alpha) \ uexpr ((1'(-,/-')_u))
  -utuple-arg :: ('a, '\alpha) \ uexpr \Rightarrow utuple-args (-)
  -utuple-args :: ('a, '\alpha) \ uexpr => utuple-args \Rightarrow utuple-args
                                                                                             (-,/-)
                 :: ('a, '\alpha) \ uexpr ('(')_u)
  -uunit
                :: ('a \times 'b, '\alpha) \ uexpr \Rightarrow ('a, '\alpha) \ uexpr (\pi_1'(-'))
  -ufst
  -usnd
                  :: ('a \times 'b, '\alpha) \ uexpr \Rightarrow ('b, '\alpha) \ uexpr \ (\pi_2'(-'))
                  :: ('a \Rightarrow 'b, '\alpha) \ uexpr \Rightarrow utuple-args \Rightarrow ('b, '\alpha) \ uexpr (-(-)_u [999,0] 999)
  -uapply
                  :: logic \Rightarrow logic (dom_u'(-'))
  -udom
  -uran
                 :: logic \Rightarrow logic (ran_u'(-'))
  -uinl
                 :: logic \Rightarrow logic (inl_u'(-'))
                 :: logic \Rightarrow logic (inr_u'(-'))
  -umap-empty :: ('a \rightharpoonup 'b, '\alpha) \ uexpr ([]_u)
  -umap-apply :: logic \Rightarrow logic \Rightarrow logic (-(|-|)_m [999,0] 999)
  -umap-plus :: logic \Rightarrow logic \Rightarrow logic  (infixl \oplus_m 85)
  -umap-minus :: logic \Rightarrow logic \Rightarrow logic \ (\mathbf{infixl} \ominus_m 85)
  -umaplet :: [logic, logic] => umaplet (-/\mapsto_u/-)
                :: umaplet => umaplets
  -UMaplets :: [umaplet, umaplets] => umaplets (-,/-)
  -UMapUpd :: [logic, umaplets] => logic (-/'(-') [900,0] 900)
  -UMap
                   :: umaplets => logic ((1[-]))
definition fun-apply f x = f x
declare fun-apply-def [simp]
```

15

definition $map\text{-}upd = (\lambda \ f \ x \ v. \ fun\text{-}upd \ f \ x \ (Some \ v))$

definition map-apply :: $('a \rightarrow 'b) \Rightarrow 'a \Rightarrow 'b (-'(-')_m [999,0] 999)$ where

```
map\text{-}apply = (\lambda f x. the (f x))
definition map-minus :: ('a \rightarrow 'b) \Rightarrow ('a \rightarrow 'b) \Rightarrow ('a \rightarrow 'b) (infixl -- 100)
where map-minus f g = (\lambda x. if (f x = g x) then None else f x)
definition map\text{-}empty :: 'a \rightharpoonup 'b ([]_m) where
map\text{-}empty = Map.empty
translations
          == «[]»
  \langle x, xs \rangle = CONST \ bop \ (op \#) \ x \ \langle xs \rangle
  \langle x \rangle = CONST \ bop \ (op \#) \ x \ll [] \gg
  x \hat{y} = CONST \ bop \ (op @) \ x \ y
  last_u(xs) == CONST \ uop \ CONST \ last \ xs
  xs \upharpoonright_u A == CONST \ bop \ CONST \ seq	ext{-filter} \ A \ xs
  x <_u y = CONST \ bop \ (op <) \ x \ y
  x \leq_u y = CONST \ bop \ (op \leq) \ x \ y
  x >_u y == y <_u x
  x \ge_u y == y \le_u x
  \{\}_u == \{\}
  \{x, xs\}_u == CONST \ bop \ (CONST \ insert) \ x \ \{xs\}_u
  \{x\}_u = CONST \ bop \ (CONST \ insert) \ x \ {<}\}
  A \cup_u B = CONST \ bop \ (op \cup) \ A \ B
  A \cap_u B = CONST \ bop \ (op \cap) A B
  x \in_{u} A = CONST \ bop \ (op \in) \ x \ A
  x \notin_{u} A = CONST \ bop \ (op \notin) \ x \ A
  A \subset_u B = CONST \ bop \ (op \subset) A B
  A \subseteq_u B = CONST \ bop \ (op \subseteq) A B
       == «()»
  (x, y)_u = CONST \ bop \ (CONST \ Pair) \ x \ y
  -utuple \ x \ (-utuple-args \ y \ z) == -utuple \ x \ (-utuple-arg \ (-utuple \ y \ z))
  \pi_1(x) = CONST \ uop \ CONST \ fst \ x
  \pi_2(x) = CONST \ uop \ CONST \ snd \ x
 f(|x|)_u = CONST \ bop \ CONST \ fun-apply f \ x
  dom_u(f) == CONST \ uop \ CONST \ dom f
  ran_u(f) == CONST \ uop \ CONST \ ran f
  inl_u(x) == CONST \ uop \ CONST \ Inl \ x
  inr_u(x) == CONST \ uop \ CONST \ Inr \ x
 f(|x|)_m = CONST \ bop \ CONST \ map-apply f x
 f \oplus_m g == CONST \ bop \ CONST \ map-add \ f \ g
 f \ominus_m g == CONST \ bop \ CONST \ map-minus f g
 []_u == \ll CONST \ map-empty \gg
  -UMapUpd \ m \ (-UMaplets \ xy \ ms) == -UMapUpd \ (-UMapUpd \ m \ xy) \ ms
  -UMapUpd\ m\ (-umaplet\ x\ y)\ ==\ CONST\ trop\ CONST\ map-upd\ m\ x\ y
  -UMap \ ms
                                   == -UMapUpd \mid_{u} ms
  -UMap (-UMaplets ms1 ms2)
                                        <= -UMapUpd (-UMap ms1) ms2
  -UMaplets \ ms1 \ (-UMaplets \ ms2 \ ms3) <= -UMaplets \ (-UMaplets \ ms1 \ ms2) \ ms3
 f(x,y)_u = CONST \ bop \ CONST \ fun-apply \ f(x,y)_u
Lifting set intervals
syntax
  -uset-atLeastLessThan :: ('a, '\alpha) \ uexpr \Rightarrow ('a, '\alpha) \ uexpr \Rightarrow ('a \ set, '\alpha) \ uexpr \ ((1\{-..<-\}_u))
 -uset\text{-}compr:: id \Rightarrow ('a\ set,\ 'lpha)\ uexpr \Rightarrow (bool,\ 'lpha)\ uexpr \Rightarrow ('b,\ 'lpha)\ uexpr \Rightarrow ('b\ set,\ 'lpha)\ uexpr ((1\{-varequiversity (1(a\ set,\ 'lpha)\ uexpr)\}))
```

 $:/-|/-\cdot/-\}_u))$

```
\textbf{lift-definition} \ \textit{ZedSetCompr} ::
 ('a\ set,\ '\alpha)\ uexpr \Rightarrow ('a \Rightarrow (bool,\ '\alpha)\ uexpr \times ('b,\ '\alpha)\ uexpr) \Rightarrow ('b\ set,\ '\alpha)\ uexpr
is \lambda \ A \ PF \ b. { snd \ (PF \ x) \ b \mid x. \ x \in A \ b \land fst \ (PF \ x) \ b }.
translations
  \{x..< y\}_u == CONST \ bop \ CONST \ at Least Less Than \ x \ y
 {x : A \mid P \cdot F}_u == CONST \ ZedSetCompr \ A \ (\lambda \ x. \ (P, F))
lemmas uexpr-defs =
 iuvar-def
  ouvar-def
 zero-uexpr-def
  one-uexpr-def
 plus-uexpr-def
 uminus-uexpr-def
  minus-uexpr-def
  times-uexpr-def
  div-uexpr-def
 mod-uexpr-def
  eq	ext{-}upred	ext{-}def
  numeral-uexpr-simp
 map-empty-def
 map-upd-def
lemma var-in-var: var (in-var x) = $x
 by (simp add: iuvar-def)
lemma var-out-var: var (out-var x) = \$x'
 by (simp add: ouvar-def)
declare map-member.simps [simp del]
lemma map-minus-apply [simp]: y \in dom(f -- g) \Longrightarrow (f -- g)(y)_m = f(y)_m
 by (auto simp add: map-minus-def dom-def map-apply-def)
lemma map-add-restrict:
 f ++ g = (f \mid `(-dom g)) ++ g
 by (rule ext, auto simp add: map-add-def restrict-map-def)
lemma map\text{-}ext:
  \llbracket \bigwedge x \ y. \ (x, \ y) \in_m A \longleftrightarrow (x, \ y) \in_m B \rrbracket \Longrightarrow A = B
 by (rule ext, auto simp add: map-member.simps, metis not-Some-eq)
lemma map-member-alt-def:
 (x, y) \in_m A \longleftrightarrow (x \in dom \ A \land A(x)_m = y)
 by (auto simp add: map-member.simps map-apply-def)
lemma map-member-plus:
 (x, y) \in_m f ++ g \longleftrightarrow ((x \notin dom(g) \land (x, y) \in_m f) \lor (x, y) \in_m g)
 by (auto simp add: map-member.simps map-add-Some-iff)
```

lemma map-member-minus:

 $(x, y) \in_m f \longrightarrow (x, y) \in_m f \land (\neg (x, y) \in_m g)$

```
by (auto simp add: map-member.simps map-minus-def)
lemma map-minus-plus-commute:
  dom(g)\,\cap\,dom(h)=\{\} \Longrightarrow (f\,\,--\,\,g)\,\,++\,\,h=(f\,\,++\,\,h)\,\,--\,\,g
 apply (rule map-ext)
 apply (auto simp add: map-member-plus map-member-minus)
 apply (auto simp add: map-member-alt-def)
done
lemma map-le-member:
 f \subseteq_m g \longleftrightarrow (\forall x y. (x,y) \in_m f \longrightarrow (x,y) \in_m g)
 by (force simp add: map-le-def map-member.simps)
lemma map-le-graph: f \subseteq_m g \longleftrightarrow map-graph f \subseteq map-graph g
 by (force simp add: map-le-def map-graph-def)
lemma map-graph-minus: map-graph (f -- g) = map-graph f - map-graph g
 by (auto simp add: map-minus-def map-graph-def, (meson option.distinct(1))+)
lemma map-graph-inj:
  inj map-graph
 by (metis injI map-graph-inv)
\textbf{lemma} \ \textit{map-eq-graph} : f = g \longleftrightarrow \textit{map-graph} \ f = \textit{map-graph} \ g
  by (auto simp add: inj-eq map-graph-inj)
lemma map-minus-common-subset:
  \llbracket h \subseteq_m f; h \subseteq_m g \rrbracket \Longrightarrow (f -- h = g -- h) = (f = g)
 by (auto simp add: map-eq-graph map-graph-minus map-le-graph)
2.1
        Evaluation laws for expressions
lemma lit\text{-}ueval \ [ueval]: \ [\![\ll x \gg]\!]_e b = x
 by (transfer, simp)
lemma var-ueval [ueval]: [var x]_e b = var-lookup x b
  by (transfer, simp)
lemma uop-ueval [ueval]: \llbracket uop \ f \ x \rrbracket_e b = f \ (\llbracket x \rrbracket_e b)
 by (transfer, simp)
lemma bop-ueval [ueval]: \llbracket bop \ f \ x \ y \rrbracket_e b = f \ (\llbracket x \rrbracket_e b) \ (\llbracket y \rrbracket_e b)
 by (transfer, simp)
lemma trop-ueval [ueval]: \llbracket trop \ f \ x \ y \ z \rrbracket_e b = f \ (\llbracket x \rrbracket_e b) \ (\llbracket y \rrbracket_e b) \ (\llbracket z \rrbracket_e b)
 by (transfer, simp)
declare uexpr-defs [ueval]
end
```

3 Unrestriction

theory utp-unrest imports utp-expr

begin

consts

by (transfer, simp)

Unrestriction is an encoding of semantic freshness, that allows us to reason about the presence of variables in predicates without being concerned with abstract syntax trees. An expression p is unrestricted by variable x, written $x \not\equiv p$, if altering the value of x has no effect on the valuation of p. This is a sufficient notion to prove many laws that would ordinarily rely on an fv function.

```
unrest :: 'a \Rightarrow 'b \Rightarrow bool
syntax
  -unrest :: svar \Rightarrow logic \Rightarrow logic \Rightarrow logic (infix $\sharp 20)
translations
  -unrest \ x \ p == CONST \ unrest \ x \ p
named-theorems unrest
lift-definition unrest-upred :: ('a, '\alpha) \ uvar \Rightarrow ('b, '\alpha) \ uexpr \Rightarrow bool
is \lambda \ x \ e. \ \forall \ b \ v. \ e \ (var-update \ x \ v \ b) = e \ b.
definition unrest-dvar-upred :: 'a::continuum dvar \Rightarrow ('b, '\alpha:vst) uexpr \Rightarrow bool where
unrest-dvar-upred x P = unrest-upred (x\uparrow) P
adhoc-overloading
  unrest\ unrest\text{-}upred
lemma unrest-lit [unrest]: x \sharp \ll v \gg
 by (transfer, simp)
The following law demonstrates why we need variable independence: a variable expression is
unrestricted by another variable only when the two variables are independent.
lemma unrest-var [unrest]: \llbracket uvar x; x \bowtie y \rrbracket \implies y \sharp var x
  by (transfer, auto)
lemma unrest-iuvar [unrest]: \llbracket uvar x; x \bowtie y \rrbracket \Longrightarrow \$y \sharp \$x
  by (metis in-var-indep in-var-uvar unrest-var var-in-var)
lemma unrest-ouvar [unrest]: \llbracket uvar \ x; \ x \bowtie y \ \rrbracket \Longrightarrow \$y' \ \sharp \ \$x'
  by (metis out-var-indep out-var-uvar unrest-var var-out-var)
lemma unrest-iuvar-ouvar [unrest]:
  fixes x :: ('a, '\alpha) \ uvar
  assumes uvar y
  shows \$x \sharp \$y
  by (metis assms out-in-indep out-var-uvar unrest-var var-out-var)
lemma unrest-ouvar-iuvar [unrest]:
  fixes x :: ('a, '\alpha) \ uvar
  assumes uvar y
  shows x \neq y
  by (metis assms in-out-indep in-var-uvar unrest-var var-in-var)
lemma unrest-uop [unrest]: x \sharp e \Longrightarrow x \sharp uop f e
```

```
lemma unrest-bop [unrest]: [\![ x \sharp u; x \sharp v ]\!] \Longrightarrow x \sharp bop f u v
by (transfer, simp)

lemma unrest-trop [unrest]: [\![ x \sharp u; x \sharp v; x \sharp w ]\!] \Longrightarrow x \sharp trop f u v w
by (transfer, simp)

lemma unrest-eq [unrest]: [\![ x \sharp u; x \sharp v ]\!] \Longrightarrow x \sharp u =_u v
by (simp \ add: \ eq\ upred\ def, \ transfer, \ simp)
```

4 Substitution

```
theory utp-subst
imports
utp-expr
utp-lift
utp-unrest
begin
```

4.1 Substitution definitions

We introduce a polymorphic constant that will be used to represent application of a substitution, and also a set of theorems to represent laws.

consts

```
usubst :: 's \Rightarrow 'a \Rightarrow 'a \text{ (infixr } \dagger 80)
```

named-theorems usubst

A substitution is simply a transformation on the alphabet; it shows how variables should be mapped to different values.

```
type-synonym '\alpha usubst = '\alpha alphabet \Rightarrow '\alpha alphabet
```

```
lift-definition subst :: '\alpha usubst \Rightarrow ('a, '\alpha) uexpr \Rightarrow ('a, '\alpha) uexpr is \lambda \sigma e b. e (\sigma b).
```

adhoc-overloading

usubst subst

Update the value of a variable to an expression in a substitution

```
consts subst-upd :: '\alpha \ usubst \Rightarrow 'v \Rightarrow ('a, '\alpha) \ uexpr \Rightarrow '\alpha \ usubst
```

```
definition subst-upd-uvar :: '\alpha usubst \Rightarrow ('a, '\alpha) uvar \Rightarrow ('a, '\alpha) uexpr \Rightarrow '\alpha usubst where subst-upd-uvar \sigma x v = (\lambda b. var-assign x (\llbracket v \rrbracket_e b) (\sigma b))
```

definition subst-upd-dvar :: ' α usubst \Rightarrow 'a::continuum dvar \Rightarrow ('a, ' α ::vst) uexpr \Rightarrow ' α usubst where subst-upd-dvar σ x v = subst-upd-uvar σ (x \uparrow) v

adhoc-overloading

```
subst-upd\ subst-upd-uvar\ {f and}\ subst-upd\ subst-upd-dvar
```

Lookup the expression associated with a variable in a substitution

```
lift-definition usubst-lookup :: '\alpha usubst \Rightarrow ('a, '\alpha) uvar \Rightarrow ('a, '\alpha) uexpr (\langle - \rangle_s)
```

```
is \lambda \sigma x b. var-lookup x (\sigma b).
Relational lifting of a substitution to the first element of the state space
definition usubst-rel-lift :: '\alpha usubst \Rightarrow ('\alpha \times '\beta) usubst ([-]_s) where
[\sigma]_s = (\lambda (A, A'). (\sigma A, A'))
definition usubst-rel-drop :: ('\alpha \times '\alpha) usubst \Rightarrow '\alpha usubst ([-]_s) where
[\sigma]_s = (\lambda A. fst (\sigma (A, A)))
nonterminal smaplet and smaplets
syntax
                                                 \stackrel{\text{(-/}\mapsto_s/\text{-)}}{(-)} 
  -smaplet :: [svar, 'a] => smaplet
           :: smaplet => smaplets
  -SMaplets :: [smaplet, smaplets] => smaplets (-,/-)
  -SubstUpd :: ['m usubst, smaplets] => 'm usubst (-/'(-') [900,0] 900)
  -Subst :: smaplets => 'a \sim => 'b
                                                    ((1[-]))
translations
  -SubstUpd \ m \ (-SMaplets \ xy \ ms) = -SubstUpd \ (-SubstUpd \ m \ xy) \ ms
  -SubstUpd \ m \ (-smaplet \ x \ y)
                                         == CONST subst-upd m x y
  -Subst ms
                                    == -SubstUpd (CONST id) ms
  -Subst\ (-SMaplets\ ms1\ ms2) <= -SubstUpd\ (-Subst\ ms1)\ ms2
  -SMaplets \ ms1 \ (-SMaplets \ ms2 \ ms3) <= -SMaplets \ (-SMaplets \ ms1 \ ms2) \ ms3
4.2
       Substitution laws
We set up a simple substitution tactic that applies substitution and unrestriction laws
method subst-tac = (simp \ add: \ usubst \ unrest)?
lemma usubst-lookup-id [usubst]: \langle id \rangle_s \ x = var \ x
 by (transfer, simp)
lemma usubst-lookup-upd [usubst]:
 assumes uvar x
 shows \langle \sigma(x \mapsto_s v) \rangle_s \ x = v
 using assms
 by (simp add: subst-upd-uvar-def, transfer) (simp)
lemma usubst-upd-idem [usubst]:
 assumes semi-uvar x
 shows \sigma(x \mapsto_s u, x \mapsto_s v) = \sigma(x \mapsto_s v)
 by (simp add: subst-upd-uvar-def assms comp-def)
lemma usubst-lookup-upd-indep [usubst]:
 assumes uvar \ x \ \bowtie \ y
 shows \langle \sigma(y \mapsto_s v) \rangle_s \ x = \langle \sigma \rangle_s \ x
 using assms
 by (simp add: subst-upd-uvar-def, transfer, simp)
lemma subst-unrest [usubst] : x \sharp P \Longrightarrow \sigma(x \mapsto_s v) \dagger P = \sigma \dagger P
 by (simp add: subst-upd-uvar-def, transfer, auto)
```

lemma id-subst [usubst]: $id \dagger v = v$

by (transfer, simp)

```
lemma subst-lit [usubst]: \sigma \dagger \ll v \gg = \ll v \gg
  by (transfer, simp)
lemma subst-var [usubst]: \sigma \dagger var x = \langle \sigma \rangle_s x
  by (transfer, simp)
lemma subst-ivar [usubst]: \sigma \dagger \$x = \langle \sigma \rangle_s (in-var x)
  by (simp add: iuvar-def, transfer, simp)
lemma subst-ovar [usubst]: \sigma \dagger \$x' = \langle \sigma \rangle_s (out-var x)
  by (simp add: ouvar-def, transfer, simp)
lemma subst-uop [usubst]: \sigma \dagger uop f v = uop f (\sigma \dagger v)
  by (transfer, simp)
lemma subst-bop [usubst]: \sigma \dagger bop f u v = bop f (\sigma \dagger u) (\sigma \dagger v)
  by (transfer, simp)
lemma subst-trop [usubst]: \sigma \dagger trop f u v w = trop f (\sigma \dagger u) (\sigma \dagger v) (\sigma \dagger w)
  by (transfer, simp)
lemma subst-plus [usubst]: \sigma \dagger (x + y) = \sigma \dagger x + \sigma \dagger y
  by (simp add: plus-uexpr-def subst-bop)
lemma subst-times [usubst]: \sigma \dagger (x * y) = \sigma \dagger x * \sigma \dagger y
  by (simp add: times-uexpr-def subst-bop)
lemma subst-minus [usubst]: \sigma \dagger (x - y) = \sigma \dagger x - \sigma \dagger y
  by (simp add: minus-uexpr-def subst-bop)
lemma subst-zero [usubst]: \sigma \dagger \theta = \theta
  by (simp add: zero-uexpr-def subst-lit)
lemma subst-one [usubst]: \sigma \dagger 1 = 1
  by (simp add: one-uexpr-def subst-lit)
lemma subst-eq-upred [usubst]: \sigma \dagger (x =_u y) = (\sigma \dagger x =_u \sigma \dagger y)
  by (simp add: eq-upred-def usubst)
lemma subst-subst [usubst]: \sigma \dagger \varrho \dagger e = (\varrho \circ \sigma) \dagger e
  by (transfer, simp)
lemma subst-upd-comp [usubst]:
  fixes x :: ('a, '\alpha) \ uvar
  shows \varrho(x \mapsto_s v) \circ \sigma = (\varrho \circ \sigma)(x \mapsto_s \sigma \dagger v)
  by (rule ext, simp add:uexpr-defs subst-upd-uvar-def, transfer, simp)
lemma subst-lift-id [usubst]: [id]_s = id
  by (simp add: usubst-rel-lift-def)
lemma subst-drop-id [usubst]: |id|_s = id
  by (auto simp add: usubst-rel-drop-def)
lemma subst-lift-drop\ [usubst]: \lfloor \lceil \sigma \rceil_s \rfloor_s = \sigma
```

```
by (simp add: usubst-rel-lift-def usubst-rel-drop-def)
lemma subst-lift-upd [usubst]:
  fixes x :: ('a, '\alpha) \ uvar
  shows [\sigma(x \mapsto_s v)]_s = [\sigma]_s(\$x \mapsto_s [v]_<)
 by (simp add: usubst-rel-lift-def subst-upd-uvar-def, transfer, auto)
lemma subst-drop-upd [usubst]:
  fixes x :: ('a, '\alpha) \ uvar
 shows |\sigma(\$x \mapsto_s v)|_s = |\sigma|_s(x \mapsto_s |v|_<)
 apply (simp add: usubst-rel-drop-def subst-upd-uvar-def, transfer, rule ext, auto simp add:in-var-def)
 apply (rename-tac x \ v \ \sigma \ A)
 apply (case-tac \sigma (A, A), simp)
done
nonterminal uexprs and svars
syntax
  -psubst :: ['\alpha \ usubst, \ svars, \ uexprs] \Rightarrow logic
  -subst :: ('a, '\alpha) uexpr \Rightarrow uexprs \Rightarrow svars \Rightarrow ('a, '\alpha) uexpr ((-\llbracket -'/-\rrbracket) [999,999] 1000)
  -uexprs :: [('a, '\alpha) \ uexpr, \ uexprs] => uexprs (-,/-)
          :: ('a, '\alpha) \ uexpr => uexprs (-)
  -svars :: [svar, svars] => svars (-,/-)
          :: svar => svars (-)
translations
                            => CONST subst (-psubst (CONST id) vs es) P
  -subst P es vs
  -psubst \ m \ (-svar \ x) \ v => CONST \ subst-upd \ m \ x \ v
  -psubst \ m \ (-spvar \ x) \ v => CONST \ subst-upd \ m \ x \ v
  -psubst \ m \ (-sinvar \ x) \ v => CONST \ subst-upd \ m \ (CONST \ ivar \ x) \ v
  -psubst \ m \ (-soutvar \ x) \ v => CONST \ subst-upd \ m \ (CONST \ ovar \ x) \ v
  -psubst\ m\ (-svars\ x\ xs)\ (-uexprs\ v\ vs) => -psubst\ (-psubst\ m\ x\ v)\ xs\ vs
                             <= CONST \ subst \ (CONST \ subst-upd \ (CONST \ id) \ x \ e) \ P
  -subst\ P\ e\ x
end
5
      Lifting expressions
theory utp-lift
 imports
   utp-expr
   utp-unrest
begin
5.1
        Lifting definitions
We define operators for converting an expression to and from a relational state space
lift-definition lift-pre :: ('a, '\alpha) \ uexpr \Rightarrow ('a, '\alpha \times '\beta) \ uexpr ([-]_<)
is \lambda p (A, A'). p A.
lift-definition drop-pre :: ('a, '\alpha \times '\alpha) \ uexpr \Rightarrow ('a, '\alpha) \ uexpr (|-|<)
is \lambda p A. p (A, A).
```

lift-definition lift-post :: $('a, '\beta)$ uexpr $\Rightarrow ('a, '\alpha \times '\beta)$ uexpr $([-]_>)$

```
is \lambda p (A, A'). p A'.
abbreviation drop-post :: ('a, '\alpha \times '\alpha) \ uexpr \Rightarrow ('a, '\alpha) \ uexpr (|-|>)
where \lfloor b \rfloor_{>} \equiv \lfloor b \rfloor_{<}
named-theorems ulift
method ulift-tac = (simp \ add: \ ulift)?
          Lifting laws
5.2
lemma lift-pre-var [simp]:
  \lceil var \ x \rceil_{<} = \$x
  by (simp add: iuvar-def, transfer, auto)
lemma lift-post-var [simp]:
   \lceil var x \rceil > = \$x'
  by (simp add: ouvar-def, transfer, auto)
lemma lift-pre-lit [simp]:
  \lceil \ll v \gg \rceil < = \ll v \gg
  by (transfer, auto)
lemma lift-post-lit [simp]:
  \lceil \ll v \gg \rceil_{>} = \ll v \gg
  by (transfer, auto)
lemma lift-pre-uop [simp]:
  \lceil uop \ f \ v \rceil_{<} = uop \ f \ \lceil v \rceil_{<}
  by (transfer, auto)
lemma lift-post-uop [simp]:
  \lceil uop \ f \ v \rceil_{>} = uop \ f \ \lceil v \rceil_{>}
  by (transfer, auto)
lemma lift-pre-bop [simp]:
   \lceil bop \ f \ u \ v \rceil_{<} = bop \ f \ \lceil u \rceil_{<} \ \lceil v \rceil_{<}
  by (transfer, auto)
lemma lift-post-bop [simp]:
   \lceil bop \ f \ u \ v \rceil_{>} = bop \ f \ \lceil u \rceil_{>} \lceil v \rceil_{>}
  by (transfer, auto)
lemma lift-pre-trop [simp]:
   \lceil trop \ f \ u \ v \ w \rceil_{<} = trop \ f \ \lceil u \rceil_{<} \ \lceil v \rceil_{<} \ \lceil w \rceil_{<}
  by (transfer, auto)
lemma lift-post-trop [simp]:
  \lceil trop \ f \ u \ v \ w \rceil_{>} = trop \ f \ \lceil u \rceil_{>} \ \lceil v \rceil_{>} \ \lceil w \rceil_{>}
  by (transfer, auto)
```

end

6 Alphabetised Predicates

```
\begin{array}{c} \textbf{theory} \ utp\text{-}pred\\ \textbf{imports}\\ utp\text{-}expr\\ utp\text{-}subst\\ \textbf{begin} \end{array}
```

An alphabetised predicate is a simply a boolean valued expression

```
type-synonym '\alpha upred = (bool, '\alpha) uexpr
```

named-theorems upred-defs

6.1 Predicate syntax

We want to remain as close as possible to the mathematical UTP syntax, but also want to be conservative with HOL. For this reason we chose not to steal syntax from HOL, but where possible use polymorphism to allow selection of the appropriate operator (UTP vs. HOL). Thus we will first remove the standard syntax for conjunction, disjunction, and negation, and replace these with adhoc overloaded definitions.

no-notation

```
conj (infixr \land 35) and disj (infixr \lor 30) and Not (\lnot - [40] 40)

consts

utrue :: 'a \ (true)
ufalse :: 'a \ (false)
uconj :: 'a \Rightarrow 'a \Rightarrow 'a \ (infixr <math>\land 35)
udisj :: 'a \Rightarrow 'a \Rightarrow 'a \ (infixr <math>\lor 30)
uimpl :: 'a \Rightarrow 'a \Rightarrow 'a \ (infixr \Rightarrow 25)
uiff :: 'a \Rightarrow 'a \Rightarrow 'a \ (infixr \Leftrightarrow 25)
unot :: 'a \Rightarrow 'a \ (\lnot - [40] 40)
uex :: ('a, '\alpha) \ uvar \Rightarrow 'p \Rightarrow 'p
ushEx :: ['a \Rightarrow 'p] \Rightarrow 'p
ushAll :: ['a \Rightarrow 'p] \Rightarrow 'p
```

adhoc-overloading

```
uconj conj and
udisj disj and
unot Not
```

We set up two versions of each of the quantifiers: uex / uall and ushEx / ushAll. The former pair allows quantification of UTP variables, whilst the latter allows quantification of HOL variables. Both varieties will be needed at various points. Syntactically they are distinguish by a boldface quantifier for the HOL versions (achieved by the "bold" escape in Isabelle).

syntax

translations

```
\exists \&x \cdot P => CONST \ uex \ x \ P
\exists \&x \cdot P == CONST \ uex \ (CONST \ in\text{-}var \ x) \ P
\exists \&x \cdot P == CONST \ uex \ (CONST \ out\text{-}var \ x) \ P
\exists &x \cdot P == CONST \ uex \ x \ P
\forall \&x \cdot P => CONST \ uall \ x \ P
\forall \&x \cdot P == CONST \ uall \ (CONST \ in\text{-}var \ x) \ P
\forall &x \cdot P == CONST \ uall \ (CONST \ out\text{-}var \ x) \ P
\forall &x \cdot P == CONST \ uall \ x \ P
\exists &x \cdot P == CONST \ uall \ x \ P
\exists &x \cdot P => \exists \ x \cdot \ll x \gg \in_u \ A \land P
\forall &x \cdot P == CONST \ ushAll \ (\lambda \ x \ P)
\forall &x \in A \cdot P => \forall \ x \cdot \ll x \gg \in_u \ A \Rightarrow P
```

6.2 Predicate operators

We chose to maximally reuse definitions and laws built into HOL. For this reason, when introducing the core operators we proceed by lifting operators from the polymorphic algebraic hiearchy of HOL. Thus the initial definitions take place in the context of type class instantiations. We first introduce our own class called *refine* that will add the refinement operator syntax to the HOL partial order class.

```
class refine = order

abbreviation refineBy :: 'a::refine \Rightarrow 'a \Rightarrow bool \ (infix <math>\sqsubseteq 50) where P \sqsubseteq Q \equiv less-eq \ Q \ P
```

Since, on the whole, lattices in UTP are the opposite way up to the standard definitions in HOL, we syntactically invert the lattice operators. This is the one exception where we do steal HOL syntax, but I think it makes sense for UTP.

```
notation inf (infixl \square 70)
notation sup (infixl \square 65)
notation Inf (\square - [900] 900)
notation Sup (\square - [900] 900)
notation bot (\square)
notation top (\square)
```

We now introduce a partial order on expressions. Note this is more general than refinement since it lifts an order on any expression type (not just Boolean). However, the Boolean version does equate to refinement.

```
instantiation uexpr :: (order, type) \ order
begin
lift-definition less-eq\text{-}uexpr :: ('a, 'b) \ uexpr \Rightarrow ('a, 'b) \ uexpr \Rightarrow bool
is \lambda \ P \ Q. \ (\forall \ A. \ P \ A \leq Q \ A).
definition less\text{-}uexpr :: ('a, 'b) \ uexpr \Rightarrow ('a, 'b) \ uexpr \Rightarrow bool
where less\text{-}uexpr \ P \ Q = (P \leq Q \land \neg \ Q \leq P)
instance proof
fix x \ y \ z :: ('a, 'b) \ uexpr
show (x < y) = (x \leq y \land \neg \ y \leq x) by (simp \ add: \ less\text{-}uexpr\text{-}def)
show x \leq x by (transfer, \ auto)
show x \leq y \Longrightarrow y \leq z \Longrightarrow x \leq z
```

```
by (transfer, blast intro:order.trans)
 show x \leq y \Longrightarrow y \leq x \Longrightarrow x = y
   by (transfer, rule ext, simp add: eq-iff)
qed
end
We also trivially instantiate our refinement class
instance uexpr :: (order, type) refine ..
Next we introduce the lattice operators, which is again done by lifting.
instantiation uexpr :: (lattice, type) lattice
begin
 lift-definition sup-uexpr :: ('a, 'b) uexpr \Rightarrow ('a, 'b) uexpr \Rightarrow ('a, 'b) uexpr
 is \lambda P Q A. sup (P A) (Q A).
 lift-definition inf-uexpr :: ('a, 'b) uexpr \Rightarrow ('a, 'b) uexpr \Rightarrow ('a, 'b) uexpr
 is \lambda P Q A. inf (P A) (Q A).
instance
 by (intro-classes) (transfer, auto)+
end
instantiation \ uexpr::(bounded-lattice, \ type) \ bounded-lattice
begin
 lift-definition bot-uexpr :: ('a, 'b) uexpr is \lambda A. bot.
 lift-definition top-uexpr :: ('a, 'b) uexpr is \lambda A. top.
instance
 by (intro-classes) (transfer, auto)+
end
Finally we show that predicates form a Boolean algebra (under the lattice operators).
instance \ uexpr :: (boolean-algebra, \ type) \ boolean-algebra
 by (intro-classes, simp-all add: uexpr-defs)
    (transfer, simp add: sup-inf-distrib1 inf-compl-bot sup-compl-top diff-eq)+
instantiation \ uexpr::(complete-lattice,\ type)\ complete-lattice
begin
 lift-definition Inf-uexpr :: ('a, 'b) uexpr set \Rightarrow ('a, 'b) uexpr
 is \lambda PS A. INF P:PS. P(A).
 lift-definition Sup-uexpr :: ('a, 'b) uexpr set \Rightarrow ('a, 'b) uexpr
 is \lambda PS A. SUP P:PS. P(A).
instance
  by (intro-classes)
    (transfer, auto intro: INF-lower SUP-upper simp add: INF-greatest SUP-least)+
With the lattice operators defined, we can proceed to give definitions for the standard predicate
operators in terms of them.
definition true-upred = (top :: '\alpha upred)
definition false-upred = (bot :: '\alpha upred)
definition conj-upred = (inf :: '\alpha upred \Rightarrow '\alpha upred \Rightarrow '\alpha upred)
definition disj-upred = (sup :: '\alpha \ upred \Rightarrow '\alpha \ upred \Rightarrow '\alpha \ upred)
definition not-upred = (uminus :: '\alpha upred \Rightarrow '\alpha upred)
definition diff-upred = (minus :: '\alpha upred \Rightarrow '\alpha upred \Rightarrow '\alpha upred)
```

We also define the other predicate operators

```
lift-definition impl::'\alpha \ upred \Rightarrow '\alpha \ upred \Rightarrow '\alpha \ upred is \lambda \ P \ Q \ A. \ P \ A \longrightarrow Q \ A.

lift-definition iff-upred ::'\alpha \ upred \Rightarrow '\alpha \ upred \Rightarrow '\alpha \ upred is \lambda \ P \ Q \ A. \ P \ A \longleftrightarrow Q \ A.

lift-definition ex :: ('a, '\alpha) \ uvar \Rightarrow '\alpha \ upred \Rightarrow '\alpha \ upred is \lambda \ x \ P \ b. \ (\exists \ v. \ P(var-assign \ x \ v \ b)).

lift-definition shEx :: ['\beta \Rightarrow '\alpha \ upred] \Rightarrow '\alpha \ upred is \lambda \ P \ A. \ \exists \ x. \ (P \ x) \ A.

lift-definition all :: ('a, '\alpha) \ uvar \Rightarrow '\alpha \ upred \Rightarrow '\alpha \ upred is \lambda \ x \ P \ b. \ (\forall \ v. \ P(var-assign \ x \ v \ b)).

lift-definition shAll :: ['\beta \Rightarrow '\alpha \ upred] \Rightarrow '\alpha \ upred is \lambda \ P \ A. \ \forall \ x. \ (P \ x) \ A.
```

We have to add a u subscript to the closure operator as I don't want to override the syntax for HOL lists (we'll be using them later).

```
lift-definition closure::'\alpha upred \Rightarrow '\alpha upred ([-]<sub>u</sub>) is \lambda P A. \forall A'. P A'.
```

```
lift-definition taut :: '\alpha \ upred \Rightarrow bool (`-`) is \lambda \ P. \ \forall \ A. \ P \ A.
```

adhoc-overloading

utrue true-upred and ufalse false-upred and unot not-upred and uconj conj-upred and udisj disj-upred and uimpl impl and uiff iff-upred and uex ex and uall all and ushEx shEx and ushAll shAll

6.3 Proof support

We set up a simple tactic with the help of *Eisbach* that applies predicate definitions, applies the transfer method to drop down to the core definitions, applies extensionality (to remove the resulting lambda term) and the applies auto. This simple tactic will suffice to prove most of the standard laws.

method pred-tac = ((simp only: upred-defs)?; (transfer, (rule-tac ext)?, auto simp add: fun-eq-iff)?)

```
declare true-upred-def [upred-defs]
declare false-upred-def [upred-defs]
declare conj-upred-def [upred-defs]
declare disj-upred-def [upred-defs]
declare not-upred-def [upred-defs]
declare diff-upred-def [upred-defs]
declare subst-upd-uvar-def [upred-defs]
```

```
declare subst-upd-dvar-def [upred-defs]
declare uexpr-defs [upred-defs]
declare usubst-rel-lift-def [upred-defs]
declare usubst-rel-drop-def [upred-defs]
lemma true-alt-def: true = «True»
 by (pred-tac)
lemma false-alt-def: false = «False»
 by (pred-tac)
        Unrestriction Laws
6.4
lemma unrest-true [unrest]: x \sharp true
 by (pred-tac)
lemma unrest-false [unrest]: x \sharp false
 by (pred-tac)
lemma unrest-conj [unrest]: \llbracket x \sharp P; x \sharp Q \rrbracket \Longrightarrow x \sharp P \land Q
  by (pred-tac)
lemma unrest-disj [unrest]: [[ x \ \sharp \ P; x \ \sharp \ Q \ ]] \Longrightarrow x \ \sharp \ P \lor Q
 by (pred-tac)
lemma unrest-impl [unrest]: [[ x \ \sharp \ P; \ x \ \sharp \ Q \ ]] \Longrightarrow x \ \sharp \ P \Rightarrow Q
 by (pred-tac)
lemma unrest-iff [unrest]: [x \sharp P; x \sharp Q] \implies x \sharp P \Leftrightarrow Q
 by (pred-tac)
lemma unrest-not [unrest]: x \sharp P \Longrightarrow x \sharp (\neg P)
 by (pred-tac)
lemma unrest-ex-same [unrest]:
  uvar \ x \Longrightarrow x \ \sharp \ (\exists \ x \cdot P)
 by (pred-tac, auto simp add: comp-def)
lemma unrest-ex-diff [unrest]:
 assumes x \bowtie y y \sharp P
 shows y \sharp (\exists x \cdot P)
 using assms
 by (pred-tac, auto simp add: uvar-indep-def)
lemma unrest-all-same [unrest]:
  uvar \ x \Longrightarrow x \ \sharp \ (\forall \ x \cdot P)
 by (pred-tac, auto simp add: comp-def)
lemma unrest-all-diff [unrest]:
 assumes x \bowtie y y \sharp P
 shows y \sharp (\forall x \cdot P)
 using assms
 by (pred-tac, auto simp add: uvar-indep-def)
lemma unrest-shEx [unrest]:
  assumes \bigwedge y. x \sharp P(y)
```

```
shows x \sharp (\exists y \cdot P(y))
  using assms by pred-tac
lemma unrest-shAll [unrest]:
  assumes \bigwedge y. x \sharp P(y)
  shows x \sharp (\forall y \cdot P(y))
  using assms by pred-tac
lemma unrest-closure [unrest]:
  x \sharp [P]_u
  by pred-tac
         Substitution Laws
6.5
lemma subst-true [usubst]: \sigma \dagger true = true
  by (pred-tac)
lemma subst-false [usubst]: \sigma \dagger false = false
  by (pred-tac)
lemma subst-not [usubst]: \sigma \dagger (\neg P) = (\neg \sigma \dagger P)
  by (pred-tac)
lemma subst-impl [usubst]: \sigma \dagger (P \Rightarrow Q) = (\sigma \dagger P \Rightarrow \sigma \dagger Q)
  by (pred-tac)
lemma subst-iff [usubst]: \sigma \dagger (P \Leftrightarrow Q) = (\sigma \dagger P \Leftrightarrow \sigma \dagger Q)
  by (pred-tac)
lemma subst-disj [usubst]: \sigma \dagger (P \lor Q) = (\sigma \dagger P \lor \sigma \dagger Q)
  by (pred-tac)
lemma subst-conj [usubst]: \sigma \dagger (P \land Q) = (\sigma \dagger P \land \sigma \dagger Q)
  by (pred-tac)
lemma subst-closure [usubst]: \sigma \dagger [P]_u = [P]_u
  by (pred-tac)
lemma subst-shEx [usubst]: \sigma \dagger (\exists x \cdot P(x)) = (\exists x \cdot \sigma \dagger P(x))
  by pred-tac
lemma subst-shAll [usubst]: \sigma \dagger (\forall x \cdot P(x)) = (\forall x \cdot \sigma \dagger P(x))
  by pred-tac
TODO: Generalise the quantifier substitution laws to n-ary substitutions
lemma subst-ex-same [usubst]:
  assumes uvar x
  shows (\exists x \cdot P)[v/x] = (\exists x \cdot P)
  by (simp add: assms id-subst subst-unrest unrest-ex-same)
lemma subst-ex-indep [usubst]:
  assumes x \bowtie y y \sharp v
  shows (\exists \ y \cdot P)\llbracket v/x \rrbracket = (\exists \ y \cdot P \llbracket v/x \rrbracket)
  using assms
```

by (pred-tac, auto simp add: uvar-indep-def)

```
lemma subst-all-same [usubst]: assumes uvar x shows (\forall \ x \cdot P)\llbracket v/x \rrbracket = (\forall \ x \cdot P) by (simp \ add: \ assms \ id\text{-subst subst-unrest unrest-all-same}) lemma subst-all-indep [usubst]: assumes x \bowtie y \ y \ \sharp \ v shows (\forall \ y \cdot P)\llbracket v/x \rrbracket = (\forall \ y \cdot P\llbracket v/x \rrbracket) using assms by (pred\text{-}tac, \ auto \ simp \ add: \ uvar\text{-}indep\text{-}def)
```

6.6 Predicate Laws

Showing that predicates form a Boolean Algebra (under the predicate operators) gives us many useful laws.

interpretation boolean-algebra diff-upred not-upred conj-upred op \leq op < disj-upred false-upred true-upred by (unfold-locales, pred-tac+)

```
lemma refBy-order: P \sqsubseteq Q = `Q \Rightarrow P` by (transfer, auto)
```

lemma conj-idem [simp]: $((P::'\alpha \ upred) \land P) = P$ **by** pred-tac

lemma disj-idem [simp]: $((P::'\alpha \ upred) \lor P) = P$ **by** pred-tac

lemma conj-comm: $((P::'\alpha \ upred) \land Q) = (Q \land P)$ by pred-tac

lemma disj-comm: $((P::'\alpha \ upred) \lor Q) = (Q \lor P)$ **by** pred-tac

lemma conj-subst: $P = R \Longrightarrow ((P::'\alpha \ upred) \land Q) = (R \land Q)$ **by** pred-tac

 $\begin{array}{l} \textbf{lemma} \ \textit{disj-subst:} \ P = R \Longrightarrow ((P :: '\!\alpha \ \textit{upred}) \lor Q) = (R \lor Q) \\ \textbf{by} \ \textit{pred-tac} \end{array}$

lemma conj-assoc:(((P::' α upred) \wedge Q) \wedge S) = ($P \wedge (Q \wedge S)$) by pred-tac

lemma disj-assoc:(((P::' α upred) \vee Q) \vee S) = (P \vee (Q \vee S)) by pred-tac

lemma conj-disj-abs: $((P::'\alpha \ upred) \land (P \lor Q)) = P$ by pred-tac

lemma $disj\text{-}conj\text{-}abs:((P::'\alpha\ upred) \lor (P \land Q)) = P$ by pred-tac

lemma conj-disj-distr:((P::' α upred) \wedge (Q \vee R)) = ((P \wedge Q) \vee (P \wedge R)) **by** pred-tac

lemma disj-conj-distr: $((P::'\alpha \ upred) \lor (Q \land R)) = ((P \lor Q) \land (P \lor R))$

```
by pred-tac
```

by pred-tac

```
lemma true-disj-zero [simp]:
 (P \lor true) = true (true \lor P) = true
 by (pred-tac) (pred-tac)
lemma true-conj-zero [simp]:
 (P \wedge false) = false \ (false \wedge P) = false
 by (pred-tac) (pred-tac)
lemma imp-vacuous [simp]: (false \Rightarrow u) = true
 by pred-tac
lemma imp-true [simp]: (p \Rightarrow true) = true
 by pred-tac
lemma true-imp [simp]: (true \Rightarrow p) = p
 by pred-tac
lemma p-and-not-p [simp]: (P \land \neg P) = false
 by pred-tac
lemma p-or-not-p [simp]: (P \lor \neg P) = true
 by pred-tac
lemma p-imp-p [simp]: (P \Rightarrow P) = true
 by pred-tac
lemma p-iff-p [simp]: (P \Leftrightarrow P) = true
 by pred-tac
lemma p-imp-false [simp]: (P \Rightarrow false) = (\neg P)
 by pred-tac
lemma not-conj-deMorgans [simp]: (\neg ((P::'\alpha \ upred) \land Q)) = ((\neg P) \lor (\neg Q))
 by pred-tac
lemma not-disj-deMorgans [simp]: (\neg ((P::'\alpha \ upred) \lor Q)) = ((\neg P) \land (\neg Q))
 by pred-tac
lemma conj-disj-not-abs [simp]: ((P::'\alpha \ upred) \land ((\neg P) \lor Q)) = (P \land Q)
 by (pred-tac)
lemma double-negation [simp]: (\neg \neg (P::'\alpha upred)) = P
 by (pred-tac)
lemma true-not-false [simp]: true \neq false false \neq true
 \mathbf{by}\ \mathit{pred-tac} +
lemma closure-conj-distr: ([P]_u \wedge [Q]_u) = [P \wedge Q]_u
 by pred-tac
lemma closure-imp-distr: '[P \Rightarrow Q]_u \Rightarrow [P]_u \Rightarrow [Q]_u'
```

```
lemma true-iff [simp]: (P \Leftrightarrow true) = P
 by pred-tac
lemma impl-alt-def: (P \Rightarrow Q) = (\neg P \lor Q)
 by pred-tac
lemma eq-upred-refl [simp]: (x =_u x) = true
 by pred-tac
lemma eq-upred-sym: (x =_u y) = (y =_u x)
 by pred-tac
lemma shEx-bool [simp]: shEx P = (P True \lor P False)
 by (pred-tac, metis (full-types))
lemma shAll-bool [simp]: shAll P = (P True \land P False)
 by (pred-tac, metis (full-types))
lemma upred-eq-true [simp]: (p =_u true) = p
 \mathbf{by}\ \mathit{pred-tac}
lemma upred-eq-false [simp]: (p =_u false) = (\neg p)
 by pred-tac
lemma one-point:
 assumes uvar x x \sharp v
 shows (\exists x \cdot (P \land (var \ x =_u v))) = P[v/x]
 using assms
 by (simp add: upred-defs, transfer, auto)
lemma uvar-assign-exists:
 uvar \ x \Longrightarrow \exists \ v. \ b = var\text{-}assign \ x \ v \ b
 by (rule-tac \ x=var-lookup \ x \ b \ in \ exI, \ simp)
\mathbf{lemma}\ uvar\text{-}obtain\text{-}assign:
 assumes uvar x
 obtains v where b = var\text{-}assign x v b
 using assms
 by (drule-tac\ uvar-assign-exists[of - b],\ auto)
lemma taut-split-subst:
 assumes uvar x
 shows 'P' \longleftrightarrow (\forall v. 'P[\ll v \gg /x]')
 using assms
 by (pred-tac, metis uvar-assign-exists)
lemma eq-split:
 assumes 'P \Rightarrow Q' 'Q \Rightarrow P'
 shows P = Q
 using assms
 by (pred-tac)
lemma subst-bool-split:
 assumes uvar x
 shows 'P' = '(P[false/x] \land P[true/x])'
```

```
proof -
  from assms have 'P' = (\forall v. 'P[\ll v \gg /x]')
   by (subst\ taut\text{-}split\text{-}subst[of\ x],\ auto)
  also have ... = (P \llbracket \ll True \gg /x \rrbracket \land P \llbracket \ll False \gg /x \rrbracket \land)
    by (metis (mono-tags, lifting))
  also have ... = '(P[false/x]] \land P[true/x])'
    by (pred-tac)
 finally show ?thesis.
qed
lemma taut-iff-eq:
  P \Leftrightarrow Q' \longleftrightarrow (P = Q)
 by pred-tac
lemma subst-eq-replace:
 fixes x :: ('a, '\alpha) \ uvar
 shows (p[\![u/x]\!] \land u =_u v) = (p[\![v/x]\!] \land u =_u v)
lemma exists-twice: uvar x \Longrightarrow (\exists x \cdot \exists x \cdot P) = (\exists x \cdot P)
 by (pred-tac, auto simp add: comp-def)
lemma all-twice: uvar x \Longrightarrow (\forall x \cdot \forall x \cdot P) = (\forall x \cdot P)
 by (pred-tac, auto simp add: comp-def)
lemma ex-commute:
  assumes x \bowtie y
 shows (\exists x \cdot \exists y \cdot P) = (\exists y \cdot \exists x \cdot P)
 using assms
 by (pred-tac, auto simp add: uvar-indep-def)
lemma all-commute:
 assumes x \bowtie y
 shows (\forall x \cdot \forall y \cdot P) = (\forall y \cdot \forall x \cdot P)
 using assms
 by (pred-tac, auto simp add: uvar-indep-def)
         Quantifier lifting
6.7
named-theorems uquant-lift
lemma shEx-lift-conj-1 [uquant-lift]:
  ((\exists x \cdot P(x)) \land Q) = (\exists x \cdot P(x) \land Q)
 by pred-tac
lemma shEx-lift-conj-2 [uquant-lift]:
  (P \land (\exists x \cdot Q(x))) = (\exists x \cdot P \land Q(x))
 by pred-tac
end
```

7 Alphabetised relations

theory utp-rel imports

```
utp-pred
begin
default-sort type
named-theorems urel-defs
consts
  useq :: 'a \Rightarrow 'b \Rightarrow 'c (infixr ;; 15)
  uskip :: 'a (II)
definition in\alpha :: ('\alpha, '\alpha \times '\beta) \ uvar \ where
in\alpha = (var-lookup = fst, var-update = \lambda f (A, A'). (f A, A'))
definition out\alpha :: ('\beta, '\alpha \times '\beta) \ uvar \ where
out\alpha = (var-lookup = snd, var-update = \lambda f (A, A'). (A, f A'))
declare in\alpha-def [urel-defs]
declare out\alpha-def [urel-defs]
type-synonym '\alpha condition
                                                   = '\alpha \ upred
type-synonym ('\alpha, '\beta) relation = ('\alpha \times '\beta) upred
                                                  = ('\alpha \times '\alpha) \ upred
type-synonym '\alpha hrelation
definition cond::('\alpha, '\beta) relation \Rightarrow ('\alpha, '\beta) relation \Rightarrow ('\alpha, '\beta) relation \Rightarrow ('\alpha, '\beta)
                                                                      ((3- \triangleleft - \triangleright / -) [14,0,15] 14)
where (P \triangleleft b \triangleright Q) \equiv (b \land P) \lor ((\neg b) \land Q)
abbreviation rcond:('\alpha, '\beta) relation \Rightarrow '\alpha \ condition \Rightarrow ('\alpha, '\beta) relation \Rightarrow ('\alpha, '\beta) relation
                                                                      ((3- \triangleleft - \triangleright_r / -) [14,0,15] 14)
where (P \triangleleft b \triangleright_r Q) \equiv (P \triangleleft \lceil b \rceil_{<} \triangleright Q)
lift-definition seqr::(('\alpha \times '\beta) \ upred) \Rightarrow (('\beta \times '\gamma) \ upred) \Rightarrow ('\alpha \times '\gamma) \ upred)
is \lambda \ P \ Q \ r. \ r : (\{p. \ P \ p\} \ O \ \{q. \ Q \ q\}).
lift-definition conv-r :: ('a, '\alpha \times '\beta) \ uexpr \Rightarrow ('a, '\beta \times '\alpha) \ uexpr (- [999] \ 999)
is \lambda \ e \ (b1, \ b2). e \ (b2, \ b1).
lift-definition assigns-r :: '\alpha usubst \Rightarrow '\alpha hrelation (\langle - \rangle_a)
  is \lambda \sigma (A, A'). A' = \sigma(A).
definition skip-r :: '\alpha \ hrelation \ \mathbf{where}
skip-r = assigns-r id
abbreviation assign-r:('t, '\alpha) uvar \Rightarrow ('t, '\alpha) uexpr \Rightarrow '\alpha hrelation
where assign-r x v \equiv assigns-r [x \mapsto_s v]
abbreviation assign-2-r ::
  ('t1, '\alpha) \ uvar \Rightarrow ('t2, '\alpha) \ uvar \Rightarrow ('t1, '\alpha) \ uexpr \Rightarrow ('t2, '\alpha) \ uexpr \Rightarrow '\alpha \ hrelation
where assign-2-r x y u v \equiv assigns-r [x \mapsto_s u, y \mapsto_s v]
```

nonterminal

id-list and uexpr-list

syntax

```
-id-unit :: id \Rightarrow id-list (-)
            :: id \Rightarrow id\text{-}list \Rightarrow id\text{-}list (-,/-)
  -uexpr-unit :: ('a, '\alpha) uexpr \Rightarrow uexpr-list (- [40] 40)
  -uexpr-list :: ('a, '\alpha) uexpr \Rightarrow uexpr-list \Rightarrow uexpr-list (-,/ - [40,40] 40)
  -assignment :: svars \Rightarrow uexprs \Rightarrow '\alpha hrelation (infixr := 35)
  -mk-usubst :: svars \Rightarrow uexpr-list \Rightarrow '\alpha usubst
translations
  -mk-usubst (-svar\ x)\ (-uexpr-unit v) == [x \mapsto_s v]
  -mk-usubst (-id-list x xs) (-uexpr-list v vs) == (-mk-usubst xs vs)(x \mapsto_s v)
  -assignment xs \ vs => CONST \ assigns-r \ (-psubst \ (CONST \ id) \ xs \ vs)
  x := v <= CONST assign-r x v
  x,y := u,v <= CONST assign-2-r x y u v
adhoc-overloading
  useq\ seqr\ {\bf and}
  uskip skip-r
method rel-tac = ((simp add: upred-defs urel-defs)?, (transfer, (rule-tac ext)?, auto simp add: urel-defs
relcomp-unfold fun-eq-iff)?)
A test is like a precondition, except that it identifies to the postcondition. It forms the basis
for Kleene Algebra with Tests (KAT).
definition lift-test :: '\alpha condition \Rightarrow '\alpha hrelation (\lceil - \rceil_t)
where \lceil b \rceil_t = (\lceil b \rceil_{<} \land II)
declare cond-def [urel-defs]
declare skip-r-def [urel-defs]
We implement a poor man's version of alphabet restriction that hides a variable within a relation
definition rel-var-res :: '\alpha hrelation \Rightarrow ('\alpha, '\alpha) uvar \Rightarrow '\alpha hrelation (infix \upharpoonright_{\alpha} 8\theta) where
P \upharpoonright_{\alpha} x = (\exists \$x \cdot \exists \$x' \cdot P)
declare rel-var-res-def [urel-defs]
         Unrestriction Laws
7.1
lemma unrest-iuvar [unrest]: uvar x \Longrightarrow out\alpha \sharp \$x
  by (simp add: out\alpha-def invar-def, transfer, auto)
lemma unrest-ouvar [unrest]: uvar x \Longrightarrow in\alpha \sharp \$x'
 by (simp add: in\alpha-def ouvar-def, transfer, auto)
lemma unrest-in\alpha-var [unrest]:
  \llbracket uvar \ x; \ in\alpha \ \sharp \ P \ \rrbracket \Longrightarrow \$x \ \sharp \ P
 by (pred-tac, simp add: in\alpha-def)
lemma unrest-out\alpha-var [unrest]:
  \llbracket uvar \ x; \ out\alpha \ \sharp \ P \ \rrbracket \Longrightarrow \$x' \ \sharp \ P
  by (pred-tac, simp add: out\alpha-def)
```

lemma $in\alpha$ -uvar [simp]: $uvar\ in\alpha$

lemma $out\alpha$ -uvar [simp]: $uvar\ out\alpha$

by (unfold-locales, auto simp add: $in\alpha$ -def)

```
by (unfold-locales, auto simp add: out\alpha-def)
lemma unrest-pre-out\alpha [unrest]: out\alpha \sharp [b]_{<}
  by (transfer, auto simp add: out\alpha-def)
lemma unrest-post-in\alpha [unrest]: in\alpha \sharp [b]>
  by (transfer, auto simp add: in\alpha-def)
lemma unrest-pre-in-var [unrest]:
  x \sharp p1 \Longrightarrow \$x \sharp \lceil p1 \rceil_{<}
  by (transfer, simp)
lemma unrest-post-out-var [unrest]:
  x \sharp p1 \Longrightarrow \$x' \sharp \lceil p1 \rceil_{>}
  by (transfer, simp)
lemma unrest-convr-out\alpha [unrest]:
  in\alpha \sharp p \Longrightarrow out\alpha \sharp p^-
  by (transfer, auto simp add: in\alpha-def out\alpha-def)
lemma unrest-convr-in\alpha [unrest]:
  out\alpha \sharp p \Longrightarrow in\alpha \sharp p^-
  by (transfer, auto simp add: in\alpha-def out\alpha-def)
lemma unrest-in-rel-var-res [unrest]:
  uvar x \Longrightarrow \$x \sharp (P \upharpoonright_{\alpha} x)
  by (simp add: rel-var-res-def unrest)
lemma unrest-out-rel-var-res [unrest]:
  uvar x \Longrightarrow \$x' \sharp (P \upharpoonright_{\alpha} x)
  by (simp add: rel-var-res-def unrest)
```

7.2 Substitution laws

It should be possible to substantially generalise the following two laws

```
lemma usubst-seq-left [usubst]:
  \llbracket \ uvar \ x; \ out\alpha \ \sharp \ v \ \rrbracket \Longrightarrow (P \ ;; \ Q) \llbracket v/\$x \rrbracket = ((P \llbracket v/\$x \rrbracket) \ ;; \ Q)
  apply (rel-tac)
  apply (rename-tac \ x \ v \ P \ Q \ a \ y \ ya)
  apply (rule-tac x=ya in exI)
  apply (simp)
  apply (drule-tac \ x=a \ in \ spec)
  apply (drule-tac \ x=y \ in \ spec)
  apply (drule-tac x=\lambda-.ya in spec)
  apply (simp)
  apply (rename-tac \ x \ v \ P \ Q \ a \ ba \ y)
  apply (rule-tac x=y in <math>exI)
  apply (drule-tac \ x=a \ in \ spec)
  apply (drule-tac x=y in spec)
  apply (drule-tac x=\lambda-.ba in spec)
  apply (simp)
done
lemma usubst-seq-right [usubst]:
  \llbracket uvar \ x; \ in\alpha \ \sharp \ v \ \rrbracket \Longrightarrow (P \ ;; \ Q)\llbracket v/\$x' \rrbracket = (P \ ;; \ Q\llbracket v/\$x' \rrbracket)
```

```
apply (rel-tac)
 apply (rename-tac \ x \ v \ P \ Q \ b \ xa \ ya)
 apply (rule-tac x=ya in exI)
 apply (simp)
 apply (drule-tac \ x=ya \ \mathbf{in} \ spec)
 apply (drule-tac \ x=b \ in \ spec)
 apply (drule-tac \ x=\lambda-.xa \ in \ spec)
 apply (simp)
 apply (rename-tac \ x \ v \ P \ Q \ b \ aa \ y)
 apply (rule-tac x=y in exI)
 \mathbf{apply}\ (\mathit{simp})
 apply (drule-tac \ x=aa \ in \ spec)
 apply (drule-tac \ x=b \ in \ spec)
 apply (drule-tac x=\lambda-.y in spec)
 apply (simp)
done
lemma usubst-condr [usubst]:
 \sigma \dagger (P \triangleleft b \triangleright Q) = (\sigma \dagger P \triangleleft \sigma \dagger b \triangleright \sigma \dagger Q)
 by rel-tac
lemma subst-skip-r [usubst]:
  fixes x :: ('a, '\alpha) \ uvar
 shows II[[v] < /\$x] = (x := v)
 by (rel-tac)
7.3
        Lifting laws
lemma lift-pre-conj [ulift]: [p \land q]_{<} = ([p]_{<} \land [q]_{<})
 by (pred-tac)
lemma lift-post-conj [ulift]: [p \land q] > = ([p] > \land [q] >)
 by (pred-tac)
lemma lift-pre-disj [ulift]: [p \lor q]_{<} = ([p]_{<} \lor [q]_{<})
  by (pred-tac)
lemma lift-post-disj [ulift]: [p \lor q]_> = ([p]_> \lor [q]_>)
 by (pred-tac)
lemma lift-pre-not \lceil ulift \rceil: \lceil \neg p \rceil_{<} = (\neg \lceil p \rceil_{<})
 by (pred-tac)
lemma lift-post-not [ulift]: [\neg p]_{>} = (\neg [p]_{>})
 by (pred-tac)
        Relation laws
7.4
Homogeneous relations form a quantale
abbreviation truer :: '\alpha \ hrelation \ (true_h) \ \mathbf{where}
truer \equiv true
abbreviation falser :: '\alpha hrelation (false<sub>h</sub>) where
```

 $falser \equiv false$

```
interpretation upred-quantale: unital-quantale-plus
  where times = seqr and one = skip - r and Sup = Sup and Inf = Inf and inf = inf and less - eq = seqr
less-eq and less = less
  and sup = sup and bot = bot and top = top
apply (unfold-locales)
apply (rel-tac)
apply (unfold SUP-def, transfer, auto)
apply (unfold SUP-def, transfer, auto)
apply (unfold INF-def, transfer, auto)
apply (unfold INF-def, transfer, auto)
apply (rel-tac)
apply (rel-tac)
done
lemma drop-pre-inv [simp]: \llbracket out\alpha \sharp p \rrbracket \Longrightarrow \lceil |p|_{<} \rceil_{<} = p
  apply (pred-tac, auto simp add: out\alpha-def)
  apply (rename-tac \ p \ a \ b)
  apply (drule-tac \ x=a \ in \ spec)
  apply (drule-tac \ x=b \ in \ spec)
  apply (drule-tac \ x=\lambda -. \ a \ in \ spec)
  apply (simp)
done
abbreviation ustar :: '\alpha hrelation \Rightarrow '\alpha hrelation (-*_u [999] 999) where
P^{\star}_{u} \equiv unital-quantale.qstar II op ;; Sup P
definition while :: '\alpha condition \Rightarrow '\alpha hrelation \Rightarrow '\alpha hrelation (while - do - od) where
while b do P od = ((\lceil b \rceil < \land P)^*_u \land (\neg \lceil b \rceil >))
declare while-def [urel-defs]
lemma cond\text{-}idem:(P \triangleleft b \triangleright P) = P by rel\text{-}tac
lemma cond-symm:(P \triangleleft b \triangleright Q) = (Q \triangleleft \neg b \triangleright P) by rel-tac
lemma cond-assoc: ((P \triangleleft b \triangleright Q) \triangleleft c \triangleright R) = (P \triangleleft b \land c \triangleright (Q \triangleleft c \triangleright R)) by rel-tac
lemma cond-distr: (P \triangleleft b \triangleright (Q \triangleleft c \triangleright R)) = ((P \triangleleft b \triangleright Q) \triangleleft c \triangleright (P \triangleleft b \triangleright R)) by rel-tac
lemma cond\text{-}unit\text{-}T\text{:}(P \triangleleft true \triangleright Q) = P by rel\text{-}tac
lemma cond-unit-F:(P \triangleleft false \triangleright Q) = Q by rel-tac
lemma cond-L6: (P \triangleleft b \triangleright (Q \triangleleft b \triangleright R)) = (P \triangleleft b \triangleright R) by rel-tac
lemma cond-L7: (P \triangleleft b \triangleright (P \triangleleft c \triangleright Q)) = (P \triangleleft b \vee c \triangleright Q) by rel-tac
lemma cond-and-distr: ((P \land Q) \triangleleft b \triangleright (R \land S)) = ((P \triangleleft b \triangleright R) \land (Q \triangleleft b \triangleright S)) by rel-tac
lemma cond-or-distr: ((P \lor Q) \triangleleft b \rhd (R \lor S)) = ((P \triangleleft b \rhd R) \lor (Q \triangleleft b \rhd S)) by rel-tac
lemma cond-imp-distr:
((P \Rightarrow Q) \triangleleft b \triangleright (R \Rightarrow S)) = ((P \triangleleft b \triangleright R) \Rightarrow (Q \triangleleft b \triangleright S)) by rel-tac
\mathbf{lemma}\ cond\text{-}eq\text{-}distr:
```

```
((P \Leftrightarrow Q) \triangleleft b \triangleright (R \Leftrightarrow S)) = ((P \triangleleft b \triangleright R) \Leftrightarrow (Q \triangleleft b \triangleright S)) \text{ by } \textit{rel-tac}
```

 $\mathbf{lemma}\ comp\text{-}cond\text{-}left\text{-}distr:$

```
((P \triangleleft b \rhd_r Q) \; ;; \; R) = ((P \; ;; \; R) \triangleleft b \rhd_r (Q \; ;; \; R)) by rel\text{-}tac
```

These laws may seem to duplicate quantale laws, but they don't – they are applicable to non-homogeneous relations as well, which will become important later.

```
lemma seqr-assoc: (P ;; (Q ;; R)) = ((P ;; Q) ;; R)
 by rel-tac
lemma seqr-left-unit [simp]:
  (II ;; P) = P
 by rel-tac
lemma seqr-right-unit [simp]:
  (P ;; II) = P
 by rel-tac
lemma seqr-left-zero [simp]:
  (false :: P) = false
  by pred-tac
lemma seqr-right-zero [simp]:
  (P ;; false) = false
  by pred-tac
lemma seqr-mono:
  \llbracket P_1 \sqsubseteq P_2; \ Q_1 \sqsubseteq Q_2 \ \rrbracket \Longrightarrow (P_1 \ ;; \ Q_1) \sqsubseteq (P_2 \ ;; \ Q_2)
  by (rel-tac, blast)
lemma pre-skip-post: (\lceil b \rceil < \land II) = (II \land \lceil b \rceil >)
 by (rel-tac)
lemma seqr-exists-left:
  uvar \ x \Longrightarrow ((\exists \ \$x \cdot P) \ ;; \ Q) = (\exists \ \$x \cdot (P \ ;; \ Q))
  by (rel-tac, auto simp add: comp-def)
lemma seqr-exists-right:
  uvar \ x \Longrightarrow (P \ ;; \ (\exists \ \$x' \cdot Q)) = (\exists \ \$x' \cdot (P \ ;; \ Q))
  by (rel-tac, auto simp add: comp-def)
```

We should be able to generalise this law to arbitrary assignments at some point, but that requires additional conversion operators for substitutions that act only on $in\alpha$.

```
lemma assigns-subst [usubst]:

[ uvar x; uvar y ] \Longrightarrow [$x \mapsto_s [u] _<] \dagger (y := v) = (x, y := u, [x \mapsto_s u] \dagger v)

by rel-tac

lemma assigns-idem: uvar x \Longrightarrow (x,x := u,v) = (x := v)

by (simp add: usubst)

lemma assigns-comp: (assigns-r f ;; assigns-r g) = assigns-r (g \circ f)

by (transfer, auto simp add:relcomp-unfold)

lemma assigns-r-comp: uvar x \Longrightarrow (\langle \sigma \rangle_a ;; P) = (\lceil \sigma \rceil_s \dagger P)
```

```
by rel-tac
lemma assign-r-comp: uvar x \Longrightarrow (x := u ;; P) = ([\$x \mapsto_s \lceil u \rceil_<] \dagger P)
  by (simp add: assigns-r-comp usubst)
lemma assign-test: uvar x \Longrightarrow (x := \ll u \gg ;; x := \ll v \gg) = (x := \ll v \gg)
 by (simp add: assigns-comp subst-upd-comp subst-lit usubst-upd-idem)
lemma skip-r-unfold:
  uvar \ x \Longrightarrow II = (\$x' =_u \$x \land II \upharpoonright_{\alpha} x)
 by (rel-tac, blast, metis uvar.var-state-eq-iff uvar.var-update-lookup)
lemma assign-unfold:
  uvar \ x \Longrightarrow (x := v) = (\$x' =_u \lceil v \rceil < \land II \upharpoonright_{\alpha} x)
 apply (rel-tac, auto simp add: comp-def)
 using var-assign-eq apply fastforce
done
lemma seqr-or-distl:
  ((P \lor Q) ;; R) = ((P ;; R) \lor (Q ;; R))
 by rel-tac
\mathbf{lemma}\ segr-or-distr:
  (P ;; (Q \lor R)) = ((P ;; Q) \lor (P ;; R))
 by rel-tac
lemma segr-middle:
 assumes uvar x
 shows (P ;; Q) = (\exists v \cdot P[\![ \ll v \gg / \$x']\!] ;; Q[\![ \ll v \gg / \$x]\!])
 using assms
 apply (rel-tac)
 apply (rename-tac \ xa \ P \ Q \ a \ b \ y)
 apply (rule-tac \ x=var-lookup \ xa \ y \ in \ exI)
 apply (rule-tac x=y in exI)
 apply (simp)
done
theorem precond-equiv:
  P = (P ;; true) \longleftrightarrow (out\alpha \sharp P)
 apply (rel-tac)
 apply (rename-tac\ P\ a\ b\ y)
 apply (drule-tac \ x=a \ in \ spec)
 apply (drule-tac \ x=b \ in \ spec)
 apply (drule-tac x=\lambda -.y in spec)
 apply (simp)
done
theorem postcond-equiv:
  P = (true :: P) \longleftrightarrow (in\alpha \sharp P)
 apply (rel-tac)
 apply (rename-tac\ P\ a\ b\ y)
 apply (drule-tac \ x=a \ in \ spec)
 apply (drule-tac \ x=b \ in \ spec)
 apply (drule-tac x=\lambda -.y in spec)
```

apply (simp)

done

```
lemma precond-right-unit: out\alpha \sharp p \Longrightarrow (p ;; true) = p
 by (metis precond-equiv)
lemma postcond-left-unit: in\alpha \sharp p \Longrightarrow (true ;; p) = p
 by (metis postcond-equiv)
theorem precond-left-zero:
 assumes out\alpha \ \sharp \ p \ p \neq false
 shows (true ;; p) = true
 using assms
 apply (simp add: out\alpha-def upred-defs)
 apply (transfer, auto simp add: relcomp-unfold, rule ext, auto)
 apply (rename-tac \ p \ b)
 apply (subgoal-tac \exists b1 b2. p (b1, b2))
 apply (auto)
 apply (rule-tac \ x=b1 \ \mathbf{in} \ exI)
 apply (drule-tac \ x=b1 \ in \ spec)
 apply (drule-tac \ x=b2 \ in \ spec)
 apply (drule-tac \ x=\lambda -. \ b \ in \ spec)
 apply (simp)
done
7.5
        Converse laws
lemma convr-invol [simp]: p^{--} = p
 \mathbf{by}\ \mathit{pred-tac}
lemma lit\text{-}convr [simp]: \ll v \gg^- = \ll v \gg
 by pred-tac
\mathbf{lemma}\ uivar\text{-}convr\ [simp]:
 fixes x :: ('a, '\alpha) \ uvar
 shows (\$x)^- = \$x'
 by pred-tac
lemma uovar-convr [simp]:
 fixes x :: ('a, '\alpha) \ uvar
 shows (\$x')^- = \$x
 by pred-tac
lemma uop\text{-}convr [simp]: (uop f u)^- = uop f (u^-)
 by (pred-tac)
lemma bop-convr [simp]: (bop f u v)^- = bop f (u^-) (v^-)
 by (pred-tac)
lemma eq-convr [simp]: (p =_u q)^- = (p^- =_u q^-)
 by (pred-tac)
lemma disj-convr [simp]: (p \lor q)^- = (q^- \lor p^-)
 by (pred-tac)
lemma conj-convr [simp]: (p \land q)^- = (q^- \land p^-)
```

```
by (pred-tac)
lemma seqr-convr [simp]: (p ;; q)^- = (q^- ;; p^-)
  by rel-tac
theorem segr-pre-transfer: in\alpha \sharp q \Longrightarrow ((P \land q) ;; R) = (P ;; (q^- \land R))
  apply (rel-tac)
  apply (rename-tac \ q \ P \ R \ a \ b \ y)
  apply (rule-tac x=y in exI, simp)
  apply (drule-tac x=b in spec, drule-tac x=y in spec, drule-tac x=\lambda-a in spec, simp)
  apply (rename-tac\ q\ P\ R\ a\ b\ y)
  apply (rule-tac x=y in exI, simp)
  apply (drule-tac x=a in spec, drule-tac x=y in spec, drule-tac x=\lambda-b in spec, simp)
done
theorem segr-post-out: in\alpha \sharp r \Longrightarrow (P ;; (Q \land r)) = ((P ;; Q) \land r)
  apply (rel-tac)
  apply (rename-tac \ r \ P \ Q \ a \ b \ y)
  apply (drule-tac x=a in spec, drule-tac x=b in spec, drule-tac x=\lambda-y in spec, simp)
  apply (rename-tac \ r \ P \ Q \ a \ b \ y)
  apply (rule-tac \ x=y \ \mathbf{in} \ exI)
  apply (simp, drule-tac \ x=a \ in \ spec, drule-tac \ x=b \ in \ spec, drule-tac \ x=\lambda-.y \ in \ spec, simp)
done
theorem segr-post-transfer: out\alpha \sharp q \Longrightarrow (P ;; (q \land R)) = (P \land q^- ;; R)
  by (simp add: segr-pre-transfer unrest-convr-in\alpha)
lemma segr-pre-out: out\alpha \sharp p \Longrightarrow ((p \land Q) ;; R) = (p \land (Q ;; R))
  apply (rel-tac)
  apply (rename-tac \ p \ Q \ R \ a \ b \ y)
  apply (drule-tac x=a in spec, drule-tac x=b in spec, drule-tac x=\lambda-y in spec, simp)
  apply (rename-tac \ p \ Q \ R \ a \ b \ y)
  apply (rule-tac \ x=y \ in \ exI)
  apply (simp, drule-tac x=a in spec, drule-tac x=b in spec, drule-tac x=\lambda-y in spec, simp)
done
lemma segr-true-lemma:
  (P = (\neg (\neg P ;; true))) = (P = (P ;; true))
  by rel-tac
lemma shEx-lift-seq [uquant-lift]:
  ((\exists x \cdot P(x)) ;; (\exists y \cdot Q(y))) = (\exists x \cdot \exists y \cdot P(x) ;; Q(y))
  \mathbf{by}\ \mathit{pred-tac}
While loop laws
lemma while-cond-true:
  ((while \ b \ do \ P \ od) \land \lceil b \rceil_{<}) = ((P \land \lceil b \rceil_{<}) ;; \ while \ b \ do \ P \ od)
proof -
  have (while b do P od \land \lceil b \rceil <) = (((\lceil b \rceil < \land P)^*_u \land (\neg \lceil b \rceil >)) \land \lceil b \rceil <)
    by (simp add: while-def)
  also have ... = (((II \lor ((\lceil b \rceil < \land P) ;; (\lceil b \rceil < \land P)^*_u)) \land \neg \lceil b \rceil >) \land \lceil b \rceil <)
    by (simp add: disj-upred-def)
  also have ... = ((\lceil b \rceil < \land (II \lor ((\lceil b \rceil < \land P) ;; (\lceil b \rceil < \land P)^*_u))) \land (\neg \lceil b \rceil >))
    by (simp add: conj-comm utp-pred.inf.left-commute)
  also have ... = (((\lceil b \rceil < \land II) \lor (\lceil b \rceil < \land ((\lceil b \rceil < \land P) ;; (\lceil b \rceil < \land P)^*_u))) \land (\neg \lceil b \rceil >))
```

```
by (simp add: conj-disj-distr)
  also have ... = ((((\lceil b \rceil < \land II) \lor ((\lceil b \rceil < \land P) ;; (\lceil b \rceil < \land P)^*_u))) \land (\neg \lceil b \rceil >))
    by (subst seqr-pre-out[THEN sym], simp add: unrest, rel-tac)
  also have ... = ((((II \land \lceil b \rceil_{>}) \lor ((\lceil b \rceil_{<} \land P) ;; (\lceil b \rceil_{<} \land P)^{*}_{u}))) \land (\neg \lceil b \rceil_{>}))
    by (simp add: pre-skip-post)
  also have ... = ((II \land \lceil b \rceil_{>} \land \neg \lceil b \rceil_{>}) \lor (((\lceil b \rceil_{<} \land P) ;; ((\lceil b \rceil_{<} \land P)^{\star}_{u})) \land (\neg \lceil b \rceil_{>})))
    by (simp add: utp-pred.inf.assoc utp-pred.inf-sup-distrib2)
  also have ... = (((\lceil b \rceil < \land P) ;; ((\lceil b \rceil < \land P)^*_u)) \land (\neg \lceil b \rceil >))
    by simp
  also have ... = ((\lceil b \rceil < \land P) ;; (((\lceil b \rceil < \land P)^*_u) \land (\neg \lceil b \rceil >)))
    by (simp add: seqr-post-out unrest)
  also have ... = ((P \land \lceil b \rceil_{<}) ;; while b do P od)
    by (simp add: utp-pred.inf-commute while-def)
  finally show ?thesis.
qed
lemma while-cond-false:
  ((while\ b\ do\ P\ od)\ \land\ (\neg\lceil b\rceil_{<})) = (II\ \land\ \neg\lceil b\rceil_{<})
proof -
  have (while b do P od \land (\neg \lceil b \rceil <)) = (((\lceil b \rceil < \land P)*<sub>u</sub> \land (\neg \lceil b \rceil >)) \land (\neg \lceil b \rceil <))
    by (simp add: while-def)
  also have ... = (((II \lor ((\lceil b \rceil_{<} \land P) ;; (\lceil b \rceil_{<} \land P)^{\star}_{u})) \land \neg \lceil b \rceil_{>}) \land (\neg \lceil b \rceil_{<}))
    by (simp add: disj-upred-def)
  \textbf{also have} \ ... = (((II \land \neg \lceil b \rceil_{>}) \land \neg \lceil b \rceil_{<}) \lor ((\neg \lceil b \rceil_{<}) \land (((\lceil b \rceil_{<} \land P) ;; ((\lceil b \rceil_{<} \land P)^{\star}_{u})) \land \neg \lceil b \rceil_{>})))
    by (simp add: conj-disj-distr utp-pred.inf.commute)
  also have ... = (((II \land \neg \lceil b \rceil_{>}) \land \neg \lceil b \rceil_{<}) \lor ((((\neg \lceil b \rceil_{<}) \land (\lceil b \rceil_{<} \land P);;((\lceil b \rceil_{<} \land P)^{\star}_{u})) \land \neg \lceil b \rceil_{>})))
    by (simp add: seqr-pre-out unrest-not unrest-pre-out\alpha utp-pred.inf.assoc)
  also have ... = (((II \land \neg \lceil b \rceil_{>}) \land \neg \lceil b \rceil_{<}) \lor (((false ;; ((\lceil b \rceil_{<} \land P)^{\star}_{u})) \land \neg \lceil b \rceil_{>})))
    by (simp add: conj-comm utp-pred.inf.left-commute)
  also have ... = ((II \land \neg \lceil b \rceil_{>}) \land \neg \lceil b \rceil_{<})
    by simp
  also have ... = (II \land \neg \lceil b \rceil <)
    by rel-tac
  finally show ?thesis.
qed
theorem while-unfold:
  while b do P od = ((P :; while b do P od) \triangleleft b \triangleright_r II)
 by (metis (no-types, hide-lams) bounded-semilattice-sup-bot-class.sup-bot.left-neutral comp-cond-left-distr
cond-def cond-idem disj-comm disj-upred-def seqr-right-zero upred-quantale. bot-zerol utp-pred. inf-bot-right
utp-pred.inf-commute while-cond-false while-cond-true)
end
7.6
          Weakest precondition calculus
theory utp-wp
imports utp-rel
begin
A very quick implementation of wp – more laws still needed!
named-theorems wp
method wp-tac = (simp \ add: wp)
consts
```

```
uwp :: 'a \Rightarrow 'b \Rightarrow 'c \text{ (infix } wp 60)
definition wp-upred :: ('\alpha, '\beta) relation \Rightarrow '\beta condition \Rightarrow '\alpha condition where
wp-upred Q r = |\neg (Q ;; \neg \lceil r \rceil <)| <
adhoc-overloading
 uwp \ wp\text{-}upred
declare wp-upred-def [urel-defs]
theorem wp-assigns-r [wp]:
  (assigns-r \sigma) wp r = \sigma \dagger r
 by rel-tac
theorem wp-skip-r [wp]:
  II wp r = r
 by rel-tac
theorem wp-true [wp]:
  r \neq true \implies true \ wp \ r = false
 by rel-tac
theorem wp-conj [wp]:
  P wp (q \wedge r) = (P wp q \wedge P wp r)
 by rel-tac
theorem wp-seq-r [wp]: (P ;; Q) wp r = P wp (Q wp r)
 by rel-tac
theorem wp-cond [wp]: (P \triangleleft b \triangleright_r Q) wp r = ((b \Rightarrow P \ wp \ r) \land ((\neg b) \Rightarrow Q \ wp \ r))
 by rel-tac
end
      UTP Theories
8
theory utp-theory
\mathbf{imports}\ \mathit{utp-rel}
begin
type-synonym '\alpha Healthiness-condition = '\alpha upred \Rightarrow '\alpha upred
definition
Healthy::'\alpha \ upred \Rightarrow '\alpha \ Healthiness-condition \Rightarrow bool \ (infix \ is \ 30)
where P is H \equiv (P = H P)
lemma Healthy-def': P is H \longleftrightarrow (H P = P)
  unfolding Healthy-def by auto
declare Healthy-def' [upred-defs]
```

end

9 Example UTP theory: Boyle's laws

theory utp-boyle imports utp-theory begin

Boyle's law states that k = p * V is invariant. We here encode this as a simple UTP theory. We first create a record to represent the alphabet of the theory consisting of the three variables k, p and V.

```
record alpha-boyle =
boyle-k :: real
boyle-p :: real
boyle-V :: real
```

For now we have to explicitly cast the fields to UTP variables using the VAR syntactic transformation function – in future we'd like to automate this. We also have to add the definition equations for these variables to the simplification set for predicates to enable automated proof through our tactics.

```
definition k = VAR boyle-k
definition p = VAR boyle-p
definition V = VAR boyle-VAR
```

```
declare k-def [upred-defs] and p-def [upred-defs] and V-def [upred-defs]
```

Next we state Boyle's law using the healthiness condition B and likewise add it to the UTP predicate definitional equation set. The syntax differs a little from UTP; we try not to override HOL constants and so UTP predicate equality is subscripted. Moreover to distinguish variables standing for a predicate (like ϕ) from variables standing for UTP variables we have to prepend the latter with an ampersand.

```
definition B(\varphi) = ((\exists k \cdot \varphi) \land (\&k =_u \&p * \&V))
```

```
declare B-def [upred-defs]
```

We can then prove that B is both idempotent and monotone simply by application of the predicate tactic.

```
lemma B-idempotent:

B(B(P)) = B(P)

by pred-tac
```

```
lemma B-monotone:
```

```
X \sqsubseteq Y \Longrightarrow B(X) \sqsubseteq B(Y)
by pred-tac
```

We also create some example observations; the first satisfies Boyle's law and the second doesn't.

```
definition \varphi_1 = ((\&p =_u 10) \land (\&V =_u 5) \land (\&k =_u 50))
definition \varphi_2 = ((\&p =_u 10) \land (\&V =_u 5) \land (\&k =_u 100))
```

We prove that φ_1 satisfied by Boyle's law by simplication of its definitional equation and then application of the predicate tactic.

```
lemma B-\varphi_1: \varphi_1 is B by (simp\ add:\ \varphi_1-def, pred-tac)
```

We prove that φ_2 does not satisfy Boyle's law by showing it's in fact equal to φ_1 . We do this via an automated Isar proof.

```
lemma B 	ext{-} \varphi_2 	ext{:} \ B(\varphi_2) = \varphi_1 proof — have B(\varphi_2) = B((\&p =_u 10) \land (\&V =_u 5) \land (\&k =_u 100)) by (simp \ add : \varphi_2 	ext{-} def) also have ... = ((\exists \ k \cdot (\&p =_u 10) \land (\&V =_u 5) \land (\&k =_u 100)) \land (\&k =_u \&p * \&V)) by pred 	ext{-} tac also have ... = ((\&p =_u 10) \land (\&V =_u 5) \land (\&k =_u \&p * \&V)) by pred 	ext{-} tac also have ... = ((\&p =_u 10) \land (\&V =_u 5) \land (\&k =_u 50)) by pred 	ext{-} tac also have ... = \varphi_1 by (simp \ add : \varphi_1 	ext{-} def) finally show ?thesis. qed
```

 \mathbf{end}

10 Designs

```
theory utp-designs
imports
utp-rel
utp-wp
utp-theory
begin
```

In UTP, in order to explicitly record the termination of a program, a subset of alphabetized relations is introduced. These relations are called designs and their alphabet should contain the special boolean observational variable ok. It is used to record the start and termination of a program.

10.1 Definitions

In the following, the definitions of designs alphabets, designs and healthiness (well-formedness) conditions are given. The healthiness conditions of designs are defined by H1, H2, H3 and H4.

```
\mathbf{record}\ alpha - d = des - ok :: bool
```

The ok variable is defined using the syntactic translation VAR

```
definition ok = VAR \ des - ok
```

```
declare ok-def [upred-defs]
```

```
lemma uvar-ok [simp]: uvar ok
```

by (unfold-locales, simp-all add: ok-def, metis alpha-d.ext-inject alpha-d.surjective alpha-d.update-convs(1))

```
type-synonym '\alpha alphabet-d='\alpha alpha-d-scheme alphabet type-synonym ('a, '\alpha) uvar-d=('a, '\alpha \ alphabet-d) \ uvar type-synonym ('\alpha, '\beta) relation-d=('\alpha \ alphabet-d, '<math>\beta \ alphabet-d) relation type-synonym '\alpha hrelation-d='\alpha \ alphabet-d hrelation
```

It would be nice to be able to prove some general distributivity properties about these lifting operators. I don't know if that's possible somehow...

```
lift-definition lift-desr :: ('\alpha, '\beta) relation \Rightarrow ('\alpha, '\beta) relation-d (\lceil -\rceil_D) is
\lambda P(A, A'). P(more A, more A').
lift-definition drop-desr :: ('\alpha, '\beta) relation-d \Rightarrow ('\alpha, '\beta) relation (|-|_D) is
\lambda P(A, A'). P(\emptyset des-ok = True, \ldots = A), \emptyset des-ok = True, \ldots = A').
definition design::('\alpha, '\beta) \ relation-d \Rightarrow ('\alpha, '\beta) \ relation-d \Rightarrow ('\alpha, '\beta) \ relation-d \ (infixl \vdash 60)
where P \vdash Q = (\$ok \land P \Rightarrow \$ok' \land Q)
An rdesign is a design that uses the Isabelle type system to prevent reference to ok in the
assumption and commitment.
definition rdesign::('\alpha, '\beta) \ relation \Rightarrow ('\alpha, '\beta) \ relation \Rightarrow ('\alpha, '\beta) \ relation-d \ (infixl \vdash_r 60)
where (P \vdash_r Q) = \lceil P \rceil_D \vdash \lceil Q \rceil_D
An idesign is a normal design, i.e. where the assumption is a condition
definition ndesign: '\alpha \ condition \Rightarrow ('\alpha, '\beta) \ relation \Rightarrow ('\alpha, '\beta) \ relation-d \ (infixl \vdash_n 60)
where (p \vdash_n Q) = (\lceil p \rceil_{<} \vdash_r Q)
definition skip-d :: '\alpha \ hrelation-d (II_D)
where II_D \equiv (true \vdash_r II)
definition assigns-d :: '\alpha usubst \Rightarrow '\alpha hrelation-d
where assigns-d \sigma = (true \vdash_r assigns-r \sigma)
At some point assignment should be generalised to multiple variables and maybe also for selec-
tors.
abbreviation assign-d :: ('a, '\alpha) uvar \Rightarrow ('a, '\alpha) uexpr \Rightarrow '\alpha hrelation-d (infix :=_D 40)
where assign-d x v \equiv assigns-d [x \mapsto_s v]
definition J :: '\alpha \ hrelation-d
where J = ((\$ok \Rightarrow \$ok') \land \lceil II \rceil_D)
definition H1(P) \equiv \$ok \Rightarrow P
definition H2(P) \equiv P ;; J
definition H3(P) \equiv P :: II_D
definition H_4(P) \equiv ((P;;true) \Rightarrow P)
abbreviation \sigma f :: ('\alpha, '\beta) \ relation - d \Rightarrow ('\alpha, '\beta) \ relation - d (-f [1000] 1000)
where \sigma f D \equiv D[false/\$ok']
abbreviation \sigma t :: ('\alpha, '\beta) \ relation - d \Rightarrow ('\alpha, '\beta) \ relation - d (-t [1000] 1000)
where \sigma t D \equiv D[true/\$ok']
```

definition pre-design :: $('\alpha, '\beta)$ relation- $d \Rightarrow ('\alpha, '\beta)$ relation $(pre_D'(-'))$ where

definition post-design :: $('\alpha, '\beta)$ relation- $d \Rightarrow ('\alpha, '\beta)$ relation $(post_D'(-'))$ where

 $pre_D(P) = |\neg P^f|_D$

 $post_D(P) = |P^t|_D$

```
declare design-def [upred-defs]
declare rdesign-def [upred-defs]
declare skip-d-def [upred-defs]
declare J-def [upred-defs]
declare pre-design-def [upred-defs]
declare post-design-def [upred-defs]
declare wp-design-def [upred-defs]
declare H1-def [upred-defs]
declare H2-def [upred-defs]
declare H3-def [upred-defs]
declare H4-def [upred-defs]
lemma drop-desr-inv [simp]: |\lceil P \rceil_D|_D = P
 by (transfer, simp)
lemma lift-desr-inv:
  \llbracket \ \$ok \ \sharp \ P; \ \$ok' \ \sharp \ P \ \rrbracket \Longrightarrow \lceil \lfloor P \rfloor_D \rceil_D = P
 apply (rel-tac)
 apply (rename-tac\ P\ a\ b)
 apply (drule - tac \ x = a \ in \ spec)
 apply (drule-tac \ x=b \ in \ spec)
 apply (drule-tac x=\lambda -. True in spec)
 apply (metis alpha-d.surjective alpha-d.update-convs(1))
 apply (drule-tac \ x=a \ in \ spec)
 apply (drule-tac \ x=b \ in \ spec)
 apply (drule-tac x=\lambda -. True in spec)
 apply (metis alpha-d.surjective alpha-d.update-convs(1))
done
10.2
          Design laws
lemma lift-desr-unrest-ok [unrest]:
 \$ok \sharp \lceil P \rceil_D \$ok' \sharp \lceil P \rceil_D
 by (transfer, simp add: ok-def)+
lemma unrest-out-des-lift [unrest]: out\alpha \sharp p \Longrightarrow out\alpha \sharp \lceil p \rceil_D
  apply (pred-tac)
 apply (auto simp add: out\alpha-def)
 apply (rename-tac \ p \ b \ v \ x)
 apply (drule-tac \ x=alpha-d.more \ x \ in \ spec)
 apply (drule-tac \ x=alpha-d.more \ b \ in \ spec)
 apply (drule-tac \ x=\lambda -. \ alpha-d.more \ (v \ b) \ in \ spec)
 apply (simp)
 apply (rename-tac \ p \ b \ v \ x)
 apply (drule-tac \ x=alpha-d.more \ x \ in \ spec)
 apply (drule-tac \ x=alpha-d.more \ b \ in \ spec)
 apply (drule-tac x=\lambda -. alpha-d.more (v \ b) in spec)
 apply (simp)
done
lemma lift-dists [simp]:
  \lceil true \rceil_D = true
  \lceil \neg P \rceil_D = (\neg \lceil P \rceil_D)
  \lceil P \wedge Q \rceil_D = (\lceil P \rceil_D \wedge \lceil Q \rceil_D)
  by (pred-tac)+
```

```
lemma lift-dist-seq [simp]:
  [P :; Q]_D = ([P]_D :; [Q]_D)
  by (rel-tac, metis alpha-d.select-convs(2))
theorem design-refinement:
  assumes
    \$ok \sharp P1 \$ok ' \sharp P1 \$ok \sharp P2 \$ok ' \sharp P2
    \$ok \sharp Q1 \$ok' \sharp Q1 \$ok \sharp Q2 \$ok' \sharp Q2
 shows (P1 \vdash Q1 \sqsubseteq P2 \vdash Q2) \longleftrightarrow (P1 \Rightarrow P2' \land P1 \land Q2 \Rightarrow Q1')
proof -
  have (P1 \vdash Q1) \sqsubseteq (P2 \vdash Q2) \longleftrightarrow (\$ok \land P2 \Rightarrow \$ok' \land Q2) \Rightarrow (\$ok \land P1 \Rightarrow \$ok' \land Q1)
    by pred-tac
  also with assms have ... = (P2 \Rightarrow \$ok' \land Q2) \Rightarrow (P1 \Rightarrow \$ok' \land Q1)
    by (subst subst-bool-split[of in-var ok], simp-all, subst-tac, pred-tac)
  also with assms have ... = (\neg P2 \Rightarrow \neg P1) \land ((P2 \Rightarrow Q2) \Rightarrow P1 \Rightarrow Q1)
    by (subst subst-bool-split[of out-var ok], simp-all, subst-tac)
  also have ... \longleftrightarrow '(P1 \Rightarrow P2)' \land 'P1 \land Q2 \Rightarrow Q1'
    by (pred-tac)
 finally show ?thesis.
qed
theorem rdesign-refinement:
  (P1 \vdash_r Q1 \sqsubseteq P2 \vdash_r Q2) \longleftrightarrow ('P1 \Rightarrow P2' \land 'P1 \land Q2 \Rightarrow Q1')
 apply (simp add: rdesign-def)
 apply (subst design-refinement)
 apply (simp-all add: unrest)
 apply (pred-tac)
 apply (metis\ alpha-d.select-convs(2))+
done
lemma design-refine-intro:
 assumes 'P1 \Rightarrow P2' 'P1 \land Q2 \Rightarrow Q1'
 shows P1 \vdash Q1 \sqsubseteq P2 \vdash Q2
 \mathbf{using}\ \mathit{assms}\ \mathbf{unfolding}\ \mathit{upred-defs}
 by pred-tac
theorem design-ok-false [usubst]: (P \vdash Q)[false/$ok] = true
 by (simp add: design-def usubst)
theorem design-pre:
 \$ok' \sharp P \Longrightarrow \neg (P \vdash Q)^f = (\$ok \land P^f)
  by (simp add: design-def, subst-tac)
     (metis (no-types, hide-lams) not-conj-deMorgans true-not-false(2) utp-pred.compl-top-eq
            utp-pred.sup.idem utp-pred.sup-compl-top var-in-var)
theorem rdesign-pre [simp]: pre_D(P \vdash_r Q) = P
 by pred-tac
theorem design-post [simp]: post_D(P \vdash_r Q) = (P \Rightarrow Q)
  by pred-tac
theorem design-true-left-zero: (true : ; (P \vdash Q)) = true
 have (true ;; (P \vdash Q)) = (\exists ok_0 \cdot true[( < ok_0 > / sok']] ;; (P \vdash Q)[( < ok_0 > / sok]])
```

```
by (subst\ seqr-middle[of\ ok],\ simp-all)
  \textbf{also have} \ ... = ((true \llbracket false /\$ok \' \rrbracket \ ;; \ (P \vdash Q) \llbracket false /\$ok \rrbracket) \lor (true \llbracket true /\$ok \' \rrbracket \ ;; \ (P \vdash Q) \llbracket true /\$ok \rrbracket))
    by (simp add: disj-comm false-alt-def true-alt-def)
  also have ... = ((true \llbracket false /\$ok \'] ;; true_h) \lor (true ;; ((P \vdash Q) \llbracket true /\$ok \rrbracket)))
    by (subst-tac, rel-tac)
  also have \dots = true
    by (subst-tac, simp add: precond-right-unit unrest)
 finally show ?thesis.
qed
theorem design-composition:
  assumes
    \$ok \ \sharp \ P1 \ \$ok' \ \sharp \ P1 \ \$ok \ \sharp \ P2 \ \$ok' \ \sharp \ P2
    \$ok \ddagger Q1 \$ok \acute{} \ddagger Q1 \$ok \ddagger Q2 \$ok \acute{} \ddagger Q2
 shows ((P1 \vdash Q1) ;; (P2 \vdash Q2)) = (((\neg ((\neg P1) ;; true)) \land \neg (Q1 ;; (\neg P2))) \vdash (Q1 ;; Q2))
proof -
  have ((P1 \vdash Q1) ;; (P2 \vdash Q2)) = (\exists ok_0 \cdot ((P1 \vdash Q1) [ \langle ok_0 \rangle / sok'] ;; (P2 \vdash Q2) [ \langle ok_0 \rangle / sok]))
    by (rule segr-middle, simp)
  also have ...
        = (((P1 \vdash Q1)[false/\$ok']]; (P2 \vdash Q2)[false/\$ok])
            \lor ((P1 \vdash Q1)[true/\$ok'] ;; (P2 \vdash Q2)[true/\$ok]))
    by (simp add: true-alt-def false-alt-def, pred-tac)
  also from assms
  have ... = (((\$ok \land P1 \Rightarrow Q1) ;; (P2 \Rightarrow \$ok' \land Q2)) \lor ((\neg (\$ok \land P1)) ;; true))
    by (simp add: design-def usubst unrest, pred-tac)
  also have ... = ((\neg \$ok :; true_h) \lor (\neg P1 :; true) \lor (Q1 :; \neg P2) \lor (\$ok \land (Q1 :; Q2)))
   \mathbf{bv} (rel-tac)
  also have ... = (\neg (\neg P1 ;; true) \land \neg (Q1 ;; \neg P2)) \vdash (Q1 ;; Q2)
    by (simp add: precond-right-unit design-def unrest, rel-tac)
 finally show ?thesis.
qed
theorem rdesign-composition:
  ((P1 \vdash_r Q1) ;; (P2 \vdash_r Q2)) = (((\neg ((\neg P1) ;; true)) \land \neg (Q1 ;; (\neg P2))) \vdash_r (Q1 ;; Q2))
 by (simp add: rdesign-def design-composition unrest)
lemma skip-d-alt-def: II_D = true \vdash II
 by (rel-tac)
theorem design-skip-idem [simp]:
  (II_D ;; II_D) = II_D
  by (simp add: skip-d-def urel-defs, pred-tac)
{\bf theorem}\ design\hbox{-}composition\hbox{-}cond:
  assumes
    \$ok \sharp p1 \ out\alpha \sharp p1 \ \$ok \sharp P2 \ \$ok' \sharp P2
    \$ok \sharp Q1 \$ok' \sharp Q1 \$ok \sharp Q2 \$ok' \sharp Q2
  shows ((p1 \vdash Q1) ;; (P2 \vdash Q2)) = ((p1 \land \neg (Q1 ;; (\neg P2))) \vdash (Q1 ;; Q2))
  using assms
  by (simp add: design-composition unrest precond-right-unit)
theorem rdesign-composition-cond:
  assumes out\alpha \sharp p1
 shows ((p1 \vdash_r Q1) ;; (P2 \vdash_r Q2)) = ((p1 \land \neg (Q1 ;; (\neg P2))) \vdash_r (Q1 ;; Q2))
  using assms
```

```
theorem design-composition-wp:
  fixes Q1 Q2 :: 'a hrelation-d
  assumes
    ok \ \sharp \ p1 \ ok \ \sharp \ p2
    \$ok \sharp Q1 \$ok' \sharp Q1 \$ok \sharp Q2 \$ok' \sharp Q2
  shows ((\lceil p1 \rceil_{<} \vdash Q1) ;; (\lceil p2 \rceil_{<} \vdash Q2)) = ((\lceil p1 \land Q1 \ wp \ p2 \rceil_{<}) \vdash (Q1 \ ;; \ Q2))
 using assms
  by (simp add: design-composition-cond unrest, rel-tac)
{\bf theorem}\ rdesign\hbox{-}composition\hbox{-}wp\hbox{:}
 fixes Q1 Q2 :: 'a hrelation
 shows ((\lceil p1 \rceil_{\leq} \vdash_r Q1) ;; (\lceil p2 \rceil_{\leq} \vdash_r Q2)) = ((\lceil p1 \land Q1 \ wp \ p2 \rceil_{\leq}) \vdash_r (Q1 ;; Q2))
 by (simp add: rdesign-composition-cond unrest, rel-tac)
theorem rdesign-wp [wp]:
  (\lceil p \rceil_{<} \vdash_{r} Q) wp_{D} r = (p \land Q wp r)
 by rel-tac
theorem wpd-seq-r:
  fixes Q1 Q2 :: '\alpha hrelation
  shows (\lceil p1 \rceil_{<} \vdash_{r} Q1 ;; \lceil p2 \rceil_{<} \vdash_{r} Q2) wp_D r = (\lceil p1 \rceil_{<} \vdash_{r} Q1) wp_D ((\lceil p2 \rceil_{<} \vdash_{r} Q2) wp_D r)
 apply (simp add: wp)
 apply (subst rdesign-composition-wp)
 apply (simp only: wp)
 apply (rel-tac)
done
theorem design-left-unit [simp]:
  (II_D ;; P \vdash_r Q) = (P \vdash_r Q)
 by (simp add: skip-d-def urel-defs, pred-tac)
theorem design-right-cond-unit [simp]:
  assumes out\alpha \sharp p
 shows (p \vdash_r Q ;; II_D) = (p \vdash_r Q)
  using assms
 by (simp add: skip-d-def rdesign-composition-cond)
lemma lift-des-skip-dr-unit [simp]:
  (\lceil P \rceil_D ;; \lceil II \rceil_D) = \lceil P \rceil_D
  (\lceil II \rceil_D ;; \lceil P \rceil_D) = \lceil P \rceil_D
 by rel-tac rel-tac
         H1: No observation is allowed before initiation
10.3
lemma H1-idem:
  H1 (H1 P) = H1(P)
 by pred-tac
lemma H1-monotone:
  P \sqsubseteq Q \Longrightarrow H1(P) \sqsubseteq H1(Q)
```

 $\mathbf{by}\ \mathit{pred-tac}$

lemma *H1-design-skip*:

```
H1(II) = II_D
by rel-tac
```

The H1 algebraic laws are valid only when $\alpha(R)$ is homogeneous. This should maybe be generalised.

```
theorem H1-algebraic-intro:
 assumes
   (true_h ;; R) = true_h
   (II_D ;; R) = R
 shows R is H1
proof -
 have R = (II_D ;; R) by (simp \ add: assms(2))
 also have \dots = (H1(II);; R)
   by (simp add: H1-design-skip)
 also have \dots = ((\$ok \Rightarrow II) ;; R)
   by (simp add: H1-def)
 also have ... = ((\neg \$ok ;; R) \lor R)
   by (simp add: impl-alt-def seqr-or-distl)
 also have ... = (((\neg \$ok ;; true_h) ;; R) \lor R)
   by (simp add: precond-right-unit unrest)
 also have ... = ((\neg \$ok ;; true_h) \lor R)
   by (metis\ assms(1)\ segr-assoc)
 also have ... = (\$ok \Rightarrow R)
   by (simp add: impl-alt-def precond-right-unit unrest)
 finally show ?thesis by (metis H1-def Healthy-def')
qed
lemma nok-not-false:
 (\neg \$ok) \neq false
 by (pred-tac, metis alpha-d.select-convs(1))
theorem H1-left-zero:
 assumes P is H1
 shows (true_h ;; P) = true_h
proof -
 from assms have (true_h ;; P) = (true_h ;; (\$ok \Rightarrow P))
   by (simp add: H1-def Healthy-def')
 also from assms have ... = (true_h ;; (\neg \$ok \lor P))
   by (simp add: impl-alt-def)
 also from assms have ... = ((true_h ;; \neg \$ok) \lor (true_h ;; P))
   using seqr-or-distr by blast
 also from assms have ... = (true \lor (true :; P))
   by (simp add: nok-not-false precond-left-zero unrest)
 finally show ?thesis by rel-tac
qed
theorem H1-left-unit:
 fixes P :: '\alpha \ hrelation-d
 assumes P is H1
 shows (II_D ;; P) = P
proof -
 have (II_D ;; P) = ((\$ok \Rightarrow II) ;; P)
   by (metis H1-def H1-design-skip)
 also have ... = ((\neg \$ok ;; P) \lor P)
   by (simp add: impl-alt-def seqr-or-distl)
```

```
also from assms have ... = (((\neg \$ok ;; true_h) ;; P) \lor P)
   by (simp add: precond-right-unit unrest)
 also have ... = ((\neg \$ok ;; (true_h ;; P)) \lor P)
   by (simp add: segr-assoc)
 also from assms have ... = (\$ok \Rightarrow P)
   by (simp add: H1-left-zero impl-alt-def precond-right-unit unrest)
 finally show ?thesis using assms
   by (simp add: H1-def Healthy-def')
qed
theorem H1-algebraic:
 P \text{ is } H1 \longleftrightarrow (true_h ;; P) = true_h \land (II_D ;; P) = P
 using H1-algebraic-intro H1-left-unit H1-left-zero by blast
theorem H1-nok-left-zero:
 fixes P :: '\alpha \ hrelation-d
 assumes P is H1
 shows (\neg \$ok :: P) = (\neg \$ok)
proof -
 have (\neg \$ok ;; P) = ((\neg \$ok ;; true_h) ;; P)
   by (simp add: precond-right-unit unrest)
 also have ... = ((\neg \$ok) ;; true_h)
   by (metis H1-left-zero assms seqr-assoc)
 also have \dots = (\neg \$ok)
   by (simp add: precond-right-unit unrest)
 finally show ?thesis.
qed
```

10.4 H2: A specification cannot require non-termination

```
lemma J-split:
  shows (P :: J) = (P^f \lor (P^t \land \$ok'))
proof -
 have (P ;; J) = (P ;; ((\$ok \Rightarrow \$ok') \land [II]_D))
   by (simp add: H2-def J-def design-def)
  also have ... = (P ; ((\$ok \Rightarrow \$ok \land \$ok') \land \lceil II \rceil_D))
   by rel-tac
  also have ... = ((P : (\neg \$ok \land [II]_D)) \lor (P : (\$ok \land ([II]_D \land \$ok'))))
   by rel-tac
  also have ... = (P^f \lor (P^t \land \$ok'))
  proof -
   have (P :: (\neg \$ok \land \lceil II \rceil_D)) = P^f
   proof -
      have (P :; (\neg \$ok \land \lceil II \rceil_D)) = ((P \land \neg \$ok') :; \lceil II \rceil_D)
       by rel-tac
      also have ... = (\exists \$ok' \cdot P \land \$ok' =_u false)
       by (rel-tac, metis (mono-tags, lifting) alpha-d.surjective alpha-d.update-convs(1))
      also have \dots = P^f
       by (metis one-point out-var-uvar ouvar-def unrest-false uvar-ok)
     finally show ?thesis.
   moreover have (P :: (\$ok \land (\lceil II \rceil_D \land \$ok'))) = (P^t \land \$ok')
   proof -
      have (P :; (\$ok \land ([II]_D \land \$ok'))) = (P :; (\$ok \land II))
       by (rel-tac, metis alpha-d.equality)
      also have ... = (P^t \land \$ok')
```

```
by (rel-tac, metis (full-types) alpha-d.surjective alpha-d.update-convs(1))+
      finally show ?thesis.
    qed
    ultimately show ?thesis
     \mathbf{by} \ simp
  qed
 finally show ?thesis.
qed
lemma H2-split:
 shows H2(P) = (P^f \vee (P^t \wedge \$ok'))
 by (simp add: H2-def J-split)
theorem H2-equivalence:
  P \text{ is } H2 \longleftrightarrow {}^{\iota}P^f \Rightarrow P^t {}^{\iota}
proof -
 have P \Leftrightarrow (P :: J) \leftrightarrow P \Leftrightarrow (P^f \lor (P^t \land \$ok'))
    by (simp add: J-split)
 \textbf{also from} \ \textit{assms} \ \textbf{have} \ ... \longleftrightarrow \ `(P \Leftrightarrow P^f \lor P^t \land \$ok')^f \land (P \Leftrightarrow P^f \lor P^t \land \$ok')^t `.
    by (simp add: subst-bool-split)
  also from assms have ... = (P^f \Leftrightarrow P^f) \land (P^t \Leftrightarrow P^f \lor P^t)
    by subst-tac
  also have ... = P^t \Leftrightarrow (P^f \vee P^t)
   by pred-tac
  also have ... = (P^f \Rightarrow P^t)
   by pred-tac
 finally show ?thesis using assms
    by (metis H2-def Healthy-def' taut-iff-eq)
qed
lemma H2-equiv:
  P \text{ is } H2 \longleftrightarrow P^t \sqsubseteq P^f
 using H2-equivalence refBy-order by blast
lemma H2-design:
  assumes \$ok \ \sharp \ P \ \$ok' \ \sharp \ P \ \$ok \ \sharp \ Q \ \$ok' \ \sharp \ Q
 shows H2(P \vdash Q) = P \vdash Q
  using assms
 by (simp add: H2-split design-def usubst unrest, pred-tac)
lemma H2-rdesign:
  H2(P \vdash_r Q) = P \vdash_r Q
 by (simp add: H2-design unrest rdesign-def)
theorem J-idem:
  (J ;; J) = J
 by (simp add: J-def urel-defs, pred-tac)
theorem H2-idem:
  H2(H2(P)) = H2(P)
 by (metis H2-def J-idem segr-assoc)
theorem H2-not-okay: H2 (\neg \$ok) = (\neg \$ok)
proof -
 have H2 (\neg \$ok) = ((\neg \$ok)^f \lor ((\neg \$ok)^t \land \$ok'))
```

```
by (simp add: H2-split)
  also have ... = (\neg \$ok \lor (\neg \$ok) \land \$ok')
   by (subst-tac, simp add: iuvar-def)
 also have \dots = (\neg \$ok)
   by pred-tac
 finally show ?thesis.
qed
theorem H1-H2-commute:
 H1 (H2 P) = H2 (H1 P)
proof -
 have H2 (H1 P) = ((\$ok \Rightarrow P) ;; J)
   by (simp add: H1-def H2-def)
 also from assms have ... = ((\neg \$ok \lor P) ;; J)
   by rel-tac
 also have ... = ((\neg \$ok ;; J) \lor (P ;; J))
   using seqr-or-distl by blast
 also have ... = ((H2 (\neg \$ok)) \lor H2(P))
   by (simp add: H2-def)
 also have ... = ((\neg \$ok) \lor H2(P))
   by (simp add: H2-not-okay)
 also have ... = H1(H2(P))
   by rel-tac
 finally show ?thesis by simp
qed
lemma ok-pre: (\$ok \land \lceil pre_D(P) \rceil_D) = (\$ok \land (\neg P^f))
 by (pred-tac, metis (full-types) alpha-d.surjective alpha-d.update-convs(1))+
lemma ok-post: (\$ok \land \lceil post_D(P) \rceil_D) = (\$ok \land (P^t))
 by (pred-tac, metis (full-types) alpha-d.surjective alpha-d.update-convs(1))+
theorem H1-H2-is-rdesign:
 assumes P is H1 P is H2
 shows P = pre_D(P) \vdash_r post_D(P)
proof -
 from assms have P = (\$ok \Rightarrow H2(P))
   by (simp add: H1-def Healthy-def')
 also have ... = (\$ok \Rightarrow (P^f \lor (P^t \land \$ok')))
   by (metis H2-split)
 also have ... = (\$ok \land (\neg P^f) \Rightarrow \$ok' \land P^t)
   by pred-tac
 also have ... = (\$ok \land (\neg P^f) \Rightarrow \$ok' \land \$ok \land P^t)
   by pred-tac
 also have ... = (\$ok \land \lceil pre_D(P) \rceil_D \Rightarrow \$ok' \land \$ok \land \lceil post_D(P) \rceil_D)
   by (simp add: ok-post ok-pre)
 also have ... = (\$ok \land \lceil pre_D(P) \rceil_D \Rightarrow \$ok' \land \lceil post_D(P) \rceil_D)
   by pred-tac
 also from assms have ... = pre_D(P) \vdash_r post_D(P)
   by (simp add: rdesign-def design-def)
 finally show ?thesis.
qed
abbreviation H1-H2 P \equiv H1 \ (H2 \ P)
```

10.5 H3: The design assumption is a precondition

```
theorem H3-idem:
  H3(H3(P)) = H3(P)
 by (metis H3-def design-skip-idem seqr-assoc)
theorem rdesign-H3-iff-pre:
  P \vdash_r Q \text{ is } H3 \longleftrightarrow P = (P ;; true)
proof -
  have (P \vdash_r Q ;; II_D) = (P \vdash_r Q ;; true \vdash_r II)
    by (simp add: skip-d-def)
  also from assms have ... = (\neg (\neg P ;; true) \land \neg (Q ;; \neg true)) \vdash_r (Q ;; II)
    by (simp add: rdesign-composition)
  also from assms have ... = (\neg (\neg P ;; true) \land \neg (Q ;; \neg true)) \vdash_r Q
    by simp
  also have ... = (\neg (\neg P ;; true)) \vdash_r Q
    by pred-tac
  finally have P \vdash_r Q \text{ is } H3 \longleftrightarrow P \vdash_r Q = (\neg (\neg P ;; true)) \vdash_r Q
   by (metis H3-def Healthy-def')
  also have ... \longleftrightarrow P = (\neg (\neg P ;; true))
   by (metis rdesign-pre)
  also have ... \longleftrightarrow P = (P ;; true)
    by (simp add: segr-true-lemma)
 finally show ?thesis.
qed
theorem design-H3-iff-pre:
  assumes \$ok \ \sharp \ P \ \$ok' \ \sharp \ P \ \$ok \ \sharp \ Q \ \$ok' \ \sharp \ Q
  shows P \vdash Q \text{ is } H3 \longleftrightarrow P = (P ;; true)
proof -
 have P \vdash Q = |P|_D \vdash_r |Q|_D
    by (simp add: assms lift-desr-inv rdesign-def)
  moreover hence |P|_D \vdash_r |Q|_D is H3 \longleftrightarrow |P|_D = (|P|_D ;; true)
    using rdesign-H3-iff-pre by blast
  ultimately show ?thesis
    by (metis assms drop-desr-inv lift-desr-inv lift-dist-seq lift-dists(1))
theorem H1-H3-commute:
  H1 (H3 P) = H3 (H1 P)
 by rel-tac
\mathbf{lemma}\ skip\text{-}d\text{-}absorb\text{-}J\text{-}1\colon
  (II_D ;; J) = II_D
 by (metis H2-def H2-rdesign skip-d-def)
lemma skip-d-absorb-J-2:
  (J ;; II_D) = II_D
proof -
  have (J ;; II_D) = ((\$ok \Rightarrow \$ok') \land \lceil II \rceil_D ;; true \vdash II)
   by (simp add: J-def skip-d-alt-def)
  also have ... = (\exists ok_0 \cdot ((\$ok \Rightarrow \$ok') \land [II]_D)[\llbracket ok_0 \gg /\$ok']];; (true \vdash II)[\llbracket ok_0 \gg /\$ok]]
    by (subst segr-middle[of ok], simp-all)
  also have ... = ((((\$ok \Rightarrow \$ok') \land [II]_D)[false/\$ok']]; (true \vdash II)[false/\$ok])
                  \vee (((\$ok \Rightarrow \$ok') \land [II]_D)[true/\$ok'] ;; (true \vdash II)[true/\$ok]))
    by (simp add: disj-comm false-alt-def true-alt-def)
```

```
also have ... = ((\neg \$ok \land \lceil II \rceil_D ;; true) \lor (\lceil II \rceil_D ;; \$ok' \land \lceil II \rceil_D))
        by (simp add: usubst unrest design-def iuvar-def ouvar-def, rel-tac)
    also have \dots = II_D
        by rel-tac
   finally show ?thesis.
qed
lemma H2-H3-absorb:
    H2 (H3 P) = H3 P
   by (metis H2-def H3-def seqr-assoc skip-d-absorb-J-1)
lemma H3-H2-absorb:
    H3 (H2 P) = H3 P
    by (metis H2-def H3-def segr-assoc skip-d-absorb-J-2)
theorem H2-H3-commute:
    H2 (H3 P) = H3 (H2 P)
   by (simp add: H2-H3-absorb H3-H2-absorb)
theorem H3-design-pre:
    assumes \$ok \sharp p \ out \alpha \sharp p \ \$ok \sharp Q \ \$ok ' \sharp Q
    shows H3(p \vdash Q) = p \vdash Q
    using assms
   by (metis Healthy-def' design-H3-iff-pre precond-right-unit unrest-out \alpha-var uvar-ok)
theorem H3-rdesign-pre:
    assumes out\alpha \sharp p
   shows H3(p \vdash_r Q) = p \vdash_r Q
    using assms
   by (simp\ add:\ H3-def)
theorem H1-H3-is-rdesign:
   assumes P is H1 P is H3
   shows P = pre_D(P) \vdash_r post_D(P)
   by (metis H1-H2-is-rdesign H2-H3-absorb Healthy-def' assms)
theorem H1-H3-is-normal-design:
    assumes P is H1 P is H3
   shows P = |pre_D(P)| < \vdash_n post_D(P)
   by (metis H1-H3-is-rdesign assms drop-pre-inv ndesign-def precond-equiv rdesign-H3-iff-pre)
abbreviation H1-H3 p \equiv H1 \ (H3 \ p)
theorem wpd-seq-r-H1-H2 [wp]:
   fixes P Q :: '\alpha \ hrelation-d
    assumes P is H1-H3 Q is H1-H3
   shows (P :; Q) wp_D r = P wp_D (Q wp_D r)
     \textbf{by} \ (smt \ H1\text{-}H3\text{-}commute \ H1\text{-}H3\text{-}is\text{-}rdesign \ H1\text{-}idem \ Healthy\text{-}def' \ assms(1) \ assms(2) \ drop\text{-}pre\text{-}inverselves \ assms(2) \ drop\text{
precond-equiv rdesign-H3-iff-pre wpd-seq-r)
                     H4: Feasibility
10.6
theorem H4-idem:
    H_4(H_4(P)) = H_4(P)
    by pred-tac
```

11 Concurrent programming

```
theory utp-concurrency
 imports utp-designs
begin
no-notation
 Sublist.parallel (infixl \parallel 50)
         Design parallel composition
definition design-par :: ('\alpha, '\beta) relation-d \Rightarrow ('\alpha, '\beta) relation-d \Rightarrow ('\alpha, '\beta) relation-d (infixr || 85)
where
P \parallel Q = ((pre_D(P) \land pre_D(Q)) \vdash_r (post_D(P) \land post_D(Q)))
declare design-par-def [upred-defs]
lemma parallel-zero: P \parallel true = true
proof -
 have P \parallel true = (pre_D(P) \land pre_D(true)) \vdash_r (post_D(P) \land post_D(true))
   by (simp add: design-par-def)
 also have ... = (pre_D(P) \land false) \vdash_r (post_D(P) \land true)
   by rel-tac
 also have \dots = true
   by rel-tac
 finally show ?thesis.
qed
lemma parallel-assoc: P \parallel Q \parallel R = (P \parallel Q) \parallel R
 by rel-tac
lemma parallel-comm: P \parallel Q = Q \parallel P
 by pred-tac
lemma parallel-idem:
 assumes P is H1 P is H2
 shows P \parallel P = P
 by (metis H1-H2-is-rdesign assms conj-idem design-par-def)
lemma parallel-mono-1:
 assumes P_1 \sqsubseteq P_2 P_1 is H1-H2 P_2 is H1-H2
 shows P_1 \parallel Q \sqsubseteq P_2 \parallel Q
proof -
 have pre_D(P_1) \vdash_r post_D(P_1) \sqsubseteq pre_D(P_2) \vdash_r post_D(P_2)
   by (metis H1-H2-commute H1-H2-is-rdesign H1-idem Healthy-def' assms)
 hence (pre_D(P_1) \vdash_r post_D(P_1)) \parallel Q \sqsubseteq (pre_D(P_2) \vdash_r post_D(P_2)) \parallel Q
   by (auto simp add: rdesign-refinement design-par-def) (pred-tac+)
 thus ?thesis
   by (metis H1-H2-commute H1-H2-is-rdesign H1-idem Healthy-def' assms)
qed
lemma parallel-mono-2:
 assumes Q_1 \sqsubseteq Q_2 \ Q_1 is H1-H2 Q_2 is H1-H2
```

```
shows P \parallel Q_1 \sqsubseteq P \parallel Q_2
by (metis assms parallel-comm parallel-mono-1)
```

11.2 Parallel by merge

A .

We describe the partition of a state space into a n pieces through the use of a list.

```
type-synonym 'a partition = 'a list
```

A merge relation is a design that describes how a partitioned state-space should be merged into a third state-space. For now the state-spaces for two merged processes should have the same type. This could potentially be generalised, but that might have an effect on our reasoning capabilities.

```
capabilities.
definition ind-uvar :: nat \Rightarrow ('a, '\alpha \ alphabet-d) \ uvar \Rightarrow ('a, ('\alpha \times '\alpha \ partition) \ alphabet-d) \ uvar \ where
ind-uvar \ i \ x = (|var - lookup = var - lookup \ x \circ (\lambda \ A. \ (|des - ok = des - ok \ A, \ldots = snd \ (more \ A) \ ! \ i))
                , \ var\text{-}update = undefined
definition pre-uvar :: ('a, '\alpha alphabet-d) uvar \Rightarrow ('a, ('\alpha \times '\alpha partition) alphabet-d) uvar where
pre-uvar \ x = (|var-lookup = var-lookup \ x \circ (\lambda \ A. \ (|des-ok = des-ok \ A, \ldots = fst \ (more \ A)))
              , var-update = undefined )
lemma ind-uvar-semi-uvar:
  semi-uvar \ x \Longrightarrow semi-uvar \ (ind-uvar \ i \ x)
 apply (unfold-locales)
  apply (simp-all add:ind-uvar-def)
oops
syntax
  -uprevar :: ('t, '\alpha) uvar \Rightarrow logic (\$ < - [999] 999)
  -udotvar :: nat \Rightarrow ('t, '\alpha) \ uvar \Rightarrow logic (\&-.- [0,999] \ 999)
  -uidotvar :: nat \Rightarrow ('t, '\alpha) \ uvar \Rightarrow logic (\$-.- [0.999] \ 999)
  -uodotvar :: nat \Rightarrow ('t, '\alpha) \ uvar \Rightarrow logic (\$-.-' [999] \ 999)
              :: nat \Rightarrow id \Rightarrow svar (\& -.- [0.999] 999)
  -sdotvar
  -sin-dotvar :: nat \Rightarrow id \Rightarrow svar (\$-.-)
  -sout\text{-}dotvar :: nat \Rightarrow id \Rightarrow svar (\$-.-')
translations
  -uprevar x == CONST var (CONST in-var (CONST pre-uvar x))
  -udotvar \ n \ x == CONST \ var \ (CONST \ ind-uvar \ n \ x)
  -uidotvar \ n \ x == CONST \ var \ (CONST \ in-var \ (CONST \ ind-uvar \ n \ x))
  -uidotvar \ n \ x == CONST \ var \ (CONST \ out\ var \ (CONST \ ind\ uvar \ n \ x))
  -sdotvar \ n \ x == CONST \ ind-uvar \ n \ x
  -sin-dotvar \ n \ x == CONST \ in-var \ (CONST \ ind-uvar \ n \ x)
  -sout-dotvar \ n \ x == CONST \ out-var \ (CONST \ ind-uvar \ n \ x)
  -psubst m (-sdotvar n x) v => CONST subst-upd m (CONST ind-uvar n x) v
type-synonym '\alpha merge = ('\alpha \times '\alpha partition, '\alpha) relation-d
Separating simulations
lift-definition sep-sim :: nat \Rightarrow ('\alpha, '\alpha partition) relation-d (U'(-')) is
\lambda \ n \ (A,A'). \ des-ok \ A' = des-ok \ A \land length \ (alpha-d.more \ A') > n \land alpha-d.more \ A'! \ n = alpha-d.more
```

```
lift-definition alpha-ext :: ('\alpha, '\beta) relation-d \Rightarrow ('\alpha, '\alpha \times '\beta) relation-d (-_+ [999] 999) is \lambda P (A, A'). P (A, (| des-ok = des-ok A', ... = snd (more A')|)) \wedge des-ok A' = des-ok A \wedge fst (more A') = more A . Parallel by merge definition design-par-by-merge :: '\alpha hrelation-d \Rightarrow '\alpha merge \Rightarrow '\alpha hrelation-d \Rightarrow '\alpha hrelation-d (infixr || 85) where P ||<sub>M</sub> Q = (((P ;; U(0)) || (Q ;; U(1)))_+ ;; M)
```

12 Reactive processes

```
theory utp-reactive
imports
utp-concurrency
utp-event
begin
```

by (auto)

end

12.1 Preliminaries

```
type-synonym '\alpha trace = '\alpha list
```

```
fun list-diff::'\alpha \ list \Rightarrow '\alpha \ list \Rightarrow '\alpha \ list \ option where list-diff \ [] = Some \ l | \ list-diff \ [] \ l = None | \ list-diff \ (x\#xs) \ (y\#ys) = (if \ (x=y) \ then \ (list-diff \ xs \ ys) \ else \ None) lemma list-diff-empty \ [simp]: the \ (list-diff \ l \ []) = l by (cases \ l) auto lemma prefix-subst \ [simp]: l \ @ \ t = m \implies m-l = t by (auto) lemma prefix-subst1 \ [simp]: m = l \ @ \ t \implies m-l = t
```

The definitions of reactive process alphabets and healthiness conditions are given in the following. The healthiness conditions of reactive processes are defined by R1, R2, R3 and their composition R.

```
declare ref-def [upred-defs]
lemma tr-ok-indep [simp]: tr \bowtie ok \ ok \bowtie tr
 by (simp add: uvar-indep-def, pred-tac)+
lemma wait-ok-indep [simp]: wait \bowtie ok ok \bowtie wait
 by (simp add: uvar-indep-def, pred-tac)+
lemma ref-ok-indep [simp]: ref \bowtie ok ok \bowtie ref
 by (simp add: uvar-indep-def, pred-tac)+
lemma tr-wait-indep [simp]: tr \bowtie wait wait \bowtie tr
 by (simp add: uvar-indep-def, pred-tac)+
lemma ref-wait-indep [simp]: ref \bowtie wait wait \bowtie ref
 by (simp add: uvar-indep-def, pred-tac)+
lemma tr-ref-indep [simp]: ref \bowtie tr tr \bowtie ref
 by (simp add: uvar-indep-def, pred-tac)+
instantiation alpha-rp-ext :: (type, vst) vst
begin
 definition get-vstore-alpha-rp-ext :: ('a, 'b) alpha-rp-ext <math>\Rightarrow vstore
 where [simp]: get-vstore-alpha-rp-ext x = get-vstore (alpha-rp.more (alpha-d.extend undefined x))
 definition upd-vstore-alpha-rp-ext :: (vstore \Rightarrow vstore) \Rightarrow ('a, 'b) \ alpha-rp-ext \Rightarrow ('a, 'b) \ alpha-rp-ext
 where [simp]: upd-vstore-alpha-rp-ext f x = alpha-d.more (alpha-rp.more-upd at (upd-vstore f) (alpha-d.extend
undefined x))
instance
 apply (intro-classes, auto simp add: upd-store-parm[THEN sym] alpha-rp.defs alpha-d.defs)
 apply (metis (no-types, lifting) alpha-d.ext-inject alpha-d.surjective alpha-rp.select-convs(4) alpha-rp.surjective
alpha-rp.update-convs(4) get-upd-vstore)
 apply (smt\ alpha-d.select-convs(2)\ alpha-rp.surjective\ alpha-rp.update-convs(4)\ upd-vstore-comp)
 apply (metis\ alpha-d.select-convs(2)\ alpha-rp.surjective\ alpha-rp.update-convs(4)\ upd-vstore-eta)
 apply (metis alpha-rp.unfold-congs(5) upd-store-parm)
done
end
lemma uvar-wait [simp]: uvar wait
 by (unfold-locales, simp-all add: wait-def)
   (metis\ (no-types,\ lifting)\ alpha-d.ext-inject\ alpha-rp.ext-inject\ alpha-rp.surjective\ alpha-rp.update-convs(1))
lemma uvar-tr [simp]: uvar tr
 by (unfold-locales, simp-all add: tr-def)
    (metis\ alpha-d.ext-inject\ alpha-rp.ext-inject\ alpha-rp.surjective\ alpha-rp.update-convs(2))
lemma uvar-ref [simp]: uvar ref
 by (unfold-locales, simp-all add: ref-def)
    (metis\ alpha-d.ext-inject\ alpha-rp.ext-inject\ alpha-rp.surjective\ alpha-rp.update-convs(3))
Note that we define here the class of UTP alphabets that contain wait, tr and ref, or, in other
words, we define here the class of reactive process alphabets.
type-synonym (\vartheta, \alpha) alphabet-rp = (\vartheta, \alpha) alpha-rp-scheme alphabet
type-synonym (\vartheta, \alpha, \beta) relation-rp = ((\vartheta, \alpha) alphabet-rp, (\vartheta, \beta) alphabet-rp) relation
type-synonym ('\vartheta,'\alpha) hrelation-rp = (('\vartheta,'\alpha) alphabet-rp, ('\vartheta,'\alpha) alphabet-rp) relation
type-synonym (\vartheta, \sigma) predicate-rp = (\vartheta, \sigma) alphabet-rp upred
```

```
abbreviation wait-f::('\vartheta, '\alpha, '\beta) relation-rp \Rightarrow ('\vartheta, '\alpha, '\beta) relation-rp (-f [1000] 1000) where wait-f R \equiv R[false/\$wait']
```

abbreviation wait-t::(' ϑ , ' α , ' β) relation-rp \Rightarrow (' ϑ , ' α , ' β) relation-rp (- $_t$ [1000] 1000) where wait-t $R \equiv R[true/\$wait']$

lift-definition lift-rea :: $('\alpha, '\beta)$ relation $\Rightarrow ('\vartheta, '\alpha, '\beta)$ relation-rp $(\lceil - \rceil_R)$ is $\lambda \ P \ (A, A')$. $P \ (more \ A, more \ A')$.

lift-definition drop-rea :: (' ϑ , ' α , ' β) relation-rp \Rightarrow (' α , ' β) relation ($\lfloor - \rfloor_R$) **is** λ P (A, A'). P (\emptyset des-ok = True, rp-wait = True, rp-tr = [], rp-ref = $\{\}$, ... = A [], \emptyset des-ok = True, rp-wait = True, rp-tr = [], rp-ref = $\{\}$, ... = A' []).

12.2 R1: Events cannot be undone

definition R1-def [upred-defs]: R1 (P) = $(P \land (\$tr <_u \$tr'))$

lemma R1-idem: R1(R1(P)) = R1(P) **by** pred-tac

 $\begin{array}{l} \textbf{lemma} \ R1\text{-}mono: \ P \sqsubseteq Q \Longrightarrow R1(P) \sqsubseteq R1(Q) \\ \textbf{by} \ pred\text{-}tac \end{array}$

lemma R1-conj: $R1(P \land Q) = (R1(P) \land R1(Q))$ by pred-tac

lemma R1-disj: $R1(P \lor Q) = (R1(P) \lor R1(Q))$ by pred-tac

lemma R1-extend-conj: $R1(P \land Q) = (R1(P) \land Q)$ by pred-tac

lemma R1-cond: $R1(P \triangleleft b \triangleright Q) = (R1(P) \triangleleft b \triangleright R1(Q))$ **by** rel-tac

lemma R1-negate-R1: R1(\neg R1(P)) = R1(\neg P) by pred-tac

lemma R1-wait-true: $(R1 \ P)_t = R1(P)_t$ by pred-tac

lemma R1-wait-false: $(R1\ P)_f = R1(P)_f$ by pred-tac

lemma R1-skip: R1(II) = IIby rel-tac

lemma R1-by-refinement: P is $R1 \longleftrightarrow ((\$tr \le_u \$tr') \sqsubseteq P)$

lemma tr-le-trans:

by rel-tac

 $(\$tr \le_u \$tr';; \$tr \le_u \$tr') = (\$tr \le_u \$tr')$ by (rel-tac, metis alpha-rp.select-convs(2) order-refl)

```
lemma R1-seqr-closure:
 assumes P is R1 Q is R1
 shows (P ;; Q) is R1
 using assms unfolding R1-by-refinement
 by (metis segr-mono tr-le-trans)
lemma R1-ok'-true: (R1(P))^t = R1(P^t)
 by pred-tac
lemma R1-ok'-false: (R1(P))^f = R1(P^f)
 by pred-tac
lemma R1-ok-true: (R1(P))[true/\$ok] = R1(P[true/\$ok])
 by pred-tac
lemma R1-ok-false: (R1(P))[false/\$ok] = R1(P[false/\$ok])
 by pred-tac
lemma segr-R1-true-right: ((P ;; R1(true)) \lor P) = (P ;; (\$tr \le_u \$tr'))
 by rel-tac
12.3
         R2
definition R2s-def [upred-defs]: R2s (P) = (P[\langle \rangle/\$tr][(\$tr'-\$tr)/\$tr'])
definition R2-def [upred-defs]: R2(P) = R1(R2s(P))
lemma R2s-idem: R2s(R2s(P)) = R2s(P)
 by (pred-tac)
lemma R2-idem: R2(R2(P)) = R2(P)
 by (pred-tac)
lemma R2-mono: P \sqsubseteq Q \Longrightarrow R2(P) \sqsubseteq R2(Q)
 by (pred-tac)
lemma R2s-conj: R2s(P \land Q) = (R2s(P) \land R2s(Q))
 by (pred-tac)
lemma R2-conj: R2(P \wedge Q) = (R2(P) \wedge R2(Q))
 by (pred-tac)
lemma R2s-condr: R2s(P \triangleleft b \triangleright Q) = (R2s(P) \triangleleft R2s(b) \triangleright R2s(Q))
 by rel-tac
lemma R2-condr: R2(P \triangleleft b \triangleright Q) = (R2(P) \triangleleft R2(b) \triangleright R2(Q))
 by rel-tac
lemma tr-prefix-as-concat: (xs \le_u ys) = (\exists zs \cdot ys =_u xs \hat{\ }_u \ll zs \gg)
 by (rel-tac, simp add: less-eq-list-def prefixeq-def)
lemma R2-form:
 R2(P) = (\exists tt \cdot P[\langle \rangle / \$tr]][\ll tt \gg / \$tr'] \wedge \$tr' =_u \$tr \cdot_u \ll tt \gg)
 by (rel-tac, metis prefix-subst strict-prefixE)
lemma uconc-left-unit [simp]: \langle \rangle \hat{}_u e = e
 by pred-tac
```

```
by pred-tac
This laws is proven only for homogeneous relations, can it be generalised?
lemma R2-segr-form:
  fixes P Q :: ('\vartheta, '\alpha, '\alpha) \ relation-rp
  shows (R2(P) ;; R2(Q)) =
            (\exists tt_1 \cdot \exists tt_2 \cdot ((P[\langle \rangle/\$tr]][\ll tt_1 \gg /\$tr']) ;; (Q[\langle \rangle/\$tr]][\ll tt_2 \gg /\$tr']))
                                  \wedge \ (\$tr' =_u \$tr \ \hat{\ }_u \ «tt_1» \ \hat{\ }_u \ «tt_2»))
proof -
  have (R2(P) ;; R2(Q)) = (\exists tr_0 \cdot (R2(P)) \llbracket \langle tr_0 \rangle / \$tr' \rrbracket ;; (R2(Q)) \llbracket \langle tr_0 \rangle / \$tr \rrbracket)
     by (subst\ seqr-middle[of\ tr],\ simp-all)
   also have \dots =
         (\exists tr_0 \cdot \exists tt_1 \cdot \exists tt_2 \cdot ((P \llbracket \langle \rangle / \$tr \rrbracket \llbracket \ll tt_1 \gg / \$tr \' \rrbracket \land \ll tr_0 \gg =_u \$tr \mathring{}_u \ll tt_1 \gg) ;;
                                             (Q[\langle \rangle/\$tr][\ll tt_2 \gg/\$tr'] \wedge \$tr' =_u \ll tr_0 \gg \hat{u} \ll tt_2 \gg)))
     by (simp add: R2-form usubst unrest uquant-lift var-in-var var-out-var, rel-tac)
   also have \dots =
         (\exists tr_0 \cdot \exists tt_1 \cdot \exists tt_2 \cdot ((\ll tr_0) =_u \$tr \cdot (\ll tt_1) \land P[[\langle \rangle / \$tr]][\ll tt_1) / \$tr']) ;;
                                             (Q[\langle \rangle/\$tr][\ll tt_2 \gg /\$tr'] \wedge \$tr' =_u \ll tr_0 \gg \hat{u} \ll tt_2 \gg)))
     by (simp add: conj-comm)
  also have ... =
         (\exists tt_1 \cdot \exists tt_2 \cdot \exists tr_0 \cdot ((P[\langle \rangle /\$tr][\ll tt_1 \gg /\$tr']) ;; (Q[\langle \rangle /\$tr][\ll tt_2 \gg /\$tr']))
                                             \wedge \ll tr_0 \gg =_u \$tr \hat{\ }_u \ll tt_1 \gg \wedge \$tr' =_u \ll tr_0 \gg \hat{\ }_u \ll tt_2 \gg)
     by (simp add: segr-pre-out segr-post-out unrest, rel-tac)
  also have \dots =
         (\exists tt_1 \cdot \exists tt_2 \cdot ((P \llbracket \langle \rangle /\$tr \rrbracket \llbracket \ll tt_1 \gg /\$tr' \rrbracket) ;; (Q \llbracket \langle \rangle /\$tr \rrbracket \llbracket \ll tt_2 \gg /\$tr' \rrbracket))
                                  \wedge (\exists tr_0 \cdot \langle tr_0 \rangle =_u \$tr_u \langle tt_1 \rangle \wedge \$tr' =_u \langle tr_0 \rangle_u \langle tt_2 \rangle)
     bv rel-tac
  also have ... =
         (\exists tt_1 \cdot \exists tt_2 \cdot ((P \llbracket \langle \rangle / \$tr \rrbracket \llbracket \ll tt_1 \gg / \$tr ' \rrbracket) ;; (Q \llbracket \langle \rangle / \$tr \rrbracket \llbracket \ll tt_2 \gg / \$tr ' \rrbracket))
                                 \wedge (\$tr' =_{u} \$tr \hat{u} \ll tt_{1} \gg \hat{u} \ll tt_{2} \gg ))
     by rel-tac
  finally show ?thesis.
qed
lemma R2-seqr-distribute:
  fixes P Q :: ('\vartheta, '\alpha, '\alpha) \ relation-rp
  shows R2(R2(P); R2(Q)) = (R2(P); R2(Q))
proof -
  have R2(R2(P) ;; R2(Q)) =
     ((\exists tt_1 \cdot \exists tt_2 \cdot (P[\![\langle \rangle /\$tr]\!] [\![\ll tt_1 \gg /\$tr']\!] ;; Q[\![\langle \rangle /\$tr]\!] [\![\ll tt_2 \gg /\$tr']\!]) [\![(\$tr' - \$tr) /\$tr']\!]
        \wedge \$tr' - \$tr =_u \ll tt_1 \gg \hat{\ }_u \ll tt_2 \gg) \wedge \$tr' \geq_u \$tr)
     by (simp add: R2-seqr-form, simp add: R2s-def usubst unrest, rel-tac, blast+)
   also have ... =
     ((\exists tt_1 \cdot \exists tt_2 \cdot (P[\langle \rangle /\$tr][[\ll tt_1 \gg /\$tr']];; Q[\langle \rangle /\$tr][[\ll tt_2 \gg /\$tr']])[(\ll tt_1 \gg \hat{u} \ll tt_2 \gg )/\$tr']]
        \wedge \$tr' - \$tr =_u \ll tt_1 \gg \hat{\ }_u \ll tt_2 \gg) \wedge \$tr' \geq_u \$tr)
        by (subst-subst-eq-replace, simp)
  also have ... =
     ((\exists tt_1 \cdot \exists tt_2 \cdot (P[\langle \rangle /\$tr])[\ll tt_1 \gg /\$tr']);; Q[\langle \rangle /\$tr]][\ll tt_2 \gg /\$tr'])
        \wedge \$tr' - \$tr =_u \ll tt_1 \gg \hat{\ }_u \ll tt_2 \gg) \wedge \$tr' \geq_u \$tr)
        by (simp add: usubst unrest)
  also have ... =
     (\exists tt_1 \cdot \exists tt_2 \cdot (P \llbracket \langle \rangle / \$tr \rrbracket \llbracket \ll tt_1 \gg / \$tr ' \rrbracket ;; Q \llbracket \langle \rangle / \$tr \rrbracket \llbracket \ll tt_2 \gg / \$tr ' \rrbracket)
        \wedge \ (\$tr' - \$tr =_u \ll tt_1 \gg \ \hat{\ }_u \ll tt_2 \gg \wedge \ \$tr' \geq_u \$tr))
```

lemma uconc-right-unit [simp]: $e \ \hat{\ }_u \ \langle \rangle = e$

```
by pred-tac
  also have ... =
    ((\exists \ tt_1 \cdot \exists \ tt_2 \cdot (P[\![\langle \rangle /\$tr]\!][\![\ll tt_1 \gg /\$tr\,']\!] \ ;; \ Q[\![\langle \rangle /\$tr]\!][\![\ll tt_2 \gg /\$tr\,']\!])
      \wedge \$tr' =_u \$tr \hat{u} \ll tt_1 \gg \hat{u} \ll tt_2 \gg))
  proof -
    \mathbf{have} \ \bigwedge \ tt_1 \ tt_2. \ (((\$tr\ '\ -\ \$tr\ =_u \ «tt_1»\ \widehat{\ \ }_u \ «tt_2») \ \land \ \$tr\ '\ \ge_u \ \$tr) :: (\ '\vartheta, '\alpha, '\alpha) \ \ relation-rp)
           = (\$tr' =_u \$tr \hat{u} \ll tt_1 \gg \hat{u} \ll tt_2 \gg)
      by (rel-tac, metis prefix-subst strict-prefixE)
    thus ?thesis by simp
 qed
 also have ... = (R2(P) ;; R2(Q))
   by (simp add: R2-seqr-form)
 finally show ?thesis.
lemma R1-R2-commute:
  R1(R2(P)) = R2(R1(P))
 by pred-tac
12.4 R3
definition skip-rea-def [urel-defs]: II_r = (II \lor (\neg \$ok \land \$tr \le_u \$tr'))
definition R3-def [upred-defs]: R3 (P) = (II \triangleleft \$wait \triangleright P)
definition R3c\text{-}def [upred-defs]: R3c (P) = (II_r \triangleleft \$wait \triangleright P)
definition RH-def [upred-defs]: RH(P) = R1(R2(R3c(P)))
lemma R3-idem: R3(R3(P)) = R3(P)
 by rel-tac
lemma R3-mono: P \sqsubseteq Q \Longrightarrow R3(P) \sqsubseteq R3(Q)
 by rel-tac
lemma R3-conj: R3(P \land Q) = (R3(P) \land R3(Q))
 by rel-tac
lemma R3-disj: R3(P \lor Q) = (R3(P) \lor R3(Q))
 \mathbf{bv} rel-tac
lemma R3-condr: R3(P \triangleleft b \triangleright Q) = (R3(P) \triangleleft b \triangleright R3(Q))
 by rel-tac
lemma R3-skipr: R3(II) = II
 by rel-tac
lemma R3-form: R3(P) = ((\$wait \land II) \lor (\neg \$wait \land P))
 by rel-tac
lemma R3-semir-form:
  (R3(P) ;; R3(Q)) = R3(P ;; R3(Q))
 by rel-tac
lemma R3-semir-closure:
  assumes P is R3 Q is R3
```

```
shows (P ;; Q) is R3
  using assms
  by (metis Healthy-def' R3-semir-form)
lemma R1-R3-commute: R1(R3(P)) = R3(R1(P))
  by rel-tac
lemma R2-R3-commute: R2(R3(P)) = R3(R2(P))
 \textbf{by} \ (\textit{rel-tac}, (\textit{metis} \ (\textit{no-types}, \textit{lifting}) \ \textit{alpha-rp.surjective} \ \textit{alpha-rp.update-convs}(\textit{2}) \ \textit{append-Nil2} \ \textit{prefix-subst}
strict-prefixE)+)
lemma R3c-idem: R3c(R3c(P)) = R3c(P)
  \mathbf{by} \ \mathit{rel-tac}
lemma R1-skip-rea: R1(II_r) = II_r
  by rel-tac
lemma R2-skip-rea: R2(II_r) = II_r
  \mathbf{apply}\ (\mathit{rel-tac})
  \mathbf{apply} \ (\textit{metis} \ (\textit{no-types}, \ \textit{lifting}) \ \textit{alpha-rp.surjective} \ \textit{alpha-rp.update-convs}(2) \ \textit{append-Nil2} \ \textit{prefix-subst}
strict-prefixE)
done
```

 \mathbf{end}