

# A Shallow Model of the UTP in Isabelle/HOL

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## 1 UTP variables

**theory** *utp-var*

**imports**

../contrib/Kleene-Algebras/Quantales  
 ../utils/cardinals  
 ../utils/Continuum  
 ../utils/finite-bijection  
 ../utils/Library-extra/Pfun  
 ../utils/Library-extra/Derivative-extra  
 ~/src/HOL/Library/Prefix-Order  
 ~/src/HOL/Library/Adhoc-Overloading  
 ~/src/HOL/Library/Monad-Syntax  
 ~/src/HOL/Library/Countable  
 ~/src/HOL/Eisbach/Eisbach  
 utp-parser-utils

**begin**

**no-notation** *inner* (**infix**  $\cdot$  70)

This theory describes the foundational structure of UTP variables, upon which the rest of our model rests. We start by defining alphabets, which in this shallow model are simply represented as types, though by convention usually a record type where each field corresponds to a variable.

**type-synonym**  $'\alpha$  *alphabet* =  $'\alpha$

UTP variables carry two type parameters,  $'a$  that corresponds to the variable's type and  $'\alpha$  that corresponds to alphabet of which the variable is a type. There is thus a strong link between alphabets and variables in this model. Variables are characterized by two functions, *var-lookup* and *var-update*, that respectively lookup and update the variable's value in some alphabetised state space. These functions can readily be extracted from an Isabelle record type.

**record** ( $'a$ ,  $'\alpha$ ) *uvar* =  
*var-lookup* ::  $'\alpha \Rightarrow 'a$

$var\text{-}update :: ('a \Rightarrow 'a) \Rightarrow 'a \Rightarrow 'a$

The *var-assign* function uses the *var-update* function of a variable to update its value.

**abbreviation**  $var\text{-}assign :: ('a, 'a) \text{ uvar} \Rightarrow 'a \Rightarrow 'a \Rightarrow 'a$   
**where**  $var\text{-}assign\ f\ v \equiv var\text{-}update\ f\ (\lambda\ -. \ v)$

The *VAR* function is a syntactic translations that allows to retrieve a variable given its name, assuming the variable is a field in a record.

**syntax**  $-VAR :: id \Rightarrow ('a, 'r) \text{ uvar} \ (VAR\ -)$

**translations**  $VAR\ x \Rightarrow \langle \mid var\text{-}lookup = x, var\text{-}update = \text{-}update\text{-}name\ x \mid \rangle$

In order to allow reasoning about variables generically, we introduce a locale called *uvar*, that axiomatises properties of a valid variable, that should be satisfied for any record field. When a UTP alphabet record is created it will be necessary to prove these properties for each variable field, though this will always be automatic. The locale effectively describes the relationship between the functions *var-update* and *var-lookup*, and thus prevents one from having arbitrary functions as variables. Moreover, these properties allow us to prove several important UTP laws, such as the assignment laws in the theory of alphabetised relations.

**locale** *semi-uvar* =

**fixes**  $x :: ('a, 'r) \text{ uvar}$

— Application of two updates should correspond to the composition of update functions

**assumes** *var-update-comp*:  $var\text{-}update\ x\ f\ (var\text{-}update\ x\ g\ \sigma) = var\text{-}update\ x\ (f \circ g)\ \sigma$

— Updating a variable's value to the one it already has is ineffectual

**and** *var-update-eta*:  $var\text{-}update\ x\ (\lambda\ -. \ var\text{-}lookup\ x\ \sigma)\ \sigma = \sigma$

**locale** *uvar* = *semi-uvar* +

**assumes** *var-update-lookup*:  $var\text{-}lookup\ x\ (var\text{-}update\ x\ f\ \sigma) = f\ (var\text{-}lookup\ x\ \sigma)$

**and** *var-state-eq-iff*:  $\llbracket var\text{-}lookup\ x\ \sigma = var\text{-}lookup\ x\ \varrho; var\text{-}assign\ x\ v\ \sigma = var\text{-}assign\ x\ v\ \varrho \rrbracket \implies \sigma = \varrho$

**declare** *semi-uvar.var-update-comp* [simp]

**declare** *uvar.var-update-lookup* [simp]

**declare** *semi-uvar.var-update-eta* [simp]

**lemma** *var-assign-inject*:  $\llbracket uvar\ x; var\text{-}assign\ x\ u\ \sigma = var\text{-}assign\ x\ v\ \sigma \rrbracket \implies u = v$

**by** (*metis uvar.var-update-lookup*)

**lemma** *uvar-semi-var* [simp]:  $uvar\ x \implies semi\text{-}uvar\ x$

**by** (*simp add: uvar-def*)

**lemma** *var-assign-eq*:

$\llbracket uvar\ x; var\text{-}lookup\ x\ b = k; var\text{-}assign\ x\ u\ b = var\text{-}assign\ x\ v\ a \rrbracket \implies var\text{-}assign\ x\ k\ a = b$

**apply** (*rule uvar.var-state-eq-iff[of x - v]*)

**apply** (*simp-all add: comp-def*)

**apply** (*metis uvar.var-update-lookup*)

**done**

In addition to defining the validity of variable, we also need to show how two variables are related. Since variables are pairs of functions and have no identifying name that we can reason about, and moreover will often have different types, we cannot use the usual HOL inequalities to reason about them. Thus we define a weaker notion of inequality called *independence* – two variables are independent if their update functions commute. That is to say, updates to the variables do not have any effect on each other. This assumes they are also valid variables.

**definition**  $uvar\text{-}indep :: ('a, 'r) \text{ uvar} \Rightarrow ('b, 'r) \text{ uvar} \Rightarrow \text{bool}$  (**infix**  $\bowtie$  50) **where**  
 $x \bowtie y \longleftrightarrow (\forall f g \sigma. \text{var-update } x f (\text{var-update } y g \sigma) = \text{var-update } y g (\text{var-update } x f \sigma))$

We can now demonstrate some useful properties about the variable independence relation.

**lemma**  $uvar\text{-}indep\text{-}sym$ :  $x \bowtie y \implies y \bowtie x$   
**by** (*simp add: uvar-indep-def*)

**lemma**  $uvar\text{-}indep\text{-}comm$ :  
**assumes**  $x \bowtie y$   
**shows**  $\text{var-update } x f (\text{var-update } y g \sigma) = \text{var-update } y g (\text{var-update } x f \sigma)$   
**using** *assms* **by** (*simp add: uvar-indep-def*)

The following property states that looking up the value of a variable is unaffected by an update to an independent variable.

**lemma**  $uvar\text{-}indep\text{-}lookup\text{-}upd$  [*simp*]:  
**assumes**  $uvar\ x\ x \bowtie y$   
**shows**  $\text{var-lookup } x (\text{var-update } y f \sigma) = \text{var-lookup } x \sigma$   
**proof** –  
**have**  $\text{var-lookup } x (\text{var-update } y f \sigma) = \text{var-lookup } x (\text{var-update } y f (\text{var-update } x (\lambda-. \text{var-lookup } x \sigma) \sigma))$   
**by** (*simp add: assms(1)*)  
**also have**  $\dots = \text{var-lookup } x (\text{var-update } x (\lambda-. \text{var-lookup } x \sigma) (\text{var-update } y f \sigma))$   
**using** *assms(2)* **by** (*auto simp add: uvar-indep-def*)  
**also have**  $\dots = \text{var-lookup } x \sigma$   
**by** (*simp add: assms(1)*)  
**finally show** *?thesis* .  
**qed**

We also define some lifting functions for variables to create input and output variables. These simply lift the alphabet to a tuple type since relations will ultimately be defined to a tuple alphabet.

**definition**  $in\text{-}var :: ('a, 'a) \text{ uvar} \Rightarrow ('a, 'a \times 'a) \text{ uvar}$  **where**  
 $in\text{-}var\ x = (\lambda \text{ var-lookup} = \text{var-lookup } x \circ \text{fst}, \text{var-update} = (\lambda f (A, A'). (\text{var-update } x f A, A')) \lambda)$

**definition**  $out\text{-}var :: ('a, 'a) \text{ uvar} \Rightarrow ('a, 'a \times 'a) \text{ uvar}$  **where**  
 $out\text{-}var\ x = (\lambda \text{ var-lookup} = \text{var-lookup } x \circ \text{snd}, \text{var-update} = (\lambda f (A, A'). (A, \text{var-update } x f A')) \lambda)$

We show that lifted input and output variables are both valid variables, and that input and output variables are always independent.

**lemma**  $in\text{-}var\text{-}semi\text{-}uvar$  [*simp*]:  
**assumes**  $semi\text{-}uvar\ x$   
**shows**  $semi\text{-}uvar (in\text{-}var\ x)$   
**using** *assms*  
**by** (*unfold-locales, auto simp add: in-var-def*)

**lemma**  $out\text{-}var\text{-}semi\text{-}uvar$  [*simp*]:  
**assumes**  $semi\text{-}uvar\ x$   
**shows**  $semi\text{-}uvar (out\text{-}var\ x)$   
**using** *assms*  
**by** (*unfold-locales, auto simp add: out-var-def*)

**lemma**  $in\text{-}var\text{-}uvar$  [*simp*]:  
**assumes**  $uvar\ x$   
**shows**  $uvar (in\text{-}var\ x)$

```

using assms
by (unfold-locales, auto intro: uvar.var-state-eq-iff simp add: in-var-def)

lemma out-var-uvar [simp]:
  assumes uvar x
  shows uvar (out-var x)
  using assms
  by (unfold-locales, auto intro: uvar.var-state-eq-iff simp add: out-var-def)

lemma in-out-indep [simp]:
  in-var x  $\bowtie$  out-var y
  by (simp add: uvar-indep-def in-var-def out-var-def)

lemma out-in-indep [simp]:
  out-var x  $\bowtie$  in-var y
  by (simp add: uvar-indep-def in-var-def out-var-def)

lemma in-var-indep [simp]:
  x  $\bowtie$  y  $\implies$  in-var x  $\bowtie$  in-var y
  by (simp add: uvar-indep-def in-var-def)

lemma out-var-indep [simp]:
  x  $\bowtie$  y  $\implies$  out-var x  $\bowtie$  out-var y
  by (simp add: uvar-indep-def out-var-def)

```

We also define some lookup abstraction simplifications.

```

lemma var-lookup-in [simp]: var-lookup (in-var x) (A, A') = var-lookup x A
  by (simp add: in-var-def)

lemma var-lookup-out [simp]: var-lookup (out-var x) (A, A') = var-lookup x A'
  by (simp add: out-var-def)

lemma var-update-in [simp]: var-update (in-var x) f (A, A') = (var-update x f A, A')
  by (simp add: in-var-def)

lemma var-update-out [simp]: var-update (out-var x) f (A, A') = (A, var-update x f A')
  by (simp add: out-var-def)

```

Variables can also be used to effectively define sets of variables. Here we define the the universal alphabet ( $\Sigma$ ) to be a variable with identity for both the lookup and update functions. Effectively this is just a function directly on the alphabet type.

**definition** *univ-alpha* :: ( $'\alpha$ ,  $'\alpha$ ) *uvar* ( $\Sigma$ ) **where**  
*univ-alpha* = ( $\lambda$  *var-lookup* = *id*, *var-update* = *id*  $\lambda$ )

The following operator attempts to combine two variables to produce a unified projection update pair. I hoped this could be used to define alphabet subsets by allowing a finite composition of variables. However, I don't think it works as the update function can't really be split into it's constituent parts if, e.g. the update of the first component depends on the second etc. You really want to update the two fields in parallel, but I don't think this is possible.

**definition** *uvar-comp* :: ( $'a$ ,  $'\alpha$ ) *uvar*  $\Rightarrow$  ( $'b$ ,  $'\alpha$ ) *uvar*  $\Rightarrow$  ( $'a \times 'b$ ,  $'\alpha$ ) *uvar* (**infix**  $\circ_v$  35) **where**  
*uvar-comp* *x y* = ( $\lambda$  *var-lookup* =  $\lambda$  *A. (var-lookup x A, var-lookup y A)*  
 $\lambda$  *f. var-update x (lambda a. fst (f (a, undefined)))*  $\circ$   
 $\lambda$  *b. snd (f (undefined, b))*  $\lambda$ )

**nonterminal** *svar*

**syntax**

*-svar* :: *id*  $\Rightarrow$  *svar* (- [999] 999)  
*-spvar* :: *id*  $\Rightarrow$  *svar* (&- [999] 999)  
*-sinvar* :: *id*  $\Rightarrow$  *svar* (\$- [999] 999)  
*-soutvar* :: *id*  $\Rightarrow$  *svar* (\$-' [999] 999)

**consts**

*svar* :: '*v*  $\Rightarrow$  '*e*  
*ivar* :: '*v*  $\Rightarrow$  '*e*  
*ovar* :: '*v*  $\Rightarrow$  '*e*

**adhoc-overloading**

*ivar in-var* **and** *ovar out-var*

**translations**

*-svar* *x*  $\Rightarrow$  *x*  
*-spvar* *x*  $\Rightarrow$  *x*  
*-sinvar* *x* == *CONST* *ivar* *x*  
*-soutvar* *x* == *CONST* *ovar* *x*

**end**

## 1.1 Deep UTP variables

**theory** *utp-dvar*

**imports** *utp-var*

**begin**

UTP variables represented by record fields are shallow, nameless entities. They are fundamentally static in nature, since a new record field can only be introduced definitionally and cannot be otherwise arbitrarily created. They are nevertheless very useful as proof automation is excellent, and they can fully make use of the Isabelle type system. However, for constructs like alphabet extension that can introduce new variables they are inadequate. As a result we also introduce a notion of deep variables to complement them. A deep variable is not a record field, but rather a key within a store map that records the values of all deep variables. As such the Isabelle type system is agnostic of them, and the creation of a new deep variable does not change the portion of the alphabet specified by the type system.

In order to create a type of stores (or bindings) for variables, we must fix a universe for the variable valuations. This is the major downside of deep variables – they cannot have any type, but only a type whose cardinality is up to  $\mathfrak{c}$ , the cardinality of the continuum. This is why we need both deep and shallow variables, as the latter are unrestricted in this respect. Each deep variable will therefore specify the cardinality of the type it possesses.

## 1.2 Cardinalities

We first fix a datatype representing all possible cardinalities for a deep variable. These include finite cardinalities,  $\aleph_0$  (countable), and  $\mathfrak{c}$  (uncountable up to the continuum).

**datatype** *ucard* = *fin* *nat* | *aleph0* ( $\aleph_0$ ) | *cont* ( $\mathfrak{c}$ )

Our universe is simply the set of natural numbers; this is sufficient for all types up to cardinality  $\mathfrak{c}$ .

**type-synonym** *uuniv* = *nat set*

We introduce a function that gives the set of values within our universe of the given cardinality. Since a cardinality of 0 is no proper type, we use finite cardinality 0 to mean cardinality 1, 1 to mean 2 etc.

```
fun uuniv :: ucard  $\Rightarrow$  uuniv set ( $\mathcal{U}'(-)$ ) where
 $\mathcal{U}(\text{fin } n) = \{\{x\} \mid x. x \leq n\} \mid$ 
 $\mathcal{U}(\aleph_0) = \{\{x\} \mid x. \text{True}\} \mid$ 
 $\mathcal{U}(c) = \text{UNIV}$ 
```

We also define the following function that gives the cardinality of a type within the *continuum* type class.

```
definition ucard-of :: 'a::continuum itself  $\Rightarrow$  ucard where
ucard-of x = (if (finite (UNIV :: 'a set))
  then fin(card(UNIV :: 'a set) - 1)
  else if (countable (UNIV :: 'a set))
  then  $\aleph_0$ 
  else c)
```

**syntax**

```
-ucard :: type  $\Rightarrow$  ucard (UCARD'(-))
```

**translations**

```
UCARD('a) == CONST ucard-of (TYPE('a))
```

**lemma** *ucard-non-empty*:

```
 $\mathcal{U}(x) \neq \{\}$ 
by (induct x, auto)
```

**lemma** *ucard-of-finite* [simp]:

```
finite (UNIV :: 'a::continuum set)  $\implies$  UCARD('a) = fin(card(UNIV :: 'a set) - 1)
by (simp add: ucard-of-def)
```

**lemma** *ucard-of-countably-infinite* [simp]:

```
 $\llbracket \text{countable}(\text{UNIV} :: 'a::\text{continuum set}); \text{infinite}(\text{UNIV} :: 'a \text{ set}) \rrbracket \implies \text{UCARD}('a) = \aleph_0$ 
by (simp add: ucard-of-def)
```

**lemma** *ucard-of-uncountably-infinite* [simp]:

```
uncountable (UNIV :: 'a set)  $\implies$  UCARD('a :: continuum) = c
apply (simp add: ucard-of-def)
using countable-finite apply blast
```

**done**

### 1.3 Injection functions

**definition** *uinject-finite* :: '*a*::*finite*  $\Rightarrow$  *uuniv* **where**

```
uinject-finite x = {to-nat-fin x}
```

**definition** *uinject-aleph0* :: '*a*::{countable, infinite}  $\Rightarrow$  *uuniv* **where**

```
uinject-aleph0 x = {to-nat-bij x}
```

**definition** *uinject-continuum* :: '*a*::{continuum, infinite}  $\Rightarrow$  *uuniv* **where**

```
uinject-continuum x = to-nat-set-bij x
```

**definition** *uinject* :: '*a*::*continuum*  $\Rightarrow$  *uuniv* **where**

```

in视角 x = (if (finite (UNIV :: 'a set))
  then {to-nat-fin x}
  else if (countable (UNIV :: 'a set))
    then {to-nat-on (UNIV :: 'a set) x}
  else to-nat-set x)

```

**definition** *uproject* :: *uuniv*  $\Rightarrow$  *'a::continuum* **where**  
*uproject* = *inv in视角*

**lemma** *in视角-finite*:  
*finite* (UNIV :: 'a::continuum set)  $\implies$  *in视角* = ( $\lambda x :: 'a. \{to-nat-fin x\}$ )  
**by** (rule *ext*, auto simp add: *in视角-def*)

**lemma** *in视角-uncountable*:  
*uncountable* (UNIV :: 'a::continuum set)  $\implies$  (*in视角* :: 'a  $\Rightarrow$  *uuniv*) = *to-nat-set*  
**by** (rule *ext*, auto simp add: *in视角-def countable-finite*)

**lemma** *card-finite-lemma*:  
**assumes** *finite* (UNIV :: 'a set)  
**shows**  $x < \text{card (UNIV :: 'a set)} \iff x \leq \text{card (UNIV :: 'a set)} - \text{Suc } 0$   
**proof** –  
**have** *card* (UNIV :: 'a set) > 0  
**by** (simp add: *assms finite-UNIV-card-ge-0*)  
**thus** ?thesis  
**by** *linarith*  
**qed**

This is a key theorem that shows that the injection function provides a bijection between any continuum type and the subuniverse of types with a matching cardinality.

**lemma** *in视角-bij*:  
*bij-betw* (*in视角* :: 'a::continuum  $\Rightarrow$  *uuniv*) UNIV  $\mathcal{U}(\text{UCARD}('a))$   
**proof** (cases *finite* (UNIV :: 'a set))  
**case** True **thus** ?thesis  
**apply** (auto simp add: *in视角-def bij-betw-def inj-on-def image-def card-finite-lemma[THEN sym]*)  
**apply** (auto simp add: *inj-eq to-nat-fin-inj to-nat-fin-bounded*)  
**using** *to-nat-fin-ex* **apply** *blast*  
**done**  
**next**  
**case** False **note** *in视角* = *this* **thus** ?thesis  
**proof** (cases *countable* (UNIV :: 'a set))  
**case** True **thus** ?thesis  
**apply** (auto simp add: *in视角-def bij-betw-def inj-on-def infinite image-def card-finite-lemma[THEN sym]*)  
**apply** (*meson image-to-nat-on infinite surj-def*)  
**done**  
**next**  
**case** False **note** *uncount* = *this* **thus** ?thesis  
**apply** (simp add: *in视角-uncountable*)  
**using** *to-nat-set-bij* **apply** *blast*  
**done**  
**qed**  
**qed**

**lemma** *in视角-card* [*simp*]: *in视角* ( $x :: 'a::continuum$ )  $\in \mathcal{U}(\text{UCARD}('a))$   
**by** (*metis bij-betw-def rangeI in视角-bij*)



**lemma** *uinject-inv [simp]*:  
 $uproject (uinject\ x) = x$   
**by** (*metis UNIV-I bij-betw-def inv-into-f-f uinject-bij uproject-def*)

**lemma** *uproject-inv [simp]*:  
 $x \in \mathcal{U}(UCARD('a::continuum)) \implies uinject\ ((uproject :: nat\ set \Rightarrow 'a)\ x) = x$   
**by** (*metis bij-betw-inv-into-right uinject-bij uproject-def*)

## 1.4 Deep variables

A deep variable name stores both a name and the cardinality of the type it points to

**record** *dname* =  
*dname-name* :: *string*  
*dname-card* :: *ucard*

A vstore is a function mapping deep variable names to corresponding values in the universe, such that the deep variables specified cardinality is matched by the value it points to.

**typedef** *vstore* =  $\{f :: dname \Rightarrow uuniv. \forall\ x. f(x) \in \mathcal{U}(dname-card\ x)\}$   
**apply** (*rule-tac*  $x = \lambda\ x. \{0\}$  **in** *exI*)  
**apply** (*auto*)  
**apply** (*rename-tac* *x*)  
**apply** (*case-tac* *dname-card* *x*)  
**apply** (*simp-all*)  
**done**

**setup-lifting** *type-definition-vstore*

**typedef** (*'a::continuum*) *dvar* =  $\{x :: dname. dname-card\ x = UCARD('a)\}$   
**by** (*auto, meson dname.select-convs(2)*)

**setup-lifting** *type-definition-dvar*

**lift-definition** *mk-dvar* :: *string*  $\Rightarrow$  (*'a::continuum*) *dvar* ( $\lceil - \rceil_d$ )  
**is**  $\lambda\ n. (\lceil\ dname-name = n, dname-card = UCARD('a)\ \rceil)$   
**by** *auto*

**lift-definition** *dvar-name* :: (*'a::continuum*) *dvar*  $\Rightarrow$  *string* **is** *dname-name* .

**lift-definition** *dvar-card* :: (*'a::continuum*) *dvar*  $\Rightarrow$  *ucard* **is** *dname-card* .

**lemma** *dvar-name [simp]*: *dvar-name*  $\lceil x \rceil_d = x$   
**by** (*transfer, simp*)

**lift-definition** *vstore-lookup* :: (*'a::continuum*) *dvar*  $\Rightarrow$  *vstore*  $\Rightarrow$  *'a*  
**is**  $\lambda\ x\ s. (uproject :: uuniv \Rightarrow 'a)\ (s(x))$  .

**lift-definition** *vstore-put* :: (*'a::continuum*) *dvar*  $\Rightarrow$  *'a*  $\Rightarrow$  *vstore*  $\Rightarrow$  *vstore*  
**is**  $\lambda\ (x :: dname)\ (v :: 'a)\ f. f(x := uinject\ v)$   
**by** (*auto*)

**definition** *vstore-upd* :: (*'a::continuum*) *dvar*  $\Rightarrow$  (*'a*  $\Rightarrow$  *'a*)  $\Rightarrow$  *vstore*  $\Rightarrow$  *vstore*  
**where** *vstore-upd*  $x\ f\ s = vstore-put\ x\ (f\ (vstore-lookup\ x\ s))\ s$

**lemma** *vstore-upd-comp [simp]*:  
*vstore-upd*  $x\ f\ (vstore-upd\ x\ g\ s) = vstore-upd\ x\ (f \circ g)\ s$

by (simp add: vstore-upd-def, transfer, simp)

**lemma** vstore-lookup-upd [simp]: vstore-lookup x (vstore-upd x f s) = f (vstore-lookup x s)  
by (simp add: vstore-upd-def, transfer, simp)

**lemma** vstore-upd-eta [simp]: vstore-upd x (λ -. vstore-lookup x s) s = s  
apply (simp add: vstore-upd-def, transfer, auto)  
apply (metis Domainp-iff dvar.domain fun-upd-idem-iff uproject-inv)  
done

**lemma** vstore-lookup-put-diff-var [simp]:  
assumes dvar-name x ≠ dvar-name y  
shows vstore-lookup x (vstore-put y v s) = vstore-lookup x s  
using assms by (transfer, auto)

**lemma** vstore-put-commute:  
assumes dvar-name x ≠ dvar-name y  
shows vstore-put x u (vstore-put y v s) = vstore-put y v (vstore-put x u s)  
using assms  
by (transfer, fastforce)

The vst class provides an interface for extracting a variable store from a state space. For now, the state-space is limited to countably infinite types, though we will in the future build a more expressive universe.

**class** vst =  
fixes get-vstore :: 'a ⇒ vstore  
and upd-vstore :: (vstore ⇒ vstore) ⇒ 'a ⇒ 'a  
assumes get-upd-vstore [simp]: get-vstore (upd-vstore f s) = f (get-vstore s)  
and upd-vstore-comp [simp]: upd-vstore f (upd-vstore g s) = upd-vstore (f ∘ g) s  
and upd-vstore-eta [simp]: upd-vstore (λ -. get-vstore s) s = s  
and upd-store-param: upd-vstore f s = upd-vstore (λ -. f (get-vstore s)) s

**definition** dvar-lift :: 'a::continuum dvar ⇒ ('a, 'α::vst) uvar (-↑ [999] 999)  
where dvar-lift x = (| var-lookup = λ v. vstore-lookup x (get-vstore v)  
, var-update = λ f s. upd-vstore (vstore-upd x f) s  
|)

**definition** [simp]: in-dvar x = in-var (x↑)

**definition** [simp]: out-dvar x = out-var (x↑)

**adhoc-overloading**

ivar in-dvar and ovar out-dvar

**lemma** vstore-upd-compose [simp]: vstore-upd x f ∘ vstore-upd x g = vstore-upd x (f ∘ g)  
by (rule ext, simp add: vstore-upd-def, transfer, auto)

**lemma** update-vstore-appl: upd-vstore (λ x. f (g x)) s = upd-vstore f (upd-vstore g s)  
by (metis comp-apply upd-store-param upd-vstore-comp)

**lemma** vstore-upd-appl: vstore-upd x (λ x. f (g x)) s = vstore-upd x f (vstore-upd x g s)  
by (metis (no-types, lifting) vstore-lookup-upd vstore-upd-comp vstore-upd-def)

**lemma** uvar-dvar: uvar (x↑)

**proof**

fix f g :: 'a ⇒ 'a and σ :: 'b

```

show  $\text{var-update } (x\uparrow) f (\text{var-update } (x\uparrow) g \sigma) = \text{var-update } (x\uparrow) (f \circ g) \sigma$ 
  by (simp add: dvar-lift-def)
show  $\text{var-assign } (x\uparrow) (\text{var-lookup } (x\uparrow) \sigma) \sigma = \sigma$ 
  by (simp add: dvar-lift-def, subst upd-store-parm, simp)
show  $\text{var-lookup } (x\uparrow) (\text{var-update } (x\uparrow) f \sigma) = f (\text{var-lookup } (x\uparrow) \sigma)$ 
  by (simp add: dvar-lift-def)
fix  $\varrho :: 'b$  and  $v :: 'a$ 
show  $\text{var-lookup } (x\uparrow) \sigma = \text{var-lookup } (x\uparrow) \varrho \implies \text{var-assign } (x\uparrow) v \sigma = \text{var-assign } (x\uparrow) v \varrho \implies \sigma = \varrho$ 
proof –
  assume  $vl: \text{var-lookup } (x\uparrow) \sigma = \text{var-lookup } (x\uparrow) \varrho$  and  $va: \text{var-assign } (x\uparrow) v \sigma = \text{var-assign } (x\uparrow) v \varrho$ 
  have  $\text{get-vstore } \sigma = \text{get-vstore } \varrho$ 
    by (metis (no-types, lifting) dvar-lift-def get-upd-vstore uvar.select-convs(1) uvar.select-convs(2))
   $va \ vl \ \text{vstore-lookup-upd} \ \text{vstore-upd-comp} \ \text{vstore-upd-def} \ \text{vstore-upd-eta}$ 

  moreover from  $va$  have  $\text{upd-vstore } (\lambda\cdot. \text{get-vstore } \varrho) \sigma = \varrho$ 
    by (simp add: dvar-lift-def, metis upd-store-parm upd-vstore-eta update-vstore-appl)

  ultimately show ?thesis
    by (metis upd-vstore-eta)
qed
qed

```

Deep variables with different names are independent

**lemma** *dvar-indep-diff-name*:

**assumes**  $\text{dvar-name } x \neq \text{dvar-name } y$

**shows**  $x\uparrow \bowtie y\uparrow$

**proof** –

**from** *assms* **have**  $\bigwedge f g. \text{vstore-upd } x f \circ \text{vstore-upd } y g = \text{vstore-upd } y g \circ \text{vstore-upd } x f$

**apply** (*auto simp add: comp-def vstore-upd-def*)

**apply** (*rule ext, subst vstore-put-commute, auto*)

**done**

**thus** *?thesis*

**by** (*auto simp add: uvar-indep-def dvar-name-def dvar-card-def dvar-lift-def vstore-upd-def*)

**qed**

**lemma** *dvar-indep-diff-name'* [*simp*]:

$x \neq y \implies [x]_d\uparrow \bowtie [y]_d\uparrow$

**by** (*auto intro: dvar-indep-diff-name*)

A basic record structure for vstores

**record** *vstore-d* =

*vstore* :: *vstore*

**instantiation** *vstore-d-ext* :: (*type*) *vst*

**begin**

**definition** [*simp*]:  $\text{get-vstore-vstore-d-ext} = \text{vstore}$

**definition** [*simp*]:  $\text{upd-vstore-vstore-d-ext} = \text{vstore-update}$

**instance**

**by** (*intro-classes, simp-all*)

**end**

end

## 2 UTP expressions

```
theory utp-expr
imports
  utp-var
  utp-dvar
begin
```

Before building the predicate model, we will build a model of expressions that generalise alphabetised predicates. Expressions are represented semantically as mapping from the alphabet to the expression's type. This general model will allow us to unify all constructions under one type. All definitions in the file are given using the *lifting* package.

Since we have two kinds of variable (deep and shallow) in the model, we will also need two versions of each construct that takes a variable. We make use of adhoc-overloading to ensure the correct instance is automatically chosen, within the user noticing a difference.

```
typedef ('t, 'α) uexpr = UNIV :: ('α alphabet ⇒ 't) set ..
```

```
notation Rep-uexpr (⟦-⟧e)
```

```
lemma uexpr-eq-iff:
  e = f ⟷ (∀ b. ⟦e⟧e b = ⟦f⟧e b)
  using Rep-uexpr-inject[of e f, THEN sym] by (auto)
```

```
named-theorems ueval
```

```
setup-lifting type-definition-uexpr
```

A variable expression corresponds to the lookup function of the variable.

```
lift-definition var :: ('t, 'α) uvar ⇒ ('t, 'α) uexpr is var-lookup .
```

```
declare [[coercion-enabled]]
declare [[coercion var]]
```

```
definition dvar-exp :: 't::continuum dvar ⇒ ('t, 'α::vst) uexpr
where dvar-exp x = var (dvar-lift x)
```

We can then define specific cases for input and output variables, that simply perform tuple lifting. We also have variants for deep variables.

```
definition iuvar :: ('t, 'α) uvar ⇒ ('t, 'α × 'β) uexpr
where iuvar x = var (in-var x)
```

```
definition ouvar :: ('t, 'β) uvar ⇒ ('t, 'α × 'β) uexpr
where ouvar x = var (out-var x)
```

```
definition idvar :: 't::continuum dvar ⇒ ('t, 'α::vst × 'β) uexpr
where idvar x = var (in-var (dvar-lift x))
```

```
definition odvar :: 't::continuum dvar ⇒ ('t, 'α × 'β::vst) uexpr
where odvar x = var (out-var (dvar-lift x))
```

A literal is simply a constant function expression, always returning the same value.

**lift-definition** *lit* ::  $'t \Rightarrow ('t, 'α) uexpr$   
**is**  $\lambda v b. v$  .

We define lifting for unary, binary, and ternary functions, that simply apply the function to all possible results of the expressions.

**lift-definition** *uop* ::  $('a \Rightarrow 'b) \Rightarrow ('a, 'α) uexpr \Rightarrow ('b, 'α) uexpr$   
**is**  $\lambda f e b. f (e b)$  .

**lift-definition** *bop* ::  
 $('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow ('a, 'α) uexpr \Rightarrow ('b, 'α) uexpr \Rightarrow ('c, 'α) uexpr$   
**is**  $\lambda f u v b. f (u b) (v b)$  .

**lift-definition** *trop* ::  
 $('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd) \Rightarrow ('a, 'α) uexpr \Rightarrow ('b, 'α) uexpr \Rightarrow ('c, 'α) uexpr \Rightarrow ('d, 'α) uexpr$   
**is**  $\lambda f u v w b. f (u b) (v b) (w b)$  .

We also define a UTP expression version of function abstract

**lift-definition** *ulambda* ::  $('a \Rightarrow ('b, 'α) uexpr) \Rightarrow ('a \Rightarrow 'b, 'α) uexpr$   
**is**  $\lambda f A x. f x A$  .

We define syntax for expressions using adhoc overloading – this allows us to later define operators on different types if necessary (e.g. when adding types for new UTP theories).

#### consts

*ulit* ::  $'t \Rightarrow 'e (\ll\!-\!\gg)$   
*ueq* ::  $'a \Rightarrow 'a \Rightarrow 'b$  (**infixl**  $=_u$  50)  
*ueuvar* ::  $'v \Rightarrow 'p$   
*uiiivar* ::  $'v \Rightarrow 'p$   
*uouvar* ::  $'v \Rightarrow 'p$

#### adhoc-overloading

*ulit lit* **and**  
*ueuvar var* **and**  
*ueuvar dvar-exp* **and**  
*uiiivar iivar* **and**  
*uiiivar idvar* **and**  
*uouvar ouvar* **and**  
*uouvar odvar*

#### syntax

*-uuvar* ::  $('t, 'α) uvar \Rightarrow logic (\&- [999] 999)$   
*-uiiivar* ::  $('t, 'α) uvar \Rightarrow logic (\$- [999] 999)$   
*-uouvar* ::  $('t, 'α) uvar \Rightarrow logic (\$-' [999] 999)$

#### translations

$\&x == CONST ueuvar x$   
 $\$x == CONST uiiivar x$   
 $\$x' == CONST uouvar x$

We also set up some useful standard arithmetic operators for Isabelle by lifting the functions to binary operators.

**instantiation** *uexpr* ::  $(plus, type) plus$

**begin**

**definition** *plus-uexpr-def*:  $u + v = bop (op +) u v$

**instance** ..

**end**

Instantiating uminus also provides negation for predicates later

```

instantiation uexpr :: (uminus, type) uminus
begin
  definition uminus-uexpr-def:  $- u = \text{uop } \text{uminus } u$ 
instance ..
end

instantiation uexpr :: (minus, type) minus
begin
  definition minus-uexpr-def:  $u - v = \text{bop } (\text{op } -) u v$ 
instance ..
end

instantiation uexpr :: (times, type) times
begin
  definition times-uexpr-def:  $u * v = \text{bop } (\text{op } *) u v$ 
instance ..
end

instantiation uexpr :: (inverse, type) inverse
begin
  definition inverse-uexpr-def:  $\text{inverse } u = \text{uop } \text{inverse } u$ 
  definition divide-uexpr-def:  $u / v = \text{bop } (\text{op } /) u v$ 
instance ..
end

instantiation uexpr :: (Divides.div, type) Divides.div
begin
  definition div-uexpr-def:  $u \text{ div } v = \text{bop } (\text{op } \text{div}) u v$ 
  definition mod-uexpr-def:  $u \text{ mod } v = \text{bop } (\text{op } \text{mod}) u v$ 
instance ..
end

instantiation uexpr :: (zero, type) zero
begin
  definition zero-uexpr-def:  $0 = \text{lit } 0$ 
instance ..
end

instantiation uexpr :: (one, type) one
begin
  definition one-uexpr-def:  $1 = \text{lit } 1$ 
instance ..

end

instance uexpr :: (semigroup-mult, type) semigroup-mult
  by (intro-classes) (simp add: times-uexpr-def one-uexpr-def, transfer, simp add: mult.assoc)+

instance uexpr :: (monoid-mult, type) monoid-mult
  by (intro-classes) (simp add: times-uexpr-def one-uexpr-def, transfer, simp)+

instance uexpr :: (semigroup-add, type) semigroup-add
  by (intro-classes) (simp add: plus-uexpr-def zero-uexpr-def, transfer, simp add: add.assoc)+

instance uexpr :: (monoid-add, type) monoid-add

```

by (intro-classes) (simp add: plus-uepr-def zero-uepr-def, transfer, simp)+

**instance** uepr :: (semiring, type) semiring

by (intro-classes) (simp add: plus-uepr-def times-uepr-def, transfer, simp add: fun-eq-iff add.commute semiring-class.distrib-right semiring-class.distrib-left)+

**instance** uepr :: (ring-1, type) ring-1

by (intro-classes) (simp add: plus-uepr-def uminus-uepr-def minus-uepr-def times-uepr-def zero-uepr-def one-uepr-def, transfer, simp add: fun-eq-iff)+

**instance** uepr :: (numeral, type) numeral

by (intro-classes, simp add: plus-uepr-def, transfer, simp add: add.assoc)

Set up automation for numerals

**lemma** numeral-uepr-rep-eq:  $\llbracket \text{numeral } x \rrbracket_e b = \text{numeral } x$

by (induct x, simp-all add: plus-uepr-def one-uepr-def numeral.simps lit.rep-eq bop.rep-eq)

**lemma** numeral-uepr-simp:  $\text{numeral } x = \llbracket \text{numeral } x \rrbracket$

by (simp add: uepr-eq-iff numeral-uepr-rep-eq lit.rep-eq)

**definition** eq-upred :: ('a, 'α) uepr  $\Rightarrow$  ('a, 'α) uepr  $\Rightarrow$  (bool, 'α) uepr

where eq-upred x y = bop HOL.eq x y

**adhoc-overloading**

ueq eq-upred

**abbreviation** seq-filter :: 'a set  $\Rightarrow$  'a list  $\Rightarrow$  'a list where

seq-filter A  $\equiv$  filter ( $\lambda x. x \in A$ )

**nonterminal** utuple-args and umaplet and umaplets

**syntax**

-ucoerce :: ('a, 'α) uepr  $\Rightarrow$  type  $\Rightarrow$  ('a, 'α) uepr (**infix** :<sub>u</sub> 50)

-unil :: ('a list, 'α) uepr ( $\langle \rangle$ )

-ulist :: args  $\Rightarrow$  ('a list, 'α) uepr ( $\langle \langle - \rangle \rangle$ )

-uappend :: ('a list, 'α) uepr  $\Rightarrow$  ('a list, 'α) uepr  $\Rightarrow$  ('a list, 'α) uepr (**infixr** ^<sub>u</sub> 80)

-ulast :: ('a list, 'α) uepr  $\Rightarrow$  ('a, 'α) uepr (last<sub>u</sub>'(-))

-ufront :: ('a list, 'α) uepr  $\Rightarrow$  ('a list, 'α) uepr (front<sub>u</sub>'(-))

-uhead :: ('a list, 'α) uepr  $\Rightarrow$  ('a, 'α) uepr (head<sub>u</sub>'(-))

-utail :: ('a list, 'α) uepr  $\Rightarrow$  ('a list, 'α) uepr (tail<sub>u</sub>'(-))

-ulength :: ('a list, 'α) uepr  $\Rightarrow$  (nat, 'α) uepr (length<sub>u</sub>'(-))

-ufilter :: ('a list, 'α) uepr  $\Rightarrow$  ('a set, 'α) uepr  $\Rightarrow$  ('a list, 'α) uepr (**infixl** |<sub>u</sub> 75)

-uelems :: ('a list, 'α) uepr  $\Rightarrow$  ('a set, 'α) uepr (elems<sub>u</sub>'(-))

-usorted :: ('a list, 'α) uepr  $\Rightarrow$  (bool, 'α) uepr (sorted<sub>u</sub>'(-))

-udistinct :: ('a list, 'α) uepr  $\Rightarrow$  (bool, 'α) uepr (distinct<sub>u</sub>'(-))

-uless :: ('a, 'α) uepr  $\Rightarrow$  ('a, 'α) uepr  $\Rightarrow$  (bool, 'α) uepr (**infix** <<sub>u</sub> 50)

-uleq :: ('a, 'α) uepr  $\Rightarrow$  ('a, 'α) uepr  $\Rightarrow$  (bool, 'α) uepr (**infix** ≤<sub>u</sub> 50)

-ugreat :: ('a, 'α) uepr  $\Rightarrow$  ('a, 'α) uepr  $\Rightarrow$  (bool, 'α) uepr (**infix** ><sub>u</sub> 50)

-ugeq :: ('a, 'α) uepr  $\Rightarrow$  ('a, 'α) uepr  $\Rightarrow$  (bool, 'α) uepr (**infix** ≥<sub>u</sub> 50)

-uempset :: ('a set, 'α) uepr ( $\{\}$ <sub>u</sub>)

-uset :: args  $\Rightarrow$  ('a set, 'α) uepr ( $\{\langle - \rangle\}$ <sub>u</sub>)

-uunion :: ('a set, 'α) uepr  $\Rightarrow$  ('a set, 'α) uepr  $\Rightarrow$  ('a set, 'α) uepr (**infixl** ∪<sub>u</sub> 65)

-uinter :: ('a set, 'α) uepr  $\Rightarrow$  ('a set, 'α) uepr  $\Rightarrow$  ('a set, 'α) uepr (**infixl** ∩<sub>u</sub> 70)

-umem :: ('a, 'α) uepr  $\Rightarrow$  ('a set, 'α) uepr  $\Rightarrow$  (bool, 'α) uepr (**infix** ∈<sub>u</sub> 50)

-unmem :: ('a, 'α) uepr  $\Rightarrow$  ('a set, 'α) uepr  $\Rightarrow$  (bool, 'α) uepr (**infix** ∉<sub>u</sub> 50)

$-usubset \quad :: ('a \text{ set}, 'α) uexpr \Rightarrow ('a \text{ set}, 'α) uexpr \Rightarrow (bool, 'α) uexpr \text{ (infix } \subset_u 50)$   
 $-usubseteq \quad :: ('a \text{ set}, 'α) uexpr \Rightarrow ('a \text{ set}, 'α) uexpr \Rightarrow (bool, 'α) uexpr \text{ (infix } \subseteq_u 50)$   
 $-utuple \quad :: ('a, 'α) uexpr \Rightarrow utuple\text{-args} \Rightarrow ('a * 'b, 'α) uexpr \text{ ((1'(-, -)')_u)}$   
 $-utuple\text{-arg} \quad :: ('a, 'α) uexpr \Rightarrow utuple\text{-args} \text{ (-)}$   
 $-utuple\text{-args} \quad :: ('a, 'α) uexpr \Rightarrow utuple\text{-args} \Rightarrow utuple\text{-args} \quad \text{(-, / -)}$   
 $-uunit \quad :: ('a, 'α) uexpr \text{ ('()')_u}$   
 $-ufst \quad :: ('a \times 'b, 'α) uexpr \Rightarrow ('a, 'α) uexpr \text{ (}\pi_1'(-) \text{ 90)}$   
 $-usnd \quad :: ('a \times 'b, 'α) uexpr \Rightarrow ('b, 'α) uexpr \text{ (}\pi_2'(-) \text{)}$   
 $-uapply \quad :: ('a \Rightarrow 'b, 'α) uexpr \Rightarrow utuple\text{-args} \Rightarrow ('b, 'α) uexpr \text{ (-[]_u [999,0] 999)}$   
 $-ulambda \quad :: pttrn \Rightarrow logic \Rightarrow logic \text{ (}\lambda \text{ - - - [0, 10] 10)}$   
 $-udom \quad :: logic \Rightarrow logic \text{ (dom}_u'(-) \text{)}$   
 $-uran \quad :: logic \Rightarrow logic \text{ (ran}_u'(-) \text{)}$   
 $-uinl \quad :: logic \Rightarrow logic \text{ (inl}_u'(-) \text{)}$   
 $-uinr \quad :: logic \Rightarrow logic \text{ (inr}_u'(-) \text{)}$   
 $-umap\text{-empty} \quad :: ('a \rightarrow 'b, 'α) uexpr \text{ ([]_u)}$   
 $-umaplet \quad :: [logic, logic] \Rightarrow umaplet \text{ (- / \mapsto / -)}$   
 $\quad \quad \quad :: umaplet \Rightarrow umaplets \quad \quad \quad \text{(-)}$   
 $-UMaplets \quad :: [umaplet, umaplets] \Rightarrow umaplets \text{ (-, / -)}$   
 $-UMapUpd \quad :: [logic, umaplets] \Rightarrow logic \text{ (-/'(-) [900,0] 900)}$   
 $-UMap \quad :: umaplets \Rightarrow logic \text{ ((1[-]_u))}$

**consts**  $uapply :: 'f \Rightarrow 'k \Rightarrow 'v$

#### translations

$f([]_u) \leq CONST uapply f v$

**definition**  $fun\text{-}apply f x = f x$

**declare**  $fun\text{-}apply\text{-}def \text{ [simp]}$

#### ad hoc-overloading

$uapply$   $fun\text{-}apply$  **and**  $uapply$   $nth$  **and**  $uapply$   $pfun\text{-}app$

#### translations

$x :_u 'a == x :: ('a, -) uexpr$   
 $\langle \rangle == \ll [] \gg$   
 $\langle x, xs \rangle == CONST bop (op \#) x \langle xs \rangle$   
 $\langle x \rangle == CONST bop (op \#) x \ll [] \gg$   
 $x \hat{ }_u y == CONST bop (op @) x y$   
 $last_u(xs) == CONST uop CONST last xs$   
 $front_u(xs) == CONST uop CONST butlast xs$   
 $head_u(xs) == CONST uop CONST hd xs$   
 $tail_u(xs) == CONST uop CONST tl xs$   
 $length_u(xs) == CONST uop CONST length xs$   
 $elems_u(xs) == CONST uop CONST set xs$   
 $sorted_u(xs) == CONST uop CONST sorted xs$   
 $distinct_u(xs) == CONST uop CONST distinct xs$   
 $xs \downarrow_u A == CONST bop CONST seq\text{-}filter A xs$   
 $x <_u y == CONST bop (op <) x y$   
 $x \leq_u y == CONST bop (op \leq) x y$   
 $x >_u y == y <_u x$   
 $x \geq_u y == y \leq_u x$   
 $\{ \}_u == \ll \{ \} \gg$   
 $\{ x, xs \}_u == CONST bop (CONST insert) x \{ xs \}_u$   
 $\{ x \}_u == CONST bop (CONST insert) x \ll \{ \} \gg$   
 $A \cup_u B == CONST bop (op \cup) A B$



$A \cap_u B == \text{CONST } \text{bop } (\text{op } \cap) A B$   
 $x \in_u A == \text{CONST } \text{bop } (\text{op } \in) x A$   
 $x \notin_u A == \text{CONST } \text{bop } (\text{op } \notin) x A$   
 $A \subset_u B == \text{CONST } \text{bop } (\text{op } <) A B$   
 $A \subset_u B <= \text{CONST } \text{bop } (\text{op } \subset) A B$   
 $f \subset_u g <= \text{CONST } \text{bop } (\text{op } \subset_p) f g$   
 $A \subseteq_u B == \text{CONST } \text{bop } (\text{op } \leq) A B$   
 $A \subseteq_u B <= \text{CONST } \text{bop } (\text{op } \subseteq) A B$   
 $f \subseteq_u g <= \text{CONST } \text{bop } (\text{op } \subseteq_p) f g$   
 $()_u == \langle\langle () \rangle\rangle$   
 $(x, y)_u == \text{CONST } \text{bop } (\text{CONST } \text{Pair}) x y$   
 $\text{-utuple } x \text{ (-utuple-args } y \text{ } z) == \text{-utuple } x \text{ (-utuple-arg } (\text{-utuple } y \text{ } z))$   
 $\pi_1(x) == \text{CONST } \text{uop } \text{CONST } \text{fst } x$   
 $\pi_2(x) == \text{CONST } \text{uop } \text{CONST } \text{snd } x$   
 $f(|x|)_u == \text{CONST } \text{bop } \text{CONST } \text{uapply } f x$   
 $\lambda x \cdot p == \text{CONST } \text{ulambda } (\lambda x. p)$   
 $\text{dom}_u(f) == \text{CONST } \text{uop } \text{CONST } \text{pdom } f$   
 $\text{ran}_u(f) == \text{CONST } \text{uop } \text{CONST } \text{pran } f$   
 $\text{inl}_u(x) == \text{CONST } \text{uop } \text{CONST } \text{Inl } x$   
 $\text{inr}_u(x) == \text{CONST } \text{uop } \text{CONST } \text{Inr } x$   
 $\square_u == \langle\langle \text{CONST } \text{pempty} \rangle\rangle$   
 $\text{-UMapUpd } m \text{ (-UMaplets } xy \text{ } ms) == \text{-UMapUpd } (\text{-UMapUpd } m \text{ } xy) \text{ } ms$   
 $\text{-UMapUpd } m \text{ (-umaplet } x \text{ } y) == \text{CONST } \text{trop } \text{CONST } \text{pfun-upd } m \text{ } x \text{ } y$   
 $\text{-UMap } ms == \text{-UMapUpd } \square_u \text{ } ms$   
 $\text{-UMap } (\text{-UMaplets } ms1 \text{ } ms2) <= \text{-UMapUpd } (\text{-UMap } ms1) \text{ } ms2$   
 $\text{-UMaplets } ms1 \text{ (-UMaplets } ms2 \text{ } ms3) <= \text{-UMaplets } (\text{-UMaplets } ms1 \text{ } ms2) \text{ } ms3$   
 $f(|x, y|)_u == \text{CONST } \text{bop } \text{CONST } \text{uapply } f (x, y)_u$

Lifting set intervals

**syntax**

$\text{-uset-atLeastAtMost} :: ('a, 'α) \text{uexpr} \Rightarrow ('a, 'α) \text{uexpr} \Rightarrow ('a \text{ set}, 'α) \text{uexpr } ((1\{-..\}-\}_u))$   
 $\text{-uset-atLeastLessThan} :: ('a, 'α) \text{uexpr} \Rightarrow ('a, 'α) \text{uexpr} \Rightarrow ('a \text{ set}, 'α) \text{uexpr } ((1\{-..\<-\}_u))$   
 $\text{-uset-compr} :: id \Rightarrow ('a \text{ set}, 'α) \text{uexpr} \Rightarrow (\text{bool}, 'α) \text{uexpr} \Rightarrow ('b, 'α) \text{uexpr} \Rightarrow ('b \text{ set}, 'α) \text{uexpr } ((1\{-\text{:} / - \text{ } | / - \text{ } \cdot / -\}_u))$

**lift-definition**  $\text{ZedSetCompr} ::$

$('a \text{ set}, 'α) \text{uexpr} \Rightarrow ('a \Rightarrow (\text{bool}, 'α) \text{uexpr} \times ('b, 'α) \text{uexpr}) \Rightarrow ('b \text{ set}, 'α) \text{uexpr}$   
**is**  $\lambda A \text{ } PF \text{ } b. \{ \text{snd } (PF \text{ } x) \text{ } b \mid x. x \in A \text{ } b \wedge \text{fst } (PF \text{ } x) \text{ } b \} .$

**translations**

$\{x..y\}_u == \text{CONST } \text{bop } \text{CONST } \text{atLeastAtMost } x \text{ } y$   
 $\{x..<y\}_u == \text{CONST } \text{bop } \text{CONST } \text{atLeastLessThan } x \text{ } y$   
 $\{x : A \mid P \cdot F\}_u == \text{CONST } \text{ZedSetCompr } A (\lambda x. (P, F))$

Lifting limits

**definition**  $\text{ulim-left} = (\lambda p \text{ } f. \text{Lim } (\text{at-left } p) \text{ } f)$

**definition**  $\text{ulim-right} = (\lambda p \text{ } f. \text{Lim } (\text{at-right } p) \text{ } f)$

**definition**  $\text{ucont-on} = (\lambda f \text{ } A. \text{continuous-on } A \text{ } f)$

**syntax**

$\text{-ulim-left} :: id \Rightarrow \text{logic} \Rightarrow \text{logic} \Rightarrow \text{logic } (\text{lim}_u'(- \rightarrow -^-)'(-^{'})$   
 $\text{-ulim-right} :: id \Rightarrow \text{logic} \Rightarrow \text{logic} \Rightarrow \text{logic } (\text{lim}_u'(- \rightarrow -^+)'(-^{'})$   
 $\text{-ucont-on} :: \text{logic} \Rightarrow \text{logic} \Rightarrow \text{logic } (\text{infix } \text{cont-on}_u \text{ } 90)$

**translations**

$$\begin{aligned} \lim_u(x \rightarrow p^-)(e) &== \text{CONST bop CONST ulim-left } p \ (\lambda x \cdot e) \\ \lim_u(x \rightarrow p^+)(e) &== \text{CONST bop CONST ulim-right } p \ (\lambda x \cdot e) \\ f \text{ cont-on}_u A &== \text{CONST bop CONST continuous-on } A \ f \end{aligned}$$

**lemmas** *uexpr-defs* =

*iuvar-def*  
*ouvar-def*  
*zero-uexpr-def*  
*one-uexpr-def*  
*plus-uexpr-def*  
*uminus-uexpr-def*  
*minus-uexpr-def*  
*times-uexpr-def*  
*inverse-uexpr-def*  
*divide-uexpr-def*  
*div-uexpr-def*  
*mod-uexpr-def*  
*eq-upred-def*  
*numeral-uexpr-simp*  
*ulim-left-def*  
*ulim-right-def*  
*ucont-on-def*

**lemma** *var-in-var*:  $\text{var } (\text{in-var } x) = \$x$   
**by** (*simp add: iuvar-def*)

**lemma** *var-out-var*:  $\text{var } (\text{out-var } x) = \$x'$   
**by** (*simp add: ouvar-def*)

## 2.1 Evaluation laws for expressions

**lemma** *lit-ueval* [*ueval*]:  $\llbracket \langle x \rangle \rrbracket_e b = x$   
**by** (*transfer, simp*)

**lemma** *var-ueval* [*ueval*]:  $\llbracket \text{var } x \rrbracket_e b = \text{var-lookup } x \ b$   
**by** (*transfer, simp*)

**lemma** *uop-ueval* [*ueval*]:  $\llbracket \text{uop } f \ x \rrbracket_e b = f \ (\llbracket x \rrbracket_e b)$   
**by** (*transfer, simp*)

**lemma** *bop-ueval* [*ueval*]:  $\llbracket \text{bop } f \ x \ y \rrbracket_e b = f \ (\llbracket x \rrbracket_e b) \ (\llbracket y \rrbracket_e b)$   
**by** (*transfer, simp*)

**lemma** *trop-ueval* [*ueval*]:  $\llbracket \text{trop } f \ x \ y \ z \rrbracket_e b = f \ (\llbracket x \rrbracket_e b) \ (\llbracket y \rrbracket_e b) \ (\llbracket z \rrbracket_e b)$   
**by** (*transfer, simp*)

**declare** *uexpr-defs* [*ueval*]

**end**

## 3 Unrestriction

**theory** *utp-unrest*  
**imports** *utp-expr*  
**begin**

Unrestriction is an encoding of semantic freshness, that allows us to reason about the presence of variables in predicates without being concerned with abstract syntax trees. An expression  $p$  is unrestricted by variable  $x$ , written  $x \# p$ , if altering the value of  $x$  has no effect on the valuation of  $p$ . This is a sufficient notion to prove many laws that would ordinarily rely on an  $fv$  function.

#### consts

$unrest :: 'a \Rightarrow 'b \Rightarrow bool$

#### syntax

$-unrest :: svar \Rightarrow logic \Rightarrow logic \Rightarrow logic \text{ (infix } \# 20)$

#### translations

$-unrest\ x\ p == CONST\ unrest\ x\ p$

#### named-theorems *unrest*

**lift-definition**  $unrest\text{-}upred :: ('a, 'α) \text{ uvar} \Rightarrow ('b, 'α) \text{ uexpr} \Rightarrow bool$   
**is**  $\lambda x\ e. \forall\ b\ v. e\ (var\text{-}update\ x\ v\ b) = e\ b$ .

**definition**  $unrest\text{-}dvar\text{-}upred :: 'a::continuum\ dvar \Rightarrow ('b, 'α::vst) \text{ uexpr} \Rightarrow bool$  **where**  
 $unrest\text{-}dvar\text{-}upred\ x\ P = unrest\text{-}upred\ (x\uparrow)\ P$

#### ad hoc-overloading

$unrest\ unrest\text{-}upred$

**lemma**  $unrest\text{-}lit\ [unrest]: x \# \ll v \gg$   
**by** (*transfer, simp*)

The following law demonstrates why we need variable independence: a variable expression is unrestricted by another variable only when the two variables are independent.

**lemma**  $unrest\text{-}var\ [unrest]: \ll uvar\ x; x \bowtie y \gg \Longrightarrow y \# var\ x$   
**by** (*transfer, auto*)

**lemma**  $unrest\text{-}iuvar\ [unrest]: \ll uvar\ x; x \bowtie y \gg \Longrightarrow \$y \# \$x$   
**by** (*metis in-var-indep in-var-uvar unrest-var var-in-var*)

**lemma**  $unrest\text{-}ouvar\ [unrest]: \ll uvar\ x; x \bowtie y \gg \Longrightarrow \$y' \# \$x'$   
**by** (*metis out-var-indep out-var-uvar unrest-var var-out-var*)

**lemma**  $unrest\text{-}iuvar\text{-}ouvar\ [unrest]:$   
**fixes**  $x :: ('a, 'α) \text{ uvar}$   
**assumes**  $uvar\ y$   
**shows**  $\$x \# \$y'$   
**by** (*metis assms out-in-indep out-var-uvar unrest-var var-out-var*)

**lemma**  $unrest\text{-}ouvar\text{-}iuvar\ [unrest]:$   
**fixes**  $x :: ('a, 'α) \text{ uvar}$   
**assumes**  $uvar\ y$   
**shows**  $\$x' \# \$y$   
**by** (*metis assms in-out-indep in-var-uvar unrest-var var-in-var*)

**lemma**  $unrest\text{-}uop\ [unrest]: x \# e \Longrightarrow x \# uop\ f\ e$   
**by** (*transfer, simp*)

**lemma**  $unrest\text{-}bop\ [unrest]: \ll x \# u; x \# v \gg \Longrightarrow x \# bop\ f\ u\ v$   
**by** (*transfer, simp*)

**lemma** *unrest-trop* [*unrest*]:  $\llbracket x \# u; x \# v; x \# w \rrbracket \Longrightarrow x \# \text{trop } f \ u \ v \ w$   
**by** (*transfer*, *simp*)

**lemma** *unrest-eq* [*unrest*]:  $\llbracket x \# u; x \# v \rrbracket \Longrightarrow x \# u =_u v$   
**by** (*simp add: eq-upred-def*, *transfer*, *simp*)

**end**

## 4 Substitution

**theory** *utp-subst*

**imports**

*utp-expr*

*utp-lift*

*utp-unrest*

**begin**

### 4.1 Substitution definitions

We introduce a polymorphic constant that will be used to represent application of a substitution, and also a set of theorems to represent laws.

**consts**

*usubst* ::  $'s \Rightarrow 'a \Rightarrow 'a$  (**infixr**  $\dagger$  80)

**named-theorems** *usubst*

A substitution is simply a transformation on the alphabet; it shows how variables should be mapped to different values.

**type-synonym**  $'\alpha$  *usubst* =  $'\alpha$  *alphabet*  $\Rightarrow$   $'\alpha$  *alphabet*

**lift-definition** *subst* ::  $'\alpha$  *usubst*  $\Rightarrow$   $('a, '\alpha)$  *uexpr*  $\Rightarrow$   $('a, '\alpha)$  *uexpr* **is**  
 $\lambda \sigma \ e \ b. \ e \ (\sigma \ b)$  .

**adhoc-overloading**

*usubst* *subst*

Update the value of a variable to an expression in a substitution

**consts** *subst-upd* ::  $'\alpha$  *usubst*  $\Rightarrow$   $'v \Rightarrow ('a, '\alpha)$  *uexpr*  $\Rightarrow$   $'\alpha$  *usubst*

**definition** *subst-upd-uvar* ::  $'\alpha$  *usubst*  $\Rightarrow$   $('a, '\alpha)$  *uvar*  $\Rightarrow$   $('a, '\alpha)$  *uexpr*  $\Rightarrow$   $'\alpha$  *usubst* **where**  
*subst-upd-uvar*  $\sigma \ x \ v = (\lambda \ b. \ \text{var-assign } x \ (\llbracket v \rrbracket_e b)) \ (\sigma \ b))$

**definition** *subst-upd-dvar* ::  $'\alpha$  *usubst*  $\Rightarrow$   $'a::\text{continuum}$  *dvar*  $\Rightarrow$   $('a, '\alpha::\text{vst})$  *uexpr*  $\Rightarrow$   $'\alpha$  *usubst* **where**  
*subst-upd-dvar*  $\sigma \ x \ v = \text{subst-upd-uvar } \sigma \ (x \uparrow) \ v$

**adhoc-overloading**

*subst-upd* *subst-upd-uvar* **and** *subst-upd* *subst-upd-dvar*

Lookup the expression associated with a variable in a substitution

**lift-definition** *usubst-lookup* ::  $'\alpha$  *usubst*  $\Rightarrow$   $('a, '\alpha)$  *uvar*  $\Rightarrow$   $('a, '\alpha)$  *uexpr*  $(\langle - \rangle_s)$   
**is**  $\lambda \sigma \ x \ b. \ \text{var-lookup } x \ (\sigma \ b)$  .

Relational lifting of a substitution to the first element of the state space

**definition** *usubst-rel-lift* ::  $'\alpha \text{ usubst} \Rightarrow (' \alpha \times ' \beta) \text{ usubst} ([\_ ]_s)$  **where**  
 $[\sigma]_s = (\lambda (A, A'). (\sigma A, A'))$

**definition** *usubst-rel-drop* ::  $(' \alpha \times ' \alpha) \text{ usubst} \Rightarrow ' \alpha \text{ usubst} ([\_ ]_s)$  **where**  
 $[\sigma]_s = (\lambda A. \text{fst} (\sigma (A, A)))$

**nonterminal** *smaplet* and *smaplets*

**syntax**

-*smaplet* ::  $[svar, 'a] \Rightarrow \text{smaplet} \quad (- \mapsto_s / -)$   
           ::  $\text{smaplet} \Rightarrow \text{smaplets} \quad (-)$   
 -*SMaplets* ::  $[\text{smaplet}, \text{smaplets}] \Rightarrow \text{smaplets} \quad (-, / -)$   
 -*SubstUpd* ::  $[ 'm \text{ usubst}, \text{smaplets}] \Rightarrow 'm \text{ usubst} \quad (-/'(-) [900,0] 900)$   
 -*Subst* ::  $\text{smaplets} \Rightarrow 'a \rightsquigarrow 'b \quad ((1[-]))$

**translations**

-*SubstUpd* *m* (-*SMaplets* *xy ms*) == -*SubstUpd* (-*SubstUpd* *m xy*) *ms*  
 -*SubstUpd* *m* (-*smaplet* *x y*) == *CONST* *subst-upd* *m x y*  
 -*Subst* *ms* == -*SubstUpd* (*CONST id*) *ms*  
 -*Subst* (-*SMaplets* *ms1 ms2*) <= -*SubstUpd* (-*Subst* *ms1*) *ms2*  
 -*SMaplets* *ms1* (-*SMaplets* *ms2 ms3*) <= -*SMaplets* (-*SMaplets* *ms1 ms2*) *ms3*

## 4.2 Substitution laws

We set up a simple substitution tactic that applies substitution and unrestriction laws

**method** *subst-tac* = (*simp add: usubst unrest*)?

**lemma** *usubst-lookup-id* [*usubst*]:  $\langle id \rangle_s x = \text{var } x$   
**by** (*transfer, simp*)

**lemma** *usubst-lookup-upd* [*usubst*]:  
**assumes** *uvar x*  
**shows**  $\langle \sigma(x \mapsto_s v) \rangle_s x = v$   
**using** *assms*  
**by** (*simp add: subst-upd-uvar-def, transfer*) (*simp*)

**lemma** *usubst-upd-idem* [*usubst*]:  
**assumes** *semi-uvar x*  
**shows**  $\sigma(x \mapsto_s u, x \mapsto_s v) = \sigma(x \mapsto_s v)$   
**by** (*simp add: subst-upd-uvar-def assms comp-def*)

**lemma** *usubst-upd-comm*:  
**assumes**  $x \bowtie y$   
**shows**  $\sigma(x \mapsto_s u, y \mapsto_s v) = \sigma(y \mapsto_s v, x \mapsto_s u)$   
**using** *assms*  
**by** (*rule-tac ext, auto simp add: subst-upd-uvar-def assms comp-def uvar-indep-comm*)

**lemma** *usubst-upd-comm-dash* [*usubst*]:  
**fixes**  $x :: ('a, ' \alpha) \text{ uvar}$   
**shows**  $\sigma(\$x' \mapsto_s v, \$x \mapsto_s u) = \sigma(\$x \mapsto_s u, \$x' \mapsto_s v)$   
**using** *in-out-indep usubst-upd-comm* **by** *force*

**lemma** *usubst-lookup-upd-indep* [*usubst*]:  
**assumes**  $\text{uvar } x \bowtie y$   
**shows**  $\langle \sigma(y \mapsto_s v) \rangle_s x = \langle \sigma \rangle_s x$

**using** *assms*  
**by** (*simp add: subst-upd-uvar-def, transfer, simp*)

**lemma** *subst-unrest* [*usubst*]:  $x \# P \implies \sigma(x \mapsto_s v) \dagger P = \sigma \dagger P$   
**by** (*simp add: subst-upd-uvar-def, transfer, auto*)

**lemma** *id-subst* [*usubst*]:  $\text{id} \dagger v = v$   
**by** (*transfer, simp*)

**lemma** *subst-lit* [*usubst*]:  $\sigma \dagger \ll v \gg = \ll v \gg$   
**by** (*transfer, simp*)

**lemma** *subst-var* [*usubst*]:  $\sigma \dagger \text{var } x = \langle \sigma \rangle_s x$   
**by** (*transfer, simp*)

**lemma** *subst-ivar* [*usubst*]:  $\sigma \dagger \$x = \langle \sigma \rangle_s (\text{in-var } x)$   
**by** (*simp add: iuvar-def, transfer, simp*)

**lemma** *subst-ovar* [*usubst*]:  $\sigma \dagger \$x' = \langle \sigma \rangle_s (\text{out-var } x)$   
**by** (*simp add: ouvar-def, transfer, simp*)

We add the symmetric definition of input and output variables to substitution laws so that the variables are correctly normalised after substitution.

**declare** *iuvar-def*[*THEN sym, usubst*]  
**declare** *ouvar-def*[*THEN sym, usubst*]

**lemma** *subst-uop* [*usubst*]:  $\sigma \dagger \text{uop } f v = \text{uop } f (\sigma \dagger v)$   
**by** (*transfer, simp*)

**lemma** *subst-bop* [*usubst*]:  $\sigma \dagger \text{bop } f u v = \text{bop } f (\sigma \dagger u) (\sigma \dagger v)$   
**by** (*transfer, simp*)

**lemma** *subst-trop* [*usubst*]:  $\sigma \dagger \text{trop } f u v w = \text{trop } f (\sigma \dagger u) (\sigma \dagger v) (\sigma \dagger w)$   
**by** (*transfer, simp*)

**lemma** *subst-plus* [*usubst*]:  $\sigma \dagger (x + y) = \sigma \dagger x + \sigma \dagger y$   
**by** (*simp add: plus-ueexpr-def subst-bop*)

**lemma** *subst-times* [*usubst*]:  $\sigma \dagger (x * y) = \sigma \dagger x * \sigma \dagger y$   
**by** (*simp add: times-ueexpr-def subst-bop*)

**lemma** *subst-minus* [*usubst*]:  $\sigma \dagger (x - y) = \sigma \dagger x - \sigma \dagger y$   
**by** (*simp add: minus-ueexpr-def subst-bop*)

**lemma** *subst-zero* [*usubst*]:  $\sigma \dagger 0 = 0$   
**by** (*simp add: zero-ueexpr-def subst-lit*)

**lemma** *subst-one* [*usubst*]:  $\sigma \dagger 1 = 1$   
**by** (*simp add: one-ueexpr-def subst-lit*)

**lemma** *subst-eq-upred* [*usubst*]:  $\sigma \dagger (x =_u y) = (\sigma \dagger x =_u \sigma \dagger y)$   
**by** (*simp add: eq-upred-def usubst*)

**lemma** *subst-subst* [*usubst*]:  $\sigma \dagger \varrho \dagger e = (\varrho \circ \sigma) \dagger e$   
**by** (*transfer, simp*)

```

lemma subst-upd-comp [usubst]:
  fixes x :: ('a, 'α) uvar
  shows  $\varrho(x \mapsto_s v) \circ \sigma = (\varrho \circ \sigma)(x \mapsto_s \sigma \uparrow v)$ 
  by (rule ext, simp add: uexpr-defs subst-upd-uvar-def, transfer, simp)

lemma subst-lift-id [usubst]:  $\lceil id \rceil_s = id$ 
  by (simp add: usubst-rel-lift-def)

lemma subst-drop-id [usubst]:  $\lfloor id \rfloor_s = id$ 
  by (auto simp add: usubst-rel-drop-def)

lemma subst-lift-drop [usubst]:  $\lfloor \lceil \sigma \rceil_s \rfloor_s = \sigma$ 
  by (simp add: usubst-rel-lift-def usubst-rel-drop-def)

lemma subst-lift-upd [usubst]:
  fixes x :: ('a, 'α) uvar
  shows  $\lceil \sigma(x \mapsto_s v) \rceil_s = \lceil \sigma \rceil_s (\$x \mapsto_s \lceil v \rceil_<)$ 
  by (simp add: usubst-rel-lift-def subst-upd-uvar-def, transfer, auto)

lemma subst-drop-upd [usubst]:
  fixes x :: ('a, 'α) uvar
  shows  $\lfloor \sigma(\$x \mapsto_s v) \rfloor_s = \lfloor \sigma \rfloor_s (x \mapsto_s \lfloor v \rfloor_<)$ 
  apply (simp add: usubst-rel-drop-def subst-upd-uvar-def, transfer, rule ext, auto simp add: in-var-def)
  apply (rename-tac x v  $\sigma$  A)
  apply (case-tac  $\sigma$  (A, A), simp)
done

```

**nonterminal** *uexprs* and *svars*

**syntax**

```

-psubst :: ['α usubst, svars, uexprs]  $\Rightarrow$  logic
-subst :: ('a, 'α) uexpr  $\Rightarrow$  uexprs  $\Rightarrow$  svars  $\Rightarrow$  ('a, 'α) uexpr ((-['/-]) [999,999] 1000)
-uexprs :: [('a, 'α) uexpr, uexprs]  $\Rightarrow$  uexprs (-,/ -)
  :: ('a, 'α) uexpr  $\Rightarrow$  uexprs (-)
-svars :: [svar, svars]  $\Rightarrow$  svars (-,/ -)
  :: svar  $\Rightarrow$  svars (-)

```

**translations**

```

-subst P es vs  $\Rightarrow$  CONST subst (-psubst (CONST id) vs es) P
-psubst m (-svar x) v  $\Rightarrow$  CONST subst-upd m x v
-psubst m (-spvar x) v  $\Rightarrow$  CONST subst-upd m x v
-psubst m (-sinvar x) v  $\Rightarrow$  CONST subst-upd m (CONST ivar x) v
-psubst m (-soutvar x) v  $\Rightarrow$  CONST subst-upd m (CONST ovar x) v
-psubst m (-svars x xs) (-uexprs v vs)  $\Rightarrow$  -psubst (-psubst m x v) xs vs
-subst P e x  $\Leftarrow$  CONST subst (CONST subst-upd (CONST id) x e) P

```

**end**

## 5 Lifting expressions

**theory** *utp-lift*

**imports**

*utp-expr*

*utp-unrest*

**begin**

## 5.1 Lifting definitions

We define operators for converting an expression to and from a relational state space

**lift-definition** *lift-pre* :: ( $'a, 'α$ ) *uexpr*  $\Rightarrow$  ( $'a, 'α \times 'β$ ) *uexpr* ( $\lceil \cdot \rceil_{<}$ )  
**is**  $\lambda p (A, A'). p A$  .

**lift-definition** *drop-pre* :: ( $'a, 'α \times 'α$ ) *uexpr*  $\Rightarrow$  ( $'a, 'α$ ) *uexpr* ( $\lfloor \cdot \rfloor_{<}$ )  
**is**  $\lambda p A. p (A, A)$  .

**lift-definition** *lift-post* :: ( $'a, 'β$ ) *uexpr*  $\Rightarrow$  ( $'a, 'α \times 'β$ ) *uexpr* ( $\lceil \cdot \rceil_{>}$ )  
**is**  $\lambda p (A, A'). p A'$  .

**abbreviation** *drop-post* :: ( $'a, 'α \times 'α$ ) *uexpr*  $\Rightarrow$  ( $'a, 'α$ ) *uexpr* ( $\lfloor \cdot \rfloor_{>}$ )  
**where**  $\lfloor b \rfloor_{>} \equiv \lfloor b \rfloor_{<}$

**named-theorems** *ulift*

**method** *ulift-tac* = (*simp add: ulift*)?

## 5.2 Lifting laws

**lemma** *lift-pre-var* [*simp*]:  
 $\lceil \text{var } x \rceil_{<} = \$x$   
**by** (*simp add: iuvar-def, transfer, auto*)

**lemma** *lift-post-var* [*simp*]:  
 $\lceil \text{var } x \rceil_{>} = \$x'$   
**by** (*simp add: ouvar-def, transfer, auto*)

**lemma** *lift-pre-lit* [*simp*]:  
 $\lceil \ll v \gg \rceil_{<} = \ll v \gg$   
**by** (*transfer, auto*)

**lemma** *lift-post-lit* [*simp*]:  
 $\lceil \ll v \gg \rceil_{>} = \ll v \gg$   
**by** (*transfer, auto*)

**lemma** *lift-pre-uop* [*simp*]:  
 $\lceil \text{uop } f v \rceil_{<} = \text{uop } f \lceil v \rceil_{<}$   
**by** (*transfer, auto*)

**lemma** *lift-post-uop* [*simp*]:  
 $\lceil \text{uop } f v \rceil_{>} = \text{uop } f \lceil v \rceil_{>}$   
**by** (*transfer, auto*)

**lemma** *lift-pre-bop* [*simp*]:  
 $\lceil \text{bop } f u v \rceil_{<} = \text{bop } f \lceil u \rceil_{<} \lceil v \rceil_{<}$   
**by** (*transfer, auto*)

**lemma** *lift-post-bop* [*simp*]:  
 $\lceil \text{bop } f u v \rceil_{>} = \text{bop } f \lceil u \rceil_{>} \lceil v \rceil_{>}$   
**by** (*transfer, auto*)



```

lemma lift-pre-trop [simp]:
   $\lceil trop\ f\ u\ v\ w \rceil_< = trop\ f\ \lceil u \rceil_< \lceil v \rceil_< \lceil w \rceil_<$ 
by (transfer, auto)

```

```

lemma lift-post-trop [simp]:
   $\lceil trop\ f\ u\ v\ w \rceil_> = trop\ f\ \lceil u \rceil_> \lceil v \rceil_> \lceil w \rceil_>$ 
by (transfer, auto)

```

```

end

```

## 6 Alphabetised Predicates

```

theory utp-pred

```

```

imports

```

```

  utp-expr

```

```

  utp-subst

```

```

begin

```

An alphabetised predicate is simply a boolean valued expression

```

type-synonym 'α upred = (bool, 'α) uexpr

```

```

translations

```

```

  (type) 'α upred <= (type) (bool, 'α) uexpr

```

```

named-theorems upred-defs

```

### 6.1 Predicate syntax

We want to remain as close as possible to the mathematical UTP syntax, but also want to be conservative with HOL. For this reason we chose not to steal syntax from HOL, but where possible use polymorphism to allow selection of the appropriate operator (UTP vs. HOL). Thus we will first remove the standard syntax for conjunction, disjunction, and negation, and replace these with adhoc overloaded definitions.

```

no-notation

```

```

  conj (infixr  $\wedge$  35) and

```

```

  disj (infixr  $\vee$  30) and

```

```

  Not ( $\neg$  - [40] 40)

```

```

consts

```

```

  uttrue :: 'a (true)

```

```

  ufalse :: 'a (false)

```

```

  uconj :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a (infixr  $\wedge$  35)

```

```

  udisj :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a (infixr  $\vee$  30)

```

```

  uimpl :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a (infixr  $\Rightarrow$  25)

```

```

  uiff :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a (infixr  $\Leftrightarrow$  25)

```

```

  unot :: 'a  $\Rightarrow$  'a ( $\neg$  - [40] 40)

```

```

  uex :: ('a, 'α) uvar  $\Rightarrow$  'p  $\Rightarrow$  'p

```

```

  uall :: ('a, 'α) uvar  $\Rightarrow$  'p  $\Rightarrow$  'p

```

```

  ushEx :: ['a  $\Rightarrow$  'p]  $\Rightarrow$  'p

```

```

  ushAll :: ['a  $\Rightarrow$  'p]  $\Rightarrow$  'p

```

```

adhoc-overloading

```

```

  uconj conj and

```

```

  udisj disj and

```

*unot Not*

We set up two versions of each of the quantifiers: *uex* / *uall* and *ushEx* / *ushAll*. The former pair allows quantification of UTP variables, whilst the latter allows quantification of HOL variables. Both varieties will be needed at various points. Syntactically they are distinguished by a boldface quantifier for the HOL versions (achieved by the "bold" escape in Isabelle).

#### **syntax**

```
-uex    :: svar ⇒ logic ⇒ logic (∃ - - - [0, 10] 10)
-uall   :: svar ⇒ logic ⇒ logic (∀ - - - [0, 10] 10)
-ushEx  :: idt ⇒ logic ⇒ logic (∃ - - - [0, 10] 10)
-ushAll :: idt ⇒ logic ⇒ logic (∀ - - - [0, 10] 10)
-ushBEx :: idt ⇒ logic ⇒ logic ⇒ logic (∃ - ∈ - - - [0, 0, 10] 10)
-ushBAll :: idt ⇒ logic ⇒ logic ⇒ logic (∀ - ∈ - - - [0, 0, 10] 10)
```

#### **translations**

```
∃ &x · P => CONST uex x P
∃ $x · P == CONST uex (CONST in-var x) P
∃ $x' · P == CONST uex (CONST out-var x) P
∃ x · P == CONST uex x P
∀ &x · P => CONST uall x P
∀ $x · P == CONST uall (CONST in-var x) P
∀ $x' · P == CONST uall (CONST out-var x) P
∀ x · P == CONST uall x P
∃ x · P == CONST ushEx (λ x. P)
∃ x ∈ A · P => ∃ x · <<x>> ∈u A ∧ P
∀ x · P == CONST ushAll (λ x. P)
∀ x ∈ A · P => ∀ x · <<x>> ∈u A ⇒ P
```

## 6.2 Predicate operators

We chose to maximally reuse definitions and laws built into HOL. For this reason, when introducing the core operators we proceed by lifting operators from the polymorphic algebraic hierarchy of HOL. Thus the initial definitions take place in the context of type class instantiations. We first introduce our own class called *refine* that will add the refinement operator syntax to the HOL partial order class.

**class** *refine* = *order*

**abbreviation** *refineBy* :: 'a::*refine* ⇒ 'a ⇒ bool (**infix**  $\sqsubseteq$  50) **where**  
*P*  $\sqsubseteq$  *Q*  $\equiv$  *less-eq* *Q* *P*

Since, on the whole, lattices in UTP are the opposite way up to the standard definitions in HOL, we syntactically invert the lattice operators. This is the one exception where we do steal HOL syntax, but I think it makes sense for UTP.

**notation** *inf* (**infixl**  $\sqcup$  70)

**notation** *sup* (**infixl**  $\sqcap$  65)

**notation** *Inf* ( $\bigsqcup$  - [900] 900)

**notation** *Sup* ( $\bigsqcap$  - [900] 900)

**notation** *bot* ( $\top$ )

**notation** *top* ( $\perp$ )

We now introduce a partial order on expressions. Note this is more general than refinement

since it lifts an order on any expression type (not just Boolean). However, the Boolean version does equate to refinement.

```

instantiation uexpr :: (order, type) order
begin
  lift-definition less-eq-uexpr :: ('a, 'b) uexpr  $\Rightarrow$  ('a, 'b) uexpr  $\Rightarrow$  bool
  is  $\lambda P Q. (\forall A. P A \leq Q A)$  .
  definition less-uexpr :: ('a, 'b) uexpr  $\Rightarrow$  ('a, 'b) uexpr  $\Rightarrow$  bool
  where less-uexpr P Q = (P  $\leq$  Q  $\wedge \neg Q \leq P$ )
instance proof
  fix x y z :: ('a, 'b) uexpr
  show (x < y) = (x  $\leq$  y  $\wedge \neg y \leq x$ ) by (simp add: less-uexpr-def)
  show x  $\leq$  x by (transfer, auto)
  show x  $\leq$  y  $\implies$  y  $\leq$  z  $\implies$  x  $\leq$  z
    by (transfer, blast intro:order.trans)
  show x  $\leq$  y  $\implies$  y  $\leq$  x  $\implies$  x = y
    by (transfer, rule ext, simp add: eq-iff)
qed
end

```

We also trivially instantiate our refinement class

```

instance uexpr :: (order, type) refine ..

```

Next we introduce the lattice operators, which is again done by lifting.

```

instantiation uexpr :: (lattice, type) lattice
begin
  lift-definition sup-uexpr :: ('a, 'b) uexpr  $\Rightarrow$  ('a, 'b) uexpr  $\Rightarrow$  ('a, 'b) uexpr
  is  $\lambda P Q A. \text{sup } (P A) (Q A)$  .
  lift-definition inf-uexpr :: ('a, 'b) uexpr  $\Rightarrow$  ('a, 'b) uexpr  $\Rightarrow$  ('a, 'b) uexpr
  is  $\lambda P Q A. \text{inf } (P A) (Q A)$  .
instance
  by (intro-classes) (transfer, auto)+
end

```

```

instantiation uexpr :: (bounded-lattice, type) bounded-lattice
begin
  lift-definition bot-uexpr :: ('a, 'b) uexpr is  $\lambda A. \text{bot}$  .
  lift-definition top-uexpr :: ('a, 'b) uexpr is  $\lambda A. \text{top}$  .
instance
  by (intro-classes) (transfer, auto)+
end

```

Finally we show that predicates form a Boolean algebra (under the lattice operators).

```

instance uexpr :: (boolean-algebra, type) boolean-algebra
  by (intro-classes, simp-all add: uexpr-defs)
    (transfer, simp add: sup-inf-distrib1 inf-compl-bot sup-compl-top diff-eq)+

instantiation uexpr :: (complete-lattice, type) complete-lattice
begin
  lift-definition Inf-uexpr :: ('a, 'b) uexpr set  $\Rightarrow$  ('a, 'b) uexpr
  is  $\lambda PS A. \text{INF } P:PS. P(A)$  .
  lift-definition Sup-uexpr :: ('a, 'b) uexpr set  $\Rightarrow$  ('a, 'b) uexpr
  is  $\lambda PS A. \text{SUP } P:PS. P(A)$  .
instance
  by (intro-classes)

```

(*transfer*, *auto intro*: *INF-lower SUP-upper simp add*: *INF-greatest SUP-least*)+  
**end**

With the lattice operators defined, we can proceed to give definitions for the standard predicate operators in terms of them.

**definition** *true-upred* = (*top* :: 'α upred)  
**definition** *false-upred* = (*bot* :: 'α upred)  
**definition** *conj-upred* = (*inf* :: 'α upred ⇒ 'α upred ⇒ 'α upred)  
**definition** *disj-upred* = (*sup* :: 'α upred ⇒ 'α upred ⇒ 'α upred)  
**definition** *not-upred* = (*uminus* :: 'α upred ⇒ 'α upred)  
**definition** *diff-upred* = (*minus* :: 'α upred ⇒ 'α upred ⇒ 'α upred)

We also define the other predicate operators

**lift-definition** *impl*::'α upred ⇒ 'α upred ⇒ 'α upred **is**  
λ P Q A. P A ⟶ Q A .

**lift-definition** *iff-upred* :: 'α upred ⇒ 'α upred ⇒ 'α upred **is**  
λ P Q A. P A ⟷ Q A .

**lift-definition** *ex* :: ('a, 'α) uvar ⇒ 'α upred ⇒ 'α upred **is**  
λ x P b. (∃ v. P(var-assign x v b)) .

**lift-definition** *shEx* :: ['β ⇒ 'α upred] ⇒ 'α upred **is**  
λ P A. ∃ x. (P x) A .

**lift-definition** *all* :: ('a, 'α) uvar ⇒ 'α upred ⇒ 'α upred **is**  
λ x P b. (∀ v. P(var-assign x v b)) .

**lift-definition** *shAll* :: ['β ⇒ 'α upred] ⇒ 'α upred **is**  
λ P A. ∀ x. (P x) A .

We have to add a u subscript to the closure operator as I don't want to override the syntax for HOL lists (we'll be using them later).

**lift-definition** *closure*::'α upred ⇒ 'α upred ([·]<sub>u</sub>) **is**  
λ P A. ∀ A'. P A' .

**lift-definition** *taut* :: 'α upred ⇒ bool (·<sup>⋄</sup>)  
**is** λ P. ∀ A. P A .

**ad hoc-overloading**

*utru* *true-upred* **and**  
*ufalse* *false-upred* **and**  
*unot* *not-upred* **and**  
*uconj* *conj-upred* **and**  
*udisj* *disj-upred* **and**  
*uimpl* *impl* **and**  
*uiff* *iff-upred* **and**  
*uex* *ex* **and**  
*uall* *all* **and**  
*ushEx* *shEx* **and**  
*ushAll* *shAll*

### 6.3 Proof support

We set up a simple tactic with the help of *Eisbach* that applies predicate definitions, applies the transfer method to drop down to the core definitions, applies extensionality (to remove the resulting lambda term) and the applies auto. This simple tactic will suffice to prove most of the standard laws.

```
method pred-tac = ((simp only: upred-defs)? ; (transfer, (rule-tac ext)?, auto simp add: fun-eq-iff)?)
```

```
declare true-upred-def [upred-defs]
declare false-upred-def [upred-defs]
declare conj-upred-def [upred-defs]
declare disj-upred-def [upred-defs]
declare not-upred-def [upred-defs]
declare diff-upred-def [upred-defs]
declare subst-upd-uvar-def [upred-defs]
declare subst-upd-dvar-def [upred-defs]
declare uexpr-defs [upred-defs]
declare usubst-rel-lift-def [upred-defs]
declare usubst-rel-drop-def [upred-defs]
```

```
lemma true-alt-def: true = «True»
  by (pred-tac)
```

```
lemma false-alt-def: false = «False»
  by (pred-tac)
```

### 6.4 Unrestriction Laws

```
lemma unrest-true [unrest]: x # true
  by (pred-tac)
```

```
lemma unrest-false [unrest]: x # false
  by (pred-tac)
```

```
lemma unrest-conj [unrest]: [ x # P; x # Q ] ==> x # P ∧ Q
  by (pred-tac)
```

```
lemma unrest-disj [unrest]: [ x # P; x # Q ] ==> x # P ∨ Q
  by (pred-tac)
```

```
lemma unrest-impl [unrest]: [ x # P; x # Q ] ==> x # P ⇒ Q
  by (pred-tac)
```

```
lemma unrest-iff [unrest]: [ x # P; x # Q ] ==> x # P ⇔ Q
  by (pred-tac)
```

```
lemma unrest-not [unrest]: x # P ==> x # (¬ P)
  by (pred-tac)
```

```
lemma unrest-ex-same [unrest]:
  uvar x ==> x # (∃ x • P)
  by (pred-tac, auto simp add: comp-def)
```

```
lemma unrest-ex-diff [unrest]:
  assumes x ⋈ y y # P
```

**shows**  $y \# (\exists x \cdot P)$   
**using** *assms*  
**by** (*pred-tac*, *auto simp add: uvar-indep-def*)

**lemma** *unrest-all-same* [*unrest*]:  
 $uvar\ x \implies x \# (\forall x \cdot P)$   
**by** (*pred-tac*, *auto simp add: comp-def*)

**lemma** *unrest-all-diff* [*unrest*]:  
**assumes**  $x \bowtie y \ y \# P$   
**shows**  $y \# (\forall x \cdot P)$   
**using** *assms*  
**by** (*pred-tac*, *auto simp add: uvar-indep-def*)

**lemma** *unrest-shEx* [*unrest*]:  
**assumes**  $\bigwedge y. x \# P(y)$   
**shows**  $x \# (\exists y \cdot P(y))$   
**using** *assms* **by** *pred-tac*

**lemma** *unrest-shAll* [*unrest*]:  
**assumes**  $\bigwedge y. x \# P(y)$   
**shows**  $x \# (\forall y \cdot P(y))$   
**using** *assms* **by** *pred-tac*

**lemma** *unrest-closure* [*unrest*]:  
 $x \# [P]_u$   
**by** *pred-tac*

## 6.5 Substitution Laws

**lemma** *subst-true* [*usubst*]:  $\sigma \dagger true = true$   
**by** (*pred-tac*)

**lemma** *subst-false* [*usubst*]:  $\sigma \dagger false = false$   
**by** (*pred-tac*)

**lemma** *subst-not* [*usubst*]:  $\sigma \dagger (\neg P) = (\neg \sigma \dagger P)$   
**by** (*pred-tac*)

**lemma** *subst-impl* [*usubst*]:  $\sigma \dagger (P \Rightarrow Q) = (\sigma \dagger P \Rightarrow \sigma \dagger Q)$   
**by** (*pred-tac*)

**lemma** *subst-iff* [*usubst*]:  $\sigma \dagger (P \Leftrightarrow Q) = (\sigma \dagger P \Leftrightarrow \sigma \dagger Q)$   
**by** (*pred-tac*)

**lemma** *subst-disj* [*usubst*]:  $\sigma \dagger (P \vee Q) = (\sigma \dagger P \vee \sigma \dagger Q)$   
**by** (*pred-tac*)

**lemma** *subst-conj* [*usubst*]:  $\sigma \dagger (P \wedge Q) = (\sigma \dagger P \wedge \sigma \dagger Q)$   
**by** (*pred-tac*)

**lemma** *subst-closure* [*usubst*]:  $\sigma \dagger [P]_u = [P]_u$   
**by** (*pred-tac*)

**lemma** *subst-shEx* [*usubst*]:  $\sigma \dagger (\exists x \cdot P(x)) = (\exists x \cdot \sigma \dagger P(x))$   
**by** *pred-tac*

**lemma** *subst-shAll* [*usubst*]:  $\sigma \uparrow (\forall x \cdot P(x)) = (\forall x \cdot \sigma \uparrow P(x))$   
**by** *pred-tac*

TODO: Generalise the quantifier substitution laws to n-ary substitutions

**lemma** *subst-ex-same* [*usubst*]:  
**assumes** *uvar x*  
**shows**  $(\exists x \cdot P)[v/x] = (\exists x \cdot P)$   
**by** (*simp add: assms id-subst subst-unrest unrest-ex-same*)

**lemma** *subst-ex-indep* [*usubst*]:  
**assumes**  $x \bowtie y \ y \nmid v$   
**shows**  $(\exists y \cdot P)[v/x] = (\exists y \cdot P[v/x])$   
**using** *assms*  
**by** (*pred-tac, auto simp add: uvar-indep-def*)

**lemma** *subst-all-same* [*usubst*]:  
**assumes** *uvar x*  
**shows**  $(\forall x \cdot P)[v/x] = (\forall x \cdot P)$   
**by** (*simp add: assms id-subst subst-unrest unrest-all-same*)

**lemma** *subst-all-indep* [*usubst*]:  
**assumes**  $x \bowtie y \ y \nmid v$   
**shows**  $(\forall y \cdot P)[v/x] = (\forall y \cdot P[v/x])$   
**using** *assms*  
**by** (*pred-tac, auto simp add: uvar-indep-def*)

## 6.6 Predicate Laws

Showing that predicates form a Boolean Algebra (under the predicate operators) gives us many useful laws.

**interpretation** *boolean-algebra diff-upred not-upred conj-upred op ≤ op < disj-upred false-upred true-upred*  
**by** (*unfold-locales, pred-tac+*)

**lemma** *refBy-order*:  $P \sqsubseteq Q = 'Q \Rightarrow P'$   
**by** (*transfer, auto*)

**lemma** *conj-idem* [*simp*]:  $((P::'\alpha \text{ upred}) \wedge P) = P$   
**by** *pred-tac*

**lemma** *disj-idem* [*simp*]:  $((P::'\alpha \text{ upred}) \vee P) = P$   
**by** *pred-tac*

**lemma** *conj-comm*:  $((P::'\alpha \text{ upred}) \wedge Q) = (Q \wedge P)$   
**by** *pred-tac*

**lemma** *disj-comm*:  $((P::'\alpha \text{ upred}) \vee Q) = (Q \vee P)$   
**by** *pred-tac*

**lemma** *conj-subst*:  $P = R \implies ((P::'\alpha \text{ upred}) \wedge Q) = (R \wedge Q)$   
**by** *pred-tac*

**lemma** *disj-subst*:  $P = R \implies ((P::'\alpha \text{ upred}) \vee Q) = (R \vee Q)$   
**by** *pred-tac*

**lemma** *conj-assoc*: $((P::'\alpha \text{ upred}) \wedge Q) \wedge S) = (P \wedge (Q \wedge S))$   
**by** *pred-tac*

**lemma** *disj-assoc*: $((P::'\alpha \text{ upred}) \vee Q) \vee S) = (P \vee (Q \vee S))$   
**by** *pred-tac*

**lemma** *conj-disj-abs*: $((P::'\alpha \text{ upred}) \wedge (P \vee Q)) = P$   
**by** *pred-tac*

**lemma** *disj-conj-abs*: $((P::'\alpha \text{ upred}) \vee (P \wedge Q)) = P$   
**by** *pred-tac*

**lemma** *conj-disj-distr*: $((P::'\alpha \text{ upred}) \wedge (Q \vee R)) = ((P \wedge Q) \vee (P \wedge R))$   
**by** *pred-tac*

**lemma** *disj-conj-distr*: $((P::'\alpha \text{ upred}) \vee (Q \wedge R)) = ((P \vee Q) \wedge (P \vee R))$   
**by** *pred-tac*

**lemma** *true-disj-zero* [*simp*]:  
 $(P \vee \text{true}) = \text{true} \quad (\text{true} \vee P) = \text{true}$   
**by** (*pred-tac*) (*pred-tac*)

**lemma** *true-conj-zero* [*simp*]:  
 $(P \wedge \text{false}) = \text{false} \quad (\text{false} \wedge P) = \text{false}$   
**by** (*pred-tac*) (*pred-tac*)

**lemma** *imp-vacuous* [*simp*]:  $(\text{false} \Rightarrow u) = \text{true}$   
**by** *pred-tac*

**lemma** *imp-true* [*simp*]:  $(p \Rightarrow \text{true}) = \text{true}$   
**by** *pred-tac*

**lemma** *true-imp* [*simp*]:  $(\text{true} \Rightarrow p) = p$   
**by** *pred-tac*

**lemma** *p-and-not-p* [*simp*]:  $(P \wedge \neg P) = \text{false}$   
**by** *pred-tac*

**lemma** *p-or-not-p* [*simp*]:  $(P \vee \neg P) = \text{true}$   
**by** *pred-tac*

**lemma** *p-imp-p* [*simp*]:  $(P \Rightarrow P) = \text{true}$   
**by** *pred-tac*

**lemma** *p-iff-p* [*simp*]:  $(P \Leftrightarrow P) = \text{true}$   
**by** *pred-tac*

**lemma** *p-imp-false* [*simp*]:  $(P \Rightarrow \text{false}) = (\neg P)$   
**by** *pred-tac*

**lemma** *not-conj-deMorgans* [*simp*]:  $(\neg ((P::'\alpha \text{ upred}) \wedge Q)) = ((\neg P) \vee (\neg Q))$   
**by** *pred-tac*

**lemma** *not-disj-deMorgans* [*simp*]:  $(\neg ((P::'\alpha \text{ upred}) \vee Q)) = ((\neg P) \wedge (\neg Q))$   
**by** *pred-tac*



**lemma** *conj-disj-not-abs* [simp]:  $((P::'\alpha \text{ upred}) \wedge ((\neg P) \vee Q)) = (P \wedge Q)$   
 by (pred-tac)

**lemma** *double-negation* [simp]:  $(\neg \neg (P::'\alpha \text{ upred})) = P$   
 by (pred-tac)

**lemma** *true-not-false* [simp]:  $\text{true} \neq \text{false} \text{ false} \neq \text{true}$   
 by pred-tac+

**lemma** *closure-conj-distr*:  $([P]_u \wedge [Q]_u) = [P \wedge Q]_u$   
 by pred-tac

**lemma** *closure-imp-distr*:  $'[P \Rightarrow Q]_u \Rightarrow [P]_u \Rightarrow [Q]_u'$   
 by pred-tac

**lemma** *true-iff* [simp]:  $(P \Leftrightarrow \text{true}) = P$   
 by pred-tac

**lemma** *impl-alt-def*:  $(P \Rightarrow Q) = (\neg P \vee Q)$   
 by pred-tac

**lemma** *eq-upred-refl* [simp]:  $(x =_u x) = \text{true}$   
 by pred-tac

**lemma** *eq-upred-sym*:  $(x =_u y) = (y =_u x)$   
 by pred-tac

**lemma** *conj-eq-in-var-subst*:  
 fixes  $x :: ('a, 'α) \text{ uvar}$   
 assumes  $\text{uvar } x$   
 shows  $(P \wedge \$x =_u v) = (P \llbracket v / \$x \rrbracket \wedge \$x =_u v)$   
 using *assms*  
 by (pred-tac, (metis semi-uvar.var-update-eta uvar-semi-var)+)

**lemma** *conj-eq-out-var-subst*:  
 fixes  $x :: ('a, 'α) \text{ uvar}$   
 assumes  $\text{uvar } x$   
 shows  $(P \wedge \$x' =_u v) = (P \llbracket v / \$x' \rrbracket \wedge \$x' =_u v)$   
 using *assms*  
 by (pred-tac, (metis semi-uvar.var-update-eta uvar-semi-var)+)

**lemma** *shEx-bool* [simp]:  $\text{shEx } P = (P \text{ True} \vee P \text{ False})$   
 by (pred-tac, metis (full-types))

**lemma** *shAll-bool* [simp]:  $\text{shAll } P = (P \text{ True} \wedge P \text{ False})$   
 by (pred-tac, metis (full-types))

**lemma** *upred-eq-true* [simp]:  $(p =_u \text{true}) = p$   
 by pred-tac

**lemma** *upred-eq-false* [simp]:  $(p =_u \text{false}) = (\neg p)$   
 by pred-tac

**lemma** *one-point*:

**assumes**  $uvar\ x\ x \# v$   
**shows**  $(\exists\ x \cdot (P \wedge (var\ x =_u v))) = P[v/x]$   
**using** *assms*  
**by** (*simp add: upred-defs, transfer, auto*)

**lemma** *uvar-assign-exists*:  
 $uvar\ x \implies \exists\ v. b = var\text{-}assign\ x\ v\ b$   
**by** (*rule-tac x=var-lookup x b in exI, simp*)

**lemma** *uvar-obtain-assign*:  
**assumes**  $uvar\ x$   
**obtains**  $v$  **where**  $b = var\text{-}assign\ x\ v\ b$   
**using** *assms*  
**by** (*drule-tac uvar-assign-exists[of - b], auto*)

**lemma** *taut-split-subst*:  
**assumes**  $uvar\ x$   
**shows**  $\langle P \rangle \longleftrightarrow (\forall\ v. \langle P[v/x] \rangle)$   
**using** *assms*  
**by** (*pred-tac, metis uvar-assign-exists*)

**lemma** *eq-split*:  
**assumes**  $\langle P \Rightarrow Q \rangle \langle Q \Rightarrow P \rangle$   
**shows**  $P = Q$   
**using** *assms*  
**by** (*pred-tac*)

**lemma** *subst-bool-split*:  
**assumes**  $uvar\ x$   
**shows**  $\langle P \rangle = \langle (P[v/false/x] \wedge P[v/true/x]) \rangle$   
**proof** –  
**from** *assms* **have**  $\langle P \rangle = (\forall\ v. \langle P[v/x] \rangle)$   
**by** (*subst taut-split-subst[of x], auto*)  
**also have**  $\dots = (\langle P[v/True/x] \rangle \wedge \langle P[v/False/x] \rangle)$   
**by** (*metis (mono-tags, lifting)*)  
**also have**  $\dots = \langle (P[v/false/x] \wedge P[v/true/x]) \rangle$   
**by** (*pred-tac*)  
**finally show** *?thesis* .  
**qed**

**lemma** *taut-iff-eq*:  
 $\langle P \Leftrightarrow Q \rangle \longleftrightarrow (P = Q)$   
**by** *pred-tac*

**lemma** *subst-eq-replace*:  
**fixes**  $x :: ('a, 'a) uvar$   
**shows**  $(p[u/x] \wedge u =_u v) = (p[v/x] \wedge u =_u v)$   
**by** *pred-tac*

**lemma** *exists-twice*:  $uvar\ x \implies (\exists\ x \cdot \exists\ x \cdot P) = (\exists\ x \cdot P)$   
**by** (*pred-tac, auto simp add: comp-def*)

**lemma** *all-twice*:  $uvar\ x \implies (\forall\ x \cdot \forall\ x \cdot P) = (\forall\ x \cdot P)$   
**by** (*pred-tac, auto simp add: comp-def*)

```

lemma ex-commute:
  assumes  $x \bowtie y$ 
  shows  $(\exists x \cdot \exists y \cdot P) = (\exists y \cdot \exists x \cdot P)$ 
  using assms
  by (pred-tac, auto simp add: wvar-indep-def)

```

```

lemma all-commute:
  assumes  $x \bowtie y$ 
  shows  $(\forall x \cdot \forall y \cdot P) = (\forall y \cdot \forall x \cdot P)$ 
  using assms
  by (pred-tac, auto simp add: wvar-indep-def)

```

## 6.7 Quantifier lifting

**named-theorems** *uquant-lift*

```

lemma shEx-lift-conj-1 [uquant-lift]:
   $((\exists x \cdot P(x)) \wedge Q) = (\exists x \cdot P(x) \wedge Q)$ 
  by pred-tac

```

```

lemma shEx-lift-conj-2 [uquant-lift]:
   $(P \wedge (\exists x \cdot Q(x))) = (\exists x \cdot P \wedge Q(x))$ 
  by pred-tac

```

**end**

## 7 Alphabetised relations

**theory** *utp-rel*

**imports**

*utp-pred*

**begin**

**default-sort** *type*

**named-theorems** *urel-defs*

**consts**

*useq* ::  $'a \Rightarrow 'b \Rightarrow 'c$  (**infixr** ;; 15)

*uskip* ::  $'a$  (*II*)

**definition** *in $\alpha$*  ::  $(' \alpha, ' \alpha \times ' \beta)$  *wvar* **where**

*in $\alpha$*  =  $\langle \mid \text{var-lookup} = \text{fst}, \text{var-update} = \lambda f (A, A'). (f A, A') \mid \rangle$

**definition** *out $\alpha$*  ::  $(' \beta, ' \alpha \times ' \beta)$  *wvar* **where**

*out $\alpha$*  =  $\langle \mid \text{var-lookup} = \text{snd}, \text{var-update} = \lambda f (A, A'). (A, f A') \mid \rangle$

**declare** *in $\alpha$ -def* [*urel-defs*]

**declare** *out $\alpha$ -def* [*urel-defs*]

**type-synonym**  $' \alpha$  *condition* =  $' \alpha$  *upred*

**type-synonym**  $(' \alpha, ' \beta)$  *relation* =  $(' \alpha \times ' \beta)$  *upred*

**type-synonym**  $' \alpha$  *hrelation* =  $(' \alpha \times ' \alpha)$  *upred*

**definition** *cond*:: $( ' \alpha, ' \beta)$  *relation*  $\Rightarrow$   $( ' \alpha, ' \beta)$  *relation*  $\Rightarrow$   $( ' \alpha, ' \beta)$  *relation*  $\Rightarrow$   $( ' \alpha, ' \beta)$  *relation*

((3- < - > / -) [14,0,15] 14)

**where**  $(P \triangleleft b \triangleright Q) \equiv (b \wedge P) \vee ((\neg b) \wedge Q)$

**abbreviation**  $rcond :: ('\alpha, '\beta) \text{ relation} \Rightarrow '\alpha \text{ condition} \Rightarrow (' \alpha, '\beta) \text{ relation} \Rightarrow (' \alpha, '\beta) \text{ relation}$   
((3- < - > / -) [14,0,15] 14)

**where**  $(P \triangleleft b \triangleright_r Q) \equiv (P \triangleleft [b]_{<} \triangleright Q)$

**lift-definition**  $segr :: ((' \alpha \times ' \beta) \text{ upred}) \Rightarrow ((' \beta \times ' \gamma) \text{ upred}) \Rightarrow (' \alpha \times ' \gamma) \text{ upred}$   
**is**  $\lambda P Q r. r : (\{p. P p\} O \{q. Q q\})$  .

**lift-definition**  $conv-r :: ('a, ' \alpha \times ' \beta) \text{ uexpr} \Rightarrow ('a, ' \beta \times ' \alpha) \text{ uexpr} \text{ (- [999] 999)}$   
**is**  $\lambda e (b1, b2). e (b2, b1)$  .

**lift-definition**  $assigns-r :: ' \alpha \text{ usubst} \Rightarrow ' \alpha \text{ hrelation } (\langle - \rangle_a)$   
**is**  $\lambda \sigma (A, A'). A' = \sigma(A)$  .

**definition**  $skip-r :: ' \alpha \text{ hrelation}$  **where**  
 $skip-r = assigns-r \text{ id}$

**abbreviation**  $assign-r :: ('t, ' \alpha) \text{ uvar} \Rightarrow ('t, ' \alpha) \text{ uexpr} \Rightarrow ' \alpha \text{ hrelation}$   
**where**  $assign-r x v \equiv assigns-r [x \mapsto_s v]$

**abbreviation**  $assign-2-r ::$   
 $( 't1, ' \alpha) \text{ uvar} \Rightarrow ('t2, ' \alpha) \text{ uvar} \Rightarrow ('t1, ' \alpha) \text{ uexpr} \Rightarrow ('t2, ' \alpha) \text{ uexpr} \Rightarrow ' \alpha \text{ hrelation}$   
**where**  $assign-2-r x y u v \equiv assigns-r [x \mapsto_s u, y \mapsto_s v]$

**nonterminal**  
 $id\text{-list}$  **and**  $uexpr\text{-list}$

**syntax**  
 $-id\text{-unit} \quad :: id \Rightarrow id\text{-list } (-)$   
 $-id\text{-list} \quad :: id \Rightarrow id\text{-list} \Rightarrow id\text{-list } (-, / -)$   
 $-uexpr\text{-unit} :: ('a, ' \alpha) \text{ uexpr} \Rightarrow uexpr\text{-list } (- [40] 40)$   
 $-uexpr\text{-list} :: ('a, ' \alpha) \text{ uexpr} \Rightarrow uexpr\text{-list} \Rightarrow uexpr\text{-list } (-, / - [40,40] 40)$   
 $-assignment :: svars \Rightarrow uexprs \Rightarrow ' \alpha \text{ hrelation } (\text{infixr} := 35)$   
 $-mk\text{-usubst} :: svars \Rightarrow uexpr\text{-list} \Rightarrow ' \alpha \text{ usubst}$

**translations**  
 $-mk\text{-usubst } (-svar x) (-uexpr\text{-unit } v) == [x \mapsto_s v]$   
 $-mk\text{-usubst } (-id\text{-list } x \text{ xs}) (-uexpr\text{-list } v \text{ vs}) == (-mk\text{-usubst } xs \text{ vs})(x \mapsto_s v)$   
 $-assignment \text{ xs vs} \Rightarrow CONST \text{ assigns-r } (-psubst (CONST \text{ id}) \text{ xs vs})$   
 $x := v \leq CONST \text{ assign-r } x v$   
 $x, y := u, v \leq CONST \text{ assign-2-r } x y u v$

**ad hoc-overloading**  
 $useq \text{ seqr}$  **and**  
 $uskip \text{ skip-r}$

**method**  $rel\text{-tac} = ((simp \text{ add: upred-defs urel-defs})?, (transfer, (rule\text{-tac ext})?, auto \text{ simp add: urel-defs relcomp-unfold fun-eq-iff})?)$

A test is like a precondition, except that it identifies to the postcondition. It forms the basis for Kleene Algebra with Tests (KAT).

**definition**  $lift\text{-test} :: ' \alpha \text{ condition} \Rightarrow ' \alpha \text{ hrelation } (\lceil - \rceil_t)$   
**where**  $\lceil b \rceil_t = (\lceil b \rceil_{<} \wedge II)$

**declare** *cond-def* [*urel-defs*]  
**declare** *skip-r-def* [*urel-defs*]

We implement a poor man's version of alphabet restriction that hides a variable within a relation

**definition** *rel-var-res* ::  $'\alpha \text{ hrelation} \Rightarrow ('a, '\alpha) \text{ uvar} \Rightarrow '\alpha \text{ hrelation}$  (**infix**  $\vdash_\alpha$  80) **where**  
 $P \vdash_\alpha x = (\exists \$x \cdot \exists \$x' \cdot P)$

**declare** *rel-var-res-def* [*urel-defs*]

## 7.1 Unrestriction Laws

**lemma** *unrest-iuvar* [*unrest*]:  $\text{uvar } x \Longrightarrow \text{out}\alpha \# \$x$   
**by** (*simp add: out $\alpha$ -def iuvar-def, transfer, auto*)

**lemma** *unrest-ouvar* [*unrest*]:  $\text{uvar } x \Longrightarrow \text{in}\alpha \# \$x'$   
**by** (*simp add: in $\alpha$ -def ouvar-def, transfer, auto*)

**lemma** *unrest-in $\alpha$ -var* [*unrest*]:  
 $\llbracket \text{uvar } x; \text{in}\alpha \# P \rrbracket \Longrightarrow \$x \# P$   
**by** (*pred-tac, simp add: in $\alpha$ -def*)

**lemma** *unrest-out $\alpha$ -var* [*unrest*]:  
 $\llbracket \text{uvar } x; \text{out}\alpha \# P \rrbracket \Longrightarrow \$x' \# P$   
**by** (*pred-tac, simp add: out $\alpha$ -def*)

**lemma** *in $\alpha$ -uvar* [*simp*]:  $\text{uvar in}\alpha$   
**by** (*unfold-locales, auto simp add: in $\alpha$ -def*)

**lemma** *out $\alpha$ -uvar* [*simp*]:  $\text{uvar out}\alpha$   
**by** (*unfold-locales, auto simp add: out $\alpha$ -def*)

**lemma** *unrest-pre-out $\alpha$*  [*unrest*]:  $\text{out}\alpha \# [b]_<$   
**by** (*transfer, auto simp add: out $\alpha$ -def*)

**lemma** *unrest-post-in $\alpha$*  [*unrest*]:  $\text{in}\alpha \# [b]_>$   
**by** (*transfer, auto simp add: in $\alpha$ -def*)

**lemma** *unrest-pre-in-var* [*unrest*]:  
 $x \# p1 \Longrightarrow \$x \# [p1]_<$   
**by** (*transfer, simp*)

**lemma** *unrest-post-out-var* [*unrest*]:  
 $x \# p1 \Longrightarrow \$x' \# [p1]_>$   
**by** (*transfer, simp*)

**lemma** *unrest-convr-out $\alpha$*  [*unrest*]:  
 $\text{in}\alpha \# p \Longrightarrow \text{out}\alpha \# p^-$   
**by** (*transfer, auto simp add: in $\alpha$ -def out $\alpha$ -def*)

**lemma** *unrest-convr-in $\alpha$*  [*unrest*]:  
 $\text{out}\alpha \# p \Longrightarrow \text{in}\alpha \# p^-$   
**by** (*transfer, auto simp add: in $\alpha$ -def out $\alpha$ -def*)

**lemma** *unrest-in-rel-var-res* [*unrest*]:  
 $\text{uvar } x \Longrightarrow \$x \# (P \vdash_\alpha x)$

by (simp add: rel-var-res-def unrest)

**lemma** *unrest-out-rel-var-res* [unrest]:  
 $uvar\ x \Longrightarrow \$x' \# (P \vdash_{\alpha} x)$   
 by (simp add: rel-var-res-def unrest)

## 7.2 Substitution laws

It should be possible to substantially generalise the following two laws

**lemma** *usubst-seq-left* [usubst]:  
 $\llbracket uvar\ x; out_{\alpha} \# v \rrbracket \Longrightarrow (P ;; Q) \llbracket v / \$x \rrbracket = ((P \llbracket v / \$x \rrbracket) ;; Q)$   
 apply (rel-tac)  
 apply (rename-tac x v P Q a y ya)  
 apply (rule-tac x=ya in exI)  
 apply (simp)  
 apply (drule-tac x=a in spec)  
 apply (drule-tac x=y in spec)  
 apply (drule-tac x= $\lambda$ -.ya in spec)  
 apply (simp)  
 apply (rename-tac x v P Q a ba y)  
 apply (rule-tac x=y in exI)  
 apply (drule-tac x=a in spec)  
 apply (drule-tac x=y in spec)  
 apply (drule-tac x= $\lambda$ -.ba in spec)  
 apply (simp)  
 done

**lemma** *usubst-seq-right* [usubst]:  
 $\llbracket uvar\ x; in_{\alpha} \# v \rrbracket \Longrightarrow (P ;; Q) \llbracket v / \$x' \rrbracket = (P ;; Q \llbracket v / \$x' \rrbracket)$   
 apply (rel-tac)  
 apply (rename-tac x v P Q b xa ya)  
 apply (rule-tac x=ya in exI)  
 apply (simp)  
 apply (drule-tac x=ya in spec)  
 apply (drule-tac x=b in spec)  
 apply (drule-tac x= $\lambda$ -.xa in spec)  
 apply (simp)  
 apply (rename-tac x v P Q b aa y)  
 apply (rule-tac x=y in exI)  
 apply (simp)  
 apply (drule-tac x=aa in spec)  
 apply (drule-tac x=b in spec)  
 apply (drule-tac x= $\lambda$ -.y in spec)  
 apply (simp)  
 done

**lemma** *usubst-cond* [usubst]:  
 $\sigma \dagger (P \triangleleft b \triangleright Q) = (\sigma \dagger P \triangleleft \sigma \dagger b \triangleright \sigma \dagger Q)$   
 by rel-tac

**lemma** *subst-skip-r* [usubst]:  
 fixes x :: ('a, 'α) uvar  
 shows  $H \llbracket [v]_{<} / \$x \rrbracket = (x := v)$   
 by (rel-tac)

### 7.3 Lifting laws

**lemma** *lift-pre-conj* [*ulift*]:  $\lceil p \wedge q \rceil_{<} = (\lceil p \rceil_{<} \wedge \lceil q \rceil_{<})$   
**by** (*pred-tac*)

**lemma** *lift-post-conj* [*ulift*]:  $\lceil p \wedge q \rceil_{>} = (\lceil p \rceil_{>} \wedge \lceil q \rceil_{>})$   
**by** (*pred-tac*)

**lemma** *lift-pre-disj* [*ulift*]:  $\lceil p \vee q \rceil_{<} = (\lceil p \rceil_{<} \vee \lceil q \rceil_{<})$   
**by** (*pred-tac*)

**lemma** *lift-post-disj* [*ulift*]:  $\lceil p \vee q \rceil_{>} = (\lceil p \rceil_{>} \vee \lceil q \rceil_{>})$   
**by** (*pred-tac*)

**lemma** *lift-pre-not* [*ulift*]:  $\lceil \neg p \rceil_{<} = (\neg \lceil p \rceil_{<})$   
**by** (*pred-tac*)

**lemma** *lift-post-not* [*ulift*]:  $\lceil \neg p \rceil_{>} = (\neg \lceil p \rceil_{>})$   
**by** (*pred-tac*)

### 7.4 Relation laws

Homogeneous relations form a quantale

**abbreviation** *truer* :: ' $\alpha$  hrelation (*true<sub>h</sub>*) **where**  
*truer*  $\equiv$  *true*

**abbreviation** *false<sub>r</sub>* :: ' $\alpha$  hrelation (*false<sub>h</sub>*) **where**  
*false<sub>r</sub>*  $\equiv$  *false*

**interpretation** *upred-quantale*: *unital-quantale-plus*

**where** *times* = *seqr* **and** *one* = *skip-r* **and** *Sup* = *Sup* **and** *Inf* = *Inf* **and** *inf* = *inf* **and** *less-eq* =  
*less-eq* **and** *less* = *less*

**and** *sup* = *sup* **and** *bot* = *bot* **and** *top* = *top*

**apply** (*unfold-locales*)

**apply** (*rel-tac*)

**apply** (*unfold SUP-def*, *transfer*, *auto*)

**apply** (*unfold SUP-def*, *transfer*, *auto*)

**apply** (*unfold INF-def*, *transfer*, *auto*)

**apply** (*unfold INF-def*, *transfer*, *auto*)

**apply** (*rel-tac*)

**apply** (*rel-tac*)

**done**

**lemma** *drop-pre-inv* [*simp*]:  $\llbracket \text{out}\alpha \# p \rrbracket \implies \lceil \lceil p \rceil_{<} \rceil_{<} = p$

**apply** (*pred-tac*, *auto simp add: out $\alpha$ -def*)

**apply** (*rename-tac p a b*)

**apply** (*drule-tac x=a in spec*)

**apply** (*drule-tac x=b in spec*)

**apply** (*drule-tac x= $\lambda$  -. a in spec*)

**apply** (*simp*)

**done**

**abbreviation** *ustar* :: ' $\alpha$  hrelation  $\Rightarrow$  ' $\alpha$  hrelation (*-<sup>\*</sup><sub>u</sub>* [999] 999) **where**  
*P<sup>\*</sup><sub>u</sub>*  $\equiv$  *unital-quantale.qstar II op ;; Sup P*

**definition** *while* :: ' $\alpha$  condition  $\Rightarrow$  ' $\alpha$  hrelation  $\Rightarrow$  ' $\alpha$  hrelation (*while* - *do* - *od*) **where**  
*while*  $b$  *do*  $P$  *od* =  $((\lceil b \rceil_{<} \wedge P)^*_{\mathbf{u}} \wedge (\neg \lceil b \rceil_{>}))$

**declare** *while-def* [*urel-defs*]

**lemma** *cond-idem*:  $(P \triangleleft b \triangleright P) = P$  **by** *rel-tac*

**lemma** *cond-symm*:  $(P \triangleleft b \triangleright Q) = (Q \triangleleft \neg b \triangleright P)$  **by** *rel-tac*

**lemma** *cond-assoc*:  $((P \triangleleft b \triangleright Q) \triangleleft c \triangleright R) = (P \triangleleft b \wedge c \triangleright (Q \triangleleft c \triangleright R))$  **by** *rel-tac*

**lemma** *cond-distr*:  $(P \triangleleft b \triangleright (Q \triangleleft c \triangleright R)) = ((P \triangleleft b \triangleright Q) \triangleleft c \triangleright (P \triangleleft b \triangleright R))$  **by** *rel-tac*

**lemma** *cond-unit-T*:  $(P \triangleleft \text{true} \triangleright Q) = P$  **by** *rel-tac*

**lemma** *cond-unit-F*:  $(P \triangleleft \text{false} \triangleright Q) = Q$  **by** *rel-tac*

**lemma** *cond-L6*:  $(P \triangleleft b \triangleright (Q \triangleleft b \triangleright R)) = (P \triangleleft b \triangleright R)$  **by** *rel-tac*

**lemma** *cond-L7*:  $(P \triangleleft b \triangleright (P \triangleleft c \triangleright Q)) = (P \triangleleft b \vee c \triangleright Q)$  **by** *rel-tac*

**lemma** *cond-and-distr*:  $((P \wedge Q) \triangleleft b \triangleright (R \wedge S)) = ((P \triangleleft b \triangleright R) \wedge (Q \triangleleft b \triangleright S))$  **by** *rel-tac*

**lemma** *cond-or-distr*:  $((P \vee Q) \triangleleft b \triangleright (R \vee S)) = ((P \triangleleft b \triangleright R) \vee (Q \triangleleft b \triangleright S))$  **by** *rel-tac*

**lemma** *cond-imp-distr*:

$((P \Rightarrow Q) \triangleleft b \triangleright (R \Rightarrow S)) = ((P \triangleleft b \triangleright R) \Rightarrow (Q \triangleleft b \triangleright S))$  **by** *rel-tac*

**lemma** *cond-eq-distr*:

$((P \Leftrightarrow Q) \triangleleft b \triangleright (R \Leftrightarrow S)) = ((P \triangleleft b \triangleright R) \Leftrightarrow (Q \triangleleft b \triangleright S))$  **by** *rel-tac*

**lemma** *comp-cond-left-distr*:

$((P \triangleleft b \triangleright_r Q) ;; R) = ((P ;; R) \triangleleft b \triangleright_r (Q ;; R))$   
**by** *rel-tac*

These laws may seem to duplicate quantale laws, but they don't – they are applicable to non-homogeneous relations as well, which will become important later.

**lemma** *seqr-assoc*:  $(P ;; (Q ;; R)) = ((P ;; Q) ;; R)$   
**by** *rel-tac*

**lemma** *seqr-left-unit* [*simp*]:

$(\text{II} ;; P) = P$   
**by** *rel-tac*

**lemma** *seqr-right-unit* [*simp*]:

$(P ;; \text{II}) = P$   
**by** *rel-tac*

**lemma** *seqr-left-zero* [*simp*]:

$(\text{false} ;; P) = \text{false}$   
**by** *pred-tac*

**lemma** *seqr-right-zero* [*simp*]:

$(P ;; \text{false}) = \text{false}$   
**by** *pred-tac*



**lemma** *seqr-mono*:

$\llbracket P_1 \sqsubseteq P_2; Q_1 \sqsubseteq Q_2 \rrbracket \implies (P_1 ;; Q_1) \sqsubseteq (P_2 ;; Q_2)$   
**by** (*rel-tac*, *blast*)

**lemma** *pre-skip-post*:  $(\lceil b \rceil_{<} \wedge II) = (II \wedge \lceil b \rceil_{>})$

**by** (*rel-tac*)

**lemma** *seqr-exists-left*:

$uvar\ x \implies ((\exists \$x \cdot P) ;; Q) = (\exists \$x \cdot (P ;; Q))$   
**by** (*rel-tac*, *auto simp add: comp-def*)

**lemma** *seqr-exists-right*:

$uvar\ x \implies (P ;; (\exists \$x' \cdot Q)) = (\exists \$x' \cdot (P ;; Q))$   
**by** (*rel-tac*, *auto simp add: comp-def*)

We should be able to generalise this law to arbitrary assignments at some point, but that requires additional conversion operators for substitutions that act only on *in* $\alpha$ .

**lemma** *assign-subst* [*usubst*]:

$\llbracket uvar\ x; uvar\ y \rrbracket \implies [\$x \mapsto_s \lceil u \rceil_{<}] \dagger (y := v) = (x, y := u, [x \mapsto_s u] \dagger v)$   
**by** *rel-tac*

**lemma** *assigns-idem*:  $uvar\ x \implies (x, x := u, v) = (x := v)$

**by** (*simp add: usubst*)

**lemma** *assigns-comp*:  $(assigns\text{-}r\ f ;; assigns\text{-}r\ g) = assigns\text{-}r\ (g \circ f)$

**by** (*transfer*, *auto simp add: relcomp-unfold*)

**lemma** *assigns-r-comp*:  $uvar\ x \implies (\langle \sigma \rangle_a ;; P) = (\lceil \sigma \rceil_s \dagger P)$

**by** *rel-tac*

**lemma** *assign-r-comp*:  $uvar\ x \implies (x := u ;; P) = ([\$x \mapsto_s \lceil u \rceil_{<}] \dagger P)$

**by** (*simp add: assigns-r-comp usubst*)

**lemma** *assign-test*:  $uvar\ x \implies (x := \llbracket u \rrbracket ;; x := \llbracket v \rrbracket) = (x := \llbracket v \rrbracket)$

**by** (*simp add: assigns-comp subst-upd-comp subst-lit usubst-upd-idem*)

**lemma** *skip-r-unfold*:

$uvar\ x \implies II = (\$x' =_u \$x \wedge II \upharpoonright_{\alpha} x)$

**by** (*rel-tac*, *blast*, *metis uvar.var-state-eq-iff uvar.var-update-lookup*)

**lemma** *assign-unfold*:

$uvar\ x \implies (x := v) = (\$x' =_u \lceil v \rceil_{<} \wedge II \upharpoonright_{\alpha} x)$

**apply** (*rel-tac*, *auto simp add: comp-def*)

**using** *var-assign-eq* **apply** *fastforce*

**done**

**lemma** *seqr-or-distl*:

$((P \vee Q) ;; R) = ((P ;; R) \vee (Q ;; R))$

**by** *rel-tac*

**lemma** *seqr-or-distr*:

$(P ;; (Q \vee R)) = ((P ;; Q) \vee (P ;; R))$

**by** *rel-tac*

```

lemma seqr-middle:
  assumes uvar x
  shows  $(P ;; Q) = (\exists v \cdot P[\llbracket v \rrbracket / \$x'] ;; Q[\llbracket v \rrbracket / \$x])$ 
  using assms
  apply (rel-tac)
  apply (rename-tac xa P Q a b y)
  apply (rule-tac x=var-lookup xa y in exI)
  apply (rule-tac x=y in exI)
  apply (simp)
done

```

```

theorem precond-equiv:
   $P = (P ;; \text{true}) \longleftrightarrow (\text{out}\alpha \# P)$ 
  apply (rel-tac)
  apply (rename-tac P a b y)
  apply (drule-tac x=a in spec)
  apply (drule-tac x=b in spec)
  apply (drule-tac x= $\lambda$  -.y in spec)
  apply (simp)
done

```

```

theorem postcond-equiv:
   $P = (\text{true} ;; P) \longleftrightarrow (\text{in}\alpha \# P)$ 
  apply (rel-tac)
  apply (rename-tac P a b y)
  apply (drule-tac x=a in spec)
  apply (drule-tac x=b in spec)
  apply (drule-tac x= $\lambda$  -.y in spec)
  apply (simp)
done

```

```

lemma precond-right-unit:  $\text{out}\alpha \# p \implies (p ;; \text{true}) = p$ 
  by (metis precond-equiv)

```

```

lemma postcond-left-unit:  $\text{in}\alpha \# p \implies (\text{true} ;; p) = p$ 
  by (metis postcond-equiv)

```

```

theorem precond-left-zero:
  assumes  $\text{out}\alpha \# p \neq \text{false}$ 
  shows  $(\text{true} ;; p) = \text{true}$ 
  using assms
  apply (simp add: out $\alpha$ -def upred-defs)
  apply (transfer, auto simp add: relcomp-unfold, rule ext, auto)
  apply (rename-tac p b)
  apply (subgoal-tac  $\exists b1 b2. p (b1, b2)$ )
  apply (auto)
  apply (rule-tac x=b1 in exI)
  apply (drule-tac x=b1 in spec)
  apply (drule-tac x=b2 in spec)
  apply (drule-tac x= $\lambda$  -. b in spec)
  apply (simp)
done

```

## 7.5 Converse laws

**lemma** *convr-invol* [*simp*]:  $p^{--} = p$   
 by *pred-tac*

**lemma** *lit-convr* [*simp*]:  $\langle\langle v \rangle\rangle^- = \langle\langle v \rangle\rangle$   
 by *pred-tac*

**lemma** *uivar-convr* [*simp*]:  
 fixes  $x :: ('a, 'α) \text{uvar}$   
 shows  $(\$x)^- = \$x'$   
 by *pred-tac*

**lemma** *uovar-convr* [*simp*]:  
 fixes  $x :: ('a, 'α) \text{uvar}$   
 shows  $(\$x')^- = \$x$   
 by *pred-tac*

**lemma** *uop-convr* [*simp*]:  $(uop\ f\ u)^- = uop\ f\ (u^-)$   
 by (*pred-tac*)

**lemma** *bop-convr* [*simp*]:  $(bop\ f\ u\ v)^- = bop\ f\ (u^-)\ (v^-)$   
 by (*pred-tac*)

**lemma** *eq-convr* [*simp*]:  $(p =_u q)^- = (p^- =_u q^-)$   
 by (*pred-tac*)

**lemma** *disj-convr* [*simp*]:  $(p \vee q)^- = (q^- \vee p^-)$   
 by (*pred-tac*)

**lemma** *conj-convr* [*simp*]:  $(p \wedge q)^- = (q^- \wedge p^-)$   
 by (*pred-tac*)

**lemma** *seqr-convr* [*simp*]:  $(p ;; q)^- = (q^- ;; p^-)$   
 by *rel-tac*

**theorem** *seqr-pre-transfer*:  $\text{in}\alpha \nmid q \implies ((P \wedge q) ;; R) = (P ;; (q^- \wedge R))$   
 apply (*rel-tac*)  
 apply (*rename-tac*  $q\ P\ R\ a\ b\ y$ )  
 apply (*rule-tac*  $x=y$  **in** *exI*, *simp*)  
 apply (*drule-tac*  $x=b$  **in** *spec*, *drule-tac*  $x=y$  **in** *spec*, *drule-tac*  $x=\lambda-.a$  **in** *spec*, *simp*)  
 apply (*rename-tac*  $q\ P\ R\ a\ b\ y$ )  
 apply (*rule-tac*  $x=y$  **in** *exI*, *simp*)  
 apply (*drule-tac*  $x=a$  **in** *spec*, *drule-tac*  $x=y$  **in** *spec*, *drule-tac*  $x=\lambda-.b$  **in** *spec*, *simp*)  
 done

**theorem** *seqr-post-out*:  $\text{in}\alpha \nmid r \implies (P ;; (Q \wedge r)) = ((P ;; Q) \wedge r)$   
 apply (*rel-tac*)  
 apply (*rename-tac*  $r\ P\ Q\ a\ b\ y$ )  
 apply (*drule-tac*  $x=a$  **in** *spec*, *drule-tac*  $x=b$  **in** *spec*, *drule-tac*  $x=\lambda-.y$  **in** *spec*, *simp*)  
 apply (*rename-tac*  $r\ P\ Q\ a\ b\ y$ )  
 apply (*rule-tac*  $x=y$  **in** *exI*)  
 apply (*simp*, *drule-tac*  $x=a$  **in** *spec*, *drule-tac*  $x=b$  **in** *spec*, *drule-tac*  $x=\lambda-.y$  **in** *spec*, *simp*)  
 done

**theorem** *seqr-post-transfer*:  $\text{out}\alpha \nmid q \implies (P ;; (q \wedge R)) = (P \wedge q^- ;; R)$

by (simp add: seqr-pre-transfer unrest-convr-in $\alpha$ )

**lemma** seqr-pre-out:  $out\alpha \# p \implies ((p \wedge Q) ;; R) = (p \wedge (Q ;; R))$   
 apply (rel-tac)  
 apply (rename-tac p Q R a b y)  
 apply (drule-tac x=a in spec, drule-tac x=b in spec, drule-tac x= $\lambda$ -.y in spec, simp)  
 apply (rename-tac p Q R a b y)  
 apply (rule-tac x=y in exI)  
 apply (simp, drule-tac x=a in spec, drule-tac x=b in spec, drule-tac x= $\lambda$ -.y in spec, simp)  
 done

**lemma** seqr-true-lemma:  
 $(P = (\neg (\neg P ;; true))) = (P = (P ;; true))$   
 by rel-tac

**lemma** shEx-lift-seq [uquant-lift]:  
 $((\exists x \cdot P(x)) ;; (\exists y \cdot Q(y))) = (\exists x \cdot \exists y \cdot P(x) ;; Q(y))$   
 by pred-tac

While loop laws

**lemma** while-cond-true:  
 $((while\ b\ do\ P\ od) \wedge [b]_{<}) = ((P \wedge [b]_{<}) ;; while\ b\ do\ P\ od)$   
**proof** –  
 have  $(while\ b\ do\ P\ od \wedge [b]_{<}) = ((([b]_{<} \wedge P)^* \wedge (\neg [b]_{>})) \wedge [b]_{<})$   
 by (simp add: while-def)  
 also have  $\dots = (((II \vee ([b]_{<} \wedge P) ;; ([b]_{<} \wedge P)^*) \wedge \neg [b]_{>}) \wedge [b]_{<})$   
 by (simp add: disj-upred-def)  
 also have  $\dots = (([b]_{<} \wedge (II \vee ([b]_{<} \wedge P) ;; ([b]_{<} \wedge P)^*)) \wedge (\neg [b]_{>})$   
 by (simp add: conj-comm utp-pred.inf.left-commute)  
 also have  $\dots = ((([b]_{<} \wedge II) \vee ([b]_{<} \wedge ([b]_{<} \wedge P) ;; ([b]_{<} \wedge P)^*)) \wedge (\neg [b]_{>})$   
 by (simp add: conj-disj-distr)  
 also have  $\dots = ((([b]_{<} \wedge II) \vee ([b]_{<} \wedge P) ;; ([b]_{<} \wedge P)^*)) \wedge (\neg [b]_{>})$   
 by (subst seqr-pre-out[THEN sym], simp add: unrest, rel-tac)  
 also have  $\dots = (((II \wedge [b]_{>}) \vee ([b]_{<} \wedge P) ;; ([b]_{<} \wedge P)^*)) \wedge (\neg [b]_{>})$   
 by (simp add: pre-skip-post)  
 also have  $\dots = ((II \wedge [b]_{>} \wedge \neg [b]_{>}) \vee ([b]_{<} \wedge P) ;; ([b]_{<} \wedge P)^*) \wedge (\neg [b]_{>})$   
 by (simp add: utp-pred.inf.assoc utp-pred.inf-sup-distrib2)  
 also have  $\dots = (([b]_{<} \wedge P) ;; ([b]_{<} \wedge P)^*) \wedge (\neg [b]_{>})$   
 by simp  
 also have  $\dots = ([b]_{<} \wedge P) ;; ((([b]_{<} \wedge P)^*) \wedge (\neg [b]_{>}))$   
 by (simp add: seqr-post-out unrest)  
 also have  $\dots = ((P \wedge [b]_{<}) ;; while\ b\ do\ P\ od)$   
 by (simp add: utp-pred.inf-commute while-def)  
 finally show ?thesis .  
 qed

**lemma** while-cond-false:  
 $((while\ b\ do\ P\ od) \wedge (\neg [b]_{<})) = (II \wedge \neg [b]_{<})$   
**proof** –  
 have  $(while\ b\ do\ P\ od \wedge (\neg [b]_{<})) = ((([b]_{<} \wedge P)^* \wedge (\neg [b]_{>})) \wedge (\neg [b]_{<}))$   
 by (simp add: while-def)  
 also have  $\dots = (((II \vee ([b]_{<} \wedge P) ;; ([b]_{<} \wedge P)^*) \wedge \neg [b]_{>}) \wedge (\neg [b]_{<})$   
 by (simp add: disj-upred-def)  
 also have  $\dots = (((II \wedge \neg [b]_{>}) \wedge \neg [b]_{<}) \vee ([b]_{<} \wedge P) ;; ([b]_{<} \wedge P)^*) \wedge \neg [b]_{>})$   
 by (simp add: conj-disj-distr utp-pred.inf.commute)

**also have** ... = ((( $II \wedge \neg [b]_>$ )  $\wedge \neg [b]_<$ )  $\vee$  ((( $\neg [b]_<$ )  $\wedge ([b]_< \wedge P)$  ;; (( $[b]_< \wedge P$ )<sup>\*</sup><sub>u</sub>))  $\wedge \neg [b]_>$ )))  
**by** (*simp add: seqr-pre-out unrest-not unrest-pre-out $\alpha$  utp-pred.inf.assoc*)  
**also have** ... = ((( $II \wedge \neg [b]_>$ )  $\wedge \neg [b]_<$ )  $\vee$  (((*false* ;; (( $[b]_< \wedge P$ )<sup>\*</sup><sub>u</sub>))  $\wedge \neg [b]_>$ )))  
**by** (*simp add: conj-comm utp-pred.inf.left-commute*)  
**also have** ... = (( $II \wedge \neg [b]_>$ )  $\wedge \neg [b]_<$ )  
**by** *simp*  
**also have** ... = ( $II \wedge \neg [b]_<$ )  
**by** *rel-tac*  
**finally show** ?thesis .  
**qed**

**theorem** *while-unfold*:

*while b do P od* = ( $(P$  ;; *while b do P od*)  $\triangleleft b \triangleright_r II$ )  
**by** (*metis (no-types, hide-lams) bounded-semilattice-sup-bot-class.sup-bot.left-neutral comp-cond-left-distr cond-def cond-idem disj-comm disj-upred-def seqr-right-zero upred-quantale.bot-zero utp-pred.inf-bot-right utp-pred.inf-commute while-cond-false while-cond-true*)

**end**

## 7.6 Weakest precondition calculus

**theory** *utp-wp*  
**imports** *utp-rel*  
**begin**

A very quick implementation of wp – more laws still needed!

**named-theorems** *wp*

**method** *wp-tac* = (*simp add: wp*)

**consts**

*uwp* :: '*a*  $\Rightarrow$  '*b*  $\Rightarrow$  '*c* (**infix** *wp* 60)

**definition** *wp-upred* :: (' $\alpha$ , ' $\beta$ ) *relation*  $\Rightarrow$  ' $\beta$  *condition*  $\Rightarrow$  ' $\alpha$  *condition* **where**  
*wp-upred* *Q* *r* =  $\lfloor \neg (Q$  ;;  $\neg [r]_<)\rfloor_<$

**adhoc-overloading**

*uwp wp-upred*

**declare** *wp-upred-def* [*urel-defs*]

**theorem** *wp-assigns-r* [*wp*]:

(*assigns-r*  $\sigma$ ) *wp* *r* =  $\sigma \dagger r$   
**by** *rel-tac*

**theorem** *wp-skip-r* [*wp*]:

$II$  *wp* *r* = *r*  
**by** *rel-tac*

**theorem** *wp-true* [*wp*]:

$r \neq \text{true} \implies \text{true } wp \text{ } r = \text{false}$   
**by** *rel-tac*

**theorem** *wp-conj* [*wp*]:

$P \text{ } wp \text{ } (q \wedge r) = (P \text{ } wp \text{ } q \wedge P \text{ } wp \text{ } r)$   
**by** *rel-tac*

**theorem** *wp-seq-r* [*wp*]:  $(P \;;\; Q) \text{ wp } r = P \text{ wp } (Q \text{ wp } r)$   
**by** *rel-tac*

**theorem** *wp-cond* [*wp*]:  $(P \triangleleft b \triangleright_r Q) \text{ wp } r = ((b \Rightarrow P \text{ wp } r) \wedge ((\neg b) \Rightarrow Q \text{ wp } r))$   
**by** *rel-tac*

**end**

## 8 UTP Theories

**theory** *utp-theory*  
**imports** *utp-rel*  
**begin**

**type-synonym**  $'\alpha \text{ Healthiness-condition} = '\alpha \text{ upred} \Rightarrow '\alpha \text{ upred}$

**definition**  
*Healthy*:: $'\alpha \text{ upred} \Rightarrow '\alpha \text{ Healthiness-condition} \Rightarrow \text{bool}$  (**infix** *is* 30)  
**where**  $P \text{ is } H \equiv (P = H P)$

**lemma** *Healthy-def'*:  $P \text{ is } H \longleftrightarrow (H P = P)$   
**unfolding** *Healthy-def* **by** *auto*

**declare** *Healthy-def'* [*upred-defs*]

**end**

## 9 Example UTP theory: Boyle's laws

**theory** *utp-boyle*  
**imports** *utp-theory*  
**begin**

Boyle's law states that  $k = p * V$  is invariant. We here encode this as a simple UTP theory. We first create a record to represent the alphabet of the theory consisting of the three variables  $k$ ,  $p$  and  $V$ .

**record** *alpha-boyle* =  
*boyle-k* :: *real*  
*boyle-p* :: *real*  
*boyle-V* :: *real*

For now we have to explicitly cast the fields to UTP variables using the VAR syntactic transformation function – in future we'd like to automate this. We also have to add the definition equations for these variables to the simplification set for predicates to enable automated proof through our tactics.

**definition**  $k = \text{VAR } \textit{boyle-k}$   
**definition**  $p = \text{VAR } \textit{boyle-p}$   
**definition**  $V = \text{VAR } \textit{boyle-V}$

**declare** *k-def* [*upred-defs*] **and** *p-def* [*upred-defs*] **and** *V-def* [*upred-defs*]

Next we state Boyle's law using the healthiness condition B and likewise add it to the UTP predicate definitional equation set. The syntax differs a little from UTP; we try not to override

HOL constants and so UTP predicate equality is subscripted. Moreover to distinguish variables standing for a predicate (like  $\phi$ ) from variables standing for UTP variables we have to prepend the latter with an ampersand.

**definition**  $B(\varphi) = ((\exists k \cdot \varphi) \wedge (\&k =_u \&p * \&V))$

**declare**  $B\text{-def}$  [*upred-defs*]

We can then prove that  $B$  is both idempotent and monotone simply by application of the predicate tactic.

**lemma**  $B\text{-idempotent}$ :

$B(B(P)) = B(P)$

**by** *pred-tac*

**lemma**  $B\text{-monotone}$ :

$X \sqsubseteq Y \implies B(X) \sqsubseteq B(Y)$

**by** *pred-tac*

We also create some example observations; the first satisfies Boyle's law and the second doesn't.

**definition**  $\varphi_1 = ((\&p =_u 10) \wedge (\&V =_u 5) \wedge (\&k =_u 50))$

**definition**  $\varphi_2 = ((\&p =_u 10) \wedge (\&V =_u 5) \wedge (\&k =_u 100))$

We prove that  $\varphi_1$  satisfied by Boyle's law by simplication of its definitional equation and then application of the predicate tactic.

**lemma**  $B\text{-}\varphi_1$ :  $\varphi_1$  is  $B$

**by** (*simp add:  $\varphi_1\text{-def}$ , pred-tac*)

We prove that  $\varphi_2$  does not satisfy Boyle's law by showing it's in fact equal to  $\varphi_1$ . We do this via an automated Isar proof.

**lemma**  $B\text{-}\varphi_2$ :  $B(\varphi_2) = \varphi_1$

**proof** –

**have**  $B(\varphi_2) = B((\&p =_u 10) \wedge (\&V =_u 5) \wedge (\&k =_u 100))$

**by** (*simp add:  $\varphi_2\text{-def}$* )

**also have**  $\dots = ((\exists k \cdot (\&p =_u 10) \wedge (\&V =_u 5) \wedge (\&k =_u 100)) \wedge (\&k =_u \&p * \&V))$

**by** *pred-tac*

**also have**  $\dots = ((\&p =_u 10) \wedge (\&V =_u 5) \wedge (\&k =_u \&p * \&V))$

**by** *pred-tac*

**also have**  $\dots = ((\&p =_u 10) \wedge (\&V =_u 5) \wedge (\&k =_u 50))$

**by** *pred-tac*

**also have**  $\dots = \varphi_1$

**by** (*simp add:  $\varphi_1\text{-def}$* )

**finally show** *?thesis* .

**qed**

**end**

## 10 Designs

**theory** *utp-designs*

**imports**

*utp-rel*

*utp-wp*

*utp-theory*

**begin**

In UTP, in order to explicitly record the termination of a program, a subset of alphabetized relations is introduced. These relations are called designs and their alphabet should contain the special boolean observational variable *ok*. It is used to record the start and termination of a program.

## 10.1 Definitions

In the following, the definitions of designs alphabets, designs and healthiness (well-formedness) conditions are given. The healthiness conditions of designs are defined by *H1*, *H2*, *H3* and *H4*.

**record** *alpha-d* = *des-ok::bool*

The *ok* variable is defined using the syntactic translation *VAR*

**definition** *ok* = *VAR des-ok*

**declare** *ok-def* [*upred-defs*]

**lemma** *uvar-ok* [*simp*]: *uvar ok*

**by** (*unfold-locales*, *simp-all add: ok-def,metis alpha-d.ext-inject alpha-d.surjective alpha-d.update-convs(1)*)

**type-synonym** *'α alphabet-d* = *'α alpha-d-scheme alphabet*

**type-synonym** (*'a, 'α*) *uvar-d* = (*'a, 'α alphabet-d*) *uvar*

**type-synonym** (*'α, 'β*) *relation-d* = (*'α alphabet-d, 'β alphabet-d*) *relation*

**type-synonym** *'α hrelation-d* = *'α alphabet-d hrelation*

It would be nice to be able to prove some general distributivity properties about these lifting operators. I don't know if that's possible somehow...

**lift-definition** *lift-desr* :: (*'α, 'β*) *relation*  $\Rightarrow$  (*'α, 'β*) *relation-d* ( $\lceil - \rceil_D$ ) **is**  
 $\lambda P (A, A'). P (\text{more } A, \text{more } A') .$

**lift-definition** *drop-desr* :: (*'α, 'β*) *relation-d*  $\Rightarrow$  (*'α, 'β*) *relation* ( $\lfloor - \rfloor_D$ ) **is**  
 $\lambda P (A, A'). P (\lfloor \text{des-ok} = \text{True}, \dots = A \rfloor, \lfloor \text{des-ok} = \text{True}, \dots = A' \rfloor) .$

**definition** *design*::(*'α, 'β*) *relation-d*  $\Rightarrow$  (*'α, 'β*) *relation-d*  $\Rightarrow$  (*'α, 'β*) *relation-d* (**infixl**  $\vdash_{60}$ )  
**where**  $P \vdash Q = (\$ok \wedge P \Rightarrow \$ok' \wedge Q)$

An rdesign is a design that uses the Isabelle type system to prevent reference to *ok* in the assumption and commitment.

**definition** *rdesign*::(*'α, 'β*) *relation*  $\Rightarrow$  (*'α, 'β*) *relation*  $\Rightarrow$  (*'α, 'β*) *relation-d* (**infixl**  $\vdash_r$  *60*)  
**where**  $(P \vdash_r Q) = \lceil P \rceil_D \vdash \lceil Q \rceil_D$

An ndesign is a normal design, i.e. where the assumption is a condition

**definition** *ndesign*::*'α condition*  $\Rightarrow$  (*'α, 'β*) *relation*  $\Rightarrow$  (*'α, 'β*) *relation-d* (**infixl**  $\vdash_n$  *60*)  
**where**  $(p \vdash_n Q) = (\lceil p \rceil_{<} \vdash_r Q)$

**definition** *skip-d* :: *'α hrelation-d* (*II<sub>D</sub>*)  
**where** *II<sub>D</sub>*  $\equiv$  (*true*  $\vdash_r$  *II*)

**definition** *assigns-d* :: *'α usubst*  $\Rightarrow$  *'α hrelation-d*  
**where** *assigns-d*  $\sigma = (\text{true} \vdash_r \text{assigns-r } \sigma)$

At some point assignment should be generalised to multiple variables and maybe also for selectors.



**abbreviation**  $assign-d :: ('a, 'α) uvar \Rightarrow ('a, 'α) uexpr \Rightarrow 'α \text{ hrelation-d}$  (**infix**  $:=_D$  40)  
**where**  $assign-d\ x\ v \equiv assigns-d\ [x \mapsto_s v]$

**definition**  $J :: 'α \text{ hrelation-d}$   
**where**  $J = ((\$ok \Rightarrow \$ok') \wedge \lceil II \rceil_D)$

**definition**  $H1\ (P) \equiv \$ok \Rightarrow P$

**definition**  $H2\ (P) \equiv P ;; J$

**definition**  $H3\ (P) \equiv P ;; II_D$

**definition**  $H4\ (P) \equiv ((P;;true) \Rightarrow P)$

**abbreviation**  $\sigma f :: ('α, 'β) \text{ relation-d} \Rightarrow ('α, 'β) \text{ relation-d}$  ( $^-^f$  [1000] 1000)  
**where**  $\sigma f\ D \equiv D \llbracket false/\$ok' \rrbracket$

**abbreviation**  $\sigma t :: ('α, 'β) \text{ relation-d} \Rightarrow ('α, 'β) \text{ relation-d}$  ( $^-^t$  [1000] 1000)  
**where**  $\sigma t\ D \equiv D \llbracket true/\$ok' \rrbracket$

**definition**  $pre\text{-}design :: ('α, 'β) \text{ relation-d} \Rightarrow ('α, 'β) \text{ relation}$  ( $pre_D'(-)$ ) **where**  
 $pre_D(P) = \lfloor \neg P^f \rfloor_D$

**definition**  $post\text{-}design :: ('α, 'β) \text{ relation-d} \Rightarrow ('α, 'β) \text{ relation}$  ( $post_D'(-)$ ) **where**  
 $post_D(P) = \lfloor P^t \rfloor_D$

**definition**  $wp\text{-}design :: ('α, 'β) \text{ relation-d} \Rightarrow 'β \text{ condition} \Rightarrow 'α \text{ condition}$  (**infix**  $wp_D$  60) **where**  
 $Q\ wp_D\ r = (\lfloor pre_D(Q) \rfloor ;; true)_{<} \wedge (post_D(Q)\ wp\ r)$

**declare**  $design\text{-}def$  [ $upred\text{-}defs$ ]  
**declare**  $rdesign\text{-}def$  [ $upred\text{-}defs$ ]  
**declare**  $skip\text{-}d\text{-}def$  [ $upred\text{-}defs$ ]  
**declare**  $J\text{-}def$  [ $upred\text{-}defs$ ]  
**declare**  $pre\text{-}design\text{-}def$  [ $upred\text{-}defs$ ]  
**declare**  $post\text{-}design\text{-}def$  [ $upred\text{-}defs$ ]  
**declare**  $wp\text{-}design\text{-}def$  [ $upred\text{-}defs$ ]

**declare**  $H1\text{-}def$  [ $upred\text{-}defs$ ]  
**declare**  $H2\text{-}def$  [ $upred\text{-}defs$ ]  
**declare**  $H3\text{-}def$  [ $upred\text{-}defs$ ]  
**declare**  $H4\text{-}def$  [ $upred\text{-}defs$ ]

**lemma**  $drop\text{-}desr\text{-}inv$  [ $simp$ ]:  $\lfloor \lceil P \rceil_D \rfloor_D = P$   
**by** ( $transfer$ ,  $simp$ )

**lemma**  $lift\text{-}desr\text{-}inv$ :  
 $\llbracket \$ok \# P; \$ok' \# P \rrbracket \Longrightarrow \lfloor \lceil P \rceil_D \rfloor_D = P$   
**apply** ( $rel\text{-}tac$ )  
**apply** ( $rename\text{-}tac\ P\ a\ b$ )  
**apply** ( $drule\text{-}tac\ x=a \text{ in } spec$ )  
**apply** ( $drule\text{-}tac\ x=b \text{ in } spec$ )  
**apply** ( $drule\text{-}tac\ x=\lambda \cdot. True \text{ in } spec$ )  
**apply** ( $metis\ alpha\text{-}d.surjective\ alpha\text{-}d.update\text{-}convs(1)$ )  
**apply** ( $drule\text{-}tac\ x=a \text{ in } spec$ )  
**apply** ( $drule\text{-}tac\ x=b \text{ in } spec$ )

```

  apply (drule-tac x= $\lambda$  -. True in spec)
  apply (metis alpha-d.surjective alpha-d.update-convs(1))
done

```

## 10.2 Design laws

```

lemma lift-desr-unrest-ok [unrest]:
  $ok \# \lceil P \rceil_D \ $ok' \# \lceil P \rceil_D
  by (transfer, simp add: ok-def)+

```

```

lemma unrest-out-des-lift [unrest]: out $\alpha$  \# p  $\implies$  out $\alpha$  \# \lceil p \rceil_D
  apply (pred-tac)
  apply (auto simp add: out $\alpha$ -def)
  apply (rename-tac p b v x)
  apply (drule-tac x=alpha-d.more x in spec)
  apply (drule-tac x=alpha-d.more b in spec)
  apply (drule-tac x= $\lambda$  -. alpha-d.more (v b) in spec)
  apply (simp)
  apply (rename-tac p b v x)
  apply (drule-tac x=alpha-d.more x in spec)
  apply (drule-tac x=alpha-d.more b in spec)
  apply (drule-tac x= $\lambda$  -. alpha-d.more (v b) in spec)
  apply (simp)
done

```

```

lemma lift-dists [simp]:
  \lceil true \rceil_D = true
  \lceil \neg P \rceil_D = (\neg \lceil P \rceil_D)
  \lceil P \wedge Q \rceil_D = (\lceil P \rceil_D \wedge \lceil Q \rceil_D)
  by (pred-tac)+

```

```

lemma lift-dist-seq [simp]:
  \lceil P ;; Q \rceil_D = (\lceil P \rceil_D ;; \lceil Q \rceil_D)
  by (rel-tac, metis alpha-d.select-convs(2))

```

**theorem design-refinement:**

```

  assumes
    $ok \# P1 $ok' \# P1 $ok \# P2 $ok' \# P2
    $ok \# Q1 $ok' \# Q1 $ok \# Q2 $ok' \# Q2
  shows (P1  $\vdash$  Q1  $\sqsubseteq$  P2  $\vdash$  Q2)  $\longleftrightarrow$  ('P1  $\Rightarrow$  P2'  $\wedge$  'P1  $\wedge$  Q2  $\Rightarrow$  Q1')
proof -
  have (P1  $\vdash$  Q1)  $\sqsubseteq$  (P2  $\vdash$  Q2)  $\longleftrightarrow$  '($ok \wedge P2 \Rightarrow $ok'  $\wedge$  Q2)  $\Rightarrow$  ($ok \wedge P1 \Rightarrow $ok'  $\wedge$  Q1)'
  by pred-tac
  also with assms have ... = '(P2  $\Rightarrow$  $ok'  $\wedge$  Q2)  $\Rightarrow$  (P1  $\Rightarrow$  $ok'  $\wedge$  Q1)'
  by (subst subst-bool-split[of in-var ok], simp-all, subst-tac)
  also with assms have ... = '(\neg P2  $\Rightarrow$  \neg P1)  $\wedge$  ((P2  $\Rightarrow$  Q2)  $\Rightarrow$  P1  $\Rightarrow$  Q1)'
  by (subst subst-bool-split[of out-var ok], simp-all, subst-tac)
  also have ...  $\longleftrightarrow$  '(P1  $\Rightarrow$  P2)'  $\wedge$  'P1  $\wedge$  Q2  $\Rightarrow$  Q1'
  by (pred-tac)
  finally show ?thesis .
qed

```

**theorem rdesign-refinement:**

```

(P1  $\vdash_r$  Q1  $\sqsubseteq$  P2  $\vdash_r$  Q2)  $\longleftrightarrow$  ('P1  $\Rightarrow$  P2'  $\wedge$  'P1  $\wedge$  Q2  $\Rightarrow$  Q1')
  apply (simp add: rdesign-def)
  apply (subst design-refinement)

```

**apply** (*simp-all add: unrest*)  
**apply** (*pred-tac*)  
**apply** (*metis alpha-d.select-convs(2)*) +  
**done**

**lemma** *design-refine-intro*:  
**assumes** ' $P1 \Rightarrow P2$ ' ' $P1 \wedge Q2 \Rightarrow Q1$ '  
**shows**  $P1 \vdash Q1 \sqsubseteq P2 \vdash Q2$   
**using** *assms unfolding upred-defs*  
**by** *pred-tac*

**theorem** *design-ok-false* [*usubst*]:  $(P \vdash Q) \llbracket \text{false} / \$ok \rrbracket = \text{true}$   
**by** (*simp add: design-def usubst*)

**theorem** *design-pre*:  
 $\$ok' \# P \Longrightarrow \neg (P \vdash Q)^f = (\$ok \wedge P^f)$   
**by** (*simp add: design-def, subst-tac*)  
*(metis (no-types, hide-lams) not-conj-deMorgans true-not-false(2) utp-pred.compl-top-eq utp-pred.sup.idem utp-pred.sup-compl-top var-in-var)*

**theorem** *rdesign-pre* [*simp*]:  $\text{pre}_D(P \vdash_r Q) = P$   
**by** *pred-tac*

**theorem** *design-post* [*simp*]:  $\text{post}_D(P \vdash_r Q) = (P \Rightarrow Q)$   
**by** *pred-tac*

**theorem** *design-true-left-zero*:  $(\text{true} ;; (P \vdash Q)) = \text{true}$

**proof** –

**have**  $(\text{true} ;; (P \vdash Q)) = (\exists \text{ok}_0 \cdot \text{true} \llbracket \llcorner \text{ok}_0 \gg / \$ok' \rrbracket ;; (P \vdash Q) \llbracket \llcorner \text{ok}_0 \gg / \$ok \rrbracket)$   
**by** (*subst segr-middle[of ok], simp-all*)  
**also have**  $\dots = ((\text{true} \llbracket \text{false} / \$ok' \rrbracket ;; (P \vdash Q) \llbracket \text{false} / \$ok \rrbracket) \vee (\text{true} \llbracket \text{true} / \$ok' \rrbracket ;; (P \vdash Q) \llbracket \text{true} / \$ok \rrbracket))$   
**by** (*simp add: disj-comm false-alt-def true-alt-def*)  
**also have**  $\dots = ((\text{true} \llbracket \text{false} / \$ok' \rrbracket ;; \text{true}_h) \vee (\text{true} ;; ((P \vdash Q) \llbracket \text{true} / \$ok \rrbracket)))$   
**by** (*subst-tac, rel-tac*)  
**also have**  $\dots = \text{true}$   
**by** (*subst-tac, simp add: precond-right-unit unrest*)  
**finally show** *?thesis* .

**qed**

**theorem** *design-composition*:

**assumes**  
 $\$ok \# P1 \ \$ok' \# P1 \ \$ok \# P2 \ \$ok' \# P2$   
 $\$ok \# Q1 \ \$ok' \# Q1 \ \$ok \# Q2 \ \$ok' \# Q2$   
**shows**  $((P1 \vdash Q1) ;; (P2 \vdash Q2)) = (((\neg ((\neg P1) ;; \text{true})) \wedge \neg (Q1 ;; (\neg P2))) \vdash (Q1 ;; Q2))$

**proof** –

**have**  $((P1 \vdash Q1) ;; (P2 \vdash Q2)) = (\exists \text{ok}_0 \cdot ((P1 \vdash Q1) \llbracket \llcorner \text{ok}_0 \gg / \$ok' \rrbracket ;; (P2 \vdash Q2) \llbracket \llcorner \text{ok}_0 \gg / \$ok \rrbracket))$   
**by** (*rule segr-middle, simp*)  
**also have**  $\dots$   
 $= (((P1 \vdash Q1) \llbracket \text{false} / \$ok' \rrbracket ;; (P2 \vdash Q2) \llbracket \text{false} / \$ok \rrbracket) \vee ((P1 \vdash Q1) \llbracket \text{true} / \$ok' \rrbracket ;; (P2 \vdash Q2) \llbracket \text{true} / \$ok \rrbracket))$   
**by** (*simp add: true-alt-def false-alt-def, pred-tac*)  
**also from** *assms*  
**have**  $\dots = (((\$ok \wedge P1 \Rightarrow Q1) ;; (P2 \Rightarrow \$ok' \wedge Q2)) \vee ((\neg (\$ok \wedge P1)) ;; \text{true}))$   
**by** (*simp add: design-def usubst unrest, pred-tac*)  
**also have**  $\dots = ((\neg \$ok ;; \text{true}_h) \vee (\neg P1 ;; \text{true}) \vee (Q1 ;; \neg P2) \vee (\$ok' \wedge (Q1 ;; Q2)))$

by (*rel-tac*)  
 also have ... =  $(\neg (\neg P1 \mathrel{;;} true) \wedge \neg (Q1 \mathrel{;;} \neg P2)) \vdash (Q1 \mathrel{;;} Q2)$   
 by (*simp add: precondition-right-unit design-def unrest, rel-tac*)  
 finally show ?thesis .  
 qed

**theorem** *rdesign-composition*:

$((P1 \vdash_r Q1) \mathrel{;;} (P2 \vdash_r Q2)) = (((\neg ((\neg P1) \mathrel{;;} true)) \wedge \neg (Q1 \mathrel{;;} (\neg P2))) \vdash_r (Q1 \mathrel{;;} Q2))$   
 by (*simp add: rdesign-def design-composition unrest*)

**lemma** *skip-d-alt-def*:  $II_D = true \vdash II$

by (*rel-tac*)

**theorem** *design-skip-idem* [*simp*]:

$(II_D \mathrel{;;} II_D) = II_D$   
 by (*simp add: skip-d-def urel-defs, pred-tac*)

**theorem** *design-composition-cond*:

assumes  
 $ok \# p1 \text{ out}\alpha \# p1 \text{ } \$ok \# P2 \text{ } \$ok' \# P2$   
 $ok \# Q1 \text{ } \$ok' \# Q1 \text{ } \$ok \# Q2 \text{ } \$ok' \# Q2$   
 shows  $((p1 \vdash Q1) \mathrel{;;} (P2 \vdash Q2)) = ((p1 \wedge \neg (Q1 \mathrel{;;} (\neg P2))) \vdash (Q1 \mathrel{;;} Q2))$   
 using *assms*  
 by (*simp add: design-composition unrest precondition-right-unit*)

**theorem** *rdesign-composition-cond*:

assumes  $out\alpha \# p1$   
 shows  $((p1 \vdash_r Q1) \mathrel{;;} (P2 \vdash_r Q2)) = ((p1 \wedge \neg (Q1 \mathrel{;;} (\neg P2))) \vdash_r (Q1 \mathrel{;;} Q2))$   
 using *assms*  
 by (*simp add: rdesign-def design-composition-cond unrest*)

**theorem** *design-composition-wp*:

fixes  $Q1 \ Q2 :: 'a \text{ hrelation-}d$   
 assumes  
 $ok \# p1 \text{ } ok \# p2$   
 $ok \# Q1 \text{ } \$ok' \# Q1 \text{ } \$ok \# Q2 \text{ } \$ok' \# Q2$   
 shows  $((\lceil p1 \rceil_{<} \vdash Q1) \mathrel{;;} (\lceil p2 \rceil_{<} \vdash Q2)) = ((\lceil p1 \wedge Q1 \text{ wp } p2 \rceil_{<}) \vdash (Q1 \mathrel{;;} Q2))$   
 using *assms*  
 by (*simp add: design-composition-cond unrest, rel-tac*)

**theorem** *rdesign-composition-wp*:

fixes  $Q1 \ Q2 :: 'a \text{ hrelation}$   
 shows  $((\lceil p1 \rceil_{<} \vdash_r Q1) \mathrel{;;} (\lceil p2 \rceil_{<} \vdash_r Q2)) = ((\lceil p1 \wedge Q1 \text{ wp } p2 \rceil_{<}) \vdash_r (Q1 \mathrel{;;} Q2))$   
 by (*simp add: rdesign-composition-cond unrest, rel-tac*)

**theorem** *rdesign-wp* [*wp*]:

$(\lceil p \rceil_{<} \vdash_r Q) \text{ wp}_D r = (p \wedge Q \text{ wp } r)$   
 by *rel-tac*

**theorem** *wpd-seq-r*:

fixes  $Q1 \ Q2 :: 'a \text{ hrelation}$   
 shows  $(\lceil p1 \rceil_{<} \vdash_r Q1 \mathrel{;;} \lceil p2 \rceil_{<} \vdash_r Q2) \text{ wp}_D r = (\lceil p1 \rceil_{<} \vdash_r Q1) \text{ wp}_D ((\lceil p2 \rceil_{<} \vdash_r Q2) \text{ wp}_D r)$   
 apply (*simp add: wp*)  
 apply (*subst rdesign-composition-wp*)

**apply** (*simp only: wp*)  
**apply** (*rel-tac*)  
**done**

**theorem** *design-left-unit* [*simp*]:  
 $(II_D ;; P \vdash_r Q) = (P \vdash_r Q)$   
**by** (*simp add: skip-d-def urel-defs, pred-tac*)

**theorem** *design-right-cond-unit* [*simp*]:  
**assumes**  $out\alpha \nmid p$   
**shows**  $(p \vdash_r Q ;; II_D) = (p \vdash_r Q)$   
**using** *assms*  
**by** (*simp add: skip-d-def rdesign-composition-cond*)

**lemma** *lift-des-skip-dr-unit* [*simp*]:  
 $(\lceil P \rceil_D ;; \lceil II \rceil_D) = \lceil P \rceil_D$   
 $(\lceil II \rceil_D ;; \lceil P \rceil_D) = \lceil P \rceil_D$   
**by** *rel-tac rel-tac*

### 10.3 H1: No observation is allowed before initiation

**lemma** *H1-idem*:  
 $H1(H1 P) = H1(P)$   
**by** *pred-tac*

**lemma** *H1-monotone*:  
 $P \sqsubseteq Q \implies H1(P) \sqsubseteq H1(Q)$   
**by** *pred-tac*

**lemma** *H1-design-skip*:  
 $H1(II) = II_D$   
**by** *rel-tac*

The H1 algebraic laws are valid only when  $\alpha(R)$  is homogeneous. This should maybe be generalised.

**theorem** *H1-algebraic-intro*:

**assumes**  
 $(true_h ;; R) = true_h$   
 $(II_D ;; R) = R$   
**shows** *R is H1*

**proof** –

**have**  $R = (II_D ;; R)$  **by** (*simp add: assms(2)*)  
**also have**  $\dots = (H1(II) ;; R)$   
**by** (*simp add: H1-design-skip*)  
**also have**  $\dots = (\$ok \Rightarrow II) ;; R$   
**by** (*simp add: H1-def*)  
**also have**  $\dots = ((\neg \$ok ;; R) \vee R)$   
**by** (*simp add: impl-alt-def seqr-or-distl*)  
**also have**  $\dots = (((\neg \$ok ;; true_h) ;; R) \vee R)$   
**by** (*simp add: precond-right-unit unrest*)  
**also have**  $\dots = ((\neg \$ok ;; true_h) \vee R)$   
**by** (*metis assms(1) seqr-assoc*)  
**also have**  $\dots = (\$ok \Rightarrow R)$   
**by** (*simp add: impl-alt-def precond-right-unit unrest*)  
**finally show** *?thesis* **by** (*metis H1-def Healthy-def*)

qed

**lemma** *nok-not-false*:

$(\neg \$ok) \neq \text{false}$

by (*pred-tac*, *metis alpha-d.select-convs(1)*)

**theorem** *H1-left-zero*:

assumes *P* is *H1*

shows  $(\text{true}_h ;; P) = \text{true}_h$

**proof** –

from *assms* have  $(\text{true}_h ;; P) = (\text{true}_h ;; (\$ok \Rightarrow P))$

by (*simp add: H1-def Healthy-def'*)

also from *assms* have  $\dots = (\text{true}_h ;; (\neg \$ok \vee P))$

by (*simp add: impl-alt-def*)

also from *assms* have  $\dots = ((\text{true}_h ;; \neg \$ok) \vee (\text{true}_h ;; P))$

using *seqr-or-distr* by *blast*

also from *assms* have  $\dots = (\text{true} \vee (\text{true} ;; P))$

by (*simp add: nok-not-false precondition-left-zero unrest*)

finally show *?thesis* by *rel-tac*

qed

**theorem** *H1-left-unit*:

fixes *P* :: ' $\alpha$  *hrelation-d*

assumes *P* is *H1*

shows  $(II_D ;; P) = P$

**proof** –

have  $(II_D ;; P) = ((\$ok \Rightarrow II) ;; P)$

by (*metis H1-def H1-design-skip*)

also have  $\dots = ((\neg \$ok ;; P) \vee P)$

by (*simp add: impl-alt-def seqr-or-distl*)

also from *assms* have  $\dots = (((\neg \$ok ;; \text{true}_h) ;; P) \vee P)$

by (*simp add: precondition-right-unit unrest*)

also have  $\dots = ((\neg \$ok ;; (\text{true}_h ;; P)) \vee P)$

by (*simp add: seqr-assoc*)

also from *assms* have  $\dots = (\$ok \Rightarrow P)$

by (*simp add: H1-left-zero impl-alt-def precondition-right-unit unrest*)

finally show *?thesis* using *assms*

by (*simp add: H1-def Healthy-def'*)

qed

**theorem** *H1-algebraic*:

*P* is *H1*  $\longleftrightarrow (\text{true}_h ;; P) = \text{true}_h \wedge (II_D ;; P) = P$

using *H1-algebraic-intro H1-left-unit H1-left-zero* by *blast*

**theorem** *H1-nok-left-zero*:

fixes *P* :: ' $\alpha$  *hrelation-d*

assumes *P* is *H1*

shows  $(\neg \$ok ;; P) = (\neg \$ok)$

**proof** –

have  $(\neg \$ok ;; P) = ((\neg \$ok ;; \text{true}_h) ;; P)$

by (*simp add: precondition-right-unit unrest*)

also have  $\dots = ((\neg \$ok) ;; \text{true}_h)$

by (*metis H1-left-zero assms seqr-assoc*)

also have  $\dots = (\neg \$ok)$

by (*simp add: precondition-right-unit unrest*)

finally show *?thesis* .  
qed

## 10.4 H2: A specification cannot require non-termination

lemma *J-split*:

shows  $(P ;; J) = (P^f \vee (P^t \wedge \$ok'))$

proof –

have  $(P ;; J) = (P ;; ((\$ok \Rightarrow \$ok') \wedge \lceil II \rceil_D))$

by (*simp add: H2-def J-def design-def*)

also have  $\dots = (P ;; ((\$ok \Rightarrow \$ok \wedge \$ok') \wedge \lceil II \rceil_D))$

by *rel-tac*

also have  $\dots = ((P ;; (\neg \$ok \wedge \lceil II \rceil_D)) \vee (P ;; (\$ok \wedge (\lceil II \rceil_D \wedge \$ok'))))$

by *rel-tac*

also have  $\dots = (P^f \vee (P^t \wedge \$ok'))$

proof –

have  $(P ;; (\neg \$ok \wedge \lceil II \rceil_D)) = P^f$

proof –

have  $(P ;; (\neg \$ok \wedge \lceil II \rceil_D)) = ((P \wedge \neg \$ok') ;; \lceil II \rceil_D)$

by *rel-tac*

also have  $\dots = (\exists \$ok' \cdot P \wedge \$ok' =_u \text{false})$

by (*rel-tac, metis (mono-tags, lifting) alpha-d.surjective alpha-d.update-convs(1)*)

also have  $\dots = P^f$

by (*metis one-point out-var-uvar ouvar-def unrest-false uvar-ok*)

finally show *?thesis* .

qed

moreover have  $(P ;; (\$ok \wedge (\lceil II \rceil_D \wedge \$ok'))) = (P^t \wedge \$ok')$

proof –

have  $(P ;; (\$ok \wedge (\lceil II \rceil_D \wedge \$ok'))) = (P ;; (\$ok \wedge II))$

by (*rel-tac, metis alpha-d.equality*)

also have  $\dots = (P^t \wedge \$ok')$

by (*rel-tac, metis (full-types) alpha-d.surjective alpha-d.update-convs(1)*)

finally show *?thesis* .

qed

ultimately show *?thesis*

by *simp*

qed

finally show *?thesis* .

qed

lemma *H2-split*:

shows  $H2(P) = (P^f \vee (P^t \wedge \$ok'))$

by (*simp add: H2-def J-split*)

theorem *H2-equivalence*:

$P \text{ is } H2 \iff 'P^f \Rightarrow P^t'$

proof –

have  $'P \Leftrightarrow (P ;; J)' \iff 'P \Leftrightarrow (P^f \vee (P^t \wedge \$ok'))'$

by (*simp add: J-split*)

also from *assms* have  $\dots \iff '(P \Leftrightarrow P^f \vee P^t \wedge \$ok')^f \wedge (P \Leftrightarrow P^f \vee P^t \wedge \$ok')^t'$

by (*simp add: subst-bool-split*)

also from *assms* have  $\dots = '(P^f \Leftrightarrow P^f) \wedge (P^t \Leftrightarrow P^f \vee P^t)'$

by *subst-tac*

also have  $\dots = 'P^t \Leftrightarrow (P^f \vee P^t)'$

by *pred-tac*

also have  $\dots = '(P^f \Rightarrow P^t)'$

by *pred-tac*  
**finally show** *?thesis* **using** *assms*  
 by (*metis H2-def Healthy-def' taut-iff-eq*)  
**qed**

**lemma** *H2-equiv*:  
 $P \text{ is } H2 \longleftrightarrow P^t \sqsubseteq P^f$   
**using** *H2-equivalence refBy-order* **by** *blast*

**lemma** *H2-design*:  
**assumes**  $\$ok \# P \$ok' \# P \$ok \# Q \$ok' \# Q$   
**shows**  $H2(P \vdash Q) = P \vdash Q$   
**using** *assms*  
**by** (*simp add: H2-split design-def usubst unrest, pred-tac*)

**lemma** *H2-rdesign*:  
 $H2(P \vdash_r Q) = P \vdash_r Q$   
**by** (*simp add: H2-design unrest rdesign-def*)

**theorem** *J-idem*:  
 $(J ;; J) = J$   
**by** (*simp add: J-def urel-defs, pred-tac*)

**theorem** *H2-idem*:  
 $H2(H2(P)) = H2(P)$   
**by** (*metis H2-def J-idem seqr-assoc*)

**theorem** *H2-not-okay*:  $H2(\neg \$ok) = (\neg \$ok)$   
**proof** –  
**have**  $H2(\neg \$ok) = ((\neg \$ok)^f \vee ((\neg \$ok)^t \wedge \$ok'))$   
**by** (*simp add: H2-split*)  
**also have**  $\dots = (\neg \$ok \vee (\neg \$ok) \wedge \$ok')$   
**by** (*subst-tac*)  
**also have**  $\dots = (\neg \$ok)$   
**by** *pred-tac*  
**finally show** *?thesis* .  
**qed**

**theorem** *H1-H2-commute*:  
 $H1(H2 P) = H2(H1 P)$   
**proof** –  
**have**  $H2(H1 P) = ((\$ok \Rightarrow P) ;; J)$   
**by** (*simp add: H1-def H2-def*)  
**also from** *assms* **have**  $\dots = ((\neg \$ok \vee P) ;; J)$   
**by** *rel-tac*  
**also have**  $\dots = ((\neg \$ok ;; J) \vee (P ;; J))$   
**using** *seqr-or-distl* **by** *blast*  
**also have**  $\dots = ((H2(\neg \$ok)) \vee H2(P))$   
**by** (*simp add: H2-def*)  
**also have**  $\dots = ((\neg \$ok) \vee H2(P))$   
**by** (*simp add: H2-not-okay*)  
**also have**  $\dots = H1(H2(P))$   
**by** *rel-tac*  
**finally show** *?thesis* **by** *simp*  
**qed**



**lemma** *ok-pre*:  $(\$ok \wedge \lceil pre_D(P) \rceil_D) = (\$ok \wedge (\neg P^f))$   
 by (*pred-tac*, *metis* (*full-types*) *alpha-d.surjective* *alpha-d.update-convs*(1))+

**lemma** *ok-post*:  $(\$ok \wedge \lceil post_D(P) \rceil_D) = (\$ok \wedge (P^t))$   
 by (*pred-tac*, *metis* (*full-types*) *alpha-d.surjective* *alpha-d.update-convs*(1))+

**theorem** *H1-H2-is-rdesign*:

assumes *P is H1 P is H2*

shows  $P = pre_D(P) \vdash_r post_D(P)$

**proof** –

from *assms* have  $P = (\$ok \Rightarrow H2(P))$

by (*simp add: H1-def Healthy-def'*)

also have  $\dots = (\$ok \Rightarrow (P^f \vee (P^t \wedge \$ok')))$

by (*metis H2-split*)

also have  $\dots = (\$ok \wedge (\neg P^f) \Rightarrow \$ok' \wedge P^t)$

by *pred-tac*

also have  $\dots = (\$ok \wedge (\neg P^f) \Rightarrow \$ok' \wedge \$ok \wedge P^t)$

by *pred-tac*

also have  $\dots = (\$ok \wedge \lceil pre_D(P) \rceil_D \Rightarrow \$ok' \wedge \$ok \wedge \lceil post_D(P) \rceil_D)$

by (*simp add: ok-post ok-pre*)

also have  $\dots = (\$ok \wedge \lceil pre_D(P) \rceil_D \Rightarrow \$ok' \wedge \lceil post_D(P) \rceil_D)$

by *pred-tac*

also from *assms* have  $\dots = pre_D(P) \vdash_r post_D(P)$

by (*simp add: rdesign-def design-def*)

finally show *?thesis* .

**qed**

**abbreviation** *H1-H2*  $P \equiv H1 (H2 P)$

## 10.5 H3: The design assumption is a precondition

**theorem** *H3-idem*:

$H3(H3(P)) = H3(P)$

by (*metis H3-def design-skip-idem seqr-assoc*)

**theorem** *rdesign-H3-iff-pre*:

$P \vdash_r Q \text{ is } H3 \iff P = (P ;; true)$

**proof** –

have  $(P \vdash_r Q ;; II_D) = (P \vdash_r Q ;; true \vdash_r II)$

by (*simp add: skip-d-def*)

also from *assms* have  $\dots = (\neg (\neg P ;; true) \wedge \neg (Q ;; \neg true)) \vdash_r (Q ;; II)$

by (*simp add: rdesign-composition*)

also from *assms* have  $\dots = (\neg (\neg P ;; true) \wedge \neg (Q ;; \neg true)) \vdash_r Q$

by *simp*

also have  $\dots = (\neg (\neg P ;; true)) \vdash_r Q$

by *pred-tac*

finally have  $P \vdash_r Q \text{ is } H3 \iff P \vdash_r Q = (\neg (\neg P ;; true)) \vdash_r Q$

by (*metis H3-def Healthy-def'*)

also have  $\dots \iff P = (\neg (\neg P ;; true))$

by (*metis rdesign-pre*)

also have  $\dots \iff P = (P ;; true)$

by (*simp add: seqr-true-lemma*)

finally show *?thesis* .

**qed**

**theorem** *design-H3-iff-pre*:

**assumes**  $\$ok \# P \$ok' \# P \$ok \# Q \$ok' \# Q$   
**shows**  $P \vdash Q \text{ is } H3 \longleftrightarrow P = (P ;; true)$

**proof** –

**have**  $P \vdash Q = \lfloor P \rfloor_D \vdash_r \lfloor Q \rfloor_D$   
**by** (*simp add: assms lift-desr-inv rdesign-def*)  
**moreover hence**  $\lfloor P \rfloor_D \vdash_r \lfloor Q \rfloor_D \text{ is } H3 \longleftrightarrow \lfloor P \rfloor_D = (\lfloor P \rfloor_D ;; true)$   
**using** *rdesign-H3-iff-pre* **by** *blast*  
**ultimately show** *?thesis*  
**by** (*metis assms drop-desr-inv lift-desr-inv lift-dist-seq lift-dists(1)*)

**qed**

**theorem** *H1-H3-commute*:

$H1 (H3 P) = H3 (H1 P)$   
**by** *rel-tac*

**lemma** *skip-d-absorb-J-1*:

$(II_D ;; J) = II_D$   
**by** (*metis H2-def H2-rdesign skip-d-def*)

**lemma** *skip-d-absorb-J-2*:

$(J ;; II_D) = II_D$

**proof** –

**have**  $(J ;; II_D) = ((\$ok \Rightarrow \$ok') \wedge \lceil II \rceil_D ;; true \vdash II)$   
**by** (*simp add: J-def skip-d-alt-def*)  
**also have**  $\dots = (\exists ok_0 \cdot ((\$ok \Rightarrow \$ok') \wedge \lceil II \rceil_D) \llbracket \ll ok_0 \gg / \$ok' \rrbracket ;; (true \vdash II) \llbracket \ll ok_0 \gg / \$ok \rrbracket)$   
**by** (*subst segr-middle[of ok], simp-all*)  
**also have**  $\dots = (((\$ok \Rightarrow \$ok') \wedge \lceil II \rceil_D) \llbracket false / \$ok' \rrbracket ;; (true \vdash II) \llbracket false / \$ok \rrbracket)$   
 $\vee (((\$ok \Rightarrow \$ok') \wedge \lceil II \rceil_D) \llbracket true / \$ok' \rrbracket ;; (true \vdash II) \llbracket true / \$ok \rrbracket)$   
**by** (*simp add: disj-comm false-alt-def true-alt-def*)  
**also have**  $\dots = ((\neg \$ok \wedge \lceil II \rceil_D ;; true) \vee (\lceil II \rceil_D ;; \$ok' \wedge \lceil II \rceil_D))$   
**by** *rel-tac*  
**also have**  $\dots = II_D$   
**by** *rel-tac*  
**finally show** *?thesis* .

**qed**

**lemma** *H2-H3-absorb*:

$H2 (H3 P) = H3 P$   
**by** (*metis H2-def H3-def segr-assoc skip-d-absorb-J-1*)

**lemma** *H3-H2-absorb*:

$H3 (H2 P) = H3 P$   
**by** (*metis H2-def H3-def segr-assoc skip-d-absorb-J-2*)

**theorem** *H2-H3-commute*:

$H2 (H3 P) = H3 (H2 P)$   
**by** (*simp add: H2-H3-absorb H3-H2-absorb*)

**theorem** *H3-design-pre*:

**assumes**  $\$ok \# p \text{ out}\alpha \# p \$ok \# Q \$ok' \# Q$   
**shows**  $H3(p \vdash Q) = p \vdash Q$   
**using** *assms*  
**by** (*metis Healthy-def' design-H3-iff-pre precondition-right-unit unrest-outalpha-var uvar-ok*)

**theorem** *H3-rdesign-pre*:

**assumes**  $out\alpha \nmid p$   
**shows**  $H3(p \vdash_r Q) = p \vdash_r Q$   
**using** *assms*  
**by** (*simp add: H3-def*)

**theorem** *H1-H3-is-rdesign*:

**assumes**  $P$  is *H1*  $P$  is *H3*  
**shows**  $P = pre_D(P) \vdash_r post_D(P)$   
**by** (*metis H1-H2-is-rdesign H2-H3-absorb Healthy-def' assms*)

**theorem** *H1-H3-is-normal-design*:

**assumes**  $P$  is *H1*  $P$  is *H3*  
**shows**  $P = \lfloor pre_D(P) \rfloor_{<} \vdash_n post_D(P)$   
**by** (*metis H1-H3-is-rdesign assms drop-pre-inv ndesign-def precond-equiv rdesign-H3-iff-pre*)

**abbreviation**  $H1-H3\ p \equiv H1\ (H3\ p)$

**theorem** *wpd-seq-r-H1-H2* [*wp*]:

**fixes**  $P\ Q :: 'a\ hrelation-d$   
**assumes**  $P$  is *H1-H3*  $Q$  is *H1-H3*  
**shows**  $(P ;; Q)\ wp_D\ r = P\ wp_D\ (Q\ wp_D\ r)$   
**by** (*smt H1-H3-commute H1-H3-is-rdesign H1-idem Healthy-def' assms(1) assms(2) drop-pre-inv precond-equiv rdesign-H3-iff-pre wpd-seq-r*)

## 10.6 H4: Feasibility

**theorem** *H4-idem*:

$H4(H4(P)) = H4(P)$   
**by** *pred-tac*

**end**

# 11 Concurrent programming

**theory** *utp-concurrency*

**imports** *utp-designs*

**begin**

**no-notation**

*Sublist.parallel* (**infixl**  $\parallel$  50)

## 11.1 Design parallel composition

**definition** *design-par*  $:: ('a, 'b)\ relation-d \Rightarrow ('a, 'b)\ relation-d \Rightarrow ('a, 'b)\ relation-d$  (**infixr**  $\parallel$  85)

**where**

$P \parallel Q = ((pre_D(P) \wedge pre_D(Q)) \vdash_r (post_D(P) \wedge post_D(Q)))$

**declare** *design-par-def* [*upred-defs*]

**lemma** *parallel-zero*:  $P \parallel true = true$

**proof** –

**have**  $P \parallel true = (pre_D(P) \wedge pre_D(true)) \vdash_r (post_D(P) \wedge post_D(true))$

**by** (*simp add: design-par-def*)

**also have**  $\dots = (pre_D(P) \wedge false) \vdash_r (post_D(P) \wedge true)$

```

    by rel-tac
  also have ... = true
    by rel-tac
  finally show ?thesis .
qed

```

```

lemma parallel-assoc:  $P \parallel Q \parallel R = (P \parallel Q) \parallel R$ 
  by rel-tac

```

```

lemma parallel-comm:  $P \parallel Q = Q \parallel P$ 
  by pred-tac

```

```

lemma parallel-idem:
  assumes  $P$  is  $H1$   $P$  is  $H2$ 
  shows  $P \parallel P = P$ 
  by (metis H1-H2-is-rdesign assms conj-idem design-par-def)

```

```

lemma parallel-mono-1:
  assumes  $P_1 \sqsubseteq P_2$   $P_1$  is  $H1$ - $H2$   $P_2$  is  $H1$ - $H2$ 
  shows  $P_1 \parallel Q \sqsubseteq P_2 \parallel Q$ 
proof -
  have  $pre_D(P_1) \vdash_r post_D(P_1) \sqsubseteq pre_D(P_2) \vdash_r post_D(P_2)$ 
    by (metis H1-H2-commute H1-H2-is-rdesign H1-idem Healthy-def' assms)
  hence  $(pre_D(P_1) \vdash_r post_D(P_1)) \parallel Q \sqsubseteq (pre_D(P_2) \vdash_r post_D(P_2)) \parallel Q$ 
    by (auto simp add: rdesign-refinement design-par-def) (pred-tac+)
  thus ?thesis
    by (metis H1-H2-commute H1-H2-is-rdesign H1-idem Healthy-def' assms)
qed

```

```

lemma parallel-mono-2:
  assumes  $Q_1 \sqsubseteq Q_2$   $Q_1$  is  $H1$ - $H2$   $Q_2$  is  $H1$ - $H2$ 
  shows  $P \parallel Q_1 \sqsubseteq P \parallel Q_2$ 
  by (metis assms parallel-comm parallel-mono-1)

```

## 11.2 Parallel by merge

We describe the partition of a state space into a  $n$  pieces through the use of a list.

**type-synonym**  $'a$  partition =  $'a$  list

A merge relation is a design that describes how a partitioned state-space should be merged into a third state-space. For now the state-spaces for two merged processes should have the same type. This could potentially be generalised, but that might have an effect on our reasoning capabilities.

**definition**  $ind-uvar :: nat \Rightarrow ('a, ' \alpha \text{ alphabet-d}) \text{ uvar} \Rightarrow ('a, (' \alpha \times ' \alpha \text{ partition}) \text{ alphabet-d}) \text{ uvar}$  **where**  
 $ind-uvar\ i\ x = (\mid \text{var-lookup} = \text{var-lookup}\ x \circ (\lambda A. (\mid \text{des-ok} = \text{des-ok}\ A, \dots = \text{snd}(\text{more}\ A) ! i \mid))$   
 $\quad \quad \quad , \text{var-update} = \text{undefined}$   
 $\quad \quad \quad \mid)$

**definition**  $pre-uvar :: ('a, ' \alpha \text{ alphabet-d}) \text{ uvar} \Rightarrow ('a, (' \alpha \times ' \alpha \text{ partition}) \text{ alphabet-d}) \text{ uvar}$  **where**  
 $pre-uvar\ x = (\mid \text{var-lookup} = \text{var-lookup}\ x \circ (\lambda A. (\mid \text{des-ok} = \text{des-ok}\ A, \dots = \text{fst}(\text{more}\ A) \mid))$   
 $\quad \quad \quad , \text{var-update} = \text{undefined} \mid)$

**lemma** *ind-uvar-semi-uvar*:  
*semi-uvar*  $x \implies \text{semi-uvar } (\text{ind-uvar } i \ x)$   
**apply** (*unfold-locales*)  
**apply** (*simp-all add:ind-uvar-def*)  
**oops**

**syntax**

*-uprevar*  $:: ('t, 'α) \text{ uvar} \Rightarrow \text{logic } (\$<- [999] \ 999)$   
*-udotvar*  $:: \text{nat} \Rightarrow ('t, 'α) \text{ uvar} \Rightarrow \text{logic } (\&- [0,999] \ 999)$   
*-uidotvar*  $:: \text{nat} \Rightarrow ('t, 'α) \text{ uvar} \Rightarrow \text{logic } (\$- [0,999] \ 999)$   
*-uodotvar*  $:: \text{nat} \Rightarrow ('t, 'α) \text{ uvar} \Rightarrow \text{logic } (\$- ' [999] \ 999)$   
*-sdotvar*  $:: \text{nat} \Rightarrow \text{id} \Rightarrow \text{svar } (\&- [0,999] \ 999)$   
*-sin-dotvar*  $:: \text{nat} \Rightarrow \text{id} \Rightarrow \text{svar } (\$-)$   
*-sout-dotvar*  $:: \text{nat} \Rightarrow \text{id} \Rightarrow \text{svar } (\$- ')$

**translations**

*-uprevar*  $x == \text{CONST var } (\text{CONST in-var } (\text{CONST pre-uvar } x))$   
*-udotvar*  $n \ x == \text{CONST var } (\text{CONST ind-uvar } n \ x)$   
*-uidotvar*  $n \ x == \text{CONST var } (\text{CONST in-var } (\text{CONST ind-uvar } n \ x))$   
*-uidotvar*  $n \ x == \text{CONST var } (\text{CONST out-var } (\text{CONST ind-uvar } n \ x))$   
*-sdotvar*  $n \ x == \text{CONST ind-uvar } n \ x$   
*-sin-dotvar*  $n \ x == \text{CONST in-var } (\text{CONST ind-uvar } n \ x)$   
*-sout-dotvar*  $n \ x == \text{CONST out-var } (\text{CONST ind-uvar } n \ x)$   
*-psubst*  $m \ (-\text{sdotvar } n \ x) \ v \Rightarrow \text{CONST subst-upd } m \ (\text{CONST ind-uvar } n \ x) \ v$

**type-synonym**  $'α \text{ merge} = ('α \times 'α \text{ partition}, 'α \text{ relation-d})$

Separating simulations

**lift-definition** *sep-sim*  $:: \text{nat} \Rightarrow ('α, 'α \text{ partition}) \text{ relation-d } (U'(-)) \text{ is}$   
 $\lambda n \ (A, A'). \text{des-ok } A' = \text{des-ok } A \wedge \text{length } (\text{alpha-d.more } A') > n \wedge \text{alpha-d.more } A'! \ n = \text{alpha-d.more } A .$

**lift-definition** *alpha-ext*  $:: ('α, 'β) \text{ relation-d} \Rightarrow ('α, 'α \times 'β) \text{ relation-d } (-_+ [999] \ 999) \text{ is}$   
 $\lambda P \ (A, A'). P \ (A, \Downarrow \text{des-ok} = \text{des-ok } A', \dots = \text{snd } (\text{more } A')) \wedge \text{des-ok } A' = \text{des-ok } A \wedge \text{fst } (\text{more } A') = \text{more } A .$

Parallel by merge

**definition** *design-par-by-merge*  $::$

$'α \text{ hrelation-d} \Rightarrow 'α \text{ merge} \Rightarrow 'α \text{ hrelation-d} \Rightarrow 'α \text{ hrelation-d } (\text{infixr } \parallel - \ 85)$   
**where**  $P \parallel_M Q = (((P ;; U(0)) \parallel (Q ;; U(1)))_+ ;; M)$

**end**

## 12 Reactive processes

**theory** *utp-reactive*

**imports**

*utp-concurrency*

*utp-event*

**begin**

### 12.1 Preliminaries

**type-synonym**  $'α \text{ trace} = 'α \text{ list}$

```

fun list-diff :: 'α list ⇒ 'α list ⇒ 'α list option where
  list-diff l [] = Some l
  | list-diff [] l = None
  | list-diff (x#xs) (y#ys) = (if (x = y) then (list-diff xs ys) else None)

```

```

lemma list-diff-empty [simp]: the (list-diff l []) = l
by (cases l) auto

```

```

lemma prefix-subst [simp]: l @ t = m ⇒ m - l = t
by (auto)

```

```

lemma prefix-subst1 [simp]: m = l @ t ⇒ m - l = t
by (auto)

```

The definitions of reactive process alphabets and healthiness conditions are given in the following. The healthiness conditions of reactive processes are defined by  $R1$ ,  $R2$ ,  $R3$  and their composition  $R$ .

```

type-synonym 'v refusal = 'v set

```

```

record 'v alpha-rp = alpha-d +
  rp-wait :: bool
  rp-tr   :: 'v trace
  rp-ref  :: 'v refusal

```

```

definition wait = VAR rp-wait

```

```

definition tr  = VAR rp-tr

```

```

definition ref = VAR rp-ref

```

```

declare wait-def [upred-defs]

```

```

declare tr-def [upred-defs]

```

```

declare ref-def [upred-defs]

```

```

lemma tr-ok-indep [simp]: tr ⋈ ok ok ⋈ tr
by (simp add: uvar-indep-def, pred-tac)+

```

```

lemma wait-ok-indep [simp]: wait ⋈ ok ok ⋈ wait
by (simp add: uvar-indep-def, pred-tac)+

```

```

lemma ref-ok-indep [simp]: ref ⋈ ok ok ⋈ ref
by (simp add: uvar-indep-def, pred-tac)+

```

```

lemma tr-wait-indep [simp]: tr ⋈ wait wait ⋈ tr
by (simp add: uvar-indep-def, pred-tac)+

```

```

lemma ref-wait-indep [simp]: ref ⋈ wait wait ⋈ ref
by (simp add: uvar-indep-def, pred-tac)+

```

```

lemma tr-ref-indep [simp]: ref ⋈ tr tr ⋈ ref
by (simp add: uvar-indep-def, pred-tac)+

```

```

instantiation alpha-rp-ext :: (type, vst) vst

```

```

begin

```

```

  definition get-vstore-alpha-rp-ext :: ('a, 'b) alpha-rp-ext ⇒ vstore

```

```

  where [simp]: get-vstore-alpha-rp-ext x = get-vstore (alpha-rp.more (alpha-d.extend undefined x))

```

```

  definition upd-vstore-alpha-rp-ext :: (vstore ⇒ vstore) ⇒ ('a, 'b) alpha-rp-ext ⇒ ('a, 'b) alpha-rp-ext

```

**where**  $[simp]: upd-vstore-alpha-rp-ext\ f\ x = alpha-d.more\ (alpha-rp.more-update\ (upd-vstore\ f)\ (alpha-d.extend\ undefined\ x))$

**instance**

**apply**  $(intro-classes, auto\ simp\ add: upd-store-para[THEN\ sym]\ alpha-rp.defs\ alpha-d.defs)$   
**apply**  $(metis\ (no-types, lifting)\ alpha-d.ext-inject\ alpha-d.surjective\ alpha-rp.select-convs(4)\ alpha-rp.surjective\ alpha-rp.update-convs(4)\ get-upd-vstore)$   
**apply**  $(smt\ alpha-d.select-convs(2)\ alpha-rp.surjective\ alpha-rp.update-convs(4)\ upd-vstore-comp)$   
**apply**  $(metis\ alpha-d.select-convs(2)\ alpha-rp.surjective\ alpha-rp.update-convs(4)\ upd-vstore-eta)$   
**apply**  $(metis\ alpha-rp.unfold-congs(5)\ upd-store-para)$   
**done**  
**end**

**lemma**  $uvar-wait\ [simp]: uvar\ wait$

**by**  $(unfold-locales, simp-all\ add: wait-def)$   
 $(metis\ (no-types, lifting)\ alpha-d.ext-inject\ alpha-rp.ext-inject\ alpha-rp.surjective\ alpha-rp.update-convs(1))$

**lemma**  $uvar-tr\ [simp]: uvar\ tr$

**by**  $(unfold-locales, simp-all\ add: tr-def)$   
 $(metis\ alpha-d.ext-inject\ alpha-rp.ext-inject\ alpha-rp.surjective\ alpha-rp.update-convs(2))$

**lemma**  $uvar-ref\ [simp]: uvar\ ref$

**by**  $(unfold-locales, simp-all\ add: ref-def)$   
 $(metis\ alpha-d.ext-inject\ alpha-rp.ext-inject\ alpha-rp.surjective\ alpha-rp.update-convs(3))$

Note that we define here the class of UTP alphabets that contain *wait*, *tr* and *ref*, or, in other words, we define here the class of reactive process alphabets.

**type-synonym**  $('v, 'a)\ alphabet-rp = ('v, 'a)\ alpha-rp-scheme\ alphabet$

**type-synonym**  $('v, 'a, 'b)\ relation-rp = (('v, 'a)\ alphabet-rp, ('v, 'b)\ alphabet-rp)\ relation$

**type-synonym**  $('v, 'a)\ hrelation-rp = (('v, 'a)\ alphabet-rp, ('v, 'a)\ alphabet-rp)\ relation$

**type-synonym**  $('v, 's)\ predicate-rp = ('v, 's)\ alphabet-rp\ upred$

**abbreviation**  $wait-f::('v, 'a, 'b)\ relation-rp \Rightarrow ('v, 'a, 'b)\ relation-rp\ (-_f\ [1000]\ 1000)$

**where**  $wait-f\ R \equiv R\llbracket false/\$wait \rrbracket$

**abbreviation**  $wait-t::('v, 'a, 'b)\ relation-rp \Rightarrow ('v, 'a, 'b)\ relation-rp\ (-_t\ [1000]\ 1000)$

**where**  $wait-t\ R \equiv R\llbracket true/\$wait \rrbracket$

**lift-definition**  $lift-rea::('a, 'b)\ relation \Rightarrow ('v, 'a, 'b)\ relation-rp\ ([\_]\_R)\ is$

$\lambda P\ (A, A').\ P\ (more\ A, more\ A')\ .$

**lift-definition**  $drop-rea::('v, 'a, 'b)\ relation-rp \Rightarrow ('a, 'b)\ relation\ ([\_]\_R)\ is$

$\lambda P\ (A, A').\ P\ (\llbracket des-ok = True, rp-wait = True, rp-tr = [], rp-ref = \{\}, \dots = A \rrbracket,$   
 $\llbracket des-ok = True, rp-wait = True, rp-tr = [], rp-ref = \{\}, \dots = A' \rrbracket)\ .$

## 12.2 R1: Events cannot be undone

**definition**  $R1-def\ [upred-defs]: R1\ (P) = (P \wedge (\$tr \leq_u \$tr'))$

**lemma**  $R1-idem: R1(R1(P)) = R1(P)$

**by**  $pred-tac$

**lemma**  $R1-mono: P \sqsubseteq Q \Longrightarrow R1(P) \sqsubseteq R1(Q)$

**by**  $pred-tac$

**lemma**  $R1-conj: R1(P \wedge Q) = (R1(P) \wedge R1(Q))$

by *pred-tac*

**lemma** *R1-disj*:  $R1(P \vee Q) = (R1(P) \vee R1(Q))$   
by *pred-tac*

**lemma** *R1-extend-conj*:  $R1(P \wedge Q) = (R1(P) \wedge Q)$   
by *pred-tac*

**lemma** *R1-cond*:  $R1(P \triangleleft b \triangleright Q) = (R1(P) \triangleleft b \triangleright R1(Q))$   
by *rel-tac*

**lemma** *R1-negate-R1*:  $R1(\neg R1(P)) = R1(\neg P)$   
by *pred-tac*

**lemma** *R1-wait-true*:  $(R1\ P)_t = R1(P)_t$   
by *pred-tac*

**lemma** *R1-wait-false*:  $(R1\ P)_f = R1(P)_f$   
by *pred-tac*

**lemma** *R1-skip*:  $R1(II) = II$   
by *rel-tac*

**lemma** *R1-by-refinement*:  
 $P \text{ is } R1 \iff ((\$tr \leq_u \$tr') \sqsubseteq P)$   
by *rel-tac*

**lemma** *tr-le-trans*:  
 $(\$tr \leq_u \$tr' ;; \$tr \leq_u \$tr') = (\$tr \leq_u \$tr')$   
by (*rel-tac*, *metis alpha-rp.select-convs(2) order-refl*)

**lemma** *R1-seqr-closure*:  
assumes  $P \text{ is } R1$   $Q \text{ is } R1$   
shows  $(P ;; Q) \text{ is } R1$   
using *assms unfolding R1-by-refinement*  
by (*metis seqr-mono tr-le-trans*)

**lemma** *R1-ok'-true*:  $(R1(P))^t = R1(P^t)$   
by *pred-tac*

**lemma** *R1-ok'-false*:  $(R1(P))^f = R1(P^f)$   
by *pred-tac*

**lemma** *R1-ok-true*:  $(R1(P))\llbracket true/\$ok \rrbracket = R1(P\llbracket true/\$ok \rrbracket)$   
by *pred-tac*

**lemma** *R1-ok-false*:  $(R1(P))\llbracket false/\$ok \rrbracket = R1(P\llbracket false/\$ok \rrbracket)$   
by *pred-tac*

**lemma** *seqr-R1-true-right*:  $((P ;; R1(true)) \vee P) = (P ;; (\$tr \leq_u \$tr'))$   
by *rel-tac*

## 12.3 R2

**definition** *R2s-def* [*upred-defs*]:  $R2s\ (P) = (P\llbracket \langle \rangle / \$tr \rrbracket\llbracket (\$tr' - \$tr) / \$tr' \rrbracket)$

**definition** *R2-def* [*upred-defs*]:  $R2(P) = R1(R2s(P))$



**lemma** *R2s-idem*:  $R2s(R2s(P)) = R2s(P)$   
 by (*pred-tac*)

**lemma** *R2-idem*:  $R2(R2(P)) = R2(P)$   
 by (*pred-tac*)

**lemma** *R2-mono*:  $P \sqsubseteq Q \implies R2(P) \sqsubseteq R2(Q)$   
 by (*pred-tac*)

**lemma** *R2s-conj*:  $R2s(P \wedge Q) = (R2s(P) \wedge R2s(Q))$   
 by (*pred-tac*)

**lemma** *R2-conj*:  $R2(P \wedge Q) = (R2(P) \wedge R2(Q))$   
 by (*pred-tac*)

**lemma** *R2s-condr*:  $R2s(P \triangleleft b \triangleright Q) = (R2s(P) \triangleleft R2s(b) \triangleright R2s(Q))$   
 by (*rel-tac*)

**lemma** *R2-condr*:  $R2(P \triangleleft b \triangleright Q) = (R2(P) \triangleleft R2(b) \triangleright R2(Q))$   
 by (*rel-tac*)

**lemma** *tr-prefix-as-concat*:  $(xs \leq_u ys) = (\exists zs \cdot ys =_u xs \hat{\ }_u \ll zs \gg)$   
 by (*rel-tac*, *simp add: less-eq-list-def prefixeq-def*)

**lemma** *R2-form*:  
 $R2(P) = (\exists tt \cdot P[\langle \rangle / \$tr][\ll tt \gg / \$tr'] \wedge \$tr' =_u \$tr \hat{\ }_u \ll tt \gg)$   
 by (*rel-tac*, *metis prefix-subst strict-prefixE*)

**lemma** *uconc-left-unit* [*simp*]:  $\langle \rangle \hat{\ }_u e = e$   
 by (*pred-tac*)

**lemma** *uconc-right-unit* [*simp*]:  $e \hat{\ }_u \langle \rangle = e$   
 by (*pred-tac*)

This laws is proven only for homogeneous relations, can it be generalised?

**lemma** *R2-seqr-form*:  
 fixes  $P Q :: ('\theta, '\alpha, '\alpha) \text{ relation-rp}$   
 shows  $(R2(P) ;; R2(Q)) =$   
 $(\exists tt_1 \cdot \exists tt_2 \cdot ((P[\langle \rangle / \$tr][\ll tt_1 \gg / \$tr'] ;; (Q[\langle \rangle / \$tr][\ll tt_2 \gg / \$tr'])))$   
 $\wedge (\$tr' =_u \$tr \hat{\ }_u \ll tt_1 \gg \hat{\ }_u \ll tt_2 \gg))$

**proof** –

have  $(R2(P) ;; R2(Q)) = (\exists tr_0 \cdot (R2(P))[\ll tr_0 \gg / \$tr'] ;; (R2(Q))[\ll tr_0 \gg / \$tr])$   
 by (*subst seqr-middle[of tr]*, *simp-all*)

also have ... =

$(\exists tr_0 \cdot \exists tt_1 \cdot \exists tt_2 \cdot ((P[\langle \rangle / \$tr][\ll tt_1 \gg / \$tr'] \wedge \ll tr_0 \gg =_u \$tr \hat{\ }_u \ll tt_1 \gg) ;;$   
 $(Q[\langle \rangle / \$tr][\ll tt_2 \gg / \$tr'] \wedge \$tr' =_u \ll tr_0 \gg \hat{\ }_u \ll tt_2 \gg)))$

by (*simp add: R2-form usubst unrest uquant-lift var-in-var var-out-var*, *rel-tac*)

also have ... =

$(\exists tr_0 \cdot \exists tt_1 \cdot \exists tt_2 \cdot ((\ll tr_0 \gg =_u \$tr \hat{\ }_u \ll tt_1 \gg \wedge P[\langle \rangle / \$tr][\ll tt_1 \gg / \$tr']) ;;$   
 $(Q[\langle \rangle / \$tr][\ll tt_2 \gg / \$tr'] \wedge \$tr' =_u \ll tr_0 \gg \hat{\ }_u \ll tt_2 \gg)))$

by (*simp add: conj-comm*)

also have ... =

$(\exists tt_1 \cdot \exists tt_2 \cdot \exists tr_0 \cdot ((P[\langle \rangle / \$tr][\ll tt_1 \gg / \$tr'] ;; (Q[\langle \rangle / \$tr][\ll tt_2 \gg / \$tr'])))$   
 $\wedge \ll tr_0 \gg =_u \$tr \hat{\ }_u \ll tt_1 \gg \wedge \$tr' =_u \ll tr_0 \gg \hat{\ }_u \ll tt_2 \gg)$

by (*simp add: seqr-pre-out seqr-post-out unrest, rel-tac*)  
 also have ... =  

$$(\exists tt_1 \cdot \exists tt_2 \cdot ((P[\langle \rangle / \$tr][\langle tt_1 \rangle / \$tr'] \;; (Q[\langle \rangle / \$tr][\langle tt_2 \rangle / \$tr'])))$$

$$\wedge (\exists tr_0 \cdot \langle tr_0 \rangle =_u \$tr \hat{^}_u \langle tt_1 \rangle \wedge \$tr' =_u \langle tr_0 \rangle \hat{^}_u \langle tt_2 \rangle))$$
  
 by *rel-tac*  
 also have ... =  

$$(\exists tt_1 \cdot \exists tt_2 \cdot ((P[\langle \rangle / \$tr][\langle tt_1 \rangle / \$tr'] \;; (Q[\langle \rangle / \$tr][\langle tt_2 \rangle / \$tr'])))$$

$$\wedge (\$tr' =_u \$tr \hat{^}_u \langle tt_1 \rangle \hat{^}_u \langle tt_2 \rangle))$$
  
 by *rel-tac*  
 finally show *?thesis* .  
 qed

**lemma** *R2-seqr-distribute*:

fixes  $P \ Q \ :: \ (' \vartheta, ' \alpha, ' \alpha) \text{ relation-rp}$

shows  $R2(R2(P) \;; R2(Q)) = (R2(P) \;; R2(Q))$

**proof** –

have  $R2(R2(P) \;; R2(Q)) =$

$$((\exists tt_1 \cdot \exists tt_2 \cdot (P[\langle \rangle / \$tr][\langle tt_1 \rangle / \$tr'] \;; Q[\langle \rangle / \$tr][\langle tt_2 \rangle / \$tr'])(\$tr' - \$tr) / \$tr')$$

$$\wedge \$tr' - \$tr =_u \langle tt_1 \rangle \hat{^}_u \langle tt_2 \rangle) \wedge \$tr' \geq_u \$tr)$$

by (*simp add: R2-seqr-form, simp add: R2s-def usubst unrest, rel-tac, blast+*)

also have ... =

$$((\exists tt_1 \cdot \exists tt_2 \cdot (P[\langle \rangle / \$tr][\langle tt_1 \rangle / \$tr'] \;; Q[\langle \rangle / \$tr][\langle tt_2 \rangle / \$tr'])(\langle tt_1 \rangle \hat{^}_u \langle tt_2 \rangle) / \$tr')$$

$$\wedge \$tr' - \$tr =_u \langle tt_1 \rangle \hat{^}_u \langle tt_2 \rangle) \wedge \$tr' \geq_u \$tr)$$

by (*subst subst-eq-replace, simp*)

also have ... =

$$((\exists tt_1 \cdot \exists tt_2 \cdot (P[\langle \rangle / \$tr][\langle tt_1 \rangle / \$tr'] \;; Q[\langle \rangle / \$tr][\langle tt_2 \rangle / \$tr'])))$$

$$\wedge \$tr' - \$tr =_u \langle tt_1 \rangle \hat{^}_u \langle tt_2 \rangle) \wedge \$tr' \geq_u \$tr)$$

by (*simp add: usubst unrest*)

also have ... =

$$(\exists tt_1 \cdot \exists tt_2 \cdot (P[\langle \rangle / \$tr][\langle tt_1 \rangle / \$tr'] \;; Q[\langle \rangle / \$tr][\langle tt_2 \rangle / \$tr'])))$$

$$\wedge (\$tr' - \$tr =_u \langle tt_1 \rangle \hat{^}_u \langle tt_2 \rangle \wedge \$tr' \geq_u \$tr))$$

by *pred-tac*

also have ... =

$$((\exists tt_1 \cdot \exists tt_2 \cdot (P[\langle \rangle / \$tr][\langle tt_1 \rangle / \$tr'] \;; Q[\langle \rangle / \$tr][\langle tt_2 \rangle / \$tr'])))$$

$$\wedge \$tr' =_u \$tr \hat{^}_u \langle tt_1 \rangle \hat{^}_u \langle tt_2 \rangle))$$

**proof** –

have  $\bigwedge tt_1 \ tt_2. (((\$tr' - \$tr =_u \langle tt_1 \rangle \hat{^}_u \langle tt_2 \rangle) \wedge \$tr' \geq_u \$tr) \:: (' \vartheta, ' \alpha, ' \alpha) \text{ relation-rp})$   

$$= (\$tr' =_u \$tr \hat{^}_u \langle tt_1 \rangle \hat{^}_u \langle tt_2 \rangle)$$

by (*rel-tac, metis prefix-subst strict-prefixE*)

thus *?thesis* by *simp*

qed

also have ... =  $(R2(P) \;; R2(Q))$

by (*simp add: R2-seqr-form*)

finally show *?thesis* .

qed

**lemma** *R1-R2-commute*:

$R1(R2(P)) = R2(R1(P))$

by *pred-tac*

## 12.4 R3

**definition** *skip-rea-def* [*urel-defs*]:  $II_r = (II \vee (\neg \$ok \wedge \$tr \leq_u \$tr'))$

**definition** *R3-def* [*upred-defs*]:  $R3 \ (P) = (II \triangleleft \$wait \triangleright P)$

**definition** *R3c-def* [*upred-defs*]:  $R3c(P) = (II_r \triangleleft \$wait \triangleright P)$

**definition** *RH-def* [*upred-defs*]:  $RH(P) = R1(R2(R3c(P)))$

**lemma** *R3-idem*:  $R3(R3(P)) = R3(P)$   
by *rel-tac*

**lemma** *R3-mono*:  $P \sqsubseteq Q \implies R3(P) \sqsubseteq R3(Q)$   
by *rel-tac*

**lemma** *R3-conj*:  $R3(P \wedge Q) = (R3(P) \wedge R3(Q))$   
by *rel-tac*

**lemma** *R3-disj*:  $R3(P \vee Q) = (R3(P) \vee R3(Q))$   
by *rel-tac*

**lemma** *R3-condr*:  $R3(P \triangleleft b \triangleright Q) = (R3(P) \triangleleft b \triangleright R3(Q))$   
by *rel-tac*

**lemma** *R3-skipr*:  $R3(II) = II$   
by *rel-tac*

**lemma** *R3-form*:  $R3(P) = ((\$wait \wedge II) \vee (\neg \$wait \wedge P))$   
by *rel-tac*

**lemma** *R3-semir-form*:  
 $(R3(P) ;; R3(Q)) = R3(P ;; R3(Q))$   
by *rel-tac*

**lemma** *R3-semir-closure*:  
assumes *P is R3 Q is R3*  
shows  $(P ;; Q)$  is *R3*  
using *assms*  
by (*metis Healthy-def' R3-semir-form*)

**lemma** *R1-R3-commute*:  $R1(R3(P)) = R3(R1(P))$   
by *rel-tac*

**lemma** *R2-R3-commute*:  $R2(R3(P)) = R3(R2(P))$   
by (*rel-tac*, (*metis* (*no-types*, *lifting*) *alpha-rp.surjective alpha-rp.update-convs(2) append-Nil2 prefix-subst strict-prefixE*)+)

**lemma** *R3c-idem*:  $R3c(R3c(P)) = R3c(P)$   
by *rel-tac*

**lemma** *R1-skip-rea*:  $R1(II_r) = II_r$   
by *rel-tac*

**lemma** *R2-skip-rea*:  $R2(II_r) = II_r$   
apply (*rel-tac*)  
apply (*metis* (*no-types*, *lifting*) *alpha-rp.surjective alpha-rp.update-convs(2) append-Nil2 prefix-subst strict-prefixE*)  
**done**

**end**