

# UTP Designs

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## 1 Design Signature and Core Laws

```
theory utp-des-core
imports UTP.utp
begin
```

In UTP, in order to explicitly record the termination of a program, a subset of alphabetised relations is introduced. These relations are called designs, and their alphabet contains the special boolean observational variable *ok*. It is used to record the start and termination of a program. For more information on designs please see Chapter 3 of the UTP book [3], or the more accessible designs tutorial [2].

### 1.1 Definitions

Two named theorem sets exist are created to group theorems that, respectively, provide pre-postcondition definitions, and simplify operators to their normal design form.

```
named-theorems ndes and ndes-simp
```

```
alphabet des-vars =
  ok :: bool
```

```
declare des-vars.defs [lens-defs]
```

The two locale interpretations below are a technicality to improve automatic proof support via the predicate and relational tactics. This is to enable the (re-)interpretation of state spaces to remove any occurrences of lens types after the proof tactics *pred-simp* and *rel-simp*, or any of their derivatives have been applied. Eventually, it would be desirable to automate both interpretations as part of a custom outer command for defining alphabets.

```
interpretation des-vars: lens-interp  $\lambda r. (ok_v\ r, more\ r)$ 
apply (unfold-locales)
apply (rule injI)
apply (clarsimp)
done
```

```
interpretation des-vars-rel:
  lens-interp  $\lambda(r, r'). (ok_v\ r, ok_v\ r', more\ r, more\ r')$ 
apply (unfold-locales)
apply (rule injI)
apply (clarsimp)
done
```

```
lemma ok-ord [usubst]:
  $ok <_v $ok'
  by (simp add: var-name-ord-def)
```

```
type-synonym ' $\alpha$  des = ' $\alpha$  des-vars-scheme
type-synonym (' $\alpha$ , ' $\beta$ ) rel-des = (' $\alpha$  des, ' $\beta$  des) urel
type-synonym ' $\alpha$  hrel-des = (' $\alpha$  des) hrel
```

```
translations
  (type) ' $\alpha$  des <= (type) ' $\alpha$  des-vars-scheme
```

$(type) \ ' \alpha \ des \leq (type) \ ' \alpha \ des\text{-}vars\text{-}ext$   
 $(type) \ (' \alpha, ' \beta) \ rel\text{-}des \leq (type) \ (' \alpha \ des, ' \beta \ des) \ urel$   
 $(type) \ ' \alpha \ hrel\text{-}des \leq (type) \ ' \alpha \ des \ hrel$

**notation** *des-vars-child-lens*  $(\Sigma_D)$

**lemma** *ok-des-bij-lens*: *bij-lens*  $(ok +_L \Sigma_D)$

**by**  $(unfold\text{-}locales, simp\text{-}all \text{ add: } ok\text{-}def \ des\text{-}vars\text{-}child\text{-}lens\text{-}def \ lens\text{-}plus\text{-}def \ prod.\text{case}\text{-}eq\text{-}if)$

Define the lens functor for designs

**definition** *lmap-des-vars* ::  $(' \alpha \implies ' \beta) \implies (' \alpha \ des\text{-}vars\text{-}scheme \implies ' \beta \ des\text{-}vars\text{-}scheme) \ (lmap_D)$

**where**  $[lens\text{-}defs]$ : *lmap-des-vars* = *lmap* $[des\text{-}vars]$

**lemma** *lmap-des-vars*: *vwb-lens*  $f \implies vwb\text{-}lens \ (lmap\text{-}des\text{-}vars \ f)$

**by**  $(unfold\text{-}locales, auto \ simp \text{ add: } lens\text{-}defs)$

**lemma** *lmap-id*: *lmap* $_D \ 1_L = 1_L$

**by**  $(simp \text{ add: } lens\text{-}defs \ fun\text{-}eq\text{-}iff)$

**lemma** *lmap-comp*: *lmap* $_D \ (f ;_L g) = lmap_D \ f ;_L lmap_D \ g$

**by**  $(simp \text{ add: } lens\text{-}defs \ fun\text{-}eq\text{-}iff)$

The following notations define liftings from non-design predicates into design predicates using alphabet extensions.

**abbreviation** *lift-desr*  $(\lceil \cdot \rceil_D)$

**where**  $\lceil P \rceil_D \equiv P \oplus_P (\Sigma_D \times_L \Sigma_D)$

**abbreviation** *lift-pre-desr*  $(\lceil \cdot \rceil_{D<})$

**where**  $\lceil p \rceil_{D<} \equiv \lceil \lceil p \rceil_{<} \rceil_D$

**abbreviation** *lift-post-desr*  $(\lceil \cdot \rceil_{D>})$

**where**  $\lceil p \rceil_{D>} \equiv \lceil \lceil p \rceil_{>} \rceil_D$

**abbreviation** *drop-desr*  $(\lfloor \cdot \rfloor_D)$

**where**  $\lfloor P \rfloor_D \equiv P \upharpoonright_e (\Sigma_D \times_L \Sigma_D)$

**abbreviation** *dcond* ::  $(' \alpha, ' \beta) \ rel\text{-}des \Rightarrow ' \alpha \ upred \Rightarrow (' \alpha, ' \beta) \ rel\text{-}des \Rightarrow (' \alpha, ' \beta) \ rel\text{-}des$

$((\exists - \triangleleft - \triangleright_D / -) [52,0,53] \ 52)$

**where**  $P \triangleleft b \triangleright_D Q \equiv P \triangleleft \lceil b \rceil_{D<} \triangleright Q$

**definition** *design*:: $(' \alpha, ' \beta) \ rel\text{-}des \Rightarrow (' \alpha, ' \beta) \ rel\text{-}des \Rightarrow (' \alpha, ' \beta) \ rel\text{-}des \ (\mathbf{infixl} \vdash_{60})$  **where**

$[upred\text{-}defs]$ :  $P \vdash Q = (\$ok \wedge P \Rightarrow \$ok' \wedge Q)$

An rdesign is a design that uses the Isabelle type system to prevent reference to ok in the assumption and commitment.

**definition** *rdesign*:: $(' \alpha, ' \beta) \ urel \Rightarrow (' \alpha, ' \beta) \ urel \Rightarrow (' \alpha, ' \beta) \ rel\text{-}des \ (\mathbf{infixl} \vdash_r \ 60)$  **where**

$[upred\text{-}defs]$ :  $(P \vdash_r Q) = \lceil P \rceil_D \vdash \lceil Q \rceil_D$

An ndesign is a normal design, i.e. where the assumption is a condition

**definition** *ndesign*:: $' \alpha \ cond \Rightarrow (' \alpha, ' \beta) \ urel \Rightarrow (' \alpha, ' \beta) \ rel\text{-}des \ (\mathbf{infixl} \vdash_n \ 60)$  **where**

$[upred\text{-}defs]$ :  $(p \vdash_n Q) = (\lceil p \rceil_{<} \vdash_r Q)$

**definition** *skip-d* ::  $' \alpha \ hrel\text{-}des \ (II_D)$  **where**

$[upred\text{-}defs]$ :  $II_D \equiv (true \vdash_r II)$

**definition** *bot-d* :: ( $\alpha, \beta$ ) *rel-des* ( $\perp_D$ ) **where**  
*[upred-defs]*:  $\perp_D = (\text{false} \vdash \text{false})$

**definition** *pre-design* :: ( $\alpha, \beta$ ) *rel-des*  $\Rightarrow$  ( $\alpha, \beta$ ) *urel* (*pre<sub>D</sub>*) **where**  
*[upred-defs]*:  $\text{pre}_D(P) = \lfloor \neg P \llbracket \text{true}, \text{false} / \$ok, \$ok' \rrbracket \rfloor_D$

**definition** *post-design* :: ( $\alpha, \beta$ ) *rel-des*  $\Rightarrow$  ( $\alpha, \beta$ ) *urel* (*post<sub>D</sub>*) **where**  
*[upred-defs]*:  $\text{post}_D(P) = \lfloor P \llbracket \text{true}, \text{true} / \$ok, \$ok' \rrbracket \rfloor_D$

**syntax**

-*ok-f* :: *logic*  $\Rightarrow$  *logic* ( $^f [1000] 1000$ )  
-*ok-t* :: *logic*  $\Rightarrow$  *logic* ( $^t [1000] 1000$ )  
-*top-d* :: *logic* ( $\top_D$ )

**translations**

$P^f \Rightarrow \text{CONST usubst} (\text{CONST subst-upd} \text{CONST id} (\text{CONST ovar} \text{CONST ok}) \text{false}) P$   
 $P^t \Rightarrow \text{CONST usubst} (\text{CONST subst-upd} \text{CONST id} (\text{CONST ovar} \text{CONST ok}) \text{true}) P$   
 $\top_D \Rightarrow \text{CONST not-upred} (\text{CONST utp-expr.var} (\text{CONST ivar} \text{CONST ok}))$

## 1.2 Lifting, Unrestriction, and Substitution

**lemma** *drop-desr-inv* [*simp*]:  $\lfloor \lfloor P \rfloor_D \rfloor_D = P$   
**by** (*simp add: prod-mwb-lens*)

**lemma** *lift-desr-inv*:

**fixes**  $P :: (\alpha, \beta) \text{ rel-des}$   
**assumes**  $\$ok \# P \$ok' \# P$   
**shows**  $\lfloor \lfloor P \rfloor_D \rfloor_D = P$

**proof** –

**have** *bij-lens* ( $\Sigma_D \times_L \Sigma_D +_L (\text{in-var ok} +_L \text{out-var ok}) :: (-, \alpha \text{ des-vars-scheme} \times \beta \text{ des-vars-scheme})$   
*lens*)

(**is** *bij-lens* ( $?P$ ))

**proof** –

**have**  $?P \approx_L (\text{ok} +_L \Sigma_D) \times_L (\text{ok} +_L \Sigma_D)$  (**is**  $?P \approx_L ?Q$ )

**apply** (*simp add: in-var-def out-var-def prod-as-plus*)

**apply** (*simp add: prod-as-plus [THEN sym]*)

**apply** (*meson lens-equiv-sym lens-equiv-trans lens-indep-prod lens-plus-comm lens-plus-prod-exchange*  
*des-vars-indeps(1)*)

**done**

**moreover have** *bij-lens*  $?Q$

**by** (*simp add: ok-des-bij-lens prod-bij-lens*)

**ultimately show** *?thesis*

**by** (*metis bij-lens-equiv lens-equiv-sym*)

**qed**

**with** *assms* **show** *?thesis*

**apply** (*rule-tac aext-arestr [of - in-var ok +<sub>L</sub> out-var ok]*)

**apply** (*simp add: prod-mwb-lens*)

**apply** (*simp*)

**apply** (*metis alpha-in-var lens-indep-prod lens-indep-sym des-vars-indeps(1) out-var-def prod-as-plus*)

**using** *unrest-var-comp* **apply** *blast*

**done**

**qed**

**lemma** *unrest-out-des-lift* [*unrest*]:  $\text{out} \alpha \# p \Rightarrow \text{out} \alpha \# \lfloor p \rfloor_D$

by (*pred-simp*)

**lemma** *lift-dist-seq* [*simp*]:  
 $\lceil P \rrbracket_D \mathbin{;;} \lceil Q \rrbracket_D = (\lceil P \rrbracket_D \mathbin{;;} \lceil Q \rrbracket_D)$   
 by (*rel-auto*)

**lemma** *lift-des-skip-dr-unit* [*simp*]:  
 $(\lceil P \rrbracket_D \mathbin{;;} \lceil II \rrbracket_D) = \lceil P \rrbracket_D$   
 $(\lceil II \rrbracket_D \mathbin{;;} \lceil P \rrbracket_D) = \lceil P \rrbracket_D$   
 by (*rel-auto*)<sup>+</sup>

**lemma** *lift-des-skip-dr-unit-unrest*:  $\$ok' \# P \implies (P \mathbin{;;} \lceil II \rrbracket_D) = P$   
 by (*rel-auto*)

**lemma** *state-subst-design* [*usubst*]:  
 $\lceil \sigma \oplus_s \Sigma_D \rrbracket_s \dagger (P \vdash_r Q) = (\lceil \sigma \rrbracket_s \dagger P) \vdash_r (\lceil \sigma \rrbracket_s \dagger Q)$   
 by (*rel-auto*)

**lemma** *design-subst* [*usubst*]:  
 $\llbracket \$ok \# \sigma; \$ok' \# \sigma \rrbracket \implies \sigma \dagger (P \vdash Q) = (\sigma \dagger P) \vdash (\sigma \dagger Q)$   
 by (*simp add: design-def usubst*)

**lemma** *design-msubst* [*usubst*]:  
 $(P(x) \vdash Q(x)) \llbracket x \rightarrow v \rrbracket = (P(x) \llbracket x \rightarrow v \rrbracket \vdash Q(x) \llbracket x \rightarrow v \rrbracket)$   
 by (*rel-auto*)

**lemma** *design-ok-false* [*usubst*]:  $(P \vdash Q) \llbracket false / \$ok \rrbracket = true$   
 by (*simp add: design-def usubst*)

**lemma** *ok-pre*:  $(\$ok \wedge \lceil pre_D(P) \rrbracket_D) = (\$ok \wedge (\neg P^f))$   
 by (*pred-auto robust*)

**lemma** *ok-post*:  $(\$ok \wedge \lceil post_D(P) \rrbracket_D) = (\$ok \wedge (P^t))$   
 by (*pred-auto robust*)

### 1.3 Basic Design Laws

**lemma** *design-export-ok*:  $P \vdash Q = (P \vdash (\$ok \wedge Q))$   
 by (*rel-auto*)

**lemma** *design-export-ok'*:  $P \vdash Q = (P \vdash (\$ok' \wedge Q))$   
 by (*rel-auto*)

**lemma** *design-export-pre*:  $P \vdash (P \wedge Q) = P \vdash Q$   
 by (*rel-auto*)

**lemma** *design-export-spec*:  $P \vdash (P \Rightarrow Q) = P \vdash Q$   
 by (*rel-auto*)

**lemma** *design-ok-pre-conj*:  $(\$ok \wedge P) \vdash Q = P \vdash Q$   
 by (*rel-auto*)

**lemma** *true-is-design*:  $(false \vdash true) = true$   
 by (*rel-auto*)

**lemma** *true-is-rdesign*:  $(false \vdash_r true) = true$

```

by (rel-auto)

lemma bot-d-true:  $\perp_D = true$ 
by (rel-auto)

lemma bot-d-ndes-def [ndes-simp]:  $\perp_D = (false \vdash_n true)$ 
by (rel-auto)

lemma design-false-pre:  $(false \vdash P) = true$ 
by (rel-auto)

lemma redesign-false-pre:  $(false \vdash_r P) = true$ 
by (rel-auto)

lemma ndesign-false-pre:  $(false \vdash_n P) = true$ 
by (rel-auto)

lemma ndesign-miracle:  $(true \vdash_n false) = \top_D$ 
by (rel-auto)

lemma top-d-ndes-def [ndes-simp]:  $\top_D = (true \vdash_n false)$ 
by (rel-auto)

lemma skip-d-alt-def:  $II_D = true \vdash II$ 
by (rel-auto)

lemma skip-d-ndes-def [ndes-simp]:  $II_D = true \vdash_n II$ 
by (rel-auto)

lemma design-subst-ok:
   $(P \llbracket true/\$ok \rrbracket \vdash Q \llbracket true/\$ok \rrbracket) = (P \vdash Q)$ 
by (rel-auto)

lemma design-subst-ok-ok':
   $(P \llbracket true/\$ok \rrbracket \vdash Q \llbracket true, true/\$ok, \$ok' \rrbracket) = (P \vdash Q)$ 
proof -
  have  $(P \vdash Q) = ((\$ok \wedge P) \vdash (\$ok \wedge \$ok' \wedge Q))$ 
  by (pred-auto)
  also have  $\dots = ((\$ok \wedge P \llbracket true/\$ok \rrbracket) \vdash (\$ok \wedge (\$ok' \wedge Q \llbracket true/\$ok' \rrbracket) \llbracket true/\$ok \rrbracket))$ 
  by (metis conj-eq-out-var-subst conj-pos-var-subst upred-eq-true utp-pred-laws.inf-commute ok-vwb-lens)
  also have  $\dots = ((\$ok \wedge P \llbracket true/\$ok \rrbracket) \vdash (\$ok \wedge \$ok' \wedge Q \llbracket true, true/\$ok, \$ok' \rrbracket))$ 
  by (simp add: usubst)
  also have  $\dots = (P \llbracket true/\$ok \rrbracket \vdash Q \llbracket true, true/\$ok, \$ok' \rrbracket)$ 
  by (pred-auto)
  finally show ?thesis ..
qed

lemma design-subst-ok-ok':
   $(P \vdash Q \llbracket true/\$ok' \rrbracket) = (P \vdash Q)$ 
proof -
  have  $(P \vdash Q) = (P \vdash (\$ok' \wedge Q))$ 
  by (pred-auto)
  also have  $\dots = (P \vdash (\$ok' \wedge Q \llbracket true/\$ok' \rrbracket))$ 
  by (metis conj-eq-out-var-subst upred-eq-true utp-pred-laws.inf-commute ok-vwb-lens)
  also have  $\dots = (P \vdash Q \llbracket true/\$ok' \rrbracket)$ 

```

by (pred-auto)  
 finally show ?thesis ..  
 qed

## 1.4 Sequential Composition Laws

**theorem** *design-skip-idem* [simp]:

$(II_D ;; II_D) = II_D$   
 by (rel-auto)

**theorem** *design-composition-subst*:

assumes

$\$ok' \# P1 \ \$ok \# P2$

shows  $((P1 \vdash Q1) ;; (P2 \vdash Q2)) =$

$((\neg (\neg P1) ;; true) \wedge \neg (Q1 \llbracket true/\$ok' \rrbracket ;; \neg P2)) \vdash (Q1 \llbracket true/\$ok' \rrbracket ;; Q2 \llbracket true/\$ok \rrbracket))$

**proof** –

have  $((P1 \vdash Q1) ;; (P2 \vdash Q2)) = (\exists \ ok_0 \cdot ((P1 \vdash Q1) \llbracket \llcorner ok_0 \gg / \$ok' \rrbracket ;; (P2 \vdash Q2) \llbracket \llcorner ok_0 \gg / \$ok \rrbracket))$

by (rule segr-middle, simp)

also have ...

$= (((P1 \vdash Q1) \llbracket false/\$ok' \rrbracket ;; (P2 \vdash Q2) \llbracket false/\$ok \rrbracket) \vee ((P1 \vdash Q1) \llbracket true/\$ok' \rrbracket ;; (P2 \vdash Q2) \llbracket true/\$ok \rrbracket))$

by (simp add: true-alt-def false-alt-def, pred-auto)

also from *assms*

have ...  $= (((\$ok \wedge P1 \Rightarrow Q1 \llbracket true/\$ok' \rrbracket) ;; (P2 \Rightarrow \$ok' \wedge Q2 \llbracket true/\$ok \rrbracket)) \vee ((\neg (\$ok \wedge P1)) ;; true))$

by (simp add: design-def usubst unrest, pred-auto)

also have ...  $= ((\neg \$ok ;; true_h) \vee ((\neg P1) ;; true) \vee (Q1 \llbracket true/\$ok' \rrbracket ;; (\neg P2)) \vee (\$ok' \wedge (Q1 \llbracket true/\$ok' \rrbracket ;; Q2 \llbracket true/\$ok \rrbracket)))$

by (rel-auto)

also have ...  $= (((\neg (\neg P1) ;; true) \wedge \neg (Q1 \llbracket true/\$ok' \rrbracket ;; \neg P2)) \vdash (Q1 \llbracket true/\$ok' \rrbracket ;; Q2 \llbracket true/\$ok \rrbracket))$

by (simp add: precondition-right-unit design-def unrest, rel-auto)

finally show ?thesis .

qed

**theorem** *design-composition*:

assumes

$\$ok' \# P1 \ \$ok \# P2 \ \$ok' \# Q1 \ \$ok \# Q2$

shows  $((P1 \vdash Q1) ;; (P2 \vdash Q2)) = (((\neg (\neg P1) ;; true) \wedge \neg (Q1 ;; \neg P2)) \vdash (Q1 ;; Q2))$

using *assms* by (simp add: design-composition-subst usubst)

**theorem** *design-composition-runrest*:

assumes

$\$ok' \# P1 \ \$ok \# P2 \ ok \# Q1 \ ok \# Q2$

shows  $((P1 \vdash Q1) ;; (P2 \vdash Q2)) = (((\neg (\neg P1) ;; true) \wedge \neg (Q1^t ;; \neg P2)) \vdash (Q1 ;; Q2))$

**proof** –

have  $(\$ok \wedge \$ok' \wedge (Q1^t ;; Q2 \llbracket true/\$ok \rrbracket)) = (\$ok \wedge \$ok' \wedge (Q1 ;; Q2))$

**proof** –

have  $(\$ok \wedge \$ok' \wedge (Q1 ;; Q2)) = ((\$ok \wedge Q1) ;; (Q2 \wedge \$ok'))$

by (metis (no-types, lifting) conj-comm segr-post-var-out segr-pre-var-out)

also have ...  $= ((Q1 \wedge \$ok') ;; (\$ok \wedge Q2))$

by (simp add: assms(3) assms(4) runrest-ident-var)

also have ...  $= (Q1^t ;; Q2 \llbracket true/\$ok \rrbracket)$

by (metis ok-vwb-lens segr-pre-transfer segr-right-one-point true-alt-def uovar-convr upred-eq-true utp-pred-laws.inf.left-idem utp-rel.unrest-ouvar vwb-lens-mwb)

finally show ?thesis

by (metis utp-pred-laws.inf.left-commute utp-pred-laws.inf.left-idem)

qed  
**moreover have**  $(\neg (\neg P1 ;; true) \wedge \neg (Q1^t ;; (\neg P2))) \vdash (Q1^t ;; Q2[\![true/\$ok]\!]) =$   
 $(\neg (\neg P1 ;; true) \wedge \neg (Q1^t ;; (\neg P2))) \vdash (\$ok \wedge \$ok' \wedge (Q1^t ;; Q2[\![true/\$ok]\!]))$   
**by** (*metis design-export-ok design-export-ok'*)  
**ultimately show** *?thesis* **using** *assms*  
**by** (*simp add: design-composition-subst usubst, metis design-export-ok design-export-ok'*)  
qed

**theorem** *rdesign-composition:*  
 $((P1 \vdash_r Q1) ;; (P2 \vdash_r Q2)) = (((\neg (\neg P1) ;; true)) \wedge \neg (Q1 ;; (\neg P2))) \vdash_r (Q1 ;; Q2))$   
**by** (*simp add: rdesign-def design-composition unrest alpha*)

**theorem** *design-composition-cond:*  
**assumes**  
 $out\alpha \# p1 \ \$ok \# P2 \ \$ok' \# Q1 \ \$ok \# Q2$   
**shows**  $((p1 \vdash Q1) ;; (P2 \vdash Q2)) = ((p1 \wedge \neg (Q1 ;; (\neg P2))) \vdash (Q1 ;; Q2))$   
**using** *assms*  
**by** (*simp add: design-composition unrest precondition-right-unit*)

**theorem** *rdesign-composition-cond:*  
**assumes** *outα # p1*  
**shows**  $((p1 \vdash_r Q1) ;; (P2 \vdash_r Q2)) = ((p1 \wedge \neg (Q1 ;; (\neg P2))) \vdash_r (Q1 ;; Q2))$   
**using** *assms*  
**by** (*simp add: rdesign-def design-composition-cond unrest alpha*)

**theorem** *design-composition-wp:*  
**assumes**  
 $ok \# p1 \ ok \# p2$   
 $\$ok \# Q1 \ \$ok' \# Q1 \ \$ok \# Q2 \ \$ok' \# Q2$   
**shows**  $((\lceil p1 \rceil_{<} \vdash Q1) ;; (\lceil p2 \rceil_{<} \vdash Q2)) = ((\lceil p1 \wedge Q1 \ wp \ p2 \rceil_{<}) \vdash (Q1 ;; Q2))$   
**using** *assms* **by** (*rel-blast*)

**theorem** *rdesign-composition-wp:*  
 $((\lceil p1 \rceil_{<} \vdash_r Q1) ;; (\lceil p2 \rceil_{<} \vdash_r Q2)) = ((\lceil p1 \wedge Q1 \ wp \ p2 \rceil_{<}) \vdash_r (Q1 ;; Q2))$   
**by** (*rel-blast*)

**theorem** *ndesign-composition-wp [ndes-simp]:*  
 $((p1 \vdash_n Q1) ;; (p2 \vdash_n Q2)) = ((p1 \wedge Q1 \ wp \ p2) \vdash_n (Q1 ;; Q2))$   
**by** (*rel-blast*)

**theorem** *design-true-left-zero:*  $(true ;; (P \vdash Q)) = true$   
**proof** –  
**have**  $(true ;; (P \vdash Q)) = (\exists \ ok_0 \cdot true[\![\ll ok_0 \gg / \$ok' ]\!]) ;; (P \vdash Q)[\![\ll ok_0 \gg / \$ok ]\!])$   
**by** (*subst segr-middle[of ok], simp-all*)  
**also have**  $\dots = ((true[\![false/\$ok']\!]) ;; (P \vdash Q)[\![false/\$ok]\!]) \vee (true[\![true/\$ok']\!]) ;; (P \vdash Q)[\![true/\$ok]\!])$   
**by** (*simp add: disj-comm false-alt-def true-alt-def*)  
**also have**  $\dots = ((true[\![false/\$ok']\!]) ;; true_h) \vee (true ;; ((P \vdash Q)[\![true/\$ok]\!]))$   
**by** (*subst-tac, rel-auto*)  
**also have**  $\dots = true$   
**by** (*subst-tac, simp add: precondition-right-unit unrest*)  
**finally show** *?thesis* .  
qed

**theorem** *design-left-unit-hom:*  
**fixes**  $P \ Q :: 'a \ hrel\_des$



**shows**  $(II_D ;; (P \vdash_r Q)) = (P \vdash_r Q)$   
**proof** –  
**have**  $(II_D ;; (P \vdash_r Q)) = ((true \vdash_r II) ;; (P \vdash_r Q))$   
**by** (*simp add: skip-d-def*)  
**also have**  $\dots = (true \wedge \neg (II ;; (\neg P))) \vdash_r (II ;; Q)$   
**proof** –  
**have**  $out\alpha \nVdash true$   
**by** *unrest-tac*  
**thus** *?thesis*  
**using** *rdesign-composition-cond* **by** *blast*  
**qed**  
**also have**  $\dots = (\neg (\neg P)) \vdash_r Q$   
**by** *simp*  
**finally show** *?thesis* **by** *simp*  
**qed**

**theorem** *rdesign-left-unit* [*simp*]:  
 $II_D ;; (P \vdash_r Q) = (P \vdash_r Q)$   
**by** (*rel-auto*)

**theorem** *design-right-semi-unit*:  
 $(P \vdash_r Q) ;; II_D = ((\neg (\neg P) ;; true) \vdash_r Q)$   
**by** (*simp add: skip-d-def rdesign-composition*)

**theorem** *design-right-cond-unit* [*simp*]:  
**assumes**  $out\alpha \nVdash p$   
**shows**  $(p \vdash_r Q) ;; II_D = (p \vdash_r Q)$   
**using** *assms*  
**by** (*simp add: skip-d-def rdesign-composition-cond*)

**theorem** *ndesign-left-unit* [*simp*]:  
 $II_D ;; (p \vdash_n Q) = (p \vdash_n Q)$   
**by** (*rel-auto*)

**theorem** *design-bot-left-zero*:  $(\perp_D ;; (P \vdash Q)) = \perp_D$   
**by** (*rel-auto*)

**theorem** *design-top-left-zero*:  $(\top_D ;; (P \vdash Q)) = \top_D$   
**by** (*rel-auto*)

## 1.5 Preconditions and Postconditions

**theorem** *design-npre*:  
 $(P \vdash Q)^f = (\neg \$ok \vee \neg P^f)$   
**by** (*rel-auto*)

**theorem** *design-pre*:  
 $\neg (P \vdash Q)^f = (\$ok \wedge P^f)$   
**by** (*simp add: design-def, subst-tac*)  
*(metis (no-types, hide-lams) not-conj-deMorgans true-not-false(2) utp-pred-laws.compl-top-eq utp-pred-laws.sup.idem utp-pred-laws.sup-compl-top)*

**theorem** *design-post*:  
 $(P \vdash Q)^t = ((\$ok \wedge P^t) \Rightarrow Q^t)$   
**by** (*rel-auto*)

**theorem** *rdesign-pre* [simp]:  $pre_D(P \vdash_r Q) = P$   
**by** (*pred-auto*)

**theorem** *rdesign-post* [simp]:  $post_D(P \vdash_r Q) = (P \Rightarrow Q)$   
**by** (*pred-auto*)

**theorem** *ndesign-pre* [simp]:  $pre_D(p \vdash_n Q) = \lceil p \rceil_<$   
**by** (*pred-auto*)

**theorem** *ndesign-post* [simp]:  $post_D(p \vdash_n Q) = (\lceil p \rceil_< \Rightarrow Q)$   
**by** (*pred-auto*)

**lemma** *design-pre-choice* [simp]:  
 $pre_D(P \sqcap Q) = (pre_D(P) \wedge pre_D(Q))$   
**by** (*rel-auto*)

**lemma** *design-post-choice* [simp]:  
 $post_D(P \sqcap Q) = (post_D(P) \vee post_D(Q))$   
**by** (*rel-auto*)

**lemma** *design-pre-condr* [simp]:  
 $pre_D(P \triangleleft \lceil b \rceil_D \triangleright Q) = (pre_D(P) \triangleleft b \triangleright pre_D(Q))$   
**by** (*rel-auto*)

**lemma** *design-post-condr* [simp]:  
 $post_D(P \triangleleft \lceil b \rceil_D \triangleright Q) = (post_D(P) \triangleleft b \triangleright post_D(Q))$   
**by** (*rel-auto*)

**lemma** *preD-USUP-mem*:  $pre_D(\bigsqcup_{i \in A} P \cdot i) = (\bigsqcap_{i \in A} pre_D(P \cdot i))$   
**by** (*rel-auto*)

**lemma** *preD-USUP-ind*:  $pre_D(\bigsqcup i \cdot P \cdot i) = (\bigsqcap i \cdot pre_D(P \cdot i))$   
**by** (*rel-auto*)

## 1.6 Distribution Laws

**theorem** *design-choice*:  
 $(P_1 \vdash P_2) \sqcap (Q_1 \vdash Q_2) = ((P_1 \wedge Q_1) \vdash (P_2 \vee Q_2))$   
**by** (*rel-auto*)

**theorem** *rdesign-choice*:  
 $(P_1 \vdash_r P_2) \sqcap (Q_1 \vdash_r Q_2) = ((P_1 \wedge Q_1) \vdash_r (P_2 \vee Q_2))$   
**by** (*rel-auto*)

**theorem** *ndesign-choice* [ndes-simp]:  
 $(p_1 \vdash_n P_2) \sqcap (q_1 \vdash_n Q_2) = ((p_1 \wedge q_1) \vdash_n (P_2 \vee Q_2))$   
**by** (*rel-auto*)

**theorem** *ndesign-choice'* [ndes-simp]:  
 $((p_1 \vdash_n P_2) \vee (q_1 \vdash_n Q_2)) = ((p_1 \wedge q_1) \vdash_n (P_2 \vee Q_2))$   
**by** (*rel-auto*)

**theorem** *design-inf*:  
 $(P_1 \vdash P_2) \sqcup (Q_1 \vdash Q_2) = ((P_1 \vee Q_1) \vdash ((P_1 \Rightarrow P_2) \wedge (Q_1 \Rightarrow Q_2)))$   
**by** (*rel-auto*)

**theorem** *rdesign-inf*:

$(P_1 \vdash_r P_2) \sqcup (Q_1 \vdash_r Q_2) = ((P_1 \vee Q_1) \vdash_r ((P_1 \Rightarrow P_2) \wedge (Q_1 \Rightarrow Q_2)))$   
**by** (*rel-auto*)

**theorem** *ndesign-inf* [*ndes-simp*]:

$(p_1 \vdash_n P_2) \sqcup (q_1 \vdash_n Q_2) = ((p_1 \vee q_1) \vdash_n (([p_1]_{<} \Rightarrow P_2) \wedge ([q_1]_{<} \Rightarrow Q_2)))$   
**by** (*rel-auto*)

**theorem** *design-condr*:

$((P_1 \vdash P_2) \triangleleft b \triangleright (Q_1 \vdash Q_2)) = ((P_1 \triangleleft b \triangleright Q_1) \vdash (P_2 \triangleleft b \triangleright Q_2))$   
**by** (*rel-auto*)

**theorem** *ndesign-dcond* [*ndes-simp*]:

$((p_1 \vdash_n P_2) \triangleleft b \triangleright_D (q_1 \vdash_n Q_2)) = ((p_1 \triangleleft b \triangleright q_1) \vdash_n (P_2 \triangleleft b \triangleright_r Q_2))$   
**by** (*rel-auto*)

**lemma** *design-UINF-mem*:

**assumes**  $A \neq \{\}$   
**shows**  $(\prod i \in A \cdot P(i) \vdash Q(i)) = (\bigsqcup i \in A \cdot P(i) \vdash (\prod i \in A \cdot Q(i)))$   
**using** *assms* **by** (*rel-auto*)

**lemma** *ndesign-UINF-mem* [*ndes-simp*]:

**assumes**  $A \neq \{\}$   
**shows**  $(\prod i \in A \cdot p(i) \vdash_n Q(i)) = (\bigsqcup i \in A \cdot p(i) \vdash_n (\prod i \in A \cdot Q(i)))$   
**using** *assms* **by** (*rel-auto*)

**lemma** *ndesign-UINF-ind* [*ndes-simp*]:

$(\prod i \cdot p(i) \vdash_n Q(i)) = (\bigsqcup i \cdot p(i) \vdash_n (\prod i \cdot Q(i)))$   
**by** (*rel-auto*)

**lemma** *design-USUP-mem*:

$(\bigsqcup i \in A \cdot P(i) \vdash Q(i)) = (\prod i \in A \cdot P(i) \vdash (\bigsqcup i \in A \cdot P(i) \Rightarrow Q(i)))$   
**by** (*rel-auto*)

**lemma** *ndesign-USUP-mem* [*ndes-simp*]:

$(\bigsqcup i \in A \cdot p(i) \vdash_n Q(i)) = (\prod i \in A \cdot p(i) \vdash_n (\bigsqcup i \in A \cdot [p(i)]_{<} \Rightarrow Q(i)))$   
**by** (*rel-auto*)

**lemma** *ndesign-USUP-ind* [*ndes-simp*]:

$(\bigsqcup i \cdot p(i) \vdash_n Q(i)) = (\prod i \cdot p(i) \vdash_n (\bigsqcup i \cdot [p(i)]_{<} \Rightarrow Q(i)))$   
**by** (*rel-auto*)

## 1.7 Refinement Introduction

**lemma** *ndesign-eq-intro*:

**assumes**  $p_1 = q_1 \ P_2 = Q_2$   
**shows**  $p_1 \vdash_n P_2 = q_1 \vdash_n Q_2$   
**by** (*simp add: assms*)

**theorem** *design-refinement*:

**assumes**  
 $\$ok \# P1 \ \$ok' \# P1 \ \$ok \# P2 \ \$ok' \# P2$   
 $\$ok \# Q1 \ \$ok' \# Q1 \ \$ok \# Q2 \ \$ok' \# Q2$   
**shows**  $(P1 \vdash Q1 \sqsubseteq P2 \vdash Q2) \longleftrightarrow ('P1 \Rightarrow P2' \wedge 'P1 \wedge Q2 \Rightarrow Q1')$

**proof** –

**have**  $(P1 \vdash Q1) \sqsubseteq (P2 \vdash Q2) \longleftrightarrow '(\$ok \wedge P2 \Rightarrow \$ok' \wedge Q2) \Rightarrow (\$ok \wedge P1 \Rightarrow \$ok' \wedge Q1)'$

by (pred-auto)  
 also with *assms* have ... =  $(P2 \Rightarrow \$ok' \wedge Q2) \Rightarrow (P1 \Rightarrow \$ok' \wedge Q1)$   
 by (subst subst-bool-split[of in-var ok], simp-all, subst-tac)  
 also with *assms* have ... =  $(\neg P2 \Rightarrow \neg P1) \wedge ((P2 \Rightarrow Q2) \Rightarrow P1 \Rightarrow Q1)$   
 by (subst subst-bool-split[of out-var ok], simp-all, subst-tac)  
 also have ...  $\longleftrightarrow (P1 \Rightarrow P2) \wedge (P1 \wedge Q2 \Rightarrow Q1)$   
 by (pred-auto)  
 finally show ?thesis .  
 qed

**theorem** *rdesign-refinement*:

$(P1 \vdash_r Q1 \sqsubseteq P2 \vdash_r Q2) \longleftrightarrow (P1 \Rightarrow P2' \wedge P1 \wedge Q2 \Rightarrow Q1')$   
 by (rel-auto)

**lemma** *design-refine-intro*:

assumes  $P1 \Rightarrow P2', P1 \wedge Q2 \Rightarrow Q1'$   
 shows  $P1 \vdash Q1 \sqsubseteq P2 \vdash Q2$   
 using *assms* unfolding *upred-defs*  
 by (pred-auto)

**lemma** *design-refine-intro'*:

assumes  $P2 \sqsubseteq P1, Q1 \sqsubseteq (P1 \wedge Q2)$   
 shows  $P1 \vdash Q1 \sqsubseteq P2 \vdash Q2$   
 using *assms* *design-refine-intro*[of  $P1, P2, Q2, Q1$ ] by (simp add: refBy-order)

**lemma** *rdesign-refine-intro*:

assumes  $P1 \Rightarrow P2', P1 \wedge Q2 \Rightarrow Q1'$   
 shows  $P1 \vdash_r Q1 \sqsubseteq P2 \vdash_r Q2$   
 using *assms* unfolding *upred-defs*  
 by (pred-auto)

**lemma** *rdesign-refine-intro'*:

assumes  $P2 \sqsubseteq P1, Q1 \sqsubseteq (P1 \wedge Q2)$   
 shows  $P1 \vdash_r Q1 \sqsubseteq P2 \vdash_r Q2$   
 using *assms* unfolding *upred-defs*  
 by (pred-auto)

**lemma** *ndesign-refine-intro*:

assumes  $p1 \Rightarrow p2', [p1]_< \wedge Q2 \Rightarrow Q1'$   
 shows  $p1 \vdash_n Q1 \sqsubseteq p2 \vdash_n Q2$   
 using *assms* unfolding *upred-defs*  
 by (pred-auto)

**lemma** *design-top*:

$(P \vdash Q) \sqsubseteq \top_D$   
 by (rel-auto)

**lemma** *design-bottom*:

$\perp_D \sqsubseteq (P \vdash Q)$   
 by (rel-auto)

**lemma** *design-refine-thms*:

assumes  $P \sqsubseteq Q$   
 shows  $\text{pre}_D(P) \Rightarrow \text{pre}_D(Q), \text{pre}_D(P) \wedge \text{post}_D(Q) \Rightarrow \text{post}_D(P)$   
 apply (metis *assms* *design-pre-choice* *disj-comm* *disj-upred-def* *order-refl* *rdesign-refinement* *utp-pred-laws.le-iff-sup*)

```

  apply (metis assms conj-comm design-post-choice disj-upred-def refBy-order semilattice-sup-class.le-iff-sup
    utp-pred-laws.inf.coboundedI1)
done

end

```

## 2 Design Healthiness Conditions

```

theory utp-des-healths
  imports utp-des-core
begin

```

### 2.1 H1: No observation is allowed before initiation

**definition**  $H1 :: ('\alpha, '\beta) \text{rel-des} \Rightarrow ('\alpha, '\beta) \text{rel-des}$  **where**  
 $[upred-defs]: H1(P) = (\$ok \Rightarrow P)$

**lemma**  $H1\text{-idem}$ :  
 $H1(H1 P) = H1(P)$   
**by** ( $pred\text{-auto}$ )

**lemma**  $H1\text{-monotone}$ :  
 $P \sqsubseteq Q \Longrightarrow H1(P) \sqsubseteq H1(Q)$   
**by** ( $pred\text{-auto}$ )

**lemma**  $H1\text{-Continuous}$ : *Continuous*  $H1$   
**by** ( $rel\text{-auto}$ )

**lemma**  $H1\text{-below-top}$ :  
 $H1(P) \sqsubseteq \top_D$   
**by** ( $pred\text{-auto}$ )

**lemma**  $H1\text{-design-skip}$ :  
 $H1(\Pi) = \Pi_D$   
**by** ( $rel\text{-auto}$ )

**lemma**  $H1\text{-cond}$ :  $H1(P \triangleleft b \triangleright Q) = H1(P) \triangleleft b \triangleright H1(Q)$   
**by** ( $rel\text{-auto}$ )

**lemma**  $H1\text{-conj}$ :  $H1(P \wedge Q) = (H1(P) \wedge H1(Q))$   
**by** ( $rel\text{-auto}$ )

**lemma**  $H1\text{-disj}$ :  $H1(P \vee Q) = (H1(P) \vee H1(Q))$   
**by** ( $rel\text{-auto}$ )

**lemma**  $design\text{-export}\text{-}H1$ :  $(P \vdash Q) = (P \vdash H1(Q))$   
**by** ( $rel\text{-auto}$ )

The H1 algebraic laws are valid only when  $\alpha(R)$  is homogeneous. This should maybe be generalised.

**theorem**  $H1\text{-algebraic-intro}$ :  
**assumes**  
 $(true_h ;; R) = true_h$   
 $(\Pi_D ;; R) = R$   
**shows**  $R$  is  $H1$

**proof** –

**have**  $R = (II_D ;; R)$  **by** (*simp add: assms(2)*)  
**also have**  $\dots = (H1(II) ;; R)$   
**by** (*simp add: H1-design-skip*)  
**also have**  $\dots = (\$ok \Rightarrow II) ;; R$   
**by** (*simp add: H1-def*)  
**also have**  $\dots = (((\neg \$ok) ;; R) \vee R)$   
**by** (*simp add: impl-alt-def seqr-or-distl*)  
**also have**  $\dots = (((\neg \$ok) ;; true_h) ;; R) \vee R$   
**by** (*simp add: precondition-right-unit unrest*)  
**also have**  $\dots = (((\neg \$ok) ;; true_h) \vee R)$   
**by** (*metis assms(1) seqr-assoc*)  
**also have**  $\dots = (\$ok \Rightarrow R)$   
**by** (*simp add: impl-alt-def precondition-right-unit unrest*)  
**finally show** *?thesis* **by** (*metis H1-def Healthy-def'*)

**qed**

**lemma** *nok-not-false*:

$(\neg \$ok) \neq \text{false}$   
**by** (*pred-auto*)

**theorem** *H1-left-zero*:

**assumes**  $P$  *is*  $H1$   
**shows**  $(true ;; P) = true$

**proof** –

**from** *assms* **have**  $(true ;; P) = (true ;; (\$ok \Rightarrow P))$   
**by** (*simp add: H1-def Healthy-def'*)  
  
**also from** *assms* **have**  $\dots = (true ;; (\neg \$ok \vee P))$  (**is**  $- = (?true ;; -)$ )  
**by** (*simp add: impl-alt-def*)  
**also from** *assms* **have**  $\dots = ((?true ;; (\neg \$ok)) \vee (?true ;; P))$   
**using** *seqr-or-distr* **by** *blast*  
**also from** *assms* **have**  $\dots = (true \vee (true ;; P))$   
**by** (*simp add: nok-not-false precondition-left-zero unrest*)  
**finally show** *?thesis*  
**by** (*simp add: upred-defs urel-defs*)

**qed**

**theorem** *H1-left-unit*:

**fixes**  $P :: 'a \text{ hrel-des}$   
**assumes**  $P$  *is*  $H1$   
**shows**  $(II_D ;; P) = P$

**proof** –

**have**  $(II_D ;; P) = (\$ok \Rightarrow II) ;; P$   
**by** (*metis H1-def H1-design-skip*)  
**also have**  $\dots = (((\neg \$ok) ;; P) \vee P)$   
**by** (*simp add: impl-alt-def seqr-or-distl*)  
**also from** *assms* **have**  $\dots = (((\neg \$ok) ;; true_h) ;; P) \vee P$   
**by** (*simp add: precondition-right-unit unrest*)  
**also have**  $\dots = (((\neg \$ok) ;; (true_h ;; P)) \vee P)$   
**by** (*simp add: seqr-assoc*)  
**also from** *assms* **have**  $\dots = (\$ok \Rightarrow P)$   
**by** (*simp add: H1-left-zero impl-alt-def precondition-right-unit unrest*)  
**finally show** *?thesis* **using** *assms*  
**by** (*simp add: H1-def Healthy-def'*)

qed

**theorem** *H1-algebraic*:

$P \text{ is } H1 \iff (true_h ;; P) = true_h \wedge (H_D ;; P) = P$   
**using** *H1-algebraic-intro H1-left-unit H1-left-zero* **by** *blast*

**theorem** *H1-nok-left-zero*:

**fixes**  $P :: 'a \text{ hrel-des}$   
**assumes**  $P \text{ is } H1$   
**shows**  $((\neg \$ok) ;; P) = (\neg \$ok)$

**proof** –

**have**  $((\neg \$ok) ;; P) = (((\neg \$ok) ;; true_h) ;; P)$   
**by** (*simp add: precondition-right-unit unrest*)  
**also have**  $\dots = ((\neg \$ok) ;; true_h)$   
**by** (*metis H1-left-zero assms segr-assoc*)  
**also have**  $\dots = (\neg \$ok)$   
**by** (*simp add: precondition-right-unit unrest*)  
**finally show** *?thesis* .

qed

**lemma** *H1-design*:

$H1(P \vdash Q) = (P \vdash Q)$   
**by** (*rel-auto*)

**lemma** *H1-rdesign*:

$H1(P \vdash_r Q) = (P \vdash_r Q)$   
**by** (*rel-auto*)

**lemma** *H1-choice-closed* [*closure*]:

$\llbracket P \text{ is } H1; Q \text{ is } H1 \rrbracket \implies P \sqcap Q \text{ is } H1$   
**by** (*simp add: H1-def Healthy-def' disj-upred-def impl-alt-def semilattice-sup-class.sup-left-commute*)

**lemma** *H1-inf-closed* [*closure*]:

$\llbracket P \text{ is } H1; Q \text{ is } H1 \rrbracket \implies P \sqcup Q \text{ is } H1$   
**by** (*rel-blast*)

**lemma** *H1-UINF*:

**assumes**  $A \neq \{\}$   
**shows**  $H1(\bigsqcap i \in A \cdot P(i)) = (\bigsqcap i \in A \cdot H1(P(i)))$   
**using** *assms* **by** (*rel-auto*)

**lemma** *H1-Sup*:

**assumes**  $A \neq \{\} \vee P \in A. P \text{ is } H1$   
**shows**  $(\bigsqcap A) \text{ is } H1$

**proof** –

**from** *assms*(2) **have**  $H1 \text{ ' } A = A$   
**by** (*auto simp add: Healthy-def rev-image-eqI*)  
**with** *H1-UINF[of A id, OF assms(1)]* **show** *?thesis*  
**by** (*simp add: UINF-as-Sup-image Healthy-def, presburger*)

qed

**lemma** *H1-USUP*:

**shows**  $H1(\bigsqcup i \in A \cdot P(i)) = (\bigsqcup i \in A \cdot H1(P(i)))$   
**by** (*rel-auto*)

**lemma** *H1-Inf* [*closure*]:  
**assumes**  $\forall P \in A. P \text{ is } H1$   
**shows**  $(\bigsqcup A) \text{ is } H1$   
**proof** –  
**from** *assms* **have**  $H1 \text{ ' } A = A$   
**by** (*auto simp add: Healthy-def rev-image-eqI*)  
**with** *H1-USUP*[*of A id*] **show** *?thesis*  
**by** (*simp add: USUP-as-Inf-image Healthy-def, presburger*)  
**qed**

## 2.2 H2: A specification cannot require non-termination

**definition** *J* :: ' $\alpha$  *hrel-des* **where**  
[*upred-defs*]:  $J = ((\$ok \Rightarrow \$ok') \wedge \lceil II \rceil_D)$

**definition** *H2* **where**  
[*upred-defs*]:  $H2(P) \equiv P ;; J$

**lemma** *J-split*:  
**shows**  $(P ;; J) = (P^f \vee (P^t \wedge \$ok'))$   
**proof** –  
**have**  $(P ;; J) = (P ;; ((\$ok \Rightarrow \$ok') \wedge \lceil II \rceil_D))$   
**by** (*simp add: H2-def J-def design-def*)  
**also have**  $\dots = (P ;; ((\$ok \Rightarrow \$ok \wedge \$ok') \wedge \lceil II \rceil_D))$   
**by** (*rel-auto*)  
**also have**  $\dots = ((P ;; (\neg \$ok \wedge \lceil II \rceil_D)) \vee (P ;; (\$ok \wedge (\lceil II \rceil_D \wedge \$ok'))))$   
**by** (*rel-auto*)  
**also have**  $\dots = (P^f \vee (P^t \wedge \$ok'))$   
**proof** –  
**have**  $(P ;; (\neg \$ok \wedge \lceil II \rceil_D)) = P^f$   
**proof** –  
**have**  $(P ;; (\neg \$ok \wedge \lceil II \rceil_D)) = ((P \wedge \neg \$ok') ;; \lceil II \rceil_D)$   
**by** (*rel-auto*)  
**also have**  $\dots = (\exists \$ok' \cdot P \wedge \$ok' =_u \text{false})$   
**by** (*rel-auto*)  
**also have**  $\dots = P^f$   
**by** (*metis C1 one-point out-var-uvar unrest-as-exists ok-vwb-lens vwb-lens-mwb*)  
**finally show** *?thesis* .  
**qed**  
**moreover have**  $(P ;; (\$ok \wedge (\lceil II \rceil_D \wedge \$ok'))) = (P^t \wedge \$ok')$   
**proof** –  
**have**  $(P ;; (\$ok \wedge (\lceil II \rceil_D \wedge \$ok'))) = (P ;; (\$ok \wedge II))$   
**by** (*rel-auto*)  
**also have**  $\dots = (P^t \wedge \$ok')$   
**by** (*rel-auto*)  
**finally show** *?thesis* .  
**qed**  
**ultimately show** *?thesis*  
**by** *simp*  
**qed**  
**finally show** *?thesis* .  
**qed**

**lemma** *H2-split*:  
**shows**  $H2(P) = (P^f \vee (P^t \wedge \$ok'))$   
**by** (*simp add: H2-def J-split*)



**theorem** *H2-equivalence*:

$P \text{ is } H2 \iff 'P^f \Rightarrow P^t'$

**proof** –

**have**  $'P \Leftrightarrow (P ;; J)'$   $\iff 'P \Leftrightarrow (P^f \vee (P^t \wedge \$ok'))'$

**by** (*simp add: J-split*)

**also have**  $\dots \iff '(P \Leftrightarrow P^f \vee P^t \wedge \$ok')^f \wedge (P \Leftrightarrow P^f \vee P^t \wedge \$ok')^t'$

**by** (*simp add: subst-bool-split*)

**also have**  $\dots = '(P^f \Leftrightarrow P^f) \wedge (P^t \Leftrightarrow P^f \vee P^t)'$

**by** *subst-tac*

**also have**  $\dots = 'P^t \Leftrightarrow (P^f \vee P^t)'$

**by** (*pred-auto robust*)

**also have**  $\dots = '(P^f \Rightarrow P^t)'$

**by** (*pred-auto*)

**finally show** *?thesis*

**by** (*metis H2-def Healthy-def' taut-iff-eq*)

**qed**

**lemma** *H2-equiv*:

$P \text{ is } H2 \iff P^t \sqsubseteq P^f$

**using** *H2-equivalence refBy-order* **by** *blast*

**lemma** *H2-design*:

**assumes**  $\$ok' \nmid P \ \$ok' \nmid Q$

**shows**  $H2(P \vdash Q) = P \vdash Q$

**using** *assms*

**by** (*simp add: H2-split design-def usubst unrest, pred-auto*)

**lemma** *H2-rdesign*:

$H2(P \vdash_r Q) = P \vdash_r Q$

**by** (*simp add: H2-design unrest rdesign-def*)

**theorem** *J-idem*:

$(J ;; J) = J$

**by** (*rel-auto*)

**theorem** *H2-idem*:

$H2(H2(P)) = H2(P)$

**by** (*metis H2-def J-idem segr-assoc*)

**theorem** *H2-Continuous: Continuous H2*

**by** (*rel-auto*)

**theorem** *H2-not-okay*:  $H2(\neg \$ok) = (\neg \$ok)$

**proof** –

**have**  $H2(\neg \$ok) = ((\neg \$ok)^f \vee ((\neg \$ok)^t \wedge \$ok'))$

**by** (*simp add: H2-split*)

**also have**  $\dots = (\neg \$ok \vee (\neg \$ok) \wedge \$ok')$

**by** (*subst-tac*)

**also have**  $\dots = (\neg \$ok)$

**by** (*pred-auto*)

**finally show** *?thesis* .

**qed**

**lemma** *H2-true*:  $H2(true) = true$

by (rel-auto)

**lemma** *H2-choice-closed* [closure]:

$\llbracket P \text{ is } H2; Q \text{ is } H2 \rrbracket \implies P \sqcap Q \text{ is } H2$

by (metis *H2-def Healthy-def' disj-upred-def segr-or-distl*)

**lemma** *H2-inf-closed* [closure]:

assumes  $P \text{ is } H2 \ Q \text{ is } H2$

shows  $P \sqcup Q \text{ is } H2$

**proof** –

have  $P \sqcup Q = (P^f \vee P^t \wedge \$ok') \sqcup (Q^f \vee Q^t \wedge \$ok')$

by (metis *H2-def Healthy-def J-split assms(1) assms(2)*)

moreover have  $H2(\dots) = \dots$

by (simp add: *H2-split usubst, pred-auto*)

ultimately show ?thesis

by (simp add: *Healthy-def*)

qed

**lemma** *H2-USUP*:

shows  $H2(\bigsqcap i \in A \cdot P(i)) = (\bigsqcap i \in A \cdot H2(P(i)))$

by (rel-auto)

**theorem** *H1-H2-commute*:

$H1(H2 P) = H2(H1 P)$

**proof** –

have  $H2(H1 P) = (\$ok \Rightarrow P) ;; J$

by (simp add: *H1-def H2-def*)

also have  $\dots = ((\neg \$ok \vee P) ;; J)$

by (rel-auto)

also have  $\dots = (((\neg \$ok) ;; J) \vee (P ;; J))$

using *segr-or-distl* by blast

also have  $\dots = ((H2(\neg \$ok)) \vee H2(P))$

by (simp add: *H2-def*)

also have  $\dots = ((\neg \$ok) \vee H2(P))$

by (simp add: *H2-not-okay*)

also have  $\dots = H1(H2(P))$

by (rel-auto)

finally show ?thesis by simp

qed

## 2.3 Designs as *H1-H2* predicates

**abbreviation**  $H1-H2 :: ('\alpha, '\beta) \text{ rel-des} \Rightarrow ('\alpha, '\beta) \text{ rel-des } (\mathbf{H})$  where

$H1-H2 P \equiv H1(H2 P)$

**lemma** *H1-H2-comp*:  $\mathbf{H} = H1 \circ H2$

by (auto)

**theorem** *H1-H2-eq-design*:

$\mathbf{H}(P) = (\neg P^f) \vdash P^t$

**proof** –

have  $\mathbf{H}(P) = (\$ok \Rightarrow H2(P))$

by (simp add: *H1-def*)

also have  $\dots = (\$ok \Rightarrow (P^f \vee (P^t \wedge \$ok')))$

by (metis *H2-split*)

also have  $\dots = (\$ok \wedge (\neg P^f) \Rightarrow \$ok' \wedge \$ok \wedge P^t)$

by (*rel-auto*)  
 also have  $\dots = (\neg P^f) \vdash P^t$   
 by (*rel-auto*)  
 finally show *?thesis* .  
 qed

**theorem** *H1-H2-is-design*:  
 assumes *P is H1 P is H2*  
 shows  $P = (\neg P^f) \vdash P^t$   
 using *assms* by (*metis H1-H2-eq-design Healthy-def*)

**theorem** *H1-H2-eq-rdesign*:  
 $\mathbf{H}(P) = \text{pre}_D(P) \vdash_r \text{post}_D(P)$   
**proof** –  
 have  $\mathbf{H}(P) = (\$ok \Rightarrow H2(P))$   
 by (*simp add: H1-def Healthy-def'*)  
 also have  $\dots = (\$ok \Rightarrow (P^f \vee (P^t \wedge \$ok')))$   
 by (*metis H2-split*)  
 also have  $\dots = (\$ok \wedge (\neg P^f) \Rightarrow \$ok' \wedge P^t)$   
 by (*pred-auto*)  
 also have  $\dots = (\$ok \wedge (\neg P^f) \Rightarrow \$ok' \wedge \$ok \wedge P^t)$   
 by (*pred-auto*)  
 also have  $\dots = (\$ok \wedge [\text{pre}_D(P)]_D \Rightarrow \$ok' \wedge \$ok \wedge [\text{post}_D(P)]_D)$   
 by (*simp add: ok-post ok-pre*)  
 also have  $\dots = (\$ok \wedge [\text{pre}_D(P)]_D \Rightarrow \$ok' \wedge [\text{post}_D(P)]_D)$   
 by (*pred-auto*)  
 also have  $\dots = \text{pre}_D(P) \vdash_r \text{post}_D(P)$   
 by (*simp add: rdesign-def design-def*)  
 finally show *?thesis* .  
 qed

**theorem** *H1-H2-is-rdesign*:  
 assumes *P is H1 P is H2*  
 shows  $P = \text{pre}_D(P) \vdash_r \text{post}_D(P)$   
 by (*metis H1-H2-eq-rdesign Healthy-def assms(1) assms(2)*)

**lemma** *H1-H2-refinement*:  
 assumes *P is H Q is H*  
 shows  $P \sqsubseteq Q \longleftrightarrow (\text{pre}_D(P) \Rightarrow \text{pre}_D(Q)' \wedge \text{pre}_D(P) \wedge \text{post}_D(Q) \Rightarrow \text{post}_D(P)')$   
 by (*metis H1-H2-eq-rdesign Healthy-if assms rdesign-refinement*)

**lemma** *H1-H2-refines*:  
 assumes *P is H Q is H P ⊆ Q*  
 shows  $\text{pre}_D(Q) \sqsubseteq \text{pre}_D(P) \text{ post}_D(P) \sqsubseteq (\text{pre}_D(P) \wedge \text{post}_D(Q))$   
 using *H1-H2-refinement assms refBy-order* by *auto*

**lemma** *H1-H2-idempotent*:  $\mathbf{H}(\mathbf{H} P) = \mathbf{H} P$   
 by (*simp add: H1-H2-commute H1-idem H2-idem*)

**lemma** *H1-H2-Idempotent [closure]: Idempotent H*  
 by (*simp add: Idempotent-def H1-H2-idempotent*)

**lemma** *H1-H2-monotonic [closure]: Monotonic H*  
 by (*simp add: H1-monotone H2-def mono-def segr-mono*)

**lemma** *H1-H2-Continuous* [closure]: *Continuous* **H**  
 by (simp add: Continuous-comp H1-Continuous H1-H2-comp H2-Continuous)

**lemma** *design-is-H1-H2* [closure]:  
 $\llbracket \$ok' \# P; \$ok' \# Q \rrbracket \implies (P \vdash Q) \text{ is } \mathbf{H}$   
 by (simp add: H1-design H2-design Healthy-def')

**lemma** *rdesign-is-H1-H2* [closure]:  
 $(P \vdash_r Q) \text{ is } \mathbf{H}$   
 by (simp add: Healthy-def H1-rdesign H2-rdesign)

**lemma** *top-d-is-H1-H2* [closure]:  $\top_D \text{ is } \mathbf{H}$   
 by (simp add: H1-def H2-not-okay Healthy-intro impl-alt-def)

**lemma** *bot-d-is-H1-H2* [closure]:  $\perp_D \text{ is } \mathbf{H}$   
 by (simp add: bot-d-def closure unrest)

**lemma** *seq-r-H1-H2-closed* [closure]:  
 assumes  $P \text{ is } \mathbf{H}$   $Q \text{ is } \mathbf{H}$   
 shows  $(P ;; Q) \text{ is } \mathbf{H}$   
**proof** –  
 obtain  $P_1 P_2$  **where**  $P = P_1 \vdash_r P_2$   
 by (metis H1-H2-commute H1-H2-is-rdesign H2-idem Healthy-def assms(1))  
 moreover obtain  $Q_1 Q_2$  **where**  $Q = Q_1 \vdash_r Q_2$   
 by (metis H1-H2-commute H1-H2-is-rdesign H2-idem Healthy-def assms(2))  
 moreover have  $((P_1 \vdash_r P_2) ;; (Q_1 \vdash_r Q_2)) \text{ is } \mathbf{H}$   
 by (simp add: rdesign-composition rdesign-is-H1-H2)  
 ultimately show ?thesis **by** simp  
**qed**

**lemma** *UINF-H1-H2-closed* [closure]:  
 assumes  $A \neq \{\}$   $\forall P \in A. P \text{ is } \mathbf{H}$   
 shows  $(\sqcap A) \text{ is } H1-H2$   
**proof** –  
 from assms **have**  $A = H1-H2 \text{ ' } A$   
 by (auto simp add: Healthy-def rev-image-eqI)  
 also **have**  $(\sqcap ...) = (\sqcap P \in A \cdot H1-H2(P))$   
 by (simp add: UINF-as-Sup-collect)  
 also **have**  $... = (\sqcap P \in A \cdot (\neg P^f) \vdash P^t)$   
 by (meson H1-H2-eq-design)  
 also **have**  $... = (\sqcup P \in A \cdot \neg P^f) \vdash (\sqcap P \in A \cdot P^t)$   
 by (simp add: design-UINF-mem assms)  
 also **have**  $... \text{ is } H1-H2$   
 by (simp add: design-is-H1-H2 unrest)  
 finally **show** ?thesis .  
**qed**

**definition** *design-inf* ::  $(\alpha, \beta) \text{ rel-des set} \Rightarrow (\alpha, \beta) \text{ rel-des } (\sqcap_D - [900] 900)$  **where**  
 $\sqcap_D A = (\text{if } (A = \{\}) \text{ then } \top_D \text{ else } \sqcap A)$

**abbreviation** *design-sup* ::  $(\alpha, \beta) \text{ rel-des set} \Rightarrow (\alpha, \beta) \text{ rel-des } (\sqcup_D - [900] 900)$  **where**  
 $\sqcup_D A \equiv \sqcup A$

**lemma** *design-inf-H1-H2-closed*:  
 assumes  $\forall P \in A. P \text{ is } \mathbf{H}$

**shows**  $(\sqcap_D A)$  **is** **H**  
**apply** (*auto simp add: design-inf-def closure*)  
**apply** (*simp add: H1-def H2-not-okay Healthy-def impl-alt-def*)  
**apply** (*metis H1-def Healthy-def UINF-H1-H2-closed assms empty-iff impl-alt-def*)  
**done**

**lemma** *design-sup-empty* [*simp*]:  $\sqcap_D \{\} = \top_D$   
**by** (*simp add: design-inf-def*)

**lemma** *design-sup-non-empty* [*simp*]:  $A \neq \{\} \implies \sqcap_D A = \sqcap A$   
**by** (*simp add: design-inf-def*)

**lemma** *USUP-mem-H1-H2-closed*:

**assumes**  $\bigwedge i. i \in A \implies P\ i$  **is** **H**

**shows**  $(\bigsqcup_{i \in A} P\ i)$  **is** **H**

**proof** –

**from** *assms* **have**  $(\bigsqcup_{i \in A} P\ i) = (\bigsqcup_{i \in A} P\ i)$

**by** (*auto intro: USUP-cong simp add: Healthy-def*)

**also have**  $\dots = (\bigsqcup_{i \in A} P\ i) \vdash (P\ i)^t$

**by** (*meson H1-H2-eq-design*)

**also have**  $\dots = (\bigsqcup_{i \in A} P\ i) \vdash (\bigsqcup_{i \in A} P\ i) \Rightarrow (P\ i)^t$

**by** (*simp add: design-USUP-mem*)

**also have**  $\dots$  **is** **H**

**by** (*simp add: design-is-H1-H2 unrest*)

**finally show** *?thesis* .

**qed**

**lemma** *USUP-ind-H1-H2-closed*:

**assumes**  $\bigwedge i. P\ i$  **is** **H**

**shows**  $(\bigsqcup i \cdot P\ i)$  **is** **H**

**using** *assms USUP-mem-H1-H2-closed* [*of UNIV P*] **by** *simp*

**lemma** *Inf-H1-H2-closed*:

**assumes**  $\forall P \in A. P$  **is** **H**

**shows**  $(\bigsqcup A)$  **is** **H**

**proof** –

**from** *assms* **have**  $A: A = \mathbf{H} \cdot A$

**by** (*auto simp add: Healthy-def rev-image-eqI*)

**also have**  $(\bigsqcup \dots) = (\bigsqcup P \in A \cdot P)$

**by** (*simp add: USUP-as-Inf-collect*)

**also have**  $\dots = (\bigsqcup P \in A \cdot P) \vdash P^t$

**by** (*meson H1-H2-eq-design*)

**also have**  $\dots = (\bigsqcup P \in A \cdot P) \vdash (\bigsqcup P \in A \cdot P) \Rightarrow P^t$

**by** (*simp add: design-USUP-mem*)

**also have**  $\dots$  **is** **H**

**by** (*simp add: design-is-H1-H2 unrest*)

**finally show** *?thesis* .

**qed**

**lemma** *rdesign-ref-monos*:

**assumes**  $P$  **is** **H**  $Q$  **is** **H**  $P \sqsubseteq Q$

**shows**  $\text{pre}_D(Q) \sqsubseteq \text{pre}_D(P)$   $\text{post}_D(P) \sqsubseteq (\text{pre}_D(P) \wedge \text{post}_D(Q))$

**proof** –

**have**  $r: P \sqsubseteq Q \iff (\text{pre}_D(P) \Rightarrow \text{pre}_D(Q)) \wedge (\text{pre}_D(P) \wedge \text{post}_D(Q) \Rightarrow \text{post}_D(P))$

**by** (*metis H1-H2-eq-rdesign Healthy-if assms(1) assms(2) rdesign-refinement*)

**from**  $r$  *assms* **show**  $pre_D(Q) \sqsubseteq pre_D(P)$   
 by (*auto simp add: refBy-order*)  
**from**  $r$  *assms* **show**  $post_D(P) \sqsubseteq (pre_D(P) \wedge post_D(Q))$   
 by (*auto simp add: refBy-order*)  
**qed**

## 2.4 H3: The design assumption is a precondition

**definition**  $H3 :: ('\alpha, '\beta) \text{rel-des} \Rightarrow ('\alpha, '\beta) \text{rel-des}$  **where**  
 $[upred-defs]: H3(P) \equiv P ;; II_D$

**theorem** *H3-idem*:

$H3(H3(P)) = H3(P)$   
 by (*metis H3-def design-skip-idem seqr-assoc*)

**theorem** *H3-mono*:

$P \sqsubseteq Q \Longrightarrow H3(P) \sqsubseteq H3(Q)$   
 by (*simp add: H3-def seqr-mono*)

**theorem** *H3-Monotonic*:

*Monotonic H3*  
 by (*simp add: H3-mono mono-def*)

**theorem** *H3-Continuous*: *Continuous H3*

by (*rel-auto*)

**theorem** *design-condition-is-H3*:

**assumes**  $out\alpha \nVdash p$   
**shows**  $(p \vdash Q)$  *is*  $H3$

**proof** –

**have**  $((p \vdash Q) ;; II_D) = (\neg((\neg p) ;; true)) \vdash (Q^t ;; II[\text{true}/\$ok])$   
 by (*simp add: skip-d-alt-def design-composition-subst unrest assms*)  
**also have**  $\dots = p \vdash (Q^t ;; II[\text{true}/\$ok])$   
 using *assms precondition-equiv seqr-true-lemma* **by force**  
**also have**  $\dots = p \vdash Q$   
 by (*rel-auto*)  
**finally show** *?thesis*  
 by (*simp add: H3-def Healthy-def'*)

**qed**

**theorem** *rdesign-H3-iff-pre*:

$P \vdash_r Q \text{ is } H3 \iff P = (P ;; true)$

**proof** –

**have**  $(P \vdash_r Q) ;; II_D = (P \vdash_r Q) ;; (true \vdash_r II)$   
 by (*simp add: skip-d-def*)  
**also have**  $\dots = (\neg((\neg P) ;; true) \wedge \neg(Q ;; (\neg true))) \vdash_r (Q ;; II)$   
 by (*simp add: rdesign-composition*)  
**also have**  $\dots = (\neg((\neg P) ;; true) \wedge \neg(Q ;; (\neg true))) \vdash_r Q$   
 by *simp*  
**also have**  $\dots = (\neg((\neg P) ;; true)) \vdash_r Q$   
 by (*pred-auto*)  
**finally have**  $P \vdash_r Q \text{ is } H3 \iff P \vdash_r Q = (\neg((\neg P) ;; true)) \vdash_r Q$   
 by (*metis H3-def Healthy-def'*)  
**also have**  $\dots \iff P = (\neg((\neg P) ;; true))$   
 by (*metis rdesign-pre*)  
**thm** *seqr-true-lemma*

also have ...  $\longleftrightarrow P = (P ;; \text{true})$   
 by (*simp add: seqr-true-lemma*)  
 finally show ?thesis .  
 qed

**theorem** *design-H3-iff-pre*:

assumes  $\$ok \# P \$ok' \# P \$ok \# Q \$ok' \# Q$   
 shows  $P \vdash Q \text{ is } H3 \longleftrightarrow P = (P ;; \text{true})$

**proof** –

have  $P \vdash Q = \lfloor P \rfloor_D \vdash_r \lfloor Q \rfloor_D$   
 by (*simp add: assms lift-desr-inv rdesign-def*)  
 moreover hence  $\lfloor P \rfloor_D \vdash_r \lfloor Q \rfloor_D \text{ is } H3 \longleftrightarrow \lfloor P \rfloor_D = (\lfloor P \rfloor_D ;; \text{true})$   
 using *rdesign-H3-iff-pre* by *blast*  
 ultimately show ?thesis  
 by (*metis assms(1,2) drop-desr-inv lift-desr-inv lift-dist-seq aext-true*)

qed

**theorem** *H1-H3-commute*:

$H1 (H3 P) = H3 (H1 P)$   
 by (*rel-auto*)

**lemma** *skip-d-absorb-J-1*:

$(II_D ;; J) = II_D$   
 by (*metis H2-def H2-rdesign skip-d-def*)

**lemma** *skip-d-absorb-J-2*:

$(J ;; II_D) = II_D$

**proof** –

have  $(J ;; II_D) = ((\$ok \Rightarrow \$ok') \wedge \lceil II \rceil_D) ;; (true \vdash II)$   
 by (*simp add: J-def skip-d-alt-def*)  
 also have ... =  $(\exists ok_0 \cdot ((\$ok \Rightarrow \$ok') \wedge \lceil II \rceil_D) \llbracket \ll ok_0 \gg / \$ok' \rrbracket ;; (true \vdash II) \llbracket \ll ok_0 \gg / \$ok \rrbracket)$   
 by (*subst seqr-middle[of ok], simp-all*)  
 also have ... =  $((((\$ok \Rightarrow \$ok') \wedge \lceil II \rceil_D) \llbracket false / \$ok' \rrbracket ;; (true \vdash II) \llbracket false / \$ok \rrbracket) \vee (((\$ok \Rightarrow \$ok') \wedge \lceil II \rceil_D) \llbracket true / \$ok' \rrbracket ;; (true \vdash II) \llbracket true / \$ok \rrbracket))$   
 by (*simp add: disj-comm false-alt-def true-alt-def*)  
 also have ... =  $((\neg \$ok \wedge \lceil II \rceil_D ;; true) \vee (\lceil II \rceil_D ;; \$ok' \wedge \lceil II \rceil_D))$   
 by (*rel-auto*)  
 also have ... =  $II_D$   
 by (*rel-auto*)  
 finally show ?thesis .

qed

**lemma** *H2-H3-absorb*:

$H2 (H3 P) = H3 P$   
 by (*metis H2-def H3-def seqr-assoc skip-d-absorb-J-1*)

**lemma** *H3-H2-absorb*:

$H3 (H2 P) = H3 P$   
 by (*metis H2-def H3-def seqr-assoc skip-d-absorb-J-2*)

**theorem** *H2-H3-commute*:

$H2 (H3 P) = H3 (H2 P)$   
 by (*simp add: H2-H3-absorb H3-H2-absorb*)

**theorem** *H3-design-pre*:

**assumes**  $\$ok \# p \text{ out}\alpha \# p \ \$ok \# Q \ \$ok' \# Q$   
**shows**  $H3(p \vdash Q) = p \vdash Q$   
**using** *assms*  
**by** (*metis Healthy-def' design-H3-iff-pre precondition-right-unit unrest-out $\alpha$ -var ok-vwb-lens vwb-lens-mwb*)

**theorem** *H3-rdesign-pre*:

**assumes**  $\text{out}\alpha \# p$   
**shows**  $H3(p \vdash_r Q) = p \vdash_r Q$   
**using** *assms*  
**by** (*simp add: H3-def*)

**theorem** *H3-ndesign*:  $H3(p \vdash_n Q) = (p \vdash_n Q)$

**by** (*simp add: H3-def ndesign-def unrest-pre-out $\alpha$* )

**theorem** *ndesign-is-H3 [closure]*:  $p \vdash_n Q$  is *H3*

**by** (*simp add: H3-ndesign Healthy-def*)

## 2.5 Normal Designs as *H1-H2* predicates

**abbreviation** *H1-H3* ::  $(\alpha, \beta) \text{ rel-des} \Rightarrow (\alpha, \beta) \text{ rel-des } (\mathbf{N})$  **where**  
*H1-H3*  $p \equiv H1 (H3 p)$

**lemma** *H1-H3-comp*:  $H1-H3 = H1 \circ H3$

**by** (*auto*)

**theorem** *H1-H3-is-design*:

**assumes**  $P \text{ is } H1 \ P \text{ is } H3$   
**shows**  $P = (\neg P^f) \vdash P^t$   
**by** (*metis H1-H2-eq-design H2-H3-absorb Healthy-def' assms(1) assms(2)*)

**theorem** *H1-H3-is-rdesign*:

**assumes**  $P \text{ is } H1 \ P \text{ is } H3$   
**shows**  $P = \text{pre}_D(P) \vdash_r \text{post}_D(P)$   
**by** (*metis H1-H2-is-rdesign H2-H3-absorb Healthy-def' assms*)

**theorem** *H1-H3-is-normal-design*:

**assumes**  $P \text{ is } H1 \ P \text{ is } H3$   
**shows**  $P = \lfloor \text{pre}_D(P) \rfloor_{<} \vdash_n \text{post}_D(P)$   
**by** (*metis H1-H3-is-rdesign assms drop-pre-inv ndesign-def precondition-equiv rdesign-H3-iff-pre*)

**lemma** *H1-H3-idempotent*:  $\mathbf{N} (\mathbf{N} P) = \mathbf{N} P$

**by** (*simp add: H1-H3-commute H1-idem H3-idem*)

**lemma** *H1-H3-Idempotent [closure]*: *Idempotent*  $\mathbf{N}$

**by** (*simp add: Idempotent-def H1-H3-idempotent*)

**lemma** *H1-H3-monotonic [closure]*: *Monotonic*  $\mathbf{N}$

**by** (*simp add: H1-monotone H3-mono mono-def*)

**lemma** *H1-H3-Continuous [closure]*: *Continuous*  $\mathbf{N}$

**by** (*simp add: Continuous-comp H1-Continuous H1-H3-comp H3-Continuous*)

**lemma** *H1-H3-intro*:

**assumes**  $P \text{ is } \mathbf{H} \ \text{out}\alpha \# \text{pre}_D(P)$   
**shows**  $P \text{ is } \mathbf{N}$   
**by** (*metis H1-H2-eq-rdesign H1-rdesign H3-rdesign-pre Healthy-def' assms*)



**lemma** *H1-H3-impl-H2* [closure]:  $P$  is **N**  $\implies P$  is **H**  
 by (metis *H1-H2-commute H1-idem H2-H3-absorb Healthy-def'*)

**lemma** *H1-H3-eq-design-d-comp*:  $\mathbf{N}(P) = ((\neg P^f) \vdash P^t) ;; \Pi_D$   
 by (metis *H1-H2-eq-design H1-H3-commute H3-H2-absorb H3-def*)

**lemma** *H1-H3-eq-design*:  $\mathbf{N}(P) = (\neg (P^f ;; \text{true})) \vdash P^t$   
 apply (simp add: *H1-H3-eq-design-d-comp skip-d-alt-def*)  
 apply (subst *design-composition-subst*)  
 apply (simp-all add: *usubst unrest*)  
 apply (rel-auto)  
 done

**lemma** *H3-unrest-out-alpha-nok* [unrest]:  
 assumes  $P$  is **N**  
 shows  $\text{out}\alpha \nVdash P^f$   
**proof** –  
 have  $P = (\neg (P^f ;; \text{true})) \vdash P^t$   
 by (metis *H1-H3-eq-design Healthy-def assms*)  
 also have  $\text{out}\alpha \nVdash (\dots)^f$   
 by (simp add: *design-def usubst unrest, rel-auto*)  
 finally show ?thesis .  
**qed**

**lemma** *H3-unrest-out-alpha* [unrest]:  $P$  is **N**  $\implies \text{out}\alpha \nVdash \text{pre}_D(P)$   
 by (metis *H1-H3-commute H1-H3-is-rdesign H1-idem Healthy-def' precond-equiv rdesign-H3-iff-pre*)

**lemma** *ndesign-H1-H3* [closure]:  $p \vdash_n Q$  is **N**  
 by (simp add: *H1-rdesign H3-def Healthy-def' ndesign-def unrest-pre-out\alpha*)

**lemma** *ndesign-form*:  $P$  is **N**  $\implies (\lfloor \text{pre}_D(P) \rfloor_{<} \vdash_n \text{post}_D(P)) = P$   
 by (metis *H1-H2-eq-rdesign H1-H3-impl-H2 H3-unrest-out-alpha Healthy-def drop-pre-inv ndesign-def*)

**lemma** *des-bot-H1-H3* [closure]:  $\perp_D$  is **N**  
 by (metis *H1-design H3-def Healthy-def' design-false-pre design-true-left-zero skip-d-alt-def bot-d-def*)

**lemma** *des-top-is-H1-H3* [closure]:  $\top_D$  is **N**  
 by (metis *ndesign-H1-H3 ndesign-miracle*)

**lemma** *skip-d-is-H1-H3* [closure]:  $\Pi_D$  is **N**  
 by (simp add: *ndesign-H1-H3 skip-d-ndes-def*)

**lemma** *seq-r-H1-H3-closed* [closure]:  
 assumes  $P$  is **N**  $Q$  is **N**  
 shows  $(P ;; Q)$  is **N**  
 by (metis (no-types) *H1-H2-eq-design H1-H3-eq-design-d-comp H1-H3-impl-H2 Healthy-def assms(1) assms(2) seq-r-H1-H2-closed seqr-assoc*)

**lemma** *dcond-H1-H2-closed* [closure]:  
 assumes  $P$  is **N**  $Q$  is **N**  
 shows  $(P \triangleleft b \triangleright_D Q)$  is **N**  
 by (metis *assms ndesign-H1-H3 ndesign-dcond ndesign-form*)

**lemma** *inf-H1-H2-closed* [closure]:

**assumes**  $P$  is  $\mathbf{N}$   $Q$  is  $\mathbf{N}$   
**shows**  $(P \sqcap Q)$  is  $\mathbf{N}$   
**by** (*metis assms ndesign-H1-H3 ndesign-choice ndesign-form*)

**lemma** *sup-H1-H2-closed* [*closure*]:  
**assumes**  $P$  is  $\mathbf{N}$   $Q$  is  $\mathbf{N}$   
**shows**  $(P \sqcup Q)$  is  $\mathbf{N}$   
**by** (*metis assms ndesign-H1-H3 ndesign-inf ndesign-form*)

**lemma** *ndes-seqr-miracle*:  
**assumes**  $P$  is  $\mathbf{N}$   
**shows**  $P ;; \top_D = \lfloor \text{pre}_D P \rfloor_{<} \vdash_n \text{false}$   
**proof** –  
**have**  $P ;; \top_D = (\lfloor \text{pre}_D(P) \rfloor_{<} \vdash_n \text{post}_D(P)) ;; (\text{true} \vdash_n \text{false})$   
**by** (*simp add: assms ndesign-form ndesign-miracle*)  
**also have**  $\dots = \lfloor \text{pre}_D P \rfloor_{<} \vdash_n \text{false}$   
**by** (*simp add: ndesign-composition-wp wp alpha*)  
**finally show** ?thesis .  
**qed**

**lemma** *ndes-seqr-abort*:  
**assumes**  $P$  is  $\mathbf{N}$   
**shows**  $P ;; \perp_D = (\lfloor \text{pre}_D P \rfloor_{<} \wedge \text{post}_D P \text{ wp false}) \vdash_n \text{false}$   
**proof** –  
**have**  $P ;; \perp_D = (\lfloor \text{pre}_D(P) \rfloor_{<} \vdash_n \text{post}_D(P)) ;; (\text{false} \vdash_n \text{false})$   
**by** (*simp add: assms bot-d-true ndesign-false-pre ndesign-form*)  
**also have**  $\dots = (\lfloor \text{pre}_D P \rfloor_{<} \wedge \text{post}_D P \text{ wp false}) \vdash_n \text{false}$   
**by** (*simp add: ndesign-composition-wp alpha*)  
**finally show** ?thesis .  
**qed**

**lemma** *USUP-ind-H1-H3-closed* [*closure*]:  
 $\llbracket \bigwedge i. P \ i \text{ is } \mathbf{N} \rrbracket \implies (\bigsqcup i \cdot P \ i) \text{ is } \mathbf{N}$   
**by** (*rule H1-H3-intro, simp-all add: H1-H3-impl-H2 USUP-ind-H1-H2-closed preD-USUP-ind unrest*)

## 2.6 H4: Feasibility

**definition**  $H_4 :: ('\alpha, '\beta) \text{rel-des} \Rightarrow ('\alpha, '\beta) \text{rel-des}$  **where**  
 $[upred\text{-defs}]: H_4(P) = ((P;;\text{true}) \Rightarrow P)$

**theorem** *H4-idem*:  
 $H_4(H_4(P)) = H_4(P)$   
**by** (*rel-auto*)

**lemma** *is-H4-alt-def*:  
 $P \text{ is } H_4 \iff (P ;; \text{true}) = \text{true}$   
**by** (*rel-blast*)

**end**

## 2.7 UTP theory of Designs

**theory** *utp-des-theory*  
**imports** *utp-des-healths*  
**begin**

## 2.8 UTP theories

**typeddecl** *DES*  
**typeddecl** *NDES*

**abbreviation** *DES*  $\equiv$  *UTHY*(*DES*, ' $\alpha$  *des*)  
**abbreviation** *NDES*  $\equiv$  *UTHY*(*NDES*, ' $\alpha$  *des*)

**overloading**

*des-hcond* == *utp-hcond* :: (*DES*, ' $\alpha$  *des*) *uthy*  $\Rightarrow$  (' $\alpha$  *des*  $\times$  ' $\alpha$  *des*) *health*  
*des-unit* == *utp-unit* :: (*DES*, ' $\alpha$  *des*) *uthy*  $\Rightarrow$  ' $\alpha$  *hrel-des* (**unchecked**)

*ndes-hcond* == *utp-hcond* :: (*NDES*, ' $\alpha$  *des*) *uthy*  $\Rightarrow$  (' $\alpha$  *des*  $\times$  ' $\alpha$  *des*) *health*  
*ndes-unit* == *utp-unit* :: (*NDES*, ' $\alpha$  *des*) *uthy*  $\Rightarrow$  ' $\alpha$  *hrel-des* (**unchecked**)

**begin**

**definition** *des-hcond* :: (*DES*, ' $\alpha$  *des*) *uthy*  $\Rightarrow$  (' $\alpha$  *des*  $\times$  ' $\alpha$  *des*) *health* **where**  
*[upred-defs]*: *des-hcond* *t* = *H1-H2*

**definition** *des-unit* :: (*DES*, ' $\alpha$  *des*) *uthy*  $\Rightarrow$  ' $\alpha$  *hrel-des* **where**  
*[upred-defs]*: *des-unit* *t* = *II<sub>D</sub>*

**definition** *ndes-hcond* :: (*NDES*, ' $\alpha$  *des*) *uthy*  $\Rightarrow$  (' $\alpha$  *des*  $\times$  ' $\alpha$  *des*) *health* **where**  
*[upred-defs]*: *ndes-hcond* *t* = *H1-H3*

**definition** *ndes-unit* :: (*NDES*, ' $\alpha$  *des*) *uthy*  $\Rightarrow$  ' $\alpha$  *hrel-des* **where**  
*[upred-defs]*: *ndes-unit* *t* = *II<sub>D</sub>*

**end**

**interpretation** *des-utp-theory*: *utp-theory* *DES*  
**by** (*simp* *add*: *H1-H2-commute* *H1-idem* *H2-idem* *des-hcond-def* *utp-theory-def*)

**interpretation** *ndes-utp-theory*: *utp-theory* *NDES*  
**by** (*simp* *add*: *H1-H3-commute* *H1-idem* *H3-idem* *ndes-hcond-def* *utp-theory.intro*)

**interpretation** *des-left-unital*: *utp-theory-left-unital* *DES*

**apply** (*unfold-locales*)  
**apply** (*simp-all* *add*: *des-hcond-def* *des-unit-def*)  
**using** *seq-r-H1-H2-closed* **apply** *blast*  
**apply** (*simp* *add*: *rdesign-is-H1-H2* *skip-d-def*)  
**apply** (*metis* *H1-idem* *H1-left-unit* *Healthy-def'*)

**done**

**interpretation** *ndes-unital*: *utp-theory-unital* *NDES*

**apply** (*unfold-locales*, *simp-all* *add*: *ndes-hcond-def* *ndes-unit-def*)  
**using** *seq-r-H1-H3-closed* **apply** *blast*  
**apply** (*metis* *H1-rdesign* *H3-def* *Healthy-def'* *design-skip-idem* *skip-d-def*)  
**apply** (*metis* *H1-idem* *H1-left-unit* *Healthy-def'*)  
**apply** (*metis* *H1-H3-commute* *H3-def* *H3-idem* *Healthy-def'*)

**done**

**interpretation** *design-theory-continuous*: *utp-theory-continuous* *DES*

**rewrites**  $\bigwedge P. P \in \text{carrier } (\text{uthy-order } DES) \longleftrightarrow P \text{ is } \mathbf{H}$   
**and** *carrier* (*uthy-order* *DES*)  $\rightarrow$  *carrier* (*uthy-order* *DES*)  $\equiv \llbracket \mathbf{H} \rrbracket_H \rightarrow \llbracket \mathbf{H} \rrbracket_H$   
**and**  $\llbracket \mathcal{H}_{DES} \rrbracket_H \rightarrow \llbracket \mathcal{H}_{DES} \rrbracket_H \equiv \llbracket \mathbf{H} \rrbracket_H \rightarrow \llbracket \mathbf{H} \rrbracket_H$

**and**  $le \text{ (uthy-order DES) } = op \sqsubseteq$   
**and**  $eq \text{ (uthy-order DES) } = op =$   
**by** (unfold-locales, simp-all add: des-hcond-def H1-H2-Continuous utp-order-def)

**interpretation** *normal-design-theory-continuous: utp-theory-continuous NDES*  
**rewrites**  $\bigwedge P. P \in \text{carrier (uthy-order NDES)} \longleftrightarrow P \text{ is } \mathbf{N}$   
**and**  $\text{carrier (uthy-order NDES)} \rightarrow \text{carrier (uthy-order NDES)} \equiv \llbracket \mathbf{N} \rrbracket_H \rightarrow \llbracket \mathbf{N} \rrbracket_H$   
**and**  $\llbracket \mathcal{H}_{NDES} \rrbracket_H \rightarrow \llbracket \mathcal{H}_{NDES} \rrbracket_H \equiv \llbracket \mathbf{N} \rrbracket_H \rightarrow \llbracket \mathbf{N} \rrbracket_H$   
**and**  $le \text{ (uthy-order NDES) } = op \sqsubseteq$   
**and**  $A \subseteq \text{carrier (uthy-order NDES)} \longleftrightarrow A \subseteq \llbracket \mathbf{N} \rrbracket_H$   
**and**  $eq \text{ (uthy-order NDES) } = op =$   
**by** (unfold-locales, simp-all add: ndes-hcond-def H1-H3-Continuous utp-order-def)

**lemma** *design-lat-top:  $\top_{DES} = \mathbf{H}(\text{false})$*   
**by** (simp add: design-theory-continuous.healthy-top, simp add: des-hcond-def)

**lemma** *design-lat-bottom:  $\perp_{DES} = \mathbf{H}(\text{true})$*   
**by** (simp add: design-theory-continuous.healthy-bottom, simp add: des-hcond-def)

**lemma** *ndesign-lat-top:  $\top_{NDES} = \mathbf{N}(\text{false})$*   
**by** (metis ndes-hcond-def normal-design-theory-continuous.healthy-top)

**lemma** *ndesign-lat-bottom:  $\perp_{NDES} = \mathbf{N}(\text{true})$*   
**by** (metis ndes-hcond-def normal-design-theory-continuous.healthy-bottom)

## 2.9 Galois Connection

Example Galois connection between designs and relations. Based on Jim's example in COMPASS deliverable D23.5.

**definition** [upred-defs]:  $Des(R) = \mathbf{H}(\lceil R \rceil_D \wedge \$ok')$

**definition** [upred-defs]:  $Rel(D) = \lfloor D \llbracket true, true / \$ok, \$ok' \rrbracket \rfloor_D$

**lemma** *Des-design:  $Des(R) = true \vdash_r R$*   
**by** (rel-auto)

**lemma** *Rel-design:  $Rel(P \vdash_r Q) = (P \Rightarrow Q)$*   
**by** (rel-auto)

**interpretation** *Des-Rel-coretract:*  
 $\text{coretract } DES \leftarrow \langle Des, Rel \rangle \rightarrow REL$

**rewrites**

$\bigwedge x. x \in \text{carrier } \mathcal{X}_{DES} \leftarrow \langle Des, Rel \rangle \rightarrow REL = (x \text{ is } \mathbf{H}) \text{ and}$

$\bigwedge x. x \in \text{carrier } \mathcal{Y}_{DES} \leftarrow \langle Des, Rel \rangle \rightarrow REL = \text{True and}$

$\pi_{*DES} \leftarrow \langle Des, Rel \rangle \rightarrow REL = Des \text{ and}$

$\pi^{*}_{DES} \leftarrow \langle Des, Rel \rangle \rightarrow REL = Rel \text{ and}$

$le \mathcal{X}_{DES} \leftarrow \langle Des, Rel \rangle \rightarrow REL = op \sqsubseteq \text{ and}$

$le \mathcal{Y}_{DES} \leftarrow \langle Des, Rel \rangle \rightarrow REL = op \sqsubseteq$

**proof** (unfold-locales, simp-all add: rel-hcond-def des-hcond-def)

**show**  $\bigwedge x. x \text{ is } id$

**by** (simp add: Healthy-def)

**next**

**show**  $Rel \in \llbracket \mathbf{H} \rrbracket_H \rightarrow \llbracket id \rrbracket_H$

**by** (auto simp add: Rel-def rel-hcond-def Healthy-def)

**next**

```

show  $Des \in \llbracket id \rrbracket_H \rightarrow \llbracket \mathbf{H} \rrbracket_H$ 
  by (auto simp add: Des-def des-hcond-def Healthy-def H1-H2-commute H1-idem H2-idem)
next
fix  $R :: 'a \text{ hrel}$ 
show  $R \sqsubseteq Rel (Des R)$ 
  by (simp add: Des-design Rel-design)
next
fix  $R :: 'a \text{ hrel}$  and  $D :: 'a \text{ hrel-des}$ 
assume  $a: D \text{ is } \mathbf{H}$ 
then obtain  $D_1 D_2$  where  $D: D = D_1 \vdash_r D_2$ 
  by (metis H1-H2-commute H1-H2-is-rdesign H1-idem Healthy-def')
show  $(Rel D \sqsubseteq R) = (D \sqsubseteq Des R)$ 
proof -
  have  $(D \sqsubseteq Des R) = (D_1 \vdash_r D_2 \sqsubseteq true \vdash_r R)$ 
    by (simp add: D Des-design)
  also have  $\dots = 'D_1 \wedge R \Rightarrow D_2'$ 
    by (simp add: rdesign-refinement)
  also have  $\dots = ((D_1 \Rightarrow D_2) \sqsubseteq R)$ 
    by (rel-auto)
  also have  $\dots = (Rel D \sqsubseteq R)$ 
    by (simp add: D Rel-design)
  finally show ?thesis ..
qed
qed

```

From this interpretation we gain many Galois theorems. Some require simplification to remove superfluous assumptions.

```

thm Des-Rel-coretract.deflation[simplified]
thm Des-Rel-coretract.inflation
thm Des-Rel-coretract.upper-comp[simplified]
thm Des-Rel-coretract.lower-comp

```

## 2.10 Fixed Points

**abbreviation** *design-lfp* ::  $('a \text{ hrel-des} \Rightarrow 'a \text{ hrel-des}) \Rightarrow 'a \text{ hrel-des} (\mu_D)$  **where**  
 $\mu_D F \equiv \mu_{DES} F$

**abbreviation** *design-gfp* ::  $('a \text{ hrel-des} \Rightarrow 'a \text{ hrel-des}) \Rightarrow 'a \text{ hrel-des} (\nu_D)$  **where**  
 $\nu_D F \equiv \nu_{DES} F$

**syntax**

```

-dmu ::  $pttrn \Rightarrow logic \Rightarrow logic (\mu_D - \cdot - [0, 10] 10)$ 
-dnu ::  $pttrn \Rightarrow logic \Rightarrow logic (\nu_D - \cdot - [0, 10] 10)$ 

```

**translations**

```

 $\mu_D X \cdot P == \mu_{CONST DES} (\lambda X. P)$ 
 $\nu_D X \cdot P == \nu_{CONST DES} (\lambda X. P)$ 

```

**thm** *design-theory-continuous.GFP-unfold*

**thm** *design-theory-continuous.LFP-unfold*

Specialise *mu-refine-intro* to designs.

**lemma** *design-mu-refine-intro*:

```

assumes  $\$ok' \# C \ \$ok' \# S (C \vdash S) \sqsubseteq F(C \vdash S) 'C \Rightarrow (\mu_D F \Leftrightarrow \nu_D F)'$ 
shows  $(C \vdash S) \sqsubseteq \mu_D F$ 

```

**proof** –

**from** *assms* **have**  $(C \vdash S) \sqsubseteq \nu_D F$   
**thm** *design-theory-continuous.weak.GFP-upperbound*  
**by** (*simp add: design-is-H1-H2 design-theory-continuous.weak.GFP-upperbound*)  
**with** *assms* **show** *?thesis*  
**by** (*rel-auto, metis (no-types, lifting)*)

**qed**

**lemma** *rdesign-mu-refine-intro*:

**assumes**  $(C \vdash_r S) \sqsubseteq F(C \vdash_r S)$  ‘ $\lceil C \rceil_D \Rightarrow (\mu_D F \Leftrightarrow \nu_D F)$ ’  
**shows**  $(C \vdash_r S) \sqsubseteq \mu_D F$   
**using** *assms* **by** (*simp add: rdesign-def design-mu-refine-intro unrest*)

**lemma** *H1-H2-mu-refine-intro*:

**assumes**  $P$  is **H**  $P \sqsubseteq F(P)$  ‘ $\lceil pre_D(P) \rceil_D \Rightarrow (\mu_D F \Leftrightarrow \nu_D F)$ ’  
**shows**  $P \sqsubseteq \mu_D F$   
**by** (*metis H1-H2-eq-rdesign Healthy-if assms rdesign-mu-refine-intro*)

Foundational theorem for recursion introduction using a well-founded relation. Contributed by Dr. Yakoub Nemouchi.

**theorem** *rdesign-mu-wf-refine-intro*:

**assumes**  $WF: wf\ R$   
**and**  $M: Monotonic\ F$   
**and**  $H: F \in \llbracket \mathbf{H} \rrbracket_H \rightarrow \llbracket \mathbf{H} \rrbracket_H$   
**and** *induct-step*:  
 $\bigwedge st. (P \wedge \lceil e \rceil_{<} =_u \ll st \gg) \vdash_r Q \sqsubseteq F((P \wedge (\lceil e \rceil_{<}, \ll st \gg)_u \in_u \ll R \gg) \vdash_r Q)$   
**shows**  $(P \vdash_r Q) \sqsubseteq \mu_D F$

**proof** –

{  
**fix** *st*  
**have**  $(P \wedge \lceil e \rceil_{<} =_u \ll st \gg) \vdash_r Q \sqsubseteq \mu_D F$   
**using**  $WF$  **proof** (*induction rule: wf-induct-rule*)  
**case** (*less st*)  
**hence**  $0: (P \wedge (\lceil e \rceil_{<}, \ll st \gg)_u \in_u \ll R \gg) \vdash_r Q \sqsubseteq \mu_D F$   
**by** *rel-blast*  
**from**  $M\ H\ design-theory-continuous.LFP-lemma3\ mono-Monotone-utp-order$   
**have**  $1: \mu_D F \sqsubseteq F(\mu_D F)$   
**by** *blast*  
**from**  $0\ 1$  **have**  $2: (P \wedge (\lceil e \rceil_{<}, \ll st \gg)_u \in_u \ll R \gg) \vdash_r Q \sqsubseteq F(\mu_D F)$   
**by** *simp*  
**have**  $3: F((P \wedge (\lceil e \rceil_{<}, \ll st \gg)_u \in_u \ll R \gg) \vdash_r Q) \sqsubseteq F(\mu_D F)$   
**by** (*simp add: 0 M monoD*)  
**have**  $4: (P \wedge \lceil e \rceil_{<} =_u \ll st \gg) \vdash_r Q \sqsubseteq \dots$   
**by** (*rule induct-step*)  
**show** *?case*  
**using** *order-trans[OF 3 4] H M design-theory-continuous.LFP-lemma2 dual-order.trans mono-Monotone-utp-order*  
**by** *blast*  
**qed**  
}  
**thus** *?thesis*  
**by** (*pred-simp*)  
**qed**

**theorem** *ndesign-mu-wf-refine-intro'*:

```

assumes   WF: wf R
and       M: Monotonic F
and       H: F ∈  $\llbracket \mathbf{H} \rrbracket_H \rightarrow \llbracket \mathbf{H} \rrbracket_H$ 
and   induct-step:
   $\bigwedge st. ((p \wedge e =_u \ll st \gg) \vdash_n Q) \sqsubseteq F ((p \wedge (e, \ll st \gg)_u \in_u \ll R \gg) \vdash_n Q)$ 
shows  $(p \vdash_n Q) \sqsubseteq \mu_D F$ 
using assms unfolding ndesign-def
by (rule-tac rdesign-mu-wf-refine-intro[of R F [p]_< e], simp-all add: alpha)

theorem ndesign-mu-wf-refine-intro:
assumes   WF: wf R
and       M: Monotonic F
and       H: F ∈  $\llbracket \mathbf{N} \rrbracket_H \rightarrow \llbracket \mathbf{N} \rrbracket_H$ 
and   induct-step:
   $\bigwedge st. ((p \wedge e =_u \ll st \gg) \vdash_n Q) \sqsubseteq F ((p \wedge (e, \ll st \gg)_u \in_u \ll R \gg) \vdash_n Q)$ 
shows  $(p \vdash_n Q) \sqsubseteq \mu_{NDES} F$ 
proof –
{
  fix st
  have  $(p \wedge e =_u \ll st \gg) \vdash_n Q \sqsubseteq \mu_{NDES} F$ 
  using WF proof (induction rule: wf-induct-rule)
    case (less st)
    hence 0:  $(p \wedge (e, \ll st \gg)_u \in_u \ll R \gg) \vdash_n Q \sqsubseteq \mu_{NDES} F$ 
    by rel-blast
    from M H design-theory-continuous.LFP-lemma3 mono-Monotone-utp-order
    have 1:  $\mu_{NDES} F \sqsubseteq F (\mu_{NDES} F)$ 
    by (simp add: mono-Monotone-utp-order normal-design-theory-continuous.LFP-lemma3)
    from 0 1 have 2:  $(p \wedge (e, \ll st \gg)_u \in_u \ll R \gg) \vdash_n Q \sqsubseteq F (\mu_{NDES} F)$ 
    by simp
    have 3:  $F ((p \wedge (e, \ll st \gg)_u \in_u \ll R \gg) \vdash_n Q) \sqsubseteq F (\mu_{NDES} F)$ 
    by (simp add: 0 M monoD)
    have 4:  $(p \wedge e =_u \ll st \gg) \vdash_n Q \sqsubseteq \dots$ 
    by (rule induct-step)
    show ?case
      using order-trans[OF 3 4] H M normal-design-theory-continuous.LFP-lemma2 dual-order.trans
      mono-Monotone-utp-order
      by blast
  qed
}
thus ?thesis
by (pred-simp)
qed

end

```

### 3 Design Proof Tactics

```

theory utp-des-tactics
imports utp-des-theory
begin

```

The tactics split apart a healthy normal design predicate into its pre-postcondition form, using elimination rules, and then attempt to prove refinement conjectures.

```

named-theorems ND-elim

```

**lemma** *ndes-elim*:  $\llbracket P \text{ is } \mathbf{N}; Q(\lfloor pre_D(P) \rfloor_{<} \vdash_n post_D(P)) \rrbracket \implies Q(P)$   
**by** (*simp add: ndesign-form*)

**lemma** *ndes-ind-elim*:  $\llbracket \bigwedge i. P \ i \text{ is } \mathbf{N}; Q(\lambda i. \lfloor pre_D(P \ i) \rfloor_{<} \vdash_n post_D(P \ i)) \rrbracket \implies Q(P)$   
**by** (*simp add: ndesign-form*)

**lemma** *ndes-split* [*ND-elim*]:  $\llbracket P \text{ is } \mathbf{N}; \bigwedge pre \ post. Q(pre \vdash_n post) \rrbracket \implies Q(P)$   
**by** (*metis H1-H2-eq-rdesign H1-H3-impl-H2 H3-unrest-out-alpha Healthy-def drop-pre-inv ndesign-def*)

Use given closure laws (*cls*) to expand normal design predicates

**method** *ndes-expand* **uses** *cls* = (*insert cls, (erule ND-elim)+*)

Expand and simplify normal designs

**method** *ndes-simp* **uses** *cls* =  
(*((ndes-expand cls: cls)?, (simp add: ndes-simp closure alpha usubst unrest wp prod.case-eq-if))*)

Attempt to discharge a refinement between two normal designs

**method** *ndes-refine* **uses** *cls* =  
(*(ndes-simp cls: cls; rule-tac ndesign-refine-intro; (insert cls; rel-simp; auto?))*)

Attempt to discharge an equality between two normal designs

**method** *ndes-eq* **uses** *cls* =  
(*(ndes-simp cls: cls; rule-tac antisym; rule-tac ndesign-refine-intro; (insert cls; rel-simp; auto?))*)

**end**

## 4 Imperative Programming in Designs

**theory** *utp-des-prog*  
**imports** *utp-des-tactics*  
**begin**

### 4.1 Assignment

**definition** *assigns-d* ::  $'\alpha \text{ usubst} \Rightarrow '\alpha \text{ hrel-des } (\langle \cdot \rangle_D)$  **where**  
[*upred-defs*]: *assigns-d*  $\sigma = (true \vdash_r \text{assigns-r } \sigma)$

**syntax**

*-assignmenttd* :: *svids*  $\Rightarrow$  *uexprs*  $\Rightarrow$  *logic* (**infixr**  $:=_D$  72)

**translations**

*-assignmenttd xs vs*  $\Rightarrow$  *CONST assigns-d (-mk-usubst (CONST id) xs vs)*  
*-assignmenttd x v*  $\leq$  *CONST assigns-d (CONST subst-upd (CONST id) x v)*  
*-assignmenttd x v*  $\leq$  *-assignmenttd (-spvar x) v*  
*x, y :=<sub>D</sub> u, v*  $\leq$  *CONST assigns-d (CONST subst-upd (CONST subst-upd (CONST id) (CONST svar x) u) (CONST svar y) v)*

**lemma** *assigns-d-is-H1-H2* [*closure*]:  $\langle \sigma \rangle_D$  **is** **H**  
**by** (*simp add: assigns-d-def rdesign-is-H1-H2*)

**lemma** *assigns-d-H1-H3* [*closure*]:  $\langle \sigma \rangle_D$  **is** **N**  
**by** (*metis H1-rdesign H3-ndesign Healthy-def' aext-true assigns-d-def ndesign-def*)

Designs are closed under substitutions on state variables only (via lifting)



**lemma** *state-subst-H1-H2-closed* [closure]:

$P \text{ is } \mathbf{H} \implies \lceil \sigma \oplus_s \Sigma_D \rceil_s \uparrow P \text{ is } \mathbf{H}$

by (metis *H1-H2-eq-rdesign Healthy-if rdesign-is-H1-H2 state-subst-design*)

**lemma** *assigns-d-ndes-def* [ndes-simp]:

$\langle \sigma \rangle_D = (\text{true} \vdash_n \langle \sigma \rangle_a)$

by (rel-auto)

**lemma** *assigns-d-id* [simp]:  $\langle id \rangle_D = II_D$

by (rel-auto)

**lemma** *assign-d-left-comp*:

$(\langle f \rangle_D ;; (P \vdash_r Q)) = (\lceil f \rceil_s \uparrow P \vdash_r \lceil f \rceil_s \uparrow Q)$

by (simp add: *assigns-d-def rdesign-composition assigns-r-comp subst-not*)

**lemma** *assign-d-right-comp*:

$((P \vdash_r Q) ;; \langle f \rangle_D) = ((\neg ((\neg P) ;; \text{true})) \vdash_r (Q ;; \langle f \rangle_a))$

by (simp add: *assigns-d-def rdesign-composition*)

**lemma** *assigns-d-comp*:

$(\langle f \rangle_D ;; \langle g \rangle_D) = \langle g \circ f \rangle_D$

by (simp add: *assigns-d-def rdesign-composition assigns-comp*)

**lemma** *assigns-d-comp-ext*:

fixes  $P :: 'a \text{ hrel-des}$

assumes  $P \text{ is } \mathbf{H}$

shows  $(\langle \sigma \rangle_D ;; P) = \lceil \sigma \oplus_s \Sigma_D \rceil_s \uparrow P$

**proof** –

have  $\langle \sigma \rangle_D ;; P = \langle \sigma \rangle_D ;; (\text{pre}_D(P) \vdash_r \text{post}_D(P))$

by (metis *H1-H2-commute H1-H2-is-rdesign H2-idem Healthy-def' assms*)

also have  $\dots = \lceil \sigma \rceil_s \uparrow \text{pre}_D(P) \vdash_r \lceil \sigma \rceil_s \uparrow \text{post}_D(P)$

by (simp add: *assign-d-left-comp*)

also have  $\dots = \lceil \sigma \oplus_s \Sigma_D \rceil_s \uparrow (\text{pre}_D(P) \vdash_r \text{post}_D(P))$

by (rel-auto)

also have  $\dots = \lceil \sigma \oplus_s \Sigma_D \rceil_s \uparrow P$

by (metis *H1-H2-commute H1-H2-is-rdesign H2-idem Healthy-def' assms*)

finally show ?thesis .

qed

Normal designs are closed under substitutions on state variables only

**lemma** *state-subst-H1-H3-closed* [closure]:

$P \text{ is } \mathbf{N} \implies \lceil \sigma \oplus_s \Sigma_D \rceil_s \uparrow P \text{ is } \mathbf{N}$

by (metis *H1-H2-eq-rdesign H1-H3-impl-H2 Healthy-if assign-d-left-comp assigns-d-H1-H3 seq-r-H1-H3-closed state-subst-design*)

**lemma** *H4-assigns-d*:  $\langle \sigma \rangle_D \text{ is } H4$

**proof** –

have  $(\langle \sigma \rangle_D ;; (\text{false} \vdash_r \text{true}_h)) = (\text{false} \vdash_r \text{true})$

by (simp add: *assigns-d-def rdesign-composition assigns-r-feasible*)

moreover have  $\dots = \text{true}$

by (rel-auto)

ultimately show ?thesis

using *is-H4-alt-def* by auto

qed

## 4.2 Guarded Commands

**definition**  $GrdCommD :: 'a \text{ upred} \Rightarrow ('a, 'b) \text{ rel-des} \Rightarrow ('a, 'b) \text{ rel-des} \ (- \rightarrow_D - [85, 86] \ 85) \text{ where}$   
 $[upred-defs]: b \rightarrow_D P = P \triangleleft b \triangleright_D \top_D$

**lemma**  $GrdCommD-ndes-simp \ [ndes-simp]:$   
 $b \rightarrow_D (p_1 \vdash_n P_2) = ((b \Rightarrow p_1) \vdash_n (\lceil b \rceil_{<} \wedge P_2))$   
**by**  $(rel-auto)$

**lemma**  $GrdCommD-H1-H3-closed \ [closure]: P \text{ is } \mathbf{N} \Longrightarrow b \rightarrow_D P \text{ is } \mathbf{N}$   
**by**  $(simp \ add: GrdCommD-def \ closure)$

**lemma**  $GrdCommD-true \ [simp]: true \rightarrow_D P = P$   
**by**  $(rel-auto)$

**lemma**  $GrdCommD-false \ [simp]: false \rightarrow_D P = \top_D$   
**by**  $(rel-auto)$

**lemma**  $GrdCommD-abort \ [simp]: b \rightarrow_D true = ((\neg b) \vdash_n false)$   
**by**  $(rel-auto)$

## 4.3 Alternation

**consts**

$ualtern \quad :: 'a \text{ set} \Rightarrow ('a \Rightarrow 'p) \Rightarrow ('a \Rightarrow 'r) \Rightarrow 'r \Rightarrow 'r$   
 $ualtern-list \quad :: ('a \times 'r) \text{ list} \Rightarrow 'r \Rightarrow 'r$

**definition**  $AlternateD :: 'a \text{ set} \Rightarrow ('a \Rightarrow 'a \text{ upred}) \Rightarrow ('a \Rightarrow ('a, 'b) \text{ rel-des}) \Rightarrow ('a, 'b) \text{ rel-des} \Rightarrow ('a, 'b) \text{ rel-des} \text{ where}$   
 $[upred-defs, ndes-simp]:$   
 $AlternateD \ A \ g \ P \ Q = (\bigwedge i \in A \cdot g(i) \rightarrow_D P(i)) \sqcap (\bigwedge i \in A \cdot \neg g(i) \rightarrow_D Q)$

This lemma shows that our generalised alternation is the same operator as Marcel Oliveira's definition of alternation when the else branch is abort.

**lemma**  $AlternateD-abort-alternate:$

**assumes**  $\bigwedge i. P(i) \text{ is } \mathbf{N}$

**shows**

$AlternateD \ A \ g \ P \perp_D =$

$((\bigvee i \in A \cdot g(i)) \wedge (\bigwedge i \in A \cdot g(i) \Rightarrow \lfloor pre_D(P \ i) \rfloor_{<})) \vdash_n (\bigvee i \in A \cdot \lceil g(i) \rceil_{<} \wedge post_D(P \ i))$

**proof**  $(cases \ A = \{\})$

**case**  $False$

**have**  $AlternateD \ A \ g \ P \perp_D =$

$(\bigwedge i \in A \cdot g(i) \rightarrow_D (\lfloor pre_D(P \ i) \rfloor_{<} \vdash_n post_D(P \ i))) \sqcap (\bigwedge i \in A \cdot \neg g(i) \rightarrow_D (false \vdash_n true))$

**by**  $(simp \ add: AlternateD-def \ ndesign-form \ bot-d-ndes-def \ assms)$

**also have**  $\dots = ((\bigvee i \in A \cdot g(i)) \wedge (\bigwedge i \in A \cdot g(i) \Rightarrow \lfloor pre_D(P \ i) \rfloor_{<})) \vdash_n (\bigvee i \in A \cdot \lceil g(i) \rceil_{<} \wedge post_D(P \ i))$

**by**  $(simp \ add: ndes-simp \ False, \ rel-auto)$

**finally show**  $?thesis$  **by**  $simp$

**next**

**case**  $True$

**thus**  $?thesis$

**by**  $(simp \ add: AlternateD-def, \ rel-auto)$

**qed**

**definition**  $AlternateD-list :: ('a \text{ upred} \times ('a, 'b) \text{ rel-des}) \text{ list} \Rightarrow ('a, 'b) \text{ rel-des} \Rightarrow ('a, 'b) \text{ rel-des}$   
**where**

[upred-defs, ndes-simp]:

AlternateD-list xs P =

AlternateD {0.. $\text{length } xs$ } ( $\lambda i. \text{map fst } xs ! i$ ) ( $\lambda i. \text{map snd } xs ! i$ ) P

**ad hoc-overloading**

ualtern AlternateD and

ualtern-list AlternateD-list

**nonterminal gcomm and gcomms**

**syntax**

-altind-els :: pttrn  $\Rightarrow$  logic  $\Rightarrow$  logic  $\Rightarrow$  logic  $\Rightarrow$  logic  $\Rightarrow$  logic (if - $\in$ -  $\cdot$  -  $\rightarrow$  - else - fi)

-altind :: pttrn  $\Rightarrow$  logic  $\Rightarrow$  logic  $\Rightarrow$  logic  $\Rightarrow$  logic (if - $\in$ -  $\cdot$  -  $\rightarrow$  - fi)

-gcomm :: logic  $\Rightarrow$  logic  $\Rightarrow$  gcomm (-  $\rightarrow$  - [65, 66] 65)

-gcomm-nil :: gcomm  $\Rightarrow$  gcomms (-)

-gcomm-cons :: gcomm  $\Rightarrow$  gcomms  $\Rightarrow$  gcomms (- | - [60, 61] 61)

-gcomm-show :: logic  $\Rightarrow$  logic

-altgcomm-els :: gcomms  $\Rightarrow$  logic  $\Rightarrow$  logic (if - else - fi)

-altgcomm :: gcomms  $\Rightarrow$  logic (if - fi)

**translations**

-altind-els x A g P Q  $\Rightarrow$  CONST ualtern A ( $\lambda x. g$ ) ( $\lambda x. P$ ) Q

-altind-els x A g P Q  $\Leftarrow$  CONST ualtern A ( $\lambda x. g$ ) ( $\lambda x'. P$ ) Q

-altind x A g P  $\Rightarrow$  CONST ualtern A ( $\lambda x. g$ ) ( $\lambda x. P$ ) (CONST Orderings.top)

-altind x A g P  $\Leftarrow$  CONST ualtern A ( $\lambda x. g$ ) ( $\lambda x'. P$ ) (CONST Orderings.top)

-altgcomm cs  $\Rightarrow$  CONST ualtern-list cs (CONST Orderings.top)

-altgcomm (-gcomm-show cs)  $\Leftarrow$  CONST ualtern-list cs (CONST Orderings.top)

-altgcomm-els cs P  $\Rightarrow$  CONST ualtern-list cs P

-altgcomm-els (-gcomm-show cs) P  $\Leftarrow$  CONST ualtern-list cs P

-gcomm g P  $\Rightarrow$  (g, P)

-gcomm g P  $\Leftarrow$  -gcomm-show (g, P)

-gcomm-cons c cs  $\Rightarrow$  c # cs

-gcomm-cons (-gcomm-show c) (-gcomm-show (d # cs))  $\Leftarrow$  -gcomm-show (c # d # cs)

-gcomm-nil c  $\Rightarrow$  [c]

-gcomm-nil (-gcomm-show c)  $\Leftarrow$  -gcomm-show [c]

**lemma AlternateD-H1-H3-closed [closure]:**

**assumes**  $\bigwedge i. i \in A \Rightarrow P \ i \text{ is } \mathbf{N} \ Q \text{ is } \mathbf{N}$

**shows** if  $i \in A \cdot g(i) \rightarrow P(i)$  else  $Q \text{ fi is } \mathbf{N}$

**proof** (cases A = {})

**case** True

**then show** ?thesis

**by** (simp add: AlternateD-def closure false-upred-def assms)

**next**

**case** False

**then show** ?thesis

**by** (simp add: AlternateD-def closure assms)

**qed**

**lemma AltD-ndes-simp [ndes-simp]:**

if  $i \in A \cdot g(i) \rightarrow (P_1(i) \vdash_n P_2(i))$  else  $Q_1 \vdash_n Q_2 \text{ fi}$

= ( $(\bigwedge i \in A \cdot g \ i \Rightarrow P_1 \ i) \wedge ((\bigwedge i \in A \cdot \neg g \ i) \Rightarrow Q_1)) \vdash_n$

$((\bigvee i \in A \cdot [g \ i]_{<} \wedge P_2 \ i) \vee (\bigwedge i \in A \cdot \neg [g \ i]_{<}) \wedge Q_2)$

**proof** (cases A = {})

```

case True
then show ?thesis by (simp add: AlternateD-def)
next
case False
then show ?thesis
  by (simp add: ndes-simp, rel-auto)
qed

declare UINF-upto-expand-first [ndes-simp]
declare UINF-Suc-shift [ndes-simp]
declare USUP-upto-expand-first [ndes-simp]
declare USUP-Suc-shift [ndes-simp]
declare true-upred-def [THEN sym, ndes-simp]

lemma AlternateD-mono-refine:
  assumes  $\bigwedge i. P\ i \sqsubseteq Q\ i \ R \sqsubseteq S$ 
  shows  $(\text{if } i \in A \cdot g(i) \rightarrow P(i) \text{ else } R\ \text{fi}) \sqsubseteq (\text{if } i \in A \cdot g(i) \rightarrow Q(i) \text{ else } S\ \text{fi})$ 
  using assms by (rel-auto, meson)

lemma Monotonic-AlternateD [closure]:
   $\llbracket \bigwedge i. \text{Monotonic } (F\ i); \text{Monotonic } G \rrbracket \implies \text{Monotonic } (\lambda X. \text{if } i \in A \cdot g(i) \rightarrow F\ i\ X \text{ else } G(X)\ \text{fi})$ 
  by (rel-auto, meson)

lemma AlternateD-eq:
  assumes  $A = B \ \bigwedge i. i \in A \implies g(i) = h(i) \ \bigwedge i. i \in A \implies P(i) = Q(i) \ R = S$ 
  shows  $\text{if } i \in A \cdot g(i) \rightarrow P(i) \text{ else } R\ \text{fi} = \text{if } i \in B \cdot h(i) \rightarrow Q(i) \text{ else } S\ \text{fi}$ 
  by (insert assms, rel-blast)

lemma AlternateD-empty:
   $\text{if } i \in \{\} \cdot g(i) \rightarrow P(i) \text{ else } Q\ \text{fi} = Q$ 
  by (rel-auto)

lemma AlternateD-true-singleton:
  assumes  $P\ \text{is } \mathbf{N}$ 
  shows  $\text{if } \text{true} \rightarrow P\ \text{fi} = P$ 
  by (ndes-eq cls: assms)

lemma AlternateD-no-ind:
  assumes  $A \neq \{\} \ P\ \text{is } \mathbf{N} \ Q\ \text{is } \mathbf{N}$ 
  shows  $\text{if } i \in A \cdot b \rightarrow P \text{ else } Q\ \text{fi} = \text{if } b \rightarrow P \text{ else } Q\ \text{fi}$ 
  by (ndes-eq cls: assms)

lemma AlternateD-singleton:
  assumes  $P\ k\ \text{is } \mathbf{N} \ Q\ \text{is } \mathbf{N}$ 
  shows  $\text{if } i \in \{k\} \cdot b(i) \rightarrow P(i) \text{ else } Q\ \text{fi} = \text{if } b(k) \rightarrow P(k) \text{ else } Q\ \text{fi} \ (\text{is } ?lhs = ?rhs)$ 
proof -
  have  $?lhs = \text{if } i \in \{k\} \cdot b(k) \rightarrow P(k) \text{ else } Q\ \text{fi}$ 
  by (auto intro: AlternateD-eq simp add: assms ndesign-form)
  also have  $\dots = ?rhs$ 
  by (simp add: AlternateD-no-ind assms closure)
  finally show ?thesis .
qed

lemma AlternateD-commute:
  assumes  $P\ \text{is } \mathbf{N} \ Q\ \text{is } \mathbf{N}$ 

```

**shows** if  $g_1 \rightarrow P \mid g_2 \rightarrow Q$  fi = if  $g_2 \rightarrow Q \mid g_1 \rightarrow P$  fi  
**by** (*ndes-eq cls:assms*)

**lemma** *AlternateD-dcond*:

**assumes**  $P$  is  $\mathbf{N}$   $Q$  is  $\mathbf{N}$   
**shows** if  $g \rightarrow P$  else  $Q$  fi =  $P \triangleleft g \triangleright_D Q$   
**by** (*ndes-eq cls:assms*)

**lemma** *AlternateD-cover*:

**assumes**  $P$  is  $\mathbf{N}$   $Q$  is  $\mathbf{N}$   
**shows** if  $g \rightarrow P$  else  $Q$  fi = if  $g \rightarrow P \mid (\neg g) \rightarrow Q$  fi  
**by** (*ndes-eq cls: assms*)

**lemma** *UINF-ndes-expand*:

**assumes**  $\bigwedge i. i \in A \implies P(i)$  is  $\mathbf{N}$   
**shows**  $(\bigcap i \in A \cdot \lfloor pre_D(P(i)) \rfloor_{<} \vdash_n post_D(P(i))) = (\bigcap i \in A \cdot P(i))$   
**by** (*rule UINF-cong, simp add: assms ndesign-form*)

**lemma** *USUP-ndes-expand*:

**assumes**  $\bigwedge i. i \in A \implies P(i)$  is  $\mathbf{N}$   
**shows**  $(\bigcup i \in A \cdot \lfloor pre_D(P(i)) \rfloor_{<} \vdash_n post_D(P(i))) = (\bigcup i \in A \cdot P(i))$   
**by** (*rule USUP-cong, simp add: assms ndesign-form*)

**lemma** *AlternateD-ndes-expand*:

**assumes**  $\bigwedge i. i \in A \implies P(i)$  is  $\mathbf{N}$   $Q$  is  $\mathbf{N}$   
**shows** if  $i \in A \cdot g(i) \rightarrow P(i)$  else  $Q$  fi =  
     if  $i \in A \cdot g(i) \rightarrow (\lfloor pre_D(P(i)) \rfloor_{<} \vdash_n post_D(P(i)))$  else  $\lfloor pre_D(Q) \rfloor_{<} \vdash_n post_D(Q)$  fi  
**apply** (*simp add: AlternateD-def*)  
**apply** (*subst UINF-ndes-expand[THEN sym]*)  
**apply** (*simp add: assms closure*)  
**apply** (*ndes-simp cls: assms*)  
**apply** (*rel-auto*)  
**done**

**lemma** *AlternateD-ndes-expand'*:

**assumes**  $\bigwedge i. i \in A \implies P(i)$  is  $\mathbf{N}$   
**shows** if  $i \in A \cdot g(i) \rightarrow P(i)$  fi = if  $i \in A \cdot g(i) \rightarrow (\lfloor pre_D(P(i)) \rfloor_{<} \vdash_n post_D(P(i)))$  fi  
**apply** (*simp add: AlternateD-def*)  
**apply** (*subst UINF-ndes-expand[THEN sym]*)  
**apply** (*simp add: assms closure*)  
**apply** (*ndes-simp cls: assms*)  
**apply** (*rel-auto*)  
**done**

**lemma** *ndesign-ind-form*:

**assumes**  $\bigwedge i. P(i)$  is  $\mathbf{N}$   
**shows**  $(\lambda i. \lfloor pre_D(P(i)) \rfloor_{<} \vdash_n post_D(P(i))) = P$   
**by** (*simp add: assms ndesign-form*)

**lemma** *AlternateD-insert*:

**assumes**  $\bigwedge i. i \in (\text{insert } x \ A) \implies P(i)$  is  $\mathbf{N}$   $Q$  is  $\mathbf{N}$   
**shows** if  $i \in (\text{insert } x \ A) \cdot g(i) \rightarrow P(i)$  else  $Q$  fi =  
     if  $g(x) \rightarrow P(x) \mid$   
      $(\bigvee i \in A \cdot g(i)) \rightarrow$  if  $i \in A \cdot g(i) \rightarrow P(i)$  fi  
     else  $Q$

```

    fi (is ?lhs = ?rhs)
proof -
  have ?lhs = if i ∈ (insert x A) · g(i) → ([preD(P(i))] < ⊢n postD(P(i))) else ([preD(Q)] < ⊢n
  postD(Q)) fi
  using AlternateD-ndes-expand assms(1) assms(2) by blast
also
have ... =
  if g(x) → ([preD(P(x))] < ⊢n postD(P(x))) |
  (⋁ i ∈ A · g(i) → if i ∈ A · g(i) → [preD(P(i))] < ⊢n postD(P(i)) fi
  else [preD(Q)] < ⊢n postD(Q)
  fi
  by (ndes-simp cls:assms, rel-auto)
also have ... = ?rhs
  by (simp add: AlternateD-ndes-expand' ndesign-form assms)
finally show ?thesis .
qed

```

#### 4.4 Iteration

```

theorem ndesign-iteration-wp [ndes-simp]:
  (p ⊢n Q) ;; (p ⊢n Q) ^ n = ((⋀ i ∈ {0..n} · (Q ^ i) wp p) ⊢n Q ^ Suc n)
proof (induct n)
  case 0
  then show ?case by (rel-auto)
next
  case (Suc n) note hyp = this
  have (p ⊢n Q) ;; (p ⊢n Q) ^ Suc n = (p ⊢n Q) ;; (p ⊢n Q) ;; (p ⊢n Q) ^ n
  by (simp)
  also have ... = (p ⊢n Q) ;; ((⋂ i ∈ {0..n} · Q ^ i wp p) ⊢n Q ^ Suc n)
  by (simp add: hyp)
  also have ... = (p ∧ Q wp (⋂ i ∈ {0..n} · Q ^ i wp p)) ⊢n (Q ;; Q) ;; Q ^ n
  by (simp add: ndesign-composition-wp segr-assoc)
  also have ... = (p ∧ (⋂ i ∈ {0..n} · Q ^ Suc i wp p)) ⊢n (Q ;; Q) ;; Q ^ n
  by (simp add: wp)
  also have ... = (p ∧ (⋂ i ∈ {0..n}. Q ^ Suc i wp p)) ⊢n (Q ;; Q) ;; Q ^ n
  by (simp add: USUP-as-Inf-image)
  also have ... = (p ∧ (⋂ i ∈ {1..Suc n}. Q ^ i wp p)) ⊢n (Q ;; Q) ;; Q ^ n
  by (metis (no-types, lifting) One-nat-def image-Suc-atLeastAtMost image-cong image-image)
  also have ... = (Q ^ 0 wp p ∧ (⋂ i ∈ {1..Suc n}. Q ^ i wp p)) ⊢n (Q ;; Q) ;; Q ^ n
  by (simp add: wp)
  also have ... = ((⋂ i ∈ {0..Suc n}. Q ^ i wp p)) ⊢n (Q ;; Q) ;; Q ^ n
  by (simp add: lic-Suc-eq-insert-0 atLeast0AtMost conj-upred-def image-Suc-atMost)
  also have ... = (⋂ i ∈ {0..Suc n} · Q ^ i wp p) ⊢n Q ^ Suc (Suc n)
  by (simp add: USUP-as-Inf-image upred-semiring.mult-assoc)
  finally show ?case .
qed

```

#### Overloadable Syntax

##### consts

```

uiterate      :: 'a set ⇒ ('a ⇒ 'p) ⇒ ('a ⇒ 'r) ⇒ 'r
uiterate-list :: ('a × 'r) list ⇒ 'r

```

##### syntax

```

-iterind      :: ptnr ⇒ logic ⇒ logic ⇒ logic ⇒ logic (do -∈- · - → - od)
-itergcomm    :: gcomms ⇒ logic (do - od)

```

### translations

$-iterind\ x\ A\ g\ P \Rightarrow CONST\ uiterate\ A\ (\lambda\ x.\ g)\ (\lambda\ x.\ P)$   
 $-iterind\ x\ A\ g\ P \Leftarrow CONST\ uiterate\ A\ (\lambda\ x.\ g)\ (\lambda\ x'.\ P)$   
 $-itergcomm\ cs \Rightarrow CONST\ uiterate-list\ cs$   
 $-itergcomm\ (-gcomm-show\ cs) \Leftarrow CONST\ uiterate-list\ cs$

**definition**  $IterateD :: 'a\ set \Rightarrow ('a \Rightarrow 'a\ upred) \Rightarrow ('a \Rightarrow 'a\ hrel-des) \Rightarrow 'a\ hrel-des$  **where**  
 $[upred-defs, ndes-simp]:$

$IterateD\ A\ g\ P = (\mu_{NDES}\ X \cdot \text{if } i \in A \cdot g(i) \rightarrow P(i) ;; X\ \text{else } II_D\ fi)$

**definition**  $IterateD-list :: ('a\ upred \times 'a\ hrel-des)\ list \Rightarrow 'a\ hrel-des$  **where**  
 $[upred-defs, ndes-simp]:$

$IterateD-list\ xs = IterateD\ \{0..<length\ xs\}\ (\lambda\ i.\ fst\ (nth\ xs\ i))\ (\lambda\ i.\ snd\ (nth\ xs\ i))$

### ad hoc-overloading

$uiterate\ IterateD$  **and**  
 $uiterate-list\ IterateD-list$

**lemma**  $IterateD-H1-H3-closed\ [closure]:$

**assumes**  $\bigwedge i. i \in A \implies P\ i\ \text{is } \mathbf{N}$   
**shows**  $do\ i \in A \cdot g(i) \rightarrow P(i)\ \text{od is } \mathbf{N}$

**proof**  $(cases\ A = \{\})$

**case**  $True$

**then show**  $?thesis$

**by**  $(simp\ add: IterateD-def\ closure\ assms)$

**next**

**case**  $False$

**then show**  $?thesis$

**by**  $(simp\ add: IterateD-def\ closure\ assms)$

**qed**

**lemma**  $IterateD-empty:$

$do\ i \in \{\} \cdot g(i) \rightarrow P(i)\ \text{od} = II_D$

**by**  $(simp\ add: IterateD-def\ AlternateD-empty\ normal-design-theory-continuous.LFP-const\ skip-d-is-H1-H3)$

**lemma**  $IterateD-list-single-expand:$

$do\ b \rightarrow P\ \text{od} = (\mu_{NDES}\ X \cdot \text{if } b \rightarrow P ;; X\ \text{else } II_D\ fi)$

**oops**

**lemma**  $IterateD-singleton:$

**assumes**  $P\ \text{is } \mathbf{N}$

**shows**  $do\ b \rightarrow P\ \text{od} = do\ i \in \{0\} \cdot b \rightarrow P\ \text{od}$

**apply**  $(simp\ add: IterateD-list-def\ IterateD-def\ AlternateD-singleton\ assms)$

**apply**  $(subst\ AlternateD-singleton)$

**apply**  $(simp)$

**apply**  $(rel-auto)$

**oops**

**lemma**  $IterateD-mono-refine:$

**assumes**

$\bigwedge i. P\ i\ \text{is } \mathbf{N} \wedge i. Q\ i\ \text{is } \mathbf{N}$

$\bigwedge i. P\ i \sqsubseteq Q\ i$

**shows**  $(do\ i \in A \cdot g(i) \rightarrow P(i)\ \text{od}) \sqsubseteq (do\ i \in A \cdot g(i) \rightarrow Q(i)\ \text{od})$

**apply**  $(simp\ add: IterateD-def\ normal-design-theory-continuous.utp-lfp-def)$

**apply**  $(subst\ normal-design-theory-continuous.utp-lfp-def)$

```

apply (simp-all add: closure assms)
apply (subst normal-design-theory-continuous.utp-lfp-def)
apply (simp-all add: closure assms)
apply (simp add: ndes-hcond-def)
apply (rule gfp-mono)
apply (rule AlternateD-mono-refine)
apply (simp-all add: closure segr-mono assms)
done

```

**lemma** *IterateD-single-refine*:

```

assumes
   $P \text{ is } \mathbf{N} \ Q \text{ is } \mathbf{N} \ P \sqsubseteq Q$ 
shows  $(do\ g \rightarrow P\ od) \sqsubseteq (do\ g \rightarrow Q\ od)$ 
oops

```

**lemma** *IterateD-refine-intro*:

```

fixes  $V :: (nat, 'a)\ uexpr$ 
assumes  $vwb\text{-}lens\ w$ 
shows
 $I \vdash_n (w: [I \wedge \neg (\bigvee_{i \in A} \bullet g(i))]_{>}) \sqsubseteq$ 
 $do\ i \in A \bullet g(i) \rightarrow (I \wedge g(i)) \vdash_n (w: [I]_{>} \wedge [V]_{>} <_u [V]_{<})\ od$ 
proof (cases  $A = \{\}$ )
case True
with  $assms$  show ?thesis
by (simp add: IterateD-empty, rel-auto)
next
case False
then show ?thesis
using  $assms$ 
apply (simp add: IterateD-def)
apply (rule ndesign-mu-wf-refine-intro[where  $e = V$  and  $R = \{(x, y). x < y\}$ ])
apply (simp-all add: wf closure)
apply (simp add: ndes-simp unrest)
apply (rule ndesign-refine-intro)
apply (rel-auto)
apply (rel-auto)
apply (metis mwb-lens.put-put vwb-lens-mwb)
done
qed

```

**lemma** *IterateD-single-refine-intro*:

```

fixes  $V :: (nat, 'a)\ uexpr$ 
assumes  $vwb\text{-}lens\ w$ 
shows
 $I \vdash_n (w: [I \wedge \neg g]_{>}) \sqsubseteq$ 
 $do\ g \rightarrow ((I \wedge g) \vdash_n (w: [I]_{>} \wedge [V]_{>} <_u [V]_{<}))\ od$ 
apply (rule order-trans)
defer
apply (rule IterateD-refine-intro[of  $w\ \{0\}\ \lambda i. g\ I\ V$ , simplified, OF  $assms(1)$ ])
oops

```

## 4.5 Let and Local Variables

**definition**  $LetD :: ('a, 'a)\ uexpr \Rightarrow ('a \Rightarrow 'a\ hrel\text{-}des) \Rightarrow 'a\ hrel\text{-}des$  **where**  
 $[upred\text{-}defs]: LetD\ v\ P = (P\ x) \llbracket x \rightarrow [v]_{D<} \rrbracket$



**syntax**

$-LetD \quad :: [letbinds, 'a] \Rightarrow 'a \quad ((let_D (-) / in (-)) [0, 10] 10)$

**translations**

$-LetD (-binds b bs) e \Rightarrow -LetD b (-LetD bs e)$   
 $let_D x = a \text{ in } e \Rightarrow CONST LetD a (\lambda x. e)$

**lemma** *LetD-ndes-simp* [*ndes-simp*]:

$LetD v (\lambda x. p(x) \vdash_n Q(x)) = (p(x) \llbracket x \rightarrow v \rrbracket) \vdash_n (Q(x) \llbracket x \rightarrow \lceil v \rceil_{<} \rrbracket)$   
**by** (*rel-auto*)

**lemma** *LetD-H1-H3-closed* [*closure*]:

$\llbracket \bigwedge x. P(x) \text{ is } \mathbf{N} \rrbracket \Longrightarrow LetD v P \text{ is } \mathbf{N}$   
**by** (*rel-auto*)

## 4.6 Deep Local Variables

**definition** *des-local-state* ::

$'a::countable \text{ itself} \Rightarrow ((nat, 's) \text{ local-scheme } des, 's, nat, 'a::countable) \text{ local-prim}$  **where**  
 $des\text{-local-state } t = (\mid sstate = \Sigma_D, sassigns = assigns\text{-d}, inj\text{-local} = nat\text{-inj-univ} \mid)$

**syntax**

$-des\text{-local-state-type} :: type \Rightarrow logic (\mathcal{L}_D[-])$   
 $-des\text{-var-scope-type} :: id \Rightarrow type \Rightarrow logic \Rightarrow logic (var_D - :: - \cdot - [0, 0, 10] 10)$

**translations**

$\mathcal{L}_D['a] == CONST des\text{-local-state } TYPE('a)$   
 $-des\text{-var-scope-type } x \ t \ P \Rightarrow -var\text{-scope-type } (-des\text{-local-state-type } t) \ x \ t \ P$   
 $var_D x :: 'a \cdot P \leq var[\mathcal{L}_D['a]] \ x \cdot P$

**lemma** *get-rel-local* [*lens-defs*]:

$gets_{\mathcal{L}_D['a::countable]} = get_{\Sigma_D}$   
**by** (*simp add: des-local-state-def*)

**lemma** *des-local-state* [*simp*]: *utp-local-state*  $\mathcal{L}_D['a::countable]$ 

**by** (*unfold-locales, simp-all add: upred-defs assigns-comp des-local-state-def, rel-auto*)  
*(metis local.cases-scheme)*

**lemma** *sassigns-des-state* [*simp*]:  $\langle \sigma \rangle_{\mathcal{L}_D['a::countable]} = \langle \sigma \rangle_D$ 

**by** (*simp add: des-local-state-def*)

**lemma** *des-var-open-H1-H3-closed* [*closure*]:

$open[\mathcal{L}_D['a::countable]] \text{ is } \mathbf{N}$   
**by** (*simp add: utp-local-state.var-open-def closure*)

**lemma** *des-var-close-H1-H3-closed* [*closure*]:

$close[\mathcal{L}_D['a::countable]] \text{ is } \mathbf{N}$   
**by** (*simp add: utp-local-state.var-close-def closure*)

**lemma** *unrest-ok-vtop-des* [*unrest*]:  $ok \ \# \ top[\mathcal{L}_D['a::countable]]$ 

**by** (*simp add: utp-local-state.top-var-def, simp add: des-local-state-def unrest*)

**lemma** *msubst-H1-H3-closed* [*closure*]:

$\llbracket \$ok \ \# \ v; out\alpha \ \# \ v; (\bigwedge x. P \ x \text{ is } \mathbf{N}) \rrbracket \Longrightarrow (P(x) \llbracket x \rightarrow v \rrbracket) \text{ is } \mathbf{N}$   
**by** (*rel-auto, metis+*)

**lemma** *var-block-H1-H3-closed* [closure]:  
 $(\bigwedge x. P \ x \text{ is } \mathbf{N}) \implies \mathcal{V}[\mathcal{L}_D['a::\text{countable}], P] \text{ is } \mathbf{N}$   
**by** (*simp add: utp-local-state.var-scope-def closure unrest*)

**lemma** *inj-local-rel* [simp]:  $\text{inj-local } R_l = \mathcal{U}_{\mathbf{N}}$   
**by** (*simp add: rel-local-state-def*)

**lemma** *sstate-rel* [simp]:  $\mathbf{s}_{R_l} = 1_L$   
**by** (*simp add: rel-local-state-def*)

**lemma** *inj-local-des* [simp]:  
 $\text{inj-local } \mathcal{L}_D['a::\text{countable}] = \mathcal{U}_{\mathbf{N}}$   
**by** (*simp add: des-local-state-def*)

**lemma** *sstate-des* [simp]:  $\mathbf{s}_{\mathcal{L}_D['a::\text{countable}]} = \Sigma_D$   
**by** (*simp add: des-local-state-def*)

**lemma** *ndesign-msubst-top* [usubst]:  
 $(p \ x \vdash_n Q \ x) \llbracket x \rightarrow \text{top}[\mathcal{L}_D['a::\text{countable}]] \rrbracket_{<} = ((p \ x) \llbracket x \rightarrow \text{top}[R_l['a]] \rrbracket \vdash_n (Q \ x) \llbracket x \rightarrow \text{top}[R_l['a]] \rrbracket_{<})$   
**by** (*rel-auto'*)

First attempt at a law for expanding design variable blocks. Far from adequate at the moment though.

**lemma** *ndesign-local-expand-1* [ndes-simp]:  
 $(\text{var}_D \ x :: 'a :: \text{countable} \cdot p(x) \vdash_n Q(x)) =$   
 $(\bigsqcup v \cdot (p \ x) \llbracket x \rightarrow \text{top}[R_l] \rrbracket \llbracket \&\text{store} \hat{=} \langle \langle v \rangle \rangle / \text{store} \rrbracket \vdash_n$   
 $(\prod v \cdot \text{store} := \&\text{store} \hat{=} \langle \langle v \rangle \rangle ;; (Q \ x) \llbracket x \rightarrow \text{top}[R_l] \rrbracket_{<} ;; \text{store} := (\text{front}_u(\&\text{store}) \triangleleft 0 <_u$   
 $\#_u(\&\text{store}) \triangleright \&\text{store}))$   
**apply** (*simp add: utp-local-state.var-scope-def utp-local-state.var-open-def utp-local-state.var-close-def*  
*seq-UINF-distr' usubst*)  
**apply** (*simp add: ndes-simp wp unrest*)  
**apply** (*rel-auto'*)  
**done**

**end**

## 5 Design Weakest Preconditions

**theory** *utp-des-wp*  
**imports** *utp-des-prog*  
**begin**

**definition** *wp-design* ::  $(' \alpha, ' \beta) \text{ rel-des} \Rightarrow ' \beta \text{ cond} \Rightarrow ' \alpha \text{ cond}$  (**infix** *wp<sub>D</sub>* 60) **where**  
 $[\text{upred-defs}]: Q \text{ wp}_D r = (\lfloor \text{pre}_D(Q) \rfloor ;; \text{true} :: (' \alpha, ' \beta) \text{ urel} \rfloor_{<} \wedge (\text{post}_D(Q) \text{ wp } r))$

If two normal designs have the same weakest precondition for any given postcondition, then the two designs are equivalent.

**theorem** *wpd-eq-intro*:  $\llbracket \bigwedge r. (p_1 \vdash_n Q_1) \text{ wp}_D r = (p_2 \vdash_n Q_2) \text{ wp}_D r \rrbracket \implies (p_1 \vdash_n Q_1) = (p_2 \vdash_n Q_2)$   
**apply** (*rel-simp robust; metis curry-conv*)  
**done**

**theorem** *wpd-H3-eq-intro*:  $\llbracket P \text{ is } H1-H3; Q \text{ is } H1-H3; \bigwedge r. P \text{ wp}_D r = Q \text{ wp}_D r \rrbracket \implies P = Q$   
**by** (*metis H1-H3-commute H1-H3-is-normal-design H3-idem Healthy-def' wpd-eq-intro*)

**lemma** *wp-assigns-d* [wp]:  $\langle \sigma \rangle_D \text{ wp}_D r = \sigma \dagger r$   
**by** (*rel-auto*)

**theorem** *rdesign-wp* [wp]:  
 $([p]_{<} \vdash_r Q) \text{ wp}_D r = (p \wedge Q \text{ wp } r)$   
**by** (*rel-auto*)

**theorem** *ndesign-wp* [wp]:  
 $(p \vdash_n Q) \text{ wp}_D r = (p \wedge Q \text{ wp } r)$   
**by** (*simp add: ndesign-def rdesign-wp*)

**theorem** *wpd-seq-r*:  
**fixes** *Q1 Q2* ::  $'\alpha \text{ hrel}$   
**shows**  $(([p1]_{<} \vdash_r Q1) ;; ([p2]_{<} \vdash_r Q2)) \text{ wp}_D r = ([p1]_{<} \vdash_r Q1) \text{ wp}_D (([p2]_{<} \vdash_r Q2) \text{ wp}_D r)$   
**apply** (*simp add: wp*)  
**apply** (*subst rdesign-composition-wp*)  
**apply** (*simp only: wp*)  
**apply** (*rel-auto*)  
**done**

**theorem** *wpnd-seq-r* [wp]:  
**fixes** *Q1 Q2* ::  $'\alpha \text{ hrel}$   
**shows**  $((p1 \vdash_n Q1) ;; (p2 \vdash_n Q2)) \text{ wp}_D r = (p1 \vdash_n Q1) \text{ wp}_D ((p2 \vdash_n Q2) \text{ wp}_D r)$   
**by** (*simp add: ndesign-def wpd-seq-r*)

**theorem** *wpd-seq-r-H1-H3* [wp]:  
**fixes** *P Q* ::  $'\alpha \text{ hrel-des}$   
**assumes** *P is N Q is N*  
**shows**  $(P ;; Q) \text{ wp}_D r = P \text{ wp}_D (Q \text{ wp}_D r)$   
**by** (*metis H1-H3-commute H1-H3-is-normal-design H1-idem Healthy-def' assms(1) assms(2) wpnd-seq-r*)

**end**

## 6 Refinement Calculus

**theory** *utp-des-refcalc*  
**imports** *utp-des-prog*  
**begin**

**definition** *des-spec* ::  $('a \Rightarrow ' \alpha) \Rightarrow ' \alpha \text{ upred} \Rightarrow (' \alpha \Rightarrow ' \alpha \text{ upred}) \Rightarrow ' \alpha \text{ hrel-des}$  **where**  
 $[upred-defs]: \text{des-spec } x \ p \ q = (\bigsqcup \ v \cdot ((p \wedge \&\mathbf{v} =_u \ll v \gg) \vdash_n x: [[q(v)]_{>}]))$

**syntax**

*-init-var* :: *logic*  
*-des-spec* ::  $\text{salpha} \Rightarrow \text{logic} \Rightarrow \text{logic} \Rightarrow \text{logic} \ (-: [-, / -]_D [99, 0, 0] \ 100)$   
*-des-log-const* ::  $\text{pttrn} \Rightarrow \text{logic} \Rightarrow \text{logic} \ (\text{con}_D \ - \cdot - \ [0, 10] \ 10)$

**translations**

*-des-spec*  $x \ p \ q \Rightarrow \text{CONST des-spec } x \ p \ (\lambda \text{ -init-var. } q)$   
*-des-spec*  $(\text{-salphaset } (\text{-salphamk } x)) \ p \ q \Leftarrow \text{CONST des-spec } x \ p \ (\lambda \text{ iv. } q)$   
*-des-log-const*  $x \ P \Rightarrow \bigsqcup \ x \cdot P$

**parse-translation**  $\ll$   
*let*

```

  fun init-var-tr [] = Syntax.free iv
    | init-var-tr - = raise Match;
in
  [(@{syntax-const -init-var}, K init-var-tr)]
end
>>

```

**abbreviation**  $choose_D x \equiv \{\&x\}:[true,true]_D$

**lemma** *des-spec-simple-def*:

$x:[pre,post]_D = (pre \vdash_n x:[post]_{>})$   
**by** (*rel-auto*)

**lemma** *des-spec-abort*:

$x:[false,post]_D = \perp_D$   
**by** (*rel-auto*)

**lemma** *des-spec-skip*:  $\emptyset:[true,true]_D = II_D$

**by** (*rel-auto*)

**lemma** *des-spec-strengthen-post*:

**assumes**  $'post' \Rightarrow post'$   
**shows**  $w:[pre, post]_D \sqsubseteq w:[pre, post']_D$   
**using** *assms* **by** (*rel-auto*)

**lemma** *des-spec-weaken-pre*:

**assumes**  $'pre \Rightarrow pre'$   
**shows**  $w:[pre, post]_D \sqsubseteq w:[pre', post]_D$   
**using** *assms* **by** (*rel-auto*)

**lemma** *des-spec-refine-skip*:

**assumes**  $vwb\text{-}lens\ w\ 'pre \Rightarrow post'$   
**shows**  $w:[pre, post]_D \sqsubseteq II_D$   
**using** *assms* **by** (*rel-auto*)

**lemma** *rc-iter*:

**fixes**  $V :: (nat, 'a)\ uexpr$

**assumes**  $vwb\text{-}lens\ w$

**shows**  $w:[ivr, ivr \wedge \neg (\bigvee i \in A \cdot g(i))]_D$

$\sqsubseteq (do\ i \in A \cdot g(i) \rightarrow \bigsqcup iv \cdot w:[ivr \wedge g(i) \wedge \ll iv \gg =_u \&\mathbf{v}, ivr \wedge (V <_u V[\ll iv \gg / \mathbf{v}])]_D\ od)$  (**is**

$?lhs \sqsubseteq ?rhs$ )

**apply** (*rule order-trans*)

**defer**

**apply** (*simp add: des-spec-simple-def*)

**apply** (*rule IterateD-refine-intro[of - - - V]*)

**apply** (*simp add: assms*)

**apply** (*rule IterateD-mono-refine*)

**apply** (*simp-all add: ndes-simp closure*)

**apply** (*rel-auto*)

**using** *assms*

**apply** (*rel-auto*)

**done**

**end**

## 7 Theory of Invariants

```
theory utp-des-invariants
  imports utp-des-theory
begin
```

The theory of invariants formalises operation and state invariants based on the theory of designs. For more information, please see the associated paper [1, Section 4].

### 7.1 Operation Invariants

**definition**  $OIH(\psi)(D) = (D \wedge (\$ok \wedge \neg D^f \Rightarrow \psi))$

**declare**  $OIH\text{-}def$  [*upred-defs*]

**lemma**  $OIH\text{-}design$ :

**assumes**  $D$  is  $H1\text{-}H2$

**shows**  $OIH(\psi)(D) = ((\neg D^f) \vdash (D^t \wedge \psi))$

**proof** –

**have**  $OIH(\psi)(D) = (((\neg D^f) \vdash D^t) \wedge (\$ok \wedge \neg D^f \Rightarrow \psi))$

**by** (*metis H1-H2-commute H1-H2-is-design H1-idem Healthy-def' OIH-def assms*)

**also have**  $\dots = ((\$ok \wedge \neg D^f \Rightarrow \$ok' \wedge D^t) \wedge (\$ok \wedge \neg D^f \Rightarrow \psi))$

**by** (*simp add: design-def*)

**also have**  $\dots = ((\neg D^f) \vdash (D^t \wedge \psi))$

**by** (*pred-auto*)

**finally show** *?thesis* .

**qed**

**lemma**  $OIH\text{-}idem$ :

**assumes**  $D$  is  $H1\text{-}H2$   $\$ok' \nVdash \psi$

**shows**  $OIH(\psi)(OIH(\psi)(D)) = OIH(\psi)(D)$

**using** *assms*

**by** (*simp add: OIH-design design-is-H1-H2 unrest (simp add: design-def usubst, rel-auto)*)

**lemma**  $OIH\text{-}of\text{-}design$ :

$\$ok' \nVdash P \Longrightarrow OIH(\psi)(P \vdash Q) = (P \vdash (Q \wedge \psi))$

**by** (*simp add: OIH-def design-def usubst, rel-auto*)

### 7.2 State Invariants

**definition**  $ISH(\psi)(D) = (D \vee (\$ok \wedge \neg D^f \wedge [\psi]_{<} \Rightarrow \$ok' \wedge D^t))$

**declare**  $ISH\text{-}def$  [*upred-defs*]

**lemma**  $ISH\text{-}design$ :  $ISH(\psi)(D) = (\neg D^f \wedge [\psi]_{<}) \vdash D^t$

**by** (*rel-auto, metis+*)

**lemma**  $ISH\text{-}idem$ :  $ISH(\psi)(ISH(\psi)(D)) = ISH(\psi)(D)$

**by** (*simp add: ISH-design usubst design-def, pred-auto*)

**lemma**  $ISH\text{-}of\text{-}design$ :

$\llbracket \$ok' \nVdash P; \$ok' \nVdash Q \rrbracket \Longrightarrow ISH(\psi)(P \vdash Q) = ((P \wedge [\psi]_{<}) \vdash Q)$

**by** (*simp add: ISH-design design-def usubst, pred-auto*)

**definition**  $OSH(\psi)(D) = (D \wedge (\$ok \wedge \neg D^f \wedge [\psi]_{<} \Rightarrow [\psi]_{>}))$

**declare** *OSH-def* [*upred-defs*]

**lemma** *OSH-as-OIH*:

$OSH(\psi)(D) = OIH(\lceil\psi\rceil_{<} \Rightarrow \lceil\psi\rceil_{>})(D)$   
**by** (*simp add: OSH-def OIH-def, pred-auto*)

**lemma** *OSH-design*:

**assumes** *D is H1-H2*  
**shows**  $OSH(\psi)(D) = ((\neg D^f) \vdash (D^t \wedge (\lceil\psi\rceil_{<} \Rightarrow \lceil\psi\rceil_{>})))$   
**by** (*simp add: OSH-as-OIH OIH-design assms*)

**lemma** *OSH-of-design*:

$\llbracket \$ok' \# P; \$ok' \# Q \rrbracket \Longrightarrow OSH(\psi)(P \vdash Q) = (P \vdash (Q \wedge (\lceil\psi\rceil_{<} \Rightarrow \lceil\psi\rceil_{>})))$   
**by** (*simp add: OSH-design design-is-H1-H2 unrest, simp add: design-def usubst, pred-auto*)

**definition**  $SIH(\psi) = ISH(\psi) \circ OSH(\psi)$

**declare** *SIH-def* [*upred-defs*]

**lemma** *SIH-of-design*:

$\llbracket \$ok' \# P; \$ok' \# Q; ok \# \psi \rrbracket \Longrightarrow SIH(\psi)(P \vdash Q) = ((P \wedge \lceil\psi\rceil_{<}) \vdash (Q \wedge \lceil\psi\rceil_{>}))$   
**by** (*simp add: SIH-def OSH-of-design ISH-of-design unrest, pred-auto*)

**end**

## 8 Meta Theory for UTP Designs

**theory** *utp-designs*

**imports**

*utp-des-core*  
*utp-des-healths*  
*utp-des-theory*  
*utp-des-tactics*  
*utp-des-prog*  
*utp-des-wp*  
*utp-des-refcalc*  
*utp-des-invariants*

**begin end**

## References

- [1] A. Cavalcanti, A. Wellings, and J. Woodcock. The Safety-Critical Java memory model formalised. *Formal Aspects of Computing*, 25(1):37–57, 2012.
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- [3] T. Hoare and J. He. *Unifying Theories of Programming*. Prentice-Hall, 1998.