

A Shallow Model of the UTP in Isabelle/HOL

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1 UTP variables

```

theory utp-var
imports
  ../contrib/Kleene-Algebras/Quantales
  ../utils/cardinals
  ../utils/Continuum
  ../utils/finite-bijection
  ~~ /src/HOL/Library/Prefix-Order
  ~~ /src/HOL/Library/Adhoc-Overloading
  ~~ /src/HOL/Library/Monad-Syntax
  ~~ /src/HOL/Library/Countable
  ~~ /src/HOL/Eisbach/Eisbach
  utp-parser-utils
begin

```

This theory describes the foundational structure of UTP variables, upon which the rest of our model rests. We start by defining alphabets, which in this shallow model are simply represented as types, though by convention usually a record type where each field corresponds to a variable.

type-synonym $'\alpha$ *alphabet* = $'\alpha$

UTP variables carry two type parameters, $'a$ that corresponds to the variable's type and $'\alpha$ that corresponds to alphabet of which the variable is a type. There is thus a strong link between alphabets and variables in this model. Variables are characterized by two functions, *var-lookup* and *var-update*, that respectively lookup and update the variable's value in some alphabetised state space. These functions can readily be extracted from an Isabelle record type.

```

record ('a, 'α) uvar =
  var-lookup :: 'α ⇒ 'a
  var-update :: ('a ⇒ 'α) ⇒ 'α ⇒ 'α

```

The *var-assign* function uses the *var-update* function of a variable to update its value.

```

abbreviation var-assign :: ('a, 'α) uvar ⇒ 'a ⇒ 'α ⇒ 'α
  where var-assign f v ≡ var-update f (λ . . v)

```

The *VAR* function is a syntactic translation that allows to retrieve a variable given its name, assuming the variable is a field in a record.

syntax $-VAR :: id \Rightarrow ('a, 'r) \text{ uvar } (VAR -)$
translations $VAR\ x \Rightarrow \langle \mid \text{var-lookup} = x, \text{var-update} = \text{-update-name } x \mid \rangle$

In order to allow reasoning about variables generically, we introduce a locale called *uvar*, that axiomatises properties of a valid variable, that should be satisfied for any record field. When a UTP alphabet record is created it will be necessary to prove these properties for each variable field, though this will always be automatic. The locale effectively describes the relationship between the functions *var-update* and *var-lookup*, and thus prevents one from having arbitrary functions as variables. Moreover, these properties allow us to prove several important UTP laws, such as the assignment laws in the theory of alphabetised relations.

locale *semi-uvar* =
fixes $x :: ('a, 'r) \text{ uvar}$
— Application of two updates should correspond to the composition of update functions
assumes *var-update-comp*: $\text{var-update } x\ f\ (\text{var-update } x\ g\ \sigma) = \text{var-update } x\ (f \circ g)\ \sigma$
— Updating a variable's value to the one it already has is ineffectual
and *var-update-eta*: $\text{var-update } x\ (\lambda-. \text{var-lookup } x\ \sigma)\ \sigma = \sigma$

locale *uvar* = *semi-uvar* +
assumes *var-update-lookup*: $\text{var-lookup } x\ (\text{var-update } x\ f\ \sigma) = f\ (\text{var-lookup } x\ \sigma)$

declare *semi-uvar.var-update-comp* [simp]
declare *uvar.var-update-lookup* [simp]
declare *semi-uvar.var-update-eta* [simp]

lemma *uvar-semi-var* [simp]: $\text{uvar } x \Longrightarrow \text{semi-uvar } x$
by (simp add: *uvar-def*)

In addition to defining the validity of variable, we also need to show how two variables are related. Since variables are pairs of functions and have no identifying name that we can reason about, and moreover will often have different types, we cannot use the usual HOL inequalities to reason about them. Thus we define a weaker notion of inequality called *independence* – two variables are independent if their update functions commute. That is to say, updates to the variables do not have any effect on each other. This assumes they are also valid variables.

definition *uvar-indep* :: $('a, 'r) \text{ uvar} \Rightarrow ('b, 'r) \text{ uvar} \Rightarrow \text{bool}$ (**infix** \bowtie 50) **where**
 $x \bowtie y \longleftrightarrow (\forall\ f\ g\ \sigma. \text{var-update } x\ f\ (\text{var-update } y\ g\ \sigma) = \text{var-update } y\ g\ (\text{var-update } x\ f\ \sigma))$

We can now demonstrate some useful properties about the variable independence relation.

lemma *uvar-indep-sym*: $x \bowtie y \Longrightarrow y \bowtie x$
by (simp add: *uvar-indep-def*)

lemma *uvar-indep-comm*:
assumes $x \bowtie y$
shows $\text{var-update } x\ f\ (\text{var-update } y\ g\ \sigma) = \text{var-update } y\ g\ (\text{var-update } x\ f\ \sigma)$
using *assms* **by** (simp add: *uvar-indep-def*)

The following property states that looking up the value of a variable is unaffected by an update to an independent variable.

lemma *uvar-indep-lookup-upd* [simp]:
assumes $\text{uvar } x\ x \bowtie y$
shows $\text{var-lookup } x\ (\text{var-update } y\ f\ \sigma) = \text{var-lookup } x\ \sigma$
proof –
have $\text{var-lookup } x\ (\text{var-update } y\ f\ \sigma) = \text{var-lookup } x\ (\text{var-update } y\ f\ (\text{var-update } x\ (\lambda-. \text{var-lookup } x\ \sigma)\ \sigma))$

```

    by (simp add: assms(1))
  also have ... = var-lookup x (var-update x (λ-. var-lookup x σ) (var-update y f σ))
    using assms(2) by (auto simp add: uvar-indep-def)
  also have ... = var-lookup x σ
    by (simp add: assms(1))
  finally show ?thesis .
qed

```

We also define some lifting functions for variables to create input and output variables. These simply lift the alphabet to a tuple type since relations will ultimately be defined to a tuple alphabet.

definition $in-var :: ('a, 'α) uvar \Rightarrow ('a, 'α \times 'β) uvar$ **where**
 $in-var\ x = \langle \mid var-lookup = var-lookup\ x \circ fst, var-update = (\lambda\ f\ (A, A'). (var-update\ x\ f\ A, A')) \mid \rangle$

definition $out-var :: ('a, 'β) uvar \Rightarrow ('a, 'α \times 'β) uvar$ **where**
 $out-var\ x = \langle \mid var-lookup = var-lookup\ x \circ snd, var-update = (\lambda\ f\ (A, A'). (A, var-update\ x\ f\ A')) \mid \rangle$

We show that lifted input and output variables are both valid variables, and that input and output variables are always independent.

lemma $in-var-semi-uvar$ [simp]:
assumes $semi-uvar\ x$
shows $semi-uvar\ (in-var\ x)$
using $assms$
by ($unfold-locales, auto\ simp\ add: in-var-def$)

lemma $out-var-semi-uvar$ [simp]:
assumes $semi-uvar\ x$
shows $semi-uvar\ (out-var\ x)$
using $assms$
by ($unfold-locales, auto\ simp\ add: out-var-def$)

lemma $in-var-uvar$ [simp]:
assumes $uvar\ x$
shows $uvar\ (in-var\ x)$
using $assms$
by ($unfold-locales, auto\ simp\ add: in-var-def$)

lemma $out-var-uvar$ [simp]:
assumes $uvar\ x$
shows $uvar\ (out-var\ x)$
using $assms$
by ($unfold-locales, auto\ simp\ add: out-var-def$)

lemma $in-out-indep$ [simp]:
 $in-var\ x \bowtie out-var\ y$
by ($simp\ add: uvar-indep-def\ in-var-def\ out-var-def$)

lemma $out-in-indep$ [simp]:
 $out-var\ x \bowtie in-var\ y$
by ($simp\ add: uvar-indep-def\ in-var-def\ out-var-def$)

We also define some lookup abstraction simplifications.

lemma $var-lookup-in$ [simp]: $var-lookup\ (in-var\ x)\ (A, A') = var-lookup\ x\ A$
by ($simp\ add: in-var-def$)

lemma *var-lookup-out* [simp]: *var-lookup* (*out-var* *x*) (*A*, *A'*) = *var-lookup* *x* *A'*
 by (simp add: *out-var-def*)

lemma *var-update-in* [simp]: *var-update* (*in-var* *x*) *f* (*A*, *A'*) = (*var-update* *x* *f* *A*, *A'*)
 by (simp add: *in-var-def*)

lemma *var-update-out* [simp]: *var-update* (*out-var* *x*) *f* (*A*, *A'*) = (*A*, *var-update* *x* *f* *A'*)
 by (simp add: *out-var-def*)

Variables can also be used to effectively define sets of variables. Here we define the universal alphabet (Σ) to be a variable with identity for both the lookup and update functions. Effectively this is just a function directly on the alphabet type.

definition *univ-alpha* :: (' α , ' α) *uvar* (Σ) **where**
univ-alpha = (λ *var-lookup* = *id*, *var-update* = *id*)

The following operator attempts to combine two variables to produce a unified projection update pair. I hoped this could be used to define alphabet subsets by allowing a finite composition of variables. However, I don't think it works as the update function can't really be split into its constituent parts if, e.g. the update of the first component depends on the second etc. You really want to update the two fields in parallel, but I don't think this is possible.

definition *uvar-comp* :: (' a , ' α) *uvar* \Rightarrow (' b , ' α) *uvar* \Rightarrow (' $a \times 'b$, ' α) *uvar* (**infix** \circ_v 35) **where**
uvar-comp *x* *y* = (λ *var-lookup* = λ *A*. (*var-lookup* *x* *A*, *var-lookup* *y* *A*)
 , *var-update* = λ *f*. *var-update* *x* (λ *a*. *fst* (*f* (*a*, *undefined*))) \circ
var-update *y* (λ *b*. *snd* (*f* (*undefined*, *b*))) λ)

nonterminal *svar*

syntax

-*svar* :: *id* \Rightarrow *svar* (- [999] 999)
 -*spvar* :: *id* \Rightarrow *svar* (&- [999] 999)
 -*sinvar* :: *id* \Rightarrow *svar* (\$- [999] 999)
 -*soutvar* :: *id* \Rightarrow *svar* (\$-' [999] 999)

translations

-*svar* *x* \Rightarrow *x*
 -*spvar* *x* \Rightarrow *x*
 -*sinvar* *x* == *CONST in-var* *x*
 -*soutvar* *x* == *CONST out-var* *x*

end

1.1 Deep UTP variables

theory *utp-dvar*
imports *utp-var*
begin

UTP variables represented by record fields are shallow, nameless entities. They are fundamentally static in nature, since a new record field can only be introduced definitionally and cannot be otherwise arbitrarily created. They are nevertheless very useful as proof automation is excellent, and they can fully make use of the Isabelle type system. However, for constructs like alphabet extension that can introduce new variables they are inadequate. As a result we also introduce a notion of deep variables to complement them. A deep variable is not a record field, but rather a key within a store map that records the values of all deep variables. As such

the Isabelle type system is agnostic of them, and the creation of a new deep variable does not change the portion of the alphabet specified by the type system.

In order to create a type of stores (or bindings) for variables, we must fix a universe for the variable valuations. This is the major downside of deep variables – they cannot have any type, but only a type whose cardinality is up to \mathfrak{c} , the cardinality of the continuum. This is why we need both deep and shallow variables, as the latter are unrestricted in this respect. Each deep variable will therefore specify the cardinality of the type it possesses.

1.2 Cardinalities

We first fix a datatype representing all possible cardinalities for a deep variable. These include finite cardinalities, \aleph_0 (countable), and \mathfrak{c} (uncountable up to the continuum).

datatype *ucard* = *fin nat* | *aleph0* (\aleph_0) | *cont* (\mathfrak{c})

Our universe is simply the set of natural numbers; this is sufficient for all types up to cardinality \mathfrak{c} .

type-synonym *univ* = *nat set*

We introduce a function that gives the set of values within our universe of the given cardinality. Since a cardinality of 0 is no proper type, we use finite cardinality 0 to mean cardinality 1, 1 to mean 2 etc.

fun *univ* :: *ucard* \Rightarrow *univ set* ($\mathcal{U}'(-)$) **where**
 $\mathcal{U}(\text{fin } n) = \{\{x\} \mid x. x \leq n\} \mid$
 $\mathcal{U}(\aleph_0) = \{\{x\} \mid x. \text{True}\} \mid$
 $\mathcal{U}(\mathfrak{c}) = \text{UNIV}$

We also define the following function that gives the cardinality of a type within the *continuum* type class.

definition *ucard-of* :: '*a*::*continuum itself* \Rightarrow *ucard* **where**
ucard-of *x* = (if (*finite* (*UNIV* :: '*a set*'))
 then *fin*(*card*(*UNIV* :: '*a set*') - 1)
 else if (*countable* (*UNIV* :: '*a set*'))
 then \aleph_0
 else \mathfrak{c})

syntax

-*ucard* :: *type* \Rightarrow *ucard* (*UCARD'*(-))

translations

UCARD('a) == *CONST* *ucard-of* (*TYPE*('a))

lemma *ucard-of-finite* [*simp*]:

finite (*UNIV* :: '*a*::*continuum set*') \implies *UCARD*('a) = *fin*(*card*(*UNIV* :: '*a set*') - 1)
by (*simp add: ucard-of-def*)

lemma *ucard-of-countably-infinite* [*simp*]:

$\llbracket \text{countable}(\text{UNIV} :: 'a::\text{continuum set}); \text{infinite}(\text{UNIV} :: 'a \text{ set}) \rrbracket \implies \text{UCARD}('a) = \aleph_0$
by (*simp add: ucard-of-def*)

lemma *ucard-of-uncountably-infinite* [*simp*]:

uncountable (*UNIV* :: '*a set*') \implies *UCARD*('a :: *continuum*) = \mathfrak{c}
apply (*simp add: ucard-of-def*)

using countable-finite apply blast
done

1.3 Injection functions

definition *uinject-finite* :: 'a::finite \Rightarrow *uuniv* **where**
uinject-finite *x* = {*to-nat-fin* *x*}

definition *uinject-aleph0* :: 'a::{countable, infinite} \Rightarrow *uuniv* **where**
uinject-aleph0 *x* = {*to-nat-bij* *x*}

definition *uinject-continuum* :: 'a::{continuum, infinite} \Rightarrow *uuniv* **where**
uinject-continuum *x* = *to-nat-set-bij* *x*

definition *uinject* :: 'a::continuum \Rightarrow *uuniv* **where**
uinject *x* = (if (finite (UNIV :: 'a set))
then {*to-nat-fin* *x*}
else if (countable (UNIV :: 'a set))
then {*to-nat-on* (UNIV :: 'a set) *x*}
else *to-nat-set* *x*)

definition *uproject* :: *uuniv* \Rightarrow 'a::continuum **where**
uproject = inv *uinject*

lemma *uinject-finite*:
finite (UNIV :: 'a::continuum set) \implies *uinject* = (λ *x* :: 'a. {*to-nat-fin* *x*})
by (rule ext, auto simp add: *uinject-def*)

lemma *uinject-uncountable*:
uncountable (UNIV :: 'a::continuum set) \implies (*uinject* :: 'a \Rightarrow *uuniv*) = *to-nat-set*
by (rule ext, auto simp add: *uinject-def* countable-finite)

lemma *card-finite-lemma*:
assumes finite (UNIV :: 'a set)
shows $x < \text{card (UNIV :: 'a set)} \iff x \leq \text{card (UNIV :: 'a set)} - \text{Suc } 0$
proof –
have card (UNIV :: 'a set) > 0
by (simp add: assms finite-UNIV-card-ge-0)
thus ?thesis
by linarith
qed

This is a key theorem that shows that the injection function provides a bijection between any continuum type and the subuniverse of types with a matching cardinality.

lemma *uinject-bij*:
bij-betw (*uinject* :: 'a::continuum \Rightarrow *uuniv*) UNIV \mathcal{U} (UCARD('a))
proof (cases finite (UNIV :: 'a set))
case True **thus** ?thesis
apply (auto simp add: *uinject-def* *bij-betw-def* *inj-on-def* *image-def* *card-finite-lemma*[THEN sym])
apply (auto simp add: *inj-eq* *to-nat-fin-inj* *to-nat-fin-bounded*)
using *to-nat-fin-ex* apply blast
done
next
case False **note** infinite = this **thus** ?thesis
proof (cases countable (UNIV :: 'a set))

```

    case True thus ?thesis
    apply (auto simp add: uinject-def bij-betw-def inj-on-def infinite image-def card-finite-lemma[THEN
sym])
    apply (meson image-to-nat-on infinite surj-def)
  done
next
case False note uncount = this thus ?thesis
  apply (simp add: uinject-uncountable)
  using to-nat-set-bij apply blast
done
qed
qed

```

```

lemma uinject-card [simp]: uinject (x :: 'a::continuum) ∈  $\mathcal{U}(UCARD('a))$ 
  by (metis bij-betw-def rangeI uinject-bij)

```

```

lemma uinject-inv [simp]:
  uproject (uinject x) = x
  by (metis UNIV-I bij-betw-def inv-into-f-f uinject-bij uproject-def)

```

```

lemma uproject-inv [simp]:
  x ∈  $\mathcal{U}(UCARD('a::continuum)) \implies uinject ((uproject :: nat \text{ set} \Rightarrow 'a) \ x) = x$ 
  by (metis bij-betw-inv-into-right uinject-bij uproject-def)

```

1.4 Deep variables

A deep variable name stores both a name and the cardinality of the type it points to

```

record dname =
  dname-name :: string
  dname-card :: ucard

```

A vstore is a function mapping deep variable names to corresponding values in the universe, such that the deep variables specified cardinality is matched by the value it points to.

```

typedef vstore = {f :: dname  $\Rightarrow$   $uuniv.$   $\forall$  x. f(x) ∈  $\mathcal{U}(dname-card \ x)$ }
  apply (rule-tac x= $\lambda$  x. {0} in exI)
  apply (auto)
  apply (rename-tac x)
  apply (case-tac dname-card x)
  apply (simp-all)
done

```

setup-lifting type-definition-vstore

```

typedef ('a::continuum) dvar = {x :: dname. dname-card x = UCARD('a)}
  by (auto, meson dname.select-convs(2))

```

setup-lifting type-definition-dvar

```

lift-definition mk-dvar :: string  $\Rightarrow$  ('a::continuum) dvar
is  $\lambda$  n. ( $\lfloor$  dname-name = n, dname-card = UCARD('a)  $\rfloor$ )
  by auto

```

```

lift-definition dvar-name :: 'a::continuum dvar  $\Rightarrow$  string is dname-name .
lift-definition dvar-card :: 'a::continuum dvar  $\Rightarrow$  ucard is dname-card .

```


lift-definition *vstore-lookup* :: ('a::continuum) dvar \Rightarrow vstore \Rightarrow 'a
is $\lambda x s. (uproject :: uuniv \Rightarrow 'a) (s(x))$.

lift-definition *vstore-put* :: ('a::continuum) dvar \Rightarrow 'a \Rightarrow vstore \Rightarrow vstore
is $\lambda (x :: dname) (v :: 'a) f . f(x := uinject v)$
by (*auto*)

definition *vstore-upd* :: ('a::continuum) dvar \Rightarrow ('a \Rightarrow 'a) \Rightarrow vstore \Rightarrow vstore
where *vstore-upd* x f s = *vstore-put* x (f (*vstore-lookup* x s)) s

lemma *vstore-upd-comp* [*simp*]:
vstore-upd x f (*vstore-upd* x g s) = *vstore-upd* x (f \circ g) s
by (*simp* add: *vstore-upd-def*, *transfer*, *simp*)

lemma *vstore-lookup-upd* [*simp*]: *vstore-lookup* x (*vstore-upd* x f s) = f (*vstore-lookup* x s)
by (*simp* add: *vstore-upd-def*, *transfer*, *simp*)

lemma *vstore-upd-eta* [*simp*]: *vstore-upd* x ($\lambda -. \text{vstore-lookup } x \text{ } s$) s = s
apply (*simp* add: *vstore-upd-def*, *transfer*, *auto*)
apply (*metis* *Domainp-iff* dvar.domain *fun-upd-idem-iff* *uproject-inv*)
done

lemma *vstore-lookup-put-diff-var* [*simp*]:
assumes *dvar-name* x \neq *dvar-name* y
shows *vstore-lookup* x (*vstore-put* y v s) = *vstore-lookup* x s
using *assms* **by** (*transfer*, *auto*)

lemma *vstore-put-commute*:
assumes *dvar-name* x \neq *dvar-name* y
shows *vstore-put* x u (*vstore-put* y v s) = *vstore-put* y v (*vstore-put* x u s)
using *assms*
by (*transfer*, *fastforce*)

The vst class provides an interface for extracting a variable store from a state space. For now, the state-space is limited to countably infinite types, though we will in the future build a more expressive universe.

class *vst* =
fixes *get-vstore* :: 'a \Rightarrow vstore
and *upd-vstore* :: (vstore \Rightarrow vstore) \Rightarrow 'a \Rightarrow 'a
assumes *get-upd-vstore* [*simp*]: *get-vstore* (*upd-vstore* f s) = f (*get-vstore* s)
and *upd-vstore-comp* [*simp*]: *upd-vstore* f (*upd-vstore* g s) = *upd-vstore* (f \circ g) s
and *upd-vstore-eta* [*simp*]: *upd-vstore* ($\lambda -. \text{get-vstore } s$) s = s
and *upd-store-param*: *upd-vstore* f s = *upd-vstore* ($\lambda -. f (\text{get-vstore } s)$) s

definition *dvar-lift* :: 'a::continuum dvar \Rightarrow ('a, 'a::vst) uvar ($\neg [999] 999$)
where *dvar-lift* x = ($\lambda \text{ var-lookup} = \lambda v. \text{vstore-lookup } x (\text{get-vstore } v)$
 $\text{, var-update} = \lambda f s. \text{upd-vstore } (\text{vstore-upd } x f) s$
 \rangle)

lemma *vstore-upd-compose* [*simp*]: *vstore-upd* x f \circ *vstore-upd* x g = *vstore-upd* x (f \circ g)
by (*rule ext*, *simp* add: *vstore-upd-def*, *transfer*, *auto*)

lemma *uvar-dvar*: *uvar* (x \uparrow)
apply (*unfold-locales*, *simp-all* add: *dvar-lift-def*)
apply (*subst* *upd-store-param*)

```

  apply (simp)
done

```

Deep variables with different names are independent

lemma *dvar-indep-diff-name*:

```

  assumes dvar-name  $x \neq \textit{dvar-name } y$ 
  shows  $x \uparrow \bowtie y \uparrow$ 

```

proof –

```

  from assms have  $\bigwedge f g. \textit{vstore-upd } x f \circ \textit{vstore-upd } y g = \textit{vstore-upd } y g \circ \textit{vstore-upd } x f$ 
  apply (auto simp add: comp-def vstore-upd-def)
  apply (rule ext, subst vstore-put-commute, auto)
done

```

thus *?thesis*

by (auto simp add: *uvar-indep-def dvar-name-def dvar-card-def dvar-lift-def vstore-upd-def*)

qed

A basic record structure for vstores

```

record vstore-d =
  vstore :: vstore

```

instantiation *vstore-d-ext* :: (*type*) *vst*

begin

```

  definition [simp]: get-vstore-vstore-d-ext = vstore

```

```

  definition [simp]: upd-vstore-vstore-d-ext = vstore-update

```

instance

```

  by (intro-classes, simp-all)

```

end

end

2 UTP expressions

theory *utp-expr*

imports

```

  utp-var

```

```

  utp-dvar

```

begin

Before building the predicate model, we will build a model of expressions that generalise alphabetised predicates. Expressions are represented semantically as mapping from the alphabet to the expression's type. This general model will allow us to unify all constructions under one type. All definitions in the file are given using the *lifting* package.

Since we have two kinds of variable (deep and shallow) in the model, we will also need two versions of each construct that takes a variable. We make use of *ad hoc*-overloading to ensure the correct instance is automatically chosen, within the user noticing a difference.

```

typedef ('t, 'α) uexpr = UNIV :: ('α alphabet  $\Rightarrow$  't) set ..

```

notation *Rep-uexpr* ($\llbracket - \rrbracket_e$)

lemma *uexpr-eq-iff*:

```

 $e = f \iff (\forall b. \llbracket e \rrbracket_e b = \llbracket f \rrbracket_e b)$ 

```

```

using Rep-uexpr-inject[of e f, THEN sym] by (auto)

```

setup-lifting *type-definition-uexpr*

A variable expression corresponds to the lookup function of the variable.

lift-definition $var :: ('t, 'α) uvar \Rightarrow ('t, 'α) uexpr$ **is** *var-lookup* .

declare $[[coercion-enabled]]$

declare $[[coercion\ var]]$

definition $dvar-exp :: 't::continuum\ dvar \Rightarrow ('t, 'α::vst) uexpr$

where $dvar-exp\ x = var\ (dvar-lift\ x)$

We can then define specific cases for input and output variables, that simply perform tuple lifting. We also have variants for deep variables.

definition $iivar :: ('t, 'α) uvar \Rightarrow ('t, 'α \times 'β) uexpr$

where $iivar\ x = var\ (in-var\ x)$

definition $ouvar :: ('t, 'β) uvar \Rightarrow ('t, 'α \times 'β) uexpr$

where $ouvar\ x = var\ (out-var\ x)$

definition $idvar :: 't::continuum\ dvar \Rightarrow ('t, 'α::vst \times 'β) uexpr$

where $idvar\ x = var\ (in-var\ (dvar-lift\ x))$

definition $odvar :: 't::continuum\ dvar \Rightarrow ('t, 'α \times 'β::vst) uexpr$

where $odvar\ x = var\ (out-var\ (dvar-lift\ x))$

A literal is simply a constant function expression, always returning the same value.

lift-definition $lit :: 't \Rightarrow ('t, 'α) uexpr$

is $\lambda v\ b.\ v$.

We define lifting for unary, binary, and ternary functions, that simply apply the function to all possible results of the expressions.

lift-definition $uop :: ('a \Rightarrow 'b) \Rightarrow ('a, 'α) uexpr \Rightarrow ('b, 'α) uexpr$

is $\lambda f\ e\ b.\ f\ (e\ b)$.

lift-definition $bop ::$

$('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow ('a, 'α) uexpr \Rightarrow ('b, 'α) uexpr \Rightarrow ('c, 'α) uexpr$

is $\lambda f\ u\ v\ b.\ f\ (u\ b)\ (v\ b)$.

lift-definition $trop ::$

$('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd) \Rightarrow ('a, 'α) uexpr \Rightarrow ('b, 'α) uexpr \Rightarrow ('c, 'α) uexpr \Rightarrow ('d, 'α) uexpr$

is $\lambda f\ u\ v\ w\ b.\ f\ (u\ b)\ (v\ b)\ (w\ b)$.

We define syntax for expressions using adhoc overloading – this allows us to later define operators on different types if necessary (e.g. when adding types for new UTP theories).

consts

$ulit :: 't \Rightarrow 'e\ (\ll-\gg)$

$ueq :: 'a \Rightarrow 'a \Rightarrow 'b\ (\text{infixl } =_u\ 50)$

$ueuvar :: 'v \Rightarrow 'p$

$uiiivar :: 'v \Rightarrow 'p$

$uouvar :: 'v \Rightarrow 'p$

adhoc-overloading

$ulit\ lit\ \text{and}$

$ueuvar\ var\ \text{and}$

$ueuvar\ dvar-exp\ \text{and}$

```

uiuvar iuvar and
uiuvar idvar and
uouvar ouvar and
uouvar odvar

```

syntax

```

-uuvar :: (t, 'α) uvar ⇒ logic (&- [999] 999)
-uiuvar :: (t, 'α) uvar ⇒ logic ($- [999] 999)
-uouvar :: (t, 'α) uvar ⇒ logic ($-' [999] 999)

```

translations

```

&x == CONST ueuvar x
$x == CONST uiuvar x
$x' == CONST uouvar x

```

We also set up some useful standard arithmetic operators for Isabelle by lifting the functions to binary operators.

```

instantiation uexpr :: (plus, type) plus
begin
  definition plus-uexpr-def:  $u + v = \text{bop } (op +) \ u \ v$ 
instance ..
end

```

Instantiating uminus also provides negation for predicates later

```

instantiation uexpr :: (uminus, type) uminus
begin
  definition uminus-uexpr-def:  $- \ u = \text{uop } \text{uminus } \ u$ 
instance ..
end

```

```

instantiation uexpr :: (minus, type) minus
begin
  definition minus-uexpr-def:  $u - v = \text{bop } (op -) \ u \ v$ 
instance ..
end

```

```

instantiation uexpr :: (times, type) times
begin
  definition times-uexpr-def:  $u * v = \text{bop } (op *) \ u \ v$ 
instance ..
end

```

```

instantiation uexpr :: (Divides.div, type) Divides.div
begin
  definition div-uexpr-def:  $u \text{ div } v = \text{bop } (op \text{ div}) \ u \ v$ 
  definition mod-uexpr-def:  $u \text{ mod } v = \text{bop } (op \text{ mod}) \ u \ v$ 
instance ..
end

```

```

instantiation uexpr :: (zero, type) zero
begin
  definition zero-uexpr-def:  $0 = \text{lit } 0$ 
instance ..
end

```

```

instantiation uexpr :: (one, type) one
begin
  definition one-uexpr-def: 1 = lit 1
instance ..

end

instance uexpr :: (semigroup-mult, type) semigroup-mult
  by (intro-classes) (simp add: times-uexpr-def one-uexpr-def, transfer, simp add: mult.assoc)+

instance uexpr :: (monoid-mult, type) monoid-mult
  by (intro-classes) (simp add: times-uexpr-def one-uexpr-def, transfer, simp)+

instance uexpr :: (semigroup-add, type) semigroup-add
  by (intro-classes) (simp add: plus-uexpr-def zero-uexpr-def, transfer, simp add: add.assoc)+

instance uexpr :: (monoid-add, type) monoid-add
  by (intro-classes) (simp add: plus-uexpr-def zero-uexpr-def, transfer, simp)+

instance uexpr :: (numeral, type) numeral
  by (intro-classes, simp add: plus-uexpr-def, transfer, simp add: add.assoc)

```

Set up automation for numerals

```

lemma numeral-uexpr-rep-eq:  $\llbracket \text{numeral } x \rrbracket_e b = \text{numeral } x$ 
  by (induct x, simp-all add: plus-uexpr-def one-uexpr-def numeral.simps lit.rep-eq bop.rep-eq)

lemma numeral-uexpr-simp:  $\text{numeral } x = \llbracket \text{numeral } x \rrbracket$ 
  by (simp add: uexpr-eq-iff numeral-uexpr-rep-eq lit.rep-eq)

```

```

definition eq-upred :: ('a, 'α) uexpr ⇒ ('a, 'α) uexpr ⇒ (bool, 'α) uexpr
where eq-upred x y = bop HOL.eq x y

```

adhoc-overloading

```
ueq eq-upred
```

```

abbreviation seq-filter :: 'a set ⇒ 'a list ⇒ 'a list where
seq-filter A ≡ filter (λ x. x ∈ A)

```

nonterminal utuple-args

syntax

```

-unil      :: ('a list, 'α) uexpr (⟨⟩)
-ulist     :: args => ('a list, 'α) uexpr  ((⟨-⟩))
-uappend  :: ('a list, 'α) uexpr ⇒ ('a list, 'α) uexpr ⇒ ('a list, 'α) uexpr (infixr ^u 80)
-ulast     :: ('a list, 'α) uexpr ⇒ ('a, 'α) uexpr (lastu'(-))
-ufilter   :: ('a list, 'α) uexpr ⇒ ('a set, 'α) uexpr ⇒ ('a list, 'α) uexpr (infixl |u 75)
-uless     :: ('a, 'α) uexpr ⇒ ('a, 'α) uexpr ⇒ (bool, 'α) uexpr (infix <u 50)
-upeq      :: ('a, 'α) uexpr ⇒ ('a, 'α) uexpr ⇒ (bool, 'α) uexpr (infix ≤u 50)
-ugreat    :: ('a, 'α) uexpr ⇒ ('a, 'α) uexpr ⇒ (bool, 'α) uexpr (infix >u 50)
-ugeq      :: ('a, 'α) uexpr ⇒ ('a, 'α) uexpr ⇒ (bool, 'α) uexpr (infix ≥u 50)
-uempset   :: ('a set, 'α) uexpr ({ }u)
-uset      :: args => ('a set, 'α) uexpr ({(-)}u)
-uunion    :: ('a set, 'α) uexpr ⇒ ('a set, 'α) uexpr ⇒ ('a set, 'α) uexpr (infixl ∪u 65)
-uinter    :: ('a set, 'α) uexpr ⇒ ('a set, 'α) uexpr ⇒ ('a set, 'α) uexpr (infixl ∩u 70)
-umem      :: ('a, 'α) uexpr ⇒ ('a set, 'α) uexpr ⇒ (bool, 'α) uexpr (infix ∈u 50)

```

$-unmem \quad :: ('a, 'α) uexpr \Rightarrow ('a \text{ set}, 'α) uexpr \Rightarrow (bool, 'α) uexpr \text{ (infix } \notin_u 50)$
 $-usubset \quad :: ('a \text{ set}, 'α) uexpr \Rightarrow ('a \text{ set}, 'α) uexpr \Rightarrow (bool, 'α) uexpr \text{ (infix } \subset_u 50)$
 $-usubseteq \quad :: ('a \text{ set}, 'α) uexpr \Rightarrow ('a \text{ set}, 'α) uexpr \Rightarrow (bool, 'α) uexpr \text{ (infix } \subseteq_u 50)$
 $-utuple \quad :: ('a, 'α) uexpr \Rightarrow utuple\text{-args} \Rightarrow ('a * 'b, 'α) uexpr \text{ ((1'(-, / -)_u))}$
 $-utuple\text{-arg} \quad :: ('a, 'α) uexpr \Rightarrow utuple\text{-args} \text{ (-)}$
 $-utuple\text{-args} \quad :: ('a, 'α) uexpr \Rightarrow utuple\text{-args} \Rightarrow utuple\text{-args} \quad (-, / -)$
 $-uunit \quad :: ('a, 'α) uexpr \text{ ('()_u)}$
 $-ufst \quad :: ('a \times 'b, 'α) uexpr \Rightarrow ('a, 'α) uexpr \text{ (}\pi_1'(-)\text{)}$
 $-usnd \quad :: ('a \times 'b, 'α) uexpr \Rightarrow ('b, 'α) uexpr \text{ (}\pi_2'(-)\text{)}$
 $-uapply \quad :: ('a \Rightarrow 'b, 'α) uexpr \Rightarrow utuple\text{-args} \Rightarrow ('b, 'α) uexpr \text{ (-[]_u [999,0] 999)}$

definition *fun-apply* $f \ x = f \ x$

declare *fun-apply-def* [*simp*]

translations

$\langle \rangle \quad == \ll [] \gg$
 $\langle x, xs \rangle \quad == \text{CONST bop (op \#) } x \ \langle xs \rangle$
 $\langle x \rangle \quad == \text{CONST bop (op \#) } x \ \ll [] \gg$
 $x \ \hat{ }_u \ y \quad == \text{CONST bop (op @) } x \ y$
 $\text{last}_u(xs) \quad == \text{CONST uop CONST last } xs$
 $xs \ \downarrow_u \ A \quad == \text{CONST bop CONST seq-filter } A \ xs$
 $x <_u y \quad == \text{CONST bop (op <) } x \ y$
 $x \leq_u y \quad == \text{CONST bop (op \leq) } x \ y$
 $x >_u y \quad == y <_u x$
 $x \geq_u y \quad == y \leq_u x$
 $\{ \}_u \quad == \ll \{ \} \gg$
 $\{ x, xs \}_u \quad == \text{CONST bop (CONST insert) } x \ \{ xs \}_u$
 $\{ x \}_u \quad == \text{CONST bop (CONST insert) } x \ \ll \{ \} \gg$
 $A \cup_u B \quad == \text{CONST bop Set.union } A \ B$
 $A \cap_u B \quad == \text{CONST bop Set.inter } A \ B$
 $x \in_u A \quad == \text{CONST bop (op \in) } x \ A$
 $x \notin_u A \quad == \text{CONST bop (op \notin) } x \ A$
 $A \subset_u B \quad == \text{CONST bop (op \subset) } A \ B$
 $A \subseteq_u B \quad == \text{CONST bop (op \subseteq) } A \ B$
 $()_u \quad == \ll () \gg$
 $(x, y)_u \quad == \text{CONST bop (CONST Pair) } x \ y$
 $\text{-utuple } x \ (\text{-utuple-args } y \ z) \quad == \text{-utuple } x \ (\text{-utuple-arg } (\text{-utuple } y \ z))$
 $\pi_1(x) \quad == \text{CONST uop CONST fst } x$
 $\pi_2(x) \quad == \text{CONST uop CONST snd } x$
 $f(\downarrow x)_u \quad == \text{CONST bop CONST fun-apply } f \ x$
 $f(\downarrow x, y)_u \quad == \text{CONST bop CONST fun-apply } f \ (x, y)_u$

Lifting set intervals

syntax

$\text{-uset-atLeastLessThan} \quad :: ('a, 'α) uexpr \Rightarrow ('a, 'α) uexpr \Rightarrow ('a \text{ set}, 'α) uexpr \text{ ((1\{...\<\}_u))}$
 $\text{-uset-compr} \quad :: id \Rightarrow ('a \text{ set}, 'α) uexpr \Rightarrow (bool, 'α) uexpr \Rightarrow ('b, 'α) uexpr \Rightarrow ('b \text{ set}, 'α) uexpr \text{ ((1\{-:/ - \mid - \cdot / -\}_u))}$

lift-definition *ZedSetCompr* ::

$('a \text{ set}, 'α) uexpr \Rightarrow ('a \Rightarrow (bool, 'α) uexpr \times ('b, 'α) uexpr) \Rightarrow ('b \text{ set}, 'α) uexpr$
is $\lambda A \ PF \ b. \{ \text{snd } (PF \ x) \ b \mid x. x \in A \ b \wedge \text{fst } (PF \ x) \ b \} .$

translations

$\{ x..<y \}_u \quad == \text{CONST bop CONST atLeastLessThan } x \ y$
 $\{ x : A \mid P \cdot F \}_u \quad == \text{CONST ZedSetCompr } A \ (\lambda x. (P, F))$

lemmas *uepr-defs* =

iuvar-def
ouvar-def
zero-uepr-def
one-uepr-def
plus-uepr-def
uminus-uepr-def
minus-uepr-def
times-uepr-def
div-uepr-def
mod-uepr-def
eq-upred-def
numeral-uepr-simp

lemma *var-in-var*: $\text{var } (\text{in-var } x) = \x

by (*simp add: iuvar-def*)

lemma *var-out-var*: $\text{var } (\text{out-var } x) = \x'

by (*simp add: ouvar-def*)

end

3 Unrestriction

theory *utp-unrest*

imports *utp-expr*

begin

Unrestriction is an encoding of semantic freshness, that allows us to reason about the presence of variables in predicates without being concerned with abstract syntax trees. An expression p is unrestricted by variable x , written $x \# p$, if altering the value of x has no effect on the valuation of p . This is a sufficient notion to prove many laws that would ordinarily rely on an fv function.

consts

unrest :: $'a \Rightarrow 'b \Rightarrow \text{bool}$

syntax

-unrest :: $\text{svar} \Rightarrow \text{logic} \Rightarrow \text{logic} \Rightarrow \text{logic}$ (**infix** $\#$ 20)

translations

-unrest x p == *CONST* *unrest* x p

named-theorems *unrest*

lift-definition *unrest-upred* :: $(\text{'a}, \text{'}\alpha) \text{uvar} \Rightarrow (\text{'b}, \text{'}\alpha) \text{uepr} \Rightarrow \text{bool}$

is $\lambda x e. \forall b v. e (\text{var-update } x v b) = e b$.

ad hoc-overloading

unrest *unrest-upred*

lemma *unrest-lit* [*unrest*]: $x \# \ll v \gg$

by (*transfer, simp*)

The following law demonstrates why we need variable independence: a variable expression is unrestricted by another variable only when the two variables are independent.

lemma *unrest-var* [*unrest*]: $\llbracket \text{uvar } x; x \bowtie y \rrbracket \Longrightarrow y \# \text{var } x$
by (*transfer*, *auto*)

lemma *unrest-uop* [*unrest*]: $x \# e \Longrightarrow x \# \text{uop } f \ e$
by (*transfer*, *simp*)

lemma *unrest-bop* [*unrest*]: $\llbracket x \# u; x \# v \rrbracket \Longrightarrow x \# \text{bop } f \ u \ v$
by (*transfer*, *simp*)

lemma *unrest-trop* [*unrest*]: $\llbracket x \# u; x \# v; x \# w \rrbracket \Longrightarrow x \# \text{trop } f \ u \ v \ w$
by (*transfer*, *simp*)

lemma *unrest-eq* [*unrest*]: $\llbracket x \# u; x \# v \rrbracket \Longrightarrow x \# u =_u v$
by (*simp add: eq-upred-def*, *transfer*, *simp*)

end

4 Substitution

theory *utp-subst*

imports

utp-expr

utp-lift

utp-unrest

begin

4.1 Substitution definitions

We introduce a polymorphic constant that will be used to represent application of a substitution, and also a set of theorems to represent laws.

consts

usubst :: $'s \Rightarrow 'a \Rightarrow 'a$ (**infixr** \dagger 80)

named-theorems *usubst*

A substitution is simply a transformation on the alphabet; it shows how variables should be mapped to different values.

type-synonym $'\alpha \text{ usubst} = 'a \text{ alphabet} \Rightarrow 'a \text{ alphabet}$

lift-definition *subst* :: $'\alpha \text{ usubst} \Rightarrow ('a, 'a) \text{ uexpr} \Rightarrow ('a, 'a) \text{ uexpr}$ **is**
 $\lambda \sigma \ e \ b. \ e \ (\sigma \ b)$.

adhoc-overloading

usubst *subst*

Update the value of a variable to an expression in a substitution

consts *subst-upd* :: $'\alpha \text{ usubst} \Rightarrow 'v \Rightarrow ('a, 'a) \text{ uexpr} \Rightarrow 'a \text{ usubst}$

definition *subst-upd-uvar* :: $'\alpha \text{ usubst} \Rightarrow ('a, 'a) \text{ uvar} \Rightarrow ('a, 'a) \text{ uexpr} \Rightarrow 'a \text{ usubst}$ **where**
subst-upd-uvar $\sigma \ x \ v = (\lambda \ b. \text{var-assign } x \ (\llbracket v \rrbracket_e b) \ (\sigma \ b))$

definition *subst-upd-dvar* :: $'\alpha \text{ usubst} \Rightarrow 'a::\text{continuum} \text{ dvar} \Rightarrow ('a, 'a::\text{vst}) \text{ uexpr} \Rightarrow 'a \text{ usubst}$ **where**
subst-upd-dvar $\sigma \ x \ v = (\lambda \ b. \text{var-assign } (\text{dvar-lift } x) \ (\llbracket v \rrbracket_e b) \ (\sigma \ b))$

adhoc-overloading

subst-upd subst-upd-uvar and subst-upd subst-upd-dvar

Lookup the expression associated with a variable in a substitution

lift-definition *usubst-lookup* :: $'\alpha$ *usubst* \Rightarrow $('a, '\alpha)$ *uvar* \Rightarrow $('a, '\alpha)$ *uexpr* $(\langle \cdot \rangle_s)$
is $\lambda \sigma x b. \text{var-lookup } x (\sigma b)$.

Relational lifting of a substitution to the first element of the state space

definition *usubst-rel-lift* :: $'\alpha$ *usubst* \Rightarrow $(' \alpha \times ' \beta)$ *usubst* $([\cdot]_s)$ **where**
 $[\sigma]_s = (\lambda (A, A'). (\sigma A, A'))$

definition *usubst-rel-drop* :: $(' \alpha \times ' \alpha)$ *usubst* \Rightarrow $' \alpha$ *usubst* $([\cdot]_s)$ **where**
 $[\sigma]_s = (\lambda A. \text{fst } (\sigma (A, A)))$

nonterminal smaplet and smaplets

syntax

-smaplet :: $[svar, 'a] \Rightarrow \text{smaplet}$ $(- \mapsto_s / -)$
 :: *smaplet* $\Rightarrow \text{smaplets}$ $(-)$
-SMaplets :: $[smaplet, \text{smaplets}] \Rightarrow \text{smaplets}$ $(-, / -)$
-SubstUpd :: $[m \text{ usubst}, \text{smaplets}] \Rightarrow m \text{ usubst } (-/'(-) [900,0] 900)$
-Subst :: *smaplets* $\Rightarrow 'a \leadsto 'b$ $((1[\cdot]))$

translations

-SubstUpd m (-SMaplets xy ms) == *-SubstUpd (-SubstUpd m xy) ms*
-SubstUpd m (-smaplet x y) == *CONST subst-upd m x y*
-Subst ms == *-SubstUpd (CONST id) ms*
-Subst (-SMaplets ms1 ms2) <= *-SubstUpd (-Subst ms1) ms2*
-SMaplets ms1 (-SMaplets ms2 ms3) <= *-SMaplets (-SMaplets ms1 ms2) ms3*

4.2 Substitution laws

We set up a simple substitution tactic that applies substitution and unrestriction laws

method *subst-tac* = (*simp add: usubst unrest*)?

lemma *usubst-lookup-id* [*usubst*]: $\langle id \rangle_s x = \text{var } x$
by (*transfer, simp*)

lemma *usubst-lookup-upd* [*usubst*]:
assumes *uvar x*
shows $\langle \sigma(x \mapsto_s v) \rangle_s x = v$
using *assms*
by (*simp add: subst-upd-uvar-def, transfer*) (*simp*)

lemma *usubst-upd-idem* [*usubst*]:
assumes *semi-uvar x*
shows $\sigma(x \mapsto_s u, x \mapsto_s v) = \sigma(x \mapsto_s v)$
by (*simp add: subst-upd-uvar-def assms comp-def*)

lemma *usubst-lookup-upd-indep* [*usubst*]:
assumes *uvar x x \bowtie y*
shows $\langle \sigma(y \mapsto_s v) \rangle_s x = \langle \sigma \rangle_s x$
using *assms*
by (*simp add: subst-upd-uvar-def, transfer, simp*)

lemma *subst-unrest* [*usubst*] : $x \# P \implies \sigma(x \mapsto_s v) \dagger P = \sigma \dagger P$
 by (*simp add: subst-upd-uvar-def, transfer, auto*)

lemma *id-subst* [*usubst*] : $id \dagger v = v$
 by (*transfer, simp*)

lemma *subst-lit* [*usubst*] : $\sigma \dagger \langle v \rangle = \langle v \rangle$
 by (*transfer, simp*)

lemma *subst-var* [*usubst*] : $\sigma \dagger \text{var } x = \langle \sigma \rangle_s x$
 by (*transfer, simp*)

lemma *subst-ivar* [*usubst*] : $\sigma \dagger \$x = \langle \sigma \rangle_s (\text{in-var } x)$
 by (*simp add: iuvar-def, transfer, simp*)

lemma *subst-ovar* [*usubst*] : $\sigma \dagger \$x' = \langle \sigma \rangle_s (\text{out-var } x)$
 by (*simp add: ouvar-def, transfer, simp*)

lemma *subst-uop* [*usubst*] : $\sigma \dagger \text{uop } f v = \text{uop } f (\sigma \dagger v)$
 by (*transfer, simp*)

lemma *subst-bop* [*usubst*] : $\sigma \dagger \text{bop } f u v = \text{bop } f (\sigma \dagger u) (\sigma \dagger v)$
 by (*transfer, simp*)

lemma *subst-plus* [*usubst*] : $\sigma \dagger (x + y) = \sigma \dagger x + \sigma \dagger y$
 by (*simp add: plus-uepr-def subst-bop*)

lemma *subst-times* [*usubst*] : $\sigma \dagger (x * y) = \sigma \dagger x * \sigma \dagger y$
 by (*simp add: times-uepr-def subst-bop*)

lemma *subst-minus* [*usubst*] : $\sigma \dagger (x - y) = \sigma \dagger x - \sigma \dagger y$
 by (*simp add: minus-uepr-def subst-bop*)

lemma *subst-zero* [*usubst*] : $\sigma \dagger 0 = 0$
 by (*simp add: zero-uepr-def subst-lit*)

lemma *subst-one* [*usubst*] : $\sigma \dagger 1 = 1$
 by (*simp add: one-uepr-def subst-lit*)

lemma *subst-eq-upred* [*usubst*] : $\sigma \dagger (x =_u y) = (\sigma \dagger x =_u \sigma \dagger y)$
 by (*simp add: eq-upred-def usubst*)

lemma *subst-subst* [*usubst*] : $\sigma \dagger \varrho \dagger e = (\varrho \circ \sigma) \dagger e$
 by (*transfer, simp*)

lemma *subst-upd-comp* [*usubst*] :
 fixes $x :: ('a, 'α) \text{uvar}$
 shows $\varrho(x \mapsto_s v) \circ \sigma = (\varrho \circ \sigma)(x \mapsto_s \sigma \dagger v)$
 by (*rule ext, simp add: uepr-defs subst-upd-uvar-def, transfer, simp*)

lemma *subst-lift-id* [*usubst*] : $\lceil id \rceil_s = id$
 by (*simp add: usubst-rel-lift-def*)

lemma *subst-drop-id* [*usubst*] : $\lfloor id \rfloor_s = id$
 by (*auto simp add: usubst-rel-drop-def*)

```

lemma subst-lift-drop [usubst]:  $\lfloor \lceil \sigma \rceil_s \rfloor_s = \sigma$ 
  by (simp add: usubst-rel-lift-def usubst-rel-drop-def)

lemma subst-lift-upd [usubst]:  $\lceil \sigma(x \mapsto_s v) \rceil_s = \lceil \sigma \rceil_s(\$x \mapsto_s \lceil v \rceil_<)$ 
  by (simp add: usubst-rel-lift-def subst-upd-uvar-def, transfer, auto)

lemma subst-drop-upd [usubst]:  $\lfloor \sigma(\$x \mapsto_s v) \rfloor_s = \lfloor \sigma \rfloor_s(x \mapsto_s \lfloor v \rfloor_<)$ 
  apply (simp add: usubst-rel-drop-def subst-upd-uvar-def, transfer, rule ext, auto simp add: in-var-def)
  apply (rename-tac x v  $\sigma$  A)
  apply (case-tac  $\sigma$  (A, A), simp)
done

```

nonterminal *uexprs* and *svars*

syntax

```

-psubst :: [ $\alpha$  usubst, svars, uexprs]  $\Rightarrow$  logic
-subst :: ( $'a$ ,  $'\alpha$ ) uexpr  $\Rightarrow$  uexprs  $\Rightarrow$  svars  $\Rightarrow$  ( $'a$ ,  $'\alpha$ ) uexpr (( $\lceil$ - $\lceil$ - $\rfloor$ ) [999,999] 1000)
-uexprs :: [ $'a$ ,  $'\alpha$ ] uexpr, uexprs]  $\Rightarrow$  uexprs (-, / -)
  :: ( $'a$ ,  $'\alpha$ ) uexpr  $\Rightarrow$  uexprs (-)
-svars :: [svar, svars]  $\Rightarrow$  svars (-, / -)
  :: svar  $\Rightarrow$  svars (-)

```

translations

```

-subst P es vs  $\Rightarrow$  CONST subst (-psubst (CONST id) vs es) P
-psubst m (-svar x) v  $\Rightarrow$  CONST subst-upd m x v
-psubst m (-spvar x) v  $\Rightarrow$  CONST subst-upd m x v
-psubst m (-sinvar x) v  $\Rightarrow$  CONST subst-upd m (CONST in-var x) v
-psubst m (-soutvar x) v  $\Rightarrow$  CONST subst-upd m (CONST out-var x) v
-psubst m (-svars x xs) (-uexprs v vs)  $\Rightarrow$  -psubst (-psubst m x v) xs vs

```

end

5 Lifting expressions

theory *utp-lift*

imports

utp-expr

utp-unrest

begin

5.1 Lifting definitions

We define operators for converting an expression to and from a relational state space

lift-definition *lift-pre* :: ($'a$, $'\alpha$) *uexpr* \Rightarrow ($'a$, $'\alpha \times '\beta$) *uexpr* (\lceil - \lceil - \rfloor)
is $\lambda p (A, A'). p A$.

lift-definition *drop-pre* :: ($'a$, $'\alpha \times '\alpha$) *uexpr* \Rightarrow ($'a$, $'\alpha$) *uexpr* (\lfloor - \lfloor - \rfloor)
is $\lambda p A. p (A, A)$.

lift-definition *lift-post* :: ($'a$, $'\beta$) *uexpr* \Rightarrow ($'a$, $'\alpha \times '\beta$) *uexpr* (\lceil - \lceil - \rfloor)
is $\lambda p (A, A'). p A'$.

abbreviation *drop-post* :: ($'a$, $'\alpha \times '\alpha$) *uexpr* \Rightarrow ($'a$, $'\alpha$) *uexpr* (\lfloor - \lfloor - \rfloor)

where $\lfloor b \rfloor_{>} \equiv \lfloor b \rfloor_{<}$

named-theorems *ulift*

method *ulift-tac* = (*simp add: ulift*)?

5.2 Lifting laws

lemma *lift-pre-var [simp]*:

$\lfloor \text{var } x \rfloor_{<} = \x

by (*simp add: iuvar-def, transfer, auto*)

lemma *lift-post-var [simp]*:

$\lfloor \text{var } x \rfloor_{>} = \x'

by (*simp add: ouvar-def, transfer, auto*)

lemma *lift-pre-lit [simp]*:

$\lfloor \ll v \gg \rfloor_{<} = \ll v \gg$

by (*transfer, auto*)

lemma *lift-post-lit [simp]*:

$\lfloor \ll v \gg \rfloor_{>} = \ll v \gg$

by (*transfer, auto*)

lemma *lift-pre-uop [simp]*:

$\lfloor \text{uop } f \ v \rfloor_{<} = \text{uop } f \ \lfloor v \rfloor_{<}$

by (*transfer, auto*)

lemma *lift-post-uop [simp]*:

$\lfloor \text{uop } f \ v \rfloor_{>} = \text{uop } f \ \lfloor v \rfloor_{>}$

by (*transfer, auto*)

lemma *lift-pre-bop [simp]*:

$\lfloor \text{bop } f \ u \ v \rfloor_{<} = \text{bop } f \ \lfloor u \rfloor_{<} \ \lfloor v \rfloor_{<}$

by (*transfer, auto*)

lemma *lift-post-bop [simp]*:

$\lfloor \text{bop } f \ u \ v \rfloor_{>} = \text{bop } f \ \lfloor u \rfloor_{>} \ \lfloor v \rfloor_{>}$

by (*transfer, auto*)

lemma *lift-pre-trop [simp]*:

$\lfloor \text{trop } f \ u \ v \ w \rfloor_{<} = \text{trop } f \ \lfloor u \rfloor_{<} \ \lfloor v \rfloor_{<} \ \lfloor w \rfloor_{<}$

by (*transfer, auto*)

lemma *lift-post-trop [simp]*:

$\lfloor \text{trop } f \ u \ v \ w \rfloor_{>} = \text{trop } f \ \lfloor u \rfloor_{>} \ \lfloor v \rfloor_{>} \ \lfloor w \rfloor_{>}$

by (*transfer, auto*)

end

6 Alphabetised Predicates

theory *utp-pred*

imports

utp-expr

utp-subst
begin

An alphabetised predicate is simply a boolean valued expression

type-synonym $'\alpha$ *upred* = (*bool*, $'\alpha$) *uexpr*

named-theorems *upred-defs*

6.1 Predicate syntax

We want to remain as close as possible to the mathematical UTP syntax, but also want to be conservative with HOL. For this reason we chose not to steal syntax from HOL, but where possible use polymorphism to allow selection of the appropriate operator (UTP vs. HOL). Thus we will first remove the standard syntax for conjunction, disjunction, and negation, and replace these with adhoc overloaded definitions.

no-notation

conj (**infixr** \wedge 35) **and**
disj (**infixr** \vee 30) **and**
Not (\neg - [40] 40)

consts

uttrue :: $'a$ (*true*)
ufalse :: $'a$ (*false*)
uconj :: $'a \Rightarrow 'a \Rightarrow 'a$ (**infixr** \wedge 35)
udisj :: $'a \Rightarrow 'a \Rightarrow 'a$ (**infixr** \vee 30)
uimpl :: $'a \Rightarrow 'a \Rightarrow 'a$ (**infixr** \Rightarrow 25)
uiff :: $'a \Rightarrow 'a \Rightarrow 'a$ (**infixr** \Leftrightarrow 25)
unot :: $'a \Rightarrow 'a$ (\neg - [40] 40)
uex :: ($'a$, $'\alpha$) *uvar* $\Rightarrow 'p \Rightarrow 'p$
uall :: ($'a$, $'\alpha$) *uvar* $\Rightarrow 'p \Rightarrow 'p$
ushEx :: $['a \Rightarrow 'p] \Rightarrow 'p$
ushAll :: $['a \Rightarrow 'p] \Rightarrow 'p$

adhoc-overloading

uconj conj **and**
udisj disj **and**
unot Not

We set up two versions of each of the quantifiers: *uex* / *uall* and *ushEx* / *ushAll*. The former pair allows quantification of UTP variables, whilst the latter allows quantification of HOL variables. Both varieties will be needed at various points. Syntactically they are distinguished by a boldface quantifier for the HOL versions (achieved by the "bold" escape in Isabelle).

syntax

-uex :: *svar* \Rightarrow *logic* \Rightarrow *logic* (\exists - - - [0, 10] 10)
-uall :: *svar* \Rightarrow *logic* \Rightarrow *logic* (\forall - - - [0, 10] 10)
-ushEx :: *idt* \Rightarrow *logic* \Rightarrow *logic* (\exists - - - [0, 10] 10)
-ushAll :: *idt* \Rightarrow *logic* \Rightarrow *logic* (\forall - - - [0, 10] 10)
-ushBEx :: *idt* \Rightarrow *logic* \Rightarrow *logic* \Rightarrow *logic* (\exists - \in - - - [0, 0, 10] 10)
-ushBAll :: *idt* \Rightarrow *logic* \Rightarrow *logic* \Rightarrow *logic* (\forall - \in - - - [0, 0, 10] 10)

translations

$\exists \&x \cdot P \Rightarrow \text{CONST } uex \ x \ P$
 $\exists \$x \cdot P == \text{CONST } uex \ (\text{CONST } in-var \ x) \ P$
 $\exists \$x' \cdot P == \text{CONST } uex \ (\text{CONST } out-var \ x) \ P$

$$\begin{aligned}
\exists x \cdot P &== \text{CONST } uex\ x\ P \\
\forall \&x \cdot P &=> \text{CONST } uall\ x\ P \\
\forall \$x \cdot P &== \text{CONST } uall\ (\text{CONST } in\text{-}var\ x)\ P \\
\forall \$x' \cdot P &== \text{CONST } uall\ (\text{CONST } out\text{-}var\ x)\ P \\
\forall x \cdot P &== \text{CONST } uall\ x\ P \\
\exists x \cdot P &== \text{CONST } ushEx\ (\lambda x. P) \\
\exists x \in A \cdot P &=> \exists x \cdot \ll x \gg \in_u A \wedge P \\
\forall x \cdot P &== \text{CONST } ushAll\ (\lambda x. P) \\
\forall x \in A \cdot P &=> \forall x \cdot \ll x \gg \in_u A \Rightarrow P
\end{aligned}$$

6.2 Predicate operators

We chose to maximally reuse definitions and laws built into HOL. For this reason, when introducing the core operators we proceed by lifting operators from the polymorphic algebraic hierarchy of HOL. Thus the initial definitions take place in the context of type class instantiations. We first introduce our own class called *refine* that will add the refinement operator syntax to the HOL partial order class.

class *refine* = *order*

abbreviation *refineBy* :: 'a::*refine* \Rightarrow 'a \Rightarrow bool (infix \sqsubseteq 50) **where**
P \sqsubseteq *Q* \equiv *less-eq* *Q* *P*

Since, on the whole, lattices in UTP are the opposite way up to the standard definitions in HOL, we syntactically invert the lattice operators. This is the one exception where we do steal HOL syntax, but I think it makes sense for UTP.

notation *inf* (infixl \sqcup 70)

notation *sup* (infixl \sqcap 65)

notation *Inf* (\bigsqcup - [900] 900)

notation *Sup* (\bigsqcap - [900] 900)

notation *bot* (\top)

notation *top* (\perp)

We now introduce a partial order on expressions. Note this is more general than refinement since it lifts an order on any expression type (not just Boolean). However, the Boolean version does equate to refinement.

instantiation *uexpr* :: (*order*, *type*) *order*

begin

lift-definition *less-eq-uexpr* :: ('a, 'b) *uexpr* \Rightarrow ('a, 'b) *uexpr* \Rightarrow bool

is $\lambda P\ Q. (\forall A. P\ A \leq Q\ A)$.

definition *less-uexpr* :: ('a, 'b) *uexpr* \Rightarrow ('a, 'b) *uexpr* \Rightarrow bool

where *less-uexpr* *P* *Q* = (*P* \leq *Q* \wedge \neg *Q* \leq *P*)

instance proof

fix *x y z* :: ('a, 'b) *uexpr*

show (*x* < *y*) = (*x* \leq *y* \wedge \neg *y* \leq *x*) **by** (*simp* add: *less-uexpr-def*)

show *x* \leq *x* **by** (*transfer*, *auto*)

show *x* \leq *y* \Rightarrow *y* \leq *z* \Rightarrow *x* \leq *z*

by (*transfer*, *blast* intro: *order.trans*)

show *x* \leq *y* \Rightarrow *y* \leq *x* \Rightarrow *x* = *y*

by (*transfer*, *rule* ext, *simp* add: *eq-iff*)

qed

end

We also trivially instantiate our refinement class

```
instance uexpr :: (order, type) refine ..
```

Next we introduce the lattice operators, which is again done by lifting.

```
instantiation uexpr :: (lattice, type) lattice
```

```
begin
```

```
  lift-definition sup-uexpr :: ('a, 'b) uexpr  $\Rightarrow$  ('a, 'b) uexpr  $\Rightarrow$  ('a, 'b) uexpr
  is  $\lambda P Q A. \text{sup } (P A) (Q A) .$ 
```

```
  lift-definition inf-uexpr :: ('a, 'b) uexpr  $\Rightarrow$  ('a, 'b) uexpr  $\Rightarrow$  ('a, 'b) uexpr
  is  $\lambda P Q A. \text{inf } (P A) (Q A) .$ 
```

```
instance
```

```
  by (intro-classes) (transfer, auto)+
```

```
end
```

```
instantiation uexpr :: (bounded-lattice, type) bounded-lattice
```

```
begin
```

```
  lift-definition bot-uexpr :: ('a, 'b) uexpr is  $\lambda A. \text{bot} .$ 
```

```
  lift-definition top-uexpr :: ('a, 'b) uexpr is  $\lambda A. \text{top} .$ 
```

```
instance
```

```
  by (intro-classes) (transfer, auto)+
```

```
end
```

Finally we show that predicates form a Boolean algebra (under the lattice operators).

```
instance uexpr :: (boolean-algebra, type) boolean-algebra
```

```
  by (intro-classes, simp-all add: uexpr-defs)
```

```
    (transfer, simp add: sup-inf-distrib1 inf-compl-bot sup-compl-top diff-eq)+
```

```
instantiation uexpr :: (complete-lattice, type) complete-lattice
```

```
begin
```

```
  lift-definition Inf-uexpr :: ('a, 'b) uexpr set  $\Rightarrow$  ('a, 'b) uexpr
  is  $\lambda PS A. \text{INF } P:PS. P(A) .$ 
```

```
  lift-definition Sup-uexpr :: ('a, 'b) uexpr set  $\Rightarrow$  ('a, 'b) uexpr
  is  $\lambda PS A. \text{SUP } P:PS. P(A) .$ 
```

```
instance
```

```
  by (intro-classes)
```

```
    (transfer, auto intro: INF-lower SUP-upper simp add: INF-greatest SUP-least)+
```

```
end
```

With the lattice operators defined, we can proceed to give definitions for the standard predicate operators in terms of them.

```
definition true-upred = (top :: 'α upred)
```

```
definition false-upred = (bot :: 'α upred)
```

```
definition conj-upred = (inf :: 'α upred  $\Rightarrow$  'α upred  $\Rightarrow$  'α upred)
```

```
definition disj-upred = (sup :: 'α upred  $\Rightarrow$  'α upred  $\Rightarrow$  'α upred)
```

```
definition not-upred = (uminus :: 'α upred  $\Rightarrow$  'α upred)
```

```
definition diff-upred = (minus :: 'α upred  $\Rightarrow$  'α upred  $\Rightarrow$  'α upred)
```

We also define the other predicate operators

```
lift-definition impl::'α upred  $\Rightarrow$  'α upred  $\Rightarrow$  'α upred is
```

```
 $\lambda P Q A. P A \longrightarrow Q A .$ 
```

```
lift-definition iff-upred :: 'α upred  $\Rightarrow$  'α upred  $\Rightarrow$  'α upred is
```

```
 $\lambda P Q A. P A \longleftrightarrow Q A .$ 
```

lift-definition $ex :: ('a, 'α) \text{uvar} \Rightarrow 'α \text{upred} \Rightarrow 'α \text{upred}$ **is**
 $\lambda x P b. (\exists v. P(\text{var-assign } x v b))$.

lift-definition $shEx :: ['β \Rightarrow 'α \text{upred}] \Rightarrow 'α \text{upred}$ **is**
 $\lambda P A. \exists x. (P x) A$.

lift-definition $all :: ('a, 'α) \text{uvar} \Rightarrow 'α \text{upred} \Rightarrow 'α \text{upred}$ **is**
 $\lambda x P b. (\forall v. P(\text{var-assign } x v b))$.

lift-definition $shAll :: ['β \Rightarrow 'α \text{upred}] \Rightarrow 'α \text{upred}$ **is**
 $\lambda P A. \forall x. (P x) A$.

We have to add a *u* subscript to the closure operator as I don't want to override the syntax for HOL lists (we'll be using them later).

lift-definition $\text{closure} :: 'α \text{upred} \Rightarrow 'α \text{upred}$ ($[\cdot]_u$) **is**
 $\lambda P A. \forall A'. P A'$.

lift-definition $\text{taut} :: 'α \text{upred} \Rightarrow \text{bool}$ ($'\cdot'$)
is $\lambda P. \forall A. P A$.

adhoc-overloading

$\text{utru} \text{ true-upred}$ **and**
 $\text{ufalse} \text{ false-upred}$ **and**
 $\text{unot} \text{ not-upred}$ **and**
 $\text{uconj} \text{ conj-upred}$ **and**
 $\text{udisj} \text{ disj-upred}$ **and**
 $\text{uimpl} \text{ impl}$ **and**
 $\text{uiff} \text{ iff-upred}$ **and**
 $\text{uex} \text{ ex}$ **and**
 $\text{uall} \text{ all}$ **and**
 $\text{ushEx} \text{ shEx}$ **and**
 $\text{ushAll} \text{ shAll}$

6.3 Proof support

We set up a simple tactic with the help of *Eisbach* that applies predicate definitions, applies the transfer method to drop down to the core definitions, applies extensionality (to remove the resulting lambda term) and the applies *auto*. This simple tactic will suffice to prove most of the standard laws.

method $\text{pred-tac} = ((\text{simp only: upred-defs})? ; (\text{transfer, (rule-tac ext)}?, \text{auto})?)$

declare true-upred-def [upred-defs]
declare false-upred-def [upred-defs]
declare conj-upred-def [upred-defs]
declare disj-upred-def [upred-defs]
declare not-upred-def [upred-defs]
declare diff-upred-def [upred-defs]
declare $\text{subst-upd-uvar-def}$ [upred-defs]
declare $\text{subst-upd-dvar-def}$ [upred-defs]
declare uexpr-defs [upred-defs]
declare $\text{usubst-rel-lift-def}$ [upred-defs]
declare $\text{usubst-rel-drop-def}$ [upred-defs]

lemma $\text{true-alt-def: true} = \ll \text{True} \gg$

by (*pred-tac*)

lemma *false-alt-def*: $false = \ll False \gg$
by (*pred-tac*)

6.4 Unrestriction Laws

lemma *unrest-true* [*unrest*]: $x \# true$
by (*pred-tac*)

lemma *unrest-false* [*unrest*]: $x \# false$
by (*pred-tac*)

lemma *unrest-conj* [*unrest*]: $\ll x \# P; x \# Q \gg \implies x \# P \wedge Q$
by (*pred-tac*)

lemma *unrest-disj* [*unrest*]: $\ll x \# P; x \# Q \gg \implies x \# P \vee Q$
by (*pred-tac*)

lemma *unrest-impl* [*unrest*]: $\ll x \# P; x \# Q \gg \implies x \# P \Rightarrow Q$
by (*pred-tac*)

lemma *unrest-iff* [*unrest*]: $\ll x \# P; x \# Q \gg \implies x \# P \Leftrightarrow Q$
by (*pred-tac*)

lemma *unrest-not* [*unrest*]: $x \# P \implies x \# (\neg P)$
by (*pred-tac*)

lemma *unrest-ex-same* [*unrest*]:
 $uvar\ x \implies x \# (\exists\ x \cdot P)$
by (*pred-tac*, *auto simp add: comp-def*)

lemma *unrest-ex-diff* [*unrest*]:
assumes $x \bowtie y\ y \# P$
shows $y \# (\exists\ x \cdot P)$
using *assms*
by (*pred-tac*, *auto simp add: uvar-indep-def*)

lemma *unrest-all-same* [*unrest*]:
 $uvar\ x \implies x \# (\forall\ x \cdot P)$
by (*pred-tac*, *auto simp add: comp-def*)

lemma *unrest-all-diff* [*unrest*]:
assumes $x \bowtie y\ y \# P$
shows $y \# (\forall\ x \cdot P)$
using *assms*
by (*pred-tac*, *auto simp add: uvar-indep-def*)

lemma *unrest-shEx* [*unrest*]:
assumes $\bigwedge y. x \# P(y)$
shows $x \# (\exists\ y \cdot P(y))$
using *assms* by *pred-tac*

lemma *unrest-shAll* [*unrest*]:
assumes $\bigwedge y. x \# P(y)$
shows $x \# (\forall\ y \cdot P(y))$

using *assms* by *pred-tac*

lemma *unrest-closure* [*unrest*]:
 $x \# [P]_u$
 by *pred-tac*

6.5 Substitution Laws

lemma *subst-true* [*usubst*]: $\sigma \dagger \text{true} = \text{true}$
 by (*pred-tac*)

lemma *subst-false* [*usubst*]: $\sigma \dagger \text{false} = \text{false}$
 by (*pred-tac*)

lemma *subst-not* [*usubst*]: $\sigma \dagger (\neg P) = (\neg \sigma \dagger P)$
 by (*pred-tac*)

lemma *subst-impl* [*usubst*]: $\sigma \dagger (P \Rightarrow Q) = (\sigma \dagger P \Rightarrow \sigma \dagger Q)$
 by (*pred-tac*)

lemma *subst-iff* [*usubst*]: $\sigma \dagger (P \Leftrightarrow Q) = (\sigma \dagger P \Leftrightarrow \sigma \dagger Q)$
 by (*pred-tac*)

lemma *subst-disj* [*usubst*]: $\sigma \dagger (P \vee Q) = (\sigma \dagger P \vee \sigma \dagger Q)$
 by (*pred-tac*)

lemma *subst-conj* [*usubst*]: $\sigma \dagger (P \wedge Q) = (\sigma \dagger P \wedge \sigma \dagger Q)$
 by (*pred-tac*)

lemma *subst-closure* [*usubst*]: $\sigma \dagger [P]_u = [P]_u$
 by (*pred-tac*)

lemma *subst-shEx* [*usubst*]: $\sigma \dagger (\exists x \cdot P(x)) = (\exists x \cdot \sigma \dagger P(x))$
 by *pred-tac*

lemma *subst-shAll* [*usubst*]: $\sigma \dagger (\forall x \cdot P(x)) = (\forall x \cdot \sigma \dagger P(x))$
 by *pred-tac*

6.6 Predicate Laws

Showing that predicates form a Boolean Algebra (under the predicate operators) gives us many useful laws.

interpretation *boolean-algebra diff-upred not-upred conj-upred op ≤ op < disj-upred false-upred true-upred*
 by (*unfold-locales, pred-tac+*)

lemma *refBy-order*: $P \sqsubseteq Q = 'Q \Rightarrow P'$
 by (*transfer, auto*)

lemma *conj-idem* [*simp*]: $((P::'\alpha \text{ upred}) \wedge P) = P$
 by *pred-tac*

lemma *disj-idem* [*simp*]: $((P::'\alpha \text{ upred}) \vee P) = P$
 by *pred-tac*

lemma *conj-comm*: $((P::'\alpha \text{ upred}) \wedge Q) = (Q \wedge P)$

by *pred-tac*

lemma *disj-comm*: $((P::'\alpha \text{ upred}) \vee Q) = (Q \vee P)$
by *pred-tac*

lemma *conj-subst*: $P = R \implies ((P::'\alpha \text{ upred}) \wedge Q) = (R \wedge Q)$
by *pred-tac*

lemma *disj-subst*: $P = R \implies ((P::'\alpha \text{ upred}) \vee Q) = (R \vee Q)$
by *pred-tac*

lemma *conj-assoc*: $((P::'\alpha \text{ upred}) \wedge Q) \wedge S = (P \wedge (Q \wedge S))$
by *pred-tac*

lemma *disj-assoc*: $((P::'\alpha \text{ upred}) \vee Q) \vee S = (P \vee (Q \vee S))$
by *pred-tac*

lemma *conj-disj-abs*: $((P::'\alpha \text{ upred}) \wedge (P \vee Q)) = P$
by *pred-tac*

lemma *disj-conj-abs*: $((P::'\alpha \text{ upred}) \vee (P \wedge Q)) = P$
by *pred-tac*

lemma *conj-disj-distr*: $((P::'\alpha \text{ upred}) \wedge (Q \vee R)) = ((P \wedge Q) \vee (P \wedge R))$
by *pred-tac*

lemma *disj-conj-distr*: $((P::'\alpha \text{ upred}) \vee (Q \wedge R)) = ((P \vee Q) \wedge (P \vee R))$
by *pred-tac*

lemma *true-disj-zero* [*simp*]:
 $(P \vee \text{true}) = \text{true} \quad (\text{true} \vee P) = \text{true}$
by (*pred-tac*) (*pred-tac*)

lemma *true-conj-zero* [*simp*]:
 $(P \wedge \text{false}) = \text{false} \quad (\text{false} \wedge P) = \text{false}$
by (*pred-tac*) (*pred-tac*)

lemma *imp-vacuous* [*simp*]: $(\text{false} \Rightarrow u) = \text{true}$
by *pred-tac*

lemma *imp-true* [*simp*]: $(p \Rightarrow \text{true}) = \text{true}$
by *pred-tac*

lemma *true-imp* [*simp*]: $(\text{true} \Rightarrow p) = p$
by *pred-tac*

lemma *p-and-not-p* [*simp*]: $(P \wedge \neg P) = \text{false}$
by *pred-tac*

lemma *p-or-not-p* [*simp*]: $(P \vee \neg P) = \text{true}$
by *pred-tac*

lemma *p-imp-p* [*simp*]: $(P \Rightarrow P) = \text{true}$
by *pred-tac*

lemma *p-iff-p* [simp]: $(P \Leftrightarrow P) = \text{true}$
by *pred-tac*

lemma *p-imp-false* [simp]: $(P \Rightarrow \text{false}) = (\neg P)$
by *pred-tac*

lemma *not-conj-deMorgans* [simp]: $(\neg ((P::'\alpha \text{ upred}) \wedge Q)) = ((\neg P) \vee (\neg Q))$
by *pred-tac*

lemma *not-disj-deMorgans* [simp]: $(\neg ((P::'\alpha \text{ upred}) \vee Q)) = ((\neg P) \wedge (\neg Q))$
by *pred-tac*

lemma *conj-disj-not-abs* [simp]: $((P::'\alpha \text{ upred}) \wedge ((\neg P) \vee Q)) = (P \wedge Q)$
by (*pred-tac*)

lemma *double-negation* [simp]: $(\neg \neg (P::'\alpha \text{ upred})) = P$
by (*pred-tac*)

lemma *true-not-false* [simp]: $\text{true} \neq \text{false} \text{ false} \neq \text{true}$
by (*pred-tac, metis*)+

lemma *closure-conj-distr*: $([P]_u \wedge [Q]_u) = [P \wedge Q]_u$
by *pred-tac*

lemma *closure-imp-distr*: $'[P \Rightarrow Q]_u \Rightarrow [P]_u \Rightarrow [Q]_u'$
by *pred-tac*

lemma *true-iff* [simp]: $(P \Leftrightarrow \text{true}) = P$
by *pred-tac*

lemma *impl-alt-def*: $(P \Rightarrow Q) = (\neg P \vee Q)$
by *pred-tac*

lemma *shEx-bool* [simp]: $\text{shEx } P = (P \text{ True} \vee P \text{ False})$
by (*pred-tac, metis (full-types)*)

lemma *shAll-bool* [simp]: $\text{shAll } P = (P \text{ True} \wedge P \text{ False})$
by (*pred-tac, metis (full-types)*)

lemma *upred-eq-true* [simp]: $(p =_u \text{ true}) = p$
by *pred-tac*

lemma *upred-eq-false* [simp]: $(p =_u \text{ false}) = (\neg p)$
by *pred-tac*

lemma *one-point*:
assumes *uvar* $x \not\# v$
shows $(\exists x \cdot (P \wedge (\text{var } x =_u v))) = P[v/x]$
using *assms*
by (*simp add: upred-defs, transfer, auto*)

lemma *uvar-assign-exists*:
 $\text{uvar } x \Longrightarrow \exists v. b = \text{var-assign } x \ v \ b$
by (*rule-tac x=var-lookup x b in exI, simp*)

```

lemma uvar-obtain-assign:
  assumes uvar x
  obtains v where b = var-assign x v b
  using assms
  by (drule-tac uvar-assign-exists[of - b], auto)

lemma taut-split-subst:
  assumes uvar x
  shows 'P'  $\longleftrightarrow$  ( $\forall v. 'P[\llbracket v \rrbracket/x]$ )
  using assms
  by (pred-tac, metis uvar-assign-exists)

lemma eq-split:
  assumes 'P  $\Rightarrow$  Q' 'Q  $\Rightarrow$  P'
  shows P = Q
  using assms
  by (pred-tac)

lemma subst-bool-split:
  assumes uvar x
  shows 'P' = 'P  $\llbracket false \rrbracket/x \wedge P \llbracket true \rrbracket/x$ '
proof -
  from assms have 'P' = ( $\forall v. 'P[\llbracket v \rrbracket/x]$ )
    by (subst taut-split-subst[of x], auto)
  also have ... = ('P  $\llbracket \llbracket True \rrbracket/x \rrbracket$ '  $\wedge$  'P  $\llbracket \llbracket False \rrbracket/x \rrbracket$ ')
    by (metis (mono-tags, lifting))
  also have ... = 'P  $\llbracket false \rrbracket/x \wedge P \llbracket true \rrbracket/x$ '
    by (pred-tac)
  finally show ?thesis .
qed

lemma taut-iff-eq:
  'P  $\Leftrightarrow$  Q'  $\longleftrightarrow$  (P = Q)
  by pred-tac

lemma subst-eq-replace:
  fixes x :: ('a, 'α) uvar
  shows (p  $\llbracket u/x \rrbracket \wedge u =_u v$ ) = (p  $\llbracket v/x \rrbracket \wedge u =_u v$ )
  by pred-tac

```

6.7 Quantifier lifting

named-theorems *uquant-lift*

```

lemma shEx-lift-conj-1 [uquant-lift]:
  (( $\exists x. P(x)$ )  $\wedge$  Q) = ( $\exists x. P(x) \wedge Q$ )
  by pred-tac

lemma shEx-lift-conj-2 [uquant-lift]:
  (P  $\wedge$  ( $\exists x. Q(x)$ )) = ( $\exists x. P \wedge Q(x)$ )
  by pred-tac

```

end

7 Alphabetised relations

theory *utp-rel*

imports

utp-pred

begin

default-sort *type*

named-theorems *urel-defs*

consts

useq :: '*a* \Rightarrow '*b* \Rightarrow '*c* (**infixr** ;; 15)

uskip :: '*a* (*II*)

definition *in α* :: (' α , ' $\alpha \times \beta$) *uvar* **where**

in α = (λ *var-lookup* = *fst*, *var-update* = λ *f* (*A*, *A'*). (*f* *A*, *A'*))

definition *out α* :: (' β , ' $\alpha \times \beta$) *uvar* **where**

out α = (λ *var-lookup* = *snd*, *var-update* = λ *f* (*A*, *A'*). (*A*, *f* *A'*))

declare *in α -def* [*urel-defs*]

declare *out α -def* [*urel-defs*]

type-synonym ' α *condition* = ' α *upred*

type-synonym (' α , ' β) *relation* = (' $\alpha \times \beta$) *upred*

type-synonym ' α *hrelation* = (' $\alpha \times \alpha$) *upred*

definition *cond*::(' α , ' β) *relation* \Rightarrow (' α , ' β) *relation* \Rightarrow (' α , ' β) *relation* \Rightarrow (' α , ' β) *relation*
 $((\exists - \triangleleft - \triangleright / -) [14,0,15] \ 14)$

where (*P* \triangleleft *b* \triangleright *Q*) \equiv (*b* \wedge *P*) \vee (\neg *b*) \wedge *Q*)

abbreviation *rcond*::(' α , ' β) *relation* \Rightarrow ' α *condition* \Rightarrow (' α , ' β) *relation* \Rightarrow (' α , ' β) *relation*
 $((\exists - \triangleleft - \triangleright_r / -) [14,0,15] \ 14)$

where (*P* \triangleleft *b* \triangleright_r *Q*) \equiv (*P* \triangleleft [*b*]_< \triangleright *Q*)

lift-definition *segr*::((' $\alpha \times \beta$) *upred*) \Rightarrow ((' $\beta \times \gamma$) *upred*) \Rightarrow (' $\alpha \times \gamma$) *upred*

is λ *P Q r*. *r* : ($\{p. P\ p\}$ *O* $\{q. Q\ q\}$) .

lift-definition *conv-r* :: ('*a*, ' $\alpha \times \beta$) *uexpr* \Rightarrow ('*a*, ' $\beta \times \alpha$) *uexpr* ($-$ [999] 999)

is λ *e* (*b1*, *b2*). *e* (*b2*, *b1*) .

lift-definition *assigns-r* :: ' α *usubst* \Rightarrow ' α *hrelation* ($\langle - \rangle_a$)

is λ σ (*A*, *A'*). *A'* = σ (*A*) .

definition *skip-r* :: ' α *hrelation* **where**

skip-r = *assigns-r* *id*

abbreviation *assign-r* :: ('*t*, ' α) *uvar* \Rightarrow ('*t*, ' α) *uexpr* \Rightarrow ' α *hrelation*

where *assign-r* *x v* \equiv *assigns-r* [*x* \mapsto_s *v*]

abbreviation *assign-2-r* ::

('*t1*, ' α) *uvar* \Rightarrow ('*t2*, ' α) *uvar* \Rightarrow ('*t1*, ' α) *uexpr* \Rightarrow ('*t2*, ' α) *uexpr* \Rightarrow ' α *hrelation*

where *assign-2-r* *x y u v* \equiv *assigns-r* [*x* \mapsto_s *u*, *y* \mapsto_s *v*]

nonterminal

id-list and *uexpr-list*

syntax

$-id-unit \quad :: id \Rightarrow id-list \ (-)$
 $-id-list \quad :: id \Rightarrow id-list \Rightarrow id-list \ (-, / \ -)$
 $-uexpr-unit \quad :: ('a, 'α) uexpr \Rightarrow uexpr-list \ (- [40] \ 40)$
 $-uexpr-list \quad :: ('a, 'α) uexpr \Rightarrow uexpr-list \Rightarrow uexpr-list \ (-, / \ - [40, 40] \ 40)$
 $-assignment \quad :: svars \Rightarrow uexprs \Rightarrow 'α hrelation \ (\mathbf{infixr} := 35)$
 $-mk-usubst \quad :: svars \Rightarrow uexpr-list \Rightarrow 'α usubst$

translations

$-mk-usubst \ (-svar \ x) \ (-uexpr-unit \ v) == [x \mapsto_s v]$
 $-mk-usubst \ (-id-list \ x \ xs) \ (-uexpr-list \ v \ vs) == (-mk-usubst \ xs \ vs)(x \mapsto_s v)$
 $-assignment \ xs \ vs ==> CONST \ assigns-r \ (-psubst \ (CONST \ id) \ xs \ vs)$
 $x := v <= CONST \ assign-r \ x \ v$
 $x, y := u, v <= CONST \ assign-2-r \ x \ y \ u \ v$

ad hoc overloading

$useq \ seqr \ \mathbf{and}$
 $uskip \ skip-r$

method $rel-tac = ((simp \ add: \ upred-defs \ urel-defs)?, (transfer, (rule-tac \ ext)?, auto \ simp \ add: \ urel-defs \ relcomp-unfold)?)$

A test is like a precondition, except that it identifies to the postcondition. It forms the basis for Kleene Algebra with Tests (KAT).

definition $lift-test \quad :: 'α \ condition \Rightarrow 'α \ hrelation \ ([\cdot]_t)$
where $[b]_t = ([b]_< \wedge II)$

declare $cond-def \ [urel-defs]$
declare $skip-r-def \ [urel-defs]$

7.1 Unrestriction Laws

lemma $unrest-iuvar \ [unrest]: uvar \ x \Longrightarrow outα \ \# \ x
by $(simp \ add: \ outα-def \ iuvar-def, transfer, auto)$

lemma $unrest-ouvar \ [unrest]: uvar \ x \Longrightarrow inα \ \# \ x'
by $(simp \ add: \ inα-def \ ouvar-def, transfer, auto)$

lemma $unrest-inα-var \ [unrest]:$
 $\llbracket uvar \ x; inα \ \# \ P \rrbracket \Longrightarrow \$x \ \# \ P$
by $(pred-tac, simp \ add: \ inα-def)$

lemma $unrest-outα-var \ [unrest]:$
 $\llbracket uvar \ x; outα \ \# \ P \rrbracket \Longrightarrow \$x' \ \# \ P$
by $(pred-tac, simp \ add: \ outα-def)$

lemma $inα-uvar \ [simp]: uvar \ inα$
by $(unfold-locales, auto \ simp \ add: \ inα-def)$

lemma $outα-uvar \ [simp]: uvar \ outα$
by $(unfold-locales, auto \ simp \ add: \ outα-def)$

lemma $unrest-pre-outα \ [unrest]: outα \ \# \ [b]_<$

by (transfer, auto simp add: out α -def)

lemma unrest-post-in α [unrest]: in α $\#$ $\lceil b \rceil_{>}$
 by (transfer, auto simp add: in α -def)

lemma unrest-pre-in-var [unrest]:
 $x \# p1 \implies \$x \# \lceil p1 \rceil_{<}$
 by (transfer, simp)

lemma unrest-post-out-var [unrest]:
 $x \# p1 \implies \$x' \# \lceil p1 \rceil_{>}$
 by (transfer, simp)

lemma unrest-convr-out α [unrest]:
 $\text{in}\alpha \# p \implies \text{out}\alpha \# p^-$
 by (transfer, auto simp add: in α -def out α -def)

lemma unrest-convr-in α [unrest]:
 $\text{out}\alpha \# p \implies \text{in}\alpha \# p^-$
 by (transfer, auto simp add: in α -def out α -def)

7.2 Substitution laws

It should be possible to substantially generalise the following two laws

lemma usubst-seq-left [usubst]:
 $\llbracket \text{uvar } x; \text{out}\alpha \# v \rrbracket \implies (P ;; Q) \llbracket v / \$x \rrbracket = ((P \llbracket v / \$x \rrbracket) ;; Q)$
 apply (rel-tac)
 apply (rename-tac x v P Q a y ya)
 apply (rule-tac x=ya in exI)
 apply (simp)
 apply (drule-tac x=a in spec)
 apply (drule-tac x=y in spec)
 apply (drule-tac x= λ -.ya in spec)
 apply (simp)
 apply (rename-tac x v P Q a ba y)
 apply (rule-tac x=y in exI)
 apply (drule-tac x=a in spec)
 apply (drule-tac x=y in spec)
 apply (drule-tac x= λ -.ba in spec)
 apply (simp)
 done

lemma usubst-seq-right [usubst]:
 $\llbracket \text{uvar } x; \text{in}\alpha \# v \rrbracket \implies (P ;; Q) \llbracket v / \$x' \rrbracket = (P ;; Q \llbracket v / \$x' \rrbracket)$
 apply (rel-tac)
 apply (rename-tac x v P Q b xa ya)
 apply (rule-tac x=ya in exI)
 apply (simp)
 apply (drule-tac x=ya in spec)
 apply (drule-tac x=b in spec)
 apply (drule-tac x= λ -.xa in spec)
 apply (simp)
 apply (rename-tac x v P Q b aa y)
 apply (rule-tac x=y in exI)
 apply (simp)


```

  apply (drule-tac x=aa in spec)
  apply (drule-tac x=b in spec)
  apply (drule-tac x=λ-.y in spec)
  apply (simp)
done

```

7.3 Lifting laws

```

lemma lift-pre-conj [ulift]:  $[p \wedge q]_< = ([p]_< \wedge [q]_<)$ 
  by (pred-tac)

```

```

lemma lift-post-conj [ulift]:  $[p \wedge q]_> = ([p]_> \wedge [q]_>)$ 
  by (pred-tac)

```

```

lemma lift-pre-disj [ulift]:  $[p \vee q]_< = ([p]_< \vee [q]_<)$ 
  by (pred-tac)

```

```

lemma lift-post-disj [ulift]:  $[p \vee q]_> = ([p]_> \vee [q]_>)$ 
  by (pred-tac)

```

```

lemma lift-pre-not [ulift]:  $[\neg p]_< = (\neg [p]_<)$ 
  by (pred-tac)

```

```

lemma lift-post-not [ulift]:  $[\neg p]_> = (\neg [p]_>)$ 
  by (pred-tac)

```

7.4 Relation laws

Homogeneous relations form a quantale

```

abbreviation truer :: 'α hrelation (trueh) where
  truer ≡ true

```

```

abbreviation falser :: 'α hrelation (falseh) where
  falser ≡ false

```

```

interpretation upred-quantale: unital-quantale-plus

```

```

  where times = seqr and one = skip-r and Sup = Sup and Inf = Inf and inf = inf and less-eq =
  less-eq and less = less

```

```

  and sup = sup and bot = bot and top = top

```

```

  apply (unfold-locales)

```

```

  apply (rel-tac)

```

```

  apply (unfold SUP-def, transfer, auto)

```

```

  apply (unfold SUP-def, transfer, auto)

```

```

  apply (unfold INF-def, transfer, auto)

```

```

  apply (unfold INF-def, transfer, auto)

```

```

  apply (rel-tac)

```

```

  apply (rel-tac)

```

```

done

```

```

lemma drop-pre-inv [simp]:  $\llbracket \text{out}\alpha \# p \rrbracket \implies \llbracket [p]_< \rrbracket_< = p$ 
  apply (pred-tac, auto simp add: outα-def)
  apply (rename-tac p a b)
  apply (drule-tac x=a in spec)
  apply (drule-tac x=b in spec)
  apply (drule-tac x=λ -. a in spec)

```

apply (*simp*)
done

abbreviation *ustar* :: ' α *hrelation* \Rightarrow ' α *hrelation* ($-^*_u$ [999] 999) **where**
 $P^*_u \equiv \text{unital-quantale.qstar } II \text{ op } ;; \text{ Sup } P$

definition *while* :: ' α *condition* \Rightarrow ' α *hrelation* \Rightarrow ' α *hrelation* (*while* - *do* - *od*) **where**
 $\text{while } b \text{ do } P \text{ od} = (([b]_< \wedge P)^*_u \wedge (\neg [b]_>))$

declare *while-def* [*urel-defs*]

lemma *cond-idem*: $(P \triangleleft b \triangleright P) = P$ **by** *rel-tac*

lemma *cond-symm*: $(P \triangleleft b \triangleright Q) = (Q \triangleleft \neg b \triangleright P)$ **by** *rel-tac*

lemma *cond-assoc*: $((P \triangleleft b \triangleright Q) \triangleleft c \triangleright R) = (P \triangleleft b \wedge c \triangleright (Q \triangleleft c \triangleright R))$ **by** *rel-tac*

lemma *cond-distr*: $(P \triangleleft b \triangleright (Q \triangleleft c \triangleright R)) = ((P \triangleleft b \triangleright Q) \triangleleft c \triangleright (P \triangleleft b \triangleright R))$ **by** *rel-tac*

lemma *cond-unit-T*: $(P \triangleleft \text{true} \triangleright Q) = P$ **by** *rel-tac*

lemma *cond-unit-F*: $(P \triangleleft \text{false} \triangleright Q) = Q$ **by** *rel-tac*

lemma *cond-L6*: $(P \triangleleft b \triangleright (Q \triangleleft b \triangleright R)) = (P \triangleleft b \triangleright R)$ **by** *rel-tac*

lemma *cond-L7*: $(P \triangleleft b \triangleright (P \triangleleft c \triangleright Q)) = (P \triangleleft b \vee c \triangleright Q)$ **by** *rel-tac*

lemma *cond-and-distr*: $((P \wedge Q) \triangleleft b \triangleright (R \wedge S)) = ((P \triangleleft b \triangleright R) \wedge (Q \triangleleft b \triangleright S))$ **by** *rel-tac*

lemma *cond-or-distr*: $((P \vee Q) \triangleleft b \triangleright (R \vee S)) = ((P \triangleleft b \triangleright R) \vee (Q \triangleleft b \triangleright S))$ **by** *rel-tac*

lemma *cond-imp-distr*:
 $((P \Rightarrow Q) \triangleleft b \triangleright (R \Rightarrow S)) = ((P \triangleleft b \triangleright R) \Rightarrow (Q \triangleleft b \triangleright S))$ **by** *rel-tac*

lemma *cond-eq-distr*:
 $((P \Leftrightarrow Q) \triangleleft b \triangleright (R \Leftrightarrow S)) = ((P \triangleleft b \triangleright R) \Leftrightarrow (Q \triangleleft b \triangleright S))$ **by** *rel-tac*

lemma *comp-cond-left-distr*:
 $((P \triangleleft b \triangleright_r Q) ;; R) = ((P ;; R) \triangleleft b \triangleright_r (Q ;; R))$
by *rel-tac*

These laws may seem to duplicate quantale laws, but they don't – they are applicable to non-homogeneous relations as well, which will become important later.

lemma *seqr-assoc*: $(P ;; (Q ;; R)) = ((P ;; Q) ;; R)$
by *rel-tac*

lemma *seqr-left-unit* [*simp*]:
 $(II ;; P) = P$
by *rel-tac*

lemma *seqr-right-unit* [*simp*]:
 $(P ;; II) = P$
by *rel-tac*

lemma *seqr-left-zero* [*simp*]:

$(\text{false} ;; P) = \text{false}$
by *pred-tac*

lemma *seqr-right-zero* [*simp*]:
 $(P ;; \text{false}) = \text{false}$
by *pred-tac*

lemma *pre-skip-post*: $(\lceil b \rceil_{<} \wedge II) = (II \wedge \lceil b \rceil_{>})$
by (*rel-tac*)

We should be able to generalise this law to arbitrary assignments at some point, but that requires additional conversion operators for substitutions that act only on *in* α .

lemma *assign-subst* [*usubst*]:
 $\llbracket \text{uvar } x; \text{uvar } y \rrbracket \Longrightarrow [\$x \mapsto_s \lceil u \rceil_{<}] \dagger (y := v) = (x, y := u, [x \mapsto_s u] \dagger v)$
by *rel-tac*

lemma *assigns-idem*: $\text{uvar } x \Longrightarrow (x, x := u, v) = (x := v)$
by (*simp add: usubst*)

lemma *assigns-comp*: $(\text{assigns-r } f ;; \text{assigns-r } g) = \text{assigns-r } (g \circ f)$
by (*transfer, auto simp add: relcomp-unfold*)

lemma *assigns-r-comp*: $\text{uvar } x \Longrightarrow (\langle \sigma \rangle_a ;; P) = (\lceil \sigma \rceil_s \dagger P)$
by *rel-tac*

lemma *assign-r-comp*: $\text{uvar } x \Longrightarrow (x := u ;; P) = ([\$x \mapsto_s \lceil u \rceil_{<}] \dagger P)$
by (*simp add: assigns-r-comp usubst*)

lemma *assign-test*: $\text{uvar } x \Longrightarrow (x := \langle u \rangle ;; x := \langle v \rangle) = (x := \langle v \rangle)$
by (*simp add: assigns-comp subst-upd-comp subst-lit usubst-upd-idem*)

lemma *seqr-or-distl*:
 $((P \vee Q) ;; R) = ((P ;; R) \vee (Q ;; R))$
by *rel-tac*

lemma *seqr-or-distr*:
 $(P ;; (Q \vee R)) = ((P ;; Q) \vee (P ;; R))$
by *rel-tac*

lemma *seqr-middle*:
assumes *uvar x*
shows $(P ;; Q) = (\exists v \cdot P \llbracket \langle v \rangle / \$x \rrbracket ;; Q \llbracket \langle v \rangle / \$x \rrbracket)$
using *assms*
apply (*rel-tac*)
apply (*rename-tac xa P Q a b y*)
apply (*rule-tac x=var-lookup xa y in exI*)
apply (*rule-tac x=y in exI*)
apply (*simp*)
done

theorem *precond-equiv*:
 $P = (P ;; \text{true}) \longleftrightarrow (\text{out}\alpha \# P)$
apply (*rel-tac*)
apply (*metis case-prodI*)
apply (*metis case-prodI*)

```

apply (rule ext)
apply (auto)
apply (rename-tac P a b y)
apply (drule-tac x=a in spec)
apply (drule-tac x=b in spec)
apply (drule-tac x=λ -.y in spec)
apply (simp)
done

```

```

theorem postcond-equiv:
  P = (true ;; P)  $\longleftrightarrow$  (inα # P)
apply (rel-tac)
apply (metis case-prodI)
apply (metis case-prodI)
apply (rule ext)
apply (auto)
apply (rename-tac P a b y)
apply (drule-tac x=a in spec)
apply (drule-tac x=b in spec)
apply (drule-tac x=λ -.y in spec)
apply (simp)
done

```

```

lemma precond-right-unit: outα # p  $\implies$  (p ;; true) = p
using precond-equiv by force

```

```

lemma postcond-left-unit: inα # p  $\implies$  (true ;; p) = p
using postcond-equiv by force

```

```

theorem precond-left-zero:
  assumes outα # p p  $\neq$  false
  shows (true ;; p) = true
  using assms
  apply (simp add: outα-def upred-defs)
  apply (transfer, auto simp add: relcomp-unfold, rule ext, auto)
  apply (rename-tac p b)
  apply (subgoal-tac  $\exists$  b1 b2. p (b1, b2))
  apply (auto)
  apply (rule-tac x=b1 in exI)
  apply (drule-tac x=b1 in spec)
  apply (drule-tac x=b2 in spec)
  apply (drule-tac x=λ -. b in spec)
  apply (simp)
done

```

7.5 Converse laws

```

lemma convr-invol [simp]: p- - = p
by pred-tac

```

```

lemma lit-convr [simp]:  $\ll v \gg^- = \ll v \gg$ 
by pred-tac

```

```

lemma uivar-convr [simp]:
  fixes x :: ('a, 'α) uvar
  shows ($x)- = $x'

```

by *pred-tac*

lemma *uovar-convr* [*simp*]:
 fixes $x :: ('a, 'α) \text{uvar}$
 shows $(\$x')^- = \x
 by *pred-tac*

lemma *uop-convr* [*simp*]: $(uop\ f\ u)^- = uop\ f\ (u^-)$
 by (*pred-tac*)

lemma *bop-convr* [*simp*]: $(bop\ f\ u\ v)^- = bop\ f\ (u^-)\ (v^-)$
 by (*pred-tac*)

lemma *eq-convr* [*simp*]: $(p =_u q)^- = (p^- =_u q^-)$
 by (*pred-tac*)

lemma *disj-convr* [*simp*]: $(p \vee q)^- = (q^- \vee p^-)$
 by (*pred-tac*)

lemma *conj-convr* [*simp*]: $(p \wedge q)^- = (q^- \wedge p^-)$
 by (*pred-tac*)

lemma *seqr-convr* [*simp*]: $(p ;; q)^- = (q^- ;; p^-)$
 by *rel-tac*

theorem *seqr-pre-transfer*: $\text{in}\alpha \nmid q \implies ((P \wedge q) ;; R) = (P ;; (q^- \wedge R))$
 apply (*rel-tac*)
 apply (*rename-tac* $q\ P\ R\ a\ b\ y$)
 apply (*rule-tac* $x=y$ **in** *exI*, *simp*)
 apply (*drule-tac* $x=b$ **in** *spec*, *drule-tac* $x=y$ **in** *spec*, *drule-tac* $x=\lambda-.a$ **in** *spec*, *simp*)
 apply (*rename-tac* $q\ P\ R\ a\ b\ y$)
 apply (*rule-tac* $x=y$ **in** *exI*, *simp*)
 apply (*drule-tac* $x=a$ **in** *spec*, *drule-tac* $x=y$ **in** *spec*, *drule-tac* $x=\lambda-.b$ **in** *spec*, *simp*)
 done

theorem *seqr-post-out*: $\text{in}\alpha \nmid r \implies (P ;; (Q \wedge r)) = ((P ;; Q) \wedge r)$
 apply (*rel-tac*)
 apply (*rename-tac* $r\ P\ Q\ a\ b\ y$)
 apply (*drule-tac* $x=a$ **in** *spec*, *drule-tac* $x=b$ **in** *spec*, *drule-tac* $x=\lambda-.y$ **in** *spec*, *simp*)
 apply (*rename-tac* $r\ P\ Q\ a\ b\ y$)
 apply (*rule-tac* $x=y$ **in** *exI*)
 apply (*simp*, *drule-tac* $x=a$ **in** *spec*, *drule-tac* $x=b$ **in** *spec*, *drule-tac* $x=\lambda-.y$ **in** *spec*, *simp*)
 done

theorem *seqr-post-transfer*: $\text{out}\alpha \nmid q \implies (P ;; (q \wedge R)) = (P \wedge q^- ;; R)$
 by (*simp* *add*: *seqr-pre-transfer* *unrest-convr-in* α)

lemma *seqr-pre-out*: $\text{out}\alpha \nmid p \implies ((p \wedge Q) ;; R) = (p \wedge (Q ;; R))$
 apply (*rel-tac*)
 apply (*rename-tac* $p\ Q\ R\ a\ b\ y$)
 apply (*drule-tac* $x=a$ **in** *spec*, *drule-tac* $x=b$ **in** *spec*, *drule-tac* $x=\lambda-.y$ **in** *spec*, *simp*)
 apply (*rename-tac* $p\ Q\ R\ a\ b\ y$)
 apply (*rule-tac* $x=y$ **in** *exI*)
 apply (*simp*, *drule-tac* $x=a$ **in** *spec*, *drule-tac* $x=b$ **in** *spec*, *drule-tac* $x=\lambda-.y$ **in** *spec*, *simp*)
 done

lemma *seqr-true-lemma*:
 $(P = (\neg (\neg P ;; \text{true}))) = (P = (P ;; \text{true}))$
apply (*rel-tac*)
apply (*rule ext*)
apply (*auto*)
apply (*metis case-prodI*)
apply (*rule ext*)
apply (*auto*)
apply (*metis case-prodI*)
done

lemma *shEx-lift-seq [uquant-lift]*:
 $((\exists x \cdot P(x)) ;; (\exists y \cdot Q(y))) = (\exists x \cdot \exists y \cdot P(x) ;; Q(y))$
by *pred-tac*

While loop laws

lemma *while-cond-true*:
 $((\text{while } b \text{ do } P \text{ od}) \wedge [b]_{<}) = ((P \wedge [b]_{<}) ;; \text{while } b \text{ do } P \text{ od})$
proof –
have $(\text{while } b \text{ do } P \text{ od} \wedge [b]_{<}) = ((([b]_{<} \wedge P)^*_u \wedge (\neg [b]_{>})) \wedge [b]_{<})$
by (*simp add: while-def*)
also have $\dots = (((II \vee ([b]_{<} \wedge P) ;; ([b]_{<} \wedge P)^*_u)) \wedge \neg [b]_{>} \wedge [b]_{<})$
by (*simp add: disj-upred-def*)
also have $\dots = ([b]_{<} \wedge (II \vee ([b]_{<} \wedge P) ;; ([b]_{<} \wedge P)^*_u)) \wedge (\neg [b]_{>})$
by (*simp add: conj-comm utp-pred.inf.left-commute*)
also have $\dots = ((([b]_{<} \wedge II) \vee ([b]_{<} \wedge ([b]_{<} \wedge P) ;; ([b]_{<} \wedge P)^*_u))) \wedge (\neg [b]_{>})$
by (*simp add: conj-disj-distr*)
also have $\dots = ((([b]_{<} \wedge II) \vee ([b]_{<} \wedge P) ;; ([b]_{<} \wedge P)^*_u)) \wedge (\neg [b]_{>})$
by (*subst seqr-pre-out[THEN sym], simp add: unrest, rel-tac*)
also have $\dots = (((II \wedge [b]_{>}) \vee ([b]_{<} \wedge P) ;; ([b]_{<} \wedge P)^*_u)) \wedge (\neg [b]_{>})$
by (*simp add: pre-skip-post*)
also have $\dots = ((II \wedge [b]_{>} \wedge \neg [b]_{>}) \vee ([b]_{<} \wedge P) ;; ([b]_{<} \wedge P)^*_u) \wedge (\neg [b]_{>})$
by (*simp add: utp-pred.inf.assoc utp-pred.inf-sup-distrib2*)
also have $\dots = ((([b]_{<} \wedge P) ;; ([b]_{<} \wedge P)^*_u)) \wedge (\neg [b]_{>})$
by *simp*
also have $\dots = ([b]_{<} \wedge P) ;; ((([b]_{<} \wedge P)^*_u) \wedge (\neg [b]_{>}))$
by (*simp add: seqr-post-out unrest*)
also have $\dots = ((P \wedge [b]_{<}) ;; \text{while } b \text{ do } P \text{ od})$
by (*simp add: utp-pred.inf-commute while-def*)
finally show *?thesis* .
qed

lemma *while-cond-false*:
 $((\text{while } b \text{ do } P \text{ od}) \wedge (\neg [b]_{<})) = (II \wedge \neg [b]_{<})$
proof –
have $(\text{while } b \text{ do } P \text{ od} \wedge (\neg [b]_{<})) = ((([b]_{<} \wedge P)^*_u \wedge (\neg [b]_{>})) \wedge (\neg [b]_{<}))$
by (*simp add: while-def*)
also have $\dots = (((II \vee ([b]_{<} \wedge P) ;; ([b]_{<} \wedge P)^*_u)) \wedge \neg [b]_{>} \wedge (\neg [b]_{<}))$
by (*simp add: disj-upred-def*)
also have $\dots = (((II \wedge \neg [b]_{>}) \wedge \neg [b]_{<}) \vee ((\neg [b]_{<}) \wedge ((([b]_{<} \wedge P) ;; ([b]_{<} \wedge P)^*_u)) \wedge \neg [b]_{>})))$
by (*simp add: conj-disj-distr utp-pred.inf.commute*)
also have $\dots = (((II \wedge \neg [b]_{>}) \wedge \neg [b]_{<}) \vee (((\neg [b]_{<}) \wedge ([b]_{<} \wedge P) ;; ([b]_{<} \wedge P)^*_u)) \wedge \neg [b]_{>})))$
by (*simp add: seqr-pre-out unrest-not unrest-pre-out α utp-pred.inf.assoc*)
also have $\dots = ((II \wedge \neg [b]_{>}) \wedge \neg [b]_{<}) \vee (((\text{false} ;; ([b]_{<} \wedge P)^*_u)) \wedge \neg [b]_{>}))$

```

    by (simp add: conj-comm utp-pred.inf.left-commute)
  also have ... = ((II ∧ ¬ [b]>) ∧ ¬ [b]<))
    by simp
  also have ... = (II ∧ ¬ [b]<))
    by rel-tac
  finally show ?thesis .
qed

```

theorem *while-unfold*:

```

  while b do P od = ((P ;; while b do P od) ◁ b ▷r II)
  by (metis (no-types, hide-lams) bounded-semilattice-sup-bot-class.sup-bot.left-neutral comp-cond-left-distr
    cond-def cond-idem disj-comm disj-upred-def seqr-right-zero upred-quantale.bot-zero utp-pred.inf-bot-right
    utp-pred.inf-commute while-cond-false while-cond-true)

```

end

7.6 Weakest precondition calculus

```

theory utp-wp
imports utp-rel
begin

```

A very quick implementation of wp – more laws still needed!

named-theorems *wp*

```

method wp-tac = (simp add: wp)

```

consts

```

  wwp :: 'a ⇒ 'b ⇒ 'c (infix wp 60)

```

definition *wp-upred* :: ('α, 'β) relation ⇒ 'β condition ⇒ 'α condition **where**
wp-upred Q r = [¬ (Q ;; ¬ [r]<)]<

adhoc-overloading

```

  wwp wp-upred

```

declare *wp-upred-def* [urel-defs]

theorem *wp-assigns-r* [wp]:

```

  (assigns-r σ) wp r = σ † r

```

by *rel-tac*

theorem *wp-skip-r* [wp]:

```

  II wp r = r

```

by *rel-tac*

theorem *wp-true* [wp]:

```

  r ≠ true ⇒ true wp r = false

```

by *rel-tac*

theorem *wp-conj* [wp]:

```

  P wp (q ∧ r) = (P wp q ∧ P wp r)

```

by *rel-tac*

theorem *wp-seq-r* [wp]: (P ;; Q) wp r = P wp (Q wp r)

by *rel-tac*

theorem *wp-cond* [*wp*]: $(P \triangleleft b \triangleright_r Q) \text{ wp } r = ((b \Rightarrow P \text{ wp } r) \wedge ((\neg b) \Rightarrow Q \text{ wp } r))$
by *rel-tac*

end

8 UTP Theories

theory *utp-theory*
imports *utp-rel*
begin

type-synonym $'\alpha \text{ Healthiness-condition} = '\alpha \text{ upred} \Rightarrow '\alpha \text{ upred}$

definition

Healthy:: $'\alpha \text{ upred} \Rightarrow '\alpha \text{ Healthiness-condition} \Rightarrow \text{bool}$ (**infix** *is* 30)
where $P \text{ is } H \equiv (P = H P)$

lemma *Healthy-def'*: $P \text{ is } H \longleftrightarrow (H P = P)$
unfolding *Healthy-def* **by** *auto*

declare *Healthy-def'* [*upred-defs*]

end

9 Example UTP theory: Boyle's laws

theory *utp-boyle*
imports *utp-theory*
begin

Boyle's law states that $k = p * V$ is invariant. We here encode this as a simple UTP theory. We first create a record to represent the alphabet of the theory consisting of the three variables k , p and V .

record *alpha-boyle* =
boyle-k :: *real*
boyle-p :: *real*
boyle-V :: *real*

For now we have to explicitly cast the fields to UTP variables using the VAR syntactic transformation function – in future we'd like to automate this. We also have to add the definition equations for these variables to the simplification set for predicates to enable automated proof through our tactics.

definition $k = \text{VAR } \textit{boyle-k}$
definition $p = \text{VAR } \textit{boyle-p}$
definition $V = \text{VAR } \textit{boyle-V}$

declare *k-def* [*upred-defs*] **and** *p-def* [*upred-defs*] **and** *V-def* [*upred-defs*]

Next we state Boyle's law using the healthiness condition B and likewise add it to the UTP predicate definitional equation set. The syntax differs a little from UTP; we try not to override HOL constants and so UTP predicate equality is subscripted. Moreover to distinguish variables

standing for a predicate (like ϕ) from variables standing for UTP variables we have to prepend the latter with an ampersand.

definition $B(\varphi) = ((\exists k \cdot \varphi) \wedge (\&k =_u \&p * \&V))$

declare $B\text{-def}$ [*upred-defs*]

We can then prove that B is both idempotent and monotone simply by application of the predicate tactic.

lemma $B\text{-idempotent}$:

$B(B(P)) = B(P)$

by *pred-tac*

lemma $B\text{-monotone}$:

$X \sqsubseteq Y \implies B(X) \sqsubseteq B(Y)$

by *pred-tac*

We also create some example observations; the first satisfies Boyle's law and the second doesn't.

definition $\varphi_1 = ((\&p =_u 10) \wedge (\&V =_u 5) \wedge (\&k =_u 50))$

definition $\varphi_2 = ((\&p =_u 10) \wedge (\&V =_u 5) \wedge (\&k =_u 100))$

We prove that φ_1 satisfied by Boyle's law by simplication of its definitional equation and then application of the predicate tactic.

lemma $B\text{-}\varphi_1$: φ_1 is B

by (*simp add: $\varphi_1\text{-def}$, pred-tac*)

We prove that φ_2 does not satisfy Boyle's law by showing it's in fact equal to φ_1 . We do this via an automated Isar proof.

lemma $B\text{-}\varphi_2$: $B(\varphi_2) = \varphi_1$

proof –

have $B(\varphi_2) = B((\&p =_u 10) \wedge (\&V =_u 5) \wedge (\&k =_u 100))$

by (*simp add: $\varphi_2\text{-def}$*)

also have $\dots = ((\exists k \cdot (\&p =_u 10) \wedge (\&V =_u 5) \wedge (\&k =_u 100)) \wedge (\&k =_u \&p * \&V))$

by *pred-tac*

also have $\dots = ((\&p =_u 10) \wedge (\&V =_u 5) \wedge (\&k =_u \&p * \&V))$

by *pred-tac*

also have $\dots = ((\&p =_u 10) \wedge (\&V =_u 5) \wedge (\&k =_u 50))$

by *pred-tac*

also have $\dots = \varphi_1$

by (*simp add: $\varphi_1\text{-def}$*)

finally show *?thesis* .

qed

end

10 Designs

theory *utp-designs*

imports

utp-rel

utp-wp

utp-theory

begin

In UTP, in order to explicitly record the termination of a program, a subset of alphabetized relations is introduced. These relations are called designs and their alphabet should contain the special boolean observational variable *ok*. It is used to record the start and termination of a program.

10.1 Definitions

In the following, the definitions of designs alphabets, designs and healthiness (well-formedness) conditions are given. The healthiness conditions of designs are defined by *H1*, *H2*, *H3* and *H4*.

record *alpha-d* = *des-ok*::*bool*

The *ok* variable is defined using the syntactic translation *VAR*

definition *ok* = *VAR des-ok*

declare *ok-def* [*upred-defs*]

lemma *uvar-ok* [*simp*]: *uvar ok*
by (*unfold-locales*, *simp-all add: ok-def*)

type-synonym *'α alphabet-d* = *'α alpha-d-scheme alphabet*

type-synonym (*'a*, *'α*) *uvar-d* = (*'a*, *'α alphabet-d*) *uvar*

type-synonym (*'α*, *'β*) *relation-d* = (*'α alphabet-d*, *'β alphabet-d*) *relation*

type-synonym *'α hrelation-d* = *'α alphabet-d hrelation*

It would be nice to be able to prove some general distributivity properties about these lifting operators. I don't know if that's possible somehow...

lift-definition *lift-desr* :: (*'α*, *'β*) *relation* \Rightarrow (*'α*, *'β*) *relation-d* ($\lceil _ \rceil_D$) **is**
 $\lambda P (A, A'). P (\text{more } A, \text{more } A') .$

lift-definition *drop-desr* :: (*'α*, *'β*) *relation-d* \Rightarrow (*'α*, *'β*) *relation* ($\lfloor _ \rfloor_D$) **is**
 $\lambda P (A, A'). P (\lfloor \text{des-ok} = \text{True}, \dots = A \rfloor, \lfloor \text{des-ok} = \text{True}, \dots = A' \rfloor) .$

definition *design*::(*'α*, *'β*) *relation-d* \Rightarrow (*'α*, *'β*) *relation-d* \Rightarrow (*'α*, *'β*) *relation-d* (**infixl** \vdash 60)
where $P \vdash Q = (\$ok \wedge P \Rightarrow \$ok' \wedge Q)$

An rdesign is a design that uses the Isabelle type system to prevent reference to *ok* in the assumption and commitment.

definition *rdesign*::(*'α*, *'β*) *relation* \Rightarrow (*'α*, *'β*) *relation* \Rightarrow (*'α*, *'β*) *relation-d* (**infixl** \vdash_r 60)
where $(P \vdash_r Q) = \lceil P \rceil_D \vdash \lceil Q \rceil_D$

An ndesign is a normal design, i.e. where the assumption is a condition

definition *ndesign*::*'α condition* \Rightarrow (*'α*, *'β*) *relation* \Rightarrow (*'α*, *'β*) *relation-d* (**infixl** \vdash_n 60)
where $(p \vdash_n Q) = (\lceil p \rceil_{<} \vdash_r Q)$

definition *skip-d* :: *'α hrelation-d* (*II*_{*D*})
where *II*_{*D*} \equiv (*true* \vdash_r *II*)

definition *assigns-d* :: *'α usubst* \Rightarrow *'α hrelation-d*
where *assigns-d* $\sigma = (\text{true} \vdash_r \text{assigns-r } \sigma)$

At some point assignment should be generalised to multiple variables and maybe also for selectors.

abbreviation $assign-d :: ('a, 'α) uvar \Rightarrow ('a, 'α) uexpr \Rightarrow 'α \text{ hrelation-d}$ (**infix** $:=_D$ 40)
where $assign-d\ x\ v \equiv assigns-d\ [x \mapsto_s v]$

definition $J :: 'α \text{ hrelation-d}$
where $J = ((\$ok \Rightarrow \$ok') \wedge \lceil II \rceil_D)$

definition $H1\ (P) \equiv \$ok \Rightarrow P$

definition $H2\ (P) \equiv P ;; J$

definition $H3\ (P) \equiv P ;; II_D$

definition $H4\ (P) \equiv ((P;;true) \Rightarrow P)$

abbreviation $\sigma f :: ('α, 'β) \text{ relation-d} \Rightarrow ('α, 'β) \text{ relation-d}$ ($^-^f$ [1000] 1000)
where $\sigma f\ D \equiv D \llbracket false/\$ok' \rrbracket$

abbreviation $\sigma t :: ('α, 'β) \text{ relation-d} \Rightarrow ('α, 'β) \text{ relation-d}$ ($^-^t$ [1000] 1000)
where $\sigma t\ D \equiv D \llbracket true/\$ok' \rrbracket$

definition $pre\text{-}design :: ('α, 'β) \text{ relation-d} \Rightarrow ('α, 'β) \text{ relation}$ ($pre_D'(-)$) **where**
 $pre_D(P) = \lfloor \neg P^f \rfloor_D$

definition $post\text{-}design :: ('α, 'β) \text{ relation-d} \Rightarrow ('α, 'β) \text{ relation}$ ($post_D'(-)$) **where**
 $post_D(P) = \lfloor P^t \rfloor_D$

definition $wp\text{-}design :: ('α, 'β) \text{ relation-d} \Rightarrow 'β \text{ condition} \Rightarrow 'α \text{ condition}$ (**infix** wp_D 60) **where**
 $Q\ wp_D\ r = (\lfloor pre_D(Q) \rfloor ;; true)_{<} \wedge (post_D(Q)\ wp\ r)$

declare $design\text{-}def$ [$upred\text{-}defs$]
declare $rdesign\text{-}def$ [$upred\text{-}defs$]
declare $skip\text{-}d\text{-}def$ [$upred\text{-}defs$]
declare $J\text{-}def$ [$upred\text{-}defs$]
declare $pre\text{-}design\text{-}def$ [$upred\text{-}defs$]
declare $post\text{-}design\text{-}def$ [$upred\text{-}defs$]
declare $wp\text{-}design\text{-}def$ [$upred\text{-}defs$]

declare $H1\text{-}def$ [$upred\text{-}defs$]
declare $H2\text{-}def$ [$upred\text{-}defs$]
declare $H3\text{-}def$ [$upred\text{-}defs$]
declare $H4\text{-}def$ [$upred\text{-}defs$]

lemma $drop\text{-}desr\text{-}inv$ [$simp$]: $\lfloor \lceil P \rceil_D \rfloor_D = P$
by ($transfer$, $simp$)

lemma $lift\text{-}desr\text{-}inv$:
 $\llbracket \$ok \# P; \$ok' \# P \rrbracket \Longrightarrow \lfloor \lceil P \rceil_D \rfloor_D = P$
apply ($rel\text{-}tac$)
apply ($rename\text{-}tac\ P\ a\ b$)
apply ($drule\text{-}tac\ x=a\ \text{in}\ spec$)
apply ($drule\text{-}tac\ x=b\ \text{in}\ spec$)
apply ($drule\text{-}tac\ x=\lambda\ -. \ True\ \text{in}\ spec$)
apply ($metis\ alpha\text{-}d.\text{surjective}\ alpha\text{-}d.\text{update}\text{-}convs(1)$)
apply ($drule\text{-}tac\ x=a\ \text{in}\ spec$)
apply ($drule\text{-}tac\ x=b\ \text{in}\ spec$)

```

  apply (drule-tac x= $\lambda$  -. True in spec)
  apply (metis alpha-d.surjective alpha-d.update-convs(1))
done

```

10.2 Design laws

```

lemma lift-desr-unrest-ok [unrest]:
  $ok \# \lceil P \rceil_D \ $ok' \# \lceil P \rceil_D
  by (transfer, simp add: ok-def)+

```

```

lemma unrest-out-des-lift [unrest]: out $\alpha$  \# p  $\implies$  out $\alpha$  \# \lceil p \rceil_D
  apply (pred-tac)
  apply (auto simp add: out $\alpha$ -def)
  apply (rename-tac p b v x)
  apply (drule-tac x=alpha-d.more x in spec)
  apply (drule-tac x=alpha-d.more b in spec)
  apply (drule-tac x= $\lambda$  -. alpha-d.more (v b) in spec)
  apply (simp)
  apply (rename-tac p b v x)
  apply (drule-tac x=alpha-d.more x in spec)
  apply (drule-tac x=alpha-d.more b in spec)
  apply (drule-tac x= $\lambda$  -. alpha-d.more (v b) in spec)
  apply (simp)
done

```

```

lemma lift-dists [simp]:
  \lceil true \rceil_D = true
  \lceil  $\neg$  P \rceil_D = ( $\neg$  \lceil P \rceil_D)
  \lceil P  $\wedge$  Q \rceil_D = (\lceil P \rceil_D  $\wedge$  \lceil Q \rceil_D)
  by (pred-tac)+

```

```

lemma lift-dist-seq [simp]:
  \lceil P ;; Q \rceil_D = (\lceil P \rceil_D ;; \lceil Q \rceil_D)
  by (rel-tac, metis alpha-d.select-convs(2))

```

theorem design-refinement:

```

  assumes
    $ok \# P1 $ok' \# P1 $ok \# P2 $ok' \# P2
    $ok \# Q1 $ok' \# Q1 $ok \# Q2 $ok' \# Q2
  shows (P1  $\vdash$  Q1  $\sqsubseteq$  P2  $\vdash$  Q2)  $\longleftrightarrow$  ('P1  $\Rightarrow$  P2'  $\wedge$  'P1  $\wedge$  Q2  $\Rightarrow$  Q1')
proof -
  have (P1  $\vdash$  Q1)  $\sqsubseteq$  (P2  $\vdash$  Q2)  $\longleftrightarrow$  '($ok  $\wedge$  P2  $\Rightarrow$  $ok'  $\wedge$  Q2)  $\Rightarrow$  ($ok  $\wedge$  P1  $\Rightarrow$  $ok'  $\wedge$  Q1)'
  by pred-tac
  also with assms have ... = '(P2  $\Rightarrow$  $ok'  $\wedge$  Q2)  $\Rightarrow$  (P1  $\Rightarrow$  $ok'  $\wedge$  Q1)'
  by (subst subst-bool-split[of in-var ok], simp-all, subst-tac, pred-tac)
  also with assms have ... = '($\neg$ P2  $\Rightarrow$  $\neg$ P1)  $\wedge$  ((P2  $\Rightarrow$  Q2)  $\Rightarrow$  P1  $\Rightarrow$  Q1)'
  by (subst subst-bool-split[of out-var ok], simp-all, subst-tac)
  also have ...  $\longleftrightarrow$  '(P1  $\Rightarrow$  P2)'  $\wedge$  'P1  $\wedge$  Q2  $\Rightarrow$  Q1'
  by (pred-tac)
  finally show ?thesis .
qed

```

theorem rdesign-refinement:

```

(P1  $\vdash_r$  Q1  $\sqsubseteq$  P2  $\vdash_r$  Q2)  $\longleftrightarrow$  ('P1  $\Rightarrow$  P2'  $\wedge$  'P1  $\wedge$  Q2  $\Rightarrow$  Q1')
  apply (simp add: rdesign-def)
  apply (subst design-refinement)

```

apply (*simp-all add: unrest*)
apply (*pred-tac*)
apply (*metis alpha-d.select-convs(2)*) +
done

lemma *design-refine-intro*:
assumes ' $P1 \Rightarrow P2$ ' ' $P1 \wedge Q2 \Rightarrow Q1$ '
shows $P1 \vdash Q1 \sqsubseteq P2 \vdash Q2$
using *assms unfolding upred-defs*
by *pred-tac*

theorem *design-ok-false* [*usubst*]: $(P \vdash Q) \llbracket \text{false} / \$ok \rrbracket = \text{true}$
by (*simp add: design-def usubst*)

theorem *design-pre*:
 $\$ok' \# P \Longrightarrow \neg (P \vdash Q)^f = (\$ok \wedge P^f)$
by (*simp add: design-def, subst-tac*)
(metis (no-types, hide-lams) not-conj-deMorgans true-not-false(2) utp-pred.compl-top-eq utp-pred.sup.idem utp-pred.sup-compl-top var-in-var)

theorem *rdesign-pre* [*simp*]: $\text{pre}_D(P \vdash_r Q) = P$
by *pred-tac*

theorem *design-post* [*simp*]: $\text{post}_D(P \vdash_r Q) = (P \Rightarrow Q)$
by *pred-tac*

theorem *design-true-left-zero*: $(\text{true} ;; (P \vdash Q)) = \text{true}$

proof –

have $(\text{true} ;; (P \vdash Q)) = (\exists \text{ok}_0 \cdot \text{true} \llbracket \llcorner \text{ok}_0 \gg / \$ok' \rrbracket ;; (P \vdash Q) \llbracket \llcorner \text{ok}_0 \gg / \$ok \rrbracket)$
by (*subst segr-middle[of ok], simp-all*)
also have $\dots = ((\text{true} \llbracket \text{false} / \$ok' \rrbracket ;; (P \vdash Q) \llbracket \text{false} / \$ok \rrbracket) \vee (\text{true} \llbracket \text{true} / \$ok' \rrbracket ;; (P \vdash Q) \llbracket \text{true} / \$ok \rrbracket))$
by (*simp add: disj-comm false-alt-def true-alt-def*)
also have $\dots = ((\text{true} \llbracket \text{false} / \$ok' \rrbracket ;; \text{true}_h) \vee (\text{true} ;; ((P \vdash Q) \llbracket \text{true} / \$ok \rrbracket)))$
by (*subst-tac, rel-tac*)
also have $\dots = \text{true}$
by (*subst-tac, simp add: precond-right-unit unrest*)
finally show *?thesis* .

qed

theorem *design-composition*:

assumes
 $\$ok \# P1 \ \$ok' \# P1 \ \$ok \# P2 \ \$ok' \# P2$
 $\$ok \# Q1 \ \$ok' \# Q1 \ \$ok \# Q2 \ \$ok' \# Q2$
shows $((P1 \vdash Q1) ;; (P2 \vdash Q2)) = (((\neg ((\neg P1) ;; \text{true})) \wedge \neg (Q1 ;; (\neg P2))) \vdash (Q1 ;; Q2))$

proof –

have $((P1 \vdash Q1) ;; (P2 \vdash Q2)) = (\exists \text{ok}_0 \cdot ((P1 \vdash Q1) \llbracket \llcorner \text{ok}_0 \gg / \$ok' \rrbracket ;; (P2 \vdash Q2) \llbracket \llcorner \text{ok}_0 \gg / \$ok \rrbracket))$
by (*rule segr-middle, simp*)
also have \dots
 $= (((P1 \vdash Q1) \llbracket \text{false} / \$ok' \rrbracket ;; (P2 \vdash Q2) \llbracket \text{false} / \$ok \rrbracket) \vee ((P1 \vdash Q1) \llbracket \text{true} / \$ok' \rrbracket ;; (P2 \vdash Q2) \llbracket \text{true} / \$ok \rrbracket))$
by (*simp add: true-alt-def false-alt-def, pred-tac*)
also from *assms*
have $\dots = (((\$ok \wedge P1 \Rightarrow Q1) ;; (P2 \Rightarrow \$ok' \wedge Q2)) \vee ((\neg (\$ok \wedge P1)) ;; \text{true}))$
by (*simp add: design-def usubst unrest, pred-tac*)
also have $\dots = ((\neg \$ok ;; \text{true}_h) \vee (\neg P1 ;; \text{true}) \vee (Q1 ;; \neg P2) \vee (\$ok' \wedge (Q1 ;; Q2)))$

by (*rel-tac*)
 also have ... = $(\neg (\neg P1 \mathrel{;;} true) \wedge \neg (Q1 \mathrel{;;} \neg P2)) \vdash (Q1 \mathrel{;;} Q2)$
 by (*simp add: precondition-right-unit design-def unrest, rel-tac*)
 finally show ?thesis .
 qed

theorem *rdesign-composition*:

$((P1 \vdash_r Q1) \mathrel{;;} (P2 \vdash_r Q2)) = (((\neg ((\neg P1) \mathrel{;;} true)) \wedge \neg (Q1 \mathrel{;;} (\neg P2))) \vdash_r (Q1 \mathrel{;;} Q2))$
 by (*simp add: rdesign-def design-composition unrest*)

lemma *skip-d-alt-def*: $II_D = true \vdash II$

by (*rel-tac*)

theorem *design-skip-idem* [*simp*]:

$(II_D \mathrel{;;} II_D) = II_D$
 by (*simp add: skip-d-def urel-defs, pred-tac*)

theorem *design-composition-cond*:

assumes
 $ok \# p1 \text{ out}\alpha \# p1 \text{ } \$ok \# P2 \text{ } \$ok' \# P2$
 $ok \# Q1 \text{ } \$ok' \# Q1 \text{ } \$ok \# Q2 \text{ } \$ok' \# Q2$
 shows $((p1 \vdash Q1) \mathrel{;;} (P2 \vdash Q2)) = ((p1 \wedge \neg (Q1 \mathrel{;;} (\neg P2))) \vdash (Q1 \mathrel{;;} Q2))$
 using *assms*
 by (*simp add: design-composition unrest precondition-right-unit*)

theorem *rdesign-composition-cond*:

assumes $out\alpha \# p1$
 shows $((p1 \vdash_r Q1) \mathrel{;;} (P2 \vdash_r Q2)) = ((p1 \wedge \neg (Q1 \mathrel{;;} (\neg P2))) \vdash_r (Q1 \mathrel{;;} Q2))$
 using *assms*
 by (*simp add: rdesign-def design-composition-cond unrest*)

theorem *design-composition-wp*:

fixes $Q1 \ Q2 :: 'a \text{ hrelation-}d$
 assumes
 $ok \# p1 \text{ } ok \# p2$
 $ok \# Q1 \text{ } \$ok' \# Q1 \text{ } \$ok \# Q2 \text{ } \$ok' \# Q2$
 shows $((\lceil p1 \rceil_{<} \vdash Q1) \mathrel{;;} (\lceil p2 \rceil_{<} \vdash Q2)) = ((\lceil p1 \wedge Q1 \text{ wp } p2 \rceil_{<}) \vdash (Q1 \mathrel{;;} Q2))$
 using *assms*
 by (*simp add: design-composition-cond unrest, rel-tac*)

theorem *rdesign-composition-wp*:

fixes $Q1 \ Q2 :: 'a \text{ hrelation}$
 shows $((\lceil p1 \rceil_{<} \vdash_r Q1) \mathrel{;;} (\lceil p2 \rceil_{<} \vdash_r Q2)) = ((\lceil p1 \wedge Q1 \text{ wp } p2 \rceil_{<}) \vdash_r (Q1 \mathrel{;;} Q2))$
 by (*simp add: rdesign-composition-cond unrest, rel-tac*)

theorem *rdesign-wp* [*wp*]:

$(\lceil p \rceil_{<} \vdash_r Q) \text{ wp}_D r = (p \wedge Q \text{ wp } r)$
 by *rel-tac*

theorem *wpd-seq-r*:

fixes $Q1 \ Q2 :: 'a \text{ hrelation}$
 shows $(\lceil p1 \rceil_{<} \vdash_r Q1 \mathrel{;;} \lceil p2 \rceil_{<} \vdash_r Q2) \text{ wp}_D r = (\lceil p1 \rceil_{<} \vdash_r Q1) \text{ wp}_D ((\lceil p2 \rceil_{<} \vdash_r Q2) \text{ wp}_D r)$
 apply (*simp add: wp*)
 apply (*subst rdesign-composition-wp*)

apply (*simp only: wp*)
apply (*rel-tac*)
done

theorem *design-left-unit* [*simp*]:
 $(II_D ;; P \vdash_r Q) = (P \vdash_r Q)$
by (*simp add: skip-d-def urel-defs, pred-tac*)

theorem *design-right-cond-unit* [*simp*]:
assumes $out\alpha \nmid p$
shows $(p \vdash_r Q ;; II_D) = (p \vdash_r Q)$
using *assms*
by (*simp add: skip-d-def rdesign-composition-cond*)

lemma *lift-des-skip-dr-unit* [*simp*]:
 $(\lceil P \rceil_D ;; \lceil II \rceil_D) = \lceil P \rceil_D$
 $(\lceil II \rceil_D ;; \lceil P \rceil_D) = \lceil P \rceil_D$
by *rel-tac rel-tac*

10.3 H1: No observation is allowed before initiation

lemma *H1-idem*:
 $H1(H1 P) = H1(P)$
by *pred-tac*

lemma *H1-monotone*:
 $P \sqsubseteq Q \implies H1(P) \sqsubseteq H1(Q)$
by *pred-tac*

lemma *H1-design-skip*:
 $H1(II) = II_D$
by *rel-tac*

The H1 algebraic laws are valid only when $\alpha(R)$ is homogeneous. This should maybe be generalised.

theorem *H1-algebraic-intro*:

assumes
 $(true_h ;; R) = true_h$
 $(II_D ;; R) = R$
shows *R is H1*

proof –

have $R = (II_D ;; R)$ **by** (*simp add: assms(2)*)
also have $\dots = (H1(II) ;; R)$
by (*simp add: H1-design-skip*)
also have $\dots = (\$ok \Rightarrow II) ;; R$
by (*simp add: H1-def*)
also have $\dots = ((\neg \$ok ;; R) \vee R)$
by (*simp add: impl-alt-def seqr-or-distl*)
also have $\dots = (((\neg \$ok ;; true_h) ;; R) \vee R)$
by (*simp add: precond-right-unit unrest*)
also have $\dots = ((\neg \$ok ;; true_h) \vee R)$
by (*metis assms(1) seqr-assoc*)
also have $\dots = (\$ok \Rightarrow R)$
by (*simp add: impl-alt-def precond-right-unit unrest*)
finally show *?thesis* **by** (*metis H1-def Healthy-def'*)

qed

lemma *nok-not-false*:

$(\neg \$ok) \neq \text{false}$

by (*simp add: ok-def, pred-tac, simp add: in-var-def,metis alpha-d.select-convs(1) fst-conv*)

theorem *H1-left-zero*:

assumes *P is H1*

shows $(\text{true}_h ;; P) = \text{true}_h$

proof –

from *assms* have $(\text{true}_h ;; P) = (\text{true}_h ;; (\$ok \Rightarrow P))$

by (*simp add: H1-def Healthy-def'*)

also from *assms* have $\dots = (\text{true}_h ;; (\neg \$ok \vee P))$

by (*simp add: impl-alt-def*)

also from *assms* have $\dots = ((\text{true}_h ;; \neg \$ok) \vee (\text{true}_h ;; P))$

using *seqr-or-distr* by *blast*

also from *assms* have $\dots = (\text{true} \vee (\text{true} ;; P))$

by (*simp add: nok-not-false precondition-left-zero unrest*)

finally show *?thesis* by *rel-tac*

qed

theorem *H1-left-unit*:

fixes *P :: 'α hrelation-d*

assumes *P is H1*

shows $(II_D ;; P) = P$

proof –

have $(II_D ;; P) = ((\$ok \Rightarrow II) ;; P)$

by (*metis H1-def H1-design-skip*)

also have $\dots = ((\neg \$ok ;; P) \vee P)$

by (*simp add: impl-alt-def seqr-or-distl*)

also from *assms* have $\dots = (((\neg \$ok ;; \text{true}_h) ;; P) \vee P)$

by (*simp add: precondition-right-unit unrest*)

also have $\dots = ((\neg \$ok ;; (\text{true}_h ;; P)) \vee P)$

by (*simp add: seqr-assoc*)

also from *assms* have $\dots = (\$ok \Rightarrow P)$

by (*simp add: H1-left-zero impl-alt-def precondition-right-unit unrest*)

finally show *?thesis* using *assms*

by (*simp add: H1-def Healthy-def'*)

qed

theorem *H1-algebraic*:

P is H1 $\longleftrightarrow (\text{true}_h ;; P) = \text{true}_h \wedge (II_D ;; P) = P$

using *H1-algebraic-intro H1-left-unit H1-left-zero* by *blast*

theorem *H1-nok-left-zero*:

fixes *P :: 'α hrelation-d*

assumes *P is H1*

shows $(\neg \$ok ;; P) = (\neg \$ok)$

proof –

have $(\neg \$ok ;; P) = ((\neg \$ok ;; \text{true}_h) ;; P)$

by (*simp add: precondition-right-unit unrest*)

also have $\dots = ((\neg \$ok) ;; \text{true}_h)$

by (*metis H1-left-zero assms seqr-assoc*)

also have $\dots = (\neg \$ok)$

by (*simp add: precondition-right-unit unrest*)

finally show *?thesis* .
qed

10.4 H2: A specification cannot require non-termination

lemma *J-split*:

shows $(P ;; J) = (P^f \vee (P^t \wedge \$ok'))$

proof –

have $(P ;; J) = (P ;; ((\$ok \Rightarrow \$ok') \wedge \lceil II \rceil_D))$

by (*simp add: H2-def J-def design-def*)

also have $\dots = (P ;; ((\$ok \Rightarrow \$ok \wedge \$ok') \wedge \lceil II \rceil_D))$

by *rel-tac*

also have $\dots = ((P ;; (\neg \$ok \wedge \lceil II \rceil_D)) \vee (P ;; (\$ok \wedge (\lceil II \rceil_D \wedge \$ok'))))$

by *rel-tac*

also have $\dots = (P^f \vee (P^t \wedge \$ok'))$

proof –

have $(P ;; (\neg \$ok \wedge \lceil II \rceil_D)) = P^f$

proof –

have $(P ;; (\neg \$ok \wedge \lceil II \rceil_D)) = ((P \wedge \neg \$ok') ;; \lceil II \rceil_D)$

by *rel-tac*

also have $\dots = (\exists \$ok' \cdot P \wedge \$ok' =_u \text{false})$

by (*rel-tac, metis (mono-tags, lifting) alpha-d.surjective alpha-d.update-convs(1)*)

also have $\dots = P^f$

by (*metis one-point out-var-uvar ouvar-def unrest-false uvar-ok*)

finally show *?thesis* .

qed

moreover have $(P ;; (\$ok \wedge (\lceil II \rceil_D \wedge \$ok'))) = (P^t \wedge \$ok')$

proof –

have $(P ;; (\$ok \wedge (\lceil II \rceil_D \wedge \$ok'))) = (P ;; (\$ok \wedge II))$

by (*rel-tac, metis alpha-d.equality*)

also have $\dots = (P^t \wedge \$ok')$

by (*rel-tac, metis (full-types) alpha-d.surjective alpha-d.update-convs(1)*)

finally show *?thesis* .

qed

ultimately show *?thesis*

by *simp*

qed

finally show *?thesis* .

qed

lemma *H2-split*:

shows $H2(P) = (P^f \vee (P^t \wedge \$ok'))$

by (*simp add: H2-def J-split*)

theorem *H2-equivalence*:

$P \text{ is } H2 \iff 'P^f \Rightarrow P^t'$

proof –

have $'P \Leftrightarrow (P ;; J)' \iff 'P \Leftrightarrow (P^f \vee (P^t \wedge \$ok'))'$

by (*simp add: J-split*)

also from *assms* have $\dots \iff '(P \Leftrightarrow P^f \vee P^t \wedge \$ok')^f \wedge (P \Leftrightarrow P^f \vee P^t \wedge \$ok')^t'$

by (*simp add: subst-bool-split*)

also from *assms* have $\dots = '(P^f \Leftrightarrow P^f) \wedge (P^t \Leftrightarrow P^f \vee P^t)'$

by *subst-tac*

also have $\dots = 'P^t \Leftrightarrow (P^f \vee P^t)'$

by *pred-tac*

also have $\dots = '(P^f \Rightarrow P^t)'$

by *pred-tac*
finally show *?thesis* **using** *assms*
 by (*metis H2-def Healthy-def' taut-iff-eq*)
qed

lemma *H2-equiv*:
 $P \text{ is } H2 \longleftrightarrow P^t \sqsubseteq P^f$
using *H2-equivalence refBy-order* **by** *blast*

lemma *H2-design*:
assumes $\$ok \# P \$ok' \# P \$ok \# Q \$ok' \# Q$
shows $H2(P \vdash Q) = P \vdash Q$
using *assms*
by (*simp add: H2-split design-def usubst unrest, pred-tac*)

lemma *H2-rdesign*:
 $H2(P \vdash_r Q) = P \vdash_r Q$
by (*simp add: H2-design unrest rdesign-def*)

theorem *J-idem*:
 $(J ;; J) = J$
by (*simp add: J-def urel-defs, pred-tac*)

theorem *H2-idem*:
 $H2(H2(P)) = H2(P)$
by (*metis H2-def J-idem seqr-assoc*)

theorem *H2-not-okay*: $H2(\neg \$ok) = (\neg \$ok)$

proof –
have $H2(\neg \$ok) = ((\neg \$ok)^f \vee ((\neg \$ok)^t \wedge \$ok'))$
 by (*simp add: H2-split*)
also have $\dots = (\neg \$ok \vee (\neg \$ok) \wedge \$ok')$
 by (*subst-tac, simp add: iuvar-def*)
also have $\dots = (\neg \$ok)$
 by *pred-tac*
finally show *?thesis* .
qed

theorem *H1-H2-commute*:

$$H1(H2 P) = H2(H1 P)$$

proof –
have $H2(H1 P) = ((\$ok \Rightarrow P) ;; J)$
 by (*simp add: H1-def H2-def*)
also from *assms* **have** $\dots = ((\neg \$ok \vee P) ;; J)$
 by *rel-tac*
also have $\dots = ((\neg \$ok ;; J) \vee (P ;; J))$
 using *seqr-or-distl* **by** *blast*
also have $\dots = ((H2(\neg \$ok)) \vee H2(P))$
 by (*simp add: H2-def*)
also have $\dots = ((\neg \$ok) \vee H2(P))$
 by (*simp add: H2-not-okay*)
also have $\dots = H1(H2(P))$
 by *rel-tac*
finally show *?thesis* **by** *simp*
qed

lemma *ok-pre*: $(\$ok \wedge \lceil pre_D(P) \rceil_D) = (\$ok \wedge (\neg P^f))$
 by (*pred-tac*, *metis* (*full-types*) *alpha-d.surjective* *alpha-d.update-convs*(1))+

lemma *ok-post*: $(\$ok \wedge \lceil post_D(P) \rceil_D) = (\$ok \wedge (P^t))$
 by (*pred-tac*, *metis* (*full-types*) *alpha-d.surjective* *alpha-d.update-convs*(1))+

theorem *H1-H2-is-rdesign*:

assumes *P is H1 P is H2*

shows $P = pre_D(P) \vdash_r post_D(P)$

proof –

from *assms* have $P = (\$ok \Rightarrow H2(P))$

by (*simp add: H1-def Healthy-def'*)

also have $\dots = (\$ok \Rightarrow (P^f \vee (P^t \wedge \$ok')))$

by (*metis H2-split*)

also have $\dots = (\$ok \wedge (\neg P^f) \Rightarrow \$ok' \wedge P^t)$

by *pred-tac*

also have $\dots = (\$ok \wedge (\neg P^f) \Rightarrow \$ok' \wedge \$ok \wedge P^t)$

by *pred-tac*

also have $\dots = (\$ok \wedge \lceil pre_D(P) \rceil_D \Rightarrow \$ok' \wedge \$ok \wedge \lceil post_D(P) \rceil_D)$

by (*simp add: ok-post ok-pre*)

also have $\dots = (\$ok \wedge \lceil pre_D(P) \rceil_D \Rightarrow \$ok' \wedge \lceil post_D(P) \rceil_D)$

by *pred-tac*

also from *assms* have $\dots = pre_D(P) \vdash_r post_D(P)$

by (*simp add: rdesign-def design-def*)

finally show *?thesis* .

qed

abbreviation *H1-H2* $P \equiv H1 (H2 P)$

10.5 H3: The design assumption is a precondition

theorem *H3-idem*:

$H3(H3(P)) = H3(P)$

by (*metis H3-def design-skip-idem seqr-assoc*)

theorem *rdesign-H3-iff-pre*:

$P \vdash_r Q \text{ is } H3 \iff P = (P ;; true)$

proof –

have $(P \vdash_r Q ;; II_D) = (P \vdash_r Q ;; true \vdash_r II)$

by (*simp add: skip-d-def*)

also from *assms* have $\dots = (\neg (\neg P ;; true) \wedge \neg (Q ;; \neg true)) \vdash_r (Q ;; II)$

by (*simp add: rdesign-composition*)

also from *assms* have $\dots = (\neg (\neg P ;; true) \wedge \neg (Q ;; \neg true)) \vdash_r Q$

by *simp*

also have $\dots = (\neg (\neg P ;; true)) \vdash_r Q$

by *pred-tac*

finally have $P \vdash_r Q \text{ is } H3 \iff P \vdash_r Q = (\neg (\neg P ;; true)) \vdash_r Q$

by (*metis H3-def Healthy-def'*)

also have $\dots \iff P = (\neg (\neg P ;; true))$

by (*metis rdesign-pre*)

also have $\dots \iff P = (P ;; true)$

by (*simp add: seqr-true-lemma*)

finally show *?thesis* .

qed

theorem *design-H3-iff-pre*:

assumes $\$ok \# P \$ok' \# P \$ok \# Q \$ok' \# Q$
shows $P \vdash Q \text{ is } H3 \iff P = (P ;; true)$

proof –

have $P \vdash Q = \lfloor P \rfloor_D \vdash_r \lfloor Q \rfloor_D$
by (*simp add: assms lift-desr-inv rdesign-def*)
moreover hence $\lfloor P \rfloor_D \vdash_r \lfloor Q \rfloor_D \text{ is } H3 \iff \lfloor P \rfloor_D = (\lfloor P \rfloor_D ;; true)$
using *rdesign-H3-iff-pre* **by** *blast*
ultimately show *?thesis*
by (*metis assms drop-desr-inv lift-desr-inv lift-dist-seq lift-dists(1)*)

qed

theorem *H1-H3-commute*:

$H1 (H3 P) = H3 (H1 P)$
by *rel-tac*

lemma *skip-d-absorb-J-1*:

$(II_D ;; J) = II_D$
by (*metis H2-def H2-rdesign skip-d-def*)

lemma *skip-d-absorb-J-2*:

$(J ;; II_D) = II_D$

proof –

have $(J ;; II_D) = ((\$ok \Rightarrow \$ok') \wedge \lceil II \rceil_D ;; true \vdash II)$
by (*simp add: J-def skip-d-alt-def*)
also have $\dots = (\exists ok_0 \cdot ((\$ok \Rightarrow \$ok') \wedge \lceil II \rceil_D) \llbracket \ll ok_0 \gg / \$ok' \rrbracket ;; (true \vdash II) \llbracket \ll ok_0 \gg / \$ok \rrbracket)$
by (*subst segr-middle[of ok], simp-all*)
also have $\dots = (((\$ok \Rightarrow \$ok') \wedge \lceil II \rceil_D) \llbracket false / \$ok' \rrbracket ;; (true \vdash II) \llbracket false / \$ok \rrbracket)$
 $\vee (((\$ok \Rightarrow \$ok') \wedge \lceil II \rceil_D) \llbracket true / \$ok' \rrbracket ;; (true \vdash II) \llbracket true / \$ok \rrbracket)$
by (*simp add: disj-comm false-alt-def true-alt-def*)
also have $\dots = ((\neg \$ok \wedge \lceil II \rceil_D ;; true) \vee (\lceil II \rceil_D ;; \$ok' \wedge \lceil II \rceil_D))$
by (*simp add: usubst unrest design-def iuvar-def ouvar-def, rel-tac*)
also have $\dots = II_D$
by *rel-tac*
finally show *?thesis* .

qed

lemma *H2-H3-absorb*:

$H2 (H3 P) = H3 P$
by (*metis H2-def H3-def segr-assoc skip-d-absorb-J-1*)

lemma *H3-H2-absorb*:

$H3 (H2 P) = H3 P$
by (*metis H2-def H3-def segr-assoc skip-d-absorb-J-2*)

theorem *H2-H3-commute*:

$H2 (H3 P) = H3 (H2 P)$
by (*simp add: H2-H3-absorb H3-H2-absorb*)

theorem *H3-design-pre*:

assumes $\$ok \# p \text{ out}\alpha \# p \$ok \# Q \$ok' \# Q$
shows $H3(p \vdash Q) = p \vdash Q$
using *assms*
by (*metis Healthy-def' design-H3-iff-pre precondition-right-unit unrest-outalpha-var uvar-ok*)

theorem *H3-rdesign-pre*:

assumes *outα* $\nmid p$
shows $H3(p \vdash_r Q) = p \vdash_r Q$
using *assms*
by (*simp add: H3-def*)

theorem *H1-H3-is-rdesign*:

assumes *P is H1 P is H3*
shows $P = pre_D(P) \vdash_r post_D(P)$
by (*metis H1-H2-is-rdesign H2-H3-absorb Healthy-def' assms*)

theorem *H1-H3-is-normal-design*:

assumes *P is H1 P is H3*
shows $P = \lfloor pre_D(P) \rfloor_{<} \vdash_n post_D(P)$
by (*metis H1-H3-is-rdesign assms drop-pre-inv ndesign-def precond-equiv rdesign-H3-iff-pre*)

abbreviation *H1-H3* $p \equiv H1 (H3 p)$

theorem *wpd-seq-r-H1-H2* [*wp*]:

fixes *P Q* :: ' α *hrelation-d*
assumes *P is H1-H3 Q is H1-H3*
shows $(P ;; Q) wp_D r = P wp_D (Q wp_D r)$
by (*smt H1-H3-commute H1-H3-is-rdesign H1-idem Healthy-def' assms(1) assms(2) drop-pre-inv precond-equiv rdesign-H3-iff-pre wpd-seq-r*)

10.6 H4: Feasibility

theorem *H4-idem*:

$H4(H4(P)) = H4(P)$
by *pred-tac*

end

11 Concurrent programming

theory *utp-concurrency*

imports *utp-designs*

begin

no-notation

Sublist.parallel (**infixl** \parallel 50)

11.1 Design parallel composition

definition *design-par* :: (' α , ' β) *relation-d* \Rightarrow (' α , ' β) *relation-d* \Rightarrow (' α , ' β) *relation-d* (**infixr** \parallel 85)

where

$P \parallel Q = ((pre_D(P) \wedge pre_D(Q)) \vdash_r (post_D(P) \wedge post_D(Q)))$

declare *design-par-def* [*upred-defs*]

lemma *parallel-zero*: $P \parallel true = true$

proof –

have $P \parallel true = (pre_D(P) \wedge pre_D(true)) \vdash_r (post_D(P) \wedge post_D(true))$

by (*simp add: design-par-def*)

also have $\dots = (pre_D(P) \wedge false) \vdash_r (post_D(P) \wedge true)$

```

    by rel-tac
  also have ... = true
    by rel-tac
  finally show ?thesis .
qed

```

```

lemma parallel-assoc:  $P \parallel Q \parallel R = (P \parallel Q) \parallel R$ 
  by rel-tac

```

```

lemma parallel-comm:  $P \parallel Q = Q \parallel P$ 
  by pred-tac

```

```

lemma parallel-idem:
  assumes  $P \text{ is } H1 \ P \text{ is } H2$ 
  shows  $P \parallel P = P$ 
  by (metis H1-H2-is-rdesign assms conj-idem design-par-def)

```

```

lemma parallel-mono-1:
  assumes  $P_1 \sqsubseteq P_2 \ P_1 \text{ is } H1-H2 \ P_2 \text{ is } H1-H2$ 
  shows  $P_1 \parallel Q \sqsubseteq P_2 \parallel Q$ 
proof -
  have  $\text{pre}_D(P_1) \vdash_r \text{post}_D(P_1) \sqsubseteq \text{pre}_D(P_2) \vdash_r \text{post}_D(P_2)$ 
    by (metis H1-H2-commute H1-H2-is-rdesign H1-idem Healthy-def' assms)
  hence  $(\text{pre}_D(P_1) \vdash_r \text{post}_D(P_1)) \parallel Q \sqsubseteq (\text{pre}_D(P_2) \vdash_r \text{post}_D(P_2)) \parallel Q$ 
    by (auto simp add: rdesign-refinement design-par-def) (pred-tac+)
  thus ?thesis
    by (metis H1-H2-commute H1-H2-is-rdesign H1-idem Healthy-def' assms)
qed

```

```

lemma parallel-mono-2:
  assumes  $Q_1 \sqsubseteq Q_2 \ Q_1 \text{ is } H1-H2 \ Q_2 \text{ is } H1-H2$ 
  shows  $P \parallel Q_1 \sqsubseteq P \parallel Q_2$ 
  by (metis assms parallel-comm parallel-mono-1)

```

11.2 Parallel by merge

We describe the partition of a state space into a n pieces through the use of a list.

type-synonym $'a \text{ partition} = 'a \text{ list}$

A merge relation is a design that describes how a partitioned state-space should be merged into a third state-space. For now the state-spaces for two merged processes should have the same type. This could potentially be generalised, but that might have an effect on our reasoning capabilities.

definition $\text{ind-uvar} :: \text{nat} \Rightarrow ('a, 'a \text{ alphabet-d}) \text{ uvar} \Rightarrow ('a, ('a \times 'a \text{ partition}) \text{ alphabet-d}) \text{ uvar}$ **where**
 $\text{ind-uvar } i \ x = (\text{var-lookup} = \text{var-lookup } x \circ (\lambda A. (\text{des-ok} = \text{des-ok } A, \dots = \text{snd } (\text{more } A) ! i))$
 $\quad \quad \quad , \text{var-update} = \text{undefined}$
 $\quad \quad \quad \rangle$

definition $\text{pre-uvar} :: ('a, 'a \text{ alphabet-d}) \text{ uvar} \Rightarrow ('a, ('a \times 'a \text{ partition}) \text{ alphabet-d}) \text{ uvar}$ **where**
 $\text{pre-uvar } x = (\text{var-lookup} = \text{var-lookup } x \circ (\lambda A. (\text{des-ok} = \text{des-ok } A, \dots = \text{fst } (\text{more } A) ! i))$
 $\quad \quad \quad , \text{var-update} = \text{undefined} \rangle$

lemma *ind-uvar-semi-uvar*:
semi-uvar $x \implies \text{semi-uvar } (\text{ind-uvar } i \ x)$
apply (*unfold-locales*)
apply (*simp-all add:ind-uvar-def*)
oops

syntax

-uprevar $:: ('t, 'α) \text{ uvar} \Rightarrow \text{logic } (\$<- [999] \ 999)$
-udotvar $:: \text{nat} \Rightarrow ('t, 'α) \text{ uvar} \Rightarrow \text{logic } (\&- [0,999] \ 999)$
-uidotvar $:: \text{nat} \Rightarrow ('t, 'α) \text{ uvar} \Rightarrow \text{logic } (\$- [0,999] \ 999)$
-uodotvar $:: \text{nat} \Rightarrow ('t, 'α) \text{ uvar} \Rightarrow \text{logic } (\$- ' [999] \ 999)$
-sdotvar $:: \text{nat} \Rightarrow \text{id} \Rightarrow \text{svar } (\&- [0,999] \ 999)$
-sin-dotvar $:: \text{nat} \Rightarrow \text{id} \Rightarrow \text{svar } (\$-)$
-sout-dotvar $:: \text{nat} \Rightarrow \text{id} \Rightarrow \text{svar } (\$- ')$

translations

-uprevar $x == \text{CONST var } (\text{CONST in-var } (\text{CONST pre-uvar } x))$
-udotvar $n \ x == \text{CONST var } (\text{CONST ind-uvar } n \ x)$
-uidotvar $n \ x == \text{CONST var } (\text{CONST in-var } (\text{CONST ind-uvar } n \ x))$
-uidotvar $n \ x == \text{CONST var } (\text{CONST out-var } (\text{CONST ind-uvar } n \ x))$
-sdotvar $n \ x == \text{CONST ind-uvar } n \ x$
-sin-dotvar $n \ x == \text{CONST in-var } (\text{CONST ind-uvar } n \ x)$
-sout-dotvar $n \ x == \text{CONST out-var } (\text{CONST ind-uvar } n \ x)$
-psubst $m \ (-\text{sdotvar } n \ x) \ v \Rightarrow \text{CONST subst-upd } m \ (\text{CONST ind-uvar } n \ x) \ v$

type-synonym $'α \text{ merge} = ('α \times 'α \text{ partition}, 'α) \text{ relation-d}$

Separating simulations

lift-definition *sep-sim* $:: \text{nat} \Rightarrow ('α, 'α \text{ partition}) \text{ relation-d } (U'(-)) \text{ is}$

$\lambda n \ (A, A'). \text{des-ok } A' = \text{des-ok } A \wedge \text{length } (\text{alpha-d.more } A') > n \wedge \text{alpha-d.more } A'! \ n = \text{alpha-d.more } A .$

lift-definition *alpha-ext* $:: ('α, 'β) \text{ relation-d} \Rightarrow ('α, 'α \times 'β) \text{ relation-d } (-_+ [999] \ 999) \text{ is}$

$\lambda P \ (A, A'). P \ (A, \llbracket \text{des-ok} = \text{des-ok } A', \dots = \text{snd } (\text{more } A') \rrbracket) \wedge \text{des-ok } A' = \text{des-ok } A \wedge \text{fst } (\text{more } A') = \text{more } A .$

Parallel by merge

definition *design-par-by-merge* $::$

$'α \text{ hrelation-d} \Rightarrow 'α \text{ merge} \Rightarrow 'α \text{ hrelation-d} \Rightarrow 'α \text{ hrelation-d } (\text{infixr } \parallel - \ 85)$

where $P \parallel_M Q = (((P ;; U(0)) \parallel (Q ;; U(1)))_+ ;; M)$

end

12 Reactive processes

theory *utp-reactive*

imports

utp-concurrency

utp-event

begin

Following the way of UTP to describe reactive processes, more observational variables are needed to record the interaction with the environment. Three observational variables are defined for this subset of relations: *wait*, *tr* and *ref*. The boolean variable *wait* records if the process

is waiting for an interaction or has terminated. *tr* records the list (trace) of interactions the process has performed so far. The variable *ref* contains the set of interactions (events) the process may refuse to perform.

In this section, we introduce first some preliminary notions, useful for trace manipulations. The definitions of reactive process alphabets and healthiness conditions are also given. Finally, proved lemmas and theorems are listed.

12.1 Preliminaries

type-synonym $'\alpha$ trace = $'\alpha$ list

fun *list-diff* :: $'\alpha$ list \Rightarrow $'\alpha$ list \Rightarrow $'\alpha$ list option **where**
 list-diff *l* [] = Some *l*
 | *list-diff* [] *l* = None
 | *list-diff* (*x* # *xs*) (*y* # *ys*) = (if (*x* = *y*) then (*list-diff* *xs* *ys*) else None)

lemma *list-diff-empty* [simp]: the (*list-diff* *l* []) = *l*
by (cases *l*) auto

lemma *prefix-subst* [simp]: *l* @ *t* = *m* \implies *m* - *l* = *t*
by (auto)

lemma *prefix-subst1* [simp]: *m* = *l* @ *t* \implies *m* - *l* = *t*
by (auto)

The definitions of reactive process alphabets and healthiness conditions are given in the following. The healthiness conditions of reactive processes are defined by *R1*, *R2*, *R3* and their composition *R*.

type-synonym $'\vartheta$ refusal = $'\vartheta$ set

record $'\vartheta$ alpha-rp = alpha-d +
 rp-wait :: bool
 rp-tr :: $'\vartheta$ trace
 rp-ref :: $'\vartheta$ refusal

definition *wait* = VAR *rp-wait*

definition *tr* = VAR *rp-tr*

definition *ref* = VAR *rp-ref*

declare *wait-def* [upred-defs]

declare *tr-def* [upred-defs]

declare *ref-def* [upred-defs]

instantiation *alpha-rp-ext* :: (type, vst) vst

begin

definition *get-vstore-alpha-rp-ext* :: ($'a$, $'b$) alpha-rp-ext \Rightarrow vstore

where [simp]: *get-vstore-alpha-rp-ext* *x* = *get-vstore* (*alpha-rp.more* (*alpha-d.extend* undefined *x*))

definition *upd-vstore-alpha-rp-ext* :: (vstore \Rightarrow vstore) \Rightarrow ($'a$, $'b$) alpha-rp-ext \Rightarrow ($'a$, $'b$) alpha-rp-ext

where [simp]: *upd-vstore-alpha-rp-ext* *f* *x* = *alpha-d.more* (*alpha-rp.more-update* (*upd-vstore* *f*) (*alpha-d.extend* undefined *x*))

instance

apply (intro-classes, auto simp add: *upd-store-parm*[*THEN* sym] *alpha-rp.defs* *alpha-d.defs*)

apply (*metis* (*no-types*, *lifting*) *alpha-d.ext-inject* *alpha-d.surjective* *alpha-rp.select-convs*(4) *alpha-rp.surjective* *alpha-rp.update-convs*(4) *get-upd-vstore*)


```

apply (smt alpha-d.select-convs(2) alpha-rp.surjective alpha-rp.update-convs(4) upd-vstore-comp)
apply (metis alpha-d.select-convs(2) alpha-rp.surjective alpha-rp.update-convs(4) upd-vstore-eta)
apply (metis alpha-rp.unfold-congs(5) upd-store-parm)
done
end

```

```

lemma uvar-wait [simp]: uvar wait
  by (unfold-locales, simp-all add: wait-def)

```

```

lemma uvar-tr [simp]: uvar tr
  by (unfold-locales, simp-all add: tr-def)

```

```

lemma uvar-ref [simp]: uvar ref
  by (unfold-locales, simp-all add: ref-def)

```

Note that we define here the class of UTP alphabets that contain *wait*, *tr* and *ref*, or, in other words, we define here the class of reactive process alphabets.

```

type-synonym (' $\vartheta$ , ' $\alpha$ ) alphabet-rp = (' $\vartheta$ , ' $\alpha$ ) alpha-rp-scheme alphabet
type-synonym (' $\vartheta$ , ' $\alpha$ , ' $\beta$ ) relation-rp = ((' $\vartheta$ , ' $\alpha$ ) alphabet-rp, (' $\vartheta$ , ' $\beta$ ) alphabet-rp) relation
type-synonym (' $\vartheta$ , ' $\alpha$ ) hrelation-rp = ((' $\vartheta$ , ' $\alpha$ ) alphabet-rp, (' $\vartheta$ , ' $\alpha$ ) alphabet-rp) relation
type-synonym (' $\vartheta$ , ' $\sigma$ ) predicate-rp = (' $\vartheta$ , ' $\sigma$ ) alphabet-rp upred

```

```

lift-definition lift-rea :: (' $\alpha$ , ' $\beta$ ) relation  $\Rightarrow$  (' $\vartheta$ , ' $\alpha$ , ' $\beta$ ) relation-rp ( $[-]_R$ ) is
 $\lambda P (A, A'). P \text{ (more } A, \text{ more } A')$  .

```

```

lift-definition drop-rea :: (' $\vartheta$ , ' $\alpha$ , ' $\beta$ ) relation-rp  $\Rightarrow$  (' $\alpha$ , ' $\beta$ ) relation ( $[-]_R$ ) is
 $\lambda P (A, A'). P \text{ (} (\text{des-ok} = \text{True}, \text{rp-wait} = \text{True}, \text{rp-tr} = [], \text{rp-ref} = \{\}, \dots = A) \text{)},$ 
 $\text{ (} (\text{des-ok} = \text{True}, \text{rp-wait} = \text{True}, \text{rp-tr} = [], \text{rp-ref} = \{\}, \dots = A') \text{)} \text{)} .$ 

```

```

definition R1-def [upred-defs]:  $R1 (P) = (P \wedge (\$tr \leq_u \$tr'))$ 

```

```

definition R2-def [upred-defs]:  $R2 (P) = (P[\langle \rangle / \$tr][\$tr' - \$tr / \$tr'] \wedge (\$tr \leq_u \$tr'))$ 

```

```

definition skip-rea-def [urel-defs]:  $II_r = (II \vee (\neg \$ok \wedge \$tr \leq_u \$tr'))$ 

```

There are two versions of R3 in the UTP book. Here we opt for the version that works for CSP

```

definition R3-def [urel-defs]:  $R3c (P) = (II_r \triangleleft \$wait \triangleright P)$ 

```

```

definition RH(P) =  $R1(R2(R3c(P)))$ 

```

```

lemma R1-idem:  $R1(R1(P)) = R1(P)$ 
  by (pred-tac)

```

```

lemma R2-idem:  $R2(R2(P)) = R2(P)$ 
  by (pred-tac)

```

```

lemma tr-prefix-as-concat:  $(xs \leq_u ys) = (\exists zs \cdot ys =_u xs \hat{\ }_u \ll zs \gg)$ 
  by (rel-tac, simp add: less-eq-list-def prefixeq-def)

```

```

lemma R2-form:
 $R2(P) = (\exists tt \cdot P[\langle \rangle / \$tr][\ll tt \gg / \$tr'] \wedge \$tr' =_u \$tr \hat{\ }_u \ll tt \gg)$ 
  by (rel-tac, metis prefix-subst strict-prefixE)

```

```

lemma uconc-left-unit [simp]:  $\langle \rangle \hat{\ }_u e = e$ 
  by (pred-tac)

```

lemma *uconc-right-unit* [*simp*]: $e \hat{=}_u \langle \rangle = e$
by *pred-tac*

This laws is proven only for homogeneous relations, can it be generalised?

lemma *R2-seqr-form*:

fixes $P Q :: ('\vartheta, '\alpha, '\alpha) \text{ relation-rp}$

shows $(R2(P) ;; R2(Q)) =$

$$(\exists tt_1 \cdot \exists tt_2 \cdot ((P[\langle \rangle / \$tr][\ll tt_1 \gg / \$tr']) ;; (Q[\langle \rangle / \$tr][\ll tt_2 \gg / \$tr']))) \wedge (\$tr' =_u \$tr \hat{=}_u \ll tt_1 \gg \hat{=}_u \ll tt_2 \gg))$$

proof –

have $(R2(P) ;; R2(Q)) = (\exists tr_0 \cdot (R2(P))[\ll tr_0 \gg / \$tr']) ;; (R2(Q))[\ll tr_0 \gg / \$tr'])$

by (*subst seqr-middle*[*of tr*], *simp-all*)

also have ... =

$$(\exists tr_0 \cdot \exists tt_1 \cdot \exists tt_2 \cdot ((P[\langle \rangle / \$tr][\ll tt_1 \gg / \$tr'] \wedge \ll tr_0 \gg =_u \$tr \hat{=}_u \ll tt_1 \gg) ;; (Q[\langle \rangle / \$tr][\ll tt_2 \gg / \$tr'] \wedge \$tr' =_u \ll tr_0 \gg \hat{=}_u \ll tt_2 \gg)))$$

by (*simp add: R2-form usubst unrest uquant-lift var-in-var var-out-var, rel-tac*)

also have ... =

$$(\exists tr_0 \cdot \exists tt_1 \cdot \exists tt_2 \cdot ((\ll tr_0 \gg =_u \$tr \hat{=}_u \ll tt_1 \gg \wedge P[\langle \rangle / \$tr][\ll tt_1 \gg / \$tr']) ;; (Q[\langle \rangle / \$tr][\ll tt_2 \gg / \$tr'] \wedge \$tr' =_u \ll tr_0 \gg \hat{=}_u \ll tt_2 \gg)))$$

by (*simp add: conj-comm*)

also have ... =

$$(\exists tt_1 \cdot \exists tt_2 \cdot \exists tr_0 \cdot ((P[\langle \rangle / \$tr][\ll tt_1 \gg / \$tr']) ;; (Q[\langle \rangle / \$tr][\ll tt_2 \gg / \$tr'] \wedge \ll tr_0 \gg =_u \$tr \hat{=}_u \ll tt_1 \gg \wedge \$tr' =_u \ll tr_0 \gg \hat{=}_u \ll tt_2 \gg)))$$

by (*simp add: seqr-pre-out seqr-post-out unrest, rel-tac*)

also have ... =

$$(\exists tt_1 \cdot \exists tt_2 \cdot ((P[\langle \rangle / \$tr][\ll tt_1 \gg / \$tr']) ;; (Q[\langle \rangle / \$tr][\ll tt_2 \gg / \$tr'] \wedge (\exists tr_0 \cdot \ll tr_0 \gg =_u \$tr \hat{=}_u \ll tt_1 \gg \wedge \$tr' =_u \ll tr_0 \gg \hat{=}_u \ll tt_2 \gg)))$$

by *rel-tac*

also have ... =

$$(\exists tt_1 \cdot \exists tt_2 \cdot ((P[\langle \rangle / \$tr][\ll tt_1 \gg / \$tr']) ;; (Q[\langle \rangle / \$tr][\ll tt_2 \gg / \$tr'] \wedge (\$tr' =_u \$tr \hat{=}_u \ll tt_1 \gg \hat{=}_u \ll tt_2 \gg)))$$

by *rel-tac*

finally show *?thesis* .

qed

lemma *R2-seqr-distribute*:

fixes $P Q :: (''\vartheta, '\alpha, '\alpha) \text{ relation-rp}$

shows $R2(R2(P) ;; R2(Q)) = (R2(P) ;; R2(Q))$

proof –

have $R2(R2(P) ;; R2(Q)) =$

$$((\exists tt_1 \cdot \exists tt_2 \cdot (P[\langle \rangle / \$tr][\ll tt_1 \gg / \$tr'] ;; Q[\langle \rangle / \$tr][\ll tt_2 \gg / \$tr'])(\$tr' - \$tr) / \$tr') \wedge \$tr' - \$tr =_u \ll tt_1 \gg \hat{=}_u \ll tt_2 \gg) \wedge \$tr' \geq_u \$tr)$$

by (*simp add: R2-seqr-form, simp add: R2-def usubst unrest, rel-tac*)

also have ... =

$$((\exists tt_1 \cdot \exists tt_2 \cdot (P[\langle \rangle / \$tr][\ll tt_1 \gg / \$tr'] ;; Q[\langle \rangle / \$tr][\ll tt_2 \gg / \$tr'])(\ll tt_1 \gg \hat{=}_u \ll tt_2 \gg) / \$tr') \wedge \$tr' - \$tr =_u \ll tt_1 \gg \hat{=}_u \ll tt_2 \gg) \wedge \$tr' \geq_u \$tr)$$

by (*subst subst-eq-replace, simp*)

also have ... =

$$((\exists tt_1 \cdot \exists tt_2 \cdot (P[\langle \rangle / \$tr][\ll tt_1 \gg / \$tr'] ;; Q[\langle \rangle / \$tr][\ll tt_2 \gg / \$tr'] \wedge \$tr' - \$tr =_u \ll tt_1 \gg \hat{=}_u \ll tt_2 \gg) \wedge \$tr' \geq_u \$tr)$$

by (*simp add: usubst unrest*)

also have ... =

$$(\exists tt_1 \cdot \exists tt_2 \cdot (P[\langle \rangle / \$tr][\ll tt_1 \gg / \$tr'] ;; Q[\langle \rangle / \$tr][\ll tt_2 \gg / \$tr'] \wedge (\$tr' - \$tr =_u \ll tt_1 \gg \hat{=}_u \ll tt_2 \gg \wedge \$tr' \geq_u \$tr)))$$

```

    by pred-tac
  also have ... =
    (( $\exists \ tt_1 \cdot \exists \ tt_2 \cdot (P[\langle \rangle / \$tr][\langle \langle tt_1 \rangle \rangle / \$tr'] \;; Q[\langle \rangle / \$tr][\langle \langle tt_2 \rangle \rangle / \$tr'])$ 
       $\wedge \$tr' =_u \$tr \hat{^}_u \langle \langle tt_1 \rangle \rangle \hat{^}_u \langle \langle tt_2 \rangle \rangle$ ))
  proof -
    have  $\bigwedge \ tt_1 \ tt_2. (((\$tr' - \$tr =_u \langle \langle tt_1 \rangle \rangle \hat{^}_u \langle \langle tt_2 \rangle \rangle) \wedge \$tr' \geq_u \$tr) :: (' \vartheta, ' \alpha, ' \alpha) \text{ relation-rp})$ 
      =  $(\$tr' =_u \$tr \hat{^}_u \langle \langle tt_1 \rangle \rangle \hat{^}_u \langle \langle tt_2 \rangle \rangle)$ 
    by (rel-tac, metis prefix-subst strict-prefixE)
    thus ?thesis by simp
  qed
  also have ... = ( $R2(P) \;; R2(Q)$ )
    by (simp add: R2-seqr-form)
  finally show ?thesis .
qed

```

```

lemma R3c-idem:  $R3c(R3c(P)) = R3c(P)$ 
  by rel-tac

```

```

end

```