Isabelle/UTP: Mechanised reasoning for the UTP

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1 Parser Utilities		
theory utp-parser-utils		
imports		
Main begin		
begin		
syntax		
$-id$ -string :: $id \Rightarrow string (IDSTR'(-'))$		
$\mathbf{ML}\ \langle\!\langle$		
$signature\ UTP\text{-}PARSER\text{-}UTILS =$		
siq		
$val \ mk$ - $nib : int -> Ast.ast$		
$val\ mk\text{-}char: string \ -> \ Ast.ast$		
$val\ mk\text{-}string: string\ list\ ->\ Ast.ast$		
$val\ string\text{-}ast\text{-}tr: Ast.ast\ list\ ->\ Ast.ast$		
end;		
structure Utp-Parser-Utils : UTP-PARSER-UTIL	S =	
struct		
val mk-nib =		
Ast. Constant o Lexicon.mark-const o		
$fst\ o\ Term. dest-Const\ o\ HOLogic.mk-char;$		
fun mk char e —		
$fun \ mk\text{-}char \ s = $ $if \ Symbol.is\text{-}ascii \ s \ then$		
Ast.Appl [Ast.Constant @{const-syntax Char}]	mk-nib (ord s div 16). mk-nib (ord s mod	16)]
else error $(Non-ASCII\ symbol: \hat{\ } \ quote\ s);$	(5.2.5 300 20), 1000 (0.0.0 11000	· ~ \1

```
fun \ mk\text{-}string \ [] = Ast.Constant \ @\{const\text{-}syntax \ Nil\}
 \mid mk\text{-}string\ (c::cs) =
     Ast.Appl [Ast.Constant @{const-syntax List.Cons}, mk-char c, mk-string cs];
fun \ string-ast-tr \ [Ast. Variable \ str] =
     (case Lexicon.explode-str (str, Position.none) of
       [] =>
         Ast.Appl
           [Ast.Constant @{syntax-const - constrain}],
            Ast. Constant @{const-syntax Nil}, Ast. Constant @{type-syntax string}}
     |ss| > mk-string (map Symbol-Pos.symbol ss))
 | string-ast-tr [Ast.Appl [Ast.Constant @{syntax-const -constrain}, ast1, ast2]] =
     Ast.Appl [Ast.Constant @{syntax-const -constrain}, string-ast-tr [ast1], ast2]
  | string-ast-tr \ asts = raise \ Ast.AST \ (string-tr, \ asts);
end
signature NAME-UTILS =
sig
  val\ deep-unmark-const: term\ ->\ term
 val\ right\text{-}crop\text{-}by: int} -> string -> string
  val\ last\text{-}char\text{-}str:string\ ->\ string
  val\ repeat\text{-}char: char -> int -> string
  val\ mk\text{-}id:string \ -> term
end;
structure\ Name-Utils: NAME-UTILS =
struct
 fun\ unmark-const-term\ (Const\ (name,\ typ)) =
    Const (Lexicon.unmark-const name, typ)
  | unmark-const-term \ term = term;
  val\ deep-unmark-const =
   (map-aterms unmark-const-term);
 fun \ right-crop-by \ n \ s =
   String.substring (s, \theta, (String.size \ s) - n);
 fun\ last-char-str\ s =
   String.str (String.sub (s, (String.size s) - 1));
 fun \ repeat-char \ c \ n =
   if n > 0 then (String.str c) \hat{} (repeat-char c (n-1)) else;
 fun \ mk\text{-}id \ name = Free \ (name, \ dummyT);
end;
\rangle\rangle
parse-translation \langle \langle
 fun\ id\text{-}string\text{-}tr\ [Free\ (full\text{-}name,\ -)] = HOLogic.mk\text{-}string\ full\text{-}name
     id\text{-string-tr} [Const (full-name, -)] = HOLogic.mk\text{-string full-name}
    id-string-tr - = raise Match;
 [(@\{syntax\text{-}const\text{-}id\text{-}string\},\ K\ id\text{-}string\text{-}tr)]
```

```
\stackrel{end}{\rangle\rangle} end
```

2 UTP variables

```
theory utp-var
 imports
 Deriv
 ~~/src/HOL/Library/Prefix-Order
 ^{\sim\sim}/src/HOL/Library/Char-ord
 ^{\sim\sim}/src/HOL/Library/Product\text{-}Order
 \sim\sim /src/Tools/Adhoc-Overloading
 ^{\sim\sim}/src/HOL/Library/Monad-Syntax
 \sim \sim /src/HOL/Library/Countable
 \sim\sim/src/HOL/Library/Order-Continuity
 ^{\sim\sim}/src/HOL/Eisbach/Eisbach
 ../contrib/Algebra/Complete\text{-}Lattice
 .../contrib/Algebra/Galois-Connection
 ../optics/Lenses
 ../utils/Profiling
 ../utils/TotalRecall
 ../utils/Library-extra/Pfun
 ../utils/Library-extra/Ffun
 .../utils/Library-extra/List-lexord-alt
 .../utils/Library-extra/Monoid-extra
  utp-parser-utils
begin
```

In this first UTP theory we set up variable, which are are built on lenses. A large part of this theory is setting up the parser for UTP variable syntax.

2.1 Initial syntax setup

We will overload the square order relation with refinement and also the lattice operators so we will turn off these notations.

```
purge-notation
```

```
Order.le (infixl \sqsubseteq1 50) and
Lattice.sup (\bigsqcup1- [90] 90) and
Lattice.inf (\bigcap1- [90] 90) and
Lattice.join (infixl \sqcup1 65) and
Lattice.meet (infixl \sqcap1 70) and
LFP (\mu1) and
GFP (\nu1) and
Set.member (op:) and
Set.member ((-/:-) [51, 51] 50)
```

We hide HOL's built-in relation type since we will replace it with our own

```
hide-type rel

type-synonym 'a relation = ('a \times 'a) set

declare fst-vwb-lens [simp]

declare snd-vwb-lens [simp]

declare comp-vwb-lens [simp]
```

```
declare lens-indep-left-ext [simp] declare lens-indep-right-ext [simp]
```

2.2 Variable foundations

This theory describes the foundational structure of UTP variables, upon which the rest of our model rests. We start by defining alphabets, which following [2, 3] in this shallow model are simply represented as types ' α , though by convention usually a record type where each field corresponds to a variable. UTP variables in this frame are simply modelled as lenses ' $a \Longrightarrow '\alpha$, where the view type 'a is the variable type, and the source type ' α is the alphabet or state-space type.

We define some lifting functions for variables to create input and output variables. These simply lift the alphabet to a tuple type since relations will ultimately be defined by a tuple alphabet.

```
definition in-var :: ('a \Longrightarrow '\alpha) \Rightarrow ('a \Longrightarrow '\alpha \times '\beta) where [lens-defs]: in-var x = x;<sub>L</sub> fst<sub>L</sub>

definition out-var :: ('a \Longrightarrow '\beta) \Rightarrow ('a \Longrightarrow '\alpha \times '\beta) where [lens-defs]: out-var x = x;<sub>L</sub> snd<sub>L</sub>
```

Variables can also be used to effectively define sets of variables. Here we define the universal alphabet (Σ) to be the bijective lens I_L . This characterises the whole of the source type, and thus is effectively the set of all alphabet variables.

```
abbreviation (input) univ-alpha :: ('\alpha \Longrightarrow '\alpha) (\Sigma) where univ-alpha \equiv 1_L
```

The next construct is vacuous and simply exists to help the parser distinguish predicate variables from input and output variables.

```
definition pr\text{-}var :: ('a \Longrightarrow '\beta) \Rightarrow ('a \Longrightarrow '\beta) where [simp]: pr\text{-}var \ x = x
```

2.3 Variable lens properties

We can now easily show that our UTP variable construction are various classes of well-behaved lens .

```
lemma in-var-semi-uvar [simp]:

mwb-lens x \Longrightarrow mwb-lens (in-var x)

by (simp add: comp-mwb-lens in-var-def)

lemma in-var-uvar [simp]:

vwb-lens x \Longrightarrow vwb-lens (in-var x)

by (simp add: in-var-def)

lemma out-var-semi-uvar [simp]:

mwb-lens x \Longrightarrow mwb-lens (out-var x)

by (simp add: comp-mwb-lens out-var-def)

lemma out-var-uvar [simp]:

vwb-lens x \Longrightarrow vwb-lens (out-var x)

by (simp add: out-var-def)
```

Moreover, we can show that input and output variables are independent, since they refer to different sections of the alphabet.

```
lemma in-out-indep [simp]:
  in\text{-}var \ x \bowtie out\text{-}var \ y
  by (simp add: lens-indep-def in-var-def out-var-def fst-lens-def snd-lens-def lens-comp-def)
lemma out-in-indep [simp]:
  out\text{-}var \ x \bowtie in\text{-}var \ y
  by (simp add: lens-indep-def in-var-def out-var-def fst-lens-def snd-lens-def lens-comp-def)
lemma in-var-indep [simp]:
  x \bowtie y \Longrightarrow in\text{-}var \ x \bowtie in\text{-}var \ y
 by (simp add: in-var-def out-var-def)
lemma out-var-indep [simp]:
  x \bowtie y \Longrightarrow out\text{-}var \ x \bowtie out\text{-}var \ y
 by (simp add: out-var-def)
lemma prod-lens-indep-in-var [simp]:
  a\bowtie x\Longrightarrow a\times_L b\bowtie in\mbox{-}var\ x
  by (metis in-var-def in-var-indep out-in-indep out-var-def plus-pres-lens-indep prod-as-plus)
lemma prod-lens-indep-out-var [simp]:
  b\bowtie x\Longrightarrow a\times_L b\bowtie out\text{-}var\ x
 by (metis in-out-indep in-var-def out-var-def out-var-indep plus-pres-lens-indep prod-as-plus)
```

2.4 Lens simplifications

We also define some lookup abstraction simplifications.

```
lemma var-lookup-in [simp]: lens-get (in-var x) (A, A') = lens-get x A
by (simp add: in-var-def fst-lens-def lens-comp-def)
lemma var-lookup-out [simp]: lens-get (out-var x) (A, A') = lens-get x A'
by (simp add: out-var-def snd-lens-def lens-comp-def)
lemma var-update-in [simp]: lens-put (in-var x) (A, A') v = (lens-put x A v, A')
by (simp add: in-var-def fst-lens-def lens-comp-def)
lemma var-update-out [simp]: lens-put (out-var x) (A, A') v = (A, lens-put x A' v)
by (simp add: out-var-def snd-lens-def lens-comp-def)
```

2.5 Syntax translations

In order to support nice syntax for variables, we here set up some translations. The first step is to introduce a collection of non-terminals.

nonterminal svid and svar and svar-list and salpha

These non-terminals correspond to the following syntactic entities. Non-terminal *svid* is an atomic variable identifier. *svar* is a decorated variable, such as an input or output variable, and *svar-list* is a list of decorated variables. *salpha* is an alphabet or set of variables. Such sets can be constructed only through lens composition due to typing restrictions. Next we introduce some syntax constructors.

```
syntax — Identifiers

-svid :: id \Rightarrow svid (- [999] 999)

-svid\text{-}alpha :: svid (\Sigma)
```

```
-svid-empty :: svid (\emptyset)
-svid-dot :: svid \Rightarrow svid \Rightarrow svid (-:- [999,998] 999)
```

A variable identifier can either be a HOL identifier, the complete set of variables in the alphabet Σ , the empty set \emptyset , or a composite identifier separated by colons, which corresponds to a sort of qualification. The final option is effectively a lens composition.

```
syntax — Decorations

-spvar :: svid \Rightarrow svar (&- [998] 998)

-sinvar :: svid \Rightarrow svar ($- [998] 998)

-soutvar :: svid \Rightarrow svar ($- [998] 998)
```

A cariable can be decorated with an ampersand, to indicate it is a predicate variable, with a dollar to indicate its an unprimed relational variable, or a dollar and "acute" symbol to indicate its a primed relational variable. Isabelle's parser is extensible so additional decorations can be and are added later.

```
syntax — Variable sets
-salphaid :: id \Rightarrow salpha \ (-[998] 998)
-salphavar :: svar \Rightarrow salpha \ (-[998] 998)
-salphacomp :: salpha \Rightarrow salpha \Rightarrow salpha \ (infixr; 75)
-svar-nil :: svar \Rightarrow svar-list \ (-)
-svar-cons :: svar \Rightarrow svar-list \Rightarrow svar-list \ (-,/ -)
-salphaset :: svar-list \Rightarrow salpha \ (\{-\})
```

The terminals of an alphabet are either HOL identifiers or UTP variable identifiers. We support two ways of constructing alphabets; by composition of smaller alphabets using a semi-colon or by a set-style construction $\{a, b, c\}$ with a list of UTP variables.

```
syntax — Quotations

-ualpha-set :: svar-list \Rightarrow logic ({-}_{\alpha})

-svar :: svar \Rightarrow logic ('(-')_{v})
```

-salphamk :: $logic \Rightarrow salpha$

For various reasons, the syntax constructors above all yield specific grammar categories and will not parser at the HOL top level (basically this is to do with us wanting to reuse the syntax for expressions). As a result we provide some quotation constructors above.

Next we need to construct the syntax translations rules. First we need a few polymorphic constants.

consts

```
svar :: 'v \Rightarrow 'e

ivar :: 'v \Rightarrow 'e

ovar :: 'v \Rightarrow 'e
```

adhoc-overloading

```
svar pr-var and ivar in-var and ovar out-var
```

The functions above turn a representation of a variable (type 'v), including its name and type, into some lens type 'e. svar constructs a predicate variable, ivar and input variables, and ovar and output variable. The functions bridge between the model and encoding of the variable and its interpretation as a lens in order to integrate it into the general lens-based framework. Overriding these functions is then all we need to make use of any kind of variables in terms of interfacing it with the system.

Finally, we set up the translations rules.

translations

```
    Identifiers

-svid x \rightharpoonup x
-svid-alpha \rightleftharpoons \Sigma
-svid\text{-}empty \rightleftharpoons \theta_L
-svid\text{-}dot \ x \ y \rightharpoonup y \ ;_L \ x
— Decorations
-spvar \Sigma \leftarrow CONST \ svar \ CONST \ id-lens
-sinvar \Sigma \leftarrow CONST ivar 1_L
-soutvar \Sigma \leftarrow CONST ovar 1_L
-sinvar (-svid-dot \ x \ y) \leftarrow CONST \ ivar \ (CONST \ lens-comp \ y \ x)
-soutvar \ (-svid-dot \ x \ y) \leftarrow CONST \ ovar \ (CONST \ lens-comp \ y \ x)
-spvar \ x \implies CONST \ svar \ x
-sinvar x \Rightarrow CONST ivar x
-soutvar x \rightleftharpoons CONST \ ovar \ x
— Alphabets
-salphaid x \rightharpoonup x
-salphacomp \ x \ y \rightharpoonup x +_L \ y
-salphavar x \rightharpoonup x
-svar-nil \ x \rightharpoonup x
-svar\text{-}cons \ x \ xs \rightharpoonup x +_L \ xs
-salphaset A \rightharpoonup A
(-svar\text{-}cons\ x\ (-salphamk\ y)) \leftarrow -salphamk\ (x +_L\ y)
x \leftarrow -salphamk \ x
— Quotations
-ualpha-set A \rightharpoonup A
-svar \ x \rightharpoonup x
```

The translation rules mainly convert syntax into lens constructions, using a mixture of lens operators and the bespoke variable definitions. Notably, a colon variable identifier qualification becomes a lens composition, and variable sets are constructed using len sum. The translation rules are carefully crafted to ensure both parsing and pretty printing.

Finally we create the following useful utility translation function that allows us to construct a UTP variable (lens) type given a return and alphabet type.

```
 \begin{array}{lll} \mathbf{syntax} & & \\ -uvar\text{-}ty & & \text{:: } type \Rightarrow type \\ \\ \mathbf{parse-translation} & & \\ let & & \\ fun \ uvar\text{-}ty\text{-}tr \ [ty] = Syntax.const \ @\{type\text{-}syntax \ lens\} \ \$ \ ty \ \$ \ Syntax.const \ @\{type\text{-}syntax \ dummy\} \\ & & | \ uvar\text{-}ty\text{-}tr \ ts = raise \ TERM \ (uvar\text{-}ty\text{-}tr, \ ts); \\ in \ [(@\{syntax\text{-}const \ -uvar\text{-}ty\}, \ K \ uvar\text{-}ty\text{-}tr)] \ end \\ ) \\ \end{array}
```

3 UTP expressions

```
theory utp-expr
imports
utp-var
begin
```

end

3.1 Expression type

```
purge-notation BNF-Def.convol (\langle (-,/-) \rangle)
```

Before building the predicate model, we will build a model of expressions that generalise alphabetised predicates. Expressions are represented semantically as mapping from the alphabet ' α to the expression's type 'a. This general model will allow us to unify all constructions under one type. The majority definitions in the file are given using the *lifting* package [4], which allows us to reuse much of the existing library of HOL functions.

```
typedef ('t, '\alpha) uexpr = UNIV :: ('\alpha \Rightarrow 't) set .. setup-lifting type-definition-uexpr notation Rep-uexpr (\llbracket - \rrbracket_e) lemma uexpr-eq-iff: e = f \longleftrightarrow (\forall b. \ \llbracket e \rrbracket_e \ b = \llbracket f \rrbracket_e \ b)
```

using Rep-uexpr-inject[of e f, THEN sym] by (auto)

The term $[e]_e$ b effectively refers to the semantic interpretation of the expression under the statespace valuation (or variables binding) b. It can be used, in concert with the lifting package, to interpret UTP constructs to their HOL equivalents. We create some theorem sets to store such transfer theorems.

named-theorems ueval and lit-simps

3.2 Core expression constructs

A variable expression corresponds to the lens *get* function associated with a variable. Specifically, given a lens the expression always returns that portion of the state-space referred to by the lens.

```
lift-definition var :: ('t \Longrightarrow '\alpha) \Rightarrow ('t, '\alpha) \ uexpr \ is \ lens-get \ .
```

A literal is simply a constant function expression, always returning the same value for any binding.

```
lift-definition lit :: 't \Rightarrow ('t, '\alpha) uexpr is \lambda v b. v.
```

We define lifting for unary, binary, ternary, and quaternary expression constructs, that simply take a HOL function with correct number of arguments and apply it function to all possible results of the expressions.

```
lift-definition uop :: ('a \Rightarrow 'b) \Rightarrow ('a, '\alpha) \ uexpr \Rightarrow ('b, '\alpha) \ uexpr is \lambda \ f \ e \ b . \ f \ (e \ b).
lift-definition bop :: ('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow ('a, '\alpha) \ uexpr \Rightarrow ('b, '\alpha) \ uexpr \Rightarrow ('c, '\alpha) \ uexpr is \lambda \ f \ u \ v \ b . \ f \ (u \ b) \ (v \ b).
lift-definition trop :: ('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd) \Rightarrow ('a, '\alpha) \ uexpr \Rightarrow ('b, '\alpha) \ uexpr \Rightarrow ('c, '\alpha) \ uexpr \Rightarrow ('d, '\alpha) \ uexpr is \lambda \ f \ u \ v \ w \ b . \ f \ (u \ b) \ (v \ b) \ (w \ b).
lift-definition qtop :: ('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd \Rightarrow 'e) \Rightarrow ('a, '\alpha) \ uexpr \Rightarrow ('b, '\alpha) \ uexpr \Rightarrow ('d, '\alpha) \ uexpr \Rightarrow ('e, '\alpha) \ uexpr is \lambda \ f \ u \ v \ w \ x \ b . \ f \ (u \ b) \ (v \ b) \ (w \ b) \ (x \ b).
```

We also define a UTP expression version of function (λ) abstraction, that takes a function producing an expression and produces an expression producing a function.

```
lift-definition ulambda :: ('a \Rightarrow ('b, '\alpha) \ uexpr) \Rightarrow ('a \Rightarrow 'b, '\alpha) \ uexpr is \lambda \ f \ A \ x. \ f \ x \ A.
```

UTP expression is equality is simply HOL equality lifted using the bop binary expression constructor.

```
definition eq-upred :: ('a, '\alpha) uexpr \Rightarrow ('a, '\alpha) uexpr \Rightarrow (bool, '\alpha) uexpr where eq-upred x y = bop HOL.eq x y
```

We define syntax for expressions using adhoc-overloading – this allows us to later define operators on different types if necessary (e.g. when adding types for new UTP theories).

consts

```
ulit :: 't \Rightarrow 'e \ (\ll -\gg)

ueq :: 'a \Rightarrow 'a \Rightarrow 'b \ (\mathbf{infixl} =_u 50)
```

adhoc-overloading

```
ulit lit and ueq eq-upred
```

A literal is the expression $\ll v \gg$, where v is any HOL term. Actually, the literal construct is very versatile and also allows us to refer to HOL variables within UTP expressions, and has a variety of other uses. It can therefore also be considered as a kind of quotation mechanism.

We also set up syntax for UTP variable expressions.

syntax

```
-uuvar :: svar \Rightarrow logic (-) translations
```

```
-uuvar x == CONST var x
```

Since we already have a parser for variables, we can directly reuse it and simply apply the *var* expression construct to lift the resulting variable to an expression.

3.3 Type class instantiations

Isabelle/HOL of course provides a large hierarchy of type classes that provide constructs such as numerals and the arithmetic operators. Fortunately we can directly make use of these for UTP expressions, and thus we now perform a long list of appropriate instantiations. We first lift the core arithmetic constants and operators using a mixture of literals, unary, and binary expression constructors.

```
instantiation uexpr :: (zero, type) zero begin definition zero\text{-}uexpr\text{-}def : 0 = lit \ 0 instance .. end instantiation uexpr :: (one, type) \ one begin definition one\text{-}uexpr\text{-}def : 1 = lit \ 1 instance ..
```

end

```
instantiation uexpr :: (plus, type) plus
 definition plus-uexpr-def: u + v = bop (op +) u v
instance ..
end
It should be noted that instantiating the unary minus class, uminus, will also provide negation
UTP predicates later.
instantiation uexpr :: (uminus, type) uminus
 definition uminus-uexpr-def: -u = uop uminus u
instance ..
end
instantiation uexpr :: (minus, type) minus
 definition minus-uexpr-def: u - v = bop (op -) u v
instance ..
end
instantiation uexpr :: (times, type) times
 definition times-uexpr-def : u * v = bop (op *) u v
instance ...
end
instance \ uexpr :: (Rings.dvd, \ type) \ Rings.dvd ..
instantiation uexpr :: (divide, type) divide
 definition divide-uexpr :: ('a, 'b) uexpr \Rightarrow ('a, 'b) uexpr \Rightarrow ('a, 'b) uexpr where
 divide-uexpr u v = bop divide u v
instance ..
end
instantiation uexpr :: (inverse, type) inverse
 definition inverse\text{-}uexpr :: ('a, 'b) \ uexpr \Rightarrow ('a, 'b) \ uexpr
 where inverse-uexpr u = uop inverse u
instance ..
end
instantiation uexpr :: (modulo, type) modulo
 definition mod\text{-}uexpr\text{-}def : u \ mod \ v = bop \ (op \ mod) \ u \ v
instance ..
end
instantiation uexpr :: (sgn, type) sgn
 definition sgn\text{-}uexpr\text{-}def: sgn\ u = uop\ sgn\ u
instance ..
end
```

```
instantiation uexpr :: (abs, type) abs
begin
 definition abs-uexpr-def: abs u = uop abs u
instance ..
end
```

Once we've set up all the core constructs for arithmetic, we can also instantiate the type classes for various algebras, including groups and rings. The proofs are done by definitional expansion, the transfer tactic, and then finally the theorems of the underlying HOL operators. This is

```
mainly routine, so we don't comment further.
instance\ uexpr::(semigroup-mult,\ type)\ semigroup-mult
 by (intro-classes) (simp add: times-uexpr-def one-uexpr-def, transfer, simp add: mult.assoc)+
instance uexpr :: (monoid-mult, type) monoid-mult
 by (intro-classes) (simp add: times-uexpr-def one-uexpr-def, transfer, simp)+
instance uexpr :: (semigroup-add, type) semigroup-add
 by (intro-classes) (simp add: plus-uexpr-def zero-uexpr-def, transfer, simp add: add.assoc)+
instance uexpr :: (monoid-add, type) monoid-add
 by (intro-classes) (simp add: plus-uexpr-def zero-uexpr-def, transfer, simp)+
instance uexpr :: (ab-semigroup-add, type) ab-semigroup-add
 by (intro-classes) (simp add: plus-uexpr-def, transfer, simp add: add.commute)+
instance uexpr :: (cancel-semigroup-add, type) cancel-semigroup-add
 by (intro-classes) (simp add: plus-uexpr-def, transfer, simp add: fun-eq-iff)+
instance uexpr :: (cancel-ab-semigroup-add, type) cancel-ab-semigroup-add
 by (intro-classes, (simp add: plus-uexpr-def minus-uexpr-def, transfer, simp add: fun-eq-iff add.commute
cancel-ab-semigroup-add-class.diff-diff-add)+)
instance uexpr :: (group-add, type) group-add
 by (intro-classes)
    (simp add: plus-uexpr-def uminus-uexpr-def minus-uexpr-def zero-uexpr-def, transfer, simp)+
instance \ uexpr :: (ab-group-add, \ type) \ ab-group-add
 by (intro-classes)
    (simp add: plus-uexpr-def uminus-uexpr-def minus-uexpr-def zero-uexpr-def, transfer, simp)+
instance uexpr :: (semiring, type) semiring
 by (intro-classes) (simp add: plus-uexpr-def times-uexpr-def, transfer, simp add: fun-eq-iff add.commute
```

 $semiring-class.distrib-right\ semiring-class.distrib-left)+$

instance *uexpr* :: (ring-1, type) ring-1

by (intro-classes) (simp add: plus-uexpr-def uminus-uexpr-def minus-uexpr-def times-uexpr-def zero-uexpr-def one-uexpr-def, transfer, simp add: fun-eq-iff)+

We can also define the order relation on expressions. Now, unlike the previous group and ring constructs, the order relations $op \leq and op \leq return a bool type.$ This order is not therefore the lifted order which allows us to compare the valuation of two expressions, but rather the order on expressions themselves. Notably, this instantiation will later allow us to talk about predicate refinements and complete lattices.

instantiation uexpr :: (ord, type) ord

```
begin
   lift-definition less-eq-uexpr :: ('a, 'b) uexpr \Rightarrow ('a, 'b) uexpr \Rightarrow bool
   is \lambda P Q. (\forall A. P A \leq Q A).
   definition less-uexpr :: ('a, 'b) uexpr \Rightarrow ('a, 'b) uexpr \Rightarrow bool
   where less-uexpr P Q = (P \leq Q \land \neg Q \leq P)
instance ..
end
UTP expressions whose return type is a partial ordered type, are also partially ordered as the
following instantiation demonstrates.
instance uexpr :: (order, type) order
proof
   fix x y z :: ('a, 'b) uexpr
   show (x < y) = (x \le y \land \neg y \le x) by (simp\ add:\ less-uexpr-def)
   show x \leq x by (transfer, auto)
   \mathbf{show}\ x \leq y \Longrightarrow y \leq z \Longrightarrow x \leq z
      by (transfer, blast intro:order.trans)
   show x \leq y \Longrightarrow y \leq x \Longrightarrow x = y
      by (transfer, rule ext, simp add: eq-iff)
qed
We also lift the properties from certain ordered groups.
instance uexpr :: (ordered-ab-group-add, type) ordered-ab-group-add
   by (intro-classes) (simp add: plus-uexpr-def, transfer, simp)
instance\ uexpr::(ordered-ab-group-add-abs,\ type)\ ordered-ab-group-add-abs
   apply (intro-classes)
   apply (simp add: abs-uexpr-def zero-uexpr-def plus-uexpr-def uminus-uexpr-def, transfer, simp add:
abs-ge-self abs-le-iff abs-triangle-ineg)+
 \mathbf{apply} \; (\textit{metis ab-group-add-class.ab-diff-conv-add-uminus abs-ge-minus-self abs-ge-self add-mono-thms-linordered-semiring apply} \; (\textit{metis ab-group-add-class.ab-diff-conv-add-uminus-abs-ge-minus-self abs-ge-self add-mono-thms-linordered-semiring apply} \; (\textit{metis ab-group-add-class.ab-diff-conv-add-uminus-abs-ge-minus-abs-ge-minus-abs-ge-minus-abs-ge-minus-abs-ge-minus-abs-ge-minus-abs-ge-minus-abs-ge-minus-abs-ge-minus-abs-ge-minus-abs-ge-minus-abs-ge-minus-abs-ge-minus-abs-ge-minus-abs-ge-minus-abs-ge-minus-abs-ge-minus-abs-ge-minus-abs-ge-minus-abs-ge-minus-abs-ge-minus-abs-ge-minus-abs-ge-minus-abs-ge-minus-abs-ge-minus-abs-ge-minus-abs-ge-minus-abs-ge-minus-abs-ge-minus-abs-ge-minus-abs-ge-minus-abs-ge-minus-abs-ge-minus-abs-ge-minus-abs-ge-minus-abs-ge-minus-abs-ge-minus-
done
The following instantiation sets up numerals. This will allow us to have Isabelle number repre-
sentations (i.e. 3,7,42,198 etc.) to UTP expressions directly.
instance uexpr :: (numeral, type) numeral
   by (intro-classes, simp add: plus-uexpr-def, transfer, simp add: add.assoc)
The following two theorems also set up interpretation of numerals, meaning a UTP numeral
can always be converted to a HOL numeral.
lemma numeral-uexpr-rep-eq: [numeral \ x]_e b = numeral \ x
   apply (induct \ x)
   apply (simp add: lit.rep-eq one-uexpr-def)
   \mathbf{apply} \ (simp \ add: \ bop.rep-eq \ numeral\text{-}Bit0 \ plus-uexpr-def)
   apply (simp add: bop.rep-eq lit.rep-eq numeral-code(3) one-uexpr-def plus-uexpr-def)
done
lemma numeral-uexpr-simp: numeral x = «numeral x»
   by (simp add: uexpr-eq-iff numeral-uexpr-rep-eq lit.rep-eq)
We can also lift a few arithmetic properties from the class instantiations above using transfer.
lemma uexpr-diff-zero [simp]:
   fixes a :: ('\alpha :: trace, 'a) \ uexpr
```

by (simp add: minus-uexpr-def zero-uexpr-def, transfer, auto)

shows $a - \theta = a$

```
lemma uexpr-add-diff-cancel-left [simp]:
fixes a \ b :: ('\alpha :: trace, 'a) \ uexpr
shows (a + b) - a = b
by (simp \ add : minus-uexpr-def \ plus-uexpr-def, \ transfer, \ auto)
```

3.4 Overloaded expression constructors

For convenience, we often want to utilise the same expression syntax for multiple constructs. This can be achieved using ad-hoc overloading. We create a number of polymorphic constants and then overload their definitions using appropriate implementations. In order for this to work, each collection must have its own unique type. Thus we do not use the HOL map type directly, but rather our own partial function type, for example.

consts

```
— Empty elements, for example empty set, nil list, 0...
uempty
— Function application, map application, list application...
            :: 'f \Rightarrow 'k \Rightarrow 'v
uapply
— Function update, map update, list update...
            :: 'f \Rightarrow 'k \Rightarrow 'v \Rightarrow 'f
— Domain of maps, lists...
             :: 'f \Rightarrow 'a \ set
udom
— Range of maps, lists...
           :: 'f \Rightarrow 'b \ set
uran
 — Domain restriction
udomres
            :: 'a \ set \Rightarrow 'f \Rightarrow 'f
— Range restriction
            :: 'f \Rightarrow 'b \ set \Rightarrow 'f
uranres
— Collection cardinality
ucard
            :: 'f \Rightarrow nat
— Collection summation
             :: 'f \Rightarrow 'a
usums
```

We need a function corresponding to function application in order to overload.

```
definition fun-apply :: ('a \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'b)
where fun-apply f x = f x
declare fun-apply-def [simp]
```

We then set up the overloading for a number of useful constructs for various collections.

adhoc-overloading

```
uempty 0 and
uapply fun-apply and uapply nth and uapply pfun-app and
uapply ffun-app and
uupply ffun-app and
uupply ffun-uppl and uupply ffun-uppl and uupply list-upplate and
uupply mand uupply ffun-uppl and uupply list-upplate and
udom Domain and udom pdom and udom fdom and udom seq-dom and
udom Range and uran pran and uran fran and uran set and
udomres pdom-res and udomres fdom-res and
uranres pran-res and udomres fran-res and
ucard card and ucard pcard and ucard length and
usums list-sum and usums Sum
```

3.5 Syntax translations

The follows a large number of translations that lift HOL functions to UTP expressions using the various expression constructors defined above. Much of the time we try to keep the HOL syntax but add a "u" subscript.

```
abbreviation ulens-override x f g \equiv lens-override f g x
```

We add new non-terminals for UTP tuples and maplets.

nonterminal utuple-args and umaplet and umaplets

```
syntax — Core expression constructs
  -ucoerce :: logic \Rightarrow type \Rightarrow logic (infix :<sub>u</sub> 50)
                 :: pttrn \Rightarrow logic \Rightarrow logic (\lambda - \cdot - [0, 10] 10)
  -ulens-ovrd :: logic \Rightarrow logic \Rightarrow svar \Rightarrow logic (- \oplus - on - [85, 0, 86] 86)
translations
  \lambda x \cdot p = CONST \ ulambda \ (\lambda x. p)
  x:_{u}'a == x:('a, -) uexpr
  -ulens-over f g a == CONST bop (CONST ulens-override a) f g
syntax — Tuples
                (a, '\alpha) \ uexpr \Rightarrow utuple-args \Rightarrow (a * b, '\alpha) \ uexpr ((1'(-,/-')_u))
  -utuple
  -utuple-arg :: ('a, '\alpha) \ uexpr \Rightarrow utuple-args (-)
                                                                                   (-,/ -)
  -utuple-args :: ('a, '\alpha) \ uexpr => utuple-args \Rightarrow utuple-args
  -uunit
               :: ('a, '\alpha) \ uexpr ('(')_u)
  -ufst
               :: ('a \times 'b, '\alpha) \ uexpr \Rightarrow ('a, '\alpha) \ uexpr (\pi_1'(-'))
                :: ('a \times 'b, '\alpha) \ uexpr \Rightarrow ('b, '\alpha) \ uexpr \ (\pi_2'(-'))
  -usnd
translations
            == «()»
  ()_u
  (x, y)_u = CONST \ bop \ (CONST \ Pair) \ x \ y
  -utuple \ x \ (-utuple-args \ y \ z) == -utuple \ x \ (-utuple-arg \ (-utuple \ y \ z))
  \pi_1(x)
            == CONST \ uop \ CONST \ fst \ x
             == CONST \ uop \ CONST \ snd \ x
  \pi_2(x)
syntax — Polymorphic constructs
  -umap-empty :: logic ([]_u)
                :: ('a \Rightarrow 'b, '\alpha) \ uexpr \Rightarrow utuple-args \Rightarrow ('b, '\alpha) \ uexpr (-(-)_u [999,0] 999)
  -uapply
               :: [logic, logic] => umaplet (-/\mapsto/-)
  -umaplet
              :: umaplet => umaplets
  -UMaplets :: [umaplet, umaplets] => umaplets (-,/-)
  -UMapUpd :: [logic, umaplets] => logic (-/'(-')_u [900,0] 900)
  -UMap
                  :: umaplets => logic ((1[-]_u))
  -ucard
                :: logic \Rightarrow logic (\#_u'(-'))
  -uless
               :: logic \Rightarrow logic \Rightarrow logic (infix <_u 50)
  -uleq
               :: logic \Rightarrow logic \Rightarrow logic (infix \leq_u 50)
               :: logic \Rightarrow logic \Rightarrow logic (infix >_u 50)
  -ugreat
                :: logic \Rightarrow logic \Rightarrow logic (infix \geq_u 50)
  -ugeq
  -uceil
               :: logic \Rightarrow logic ([-]_u)
  -ufloor
               :: logic \Rightarrow logic (|-|_u)
                :: logic \Rightarrow logic (dom_u'(-'))
  -udom
                :: logic \Rightarrow logic (ran_u'(-'))
  -uran
  -usum
                :: logic \Rightarrow logic (sum_u'(-'))
  -udom-res :: logic \Rightarrow logic \Rightarrow logic  (infixl \triangleleft_u 85)
  -uran-res :: logic \Rightarrow logic \Rightarrow logic \text{ (infixl } \triangleright_u 85)
```

```
-umin
                :: logic \Rightarrow logic \Rightarrow logic (min_u'(-, -'))
  -umax
                :: logic \Rightarrow logic \Rightarrow logic (max_u'(-, -'))
               :: logic \Rightarrow logic \Rightarrow logic (gcd_u'(-, -'))
  -ugcd
translations
  — Pretty printing for adhoc-overloaded constructs
            <= CONST \ uapply \ f \ x
  dom_u(f) <= CONST \ udom f
  ran_u(f) <= CONST uran f
  A \triangleleft_u f <= CONST \ udomres \ A f
 f \rhd_u A <= CONST \ uran res f A
  \#_u(f) <= CONST \ ucard f
 f(k \mapsto v)_u <= CONST \ uupd \ f \ k \ v

    Overloaded construct translations

 f(x,y)_u = CONST \ bop \ CONST \ uapply f(x,y)_u
           == CONST bop CONST uapply f x
  \#_{u}(xs) = CONST \ uop \ CONST \ ucard \ xs
  sum_u(A) == CONST \ uop \ CONST \ usums \ A
  dom_u(f) == CONST \ uop \ CONST \ udom f
  ran_u(f) == CONST \ uop \ CONST \ uran f
  ||_{u} =  \ll CONST \ uempty \gg
  A \vartriangleleft_u f == CONST \ bop \ (CONST \ udomres) \ A f
 f \rhd_u A == CONST \ bop \ (CONST \ uranres) f A
  -UMapUpd \ m \ (-UMaplets \ xy \ ms) == -UMapUpd \ (-UMapUpd \ m \ xy) \ ms
  -UMapUpd\ m\ (-umaplet\ x\ y)\ ==\ CONST\ trop\ CONST\ uupd\ m\ x\ y
  -UMap ms
                                     == -UMapUpd \mid_{u} ms
  -UMap \ (-UMaplets \ ms1 \ ms2) <= -UMap \ Upd \ (-UMap \ ms1) \ ms2
  -UMaplets\ ms1\ (-UMaplets\ ms2\ ms3) <= -UMaplets\ (-UMaplets\ ms1\ ms2)\ ms3
  — Type-class polymorphic constructs
  x <_u y = CONST \ bop \ (op <) \ x \ y
  x \leq_u y = CONST \ bop \ (op \leq) \ x \ y
  x >_u y == y <_u x
  x \ge_u y == y \le_u x
  min_u(x, y) = CONST \ bop \ (CONST \ min) \ x \ y
  max_u(x, y) = CONST \ bop \ (CONST \ max) \ x \ y
  gcd_u(x, y) = CONST \ bop \ (CONST \ gcd) \ x \ y
  [x]_u == CONST \ uop \ CONST \ ceiling \ x
  |x|_u == CONST \ uop \ CONST \ floor \ x
syntax — Lists / Sequences
              :: ('a \ list, '\alpha) \ uexpr (\langle \rangle)
  -unil
              :: args = \langle 'a \; list, '\alpha \rangle \; uexpr \quad (\langle (-) \rangle)
  -ulist
                :: ('a \ list, '\alpha) \ uexpr \Rightarrow ('a \ list, '\alpha) \ uexpr \Rightarrow ('a \ list, '\alpha) \ uexpr \ (infixr \ \hat{\ }_u \ 80)
  -uappend
              :: ('a \ list, '\alpha) \ uexpr \Rightarrow ('a, '\alpha) \ uexpr \ (last_u'(-'))
  -ulast
  -u front
               :: ('a \ list, '\alpha) \ uexpr \Rightarrow ('a \ list, '\alpha) \ uexpr \ (front_u'(-'))
                :: ('a list, '\alpha) uexpr \Rightarrow ('a, '\alpha) uexpr (head_u'(-'))
  -uhead
  -utail
              :: ('a \ list, '\alpha) \ uexpr \Rightarrow ('a \ list, '\alpha) \ uexpr \ (tail_u'(-'))
               :: (nat, '\alpha) \ uexpr \Rightarrow ('a \ list, '\alpha) \ uexpr \Rightarrow ('a \ list, '\alpha) \ uexpr \ (take_u'(-,/-'))
  -utake
                :: (nat, '\alpha) \ uexpr \Rightarrow ('a \ list, '\alpha) \ uexpr \Rightarrow ('a \ list, '\alpha) \ uexpr \ (drop_u'(-,/-'))
  -udrop
              :: ('a \ list, '\alpha) \ uexpr \Rightarrow ('a \ set, '\alpha) \ uexpr \Rightarrow ('a \ list, '\alpha) \ uexpr \ (infixl)_u \ 75)
  -ufilter
  -uextract :: ('a set, '\alpha) uexpr \Rightarrow ('a list, '\alpha) uexpr \Rightarrow ('a list, '\alpha) uexpr (infixl \(\extstyle u\))
                :: ('a list, '\alpha) uexpr \Rightarrow ('a set, '\alpha) uexpr (elems<sub>u</sub>'(-'))
  -uelems
  -usorted
                :: ('a list, '\alpha) uexpr \Rightarrow (bool, '\alpha) uexpr (sorted<sub>u</sub>'(-'))
```

```
-udistinct :: ('a list, '\alpha) uexpr \Rightarrow (bool, '\alpha) uexpr (distinct<sub>u</sub>'(-'))
translations
  \langle \rangle
           == «[]»
  \langle x, xs \rangle = CONST \ bop \ (op \#) \ x \ \langle xs \rangle
  \langle x \rangle = CONST \ bop \ (op \#) \ x \ll [] \gg
  x \hat{y} = CONST \ bop \ (op @) \ x \ y
  last_u(xs) == CONST \ uop \ CONST \ last \ xs
  front_u(xs) == CONST \ uop \ CONST \ butlast \ xs
  head_u(xs) == CONST \ uop \ CONST \ hd \ xs
  tail_{u}(xs) == CONST \ uop \ CONST \ tl \ xs
  drop_u(n,xs) == CONST \ bop \ CONST \ drop \ n \ xs
  take_u(n,xs) == CONST \ bop \ CONST \ take \ n \ xs
  elems_u(xs) == CONST \ uop \ CONST \ set \ xs
  sorted_u(xs) == CONST \ uop \ CONST \ sorted \ xs
  distinct_u(xs) == CONST \ uop \ CONST \ distinct \ xs
  xs \upharpoonright_u A == CONST \ bop \ CONST \ seq-filter \ xs \ A
  A \upharpoonright_u xs = CONST \ bop \ (op \upharpoonright_l) \ A \ xs
\mathbf{syntax} - \mathsf{Sets}
  -ufinite :: logic \Rightarrow logic (finite_u'(-'))
  -uempset :: ('a set, '\alpha) uexpr ({}_{u})
  -uset
               :: args => ('a \ set, '\alpha) \ uexpr (\{(-)\}_u)
                 :: ('a set, '\alpha) uexpr \Rightarrow ('a set, '\alpha) uexpr \Rightarrow ('a set, '\alpha) uexpr (infixl \cup_u 65)
  -uunion
  -uinter
                :: ('a \ set, '\alpha) \ uexpr \Rightarrow ('a \ set, '\alpha) \ uexpr \Rightarrow ('a \ set, '\alpha) \ uexpr \ (infixl \cap_u \ 70)
                 :: ('a, '\alpha) \ uexpr \Rightarrow ('a \ set, '\alpha) \ uexpr \Rightarrow (bool, '\alpha) \ uexpr \ (infix \in_u 50)
  -umem
  -usubset :: ('a set, '\alpha) \ uexpr \Rightarrow ('a set, '\alpha) \ uexpr \Rightarrow (bool, '\alpha) \ uexpr \ (infix \subset_u 50)
  -usubseteq :: ('a set, '\alpha) uexpr \Rightarrow ('a set, '\alpha) uexpr \Rightarrow (bool, '\alpha) uexpr (infix \subseteq_u 50)
translations
  finite_u(x) == CONST \ uop \ (CONST \ finite) \ x
  \{\}_u == \ll \{\}\gg
  \{x, xs\}_u == CONST \ bop \ (CONST \ insert) \ x \ \{xs\}_u
  \{x\}_u = CONST \ bop \ (CONST \ insert) \ x \ {<}\}
  A \cup_u B = CONST \ bop \ (op \cup) \ A \ B
  A \cap_u B = CONST \ bop \ (op \cap) A B
  x \in_u A = CONST \ bop \ (op \in) \ x \ A
  A \subset_u B = CONST \ bop \ (op <) \ A \ B
  A \subset_u B <= CONST \ bop \ (op \subset) A B
  f \subset_u g <= CONST \ bop \ (op \subset_p) \ f g
  f \subset_u g <= CONST \ bop \ (op \subset_f) \ f g
  A \subseteq_u B = CONST \ bop \ (op \leq) A B
  A \subseteq_u B \iff CONST \ bop \ (op \subseteq) \ A \ B
  f \subseteq_u g \iff CONST \ bop \ (op \subseteq_p) \ f \ g
  f \subseteq_u g \iff CONST \ bop \ (op \subseteq_f) \ f \ g
syntax — Partial functions
  -umap-plus :: logic \Rightarrow logic \Rightarrow logic (infixl \oplus_u 85)
  -umap-minus :: logic \Rightarrow logic \Rightarrow logic (infixl \ominus_u 85)
translations
  f \oplus_u g => (f :: ((-, -) pfun, -) uexpr) + g
 f \ominus_u g => (f :: ((-, -) pfun, -) uexpr) - g
syntax — Sum types
```

```
-uinl :: logic \Rightarrow logic \ (inl_u'(-'))
-uinr :: logic \Rightarrow logic \ (inr_u'(-'))
```

translations

```
inl_u(x) == CONST \ uop \ CONST \ Inl \ x
inr_u(x) == CONST \ uop \ CONST \ Inr \ x
```

3.6 Lifting set collectors

We provide syntax for various types of set collectors, including intervals and the Z-style set comprehension which is purpose built as a new lifted definition.

syntax

```
-uset-atLeastAtMost :: ('a, '\alpha) uexpr \(\Rightarrow ('a, '\alpha) uexpr \Rightarrow ('a set, '\alpha) uexpr ((1 \{-..\}_u)) \)
-uset-atLeastLessThan :: ('a, '\alpha) uexpr \Rightarrow ('a, '\alpha) uexpr \Rightarrow ('a set, '\alpha) uexpr ((1 \{-..\<-\}_u)) \)
-uset-compr :: pttrn \Rightarrow ('a set, '\alpha) uexpr \Rightarrow (bool, '\alpha) uexpr \Rightarrow ('b, '\alpha) uexpr \Rightarrow ('b set, '\alpha) uexpr \Rightarrow ('1 \{- \left\rightarrow -\left\rightarrow -\left\rightarro
```

lift-definition ZedSetCompr:

```
('a set, '\alpha) uexpr \Rightarrow ('a \Rightarrow (bool, '\alpha) uexpr \times ('b, '\alpha) uexpr) \Rightarrow ('b set, '\alpha) uexpr is \lambda A PF b. { snd (PF x) b | x. x \in A b \wedge fst (PF x) b}.
```

translations

```
  \{x..y\}_u == CONST \ bop \ CONST \ at Least At Most \ x \ y \\ \{x..<y\}_u == CONST \ bop \ CONST \ at Least Less Than \ x \ y \\ \{x \mid P \cdot F\}_u == CONST \ ZedSetCompr \ (CONST \ ulit \ CONST \ UNIV) \ (\lambda \ x. \ (P, F)) \\ \{x : A \mid P \cdot F\}_u == CONST \ ZedSetCompr \ A \ (\lambda \ x. \ (P, F))
```

3.7 Lifting limits

We also lift the following functions on topological spaces for taking function limits, and describing continuity.

```
definition ulim-left :: 'a::order-topology \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'b::t2-space where ulim-left = (\lambda p f. Lim (at-left p) f)
```

```
definition ulim-right :: 'a::order-topology \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'b::t2-space where ulim-right = (\lambda p f. Lim (at-right p) f)
```

definition ucont-on :: ('a::topological-space \Rightarrow 'b::topological-space) \Rightarrow 'a set \Rightarrow bool where ucont-on = (λ f A. continuous-on A f)

syntax

```
-ulim-left :: id \Rightarrow logic \Rightarrow logic \Rightarrow logic \ (lim_u'(- \rightarrow -^-)'(-'))
-ulim-right :: id \Rightarrow logic \Rightarrow logic \Rightarrow logic \ (lim_u'(- \rightarrow -^+)'(-'))
-ucont-on :: logic \Rightarrow logic \Rightarrow logic \ (infix \ cont-on_u \ 90)
```

translations

```
\lim_{u}(x \to p^{-})(e) == CONST \text{ bop CONST ulim-left } p \ (\lambda \ x \cdot e)
\lim_{u}(x \to p^{+})(e) == CONST \text{ bop CONST ulim-right } p \ (\lambda \ x \cdot e)
f \text{ cont-on}_{u} A == CONST \text{ bop CONST continuous-on } A f
```

3.8 Evaluation laws for expressions

We now collect together all the definitional theorems for expression constructs, and use them to build an evaluation strategy for expressions that we will later use to construct proof tactics for UTP predicates.

```
lemmas uexpr-defs =
 zero-uexpr-def
 one-uexpr-def
 plus-uexpr-def
 uminus-uexpr-def
 minus-uexpr-def
 times-uexpr-def
 inverse-uexpr-def
 divide-uexpr-def
 sgn-uexpr-def
 abs-uexpr-def
 mod-uexpr-def
 eq-upred-def
 numeral-uexpr-simp
 ulim-left-def
 ulim-right-def
 ucont-on-def
 plus-list-def
```

The following laws show how to evaluate the core expressions constructs in terms of which the above definitions are defined. Thus, using these theorems together, we can convert any UTP expression into a pure HOL expression. All these theorems are marked as *ueval* theorems which can be used for evaluation.

```
\begin{aligned} &\mathbf{lemma}\ lit\text{-}ueval\ [ueval]\colon [\![\ll x \gg]\!]_e b = x \\ &\mathbf{by}\ (transfer,\ simp) \end{aligned} \begin{aligned} &\mathbf{lemma}\ var\text{-}ueval\ [ueval]\colon [\![var\ x]\!]_e b = get_x\ b \\ &\mathbf{by}\ (transfer,\ simp) \end{aligned} \begin{aligned} &\mathbf{lemma}\ uop\text{-}ueval\ [ueval]\colon [\![uop\ f\ x]\!]_e b = f\ ([\![x]\!]_e b) \\ &\mathbf{by}\ (transfer,\ simp) \end{aligned} \begin{aligned} &\mathbf{lemma}\ bop\text{-}ueval\ [ueval]\colon [\![bop\ f\ x\ y]\!]_e b = f\ ([\![x]\!]_e b)\ ([\![y]\!]_e b) \\ &\mathbf{by}\ (transfer,\ simp) \end{aligned} \begin{aligned} &\mathbf{lemma}\ trop\text{-}ueval\ [ueval]\colon [\![trop\ f\ x\ y\ z]\!]_e b = f\ ([\![x]\!]_e b)\ ([\![y]\!]_e b)\ ([\![z]\!]_e b) \\ &\mathbf{by}\ (transfer,\ simp) \end{aligned} \begin{aligned} &\mathbf{lemma}\ qtop\text{-}ueval\ [ueval]\colon [\![qtop\ f\ x\ y\ z\ w]\!]_e b = f\ ([\![x]\!]_e b)\ ([\![y]\!]_e b)\ ([\![x]\!]_e b)\ ([\![w]\!]_e b) \\ &\mathbf{by}\ (transfer,\ simp) \end{aligned}
```

3.9 Misc laws

declare uexpr-defs [ueval]

We also prove a few useful algebraic and expansion laws for expressions.

We also add all the definitional expressions to the evaluation theorem set.

```
lemma uop\text{-}const\ [simp]:\ uop\ id\ u=u
```

```
by (transfer, simp)
lemma bop-const-1 [simp]: bop (\lambda x \ y. \ y) \ u \ v = v
 by (transfer, simp)
lemma bop-const-2 [simp]: bop (\lambda x \ y. \ x) \ u \ v = u
 by (transfer, simp)
lemma uinter-empty-1 [simp]: x \cap_u \{\}_u = \{\}_u
 by (transfer, simp)
lemma uinter-empty-2 [simp]: \{\}_u \cap_u x = \{\}_u
 by (transfer, simp)
lemma uunion-empty-1 [simp]: \{\}_u \cup_u x = x
 by (transfer, simp)
lemma uset-minus-empty [simp]: x - \{\}_u = x
 by (simp add: uexpr-defs, transfer, simp)
lemma ulist-filter-empty [simp]: x \upharpoonright_u \{\}_u = \langle \rangle
 by (transfer, simp)
lemma tail-cons [simp]: tail_u(\langle x \rangle \hat{\ }_u xs) = xs
 by (transfer, simp)
```

3.10 Literalise tactics

The following tactic converts literal HOL expressions to UTP expressions and vice-versa via a collection of simplification rules. The two tactics are called "literalise", which converts UTP to expressions to HOL expressions – i.e. it pushes them into literals – and unliteralise that reverses this. We collect the equations in a theorem attribute called "lit_simps".

```
lemma lit-num-simps [lit-simps]: \ll 0 \gg = 0 \ll 1 \gg = 1 \ll numeral \ n \gg = numeral \ n \ll - x \gg = - \ll x \gg by (simp-all add: ueval, transfer, simp)
```

lemma *lit-arith-simps* [*lit-simps*]:

 $\mathbf{lemma} \ \mathit{lit-fun-simps} \ [\mathit{lit-simps}] :$

```
\begin{array}{l} \ll i \ x \ y \ z \ u \gg = qtop \ i \ \ll x \gg \ll y \gg \ll z \gg \ll u \gg \\ \ll h \ x \ y \ z \gg = trop \ h \ \ll x \gg \ll y \gg \ll z \gg \\ \ll g \ x \ y \gg = bop \ g \ \ll x \gg \ll y \gg \\ \ll f \ x \gg = uop \ f \ \ll x \gg \\ \mathbf{by} \ (transfer, \ simp) + \end{array}
```

In general unliteralising converts function applications to corresponding expression liftings. Since some operators, like + and *, have specific operators we also have to use $uempty = \iint_{u}$

```
1 = \ll 1 :: ?'a \gg
?u + ?v = bop \ op + ?u ?v
```

```
- ?u = uop \ uminus ?u
?u - ?v = bop op - ?u ?v
?u * ?v = bop op * ?u ?v
inverse ?u = uop inverse ?u
?u \ div \ ?v = bop \ op \ div \ ?u \ ?v
sqn ?u = uop sqn ?u
|?u| = uop \ abs \ ?u
?u \mod ?v = bop op \mod ?u ?v
(?x =_{u} ?y) = bop \ op = ?x ?y
numeral ?x = \ll numeral ?x \gg
ulim-left = (\lambda p. \ Lim \ (at-left \ p))
ulim\text{-}right = (\lambda p. \ Lim \ (at\text{-}right \ p))
ucont-on = (\lambda f A. \ continuous-on \ A \ f)
op + = op @ in reverse to correctly interpret these. Moreover, numerals must be handled
separately by first simplifying them and then converting them into UTP expression numerals;
hence the following two simplification rules.
lemma lit-numeral-1: uop numeral x = Abs-uexpr (\lambda b. numeral ([\![x]\!]_e b))
 by (simp add: uop-def)
lemma lit-numeral-2: Abs-uexpr (\lambda \ b. \ numeral \ v) = numeral \ v
 by (metis\ lit.abs-eq\ lit-num-simps(3))
method literalise = (unfold \ lit-simps[THEN \ sym])
method unliteralise = (unfold lit-simps uexpr-defs[THEN sym];
```

4 Unrestriction

```
theory utp-unrest
imports utp-expr
begin
```

end

Unrestriction is an encoding of semantic freshness, that allows us to reason about the presence of variables in predicates without being concerned with abstract syntax trees. An expression p is unrestricted by variable x, written $x \sharp p$, if altering the value of x has no effect on the valuation of p. This is a sufficient notion to prove many laws that would ordinarily rely on an fv function.

(unfold lit-numeral-1; (unfold ueval); (unfold lit-numeral-2))?)+

```
consts
unrest :: 'a \Rightarrow 'b \Rightarrow bool

syntax
-unrest :: salpha \Rightarrow logic \Rightarrow logic \Rightarrow logic \text{ (infix } \sharp 20)

translations
-unrest \ x \ p == CONST \ unrest \ x \ p
-unrest \ (-salphaset \ (-salphamk \ (x +_L \ y))) \ P <= -unrest \ (x +_L \ y) \ P

named-theorems unrest
method unrest-tac = (simp \ add: \ unrest)?
```

```
lift-definition unrest-upred :: ('a \Longrightarrow '\alpha) \Rightarrow ('b, '\alpha) \ uexpr \Rightarrow bool
is \lambda \ x \ e. \ \forall \ b \ v. \ e \ (put_x \ b \ v) = e \ b.
               adhoc-overloading
  unrest unrest-upred
lemma unrest-var-comp [unrest]:
  \llbracket x \sharp P; y \sharp P \rrbracket \Longrightarrow x; y \sharp P
 by (transfer, simp add: lens-defs)
lemma unrest-lit [unrest]: x \sharp \ll v \gg
 by (transfer, simp)
lemma unrest-equiv:
  fixes P :: ('a, '\alpha) \ uexpr
  assumes mwb-lens y \ x \approx_L y \ x \sharp P
 shows y \sharp P
 by (metis assms lens-equiv-def sublens-pres-mwb sublens-put-put unrest-upred.rep-eq)
The following law demonstrates why we need variable independence: a variable expression is
unrestricted by another variable only when the two variables are independent.
                                            lemma unrest-var [unrest]: \llbracket vwb-lens x; x \bowtie y \rrbracket \implies y \sharp var x
 by (transfer, auto)
lemma unrest-iuvar [unrest]: \llbracket vwb-lens x; x \bowtie y \rrbracket \Longrightarrow \$y \sharp \$x
  by (metis in-var-indep in-var-uvar unrest-var)
lemma unrest-ouvar [unrest]: \llbracket vwb-lens x; x \bowtie y \rrbracket \Longrightarrow \$y' \sharp \$x'
  by (metis out-var-indep out-var-uvar unrest-var)
lemma unrest-iuvar-ouvar [unrest]:
  fixes x :: ('a \Longrightarrow '\alpha)
  assumes vwb-lens y
  shows x \sharp y'
  by (metis prod.collapse unrest-upred.rep-eq var.rep-eq var-lookup-out var-update-in)
lemma unrest-ouvar-iuvar [unrest]:
  fixes x :: ('a \Longrightarrow '\alpha)
 assumes vwb-lens y
 shows x \neq y
 by (metis prod.collapse unrest-upred.rep-eq var.rep-eq var-lookup-in var-update-out)
lemma unrest-uop [unrest]: x \sharp e \Longrightarrow x \sharp uop f e
  by (transfer, simp)
lemma unrest-bop [unrest]: [x \sharp u; x \sharp v] \Longrightarrow x \sharp bop f u v
 by (transfer, simp)
lemma unrest-trop [unrest]: [x \sharp u; x \sharp v; x \sharp w] \Longrightarrow x \sharp trop f u v w
  by (transfer, simp)
\textbf{lemma} \ unrest\text{-}qtop \ [unrest] \text{:} \ \llbracket \ x \ \sharp \ u; \ x \ \sharp \ v; \ x \ \sharp \ w; \ x \ \sharp \ y \ \rrbracket \Longrightarrow x \ \sharp \ qtop \ f \ u \ v \ w \ y
  by (transfer, simp)
lemma unrest-eq [unrest]: [x \sharp u; x \sharp v] \implies x \sharp u =_u v
 by (simp add: eq-upred-def, transfer, simp)
```

```
lemma unrest-zero [unrest]: x \sharp \theta
 by (simp add: unrest-lit zero-uexpr-def)
lemma unrest-one [unrest]: x \sharp 1
 by (simp add: one-uexpr-def unrest-lit)
lemma unrest-numeral [unrest]: x \sharp (numeral \ n)
 by (simp add: numeral-uexpr-simp unrest-lit)
lemma unrest-sgn [unrest]: x \sharp u \Longrightarrow x \sharp sgn u
  by (simp add: sgn-uexpr-def unrest-uop)
lemma unrest-abs [unrest]: x \sharp u \Longrightarrow x \sharp abs u
 by (simp add: abs-uexpr-def unrest-uop)
lemma unrest-plus [unrest]: [x \sharp u; x \sharp v] \Longrightarrow x \sharp u + v
 by (simp add: plus-uexpr-def unrest)
lemma unrest-uninus [unrest]: x \sharp u \Longrightarrow x \sharp - u
 by (simp add: uminus-uexpr-def unrest)
lemma unrest-minus [unrest]: [\![ x \sharp u; x \sharp v ]\!] \Longrightarrow x \sharp u - v
 by (simp add: minus-uexpr-def unrest)
lemma unrest-times [unrest]: [x \sharp u; x \sharp v] \Longrightarrow x \sharp u * v
 by (simp add: times-uexpr-def unrest)
lemma unrest-divide [unrest]: [x \sharp u; x \sharp v] \Longrightarrow x \sharp u / v
 by (simp add: divide-uexpr-def unrest)
lemma unrest-ulambda [unrest]:
  \llbracket \bigwedge x. \ v \sharp F x \rrbracket \Longrightarrow v \sharp (\lambda x \cdot F x)
 by (transfer, simp)
end
```

5 Substitution

```
theory utp-subst
imports
utp-expr
utp-unrest
begin
```

5.1 Substitution definitions

We introduce a polymorphic constant that will be used to represent application of a substitution, and also a set of theorems to represent laws.

```
consts usubst :: 's \Rightarrow 'a \Rightarrow 'b \text{ (infixr } \dagger 80)
```

named-theorems usubst

A substitution is simply a transformation on the alphabet; it shows how variables should be

mapped to different values.

```
type-synonym ('\alpha,'\beta) psubst = '\alpha \Rightarrow '\beta type-synonym '\alpha usubst = '\alpha \Rightarrow '\alpha
```

lift-definition subst ::
$$('\alpha, '\beta)$$
 psubst \Rightarrow $('a, '\beta)$ uexpr \Rightarrow $('a, '\alpha)$ uexpr is $\lambda \ \sigma \ e \ b. \ e \ (\sigma \ b)$.

adhoc-overloading

 $usubst\ subst$

Update the value of a variable to an expression in a substitution

```
consts subst-upd :: ('\alpha, '\beta) psubst \Rightarrow 'v \Rightarrow ('a, '\alpha) uexpr \Rightarrow ('\alpha, '\beta) psubst
```

definition subst-upd-uvar :: $('\alpha,'\beta)$ psubst \Rightarrow $('a \Longrightarrow '\beta) \Rightarrow ('a, '\alpha)$ uexpr \Rightarrow $('\alpha,'\beta)$ psubst where subst-upd-uvar σ x v = $(\lambda$ b. put_x $(\sigma$ b) $(\llbracket v \rrbracket_e b))$

adhoc-overloading

subst-upd subst-upd-uvar

Lookup the expression associated with a variable in a substitution

```
lift-definition usubst-lookup :: ('\alpha, '\beta) psubst \Rightarrow ('a \Longrightarrow '\beta) \Rightarrow ('a, '\alpha) uexpr (\langle -\rangle_s) is \lambda \sigma x b. get<sub>x</sub> (\sigma b).
```

Relational lifting of a substitution to the first element of the state space

```
definition unrest-usubst :: ('a \Longrightarrow '\alpha) \Rightarrow '\alpha \ usubst \Rightarrow bool
where unrest-usubst x \sigma = (\forall \ \varrho \ v. \ \sigma \ (put_x \ \varrho \ v) = put_x \ (\sigma \ \varrho) \ v)
```

adhoc-overloading

unrest unrest-usubst

nonterminal smaplet and smaplets

syntax

translations

```
 \begin{array}{lll} -SubstUpd \ m \ (-SMaplets \ xy \ ms) & == -SubstUpd \ (-SubstUpd \ m \ xy) \ ms \\ -SubstUpd \ m \ (-smaplet \ x \ y) & == CONST \ subst-upd \ m \ x \ y \\ -Subst \ ms & == -SubstUpd \ (CONST \ id) \ ms \\ -Subst \ (-SMaplets \ ms1 \ ms2) & <= -SubstUpd \ (-Subst \ ms1) \ ms2 \\ -SMaplets \ ms1 \ (-SMaplets \ ms2 \ ms3) \ <= -SMaplets \ (-SMaplets \ ms1 \ ms2) \ ms3 \end{array}
```

Deletion of a substitution maplet

```
definition subst-del :: '\alpha usubst \Rightarrow ('a \Longrightarrow '\alpha) \Rightarrow '\alpha usubst (infix -_s 85) where subst-del \sigma x = \sigma(x \mapsto_s \& x)
```

5.2 Substitution laws

We set up a simple substitution tactic that applies substitution and unrestriction laws $method\ subst-tac = (simp\ add:\ usubst\ unrest)$?

```
lemma usubst-lookup-id [usubst]: \langle id \rangle_s \ x = var \ x
 by (transfer, simp)
lemma usubst-lookup-upd [usubst]:
 assumes mwb-lens x
 shows \langle \sigma(x \mapsto_s v) \rangle_s \ x = v
 using assms
 by (simp add: subst-upd-uvar-def, transfer) (simp)
lemma usubst-upd-idem [usubst]:
 assumes mwb-lens x
 shows \sigma(x \mapsto_s u, x \mapsto_s v) = \sigma(x \mapsto_s v)
 by (simp add: subst-upd-uvar-def assms comp-def)
lemma usubst-upd-comm:
 assumes x \bowtie y
 shows \sigma(x \mapsto_s u, y \mapsto_s v) = \sigma(y \mapsto_s v, x \mapsto_s u)
 using assms
 by (rule-tac ext, auto simp add: subst-upd-uvar-def assms comp-def lens-indep-comm)
lemma usubst-upd-comm2:
 assumes z \bowtie y and mwb-lens x
 shows \sigma(x \mapsto_s u, y \mapsto_s v, z \mapsto_s s) = \sigma(x \mapsto_s u, z \mapsto_s s, y \mapsto_s v)
 using assms
 by (rule-tac ext, auto simp add: subst-upd-uvar-def assms comp-def lens-indep-comm)
lemma swap-usubst-inj:
 fixes x y :: ('a \Longrightarrow '\alpha)
 assumes vwb-lens x vwb-lens y x \bowtie y
 shows inj [x \mapsto_s \& y, y \mapsto_s \& x]
 using assms
 apply (auto simp add: inj-on-def subst-upd-uvar-def)
 apply (smt lens-indep-get lens-indep-sym var.rep-eq vwb-lens.put-eq vwb-lens-wb wb-lens-weak weak-lens.put-get)
done
lemma usubst-upd-var-id [usubst]:
 vwb-lens x \Longrightarrow [x \mapsto_s var x] = id
 apply (simp add: subst-upd-uvar-def)
 apply (transfer)
 apply (rule ext)
 apply (auto)
done
lemma usubst-upd-comm-dash [usubst]:
 fixes x :: ('a \Longrightarrow '\alpha)
 shows \sigma(\$x' \mapsto_s v, \$x \mapsto_s u) = \sigma(\$x \mapsto_s u, \$x' \mapsto_s v)
 using out-in-indep usubst-upd-comm by blast
lemma usubst-lookup-upd-indep [usubst]:
 assumes mwb-lens x x \bowtie y
 shows \langle \sigma(y \mapsto_s v) \rangle_s \ x = \langle \sigma \rangle_s \ x
 using assms
 by (simp add: subst-upd-uvar-def, transfer, simp)
```

```
lemma usubst-apply-unrest [usubst]:
  \llbracket vwb\text{-}lens\ x;\ x\ \sharp\ \sigma\ \rrbracket \Longrightarrow \langle\sigma\rangle_s\ x = var\ x
 by (simp add: unrest-usubst-def, transfer, auto simp add: fun-eq-iff, metis vwb-lens-wb wb-lens.get-put
wb-lens-weak weak-lens.put-get)
lemma subst-del-id [usubst]:
  vwb-lens x \implies id -_s x = id
 by (simp add: subst-del-def subst-upd-uvar-def, transfer, auto)
lemma subst-del-upd-same [usubst]:
  mwb-lens x \Longrightarrow \sigma(x \mapsto_s v) -_s x = \sigma -_s x
  by (simp add: subst-del-def subst-upd-uvar-def)
lemma subst-del-upd-diff [usubst]:
 x \bowtie y \Longrightarrow \sigma(y \mapsto_s v) -_s x = (\sigma -_s x)(y \mapsto_s v)
 by (simp add: subst-del-def subst-upd-uvar-def lens-indep-comm)
lemma subst-unrest [usubst]: x \sharp P \Longrightarrow \sigma(x \mapsto_s v) \dagger P = \sigma \dagger P
  by (simp add: subst-upd-uvar-def, transfer, auto)
lemma subst-compose-upd [usubst]: x \sharp \sigma \Longrightarrow \sigma \circ \varrho(x \mapsto_s v) = (\sigma \circ \varrho)(x \mapsto_s v)
 by (simp add: subst-upd-uvar-def, transfer, auto simp add: unrest-usubst-def)
lemma subst-mono: mono (subst \sigma)
  by (simp add: less-eq-uexpr.rep-eq mono-def subst.rep-eq)
lemma id-subst [usubst]: id \dagger v = v
 by (transfer, simp)
lemma subst-lit [usubst]: \sigma \dagger \ll v \gg = \ll v \gg
 by (transfer, simp)
lemma subst-var [usubst]: \sigma \dagger var x = \langle \sigma \rangle_s x
  by (transfer, simp)
lemma usubst-ulambda [usubst]: \sigma \dagger (\lambda x \cdot P(x)) = (\lambda x \cdot \sigma \dagger P(x))
 by (transfer, simp)
lemma unrest-usubst-del [unrest]: \llbracket vwb-lens x; x \sharp (\langle \sigma \rangle_s x); x \sharp \sigma -_s x \rrbracket \implies x \sharp (\sigma \dagger P)
 \textbf{by} \ (simp \ add: subst-def \ subst-upd-uvar-def \ unrest-upred-def \ unrest-usubst-def \ subst. rep-eq \ usubst-lookup. rep-eq)
     (metis\ vwb-lens.put-eq)
We set up a purely syntactic order on variable lenses which is useful for the substitution normal
form.
definition var-name-ord :: ('a \Longrightarrow '\alpha) \Rightarrow ('b \Longrightarrow '\alpha) \Rightarrow bool where
[no-atp]: var-name-ord x y = True
syntax
  -var-name-ord :: salpha \Rightarrow salpha \Rightarrow bool (infix \prec_v 65)
translations
  -var-name-ord x y = CONST var-name-ord x y
lemma usubst-upd-comm-ord [usubst]:
 assumes x \bowtie y \ y \prec_v x
```

```
shows \sigma(x \mapsto_s u, y \mapsto_s v) = \sigma(y \mapsto_s v, x \mapsto_s u)
by (simp \ add: \ assms(1) \ usubst-upd-comm)
```

We add the symmetric definition of input and output variables to substitution laws so that the variables are correctly normalised after substitution.

```
lemma subst-uop [usubst]: \sigma \dagger uop f v = uop f (\sigma \dagger v)
  by (transfer, simp)
lemma subst-bop [usubst]: \sigma \dagger bop f u v = bop f (\sigma \dagger u) (\sigma \dagger v)
  by (transfer, simp)
\mathbf{lemma} \ \mathit{subst-trop} \ [\mathit{usubst}] \colon \sigma \dagger \mathit{trop} \ f \ u \ v \ w = \mathit{trop} \ f \ (\sigma \dagger u) \ (\sigma \dagger v) \ (\sigma \dagger w)
  by (transfer, simp)
lemma subst-qtop [usubst]: \sigma \dagger qtop f u v w x = qtop f (\sigma \dagger u) (\sigma \dagger v) (\sigma \dagger v) (\sigma \dagger x)
  by (transfer, simp)
lemma subst-plus [usubst]: \sigma \dagger (x + y) = \sigma \dagger x + \sigma \dagger y
  by (simp add: plus-uexpr-def subst-bop)
lemma subst-times [usubst]: \sigma \dagger (x * y) = \sigma \dagger x * \sigma \dagger y
  by (simp add: times-uexpr-def subst-bop)
lemma subst-mod [usubst]: \sigma \dagger (x \mod y) = \sigma \dagger x \mod \sigma \dagger y
  by (simp add: mod-uexpr-def usubst)
lemma subst-div [usubst]: \sigma \dagger (x \ div \ y) = \sigma \dagger x \ div \ \sigma \dagger y
  by (simp add: divide-uexpr-def usubst)
lemma subst-minus [usubst]: \sigma \dagger (x - y) = \sigma \dagger x - \sigma \dagger y
  by (simp add: minus-uexpr-def subst-bop)
lemma subst-uminus [usubst]: \sigma \dagger (-x) = -(\sigma \dagger x)
  by (simp add: uminus-uexpr-def subst-uop)
lemma usubst-sgn [usubst]: \sigma \dagger sgn \ x = sgn \ (\sigma \dagger x)
  by (simp add: sgn-uexpr-def subst-uop)
lemma usubst-abs [usubst]: \sigma \dagger abs x = abs (\sigma \dagger x)
  by (simp add: abs-uexpr-def subst-uop)
lemma subst-zero [usubst]: \sigma \dagger \theta = \theta
  by (simp add: zero-uexpr-def subst-lit)
lemma subst-one [usubst]: \sigma \dagger 1 = 1
  by (simp add: one-uexpr-def subst-lit)
lemma subst-eq-upred [usubst]: \sigma \uparrow (x =_u y) = (\sigma \uparrow x =_u \sigma \uparrow y)
  by (simp add: eq-upred-def usubst)
lemma subst-subst [usubst]: \sigma \dagger \varrho \dagger e = (\varrho \circ \sigma) \dagger e
  by (transfer, simp)
lemma subst-upd-comp [usubst]:
  fixes x :: ('a \Longrightarrow '\alpha)
```

```
shows \varrho(x \mapsto_s v) \circ \sigma = (\varrho \circ \sigma)(x \mapsto_s \sigma \dagger v)
  by (rule ext, simp add:uexpr-defs subst-upd-uvar-def, transfer, simp)
nonterminal uexprs and svars and salphas
syntax
  -psubst :: [logic, svars, uexprs] \Rightarrow logic
  -subst :: logic \Rightarrow uexprs \Rightarrow salphas \Rightarrow logic ((-[-'/-]]) [990,0,0] 991)
  -uexprs :: [logic, uexprs] => uexprs (-,/-)
           :: logic => uexprs (-)
  -svars :: [svar, svars] => svars (-,/-)
           :: svar => svars (-)
  -salphas :: [salpha, salphas] => salphas (-,/-)
           :: salpha => salphas (-)
translations
  -subst\ P\ es\ vs =>\ CONST\ subst\ (-psubst\ (CONST\ id)\ vs\ es)\ P
  -psubst\ m\ (-salphas\ x\ xs)\ (-uexprs\ v\ vs) = > -psubst\ (-psubst\ m\ x\ v)\ xs\ vs
  -psubst\ m\ x\ v\ =>\ CONST\ subst-upd\ m\ x\ v
  P[\![v/\$x]\!] <= \mathit{CONST} \ \mathit{usubst} \ (\mathit{CONST} \ \mathit{subst-upd} \ (\mathit{CONST} \ \mathit{id}) \ (\mathit{CONST} \ \mathit{ivar} \ x) \ v) \ P
  P[v/\$x'] \le CONST \ usubst \ (CONST \ subst-upd \ (CONST \ id) \ (CONST \ ovar \ x) \ v) \ P
  P[v/x] \le CONST \text{ usubst } (CONST \text{ subst-upd } (CONST \text{ id}) \text{ } x \text{ } v) P
{f lemma}\ subst-singleton:
  fixes x :: ('a \Longrightarrow '\alpha)
  assumes x \sharp \sigma
  shows \sigma(x \mapsto_s v) \dagger P = (\sigma \dagger P) \llbracket v/x \rrbracket
  using assms
  by (simp add: usubst)
\mathbf{lemmas}\ subst-to\text{-}singleton\ =\ subst-singleton\ id\text{-}subst
        Unrestriction laws
lemma unrest-usubst-single [unrest]:
  \llbracket mwb\text{-}lens\ x;\ x\ \sharp\ v\ \rrbracket \Longrightarrow x\ \sharp\ P\llbracket v/x \rrbracket
  by (transfer, auto simp add: subst-upd-uvar-def unrest-upred-def)
lemma unrest-usubst-id [unrest]:
  mwb-lens x \implies x \sharp id
  by (simp add: unrest-usubst-def)
lemma unrest-usubst-upd [unrest]:
  \llbracket x \bowtie y; x \sharp \sigma; x \sharp v \rrbracket \Longrightarrow x \sharp \sigma(y \mapsto_s v)
  by (simp add: subst-upd-uvar-def unrest-usubst-def unrest-upred.rep-eq lens-indep-comm)
```

end

lemma unrest-subst [unrest]: $[x \sharp P; x \sharp \sigma] \implies x \sharp (\sigma \dagger P)$

by (transfer, simp add: unrest-usubst-def)

6 UTP Tactics

theory utp-tactics imports Eisbach Lenses Interp utp-expr utp-unrest keywords update-uexpr-rep-eq-thms :: thy-decl begin

In this theory, we define several automatic proof tactics that use transfer techniques to reinterpret proof goals about UTP predicates and relations in terms of pure HOL conjectures. The fundamental tactics to achieve this are *pred-simp* and *rel-simp*; a more detailed explanation of their behaviour is given below. The tactics can be given optional arguments to fine-tune their behaviour. By default, they use a weaker but faster form of transfer using rewriting; the option *robust*, however, forces them to use the slower but more powerful transfer of Isabelle's lifting package. A second option *no-interp* suppresses the re-interpretation of state spaces in order to eradicate record for tuple types prior to automatic proof.

In addition to *pred-simp* and *rel-simp*, we also provide the tactics *pred-auto* and *rel-auto*, as well as *pred-blast* and *rel-blast*; they, in essence, sequence the simplification tactics with the methods *auto* and *blast*, respectively.

6.1 Theorem Attributes

The following named attributes have to be introduced already here since our tactics must be able to see them. Note that we do not want to import the theories *utp-pred* and *utp-rel* here, so that both can potentially already make use of the tactics we define in this theory.

named-theorems upred-defs upred definitional theorems named-theorems urel-defs urel definitional theorems

6.2 Generic Methods

We set up several automatic tactics that recast theorems on UTP predicates into equivalent HOL predicates, eliminating artefacts of the mechanisation as much as this is possible. Our approach is first to unfold all relevant definition of the UTP predicate model, then perform a transfer, and finally simplify by using lens and variable definitions, the split laws of alphabet records, and interpretation laws to convert record-based state spaces into products. The definition of the respective methods is facilitated by the Eisbach tool: we define generic methods that are parametrised by the tactics used for transfer, interpretation and subsequent automatic proof. Note that the tactics only apply to the head goal.

Generic Predicate Tactics

```
method gen-pred-tac methods transfer-tac interp-tac prove-tac = (
    ((unfold upred-defs) [1])?;
    (transfer-tac),
    (simp add: fun-eq-iff
        lens-defs upred-defs alpha-splits Product-Type.split-beta)?,
    (interp-tac)?);
    (prove-tac)

Generic Relational Tactics

method gen-rel-tac methods transfer-tac interp-tac prove-tac = (
    ((unfold upred-defs urel-defs) [1])?;
    (transfer-tac),
```

```
(simp add: fun-eq-iff relcomp-unfold OO-def
lens-defs upred-defs alpha-splits Product-Type.split-beta)?,
(interp-tac)?);
(prove-tac)
```

6.3 Transfer Tactics

Next, we define the component tactics used for transfer.

6.3.1 Robust Transfer

Robust transfer uses the transfer method of the lifting package.

```
method slow-uexpr-transfer = (transfer)
```

6.3.2 Faster Transfer

Fast transfer side-steps the use of the (transfer) method in favour of plain rewriting with the underlying rep-eq-... laws of lifted definitions. For moderately complex terms, surprisingly, the transfer step turned out to be a bottle-neck in some proofs; we observed that faster transfer resulted in a speed-up of approximately 30% when building the UTP theory heaps. On the downside, tactics using faster transfer do not always work but merely in about 95% of the cases. The approach typically works well when proving predicate equalities and refinements conjectures.

A known limitation is that the faster tactic, unlike lifting transfer, does not turn free variables into meta-quantified ones. This can, in some cases, interfere with the interpretation step and cause subsequent application of automatic proof tactics to fail. A fix is in progress [TODO].

Attribute Setup We first configure a dynamic attribute *uexpr-rep-eq-thms* to automatically collect all *rep-eq-* laws of lifted definitions on the *uexpr* type.

```
ML-file uexpr-rep-eq.ML

setup ((
    Global-Theory.add-thms-dynamic (@{binding uexpr-rep-eq-thms},
    uexpr-rep-eq.get-uexpr-rep-eq-thms o Context.theory-of)
))
```

We next configure a command **update-uexpr-rep-eq-thms** in order to update the content of the *uexpr-rep-eq-thms* attribute. Although the relevant theorems are collected automatically, for efficiency reasons, the user has to manually trigger the update process. The command must hence be executed whenever new lifted definitions for type *uexpr* are created. The updating mechanism uses **find-theorems** under the hood.

```
 \begin{array}{lll} \mathbf{ML} \  \, \langle \langle \\ Outer-Syntax.command \ @\{command\text{-}keyword\ update\text{-}uexpr\text{-}rep\text{-}eq\text{-}thms}\} \\ reread\ and\ update\ content\ of\ the\ uexpr\text{-}rep\text{-}eq\text{-}thms\ attribute} \\ (Scan.succeed\ (Toplevel.theory\ uexpr\text{-}rep\text{-}eq\text{-}read\text{-}uexpr\text{-}rep\text{-}eq\text{-}thms})); \\ \rangle \rangle \end{array}
```

update-uexpr-rep-eq-thms — Read uexpr-rep-eq-thms here.

Lastly, we require several named-theorem attributes to record the manual transfer laws and extra simplifications, so that the user can dynamically extend them in child theories.

named-theorems uexpr-transfer-laws uexpr transfer laws

```
declare uexpr-eq-iff [uexpr-transfer-laws]
named-theorems uexpr-transfer-extra extra simplifications for uexpr transfer

declare unrest-upred.rep-eq [uexpr-transfer-extra]
utp-expr.numeral-uexpr-rep-eq [uexpr-transfer-extra]
utp-expr.less-eq-uexpr.rep-eq [uexpr-transfer-extra]
Abs-uexpr-inverse [simplified, uexpr-transfer-extra]
Rep-uexpr-inverse [uexpr-transfer-extra]
```

Tactic Definition We have all ingredients now to define the fast transfer tactic as a single simplification step.

```
method fast-uexpr-transfer = (simp add: uexpr-transfer-laws uexpr-rep-eq-thms uexpr-transfer-extra)
```

6.4 Interpretation

The interpretation of record state spaces as products is done using the laws provided by the utility theory *Interp*. Note that this step can be suppressed by using the *no-interp* option.

```
method uexpr-interp-tac = (simp \ add: lens-interp-laws)?
```

6.5 User Tactics

In this section, we finally set-up the six user tactics: pred-simp, rel-simp, pred-auto, rel-auto, pred-blast and rel-blast. For this, we first define the proof strategies that are to be applied after the transfer steps.

```
method utp-simp-tac = (clarsimp)?
method utp-auto-tac = ((clarsimp)?; auto)
method utp-blast-tac = ((clarsimp)?; blast)
```

The ML file below provides ML constructor functions for tactics that process arguments suitable and invoke the generic methods *gen-pred-tac* and *gen-rel-tac* with suitable arguments.

```
ML-file utp-tactics.ML
```

Finally, we execute the relevant outer commands for method setup. Sadly, this cannot be done at the level of Eisbach since the latter does not provide a convenient mechanism to process symbolic flags as arguments. It may be worth to put in a feature request with the developers of the Eisbach tool.

```
 \begin{array}{l} \textbf{method-setup} \ pred\text{-}simp = \langle \langle \\ (Scan.lift \ UTP\text{-}Tactics.scan\text{-}args) >> \\ (fn \ args => fn \ ctx => \\ let \ val \ prove\text{-}tac = Basic\text{-}Tactics.utp\text{-}simp\text{-}tac \ in} \\ (UTP\text{-}Tactics.inst\text{-}gen\text{-}pred\text{-}tac \ args \ prove\text{-}tac \ ctx)} \\ end); \\ \rangle \rangle \\ \\ \textbf{method-setup} \ rel\text{-}simp = \langle \langle \\ (Scan.lift \ UTP\text{-}Tactics.scan\text{-}args) >> \\ (fn \ args => fn \ ctx => \\ let \ val \ prove\text{-}tac = Basic\text{-}Tactics.utp\text{-}simp\text{-}tac \ in} \\ (UTP\text{-}Tactics.inst\text{-}gen\text{-}rel\text{-}tac \ args \ prove\text{-}tac \ ctx)} \\ \end{array}
```

```
end);
\rangle\!\rangle
method-setup pred-auto = \langle \langle
  (Scan.lift\ UTP\text{-}Tactics.scan\text{-}args) >>
     (fn \ args => fn \ ctx =>
       let\ val\ prove-tac = Basic-Tactics.utp-auto-tac\ in
         (UTP\text{-}Tactics.inst\text{-}gen\text{-}pred\text{-}tac\ args\ prove\text{-}tac\ ctx)
       end);
\rangle\!\rangle
method-setup rel-auto = \langle \langle
  (Scan.lift\ UTP\text{-}Tactics.scan-args)>>
     (fn \ args => fn \ ctx =>
       let\ val\ prove-tac = Basic-Tactics.utp-auto-tac\ in
         (UTP-Tactics.inst-gen-rel-tac args prove-tac ctx)
       end);
\rangle\!\rangle
method-setup pred-blast = \langle \langle
  (Scan.lift\ UTP\text{-}Tactics.scan-args) >>
     (fn \ args => fn \ ctx =>
       let\ val\ prove-tac = Basic-Tactics.utp-blast-tac\ in
         (\mathit{UTP}\text{-}\mathit{Tactics}.inst\text{-}\mathit{gen}\text{-}\mathit{pred}\text{-}\mathit{tac}\ \mathit{args}\ \mathit{prove}\text{-}\mathit{tac}\ \mathit{ctx})
       end);
\rangle\!\rangle
method-setup rel-blast = \langle \langle
  (Scan.lift\ UTP\text{-}Tactics.scan-args) >>
     (fn \ args => fn \ ctx =>
       let\ val\ prove-tac = Basic-Tactics.utp-blast-tac\ in
         (UTP\text{-}Tactics.inst\text{-}gen\text{-}rel\text{-}tac\ args\ prove\text{-}tac\ ctx})
       end);
\rangle\!\rangle
```

7 Alphabetised Predicates

```
theory utp-pred imports utp-expr utp-subst utp-subst utp-tactics begin

An alphabetised predicate is a simply a boolean valued expression type-synonym '\alpha upred = (bool, '\alpha) uexpr translations (type) '\alpha upred <= (type) (bool, '\alpha) uexpr
```

7.1 Predicate syntax

We want to remain as close as possible to the mathematical UTP syntax, but also want to be conservative with HOL. For this reason we chose not to steal syntax from HOL, but where possible use polymorphism to allow selection of the appropriate operator (UTP vs. HOL). Thus we will first remove the standard syntax for conjunction, disjunction, and negation, and replace these with adhoc overloaded definitions.

```
purge-notation
  conj (infixr \wedge 35) and
  disj (infixr \vee 3\theta) and
  Not (\neg - [40] \ 40)
consts
  utrue :: 'a (true)
  ufalse :: 'a (false)
  uconj :: 'a \Rightarrow 'a \Rightarrow 'a  (infixr \land 35)
  udisj :: 'a \Rightarrow 'a \Rightarrow 'a \text{ (infixr } \lor 30)
  uimpl :: 'a \Rightarrow 'a \Rightarrow 'a \text{ (infixr} \Rightarrow 25)
  uiff :: 'a \Rightarrow 'a \Rightarrow 'a \text{ (infixr} \Leftrightarrow 25)
           a \Rightarrow 'a (\neg - [40] 40)
            :: ('a \Longrightarrow '\alpha) \Rightarrow 'p \Rightarrow 'p
  uall :: ('a \Longrightarrow '\alpha) \Rightarrow 'p \Rightarrow 'p
  ushEx :: ['a \Rightarrow 'p] \Rightarrow 'p
  ushAll :: ['a \Rightarrow 'p] \Rightarrow 'p
adhoc-overloading
  uconj conj and
  udisi disi and
  unot Not
```

We set up two versions of each of the quantifiers: uex / uall and ushEx / ushAll. The former pair allows quantification of UTP variables, whilst the latter allows quantification of HOL variables. Both varieties will be needed at various points. Syntactically they are distinguish by a boldface quantifier for the HOL versions (achieved by the "bold" escape in Isabelle).

nonterminal idt-list

```
syntax
```

```
\begin{array}{l} -idt\text{-}el \ :: \ idt \ \Rightarrow \ idt\text{-}list \ (\text{-}) \\ -idt\text{-}list \ :: \ idt \ \Rightarrow \ idt\text{-}list \ \Rightarrow \ idt\text{-}list \ ((\text{-},/\text{-}) \ [0,\ 1]) \\ -uex \qquad :: \ salpha \ \Rightarrow \ logic \ \Rightarrow \ logic \ (\exists \ -\cdot \ - \ [0,\ 10] \ 10) \\ -ushEx \quad :: \ pttrn \ \Rightarrow \ logic \ \Rightarrow \ logic \ (\exists \ -\cdot \ - \ [0,\ 10] \ 10) \\ -ushAll \ :: \ pttrn \ \Rightarrow \ logic \ \Rightarrow \ logic \ (\forall \ -\cdot \ - \ [0,\ 10] \ 10) \\ -ushBEx \ :: \ pttrn \ \Rightarrow \ logic \ \Rightarrow \ logic \ (\exists \ -\in \ -\cdot \ - \ [0,\ 0,\ 10] \ 10) \\ -ushBAll \ :: \ pttrn \ \Rightarrow \ logic \ \Rightarrow \ logic \ (\forall \ -\in \ -\cdot \ - \ [0,\ 0,\ 10] \ 10) \\ -ushGAll \ :: \ pttrn \ \Rightarrow \ logic \ \Rightarrow \ logic \ (\forall \ -\mid \ -\cdot \ - \ [0,\ 0,\ 10] \ 10) \\ -ushGtAll \ :: \ idt \ \Rightarrow \ logic \ \Rightarrow \ logic \ (\forall \ -> \ -\cdot \ - \ [0,\ 0,\ 10] \ 10) \\ -ushLtAll \ :: \ idt \ \Rightarrow \ logic \ \Rightarrow \ logic \ \Rightarrow \ logic \ (\forall \ -< \ -\cdot \ - \ [0,\ 0,\ 10] \ 10) \\ -ushLtAll \ :: \ idt \ \Rightarrow \ logic \ \Rightarrow \ logic \ \Rightarrow \ logic \ (\forall \ -< \ -\cdot \ - \ [0,\ 0,\ 10] \ 10) \\ -ushLtAll \ :: \ idt \ \Rightarrow \ logic \ \Rightarrow \ logic \ \Rightarrow \ logic \ (\forall \ -< \ -\cdot \ - \ [0,\ 0,\ 10] \ 10) \\ -ushLtAll \ :: \ idt \ \Rightarrow \ logic \ \Rightarrow \ logic \ \Rightarrow \ logic \ (\forall \ -< \ -\cdot \ - \ [0,\ 0,\ 10] \ 10) \\ -ushLtAll \ :: \ idt \ \Rightarrow \ logic \ \Rightarrow \ logic \ (\forall \ -< \ -\cdot \ - \ [0,\ 0,\ 10] \ 10) \\ -ushLtAll \ :: \ idt \ \Rightarrow \ logic \ \Rightarrow \ logic \ (\forall \ -< \ -\cdot \ - \ [0,\ 0,\ 10] \ 10) \\ -ushLtAll \ :: \ idt \ \Rightarrow \ logic \ \Rightarrow \ logic \ (\forall \ -< \ -\cdot \ - \ [0,\ 0,\ 10] \ 10) \\ -ushLtAll \ :: \ logic \ \Rightarrow \ logic \ \Rightarrow \ logic \ (\forall \ -< \ -\cdot \ - \ [0,\ 0,\ 10] \ 10) \\ -ushLtAll \ :: \ logic \ \Rightarrow \ logic \ \Rightarrow \ logic \ (\forall \ -< \ -\cdot \ - \ [0,\ 0,\ 10] \ 10) \\ -ushLtAll \ :: \ logic \ \Rightarrow \ logic \ \Rightarrow \ logic \ (\forall \ -< \ -\cdot \ - \ [0,\ 0,\ 10] \ 10) \\ -ushLtAll \ :: \ logic \ \Rightarrow \ logic \ \Rightarrow \ logic \ (\forall \ -< \ -\cdot \ - \ [0,\ 0,\ 10] \ 10) \\ -ushLtAll \ :: \ logic \ \Rightarrow \ logic \ \Rightarrow \ logic \ (\forall \ -< \ -\cdot \ - \ [0,\ 0,\ 10] \ 10) \\ -ushLtAll \ :: \ logic \ \Rightarrow \ logic \ \Rightarrow \ logic \ (\forall \ -< \ -\cdot \ - \ [0,\ 0,\ 10] \ 10) \\ -ushLtAll \ :: \ logic \ \Rightarrow \ logic \ \Rightarrow \ logic \ (\forall \ -< \ -\cdot \ - \ [0,\ 0,\ 10] \ 10) \\ -ushLtAll \ :: \ logic \ \Rightarrow \ logic \ \Rightarrow
```

translations

```
-uex \ x \ P
                                == CONST uex x P
-uex (-salphaset (-salphamk (x +_L y))) P \le -uex (x +_L y) P
                               == CONST \ uall \ x \ P
-uall \ x \ P
-uall (-salphaset (-salphamk (x +_L y))) P \le -uall (x +_L y) P
-ushEx \ x \ P
                                == CONST \ ushEx \ (\lambda \ x. \ P)
\exists x \in A \cdot P
                                  =>\exists x\cdot \ll x\gg \in_u A\wedge P
-ushAll \ x \ P
                                == CONST ushAll (\lambda x. P)
\forall x \in A \cdot P
                                  => \forall x \cdot \ll x \gg \in_u A \Rightarrow P
                                  => \forall x \cdot P \Rightarrow Q
\forall x \mid P \cdot Q
\forall x > y \cdot P
                                  => \forall x \cdot \ll x \gg >_u y \Rightarrow P
\forall x < y \cdot P
                                  => \forall x \cdot \ll x \gg <_u y \Rightarrow P
```

7.2 Predicate operators

We chose to maximally reuse definitions and laws built into HOL. For this reason, when introducing the core operators we proceed by lifting operators from the polymorphic algebraic hiearchy of HOL. Thus the initial definitions take place in the context of type class instantiations. We first introduce our own class called *refine* that will add the refinement operator syntax to the HOL partial order class.

```
class refine = order 
abbreviation refineBy :: 'a::refine \Rightarrow 'a \Rightarrow bool (infix \sqsubseteq 50) where P \sqsubseteq Q \equiv less\text{-eq }QP
```

Since, on the whole, lattices in UTP are the opposite way up to the standard definitions in HOL, we syntactically invert the lattice operators. This is the one exception where we do steal HOL syntax, but I think it makes sense for UTP.

```
purge-notation Lattices.inf (infixl \Box 70) notation Lattices.inf (infixl \Box 70) purge-notation Lattices.sup (infixl \Box 65) notation Lattices.sup (infixl \Box 65) purge-notation Inf (\Box - [900] 900) notation Inf (\Box - [900] 900) purge-notation Sup (\Box - [900] 900) notation Sup (\Box - [900] 900) purge-notation Sup (\Box - [900] 900) purge-notation Sup (\Box - [900] 900)
```

```
notation top (\bot)
```

```
purge-syntax
  -INF1
              :: pttrns \Rightarrow 'b \Rightarrow 'b
                                          ((3 \square -./ -) [0, 10] 10)
              :: pttrn \Rightarrow 'a \ set \Rightarrow 'b \Rightarrow 'b \ ((3 \square - \in -./ -) [0, 0, 10] \ 10)
  -INF
              :: pttrns \Rightarrow 'b \Rightarrow 'b \qquad ((3 \sqcup -./ -) [0, 10] 10)
  -SUP1
              :: pttrn \Rightarrow 'a \ set \Rightarrow 'b \Rightarrow 'b \ ((3 \square - \in -./ -) [0, 0, 10] \ 10)
  -SUP
syntax
  -INF1
              :: pttrns \Rightarrow 'b \Rightarrow 'b
                                               ((3| |-./-) [0, 10] 10)
              :: \mathit{pttrn} \, \Rightarrow \, 'a \, \mathit{set} \, \Rightarrow \, 'b \, \Rightarrow \, 'b \, \left( (\beta \bigsqcup \neg \in \neg ./ \, \neg) \, \left[ \theta, \, \theta, \, 10 \right] \, 10 \right)
  -INF
               :: pttrns \Rightarrow 'b \Rightarrow 'b \qquad ((3 \square -./ -) [0, 10] 10)
  -SUP1
              :: pttrn \Rightarrow 'a \ set \Rightarrow 'b \Rightarrow 'b \ ((3 \square - \in -./ -) \ [0, \ 0, \ 10] \ 10)
  -SUP
We trivially instantiate our refinement class
instance uexpr :: (order, type) refine ..
— Configure transfer law for refinement for the fast relational tactics.
theorem upred-ref-iff [uexpr-transfer-laws]:
(P \sqsubseteq Q) = (\forall b. \ \llbracket Q \rrbracket_e \ b \longrightarrow \llbracket P \rrbracket_e \ b)
apply (transfer)
apply (clarsimp)
done
Next we introduce the lattice operators, which is again done by lifting.
instantiation \ uexpr :: (lattice, \ type) \ lattice
begin
 lift-definition sup-uexpr :: ('a, 'b) uexpr \Rightarrow ('a, 'b) uexpr \Rightarrow ('a, 'b) uexpr
 is \lambda P \ Q \ A. Lattices.sup (P \ A) \ (Q \ A).
 lift-definition inf-uexpr :: ('a, 'b) uexpr \Rightarrow ('a, 'b) uexpr \Rightarrow ('a, 'b) uexpr
 is \lambda P Q A. Lattices.inf (P A) (Q A) .
instance
 by (intro-classes) (transfer, auto)+
end
instantiation \ uexpr::(bounded-lattice, \ type) \ bounded-lattice
 lift-definition bot-uexpr :: ('a, 'b) uexpr is \lambda A. Orderings.bot.
 lift-definition top-uexpr :: ('a, 'b) uexpr is \lambda A. Orderings.top.
instance
 by (intro-classes) (transfer, auto)+
end
instance \ uexpr :: (distrib-lattice, \ type) \ distrib-lattice
 by (intro-classes) (transfer, rule ext, auto simp add: sup-inf-distrib1)
Finally we show that predicates form a Boolean algebra (under the lattice operators).
instance uexpr :: (boolean-algebra, type) boolean-algebra
apply (intro-classes, unfold uexpr-defs; transfer, rule ext)
apply (simp-all add: sup-inf-distrib1 diff-eq)
done
instantiation uexpr :: (complete-lattice, type) complete-lattice
begin
```

```
lift-definition Inf-uexpr :: ('a, 'b) uexpr set \Rightarrow ('a, 'b) uexpr
 is \lambda PS A. INF P:PS. P(A).
 lift-definition Sup-uexpr :: ('a, 'b) uexpr set \Rightarrow ('a, 'b) uexpr
 is \lambda PS A. SUP P:PS. P(A).
instance
  by (intro-classes)
     (transfer, auto intro: INF-lower SUP-upper simp add: INF-greatest SUP-least)+
end
syntax
  -mu :: pttrn \Rightarrow logic \Rightarrow logic (\mu - \cdot - [0, 10] 10)
 -nu :: pttrn \Rightarrow logic \Rightarrow logic (\nu - \cdot - [0, 10] 10)
notation gfp(\mu)
notation lfp (\nu)
translations
 \nu X \cdot P == CONST \ lfp \ (\lambda X. P)
 \mu X \cdot P == CONST \ gfp \ (\lambda X. P)
instance\ uexpr::(complete-distrib-lattice,\ type)\ complete-distrib-lattice
  apply (intro-classes)
  apply (transfer, rule ext, auto)
  using sup-INF apply fastforce
 apply (transfer, rule ext, auto)
  using inf-SUP apply fastforce
done
instance uexpr :: (complete-boolean-algebra, type) complete-boolean-algebra ..
With the lattice operators defined, we can proceed to give definitions for the standard predicate
operators in terms of them.
definition true-upred = (Orderings.top :: '<math>\alpha upred)
definition false-upred = (Orderings.bot :: '\alpha upred)
definition conj-upred = (Lattices.inf :: '\alpha upred \Rightarrow '\alpha upred \Rightarrow '\alpha upred)
definition disj-upred = (Lattices.sup :: '\alpha upred \Rightarrow '\alpha upred \Rightarrow '\alpha upred)
definition not-upred = (uminus :: '\alpha upred \Rightarrow '\alpha upred)
definition diff-upred = (minus :: '\alpha upred \Rightarrow '\alpha upred \Rightarrow '\alpha upred)
abbreviation Conj-upred :: '\alpha upred set \Rightarrow '\alpha upred (\bigwedge- [900] 900) where
\bigwedge A \equiv | | A
abbreviation Disj-upred :: '\alpha upred set \Rightarrow '\alpha upred (\bigvee- [900] 900) where
\bigvee A \equiv \prod A
notation
  conj-upred (infixr \wedge_p 35) and
  disj-upred (infixr \vee_p 30)
lift-definition USUP :: ('a \Rightarrow '\alpha \ upred) \Rightarrow ('a \Rightarrow ('b::complete-lattice, '\alpha) \ uexpr) \Rightarrow ('b, '\alpha) \ uexpr
is \lambda \ P \ F \ b. Sup \{ [\![ F \ x ]\!]_e b \mid x . [\![ P \ x ]\!]_e b \}.
lift-definition UINF :: ('a \Rightarrow '\alpha \ upred) \Rightarrow ('a \Rightarrow ('b::complete-lattice, '\alpha) \ uexpr) \Rightarrow ('b, '\alpha) \ uexpr
is \lambda \ P \ F \ b. Inf \{ [\![ F \ x ]\!]_e b \mid x . [\![ P \ x ]\!]_e b \}.
```

```
declare USUP-def [upred-defs] declare UINF-def [upred-defs]
```

```
syntax
```

```
-USup
                                                                      (\bigvee - \cdot - [0, 10] \ 10)
                :: pttrn \Rightarrow logic \Rightarrow logic
                                                                      ( \bigcap - \cdot - [0, 10] 10)
-USup
               :: pttrn \Rightarrow logic \Rightarrow logic
-USup\text{-}mem :: pttrn \Rightarrow logic \Rightarrow logic \Rightarrow logic \quad (\bigvee \neg \in \neg \neg \neg [0, 10] \ 10)
-USup\text{-}mem :: pttrn \Rightarrow logic \Rightarrow logic \Rightarrow logic \quad ( \  \  \, [0, 10] \ 10 )
-USUP
                 :: pttrn \Rightarrow logic \Rightarrow logic \Rightarrow logic \quad (\bigvee - | - \cdot - [0, 0, 10] \ 10)
-USUP
                 :: pttrn \Rightarrow logic \Rightarrow logic \Rightarrow logic \quad (\bigcap \ - \ | \ - \cdot - \ [0, \ 0, \ 10] \ 10)
              :: pttrn \Rightarrow logic \Rightarrow logic
-UInf
                                                                     (\bigwedge - \cdot - [0, 10] 10)
                                                                     :: pttrn \Rightarrow logic \Rightarrow logic
-UInf
-UInf-mem :: pttrn \Rightarrow logic \Rightarrow logic \Rightarrow logic \ (\land \neg \in \neg \neg [0, 10] \ 10)
-UInf-mem :: pttrn \Rightarrow logic \Rightarrow logic \Rightarrow logic (\square - \in - · - [0, 10] 10)
                :: pttrn \Rightarrow logic \Rightarrow logic \Rightarrow logic \quad (\bigwedge - | - \cdot - [0, 10] \ 10)
-UINF
                :: pttrn \Rightarrow logic \Rightarrow logic \Rightarrow logic \quad (| | - | - \cdot - [0, 10] \ 10)
```

translations

We also define the other predicate operators

lift-definition $impl::'\alpha \ upred \Rightarrow '\alpha \ upred \Rightarrow '\alpha \ upred$ is $\lambda \ P \ Q \ A. \ P \ A \longrightarrow Q \ A$.

lift-definition iff-upred ::' α upred \Rightarrow ' α upred \Rightarrow ' α upred is λ P Q A. P A \longleftrightarrow Q A.

lift-definition $ex :: ('a \Longrightarrow '\alpha) \Rightarrow '\alpha \ upred \Rightarrow '\alpha \ upred$ is $\lambda \ x \ P \ b. \ (\exists \ v. \ P(put_x \ b \ v))$.

lift-definition shEx ::[' $\beta \Rightarrow$ ' α upred] \Rightarrow ' α upred is $\lambda \ P \ A$. $\exists \ x. \ (P \ x) \ A$.

lift-definition all :: $('a \Longrightarrow '\alpha) \Rightarrow '\alpha \ upred \Rightarrow '\alpha \ upred$ is $\lambda \ x \ P \ b. \ (\forall \ v. \ P(put_x \ b \ v))$.

lift-definition shAll ::[' $\beta \Rightarrow$ ' α upred] \Rightarrow ' α upred is $\lambda \ P \ A. \ \forall \ x. \ (P \ x) \ A$.

We have to add a u subscript to the closure operator as I don't want to override the syntax for HOL lists (we'll be using them later).

lift-definition closure::' α upred \Rightarrow ' α upred ([-]_u) is λ P A. \forall A'. P A'.

```
lift-definition taut :: '\alpha \ upred \Rightarrow bool (`-`)
is \lambda P. \forall A. P A.
— Configuration for UTP tactics (see utp-tactics).
update-uexpr-rep-eq-thms — Reread rep-eq theorems.
declare utp-pred.taut.rep-eq [upred-defs]
adhoc-overloading
  utrue true-upred and
  ufalse false-upred and
  unot not-upred and
  uconj conj-upred and
  udisj disj-upred and
  uimpl impl and
  uiff iff-upred and
  uex ex and
  uall all and
  ushEx \ shEx \ and
  ushAll \ shAll
syntax
  -uneq
              :: logic \Rightarrow logic \Rightarrow logic (infixl \neq_u 50)
  -unmem
                :: ('a, '\alpha) \ uexpr \Rightarrow ('a \ set, '\alpha) \ uexpr \Rightarrow (bool, '\alpha) \ uexpr \ (infix \notin_u 50)
translations
  x \neq_u y == CONST \ unot \ (x =_u y)
 x \notin_u A == CONST \ unot \ (CONST \ bop \ (op \in) \ x \ A)
declare true-upred-def [upred-defs]
declare false-upred-def [upred-defs]
declare conj-upred-def [upred-defs]
declare disj-upred-def [upred-defs]
declare not-upred-def [upred-defs]
declare diff-upred-def [upred-defs]
declare subst-upd-uvar-def [upred-defs]
declare unrest-usubst-def [upred-defs]
declare uexpr-defs [upred-defs]
lemma true-alt-def: true = «True»
 by (pred-auto)
lemma false-alt-def: false = «False»
 by (pred-auto)
declare true-alt-def [THEN sym,lit-simps]
declare false-alt-def [THEN sym,lit-simps]
abbreviation cond ::
  ('a,'\alpha) \ uexpr \Rightarrow '\alpha \ upred \Rightarrow ('a,'\alpha) \ uexpr \Rightarrow ('a,'\alpha) \ uexpr
  ((3- \triangleleft - \triangleright / -) [52,0,53] 52)
where P \triangleleft b \triangleright Q \equiv trop \ \textit{If} \ b \ P \ Q
```

7.3 Unrestriction Laws

lemma unrest-ex-diff [unrest]:

```
lemma unrest-allE:
   \llbracket \&\Sigma \sharp P; P = true \Longrightarrow Q; P = false \Longrightarrow Q \rrbracket \Longrightarrow Q
  by (pred-auto)
lemma unrest-true [unrest]: x \sharp true
  by (pred-auto)
lemma unrest-false [unrest]: x \sharp false
  by (pred-auto)
lemma unrest-conj [unrest]: \llbracket x \sharp (P :: '\alpha \ upred); x \sharp Q \rrbracket \Longrightarrow x \sharp P \land Q
  by (pred-auto)
lemma unrest-disj [unrest]: \llbracket x \sharp (P :: '\alpha \ upred); x \sharp Q \rrbracket \Longrightarrow x \sharp P \lor Q
  by (pred-auto)
\mathbf{lemma}\ unrest\text{-}\mathit{USUP}\ [\mathit{unrest}]:
   \llbracket (\bigwedge i. \ x \ \sharp \ P(i)); (\bigwedge i. \ x \ \sharp \ Q(i)) \rrbracket \Longrightarrow x \ \sharp (\bigcap i \mid P(i) \cdot Q(i))
  by (pred-auto)
lemma unrest-UINF [unrest]:
   \llbracket (\bigwedge i. \ x \ \sharp \ P(i)); (\bigwedge i. \ x \ \sharp \ Q(i)) \ \rrbracket \Longrightarrow x \ \sharp (\bigsqcup i \ | \ P(i) \cdot Q(i))
  by (pred-auto)
lemma unrest-USUP-mem [unrest]:
   \llbracket (\bigwedge i. \ i \in A \Longrightarrow x \ \sharp \ P(i)) \ \rrbracket \Longrightarrow x \ \sharp \ (\bigcap \ i \in A \cdot P(i))
  by (pred-simp, metis)
lemma unrest-UINF-mem [unrest]:
   \llbracket (\bigwedge i. \ i \in A \Longrightarrow x \sharp P(i)) \rrbracket \Longrightarrow x \sharp (\bigsqcup i \in A \cdot P(i))
  by (pred-simp, metis)
lemma unrest-impl [unrest]: [x \sharp P; x \sharp Q] \implies x \sharp P \Rightarrow Q
  by (pred-auto)
lemma unrest-iff [unrest]: [\![ x \sharp P; x \sharp Q ]\!] \Longrightarrow x \sharp P \Leftrightarrow Q
  by (pred-auto)
lemma unrest-not [unrest]: x \sharp (P :: '\alpha \ upred) \Longrightarrow x \sharp (\neg P)
  by (pred-auto)
The sublens proviso can be thought of as membership below.
lemma unrest-ex-in [unrest]:
   \llbracket mwb\text{-}lens\ y;\ x\subseteq_L\ y\ \rrbracket \Longrightarrow x\ \sharp\ (\exists\ y\cdot P)
  by (pred-auto)
declare sublens-refl [simp]
declare lens-plus-ub [simp]
declare lens-plus-right-sublens [simp]
declare comp-wb-lens [simp]
declare comp-mwb-lens [simp]
declare plus-mwb-lens [simp]
```

```
assumes x \bowtie y y \sharp P
  shows y \sharp (\exists x \cdot P)
  using assms
  apply (pred-auto)
  using lens-indep-comm apply fastforce+
done
lemma unrest-all-in [unrest]:
  \llbracket mwb\text{-}lens\ y;\ x\subseteq_L y\ \rrbracket \Longrightarrow x\ \sharp\ (\forall\ y\cdot P)
  by (pred-auto)
\mathbf{lemma}\ unrest\text{-}all\text{-}di\!f\!f\ [unrest] \colon
  assumes x \bowtie y y \sharp P
  shows y \sharp (\forall x \cdot P)
  using assms
  by (pred-simp, simp-all add: lens-indep-comm)
lemma unrest-shEx [unrest]:
  assumes \bigwedge y. x \sharp P(y)
  shows x \sharp (\exists y \cdot P(y))
  using assms by (pred-auto)
lemma unrest-shAll [unrest]:
  assumes \bigwedge y. x \sharp P(y)
  shows x \sharp (\forall y \cdot P(y))
  using assms by (pred-auto)
lemma unrest-closure [unrest]:
  x \sharp [P]_u
  by (pred-auto)
7.4
         Substitution Laws
Substitution is monotone
lemma subst-mono: P \sqsubseteq Q \Longrightarrow (\sigma \dagger P) \sqsubseteq (\sigma \dagger Q)
  by (pred-auto)
lemma subst-true [usubst]: \sigma \dagger true = true
  by (pred-auto)
lemma subst-false [usubst]: \sigma \dagger false = false
  by (pred-auto)
lemma subst-not [usubst]: \sigma \dagger (\neg P) = (\neg \sigma \dagger P)
  by (pred-auto)
lemma subst-impl [usubst]: \sigma \dagger (P \Rightarrow Q) = (\sigma \dagger P \Rightarrow \sigma \dagger Q)
  by (pred-auto)
lemma subst-iff [usubst]: \sigma \dagger (P \Leftrightarrow Q) = (\sigma \dagger P \Leftrightarrow \sigma \dagger Q)
  by (pred-auto)
lemma subst-disj [usubst]: \sigma \dagger (P \lor Q) = (\sigma \dagger P \lor \sigma \dagger Q)
  by (pred-auto)
```

```
lemma subst-conj [usubst]: \sigma \dagger (P \land Q) = (\sigma \dagger P \land \sigma \dagger Q)
  by (pred-auto)
declare [[show-sorts]]
term P \sqcap Q
lemma subst-sup [usubst]: \sigma \dagger (P \sqcap Q) = (\sigma \dagger P \sqcap \sigma \dagger Q)
  by (pred-auto)
lemma subst-inf [usubst]: \sigma \dagger (P \sqcup Q) = (\sigma \dagger P \sqcup \sigma \dagger Q)
  by (pred-auto)
lemma subst-USUP [usubst]: \sigma \dagger (\bigcap i \mid P(i) \cdot Q(i)) = (\bigcap i \mid (\sigma \dagger P(i)) \cdot (\sigma \dagger Q(i)))
  by (pred-auto)
lemma subst-UINF [usubst]: \sigma \dagger (| \mid i \mid P(i) \cdot Q(i)) = (| \mid i \mid (\sigma \dagger P(i)) \cdot (\sigma \dagger Q(i)))
  by (pred-auto)
lemma subst-closure [usubst]: \sigma \dagger [P]_u = [P]_u
  by (pred-auto)
lemma subst-shEx [usubst]: \sigma \dagger (\exists x \cdot P(x)) = (\exists x \cdot \sigma \dagger P(x))
  by (pred-auto)
lemma subst-shAll [usubst]: \sigma \dagger (\forall x \cdot P(x)) = (\forall x \cdot \sigma \dagger P(x))
  \mathbf{by}\ (\mathit{pred-auto})
TODO: Generalise the quantifier substitution laws to n-ary substitutions
lemma subst-ex-same [usubst]:
  mwb-lens x \Longrightarrow \sigma(x \mapsto_s v) \dagger (\exists x \cdot P) = \sigma \dagger (\exists x \cdot P)
  by (pred-auto)
lemma subst-ex-indep [usubst]:
  assumes x \bowtie y y \sharp v
  shows (\exists y \cdot P)[v/x] = (\exists y \cdot P[v/x])
  using assms
  apply (pred-auto)
  using lens-indep-comm apply fastforce+
done
lemma subst-ex-unrest [usubst]:
  x \sharp \sigma \Longrightarrow \sigma \dagger (\exists x \cdot P) = (\exists x \cdot \sigma \dagger P)
  by (pred-auto)
lemma subst-all-same [usubst]:
  mwb-lens x \Longrightarrow \sigma(x \mapsto_s v) \dagger (\forall x \cdot P) = \sigma \dagger (\forall x \cdot P)
  by (simp add: id-subst subst-unrest unrest-all-in)
lemma subst-all-indep [usubst]:
  assumes x \bowtie y y \sharp v
  shows (\forall y \cdot P)[v/x] = (\forall y \cdot P[v/x])
  using assms
  by (pred-simp, simp-all add: lens-indep-comm)
```

7.5 Predicate Laws

Showing that predicates form a Boolean Algebra (under the predicate operators) gives us many useful laws.

```
interpretation boolean-algebra diff-upred not-upred conj-upred op \leq op < op
  disj-upred false-upred true-upred
 by (unfold-locales; pred-auto)
lemma taut-true [simp]: 'true'
 by (pred-auto)
lemma taut-false [simp]: 'false' = False
  by (pred-auto)
lemma upred-eval-taut:
  P[[a] \sim b > /\&\Sigma] = [P]_e b
 by (pred-auto)
lemma refBy-order: P \sqsubseteq Q = Q' \Rightarrow P'
 by (pred-auto)
lemma conj-idem [simp]: ((P::'\alpha \ upred) \land P) = P
 \mathbf{by}\ (\mathit{pred-auto})
lemma disj-idem [simp]: ((P::'\alpha \ upred) \lor P) = P
  by (pred-auto)
lemma conj-comm: ((P::'\alpha \ upred) \land Q) = (Q \land P)
  by (pred-auto)
lemma disj-comm: ((P::'\alpha \ upred) \lor Q) = (Q \lor P)
  by (pred-auto)
lemma conj-subst: P = R \Longrightarrow ((P::'\alpha \ upred) \land Q) = (R \land Q)
 by (pred-auto)
lemma disj-subst: P = R \Longrightarrow ((P::'\alpha \ upred) \lor Q) = (R \lor Q)
 by (pred-auto)
lemma conj-assoc:(((P::'\alpha \ upred) \land Q) \land S) = (P \land (Q \land S))
  by (pred-auto)
lemma \textit{disj-assoc:}(((P::'\alpha \textit{upred}) \lor Q) \lor S) = (P \lor (Q \lor S))
 by (pred-auto)
lemma conj-disj-abs:((P::'\alpha upred) \land (P \lor Q)) = P
 by (pred-auto)
lemma disj\text{-}conj\text{-}abs:((P::'\alpha \ upred) \lor (P \land Q)) = P
 \mathbf{by} \ (pred-auto)
lemma conj-disj-distr:((P::'\alpha \ upred) \land (Q \lor R)) = ((P \land Q) \lor (P \land R))
 by (pred-auto)
lemma disj-conj-distr:((P::'\alpha \ upred) \lor (Q \land R)) = ((P \lor Q) \land (P \lor R))
```

```
by (pred-auto)
lemma true-disj-zero [simp]:
  (P \lor true) = true (true \lor P) = true
  by (pred-auto)+
lemma true-conj-zero [simp]:
  (P \wedge false) = false \ (false \wedge P) = false
  by (pred-auto)+
lemma imp-vacuous [simp]: (false \Rightarrow u) = true
  by (pred-auto)
lemma imp-true [simp]: (p \Rightarrow true) = true
  by (pred-auto)
lemma true-imp [simp]: (true \Rightarrow p) = p
  by (pred-auto)
lemma impl-mp1 [simp]: (P \land (P \Rightarrow Q)) = (P \land Q)
  by (pred-auto)
lemma impl-mp2 [simp]: ((P \Rightarrow Q) \land P) = (Q \land P)
  by (pred-auto)
lemma impl-adjoin: ((P \Rightarrow Q) \land R) = ((P \land R \Rightarrow Q \land R) \land R)
  by (pred-auto)
lemma impl-refine-intro:
  \llbracket \ Q_1 \sqsubseteq P_1; \ P_2 \sqsubseteq (P_1 \land \ Q_2) \ \rrbracket \Longrightarrow (P_1 \Rightarrow P_2) \sqsubseteq (Q_1 \Rightarrow Q_2)
  by (pred-auto)
lemma impl\text{-}disjI: [ P \Rightarrow R'; Q \Rightarrow R'] \Longrightarrow (P \lor Q) \Rightarrow R'
  by (rel-auto)
lemma conditional-iff:
  (P \Rightarrow Q) = (P \Rightarrow R) \longleftrightarrow P \Rightarrow (Q \Leftrightarrow R)
  by (pred-auto)
lemma p-and-not-p [simp]: (P \land \neg P) = false
  by (pred-auto)
lemma p-or-not-p [simp]: (P \lor \neg P) = true
  by (pred-auto)
lemma p-imp-p [simp]: (P \Rightarrow P) = true
  by (pred-auto)
lemma p-iff-p [simp]: (P \Leftrightarrow P) = true
  by (pred-auto)
lemma p-imp-false [simp]: (P \Rightarrow false) = (\neg P)
  by (pred-auto)
```

lemma not-conj-deMorgans [simp]: $(\neg ((P::'\alpha \ upred) \land Q)) = ((\neg P) \lor (\neg Q))$

```
by (pred-auto)
lemma not-disj-deMorgans [simp]: (\neg ((P::'\alpha \ upred) \lor Q)) = ((\neg P) \land (\neg Q))
  by (pred-auto)
lemma conj-disj-not-abs [simp]: ((P::'\alpha \ upred) \land ((\neg P) \lor Q)) = (P \land Q)
 by (pred-auto)
\mathbf{lemma}\ subsumption 1:
  P \Rightarrow Q' \Longrightarrow (P \lor Q) = Q
 by (pred-auto)
lemma subsumption 2:
  Q \Rightarrow P' \Longrightarrow (P \lor Q) = P
 by (pred-auto)
lemma neg-conj-cancel1: (\neg P \land (P \lor Q)) = (\neg P \land Q :: '\alpha \ upred)
 by (pred-auto)
lemma neg-conj-cancel2: (\neg Q \land (P \lor Q)) = (\neg Q \land P :: '\alpha \ upred)
 by (pred-auto)
lemma double-negation [simp]: (\neg \neg (P::'\alpha upred)) = P
 by (pred-auto)
lemma true-not-false [simp]: true \neq false false \neq true
 by (pred-auto)+
lemma closure-conj-distr: ([P]_u \wedge [Q]_u) = [P \wedge Q]_u
 by (pred-auto)
lemma closure-imp-distr: '[P \Rightarrow Q]_u \Rightarrow [P]_u \Rightarrow [Q]_u'
 by (pred-auto)
lemma uinf-or:
 \mathbf{fixes}\ P\ Q\ ::\ '\alpha\ upred
  shows (P \sqcap Q) = (P \vee Q)
 by (pred-auto)
\mathbf{lemma}\ usup\text{-}and:
  fixes P Q :: '\alpha \ upred
 shows (P \sqcup Q) = (P \land Q)
 by (pred-auto)
lemma UINF-alt-def:
  (   | i | A(i) \cdot P(i) ) = (  | i \cdot A(i) \wedge P(i) )
 by (rel-auto)
lemma USUP-true [simp]: (| P | F(P) \cdot true) = true
 by (pred-auto)
lemma UINF-mem-UNIV [simp]: (\bigcap x \in UNIV \cdot P(x)) = (\bigcap x \cdot P(x))
 by (pred-auto)
```

```
by (pred-auto)
lemma USUP-false [simp]: (   i \cdot false ) = false
 by (pred-simp)
lemma UINF-true [simp]: (   i \cdot true ) = true
 by (pred-simp)
lemma UINF-mem-true [simp]: A \neq \{\} \Longrightarrow (\bigcap i \in A \cdot true) = true
 by (pred-auto)
by (pred-auto)
lemma USUP-conq-eq:
  \llbracket \bigwedge x. \ P_1(x) = P_2(x); \bigwedge x. \ P_1(x) \Rightarrow Q_1(x) =_u Q_2(x) \rrbracket \Longrightarrow
       (   x \mid P_1(x) \cdot Q_1(x) ) = (  x \mid P_2(x) \cdot Q_2(x) )
by (unfold USUP-def, pred-simp, metis)
lemma \mathit{USUP}\text{-}\mathit{as}\text{-}\mathit{Sup}\colon (\ \ P\in\mathcal{P}\cdot P)=\ \ \mathcal{P}
 apply (simp add: upred-defs bop.rep-eq lit.rep-eq Sup-uexpr-def)
 apply (pred-simp)
 apply (rule cong[of Sup])
 apply (auto)
done
lemma USUP-as-Sup-collect: (\bigcap P \in A \cdot f(P)) = (\bigcap P \in A. f(P))
 apply (simp add: upred-defs bop.rep-eq lit.rep-eq Sup-uexpr-def)
 apply (pred-simp)
 apply (simp add: Setcompr-eq-image)
done
lemma USUP-as-Sup-collect': (\bigcap P \cdot f(P)) = (\bigcap P \cdot f(P))
 apply (simp add: upred-defs bop.rep-eq lit.rep-eq Sup-uexpr-def)
 apply (pred-simp)
 \mathbf{apply}\ (simp\ add\colon full\text{-}SetCompr\text{-}eq)
done
lemma USUP-as-Sup-image: (\bigcap P \mid \ll P \gg \in_u \ll A \gg \cdot f(P)) = \bigcap (f \cdot A)
 apply (simp add: upred-defs bop.rep-eq lit.rep-eq Sup-uexpr-def)
 apply (pred-simp)
 apply (rule cong[of Sup])
 apply (auto)
done
apply (simp add: upred-defs bop.rep-eq lit.rep-eq Inf-uexpr-def)
 apply (pred-simp)
 apply (rule cong[of Inf])
 apply (auto)
done
lemma UINF-as-Inf-collect: ( \bigsqcup P \in A \cdot f(P) ) = ( \bigsqcup P \in A \cdot f(P) )
 apply (simp add: upred-defs bop.rep-eq lit.rep-eq Sup-uexpr-def)
 apply (pred-simp)
```

```
apply (simp add: Setcompr-eq-image)
done
lemma UINF-as-Inf-collect': (||P \cdot f(P)|) = (||P \cdot f(P)|)
 apply (simp add: upred-defs bop.rep-eq lit.rep-eq Sup-uexpr-def)
 apply (pred-simp)
 apply (simp add: full-SetCompr-eq)
done
lemma UINF-as-Inf-image: (| P \in \mathcal{P} \cdot f(P)) = | (f \cdot \mathcal{P})|
 apply (simp add: upred-defs bop.rep-eq lit.rep-eq Inf-uexpr-def)
 apply (pred-simp)
 apply (rule cong[of Inf])
 apply (auto)
done
lemma USUP-image-eq [simp]: USUP (\lambda i. \ll i \gg \in_u \ll f 'A \gg) g = (\bigcap i \in A \cdot g(f(i)))
 by (pred-simp, rule-tac cong[of Sup Sup], auto)
lemma UINF-image-eq [simp]: UINF (\lambda i. \ll i \gg \in_u \ll f \land A \gg) g = ( \sqsubseteq i \in A \cdot g(f(i)) )
 by (pred-simp, rule-tac cong[of Inf Inf], auto)
by (simp add: USUP-as-Sup[THEN sym] usubst setcompr-eq-image)
by (pred-auto)
lemma not-UINF: (\neg (| | i \in A \cdot P(i))) = (\bigcap i \in A \cdot \neg P(i))
 by (pred-auto)
by (pred-auto)
lemma USUP-insert [simp]: (\bigcap i \in insert \ x \ xs \cdot P(i)) = (P(x) \cap (\bigcap i \in xs \cdot P(i)))
 apply (pred-simp)
 apply (subst Sup-insert[THEN sym])
 apply (rule-tac cong[of Sup Sup])
 \mathbf{apply} \,\, (\mathit{auto})
done
lemma UINF-empty [simp]: (\bigcup i \in \{\} \cdot P(i)\} = true
 by (pred-auto)
apply (pred-simp)
 apply (subst Inf-insert[THEN sym])
 apply (rule-tac cong[of Inf Inf])
 apply (auto)
done
lemma conj-USUP-dist:
 (P \land (\bigcap Q \in S \cdot F(Q))) = (\bigcap Q \in S \cdot P \land F(Q))
 by (simp add: upred-defs bop.rep-eq lit.rep-eq, pred-auto)
```

```
\mathbf{lemma}\ disj-\mathit{USUP}-dist:
  S \neq \{\} \Longrightarrow (P \vee (\bigcap Q \in S \cdot F(Q))) = (\bigcap Q \in S \cdot P \vee F(Q))
  by (simp add: upred-defs bop.rep-eq lit.rep-eq, pred-auto)
lemma conj-UINF-dist:
  S \neq \{\} \Longrightarrow (P \land (| | Q \in S \cdot F(Q))) = (| | Q \in S \cdot P \land F(Q))
 by (subst uexpr-eq-iff, auto simp add: conj-upred-def UINF.rep-eq inf-uexpr.rep-eq bop.rep-eq lit.rep-eq)
lemma UINF-conj-UINF: ((  P \in A \cdot F(P)) \land ( P \in A \cdot G(P)) = ( P \in A \cdot F(P) \land G(P))
  by (simp add: upred-defs bop.rep-eq lit.rep-eq, pred-auto)
lemma UINF-all-cong:
  assumes \bigwedge P. F(P) = G(P)
 shows (\bigcap P \cdot F(P)) = (\bigcap P \cdot G(P))
 by (simp add: USUP-as-Sup-collect assms)
lemma UINF-conq:
 assumes \bigwedge P. P \in A \Longrightarrow F(P) = G(P)
  shows ( \bigcap P \in A \cdot F(P) ) = ( \bigcap P \in A \cdot G(P) )
  by (simp add: USUP-as-Sup-collect assms)
lemma USUP-cong:
  assumes \bigwedge P. P \in A \Longrightarrow F(P) = G(P)
  by (simp add: UINF-as-Inf-collect assms)
lemma UINF-subset-mono: A \subseteq B \Longrightarrow (\bigcap P \in B \cdot F(P)) \sqsubseteq (\bigcap P \in A \cdot F(P))
 by (simp add: SUP-subset-mono USUP-as-Sup-collect)
lemma USUP-subset-mono: A \subseteq B \Longrightarrow (| P \in A \cdot F(P)) \sqsubseteq (| P \in B \cdot F(P))
 by (simp add: INF-superset-mono UINF-as-Inf-collect)
lemma UINF-impl: (\bigcap P \in A \cdot F(P) \Rightarrow G(P)) = ((\bigcup P \in A \cdot F(P)) \Rightarrow (\bigcap P \in A \cdot G(P)))
  by (pred-auto)
lemma UINF-all-nats [simp]:
  fixes P :: nat \Rightarrow '\alpha \ upred
  \mathbf{shows} \; ( \  \, | \  \, n \, \boldsymbol{\cdot} \, \  \, | \  \, i \in \hspace{-0.5mm} \{ \theta..n \} \, \boldsymbol{\cdot} \, P(i) ) = ( \  \, | \  \, i \in \hspace{-0.5mm} \{ \theta.. \} \, \boldsymbol{\cdot} \, P(i) )
 by (pred-auto)
lemma mu-id: (\mu X \cdot X) = true
  by (simp add: antisym gfp-upperbound)
lemma mu\text{-}const: (\mu \ X \cdot P) = P
 by (simp add: gfp-const)
lemma nu-id: (\nu X \cdot X) = false
 by (simp add: lfp-lowerbound utp-pred.bot.extremum-uniqueI)
lemma nu\text{-}const: (\nu \ X \cdot P) = P
 by (simp add: lfp-const)
Obtaining termination proofs via approximation chains. Theorems and proofs adapted from
```

chapter 2, page 63 of the UTP book.

lemma mu-refine-intro:

```
shows (C \Rightarrow S) \sqsubseteq \mu F
proof -
 from assms have (C \Rightarrow S) \sqsubseteq \nu F
   by (simp add: lfp-lowerbound)
 with assms show ?thesis
   by (pred-auto)
\mathbf{qed}
type-synonym 'a chain = nat \Rightarrow 'a upred
definition chain :: 'a \ chain \Rightarrow bool \ \mathbf{where}
  chain Y = ((Y \ 0 = false) \land (\forall i. Y \ (Suc \ i) \sqsubseteq Y \ i))
lemma chain\theta [simp]: chain Y \Longrightarrow Y \theta = false
 by (simp add:chain-def)
lemma chainI:
 assumes Y \theta = false \land i. Y (Suc i) \sqsubseteq Y i
 shows chain Y
 using assms by (auto simp add: chain-def)
lemma chainE:
 assumes chain Y \land i. \llbracket Y \theta = false; Y (Suc i) \sqsubseteq Y i \rrbracket \Longrightarrow P
 shows P
 using assms by (simp add: chain-def)
lemma L274:
 assumes \forall n. ((E \ n \land_p X) = (E \ n \land Y))
 shows ( \bigcap (range\ E) \land X) = (\bigcap (range\ E) \land Y)
 using assms by (pred-auto)
Constructive chains
definition constr ::
 ('a \ upred \Rightarrow 'a \ upred) \Rightarrow 'a \ chain \Rightarrow bool \ \mathbf{where}
constr \ F \ E \longleftrightarrow chain \ E \ \land \ (\forall \ X \ n. \ ((F(X) \land E(n+1)) = (F(X \land E(n)) \land E \ (n+1))))
This lemma gives a way of showing that there is a unique fixed-point when the predicate function
can be built using a constructive function F over an approximation chain E
lemma chain-pred-terminates:
 assumes constr F E mono F
 shows (\bigcap (range \ E) \land \mu \ F) = (\bigcap (range \ E) \land \nu \ F)
 from assms have \forall n. (E n \land \mu F) = (E n \land \nu F)
 proof (rule-tac allI)
   fix n
   from assms show (E \ n \land \mu \ F) = (E \ n \land \nu \ F)
   proof (induct \ n)
     case 0 thus ?case by (simp add: constr-def)
   next
     case (Suc \ n)
     \mathbf{note}\ hyp=this
     thus ?case
     proof -
```

have $(E (n + 1) \land \mu F) = (E (n + 1) \land F (\mu F))$

```
using gfp-unfold[OF hyp(3), THEN sym] by (simp add: constr-def)
       also from hyp have ... = (E(n + 1) \land F(E n \land \mu F))
         by (metis conj-comm constr-def)
       also from hyp have ... = (E (n + 1) \land F (E n \land \nu F))
         by simp
       also from hyp have ... = (E (n + 1) \land \nu F)
         by (metis (no-types, lifting) conj-comm constr-def lfp-unfold)
       ultimately show ?thesis
         by simp
     qed
   qed
 qed
 thus ?thesis
   by (auto intro: L274)
qed
theorem constr-fp-uniq:
 assumes constr \ F \ E \ mono \ F \ \bigcap \ (range \ E) = C
 shows (C \wedge \mu F) = (C \wedge \nu F)
 using assms(1) assms(2) assms(3) chain-pred-terminates by blast
lemma true-iff [simp]: (P \Leftrightarrow true) = P
 by (pred-auto)
lemma impl-alt-def: (P \Rightarrow Q) = (\neg P \lor Q)
 by (pred-auto)
lemma eq-upred-reft [simp]: (x =_u x) = true
 by (pred-auto)
lemma eq-upred-sym: (x =_u y) = (y =_u x)
 by (pred-auto)
lemma eq-cong-left:
 assumes vwb-lens x \ \$x \ \sharp \ Q \ \$x' \ \sharp \ Q \ \$x \ \sharp \ R \ \$x' \ \sharp \ R
 \mathbf{shows}\ ((\$x'=_u\$x \,\wedge\, Q)=(\$x'=_u\$x \,\wedge\, R)) \longleftrightarrow (Q=R)
 by (pred-simp, (meson mwb-lens-def vwb-lens-mwb weak-lens-def)+)
lemma conj-eq-in-var-subst:
 fixes x :: ('a \Longrightarrow '\alpha)
 assumes vwb-lens x
 shows (P \land \$x =_u v) = (P[v/\$x] \land \$x =_u v)
 using assms
 by (pred-simp, (metis vwb-lens-wb wb-lens.get-put)+)
\mathbf{lemma}\ conj\text{-}eq\text{-}out\text{-}var\text{-}subst:
 fixes x :: ('a \Longrightarrow '\alpha)
 assumes vwb-lens x
 shows (P \land \$x' =_u v) = (P[v/\$x'] \land \$x' =_u v)
 using assms
 by (pred-simp, (metis vwb-lens-wb wb-lens.get-put)+)
\mathbf{lemma}\ conj	ext{-}pos	ext{-}var	ext{-}subst:
 \mathbf{assumes}\ vwb\text{-}lens\ x
```

```
shows (\$x \land Q) = (\$x \land Q[true/\$x])
 using assms
 by (pred-auto, metis (full-types) vwb-lens-wb wb-lens.get-put, metis (full-types) vwb-lens-wb wb-lens.get-put)
lemma conj-neg-var-subst:
 assumes vwb-lens x
 shows (\neg \$x \land Q) = (\neg \$x \land Q[false/\$x])
 using assms
 by (pred-auto, metis (full-types) vwb-lens-wb wb-lens.get-put, metis (full-types) vwb-lens-wb wb-lens.get-put)
lemma le-pred-refl [simp]:
 fixes x :: ('a::preorder, '\alpha) \ uexpr
 shows (x \leq_u x) = true
 by (pred-auto)
lemma shEx-unbound [simp]: (\exists x \cdot P) = P
 by (pred-auto)
lemma shEx-bool [simp]: shEx P = (P True \lor P False)
 by (pred-simp, metis (full-types))
lemma shEx-commute: (\exists x \cdot \exists y \cdot P x y) = (\exists y \cdot \exists x \cdot P x y)
 by (pred-auto)
lemma shEx-cong: \llbracket \land x. P x = Q x \rrbracket \implies shEx P = shEx Q
 by (pred-auto)
lemma shAll-unbound [simp]: (\forall x \cdot P) = P
 by (pred-auto)
lemma shAll-bool [simp]: shAll P = (P True \land P False)
 by (pred-simp, metis (full-types))
lemma shAll\text{-}cong: [\![ \bigwedge x. \ P \ x = Q \ x \ ]\!] \Longrightarrow shAll \ P = shAll \ Q
 by (pred-auto)
lemma upred-eq-true [simp]: (p =_u true) = p
 by (pred-auto)
lemma upred-eq-false [simp]: (p =_u false) = (\neg p)
 by (pred-auto)
lemma upred-true-eq [simp]: (true =_u p) = p
 by (pred-auto)
lemma upred-false-eq [simp]: (false =_u p) = (\neg p)
 by (pred-auto)
lemma conj-var-subst:
 assumes vwb-lens x
 shows (P \wedge var \ x =_u v) = (P \llbracket v/x \rrbracket \wedge var \ x =_u v)
 by (pred-simp, (metis (full-types) vwb-lens-def wb-lens.get-put)+)
```

lemma one-point:

```
assumes mwb-lens x x \sharp v
 shows (\exists x \cdot P \land var x =_u v) = P[v/x]
  using assms
  by (pred-auto)
lemma uvar-assign-exists:
  vwb-lens x \Longrightarrow \exists v. b = put_x b v
 by (rule-tac \ x=get_x \ b \ in \ exI, \ simp)
lemma uvar-obtain-assign:
 assumes vwb-lens x
 obtains v where b = put_x b v
 using assms
 by (drule-tac\ uvar-assign-exists[of - b],\ auto)
\mathbf{lemma}\ eq\text{-}split\text{-}subst:
 assumes vwb-lens x
 shows (P = Q) \longleftrightarrow (\forall v. P[\![\ll v \gg /x]\!] = Q[\![\ll v \gg /x]\!])
  using assms
 by (pred-simp, metis uvar-assign-exists)
lemma eq-split-substI:
  assumes vwb-lens x \wedge v. P[\![\ll v \gg /x]\!] = Q[\![\ll v \gg /x]\!]
  shows P = Q
  using assms(1) assms(2) eq-split-subst by blast
\mathbf{lemma}\ taut\text{-}split\text{-}subst:
 assumes vwb-lens x
 shows 'P' \longleftrightarrow (\forall v. 'P[\ll v \gg /x]')
 using assms
 by (pred-simp, metis uvar-assign-exists)
lemma eq-split:
 assumes 'P \Rightarrow Q' 'Q \Rightarrow P'
 shows P = Q
 using assms
 by (pred-auto)
\mathbf{lemma}\ bool\text{-}eq\text{-}splitI:
 assumes vwb-lens x P[[true/x]] = Q[[true/x]] P[[false/x]] = Q[[false/x]]
  shows P = Q
  \mathbf{by}\ (\textit{metis}\ (\textit{full-types})\ \textit{assms}\ \textit{eq-split-subst}\ \textit{false-alt-def}\ true-\textit{alt-def})
lemma subst-bool-split:
  assumes vwb-lens x
 shows P' = (P[false/x] \land P[true/x])
proof -
  from assms have 'P' = (\forall v. 'P[\ll v \gg /x]')
   by (subst\ taut\text{-}split\text{-}subst[of\ x],\ auto)
  also have ... = (P[\llbracket \ll True \gg /x \rrbracket) \land P[\llbracket \ll False \gg /x \rrbracket)
    by (metis (mono-tags, lifting))
  also have ... = (P[false/x] \land P[true/x])
    by (pred-auto)
  finally show ?thesis.
qed
```

```
lemma taut-iff-eq:
  P \Leftrightarrow Q' \longleftrightarrow (P = Q)
  by (pred-auto)
lemma subst-eq-replace:
  fixes x :: ('a \Longrightarrow '\alpha)
  shows (p[u/x] \land u =_u v) = (p[v/x] \land u =_u v)
  by (pred-auto)
lemma exists-twice: mwb-lens x \Longrightarrow (\exists x \cdot \exists x \cdot P) = (\exists x \cdot P)
  by (pred-auto)
lemma all-twice: mwb-lens x \Longrightarrow (\forall x \cdot \forall x \cdot P) = (\forall x \cdot P)
  by (pred-auto)
lemma exists-sub: \llbracket mwb\text{-lens } y; x \subseteq_L y \rrbracket \Longrightarrow (\exists x \cdot \exists y \cdot P) = (\exists y \cdot P)
  by (pred-auto)
lemma all-sub: [ mwb-lens y; x \subseteq_L y ]] \Longrightarrow (\forall x \cdot \forall y \cdot P) = (\forall y \cdot P)
  by (pred-auto)
lemma ex-commute:
  assumes x \bowtie y
  shows (\exists x \cdot \exists y \cdot P) = (\exists y \cdot \exists x \cdot P)
  using assms
  apply (pred-auto)
  using lens-indep-comm apply fastforce+
done
{f lemma} all\text{-}commute:
  assumes x \bowtie y
  shows (\forall x \cdot \forall y \cdot P) = (\forall y \cdot \forall x \cdot P)
  using assms
  apply (pred-auto)
  using lens-indep-comm apply fastforce+
done
lemma ex-equiv:
  assumes x \approx_L y
  shows (\exists x \cdot P) = (\exists y \cdot P)
  using assms
  by (pred\text{-}simp, metis (no-types, lifting) lens.select\text{-}convs(2))
lemma all-equiv:
  assumes x \approx_L y
  shows (\forall x \cdot P) = (\forall y \cdot P)
  using assms
  by (pred-simp, metis (no-types, lifting) lens.select-convs(2))
lemma ex-zero:
  (\exists \& \emptyset \cdot P) = P
  by (pred-auto)
```

lemma all-zero:

$$(\forall \& \emptyset \cdot P) = P$$
by $(pred\text{-}auto)$

lemma ex-plus:

$$(\exists y; x \cdot P) = (\exists x \cdot \exists y \cdot P)$$

by $(pred\text{-}auto)$

lemma all-plus:

$$(\forall \ y; x \cdot P) = (\forall \ x \cdot \forall \ y \cdot P)$$
by (pred-auto)

lemma closure-all:

$$[P]_u = (\forall \& \Sigma \cdot P)$$

by (pred-auto)

lemma unrest-as-exists:

$$vwb$$
-lens $x \Longrightarrow (x \sharp P) \longleftrightarrow ((\exists x \cdot P) = P)$
by $(pred$ -simp, $metis\ vwb$ -lens. put -eq)

lemma ex-mono:
$$P \sqsubseteq Q \Longrightarrow (\exists x \cdot P) \sqsubseteq (\exists x \cdot Q)$$
 by $(pred-auto)$

lemma ex-weakens: wb-lens
$$x \Longrightarrow (\exists x \cdot P) \sqsubseteq P$$
 by (pred-simp, metis wb-lens.get-put)

lemma all-mono:
$$P \sqsubseteq Q \Longrightarrow (\forall x \cdot P) \sqsubseteq (\forall x \cdot Q)$$
 by $(pred-auto)$

lemma all-strengthens: wb-lens
$$x \Longrightarrow P \sqsubseteq (\forall x \cdot P)$$
 by (pred-simp, metis wb-lens.get-put)

lemma ex-unrest:
$$x \sharp P \Longrightarrow (\exists x \cdot P) = P$$
 by $(pred\text{-}auto)$

lemma all-unrest:
$$x \sharp P \Longrightarrow (\forall x \cdot P) = P$$
 by $(pred\text{-}auto)$

lemma
$$not$$
- ex - not : $\neg (\exists x \cdot \neg P) = (\forall x \cdot P)$
by $(pred$ - $auto)$

lemma not-all-not:
$$\neg (\forall x \cdot \neg P) = (\exists x \cdot P)$$

by (pred-auto)

7.6 Conditional laws

lemma cond-def:

$$(P \triangleleft b \triangleright Q) = ((b \land P) \lor ((\neg b) \land Q))$$

by $(pred\text{-}auto)$

lemma $cond\text{-}idem:(P \triangleleft b \triangleright P) = P$ **by** (pred-auto)

lemma cond-symm:
$$(P \triangleleft b \triangleright Q) = (Q \triangleleft \neg b \triangleright P)$$
 by $(pred-auto)$

lemma cond-assoc:
$$((P \triangleleft b \triangleright Q) \triangleleft c \triangleright R) = (P \triangleleft b \land c \triangleright (Q \triangleleft c \triangleright R))$$
 by $(pred-auto)$

lemma cond-distr:
$$(P \triangleleft b \triangleright (Q \triangleleft c \triangleright R)) = ((P \triangleleft b \triangleright Q) \triangleleft c \triangleright (P \triangleleft b \triangleright R))$$
 by $(pred-auto)$

```
lemma cond-unit-T [simp]:(P \triangleleft true \triangleright Q) = P by (pred-auto)
lemma cond-unit-F [simp]:(P \triangleleft false \triangleright Q) = Q by (pred-auto)
lemma cond-and-T-integrate:
  ((P \land b) \lor (Q \triangleleft b \triangleright R)) = ((P \lor Q) \triangleleft b \triangleright R)
  by (pred-auto)
lemma cond-L6: (P \triangleleft b \triangleright (Q \triangleleft b \triangleright R)) = (P \triangleleft b \triangleright R) by (pred-auto)
lemma cond-L7: (P \triangleleft b \triangleright (P \triangleleft c \triangleright Q)) = (P \triangleleft b \lor c \triangleright Q) by (pred-auto)
lemma cond-and-distr: ((P \land Q) \triangleleft b \triangleright (R \land S)) = ((P \triangleleft b \triangleright R) \land (Q \triangleleft b \triangleright S)) by (pred-auto)
lemma cond-or-distr: ((P \lor Q) \triangleleft b \triangleright (R \lor S)) = ((P \triangleleft b \triangleright R) \lor (Q \triangleleft b \triangleright S)) by (pred-auto)
lemma cond-imp-distr:
((P \Rightarrow Q) \triangleleft b \triangleright (R \Rightarrow S)) = ((P \triangleleft b \triangleright R) \Rightarrow (Q \triangleleft b \triangleright S)) by (pred-auto)
lemma cond-eq-distr:
((P \Leftrightarrow Q) \triangleleft b \triangleright (R \Leftrightarrow S)) = ((P \triangleleft b \triangleright R) \Leftrightarrow (Q \triangleleft b \triangleright S)) by (pred\text{-}auto)
lemma cond-conj-distr:(P \land (Q \triangleleft b \triangleright S)) = ((P \land Q) \triangleleft b \triangleright (P \land S)) by (pred-auto)
lemma cond-disj-distr:(P \lor (Q \triangleleft b \gt S)) = ((P \lor Q) \triangleleft b \gt (P \lor S)) by (pred-auto)
lemma cond-neg: \neg (P \triangleleft b \triangleright Q) = ((\neg P) \triangleleft b \triangleright (\neg Q)) by (pred-auto)
lemma cond-conj: P \triangleleft b \land c \triangleright Q = (P \triangleleft c \triangleright Q) \triangleleft b \triangleright Q
  by (pred-auto)
lemma spec-cond-dist: (P \Rightarrow (Q \triangleleft b \triangleright R)) = ((P \Rightarrow Q) \triangleleft b \triangleright (P \Rightarrow R))
  by (pred-auto)
lemma cond-USUP-dist: (| | P \in S \cdot F(P)) \triangleleft b \triangleright (| | P \in S \cdot G(P)) = (| | P \in S \cdot F(P) \triangleleft b \triangleright G(P))
  by (pred-auto)
lemma cond-UINF-dist: (\bigcap P \in S \cdot F(P)) \triangleleft b \triangleright (\bigcap P \in S \cdot G(P)) = (\bigcap P \in S \cdot F(P) \triangleleft b \triangleright G(P))
  by (pred-auto)
lemma cond-var-subst-left:
  assumes vwb-lens x
  shows (P[true/x] \triangleleft var x \triangleright Q) = (P \triangleleft var x \triangleright Q)
  using assms by (pred-auto, metis (full-types) vwb-lens-wb wb-lens.get-put)
lemma cond-var-subst-right:
  assumes vwb-lens x
  shows (P \triangleleft var x \triangleright Q[false/x]) = (P \triangleleft var x \triangleright Q)
  using assms by (pred-auto, metis (full-types) vwb-lens.put-eq)
lemma cond-var-split:
   vwb-lens x \Longrightarrow (P[true/x] \triangleleft var x \triangleright P[false/x]) = P
```

by (rel-simp, (metis (full-types) vwb-lens.put-eq)+)

```
lemma cond-assign-subst:
  vwb-lens x \Longrightarrow (P \triangleleft utp-expr.var \ x =_u \ v \triangleright Q) = (P \llbracket v/x \rrbracket \triangleleft utp-expr.var \ x =_u \ v \triangleright Q)
  apply (rel-simp) using vwb-lens.put-eq by force
Function to obtain the set of observations of a predicate
definition obs-upred :: '\alpha upred \Rightarrow '\alpha set (\llbracket - \rrbracket_o)
where [upred-defs]: [\![P]\!]_o = \{b. [\![P]\!]_e b\}
lemma obs-upred-refine-iff:
  P \sqsubseteq Q \longleftrightarrow \llbracket Q \rrbracket_o \subseteq \llbracket P \rrbracket_o
  by (pred-auto)
```

A refinement can be demonstrated by considering only the observations of the predicates which are relevant, i.e. not unrestricted, for them. In other words, if the alphabet can be split into two disjoint segments, x and y, and neither predicate refers to y then only x need be considered when checking for observations.

```
lemma refine-by-obs:
  assumes x \bowtie y bij-lens (x +_L y) y \sharp P y \sharp Q \{v. `P[[\ll v \gg /x]]`\} \subseteq \{v. `Q[[\ll v \gg /x]]`\}
 shows Q \sqsubseteq P
  using assms(3-5)
  apply (simp add: obs-upred-refine-iff subset-eq)
 apply (pred-simp)
 apply (rename-tac \ b)
 apply (drule-tac \ x=get_xb \ \mathbf{in} \ spec)
 apply (auto simp add: assms)
 \mathbf{apply} \ (metis\ assms(1)\ assms(2)\ bij-lens. axioms(2)\ bij-lens-axioms-def \ lens-override-def \ lens-override-plus) +
done
```

7.7Cylindric algebra

```
lemma C1: (\exists x \cdot false) = false
  by (pred-auto)
lemma C2: wb-lens x \Longrightarrow P \Rightarrow \exists x \cdot P
  by (pred-simp, metis wb-lens.get-put)
lemma C3: mwb-lens x \Longrightarrow (\exists x \cdot (P \land (\exists x \cdot Q))) = ((\exists x \cdot P) \land (\exists x \cdot Q))
  by (pred-auto)
lemma C \not= a: x \approx_L y \Longrightarrow (\exists x \cdot \exists y \cdot P) = (\exists y \cdot \exists x \cdot P)
  by (pred\text{-}simp, metis (no-types, lifting) lens.select\text{-}convs(2))+
lemma C4b: x \bowtie y \Longrightarrow (\exists x \cdot \exists y \cdot P) = (\exists y \cdot \exists x \cdot P)
  using ex-commute by blast
lemma C5:
  fixes x :: ('a \Longrightarrow '\alpha)
  shows (\&x =_u \&x) = true
  by (pred-auto)
lemma C6:
  assumes wb-lens x x \bowtie y x \bowtie z
  shows (\&y =_u \&z) = (\exists x \cdot \&y =_u \&x \land \&x =_u \&z)
  using assms
  by (pred\text{-}simp, (metis\ lens\text{-}indep\text{-}def)+)
```

```
lemma C7:

assumes weak-lens x x \bowtie y

shows ((\exists x \cdot \&x =_u \&y \land P) \land (\exists x \cdot \&x =_u \&y \land \neg P)) = false

using assms

by (pred\text{-}simp, simp \ add: lens\text{-}indep\text{-}sym)
```

7.8 Quantifier lifting

```
named-theorems uquant-lift
```

```
lemma shEx-lift-conj-1 [uquant-lift]: 
 ((\exists x \cdot P(x)) \land Q) = (\exists x \cdot P(x) \land Q)
by (pred-auto)
lemma shEx-lift-conj-2 [uquant-lift]: 
 (P \land (\exists x \cdot Q(x))) = (\exists x \cdot P \land Q(x))
by (pred-auto)
```

end

8 Alphabet manipulation

```
theory utp-alphabet
imports
utp-pred
begin
named-theorems alpha
method alpha-tac = (simp add: alpha unrest)?
```

8.1 Alphabet extension

Extend an alphabet by application of a lens that demonstrates how the smaller alphabet (β) injects into the larger alphabet (α) .

```
lift-definition aext :: ('a, '\beta) uexpr \Rightarrow ('\beta, '\alpha) lens \Rightarrow ('a, '\alpha) uexpr (infixr \oplus_p 95) is \lambda P x b. P (get<sub>x</sub> b).
```

update-uexpr-rep-eq-thms

```
lemma aext\text{-}twice: (P \oplus_p a) \oplus_p b = P \oplus_p (a ;_L b)
by (pred\text{-}auto)
lemma aext\text{-}id \ [alpha]: P \oplus_p 1_L = P
by (pred\text{-}auto)
lemma aext\text{-}lit \ [alpha]: \ll v \gg \oplus_p a = \ll v \gg
by (pred\text{-}auto)
lemma aext\text{-}zero \ [alpha]: \theta \oplus_p a = \theta
by (pred\text{-}auto)
```

lemma aext-one [alpha]: $1 \oplus_p a = 1$

```
by (pred-auto)
lemma aext-numeral [alpha]: numeral n \oplus_p a = numeral n
  by (pred-auto)
lemma aext-uop [alpha]: uop f u \oplus_p a = uop f (u \oplus_p a)
  by (pred-auto)
lemma aext-bop [alpha]: bop f u v \oplus_p a = bop f (u \oplus_p a) (v \oplus_p a)
  by (pred-auto)
lemma aext-trop [alpha]: trop f u v w \oplus_p a = trop f (u \oplus_p a) (v \oplus_p a) (w \oplus_p a)
  by (pred-auto)
lemma aext-qtop [alpha]: qtop f u v w x \oplus_p a = qtop f (u \oplus_p a) (v \oplus_p a) (w \oplus_p a) (x \oplus_p a)
  by (pred-auto)
lemma aext-plus [alpha]:
  (x + y) \oplus_p a = (x \oplus_p a) + (y \oplus_p a)
  by (pred-auto)
lemma aext-minus [alpha]:
  (x-y) \oplus_p a = (x \oplus_p a) - (y \oplus_p a)
  \mathbf{by} \ (pred-auto)
lemma aext-uminus [simp]:
  (-x) \oplus_{p} a = -(x \oplus_{p} a)
  by (pred-auto)
lemma aext-times [alpha]:
  (x * y) \oplus_p a = (x \oplus_p a) * (y \oplus_p a)
  by (pred-auto)
lemma aext-divide [alpha]:
  (x / y) \oplus_p a = (x \oplus_p a) / (y \oplus_p a)
  by (pred-auto)
lemma aext-var [alpha]:
  var x \oplus_p a = var (x ;_L a)
  by (pred-auto)
lemma aext-ulambda [alpha]: ((\lambda \ x \cdot P(x)) \oplus_p \ a) = (\lambda \ x \cdot P(x) \oplus_p \ a)
  by (pred-auto)
lemma aext-true [alpha]: true \oplus_p a = true
  by (pred-auto)
lemma aext-false [alpha]: false \bigoplus_{p} a = false
  by (pred-auto)
lemma aext-not [alpha]: (\neg P) \oplus_p x = (\neg (P \oplus_p x))
  by (pred-auto)
lemma aext-and [alpha]: (P \land Q) \oplus_p x = (P \oplus_p x \land Q \oplus_p x)
  by (pred-auto)
```

```
lemma aext-or [alpha]: (P \lor Q) \oplus_p x = (P \oplus_p x \lor Q \oplus_p x)
  by (pred-auto)
lemma aext-imp [alpha]: (P \Rightarrow Q) \oplus_p x = (P \oplus_p x \Rightarrow Q \oplus_p x)
  by (pred-auto)
lemma aext-iff [alpha]: (P \Leftrightarrow Q) \oplus_p x = (P \oplus_p x \Leftrightarrow Q \oplus_p x)
  by (pred-auto)
lemma aext-mono: P \sqsubseteq Q \Longrightarrow P \oplus_p a \sqsubseteq Q \oplus_p a
  by (pred-auto)
lemma aext-cont [alpha]: vwb-lens a \Longrightarrow (\bigcap A) \oplus_p a = (\bigcap P \in A. P \oplus_p a)
  by (pred-simp)
lemma unrest-aext [unrest]:
  \llbracket mwb\text{-}lens\ a;\ x\ \sharp\ p\ \rrbracket \Longrightarrow unrest\ (x\ ;_L\ a)\ (p\ \oplus_p\ a)
  by (transfer, simp add: lens-comp-def)
lemma unrest-aext-indep [unrest]:
  a \bowtie b \Longrightarrow b \sharp (p \oplus_p a)
  by pred-auto
```

8.2 Alphabet restriction

Restrict an alphabet by application of a lens that demonstrates how the smaller alphabet (β) injects into the larger alphabet (α) . Unlike extension, this operation can lose information if the expressions refers to variables in the larger alphabet.

```
lift-definition arestr :: ('a, '\alpha) uexpr \Rightarrow ('\beta, '\alpha) lens \Rightarrow ('a, '\beta) uexpr (infixr \beta_p 90) is \lambda P x b. P (create<sub>x</sub> b).
```

update-uexpr-rep-eq-thms

```
lemma arestr-id [alpha]: P \upharpoonright_p 1_L = P
by (pred-auto)
lemma arestr-aext [simp]: mwb-lens a \Longrightarrow (P \oplus_p a) \upharpoonright_p a = P
by (pred-auto)
```

If an expression's alphabet can be divided into two disjoint sections and the expression does not depend on the second half then restricting the expression to the first half is lossless.

```
lemma aext-arestr [alpha]:
   assumes mwb-lens a bij-lens (a +_L b) a \bowtie b b \sharp P
   shows (P \upharpoonright_p a) \oplus_p a = P

proof -

from assms(2) have 1_L \subseteq_L a +_L b
   by (simp \ add: \ bij-lens-equiv-id \ lens-equiv-def)
   with assms(1,3,4) show ?thesis
   apply (auto \ simp \ add: \ id-lens-def \ lens-plus-def \ sublens-def \ lens-comp-def \ prod.case-eq-if)
   apply (pred-simp)
   apply (metis \ lens-indep-comm \ mwb-lens-weak \ weak-lens.put-get)
   done
   qed
```

```
lemma arestr-lit [alpha]: \ll v \gg \upharpoonright_p a = \ll v \gg
 by (pred-auto)
lemma arestr-zero [alpha]: \theta \upharpoonright_p a = \theta
  by (pred-auto)
lemma arestr-one [alpha]: 1 \upharpoonright_p a = 1
 by (pred-auto)
lemma arestr-numeral [alpha]: numeral n \upharpoonright_p a = numeral \ n
  by (pred-auto)
lemma arestr-var [alpha]:
  var x \upharpoonright_p a = var (x /_L a)
 by (pred-auto)
lemma arestr-true [alpha]: true \upharpoonright_p a = true
  by (pred-auto)
lemma are str-false [alpha]: false \upharpoonright_p a = false
 by (pred-auto)
lemma arestr-not [alpha]: (\neg P) \upharpoonright_p a = (\neg (P \upharpoonright_p a))
  by (pred-auto)
lemma arestr-and [alpha]: (P \wedge Q) \upharpoonright_p x = (P \upharpoonright_p x \wedge Q \upharpoonright_p x)
 by (pred-auto)
lemma arestr-or [alpha]: (P \lor Q) \upharpoonright_p x = (P \upharpoonright_p x \lor Q \upharpoonright_p x)
 by (pred-auto)
lemma arestr-imp [alpha]: (P \Rightarrow Q) \upharpoonright_p x = (P \upharpoonright_p x \Rightarrow Q \upharpoonright_p x)
  \mathbf{by} \ (pred-auto)
8.3
        Alphabet lens laws
lemma alpha-in-var [alpha]: x; L fst_L = in-var x
 by (simp add: in-var-def)
lemma alpha-out-var [alpha]: x :_L snd_L = out\text{-}var x
  by (simp add: out-var-def)
lemma in-var-prod-lens [alpha]:
  wb\text{-}lens\ Y \Longrightarrow in\text{-}var\ x\ ;_L\ (X\times_L\ Y) = in\text{-}var\ (x\ ;_L\ X)
  by (simp add: in-var-def prod-as-plus lens-comp-assoc fst-lens-plus)
lemma out-var-prod-lens [alpha]:
  wb-lens X \Longrightarrow out-var x ;_L (X \times_L Y) = out-var (x ;_L Y)
 apply (simp add: out-var-def prod-as-plus lens-comp-assoc)
 apply (subst snd-lens-plus)
  using comp-wb-lens fst-vwb-lens vwb-lens-wb apply blast
 apply (simp add: alpha-in-var alpha-out-var)
 apply (simp)
done
```

Alphabet coercion 8.4

```
definition id\text{-}on :: ('a \Longrightarrow '\alpha) \Rightarrow '\alpha \Rightarrow '\alpha \text{ where}
[upred-defs]: id-on x = (\lambda \ s. \ undefined \oplus_L \ s \ on \ x)
definition alpha-coerce :: ('a \Longrightarrow '\alpha) \Rightarrow '\alpha \ upred \Rightarrow '\alpha \ upred
where [upred-defs]: alpha-coerce x P = id-on x \dagger P
syntax
  -alpha-coerce :: salpha \Rightarrow logic \Rightarrow logic (!_{\alpha} - \cdot - [0, 10] 10)
translations
  -alpha-coerce\ P\ x == CONST\ alpha-coerce\ P\ x
         Substitution alphabet extension
8.5
```

```
definition subst-ext :: '\alpha usubst \Rightarrow ('\alpha \Longrightarrow '\beta) \Rightarrow '\beta usubst (infix \oplus_s 65) where
[upred-defs]: \sigma \oplus_s x = (\lambda \ s. \ put_x \ s \ (\sigma \ (get_x \ s)))
lemma id-subst-ext [usubst]:
  wb-lens x \Longrightarrow id \oplus_s x = id
  by pred-auto
lemma upd-subst-ext [alpha]:
  vwb-lens x \Longrightarrow \sigma(y \mapsto_s v) \oplus_s x = (\sigma \oplus_s x)(\&x:y \mapsto_s v \oplus_p x)
  by pred-auto
lemma apply-subst-ext [alpha]:
  vwb-lens x \Longrightarrow (\sigma \dagger e) \oplus_p x = (\sigma \oplus_s x) \dagger (e \oplus_p x)
  by (pred-auto)
lemma aext-upred-eq [alpha]:
  ((e =_u f) \oplus_p a) = ((e \oplus_p a) =_u (f \oplus_p a))
  by (pred-auto)
```

8.6 Substitution alphabet restriction

end

```
definition subst-res :: '\alpha usubst \Rightarrow ('\beta \Rightarrow '\alpha) \Rightarrow '\beta usubst (infix \bigs_s 65) where
[upred-defs]: \sigma \upharpoonright_s x = (\lambda \ s. \ get_x \ (\sigma \ (create_x \ s)))
lemma id-subst-res [usubst]:
  mwb-lens x \Longrightarrow id \upharpoonright_s x = id
  by pred-auto
lemma upd-subst-res [alpha]:
  mwb-lens x \Longrightarrow \sigma(\&x:y \mapsto_s v) \upharpoonright_s x = (\sigma \upharpoonright_s x)(\&y \mapsto_s v \upharpoonright_p x)
  by (pred-auto)
lemma subst-ext-res [usubst]:
  \textit{mwb-lens } x \Longrightarrow (\sigma \oplus_s x) \upharpoonright_s x = \sigma
  by (pred-auto)
lemma unrest-subst-alpha-ext [unrest]:
  x \bowtie y \Longrightarrow x \sharp (P \oplus_s y)
  by (pred-simp robust, metis lens-indep-def)
```

9 Lifting expressions

```
theory utp-lift
imports
utp-alphabet
begin
```

9.1 Lifting definitions

```
We define operators for converting an expression to and from a relational state space abbreviation lift-pre :: ('a, '\alpha) \ uexpr \Rightarrow ('a, '\alpha \times '\beta) \ uexpr \ (\lceil - \rceil_{<}) where \lceil P \rceil_{<} \equiv P \oplus_{p} fst_{L} abbreviation drop-pre :: ('a, '\alpha \times '\beta) \ uexpr \Rightarrow ('a, '\alpha) \ uexpr \ (\lfloor - \rfloor_{<}) where \lfloor P \rfloor_{<} \equiv P \upharpoonright_{p} fst_{L} abbreviation lift-post :: ('a, '\beta) \ uexpr \Rightarrow ('a, '\alpha \times '\beta) \ uexpr \ (\lceil - \rceil_{>}) where \lceil P \rceil_{>} \equiv P \oplus_{p} snd_{L} abbreviation drop-post :: ('a, '\alpha \times '\beta) \ uexpr \Rightarrow ('a, '\beta) \ uexpr \ (\lfloor - \rfloor_{>}) where \lfloor P \rfloor_{>} \equiv P \upharpoonright_{p} snd_{L} abbreviation lift-cond-pre \ (\lceil - \rceil_{\leftarrow}) where \lceil P \rceil_{\leftarrow} \equiv P \oplus_{p} \ (0_{L} \times_{L} 0_{L}) abbreviation drop-cond-pre \ (\lfloor - \rfloor_{\leftarrow}) where \lfloor P \rceil_{\rightarrow} \equiv P \upharpoonright_{p} (0_{L} \times_{L} 1_{L}) abbreviation drop-cond-pre \ (\lfloor - \rfloor_{\leftarrow}) where \lfloor P \rfloor_{\leftarrow} \equiv P \upharpoonright_{p} (0_{L} \times_{L} 1_{L})
```

9.2 Lifting laws

```
lemma lift-pre-var [simp]:
 \lceil var \ x \rceil_{<} = \$x 
by (alpha-tac)

lemma lift-post-var [simp]:
 \lceil var \ x \rceil_{>} = \$x' 
by (alpha-tac)

lemma lift-cond-pre-var [simp]:
 \lceil \$x \rceil_{\leftarrow} = \$x 
by (pred-auto)

lemma lift-cond-post-var [simp]:
 \lceil \$x' \rceil_{\rightarrow} = \$x' 
by (pred-auto)
```

9.3 Unrestriction laws

```
lemma unrest-dash-var-pre [unrest]:

fixes x :: ('a \Longrightarrow '\alpha)

shows x' \not \models \lceil p \rceil_{<}

by (pred\text{-}auto)

lemma unrest-dash-var-cond-pre [unrest]:

fixes x :: ('a \Longrightarrow '\alpha)
```

```
shows x' \sharp P_{\leftarrow}
by (pred\text{-}auto)
end
```

10 Alphabetised relations

```
theory utp-rel
imports
  utp-pred
 utp-lift
 utp-tactics
begin
default-sort type
consts
 useq :: 'a \Rightarrow 'b \Rightarrow 'c (infixr ;; 71)
 uskip :: 'a (II)
definition in\alpha :: ('\alpha \Longrightarrow '\alpha \times '\beta) where
in\alpha = \{ lens-get = fst, lens-put = \lambda (A, A') v. (v, A') \}
definition out\alpha :: ('\beta \Longrightarrow '\alpha \times '\beta) where
out\alpha = \{ lens-get = snd, lens-put = \lambda (A, A') v. (A, v) \}
declare in\alpha-def [urel-defs]
declare out\alpha-def [urel-defs]
lemma var-in-alpha [simp]: x; L in\alpha = ivar x
 by (simp add: fst-lens-def in\alpha-def in-var-def)
lemma var-out-alpha [simp]: x ;_L out\alpha = ovar x
 by (simp add: out\alpha-def out-var-def snd-lens-def)
lemma out-alpha-in-indep [simp]:
  out\alpha \bowtie in\text{-}var \ x \ in\text{-}var \ x \bowtie out\alpha
  by (simp-all add: in-var-def out \alpha-def lens-indep-def fst-lens-def lens-comp-def)
lemma in-alpha-out-indep [simp]:
  in\alpha \bowtie out\text{-}var \ x \ out\text{-}var \ x \bowtie in\alpha
 by (simp-all add: in-var-def in\alpha-def lens-indep-def fst-lens-def lens-comp-def)
The alphabet of a relation consists of the input and output portions
lemma alpha-in-out:
 \Sigma \approx_L in\alpha +_L out\alpha
 by (metis fst-lens-def fst-snd-id-lens in \alpha-def lens-equiv-refl out \alpha-def snd-lens-def)
type-synonym '\alpha cond
                                     = '\alpha \ upred
type-synonym ('\alpha, '\beta) rel = ('\alpha \times '\beta) upred
type-synonym '\alpha hrel
                                    = ('\alpha \times '\alpha) \ upred
translations
  (type) ('\alpha, '\beta) rel \le (type) ('\alpha \times '\beta) upred
abbreviation rcond::('\alpha, '\beta) \ rel \Rightarrow '\alpha \ cond \Rightarrow ('\alpha, '\beta) \ rel \Rightarrow ('\alpha, '\beta) \ rel
```

```
((3- \triangleleft - \triangleright_r / -) [52,0,53] 52)
where (P \triangleleft b \triangleright_r Q) \equiv (P \triangleleft \lceil b \rceil_{<} \triangleright Q)
lift-definition seqr::(('\alpha \times '\beta) \ upred) \Rightarrow (('\beta \times '\gamma) \ upred) \Rightarrow ('\alpha \times '\gamma) \ upred)
is \lambda \ P \ Q \ r. \ r \in (\{p. \ P \ p\} \ O \ \{q. \ Q \ q\}).
lift-definition conv-r :: ('a, '\alpha \times '\beta) \ uexpr \Rightarrow ('a, '\beta \times '\alpha) \ uexpr (- [999] \ 999)
is \lambda \ e \ (b1, \ b2). e \ (b2, \ b1).
definition skip-ra :: ('\beta, '\alpha) \ lens \Rightarrow '\alpha \ hrel \ where
[urel-defs]: skip-ra v = (\$v' =_u \$v)
syntax
   -skip-ra :: salpha \Rightarrow logic (II_{-})
translations
   -skip-ra v == CONST skip-ra v
abbreviation usubst-rel-lift :: '\alpha usubst \Rightarrow ('\alpha \times '\beta) usubst ([-]<sub>s</sub>) where
[\sigma]_s \equiv \sigma \oplus_s in\alpha
abbreviation usubst-rel-drop :: ('\alpha \times '\alpha) usubst \Rightarrow '\alpha usubst (|\cdot|_s) where
\lfloor \sigma \rfloor_s \equiv \sigma \upharpoonright_s in\alpha
definition assigns-ra :: '\alpha usubst \Rightarrow ('\beta, '\alpha) lens \Rightarrow '\alpha hrel (\langle - \rangle_-) where
\langle \sigma \rangle_a = (\lceil \sigma \rceil_s \dagger II_a)
lift-definition assigns-r :: '\alpha \ usubst \Rightarrow '\alpha \ hrel \ (\langle - \rangle_a)
  is \lambda \sigma (A, A'). A' = \sigma(A).
definition skip-r :: '\alpha \ hrel \ \mathbf{where}
skip-r = assigns-r id
abbreviation assign-r::('t \Longrightarrow '\alpha) \Rightarrow ('t, '\alpha) \ uexpr \Rightarrow '\alpha \ hrel
where assign-r \ x \ v \equiv assigns-r \ [x \mapsto_s v]
abbreviation assign-2-r ::
  ('t1 \Longrightarrow '\alpha) \Rightarrow ('t2 \Longrightarrow '\alpha) \Rightarrow ('t1, '\alpha) \ uexpr \Rightarrow ('t2, '\alpha) \ uexpr \Rightarrow '\alpha \ hrel
where assign-2-r x y u v \equiv assigns-r [x \mapsto_s u, y \mapsto_s v]
nonterminal
  svid-list and uexpr-list
syntax
   -svid-unit
                        :: svid \Rightarrow svid\text{-}list (-)
  -svid-list
                       :: svid \Rightarrow svid\text{-}list \Rightarrow svid\text{-}list (-,/-)
  -uexpr-unit
                         :: ('a, '\alpha) \ uexpr \Rightarrow uexpr-list (- [40] \ 40)
                         :: ('a, '\alpha) \ uexpr \Rightarrow uexpr-list \Rightarrow uexpr-list (-,/-[70,70] \ 70)
  -uexpr-list
                           :: svid\text{-}list \Rightarrow uexprs \Rightarrow '\alpha \ hrel \ (infixr := 72)
   -assignment
   -assignment-upd :: svid \Rightarrow logic \Rightarrow logic \Rightarrow logic  (infixr [-] := 72)
                           :: svid\text{-}list \Rightarrow uexprs \Rightarrow '\alpha \ usubst
   -mk-usubst
translations
   -mk-usubst \sigma (-svid-unit x) v == \sigma(\&x \mapsto_s v)
```

-mk-usubst σ (-svid-list x xs) (-uexprs v vs) == (-mk-usubst ($\sigma(\&x \mapsto_s v)$) xs vs)

```
-assignment xs \ vs => CONST \ assigns-r \ (-mk-usubst \ (CONST \ id) \ xs \ vs)
  x := v <= CONST \ assigns-r \ (CONST \ subst-upd \ (CONST \ id) \ (CONST \ svar \ x) \ v)
 x := v <= CONST \ assigns-r \ (CONST \ subst-upd \ (CONST \ id) \ x \ v)
 x,y := u,v \le CONST assigns-r (CONST subst-upd (CONST subst-upd (CONST id) (CONST svar
(x) (x) (x) (x) (x) (x) (x) (x)
  x [k] := v => x := \&x(k \mapsto v)_u
adhoc-overloading
  useq seqr and
  uskip skip-r
Homogeneous sequential composition
abbreviation seqh :: '\alpha hrel \Rightarrow '\alpha hrel \Rightarrow '\alpha hrel (infixr ;;<sub>h</sub> 71) where
segh P Q \equiv (P ;; Q)
definition rassume :: '\alpha upred \Rightarrow '\alpha hrel (-^{\top} [999] 999) where
[urel-defs]: rassume c = II \triangleleft c \triangleright_r false
definition rassert :: '\alpha upred \Rightarrow '\alpha hrel (-_{\perp} [999] 999) where
[urel-defs]: rassert c = II \triangleleft c \triangleright_r true
We describe some properties of relations
definition ufunctional :: ('a, 'b) rel \Rightarrow bool
where ufunctional R \longleftrightarrow II \sqsubseteq R^- ;; R
declare ufunctional-def [urel-defs]
definition uinj :: ('a, 'b) rel \Rightarrow bool
where uinj R \longleftrightarrow II \sqsubseteq R ;; R^-
declare uinj-def [urel-defs]
A test is like a precondition, except that it identifies to the postcondition. It forms the basis
for Kleene Algebra with Tests (KAT).
definition lift-test :: '\alpha cond \Rightarrow '\alpha hrel (\lceil - \rceil_t)
where \lceil b \rceil_t = (\lceil b \rceil_{<} \land II)
declare cond-def [urel-defs]
declare skip-r-def [urel-defs]
We implement a poor man's version of alphabet restriction that hides a variable within a relation
definition rel-var-res :: '\alpha hrel \Rightarrow ('a \Longrightarrow '\alpha) \Rightarrow '\alpha hrel (infix \upharpoonright_{\alpha} 80) where
P \upharpoonright_{\alpha} x = (\exists \$x \cdot \exists \$x' \cdot P)
declare rel-var-res-def [urel-defs]
— Configuration for UTP tactics (see utp-tactics).
update-uexpr-rep-eq-thms — Reread rep-eq theorems.
```

10.1 Unrestriction Laws

```
lemma unrest-iuvar [unrest]: out\alpha \sharp \$x
by (simp add: out\alpha-def, transfer, auto)
```

```
lemma unrest-ouvar [unrest]: in\alpha \sharp \$x
  by (simp add: in\alpha-def, transfer, auto)
lemma unrest-semir-undash [unrest]:
  fixes x :: ('a \Longrightarrow '\alpha)
  assumes x \sharp P
  shows \$x \ \sharp P \ ;; \ Q
  using assms by (rel-auto)
lemma unrest-semir-dash [unrest]:
  fixes x :: ('a \Longrightarrow '\alpha)
  assumes x' \sharp Q
  shows x' \sharp P ;; Q
  using assms by (rel-auto)
lemma unrest-cond [unrest]:
  \llbracket \ x \ \sharp \ P; \ x \ \sharp \ b; \ x \ \sharp \ Q \ \rrbracket \Longrightarrow x \ \sharp \ P \vartriangleleft b \rhd Q
  by (rel-auto)
lemma unrest-in\alpha-var [unrest]:
  \llbracket mwb\text{-}lens \ x; \ in\alpha \ \sharp \ (P :: ('a, ('\alpha \times '\beta)) \ uexpr) \ \rrbracket \Longrightarrow \$x \ \sharp \ P
  by (rel-auto)
lemma unrest-out\alpha-var [unrest]:
  \llbracket mwb\text{-}lens\ x;\ out\alpha\ \sharp\ (P::('a,('\alpha\times'\beta))\ uexpr)\ \rrbracket \Longrightarrow \$x'\ \sharp\ P
  by (rel-auto)
lemma in\alpha-uvar [simp]: vwb-lens in\alpha
  by (unfold-locales, auto simp add: in\alpha-def)
lemma out\alpha-uvar [simp]: vwb-lens out\alpha
  by (unfold-locales, auto simp add: out\alpha-def)
lemma unrest-pre-out\alpha [unrest]: out\alpha \sharp \lceil b \rceil <
  by (transfer, auto simp add: out\alpha-def)
lemma unrest-post-in\alpha [unrest]: in\alpha \sharp [b] >
  by (transfer, auto simp add: in\alpha-def)
lemma unrest-pre-in-var [unrest]:
  x \sharp p1 \Longrightarrow \$x \sharp \lceil p1 \rceil_{<}
  by (transfer, simp)
lemma unrest-post-out-var [unrest]:
  x \sharp p1 \Longrightarrow \$x' \sharp \lceil p1 \rceil_{>}
  by (transfer, simp)
lemma unrest-convr-out\alpha [unrest]:
  in\alpha \sharp p \Longrightarrow out\alpha \sharp p^-
  by (transfer, auto simp add: in\alpha-def out\alpha-def)
lemma unrest-convr-in\alpha [unrest]:
  out\alpha \sharp p \Longrightarrow in\alpha \sharp p^-
  by (transfer, auto simp add: in\alpha-def out\alpha-def)
```

```
lemma unrest-in-rel-var-res [unrest]: vwb\text{-}lens\ x \Longrightarrow \$x \sharp (P \upharpoonright_{\alpha} x) by (simp add: rel-var-res-def unrest) lemma\ unrest\text{-}out\text{-}rel\text{-}var\text{-}res\ [unrest]:} vwb\text{-}lens\ x \Longrightarrow \$x' \sharp (P \upharpoonright_{\alpha} x) by (simp add: rel-var-res-def unrest)
```

10.2 Substitution laws

```
lemma subst-seq-left [usubst]:

out \alpha \sharp \sigma \Longrightarrow \sigma \dagger (P \; ;; \; Q) = (\sigma \dagger P) \; ;; \; Q

by (rel-simp, (metis (no-types, lifting) Pair-inject surjective-pairing)+)

lemma subst-seq-right [usubst]:

in \alpha \sharp \sigma \Longrightarrow \sigma \dagger (P \; ;; \; Q) = P \; ;; \; (\sigma \dagger Q)

by (rel-simp, (metis (no-types, lifting) Pair-inject surjective-pairing)+)
```

The following laws support substitution in heterogeneous relations for polymorphically types literal expressions. These cannot be supported more generically due to limitations in HOL's type system. The laws are presented in a slightly strange way so as to be as general as possible.

```
lemma bool-segr-laws [usubst]:
  fixes x :: (bool \Longrightarrow '\alpha)
  shows
     \bigwedge P Q \sigma. \sigma(\$x \mapsto_s true) \dagger (P ;; Q) = \sigma \dagger (P \llbracket true / \$x \rrbracket ;; Q)
     \bigwedge P Q \sigma. \sigma(\$x \mapsto_s false) \dagger (P ;; Q) = \sigma \dagger (P \llbracket false / \$x \rrbracket ;; Q)
     \bigwedge P Q \sigma. \sigma(\$x' \mapsto_s true) \dagger (P ;; Q) = \sigma \dagger (P ;; Q[true/\$x'])
     \bigwedge P Q \sigma. \sigma(\$x' \mapsto_s false) \dagger (P ;; Q) = \sigma \dagger (P ;; Q[false/\$x'])
     by (rel-auto)+
lemma zero-one-seqr-laws [usubst]:
  fixes x :: (-\Longrightarrow '\alpha)
  shows
     \bigwedge P Q \sigma. \sigma(\$x \mapsto_s \theta) \dagger (P ;; Q) = \sigma \dagger (P \llbracket \theta / \$x \rrbracket ;; Q)
     \bigwedge P Q \sigma. \sigma(\$x \mapsto_s 1) \dagger (P ;; Q) = \sigma \dagger (P[1/\$x] ;; Q)
     \bigwedge^{\bullet} P \stackrel{\circ}{Q} \sigma. \ \sigma(\$x' \mapsto_s \theta) \dagger (P ;; Q) = \sigma \dagger (P ;; Q \llbracket \theta / \$x' \rrbracket)
     \bigwedge P \stackrel{\circ}{Q} \sigma. \ \sigma(\$x' \mapsto_s 1) \dagger \stackrel{\circ}{(P ;; Q)} = \sigma \dagger \stackrel{\circ}{(P ;; Q[1/\$x'])}
     by (rel-auto)+
lemma numeral-seqr-laws [usubst]:
  fixes x :: (- \Longrightarrow '\alpha)
     \bigwedge P Q \sigma. \sigma(\$x \mapsto_s numeral n) \dagger (P ;; Q) = \sigma \dagger (P[[numeral n/\$x]] ;; Q)
     \bigwedge P Q \sigma. \sigma(\$x' \mapsto_s numeral n) \dagger (P ;; Q) = \sigma \dagger (P ;; Q[numeral n/\$x'])
  by (rel-auto)+
lemma usubst-condr [usubst]:
  \sigma \dagger (P \triangleleft b \triangleright Q) = (\sigma \dagger P \triangleleft \sigma \dagger b \triangleright \sigma \dagger Q)
  by (rel-auto)
lemma subst-skip-r [usubst]:
   out\alpha \sharp \sigma \Longrightarrow \sigma \dagger II = \langle |\sigma|_s \rangle_a
  by (rel-simp, (metis (mono-tags, lifting) prod.sel(1) sndI surjective-pairing)+)
lemma usubst-upd-in-comp [usubst]:
```

```
\sigma(\&in\alpha:x\mapsto_s v) = \sigma(\$x\mapsto_s v)
  by (simp add: fst-lens-def in\alpha-def in-var-def)
lemma usubst-upd-out-comp [usubst]:
  \sigma(\&out\alpha:x\mapsto_s v) = \sigma(\$x'\mapsto_s v)
  by (simp add: out\alpha-def out-var-def snd-lens-def)
lemma subst-lift-upd [usubst]:
  fixes x :: ('a \Longrightarrow '\alpha)
  shows [\sigma(x \mapsto_s v)]_s = [\sigma]_s(\$x \mapsto_s [v]_<)
  by (simp add: alpha usubst, simp add: fst-lens-def in\alpha-def in-var-def)
lemma subst-drop-upd [usubst]:
  fixes x :: ('a \Longrightarrow '\alpha)
  shows [\sigma(\$x \mapsto_s v)]_s = [\sigma]_s(x \mapsto_s [v]_<)
  by (pred-simp, simp add: in\alpha-def prod.case-eq-if)
lemma subst-lift-pre [usubst]: [\sigma]_s \dagger [b]_< = [\sigma \dagger b]_<
  by (metis apply-subst-ext fst-lens-def fst-vwb-lens in\alpha-def)
lemma unrest-usubst-lift-in [unrest]:
  x \sharp P \Longrightarrow \$x \sharp \lceil P \rceil_s
 by (pred-simp, auto simp add: unrest-usubst-def in \alpha-def)
lemma unrest-usubst-lift-out [unrest]:
  fixes x :: ('a \Longrightarrow '\alpha)
 shows x' \sharp [P]_s
 by (pred-simp, auto simp add: unrest-usubst-def in \alpha-def)
```

10.3 Relation laws

Homogeneous relations form a quantale. This allows us to import a large number of laws from Struth and Armstrong's Kleene Algebra theory [1].

```
abbreviation truer :: '\alpha hrel (true<sub>h</sub>) where truer \equiv true abbreviation falser :: '\alpha hrel (false<sub>h</sub>) where falser \equiv false lemma drop-pre-inv [simp]: \llbracket out \alpha \ \sharp \ p \ \rrbracket \Longrightarrow \lceil \lfloor p \rfloor_{<} \rceil_{<} = p by (pred-simp, auto simp add: out \alpha-def lens-create-def fst-lens-def prod.case-eq-if)
```

We define two variants of while loops based on strongest and weakest fixed points. Only the latter properly accounts for infinite behaviours.

```
definition while :: '\alpha cond \Rightarrow '\alpha hrel \Rightarrow '\alpha hrel (while ^{\top} - do - od) where while ^{\top} b do P od = (\nu X · (P ;; X) \triangleleft b \triangleright_r II)

abbreviation while-top :: '\alpha cond \Rightarrow '\alpha hrel \Rightarrow '\alpha hrel (while - do - od) where while b do P od \equiv while ^{\top} b do P od

definition while-bot :: '\alpha cond \Rightarrow '\alpha hrel \Rightarrow '\alpha hrel (while _{\perp} - do - od) where while _{\perp} b do P od = (\mu X · (P ;; X) \triangleleft b \triangleright_r II)
```

declare while-def [urel-defs]

```
While loops with invariant decoration
```

```
definition while-inv :: '\alpha cond \Rightarrow '\alpha cond \Rightarrow '\alpha hrel \Rightarrow '\alpha hrel (while - invr - do - od) where while b invr p do S od = while b do S od
```

lemma comp-cond-left-distr: $((P \triangleleft b \triangleright_r Q) ;; R) = ((P ;; R) \triangleleft b \triangleright_r (Q ;; R))$ **by** (rel-auto)

 $\mathbf{lemma}\ cond\text{-}seg\text{-}left\text{-}distr$:

$$out\alpha \ \sharp \ b \Longrightarrow ((P \lhd b \rhd Q) \ ;; \ R) = ((P \ ;; \ R) \lhd b \rhd (Q \ ;; \ R))$$
 by $(rel\text{-}auto)$

lemma cond-seq-right-distr:

$$in\alpha \ \sharp \ b \Longrightarrow (P \ ;; \ (Q \lhd b \rhd R)) = ((P \ ;; \ Q) \lhd b \rhd (P \ ;; \ R))$$
 by $(rel-auto)$

 $\begin{array}{l} \textbf{lemma} \ seqr\text{-}assoc \colon P \ ;; \ (Q \ ;; \ R) = (P \ ;; \ Q) \ ;; \ R \\ \textbf{by} \ (rel\text{-}auto) \end{array}$

lemma seqr-left-unit [simp]:

$$II ;; P = P$$
 by $(rel-auto)$

lemma seqr-right-unit [simp]:

$$P ;; II = P$$

by $(rel-auto)$

lemma seqr-left-zero [simp]:

$$false ;; P = false$$

by $pred$ - $auto$

lemma seqr-right-zero [simp]:

$$P :: false = false$$

by $pred$ - $auto$

Quantale laws for relations

lemma seq-Sup-distl:
$$P$$
 ;; $(\bigcap A) = (\bigcap Q \in A. P$;; $Q)$ by $(transfer, auto)$

lemma seq-Sup-distr:
$$(\bigcap A)$$
 ;; $Q = (\bigcap P \in A. P$;; $Q)$ by $(transfer, auto)$

lemma
$$seq$$
- $UINF$ - $distl$: P ;; $(\bigcap Q \in A \cdot F(Q)) = (\bigcap Q \in A \cdot P$;; $F(Q)$) **by** $(simp\ add:\ USUP$ - as - Sup - $collect\ seq$ - Sup - $distl)$

$$\begin{array}{l} \textbf{lemma} \ \textit{seq-UINF-distl':} \ P \ ;; \ (\ \ Q \cdot F(Q)) = (\ \ \ Q \cdot P \ ;; \ F(Q)) \\ \textbf{by} \ (\textit{metis UINF-mem-UNIV seq-UINF-distl}) \end{array}$$

lemma
$$seq\text{-}UINF\text{-}distr$$
: $(\bigcap P \in A \cdot F(P))$;; $Q = (\bigcap P \in A \cdot F(P)$;; $Q)$ by $(simp\ add:\ USUP\text{-}as\text{-}Sup\text{-}collect\ seq\text{-}Sup\text{-}distr})$

lemma seq-UINF-distr':
$$(\bigcap P \cdot F(P))$$
 ;; $Q = (\bigcap P \cdot F(P)$;; $Q)$ by $(metis\ UINF-mem-UNIV\ seq-UINF-distr)$

lemma seq-SUP-distl:
$$P :: (\bigcap i \in A. \ Q(i)) = (\bigcap i \in A. \ P :: Q(i))$$

```
by (metis image-image seq-Sup-distl)
lemma seq-SUP-distr: ( \bigcap i \in A. \ P(i)) :; \ Q = ( \bigcap i \in A. \ P(i) :; \ Q)
  by (simp add: seq-Sup-distr)
lemma impl-segr-mono: [P \Rightarrow Q'; R \Rightarrow S'] \implies (P; R) \Rightarrow (Q; S)
  by (pred-blast)
lemma seqr-mono:
  \llbracket \ P_1 \sqsubseteq P_2; \ Q_1 \sqsubseteq \ Q_2 \ \rrbracket \Longrightarrow (P_1 \ ;; \ Q_1) \sqsubseteq (P_2 \ ;; \ Q_2)
  by (rel-blast)
lemma seqr-monotonic:
  \llbracket mono\ P;\ mono\ Q\ \rrbracket \Longrightarrow mono\ (\lambda\ X.\ P\ X\ ;;\ Q\ X)
  by (simp add: mono-def, rel-blast)
lemma cond-mono:
  \llbracket \ P_1 \sqsubseteq P_2; \ Q_1 \sqsubseteq \ Q_2 \ \rrbracket \Longrightarrow (P_1 \vartriangleleft b \vartriangleright Q_1) \sqsubseteq (P_2 \vartriangleleft b \vartriangleright Q_2)
  by (rel-auto)
lemma cond-monotonic:
  \llbracket mono\ P; mono\ Q\ \rrbracket \Longrightarrow mono\ (\lambda\ X.\ P\ X \triangleleft b \triangleright Q\ X)
  by (simp add: mono-def, rel-blast)
lemma spec-refine:
  Q \sqsubseteq (P \land R) \Longrightarrow (P \Rightarrow Q) \sqsubseteq R
  by (rel-auto)
lemma cond-conj-not: ((P \triangleleft b \triangleright Q) \land (\neg b)) = (Q \land (\neg b))
  by (rel-auto)
lemma cond-skip: out\alpha \sharp b \Longrightarrow (b \wedge II) = (II \wedge b^{-})
  by (rel-auto)
lemma pre-skip-post: (\lceil b \rceil < \land II) = (II \land \lceil b \rceil >)
  by (rel-auto)
lemma skip-var:
  fixes x :: (bool \implies '\alpha)
  shows (\$x \land II) = (II \land \$x')
  by (rel-auto)
lemma seqr-exists-left:
  ((\exists \$x \cdot P) ;; Q) = (\exists \$x \cdot (P ;; Q))
  by (rel-auto)
\mathbf{lemma}\ seqr\text{-}exists\text{-}right:
  (P ;; (\exists \$x' \cdot Q)) = (\exists \$x' \cdot (P ;; Q))
  by (rel-auto)
```

lemma assigns-subst [usubst]: $[\sigma]_s \dagger \langle \varrho \rangle_a = \langle \varrho \circ \sigma \rangle_a$

lemma assigns-r-comp: $(\langle \sigma \rangle_a ;; P) = (\lceil \sigma \rceil_s \dagger P)$

by (rel-auto)

```
by (rel-auto)
{f lemma} assigns-r-feasible:
  (\langle \sigma \rangle_a ;; true) = true
  by (rel-auto)
\mathbf{lemma}\ assign\text{-}subst\ [usubst]:
  \llbracket mwb\text{-lens } x; mwb\text{-lens } y \rrbracket \Longrightarrow \llbracket \$x \mapsto_s \llbracket u \rrbracket_{\leq} \rrbracket \dagger (y := v) = (x, y := u, \llbracket x \mapsto_s u \rrbracket \dagger v)
  by (rel-auto)
lemma assigns-idem: mwb-lens x \Longrightarrow (x, x := u, v) = (x := v)
  by (simp add: usubst)
lemma assigns-comp: (\langle f \rangle_a ;; \langle g \rangle_a) = \langle g \circ f \rangle_a
  by (simp add: assigns-r-comp usubst)
lemma assigns-r-conv:
  bij f \Longrightarrow \langle f \rangle_a^- = \langle inv f \rangle_a
  by (rel-auto, simp-all add: bij-is-inj bij-is-surj surj-f-inv-f)
lemma assign-pred-transfer:
  fixes x :: ('a \Longrightarrow '\alpha)
  assumes \$x \sharp b \ out \alpha \sharp b
  shows (b \land x := v) = (x := v \land b^{-})
  using assms by (rel-blast)
lemma assign-r-comp: x := u : P = P[[u] < \$x]
  by (simp add: assigns-r-comp usubst)
lemma assign-test: nwb-lens x \Longrightarrow (x := \langle u \rangle;; x := \langle v \rangle) = (x := \langle v \rangle)
  by (simp add: assigns-comp subst-upd-comp subst-lit usubst-upd-idem)
lemma assign-twice: \llbracket mwb\text{-lens } x; x \sharp f \rrbracket \implies (x := e ;; x := f) = (x := f)
  by (simp add: assigns-comp usubst)
lemma assign-commute:
  assumes x \bowtie y \ x \ \sharp \ f \ y \ \sharp \ e
  shows (x := e ;; y := f) = (y := f ;; x := e)
  using assms
  by (rel-simp, simp-all add: lens-indep-comm)
lemma assign-cond:
  fixes x :: ('a \Longrightarrow '\alpha)
  assumes out\alpha \ \sharp \ b
  shows (x := e \; ;; (P \triangleleft b \triangleright Q)) = ((x := e \; ;; P) \triangleleft (b \llbracket [e]_{<} / \$x \rrbracket) \triangleright (x := e \; ;; Q))
  by (rel-auto)
lemma assign-rcond:
  fixes x :: ('a \Longrightarrow '\alpha)
  shows (x := e ;; (P \triangleleft b \triangleright_r Q)) = ((x := e ;; P) \triangleleft (b \llbracket e/x \rrbracket) \triangleright_r (x := e ;; Q))
  by (rel-auto)
lemma assign-r-alt-def:
  fixes x :: ('a \Longrightarrow '\alpha)
  shows x := v = II[[v]_{<}/\$x]
```

```
by (rel-auto)
lemma assigns-r-ufunc: ufunctional \langle f \rangle_a
  by (rel-auto)
lemma assigns-r-uinj: inj f \Longrightarrow uinj \langle f \rangle_a
  by (rel-simp, simp add: inj-eq)
lemma assigns-r-swap-uinj:
  \llbracket vwb\text{-}lens\ x;\ vwb\text{-}lens\ y;\ x\bowtie y\ \rrbracket \Longrightarrow uinj\ (x,y:=\&y,\&x)
  using assigns-r-uinj swap-usubst-inj by auto
lemma skip-r-unfold:
  vwb-lens x \Longrightarrow II = (\$x' =_u \$x \land II \upharpoonright_{\alpha} x)
  by (rel-simp, metis mwb-lens.put-put vwb-lens-mwb vwb-lens-wb wb-lens.qet-put)
lemma skip-r-alpha-eq:
  II = (\$\Sigma' =_u \$\Sigma)
  by (rel-auto)
lemma skip-ra-unfold:
  II_{x;y} = (\$x' =_u \$x \land II_y)
  by (rel-auto)
lemma skip-res-as-ra:
  \llbracket \ vwb\text{-}lens\ y;\ x\ +_L\ y\approx_L\ 1_L;\ x\bowtie y\ \rrbracket \Longrightarrow H\!\!\upharpoonright_{\!\alpha}\!\!x=H_{y}
  apply (rel-auto)
  apply (metis (no-types, lifting) lens-indep-def)
  apply (metis vwb-lens.put-eq)
done
lemma assign-unfold:
  vwb-lens x \Longrightarrow (x := v) = (\$x' =_u \lceil v \rceil < \land II \upharpoonright_{\alpha} x)
  apply (rel-auto, auto simp add: comp-def)
  \mathbf{using}\ \mathit{vwb-lens.put-eq}\ \mathbf{by}\ \mathit{fastforce}
lemma segr-or-distl:
  ((P \lor Q) ;; R) = ((P ;; R) \lor (Q ;; R))
  by (rel-auto)
lemma segr-or-distr:
  (P ;; (Q \lor R)) = ((P ;; Q) \lor (P ;; R))
  by (rel-auto)
lemma segr-and-distr-ufunc:
  ufunctional P \Longrightarrow (P ;; (Q \land R)) = ((P ;; Q) \land (P ;; R))
  by (rel-auto)
lemma segr-and-distl-uinj:
  uinj R \Longrightarrow ((P \land Q) ;; R) = ((P ;; R) \land (Q ;; R))
  by (rel-auto)
lemma segr-unfold:
  (P :; Q) = (\exists v \cdot P[\llbracket \ll v \gg /\$\Sigma'] \land Q[\llbracket \ll v \gg /\$\Sigma]])
  by (rel-auto)
```

```
lemma seqr-middle:
  assumes vwb-lens x
 \mathbf{shows}\ (P\ ;;\ Q) = (\exists\ v\ \cdot\ P[\![ \lessdot v \mathbin{>} /\$x\, ']\!]\ ;;\ Q[\![ \lessdot v \mathbin{>} /\$x]\!])
  using assms
  apply (rel-auto robust)
 apply (rename-tac \ xa \ P \ Q \ a \ b \ y)
 apply (rule-tac x=get_{xa} y in exI)
 apply (rule-tac x=y in exI)
 apply (simp)
done
lemma seqr-left-one-point:
  assumes vwb-lens x
  shows ((P \land \$x' =_u \ll v \gg) ;; Q) = (P[\![\ll v \gg / \$x']\!] ;; Q[\![\ll v \gg / \$x]\!])
 using assms
 by (rel-auto, metis vwb-lens-wb wb-lens.get-put)
lemma seqr-right-one-point:
  assumes vwb-lens x
 shows (P ;; (\$x =_u \ll v \gg \land Q)) = (P[\![\ll v \gg /\$x']\!] ;; Q[\![\ll v \gg /\$x]\!])
  by (rel-auto, metis vwb-lens-wb wb-lens.get-put)
lemma segr-left-one-point-true:
 assumes vwb-lens x
 \mathbf{shows}\ ((P \land \$x\,\dot{})\ ;;\ Q) = (P[\![true/\$x\,\dot{}]\!]\ ;;\ Q[\![true/\$x]\!])
 by (metis assms seqr-left-one-point true-alt-def upred-eq-true)
lemma segr-left-one-point-false:
  assumes vwb-lens x
 shows ((P \land \neg \$x') ;; Q) = (P \llbracket false/\$x' \rrbracket ;; Q \llbracket false/\$x \rrbracket)
 by (metis assms false-alt-def seqr-left-one-point upred-eq-false)
\mathbf{lemma}\ seqr\text{-}right\text{-}one\text{-}point\text{-}true:
  assumes vwb-lens x
  shows (P :: (\$x \land Q)) = (P[true/\$x'] :: Q[true/\$x])
 by (metis assms segr-right-one-point true-alt-def upred-eq-true)
lemma segr-right-one-point-false:
 assumes vwb-lens x
 shows (P ;; (\neg \$x \land Q)) = (P[false/\$x'] ;; Q[false/\$x])
 by (metis assms false-alt-def seqr-right-one-point upred-eq-false)
lemma segr-insert-ident-left:
  assumes vwb-lens x \ \$x' \ \sharp \ P \ \$x \ \sharp \ Q
  shows ((\$x' =_u \$x \land P) ;; Q) = (P ;; Q)
  using assms
  by (rel-simp, meson vwb-lens-wb wb-lens-weak weak-lens.put-qet)
lemma seqr-insert-ident-right:
  assumes vwb-lens x \ x' \ p \ x \ d
  shows (P ;; (\$x' =_u \$x \land Q)) = (P ;; Q)
  using assms
  by (rel-simp, metis (no-types, hide-lams) vwb-lens-def wb-lens-def weak-lens.put-get)
```

```
\mathbf{lemma}\ \mathit{seq\text{-}var\text{-}ident\text{-}lift}\colon
  assumes vwb-lens x \ x' \ \sharp P \ x \ \sharp Q
 \mathbf{shows}\ ((\$x\ '=_u\ \$x\ \land\ P)\ ;;\ (\$x\ '=_u\ \$x\ \land\ Q))=(\$x\ '=_u\ \$x\ \land\ (P\ ;;\ Q))
  using assms apply (rel-auto)
 by (metis (no-types, lifting) vwb-lens-wb wb-lens-weak weak-lens.put-get)
lemma seqr-bool-split:
  assumes vwb-lens x
 shows P :: Q = (P[true/\$x'] :: Q[true/\$x] \lor P[false/\$x'] :: Q[false/\$x])
  using assms
  by (subst\ seqr-middle[of\ x],\ simp-all\ add:\ true-alt-def\ false-alt-def)
lemma cond-inter-var-split:
  assumes vwb-lens x
 shows (P \triangleleft \$x' \triangleright Q) ;; R = (P[true/\$x'] ;; R[true/\$x] \lor Q[false/\$x'] ;; R[false/\$x])
proof -
  have (P \triangleleft \$x' \triangleright Q) ;; R = ((\$x' \land P) ;; R \lor (\neg \$x' \land Q) ;; R)
   by (simp add: cond-def segr-or-distl)
  also have ... = ((P \land \$x') ;; R \lor (Q \land \neg \$x') ;; R)
   by (rel-auto)
  also have ... = (P[true/\$x'] ;; R[true/\$x] \lor Q[false/\$x'] ;; R[false/\$x])
   by (simp add: seqr-left-one-point-true seqr-left-one-point-false assms)
 finally show ?thesis.
qed
theorem precond-equiv:
  P = (P ;; true) \longleftrightarrow (out\alpha \sharp P)
 by (rel-auto)
theorem postcond-equiv:
  P = (true ;; P) \longleftrightarrow (in\alpha \sharp P)
 by (rel-auto)
lemma precond-right-unit: out\alpha \sharp p \Longrightarrow (p ;; true) = p
 by (metis precond-equiv)
lemma postcond-left-unit: in\alpha \sharp p \Longrightarrow (true ;; p) = p
 by (metis postcond-equiv)
theorem precond-left-zero:
 assumes out\alpha \ \sharp \ p \ p \neq false
 shows (true ;; p) = true
  using assms
 apply (simp add: out \alpha-def upred-defs)
 apply (transfer, auto simp add: relcomp-unfold, rule ext, auto)
 apply (rename-tac \ p \ b)
 apply (subgoal\text{-}tac \exists b1 b2. p (b1, b2))
 apply (auto)
done
theorem feasibile-iff-true-right-zero:
  P :: true = true \longleftrightarrow \exists out\alpha \cdot P'
 by (rel-auto)
```

10.4 Converse laws

```
lemma convr-invol [simp]: p^{--} = p
  by pred-auto
lemma lit-convr [simp]: \ll v \gg^- = \ll v \gg
  by pred-auto
lemma uivar\text{-}convr [simp]:
  fixes x :: ('a \Longrightarrow '\alpha)
  shows (\$x)^- = \$x'
  by pred-auto
lemma uovar-convr [simp]:
  fixes x :: ('a \Longrightarrow '\alpha)
  shows (\$x')^- = \$x
  by pred-auto
lemma uop\text{-}convr [simp]: (uop f u)^- = uop f (u^-)
  by (pred-auto)
lemma bop-convr [simp]: (bop f u v)^- = bop f (u^-) (v^-)
  by (pred-auto)
lemma eq-convr [simp]: (p =_u q)^- = (p^- =_u q^-)
  by (pred-auto)
lemma not-convr [simp]: (\neg p)^- = (\neg p^-)
  by (pred-auto)
lemma disj-convr [simp]: (p \lor q)^- = (q^- \lor p^-)
  by (pred-auto)
lemma conj-convr [simp]: (p \land q)^- = (q^- \land p^-)
  by (pred-auto)
lemma seqr-convr [simp]: (p ;; q)^- = (q^- ;; p^-)
  by (rel-auto)
lemma pre-convr [simp]: \lceil p \rceil < - = \lceil p \rceil >
  by (rel-auto)
lemma post-convr [simp]: \lceil p \rceil > - = \lceil p \rceil <
  by (rel-auto)
theorem seqr-pre-transfer: in\alpha \ \sharp \ q \Longrightarrow ((P \land q) \ ;; \ R) = (P \ ;; \ (q^- \land R))
  by (rel-auto)
theorem seqr-pre-transfer':
  ((P \wedge \lceil q \rceil_{>}) ;; R) = (P ;; (\lceil q \rceil_{<} \wedge R))
  by (rel-auto)
theorem segr-post-out: in\alpha \sharp r \Longrightarrow (P ;; (Q \land r)) = ((P ;; Q) \land r)
  by (rel-blast)
lemma seqr-post-var-out:
```

```
fixes x :: (bool \implies '\alpha)
  shows (P :; (Q \land \$x')) = ((P :; Q) \land \$x')
  by (rel-auto)
theorem seqr-post-transfer: out\alpha \sharp q \Longrightarrow (P ;; (q \land R)) = ((P \land q^{-}) ;; R)
  by (simp add: segr-pre-transfer unrest-convr-in\alpha)
lemma seqr-pre-out: out\alpha \sharp p \Longrightarrow ((p \land Q) ;; R) = (p \land (Q ;; R))
  by (rel-blast)
lemma seqr-pre-var-out:
  fixes x :: (bool \Longrightarrow '\alpha)
  shows ((\$x \land P) ;; Q) = (\$x \land (P ;; Q))
  by (rel-auto)
\mathbf{lemma} segr-true-lemma:
  (P = (\neg ((\neg P) ;; true))) = (P = (P ;; true))
  by (rel-auto)
lemma seqr-to-conj: \llbracket out\alpha \ \sharp \ P; \ in\alpha \ \sharp \ Q \ \rrbracket \Longrightarrow (P \ ;; \ Q) = (P \land Q)
  by (metis postcond-left-unit seqr-pre-out utp-pred.inf-top.right-neutral)
lemma shEx-lift-seq-1 [uquant-lift]:
  ((\exists x \cdot P x) ;; Q) = (\exists x \cdot (P x ;; Q))
  by pred-auto
lemma shEx-lift-seq-2 [uquant-lift]:
  (P ;; (\exists x \cdot Q x)) = (\exists x \cdot (P ;; Q x))
  by pred-auto
10.5
          Assertions and assumptions
lemma assume-twice: (b^{\top} ;; c^{\top}) = (b \wedge c)^{\top}
  by (rel-auto)
lemma assert-twice: (b_{\perp} ;; c_{\perp}) = (b \wedge c)_{\perp}
  by (rel-auto)
          Frame and antiframe
definition frame :: ('a, '\alpha) lens \Rightarrow '\alpha hrel \Rightarrow '\alpha hrel where
[urel-defs]: frame x P = (II_x \wedge P)
definition antiframe :: ('a, '\alpha) lens \Rightarrow '\alpha hrel \Rightarrow '\alpha hrel where
[urel-defs]: antiframe x P = (II \upharpoonright_{\alpha} x \land P)
syntax
  -frame
               :: salpha \Rightarrow logic \Rightarrow logic (-:[-] [64,0] 80)
  -antiframe :: salpha \Rightarrow logic \Rightarrow logic (-:[-] [64,0] 80)
translations
  -frame x P == CONST frame x P
  -antiframe x P == CONST antiframe x P
lemma frame-disj: (x: \llbracket P \rrbracket \lor x: \llbracket Q \rrbracket) = x: \llbracket P \lor Q \rrbracket
  by (rel-auto)
```

```
lemma frame-conj: (x: \llbracket P \rrbracket \land x: \llbracket Q \rrbracket) = x: \llbracket P \land Q \rrbracket
  by (rel-auto)
lemma frame-seq:
  \llbracket vwb\text{-}lens \ x; \$x' \sharp P; \$x \sharp Q \rrbracket \implies (x:\llbracket P \rrbracket ;; x:\llbracket Q \rrbracket) = x:\llbracket P ;; Q \rrbracket
  by (rel-simp, metis vwb-lens-def wb-lens-weak weak-lens.put-get)
lemma antiframe-to-frame:
  \llbracket x \bowtie y; x +_L y = 1_L \rrbracket \Longrightarrow x:[P] = y:\llbracket P \rrbracket
  by (rel-auto, metis lens-indep-def, metis lens-indep-def surj-pair)
While loop laws
theorem while-unfold:
  while b do P od = ((P ;; while b do P od) \triangleleft b \triangleright_r II)
proof -
  have m:mono (\lambda X. (P :: X) \triangleleft b \triangleright_r II)
    by (auto intro: monoI seqr-mono cond-mono)
  have (while b do P od) = (\nu X \cdot (P ;; X) \triangleleft b \triangleright_r II)
    by (simp add: while-def)
  also have ... = ((P ;; (\nu X \cdot (P ;; X) \triangleleft b \triangleright_r II)) \triangleleft b \triangleright_r II)
    by (subst lfp-unfold, simp-all add: m)
  also have ... = ((P ;; while b do P od) \triangleleft b \triangleright_r II)
    by (simp add: while-def)
  finally show ?thesis.
qed
theorem while-false: while false do P od = II
  by (subst while-unfold, simp add: aext-false)
theorem while-true: while true do P od = false
  apply (simp add: while-def alpha)
  apply (rule antisym)
  apply (simp-all)
  apply (rule lfp-lowerbound)
  apply (simp)
done
theorem while-bot-unfold:
  while_{\perp} \ b \ do \ P \ od = ((P \ ;; \ while_{\perp} \ b \ do \ P \ od) \triangleleft b \triangleright_r II)
proof -
  have m:mono (\lambda X. (P : X) \triangleleft b \triangleright_r II)
    by (auto intro: monoI segr-mono cond-mono)
  have (while_{\perp} \ b \ do \ P \ od) = (\mu \ X \cdot (P \ ;; \ X) \triangleleft b \triangleright_r II)
    by (simp add: while-bot-def)
  also have ... = ((P ;; (\mu X \cdot (P ;; X) \triangleleft b \triangleright_r II)) \triangleleft b \triangleright_r II)
    by (subst gfp-unfold, simp-all add: m)
  also have \dots = ((P ;; while_{\perp} b do P od) \triangleleft b \triangleright_r II)
    by (simp add: while-bot-def)
  finally show ?thesis.
qed
theorem while-bot-false: while \perp false do P od = II
  by (simp add: while-bot-def mu-const alpha)
```

```
theorem while-bot-true: while _{\perp} true do P od = (\mu \ X \cdot P \ ;; \ X) by (simp \ add: while-bot-def \ alpha)

An infinite loop with a feasible body corresponds to a program error (non-termination). theorem while-infinite: P ;; true_h = true \implies while_{\perp} \ true \ do \ P \ od = true apply (simp \ add: while-bot-true) apply (rule \ antisym) apply (simp) apply (rule \ gfp-upperbound) apply (simp) done
```

10.7 Relational unrestriction

Relational unrestriction states that a variable is unchanged by a relation. Eventually I'd also like to have it state that the relation also does not depend on the variable's initial value, but I'm not sure how to state that yet. For now we represent this by the parametric healthiness condition RID.

```
definition RID :: ('a \Longrightarrow '\alpha) \Rightarrow '\alpha \ hrel \Rightarrow '\alpha \ hrel
where RID x P = ((\exists \$x \cdot \exists \$x' \cdot P) \land \$x' =_u \$x)
declare RID-def [urel-defs]
lemma RID-idem:
  mwb-lens x \Longrightarrow RID(x)(RID(x)(P)) = RID(x)(P)
 by (rel-auto)
lemma RID-mono:
  P \sqsubseteq Q \Longrightarrow RID(x)(P) \sqsubseteq RID(x)(Q)
 by (rel-auto)
lemma RID-skip-r:
  vwb-lens x \Longrightarrow RID(x)(II) = II
 apply (rel-auto) using vwb-lens.put-eq by fastforce
lemma RID-disj:
  RID(x)(P \lor Q) = (RID(x)(P) \lor RID(x)(Q))
 by (rel-auto)
lemma RID-conj:
  vwb-lens x \Longrightarrow RID(x)(RID(x)(P) \land RID(x)(Q)) = (RID(x)(P) \land RID(x)(Q))
 bv (rel-auto)
lemma RID-assigns-r-diff:
  \llbracket vwb\text{-}lens\ x;\ x\ \sharp\ \sigma\ \rrbracket \Longrightarrow RID(x)(\langle\sigma\rangle_a) = \langle\sigma\rangle_a
 apply (rel-auto)
 apply (metis vwb-lens.put-eq)
 apply (metis vwb-lens-wb wb-lens.get-put wb-lens-weak weak-lens.put-get)
done
\mathbf{lemma}\ RID\text{-}assign\text{-}r\text{-}same:
  vwb-lens x \Longrightarrow RID(x)(x := v) = II
 apply (rel-auto)
  using vwb-lens.put-eq apply fastforce
```

done

```
lemma RID-seq-left:
  assumes vwb-lens x
 shows RID(x)(RID(x)(P) ;; Q) = (RID(x)(P) ;; RID(x)(Q))
  have RID(x)(RID(x)(P) ;; Q) = ((\exists \$x \cdot \exists \$x' \cdot ((\exists \$x \cdot \exists \$x' \cdot P) \land \$x' =_u \$x) ;; Q) \land \$x'
=_u \$x)
    by (simp add: RID-def usubst)
 also from assms have ... = ((((\exists \$x \cdot \exists \$x' \cdot P) \land (\exists \$x \cdot \$x' =_u \$x)) ;; (\exists \$x' \cdot Q)) \land \$x' =_u
\$x)
    by (rel-auto)
  also from assms have ... = (((\exists \$x \cdot \exists \$x' \cdot P) ;; (\exists \$x \cdot \exists \$x' \cdot Q)) \land \$x' =_u \$x)
    apply (rel-auto)
    apply (metis vwb-lens.put-eq)
    apply (metis mwb-lens.put-put vwb-lens-mwb)
  done
 also from assms have ... = (((\exists \$x \cdot \exists \$x' \cdot P) \land \$x' =_u \$x) ;; (\exists \$x \cdot \exists \$x' \cdot Q)) \land \$x' =_u \$x)
    by (rel-simp, metis (full-types) mwb-lens.put-put vwb-lens-def wb-lens-weak weak-lens.put-get)
 also have ... = ((((\exists \$x \cdot \exists \$x' \cdot P) \land \$x' =_u \$x) ;; ((\exists \$x \cdot \exists \$x' \cdot Q) \land \$x' =_u \$x)) \land \$x' =_u \$x))
\$x)
    by (rel-simp, fastforce)
  also have ... = ((((\exists \$x \cdot \exists \$x' \cdot P) \land \$x' =_u \$x) ;; ((\exists \$x \cdot \exists \$x' \cdot Q) \land \$x' =_u \$x)))
    by (rel-auto)
 also have ... = (RID(x)(P) ;; RID(x)(Q))
    by (rel-auto)
 finally show ?thesis.
qed
lemma RID-seq-right:
 assumes vwb-lens x
 shows RID(x)(P ;; RID(x)(Q)) = (RID(x)(P) ;; RID(x)(Q))
  have RID(x)(P;;RID(x)(Q)) = ((\exists \$x \cdot \exists \$x' \cdot P;;((\exists \$x \cdot \exists \$x' \cdot Q) \wedge \$x' =_u \$x)) \wedge \$x'
    by (simp add: RID-def usubst)
  also from assms have ... = (((\exists \$x \cdot P); (\exists \$x \cdot \exists \$x' \cdot Q) \wedge (\exists \$x' \cdot \$x' =_u \$x)) \wedge \$x' =_u
\$x)
    by (rel-auto)
 also from assms have ... = (((\exists \$x \cdot \exists \$x' \cdot P) ;; (\exists \$x \cdot \exists \$x' \cdot Q)) \land \$x' =_u \$x)
    apply (rel-auto)
    apply (metis vwb-lens.put-eq)
    apply (metis mwb-lens.put-put vwb-lens-mwb)
  done
 also from assms have ... = (((\exists \$x \cdot \exists \$x' \cdot P) \land \$x' =_u \$x); (\exists \$x \cdot \exists \$x' \cdot Q)) \land \$x' =_u \$x)
   by (rel-simp robust, metis (full-types) mwb-lens.put-put vwb-lens-def wb-lens-weak weak-lens.put-get)
 also have ... = ((((\exists \$x \cdot \exists \$x' \cdot P) \land \$x' =_u \$x) ;; ((\exists \$x \cdot \exists \$x' \cdot Q) \land \$x' =_u \$x)) \land \$x' =_u \$x))
    by (rel-simp, fastforce)
  also have ... = ((((\exists x \cdot \exists x' \cdot P) \land x' =_u x);; ((\exists x \cdot \exists x' \cdot Q) \land x' =_u x)))
    by (rel-auto)
  also have ... = (RID(x)(P) ;; RID(x)(Q))
    by (rel-auto)
 finally show ?thesis.
qed
```

```
definition unrest-relation :: ('a \Longrightarrow '\alpha) \Rightarrow '\alpha \ hrel \Rightarrow bool \ (infix \sharp\sharp \ 20)
where (x \sharp \sharp P) \longleftrightarrow (P = RID(x)(P))
declare unrest-relation-def [urel-defs]
lemma skip-r-runrest [unrest]:
  vwb-lens x \implies x \sharp \sharp II
  by (simp add: RID-skip-r unrest-relation-def)
lemma assigns-r-runrest:
  \llbracket vwb\text{-}lens\ x;\ x\ \sharp\ \sigma\ \rrbracket \Longrightarrow x\ \sharp\sharp\ \langle\sigma\rangle_a
  by (simp add: RID-assigns-r-diff unrest-relation-def)
lemma seq-r-runrest [unrest]:
  assumes vwb-lens x x \sharp \sharp P x \sharp \sharp Q
  shows x \sharp \sharp (P ;; Q)
  by (metis RID-seq-left assms unrest-relation-def)
lemma false-runrest [unrest]: x \sharp\sharp false
  by (rel-auto)
lemma and-runrest [unrest]: \llbracket vwb\text{-lens } x; x \sharp \sharp P; x \sharp \sharp Q \rrbracket \Longrightarrow x \sharp \sharp (P \land Q)
  by (metis RID-conj unrest-relation-def)
lemma or-runrest [unrest]: [x \sharp \sharp P; x \sharp \sharp Q] \implies x \sharp \sharp (P \vee Q)
  by (simp add: RID-disj unrest-relation-def)
           Alphabet laws
10.8
lemma aext-cond [alpha]:
  (P \triangleleft b \triangleright Q) \oplus_p a = ((P \oplus_p a) \triangleleft (b \oplus_p a) \triangleright (Q \oplus_p a))
  by (rel-auto)
lemma aext-seq [alpha]:
  wb\text{-lens }a \Longrightarrow ((P ;; Q) \oplus_p (a \times_L a)) = ((P \oplus_p (a \times_L a)) ;; (Q \oplus_p (a \times_L a)))
  by (rel-simp, metis wb-lens-weak weak-lens.put-get)
10.9
           Algebraic properties
interpretation upred-semiring: semiring-1
  where times = seqr and one = skip - r and zero = false_h and plus = Lattices.sup
  by (unfold-locales, (rel-auto)+)
We introduce the power syntax dervied from semirings
abbreviation upower :: '\alpha hrel \Rightarrow nat \Rightarrow '\alpha hrel (infixr \hat{} 80) where
upower\ P\ n \equiv upred\text{-}semiring.power\ P\ n
translations
  P \hat{i} \le CONST power.power II op ;; P i
  P \hat{i} \le (CONST \ power.power \ II \ op \ ;; \ P) \ i
Set up transfer tactic for powers
lemma upower-rep-eq [uexpr-transfer-laws]:
  [\![P \ \widehat{\ } i]\!]_e b = (b \in (\{p.\ [\![P]\!]_e \ p\} \ \widehat{\ } \widehat{\ } i))
```

```
proof (induct i arbitrary: P b)
 case \theta
 then show ?case
   by (simp, rel-auto, simp add: Id-fstsnd-eq)
\mathbf{next}
 case (Suc\ i)
 show ?case
   \mathbf{by}\ (simp\ add\colon Suc\ seqr.rep\text{-}eq\ relpow\text{-}commute)
lemma upower-rep-eq-alt [uexpr-transfer-laws]:
 [power.power \langle id \rangle_a \ op \ ;; P \ i]_e \ b = (b \in (\{p. \ [P]_e \ p\} \ \hat{} \ i))
 by (metis skip-r-def upower-rep-eq)
lemma Sup-power-expand:
 fixes P :: nat \Rightarrow 'a::complete-lattice
 shows P(\theta) \cap (\prod i. P(i+1)) = (\prod i. P(i))
 have UNIV = insert (0::nat) \{1..\}
   by auto
 moreover have (\prod i. P(i)) = \prod (P 'UNIV)
   by (blast)
 moreover have \bigcap (P 'insert 0 {1..}) = P(0) \bigcap SUPREMUM {1..} P
   by (simp)
 moreover have SUPREMUM \{1..\} P = (\prod i. P(i+1))
   by (simp add: atLeast-Suc-greaterThan)
 ultimately show ?thesis
   by (simp only:)
qed
lemma Sup-upto-Suc: (\prod i \in \{0..Suc\ n\}.\ P \hat{i}) = (\prod i \in \{0..n\}.\ P \hat{i}) \sqcap P \hat{i} Suc n
proof -
 have (\prod i \in \{0..Suc\ n\}.\ P \hat{i}) = (\prod i \in insert\ (Suc\ n)\ \{0..n\}.\ P \hat{i})
   by (simp add: atLeast0-atMost-Suc)
 also have ... = P \hat{\ } Suc \ n \sqcap (\prod i \in \{\theta..n\}. \ P \hat{\ } i)
   by (simp)
 finally show ?thesis
   by (simp add: Lattices.sup-commute)
qed
The following two proofs are adapted from the AFP entry Kleene Algebra (Armstrong, Struth,
lemma upower-inductl: Q \sqsubseteq (P ;; Q \sqcap R) \Longrightarrow Q \sqsubseteq P \hat{\ } n ;; R
proof (induct n)
 case \theta
 then show ?case by (auto)
next
 case (Suc \ n)
 then show ?case
   by (auto, metis (no-types, hide-lams) dual-order.trans order-refl segr-assoc segr-mono)
qed
lemma upower-inductr:
 \mathbf{assumes}\ Q\sqsubseteq (R\sqcap Q\ ;;\ P)
 shows Q \sqsubseteq R ; ; (P \hat{n})
```

```
using assms proof (induct \ n)
 case \theta
 then show ?case by auto
next
 case (Suc \ n)
 have R :: P \hat{\ } Suc \ n = (R :: P \hat{\ } n) :: P
   by (metis segr-assoc upred-semiring.power-Suc2)
 also have Q :: P \sqsubseteq ...
   using Suc.hyps assms seqr-mono by auto
 also have Q \sqsubseteq ...
   using assms by auto
 finally show ?case.
qed
\mathbf{lemma}\ SUP-atLeastAtMost-first:
 fixes P :: nat \Rightarrow 'a::complete-lattice
 assumes m < n
 shows ( \bigcap i \in \{m..n\}. P(i)) = P(m) \cap (\bigcap i \in \{Suc\ m..n\}. P(i))
 by (metis SUP-insert assms atLeastAtMost-insertL)
Kleene star
definition ustar :: '\alpha hrel \Rightarrow '\alpha hrel (-* [999] 999) where
P^* = (\prod i \in \{\theta..\} \cdot P^*i)
lemma ustar-rep-eq [uexpr-transfer-laws]:
 [\![P^{\star}]\!]_{e}b = (b \in (\{p. [\![P]\!]_{e} p\}^{*}))
 by (simp add: ustar-def, rel-auto, simp-all add: relpow-imp-rtrancl rtrancl-imp-relpow)
Omega
definition uomega :: '\alpha \ hrel \Rightarrow '\alpha \ hrel \ (-\omega \ [999] \ 999) where
P^{\omega} = (\mu \ X \cdot P \ ;; \ X)
           Relation algebra laws
10.10
theorem RA1: (P ;; (Q ;; R)) = ((P ;; Q) ;; R)
 using seqr-assoc by auto
theorem RA2: (P ;; II) = P (II ;; P) = P
 by simp-all
theorem RA3: P^{--} = P
 by simp
theorem RA4: (P ;; Q)^{-} = (Q^{-} ;; P^{-})
 by simp
theorem RA5: (P \lor Q)^{-} = (P^{-} \lor Q^{-})
 by (rel-auto)
theorem RA6: ((P \lor Q) ;; R) = (P;;R \lor Q;;R)
 using segr-or-distl by blast
theorem RA7: ((P^-;; (\neg (P;; Q))) \lor (\neg Q)) = (\neg Q)
 by (rel-auto)
```

10.11 Kleene algebra laws

```
theorem ustar-unfoldl: P^* \sqsubseteq II \sqcap P;; P^*
 by (rel-simp, simp add: rtrancl-into-trancl2 trancl-into-rtrancl)
theorem ustar-inductl:
 \mathbf{assumes}\ Q\sqsubseteq (R\sqcap P\ ;;\ Q)
 shows Q \sqsubseteq P^* ;; R
proof -
 have P^* ;; R = (\bigcap i. P \hat{i} ;; R)
   by (simp add: ustar-def USUP-as-Sup-collect' seq-SUP-distr)
 also have Q \sqsubseteq ...
   by (metis (no-types, lifting) SUP-least assms semilattice-sup-class.sup-commute upower-inductl)
 finally show ?thesis.
qed
theorem ustar-inductr:
 assumes Q \sqsubseteq (R \sqcap Q ;; P)
 shows Q \sqsubseteq R ;; P^*
proof -
 have R :: P^* = (   i. R :: P \hat{i})
   by (simp add: ustar-def USUP-as-Sup-collect' seq-SUP-distl)
 also have Q \sqsubseteq \dots
   by (meson SUP-least assms upower-inductr)
 finally show ?thesis.
qed
10.12
           Omega algbra
lemma uomega-induct:
 P :: P^{\omega} \sqsubseteq P^{\omega}
 by (simp add: uomega-def, metis eq-refl gfp-unfold monoI seqr-mono)
           Relational alphabet extension
10.13
lift-definition rel-alpha-ext :: '\beta hrel \Rightarrow ('\beta \Longrightarrow '\alpha) \Rightarrow '\alpha hrel (infix \oplus_R 65)
is \lambda P x (b1, b2). P (get_x b1, get_x b2) \wedge (\forall b. b1 \oplus_L b on x = b2 \oplus_L b on x).
lemma rel-alpha-ext-alt-def:
 assumes vwb-lens y x +_L y \approx_L 1_L x \bowtie y
 shows P \oplus_R x = (P \oplus_p (x \times_L x) \land \$y' =_u \$y)
 using assms
 apply (rel-auto robust, simp-all add: lens-override-def)
 apply (metis lens-indep-get lens-indep-sym)
 apply (metis vwb-lens-def wb-lens.qet-put wb-lens-def weak-lens.put-qet)
done
10.14
           Program values
abbreviation prog-val :: '\alpha hrel \Rightarrow ('\alpha hrel, '\alpha) uexpr (\{-\}_u)
where \{P\}_u \equiv \ll P \gg
lift-definition call :: ('\alpha hrel, '\alpha) uexpr \Rightarrow '\alpha hrel
is \lambda P b. P (fst b) b.
lemma call-prog-val: call \{P\}_u = P
```

```
by (simp add: call-def urel-defs lit.rep-eq Rep-uexpr-inverse) end
```

11 Meta-level substitution

```
theory utp-meta-subst
imports \ utp-rel
begin
definition msubst :: ('a \Rightarrow '\alpha \ upred) \Rightarrow ('a, '\alpha) \ uexpr \Rightarrow '\alpha \ upred \ \mathbf{where}
[upred-defs]: msubst\ F\ v = (\bigcap\ x\mid \ll x \gg =_u\ v\cdot F(x))
syntax
  -msubst :: logic \Rightarrow pttrn \Rightarrow logic \Rightarrow logic ((-[- \rightarrow -]) [990, 0, 0] 991)
translations
   -msubst\ P\ x\ v == CONST\ msubst\ (\lambda\ x.\ P)\ v
lemma msubst-true [usubst]: true[x \rightarrow v] = true
  by (pred-auto)
lemma msubst-false [usubst]: false[x \rightarrow v] = false
  by (pred-auto)
lemma msubst-lit [usubst]: \ll x \gg [x \rightarrow v] = v
  by (pred-auto)
lemma msubst-not [usubst]: (\neg P(x))[x \rightarrow v] = (\neg ((P x)[x \rightarrow v]))
  by (pred-auto)
lemma msubst-disj [usubst]: (P(x) \lor Q(x))[x \to v] = ((P(x))[x \to v] \lor (Q(x))[x \to v])
  by (pred-auto)
\mathbf{lemma} \ \mathit{msubst-conj} \ [\mathit{usubst}] \colon (P(x) \land Q(x)) \llbracket x \rightarrow v \rrbracket = ((P(x)) \llbracket x \rightarrow v \rrbracket \land (Q(x)) \llbracket x \rightarrow v \rrbracket)
  by (pred-auto)
\mathbf{lemma} \ \textit{msubst-seq} \ [\textit{usubst}] : (P(x) \ ;; \ Q(x)) [\![x \to \ll v \gg]\!] = ((P(x)) [\![x \to \ll v \gg]\!] \ ;; \ (Q(x)) [\![x \to \ll v \gg]\!])
  by (rel-auto)
lemma msubst-unrest [unrest]: \llbracket \bigwedge v. \ x \sharp P(v); \ x \sharp k \rrbracket \Longrightarrow x \sharp P(v) \llbracket v \rightarrow k \rrbracket
  by (pred-auto)
```

12 UTP Deduction Tactic

 $\begin{array}{c} \textbf{imports} \ utp\text{-}pred \\ \textbf{begin} \\ \\ \textbf{named-theorems} \ uintro \\ \textbf{named-theorems} \ uelim \end{array}$

 ${f named-theorems}\ udest$

theory utp-deduct

end

```
lemma utrueI [uintro]: [true]_eb by (pred-auto)
```

lemma
$$uopI$$
 [$uintro$]: f ($\llbracket x \rrbracket_e b$) $\Longrightarrow \llbracket uop f x \rrbracket_e b$
by ($pred-auto$)

lemma bopI [uintro]:
$$f([\![x]\!]_e b)([\![y]\!]_e b) \Longrightarrow [\![bop\ f\ x\ y]\!]_e b$$
 by $(pred\text{-}auto)$

lemma
$$tropI$$
 [$uintro$]: f ($\llbracket x \rrbracket_e b$) ($\llbracket y \rrbracket_e b$) ($\llbracket z \rrbracket_e b$) \Longrightarrow $\llbracket trop \ f \ x \ y \ z \rrbracket_e b$ by ($pred$ - $auto$)

lemma
$$uconjI$$
 [$uintro$]: [[$[p]_eb$; [$[q]_eb$]] \Longrightarrow [$[p \land q]_eb$ by ($pred$ - $auto$)

lemma
$$uconjE$$
 [$uelim$]: [[$[p \land q]_e b$; [[$[p]_e b$; [$[q]_e b$]] $\Longrightarrow P$]] $\Longrightarrow P$ by ($pred$ - $auto$)

lemma
$$uimpI$$
 [$uintro$]: [[$[p]_e b \Longrightarrow [[q]_e b$]] $\Longrightarrow [[p \Rightarrow q]]_e b$ by ($pred$ - $auto$)

lemma
$$uimpE \ [elim]: \llbracket \llbracket p \Rightarrow q \rrbracket_e b; (\llbracket p \rrbracket_e b \Longrightarrow \llbracket q \rrbracket_e b) \Longrightarrow P \rrbracket \Longrightarrow P$$
 by $(pred-auto)$

lemma ushAllI [uintro]:
$$\llbracket \bigwedge x$$
. $\llbracket p(x) \rrbracket_e b \rrbracket \Longrightarrow \llbracket \forall x \cdot p(x) \rrbracket_e b$ by $pred-auto$

lemma
$$ushExI$$
 [$uintro$]: [[$[p(x)]]_eb$]] \Longrightarrow [[$\exists x \cdot p(x)]]_eb$ by $pred-auto$

lemma udeduct-tautI [uintro]:
$$\llbracket \land b$$
. $\llbracket p \rrbracket_e b \rrbracket \implies 'p'$ using taut.rep-eq by blast

lemma udeduct-refineI [uintro]:
$$\llbracket \bigwedge b$$
. $\llbracket q \rrbracket_e b \Longrightarrow \llbracket p \rrbracket_e b \rrbracket \Longrightarrow p \sqsubseteq q$ by $pred-auto$

lemma udeduct-eqI [uintro]:
$$\llbracket \bigwedge b$$
. $\llbracket p \rrbracket_e b \Longrightarrow \llbracket q \rrbracket_e b$; $\bigwedge b$. $\llbracket q \rrbracket_e b \Longrightarrow \llbracket p \rrbracket_e b$ $\rrbracket \Longrightarrow p = q$ by $(pred\text{-}auto)$

Some of the following lemmas help backward reasoning with bindings

lemma conj-implies: [[
$$[P \land Q]_e \ b$$
] \Longrightarrow [$[P]_e \ b \land [Q]_e \ b$ by $pred$ -auto

lemma conj-implies2:
$$[\![P]\!]_e \ b \wedge [\![Q]\!]_e \ b \]\!] \Longrightarrow [\![P \wedge Q]\!]_e \ b$$
 by $pred$ -auto

lemma
$$disj$$
-eq: $[\![P]\!]_e \ b \lor [\![Q]\!]_e \ b \]\!] \Longrightarrow [\![P \lor Q]\!]_e \ b$ by $pred$ -auto

lemma disj-eq2: [[P
$$\lor$$
 Q]_e b] \Longrightarrow [P]_e b \lor [Q]_e b by pred-auto

lemma conj-eq-subst: (
$$\llbracket P \wedge Q \rrbracket_e \ b \wedge \llbracket P \rrbracket_e \ b = \llbracket R \rrbracket_e \ b$$
) = ($\llbracket R \wedge Q \rrbracket_e \ b \wedge \llbracket P \rrbracket_e \ b = \llbracket R \rrbracket_e \ b$) by $pred-auto$

 $\begin{array}{l} \textbf{lemma} \ conj\text{-}imp\text{-}subst\text{: } (\llbracket P \land Q \rrbracket_e \ b \land (\llbracket Q \rrbracket_e \ b \longrightarrow (\llbracket P \rrbracket_e \ b = \llbracket R \rrbracket_e \ b))) = (\llbracket R \land Q \rrbracket_e \ b \land (\llbracket Q \rrbracket_e \ b \longrightarrow (\llbracket P \rrbracket_e \ b = \llbracket R \rrbracket_e \ b))) \\ \textbf{by} \ pred-auto \\ \end{array}$

 $\begin{array}{l} \textbf{lemma} \ \textit{disj-imp-subst:} \ (\llbracket Q \land (P \lor S) \rrbracket_e \ b \land (\llbracket Q \rrbracket_e \ b \longrightarrow (\llbracket P \rrbracket_e \ b = \llbracket R \rrbracket_e \ b))) = (\llbracket Q \land (R \lor S) \rrbracket_e \ b \land (\llbracket Q \rrbracket_e \ b \longrightarrow (\llbracket P \rrbracket_e \ b = \llbracket R \rrbracket_e \ b))) \\ \textbf{by} \ \textit{pred-auto} \end{array}$

Simplifications on value equality

lemma uexpr-eq: $(\llbracket e_0 \rrbracket_e \ b = \llbracket e_1 \rrbracket_e \ b) = \llbracket e_0 =_u \ e_1 \rrbracket_e \ b$ by pred-auto

lemma uexpr-trans: ($[P \land e_0 =_u e_1]_e b \land ([P]_e b \longrightarrow [e_1 =_u e_2]_e b$)) = ($[P \land e_0 =_u e_2]_e b \land ([P]_e b \longrightarrow [e_1 =_u e_2]_e b$)) by (pred-auto)

lemma uexpr-trans2: ($[\![P \land Q \land e_0 =_u e_1]\!]_e \ b \land ([\![Q]\!]_e \ b \longrightarrow [\![e_1 =_u e_2]\!]_e \ b)$) = ($[\![P \land Q \land e_0 =_u e_2]\!]_e \ b$) by (pred-auto)

lemma uequality: $\llbracket (\llbracket R \rrbracket_e \ b = \llbracket Q \rrbracket_e \ b) \ \rrbracket \Longrightarrow \llbracket P \wedge R \rrbracket_e \ b = \llbracket P \wedge Q \rrbracket_e \ b$ by pred-auto

lemma $ueqe1: \llbracket \llbracket P \rrbracket_e \ b \Longrightarrow (\llbracket R \rrbracket_e \ b = \llbracket Q \rrbracket_e \ b) \ \rrbracket \Longrightarrow (\llbracket P \wedge R \rrbracket_e \ b \Longrightarrow \llbracket P \wedge Q \rrbracket_e \ b)$ by pred-auto

lemma ueqe2: ($\llbracket P \rrbracket_e \ b \Longrightarrow (\llbracket Q \rrbracket_e \ b = \llbracket R \rrbracket_e \ b) \land \llbracket Q \land P \rrbracket_e \ b = \llbracket R \land P \rrbracket_e \ b)$ $\Longrightarrow (\llbracket P \rrbracket_e \ b \Longrightarrow (\llbracket Q \rrbracket_e \ b = \llbracket R \rrbracket_e \ b))$ by pred-auto

lemma ueqe3: [[P]_e b \Longrightarrow ([Q]_e b = [R]_e b)] \Longrightarrow ([R \land P]_e b = [Q \land P]_e b) by pred-auto

The following allows simplifying the equality if $P \Rightarrow Q = R$

lemma ueqe3-imp3: (\bigwedge b. $[\![P]\!]_e$ b \Longrightarrow ($[\![Q]\!]_e$ b = $[\![R]\!]_e$ b)) \Longrightarrow (($P \land Q$) = ($P \land R$)) by pred-auto

lemma ueqe3-imp2: $\llbracket (\bigwedge b. \llbracket P0 \land P1 \rrbracket_e b \Longrightarrow \llbracket Q \rrbracket_e b \Longrightarrow \llbracket R \rrbracket_e b = \llbracket S \rrbracket_e b) \rrbracket \Longrightarrow ((P0 \land P1 \land (Q \Rightarrow R)) = (P0 \land P1 \land (Q \Rightarrow S)))$ by pred-auto

The following can introduce the binding notation into predicates

lemma conj-bind-dist: $[P \land Q]_e \ b = ([P]_e \ b \land [Q]_e \ b)$ by pred-auto

lemma disj-bind-dist: $[P \lor Q]_e \ b = ([P]_e \ b \lor [Q]_e \ b)$ by pred-auto

lemma imp-bind-dist: $[\![P \Rightarrow Q]\!]_e \ b = ([\![P]\!]_e \ b \longrightarrow [\![Q]\!]_e \ b)$ by pred-auto end

12.1 Relational Hoare calculus

```
theory utp-hoare
imports utp-rel
begin
named-theorems hoare
definition hoare-r::'\alpha \ cond \Rightarrow '\alpha \ hrel \Rightarrow '\alpha \ cond \Rightarrow bool (\{-\}-\{-\}_u) where
\{p\}Q\{r\}_u = ((\lceil p \rceil_{<} \Rightarrow \lceil r \rceil_{>}) \sqsubseteq Q)
\mathbf{declare}\ \mathit{hoare-r-def}\ [\mathit{upred-defs}]
\mathbf{lemma}\ hoare\text{-}r\text{-}conj\ [hoare]\text{: } \llbracket\ \P p \P\ Q \P r \rrbracket_u;\ \P p \P\ Q \P s \rrbracket_u\ \rrbracket \Longrightarrow \P p \P\ Q \P r\ \wedge\ s \rrbracket_u
  by rel-auto
lemma hoare-r-conseq [hoare]: \llbracket p_1 \Rightarrow p_2; \llbracket p_2 \} S \llbracket q_2 \rrbracket_u; q_2 \Rightarrow q_1 \rrbracket \Longrightarrow \llbracket p_1 \} S \llbracket q_1 \rrbracket_u
  by rel-auto
lemma assigns-hoare-r [hoare]: 'p \Rightarrow \sigma \uparrow q' \Longrightarrow \{p\} \langle \sigma \rangle_a \{q\}_u
  by rel-auto
lemma skip-hoare-r [hoare]: \{p\}II\{p\}_u
  by rel-auto
lemma seq-hoare-r [hoare]: [\![ \{p\} Q_1 \{s\}_u ; \{s\} Q_2 \{r\}_u ]\!] \Longrightarrow \{\![p\} Q_1 ; Q_2 \{r\}_u \}\!]
  by rel-auto
\mathbf{lemma} \ cond\text{-}hoare\text{-}r \ [hoare] \colon \llbracket \ \{b \land p\}S\{q\}_u \ ; \ \{\neg b \land p\}T\{q\}_u \ \rrbracket \Longrightarrow \{p\}S \vartriangleleft b \rhd_r T\{q\}_u \}
  by rel-auto
lemma while-hoare-r [hoare]:
  assumes \{p \land b\} S \{p\}_u
  shows \{p\} while b do S od \{\neg b \land p\}_u
  using assms
  by (simp add: while-def hoare-r-def, rule-tac lfp-lowerbound) (rel-auto)
lemma while-invr-hoare-r [hoare]:
  assumes \{p \land b\} S \{p\}_u \text{ 'pre} \Rightarrow p' \text{ '}(\neg b \land p) \Rightarrow post'
  shows \{pre\} while b invr p do S od \{post\}_u
  by (metis assms hoare-r-conseq while-hoare-r while-inv-def)
end
12.2
            Weakest precondition calculus
theory utp-wp
imports utp-hoare
begin
A very quick implementation of wp – more laws still needed!
named-theorems wp
method wp\text{-}tac = (simp \ add: wp)
consts
  uwp :: 'a \Rightarrow 'b \Rightarrow 'c \text{ (infix } wp 60)
```

```
definition wp-upred :: ('\alpha, '\beta) rel \Rightarrow '\beta cond \Rightarrow '\alpha cond where
wp-upred Q r = |\neg (Q ;; (\neg \lceil r \rceil <)) :: ('\alpha, '\beta) rel|_{<}
adhoc-overloading
  uwp wp-upred
declare wp-upred-def [urel-defs]
theorem wp-assigns-r [wp]:
  \langle \sigma \rangle_a \ wp \ r = \sigma \dagger r
  \mathbf{by} rel-auto
theorem wp-skip-r [wp]:
  II wp r = r
  \mathbf{by} rel-auto
theorem wp-true [wp]:
  r \neq true \implies true \ wp \ r = false
  by rel-auto
theorem wp-conj [wp]:
  P wp (q \wedge r) = (P wp q \wedge P wp r)
  \mathbf{by} rel-auto
theorem wp\text{-}seq\text{-}r \ [wp]: \ (P \ ;; \ Q) \ wp \ r = P \ wp \ (Q \ wp \ r)
  by rel-auto
theorem wp-cond [wp]: (P \triangleleft b \triangleright_r Q) wp r = ((b \Rightarrow P \ wp \ r) \land ((\neg b) \Rightarrow Q \ wp \ r))
  by rel-auto
theorem wp-hoare-link:
  \{\!\!\{p\}\!\!\}\,Q\{\!\!\{r\}\!\!\}_u\longleftrightarrow (Q\ wp\ r\sqsubseteq p)
  by rel-auto
If two programs have the same weakest precondition for any postcondition then the programs
```

```
theorem wp-eq-intro: [\![ \bigwedge r. \ P \ wp \ r = Q \ wp \ r \ ]\!] \Longrightarrow P = Q
  by (rel-auto robust, fastforce+)
end
```

UTP Theories 13

theory utp-theory imports utp-relbegin

Closure laws for theories

named-theorems closure

13.1 Complete lattice of predicates

```
definition upred-lattice :: ('\alpha upred) gorder (\mathcal{P}) where
upred-lattice = (|carrier = UNIV, eq = (op =), le = op <math>\sqsubseteq |c|
```

 \mathcal{P} is the complete lattice of alphabetised predicates. All other theories will be defined relative to it.

```
interpretation upred-lattice: complete-lattice \mathcal{P}
proof (unfold-locales, simp-all add: upred-lattice-def)
 \mathbf{fix} \ A :: '\alpha \ upred \ set
 \mathbf{show} \ \exists \, s. \ \textit{is-lub} \ ( \textit{carrier} = \textit{UNIV}, \ \textit{eq} = \textit{op} =, \ \textit{le} = \textit{op} \sqsubseteq ) \ \textit{s} \ \textit{A}
   apply (rule-tac \ x=|\ |\ A \ in \ exI)
   apply (rule least-UpperI)
   apply (auto intro: Inf-greatest simp add: Inf-lower Upper-def)
  done
 show \exists i. is-glb (|carrier = UNIV, eq = op =, le = op \sqsubseteq) i A
   apply (rule greatest-LowerI)
   apply (auto intro: Sup-least simp add: Sup-upper Lower-def)
 done
qed
lemma upred-weak-complete-lattice [simp]: weak-complete-lattice \mathcal{P}
  by (simp add: upred-lattice.weak.weak-complete-lattice-axioms)
lemma upred-lattice-eq [simp]:
  op :=_{\mathcal{P}} = op =
 by (simp add: upred-lattice-def)
lemma upred-lattice-le [simp]:
  le \mathcal{P} P Q = (P \sqsubseteq Q)
 by (simp add: upred-lattice-def)
lemma upred-lattice-carrier [simp]:
  carrier \mathcal{P} = UNIV
 by (simp add: upred-lattice-def)
13.2
          Healthiness conditions
type-synonym '\alpha health = '\alpha upred \Rightarrow '\alpha upred
definition
  Healthy:'\alpha \ upred \Rightarrow '\alpha \ health \Rightarrow bool \ (infix \ is \ 30)
where P is H \equiv (H P = P)
lemma Healthy-def': P is H \longleftrightarrow (H P = P)
  unfolding Healthy-def by auto
lemma Healthy-if: P is H \Longrightarrow (H P = P)
  unfolding Healthy-def by auto
declare Healthy-def' [upred-defs]
abbreviation Healthy-carrier :: '\alpha health \Rightarrow '\alpha upred set (\llbracket - \rrbracket_H)
where [\![H]\!]_H \equiv \{P.\ P \ is \ H\}
lemma Healthy-carrier-image:
  A \subseteq [\![\mathcal{H}]\!]_H \Longrightarrow \mathcal{H} 'A = A
   by (auto simp add: image-def, (metis Healthy-if mem-Collect-eq subsetCE)+)
```

```
lemma Healthy-carrier-Collect: A \subseteq \llbracket H \rrbracket_H \Longrightarrow A = \{H(P) \mid P. \ P \in A\}
  by (simp add: Healthy-carrier-image Setcompr-eq-image)
lemma Healthy-func:
  \llbracket F \in \llbracket \mathcal{H}_1 \rrbracket_H \to \llbracket \mathcal{H}_2 \rrbracket_H; P \text{ is } \mathcal{H}_1 \rrbracket \Longrightarrow \mathcal{H}_2(F(P)) = F(P)
  using Healthy-if by blast
lemma Healthy-apply-closed:
  assumes F \in [\![H]\!]_H \to [\![H]\!]_H P is H
 shows F(P) is H
 using assms(1) assms(2) by auto
{\bf lemma} \ \textit{Healthy-set-image-member}:
  \llbracket P \in F 'A; \bigwedge x. F x \text{ is } H \rrbracket \Longrightarrow P \text{ is } H
 by blast
lemma Healthy-SUPREMUM:
  A \subseteq \llbracket H \rrbracket_H \Longrightarrow SUPREMUM \ A \ H = \prod A
  by (drule Healthy-carrier-image, presburger)
lemma Healthy-INFIMUM:
  A \subseteq \llbracket H \rrbracket_H \Longrightarrow INFIMUM \ A \ H = \bigsqcup A
 by (drule Healthy-carrier-image, presburger)
lemma Healthy-nu [closure]:
 assumes mono F F \in [id]_H \to [H]_H
 shows \nu F is H
 by (metis (mono-tags) Healthy-def Healthy-func assms eq-id-iff lfp-unfold)
lemma Healthy-mu [closure]:
  assumes mono F F \in [id]_H \to [H]_H
 shows \mu F is H
  by (metis (mono-tags) Healthy-def Healthy-func assms eq-id-iff gfp-unfold)
lemma Healthy-subset-member: [\![A\subseteq [\![H]\!]_H; P\in A]\!] \Longrightarrow H(P)=P
  by (meson Ball-Collect Healthy-if)
lemma is-Healthy-subset-member: A \subseteq H_H; P \in A \implies P is H
 by blast
13.3
          Properties of healthiness conditions
definition Idempotent :: '\alpha health \Rightarrow bool where
  Idempotent(H) \longleftrightarrow (\forall P. H(H(P)) = H(P))
abbreviation Monotonic :: '\alpha \ health \Rightarrow bool \ where
  Monotonic(H) \equiv mono H
definition IMH :: '\alpha \ health \Rightarrow bool \ where
  IMH(H) \longleftrightarrow Idempotent(H) \land Monotonic(H)
definition Antitone :: '\alpha health \Rightarrow bool where
  Antitone(H) \longleftrightarrow (\forall P Q. Q \sqsubseteq P \longrightarrow (H(P) \sqsubseteq H(Q)))
definition Conjunctive :: '\alpha health \Rightarrow bool where
  Conjunctive(H) \longleftrightarrow (\exists Q. \forall P. H(P) = (P \land Q))
```

```
definition Functional Conjunctive :: '\alpha health \Rightarrow bool where
  Functional Conjunctive(H) \longleftrightarrow (\exists F. \forall P. H(P) = (P \land F(P)) \land Monotonic(F))
definition WeakConjunctive :: '\alpha health \Rightarrow bool where
  WeakConjunctive(H) \longleftrightarrow (\forall P. \exists Q. H(P) = (P \land Q))
definition Disjunctuous :: '\alpha health \Rightarrow bool where
 [upred-defs]: Disjunctuous H = (\forall P Q. H(P \sqcap Q) = (H(P) \sqcap H(Q)))
definition Continuous :: '\alpha health \Rightarrow bool where
  [upred-defs]: Continuous H = (\forall A. A \neq \{\} \longrightarrow H (  A) =  (H 'A))
lemma Healthy-Idempotent [closure]:
  Idempotent H \Longrightarrow H(P) is H
 by (simp add: Healthy-def Idempotent-def)
lemma Healthy-range: Idempotent H \Longrightarrow range H = [\![H]\!]_H
 by (auto simp add: image-def Healthy-if Healthy-Idempotent, metis Healthy-if)
lemma Idempotent-id [simp]: Idempotent id
 by (simp add: Idempotent-def)
lemma Idempotent-comp [intro]:
  \llbracket Idempotent f; Idempotent g; f \circ g = g \circ f \rrbracket \Longrightarrow Idempotent (f \circ g)
 by (auto simp add: Idempotent-def comp-def, metis)
lemma Idempotent-image: Idempotent f \Longrightarrow f' f' A = f' A
 by (metis (mono-tags, lifting) Idempotent-def image-cong image-image)
lemma Monotonic-id [simp]: Monotonic id
 by (simp \ add: monoI)
lemma Monotonic-comp [intro]:
  \llbracket Monotonic f; Monotonic g \rrbracket \Longrightarrow Monotonic (f \circ g)
 by (simp add: mono-def)
lemma Conjuctive-Idempotent:
  Conjunctive(H) \Longrightarrow Idempotent(H)
 by (auto simp add: Conjunctive-def Idempotent-def)
lemma Conjunctive-Monotonic:
  Conjunctive(H) \Longrightarrow Monotonic(H)
  unfolding Conjunctive-def mono-def
  using dual-order.trans by fastforce
lemma Conjunctive-conj:
 assumes Conjunctive(HC)
 shows HC(P \wedge Q) = (HC(P) \wedge Q)
 using assms unfolding Conjunctive-def
 by (metis utp-pred.inf.assoc utp-pred.inf.commute)
lemma Conjunctive-distr-conj:
 assumes Conjunctive(HC)
 shows HC(P \land Q) = (HC(P) \land HC(Q))
```

```
using assms unfolding Conjunctive-def
 by (metis Conjunctive-conj assms utp-pred.inf.assoc utp-pred.inf-right-idem)
lemma Conjunctive-distr-disj:
 assumes Conjunctive(HC)
 shows HC(P \vee Q) = (HC(P) \vee HC(Q))
 using assms unfolding Conjunctive-def
 using utp-pred.inf-sup-distrib2 by fastforce
lemma Conjunctive-distr-cond:
 assumes Conjunctive(HC)
 shows HC(P \triangleleft b \triangleright Q) = (HC(P) \triangleleft b \triangleright HC(Q))
 using assms unfolding Conjunctive-def
 by (metis cond-conj-distr utp-pred.inf-commute)
lemma FunctionalConjunctive-Monotonic:
 FunctionalConjunctive(H) \Longrightarrow Monotonic(H)
 unfolding Functional Conjunctive-def by (metis mono-def utp-pred.inf-mono)
lemma WeakConjunctive-Refinement:
 assumes WeakConjunctive(HC)
 shows P \sqsubseteq HC(P)
 using assms unfolding WeakConjunctive-def by (metis utp-pred.inf.cobounded1)
lemma Weak Cojunctive-Healthy-Refinement:
 assumes WeakConjunctive(HC) and P is HC
 shows HC(P) \sqsubseteq P
 using assms unfolding WeakConjunctive-def Healthy-def by simp
lemma WeakConjunctive-implies-WeakConjunctive:
 Conjunctive(H) \Longrightarrow WeakConjunctive(H)
 unfolding WeakConjunctive-def Conjunctive-def by pred-auto
declare Conjunctive-def [upred-defs]
declare mono-def [upred-defs]
lemma Disjunctuous-Monotonic: Disjunctuous H \Longrightarrow Monotonic H
 by (metis Disjunctuous-def mono-def semilattice-sup-class.le-iff-sup)
lemma Continuous D [dest]: \llbracket Continuous H; A \neq \{\} \rrbracket \Longrightarrow H (\bigcap A) = (\bigcap P \in A. H(P))
 by (simp add: Continuous-def)
lemma Continuous-Disjunctous: Continuous H \Longrightarrow Disjunctuous H
 apply (auto simp add: Continuous-def Disjunctuous-def)
 apply (rename-tac\ P\ Q)
 apply (drule\text{-}tac \ x=\{P,Q\} \ \textbf{in} \ spec)
 apply (simp)
done
lemma Continuous-Monotonic [closure]: Continuous H \Longrightarrow Monotonic H
 by (simp add: Continuous-Disjunctous Disjunctuous-Monotonic)
lemma Continuous-comp [intro]:
 \llbracket \ \textit{Continuous} \ f; \ \textit{Continuous} \ g \ \rrbracket \Longrightarrow \textit{Continuous} \ (f \circ g)
 by (simp add: Continuous-def)
```

```
Closure laws derived from continuity
```

```
lemma Sup-Continuous-closed [closure]:
  \llbracket Continuous\ H; \land i.\ i \in A \Longrightarrow P(i)\ is\ H;\ A \neq \{\}\ \rrbracket \Longrightarrow (\bigcap\ i \in A.\ P(i))\ is\ H
 by (drule ContinuousD[of H P 'A], simp add: UINF-mem-UNIV[THEN sym] USUP-as-Sup[THEN
sym)
    (metis (no-types, lifting) Healthy-def' SUP-cong image-image)
lemma UINF-mem-Continuous-closed [closure]:
  \llbracket Continuous\ H; \land i.\ i \in A \Longrightarrow P(i)\ is\ H;\ A \neq \{\}\ \rrbracket \Longrightarrow (\bigcap\ i \in A \cdot P(i))\ is\ H
 by (simp add: Sup-Continuous-closed USUP-as-Sup-collect)
lemma UINF-mem-Continuous-closed-pair [closure]:
  assumes Continuous H \land i j. (i, j) \in A \Longrightarrow P i j is H A \neq \{\}
 shows ( (i,j) \in A \cdot P \ i \ j) is H
proof -
 have ( (i,j) \in A \cdot P \ i \ j) = ( (x \in A \cdot P \ (fst \ x) \ (snd \ x))
   by (rel-auto)
 also have ... is H
   by (metis (mono-tags) UINF-mem-Continuous-closed assms(1) assms(2) assms(3) prod.collapse)
 finally show ?thesis.
lemma UINF-mem-Continuous-closed-triple [closure]:
 assumes Continuous H \land i j k. (i, j, k) \in A \Longrightarrow P i j k is H \land A \neq \{\}
  shows ( (i,j,k) \in A \cdot P \ i \ j \ k) is H
proof -
  have (\bigcap (i,j,k) \in A \cdot P \ i \ j \ k) = (\bigcap x \in A \cdot P \ (fst \ x) \ (fst \ (snd \ x)) \ (snd \ (snd \ x)))
   by (rel-auto)
  also have ... is H
   by (metis (mono-tags) UINF-mem-Continuous-closed assms(1) assms(2) assms(3) prod.collapse)
 finally show ?thesis.
qed
lemma UINF-Continuous-closed [closure]:
  \llbracket Continuous H; \bigwedge i. P(i) \text{ is } H \rrbracket \Longrightarrow (\prod i \cdot P(i)) \text{ is } H
  using UINF-mem-Continuous-closed [of H UNIV P]
 by (simp add: UINF-mem-UNIV)
All continuous functions are also Scott-continuous
lemma sup-continuous-Continuous [closure]: Continuous F \Longrightarrow sup\text{-continuous } F
 by (simp add: Continuous-def sup-continuous-def)
lemma Healthy-fixed-points [simp]: fps \mathcal{P} H = [\![H]\!]_H
  by (simp add: fps-def upred-lattice-def Healthy-def)
lemma USUP-healthy: A \subseteq \llbracket H \rrbracket_H \Longrightarrow (\bigsqcup P \in A \cdot F(P)) = (\bigsqcup P \in A \cdot F(H(P)))
  by (rule USUP-cong, simp add: Healthy-subset-member)
lemma UINF-healthy: A \subseteq \llbracket H \rrbracket_H \Longrightarrow (\bigcap P \in A \cdot F(P)) = (\bigcap P \in A \cdot F(H(P)))
  by (rule UINF-cong, simp add: Healthy-subset-member)
lemma upred-lattice-Idempotent [simp]: Idem_{\mathcal{D}} H = Idempotent H
  using upred-lattice.weak-partial-order-axioms by (auto simp add: idempotent-def Idempotent-def)
lemma upred-lattice-Monotonic [simp]: Mono_{\mathcal{P}} H = Monotonic H
```

13.4 UTP theories hierarchy

```
typedef ('\mathcal{T}, '\alpha) uthy = UNIV :: unit set by auto
```

We create a unitary parametric type to represent UTP theories. These are merely tags and contain no data other than to help the type-system resolve polymorphic definitions. The two parameters denote the name of the UTP theory – as a unique type – and the minimal alphabet that the UTP theory requires. We will then use Isabelle's ad-hoc overloading mechanism to associate theory constructs, like healthiness conditions and units, with each of these types. This will allow the type system to retrieve definitions based on a particular theory context.

```
definition uthy :: ('a, 'b) \ uthy \ \mathbf{where}
uthy = Abs\text{-}uthy \ ()

lemma uthy\text{-}eq \ [intro]:
fixes x \ y :: ('a, 'b) \ uthy
shows x = y
by (cases \ x, \ cases \ y, \ simp)

syntax
-UTHY :: \ type \Rightarrow type \Rightarrow logic \ (UTHY'(-, -'))

translations
UTHY('T, '\alpha) == CONST \ uthy :: ('T, '\alpha) \ uthy
```

We set up polymorphic constants to denote the healthiness conditions associated with a UTP theory. Unfortunately we can currently only characterise UTP theories of homogeneous relations; this is due to restrictions in the instantiation of Isabelle's polymorphic constants which apparently cannot specialise types in this way.

```
consts
```

```
utp\text{-}hcond :: ('\mathcal{T}, '\alpha) \ uthy \Rightarrow ('\alpha \times '\alpha) \ health \ (\mathcal{H}_1)
\mathbf{definition} \ utp\text{-}order :: ('\alpha \times '\alpha) \ health \Rightarrow '\alpha \ hrel \ gorder \ \mathbf{where}
utp\text{-}order \ H = \{ \ carrier = \{ P. \ P \ is \ H \}, \ eq = (op =), \ le = op \sqsubseteq \}
\mathbf{abbreviation} \ uthy\text{-}order \ \mathcal{T} \equiv utp\text{-}order \ \mathcal{H}_{\mathcal{T}}
```

Constant *utp-order* obtains the order structure associated with a UTP theory. Its carrier is the set of healthy predicates, equality is HOL equality, and the order is refinement.

```
lemma utp-order-carrier [simp]:
    carrier (utp-order H) = [[H]]_H
    by (simp add: utp-order-def)

lemma utp-order-eq [simp]:
    eq (utp-order T) = op =
    by (simp add: utp-order-def)

lemma utp-order-le [simp]:
    le (utp-order T) = op □
    by (simp add: utp-order-def)

lemma utp-partial-order: partial-order (utp-order T)
```

```
by (unfold-locales, simp-all add: utp-order-def)
lemma utp-weak-partial-order: weak-partial-order (utp-order T)
  by (unfold-locales, simp-all add: utp-order-def)
lemma mono-Monotone-utp-order:
  mono \ f \Longrightarrow Monotone \ (utp-order \ T) \ f
 apply (auto simp add: isotone-def)
 apply (metis partial-order-def utp-partial-order)
 apply (metis monoD)
done
lemma isotone-utp-orderI: Monotonic H \Longrightarrow isotone (utp-order X) (utp-order Y) H
  by (auto simp add: mono-def isotone-def utp-weak-partial-order)
lemma Mono-utp-orderI:
  \llbracket \bigwedge P \ Q. \ \llbracket \ P \sqsubseteq Q; P \ is \ H; \ Q \ is \ H \ \rrbracket \Longrightarrow F(P) \sqsubseteq F(Q) \ \rrbracket \Longrightarrow Mono_{utp-order} \ H \ F
 by (auto simp add: isotone-def utp-weak-partial-order)
The UTP order can equivalently be characterised as the fixed point lattice, fpl.
lemma utp-order-fpl: utp-order H = fpl \mathcal{P} H
 \mathbf{by}\ (\mathit{auto\ simp\ add:\ utp\text{-}order\text{-}def\ upred\text{-}lattice\text{-}def\ fps\text{-}def\ Healthy\text{-}def})
definition uth-eq :: (T_1, \alpha) uthy \Rightarrow (T_2, \alpha) uthy \Rightarrow bool (infix \approx_T 50) where
T_1 \approx_T T_2 \longleftrightarrow \llbracket \mathcal{H}_{T_1} \rrbracket_H = \llbracket \mathcal{H}_{T_2} \rrbracket_H
lemma uth-eq-refl: T \approx_T T
  by (simp add: uth-eq-def)
lemma uth-eq-sym: T_1 \approx_T T_2 \longleftrightarrow T_2 \approx_T T_1
 by (auto simp add: uth-eq-def)
lemma uth-eq-trans: [T_1 \approx_T T_2; T_2 \approx_T T_3] \implies T_1 \approx_T T_3
  by (auto simp add: uth-eq-def)
definition uthy-plus :: (T_1, \alpha) uthy \Rightarrow (T_2, \alpha) uthy \Rightarrow (T_1 \times T_2, \alpha) uthy (infixl + 65) where
uthy-plus T_1 T_2 = uthy
overloading
 prod-hcond == utp-hcond :: (T_1 \times T_2, \alpha) uthy \Rightarrow (\alpha \times \alpha) health
begin
The healthiness condition of a relation is simply identity, since every alphabetised relation is
```

The healthiness condition of a relation is simply identity, since every alphabetised relation is healthy.

```
definition prod-hcond :: ('T_1 \times {}'T_2, '\alpha) uthy \Rightarrow ('\alpha \times {}'\alpha) upred \Rightarrow ('\alpha \times {}'\alpha) upred where prod-hcond T = \mathcal{H}_{UTHY('T_1, '\alpha)} \circ \mathcal{H}_{UTHY('T_2, '\alpha)}
```

end

13.5 UTP theory hierarchy

We next define a hierarchy of locales that characterise different classes of UTP theory. Minimally we require that a UTP theory's healthiness condition is idempotent.

```
locale utp-theory =
```

```
fixes \mathcal{T} :: ('\mathcal{T}, '\alpha) \text{ } uthy \text{ } (structure)
  assumes HCond\text{-}Idem: \mathcal{H}(\mathcal{H}(P)) = \mathcal{H}(P)
begin
  lemma uthy-simp:
    uthy = \mathcal{T}
   by blast
A UTP theory fixes \mathcal{T}, the structural element denoting the UTP theory. All constants associated
with UTP theories can then be resolved by the type system.
 lemma HCond-Idempotent [closure,intro]: Idempotent \mathcal{H}
   by (simp add: Idempotent-def HCond-Idem)
 sublocale partial-order uthy-order \mathcal{T}
   by (unfold-locales, simp-all add: utp-order-def)
end
Theory summation is commutative provided the healthiness conditions commute.
lemma uthy-plus-comm:
  \begin{array}{l} \textbf{assumes} \ \mathcal{H}_{T_1} \circ \mathcal{H}_{T_2} = \mathcal{H}_{T_2} \circ \mathcal{H}_{T_1} \\ \textbf{shows} \ T_1 +_T \ T_2 \approx_T \ T_2 +_T \ T_1 \end{array} 
proof -
  have T_1 = uthy T_2 = uthy
   by blast+
  thus ?thesis
    using assms by (simp add: uth-eq-def prod-hcond-def)
qed
lemma uthy-plus-assoc: T_1 +_T (T_2 +_T T_3) \approx_T (T_1 +_T T_2) +_T T_3
 by (simp add: uth-eq-def prod-hcond-def comp-def)
lemma uthy-plus-idem: utp-theory T \Longrightarrow T +_T T \approx_T T
  by (simp add: uth-eq-def prod-hoond-def Healthy-def utp-theory. HCond-Idem utp-theory. uthy-simp)
locale utp-theory-lattice = utp-theory \mathcal{T} + complete-lattice uthy-order \mathcal{T} for \mathcal{T} :: ('\mathcal{T}, '\alpha) uthy (structure)
The healthiness conditions of a UTP theory lattice form a complete lattice, and allows us to
make use of complete lattice results from HOL-Algebra, such as the Knaster-Tarski theorem.
We can also retrieve lattice operators as below.
abbreviation utp-top (\top_1)
where utp-top \mathcal{T} \equiv top \ (uthy-order \mathcal{T})
abbreviation utp-bottom (\perp_1)
where utp-bottom \mathcal{T} \equiv bottom \ (uthy-order \mathcal{T})
abbreviation utp-join (infixl \sqcup 1 65) where
utp-join \mathcal{T} \equiv join (uthy-order \mathcal{T})
abbreviation utp-meet (infixl \sqcap 1 ? \theta) where
utp\text{-}meet \ \mathcal{T} \equiv meet \ (uthy\text{-}order \ \mathcal{T})
abbreviation utp-sup ([] 1- [90] 90) where
utp-sup \mathcal{T} \equiv Lattice.sup (uthy-order <math>\mathcal{T})
abbreviation utp-inf (\bigcap_{1}- [90] 90) where
```

```
utp-inf \mathcal{T} \equiv Lattice.inf (uthy-order \mathcal{T})
abbreviation utp-gfp (\nu_1) where
utp-gfp \mathcal{T} \equiv GFP (uthy-order \mathcal{T})
abbreviation utp-lfp (\mu_1) where
utp-lfp \mathcal{T} \equiv LFP (uthy-order \mathcal{T})
syntax
  -tmu :: logic \Rightarrow pttrn \Rightarrow logic \Rightarrow logic (\mu_1 - \cdot - [0, 10] 10)
  -tnu :: logic \Rightarrow pttrn \Rightarrow logic \Rightarrow logic (\nu_1 - \cdot - [0, 10] 10)
notation gfp(\mu)
notation lfp (\nu)
translations
  \nu_T X \cdot P == CONST \ utp-lfp \ T \ (\lambda \ X. \ P)
  \mu_T X \cdot P == CONST \ utp-gfp \ T \ (\lambda \ X. \ P)
lemma upred-lattice-inf:
  Lattice.inf \mathcal{P} A = \prod A
 \textbf{by} \ (\textit{metis Sup-least Sup-upper UNIV-I antisym-conv subset I upred-lattice.weak.inf-greatest \ upred-lattice.weak.inf-lower)}
upred-lattice-carrier upred-lattice-le)
We can then derive a number of properties about these operators, as below.
{\bf context}\ utp\text{-}theory\text{-}lattice
begin
  lemma LFP-healthy-comp: \mu F = \mu (F \circ \mathcal{H})
  proof -
    have \{P. (P \text{ is } \mathcal{H}) \land F P \sqsubseteq P\} = \{P. (P \text{ is } \mathcal{H}) \land F (\mathcal{H} P) \sqsubseteq P\}
      by (auto simp add: Healthy-def)
    thus ?thesis
      by (simp add: LFP-def)
  qed
  lemma GFP-healthy-comp: \nu F = \nu (F \circ \mathcal{H})
  proof -
    have \{P. (P \text{ is } \mathcal{H}) \land P \sqsubseteq F P\} = \{P. (P \text{ is } \mathcal{H}) \land P \sqsubseteq F (\mathcal{H} P)\}
      by (auto simp add: Healthy-def)
    thus ?thesis
      by (simp add: GFP-def)
  qed
  lemma top-healthy [closure]: \top is \mathcal{H}
    using weak.top-closed by auto
  lemma bottom-healthy [closure]: \perp is \mathcal{H}
    using weak.bottom-closed by auto
  lemma utp-top: P is \mathcal{H} \Longrightarrow P \sqsubseteq \top
    using weak.top-higher by auto
  lemma utp-bottom: P is \mathcal{H} \Longrightarrow \bot \sqsubseteq P
    using weak.bottom-lower by auto
```

end

```
lemma upred-top: \top_{\mathcal{P}} = false

using ball-UNIV greatest-def by fastforce

lemma upred-bottom: \bot_{\mathcal{P}} = true

by fastforce
```

One way of obtaining a complete lattice is showing that the healthiness conditions are monotone, which the below locale characterises.

```
locale utp-theory-mono = utp-theory + assumes HCond-Mono [closure,intro]: Monotonic \mathcal{H} sublocale utp-theory-mono \subseteq utp-theory-lattice proof -
```

We can then use the Knaster-Tarski theorem to obtain a complete lattice, and thus provide all the usual properties.

```
interpret weak-complete-lattice fpl P H
   by (rule Knaster-Tarski, auto simp add: upred-lattice.weak.weak-complete-lattice-axioms)
have complete-lattice (fpl P H)
   by (unfold-locales, simp add: fps-def sup-exists, (blast intro: sup-exists inf-exists)+)
hence complete-lattice (uthy-order T)
   by (simp add: utp-order-def, simp add: upred-lattice-def)

thus utp-theory-lattice T
   by (simp add: utp-theory-axioms utp-theory-lattice-def)
qed
context utp-theory-mono
begin
```

In a monotone theory, the top and bottom can always be obtained by applying the healthiness condition to the predicate top and bottom, respectively.

```
lemma healthy-top: \top = \mathcal{H}(false)

proof —

have \top = \top_{fpl} \mathcal{P} \mathcal{H}

by (simp \ add: \ utp\text{-}order\text{-}fpl)

also have ... = \mathcal{H} \top_{\mathcal{P}}

using Knaster\text{-}Tarski\text{-}idem\text{-}extremes(1)[of \ \mathcal{P} \ \mathcal{H}]

by (simp \ add: \ HCond\text{-}Idempotent \ HCond\text{-}Mono)

also have ... = \mathcal{H} \ false

by (simp \ add: \ upred\text{-}top)

finally show ?thesis.

qed

lemma healthy\text{-}bottom: \bot = \mathcal{H}(true)

proof —

have \bot = \bot_{fpl} \ \mathcal{P} \ \mathcal{H}

by (simp \ add: \ utp\text{-}order\text{-}fpl)

also have ... = \mathcal{H} \ \bot_{\mathcal{P}}
```

```
using Knaster-Tarski-idem-extremes(2)[of \mathcal{P} \mathcal{H}]
   by (simp add: HCond-Idempotent HCond-Mono)
  also have ... = \mathcal{H} true
   by (simp add: upred-bottom)
  finally show ?thesis.
qed
lemma healthy-inf:
 assumes A \subseteq [\![\mathcal{H}]\!]_H
 shows \prod A = \mathcal{H} (\prod A)
proof -
  have 1: weak-complete-lattice (uthy-order \mathcal{T})
   by (simp add: weak.weak-complete-lattice-axioms)
 have 2: Mono_{uthy-order} \tau \mathcal{H}
   by (simp add: HCond-Mono isotone-utp-orderI)
  have 3: Idem_{uthy-order} \tau \mathcal{H}
   by (simp add: HCond-Idem idempotent-def)
  show ?thesis
   using Knaster-Tarski-idem-inf-eq[OF upred-weak-complete-lattice, of \mathcal{H}]
     by (simp, metis HCond-Idempotent HCond-Mono assms partial-object.simps(3) upred-lattice-def
upred-lattice-inf utp-order-def)
qed
end
locale \ utp-theory-continuous = utp-theory +
 assumes HCond-Cont [closure, intro]: Continuous \mathcal{H}
\mathbf{sublocale}\ utp\text{-}theory\text{-}continuous \subseteq utp\text{-}theory\text{-}mono
proof
 show Monotonic \mathcal{H}
   by (simp add: Continuous-Monotonic HCond-Cont)
qed
context utp-theory-continuous
begin
 lemma healthy-inf-cont:
   assumes A \subseteq [\![\mathcal{H}]\!]_H \ A \neq \{\}
   shows \prod A = \prod A
  proof -
   have \prod A = \prod (\mathcal{H}'A)
     using Continuous-def HCond-Cont assms(1) assms(2) healthy-inf by auto
   also have ... = \prod A
     \mathbf{by}\ (\mathit{unfold}\ \mathit{Healthy\text{-}carrier\text{-}image}[\mathit{OF}\ \mathit{assms}(1)],\ \mathit{simp})
   finally show ?thesis.
  qed
  lemma healthy-inf-def:
   assumes A \subseteq [\![\mathcal{H}]\!]_H
   shows \bigcap A = (if (A = \{\}) then \top else (\bigcap A))
   using assms healthy-inf-cont weak.weak-inf-empty by auto
  lemma healthy-meet-cont:
   assumes P is \mathcal{H} Q is \mathcal{H}
```

```
shows P \sqcap Q = P \sqcap Q
    using healthy-inf-cont[of \{P, Q\}] assms
    by (simp add: Healthy-if meet-def)
  lemma meet-is-healthy [closure]:
    assumes P is \mathcal{H} Q is \mathcal{H}
    shows P \sqcap Q is \mathcal{H}
    by (metis Continuous-Disjunctous Disjunctuous-def HCond-Cont Healthy-def' assms(1) assms(2))
  lemma meet-bottom [simp]:
    assumes P is \mathcal{H}
    shows P \sqcap \bot = \bot
      by (simp add: assms semilattice-sup-class.sup-absorb2 utp-bottom)
 lemma meet-top [simp]:
    assumes P is H
    shows P \sqcap T = P
     by (simp add: assms semilattice-sup-class.sup-absorb1 utp-top)
The UTP theory lfp operator can be rewritten to the alphabetised predicate lfp when in a
continuous context.
  theorem utp-lfp-def:
    assumes Monotonic F F \in [\![\mathcal{H}]\!]_H \to [\![\mathcal{H}]\!]_H
    shows \mu F = (\mu X \cdot F(\mathcal{H}(X)))
  proof (rule antisym)
    have ne: \{P. (P \text{ is } \mathcal{H}) \land F P \sqsubseteq P\} \neq \{\}
    proof -
      have F \top \sqsubseteq \top
        using assms(2) utp-top weak.top-closed by force
      thus ?thesis
        by (auto, rule-tac x=T in exI, auto simp add: top-healthy)
    qed
    show \mu F \sqsubseteq (\mu X \cdot F (\mathcal{H} X))
    proof -
      have \bigcap \{P. (P \text{ is } \mathcal{H}) \land F(P) \sqsubseteq P\} \sqsubseteq \bigcap \{P. F(\mathcal{H}(P)) \sqsubseteq P\}
     proof
        have 1: \bigwedge P. F(\mathcal{H}(P)) = \mathcal{H}(F(\mathcal{H}(P)))
          by (metis HCond-Idem Healthy-def assms(2) funcset-mem mem-Collect-eq)
       show ?thesis
        proof (rule Sup-least, auto)
          \mathbf{fix} P
          assume a: F(\mathcal{H} P) \sqsubseteq P
          hence F: (F (\mathcal{H} P)) \sqsubseteq (\mathcal{H} P)
            by (metis 1 HCond-Mono mono-def)
          show \bigcap \{P. (P \text{ is } \mathcal{H}) \land F P \sqsubseteq P\} \sqsubseteq P
          proof (rule Sup-upper2[of F (\mathcal{H} P)])
           show F(\mathcal{H} P) \in \{P. (P \text{ is } \mathcal{H}) \land F P \sqsubseteq P\}
           proof (auto)
              show F(\mathcal{H} P) is \mathcal{H}
                by (metis 1 Healthy-def)
              show F(F(\mathcal{H} P)) \sqsubseteq F(\mathcal{H} P)
                using F mono-def assms(1) by blast
            ged
            show F(\mathcal{H} P) \sqsubseteq P
              by (simp \ add: \ a)
```

```
qed qed qed qed with ne show ?thesis by (simp add: LFP-def gfp-def, subst healthy-inf-cont, auto simp add: lfp-def) qed from ne show (\mu X · F (\mathcal{H} X)) \sqsubseteq \mu F apply (simp add: LFP-def gfp-def, subst healthy-inf-cont, auto simp add: lfp-def) apply (rule Sup-least) apply (auto simp add: Healthy-def Sup-upper) done qed
```

end

In another direction, we can also characterise UTP theories that are relational. Minimally this requires that the healthiness condition is closed under sequential composition.

```
locale utp-theory-rel =
 utp-theory +
 assumes Healthy-Sequence [closure]: \llbracket P \text{ is } \mathcal{H}; Q \text{ is } \mathcal{H} \rrbracket \Longrightarrow (P ;; Q) \text{ is } \mathcal{H}
locale \ utp-theory-cont-rel = utp-theory-continuous + utp-theory-rel
begin
 \mathbf{lemma}\ seq\text{-}cont\text{-}Sup\text{-}distl:
   assumes P is \mathcal{H} A \subseteq [\![\mathcal{H}]\!]_H A \neq \{\}
   have \{P : Q \mid Q \in A \} \subseteq [\mathcal{H}]_H
     using Healthy-Sequence assms(1) assms(2) by (auto)
   thus ?thesis
     by (simp add: healthy-inf-cont seq-Sup-distl setcompr-eq-image assms)
 qed
 lemma seq-cont-Sup-distr:
   assumes Q is \mathcal{H} A \subseteq [\![\mathcal{H}]\!]_H A \neq \{\}
   proof -
   have \{P :: Q \mid P. P \in A \} \subseteq [\mathcal{H}]_H
     using Healthy-Sequence assms(1) assms(2) by (auto)
   thus ?thesis
     by (simp add: healthy-inf-cont seq-Sup-distr setcompr-eq-image assms)
 qed
```

end

There also exist UTP theories with units, and the following operator is a theory specific operator for them.

```
consts
utp\text{-}unit :: ('\mathcal{T}, '\alpha) \ uthy \Rightarrow '\alpha \ hrel (\mathcal{II}_1)
```

Not all theories have both a left and a right unit (e.g. H1-H2 designs) and so we split up the locale into two cases.

 $locale \ utp-theory-left-unital =$

```
utp-theory-rel +
  assumes Healthy-Left-Unit [closure]: II is H
 and Left-Unit: P is \mathcal{H} \Longrightarrow (\mathcal{II};; P) = P
locale \ utp-theory-right-unital =
  utp-theory-rel +
  assumes Healthy-Right-Unit [closure]: II is H
  and Right-Unit: P is \mathcal{H} \Longrightarrow (P ;; \mathcal{II}) = P
locale utp-theory-unital =
  utp-theory-rel +
  assumes Healthy-Unit\ [closure]: II\ is\ \mathcal{H}
 and Unit-Left: P is \mathcal{H} \Longrightarrow (\mathcal{II} ;; P) = P
 and Unit-Right: P is \mathcal{H} \Longrightarrow (P ;; \mathcal{II}) = P
locale \ utp-theory-mono-unital = utp-theory-mono + utp-theory-unital
definition utp-star (-\star1 [999] 999) where
utp\text{-star } \mathcal{T} P = (\nu_{\mathcal{T}} (\lambda X. (P ;; X) \sqcap_{\mathcal{T}} \mathcal{II}_{\mathcal{T}}))
definition utp-omega (-\omega_1 [999] 999) where
utp\text{-}omega \ \mathcal{T} \ P = (\mu_{\mathcal{T}} \ (\lambda \ X. \ (P \ ;; \ X)))
{f locale}\ utp\mbox{-}pre\mbox{-}left\mbox{-}quantale = utp\mbox{-}theory\mbox{-}continuous + utp\mbox{-}theory\mbox{-}left\mbox{-}unital
begin
 lemma star-healthy [closure]: P \star is \mathcal{H}
    by (metis mem-Collect-eq utp-order-carrier utp-star-def weak. GFP-closed)
  lemma star-unfold: P is \mathcal{H} \Longrightarrow P \star = (P; P \star) \sqcap \mathcal{II}
    apply (simp add: utp-star-def healthy-meet-cont)
    apply (subst GFP-unfold)
    apply (rule Mono-utp-orderI)
    apply (simp add: healthy-meet-cont closure semilattice-sup-class.le-supI1 segr-mono)
    apply (auto intro: funcsetI)
    apply (simp add: Healthy-Left-Unit Healthy-Sequence healthy-meet-cont meet-is-healthy)
    using Healthy-Left-Unit Healthy-Sequence healthy-meet-cont weak. GFP-closed apply auto
  done
end
\mathbf{sublocale}\ utp\text{-}theory\text{-}unital \subseteq utp\text{-}theory\text{-}left\text{-}unital
 by (simp add: Healthy-Unit Unit-Left Healthy-Sequence utp-theory-rel-def utp-theory-axioms utp-theory-rel-axioms-def
utp-theory-left-unital-axioms-def utp-theory-left-unital-def)
\mathbf{sublocale}\ utp\text{-}theory\text{-}unital\subseteq utp\text{-}theory\text{-}right\text{-}unital
 by (simp add: Healthy-Unit Unit-Right Healthy-Sequence utp-theory-rel-def utp-theory-axioms utp-theory-rel-axioms-def
utp-theory-right-unital-axioms-def utp-theory-right-unital-def)
          Theory of relations
13.6
We can exemplify the creation of a UTP theory with the theory of relations, a trivial theory.
```

```
typedecl REL
abbreviation REL \equiv UTHY(REL, '\alpha)
```

We declare the type REL to be the tag for this theory. We need know nothing about this type (other than it's non-empty), since it is merely a name. We also create the corresponding constant to refer to the theory. Then we can use it to instantiate the relevant polymorphic constants.

overloading

```
rel\text{-}hcond == utp\text{-}hcond :: (REL, 'lpha) \ uthy \Rightarrow ('lpha \times 'lpha) \ health \ rel\text{-}unit == utp\text{-}unit :: (REL, 'lpha) \ uthy \Rightarrow 'lpha \ hrel \ begin
```

The healthiness condition of a relation is simply identity, since every alphabetised relation is healthy.

```
definition rel-hcond :: (REL, '\alpha) uthy \Rightarrow ('\alpha \times '\alpha) upred \Rightarrow ('\alpha \times '\alpha) upred where rel-hcond T=id
```

The unit of the theory is simply the relational unit.

```
definition rel-unit :: (REL, '\alpha) uthy \Rightarrow '\alpha hrel where rel-unit T = II end
```

Finally we can show that relations are a monotone and unital theory using a locale interpretation, which requires that we prove all the relevant properties. It's convenient to rewrite some of the theorems so that the provisos are more UTP like; e.g. that the carrier is the set of healthy predicates.

```
interpretation rel-theory: utp-theory-mono-unital REL rewrites carrier (uthy-order REL) = [id]_H by (unfold-locales, simp-all add: rel-hcond-def rel-unit-def Healthy-def)
```

We can then, for instance, determine what the top and bottom of our new theory is.

```
lemma REL-top: \top_{REL} = false
by (simp add: rel-theory.healthy-top, simp add: rel-hcond-def)
lemma REL-bottom: \bot_{REL} = true
by (simp add: rel-theory.healthy-bottom, simp add: rel-hcond-def)
```

A number of theorems have been exported, such at the fixed point unfolding laws.

thm rel-theory. GFP-unfold

13.7 Theory links

We can also describe links between theories, such a Galois connections and retractions, using the following notation.

```
definition mk\text{-}conn\ (- \Leftarrow \langle -, - \rangle \Rightarrow - [90, 0, 0, 91]\ 91) where H1 \Leftarrow \langle \mathcal{H}_1, \mathcal{H}_2 \rangle \Rightarrow H2 \equiv (] \ orderA = utp\text{-}order\ H1, \ orderB = utp\text{-}order\ H2, \ lower = \mathcal{H}_2, \ upper = \mathcal{H}_1 \ ) abbreviation mk\text{-}conn'\ (- \Leftarrow \langle -, - \rangle \rightarrow - [90, 0, 0, 91]\ 91) where T1 \leftarrow \langle \mathcal{H}_1, \mathcal{H}_2 \rangle \rightarrow T2 \equiv \mathcal{H}_{T1} \Leftarrow \langle \mathcal{H}_1, \mathcal{H}_2 \rangle \Rightarrow \mathcal{H}_{T2} lemma mk\text{-}conn\text{-}orderA\ [simp]:\ \mathcal{X}_{H1} \Leftarrow \langle \mathcal{H}_1, \mathcal{H}_2 \rangle \Rightarrow H2 = utp\text{-}order\ H1 by (simp\ add: mk\text{-}conn\text{-}def) lemma mk\text{-}conn\text{-}orderB\ [simp]:\ \mathcal{Y}_{H1} \Leftarrow \langle \mathcal{H}_1, \mathcal{H}_2 \rangle \Rightarrow H2 = utp\text{-}order\ H2 by (simp\ add: mk\text{-}conn\text{-}def)
```

```
lemma mk-conn-lower [simp]: \pi_{*H1} \Leftarrow \langle \mathcal{H}_1, \mathcal{H}_2 \rangle \Rightarrow H2 = \mathcal{H}_1
  by (simp add: mk-conn-def)
lemma mk-conn-upper [simp]: \pi^*_{H1} \Leftarrow \langle \mathcal{H}_1, \mathcal{H}_2 \rangle \Rightarrow H2 = \mathcal{H}_2
  by (simp \ add: \ mk\text{-}conn\text{-}def)
lemma galois-comp: (H_2 \Leftarrow \langle \mathcal{H}_3, \mathcal{H}_4 \rangle \Rightarrow H_3) \circ_g (H_1 \Leftarrow \langle \mathcal{H}_1, \mathcal{H}_2 \rangle \Rightarrow H_2) = H_1 \Leftarrow \langle \mathcal{H}_1 \circ \mathcal{H}_3, \mathcal{H}_4 \circ \mathcal{H}_2 \rangle \Rightarrow H_3
  by (simp add: comp-galcon-def mk-conn-def)
Example Galois connection / retract: Existential quantification
lemma Idempotent-ex: mwb-lens x \Longrightarrow Idempotent (ex x)
  by (simp add: Idempotent-def exists-twice)
lemma Monotonic-ex: mwb-lens x \Longrightarrow Monotonic (ex x)
  by (simp add: mono-def ex-mono)
lemma ex-closed-unrest:
  vwb-lens x \Longrightarrow \llbracket ex \ x \rrbracket_H = \{P. \ x \ \sharp \ P\}
  \mathbf{by}\ (simp\ add\colon Healthy\text{-}def\ unrest\text{-}as\text{-}exists)
Any theory can be composed with an existential quantification to produce a Galois connection
theorem ex-retract:
  assumes vwb-lens x Idempotent H ex x \circ H = H \circ ex x
  shows retract ((ex \ x \circ H) \Leftarrow \langle ex \ x, \ H \rangle \Rightarrow H)
proof (unfold-locales, simp-all)
  show H \in \llbracket ex \ x \circ H \rrbracket_H \to \llbracket H \rrbracket_H
    using Healthy-Idempotent assms by blast
  from assms(1) assms(3)[THEN sym] show ex \ x \in [\![H]\!]_H \to [\![ex \ x \circ H]\!]_H
    by (simp add: Pi-iff Healthy-def fun-eq-iff exists-twice)
  \mathbf{fix} \ P \ Q
  assume P is (ex \ x \circ H) \ Q is H
  thus (H P \sqsubseteq Q) = (P \sqsubseteq (\exists x \cdot Q))
   \mathbf{by}\ (\textit{metis}\ (\textit{no-types}, \textit{lifting})\ \textit{Healthy-Idempotent}\ \textit{Healthy-if}\ assms\ \textit{comp-apply}\ \textit{dual-order}. \textit{trans}\ \textit{ex-weakens}
utp-pred.ex-mono vwb-lens-wb)
next
  \mathbf{fix} P
  assume P is (ex x \circ H)
  thus (\exists x \cdot H P) \sqsubseteq P
    by (simp add: Healthy-def)
qed
corollary ex-retract-id:
  assumes vwb-lens x
  shows retract (ex \ x \Leftarrow \langle ex \ x, \ id \rangle \Rightarrow id)
  using assms\ ex\text{-}retract[\mathbf{where}\ H=id]\ \mathbf{by}\ (auto)
end
          Concurrent programming
14
theory utp-concurrency
  imports
```

utp-rel utp-tactics

14.1 Variable renamings

In parallel-by-merge constructions, a merge predicate defines the behaviour following execution of of parallel processes, P —— Q, as a relation that merges the output of P and Q. In order to achieve this we need to separate the variable values output from P and Q, and in addition the variable values before execution. The following three constructs do these separations.

```
definition [upred-defs]: left-uvar x = x;<sub>L</sub> fst<sub>L</sub>;<sub>L</sub> snd<sub>L</sub>
definition [upred-defs]: right-uvar x = x;<sub>L</sub> snd_L;<sub>L</sub> snd_L
definition [upred-defs]: pre-uvar x = x;<sub>L</sub> fst<sub>L</sub>
lemma left-uvar-indep-right-uvar [simp]:
  left-uvar x \bowtie right-uvar y
  by (simp add: left-uvar-def right-uvar-def lens-comp-assoc[THEN sym])
lemma left-uvar-indep-pre-uvar [simp]:
  left-uvar x \bowtie pre-uvar y
  by (simp add: left-uvar-def pre-uvar-def)
lemma left-uvar-indep-left-uvar [simp]:
  x \bowtie y \Longrightarrow left\text{-}uvar \ x \bowtie left\text{-}uvar \ y
  by (simp add: left-uvar-def)
lemma right-uvar-indep-left-uvar [simp]:
  right-uvar x \bowtie left-uvar y
  by (simp add: lens-indep-sym)
lemma right-uvar-indep-pre-uvar [simp]:
  right-uvar x \bowtie pre-uvar y
  by (simp add: right-uvar-def pre-uvar-def)
lemma right-uvar-indep-right-uvar [simp]:
  x \bowtie y \Longrightarrow right\text{-}uvar \ x \bowtie right\text{-}uvar \ y
 by (simp add: right-uvar-def)
lemma pre-uvar-indep-left-uvar [simp]:
  pre-uvar x \bowtie left-uvar y
  by (simp add: lens-indep-sym)
lemma pre-uvar-indep-right-uvar [simp]:
  pre-uvar \ x \bowtie right-uvar \ y
  by (simp add: lens-indep-sym)
lemma pre-uvar-indep-pre-uvar [simp]:
  x \bowtie y \Longrightarrow pre\text{-}uvar \ x \bowtie pre\text{-}uvar \ y
  by (simp add: pre-uvar-def)
lemma left-uvar [simp]: vwb-lens x \implies vwb-lens (left-uvar x)
  by (simp add: left-uvar-def)
lemma right-uvar [simp]: vwb-lens x \implies vwb-lens (right-uvar x)
```

```
by (simp add: right-uvar-def)
lemma pre-uvar [simp]: vwb-lens x \implies vwb-lens (pre-uvar x)
 by (simp add: pre-uvar-def)
lemma left-uvar-mwb [simp]: mwb-lens x \Longrightarrow mwb-lens (left-uvar x)
 by (simp add: left-uvar-def)
lemma right-uvar-mwb [simp]: mwb-lens x \Longrightarrow mwb-lens (right-uvar x)
  by (simp add: right-uvar-def)
lemma pre-uvar-mwb [simp]: mwb-lens x \Longrightarrow mwb-lens (pre-uvar x)
  by (simp add: pre-uvar-def)
syntax
  -svarpre :: svid \Rightarrow svid (-\langle [999] 999)
  -svarleft :: svid \Rightarrow svid (0--[999] 999)
  -svarright :: svid \Rightarrow svid (1--[999] 999)
translations
  -svarpre \ x == CONST \ pre-uvar \ x
  -svarleft \ x == CONST \ left-uvar \ x
  -svarright \ x == CONST \ right-uvar \ x
  -svarpre \Sigma <= CONST pre-uvar 1<sub>L</sub>
  -svarleft \Sigma <= CONST left-uvar 1<sub>L</sub>
  -svarright \Sigma <= CONST \ right-uvar 1_L
```

14.2 Merge predicates

A merge is then a relation whose input has three parts: the prior variables, the output variables of the left predicate, and the output of the right predicate.

```
type-synonym '\alpha merge = ('\alpha \times ('\alpha \times '\alpha), '\alpha) rel
```

skip is the merge predicate which ignores the output of both parallel predicates

```
definition skip_m :: '\alpha \ merge \ \mathbf{where} [upred-defs]: skip_m = (\$\Sigma' =_u \$\Sigma_{\leq})
```

swap is a predicate that the swaps the left and right indices; it is used to specify commutativity of the parallel operator

— TODO: There is an ambiguity below due to list assignment and tuples.

```
definition swap_m :: ('\alpha \times '\beta \times '\beta, '\alpha \times '\beta \times '\beta) \text{ rel where} [upred\text{-}defs]: swap_m = (\theta - \Sigma, 1 - \Sigma) := \& 1 - \Sigma, \& \theta - \Sigma)
```

The following healthiness condition on merges is used to represent commutativity

```
abbreviation SymMerge :: '\alpha merge \Rightarrow '\alpha merge where SymMerge(M) \equiv (swap_m \; ; ; \; M)
```

14.3 Separating simulations

U0 and U1 are relations that index all input variables x to 0-x and 1-x, respectively.

```
definition [upred-defs]: U\theta = (\$\theta - \Sigma' =_u \$\Sigma)
```

```
As shown below, separating simulations can also be expressed using the following two alphabet
extrusions
definition U0\alpha where [upred-defs]: U0\alpha = (1_L \times_L out\text{-}var fst_L)
definition U1\alpha where [upred-defs]: U1\alpha = (1_L \times_L out\text{-}var snd_L)
abbreviation U0-alpha-lift ([-]_0) where [P]_0 \equiv P \oplus_p U0\alpha
abbreviation U1-alpha-lift (\lceil - \rceil_1) where \lceil P \rceil_1 \equiv P \oplus_p U1\alpha
lemma U0-swap: (U0 ;; swap_m) = U1
 by (rel-auto)+
lemma U1-swap: (U1 ;; swap_m) = U0
 by (rel-auto)
We can equivalently express separating simulations using alphabet extrusion
lemma U0-as-alpha: (P ;; U0) = \lceil P \rceil_0
  by (rel-auto)
lemma U1-as-alpha: (P ;; U1) = \lceil P \rceil_1
  by (rel-auto)
lemma U0\alpha-vwb-lens [simp]: vwb-lens U0\alpha
  by (simp add: U0\alpha-def id-vwb-lens prod-vwb-lens)
lemma U1\alpha-vwb-lens [simp]: vwb-lens U1\alpha
  by (simp add: U1\alpha-def id-vwb-lens prod-vwb-lens)
lemma U\theta\alpha-indep-right-uvar [simp]: vwb-lens x \Longrightarrow U\theta\alpha \bowtie out-var (right-uvar x)
  by (force intro: plus-pres-lens-indep fst-snd-lens-indep lens-indep-left-comp
            simp\ add:\ U0\alpha-def right-uvar-def out-var-def prod-as-plus lens-comp-assoc[THEN sym])
lemma U1\alpha-indep-left-uvar [simp]: vwb-lens x \Longrightarrow U1\alpha \bowtie out-var (left-uvar x)
  by (force intro: plus-pres-lens-indep fst-snd-lens-indep lens-indep-left-comp
            simp\ add: U1\alpha-def left-uvar-def out-var-def prod-as-plus lens-comp-assoc[THEN sym])
lemma U0-alpha-lift-bool-subst [usubst]:
 \sigma(\$0-x'\mapsto_s true) \dagger \lceil P \rceil_0 = \sigma \dagger \lceil P[true/\$x'] \rceil_0
 \sigma(\$0-x'\mapsto_s false) \dagger \lceil P \rceil_0 = \sigma \dagger \lceil P \llbracket false/\$x' \rrbracket \rceil_0
 by (pred-auto+)
lemma U1-alpha-lift-bool-subst [usubst]:
 \sigma(\$1-x'\mapsto_s true) \dagger \lceil P \rceil_1 = \sigma \dagger \lceil P \llbracket true/\$x' \rrbracket \rceil_1
 \sigma(\$1-x'\mapsto_s \mathit{false}) \dagger \lceil P \rceil_1 = \sigma \dagger \lceil P[\mathit{false}/\$x'] \rceil_1
 by (pred-auto+)
lemma U\theta-alpha-out-var [alpha]: \lceil x \rceil_0 = \theta - x \rceil
  by (rel-auto)
lemma U1-alpha-out-var [alpha]: [\$x']_1 = \$1-x'
  by (rel-auto)
```

definition [upred-defs]: $U1 = (\$1 - \Sigma' =_u \$\Sigma)$

```
lemma U0-skip [alpha]: [II]_0 = (\$0-\Sigma' =_u \$\Sigma)
 by (rel-auto)
lemma U1-skip [alpha]: [II]_1 = (\$1-\Sigma' =_u \$\Sigma)
 by (rel-auto)
lemma U0-seqr [alpha]: [P ;; Q]_0 = P ;; [Q]_0
 by (rel-auto)
lemma U1-seqr [alpha]: [P ;; Q]_1 = P ;; [Q]_1
 by (rel-auto)
lemma U0\alpha-comp-in-var [alpha]: (in-var x); U0\alpha = in-var x
  by (simp add: U0\alpha-def alpha-in-var in-var-prod-lens pre-uvar-def)
lemma U0\alpha-comp-out-var [alpha]: (out-var x) ;<sub>L</sub> U0\alpha = out-var (left-uvar x)
 by (simp add: U0\alpha-def alpha-out-var id-wb-lens left-uvar-def out-var-prod-lens)
lemma U1\alpha-comp-in-var [alpha]: (in-var x);<sub>L</sub> U1\alpha = in-var x
 by (simp add: U1\alpha-def alpha-in-var in-var-prod-lens pre-uvar-def)
lemma U1\alpha-comp-out-var [alpha]: (out-var x) ;<sub>L</sub> U1\alpha = out-var (right-uvar x)
 by (simp add: U1\alpha-def alpha-out-var id-wb-lens right-uvar-def out-var-prod-lens)
```

14.4 Parallel operators

We implement the following useful abbreviation for separating of two parallel processes and copying of the before variables, all to act as input to the merge predicate.

```
abbreviation par-sep (infixl \parallel_s 85) where P \parallel_s Q \equiv (P ;; U0) \land (Q ;; U1) \land \$\Sigma_{<}' =_u \$\Sigma
```

The following implementation of parallel by merge is less general than the book version, in that it does not properly partition the alphabet into two disjoint segments. We could actually achieve this specifying lenses into the larger alphabet, but this would complicate the definition of programs. May reconsider later.

```
 \begin{array}{l} \textbf{definition} \ par-by\text{-}merge \ \ (-\parallel_- - [85,0,86] \ 85) \\ \textbf{where} \ [upred\text{-}defs] \colon P \parallel_M Q = (P \parallel_s Q \ ;; \ M) \\ \\ \textbf{lemma} \ par-by\text{-}merge\text{-}alt\text{-}def \colon P \parallel_M Q = (\lceil P \rceil_0 \wedge \lceil Q \rceil_1 \wedge \$\Sigma_{<} \ ' =_u \$\Sigma) \ ;; \ M \\ \textbf{by} \ (simp \ add \colon par\text{-}by\text{-}merge\text{-}def \ U0\text{-}as\text{-}alpha \ U1\text{-}as\text{-}alpha) \\ \\ \textbf{lemma} \ shEx\text{-}pbm\text{-}left \colon ((\exists \ x \cdot P \ x) \parallel_M Q) = (\exists \ x \cdot (P \ x \parallel_M Q)) \\ \textbf{by} \ (rel\text{-}auto) \\ \\ \textbf{lemma} \ shEx\text{-}pbm\text{-}right \colon (P \parallel_M (\exists \ x \cdot Q \ x)) = (\exists \ x \cdot (P \parallel_M Q \ x)) \\ \textbf{by} \ (rel\text{-}auto) \\ \end{array}
```

14.5 Substitution laws

Substitution is a little tricky because when we push the expression through the composition operator the alphabet of the expression must also change. Consequently for now we only support literal substitution, though this could be generalised with suitable alphabet coercisions. We need quite a number of variants to support this which are below.

```
lemma U0\text{-seq-subst: }(P ;; U0)[\ll v \gg /\$0 - x'] = (P[\ll v \gg /\$x'] ;; U0)
  by (rel-auto)
lemma U1-seq-subst: (P ;; U1) \llbracket \ll v \gg /\$1 - x' \rrbracket = (P \llbracket \ll v \gg /\$x' \rrbracket ;; U1)
  by (rel-auto)
lemma lit-pbm-subst [usubst]:
  fixes x :: (-\Longrightarrow '\alpha)
  shows
     \bigwedge \ P \ Q \ M \ \sigma. \ \sigma(\$x \mapsto_s \ll v \gg) \ \dagger \ (P \parallel_M Q) = \sigma \ \dagger \ ((P[\![\ll v \gg /\$x]\!]) \ \parallel_{M[\![\ll v \gg /\$x_<]\!]} \ (Q[\![\ll v \gg /\$x]\!]))
     \bigwedge \ P \ Q \ M \ \sigma. \ \sigma(\$x' \mapsto_s \ll v \gg) \ \dagger \ (P \parallel_M Q) = \sigma \ \dagger \ (P \parallel_{M \| \ll v \gg / \$ x' \|} \ Q)
  by (rel-auto)+
lemma bool-pbm-subst [usubst]:
  fixes x :: (-\Longrightarrow '\alpha)
  shows
      \bigwedge \ P \ Q \ M \ \sigma. \ \sigma(\$x \mapsto_s \mathit{false}) \dagger \ (P \parallel_M Q) = \sigma \dagger \ ((P[\![\mathit{false}/\$x]\!]) \parallel_{M[\![\mathit{false}/\$x_<]\!]} \ (Q[\![\mathit{false}/\$x]\!])) 
     \bigwedge \ P \ Q \ M \ \sigma. \ \sigma(\$x \mapsto_s true) \dagger (P \parallel_M Q) = \sigma \dagger ((P[\![true/\$x]\!]) \parallel_{M[\![true/\$x<]\!]} (Q[\![true/\$x]\!]))
     \bigwedge P \ Q \ M \ \sigma. \ \sigma(\$x' \mapsto_s \mathit{false}) \dagger \ (P \parallel_M Q) = \sigma \dagger \ (P \parallel_{M \|\mathit{false}/\$x'\|} \ Q)
     \bigwedge \ P \ Q \ M \ \sigma. \ \sigma(\$x' \mapsto_s true) \dagger (P \parallel_M Q) = \sigma \dagger (P \parallel_{M \llbracket true/\$x' \rrbracket} \ Q)
  by (rel-auto)+
lemma zero-one-pbm-subst [usubst]:
  fixes x :: (- \Longrightarrow '\alpha)
  shows
     \bigwedge\ P\ Q\ M\ \sigma.\ \sigma(\$x\mapsto_s \theta)\ \dagger\ (P\parallel_M Q) = \sigma\ \dagger\ ((P\llbracket\theta/\$x\rrbracket)\ \parallel_{M\llbracket\theta/\$x<\rrbracket}\ (Q\llbracket\theta/\$x\rrbracket))
     \bigwedge \ P \ Q \ M \ \sigma. \ \sigma(\$x \mapsto_s 1) \dagger (P \parallel_M Q) = \sigma \dagger ((P \llbracket 1/\$x \rrbracket) \ \parallel_{M \llbracket 1/\$x < \rrbracket} (Q \llbracket 1/\$x \rrbracket))
     \bigwedge \ P \ Q \ M \ \sigma. \ \sigma(\$x' \mapsto_s \theta) \ \dagger \ (P \parallel_M Q) = \sigma \ \dagger \ (P \parallel_{M \llbracket \theta / \$x' \rrbracket} \ Q)
     \bigwedge \ P \ Q \ M \ \sigma. \ \sigma(\$x' \mapsto_s 1) \dagger (P \parallel_M Q) = \sigma \dagger (P \parallel_{M \llbracket 1/\$x' \rrbracket} \ Q)
  by (rel-auto)+
lemma numeral-pbm-subst [usubst]:
  fixes x :: (-\Longrightarrow '\alpha)
  shows
      (Q[numeral\ n/\$x])
     \bigwedge P Q M \sigma. \sigma(\$x' \mapsto_s numeral n) \dagger (P \parallel_M Q) = \sigma \dagger (P \parallel_{M \lceil numeral n/\$x' \rceil} Q)
  by (rel-auto)+
14.6
            Parallel-by-merge laws
lemma par-by-merge-false [simp]:
   P \parallel_{false} Q = false
  by (rel-auto)
lemma par-by-merge-left-false [simp]:
  false \parallel_M Q = false
  by (rel-auto)
lemma par-by-merge-right-false [simp]:
   P \parallel_M false = false
  by (rel-auto)
lemma par-by-merge-seq-add: (P \parallel_M Q) ;; R = (P \parallel_M ;; R Q)
```

```
by (simp add: par-by-merge-def seqr-assoc)
A skip parallel-by-merge yields a skip whenever the parallel predicates are both feasible.
lemma par-by-merge-skip:
 assumes P;; true = true Q;; true = true
 shows P \parallel_{skip_m} Q = II
 using assms by (rel-auto)
lemma skip-merge-swap: swap_m;; skip_m = skip_m
Parallel-by-merge commutes when the merge predicate is unchanged by swap
{f lemma}\ par-by-merge-commute-swap:
 shows P \parallel_M Q = Q \parallel_{swap_m ;; M} P
proof -
 have Q \parallel_{swap_m};; M = ((((Q :: U0) \land (P :: U1) \land \$\Sigma_{<'} =_u \$\Sigma) :: swap_m) :: M)
   by (simp add: par-by-merge-def seqr-assoc)
 also have ... = ((Q : U0 : swap_m) \land (P : U1 : swap_m) \land \$\Sigma < =_u \$\Sigma) : M)
   by (rel-auto)
 also have ... = (((Q ;; U1) \land (P ;; U0) \land \$\Sigma < ' =_u \$\Sigma) ;; M)
   by (simp add: U0-swap U1-swap)
 also have ... = P \parallel_M Q
   by (simp add: par-by-merge-def utp-pred.inf.left-commute)
 finally show ?thesis ..
qed
lemma par-by-merge-commute:
 {\bf assumes}\ M\ is\ SymMerge
 shows P \parallel_M Q = Q \parallel_M P
 by (metis Healthy-if assms par-by-merge-commute-swap)
lemma par-by-merge-mono-1:
 assumes P_1 \sqsubseteq P_2
 shows P_1 \parallel_M Q \sqsubseteq P_2 \parallel_M Q
 using assms by (rel-auto)
lemma par-by-merge-mono-2:
 assumes Q_1 \sqsubseteq Q_2
 shows (P \parallel_M Q_1) \sqsubseteq (P \parallel_M Q_2)
 using assms by (rel-blast)
end
15
        Relational operational semantics
theory utp-rel-opsem
 imports utp-rel
begin
fun trel :: '\alpha \ usubst \times '\alpha \ hrel \Rightarrow '\alpha \ usubst \times '\alpha \ hrel \Rightarrow bool \ (infix \rightarrow_u 85) where
(\sigma, P) \to_u (\varrho, Q) \longleftrightarrow (\langle \sigma \rangle_a ;; P) \sqsubseteq (\langle \varrho \rangle_a ;; Q)
```

 $\llbracket \ (\sigma,\,P) \to_u (\varrho,\,Q); \, (\varrho,\,Q) \to_u (\varphi,\,R) \ \rrbracket \Longrightarrow (\sigma,\,P) \to_u (\varphi,\,R)$

lemma trans-trel:

by auto

```
lemma skip-trel: (\sigma, II) \rightarrow_u (\sigma, II)
    by simp
lemma assigns-trel: (\sigma, \langle \varrho \rangle_a) \to_u (\varrho \circ \sigma, II)
    by (simp add: assigns-comp)
lemma assign-trel:
     (\sigma, x := v) \rightarrow_u (\sigma(x \mapsto_s \sigma \dagger v), II)
    by (simp add: assigns-comp subst-upd-comp)
\mathbf{lemma} seq\text{-}trel:
    assumes (\sigma, P) \to_u (\varrho, Q)
    shows (\sigma, P ;; R) \rightarrow_u (\varrho, Q ;; R)
    by (metis (no-types, lifting) assms order-refl seqr-assoc seqr-mono trel.simps)
lemma seq-skip-trel:
     (\sigma, II ;; P) \rightarrow_u (\sigma, P)
    by simp
lemma nondet-left-trel:
     (\sigma, P \sqcap Q) \rightarrow_u (\sigma, P)
   \textbf{by} \ (\textit{metis} \ (\textit{no-types}, \textit{hide-lams}) \ \textit{disj-comm} \ \textit{disj-upred-def} \ semilattice-sup-class.sup. \textit{absorb-iff1} \ semilattice-sup-class.sup. \textit{lambda} \ sup-class.sup. \textit{lambda} \ sup-class.sup-class.sup. \textit{lambda} \ sup-class.sup. sup-class.sup. sup-class.sup-class.sup. sup-class.sup. sup-class.sup-class.sup-class.sup-class.sup-class.sup-class.sup-class.sup-class.sup-class.sup-class.sup-class.sup-class.sup-class.sup-class.sup-class.sup-class.sup-class.sup-class.sup-class.sup-class.sup-class.sup-class.sup-cla
seqr-or-distr trel.simps)
lemma nondet-right-trel:
     (\sigma, P \sqcap Q) \rightarrow_u (\sigma, Q)
    by (simp add: seqr-mono)
lemma rcond-true-trel:
     assumes \sigma \dagger b = true
    shows (\sigma, P \triangleleft b \triangleright_r Q) \rightarrow_u (\sigma, P)
    by (simp add: assigns-r-comp usubst aext-true cond-unit-T)
lemma rcond-false-trel:
     assumes \sigma \dagger b = false
    shows (\sigma, P \triangleleft b \triangleright_r Q) \rightarrow_u (\sigma, Q)
     using assms
    by (simp add: assigns-r-comp usubst aext-false cond-unit-F)
\mathbf{lemma} while-true-trel:
    assumes \sigma \dagger b = true
    shows (\sigma, while \ b \ do \ P \ od) \rightarrow_u (\sigma, P \ ;; while \ b \ do \ P \ od)
    by (metis assms rcond-true-trel while-unfold)
lemma while-false-trel:
    assumes \sigma \dagger b = false
    shows (\sigma, while \ b \ do \ P \ od) \rightarrow_u (\sigma, II)
    by (metis assms rcond-false-trel while-unfold)
declare trel.simps [simp del]
end
```

15.1 Variable blocks

theory utp-local imports utp-theory begin

Local variables are represented as lenses whose view type is a list of values. A variable therefore effectively records the stack of values that variable has had, if any. This allows us to denote variable scopes using assignments that push and pop this stack to add or delete a particular local variable.

```
type-synonym ('a, '\alpha) lvar = ('a \ list \Longrightarrow '\alpha)
```

Different UTP theories have different assignment operators; consequently in order to generically characterise variable blocks we need to abstractly characterise assignments. We first create two polymorphic constants that characterise the underlying program state model of a UTP theory.

consts

```
pvar :: ('\mathcal{T}, '\alpha) uthy \Rightarrow '\beta \Longrightarrow '\alpha (v<sub>1</sub>)
pvar-assigns :: ('\mathcal{T}, '\alpha) uthy \Rightarrow '\beta usubst \Rightarrow '\alpha hrel (\langle - \rangle<sub>1</sub>)
```

pvar is a lens from the program state, β ::type, to the overall global state α ::type, which also contains none user-space information, such as observational variables. pvar-assigns takes as parameter a UTP theory and returns an assignment operator which maps a substitution over the program state to a homogeneous relation on the global state. We now set up some syntax translations for these operators.

syntax

```
-svid-pvar :: ('\mathcal{T}, '\alpha) uthy \Rightarrow svid (\mathbf{v}_1)
-thy-asgn :: ('\mathcal{T}, '\alpha) uthy \Rightarrow svid-list \Rightarrow uexprs \Rightarrow logic (infixr ::=1 72)
```

translations

```
-svid-pvar T => CONST pvar T
-thy-asgn T xs vs => CONST pvar-assigns T (-mk-usubst (CONST id) xs vs)
```

Next, we define constants to represent the top most variable on the local variable stack, and the remainder after this. We define these in terms of the list lens, and so for each another lens is produced.

```
definition top\text{-}var :: ('a::two, '\alpha) \ lvar \Rightarrow ('a \Longrightarrow '\alpha) \ \mathbf{where} [upred\text{-}defs]: top\text{-}var \ x = (list\text{-}lens \ 0 \ ;_L \ x)
```

The remainder of the local variable stack (the tail)

```
definition rest-var :: ('a::two, '\alpha) lvar \Rightarrow ('a list \Longrightarrow '\alpha) where [upred-defs]: rest-var x = (tl-lens ;<sub>L</sub> x)
```

We can show that the top variable is a mainly well-behaved lense, and that the top most variable lens is independent of the rest of the stack.

```
lemma top-mwb-lens [simp]: mwb-lens x \Longrightarrow mwb-lens (top-var x)
by (simp add: list-mwb-lens top-var-def)

lemma top-rest-var-indep [simp]:
    mwb-lens x \Longrightarrow top-var x \bowtie rest-var x
by (simp add: lens-indep-left-comp rest-var-def top-var-def)

lemma top-var-pres-indep [simp]:
    x \bowtie y \Longrightarrow top-var x \bowtie y
```

by (simp add: lens-indep-left-ext top-var-def)

syntax

```
-top\text{-}var :: svid \Rightarrow svid (@- [999] 999)

-rest\text{-}var :: svid \Rightarrow svid (\psi- [999] 999)
```

translations

```
-top\text{-}var\ x == CONST\ top\text{-}var\ x
-rest\text{-}var\ x == CONST\ rest\text{-}var\ x
```

[urel-defs]: var-end $T x = (x :=_T tail_u(\&x))$

With operators to represent local variables, assignments, and stack manipulation defined, we can go about defining variable blocks themselves.

```
definition var\text{-}begin :: ('\mathcal{T}, '\alpha) \text{ } uthy \Rightarrow ('a, '\beta) \text{ } lvar \Rightarrow '\alpha \text{ } hrel \text{ } \mathbf{where} [urel\text{-}defs]: var\text{-}begin \ T \ x = x ::=_T \langle *undefined * \rangle ^ u \& x definition var\text{-}end :: ('\mathcal{T}, '\alpha) \text{ } uthy \Rightarrow ('a, '\beta) \text{ } lvar \Rightarrow '\alpha \text{ } hrel \text{ } \mathbf{where}
```

var-begin takes as parameters a UTP theory and a local variable, and uses the theory assignment operator to push and undefined value onto the variable stack. var-end removes the top most variable from the stack in a similar way.

```
definition var-vlet :: ('\mathcal{T}, '\alpha) uthy \Rightarrow ('a, '\alpha) lvar \Rightarrow '\alpha hrel where [urel-defs]: var-vlet T = ((\$x \neq_u \langle \rangle) \land \mathcal{II}_T)
```

Next we set up the typical UTP variable block syntax, though with a suitable subscript index to represent the UTP theory parameter.

syntax

translations

```
 \begin{array}{lll} -var\text{-}begin \ T \ x & == CONST \ var\text{-}begin \ T \ x \\ -var\text{-}begin\text{-}asn \ T \ x \ e => var \ _T \ x \ ;; \ @x ::=_T \ e \\ -var\text{-}end \ T \ x & == CONST \ var\text{-}end \ T \ x \\ -var\text{-}vlet \ T \ x & == CONST \ var\text{-}vlet \ T \ x \\ var \ _T \ x \cdot P => var \ _T \ x \ ;; \ ((\lambda \ x. \ P) \ (CONST \ top\text{-}var \ x)) \ ;; \ end \ _T \ x \\ var \ _T \ x \cdot P => var \ _T \ x \ ;; \ ((\lambda \ x. \ P) \ (CONST \ top\text{-}var \ x)) \ ;; \ end \ _T \ x \\ \end{array}
```

In order to substantiate standard variable block laws, we need some underlying laws about assignments, which is the purpose of the following locales.

```
locale utp-prog-var = utp-theory \mathcal{T} for \mathcal{T} :: ('\mathcal{T}, '\alpha) uthy (structure) + fixes \mathcal{V}\mathcal{T} :: '\beta itself assumes pvar-uvar: vwb-lens (\mathbf{v} :: '\beta \Longrightarrow '\alpha) and Healthy-pvar-assigns [closure]: (\sigma :: '\beta usubst) is \mathcal{H} and pvar-assigns-comp: ((\sigma) ;; (\varrho)) = (\varrho \circ \sigma)
```

We require that (1) the user-space variable is a very well-behaved lens, (2) that the assignment operator is healthy, and (3) that composing two assignments is equivalent to composing their substitutions. The next locale extends this with a left unit.

```
locale utp-local-var = utp-prog-var \ \mathcal{T} \ V + utp-theory-left-unital \ \mathcal{T} \ \mathbf{for} \ \mathcal{T} :: ('\mathcal{T}, '\alpha) \ uthy \ (\mathbf{structure}) and V :: '\beta \ itself +  assumes pvar-assign-unit: \langle id :: '\beta \ usubst \rangle = \mathcal{I}\mathcal{I} begin
```

If a left unit exists then an assignment with an identity substitution should yield the identity relation, as the above assumption requires. With these laws available, we can prove the main laws of variable blocks.

```
lemma var-begin-healthy [closure]:
fixes x :: ('a, '\beta) lvar
shows var x is \mathcal{H}
by (simp add: var-begin-def Healthy-pvar-assigns)

lemma var-end-healthy [closure]:
fixes x :: ('a, '\beta) lvar
shows end x is \mathcal{H}
by (simp add: var-end-def Healthy-pvar-assigns)
```

The beginning and end of a variable block are both healthy theory elements.

```
\mathbf{lemma}\ \mathit{var-open-close}\colon
```

```
fixes x :: ('a, '\beta) \ lvar
assumes vwb-lens x
shows (var \ x \ ;; \ end \ x) = \mathcal{II}
by (eight) = dd; var \ begin \ def \ var \ end \ def \ ch \ Er \ lift \ sea \ 1 \ Healthy
```

 $\mathbf{by}\ (simp\ add:\ var-begin-def\ var-end-def\ shEx-lift-seq-1\ Healthy-pvar-assigns\ pvar-assigns-comp\ pvar-assign-unit\ usubst\ assms)$

Opening and then immediately closing a variable blocks yields a skip.

```
lemma var-open-close-commute:
```

```
fixes x :: ('a, '\beta) \ lvar and y :: ('b, '\beta) \ lvar assumes vwb-lens x \ vwb-lens y \ x \bowtie y shows (var \ x \ ;; \ end \ y) = (end \ y \ ;; \ var \ x) by (simp \ add: \ var-begin-def var-end-def shEx-lift-seq-1 shEx-lift-seq-2 Healthy-pvar-assigns pvar-assigns-comp assms usubst unrest lens-indep-sym, simp \ add: \ assms \ usubst-upd-comm)
```

The beginning and end of variable blocks from different variables commute.

```
lemma var-block-vacuous:
```

```
fixes x :: ('a::two, '\beta) lvar assumes vwb-lens x shows (var x \cdot \mathcal{II}) = \mathcal{II} by (simp\ add:\ Left-Unit\ assms\ var-end-healthy\ var-open-close)
```

A variable block with a skip inside results in a skip.

end

Example instantiation for the theory of relations

overloading

```
 \begin{array}{l} \mathit{rel-pvar} = \mathit{pvar} :: (\mathit{REL}, \ '\alpha) \ \mathit{uthy} \Rightarrow \ '\alpha \implies \ '\alpha \\ \mathit{rel-pvar-assigns} = = \mathit{pvar-assigns} :: (\mathit{REL}, \ '\alpha) \ \mathit{uthy} \Rightarrow \ '\alpha \ \mathit{usubst} \Rightarrow \ '\alpha \ \mathit{hrel} \\ \mathbf{begin} \\ \mathbf{definition} \ \mathit{rel-pvar} :: (\mathit{REL}, \ '\alpha) \ \mathit{uthy} \Rightarrow \ '\alpha \implies \ '\alpha \ \mathbf{where} \\ [\mathit{upred-defs}] : \mathit{rel-pvar} \ T = 1_L \\ \mathbf{definition} \ \mathit{rel-pvar-assigns} :: (\mathit{REL}, \ '\alpha) \ \mathit{uthy} \Rightarrow \ '\alpha \ \mathit{usubst} \Rightarrow \ '\alpha \ \mathit{hrel} \ \mathbf{where} \\ [\mathit{upred-defs}] : \mathit{rel-pvar-assigns} \ T \ \sigma = \langle \sigma \rangle_a \\ \end{array}
```

```
interpretation rel-local-var: utp-local-var UTHY (REL, '\alpha) TYPE ('\alpha) proof — interpret vw: vwb-lens pvar REL :: '\alpha \impsi '\alpha by (simp add: rel-pvar-def id-vwb-lens) show utp-local-var TYPE ('\alpha) UTHY (REL, '\alpha) proof show \landrightarrow \sigma \cdot \c
```

16 UTP Events

theory utp-event imports utp-pred begin

16.1 Events

Events of some type $\vartheta::type$ are just the elements of that type.

```
type-synonym '\vartheta event = '\vartheta
```

16.2 Channels

Typed channels are modelled as functions. Below, 'a::type determines the channel type and ' θ ::type the underlying event type. As with values, it is difficult to introduce channels as monomorphic types due to the fact that they can have arbitrary parametrisations in term of 'a::type. Applying a channel to an element of its type yields an event, as we may expect. Though this is not formalised here, we may also sensibly assume that all channel-representing functions are injective. Note: is there benefit in formalising this here?

```
type-synonym ('a, '\vartheta) chan = 'a \Rightarrow '\vartheta event
```

A downside of the approach is that the event type ϑ ::type must be able to encode all events of a process model, and hence cannot be fixed upfront for a single channel or channel set. To do so, we actually require a notion of 'extensible' datatypes, in analogy to extensible record types. Another solution is to encode a notion of channel scoping that namely uses sum types to lift channel types into extensible ones, that is using channel-set specific scoping operators. This is a current work in progress.

16.2.1 Operators

The Z type of a channel corresponds to the entire carrier of the underlying HOL type of that channel. Strictly, the function is redundant but was added to mirror the mathematical account in [?]. (TODO: Ask Simon Foster for [?])

```
definition chan-type :: ('a, '\vartheta) chan \Rightarrow 'a set (\delta_u) where \delta_u c = UNIV
```

The next lifted function creates an expression that yields a channel event, from an expression on the channel type 'a::type.

```
definition chan-apply :: 
 ('a, '\vartheta) \ chan \Rightarrow ('a, '\alpha) \ uexpr \Rightarrow ('\vartheta \ event, '\alpha) \ uexpr \ ('(-\cdot/-')_u) where [upred-defs]: (c \cdot e)_u = \langle c \rangle (|e|)_u end
```

17 Meta-theory for the Standard Core

```
theory utp
imports
 utp-var
 utp-expr
 utp-unrest
 utp-subst
  utp	ext{-}meta	ext{-}subst
  utp-alphabet
  utp-lift
 utp	ext{-}pred
 utp-deduct
  utp-rel
  utp-tactics
  utp-hoare
  utp-wp
  utp-theory
  utp-concurrency
  utp-rel-opsem
  utp-local
  utp-event
begin end
```

References

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- [4] B. Huffman and O. Kunčar. Lifting and transfer: A modular design for quotients in Isabelle/HOL. In *CPP*, volume 8307 of *LNCS*, pages 131–146. Springer, 2013.