

Abstract Algebra for Cryptography Part I

Algebraic Properties and Field Arithmetic

Izmir Institute of Technology

Department of Computer Engineering

Asst. Prof. Serap ŞAHİN

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Outline

- Algebraic Properties
 - Basic concepts in Set theory
 - The random mappings
 - Groups
 - Cyclic Groups
 - Generators
 - Rings
 - Fields
- Field Arithmetic
 - Prime Field Arithmetic
 - Binary Field (Polynomial) Arithmetic

Concepts in Set Theory

Set \rightarrow A collection of well defined elements.

1. **Description** - A set defined in words.

- **Example:** Set A is the set of Natural numbers ending in 10.

2. **Roster** - A set is defined with a list of elements surrounded by braces { }.

- **Example:** $A = \{1, 2, 3, 4, 5, 6, 7, 8, 9, 10\}$

3. **Set Builder Notation**

- **Example:** $A = \{x | x \text{ is a natural number less than } 11\}$, which reads: "Set A is set of all elements x such that x is a natural number less than 11."

Concepts in Set Theory

- **Element** → An item in a set denoted by the symbol \in .
 - Example: If $A = \{1,2,3\}$, then $3 \in A$
- **Equal sets** → are identical, containing exactly the same elements.
 - Example: If $A = \{A,B,C,D\}$, and $B = \{D,C,B,A\}$, then $A = B$
- **Equivalent sets** → have the same cardinal number of elements, denoted by the symbol $n()$, but the elements do not need to be identical.
 - Example: If $A = \{1,2,3,4\}$ and $B = \{\text{April, May, June, July}\}$, then $n(A)=n(B)$. Sets A and B are equivalent.

Concepts in Set Theory

- **Empty or Null Set** → is a set that contains no elements and are denoted by the symbols $\{ \}$ and \emptyset .
- **Subset** → denoted by the symbol \subseteq occurs when all the elements of one set are also the elements of another. A subset may be, but doesn't have to be equal to the original set.
 - **Example:** If $A = \{A,B,C,D\}$ and $B = \{A,B,C,D,E,F,G\}$, then $A \subseteq B$.
- **Proper Subset** → denoted by the symbol \subset occurs when the subset contains at least one less element than the original set.
 - **Example:** If $A = \{A,B,C,D\}$ and $B = \{A,B,D\}$, then $B \subset A$

Concepts in Set Theory

- **Number of Subsets** \rightarrow is 2^n , where n is the number of elements in the set.
 - **Example:** $A = \{A, B, C, D\}$. Since set A has 4 elements, the formula for number of subsets is: $2^4 = 16$.
 - Therefore, there are 16 subsets of set A . They are: $\emptyset, \{A\}, \{B\}, \{C\}, \{D\}, \{A,B\}, \{A,C\}, \{A,D\}, \{B,C\}, \{B,D\}, \{C,D\}, \{A,B,C\}, \{A,B,D\}, \{A,C,D\}, \{B,C,D\}$ and $\{A,B,C,D\}$.
 - Note that the first fifteen subsets of set A are also **proper subsets**. The formula for the number of proper subsets is $2^n - 1$. In this example of set A , the number of proper subsets is $2^4 - 1 = 15$.

Concepts in Set Theory

- **Universal Set** → contains all the elements for any specific discussion, and is symbolized by the symbol U .
 - Example: $U = \{A, E, I, O, U\}$
- **Intersection** → contains the elements common to 2 or more sets and is denoted by the symbol, \cap .
- **Union** → contains all the elements in two or more sets and is denoted by the symbol, \cup .
- **Complement** → contains all the elements in the universal set that are not in the original set and is denoted by the symbol, ' or $\overline{}$.
 - **Example:** $U = \{1, 2, 3, 4, 5, 6, 7, 8, 9, 0\}$ $A = \{1, 2, 3\}$ $B = \{2, 3, 4, 5, 6\}$
 - $A \cap B = \{2, 3\}$, $A \cup B = \{1, 2, 3, 4, 5, 6\}$, $A' = \{4, 5, 6, 7, 8, 9, 0\}$
 $B' = \{1, 7, 8, 9, 0\}$

The Random Mappings

Definition:

Let F_n denote **the collection of all functions (mappings)** from a finite domain of size n to a finite codomain of size n .

Models where random elements of F_n are considered are called **random mappings models**.

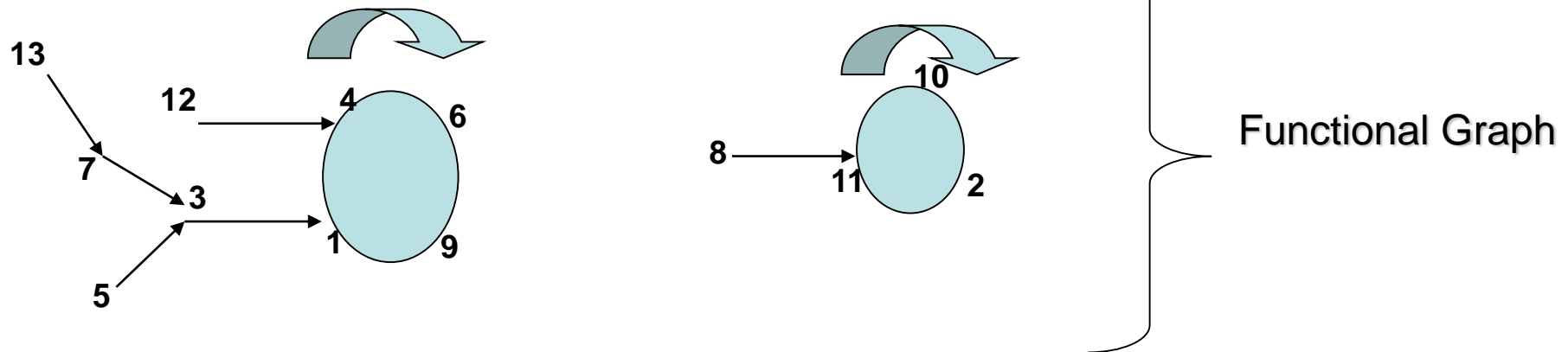
Definition:

Let f be a function in F_n with domain and codomain equal to $\{1, 2, \dots, n\}$. The **functional graph** of f is a **directed graph** whose **points** are the elements $\{1, 2, \dots, n\}$ and whose **edges** are the ordered pairs $(x, f(x))$ for all $x \in \{1, 2, \dots, n\}$.

Example: The Random Mappings

Consider the function $f:\{1,2,\dots,13\}\rightarrow\{1,2,\dots,13\}$ defined by following table:

$f(1)=4$	$f(2)=11$	$f(3)=1$	$f(4)=6$	$f(5)=3$	$f(6)=9$	$f(7)=3$	$f(8)=11$	$f(9)=1$	$f(10)=2$	$f(11)=10$	$f(12)=4$	$f(13)=7$
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Definition Let f be a random function from $\{1, 2, \dots, n\}$ to $\{1, 2, \dots, n\}$ and let $u \in \{1, 2, \dots, n\}$. Consider the sequence of points u_0, u_1, u_2, \dots defined by $u_0 = u$, $u_i = f(u_{i-1})$ for $i \geq 1$. In terms of the functional graph of f , this sequence describes a path that connects to a cycle.

- (i) The number of edges in the path is called the *tail length* of u , denoted $\lambda(u)$.
- (ii) The number of edges in the cycle is called the *cycle length* of u , denoted $\mu(u)$.
- (iii) The *rho-length* of u is the quantity $\rho(u) = \lambda(u) + \mu(u)$.

Example The functional graph in Figure 2.1 has 2 components and 4 terminal points. The point $u = 3$ has parameters $\lambda(u) = 1$, $\mu(u) = 4$, $\rho(u) = 5$. The tree, component, and predecessors sizes of $u = 3$ are 4, 9, and 3, respectively. □

The Groups

- A group $(G, .)$ is a set of elements G with binary operations $"."$ that satisfy the following axioms for x, y in G :
 - Closure : $x.y$ is in G .
 - Associativity : $x.(y.z) = (x.y).z$
 - There exists an identity element e in G such that for all x in G :
$$(x.e) = (e.x) = x$$
 - There exists an inverse x^{-1} in G such that
$$(x.x^{-1}) = (x^{-1}.x) = e \text{ for all } x \text{ in } G.$$

Example: $(\mathbb{Z}, +)$ is a group

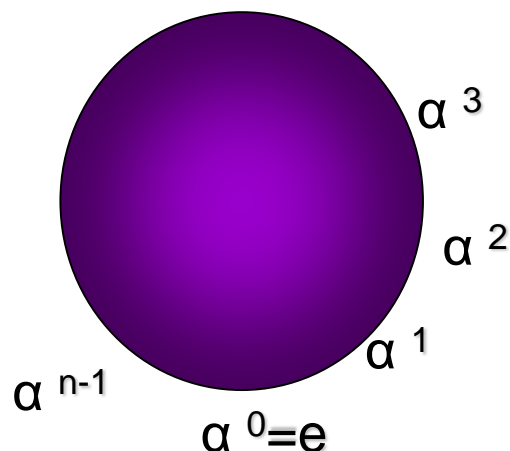
- A group that is commutative is also known as *abelian*: $x.y = y.x$

Cyclic Group and Generator

- Let G is a group and $a \in G$
- If $G = \{a^n \mid n \in \mathbb{Z}\}$, then a is a generator of G and the group $G = \langle a \rangle$ is cyclic.
- If the cyclic group $\langle a \rangle$ of G is finite, then the order of a is the $|\langle a \rangle|$ of this cyclic subgroup. Otherwise, we say that G has infinite order.
- If $a \in G$ is finite order m , then m is the smallest positive integer such that $a^m = e$.
- Every cyclic group is abelian. (commutative axiom)
- A subgroup of a cyclic group is cyclic.

Cyclic Groups

1. If $\langle G \rangle$ has an infinite number of elements, then there is no two distinct exponents h and k which can point to the same element in the group.
2. If $\langle G \rangle$ has finite order. Which means that for some $a^h = a^k$



Cyclic Groups: An example

$f(x) = 2^x \pmod{5}$ and $x \in \mathbb{Z}$;

$$2^0 = 1 \pmod{5}$$

$$2^1 = 2 \pmod{5}$$

$$2^2 = 4 \pmod{5}$$

$$2^3 = 3 \pmod{5}$$

$$2^4 = 1 \pmod{5}$$

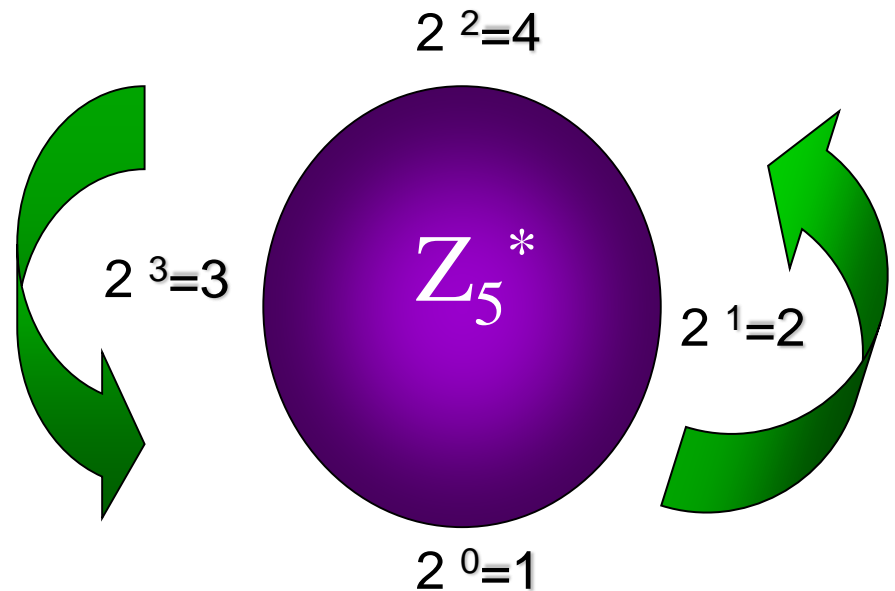
$$2^5 = 2 \pmod{5}$$

...

Even if $h \neq k$, still $a^h = a^k$

$h = 1$ and $k = 4$, and $a = 2$

$$2^1 \pmod{5} = 2^5 \pmod{5}$$



$$\mathbb{Z}_5^* = \{1, 2, 3, 4\}$$

Generators: Definition

- Let p be a prime,
- with an integer g such that $g < p$;
then g is a generator (mod p)
- if for each integer b from 1 to $(p-1)$,
there exists some integer a where,

$$g^a \equiv b \pmod{p}.$$

Generators: Example

Let $p=11$, and $g=2$, so $(p-1)=10$, then "a" goes from 1 upto 10

Let's try to obtain all numbers from 1 to 10 in the form of $g^a \equiv b \pmod{p}$ to see if $g=2$ is indeed a generator.

$2^1 \equiv$	2	(mod 11)
$2^2 \equiv$	4	(mod 11)
$2^3 \equiv$	8	(mod 11)
$2^4 \equiv$	5	(mod 11)
$2^5 \equiv$	10	(mod 11)
$2^6 \equiv$	9	(mod 11)
$2^7 \equiv$	7	(mod 11)
$2^8 \equiv$	3	(mod 11)
$2^9 \equiv$	6	(mod 11)
$2^{10} \equiv$	1	(mod 11)

Sort
it
out!

1 2 3 4 5 6 7 8 9 10 YES!
2 is a generator for $p=11$

Generators: How to Find the Generators?

- For $p=11$, the other generators are 2,6,7 and 8.

But 3 is not since there is no solution to

$$3^a \equiv 2 \pmod{11}$$

- Usually it is hard to test whether a given number is a generator or not.
- The easy way is to use the factorization of $(p-1)$.

Generators: How to Find the Generators?

- Let q_1, q_2, \dots, q_n be the prime factors of $(p-1)$,

Step #1

Find $g^{(p-1)/q} \pmod{p}$ for all values of $q=q_1, q_2, \dots, q_n$

Step #2

g is a generator if value does not equal to 1 for any values of q . Otherwise it is not.

Generators: Example #2

- Let $p=11$, prime factors of $(p-1)=10$ are 2 and 5.

Testing 2 whether
it is a generator:

$$2^{(11-1)/2} \pmod{11} = 10$$

$$2^{(11-1)/5} \pmod{11} = 4$$

Neither result is 1,
so 2 is a generator.

Testing 3 whether
it is a generator:

$$3^{(11-1)/2} \pmod{11} = 1$$

$$3^{(11-1)/5} \pmod{11} = 9$$

One result is 1,
so 3 is NOT a generator.

Finite Fields

Consists of a finite set of elements for the operations of multiplication and addition which satisfy the below rules:

1. Associativity $a+(b+c) = (a+b)+c$
 $a.(b.c) = (a.b).c$
2. Commutativity $a+b = b+a$
 $a.b = b.a$
3. Distributive law $a.(b+c)=(a.b) + (a.c)$
4. Additive Identity
5. Multiplicative Identity
6. Additive Inverse
7. Multiplicative Inverse

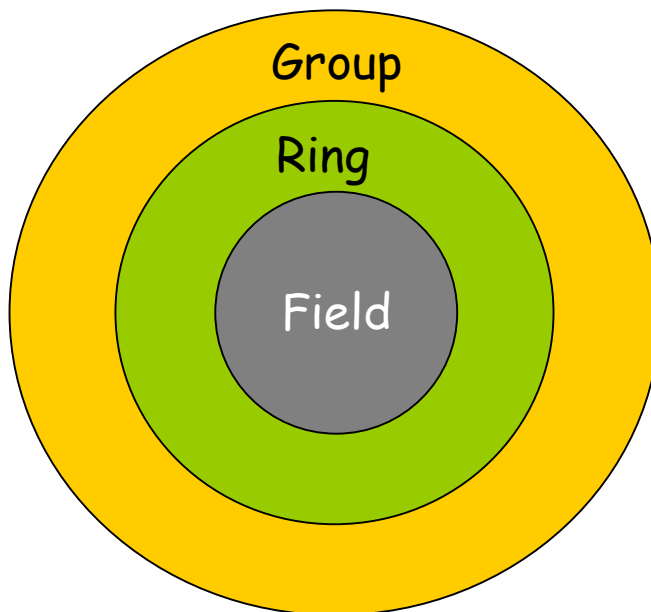
For Example; $\mathbb{Z}/\mathbb{Z}_p \rightarrow$ The field of integers modulo a prime number p .

Finite Fields

1. The order of finite field is the number of elements in the field.
2. There exists a finite field of order q if and only if q is a prime power. This field is denoted by F_q
3. If $q = p^m$ where p is a prime and m is a positive integer then
 p is called the characteristic of F_q and,
 m is called the extension degree of $F_q = F_{p^m}$

Algebraic Properties

Group - Ring - Field



- Ring;
 - It has created two sets for each + and x operations which are at least two element.
 - Associativity,
 - Distribution .

- Group;
 - Closure,
 - Associativity,
 - Identity element,
 - Invers element,
 - Abelian (commutative).

- Field;
 - It has included all inverse elements of each element in the set for both + and x operation.
 - It is the abelian for + and x operations.

Fields

- Let p be a prime number.
- In other case, $p=i.j$ and $1 < i \leq j < p$ and it is not any x value such that $i.x \equiv 1 \pmod{p}$. It means that i has not inverse element in Z_p set. Hence, Z_p is not a field.
- Z_p is a cyclic group if and only if p is prime and $p > 1$.

Field



- F_2 , binary fields
- F_{2^m} , extended binary fields
- $\text{Char}(F_{2^m})=2$

- F_p prime fields
- F_{p^m} extended prime fields
- $\text{Char}(F_{p^m})=p$
 $\text{Char}(F_p)=p$

Finite Field Arithmetic

- There are three kinds of fields;
 - Prime Fields
 - Binary Fields
 - Optimal Extension Fields
- There are four basic arithmetic operations;
 - Addition
 - Subtraction
 - Multiplication
 - Inversion

Field Operation

$$a, b \in F_q, a - b = a + (-b) \quad \text{Where} \quad b + (-b) = 0$$

and $-b$ is called the **negative** of b .

$$a, b \in F, b \neq 0, a / b = a.b^{-1} \quad \text{Where} \quad b.b^{-1} = 1$$

and b^{-1} is called the **inverse** of b .

Prime Fields

- Let p be a prime number.
- The integers modulo p , consisting of the integers $\{0,1,2,\dots,p-1\}$ with addition and multiplication performed modulo p , is a finite order p .
- We denote this field by F_p and call p modulus of F_p .
- For any integers a , $a \bmod p$ shall denote the unique integer remainder r , $0 \leq r \leq p-1$, obtained upon dividing a by p ; this operation is called **reduction modulo p** .

Example: for the prime field F_{29} :

Addition: $17+20 = 8$ since $37 \bmod 29 = 8$,

Subtraction: $17-20 = 26$ since $-3 \bmod 29 = 26$,

Multiplication: $17 \cdot 20 = 21$ since $340 \bmod 29 = 21$,

Inversion: $17^{-1}=12$ since $17 \cdot 12 \bmod 29=1$.

Binary Fields

- Finite fields of order 2^m are called **binary fields** or **characteristic-two finite fields**.
- One way to construct F_{2^m} is to use **polynomial basis representation**.
- Binary polynomials whose coefficients are in the field $F_2=\{0,1\}$ of degree at most $m-1$:

$$F_{2^m} = \{a_{m-1}z^{m-1} + a_{m-2}z^{m-2} + \dots + a_2z^2 + a_1z^1 + a_0 : a_i \in \{0,1\}\}.$$

Binary Fields

- **Addition** of field elements is the usual addition of polynomials, with coefficient arithmetic modulo 2.
- **Irreducibility**; of $f(z)$ means that $f(z)$ cannot be factored as a product of binary polynomials each of degree less than m .

Binary Fields

- **Reduction polynomial $f(z)$** ; it should be $f(z) = z^m + r(z)$ and $f(z)$ is irreducible.
- **Multiplication** of field elements is performed modulo the **reduction polynomial $f(z)$** . For any binary polynomial $a(z)$, $a(z) \bmod f(z)$ shall denote unique remainder polynomial $r(z)$ of degree less than m obtained upon long division of $a(z)$ by $f(z)$; this operation is called reduction modulo $f(z)$.

Binary Fields – Polynomial Bases Representation

Addition

$(a_{m-1} \dots a_1 a_0) + (b_{m-1} \dots b_1 b_0) = (c_{m-1} \dots c_1 c_0)$ where each $c_i = a_i + b_i$ over F_2 . Addition is just the componentwise XOR of $(a_{m-1} \dots a_1 a_0)$ and $(b_{m-1} \dots b_1 b_0)$.

Subtraction

In the field F_{2^m} , each element $(a_{m-1} \dots a_1 a_0)$ is its own additive inverse, since $(a_{m-1} \dots a_1 a_0) + (a_{m-1} \dots a_1 a_0) = (0 \dots 0 0)$, the additive identity. Thus addition and subtraction are equivalent operations in F_{2^m} .

Multiplication

$(a_{m-1} \dots a_1 a_0) (b_{m-1} \dots b_1 b_0) = (r_{m-1} \dots r_1 r_0)$ where $r_{m-1}x^{m-1} + \dots + r_1x + r_0$ is the remainder when the polynomial $(a_{m-1}x^{m-1} + \dots + a_1x + a_0) (b_{m-1}x^{m-1} + \dots + b_1x + b_0)$ is divided by the polynomial $f(x)$ over F_2 . (Note that all polynomial coefficients are reduced modulo 2.)

Binary Fields – Polynomial Bases Representation

Exponentiation

The exponentiation $(a_{m-1} \dots a_1 a_0)^e$ is performed by multiplying together e copies of $(a_{m-1} \dots a_1 a_0)$.

Multiplicative Inversion

There exists at least one element g in F_{2^m} such that all non-zero elements in F_{2^m} can be expressed as a power of g . Such an element g is called a *generator* of F_{2^m} . The multiplicative inverse of an element $a = g^i$ is $a^{-1} = g^{(-i) \bmod (2^m-1)}$.

Example: Binary Field F_2^4

0	z^2	z^3	$z^3 + z^2$
1	$z^2 + 1$	$z^3 + 1$	$z^3 + z^2 + 1$
z	$z^2 + z$	$z^3 + z$	$z^3 + z^2 + z$
$z + 1$	$z^2 + z + 1$	$z^3 + z + 1$	$z^3 + z^2 + z + 1$

Addition : $(z^3 + z^2 + 1) + (z^2 + z + 1) = (z^3 + z).$

Subtraction: $(z^3 + z^2 + 1) - (z^2 + z + 1) = (z^3 + z).$

Multiplication: $(z^3 + z^2 + 1) \cdot (z^2 + z + 1) = z^5 + z + 1$
 and $(z^5 + z + 1) \bmod (z^4 + z + 1) = z^2 + 1.$

Inversion: $(z^3 + z^2 + 1)^{-1} = z^2 \Rightarrow$
 $(z^3 + z^2 + 1) \cdot z^2 \bmod (z^4 + z + 1) = 1.$

Example: Binary Field F_2^4

The elements of F_2^4 are the 16 vectors:

(0000) (0001) (0010) (0011) (0100) (0101) (0110) (0111) (1000)
(1001) (1010) (1011) (1100) (1101) (1110) (1111).

The irreducible polynomial used will be $f(x) = x^4 + x + 1$. The following are sample calculations.

Addition

$$(0110) + (0101) = (0011).$$

Multiplication

$$\begin{aligned} &(1101) (1001) \\ &= (x^3 + x^2 + 1) (x^3 + 1) \bmod f(x) \\ &= x^6 + x^5 + 2x^3 + x^2 + 1 \bmod f(x) \\ &= x^6 + x^5 + x^2 + 1 \bmod f(x) \text{ (coefficients are reduced modulo 2)} \\ &= (x^4 + x + 1)(x^2 + x) + (x^3 + x^2 + x + 1) \bmod f(x) \\ &= x^3 + x^2 + x + 1 \\ &= (1111). \end{aligned}$$

Example: Binary Field F_2^4

Exponentiation

To compute $(0010)^5$, first find

$$\begin{aligned} & (0010)^2 \\ &= (0010) (0010) \\ &= x \cdot x \bmod f(x) \\ &= (x^4 + x + 1)(0) + (x^2) \bmod f(x) \\ &= x^2 \\ &= (0100). \end{aligned}$$

Then

$$\begin{aligned} & (0010)^4 \\ &= (0010)^2 (0010)^2 \\ &= (0100) (0100) \\ &= x^2 \cdot x^2 \bmod f(x) \\ &= (x^4 + x + 1)(1) + (x + 1) \bmod f(x) \\ &= x + 1 \\ &= (0011). \end{aligned}$$

Finally, $(0010)^5$

$$\begin{aligned} &= (0010)^4 (0010) \\ &= (0011) (0010) \\ &= (x + 1) (x) \bmod f(x) \\ &= (x^2 + x) \bmod f(x) \\ &= (x^4 + x + 1)(0) + (x^2 + x) \bmod f(x) \\ &= x^2 + x \\ &= (0110). \end{aligned}$$

Example: Binary Field F_2^4

Multiplicative Inversion

The element $g = (0010)$ is a generator for the field. The powers of g are:

$$\begin{aligned} g^0 &= (0001) & g^1 &= (0010) & g^2 &= (0100) & g^3 &= (1000) & g^4 &= (0011) \\ g^5 &= (0110) & g^6 &= (1100) & g^7 &= (1011) & g^8 &= (0101) & g^9 &= (1010) \\ g^{10} &= (0111) & g^{11} &= (1110) & g^{12} &= (1111) & g^{13} &= (1101) \\ g^{14} &= (1001) & g^{15} &= (0001). \end{aligned}$$

The multiplicative identity for the field is $g^0 = (0001)$. The multiplicative inverse of :

$$g^7 = (1011) \text{ is } g^{-7} \bmod 15 = g^8 \bmod 15 = (0101).$$

To verify this, see that

$$\begin{aligned} &(1011)(0101) \\ &= (x^3 + x + 1)(x^2 + 1) \bmod f(x) \\ &= x^5 + x^2 + x + 1 \bmod f(x) \\ &= (x^4 + x + 1)(x) + (1) \bmod f(x) \\ &= 1 \\ &= (0001), \end{aligned}$$

which is the multiplicative identity.