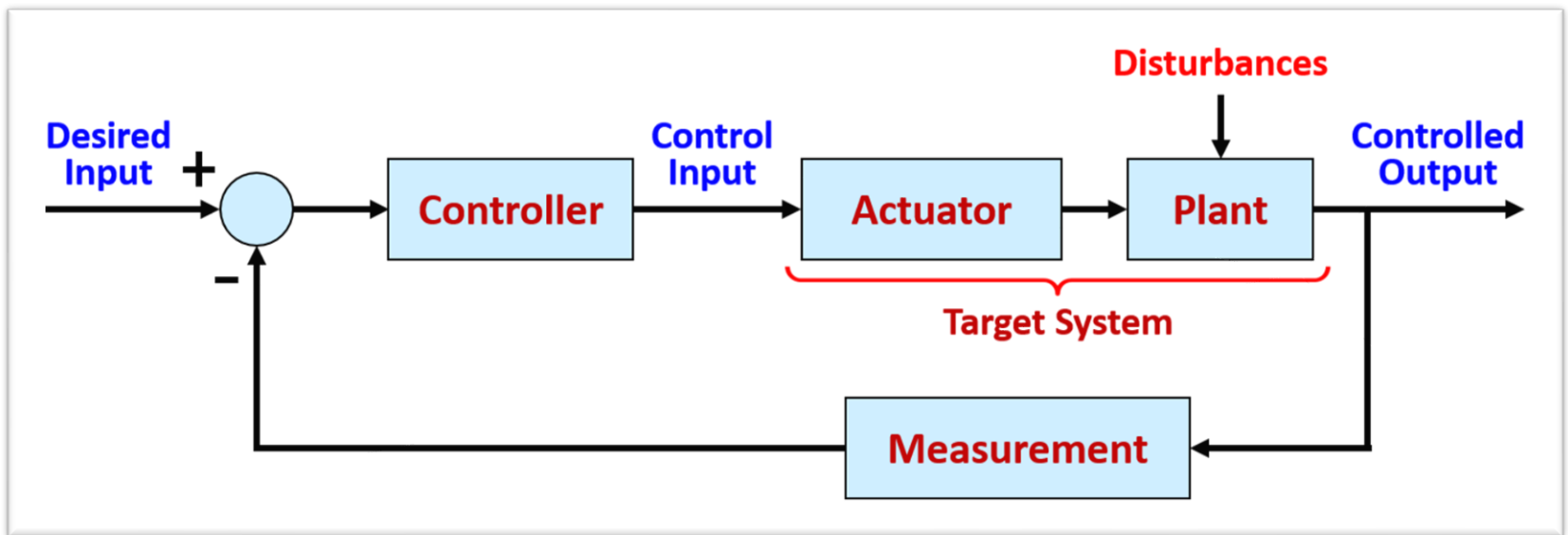


# Dynamic Response 2

## Lecture 5:

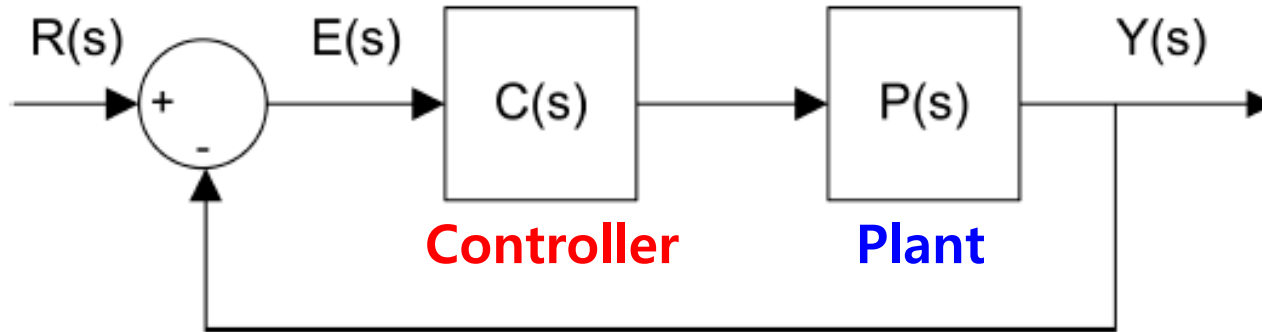
- Pole Placement Design Method
- Stability



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# Pole Placement Method



- Manual Tuning



- ✓ Time-consuming effort !!

- Automatic Tuning Method



- ✓ Indirect PID tuning
- ✓ Still needs fine-tuning effort

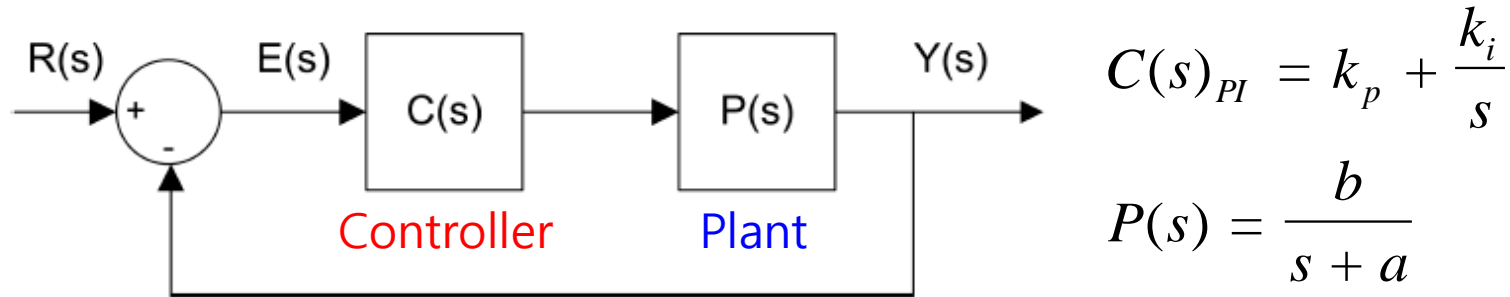
- Now, Pole Placement Method!!



Based on the pole locations, the desired characteristic equation of the closed-loop can be produced by controller.

# Pole Placement Method (cont'd)

## Case 1: First-order System + PI control



- The **actual** characteristic eq. of closed-loop system (PI-control)

$$\frac{Y(s)}{R(s)} = \frac{CP}{1 + CP} = \frac{\frac{k_p s + k_i}{s} \frac{b}{s + a}}{1 + \frac{k_p s + k_i}{s} \frac{b}{s + a}} = \frac{k_p b s + k_i b}{s^2 + (a + k_p b)s + k_i b}$$

- The **desired** characteristic eq. of closed-loop system

$$T(s) = \frac{\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2}$$

# Pole Placement Method (cont'd)

## ■ Case 1: First-order System + PI control (cont'd)

### ■ Step 0: Model matching condition

$$\underbrace{s^2 + (a + k_p b)s + k_i b}_{\text{Actual C-L system}} = \underbrace{s^2 + 2\zeta\omega_n s + \omega_n^2}_{\text{Desired C-L system}}$$

### ■ Step response requirement can be satisfied by PI-control gains.

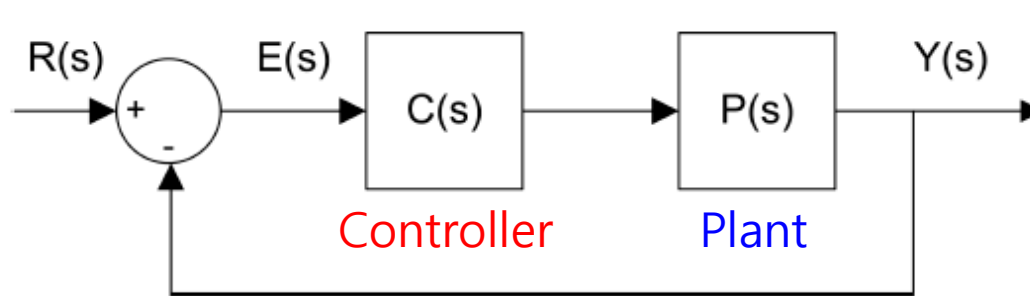
(1) Overshoot  $M_p = 1 + \exp(-\zeta\omega_n T_p) = 1 + \exp\left(-\frac{\pi\zeta}{\sqrt{1-\zeta^2}}\right)$

(2) Rising time  $T_r = \frac{\pi - \varphi}{\omega_d}$  where  $\omega_d = \omega_n \sqrt{1 - \zeta^2}$ ,  $\cos \varphi = \zeta$

(3) Settling time  $T_s \approx \frac{4.6}{\zeta\omega_n} = \frac{4.6}{\sigma}$

# Pole Placement Method (cont'd)

## Case 1: First-order System + PI control (cont'd)



$$C(s)_{PI} = k_p + \frac{k_i}{s}$$

$$P(s) = \frac{b}{s + a}$$

## Step 1: step response requirement

(1) Overshoot = 10%

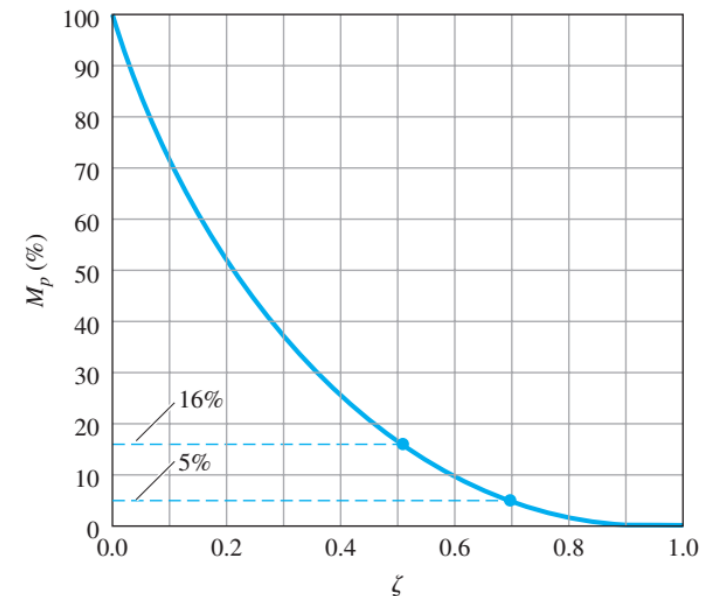
$$M_p = \exp\left(-\frac{\pi\zeta}{\sqrt{1-\zeta^2}}\right) \Rightarrow \zeta \approx 0.6$$

(2) Rising time = 0.1 sec

$$T_r = \frac{\pi - \varphi}{\omega_n \sqrt{1 - \zeta^2}}$$

where,  $\cos \varphi = \zeta$

$$\omega_n = \frac{3.14 - \cos^{-1}(0.6)}{0.1 \times \sqrt{1 - 0.6^2}} = 27.7 \text{ [rad/s]}$$



# Pole Placement Method (cont'd)

## Case 1: First-order System + PI control (cont'd)

### Step 2: Check desired pole locations

$$s^2 + 2\zeta\omega_n s + \omega_n^2 = 0$$

$$\Rightarrow s^2 + 2(0.6)(27.7)s + 27.7^2 = 0$$

$$s_{1,2} = -16.6 \pm 22.1j$$

### Step 3: Calculate PI gains based on model matching

$$s^2 + (a + k_p b)s + k_i b$$

$$= s^2 + 2\zeta\omega_n s + \omega_n^2$$

$$s^1 \rightarrow k_p = \frac{1}{b}(2\zeta\omega_n - a)$$

$$s^0 \rightarrow k_i = \frac{\omega_n^2}{b}$$

$$a = 30$$

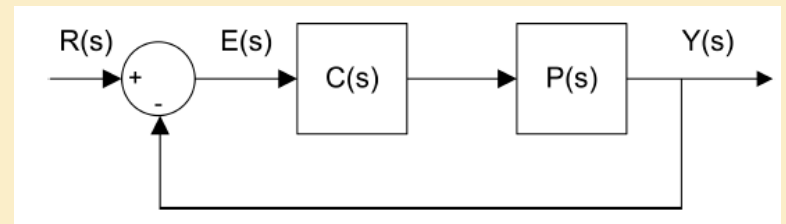
$$b = 30$$

$$\zeta \approx 0.6$$

$$\omega_n = 27.7 [\text{rad/s}]$$

$$k_p = 0.1$$

$$k_i = 25.5$$

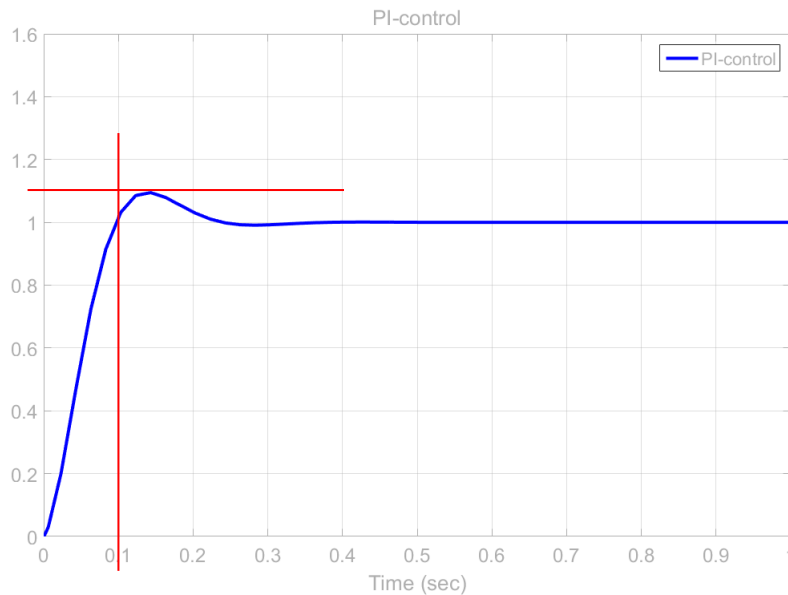
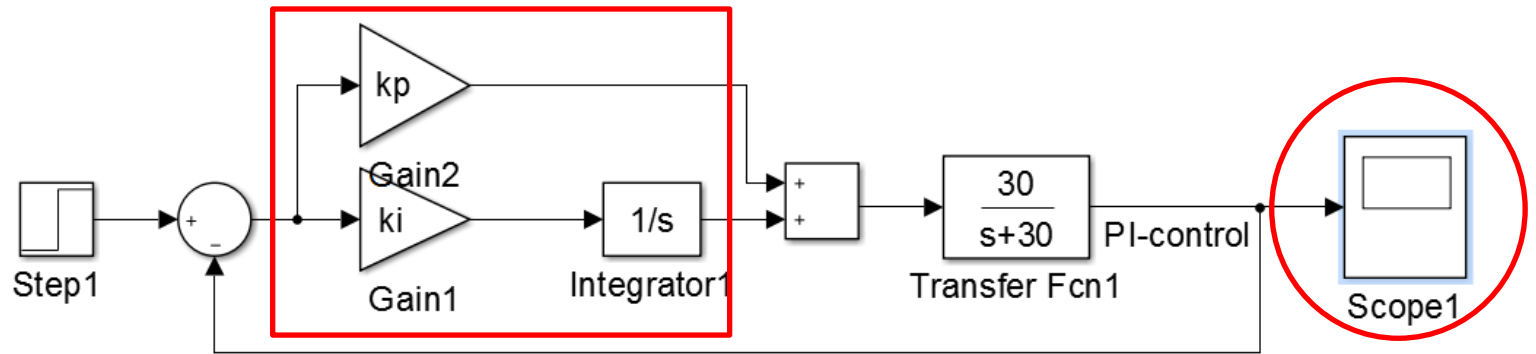


$$C(s)_{PI} = k_p + \frac{k_i}{s} \quad P(s) = \frac{b}{s + a}$$

# Pole Placement Method (cont'd)

## ■ Case 1: First-order System + PI control (cont'd)

### ■ Step 4: Simulation model & result

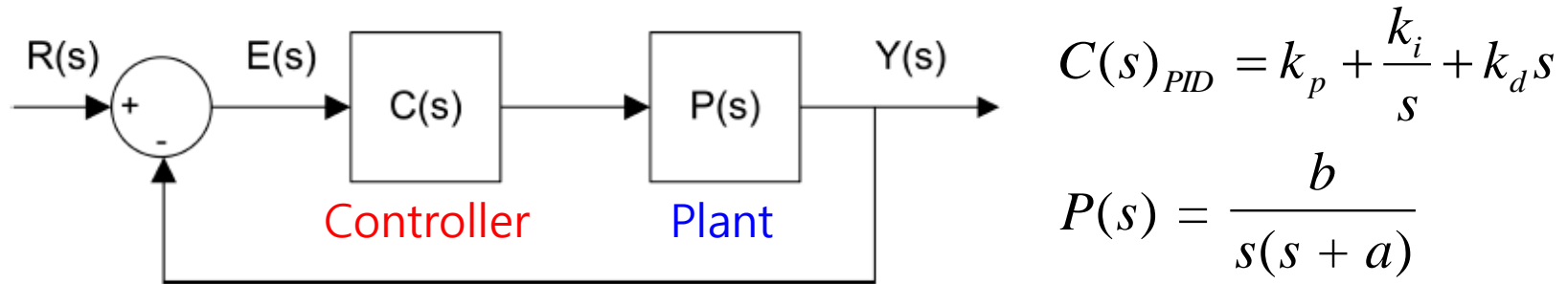


Rising time = 0.1 sec ✓

Overshoot = 10 % ✓

# Pole Placement Method (cont'd)

## Case 2: Second-order System + PID control



### The **actual** characteristic eq. of closed-loop system (PID-control)

$$\frac{Y(s)}{R(s)} = \frac{CP}{1 + CP} = \frac{\frac{k_d s^2 + k_p s + k_i}{s} \frac{b}{s^2 + as}}{1 + \frac{k_d s^2 + k_p s + k_i}{s} \frac{b}{s^2 + as}} = \frac{b(k_d s^2 + k_p s + k_i)}{s^3 + (bk_d + a)s^2 + (bk_p)s + bk_i}$$

### The **desired** characteristic eq. of closed-loop system

$$T(s) = \frac{\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2}$$

Different order !!. How ??



# Pole Placement Method (cont'd)

## Case 2: Second-order System + PID control (cont'd)

- 2<sup>nd</sup>-order desired C-L system vs. 3<sup>rd</sup>-order controlled C-L system
- So, we need a real pole to make 3<sup>rd</sup>-order desired C-L system !!

Faster (decay) pole



Minor pole

vs.

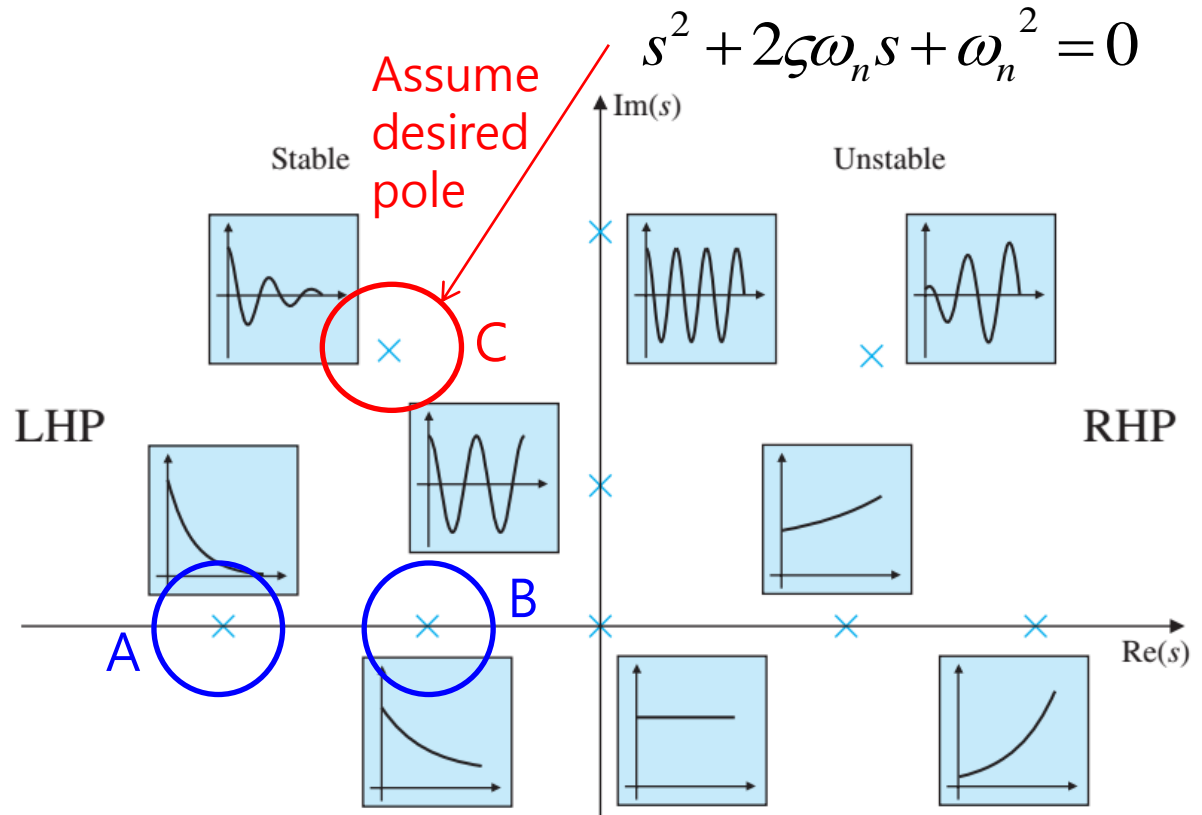
Slower (decay) pole



Dominant pole

Slower → Faster:

B → C → A



Thus, real pole A (faster) does NOT longer affect to desired pole C !!

# Pole Placement Method (cont'd)

## Case 2: Second-order System + PID control (cont'd)

- Step 0: Model matching condition with a minor (faster) pole

$$\underbrace{s^3 + (bk_d + a)s^2 + (bk_p)s + bk_i}_{\text{Actual C-L system}} = \underbrace{(s + p)}_{\text{minor}} \underbrace{(s^2 + 2\zeta\omega_n s + \omega_n^2)}_{\text{dominant}}$$

Actual C-L system

Desired C-L system

$$s_{1,2} = -5.75 \pm 11.4j$$

$$= s^3 + \{(p) + 2\zeta\omega_n\}s^2 + \{2\zeta\omega_n(p) + \omega_n^2\}s + \omega_n^2(p)$$

$$s^2 \rightarrow k_d = \frac{1}{b} \{(p) + 2\zeta\omega_n - a\}$$

$$s^1 \rightarrow k_p = \frac{1}{b} \{2\zeta\omega_n(p) + \omega_n^2\}$$

$$s^0 \rightarrow k_i = \frac{1}{b} \{\omega_n^2(p)\}$$

$$a = 10$$

$$b = 10$$

$$p = 5.75$$

$$k_d = 0.73$$

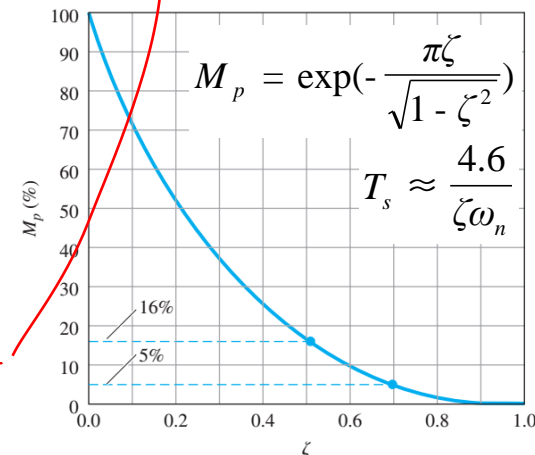
$$k_p = 22.9$$

$$k_i = 93.9$$

- Step 1: step response requirement

$$(1) \text{ Overshoot} = 20\% \rightarrow \zeta \approx 0.45$$

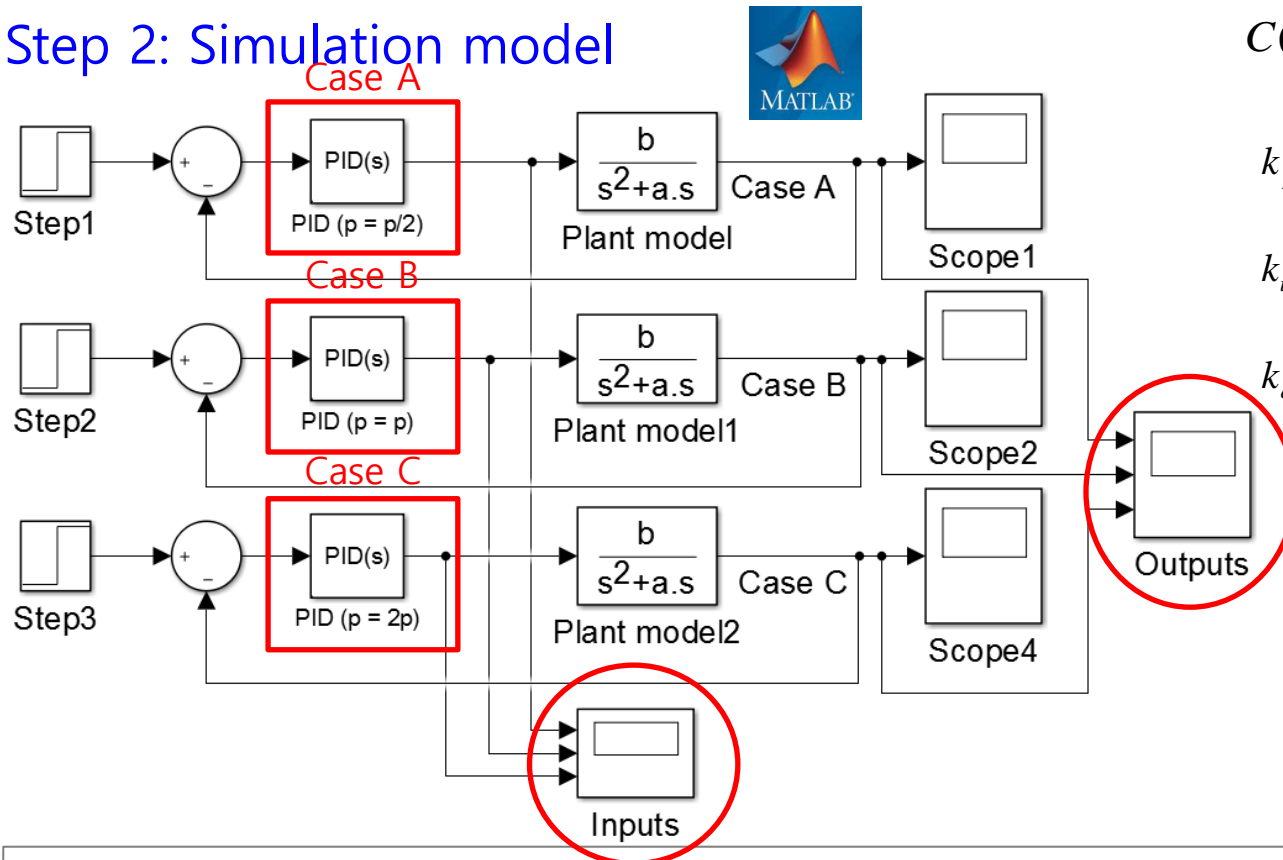
$$(2) \text{ Settling Time} = 0.8 \text{ sec} \rightarrow \omega_n = 12.8 [\text{rad/s}]$$



# Pole Placement Method (cont'd)

## Case 2: Second-order System + PID control (cont'd)

### Step 2: Simulation model



$$C(s)_{PID} = k_p + \frac{k_i}{s} + k_d s$$

$$k_p = \frac{1}{b} \{2\zeta\omega_n(p) + \omega_n^2\}$$

$$k_i = \frac{1}{b} \{\omega_n^2(p)\}$$

$$k_d = \frac{1}{b} \{(p) + 2\zeta\omega_n - a\}$$

- Case A:  $p = 5.75 / 2$  (smaller dominant pole)
- Case B:  $p = 5.75$  (near dominant pole)
- Case C:  $p = 5.75 \times 2$  (two times far from dominant pole)

# Pole Placement Method (cont'd)

## Case 2: Second-order System + PID control (cont'd)

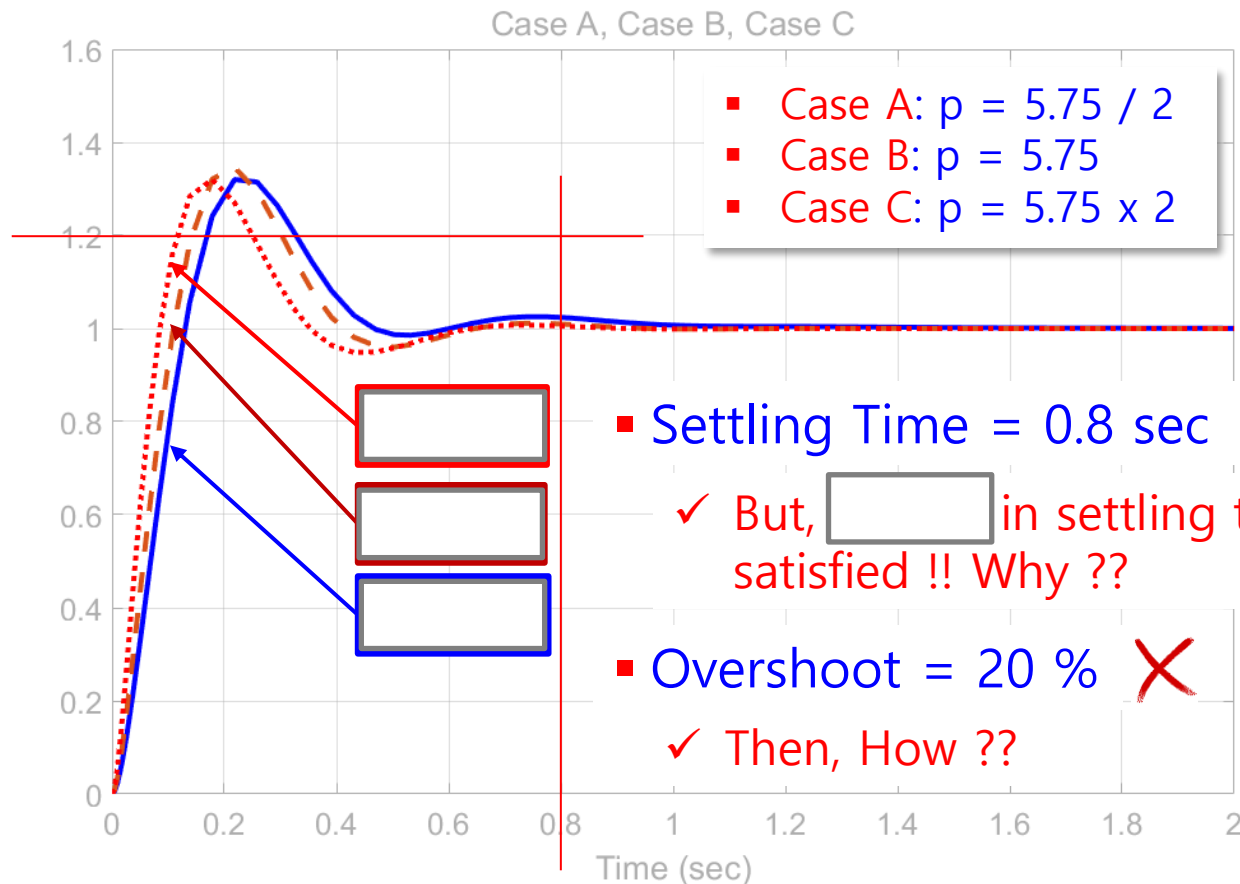
### Step 3: Simulation result (1) : Outputs

$$C(s)_{PID} = k_p + \frac{k_i}{s} + k_d s$$

$$k_p = \frac{1}{b} \{2\zeta\omega_n(p) + \omega_n^2\}$$

$$k_i = \frac{1}{b} \{\omega_n^2(p)\}$$

$$k_d = \frac{1}{b} \{(p) + 2\zeta\omega_n - a\}$$



# Pole Placement Method (cont'd)

## ■ Case 2: Second-order System + PID control (cont'd)

### ■ Step 3: Simulation result (1) : Outputs (cont'd)

$$C(s)_{PID} = k_p + \frac{k_i}{s} + k_d s$$

### ■ Which controller contributes to reduce overshoot ??

$$k_p = \frac{1}{b} \{2\zeta\omega_n(p) + \omega_n^2\}$$

$$k_i = \frac{1}{b} \{\omega_n^2(p)\}$$

$$k_d = \frac{1}{b} \{(p) + 2\zeta\omega_n - a\} \times \beta$$



$\beta > 1$  : increasing damping

$\beta < 1$  : decreasing damping

where,

$\beta$  : weighting factor

# Pole Placement Method (cont'd)

## Case 2: Second-order System + PID control (cont'd)

### Step 3: Simulation result (1) : Outputs

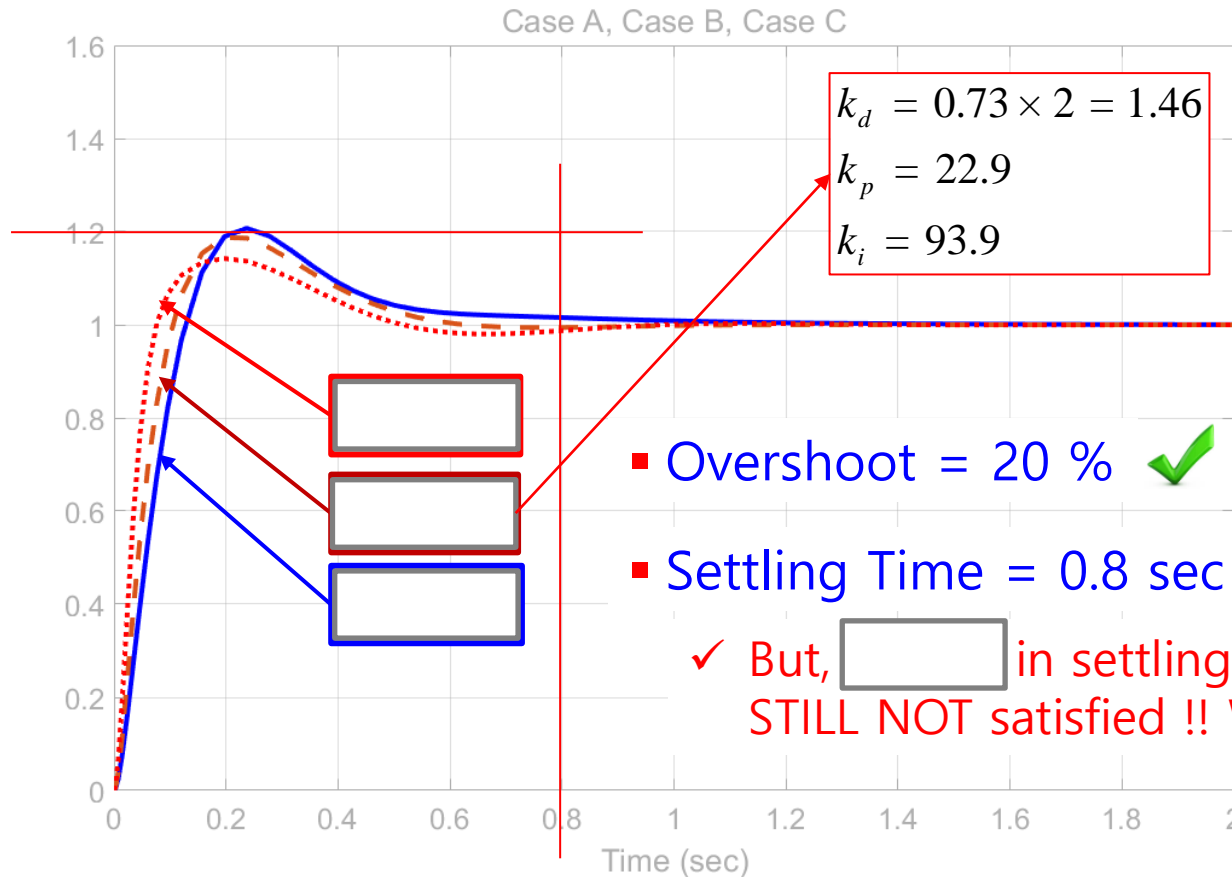
$$C(s)_{PID} = k_p + \frac{k_i}{s} + k_d s$$

$$k_p = \frac{1}{b} \{2\zeta\omega_n(p) + \omega_n^2\}$$

$$k_i = \frac{1}{b} \{\omega_n^2(p)\}$$

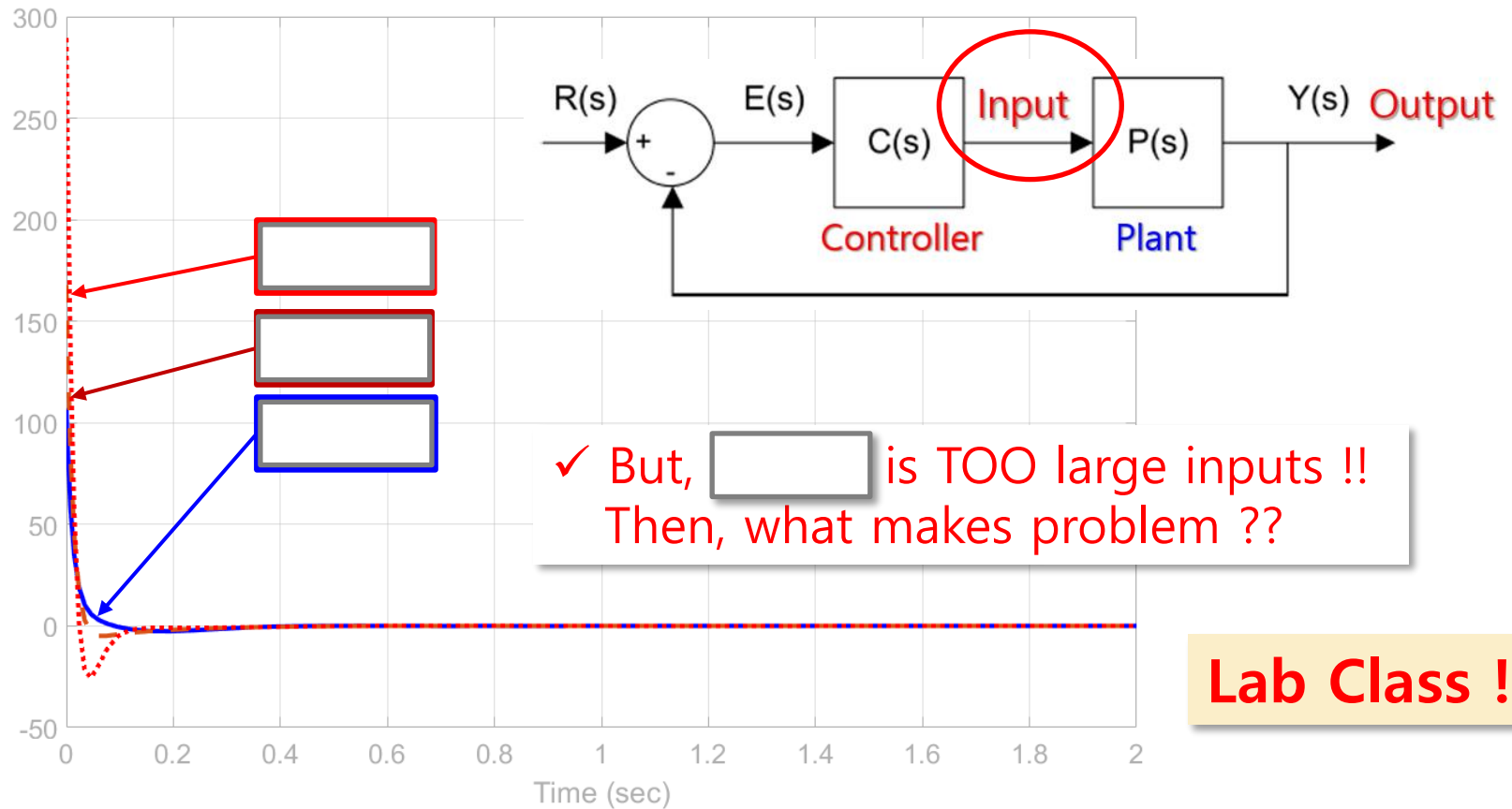
$$k_d = \frac{1}{b} \{(p) + 2\zeta\omega_n - a\} \times \beta$$

$$\beta = 2$$



# Pole Placement Method (cont'd)

- Case 2: Second-order System + PID control (cont'd)
- Step 3: Simulation result (2) : Inputs



**Lab Class !!**

# Pole Placement Method (cont'd)

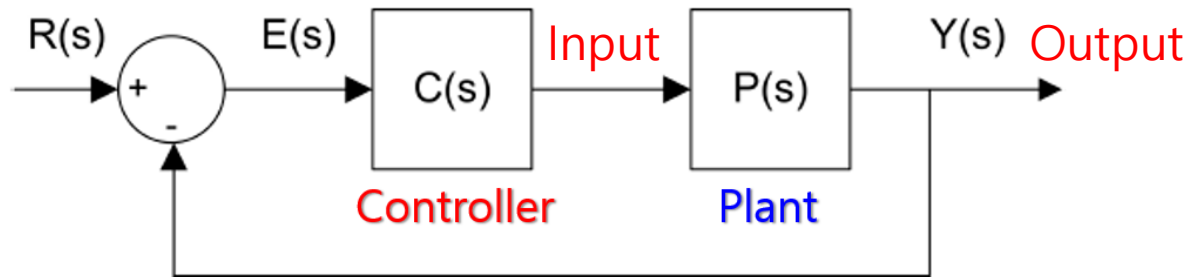
## ■ Case 2: Second-order System + PID control (cont'd)

### ■ Step 3: Simulation result (2) : Inputs (cont'd)

Lab Class !!

For example, DC-motor position control

$$P(s) = \frac{\Theta(s)}{V_a(s)} = \frac{\text{Output}}{\text{Input}} = \frac{\text{Position}}{\text{Voltage}} = \frac{K}{s(\tau s + 1)}$$



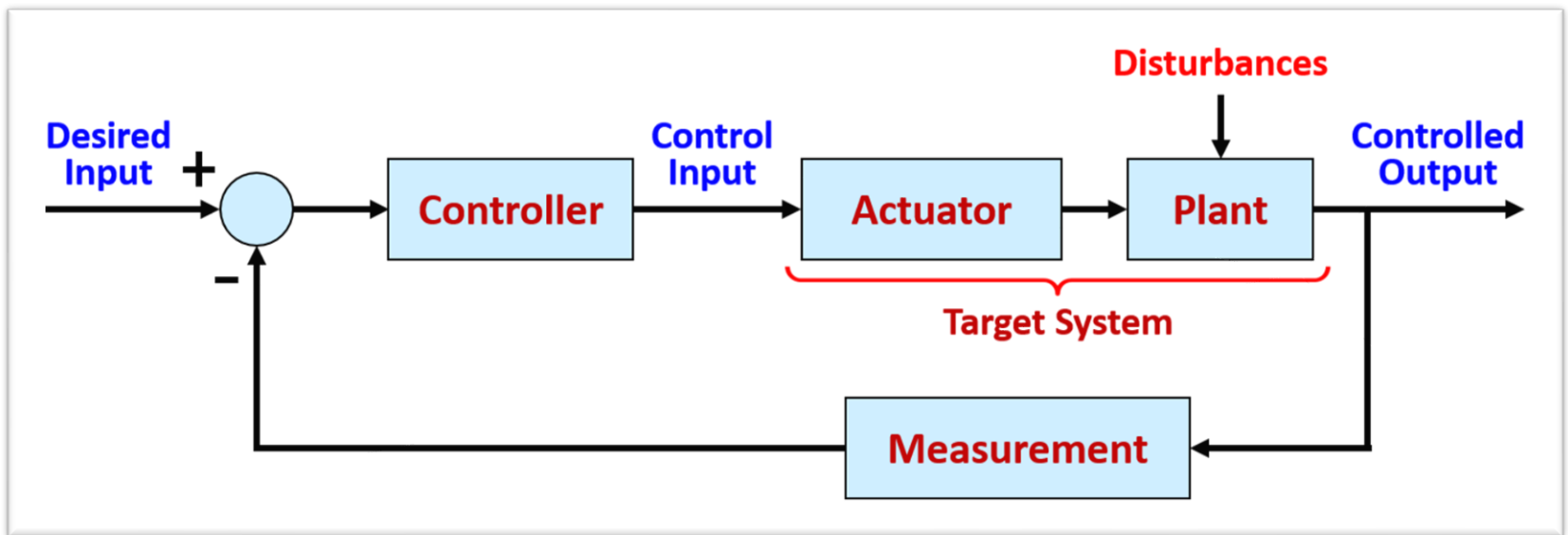
Thus, we should check whether the input capability (or limitation) to perform the controlled output !!



# Dynamic Response 2

## Lecture 5:

- Pole Placement Design Method
- Stability

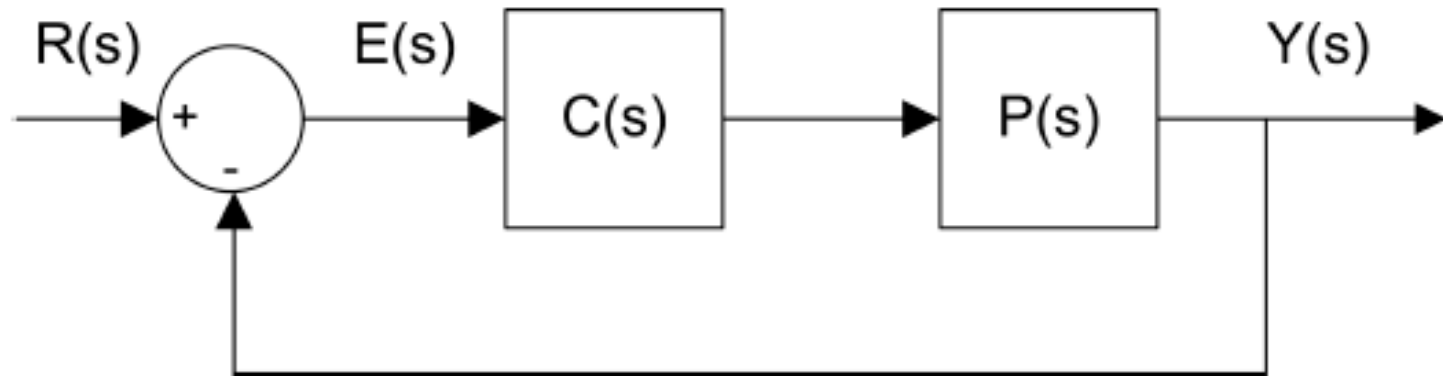


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# What is the Stability ??

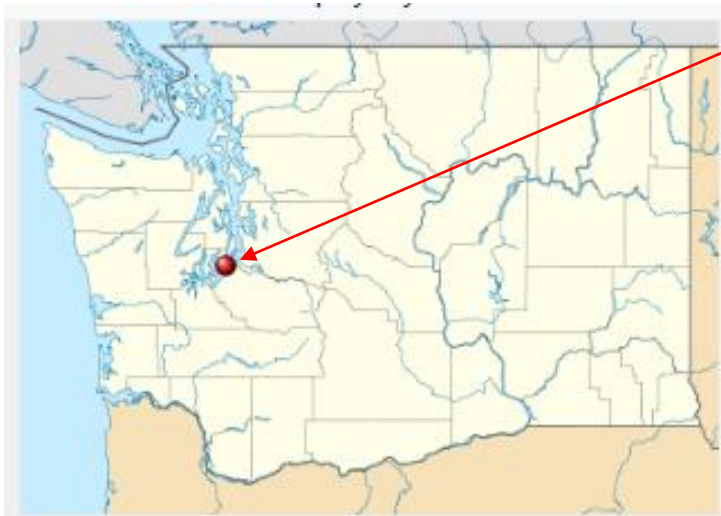
- Utmost important specification in control design !!
- Unstable systems have to be stabilized by feedback.
- Unstable closed-loop systems are useless !!
- What happens if a system is unstable?
  - may hit mechanical/electrical/biological/chemical “stops” (handling with saturation scheme)
  - may break down or burn out



# What happened if a system is unstable?

- Tacoma Narrows Bridge (July 1-Nov.7, 1940)

<https://www.youtube.com/watch?v=XggxeuFDaDU>



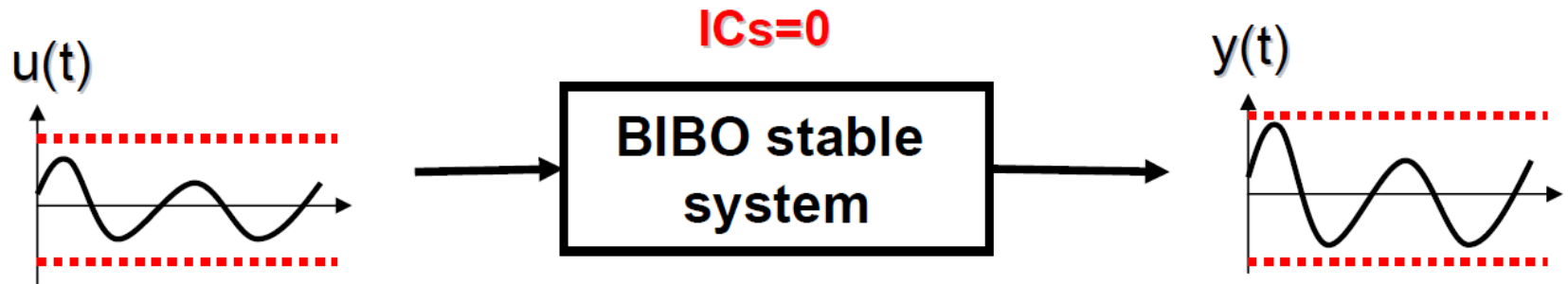
Tacoma city @ Washington State, USA

2008...

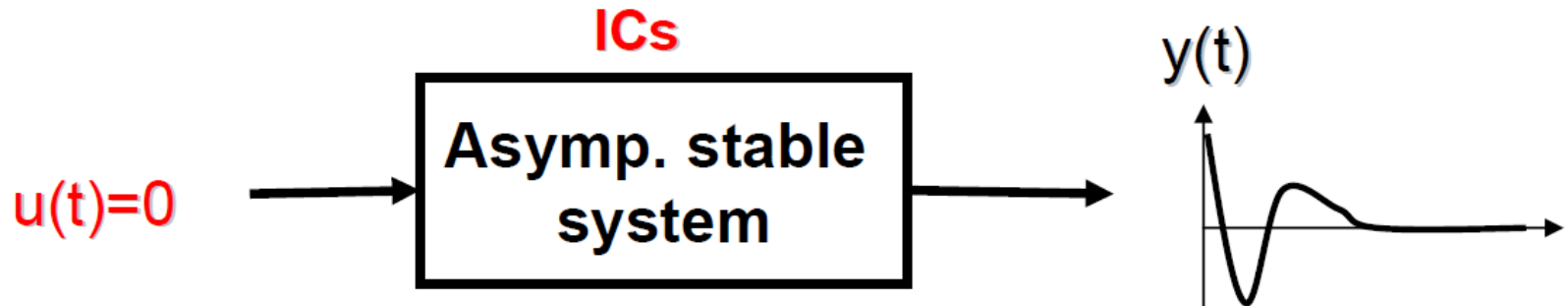


# Mathematical Definitions of Stability

- **BIBO** (Bounded-Input-Bounded-Output) **stability**:  
Any bounded input generates a bounded output.



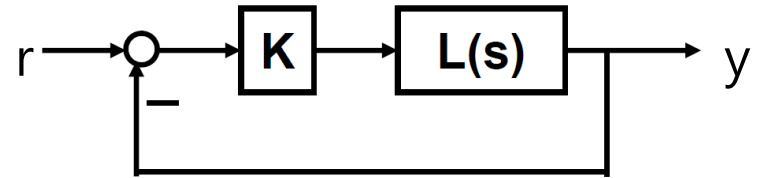
- **Asymptotic stability**:  
Any ICs generates  $y(t)$  converging to zero.



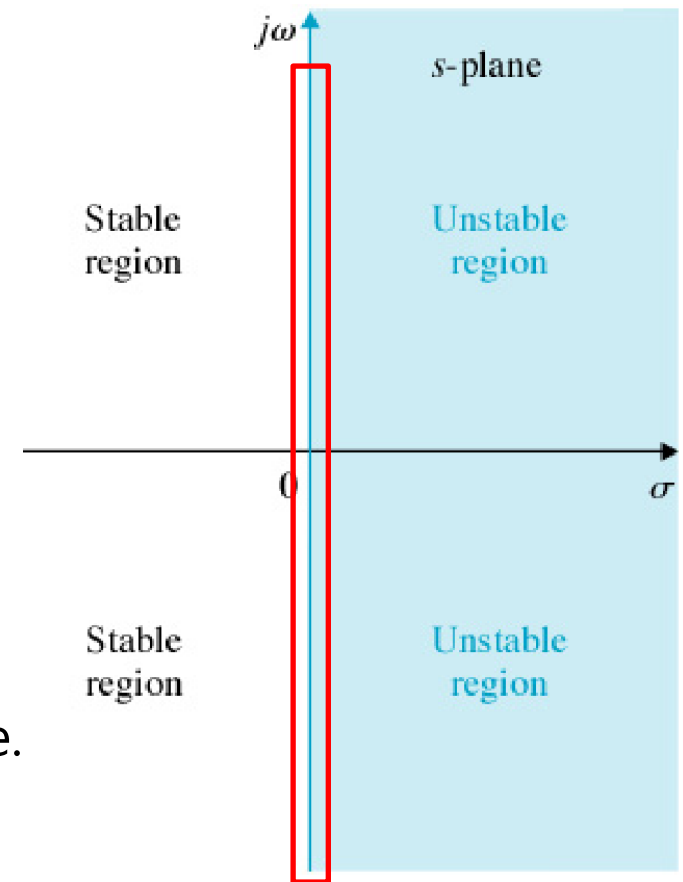
# Stability Summary

Let  $s_i$  be poles of rational  $G(s)$

$$G(s) = 1 + KL(s)$$



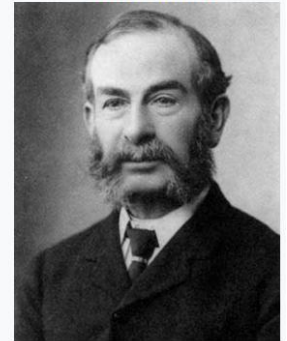
- (BIBO, asymptotically) stable if
  - $\text{Re}(s_i) < 0$  for all  $i$ .
- Marginally stable if
  - ◆  $\text{Re}(s_i) \leq 0$  for all  $i$ , and
  - ◆ simple root for  $\text{Re}(s_i) = 0$
- Unstable if
  - ◆ it is neither stable nor marginally stable.



# Routh-Hurwitz Criterion

- This is for **LTI systems with a polynomial denominator** (without sin, cos, exponential etc.)
- It determines if **all the roots** of a polynomial-
  - lie in the **LHP** (left half-plane),
  - or equivalently, have **negative real parts**.
- It also determines the number of **roots of a polynomial in the RHP** (right half-plane).
- But, it does **NOT explicitly compute the roots**.

Edward Routh



Edward John Routh (1831–1907)

**Born** 20 January 1831  
Quebec, Canada  
**Died** 7 June 1907 (aged 76)  
Cambridge, England

Adolf Hurwitz



**Born** 26 March 1859  
Hildesheim, Kingdom of  
Hanover (now part of Germany)  
**Died** 18 November 1919 (aged 60)  
Zürich, Switzerland

# Polynomial and an Assumption

- Consider a polynomial of characteristic eq.

$$Q(s) = a_n s^n + a_{n-1} s^{n-1} + \cdots + a_1 s + a_0$$

- Assume  $a_0 \neq 0$

- If this assumption does not hold, Q can be factored as

$$Q(s) = s^m \underbrace{(\hat{a}_{n-m} s^{n-m} + \cdots + \hat{a}_1 s + \hat{a}_0)}_{\hat{Q}(s)}$$

where  $\hat{a}_0 \neq 0$

- The following method applies to the polynomial  $\hat{Q}(s)$

# Routh Array

$$Q(s) = \underbrace{a_n s^n}_{\text{red}} + \underbrace{a_{n-1} s^{n-1}}_{\text{blue}} + \cdots + \underbrace{a_1 s}_{\text{blue}} + \underbrace{a_0}_{\text{red}}$$

Highest $\rightarrow$	$s^n$	$a_n$	$a_{n-2}$	$a_{n-4}$	$a_{n-6}$	$\cdots$	From the given polynomial $\leftarrow$
2 <sup>nd</sup> Highest $\rightarrow$	$s^{n-1}$	$a_{n-1}$	$a_{n-3}$	$a_{n-5}$	$a_{n-7}$	$\cdots$	
	$s^{n-2}$	$b_1$	$b_2$	$b_3$	$b_4$	$\cdots$	
	$s^{n-3}$	$c_1$	$c_2$	$c_3$	$c_4$	$\cdots$	
	$\vdots$	$\vdots$	$\vdots$				
	$s^2$	$k_1$	$k_2$				
	$s^1$	$l_1$					
	$s^0$	$m_1$					



# Routh Array (cont'd)

- How to compute the **third row**

$s^n$	$a_n$	$a_{n-2}$	$a_{n-4}$	$a_{n-6}$	$\cdots$
$s^{n-1}$	$a_{n-1}$	$a_{n-3}$	$a_{n-5}$	$a_{n-7}$	$\cdots$
$s^{n-2}$	$b_1$	$b_2$	$b_3$	$b_4$	$\cdots$
$s^{n-3}$	$c_1$	$c_2$	$c_3$	$c_4$	$\cdots$
$\vdots$	$\vdots$	$\vdots$			
$s^2$	$k_1$	$k_2$			
$s^1$	$l_1$				
$s^0$	$m_1$				

$$b_1 = \frac{a_{n-2}a_{n-1} - a_n a_{n-3}}{a_{n-1}}$$

$$b_2 = \frac{a_{n-4}a_{n-1} - a_n a_{n-5}}{a_{n-1}}$$

$$\vdots$$

# Routh Array (cont'd)

- How to compute the forth row

$s^n$	$a_n$	$a_{n-2}$	$a_{n-4}$	$a_{n-6}$	$\cdots$
$s^{n-1}$	$a_{n-1}$	$a_{n-3}$	$a_{n-5}$	$a_{n-7}$	$\cdots$
$s^{n-2}$	$b_1$	$b_2$	$b_3$	$b_4$	$\cdots$
$s^{n-3}$	$c_1$	$c_2$	$c_3$	$c_4$	$\cdots$
$\vdots$	$\vdots$	$\vdots$			
$s^2$	$k_1$	$k_2$			
$s^1$	$l_1$				
$s^0$	$m_1$				

$$c_1 = \frac{a_{n-3}b_1 - a_{n-1}b_2}{b_1}$$

$$c_2 = \frac{a_{n-5}b_1 - a_{n-3}b_3}{b_1}$$

$$\vdots$$

# Routh-Hurwitz Criterion

$s^n$	$a_n$	$a_{n-2}$	$a_{n-4}$	$a_{n-6}$	$\cdots$
$s^{n-1}$	$a_{n-1}$	$a_{n-3}$	$a_{n-5}$	$a_{n-7}$	$\cdots$
$s^{n-2}$	$b_1$	$b_2$	$b_3$	$b_4$	$\cdots$
$s^{n-3}$	$c_1$	$c_2$	$c_3$	$c_4$	$\cdots$
$\vdots$	$\vdots$	$\vdots$			
$s^2$	$k_1$	$k_2$			
$s^1$	$l_1$				
$s^0$	$m_1$				

The number of roots  
in the open right half-plane  
 is equal to  
the number of sign changes  
 in the **first column** of Routh array.

# Example 1: Simple Polynomial

$$Q(s) = s^3 + s^2 + 2s + 8 \quad (= (s + 2)(s^2 - s + 4))$$

Routh array

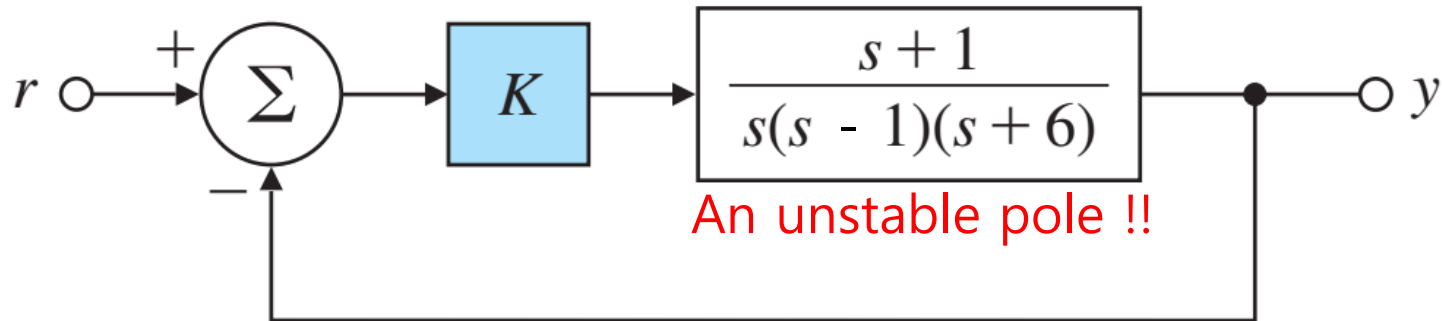
$s^3$	1	2	$\frac{2 - 8}{1}$
$s^2$	1	8	
$s^1$	-6		$\frac{8 \times (-6) - 0}{-6}$
$s^0$	8		

Two sign changes  
in the first column  
 $1 \rightarrow -6 \rightarrow 8$



Two roots in RHP  
 $\frac{1}{2} \pm \frac{j\sqrt{15}}{2}$

# Example 2: In the Feedback System



- Characteristic eq. is given by


$$1 + K \frac{s+1}{s(s-1)(s+6)} = 0, \quad \Rightarrow \quad s^3 + 5s^2 + (K-6)s + K = 0.$$

- Routh array is
- |         |             |       |
|---------|-------------|-------|
| $s^3 :$ | 1           | $K-6$ |
| $s^2 :$ | 5           | $K$   |
| $s :$   | $(4K-30)/5$ |       |
| $s^0 :$ | $K$         |       |

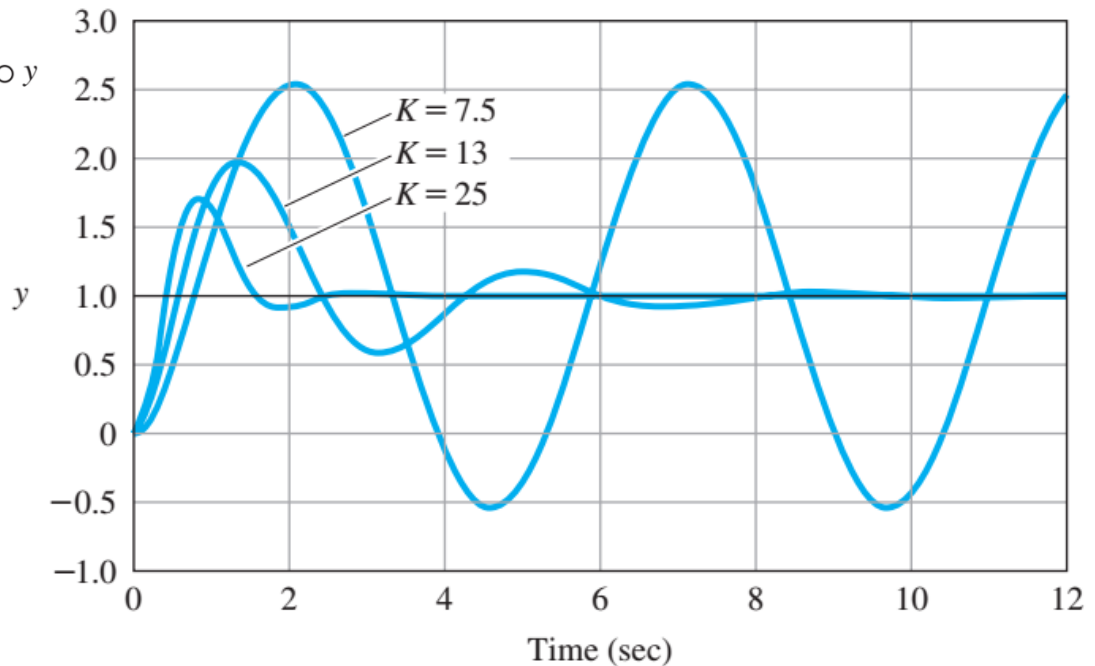
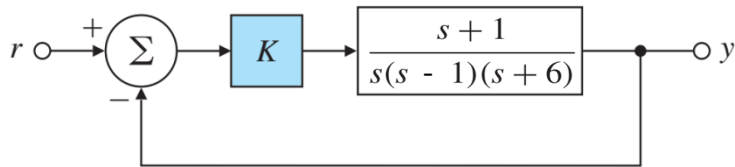
# Example 2: In the Feedback System (cont'd)

- For the system to be stable, it is necessary that

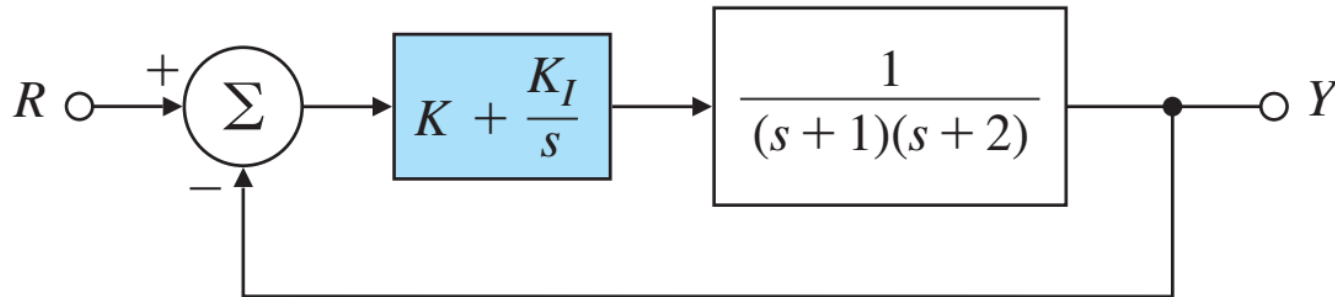
$$\begin{array}{rcl}
 s^3 : & 1 & K - 6 \\
 s^2 : & 5 & K \\
 s : & \boxed{\phantom{000}} & \\
 s^0 : & K &
 \end{array}
 \quad \rightarrow \quad \frac{4K - 30}{5} > 0 \quad \text{and} \quad K > 0,$$



$$K > 7.5 \quad \text{and} \quad K > 0.$$



# Example 3: PI Controller Design



- Characteristic eq. is given by

$$1 + \left(K + \frac{K_I}{s}\right) \frac{1}{(s+1)(s+2)} = 0, \quad \Rightarrow \quad s^3 + 3s^2 + (2+K)s + K_I = 0.$$

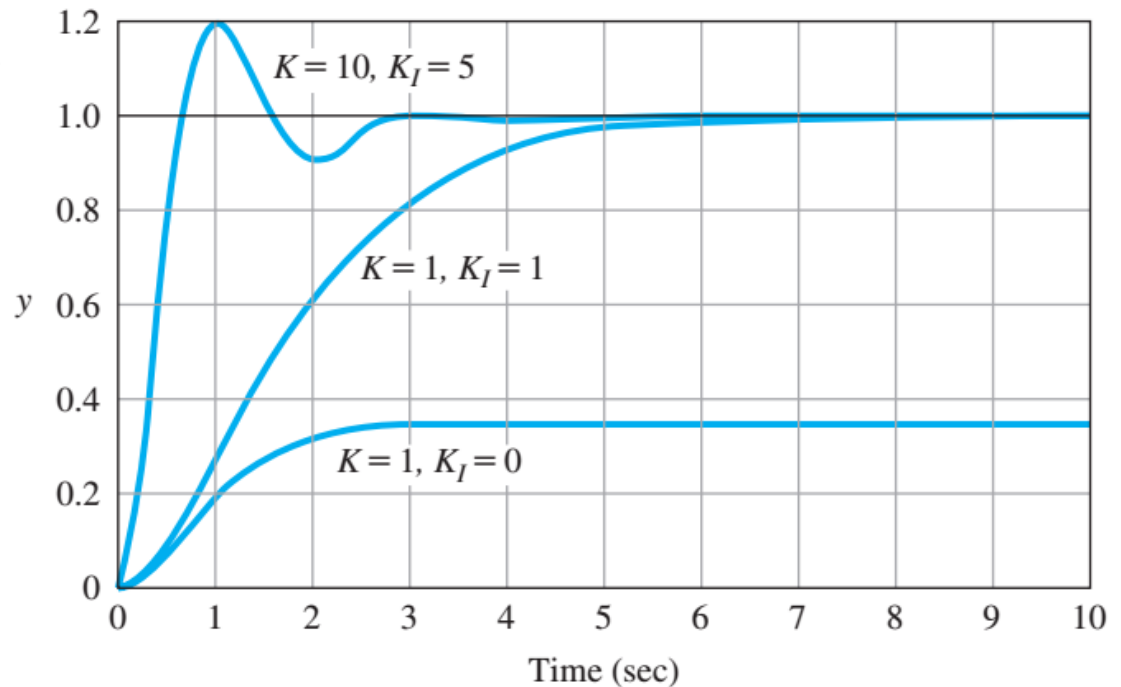
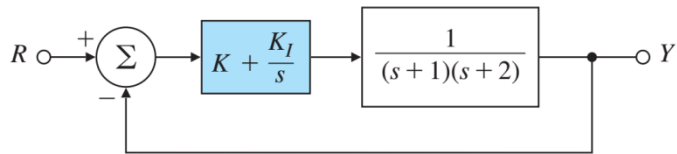
- Routh array is

$$\begin{array}{lcl} s^3 : & 1 & 2+K \\ s^2 : & 3 & K_I \\ s : & (6+3K-K_I)/3 & \\ s^0 : & K_I & \end{array}$$

# Example 3: PI Controller Design (cont'd)

- For the system to **be stable**, it is necessary that

$$\begin{array}{rcl}
 s^3 : & 1 & 2 + K \\
 s^2 : & 3 & K_I \\
 s : & (6 + 3K - K_I)/3 & \\
 s^0 : & K_I & 
 \end{array}
 \Rightarrow K_I > 0 \quad \text{and} \quad K > \frac{1}{3}K_I - 2.$$





# Special Case I: Routh-Hurwitz Method

$s^n$	$a_n$	$a_{n-2}$	$a_{n-4}$	$a_{n-6}$	$\cdots$
$s^{n-1}$	$a_{n-1}$	$a_{n-3}$	$a_{n-5}$	$a_{n-7}$	$\cdots$
$s^{n-2}$	$b_1$	$b_2$	$b_3$	$b_4$	$\cdots$
$s^{n-3}$	$c_1$	$c_2$	$c_3$	$c_4$	$\cdots$
$\vdots$	$\vdots$	$\vdots$			
$s^2$	$k_1$	$k_2$			
$s^1$	$l_1$				
$s^0$	$m_1$				

$$c_1 = \frac{a_{n-3}b_1 - a_{n-1}b_2}{b_1}$$

$$c_2 = \frac{a_{n-5}b_1 - a_{n-3}b_3}{b_1}$$

$$\vdots$$

- Question: What if the  $b_1$  (denominator) is zero?

# Special Case I: Routh-Hurwitz Method (cont'd)

- Consider a polynomial of characteristic eq.

$$Q(s) = s^5 + 2s^4 + 3s^3 + 6s^2 + 5s + 2 = 0 \longrightarrow$$

roots

$$\begin{cases} -0.5414 \pm j0.4671, \\ -1.5624 \end{cases}$$

$s^5$	1	3	5
$s^4$	2	6	2
$s^3$	0	4	
$s^2$			
$s$			
1			

$$b_1 = \frac{2 \cdot 3 - 6}{2} = 0$$

$$b_2 = \frac{2 \cdot 5 - 2}{2} = 4$$

Then, instead of zero, we can replace with small number ( $\varepsilon \neq 0$ )

$s^5$	1	3	5
$s^4$	2	6	2
$s^3$	$\varepsilon$	4	
$s^2$	$-8/\varepsilon$	2	
$s$	4		
1	2		

$$c_1 = \frac{\varepsilon \cdot 6 - 4 \cdot 2}{\varepsilon} \approx -\frac{8}{\varepsilon}$$

$$c_2 = \frac{\varepsilon \cdot 2 - 0 \cdot 2}{\varepsilon} = 2$$

$$d_1 = \frac{4 \cdot (-8)/\varepsilon - 2 \cdot \varepsilon}{(-8)/\varepsilon} \approx 4$$

## ❖ Findings:

- Although **any value (positive or negative) of  $\varepsilon$**  is chosen, **two times of sign changes** will be done!!  $\rightarrow$  **Unstable!!**

# Special Case II: Routh-Hurwitz Method

$s^n$	$a_n$	$a_{n-2}$	$a_{n-4}$	$a_{n-6}$	$\cdots$
$s^{n-1}$	$a_{n-1}$	$a_{n-3}$	$a_{n-5}$	$a_{n-7}$	$\cdots$
$s^{n-2}$	$b_1$	$b_2$	$b_3$	$b_4$	
$s^{n-3}$	$c_1$	$c_2$	$c_3$	$c_4$	$\cdots$
$\vdots$	$\vdots$	$\vdots$			
$s^2$	$k_1$	$k_2$			
$s^1$	$l_1$				
$s^0$	$m_1$				

$$c_1 = \frac{a_{n-3}b_1 - a_{n-1}b_2}{b_1}$$

$$c_2 = \frac{a_{n-5}b_1 - a_{n-1}b_3}{b_1}$$

$$\vdots$$

**All coefficients are zero??**

**zero**

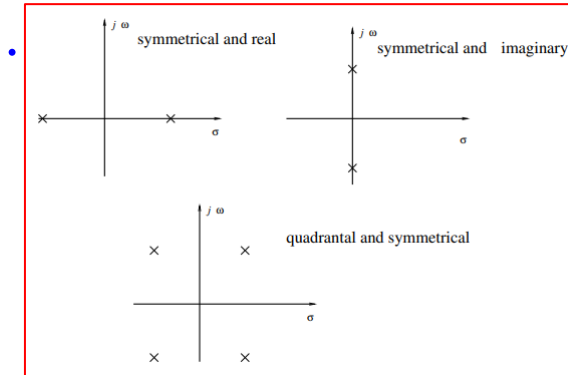
**zero**

- **Question:** What if all coefficients in a row are zeros?

# Special Case II: Routh-Hurwitz Method (cont'd)

- Consider a polynomial of characteristic eq.

$$Q(s) = s^5 + 2s^4 + 4s^3 + 5s^2 + 4s + 2 = 0$$



$s^5$	1	4	4
$s^4$	2	5	2
$s^3$	1.5	3	
$s^2$	1	2	
$s$	0	0	
1			

$A(s) = s^2 + 2$  : Auxiliary polynomial equation

It indicates that  $Q(s) = 0$  must have a pair of roots equal magnitude and opposite sign, which are also roots of  $A(s) = 0$ .

$s^5$	1	4	4
$s^4$	2	5	2
$s^3$	1.5	3	
$s^2$	1	2	
$s$	2	0	
1	2	0	

$$\frac{dA(s)}{ds} = 2s$$

❖ Thus, the zero row can be calculated!! → there is no sign change!!

# Summary

## ❖ Summary:

- PID controller design by using Pole Placement Method
- Stability Criteria
- Routh-Hurwitz Stability Criteria and Control Design Method