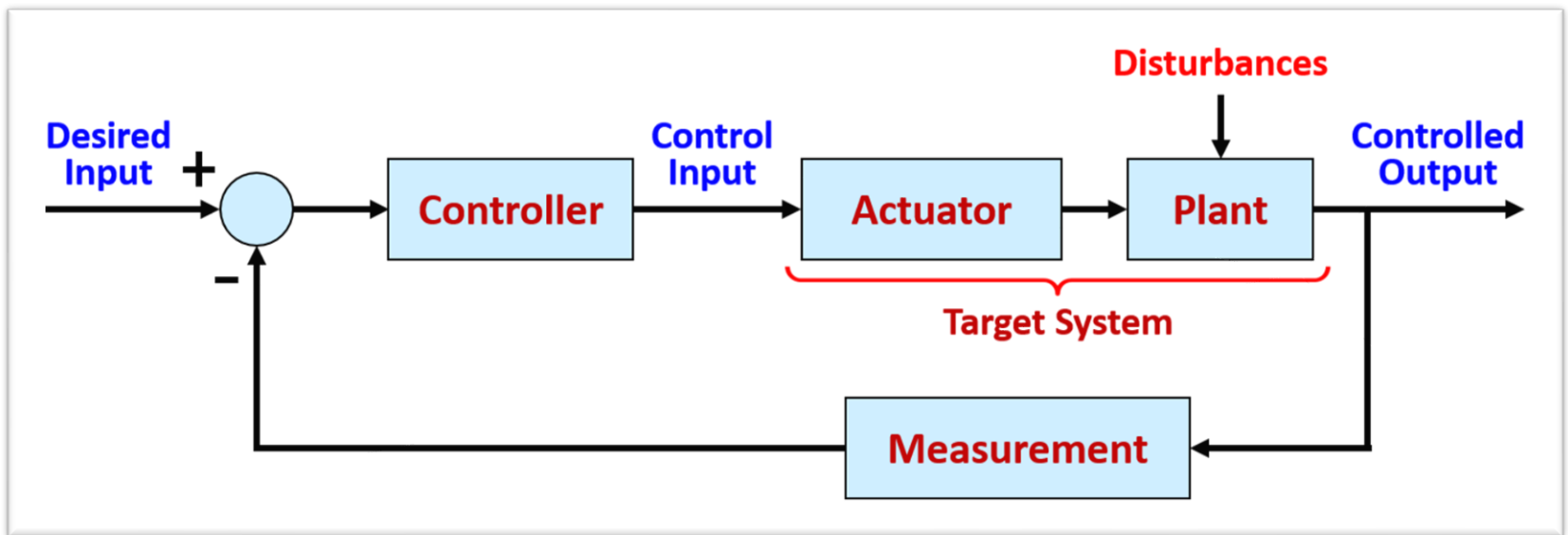


# Dynamic Response 1

## Lecture 4:

- Important Aspects of Dynamic Response
- Step Response Analysis



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# Application of Control Engineering in Our Life (1)

## ❖ NAVER LABS Intelligence in Mobility



<https://www.youtube.com/watch?v=B-RXhvcV0Pc>

# Important Aspects of Dynamic Response

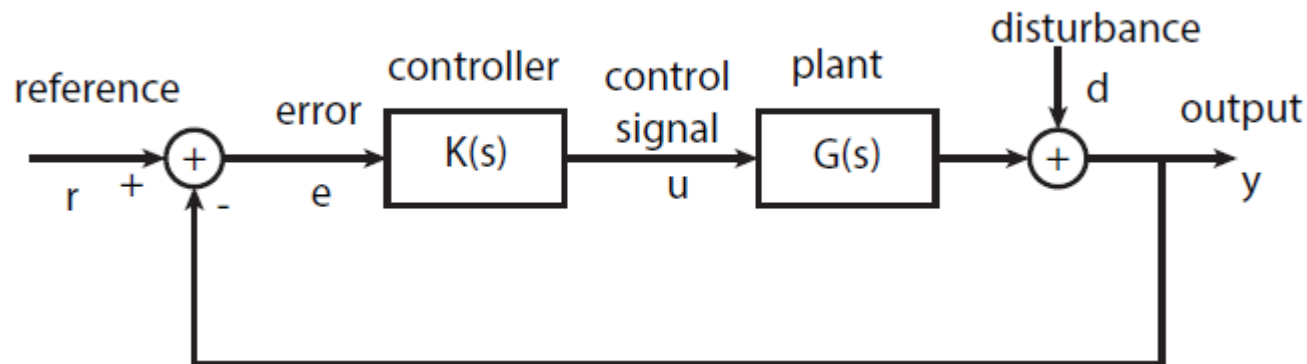
(1) Block diagram: Controller + Plant Model

(2) Poles and zeros

(3) Effect of pole locations

(4) Effect of zero locations

(5) Control Performance Measurement Index



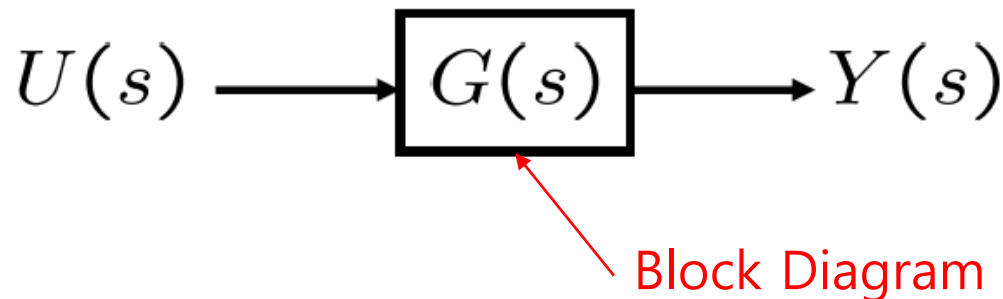
# Important Aspects of Dynamic Response

## (1) Block Diagram with Single Transfer Function (Revisited)

- A transfer function is defined by,

$$G(s) := \frac{Y(s)}{U(s)}$$

Laplace transform of system output  
Laplace transform of system input

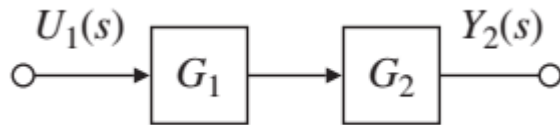


- But, a system is assumed to be at rest. (zero initial condition)

# Important Aspects of Dynamic Response

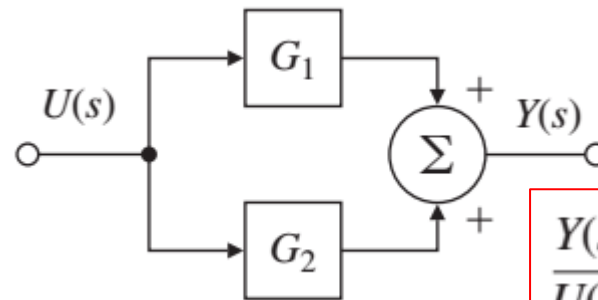
## (1) Block Diagram with Multi-Transfer Functions (cont'd)

### Serial Connection



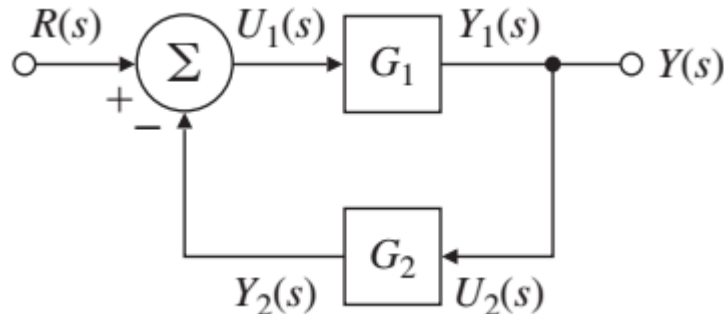
$$\frac{Y_2(s)}{U_1(s)} = G_2 G_1$$

### Parallel Connection



$$\frac{Y(s)}{U(s)} = G_2 + G_1$$

### Feedback Connection (Type I)



**Proof:**

$$U_1(s) = R(s) - Y_2(s),$$

$$Y_2(s) = \boxed{\phantom{G_2 G_1}} U_1(s),$$

$$Y_1(s) = G_1(s) U_1(s),$$

where,  $Y_1(s) = Y(s)$

$$\begin{aligned} U_1 &= R - G_2 G_1 U_1 \\ (1 + G_1 G_2) U_1 &= R \\ U_1 &= \frac{R}{1 + G_1 G_2} \end{aligned}$$

$$\frac{Y(s)}{R(s)} = \frac{G_1}{1 + G_2 G_1}$$

## (1) Block Diagram with Multi-Transfer Functions (cont'd)

```

graph LR
    R((R)) -- "+" --> Sum((Σ))
    Sum -- "E" --> G2[G2]
    G2 --> G1[G1]
    G1 --> Y((Y))
    Y -- "-" --> Sum
  
```

$$\begin{array}{l} E = \boxed{\phantom{0000}} \\ Y = \boxed{\phantom{0000}} E \end{array}$$

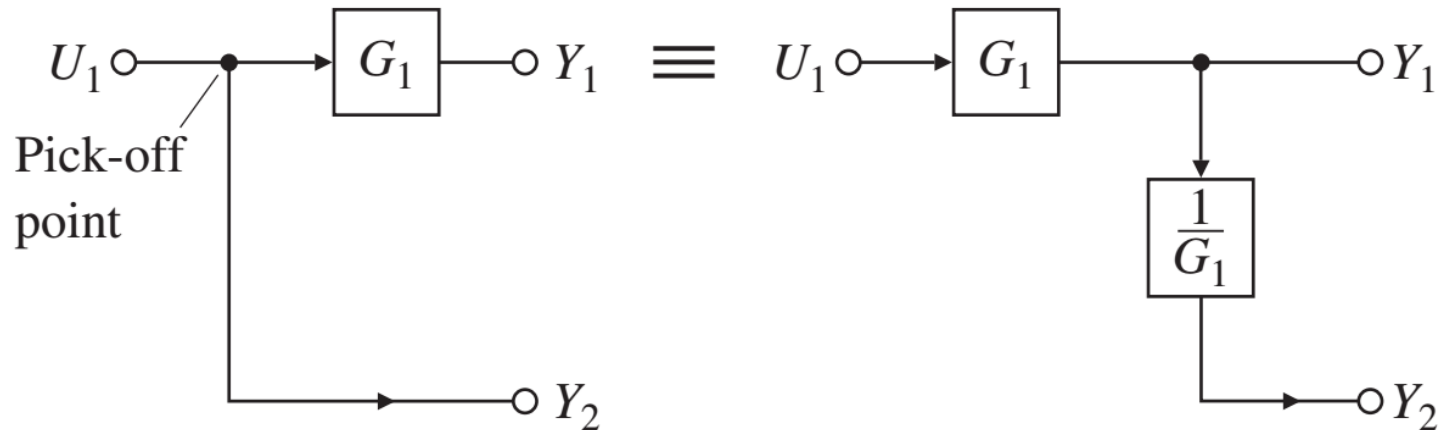


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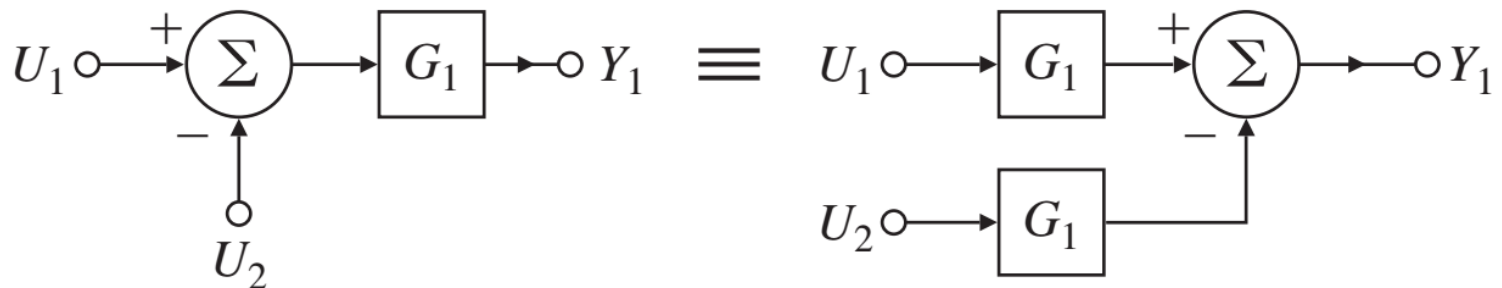
# Important Aspects of Dynamic Response

## (1) Block Diagram with Multi-Transfer Functions (cont'd)

- Block Diagram Algebra (1): Moving a pickoff point



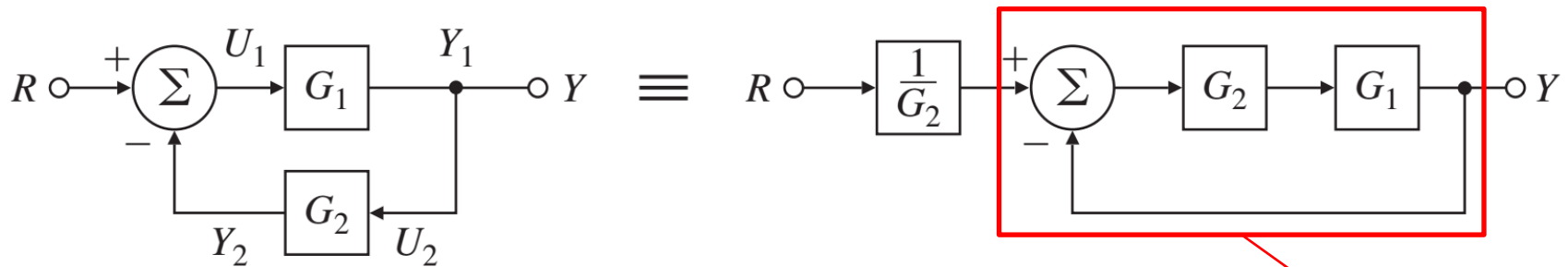
- Block Diagram Algebra (2): Moving a summer



# Important Aspects of Dynamic Response

## (1) Block Diagram with Multi-Transfer Functions (cont'd)

- Block Diagram Algebra (3): Conversion to unity feedback



$$\frac{Y(s)}{R(s)} = \frac{G_1}{1 + G_1 G_2}$$

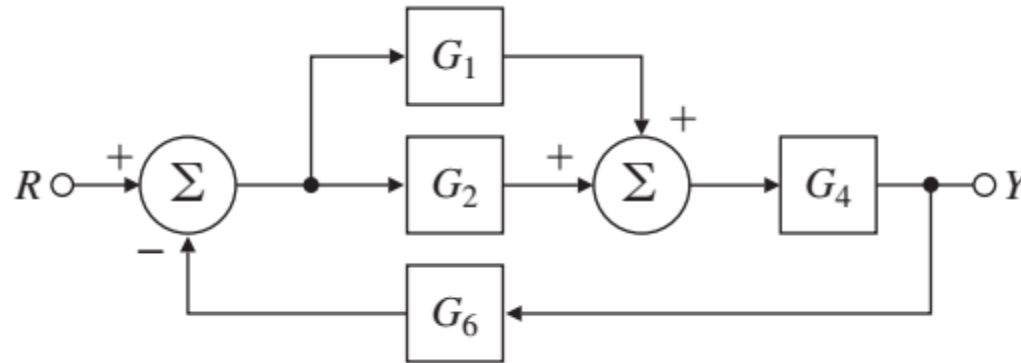
$$\frac{Y(s)}{R(s)} = \frac{1}{\cancel{G_2}} \frac{\cancel{G_1} \cancel{G_2}}{1 + G_1 G_2}$$



# Important Aspects of Dynamic Response

## (1) Block Diagram with Multi-Transfer Functions (cont'd)

- Example using Matlab



where,

$$G_1 = 2, G_2 = \frac{4}{s}, G_4 = \frac{1}{s}, G_6 = 1$$

```
s=tf('s'); % specify a TF in the Laplace variable s
sysG1=2; % define subsystem G1
sysG2=4/s; % define subsystem G2
sysG3=parallel(sysG1,sysG2); % parallel combination of G1 and G2
sysG4=1/s; % define subsystem G4
sysG5=series(sysG3,sysG4); % series combination of G3 and G4
sysG6=1;
sysCL=feedback(sysG5,sysG6,-1) % feedback combination of G5 and G6
```



sysCL =

$$\frac{2s + 4}{s^2 + 2s + 4}$$

# Important Aspects of Dynamic Response

## (2) Poles and Zeros of Transfer Function

Parameters

$$H(s) = \frac{b_1 s^m + b_2 s^{m-1} + \dots + b_{m+1}}{s^n + a_1 s^{n-1} + \dots + a_n} = \frac{N(s)}{D(s)},$$

Gain

$$H(s) = K \frac{\prod_{i=1}^m (s - z_i)}{\prod_{i=1}^n (s - p_i)}.$$


Roots  
or Eigenvalues  
or Zeros & Poles


- Question: So, why we call these Zeros & Poles??

# Important Aspects of Dynamic Response

## (2) Poles and Zeros of Transfer Function (cont'd)

$$H(s) = K \frac{\prod_{i=1}^m (s - z_i)}{\prod_{i=1}^n (s - p_i)}.$$

If  $s = z_i$ , then   $H(s)|_{s=z_i} = 0$ . **Zeros**

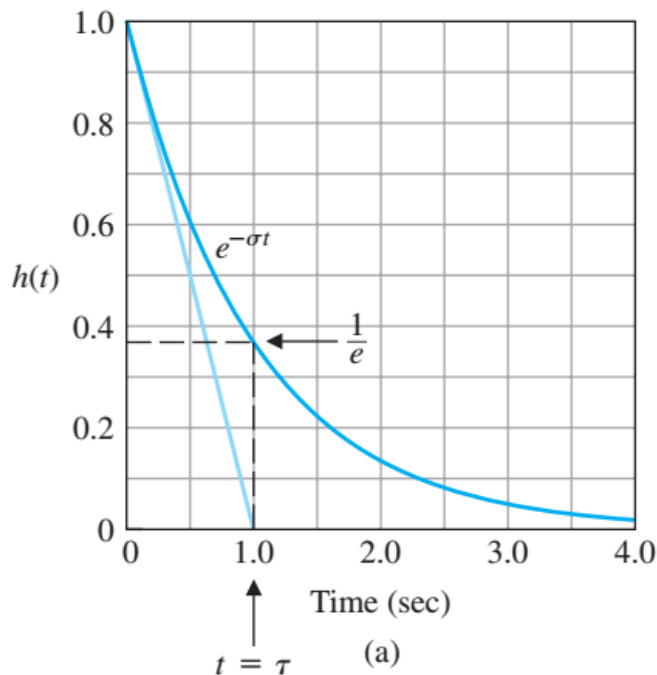
If  $s = p_i$ , then   $|H(s)|_{s=p_i} = \infty$ . **Poles**

# Important Aspects of Dynamic Response

## (3) Effect of Pole Locations

Ex) For a first-order pole with the impulse response,

$$H(s) = \frac{1}{s + \sigma} \cdot \mathcal{L}^{-1} \Rightarrow h(t) = e^{-\sigma t} 1(t).$$



$\sigma > 0 \Rightarrow$  pole location  $s < 0 \Rightarrow$  stable  
 $\sigma < 0 \Rightarrow$  pole location  $s > 0 \Rightarrow$  unstable

▪ **Thus, system stability depends on pole location!!**

# Important Aspects of Dynamic Response

## (3) Effect of **Pole** Locations (cont'd)

- **Example 1: Real Poles**

$$H(s) = \frac{2s + 1}{s^2 + 3s + 2}.$$

Partial-fraction expansion gives,

$$H(s) = -\frac{1}{s + 1} + \frac{3}{s + 2}.$$

  $\mathcal{L}^{-1}$

$$h(t) = \begin{cases} -e^{-t} + 3e^{-2t} & t \geq 0, \\ 0 & t < 0. \end{cases}$$

# Important Aspects of Dynamic Response

## (3) Effect of Pole Locations (cont'd)

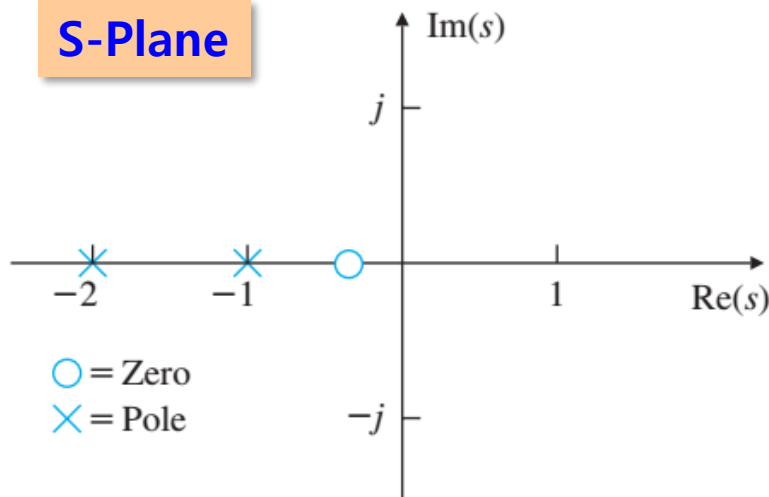
### ▪ Example 1: Real Poles (cont'd)

$$H(s) = \frac{2s + 1}{s^2 + 3s + 2}.$$

```
num = [2 1];  
den = [1 3 2];  
pzmap(num,den)
```

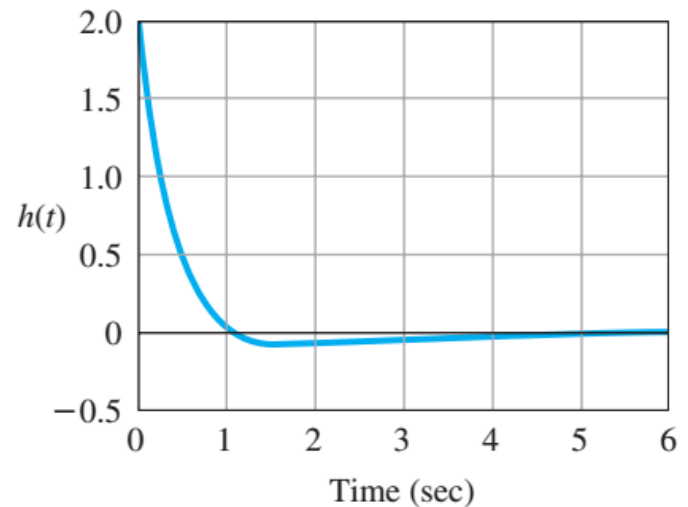


**S-Plane**



$$H(s) = -\frac{1}{s + 1} + \frac{3}{s + 2}.$$

$$h(t) = \begin{cases} -e^{-t} + 3e^{-2t} & t \geq 0, \\ 0 & t < 0. \end{cases}$$



# Important Aspects of Dynamic Response

## (3) Effect of Pole Locations (cont'd)

### Example 2: Complex (conjugate) Poles

$$H(s) = \frac{b(s)}{a(s)} \quad \leftarrow s = -\sigma \pm j\omega_d.$$

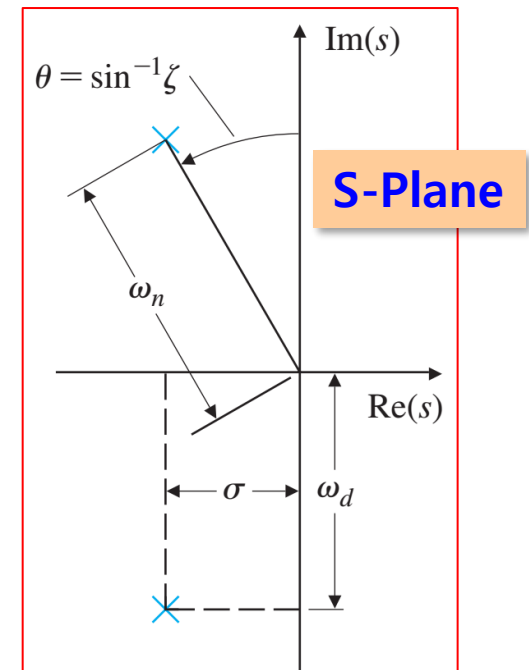
$$a(s) = (s + \sigma - j\omega_d)(s + \sigma + j\omega_d) = (s + \sigma)^2 + \omega_d^2.$$

$$H(s) = \frac{\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2}.$$

Where,  $\sigma = \zeta\omega_n$  ← Damping ratio

$$\omega_d = \omega_n \sqrt{1 - \zeta^2},$$

← Natural frequency  
← Damped frequency



# Important Aspects of Dynamic Response

## (3) Effect of Pole Locations (cont'd)



### ▪ Example 2: Complex (conjugate) Poles

$$H(s) = \frac{\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2}.$$

↓ Re-written

$$H(s) = \frac{\omega_n^2}{(s + \zeta\omega_n)^2 + \omega_n^2(1 - \zeta^2)}.$$

↓  $\mathcal{L}^{-1}$

$$h(t) = \frac{\omega_n}{\sqrt{1 - \zeta^2}} e^{-\sigma t} (\sin \omega_d t) 1(t).$$

$$\frac{b}{(s + a)^2 + b^2} \xrightarrow{\mathcal{L}^{-1}} e^{-at} \sin bt$$

where,  $a \Rightarrow \zeta\omega_n$

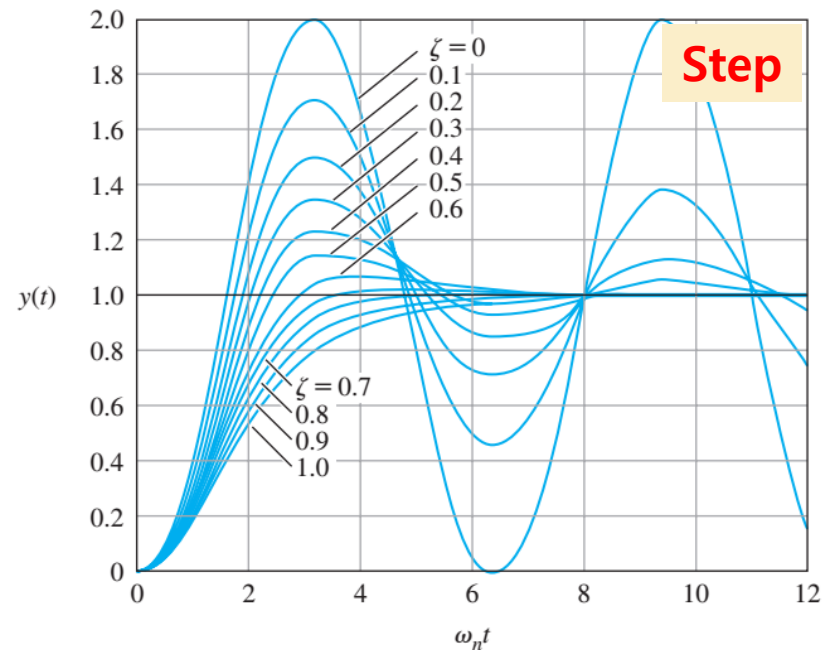
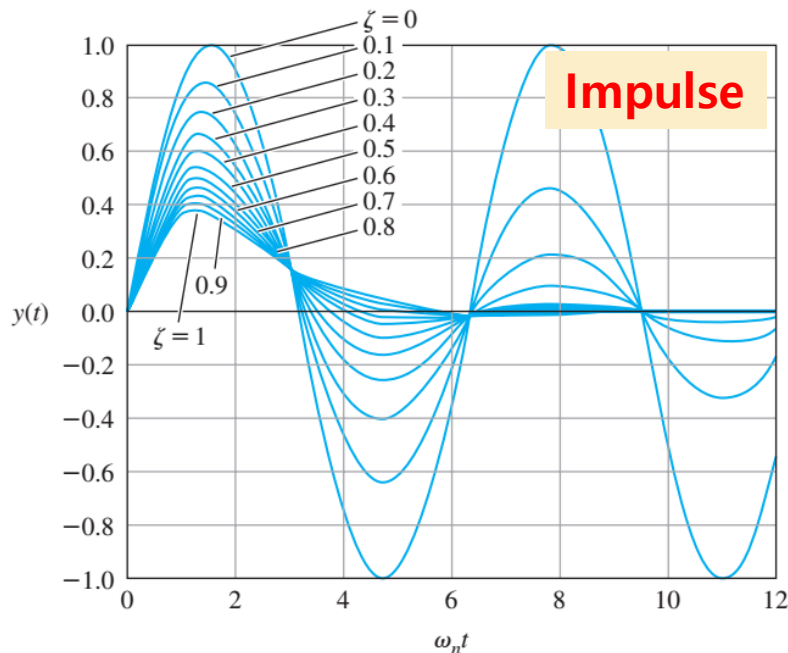
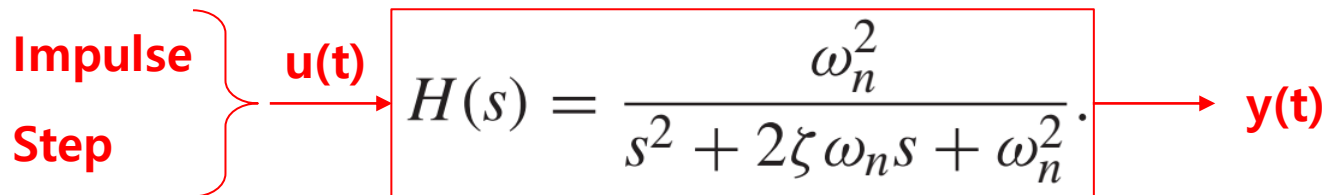
$b \Rightarrow \omega_d = \omega_n \sqrt{1 - \zeta^2}$



# Important Aspects of Dynamic Response

## (3) Effect of Pole Locations (cont'd)

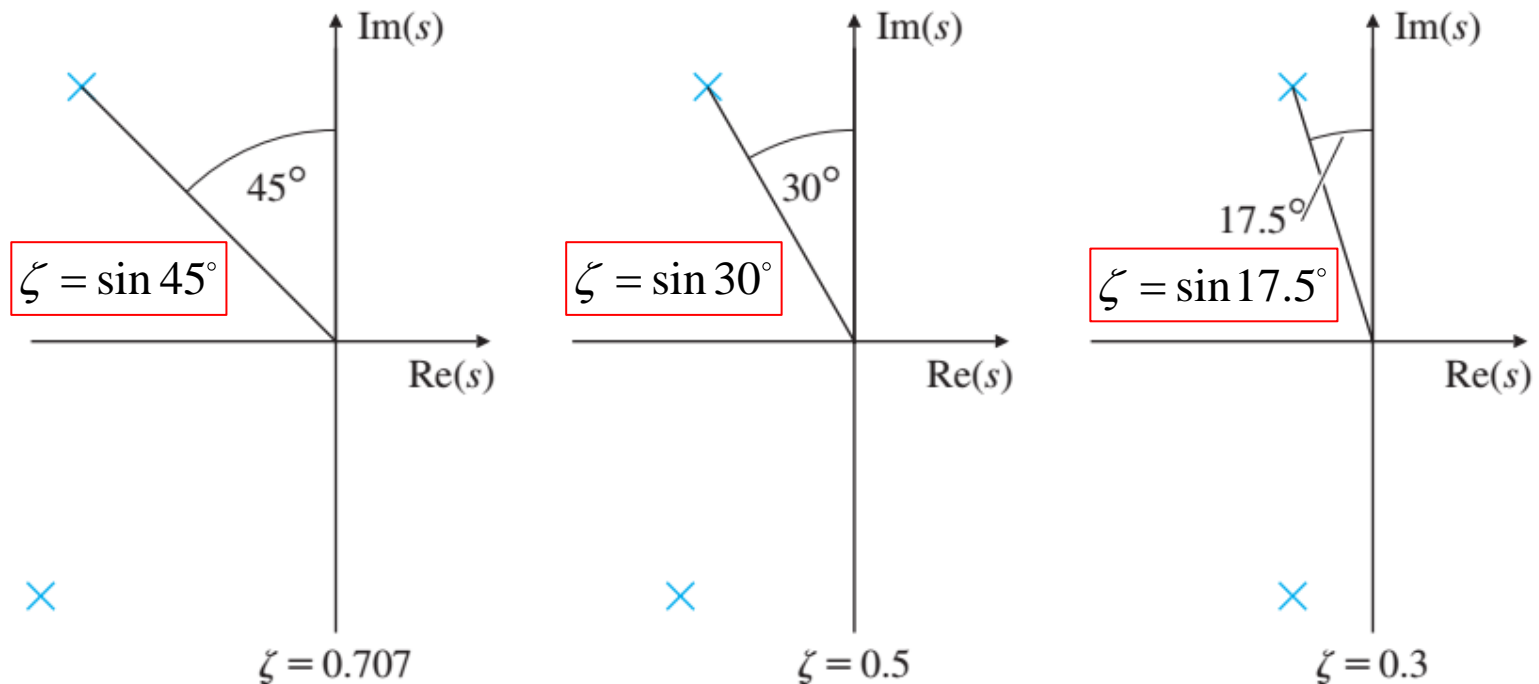
### ■ Example 2: Complex (conjugate) Poles (cont'd)



# Important Aspects of Dynamic Response

## (3) Effect of Pole Locations (cont'd)

### ▪ Summary 1: Damping Ratio vs. System Response (Oscillation)

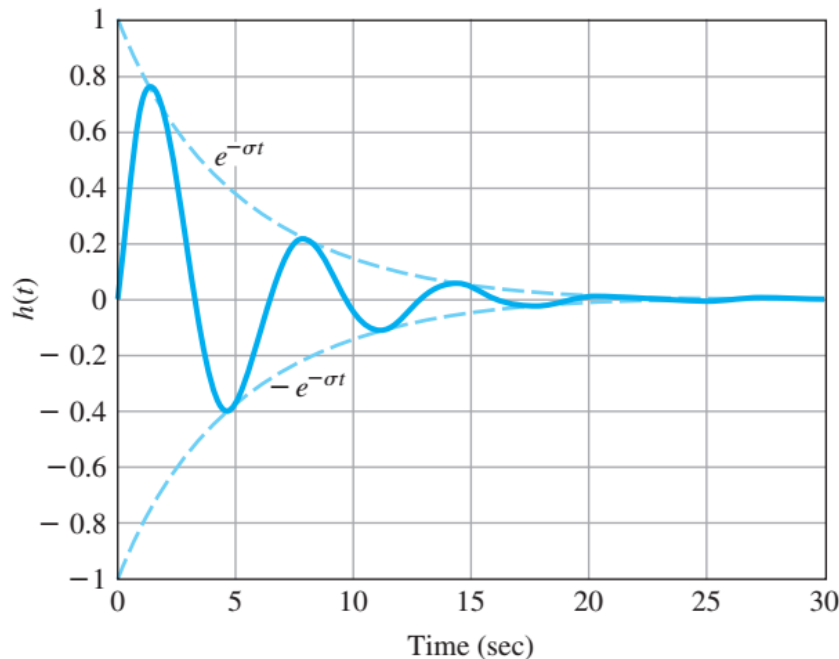


- **Question:** How can we understand the relationship btw **Damping Ratio** (i.e., energy dissipation) vs. **System Oscillation**?

# Important Aspects of Dynamic Response

## (3) Effect of Pole Locations (cont'd)

### ■ Summary 2: Natural Frequency vs. System Response (Oscillation)



$$\sigma = \zeta \omega_n$$

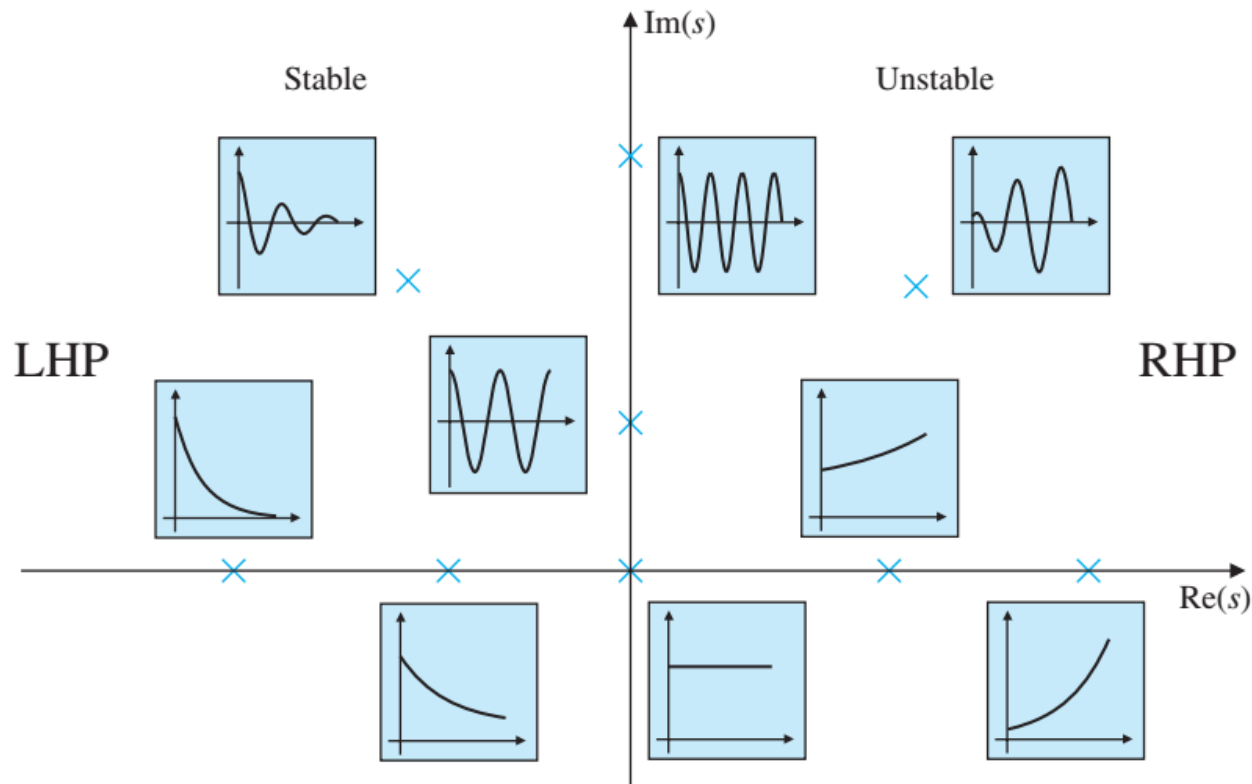
@ constant damping

- **Question:** How can we understand the relationship btw **Natural Frequency vs. System Oscillation?**

# Important Aspects of Dynamic Response

## (3) Effect of Pole Locations (cont'd)

### ■ Summary 3: Natural Frequency & Damping vs. System Response



# Important Aspects of Dynamic Response

## (4) Effect of **Zero** Locations

- Case 1: **No Zero** TF

$$\begin{aligned} H_1(s) &= \frac{2}{(s+1)(s+2)} \\ &= \frac{2}{s+1} - \frac{2}{s+2}, \end{aligned}$$

- Case 2: **One Zero near Pole** in TF

$$\begin{aligned} H_2(s) &= \frac{2(s+1.1)}{1.1(s+1)(s+2)} \\ &\quad \text{Almost cancellation} \\ &= \frac{2}{1.1} \left( \frac{0.1}{s+1} + \frac{0.9}{s+2} \right) \\ &= \frac{0.18}{s+1} + \frac{1.64}{s+2}. \end{aligned}$$

# Important Aspects of Dynamic Response

## (4) Effect of **Zero** Locations (cont'd)

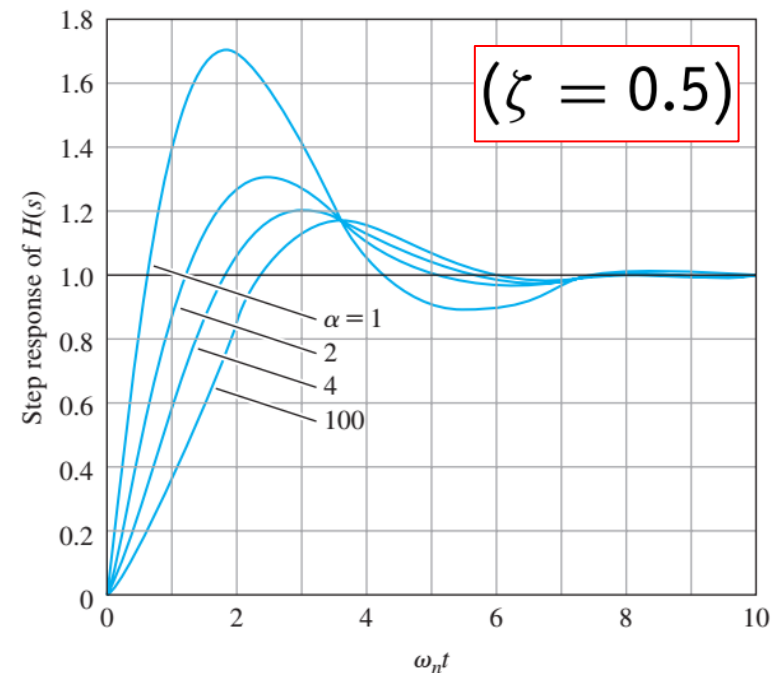
- Normalized time and zero locations:

$$H(s) = \frac{s/\alpha\zeta + 1}{s^2 + 2\zeta s + 1} \quad \Rightarrow \quad H(s) = \frac{1}{s^2 + 2\zeta s + 1} + \frac{1}{\alpha\zeta} \frac{s}{s^2 + 2\zeta s + 1}.$$

- Important Questions about effects on zero locations:**

- (1) Transient Response??
- (2) Overshoot??
- (3) Stability??

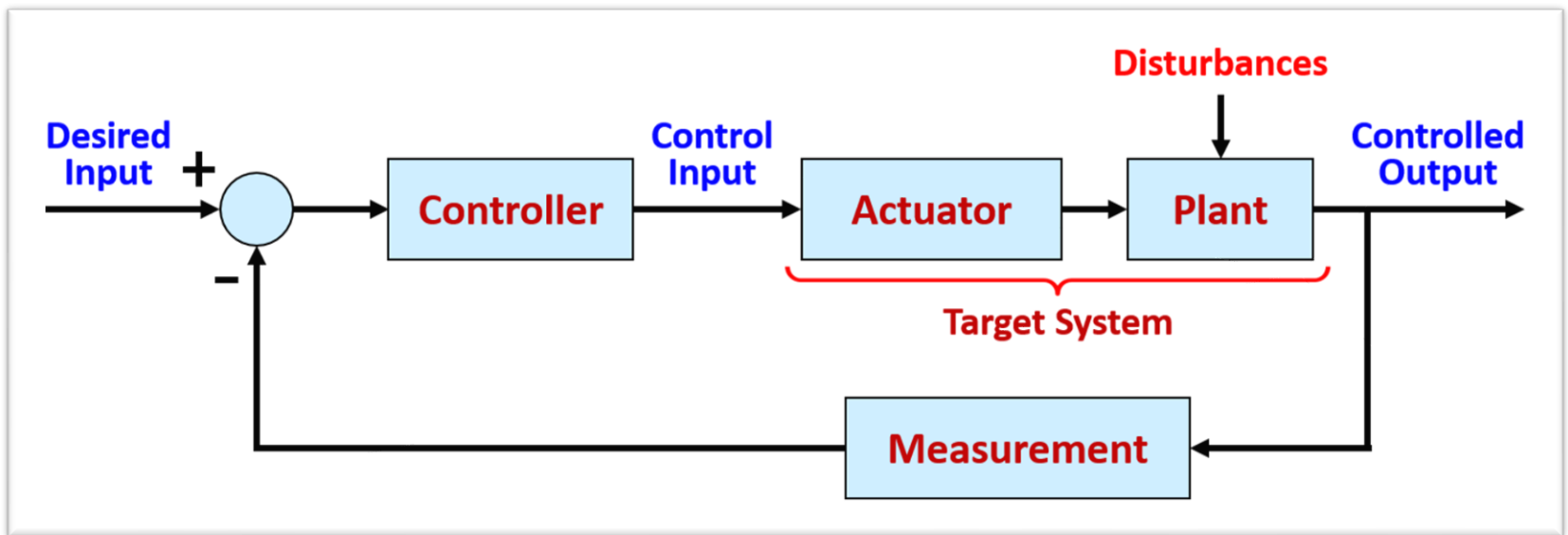
$$s_{\text{zero}} = -\alpha\zeta$$



# Dynamic Response 1

## Lecture 4:

- Important Aspects of Dynamic Response
- Step Response Analysis



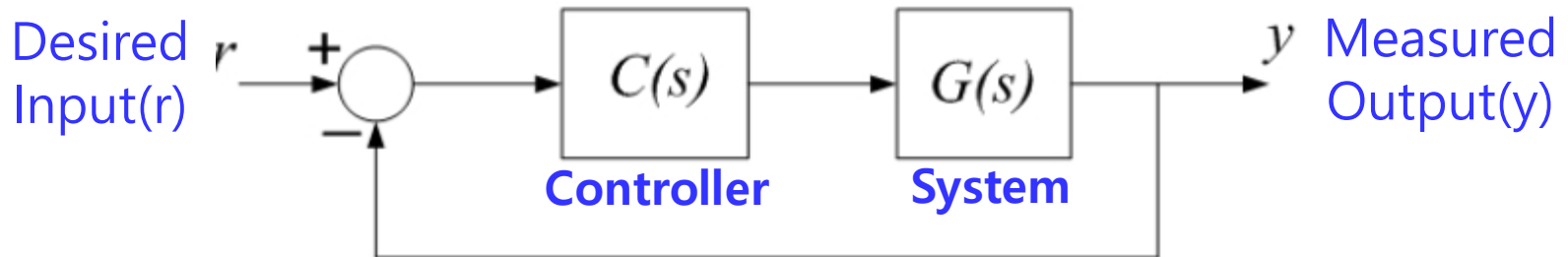
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# What is the Control Concept? (Revisited)



vs.



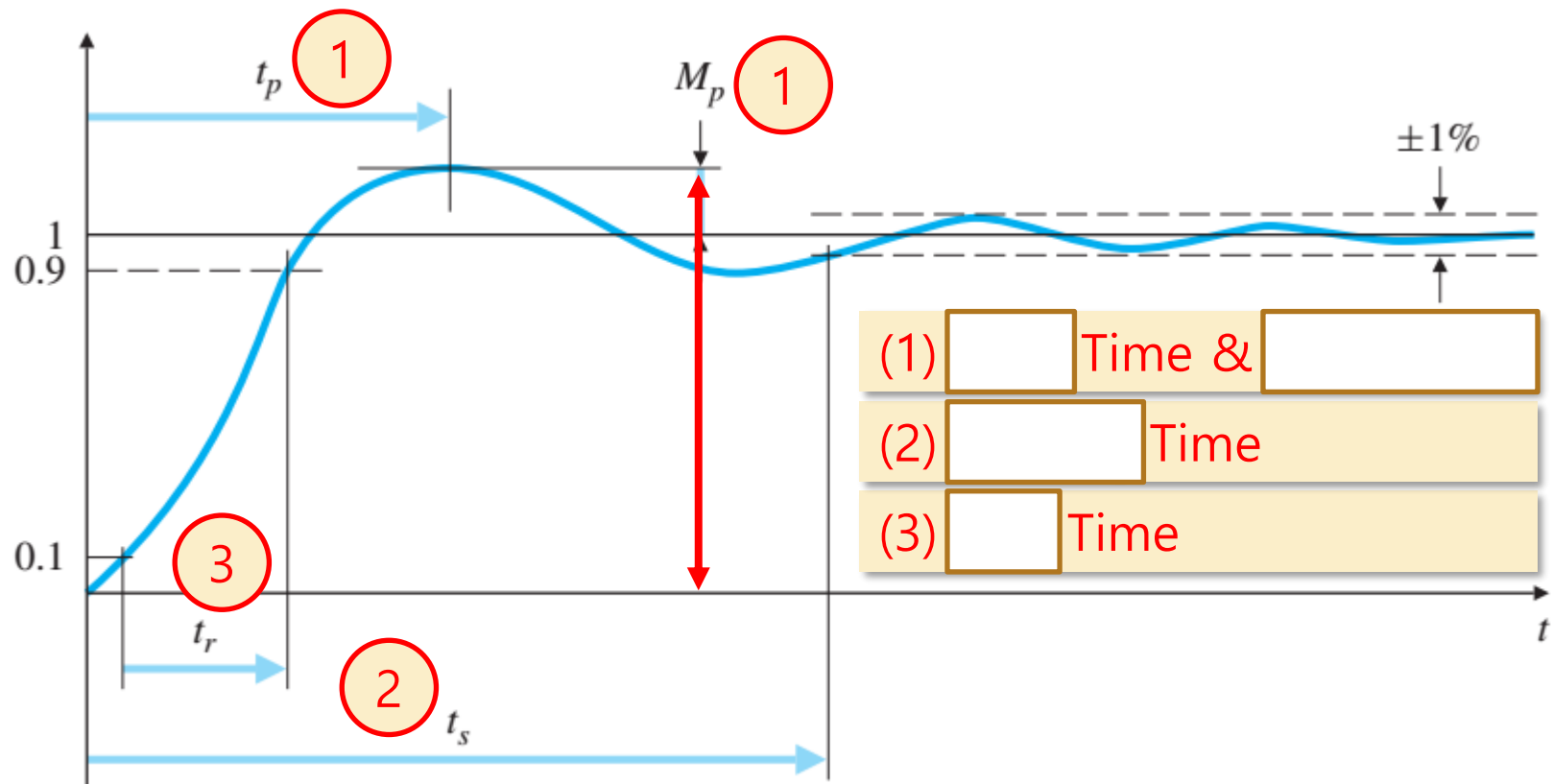
- Controller is to minimize error between the desired input (dream) between the measured output (reality) !!
- Then, how to measure the gap btw desire vs. reality ??



# Important Aspects of Dynamic Response

## (5) Control Performance Index: Step Response

- **Key Three Attributes** for checking the control performance



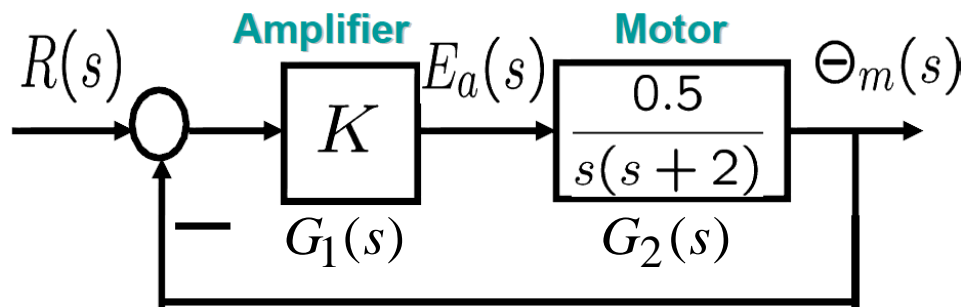
# Important Aspects of Dynamic Response

## (5) Control Performance Index: Step Response

- A standard form of the second-order system

$$G(s) = \frac{\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2} \quad \begin{cases} \zeta: \text{damping ratio} \\ \omega_n: \text{undamped natural frequency} \end{cases}$$

- Example: DC motor position control



$$\frac{Y(s)}{R(s)} = \frac{G_1(s)G_2(s)}{1 + G_1(s)G_2(s)}$$

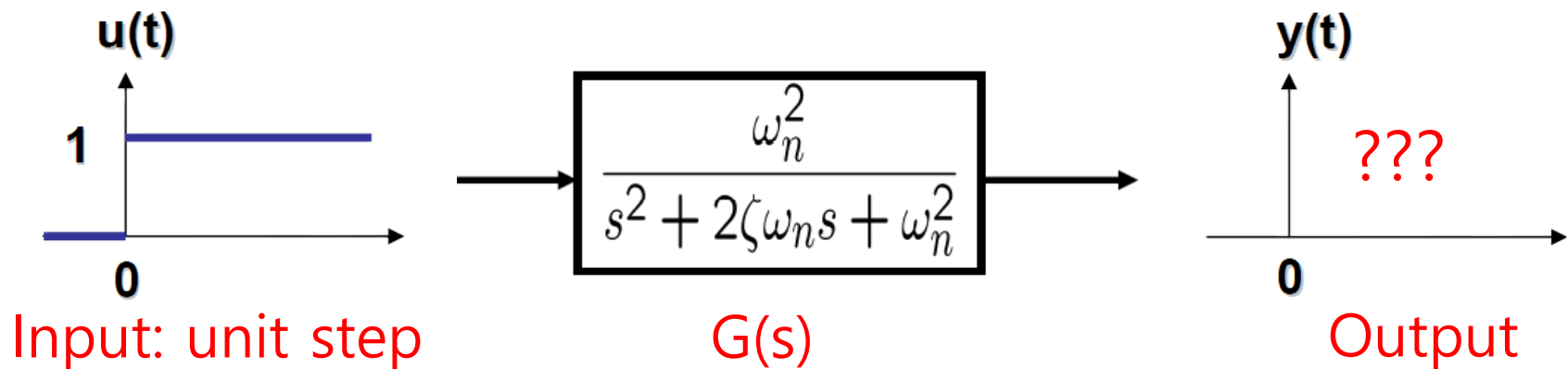
Closed-Loop TF:

$$\begin{aligned} \frac{\Theta_m(s)}{R(s)} &= \frac{K \frac{0.5}{s(s+2)}}{1 + K \frac{0.5}{s(s+2)}} \\ &= \frac{0.5K}{s^2 + 2s + 0.5K} \end{aligned}$$

# Important Aspects of Dynamic Response

## (5) Control Performance Index: Step Response

- Input a unit step function to a 2<sup>nd</sup>-order system.  
What is the output?



- We are interested in the error (i.e., input – output) !!

# Important Aspects of Dynamic Response

## (5) Control Performance Index: **Step Response**

- Undamped

$$\zeta = 0$$

- Underdamped

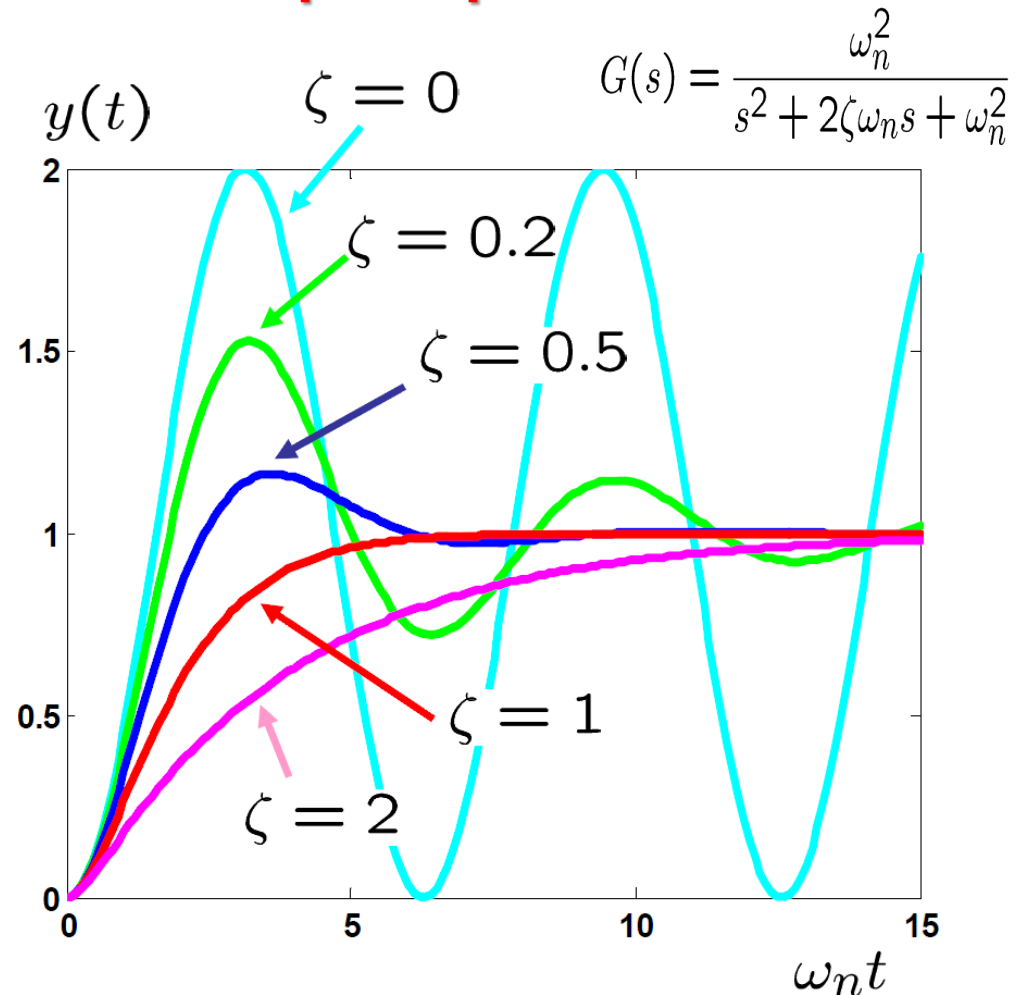
$$0 < \zeta < 1$$

- Critically damped

$$\zeta = 1$$

- Overdamped

$$\zeta > 1$$



# Important Aspects of Dynamic Response

## (5) Control Performance Index: Step Response



- Math expression of  $y(t)$  {or  $Y(s)$ } for underdamped case with the step input  $\{R(s) = 1/s\}$

$$0 < \zeta < 1$$

$$Y(s) = \frac{\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2} \cdot \frac{1}{s}$$

$\mathcal{L}^{-1}$



$$y(t) = 1 - \frac{e^{-\zeta\omega_n t}}{\sqrt{1 - \zeta^2}} \sin(\omega_d t + \varphi)$$



(1) Peak Time & Overshoot ??

(2) Settling Time ??

(3) Rise Time ??

where,

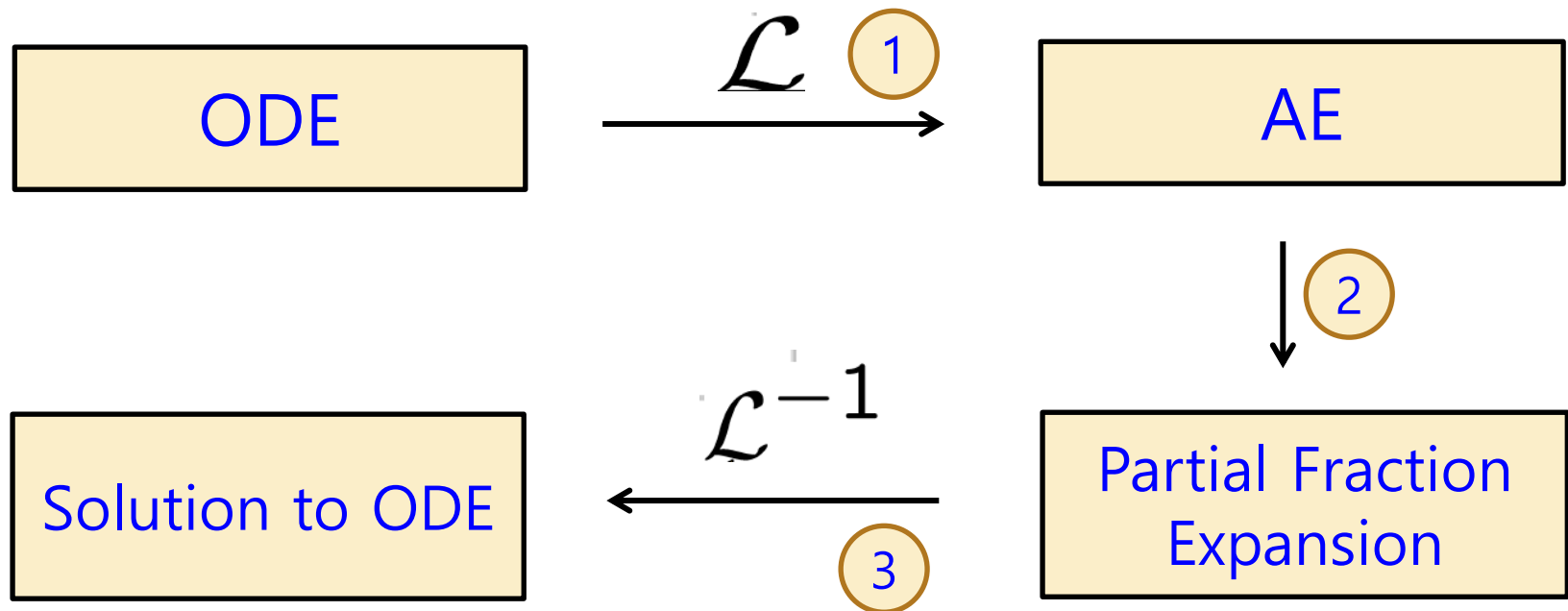
$\omega_d = \omega_n \sqrt{1 - \zeta^2}$  : Damped Natural Frequency [rad/sec]

$\varphi = \cos^{-1}(\zeta)$  : time delay [rad]



# Major Advantage of Laplace Transform (Review)

- ❖ Transform an **ordinary differential equation (ODE)** into an **algebraic equation (AE)**.



# Major Advantage of Laplace Transform (Review)

## ❖ Example 1: ODE with initial conditions (cont'd)

$$\frac{d^2y(t)}{dt^2} + 3\frac{dy(t)}{dt} + 2y(t) = 5u_s(t), \quad y(0) = -1, \quad y'(0) = 2$$

Step input

### 1. Laplace transform

$$\underbrace{s^2Y(s) - sy(0) - y'(0)}_{\mathcal{L}\{y''(t)\}} + \underbrace{3\{sY(s) - y(0)\}}_{\mathcal{L}\{y'(t)\}} + 2Y(s) = \frac{5}{s}$$

$$\Rightarrow Y(s) = \frac{-s^2 - s + 5}{s(s+1)(s+2)}$$


# Major Advantage of Laplace Transform (Review)

## ❖ Example 1: ODE with initial conditions (cont'd)

### 2. Partial fraction expansion

$$Y(s) = \frac{-s^2 - s + 5}{s(s+1)(s+2)} = \frac{A}{s} + \frac{B}{s+1} + \frac{C}{s+2}$$

*unknowns*



Multiply both sides by  $s$  & let  $s$  go to zero:

$$sY(s)|_{s \rightarrow 0} = A + s \frac{B}{s+1} \Big|_{s \rightarrow 0} + s \frac{C}{s+2} \Big|_{s \rightarrow 0} \Rightarrow A = sY(s)|_{s \rightarrow 0} = \frac{5}{2}$$

Similarly,

$$\begin{aligned} B &= (s+1)Y(s)|_{s \rightarrow -1} = \cdots = -5 \\ C &= (s+2)Y(s)|_{s \rightarrow -2} = \cdots = \frac{3}{2} \end{aligned}$$



# Major Advantage of Laplace Transform (Review)

## ❖ Example 1: ODE with initial conditions (cont'd)



### 3. Inverse Laplace transform

$$\mathcal{L}^{-1} \left\{ Y(s) = \frac{A}{s} + \frac{B}{s+1} + \frac{C}{s+2} \right\}$$

$$\Rightarrow y(t) = \left( \underbrace{\frac{5}{2}}_A + \underbrace{(-5)}_B e^{-t} + \underbrace{\frac{3}{2}}_C e^{-2t} \right) u_s(t)$$

If we are interested in only the final value of  $y(t)$ , apply Final Value Theorem:

$$\lim_{t \rightarrow \infty} y(t) = \lim_{s \rightarrow 0} sY(s) = \lim_{s \rightarrow 0} \frac{-s^2 - s + 5}{(s+1)(s+2)} = \frac{5}{2}$$

# Important Aspects of Dynamic Response

## (5) Control Performance Index: Step Response (cont'd)

### (1) Peak Time & Value

$$y(t) = 1 - \frac{1}{\sqrt{1-\zeta^2}} e^{-\zeta\omega_n t} \sin(\omega_d t + \phi) \quad \text{for } \zeta < 1$$

peak value @  $\frac{dy}{dt} = 0$

$$\frac{\zeta\omega_n}{\sqrt{1-\zeta^2}} e^{-\zeta\omega_n t} \sin(\omega_d t + \phi) - \frac{1}{\sqrt{1-\zeta^2}} e^{-\zeta\omega_n t} (\omega_d) \cos(\omega_d t + \phi) = 0$$

$$\Rightarrow \zeta\omega_n \sin(\omega_d t + \phi) - (\omega_d) \cos(\omega_d t + \phi) = 0$$

$$\Rightarrow \cos \phi \sin(\omega_d t + \phi) - \sin \phi \cos(\omega_d t + \phi) = 0$$

$$\therefore \sin(\omega_d t + \phi - \phi) = 0$$

$$T_p = \frac{\pi}{\omega_d}$$

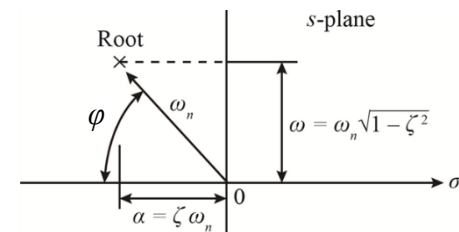
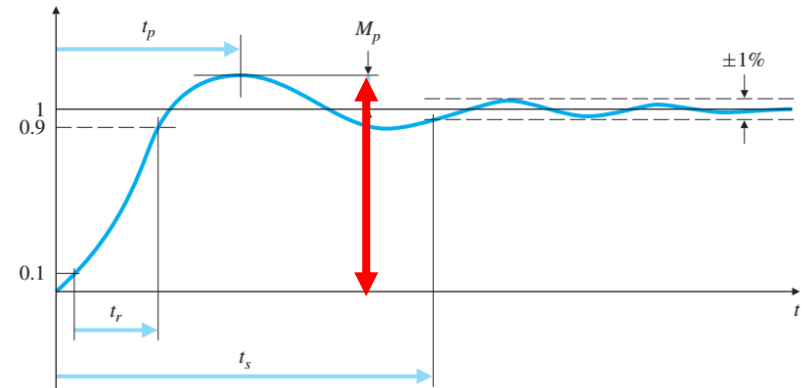
$$M_p = 1 + \exp(-\zeta\omega_n T_p) = 1 + \exp\left(-\frac{\pi\zeta}{\sqrt{1-\zeta^2}}\right)$$

$$\text{where, } \sin(\pi + \phi) = -\sin(\phi) = -\sqrt{1-\zeta^2}$$

$$\text{where, } \omega_d = \omega_n \sqrt{1-\zeta^2}$$

$$\sin^2 \phi + \cos^2 \phi = 1$$

$$\sin \phi = \sqrt{1-\zeta^2}$$



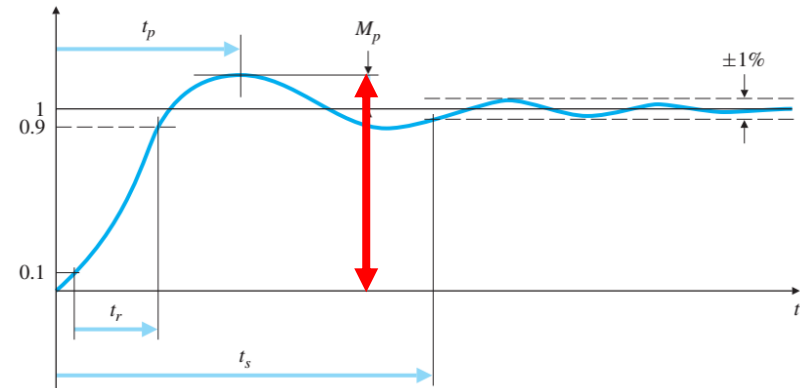
# Important Aspects of Dynamic Response

## (5) Control Performance Index: Step Response (cont'd)

### (2) Settling Time

$$y(t) = 1 - \frac{1}{\sqrt{1-\zeta^2}} e^{-\zeta\omega_n t} \sin(\omega_d t + \phi) \quad \text{for } \zeta < 1$$

peak value@ settlingtime ( $T_s$ )



$$\Downarrow M_p = 1 + \exp(-\zeta\omega_n T_p)$$

$$\exp(-\zeta\omega_n T_s) = 0.01 (1\%)$$



$$-\zeta\omega_n T_s = \ln(0.01) = -4.6$$

$$\therefore T_s \approx \frac{4.6}{\zeta\omega_n} = \frac{4.6}{\sigma}$$

$$\exp(-\zeta\omega_n T_s) = 0.02 (2\%)$$



$$\therefore T_s \approx \frac{4.0}{\zeta\omega_n} = \frac{4.0}{\sigma}$$

### ❖ Important question:

- How does **damping ratio & natural frequency** work for the settling time ??

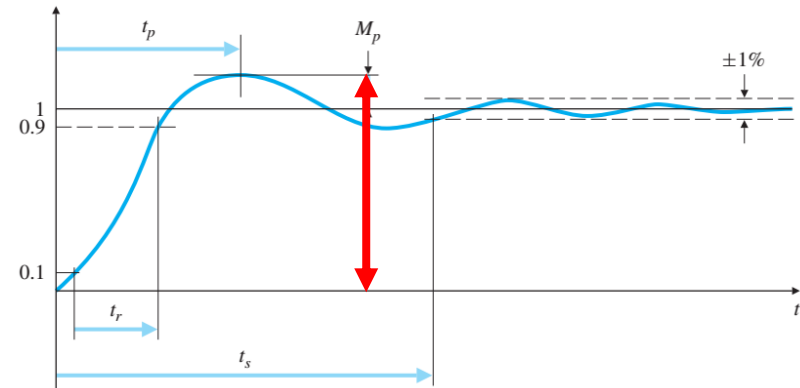
# Important Aspects of Dynamic Response

## (5) Control Performance Index: Step Response (cont'd)

### (3) Rise Time

$$y(t) = 1 - \frac{1}{\sqrt{1-\zeta^2}} e^{-\zeta\omega_n t} \sin(\omega_d t + \phi) \quad \text{for } \zeta < 1$$

$y(t) = 1$  @ rising time ( $T_r$ ) where,  $t_r \approx T_r$



$$\sin(\omega_d T_r + \phi) = 0$$

or

$$\therefore T_r = \frac{\pi - \phi}{\omega_d} \quad \text{where,} \quad \omega_d = \omega_n \sqrt{1 - \zeta^2}$$

$$\cos \phi = \zeta$$

$$i) \zeta = 0.5$$

$$\Rightarrow \phi = \cos^{-1}(0.5) = 1.05$$

$$\therefore T_r = \frac{3.14 - 1.05}{\omega_n \sqrt{1 - 0.5^2}} \approx \frac{2.4}{\omega_n}$$

$$i) \zeta = 0.7$$

$$\Rightarrow \phi = \cos^{-1}(0.7) \approx 0.8$$

$$\therefore T_r = \frac{3.14 - 0.8}{\omega_n \sqrt{1 - 0.7^2}} \approx \frac{3.3}{\omega_n}$$

### ❖ Important question:

- How does **damping ratio & natural frequency** work for the rise time ??

# Important Aspects of Dynamic Response

## (5) Control Performance Index: Step Response (cont'd)

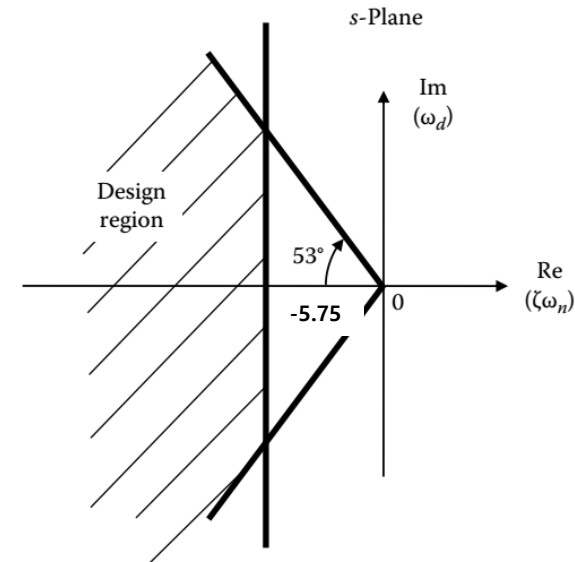
### [Example]

Design requirement are for Controller + Plant (C-L)

- (1) a percentage overshoot of less than 10%
- (2) a settling time of less than 0.8 second

### [Question]

Indicate this design specification as a region on the s-plane.



### [Solution]

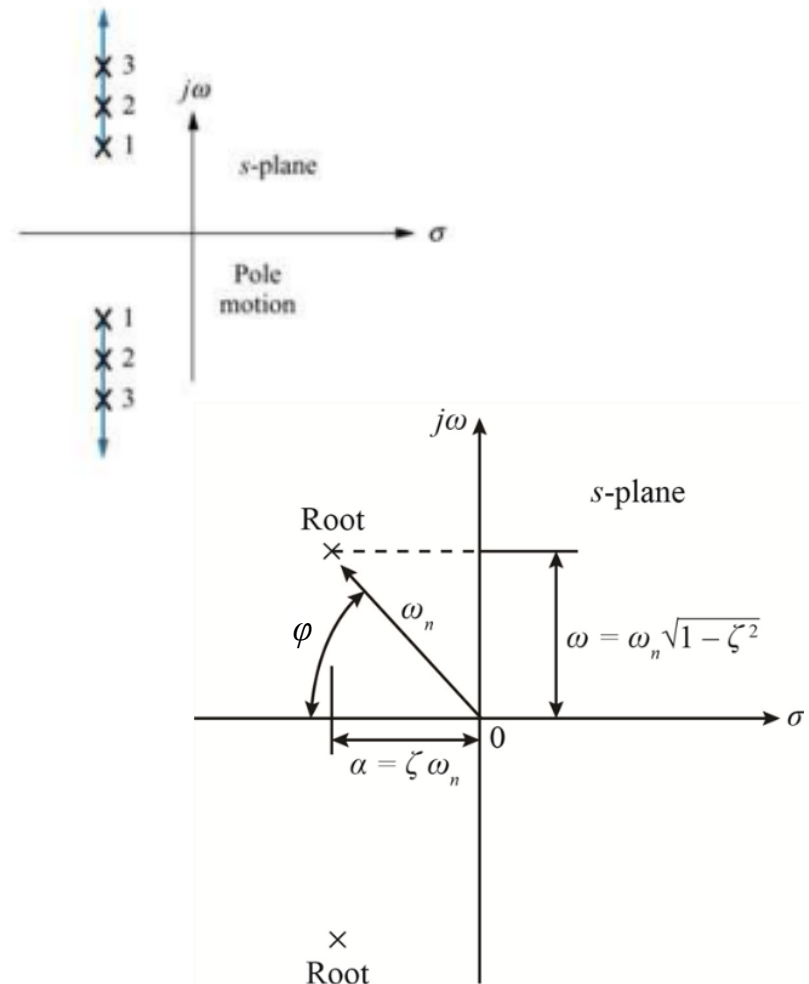
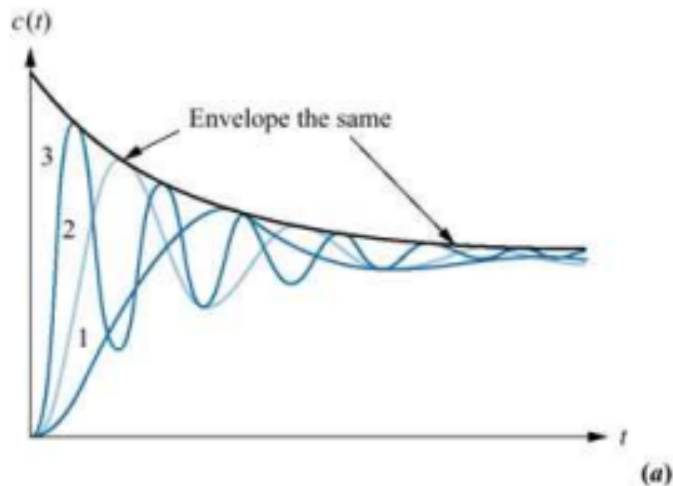
(1) 10% of overshoot means  $\Rightarrow 0.1 = \exp\left(-\frac{\pi\zeta}{\sqrt{1-\zeta^2}}\right) \Rightarrow 0.6 \leq \zeta = \cos \varphi$   
 $\varphi \leq 53(\text{deg})$

(2)  $T_s = 0.8$  sec means  $\Rightarrow \therefore T_s \approx \frac{4.6}{\zeta\omega_n} \leq 0.8 \Rightarrow \zeta\omega_n \geq 5.75$

$$s = -\sigma \pm j\omega_d. \quad \sigma = \zeta\omega_n$$

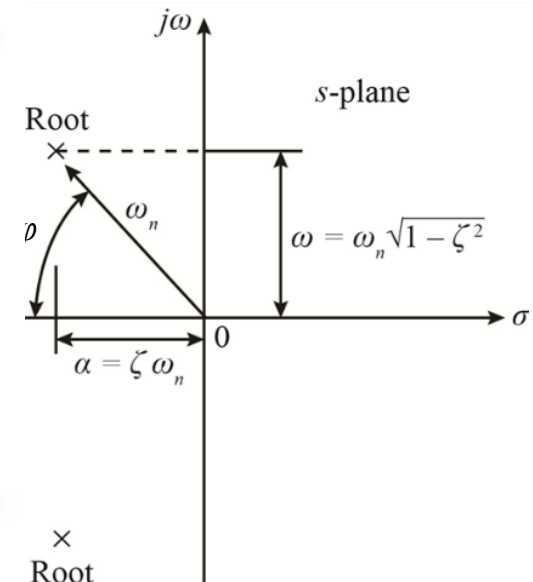
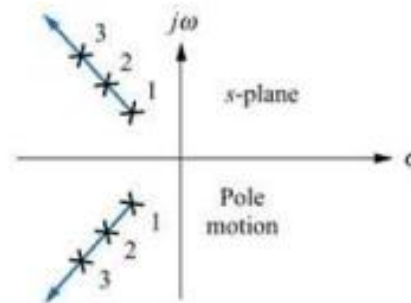
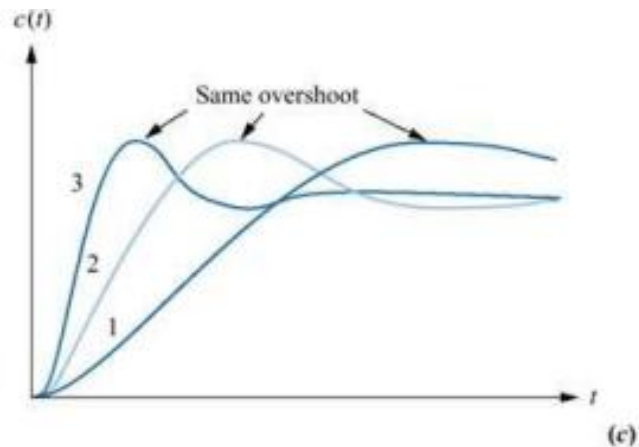
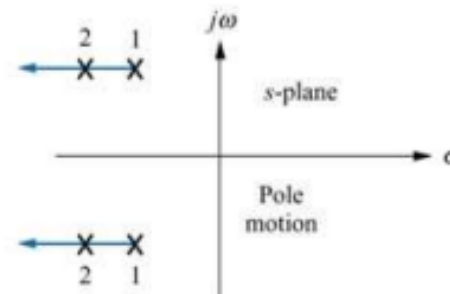
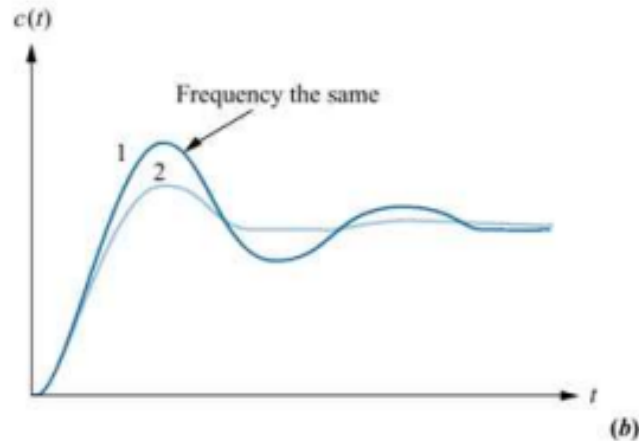
# Important Aspects of Dynamic Response

## ❖ Summary #1: Pole Placement vs. Step Response



# Important Aspects of Dynamic Response

## ❖ Summary #1: Pole Placement vs. Step Response (cont'd)



# Summary

## ❖ Summary:

- Important aspects of dynamic response for control system
- The specifications for the controller performance
- Step response by Pole & Zero locations