

# Approaches to Food Journaling on Mobile Devices

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## **Abstract**

### Approaches to Food Journaling on Mobile Devices

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A healthy diet has the power to both prevent and heal disease, and the high rates of lifestyle- and diet-related disease in America indicates the need for tools to help improve diet. Self-monitoring one's food intake is considered key to improve one's eating behaviors. The consumer demand for health and wellness tools, especially on personal, always-with-us mobile devices, shows both the interest on behalf of users and the resources on behalf of creators. However, few individuals are able to sustain the self-monitoring practice for an appropriate length of time, and many people complain about the poor experience they have had using food journals.

I examine self-monitoring of food intake using mobile phones. The BALANCE project examines a more traditional approach of using an extensive food database to look up and monitor calorie counts. The POND project addresses challenges of the traditional approach by focusing on monitoring the pattern of food intake in terms of food groups and key nutrients.

Overall, I characterize problems people encounter using a traditional database-oriented food journal, provide motivation for rethinking this approach, and describe a series of studies that investigate the use of a reduced-detail self-monitoring tool for dietary intake.



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# Glossary

DIARY: The artifact.

JOURNALING: The process of creating a food entry.

SELF-MONITORING: The process of monitoring, more abstract.

FOOD ENTRY: An entry in a diary that represents a food.

DIETARY INTAKE:

TABLE: information placed in a columnar arrangement.

THESIS: either a master's thesis or a doctoral dissertation. This document also refers to itself as a thesis, although it really is not one.



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Thank you all. I only hope I can repay the honor.



# **Dedication**

to Mazzy Star and Alec Ivan.



# 1

## Introduction

This dissertation explores how people use mobile phone food diaries to self-monitor their dietary intake. In this chapter, I identify some factors that influence what people eat; provide background on how what people eat impacts their health; and introduce how technologies that influence dietary intake can impact society.

### 1.1 Introduction

Globally, the incidence of lifestyle diseases such as obesity, diabetes and cardiovascular disease are increasing. One-third (34%) of adults were overweight or obese in 2008 [Kimokoti and Millen, 2011]. The prevalence of diabetes for all age groups, worldwide, is expected to rise from 6.4% in 2010 to 7.7% (439 million people) in 2030 [Shaw et al., 2010]. Researchers believe this to be an underestimate. Cardiovascular disease (CVD) is the number one cause of death globally. 17.3 million people died from CVDs in 2008, accounting for about 30% of

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worldwide deaths [World Health Organization]. Additionally, it is estimated that at least 50% of cancers are preventable by encouraging healthy behaviors and discouraging unhealthy practices. Cancer accounted for 7.6 million deaths in 2008. The estimated number of new cancer cases in 2030 is 21.4 million, with 13.2 million deaths [Society, 2011].

Overweight and obesity are major risk factors for cardiovascular disease (CVD), Type 2 diabetes mellitus, and certain forms of cancer [Guh et al., 2009] [Calle and Kaaks, 2004].

Lifestyle diseases are so named because they are associated with how a person lives and the choices they make. Lifestyle choices include what and how much to eat, physical activity levels, alcohol consumption, tobacco use, sleep habits, and stress management. Obesity, diabetes, cardiovascular disease and some cancers are all considered lifestyle disease. Generally, researchers believe that lifestyle choices not only impact the incidence or likelihood of developing these diseases, but can also help to treat or reduce the impact of these diseases.

Researchers believe that a primary cause of obesity is an imbalance of energy intake and expenditure: People eat too many calories while not burning enough calories. While obesity is a risk factor for CVD, diabetes and cancer, it is believed that these diseases are also impacted by what people eat. In addition to balancing caloric intake, the American Heart Association recommendations for preventing cardiovascular disease includes consuming a diet rich in fruits and vegetables, choosing whole grain–high fiber foods, limiting saturated fat intake, and reducing consumption of added sugars and alcohol [Lichtenstein et al., 2006]. Similar recommendations are made for preventing diabetes [Hu et al., 2001; Thomas and Pfeiffer, 2012].

Traditionally, researchers believe what people eat is due to biological, psychological, behavioral and social factors. Furst et al. [1996] found that food choice included “ideals, personal factors, resources, social contexts and the food context”. Food choice incorporates “value negotiations and behavioral strategies”. Values reflect taste and texture of foods, cost, health and nutritional concerns, availability, and social context.

More recently, researchers believe that the built environment also impacts what people choose to eat. Built environment includes urban design, land use, availability of public transportation, and activity options in an area [Booth et al., 2005]. This also reflects the

number of fast food restaurants, convenience stores, bars, food distribution programs with high-fat foods, and concentrated media marketing. Poorer neighborhoods have fewer supermarkets and more places to consume alcohol than wealthier neighborhoods [Morland et al., 2002]. This impacts the availability of healthy food.

The food industry plays a role in the over consumption of food in America. Serving sizes have increased over time [Nielsen, 2003]: Commercial serving sizes are at least twice the size and as much as eight times the size of standard serving sizes defined by the USDA and FDA [Young and Nestle, 2003; Nielsen, 2003]. Servings provided by fast-food chains are now two to five times original sizes [Young and Nestle, 2003]. Calories provided by the US food supply increased from 3200 in the 1970s to 3900 in 1990s [Nestle, 2002]. When more food is available, manufacturers need to compete harder for their share of consumer dollars. The increased marketing encourages people to eat more.

However, the external environment takes a long time and many resources to change. Providing support for individuals to insulate themselves from the environment and change their own behavior could have a more immediate impact. [Hill, 2006] Research indicates that self-monitoring of dietary intake does help change behavior [Burke et al., 2009, 2005, 2011b].

Many people are not well aware of what, why or how much they eat. While people tend to underestimate energy intake [Schoeller, 1995; Black et al., 1991; Livingstone et al., 1990], in general they are unsure of how many calories they burn in physical activity (energy expenditure) [Prince et al., 2008]. This tendency results in an unawareness of the actual overall balance of caloric intake and expenditure, which results in weight gain.

People underestimate energy intake for a variety of reasons, including a lack of awareness of how many calories are in food [Zegman, 1984]; what an appropriate serving size is [Young and Nestle, 2009; Beasley et al., 2005]; erroneous estimates of how much of a food has been eaten [Beasley et al., 2005]; and neglecting to consider calorie dense condiments or additions to a food [Zegman, 1984]. This effect is magnified when a person does not document what they eat as soon as they eat it, and time passes between consumption and recording [Baranowski and Domel, 1994]. Additionally, although reflecting on food intake in the past (e.g., earlier in the day) may help raise an individual's awareness of calories in

## **1.1. INTRODUCTION**

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given foods, it does not enable them to make good decisions in the moment. Timely access to calorie data could help people not only capture and understand how many calories they have already consumed for the day, but also make better decisions going forward.

People also underestimate the number of calories they burn over time. An estimate of energy expenditure by a person in a given time period is the sum of three items: the base metabolism for that individual (how many calories their body consumes for basic bodily function), the amount and intensity of intentional exercise (e.g., going for a run) and the amount and intensity of unintentional exercise (e.g., how much walking versus sitting a person does). It is relatively easy for people to measure their intentional exercise, as it's usually well-defined. However, humans tend to vastly overestimate their unintentional exercise [Duncan et al., 2001]. Readily available technology such as wearable sensors can keep track of general activity for an individual, allowing them to more closely track energy expenditure over time.

The field of persuasive technology aims to use computers and technology to persuade or nudge people to change their behavior, in a way that they want to change [Fogg, 2002].

Ubiquitous mobile devices such as smartphones have been considered ideal platforms for administering behavior change programs, especially around eating behaviors. In September 2012, the Pew Internet and American Life project reported that 45% of American adults own smartphones ([]). Since smartphones are personal devices people usually keep with or near themselves, they enable *kairos*: providing relevant information and decision support at the time of need. That is, these devices allow people to self-monitor what they eat by providing a means to capture what has already been eaten, and looking up caloric values for prospective foods. This allows people to make an informed decision. However, formal research and informal feedback indicates that people have difficulties adhering to the use of mobile-phone food diaries for extended periods of time.

This dissertation focuses on the use of mobile technologies to support people in becoming more aware of their nutrition behaviors and make better choices.

## 1.2 Contributions

In this dissertation I offer four contributions toward understanding and helping people use technology to monitor and change their dietary behaviors. The first is the BALANCE project, which provides timely feedback of daily energy balance by using sensors to automatically detect physical activity and calculate energy expenditure. The sensing device is wirelessly connected to a mobile phone. Users entered their food intake into a food diary on the mobile phone. A glanceable display provides a visualization of the current and target energy intake and expenditure. My role was to design, build and evaluate the mobile phone food diary and visualization. This allowed me to examine and characterize challenges with database-oriented food diaries.

BALANCE inspired contribution two, the evaluation of food diary prototypes with a focus on diet quality and goal tending. Feedback from participants using the BALANCE project revealed user dissatisfaction with using a database to look up what they were eating. This led me to explore ways to monitor food intake without using a database. I took a pattern-based approach to self-monitoring, where users would monitor an overall pattern of food consumption rather than a detailed list. I used a theory-driven, user-centered design process to generate mobile-phone food diary prototypes. I evaluated the usability and potential utility of the prototypes in a lab setting to generate design principles and guidelines for designing a dietary-pattern-based mobile phone food diary.

For contribution three, I used the design principles and guidelines from the lab studies to design and build a dietary-pattern-based mobile phone food diary. I used an iterative approach, beginning with sketches and paper prototypes, evaluating wireframes, and finally interactive prototypes. This contribution includes a design rationale for each feature of the final pattern-oriented nutrition diary (POND) prototype.

Finally, my fourth contribution is an evaluation of the use of POND by people in their daily lives. The evaluation provides insight into how the design of self-monitoring tools impact how people think about what they eat.

### *1.3. ROADMAP*

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## **1.3 Roadmap**

Chapter 3 describes background in psychology and epidemiology that focuses on behavior change and how to support it. It also reviews related work and how it differs from the work presented in this dissertation. Chapter 4 describes the BALANCE project, which explored one approach to supporting people in self-monitoring food intake and physical activity. Chapter 5 describes background and a study that shows that there could be benefit in designing a food diary that requires less input and thinking. Chapter 6 describes the design of POND, which is a food diary based on the results of the study in Chapter 5. Chapter 7 evaluates the POND food diary. Chapter 8 concludes this dissertation with a summary and discussion of future work.

# 2

## Background

This dissertation is focused on the use of technology to support self-monitoring to effect behavior change. This chapter summarizes background information related to processes of change. This includes models of human behavior, learning and change. Within these models, I examine the role of self-monitoring. This background will highlight the role and importance of self-monitoring as a tool for nutrition behavior change. Included in this background is persuasive technology, which uses the aforementioned theories to define strategies and tactics of employing technology to nudge behavior.

### 2.1 Introduction

Self-monitoring has long been acknowledged as a means to change one's behavior. Additionally, with the increase in accessibility of handheld computing devices and network connectivity, self-monitoring tools and software has proliferated. I will present some ex-

## **2.2. THEORIES OF BEHAVIOR**

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amples of the many forms of self-monitoring that are available today in the next chapter, but in this chapter I focus on the theory behind self-monitoring.

Self-monitoring is both a source of data about a target behavior and a therapeutic process, in that it usually causes a change in the observed behavior. Smoking [ref], exercise [ref], and depression are all

Over the years, self-monitoring tools have been used to modify behavior, but in regards to the use of self-monitoring tools for changing nutrition behaviors, we do not know how or why they work or don't work, or what features are helpful or not helpful.

For this dissertation, it is important to understand the theoretical basis of the role that self-monitoring tools play in the greater behavior change process.

This chapter is organized into four sections. First, I introduce some key theories of mind and behavior. For this dissertation, I include one that focuses on individual, internal behavior (Theory of Planned Behavior) and one that focuses on the relationship between the individual and their larger environment and community (Social Cognitive Theory). Second, I describe two therapy approaches commonly used by experts to support individuals changing their nutrition behaviors, Motivational Interviewing and Cognitive Behavior Therapy. These therapies are informed by theories of mind and behavior. Third, I describe some cross-cutting constructs that pertain to many behavioral theories and contribute to the planning and executing of the therapies. Finally, I describe some tools and technologies that can support the therapeutic process.

## **2.2 Theories of Behavior**

Humans have been philosophizing on why we do what we do since the beginning of time. It is outside the scope of this dissertation to enumerate all theories of behavior, many of which build on each other. However, it is important to understand the theoretical basis behind the design of tools presented in this dissertation.

Behavior theories range from focusing on internal forces to larger external forces, including societal and historical factors. In this dissertation, I focus on two theories. These two theories are the basis for much of the related work in this area. The first is the Theory of

Planned Behavior, which focuses on an individual's internal conception of behavior. The second is Social-Cognitive Theory, which accounts for how an individual's environment impacts one's behavior and ability to change.

### 2.2.1 Intent to change: Theory of Planned Behavior

The Theory of Planned Behavior (TPB) is a model of individual behavior that assumes a person is a rational actor. It builds off the Theory of Reasoned Action (TRA). TRA states that a person's behavior is determined by behavioral intention. Behavioral intention depends on attitude (individual beliefs about a behavior) and a subjective norm. The subjective norm stems from the individual's normative beliefs. Normative beliefs are defined as whether influential parties approve or disapprove of the behavior in question.

Using TRA to predict or explain behavior consists of calculating both *attitude* and *normative beliefs* of an individual. A measure of *attitude* is calculated by asking the individual a series of questions that measure the likelihood that a target behavior will result in a specific outcome and evaluate how desirable the given outcome is. The potential outcome of a single behavior is defined by combining the likelihood and desirability. An overall behavior change is usually composed of many small behaviors. Therefore, the attitude toward the overall behavior change is composed of the attitude toward each behavior that composes the change.

Similarly, *normative beliefs* are calculated by first identifying relevant referents for the individual. A referent is a person of influence in the individual's life. This could be anyone, including a member of the immediate family, a friend or colleague, someone in a position of power such as a boss or clergy member, or a health care provider. For each of the identified referents, the individual reports feelings about what that referent feels about the individual performing the target behavior. A statement reflecting this notion is "My doctor feels strongly that I reduce my saturated fat intake". Then, for each referent, the individual reports the amount of influence that referent has, e.g. "I strongly agree that I want to do what my doctor suggests". These two reports are combined for that referent. Then, the results for each referent are combined to represent overall normative beliefs.

## **2.2. THEORIES OF BEHAVIOR**

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The Theory of Planned Behavior (TPB) extends TRA by including a component of volitional control. Volitional control captures the individual's ability to exercise control over the target behavior. Volitional control is included in the model by perceived behavioral control. If the individual perceives a great amount of behavioral control, then motivation (as characterized by TRA) drives the individual's performance of the target behavior. If the individual perceives little control over their ability to execute the target behavior, the individual expends less effort in pursuit of the target behavior. Perceived control depends on control beliefs and perceived power of each belief.

### **2.2.2 Context-aware change: Social Cognitive Theory**

Social Cognitive Theory [Bandura, 2004, 1977] is based on reciprocal determinism: a person's behavior, environment and inner qualities continuously interact. This theory includes constructs that address how people learn, how environments impact behavior, and how people regulate their own behavior.

One of the key contributions of SCT is *observational learning*: the belief that people can learn by observing others learning and experiencing *vicarious reinforcement*. This is in contrast to the more traditional belief of *operant learning*, which dictates that an individual needs to execute a behavior and be directly rewarded for it in order to learn. A factor in using observational learning to change one's behavior is the individual's perception of how similar the observed situation is to their own situation. That is, if Person A observes that Person B engaged in specific behaviors to stop smoking, Person A will believe that applying similar behaviors will have a similar outcome if Person A observes that Person B has similarities in key personal and environmental factors. Observational learning is particularly relevant when considering health behaviors because the reward or reinforcement for executing healthy behaviors is frequently not immediate, but emerges over time.

The role of environment and perception of environment are also important SCT. Environment includes all factors external to the individual, including interpersonal relationships. When considering nutrition behaviors, environment may dictate what foods are available to an individual, for example due to seasonal availability or what is available to

### *2.3. SUPPORTING CHANGE: THERAPY APPROACHES*

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purchase at a grocery store or cafeteria. The social environment may dictate what foods are appealing to choose or the ability to request specific foods (e.g., whether a child can ask an adult for special foods).

Self-efficacy is the belief in ones abilities to overcome specific challenges associated with a target behavior. Self-efficacy derives from the earlier mentioned interaction among the individual's behavior, the environment, and the individual's inner qualities. When the individual executes an action within the environment, the result either meets expectations or not. If the individual's self-efficacy was high around this particular action, an unanticipated result may not have much of an impact. However, if the individual's self-efficacy was low, an unanticipated result could substantially negatively impact the individual's belief around that behavior, environment and ability to navigate it.

Self-efficacy is traditionally measured by self-report. To develop self-efficacy measurements for a particular domain, researchers use open-ended approaches to identify common challenges and barriers to the problem. They then develop a series of statements of the form "How confident are you that you can [achieve goal] even though [challenge]?" with a 4-unit response scale ranging from "Cannot do it" to "Highly certain can do". An example of a statement is "How confident are you that you can stick to a healthy eating plan after a long, tiring day at work?". Research shows self-efficacy measures based on self-report indicate adherence to strategies to change behavior [Nothwehr, 2008]. While short-term studies cannot prove behavior change, self-efficacy measures provides valuable feedback about whether an intervention is supporting adherence to behavior change strategies, and indicate whether participants complete the study with an intention to continue.

## **2.3 Supporting Change: Therapy Approaches**

One of the reasons humans have been consumed by thinking about theories of mind, thought, and behavior is because the human condition includes being unsatisfied with the status quo. More recently in human history, the role of therapist has established itself in our society. Therapists use an understanding of mind and behavior theories to help

## **2.3. SUPPORTING CHANGE: THERAPY APPROACHES**

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individuals solve problems.

In this section, I introduce two therapies that are commonly used to address behavioral change around nutrition and health behaviors. These therapeutic approaches represent the gold standard of care for helping people to change their behaviors. Understanding these therapies helps to either evaluate the role of technology in supporting the therapeutic process, or using the therapeutic process to inform the design of technology to support change.

### **2.3.1 Motivational Interviewing**

Motivational interviewing (MI) [Miller and Rollnick, 2002a,b] is a process practitioners use to identify what areas of change an individual is ready for. It is a technique employing goal setting, feedback mechanisms, and self-monitoring. There are three key elements: collaboration rather than confrontation; evoking ideas rather than imposing ideas; and autonomy rather than authority. In addition are four principles to follow: express empathy, support self-efficacy, roll with resistance, and “develop discrepancy” (or identify cognitive dissonance).

MI has a strong record of impact for many types of behavior change [Rubak et al., 2005]. It is particularly effective and well respected in the area of nutrition behavior changes [Abraham and Michie, 2008; Michie et al., 2009; Greaves et al., 2011].

### **2.3.2 Reframing change: Cognitive-Behavioral Therapy**

Cognitive-Behavioral Therapy (CBT) is based on a cognitive model called *emotional response*, in which thinking influences behavior. Under this premises, changing one’s thinking changes one’s behavior. Some therapies that fall under the CBT umbrella focus on emotional states or feelings, such as depression or anxiety. Other therapies use a similar approach to change overt behaviors such as nutrition or smoking.

CBT therapy focuses on educating the individual about different cognitive patterns and how those cognitive patterns manifest in that individual. The therapy process is based on the Socratic Method, where the therapist asks questions about the individual’s thinking.

This teaches the individual to eventually ask similar questions of themselves.

## 2.4 Cross-cutting Constructs

So far, I have discussed behavioral theories and therapies to support behavior change. These have each been distinct in that there are clear boundaries between where one theory or therapy. Each theory and therapy was self-contained. They are not contradictory, but they do not specifically build on each other. In this section, I introduce some constructs that apply to many different theories and therapies.

### 2.4.1 The process of change: Transtheoretical Model

The transtheoretical model (TTM) [Prochaska and Velicer, 1997] reflects that people are in varying stages of change in relation to a given behavior. It arises from study of a theoretical intervention therapies, such as psychoanalysis, gestalt, and cognitive therapies. Across all these theories, Prochaska et al determined that intervention therapies had no time construct. He interviewed people who were not familiar with intervention therapies but had successfully changed a key behavior. He found that change was a process that unfolded over time. This process could be broken into stages, each with certain characteristics. Further, constructs from some theories were applicable in some stages of change, while a seemingly contradictory theoretical construct was appropriate for a different stage of change. A key finding from the TTM inquiry is that no single theory can fully describe and account for the complexities of behavior change.

The stages include precontemplation, contemplation, preparation, action, and maintenance. A person in the stage of precontemplation does not intend to take action around the target behavior within the next six months. That person moves to contemplation when they intend to take action within the next six months, and then into preparation when they intend to take action within thirty days. People in the preparation stage usually have taken some steps toward the change. Action reflects that the person has engaged in the new behavior for less than six month. Finally, maintenance is having continued the new behavior for more than six months.

## **2.4. CROSS-CUTTING CONSTRUCTS**

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Two constructs impact progression from one stage to the next: decisional balance and self-efficacy. Decisional balance is a comparison of the benefits of changing to the costs of changing. To move from the preparation to the action stage, the benefits need to increase by one standard deviation, or the costs need to decrease by half a standard deviation. That is, the person needs to either identify many more benefits to changing, or reduce the impact of a few barriers. Self-efficacy is a measure of how confident an individual is about their ability to continue the target behavior in challenging situations.

Understanding the TTM is important for designing behavior change interventions because different theoretical constructs and processes apply to different stages of change. For individuals in precontemplation, consciousness raising and environmental reevaluation are key processes. These processes focus on strategies that target education of the individual, or changing how they view themselves in relation to the target behavior. In the action stage, processes such as counterconditioning and helping relationships support continuation of the target behavior. Counterconditioning focuses on how to replace unhealthy behaviors with target behaviors. Helping relationships includes building relationships that support the individual in the change.

### **2.4.2 Motivating change: Goal setting and tending**

Here I talk about Locke and Latham [Locke and Latham, 2002] and Consolvo and Landay [Consolvo et al., 2009a]. Goal theory. How to define goals. How to support progress toward goals.

Goal setting theory [Locke and Latham, 2002] focuses on the characteristics of effective goals, including the process through which they are defined, who is involved in the definition, and how challenging the goals are. Goals can be used to motivate change by directing attention and action toward specific target for performance. When people are given high goals and are able to negotiate the time frame, they work harder to meet those goals. In contrast to exhorting people to “do their best”, setting and tending goals motivates people to perform better overall.

There are three mediators to goal performance: goal commitment, feedback, and task

complexity. Goal commitment includes both importance and self-efficacy. Importance may be either internally or externally driven. Goals set by an external mediator (e.g. boss, coach) benefit from including the individual in the goal-setting process due to the cognitive engagement: providing an explanation for why the goals are important. Self-efficacy reflects how capable the individual feels about attaining the goal. Increasing self-efficacy can increase commitment to attaining the goal. Feedback is important to allow individuals to track progress toward goal attainment. Feedback allows individuals to modify their approach as necessary. Finally, task complexity is key to the attainment of goals. For challenging tasks, individuals perform better on tasks that focus on a specific learning goal as opposed to a general performance goal. Additionally, breaking a complex, challenging task into appropriate sub-tasks helps people to perform those tasks and successfully achieve the overall complex task.

When people with high self-efficacy set goals, they set higher or more challenging goals than those with low self-efficacy. They also “are more committed to assigned goals, find and use better task strategies to attain the goals, and respond more positively to negative feedback than do people with low self-efficacy” [Locke and Latham, 2002].

Goal setting theory is consistent with SCT, in that they both incorporate the importance of goals and self-efficacy.

## 2.5 Supporting Change: Tools

I have now introduced theories of behavior, therapies designed to support behavior change, and constructs that describe or mediate the entire behavior change process. In this section, I describe tools that can support behavior change. Per the focus of this dissertation, I address self-monitoring and the use of technology to support change.

### 2.5.1 Self-Monitoring

Self-monitoring of dietary intake is the process that an individual uses to keep track of what they eat. The self-monitoring process is impacted by both the tool or instrument used for self-monitoring and the individual doing the self-monitoring. The design of a

## **2.5. SUPPORTING CHANGE: TOOLS**

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self-monitoring tool impacts the self-monitoring process in a number of ways. First, the tool serves in a focusing role: it specifies what the individual should be monitoring. In the realm of nutrition, it could be calories, a rating of the healthiness of a meal, or many other aspects of the food. Second, the tool can help by clarifying goals and tracking progress toward those goals. Finally, the design and implementation of a self-monitoring tool can make it easier or more challenging to adhere to the self-monitoring process.

In regards to the individual, the process of self-monitoring involves cognitive processes. The cognitive processes children use when recalling food have been identified as attention, perception, organization, retention, retrieval and response of information ([Baranowski and Domel, 1994]). The individual needs to attend to the food that they are eating, at the time they eat it, in order to perceive it. The food information then needs to be organized and stored for retention. Then, the food information needs to be retrieved and formulated into a response or action (e.g. creating a record).

In addition to the low-level cognitive processes that impact self-monitoring, the ability or willingness to continue self-monitoring involves individual goals, motivation, and resources. Goals and motivation were discussed earlier in this chapter. Resources are accounted for in TPB, as the construct of volitional control. An individual's resources, or lack of, can impact the self-monitoring process by impacting their ability to monitor consistently. Lack of time is frequently cited as a barrier to self-monitoring of dietary intake. In addition, one could imagine a scenario with a mobile-phone based self-monitoring tool, when the phone runs out of battery, or perhaps does not have a network connection.

Research consistently supports that self-monitoring helps to mediate behavior change. Indeed, Kanfer [1970] states "self-observation is an initial step to self-directed behavior change". Much research in this area falls outside the scope of this chapter, but in the domain of weight-loss, people who adhere to consistent self-monitoring of dietary intake lose more weight and have better indicators of related behaviors. Burke et al and the PREFER trial. WHI. [Greaves et al., 2011].

It is unclear how the process of self-monitoring impacts behavior change, or what mediates the process of self-monitoring. Kanfer [Kanfer, 1970] suggests that behavior change is a result of the self-awareness that one generates while self-monitoring. In contrast, Nel-

son and Hayes [ref] suggest that the greater self-monitoring process is what mediates change. Per Nelson and Hayes, the self-monitoring process includes “therapist instructions, training, self-recording device, self-monitoring responses”. The focus on the greater environment surrounding self-monitoring accounts for behavior change even when self-monitoring is not consistent and detailed. This uncertainty in understanding the role of self-monitoring is partly due to limitations in the ability to evaluate the self-monitoring process. [Baranowski and Domel, 1994; Kanfer, 1970; Burke et al., 2005; Glanz et al., 2006].

In the next chapter I further explore how electronic self-monitoring tools for dietary intake are evaluated. This provides insight into understanding the effectiveness of different features of self-monitoring tools.

### 2.5.2 Technology and Behavior Change (Persuasive Technology)

In this section, talk about the body of work that has looked at how to use technology to support behavior change. Persuasive technology [Fogg, 2002] and theory-driven technology [Consolvo et al., 2009b].

Persuasive technology is technology designed to change behavior or attitudes. Technology can play three different roles: tools, or as a means to help with a task; media, or as a means to provide content; or social actor, or like a living entity ([Fogg, 2002]). Technology can change attitudes or behaviors by engaging these three different roles to make desired outcomes easier to achieve. Fogg identifies seven types of tools that support this ([Fogg, 2002]. *Reduction* supports the task of change by simplifying something that is challenging or complex. *Tunneling* guides the individual through the process of change. *Tailoring* provides customized information for an individual, which makes it easier for the individual to understand, relate to, and take action on. *Suggestion* uses context to intervene at the moment of maximum relevance. *Self-monitoring* makes it easy or enjoyable to monitor their attitudes or behaviors. *Surveillance* allows an individual to be monitored, supporting behavior change. *Conditioning* uses principles of operant conditioning to change behavior by reinforcing the use of new behaviors.

## *2.6. SUMMARY*

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### **2.6 Summary**

In this section, I reviewed relevant background information for considering how technology can support nutritional behavior change. This included an individual model of how people choose their target behavior (Theory of Planned Behavior) and how environment influences behavior (Social-Cognitive Theory). I then introduced some therapy approaches used by experts to guide the process of change. This includes Cognitive-Behavioral Theory, which teaches people how to use cognitive strategies to problem-solve challenges they face in changing their behavior, and Motivational Interviewing, which employs an expert to help people identify their goals and monitor their progress toward them. MI depends on goal-setting theory and self-monitoring. Next, I described a theoretical construct that applies within all of these theories: the Trans-Theoretical model that describes the overall process of change. Finally, I consider the role of technology in the context of persuading and supporting behavior change. In the next chapter, I further explore technology that supports behavior change and relate it back to the theories presented in this chapter.

# 3

## Related Work

The previous chapter introduced behavioral theories, therapies informed by those theories, and some supporting tools and constructs. In this chapter, I describe some work that uses these concepts to build technology to support nutrition behavior change. In particular, I focus on tools that enable self-monitoring. Related work ranges from evaluating the impact of self-monitoring within a larger behavioral change program to evaluating the user experience (which includes both usability and utility) of advanced self-monitoring tools.

### 3.1 Introduction

Persuasive technology is the use of technology to encourage and support people in changing their behavior. *Kairos*, defined as providing useful information at a key moment, is a key characteristic of effective persuasive technologies. Mobile technologies are impor-

### *3.2. HCI AND MOBILE NUTRITION TOOLS*

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tant to enabling kairos. In this chapter, I describe other projects that use mobile devices to support self-monitoring of nutrition behaviors.

## **3.2 HCI and Mobile Nutrition Tools**

There are four projects closely related to the work presented in this dissertation. They are related because they are related to nutrition as opposed to physical activity, incorporate a form of self-monitoring, they take a user-centered design and evaluation approach, and they have some form of final validation. Work not covered here includes user-centered approaches to designing technology to support self-monitoring of physical activity, work focused primarily on developing or designing new technology to support self-monitoring of dietary intake, or work focused primarily on the evaluation of commercially available self-monitoring tools. However, I do refer to some of these projects later when I discuss the evaluation of tools that support self-monitoring of dietary intake.

The four main projects related to this dissertation work include: Siek et al's [Siek et al., 2009; Siek, 2006; Siek et al., 2006] work on self-monitoring of fluids and nutrients for patients with renal failure; Mamykina et al's work on supporting newly diagnosed diabetes patients; Tsai et al's work on mobile phone software that tracks both physical activity and dietary intake; and the Wellness Diary by Mattila et al, which is mobile phone software to track a variety of wellness factors, including dietary intake, physical activity, mood, stress, and sleep. Work by Grimes et al (e.g. ) is excluded due to its focus on nutrition and eating behaviors within a community as opposed an individual.

### **3.2.1 Mobile Monitoring for Chronic Kidney Disease**

Siek et al [Siek et al., 2009; Siek, 2006; Siek et al., 2006] explored the use of PDA-based self-monitoring of dietary intake for a specific population: people who have chronic kidney disease (CKD) and require dialysis on a regular basis. People with CKD tend to have a number of conditions that make traditional food tracking more difficult. Dialysis patients must track their intake of certain nutrients (particularly sodium, potassium and fluids) in their very carefully. Monitoring this information is particularly difficult for end-stage renal

patients with poor literacy skills. The disease and treatment cause physical side effects that make using traditional electronic food journaling applications difficult. The physical side effects include degraded eyesight and fine muscle coordination, which makes small fonts or pointing targets inappropriate. To address these issues, the researchers investigated the use of barcode scanners and voice input to make food input easier. Over time participants preferred to use voice input because it was quicker and easier than using the scanner.

### **3.2.2 Mobile Tools for Diabetes**

Mamykina et al [Mamykina et al., 2011, 2008] has explored supporting diabetes patients in managing their dietary intake. Specifically, MAHI focused on better supporting and exploring how to build tools to support people in their monitoring of a chronic disease, diabetes. Newly diagnosed diabetics usually go through a period of changing their dietary behaviors. MAHI is a mobile phone application that supports users in capturing and documenting eating episodes throughout the day. The records can then be reviewed later, encouraging reflection on the individual's behavior and choices. This can be characterized as a quick-capture with a strong emphasis on post-hoc analysis. Mamykina et al report [Mamykina et al., 2008] two specific items of interest for this dissertation: participants in particular had trouble using the Nokia N80 phones (including "intimidat[ion] by the expensive-looking phone"), and there was a noticeable "low level of personal interest" in the use of the technology. Further work in this area focused more on social support and the building of community around diabetes management.

### **3.2.3 Mobile Dietary Intake and Physical Activity Tracking**

Tsai et al developed the Patient-Centered Assessment and Counseling Mobile Energy Balance (PmEB) [Tsai et al., 2007], an application that runs on a cell phone to help people self-monitor caloric balance in real-time. The application on the phone allowed users to enter food intake and physical activity episodes, and displayed a visualization of current balance. They compared use of the application to self-monitoring with a paper diary. The comparison focused on characterizing the benefit of reminders in tracking caloric balance.

### **3.2. HCI AND MOBILE NUTRITION TOOLS**

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Participants were assigned to one of three groups: paper (using a paper diary for tracking food intake and physical activity), one-reminder (using the phone application, with the phone providing one daily reminder to enter food), and three-reminder (again using the phone application, but with 3 daily reminders to enter food and activity).

SapoFitness [Silva et al., 2011] presents the design and prototype of a physical activity and dietary intake diary. It is an Android application. In addition to tracking physical activity and dietary intake, features include a personal profile, feedback on current health status, and sharing. It also included predefined reminders to help the user make good choices throughout the day.

#### **3.2.4 Mobile Wellness Tracking**

The Wellness Diary [Mattila et al., 2008b; Ahtinen et al., 2009; Mattila et al., 2008a; Koskinen and Salminen, 2007; van Gils et al., 2001] is a customizable diary software based on CBT. It provides many different wellness factors an individual can monitor over time. The wellness factors include quality of food intake, amount of physical activity, sleep, stress levels, and amount of time spent at work for the day. In regards to the self-monitoring of dietary intake, Mattila et al evaluated the use of the Wellness Diary by a self-selected group of people who were overweight and owned a phone that could run the software. This group was asked to use the Wellness Diary as part of a CBT-based weight management program. The group was provided with equipment and training necessary for them to implement the CBT-based weight management program. This included suggestions of what Wellness Diary measurements they should make (e.g. make food entries after meals, measure their weight every morning, etc). At the end of three months, participants were classified as in either a "LOSER" group (n=12), meaning they lost more than 1% of their starting weight, or the "OTHER" group (n = 13). The participants in the LOSER group lost significantly more weight than the OTHER group (a loss of 2.94 kg versus a gain of 0.193 kg) and made significantly more entries per day (5.78 versus 5.24). Participants in the LOSER group made a mean of 3.41 food and drink entries per day, while those in the OTHER group only made 3.05. This study provides evidence to support previous work that indicate the longer

a person continues their self-monitoring practices, the more weight they will lose (or the bigger the change). It also provides evidence that users appear willing to make five or six quick entries in a journaling application per day.

### **3.2.5 Synthesis**

The Siek and Mamykina work differ from the work presented in this dissertation in that they focus on a particular user population defined by disease. These user populations have well-defined constraints on dietary intake. Individuals who need to change their nutrition behaviors to treat a disease may be more motivated to use technology to support their necessary self-monitoring than individuals focused on preventing disease. The Wellness Diary work differs in that it includes a wide range of behaviors to monitor. Self-monitoring of dietary intake is very cursory: each record captures whether the eating episode is health, not healthy, or unknown. PmEB is closely related to one of the projects I present in this dissertation, BALANCE. The primary difference is the evaluation: PmEB collected feedback on reminding strategies, while BALANCE looked at how well participants were able to make food entries. Both BALANCE and PmEB report challenges using a food database as part of the self-monitoring tool, which contributed to the pattern-based approach that informed the rest of this dissertation.

### **3.2.6 An Aside: Streamlining Food Intake Capture Process**

Two other areas of related work that deserve a mention. One is that of using photography to capture a record of food eaten, detect what food was eaten, and calculate the nutrient values. The other is of using on-body sensors to detect eating episodes. One is attempting to automatically calculate food intake by observing the environment, while the other is attempting to automatically calculate food intake by observing the individual.

The vision for using mobile-phone cameras for self-monitoring of dietary intake is a multi-step process. First, the user takes a picture of the food s/he intends to eat using the mobile phone. The process of taking the picture depends on which software is being used. The quality of the photograph, the lighting, the position of the camera all impact the

### **3.2. HCI AND MOBILE NUTRITION TOOLS**

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ability of the software to automatically recognize the food. Some projects require the use of specific plates, while others use physical artifacts to determine camera angle and sizing information. Once the image is taken, computer vision strategies are used to identify the foods in the photo. Contextual information such as location, time and user may help the food identification process. When the user is done eating, s/he takes another photo of the food, showing the remaining food. This helps to identify how much food was eaten. A food database is used to look up the nutrition information and calculate calories consumed.

#### **3.2.7 Using Photos to Capture Intake**

FoodLog [Aizawa et al., 2010; Kitamura et al., 2009; de Silva and Aizawa, 2011] is a website that allows users to upload images of their meals. The images are analyzed using computer vision approaches to categorize food into five food groups (grains, vegetable, meat/beans, fruit, milk) [Kitamura et al., 2010]. The system is primarily for personal use, but includes some features for users to connect and build community. It includes a number of analytical features and capabilities. Almaghrabi et al [Almaghrabi et al., 2012] uses before and after photos of food to identify the food, look up its nutritional content, and determine how much was eaten. It addresses the measurement problem by registering the image with the users thumb. It is restricted to meals eaten on white plates. DietCam [Kong and Tan, 2011a,b] is a mobile-phone-based camera software that is exploring how to support users to take multiple photos of a meal, before and after eating, to improve the food recognition problem. Zhu et al [Zhu et al., 2010b; Bosch et al., 2011; Zhu et al., 2010a; Kim et al., 2010] developed an image-based analysis system that consists of mobile-phone based image capture (of food), a database of known foods and nutrition information, and is working on the vision and classification aspects of this problem. DiaWare [Shroff et al., 2008] supplements the image-capture and recognition problem with contextual information from the mobile phone, such as user, location, and time. PlateMate [Noronha et al., 2011] addresses the food recognition part of the problem by crowd-sourcing nutritional analysis from food photographs. Like The Eatery. DietSense [Reddy et al., 2007] is a project that automatically collects images all day, rather than initiated by a user. Initial studies explored if food im-

ages could be captured, identified, and analyzed to detect food intake. Finally, [Wu and Yang, 2009] took videos of people eating in fast food restaurants, used image recognition to identify food items and how much was eaten, and used a nutrition database to calculate how many calories each person ate.

All of these projects focus on the technology behind supporting the process of self-monitoring of dietary intake. The goal is to make the capture of eating episodes automatic, because so many people find it challenging to continue self-monitoring via self-report on a continual basis. Current challenges to using images for self-monitoring include food identification, determining the amount eaten, and collecting an appropriate photograph for processing. Collection is important because users have shown that they are as uncomfortable noticeably taking photos of their food for notation purposes as they are using a food diary in social situations. It is becoming more socially acceptable as more people are documenting food for social media. Automating the process will also help.

Apart from the technical challenges, it is how the automatic capturing of nutritional behaviors impact the self-monitoring process. Self-monitoring consists of both a moment-of-capture and a moment-of-reflection, while automatic capture reduces this to the moment-of-reflection. Since it is unclear how the process of self-monitoring impacts behavior change, this is a potential area of concern and future research opportunity.

Finally, image-based self-monitoring may have the same challenges as traditional, nutrient-focused self-monitoring. It is still unclear how well image-techniques identify nutrients such as added fat (e.g. butter), salt, or sugar. It also keeps the user's attention focused on a nutrient-oriented approach to dietary intake, as opposed to the pattern-based approach. I discuss this further in Chapter A.

Comber et al [Comber et al., 2012] focused on using a mobile-phone based image capture system to track what patients in a hospital eat. This work is effective because it is used within a hospital environment where food served to patients is highly controlled, both in terms of content and serving size.

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#### **3.2.8 Automatically Sensing Dietary Intake**

[Päßler et al., 2011] and [Amft et al., 2005] are both working on sensing eating activities with the use of a wearable microphone. They are investigating whether features of the chewing sounds can determine which food is being chewed, and how much.

WellNavi [Wang et al., 2006] investigated the use of taking photos on a mobile phone to capture food intake. Participants took a picture of the food before and after eating. A stylus-ruler was placed next to the meal to identify scale. Participants completed a short questionnaire about non-pictured foods. The images were then analyzed by an RD for nutritional content. There was little difference in the nutrition content as identified in the photos when compared to the weighed food records and 24-hr recall. This indicated that the use of photos with the extra data (ruler, survey) is comparable to existing standards of identifying nutritional intake. The record-capture process was reported as taking an average of 5 minutes. Participants reported being concerned about someone else seeing their photos.

### **3.3 Evaluation of Self-Monitoring**

So far I have presented background information to situate approaches to self-monitoring for behavior change, and described some related work that focused on the design and development of technology to support various aspects of self-monitoring. Now, I describe some of the evaluation approaches these projects used to properly situate the work I describe later in this dissertation. Understanding the how self-monitoring tools have been evaluated allows us to compare new work to previous work.

#### **3.3.1 Challenges for Self-Monitoring of Dietary Intake**

The primary challenge of evaluating dietary intake self-monitoring tools is that it is difficult for researchers to know how correct and complete the created records are. Methods researchers use to validate food diaries include food frequency questionnaires, 24-hour recalls, and doubly labeled water. Food frequency questionnaires ask people to report how

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often they eat specific foods in a given time period. Twenty four-hour recalls use a well-defined process elicit an accurate list of foods eaten in the past twenty-four hours. The doubly labeled water protocol uses isotopes to calculate an accurate base energy expenditure. The base energy expenditure, the reported calories consumed and body weight can be used to calculate the correctness of reported food consumption. Food frequency questionnaires are helpful for identifying general trends in a population, but a food record for a given time period may not be consistent with the food frequency questionnaire. Doubly labeled water is a resource intensive approach and has the drawback that it can only identify the number of calories consumed if body weight does not change. The calorie consumed calculation does not help identify the correctness of specific food diary entries. Generally, the 24-hour recall is considered the best validation approach for evaluating the correctness of reported food intake.

Overall, existing methods for evaluating self-monitoring and health outcomes considers the overall process of self-monitoring. They are not able to provide a more detailed analysis of what features of the self-monitoring tool are most or least effective for a given task or goal.

[Shang et al., 2011] is developing the Dietary Data Recording System to both streamline the food dat

#### **3.3.2 Motivation for Evaluation**

##### **3.3.2.1 Impact of Self-Monitoring on Nutrition Behaviors**

The nutrition and epidemiological research areas include research to assess the process or outcomes of self-monitoring. There is less focus on the design or evaluation of the specific tool used for self-monitoring, and more focus on how that tool supports or does not support either the process or outcomes of self-monitoring. Research on the process of self-monitoring includes understanding how self-monitoring with various tools impacts the research process. More work focuses on outcomes of self-monitoring on larger goals, such as how self-monitoring impacts weight loss.

The other concern of nutritionists is the task of collecting detailed information about a population's dietary intake to analyze it in terms of a number of variables (either descrip-

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tively, or to identify correlations between dietary factors and disease). Related research also includes work that is investigating how particular dietary changes impact disease; in this case, participants are required to self-monitor their dietary intake in order to confirm that they are following specific, prescribed nutritional goals. Researchers report on how different forms of self-monitoring support this larger research program.

In the weight loss program research, research reporting on self-monitoring of dietary intake consists of studies where people participating in an existing weight loss and management program use electronic self-monitoring. Examples of this are in the Women's Health Initiative [Glanz et al., 2006] and SMART trial [Burke et al., 2011b]. Both programs incorporated electronic self-monitoring as part of a larger program that included a high level of support for participants. This support included technical support, personalization of the software, and providing timely, personalized feedback on a regular basis. Participants are already participating in a successful program consisting of education, group meetings on a regular basis, and receiving personalized feedback. In these studies, participants who do use electronic self-monitoring adhere to the program longer, and tend to lose more weight than participants who are not self-monitoring. Research in this area characteristically reports large number of participants monitoring for a long period of time (One month for Glanz et al. [2006], and six months for Burke et al. [2011b]).

#### **3.3.2.2 The Use of Self-Monitoring to Understand Nutrition Behaviors**

In the case of gathering detailed information about dietary intake for epidemiological purposes, the goal is to collect very correct data. The concern in this case is that the longer the time between eating and documenting, the greater the chance of error in the record. Therefore, the researchers want very timely records to improve the quality of the data. However, the populations are generally less motivated to document their food intake. It's also possible that the populations are less nutritionally literate, and usually don't have extensive training in the use of the monitoring instruments. Research that fits this profile tends to collect dietary intake information on the scale of a few days, rather than weeks. This puts the participants at a disadvantage, as dietary intake instruments usually require

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a few days (at least) to become familiar, and personalization or customization of the instrument doesn't result in much benefit. Research in this area characteristically reports many participants tracking for a short period of time (3-7 days).

#### **3.3.2.3 A Special Case: The Women's Health Initiative**

The final group of nutrition oriented research is concerned with the impact of various dietary recommendations over a long term. The Women's Health Initiative is an example. The Women's Health Initiative is tasked with studying the incidence of disease in women who have committed to following particular dietary guidelines, specifically low fat with high fruit, vegetable and whole grain intake. Women in this study need to document what they eat to provide verification to the program that they are following the diet. Here, the primary focus of the research is to support the participating women in following the diet, therefore the research program provides substantial resources and support to the participants, and additionally, the participants are highly motivated. Electronic self-monitoring has been studied in the context of making the recording process easier. Research in this area has the characteristics of large numbers of participants self-monitoring for a long period of time (1-6 months).

In all of the above mentioned research, the self-monitoring instruments are frequently commercially available software, and when instruments are developed for the work, they are rarely described in detail.

#### **3.3.3 Evaluation Characteristics and Measures**

I identified and reviewed 15 studies reporting on an evaluation of self-monitoring for dietary intake [Glanz et al., 2006; Burke et al., 2011a; Fukuo et al., 2009; Atienza et al., 2008; Yon et al., 2007; Acharya et al., 2011; Arsand et al., 2007; Jarvinen et al., 2008; Mattila et al., 2008b; Kozakai et al., 2006; Reddy et al., 2007; Silva et al., 2011; Tsai et al., 2007; Wang et al., 2006; Long et al., 2012]. These studies reflect evaluations performed *in situ*. I reviewed what instrument was used (commercially available or research prototype), the number of people, recruitment strategy or population, duration, the size of the database, and the goal

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of the evaluation. A summary of this information is presented in Table [ref].

Reference	Platform & Instrument	Database Information	Number of Participants	Target Population	Duration	Results	Challenges	Goal or purpose
Glanz et al. [2006]	PDA. Custom software. Tracked fat, servings of fruit, veggie & grains. Provided customized (paper) report after every week.	300 items (custom built from program materials)	33	Women in Diet Modification arm of WHI <sup>1</sup> .	1 month	Entries made a mean of 5 days/wk. Half of pts made entries 6-7 days/wk. On 62% of days, entries were made 3+ different times.	None reported.	Support participants in following a particular diet plan
Burke et al. [2011a]	PDA (DietMatePro) with customized feedback component (FB) PDA w/o FB Paper report (PR)	6000 items (USDA)	210	Overweight or obese (BMI) No medical conditions	6 months	PDA+FB=90%, PDA=80%, PR=55% Adherence definition: Ppt was adherent for the week if they made some recordings each day and recorded ≥ 50% of target calorie goal for the week	None reported.	As part of a larger behavioral support program that included group sessions
Acharya et al. [2011]	Same as above	Same as above	Same as above	Same as above	Same as above	PDA groups: increased fruit and vegetable intake, decreased refined grain and fat intake. Across all groups, frequency of self-monitoring correlated with sugar intake (more frequent records, higher sugar intake)	None reported.	Evaluate impact of self-monitoring on the quality of diet.

<sup>1</sup>Women's Health Initiative: Highly motivated to adhere to target diet plan that is focused on reducing dietary fat intake.

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Reference	Platform & Instrument	Database In-formation	Number of Participants	Target Population	Duration	Results	Challenges	Goal or purpose
Jarvinen et al. [2008]	Camera phones. Barcode scanning supported with additional macro lens. Custom developed web & mobile apps.	2500 items	97 individual users; 5 groups (39 participants total); 9 nutritionists;	Trial 1 & 2: people interested in weight management; Trial 3: tool for support in a weight management group; Trial 4: as a tool for nutrition counseling.	Trial 1 & 2: 2 weeks; Trial 3: Groups: tasks of tracking for 2-3 days at a time. Trial 4: 3 wks;	Individuals: overall positive, with food & exercise diaries being most useful. Nutritionists: overall positive & useful, food diary most used. Groups: positive impression for both members and instructors.	Tool is "effort-demanding"; provides "huge amount of information" for those who are already motivated. Ability to use more usable and extensive nutritional data. Smartphone & barcode scanning technology in infancy. Creating records in food & exercise diary were too time consuming and challenging.	Feedback about the use of the entire system (nutrition information, self-monitoring capabilities, feedback from virtual trainer, more)
Mattila et al. [2008b]	Symbian S60 smart-phones. Custom software (Wellness Diary): Food tracking consisted of classifying as light snack, heavy snack, light meal, heavy meal; optional entry of calories.	none	29	Overweight, used phone	S60 3 months	LOSERS made more entries in all categories than OTHERS group; 79% thought it would help them lose weight. 64% wanted to continue using it	Importance and input frequency of food decreased over the course of the study. People felt it important to be careful in observing at the beginning, but less so later.;Importance of recording weight increased over the study.	Test ability of WD to support CBT-based weight management. Participants educated about using CBT for weight loss.
Kozakai et al. [2006]	Camera phone. Custom software; included scale, blood pressure meter, diet diary	607 w/o barcode, 805 w/ barcode	1	Grad student volunteer	2 months		Food sometimes was not in the database.	Proof of concept that these tools can be used to track health indicators over time.
Reddy et al. [2007]	Nokia N80 phone Custom software (Diet-Sense): captured images, audio, context & location every 10 seconds	None	6	Users	2 weeks	How to improve automatic capture of meal photographs. Identified potential areas of concern around automatic capture.	Need better tools for distinguishing between meal and non-meal photos.	Evaluate potential usefulness for DietSense, collect data to inform future development.
Silva et al. [2011]	Android. Custom softwared.	Not reported	"Several users"		"Several weeks"	"There was pretty good feedback from the users"	None reported.	Prove ability of SapoFitness to motivate weight loss.

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Reference	Platform & Instrument	Database In-formation	Number of Participants	Target Population	Duration	Results	Challenges	Goal or purpose
Tsai et al. [2007]	Cell phone (Motorola RAZR) Custom software (PmEB);	750 items	15	Clinically Overweight (BMI≥ 25)	1 month	Food entry was challenging, due to limited database, inadequate food search algorithms, inability to enter fractional servings. Users disliked the prompts	1-prompt group used app 6 times per day; Paper group used record 5.5 times per day; 3-prompt group used app 3 times per day. 1-prompt group made entries 99.1% of days; 3-prompt group 96.4% of days; Paper group 60.7% of days.	Compare use of PmEB with 1 daily reminder, PmEB with 3 daily reminders, and use of paper records.
Long et al. [2012]	Camera phones (no custom software), MyPyramid tracker (website).	6000 items (USDA)	69	Students	3 days		Photos as memory prompts were helpful but not perfect. Serving sizes were still an issue.	Evaluate effectiveness of cell phone photos as an effective memory prompt when using MyPyramid tracker
Atienza et al. [2008]	PDA. Custom software. 43-question assessment (triggered 2x/day, provide individualized feedback, goal-setting and support)	None	27	Adults ≥ 50yrs	8 weeks	In the PDA group, intake of vegetable and dietary fiber from grains increased more. Ppts completed 51% of the assessments.; Week 1=75%, Week 8=40%.		Compare impact of PDA versus education intervention on increasing vegetable & whole grain intake.
Yon et al. [2007]	PDA. Calorie King's Diet Diary software.	Not reported in paper; current paper  CalorieKing Diet Diary states 50,000 records	(71 PDA, 115 weight control program  CalorieKing control)	Overweight, participating in weight control program	6 months	No significant difference between PDA and paper use. Overall, individuals who self-monitored more lost more weight.	26% of PDA users liked the "ease of use of entering food and exercise data", 44% reported they disliked the PDA software (because they could not find food they eat or could not see the screen). Ppts had trouble navigating the software and finding foods.	Evaluate use of PDA in a behavioral weight loss program (including weekly meetings; positive, personalized feedback; and technical support).
Fukuo et al. [2009]	PDA. Custom software.	423 food & drink photos	44 w/o diabetes, 16 w/ diabetes	Convenience sample	7 days	For both groups, PDA entries agree with 24-hr recall	None reported.	Evaluate effectiveness of food photographs for aiding in serving size specification in a PDA-based food diary.

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#### **3.3.3.1 Duration**

The duration of the evaluations I reviewed ranged from three days to six months. The three evaluations that had a duration of six months were concerned primarily with evaluating self-monitoring as a small part of a larger behavioral weight loss program. Evaluations that focused more on the technology ranged from a few days to a month. A notable exception is WellnessDiary, which was used for 3 months by a population of people who wanted to lose weight.

#### **3.3.3.2 Participants and Populations**

The number of participants in an evaluation ranged from a single grad student volunteer to 210 participants of a behavioral weight-loss program. The studies that reported few (or “several”) participants focused more on the feasibility of the technology. Studies with more participants reported on self-monitoring adherence and behavioral outcomes. Studies with a moderate number of participants (roughly 15-50) reported more details about challenges surrounding the technology and process of self-monitoring.

About half of the evaluations targeted a population that was overweight or obese based on BMI. The other half recruited convenience populations.

#### **3.3.3.3 Reported Challenges**

Five of the studies did not report any challenges associated with the self-monitoring tool or process. Four of these studies used commercially available software while one provided few details about the custom developed software. In the rest of the studies, reported challenges included the amount of effort required to create a food entry, databases that did not include desired foods, the helpfulness of reminders, and the lack of commitment to monitoring over time.

#### **3.3.3.4 Databases**

Database size ranges from non-existent (Wellness Diary [Mattila et al., 2008b] uses a triage approach, specifying whether a meal is healthy, unhealthy or unknown, and [Atienza et al.,

2008] uses a 43-item survey to determine vegetable and whole grain intake) to very large (40,000-50,000) [Yon et al., 2007]. In all except one study it is noted that participants found it difficult to find or enter food into the system. SapoFitness [Silva et al., 2011] is the exception in that it does not provide enough detailed information about the evaluation. Two systems reported pre-populating the mobile database with user-specified common foods [Tsai et al., 2007; Glanz et al., 2006], but participants still encountered foods not in the system.

### **3.3.3.5 Tool**

Evaluations vary widely as to whether participants carry their own device and install the software, versus being provided a device by the study. In the technology-oriented research, it is more common for participants to install the software on their own personal device, while in the nutrition studies devices are frequently provided. It may be more common for technology studies to provide the software for personal devices primarily because the research is more recent, and the availability of personally owned devices suitable for such software has increased substantially recently. This field may be more aware of good practices for ubiquitous computing evaluations. The nutrition literature, however, has been investigating this line of research for longer, and began when mobile devices were not as common in study populations. Additionally, for the research that is high impact, it may be important that everyone has the same software, which until recently has required very specific hardware. Also, for studies with many participants self-monitoring for a short time period, it is reasonable for the study to have a few devices for all participants to share.

### **3.3.3.6 Measures**

Reporting of measures varies depending on the goal and phase of the project. It would be helpful to be able to compare from study to study how the participants were using the software, to put it all in perspective. Usually an overall number is given: mean number of records per day. Sometimes rather than records, the number is the number of “compliant” days, or days when there are records entered at 3 different times. Usually there is a measure of adherence over time: the number of entered versus expected entries overall, as well as

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for the first week and the last week.

#### **3.3.4 Evaluating Usability and User Experience of Food Diaries**

Food diaries are difficult to evaluate in lab settings due to the personal, situated nature of food consumption. As discussed above and in [Baranowski and Domel, 1994], three key steps in the process of self-monitoring of dietary intake are those of attention, perception and organization. The user must attend to the food intake, perceive the food, and observe the relevant features of the food. The steps of perceiving and organizing the food include recognizing what food it is, what extras may be included with it, and how much food is presented or consumed. In the real world, users are usually involved in the selection or preparation and consumption of the food, so have more context to be able to correctly identify and account for it.

The goal of usability studies are to collect comparable data of users accomplishing well-defined tasks. This requires consistent, comparable, well-defined tasks for users to perform.

Task presentation can be a textual list of well specified foods, with the food and serving sizes either exactly represented in the database or not. Exact specifications help to test a best-case scenario of finding and entering a food into the diary, while non-exact specifications reflect a more natural approach. However, this approach does not address the process of a user identifying the term to use to search the food database. An alternate approach to task presentation is to use photographs of food or real food. These presentation approaches are more like a real-world food specification task in that users need to generate the word to use to search the food database. However, food photographs have the downside of being challenging to identify serving sizes or added condiments. Overall, task presentation impacts which aspects of the food diary entry process a researcher can draw conclusions about.

Task content is another area of concern in usability studies. Familiarity of foods vary from person to person. For some aspects of usability, food familiarity is not an issue. A key challenge to finding a food in a food database is the nutritional literacy problem.

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One approach is to choose a few foods, specify exact amounts and look at how

**In this section, talk about different ways to present foods input to a food diary, and the concerns about different approaches. To show real food? Do a 24-hr recall? etc. In Cont2, I'll refer back to this.** One area of focus was the food tasks. Since we want to apply the findings of this study to the real world, one approach is to use a food diary or recall of that participant. This gives the benefit of a person being familiar with the food, and it would allow us as researchers to identify how the interfaces do or do not support the real world food. Familiarity is important, particularly with the index-based approach, because if people do not know what is in a food they are more likely to get it wrong. However, people have different foods they are familiar with, particularly in terms of content. Familiarity can depend on age, religion, geography, vegetarian or not, even gender. However, if each participant used different foods, we would not be able to compare timing and correctness measures for the different interfaces. Also, some participants might eat more "single component" foods while other participants eat more combination or restaurant foods, which are more challenging to score. Therefore, we decided it was best for all participants to use the same food tasks.

Since familiarity is so important, we carefully considered how to choose the food for the tasks. One considered approach was to refer to a published gold standard. [blah blah, not published, not an issue in nutrition research, etc. ] We considered using published diet plans, such as those advocated by the American Heart Association for healthy diets. The drawback to those is that they are recommended, and may not accurately reflect the actual diet of the participants. Additionally, since the recommended menus are based on the official Food Pyramid, and one chosen food index is also based on the Food Pyramid, this could influence the correctness scores. After consideration, we decided to choose the food tasks based on the food diaries collected in the BALANCE focus groups. Since the study populations are drawn from the same underlying populations, the issue of familiarity is addressed. More details are explained later.

Another important aspect is how the food tasks are presented to participants. In the real world, one of the important challenges to "perfect" food journaling is the process of seeing/eating food, identifying what it is, sometimes identifying methods of preparation

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(fried versus broiled), identifying un-seen characteristics (low-fat versus full-fat milk, with butter), and identifying portion or serving sizes in order to correctly enter the food (or choose from a list in a db). Sometimes this process is easy, as with packaged foods or when preparing your own food; other times such as at a restaurant it is more challenging. Literacy is also an issue: with a traditional journaling approach, it is one thing to be able to identify one cup of spaghetti, but if you are not able to spell it properly, you might not be able to find it in a database. Due to this process, we considered that using real plates of food would be more life-like, and that using photographs of food were close to real. However, with the use of photographs, care must be taken to obtain them properly. Scale and lighting are two important considerations. And, with research, we found that there exist food photograph booklets specially prepared for the purpose of studying people's estimation of portion sizes. However, these booklets had the familiarity concerns outlined above. We decided that portion size estimation and literacy were not primary concerns for this study, so chose to present food tasks as a list of foods on a card.

As addressed in Klasnja et al. [2011], the evaluation of technology tools to support behavior change is difficult. This theme arose throughout the course of this dissertation work: what can we evaluate, how do we measure it, and what do we learn? In addition to evaluating this work individually, we wanted to be able to compare the results of our evaluation to previous work. This was more difficult than anticipated. Existing work evaluating self-monitoring of dietary intake is not consistent, and does not report results that can be used to compare one self-monitoring approach to another.

Evaluation of technologies need to include both usability and utility: Usability reflects how usable the system is, while utility investigates the system's usefulness in a larger context.

Given this challenge, this section focuses on the evaluation of self-monitoring of dietary intake tools. Much of literature in the nutrition community focuses on whether a tool in general improves the self-monitoring process. In the technology literature, evaluations focus more on documenting the design process and whether the technology works in regards to the intended impact.

### **3.4 Summary**

In this section, I reviewed relevant background information for this dissertation, including behavior change theories, traditional approaches specifically for supporting nutrition behavior change, and the important role of self monitoring. I provided some overviews of how self-monitoring has been studied both from a technology development perspective, and how nutritionists evaluate self-monitoring of dietary intake from a utility perspective. I also provided a short overview of projects related to those discussed in this dissertation.

### *3.4. SUMMARY*

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# 4

## BALANCE

In this chapter, I describe my contributions to the BALANCE project.

### 4.1 Introduction

The bioengineering approaches for lifestyle activity and nutrition continuous engagement (BALANCE) project was a multi-year, interdisciplinary project with the goal of designing, building, and evaluating a mobile-phone based application to help people overcome these challenges of tracking their overall balance of energy intake and expenditure. It combines a wearable device that calculates caloric expenditure with a mobile phone that includes a food diary to track caloric intake. The software also includes a visualization that reports the real-time energy balance for the day. The wearable device is built using the multi-sensor board (MSB) [Lester et al., 2009]. The MSB contains an accelerometer, now standard in mobile phones, as well as other sensors that aide in physical activity detection.

## **4.1. INTRODUCTION**

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The software consists of three main components: a “fuel gauge” visualization that reflects the current caloric balance; a food diary; and a physical activity diary. The food diary requires users to enter the details of what they eat, while the physical activity diary accepts input from the external MSB device that calculates most calories burned from physical activity (the exception being water or high-impact sports, which need to be manually entered).

### **4.1.1 Project Overview**

Many people were involved in this multi-phase project. The project included brainstorming designs for and evaluation of the fuel-gauge visualization; running a paper prototype evaluation of the software based on specified need, goals and reviews of similar tools; building an initial prototype on a feature phone platform; validating the algorithms on the MSB for calculating calorie expenditure; and executing an iterative design and implementation process of the application on a smartphone. Finally, we combined the smartphone software and MSB validated the system overall. My primary contribution to this project was the design and implementation of the smartphone software. The rest of this chapter describes the process and final product in further detail.

Multiple documents describe contributions to the BALANCE project. Tamara Denning contributed to the initial design and paper prototype evaluations [Denning et al., 2009]. Deonna Hughes designed, conducted, and analyzed the focus groups and prototype iterations [Hughes et al., 2009]. She looked at two metrics for improvement from iteration to iteration. The first was the result of standardized usability questionnaires; the other was the time between when participants ate a food and when they entered it in the diary. Neither metric showed significant improvement in the prototype over 5 iterations. I discuss this in further detail later in this chapter. Jonathan Lester designed and evaluated the calorie expenditure algorithms [Lester et al., 2009], employing a gold-standard oxygen-consumption evaluation methodology. Algorithms using the MSB sensor data were 89.54% (+/- 7.25%) accurate in the lab and 79.8% (+/- 9.48%) in the field. Heather Snively designed and conducted the overall system validation [Snively, 2010]. Participants used the entire system

for 4 days, tracking their food intake on days 1, 3, and 4. On day 2 participants provided a 24-hr recall of what they ate on day 1, which was compared to entries in the food diary on the first day. The average percent calorie difference between the mobile phone food diary and the 24-hr recall was 9 +/- 18%.

## 4.2 Fuel-Gauge Visualization

The goal of the BALANCE project was to combine a food diary to collect information about energy intake, a physical activity component (diary + MSB) to collect energy expenditure information, and the fuel gauge visualization to show the current energy balance throughout the day. The heart of the system was a unifying user interface on a mobile phone.

The first investigation focused on the fuel-gauge visualization. The visualization needed to provide the current overall energy balance, but it was unclear what other information would be necessary or relevant. Additionally, the visualization needed to be understandable at a glance, provide more meaning upon reflection, and work within the constraints of the target device in terms of size and resolution. One concern was the level of abstraction: whether the visualization depicts a concrete, physical world phenomena or a more mathematically-oriented graph view. In this section, I describe a lab-based paper prototype user study to collect feedback on a wide range of potential visualizations. Results informed the design decisions for the BALANCE prototypes.

### 4.2.1 Participants

12 participants (10 female and 2 male) were recruited from a university community. Ages ranged from 18-50, with a majority (8) in the 30-40 year age range.

### 4.2.2 Procedure

After providing written informed consent, participants completed a survey collecting basic demographic information. Participants rated the desirability of given features for food and exercise applications on a mobile phone, then discussed what they had eaten that day (or

## 4.2. FUEL-GAUGE VISUALIZATION

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the previous day, depending on time of interview), including context (what they ate, how much, where, when, and how much that meal varies for them).

Next, participants were shown the different visualizations. Each visualization depicted a different situation: early in the day, before any food is entered or calories expended; the end of the day, when more than the day's goal had been consumed and expended; and two points in between. Figure 4.1 shows one of the visualization series.

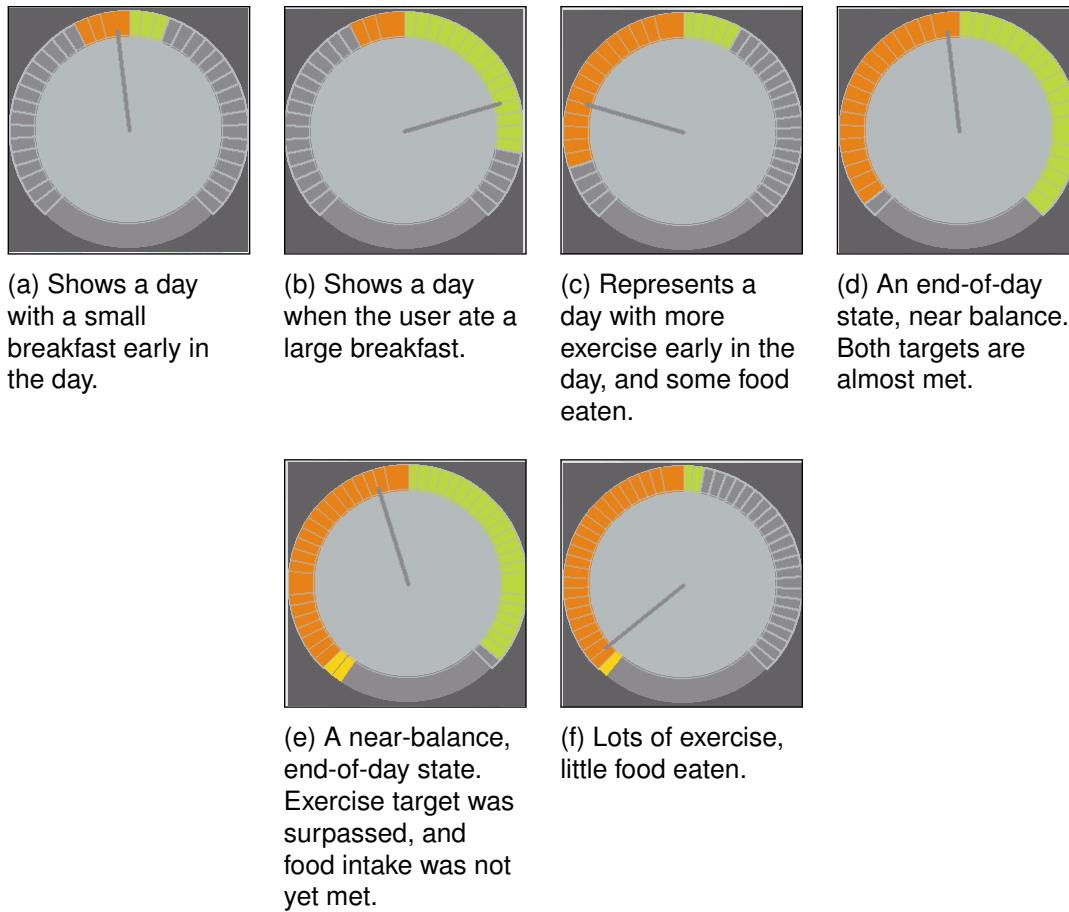


Figure 4.1: Visualizations with various energy intake and expenditure values.

There were two categories: graphs (two total) and visualizations (five total). Participants were asked to rank their preferred visualizations within each category and offer

comments.

### 4.2.3 Instruments

The team brainstormed seven candidate visualizations. The features of different visualization concepts included abstract versus concrete metaphors; a single balance indicator (energy balance is more intake or more expenditure); the amount of both energy intake and expenditure as well as overview; how each amount and the balance changes over time; and whether to include an affective component. Previous work investigates the value of having abstract versus concrete representations [Kerren et al., 2007], so we aimed for a wide range at this point in the design process.

We adopted an overall simplifying construct for calorie counting called “CHIPs”: counting hundreds impact point ([Beresford et al., 2007]). A CHIP is simply a unit of 100 calories. Experts involved in the project noted previous success with this simplification. In their previous experience, participants in a workplace wellness program found the CHIP simplification easier to count and keep track of larger increments (smaller numbers). In the BALANCE software, all calorie counts are reported in CHIPs, both for intake and expenditure.

The main goal of the visualization is to depict the current energy balance of intake and expenditure. Other pieces of information that could be helpful included:

1. Goal calorie expenditure and intake for a current day;
2. Progress toward that goal or target over the course of the day; and
3. Whether or how much the user was over the intake or expenditure goal for the day.

Mobile phone displays vary greatly in their capabilities, specifically in size and resolution. We used Python for S60 to prototype the visualizations on the target mobile phone, saved them as screenshots, then printed them on paper. This allowed us to present a psuedo-realistic rendering of the visualizations to users. The study artifacts needed to reflect the detail of the visualization within the constraints of the display, as well as the early design stage. Presenting the visualizations on the actual mobile device could raise

## 4.2. FUEL-GAUGE VISUALIZATION

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user expectations of the visualization, while paper prototypes and screenshots help to restrain user expectations appropriately. The visualizations were deliberately “rough” and unrefined, to target the level of refinement ideal for a paper prototype.

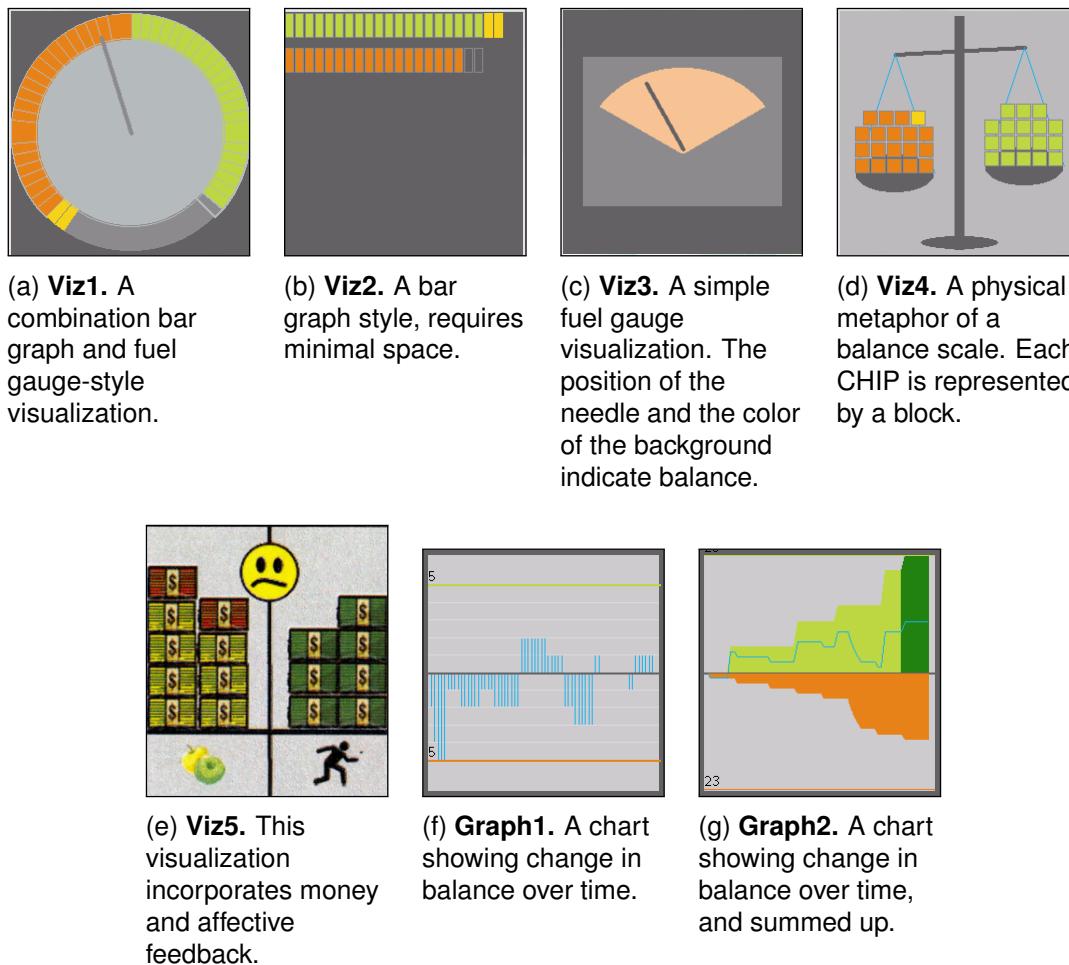


Figure 4.2: Paper prototype visualizations

### 4.2.4 Results and Discussion

We rated visualizations based on the number of No. 1 rankings it received.

In the graph section, we saw that participants strongly preferred Graph2, giving it 10 No. 1 rankings. However, feedback overall was that the graphs were challenging to un-

derstand at a glance, and needed more explanation.

In the visualization section, five participants ranked Viz1 No. 1, making it clearly preferred. Participants liked the “meter” and the comparison it provided (P2). It was also remarked to be “clear, straightforward”, that it “seems useful” and “shows a good balance [of detail]” (P5). Viz3 received all No. 4 and No. 5 rankings, because it “does not show progress and amounts” (P12), and is “more confusing” (P7) . Viz5 received a wider range of rankings, the feedback on it was strong (it is “a little too judgmental”, and “not neutral enough” [P3]; P5: “means nothing with respect to food, smileys are annoying”; P2: “faces are more discouraging than encouraging-critique”).

Feedback from users indicated that Viz1 is the preferred visualization. Participants liked that it showed the current energy balance and progress toward daily goals or targets, for both energy intake and expenditure. Feedback indicates that the most simple feedback shown (direction and magnitude of energy imbalance, Viz3) does not show enough information and is unsatisfying. Generally, participants found the time-based visualizations too complicated to quickly understand. They also did not believe that the depiction of energy balance over time was useful information.

Feedback also indicated that participants felt the physical metaphors, such as the scales depicted in Viz4 and Viz5, were too symbolically rich. The imagery was too evocative for simple feedback. The scale metaphor reminded users that if their energy balance was off, their own scale would be tipping.

Participants did not like the use of money to represent caloric intake and expenditure. While the money “earned versus spent” metaphor could be powerful to communicate the “calories in equals calories out” concept, people reported that both money and weight were too emotionally charged and they did not want to put the two together. The smiley faces in Viz5, while encouraging when the user is meeting their target, can be discouraging when the user is struggling. Indeed, this is consistent with Consolvo et al. [2008], which explores communicating positive and negative affect in feedback mechanisms.

#### 4.3. PROTOTYPE, V1 (FEATURE PHONE & USDA FOOD DATABASE)

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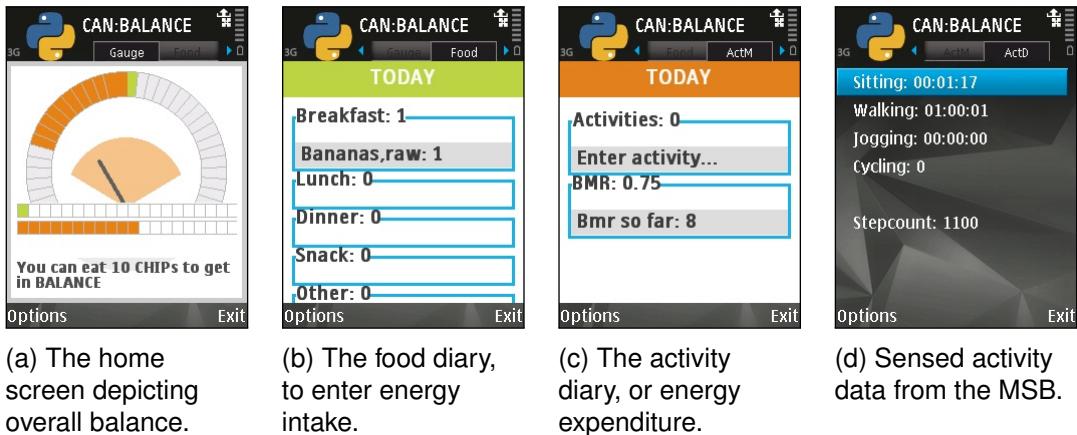


Figure 4.3: Nokia prototype: Main screens

### 4.3 Prototype, V1 (Feature Phone & USDA Food Database)

The next phase of the BALANCE project was the design and development of the first mobile phone prototype. Three things informed the design of this prototype: a review of existing related products (to identify key desired features); a paper prototype process; and the visualization feedback process described above.

This prototype was built on the Symbian S60 feature phone platform (a top-of-the-line mobile phone platform at the time). Characteristics of mobile phones running the Symbian S60 platform included a relatively powerful processor and ample storage, the ability to develop and run custom software, and high quality cameras. However, they had small displays and 12-key keypads.

This prototype used the common, freely available USDA Standard Reference food database (SR19) [United States Department of Agriculture]. We added an index based on the FOOD\_DES.FoodName column.

#### 4.3.1 Description

The BALANCE software consisted of four main screens (see Figure 4.3): The overall balance visualization (Figure 4.3a), the food diary that shows which foods have been entered

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#### 4.3. PROTOTYPE, V1 (FEATURE PHONE & USDA FOOD DATABASE)

today (4.3b), and two activity screens. The first activity screen (4.3c) shows both intentional activity not detected by the MSB (e.g., water sports such as swimming) and energy expenditure due to base metabolic rate. Base metabolic rate (BMR) is the number of calories a body uses for basic metabolic processes such as breathing. The second activity screen (Figure 4.3d) shows activity detected by the MSB unit and its contribution to overall energy expenditure.

In Figure 4.4, the screenshots depict the process of adding *Banana* to today's food diary. The user first selects "Add Food" from the menu. He then types in the term using T9 or multitap. On submit, a list of foods that the user has entered before is shown. The user selects *Banana, Raw*, and is shown a screen to modify the amount, time, and meal eaten. The USDA database provides default serving sizes in grams. Some entries have alternate serving sizes available. For *Banana, Raw*, the serving sizes include "cup, sliced" and "small (6" to 6 7/8" long). Finally, the food entry is created. When the food entry is created, the food name appears on the food diary screen and the main visualization is updated.

One primary goal of this project was for users to be able to quickly and accurately enter exactly how many calories they had consumed. This required finding the most appropriate, exact food and entering it in the exact amount, right after eating. The process of finding food needed to be fast to minimize interruption to the user. Queries to the entire food database took a long time to execute (this is described in further detail later), so to improve performance we separated the database into two separate databases: the entire USDA database and foods the user has entered before. The second database is called the *personal database*. We justify the use of the personal database because many people have a relatively small number of foods they eat on a regular basis ([Jastran et al., 2009]). The smaller personal database can be populated over time. The personal database reduces the amount of time it takes to find a food because it contains fewer foods than the USDA database. This results in a lower query response time. It is also more likely to return the desired food, because it is populated with foods the user has eaten before.

When the user submits a search, the app first checks the personal database. This first check is fast because the personal database is small. If there are no results, the user is asked whether they want to "enter later", "enter manually", or "look up in USDA db".

#### 4.3. PROTOTYPE, V1 (FEATURE PHONE & USDA FOOD DATABASE)

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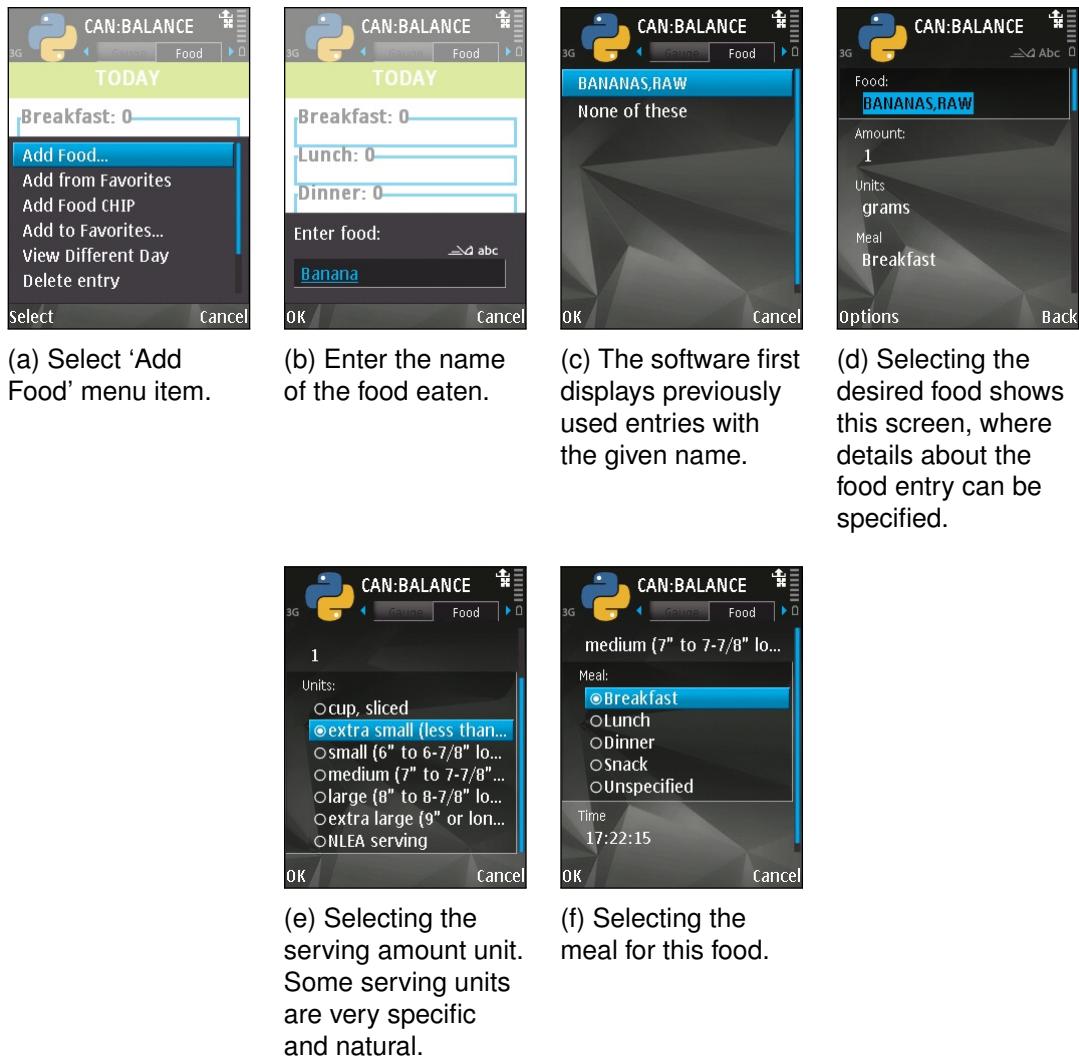


Figure 4.4: Nokia prototype: Add known food

#### 4.3. PROTOTYPE, V1 (FEATURE PHONE & USDA FOOD DATABASE)

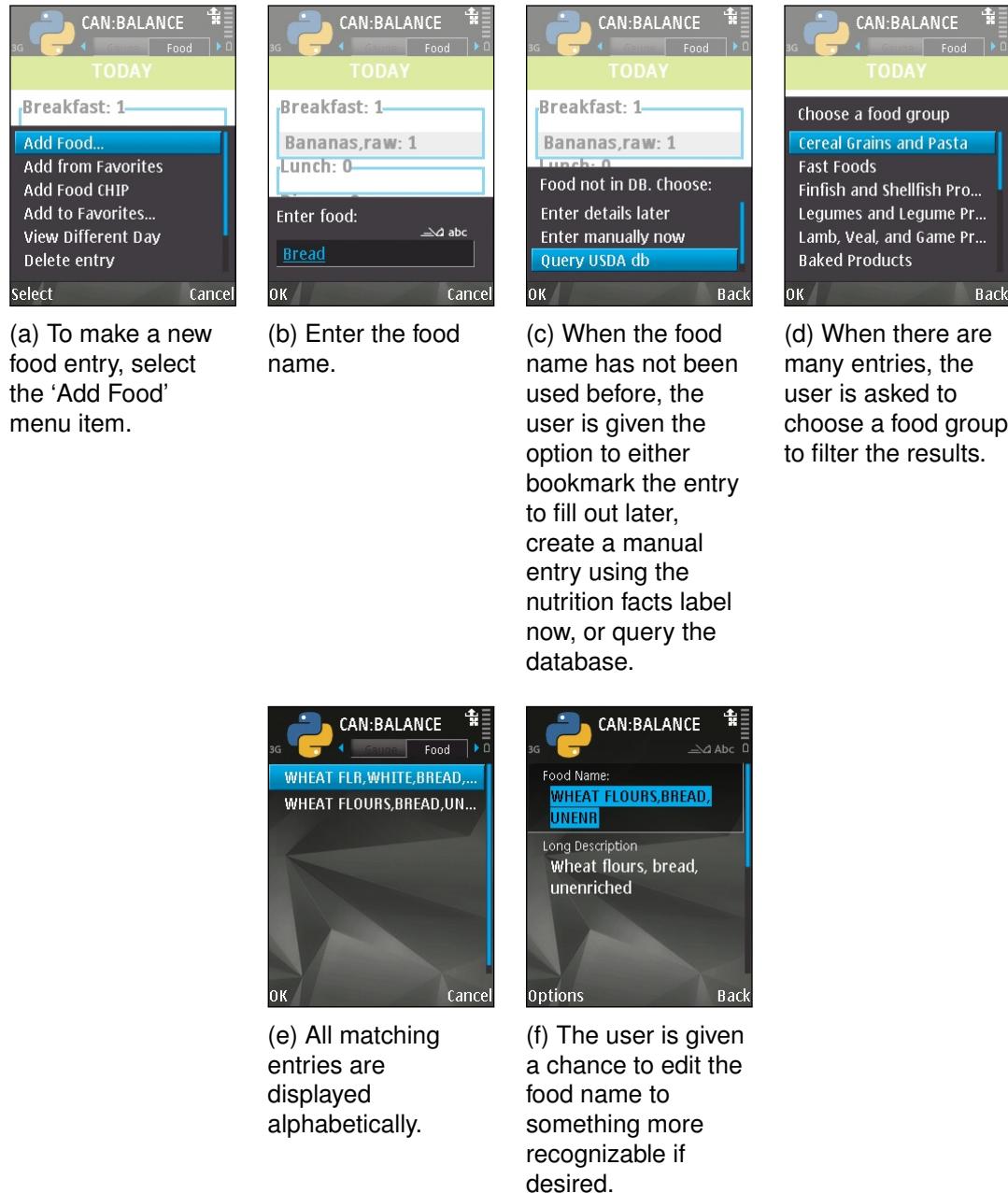


Figure 4.5: Nokia prototype: Adding newly encountered (previously unused) food

### **4.3. PROTOTYPE, V1 (FEATURE PHONE & USDA FOOD DATABASE)**

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The “enter later” option is essentially a bookmark. This provides a quick alternative to finding the food in the USDA database, which is time-consuming. The “enter manually” option provides a form for the user to make an entry based on the Nutrition Information panel required to be printed on all packaged foods.

Figure 4.5 shows the process of entering a food (*bread*) that has not been entered in the food diary before; therefore, it does not exist in the personal database. When the user query *bread* is not found in the database, the user is given the option to look it up in the USDA database (Figure 4.4c). If this option is selected and the query returns with foods that belong in more than one food class, the food classes are listed first. Choosing a food class filters the food results list. In this example, the search for *bread* resulted in foods in 14 food classes, which is displayed in three pages of choices (Figure 4.4d). Choosing *Cereal Grains and Pasta* displays the relevant food results (Figure 4.4e). The users chooses the item they ate and can modify the name or details. The new food is added to the personal database so that the next time the user enters that food, it can be found faster. Finally, the user specifies the details needed to add the item to the food diary.

#### **4.3.2 Database Optimization and Querying**

One challenge of working with databases is identifying queries the user will make, or want to make, and the expected results. Then, we generate a database query to provide the results. Generating a query based on user input has performance implications, particularly when working with text. Queries on a string column are slower than other data types. Searching for strings with wildcards further degrades the response time.

In the BALANCE software, a user provides a basic query and the software generates a query based on that user input. Each word the user provides becomes a predicate in the query. This approach results in queries that are known to have poor performance, but this is necessary to account for the ordering of relevant terms in the database. Table 4.1 gives some examples of food terms that a user might enter and some example results. As we see in the example of *cream cheese*, if the query had been restricted to “LIKE \**cream cheese*\*”, “cheese, cream” would not be returned as a result. There is no simple, systematic way

to consistently reorder queries the user provides to match the inconsistent entries in the database.

User Search Request	Query WHERE clause	Example results
<i>cheese</i>	LIKE *cheese*	Cheese, Cheddar Cheese, Cream Bagel with Cream Cheese Pizza, Cheese and Pepperoni
<i>cream cheese</i>	LIKE *cream* AND LIKE *cheese*	Cheese, Cream Bagel with Cream Cheese

Table 4.1: Examples of a user’s initial query, how it gets converted into a database query, and some example results. Note that some queries can generate many different, potentially unexpected results. The generated query and subsequent results do not preserve the user’s original word ordering.

### 4.3.3 Observations

Evaluating the first prototype of the BALANCE software revealed many unanticipated difficulties. In this section, I describe the observed problems. They generally relate to the process of finding and choosing a food for the food diary. A summary list is shown below, and I further describe each difficulty:

1. Text entry was difficult.
2. Querying the database was slow.
3. Finding and choosing a specific food.
  - Using food class to filter search results.
  - Long names that did not fit on the screen.
  - No entry for commonly prepared foods.
  - For some foods, many variations to choose from.

#### 4.3.3.1 Text entry was too hard

The 12-key keypad required users to use multi-tap or T9 to enter the names of food they have eaten. This is very difficult to do for foods with long names. When users wanted to

#### **4.3. PROTOTYPE, V1 (FEATURE PHONE & USDA FOOD DATABASE)**

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enter a food, they needed to decide whether to type in a long, detailed food name, or a short, broad food name. The long and detailed name will likely return fewer food results, but there is a greater chance that the target food is missed. Using a short food name for a query could result in many responses to navigate.

**Recommendation:** To appeal to less tech-savvy consumers, provide a more comfortable means of text input.

##### **4.3.3.2 Too long to search**

As discussed above, user input is converted into a query that is known to have poor performance. This is partly due to a mismatch between what the user wants to search for (*cream cheese*) and how it may be stored in the database (“cheese, cream” or “bagel, with cream cheese”). It is important that the software returns all of the results.

The long search time is primarily due to the limited resources on the mobile phone. The database available on the mobile platform has reduced capabilities as compared to those available on a desktop or in a high performance computing environment. The limited processing power of the device magnifies the limitations of the database.

**Recommendation:** Faster hardware, improve the filtering process.

##### **4.3.3.3 It was difficult to find and choose a food**

After entering a food query and waiting for the search to return, a user needs to choose the desired food from all of the results. Using food class to filter search results. As noted earlier, if the results are from just one food class, the entire list of results is immediately shown. If there are results in multiple food classes, the list of food classes is shown to allow the user to choose the most appropriate. To find the target food, the user must choose from a potentially long list of food classes (the results for the query *bread* include 16 food classes). Some of the food classes are difficult to distinguish. For example, the food classes for *Bread* includes both “Cereal Grains and Pasta” and “Baked Goods”. This requires the user to make a decision, and users unfamiliar with the food classes are not sure which to choose.

**Recommendation:** Do not force the user to think about arbitrary food classes. Provide



Figure 4.6: Nokia prototype: ‘Steak’ results

guidance about what each food class represents. Provide a preview or a few items of that food class to demonstrate what kinds of foods it contains.

#### 4.3.3.4 Long names do not fit on the screen.

The USDA database contains food descriptions that are long and descriptive. For example, a typical entry for the query “Steak” is “Beef, short loin, porterhouse steak, separable lean and fat, trimmed to 1/4” fat, USDA choice, raw”. The alternative “short” description is a similar entry using defined abbreviations: “Beef, shrt loin,prtrhs steak,ln,1/4” fat,usda choic,raw”. Neither of these descriptions display well on the small screen size of the target device. If they are displayed one entry per line in a font that is comfortable to read, not enough words are shown to distinguish one entry from another. If the description is shown on multiple lines, only one or two entries can be shown at a time. Forcing the user to select one to see more detail and then returning to the list screen is time consuming and frustrating.

**Recommendation:** Use a larger display with higher resolution. Use a database that has more useful short descriptions. Structure the database to provide a short food name, a short description, and a detailed description.

#### 4.3. PROTOTYPE, V1 (FEATURE PHONE & USDA FOOD DATABASE)

##### **4.3.3.5 No entries for common prepared foods.**

The USDA database is that it did not include many prepared foods, such as those purchased at a grocery store or restaurant. The database contains food entries from only 60 manufacturers. This includes some of the major food manufacturers in the US (e.g. Burger King, Kellogg, Nestle, McDonalds). However, users expect that if they can purchase a food (e.g., it has a UPC code), then it will be in the database.

**Recommendation:** Choose a database that includes a more comprehensive list of prepared foods.

##### **4.3.3.6 For some foods, many items to choose from.**

For some foods, there are many entries that appear very similar. For example, a query for *cheese* in the “Dairy and Egg Products” Food Class has 44 entries. The cheese entries include: cheese, blue; cheese, brick; cheese, brie; cheese, camembert; cheese, cheddar; etc. Some results may be unfamiliar to a user, but the text of each result is noticeably different from the others. The results for *Porterhouse* (steak) are not so clearly different. The list below displays the full name of six results for *porterhouse*:

- Beef, short loin, porterhouse steak, separable lean and fat, trimmed to 0" fat, all grades, cooked, broiled
- Beef, short loin, porterhouse steak, separable lean and fat, trimmed to 0" fat, USDA choice, cooked, broiled
- Beef, short loin, porterhouse steak, separable lean and fat, trimmed to 0" fat, USDA select, cooked, broiled
- Beef, short loin, porterhouse steak, separable lean only, trimmed to 0" fat, all grades, cooked, broiled
- Beef, short loin, porterhouse steak, separable lean only, trimmed to 0" fat, USDA choice, cooked, broiled
- Beef, short loin, porterhouse steak, separable lean only, trimmed to 0" fat, USDA select, cooked, broiled

The six results vary on two factors: whether the item contains only the separable lean meat, or the meat and fat; and the grade rating of the meat, USDA choice, select, or any.

This amount of detail confuses users. They report uncertainty about which one most accurately reflects the food they ate, and question whether the difference between all these results is significant. Additionally, the amount of distinguishing detail for this entry makes users wonder if they should be capturing that amount of detail for all of the foods they enter. This compounds the previous problem of not being able to find prepared foods: given the level of detail required to document a piece of steak, how should the user document a piece of pizza, which vary wildly due to toppings and preparation?

**Recommendation:** Use a database that was designed for use by a consumer rather than an expert.

The overall process to add food to the food diary is too long, due to all of the factors described in detail above. For the BALANCE project, it was important that users are able to enter foods quickly, so that they are able to enter food throughout the day and ensure the fuel-gauge visualization is properly updated.

#### **4.3.4 Discussion**

The Nokia-USDA BALANCE prototype exposed some inherent challenges of designing and developing a food diary for a mobile phone. The challenges are due partly to the database, and partly from using a device with limited interaction modes to navigate a challenging data environment. Our target population is a general population, not necessarily very comfortable entering text via multitap or T9. This challenge of text entry magnifies the problem of querying the database and navigating the results.

One conclusion from this process was to use a different mobile phone. The original Symbian S60 device was much friendlier to use and more powerful than previous smartphones, but the target population had little smartphone experience. Text entry was one of the biggest barriers: the 12-key keypad with multitap or T9 input was not comfortable to most consumers.

The database negatively impacted the navigation and performance. Database entries

#### **4.4. FOOD DATABASES**

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were not well suited to the mobile phone display, and the query process on the device was too slow. It is also necessary to have more popularly available packaged or prepared foods, although some of the closely related foods could be collapsed. The importance of the database in self-monitoring or dietary assessment is outlined in Stumbo [2008]. Indeed, they state “Mastery of computerized dietary assessment requires an understanding of the database in terms of the naming conventions, the search strategy for finding foods, and data completeness for generic and brand-name foods.” This is consistent with our experiences.

## **4.4 Food Databases**

Food diaries have conflicting requirements for the food database. In particular for BALANCE, the database needs to be quick to search (small), yet have the foods that people eat and are looking for (large). It also needs to be able to distinguish between similar items, and use terminology that is familiar to the target user population. Grouping into friendly and familiar food groups or classes will help users to find food in the database by providing data to filter on or browse. Providing useful, appropriate serving sizes is another helpful feature. For example, the food entries for *Coca Cola* should have commonly available serving sizes to choose from, such as a 12-oz can, a 20-oz bottle, or a 16-oz cup, even though the USDA-defined ‘typical’ serving size for soda is 8 oz.

The characteristics of food databases can be better appreciated within the historical context. Generally, food databases are used by professionals who are experts in food service, preparation, and evaluation. Hospitals, nursing homes, and other medical facilities use food databases to ensure patients are fed properly, fulfilling individual requirements. They generate menus for days, weeks and months, using the database to ensure proper nutrition for all recipients over time. Nutritionists and registered dietitians use commercial food databases to work with clients who have certain dietary constraints— either to look up foods that an individual ate and evaluate their diet overall, or to generate a meal plan for an individual and provide detailed information about it. Organizations such as daycares, schools and prisons (particularly if they are government funded) use food databases

to ensure that they meet governmental guidelines. Food manufacturers use food databases to generate Nutrition Facts labels for their products.

For the tasks outlined above, the end user of the database is an expert who is familiar with food systems, organization, and terminology. The user has formal training and uses the database on a regular basis. However, increasingly, food databases have been made available to the general population, usually in the form of a consumer tool to support weight loss.

Table 4.2 provides some information on two databases: the USDA (SR21) database we used for the first prototype, and the NPKB that we used for the next version of the software. In addition to containing information for many more foods, it also provides more food serving sizes that could make it easier for users to specify how much they have eaten. It also contains foods from many more manufacturers, which can help address the expectation to find processed or prepared foods in a database. Finally, the NPKB database contains more foods groups, organized in a hierarchical fashion. The large number of food groups and hierarchy may help users find a food they are looking for.

	<b>USDA</b>	<b>Nutritionist Pro Knowledge Base</b>
<b>Version</b>	SR21 (Sept 2008)	V44 (Fall, 2009)
<b>File size<sup>a</sup></b>	16.5 Mb	135 Mb
<b>Number of foods</b>	7,412	39,194
<b>Number of food servings<sup>b</sup></b>	13,087 <sup>c</sup>	46,722 <sup>d</sup>
<b>Number of serving types</b>	1,700	5,087
<b>Number of manufacturers</b>	60	592
<b>Number of recipes</b>		783
<b>Food groups</b>	24	369 <sup>e</sup>
<b>Number of nutrients</b>	140	90

<sup>a</sup>Access database file size

<sup>b</sup>“serving types”

<sup>c</sup>Number of records in the ‘Weight’ table.

<sup>d</sup>Number of records in the ‘tblFoodServingTypes’ table

<sup>e</sup>Food Classes. Includes entire hierarchy.

Table 4.2: Comparing nutrition databases

## **4.5 Food Diary: Iterative Design And Evaluation**

In this section, I describe the design and features of the food diary portion of the overall BALANCE project. The challenges identified in the previous section informed the decision to move to a Windows Mobile platform and adopt a commercially available nutrition database to drive the food diary. The combination of the paper prototype user study and Symbian implementation informed the new design on the Windows Mobile platform. The final design was informed by an iterative, user-centered evaluation process.

Focus groups of 4-5 participants provided user feedback in the design and development process. We convened five focus groups over a seven month period. We provided participants a mobile phone running the food diary software. We asked to track what they eat for three days prior to the focus group. After the three days of tracking and before the focus group, participants completes two usability questionnaires (CSUQ and MPUQ, [Lewis, 1995; Ryu and Smith-jackson, 2006]). The focus group was moderated by a team member. Topics focused on what the participants liked, what worked and did not work, and features they would like to see added. Details are in Hughes [2010]. Feedback from the participants in the focus groups provided input to the design and development of the next software iteration. We used two metrics to evaluate the overall progress of the iterative process. First, we analyzed usability questionnaire responses over time. These did not show a significant improvement of the usability of the food diary software overall. The other measure of progress we considered was the time between when a participant ate a food and when they entered it in the food diary. We hypothesized that this time would decrease as the food diary improved. This also did not show a significant improvement of the food diary. Details of the analysis are provided in Hughes [2010]. I also discuss the use of these metrics later in this section and in Chapter 7.

### **4.5.1 Overall Design**

The final version of the BALANCE software ran on a Windows Mobile 6.1 Professional device. We chose this device because it had a large display, a touch screen, and a slide-out QWERTY keyboard. Text input can be done either via the physical QWERTY keyboard or

## 4.5. FOOD DIARY: ITERATIVE DESIGN AND EVALUATION

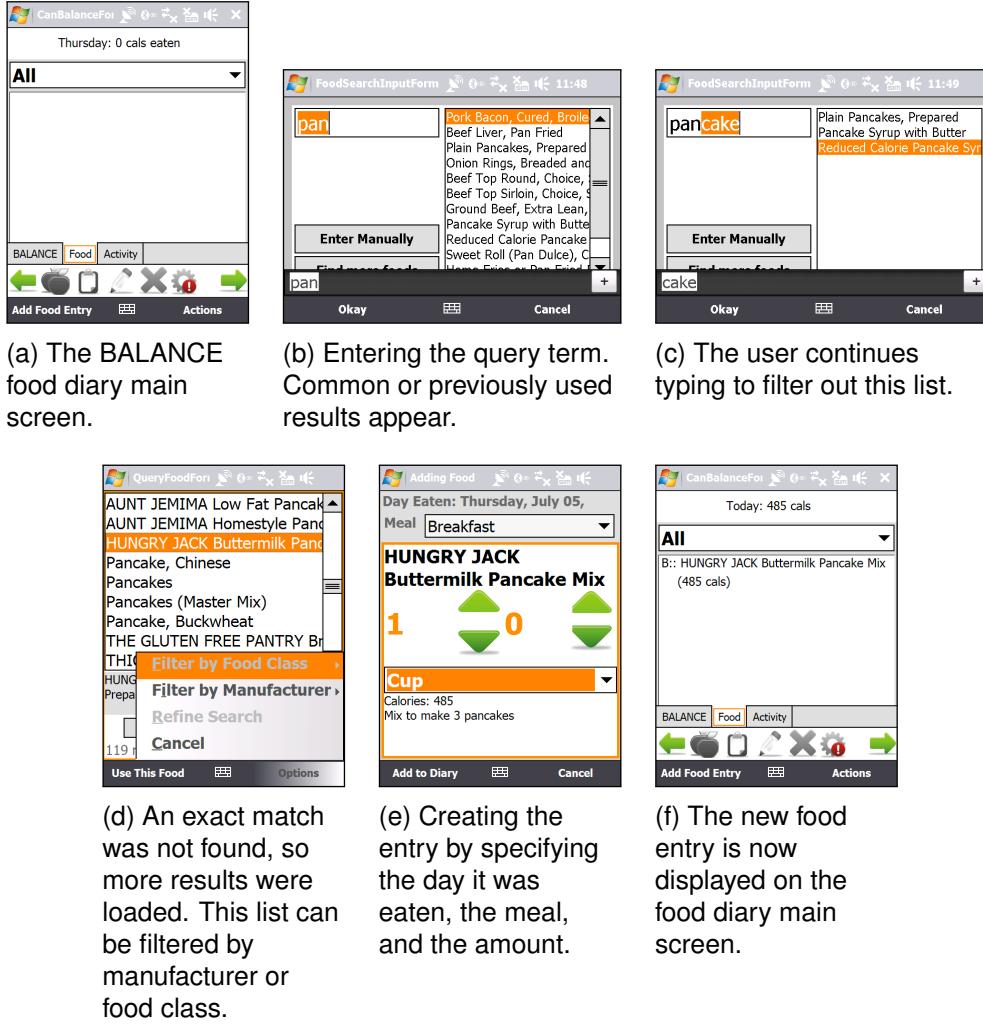


Figure 4.7: WinMobile food diary.

with the “soft input panel”, on-screen keyboard. We used the NutritionistPro Knowledge Base (NPKB) for the food diary.

### 4.5.1.1 Food Diary Software

The primary task for the food diary is to enable users to find a food that has been eaten from a database, specify how much of it they ate, and save a record of it.

Figure 4.7 shows the process of adding a food to the diary. The application starts with a list of food that has been eaten today (4.7a). The “Add Food Entry” button displays

## **4.5. FOOD DIARY: ITERATIVE DESIGN AND EVALUATION**

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a screen that allows the user to start typing an entry (4.7b). As the user types, a list of common or recently eaten foods populates the display (4.7c). If the user sees what they want, they can either select it, choose to “Enter Manually” (create a new entry based on the Nutrition Information label on the package), or “Find more”, which searches the entire food database (4.7d). This list can be filtered by manufacturer and food class (4.7d). Once a food is selected, the user specifies what time they ate the food, which meal it should be counted with, and how much of it they ate (4.7e). The entry is then saved and shown on the daily food list (4.7f).

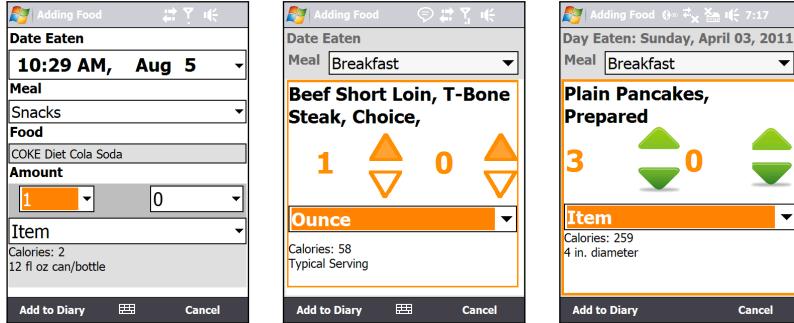
### **4.5.2 Selected Features and Feedback**

Throughout the iterative design process, we aimed to improve the existing functionality and add features as necessary. In this section, I detail some of the features that evolved based on user feedback.

#### **4.5.2.1 Touch-friendly screen design**

We chose the final device for its touch-sensitive screen. We believed the touch interface could help reduce the time required to create a food entry, whether the touch was provided by a fingernail or a stylus. Initially, the food diary design supported touch interaction by using traditional WinMobile interface widgets scaled to respond to fingernail touches. This required the widgets to be larger than widgets sized to support stylus interaction (see 4.8). However, early in the feedback process, users reported that they did not like the stylus. This indicated that they were using it, even though the design did not intend to require it. Although the traditional widgets were scaled to respond to fingernail touches, the appearance invited the use of a stylus for interaction. Additionally, users provided valid complaints against the use of a stylus: it was too small to hold comfortably; users were afraid of losing it; and it was time consuming to take it out and put it back.

In response to user feedback about the use of the stylus, we redesigned the interface to use custom widgets that invited fingernail touches (see 4.8b and 4.8c). Users remarked on the “friendliness” and “cheeriness” of the new widgets.



(a) The first design. The design and layout is typical of a Windows Mobile or PDA software that depends on the use of a stylus.

(b) A revision, with large, finger touch friendly buttons to modify the serving amount.

(c) The final version.

Figure 4.8: Evolution of serving size specification to make it more touch-friendly.

#### 4.5.2.2 Create new food database entry

The NPKB contained many foods, but users still reported encountering foods that were not in the database. This resulted in the ability to add a new food to the database, using information from the Nutrition Facts label that all packaged foods are required to have. After the entry is created, it shows up in the quick-search results with other common and recently used foods.

#### 4.5.2.3 Favorite foods and meals

Focus group participants repeatedly asked for shortcuts and customization features. In addition to the ability to add a new food to the database described above, participants wanted the ability to bookmark favorite foods and meals. The favorite meals feature is shown in Figure 4.10. Figure 4.10a shows the list of all meals that have been marked as a favorite. When the user wants to enter the favorite meal, s/he selects it from the list. Figure 4.10b shows all of the items in the meal, as well as the amount. The time defaults to the current time (rounded to the nearest 15 minutes). The saved favorite meal includes the meal specification (breakfast, dinner, etc.), which populates the meal widget. The details

## **4.5. FOOD DIARY: ITERATIVE DESIGN AND EVALUATION**

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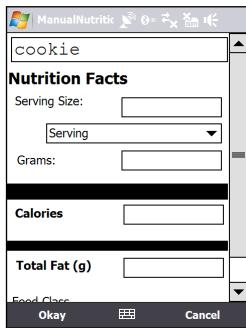


Figure 4.9: Create manual entry for the food diary when the database does not have the desired food.

of the meal can be modified to reflect the current meal (4.10c). Finally, when the user confirms, all of the items in the meal are added to the food diary (4.10d).

### **4.5.3 Database Query Details**

Adopting the commercially available NPKB resulted in a much larger number of foods available to the user. While the USDA database had the user challenge of making it difficult to distinguish between many similar unprocessed foods and relatively few processed or manufactured foods, the NPKB had many more processed and manufactured foods to choose from, which users sometimes found overwhelming.

In this section, I describe some of the ways we tried to make the query process more user friendly. Rather than describe all the possible improvements we considered, I focus on the final implementation.

One weakness of the database supported on the Windows Mobile platform (SQL Server CE) is that it does not support full text search. When full text search is available on a database, queries can be made that reflect linguistic characteristics of a given language (such as English). With a FTS capability, one can easily query not just for a word or a phrase, but a word or a phrase that starts with given text (prefix), inflectional forms of a word (e.g., foot or feet), a word or phrase close to another word or phrase, or a synonymous term. This is valuable in the case of food diaries, because from the user's perspective it is sometimes unclear whether the food diary will have "Potatoes, Mashed" or "Mashed

#### 4.5. FOOD DIARY: ITERATIVE DESIGN AND EVALUATION

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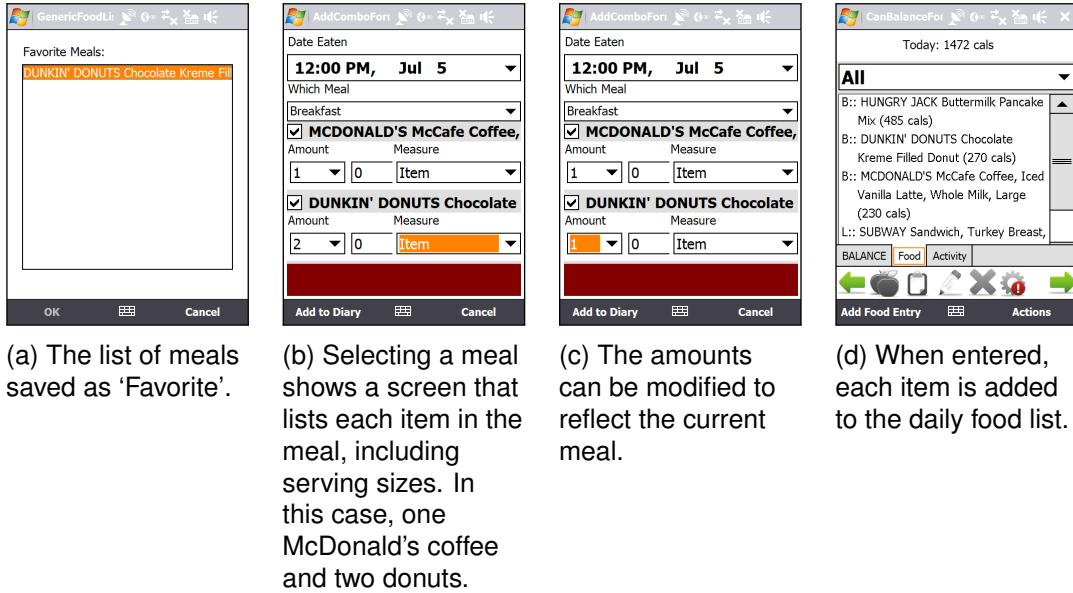


Figure 4.10: Using the favorite meals feature to add entries to the food diary.

Potatoes" as an entry. Additionally, consumers in general are familiar with search strategies that employed FTS, whether or not they know enough about it to specify it exactly. Users are able to articulate is that a particular search function "just knows what I'm looking for" and "does the right thing", linguistically-a search for "potatoes" also yields results with "potato".

Full text search also improves the query speed. The alternative to full text search is a LIKE query based on character patterns. A LIKE query against millions of rows of text data can take minutes to return, while a full text search query can take only seconds (or less) on the same data, depending on the number of rows that are returned. This is due to the pre-built index that full text search generates.

We attempted to overcome the lack of free-text search on the database by being smart about the queries we generated. However, the more complex a query became, the longer the time to respond, even if the results were "better". In general, our approach favored returning better results faster, rather than minimizing the size of the database. Storage was cheap: we could easily add a larger memory card to the device, but we could not improve the processor.

#### *4.5. FOOD DIARY: ITERATIVE DESIGN AND EVALUATION*

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To improve the query time and search process, we implemented a lightweight predictive search mechanism. As mentioned above, the system contained two food databases: the large, complete NPKB and a smaller, personalized personal database. The personal database was initially seeded with foods marked as “common” or “generic” in the NPKB. To improve the responsiveness, there was a table in the personal database that contained the first 3 letters of all words in the food name, description and manufacturer name associated with a food entry. When the user starts typing to search for a food name, after 3 characters are entered, a results box is generated based on an exact string match from that table. As the user continues typing, the list of results is filtered based on that text. If a desired food is not found, the user can “Find More”, which ends up performing the longer LIKE query on the NPKB. When a food is selected from the NPKB and entered into the food diary, it is also stored in the personal database. The prefix table is then updated. This adds time to the process, but this time is negligible to the time required for the NPKB query.

##### **4.5.4 Metrics**

In this section, I want to talk about the metrics we used to guide the development and evaluation of the software. Specifically, I want to review what we used, how they worked, why they failed, and propose alternate metrics to collect during the final evaluation.

We defined one measure of software success as the time between when a food was eaten and when it was entered into the diary. This measure did not show significant improvement, but it did indicate how the software is used. This was supported by feedback in the focus groups. Participants reported that they did not make food entries throughout the day, and were not likely to. Multiple reasons were given for this: sometimes it was just that the person was too busy and could not take the time, and sometimes it was just easier to do it all at once later in the day. Some participants noted that they took advantage of free time, such as waiting for the bus, to review and make food entries. This does not help us to identify if the reluctance to make food entries at the time of eating is due to an inherent tedium in the task, or if the software makes the process more or less challenging.

This study highlighted the importance of identifying appropriate metrics for evaluating food diaries on cell phones. Good metrics should reflect both usability, or how the software is being used, and domain—whether users are able to achieve the stated goal (in this case, entering the right number of calories by identifying all eaten foods).

## 4.6 Validating BALANCE

The final BALANCE validation combined all key components of the project: the MSB device for calorie expenditure calculation and the mobile phone with the visualization, food diary and activity diary software. Participants were asked to carry the mobile phone and MSB for 4 days. They were asked to enter all food and drink consumed (except water), and manually enter the activity that the MSB did not track. They were asked to record on days 1, 3 and 4, but on the second day they only performed a 24-hr recall of what they ate on the first day. They completed a post-use questionnaire intended to identify useful features, and completed an activity questionnaire. See Snively [2010] for more details.

### 4.6.1 Final BALANCE Software

Up until this point, the discussion has focused on the design and development of the food diary and visualization components of the BALANCE project, independently. As mentioned earlier, the entire project consists of the feedback visualization, food diary, activity diary, and activity sensing component (MSB) combined together. In this section, describe the activity portion of the system. The activity diary (shown in 4.11c) shows both activities entered by the user and activity information detected by the MSB. The user is responsible for entering activity not detected by the MSB, such as swimming or vigorous sports. The activity database is based on the Physical Activity Compendium [Ainsworth et al., 2000].

The pager-sized MSB is worn on the user's hip. It is connected to the phone via a wireless Bluetooth connection. The interface supports connecting to and communicating with the device, displaying information about the sensed activities. The software also monitors the connection and notifies the user if the connection breaks.

## 4.6. VALIDATING BALANCE

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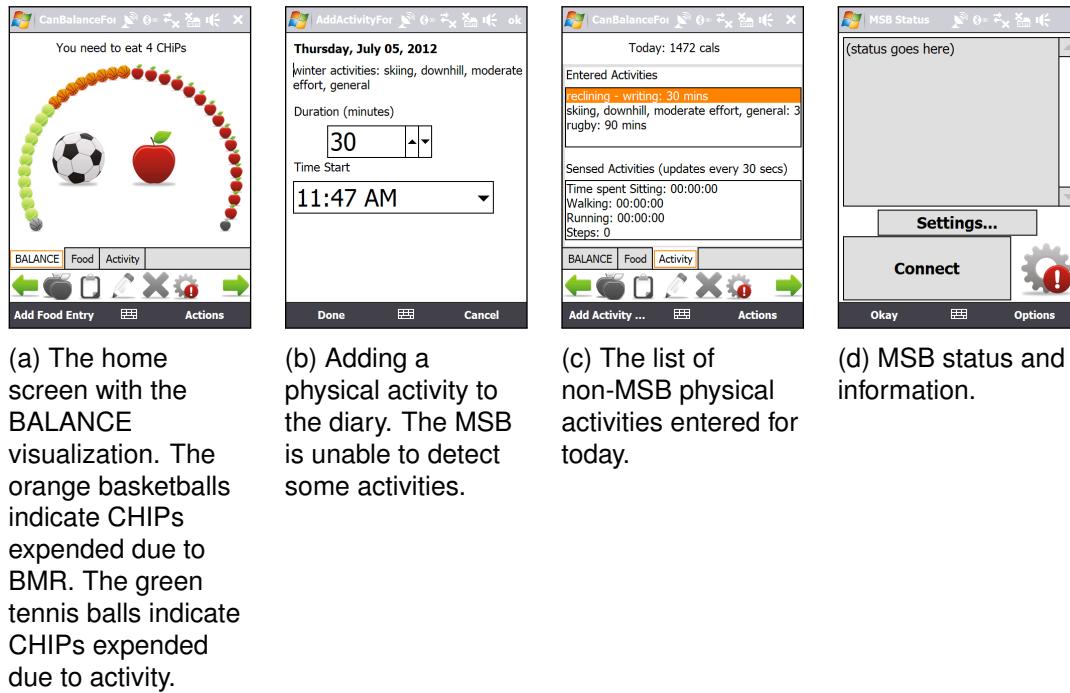


Figure 4.11: Final BALANCE non-food diary screens.

### 4.6.2 24-hr recall software.

A 24-hr recall is a process in which a trained researcher works with an individual to identify all the foods that the person ate in the past 24 hrs. The protocol is designed to elicit complete and correct dietary intake over the previous 24 hours. The BALANCE validation compared the results from a 24-hr recall to the entries in the mobile phone food diary. This process required a tool for the researcher to access the same food database using the same query process as the BALANCE food diary. We developed a desktop version of the food diary app to support this task.

The recall software is pictured in 4.12. The recall administrator searches for the food in the left pane. The ‘Quick Results’ at the top displays the same foods that the BALANCE mobile user would initially see when searching for a food. The bottom pane allows the administrator to probe about specific manufacturers. The middle pane provides details on a single food and allows amounts to be specified. The right pane shows the foods that have

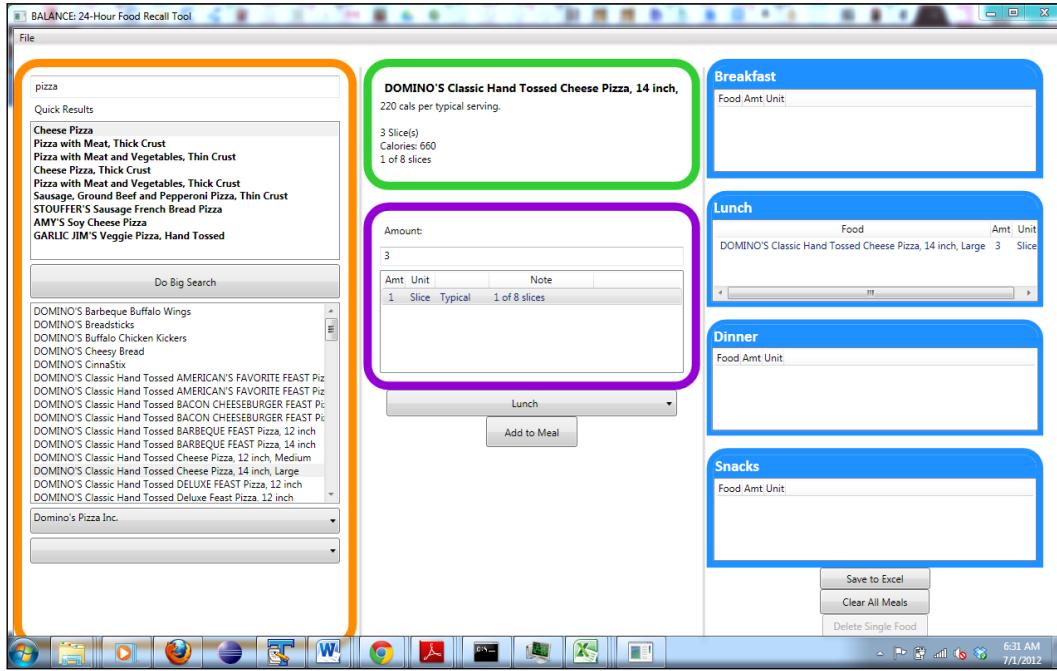


Figure 4.12: Software to support the 24-hr recall using the same database and queries as the mobile software.

been entered for each meal. The final recall data can be exported to Excel for analysis.

#### 4.6.3 Metrics

For the evaluation of the focus group-based iterative design process, we defined one measure of interest to be the time between when a food was eaten and when it was entered into the food diary. We found this to be problematic for two reasons: First, participants reported preferring to make entries at the end of the day, regardless of when they ate; second, it relied on participants correctly entering the time that a food was eaten as part of the food entry. As reported in Hughes [2010], not enough participants modified the time that a food was eaten to make this a useful measure. This led us to consider if other measures could better reflect use of the food diary. Therefore, a secondary goal of this validation phase was to collect data to generate multiple metrics.

The HCI and Persuasive Technology research communities recognize the potential value

## **4.6. VALIDATING BALANCE**

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of metrics that reflect more details about the use of food diaries. Researchers working in the space of health and wellness have long acknowledged that actual behavior change cannot be the primary measure of success for evaluating tools to help support behavior change [Klasnja et al., 2011]. Early prototypes and investigations into the space need other measures to indicate whether the tool is developing in the right direction or not. In this vein, researchers are increasingly focusing on *indicators of engagement*, which, loosely defined, are metrics that reflect how engaged an individual is with a particular tool. The reasoning is that the higher the level of engagement (and the more sustained the engagement), the greater the chance for behavior change. However, the usefulness of different indicators of engagement is unclear.

Additional details about the BALANCE validation study are reported in Snively ([Snively, 2010]). There, in regards to the food diary, she reports on the overall calories entered into the food diary, the calories reported on the food recall, and analyzes discrepancies. She reports feedback on the usability of the software, but does not report on metrics that indicate patterns of use of the BALANCE food diary.

In this section, I describe the data that was collected, the metrics that were generated, and report on how well they reflect how the software was used. I also address how these metrics could help interpret the final validation results, which identified a discrepancy between calories entered into the food diary and calories determined via the 24-hr recall.

### **4.6.3.1 Overview**

As described in Chapter 3, previous work reports summary measures of food diary use. These reported measures are usually means reflecting the entire study period. In the rest of this section, I provide more detail, but here I present a quick overview.

Table 4.3 reports the means for the four metrics. Days 1-4 reflect active participation in the study. I also include data about Day 5. We found relevant and potentially significant use of the food diary on Day 5, which is technically outside of the study period.

The means presented above provide a summary understanding of the use of the BALANCE food diary. To further characterize patterns of use over time, each study day is

	Days 1-4	Days 1-5
Number of times the software is started	2.7	2.3
Number of entries created	9.5	8.42
Number of foods eaten	9.67	8.2
Number of record edits	3.02	2.97

Table 4.3: Means per participant, per day.

broken into six 4-hr periods, summarized in Table 4.4. No distinction is made between weekends and weekdays. These time periods are used for the more detailed analysis in this section.

Time frame	Hours
Early Morning	12am-4am
Morning	4am-8am
Late Morning	8am-12pm
Afternoon	12pm-4pm
Evening	4pm-8pm
Night	8pm-12am

Table 4.4: Time frame definitions

#### 4.6.3.2 App starts over time

We start by looking at how often the BALANCE app is started over the course of the study period. Starting the app usually indicates that the user is thinking about the app. In the case of the BALANCE software, starting the app could reflect that the user just ate and is planning to make an entry; is planning to eat and looking up an CHIP values; is glancing at the visualization to assess progress in the middle of the day; or is planning to enter a forgotten entry from earlier in the day.

As discussed in Chapter 3, some researchers report the mean number of times the food diary software was started each day. We calculated this by counting the number of times the software was started over the four days of the study, then dividing by four (the number of days in the study). This results in a mean number of app starts per day in the study

#### **4.6. VALIDATING BALANCE**

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period of 2.70. However, we saw that many participants (18) started the software and made entries on the fifth day after the study started. The mean number of app starts over five days is 2.3.

Figure 4.13 shows the mean number of app starts for each day of the study, including Day 5. The standard deviation is shown as error bars. As expected, the amount of use declines over each day. The low mean on Day 2 reflects that participants were not required to enter their food intake that day.

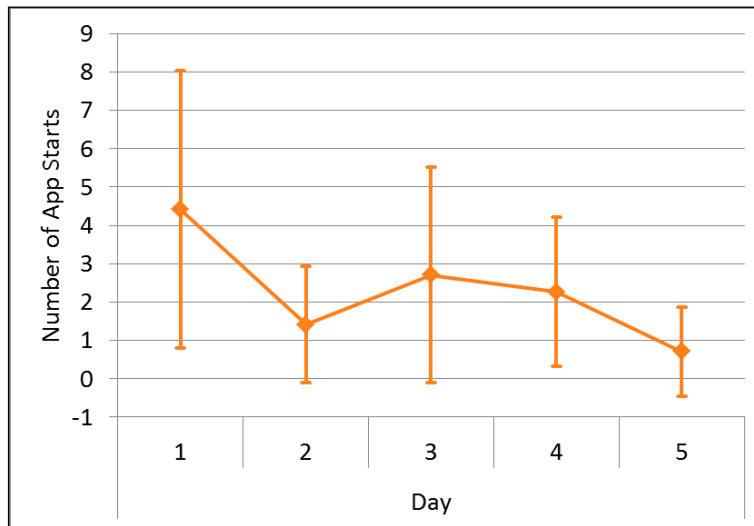


Figure 4.13: Mean app starts for each day

One concern we have about reporting the mean number of app starts is its summarizing nature. The mean and standard deviation reflect how much the software was used on each day. However, the BALANCE project is concerned with the distribution of app use throughout a day. The mean does not reflect the relationship between how many times the app was started and how many meals or eating episodes were captured. Participants could start the app repeatedly to enter a single meal, start the app one time for each meal, or start the app one time to enter multiple meals.

To address this, we calculated how many times the app was started in every 4-hr time period after the start of the study (midnight of Day 1), per participant. The data revealed that some people started the app in multiple time periods; some only started the app within

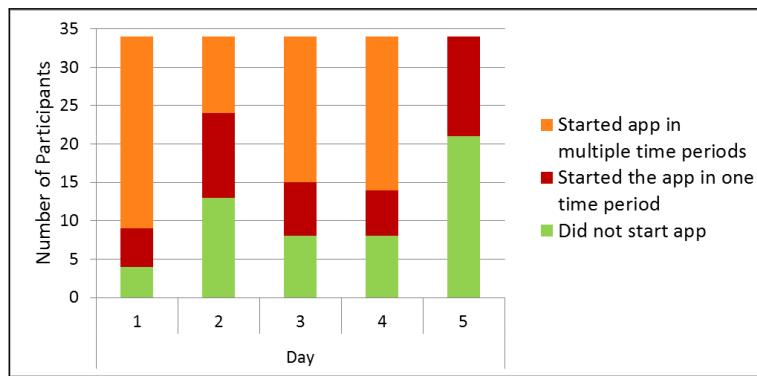


Figure 4.14: App start patterns over the days

one time period; and some people never started the app. Figure 4.14 reflects how many people reflected each pattern of use.

If everyone used the software the way we intended them to, the Figure 4.14 would show 34 people starting the app in multiple time periods (orange) on Days 1, 3, and 4. However, this is not what we see. Consistent with the mean app starts per day, the number of people starting the app in multiple time periods decreased on Days 3 and 4. Inspection of the raw data revealed that 11 people displayed the desired pattern, which indicated that they used the app as requested. 13 people had at least one day (of days 1, 3, or 4) where they did not start the app at all. Five people had two days when they did not start the app at all. Two people started the app one time/day for all four days.

One problem with this calculation is that not starting the app does not always indicate a participant was not using the app consistently throughout the study. Two participants started the app zero times throughout the study period, but still had valid entries. This can be explained that participants treated the phone as a special device used only to enter their food. Since participants were asked to carry a separate device rather than use their personal phone, they were not using the device for anything else. This meant they likely had no reason to “quit” and re-start the app.

**What does this mean for the validation discrepancy?** This data indicate that for the most part, participants were starting the application periodically through the day. This meant that the discrepancy is probably not due to people forgetting about the application.

## 4.6. VALIDATING BALANCE

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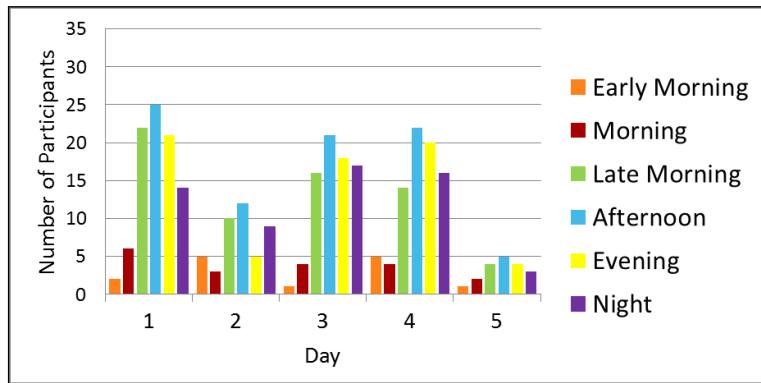


Figure 4.15: Number of people who made entries in a given time period.

### 4.6.3.3 When entries are made

Figure 4.15 shows how many participants created entries during each specified time period. With this population, it was fairly unpopular to make entries in the early morning and morning, while the late morning, afternoon and evening were the most popular times to make entries.

### 4.6.3.4 Entry creation time

This data is generated by counting the number of entries created in a given time period, over the course of the study. This only counts when a record was created in that time period. It does not account for when a food was entered for a different time. This better reflects the pattern of entries that are made throughout the study period, specifically when people are thinking about or using the application. It does not always reflect when people actually ate.

This chart shows a sum of all the entries entered during a particular time period each day. While the above chart showed the number of participants who made entries in that time period, this indicates how many entries those participants actually made.

**What does this mean for the validation discrepancy?** Reviewing the charts, we see that Day 1 has more people who made more entries earlier in the day. In contrast, on Days 3 and 4 participants made entries primarily in the second half of the day, creating more

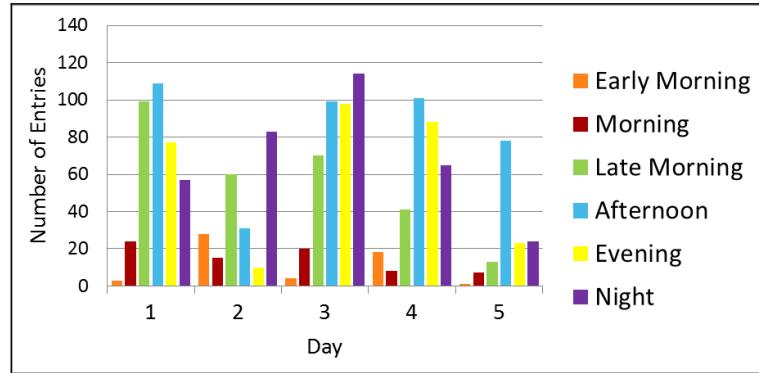


Figure 4.16: Number of entries over time

entries in the second half of the day than in the first half of the day. This indicates that participants were probably entering their food closer to the time that they ate it on Day 1. This implies that the Day 1 entries probably more closely reflects what they actually ate.

#### 4.6.3.5 Food eaten time

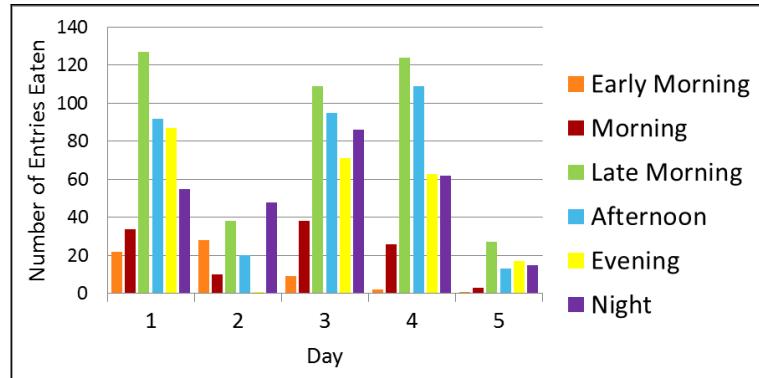


Figure 4.17: Number of entries eaten over time.

Figure 4.17 shows how many valid food entries were reported as being eaten in the given time period, independent of when they were created.

A comparison of 4.16 and 4.17 indicates that participants likely made entries in the second half of the day capturing food that they ate in the first half of the day.

## 4.6. VALIDATING BALANCE

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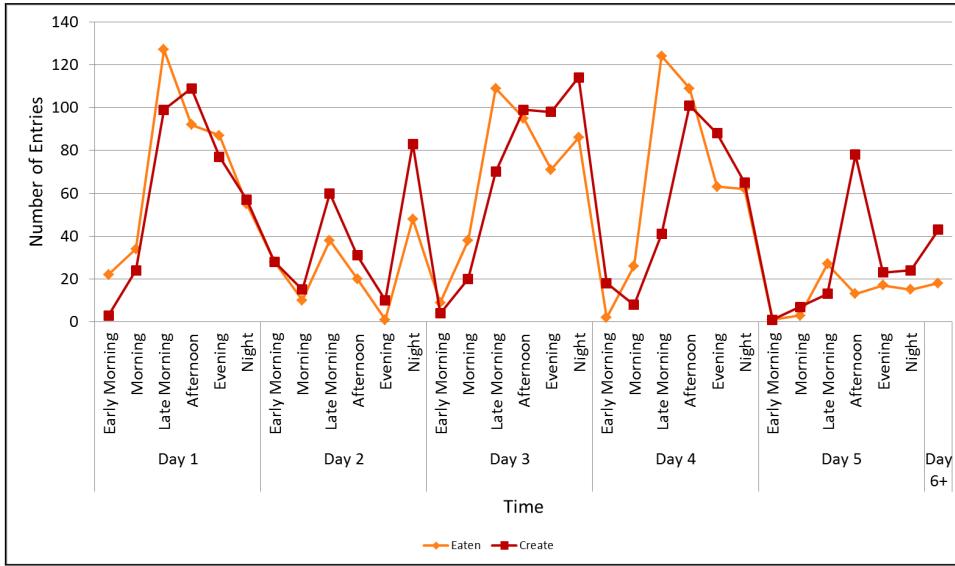


Figure 4.18: Number of entries entered and created over time

**What does this mean for the validation discrepancy?** Similar to the entry creation time, usage on days 3 and 4 indicate patterns that correlate to greater error between what was eaten and what was entered. This appears less extreme on day 1, so again, the entries on day 1 probably more closely match what was actually eaten.

### 4.6.3.6 Comparing entry creation and food eaten time

Figure 4.18 shows a comparison of the number of records created versus food entries eaten over time. Day 2 shows that there were more entries created than eaten. Since Day 2 was the day participants did not need to make entries, this probably indicates that participants were filling in entries for Day 1, where there are more entries eaten than created. In Days 3, the eaten and created peaks are offset, again indicating that participants probably used the food diary at night to make entries for food eaten in the late morning. Day 4 also shows that morning, late morning and afternoon had more food entries eaten than entered. In this case, they appear to have been created in the afternoon of Day 5.

**What does this mean for the validation discrepancy?** Similar to the entry creation time, usage on days 3 and 4 indicate patterns that correlate to greater error between what

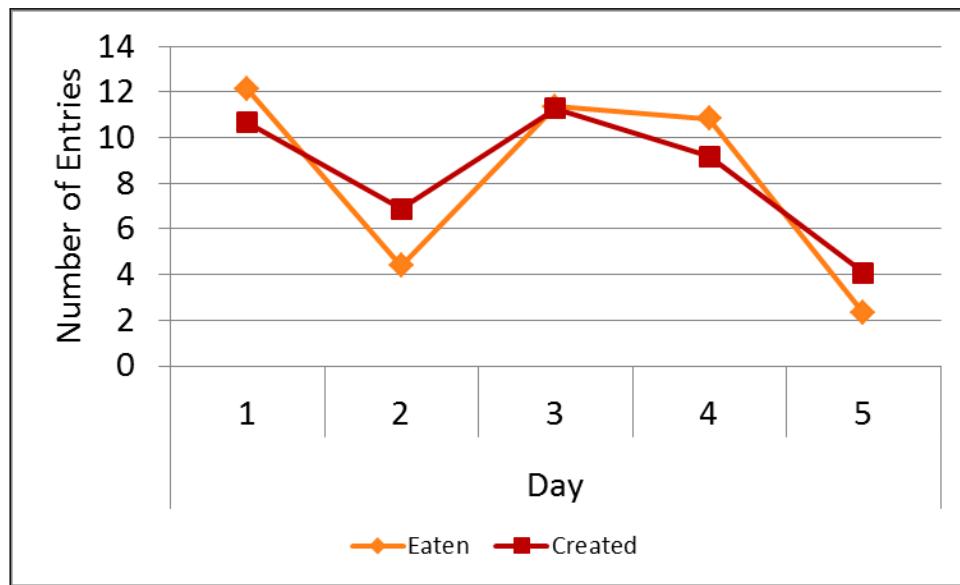


Figure 4.19: Number of entries eaten and created over time

was eaten and what was entered. This appears less extreme on day 1, so again, the entries on day 1 probably more closely match what was actually eaten.

#### 4.6.3.7 Entry edits

One indicator that users are becoming more familiar and comfortable with a piece of software or an interface is how many errors they make. In this study, the best indication we have for the number of errors people make throughout the whole study is how many edits they make.

The mean number of edits made by participants over the entire study is 13.559, with a standard deviation of 8.33.

Figure 4.20 shows how many edits were made each day of the study, by all of the participants. The decrease in edits over time could indicate that participants were becoming more comfortable with the software, and making fewer errors in their entries. The increase on day 5 could reflect participants checking their entries to prepare for the completion of the study.

**What does this mean for the validation discrepancy?** The high number of edits on day

#### **4.6. VALIDATING BALANCE**

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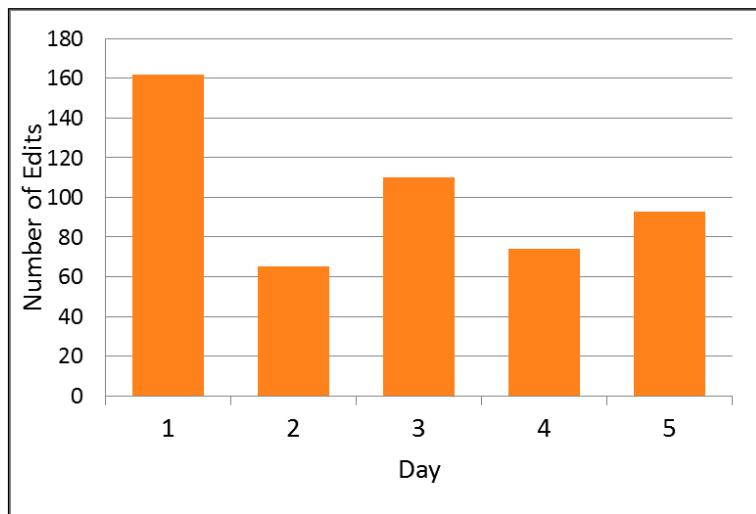


Figure 4.20: Number of edits over time

1 could indicate that participants were being conscientious about ensuring that the records were correct.

##### **4.6.3.8 Number Of Combos/Favorites And Their Use**

One of the features asked for many times in the focus groups the ability to save and use favorite foods, combinations, and recipes. In the validation study, 2 participants created 2 combos total, and used one of the combinations 2 times in their diary.

##### **4.6.3.9 Putting it all together**

So far, this section has described metrics that attempt to characterize the use of the food diary over the duration of the study. Now, I will show how the overviews reflect the usage patterns of an individual participant. I will show the details of two participant. The first has the distinction of being one of the participants who did not ever start or quit the application, but otherwise used the food diary as expected. The second participant showed a slightly different pattern of use.

Figure 4.21 shows all of the measures for Participant 101. This participant showed a decent amount of food diary activity for Days 1, 3, and 4, which is what is expected for

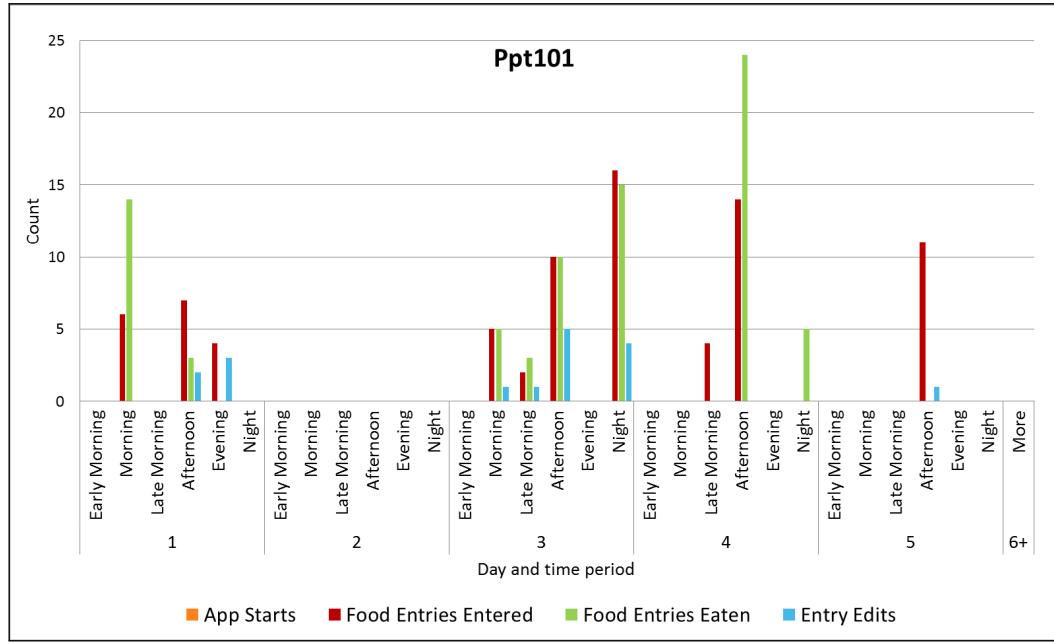


Figure 4.21: All measures, Participant 101

this study. However, this is one of the participants who left the software running the entire time. Therefore, no app starts were detected. On Day 1, in the morning, more foods were eaten than entered, but in the afternoon more foods were entered than eaten. This shows that the participant entered some of the food eaten in the morning, but later in the day went back and added some more entries. On Day 3, the participant was consistent about entering entries when the food was eaten. On Day 4, many more entries were eaten in the afternoon and evening than were entered. On Day 5, some more entries were made, which explains that the participant went back and filled those entries in.

Figure 4.22 shows the details for Participant 120. Overall, this participant shows a more consistent interaction with the food diary. The app is started for each time period that we have data. For the most part, entries are entered at the time of eating, with a few edits. Day 1 shows more interaction with the software, which is consistent with a period of adjusting to it. Also, while there are many edits the first day, there are fewer edits in later days, which could indicate a greater familiarity with the software. Starting the app on Day 6 could reflect the participant starting the software to show the study administrator. Day 3

#### 4.6. VALIDATING BALANCE

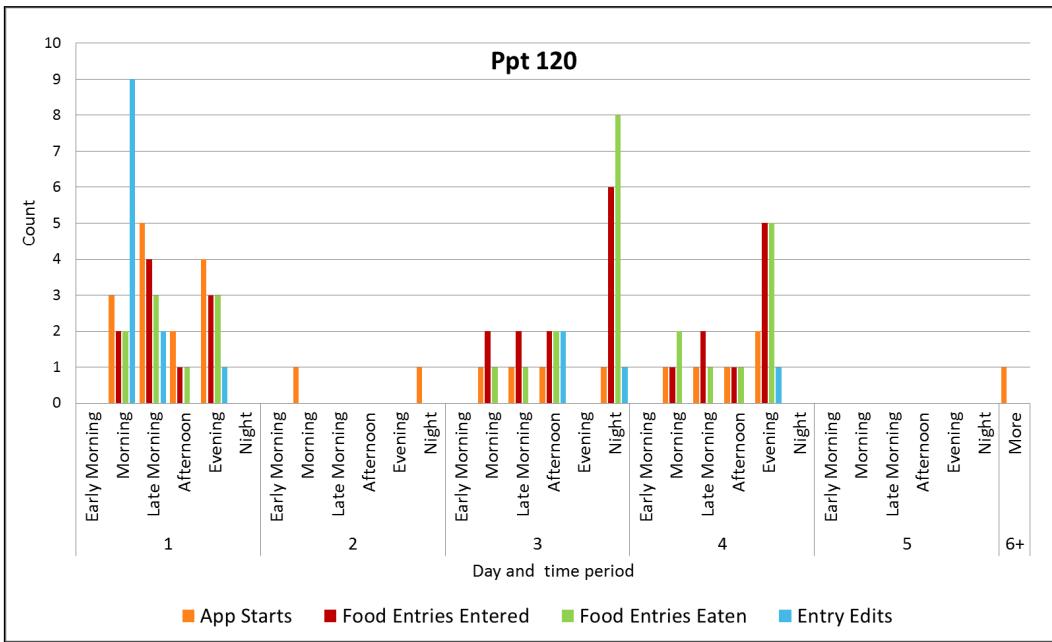


Figure 4.22: All measures, Participant 120

shows that the participant created more entries early in the day, but ate more entries later in the day. This could indicate a planning behavior on behalf of the participant.

#### 4.6.4 Discussion

In this section, we reviewed metrics that reflected how participants used the food diary in the BALANCE validation. We looked at how and when participants started the food diary app, created entries, reported food as being eaten, and made edits. Participants appeared to start the app more and make more edits on the first day of the study, but the number of entries created and foods eaten were consistent on all three days of the study. This reflects that participants were becoming familiar with the software on the first day.

The measures show interaction with the software on Days 2 and 5. Day 2 is when participants were not supposed to enter their food, while Day 5 was the day after the study period. The interaction on those days showed that some participants were filling in earlier entries. We also saw that with participant 120, some participants likely used the software to plan ahead, by making entries that reflect being eaten later in the day.

For this validation phase of the BALANCE study, we chose to present a more detailed treatment of the collected measures due to our experience with the focus group evaluation. In the focus group evaluation, the measure we were interested in was the time between when a food was eaten and when the food entry was created. This measure was not meaningful because too few participants entered the food eaten time when creating the food entries. However, in this validation study, we see evidence that participants did modify food eaten times. There are two potential explanations for this discrepancy. First is that the software was modified throughout the focus group evaluations, and before this final validation. The process to modify a food eaten time may have improved enough to make it easier for participants to modify the entries to reflect the time it was actually eaten. Second, the small sample sizes in the focus groups could impact the effectiveness of the measure. While the validation study does show that participants modified food entries, we also see that some participants probably did not modify the food eaten time. One or two people in each focus group not modifying the food eaten time would impact the quality of that data.

Previous research has identified factors that increase error in food diaries that people create. In the BALANCE validation study, we identified a discrepancy between what they entered and what was reported in a 24-hr recall. Previous work comparing food entries in an electronic food diary to a 24-hr recall found no significant difference ([Glanz et al., 2006]), but one difference between that study and this is that the 24-hr recall was performed a few days into a long-term study, rather than on the first day of a 3-day study. This raises two questions: whether participants were not as familiar with the software and device on the first day, and if the discrepancy may improve if the comparison was performed on the third or fourth day. However, the patterns of use in this study reveal that the Day 1 of the study was probably the most complete food diary data.

## 4.7 Overall Discussion

The BALANCE project provides a rich dataset for us to begin understanding some of the challenges that people encounter when trying to self-monitor their food intake on mobile phones. Over the course of our four studies, almost 50 people used the food diary for 3

#### *4.7. OVERALL DISCUSSION*

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days and provided detailed feedback. We collected usage metrics of 34 of those participants. These metrics helped us to better understand some of the patterns of use. All of this data also helped us to better understand how our metrics and methodology impacted the evaluation outcomes. I further elaborate on specific themes below.

**Historical Context.** The changing technical capabilities of mobile phones were an issue for this project. The BALANCE project began in 2008. Nokia N80s, considered state-of-the-art at the time, were the first devices running the prototype software. These were soon replaced by Nokia N95s. N95s had a larger screens and better technical capabilities overall. The focus group evaluation started in early spring 2009 and finished in late fall of 2009. The validation phase began in spring 2010 and finished in the fall of 2010.

At the beginning of the project, PDAs had been commercially available for more than 10 years, but smartphones were still considered high-end, early adopter technology. Up until that point, both smartphones and PDAs were increasing in capability, but consumers had to choose between the two. However, smartphones were just beginning to merge with PDAs. When this happened, smartphones with touch screens became accessible for consumers.

Altogether, when we began this project, most people were not using their phone for much more than a basic phone. This was the case even for people with more capable smartphones or PDAs. However, they increasingly aware of the notion of using “apps” on a phone.

**Fast-changing Technology.** The phone we provided for participants in the focus groups (the WinMobile-based HTC Fuze) was considered ‘very cool’. One notable comment from a participant was that her teenage son thought she was lucky to be able to use that phone. However, by the end of the project, comments from participants included “the phone is so clunky and slow”. Indeed, one challenge we have going forward is that it is not likely to be the same phone model as the existing research that has been done. Changing technology platforms throughout a research project introduces challenges for the researchers, but not keeping up with consumer expectations could impact their impressions and feedback of the tool.

**Personal Devices.** Administering studies using mobile phones requires researchers to

choose whether participants should carry a dedicated study device or if the study software should be installed on a participant's personal device. Consolvo et al. [2007] indicate the latter case may be preferable because the participants are familiar with the device and have all of their contact and other personal data on it, therefore may be more likely to use the software on a regular basis. However, study prototypes may be unstable, causing the phone to crash or otherwise interfere with the normal functioning of the phone. In the case of a study-provided device, the participant may be unfamiliar with the device, or have to carry two devices, which may make them less likely to use the software.

In the BALANCE studies, we chose to provide study-owned devices to the participants to use in addition to their personal phones because of the technical requirements of the project. This could have impacted participant ability to adopt the software readily. The validation study showed evidence that some participants did not start and stop the software as expected.

**User Expectations and Research Goals.** We had two interim goals as part of the BALANCE project. One goal was to incorporate user feedback to inform the design of the BALANCE software. The other goal was to use the software to collect data to evaluate hypotheses about how the technology supports people tracking their energy intake and expenditure.

**User Expectations.** Researchers developing mobile applications face similar conflicting priorities as developers in a commercial setting. Putting software into the hands of real users is the best way to help the researcher determine how the software will really be used, what its best and worst features are, and what bugs require repair most urgently. Yet at the same time, users are often intolerant of buggy software and unable to report much more than "it didn't work" as a bug report. As a compromise, we use an iterative approach, incorporating feedback after each version, and informing testers to the greatest extent possible about the status of the software and procedures for bug reporting.

**Database.** The food database had a huge impact on the overall design and implementation of the BALANCE software. There was a tension between needing a database that was big enough to have all the foods that many different people may encounter, but small enough to make it quick and easy to navigate. The information architecture of the database

#### **4.8. SUMMARY**

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was important too-food groups and categories that are meaningful to experts and professionals may not be obvious to consumers. This is consistent with reports of the importance of high literacy abilities to navigate food and nutrition information Rothman et al. [2006].

**Study Duration.** Choosing the duration of the final validation study required evaluating a tradeoff. A shorter duration reduces the burden on the participant. However, a longer duration provides more opportunity for the participant to adapt their routine to incorporate the self-monitoring, while also tailoring the software to reduce the burden. The personal database grows based on what people enter, making it easier to find and enter food. The favorites features also provide a way to minimize interaction time. The three day duration will not merit the time investment required for personalization, and overall will probably not have a large impact on the tracking process.

The evaluations indicated that people found it challenging to use the BALANCE software to self-monitor food intake in a timely, complete and consistent manner throughout a day. This is consistent with other work that indicated technical malfunction prevented statistically significant results [ref]. Participants reported that the idea of tracking their food intake on their mobile phone was appealing, and offered valuable insight as to how we as designers and researchers may improve the process, particularly by reducing the amount of effort that goes in to the food intake capture. This allows us to raise the question of whether people will be able to enter food intake on a consistent basis if we reduce the amount of effort, in terms of time, physical entry (entering text or pushing buttons) and mental activity (how much the user needs to think about the process). This is addressed in the next chapter.

## **4.8 Summary**

In this chapter, I describe the BALANCE project, focusing primarily on the design and development of the food diary component of the project. I also provide an HCI-oriented evaluation of the use of the BALANCE software by participants in-situ, to better understand the results of the more quantitative, [medical]-oriented evaluation. This evaluation identified that one important barrier to participants creating complete, comprehensive and

timely food entries is due to the database. I document the challenges of using a food database on resource-constrained devices such as mobile phones, and illustrate the inherent challenge of interacting with food databases. The database is challenging to navigate and requires mental effort, particularly in real-world context, in addition to requiring time-consuming text entry. User feedback indicated that people understand the value that dietary self-monitoring could provide, but that the BALANCE food diary required too much time. It also indicated that people might be willing to accept a less detailed accounting of their food intake in exchange for a less challenging and time-intensive collection process.

#### *4.8. SUMMARY*

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# 5

## Investigating Food Index-Based Food Diaries In The Lab

In this chapter, I explore challenges around self-monitoring dietary intake without a database on a mobile phone. In earlier chapters, I described the challenges and barriers that people face when employing a traditional approach to journaling what they eat, either on paper, a computer, or a mobile device. This project considers a different approach to the design of a food diary. Specifically, I begin to investigate how recording different information impacts the process of self-monitoring dietary intake. I defer to the constraints that a mobile platform imposes on the self-monitoring of intake problem as discussed earlier, and consider how the use of food indexes could address the given constraints. I also describe how the use of food indexes for mobile phone food journaling naturally reflects known guidelines for supporting successful goal-tending. After providing a theoretical grounding of the ap-

## *5.1. INTRODUCTION*

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proach, I address practical concerns of using food indexes for mobile phone food diary. I then describe a study where I explore some of those issues and present the results.

### **5.1 Introduction**

The BALANCE project highlighted the challenges of self-monitoring dietary intake on a mobile device. Many of the challenges were either directly or indirectly related to finding foods in a database. This led us to consider the problem of self-monitoring dietary intake without the database, believing this could help to streamline the food intake capture process. Tinker et al Tinker et al. [2001] discuss the potential benefits and drawbacks of “brief” self-monitoring instruments, pointing out three examples [Mossavar-Rahmani et al., 2004; Roy et al., 1997; Mitchell et al., 1996] of previous work that compare the outcomes of brief survey versus detailed self-monitoring tools. *Detailed survey* refers to food diaries that capture all details of an eating episode, including all foods, detailed serving sizes, and time of eating. They could also capture additional context such as location or other people. *Brief survey* tools are designed to capture a fewer details of eating episodes. In the case of Tinker et al, the brief instrument was a ‘fat scan’. The fat scan is a single piece of paper designed to help users identify and monitor sources of fat in their diet. In addition to the paper-based instruments designed and evaluated above, Mattila et al [Mattila et al., 2008b] have used this brief approach to monitoring food intake on a mobile phone. However, this has not been compared to a detailed dietary intake monitoring tool.

To inform the design of a new brief survey tool, we first considered the larger goal of the BALANCE project, which was to help people self-monitor their energy intake and expenditure to help either attain or maintain a certain weight. Self-monitoring is a tool to help support behavior change, in this case, around health and wellness behaviors. Self-monitoring helps people become aware of their current behavior. Continued self-monitoring helps to identify if the behavior is changing or not. This is related to goal setting and tending—once awareness is developed via self-monitoring, one can begin to start defining targets for change. Defining goals raises the question of what kind of behavior change we can support without a food database. A tool can not easily support calorie counting without

a database for lookup. However, researchers are interested in other health and wellness indicators such as the quality of an individual diet.

Diet quality is a factor in preventing and treating many diseases, such as cancer [World Health Organization], cardiovascular disease [Guh et al., 2009], and diabetes [Hu et al., 2001]. Research has also shown that a diet high in nutrient dense food tends to be lower in calories [Gibson, 2000; Cox and Mela, 2000]. Tools to support people in improving their diet quality, especially by increasing the amount of nutrient dense foods they consume, can help them to decrease the number of calories they consume.

Diet quality is usually measured as a reflection of the pattern of food that an individual consumes, as opposed to values of specific nutrients [Kant, 1996; Kourlaba and Panagiotakos, 2009; Panagiotakos et al., 2007]. Different patterns reflect different values of food pattern quality. For example, two dietary patterns commonly referred to include the Standard American Diet (SAD), which reflects how many Americans eat, and the Mediterranean dietary pattern (MedDiet), which reflects traditional eating practices in the Mediterranean. Diet quality is measured and evaluated through the use of a food index. Food indexes usually consist of a number of components that are scored and then combined together to one overall score. Improving any of the component scores improves the overall score. Food indexes are thoroughly evaluated to ensure that they accurately represent a given diet. Further research investigates the correlation between a given food index and incidence of disease.

Traditionally, food indexes have been used by experts to evaluate the diet quality of an overall population. Researchers take a form of food intake records (e.g. 24-hr recall, food frequency questionnaire), then calculate the components and overall score according to a set of rules. The report of the food index scores can be used to compare different populations (for example, men versus women in a particular geographic area), compare the change in diet quality over time, or identify areas where certain populations can improve their dietary intake.

In the absence of a database, food indexes could be a good tool for individuals to track their dietary intake. However, food indexes were developed to be used by experts, and it is unclear what difficulties individuals will have in using them to characterize real food.

## *5.2. FOOD INDEXES: ANALYZING DIET QUALITY*

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In this chapter, I address the questions of how individuals can use food indexes to directly track their dietary pattern and quality, if there is a benefit in terms of reduced time to make an entry, how correct entries are, and if there is any perceived benefit to the users.

### **5.2 Food Indexes: Analyzing Diet Quality**

Dietary or food indexes are designed to describe the quality of the diet that a person or population consumes. An index has multiple components, which are weighted and scored differently, then combined to result in one overall number that describes an individual's diet. Research in the nutrition, epidemiological and medical fields correlate the value of different indexes to health outcomes, such as reduced incidence of cardiovascular disease, cancer, or simply mortality [Kant, 2010, 2004; Kuczmarski et al., 2010]. This makes them appropriate for consideration as a tool to support disease prevention.

Traditionally, food indexes are used to understand the diet quality of a population as opposed to an individual. An index definition is usually comprised of multiple components and target consumption amounts. Components are either to be moderated (e.g., to place an improved score on consuming less) or attained (e.g., to eat at least a certain amount). Components are based on food groups (fruits, vegetables, grains) or nutrients (sodium, fat, protein) [Arvaniti and Panagiotakos, 2008]. There are three basic groups of indexes, based on how they are created: those based on recommended dietary intake of micro- and macro-nutrients (calories, fat, protein, Vitamin A, sodium, fiber), an idealized or preferred dietary pattern (such as the Mediterranean diet), and food groups. An index based on recommended dietary intake uses nutrition databases to determine the macro- and micro-nutrients in each food eaten, and then generates a score via a formula. Indexes or scores based on an idealized pattern focuses more on the kinds of decisions people make about what they eat: choosing lean meats over fatty meats, and olive oil in place of butter. Finally, indexes based on food groups count how many servings of each food group is consumed. Overall, food indexes are discriminate between a diet full of nutrient dense foods and diets high in calorie-dense, nutrient-poor foods.

An index is used by taking a traditional food journal that consists of a specific food

eaten. For discussion purposes, I will refer to a cheeseburger as the specific food. The researcher will code the food in terms of the food index components. With a food group-based index, the cheeseburger might be coded as “2 servings of grains (the bun), 1 serving of meat (the patty), 1 dairy serving (the cheese)”. Once the coding is done, an overall score is generated based on a formula accounting for how each component should fit into the larger diet. Scores are sometimes averaged together over populations, which allows researchers to more easily compare or summarize food intake of a large number of people.

For the purposes of this study, we have identified food indexes that are representative of the different types of food indexes. They are the Healthy Eating Index-2005 (HEI) [Guenther et al., 2008a,b] and the Food Based Quality Index (FBQI) [Lwik et al., 1999]. The HEI is based on food groups and nutrient amounts, while the FBQI is based only on food groups. We felt it important to choose two indexes that embodied these characteristics for the purposes of exploring how these types of indexes appeal to users. We are choosing to not use an index based on the Mediterranean diet. An index based on an idealized dietary pattern assumes that the user has previously committed to a particular diet philosophy.

### **5.2.1 Food Indexes and Goal Setting**

[Consolvo et al., 2009a; Cullen et al., 2001; Dewalt et al., 2009; Intille et al., 2003; Locke and Latham, 2002; Shilts et al., 2004]

### **5.2.2 The Healthy Eating Index-2005**

The Healthy Eating Index (HEI) [Guenther et al., 2008a,b; Kennedy et al., 1995] is a food index based on the USDA Dietary Guidelines for Americans [US Health and Human Services and US Department of Agriculture, 2005a]. The original HEI was developed in 1995 and revised in 2006 to reflect the 2005 Dietary Guidelines. Throughout the rest of this document, the acronym ‘HEI’ refers to the 2005 version of the HEI.

The HEI is a score based system, with a high quality diet obtaining a score of 100 points. Each of 12 components contribute to the overall score. Table 5.1 lists the components and their individual contribution to the overall score. The components above the line are at-

## 5.2. FOOD INDEXES: ANALYZING DIET QUALITY

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tainment components. Researchers want people to eat more of these components. The attainment components for HEI contain primarily food groups. Components below the line are moderation components. Researchers recommend reducing or restricting the consumption of these components. All of these components are considered nutrients. The scoring of the attainment and moderation components reflect that most Americans do not eat enough of certain food groups (dark green and orange vegetables, whole grains) and consume too much of certain nutrients (sugar, saturated fat, calories). The calculation for each point depends on the individual daily recommended caloric intake.

Component	Maximum Points	Daily Recommended Intake
Total Grains	5	$\geq 3.0 \text{ oz eq}/1000 \text{ kcal}$
Whole Grains	5	$\geq 1.5 \text{ oz eq}/1000 \text{ kcal}$
Vegetables	5	$\geq 1.1 \text{ cup eq}/1000 \text{ kcal}$
Dark green & orange vegetables, legumes	5	$\geq 0.4 \text{ cup eq}/1000 \text{ kcal}$
Total Fruits	5	$\geq 0.8 \text{ cup eq}/1000 \text{ kcal}$
Whole Fruits	5	$\geq 0.4 \text{ cup eq}/1000 \text{ kcal}$
Milk	10	$\geq 1.3 \text{ cup eq}/1000 \text{ kcal}$
Meat and Beans	10	$\geq 2.5 \text{ oz eq}/1000 \text{ kcal}$
Oils	10	$\geq 12 \text{ g eq}/1000 \text{ kcal}$
Saturated Fat	10	$\leq 7\% \text{ of total kcals consumed}$
Sodium	10	$\leq 0.7 \text{ g}/1000 \text{ kcal}$
Calories from Solid Fats, Alcoholic Beverages, and Added Sugars	20	$\leq 20\% \text{ of total kcals consumed}$
Total Points	100	

Table 5.1: The HEI calculation. A close-to-perfect diet will score 100 points. Points are defined in regards to the recommended number of calories an individual should consume. Components above the line are attainment. Components below the line are moderation.

Grains, vegetables and fruits are broken into multiple categories. The grains category is separated into Whole and Total grains, as is the Fruit category. For Grains, once the daily limit is met for Whole Grains, more Whole Grains are counted under Total Grains. If a person eats five Whole Grains and five Total Grains, the grains component of the total score is 10. However, if a person eats 10 Total Grains, the grains component of the total score is 5, since none were Whole Grains and the max Total Grains score is 5. This is similar with

### *5.3. FOOD TASKS: FOOD DIARY EVALUATION METHODOLOGY*

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Fruit, where Whole Fruits include unprocessed fruits, while Total Fruits includes juices and cooked or dried fruits. Vegetables also include two categories, separating out the dark green and orange vegetables, as well as legumes. Similar to Grains and Fruits, if one eats the equivalent of 10 points of Dark Green Vegetables, the total score is 10, while 10 points of other vegetables only contributes 5 points to the total score.

The rules to calculate an HEI score for an individual diet are fairly complicated. However, partly due to the complexity, researchers find the resulting score a fairly good indicator of one's diet [Guenther et al., 2008b]. The complexity makes it a good option for this study. It will help us to identify user responses to a complex interface and counting process. It also incorporates the restricting some important quantities.

#### **5.2.3 The Food-Based Quality Index**

The Food-Based Quality Index (FBQI) [Lwik et al., 1999] is a food index based solely on food groups. The FBQI food groups are simplified and slightly different than the ones based on the food groups defined by the USDA. Specifically, potatoes, rice, pasta, and other starchy grains are considered separately from either bread (and cereal grains) or vegetables. Cheese is separate from dairy. Serving specifications are designed to be representative of how consumers think about food servings.

The FBQI is simpler than the HEI: It is based only on food groups with no accounting of nutrients; all components are target rather than attain or moderate; and serving sizes are intended to be user-friendly. However, the familiar serving sizes are vague. The simplicity of the FBQI is a good contrast to the HEI for an initial investigation of using food indexes for self-monitoring dietary intake.

## **5.3 Food Tasks: Food Diary Evaluation Methodology**

Section ?? details important considerations of choosing how to present food tasks to study participants. Those challenges are primarily how to choose which food to use in the tasks and how to present those foods to the participant.

There are four options for presenting food tasks to participants: using real food; food

### **5.3. FOOD TASKS: FOOD DIARY EVALUATION METHODOLOGY**

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<b>Component</b>	<b>Points</b>	<b>Serving goal</b>
Bread (incl. breakfast cereals)	1	5-7 slices
Potatoes (incl. rice, pasta & pulses)	1	3-5 pieces
Vegetables	1	3-4 serving spoons
Fruit	1	2 pieces
Milk & milk products	1	2-3 glasses
Cheese	1	1-2 slices
Meat, fish & eggs	1	115-130 g

Table 5.2: FBQI Calculation. Each component accounts for a single point, but the target is a range. All components are targets; there are no attain or moderate components.

models; food photographs; or food names. For practical purposes, we chose to use food names. For each food, the food name presented is from the Food Name field in the Nutritionist Pro KnowledgeBase.

Food tasks were chosen... Concerns for choosing food tasks:

- Familiarity: chose foods from a previous study using the same population.
- combination foods: chose a balance of combo/prepared foods and single foods.
- amount of foods: used a 2000 kcal diet.
- number of foods: specific number of foods per meal.
- coverage for FBQI and HEI: chose foods to ensure that most or all components of HEI/FBQI were used in each 'day' of food.

Since familiarity is so important, we carefully considered how to choose the food for the tasks. One considered approach was to refer to a published gold standard. [blah blah, not published, not an issue in nutrition research, etc. ] We considered using published diet plans, such as those advocated by the American Heart Association for healthy diets. The drawback to those is that they are recommended, and may not accurately reflect the actual diet of the participants. Additionally, since the recommended menus are based on the official Food Pyramid, and one chosen food index is also based on the Food Pyramid, this could influence the correctness scores. After consideration, we decided to choose the food tasks based on the food diaries collected in the BALANCE focus groups. Since the study populations are drawn from the same underlying populations, the issue of familiarity is

## ***5.4. INVESTIGATING AND COMPARING FOOD INDEX-BASED MOBILE PHONE FOOD DIARIES***

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addressed. More details are explained later.

Another important aspect is how the food tasks are presented to participants. In the real world, one of the important challenges to “perfect” food journaling is the process of seeing/eating food, identifying what it is, sometimes identifying methods of preparation (fried versus broiled), identifying un-seen characteristics (low-fat versus full-fat milk, with butter), and identifying portion or serving sizes in order to correctly enter the food (or choose from a list in a db). Sometimes this process is easy, as with packaged foods or when preparing your own food; other times such as at a restaurant it is more challenging. Literacy is also an issue: with a traditional journaling approach, it is one thing to be able to identify one cup of spaghetti, but if you are not able to spell it properly, you might not be able to find it in a database. Due to this process, we considered that using real plates of food would be more life-like, and that using photographs of food were close to real. However, with the use of photographs, care must be taken to obtain them properly. Scale and lighting are two important considerations. And, with research, we found that there exist food photograph booklets specially prepared for the purpose of studying people’s estimation of portion sizes. However, these booklets had the familiarity concerns outlined above. We decided that portion size estimation and literacy were not primary concerns for this study, so chose to present food tasks as a list of foods on a card.

## **5.4 Investigating and Comparing Food Index-Based Mobile Phone Food Diaries**

In this section, I talk about the evaluation I did to explore this area of tracking diet quality or food-index based tracking.

### **5.4.1 Research Aims**

1. Is it faster to enter food in a complete, index-based mobile phone food diary?
2. Are people able to enter food correctly in a complete, index-based mobile phone food diary?

## **5.4. INVESTIGATING AND COMPARING FOOD INDEX-BASED MOBILE PHONE FOOD DIARIES**

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3. Are the work-load satisfaction measures the same for index-based mobile phone food diary as a traditional food diary?
4. How can we characterize the types of errors that are made in an index-based mobile phone food diary?

In addition to the above research questions, we designed this study to support generating design recommendations for future index-based food diaries.

### **5.4.2 Study Design**

We performed a comparative evaluation of the BALANCE interface with two food index-based food diaries. The other food diaries were based on HEI and FBQI. All interfaces were ran on a Windows Professional Mobile smartphone with a slide-out, qwerty keyboard.

### **5.4.3 Instruments**

This study focused primarily on understanding the interaction between people and a food index via a mobile device.

The BALANCE interface was a modified version of the software for the BALANCE study. The modifications are detailed later. The HEI and FBQI interfaces were designed to be simple, similar, and reflect the food indexes. Both interfaces displayed all of the index components as boxes on the home screen. Daily progress for each component was shown as checks on each box. Each box had a button labeled “Ex.”. This button opened a dialog window with a short description of the component and some example foods. This example information was intended to help users answer the question “I just ate a food; which group does it go in?”. The example content was adapted from literature describing the indexes.

Touching the index component button opens a serving size screen. This screen also provides examples of foods in that component and sample serving sizes. The widget for specifying servings was a set of buttons that could be toggled on and off. The buttons act like a slider: when a multiple-sized entry is made, all the others are selected. That is, clicking the 3 oz button selects the 1, 2, and 3 oz. buttons.

## ***5.4. INVESTIGATING AND COMPARING FOOD INDEX-BASED MOBILE PHONE FOOD DIARIES***

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The HEI and FBQI interfaces went through a paper prototype design process. One design feature explored in particular was the use of images to represent each component. Appropriate images could greatly improve user ability to remember what foods belong in which component. However, inappropriate images could have a large negative impact. Instead, we chose to use colors to represent each component. The same colors represented similar groups in HEI and FBQI, as much as possible. Finally, we designed the layout of the component buttons to all fit on the overview screen with no scrolling. This provided both an easy way to view the overview and make a new entry.

Guiding Design Principles:

- Overview on one screen
- Overview shows progress but not goals or targets
- Individual screens inherently showed goals (maximum number of boxes)
- One handed use

### **5.4.3.1 HEI**

It is straightforward to convert the HEI index into a points-based system that is appropriate for our indexed-based system. For the study, we assumed a daily recommended intake of 2000 kcals. The official recommended intake for healthy adults is roughly 1900-2400 kcals/day. In real-world usage, the interface can reflect an individual's need, but for the study this was fine. We then calculated the recommended intakes for each group. For the interface, we made small adjustments to the amounts to target intakes to make it easier to count "points". We then chose a number of boxes to use to represent the points that was fairly straightforward and more human-friendly. For example, we rounded 2.2 cups of vegetables up to 2.5 cups, and presented it as 5 boxes, so that each box represented 0.5 cups of vegetables. This appears friendlier than 5 boxes with each representing 0.44 cups. We edited the "What's in a serving" text to reflect the amounts that each box represented. For the Vegetables, Fruits and Grains categories, where there are two sub-categories of foods, we ensured that each box for both sub-categories represented the same amount. For example, in the case of Grains, the target for Whole Grains is 3 oz, while the target for Total

#### **5.4. INVESTIGATING AND COMPARING FOOD INDEX-BASED MOBILE PHONE FOOD DIARIES**

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Grains is 6 oz. Rather than have 6 boxes for each (which means that the boxes for Whole Grains would represent 0.5 oz while the boxes for Total Grains would represent 1.0oz), we chose to have 3 boxes for Whole Grains and 6 boxes for Total Grains, and each box represents 1 oz.

For the purposes of this study, we collapsed Fruit, Vegetables and Grains into single categories, each with two sub-categories. We did this to reduce the complexity of the overview screen for two reasons. First was because we wanted the overview screen to contain all of the index components and still be simple, uncluttered, and one-hand usable. Putting three more boxes on the overview screen would make the boxes too small. When the boxes are too small, they're more difficult to target/touch, and also have less space to show the checkmarks that identify current progress/status for the day. The second reason was that we wanted to reduce the cognitive load at the overview level. There are two tasks that we want to support with the overview screen: answering the questions of "how is my progress so far today?" and "Where do I put this food?". To answer the "Where do I put this food?" question, the fewer number of answers that are possible makes it an easier question to answer. For that immediate moment, it is easier (and quicker) for a person to judge "is this carrot a fruit or a vegetable?"; once they get to the servings dialog, they can address the "is it orange or not?" question.

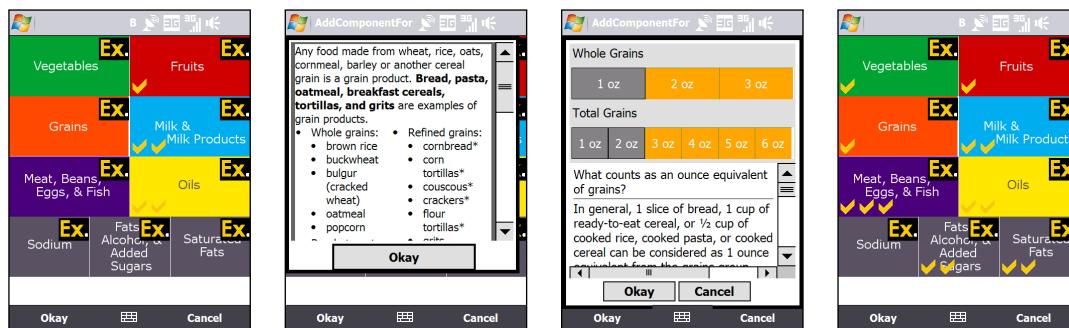
The main screen of this application shows an overview of the index. Each colored button represents a component of the index, labeled as such. An "Ex" button on each component button provides support for the user to determine which group/component a particular food belongs to. The "Ex" button for Grains is shown above. With HEI, many of the components(Vegetables, Fruits and Grains) are broken down into sub-components. Once a component button is selected, a window pops up which allows a user to specify how many servings they want to enter. Again, the example/explanatory information is presented on this page, to support the user in determining how many servings to select. For this study, the serving sizes was based on the formal HEI specification. We see in the case of grains, this is ounces of grains. The examples and explanatory guidance text is adapted from information provided by official food pyramid guidance. After the servings are selected, the overview screen shows checkmarks to represent the number of servings

#### 5.4. INVESTIGATING AND COMPARING FOOD INDEX-BASED MOBILE PHONE FOOD DIARIES

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Component	Goal	Num Boxes	Daily Recommended Intake
Total Grains	6 oz	6	$\geq 3.0\text{ozeq}/1000\text{kcal}$
Whole Grains	3 oz	3	$\geq 1.5\text{ozeq}/1000\text{kcal}$
Vegetables	2.2 cups	2.5 cups	$\geq 1.1\text{cupeq}/1000\text{kcal}$
Dark green & orange vegetables, legumes	0.8 cups	1 cup	$\geq 0.4\text{cupeq}/1000\text{kcal}$
Total Fruits	1.6 cups	1.5 cups	$\geq 0.8\text{cupeq}/1000\text{kcal}$
Whole Fruits	0.8 cups	1 cup	$\geq 0.4\text{cupeq}/1000\text{kcal}$
Milk	2.6 cups		$\geq 1.3\text{cupeq}/1000\text{kcal}$
Meat and Beans	5 oz	5 oz	$\geq 2.5\text{ozeq}/1000\text{kcal}$
Oils	24 g	25 g	$\geq 12\text{geq}/1000\text{kcal}$
Saturated Fat		7.5g	$\leq 7\%\text{of energy}$
Sodium	1.4 g		$\leq 0.7\text{g}/1000\text{kcal}$
Calories from Solid Fats, Alcoholic Beverages, and Added Sugars	!!	!!?	$\leq 20\%\text{of energy}$

Table 5.3: Counting HEI as ‘tokens’



(a) The main screen. Each block represents an HEI component.

(b) The ‘Ex.’ button provides guidance on each component.

(c) Touching a colored component box on the main screen opens a dialog where the user can specify how much of that component was eaten. One block represents a yellow checkmark.

(d) The yellow checks represent how much of each component was eaten on the current day.

Figure 5.1: The HEI interface.

## **5.4. INVESTIGATING AND COMPARING FOOD INDEX-BASED MOBILE PHONE FOOD DIARIES**

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eaten in that category, accumulated for the day.

### **5.4.3.2 FBQI**

The FBQI interface is similar in design to the HEI interface. This is specifically to attempt to minimize differences between the interfaces. As in HEI, the main screen shows an overview of the index. Each colored button represents a component of the index, labeled as such. An “Ex” button on each component button provides support for the user to determine which component a particular food belongs to. The “Ex” button for Grains is shown above. Once a component button is selected, a window pops up which allows a user to specify how many servings they want to enter. Again, the explanatory information is presented on this page, to support the user in determining how many servings to select. The serving sizes and guidance are based on the official definition of the food index. The guidance for the FBQI is more streamlined, which is indicative of the point that the index is designed for experts, as opposed to novices. Consumers may find the simplification beneficial, but sometimes does not provide enough guidance for the various foods people encounter in their diet. The examples and explanatory guidance text is very similar to the guidance provided for HEI, and adapted from USDA food pyramid [US Health and Human Services and US Department of Agriculture, 2005a] guidance. After the servings are selected, the overview screen shows checkmarks to represent the number of servings eaten in that category, accumulated for the day.

### **5.4.3.3 BALANCE**

The main, overview screen for the BALANCE software consists of a list of food eaten that day. For each food item, the name, number of calories, and meal is identified. The number of calories for that day is specified at the top of the screen. New entries are added by searching for a key word or name. After 3 letters are entered, a list is displayed that shows food entries (from a list of foods that contain common foods and foods that have been entered by that user before) that contain words starting the same way. After a specific food is chosen, a screen appears where the user can specify the amount eaten, in full and par-

## 5.4. INVESTIGATING AND COMPARING FOOD INDEX-BASED MOBILE PHONE FOOD DIARIES

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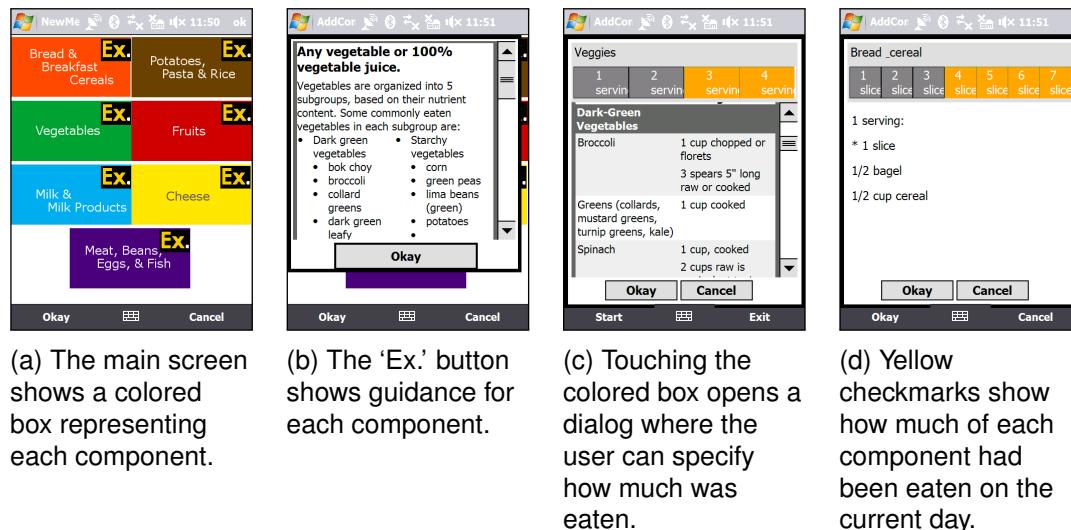


Figure 5.2: The FBQI Interface.

tial servings. Some foods provide multiple serving choices, such as ounces, cups, grams, pieces, or servings. This information is provided by the nutrition database, and is limited to the serving information in this database.

### 5.4.4 Participants

12 participants, recruited from online campus newspaper. The mean age was 31.6 years, median age 28.5 years; 8 females. 7 participants owned a phone with a touch screen, 5 owned “feature phones”. Of the 12 subjects, 10 of them reported using their cell phone either daily (1-2 times per day) or several times a day, while 2 reported using their phones approximately 1-2 times per month. 7 reported entering text (such as text messages or adding/modifying contacts) on their phones either daily (1-2 times per day) or several times a day, while 3 enter text approximately 1-2 times per week and 2 almost never enter text on their phones. Of participants who enter text on their phones, 5 use a 12-key keypad; 3 use a touch screen or soft keyboard; and 3 use a QWERTY or hardware keyboard.

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(a) The modified BALANCE interface. The “Add Food” menu was changed to a button. The tool bar only allows editing and deleting food entries.

(b) Enter the food name to search.

(c) Specifying the amount eaten.

(d) The food listed on the main screen.

Figure 5.3: Modified BALANCE interface.

### 5.4.5 Procedure

Participants came to the lab, and began by filling out a questionnaire that included basic demographic data, questions concerning the participants’ daily cell phone use, current health and wellness goals, and experience journaling food intake.

Then, for each interface, they were introduced to the interface and given two practice tasks to perform. The practice tasks were the same for all interfaces. One practice task consisted of only single foods, and the other practice task consisted of combination foods. After the practice tasks, the participants were given a chance to ask any questions or take a break. They were then notified that the real tasks were starting, and asked to work as quickly as comfortable between the start of a task and the end.

A task consisted of a meal, which was printed on a card. At the start of each task, the task card was set on the table next to the participant, and phone displayed a screen that identified the coming task (by task ID). This allowed the study administrator to ensure that the correct task was being timed (by comparing the task ID on the screen with the card). When the participant is ready, they click on the “Start Task” button, then refer to the task

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card for entries. When the participant believes the task is complete, they click the “done” button. Another screen showed that the task was over. The participant then clicks “next task” when they are ready, and the cycle starts again. One day of meals was completed in each condition. This consisted of a breakfast, lunch, dinner, small snack, and large snack. After each condition, participants filled out a TLX survey and asked what they like best and least about each condition. The presentation of food days and order of conditions was counterbalanced.

After all three conditions were complete, the participants completed a final survey on the computer.

### **5.4.6 Tasks**

For each condition, participants have “food tasks” for the participants to enter. Food tasks are broken down into “meals”, and there will be a controlled set of foods in each meal.

There were 3 days of food tasks. Each day consisted of a breakfast (3 food items), small snack (1 item), lunch (4 items), big snack (2 items), and dinner (6 items). Each day was chosen to control for number of items, number of calories, and number of index components. Foods and meals were chosen from a previous study that collected food journals from a similar population. This ensured that most foods would be recognized and familiar. Specifically, foods were chosen only if they existed in the nutrition diary that BALANCE is based on. This has the benefit that we can more closely compare things like time to enter between conditions, but it is clearly not representative of the real world, where many foods are not included in the database. This is a problem that has proven to be a barrier for many food diaries.

### **5.4.7 Study Design Limitations**

This study simplifies the food journaling process in order to directly compare the strengths and weaknesses of the interfaces. There are three primary limitations to the design of this study: it does not address the problem of either estimating or measuring serving sizes; none of the tasks include foods that are not in the database; and there is no real-world

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context.

### **5.4.8 Data Collected**

The data collected is described in more detail below. Special attention is given to the treatment of how to count task responses as correct.

**Duration.** Time spent in each condition. Time was calculated as the sum of time spent in each meal, starting when the “start” button was clicked and stopping when the “done” button was clicked at the end. Time between meal tasks was not counted.

**Correctness.** A value indicating how correct the task response was. A task is assigned 2 points for entirely correct, 1 point for mostly correct, and 0 points for not correct. A participant can get a maximum score of 10 in each condition. Entirely correct means it is exactly ground truth; mostly correct means that there was a small difference that was not entirely correct, but the meaning was close (for example, replacing “feta” with “blue cheese”, or “Hidden Valley Ranch Dressing” with “creamy salad dressing” in the BALANCE condition). This was done by inspection by the lead researcher.

This approach to measuring correctness is due to the complexity of comparing errors across conditions. In all three conditions, it was common for users to provide an answer that was close to correct, but not entirely. This approach, in conjunction with a more thorough analysis of the exact errors that were made, allows us to better compare how well participants performed in each interface.

**TLX.** Self-reported measure of workload assessment. After each condition, participants filled out a NASA-TLX survey [Hart, 2006]. Items include Mental Demand, Physical Demand, Temporal Demand, Performance, Effort, and Frustration, and are rated on a 7-point Likert scale.

**Rankings.** Ordering of the interfaces on four features. At the end of the study, participants ranked each interface in terms of preference, ease of use, understandability, and usefulness.

**Useful for goals.** Self-reported indication of how useful each interface would be to help reach goals. At the end of the study, participants were asked which single interface they

felt would be most helpful for them to reach their health and wellness goals, and why.

**Length of use.** Self-reported duration a participant would be willing to use the interface for. At the end of the study, participants were asked which interfaces they felt they could use for three days, three weeks, and three months.

**Likes and dislikes.** Self-reported features that participants liked or did not like. After each condition, participants were asked to list three things they liked about the previous condition, and three things they did not like.

**Errors.** Difference between the ideal or expected task response and what the user entered. An error was identified as a difference between a participant food entry (the final “daily” entry) and the expected/perfect entry. This is different than the correctness measure described earlier. Correctness is a score designed to support comparison between conditions. Here, we compare and report on the errors within one condition.

#### **5.4.9 Hypotheses**

Tested hypotheses:

1. Both of the index-based interfaces (HEI and FBQI) will be faster than the BALANCE interface.
2. The FBQI interface will have more errors than the BALANCE interface; HEI interface will have more errors than the FBQI interface.
3. The TLX workload measures will be the same for all three interfaces.

Can we begin to characterize the types of errors that are made in a complete, index-based mobile phone food diary?

#### **5.4.10 Data Analysis and Results**

Since this work was an early exploration, we performed both quantitative and qualitative analyses. The quantitative analysis focused on comparing performance of the interfaces. The qualitative analysis focused on proving insight into the use of the food indexes for self-monitoring of dietary intake. This included a thematic analysis of the answers provided to the self-report questions, an analysis of the errors made in each condition, and a

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discussion of the answers to the question “Which interface will help you reach your health and wellness goals?”

Measure	Test	Significance	Values to report
<b>Correctness<sup>a</sup></b>	1-way repeated measures ANOVA Paired t-test	Y Y	( $F_{2,22} = 17.074, p < 0.0001$ ). (Wilks lambda = 0.154, $F_{2,10} = 27.49, p < 0.0001$ ).
<b>Duration<sup>a</sup></b>	1-way repeated measures ANOVA Paired t-test	Y Y	(Wilks lambda = 0.154, $F_{2,10} = 27.49, p < 0.0001$ ).
<b>TLX:</b>			
<b>Mental Demand</b>	Friedman test	Y	( $\chi^2 = 10.56, N = 12, df = 2, p < 0.01$ ).
<b>Discouraged</b>	Wilcoxon	N	With Bonferroni correction, no pair-wise comparisons are significant.
<b>Ease of use</b>	Friedman	N	( $\chi^2 = 2.595, N = 12, df = 2, p = 0.273$ ).
<b>Quickly</b>	Friedman	N	( $\chi^2 = 3.556, N = 12, df = 2, p = 0.169$ ).
<b>Learn</b>	Friedman	N	( $\chi^2 = 1.333, N = 12, df = 2, p = 0.513$ ).
<b>Successful<sup>a</sup></b>	Friedman	Y	( $\chi^2 = 0.963, N = 12, df = 2, p < 0.618$ ).
	Wilcoxon	Y	HEI vs BAL: ( $z = -2.831, p < 0.01$ )
		Y	FBQI vs BAL: ( $z = -2.825, p < 0.01$ )
		N	FBQI vs HEI: not significant
<b>Rankings</b>			
<b>Preference</b>	$\chi^2$ test of proportions	N	( $\chi^2 = 4.5, N = 12, df = 2, p = 0.105$ ).
<b>Understandability<sup>a</sup></b>	$\chi^2$ test of proportions $\chi^2$ test of proportions: BAL vs FBQI $\chi^2$ test of proportions: BAL vs FBQI $\chi^2$ test of proportions: HEI vs FBQI	Y Y Y N	( $\chi^2 = 9.5, N = 12, df = 2, p < 0.01$ ). ( $\chi^2 = 6.4, N = 10, df = 1, p < 0.05$ ). ( $\chi^2 = 4.46, N = 11, df = 1, p < 0.05$ ). ( $\chi^2 = 0.333, N = 3, df = 1, p = 0.564$ ).
<b>Ease of Use</b>	$\chi^2$ test of proportions	N	( $\chi^2 = 1.5, N = 12, df = 2, p = 0.472$ ).
<b>Usefulness</b>	$\chi^2$ test of proportions	N	( $\chi^2 = 1.33, N = 12, df = 2, p = 0.248$ ).

Table 5.4: Summary of statistical analyses

<sup>a</sup>Significant

### 5.4.10.1 Duration

Duration addresses Hypothesis 1: the HEI and FBQI interfaces are faster than the BAL interface.

A single, categorical independent variable and a single, continuous, normally-distributed dependent variable. Since Mauchly’s test is significant, we can use either the multivariate test or the univariate test with correction. A multivariate repeated measures ANOVA indicates that timing is significantly different with each interface (Wilks’  $\Lambda = 0.154, F_{2,10} = 27.49, p < 0.0001$ ). In the univariate repeated measures ANOVA, Mauchly’s sphericity test is significant ( $\chi^2 = 8.127, N = 12, df = 2, p < 0.05$ ). The Greenhouse-Geisser corrected test indicates that duration is significantly different with each interface ( $F_{1.23,14.14} = 21.115, p < 0.0001$ ).

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All pairwise comparisons are significant using Holm's sequential Bonferroni procedure ( $\alpha = 0.05$ ). We can accept our alternative hypothesis that HEI and FBQI are faster than BAL. FBQI is significantly faster than HEI.

### 5.4.10.2 Correctness

The correctness measure addresses Hypothesis 2: the FBQI interface will have more errors than the BALANCE interface, and the HEI interface will have more errors than the FBQI interface.

There is 1 categorical independent variable (interface) and 1 continuous dependent variable assumed to be normal.

In the univariate repeated measures ANOVA, Mauchly's sphericity test is not significant ( $\chi^2 = 1.351, N = 12, df = 2, p = 0.509$ ). The results, assuming sphericity, indicates that timing is significantly different with each interface ( $F_{2,22} = 17.074, p < 0.0001$ ).

Pairwise comparisons using Holm's sequential Bonferroni procedure show that HEI is significantly less correct than FBQI ( $p < 0.017$ ) and BAL ( $p < 0.025$ ), but that FBQI is not significantly less correct than BAL.

### 5.4.10.3 TLX

The TLX measures reflect user perception of workload and address Hypothesis 3.

Each question is a single, categorical, multi-level independent variable and a single, continuous dependent variable.

For each of the seven TLX ratings, we use the Friedman test to determine if there is a significant difference between each of the three interfaces. If the Friedman test has a significant result, we use Wilcoxon test on a pair-wise basis to identify which pairs are significant.

Only two TLX measures resulted in a significant Friedman test, Successful ( $\chi^2 = 16.89, N = 12, df = 2, p < 0.0001$ ) and Mental Activity ( $\chi^2 = 10.56, N = 12, df = 2, p < 0.01$ ). Follow up Wilcoxon tests (with Bonferroni correction) show that there are no significant pair-wise differences in Mental Activity. For the Successful measure, HEI is significantly lower than

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BAL ( $z = -2.831, p < 0.01$ ), and FBQI is significantly lower than BAL ( $z = -2.825, p < 0.01$ ), but there is not a significant difference between FBQI and HEI.

### **5.4.10.4 Rankings**

Performed a 1-Sample  $\chi^2$  test of proportions based on the #1 rankings for each item, to determine if the distribution of #1 rankings were significantly different than chance for each ranking item. The expected proportions are that each interface is ranked #1 the 33Of the four rankings (preference, ease of use, understandability, and usefulness), only Understandability is significantly different than expected. Follow-up pair-wise  $\chi^2$  testing revealed that significantly more people found BAL more understandable than FBQI ( $\chi^2 = 6.4, N = 10, df = 1, p < 0.05$ ), BAL more understandable than HEI ( $\chi^2 = 4.46, N = 11, df = 1, p < 0.05$ ), but not a significant difference between FBQI and HEI.

While the  $\chi^2$  test for usefulness did not have a significant result, it poses an interesting case as no one ranked FBQI as #1 in usefulness.

### **5.4.10.5 Useful For Goals**

At the end of the study, participants were asked which interface they thought would help them reach their health and wellness goals. Participants only chose BAL or HEI as an answer to this question, hence FBQI is not shown below. The chart below presents the answers to this question, combined with responses to the question (asked at the beginning of the study) "What are some of your health and fitness goals?". This was a multiple choice question with a write-in option.

Finally, we asked participants which one they thought would be most helpful in reaching their nutrition goals. The above chart shows the choice that participants made, separated out into the goals they reported at the start of the study. Participants selected BAL-ANCE for the goals that depend more on quantitative data (losing weight which requires counting calories, controlling portion sizes, and eating less or more), which provides more detail. Participants selected HEI for goals that are more qualitative (eat "better"). This led us to the question of whether one approach or the other reflects whether an individual's

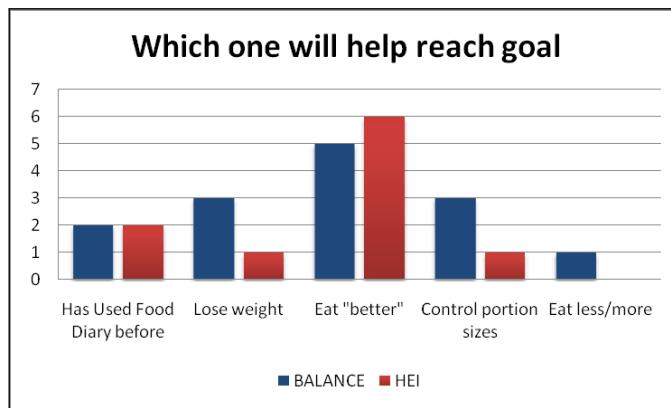


Figure 5.4: Answers to “Which interface will help you reach your goals?”

nutrition goal is quantitative or qualitative.

#### 5.4.10.6 Error Analysis

In this section, I breakdown the errors participants made in BALANCE and FBQI. The process we used was to identify all items with errors, and then characterize them.

**Most Challenging Tasks** Here, we discuss the tasks that were most challenging for participants in the different conditions. No task in the BALANCE condition was entirely wrong (all scores are above 6), and as our correctness score above reports, the most errors were made with the HEI interface.

**HEI.** Using HEI, there were two tasks that no participant entered correctly. The first was a dinner consisting of spaghetti, peas, parmesan, garlic bread, green salad, Ranch dressing. The second was a snack that consisted only of Doritos. No one entirely correctly entered three tasks: a dinner (Meatloaf, mashed potatoes, lettuce salad, Thousand Island dressing, gravy, milk) and two breakfasts: (Bagel, cream cheese, latte) and (Breakfast burrito with eggs, bacon and cheese, apple juice, banana). The meatloaf dinner was also never correctly entered in FBQI.

**BALANCE.** Participants made 23 errors in the BALANCE condition. One was due to a technology failure. The remaining 22 errors were executed by seven of the participants. The other five participants had no errors in this condition. Four people had 1 error, one

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person had 2 errors, one person had 7 errors, and one person had 9 errors.

There were two types of errors. First was a serving size error: the participant entered the wrong serving size. There were three instances of this error. All three instances consisted of the participant entering “1.xx” units (e.g., 1½units) rather than “xx” units (e.g. ½units). We believe this is due to the participant not modifying the whole number part of the default amount presented in the interface, but only modifying the partial number part of the default amount. Two of the three people who had this kind of error made no other errors.

There were 19 instances of substitution error. A substitution error is defined as when the participant chose a similar food rather than the exact food. All of these errors were the result of choosing foods that are slightly different than the target food. Examples include “Spinach leaves” rather than “Spinach Salad”, “Iceburg Lettuce” rather than “Iceburg Lettuce Leaves”, generic “Swiss Cheese” rather than “Pauly County Line Swiss Cheese”. Most participants with this kind of error only had one substitution. Two participants had 7 and 9 substitutions, respectively. These two participants could represent a user profile of someone for whom “close is good enough” holds true.

**FBQI.** Participants made 24 errors in the FBQI condition. One participant did not have any errors. Individual participants had error counts that ranged from 1-4. Three participants had 1 error; four participants had 2 errors; three participants had 3 errors; and one participant had 4 errors.

We identified four types of errors in FBQI: Over-counting (counting too many in the correct category), under-counting (counting too few in the correct category), miscategorizing (counting something that belonged in one category in a different one), and non-counting (an entire category is not counted).

There were three instances of mis-categorizing. In one case, a food that should be counted as a “Bread” was counted as a “Potato” (chocolate chip cookie). Once a “Milk” was counted as a “Cheese” (cream cheese or latte), and once a “Cheese” was counted as “Milk” (Swiss cheese).

There were three instances of under-counting. In these cases (B3, D3, B1), a category had one serving entered, rather than the expected two servings. The same participant

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counted a bagel as one bread serving, and a salad and broccoli as one vegetable serving rather than two. The last instance of under-counting was when a participant entered one serving to cover four apricots and granola with raisins.

There were 8 instances of over-counting. All of the over-counting errors were counting one more than expected.

There were 7 instances of non-counting:

- No potatoes counted for '2/3 c. mashed potatoes'
- No milk for 'latte' (2 times)
- No bread for 'Wheat Thins'
- No veggies for 'peas' and 'salad' (2 times)
- No meat for 'pepperoni'

### **5.4.10.7 Likes/Dislikes**

After completing each condition, participants were asked to list three things they liked and three things they did not like about each interface. Responses were free form. The lead researcher clustered the response. The process used was to: read through all items; identify clusters or group topics for each condition; attempted to identify similar clusters across conditions; put the raw items into the groups; collapse very similar items into a single item; count the instances of each item and each group. Because of the different nature of the index-based interfaces (HEI and FBQI) and the BALANCE interface, we were able to identify similar clusters for both HEI and FBQI, but not for BAL.

Overall, participants provided 94 (BAL=32; FBQI=34; HEI=28) items overall they liked about the interfaces, and 84 (BAL=26;FBQI=28; HEI=30) items they did not like. Of these, 50 (BAL=20; FBQI=17; HEI=13) liked items and 43(BAL=10; FBQI=14; HEI=19) disliked items were mentioned by more than 2 participants.

The clusters that emerged are presented in Table 5.5. The clusters with three or more entries are described further below.

**Food Grouping.** This cluster applied only to HEI and FBQI. It includes comments made about the food index and components. Four participants noted that they liked the

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	Dislikes	Likes	Total
<b>FBQI</b>	28	34	62
UI	2	20	22
Food Grouping	14	2	16
Portions	9	3	12
Other	3	9	12
<b>BALANCE</b>	26	32	58
Search	10	7	17
Other	3	11	14
Servings	1	7	8
Hardware	6	1	7
UI	5	2	7
Software Features	1	4	5
<b>HEI</b>	30	28	58
Food Grouping	11	12	23
Portions	13		13
Other	2	11	13
UI	4	5	9
<b>Total</b>	<b>84</b>	<b>94</b>	<b>178</b>

Table 5.5: Overview of clusters of like and dislike feedback

distinction of food group levels in HEI (the total versus whole countings), and three liked that more specific food groupings in HEI. However, they also noted that they did not like that they did not know how to categorize everything (which was not mentioned about FBQI) and that it was easy to forget to enter information about the nutrients (sodium, saturated fat, and the FAAS calories). With FBQI, participants (5) did not like that not everything could be counted (for example, salad dressing), and they did not like that it did not include other measures for tracking such as calories or fat.

**Other.** Participants (5) liked that BALANCE reported calorie counts, that it was quick to make estimates with HEI (3), and that FBQI was generally very fast (4).

**UI.** This cluster included general remarks about what people liked or did not like about the UI of the different interfaces. The only items that had 3 or more mentions were “general UI problems” with the BALANCE interface (5), and 10 participants mentioned that they liked that the FBQI interface was easy to read.

**Portions and Servings.** Participants (5) commented that with HEI, it was difficult to

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figure out portion sizes, and it required too much reading. Furthermore, it was difficult to be exact with counting the servings or amounts (8). This is similar to an item reported about FBQI, which summarized that partial servings are hard (6). Three participants noted that with FBQI, the portions were easy to estimate or calculate given the limited text and easy guidelines. Five participants liked that with BALANCE, exact amounts were easy to enter.

**Search.** Since only BALANCE had a search feature, the comments only apply to BALANCE. Four people reported that it was easy to find foods. Three people thought the partial search feature was good. Five people did not like that there were so many search results.

**Software Features.** Comments in this cluster are closely related to the UI cluster. Five people mentioned that they liked looking for an exact food in the BALANCE interface. A similar comment was considered separately because it was more specific: the participant liked that they did not have to think about what was in a food, they just had to find the food. One person also commented that they did not like that the BALANCE condition did not report anything other than calories.

### **5.4.11 Discussion.**

This study was designed to investigate the tradeoffs between three different approaches to food journaling. The three interfaces are on a continuum: the BALANCE interface required a high level of detail; the FBQI required a low level of detail; and the HEI interface was in the middle. We expect that entering high level of detail on a mobile phone to be more time consuming, while less detail or more summarization would require less time. This was shown to be true: the time spent entering food in the BALANCE interface required significantly more time than HEI, which required significantly more time than FBQI.

However, an interface that is faster does not provide value if one is unable to capture the correct data, or perform the task correctly. HEI and FBQI, requiring less detail, require the user to perform some summarization and mental coding as part of the task. One research aim was to determine how difficult or time consuming this process would be. That is, one

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could imagine that for the index conditions, any time saved actually using the interface would be spent thinking; the calculation is offloaded from the device to the human. We found that not to be the case (the time savings from reducing interaction with the device was much greater than any increase in thinking time, although we were unable to measure directly). We did find that the greater dependency on human processing impacted the correctness of the records captured in each condition, and it appears that participants noticed this, as reflected in the reported Successful and Mental Activity TLX measures. The HEI interface, which can be characterized as the most complicated and challenging of the three, resulted in significantly more errors than either BALANCE or FBQI.

The TLX surveys were administered for each condition to collect information about the participant's perceptions of the different interfaces. While our data shows the differences in time and correctness, user perception of the interfaces is an important part of whether the user will adopt a particular technology, and their level of comfort in continuing to use it. A user will not continue to use a technology if they are not convinced that it does the job, or if it requires too much work. The TLX responses show significant differences in participant reaction to the amount of mental activity the interfaces required, and how successful they felt in completing the tasks with the different interfaces. As mentioned previously, we expected that FBQI and HEI would require more cognitive activity/processing than the BALANCE condition, and this was evident in the Mental Activity measure on the TLX surveys. There was not a significant difference between reported Mental Activity required for FBQI and HEI, but there were significant differences between FBQI-BALANCE and HEI-BALANCE. The results are similar for Success. Participants reported feeling more successful with BALANCE, but not a significantly different amount of success between FBQI and HEI.

Participants also ranked the different interfaces, in terms of overall preference, understandability, ease of use, and usefulness. If the interfaces were comparable, we would expect that each interface would be ranked #1 similar number of times. The only item with a significant finding was that of understandability. Based on these rankings, participants found BALANCE significantly more understandable than HEI and FBQI, while there was not a significant difference between HEI and FBQI.

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Participants identified which interface they thought would help them reach their health and wellness goals. No participants thought that the FBQI interface would help them reach their goals. Based on the more detailed feedback reported in the likes and dislikes question, it seems that the FBQI simply does not account enough for foods people should restrict, and that it would be too easy to “fool the system”, by specifically eating foods that can not be counted with FBQI (such as alcohol or salad dressings). Additionally, for people who have a more general goal of “eating better”, HEI appears to be an attractive option. For people with more quantitative goals (losing weight, controlling portion sizes), the traditional BALANCE approach is still considered.

People can self-monitor dietary intake with almost any food diary for three days. However, we are really interested in whether, with a bit of exposure, people believe that they will be able to continue with using an interface long enough to make a difference. The results are broken up based on whether the participant reported using a food diary before. This is because using a food diary consistently is a difficult task, and if you have done it before you may have better insight into your ability to use a new food diary for an extended period of time. I think it is interesting that of the people who have used a food diary before, they seem to believe they would be more likely to use BALANCE than the other interfaces. This could be explained because people who have used food diaries before have more quantitative health and wellness goals and do not believe FBQI or HEI will help them reach their goals.

The items that participants provided about what they liked and did not like for each interface are valuable, as this is information that they volunteer. Most of the feedback consists of comments that are only applicable to a single condition or interface. Feedback on the BALANCE condition was expected, for the most part. The comments made are similar to comments made about BALANCE previously, and about traditional food journals in general. This included things like too many search results, which are difficult to sift through. However, items that are usually reported as a “dislike” in a similar tool (or are not mentioned) are reported as a “like” in this study, such as comments that foods were easy to find, and exact amounts are easy to enter. These comments could be in response to the participant experiencing HEI or FBQI first. After the reported uncertainty of HEI and

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FBQI, the certainty of BALANCE might be particularly compelling. It also reflects the lab study constraint of only including foods that existed in the BALANCE database. Using BALANCE in the real world usually results in more database misses, requiring the user to either enter the information manually (which is time consuming), or to not enter the information.

Participants provided many comments about the food groupings for HEI and FBQI. Participants specifically did not like that FBQI did not provide a means to categorize every food item, and there was no notion of calories or fat, or other nutrients to track. With HEI, participants did not like that they did not know how to categorize everything (and forgot to enter the nutrients), but appreciated the more specific food groups and the nutrients that people are frequently concerned about restricting (sugar, fat, salt). In both HEI and FBQI, participants seemed to like the overview provided and appreciated that the input was fast, however the portion or serving sizes was challenging and could be improved.

##### **5.4.12 Design Recommendations for Index-Based Food Journal**

Overall, this lab study shows that there could be value in designing a new index-based food journal.

###### **5.4.12.1 Include Attainment As Well As Moderation Components**

Participants preference for HEI over FBQI and the feedback suggested that people understand that it is not enough to just count the food groups consumed. Avoiding or restricting some nutrients and foods, like sugar and alcohol, have major impact on one's health status. People want to be able to account for when they do consume those items.

###### **5.4.12.2 Provide A Tool To Count Specific Foods**

People are not experts in either nutrition or food indexes. To support people in counting progress toward a food index, they need tools to help them determine how to count a food.

#### 5.4.12.3 Identify What Was Entered

One problem that was identified was that people were sometimes uncertain if they had already entered a given food, particularly when entering tasks later in a condition (that is, the interface reflected that some foods had already been entered “earlier in the day”).

### 5.5 Summary

In this chapter I identified research questions reflecting interest in further study of a mobile-phone based food diary that was not based on a food database. I identified and provided a review of food indexes, and described how a food diary based on a food index could provide value to users, particularly by being able to support goal setting and tending. I then described a lab-based study that compared food diaries based on food indexes (HEI and FBQI) to a more traditional food database based food diary (BALANCE). The lab study showed that compared to the food database approach, the food index-based approaches did take less time and mental effort to enter food items, and confirmed our intuition that they resulted in more errors. User feedback validated that one of the food index-based food diaries had perceived value, as well as the traditional approach. The study also confirmed that using a food diary in a controlled lab setting is not necessarily consistent with experiences *in situ*: user feedback also valued BALANCE, which is inconsistent with previous *in situ* studies. This allows us to conclude that while there could be value of an HEI-based mobile phone food diary in a real-world context, further studies need to be done.

## *5.5. SUMMARY*

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# 6

## The Design Of a POND: Pattern-Oriented Nutrition Diary

In this chapter, I describe the design of POND, the Pattern-Oriented Nutrition Diary. In earlier chapters, I described the challenges and barriers that people face when employing a traditional approach to journaling what they eat, either on paper, a website or software, or on a mobile device such as PDA or cell phone. Next, I characterized tradeoffs and preferences between traditional food journaling and index-based food journaling on mobile phones. Initial research indicated there could be a benefit for some people and some situations, although the lab-based research is unable to reflect the context and constraints that people encounter in the real world. In this chapter, I refer to the design considerations outlined in the previous chapter, identify specific research aims for evaluation of a food index-based food diary *in situ*, and document the design of a mobile phone food index-

## *6.1. INTRODUCTION*

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based food diary.

### **6.1 Introduction**

The Pattern-Oriented Nutrition Diary (POND) project is the result of the BALANCE studies and in-lab study. The BALANCE studies revealed that looking food up in the database was a challenge. The in-lab study revealed that the HEI-based food diary is generally preferable to the FBQI-based food diary due to the quality of information that it captured.

The goal of POND was to design and build a food diary that incorporated what we learned in the previous studies, and investigate whether experience the findings were applicable in the real world (as opposed to a lab setting). Feedback from BALANCE participants revealed that they felt BALANCE required too much time and effort to make entries. Participants indicated they would be willing to sacrifice detail in exchange for an interface that was quicker and easier to use. The previous comparison study showed that while the FBQI-based interface was quickest and easiest to use, it did not provide enough value in terms of the data or amount of detail it collected. Participants were divided on whether they preferred the detail-oriented BALANCE interface, or the quicker-yet-still-valuable HEI interface to address their personal eating goals.

POND was designed to reflect the HEI, which was the preferred index-based food diary in the lab study. Initial user testing showed that people felt too uncomfortable entering the pattern directly for combination or prepared foods, so I added a food lookup feature. This used the NutritionistPro Knowledge Base<sup>1</sup> database, which is the same as in the BALANCE and comparison study. The lookup capability was designed to be an alternate entry strategy.

The combination of a food index and database to inform the design of a food diary is supported by [Burke et al., 2009]. Burke interviewed participants in a behavioral weight-loss program and found varying levels of engagement with the self-monitoring process: some participants were highly engaged, while others nominally followed the procedure,

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<sup>1</sup>Nutritionist Pro Knowledge Base database; Created by Axxya Systems;  
<http://www.nutritionistpro.com/knowledgebase.php>. Last accessed September 22, 2012.

and others were inconsistent. It is unclear whether this characterization is due to internal or external factors. However, a self-monitoring tool that allows for varying levels of engagement could support a wider range of users.

In the rest of this chapter, I first discuss the research questions we were looking to answer, then address overarching design decisions that impacted the design of POND, and conclude with the final design of the POND app.

## 6.2 Research Aims

The goal of this project was to design and build a food diary that incorporated what we learned in the previous studies, and to investigate whether experience the findings were applicable *in situ*.

Our primary research question was:

- What will be some patterns of use of the POND food diary over a three week period?

Additionally, we had some secondary research questions:

- Will the ability to customize the interface to minimize it to the things a user cares about (“goals”) impact the use of the tool?
- If the default interface contains items in which users do not express interest, and are just taking more time for entry, do they get rid of them and keep tracking?
- Will users prefer to use the approach that takes less time, or captures more detail?
- How will users use the food database lookup feature?
  - When will they use the food database rather than the +1 buttons?
  - What food items will they use the food database for?

## 6.3 Overarching Design Considerations

The instruments designed for the in-lab study comparing BALANCE, HEI, and FBQI provided insight as to interface and interaction concerns, as well as ideas for how to make a

### *6.3. OVERARCHING DESIGN CONSIDERATIONS*

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food index-based food diary more useful. Here, I outline the concerns and suggestions, and identify how they impacted the design of POND.

#### **6.3.1 Potential Challenge: Combining analysis and entry on one page**

One key design strategy embodied in the HEI and FBQI interfaces was combining record entry and daily analysis primarily on one screen. This differs from the BALANCE interface, which showed an analysis or overview on one screen, and opened a series of screens for creating an entry. Since the goal of this project is to minimize the time required for capturing a food entry, I identified two challenges related to the combining of analysis and entry on one page to reduce the entry time. The first is whether to allow the user to directly modify the analysis or visualization to indicate new entries. The second challenge addresses how to support adding food eaten earlier, as opposed to creating an entry of food eaten right now.

##### **6.3.1.1 Direct Manipulation Versus “Fill Out A Form” Entry**

Initial visions (and the in-lab research prototypes) were informed by the design goal of reducing the number of steps to create an entry. An extreme vision might consist of a visualization that shows the current overview for the day. The user can drag a finger across the visualization to change component values, creating a new food entry. A concern about this approach is the risk of accidentally creating entries that are difficult to detect and correct. This approach may be more difficult to learn. Additionally, the visualization needs to be able to adjust to the goal components and values changing over time. This can impact understandability and interactivity of the visualization.

An alternative to direct manipulation is used by BALANCE. To create an entry with BALANCE, a new screen displays a form to be filled out. After each item is completed, the entry is confirmed. This approach is more guided, but is also more time consuming. However, a familiar mobile phone interaction pattern is to direct users through a process of entering information. This kind of flow usually consists of one piece of information (or a couple pieces of small, related information) per screen. Traditional design rationale is

to favor an established convention (form-based flow), but not all conventions apply to all domains.

In the POND prototype, we compromised by representing each component with a widget. Each component widget included a title, description, feedback, and entry button. The component widgets were presented in a vertical list that could extend past the visible area of the screen. The vertical list is a familiar mobile design pattern. Additional components can be added to the list as desired.

#### **6.3.1.2 Quick-Add Versus Add-Earlier-Entry**

While POND is being designed in the hopes that people will use it regularly throughout the day, the fact is that sometimes, people will forget to make an entry. This was not a problem in the BALANCE software, as the add-entry screen included a widget to specify what time the food was eaten. Therefore, there was no difference in process to add a food either for now or earlier, but if a user was adding a food for earlier they would change that value. As mentioned in the previous section, for POND, we wanted to streamline the entry process as much as possible, and that meant not opening another window for creating entries. While this did streamline an entry for now, it required lengthening the process of making an entry for earlier.

#### **6.3.2 Potential Challenge: Counting Components**

The in-lab study found that people were uncertain about how to count a food using the food index. Participants wanted more guidance on how to break a food into its index components. Their feedback indicated that greater confidence in the counting of the components improved the utility of the self-monitoring strategy. Creating food entries consists of identifying the components of a food, the relative proportions of multiple components in a food, and then how much of the food was eaten. Related to how much of a food was eaten is how to account for partial portions or small amounts (such as a slice of tomato on a salad).

### ***6.3. OVERARCHING DESIGN CONSIDERATIONS***

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#### **6.3.2.1 Looking Up Food Entries**

The POND prototype originally included explanations and examples for each of the components. However, initial feedback indicated that people wanted some more certainty. This appeared to be particularly important when people were just becoming familiar with the counting approach. Therefore, we added a food lookup feature to the POND prototype. The lookup feature does not provide a perfect component profile for each food, due to the limitations of the database, but does provide some guidance.

#### **6.3.2.2 Serving Sizes**

We addressed the serving size problem by referring to consumer-oriented literature developed by the USDA to educate consumers about the healthy eating guidelines [US Health and Human Services and US Department of Agriculture, 2005b]. The serving size amount descriptions included a common physical item for size comparison. Amounts for food group items were based on typical serving sizes. The typical serving size for fruits and vegetables was  $\frac{1}{2}$ cup. This was explained in terms of common servings. For example, “2 blocks is a serving the size of your fist or a small apple”. The typical serving size for grains was also  $\frac{1}{2}$ cup. Two blocks represent a serving the size of a baseball, a slice of bread, or a pancake the size of a DVD. Users could also directly enter half of a serving.

#### **6.3.3 Potential Challenge: Not knowing if a food has been entered**

With the HEI and FBQI interfaces, observations of and feedback from participants in the in-lab study revealed occasional confusion over whether a food item was entered or not.

##### **6.3.3.1 Time- And Location-Based Analysis**

To address this problem we considered how we can automatically capture some context about the entry, and use that to help people decide if they entered either something they just ate, or something from a few hours ago. Entries can be tagged time and location automatically. We designed the interface to support tracking entries with user-defined

locations. When the location can not be detected, all known (user-defined) locations can be chosen from a drop-down list on the front screen.

### **6.3.3.2 Grouping Entries**

Another way we attempted to provide feedback about what entries have been made is to group entries together via time. Entries made within a certain amount of time (e.g. 45 seconds) were grouped together, and shown on the “daily history” list together, as opposed to separately. This is discussed in further detail later.

### **6.3.3.3 Shortcuts and Combinations**

Finally, we designed a “favorites” feature. This allowed users to define an entry themselves. A combination consists of a name (such as “Turkey Sandwich”), and the components that create it (2 whole grains, 3 proteins, 1 dairy, 1 sat fat, 3 sodium). When this is used for an entry, the name of the food is shown in the daily history list, which is described further later.

## **6.4 Design**

Here, I present the design of POND. For each common task, I show related screens and describe how a user executes the task. I also explain design decisions made for each screen and task. POND was designed primarily for use on Android devices.

The primary goal informing the design of the POND app was to minimize the amount of time it took to make an entry. Preference was given to design decisions that we believed were consistent with minimizing initial food entry creation.

### **6.4.1 Home Screen**

The first screen the user sees when they launch POND is the home screen, shown in Figure 6.1. This screen was designed to prioritize quick entry and quick analysis of the current progress toward goals for the day. Each row represents a recommendation from the 12 USDA Dietary Guidelines described above. Users touch the +1 buttons on the right side

## 6.4. DESIGN

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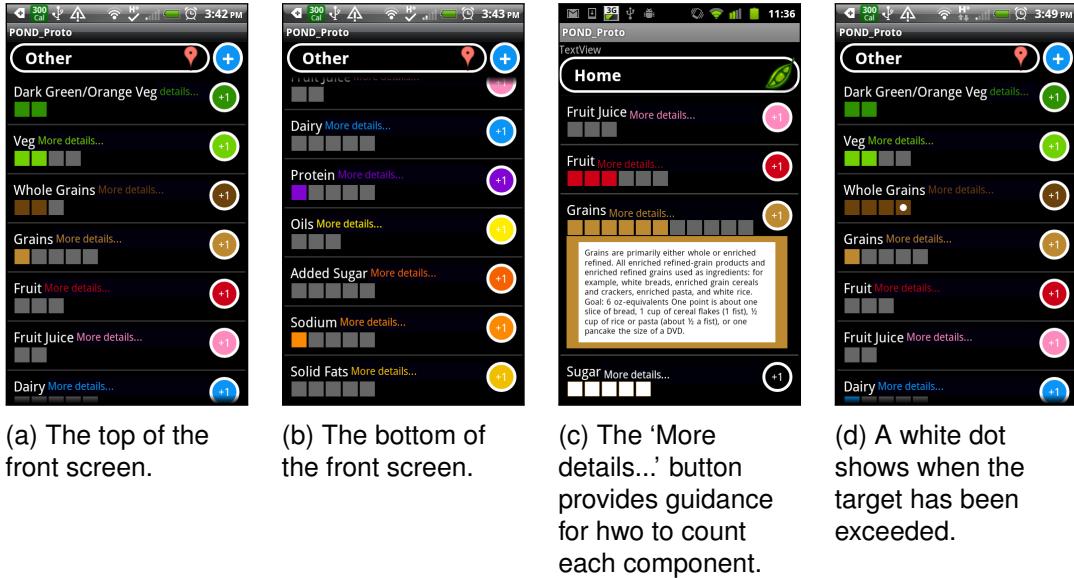


Figure 6.1: POND Home Screen

of the screen to quickly indicate a portion eaten, or long-press the +1 buttons to indicate a  $\frac{1}{2}$  portion eaten. The colored links next to the component name expand (6.1c) to show more detailed information about that component, including how much to count as one block. This information was adapted from the USDA 2010 Dietary Guidelines.

Location is indicated in a drop-down list across the top. The list is populated with user-defined locations. Users can name and save their current location by selecting a menu option. Named locations are intended to be medium-grained places where the user spends much of their time, such as home or work. When POND is launched, it uses the location provided by the phone to identify if the user is in a named location. This named location is automatically selected in the location drop-down list. If the location is unknown, "Other" is selected. The drop-down list is used to allow the user to quickly modify the location if automatic detection is wrong.

There are two ways the user can enter food from the food database. The blue plus button at the top of the home screen can be used to add a food by browsing the database or a list of recently used foods. The device search button launches a search widget that queries the food database. Both approaches launch the food list screen described later.

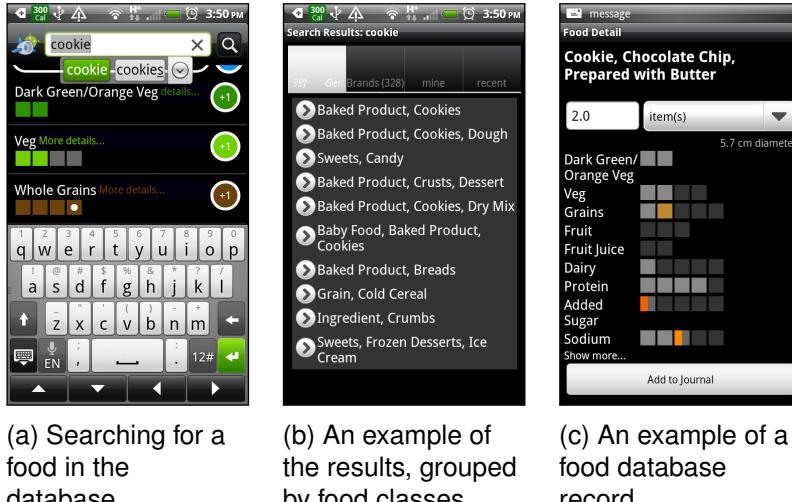


Figure 6.2: The POND database and food search.

For the components, dark gray boxes indicate the current daily goal for that component. A colored box indicates how many portions of that component have been consumed. A colored block with a white dot indicates that the user has consumed more than the goal number of blocks. The use of a white dot in the block was chosen in order to provide non-judgmental feedback about the number of servings consumed.

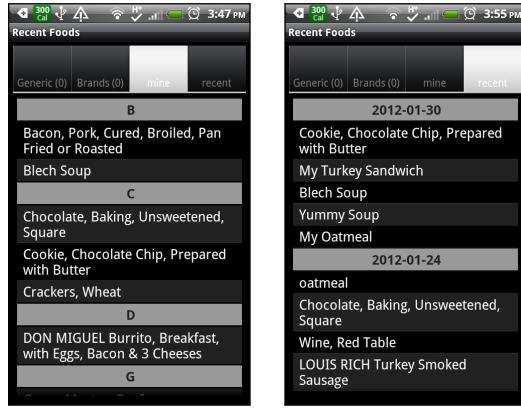
#### 6.4.2 Creating Entries From Database Foods

Users create entries from foods in the database by either querying the database or browsing through previously used entries. Figure 6.2 depicts the food query process. The device search button can be used to launch the search widget from either the home screen or the daily entries screen (6.2a). The search term is used for a full-text search in the food name and manufacturer fields of the database.

Results are presented in two tabs, "Generic" and "Brand" (Figure 6.2b). This is the terminology used by the database. For each tab, if there are fewer than 100 results, the results are presented alphabetically. If there are more results, the results are presented in a two-level list. In the Generic tab, foods are grouped by "Food Class". The food classes are ordered such that the class with the most entries is at the top of the screen. Foods are

## 6.4. DESIGN

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(a) Searching for a food in the database.  
(b) An example of the results, grouped by food classes.

Figure 6.3: The POND database and food search.

ordered alphabetically within each food class. In the Brand tab, foods are first grouped by manufacturer. Manufacturers are ordered alphabetically. From this page, the user can either refine the search, or start a new search.

Once a food has been found and selected, the Food Detail screen shows more information about that food (Figure 6.2c). It allows the user to change the serving size amount. The screen also displays the specified amount of the chosen food will impact daily component counts if eaten. The dark gray blocks represent the goal for that component, while the light gray blocks show what has already been consumed today. The colored boxes show the components due to the chosen food.

This screen contains two more tabs which list previously used foods. Both tabs list all foods that have been used before, either from the food database or created by the user. The “Mine” tab lists the foods alphabetically. The “Recent” tab lists the foods by date, with most recently used at the top of the list.

### 6.4.3 Creating Custom Food Entries

Figure 6.4a shows a screen that supports the creation of shortcuts for food combinations that people frequently eat. The combination entries are a combination of “+1”s, as opposed

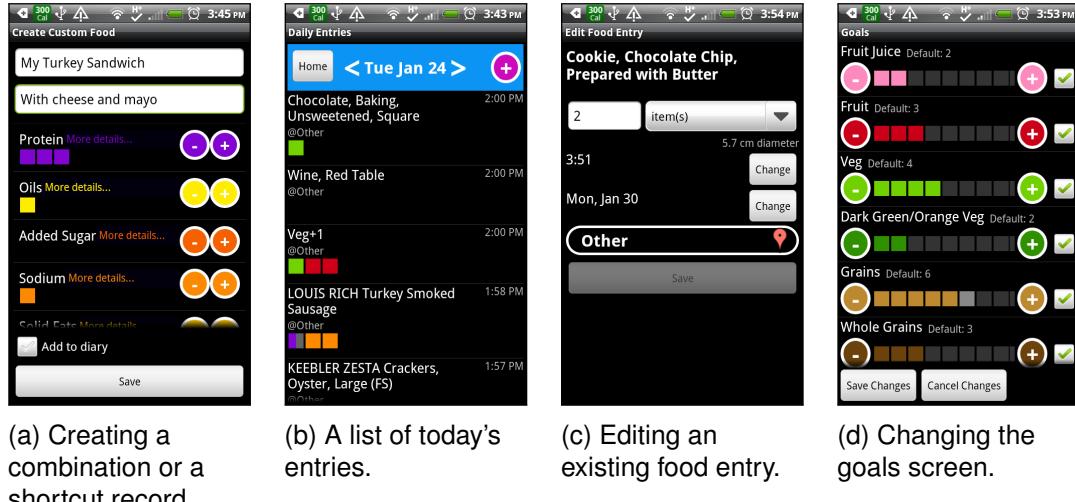


Figure 6.4: Other POND screens

to a combination of foods from the database. It is intended to be a single serving, or an amount eaten at one time. This is in contrast to defining a recipe, which is divided into multiple servings. The example in the screenshot is a turkey sandwich. The top of the screen shows some protein blocks for the meat. Further down the screen are two whole grains (for the bread), some dairy, some solid fat for the cheese, some oil for the mayo, and some sodium for the bread, meat, and cheese. When the user then eats this turkey sandwich again, they can find it in the Mine tab. The user-provided, personalized name ("My Turkey Sandwich") is then shown in the list of entries made today as a named group of blocks. If the *Add to Diary* checkbox is checked, the *Save* button creates a new entry for both current and future use. When the *Add to Diary* checkbox is not checked, it is saved it for future use.

#### 6.4.4 Reviewing Daily Entries

The Daily Entries screen (Figure 6.4b) shows a list of the entries made over time so far today. The entries are reversed-ordered, with the most recent entry at the top. Here, users can distinguish between which items were looked up in the database versus which items were added with a +1. +1 entries made very close together in time (15 seconds) are grouped

## *6.4. DESIGN*

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together into one item, as they are usually connected. The entry is also tagged with the location.

The purple + button allows users to go directly to the “Add Food” Screen, while the device search button opens up a search widget to allow the user to add a new item to the showing day’s list. Different days can be viewed by using the arrow buttons at the top of the screen, or by swiping left or right. Touching one of the entries opens up the appropriate “Entry Edit” screen and allows just that entry to be edited. A long touch on one entry shows a menu which allows the chosen single entry to be either edited or deleted.

### **6.4.5 Editing Entries**

There are two different Entry Edit screens: one for Food entries (from the database), and one for +1 or custom food entries (which are treated like a combination of +1 entries). For food entries, the user can change the amount, time, date, and location of the item. For +1 or custom food entries, the user can edit the name (or give it a new, meaningful name); add, edit, or delete the components; or change the time, date and location of the entry.

### **6.4.6 Changing Goals**

The change goals screen (Figure 6.4d) can be used to change which components appear on the front screen, as well as how many blocks appear for each component. The checkboxes on the right are checked “on” to appear on the front screen, and the +/- buttons are used to indicate how many blocks should be provided for each component. Default USDA suggestions are indicated. When the number of blocks for an item is less than the suggested number of blocks for a component, light gray blocks indicate how many more are needed to get to that amount. When more blocks are selected than the suggestion, the blocks have a white circle inside.

### **6.4.7 Reviewing Weekly Progress**

The weekly summary screen provides feedback about progress toward the goals throughout the week (Figure 6.5). The blue bars show what that goal was for that day. This could

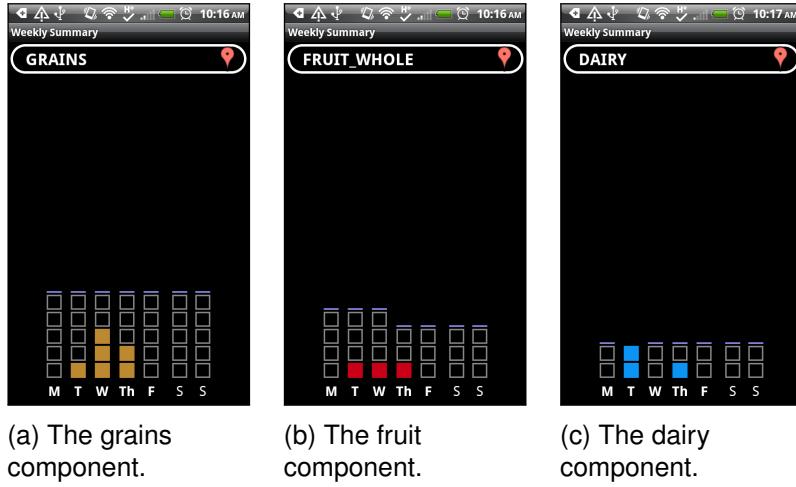


Figure 6.5: POND weekly overview

change over the course of a week. Empty boxes show that a goal has not been attained. Boxes extending past the bar show a goal that has been passed. The screen displays one component. The displayed component can be changed by using the drop-down list at the top of the screen, or swiping up and down on the screen. Different weeks can be chosen via a menu item, or swiping left and right on the screen.

## 6.5 Summary

In this chapter, I provided a detailed description of the POND food diary. The POND food diary was designed to require minimal resources in terms of time and decision making to capture dietary intake information. The design of POND was informed by user feedback and studies discussed earlier in this dissertation. POND was designed to represent the HEI, which received favorable feedback in earlier studies, and informed by information from the USDA's Healthy Eating Guidelines. The design of a food lookup feature was informed by experiences with the BALANCE studies. Reflections on the design are discussed in more detail in the next chapter.

## *6.5. SUMMARY*

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# 7

## POND Evaluation

The previous in-lab study (the comparison between BAL-HEI-FBQI) clarified that some people preferred the detailed calorie lookup, while some were satisfied with the checkbox approach, which was in contrast to what I expected based on the BALANCE study, where many participants reported frustration with the BALANCE tool. This chapter presents the results of an evaluation of the POND tool described in the previous chapter. The reported results focus on what people entered, when they made entries and how it changed over time, and how they chose to create the entries.

### 7.1 Introduction

The POND evaluation included both in-lab and *in situ* components. The in-lab study was similar in design to the BAL-HEI-FBQI study presented in Chapter A. Participants came to the lab, used the POND tool to make food entries specified on a card, then asked for

## **7.2. EVALUATION ORGANIZATION**

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feedback about the tool and experience. The in-lab portion was piloted, and the software was modified based on feedback from participants. The *in situ* component had participants install the POND software on their personal phone, and to use it to track what they eat for three weeks.

I present the two studies in two sections: The first reflects the in-lab portion of the studies, and the second focuses on the real-world portion of the study. The in-lab portion of the study were the same (same data collected, same tasks used), with minor changes to the software between phases.

One area of interest in this study was how people would personalize the POND software to make it personally interesting, important, and not too challenging. The in-lab portion of the study consisted of conditions that varied the number of components on the screen. This was to introduce participants to the idea of varying complexity and the customization. I believed that participants who could personalize the tool to something that fit within their goals, motivation and resources would be more satisfied with using the POND software to monitor their food intake.

## **7.2 Evaluation Organization**

This evaluation consisted of an in-lab pilot, then an in-lab and in situ data collection. The software was slightly modified based on feedback from the participants in the pilot, then used for the rest of the study. The second part of the study consisted of participants performing the same tasks as in the pilot in-lab study, followed by them tracking their dietary intake using POND on their personal mobile phone.

I report and discuss the data in terms of the in-lab portion and the in situ data. When discussing the in-lab data, I treat the pilot and final data in the same way.

First, I describe the in-lab part of the study. Then, I describe the data collected, report the results and discuss. Next, I describe the in situ part of the study, the data collected in situ, report the results and discuss. Then, I discuss overall, addressing anything that is related between the in-lab and in situ portions.

## 7.3 In-Lab Evaluation

The purpose of the in-lab evaluation was to collect data to characterize how people use the POND software to enter known food tasks.

There were two primary goals with this in-lab study. The first goal was to collect data to characterize what kinds of errors people made when entering known food into the software. This addresses the usability of POND. To address this goal, I asked participants to enter given foods into the software. The second goal was to collect formative feedback on the concept of using POND to support the setting and monitoring of small goals. To address this goal, I changed the target goals throughout the study.

### 7.3.1 Experiment Design

This in-lab evaluation is a single-factor, multilevel, within-subjects design. The single factor is the number of components enabled in the POND interface. There are four levels: Small (2 components), Medium (5 components), Large (9 components) and Full (all 13 components). The components for each condition were chosen randomly (without replacement) for each participant at the start of the study. All components were used at least once. Condition order was counterbalanced, and tasks were always presented in the same order. All of the target amounts for each component were calculated by the HEI-05 recommendations for an adult with a target calorie intake of 2000 calories.

#### 7.3.1.1 Participants

For the pilot study, 12 participants (6 male, 6 female) were recruited from email lists for a major university. All participants were students or instructors in a technology-centric field (Computer Science & Engineering, Informatics, and Human-Centered Design & Engineering). All reported using a cell phone several times a day, and all but one reported entering text on their cell phone several times per day (the other one reported entering text on their cell phone 1-2 times per day). All participants owned smartphones, 2 participants owned smartphones that did not have a touch-screen and 4 owned smartphones with QWERTY

### **7.3. IN-LAB EVALUATION**

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keyboards. 4 reported using a food diary previously. Of the 4, all had used a food diary on a smartphone, while one of those 4 had also used paper and either software or a website. When asked about health concerns and goals, 11 people indicated that they are interested in “eating better”, 6 are interested in losing weight, 2 want to better control portion sizes, and 2 are interested in eating less or more. Other answers volunteered included “Eating fewer processed foods”, and “Eating only healthy/no junk food”. When asked to rate on a scale of 1-4 (very knowledgeable) how knowledgeable they are about food and nutrition (“including nutrients (fat, carbohydrates, fiber, vitamins, etc) and/or ingredients”), 5 reported themselves as a 2, 6 reported themselves as a 3, and 1 reported themselves as 4, or that they “are expert/have spent much time understanding nutritional aspects of food.” Participants in the pilot study were compensated for their time with a \$10 Amazon gift certificate.

22 people participated in the main study, 17 female and 5 male. Ages ranged from 21-64, and occupations varied. All participants reported using their cell phone several times a day, and all except 1 reported entering text on their cell phones several times a day (the remaining one entered text on their cell phones 1-2 times daily). All participants owned cell phones with touch screens, and 2 owned phones with an additional QWERTY keyboard. 19 participants reported that one of their health goals is to “Eat better”, while 8 reported wanting to lose weight, 8 wanted to better control portion sizes, and 4 wanted to eat less or more. 6 people reported never tracking their food intake before, while 7 had used a smart phone, 8 had used a website, 8 had used paper, and 1 used some other software on their computer. 7 reported themselves as very knowledgeable about food and nutrition, 10 as fairly knowledgeable, 4 as not so knowledgeable, and 1 as fairly uneducated about food and nutrition.

Participants were recruited from the community via craigslist posting, a recruitment request sent to a local mom email list, posters in the neighborhoods at cafes, bookstores, and athletic shops, as well as university email lists at the university that were different than the ones used in previous studies. The recruitment advertisement asked for people who were able and willing to journal their food for three weeks. Participants were compensated \$125 for their complete participation in the study. Participants self-reported that they had no

medical concerns that impacted their food choices, and owned their own Android devices.

Four participants completed the in-lab part, but did not complete the in situ part of the study. Of the four, two were unable to install the software on their personal devices, and two chose not to continue the study.

#### 7.3.1.2 Procedure

After completing the informed consent, participants were asked to complete a questionnaire to report demographics and background information, such as familiarity with mobile phones (including text entry), experience with using food diaries on different platforms (paper, web, mobile phone), and general health and wellness goals.

Participants were briefed on the concept and benefits of a dietary pattern, and informed about the HEI-05 and all of the individual components. This information was similar to the details provided in the POND app (via the “More details...” button), but more detailed. A printed version was provided for reference. The app was explained to the participant, and some example entries were made. The participant was given time to use the app individually and ask any questions.

The study consisted of 4 series of 5 tasks: 4 conditions with 5 tasks in each condition. Each condition represented roughly 1 day of food intake, with each task representing the content of 1 meal. Each task was presented on a card, with a single food on each line, and the amount printed below. Task cards had printed IDs, and the software was modified to ensure that input aligned with the correct task. For each task, participants were instructed to start the app and enter the food as desired (using either the +1 buttons or the database lookup), and hit the back button to exit the app when all foods on the card were entered. At the end of each condition, participants completed a questionnaire that included TLX measures.

Participants were asked to tell the administrator if they made a mistake, but not to fix it. The app was designed such that entering was very easy, but fixing a mistake took longer. For this study, I wanted to be able to capture the entry time not confounded by edit time. This approach allowed us to capture the distinction between an error the participant knew

### 7.3. IN-LAB EVALUATION

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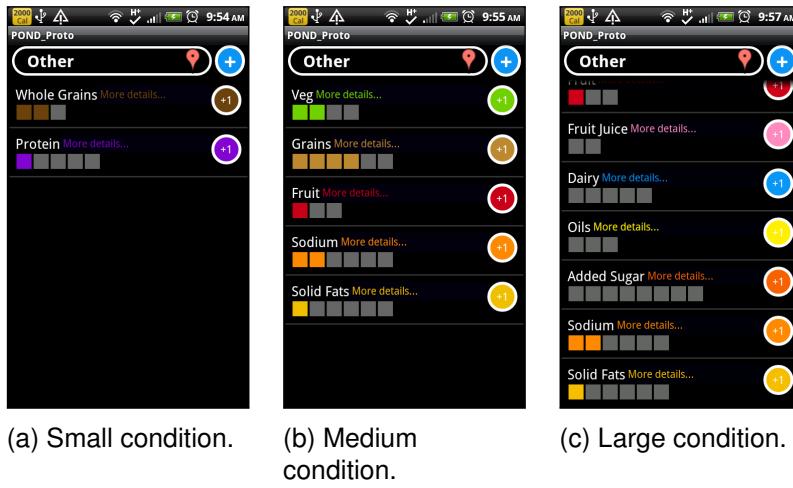


Figure 7.1: POND Home screens for each condition

they made, and errors they were unaware of.

At the end of all four conditions, participants completed a questionnaire that focused on overall feedback about the software.

#### 7.3.1.3 Tasks

The tasks were the same as developed for the in-lab study reported in Chapter A. However, the tasks were developed using an earlier version of the food database (Nutritionist Pro Knowledge Base, Version 4.2) than was used for the POND app (Nutritionist Pro Knowledge Base, Version 4.4). One major modification from Version 4.2 to Version 4.3 was the “Food Naming System”. New food names had a different ordering strategy than the previous one. An example is in Version 4.2, example food entries are “Mashed Potatoes” and “Reduced Fat Milk, 2%”, while corresponding entries in Version 4.3 (and later) are “Potatoes, Mashed” and “Milk, Reduced Fat 2 %”. The task cards had the Version 4.2 food names, so participants were looking for “Mashed Potatoes”, but had to choose “Potatoes, Mashed”. This could arguably more closely reflect a real world experience, where the individual needs to generate a query term.

### 7.3.2 Measures and Data Collected

As discussed in the previous chapter, the goal for this evaluation was to identify the patterns of use of the POND food diary *in situ*. Experience with BALANCE and previous work suggested three weeks is a long time to journal food intake. POND was designed to require less time and effort to journal food intake. How long will users be able to continue journaling when using the POND software?

Other questions of interest included both usability and usefulness topics:

- Will the ability to customize the interface to minimize it to the things a user cares about (“goals”) impact the use of the tool?
- If the default interface contains items in which users do not express interest, and are just taking more time for entry, do they get rid of them and keep tracking?
- Will users prefer to use the approach that takes less time, or captures more detail?
- How will users use the food database lookup feature?
- When will they use the food database rather than the +1 buttons?
- What food items will they use the food database for?

To address these questions, I collected the following data and measures:

1. **Entry Strategy.** How a task was entered: with just +1 buttons, just lookup, or a mix of the two.
2. **Correctness/Errors.** A score from 0-2 representing the correctness the task entry.
3. **Timing/duration.** Time spent in each condition.
4. **TLX.** TLX [Hart, 2006] measures reported after each condition.
5. **Likes/dislikes** List of self-generated features liked and not liked about each condition.
6. **Search terms.** A list of the searches executed for each task (food item).
7. **Final questionnaire.** Includes questions about how likely the participant is to use the app for a given length of time, and how interesting and useful each individual component is. The entire questionnaire is documented in the Appendix.

## 7.3. IN-LAB EVALUATION

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### 7.3.2.1 Study Design Limitations

As noted in Chapter 3, the process of using written food names as tasks has the potential to include foods participants may not be familiar with. This is addressed by asking participants to report their familiarity with the foods at the end of each condition. The scripted nature of the study prevented participants from exploring features of the software and becoming more familiar with it. As with most food diaries, POND is designed to be quicker and easier over an extended period of use, thus in-lab studies may reflect the novice effect. Finally, all food diaries evaluated in a lab setting do not reflect the reality that situation and context matter when using a food diary in situ.

### 7.3.3 In-Lab Results

#### 7.3.3.1 Entry Strategy

Entry strategy reflects how participants made entries. In general, I were interested in when people made the decision to use the +1 buttons or the lookup feature to enter a food. I found participants tended to have their preference for using +1 or lookup. The results are summarized in 7.1.

In the table, “Only +1” and “Only Lookup” mean that the participant only used the +1 or lookup entry process, respectively. The “Mostly” designation reflects that a majority of the entries were made with the specified process. “Mix” did not reflect a strong preference for either strategy.

	Num Pilot Ppts	Num Ppts	Total
Only +1	3 (25%)	7 (29%)	10 (28%)
Mostly +1	1 (8%)	3 (13%)	4 (11%)
Mix	1 (8%)	10 (42%)	11 (31%)
Mostly Lookup	4 (33%)	4 (17%)	8 (22%)
Only Lookup	3 (25%)	0 (0%)	3 (8%)
Total	12 (100%)	24 (100%)	36 (100%)

Table 7.1: Entry Strategy

**Pilot data:** Three participants chose to use the +1 buttons exclusively, while one other

participant used the +1 buttons primarily, but tried the lookup function a bit. Of the remaining 8 participants, 4 mostly used the lookup function, 1 used lookup or a combination exclusively, and the last 3 used a combination of the three strategies.

**Full data:** Seven participants chose to use the +1 buttons exclusively, while three other participants used the +1 buttons primarily, but tried the lookup function a bit. Of the remaining 14 participants, 4 mostly used the lookup function, none used lookup or a combination exclusively, and the last 10 used a combination of the three strategies.

### 7.3.3.2 Search Terms

Query term	Number of people who used it
doritos	11
baking chocolate	10
egg	10
wheat thins	9
fiber one	9
pepperoni	9
starbucks	9
mashed potatoes	8
wheat crackers	8
don miguel	8
le gout	8
salad	8
milk	8

Table 7.2: Common queries

description	Number of people who used it	length
pauly county line advantage swiss cheese	1	40
pepperidge farm crusty Italian garlic	1	37
bag n season pork chop seasoning mix	1	36
keebler zesta soup & oyster crackers	1	36
low calorie thousand island dressing	1	36
pepperidge farm crusty utilian bread	1	36

Table 7.3: Long queries

When participants chose to make food entries by using the food database, they generated a query. For the non-pilot study, I saved all of the search terms and report on them

### **7.3. IN-LAB EVALUATION**

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here. Terms that appeared to be part of the practice tasks were excluded.

Overall, the 24 non-pilot participants made 650 queries from 273 unique phrases. 130 query terms were used by more than one person. All phrases with 8 or more queries are listed in Table 7.2. Of these 13 queries, 3 represent foods that most likely fit into a single category (egg, salad and milk). It is possible that the salad query was used to find a “Caesar salad” entry (salad greens plus dressing and croutons), rather than simply salad greens, which could be counted with just one food group. The other 10 most common queries represent foods that are primarily packaged and prepared. The 6 longest queries are listed in Table 7.3. The mean length of query is 13.6 characters.

#### **7.3.3.3 Likes and Dislikes**

Participants were asked to provide three things they liked and disliked about the interface after each condition. Here, the responses are summarized and some common themes identified. Since the presentation of the different conditions was counterbalanced, the comments reflect that some participants saw the conditions growing or reducing from condition to condition.

**SMALL CONDITION (2 components).** Some people liked that it was so short (“it was trying to just keep track of my best and worst food choices”), while others felt it was too limiting “I wanted to put all of the food I ate into categories, not just some of it”.

**MEDIUM CONDITION (5 components).** Fewer items, so it takes less time, but more “mentally taxing”, because they “had to think more about whether a food contained parts of the specified categories”. One person mentioned s/he liked “Quicker entry for foods I’ve encountered before”.

**LARGE CONDITION (9 components).** Most comments are similar to the other conditions. Comments unique to this condition include: “I don’t find any different approach with the first approach, I just realize that there is no meat nutrients therefore everytime [sic] i see meat then i will just skip it.” “Didn’t feel much difference between the first and second approach. However, I did like that there were different categories.”

**FULL CONDITION (all components).** Participants liked having all the categories.

They reported that it made them more informed and ensured they were accounting for all of the parameters.

**ALL CONDITIONS.** Feedback about the POND software in general applied to the database, food entry process, and colorful visualization. Participants liked the ability to search and that the database had so many items. However, some felt the results were too wordy and that it took too long to find the exact food and portion size. For some people, even though it takes “too many steps to enter a food item”, they would prefer to be exact to get the analysis. It was “fun to hit the +1 buttons”. The colorful visualization helped people to figure out what food falls into what category. It also provided a “meter” that presented the nutritional value of what was eaten. One common complaint was the lack of feedback or certainty about whether an entry was correctly entered.

#### 7.3.4 Discussion— In lab

The goal of the in-lab portion of the POND evaluation was to characterize how people used the diary to create known food entries. I looked at the strategy participants used to make an entry, the search terms used for known foods, and the things participants liked and disliked.

I saw that overall, participants were split on how much to use the +1 buttons rather than the lookup feature for creating food entries. The distribution of strategies people used in the pilot study is different than the strategies people used in the full study. More participants in the pilot utilized the search feature, while participants in the full study relied more on the +1 entry strategy, although they also appeared to be more likely to combine the strategies. However, pilot participants were quite vocal about their negative impression of the search feature, which is why it was modified before the full study. Those pilot participants, who had the poor search implementation, used it much more than the participants who had the revised search function.

The difference in strategy proportions for pilot and non-pilot could reflect the different populations. The pilot study consisted primarily of graduate students in fairly technical fields, while the study population consisted of people from the general population.

## **7.4. IN-SITU STUDY**

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The randomization of the components to the conditions could impact the choices that participants made in regards to using the +1 versus lookup. The Full condition (which contained all components) are comparable across all participants, but in the Small and Medium conditions, it is possible that the components contain either just easy food groups (Fruit, Veggies) or all nutrients (Sodium, Sugar), which are known to be more challenging to count, and people report using the lookup feature for them.

Reviewing the most common search results indicates that participants are searching for unfamiliar, processed foods that are challenging to identify components for. These foods also tend to be higher in sodium and solid fats, which are difficult to estimate without looking up. The most common searches are one or two words. One thing I am unable to evaluate is if short searches result in a hit more frequently than long searches. The long searches are very similar to the text that appeared on the task card. This reflects how the task cards prime the users to choose search terms.

The reported likes and dislikes revealed that for this general population that is not necessarily in the process of changing their eating behaviors, the changing of the components on the home screen is not meaningful. In particular, participants felt it odd that some foods might not be counted at all in a given condition. For example, in the small condition, an apple might not be counted if the two components are 'sugar' and 'sodium'. This is consistent with feedback in the food index comparison study. However, some people noted that it might be worthwhile to spend a short time focusing on one particular component. Overall, people liked the analysis of the food intake pattern.

## **7.4 In-situ Study**

The second part of the POND evaluation consists of an *in situ* study.

### **7.4.1 Evaluation Design**

This study is a 1x2 between-subjects design. The two levels were whether participants could change the components on the front screen or not. This included any modification to the goals, either the listed components or the amounts for each component.

#### 7.4.1.1 Procedure

Participants performed the in-lab study as described in Section 7.3 above. When they were completed with the in-lab part of the study, the software was installed on the participant's personal Android phone. Participants were shown software features not addressed in the lab part of the study, such as how to edit entries, create custom meals, how to view a list of daily entries over time, and the weekly overview. They were given a chance to interact with the software, and ask any questions. They were told to explore the software for the rest of the day, but that the study started the next morning. They were told to customize the components and goals as they desired, and that the serving sizes were there as a guideline, but that they could choose to count the servings as they felt comfortable.

At the end of every seven days, participants were asked to send a copy of their data to the research team, and asked to fill out a survey about their experience for the previous week.

At the end of the three week period, participants returned to the lab for a guided interview about their experiences. At that point, the software was removed from their personal device.

#### 7.4.1.2 Study Design Limitations

This study does not try to identify the accuracy of the food entries. Other studies attempt to do this with the use of 24-hr recalls (single or periodic).

#### 7.4.2 Measures and Data Collected

As discussed earlier, the goal of this study was to understand how the POND software was used over time.

##### 1. Data logged on phone:

- **Entry strategy.** The number of entries made with the +1 buttons and with the lookup feature.
- **Food search.** The number of food searches performed. Which terms were used.

## 7.4. IN-SITU STUDY

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- **Component summaries.** How many of each component were entered overall.
2. Full study interim surveys: (1 wk, 2 wk, 3 wk)
    - **Likes and dislikes.** Three things you do and do not like.
    - **Effectiveness.** Where is it effective or not effective.
    - **Difficulty and Interesting.** Which components were difficult or interesting to monitor.
    - **Self-efficacy.** Self-efficacy questions at the end of each week.
  3. Correlation between weekly self-report of use and actual use.

### 7.4.3 Results

#### 7.4.3.1 Entry strategy

One challenge of *in situ* food diary studies is the inability to know what is actually eaten and how it compares to what is entered. In the lab portion of the study, I could calculate whether a given meal was entered using only +1 buttons, only food lookup, or a mixture of the two. This calculation is impossible for this part of the study. Instead, I report on how many +1 versus lookup entries participants made over the entire three weeks.

Number of entries made is counted in two ways. The “Number of Entries” is the straight number of entries made, either the number of times a +1 button is pressed or the number of entries of food from the database. “Collapsed Entries” collapses the +1 entries into entries made within 15 seconds of each other. The former counting strategy may prove an unfair comparison of the +1 and lookup entries, while there is no proof that the latter improves the value of the comparison. Table 7.4 shows only the sums for the week.

Two participants preferred using the lookup feature. After 8-10 days of use, the app stopped behaving properly for them. They eventually reverted to using the +1 buttons rather than the preferred lookup, and reported that they would have preferred to keep looking up. Their databases had become corrupted. Their data is included in Table 7.4, where the rows for p2001 and p5002 show zeroes for week one and two. In Table 7.4, the “Alt. Mean” row shows the means calculated without those participants for week one and week two.

Overall, only nine participants created a substantial number of entries from the food database during the study.

	Collapsed				Total				Food Entries			
	Week ID				Week ID				Week ID			
Ppt ID	0	1	2	Total	0	1	2	Total	0	1	2	Total
p1001	27	22	11	60	148	156	78	382	3	3	0	6
p1003	58	47	54	159	168	164	161	493	3	0	1	4
P1004	30	14	9	53	41	24	15	80	0	2	0	2
p2001*	36	0	0	36	70	0	0	70	29	0	0	29
P2002	37	32	28	97	115	160	143	418	8	3	8	19
p3002	50	48	34	132	112	146	68	326	8	4	18	30
P3011	39	23	32	94	65	48	66	179	18	0	7	25
p3012	44	32	37	113	70	61	77	208	0	0	0	0
P3013	20	17	13	50	117	140	120	377	0	0	0	0
p3014	30	15	16	61	140	101	111	352	0	0	0	0
p3021	76	60	45	181	281	327	228	836	7	0	0	7
p3022	45	43	24	112	133	113	118	364	18	16	3	37
P3023	35	34	33	102	109	114	53	276	1	8	20	29
P3024	26	29	31	86	34	48	41	123	14	12	21	47
p4001	63	40	46	149	166	141	179	486	1	2	5	8
p4002	52	39	33	124	165	125	116	406	0	0	0	0
P4003	43	30	33	106	118	105	112	335	0	1	2	3
p5001	41	33	36	110	139	118	84	341	1	1	17	19
p5002*	48	11	0	59	88	27	0	115	10	1	0	11
p5003	28	23	26	77	123	127	153	403	1	2	1	4
Mean	41.4	29.6	27.05	98.05	120.1	112.25	96.15	328.5	6.1	2.75	5.15	14
Alt. Mean	41.4	32.3	30.1		120.1	123.2	106.8		8.7	4.6	9.4	17.5

Table 7.4: Entry Counts. This table shows the weekly entry counts for each participant.

#### 7.4.3.2 Search Terms

The searches that participants make indicate what kinds of foods they were concerned about. I report how many participants made queries, how many queries were made, how many queries or searchers were repeated, and the longest queries.

There were 465 food queries from 308 unique terms, made by 18 participants. 76 query terms were used more than one time, with 42 query terms used by more than one person. 78 searches returned no results. The mean number of results is 86. The most common query terms are summarized in Table 7.5.

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description	Number of searches for this	Total number of Results	Length of query
beer	12	115	4
egg	9	398	3
avocado	8	17	7
chipotle	6	85	8
coffee	6	325	6
oatmeal	6	297	7
butter	5	735	6
margarine	5	258	10
mocha	5	123	5
Peanut butter	5	304	13
pizza	5	1102	5
twix	5	9	4

Table 7.5: Common Searches

description	length of search	total results
healthy choice lemon garlic chicken	35	0
whole wheat chocolate chip cookie	33	0
chocolate chip granola bar	26	15
whole wheat English muffin	26	4
chocolate covered raisins	25	3
trader Joes chicken gyoza	25	0

Table 7.6: Long searches

Overall, the mean length of query term was 10.03 characters, with the longest term being 35 characters. The longest query terms are noted in Table 7.6.

Further details about the queries are included in the appendix.

#### 7.4.3.3 Overall Component Counts

The overall component counts is the sum of each component over all three weeks. This is the final component counts that includes entries made either by the +1 buttons or via the food lookup feature. Table 7.7 shows the final component counts for each participant. Each polar plot represents a single participant. The length of the pie slice represents how much of the component was entered. Some of the participants (e.g. P1004 and P2001) show fewer entries overall. Other participants (e.g. P1003 and P3021) made more entries. P3021 shows a large Protein count. This reflects a misunderstanding the participant reported in the final interview. He showed confusion about a block representing a gram of protein rather than an ounce of meat, beans or single egg. P3013 shows that she chose not to monitor Oils, Fats, Sodium or Sugar.

Figure ?? shows a chart displaying the daily component count for each category for the entire 3 weeks, for a single participant. This provides a sense of the pattern of use for this participant, and what s/he was focused on each day.

#### 7.4.3.4 Guided Interview

At the end of the study, participants returned to the lab to conduct an in-person semi-structured interview about their use of the tool. The interview protocol is attached as an appendix, as is the coding documentation. Below, I report some of the responses to selected questions.

**Were you able to make food entries right after you ate? When you were not able, why not?** This question resulted in a wide range of responses. Some people reported using it consistently after eating, while others made comments that some meals were easy to remember to enter because they are at times that their routine is consistent or predictable; other meals were at times when the routine is unpredictable. Participants also commented

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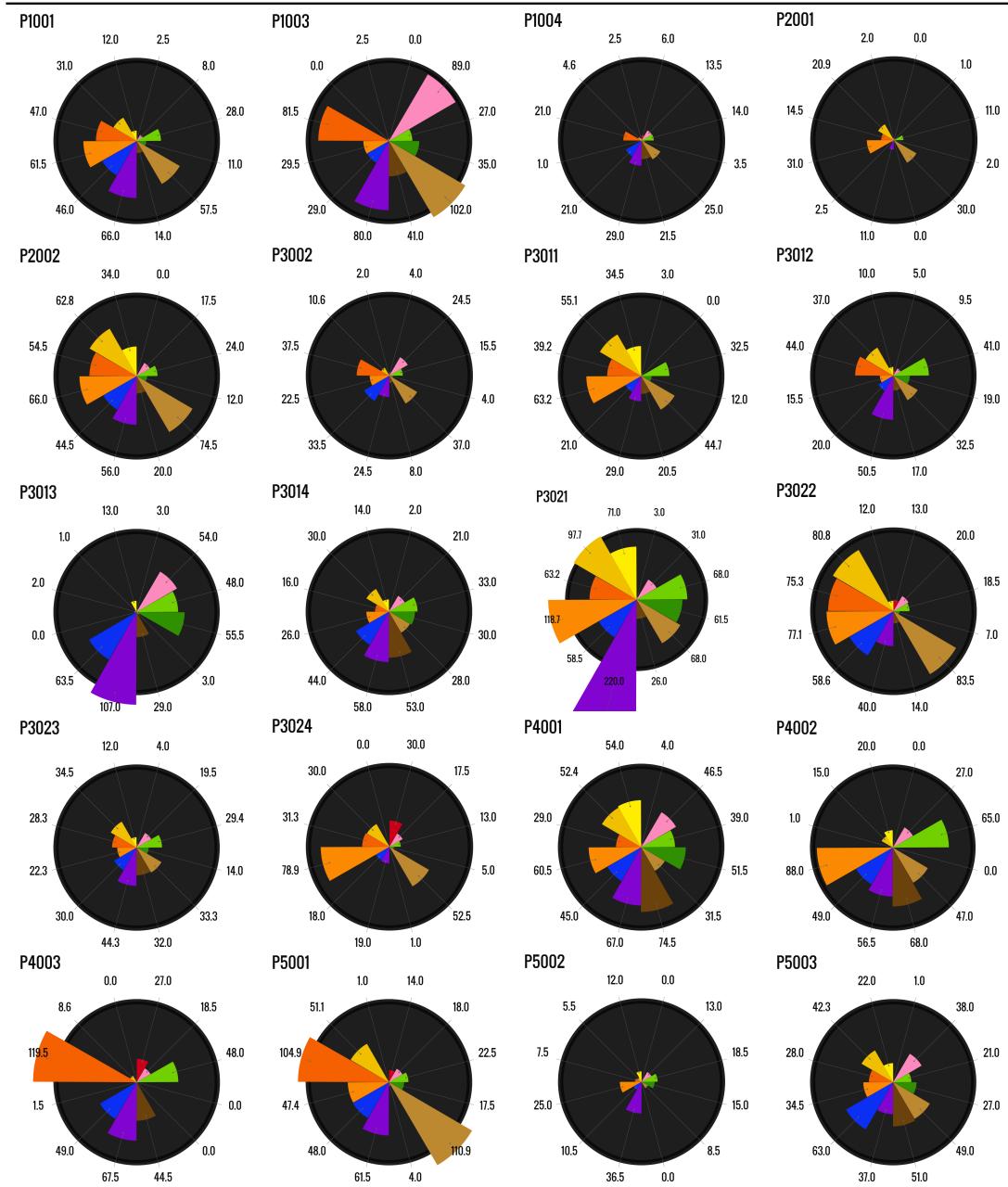


Table 7.7: Final Component Counts.

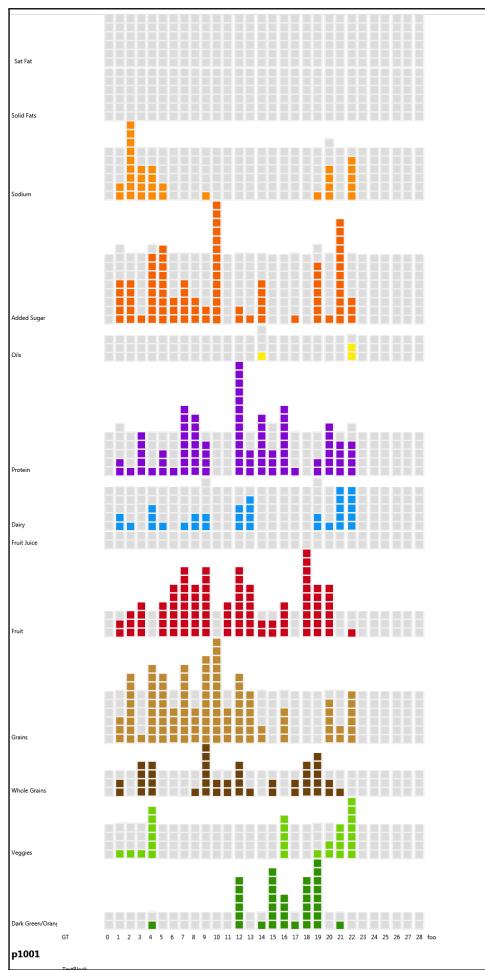


Figure 7.2: Overview of Ppt 1001

about how their schedules changed week to week: participants who had been traveling noted that their schedules were just off overall. Some people changed schedules over the study: one participant noted that there was one week of classes that was “predictably crazy”, one week of vacation that was relaxed and easy to pay attention, and one week of starting an internship rotation in a venue that was high-pressure and busy, where it was hard to remember or want to think about entering. Other issues that prevented people from making entries immediately after eating included that their phone wasn’t with them (frequently commented that it was elsewhere, charging) or they were doing something else while eating and forgot.

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**What were 3 things you really liked about this diary?** Many comments were about what features people would like. An improved food database and searching process were frequently mentioned. People also wanted to track their water intake, and some people wanted some notion of calorie intake. In regards to calories, some people wanted detailed caloric information, while others reported being comfortable with an estimate. People also talked about wanting more customization: the order of the items on the screen, the background color, which items appear on the front screen. A couple of people volunteered the notion of a “Junk food” button-to indicate that they had something with low nutritional value, but with less detail than specifying how much sugar, salt, or fat it contains.

Our participants liked the distinction between whole grains and refined grains, and dark green/orange vegetables and all other vegetables. They also liked the overview or analysis this approach provided. The color coding of the different components appeared to support the analysis. Many people had trouble tracking sugar, sodium and fats, which is consistent with previous work of tracking nutrients. Due to this, some people chose to ignore the nutrients. In addition to the inherent challenge of tracking nutrients, some participants noted philosophical objections to monitoring the nutrients. One participant expressed concern about current nutrition recommendations to drastically restrict fat and salt. Other participants expressed a perspective that being too concerned about the accuracy of the nutrients in particular could reflect an unhealthy obsession.

When asked about things people didn’t want to record, one response was “things I wasn’t sure how to spell”.

**Was there a pattern, rule or guideline you used to decide when to search for a food rather than enter it via “+1”s?** Participants reported three primary approaches to creating entries. Some participants preferred looking foods up in the database, but ended up using the +1 feature due to difficulties in the lookup process. Difficulties included: uncertainty of what phrase to use to find the food; inability to find a food they wanted; not trusting the results of the database (e.g., Subway sandwich with no grains); and occasional software malfunction. One participant reported consistent software crashes when looking up food in the database. This participant resorted to using the +1 buttons to continue study participation, but reported preferring the lookup. Other participants chose to use the +1 feature

as much as possible. Finally, some participants took the approach of looking up anything that was packaged, “had a barcode”, or prepared at a restaurant, while using the +1 for anything self-prepared.

**Are you currently satisfied with your nutrition behaviors?** The first few interviews revealed that our participants had different self-assessments of their eating behaviors. This question was added in to attempt to capture this information from all participants. Some participants demonstrated a high degree of nutritional literacy and felt confident that their eating behaviors reflected this knowledge most of the time. Others demonstrated nutritional literacy, but indicated that they knew their current eating behaviors were not consistent with known recommendations. Finally, some participants did not reflect awareness or concern about their eating behaviors in general.

**Did keeping a food record change how you ate? How so?** Some people talked about how the tool helped them to think more about the choices they were making, and they ended up eating more whole grains, choosing more vegetables, eating or buying more fruits, or choosing not to eat something that would increase the yellows and orange categories. Some people were surprised about some of the nutrients they encountered: things like sugar in slushy, sodium in soup, or that they really did not consume as many whole grains as they believed they did.

**Would you recommend POND to friends? Are there other people you would recommend this tool to?** When asked who they would recommend this tool to, many people volunteered that it would be really good for senior citizens, because it is so easy to use and keeps things so simple. Others indicated that they thought it would be good for busy mothers and caregivers, not just to track for themselves but to also keep track of what children (or family members) eat. They felt POND could support communication about nutrition behaviors among all family members. People also thought it might be good for people who were just starting to try to figure out how to make changes in their diet. Two participants felt that POND would not be useful for anyone because it did not provide enough detailed information. These two participants later reported concern about specific carbohydrate counts and calorie counts, respectively. Other participants thought POND could be useful to anyone, because it is simple, provides high level feedback, and supports

## **7.4. IN-SITU STUDY**

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awareness of one's dietary patterns.

**Did you use the location tagging feature?** I asked people to volunteer coarse location information for entries. No one did so. In general, the feedback on this feature was that participants did not believe location mattered. Their perspective was that they did not care about analyzing where they ate food, and it was just another thing to do, so they chose not to do it. A couple of people volunteered that they might be able to imagine there are patterns in the data, but they did not think there was likely any insight or value from it.

**Why did you choose to participate in this study?** Finally, I asked participants why they participated in the study. Some people did it purely for personal interest, stating that generally they are interested in tools to support their own health and wellness, while others did it just for the money. A couple of people had a balance of the two.

One area of confusion was not directly identified by a question, but emerged in the interview. Many participants were unclear if the goals were to be attained or moderated. The POND interface design specifically did not reflect this. The design rationale was that when POND is used in the greater context of a goal-oriented dietary change program, the goals would be personal and self-identified. Therefore, the user would know whether an individual component goal was to be attained or moderated. Since this trial did not directly evaluate the goal-tending support, the lack of an indicator was problematic. The Fruit Juice component was particularly confusing: some participants noted that they do not usually consume fruit juice, and they were not sure if the dietary guideline was making the recommendation to drink more juice. In fact, the guideline was to restrict juice intake, but when juice is chosen, ensure that it is 100% juice.

### **7.4.4 Discussion**

The goal of the *in situ* POND evaluation was to characterize how people used the POND software to monitor the foods they eat in the context of their daily lives. I looked at how often people used the +1 buttons to make an entry rather than looking up a food in the database, the searches that were made and reported on search characteristics, the total

number of components that were entered overall, how many entries were made each week, and what participants told us about their routines throughout the study period.

Nine participants used the food lookup on a regular basis. However, no one refused to make entries with the +1 buttons, even if they reported preferring the feeling of accuracy associated with using the lookup feature. This could indicate that people found the +1 approach beneficial at least part of the time.

As in the in-lab study, I see that the commonly performed searches include foods that are difficult for people to know how to count in terms of the HEI components.

The frequency of the search for ‘egg’ reflects a problem with the “Protein” category. The category is formally called “Meat, Beans, and Eggs”, but I made a design decision to shorten the title to “Protein” to fit the mobile device screen better. This resulted in participants expressing confusion about the Protein category. Participants reported counting protein bars and protein powder under the “Protein” category. The appropriateness of counting protein bars and powders in the Protein category depends on the source of the protein: Whey protein is a dairy derivative, while soy protein comes from beans. Another way the Protein category caused confusion was in terms of serving size. Protein is a macronutrient, and is reported on nutrition labels in grams. The HEI “Meat, Beans and Eggs” category (called Protein in POND) is to be counted in terms of ounces of meat, number of eggs, or servings of beans. Since one ounce of meat has about 7 grams of protein, mistakenly counting ‘grams of protein’ rather than ‘ounces of meat’ can lead to bad numbers.

The overall component counts for each participant show that people probably did not count the nutrients correctly. The nutrients (sodium, sugar, solid fats, oils) are also moderation components, which means that in general, most people eat too much of those items, and the HEI recommends working to moderate one’s intake of these items. However, all of the counts are quite low in relation to the targets. Although the target amounts may be high for this population, it is more likely that participants focused on the easy to use +1 buttons for counting the food group components. This is consistent with the entry strategy results, which showed more use of the +1 buttons than the lookup approach. This is also consistent with what participants reported in the final interview– that the nutrient

## **7.5. OVERALL POND OBSERVATIONS AND DISCUSSION**

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components were challenging to count.

The final interviews revealed that many participants were confused about the target amounts. Although it was addressed in the initial launching of the study, participants were not always sure if all of the targets were to be attained or moderated. Additionally, some participants seemed to think that some of the components were not defined properly. One participant in particular was concerned about the protein target, in regards to the concerns discussed earlier. The concern about the targets is reasonable, as they were defined based on a “typical” American who requires 2000 calories each day. The targets were not modified for individuals, and as many of our participants were adult women, it is likely the targets were too high for them.

The interview asked people to report on how typical their schedules and routines were over the study period. Some people reported fairly consistent routines that were typical, while others reported that some of the weeks were inconsistent and atypical (going on vacation, starting a new job). Most participants were able to characterize when they were able to consistently make food entries in a timely manner (that is, shortly after eating), and it usually had to do with their routine. However, each person had different characteristics about their routine that made it easy or challenging to make timely entries: some people were very busy at work, but structured at home; for others it was the opposite. Given the opportunity, no one chose to track the location of where they were making entries. This is interesting, because participants reported not wanting to track location because they did not think it would be an informative or useful piece of information. However, from a research or design perspective, what they were telling us was that their ability to make entries depending on their location.

## **7.5 Overall POND Observations and Discussion**

The POND evaluation included two phases: an in-lab phase where I could focus on the use of the POND app to enter known food items, and an *in situ* phase where I could better understand how POND performed as a self-monitoring tool within the context of a user’s real life. In both phases, I looked at how people created entries (using the +1 buttons or

looking foods up in the database); what searches were made; and what components people focused on and used to make entries.

### 7.5.1 How Did Users Make Entries?

I first looked at the strategies people used to create food entries. The strategy is either the streamlined +1 strategy, or the more detailed, accurate lookup strategy.

The in-lab data indicated that individuals fall into one of three groups: those that value the quick and easy overview entry, those that value the accuracy of the lookup, and those that are willing to combine strategies. In the context of the real world, I see that more people default to the use of the +1 entries. Generally, I saw that participants (consistent with what they reported) used the lookup feature to enter processed and prepared foods. Also, participants in the in-lab study made 650 queries to look up 4 days of food, while for the entire 3-week study, only 465 queries were made. The increased reliance on the +1 buttons and the small number of queries made could reflect a growing familiarity with the counting scheme, as well as being more familiar with the food one eats in general (as opposed to the food in the tasks that could be less familiar).

### 7.5.2 What Entries Did Users Make?

I next looked at what entries people made. In the in-lab portion of the study, the foods were defined. This means that the users had no control over what to enter into the software. Instead, I look at what queries they made when they chose to use the food lookup feature. I could compare the queries made in the in-lab study to those from the *in situ* study.

When I look at the queries that people made in the in-lab versus *in situ* conditions, the *in situ* searches were shorter (mean = 10.03 versus 13.6 characters, longest = 35 versus 40 characters). This could reflect that participants were more familiar with the foods they were eating, and not impacted by the long given names in the in-lab tasks.

It is challenging to identify what foods were entered in the *in situ* study. Some participants made entries via lookup, but most participants did not make most entries via lookup. They used the +1 approach instead. For this discussion, I look at the final compo-

## **7.5. OVERALL POND OBSERVATIONS AND DISCUSSION**

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uent counts to reflect what participants entered into the software.

The final component counts show that participants probably did not make the nutrient (sugar, sodium, solid fats, oils) entries consistently. Some people specifically chose to remove these components from the list of goals and not monitor them, while others may have decided that they were not worth the effort to monitor. User feedback indicates that even when participants thought these nutrients were worth monitoring, they chose not to because it was too challenging. However, consistent with the previous in-lab study, people seem to want a reminder of the components that they should moderate (restrict), even if they decide they do not want to actively monitor it.

### **7.5.3 When Did Users Make Entries?**

Finally, I looked at when participants made entries. This included both timestamps of entry creation and self-report of when participants made entries.

The feedback from participants about when they made entries, and when it was challenging to make entries, was valuable. The focus group feedback from BALANCE revealed that people wanted to use small stretches of time when they were not doing much else to create food diary entries. There were a number of reasons for this. However, feedback from the POND study indicates that users were willing to make time for creating entries, as long as they could fit it into their schedule. That is, when participants had consistent, predictable schedules, users made time to create food entries. When the schedule was less predictable or consistent, users found it difficult to remember to create food entries at the time of eating.

### **7.5.4 Overall**

Participants in the *in situ* study reported that using the POND software made them more aware of the processed foods that they ate. This appeared to include both which eaten foods were processed and how many processed foods they ate overall. Participants reported using the food lookup feature more frequently for processed or prepared foods, and the +1 feature for entering foods they prepared themselves. User awareness of their con-

## **7.5. OVERALL POND OBSERVATIONS AND DISCUSSION**

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sumption of processed foods is important because people in general tend to be unaware of how many processed foods they eat. Processed foods also tend to contain more salt, sugar and fat than self-prepared foods. Participants in the POND study reflected surprise at the nutritional content of prepared foods. In particular, the component-based visualization appeared have more impact than the same numbers reported on the nutrition label of a food. A processed food entry such as a Slushie would show on the list of components as many sugar blocks, but no other blocks. This helped to put into perspective how processed foods impacted the moderation goals, but had no impact on the attainment goals.

The component-based approach of analyzing processed foods helped participants to better understand what a given food was composed of. One example to note is that of Doritos, a food in one of the in-lab tasks. Sometimes participants noted that they were not sure how to count Doritos. Doritos happen to consist of a grain (corn), fat and salt. This awareness could help build nutritional literacy over time. This is consistent with participants who suggested that POND would be a good tool for people just learning about nutrition or just beginning a dietary change program.

The awareness of processed versus self-prepared foods is one reason participants liked the overview analysis the POND tool presented. Participants noted that the visual nature of the gray and colored blocks helped them to stay aware of their overall consumption over time. Users became more aware of when their fruit and vegetable intake fluctuated. Touching the +1 button to declare that they ate “something healthy” was considered satisfying. In particular, one participant noted that she preferred the lookup strategy to ensure the accuracy of her diary, but she appreciated that the overview visualization allowed her to ensure that her dietary intake was well-balanced.

Burke et al [Burke et al., 2009] found that participants in a study focused on the impact of self-monitoring as part of a weight loss program fell into three groups: highly involved, nominally involved, and negligibly involved. In contrast, the participants in the POND study were all either nominally involved or highly involved in the self-monitoring process. This difference could be related to the distinction of self-monitoring as part of a weight loss program, or due to the alternative input strategy that POND provided.

Early evaluation shows that POND appears to support various user characteristics.

## **7.6. SUMMARY**

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Follow-on work will incorporate a more rigorous quantification of those characteristics. Next steps for the POND project include evaluating its use by participants with specific, stated goals and in specific stages of change as defined by the transtheoretical model [Prochaska and Velicer, 1997].

## **7.6 Summary**

In this chapter I described the evaluation of the POND food diary. The evaluation consisted of both in-lab and *in situ* parts. An initial analysis focused on how participants made entries, what they made entries of, and when they made entries. I found that participants had preferences for either detailed, accurate records and were willing to take the time to look foods up in the database, or were satisfied with the overview analysis and only used the +1 buttons. Participants appreciated that the +1 buttons made the process to enter some foods easier and faster. Participants also provided rich descriptions of the context of when they were able to make entries, or when there were barriers to making entries.

# 8

## Conclusion

In this dissertation, I described three projects that explore the design, development and evaluation of mobile phone food diaries. The BALANCE project incorporated a physical activity sensing unit and energy balance visualization with the food diary. The design process depended on the use of focus groups. An in-lab study characterized the benefits and drawbacks of using a mobile phone food diary based on food indexes to self-monitor dietary intake. Finally, the POND tool and study investigated the use of a food index-based food diary on a mobile phone.

The BALANCE study taught us that many people find the use of a nutrient-based or database-dependent approach for self-monitoring dietary intake is challenging by nature. Even when the technology is improved (more memory, increased processing power, more natural and easy to use interface), the nature of the detailed approach is too challenging for some people. Providing more benefits in terms of automatically monitoring activity and improved visualization or analysis does not provide enough benefit for most general

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population. This is particularly true when time of entry is a concern.

The multi-phase, iterative design of the BALANCE project also provided insight into the evaluation of *in situ* food diary use. The focus groups and standardized questionnaires provided feedback about the current iteration of the BALANCE software, but the lack of more detailed metrics prevented us from reconciling the subjective feedback from software performance and features. The more detailed usage metrics from the validation study helped us to discover that BALANCE usage was similar to what other researchers reported.

The in-lab study taught us that using a food index-based approach for informing the design of a mobile phone food diary produced a tool that integrated data entry and analysis, resulting in less time to input. We found that the self-monitoring process can be simplified too much: just because a self-monitoring approach is fast and easy to count correctly does not make it useful (FBQI). This study also taught us that people have nutrition or dietary goals with varying characteristics: some people are more concerned with quality, while others are concerned about quantity. This could impact their willingness to continue using a particular food diary for an extended period of time.

The POND project consisted of designing and developing a mobile phone food diary based on both behavior change theory and user studies. I evaluated the POND software by performing both in-lab and *in situ* studies. The preliminary analysis I presented as part of this dissertation included identifying how participants chose to make entries, what entries they were making, and when they were making entries. I found that some participants preferred the overview and quick entry of the +1 buttons; others preferred the accuracy of looking food up in the database; and some participants combined the two approaches. Participant entries consisted primarily of the food group components. The number nutrient component entries was smaller than expected. Finally, participants expressed frustration when they could not retroactively create food entries. The follow-up interviews indicate that participants want a tool that is flexible in regards to the user's schedule. Sometimes their schedule allows them to focus on the food diary right after eating, while other times the user needs to go back in time.

Future work of the POND tool falls into two categories: improving the design, and fur-

ther evaluating how different populations respond to the POND approach to self-monitoring. The design of POND could be improved by incorporating more contextual awareness, particularly in terms of location and schedules. It should also be easier for users to create food entries for food eaten earlier. Finally, the design may benefit by focusing on the distinction between nutrient-dense versus energy-dense foods, specifically in regards to specifying serving size.

Future studies with POND should focus on two areas. The first is around the characterization of users. The trans-theoretical model [Prochaska and Velicer, 1997] can be used to identify how motivated users are, and there should be a more detailed identification of user goals. A second area of evaluation should focus more on specific patterns of detailed versus overview self-monitoring. For example, participants may spend one week adhering to the detailed self-monitoring approach, then two or three weeks with an overview self-monitoring approach (the +1 buttons). The detailed self-monitoring may establish a strong understanding of the user's baseline behavior, provide education around nutrition values for foods the user currently eats, and provide a baseline for setting goals for change. The overview self-monitoring requires less time to execute, but can serve as a reminder of current goals and progress. These two evaluations are first steps toward a larger, long-term, randomized control trial to assess the impact on larger populations.

This dissertation has identified ways to potentially characterize populations for food diary evaluations. Through the three projects, participants could be characterized in terms of goals, motivation, and resources. Goals includes what the individual wants to achieve. Many of our self-selected participants indicated that while they would like to lose weight, they would be happy to simply improve some of their nutrition behaviors. Others indicated that these goals changed over time: sometimes they were interested in losing weight or preparing for athletic events, while others they just wanted to stay healthy. Motivation refers to how motivated the individual is. Some participants acknowledged that they would like to lose weight, but it was not currently a high priority. Finally, resources includes how much time and money a person is willing to put into those attaining their goals. This is different than the goal or motivation. All three work together to effect an individual's self efficacy.

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We see some indication of these differences of goals, motivation and resources in the participant feedback in the BALANCE studies. The in-lab study indicated that participants with different kinds of goals may prefer different self-monitoring approaches. Metrics from the use of the POND software both in the lab and *in situ* indicate that these preferences appear consistent with changes in goals, motivation and resources. This is also consistent with feedback from participants who indicate that their preferences and willingness to use different tools for self-monitoring dietary intake has changed overtime. Based on this, there could be value in future work that focuses on a more rigorous characterization of motivation, goals and resources in regards to nutrition behaviors.

Evaluation is a recurring theme in the projects in this dissertation. In BALANCE, the evaluation focused primarily on the design and performance of the system. This was followed up by a study that compared the usability and presumed utility of multiple food diary designs. Finally, the POND work combined usability tests and an *in situ* evaluation provide a more comprehensive analysis of the software. In all of these projects, I collected a wide range of metrics and provided a detailed analysis. The description, collection and analysis of these metrics can be used in future work: User research requires the collection of many different metrics because it is usually unclear *a priori* which metric will be most informative. Publishing these results allows researchers to compare new systems with previous systems, with the ability to identify and quantify differences.

The creation of effective self-monitoring of dietary intake tools requires strong collaboration between domain experts (e.g., nutritionists, epidemiologists and behavior change experts), technology experts and user experience researchers. User experience (UX) researchers mediate the design and development process of new tools. They work with domain experts to understand and define theory-informed goals (changing health behaviors) and technology experts to understand capabilities or restrictions of technology. UX researchers then work with target users to understand what barriers they may face, and how the new technologies can overcome these barriers. The UX researcher is important in this role because of their unique expertise in understanding users.

Researchers sometimes need highly accurate, very detailed information about what people eat. These areas of research were covered in Chapter 3. The self-monitoring tools

for this research should support the goal of the research, but with the understanding that the it might not match the researcher goals. We should not assume that what is appropriate for researchers who need accuracy is what is appropriate for users.

Something I hope other researchers can take from this work is an awareness of assumptions that are made about the design and use of food diaries. This area of research has been avoided in the technology community because of its complexity. As we saw with the BALANCE study, building a traditional food diary is not a trivial project.

The research area of self-monitoring of dietary intake is complex, interdisciplinary, and will have an impact on the health and wellness of millions of people. When technology, nutrition, and user experience experts come together, we will be able to design and build effective and enjoyable tools to support healthy behaviors.

## *7.6. SUMMARY*

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# A

## In-Lab Interface Comparison Surveys

### A.1 Initial Survey

This was administered to all POND evaluation participants at the beginning of the in-lab study.

**Question 1.** Age:

**Question 2.** Gender: Male/Female

**Question 3.** Occupation or Major:

**Question 4.** How often do you use a cell phone?

- Several times a day
- Daily (approx. 1-2 times)
- Weekly (approx. 1-2 times)
- Monthly (approx. 1-2 times)

- Almost never
- Never

**Question 5.** How often do you enter text on your phone (SMS, contact information, email, notes)?

- Several times a day
- Daily (approx. 1-2 times)
- Weekly (approx. 1-2 times)
- Monthly (approx. 1-2 times)
- Almost never
- Never

**Question 6.** What model cell phone do you have?

**Question 7.** Does it have a touch-screen?

**Question 8.** How do you enter text on your cell phone?

- 12-key keypad (traditional phone keypad)
- QWERTY keyboard
- Touch screen– soft keyboard
- Other:

**Question 9.** What are some of your health concerns or goals, in regards to nutrition?

- Lose weight
- Control portion sizes
- Eat "better"
- Eat less/more
- Other:

**Question 10.** Have you ever used a food diary to track your food intake (eating) before?

- No

- Yes, on paper
- Yes, using software on a computer
- Yes, using a website on a computer
- Yes, using a PDA
- Yes, using a basic cell phone
- Yes, using a smart phone (iPhone, Windows Mobile, Symbian, etc)
- Other:

## A.2 TLX Surveys (after each condition)

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## A.3 TLX:Demand and Preferences

Full Demand and Preference

### **Question 1.** Little Lots

- How much mental activity was required to perform the tasks?
- How much physical exertion was required to perform the tasks?
- How discouraged, irritated, stressed, or annoyed did you feel while completing the tasks?

### **Question 2.**

Very Not Very

- How successful do you think you were in accomplishing the goals of the task?
- How quickly do you think you were able to do the tasks?
- How easy was this interface to use?
- How easy was this interface to learn?

### **Question 3.** What 3 things did you like most about this approach?

### **Question 4.** What 3 things did you least like about this approach?

## A.4 Final Survey

Question 1.

Please order the three conditions based on preference.

Required.Select a unique answer for each row. FBQI Select a unique answer. HEI Select a unique answer. BALANCE Select a unique answer.

Question 2.

Please rank the 3 conditions in terms of usefulness.

Required.Select a unique answer for each row. FBQI Select a unique answer. HEI Select a unique answer. BALANCE Select a unique answer.

Question 3.

Please rank the 3 conditions in terms of ease of use.

Required.Select a unique answer for each row. FBQI Select a unique answer. HEI Select a unique answer. BALANCE Select a unique answer.

Question 4.

Please rank the 3 conditions in terms of understandability.

Required.Select a unique answer for each row. FBQI Select a unique answer. HEI Select a unique answer. BALANCE Select a unique answer.

Question 5.

Which of the 3 conditions do you think you could use consistently for 3 days?

FBQI HEI BALANCE

Question 6.

Which of the 3 conditions do you think you could use consistently for 2 weeks?

FBQI HEI BALANCE

Question 7.

Which of the 3 conditions do you think you could use consistently for 3 months?

FBQI HEI BALANCE

Question 8.

Which one do you think would be most likely to help you reach your current nutrition goals?

FBQI HEI BALANCE

Question 9. Why?



# B

## POND In-Lab Surveys

### B.1 Initial Survey

This was administered to all POND evaluation participants at the beginning of the in-lab study.

**Question 1.** Age:

**Question 2.** Gender: Male/Female

**Question 3.** Occupation or Major:

**Question 4.** How often do you use a cell phone?

- Several times a day
- Daily (approx. 1-2 times)
- Weekly (approx. 1-2 times)
- Monthly (approx. 1-2 times)

- Almost never
- Never

**Question 5.** How often do you enter text on your phone (SMS, contact information, email, notes)?

- Several times a day
- Daily (approx. 1-2 times)
- Weekly (approx. 1-2 times)
- Monthly (approx. 1-2 times)
- Almost never
- Never

**Question 6.** What model cell phone do you have?

**Question 7.** Does it have a touch-screen?

**Question 8.** How do you enter text on your cell phone?

- 12-key keypad (traditional phone keypad)
- QWERTY keyboard
- Touch screen– soft keyboard
- Other:

**Question 9.** What are some of your health concerns or goals, in regards to nutrition?

- Lose weight
- Control portion sizes
- Eat "better"
- Eat less/more
- Other:

**Question 10.** Have you ever used a food diary to track your food intake (eating) before?

- No

- Yes, on paper
- Yes, using software on a computer
- Yes, using a website on a computer
- Yes, using a PDA
- Yes, using a basic cell phone
- Yes, using a smart phone (iPhone, Windows Mobile, Symbian, etc)
- Other:

**Question 11.** How knowledgeable are you about food and nutrition, including nutrients (fat, carbohydrates, fiber, vitamins, etc) and/or ingredients?

- Not very: I can tell the difference between food and not food.
  - 
  - 
  - Very: I'm an expert and have spent much time understanding nutritional aspects of food.
- 

## B.2 TLX:Demand and Preferences

Full Demand and Preference

**Question 1.** Little Lots

- How much mental activity was required to perform the tasks?
- How much physical exertion was required to perform the tasks?
- How discouraged, irritated, stressed, or annoyed did you feel while completing the tasks?

**Question 2.**

Very Not Very

- How successful do you think you were in accomplishing the goals of the task?
- How quickly do you think you were able to do the tasks?

- How easy was this interface to use?
- How easy was this interface to learn?

**Question 3.** What 3 things did you like most about this approach?

**Question 4.** What 3 things did you least like about this approach?

**Question 5.** How familiar were you with the foods in this section?

- I didn't know any of them
  - 
  - 
  - I knew all of them
- 

### B.3 Post-Study Questionnaire

**Question 1.** How interested are you in keeping track of each of these individual items?

Not interesting at all Not so interesting A little interesting Very interesting

**Question 2.** How difficult to track do you find each of the items listed below?

Impossible Really hard A bit of a challenge, but I'm up for it Easy

**Question 3.** Which of the components could you track for 3 days?

- Dark Green & Orange Vegetables
- Vegetables
- Fruit Juice
- Fruit
- Grains
- Whole Grains
- Dairy
- Protein (Meat, Eggs, Beans)
- Oils
- Sugar
- Saturated Fat

- Sodium
- Solid Fats

**Question 4.** How likely are you to succeed at tracking your selected components for 3 days? That is, what percentage of entries do you think you'll remember and be willing to record?

- There's no chance; I'll have a few entries here and there.
- I'll get about a third (33%) of the entries; I'm good for either the first day or the first meal of every day.
- I'll manage more than 50% of the time
- I'll probably get most of it (80% of the time)
- I can do it with no problem (100% of everything I eat, when I eat it)

**Question 5.** How likely are you to succeed at tracking ALL components for 3 days? That is, what percentage of entries do you think you'll remember and be willing to record?

- There's no chance; I'll have a few entries here and there.
- I'll get about a third (33%) of the entries; I'm good for either the first day or the first meal of every day.
- I'll manage more than 50% of the time
- I'll probably get most of it (80% of the time)
- I can do it with no problem (100% of everything I eat, when I eat it)

**Question 6.** Which of the components could you track for 3 weeks?

- Dark Green & Orange Vegetables
- Vegetables
- Fruit Juice
- Fruit
- Grains
- Whole Grains

- Dairy
- Protein (Meat, Eggs, Beans)
- Oils
- Sugar
- Saturated Fat
- Sodium
- Solid Fats

**Question 7.** How likely are you to succeed at tracking your selected components for 3 weeks? That is, what percentage of entries do you think you'll remember and be willing to record?

- There's no chance; I'll have a few entries here and there.
- I'll get about a third (33%) of the entries; I'm good for either the first day or the first meal of every day.
- I'll manage more than 50% of the time
- I'll probably get most of it (80% of the time)
- I can do it with no problem (100% of everything I eat, when I eat it)

**Question 8.** How likely are you to succeed at tracking ALL components for 3 weeks? That is, what percentage of entries do you think you'll remember and be willing to record?

- There's no chance; I'll have a few entries here and there.
- I'll get about a third (33%) of the entries; I'm good for either the first day or the first meal of every day.
- I'll manage more than 50% of the time
- I'll probably get most of it (80% of the time)
- I can do it with no problem (100% of everything I eat, when I eat it)

**Question 9.** Which of the components could you track for 3 months?

- Dark Green & Orange Vegetables

- Vegetables
- Fruit Juice
- Fruit
- Grains
- Whole Grains
- Dairy
- Protein (Meat, Eggs, Beans)
- Oils
- Sugar
- Saturated Fat
- Sodium
- Solid Fats

**Question 10.** How likely are you to succeed at tracking your selected components for 3 months? That is, what percentage of entries do you think you'll remember and be willing to record?

- There's no chance; I'll have a few entries here and there.
- I'll get about a third (33%) of the entries; I'm good for either the first day or the first meal of every day.
- I'll manage more than 50% of the time
- I'll probably get most of it (80% of the time)
- I can do it with no problem (100% of everything I eat, when I eat it)

**Question 11.** How likely are you to succeed at tracking ALL components for 3 months? That is, what percentage of entries do you think you'll remember and be willing to record?

- There's no chance; I'll have a few entries here and there.
- I'll get about a third (33%) of the entries; I'm good for either the first day or the first meal of every day.
- I'll manage more than 50% of the time

- I'll probably get most of it (80% of the time)
- I can do it with no problem (100% of everything I eat, when I eat it)

**Question 12.** Are there any other components or nutrition-related goals you would like to consider adding to this app?

**Question 13.** What are 3 things you like about this approach to keeping a food diary?

**Question 14.** What are 3 things you don't like or would change about this type of food diary?

# C

## POND *in situ* Surveys

### C.1 Weekly Survey

Please answer these questions reflecting on your experience for the past week only.

**Question 1.** How challenging was tracking all of your food intake this week?

- Very Easy
- Pretty Easy
- Fine; Neither easy or challenging
- Challenging
- Impossible

**Question 2.** How often did you look up food via search rather than use a +1 button?

- Looked up a food via search all of the time

- Looked up foods most of the time
- Used +1 buttons most of the time
- Used a +1 button all of the time
- Other:

**Question 3.** How much of the time did you make entries when appropriate?

- I made entries every time I ate something that needed to be counted
- I made entries for most meals, about 2/3 of the time.
- I made entries about a third of the time, 1-2 meals per day
- I made occasional entries throughout the week
- I made no entries this week

**Question 4.** Which of the components below have been easy for you to track?

- Dark Green & Orange Vegetables
- Veggies
- Whole Grains
- Grains
- Fruit Juice
- Fruit
- Dairy
- Protein
- Oils
- Added Sugar
- Sodium
- Solid Fats

**Question 5.** What was easy about those components?

**Question 6.** Which of the components below have been challenging for you to track?

- Dark Green & Orange Vegetables

- Veggies
- Whole Grains
- Grains
- Fruit Juice
- Fruit
- Dairy
- Protein
- Oils
- Added Sugar
- Sodium
- Solid Fats

**Question 7.** What was challenging about those components?

**Question 8.** How certain are you that you will enter all appropriate foods even when:

Very certain I will do it Impossible for me to do N/A

- The food is not in the database
- You have to guess at the components & values
- You have to read the 'More details' box to figure out how to count it
- You forget to enter anything right after you eat
- You are with other people when you eat

**Question 9.** In the past week, how many times have you:

- not found a food in the database?
- had to guess at components & values?
- had to read the "More details" to figure out a food's components?
- had to read the given "cheat sheet" (paper) to figure out a food's components?
- forgot to make an entry right after eating?
- been with other people when eating?

**Question 10.** What are 3 challenges or frustrations you've encountered this week?

**Question 11.** What are 3 things you liked or been successful with this week?

## C.2 Final Guided Interview Questions

1. How was your experience? [Give the person a chance to volunteer]
2. Were you able to make food entries right after you ate? When you were not able, why not?
3. Did you use the diary as often as you could? a. What challenges you faced using the diary?
4. Was there consistency in where or when you used the diary?
5. What features did you wish for?
6. Were there any food items you didn't want to record? Why?
7. Did keeping a food record change how you ate? How so?
8. How did you determine the amount of food you ate? How difficult was it for you to enter the amount of food that you ate at each meal?
9. Would you enjoy having a program such as this one on your cell phone? Why or why not?
10. Did you use the location tagging feature?
11. Was there a pattern or a rule/guideline you used to decide when to search for a food rather than enter it via "+1"s?
12. Did you ever refer to the extra information provided (the website/pdf)? How often?
13. Was there a time when you didn't believe what the diary told you? Example?
14. Were you left feeling more or less capable of implementing these dietary change patterns in your life?
15. What were 3 things you really liked about this diary?
16. What were 3 things you really didn't like about this diary?
17. Do you feel that our questions have covered your experiences with the diary and its approach? Is there anything else you find interesting, significant, or otherwise worthwhile to share?
18. Potential other items:
  - Tradeoff between "cost to enter" and "value of information"

- Did you miss the detail that comes with entering food in a traditional food journal?
- Was the "time line" feature helpful/useful/used?
- Would you recommend it to friends?
- Who would you recommend this to?
- Would you buy it? For .99? For 4.99?
- Did it make you more aware of processed versus non-processed foods?
- Did it make you more aware of what is in a food/how it's made?
- What were some of the rules you used to "enter a point"? (for example: one small apple is one point, a salad is 2 points, )
- How "normal" has the past 3 weeks been for you, apart from using this software?
- Did you use/change the goals?
- How has this experience compared to previous experience with food journaling?
- Sharing this data: would you be more or less willing to share this data with someone else: Doctor/health practitioner, coach/trainer/nutritionist, friend/-family, social network.
- Goals: "I achieved my goal of eating x number of *|component|* today!", "I achieved my *|component|* goal today!"
- About how much of what you ate was journaled?
- How often did you remember to enter it when you ate it?
- Why did you choose to participate in this study?



# Vita

Jim Fox is a Software Engineer with UW Information Technology at the University of Washington. His duties do not include maintaining this package. That is rather an avocation which he enjoys as time and circumstance allow.

He welcomes your comments to `fox@uw.edu`.