# Conceptual Dynamic Programming

Optimal Coding Simplified

# By

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# **Preface**

Programming is fun though largely intuitive and less predictable! Conceptual Programming is more fun and predictable, hence more reliable!!

Includes 100+ solved problems !!!

This book provides conceptual approach to understanding, applying and extending the computational aspect of dynamic programming. This leads to simplification of optimal coding paradigm and enforces correctness as a part of program design with great emphasis on foundational science of dynamic Programming. Familiarity with elementary calculus and probability is assumed. Though aimed primarily at programmers, it imparts the knowledge of deep internals of underlying mathematical concepts to teachers alike.

Care has been taken, as in the forthcoming ones, to present the solutions with multi-concepts and beyond in a simple natural manner, in order to meet the difficulties which are most likely to arise, and to render the work intelligible and instructive.

This work contains several variations of problems, solutions, methods, approaches to enrich, strengthen and enliven the inherent multi-concepts.

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# **List of Chapters**

Pr	eface	e i
1	Gen	nesis 1
	1.1	Optimal Loot Partition
		1.1.1 Deterministic
		1.1.2 Stochastic
	1.2	Exam Prep
	1.3	Optimal Coin Tossing
		Proving Optimality Principle
		Troining operations, Transpire
2	Con	nputation 7
	2.1	Ascension to Heaven
	2.2	Fibonacci Line Search
	2.3	Coin Change
	2.4	Constrained Subsequence
		2.4.1 Maximum Sum
		2.4.2 Maximum Product
	2.5	Stock Trading
	2.6	Binary Tree Mall Loot
	2.7	Binary Search Tree Generation
	2.8	Quantify Yogic Effect
	2.0	Stairway to Heaven 51

# Chapter Chapter

# Genesis

# 1.1 Optimal Loot Partition

#### 1.1.1 Deterministic

§ **Problem 1.1.1.** The head of a gang of robbers embarks on distribution of the looted amount l(>0), starting with division into two parts: x and l-x for  $0 \le x \le l$ . From x: they get a return of u(x) such that they are left with a lesser amount  $\alpha x: 0 < \alpha < 1$  and from l-x: a return of v(l-x) such that they are left with a lesser amount  $\beta(l-x): 0 < \beta < 1$ . So the total amount left after the first step of division is  $\alpha x + \beta(l-x)$  and the process continues. Devise the partition strategy to help them maximize the return obtained in a finite n or infinite number of steps.

**§§ Solution**. Let y(x) denote the return after the first step:

$$\therefore y(x) = u(x) + v(l - x)$$

Assuming u and v to be continuous functions, it is trivial to find the maximum of y(x) over  $x \in [0, l]$  using calculus (or graphical approach) :

$$\frac{dy}{dx} = \frac{d}{dx}u(x) + \frac{d}{dx}v(l-x) = 0$$
 (for extrema).

Solve for x and y(x) is maximum for that x for which  $\frac{d^2y}{dx^2} < 0$ .

Suppose u(x) = x and  $v(l-x) = -(l-x)^2$ , then

$$y = x - (l - x)^{2}$$

$$\therefore \frac{dy}{dx} = 1 + 2(l - x) = 0,$$

$$\therefore x = l + \frac{1}{2}.$$

$$\frac{d^{2}y}{dx^{2}} = -2 < 0.$$

$$\therefore y_{max} = l + \frac{1}{2} - \frac{1}{4} = l + \frac{1}{4}.$$

After the first step, the initial amount l is reduced to  $l_1(say)$ :

$$\therefore l_1 = \alpha x + \beta (l - x)$$

In the second step,  $l_1$  is partitioned into  $x_1$  (say) and  $(l_1 - x_1)$  for  $0 \le x_1 \le l_1$ . Hence, the return from the second step is  $u(x_1) + v(l_1 - x_1)$ . Therefore, the total return after the two steps is:

$$\therefore y(x, x_1) = u(x) + v(l - x) + u(x_1) + v(l_1 - x_1).$$

Maximum of the function  $y(x, x_1)$  over the 2-dimensional space  $(x, x_1)$  yields the maximum return, such that  $x \in [0, l]$  and  $x_1 \in [0, l_1]$ .

Similarly, the total return after n steps is :

$$\therefore y(x, x_1, x_2, \dots, x_{n-1}) = u(x) + v(l-x) + \sum_{i=1}^{n-1} \left[ u(x_i) + v(l_i - x_i) \right]. \tag{1.1}$$

Here  $x_i \in [0, l_i]$ .

Using this enumerative approach to maximize the *n*-dimensional return, the computation procedure soon becomes cumbersome, error-prone and exponential in nature.

Any choice of  $x, x_1, x_2, \ldots$  is a policy.

The policy maximizing  $y(x, x_1, x_2, ...)$  is an optimal policy.

It can be noted that each step depends on the respective policy only. Hence at the  $(i+1)^{th}$  step, the corresponding one-dimensional choice is made: a choice of  $x_i \in [0, l]$ .

Hence an optimal policy leads to the corresponding maximum return.

Let  $y_n(l)$  denote the maximum total return, given the initial amount l and n steps.

$$\therefore y_1(l) = \max_{x \in [0,l]} [u(x) + v(l-x)].$$

After the first step, l becomes  $\alpha x + \beta(l-x)$ :

$$\therefore y_2(l) = \max_{x \in [0,l]} [u(x) + v(l-x) + y_1 (\alpha x + \beta(l-x))].$$

This leads to a recurrence relation:

$$\therefore y_n(l) = \max_{x \in [0,l]} \left[ u(x) + v(l-x) + y_{n-1} \left( \alpha x + \beta(l-x) \right) \right]. \tag{1.2}$$

Hence a single n-dimensional problem is reduced to a sequence of n one-dimensional problems. Here, the optimal return depends on the initial amount l and initial decision of division into the parts l and l-x only.

This is possible due to the Principle of Optimality:

An optimal policy has the property that whatever the initial state and initial decision are, the remaining decisions must constitute an optimal policy with regard to the state resulting from the first decision.

Hence Eq. (1.2) is the required optimal strategy.

#### 1.1.2 Stochastic

§ **Problem 1.1.2.** The head of a gang of robbers embarks on distribution of the looted amount l(>0), starting with division into two parts: x and l-x for  $0 \le x \le l$ . From x: they get a return of  $u_1(x)$  with a probability  $p_1$  such that they are left with a lesser amount  $\alpha_1 x : 0 < \alpha_1 < 1$  and a return of  $u_2(x)$  with a probability  $p_2 = 1 - p_1$  such that they are left with a lesser amount  $\alpha_2 x : 0 < \alpha_2 < 1$ . Similarly from l-x: a return of  $v_1(l-x)$  with probability  $p_1$  such that they are left with a lesser amount  $\beta_1(l-x) : 0 < \beta_1 < 1$  and a return of  $v_2(l-x)$  with probability  $p_2 = 1 - p_1$  such that they are left with a lesser amount  $\beta_1(l-x) : 0 < \beta_1 < 1$ . So the total amount left after the first step of division is  $\alpha_1 x + \beta_1(l-x)$  with probability  $p_1$  and  $\alpha_2 x + \beta_2(l-x)$  with probability  $p_2$  and the process continues. Devise the partition strategy to help them maximize the return obtained in a finite n or infinite number of steps.

§§ Solution. Let  $y_n(l)$  denote the expected total return of an n-stage process, obtained using an optimal policy, starting with an initial amount l.

Then, the equations are obtained as before:

$$\begin{aligned} y_1(l) &= \underset{x \in [0,l]}{\operatorname{Max}} \left[ p_1 \left[ u_1(x) + v_1(l-x) \right] + p_2 \left[ u_2(x) + v_2(l-x) \right] \right], \\ y_n(l) &= \underset{x \in [0,l]}{\operatorname{Max}} \left[ p_1 \left[ u_1(x) + v_1(l-x) + y_{n-1} \left( \alpha_1 x + \beta_1(l-x) \right) \right] \\ &+ p_2 \left[ u_2(x) + v_2(l-x) + y_{n-1} \left( \alpha_2 x + \beta_2(l-x) \right) \right] \right]. \end{aligned}$$

As can be noted, using the expected value as the measure of the value of a policy, the stochastic process is structurally reduced to the deterministic counterpart.

1.2. Exam Prep 3

§ **Problem 1.1.3.** With the looted amount l(>0) at his disposal, the leader of a gang of robbers decides to buy a sophisticated weapon, which is not readily available. The probability of buying it is p(x) at the expense of amount x, where  $x \in [0, l]$ . If he is not able to buy the weapon at his first attempt, he continues with the remaining amount l-x. How should he proceed in order to maximize his over-all chance of success?

**§§** Solution. Let  $y_n(l)$  be the maximum over-all probability of success, given : the initial amount l and n trials.

After utilizing the amount x on the first try, the probability of buying is p(x). So the probability of not buying will be 1 - p(x). Then the leader uses an optimal policy starting with the remaining amount l - x. Hence, the required optimal procedure is

$$\therefore y_n(l) = \max_{x \in [0,l]} \{ p(x) + [1 - p(x)] y_{n-1}(l-x) \}.$$

Hence it is relatively easy to formulate the problem if the probability of failure is considered than the probability of success.

#### 1.2 Exam Prep

§ **Problem 1.2.1.** School board decides to declare the final exam's result in such a way that a student, named Ram, is either pass or fail. Initially the probability of his failure is given as p. Proper study will reduce this probability to  $\alpha p$ , where  $\alpha \in [0,1]$ . Mock test will help him know exactly whether he is fail or pass. Ram wants to pass the exam in a minimum time. What is the optimal procedure he should follow?

§§ Solution. Let f(p) be the expected minimum time to pass the exam given the initial probability of failing the same is p.

If Ram appears in the mock test, it is known that he is fail with initial probability p and he continues with that knowledge. If he is pass then the process is over.

So if he appears for the mock test as a first step, the expected minimum time is given by

$$1 + pf(1)$$
.

Otherwise if he follows the proper study plan, then the expected minimum time is given by

$$1 + f(\alpha p)$$
.

Combining these two results, the required optimal procedure is

$$\begin{split} f(p) &= \min_{p \in [0,1]} \left[ 1 + p f(1), \ 1 + f(\alpha p) \right], \\ f(0) &= 0. \end{split}$$

§ **Problem 1.2.2.** Ram (a student) plans to prepare for the final exams using any of two examguides where he is allowed to refer one of these two guides at a given stage. There is a probability  $p_1$  of scoring one mark, a probability  $p_2$  of scoring two marks and a probability  $p_3$  of finishing the study plan with the first guide. The second guide has a similar set of probabilities  $p'_1$ ,  $p'_2$  and  $p'_3$ . Chalk out the optimal study plan to help him maximize the probability of scoring at least n marks.

**§§** Solution. Let f(n) be the probability of scoring at least n marks following an optimal study plan.

If Ram scores k marks on the first step, then he continues so as to maximize the probability of scoring at least n-k marks on the following steps. Notice with  $p_3$  or  $p_3'$ , there is no gain because the process is terminated. This leads to the following optimal study plan:

$$f(n) = \max_{n \geq 2} \left[ p_1 f(n-1) + p_2 f(n-2), \ p_1' f(n-1) + p_2' f(n-2) \right].$$

This holds even for n = 0, 1 with the convention that f(-k) = 1 for  $k \ge 0$ .

§ **Problem 1.2.3.** Ram purchased two sample question papers to help him practice for the final exam. The first paper has m questions while the second has n questions. There is only one solution bank available with him. The probability of solving  $\alpha$  percent of the questions of the first paper with this solution bank is  $p_1$ , the bank still being useful. There is a probability  $(1-p_1)$  that the bank doesn't help in solving any question and will be of no further use. Similarly, the second question paper has the probabilities  $p_2$  and  $(1-p_2)$  associated with it with solving  $\beta$  percent of the questions. How does Ram proceed in order to maximize the total number of questions solved before the solution bank is rendered useless.

§§ Solution. Let f(x,y) be the expected number of questions solved using an optimal sequence of choices, when the first paper L has the number of questions x and the second paper B has the number of questions y. x,  $y \ge 0$ .

Let 
$$\alpha_1 = \frac{\alpha}{100}$$
 and  $\beta_1 = \frac{\beta}{100}$ .

Ram chooses the first paper L (say), then :

$$f_L(x, y) = p_1 \{\alpha_1 x + f [(1 - \alpha_1) x, y]\}$$

If Ram chooses the second paper M (say), then :

$$f_M(x, y) = p_2 \{\beta_1 y + f[x, (1 - \beta_1) y]\}$$

Hence, the optimal procedure is:

$$f(x,y) = \max_{x,y \ge 0} [f_L(x,y), f_M(x,y)].$$

- § Problem 1.2.4. In the § Problem 1.2.3, it is desired to maximize the expected number of the total solved questions, N. Devise the optimal procedure for maximizing the expected value of  $\delta(N)$ , where  $\delta$  is a given function.
- **§§ Solution**. Let *z* be the number of questions already solved.

Let f(x, y, z) be the expected  $\delta(N)$ , using an optimal policy.

Let 
$$\alpha_1 = \frac{\alpha}{100}$$
 and  $\beta_1 = \frac{\beta}{100}$ .

If Ram chooses the first paper L (say), then:

$$f_L(x, y, z) = p_1 f[(1 - \alpha_1) x, y, z + \alpha_1 x] + (1 - p_1) \delta(z).$$

If Ram chooses the second paper M (say), then:

$$f_M(x, y, z) = p_2 f[x, (1 - \beta_1) y, z + \beta_1 y] + (1 - p_2) \delta(z).$$

Hence, the optimal procedure is:

$$\begin{split} f(x,y) &= \max_{x,y \geq 0} \left[ f_L(x,y,z), \ f_M(x,y,z) \right], \\ f(0,0,z) &= \delta(z). \end{split}$$

- § Problem 1.2.5. Reconsider the § Problem 1.2.3 in the case in which Ram knows only the expected number of questions in each paper and the expected number of questions solved each time, without being able to observe the results of individual operations.
- §§ Solution. Let f(x,y) be the expected number of questions solved using an optimal sequence of choices when the first paper L has expected number of questions x and the second paper B has expected number of questions y.

Let 
$$\alpha_1 = \frac{\alpha}{100}$$
 and  $\beta_1 = \frac{\beta}{100}$ .  
If Ram chooses the first paper  $L$  (say), then:

$$f_L(x, y) = p_1 \{\alpha_1 x + f [(1 - \alpha_1) x, y]\}$$

If Ram chooses the second paper M (say), then:

$$f_M(x,y) = p_2 \{\beta_1 y + f[x, (1-\beta_1) y]\}$$

Hence, the optimal procedure is:

$$f(x,y) = \max_{n \ge 2} [f_L(x,y), f_M(x,y)].$$

Note that this solution is same as in § Problem 1.2.3 though the problems are quite different in structure.

#### 1.3 **Optimal Coin Tossing**

§ Problem 1.3.1. Two brothers, Ram and Shyam, Ram possessing an amount x and Shyam possessing an amount y, play a modified coin-tossing game described by the matrix:

$$M = \begin{vmatrix} m_{11} & m_{12} \\ m_{21} & m_{22} \end{vmatrix}.$$

Assuming that each player is motivated by a desire to ruin the other, how does each play? §§ Solution. Let l be the total amount of money, which remains constant in the game. Hence it is sufficient to specify the amount of money x held by Ram.

Let f(x) be the probability that Shyam is ruined before Ram when Ram has x and Shyam has l-x and when both use the optimal strategies.

Let Ram's strategy be  $p = (p_1, p_2)$ , where  $p_1$  and  $p_2$  represent the respective frequencies with which the first and second rows of M are played.

Let Shyam's strategy be  $q = (q_1, q_2)$ , where  $q_1$  and  $q_2$  represent the respective frequencies with which Shyam chooses the first and second columns of M.

$$\begin{array}{l} \therefore f(x) = p_1 q_1 f\left(x + m_{11}\right) + p_1 q_2 f\left(x + m_{12}\right) \\ + p_2 q_1 f\left(x + m_{21}\right) + p_2 q_2 f\left(x + m_{22}\right) \\ = g\left[p, q, f(x)\right] \text{ (say)}, \text{ where } x \in (0, l). \end{array}$$

If both play optimally, then

$$\begin{split} \therefore f(x) &= \mathop{\rm Max}_{p} \mathop{\rm Min}_{q} g\left[p, q, f(x)\right] \\ &= \mathop{\rm Min}_{q} \mathop{\rm Max}_{p} g\left[p, q, f(x)\right], \ x \in (0, l) \\ f(0) &= 0, \ x \leq 0, \\ f(x) &= 1, \ x \geq l. \end{split}$$

Hence f(x) is the value of the game with the payoff matrix as

$$\begin{vmatrix} f(x+m_{11}) & f(x+m_{12}) \\ f(x+m_{21}) & f(x+m_{22}) \end{vmatrix}.$$

# 1.4 Proving Optimality Principle

As noted earlier, the principle of optimality helps in transforming a single N-dimensional problem at hand to a sequence of N one-dimensional problems in a specified order (i.e. a time-like concept is introduced here: rendering this approach as a dynamic one). The functional equation has recurrent yet independent structure. This in turn establishes the transformation from optimal to functional and vice versa.

Typical optimization problem at hand is finding the maximum of a function  $\phi$  of n variables  $x_i:i\in[1,n]$ :

$$\phi(x_1, x_2, \dots, x_n) = \sum_{i=1}^n \delta_i(x_i)$$
(1.3)

taken over the region of values determined by the relations:

$$\sum_{i=1}^{n} x_i = c \tag{1.4}$$

$$x_i > 0 \tag{1.5}$$

where c is a positive constant.

This problem can be modeled as an optimal resource allocation one, where c is a fixed quantity of an economic resource. Each potential usage of the resource is an activity. As a result of using all or part of this resource in any single activity, a certain return is derived. Assuming that the return from any activity is independent of the allocations to the other activities and the total return can be obtained as the sum of the individual returns, divide the resources so as to maximize the total return.

Here  $x_i$  represents the quantity of the resource assigned to the  $i^{th}$  activity and  $\delta_i\left(x_i\right)$  represents the return from the  $i^{th}$  activity. The allocations are done one at a time, i.e. first a quantity of resources is assigned to the  $n^{th}$  activity, then to the  $(n-1)^{th}$  activity and so on. Due to this time-like ordering constraint, this is indeed a dynamic allocation process.

Since the maximum of  $\phi(x_1, x_2, \ldots, x_n)$  over the designated region depends upon c and n, a sequence of functions  $f_1(c)$ ,  $f_2(c)$ , ...,  $f_n(c)$  is defined as :

$$f_n(c) = \max_{\{x_i\}} \phi(x_1, x_2, \dots, x_n), \sum_{i=1}^n x_i = c, x_i \ge 0$$
(1.6)

$$f_n(0) = 0 \ (\because \delta_i(0) = 0)$$
 (1.7)

$$f_1(c) = \delta_1(c), \ c \ge 0.$$
 (1.8)

The function  $f_n(c)$  is then the optimal return from an allocation of the quantity of resources c to n activities.

Since  $x_n$  is allocated to the  $n^{th}$  activity, where  $x_n \in [0, c]$ , the remaining quantity of resources,  $c - x_n$ , will be used to obtain a maximum return from the remaining (n-1) activities.

Since the optimal return for n-1 activities starting with quantity  $c-x_n$  is, by definition,  $f_{n-1}\left(c-x_n\right)$ , hence the initial allocation of  $x_n$  to the  $n^{th}$  activity results in a total return of  $\delta_n\left(x_n\right)+f_{n-1}\left(c-x_n\right)$  from the n-activity process.

An optimal choice of  $x_n$  is obviously one which maximizes this function. Hence this results into the following recurrence:

$$f_n(c) = \max_{x_n \in [0, c]} \left[ \delta_n(x_n) + f_{n-1}(c - x_n) \right], \ n \ge 2, \ c \ge 0$$
 (1.9)

$$f_1(c) = \delta_1(c).$$
 (1.10)

With the known value of  $f_1(c)$ , it is easy to compute the sequence  $\{f_n(c)\}$  inductively because  $f_2(c)$  is computed from  $f_1(c)$  and so on.

It can be noted that:

$$\frac{\text{Max}}{\sum_{i=1}^{n} x_{i} = c, \ x_{i} \ge 0} = \frac{\text{Max}}{x_{n} \in [0, \ c]} \left[ \frac{\text{Max}}{\sum_{i=1}^{n-1} x_{i} = c - x_{n}, \ x_{i} \ge 0} \right]$$
(1.11)

Hence Eq. (1.6) translates as follows

$$f_{n}(c) = \underset{x_{1} \in [0, c]}{\operatorname{Max}} \underset{x_{i} = c, x_{i} \geq 0}{\operatorname{Max}} \left[ \sum_{i=1}^{n} \delta_{i} (x_{i}) \right]$$

$$= \underset{x_{n} \in [0, c]}{\operatorname{Max}} \underset{x_{i} \in [0, c]}{\operatorname{Max}} \left[ \underset{x_{i} = c - x_{n}, x_{i} \geq 0}{\operatorname{Max}} \left[ \sum_{i=1}^{n} \delta_{i} (x_{i}) \right] \right]$$

$$= \underset{x_{n} \in [0, c]}{\operatorname{Max}} \left[ \delta_{n} (x_{n}) + \underset{x_{i} = c - x_{n}, x_{i} \geq 0}{\operatorname{Max}} \left[ \sum_{i=1}^{n-1} \delta_{i} (x_{i}) \right] \right]$$

$$= \underset{x_{n} \in [0, c]}{\operatorname{Max}} \left[ \delta_{n} (x_{n}) + f_{n-1} (c - x_{n}) \right]. \tag{1.12}$$

Note that the functional equation Eq. (1.9) is derived (earlier using the principle of optimality) again as in Eq. (1.12) using elementary mathematics. This establishes the Principle of Optimality. The proof is in the pudding. The functional equation technique is more like a search procedure which is much better compared to the enumeration of all cases.

It is the Principle of Optimality that furnishes the key. According to this principal, once some initial  $x_n$  is chosen, then there is no need to examine all policies involving that particular choice of  $x_n$ , but rather only those policies which are optimal for an n-1 stage process with resources  $c-x_n$ . In this magical way, the additive operations are in force than multiplicative ones. For example, the time required for a  $n^2$ -stage process is now almost precisely n-times the time required for a n-stage process.

This computing paradigm greatly reduce the time required to solve the original problem. Note that this is possible due to combination of two procedures: the utilization of structural properties of the solution and reduction in dimension.

Additionally, though the maximum return is uniquely determined but there may be many optimal policies which yield this return. Determination of these optimal policies require creativity and insight into the problem space.

# **Computation**

#### 2.1 Ascension to Heaven

§ **Problem 2.1.1.** Once upon a time, a certain group of daemons and humans on the earth performed together some tantric rituals in a bid to go to heaven. Pleased with their devotion, Indra, the Lord of Heaven, provided his white flying majestic elephant, Airawat, for that purpose. But certain constraints were imposed throughout the process of ascension. Anyone could cling to the tail of Airawat, while allowing others to cling to him and so on, thus forming a chain. Ordering was not important. Airawat continued flying back and forth from earth to heaven with at least one being.

Initially, the number of daemons and humans on the earth were  $d_e$  and  $h_e$  respectively and the number of daemons and humans in the heaven were  $d_h$  and  $h_h$  respectively.

In order to prevent the humans from being devoured by the daemons On the earth, the following constraints were imposed:

- (a).  $\delta_e(d_e, h_e) \geq 0$  on the earth,
- (b).  $\delta_h(d_h, h_h) \geq 0$  in the heaven, and
- (c).  $\delta_a(d,h) \ge 0$  on Airawat who would not allow more than  $\gamma \ge 1$  beings for a ride.

Find the maximum number of beings ascended to heaven without any human sacrifice.

**§§** Solution. Due to prohibition of human sacrifice by the daemons, the total number of beings remains constant throughout the process of ascension: namely,  $d_e + h_e + d_h + h_h$ . Hence at any time, the state of system is completely specified by the numbers  $d_e$  and  $h_e$ .

Let the function  $f_n\left(d_e,h_e\right)$  represent the maximum number of beings in the heaven at the end of n stages, starting with  $d_e$  daemons and  $h_e$  humans on the earth and  $d_h$  daemons and  $h_h$  humans in the heaven.

It is assumed that once everyone has ascended to the heaven then Airawat goes back to Indra. Hence the process is terminated, i.e. there is no need for anyone to descend back to the earth.

During one stage of the process, the following sequence of actions takes place:

- 1. Airawat ascends to heaven with  $\alpha_1 \in [0, d_e]$  daemons and  $\beta_1 \in [0, h_e]$  humans, followed by
- 2. Airawat descends back to the earth with  $\alpha_2 \in [0, d_h + \alpha_1]$  daemons and  $\beta_2 \in [0, h_h + \beta_1]$  humans. Since Airawat would not allow more than  $\gamma \geq 1$  beings for a ride, hence

$$\alpha_1 + \beta_1 \le \gamma, \ \alpha_2 + \beta_2 \le \gamma$$

Additionally, on Airawat:

$$\delta_a\left(\alpha_1,\beta_1\right) \ge 0, \ \delta_a\left(\alpha_2,\beta_2\right) \ge 0$$

On the earth:

$$\delta_e (d_e - \alpha_1, h_e - \beta_1) \ge 0$$
  
$$\delta_e (d_e - \alpha_1 + \alpha_2, h_e - \beta_1 + \beta_2) \ge 0.$$

In the heaven:

$$\delta_h (d_h + \alpha_1, h_h + \beta_1) \ge 0$$
  
$$\delta_h (d_h + \alpha_1 - \alpha_2, h_h + \beta_1 - \beta_2) \ge 0$$

Using the principle of optimality, the functional equation approach leads to the following recurrence relation :

$$f_n(d_e, h_e) = \max_{\alpha, \beta} f_{n-1}(d_e - \alpha_1 + \alpha_2, h_e - \beta_1 + \beta_2), n \ge 2$$

For n = 1:

$$f_1\left(d_e, h_e\right) = \max_{\alpha, \beta} \left[ \left(d_h + \alpha_1\right) + \left(h_h + \beta_1\right) \right].$$

where  $\alpha_1$  and  $\beta_1$  are subject to the foregoing constraints.

- § **Problem 2.1.2.** In § Problem 2.1.1, find the minimum number of round-trips required by Airawat to bring all the beings to heaven (if feasible).
- §§ Solution. Let n be the required minimum number of round-trips.

Once all the beings are brought to heaven then total number of beings in heaven is  $[(d_e + d_h) + (h_e + h_h)]$ . Hence as soon as  $f_n$  attains this value, the corresponding n is the required minimum.

- § **Problem 2.1.3.** Once upon a time, a group of three daemons and three humans on the earth performed together some tantric rituals in a bid to go to heaven. Pleased with their devotion, Indra, the Lord of Heaven, provided his white flying majestic elephant, Airawat, for that purpose. Airawat would not allow more than two beings for a ride. Anyone could cling to the tail of Airawat, while allowing others to cling to him and so on, thus forming a chain. Ordering was not important. Airawat continued flying back and forth from earth to heaven with at least one being. As soon as the number of daemons was higher than that of humans, even momentarily, the daemons would devour the humans, whether on the earth or with Airawat or in the heaven. Determine an optimal strategy of ascension of everyone to the heaven without any human sacrifice.
- §§ Solution. A generic algorithmic approach to the stated problem, using functional equation technique resulting from the principle of optimality, is already chalked out in the solutions of § Problem 2.1.1 and § Problem 2.1.2.

For the n-stage process, choice of the possible initial states is dictated by the specified constraints. Others lead to the human sacrifice which in undesired for the process.

Let (d, h) represent the following state :

- there are d daemons and h humans on the earth, hence
- there are 3-d daemons and 3-h humans in the heaven.

Here  $d \in [0,3]$  and  $h \in [0,3]$ . Since both d and h can take any of the four values : (0, 1, 2, 3), hence the total number of initial states is 16. To avoid human sacrifice, the number of daemons, either on the earth or in the heaven, should not be greater than that of the humans except when there in no human.

$d_e(=d)$	$h_e(=h)$	$d_h (= 3 - d)$	$h_h (= 3 - h)$	human sacrifice
0	0	3	3	N
0	1	3	2	$Y(d_h > h_h)$
0	2	3	1	$Y(d_h > h_h)$
0	3	3	0	$N(d_h > h_h = 0)$
1	0	2	3	N
1	1	2	2	N
1	2	2	1	$Y(d_h > h_h)$
1	3	2	0	$N(d_h > h_h = 0)$
2	0	1	3	N
2	1	1	2	$Y(d_e > h_e)$
2	2	1	1	N
2	3	1	0	$N(d_h > h_h = 0)$
3	0	0	3	$N(d_e > h_e = 0)$
3	1	0	2	$Y(d_e > h_e)$
3	2	0	1	$Y(d_e > h_e)$
3	3	0	0	N
	-			-

The state (0,0) implies that everyone is the heaven in which case nothing needs to be done.

The state (0,3) implies that since all the three daemons are already in heaven, hence they are higher in number leading to human sacrifice in the heaven in the next step.

Hence only the following initial states are feasible (no human sacrifice):

With these initial states, computation using the algorithmic solution of § Problem 2.1.1 can be done as follows.

$$f_1(d_e, h_e) = \max_{\alpha, \beta} \left[ (d_h + \alpha_1) + (h_h + \beta_1) \right]$$
  
 
$$f_1(1, 0) = 6, \ f_1(1, 1) = 6, \ f_1(1, 3) = 2, \ f_1(2, 0) = 6,$$
  
 
$$f_1(2, 2) = 3, \ f_1(2, 3) = 2, \ f_1(3, 0) = 4, f_1(3, 3) = 1.$$

For example: to compute  $f_1(1,3)$ : the only feasible moves, satisfying the constraints, are: Airawat flies with 2 humans to the heaven and flies back to earth with 1 daemon and 1 human.  $\therefore \alpha_1 = 0, \ \beta_1 = 2, \ \alpha_2 = 1, \ \beta_2 = 1.$ 

Similarly with  $f_1(2,3)$ : Airawat flies with 2 daemons to the heaven and flies back to earth with 1 daemon.

Note that the six-valued functions repeat themselves, i.e, if  $f_k(i,j)=6$  then  $f_{k+l}=6$  for  $l=1,2,\ldots$ 

Hence computations using the recurrence relation is done for all non-six values:

$$f_2(1,3) = 3$$
,  $f_2(2,2) = 4$ ,  $f_2(2,3) = 2$ ,  $f_2(3,0) = 6$ ,  $f_2(3,3) = 1$ .

For example :

$$f_2(1,3) = f_1 (1 - \alpha_1 + \alpha_2, 3 - \beta_1 + \beta_2)$$
  
=  $f_1(1 - 0 + 1, 3 - 2 + 1) = f_1(2, 2) = 3.$ 

Similarly

$$f_3(1,3) = 4, \ f_3(2,2) = 6, \ f_3(2,3) = 3, \ f_3(3,3) = 2.$$

$$f_4(1,3) = 6, \ f_4(2,3) = 4, \ f_4(3,3) = 3.$$

$$f_5(2,3) = 6, \ f_5(3,3) = 4.$$

$$f_6(3,3) = 6.$$

Note that, at sixth stage, the process is over, i.e., everyone is in the heaven. Therefore, the required number of crossings is 6.

Recording the maximizing decision at each stage will determine the optimal policy.

#### 2.2 Fibonacci Line Search

A real-valued continuous function f(x) is called a convex function over  $x \in [a, b]$ , if its value at the mid-point of every interval in [a, b] never exceeds the arithmetic mean of its values at the ends of the interval. For example :  $x^2$  and  $e^x$ .

Therefore, for any interval  $[x_1, x_2] \in [a, b]$ , the following inequality holds :

$$f\left(\frac{x_1+x_2}{2}\right) \le \frac{f(x_1)+f(x_2)}{2}.$$

Note that, the graph of a convex function lies below the line segment between any two points  $[x_1, x_2] \in [a, b]$ .

A real-valued continuous function f(x) is called a concave function over  $x \in [a, b]$ , if its value at the mid-point of every interval in [a, b] always exceeds the arithmetic mean of its values at the ends of the interval.

$$\therefore f\left(\frac{x_1+x_2}{2}\right) \ge \frac{f(x_1)+f(x_2)}{2}.$$

Note that, the graph of a concave function lies above the line segment between any two points  $[x_1, x_2] \in [a, b]$ .

 $\therefore$  concave function = -convex function.

A real-valued continuous function f(x) is called a unimodal function over  $x \in [a,b]$ , if  $\exists \alpha \in [a,b]$ , such that the following holds :

- 1.  $x \le \alpha$ : f(x) is monotonically increasing, and
- 2.  $x > \alpha$ : f(x) is monotonically decreasing.

or, the following holds:

- 1.  $x < \alpha : f(x)$  is monotonically increasing, and
- 2.  $x \ge \alpha$ : f(x) is monotonically decreasing.

Note that, concave functions are unimodal functions too. Finding the maximum of a concave function f(x) is same as finding the minimum of the convex function -f(x).

§ **Problem 2.2.1.** Fibonacci line search is an optimal search algorithm for determining the location of the point  $\alpha$  of a unimodal function as defined earlier.

Let f(x) be a unimodal function over  $x \in [0, C_n]$ . Assuming that the number  $C_n$  possesses a property that the point, at which f(x) is maximum, can be located within a unit-length sub-interval by calculating at most n values of the function f(x) and making comparisons.

If  $F_n = \operatorname{Max} C_n$ , then prove that  $F_n$  is the  $n^{th}$  Fibonacci number, i.e.:

$$F_0 = 1 = F_1$$
  
 $F_n = F_{n-1} + F_{n-2}, \ n \ge 2.$ 

**§§** Solution. If n = 0, then the domain of f(x) is  $[0, C_0]$ . Since no value of f(x) is given and the sub-interval is of unit length,

$$\therefore F_0 = \operatorname{Max} C_0 = 1.$$

For n = 1, the domain of f(x) is  $[0, C_1]$ . Since only one value of f(x) is given, this is not sufficient to locate the maximum value,

$$\therefore F_1 = \operatorname{Max} C_1 = 1.$$

For  $n \ge 2$ : for  $(x_1, x_2) \in (0, C_n)$ , the values of  $f(x_1)$  and  $f(x_2)$  are computed.

If  $f(x_1) > f(x_2)$ , then  $f_{max} \in (0, x_2)$ .

If  $f(x_2) > f(x_1)$ , then  $f_{max} \in (x_1, C_n)$ .

If  $f(x_1) = f(x_2)$ , then  $f_{max} \in (x_1, x_2)$ . Still either of the previous two intervals is chosen for the sake of simplicity.

Thus, at each stage after the first computation, the process yields a sub-interval of  $[0, C_n]$  and the value of f(x) at an interior point within that sub-interval.

Note that, values at the ends of an interval is of no use for the intended purpose.

For n=2:  $C_n=C_2=2-\delta$ ,  $x_1=1-\delta$ ,  $x_2=1$ , for an infinitesimal  $\delta>0$ .  $\therefore \operatorname{Max} C_2\geq 2$ . But as per the foregoing analysis:  $\operatorname{Max} C_2<2+\gamma$  for any  $\gamma>0$ .

$$F_2 = \text{Max } C_2 = 2 = F_1 + F_0.$$

For n > 2: inductive approach will be used.

Assume that  $F_k = F_{k-1} + F_{k-2}$  for  $k \in [2, n-1]$ .

To prove :  $F_n = F_{n-1} + F_{n-2}$ .

Assume  $[x_1, x_2] \in [0, C_n]$ .

If  $f(x_1) > f(x_2)$ , then  $f_{max} \in (0, x_2)$ .

Note that for k = n - 1, i.e. when n - 1 calculations are allowed, since  $x_1$  is already chosen as the first choice, there are only n - 2 more choices are allowed.  $\therefore x_2 < F_{n-1}$ .

Additionally, since  $f_{max} \in (0, x_1)$  with only n-2 choices left,  $x_1 < F_{n-2}$ .

Similarly, if  $f(x_2) > f(x_1) : C_n - x_1 < F_{n-1}$ .

$$\therefore C_n < x_1 + F_{n-1} < F_{n-1} + F_{n-2} \therefore F_n = \text{Max } C_n \le F_{n-1} + F_{n-2}.$$
(2.1)

Note that, the choice of  $C_n$ ,  $x_1$  and  $x_2$  can be made arbitrarily close to their respective upper bounds, namely:  $F_{n-1} + F_{n-2}$ ,  $F_{n-2}$  and  $F_{n-1}$  as follows:

$$C_n = \left(1 - \frac{\delta}{2}\right) (F_{n-1} + F_{n-2})$$

$$x_1 = \left(1 - \frac{\delta}{2}\right) F_{n-2}$$

$$x_2 = \left(1 - \frac{\delta}{2}\right) F_{n-1}.$$

Since  $\delta$  can be made arbitrarily small,

$$\therefore F_n \ge F_{n-1} + F_{n-2}. \tag{2.2}$$

From Eq. (2.1) and Eq. (2.2), it follows:

$$F_n = F_{n-1} + F_{n-2}.$$

Note that, after comparing  $f(x_1)$  and  $f(x_2)$ , length of the interval left is  $C_{n-1} = \left(1 - \frac{\delta}{2}\right) F_{n-1}$ . Additional there is a value calculated at an optimal first position for the smaller interval.

Similarly, 
$$C_k = \left(1 - \frac{\delta}{2}\right) F_k$$
 for  $k \in [2, n]$ .  

$$\therefore C_2 = \left(1 - \frac{\delta}{2}\right) F_2 = 2 - \delta$$
, so that the final interval is of unit length.

§ **Problem 2.2.2.** Let f(x) be a unimodal function defined only for discrete values of x, say, a set of  $C_n$  points. Assume that the integer  $C_n$  possesses a property that the maximum of f(x) can always be identified in n computations and subsequent comparisons.

If  $D_n = \operatorname{Max} C_n$ , then prove that

$$D_n = -1 + F_{n+1}, \ n \ge 1.$$

where  $F_n$  is the  $n^{th}$  Fibonacci number, i.e.:

$$F_0 = 1 = F_1$$

$$F_n = F_{n-1} + F_{n-2}, \ n \ge 2.$$

**§§** Solution. For the sake of simplicity, let the discrete points be in ascending order in units of one, i.e.  $x \in [1, 2, 3, ..., C_n]$ .

It is easy to observe that  $D_1 = 1 = -1 + F_2$ ,  $D_2 = 2 = -1 + F_3$ ,  $D_3 = 4 = -1 + F_5$ .

As earlier, the proof by induction will be adopted for n > 3.

Assume that  $D_k = -1 + F_{k-1}$  for  $k \in [4, n-1]$ .

To prove that  $D_n = -1 + F_{n+1}$ .

Assume  $[x_1, x_2] \in [4, C_n]$ .

In the light of similar logic as in § Problem 2.2.1, it can be deduced that

$$x_1 \le D_{n-2} + 1$$

$$C_n - x_1 \le D_{n-1}$$

$$\therefore C_n \le x_1 + D_{n-1}$$

$$\le D_{n-2} + 1 + D_{n-1}$$

$$= (-1 + F_{n-1}) + 1 + (-1 + F_n)$$

$$= -1 + F_{n+1}.$$

# 2.3 Coin Change

§ **Problem 2.3.1.** Given a list of denominations for k > 0 coins,  $c_i : i \in [0..k-1]$  and an unlimited supply of any denomination, determine the minimum number of coins needed to make change for a given amount  $s \ge 0$ .

**§§** Solution. Let  $f_n(s)$  be the minimum number of coins needed to make change for a given amount s, obtained using an optimal policy and n steps.

Let  $c_i$  be the denomination of the first or last coin, i.e.  $c_i$  is used at the  $1^{st}$  or  $n^{th}$  step respectively. Then we can use an optimal policy starting with the remaining amount  $s - c_i \ge 0$ .

Hence the required optimal procedure is

$$f_n(s > 0) = \min_{\substack{i \in [0, \ k-1] \\ s-c_i \ge 0}} [f_{n-1}(s-c_i) + 1]$$

$$f_n(0) = 0$$

$$f_n(s < 0) = 0$$

i.e. if  $c_i$  is the first (or last) coin in the optimal solution to making change for amount s, then one  $c_i$  coin plus  $f_{n-1}(s-c_i)$  coins to make change for the remaining amount  $s-c_i$  is the optimal procedure to make change for the total amount s.

**Intuitive Proof:** Let us prove that the optimal solution  $f_n(s)$  for the amount s contains within it an optimal solution  $f_{n-1}(s-c_i)$  for the amount  $s-c_i$ . Let us assume that the optimal solution  $f_n(s)$  uses m coins and it is known that this optimal solution uses a coin  $c_i$ . Then there are m-1 coins in the solution  $f_{n-1}(s-c_i)$  used within the optimal solution  $f_n(s)$ . If  $f_{n-1}(s-c_i)$  used fewer than m-1 coins, then this solution can be used to produce a solution  $f_n(s)$  that uses less than k coins, which contradicts the optimality of our solution. Hence the solution  $f_{n-1}(s-c_i)$  is also an optimal one.

#### Algorithm 1 Minimum Coin Change: Iterative (Bottom-up) Approach

```
1: function min-coin-change(c[0..k-1], s)
                                                    \triangleright 0 coins needed to make change for the amount 0
 2:
         f[0] \leftarrow 0
 3:
         for i \leftarrow 1, s do
 4:
             f[i] \leftarrow \infty
             for j \leftarrow 0, k-1 do
 5:
 6:
                 if c[j] \leq i then
                      f[i] \leftarrow \operatorname{Min}\left(f[i-c[j]]\right) + 1
 7:
 8:
 9:
             end for
10:
         end for
         return f[s]
11:
12: end function
```

This is also known as **forward dynamic programming**.

We need to try k denominations of coins per state in the amount s, hence the time complexity is  $\mathcal{O}(ks)$ . We are using a storage of size s+1 to store (and possibly reuse)\* the optimally computed states, therefore the space complexity is  $\mathcal{O}(s)$ .

```
int min coin change(std::vector<int> & coins, int amount)
2 {
      std::vector<int> f(amount + 1, std::numeric limits<int>::max()/2);
3
4
      f[0] = 0;
5
      for(int i = 1; i \le amount; ++i)
8
          for(int c : coins)
9
10
               if(c \le i)
11
12
                   f[i] = std::min(f[i], f[i-c] + 1);
13
               }
14
15
16
      return f[amount] > amount ? -1 : f[amount];
17
18 }
```

#### Algorithm 2 Minimum Coin Change: Recursive (Top-down) Approach

```
1: f[0..s+1] \leftarrow 0
 2: function min-coin-change(c[0..k-1], s)
        if f[s] \neq 0 then
 3:
 4:
            return f[s]
 5:
        end if
       min \leftarrow \infty
 6:
 7:
        for i \leftarrow 0, k-1 do
            res \leftarrow min\text{-coin-change}(c[0..k-1], s-i)
 8.
            if res > 0 and res < min then
 9:
10:
               min \leftarrow 1 + res
            end if
11.
12:
        end for
13:
        f[s] \leftarrow min
        return f[s]
14:
15: end function
```

This is also known as backward dynamic programming.

<sup>\*</sup>Memoization

```
1 int min coin change(std::vector<int> & coins, int amount, std::vector<int> & f)
2 {
      if (amount < 0) return -1;
      if (amount == 0) return 0;
5
6
      if(f[amount] != 0) return f[amount];
8
      int min = std::numeric limits<int>::max()/2;
10
      int res = 0;
11
      for(int c : coins)
13
14
          res = min coin change(coins, amount - c, f);
15
16
          if(res >= 0 and res < min)
17
18
              min = 1 + res;
19
20
21
      f[amount] = (min == std::numeric limits < int > ::max()/2) ? -1 : min;
23
      return f[amount];
25
26 }
28
29 int min coin change(std::vector<int> & coins, int amount)
30 {
      std::vector<int> f(amount + 1, 0);
31
      return min coin change(coins, amount, f);
33
34 }
```

§ **Problem 2.3.2.** In § Problem 2.3.1, identify the optimal set of coins for making the change for the amount s.

§§ Solution. In § Problem 2.3.1, we need to construct the optimal solution from the computed information.

return changes;

34

#### Algorithm 3 Minimum Coin Change: Optimal set of coins

```
1: function min-coin-change(c[0..k-1], s)
   2:
         f[0] \leftarrow 0
   3:
         for i \leftarrow 1, s do
             f[i] \leftarrow \infty
   4:
   5:
             for coin \in c[0..k-1] do
   6:
                if coin \leq i then
                    if f[i - coin] + 1 < f[i] then
   7:
                       f[i] \leftarrow f[i - coin] + 1
   8.
                       coinset[i] \leftarrow coin
   9:
                    end if
  10:
                end if
  11:
             end for
  12:
         end for
                             \triangleright coinset[s] is the first coin in the optimal solution for amount s
  13:
  14:
         changes \leftarrow \emptyset
         if f[s] > s then
  15:
                                                                                         ▷ No solution
             return changes
  16:
  17:
         end if
         j \leftarrow s
  18:
         while i > 0 do
  19:
  20:
             changes.add(coinset[j])
             j \leftarrow j - coinset[j]
  21:
         end while
  22:
  23:
         return changes
  24: end function
1 std::vector<int> min coin change(std::vector<int> & coins, int amount)
2 {
       std::vector<int> f(amount + 1, std::numeric limits<int>::max()/2);
3
       std::vector<int> coinset(amount + 1, 0);
4
       std::vector<int> changes;
5
6
       f[0] = 0;
8
       for(int i = 1; i \le amount; ++i)
9
10
            for(int c : coins)
11
12
                 if(c \le i)
13
14
                      if(f[i-c] + 1 < f[i])
15
16
                           f[i] = f[i-c] + 1;
17
                           coinset[i] = c;
18
                      }
19
                 }
20
            }
2.1
       }
22
23
       if(f[amount] > amount) return changes;
24
25
       int j = amount;
26
27
       \mathbf{while}(j > 0)
28
29
            changes.push back(coinset[j]);
30
            j = coinset[j];
31
32
```

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35 }

There is an additional time complexity of  $\mathcal{O}(s)$  due to while loop and an additional space complexity of  $\mathcal{O}(s)$  for the additional storage.

Hence total time complexity is  $\mathcal{O}(ks)$  and space complexity is  $\mathcal{O}(s)$ .

coins	amount	changes
25, 10, 5	30	25, 5
2,3,5,6,7,8	10	2,8
1,2,5	11	1,5,5
1,2,3	4	1,4
2,5,3,6	10	5,5
9,6,5,1	11	6,5
3	5	
1	3	1,1,1

§ **Problem 2.3.3.** In § Problem 2.3.1, determine total number of ways to make change for the amount s.

#### Algorithm 4 Coin Change: No of Ways

```
§§ Solution. 1: function ways-coin-change(c[0..k-1], s)
       f[0] \leftarrow 1
                                       ▷ 1 way (empty set) to make change for the amount 0
2:
       for coin \in c[0..k-1] do
3:
          for i \leftarrow coin, s do
4:
              f[i] \leftarrow f[i] + f[i - coin]
5:
          end for
6:
7:
       end for
       return f[s]
9: end function
```

Time complexity is  $\mathcal{O}(ks)$  and space complexity is  $\mathcal{O}(s)$ .

```
int ways coin change(std::vector<int> & coins, int amount)
2 {
      std::vector<int> f(amount + 1, 0);
4
      f[0] = 1;
6
      for(int coin : coins)
7
8
          for(int i = coin; i <= amount; ++i)</pre>
10
               f[i] += f[i-coin];
12
13
      return f[amount];
14
15 }
```

There are 4 number of ways to make change for amount = 5 with the coins  $= \{1, 2, 5\}$ 

```
[5, [2,2,1], [2,1,1,1], [1,1,1,1,1]].
```

#### 2.4 Constrained Subsequence

#### 2.4.1 Maximum Sum

§ **Problem 2.4.1.** Given a sequence of  $n \in (-\infty, \infty)$  integers, determine the largest possible sum of the contiguous subsequence.

**§§** Solution. Let  $f_n(i)$  be the maximum sum of a contiguous subsequence ending at index i, obtained using an optimal policy and n steps.

Let  $s_i$  be the value of the element at index i, i.e.  $s_i$  is used at the  $n^{th}$  step. The we can use an optimal policy starting with previously accumulated maximum sum of a contiguous subsequence ending at index i-1.

Hence the required optimal procedure is

$$\therefore f_n(i) = \max_{i \in [0, n-1]} [f_{n-1}(i-1) + s_i]$$

At each step (with addition of  $s_i$ ), there are 2 options :

- 1. leverage the previous accumulated maximum sum if  $f_{n-1}(i-1) + s_i > 0$ , because it is better to continue with a positive running sum or
- 2. start afresh with a new range (with the starting sum as 0) if  $f_{n-1}(i-1) + s_i < 0$ , because it is better to start with 0 than continuing with a negative running sum.

Also note that:

- If all the elements are negative, then there is no such subsequence, i.e. the required sum is 0.
- If all the elements are positive, then the entire sequence is the required subsequence, i.e. the required sum is the sum of all the elements of the sequence.
- The required subsequence (if any) starts at and ends with a positive value.

#### Algorithm 5 Maximum sum contiguous subsequence : compute sum

```
1: function maxseq(s[0..n-1])
2: currentsum \leftarrow 0
3: maxsum \leftarrow 0
4: for x \in s[0..n-1] do
5: currentsum \leftarrow \mathbf{max}(currentsum + x, 0)
6: maxsum \leftarrow \mathbf{max}(maxsum, currentsum)
7: end for
8: return maxsum
9: end function
```

Time complexity is  $\mathcal{O}(n)$ . Space complexity is  $\mathcal{O}(1)$ .

```
int maxseg(std::vector<int> & s)
2 {
      int current sum = 0;
3
      int max sum = 0;
4
5
      for(int x : s)
6
7
          current sum = std::max(current sum + x, 0);
8
          max sum = std::max(max sum, current sum);
9
10
      return max sum;
11
12 }
```

§ **Problem 2.4.2.** In § Problem 2.4.1, identify the start and end indices of the contiguous subsequence having the largest possible sum..

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**§§** Solution. We need to construct the optimal solution from the computed information, i.e. identify the indices i and j such that  $\max_{i < j} (s_i + ... + s_j)$ .

#### Algorithm 6 Maximum sum contiguous subsequence : compute indices

```
1: function maxseq(s[0..n-1])
 2:
       currentsum \leftarrow 0
       maxsum \leftarrow 0
3:
 4:
       current sum start \leftarrow 0
 5:
       maxsumstart \leftarrow 0
       maxsumend \leftarrow 0
 6:
       for i \in [0, n) do
 7:
 8:
           currentsum \leftarrow currentsum + s[i]
           if currentsum < 0 then
9:
               currentsum \leftarrow 0
10:
               current sum start \leftarrow i+1
11:
           else if currentsum > maxsum then
12.
13:
               maxsum \leftarrow currentsum
14.
               maxsumstart \leftarrow currentsumstart
15:
               maxsumend \leftarrow i
           end if
16:
17.
       end for
       return maxsumstart, maxsumend
19: end function
```

Time complexity is  $\mathcal{O}(n)$ . Space complexity is  $\mathcal{O}(1)$ .

```
1 std::pair<int, int> maxseq(std::vector<int> & s)
2 {
3
      int current sum = 0;
      int \max sum = 0;
      int current sum start = 0;
      int max sum start = 0;
      int max sum end = 0;
8
9
      int n = s.size();
10
      for(int i = 0; i < n; i++)
12
13
           current sum = current sum + s[i];
14
15
           if(current sum < 0)
16
17
               current sum = 0;
18
               current sum start = i + 1;
19
20
           else
21
           if(current sum > max sum)
2.2
23
               \max sum = current sum;
24
25
               max sum start = current sum start;
26
               \max \text{ sum end} = i;
           }
27
28
29
      if(max sum != 0) return {max sum start, max sum end};
30
      else return \{-1, -1\};
31
32 }
```

Sequence	<start end="" index="" index,=""></start>	Max Subsequence	Max Sum
34, -50, 42, 14, -5, 86	<2, 5>	42, 14, -5, 86	137
-5, -1, -8, -9	<-1, -1>		0
-2, 1, -3, 4, -1, 2, 1, -5, 4	<3, 6>	4, -1, 2, 1	6
4, -1, 2, 1	<0, 3>	4, -1, 2, 1	6
4	<0, 0>	4	4

- § **Problem 2.4.3.** Given a sequence of  $n \in (-\infty, \infty)$  integers, determine a non-contiguous subsequence having the largest possible sum.
- **§§** Solution. Let  $f_n(i)$  be the maximum sum of a non-contiguous subsequence ending at index i, obtained using an optimal policy of a n-stage process.

Let  $s_i$  be the value of the element at index i. Since no two elements are adjacent, there are 2 options:

```
1. s_i is included: f_n^{inclusive}(i) = f_n(i-2) + s_i
```

2. 
$$s_i$$
 is excluded:  $f_n^{exclusive}(i) = f_n(i-1)$ 

Hence the required optimal procedure is

$$f_n(i) = \text{Max}\{f_n(i-2) + s_i, f_n(i-1)\}\$$
  
 $f_n(0) = s_0$   
 $f_n(1) = \text{Max}(s_0, s_1)$ 

#### Algorithm 7 Maximum sum non-contiguous subsequence : compute sum

```
1: function maxncseq(s[0..n-1])
2: f[0..n-1] \leftarrow \{0\}
3: f[0] \leftarrow s[0]
4: f[1] \leftarrow \max(s[0], s[1])
5: for i \in [2, n) do
6: f[i] \leftarrow \max(f[i-2] + s[i], f[i-1])
7: end for
8: return f[n-1]
9: end function
```

Time complexity is  $\mathcal{O}(n)$ . Space complexity is  $\mathcal{O}(n)$ .

```
1 int maxncseq(std::vector<int> & s)
2 {
      if(s.empty()) return 0;
      int n = s.size();
      std::vector < int > f(n, 0);
8
      f[0] = s[0];
9
      f[1] = std :: max(s[1], s[0]);
10
11
      for(int i = 2; i < n; ++i)
12
13
           f[i] = std::max(f[i-2] + s[i], f[i-1]);
14
15
      return f[n-1];
16
17 }
```

 ${\bf Algorithm~8~} {\bf Maximum~sum~non\text{-}contiguous~subsequence: compute~sum: space~optimized}$ 

```
1: function maxncseq(s[0..n-1])
                                                                                                               \triangleright sum till i-2
 2.
         f2 \leftarrow 0
 3:
         f1 \leftarrow 0
                                                                                                               \triangleright sum till i-1
                                                                                                                     \triangleright sum till i
         f \leftarrow 0
 4:
         for e \in s[0..n-1] do
 5:
              f \leftarrow \max(f2 + e, f1)
 6:
              f2 \leftarrow f1
 7:
              f1 \leftarrow f
 8.
         end for
 9:
10:
         return f1
11: end function
```

Time complexity is  $\mathcal{O}(n)$ . Space complexity is  $\mathcal{O}(1)$ .

```
1 int maxncseq(std::vector<int> & s)
2 {
      if(s.empty()) return 0;
3
4
      int f2 = 0, f1 = 0;
5
      for(int e : s)
7
8
          int f = std :: max(f2 + e, f1);
9
          f2 = f1;
10
          f1 = f;
11
12
      return f1;
13
14 }
 Or.
1 int maxncseq(std::vector<int> & s)
2 {
      if(s.empty()) return 0;
3
      int lastsum = 0, prev maxsum = 0, maxsum = 0;
      for(int e : s)
7
8
          prev maxsum = maxsum;
9
          maxsum = std::max(lastsum + e, maxsum);
10
          lastsum = prev maxsum;
11
12
      return maxsum;
13
14 }
```

Sequence	Non Contiguous Subsequence	Max Sum
1,2,5,2	1,5	6
1,7,8,4,2	1,8,2	11

<sup>§</sup> **Problem 2.4.4.** Given a sequence s of  $n \in (-\infty, \infty)$  integers, find a contiguous subsequence of s having the smallest possible sum.  $\Diamond$ 

Let  $s_i$  be the value of the element at index i, i.e.  $s_i$  is used at the  $n^{th}$  step. The we can use an optimal policy starting with previously accumulated maximum sum of a contiguous subsequence ending at index i-1.

**<sup>§§</sup>** Solution. The solution is similar to § Problem 2.4.1. Let  $f_n(i)$  be the minimum sum of a contiguous subsequence ending at index i, obtained using an optimal policy of a n-stage process.

Hence the required optimal procedure is

$$\therefore f_n(i) = \min_{i \in [0, n-1]} [f_{n-1}(i-1) + s_i]$$

At each step (with addition of  $s_i$ ), there are 2 options :

- 1. leverage the previous accumulated minimum sum if  $f_{n-1}(i-1) + s_i < s_i$ , or
- 2. start afresh with a new range with  $s_i$ .

Also note that:

- If all the elements are positive, then the required sum is value of the least +ve element.
- If all the elements are negative, then the entire sequence is the required subsequence, i.e. the required sum is the sum of all the elements of the sequence.

#### Algorithm 9 Minimum sum contiguous subsequence

```
1: function minseq(s[0..n-1])
2: currentmin \leftarrow \infty
3: minsum \leftarrow 0
4: for x \in s[0..n-1] do
5: currentmin \leftarrow \min(currentmin + x, x)
6: minsum \leftarrow \min(minsum, currentmin)
7: end for
8: return minsum
9: end function
```

Time complexity is  $\mathcal{O}(n)$ . Space complexity is  $\mathcal{O}(1)$ .

```
int minseq(std::vector<int> & s)
2 {
      int current min = 0;
3
      int min sum = std::numeric limits<int>::max();
      for(int x : s)
6
7
          current min = std::min(current min + x, x);
8
          min sum = std::min(min sum, current min);
9
10
      return min sum;
11
12 }
```

After multiplication with a negative element (say -1), maximum becomes minimum and vice versa:

# **Algorithm 10** Min sum contiguous subsequence : Find max of -ve

```
1: function minseq(s[0..n-1])
2: currentmax \leftarrow -\infty
3: maxsum \leftarrow 0
4: for x \in s[0..n-1] do
5: currentmax \leftarrow \mathbf{max}(currentmax + (-x), -x)
6: maxsum \leftarrow \mathbf{max}(maxsum, currentmax)
7: end for
8: \mathbf{return} - maxsum
9: end function
```

Time complexity is  $\mathcal{O}(n)$ . Space complexity is  $\mathcal{O}(1)$ .

```
int minseq(std::vector<int> & s)
2 {
      int current max = 0;
3
      int max sum = std::numeric limits<int>::min();
5
      for(int x : s)
6
7
          current max = std::max(current max + (-x), -x);
8
          max sum = std::max(max sum, current max);
9
10
      return -max sum;
11
12 }
```

§ **Problem 2.4.5.** In § Problem 2.4.4, identify the start and end indices of the contiguous subsequence having the smallest possible sum..

**§§ Solution**. We need to construct the optimal solution from the computed information, i.e. identify the indices i and j such that  $\min_{i \le j} (s_i + ... + s_j)$ .

#### Algorithm 11 Minimum sum contiguous subsequence : compute indices

```
1: function minseq(s[0..n-1])
 2:
        currentmin \leftarrow 0
3:
        minsum \leftarrow \infty
        curminstart \leftarrow 0
 4:
        minstart \leftarrow 0
        minend \leftarrow 0
 6:
        for i \in [0, n) do
 7:
            currentmin \leftarrow currentmin + s[i]
8:
           if currentmin > s[i] then
9.
10:
                currentmin \leftarrow s[i]
                curminstart \leftarrow i
11:
            end if
12:
13:
            if minsum > currentmin then
                minsum \leftarrow currentmin
14:
                minstart \leftarrow curminstart
15.
                minend \leftarrow i
16:
            end if
17:
18:
        end for
        return minstart, minend
19:
20: end function
```

Time complexity is  $\mathcal{O}(n)$ . Space complexity is  $\mathcal{O}(1)$ .

```
1 std::pair<int, int> minseq(std::vector<int> & s)
2 {
      int current min = 0;
3
      int min sum = std::numeric limits<int>::max();
      int curmin start = 0, min_start = 0, min_end = 0;
      int n = s.size();
      for(int i = 0; i < n; i++)
10
11
          current min = current min + s[i];
12
13
          if(current min > s[i])
14
15
```

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```
current min = s[i];
               curmin start = i;
17
           }
18
19
           if(min sum > current min)
20
21
               min sum = current min;
22
               min start = curmin start;
23
24
               min end = i;
25
26
27
      return {min start, min end};
28 }
```

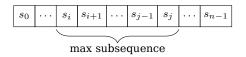
Sequence	<start end="" index="" index,=""></start>	Min Subsequence	Min Sum
34, -50, 42, 14, -5, 86	<1, 4>	-50,42,-43,-5	-56
-5, -1, -8, -9	<0, 3>	-5,-1,-8,-9	-23
-2, 1, -3, 4, -1, 2, 1, -5, 4	<7, 7>	-5	-5
4, -3, 2, -5	<1, 3>	-3,2,-5	-6
4,1,5,2,3	<1,1>	1	1

§ Problem 2.4.6. Given a circular sequence s of  $n \in (-\infty, \infty)$  integers, find the maximum possible sum of a non-empty contiguous subsequence of s.

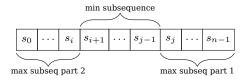
§§ Solution. The end of a circular sequence wraps around the start of the sequence itself, i.e.

$s_0$	$s_1$	 $s_i$	 $s_{n-1}$
$\uparrow$	1	 $\uparrow$	 $\uparrow$
$s_n$	$s_{n+1}$	 $s_{n+i}$	 $s_{2n-1}$

For a maximum contiguous subsequence  $[s_i \cdots s_j]$ , the solution of § Problem 2.4.1 can be used.



For a maximum contiguous subsequence  $[s_j \cdots s_{n-1}, s_0 \cdots s_i]$ , the left-over part  $[s_{i+1} \cdots s_{j-1}]$  forms a minimum contiguous subsequence.



Summation of the contiguous subsequence  $[s_j \cdots s_{n-1}, s_0 \cdots s_i]$  is

$$= s_j + \dots + s_{n-1} + s_0 + \dots + s_i$$
  
=  $s_0 + \dots + s_{n-1} - [s_{i+1} + \dots + s_{j-1}]$ 

This is maximum when  $[s_{i+1} + \cdots + s_{j-1}]$  is minimum.

$$\therefore \operatorname{Max}[s_j + \dots + s_{n-1} + s_0 + \dots + s_i] = \sum_{k=0}^{k=n-1} s_k - \operatorname{Min} \sum_{k=i+1}^{k=j-1} s_k$$

: Maximum sum subsequence = Total sum of the sequence - Minimum sum subsequence © Chandra Shekhar Kumar : February 23, 2020

22 }

#### Algorithm 12 Maximum sum circular subsequence

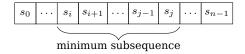
```
1: function maxcircularseg(s[0..n-1])
   2:
         currentmax \leftarrow 0
   3:
         maxsum \leftarrow -\infty
   4:
         currentmin \leftarrow 0
         minsum \leftarrow \infty
   5.
   6:
         totalsum \leftarrow 0
         for x \in s[0..n-1] do
   7:
   8:
            currentmax \leftarrow \max(currentmax + x, x)
   9:
            maxsum \leftarrow \mathbf{max}(maxsum, currentmax)
            currentmin \leftarrow \min(currentmin + x, x)
  10:
            minsum \leftarrow \min(minsum, currentmin)
  11:
            totalsum \leftarrow totalsum + x
  12.
         end for
  13:
         if totalsum == minsum then
                                                                           ⊳ All elements are -ve
  14.
                                                                > Value of the least -ve element
            return maxsum
  15:
         else
  16:
  17.
            return max(maxsum, totalsum - minsum)
         end if
  18.
  19: end function
    Time complexity is \mathcal{O}(n). Space complexity is \mathcal{O}(1).
int maxsum circular(std::vector<int> & s)
2 {
      int current max = 0, max sum = std::numeric limits<int>::min();
3
      int current min = 0, min sum = std::numeric limits<int>::max();
4
      int total sum = 0;
      for(int x : s)
8
           current max = std::max(current max + x, x);
9
           max sum = std::max(max sum, current max);
10
           current min = std::min(current min + x, x);
12
           min sum = std::min(min sum, current min);
13
           total sum += x;
16
       // when all elements are -ve \Rightarrow total sum == min sum,
       // i.e. total sum - min sum becomes \bar{0} \Rightarrow empty subsequence
       // but max sum still holds the value of the least -ve element,
19
       // hence return this singleton than an empty one
20
      return total_sum == min_sum ? max_sum : std::max(max_sum, total_sum - min_sum)
21
```

Circular Sequence	Max Sum Subsequence	Max Sum
1,-2,3,-2	3	3
5,3,-5	5,5	10
3,-1,2,-1	3,-1,2 and 2, -1, 3	4
3,-2,2,-3	3 and 3,-2,2	3
-2,-3,-1	-1	-1
8,-1,3,4	3,4,8	15
5,-3,5,5,-3	5,-3,5,5 and 5,5,-3,5	12

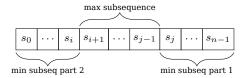
§ **Problem 2.4.7.** Given a circular sequence s of  $n \in (-\infty, \infty)$  integers, find the minimum possible sum of a non-empty contiguous subsequence of s.

**§§ Solution**. This is similar to § Problem 2.4.6.

For a minimum contiguous subsequence  $[s_i \cdots s_j]$ , the solution of § Problem 2.4.1 can be used.



For a minimum contiguous subsequence  $[s_j \cdots s_{n-1}, s_0 \cdots s_i]$ , the left-over part  $[s_{i+1} \cdots s_{j-1}]$  forms a maximum contiguous subsequence.



Summation of the contiguous subsequence  $[s_j \cdots s_{n-1}, s_0 \cdots s_i]$  is

$$\sum_{k=0}^{k=n-1} s_k - \sum_{k=i+1}^{k=j-1} s_k$$

This is minimum when  $\sum\limits_{k=i+1}^{k=j-1} s_k$  is maximum.

$$\therefore \min[s_j + \dots + s_{n-1} + s_0 + \dots + s_i] = \sum_{k=0}^{k=n-1} s_k - \max \sum_{k=i+1}^{k=j-1} s_k$$

.: Minimum sum subsequence = Total sum of the sequence - Maximum sum subsequence

#### Algorithm 13 Minimum sum circular subsequence

```
1: function mincircularseq(s[0..n-1])
 2:
       currentmax \leftarrow 0
 3:
       maxsum \leftarrow -\infty
 4:
       currentmin \leftarrow 0
       minsum \leftarrow \infty
 5:
       totalsum \leftarrow 0
 6:
       for x \in s[0..n-1] do
 7:
 8:
           currentmax \leftarrow \max(currentmax + x, x)
 9:
           maxsum \leftarrow \mathbf{max}(maxsum, currentmax)
10:
           currentmin \leftarrow \min(currentmin + x, x)
           minsum \leftarrow \min(minsum, currentmin)
11:
12:
           totalsum \leftarrow totalsum + x
       end for
13:
14:
       if totalsum == maxsum then
                                                                               ▷ All elements are +ve
           return minsum

    Value of the least +ve element

15:
16:
17:
           return min(minsum, totalsum - maxsum)
18:
       end if
19: end function
```

22 }

```
Time complexity is \mathcal{O}(n). Space complexity is \mathcal{O}(1).
int minsum circular(std::vector<int> & s)
2 {
      int current max = 0, max sum = std::numeric limits<int>::min();
3
      int current min = 0, min sum = std::numeric limits<int>::max();
5
      int total sum = 0;
6
      for(int x : s)
8
           current max = std::max(current max + x, x);
9
          max sum = std::max(max sum, current max);
10
11
           current min = std::min(current min + x, x);
12
           min sum = std::min(min sum, current min);
13
14
           total sum += x;
15
16
      // when all elements are +ve \Rightarrow total sum \Rightarrow max sum,
      // i.e. total sum - max sum becomes \bar{0} \Rightarrow empty subsequence
18
      // but min sum still holds the value of the least +ve element,
19
      // hence return this singleton than an empty one
2.0
      return total sum == max sum ? min sum : std::min(min sum, total sum - max sum)
21
```

Circular Sequence	Min Sum Subsequence	Min Sum
-1,2,-3,2	-3	-3
-5,3,-5	-5,-5	-10
-3,1,-2,1	-3,1,-2 and -2, 1, -3	-4
-3,2,-2,3	-3 and -3,2,-2	-3
2,3,1	1	1
-8,1,-3,-4	-3,-4,-8	-15
-5,3,-5,-5,3	-5,3,-5,-5 and -5,-5,3,-5	-12

§ **Problem 2.4.8.** Given a circular sequence s of  $n \in (-\infty, \infty)$  integers, find the minimum possible sum of a non-empty non-contiguous subsequence of s.  $\diamondsuit$ 

**§§ Solution**. This is similar to § Problem 2.4.3 with the additional constraint that the elements  $s_0$  and  $s_{n-1}$  are adjacent, hence both elements together cannot be part of the solution.

Let  $f_n(s,i,j)$  be the maximum sum of the sequence s between indices i and j, obtained using an optimal sequence of choices of a n-stage process.

```
\therefore f_n(s,0,n-1) = \max\{f_n(s,0,n-2), f_n(s,1,n-1)\}\
```

```
int maxncseq(std::vector<int> & s, int l, int r)
2 {
      int n = r-l+1;
3
4
      std::vector < int > f(n, 0);
      f[0] = s[1];
7
      f[1] = std::max(s[1], s[1+1]);
8
9
      for(int i = 2; i < n; ++i)
10
11
          f[i] = std::max(f[i-2] + s[l+i], f[i-1]);
12
13
      return f[n-1];
14
17 int maxncseq circular(std::vector<int> & s)
18 {
      if(s.empty()) return 0;
19
20
      int n = s.size();
```

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```
return std::max(maxncseq(s,0,n-2), maxncseq(s,1,n-1));
<sub>24</sub>}
```

Circular Sequence	Max Sum Subsequence	Max Sum
4,7,4	7	7
4,7,9,1	4,9	13

#### 2.4.2 Maximum Product

**§ Problem 2.4.9.** Given a sequence s of  $n \in (-\infty, \infty)$  integers, find a contiguous subsequence which has the largest possible product.  $\Diamond$ 

§§ Solution. Note that the product of a running minimum with a negative element is also a running maximum so far and the product of a running maximum with a negative element is also a running minimum so far.

Let  $f_n(i)$  be the maximum product, ending at index i, obtained using an optimal sequence of choices of a n-stage process and  $s_i$  be the i<sup>th</sup> element.

$$\begin{split} f_n^{max}(i) &= \underset{i \in [0,n)}{\text{Max}} \Big( s_i, \ f_{n-1}^{max}(i-1) \cdot s_i, \ f_{n-1}^{min}(i-1) \cdot s_i \Big) \\ f_n^{min}(i) &= \underset{i \in [0,n)}{\text{Min}} \Big( s_i, \ f_{n-1}^{max}(i-1) \cdot s_i, \ f_{n-1}^{min}(i-1) \cdot s_i \Big) \\ \therefore f_n(i) &= \underset{i \in [0,n)}{\text{Max}} \Big[ f_n^{max}(i), \ f_n^{min}(i) \Big] \end{split}$$

#### Algorithm 14 Maximum product contiguous subsequence : compute product

```
1: function maxprodseq(s[0..n-1])
        maxprod \leftarrow 1
 2:
        minprod \leftarrow 1
 3:
        result \leftarrow 1
 4:
        for x \in s[0..n-1] do
 5:
           prevmaxprod \leftarrow maxprod
 6:
           prevminprod \leftarrow minprod
 7:
8.
            maxprod \leftarrow \mathbf{max}(x, prevmaxprod * x, prevminprod * x)
 9:
            minprod \leftarrow \min(x, prevmaxprod * x, prevminprod * x)
10:
            result \leftarrow \mathbf{max}(maxprod, minprod)
        end for
11:
        return result
13: end function
```

Time complexity is  $\mathcal{O}(n)$ . Space complexity is  $\mathcal{O}(1)$ .

```
int maxprodseq(std::vector<int> & s)
2 {
      if(s.empty()) return 0;
      int maxprod = 1, minprod = 1, result = 1;
      for(int x : s)
7
8
          int prev maxprod = maxprod, prev minprod = minprod;
9
10
          maxprod = std::max(std::max(x, prev maxprod * x), prev minprod * x);
11
          minprod = std::min(std::min(x, prev maxprod * x), prev minprod * x);
12
          result = std::max(maxprod, minprod);
13
      }
14
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```

```
return result;
16 }
```

**Algorithm 15** Maximum product contiguous subsequence : compute product : modified

```
1: function maxprodseq(s[0..n-1])
         maxprod \leftarrow 1
  2:
  3:
         minprod \leftarrow 1
        result \leftarrow 1
  4:
  5:
         for x \in s[0..n-1] do
            if x < 0 then
                               ▶ Multiply with -ve : max becomes min and min becomes max
  6:
                swap(maxprod, minprod)
  7:
            end if
  8:
  9.
            maxprod \leftarrow \mathbf{max}(x, \ maxprod * x)
 10:
            minprod \leftarrow \min(x, minprod * x)
            result \leftarrow \mathbf{max}(maxprod, minprod)
 11:
 12:
         end for
         return result
 13:
 14: end function
int maxprodseq(std::vector<int> & s)
```

```
2 {
      if(s.empty()) return 0;
4
      int maxprod = 1, minprod = 1, result = 1;
6
      for(int x : s)
7
8
          if(x < 0) std::swap(maxprod, minprod);
9
10
          maxprod = std :: max(x, maxprod * x);
11
          minprod = std :: min(x, minprod * x);
12
          result = std::max(maxprod, minprod);
13
14
      return result;
15
16 }
```

Sequence	Max Product Subsequence	Max Product
2, 4, 5	2, 4, 5	40
-2, -4, -5	-4, -5	20
2, -4, -5	2, -4, -5	40
-1, 0, -3	0	0
0, -4, 0, -2	0	0
-1, 2, -3	-1, 2, -3	6
-3, -5, 0, -6, -5	-6, -5	30
-8	-8	-8
-2, 5, 2, -3	-2, 5, 2, -3	60
-6, -3, 3, -40	-3, 3, -40	360

# 2.5 Stock Trading

**§ Problem 2.5.1.** There is a sequence p of prices of a given stock over n consecutive days. Determine the maximum profit with the constraint of at most one transaction.

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§§ Solution. Let  $f_n(i)$  be the maximum profit for selling the stock on day i, using an optimal policy of a n-stage process.

Let  $p_i$  be the price of the stock on day i. In order to maximize the profit, one has to buy at the minimum possible price and sell at the maximum possible price. Of course, a stock has to be bought before it can be sold.

Hence the required optimal procedure is

$$f_n(i > 1) = \text{Max} \left[ f_{n-1}(i-1), \ p_i - \text{Min}_{j < i} p_j \right]$$
$$f_n(i \le 1) = 0$$

#### Algorithm 16 Stock Trading: Maximum Profit: One Transaction

```
1: function max-profit(p[0..n-1])
       maxprofit \leftarrow 0
2:
3:
       buuprice \leftarrow \infty
       for price \in p[0..n-1] do
4:
5:
          buyprice \leftarrow \min(buyprice, price)
          maxprofit \leftarrow \mathbf{max}(maxprofit, price - buyprice)
6:
       end for
7:
       return maxprofit
8:
9: end function
```

Time complexity is  $\mathcal{O}(n)$ . Space complexity is  $\mathcal{O}(1)$ .

```
int max profit(std::vector<int> & prices)
2 {
      // selling price >= buying price to make a profit
3
      int maxprofit = 0, buyprice = std::numeric limits<int>::max();
4
5
      for(int price : prices)
6
          buyprice = std::min(buyprice, price);
8
          // profit => sellingprice - buyprice
9
          maxprofit = std::max(maxprofit, price - buyprice);
10
11
      return maxprofit;
12
13 }
```

Assuming that the stock is bought on day k and sold on day i, the profit is

$$p_i - p_k = (p_i - p_{i-1}) + (p_{i-1} - p_{i-2}) + \dots + (p_{k+1} - p_k)$$

$$\therefore \max_{i>k} (p_i - p_k) = \operatorname{Max} \sum_{j=i}^{j=k+1} (p_j - p_{j-1}))$$

$$= \operatorname{Max} \sum_{j=k+1}^{j=i} (p_j - p_{j-1}))$$

So, maximizing the profit is equivalent to the maximum sum contiguous subsequence § Problem 2.4.1.

Hence the required optimal procedure is

$$f_n(i) = \text{Max}[f_{n-1}(i-1) + (p_i - p_{i-1})]$$

#### Algorithm 17 Maximize Profit: Maximum sum contiguous subsequence

```
1: function maxprofit(p[0..n-1])
2:
       current profit \leftarrow 0
3:
       maxprofit \leftarrow 0
       for i \in [1, n) do
4:
          current profit \leftarrow \max\{current profit + (p_i - p_{i-1}), 0\}
5:
6:
          maxprofit \leftarrow \mathbf{max}(maxprofit, currentprofit)
       end for
7:
      return maxprofit
8.
9: end function
```

```
Time complexity is \mathcal{O}(n). Space complexity is \mathcal{O}(1).
int max profit(std::vector<int> & prices)
2 {
      int currentprofit = 0, maxprofit = 0;
3
4
      int n = prices.size();
5
6
      for(int i = 1; i < n; i++)
7
8
           currentprofit = std::max(currentprofit + prices[i] - prices[i-1], 0);
9
10
           maxprofit = std::max(maxprofit, currentprofit);
11
      return maxprofit;
12
13 }
```

In order to identify the buying day and the selling day corresponding to the maximum profit, we need to construct the optimal solution from the computed information:

#### Algorithm 18 Maximize Profit: Buy and Sell Days

Time complexity is  $\mathcal{O}(n)$ . Space complexity is  $\mathcal{O}(1)$ .

```
1: function max-profit(p[0..n-1])
        current profit \leftarrow 0
 2:
 3:
        maxprofit \leftarrow 0
        curbuyday \leftarrow 0
 4:
 5:
        buyday \leftarrow 0
 6:
        sellday \leftarrow 0
        for i \in [0, n) do
 7:
            current profit \leftarrow current profit + p[i] - p[i-1]
                                                                                  \triangleright buy at p[i-1], sell at p[i]
 8:
9.
            if current profit < 0 then
                current profit \leftarrow 0
10:
                                                                               ▶ Move to the next buy day i
                curbuyday \leftarrow i
11:
            else if current profit > max profit then
12:
13.
                maxprofit \leftarrow current profit
14:
                buyday \leftarrow curbuyday
15:
                sellday \leftarrow i
            end if
16:
        end for
17:
18.
        return buyday, sellday
19: end function
```

```
int buyday = 0, sellday = 0;
5
6
      int n = prices.size();
8
      for(int i = 1; i < n; i++)
9
10
           currentprofit = currentprofit + prices[i] - prices[i-1];
11
12
           if(currentprofit < 0)</pre>
13
14
               currentprofit = 0;
15
               curbuyday = i;
16
17
           else
18
           if(currentprofit > maxprofit)
19
20
               maxprofit = currentprofit;
21
               buvday = curbuvday;
22
               sellday = i;
23
24
25
      if(maxprofit != 0) return {buyday, sellday};
26
      else return \{-1, -1\};
27
28 }
```

Prices	<buy day="" index="" index,="" sell=""></buy>	<buy price="" price,="" sell=""></buy>	Max Profit
9,1,7,3,7,5	<1, 2>	<1, 7>	6
9,6,5,3,1	<-1, -1>		
1,3,7,9	<0, 3>	<1, 9>	8

```
Or
1// returns <buy price, sell price>
2 std::pair<int, int> max profit(std::vector<int> & prices)
3 {
      int maxprofit = 0, buyprice = std::numeric limits<int>::max();
4
5
      for(int price : prices)
6
7
          buyprice = std::min(buyprice, price);
8
9
          maxprofit = std::max(maxprofit, price - buyprice);
10
      if(maxprofit != 0) return {buyprice, buyprice + maxprofit};
11
      else return \{-1, -1\};
12
13 }
```

§ **Problem 2.5.2.** Determine the maximum profit if at most 2 transactions are allowed in § Problem 2.5.1. ♦

§§ Solution. The logic for the first buy and sell remains the same. For the second transaction, the profit of the first transaction has to be integrated with the second buy to propagate it to the total profit with the second sell.

# Algorithm 19 Stock Trading: Maximum Profit: Two Transactions

```
1: function max-profit(p[0..n-1])
   2:
         first buyprice \leftarrow \infty
   3:
         first max profit \leftarrow 0
         second buyprice \leftarrow \infty
   4:
         final max profit \leftarrow 0
   5:
         for price \in p[0..n-1] do
   6:
            first buyprice \leftarrow \min(first buyprice, price)
   7:
            firstmaxprofit \leftarrow \mathbf{max}(firstmaxprofit, price - firstbuyprice)
   8:
   9:
            second buyprice \leftarrow \min(second buyprice, price - first max profit)
            final max profit \leftarrow \mathbf{max}(final max profit, price - second buy price)
  10:
         end for
  11.
         return finalmaxprofit
  13 end function
    Time complexity is \mathcal{O}(n). Space complexity is \mathcal{O}(1).
int max profit(std::vector<int> & prices)
      int firstbuyprice = std::numeric limits<int>::max();
3
      int firstmaxprofit = 0, finalmaxprofit = 0;
      int secondbuyprice = std::numeric limits<int>::max();
6
      for(int price : prices)
7
8
           firstbuyprice = std::min(firstbuyprice, price);
9
           firstmaxprofit = std::max(firstmaxprofit, price - firstbuyprice);
10
11
           secondbuyprice = std::min(secondbuyprice, price - firstmaxprofit);
12
           finalmaxprofit = std::max(finalmaxprofit, price - secondbuyprice);
      return finalmaxprofit;
15
16 }
  Simplified presentation leads to a case of at most m < prices.size() transactions:
int max profit(std::vector<int> & prices, int m = 2)
2 {
      std::vector<int> buyprice(m+1, std::numeric limits<int>::max());
3
      std::vector<int> maxprofit(m+1, 0);
5
      for(int price : prices)
6
           for(int i = 1; i <= m; i++) // m is number of transactions</pre>
8
                buyprice[i] = std::min(buyprice[i], price - maxprofit[i-1]);
10
                maxprofit[i] = std::max(maxprofit[i], price - buyprice[i]);
11
12
13
      return maxprofit[m];
14
15 }
```

# **Algorithm 20** Stock Trading : Maximum Profit : m(< n) Transactions

```
1: function max-profit(p[0..n-1], m)
 2:
       buyprice[0..m] \leftarrow \infty
 3:
       maxprofit[0..m] \leftarrow 0
       for price \in p[0..n-1] do
 4:
 5.
           for i \in [1, m] do
               buyprice[i] \leftarrow \min(buyprice[i], price - maxprofit[i-1])
 6:
 7:
               maxprofit[i] \leftarrow \mathbf{max}(maxprofit[i], price - buyprice[i])
 8:
           end for
       end for
 9:
10:
       return maxprofit[m]
11: end function
```

Time complexity is  $\mathcal{O}(mn)$ . Space complexity is  $\mathcal{O}(m)$ .

If m > n then it can be simplified further (same is the case with unlimited transactions):

# **Algorithm 21** Stock Trading : Maximum Profit : m(>n) or Unlimited Transactions

```
1: function max-profit(p[0..n-1])
2: buyprice \leftarrow \infty
3: maxprofit \leftarrow 0

4: for price \in p[0..n-1] do
5: buyprice \leftarrow \min(buyprice, price - maxprofit)
6: maxprofit \leftarrow \max(maxprofit, price - buyprice)
7: end for

8: return maxprofit
9: end function
```

```
Time complexity is \mathcal{O}(n). Space complexity is \mathcal{O}(1).

1 int max_profit(std::vector<int> & prices)

2 {
    int maxprofit = 0, buyprice = std::numeric_limits<int>::max();

5 for(int price : prices)
6 {
    buyprice = std::min(buyprice, price - maxprofit);
    maxprofit = std::max(maxprofit, price - buyprice);
9 }
10 return maxprofit;
11 }

Or,
```

# **Algorithm 22** Stock Trading : Maximum Profit : m(>n) or Unlimited Transactions : Alternative

```
1: function max-profit(p[0..n-1])
2: maxprofit \leftarrow 0

3: for i \in [1, p.size()) do
4: maxprofit \leftarrow maxprofit + \mathbf{max}(p[i] - p[i-1], 0)
5: end for

6: return maxprofit
7: end function
```

```
Time complexity is O(n). Space complexity is O(1).

1 int max_profit(std::vector<int> & prices)
2 {
3     int maxprofit = 0;
4     int n = prices.size();
5     for(int i = 1; i < n; i++)
7     {
8         maxprofit += std::max(prices[i] - prices[i-1], 0);
9     }
10     return maxprofit;
11}</pre>
```

Prices	No of Transactions	<buy price="" price,="" sell=""></buy>	Max Profit
4,4,6,0,0,3,1,9	2	<0, 3> <1, 9>	11
9,6,5,3,1	2		0
1,3,7,9	2	<1, 9>	8
4,2,9,8,0,7	2	<2, 9> <0, 7>	14
4,2,9,8,0,7,6,9	3	<2, 9> <0, 7> <6, 9>	17

Alternatively:

```
int max profit(std::vector<int> & prices, int m = 2)
2 {
      std::vector<int> curprofit(m+1, 0);
3
      std::vector<int> maxprofit(m+1, 0);
5
      int n = prices.size();
6
      for(int i = 0; i < n-1; i++)
8
9
           int dailygain = prices[i+1] - prices[i];
10
11
12
           for(int j = m; j >= 1; j--)
13
                \operatorname{curprofit}[j] = \operatorname{std}: \operatorname{max}(\operatorname{curprofit}[j] + \operatorname{dailygain}, \operatorname{maxprofit}[j-1] + \operatorname{std}
14
                     ::max(dailygain, 0));
                maxprofit[j] = std::max(maxprofit[j], curprofit[j]);
15
16
17
18
      return maxprofit[m];
19
20 }
     Similarly in case of unlimited transactions with a fee per transaction:
int max profit(std::vector<int> & prices, int fee)
2 {
      int maxprofit = 0, buyprice = std::numeric limits<int>::max();
3
      for(int price : prices)
5
           buyprice = std::min(buyprice, price - maxprofit + fee);
           maxprofit = std::max(maxprofit, price - buyprice);
9
      return maxprofit;
10
11 }
```

Prices	Fee	<buy price="" price,="" sell=""></buy>	Max Profit
1,3,2,7,4,8	2	<1, 7> <4, 8>	6 [(7-1) - 2 + (8-4) - 2]

With a constraint of no buy next day of a sell, unlimited transactions:

```
int max profit(std::vector<int> & prices)
2 {
      int prev maxprofit = 0, prev buyprice = std::numeric limits<int>::max();
3
      int maxprofit = 0, buyprice = std::numeric limits<int>::max();
5
      for(int price : prices)
6
7
          prev buyprice = buyprice;
8
          buyprice = std::min(prev buyprice, price - prev maxprofit);
9
10
          prev maxprofit = maxprofit;
11
          maxprofit = std::max(prev maxprofit, price - prev buyprice);
13
      return maxprofit;
14
15 }
```

Prices	<buy price="" price,="" sell=""></buy>	Max Profit
1,3,5,1,9	<1, 3> <1, 9>	10

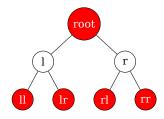
# 2.6 Binary Tree Mall Loot

§ **Problem 2.6.1.** In the Binary Tree mall with root as the only entry, all the shops are located in a binary form with a burglar alarm which comes into action in case of loot from any two directly-linked shops. Determine the maximum amount of loot, possible without raising the alarm.

§§ Solution. Let  $f_n(root)$  be the maximum amount of loot with entry at the root, using an optimal policy and n steps.

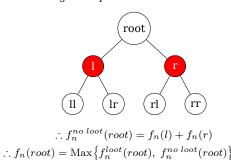
There are two choices:

1. root is looted: then it is not possible to loot its left and right shops because these two are directly linked, but next level shops can be looted: left->left, left->right, right->left and right->right.



$$\therefore f_n^{loot}(root) = amount_{root} + f_n(ll) + f_n(lr) + f_n(rl) + f_n(rr)$$

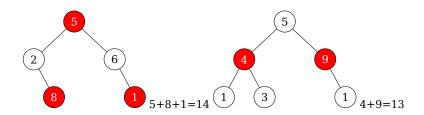
2. root is not looted: its left and right shops can be looted.



```
1 struct Shop
2 {
3     int amount;
4     Shop * left;
```

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```
Shop * right;
      Shop(int amt) : amount(amt), left(nullptr), right(nullptr) {}
7
8 };
10 std::unordered map<Shop*, int> cache;
12 int loot(Shop * shop)
13 {
      if(not shop) return 0;
14
15
      if(cache.find(shop) != cache.end()) return cache[shop];
16
17
      int l plus r = loot(shop->left) + loot(shop->right);
18
19
      int ll plus lr = shop->left == nullptr ? 0 : loot(shop->left->left) + loot(
20
          shop->left->right);
21
      int rl plus rr = shop->right == nullptr ? 0 : loot(shop->right->left) + loot(
22
          shop->right->right);
23
      cache[shop] = std::max(shop->amount + ll plus lr + rl plus rr, l plus r);
24
25
      return cache[shop]:
26
27 }
```



# 2.7 Binary Search Tree Generation

§ **Problem 2.7.1.** Determine the total number of binary search trees possible with  $n \ge 1$  integers as keys.

§§ Solution. Let f(i) be the total number of binary search trees possible with root holding an integer  $i \in [1, n]$  as its key, following an optimal sequence of choices.

Hence total number of unique binary search trees is

$$C_n = \sum_{i=1}^{i=n} f(i)$$
 (2.3)

When root is i:

- 1. its left subtree can hold the integers from 1 to i-1, therefore number of left BSTs is  $C_{i-1}$ .
- 2. its right subtree is possible using the integers i+1 to n, hence number of right BSTs is  $C_{n-i}$ . and cartesian product of these two yields f(i):

$$\therefore f(i) = C_{i-1} \times C_{n-i} \tag{2.4}$$

Combining Eq. (2.3) and Eq. (2.4):

$$C_n = \sum_{i=1}^{i=n} C_{i-1} \times C_{n-i}^{\dagger}$$
 $C_0 = 1$  (Counting the empty BST as 1)
 $C_1 = 1$  (Only one BST with only a root)

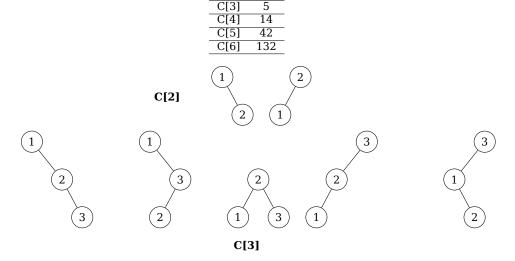
<sup>&</sup>lt;sup>†</sup>Also known as Catalan numbers.

# Algorithm 23 Count Unique BSTs

```
1: function countbst(n)
        C[0..n] \leftarrow \{0\}
2:
        C[0] \leftarrow 1
 3:
        C[1] \leftarrow 1
 4:
        for i \in [2, n] do
 5:
            for j \in [1, i] do
 6:
                C[i] \leftarrow C[i] + C[j-1] \cdot C[i-j]
 7:
            end for
 8:
        end for
 9:
        return C[n]
10:
11. end function
```

Time complexity is  $\mathcal{O}(n^2)$ . Space complexity is  $\mathcal{O}(n)$ .

```
1 int count bst(int n)
2 {
      std::vector<int> c(n+1, 0);
3
      c[0] = c[1] = 1;
5
      for(int i = 2; i \le n; i++)
6
7
           for(int j = 1; j \le i; j++)
8
9
               c[i] += c[j-1] * c[i-j];
10
11
12
      return c[n];
13
14 }
```



2

C[2]

For generating the unique BSTs, reconstruction of the optimal solution leads to

#### **Algorithm 24** Generate Unique BSTs

31

32

```
1: function genbst(n)
         C[0..n] \leftarrow \{\text{list} < \text{Node} > ()\}
   2:
   3:
         C[0].add(null)
         for i \in [1, n] do
   4.
   5:
            for j \in [1, i] do
   6:
                for l \in C[j-1] do
                   for r \in C[i-j] do
   7:
                       Node tn \leftarrow new Node(j)
   8:
                       tn.left \leftarrow l
   9:
                       tn.right \leftarrow copyadjust(r, j)
                                                                        ▷ right subtree is at offset j
  10:
  11.
                       C[i].add(tn)
                    end for
  12:
  13.
                end for
             end for
  14.
         end for
  15:
  16.
         return C[n]
  17: end function
  18: function copyadjust(root, offset)
                                                                          \triangleright Time Complexity : \mathcal{O}(n)
         Node tn \leftarrow new Node(root.key + offset)
  19:
  20:
         tn.left \leftarrow copyadjust(root.left, offset)
  21:
         tn.right \leftarrow copyadjust(root.right, offset)
  22:
         return tn
  23: end function
     Time complexity is \mathcal{O}(n^5). Space complexity is \mathcal{O}(n^2).
1 struct tnode
2 {
       int key;
3
       tnode * left;
4
       tnode * right;
      tnode(int k) : key(k), left(nullptr), right(nullptr) {}
7
8 };
10 tnode * copyadjust(tnode * node, int offset)
11 {
       if(node == nullptr) return nullptr;
12
13
       tnode * tn = new tnode(node->key + offset);
14
       tn->left = copyadjust(node->left, offset);
15
       tn->right = copyadjust(node->right, offset);
16
       return tn;
17
18 }
20 std::vector<tnode*> gen bst(int n)
21 {
       std::vector < std::vector < tnode*>> c(n+1);
23
       c[0].push_back(nullptr);
24
25
       for(int i = 1; i \le n; i++)
26
2.7
            for(int j = 1; j \le i; j++)
28
29
                 for (auto l : c[j-1]) // left subtrees
30
```

**for**(auto r : c[i-j]) // right subtrees

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```
{
                        tnode * tn = new tnode(j);
34
                        tn->left = 1; // reuse the left subtree
35
                        // root of the right subtree is at an offset j
36
37
                        tn->right = copyadjust(r, j);
                        c[i].push back(tn);
38
                   }
39
               }
40
           }
41
42
      return c[n];
43
44
```

# 2.8 Quantify Yogic Effect

§ **Problem 2.8.1.** Ancient Kriya Yoga Mission, a monastry of realized sages, devised a divine yogic system: Drink Air Therapy, represented as a full binary tree, a path to self-cure and immortality. Leaf nodes represent the techniques associated with the system. Mastery of a given technique leads to a certain gain in longevity, measured in years. Cumulative gain of a given internal node is measured by the product of the maximum gains associated with the leafs in its left and right subtrees respectively. Given a gain-list representing the years in the leaves in an inorder traversal and considering all the possible binary trees, determine the minimum possible sum of all the non-leaf nodes (i.e. minimum aggregate of the cumulative gains) to help quantify the yogic effect of the system.

§§ Solution. Let  $f_n(l,r)$  be the minimum aggregate of the internal nodes for a given gain-list g[l,r], following an optimal sequence of choices of a n-stage process.

```
\therefore f_n(l,r) = \min_{k \in [l,r)} [f_{n-1}(l,k) + f_{n-1}(k+1,r) + \max g[l,k] \cdot \max g[k+1,r]]
```

## Algorithm 25 Quantify Yogic Effect: Drink Air Therapy

```
1: function drinkairtherapy(a[0..n-1])
        for i \in [0, n) do
 2:
 3:
            g[i][i] \leftarrow a[i]
            f[i][i] \leftarrow 0
 4:
 5:
        end for
 6:
        for l \in [0, n) do
            for i \in [0, n - l] do
 7:
                j \leftarrow i + l
 8:
 9:
                for k \in [i, j) do
10.
                    g[i][j] \leftarrow \mathbf{max}(g[i][k], g[k+1][j])
                     f[i][j] \leftarrow \min(f[i][j], f[i][k] + f[k+1][j] + g[i][k] \cdot g[k+1][j])
11:
12:
                 end for
            end for
13.
        end for
14.
        return f[0][n-1]
15:
16: end function
```

Time complexity is  $\mathcal{O}(n^3)$ . Space complexity is  $\mathcal{O}(n^2)$ .

```
int drinkairtherapy(std::vector<int> & v)

int n = v.size(); // number of leaves

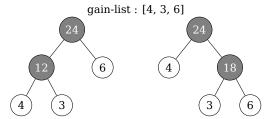
// g[l][r]: maximum years in the leaf-nodes between [l, r]

std::vector<std::vector<int>> g(n, std::vector<int>(n, 0));

// f[l][r]: minimum sum of years in internal nodes between [l, r]

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```

```
std::vector<std::vector<int>>> f(n, std::vector<int>(n, std::numeric limits<int
9
           >::max()));
10
      for(int i = 0; i < n; i++)
11
12
          g[i][i] = v[i];
13
          f[i][i] = 0;
14
      }
15
16
17
      for(int l = 0; l < n; l++)
18
          for(int i = 0: i < n - 1: i++)
19
20
               int j = i + l;
21
22
               for(int k = i; k < j; k++)
23
24
25
                    // max years in leaf node
                   g[i][j] = std::max(g[i][k], g[k+1][j]);
26
27
                   int left = f[i][k];
28
                   int right = f[k+1][j];
29
30
                    f[i][j] = std::min(f[i][j], left + right + g[i][k] * g[k+1][j]);
31
               }
32
33
34
      return f[0][n-1];
35
36 }
```



Minimum sum of internal nodes is 36 (12+24 < 24+18).

§ **Problem 2.8.2.** Khechari Kriya is a mysterious and divine yogic system to attain immortality. There is an ordered sequence of n sub-kriyas in the system, each bearing a specific number of years. After practicing a given sub-kriya, longevity of the practitioner is increased by the product of the years associated with that sub-kriya and its left and right adjacent sub-kriyas. Moreover that sub-kriya after practice is marked out of the sequence because it is not available for practice any further, making its left and right sub-kriyas as adjacent to each other. Determine the maximum possible number of years gained after practicing all sub-kriyas assuming that a year is associated in the absence of adjacent sub-kriya(s).

§§ Solution. Let  $f_n(l,r)$  be the maximum possible number of years gained for a given sequence of sub-kriyas g[l,r], following an optimal sequence of choices of a n-stage process.

Let the sub-kriya i be the last one available for practice. There is no adjacent sub-kriyas per se because all except the  $i^{th}$  one were already put to practice. For simplicity, we can add one sub-kriya at the very start (i.e. l-1) and another one at very end (i.e. r+1), associating each with 1 year respectively. Hence the years gained, after practicing the last sub-kriya i, is

$$g[l-1] \cdot g[i] \cdot g[r+1] = 1 \cdot g[i] \cdot 1 = g[i]$$

Note that the sentinel entries: g[l-1] and g[r+1]: holding 1 year each respectively, doesn't depend further on any sub-kriya as well as doesn't affect the final outcome, thus making the start of the computation easier and so on.

Hence, the optimal procedure to maximized longevity is given by :

```
f_n(l,r) = \max_{i \in [l,r]} [f_{n-1}(l,i-1) + f_{n-1}(i+1,r) + g[l-1] \cdot g[i] \cdot g[r+1]]
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```

# Algorithm 26 Quantify Yogic Effect: Khechari Kriya

```
1: function khechari(g[0..n-1])
        g[0..n+1] \leftarrow 1, g[0..n-1], 1
                                                                                                   ▷ Sentinels
2:
        f[0..n+1][0..n+1] \leftarrow \{0\}
 3:
        for l \in [1, n] do
 4:
 5:
           for i \in [1, n - l + 1] do
               j \leftarrow i + l - 1
 6:
               for k \in [i, j] do
 7:
                   f[i][j] \leftarrow \max(f[i][j], \ f[i][k-1] + f[k+1][j] + g[i-1] \cdot g[k] \cdot g[j+1])
 8:
 9:
               end for
            end for
10:
        end for
11.
        return f[1][n]
13: end function
```

```
Time complexity is \mathcal{O}(n^3). Space complexity is \mathcal{O}(n^2).
```

```
int khechari(std::vector<int> & g)
2 {
      int n = g.size(); // total number of sub-kriyas in khechari kriya
3
4
      // Add sentinels worth 1 year each
5
      g.insert(g.begin(), 1);
6
      g.push back(1);
8
      std::vector < std::vector < int >> f(n+2, std::vector < int >(n+2, 0));
9
10
      for(int l = 1; l \le n; l++)
11
12
          for(int i = 1; i \le n-l+1; i++)
13
14
15
               int j = i+l-1;
16
               for(int k = i; k \le j; k++)
17
18
                   f[i][j] = std::max(f[i][j], f[i][k-1] + f[k+1][j] + q[i-1] * q[k]
19
                        * g[j+1]);
20
               }
          }
21
22
      return f[1][n];
23
24 }
```

khechari list : [4, 1, 5, 6]								
modified list	4, 1, 5, 9	4, 5, 9	4, 9	9	[]			
years	$4 \cdot 1 \cdot 5$	$4 \cdot 5 \cdot 9$	$1 \cdot 4 \cdot 9$	$1 \cdot 9 \cdot 1$	= 245			
f.motair								

f-matrix									
0	0	0	0	0	0				
0	4	40	236	<b>245</b>	0				
0	0	20	200	236	0				
0	0	0	45	54	0				
0	0	0	0	45	0				
0	0	0	0	0	0				

khechari list : [1, 2, 3, 4, 5]

11110011411 1100 . [1/2/0/1/0]									
modified list	1, 2, 3, 4, 5	1, 2, 3, 5	1, 2, 5	1, 5	5	[]			
years	$3 \cdot 4 \cdot 5$	$2 \cdot 3 \cdot 5$	$1 \cdot 2 \cdot 5$	$1 \cdot 1 \cdot 5$	$1 \cdot 5 \cdot 1$	= 110			

f-matrix										
0	0	0	0	0	0	0				
0	2	9	36	105	110	0				
0	0	6	32	100	105	0				
0	0	0	24	90	100	0				
0	0	0	0	60	75	0				
0	0	0	0	0	20	0				
0	0	0	0	0	0	0				

§ **Problem 2.8.3.** Mool Kriya is a fundamental yet subtle ancient yogic process to attain divinity by unblocking the breath channels. Each sub-kriya is identified by a unique integral id. Given an ordered sequence of sub-kriyas, potentially repetitive, the number of breath channels unblocked after practicing a contiguous sequence of  $\alpha \geq 0$  identical sub-kriyas is  $\alpha^2$ . After practice, the particular instance(s) of sub-kriya is(are) removed from the ordered sequence. Determine the maximum possible number of breath channels unblocked by practicing wisely.

**§§ Solution**. Let  $f(l,r,\alpha)$  be the maximum number of breath channels unblocked by practicing the sub-kriya-list [l,r] such that there is a contiguous sequence of  $\alpha \in [0,\ l]$  identical sub-kriyas, to the adjacent left of the sub-kriya l, with ids being the same as that of the sub-kriya l.

There are two choices with the sub-kriya l:

 $1.\,$  practicing it now leads to the maximal possible number of breath channels as

$$(\alpha+1)^2 + f(l+1, r, 0)$$

2. practicing it later with a identical sub-kriya  $k \in (l,r]$  leads to

$$f(l+1, k-1, 0) + f(k, r, \alpha+1)$$

$$\therefore f(l,\ r,\ \alpha) = \max_{\alpha \in [0,\ l]} \left\{ \begin{array}{l} (\alpha+1)^2 + f(l+1,\ r,\ 0) \\ f(l+1,\ k-1,\ 0) + f(k,\ r,\ \alpha+1) \end{array} \right. \\ k \in (l,r] \ \text{and sub-kriya} \ l == \text{sub-kriya} \ k \in (l,r]$$

Sentinels:

$$f(l,\ l-1,\ \alpha)=0$$
 (no sub-kriya : no unlocking) 
$$f(l,\ l,\ \alpha)=(\alpha+1)^2$$
 (one sub-kriya left)

#### Algorithm 27 Quantify Yogic Effect : Mool Kriya

```
1: function mool(s[0..n-1])
        f[0..n-1][0..n-1][0..n-1] \leftarrow \{0\}
2:
        for l \in [0, n) do
 3:
            for \alpha \in [0, l] do
 4:
                f[l][l][\alpha] \leftarrow (\alpha + 1)^2
 5:
            end for
 6:
        end for
 7:
8:
        for i \in [1, n) do
            for r \in [i, n) do
9:
                l \leftarrow r - i
10:
11:
                for \alpha \in [0, l] do
                    maxbreaths \leftarrow (\alpha + 1)^2 + f[l+1][r][0]
12:
                    for k \in [l + 1, r] do
13:
                        if s[k] == s[l] then
14:
                            maxbreaths \leftarrow \mathbf{max}(maxbreaths, f[l+1][k-1][0] + f[k][r][\alpha+1])
15:
                        end if
16:
                    end for
17:
                    f[l][r][\alpha] \leftarrow maxbreaths
18:
                end for
19:
            end for
20:
21:
        end for
        return f[0][n-1][0]
22:
23: end function
```

```
Time complexity is \mathcal{O}(n^4). Space complexity is \mathcal{O}(n^3).
1 int mool(std::vector<int> & s)
2 {
      int n = s.size(); // total number of sub-krivas in Mool Kriva
3
4
      int f[n][n][n] = \{0\};
      for(int l = 0; l < n; l++)
7
8
           for(int alpha = 0; alpha <= 1; alpha++)</pre>
9
10
               f[l][l][alpha] = (alpha + 1) * (alpha + 1);
11
12
13
14
      for(int i = 1; i < n; i++)
15
16
           for(int r = i; r < n; r++)
17
18
               int l = r - i;
20
               for(int alpha = 0; alpha <= 1; alpha++)</pre>
21
22
                    int maxbreaths = (alpha + 1) * (alpha + 1) + f[1 + 1][r][0];
2.3
24
                    for(int k = l + 1; k \le r; k++)
25
26
                        if(s[k] == s[l])
27
28
                             maxbreaths = std::max(maxbreaths, f[l+1][k-1][0] + f[k][r
29
                                  ][alpha+1]);
                        }
30
                    }
31
                                            ©Chandra Shekhar Kumar: February 23, 2020
```

<b>Mool Kriya Sequence</b> : $\{1, 5, 4, 4, 4, 4, 5, 6, 5, 3, 2, 2, 2, 3, 2, 1\}$								
Modified Sequence	Unlocked Breath Count							
1, 5, 5, 6, 5, 3, 2, 2, 2, 3, 2, 1	$4^2$							
1, 5, 5, 5, 3, 2, 2, 2, 3, 2, 1	$1^{2}$							
1, 3, 2, 2, 2, 3, 2, 1	$3^{2}$							
1, 3, 2, 2, 2, 2, 1	$1^2$							
1, 3, 1	$4^2$							
1, 1	$1^2$							
[]	$2^2$							
	$\sum$ Unlocked Breath Count = <b>48</b>							

§ **Problem 2.8.4.** Tandav Kriya is a path to attain the state of Lord Shiva. The practitioner passes through intermediate states of attaining to respective gods during the course of Sadhana. Given a contiguous sequence of years of completion of the participating sub-kriyas respectively, attainment of a given state  $\beta$  is possible by practicing all sub-kriyas in a specific way : only a contiguous subsequence of  $\beta$  sub-kriyas needs to be practiced together at a time and so on. After practice,  $\beta$  sub-kriyas are replaced by a sub-kriya of equivalent number of years and the Sadhak continues to practice the transformed sequence subsequently. Determine the minimum possible number of years to attain a given godliness.

§§ Solution. Let  $f_n(l, r)$  be the minimum possible number of years to attain the state of god  $\beta$  after practicing a contiguous sequence of years of completion of the participating sub-kriyas y[l, r], following an optimal policy with n-steps.

Note that after the practice,  $\beta$  is reduced to 1, i.e.  $\beta-1$  is vanished corresponding to r-l, i.e. length of the subsequence is now  $(r-l) \mod (\beta-1)+1$ , which is less than  $\beta$  and no more vanishing is possible further. Hence the reduction of the length of  $[l,\ r]$  to 1 is possible when  $(r-l) \mod (\beta-1) == 0$ . Note that number of reductions possible is  $p=\frac{r-l}{\beta-1}$ .

$$d\left(\beta-1\right) == 0. \text{ Note that number of reductions possible is } p = \frac{r-\iota}{\beta-1}.$$

$$\therefore f_n(l,\ r) = \underset{\substack{m \in [l,\ r) \\ m=l+p(\beta-1) \\ p \in \left[0,\frac{r-l}{\beta-1}\right]}}{\underset{p \in \left[0,\frac{r-l}{\beta-1}\right]}{\text{Min}}} \left\{ f_{n-1}(l,\ m) + f_{n-1}(m+1,\ r) + \sum_{\substack{i \in [l,\ r] \\ (r-l)\ mod\ (\beta-1) = = 0}} y_i \right\}$$

#### Algorithm 28 Quantify Yogic Effect: Tandav Kriya

```
1: function tandav(y[0..n-1], \beta)
        if (n-1) mod (\beta-1)\neq 0 then
                                                                                       ▷ Infeasible Solution
 2:
 3:
            abort
        end if
 4:
        prefixsum[0..n] \leftarrow \{0\}
                                                               \triangleright sum[i, j] \equiv prefixsum[j+1] - prefix[i]
 5:
 6:
        for i \in [0, n) do
 7:
           prefixsum[i+1] \leftarrow prefixsum[i] + y[i]
8:
        end for
        f[0..n-1][0..n-1] \leftarrow \{\infty\}
9:
        for l \in [0, n) do
10:
            f[l][l] \leftarrow 0

    Singletons

11:
        end for
12:
13:
        for i \in [2, n] do
            for l \in [0, n-i] do
14.
                r \leftarrow l + i - 1
15:
                for m \leftarrow l, m < r; m \leftarrow m + \beta - 1 do
16:
                    f[l][r] \leftarrow \min(f[l][r], f[l][m] + f[m+1][r])
17:
                end for
18.
19.
                if (r-l) mod (\beta-1)\equiv 0 then
                    f[l][r] \leftarrow f[l][r] + prefixsum[r+1] - prefixsum[l]
20:
21:
                end if
22:
            end for
        end for
23:
24:
        return f[0][n-1]
25: end function
```

```
Time complexity is \mathcal{O}\left(\frac{n^3}{\beta}\right). Space complexity is \mathcal{O}(n^2).
int tandav(std::vector<int> & y, int beta)
2 {
      int n = y.size(); // total number of sub-kriyas
3
       // no feasible solution
5
      if ((n-1) \% (beta - 1) != 0) return -1;
6
8
      // handy to compute the sum of y[i, j] as prefixsum[j+1] - prefix[i]
      std::vector<int> prefixsum(n+1, 0);
9
10
      for(int i = 0; i < n; i++)
11
12
      {
           prefixsum[i+1] = prefixsum[i] + y[i];
13
14
15
       // min years to transform y[l,r] to a length of (r-l)\%(beta - 1) + 1
16
      std::vector<std::vector<int>> f(n, std::vector<int>(n, std::numeric limits<int
17
           >::max()));
18
      for(int l = 0; l < n; l++)
19
20
           f[1][1] = 0;
2.1
      }
2.2
23
      for(int i = 2; i \le n; i++)
24
25
           for(int l = 0; l \le n-i; l++)
26
27
               int r = l + i -1;
28
```

```
for(int m = 1; m < r; m += beta-1)
30
31
                    f[l][r] = std::min(f[l][r], f[l][m] + f[m+1][r]);
32
               }
33
34
               if((r-1) \% (beta-1) == 0)
35
36
                    f[l][r] += prefixsum[r+1] - prefixsum[l];
37
               }
38
           }
39
      }
41
      return f[0][n-1];
42
43 }
```

Tandav Kriya Sequence: [6, 2, 8, 3]

	$\beta = 2$						
modified sequence	8, 8, 3	8, 11	19	[]			
years	6 + 2	8 + 3	8 + 11				
$\sum years = 38$							

Tandav Kriya Sequence : [6, 2, 8, 3, 7]

	$\beta = 3$							
modified sequence	6, 13, 7	26	[]					
years	2 + 8 + 3	6 + 13 + 7						
$\sum$	$\sum$ years = 39							

§ **Problem 2.8.5.** Guru selects a kriya out of n ordered ones in the range [1, n], suitable for her disciple where there is n pranayams associated with the  $n^{th}$  kriya and so on. The disciple needs to guess the selected one. Guru hints whether the guessed kriya is higher or lower. Each wrong guess costs the associated pranayams. Guru is happy if guessed right and grants a boon. Determine the pranayams needed to guarantee the boon.

**§§ Solution**. Let  $f_n(l, r)$  be the minimum pranayams to guarantee the boon for kriyas in the range [l, r], following an optimal sequence of n-steps.

If the Sadhak selects the kriya m as her guess, then m pranayams are needed and now the next guess is either from  $[l,\ m-1]$  or  $[m+1,\ r]$  based on the hint from the Guru. She needs to account for the worst case to guarantee the boon.  $^{\ddagger}$ .

Note that:

For [1, 2]: guessing 1 leads to minimum pranayams even if it is wrong because it is still smaller than guessing 2. So minimum pranayams = 1.

For [1, 2, 3]: guessing 2 first helps determine the correct kriya based on hint from Guru. So minimum pranayams = 2.

For [1, 2, 3, 4]: optimal strategy is to use  $m \in [1, 4]$  to iterate over the entire sequence, then divide the left and right sequences based on m, selecting the higher pranayams plus m:

- 1. m=1: left sequence is empty []: 0 pranayam, right sequence is [2, 3, 4]: 3 is selected as before: total pranayams = 1+3=4.
- 2. m=2: left sequence is [1]: 0 pranayam, right sequence is [3, 4]: 3 pranayams: total pranayams = 2+3=5.
- 3. m=3: left sequence is  $[1,\ 2]$ : 1 pranayam, right sequence is [4]: 0 pranayam: total pranayams = 3+1=4.
- 4. m=4: left sequence is [1, 2, 3]: 2 pranayam, right sequence is empty []: 0 pranayam: total pranayams = 4+2=6.

<sup>&</sup>lt;sup>‡</sup>Minimax Algorithm

Hence the minimum number of pranayams needed in the worst case to guarantee the boon being qranted = 4.

Therefore the optimal procedure is

```
\begin{split} f_n(l,\ r) &= \min_{m\in[l,\ r]} [m+\max\{f_{n-1}(l,\ m-1),\ f_{n-1}(m+1,\ r)\}] \\ f_n(l,\ l) &= 0 \quad \text{(the only kriya must be correct)} \end{split}
```

#### Algorithm 29 Quantify Yogic Effect: Minimax Kriya Selection

```
1: function minmaxkriya(n)
        f[0..n][0..n] \leftarrow \{0\}
2:
 3:
        for i \in [1, n] do
            for l \in [0, n-i] do
 4:
               r \leftarrow l + i
 5:
                f[l][r] \leftarrow \infty
 6:
                for m \in [l, r) do
 7:
                    f[l][r] \leftarrow \min[f[l][r], \ m + \max(f[l][m-1], \ f[m+1][r])]
 8.
 9:
                end for
10:
            end for
        end for
11:
        return f[0][n]
12:
13: end function
```

```
Time complexity is \mathcal{O}(n^3). Space complexity is \mathcal{O}(n^2).
```

```
1 int minmaxkriya(int n)
2 {
      std::vector < std::vector < int > f(n+1, std::vector < int > (n+1, 0));
3
4
      for(int i = 1; i \le n; i++)
5
6
           for(int l = 0; l \le n - i; l++)
8
               int r = l + i;
10
               f[l][r] = std::numeric limits < int > ::max();
11
12
               for(int m = 1; m < r; m++)
13
14
                    f[l][r] = std::min(f[l][r], m + std::max(f[l][m-1], f[m+1][r]));
15
               }
           }
17
      }
19
      return f[0][n];
20
21 }
```

n	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Pranamayams	0	1	2	4	6	8	10	12	14	16	18	21	24	27	30

To re-iterate:

$$f_n(l, r) = \underset{m \in [l, r]}{\text{Min}} [m + \text{Max}\{f_{n-1}(l, m-1), f_{n-1}(m+1, r)\}]$$

Note that  $f_n(l, r)$  is a monotonically increasing function in terms of the length of the interval [l, r]:

$$f_n(l_1, r) \le f_n(l_2, r) \quad \forall l_1 \le l_2, \text{ and}$$
  
 $f_n(l_1, r_1) \le f_n(l_1, r_2) \quad \forall r_1 \le r_2$ 

With increasing m: length of the interval [l, m-1] increases whereas length of the interval [m+1, r] decreases.

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In other words,  $f_{n-1}(l, m-1)$  in a monotonically increasing function in m and  $f_{n-1}(m+1, r)$  is a monotonically decreasing function in m.

Suppose  $\exists m_{\beta}$ :

$$f_{n-1}(l, m_{\beta} - 1) = f_{n-1}(m_{\beta} + 1, r)$$

$$\therefore \forall m < m_{\beta}, \ f_{n-1}(l, m-1) < f_{n-1}(l, m_{\beta} - 1) = f_{n-1}(m_{\beta} + 1, r) < f_{n-1}(m+1, r)$$
In other words:
$$m_{\beta} = \operatorname{Max}\{m : f_{n-1}(l, m-1) \leq f_{n-1}(m+1, r)\}, \text{ or } m_{\beta} = \operatorname{Min}\{m : f_{n-1}(l, m-1) > f_{n-1}(m+1, r)\}.$$

$$\therefore \operatorname{Max}\{f_{n-1}(l, m-1), \ f_{n-1}(m+1, r)\} = \begin{cases} f_{n-1}(m+1, r) & \text{if } m \in [l, m_{\beta}] \\ f_{n-1}(l, m-1) & \text{if } m \in (m_{\beta}, r] \end{cases}$$

$$\therefore f_{n}(l, r) = \operatorname{Min}_{m \in [l, r]}[f_{L}(l, r), f_{R}(l, r)]$$
where
$$f_{L}(l, r) = \operatorname{Min}_{m \in [l, m_{\beta}]}\{m + f_{n-1}(m+1, r)\}, \text{ and } f_{R}(l, r) = \operatorname{Min}_{m \in (m_{\beta}, r]}\{m + f_{n-1}(l, m-1)\}$$

$$= 1 + m_{\beta} + f_{n-1}(l, m_{\beta}) \ \because \text{minimum value of } m = m_{\beta} + 1$$

## Algorithm 30 Quantify Yogic Effect: Minimax Kriya Selection: Optimized Computation

```
1: function minmaxkriva(n)
       f[0..n][0..n] \leftarrow \{0\}
2:
       for r \in [2, n] do
 3:
           m \leftarrow r - 1
 4:
           flmlist: deque<pair<fl, m>>
                                                                                              \triangleright m \in [l, m_{\beta})
 5:
           for l \in [r-1, \ 0) do
 6:
               while f[l][m-1>f[m+1][r] do
                                                                                                 \triangleright Find m_{\beta}
 7:
 8:
                  if filmlist is not empty and filmlist.front().second == m then
                      flmlist.pop\ front();
9:
                   end if
10:
                   m \leftarrow m-1
11:
               end while
12:
               fl \leftarrow l + f[l+1][r]
13:
               while filmlist is not empty and fl < flmlist.back().first do
14:
15:
                   flmlist.pop\ back()
               end while
16:
17:
               flmlist.push\ back(fl,l)
               f[l][r] \leftarrow \min[flmlist.front().first, 1 + m + f[l][m]]
18:
19:
           end for
       end for
20:
21:
       return f[1][n]
22: end function
```

Time complexity is  $\mathcal{O}(n^2)$ . Finding  $m_\beta$  is  $\mathcal{O}(1)$  for a fixed [l, r]. Computation of  $f_L$  is also  $\mathcal{O}(1)$  due to sliding window minimum logic for a fixed [l, r]. Space complexity is  $\mathcal{O}(n^2)$ .

```
1 int minmaxkriya(int n)
2 {
```

```
std::vector < std::vector < int > f(n + 1, std::vector < int > (n + 1, 0));
3
4
      for(int r = 2; r \le n; r++)
5
6
           int m = r - 1;
8
           // stores <f L, m>, where l <= m < m beta
9
           std::deque<std::pair<int, int>>> flmlist;
10
11
           // find f L(l, r) : sliding window minimum
12
           for(int l = r - 1; l > 0; l --)
13
14
               // find m beta
15
               while(f[l][m-1] > f[m+1][r])
16
17
                    if(not flmlist.empty() and flmlist.front().second == m)
18
19
                        flmlist.pop front();
                    }
21
                     m;
2.2
23
24
               int fl = l + f[l + 1][r];
26
               while(not flmlist.empty() and fl < flmlist.back().first)</pre>
27
28
                    flmlist.pop back();
29
               }
30
31
               flmlist.emplace back(fl, l);
32
33
               f[l][r] = std::min(flmlist.front().first, 1 + m + f[l][m]);
34
           }
35
      }
36
37
      return f[1][n];
38
39 }
```

§ **Problem 2.8.6.** Trikaldarshi is a yogic state achieved by practicing the n kriyas as the vertices  $v_i: i \in [1,n]$  of a convex polygon in a triangulated way where practicing the kriyas of a triangle with vertices  $< v_i, v_j, v_k >$  requires  $\phi(v_i, v_j, v_k)$  years and  $v_i$  represents the number of years required for the corresponding kriya. Determine the minimum possible years required to be a Trikaldarshi.  $\Diamond$ 

§§ Solution. Note that a triangulation of a convex polygon requires drawing all possible non-intersecting (except at a vertex) diagonals between non-adjacent vertices, thus forming (n-2) triangles for a n-sided convex polygon. It is required to find the minimum possible sum of respective  $\phi$  years of its component triangles, i.e. we need to find an optimal triangulation.

Let  $f_m(i, j)$  be the minimum possible years to triangulate a polygon  $v_i \dots v_j$  in an optimal way with m steps.

If j < i+2, then there are less than 3 points, hence no triangulation is possible. Otherwise, we enumerate all  $v_k : k \in (i, j)$  to form a triangle with vertices  $< v_i, v_j, v_k >$  which in turn results into left and right polygons to triangulate and so on.

Hence, the optimal procedure is

$$f_m(i, j) = \begin{cases} 0 & \text{If } j < i+2 \\ \min_{i < k < j} \{f_{m-1}(i, k) + f_{m-1}(k, j) + \phi(v_i, v_j, v_k)\} & \text{otherwise} \end{cases}$$

## Algorithm 31 Quantify Yogic Effect: Trikaldarshi

```
1: function trikal(v[0..n-1])
        f[0..n-1][0..n-1] \leftarrow \{0\}
2:
        for d \in [2, n) do
 3:
            for i \in [0, n-d) do
 4:
                j \leftarrow i + d
 5:
                f[i][j] \leftarrow \infty
                for k \in [i + 1, j) do
 7:
                    f[i][j] \leftarrow \min[f[i][j], f[i][k] + f[k][j] + \phi(v_i, v_j, v_k)]
 8:
 9:
                end for
            end for
10:
        end for
11.
        return f[0][n-1]
13: end function
```

```
Time complexity is \mathcal{O}(n^3). Space complexity is \mathcal{O}(n^2).
    Assuming \phi(v_i, v_j, v_k) = v[i] * v[j] * v[k]:
int trikal(std::vector<int> & v)
      int n = v.size(); // total number of kriva-vertices
      std::vector < std::vector < int> f(n, std::vector < int>(n, 0));
6
      for(int d = 2; d < n; d++)
7
8
           for(int i = 0; i < n - d; i++)
9
10
                int j = i + d;
11
12
                f[i][j] = std::numeric limits<int>::max();
13
14
                for(int k = i + 1; k < j; k++)
16
                     f[i][j] = std::min(f[i][j], f[i][k] + f[k][j] + v[i] * v[j] * v[k]
17
                         ]);
                }
18
           }
19
      }
20
21
      return f[0][n-1];
22
23 }
```

Sequence of Kriya Years	Triangulation	Min Years
1, 2, 3	1*2*3	6
1, 2, 3, 4	$\min(1*2*3 + 1*4*3, 1*2*4 + 2*3*4)$	18
1, 2, 3, 4, 5	optimal: 1*2*3 + 1*5*4 + 1*3*4	38

For determining the structure of the optimal solution, i.e. a list of the kriya-triangles participating in triangulation of the polygon can be printed after reconstruction from the optimal solution .

#### Algorithm 32 Quantify Yogic Effect: Trikaldarshi: Print Kriya-Triangles

```
1: function printtrikal(f < pair < mincost, mink > [0..n-1][0..n-1], v[0..n-1], i, j)
         if j \ge i + 2 then
   2:
   3:
            print v[i], v[f[i][j].mink], v[j]
            printtrikal(f, v, i, f[i][j].mink)
   4.
   5:
            printtrikal(f, v, f[i][j].mink, j)
         end if
   7: end function
   8: function trikal(v[0..n-1])
         f < pair < mincost, mink > [0..n - 1][0..n - 1] \leftarrow \{0\}
   9:
                                                                         ▷ 2D matrix of pair<mincost , mink>
         for d \in [2, n) do
  10:
  11:
            for i \in [0, n-d) do
  12.
                j \leftarrow i + d
  13:
                f[i][j].mincost \leftarrow \infty
                for k \in [i + 1, j) do
  14.
                   localmin \leftarrow \min[f[i][j].mincost, f[i][k] + f[k][j] + \phi(v_i, v_j, v_k)]
  15:
  16:
                   if localmin < f[i][j].mincost then
  17:
                       f[i][j].mincost \leftarrow localmin
                       f[i][j].mink = k
  18:
                   end if
  19:
                end for
  20:
  21:
            end for
         end for
  22:
  23:
         printtrikal(f, v, 0, n-1)
         return f[0][n-1]
  24:
  25: end function
     Time complexity of printtrikal is O(n) because total number of kriya-triangles is n-2.
     Hence total time complexity is \mathcal{O}(n^3). Space complexity is \mathcal{O}(n^2).
void print trikal(std::vector<std::vector<std::pair<int, int>>> & f, std::vector<</pre>
       int > \& v, int i, int j)
2 {
       if(j \ge i+2)
3
4
           std::cout << "<" << v[i] << "," << v[f[i][j].second] << "," << v[j] << ">
5
           print_trikal(f, v, i, f[i][j].second);
6
           print_trikal(f, v, f[i][j].second, j);
7
       }
8
9 }
11 int trikal(std::vector<int> & v)
12 {
       int n = v.size(); // total number of kriya-vertices
13
14
       // 2-D matrix of pair<mincost, mink>
15
       std::vector < std::pair < int, int>>> f(n, std::vector < std::pair < int,
16
           int >> (n);
17
       for(int d = 2; d < n; d++)
18
19
           for(int i = 0; i < n - d; i++)
20
21
```

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```
int i = i + d;
2.3
               f[i][j].first = std::numeric limits<int>::max();
24
25
               for(int k = i + 1; k < j; k++)
26
27
                    int local min = f[i][k]. first + f[k][j]. first + v[i] * v[j] * v[k]
28
29
                    if(local min < f[i][j].first)
30
31
                        f[i][j]. first = local min;
32
                        f[i][j].second = k; // min k
33
                    }
34
               }
35
36
37
      print trikal(f, v, 0, n-1);
38
39
      return f[0][n-1]. first;
40
41 }
```

Kriya-Polygon	Kriya-Triangles in Optimal Triangulation	Min Years
1, 2, 3	<1,2,3>	6
1, 2, 3, 4	<1,3,4> <1,2,3>	18
1, 2, 3, 4, 5	<1,4,5> <1,3,4> <1,2,3>	38
6, 2, 9, 8, 4, 12, 3, 5	<6,2,5> <2,3,5> <2,4,3> <2,8,4> <2,9,8> <4,12,3>	466

# 2.9 Stairway to Heaven

§ **Problem 2.9.1.** Following the Kriya initiation of his disciple Ram to a specific monastic order, Guru shares the details of a stairway-like mystical yogic path of n-steps to reach the Heavenly abode. Ram is allowed to take at most  $\delta \leq n$  steps at a given time. Determine the total number of distinct ways to reach Heaven.

**§§** Solution. Let  $f_m(k)$  be the number of distinct ways to reach the step-k. Note that Ram can reach the step-k in one of the  $\delta$  ways: a single step from the  $(n-1)^{th}$  step or a step of 2 from the  $(n-2)^{th}$  step,  $\cdots$ , or a step of  $\delta$  from the  $(n-\delta)^{th}$  step.

$$\therefore f_m(k) = \begin{cases} \sum_{i \in [1, \delta]} f_{m-1}(k-i) & \text{if } k > 1\\ 1 & \text{if } k \le 1 \end{cases}$$

#### Algorithm 33 Staircase to Heaven: Count Distinct Ways

```
1: function heaven(n, \delta)
         if \delta > n then
             return 0
3:
 4:
         end if
         f[0..n] \leftarrow \{0\}
 5:
         f[0] \leftarrow 1
 6:
 7:
         f[1] \leftarrow 1
         for i \in [2, n] do
8:
9:
             s \leftarrow 0
10:
              for j \in [1, \delta], j \leq i do
11:
                   s \leftarrow s + f[i - j]
              end for
12:
              f[i] \leftarrow s
13:
```

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```
end for
  14:
  15:
         return f[n]
  16: end function
  Time complexity is \mathcal{O}(n\delta). Space complexity is \mathcal{O}(n).
1 int heaven(int n, int delta)
2 {
       if(delta > n) return 0;
3
       std::vector<int> f(n + 1, 0);
6
       f[0] = f[1] = 1;
8
       for(int i = 2; i \le n; i++)
9
10
            int s = 0;
11
12
            for(int j = 1; j \le delta \& j \le i; j++)
13
14
                 s += f[i-j];
15
17
            f[i] = s;
18
19
2.0
      return f[n];
21
22 }
```

n	δ	ways	no of ways
2	1	[1,1]	1
2	2	[1,1][2]	2
3	2	[1,1,1] [1,2] [2,1]	3
3	3	[1,1,1] [1,2] [2,1] [3]	4
4	2	[1,1,1,1] [1,2,1] [2,1,1] [1,1,2] [2,2]	5

Suppose that Ram is allowed to take steps only from a given sequence, say  $s_i: i \in [a,\ b]$ , at a given time, then

$$\therefore f_m(k) = \begin{cases} \sum_{i \in [a, b]} f_{m-1}(k - s_i) & \text{if } k > 1\\ 1 & \text{if } k \le 1 \end{cases}$$

#### Algorithm 34 Staircase to Heaven: Count Distinct Ways with step-list

```
1: function heaven(n, s[0..m-1])
        f[0..n] \leftarrow \{0\}
 2:
        f[0] \leftarrow 1
 3:
        f[1] \leftarrow 1
 4:
        for i \in [2, n] do
 5:
            sum \leftarrow 0
 6:
 7:
            for e \in s[0..m-1] do
 8:
                if e \le i then
                    sum \leftarrow sum + f[i - e]
9:
10:
                end if
            end for
11:
12:
            f[i] \leftarrow sum
13:
        end for
        return f[n]
14:
15: end function
```

Time complexity is  $\mathcal{O}(nm)$ , m is the number of steps in the step-list. Space complexity is  $\mathcal{O}(n)$ .

```
int heaven(int n, std::vector<int> & s)
2 {
      std::vector < int > f(n + 1, 0);
3
4
      f[0] = f[1] = 1;
      int ls = s.size();
      for(int i = 2; i \le n; i++)
9
10
           int sum = 0;
11
12
          for(auto e : s)
13
14
               if(e \le i)
15
16
                   sum += f[i-e];
17
18
19
20
           f[i] = sum;
21
22
23
      return f[n];
24
25 }
```

n	step-list	ways	no of ways
2	1, 2	[1,1][2]	2
4	2, 4	[2,2] [4]	2
6	2, 4, 6	[2,2,2] [2,4] [4,2] [6]	4

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