

## #1 Encodings and the UTM—Motivation

1. Real problems are usually about things like numbers, graphs, trees, and so forth. We will use TMs to describe algorithms that solve real problems, so we need a way to represent these objects.
2. A Turing machine has components that are each representable. Therefore, we can consider a Turing machine to be such an object.
3. What questions can be asked about Turing machines by Turing machines? This line of reasoning starts our trek into undecidability.

## #2 Some encodings

We will generally stick to  $\Sigma = \{0, 1\}$ . This is sufficient to represent basically everything.

*Example 1 (Binary Numbers).* The numbers you are familiar with exist in a representation called a decimal expansion (*deci* meaning *base ten*). So, for example,

$$1234 = 1 * 10^3 + 2 * 10^2 + 3 * 10^1 + 4 * 10^0$$

A binary expansion changes the base from 10 to 2. For example, 6 in binary is 1110

$$1110 = 1 * 2^3 + 1 * 2^2 + 1 * 2^1 + 0 * 2^0$$

*Example 2 (Integers).* Integers are similar, except that we use the leading digit to represent negativity. So 1110 is now

$$1 * (-1) + 1 * 2^1 + 1 * 2^1 + 0 * 2^0$$

Let us move onto higher mathematical constructs.

*Example 3 (Pairs and tuples).* As we have seen in class, if I have  $x, y \in \Sigma^*$ , then I can represent the pair  $(x, y) \in \Sigma^* \times \Sigma^*$  with a delimiter. Let's use #.

$$x\#y$$

On the input tape, suppose  $x = x_1 \dots x_n$  and  $y = y_1 \dots y_m$ :

$x_1$	$\dots$	$x_n$	#	$y_1$	$\dots$	$y_m$
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*Example 4 (Graphs).* Consider this definition.

*Definition 1 (Graph).* A graph  $G = (V, E)$  is a 2-tuple where  $V$  is a set of vertices and  $E \subseteq V \times V$  is a set of edges on those vertices.

Let us think in class how we might encode this when  $V \subseteq \mathbb{N}$ . I can think of two ways: I suspect that class will think of encoding  $V$  as a delimited string of naturals and then  $E$  as a delimited string of pairs. Another way to do it is by encoding the transition matrix.

In general, given some mathematical object  $x$  (such as a graph, pair, number, etc) we denote its encoding as a string as  $\langle x \rangle$ . For example, we might write  $\langle 2 \rangle = 10$  in binary and  $\langle (x, y) \rangle = x\#y$ .

### #3 TMs with encoded input

The power of encodings permits us to start writing Turing machines that answer questions about more interesting objects. An example.

Here is a classic graph problem called the independent set problem, or INDSET. In plain english, INDSET asks: given a graph  $G$  and a number  $k$ , is there a  $k$ -size independent subset of  $G$ 's vertices? We would write formally:

*Example 5.*

$$\text{INDSET} = \{ \langle G, k \rangle : \exists S \subseteq V(G) \text{ s.t. } |S| \geq k \text{ and } \forall u, v \in S, (u, v) \notin E \}$$

What does a Turing machine that recognizes this language look like? What is the format of its input? Give me the broad idea here.

### #4 Encoding automata

Recall the definition of a DFA  $D$ .

$$D = (Q, \Sigma, \delta, q_0, F)$$

Can we encode this? Yes. So we can write Turing machines that answer question about DFAs.

$$A_{DFA} = \{ \langle D, w \rangle \mid D \text{ accepts input string } w \}$$

Further, this language is decidable. It is a good exercise to think about how. See Sipser Theorem 4.1 for a full writeup.