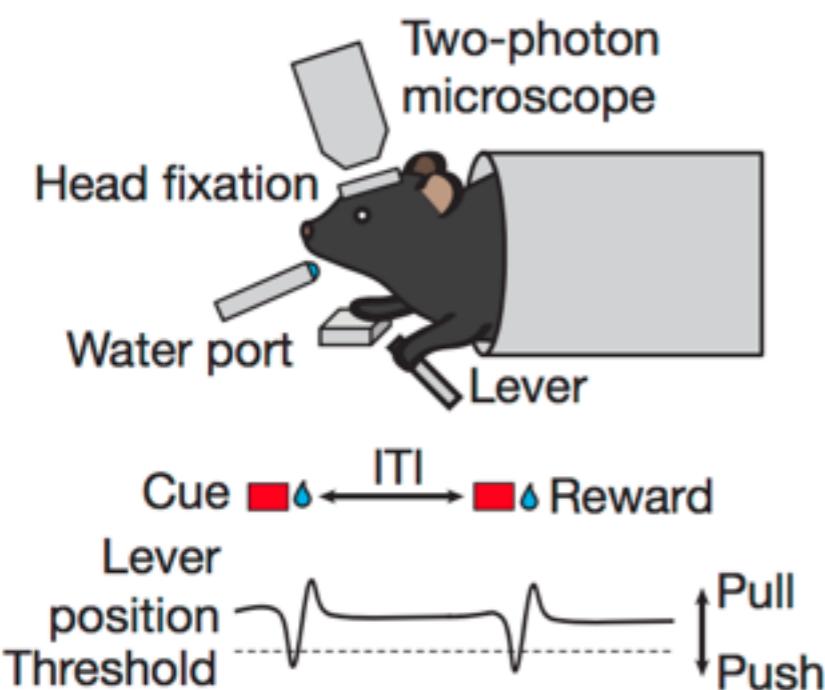


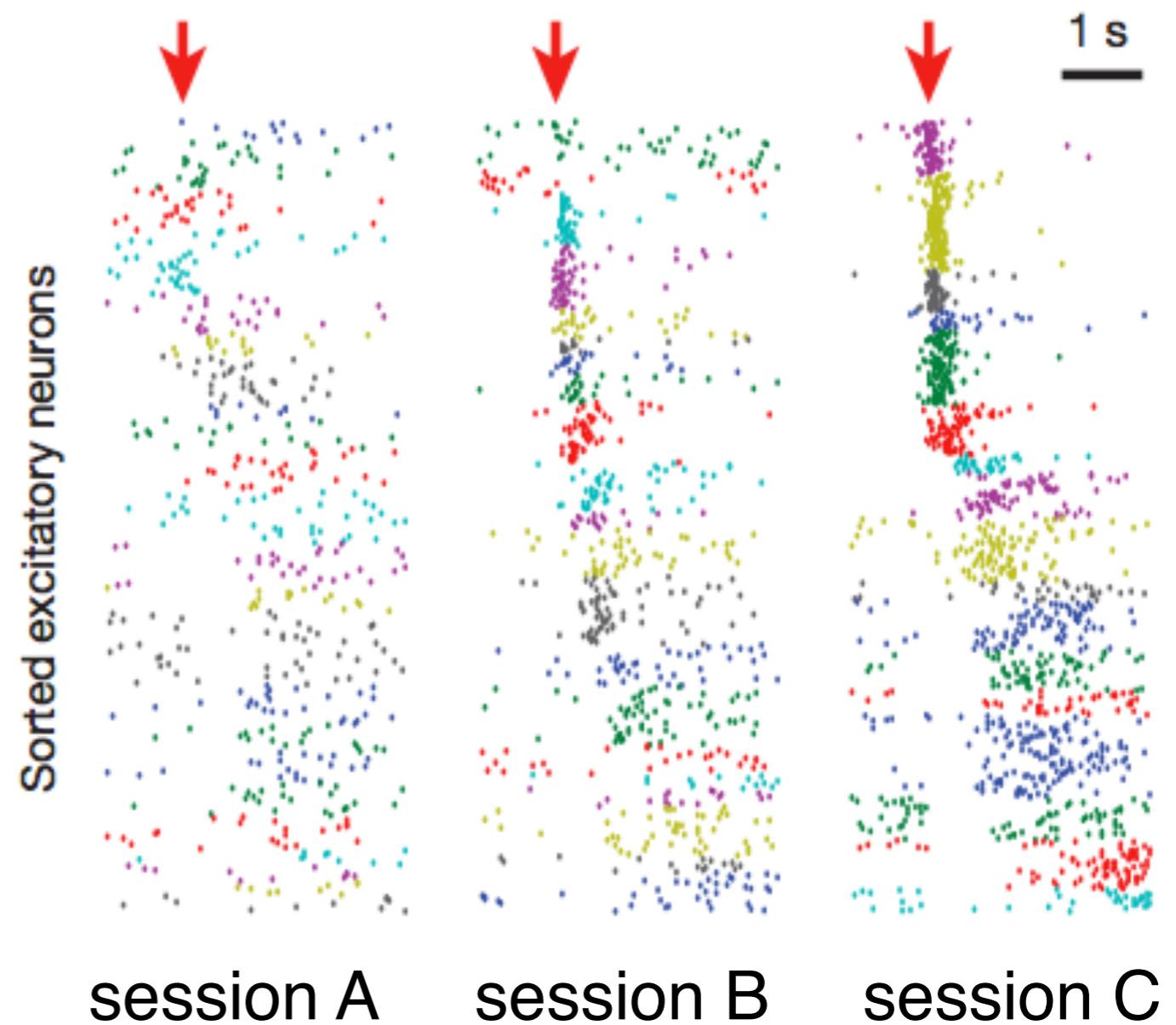
# Dimensionality reduction of neural dynamics within and across trials by tensor decomposition

Alex H. Williams, Tony Hyun Kim, Forea Wang,  
Saurabh Vyas, Krishna V. Shenoy, Mark Schnitzer,  
Tamara G. Kolda, Surya Ganguli

# Modern experiments capture a large range of timescales in neural data



(Peters et al., 2014)



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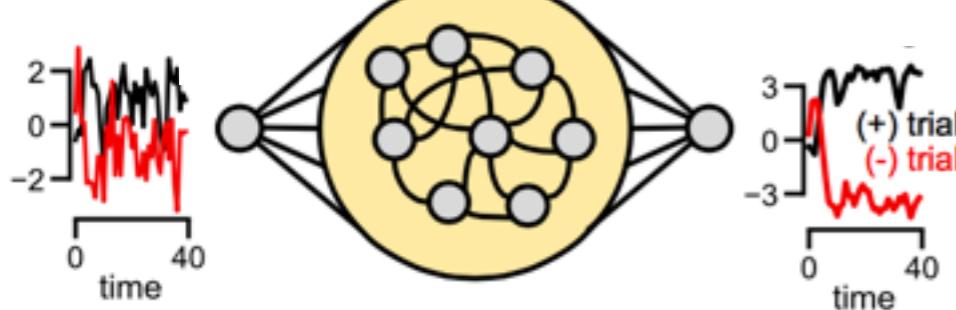
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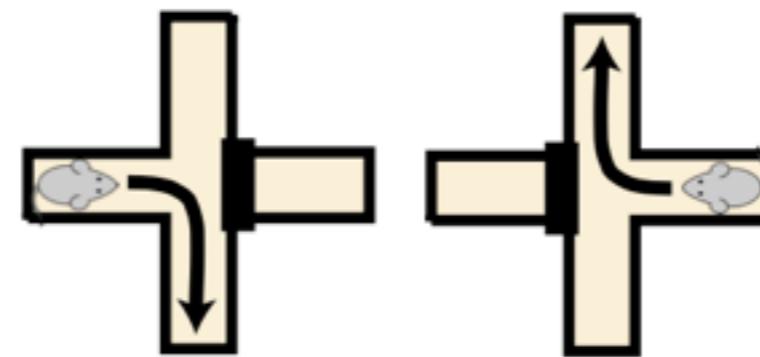
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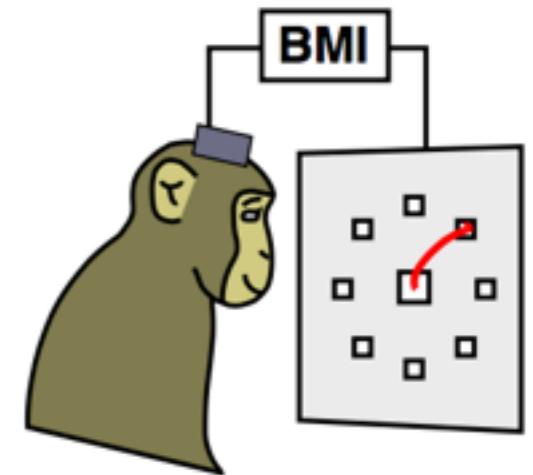
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*artificial RNN learning*



*maze navigation*

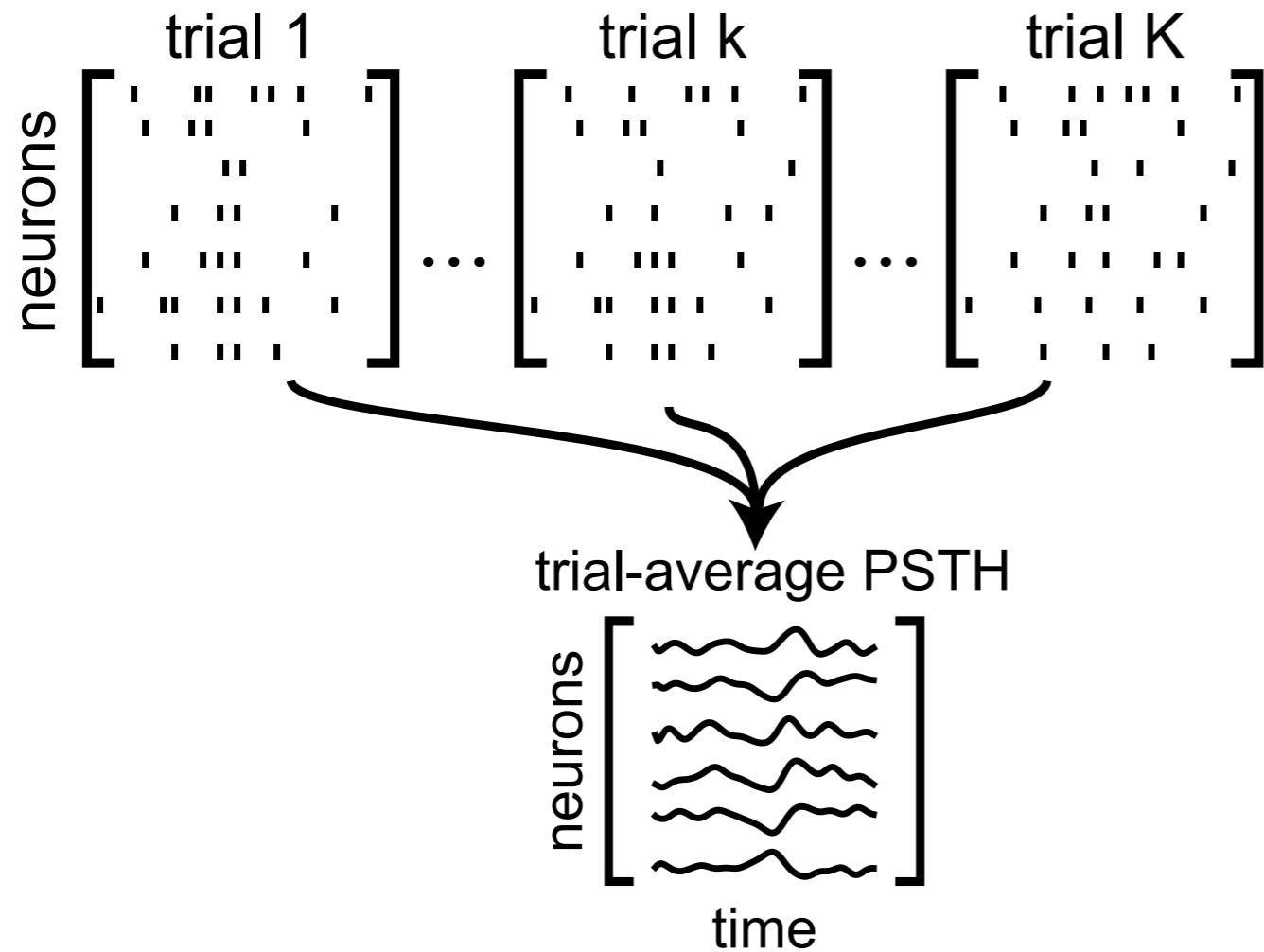


*motor learning*

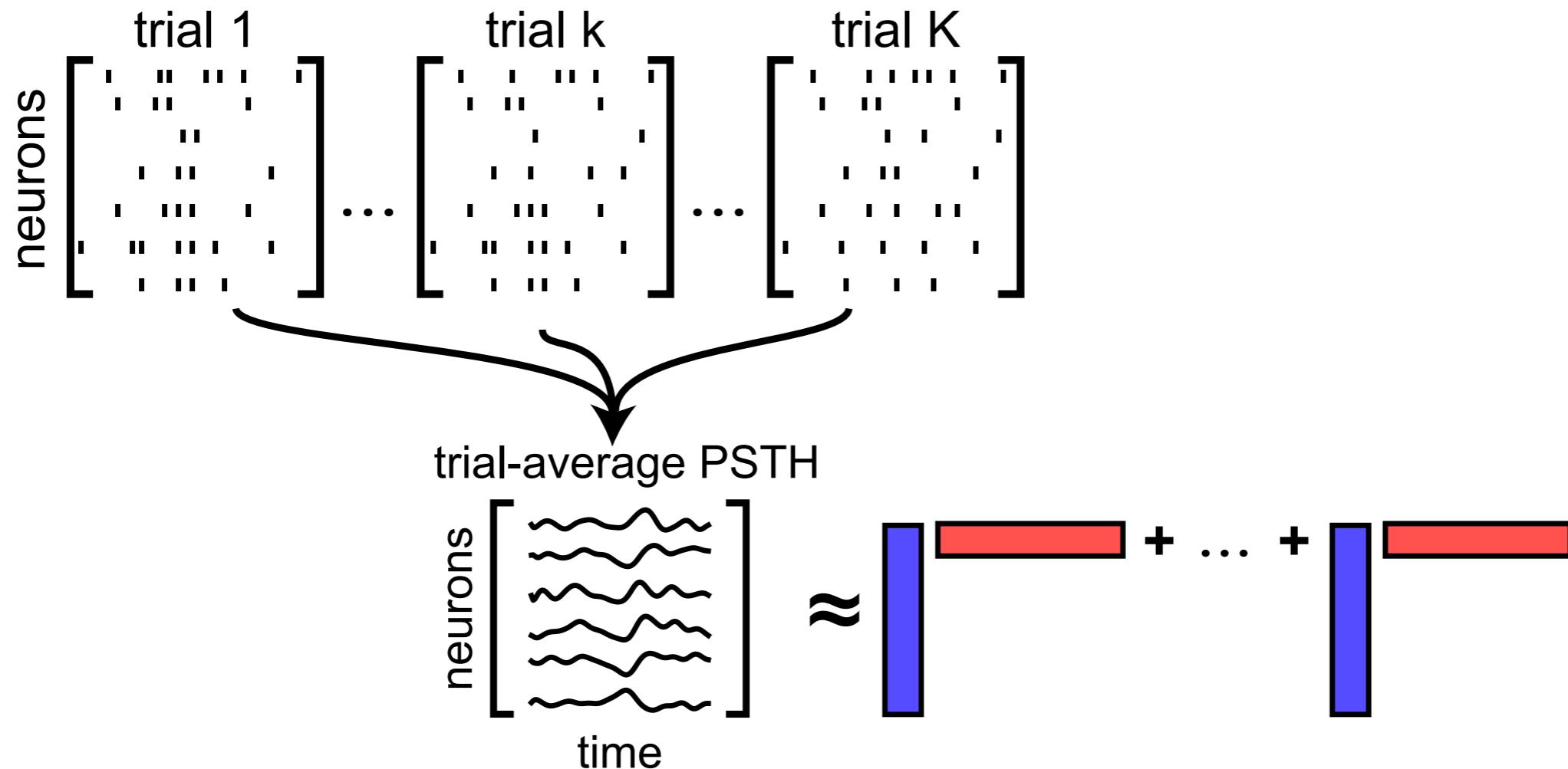
# Existing method #1: Trial-averaged PCA

$$\text{neurons} \begin{bmatrix} \text{trial 1} \\ \vdots \\ \vdots \\ \vdots \\ \vdots \\ \vdots \end{bmatrix} \dots \begin{bmatrix} \text{trial k} \\ \vdots \\ \vdots \\ \vdots \\ \vdots \\ \vdots \end{bmatrix} \dots \begin{bmatrix} \text{trial K} \\ \vdots \\ \vdots \\ \vdots \\ \vdots \\ \vdots \end{bmatrix}$$

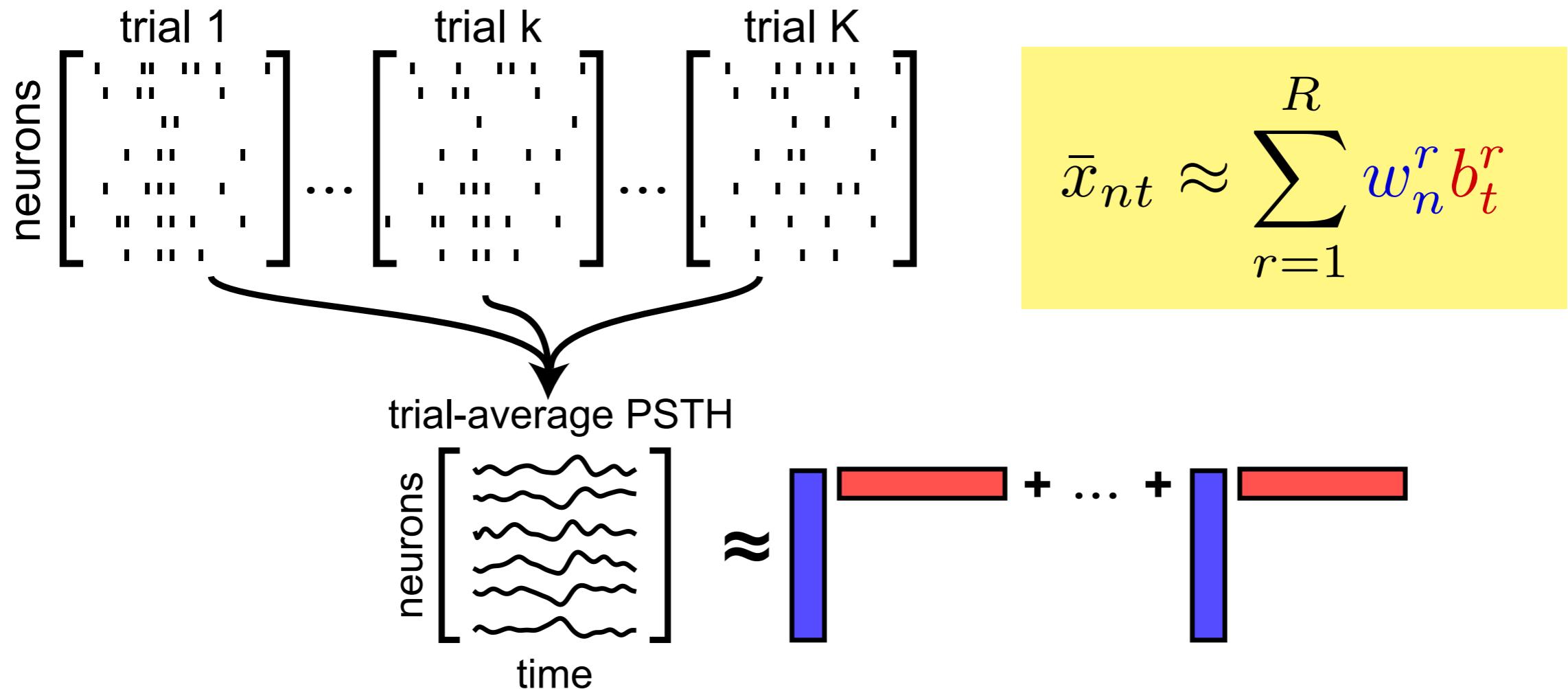
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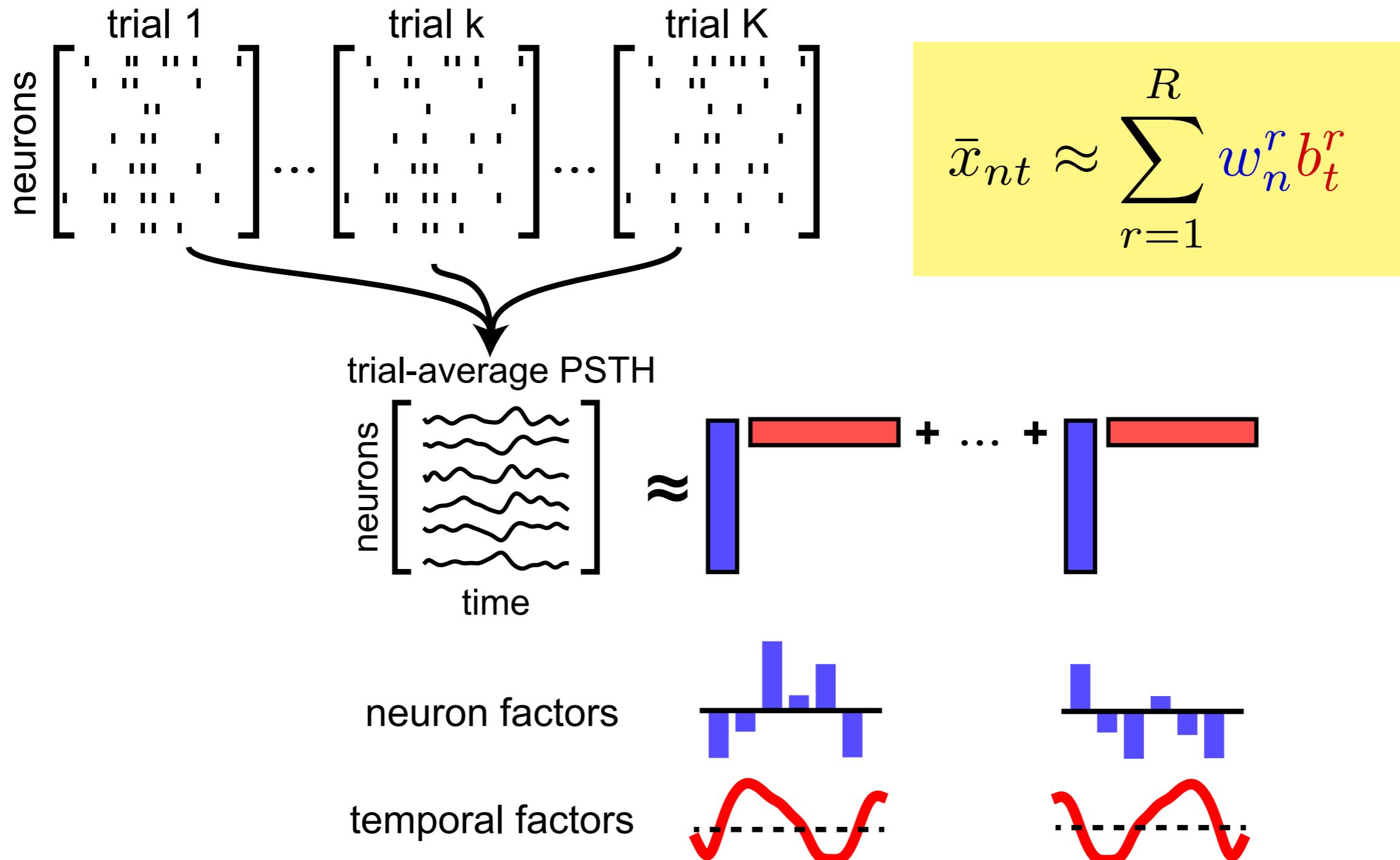
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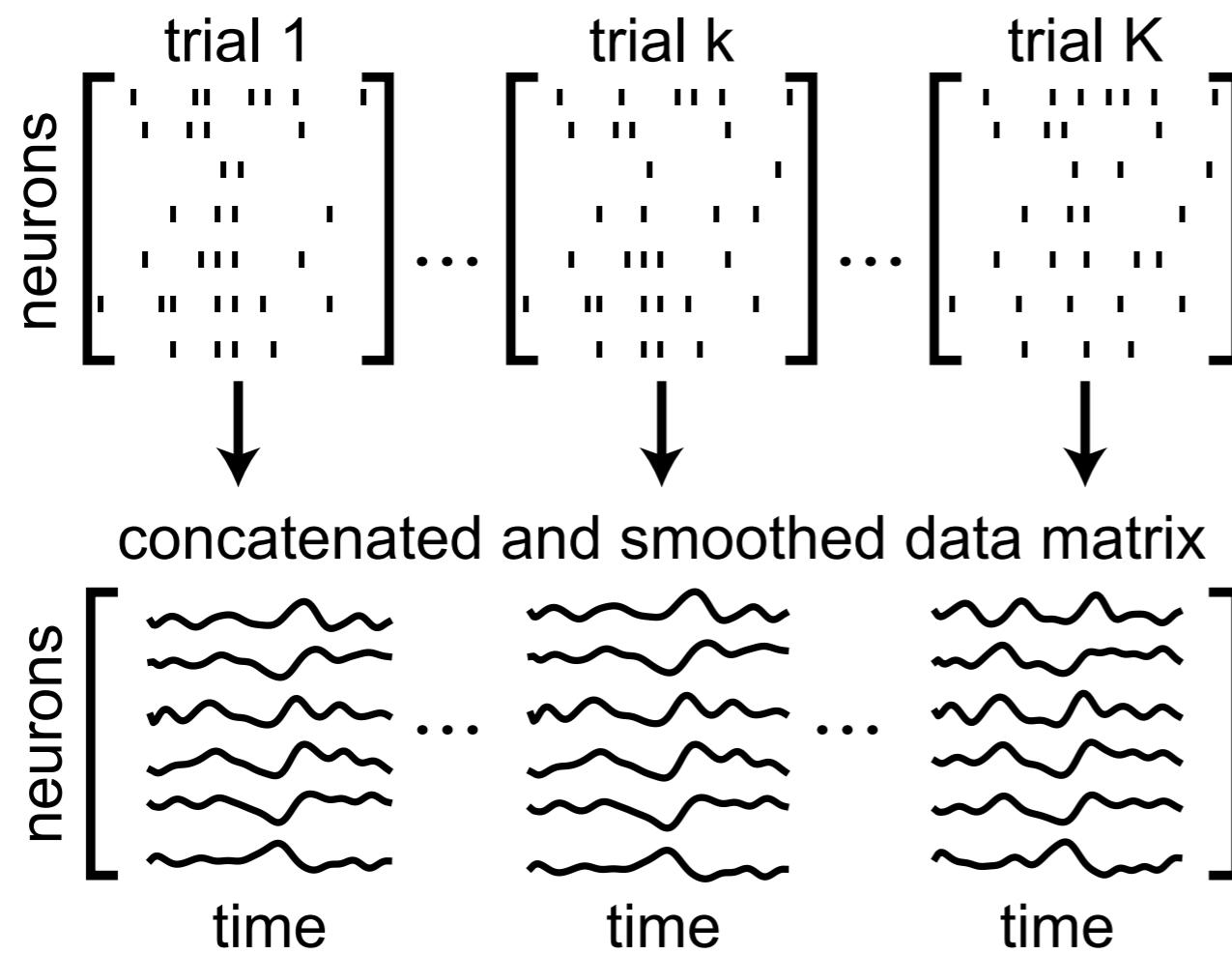
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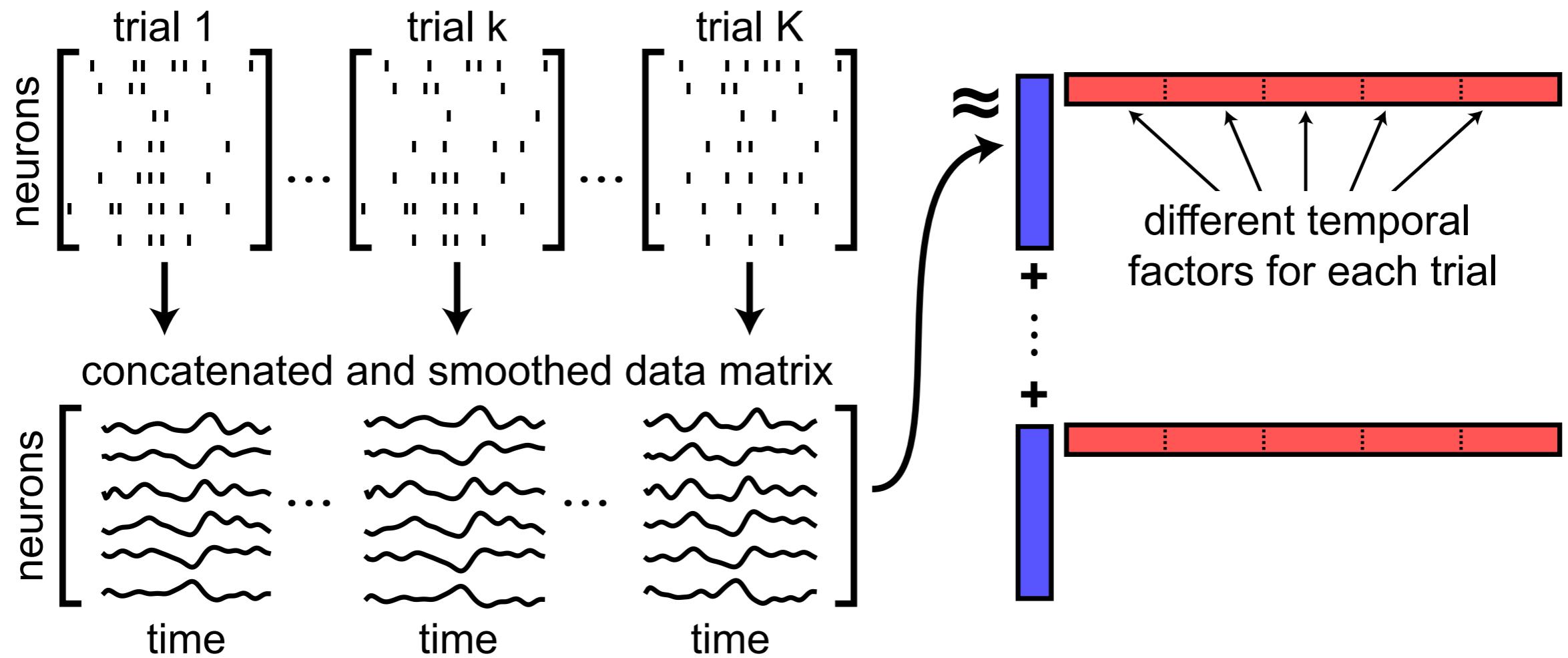
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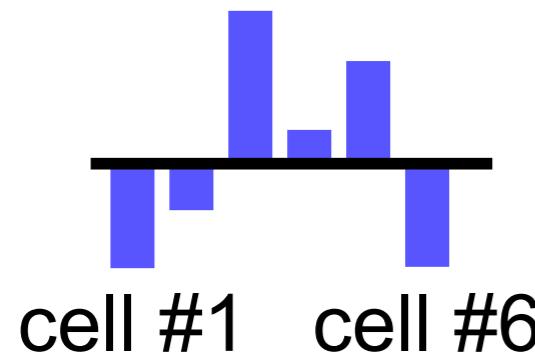
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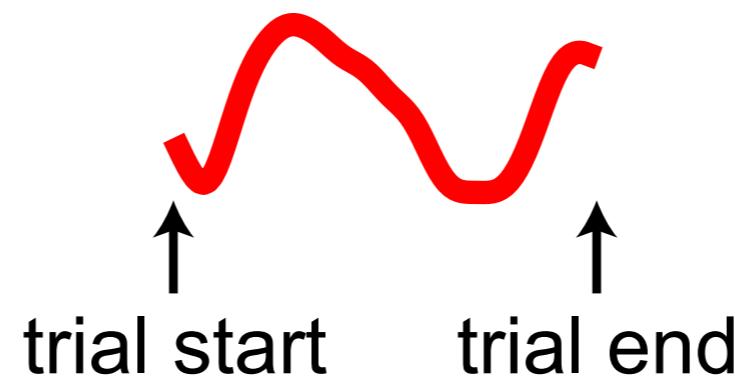


# Our Goal: Find compact representation for within- and across-trial neural dynamics

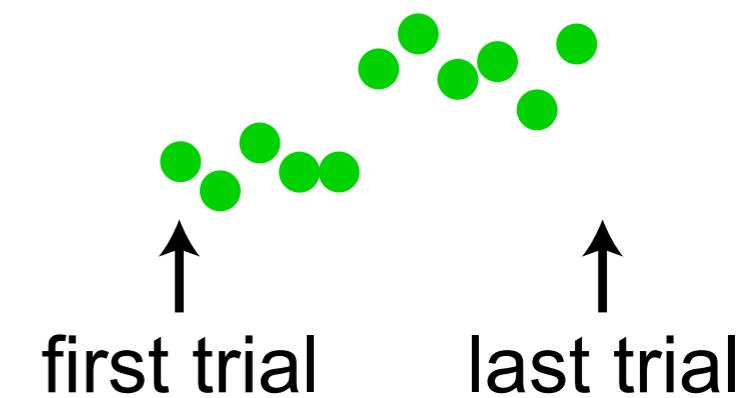
neuron factors



temporal factors



trial factors



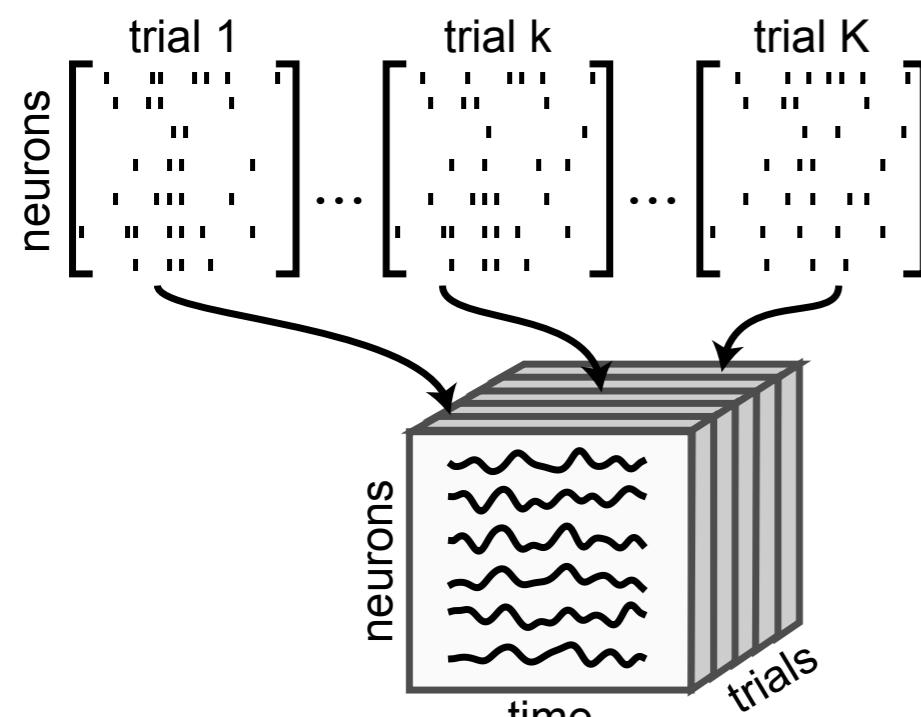
functional cell  
ensembles

dynamics &  
cognition

learning &  
stability

We apply standard tensor decomposition methods to extract these components

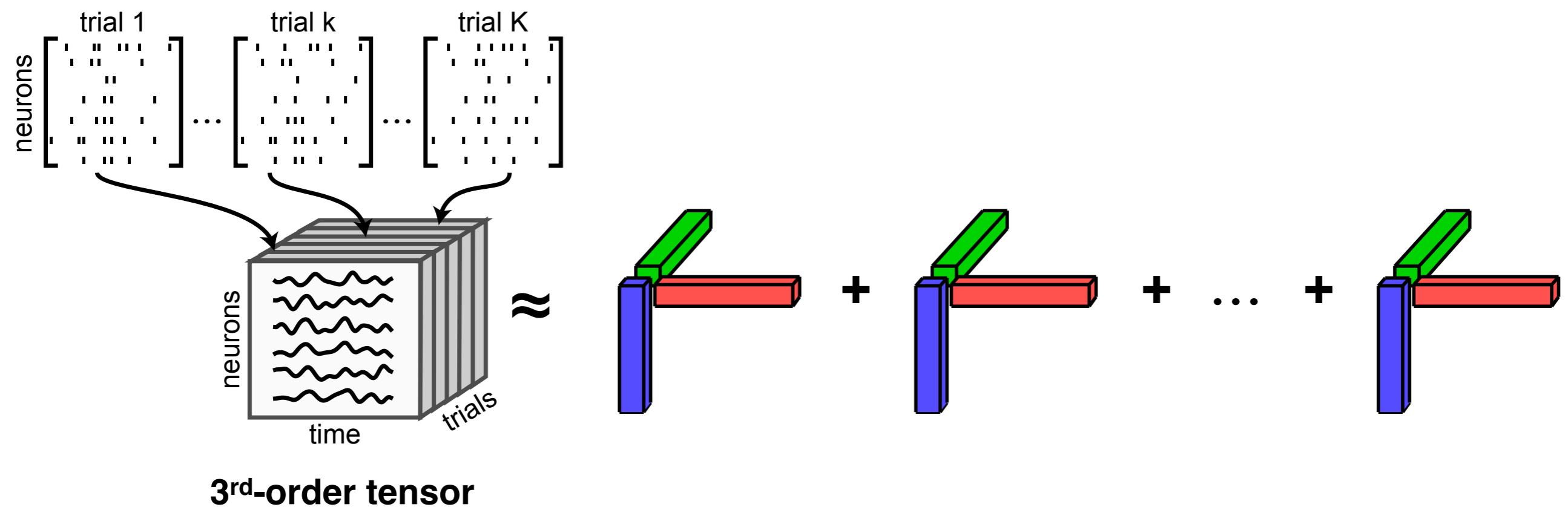
### Tensor Components Analysis (TCA)



**3<sup>rd</sup>-order tensor**

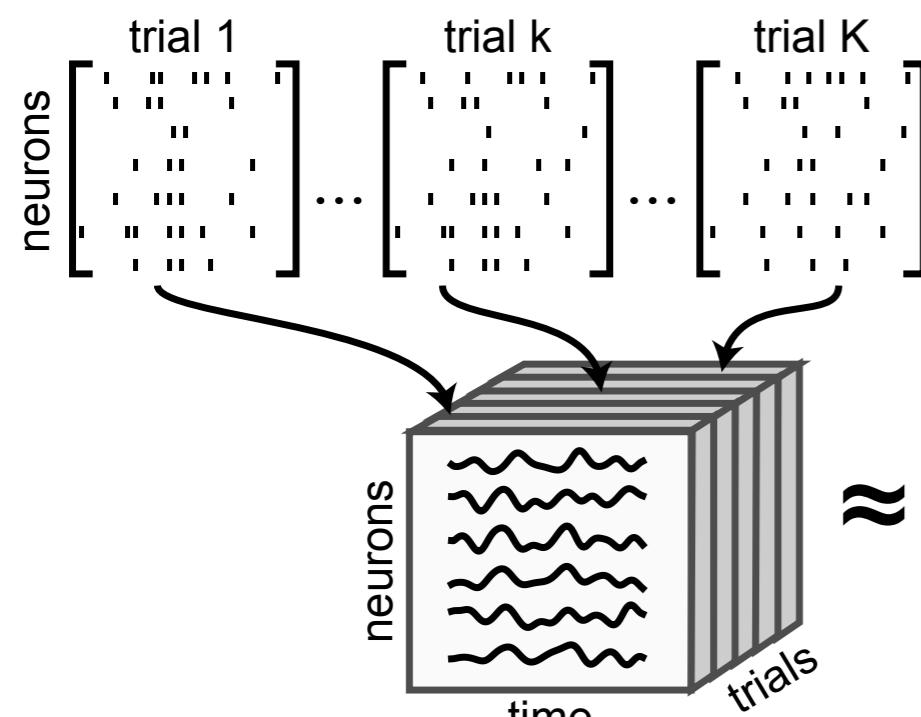
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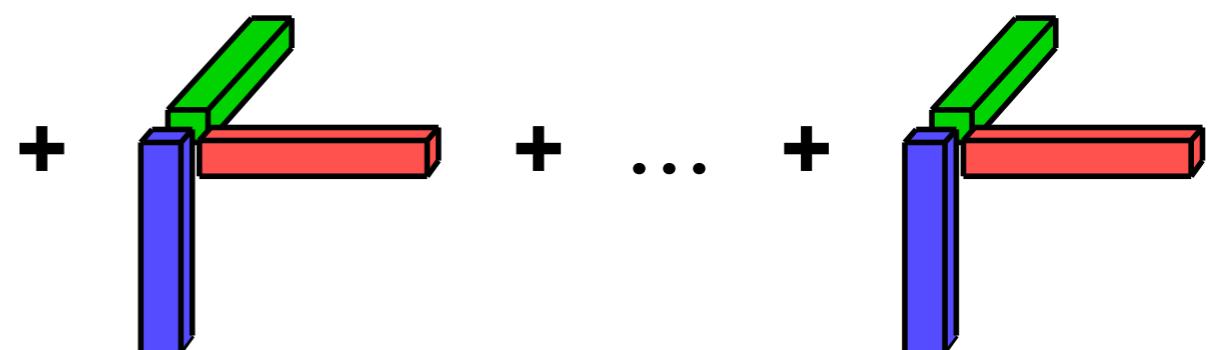
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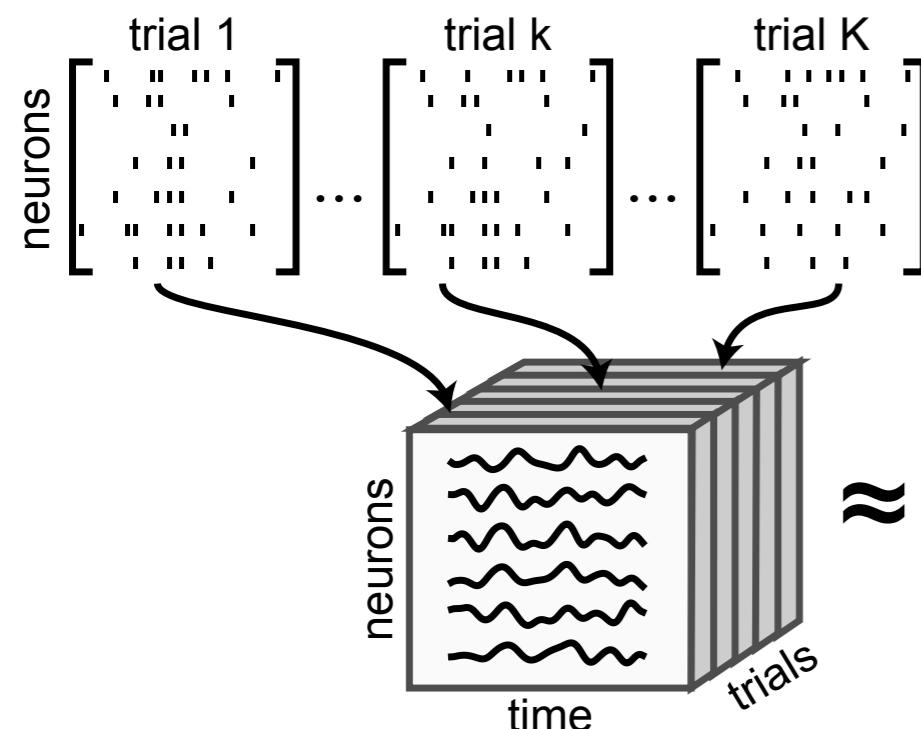
$$x_{ntk} \approx \sum_{r=1}^R w_n^r b_t^r a_k^r$$

CANDECOMP/PARAFAC Decomposition

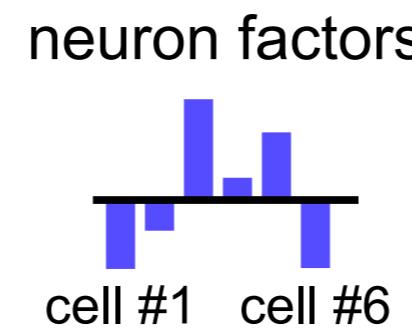


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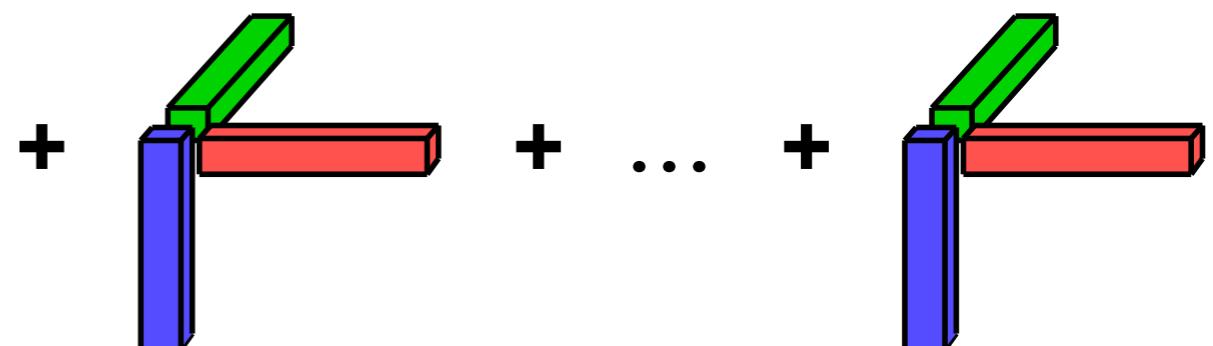


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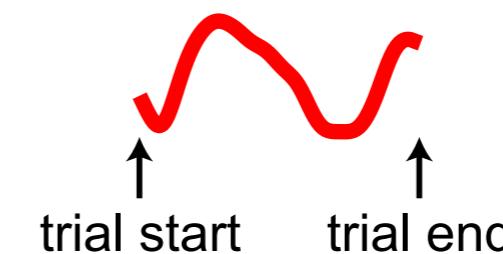


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CANDECOMP/PARAFAC Decomposition



temporal factors



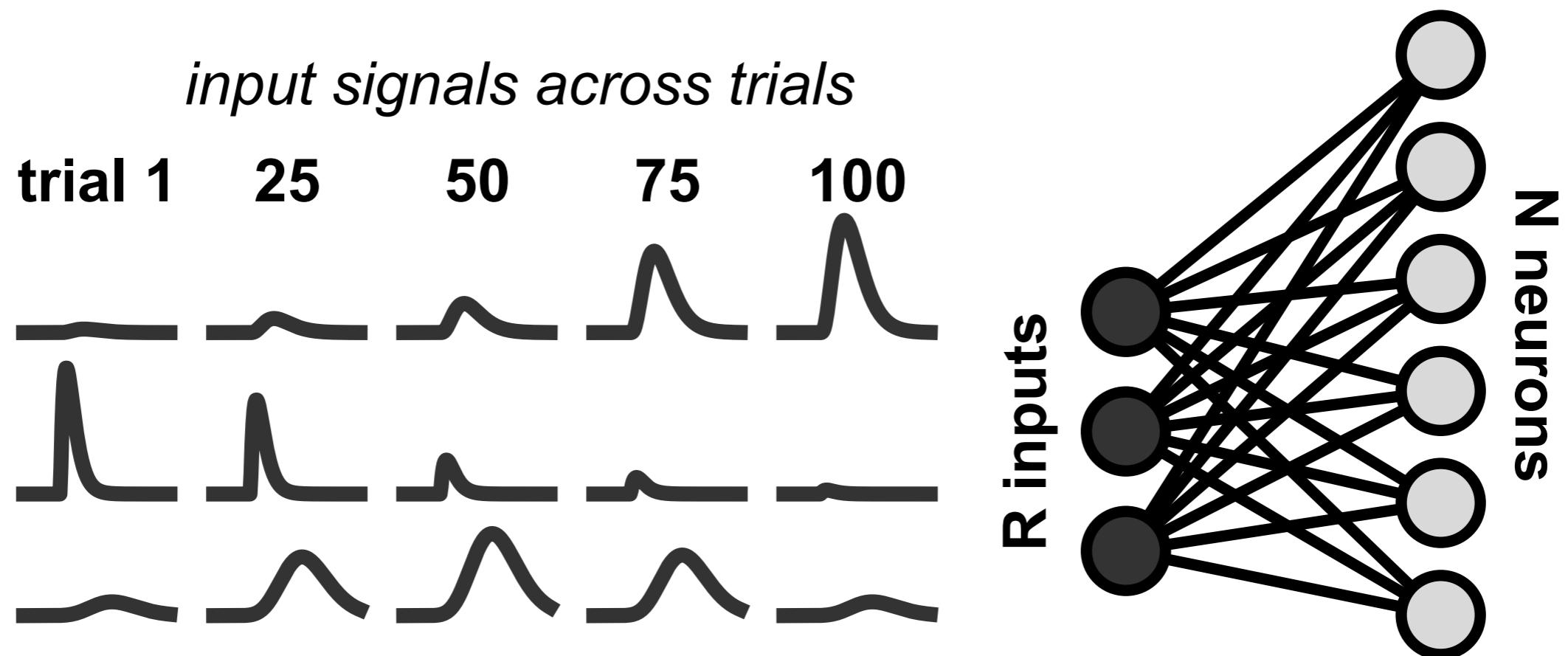
trial factors

# A neural circuit interpretation of TCA:

TCA is a linear network with gain modulation — an influential principle of cortical computation (e.g., Carandini and Heeger, 2012)

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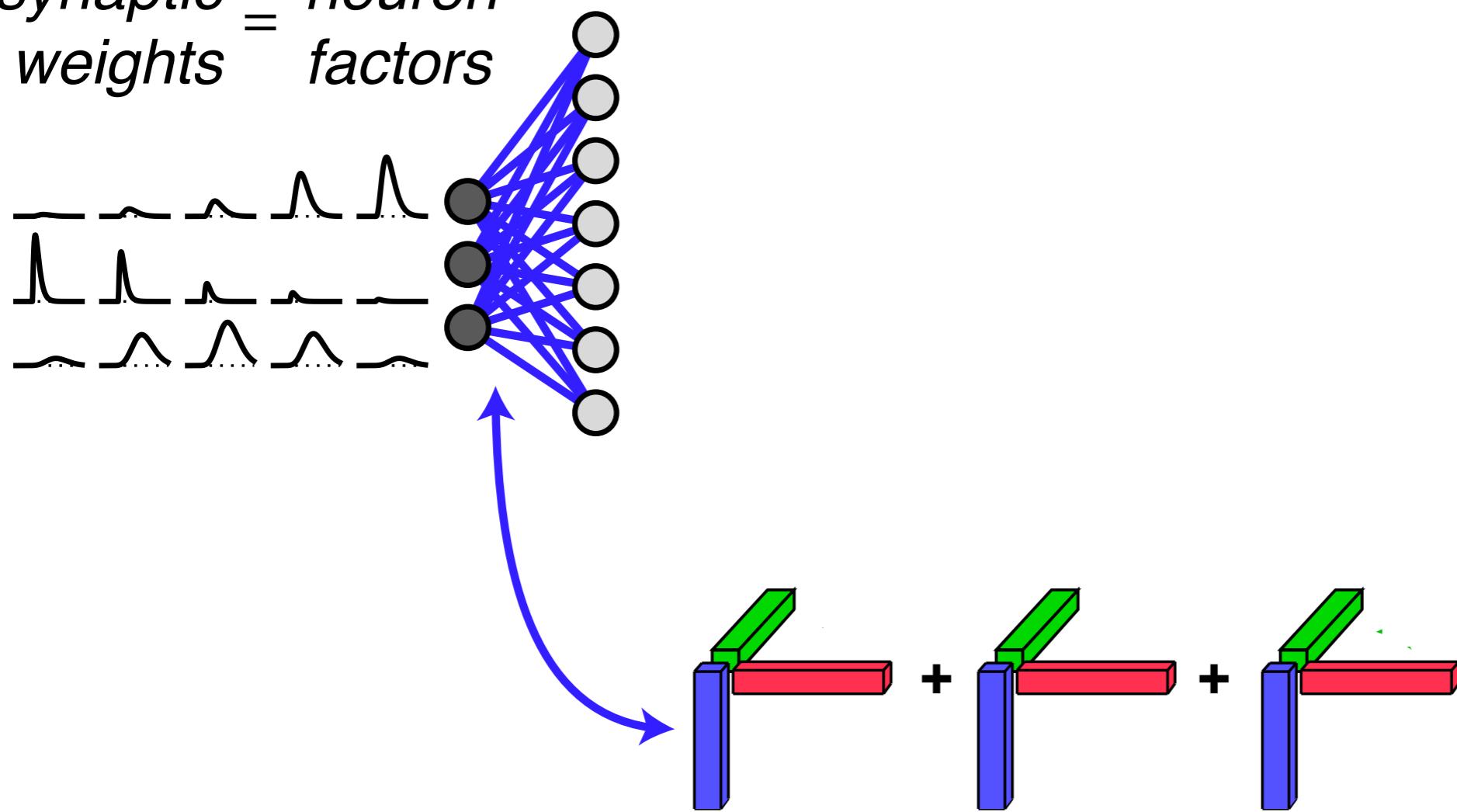
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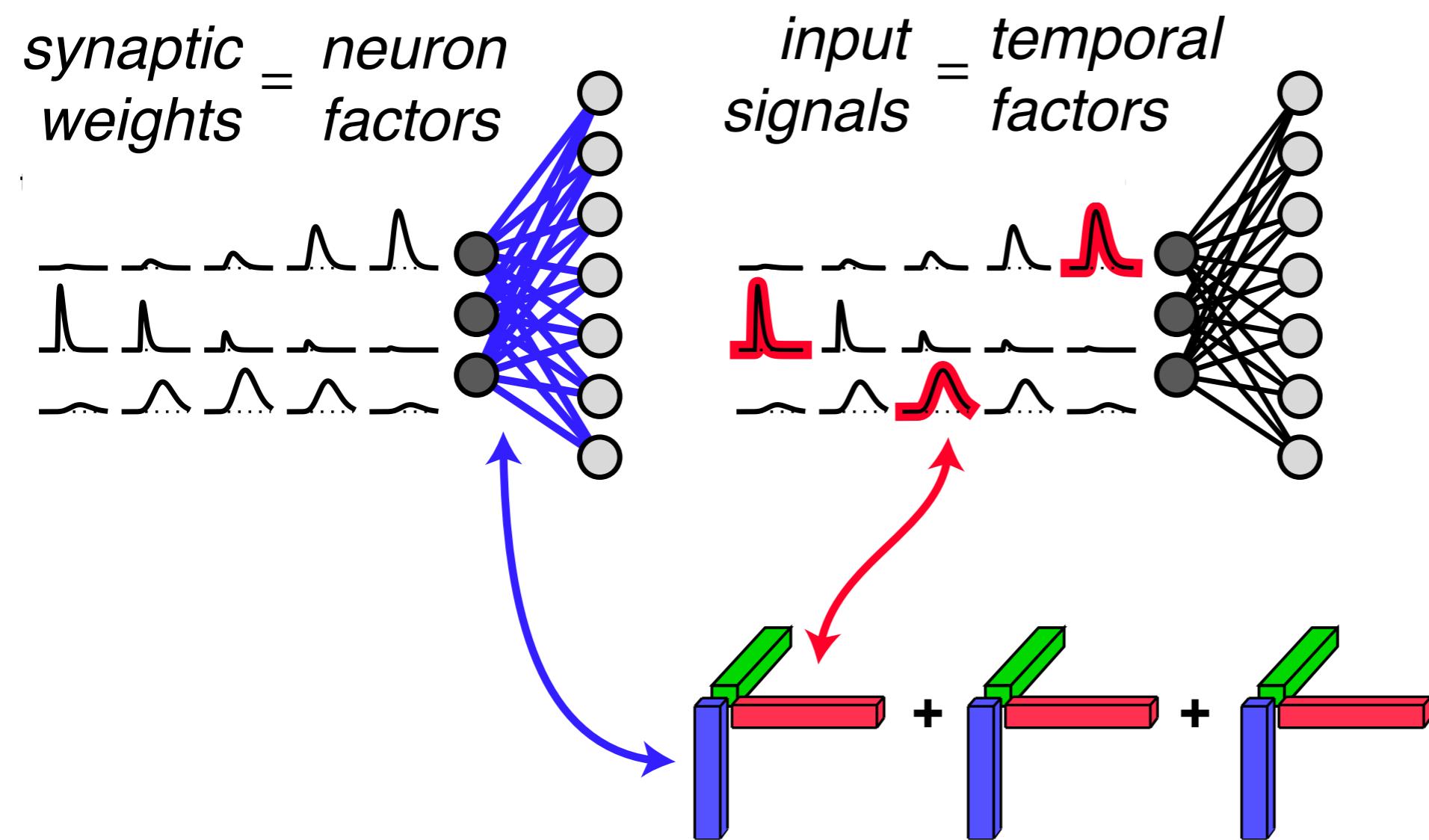
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*synaptic = neuron  
weights factors*



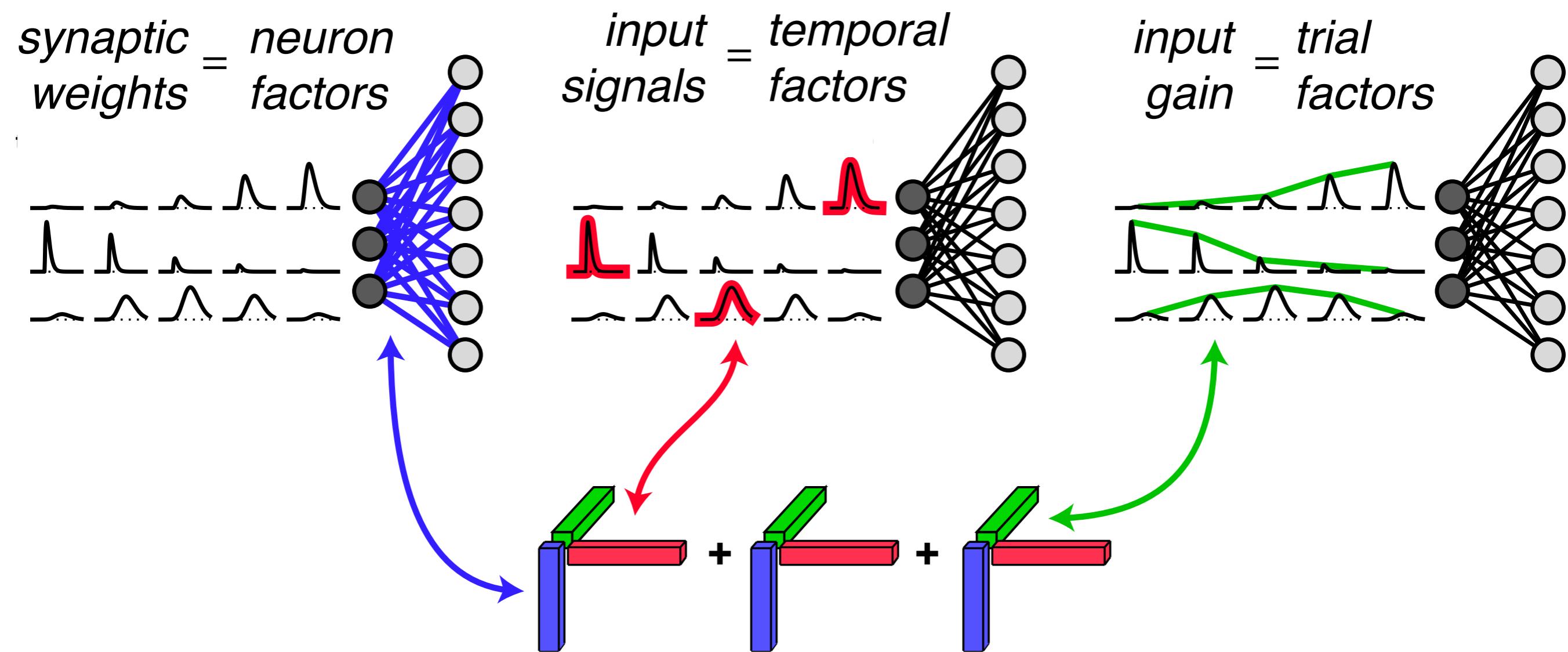
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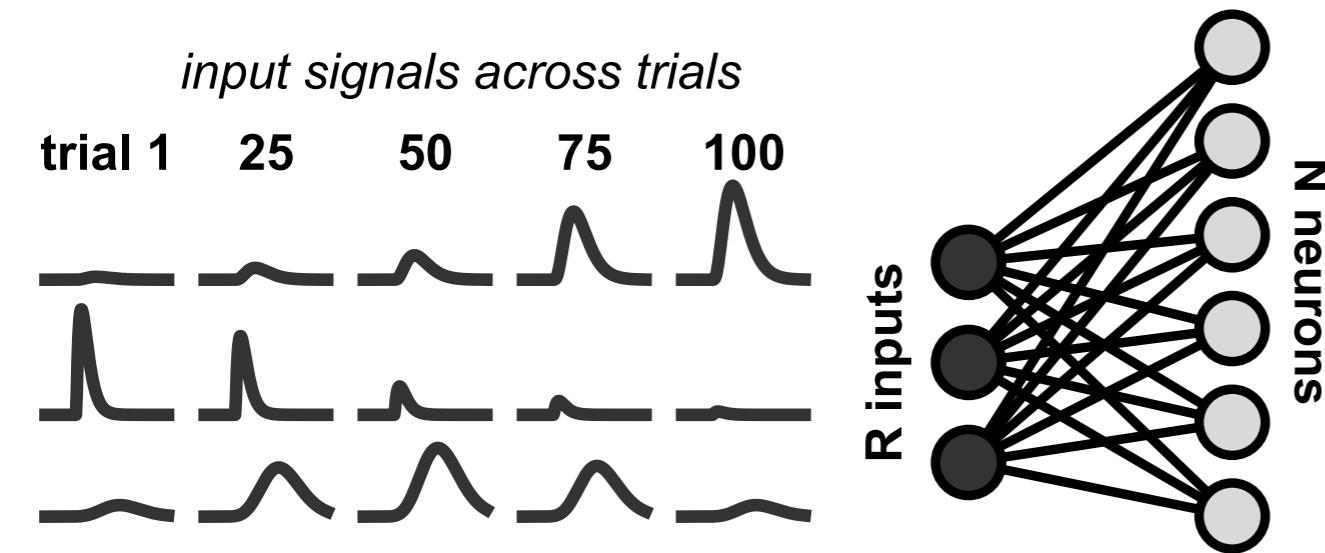
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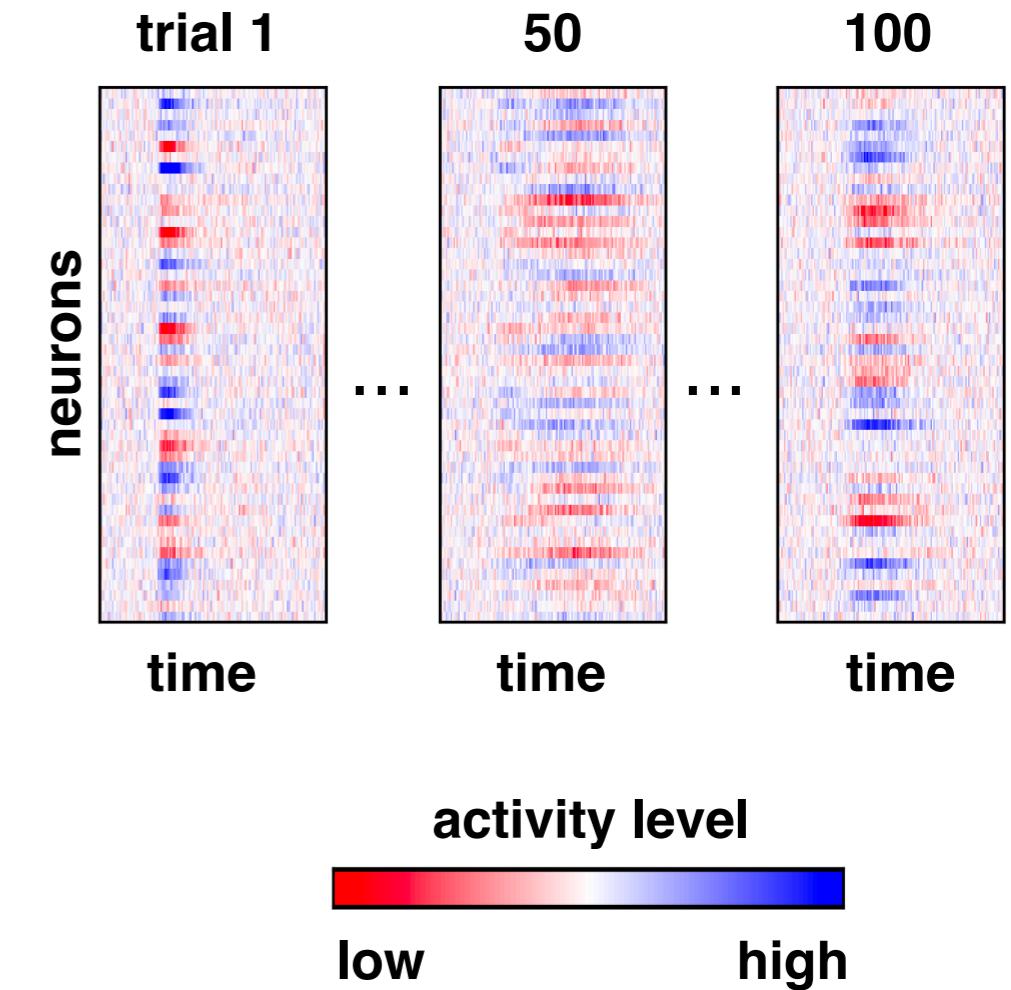


# A demonstration of TCA using simulated data from the gain-modulated linear network

## *Network model*

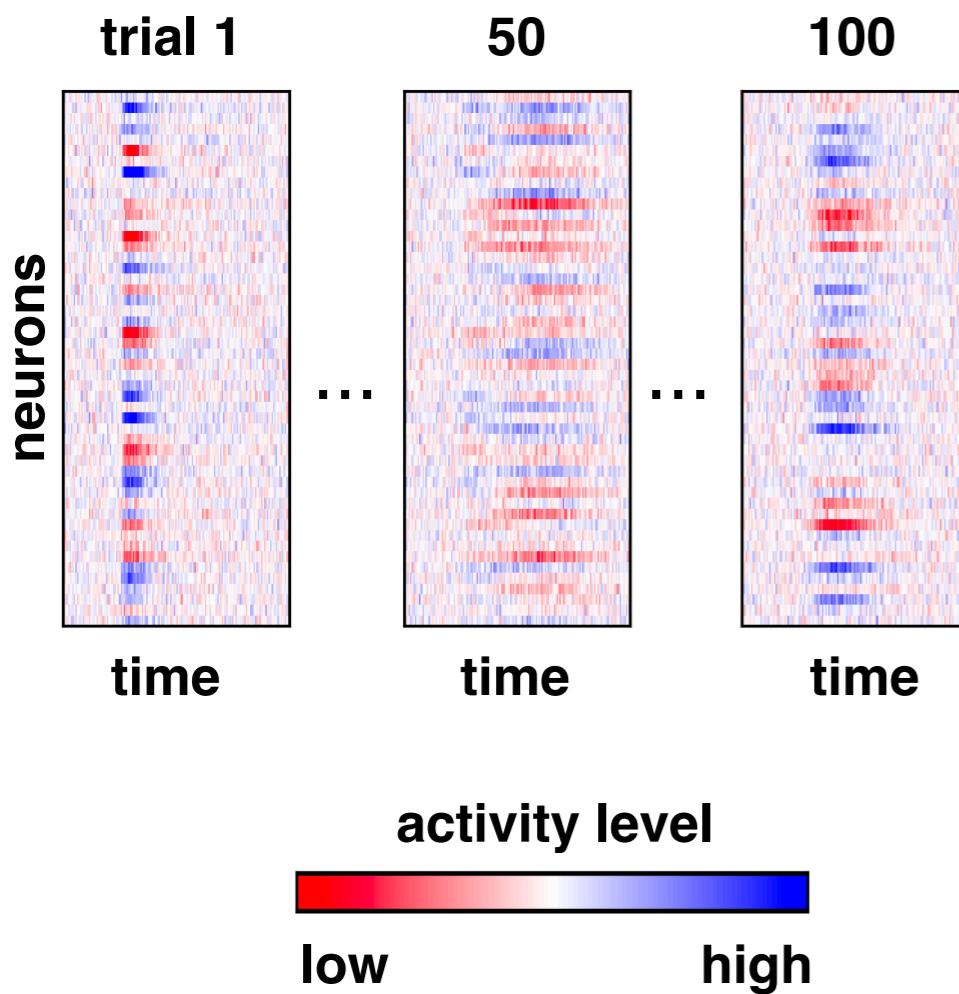


## *Simulated Data*

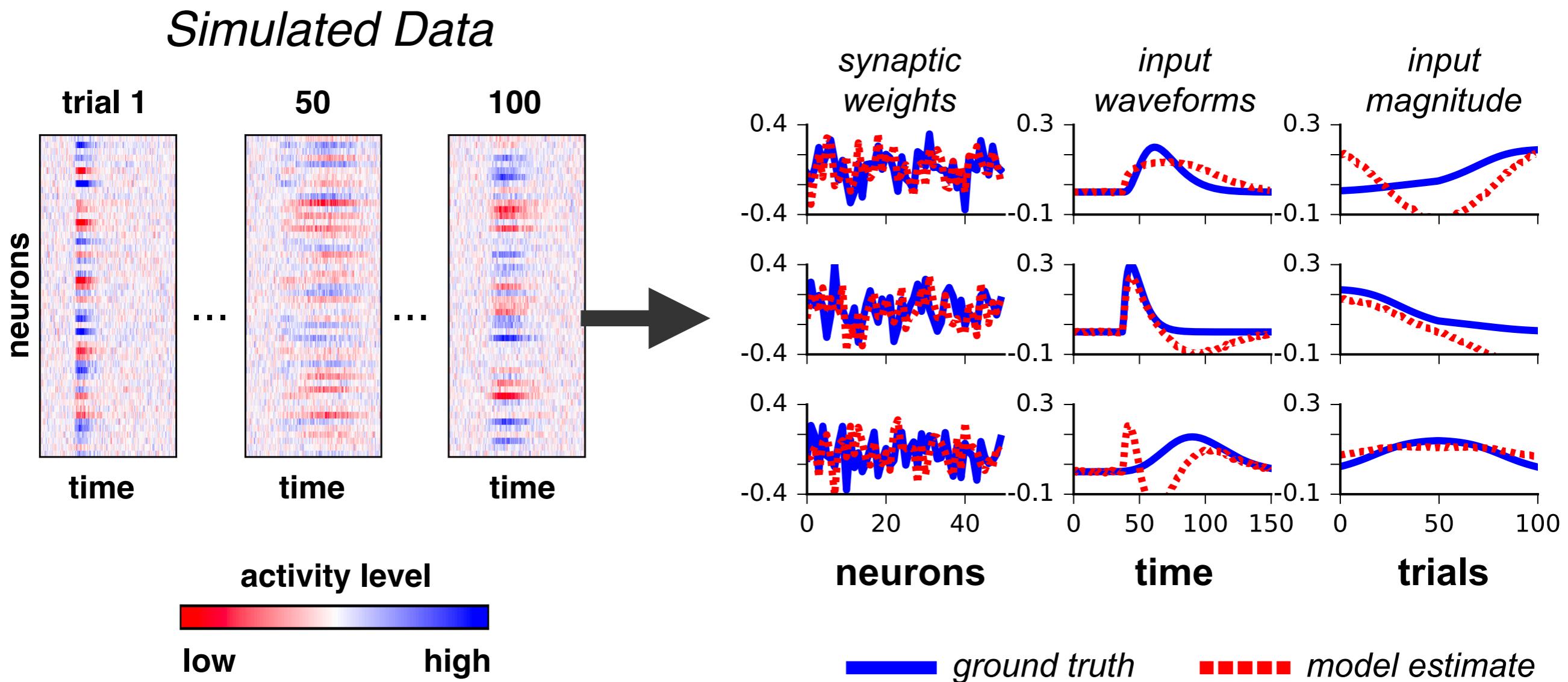


# PCA fails to recover network parameters from simulated data

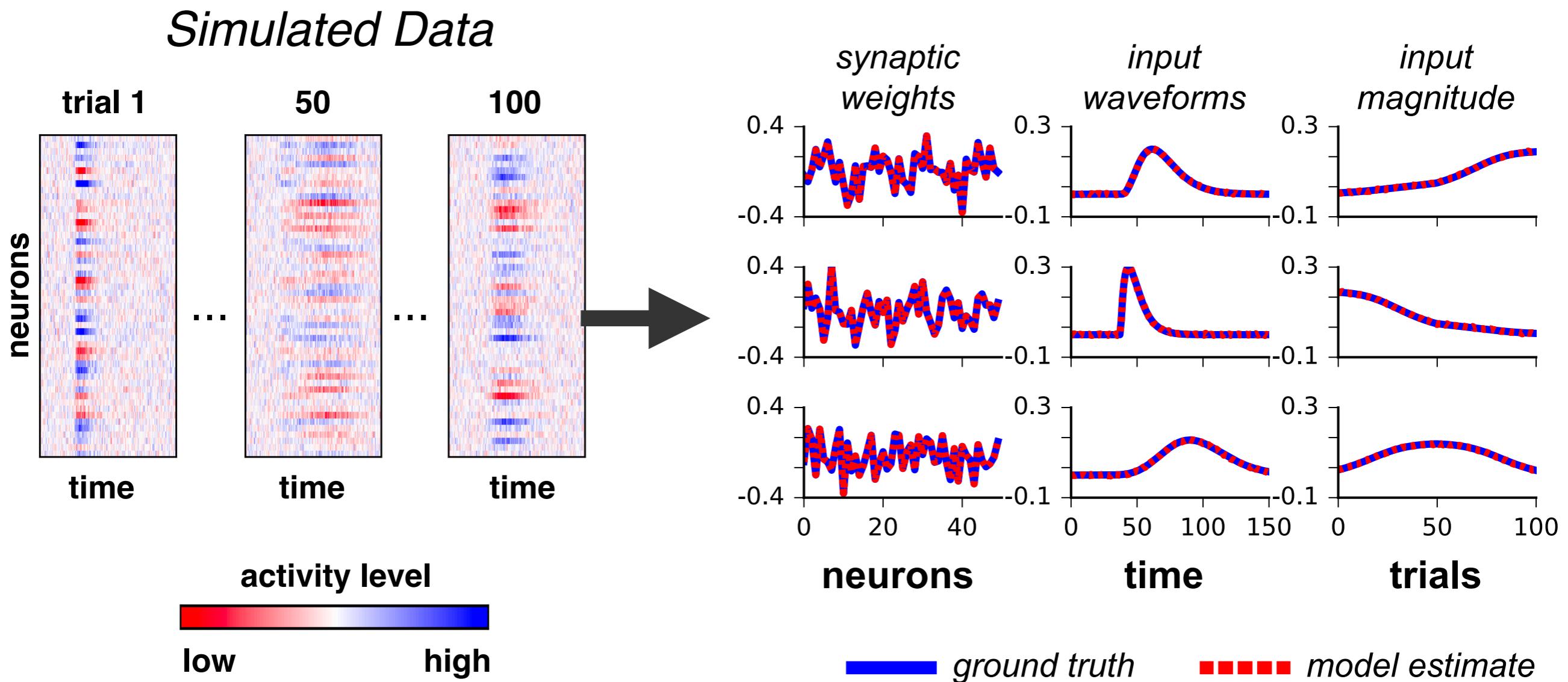
## *Simulated Data*



# PCA fails to recover network parameters from simulated data



# TCA precisely recovers all parameters

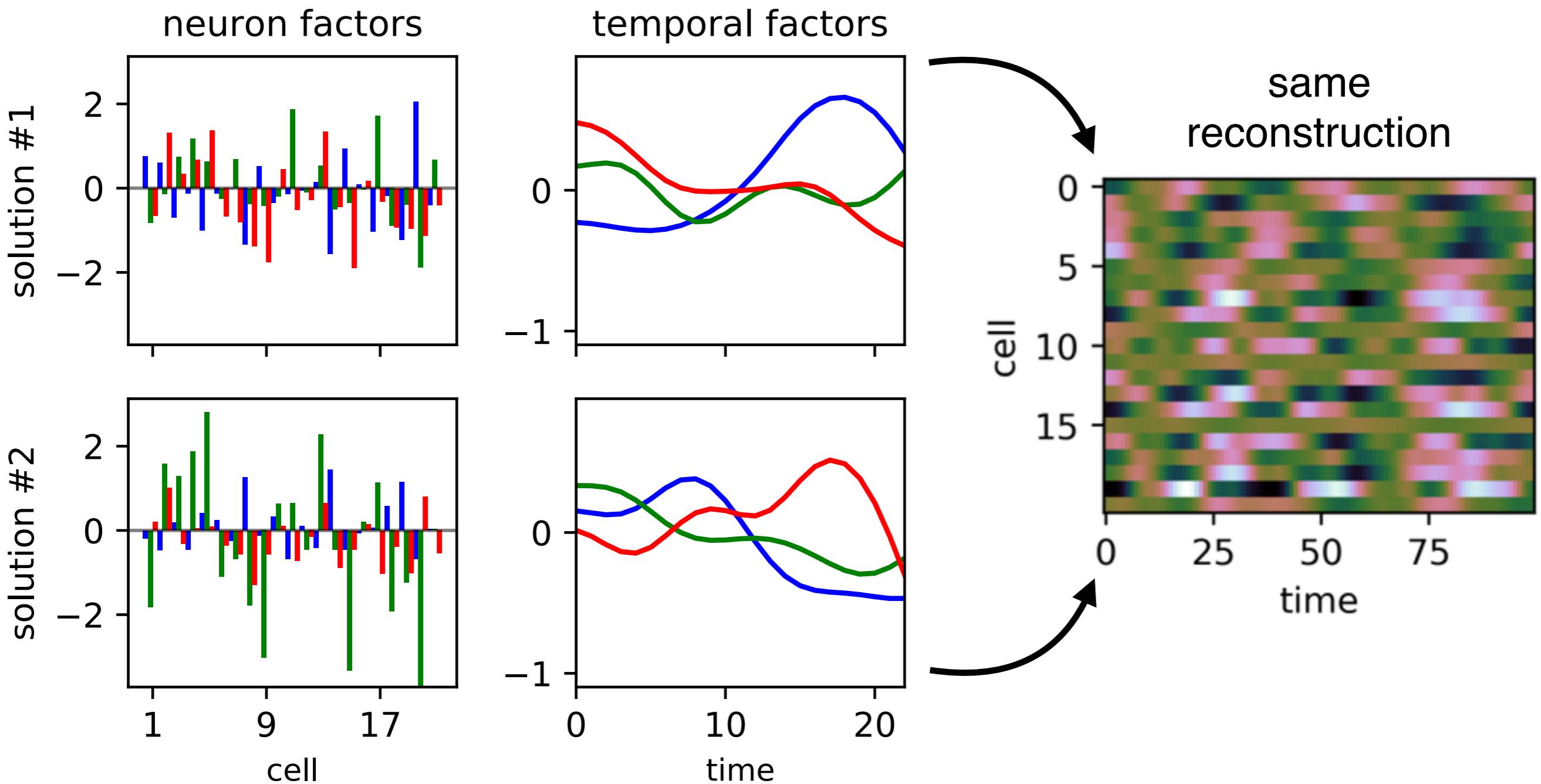


By design, PCA identifies a coordinate system instead of ground truth factors

*Known as “rotational ambiguity” or the “rotation problem”*

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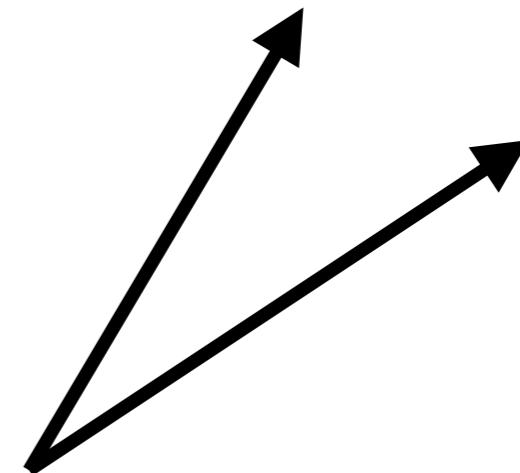
Seminal theorem (Kruskal, 1977) proves that linear independence is a sufficient condition for tensor decomposition identifiability

When PCA can recover ground truth:



*orthogonal factors,  
large eigengap*

When TCA can recover ground truth:



*factors can be correlated  
and have similar magnitudes*

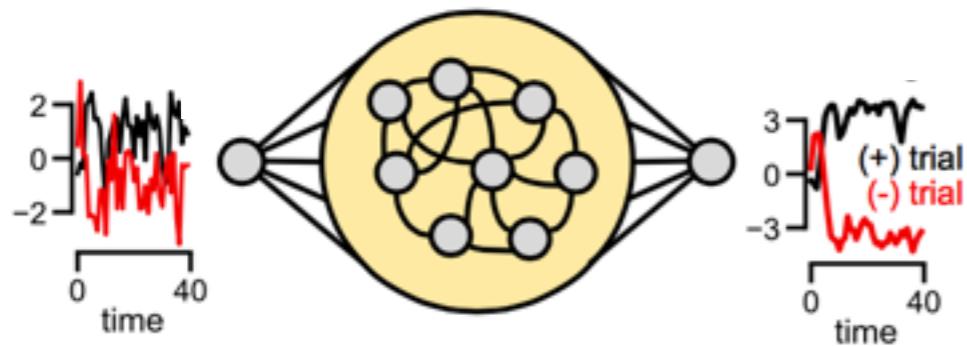
# Summary Thusfar

- TCA separates **fast, temporal factors** from **slow, across-trial factors**.
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- Strong connection between TCA as a statistical model and the principle of gain modulation.

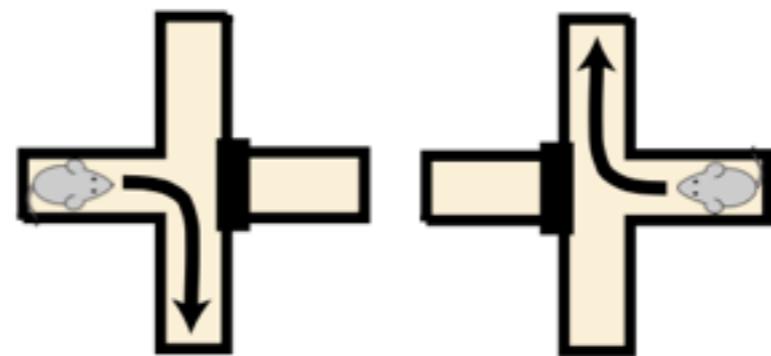
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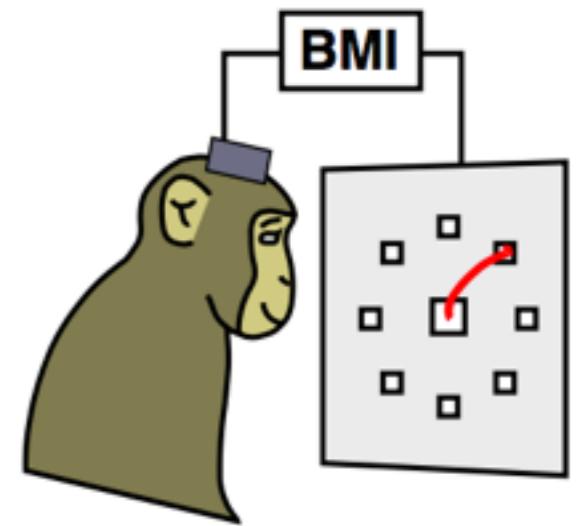
## Applications



*learning in artificial networks  
via backpropagation*

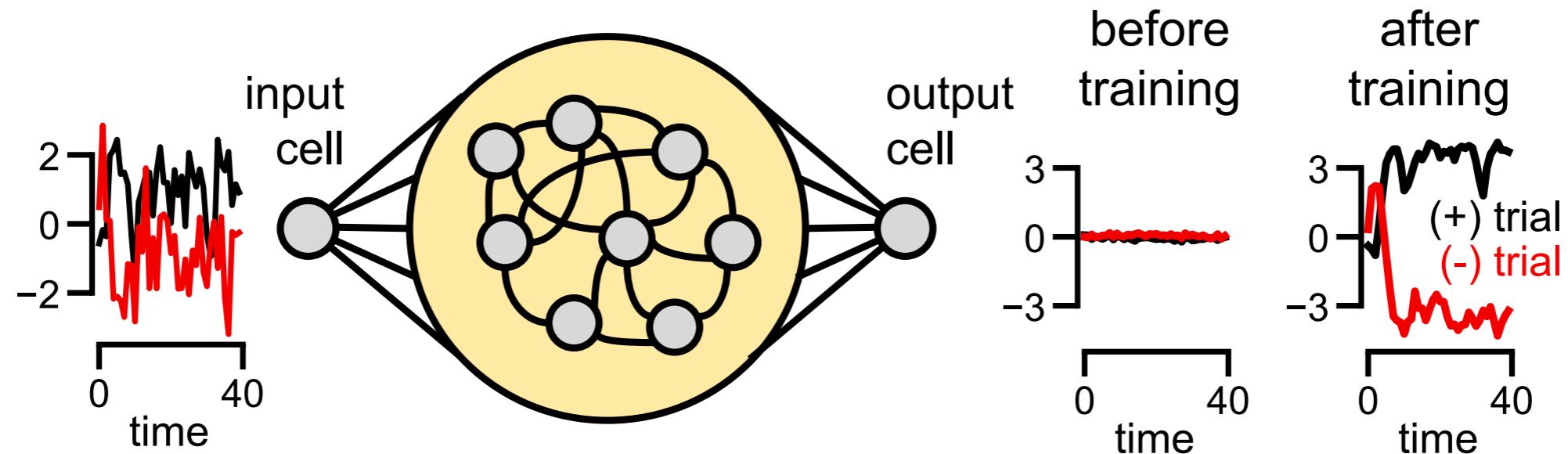


*navigation with switching  
reward contingencies*

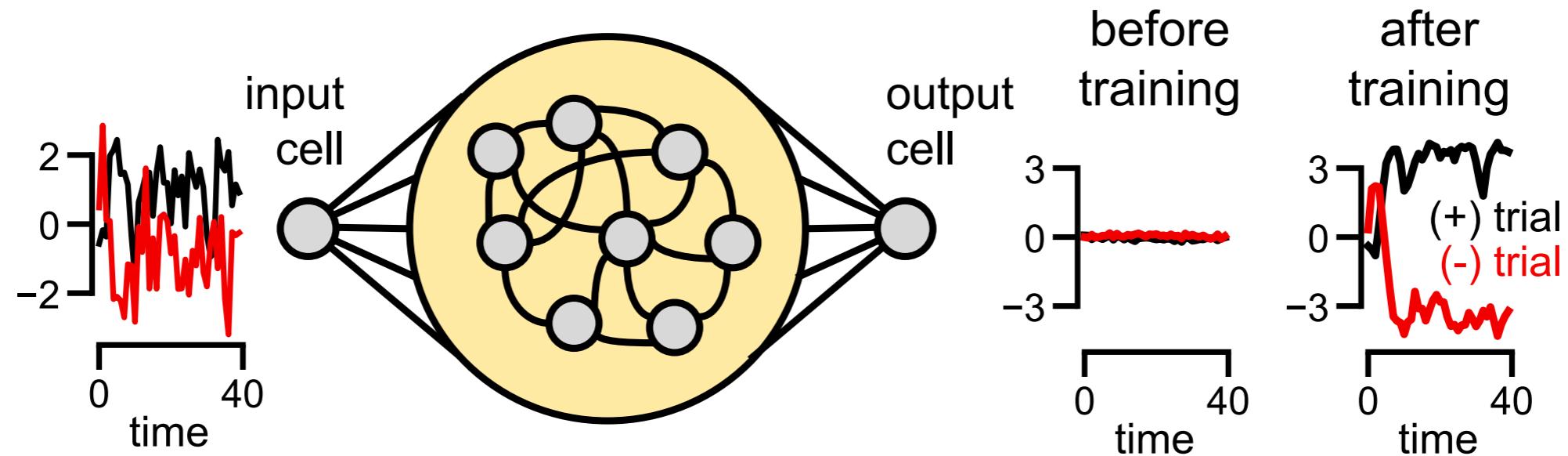


*BMI learning and  
adaptation*

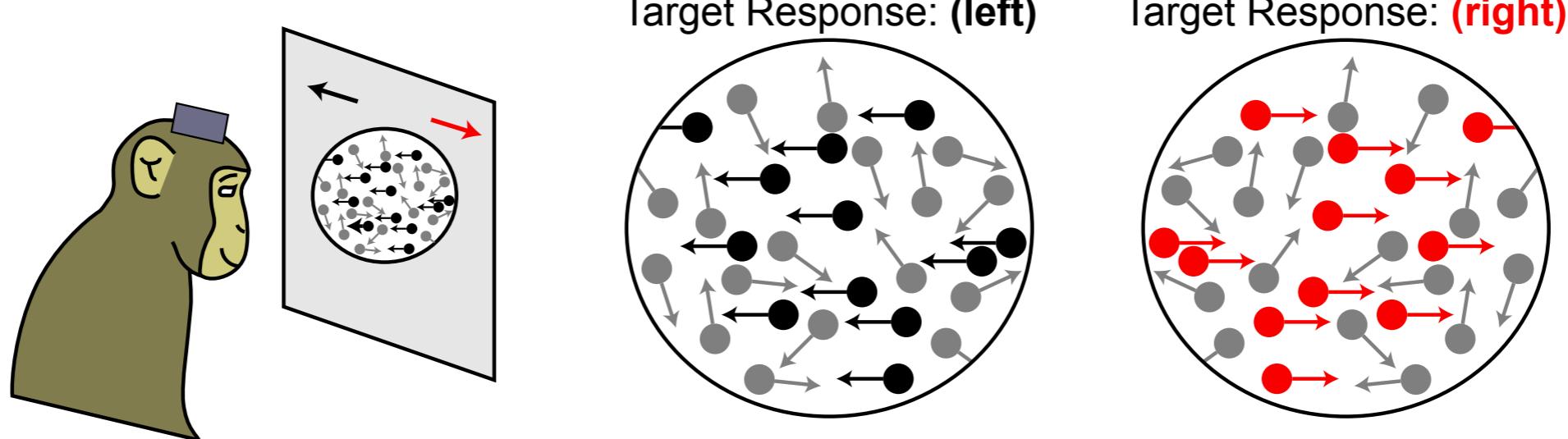
# Application #1: How does a model network learn a sensory discrimination task?



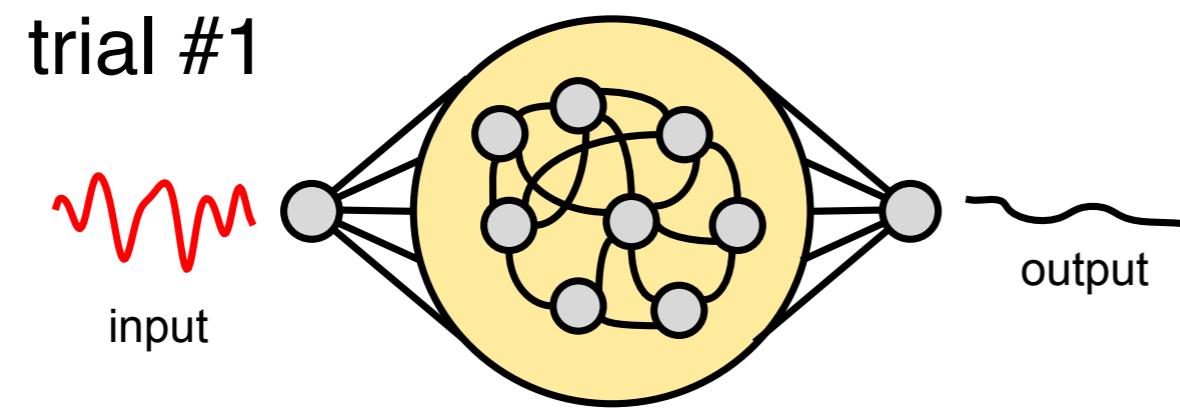
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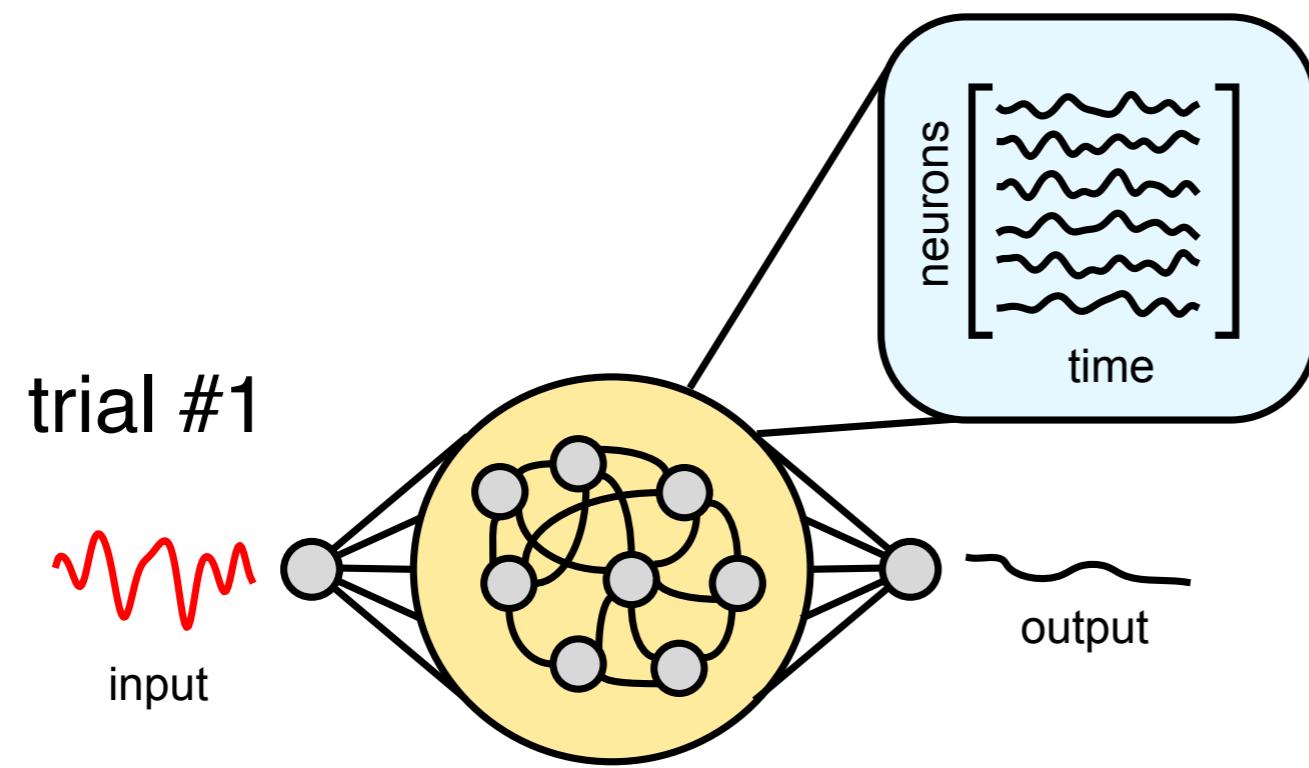
Analogous to classic experiments in primates



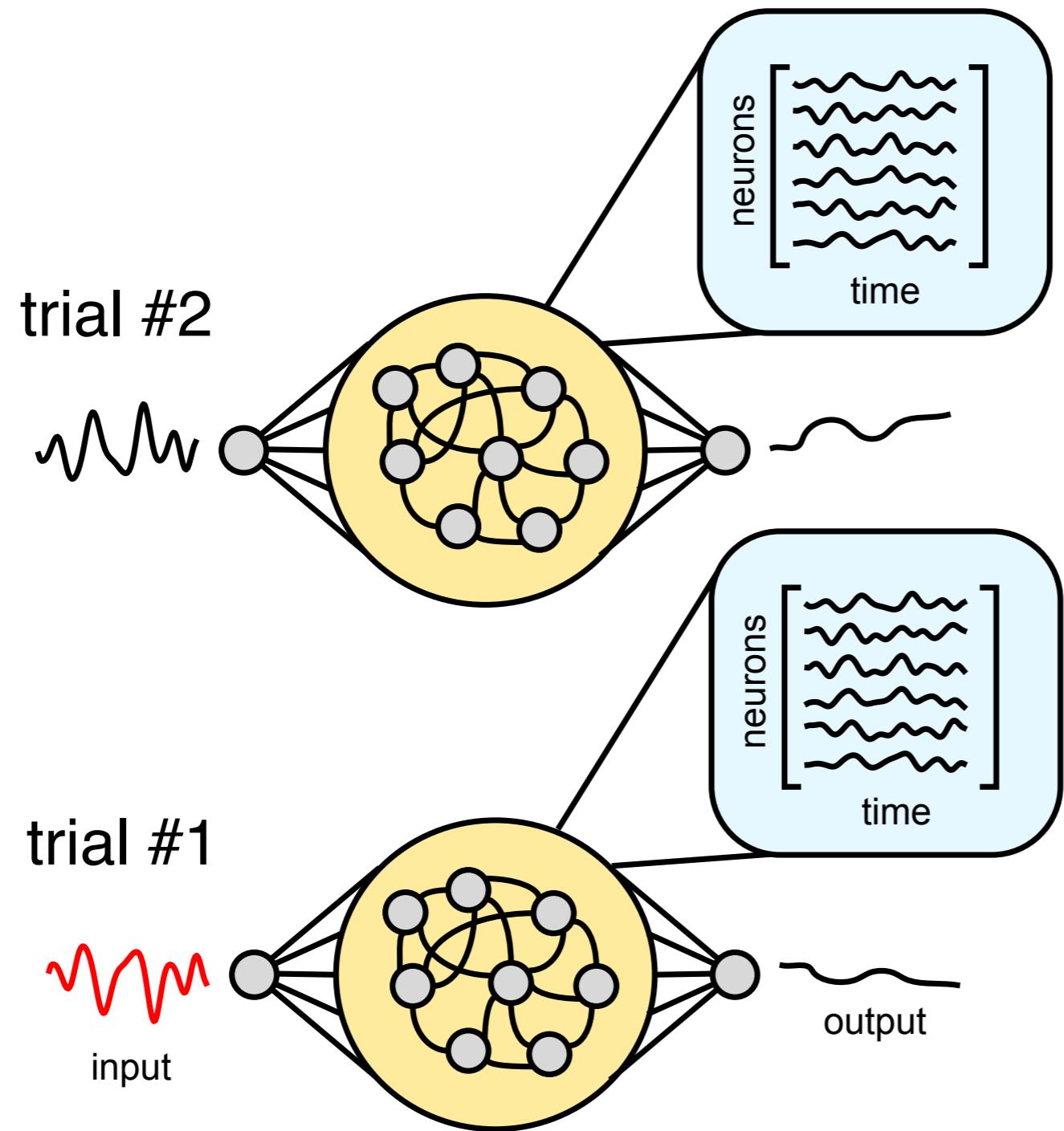
# **Application #1: How does a model network learn a sensory discrimination task?**



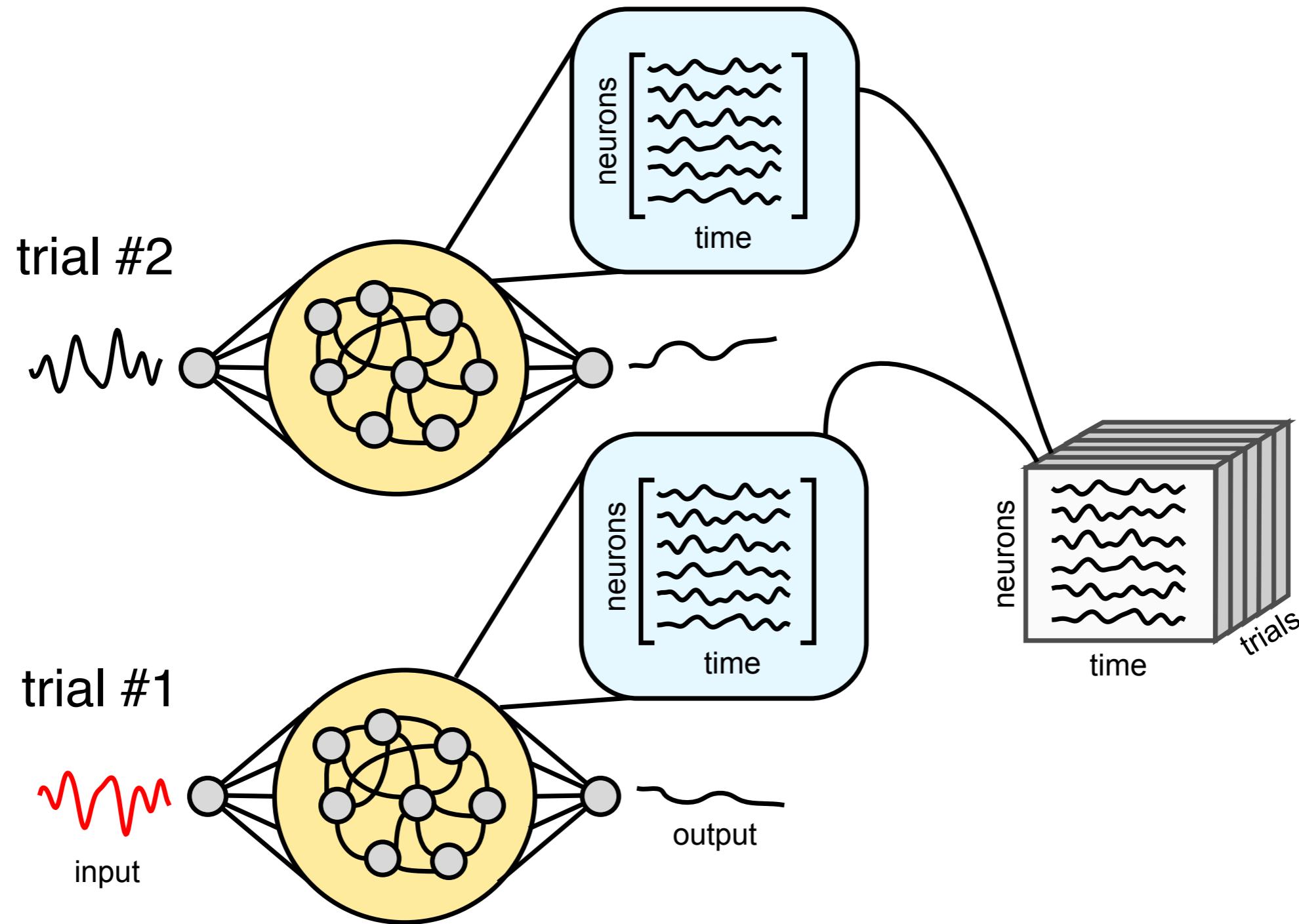
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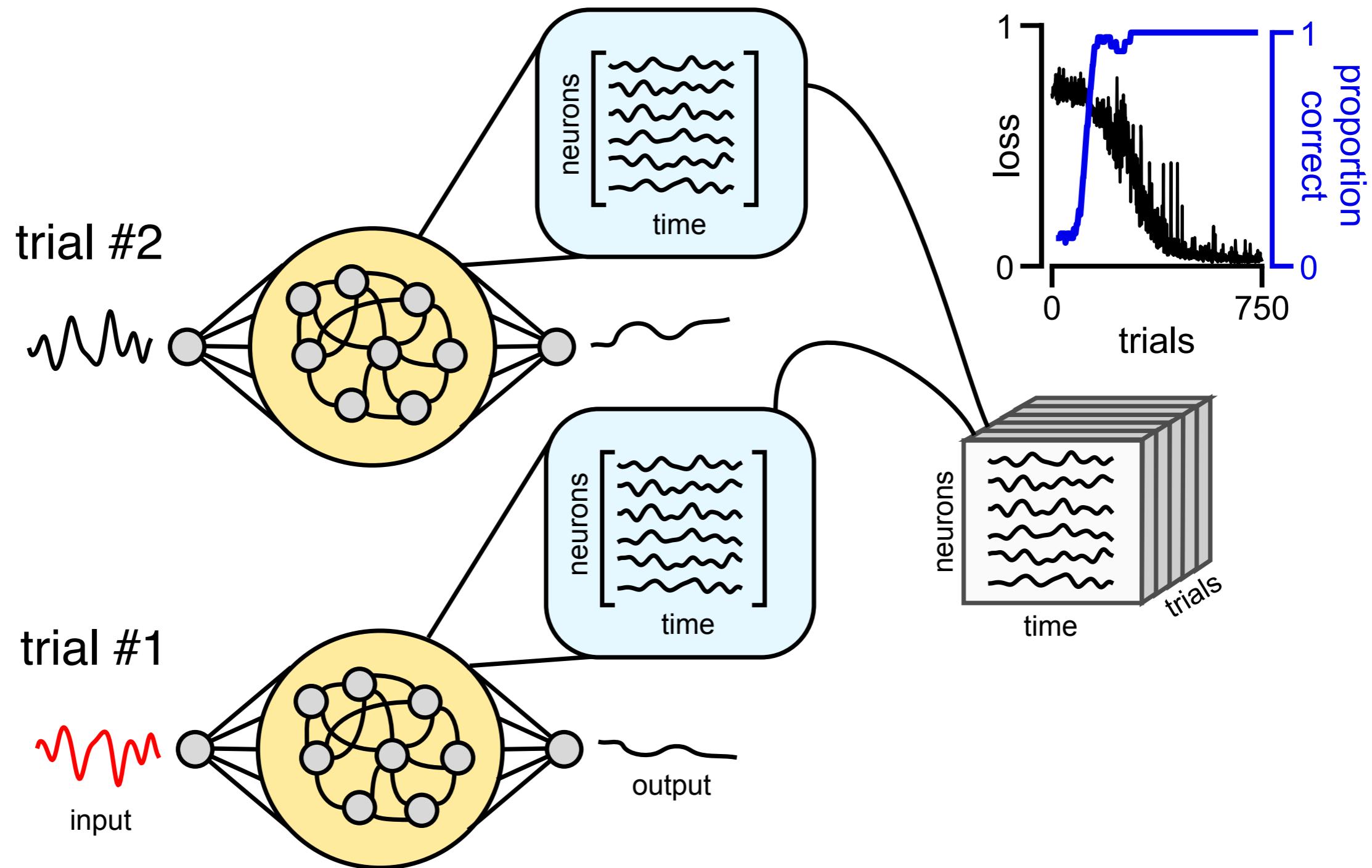
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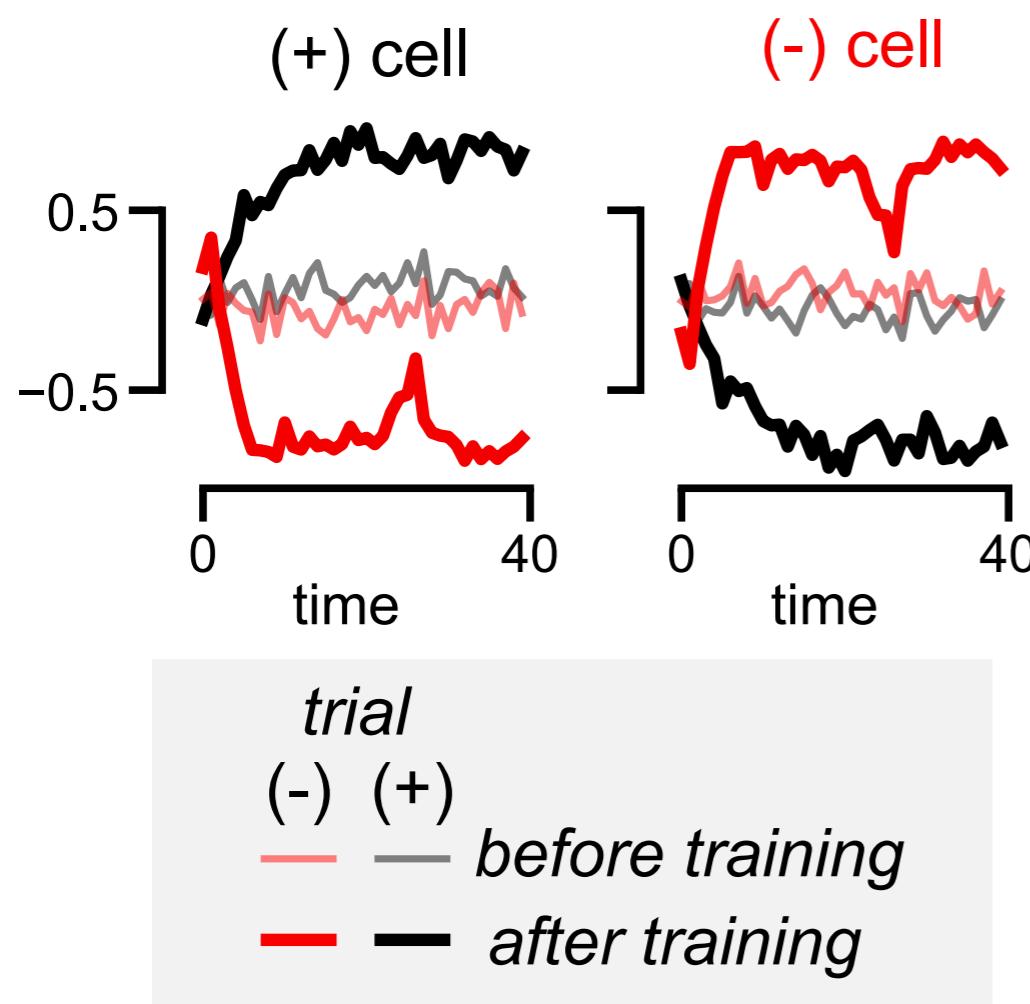


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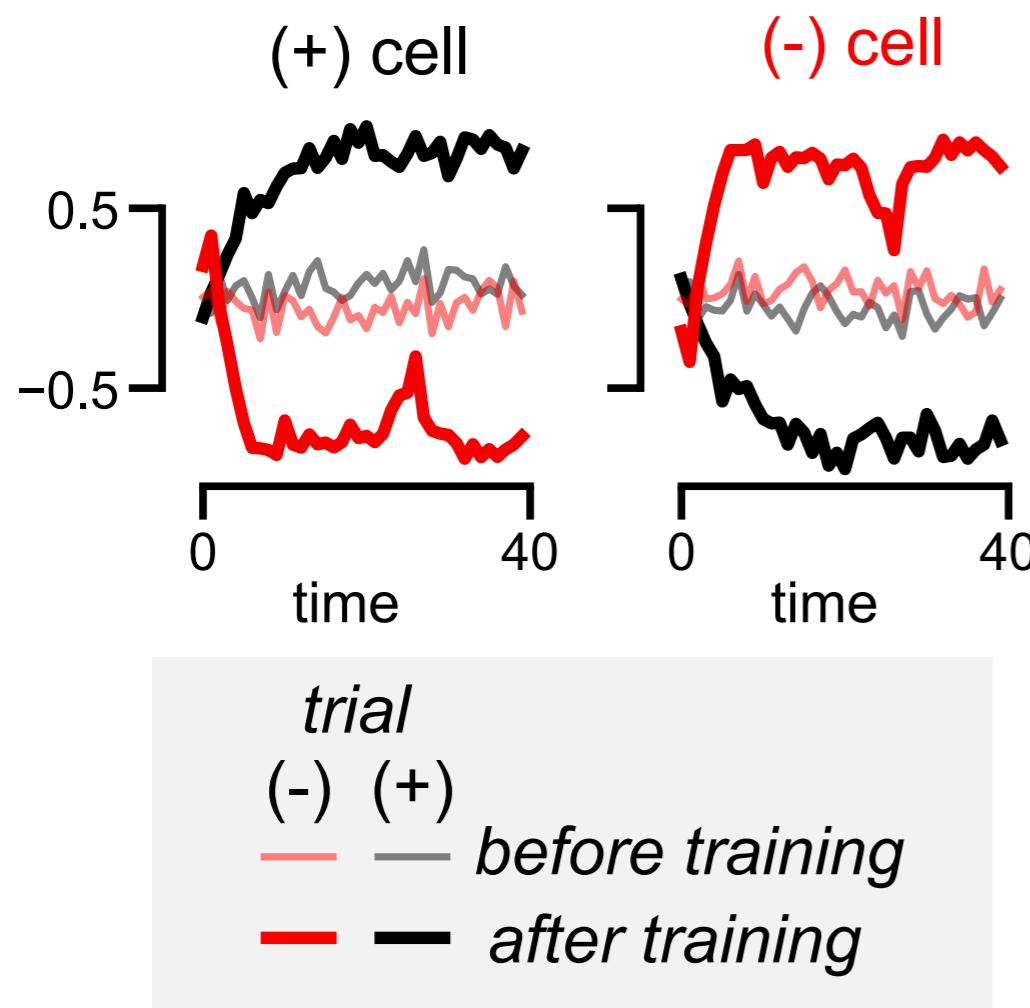
# Gain modulation is a compact and accurate model of the network activity over all trials

*Two example cells before and after training*

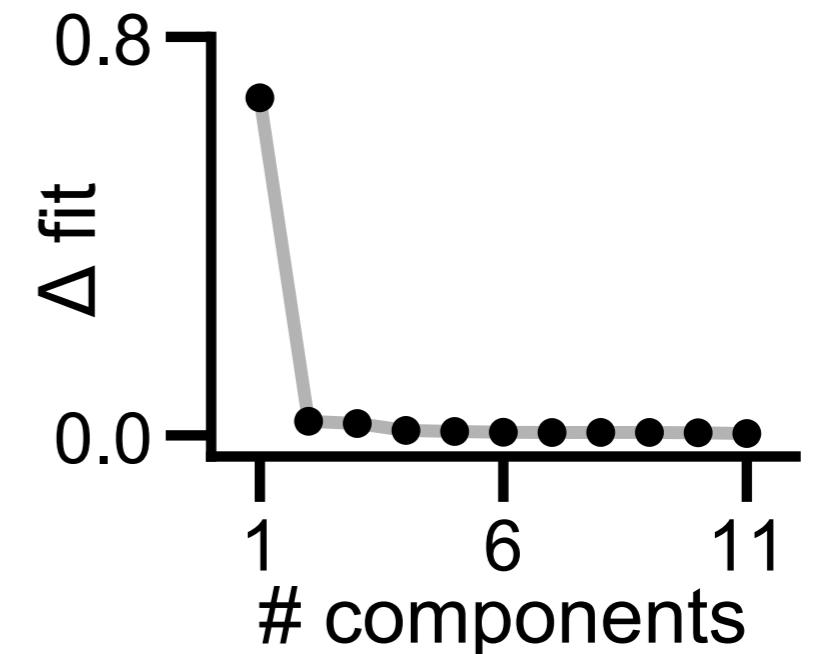


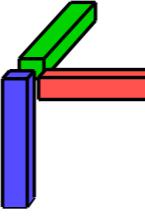
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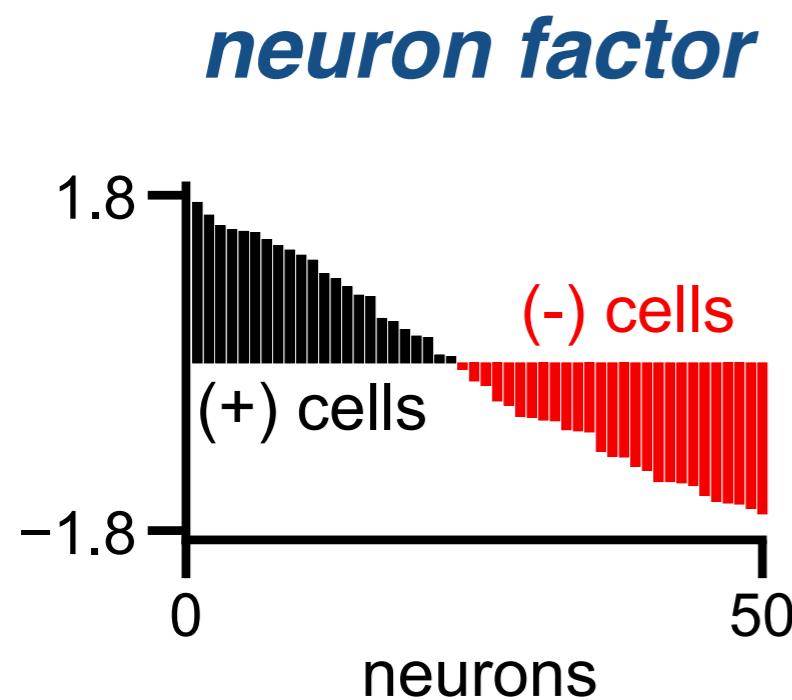
*TCA with 1 component describes the vast majority of variance in firing rates*



TCA with one component () identifies:

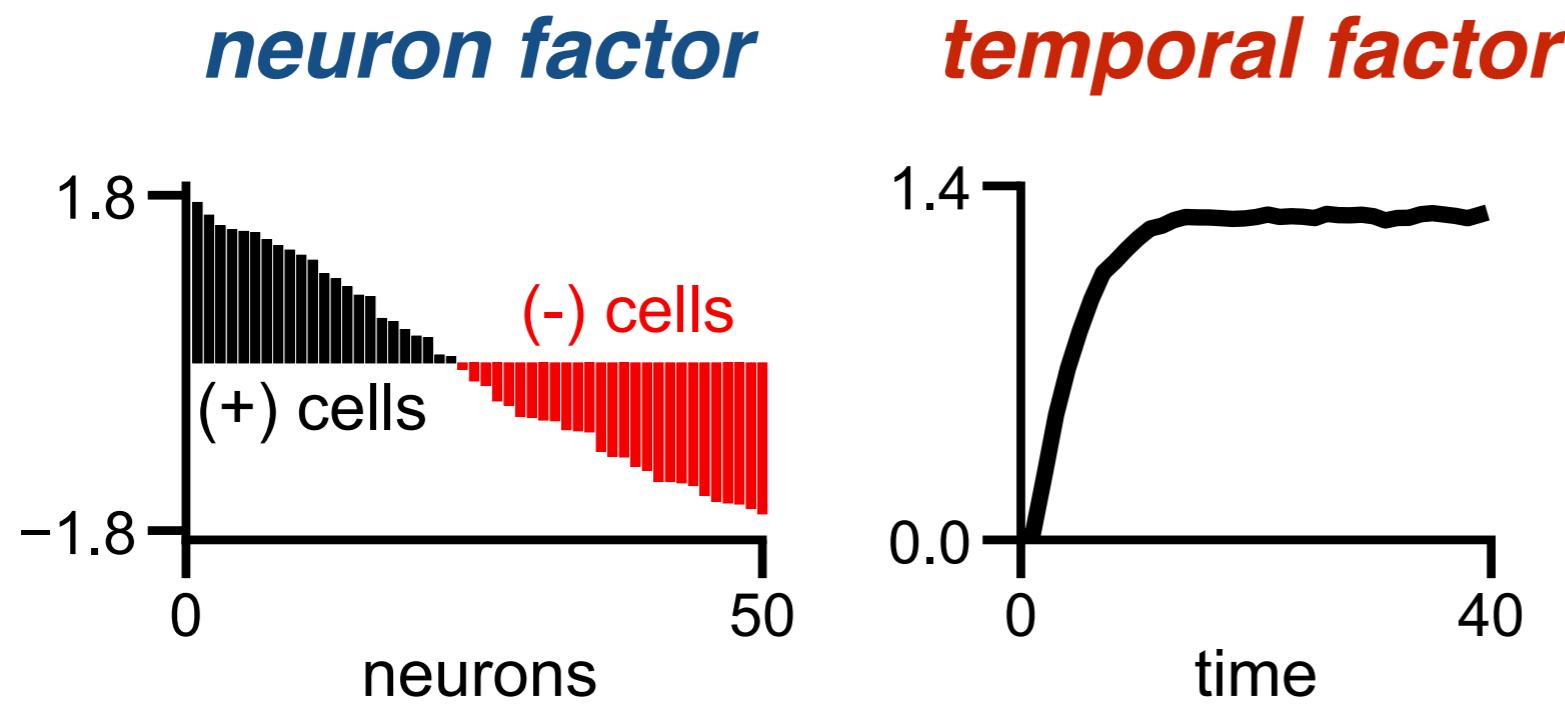
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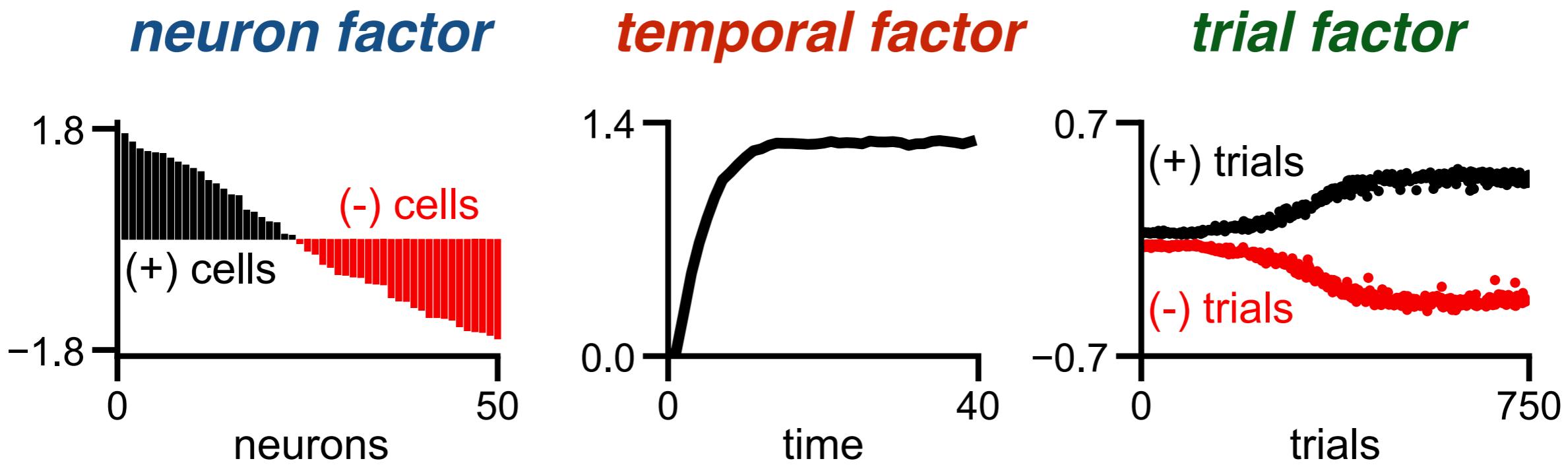
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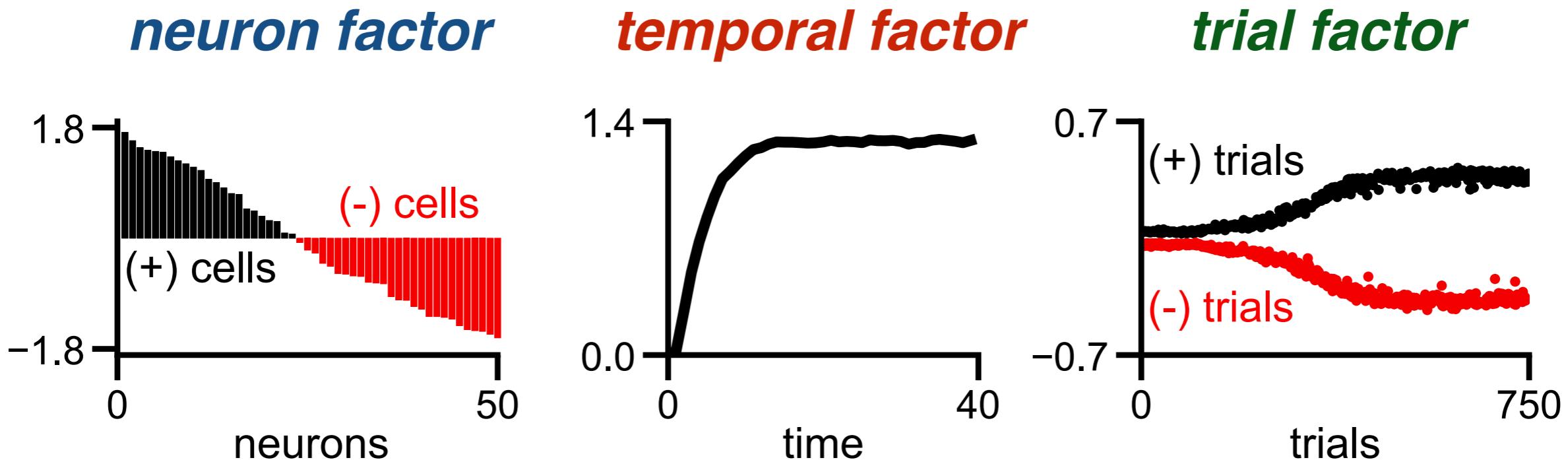
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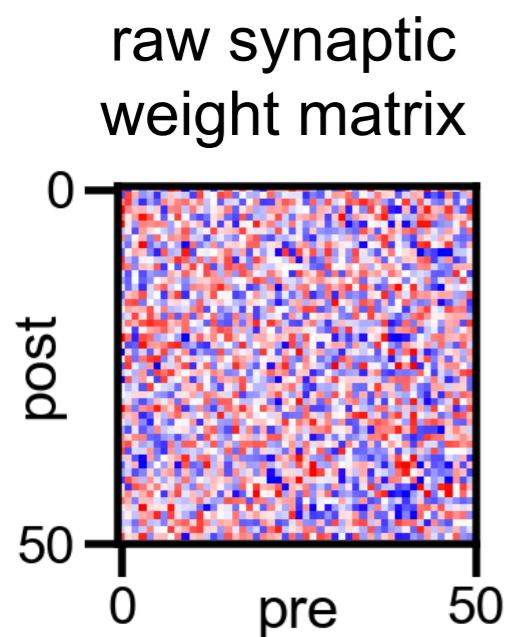


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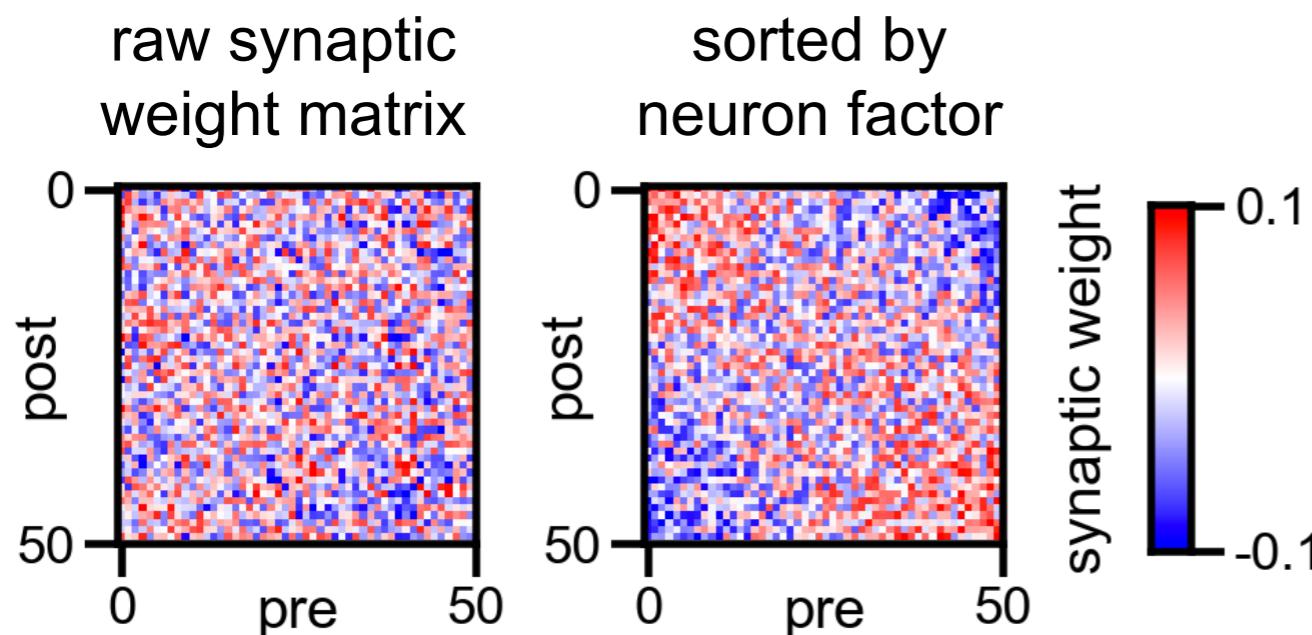
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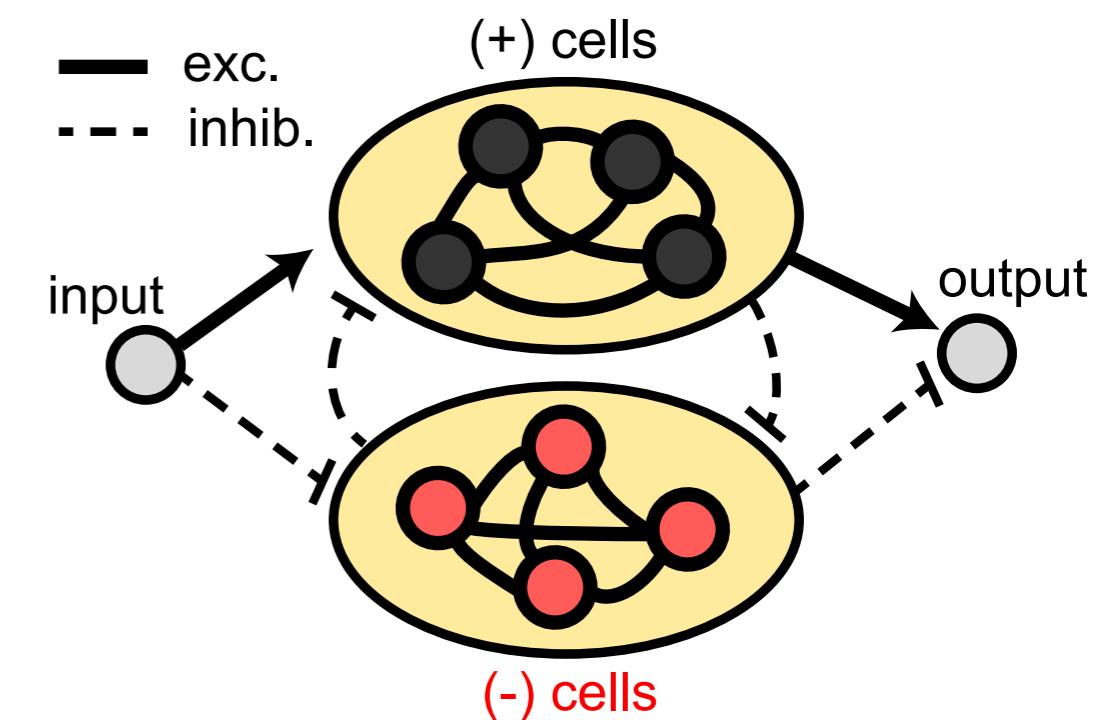
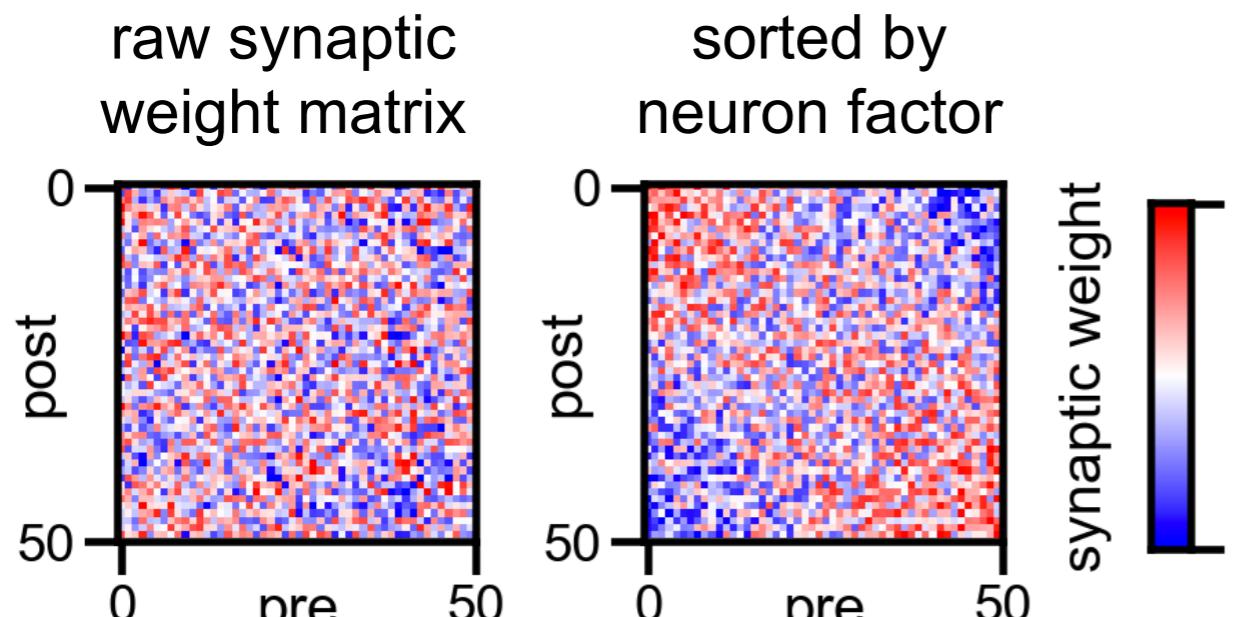
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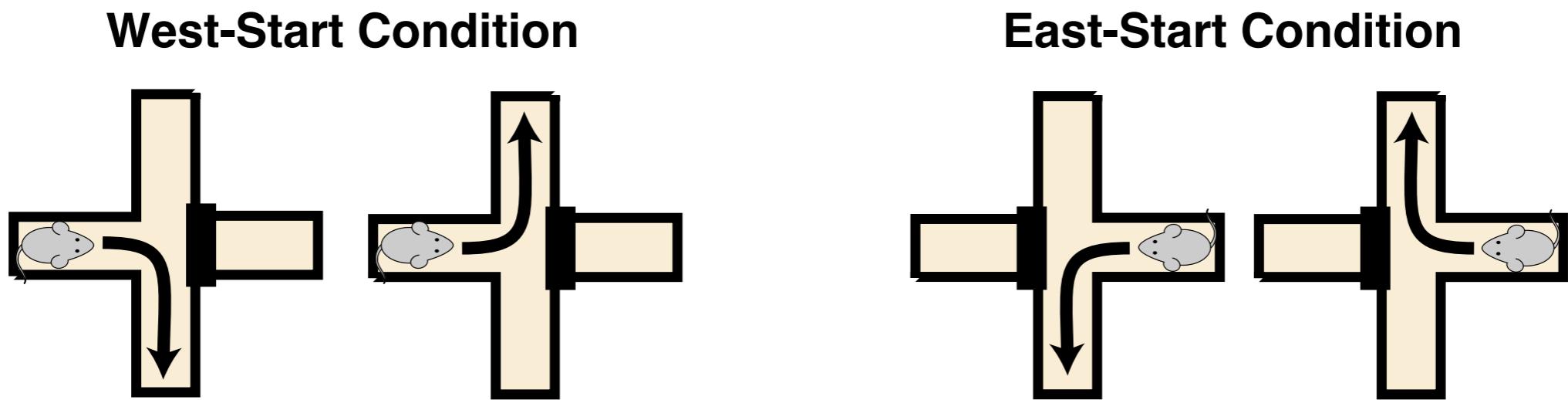


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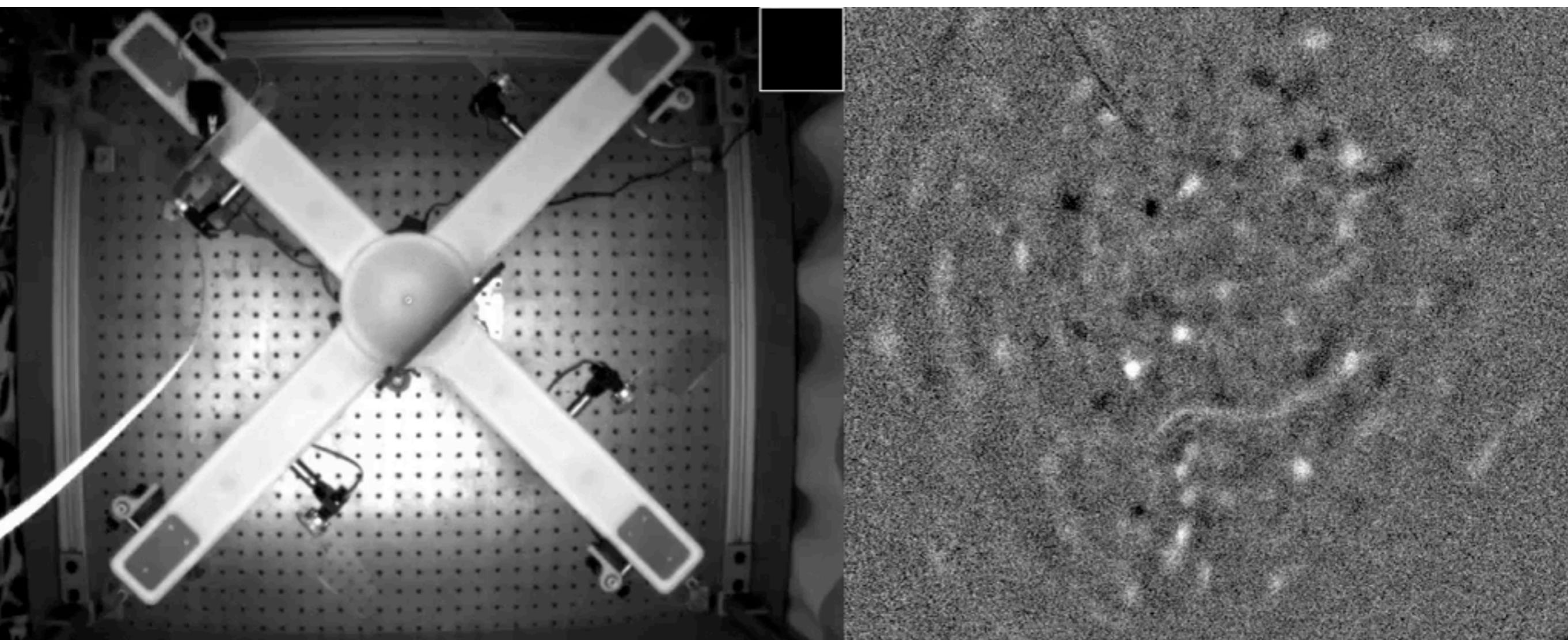
**Application #2:** How does prefrontal cortex encode place, actions, and rewards during maze navigation?

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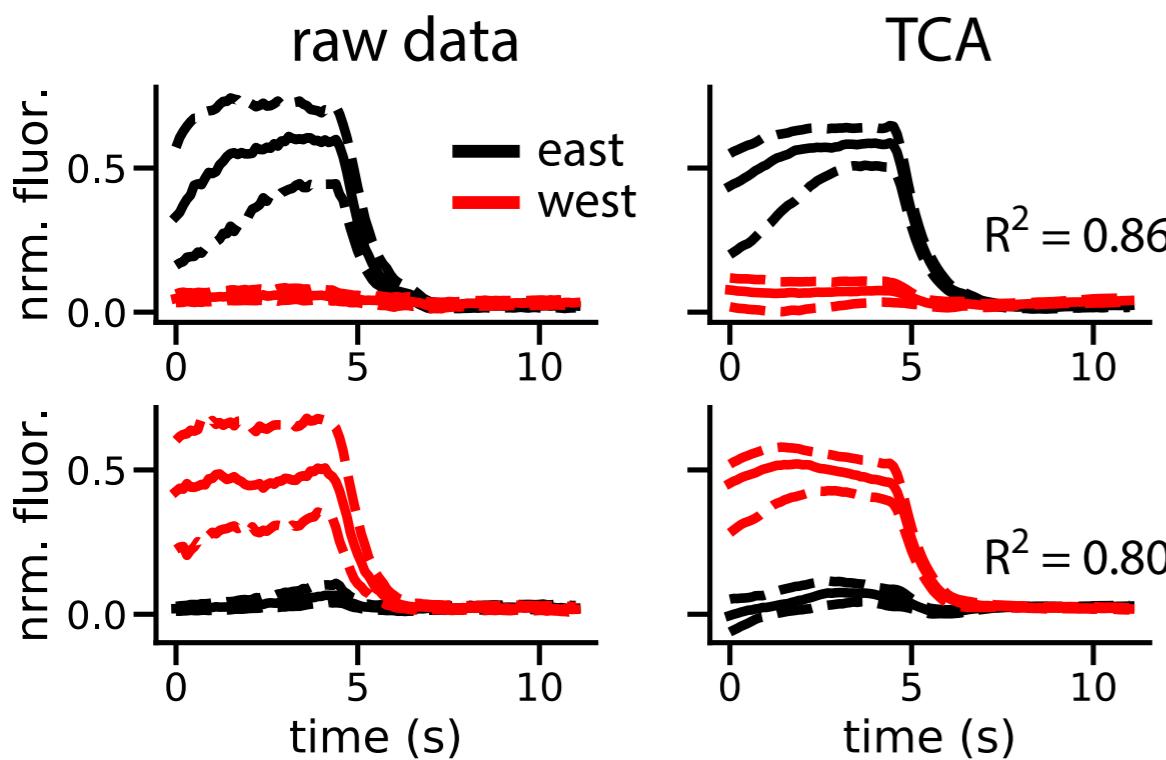
<b>Trial Condition</b>	<b>Decision</b>	<b>Trial Outcome</b>
East / West	→ North / South	→ Rewarded / Error

## **Application #2: How does prefrontal cortex encode place, actions, and rewards during maze navigation?**



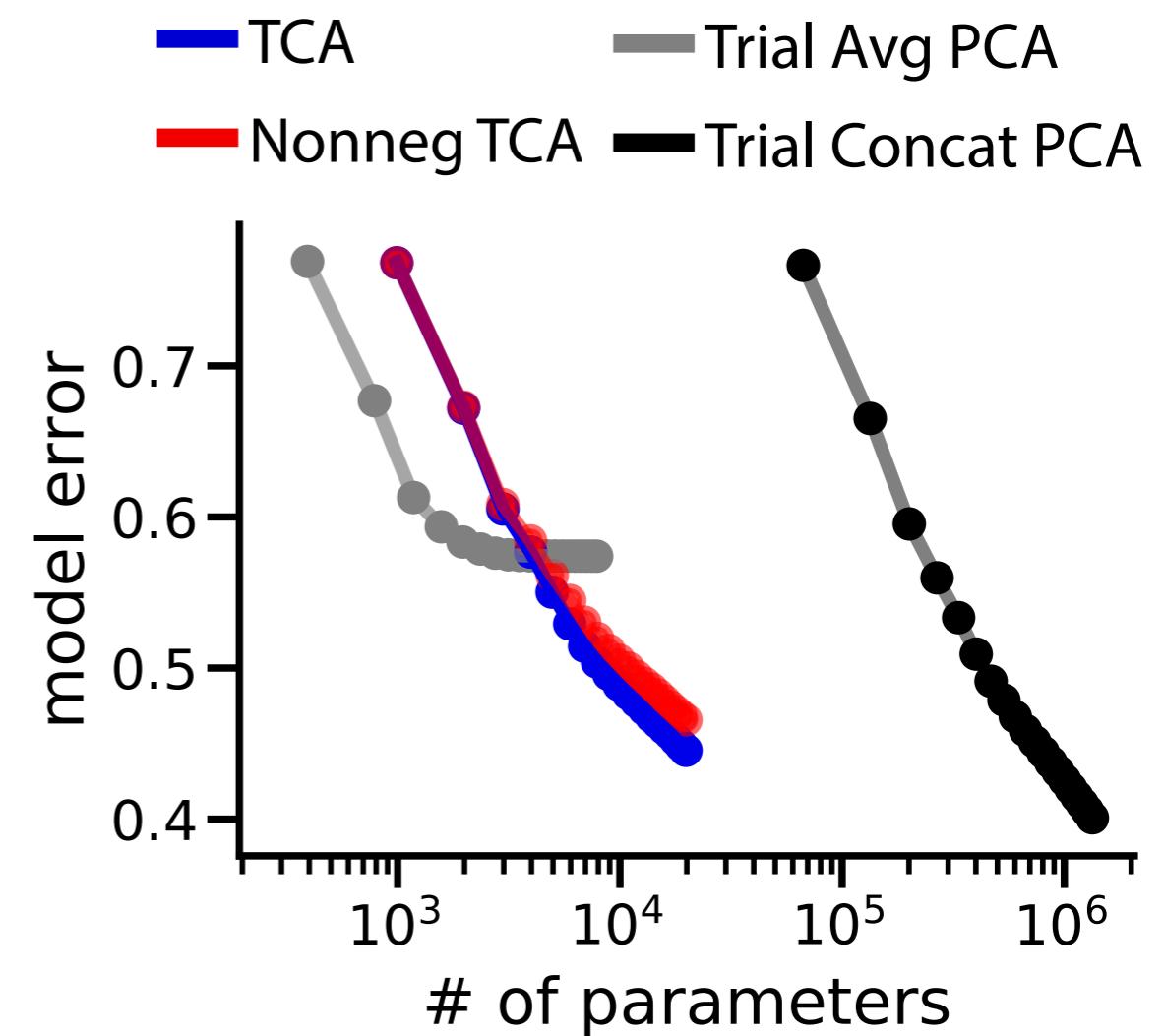
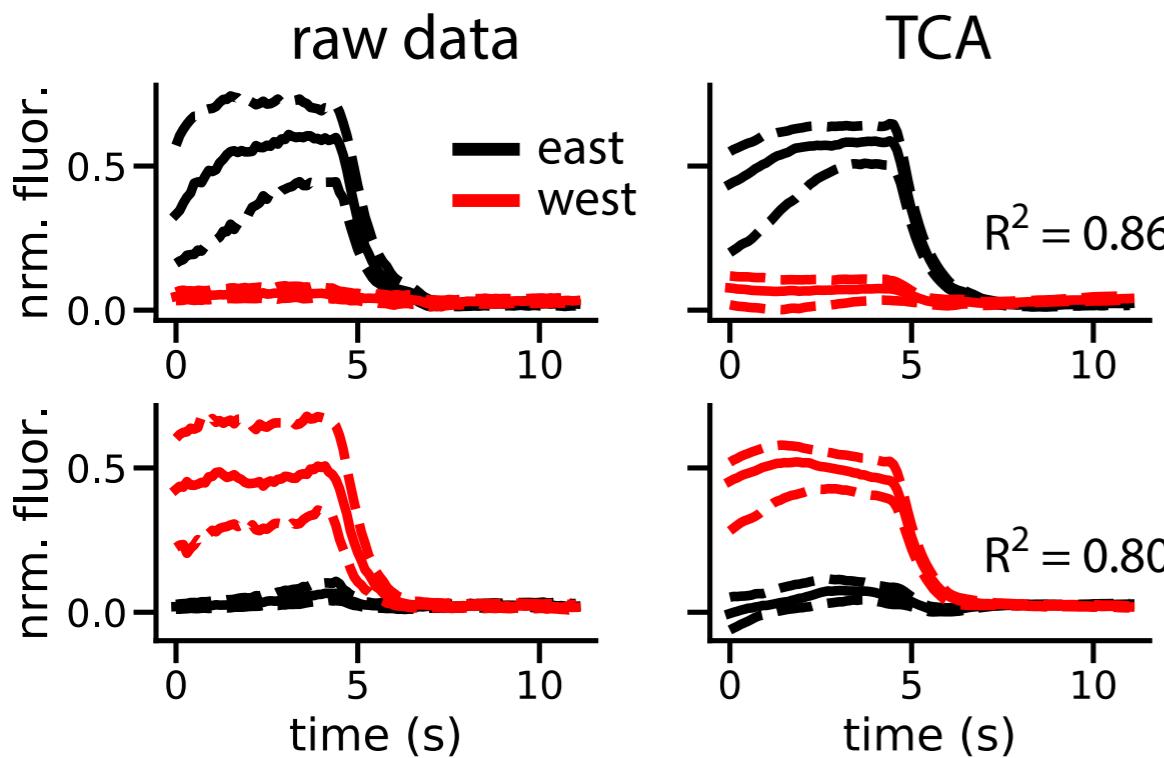
TCA (gain modulation) is a very compact and accurate model for trial-to-trial variability

*two example cells  
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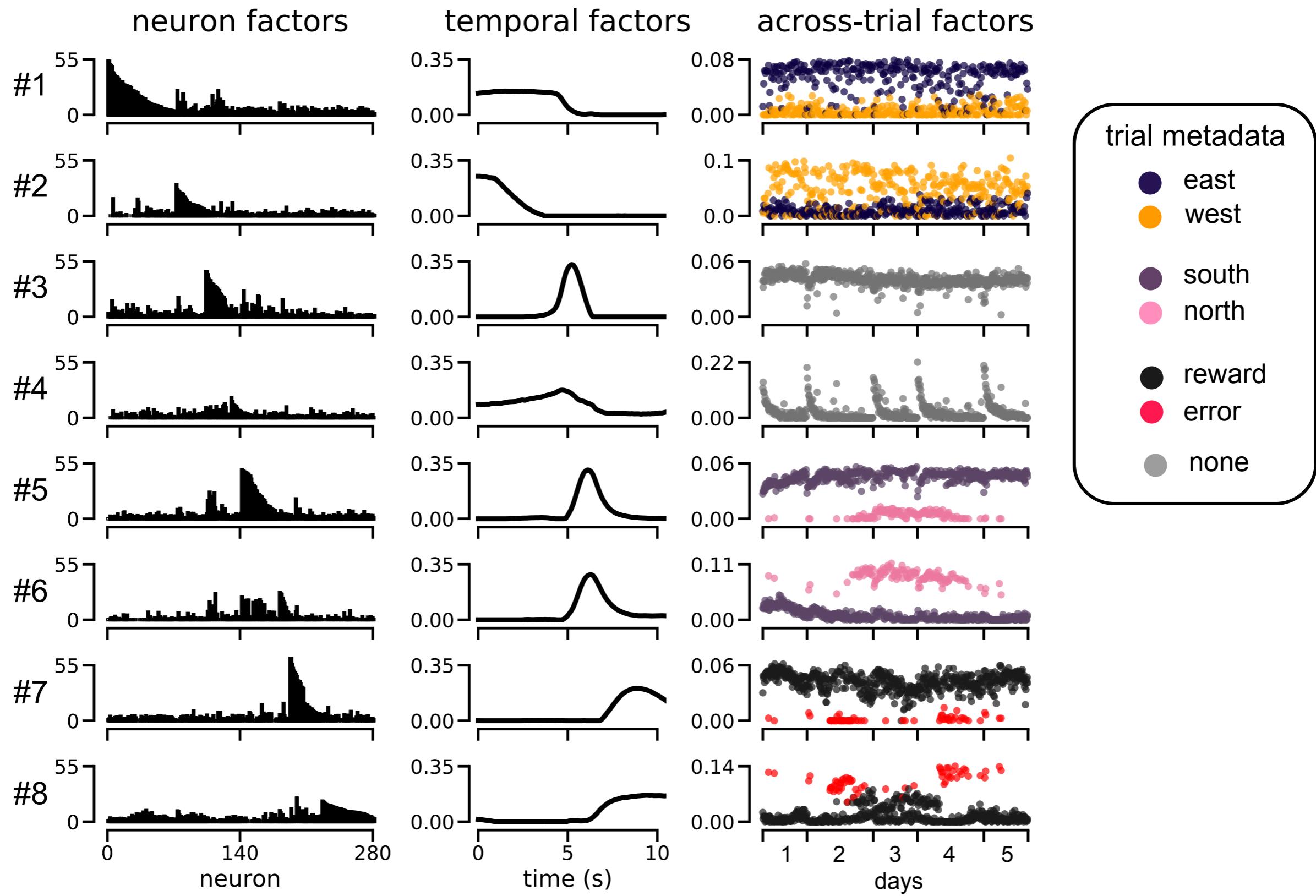


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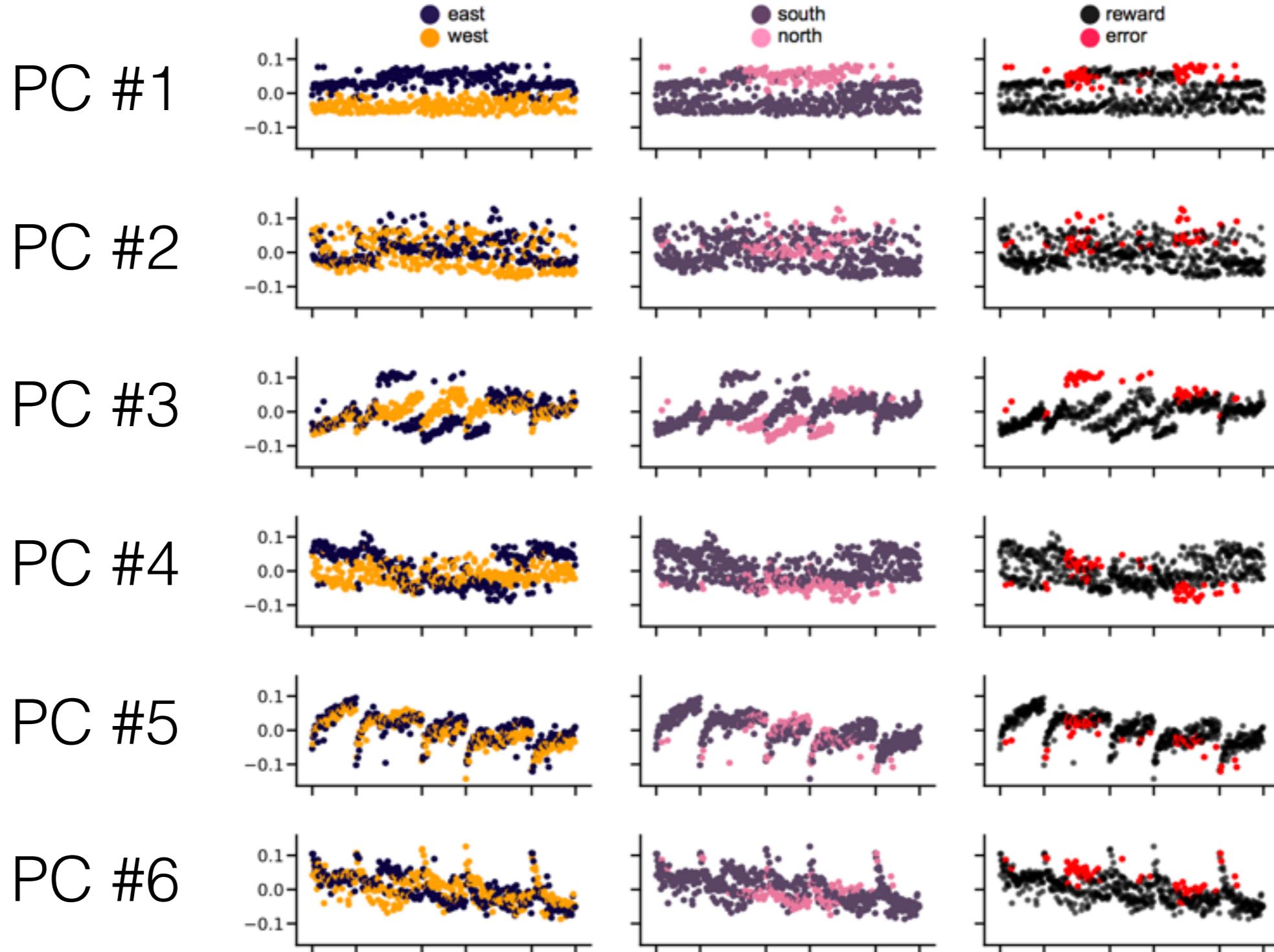
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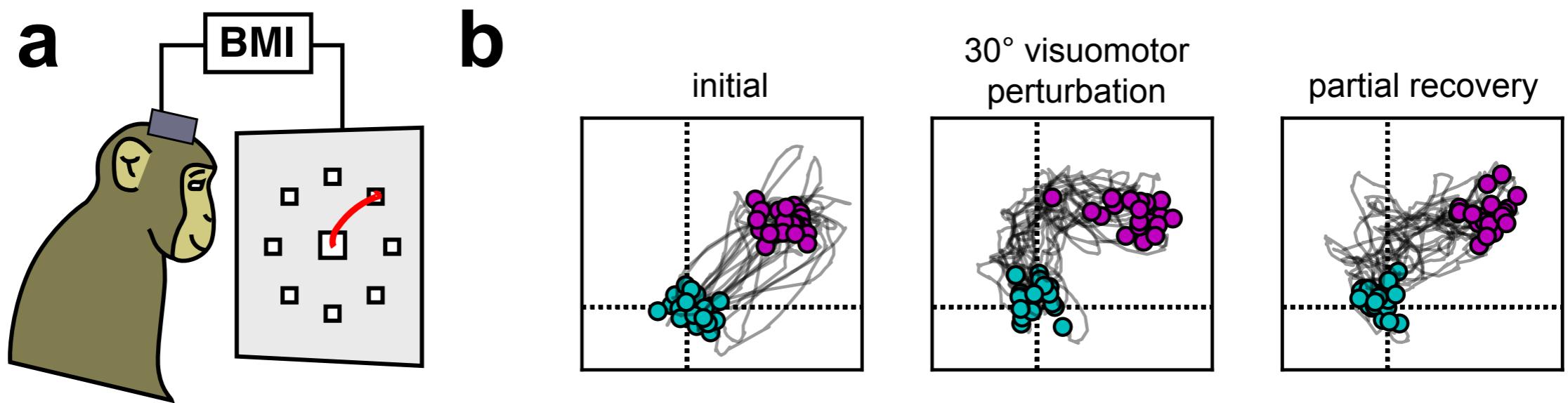
# TCA factors map on to individual task variables



# PCA components encode complex mixtures of task variables

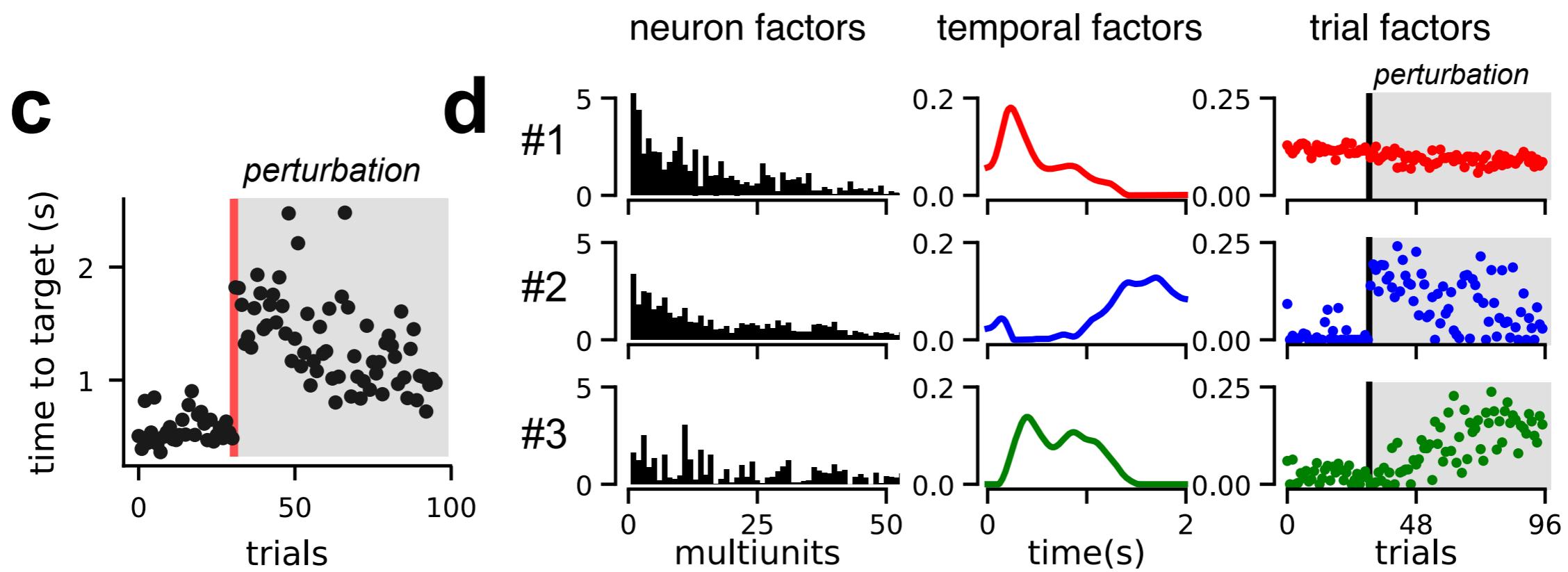


# Application #3: How does motor cortex learn to control a cursor via a brain-machine interface?



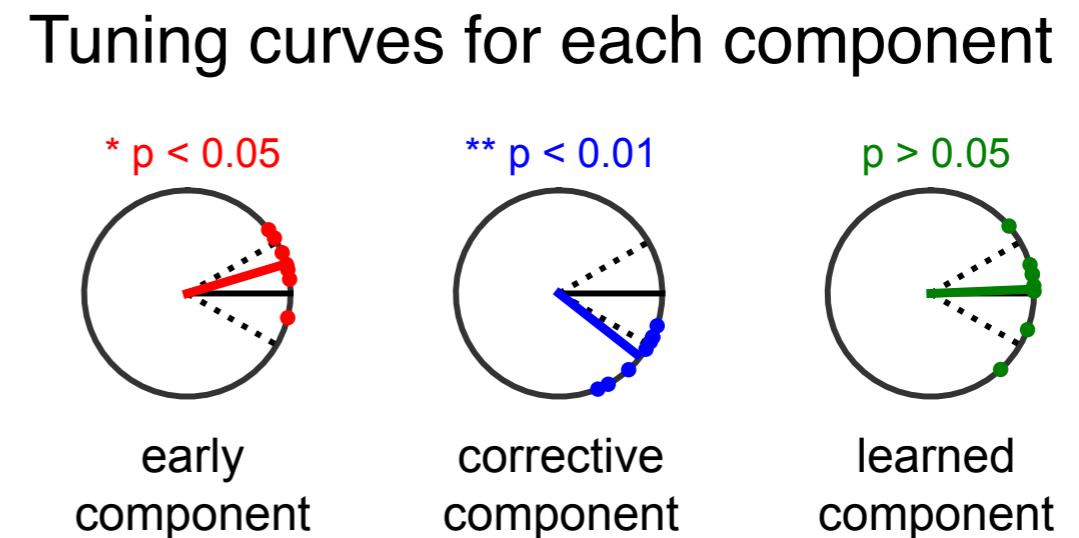
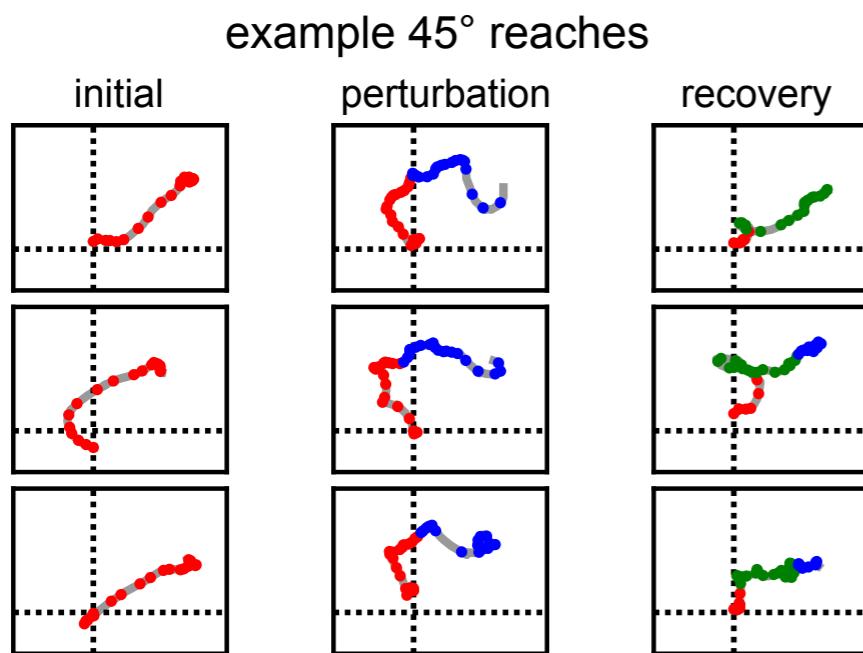
# TCA identifies:

1. An **early component**, capturing the initial performance
2. A **compensatory component**, capturing within-trial corrections.
3. A **learned component**, capturing new neural dynamics that persist as the monkey adapts to the new BMI decoder.



# TCA identifies:

1. An **early component**, capturing the initial performance
2. A **compensatory component**, capturing within-trial corrections.
3. A **learned component**, capturing new neural dynamics that persist as the monkey adapts to the new BMI decoder.



# Summary

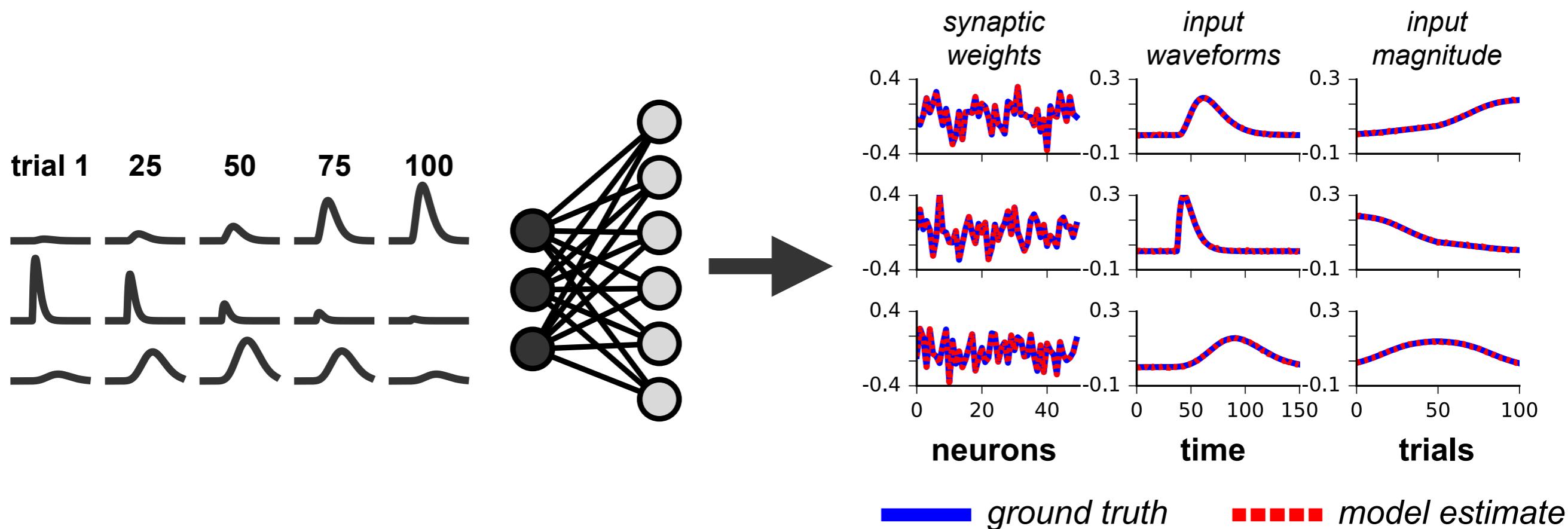
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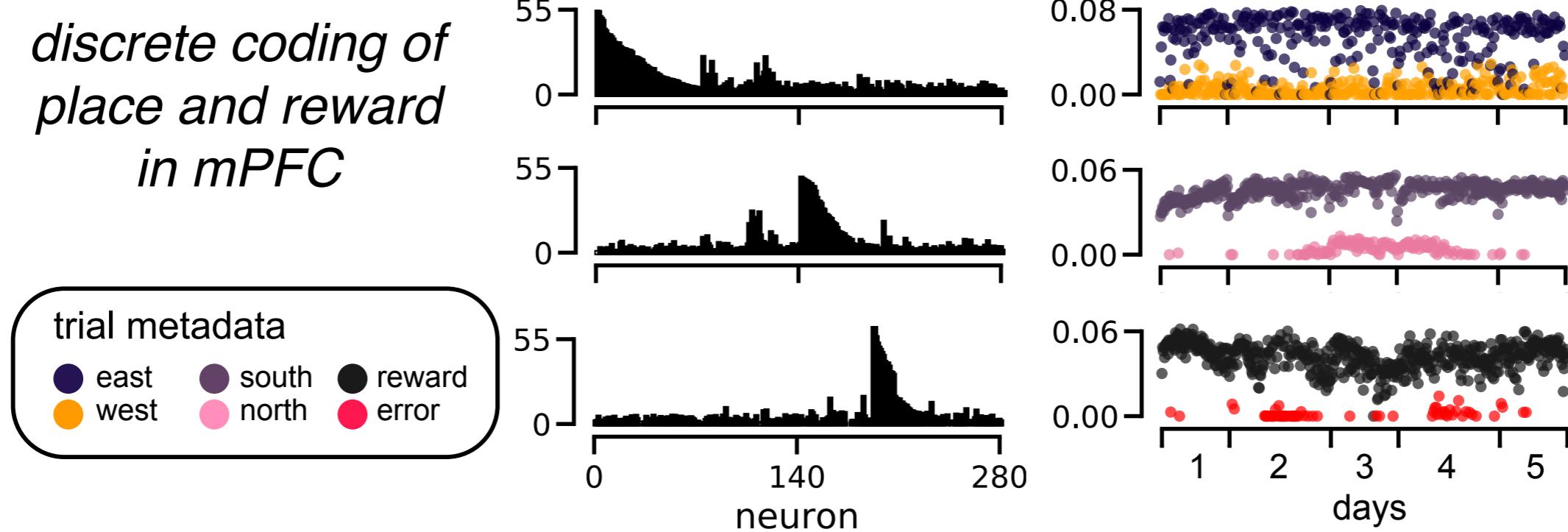
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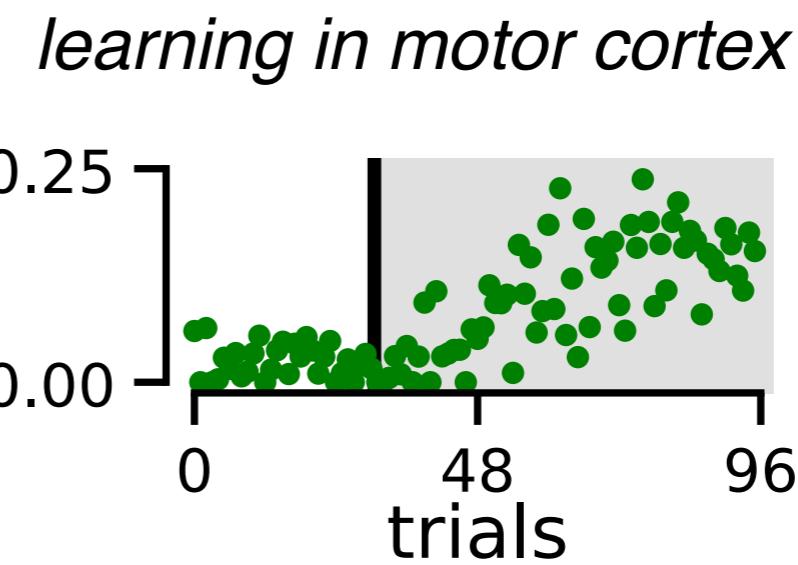
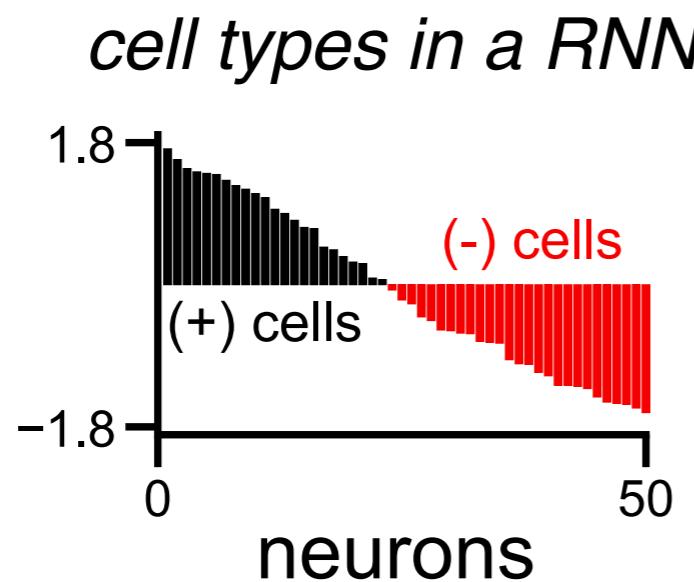


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Overall, TCA is a well-motivated tool for identifying structure in some of the most challenging datasets in neuroscience involving large-scale & long-term neural recordings.

# Try out TCA!

## Python

<https://github.com/ahwillia/tensortools>

<https://tensorly.github.io/>

## MATLAB

<http://www.sandia.gov/~tgkolda/TensorToolbox/>

<https://www.tensorlab.net/>

## Julia

<https://github.com/yunjhongwu/TensorDecompositions.jl>

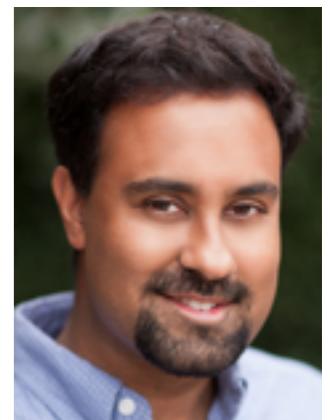
Contact : [ahwillia@stanford.edu](mailto:ahwillia@stanford.edu)

Slides : [alexhwilliams.info/pdf/nccd.pdf](http://alexhwilliams.info/pdf/nccd.pdf)

Code : [github.com/ahwillia/tensor-demo](https://github.com/ahwillia/tensor-demo)



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