# Problem 1

**Problem:** An ordinal A is a successor ordinal if  $A = B \cup \{B\}$  for some ordinal B. An element  $m \in A$  is maximal if  $\forall x \in A \ (x \in \forall x = m)$ . Show that an ordinal is a successor ordinal if and only if it contains a maximal element.

**Solution:** Let y be an ordinal that contains a maximal element m. Then, for all  $x \in y$ ,  $x \in m$  or x = m. Thus, for  $z = y \setminus \{m\}$ ,  $\forall t \in z$ ,  $t \in m$ . Thus,  $z \subseteq m$ .

We claim that  $\mathfrak{m} \subseteq z$ . Since  $\mathfrak{m} \in \mathfrak{y}$ ,  $\mathfrak{m} \subset \mathfrak{y}$ . Thus,  $\mathfrak{m} \subset \mathfrak{y} \cup \{\mathfrak{m}\}$ . However, since  $\mathfrak{m} \notin \mathfrak{m}$ , we have  $\mathfrak{m} \subseteq z$ . Thus,  $z = \mathfrak{m}$ , and  $y = \mathfrak{m} \cup \{\mathfrak{m}\}$ .

If y is a successor ordinal, then  $y = m \cup \{m\}$  for some ordinal m, meaning that for all  $x \in y$ ,  $x \in m$  or  $x \in \{m\}$ , meaning  $x \in m$  or x = m.

### Problem 2

**Problem:** A limit ordinal is a nonzero ordinal that is not a successor ordinal. Prove that an ordinal A is a limit ordinal if and only if  $A = \bigcup A$ .

**Solution:** Let  $A = \bigcup A$  and  $A \neq 0$ . Let  $x \in A$ . Then,  $x \in \bigcup A = \bigcup_{y \in A} y$ . Thus,  $x \in y$  for some  $y \in A$ . Thus, x < y, so x is not maximal, meaning A has no maximal element. Thus, by problem 2, A is not a successor ordinal.

#### Problem 3

Problem: Prove that the following two versions of the Axiom of Choice are equivalent.

**AC 1:** Let T be a set such that every element of T is nonempty. Then, there exists a function f with domain T such that  $\forall x \in T$ ,  $f(x) \in x$ .

**AC 2:** Let T be a set and F a function with domain T such that  $\forall x \in T$ , F(x) is nonempty. Then, there exists a function f with domain T such that  $\forall x \in T$ ,  $f(x) \in F(x)$ .

### Problem 4

**Problem:** Let  $(S, \leq)$  be a partially ordered set where every chain in S has an upper bound in S. Prove that there is a maximal element in S.

**Solution:** Let  $\Gamma$  be an ordinal. We define  $g:\Gamma\to H$  recursively by

$$g(\alpha) = \begin{cases} g(\beta) \cup f(g(\beta)) & \alpha = \beta \cup \{\beta\} \\ \bigcup_{\beta \in \alpha} g(\alpha) & \text{else} \end{cases}$$

We wish to prove that for all  $\alpha \in \Gamma$ ,  $q(\alpha)$  is a chain in S.

If  $\alpha = 0$ , then  $g(\alpha) = \emptyset$ , which is a chain (by vacuous truth).

Suppose toward contradiction there is some  $\alpha$  such that  $g(\alpha)$  is not a chain. Set  $B = \{\alpha \in \Gamma \mid g(\alpha) \text{ is not a chain}\}$ . Thus,  $B \neq \emptyset$  by our assumption. Let  $\alpha_0$  be the least element of B (which exists because  $B \subseteq \Gamma$  and  $\Gamma$  is well-ordered). Additionally, we know that  $\alpha_0 \neq \emptyset$  since  $g(\emptyset) = \emptyset$  is a chain.

(i) If  $\alpha_0 = \alpha' \cup \{\alpha'\}$ . Then  $g(\alpha_0) = g(\alpha') \cup \{f(g(\alpha'))\}$ .

We know that  $g(\alpha')$  is a chain, since  $\alpha' < \alpha_0$  and  $\alpha_0$  is the least element of B. Since  $m = f(g(\alpha'))$  is a strict upper bound for  $g(\alpha')$ ,  $\forall x \in g(\alpha')$ , x < m.

Let  $a, b \in g(\alpha_0) = g(\alpha') \cup \{m\}$ . Then, either  $a, b \in g(\alpha')$ ,  $a, b \in \{m\}$ , or (without loss of generality),  $a \in g(\alpha')$  and  $b \in \{m\}$ . If  $a, b \in g(\alpha')$ , then a < b, b < a, or a = b, since  $g(\alpha')$  is totally ordered. If  $a, b \in \{m\}$ , then a = b. Else, if  $a \in g(\alpha')$  and  $b \in \{m\}$ , then a < b.

Thus,  $g(\alpha_0)$  is totally ordered.  $\perp$ 

(ii) Let  $\alpha_0$  be a limit ordinal. Then,

$$g(\alpha_0) = \bigcup_{\beta \in \alpha_0} g(\beta).$$

Let  $a, b \in g(\alpha_0)$ .

Let  $g : \Gamma \to H$ . We have shown that  $g(\alpha) \in H$  for any  $\alpha \in H$ .

Let  $\alpha$ ,  $\beta \in \Gamma$  with  $\alpha \neq \beta$ . Without loss of generality, let  $\alpha \subset \beta$ . We will show that  $g(\alpha) \subset g(\beta)$ .

Suppose toward contradiction that  $\alpha \subset \beta$  does not imply  $g(\alpha) \subset g(\beta)$ . Let  $\beta_0$  be the smallest element of  $\Gamma$  such that there exists  $\alpha_0 \subset \beta_0$  with  $g(\alpha_0) \not\subset g(\beta_0)$ . We know that  $\beta_0 \neq 0$  because 0 has no proper subsets.

(i) If  $\beta_0 = \beta' \cup \{\beta'\}$  for some  $\beta' \in \Gamma$ , then  $g(\beta) = g(\beta') \cup \{f(g(\beta'))\}$ .

Since  $\alpha_0 \subset \beta_0$ ,  $\alpha_0 \in \beta_0$ , then  $\alpha_0 \in \beta'$  or  $\alpha_0 = \beta'$ , meaning  $\alpha_0 \in \beta'$  or  $\alpha_0 = \beta'$ .

If  $\alpha_0 = \beta'$ , then  $g(\alpha_0) = g(\beta') \subset g(\beta_0)$  since  $\{f(g(\beta'))\}\$  is a strict upper bound.

If  $\alpha_0 \subset \beta'$ , then  $g(\alpha_0) \subset g(\beta')$  since  $\beta'$  satisfies the assumption. Thus,  $g(\alpha_0) \subset g(\beta_0)$ .

## Problem 5

**Problem:** Show that there exists an uncountable set T of countable subsets of  $\mathbb{R}$ .

**Solution:** Let S be the set of all countable subsets of  $\mathbb{R}$ , partially ordered by inclusion.

Let C be a chain in S. Since  $C \subseteq S$ , C consists of countable sets.

Suppose toward contradiction that there exists no chain with uncountable length. Then, C is countable, so

$$C' = \bigcup_{A \in C} A$$

is a countable union of countable sets, so C' is countable, and C' is an upper bound for C. It is thus the case that S has a maximal element M, which is a countable set.

However, since  $\mathbb{R}$  is uncountable, there is some  $q \in \mathbb{R} \setminus M$ , meaning  $M \subseteq M \cup \{q\}$ , contradicting the maximality of M.

Thus, there must be at least one uncountable chain, T, in S.