

**Problem** (Problem 1): Let  $U \subseteq \mathbb{C}$  be a region. Fix  $z_0 \in U$ . Let

$$\mathcal{F} = \{f \in H(U) \mid \text{im}(f) \subseteq \mathbb{C} \setminus B(0, 1), f(z_0) = 2i\}.$$

Show that  $\mathcal{F}$  is normal.

**Solution:** Let  $(f_n)_n$  be a sequence in  $\mathcal{F}$ . We use the conformal map  $z \mapsto \frac{1}{z}$  to map  $\mathbb{C} \setminus B(0, 1)$  to  $\mathbb{D}$ , giving that the family

$$\mathcal{G} = \left\{ \frac{1}{f} \mid f \in \mathcal{F} \right\}$$

is locally bounded (indeed, globally bounded) by 1. Thus, it follows that there is a subsequence

$$\left( \frac{1}{f_{n_k}} \right)_k \rightarrow g: U \rightarrow \mathbb{D}$$

for some holomorphic function  $g: U \rightarrow \mathbb{D}$ . Now, since  $\frac{1}{f_n}$  has no zeros for each  $n$ , it follows from Hurwitz's theorem that either  $g$  is uniformly 0 or  $g$  also has no zeros. Yet, since  $g(z_0) = -\frac{i}{2} \neq 0$ , it thus follows that  $\frac{1}{g}$  is holomorphic on  $U$ , whence

$$(f_{n_k})_k \rightarrow \frac{1}{g}.$$

Thus,  $\mathcal{F}$  is normal.

**Problem** (Problem 2):

- (a) Using the Schwarz–Pick lemma, show that given  $w \in \mathbb{D}$ , there exists a holomorphic function  $f: \mathbb{D} \rightarrow \mathbb{D}$  satisfying

$$\begin{aligned} f(w) &= 0 \\ |f'(w)| &= \sup_{\substack{g \in H(\mathbb{D}) \\ g(\mathbb{D}) \subseteq \mathbb{D}}} |g'(w)|. \end{aligned}$$

- (b) Show that if  $f: \mathbb{D} \rightarrow \mathbb{C}$  is holomorphic and bounded, then

$$\sup_{z \in \mathbb{D}} \left( 1 - |z|^2 \right) |f'(z)| \leq \sup_{z \in \mathbb{D}} |f(z)|.$$

- (c) Let  $f: \mathbb{D} \rightarrow \mathbb{D}$  be a holomorphic function. Show that  $f$  either has at most 1 fixed point or  $f$  is the identity.

**Solution:**

- (a) We know that the map

$$\psi_w(z) = \frac{w - z}{1 - \bar{w}z}$$

is a conformal map that takes  $\psi_w(w) = 0$ . Now, we know that

$$|\psi'_w(w)| = \frac{1}{1 - |w|^2}.$$

From the Schwarz–Pick Lemma, we have for all holomorphic functions  $f: \mathbb{D} \rightarrow \mathbb{D}$

$$\frac{|f'(w)|}{1 - |f(w)|^2} \leq \frac{1}{1 - |w|^2}.$$

In particular, since  $0 \leq |f(w)| < 1$ , we have

$$|f'(w)| \leq \frac{1}{1 - |w|^2},$$

whence  $\psi_w(z)$  satisfies

$$\begin{aligned} \psi_w(w) &= 0 \\ |\psi'_w(w)| &= \sup_{\substack{g \in H(\mathbb{D}) \\ g(\mathbb{D}) \subseteq \mathbb{D}}} |g'(w)|. \end{aligned}$$

- (b) Let  $K = \sup_{z \in \mathbb{D}} |f(z)|$ . By the maximum modulus principle,  $|f(z)| < K$  for all  $z \in \mathbb{D}$ , so it follows that  $g(z) := \frac{f(z)}{K}$  is a self-map of the unit disk. By the Schwarz–Pick lemma, it then follows that

$$\frac{|g'(z)|}{1 - |g(z)|^2} \leq \frac{1}{1 - |z|^2}.$$

Simplifying, we then get

$$\begin{aligned} (1 - |z|^2) |f'(z)| &\leq K \left( 1 - \frac{|f(z)|^2}{K^2} \right) \\ &\leq K, \end{aligned}$$

so that

$$\sup_{z \in \mathbb{D}} (1 - |z|^2) |f'(z)| \leq \sup_{z \in \mathbb{D}} |f(z)|.$$

- (c) The statement is equivalent to showing that if  $f: \mathbb{D} \rightarrow \mathbb{D}$  is a holomorphic self-map with two fixed points, then  $f$  is the identity map. Let  $f$  be one of these maps, and let  $\xi \neq \eta \in \mathbb{D}$  be such that  $f(\xi) = \xi$  and  $f(\eta) = \eta$ .

We want to find some holomorphic self-map of  $\mathbb{D}$  that sends  $0 \mapsto 0$ . We consider the maps

$$\psi_\xi = \frac{\xi - z}{1 - \bar{\xi}z},$$

which takes  $0 \mapsto \xi$  and  $\xi \mapsto 0$ . Notice that  $\psi_\xi \circ \psi_\xi = \text{id}$ . Therefore,

$$g = \psi_\xi \circ f \circ \psi_\xi$$

is a holomorphic self-map that sends  $0 \mapsto 0$ , so by Schwarz's Lemma, we have

$$|g(z)| \leq |z|$$

for all  $z \in \mathbb{D}$ . Yet, we also have

$$\begin{aligned} g(\psi_\xi(\eta)) &= \psi_\xi \circ f \circ \psi_\xi \circ \psi_\xi(\eta) \\ &= \psi_\xi(\eta). \end{aligned}$$

In particular, this means that

$$|g(\psi_\xi(\eta))| = |\psi_\xi(\eta)|,$$

so there exists  $\mathbb{D} \ni w := \psi_\xi(\eta)$  such that  $|g(w)| = |w|$ , so that  $g(w) = e^{i\theta} w$ . Yet, since the identity relation holds for  $\psi_\xi(\eta)$ , it follows that  $\theta = 0$ , so  $g(w) = w$ . In particular, this means

$$\begin{aligned} \psi_\xi \circ f \circ \psi_\xi(z) &= z \\ f \circ \psi_\xi(z) &= \psi_\xi(z). \end{aligned}$$

Yet, since  $\psi_\xi$  is an automorphism, it follows that this relation holds for all  $z \in \mathbb{D}$ , so that  $f(w) = w$  for all  $w \in \mathbb{D}$ , whence  $f = \text{id}$ .

**Problem (Problem 3):** Let  $f: \mathbb{D} \rightarrow \mathbb{D}$  be a holomorphic function with  $f(0) = 0$ .

- (a) Show that  $|f(z) + f(-z)| \leq 2|z|^2$  for all  $z \in \mathbb{D}$ .  
 (b) Show that  $|f(z) + f(-z)| = 2|z|^2$  for some  $z \in \mathbb{D} \setminus \{0\}$  if and only if  $f(z) = e^{i\theta} z^2$ .

**Solution:**

- (a) We seek to show that the function

$$k(z) = \frac{f(z) + f(-z)}{2z}$$

maps  $\mathbb{D} \setminus \{0\} \rightarrow \mathbb{D} \setminus \{0\}$ . We may safely assume that  $z \neq 0$ , as the desired inequality is certainly true for  $z = 0$ . We observe that since  $f$  is a self-map of  $\mathbb{D}$  with  $f(0) = 0$ , Schwarz's Lemma gives

$$|f(z)| \leq |z|,$$

or that

$$\frac{|f(z)|}{|z|} \leq 1$$

A similar fact holds for  $f(-z)$ . For all  $z \in \mathbb{D}$ , we thus have

$$\left| \frac{f(z) + f(-z)}{2z} \right| \leq \frac{1}{2} \left( \left| \frac{f(z)}{z} \right| + \left| \frac{f(-z)}{z} \right| \right) < 1.$$

Therefore, since  $k$  is a self-map of  $\mathbb{D}$  with  $k(0) = 0$ , Schwarz's Lemma gives

$$|f(z) + f(-z)| \leq 2|z|^2.$$

- (b) Equivalently, we are assuming that

$$\left| \frac{f(z) + f(-z)}{2z} \right| = |z|$$

for some  $z \in \mathbb{D} \setminus \{0\}$ . From Schwarz's Lemma, we then have that

$$\frac{f(z) + f(-z)}{2z} = e^{i\theta} z$$

for some  $\theta \in \mathbb{R}$ . This gives

$$\frac{1}{2}(f(z) + f(-z)) = e^{i\theta} z^2.$$

**Problem (Problem 4):**

- (a) Show that if  $f: \mathbb{H} \rightarrow \mathbb{D}$  is a conformal map, then there exists some  $\theta \in \mathbb{R}$  and  $\beta \in \mathbb{H}$  such that

$$f(z) = e^{i\theta} z \frac{z - \beta}{z - \bar{\beta}}.$$

- (b) Show that if  $f: \mathbb{H} \rightarrow \mathbb{H}$  is a conformal map, then there exists some

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{SL}_2(\mathbb{R})$$

such that

$$f(z) = \frac{az + b}{cz + d}.$$

**Solution:**

(a) Let  $f: \mathbb{H} \rightarrow \mathbb{D}$  be a conformal map, and let  $\beta = f^{-1}(0)$ . It suffices to show that

$$g(z) = \frac{z - \beta}{z - \bar{\beta}}$$

is a conformal map from  $\mathbb{H}$  to  $\mathbb{D}$  that takes  $\beta \mapsto 0$ . The essential uniqueness of conformal maps from simply connected domains to the unit disk will give us our desired result.

Letting  $\beta = a + bi$  with  $b > 0$ , we observe that the expression of  $g$  can be rewritten as

$$\begin{aligned} g(z) &= \frac{\left(\frac{z-a}{b}\right) - i}{\left(\frac{z-a}{b}\right) + i} \\ &= q \circ L(z), \end{aligned}$$

where  $L: \mathbb{H} \rightarrow \mathbb{H}$  takes  $z \mapsto \frac{z-a}{b}$  (and is a uniquely determined automorphism of  $\mathbb{H}$  that takes  $a+bi$  to  $i$ ), while  $q: \mathbb{H} \rightarrow \mathbb{D}$  is the Cayley transform. In particular, this is a composition of conformal maps, hence conformal, maps  $\beta \mapsto 0$ , and has  $|g'(\beta)| \neq 0$ , meaning that it must be the case that a general conformal map from  $\mathbb{H}$  to  $\mathbb{D}$  that maps  $\beta \mapsto 0$  must be of the form

$$f = e^{i\theta} g(z).$$

(b) We start by showing that all conformal maps  $f: \mathbb{H} \rightarrow \mathbb{H}$  that fix  $i$  can be expressed by fractional linear transformations from matrices in  $SL_2(\mathbb{R})$ . We observe then that  $q \circ f: \mathbb{H} \rightarrow \mathbb{D}$ , where  $q$  is the Cayley Transform, is necessarily of the form

$$q \circ f = e^{i\theta} \frac{z - i}{z + i},$$

following from the uniqueness we showed in part (a). This gives

$$\begin{aligned} f &= -i \frac{z(1 + e^{i\theta}) + i(1 - e^{i\theta})}{z(e^{i\theta} - 1) - i(1 + e^{i\theta})} \\ &= -i \frac{e^{i\theta/2}(z(2 \cos(\theta/2)) + 2 \sin(\theta/2))}{e^{i\theta/2}(z(2i \sin(\theta/2)) - 2i \cos(\theta/2))} \\ &= \frac{z \cos(\theta/2) + \sin(\theta/2)}{-z \sin(\theta/2) + \cos(\theta/2)}. \end{aligned}$$

Since the rotation map

$$\begin{pmatrix} \cos(\theta/2) & \sin(\theta/2) \\ -\sin(\theta/2) & \cos(\theta/2) \end{pmatrix} \in SL_2(\mathbb{R}),$$

it follows that any conformal map of  $\mathbb{H}$  that fixes  $i$  can be expressed in this form.

In the general case, we observe that we can translate an arbitrary element of the form  $z = a + bi$  with  $b > 0$  to  $i$  by taking  $L = \frac{z-a}{b}$ , which admits a representation as an element of  $SL_2(\mathbb{R})$  via the matrix

$$\begin{pmatrix} 1/\sqrt{b} & -a/\sqrt{b} \\ 0 & \sqrt{b} \end{pmatrix} \in SL_2(\mathbb{R}).$$

In particular, if  $f: \mathbb{H} \rightarrow \mathbb{H}$  is a conformal map, then there is a unique element  $a + bi$  that maps to  $i$ , meaning that we may necessarily write  $f$  as

$$f = \begin{pmatrix} \cos(\theta/2) & \sin(\theta/2) \\ -\sin(\theta/2) & \cos(\theta/2) \end{pmatrix} \begin{pmatrix} 1/\sqrt{b} & -a/\sqrt{b} \\ 0 & \sqrt{b} \end{pmatrix} \cdot z,$$

whence any conformal map from  $\mathbb{H}$  to  $\mathbb{H}$  can be expressed in this fashion.

**Problem (Problem 5):** Let  $f$  be an entire function satisfying  $|f(z)| = 1$  for all  $z \in S^1$ . Show that there exists some  $\theta \in \mathbb{R}$  and a nonnegative integer  $n$  such that  $f(z) = e^{i\theta} z^n$  for all  $z \in \mathbb{C}$ .

**Solution:** To start, if  $f$  is constant, then it follows that  $|f(z)| = 1$  for all  $z \in \mathbb{C}$ , meaning that  $f(z) = e^{i\theta}$  for some  $\theta$ .

Now, let  $f$  be nonconstant. We claim that  $\inf_{z \in B(0,1)} |f(z)| = 0$ . If it were not the case, then by applying the maximum modulus principle to both  $f$  and  $1/f$ , we would reach a contradiction claiming that  $f = e^{i\theta}$  on  $\mathbb{D}$ , hence on all of  $\mathbb{C}$  by the identity theorem, contradicting the fact that  $f$  is nonconstant.

Since all the zeros of  $f$  are isolated, we have finitely many contained in  $B(0, 1)$ , hence finitely many in  $\mathbb{D}$ . Call these zeros  $\{z_j\}_{j=1}^n$  (with multiplicity). Let

$$B(z) = \prod_{j=1}^n \frac{z_j - z}{1 - \overline{z_j}z}.$$

Since  $B$  is a Blaschke product, it follows that  $|B(z)| = 1$  on  $S^1$ . Furthermore, evaluating

$$\begin{aligned} \lim_{z \rightarrow z_j} \frac{f(z)}{B(z)} &= \lim_{z \rightarrow z_j} \frac{(z - z_j)^k g(z)}{(-1)^k (z - z_j)^k H(z)} \\ &= (-1)^k \frac{g(z_j)}{H(z_j)}, \end{aligned}$$

where  $g$  and  $H$  are holomorphic functions that are nonzero on  $\mathbb{D}$ . In particular, this means that the function  $\frac{f}{B}$  has no zeros in  $\mathbb{D}$  and  $|\frac{f}{B}| = 1$ . Therefore, by the reasoning above, we must have that

$$f(z) = e^{i\theta} B(z).$$

The only Blaschke factors that are holomorphic on  $\mathbb{C}$  are the ones of the form  $z^n$ , meaning that we have  $f(z) = e^{i\theta} z^n$ .

**Problem (Problem 6):**

- (a) Show that there does not exist a continuous function  $f: \overline{\mathbb{H}} \rightarrow \mathbb{C}$  for which  $f|_{\mathbb{H}}$  is holomorphic,  $f(\mathbb{R}) \subseteq (-\infty, 0)$ , and  $f(\mathbb{H}) \subseteq \mathbb{H}$ .
- (b) Let  $f: \overline{\mathbb{H}} \rightarrow \mathbb{C}$  be a continuous function for which  $f|_{\mathbb{H}}$  is holomorphic,  $f(\mathbb{R}) \subseteq \mathbb{R}$ , and  $0 \leq \operatorname{Im}(f(z)) \leq \operatorname{Re}(f(z))$  for all  $z \in \overline{\mathbb{H}}$ . Show that  $f$  is constant.

**Solution:**

- (a) We extend  $f$  to a holomorphic function on all of  $\mathbb{C}$  using the Schwarz reflection principle. Observe then that the range of the extension for  $f$  (which we call  $g$ ) is contained in  $\mathbb{C} \setminus [0, \infty)$ . We may thus define a branch of the square root that maps  $\mathbb{C} \setminus [0, \infty)$  to the upper half-plane, so that

$$v(z) = \frac{\sqrt{g(z)} - i}{\sqrt{g(z)} + i}$$

is an entire function whose range is contained within  $\mathbb{D}$ . Thus, it follows that  $g$  (and thus  $f$ ) is constant by Liouville's Theorem. Yet, this would lead to a contradiction, since the condition that  $f(\mathbb{R}) \subseteq (0, \infty)$  would imply that the constant value for  $f$  is some element of  $\mathbb{R}$ , while the condition that  $f(\mathbb{H}) \subseteq \mathbb{H}$  would imply that the constant value for  $f$  is an element of  $\mathbb{H}$ , which cannot be in  $\mathbb{R}$ .

- (b) Using the Schwarz reflection principle, we extend  $f$  to be holomorphic on  $\mathbb{C}$ ; we call this extension  $g$ . To understand the behavior of  $g$  with respect to the alternative condition on  $f$ , we observe that on the lower half-plane, we have that  $g(z) = \overline{f(\bar{z})}$ ; in particular, we have

$$\operatorname{Im}\left(\overline{f(\bar{z})}\right) \leq 0 \leq \operatorname{Re}\left(\overline{f(\bar{z})}\right),$$

so we have that  $g$  maps  $\mathbb{C}$  to the right half-plane. Thus, we have that  $U(-1, 1/2) \not\subseteq g(\mathbb{C})$ , meaning that by the converse to a corollary of Liouville's Theorem, we have that  $g$  is constant. Since  $g$  is a holomorphic extension of  $f$ , it follows that  $f$  is thus constant.