# Introduction

Oh hey, it's another one of these independent studies. Me and a friend are going to be going through William Fulton's *Algebraic Curves*. It will be hard, it will be long, and it might not work out for me, but who cares.

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# **Affine Algebraic Sets**

# **Algebraic Preliminaries**

We will assume all rings are commutative with unity, where  $\mathbb Z$  is the integers,  $\mathbb Q$  is the rationals,  $\mathbb R$  is the reals, and  $\mathbb C$  is the complex numbers.

Any integral domain R has a quotient field K, which contains R as a subring, and any element in K may be written as a not necessarily unique ratio of two elements of R. Any one-to-one ring homomorphism from R to a field L extends uniquely to a ring homomorphism from K to L.

If R is a ring, then R[x] is the ring of polynomials with coefficients in R. The degree of a nonzero polynomial  $\sum a_i x^i$  is the largest integer d such that  $a_d \neq 0$ . The polynomial is monic if  $a_d = 1$ .

The ring of polynomials in n variables over R is  $R[x_1,\ldots,x_n]$ . We write R[x,y] and R[x,y,z] if n=2 and 3 respectively. Monomials in  $R[x_1,\ldots,x_n]$  are of the form  $x^{(i)}:=x_1^{i_1}x_2^{i_2}\cdots x_n^{i_n}$ , where  $i_j$  are nonnegative integers, and the degree of the monomial is  $i_1+\cdots i_n$ . Every  $F\in R[x_1,\ldots,x_n]$  has a unique expression  $F=\sum a_{(i)}x^{(i)}$ , where  $x^{(i)}$  are monomials, and  $a_{(i)}\in R$ . We say F is homogeneous of degree d if all  $a_{(i)}$  are zero except for monomials of degree d. The polynomial F is written as  $F=F_0+F_1+\cdots F_d$ , where  $F_i$  is a form of degree i, and  $d=\deg(F)$  for  $F_d\neq 0$ .

The ring R is a subring of  $R[x_1, \ldots, x_n]$ , and the ring  $R[x_1, \ldots, x_n]$  is characterized by the following: if  $\varphi \colon R \to S$  is a ring homomorphism, and  $s_1, \ldots, s_n$  are elements in S, then there is a unique extension of  $\varphi$  to a ring homomorphism  $\overline{\varphi} \colon R[x_1, \ldots, x_n] \to S$  such that  $\overline{\varphi}(x_i) = s_i$ . The image of F under  $\overline{\varphi}$  is written  $F(s_1, \ldots, s_n)$ . The ring  $R[x_1, \ldots, x_n]$  is canonically isomorphic to  $R[x_1, \ldots, x_{n-1}][x_n]$ .

An element  $a \in R$  is called irreducible if it is not a unit or zero, and any factorization a = bc with  $b, c \in R$  is such that either b or c is a unit. A domain R is a unique factorization domain (UFD) if every nonzero element in R can be factored uniquely up to units and ordering.

If R is a UFD with quotient field K, then any irreducible element  $F \in R[x]$  remains irreducible when considered in K[x].

**Theorem** (Gauss's Lemma for  $\mathbb{Z}$ ): If  $F \in \mathbb{Z}[x]$  is a monic polynomial that is irreducible, then F is irreducible in  $\mathbb{Q}[x]$ .

If F and G are polynomials in R[x] with no common factors in R[x], then they have no common factors in K[x].

If R is a UFD, then R[x] is also a UFD, and consequently  $k[x_1,...,x_n]$  is a UFD for any field k. The quotient field of  $k[x_1,...,x_n]$  is written  $k(x_1,...,x_n)$  is called the field of rational functions in n variables over k.

If  $\varphi \colon R \to S$  is a ring homomorphism,  $\ker(\varphi) := \varphi^{-1}(0)$ . The kernel is an ideal in R. An ideal in R is proper if  $I \neq R$ , and a proper ideal is known as maximal if it is not contained in any larger proper ideal. An ideal  $\mathfrak{p}$  is prime if, whenever  $\mathfrak{ab} \in \mathfrak{p}$ , then  $\mathfrak{a} \in \mathfrak{p}$  or  $\mathfrak{b} \in \mathfrak{p}$ .

Let k be a field and I a proper ideal in  $k[x_1,...,x_n]$ . The canonical homomorphism  $\pi$  from  $k[x_1,...,x_n]$  to  $k[x_1,...,x_n]/I$  restricts to a ring homomorphism from k to  $k[x_1,...,x_n]/I$ . We regard k as a subring of  $k[x_1,...,x_n]/I$ , which is a vector space over k.

If R is an integral domain, then char(R), the characteristic of R, is the smallest integer p such that

$$\underbrace{1+1\cdots+1}_{p \text{ times}} = 0.$$

If p exists, we say char(R) = p, else 0.

Note that if  $\varphi \colon \mathbb{Z} \to R$  is the unique ring homomorphism from  $\mathbb{Z}$  to  $R^{III}$  then  $\ker(\varphi) = \langle p \rangle$ , so  $\operatorname{char}(R)$  is prime or 0.

If R is a ring, and  $F \in R[x]$ , and  $\alpha$  is a root of F, then  $F = (x - \alpha)G$  for some unique polynomial  $G \in R[x]$ . A field k is algebraically closed if any nonconstant  $F \in k[x]$  has a root.

Exercise (Exercise 1.1): Let R be an integral domain.

- (a) If F and G are forms of degree r and s respectively in  $R[x_1, ..., x_n]$ , show that FG is a form of degree r + s.
- (b) Show that any factor of a form in  $R[x_1, ..., x_n]$  is also a form.

### **Solution:**

(a) Let H = FG, where F is a form of degree r and G is a form of degree s. Note that since F and G are forms, we know that  $F = F_r$ , where  $F_r$  is the form with degree r, and  $G = G_s$ , where  $G_s$  is the form with degree s.

**Exercise** (Exercise 1.2): Let R be a UFD and K the quotient field of R. Show that every element  $z \in K$  may be written as z = a/b, where  $a, b \in R$  have no common factors. This representative is unique up to units of R.

**Solution:** Since K = Frac(R), we know that every  $z \in K$  is of the form  $z = \frac{a}{b}$ . Since R a unique factorization domain, gcd(a, b) is unique and well-defined. Set  $c \cdot gcd(a, b) = a$  and  $d \cdot gcd(a, b) = b$ . Then,

$$z = \frac{a}{b}$$

$$= \frac{c \cdot \gcd(a, b)}{d \cdot \gcd(a, b)}$$

$$= \frac{c}{d}.$$

We show that this is unique up to units. Suppose

$$z = \frac{c}{d}$$
$$= \frac{c'}{d'}$$

<sup>&</sup>lt;sup>I</sup>Alternatively, an ideal I is maximal if the quotient ring R/M is a field.

<sup>&</sup>lt;sup>II</sup>Alternatively, an ideal  $\mathfrak{p}$  is prime if  $R/\mathfrak{p}$  is an integral domain.

 $<sup>{}^{\</sup>text{III}}$ This is because  ${\mathbb Z}$  is initial in the category of rings. See Aluffi.

Then, by the properties of the field of fractions, we know that

$$c'd = cd'$$

and since R is a UFD, we know that gcd(c, d) = gcd(c', d') = 1, so  $c = u_1c'$  and  $d = u_2d'$ .

Exercise (Exercise 1.3): Let R be a principal ideal domain, and let P be a nonzero proper prime ideal in R.

- (a) Show that P is generated by an irreducible element.
- (b) Show that P is maximal.

#### **Solution:**

(a) Since P is principal, we know that  $P = \langle \alpha \rangle$  for some  $\alpha \in R$ . We know that  $\alpha$  cannot be a unit, as otherwise P = R, contradicting the assumption that P is proper, and that  $\alpha \neq 0$  as P is not zero.

Suppose toward contradiction that  $\langle \alpha \rangle \subsetneq \langle b \rangle$  for some  $b \in R$ . Then,  $\alpha = bc$  for some  $c \in R$ . If  $c \notin \langle \alpha \rangle$ , then since  $\langle \alpha \rangle$  is prime, we must have  $b \in \langle \alpha \rangle$ , contradicting strict inclusion. Thus,  $c \in \langle \alpha \rangle$ , so  $c = \alpha t$  for some  $t \in R$ . Therefore, we have  $\alpha = \alpha bt$ , so  $bt = 1_R$ , and  $\langle b \rangle = R$ .

(b) Since R is a PID, and P is prime, we know that  $P = \langle \alpha \rangle$  is generated by an irreducible element. Thus, if  $\langle \alpha \rangle \subseteq \langle b \rangle$ , then  $\alpha = bc$  for some  $c \in R$ . Since we have unique factorization (as all PIDs are UFDs), and  $\alpha$  is irreducible, this means either b or c is a unit. If b is a unit, then  $\langle b \rangle = R$ , and if c is a unit, then  $\langle b \rangle = \langle \alpha \rangle$ . Thus,  $\langle \alpha \rangle$  is maximal.

**Exercise** (Exercise 1.4): Let k be an infinite field,  $f \in k[x_1, ..., x_n]$ . Suppose  $F(a_1, ..., a_n) = 0$  for all  $a_1, ..., a_n \in k$ . Show that f = 0.

**Exercise** (Exercise 1.5): Let k be any field. Show that there are an infinite number of irreducible monic polynomials in k[x].

**Solution:** Suppose  $F_1, \ldots, F_n$  were all the irreducible monic polynomials in k[x]. Consider the polynomial  $P = F_1 F_2 \cdots F_n + 1$ . We note that P is monic. We will show that P is irreducible.

Suppose toward contradiction that P were reducible. We know that k[x] is a principal ideal domain, so  $P \in \langle F_i \rangle$  for some irreducible monic  $F_i$ . However, we know that, for any  $F_i$ ,  $1 \le i \le n$ ,  $P \nmid F_i$ , as, applying the division algorithm to P, we get

$$P = (F_i) \prod_{j \neq i} F_j + 1,$$

where  $r \neq 0$ . Thus, P is not reducible and monic, so there are infinitely many irreducible monic polynomials in k[x].

**Exercise** (Exercise 1.6): Show that any algebraically closed field is infinite.

**Solution:** Note that if k is any field, then there are infinitely many irreducible monic polynomials in k[x]. If k is algebraically closed, then (x - a), for  $a \in k$ , is the only irreducible monic polynomial. Since there are infinitely many irreducible monic polynomials in k[x], there are infinitely many  $a \in k$  such that (x - a) is irreducible in k[x]. Thus, k is infinite.

**Exercise** (Exercise 1.7): Let k be any field, and  $F \in k[x_1, ..., x_n]$ , with  $a_1, ..., a_n \in k$ .

(a) Show that

$$F = \sum \lambda_{(i)} (x_1 - \alpha_1)^{i_1} \cdots (x_n - \alpha_n)^{i_n},$$

where  $\lambda_{(i)} \in k$ .

(b) If  $F(a_1, \ldots, a_n) = 0$ , show that  $F = \sum_{i=1}^n (x_i - a_i) G_i$  for some not necessarily unique  $G_i \in k[x_1, \ldots, x_n]$ .

#### **Solution:**

(a) We let

$$G = F(x_1 + a_1, x_2 + a_2, ..., x_n + a_n).$$

Then, since  $G \in k[x_1, ..., x_n]$ , we have

$$G = \sum \lambda_{(i)} x_1^{i_1} \cdots x_n^{i_n}.$$

Then, we have

$$F = \sum \lambda_{(i)} (x_1 - \alpha_1)^{i_1} \cdots (x_n - \alpha_n)^{i_n}.$$

(b) Note that if  $F(a_1, \ldots, a_n) = 0$ , then  $(x_i - a_i) \mid F(a_1, \ldots, a_{i-1}, x_i, a_{i+1}, \ldots, a_n)$ . Thus, we have

$$F(\alpha_1,\ldots,\alpha_{i-1},x_i,\alpha_{i+1},\ldots,\alpha_n) = (x_i - \alpha_i) \underbrace{g(\alpha_1,\ldots,\alpha_{i-1},x_i,\alpha_{i+1},\ldots,\alpha_n)}_{G_i}.$$

This yields

$$F(x_1,\ldots,x_n) = \sum_{i=1}^n (x_i - a_i)G_i.$$

# Affine Space and Algebraic Sets

**Definition.** If k is a field, then when we write  $\mathbb{A}^n(k)$ , or  $\mathbb{A}^n$ , to be the cartesian product of k with itself n times.

We call  $\mathbb{A}^n(k)$  the affine n-space over k. Its elements are called points. We call  $\mathbb{A}^1(k)$  the affine line and  $\mathbb{A}^2(k)$  the affine plane.

**Definition.** If 
$$F \in k[x_1, ..., x_n]$$
, then  $P = (a_1, ..., a_n) \in \mathbb{A}^n(k)$  is called a zero of  $F$  if  $F(P) = (a_1, ..., a_n) = 0$ .

If F is not constant, then the zeros of F are called the hypersurface defined by F, defined by V(F). A hypersurface in  $\mathbb{A}^2(k)$  is called an affine plane curve.

If F is a polynomial of degree 1, then V(F) is called a hyperplane in  $\mathbb{A}^n(k)$ ; if n = 2, then an affine hyperplane is a line.

**Definition.** If S is any set of polynomials in  $k[x_1,...,x_n]$ , then  $V(S) = \{P \in \mathbb{A}^n \mid F(P) = 0 \text{ for all } F \in S\}$ . In other words,  $V(S) = \bigcap_{F \in S} V(F)$ . If  $S = \{F_1,...,F_r\}$ , we write  $V(F_1,...,F_r)$ .

A subset  $X \subseteq \mathbb{A}^n(k)$  is an affine algebraic set (or algebraic set) if X = V(S) for some S.

### **Proposition:**

- (1) If I is the ideal in  $k[x_1, ..., x_n]$  generated by S, then V(S) = V(I); thus, every algebraic set is equal to V(I) for some ideal I.
- (2) If  $\{I_{\alpha}\}$  is a collection of ideals, then  $V(\bigcup_{\alpha} I_{\alpha}) = \bigcap_{\alpha} V(I_{\alpha})$ .
- (3) If  $I \subseteq J$ , then  $V(I) \supseteq V(J)$ .
- (4) For any polynomials F, G,  $V(FG) = V(F) \cup V(G)$ . Furthermore,  $V(I) \cup V(J) = V(\{FG \mid F \in I, G \in J\})$ .
- (5) We have that  $V(0) = \mathbb{A}^n(k)$ ,  $V(1) = \emptyset$ ,  $V(x_1 a_1, \dots, x_n a_n) = \{(a_1, \dots, a_n)\}$  for  $a_i \in k$ . Thus, any finite subset of  $\mathbb{A}^n(k)$  is an algebraic set.

**Exercise** (Exercise 1.8): Show that the algebraic subsets of  $\mathbb{A}^1(k)$  are just the finite subsets together with  $\mathbb{A}^1(k)$  itself.

**Solution:** Since k[x] is a principal ideal domain, we know that the zero set V(S) for any  $S \subseteq k[x]$  is of the form  $V(\langle f \rangle) = V(f)$ , where  $f \in k[x]$ . Since f is a polynomial, f has finitely many roots, so there are finitely many elements in the algebraic subset.

Additionally, since  $0 \in k[x]$ , we know that k is also an algebraic subset.

**Exercise** (Exercise 1.14): Let F be a nonconstant polynomial in  $k[x_1, ..., x_n]$ , where k is algebraically closed. Show that  $\mathbb{A}^n(k) \setminus V(F)$  is infinite if  $n \ge 1$  and that V(F) is infinite if  $n \ge 2$ . Conclude that the complement of any proper algebraic set is infinite.

**Solution:** We know that k is infinite as k is algebraically closed.

Let 
$$F \in k[x_1, ..., x_n] \cong k[x_1, ..., x_{n-1}][x_n]$$
.

In the base case with n=1, we know that there are finitely many roots in  $\mathbb{A}^1(k)$ , so we have the base case. If  $n \geq 2$ , then we write  $F = \sum G_i x_n^i$ . We know that since F is nonzero, then there is at least one nonzero  $G_i$ . We showed in Exercise 1.4 that there is some  $a_1, \ldots, a_{n-1} \in k$  such that  $G_i(a_1, \ldots, a_{n-1}) \neq 0$ . Thus,  $F(a_1, \ldots, a_{n-1}, x_n)$  is not the zero polynomial, meaning there are finitely many roots, and thus infinitely many non-roots.

Thus, there are infinitely many  $a_1, \ldots, a_n \in k$  with  $a_1, \ldots, a_n \neq 0$ .

We write  $F = \sum G_i x_n^i$ . We know that if all the  $G_i$  are constant, then we have a single-variable polynomial in  $x_n$ , and any choice of  $a_1, \ldots, a_{n-1} \in k$  provide other elements of V(F). We assume that there is some  $G_i$  that is a nonconstant polynomial in  $x_1, \ldots, x_{n-1}$ .

Since  $G_i$  is nonzero, we may use the previous paragraph to state that  $G_i$  has infinitely many non-roots, and for each choice of those  $a_1, \ldots, a_{n-1}$ , we have a polynomial in  $x_n$ . This polynomial has a root, meaning there are infinitely many roots.

**Exercise** (Exercise 1.15): Let  $V \subseteq \mathbb{A}^n(k)$  and  $W \subseteq \mathbb{A}^m(k)$  be algebraic sets. Show that

$$V \times W = \{(a_1, ..., a_n, b_1, ..., b_m) \mid (a_1, ..., a_n) \in V, (b_1, ..., b_m) \in W\}$$

is an algebraic set in  $\mathbb{A}^{n+m}(k)$ . It is called the product of V and W.

**Solution:** Consider the set of polynomials in  $k[x_1, \ldots, x_n, x_{n+1}, \ldots, x_{n+m}]$  given by  $P = F(x_1, \ldots, x_n) + G(x_{n+1}, \ldots, x_m)$ , where F is a polynomial in the ideal whose algebraic set is V and G is an ideal in the algebraic set whose ideal is W. Then, the collection of zeros are those of the form  $(a_1, \ldots, a_n, b_1, \ldots, b_m)$ , where  $(a_1, \ldots, a_n) \in V$  and  $(b_1, \ldots, b_m) \in W$ .

**Solution** (A Real Solution): We have that V and W are defined by  $\{F_1, \ldots, F_r\}$  and  $\{G_1, \ldots, G_s\}$  for some polynomials. We define  $V \times W$  to be the algebraic set defined by the polynomials in  $\{F_1, \ldots, F_r, G_1, \ldots, G_s\}$  that are constant with respect to the other variables.

### The Ideal of a Set of Points

**Definition.** If  $X \subseteq \mathbb{A}^n(k)$ , then the polynomials that vanish on X form an ideal in  $k[x_1, ..., x_n]$ , called the ideal of X, or I(X).

$$I(X) := \{F \in k[x_1, ..., x_n] \mid F(a_1, ..., a_n) = 0 \text{ for all } (a_1, ..., a_n) \in X\}.$$

The following hold.

- If  $X \subseteq Y$ , then  $I(X) \supseteq I(Y)$ .
- We have  $I(\emptyset) = k[x_1, ..., x_n]$ ,  $I(\mathbb{A}^n(k)) = \langle 0 \rangle$  if k is infinite, and  $I(\{(\alpha_1, ..., \alpha_n)\}) = \langle x_1 \alpha_1, ..., x_n \alpha_n \rangle$  for  $\alpha_1, ..., \alpha_n \in k$ .
- We have  $I(V(S)) \supseteq S$  for any set S of polynomials, and  $V(I(X)) \supseteq X$  for any set X of points.
- We have V(I(V(S))) = V(S) for any set of polynomials S, and I(V(I(X))) = I(X) for any set X of points. If V is an algebraic set, V = V(I(V)) and if I is the ideal of an algebraic set, then I = I(V(I)).

**Definition.** If I is any ideal in a ring R, we define the radical of I, written  $rad(I) = \{a^n \mid a \in I \text{ for some } n > 0\}$ . We have that rad(I) is an ideal containing I. An ideal I is called a radical ideal if I = rad(I).

• We have I(X) is a radical ideal for any  $X \subseteq \mathbb{A}^n(k)$ .

Exercise (Exercise 1.16): Let V and W be algebraic sets in  $\mathbb{A}^n(k)$ . Show that V = W if and only if I(V) = I(W).

**Solution:** Let V = W. Then, if  $F \in I(V)$ , then F = 0 on W, so  $F \in I(W)$ , and vice versa.

Suppose I(V) = I(W). We know that V(I(V)) = V and V(I(W)) = W. Thus, if  $(\alpha_1, ..., \alpha_n) \in V$ , we know that for all  $F \in I(W)$ , that  $F(\alpha_1, ..., \alpha_n) = 0$  as  $F \in I(V)$ , meaning  $(\alpha_1, ..., \alpha_n) \in V(I(W)) = W$ . By symmetry, we have V = W.

### Exercise (Exercise 1.17):

- (a) Let V be an algebraic set in  $\mathbb{A}^n(k)$  and  $P \in \mathbb{A}^n(k)$  not a point in V. Show that there is a polynomial  $F \in k[x_1, ..., x_n]$  such that F(Q) = 0 for all  $Q \in V$  but F(P) = 1.
- (b) Let  $P_1, ..., P_r$  e distinct points in  $\mathbb{A}^n(k)$  not in an algebraic set V. Show that there are polynomials  $F_1, ..., F_r \in I(V)$  such that  $F_i(P_j) = \delta_{ij}$ .
- (c) With  $P_1, \ldots, P_r$  and V as in (b), and  $a_{ij} \in k$  for  $1 \le i, j \le r$ , show that there are  $G_i \in I(V)$  such that  $G_i(P_j) = a_{ij}$  for all i and j.

#### **Solution:**

- (a) We know that there is some  $F \in I(V)$  such that  $F(P) \neq 0$ . Letting a = F(P), we have that  $\frac{1}{a}F(P) = 1$ .
- (b) We find  $F_i \in I(V \cup \{P_{-i}\})$ , where  $\{P_{-i}\} = \{P_1, \dots, P_r\} \setminus \{P_i\}$ . Applying (a) to  $F_i$ , we get that  $F_i(P_i) = 1$  and  $F_i(P_j) = 0$  for  $j \neq i$ . By symmetry, this holds for  $F_1, \dots, F_r$ .
- (c) With  $P_1, \ldots, P_r$  and V as in (b), find  $F_1, \ldots, F_r$  as in (b). Then,  $G_i = \sum_i a_{ij} F_j$  yields our desired outcome.

**Exercise** (Exercise 1.18): Let I be an ideal in a ring R. If  $a^n \in I$  and  $b^m \in I$ , show that  $(a + b)^{n+m} \in I$ . Show that rad(I) is a (radical) ideal. Show that any prime ideal is radical.

#### **Solution:**

· Applying binomial theorem, we have

$$(a+b)^{n+m} = \sum_{k=0}^{n+m} \binom{n+m}{k} a^{n+m-k} b^k$$

where  $a^0 = b^0 := 1$ .

- We have  $I \subseteq rad(I)$ , since we can take n = 1. If  $a, b \in rad(I)$ , we know that there is some n such that  $a^n, b^m \in I$ , so by the same logic as above,  $(a b)^{n+m} \in I$ , meaning  $a b \in rad(I)$ . Now, if  $a \in rad(I)$  and  $x \in R$ , then we have that  $a^n \in I$  for some n, meaning  $x^n a^n \in I$  as I is an ideal, so  $(xa)^n \in I$ , so  $xa \in rad(I)$ , so rad(I) is an ideal.
- Let I be prime, and let  $a \in rad(I)$ . Then,  $a^n \in I$  for some n > 0, meaning  $(a) \left(a^{n-1}\right) \in I$ . Then, either  $a \in I$ , or  $a^{n-1} \in I$ , so by the implicit inductive hypothesis, we have  $a \in I$ , so  $rad(I) \subseteq I$ , so rad(I) = I.

**Exercise** (Exercise 1.20): Show that for any ideal I in  $k[x_1, ..., x_n]$ , V(I) = V(rad(I)), and  $rad(I) \subseteq I(V(I))$ .

### **Solution:**

• Clearly,  $V(rad(I)) \subseteq V(I)$  because  $I \subseteq rad(I)$ . We know that if  $P \in V(I)$ , then there is some polynomial  $F \in I$  such that F(P) = 0.

**Exercise** (Exercise 1.21): Show that any  $I = \langle x_1 - a_1, \dots, x_n - a_n \rangle \subseteq k[x_1, \dots, x_n]$  is a maximal ideal, and that the natural homomorphism from k to  $k[x_1, \dots, x_n]/I$  is an isomorphism.

**Solution:** Note that  $\langle x_1 - a_1, ..., x_n - a_n \rangle \subseteq k[x_1, ..., x_n]$  is isomorphic to  $\langle x_1, ..., x_n \rangle \subseteq k[x_1 + a_1, ..., x_n + a_n]$ ,  $k[x_1, ..., x_n]/I \cong k$ .

# The Hilbert Basis Theorem

Earlier, we allowed any algebraic set V(S) to be defined by an arbitrary set  $\{F_i\}_{i\in I}\subseteq k[x_1,\ldots,x_n]$ . However, the Hilbert Basis Theorem will show that a finite number will do.

**Theorem:** Every algebraic set is the intersection of a finite number of hypersurfaces.

*Proof.* We know that V(I) is the algebraic set for some  $I \subseteq k[x_1, ..., x_n]$ . It is enough to show that I is finitely generated, as if  $I = \langle F_1, ..., F_n \rangle$ , then  $V(I) = V(F_1) \cap \cdots \cap V(F_n)$ .

Now, to prove this, we need to show that any arbitrary ideal  $I \subseteq k[x_1, ..., x_n]$  is finitely generated. This is where the Hilbert Basis Theorem comes into play.

**Definition.** If R is a commutative ring, with identity, we say R is Noetherian if every ideal of R is finitely generated.

Note that all PIDs are Noetherian.

Now, we may state and prove the Hilbert Basis Theorem.

**Theorem** (Hilbert Basis Theorem): If R is a Noetherian ring, then  $R[x_1, ..., x_n]$  is a Noetherian ring.

*Proof.* Since  $R[x_1,...,x_n]$  is canonically isomorphic to  $R[x_1,...,x_{n-1}][x_n]$ . The theorem will follow by induction if we can prove that R[x] is Noetherian whenever R is Noetherian.

Let  $I \subseteq R[x]$  be an ideal. We wish to find a finite set of generators for I.

Let  $F = a_d x^d + \cdots + a_1 x + a_0 \in R[x]$  with  $a_d \neq 0$ . We call  $a_d$  the leading coefficient of F. Let J be the set of leading coefficients of polynomials in I. Then,  $J \subseteq R$  is an ideal, so there are polynomials  $F_1, \ldots, F_r \in I$  whose leading coefficients generate J.

Select N larger than the degree of each  $F_i$ . For each  $m \le N$ , let  $J_m$  be the ideal in R consisting of all leading coefficients of polynomials  $F \in I$  with  $deg(F) \le m$ . Let  $\{F_{m_j}\}$  be the finite set of polynomials in I with degree  $\le m$  such that their leading coefficients generate  $J_m$ . Let I' be the ideal generated by  $F_i$  and  $F_{m_j}$  for each  $i, m_j$ . It is enough to show that I = I'.

Suppose  $I' \subsetneq I$ . Let G be an element of I of minimal degree such that  $G \notin I'$ . If deg(G) > N, then we may find  $Q_i$  such that  $\sum Q_i F_i$  and G have the same leading term. However, this means  $deg(G - \sum Q_i F_i) < deg(G)$ , so  $G - \sum Q_i F_i \in I'$ , meaning  $G \in I'$ . Similarly, if  $deg(G) = m \leqslant N$ , then we may lower the degree by subtracting  $\sum Q_j F_{m_j}$  for some  $Q_j$ .

**Exercise** (Exercise 1.22): Let I be an ideal in a ring R,  $\pi$ : R  $\rightarrow$  R/I the canonical projection.

- (a) Show that for every ideal  $J' \subseteq R/I$ , that  $\pi^{-1}(J') = J$  is an ideal of R containing I. Furthermore, show that for every ideal  $J \subseteq R$ , that  $\pi(J) = J'$  is an ideal of R/I. This establishes a natural correspondence between ideals of R/I and ideals of R that contain I.
- (b) Show that J' is a radical ideal if and only if J is radical. Similarly, show this for J prime and maximal.
- (c) Show that J' is finitely generated if J is. Conclude that R/I is Noetherian if R is Noetherian. Thus, we get that  $k[x_1, \ldots, x_n]/I$  is Noetherian for any ideal  $I \subseteq k[x_1, \ldots, x_n]$  by the Hilbert Basis Theorem.

# Irreducible Components of an Algebraic Set

Exercise (Exercise 1.25):

(a) Show that 
$$V(y-x^2) \subseteq \mathbb{A}^2(\mathbb{C})$$
 is irreducible; in fact,  $I(V(y-x^2)) = \langle y-x^2 \rangle$ .

# Algebraic Subsets of the Plane

**Exercise** (Exercise 1.30): Let  $k = \mathbb{R}$ .

- (a) Show that  $I(V(x^2 + y^2 + 1)) = \langle 1 \rangle$ .
- (b) Show that every algebraic subset of  $\mathbb{A}^2(\mathbb{R})$  is equal to V(F) for some  $F \in \mathbb{R}[x,y]$ .