## Introduction

Oh hey, it's another one of these independent studies. Me and a friend are going to be going through William Fulton's *Algebraic Curves*. It will be hard, it will be long, and it might not work out for me, but who cares.

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# **Affine Algebraic Sets**

## **Algebraic Preliminaries**

We will assume all rings are commutative with unity, where  $\mathbb Z$  is the integers,  $\mathbb Q$  is the rationals,  $\mathbb R$  is the reals, and  $\mathbb C$  is the complex numbers.

Any integral domain R has a quotient field K, which contains R as a subring, and any element in K may be written as a not necessarily unique ratio of two elements of R. Any one-to-one ring homomorphism from R to a field L extends uniquely to a ring homomorphism from K to L.

If R is a ring, then R[x] is the ring of polynomials with coefficients in R. The degree of a nonzero polynomial  $\sum a_i x^i$  is the largest integer d such that  $a_d \neq 0$ . The polynomial is monic if  $a_d = 1$ .

The ring of polynomials in n variables over R is  $R[x_1, \ldots, x_n]$ . We write R[x, y] and R[x, y, z] if n = 2 and 3 respectively. Monomials in  $R[x_1, \ldots, x_n]$  are of the form  $x^{(i)} := x_1^{i_1} x_2^{i_2} \cdots x_n^{i_n}$ , where  $i_j$  are nonnegative integers, and the degree of the monomial is  $i_1 + \cdots i_n$ . Every  $F \in R[x_1, \ldots, x_n]$  has a unique expression  $F = \sum a_{(i)} x^{(i)}$ , where  $x^{(i)}$  are monomials, and  $a_{(i)} \in R$ . We say F is homogeneous of degree d if all  $a_{(i)}$  are zero except for monomials of degree d. The polynomial F is written as  $F = F_0 + F_1 + \cdots + F_d$ , where  $F_i$  is a form of degree i, and  $d = \deg(F)$  for  $F_d \neq 0$ .

The ring R is a subring of  $R[x_1,...,x_n]$ , and the ring  $R[x_1,...,x_n]$  is characterized by the following: if  $\varphi \colon R \to S$  is a ring homomorphism, and  $s_1,...,s_n$  are elements in S, then there is a unique extension

of  $\varphi$  to a ring homomorphism  $\overline{\varphi}$ :  $R[x_1, ..., x_n] \to S$  such that  $\overline{\varphi}(x_i) = s_i$ . The image of F under  $\overline{\varphi}$  is written  $F(s_1, ..., s_n)$ . The ring  $R[x_1, ..., x_n]$  is canonically isomorphic to  $R[x_1, ..., x_{n-1}][x_n]$ .

An element  $a \in R$  is called irreducible if it is not a unit or zero, and any factorization a = bc with  $b, c \in R$  is such that either b or c is a unit. A domain R is a unique factorization domain (UFD) if every nonzero element in R can be factored uniquely up to units and ordering.

If R is a UFD with quotient field K, then any irreducible element  $F \in R[x]$  remains irreducible when considered in K[x].

**Theorem** (Gauss's Lemma for  $\mathbb{Z}$ ): If  $F \in \mathbb{Z}[x]$  is a monic polynomial that is irreducible, then F is irreducible in  $\mathbb{Q}[x]$ .

If F and G are polynomials in R[x] with no common factors in R[x], then they have no common factors in K[x].

If R is a UFD, then R[x] is also a UFD, and consequently  $k[x_1,...,x_n]$  is a UFD for any field k. The quotient field of  $k[x_1,...,x_n]$  is written  $k(x_1,...,x_n)$  is called the field of rational functions in n variables over k.

If  $\varphi \colon R \to S$  is a ring homomorphism,  $\ker(\varphi) \coloneqq \varphi^{-1}(0)$ . The kernel is an ideal in R. An ideal in R is proper if  $I \neq R$ , and a proper ideal is known as maximal if it is not contained in any larger proper ideal. An ideal  $\mathfrak{p}$  is prime if, whenever  $\mathfrak{ab} \in \mathfrak{p}$ , then  $\mathfrak{a} \in \mathfrak{p}$  or  $\mathfrak{b} \in \mathfrak{p}$ .

Let k be a field and I a proper ideal in  $k[x_1, \ldots, x_n]$ . The canonical homomorphism  $\pi$  from  $k[x_1, \ldots, x_n]$  to  $k[x_1, \ldots, x_n]/I$  restricts to a ring homomorphism from k to  $k[x_1, \ldots, x_n]/I$ . We regard k as a subring of  $k[x_1, \ldots, x_n]/I$ , which is a vector space over k.

If R is an integral domain, then char(R), the characteristic of R, is the smallest integer p such that

$$\underbrace{1+1\cdots+1}_{p \text{ times}} = 0.$$

If p exists, we say char(R) = p, else 0.

Note that if  $\varphi \colon \mathbb{Z} \to R$  is the unique ring homomorphism from  $\mathbb{Z}$  to  $R^{III}$  then  $\ker(\varphi) = \langle p \rangle$ , so  $\operatorname{char}(R)$  is prime or 0.

If R is a ring, and  $F \in R[x]$ , and a is a root of F, then F = (x - a)G for some unique polynomial  $G \in R[x]$ . A field k is algebraically closed if any nonconstant  $F \in k[x]$  has a root.

**Exercise** (Exercise 1.1): Let R be an integral domain.

- (a) If F and G are forms of degree r and s respectively in  $R[x_1, ..., x_n]$ , show that FG is a form of degree r + s.
- (b) Show that any factor of a form in  $R[x_1, ..., x_n]$  is also a form.

## **Solution:**

(a) Let H = FG, where F is a form of degree r and G is a form of degree s. Note that since F and G are forms, we know that  $F = F_r$ , where  $F_r$  is the form with degree r, and  $G = G_s$ , where  $G_s$  is the form with degree s.

**Exercise** (Exercise 1.2): Let R be a UFD and K the quotient field of R. Show that every element  $z \in K$  may be written as z = a/b, where  $a, b \in R$  have no common factors. This representative is unique up to units of R.

**Solution:** Since K = Frac(R), we know that every  $z \in K$  is of the form  $z = \frac{a}{b}$ . Since R a unique factorization domain,

<sup>&</sup>lt;sup>1</sup>Alternatively, an ideal I is maximal if the quotient ring R/M is a field.

<sup>&</sup>lt;sup>II</sup>Alternatively, an ideal  $\mathfrak{p}$  is prime if  $R/\mathfrak{p}$  is an integral domain.

 $<sup>{}^{\</sup>text{III}}\text{This}$  is because  $\mathbb Z$  is initial in the category of rings. See Aluffi.

gcd(a, b) is unique and well-defined. Set  $c \cdot gcd(a, b) = a$  and  $d \cdot gcd(a, b) = b$ . Then,

$$z = \frac{a}{b}$$

$$= \frac{c \cdot \gcd(a, b)}{d \cdot \gcd(a, b)}$$

$$= \frac{c}{d}.$$

We show that this is unique up to units. Suppose

$$z = \frac{c}{d}$$
$$= \frac{c'}{d'}$$

Then, by the properties of the field of fractions, we know that

$$c'd = cd'$$

and since R is a UFD, we know that gcd(c, d) = gcd(c', d') = 1, so  $c = u_1c'$  and  $d = u_2d'$ .

Exercise (Exercise 1.3): Let R be a principal ideal domain, and let P be a nonzero proper prime ideal in R.

- (a) Show that P is generated by an irreducible element.
- (b) Show that P is maximal.

### Solution:

(a) Since P is principal, we know that  $P = \langle a \rangle$  for some  $a \in R$ . We know that a cannot be a unit, as otherwise P = R, contradicting the assumption that P is proper, and that  $a \neq 0$  as P is not zero.

Suppose toward contradiction that  $\langle a \rangle \subsetneq \langle b \rangle$  for some  $b \in R$ . Then, a = bc for some  $c \in R$ . If  $c \notin \langle a \rangle$ , then since  $\langle a \rangle$  is prime, we must have  $b \in \langle a \rangle$ , contradicting strict inclusion. Thus,  $c \in \langle a \rangle$ , so c = at for some  $t \in R$ . Therefore, we have a = abt, so  $bt = 1_R$ , and  $\langle b \rangle = R$ .

(b) Since R is a PID, and P is prime, we know that  $P = \langle \alpha \rangle$  is generated by an irreducible element. Thus, if  $\langle \alpha \rangle \subseteq \langle b \rangle$ , then  $\alpha = bc$  for some  $c \in R$ . Since we have unique factorization (as all PIDs are UFDs), and  $\alpha$  is irreducible, this means either b or c is a unit. If b is a unit, then  $\langle b \rangle = R$ , and if c is a unit, then  $\langle b \rangle = \langle \alpha \rangle$ . Thus,  $\langle \alpha \rangle$  is maximal.

**Exercise** (Exercise 1.4): Let k be an infinite field,  $f \in k[x_1, ..., x_n]$ . Suppose  $F(a_1, ..., a_n) = 0$  for all  $a_1, ..., a_n \in k$ . Show that f = 0.

Exercise (Exercise 1.5): Let k be any field. Show that there are an infinite number of irreducible monic polynomials in k[x].

**Solution:** Suppose  $F_1, \ldots, F_n$  were all the irreducible monic polynomials in k[x]. Consider the polynomial  $P = F_1 F_2 \cdots F_n + 1$ . We note that P is monic. We will show that P is irreducible.

Suppose toward contradiction that P were reducible. We know that k[x] is a principal ideal domain, so  $P \in \langle F_i \rangle$  for some irreducible monic  $F_i$ . However, we know that, for any  $F_i$ ,  $1 \le i \le n$ ,  $P \nmid F_i$ , as, applying the division algorithm to P, we get

$$P = (F_i) \prod_{j \neq i} F_j + 1,$$

where  $r \neq 0$ . Thus, P is not reducible and monic, so there are infinitely many irreducible monic polynomials in k[x].

**Exercise** (Exercise 1.6): Show that any algebraically closed field is infinite.

**Solution:** Note that if k is any field, then there are infinitely many irreducible monic polynoimals in k[x]. If k is algebraically closed, then (x - a), for  $a \in k$ , is the only irreducible monic polynomial. Since there are infinitely many irreducible monic polynomials in k[x], there are infinitely many  $a \in k$  such that (x - a) is irreducible in k[x]. Thus, k is infinite.

**Exercise** (Exercise 1.7): Let k be any field, and  $F \in k[x_1, ..., x_n]$ , with  $a_1, ..., a_n \in k$ .

(a) Show that

$$F = \sum \lambda_{(i)} (x_1 - a_1)^{i_1} \cdots (x_n - a_n)^{i_n}$$
,

where  $\lambda_{(i)} \in k$ .

(b) If  $F(\alpha_1,\ldots,\alpha_n)=0$ , show that  $F=\sum_{i=1}^n(x_i-\alpha_i)G_i$  for some not necessarily unique  $G_i\in k[x_1,\ldots,x_n]$ .

### **Solution:**

(a) We let

$$G = F(x_1 + a_1, x_2 + a_2, ..., x_n + a_n).$$

Then, since  $G \in k[x_1, ..., x_n]$ , we have

$$\mathsf{G} = \sum \lambda_{(\mathfrak{i})} x_1^{\mathfrak{i}_1} \cdots x_n^{\mathfrak{i}_n}.$$

Then, we have

$$F = \sum \lambda_{(i)} (x_1 - \alpha_1)^{i_1} \cdots (x_n - \alpha_n)^{i_n}.$$

(b) Note that if  $F(a_1, \ldots, a_n) = 0$ , then  $(x_i - a_i) \mid F(a_1, \ldots, a_{i-1}, x_i, a_{i+1}, \ldots, a_n)$ . Thus, we have

$$F(\alpha_1,\ldots,\alpha_{i-1},x_i,\alpha_{i+1},\ldots,\alpha_n) = (x_i-\alpha_i)\underbrace{g(\alpha_1,\ldots,\alpha_{i-1},x_i,\alpha_{i+1},\ldots,\alpha_n)}_{G_i}.$$

This yields

$$F(x_1,...,x_n) = \sum_{i=1}^n (x_i - a_i)G_i.$$

## Affine Space and Algebraic Sets

**Definition.** If k is a field, then when we write  $\mathbb{A}^n(k)$ , or  $\mathbb{A}^n$ , to be the cartesian product of k with itself n times.

We call  $\mathbb{A}^n(k)$  the affine n-space over k. Its elements are called points. We call  $\mathbb{A}^1(k)$  the affine line and  $\mathbb{A}^2(k)$  the affine plane.

**Definition.** If  $F \in k[x_1, ..., x_n]$ , then  $P = (a_1, ..., a_n) \in \mathbb{A}^n(k)$  is called a zero of F if  $F(P) = (a_1, ..., a_n) = 0$ .

If F is not constant, then the zeros of F are called the hypersurface defined by F, defined by V(F). A hypersurface in  $\mathbb{A}^2(k)$  is called an affine plane curve.

If F is a polynomial of degree 1, then V(F) is called a hyperplane in  $\mathbb{A}^n(k)$ ; if n = 2, then an affine hyperplane is a line.

**Definition.** If S is any set of polynomials in  $k[x_1, ..., x_n]$ , then  $V(S) = \{P \in \mathbb{A}^n \mid F(P) = 0 \text{ for all } F \in S\}$ . In other words,  $V(S) = \bigcap_{F \in S} V(F)$ . If  $S = \{F_1, ..., F_r\}$ , we write  $V(F_1, ..., F_r)$ .

A subset  $X \subseteq \mathbb{A}^n(k)$  is an affine algebraic set (or algebraic set) if X = V(S) for some S.

# **Proposition:**

- (1) If I is the ideal in  $k[x_1, ..., x_n]$  generated by S, then V(S) = V(I); thus, every algebraic set is equal to V(I) for some ideal I.
- (2) If  $\{I_{\alpha}\}$  is a collection of ideals, then  $V(\bigcup_{\alpha} I_{\alpha}) = \bigcap_{\alpha} V(I_{\alpha})$ .

- (3) If  $I \subseteq J$ , then  $V(I) \supseteq V(J)$ .
- (4) For any polynomials F, G,  $V(FG) = V(F) \cup V(G)$ . Furthermore,  $V(I) \cup V(J) = V(\{FG \mid F \in I, G \in J\})$ .
- (5) We have that  $V(0) = \mathbb{A}^n(k)$ ,  $V(1) = \emptyset$ ,  $V(x_1 a_1, ..., x_n a_n) = \{(a_1, ..., a_n)\}$  for  $a_i \in k$ . Thus, any finite subset of  $\mathbb{A}^n(k)$  is an algebraic set.

**Exercise** (Exercise 1.8): Show that the algebraic subsets of  $\mathbb{A}^1(k)$  are just the finite subsets together with  $\mathbb{A}^1(k)$  itself.

**Solution:** Since k[x] is a principal ideal domain, we know that the zero set V(S) for any  $S \subseteq k[x]$  is of the form  $V(\langle f \rangle) = V(f)$ , where  $f \in k[x]$ . Since f is a polynomial, f has finitely many roots, so there are finitely many elements in the algebraic subset.

Additionally, since  $0 \in k[x]$ , we know that k is also an algebraic subset.

**Exercise** (Exercise 1.14): Let F be a nonconstant polynomial in  $k[x_1, ..., x_n]$ , where k is algebraically closed. Show that  $\mathbb{A}^n(k) \setminus V(F)$  is infinite if  $n \ge 1$  and that V(F) is infinite if  $n \ge 2$ . Conclude that the complement of any proper algebraic set is infinite.

**Solution:** We know that k is infinite as k is algebraically closed.

Let 
$$F \in k[x_1, ..., x_n] \cong k[x_1, ..., x_{n-1}][x_n]$$
.

In the base case with n=1, we know that there are finitely many roots in  $\mathbb{A}^1(k)$ , so we have the base case. If  $n \ge 2$ , then we write  $F = \sum G_i x_n^i$ . We know that since F is nonzero, then there is at least one nonzero  $G_i$ . We showed in Exercise 1.4 that there is some  $a_1, \ldots, a_{n-1} \in k$  such that  $G_i(a_1, \ldots, a_{n-1}) \ne 0$ . Thus,  $F(a_1, \ldots, a_{n-1}, x_n)$  is not the zero polynomial, meaning there are finitely many roots, and thus infinitely many non-roots.

Thus, there are infinitely many  $a_1, \ldots, a_n \in k$  with  $a_1, \ldots, a_n \neq 0$ .

We write  $F = \sum G_i x_n^i$ . We know that if all the  $G_i$  are constant, then we have a single-variable polynomial in  $x_n$ , and any choice of  $a_1, \ldots, a_{n-1} \in k$  provide other elements of V(F). We assume that there is some  $G_i$  that is a nonconstant polynomial in  $x_1, \ldots, x_{n-1}$ .

Since  $G_i$  is nonzero, we may use the previous paragraph to state that  $G_i$  has infinitely many non-roots, and for each choice of those  $a_1, \ldots, a_{n-1}$ , we have a polynomial in  $x_n$ . This polynomial has a root, meaning there are infinitely many roots.

**Exercise** (Exercise 1.15): Let  $V \subseteq \mathbb{A}^n(k)$  and  $W \subseteq \mathbb{A}^m(k)$  be algebraic sets. Show that

$$V \times W = \{(a_1, \dots, a_n, b_1, \dots, b_m) \mid (a_1, \dots, a_n) \in V, (b_1, \dots, b_m) \in W\}$$

is an algebraic set in  $\mathbb{A}^{n+m}(k)$ . It is called the product of V and W.

**Solution:** Consider the set of polynomials in  $k[x_1, \ldots, x_n, x_{n+1}, \ldots, x_{n+m}]$  given by  $P = F(x_1, \ldots, x_n) + G(x_{n+1}, \ldots, x_m)$ , where F is a polynomial in the ideal whose algebraic set is V and G is an ideal in the algebraic set whose ideal is W. Then, the collection of zeros are those of the form  $(a_1, \ldots, a_n, b_1, \ldots, b_m)$ , where  $(a_1, \ldots, a_n) \in V$  and  $(b_1, \ldots, b_m) \in W$ .

**Solution** (A Real Solution): We have that V and W are defined by  $\{F_1, \ldots, F_r\}$  and  $\{G_1, \ldots, G_s\}$  for some polynomials. We define  $V \times W$  to be the algebraic set defined by the polynomials in  $\{F_1, \ldots, F_r, G_1, \ldots, G_s\}$  that are constant with respect to the other variables.

## The Ideal of a Set of Points

**Definition.** If  $X \subseteq \mathbb{A}^n(k)$ , then the polynomials that vanish on X form an ideal in  $k[x_1, \dots, x_n]$ , called the ideal of X, or I(X).

$$I(X) := \{F \in k[x_1, \dots, x_n] \mid F(\alpha_1, \dots, \alpha_n) = 0 \text{ for all } (\alpha_1, \dots, \alpha_n) \in X\}.$$

The following hold.

- If  $X \subseteq Y$ , then  $I(X) \supseteq I(Y)$ .
- We have  $I(\emptyset) = k[x_1, \dots, x_n]$ ,  $I(\mathbb{A}^n(k)) = \langle 0 \rangle$  if k is infinite, and  $I(\{(a_1, \dots, a_n)\}) = \langle x_1 a_1, \dots, x_n a_n \rangle$  for  $a_1, \dots, a_n \in k$ .
- We have  $I(V(S)) \supseteq S$  for any set S of polynomials, and  $V(I(X)) \supseteq X$  for any set X of points.
- We have V(I(V(S))) = V(S) for any set of polynomials S, and I(V(I(X))) = I(X) for any set X of points. If V is an algebraic set, V = V(I(V)) and if I is the ideal of an algebraic set, then I = I(V(I)).

**Definition.** If I is any ideal in a ring R, we define the radical of I, written  $rad(I) = \{a^n \mid a \in I \text{ for some } n > 0\}$ . We have that rad(I) is an ideal containing I. An ideal I is called a radical ideal if I = rad(I).

• We have I(X) is a radical ideal for any  $X \subseteq \mathbb{A}^n(k)$ .

**Exercise** (Exercise 1.16): Let V and W be algebraic sets in  $\mathbb{A}^n(k)$ . Show that V = W if and only if I(V) = I(W).

**Solution:** Let V = W. Then, if  $F \in I(V)$ , then F = 0 on W, so  $F \in I(W)$ , and vice versa.

Suppose I(V) = I(W). We know that V(I(V)) = V and V(I(W)) = W. Thus, if  $(\alpha_1, \ldots, \alpha_n) \in V$ , we know that for all  $F \in I(W)$ , that  $F(\alpha_1, \ldots, \alpha_n) = 0$  as  $F \in I(V)$ , meaning  $(\alpha_1, \ldots, \alpha_n) \in V(I(W)) = W$ . By symmetry, we have V = W.

## Exercise (Exercise 1.17):

- (a) Let V be an algebraic set in  $\mathbb{A}^n(k)$  and  $P \in \mathbb{A}^n(k)$  not a point in V. Show that there is a polynomial  $F \in k[x_1, \dots, x_n]$  such that F(Q) = 0 for all  $Q \in V$  but F(P) = 1.
- (b) Let  $P_1, ..., P_r$  e distinct points in  $\mathbb{A}^n(k)$  not in an algebraic set V. Show that there are polynomials  $F_1, ..., F_r \in I(V)$  such that  $F_i(P_i) = \delta_{ij}$ .
- (c) With  $P_1, \ldots, P_r$  and V as in (b), and  $a_{ij} \in k$  for  $1 \le i, j \le r$ , show that there are  $G_i \in I(V)$  such that  $G_i(P_j) = a_{ij}$  for all i and j.

## Solution:

- (a) We know that there is some  $F \in I(V)$  such that  $F(P) \neq 0$ . Letting a = F(P), we have that  $\frac{1}{a}F(P) = 1$ .
- (b) We find  $F_i \in I(V \cup \{P_{-i}\})$ , where  $\{P_{-i}\} = \{P_1, \dots, P_r\} \setminus \{P_i\}$ . Applying (a) to  $F_i$ , we get that  $F_i(P_i) = 1$  and  $F_i(P_i) = 0$  for  $i \neq i$ . By symmetry, this holds for  $F_1, \dots, F_r$ .
- (c) With  $P_1, \ldots, P_r$  and V as in (b), find  $F_1, \ldots, F_r$  as in (b). Then,  $G_i = \sum_i a_{ij} F_i$  yields our desired outcome.

**Exercise** (Exercise 1.18): Let I be an ideal in a ring R. If  $a^n \in I$  and  $b^m \in I$ , show that  $(a + b)^{n+m} \in I$ . Show that rad(I) is a (radical) ideal. Show that any prime ideal is radical.

## **Solution:**

· Applying binomial theorem, we have

$$(a+b)^{n+m} = \sum_{k=0}^{n+m} {n+m \choose k} a^{n+m-k} b^k$$

$$\in I,$$

where  $a^0 = b^0 := 1$ .

- We have  $I \subseteq rad(I)$ , since we can take n = 1. If  $a, b \in rad(I)$ , we know that there is some n such that  $a^n, b^m \in I$ , so by the same logic as above,  $(a b)^{n+m} \in I$ , meaning  $a b \in rad(I)$ . Now, if  $a \in rad(I)$  and  $x \in R$ , then we have that  $a^n \in I$  for some n, meaning  $x^n a^n \in I$  as I is an ideal, so  $(xa)^n \in I$ , so  $xa \in rad(I)$ , so rad(I) is an ideal
- Let I be prime, and let  $a \in rad(I)$ . Then,  $a^n \in I$  for some n > 0, meaning  $(a)(a^{n-1}) \in I$ . Then, either  $a \in I$ , or  $a^{n-1} \in I$ , so by the implicit inductive hypothesis, we have  $a \in I$ , so  $rad(I) \subseteq I$ , so rad(I) = I.

**Exercise** (Exercise 1.20): Show that for any ideal I in  $k[x_1, ..., x_n]$ , V(I) = V(rad(I)), and  $rad(I) \subseteq I(V(I))$ .

### Solution:

• Clearly,  $V(\text{rad}(I)) \subseteq V(I)$  because  $I \subseteq \text{rad}(I)$ . We know that if  $P \in V(I)$ , then there is some polynomial  $F \in I$  such that F(P) = 0.

**Exercise** (Exercise 1.21): Show that any  $I = \langle x_1 - a_1, \dots, x_n - a_n \rangle \subseteq k[x_1, \dots, x_n]$  is a maximal ideal, and that the natural homomorphism from k to  $k[x_1, \dots, x_n]/I$  is an isomorphism.

**Solution:** Note that  $\langle x_1 - a_1, \dots, x_n - a_n \rangle \subseteq k[x_1, \dots, x_n]$  is isomorphic to  $\langle x_1, \dots, x_n \rangle \subseteq k[x_1 + a_1, \dots, x_n + a_n]$ ,  $k[x_1, \dots, x_n]/I \cong k$ .

## The Hilbert Basis Theorem

Earlier, we allowed any algebraic set V(S) to be defined by an arbitrary set  $\{F_i\}_{i\in I}\subseteq k[x_1,\ldots,x_n]$ . However, the Hilbert Basis Theorem will show that a finite number will do.

**Theorem:** Every algebraic set is the intersection of a finite number of hypersurfaces.

*Proof.* We know that V(I) is the algebraic set for some  $I \subseteq k[x_1, ..., x_n]$ . It is enough to show that I is finitely generated, as if  $I = \langle F_1, ..., F_n \rangle$ , then  $V(I) = V(F_1) \cap \cdots \cap V(F_n)$ .

Now, to prove this, we need to show that any arbitrary ideal  $I \subseteq k[x_1, ..., x_n]$  is finitely generated. This is where the Hilbert Basis Theorem comes into play.

**Definition.** If R is a commutative ring, with identity, we say R is Noetherian if every ideal of R is finitely generated.

Note that all PIDs are Noetherian.

Now, we may state and prove the Hilbert Basis Theorem.

**Theorem** (Hilbert Basis Theorem): If R is a Noetherian ring, then  $R[x_1, ..., x_n]$  is a Noetherian ring.

*Proof.* Since  $R[x_1,...,x_n]$  is canonically isomorphic to  $R[x_1,...,x_{n-1}][x_n]$ . The theorem will follow by induction if we can prove that R[x] is Noetherian whenever R is Noetherian.

Let  $I \subseteq R[x]$  be an ideal. We wish to find a finite set of generators for I.

Let  $F = a_d x^d + \cdots + a_1 x + a_0 \in R[x]$  with  $a_d \neq 0$ . We call  $a_d$  the leading coefficient of F. Let J be the set of leading coefficients of polynomials in I. Then,  $J \subseteq R$  is an ideal, so there are polynomials  $F_1, \ldots, F_r \in I$  whose leading coefficients generate J.

Select N larger than the degree of each  $F_i$ . For each  $m \le N$ , let  $J_m$  be the ideal in R consisting of all leading coefficients of polynomials  $F \in I$  with  $deg(F) \le m$ . Let  $\{F_{m_j}\}$  be the finite set of polynomials in I with degree  $\le m$  such that their leading coefficients generate  $J_m$ . Let I' be the ideal generated by  $F_i$  and  $F_{m_j}$  for each  $i, m_j$ . It is enough to show that I = I'.

Suppose  $I' \subsetneq I$ . Let G be an element of I of minimal degree such that  $G \notin I'$ . If deg(G) > N, then we may find  $Q_i$  such that  $\sum Q_i F_i$  and G have the same leading term. However, this means  $deg(G - \sum Q_i F_i) < deg(G)$ , so  $G - \sum Q_i F_i \in I'$ , meaning  $G \in I'$ . Similarly, if  $deg(G) = m \leqslant N$ , then we may lower the degree by subtracting  $\sum Q_i F_{m_i}$  for some  $Q_i$ .

**Exercise** (Exercise 1.22): Let I be an ideal in a ring R,  $\pi$ : R  $\rightarrow$  R/I the canonical projection.

- (a) Show that for every ideal  $J' \subseteq R/I$ , that  $\pi^{-1}(J') = J$  is an ideal of R containing I. Furthermore, show that for every ideal  $J \subseteq R$ , that  $\pi(J) = J'$  is an ideal of R/I. This establishes a natural correspondence between ideals of R/I and ideals of R that contain I.
- (b) Show that J' is a radical ideal if and only if J is radical. Similarly, show this for J prime and maximal.
- (c) Show that J' is finitely generated if J is. Conclude that R/I is Noetherian if R is Noetherian. Thus, we get that  $k[x_1,...,x_n]/I$  is Noetherian for any ideal  $I \subseteq k[x_1,...,x_n]$  by the Hilbert Basis Theorem.

#### Solution:

(a) We know that  $I \subseteq \pi^{-1}(J')$ , as  $I = \pi^{-1}(0 + I) \subseteq \pi^{-1}(J')$ . Notice that, if  $a, b \in \pi^{-1}(J')$  and  $r \in R$ , then  $a + I, b + I \in J'$  and  $r + I \in R/I$ . Then,  $a - b + I \in J'$ , so  $a - b \in \pi^{-1}(J')$ , and  $ra + I \in J'$ , so  $ra \in \pi^{-1}(J')$ , so  $\pi^{-1}(J')$  is an ideal of R.

Now, let a+I,  $b+I\in\pi(J)$ . Then, we know that there exist  $c_1,c_2\in J$  such that  $a-c_1,b-c_2\in I$ . Thus,  $(a-b)+(c_2-c_1)\in I$ . Since we have  $c_2-c_1\in J$  as J is an ideal, so  $\pi(a-b)=\pi(c_2-c_1)$ , and  $(a-b)+I\in\pi(J)$ . Now, let  $a+I\in\pi(J)$ , and let  $r+I\in R/I$ . Then, there exist  $c_1\in R$ ,  $c_2\in J$  such that  $r-c_1\in I$  and  $a-c_2\in I$ , meaning that  $\pi(c_1c_2)=\pi(ra)=ra+I\in\pi(J)$ .

(b) Let J be maximal. Then,  $R/J \cong (R/I)/(\pi(J))$ , is a field, meaning  $\pi(J) \subseteq R/I$  is also maximal. This gives both directions.

Similarly, if J is prime, then  $R/J \cong (R/I)/(\pi(J))$  is an integral domain, so  $\pi(J) \subseteq R/I$  is also an integral domain. This gives both directions.

Let J be a radical ideal. Then,  $J = \bigcap \{ \mathfrak{p} \mid J \subseteq \mathfrak{p}, \mathfrak{p} \text{ is prime} \}$ . We know that for all  $\mathfrak{p}, \pi(\mathfrak{p}) \subseteq R/I$  is prime. We know that  $\pi(J) \subseteq \pi(\mathfrak{p})$  if and only if  $J \subseteq \mathfrak{p}$ , so  $\pi(J) = \bigcap \{\pi(\mathfrak{p}) \mid J \subseteq \mathfrak{p}, \mathfrak{p} \text{ is prime} \}$ . In the reverse direction, we se that if  $\mathfrak{a} \in \pi^{-1}(J)$ , then  $\mathfrak{a} + I \in J$ , so  $\mathfrak{a}^n + I \in J$  for some  $\mathfrak{n} \in \mathbb{N}$ , so  $\mathfrak{a}^n \in \pi^{-1}(J)$ , so  $\pi^{-1}(J)$  is a radical ideal.

(c) Letting  $\langle a_1, \dots, a_n \rangle = J$ , then we know that  $\langle \pi(a_1), \dots, \pi(a_n) \rangle = \pi(J)$ . Thus,  $\pi(J)$  is finitely generated.

Since R is an ideal, if R is Noetherian, then R/I is Noetherian, so by the Hilbert Basis Theorem, any ring of the form  $k[x_1, ..., x_n]/I$  is Noetherian.

## Irreducible Components of an Algebraic Set

An algebraic set can be the union of several smaller algebraic sets. If  $V \subseteq \mathbb{A}^n$  is such that  $V = V_1 \cup V_2$ , where  $V_1, V_2$  are algebraic sets and  $V_i \neq V$  for each i, then we say V is reducible. Else, we say V is irreducible.

**Proposition:** An algebraic set V is irreducible if and only if I(V) is prime.

*Proof.* If I(V) is not prime, then we have  $F_1F_2 \in I(V)$  with  $F_i \notin I(V)$ . Then,  $V = (V \cap V(F_1)) \cup (V \cap V(F_2))$ , with  $V \cap V(F_i) \subsetneq V$ , meaning V is irreducible.

If  $V = V_1 \cup V_2$  with  $V_i \subseteq V$ , then  $I(V_i) \supseteq I(V)$ . Let  $F_i \in I(V_i)$  with  $F_i \notin I(V)$ . Then,  $F_1F_2 \in I(V)$ , so I(V) is not prime.

Now, we want to show that an algebraic set is a finite union of irreducible algebraic sets. To see this, we need to show an equivalent definition of a Noetherian ring.

**Lemma:** Let  $\mathcal{I}$  be a nonempty collection of ideals in a Noetherian ring R. Then,  $\mathcal{I}$  has a maximal member.

*Proof.* We will choose an ideal from each subset of  $\mathfrak{I}$ . Letting  $I_0$  be the chosen ideal for  $\mathfrak{I}$  itself, we let  $\mathfrak{I}_1 = \{I \in \mathfrak{I} \mid I \supseteq I_0\}$ , with  $I_1$  as the chosen ideal of  $\mathfrak{I}_1$ . Continuing, we define

$$\mathfrak{I}_{\mathfrak{j}}=\big\{\mathrm{I}\in\mathfrak{I}\ \big|\ \mathrm{I}\supsetneq\mathrm{I}_{\mathfrak{j}-1}\big\},$$

and select  $I_j \in \mathcal{I}_j$ . It suffices to show that some  $\mathcal{I}_n$  is empty.

Define  $I = \bigcup_{n=0}^{\infty} I_n$  to be an ideal of R, and let  $F_1, \ldots, F_r$  be generators of I. We must have  $F_i \in I_n$  for all i if n is sufficient large. Then,  $I_n = I$ , meaning  $I_{n+1} = I_n$ , which is a contradiction.

Effectively, we have shown that every Noetherian ring satisfies the ascending chain condition on its ideals.

It follows that any collection of algebraic sets  $\{V_{\alpha}\}$  in  $\mathbb{A}^{n}(k)$  has a minimal element, by selecting the maximal member of  $\{I(V_{\alpha})\}$ .

**Theorem:** Let V be an algebraic set in  $\mathbb{A}^n(k)$ . Then, there rae unique irreducible algebraic sets  $V_1, \ldots, V_m$  such that  $V = V_1 \cup \cdots \cup V_m$ , and  $V_i \not\subseteq V_j$  for all  $i \neq j$ .

*Proof.* Let  $\mathcal{I}$  be the set of algebraic sets in  $\mathbb{A}^n(k)$  such that V is not the union of a finite number of irreducible algebraic sets. We wish to show that  $\mathcal{I}$  is empty.

If not, let V be a minimal member of  $\mathcal{I}$ . Since  $V \in \mathcal{I}$ , V is not irreducible, so  $V = V_1 \cup V_2$  with  $V_i \subsetneq V$ , meaning  $V_i \notin \mathcal{I}$ , so  $V_i = V_{i,1} \cup \cdots V_{i,m_i}$ , with  $V_{i,j}$  irreducible. However,  $V = \bigcup_{i,j} V_{i,j}$ , which is a finite union.

Thus, any algebraic set V may be written as  $V = V_1 \cup \cdots \cup V_m$  with  $V_i$  irreducible. To obtain the second condition, we may discard any  $V_i$  with  $V_i \subseteq V_j$  with  $i \neq j$ .

To show uniqueness, let  $V = W_1 \cup \cdots \cup W_m$  be another decomposition. Then,  $V_i = \bigcup_j (W_j \cap V_i)$ , so  $V_i \subseteq W_{j(i)}$  for some j(i). Similarly,  $W_{j(i)} \subseteq V_k$  for some k. However, this means  $V_i \subseteq V_k$ , so i = k, so  $V_i = W_{j(i)}$ . Likewise,  $W_j = V_{i(j)}$  for some i(j).

We call  $V_i$  the irreducible components of V, and  $V = V_1 \cup \cdots \cup V_m$  is the decomposition of V into irreducible components.

Exercise (Exercise 1.25):

- (a) Show that  $V(y-x^2) \subseteq \mathbb{A}^2(\mathbb{C})$  is irreducible; in fact,  $I(V(y-x^2)) = \langle y-x^2 \rangle$ .
- (b) Decompose  $V\left(y^4-x^2,y^4-x^2y^2+xy^2-x^3\right)\subseteq \mathbb{A}^2(\mathbb{C})$  into irreducible components.

### Solution:

(a) Suppose there exists  $g \in \mathbb{C}[x, y]$  such that  $g|y - x^2$ , meaning there exists  $f \in \mathbb{C}[x, y]$  such that  $fg = y - x^2$ . Since  $y - x^2$  has degree in y equal to 1, one of either f or g has degree in y equal to zero.

Therefore, without loss of generality,  $f \in \mathbb{C}[x]$ . Then,  $g = yh_1 + h_2$ , where  $h_1, h_2 \in \mathbb{C}[x]$ . Note that  $h_1 \neq 0$ , then  $fg = fyh_1 + fh_2 = yfh_1 + fh_2$ ; since  $fh_1 \neq 0$ , we must have  $fh_1 = 1$ , so f is constant, so g is some constant multiple of  $y - x^2$ , so  $y - x^2$  is irreducible. Thus,  $\langle y - x^2 \rangle$  is maximal, hence prime, so  $I(V(y - x^2)) = \langle y - x^2 \rangle$ .

(b) Factoring, we see that both polynomials vanish whenever  $y^2 + x = 0$ . Finding all pairs, we get

$$V = V(y^{2} - x, y^{2} + x) \cup V(y^{2} - x, y - x) \cup \cdots$$
$$= V(y^{2} + x) \cup V(x - 1, y - 1) \cup V(x - 1, y + 1).$$

## **Solution:**

(a) Let  $g \in I(V)$ . Then,

$$g(x, y) = f_0(x) + (y - x^2)f_1(x, y),$$

wherein we order y > x and do polynomial long division over y. This yields  $f_0(x) = 0$  for all x, so that I(V) is prime.

**Exercise** (Exercise 1.29): Show that  $\mathbb{A}^{n}(k)$  is irreducible if k is infinite.

**Solution:** We know that any polynomial that vanishes on  $\mathbb{A}^n(k)$  is the zero polynomial, and  $k[x_1, \ldots, x_n]$  is an integral domain, so  $\langle 0 \rangle \subseteq k[x_1, \ldots, x_n]$  is a prime ideal.

# Algebraic Subsets of the Plane

We focus on the affine plane,  $\mathbb{A}^2(k)$ , and find its algebraic subsets.

It is enough to look at the irreducible algebraic subsets.

**Exercise** (Exercise 1.30): Let  $k = \mathbb{R}$ .

- (a) Show that  $I(V(x^2 + y^2 + 1)) = \langle 1 \rangle$ .
- (b) Show that every algebraic subset of  $\mathbb{A}^2(\mathbb{R})$  is equal to V(F) for some  $F \in \mathbb{R}[x,y]$ .

#### Solution:

- (a) Since  $x^2 + y^2 + 1 = 0$  if and only if  $x^2 + y^2 = -1$ , which means  $V(x^2 + y^2 + 1) = \emptyset$ . Thus,  $I(V(x^2 + y^2 + 1)) = \mathbb{R}[x, y] = \langle 1 \rangle$ .
- (b)

### **Exercise** (Exercise 1.31):

- (a) Find the irreducible components of  $V(y^2 xy x^2y + x^3)$  in  $\mathbb{A}^2(\mathbb{R})$ , and in  $\mathbb{A}^2(\mathbb{C})$ .
- (b) Do the same for  $V(y^2 x(x^2 1))$ , and for  $V(x^3 + x x^2y y)$ .

## Hilbert's Nullstellensatz

Given an algebraic set V, we have a criterion for determining whether or not V is irreducible. However, we do not have a way to describe V in terms of the set that defines V. This is what the Nullstellensatz, or zero locus theorem, will tell us.

We assume throughout this section that k is algebraically closed.

**Theorem** (Weak Nullstellensatz): If I is a proper ideal in  $k[x_1, ..., x_n]$ , then  $V(I) \neq \emptyset$ .

*Proof.* We may assume that I is a maximal ideal, as  $J \supseteq I$  is maximal and  $V(J) \subseteq V(I)$ .

Thus,  $L = k[x_1, ..., x_n]/I$  is a field, and k is a subfield of L.

Suppose we knew that k = L. For each i, there is  $a_i \in k$  such that  $x_i - a_i \in I$ . However,  $\langle x_1 - a_1, \dots, x_n - a_n \rangle$  is a maximal ideal. Thus,  $I = \langle x_1 - a_1, \dots, x_n - a_n \rangle$ , and  $V(I) = \{(a_1, \dots, a_n)\} \neq \emptyset$ .

Now, we have reduced the problem to showing that if an algebraically closed field k is a subfield of a field L, and there is a ring homomorphism of  $k[x_1, ..., x_n]$  onto L that is the identity on k, then k = L.

**Theorem** (Hilbert's Nullstellensatz): Let I be an ideal in  $k[x_1, ..., x_n]$  with k algebraically closed. Then, I(V(I)) = rad(I).

**Remark:** In concrete terms, if  $F_1, \ldots, F_r$ , G are in  $k[x_1, \ldots, x_n]$ , and G vanishes wherever  $F_1, \ldots, F_r$  vanish, then there is some equation  $G^N = A_1F_1 + \cdots A_rF_r$  for some N > 0 and  $A_i \in k[x_1, \ldots, x_n]$ .

*Proof.* We can see that  $rad(I) \subseteq I(V(I))$ . Now, let G be in the ideal  $I(V(F_1, ..., F_r))$ , where  $F_i \in k[x_1, ..., x_n]$ . Let  $J = \langle F_1, ..., F_r, x_{n+1}G - 1 \rangle \subseteq k[x_1, ..., x_n, x_{n+1}]$ .

Then,  $V(J) \subseteq \mathbb{A}^{n+1}(k)$  is empty, since G vanishes wherever all the  $G_i$  are zero. Applying the weak Nullstellensatz to J, we have  $1 \in J$ , so there is an equation  $1 = \sum A_i(x_1, \dots, x_{n+1})F_i + B(x_1, \dots, x_{n+1})(x_{n+1}G - 1)$ . Now, let  $y = 1/x_{n+1}$ , and multiply the equation by a high power of y such that  $y^N = \sum C_i(x_1, \dots, x_n, y)F_i + D(x_1, \dots, x_n, y)(g - y)$  in  $k[x_1, \dots, x_n, y]$ . Now, substituting G for y, we obtain our desired result.  $\square$ 

**Corollary:** If I i a radical ideal in  $k[x_1, ..., x_n]$ , then I(V(I)) = I. Thus, there is a one-to-one correspondence between radical ideals and algebraic sets.

**Corollary:** If I is a prime ideal, then V(I) is irreducible. Thus, there is a one-to-one correspondence between prime ideals and irreducible algebraic sets. The maximal ideals correspond to points.

**Corollary:** Let F be a nonconstant polynomial in  $k[x_1, \ldots, x_n]$ , and  $F = F_1^{n_1} \cdots F_r^{n_r}$  is a decomposition into irreducible factors. Then,  $V(F) = V(F_1) \cup \cdots \cup V(F_r)$  is the decomposition of V(F) into irreducible components, and  $I(V(F)) = \langle F_1, \ldots, F_r \rangle$ . There is a one-to-one correspondence between irreducible polynomials  $F \in k[x_1, \ldots, x_n]$  and irreducible hypersurfaces in  $\mathbb{A}^n(k)$ .

**Corollary:** Let I be an ideal in  $k[x_1,...,x_n]$ . Then, V(I) is a finite set if and only if  $k[x_1,...,x_n]/I$  is a finite-dimensional vector space over k. If so, the number of points in V(I) is at most  $\dim_k(k[x_1,...,x_n]/I)$ .

*Proof.* Let  $P_1, \ldots, P_r \in V(I)$ . Let  $F_1, \ldots, F_r \in k[x_1, \ldots, x_n]$  such that  $F_i(P_j) = \delta_{ij}$ . Let  $\overline{F_i}$  be the residue of  $F_i$  in  $k[x_1, \ldots, x_n]/I$ .

If  $\sum \lambda_i \overline{F_i} = 0$ , where  $\lambda_i \in k$ , then  $\sum \lambda_i F_i \in I$ , so that  $\lambda_j = (\sum \lambda_i F_i)(P_j) = 0$ , meaning the  $\overline{F_i}$  are linearly independent over k, and  $\dim_k(k[x_1, \ldots, x_n]/I)$ .

Now, conversely, if  $V(I) = \{P_1, \dots, P_r\}$  is finite, let  $P_i = (a_{i1}, \dots, a_{in})$ , and define  $F_j$  by  $F_j = \prod_{i=1}^r (x_i - a_{ij})$  for  $j = 1, \dots, n$ .

Then,  $F_j \in I(V(I))$ , so  $F_j^N \in I$  for some N > 0, and we may take N large enough such that N works for all  $F_j$ . Taking residues in I, we have  $\overline{F_j}^N = 0$ , so that  $\overline{x_j}^{rN}$  is a k-linear combination of  $\overline{1}, \overline{x_j}, \dots, \overline{x_j}^{rN-1}$ . Thus, by induction,  $\overline{x_j}^s$  is a k-linear combination of  $1, \overline{x_j}, \dots, \overline{x_j}^{rN-1}$  for all s, so the set  $\left\{\overline{x_1}^{m_1} \dots \overline{x_n}^{m_n} \mid m_i < rN\right\}$  generates  $k[x_1, \dots, x_n]/I$  as a k-vector space.

Exercise (Exercise 1.33):

- (a) Decompose  $V(x^2 + y^2 1, x^2 z^2 1) \subseteq \mathbb{A}^3(\mathbb{C})$  into irreducible components.
- (b) Let  $V = \{(t, t^2, t^3) \in \mathbb{A}^3(\mathbb{C}) \mid t \in \mathbb{C}\}$ . Find I(V) and show that V is irreducible.

## Solution:

(a) We have that  $x^2 = 1 - y^2$ , so that  $1 - y^2 - z^2 - 1 = 0$ , and  $y = \pm iz$ . Thus,  $V\left(x^2 + y^2 - 1, x^2 - z^2 - 1\right) = V\left(x^2 + y^2 - 1, y + iz\right) \cup V\left(x^2 + y^2 - 1, y - iz\right)$ . We want to show that these are irreducible sets. Let  $I_2 = \langle x^2 + y^2 - 1, y + iz \rangle$ ,  $I_3 = \langle x^2 + y^2 - 1, y - iz \rangle$ , and  $I_1 = \langle x^2 + y^2 - 1, x^2 - z^2 - 1 \rangle$ .

By the Third Isomorphism Theorem,

$$\begin{split} \mathbb{C}[x,y,z]/\mathrm{I}_{2,3} &\cong (\mathbb{C}[x,y,z]/\langle y\pm \mathrm{i}z\rangle)/\Big(\Big\langle x^2+y^2-1,y\pm \mathrm{i}z\Big\rangle/\langle y\pm \mathrm{i}z\rangle\Big) \\ &\cong \mathbb{C}[x,y]/\Big\langle x^2+y^2-1\Big\rangle. \end{split}$$

To show that I<sub>2</sub> is prime, we show that  $\mathbb{C}[x,y]/(x^2+y^2-1)$  is an integral domain.

Note that  $\mathbb{C}[x,y] = \mathbb{C}[x+iy,x-iy] := \mathbb{C}[a,b]$ . Then,

$$\mathbb{C}[x,y]/\langle x^2 + y^2 - 1 \rangle \cong \mathbb{C}[a,b]/\langle ab - 1 \rangle$$
$$\cong (\mathbb{C}[a])[b]/\langle ab - 1 \rangle.$$

Since ab - 1 is a degree 1 polynomial in  $(\mathbb{C}[a])[b]$ , we have ab - 1 is irreducible, so that  $\langle ab - 1 \rangle$  is prime, as  $(\mathbb{C}[a])[b]$  is a unique factorization domain.

(b) We have  $I(V) = \langle x^2 - y, x^3 - z \rangle$ . To show that this is irreducible, consider the surjective homomorphism  $\varphi \colon \mathbb{C}[x,y,z] \to \mathbb{C}[t]$ , given by  $f(x,y,z) \mapsto f(t,t^2,t^3)$ . This has kernel I(V), so that  $\mathbb{C}[x,y,z]/I(V) \cong \mathbb{C}[t]$ , and I(V) is prime, so V is irreducible.

**Exercise** (Exercise 1.36): Let  $I = \langle y^2 - x^2, y^2 + x^2 \rangle \subseteq \mathbb{C}[x, y]$ . Find V(I) and  $\dim_{\mathbb{C}}(\mathbb{C}[x, y]/I)$ .

**Solution:** We see that I is generated by  $\langle (y-x)(y+x), (y-ix)(y+ix) \rangle$ . This gives  $\{(0,0)\}$  as V(I).

Note that we have  $y^2 + x^2 + I \cong 0$  and  $y^2 - x^2 + I \cong 0$ , so  $x^2 \cong 0$  and  $y^2 \cong 0$ , meaning the basis for  $\dim_{\mathbb{C}}(\mathbb{C}[x,y]/I)$  is  $\{1, x, y, xy\}$ .

**Exercise** (Exercise 1.37): Let K be any field,  $F \in K[x]$  a polynomial of degree n > 0.

Show that the residues  $\overline{1}, \overline{x}, \dots, \overline{x}^{n-1}$  form a basis for  $K[x]/\langle F \rangle$  over K.

**Solution:** Without loss of generality, we may assume F is monic, meaning that  $x^n = -\left(a_{n-1}x^{n-1} + \dots + a_1x + a_0\right)$ , meaning that  $\overline{x}^n \in \text{span}\left\{\overline{1}, \overline{x}, \dots, \overline{x}^{n-1}\right\}$ . Thus, we know that the set  $\left\{\overline{1}, \overline{x}, \dots, \overline{x}^{n-1}\right\}$  is spanning for  $K[x]/\langle F \rangle$ .

To show that this set is linearly independent in  $K[x]/\langle F \rangle$ , we suppose  $gF = s_0\overline{1} + s_1\overline{x} + \cdots + s_{n-1}\overline{x}^{n-1}$ . Then g = 0 by polynomial long division.

**Exercise** (Exercise 1.38): Let  $R = k[x_1, ..., x_n]$  with k algebraically closed. Let V = V(I). Show that there is a natural one-to-one correspondence between algebraic subsets of V and radical ideals in  $k[x_1, ..., x_n]/I$ , and that irreducible algebraic sets (points) correspond to prime ideals (maximal ideals).

Solution: This follows from the correspondence in Exercise 1.22.

### **Modules and Finiteness**

**Definition.** Let R be a ring. An R-module is a commutative group M with a scalar multiplication  $R \times M \rightarrow M$  satisfying

- (i) (a + b)m = am + bm for  $a, b \in R, m \in M$ ;
- (ii) a(m + n) = am + an for  $a \in R$ ,  $m, n \in M$ ;
- (iii) (ab)m = a(bm) for  $a, b \in R, m \in M$ ;
- (iv)  $1_R m = m$  for  $m \in M$ , where  $1_R$  is the multiplicative unit for R.

## Example.

- (1) A **Z**-module is an abelian group.
- (2) If R is a field, an R-module is an R-vector space.
- (3) The multiplication in R makes any ideal of R into an R-module.
- (4) If  $\varphi \colon R \to S$  is a ring homomorphism, we define  $r \cdot s$  by the equation  $r \cdot s \coloneqq \varphi(r)s$ , which makes S into an R-module. If R is a subring of S, then S is an R-module.

**Definition.** A subgroup N of an R-module M is called a submodule if  $am \in N$  for all  $a \in R$  and  $m \in N$ .

If S is a set of elements of an R-module M, the submodule generated by S is defined to be

$$\left\{ \sum r_i s_i \mid r_i \in R, s_i \in S \right\};$$

it is the smallest submodule of M that contains S. If  $S = \{s_1, ..., s_n\}$  is finite, the submodule generated by S is denoted  $\sum Rs_i$ .

The module M is said to be finitely generated if  $M = \sum Rs_i$  for some  $s_1, \ldots, s_n \in M$ .

**Definition.** Let R be a subring of S.

- (a) We say S is module-finite over R if S is finitely generated as an R-module. If S and R are fields, then we denote the dimension of S over R by [R:S].
- (b) Let  $v_1, ..., v_n \in S$ , and  $\varphi \colon R[x_1, ..., x_n] \to S$  be the ring homomorphism taking  $x_i$  to  $v_i$ . The image of  $\varphi$  is written  $R[v_1, ..., v_n]$ , which is a subring of S containing R and  $v_1, ..., v_n$ .

Explicitly, we write

$$R[\nu_1,\ldots,\nu_n] = \Bigl\{ \sum \, \alpha_{(i)} \nu_1^{i_1} \cdots \nu_n^{i_n} \, \, \Bigl| \, \, \alpha_{(i)} \in R \Bigr\}.$$

The ring S is ring-finite over R if  $S = R[v_1, ..., v_n]$  for some  $v_1, ..., v_n \in S$ .

(c) Suppose R = K and S = L are fields. If  $v_1, \ldots, v_n \in L$  and  $K(v_1, \ldots, v_n)$  is the quotient field of  $K[v_1, \ldots, v_n]$ . Consider  $K(v_1, \ldots, v_n) \subseteq L$  as a subfield, which is the smallest subfield of L containing K and  $v_1, \ldots, v_n$ .

We say L is a finitely generated extension of K if  $L = K(v_1, ..., v_n)$  for some  $v_1, ..., v_n \in L$ .

Exercise (Exercise 1.41): If S is module-finite over R, then S is ring-finite over R.

**Solution:** Let S be module-finite. Then,  $v \in S$  can be expressed as  $v = r_1s_1 + \cdots + r_ns_n$ , so that  $v \in R[s_1, \ldots, s_n]$ . Thus,  $S \subseteq R[s_1, \ldots, s_n]$ . Since  $r \in R$  and  $s_1, \ldots, s_n \in S$ , we have that  $R[s_1, \ldots, s_n] \subseteq S$ , and S is ring-finite over R.

Exercise (Exercise 1.43): If L is ring-finite over K, where L and K are fields, then L is a finitely generated field extension of K.

**Solution:** Let L be ring-finite over K, where L and K are fields. Then,  $L = K[v_1, ..., v_n]$ . For each  $v_i \in K[v_1, ..., v_n]$ , we have that  $v_i^{-1} \in K[v_1, ..., v_n]$ , so  $L = K(v_1, ..., v_n)$ .

**Exercise** (Exercise 1.44): Show that L = K(x) is a finitely generated field extension of K, but L is not ring-finite over K.

**Solution:** Suppose toward contradiction that  $K(x) = L = K\left[\frac{f_1}{g_1}, \dots, \frac{f_n}{g_n}\right]$ .

Then, for all  $h \in L$ , we have that

$$\frac{1}{h} = \sum_{i} b_{(i)} \frac{f_{1}^{j_{1}} \cdots f_{n}^{j_{n}}}{g_{1}^{i_{1}} \cdots g_{n}^{i_{n}}},$$

meaning that

$$\frac{g_1^{i_1}\cdots g_n^{i_n}}{h}\in L[x].$$

However, since there are infinitely many irreducible monic polynomials in L[x], choose h to not be equal to any of these.

Exercise (Exercise 1.45): Let R be a subring of S, S a subring of T.

- (a) If  $S = \sum Rv_i$  and  $T = \sum Sw_j$ , then  $T = \sum Rv_iw_j$ .
- (b) If  $S = R[v_1, ..., v_n]$  and  $T = S[w_1, ..., w_m]$ , show that  $T = R[v_1, ..., v_n, w_1, ..., w_m]$ .
- (c) If R, S, T are fields, and  $S = R(v_1, ..., v_n)$ ,  $T = S(w_1, ..., w_m)$ , show that  $T = R(v_1, ..., v_n, w_1, ..., w_m)$ .

Thus, each of the three finiteness conditions is a transitive relation.

## **Integral Elements**

**Definition.** Let R be a subring of a ring S. An element  $v \in S$  is said to be integral over R if there is a monic polynomial  $f = x^n + a_{n-1}x^{n-1} + \cdots + a_1x + a_0 \in R[x]$  such that f(v) = 0.

If R and S are fields, then we say v is algebraic over R if v is integral over R.

**Proposition:** Let R be a subring of an integral domain S, with  $v \in S$ . The following are equivalent:

- (i)  $\nu$  is integral over R;
- (ii) R[v] is module-finite over R;

(iii) there is a subring R' of S containing R[v] that is module-finite over R.

*Proof.* If  $0 = v^n + a_{n-1}v^{n-1} + \dots + a_1v + a_0 = 0$ , then  $v^n \in \sum_{i=0}^{n-1} Rv^i$ , so  $v^m \in \sum_{i=0}^{n-1} Rv^i$  for all m, so  $R[v] = \sum_{i=0}^{n-1} Rv^i$ .

Now, to show (ii) implies (iii), all we need to is take R' = R[v].

To show (iii) implies (i), we let  $R' = \sum_{i=1}^{n} Rw_i$ , so that  $vw_i = \sum_{j=1}^{n} a_{ij}w_j$  for some  $a_{ij} \in R$ . Then,

$$\sum_{i=1}^{n} (\delta_{ij} v - a_{ij}) w_j = 0$$

for all i, where  $\delta_{ij}$  is the Kronecker delta function.

If we consider these equations in the quotient field of S, then  $(w_1, \dots, w_n)$  is a nontrivial solution, so

$$\det(\delta_{ij}\nu - a_{ij}) = 0.$$

Since v only appears on the diagonal of this matrix, we have the form  $0 = v^n + a_{n-1}v^{n-1} + \cdots + a_1v + a_0$ , where  $a_i \in R$ . Thus, v is integral over R.

**Corollary:** The set of elements of S that are integral over R is a subring of S containing R.

*Proof.* If a, b are integral over R, then b is integral over  $R[a] \supseteq R$ , so R[a,b] is module-finite over R, and  $a \pm b$ ,  $ab \in R[a,b]$ , so they are integral over R.

**Exercise** (Exercise 1.46): Let R be a subring of S, S a subring of an integral domain T. If S is integral over R, and T is integral over S, show that T is integral over R.

**Solution:** Let  $z \in T$ . Then,  $z^n + a_{n-1}z^{n-1} + \cdots + a_1z + a_0 = 0$ , where each  $a_i \in S$ . Note that we have  $\{1, z, \ldots, z^{n-1}\}$  as a basis for  $R[a_0, \ldots, a_{n-1}][z]$ , so that  $R[a_0, \ldots, a_{n-1}][z] \subseteq T$  is module-finite over R. This ring contains the subring R[z], so T is integral over R by part (3) of the proposition.

**Exercise** (Exercise 1.47): Suppose S is an integral domain that is ring-finite over R. Show that S is module-finite over R if and only if S is integral over R.

**Solution:** Let S be ring-finite over R, so  $S = R[a_1, ..., a_n]$ .

If S is integral over R, then for any  $z \in S$ , there is some polynomial  $z^n + r_{n-1}z^{n-1} + \cdots + r_1z + r_0 = 0$ . Therefore,  $\{1, z, \ldots, z^{n-1}\}$  serves as a basis for  $R[z] \subseteq S$  for any  $z \in S$ . However, this applies for each  $a_1, \ldots, a_n$ , so S is finitely generated as a module over R.

If S is module-finite over R, then for any  $v \in S$ ,  $R[v] \subseteq R[a_1, ..., a_n][v] = R[a_1, ..., a_n, v] = S$ , so R[v] is module-finite over S, so S is integral over R.

Exercise (Exercise 1.48): Let L be a field, k an algebraically closed subfield of L.

- (a) Show that any element of L that is algebraic over k is in k.
- (b) An algebraically closed field has no module-finite field extensions except itself.

## Solution:

- (a) If  $z \in L$  is algebraic over k, then  $z^n + a_{n-1}z^{n-1} + \cdots + a_1z + a_0 = 0$ , where  $a_{n-1}, \ldots, a_0 \in k$ . However, since k is algebraically closed, this means  $z \in k$ , as z is a root of the polynomial  $x^n + a_{n-1}x^{n-1} + \cdots + a_1x + a_0$ .
- (b) We know that z is integral over k if and only if k[z] is module-finite over k. However, since every integral/algebraic element over an algebraically closed field is in the field, there cannot be any module-finite extensions over k.

**Exercise** (Exercise 1.49): Let K be any field, L = K(x).

(a) Show that any element of L that is integral over K[x] is in K[x].

(b) Show that there is no nonzero element  $F \in K[x]$  such that for every  $z \in L$ ,  $F^n z$  is integral over K[x] for some n > 0.

**Exercise** (Exercise 1.50): Let K be a subfield of L.

- (a) Show that the set of elements of L that are algebraic over K is a subfield of L containing K.
- (b) Suppose L is module-finite over K and R is a ring such that  $K \subseteq R \subseteq L$ . Show that R is a field.

#### **Solution:**

- (a) Let  $\alpha$ , b be algebraic over K. Then,  $K(\alpha, b)$  is module-finite over K, so  $K(\alpha, b)$  is an algebraic extension of K. Therefore, since  $\alpha + b$ ,  $\alpha + b$ ,  $\alpha + b$ , all such elements algebraic over K, and K is trivially algebraic over K. Thus, the set of elements in K that are algebraic over K forms a subfield of K.
- (b) Let  $K \subseteq R \subseteq L$ . Now, since L is module-finite over K, L is ring-finite over K, so R is ring-finite over K. Now, since  $R \subseteq L$ , R is module-finite over L, so for any  $v \in R$ , there is a polynomial such that

$$v^{n} + b_{n-1}v^{n-1} + \cdots + b_{1}v + b_{0} = 0.$$

Now, if  $b_0 \neq 0$ , we have

$$v(v^{n-1} + b_{n-1}v^{n-2} + \dots + b_1) = -b_0,$$

meaning that

$$v\left(\frac{-1}{b_0}\left(v^{n-1} + b_{n-1}v^{n-2} + \dots + b_1\right)\right) = 1,$$

and  $\nu$  has an inverse in R.

## **Field Extensions**

Let K be a subfield of L, and suppose L = K(v) for some  $v \in L$ . Let  $\varphi \colon K[x] \to L$  be the homomorphism mapping  $x \mapsto v$ . Let  $\ker(\varphi) = \langle f \rangle$  for some  $f \in k[x]$ . Then,  $k[x]/\langle f \rangle \cong K[v]$ , so  $\langle f \rangle$  is prime.

We may consider two cases.

In the first case, if f = 0, then  $K[v] \cong K[x]$ , so K(v) = L is isomorphic to k(X), and thus L is not ring-finite or module-finite over K.

In the second case, if  $f \neq 0$ , then we may assume f is monic, meaning  $\langle f \rangle$  is monic, and f is irreducible, so  $\langle f \rangle$  is maximal, and  $K[\nu]$  is a field. Thus,  $K[\nu] = K(\nu)$ , and  $f(\nu) = 0$ . Therefore,  $\nu$  is algebraic over K, and  $L = K[\nu]$  is module-finite over K.

To finish the proof of the Nullstellensatz, we must prove that if a field L is a ring-finite extension of an algebraically closed field k, then L = k.

Thus, it is enough to show that L is module-finite over k — we already know that any ring-finite extensions are already module-finite. Now, we will show that this is always true, proving the Nullstellensatz.

**Proposition:** If L is ring-finite over a subfield K, then L is module-finite over K.

*Proof.* Let  $L = K[\nu_1, ..., \nu_n]$ . The case for n = 1 is taken care of by above, so we assume the result holds for all extensions generated by n - 1 elements. Let  $K_1 = K(\nu_1)$ ; by induction,  $L = K_1[\nu_2, ..., \nu_n]$  is module-finite over  $K_1$ . Assume towards contradiction that  $\nu_1$  is not algebraic over K.

Each  $v_i$  satisfies an equation  $v_i^{n_i} + a_{i,n_i-1}v_i^{n_i-1} + \cdots = 0$ , where  $a_{ij} \in K_1$ . Letting  $a \in K[v_1]$  — a multiple of the denominators of  $a_{ij}$  — we have equations  $(av_i)^{n_i} + aa_{i,n_i-1}(av_i)^{n_i-1} + \cdots = 0$ .

Therefore, for any  $z \in L$ , there is some N such that  $a^N z$  is integral over  $K[v_1]$ . This must hold for all  $z \in K(v_1)$ ; however, since  $K(v_1)$  is isomorphic to the field of rational functions in one variable over K, this is impossible.

**Exercise** (Exercise 1.51): Let K be a field,  $F \in K[x]$  an irreducible monic polynomial of degree n > 0.

- (a) Show that  $L = K[x]/\langle F \rangle$  is a field, and if  $\overline{x}$  is the residue of x in L, then  $F(\overline{x}) = 0$ .
- (b) Suppose L' is a field extension of K,  $y \in L'$  such that F(y) = 0. Show that the homomorphism from K[x] to L' that takes x to y induces an isomorphism of L with K(y).
- (c) With L' and y as in (b), suppose  $G \in K[x]$  with G(y) = 0. Show that F divides G.
- (d) Show that  $F = (x \overline{x})f_1$ , where  $f_1 \in L[x]$ .

#### Solution:

- (a) Let  $L = K[X]/\langle F \rangle$ ,  $x = X + \langle F \rangle$ . Then,  $F(x) = F(X + \langle X \rangle) = (X + \langle F \rangle)^n + \dots + a_1(X + \langle F \rangle) + a_0 = F(X) + \langle F \rangle = 0 + \langle F \rangle$ .
- (b) Let  $\varphi \colon K[X] \to L'$  map  $X \mapsto Y$ . By the first isomorphism theorem, since F(y) = 0 and F is irreducible,  $\ker \varphi = \langle F \rangle$ , so  $K[X]/\langle F \rangle = K(y)$ .
- (c) Since  $G \in \text{ker}(\varphi)$ , and F is irreducible, we have G = FQ for some polynomial Q.
- (d) This problem statement is too confusing.

**Exercise** (Exercise 1.52): Let K be a field,  $F \in K[x]$ .

Show that there is a field L containing K such that  $F = \prod_{i=1}^{n} (x - x_i) \in L[x]$ .

**Solution:** Suppose this is the case for a polynomial of degree  $\leq n$ . Now, if F is a polynomial of degree n+1 in K[X]. We may find  $(X - x_i)$  such that  $F = (X - x_i)F_1$  with  $F_1 \in K[X]$ . Splitting  $F_1$ , we obtain  $F = \prod_{i=1}^{n+1} (X - x_i)$ .

**Exercise** (Exercise 1.53): Suppose K is a field of characteristic zero, F an irreducible monic polynomial in K[x] of degree n > 0, and let L be the splitting field of F. Show that the  $x_i$  are distinct.

Solution: See Algebra II Notes regarding splitting fields over characteristic 0 fields.

Exercise (Exercise 1.54): Let R be an integral domain with quotient field K, L a finite algebraic extension of K.

- (a) For any  $v \in L$ , show that there is a nonzero  $a \in R$  such that av is integral over R.
- (b) Show that there is a basis  $v_1, ..., v_n$  for L over K such that each  $v_i$  is integral over R.

## **Affine Varieties**

From now on, k is a fixed algebraically closed field, with affine algebraic sets in  $\mathbb{A}^n = \mathbb{A}^n(k)$ . Irreducible affine algebraic sets are called *affine varieties*.

All rings and fields contain k as a subring, with all homomorphisms of rings  $\varphi \colon R \to S$  fixing k. We call affine varieties "varieties" this section since we are not dealing with other types of varieties yet.

## **Coordinate Rings**

Let  $V \subseteq \mathbb{A}^n$  be a nonempty variety. Then, I(V) is prime in  $k[x_1, ..., x_n]$ , meaning  $k[x_1, ..., x_n]/I(V)$  is an integral domain.

**Definition.** Let  $\Gamma(V) := k[x_1, ..., x_n]/I(V)$ . Then, we call  $\Gamma(V)$  the *coordinate ring* of V.

If V is any nonempty set,  $\mathcal{F}(V, k)$  consists of all functions from V to k with pointwise operations. We identify k with the subring of  $\mathcal{F}(V, k)$  consisting of constants.

**Definition.** If  $V \subseteq \mathbb{A}^n$  is a variety, a function  $f \in \mathcal{F}(V,k)$  is called a *polynomial function* if there exists a polynomial  $F \in k[x_1,\ldots,x_n]$  such that  $f(a_1,\ldots,a_n) = F(a_1,\ldots,a_n)$  for all  $(a_1,\ldots,a_n) \in V$ .

The polynomial functions form a subring of  $\mathcal{F}(V, k)$  containing k. Two polynomials determine the same function if  $(F - G)(\alpha_1, \dots, \alpha_n) = 0$  for all  $(\alpha_1, \dots, \alpha_n) \in V$ .

We may identify  $\Gamma(V)$  with the subring of  $\mathcal{F}(V, k)$  consisting of all the polynomial functions on  $\mathcal{F}(V, k)$ .

**Exercise** (Exercise 2.1): Show that the map that associates to each  $F \in k[x_1, ..., x_n]$  a polynomial function in  $\mathcal{F}(V, k)$  is a ring homomorphism whose kernel is I(V).

**Solution:** The map  $\varphi \colon k[x_1, \dots, x_n] \to \mathcal{F}(V, k)$  sends to zero functions all the polynomials that are identically zero on V, which is equal to I(V).

**Exercise** (Exercise 2.2): Let  $V \subseteq \mathbb{A}^n$  be a variety. A subvariety of V is a variety  $W \subseteq \mathbb{A}^n$  that is contained in V. Show that there is a natural one-to-one correspondence between algebraic subsets (resp. subvarieties, points) and radical ideals (resp. prime ideals, maximal ideals) in  $\Gamma(V)$ .

**Solution:** We know that: algebraic subsets of V correspond to radical ideals in I(V); subvarieties of V correspond to prime ideals in I(V); points in V correspond to maximal ideals in I(V). Since radical ideals, prime ideals, and maximal ideals are preserved under quotients, we see that they correspond to the same objects in  $\Gamma(V)$ .

**Exercise** (Exercise 2.3): Let W be a subvariety of V, and let  $I_V(W)$  be the ideal of  $\Gamma(V)$  corresponding to W.

- (a) Show that every polynomial function on V restricts to a polynomial function on W.
- (b) Show that the map  $\varphi \colon \Gamma(V) \to \Gamma(W)$  defined in part (a) is a surjective homomorphism with kernel  $I_V(W)$ , so  $\Gamma(W)$  is isomorphic to  $\Gamma(V)/I_V(W)$ .

### **Solution:**

- (a) If  $f: V \to k$  is a polynomial map, then by defining  $f|_W: W \to k$ .
- (b) Let  $\varphi \colon \Gamma(V) \to \Gamma(W)$  be the map defined by  $\varphi([f]) = [f|_W]$ ; the kernel of this map consists of all polynomials  $F \in k[x_1, \dots, x_n]$  such that  $F|_W = 0$ , which is precisely  $I_V(W)$ .

**Exercise** (Exercise 2.4): Let  $V \subseteq \mathbb{A}^n$  be a nonempty variety. Show that the following are equivalent:

- (i) V is a point;
- (ii)  $\Gamma(V) = k$ ;
- (iii)  $\dim_k(\Gamma(V)) < \infty$ .

**Solution:** If V is a point, then  $V = (a_1, ..., a_n)$  is the zero of  $P = s_1(x_1 - a_1) + \cdots + s_n(x_n - a_n)$ , so  $I(V) = \langle P \rangle$ . Since  $k[x_1, ..., x_n] \cong k[x_1 - a_1, ..., x_n - a_n]$  (by a translation), we have

$$\begin{split} \Gamma(V) &= k[x_1, \dots, x_n] / \langle x_1 - \alpha_1, \dots, x_n - \alpha_n \rangle \\ &= k[x_1 - \alpha_1, \dots, x_n - \alpha_n] / \langle x_1 - \alpha_1, \dots, x_n - \alpha_n \rangle \\ &= k. \end{split}$$

Since k is a dimension 1 k-vector space, this implies (iii).

If  $\dim_k(\Gamma(V)) < \infty$ , then  $\Gamma(V)$  is a finite-dimensional k-algebra, meaning it is an Artinian ring, hence has Krull dimension zero. Thus,  $\langle \overline{0} \rangle \subseteq \Gamma(V)$  is prime and is not contained in any other prime ideals, meaning I(V) is maximal, hence V is a point.

## **Polynomial Maps**

**Definition.** Let  $V \subseteq \mathbb{A}^n$ ,  $W \subseteq \mathbb{A}^m$  be varieties. A map  $\phi \colon V \to W$  is called a polynomial map if there are polynomials  $T_1, \ldots, T_m \in k[x_1, \ldots, x_m]$  such that  $\phi(\alpha_1, \ldots, \alpha_n) = (T(\alpha_1, \ldots, \alpha_n), \ldots, T_m(\alpha_1, \ldots, \alpha_n))$  for all  $(\alpha_1, \ldots, \alpha_n) \in V$ .

Any map  $\varphi: V \to W$  induces a homomorphism  $\widetilde{\varphi}: \mathcal{F}(W, k) \to \mathcal{F}(V, k)$  by  $\widetilde{\varphi}(f) = f \circ \varphi$ .

If  $\varphi$  is a polynomial map, then  $\widetilde{\varphi}(\Gamma(W)) \subseteq \Gamma(V)$ , so  $\widetilde{\varphi}$  restricts to a homomorphism, also written  $\widetilde{\varphi}$ , from  $\Gamma(W)$  to  $\Gamma(V)$ . If  $f \in \Gamma(W)$  is the I(W) residue of F, then  $\widetilde{\varphi}(f) = f \circ \varphi$  is the I(V) residue of the polynomial

$$F(T_1,\ldots,T_m)$$
.

If  $V = \mathbb{A}^n$ ,  $W = \mathbb{A}^m$ , and  $T_1, \ldots, T_m \in k[x_1, \ldots, x_n]$  determine a polynomial map  $T \colon \mathbb{A}^n \to \mathbb{A}^m$ , then the  $T_i$  are uniquely determined by T, so we usually write  $T = (T_1, \ldots, T_m)$ .

**Proposition:** Let  $V \subseteq \mathbb{A}^n$  and  $W \subseteq \mathbb{A}^m$  be affine varieties. There is a natural one to one correspondence between polynomial maps  $\varphi \colon V \to W$  and homomorphisms  $\widetilde{\varphi} \colon \Gamma(W) \to \Gamma(V)$ . Any such  $\varphi$  is the restriction of a polynomial map from  $\mathbb{A}^n$  to  $\mathbb{A}^m$ .

*Proof.* Let  $\alpha \colon \Gamma(W) \to \Gamma(V)$  be a homomorphism. Set  $T_i \in k[x_1, \dots, x_n]$  such that  $\alpha(\overline{x_i}) = \overline{T_i}$ , where the residue of  $x_i$  is taken in I(W) and the residue of  $T_i$  is taken in I(V). Then,  $T = (T_1, \dots, T_m)$  is a polynomial map from  $\mathbb{A}^n$  to  $\mathbb{A}^m$  that induces  $\widetilde{T} \colon k[x_1, \dots, x_m] \to k[x_1, \dots, x_n]$ . Note that  $\widetilde{T}(I(W)) \subseteq I(V)$  by construction, so  $T(V) \subseteq W$ , and T restricts to a polynomial map  $\phi \colon V \to W$ . Now, on  $\Gamma(W)$ , we have

$$\widetilde{\varphi}(f)(\overline{x_1}, \dots, \overline{x_n}) = f \circ \varphi(x_1, \dots, x_n)$$
$$= (T_1, \dots, T_m)(x_1, \dots, x_n),$$

so 
$$\widetilde{\varphi} = \alpha$$
.

**Definition.** A polynomial map  $\phi \colon V \to W$  is an isomorphism if there is a polynomial map  $\psi \colon W \to V$  such that  $\psi = \varphi^{-1}$ .

Two affine varieties are isomorphic if and only if their coordinate rings are isomorphic.

**Exercise** (Exercise 2.6): Let  $\varphi: V \to W$  and  $\psi: W \to Z$  be polynomial maps. Show that  $\widetilde{\psi \circ \varphi} = \widetilde{\varphi} \circ \widetilde{\psi}$ . Show that the composition of polynomial maps is a polynomial map.

**Solution:** Let  $f \in \mathcal{F}(V, k)$  be a polynomial function. Then,

$$\widetilde{\psi \circ \varphi}(f) = f \circ (\psi \circ \varphi)$$

$$= (f \circ \psi) \circ \varphi$$

$$= \widetilde{\varphi} \circ \widetilde{\psi}(f).$$

A polynomial map  $\phi: V \to W$  is defined by polynomials  $T_1, \ldots, T_m$ ; similarly, a polynomial map  $\psi: W \to Z$  is defined by polynomials  $S_1, \ldots, S_r$ ; since the composition of two polynomials is another polynomial, the composition of their respective maps is also a polynomial map.

**Exercise** (Exercise 2.7): Let  $\varphi: V \to W$  be a polynomial map, and X an algebraic subset of W. Then,  $\varphi^{-1}(X)$  is an algebraic subset of V. If  $\varphi^{-1}(X)$  is irreducible and X is contained in the image of  $\varphi$ , show that X is irreducible.

**Solution:** Let  $\varphi \colon V \to W$  be a polynomial map, and let X be an algebraic subset of W, with corresponding radical ideal I in  $\Gamma(W)$ . There is a homomorphism of coordinate rings,  $\widetilde{\varphi} \colon \Gamma(W) \to \Gamma(V)$ , and since the homomorphic image of a radical ideal is a radical ideal, the corresponding radical ideal  $\widetilde{\varphi}(I) \subseteq \Gamma(V)$  corresponds to  $\varphi^{-1}(X)$ .

Now, if  $\varphi^{-1}(X)$  is irreducible, then there is a corresponding prime ideal  $\mathfrak{p}\subseteq \Gamma(V)$ . Taking inverse images,  $\widetilde{\varphi}^{-1}\circ\widetilde{\varphi}(\mathfrak{p})$  corresponds to  $\varphi\circ\varphi^{-1}(X)$ . If  $X\subseteq\varphi\circ\varphi^{-1}(X)\subseteq X$ , then  $\mathfrak{p}\subseteq\widetilde{\varphi}^{-1}\circ\widetilde{\varphi}(\mathfrak{p})\subseteq\mathfrak{p}$ , meaning that X has corresponding prime ideal  $\widetilde{\varphi}^{-1}(\mathfrak{p})$ , and X is irreducible.

**Exercise** (Exercise 2.8):

- (a) Show that  $\{(t, t^2, t^3) \in \mathbb{A}^3(k) \mid t \in k\}$  is an affine variety.
- (b) Show that  $V(xz-y^2,yz-x^3,x^2-x^2y) \subseteq \mathbb{A}^2(\mathbb{C})$  is a variety.

**Exercise** (Exercise 2.9): Let  $\varphi: V \to W$  be a polynomial map of affine varieties, with  $V' \subseteq V$  and  $W' \subseteq W$  subvarieties. Suppose  $\varphi(V') \subseteq W'$ .

- (a) Show that  $\widetilde{\varphi}(I_W(W')) \subseteq I_V(V')$ .
- (b) Show that the restriction of  $\varphi$  gives a polynomial map from V' to W'.

**Exercise** (Exercise 2.10): Show that the projection map  $P: \mathbb{A}^n \to \mathbb{A}^r$ , where  $n \ge r$ , defined by  $P(a_1, \ldots, a_n) = (a_1, \ldots, a_r)$  is a polynomial map.

| **Solution:** Define  $T_1, ..., T_r$  to be identity.

Exercise (Exercise 2.12):

(a) Let  $\varphi \colon \mathbb{A}^1 \to V = V \Big( y^2 - x^3 \Big) \subseteq \mathbb{A}^2$  be defined by  $\varphi(t) = \Big( t^2, t^3 \Big)$ . Show that, although  $\varphi$  is an injective polynomial map,  $\varphi$  is not an isomorphism.

# **Coordinate Changes**

# **Local Rings**

**Exercise** (Exercise 2.17):

**Exercise** (Exercise 2.18):

# **Discrete Valuation Rings**