Algebraic Geometry Avinash Iyer

## Introduction

Oh hey, it's another one of these independent studies. Me and a friend are going to be going through William Fulton's *Algebraic Curves*. It will be hard, it will be long, and it might not work out for me, but who cares.

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# **Affine Algebraic Sets**

# **Algebraic Preliminaries**

We will assume all rings are commutative with unity, where  $\mathbb{Z}$  is the integers,  $\mathbb{Q}$  is the rationals,  $\mathbb{R}$  is the reals, and  $\mathbb{C}$  is the complex numbers.

Any integral domain R has a quotient field K, which contains R as a subring, and any element in K may be written as a not necessarily unique ratio of two elements of R. Any one-to-one ring homomorphism from R to a field L extends uniquely to a ring homomorphism from K to L.

If R is a ring, then R[x] is the ring of polynomials with coefficients in R. The degree of a nonzero polynomial  $\sum a_i x^i$  is the largest integer d such that  $a_d \neq 0$ . The polynomial is monic if  $a_d = 1$ .

The ring of polynomials in n variables over R is  $R[x_1,\ldots,x_n]$ . We write R[x,y] and R[x,y,z] if n=2 and 3 respectively. Monomials in  $R[x_1,\ldots,x_n]$  are of the form  $x^{(i)} := x_1^{i_1}x_2^{i_2}\cdots x_n^{i_n}$ , where  $i_j$  are nonnegative integers, and the degree of the monomial is  $i_1+\cdots i_n$ . Every  $F\in R[x_1,\ldots,x_n]$  has a unique expression  $F=\sum a_{(i)}x^{(i)}$ , where  $x^{(i)}$  are monomials, and  $a_{(i)}\in R$ . We say F is homogeneous of degree d if all  $a_{(i)}$  are zero except for monomials of degree d. The polynomial F is written as  $F=F_0+F_1+\cdots F_d$ , where  $F_i$  is a form of degree i, and  $d=\deg(F)$  for  $F_d\neq 0$ .

The ring R is a subring of R[ $x_1, ..., x_n$ ], and the ring R[ $x_1, ..., x_n$ ] is characterized by the following: if  $\varphi \colon R \to S$  is a ring homomorphism, and  $s_1, ..., s_n$  are elements in S, then there is a unique extension of  $\varphi$  to a ring homomorphism  $\overline{\varphi} \colon R[x_1, ..., x_n] \to S$  such that  $\overline{\varphi}(x_i) = s_i$ . The image of F under  $\overline{\varphi}$  is written F( $s_1, ..., s_n$ ). The ring R[ $x_1, ..., x_n$ ] is canonically isomorphic to R[ $x_1, ..., x_{n-1}$ ][ $x_n$ ].

An element  $a \in R$  is called irreducible if it is not a unit or zero, and any factorization a = bc with  $b, c \in R$  is such that either b or c is a unit. A domain R is a unique factorization domain (UFD) if every nonzero element in R can be factored uniquely up to units and ordering.

If R is a UFD with quotient field K, then any irreducible element  $F \in R[x]$  remains irreducible when considered in K[x].

**Theorem** (Gauss's Lemma for  $\mathbb{Z}$ ): If  $F \in \mathbb{Z}[x]$  is a monic polynomial that is irreducible, then F is irreducible in  $\mathbb{Q}[x]$ .

If F and G are polynomials in R[x] with no common factors in R[x], then they have no common factors in K[x].

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If R is a UFD, then R[x] is also a UFD, and consequently  $k[x_1,...,x_n]$  is a UFD for any field k. The quotient field of  $k[x_1,...,x_n]$  is written  $k(x_1,...,x_n)$  is called the field of rational functions in n variables over k.

If  $\varphi \colon R \to S$  is a ring homomorphism,  $\ker(\varphi) := \varphi^{-1}(0)$ . The kernel is an ideal in R. An ideal in R is proper if  $I \neq R$ , and a proper ideal is known as maximal if it is not contained in any larger proper ideal. An ideal  $\mathfrak{p}$  is prime if, whenever  $\mathfrak{ab} \in \mathfrak{p}$ , then  $\mathfrak{a} \in \mathfrak{p}$  or  $\mathfrak{b} \in \mathfrak{p}$ .

Let k be a field and I a proper ideal in  $k[x_1,...,x_n]$ . The canonical homomorphism  $\pi$  from  $k[x_1,...,x_n]$  to  $k[x_1,...,x_n]/I$ . We regard k as a subring of  $k[x_1,...,x_n]/I$ , which is a vector space over k.

If R is an integral domain, then char(R), the characteristic of R, is the smallest integer p such that

$$\underbrace{1+1\cdots+1}_{p \text{ times}}=0.$$

If p exists, we say char(R) = p, else 0.

Note that if  $\varphi \colon \mathbb{Z} \to R$  is the unique ring homomorphism from  $\mathbb{Z}$  to  $R^{III}$  then  $\ker(\varphi) = \langle p \rangle$ , so  $\operatorname{char}(R)$  is prime or 0.

If R is a ring, and  $F \in R[x]$ , and  $\alpha$  is a root of F, then  $F = (x - \alpha)G$  for some unique polynomial  $G \in R[x]$ . A field k is algebraically closed if any nonconstant  $F \in k[x]$  has a root.

Exercise (Exercise 1.1): Let R be an integral domain.

- (a) If F and G are forms of degree r and s respectively in  $R[x_1, ..., x_n]$ , show that FG is a form of degree r + s.
- (b) Show that any factor of a form in  $R[x_1, ..., x_n]$  is also a form.

**Exercise** (Exercise 1.2): Let R be a UFD and K the quotient field of R. Show that every element  $z \in K$  may be written as z = a/b, where  $a, b \in R$  have no common factors. This representative is unique up to units of R.

**Solution:** Since K = Frac(R), we know that every  $z \in K$  is of the form  $z = \frac{a}{b}$ . Since R a unique factorization domain, gcd(a, b) is unique and well-defined. Set  $c \cdot gcd(a, b) = a$  and  $d \cdot gcd(a, b) = b$ . Then,

$$z = \frac{a}{b}$$

$$= \frac{c \cdot \gcd(a, b)}{d \cdot \gcd(a, b)}$$

$$= \frac{c}{d}.$$

We show that this is unique up to units. Suppose

$$z = \frac{c}{d}$$
$$= \frac{c'}{d'}.$$

Then, by the properties of the field of fractions, we know that

$$c'd = cd'$$
,

and since R is a UFD, we know that gcd(c, d) = gcd(c', d') = 1, so  $c = u_1c'$  and  $d = u_2d'$ .

Exercise (Exercise 1.3): Let R be a principal ideal domain, and let P be a nonzero proper prime ideal in R.

(a) Show that P is generated by an irreducible element.

 $<sup>^{\</sup>mathrm{I}}$ Alternatively, an ideal I is maximal if the quotient ring R/M is a field.

<sup>&</sup>lt;sup>II</sup>Alternatively, an ideal  $\mathfrak{p}$  is prime if  $R/\mathfrak{p}$  is an integral domain.

 $<sup>{}^{\</sup>text{III}}$ This is because  ${\mathbb Z}$  is initial in the category of rings. See Aluffi.

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(b) Show that P is maximal.

#### Solution:

(a) Since P is principal, we know that  $P = \langle a \rangle$  for some  $a \in R$ . We know that a cannot be a unit, as otherwise P = R, contradicting the assumption that P is proper, and that  $a \ne 0$  as P is not zero.

Suppose toward contradiction that  $\langle \alpha \rangle \subseteq \langle b \rangle$  for some  $b \in R$ . Then, a = bc for some  $c \in R$ . If  $c \notin \langle \alpha \rangle$ , then since  $\langle \alpha \rangle$  is prime, we must have  $b \in \langle \alpha \rangle$ , contradicting strict inclusion. Thus,  $c \in \langle \alpha \rangle$ , so c = at for some  $c \in R$ . Therefore, we have c = abc, so c = abc, and  $c \in R$ .

(b) Since R is a PID, and P is prime, we know that  $P = \langle \alpha \rangle$  is generated by an irreducible element. Thus, if  $\langle \alpha \rangle \subseteq \langle b \rangle$ , then  $\alpha = bc$  for some  $c \in R$ . Since we have unique factorization (as all PIDs are UFDs), and  $\alpha$  is irreducible, this means either b or c is a unit. If b is a unit, then  $\langle b \rangle = R$ , and if c is a unit, then  $\langle b \rangle = \langle \alpha \rangle$ . Thus,  $\langle \alpha \rangle$  is maximal.

**Exercise** (Exercise 1.4): Let k be an infinite field,  $f \in k[x_1, ..., x_n]$ . Suppose  $F(a_1, ..., a_n) = 0$  for all  $a_1, ..., a_n \in k$ . Show that f = 0.

**Exercise** (Exercise 1.5): Let k be any field. Show that there are an infinite number of irreducible monic polynomials in k[x].

**Solution:** Suppose  $F_1, \ldots, F_n$  were all the irreducible monic polynomials in k[x]. Consider the polynomial  $P = F_1F_2 \cdots F_n + 1$ . We note that P is monic. We will show that P is irreducible.

Suppose toward contradiction that P were reducible. We know that k[x] is a principal ideal domain, so  $P \in \langle F_i \rangle$  for some irreducible monic  $F_i$ . However, we know that, for any  $F_i$ ,  $1 \le i \le n$ ,  $P \nmid F_i$ , as, applying the division algorithm to P, we get

$$P = (F_i) \prod_{j \neq i} F_j + 1,$$

where  $r \neq 0$ . Thus, P is not reducible and monic, so there are infinitely many irreducible monic polynomials in k[x].

Exercise (Exercise 1.6): Show that any algebraically closed field is infinite.

**Solution:** Note that if k is any field, then there are infinitely many irreducible monic polynomials in k[x]. If k is algebraically closed, then (x - a), for  $a \in k$ , is the only irreducible monic polynomial. Since there are infinitely many irreducible monic polynomials in k[x], there are infinitely many  $a \in k$  such that (x - a) is irreducible in k[x]. Thus, k is infinite.

**Exercise** (Exercise 1.7): Let k be any field, and  $F \in k[x_1, ..., x_n]$ , with  $a_1, ..., a_n \in k$ .

(a) Show that

$$F = \sum \lambda_{(i)} (x_1 - a_1)^{i_1} \cdots (x_n - a_n)^{i_n},$$

where  $\lambda_{(i)} \in k$ .

(b) If  $F(\alpha_1,\ldots,\alpha_n)=0$ , show that  $F=\sum_{i=1}^n(x_i-\alpha_i)G_i$  for some not necessarily unique  $G_i\in k[x_1,\ldots,x_n]$ .

#### Solution:

(a) We let

$$G = F(x_1 + a_1, x_2 + a_2, ..., x_n + a_n).$$

Then, since  $G \in k[x_1, ..., x_n]$ , we have

$$\mathsf{G} = \sum \lambda_{(\mathtt{i})} x_1^{\mathtt{i}_1} \cdots x_n^{\mathtt{i}_n}.$$

Then, we have

$$F = \sum \lambda_{(i)} (x_1 - a_1)^{i_1} \cdots (x_n - a_n)^{i_n}.$$

(b)