# **Motivation and Introduction**

Main purpose of this course is to study Galois theory — a field that arose in trying to study roots of polynomials.

Consider  $f(x) = ax^2 + bx + c$ . If we want to find a general, closed-form expression for the roots of the function, we complete the square.

$$roots = \frac{-b \pm \sqrt{b^2 - 4ac}}{2a}.$$

We found these roots by by the coefficients,  $\mathbb{Q}$ , addition, subtraction, multiplication, division, and square root (raising to the 1/2 power: see Math 310 notes, Page 104). Naturally, this leads us to ask whether we can do this for cubic polynomials with the same operations. Obviously, we have to change from 1/2 power to the 1/3 power, but Cardano showed that it was possible to solve a cubic and quartic equation using these traditional operations and radicals.

Évariste Galois invented his theory to prove there is no such closed formula by radicals for any polynomial of degree 5 or above.

For example,  $x^5 - x + 1$  does not have roots given by radicals.

# **Example: A Solvable Polynomial**

Consider the polynomial  $f(x) = x^2 - 2$ . We know that the roots of this polynomial are  $\pm \sqrt{2}$ . From this, we want to create a set K(f) that satisfies the following rules:

- $\mathbb{Q} \subseteq K(f)$ .
- K(f) must contain the roots of f.
- K(f) must be closed under the traditional operations:  $+, -, \times, /$
- K(f) must be the smallest field that satisfies the above three requirements.

Claim:  $K(f) = \mathbb{Q}(\sqrt{2}) = \{a + b\sqrt{2} \mid a, b \in \mathbb{Q}\}.$ 

- $\mathbb{Q} \subseteq K(f)$ , because we can set b = 0.
- $\sqrt{2} = 0 + (1)(\sqrt{2}), -\sqrt{2} = 0 + (-1)(\sqrt{2})$
- Let  $a + b\sqrt{2}$  and  $c + d\sqrt{2}$  be elements of K(f). Then,

$$-(a+b\sqrt{2})\pm(c+d\sqrt{2})=(a\pm c)+(b\pm d)\sqrt{2}$$

$$-(a+b\sqrt{2})(c+d\sqrt{2}) = (ac+2bd) + (ad+bc)\sqrt{2}$$

- Set 
$$c + d\sqrt{2} \neq 0$$

$$\frac{a+b\sqrt{2}}{c+d\sqrt{2}} = \frac{(a+b\sqrt{2})(c-d\sqrt{2})}{c^2-2d^2}$$
$$= \frac{1}{c^2-2d^2} \left( (ac-2bd) + (bc-ad)\sqrt{2} \right)$$
$$= \frac{ac-2bd}{c^2-2d^2} + \frac{bc-ad}{c^2-2d^2}\sqrt{2}$$

- K(f) is indeed the smallest set.
  - Note that K(f) is a  $\mathbb{Q}$ -vector space, with basis  $\{1, \sqrt{2}\}$ . Therefore,  $\dim_{\mathbb{Q}} K(f) = 2$ . K(f) is known as the "splitting field" of f.

We want to consider a bijective function  $\varphi: K(f) \to K(f)$  with the following properties:

- $\varphi(r) = r$  for every  $r \in \mathbb{Q}$
- $\varphi(x + y) = \varphi(x) + \varphi(y)$
- $\varphi(xy) = \varphi(x)\varphi(y)$

We denote the collection of all such  $\varphi$  as  $\operatorname{Aut}(K(f)/\mathbb{Q})$ . This is a group under the operation  $\circ$  (composition). Specifically, we have

$$\varphi(a + b\sqrt{2}) = \varphi(a) + \varphi(b)\varphi(\sqrt{2})$$
$$= a + b\varphi(\sqrt{2}).$$

Notice

$$\left(\varphi(\sqrt{2})\right)^2 - 2 = \varphi\left(\left(\sqrt{2}\right)^2 - 2\right)$$
$$= \varphi(0)$$
$$= 0$$

Therefore,  $\varphi(\sqrt{2}) = \pm \sqrt{2}$ . Therefore, we have that the elements of Aut $(K(f)/\mathbb{Q})$  as the following:

$$\varphi_0: a + b\sqrt{2} \mapsto a + b\sqrt{2}$$

$$\varphi_1: a + b\sqrt{2} \mapsto a - b\sqrt{2}$$

$$\varphi_1 \circ \varphi_1 = \varphi_0$$

Thus,

$$Aut(K(f)/\mathbb{Q}) = \{\varphi_0, \varphi_1\}$$
$$\cong \mathbb{Z}/2\mathbb{Z}$$

## **Example: A Harder Polynomial**

Let  $f(x) = (x^2 - 2)(x^2 - 3)$ . Our roots are  $\{\pm\sqrt{2}, \pm\sqrt{3}\}$ . We want to form K(f) with the same properties. Let

$$K(f) = \mathbb{Q}(\sqrt{2}, \sqrt{3})$$
$$= \{a + b\sqrt{2} + c\sqrt{3} + d\sqrt{6} \mid a, b, c, d \in \mathbb{Q}\}.$$

Just as with our previous example, K(f) is a vector space over  $\mathbb{Q}$ , with basis  $\{1, \sqrt{2}, \sqrt{3}, \sqrt{6}\}$ , so  $\dim_{\mathbb{Q}} K(f) = 4$ .

Now, we want  $\operatorname{Aut}(K(f)/\mathbb{Q})$ . If  $\varphi \in \operatorname{Aut}(K(f)/\mathbb{Q})$ , then

$$\varphi(a+b\sqrt{2}+c\sqrt{3}+d\sqrt{6}) = a+b\varphi(\sqrt{2})+c\varphi(\sqrt{3})+d\varphi(\sqrt{6})$$
$$= a+b\varphi(\sqrt{2})+c\varphi(\sqrt{3})+d\varphi(\sqrt{2})\varphi(\sqrt{3}).$$

Thus, we need to know  $\varphi(\sqrt{2})$  and  $\varphi(\sqrt{3})$ . So,

$$f(\varphi(\sqrt{2})) = \left(\left(\varphi(\sqrt{2})\right)^2 - 2\right) \left(\left(\varphi(\sqrt{2})\right)^2 - 3\right)$$

and the same is the case with  $\varphi(\sqrt{3})$ . So,

$$\varphi(\sqrt{2}) \in \{\pm\sqrt{2}, \pm\sqrt{3}\}$$

$$\varphi(\sqrt{3}) \in \{\pm\sqrt{2}, \pm\sqrt{3}\}.$$

Suppose  $\varphi(\sqrt{2}) = \sqrt{3}$ . Then,

$$\left(\left(\varphi(\sqrt{2})\right)^2\right) = (\sqrt{3}^2 - 1)$$

$$= 0$$

$$= (\varphi(2) - 3)$$

$$= -1. \perp$$

Thus,

$$\varphi(\sqrt{2}) \in \{\pm\sqrt{2}\}\$$
  
 $\varphi(\sqrt{3}) \in \{\pm\sqrt{3}\},$ 

and we have the maps as:

$$\begin{aligned} & \varphi_0 : \sqrt{2} \mapsto \sqrt{2}, \sqrt{3} \mapsto \sqrt{3} \\ & \varphi_1 : \sqrt{2} \mapsto -\sqrt{2}, \sqrt{3} \mapsto \sqrt{3} \\ & \varphi_2 : \sqrt{2} \mapsto \sqrt{2}, \sqrt{3} \mapsto -\sqrt{3} \\ & \varphi_3 : \sqrt{2} \mapsto -\sqrt{2}, \sqrt{3} \mapsto -\sqrt{3} \end{aligned}$$

# **Example: A Cubic Polynomial**

Consider the function  $f(x) = x^3 - 2$ . The function has one real root,  $r_1 = \sqrt[3]{2}$ , and two complex roots. Let's examine  $\mathbb{Q}(\sqrt[3]{2}) = \{a + b\sqrt[3]{2} + c\sqrt[3]{4} \mid a, b, c \in \mathbb{Q}\}$ ;  $r_2$  and  $r_3$  are not in  $Q(\sqrt[3]{2})$ . We could instead consider  $\mathbb{Q}(\sqrt[3]{2}, r_1, r_2)$ .

$$x^{3} - 2 = (x - r_{1})(x^{2} + r_{1}x + r_{1}^{2})$$

$$r_{2} = \frac{-r_{1} + \sqrt{r_{1}^{2} - 4r_{1}^{2}}}{2}$$

$$= r_{1} \frac{-1 + \sqrt{-3}}{2}$$

$$= r_{1}\zeta_{3}$$

$$r_{3} = r_{1} \frac{-1 - \sqrt{-3}}{2}$$

$$= r_{1}\zeta_{3}^{2}$$

However, including  $r_2$  and  $r_3$  is excessive — all we need is  $\mathbb{Q}(\sqrt[3]{2},\zeta_3)$ . Therefore, the basis of this vector space is  $\{1,r_1,r_1^2,\zeta_3,\zeta_3r_1,\zeta_3r_1^2\}$  (note that  $\zeta_3^2=-1-\zeta_3$ ). Therefore,  $\dim_{\mathbb{Q}}\mathbb{Q}(\sqrt[3]{2},\zeta_3)=6$ , and  $\mathbb{Q}(\sqrt[3]{2},\zeta_3)=K(f)$ . Additionally, we have  $\mathrm{Aut}(\mathbb{Q}(\sqrt[3]{2})/\mathbb{Q})=\{\varphi_0\}$ , but  $\dim_{\mathbb{Q}}\mathbb{Q}(\sqrt[3]{2})=3$ . For the full field extension, we need to find  $\varphi(\sqrt[3]{2})$  and  $\varphi(\zeta_3)$ .

$$\varphi(\sqrt[3]{2}) \in \{r_1, \zeta_3 r_1, \zeta_3^2 r_1\} 
\varphi(\zeta) \in \{\zeta_3, \zeta_3^2\} 
\varphi_0 : r_1 \mapsto r_1, \zeta_3 \mapsto \zeta_3 
\varphi_1 : r_1 \mapsto \zeta_3 r_1, \zeta_3 \mapsto \zeta_3 
\varphi_2 : r_1 \mapsto r_1, \zeta_3 \mapsto \zeta_3^2 
\varphi_3 : r_1 \mapsto \zeta_3^2 r_1, \zeta_3 \mapsto \zeta_3^2 
\varphi_4 : r_1 \mapsto \zeta_3 r_1, \zeta_3 \mapsto \zeta_3^2 
\varphi_5 : r_1 \mapsto \zeta_3^2 r_1, \zeta_3 \mapsto \zeta_3^2$$

Therefore.

$$\begin{aligned} \mathsf{Aut}(\mathbb{Q}(\sqrt[3]{2},\zeta_3)/\mathbb{Q}) &= 6 \\ &= \dim_{\mathbb{Q}} \mathbb{Q}(\sqrt[3]{3},\sqrt[3]{2}) \end{aligned}$$

# Rings

Consider the integers under the normal operations,  $(\mathbb{Z}, +, \cdot)$ ; this will serve as the motivation for rings in the future.

# **Definition of a Ring**

Let R be a nonempty set with operations  $(+,\cdot)$ , with the following properties:

- (1) (R, +) is an abelian group:
  - Closed:  $r_1 + r_2 \in R$ ,  $\forall r_1, r_2 \in R$
  - Identity:  $\exists 0_R$ ,  $r + 0_R = 0_R + r = r$
  - Associativity:  $r_1 + (r_2 + r_3) = (r_1 + r_2) + r_3$
  - Inverse:  $\forall r \in R, \exists -r \in R, r + (-r) = 0_R$
  - Commutativity:  $r_1 + r_2 = r_2 + r_1$
- (2) Closure under Multiplication:  $r_1 \cdot r_2 \in R$ ,  $\forall r_1, r_2 \in R$
- (3) Associativity under Multiplication:  $r_1 \cdot (r_2 \cdot r_3) = (r_1 \cdot r_2) \cdot r_3$
- (4) Distributivity:  $r_1 \cdot (r_2 + r_3) = r_1 \cdot r_2 + r_2 \cdot r_3$ ,  $(r_1 + r_2) \cdot r_3 = r_1 \cdot r_3 + r_2 \cdot r_3$

We say  $(R, +, \cdot)$  is a ring if it satisfies all these properties.

If  $\exists 1_R \in R$  such that  $r \cdot 1_R = 1_R \cdot r = r$ , then we say R is a ring with identity, and  $1_R$  is the multiplicative identity. If multiplication is commutative, then R is known as a commutative ring.

## **Examples**

- (1)  $(\mathbb{Z}, +, \cdot), (\mathbb{Q}, +, \cdot), (\mathbb{R}, +, \cdot), (\mathbb{C}, +, \cdot)$  are commutative rings with identity value of 1.
- (2)  $(\mathbb{Z}/n\mathbb{Z}, +, \cdot)$  is a commutative ring with identity  $1_R = [1]_n$ .
- (3)  $(\mathbb{R}[x], +, \cdot)$ , where  $\mathbb{R}[x] = \left\{ \sum_{i=0}^{n} a_i x^i \mid a_i \in \mathbb{R} \right\}$ , is a commutative ring with identity.
- (4)  $(2\mathbb{Z}, +, \cdot)$  is a commutative ring *without* identity.
- (5)  $(\operatorname{Mat}_n(\mathbb{R}), +, \cdot)$ , where  $\operatorname{Mat}_n(\mathbb{R})$  refers to  $n \times n$  matrices with real entries, is a *non*commutative ring with identity.

# **Division Rings and Fields**

Let R be a ring with identity. We say R is a division ring if  $\forall r \in R \setminus \{0_R\}$ ,  $\exists r^{-1} \in R$  with  $r \cdot r^{-1} = 1_R = r^{-1} \cdot r$ . If R is also commutative, then R is a field.

### **Examples**

- (1)  $(\mathbb{Q}, +, \cdot)$ ,  $(\mathbb{R}, +, \cdot)$ , and  $(\mathbb{C}, +, \cdot)$  are all fields.
- (2) Let p be prime, and set  $F = \mathbb{Z}/p\mathbb{Z}$ . Then, F is a field; we denote this  $\mathbb{F}_p$ .
- (3) Define

$$\mathbb{H} = \{a + bi + cj + dk \mid a, b, c, d \in \mathbb{R}, i^2 = j^2 = k^2 = -1, ij = k = -ji, jk = i = -kj, ki = j = -ik\}.$$

Then,  $\mathbb H$  is a division ring, known as the Hamiltonian quaternions. Note that  $\mathbb C\subset\mathbb H$ .

# **Properties of Rings**

**Proposition 4.1:** Let *R* be a ring.

- (1)  $0_R a = a0_r = 0 \ \forall a \in R$
- (2)  $(-a)b = a(-b) = -(ab) \ \forall a, b \in R$
- (3)  $(-a)(-b) = ab \ \forall a, b \in R$
- (4) If  $\exists 1_R \in R$ , then  $1_R$  is unique, and  $-a = (-1_R)a$ .

**Proof of (1):** Let  $a \in R$ . Then,

$$0_R a = (0_R + 0_R)a$$
 Additive Inverse  $0_R a = 0_R a + 0_R a$  Distributivity  $0_R a + (-0_R a) = 0_R a + 0_R a(-0_R a)$  Additive Inverse  $0_R a = 0_R a$ .

**Proof of (2):** Let  $a, b \in R$ . Note that -(ab) is the unique inverse such that  $ab + (-(ab)) = 0_R$  via group theory. We have

$$ab + (-a)b = (a + (-a))b$$
 Distributivity  
=  $(0_R)b$  Additive Inverse  
=  $0_R$ . By Property (1)

Thus, (-a)b = -(ab).

# Zero Divisor and Units in Rings

Let  $a \in R$ ,  $a \neq 0_R$ . If  $\exists b \in R$  with  $b \neq 0_R$  such that  $ab = 0_R = ba$ , then we say a is a zero divisor.

If  $1_R \in R$ , we say  $u \in R$  is a unit if  $\exists v \in R$  (can be equal to u) with  $uv = 1_R = vu$ . The collection of units in R is denoted  $R^{\times}$ .

**Exercise:** Show that  $R^{\times}$  is a group under multiplication.

#### **Examples**

- (1) Let  $R = \mathbb{Z}/6\mathbb{Z}$ . Note that  $[2]_6[3]_6 = [6]_6 = [0]_6$ , so both  $[2]_6$  and  $[3]_6$  are both zero divisors. Additionally,  $[4]_6[3]_6 = [6]_6 = [0]_6$ . Meanwhile, since  $(\mathbb{Z}/6\mathbb{Z})^{\times} = \{[1]_6, [5]_6\}$ , those are the two units of  $\mathbb{Z}/6\mathbb{Z}$ .
- (2)  $\mathbb{Z}$  has no zero divisors.  $\mathbb{Z}^{\times} = \{\pm 1\}$ .
- (3)  $\mathbb{Q}$  has no zero divisors.  $\mathbb{Q}^{\times} = \mathbb{Q} \setminus \{0\}$ .
- (4)  $\mathbb{Z}[i] = \{a + bi \mid a, b \in \mathbb{Z}, i^2 = -1\}$  has no zero divisors (as  $\mathbb{C}$  is a field).  $\mathbb{Z}[i]^{\times} = \{\pm 1, \pm i\}$ .

# **Subrings**

Let  $(R, +, \times)$ . If  $S \subseteq R$  is a nonempty subset, and  $(S, +, \cdot)$  is a ring, then S is a subring of R. To see S is a subring, it is enough to show:

- S ≠ ∅.
- *S* is closed under subtraction.
- S is closed under multiplication of elements in S.

## **Examples**

(1)

$$\underbrace{\mathbb{Z}\subseteq\mathbb{Q}\subseteq\mathbb{R}\subseteq\mathbb{C}}_{\text{subrings}}$$

- (2)  $\mathbb{R} \subseteq \mathbb{R}[x]$  is a subring.
- (3)  $S = \{[0]_4, [2]_4\} \subseteq \mathbb{Z}/4\mathbb{Z}$  is a subring.

# **Integral Domains**

Let R be a commutative ring with identity. We say R is an integral domain if R has no zero divisors.

### **Examples**

- (1)  $\mathbb{Z}$ , the integers, is an integral domain, that is not a field.
- (2) All fields are integral domains.
- (3)  $\mathbb{Z}/6\mathbb{Z}$  is *not* an integral domain, as it has zero divisors.
- (4)  $\mathbb{Z}/n\mathbb{Z}$  is not an integral domain if n is composite.

Integral domains are nice due to allowance of cancellations. For example, if 2m = 2n in  $\mathbb{Z}$ , then we find 2(m-n) = 0, and since  $\mathbb{Z}$  has no zero divisors, it must be the case that m = n.

However, in a ring that is not an integral domain, such as  $\mathbb{Z}/6\mathbb{Z}$ , we cannot use the same technique to find the solution to a similar equation. For example,  $3 \cdot 2 = 0 = 3 \cdot 4$ , but  $2 \neq 4$ .

### **Proposition: Equations in Integral Domains**

Let R be an integral domain. If  $a, b, c \in R$  with  $a \neq 0_R$ , and ab = ac, then b = c.

### **Proof:**

Since  $a \neq 0$ ,

$$ab = ac$$

$$a(b - c) = 0_R$$

$$b - c = 0_R$$

b = c.

### Theorem: Finite Integral Domains and Fields

If R is an integral domain, and  $card(R) < \infty$ , then R is a field.

**Proof:** Let  $a \in R$ ,  $a \neq 0_R$ . Note  $ab \neq 0_R$  for all  $b \in R$ ,  $b \neq 0_R$ .

Define  $\varphi_a: R \setminus \{0_R\} \to R \setminus \{0_R\}$ ,  $b \mapsto ab$ . If  $\varphi_a(b) = \varphi_a(c)$ , then ab = ac, and by our previous result, b = c — therefore,  $\varphi_a$  is injective.

Since  $R \setminus \{0_R\}$  is finite, and  $\varphi_a$  is injective, then  $\varphi_a$  is surjective. In particular, this means  $\exists b \in R \setminus \{0_R\}$  with  $\varphi_a(b) = 1_R$ ; therefore,  $ab = 1_R$ . Since R is commutative,  $ba = 1_R$ , so  $b = a^{-1}$ .

## **Examples of Abstract Rings**

### Ring of Integers in a Field

Let  $d \in \mathbb{Z}$ , d is square-free (there is no square that divides d). Set  $\mathbb{Q}(\sqrt{d}) = \{a + b\sqrt{d} \mid a, b \in \mathbb{Q}\} \subseteq \mathbb{C}$ . This is a field (can be verified as a subfield of  $\mathbb{C}$ ).

We can define

$$\mathcal{O}_{\mathbb{Q}\left(\sqrt{d}\right)} = \begin{cases} \mathbb{Z}\left[\sqrt{d}\right] = \left\{a + b\sqrt{d} \mid a, b \in \mathbb{Z}\right\} & d \equiv 2, 3 \mod 4 \\ \mathbb{Z}\left[\frac{1+\sqrt{d}}{2}\right] = \left\{a + b\left(\frac{1+\sqrt{d}}{2}\right) \mid a, b \in \mathbb{Z}\right\} & d \equiv 1 \mod 4 \end{cases}.$$

Then,  $\mathcal{O}_{\mathbb{Q}(\sqrt{d})}$  is a subring of  $\mathbb{Q}(\sqrt{d})$ . This is known as the ring of integers of  $\mathbb{Q}(\sqrt{d})$ . This set behaves in  $\mathbb{Q}(\sqrt{d})$  the same say that  $\mathbb{Z}$  does inside  $\mathbb{Q}$ . The set  $\mathcal{O}_{\mathbb{Q}(\sqrt{d})}$  is the collection of all roots in  $\mathbb{Q}(\sqrt{d})$  of monic (coefficient of highest degree is 1) polynomials with coefficients in  $\mathbb{Z}$ .

For example, if d = -1, defining  $\mathbb{Q}(i)$ , then we can verify that  $\mathbb{Z}[i]$  is a root of a monic polynomial with coefficients in  $\mathbb{Z}$ .

#### Ring of Matrices

Let R be a ring. Then,

$$Mat_n(R) = \{n \times n \text{ matrices with entries in } R\}$$

is a ring under matrix addition and multiplication.

### Ring of Functions

Let  $L^1(\mathbb{R})$  be all functions  $f: \mathbb{R} \to \mathbb{R}$  such that

$$\int_{\mathbb{R}} |f(x)| dx$$

exists. The set  $L^1(\mathbb{R})$  is a ring under pointwise addition and convolution, where convolution is defined as

$$(f * g)(x) = \int_{\mathbb{R}} f(x - y)g(y)dy.$$

This is a commutative ring without identity.

### **Group Ring**

Let K be a field and G a group. Set K[G] to be all formal linear combinations of the form

$$\alpha = \sum_{x \in G} a_x x,$$

with  $a_x \in K$ ,  $x \in G$ , with  $a_x = 0$  for all but finitely many x.

Given

$$\alpha = \sum_{x \in G} a_x x$$
$$\alpha = \sum_{y \in G} b_y y,$$

define

$$\alpha + \beta = \sum_{x \in G} (a_x + b_x)x$$

$$\alpha \beta = \sum_{x \in G} \sum_{y \in G} a_x b_y xy$$

$$= \sum_{x \in G} \left( \sum_{xy = z} a_x b_y \right) z.$$

This is a ring under these operations, known as the group ring. It is commutative if and only if G is abelian.

#### Polynomials under a Ring

Let R be a ring. Set

$$R[x] = \left\{ \sum_{i=1}^{n} a_i x^i \mid a_i \in R, n \in \mathbb{Z}_{\geq 0} \right\}$$

to be the all polynomials with coefficients in R. This is a ring under polynomial addition and multiplication. If R is commutative, then R[x] is commutative.

## **Proposition: Polynomial Properties**

Let R be an integral domain, with p(x),  $q(x) \in R[x] \setminus \{0\}$ . Then:

- $(1) \deg(p(x)q(x)) = \deg(p(x)) + \deg(q(x))$
- (2)  $R[x]^{\times} = R^{\times}$
- (3) R[x] is an integral domain.

### Proof of (1): Let

$$p(x) = a_m x^m + \dots + a_1 x + a_0$$
  
 $q(x) = b_n x^n + \dots + b_1 x + b_0$ 

with  $a_m, b_n \neq 0$  —  $\deg(p) = m$  and  $\deg(q) = n$ . Then,

$$p(x)q(x) = a_m b_n x^{m+n} + \text{lower degree terms},$$

and since  $a_m b_n \neq 0$  as R is an integral domain with  $a_m, b_n \neq 0$ ,  $\deg(pq) = m + n$ .

# **Ring Homomorphism**

Let R and S be rings. A ring homomorphism between R and S is a map  $\varphi: R \to S$  that satisfies the following properties for all  $r_1, r_2 \in R$ :

(1) 
$$\varphi(r_1 +_R r_2) = \varphi(r_1) +_S \varphi(r_2)$$

(2) 
$$\varphi(r_1 \cdot_R r_2) = \varphi(r_1) \cdot_S \varphi(r_2)$$

The kernel of a ring homomorphism  $\varphi$  is given by

$$ker(\varphi): \{r \in R \mid \varphi(r) = 0_S\}$$

A bijective ring homomorphism is called an isomorphism. If there exists such a bijection between R and S, we say R and S are isomorphic.

If  $\varphi$  is an isomorphism, we write

$$\varphi: R \xrightarrow{\simeq} S$$

# **Examples: Ring Homomorphisms**

### Not a Ring Homomorphism

Let  $R = \mathbb{Z}$  and  $S = 2\mathbb{Z}$ . Define

$$\varphi: \mathbb{Z} \to 2\mathbb{Z}$$
$$n \mapsto 2n.$$

Let  $m, n \in \mathbb{Z}$ . We have

$$\varphi(m+n) = 2(m+n)$$

$$= 2m + 2n$$

$$= \varphi(m) + \varphi(n).$$

However,

$$\varphi(mn) = 2(mn)$$
$$\varphi(m)\varphi(n) = 4(mn).$$

# Homomorphism between Integers and Integers Modulo $\it n$

Consider  $R = \mathbb{Z}$  and  $S = \mathbb{Z}/n\mathbb{Z}$ . Define

$$\varphi: \mathbb{Z} \to \mathbb{Z}/n\mathbb{Z}$$
$$a \mapsto [a]_n.$$

Let  $a, b \in \mathbb{Z}$ . We have

$$\varphi(a+b) = [a+b]_n$$

$$= [a]_n + [b]_n$$

$$= \varphi(a) + \varphi(b).$$

Additionally, we have

$$\varphi(ab) = [ab]_n$$

$$= [a]_n[b]_n$$

$$= \varphi(a)\varphi(b).$$

So,  $\varphi$  is a ring homomorphism. Note that

$$\ker(\varphi) = \{ a \in \mathbb{Z} \mid \varphi(a) = [0]_n \}$$
$$= \{ a \in \mathbb{Z} \mid [a]_n = [0]_n \}$$
$$= \{ a \in \mathbb{Z} \mid n | a \}$$
$$= n\mathbb{Z}.$$

## Homomorphism Between the Polynomials and Reals

Let  $S = \mathbb{R}[x]$  and  $T = \mathbb{R}$ . Define

$$\varphi_a: \mathbb{R}[x] \to \mathbb{R}$$

$$f \mapsto f(a)$$

Let f(x),  $g(x) = \mathbb{R}[x]$ . Then,

$$\varphi_{a}(f(x) + \varphi(g)(x)) = \varphi_{a}((a_{0} + b_{0}) + \dots + (a_{m} + b_{m})x^{m} + b_{m+1}x^{m+1} + \dots + b_{n}x^{n})$$

$$= (a_{0} + b_{0}) + \dots + (a_{m} + b_{m})a^{m} + b_{m+1}a^{m+1} + \dots + b_{n}a^{n}$$

$$= \varphi_{a}(f(x)) + \varphi_{a}(g(x)).$$

Similarly, we can verify that  $\varphi_a(f(x)g(x)) = \varphi_a(f(x))\varphi_a(g(x))$ . So,  $\varphi_a$  is a ring homomorphism. Note that

$$\ker(\varphi_a) = \{ f(x) \in \mathbb{R}[x] \mid f(a) = 0 \}$$
$$= \{ f(x) \in \mathbb{R}[x] \mid (x - a) \mid f(x) \}$$
$$= (x - a) \mathbb{R}[x]$$

## Homomorphism between Matrices

Define

$$R = \left\{ \begin{bmatrix} a & b \\ 0 & d \end{bmatrix} \in \mathsf{Mat}_2(\mathbb{R}) \right\}$$
$$S = \mathbb{R}.$$

and

$$\varphi: R \to S$$

$$\begin{bmatrix} a & b \\ 0 & d \end{bmatrix} \mapsto a.$$

Then,

$$\begin{split} \varphi\left(\begin{bmatrix} a_1 & b_1 \\ 0 & d_1 \end{bmatrix} + \begin{bmatrix} a_2 & b_2 \\ 0 & d_2 \end{bmatrix}\right) &= \varphi\left(\begin{bmatrix} a_1 + a_2 & b_1 + b_2 \\ 0 & d_1 + d_2 \end{bmatrix}\right) \\ &= a_1 + a_2 \\ &= \varphi\left(\begin{bmatrix} a_1 & b_1 \\ 0 & d_1 \end{bmatrix}\right) + \varphi\left(\begin{bmatrix} a_2 & b_2 \\ 0 & d_2 \end{bmatrix}\right), \end{split}$$

and

$$\varphi\left(\begin{bmatrix} a_1 & b_1 \\ 0 & d_1 \end{bmatrix} \begin{bmatrix} a_2 & b_2 \\ 0 & d_2 \end{bmatrix}\right) = \varphi\left(\begin{bmatrix} a_1 a_2 & a_1 b_2 + b_1 d_2 \\ 0 & d_1 d_2 \end{bmatrix}\right)$$

$$= a_1 a_2$$

$$= \varphi\left(\begin{bmatrix} a_1 & b_1 \\ 0 & d_1 \end{bmatrix}\right) \varphi\left(\begin{bmatrix} a_2 & b_2 \\ 0 & d_2 \end{bmatrix}\right).$$

So  $\varphi$  is a ring homomorphism that is surjective but not injective. Note

$$\ker(\varphi) = \left\{ \begin{bmatrix} 0 & b \\ 0 & d \end{bmatrix} \mid b, d \in \mathbb{R} \right\}.$$

### **Proposition: Fundamental Theorem of Ring Homomorphisms**

Let  $\varphi: R \to S$  be a ring homomorphism.

- (1) The image of  $\varphi$ ,  $\varphi(R) = \{s \in S \mid s = \varphi(r) \text{ for some } r \in R\}$ , is a subring of S.
- (2) The kernel,  $ker(\varphi)$ , is a subring of R.

Additionally, for any  $r \in R$ , and  $a \in \ker(\varphi)$ ,  $ar \in \ker(\varphi)$  and  $ra \in \ker(\varphi)$ .

**Proof of (2):** To show  $\ker(\varphi)$  is a subring, we must show that  $\ker(\varphi)$  is non-empty, closed under subtraction, and closed under multiplication.

First, since  $\varphi(0_R) = 0_S$  (verify this),  $\ker(\varphi)$  is non-empty.

Let  $a, b \in \ker(\varphi)$ . We have

$$\varphi(a-b) = \varphi(a+(-b))$$

$$= \varphi(a) + \varphi(-b)$$

$$= \varphi(a) - \varphi(b)$$

$$= 0_S - 0_S$$

$$= 0_S.$$
check  $\varphi(-b) = -\varphi(b)$ 

Thus,  $a - b \in \ker(\varphi)$ , and  $\ker(\varphi)$  is closed under subtraction.

To show  $\ker(\varphi)$  is closed under multiplication, we will prove the general case. Let  $a \in \ker(\varphi)$  and  $r \in R$ . We have

$$\varphi(ra) = \varphi(r)\varphi(a)$$
$$= \varphi(r)0_S$$
$$= 0_S.$$

Similarly,  $\varphi(ar) = 0_S$ . So,  $ar, ra \in \ker(\varphi)$ .

The stronger condition that we found for  $ker(\varphi)$  (closed under multiplication of all elements of the ring, not merely those from the subring) forms what we call an ideal.

## **Quotient Rings**

## Defining an Equivalence Relation on a Ring

Set  $K = \ker(\varphi)$ . We will define a relation on R,  $\sim$ , where  $r_1 \sim r_2$  if  $r_1 - r_2 \in K$ . We want to see if  $\sim$  is an equivalence relation:

- Reflexive:  $r \sim r$  since  $r r = 0_R \in K$ .
- Symmetric:  $r_1 \sim r_2$  implies  $r_1 r_2 = k$  for some  $k \in K$ . Since k is a subring,  $-k \in K$ , so  $r_2 r_1 \in K$ .

• Transitive: suppose  $r_1 \sim r_2$  and  $r_2 \sim r_3$ . This means there are elements  $k_1, k_2 \in K$  with  $r_1 - r_2 = k_1$  and  $r_2 - r_3 = k_2$ . Since K is a subring,  $(r_1 - r_2) + (r_2 - r_3) = r_1 - r_3 = k_1 + k_2 \in K$ . Thus,  $r_1 \sim r_3$ .

Since  $\sim$  is reflexive, symmetric, and transitive,  $\sim$  is an equivalence relation on R.

Since  $\sim$  is an equivalence relation on R, we will want to examine equivalence classes of R under  $\sim$ . Specifically, for  $r \in R$ , we have

$$[r]_{K} = \{ \tilde{r} \in R \mid r - \tilde{r} \in K \}$$

$$= \{ \tilde{r} \in R \mid r - \tilde{r} = k \text{ for some } k \in K \}$$

$$= \{ r + k \mid k \in K \}$$

$$= r + K.$$

We will define the set

$$R/K = \{r + K \mid r \in R\}$$

to be the set of all equivalence classes.

**Example:** Let  $\varphi : \mathbb{Z} \to \mathbb{Z}/n\mathbb{Z}$ ,  $a \mapsto [a]_n$ . Then,  $\ker(\varphi) = n\mathbb{Z}$ . Then,  $R/K = \mathbb{Z}/n\mathbb{Z}$ .

Let  $r_1 + K$ ,  $r_2 + K \in R/K$ . The new question is whether or not we can define addition and multiplication on R/K. Suppose that the following are the definition of multiplication and addition on R/K.

$$(r_1 + K) + (r_2 + K) = (r_1 + r_2) + K$$
  
 $(r_1 + K)(r_2 + K) = (r_1r_2) + K.$ 

Suppose  $r_1 + K = \tilde{r_1} + K$  and  $r_2 + K = \tilde{r_2} + K$ . This means there are  $k_1, k_2 \in K$  with  $r_1 - \tilde{r_1} = k_1, r_2 - \tilde{r_2} = k_2$ , or that  $r_1 = \tilde{r_1} + k_1, r_2 = \tilde{r_2} + k_2$ .

To see if the map is well-defined, we have

$$(r_1 + K) + (r_2 + K) = (r_1 + r_2) + K$$
  
=  $(\tilde{r_1} + k_1 + \tilde{r_2} + k_2) + K$   
=  $(\tilde{r_1} + k_1) + K + (\tilde{r_2} + k_2) + K$   
=  $(\tilde{r_1} + K) + (\tilde{r_2} + K)$ 

since  $\tilde{r}_1 + k_1 - \tilde{r}_1 = k \in K$ .

Thus, our addition is well-defined.

Examining multiplication, we see that

$$(r_{1} + K)(r_{2} + K) = r_{1}r_{2} + K$$

$$= (\tilde{r}_{1} + k_{1})(\tilde{r}_{2} + k_{2}) + K$$

$$= \tilde{r}_{1}\tilde{r}_{2} + \underbrace{k_{1}\tilde{r}_{2} + \tilde{r}_{1}k_{2} + k_{1}k_{2} + K}_{\in K \text{ since } K = \ker(\varphi)}$$

$$= \tilde{r}_{1}\tilde{r}_{2} + K.$$

Therefore, our multiplication is well-defined.

We can show that R/K is a ring (verify for yourself).

Note: This construction would not have worked if K was merely a subring, as multiplication would not be well-defined.

#### Ideals

Let  $I \subseteq R$  be a subring.

- (1) If  $ra \in I$  for every  $r \in R$ , we say I is a left-ideal of R.
- (2) If  $ar \in I$  for every  $r \in R$ , then we say I is a right-ideal of R.
- (3) If I is a left-ideal and a right-ideal of R, then we say I is an ideal of R.

If  $I \subseteq R$  is an ideal, we define  $r_1 \sim_I r_2$  if  $r_1 - r_2 \in I$ , and  $R/I = \{r + I \mid r \in I\}$ . Addition and multiplication in R/I are defined as

$$(r_1 + I) + (r_2 + I) = (r_1 + r_2) + I$$
  
 $(r_1 + I)(r_2 + I) = r_1r_2 + I$ .

### **Examples of Ideals**

- (1)  $n\mathbb{Z} \subseteq \mathbb{Z}$  is an ideal; if  $nk \in n\mathbb{Z}$ , and  $m \in \mathbb{Z}$ , then  $m(nk) = n(mk) \in n\mathbb{Z}$ .
- (2) Let  $R = \mathbb{Z}[x]$ . Set  $\langle x^2 \rangle = \{ f(x)x^2 \mid f(x) \in \mathbb{Z}[x] \}$ . This is an ideal.
- (3) Let *R* be a ring. If  $r \in R$ , we define  $\langle r \rangle = \{ar \mid a \in R\}$ .
- (4) Set  $I = \{(2n,0) \mid n \in \mathbb{Z}\}$  in  $\mathbb{Z} \times \mathbb{Z}$ . Let  $(a,b) \in \mathbb{Z} \times \mathbb{Z}$ . Then,  $(a,b)(2n,0) = (2an,0) \in I$ , meaning I is an ideal
- (5) Define  $R = \left\{ \begin{bmatrix} a & b \\ 0 & d \end{bmatrix} \in \operatorname{Mat}_2(\mathbb{R}) \right\}$ . Consider  $I = \left\{ \begin{bmatrix} a & 0 \\ 0 & d \end{bmatrix} \mid a, b \in \mathbb{R} \right\}$ . Then,

$$\begin{bmatrix} a & b \\ 0 & d \end{bmatrix} \begin{bmatrix} s & 0 \\ 0 & t \end{bmatrix} = \begin{bmatrix} as & bt \\ 0 & dt \end{bmatrix}$$
$$\begin{bmatrix} s & 0 \\ 0 & t \end{bmatrix} \begin{bmatrix} a & b \\ 0 & d \end{bmatrix} = \begin{bmatrix} sa & sb \\ 0 & td \end{bmatrix}.$$

Therefore, I is a subring but not an ideal.

(6) Let  $R = \mathbb{Z}[x]$ . Consider  $I = \langle 2, x \rangle = \{2f(x) + g(x) \mid f(x), g(x) \in \mathbb{Z}[x]\}$ . Then,

$$(2f_1(x) + xg(x))(2f_2(x) + xg_2(x)) = 2(f_1(x)(2f_2(x) + xg_2(x))) + x(g_1(x)(2f_2(x) + xg_2(x)))$$
$$h(x)(2f(x) + xg(x)) = 2(f(x)h(x)) + x(g(x)h(x)),$$

meaning I is an ideal.

### **Examples of Quotient Rings**

- (1) Let  $R = \mathbb{Z}$ ,  $I = n\mathbb{Z}$ . Then,  $R/I = \mathbb{Z}/n\mathbb{Z}$ .
- (2) Let  $R = \mathbb{R}[x]$ ,  $I = \langle x^2 \rangle$  as defined earlier. Then,

$$R/I = \mathbb{R}[x]/\langle x^2 \rangle$$
$$= f(x) + \langle x^2 \rangle.$$

Other examples include

$$f(x) = a_n x^n + \dots + a_1 x + a_0 \in \mathbb{R}[x]$$

$$f(x) + \langle x^2 \rangle = a_1 x + a_0 + \langle x^2 \rangle \in \mathbb{R}[x] / \langle x^2 \rangle$$

$$\mathbb{R}[x] / \langle x^2 \rangle = \{ a + bx + \langle x^2 \rangle \mid a, b \in \mathbb{R} \}.$$

$$(a + bx + \langle x^2 \rangle)(c + dx \langle x^2 \rangle) = ac + adx + bcx + bdx^2 + \langle x^2 \rangle$$

$$= (ac) + (ad + bc)x + \langle x^2 \rangle$$

$$(x + \langle x^2 \rangle)^2 = x^2 + \langle x^2 \rangle$$

$$= \langle x^2 \rangle.$$

(3) Let  $R = \mathbb{Z} \times \mathbb{Z}$ ,  $I = \{(2n, 0) \mid n \in \mathbb{Z}\}$ . Then,

$$R/I = \{(a, b) + I \mid a, b \in \mathbb{Z}\}.$$
  
 $(a, b) + I = ([a]_2, b) + I$  where  $[a]_2$  is a modulo 2.

We would expect that  $\varphi: \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z} \to R/I$ , ([a]<sub>2</sub>, b)  $\to$  (a, b) + I is an isomorphism (verify for yourself).

### Isomorphisms to Quotient Rings

Let 
$$R = \mathbb{Z}[x]$$
,  $I = \langle 2, x \rangle$ ,  $J = \langle 2 \rangle = \{2f(x) \mid f(x) \in \mathbb{Z}[x]\}$ .

$$R/J = \{ f(x) + \langle 2 \rangle \mid f(x) \in \mathbb{Z}[x] \}$$
$$f(x) + \langle 2 \rangle = g(x) + \langle 2 \rangle$$

if 2|(f(x)-g(x)), meaning all coefficients of f(x)-g(x) are divisible by 2. Therefore,

$$f(x) + \langle 2 \rangle = 5 + 4x + 7x^{2} - 5x^{3} \langle 2 \rangle$$

$$= (1 + (2)(2)) + 2(2x) + x^{2} + 2(3x^{2}) - x^{3} - 2(2x^{3}) + \langle 2 \rangle$$

$$= 1 + x^{2} - x^{3} + \langle 2 \rangle$$

$$= 1 + x^{2} - 2(x^{3}) + x^{3} + \langle 2 \rangle$$

$$= 1 + x^{2} + x^{3} + \langle 2 \rangle$$

$$= 1 + x^{2} + x^{3} + \langle 2 \rangle$$

$$(1 + x + x^{2} + \langle 2 \rangle) + (x + \langle 2 \rangle) = 1 + 2x + x^{2} + \langle 2 \rangle$$

$$= 1 + x^{2} + \langle 2 \rangle$$

Therefore, we can consider

$$\mathbb{Z}[x]/\langle 2 \rangle = \mathbb{Z}[x]/2\mathbb{Z}[x]$$
  
 $\cong \mathbb{Z}/2\mathbb{Z}.$ 

$$R/I = \mathbb{Z}[x]/\langle 2, x \rangle$$

$$f(x) + \langle 2, x \rangle = a_n x^n + \dots + a_1 x + a_0 + \langle 2, x \rangle$$

$$= a_0 + \langle 2, x \rangle$$

$$= \begin{cases} 0 & 2|a_0 \\ 1 & 2 \not|a_0 \end{cases},$$

So, we can consider

$$\mathbb{Z}[x]/\langle 2, x \rangle \cong \mathbb{Z}/2\mathbb{Z}.$$

### Isomorphism Example: Complex Numbers to Matrices

Consider the set

$$R = \left\{ \begin{bmatrix} a & b \\ -b & a \end{bmatrix} \in \mathsf{Mat}_2(\mathbb{R}) \right\}.$$

We can verify that R is a ring.

Define

$$\varphi: \mathbb{C} \to R$$
$$a + bi \mapsto \begin{bmatrix} a & b \\ -b & a \end{bmatrix}.$$

We can verify that  $\varphi$  is a bijective map.

Let a + bi,  $c + di \in \mathbb{C}$ . Then,

$$\varphi((a+bi)+(c+di)) = \varphi((a+c)+(b+d)i)| = \begin{bmatrix} a+c & b+d \\ -(b+d) & a+c \end{bmatrix}$$

$$= \begin{bmatrix} a & b \\ -b & a \end{bmatrix} + \begin{bmatrix} c & d \\ -d & c \end{bmatrix}$$

$$= \varphi(a+bi) + \varphi(c+di),$$

and

$$\varphi((a+bi)(c+di)) = \varphi((ac-bd) + (ad+bc)i)$$

$$= \begin{bmatrix} ac-bd & ad+bc \\ -(ad+bc) & ac-bd \end{bmatrix}$$

$$\varphi(a+bi)\varphi(c+di) = \begin{bmatrix} a & b \\ -b & a \end{bmatrix} \begin{bmatrix} c & d \\ -d & c \end{bmatrix}$$

$$= \begin{bmatrix} ac-bd & ad+bc \\ -(ad+bc) & ac-bd \end{bmatrix}.$$

Therefore,  $\mathbb{C} \cong R$ .

# First Isomorphism Theorem

Let  $\varphi : R \to S$  be a homomorphism. We have  $R / \ker \varphi \cong \varphi(R)$ .

### **Proof of the First Isomorphism Theorem**

We want to show that  $R/\ker(\varphi) \cong \varphi(R)$ . Without loss of generality, assume  $\varphi$  is surjective. Let  $K = \ker(\varphi)$ .

We define  $\Phi: R/K \to S$ ,  $r+K \mapsto \varphi(r)$ . We must show that  $\Phi$  is a well-defined map. Let  $r_1+K=r_2+K$  (meaning  $r_1-r_2 \in K$ ). This means  $r_1=r_2+k$  for some  $k \in K$ . Applying  $\Phi$ , we have

$$\Phi(r_1 + K) = \varphi(r_1)$$

$$= \varphi(r_2 + k)$$

$$= \varphi(r_2) + \varphi(k)$$

$$= \varphi(r_2)$$

$$= \Phi(r_2 + K).$$

Let  $r_1 + K$ ,  $r_2 + K \in R/K$ . Observe

$$\Phi((r_1 + K) + (r_2 + K)) = \Phi((r_1 + r_2) + K)$$

$$= \varphi(r_1 + r_2)$$

$$= \varphi(r_1) + \varphi(r_2)$$

$$= \Phi(r_1 + K) + \Phi(r_2 + K),$$

and

$$\Phi((r_1 + K)(r_2 + K)) = \Phi(r_1 r_2 + K) 
= \varphi(r_1 r_2) 
= \varphi(r_1)\varphi(r_2) 
= \Phi(r_1 + K)\Phi(r_2 + K),$$

meaning  $\Phi$  is a homomorphism.

Let  $s \in S$ . Since  $\varphi$  is surjective, there exists  $r \in R$  with  $\varphi(r) = s$ . So,  $\Phi(r + K) = \varphi(r) = s$ . Thus,  $\Phi$  is surjective.

Let  $r + K \in \ker(\Phi)$ . Then,

$$\Phi(r+k) = 0_S \\
= \varphi(r),$$

meaning  $r \in \ker(\varphi) = K$ . So,  $r + K = 0_R + K = 0_{R/K}$ . Thus,  $\Phi$  is injective.

## Using the First Isomorphism Theorem: Example 1

Let 
$$\varphi : \mathbb{Z}[x] \to \mathbb{Z}/2\mathbb{Z}$$
,  $a_0 + a_1x + \cdots + a_nx^n \mapsto [a_0]_2$ .

To apply the first isomorphism theorem, we must check that this is a ring homomorphism. Let

$$f = a_0 + a_1 x + \dots + a_m x^m$$
  
 $q = b_0 + b_1 x + \dots + b_m x^m$ 

be elements in  $\mathbb{Z}[x]$ . Note that

$$\varphi(f+g) = \varphi((a_0 + b_0) + \cdots)$$

$$= [a_0 + b_0]_2$$

$$= [a_0]_2 + [b_0]_2$$

$$= \varphi(f) + \varphi(g)$$

and

$$\varphi(fg) = \varphi((a_0b_0) + \cdots)$$

$$= [a_0b_0]_2$$

$$= [a_0]_2 + [b_0]_2$$

$$= \varphi(f)\varphi(g).$$

So  $\varphi$  is a homomorphism. Note that  $\varphi(0) = [0]_2$  and  $\varphi(1) = [1]_2$ . The first isomorphism theorem gives that  $\mathbb{Z}[x]/\ker \varphi \cong \mathbb{Z}/2\mathbb{Z}$ .

We claim that  $\ker \varphi = \langle 2, x \rangle$ .

If  $2f(x) + xg(x) \in (2, x)$ , and we write  $f(x) = a_0 + a_1x + \cdots + a_nx^n$ , then

$$\varphi(2f(x) + g(x)) = \varphi(2)\varphi(f(x)) + \varphi(x)\varphi(g(x))$$
  
=  $[0]_2[a_0]_2 + [0]_2\varphi(g(x))$   
=  $[0]_2$ ,

so  $\langle 2, x \rangle \subseteq \ker \varphi$ .

Let  $f(x) = a_0 + a_1x + \cdots + a_nx^n \in \ker(\varphi)$ , meaning

$$[0]_2 = \varphi(f(x))$$
$$= [a_0]_2.$$

Therefore,  $a_0 = 2k$ . So,

$$f(x) = 2kx(a_1 + a_2x + \dots + a_nx^{n-1})$$
  
  $\in \langle 2, x \rangle.$ 

Thus,  $\ker(\varphi) \subseteq \langle 2, x \rangle$ , meaning  $\ker(\varphi) = \langle 2, x \rangle$ .

By the first isomorphism theorem,  $\mathbb{Z}[x]/\langle 2, x \rangle \cong \mathbb{Z}/2\mathbb{Z}$ .

# Using the First Isomorphism Theorem: Example 2

We want to find the ring that is isomorphic to  $(\mathbb{Z} \times \mathbb{Z})/(2\mathbb{Z} \times 5\mathbb{Z})$ . We define

$$\varphi: \mathbb{Z} \times \mathbb{Z} \to \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/5\mathbb{Z}$$
$$(m, n) \mapsto ([m]_2, [n]_5).$$

We will start by showing homomorphism as follows:

$$\varphi((m_1, n_1) + (m_2, n_2)) = \varphi((m_1 + m_2, n_1 + n_2))$$

$$= ([m_1 + m_2]_2, [n_1 + n_2]_5)$$

$$= ([m_1]_2 + [m_2]_2, [n_1]_5 + [n_2]_5)$$

$$= ([m_1]_2, [n_1]_5) + ([m_2]_2, [n_2]_5)$$

$$= \varphi((m_1, n_1)) + \varphi((m_2, n_2)),$$

and similarly for multiplication

$$\varphi((m_1, n_1)(m_2, n_2)) = \varphi((m_1 m_2, n_1 n_2))$$

$$= ([m_1 m_2]_2, [n_1 n_2]_5)$$

$$\vdots$$

$$= \varphi((m_1, n_1))\varphi((m_2, n_2))$$

Let  $([a]_2, [b]_5) \in \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/5\mathbb{Z}$ . Then,  $\varphi((a, b)) = ([a]_2, [b]_5)$ . Thus,  $\varphi$  is surjective.

Finally, we have  $(m, n) \in \ker(\varphi)$  if and only if  $[m]_2 = [0]_2$  and  $[n]_5 = [0]_5$ , meaning  $m \in 2\mathbb{Z}$  and  $n \in 5\mathbb{Z}$ . Therefore,  $\ker(\varphi) = 2\mathbb{Z} \times 5\mathbb{Z}$ .

# Using the First Isomorphism Theorem: Example 3

Consider the map  $\mathbb{Z} \to \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/5\mathbb{Z}$ ,  $n \mapsto ([n]_2, [n]_5)$ . Note

$$\varphi(m+n) = ([m+n]_2, [m+n]_5)$$

$$= ([m]_2 + [n]_2, [m]_5 + [n]_5)$$

$$= ([m]_2, [m]_5) + ([n]_2, [n]_5)$$

$$= \varphi(m) + \varphi(n),$$

and

$$\varphi(mn) = \varphi(m)\varphi(n).$$

We want to find if this map is surjective. Let  $([a]_2, [b]_5) \in \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/5\mathbb{Z}$ . We are trying to find  $n \in \mathbb{Z}$  such that  $[n]_2 = [a]_2$  and  $[n]_5 = [b]_5$ , or  $n \equiv a$  modulo 2 and  $n \equiv b$  modulo 5.

$$n-a \equiv 2k$$
 for some  $k \in \mathbb{Z}$   
 $n \equiv a+2k$   
 $a+2k \equiv b \mod 5$   
 $2k = b-a \mod 5$   
 $k = 3(b-a) \mod 5$   
 $n = a+2(3(b-a))$   
 $= a+6(b-a)$ .

So  $\varphi(a+6(b-a))=([a]_2,[b]_5)$ . Thus,  $\varphi$  is surjective.

Finally, we desire  $ker(\varphi)$ . Observe that

$$\ker(\varphi) = \{ n \in \mathbb{Z} \mid [n]_2 = [0]_2, [n]_5 = [0]_5 \}$$

$$= \{ n \in \mathbb{Z} \mid 2|n, 5|n \}$$

$$= \{ n \in \mathbb{Z} \mid 10|n \}$$

$$= 10\mathbb{Z}.$$

Thus, the first isomorphism theorem gives  $\mathbb{Z}/10\mathbb{Z} \equiv \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/5\mathbb{Z}$ .

### **Proposition: Ring Homomorphisms and Ideals**

Let R be a ring and  $I \subseteq R$  be an ideal. The map

$$\varphi: R \to R/I$$
$$r \mapsto r + I$$

is a surjective ring homomorphism with  $ker(\varphi) = I$ . The proof is left as an exercise to the reader.

## Using the First Isomorphism Theorem: Example 3

Let A be a ring and X be any non-empty set. Let R be the set of functions from X to A.

We have R is a ring.

$$(f+g)(x) = f(x) +_A g(x)$$
$$(fg)(x) = f(x) \cdot_A g(x).$$

Fix  $x_0 \in X$ . We define  $E_{x_0} : R \to A$  by

$$E_{x_0}(f)=f(x_0).$$

We have

$$E_{x_0}(f+g) = (f+g)(x_0)$$
  
=  $f(x_0) + g(x_0)$   
=  $E_{x_0}(f) + E_{x_0}(g)$ 

and

$$E(x_0)(fg) = (fg)(x_0)$$
  
=  $f(x_0)g(x_0)$   
=  $E_{x_0}(f)E_{x_0}(g)$ .

Therefore,  $E_{x_0}$  is a homomorphism. Additionally,  $E_{x_0}$  is surjective, since we can find  $f_a: X \to A$ ,  $x \mapsto a$ , meaning  $E_{x_0}(f_a) = f_a(x_0) = a$ .

If  $f \in \ker(E_{x_0})$ , then  $E_{x_0}(f) = 0_A$ . However,  $E_{x_0}(f) = f(x_0)$ . Then,

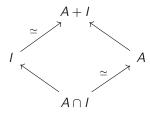
$$\ker(\varphi) = \{ f : X \to A \mid f(x_0) = 0_A \}$$
$$= \mathcal{M}_{x_0}.$$

By the first isomorphism theorem, we can see that  $R/\mathcal{M}_{x_0} \equiv A$ .

# **Other Isomorphism Theorems**

Let R be a ring.

**Diamond Isomorphism Theorem:** Let A be a subring of R and I an ideal of R. Define  $A+I=\{a+i\mid a\in A,i\in I\}$ . This is an ideal of R. We also have that  $A\cap I$  is an ideal in A, and  $(A+I)/I\equiv A/A\cap I$ .



**Third Isomorphism Theorem:** Let I, J be ideals of R with  $I \subseteq J$ . Then, J/I is an ideal of R/I with  $(R/I)/(J/I) \cong R/J$ .

**Lattice Isomorphism Theorem:** Let  $I \subseteq R$  be an ideal. The correspondence  $A \leftrightarrow A/I$  is an inclusion-preserving bijection between the subrings A of R that contain I and the subrings of R/I. Moreover, A is an ideal if and only if A/I is an ideal.

# Using the Third Isomorphism Theorem

Let  $R = \mathbb{Z}$ ,  $I = 12\mathbb{Z}$ , and  $J = 4\mathbb{Z}$ . By the third isomorphism theorem,  $J/I = 4\mathbb{Z}/12\mathbb{Z}$  is an ideal of  $R/I = \mathbb{Z}/12\mathbb{Z}$ , and

$$(R/I)/(J/I) = (\mathbb{Z}/12\mathbb{Z})/(4\mathbb{Z}/12\mathbb{Z})$$
  

$$\cong \mathbb{Z}/4\mathbb{Z}.$$