## Cardinality and Countability

## **Section 1.1: Countable Sets**

**Definition** (Denumerable Set). A set S is denumerable if there exists a function  $f: S \to \mathbb{N}$  with f a bijection. We also say S is countably infinite.

**Definition** (Countable Set). We say S is countable if S is either finite or denumerable.

**Theorem** (Countability of Unions). *If* A *and* B *are countable sets, then*  $A \cup B$  *is countable.* 

**Theorem** (Countability of Subsets). *If*  $A \subseteq B$ , *then if* B *is countable, then* A *is countable.* 

**Theorem** (Union of Finite Sets). *If* A *and* B *are finite, then*  $A \cup B$  *is finite.* 

*Proof.* If A is finite and |B| has one element, then we show that  $A \cup B$  is finite (with two cases).

Afterward, for |B| > 1, we use induction on |B|.

**Definition** (Finite Set). A set A is finite if there exists a bijection  $f: S \to \{1, 2, ..., n\}$  for some  $n \in \mathbb{N} = \{0, 1, ...\}$ .

We write |A| = n.

**Theorem** (Disjoint Union of Countable Sets). *If* A *is denumerable,* B *is finite, and*  $A \cap B = \emptyset$ *, then*  $A \cup B$  *is denumerable.* 

*Proof.* There exists a bijection  $f : A \to \mathbb{N}$  (since A is denumerable), and a bijection  $g : B \to \{1, 2, ..., n\}$  for some  $n \in \mathbb{N}$  (since B is finite).

We create a new bijection  $h : A \cup B \rightarrow \mathbb{N}$  by:

$$h(x) = \begin{cases} g(x) - 1 & x \in B \\ f(x) + n & x \in A \end{cases}.$$

Since  $A \cap B = \emptyset$ , we know that h is well-defined.

Now, we must show that h is a bijection.

Suppose h(x) = h(y).

**Case 1:** If  $x, y \in B$ , then h(x) = g(x) - 1, and h(y) = g(y) - 1, meaning g(x) - 1 = g(y) - 1, meaning g(x) = g(y). Since g is a bijection, x = y.

**Case 2:** If  $x, y \in A$ , a similar argument yields that x = y

**Case 3:** Without loss of generality, let  $x \in A$  and  $y \in B$ . If  $x \in A$ , then h(x) = f(x) + n and h(y) = g(y) - 1. Thus, f(x) + n = g(y) - 1. However, since  $f(x) + n \ge n$  and  $0 \le g(y) - 1 \le n - 1$ . Thus, we get that  $0 \le n \le n - 1$ , which is a contradiction.

Thus, we have shown that h is injective.

**Theorem** (Cartesian Product of Natural Numbers).  $\mathbb{N} \times \mathbb{N}$  is denumerable.

*Proof.* We consider  $\mathbb{N} \times \mathbb{N}$  as

$$\mathbb{N} \times \mathbb{N} = \mathbb{N} \times \{0\} \cup \mathbb{N} \times \{1\} \cup \cdots$$

Then, we can find an (informal) bijection as follows:

$$\mathbb{N} \times \{0\} : (0,0)^{-0} (1,0)^{-2} (2,0)^{-5} (3,0)^{-9} \cdots$$
 $\mathbb{N} \times \{1\} : (0,1)^{-1} (1,1)^{-4} (2,1)^{-8} (3,1) \cdots$ 
 $\mathbb{N} \times \{2\} : (0,2)^{-3} (1,2)^{-7} (2,2) (3,2) \cdots$ 
 $\mathbb{N} \times \{3\} : (0,3)^{-6} (1,3) (2,3) (3,3) \cdots$ 
 $\vdots \vdots \vdots \vdots \vdots \vdots \cdots$ 

We can also find a bijection  $P : \mathbb{N} \times \mathbb{N} \to \mathbb{N}$ , with

$$P(x,y) = \frac{(x+y)(x+y+1)}{2} + x$$

A fun challenge is to prove that P is a bijection.

**Theorem** (Countability of the Rationals).  $\mathbb{Q}$  *is denumerable.* 

**Theorem** (Countability of the Integers). *The set*  $\mathbb{Z}$  *is denumerable.* 

*Proof.* Let  $f : \mathbb{Z} \to \mathbb{N}$  be defined by

$$f(x) = \begin{cases} 2x & x \ge 0 \\ -2x - 1 & x < 0 \end{cases}$$

**Definition** (Cardinality). We say two sets, A and B, have the same cardinality if there exists a bijection  $f: A \to B$ .

**Theorem** (Finite Subset Cardinality). *If*  $m, n \in \mathbb{N}$  *and*  $m \neq n$ , *then*  $\{1, 2, ..., m\}$  *and*  $\{1, 2, ..., n\}$  *do not have the same cardinality.* 

**Theorem** (Infinitude of the Natural Numbers). N is not finite.

**Example.** If  $A \subseteq B$  and |A| = |B|, then both A and B are infinite.

In order to prove this, we need to show that every injection from a finite set to itself is a bijection.