

## Introduction

Oh hey, it's another one of these independent studies. Me and a friend are going to be going through William Fulton's *Algebraic Curves*. It will be hard, it will be long, and it might not work out for me, but who cares.

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## Affine Algebraic Sets

### Algebraic Preliminaries

We will assume all rings are commutative with unity, where  $\mathbb{Z}$  is the integers,  $\mathbb{Q}$  is the rationals,  $\mathbb{R}$  is the reals, and  $\mathbb{C}$  is the complex numbers.

Any integral domain  $R$  has a quotient field  $K$ , which contains  $R$  as a subring, and any element in  $K$  may be written as a not necessarily unique ratio of two elements of  $R$ . Any one-to-one ring homomorphism from  $R$  to a field  $L$  extends uniquely to a ring homomorphism from  $K$  to  $L$ .

If  $R$  is a ring, then  $R[x]$  is the ring of polynomials with coefficients in  $R$ . The degree of a nonzero polynomial  $\sum a_i x^i$  is the largest integer  $d$  such that  $a_d \neq 0$ . The polynomial is monic if  $a_d = 1$ .

The ring of polynomials in  $n$  variables over  $R$  is  $R[x_1, \dots, x_n]$ . We write  $R[x, y]$  and  $R[x, y, z]$  if  $n = 2$  and  $3$  respectively. Monomials in  $R[x_1, \dots, x_n]$  are of the form  $x^{(i)} := x_1^{i_1} x_2^{i_2} \cdots x_n^{i_n}$ , where  $i_j$  are nonnegative integers, and the degree of the monomial is  $i_1 + \cdots + i_n$ . Every  $F \in R[x_1, \dots, x_n]$  has a unique expression  $F = \sum a_{(i)} x^{(i)}$ , where  $x^{(i)}$  are monomials, and  $a_{(i)} \in R$ . We say  $F$  is homogeneous of degree  $d$  if all  $a_{(i)}$  are zero except for monomials of degree  $d$ . The polynomial  $F$  is written as  $F = F_0 + F_1 + \cdots + F_d$ , where  $F_i$  is a form of degree  $i$ , and  $d = \deg(F)$  for  $F_d \neq 0$ .

The ring  $R$  is a subring of  $R[x_1, \dots, x_n]$ , and the ring  $R[x_1, \dots, x_n]$  is characterized by the following: if  $\varphi: R \rightarrow S$  is a ring homomorphism, and  $s_1, \dots, s_n$  are elements in  $S$ , then there is a unique extension of  $\varphi$  to a ring homomorphism  $\bar{\varphi}: R[x_1, \dots, x_n] \rightarrow S$  such that  $\bar{\varphi}(x_i) = s_i$ . The image of  $F$  under  $\bar{\varphi}$  is written  $F(s_1, \dots, s_n)$ . The ring  $R[x_1, \dots, x_n]$  is canonically isomorphic to  $R[x_1, \dots, x_{n-1}][x_n]$ .

An element  $a \in R$  is called irreducible if it is not a unit or zero, and any factorization  $a = bc$  with  $b, c \in R$  is such that either  $b$  or  $c$  is a unit. A domain  $R$  is a unique factorization domain (UFD) if every nonzero element in  $R$  can be factored uniquely up to units and ordering.

If  $R$  is a UFD with quotient field  $K$ , then any irreducible element  $F \in R[x]$  remains irreducible when considered in  $K[x]$ .

**Theorem (Gauss's Lemma for  $\mathbb{Z}$ ):** If  $F \in \mathbb{Z}[x]$  is a monic polynomial that is irreducible, then  $F$  is irreducible in  $\mathbb{Q}[x]$ .

If  $F$  and  $G$  are polynomials in  $R[x]$  with no common factors in  $R[x]$ , then they have no common factors in  $K[x]$ .

If  $R$  is a UFD, then  $R[x]$  is also a UFD, and consequently  $k[x_1, \dots, x_n]$  is a UFD for any field  $k$ . The quotient field of  $k[x_1, \dots, x_n]$  is written  $k(x_1, \dots, x_n)$  is called the field of rational functions in  $n$  variables over  $k$ .

If  $\varphi: R \rightarrow S$  is a ring homomorphism,  $\ker(\varphi) := \varphi^{-1}(0)$ . The kernel is an ideal in  $R$ . An ideal in  $R$  is proper if  $I \neq R$ , and a proper ideal is known as maximal if it is not contained in any larger proper ideal.<sup>I</sup> An ideal  $\mathfrak{p}$  is prime if, whenever  $ab \in \mathfrak{p}$ , then  $a \in \mathfrak{p}$  or  $b \in \mathfrak{p}$ .<sup>II</sup>

Let  $k$  be a field and  $I$  a proper ideal in  $k[x_1, \dots, x_n]$ . The canonical homomorphism  $\pi$  from  $k[x_1, \dots, x_n]$  to  $k[x_1, \dots, x_n]/I$  restricts to a ring homomorphism from  $k$  to  $k[x_1, \dots, x_n]/I$ . We regard  $k$  as a subring of  $k[x_1, \dots, x_n]/I$ , which is a vector space over  $k$ .

If  $R$  is an integral domain, then  $\text{char}(R)$ , the characteristic of  $R$ , is the smallest integer  $p$  such that

$$\underbrace{1 + 1 + \dots + 1}_{p \text{ times}} = 0.$$

If  $p$  exists, we say  $\text{char}(R) = p$ , else 0.

Note that if  $\varphi: \mathbb{Z} \rightarrow R$  is the unique ring homomorphism from  $\mathbb{Z}$  to  $R$ ,<sup>III</sup> then  $\ker(\varphi) = \langle p \rangle$ , so  $\text{char}(R)$  is prime or 0.

If  $R$  is a ring, and  $F \in R[x]$ , and  $a$  is a root of  $F$ , then  $F = (x - a)G$  for some unique polynomial  $G \in R[x]$ . A field  $k$  is algebraically closed if any nonconstant  $F \in k[x]$  has a root.

**Exercise** (Exercise 1.1): Let  $R$  be an integral domain.

- (a) If  $F$  and  $G$  are forms of degree  $r$  and  $s$  respectively in  $R[x_1, \dots, x_n]$ , show that  $FG$  is a form of degree  $r + s$ .
- (b) Show that any factor of a form in  $R[x_1, \dots, x_n]$  is also a form.

**Exercise** (Exercise 1.2): Let  $R$  be a UFD and  $K$  the quotient field of  $R$ . Show that every element  $z \in K$  may be written as  $z = a/b$ , where  $a, b \in R$  have no common factors. This representative is unique up to units of  $R$ .

**Solution:** Since  $K = \text{Frac}(R)$ , we know that every  $z \in K$  is of the form  $z = \frac{a}{b}$ . Since  $R$  a unique factorization domain,  $\gcd(a, b)$  is unique and well-defined. Set  $c \cdot \gcd(a, b) = a$  and  $d \cdot \gcd(a, b) = b$ . Then,

$$\begin{aligned} z &= \frac{a}{b} \\ &= \frac{c \cdot \gcd(a, b)}{d \cdot \gcd(a, b)} \\ &= \frac{c}{d}. \end{aligned}$$

We show that this is unique up to units. Suppose

$$\begin{aligned} z &= \frac{c}{d} \\ &= \frac{c'}{d'}. \end{aligned}$$

Then, by the properties of the field of fractions, we know that

$$c'd = cd',$$

and since  $R$  is a UFD, we know that  $\gcd(c, d) = \gcd(c', d') = 1$ , so  $c = u_1 c'$  and  $d = u_2 d'$ .

**Exercise** (Exercise 1.3): Let  $R$  be a principal ideal domain, and let  $P$  be a nonzero proper prime ideal in  $R$ .

- (a) Show that  $P$  is generated by an irreducible element.

<sup>I</sup>Alternatively, an ideal  $I$  is maximal if the quotient ring  $R/I$  is a field.

<sup>II</sup>Alternatively, an ideal  $\mathfrak{p}$  is prime if  $R/\mathfrak{p}$  is an integral domain.

<sup>III</sup>This is because  $\mathbb{Z}$  is initial in the category of rings. See Aluffi.

(b) Show that  $P$  is maximal.

**Solution:**

(a) Since  $P$  is principal, we know that  $P = \langle a \rangle$  for some  $a \in R$ . We know that  $a$  cannot be a unit, as otherwise  $P = R$ , contradicting the assumption that  $P$  is proper, and that  $a \neq 0$  as  $P$  is not zero.

Suppose toward contradiction that  $\langle a \rangle \subsetneq \langle b \rangle$  for some  $b \in R$ . Then,  $a = bc$  for some  $c \in R$ . If  $c \notin \langle a \rangle$ , then since  $\langle a \rangle$  is prime, we must have  $b \in \langle a \rangle$ , contradicting strict inclusion. Thus,  $c \in \langle a \rangle$ , so  $c = at$  for some  $t \in R$ . Therefore, we have  $a = abt$ , so  $bt = 1_R$ , and  $\langle b \rangle = R$ .

(b) Since  $R$  is a PID, and  $P$  is prime, we know that  $P = \langle a \rangle$  is generated by an irreducible element. Thus, if  $\langle a \rangle \subsetneq \langle b \rangle$ , then  $a = bc$  for some  $c \in R$ . Since we have unique factorization (as all PIDs are UFDs), and  $a$  is irreducible, this means either  $b$  or  $c$  is a unit. If  $b$  is a unit, then  $\langle b \rangle = R$ , and if  $c$  is a unit, then  $\langle b \rangle = \langle a \rangle$ . Thus,  $\langle a \rangle$  is maximal.

**Exercise (Exercise 1.4):** Let  $k$  be an infinite field,  $f \in k[x_1, \dots, x_n]$ . Suppose  $F(a_1, \dots, a_n) = 0$  for all  $a_1, \dots, a_n \in k$ . Show that  $f = 0$ .

**Exercise (Exercise 1.5):** Let  $k$  be any field. Show that there are an infinite number of irreducible monic polynomials in  $k[x]$ .

**Solution:** Suppose  $F_1, \dots, F_n$  were all the irreducible monic polynomials in  $k[x]$ . Consider the polynomial  $P = F_1 F_2 \cdots F_n + 1$ . We note that  $P$  is monic. We will show that  $P$  is irreducible.

Suppose toward contradiction that  $P$  were reducible. We know that  $k[x]$  is a principal ideal domain, so  $P \in \langle F_i \rangle$  for some irreducible monic  $F_i$ . However, we know that, for any  $F_i$ ,  $1 \leq i \leq n$ ,  $P \nmid F_i$ , as, applying the division algorithm to  $P$ , we get

$$P = (F_i) \prod_{j \neq i} F_j + 1,$$

where  $r \neq 0$ . Thus,  $P$  is not reducible and monic, so there are infinitely many irreducible monic polynomials in  $k[x]$ .

**Exercise (Exercise 1.6):** Show that any algebraically closed field is infinite.

**Solution:** Note that if  $k$  is any field, then there are infinitely many irreducible monic polynomials in  $k[x]$ . If  $k$  is algebraically closed, then  $(x - a)$ , for  $a \in k$ , is the only irreducible monic polynomial. Since there are infinitely many irreducible monic polynomials in  $k[x]$ , there are infinitely many  $a \in k$  such that  $(x - a)$  is irreducible in  $k[x]$ . Thus,  $k$  is infinite.

**Exercise (Exercise 1.7):** Let  $k$  be any field, and  $F \in k[x_1, \dots, x_n]$ , with  $a_1, \dots, a_n \in k$ .

(a) Show that

$$F = \sum \lambda_{(i)} (x_1 - a_1)^{i_1} \cdots (x_n - a_n)^{i_n},$$

where  $\lambda_{(i)} \in k$ .

(b) If  $F(a_1, \dots, a_n) = 0$ , show that  $F = \sum_{i=1}^n (x_i - a_i) G_i$  for some not necessarily unique  $G_i \in k[x_1, \dots, x_n]$ .

**Solution:**

(a) We let

$$G = F(x_1 + a_1, x_2 + a_2, \dots, x_n + a_n).$$

Then, since  $G \in k[x_1, \dots, x_n]$ , we have

$$G = \sum \lambda_{(i)} x_1^{i_1} \cdots x_n^{i_n}.$$

Then, we have

$$F = \sum \lambda_{(i)} (x_1 - a_1)^{i_1} \cdots (x_n - a_n)^{i_n}.$$

(b)

## Affine Space and Algebraic Sets

**Definition.** If  $k$  is a field, then when we write  $\mathbb{A}^n(k)$ , or  $\mathbb{A}^n$ , to be the cartesian product of  $k$  with itself  $n$  times.

We call  $\mathbb{A}^n(k)$  the affine  $n$ -space over  $k$ . Its elements are called points. We call  $\mathbb{A}^1(k)$  the affine line and  $\mathbb{A}^2(k)$  the affine plane.

**Definition.** If  $F \in k[x_1, \dots, x_n]$ , then  $P = (a_1, \dots, a_n) \in \mathbb{A}^n(k)$  is called a zero of  $F$  if  $F(P) = (a_1, \dots, a_n) = 0$ .

If  $F$  is not constant, then the zeros of  $F$  are called the hypersurface defined by  $F$ , defined by  $V(F)$ . A hypersurface in  $\mathbb{A}^2(k)$  is called an affine plane curve.

If  $F$  is a polynomial of degree 1, then  $V(F)$  is called a hyperplane in  $\mathbb{A}^n(k)$ ; if  $n = 2$ , then an affine hyperplane is a line.

**Definition.** If  $S$  is any set of polynomials in  $k[x_1, \dots, x_n]$ , then  $V(S) = \{P \in \mathbb{A}^n \mid F(P) = 0 \text{ for all } F \in S\}$ . In other words,  $V(S) = \bigcap_{F \in S} V(F)$ . If  $S = \{F_1, \dots, F_r\}$ , we write  $V(F_1, \dots, F_r)$ .

A subset  $X \subseteq \mathbb{A}^n(k)$  is an affine algebraic set (or algebraic set) if  $X = V(S)$  for some  $S$ .

### Proposition:

- (1) If  $I$  is the ideal in  $k[x_1, \dots, x_n]$  generated by  $S$ , then  $V(S) = V(I)$ ; thus, every algebraic set is equal to  $V(I)$  for some ideal  $I$ .
- (2) If  $\{I_\alpha\}$  is a collection of ideals, then  $V(\bigcup_\alpha I_\alpha) = \bigcap_\alpha V(I_\alpha)$ .
- (3) If  $I \subseteq J$ , then  $V(I) \supseteq V(J)$ .
- (4) For any polynomials  $F, G$ ,  $V(FG) = V(F) \cup V(G)$ . Furthermore,  $V(I) \cup V(J) = V(\{FG \mid F \in I, G \in J\})$ .
- (5) We have that  $V(0) = \mathbb{A}^n(k)$ ,  $V(1) = \emptyset$ ,  $V(x_1 - a_1, \dots, x_n - a_n) = \{(a_1, \dots, a_n)\}$  for  $a_i \in k$ . Thus, any finite subset of  $\mathbb{A}^n(k)$  is an algebraic set.

**Exercise (Exercise 1.8):** Show that the algebraic subsets of  $\mathbb{A}^1(k)$  are just the finite subsets together with  $\mathbb{A}^1(k)$  itself.

**Solution:** Since  $k[x]$  is a principal ideal domain, we know that the zero set  $V(S)$  for any  $S \subseteq k[x]$  is of the form  $V(\langle f \rangle) = V(f)$ , where  $f \in k[x]$ . Since  $f$  is a polynomial,  $f$  has finitely many roots, so there are finitely many elements in the algebraic subset.

Additionally, since  $0 \in k[x]$ , we know that  $k$  is also an algebraic subset.

**Exercise (Exercise 1.14):** Let  $F$  be a nonconstant polynomial in  $k[x_1, \dots, x_n]$ , where  $k$  is algebraically closed. Show that  $\mathbb{A}^n(k) \setminus V(F)$  is infinite if  $n \geq 1$  and that  $V(F)$  is infinite if  $n \geq 2$ . Conclude that the complement of any proper algebraic set is infinite.

**Solution:** We know that  $k$  is infinite as  $k$  is algebraically closed.

**Exercise (Exercise 1.15):** Let  $V \subseteq \mathbb{A}^n(k)$  and  $W \subseteq \mathbb{A}^m(k)$  be algebraic sets. Show that

$$V \times W = \{(a_1, \dots, a_n, b_1, \dots, b_m) \mid (a_1, \dots, a_n) \in V, (b_1, \dots, b_m) \in W\}$$

is an algebraic set in  $\mathbb{A}^{n+m}(k)$ . It is called the product of  $V$  and  $W$ .

**Solution:** Consider the set of polynomials in  $k[x_1, \dots, x_n, x_{n+1}, \dots, x_{n+m}]$  given by  $P = F(x_1, \dots, x_n) + G(x_{n+1}, \dots, x_{n+m})$ , where  $F$  is a polynomial in the ideal whose algebraic set is  $V$  and  $G$  is an ideal in the algebraic set whose ideal is  $W$ . Then, the collection of zeros are those of the form  $(a_1, \dots, a_n, b_1, \dots, b_m)$ , where  $(a_1, \dots, a_n) \in V$  and  $(b_1, \dots, b_m) \in W$ .