Problem Set 1 Avinash Iyer

#### Problem 1

If F is a finite set and  $k: F \to F$  is a self-map, prove that k is injective if and only if k is surjective.

Suppose k is injective. Then,  $\operatorname{card}(k(F)) = \operatorname{card}(F)$ , and since  $k(F) \subseteq F$ , k(F) = F, so k is surjective.

Let k be surjective. Since k is a function,  $card(k(F)) \leq card(F)$ .

Suppose  $\operatorname{card}(k(F)) < F$ . Then, k(F) contains at most n-1 elements, for  $\operatorname{card}(F) = n$ , which would violate surjectivity.

Thus, card(k(F)) = card(F), so k is injective.

### Problem 2

Prove that a set A is infinite if and only if there is a non-surjective injection  $f:A\hookrightarrow A$ .

- (⇒) Let A be infinite. Then,  $\exists i : \mathbb{N} \hookrightarrow A$ ;  $\forall n \in \mathbb{N}, a_n := i(n)$ . Let  $f : A \to A$ ,  $f(a_i) = a_{i+1}$ . Then, for  $a_{i_1} \neq a_{i_2}$ ,  $f(a_{i_1}) = a_{i_1+1} \neq f(a_{i_2}) = a_{i_2+1}$ . Therefore, f is injective, but  $a_1 \notin \operatorname{ran}(f)$ , so f is not surjective.
- $(\Leftarrow)$  Suppose A is finite. Then, by the result in Problem 1,  $\forall f: A \hookrightarrow A, f$  must be surjective.

# Problem 3

Let A, B, and C be sets and suppose  $\operatorname{card}(A) < \operatorname{card}(B) \le \operatorname{card}(C)$ . Prove that  $\operatorname{card}(A) < \operatorname{card}(C)$ .

Since  $\operatorname{card}(A) < \operatorname{card}(B)$ ,  $\operatorname{card}(A) \le \operatorname{card}(B)$ , so  $\operatorname{card}(A) \le \operatorname{card}(C)$ , by the transitive property.

Since  $\operatorname{card}(A) \neq \operatorname{card}(B)$ ,  $\operatorname{card}(A) \neq \operatorname{card}(C)$ , so  $\operatorname{card}(A) < \operatorname{card}(C)$ .

# Problem 4

If  $A \subseteq B$  is an inclusion of sets with A countable and B uncountable, show that  $B \setminus A$  is uncountable.

Suppose toward contradiction that  $B \setminus A$  is countable.

Then,  $A \cup (B \setminus A)$  must be countable, by union of countable sets.

However,  $A \cup (B \setminus A) = B$ , and B is uncountable, meaning that  $B \setminus A$  must be uncountable.

### Problem 5

Is the set  $\{x \in \mathbb{R} \mid x > 0 \text{ and } x^2 \in \mathbb{Q}\}$  countable?

Since x > 0,  $t(x) = x^2$  is a bijection, as it has an inverse  $t^{-1}(x) = \sqrt{x}$ . Let  $q : \mathbb{Q} \to \mathbb{N}$  denote the enumeration of the rationals (which is bijective).

 $q \circ t : \{x \in \mathbb{R} \mid x > 0 \mid \text{and } x^2 \in \mathbb{Q}\} \to \mathbb{N} \text{ is the composition of bijections, so } q \circ t \text{ is a bijection, so } \{x \in \mathbb{R} \mid x > 0 \text{ and } x^2 \in \mathbb{Q}\} \text{ is countable.}$ 

Problem Set 1 Avinash Iyer

### Problem 6

Consider the set  $\mathcal{F}(\mathbb{N})$  of all finite subsets of  $\mathbb{N}$ . Is  $\mathcal{F}(\mathbb{N})$  countable?

Let  $f: \mathcal{F} \to \mathbb{N}$  be defined as follows, where  $p_n$  denotes the nth prime number.

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$$f(\{a_1, a_2, \dots, a_n\}) = p_1^{a_1} \cdot p_2^{a_2} \cdots p_n^{a_n}$$

By the fundamental theorem of arithmetic, every natural number is equal to a unique product of powers of prime numbers, meaning that f is injective, so  $\mathcal{F}$  is countable.

#### Problem 7

Let  $k \in \mathbb{N}$ .

- (i) Prove that  $\mathbb{N}^k = \underbrace{\mathbb{N} \times \mathbb{N} \times \cdots \mathbb{N}}_{k \text{ times}}$  is countable.
- (ii) Show that the set  $\mathbb{N}^{\infty} := \{(n_k)_{k \geq 1} \mid n_k \in \mathbb{N}\}$  consisting of all sequences of natural numbers is uncountable.
- (iii) Prove that the set of **finitely-supported** natural sequences  $c_c(\mathbb{N}) := \{(n_k)_{k \geq 1} \mid n_k \in \mathbb{N}, n_k = 0 \text{ for all but finitely many } k\}$  is countable.

(i)

Let  $f: \mathbb{N}^k \to \mathbb{N}$  be defined as follows, where  $p_n$  denotes the *n*th prime number in the sequence  $\{2, 3, 5, \dots\}$ 

$$f((a_1, a_2, \dots, a_k)) = p_1^{a_1} \cdot p_2^{a_2} \cdots p_k^{a_k}$$

By the fundamental theorem of arithmetic, every natural number is equal to a unique product of powers of prime numbers, so  $f: \mathbb{N}^k \to \mathbb{N}$  is an injection, meaning  $\mathbb{N}^k$  is countable

(ii)

Suppose toward contradiction that the set of all sequences of natural numbers is countable, so  $\exists f: A_n \to \mathbb{N}$  is surjective.

$$A_1 = a_{11}, a_{12}, a_{13}, \dots$$
  
 $A_2 = a_{21}, a_{22}, a_{23}, \dots$ 

Create a new sequence N defined as follows:

$$n_k = a_{kk} + 1$$

Since f is surjective,  $\exists A_m = a_{m1}, a_{m2}, \dots, a_{mm}, \dots = n_1, n_2, \dots, n_m, \dots$ . However,  $n_m \neq a_{mm}$ , so f must not be surjective. Thus,  $\mathbb{N}^{\infty}$  is not countable.

Problem Set 1 Avinash Iyer

(iii)

Let  $f: c_c(\mathbb{N}) \to \mathbb{N}$  be defined as follows, where  $p_n$  denotes the nth prime number:

$$f({n_1, n_2, \dots, n_k}) = p_1^{n_1} \cdot p_2^{n_2} \cdots p_k^{n_k}$$

By the fundamental theorem of arithmetic, f must be injective, so  $c_c(\mathbb{N})$  is countable.

## Problem 8

Let  $f : \mathbb{R} \to \mathbb{R}$  be a function that sends rational numbers to irrational numbers and irrational numbers to rational numbers. Prove that the range ran(f) cannot contain any interval.

In (a,b), a < b, there are countably many rational numbers (as  $\mathbb{Q}$  is countable), but uncountably many irrational numbers.

 $f_{(a,b)}:(a,b)\to(a,b)$  implies that there are uncountably many irrational numbers not in  $\operatorname{ran}(f_{(a,b)})$ . Therefore, no interval is in  $\operatorname{ran}(f)$ , as there is no interval in  $\operatorname{ran}(f_{(a,b)})$ .

#### Problem 9

Prove that the set

$$\mathcal{P} := \left\{ \sum_{k=0}^{n} a_k x^k \mid n \subseteq \mathbb{N}_0, a_k \in \mathbb{Q} \right\}$$

consisting of all polynomials with rational coefficients, is countable.

Let  $q: \mathbb{Q} \to \mathbb{N}$  be the enumeration of the rationals, and let  $p_n$  denote the *n*th element in the sequence of prime numbers, where  $p_1 = 2$ ,  $p_2 = 3$ , etc.

Let  $f: \mathcal{P} \to \mathbb{N}^k$  be defined as follows:

$$f(a_0 + a_1x + a_2x^2 + \dots + a_kx^k + \dots) = (q(a_0), q(a_1), \dots, q(a_k), \dots)$$

Since  $\mathbb{Q}$  is countable,  $\forall a \in \mathbb{Q}$ ,  $q(a) \in \mathbb{N}$ , so the output of f is a bijection to  $\mathbb{N}^k$ , meaning  $\mathcal{P}$  is countable.

# Problem 10

A real number t is called **algebraic** if there is a nonzero polynomial p with rational coefficients such that p(t) = 0. If  $t \in \mathbb{R}$  is not algebraic, then it is called **transcendental**. For example,  $\sqrt{2}$  is algebraic, but  $\pi$  is transcendental. Show that the set of algebraic numbers is countable, and conclude that there are uncountably many transcendental numbers.

 $\forall p \in \mathcal{P}, \exists A_p = \{a_1, \dots, a_k\} \text{ such that } \forall a_i \in \{a_1, \dots, a_k\}, \ p(a_i) = 0. \text{ Since } \{a_1, \dots, a_k\} \text{ is countable,}$  and  $\mathcal{P}$  is countable,  $\bigcup_{p \in \mathcal{P}} A_p$  is countable.