

Problem (Problem 1): Prove that smooth homotopy and smooth isotopy are equivalence relations.

Solution: If $f: M \rightarrow N$ is a smooth map, then we can define a smooth homotopy $F: M \times [0, 1] \rightarrow N$ by taking $F(\cdot, t) = f$. If f is a diffeomorphism, then this is a smooth isotopy. Thus, this relation is reflexive.

The relation is symmetric since, if f and g are smoothly homotopic (isotopic), then $F^*: M \times [0, 1] \rightarrow N$, given by $F^*(\cdot, t) = F(\cdot, 1 - t)$ is a composition of smooth maps, hence smooth.

The relation is transitive since, if $F: M \times [0, 1] \rightarrow N$ is a homotopy (isotopy) from f to g , and $G: M \times [0, 1] \rightarrow N$ is a homotopy (isotopy) from g to h , then we may find a homotopy from f to h by taking

$$H(\cdot, t) = \begin{cases} F(\cdot, 2t) & 0 \leq t \leq \frac{1}{2} \\ G(\cdot, 2t - 1) & \frac{1}{2} \leq t \leq 1. \end{cases}$$

This is a smooth map since the derivatives of all orders for F and G agree at $t = \frac{1}{2}$.

Problem (Problem 2): Prove that if M is connected, then for all pairs p and q of points on M , there is a diffeomorphism f of M such that $f(p) = q$ and f is isotopic to the identity.

Solution: We know that the diffeomorphism group, $\text{diff}(M)$, is transitive whenever M is connected, so there is a diffeomorphism $f: M \rightarrow M$ such that $f(p) = q$. Now, if p and q are in the same Euclidean chart, (U, φ) , where $\varphi(p) = 0$ and $\varphi(q) = \alpha x_1$, then we may find the desired isotopy to the identity by taking

$$F: M \times [0, 1] \rightarrow M$$

to be given by

$$F(\cdot, t) = f_t,$$

where f_t is a diffeomorphism such that $\varphi \circ f_t(p) = \alpha t x_1$.

Now, if p and q are not in the same chart, then since M is connected, there is a finite chain of k intersecting Euclidean charts that we may compose with each other such that we get our diffeomorphism between p and q . Dividing $[0, 1]$ into intervals of length $1/k$, we may then find isotopies from the identity to the diffeomorphism mapping p to the ℓ -th intersection point along in this chain as we showed for the case where both p and q are in the same chart. By chaining these isotopies together, we get the isotopy between f and the identity.

Problem (Problem 3): Suppose M is compact and has no boundary, and that M and N have the same dimension. Let f and g be homotopic maps from M to N . Suppose $p \in N$ is a regular value for both f and g . Prove that $|f^{-1}(p)| = |g^{-1}(p)|$ modulo 2.

Solution: Let $F: M \times [0, 1] \rightarrow N$ be a smooth homotopy with $F(\cdot, 0) = f$ and $F(\cdot, 1) = g$. If $p \in N$ is a regular value for F (in addition to one for f and g), it follows that $F^{-1}(p)$ is a 1-manifold subset of $M \times [0, 1]$, where $F^{-1}(p) \cap (M \times \{0\}) = f^{-1}(p) \times \{0\}$, and $F^{-1}(p) \cap (M \times \{1\}) = g^{-1}(p) \times \{1\}$. Since the boundary of $M \times [0, 1]$ must contain an even number of points (as every 1-submanifold with boundary of $M \times [0, 1]$ must have both of its boundary points touch the boundary of $M \times [0, 1]$, which are 0 and 1), we must have $|f^{-1}(p)| + |g^{-1}(p)| \equiv 0$ modulo 2, so that $|f^{-1}(p)| = |g^{-1}(p)|$.

Suppose y is not a regular value for F . Since $M \times [0, 1]$ is compact, and F is continuous, it follows that, by Sard's Theorem, y is part of a closed, measure-zero subset of N . In particular, for any neighborhood of y , there is a regular value for F within this neighborhood. Next, we observe that, for a sufficiently small open neighborhood V of y , the number of regular points mapping to y does not change, as the map $x \mapsto |F^{-1}(x)|$ is continuous and discrete-valued (for the open subset of regular values for F). Thus, on V , we may find $q \in V$ such that $|F^{-1}(q)|$ is constant, and thus $|f^{-1}(y)| + |g^{-1}(y)|$ is even, hence are equal to each other modulo 2.

Problem (Problem 4): Prove that for M, N, f as in the previous exercise, $|f^{-1}(p)| \equiv |f^{-1}(q)|$ modulo 2 for all regular values p and q of f , using the previous exercises.

Solution: There is a diffeomorphism $\varphi: N \rightarrow N$ such that $\varphi(p) = q$ and φ is isotopic to the identity, as shown in the solution to Problem 2. In particular, this means that $\varphi \circ f: M \rightarrow N$ is homotopic to $f: M \rightarrow N$, meaning that $|f^{-1}(p)| = |(\varphi \circ f)^{-1}(q)| = |f^{-1}(q)|$, with the latter equality following from Problem 3.

Problem (Problem 5): Let M be compact and have no boundary. Let $p \in M$, and $f: M \rightarrow M$ be defined by $f(x) = p$. Prove that f is not homotopic to the identity map.

Solution: The identity map, id , is a diffeomorphism of M , so $\text{id}^{-1}(q) = \{q\}$ for all $q \in M$. Notice that, for $q \neq p$, $f^{-1}(q) = \emptyset$, meaning that $q \neq p$ are vacuously regular values for f ; since these have cardinality zero, it follows that f and id cannot be homotopic, since we established in Problem 3 that the cardinality of the preimage of a regular value is invariant under homotopy.

Problem (Problem 6): Let $f: M \rightarrow N$ be smooth and oriented, with M compact and boundaryless and M and N of the same dimension. Show that if $M = \partial W$ for some smooth manifold W , and f extends smoothly to W , then for all $p \in N$ a regular value, we have $\deg(f, p) = 0$.

Solution: Let \hat{f} be the smooth extension of f to W . Since p is a regular value for \hat{f} , there are points q_1 and q_2 on M such that $\hat{f}^{-1}(p)$ contains a path γ starting at q_1 and ending at q_2 ; this follows from the regular value theorem and the fact that W is a manifold of dimension $n + 1$ when M is a manifold of dimension n . In particular, we may cover γ by finitely many charts that connect q_1 to q_2 .

Since W is oriented, we may select orientations such that all the interior points of γ remain the same orientation in W ; yet, if ∂_{n+1} denotes the tangent vector at q_1 that allows for positive orientation at q_1 , then upon following this path, the sign of the image of ∂_{n+1} under the family of composed differential maps flips, as we go from an “inward” orientation at q_1 to an “outward” orientation right as γ approaches q_2 . This gives that the degree of \hat{f} when it comes to the pair (q_1, q_2) is zero. This holds for all such pairs (q_i, q_{i+1}) that land on M , meaning that $\deg(f, p) = 0$.

Problem (Problem 7): Let M and N be as in the previous exercise. Prove that if f and g are homotopic, and $p \in N$ is a common regular value for both, then $\deg(f, p) = \deg(g, p)$.

Solution: If $F: M \times [0, 1] \rightarrow N$ is a homotopy from f to g , then we see that for any regular values p for F , $F^{-1}(p)$ is a 1-manifold with two boundary points, so that these 1-manifolds intersect $M \times \{0\}$ or $M \times \{1\}$. Observe that the orientation at $M \times \{1\}$ is negative to that at $M \times \{0\}$, meaning that $\deg(F, p) = 0 = \deg(f, p) - \deg(g, p)$.

Problem (Problem 8): Show that $\deg(f, p)$ is independent of the choice of regular value p , so that the degree, $\deg(f)$, can be defined. Show that homotopic maps have equal degrees.

Solution: We have shown in Problem 7 that, if p is a common regular value for homotopic maps f and g , then $\deg(f, p) = \deg(g, p)$. Additionally, we have shown that, if p and q are regular values for f , then there is a diffeomorphism φ of N that maps p to q that is isotopic to the identity; we may then compose this isotopy with f such that we get a homotopy between f and $\varphi \circ f$; this means that $\deg(f, p) = \deg(\varphi \circ f, q)$, so that the degree of a map f is independent of the regular value.