

**Problem (Problem 1):** Describe the topology of the Grassmanian  $\text{Gr}(k, n)$  in a uniform way, so that  $\mathbb{RP}^n$  becomes the special case of  $\text{Gr}(1, n)$ .

**Solution:** We let elements of  $\text{Gr}(k, n)$  be defined as equivalence classes of linearly independent  $k$ -tuples of vectors in  $\mathbb{R}^n$ , where  $(v_1, \dots, v_k) \sim (w_1, \dots, w_k)$  if  $\text{span}\{v_1, \dots, v_k\} = \text{span}\{w_1, \dots, w_k\}$ .

By extending  $(v_1, \dots, v_k)$  and  $(w_1, \dots, w_k)$  to ordered bases  $\mathcal{B}_1 = (v_1, \dots, v_n)$  and  $\mathcal{B}_2 = (w_1, \dots, w_n)$ , we see that these  $k$ -tuples are equivalent if and only if there is an invertible linear transformation  $Q$  with matrix representation

$$Q = \begin{pmatrix} A & H \\ 0 & B \end{pmatrix},$$

where  $A$  is a  $k \times k$  invertible matrix, and  $B$  is a  $(n - k) \times (n - k)$  invertible matrix, so that

$$Q[v_1, v_2, \dots, v_k, v_{k+1}, \dots, v_n] = [w_1, \dots, w_k, w_{k+1}^*, \dots, w_n^*],$$

where  $\{w_{k+1}^*, \dots, w_n^*\}$  is a basis for an  $(n - k)$ -dimensional complementary subspace. The subgroup of all such  $Q \subseteq \text{GL}_n(\mathbb{R})$ , which we call  $P$ , is the stabilizer of  $\text{Gr}(k, n)$  as we have defined it, so by the orbit-stabilizer theorem (seeing as  $\text{GL}_n(\mathbb{R})$  acts transitively on all ordered bases of  $\mathbb{R}^n$ ), we obtain  $\text{Gr}(k, n) \cong \text{GL}_n(\mathbb{R})/P$ , where the latter coset space is given the quotient topology.

Given some element of  $\mathbb{R}^{k(n-k)}$  viewed as a  $(n - k) \times k$  matrix (with the standard basis), we consider the map

$$\mathbb{R}^{k(n-k)} \times P \rightarrow \text{GL}_n(\mathbb{R})$$

given by taking  $K \in \mathbb{R}^{k(n-k)} \cong \text{Mat}_{(n-k) \times k}(\mathbb{R})$ , extending to  $\mathbb{R}^{n^2} \cong \text{Mat}_n(\mathbb{R})$  by adding 0 above and to the right of all the entries in  $A$ , and adding to elements of  $P$ . This gives matrices of the form

$$M = \begin{pmatrix} A & H \\ K & B \end{pmatrix},$$

where  $A \in \text{GL}_k(\mathbb{R})$ ,  $B \in \text{GL}_{n-k}(\mathbb{R})$ ,  $H$  is any matrix in  $\text{Mat}_{k \times (n-k)}(\mathbb{R})$ , and  $K \in \text{Mat}_{(n-k) \times k}(\mathbb{R})$ . Notice that

$$\begin{aligned} \det(M) &= \det \begin{pmatrix} A & H \\ 0 & B \end{pmatrix} + \det \begin{pmatrix} 0 & 0 \\ K & 0 \end{pmatrix} \\ &= \det \begin{pmatrix} A & H \\ 0 & B \end{pmatrix} \\ &= \det(A) \det(B), \end{aligned}$$

meaning that, given some element in  $\text{GL}_n(\mathbb{R})$ , we may sufficiently bound this element away from a singular matrix, and elements in  $\mathbb{R}^{k(n-k)}$  viewed as a subspace of  $\text{GL}_n(\mathbb{R})$ , under addition, do not affect the determinant when added to elements of  $P$ . This gives a diffeomorphism from  $\mathbb{R}^{k(n-k)} \times P \rightarrow \text{GL}_n(\mathbb{R})$ , as matrix addition is differentiable.

In the case of  $\mathbb{RP}^{(n-1)}$ , where the matrix  $A$  in the definition of  $P$  is a  $1 \times 1$  (or nonzero scalar), we may find a diffeomorphism from  $(\mathbb{R}^n \setminus \{0\})/\mathbb{R}^\times$  and our expression  $\mathbb{R}^{n-1} \times P$  by taking

$$\begin{pmatrix} x_1 \\ \vdots \\ x_{n-1} \end{pmatrix} \times \begin{pmatrix} \alpha & H \\ 0 & B \end{pmatrix} \mapsto [\alpha : x_1 : \dots : x_{n-1}].$$

This allows our definition of the topology to comport with the case of  $\text{Gr}(1, n)$ .

**Problem (Problem 2):** Fix an inner product on  $\mathbb{R}^n$ . Show that the map  $V \mapsto V^\perp$  induces a  $C^\infty$  diffeomorphism  $\text{Gr}(k, n) \rightarrow \text{Gr}(n - k, n)$ .

**Solution:** Due to the inner product, we make the identification  $v \mapsto v^*$  such that  $v^*(w) = \langle v, w \rangle$ . In particular, we have isomorphisms  $V \cong V^*$  and  $V^\perp \cong (V^\perp)^*$ . Therefore, given an element  $T \in \text{Hom}(V, V^\perp)$ , dualization gives the transpose map  $T^* \in \text{Hom}((V^\perp)^*, V^*)$ .

Now, given any chart  $(U_V, \varphi_V)$  in  $\text{Gr}(k, n)$ , we identify  $T \in \text{Hom}(V, V^\perp) \cong U_V$  to  $T^* \in \text{Hom}((V^\perp)^*, V^*) \cong U_{V^\perp}$ , and identify subspaces  $W \in U_V$  with their annihilators

$$W^0 = \{w^* \in (\mathbb{R}^n)^* \mid w^*(v) = 0 \text{ for all } v \in W\},$$

so that  $W^0 \cap V^* = 0$ . Finally, we define  $\varphi_{V^\perp}$  by

$$\varphi_{V^\perp} = P_{V^*} \circ P_{(V^\perp)^*}|_{W^0}^{-1}.$$

Since every  $W \in \text{Gr}(k, n)$  has a unique annihilator subspace  $W^0 \in \text{Gr}(n - k, n)$ , we have the series of bijective correspondences

$$\begin{aligned} \text{Hom}(V, V^\perp) &\xleftrightarrow{\varphi_V} U_V \\ &\xleftrightarrow{W \leftrightarrow W^0} U_{V^\perp} \\ &\xleftrightarrow{\varphi_{V^\perp}} \text{Hom}((V^\perp)^*, V^*) \\ &\xleftrightarrow{\langle \cdot, \cdot \rangle} \text{Hom}(V^\perp, V), \end{aligned}$$

meaning that this identification is a  $C^\infty$  diffeomorphism.

**Problem (Problem 3):** Prove that a  $C^k$  map which is a  $C^1$  diffeomorphism is necessarily a  $C^k$  diffeomorphism.

**Solution:** Let  $f: \mathbb{R}^n \rightarrow \mathbb{R}^n$  be a  $C^k$  map that is a  $C^1$  diffeomorphism. In order to show that  $f$  is a  $C^k$  diffeomorphism, we need to show that  $f^{-1}: \mathbb{R}^n \rightarrow \mathbb{R}^n$  exists and is of class  $C^k$ .

First, by the inverse function theorem, since  $f$  is a  $C^1$  diffeomorphism, we see that  $f^{-1}: \mathbb{R}^n \rightarrow \mathbb{R}^n$  exists, is continuous, and is such that  $D(f^{-1})$  is continuous.

We observe that for any  $y \in \mathbb{R}^n$ ,  $D_y(f^{-1})$  exists and is continuous, where  $D_y(f^{-1}) = (D_y f(f^{-1}(y)))^{-1}$  by the inverse function theorem. Since  $f^{-1}(y)$  is continuously differentiable,  $D_y f$  is  $C^{k-1}$ , and inversion is  $C^\infty$ , we see that  $D_y(f^{-1})$  is  $C^1$ , meaning that  $f^{-1}$  is  $C^2$ . Inductively, this gives that  $f^{-1}$  is  $C^k$ , meaning  $f$  is a  $C^k$  diffeomorphism.

**Problem (Problem 4):** Recall that a topological space is paracompact if every open cover admits a locally finite refinement. Prove that a connected, paracompact manifold of dimension one is either  $\mathbb{R}$  or  $S^1$ , depending on whether it is compact or not.

**Solution:** Let  $M$  be a connected, paracompact manifold of dimension 1, and let  $\mathcal{A} = \{(U_i, \varphi_i)\}_{i \in I}$  be a locally finite atlas, where without loss of generality, each of the  $U_i$  are connected, and  $\varphi_i(U_i) = (0, 1)$ . We will show that this atlas allows us to define a homeomorphism between  $M$  and either  $S^1$  or  $\mathbb{R}$ .

Consider two open sets,  $U_1$  and  $U_2$  with respective charts  $\varphi_1$  and  $\varphi_2$ . Suppose  $U_1 \cap U_2$  admits one connected component, and assume that  $U_j \setminus U_i \neq \emptyset$ . We will show that this allows us to, in a sense, “amalgamate” their respective coordinate maps  $\varphi_1$  and  $\varphi_2$ , so that we may reduce to the case of two subsets if our atlas is finite. Since  $U_1 \cap U_2$  is an open subset of  $U_1$ , the coordinate map  $\varphi_1: U_1 \rightarrow (0, 1)$  restricts to an embedding  $\varphi_1: U_1 \cap U_2 \rightarrow (0, 1)$ . Note that since  $\varphi_1$  is continuous, there is at most one cluster point for  $\varphi_1(U_1 \cap U_2)$  within  $(0, 1)$ , seeing as  $\varphi_1$  is not defined on  $U_2 \setminus U_1$ . Thus, we may assume that  $\varphi_1(U_1 \cap U_2) = (b, 1)$  for some  $b \in (0, 1)$ . Similarly, we may assume that  $\varphi_2(U_1 \cap U_2) = (0, a)$ , so on

$U_1 \cup U_2$ , we may define  $\varphi_{1,2}: U_1 \cup U_2 \rightarrow (0, 1)$  by  $\varphi_1(U_1 \setminus U_2) = (0, a/(a+1)]$  and  $\varphi_2(U_2) = (a/(a+1), 1)$ , which is our desired amalgamation.

By taking a countable basis for the topology of  $M$  (as all connected, paracompact topological spaces are second-countable), using the fact that  $\{(U_i)\}_{i \in I}$  is locally finite, and amalgamating the charts via this process for the finitely many elements of  $\{U_i\}_{i \in I}$  that intersect elements of this topological basis, we may assume that the atlas  $\mathcal{A}' = \{(V_k, \psi_k)\}_{k \geq 1}$  is countable. There are then two cases.

If  $M$  is compact, then  $M$  is covered by finitely many of these charts,  $\{(V_j, \psi_j)\}_{j=1}^n$ , so by using the amalgamation process once again, we are left with two charts. Without loss of generality, we call them  $(V_1, \psi_1)$  and  $(V_2, \psi_2)$ . Observe that  $V_1 \cap V_2$  *must* have two connected components; if there is one connected component, we may use this amalgamation process one more time, yielding a homeomorphism between the compact manifold  $M$  and the non-compact interval  $(0, 1)$ , a contradiction, and if there are no connected components, then  $M$  is disconnected. Thus, if we are able to develop a continuous bijection between  $M$  and  $S^1$ , since  $S^1$  is Hausdorff and  $M$  is compact, we automatically find  $M \cong S^1$ .

From earlier, we know that if  $W_1$  and  $W_2$  are the connected components of  $V_1 \cap V_2$ , then we may take  $\psi_1(W_1) = (0, a)$  and  $\psi_1(W_2) = (b, 1)$ . Similarly, we may take  $\psi_2(W_2) = (0, c)$  and  $\psi_2(W_2) = (d, 1)$ . We define the continuous bijection  $r: M \rightarrow S^1$  piecewise, by taking

$$r(x) = \begin{cases} (\cos(\pi\psi_1(x)), \sin(\pi\psi_1(x))) & x \in V_1 \\ (\cos(\frac{\pi}{d-c}\psi_2(x) + \pi), \sin(\frac{\pi}{d-c}\psi_2(x) + \pi)) & x \in V_2 \setminus V_1. \end{cases}$$

If  $M$  is not compact, then via some rearrangement, cutting, and compositions, we may assume that  $V_k \cap V_{k+1}$  has one connected component, and  $V_k \cap V_{k+n}$  for  $n \geq 2$  has no connected components, and that  $\psi_k(V_k) = (k-1, k+1)$  for each  $k$ . Then, we define  $r^*: M \rightarrow (0, \infty)$  by

$$r(x) = \begin{cases} \psi_1(x) & x \in V_1 \\ \psi_k(x) & x \in V_k \setminus V_{k-1}. \end{cases}$$

This is a homeomorphism, so by composing with a homeomorphism between  $(0, \infty)$  and  $\mathbb{R}$ , we find that  $M$  is homeomorphic to  $\mathbb{R}$ .

**Problem** (Problem 5): In this problem, we prove a weak version of the Whitney Embedding Theorem.

- Find a  $C^\infty$  function  $\lambda$  on  $\mathbb{R}^n$  with values in  $[0, 1]$  such that  $\lambda$  takes the value 1 on the closed ball  $B(0, 1)$ , and vanishes outside the closed ball  $B(0, 2)$ .
- Suppose  $M$  is a compact  $C^k$  manifold of dimension  $n$ . Find a  $C^k$  atlas  $\{(U_i, \varphi_i)\}_{i \in I}$  such that  $\varphi_i(U_i)$  contains  $B(0, 2)$ , and such that  $M$  is covered by the union of  $\varphi_i^{-1}(B(0, 1))^\circ$ .
- Let  $\lambda_i$  be defined by  $\lambda \circ \varphi_i$  on  $U_i$ , and 0 outside  $U_i$ . Let  $f_i: M \rightarrow \mathbb{R}^n$  be defined by  $\lambda_i \cdot \varphi_i$  on  $U_i$  and zero otherwise. Use these functions to embed  $M$  as a submanifold of some Euclidean space.

**Solution:**

- Consider the function  $H: \mathbb{R} \rightarrow \mathbb{R}$  given by

$$H(t) = \begin{cases} e^{-1/t} & t > 0 \\ 0 & t \leq 0, \end{cases}$$

which is a  $C^\infty$  function on  $\mathbb{R}$ , as  $e^{-1/t}$  is  $C^\infty$  for all  $t > 0$ , and the derivative is well-defined at  $t = 0$ . Next, we see that the function

$$G(t) = \frac{H(4-t^2)}{H(4-t^2) + H(t^2-1)}$$

takes on the value 1 whenever  $-1 \leq t \leq 1$  and is supported on  $[-2, 2]$ . Furthermore, it is a  $C^\infty$  function, as it is a rational function of  $C^\infty$  functions where the denominator never takes the value 0. Therefore, if we replace  $t$  with  $|x|$ , when  $x \in \mathbb{R}^n$ , since the norm is a  $C^\infty$  function, we obtain a  $C^\infty$  function that is supported on  $B(0, 2)$  and is equal to 1 on  $B(0, 1)$ , given by

$$\lambda(x) = \frac{H(4 - |x|^2)}{H(4 - |x|^2) + H(|x|^2 - 1)}.$$

- (b) Let  $M$  be a compact  $C^k$  manifold, and let  $\{(V_i, \psi_i)\}_{i \in I}$  be a  $C^k$  atlas for  $M$ , where  $\{V_i\}_{i \in I}$  is an open cover, the  $\psi_i: V_i \rightarrow \mathbb{R}^n$  are homeomorphisms, and the  $\psi_j \circ \psi_i^{-1}$  are  $C^k$  diffeomorphisms.

Since  $M$  is compact, we have a finite subcover  $\{V_j\}_{j=1}^n$  and an exhaustion by compact subsets via

$$U_j = \bigcup_{k=1}^j V_k$$

$$M = \bigcup_{j=1}^n U_j,$$

where, without loss of generality,  $\overline{U_j} \subseteq U_{j+1}$ .

Now, for each  $p \in \overline{U_j} \setminus U_{j-1}$  (define  $U_0 = U_1 = \emptyset$ ), we may find  $i_p$  with a corresponding  $C^k$  chart  $(V_{i_p}, \psi_p)$  mapping  $\psi_p(V_{i_p}) = \mathbb{R}^n$ . Without loss of generality,  $\psi_p(p) = 0$  (compose with a translation if not), and let  $W_p = \psi_p^{-1}(U(0, 1))$ .

Clearly,  $B(0, 2) \subseteq \psi_{i_p}(V_{i_p})$ , and by finitely enumerating the elements  $p_{j_k}$  in  $\overline{U_j} \setminus U_{j-1}$ , we have an open cover  $\{W_{p_{j_k}}\}_{k=1}^m = \{\psi_{p_{j_k}}^{-1}(U(0, 1))\}_{k=1}^m$  of  $M$ , and  $\{(V_{i_{p_{j_k}}}, \psi_{p_{j_k}})\}_{k=1}^m$  are  $C^k$  charts such that  $B(0, 2) \subseteq \psi_{p_{j_k}}(V_{i_{p_{j_k}}})$ .

- (c) We rename the finite atlas from part (b),  $\{(V_{i_{p_{j_k}}}, \psi_{p_{j_k}})\}_{k=1}^m$ , to  $\{(V_k, \psi_k)\}_{k=1}^m$ . Note that the  $W_k = \psi_k^{-1}(U(0, 1))$  is the open cover we use to define  $m$ . We may redefine each  $W_k$  to be equal to its closure.

Now, if  $f_k = \lambda_k \cdot \psi_k$ , then by setting  $g_k = (f_k, \lambda_k)$ , we find that for any  $x \in W_k$ ,  $g_k(x) = (\psi_k(x), 1)$ , so  $g_k(W_k) = (\psi_k(W_k), 1)$ , and if  $x \notin W_k$ , then  $g_k(x) = (\psi_k(x), 0)$ . It is clear that  $g: M \rightarrow \mathbb{R}^{m(n+1)}$  given by  $g \equiv (g_1, \dots, g_m)$  is continuous. It remains to show that  $g$  is injective. To see this, if  $x \neq y$ , there are two cases:

- if  $x, y \in W_k$ , then since  $\psi_k: V_k \rightarrow \mathbb{R}^n$  is a bijection, we must have  $g_k(x) \neq g_k(y)$ ;
- if  $x \in W_k$  and  $y \notin W_k$ , then since  $\lambda_k(x) = 1$  and  $\lambda_k(y) = 0$ , we must have  $g_k(x) \neq g_k(y)$ .

Since the  $W_k$  cover  $M$ , we must have that  $g$  is injective. Thus,  $M \hookrightarrow \mathbb{R}^{m(n+1)}$  given by  $x \mapsto g(x)$  is our desired embedding.

**Problem (Problem 6):** Use the ideas of the previous exercise to prove that a  $C^k$  manifold admits a  $C^k$  partition of unity subordinate to any locally finite cover.

**Solution:** Let  $\{U_i\}_{i \in I}$  be a locally finite open cover of  $M$ , and let  $\{(U_i, \varphi_i)\}_{i \in I}$  be the corresponding  $C^k$

atlas for  $M$  where  $B(0, 2) \subseteq \varphi_i(U_i)$ , and  $M$  is covered by  $\varphi_i^{-1}(U(0, 1))$ . Then, we may define

$$f_i = \begin{cases} G \circ \varphi_i & \text{on } U_i \\ 0 & \text{on } U_i^c, \end{cases}$$

where

$$G(x) = \frac{e^{\frac{1}{4-|x|^2}}}{e^{\frac{1}{4-|x|^2}} + e^{\frac{1}{|x|^2-1}}}$$

is a  $C^\infty$  function supported on  $B(0, 2)$  and equal to 1 on  $U(0, 1)$ . Defining

$$f = \sum_{i \in I} f_i,$$

we see that  $f \neq 0$  everywhere, as  $M$  is covered by the family  $\varphi_i^{-1}(U(0, 1))$ , where  $G$  is nonzero on  $U(0, 1)$ , and since  $\{U_i\}_{i \in I}$  is locally finite,  $f$  is also  $C^k$  as each  $f_i$  is the composition of a  $C^k$  diffeomorphism and a  $C^\infty$  function. The functions

$$g_i = \frac{f_i}{f}$$

are thus  $C^k$  functions,  $0 \leq g_i \leq 1$ , and  $\sum_{i \in I} g_i = 1$ .

**Problem (Problem 7):** Let  $X$  and  $Y$  be topological spaces, and let  $C(X, Y)$  be the set of continuous maps from  $X$  to  $Y$ . Equip  $C(X, Y)$  with the compact-open topology, where the basic open sets are

$$U_{K,V} = \{f \mid f(K) \subseteq V\},$$

where  $K \subseteq X$  is compact and  $V \subseteq Y$  is open.

If  $Y$  is a metric space, and if  $X$  is compact, prove that this topology is the same as the topology of uniform convergence.

**Solution:** Let  $Y$  be a metric space and let  $X$  be compact. We note that a neighborhood basis in the topology of uniform convergence on  $C(X, Y)$  consists of sets of the form

$$U_{f,\varepsilon} = \left\{ g \mid \sup_{x \in X} d(f(x), g(x)) < \varepsilon \right\}.$$

Similarly, a neighborhood basis for the compact open topology consists of sets of the form

$$U_{f,K,\varepsilon} = \left\{ g \mid \sup_{x \in K} d(f(x), g(x)) < \varepsilon \right\};$$

the fact that  $Y$  is a metric space allows us to take this refinement of the compact-open topology.

Thus, to prove that the compact-open topology and the topology of uniform convergence are equivalent, we show that any basis element of the topology of uniform convergence is contained in a basis element of the compact-open topology, and vice versa.

First, we see that almost by definition, if  $K \subseteq X$  is any compact subset, then

$$U_{f,\varepsilon} \subseteq U_{f,K,\varepsilon},$$

as any function whose supremum distance is less than  $\varepsilon$  over  $X$  must have that supremum distance hold over  $K \subseteq X$ .

Now, in the reverse direction, we fix  $f$  and  $\varepsilon$ . We wish to show that there is a finite family of subsets  $U_{K_i, V_i}$  with  $f \in U_{K_i, V_i}$  for each  $i$ , and their intersection lies in  $U_{f, \varepsilon}$ . We see that every point  $x \in X$  has a pre-compact open neighborhood  $U_x$  such that  $f(\overline{U_x}) \subseteq U(f(x), \varepsilon/3)$ , which follows from the fact that compact subsets of  $Y$  are bounded. The family  $\{U_x \mid x \in X\}$  is an open cover for  $X$ , so admits a finite subcover  $\{U_{x_i}\}_{i=1}^n$ . Since each  $\{\overline{U_{x_i}}\}_{i=1}^n$  is compact, and for each  $i$ ,  $f \in U_{\overline{U_{x_i}}, U(f(x_i), \varepsilon/3)}$ , we see that

$$V = \bigcap_{i=1}^n U_{\overline{U_{x_i}}, U(f(x_i), \varepsilon/3)}$$

is a nonempty open subset in the compact-open topology on  $C(X, Y)$  that contains  $f$ . Now, for any  $g \in V$  and for any  $x \in X$ , we see that there is some  $U_{x_j}$  such that  $x \in U_{x_j}$ , and since  $g \in U_{\overline{U_{x_j}}, U(f(x_j), \varepsilon/3)}$ , we have that

$$\begin{aligned} d(g(x), f(x)) &\leq d(g(x), f(x_j)) + d(f(x_j), f(x)) \\ &< \varepsilon/3 + \varepsilon/3 \\ &< \varepsilon, \end{aligned}$$

so  $V \subseteq U_{f, \varepsilon}$ , meaning the topologies are equal.