## Introduction

It is my experience that proofs involving matrices can be shortened by 50% if one throws the matrices out.

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The goal of this course is to prove a lot of the essential results of linear algebra without basis dependence (as in, using the properties of the linear transformations themselves rather than matrices).

## **Vector Spaces**

## **Vector Spaces and Linear Transformations**

**Remark:** We let  $\mathbb{F}$  be either  $\mathbb{R}$ ,  $\mathbb{Q}$ ,  $\mathbb{C}$ ,  $\mathbb{F}_p$  (where p is a prime). Primarily, we let  $\mathbb{F} = \mathbb{Q}$ ,  $\mathbb{R}$ ,  $\mathbb{C}$ .

**Example** (Our First Vector Space). The primary vector space we study in lower-division linear algebra is

$$V = \mathbb{R}^{n}$$

$$= \left\{ \begin{pmatrix} a_{1} \\ \vdots \\ a_{n} \end{pmatrix} | a_{1}, \dots, a_{n} \in \mathbb{R} \right\}$$

We know that for

$$v = \begin{pmatrix} a_1 \\ \vdots \\ a_n \end{pmatrix}$$

$$w = \begin{pmatrix} b_1 \\ \vdots \\ b_n \end{pmatrix}$$

that

$$v + w = \begin{pmatrix} a_1 + b_1 \\ \vdots \\ a_n + b_n \end{pmatrix}$$
$$cv = \begin{pmatrix} ca_1 \\ \vdots \\ ca_n \end{pmatrix},$$

where  $c \in \mathbb{R}$  is some constant.

**Definition** (Vector Space). Let V be a nonempty set with the following operations:

- $a: V \times V \rightarrow V$ ,  $a(v, w) \mapsto v + w$  (vector addition);
- $m : F \times V \rightarrow V$ ,  $m(c, v) \mapsto cv$  (scalar multiplication);

satisfying the following:

(1) there exists  $0_v \in V$  such that  $0_v + v = v = v + 0_v$  for all  $v \in V$ ;

- (2) for every  $v \in V$ , there exists -v such that  $v + (-v) = 0_v = (-v) + v$ ;
- (3) for every  $u, v, w \in V$ , (u + v) + w = u + (v + w);
- (4) for every  $v, w \in V$ , v + w = w + v;
- (5) for every  $v, w \in V$  and  $c \in \mathbb{F}$ , c(v + w) = cv + cw;
- (6) for every  $c, d \in \mathbb{F}$ ,  $v \in V$ , (c + d)v = cv + dv;
- (7) for every  $c, d \in \mathbb{F}$ ,  $v \in V$ , (cd)v = c(dv);
- (8) for every  $v \in V$ ,  $(1_{\mathbb{F}})v = v$ .

We say V is a **F**-vector space.

**Example** ( $\mathbb{F}^n$ ). Let  $\mathbb{F}$  be a field,  $V = \mathbb{F}^n$ .

$$V = \left\{ \begin{pmatrix} a_1 \\ \vdots \\ a_n \end{pmatrix} \middle| a_i \in \mathbb{F} \right\}.$$

Define:

$$\begin{pmatrix} a_1 \\ \vdots \\ a_n \end{pmatrix} + \begin{pmatrix} b_1 \\ \vdots \\ b_n \end{pmatrix} = \begin{pmatrix} a_1 + b_1 \\ \vdots \\ a_n + b_n \end{pmatrix}$$
$$c \begin{pmatrix} a_1 \\ \vdots \\ a_n \end{pmatrix} = \begin{pmatrix} c a_1 \\ \vdots \\ c a_n \end{pmatrix}.$$

We set

$$0_{\mathbb{F}^n} = \begin{pmatrix} 0 \\ \vdots \\ 0 \end{pmatrix}.$$

Let

$$v = \begin{pmatrix} v_1 \\ \vdots \\ v_n \end{pmatrix}$$

$$w = \begin{pmatrix} w_1 \\ \vdots \\ w_n \end{pmatrix}$$

$$u = \begin{pmatrix} u_1 \\ \vdots \\ u_n \end{pmatrix}$$

 $c, d \in \mathbb{F}$ . We observe that

$$0_{\mathbb{F}^n} + \nu = \begin{pmatrix} 0 + \nu_1 \\ \vdots \\ 0 + \nu_n \end{pmatrix}$$

$$= \begin{pmatrix} v_1 \\ \vdots \\ v_n \end{pmatrix}.$$

Define

$$-v = \begin{pmatrix} -v_1 \\ \vdots \\ -v_n \end{pmatrix}.$$

Then,

$$v + (-v) = \begin{pmatrix} v_1 + (-v_1) \\ \vdots \\ v_n + (-v_n) \end{pmatrix}$$
$$= \begin{pmatrix} 0 \\ \vdots \\ 0 \end{pmatrix}$$
$$= 0_{\mathbb{F}^n}.$$

Note that

$$(u+v)+w = \begin{pmatrix} (u_1+v_1)+w_1\\ \vdots\\ (u_n+v_n)+w_n \end{pmatrix}$$
$$= \begin{pmatrix} u_1+(v_1+w_1)\\ \vdots\\ u_n+(v_n+w_n) \end{pmatrix}$$
$$= u+(v+w)$$

We have

$$v + w = \begin{pmatrix} v_1 + w_1 \\ \vdots \\ v_n + w_n \end{pmatrix}$$
$$= \begin{pmatrix} w_1 + v_1 \\ \vdots \\ w_n + v_n \end{pmatrix}$$
$$= w + v.$$

Observe

$$c(v + w) = c \begin{pmatrix} v_1 + w_1 \\ \vdots \\ v_n + w_n \end{pmatrix}$$
$$= \begin{pmatrix} c(v_1 + w_1) \\ \vdots \\ c(v_n + w_n) \end{pmatrix}$$

$$= \begin{pmatrix} cv_1 + cw_1 \\ \vdots \\ cv_n + cw_n \end{pmatrix}$$

$$= cv + cw,$$

$$(c+d)v = (c+d) \begin{pmatrix} v_1 \\ \vdots \\ v_n \end{pmatrix}$$

$$= \begin{pmatrix} (c+d)v_1 \\ \vdots \\ (c+d)v_n \end{pmatrix}$$

$$= \begin{pmatrix} cv_1 + dv_1 \\ \vdots \\ cv_n + dv_n \end{pmatrix}$$

$$= cv + dv,$$

and

$$(cd)v = (cd) \begin{pmatrix} v_1 \\ \vdots \\ v_n \end{pmatrix}$$
$$= \begin{pmatrix} (cd)v_1 \\ \vdots \\ (cd)v_n \end{pmatrix}$$
$$= \begin{pmatrix} c(dv_1) \\ \vdots \\ c(dv_n) \end{pmatrix}$$
$$= c(dv).$$

Finally,

$$1_{\mathbb{F}} = 1_{\mathbb{F}} \begin{pmatrix} v_1 \\ \vdots \\ v_n \end{pmatrix}$$
$$= \begin{pmatrix} 1_{\mathbb{F}} v_1 \\ \vdots 1_{\mathbb{F}} v_n \end{pmatrix}$$
$$= \begin{pmatrix} v_1 \\ \vdots \\ v_n \end{pmatrix}$$
$$= v.$$

**Example** (Polynomials). Let  $n \in \mathbb{Z}_{\geq 0}$ . We define

$$\mathcal{P}_{n}(\mathbb{F}) = \{a_0 + a_1x + \cdots + a_nx^n \mid a_i \in \mathbb{F}\}.$$

For  $f(x) = \sum_{j=0}^{n} a_j x^j$  and  $g(x) = \sum_{j=0}^{n} b_j x^j$  in  $\mathcal{P}_n(\mathbb{F})$ , we have

$$f(x) + g(x) = \sum_{j=0}^{n} (a_j + b_j) x^j$$

$$cf(x) = \sum_{j=0}^{n} (ca_j) x^j.$$

Note that these are not functions *per se*, we are only f(x) and g(x) to represent elements of  $\mathcal{P}_n$  ( $\mathbb{F}$ ). We can verify that  $\mathcal{P}_n$  ( $\mathbb{F}$ ) is a  $\mathbb{F}$ -vector space.

We define

$$\mathbb{F}[x] = \bigcup_{n \geqslant 0} \mathcal{P}_n(\mathbb{F}),$$

which is also a F-vector space.

**Example** (Matrices). Let  $m, n \in \mathbb{Z}_{>0}$ . We set

$$V = Mat_{m,n}(\mathbb{F})$$
,

which is the set of  $\mathfrak{m} \times \mathfrak{n}$  matrices with entries in  $\mathbb{F}$ . This is an  $\mathbb{F}$ -vector space with matrix addition and scalar multiplication.

In the case where m = n, we write  $Mat_n(\mathbb{F})$  to denote  $Mat_{n,n}(\mathbb{F})$ .

**Example** (Complex Numbers). Let  $V = \mathbb{C}$ . Then, V is a  $\mathbb{C}$ -vector space, an  $\mathbb{R}$ -vector space, and a  $\mathbb{Q}$ -vector space.

Note that the properties of a vector space change with the underlying scalar field.

**Lemma** (Basic Properties of Vector Spaces). *Let* V *be a* **F**-vector space.

- (1)  $0_V$  is unique.
- (2)  $0_{\mathbb{F}}v = 0_{V}$ .
- (3)  $(-1_{\mathbb{F}})v = -v$ .

Proof.

(1) Suppose toward contradiction that there exist 0,0' both satisfy

$$0 + v = v \tag{*}$$

$$0' + v = v. \tag{**}$$

Then,

$$0 + v = v$$
  
 $0 + 0' = 0'$  by (\*) with  $v = 0'$   
 $= 0' + 0$   
 $= 0$ . by (\*\*) with  $v = 0$ 

(2) Note

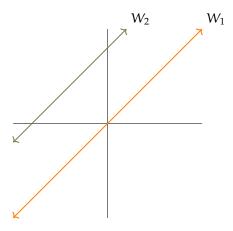
$$0_{\mathbb{F}}v = (0_{\mathbb{F}} + 0_{\mathbb{F}})v$$
$$= 0_{\mathbb{F}}v + 0_{\mathbb{F}}v.$$

We subtract  $0_{\mathbb{F}}v$  from both sides.

$$(-1_{\mathbb{F}}) \nu + \nu = (-1_{\mathbb{F}}) \nu + 1_{\mathbb{F}} \nu$$
  
=  $(-1_{\mathbb{F}} + 1_{\mathbb{F}}) \nu$   
=  $0_{\mathbb{F}} \nu$ .

**Definition** (Subspaces). Let V be an  $\mathbb{F}$ -vector space. We say  $W \subseteq V$  is an  $\mathbb{F}$ -subspace (henceforth subspace) if W is an  $\mathbb{F}$ -vector space under the same addition and scalar multiplication.

**Example** (Subspaces of  $\mathbb{R}^2$ ). Let  $V = \mathbb{R}^2$ .



Here, we see that  $W_1$  is a subspace, and  $W_2$  is not a subspace (as  $W_2$  does not contain  $0_V$ ).

**Example** (Subspaces of  $\mathbb{C}$ ). Let  $V = \mathbb{C}$ ,  $W = \{a + 0i \mid a \in \mathbb{R}\}$ .

- If  $\mathbb{F} = \mathbb{R}$ , then *W* is a subspace of *V*.
- If  $\mathbb{F} = \mathbb{C}$ , then W is not a subspace; we can see that  $2 \in W$ ,  $i \in \mathbb{C}$ , but  $2i \notin W$ .

**Example** (Matrices). It is not the case that  $Mat_2(\mathbb{R})$  is a subspace of  $Mat_4(\mathbb{R})$ , since  $Mat_2(\mathbb{R})$  is not a subset of  $Mat_4(\mathbb{R})$ .

**Example** (Polynomials). For the spaces  $\mathcal{P}_{\mathfrak{m}}(\mathbb{F})$  and  $\mathcal{P}_{\mathfrak{n}}(\mathbb{F})$ , if  $\mathfrak{m} \leq \mathfrak{n}$ , then  $\mathcal{P}_{\mathfrak{m}}(\mathbb{F})$  is a subspace of  $\mathcal{P}_{\mathfrak{n}}(\mathbb{F})$ .

**Lemma** (Proving Subspace Relation). Let V be a  $\mathbb{F}$ -vector space,  $W \subseteq V$ . Then, W is a subspace of V if

- (1) W is nonempty;
- (2) W is closed under addition;
- (3) W is closed under scalar multiplication.

*Proof.* The proof is an exercise.

**Definition** (Linear Transformation). Let V, W be  $\mathbb{F}$ -vector spaces. Let  $T: V \to W$ . We say T is a linear transformation (or linear map) if for every  $v_1, v_2 \in V$ ,  $c \in \mathbb{F}$ , we have

$$T(v_1 + cv_2) = T(v_1) + cT(v_2)$$
.

Note that on the left side, addition is in V, and on the right side, addition is in W.

The collection of all linear maps from V to W is denoted  $\operatorname{Hom}_{\mathbb{F}}(V, W)$ , or  $\mathcal{L}(V, W)$ .

Example (Identity Transformation). Define

$$id_V: V \rightarrow V$$

where  $id_V(v) = v$ . We can see that  $id_V \in Hom_{\mathbb{F}}(V, V)$ , since

$$id_V (v_1 + cv_2) = v_1 + cv_2$$
  
=  $id_V (v_1) + (c) (id_V (v_2))$ 

**Example** (Complex Conjugation). Let  $V = \mathbb{C}$ . Define  $T : V \to V$  by  $z \mapsto \overline{z}$ .

We may ask whether  $T \in \operatorname{Hom}_{\mathbb{R}}(\mathbb{C},\mathbb{C})$  or  $T \in \operatorname{Hom}_{\mathbb{C}}(\mathbb{C},\mathbb{C})$ .

$$T(z_1 + cz_1) = \overline{z_1 + cz_2}$$
$$= \overline{z_1} + (\overline{c})(\overline{z_2}).$$

We can see that  $T(z_1 + cz_2) = T(z_1) c T(z_2)$  if and only if  $c = \overline{c}$ , meaning c must be real. This means  $T \in \operatorname{Hom}_{\mathbb{R}}(\mathbb{C},\mathbb{C})$ , but  $T \notin \operatorname{Hom}_{\mathbb{C}}(\mathbb{C},\mathbb{C})$ .

**Example** (Matrices). Let  $A \in Mat_{m,n}$  ( $\mathbb{F}$ ). We define

$$T_A: \mathbb{F}^n \to \mathbb{F}^m$$
  
 $x \mapsto Ax.$ 

Then,  $T_A \in \text{Hom}_{\mathbb{F}}(\mathbb{F}^n, \mathbb{F}^m)$ .

**Example** (Linear Maps on Smooth Functions). Let  $V = C^{\infty}(\mathbb{R})$ , which denotes the set of continuous functions with continuous derivatives at all orders. This is a vector space under pointwise addition and scalar multiplication.

$$(f+g)(x) = f(x) + g(x)$$
  
 $(cf)(x) = (c)(f(x)).$ 

Let  $a \in \mathbb{R}$ .

(1)

$$E_{\alpha}:V\to\mathbb{R}$$
 
$$f\mapsto f(\alpha).$$

Then,  $E_{\alpha} \in \text{Hom}_{\mathbb{R}}(V, \mathbb{R})$ .

(2)

$$D: V \to V$$
$$f \mapsto f'.$$

Then,  $D \in \text{Hom}_{\mathbb{R}}(V, V)$ .

(3)

$$\begin{split} I_\alpha: V \to V \\ f \mapsto \int_{-\pi}^x f(t) \, dt. \end{split}$$

Then,  $I_{\alpha} \in \text{Hom}_{\mathbb{R}}(V, V)$ .

(4) Treating f(a) as a (constant) function,

$$\tilde{E}_{\alpha}: V \to V$$
 $f \mapsto f(\alpha).$ 

Then,  $\tilde{E}_{\alpha} \in \text{Hom}_{\mathbb{R}}(V, V)$ .

Additionally,

- $D \circ I_a = id_V$ ;
- $I_{\alpha} \circ D = id_{V} \tilde{E}_{\alpha}$  for some  $\alpha \in \mathbb{R}$ .

**Exercise.** Show  $\operatorname{Hom}_{\mathbb{F}}(V, W)$  is an F-vector space.

**Exercise.** Let U, V, W be vector spaces. Let  $S \in \operatorname{Hom}_{\mathbb{F}}(U, V)$  and  $T \in \operatorname{Hom}_{\mathbb{F}}(V, W)$ . Show  $T \circ S \in \operatorname{Hom}_{\mathbb{F}}(U, W)$ 

**Lemma** (Image of Identity). Let  $T \in Hom_{V,W}$ . Then,  $T(0_V) = 0_W$ .

**Definition** (Isomorphism). Let  $T \in \text{Hom}_{\mathbb{F}}(V, W)$  be invertible, meaning there exists  $T^{-1}W \to V$  such that  $T \circ T^{-1} = id_W$  and  $T^{-1} \circ T = id_V$ .

We say T is an isomorphism, and V, W are isomorphic.

**Exercise.** Show  $T^{-1} \in \text{Hom}_{\mathbb{F}}(W, V)$ .

**Example** ( $\mathbb{R}^2$  and  $\mathbb{C}$ ). Let  $V = \mathbb{R}^2$ ,  $W = \mathbb{C}$ . Define  $T : \mathbb{R}^2 \to \mathbb{C}$ ,  $(x, y) \mapsto x + iy$ .

We can verify that  $T \in \text{Hom}_{\mathbb{R}}(\mathbb{R}^2, \mathbb{C})$ . Let  $(x_1, y_1), (x_2, y_2) \in \mathbb{R}^2$  and  $r \in \mathbb{R}$ . Then,

$$T((x_1, y_1) + r(x_2, y_2)) = T((x_1 + rx_2, y_1 + ry_2))$$

$$= (x_1 + rx_2) + i(y_1 + ry_2)$$

$$= x_1 + iy_1 + rx_2 + i(ry_2)$$

$$= x_1 + iy_1 + r(x_2 + iy_2)$$

$$= T((x_1, y_1)) + rT((x_2, y_2)).$$

Define  $T^{-1}\mathbb{C} \to \mathbb{R}^2$  by  $x+iy \mapsto (x,y)$ . We have  $T \circ T^{-1}(x+iy) = x+iy$  is an inverse map and  $T^{-1} \circ T((x,y)) = (x,y)$ . Thus,  $\mathbb{R}^2 \cong \mathbb{C}$  as  $\mathbb{R}$ -vector spaces.

**Example** ( $\mathcal{P}_n$  ( $\mathbb{F}$ ) and  $\mathbb{F}^{n+1}$ ). Set  $V = \mathcal{P}_n$  ( $\mathbb{F}$ ) and  $W = \mathbb{F}^{n+1}$ .

Define  $T: \mathcal{P}_n(\mathbb{F}) \mapsto \mathbb{F}^{n+1}$ ,

$$a_0 + a_1 x + \dots + a_n x^n \mapsto \begin{pmatrix} a_0 \\ a_1 \\ \vdots \\ a_n \end{pmatrix}.$$

We can verify that T is linear, with inverse map  $T^{-1}:\mathbb{F}^{n+1}\to\mathcal{P}_n\left(\mathbb{F}\right)$ 

$$\begin{pmatrix} a_0 \\ a_1 \\ \vdots \\ a_n \end{pmatrix} \mapsto a_0 + a_1 x + \dots + a_n x^n.$$

Thus,  $\mathcal{P}_{n}(\mathbb{F}) \cong \mathbb{F}^{n+1}$ .

**Definition** (Kernel). Let  $T \in \text{Hom}_{\mathbb{F}}(V, W)$ . Define

$$\ker T = \{ v \in V \mid T(v) = 0_W \}.$$

We call this the kernel of T.

**Definition** (Image). Let  $T \in \text{Hom}_{\mathbb{F}}(V, W)$ . Define

$$\operatorname{im}(\mathsf{T}) = \mathsf{T}(\mathsf{V})$$
$$= \{ w \in W \mid \exists v \in \mathsf{V} \text{ such that } \mathsf{T}(v) = w \}$$

**Lemma** (Kernel and Image are Subspaces). *The kernel*, ker T, is a subspace of V, and the image, im (T), is a subspace of W.

*Proof.* Since  $T(0_V) = 0_W$ , we know that both ker T and im (T) are nonempty.

Let  $c \in \mathbb{F}$  and  $v_1, v_2 \in \ker T$ . Then,

$$T(v_1 + cv_2) = T(v_1) + cT(v_2)$$
  
= 0.

Thus,  $v_1 + cv_2 \in \ker T$ .

Let  $w_1, w_2 \in \text{im}(T)$ . Then, there exist  $u_1, u_2 \in V$  such that  $T(u_1) = w_1$  and  $T(u_2) = w_2$ . We have

$$T(u_1 + cu_2) = T(u_1) + cT(u_2)$$
  
=  $w_1 + cw_2$ ,

meaning  $w_1 + cw_2 \in \text{im}(T)$ , meaning im(T) is a subspace of W.

**Lemma** (Injectivity of a Linear Transformation). T is injective and only if ker  $T = \{0_V\}$ .

*Proof.* Suppose T is injective. Let  $v \in V$  be such that  $T(v) = 0_W$ . We also know that  $T(0_V) = 0_W$ . Since T is injective, this means  $v = 0_V$ .

Let ker T =  $\{0_V\}$ . Suppose T  $(v_1)$  = T  $(v_2)$ . Then,

$$T(v_1) - T(v_2) = 0_W$$
  
 $T(v_1 - v_2) = 0_W$ ,

meaning  $v_1 - v_2 \in \ker T$ , meaning  $v_1 - v_2 = 0_V$ . Thus,  $v_1 = v_2$ .

**Example** (Projection Map). Let m > n. Define  $T : \mathbb{F}^m \to \mathbb{F}^n$  by

$$\begin{pmatrix} a_1 \\ \vdots \\ a_m \end{pmatrix} \mapsto \begin{pmatrix} a_1 \\ \vdots \\ a_n \end{pmatrix}.$$

We can see that im  $(T) = \mathbb{F}^n$ .

To examine the kernel, let

$$\begin{pmatrix} a_1 \\ \vdots \\ a_m \end{pmatrix} \in \ker(T).$$

Then,

$$\begin{pmatrix} a_1 \\ \vdots \\ a_m \end{pmatrix} \mapsto \begin{pmatrix} 0 \\ \vdots \\ 0 \end{pmatrix},$$

with n entries. Thus,

$$\ker(\mathsf{T}) = \left\{ \begin{pmatrix} 0 \\ 0 \\ \vdots \\ 0 \\ a_{n+1} \\ \vdots \\ a_m \end{pmatrix} \middle| a_i \in \mathbb{F}^m \right\}$$

$$\cong \mathbb{F}^{m-n}.$$

## **Bases and Dimension**

For this section, we let V be a **F**-vector space.

**Definition** (Linear Combination). Let  $\mathcal{B} = \{\nu_i\}_{i \in I}$  be a subset of V. We say  $\nu \in V$  is an  $\mathbb{F}$ -linear combination of  $\mathcal{B}$  if there is a set  $\{\alpha_i\}_{i \in I}$  with  $\alpha_i = 0$  for all but finitely many i such that

$$v = \sum_{i \in I} a_i v_i.$$

We write  $v \in \operatorname{span}_{\mathbb{F}}(\mathcal{B})$ .

**Example.** Let  $V = \mathcal{P}_2(\mathbb{F})$ . Set  $\mathcal{B} = \{1, x, x^2\}$ . We have  $\operatorname{span}_{\mathbb{F}}(\mathcal{B}) = \mathcal{P}_2(\mathbb{F})$ .

**Definition** (Linear Independence). Let  $\mathcal{B} = \{v_i\}_{i \in I}$  be a subset of V. We say  $\mathcal{B}$  is  $\mathbb{F}$ -linearly independent if whenever

$$\sum_{i\in I} a_i v_i = 0_V,$$

we have  $a_i = 0$  for all  $i \in I$ . Note that these are finite sums.

**Definition** (Hamel Basis). Let  $\mathcal{B} = \{v_i\}_{i \in I}$  be a subset of V. We say  $\mathcal{B}$  is a  $\mathbb{F}$ -basis for V if

- (1) span  $(\mathcal{B}) = V$
- (2)  $\mathcal{B}$  is linearly independent.

**Example** (Standard Basis for  $\mathbb{F}^n$ ). Let  $V = \mathbb{F}^n$ . We let

$$\mathcal{E}_{n} = \{e_{1}, \ldots, e_{n}\},\,$$

where

$$e_1 = \begin{pmatrix} 1 \\ 0 \\ \vdots \\ 0 \end{pmatrix}$$

$$e_2 = \begin{pmatrix} 0 \\ 1 \\ \vdots \\ 0 \end{pmatrix}$$

$$e_{n} = \begin{pmatrix} 0 \\ 0 \\ \vdots \\ 1 \end{pmatrix}$$

We have  $\mathcal{E}_n$  is a basis of  $\mathbb{F}^n$  referred to as the standard basis.

We wish to show that every vector space has a basis. In order to do so, we require Zorn's lemma.

**Theorem** (Zorn's Lemma). Let X be a nonempty partially ordered set. If every totally ordered subset of X has an upper bound, then there exists at least one maximal element in X.

**Theorem.** Let  $\mathcal{A}$  and C be subsets of V with  $\mathcal{A} \subseteq C$ . Assume  $\mathcal{A}$  is linearly independent and  $\operatorname{span}_{\mathbb{F}}(C) = V$ . Then, there exists a basis  $\mathcal{B}$  of V with  $\mathcal{A} \subseteq \mathcal{B} \subseteq C$ .

Proof. Take

$$X = \{ \mathcal{B}' \subseteq V \mid \mathcal{A} \subseteq \mathcal{B}' \subseteq C, \mathcal{B} \text{ linearly independent} \}.$$

We have  $\mathcal{A} \in X$ , meaning X is nonempty. We know that X is partially ordered with respect to inclusion, and has an upper bound of C.

Thus, by Zorn's lemma, we have a maximal element in X. We call this maximal element  $\mathcal{B}$ . By the definition of X,  $\mathcal{B}$  is linearly independent.

We claim that  $\operatorname{span}_{\mathbb{F}}(\mathcal{B}) = V$ . If not, there exists some  $v \in C$  such that  $v \notin \operatorname{span}_{\mathbb{F}}(\mathcal{B})$ . However, if  $v \notin \operatorname{span}_{\mathbb{F}}(\mathcal{B})$ , then  $\mathcal{B} \cup \{v\} \subseteq C$  is linearly independent. However, since  $\mathcal{B} \subseteq \mathcal{B} \cup \{v\}$ , this implies that  $\mathcal{B}$  is not maximal, which is a contradiction. Thus,  $\operatorname{span}_{\mathbb{F}}(\mathcal{B}) = V$ .

**Remark:** This proof applies to all vector spaces, not just those with finite dimensions.

**Lemma.** A homogeneous system of m linear equations in n unknowns with m < n has a nonzero solution.

**Corollary.** Let  $\mathcal{B} \subseteq V$  with  $\operatorname{span}_{\mathbb{F}}(\mathcal{B}) = V$  and  $|\mathcal{B}| = m$ .

Then, any set with more than m elements cannot be linearly independent.

*Proof.* Let  $C = \{w_1, \dots, w_n\}$  with n > m. We wish to show that C cannot be linearly independent.

Write  $\mathcal{B} = \{v_1, \dots, v_m\}$  with span<sub>**F**</sub> $(\mathcal{B}) = V$ . For each i, write  $w_i = \sum_{j=1}^m a_{ji}v_j$  for some  $a_{ji} \in \mathbb{F}$ .

Consider the equations

$$\sum_{i=1}^{n} a_{ji} x_i = 0.$$

We have a solution to this  $(c_1, \ldots, c_n) \neq (0, \ldots, 0)$ .

We have

$$0 = \sum_{j=1}^{m} \left( \sum_{i=1}^{n} a_{ji} c_i \right) v_j$$

$$= \sum_{i=1}^{n} c_i \left( \sum_{j=1}^{m} a_{ji} v_j \right)$$
$$= \sum_{i=1}^{n} c_i w_i.$$

Thus, *C* is not linearly independent.

**Corollary.** *If*  $\mathcal{B}$  *and* C *are bases over* V, *with*  $\mathcal{B}$  *and* C *finite, then* card  $\mathcal{B} = \operatorname{card} C$ .

*Proof.* Let  $|\mathcal{B}| = m$ , |C| = n. Since C is linearly independent, we know that  $n \le m$ . We reverse the roles to see that  $m \le n$ .

**Definition** (Dimension). Let V be a  $\mathbb{F}$ -vector space with Hamel basis  $\mathcal{B}$ . Then, we define  $\dim_{\mathbb{F}} V = \operatorname{card} \mathcal{B}$ .

**Theorem.** Let V be finite-dimensional with  $\dim_{\mathbb{F}} V = n$ . Let  $C \subseteq V$  with card C = m.

- (1) If m > n, then C is not linearly independent.
- (2) If m < n, then  $\operatorname{span}_{\mathbb{F}}(C) \neq V$ .
- (3) If m = n, then the following are equal:
  - C is a basis;
  - *C* is linearly independent;
  - $\operatorname{span}_{\mathbb{F}}(C) = V$ .

**Corollary.** *Let*  $W \subseteq V$  *be a subspace. We have*  $\dim_{\mathbb{F}} W \leq \dim_{\mathbb{F}} V$ .

If  $\dim_{\mathbb{F}} V < \infty$ , then V = W if and only if  $\dim_{\mathbb{F}} W = \dim_{\mathbb{F}} V$ .

**Example.** Let  $V = \mathbb{C}$ .

If  $\mathbb{F} = \mathbb{C}$ , then  $\mathcal{B} = \{1\}$ , and  $\dim_{\mathbb{C}} \mathbb{C} = 1$ .

If  $\mathbb{F} = \mathbb{R}$ , then  $\mathcal{B} = \{1, i\}$ , and  $\dim_{\mathbb{R}} \mathbb{C} = 2$ .

**Example.** Let  $V = \mathbb{F}[x]$ , and let  $f(x) \in \mathbb{F}[x]$  be fixed.

Define an equivalence relation  $g(x) \equiv h(x)$  if f(x) | (g(x) - h(x)).

Given  $g(x) \in \mathbb{F}[x]$ , write [g(x)] for the equivalence class containing g(x).

Define  $W = \mathbb{F}[x]/(f(x)) = \{[g(x)] \mid g(x) \in \mathbb{F}[x]\}.$ 

Define

$$[g(x)] + [h(x)] = [g(x) + h(x)]$$
  
 $c[g(x)] = [cg(x)].$ 

This makes W into a vector space. Set  $n = \deg f(x)$ .

Then, we claim

$$\mathcal{B} = \left\{ [1], [x], \dots, \left[ x^{\mathsf{n}-1} \right] \right\}.$$

Suppose there exist  $a_0, \ldots, a_{n-1} \in \mathbb{F}$  with

$$a_0[1] + a_1[x] + \cdots + a_{n-1}[x^{n-1}] = [0].$$

Thus,

$$[a_0 + a_1x + \dots + a_{n-1}x^{n-1}] = [0].$$

Thus,

$$f(x)|\left(\alpha_0+\alpha_1x+\cdots+\alpha_{n-1}x^{n-1}-0\right)$$
,

which means we must have  $a_0 = a_1 = \cdots = a_{n-1}$ .

Let  $[g(x)] \in W$ . By the Euclidean algorithm,

$$g(x) = f(x)q(x) + r(x)$$

for some q(x),  $r(x) \in \mathbb{F}[x]$  with r(x) = 0 or  $\deg r(x) < n$ . Thus, we have

$$[g(x)] = [f(x)q(x)] + [r(x)]$$
  
=  $[r(x)]$ .

Since r(x) = 0 or deg r(x) < n, we must have  $[g(x)] = [r(x)] \in \text{span}_{\mathbb{F}}(\mathcal{B})$ .