

Name: Dave Mannion  
Course: UCSD Machine Learning & Engineering  
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## **Bootcamp Capstone Report**

# **Gene Family Prediction & ML**

**Machine Learning and DNA Sequence Classification**

Dave Mannion

## Contents

<b>1</b>	<b>Introduction</b>	<b>3</b>
1.1	Motivation . . . . .	3
1.2	Exploratory Work . . . . .	3
1.3	Capstone Project Work . . . . .	4
<b>2</b>	<b>Data</b>	<b>5</b>
2.1	Source . . . . .	5
2.2	Labeling . . . . .	5
2.3	Preprocessing: K-mer vectorization . . . . .	5
<b>3</b>	<b>Feature Engineering</b>	<b>6</b>
3.1	Feature Extraction/Embedding . . . . .	6
<b>4</b>	<b>Modeling</b>	<b>7</b>
4.1	Training . . . . .	7
4.2	Performance . . . . .	7
4.3	Deployment . . . . .	7

Gene Family	Count	Class Label
G protein coupled receptors	531	1
Tyrosine kinase	534	2
Tyrosine phosphatase	349	3
Synthetase	632	4
Synthase	711	5
Ion channel	240	6
Transcription factor	1341	7

Table 1: Gene Families represented by Human DNA coding sequences.

## 1 Introduction

Here we examine NLP algorithms to develop a Machine Learning model for making predictions on sequences of Nucleic Acid text. Specifically, we train a Multinomial Naïve Bayes classifier on Human DNA Coding Sequences to predict Gene Families. In addition to Human sequences, predictive testing was also performed in Chimpanzee and Dog DNA coding sequences. [Table 1](#) above shows Gene Families in the dataset.

### 1.1 Motivation

This Capstone project was selected to provide an opportunity to explore the application of NLP methods to biological text-based sequence data.

### 1.2 Exploratory Work

Prior to this Capstone project, recent work in ML applied to biological sequences was surveyed in order to identify the feasibility and scope of a project appropriate for this course. Method feasibility focused on LDA, NB and LSTM models. Some of this work is linked to Jupyter notebooks or original papers and includes protein classification<sup>1</sup>, Sapien/Neandertal sequence classification<sup>2</sup>, Neandertal DNA introgression<sup>3</sup>, CRISPR gRNA design tools<sup>4</sup>, Bacterial Classification with RNNs<sup>5</sup>, Bacteria taxonomic classifi-

<sup>1</sup><https://github.com/ai-dave/ml-gene-pub/blob/main/protein-classification.ipynb>

<sup>2</sup>[https://github.com/ai-dave/ml-gene-pub/blob/main/Deep\\_Learning\\_on\\_Ancient\\_DNA.ipynb](https://github.com/ai-dave/ml-gene-pub/blob/main/Deep_Learning_on_Ancient_DNA.ipynb)

<sup>3</sup>[https://github.com/ai-dave/ml-gene-pub/blob/main/Deep\\_Learning\\_Neanderthal\\_Introgression.ipynb](https://github.com/ai-dave/ml-gene-pub/blob/main/Deep_Learning_Neanderthal_Introgression.ipynb)

<sup>4</sup><https://pubmed.ncbi.nlm.nih.gov/31533522/>

<sup>5</sup>[https://github.com/lelugom/wgs\\_classifier](https://github.com/lelugom/wgs_classifier)

cation<sup>6</sup>, Ribosomal RNA analysis<sup>7,8,9,10,11</sup>, Viral and Single-celled Eukaryote Taxonomy classification<sup>12,13</sup>, Wolf/Dhole classification<sup>14,15,16</sup>.

### 1.3 Capstone Project Work

Jupyter notebook for the Capstone Project is available from a github repo<sup>17</sup>.

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<sup>6</sup>[https://www.researchgate.net/publication/348432006\\_Bacteria\\_taxonomic\\_classification\\_using\\_Machine\\_learning\\_models](https://www.researchgate.net/publication/348432006_Bacteria_taxonomic_classification_using_Machine_learning_models)

<sup>7</sup><https://github.com/ai-dave/ml-gene-pub/blob/main/gnb-rdp.ipynb>

<sup>8</sup><https://github.com/ai-dave/ml-gene-pub/blob/main/lda-compare-silva.ipynb>

<sup>9</sup><https://github.com/ai-dave/ml-gene-pub/blob/main/lda-rdp.ipynb>

<sup>10</sup><https://github.com/ai-dave/ml-gene-pub/blob/main/lstm-random-noise.ipynb>

<sup>11</sup><https://github.com/ai-dave/ml-gene-pub/blob/main/lstm-rdp.ipynb>

<sup>12</sup>[https://github.com/ai-dave/ml-gene-pub/blob/main/ncbi\\_lstm\\_poc.ipynb](https://github.com/ai-dave/ml-gene-pub/blob/main/ncbi_lstm_poc.ipynb)

<sup>13</sup><https://github.com/ai-dave/ml-gene-pub/blob/main/Eukaryota-Amoebozoa-Discosea.ipynb>

<sup>14</sup><https://github.com/ai-dave/ml-gene-pub/blob/main/Dhole-Wolfe-LDA.ipynb>

<sup>15</sup><https://github.com/ai-dave/ml-gene-pub/blob/main/Dhole-Wolfe-LSTM.ipynb>

<sup>16</sup><https://github.com/ai-dave/ml-gene-pub/blob/main/Dhole-Wolfe.ipynb>

<sup>17</sup><https://github.com/ai-dave/ml-gene-pub/blob/main/gene-family-human-chimp-dog.ipynb>

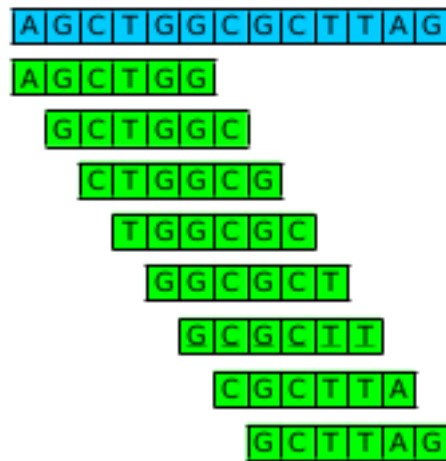


Figure 1: 6-mer "word" production from original sequence.

## 2 Data

### 2.1 Source

The data for this project came from a Kaggle notebook, *DNA Sequencing with Machine Learning*<sup>18</sup>. DNA Coding Sequences are mostly comprised of exons and, in this corpus, range in length from  $\sim 1000$  to  $\sim 3,500$  bases.

### 2.2 Labeling

Each sequence in the corpus of sequence data was annotated with one of seven Gene Families. The Gene Family annotation was translated into an integer class label  $c$  in  $\{1, 2, 3, 4, 5, 6, 7\}$

### 2.3 Preprocessing: K-mer vectorization

The nucleotide bases comprise an *alphabet* of the four letters  $b$  in  $\{A, G, C, T\}$ . A *K-mer* is a  $k$ -letter word comprised from *letters* of our *alphabet*. Here we let  $k=6$ . The *dictionary* contains up to  $4^6$  *words*, each 6 letters in length.<sup>19</sup>

Figure 1 above depicts 6bp "words" derived from a sequence of the original corpus. From this point on, all vectorization is based on a corpus of 6-mer "words" rather than the original sequences.

<sup>18</sup><https://www.kaggle.com/code/nageshsingh/demystify-dna-sequencing-with-machine-learning/data>

<sup>19</sup>Dictionary size calculated as  $\text{alphabetSize}^{\text{wordLength}} \Rightarrow 4^6 \Rightarrow 4,096$  words.

## 3 Feature Engineering

### 3.1 Feature Extraction/Embedding

Use CountVectorizer to convert k-merized sequences to a matrix of token counts<sup>20</sup>.

BOW embedding

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<sup>20</sup> [https://scikit-learn.org/stable/modules/generated/sklearn.feature\\_extraction.text.CountVectorizer.html](https://scikit-learn.org/stable/modules/generated/sklearn.feature_extraction.text.CountVectorizer.html)

## **4 Modeling**

### **4.1 Training**

### **4.2 Performance**

### **4.3 Deployment**