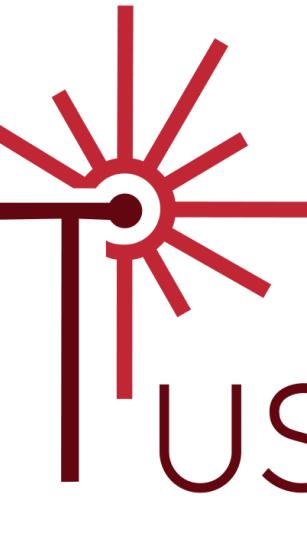


# Lights of Tuscany 2022



**Towards combining  
lasers and gene editing  
via plasmonics**

Francesco Fuso  
Dipartimento di Fisica Enrico Fermi  
Università di Pisa

[francesco.fuso@unipi.it](mailto:francesco.fuso@unipi.it)



This project has received funding from the European Union's Horizon 2020 research and innovation programme under grant agreement No 862714.



## OUTLOOK

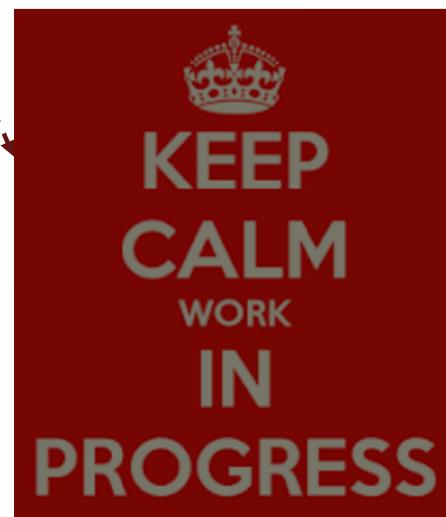
# Towards combining lasers and gene editing via plasmonics

Three keywords:

1. Lasers:  
which are the most relevant features for the experiment?
2. Gene editing:  
what is that?
3. Plasmonics:  
how can it help?

# OUTLOOK

Towards combining  
lasers and gene editing  
via plasmonics



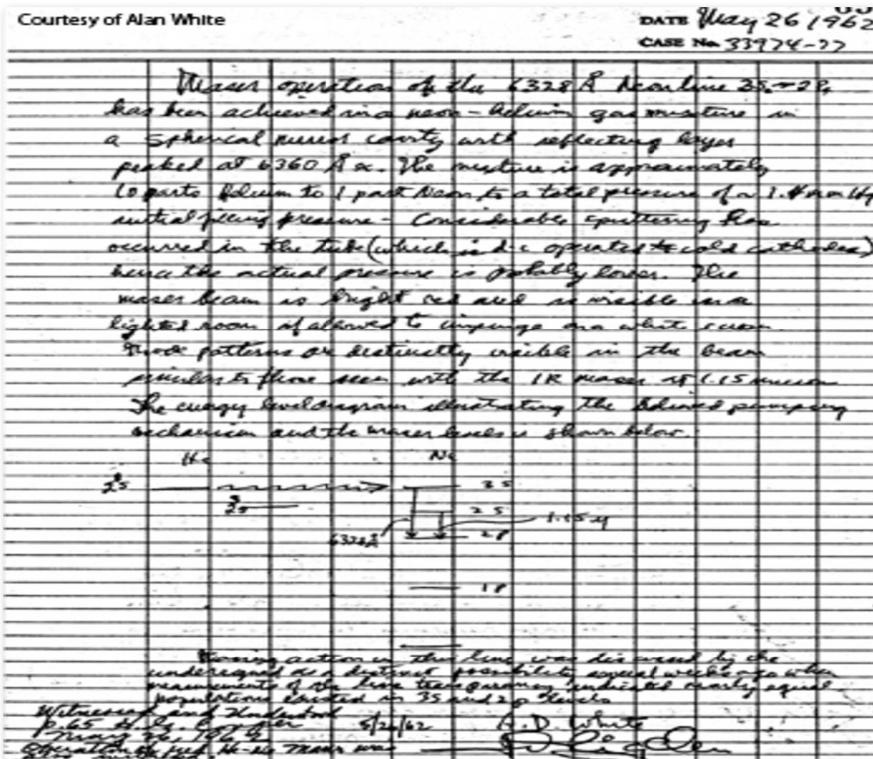
Three keywords:

1. Lasers:  
which are the most relevant features for the experiment?
2. Gene editing:  
what is that?
3. Plasmonics:  
how can it help?

*preliminary experimental results, so far...*

# LASER

A.White's notebook on the first realization of a HeNe laser



J. Hecht, Opt. Eng. 49 01002 (2010)

# LASER

A.White's notebook on the first realization of a HeNe laser

Courtesy of Alan White

DATE	May 26 1962	CASE NO.	33274-22
<i>Mirror operation of the 6328 Å HeNe laser - again good results in a spherical lens cavity and reflecting glass packed at 6360 Å Å. The radius is approximately 10 cm. Below is I just about a total specimen of 1.1 m long anti-explosive pressure container housing occurring in the tube (which is operating at 100000 Hz). Actual power is probably lower. The waves beam is bright and clear as would be expected from a diode &amp; argon gas wave source. Wave patterns are distinctly visible in the beam similar to those seen with the 1K power 6328 Å Cesium. The energy wavelength controlling the beam property is determined and the beam shows a sharp blue He</i>			
2	2	2	2
3	3	3	3
4	4	4	4
5	5	5	5
6	6	6	6
7	7	7	7
8	8	8	8
9	9	9	9
10	10	10	10
11	11	11	11
12	12	12	12
13	13	13	13
14	14	14	14
15	15	15	15
16	16	16	16
17	17	17	17
18	18	18	18
19	19	19	19
20	20	20	20
21	21	21	21
22	22	22	22
23	23	23	23
24	24	24	24
25	25	25	25
26	26	26	26
27	27	27	27
28	28	28	28
29	29	29	29
30	30	30	30
31	31	31	31
32	32	32	32
33	33	33	33
34	34	34	34
35	35	35	35
36	36	36	36
37	37	37	37
38	38	38	38
39	39	39	39
40	40	40	40
41	41	41	41
42	42	42	42
43	43	43	43
44	44	44	44
45	45	45	45
46	46	46	46
47	47	47	47
48	48	48	48
49	49	49	49
50	50	50	50
51	51	51	51
52	52	52	52
53	53	53	53
54	54	54	54
55	55	55	55
56	56	56	56
57	57	57	57
58	58	58	58
59	59	59	59
60	60	60	60
61	61	61	61
62	62	62	62
63	63	63	63
64	64	64	64
65	65	65	65
66	66	66	66
67	67	67	67
68	68	68	68
69	69	69	69
70	70	70	70
71	71	71	71
72	72	72	72
73	73	73	73
74	74	74	74
75	75	75	75
76	76	76	76
77	77	77	77
78	78	78	78
79	79	79	79
80	80	80	80
81	81	81	81
82	82	82	82
83	83	83	83
84	84	84	84
85	85	85	85
86	86	86	86
87	87	87	87
88	88	88	88
89	89	89	89
90	90	90	90
91	91	91	91
92	92	92	92
93	93	93	93
94	94	94	94
95	95	95	95
96	96	96	96
97	97	97	97
98	98	98	98
99	99	99	99
100	100	100	100
101	101	101	101
102	102	102	102
103	103	103	103
104	104	104	104
105	105	105	105
106	106	106	106
107	107	107	107
108	108	108	108
109	109	109	109
110	110	110	110
111	111	111	111
112	112	112	112
113	113	113	113
114	114	114	114
115	115	115	115
116	116	116	116
117	117	117	117
118	118	118	118
119	119	119	119
120	120	120	120
121	121	121	121
122	122	122	122
123	123	123	123
124	124	124	124
125	125	125	125
126	126	126	126
127	127	127	127
128	128	128	128
129	129	129	129
130	130	130	130
131	131	131	131
132	132	132	132
133	133	133	133
134	134	134	134
135	135	135	135
136	136	136	136
137	137	137	137
138	138	138	138
139	139	139	139
140	140	140	140
141	141	141	141
142	142	142	142
143	143	143	143
144	144	144	144
145	145	145	145
146	146	146	146
147	147	147	147
148	148	148	148
149	149	149	149
150	150	150	150
151	151	151	151
152	152	152	152
153	153	153	153
154	154	154	154
155	155	155	155
156	156	156	156
157	157	157	157
158	158	158	158
159	159	159	159
160	160	160	160
161	161	161	161
162	162	162	162
163	163	163	163
164	164	164	164
165	165	165	165
166	166	166	166
167	167	167	167
168	168	168	168
169	169	169	169
170	170	170	170
171	171	171	171
172	172	172	172
173	173	173	173
174	174	174	174
175	175	175	175
176	176	176	176
177	177	177	177
178	178	178	178
179	179	179	179
180	180	180	180
181	181	181	181
182	182	182	182
183	183	183	183
184	184	184	184
185	185	185	185
186	186	186	186
187	187	187	187
188	188	188	188
189	189	189	189
190	190	190	190
191	191	191	191
192	192	192	192
193	193	193	193
194	194	194	194
195	195	195	195
196	196	196	196
197	197	197	197
198	198	198	198
199	199	199	199
200	200	200	200
201	201	201	201
202	202	202	202
203	203	203	203
204	204	204	204
205	205	205	205
206	206	206	206
207	207	207	207
208	208	208	208
209	209	209	209
210	210	210	210
211	211	211	211
212	212	212	212
213	213	213	213
214	214	214	214
215	215	215	215
216	216	216	216
217	217	217	217
218	218	218	218
219	219	219	219
220	220	220	220
221	221	221	221
222	222	222	222
223	223	223	223
224	224	224	224
225	225	225	225
226	226	226	226
227	227	227	227
228	228	228	228
229	229	229	229
230	230	230	230
231	231	231	231
232	232	232	232
233	233	233	233
234	234	234	234
235	235	235	235
236	236	236	236
237	237	237	237
238	238	238	238
239	239	239	239
240	240	240	240
241	241	241	241
242	242	242	242
243	243	243	243
244	244	244	244
245	245	245	245
246	246	246	246
247	247	247	247
248	248	248	248
249	249	249	249
250	250	250	250
251	251	251	251
252	252	252	252
253	253	253	253
254	254	254	254
255	255	255	255
256	256	256	256
257	257	257	257
258	258	258	258
259	259	259	259
260	260	260	260
261	261	261	261
262	262	262	262
263	263	263	263
264	264	264	264
265	265	265	265
266	266	266	266
267	267	267	267
268	268	268	268
269	269	269	269
270	270	270	270
271	271	271	271
272	272	272	272
273	273	273	273
274	274	274	274
275	275	275	275
276	276	276	276
277	277	277	277
278	278	278	278
279	279	279	279
280	280	280	280
281	281	281	281
282	282	282	282
283	283	283	283
284	284	284	284
285	285	285	285
286	286	286	286
287	287	287	287
288	288	288	288
289	289	289	289
290	290	290	290
291	291	291	291
292	292	292	292
293	293	293	293
294	294	294	294
295	295	295	295
296	296	296	296
297	297	297	297
298	298	298	298
299	299	299	299
300	300	300	300
301	301	301	301
302	302	302	302
303	303	303	303
304	304</td		

# LASER

A problem found and solved



Goldfinger - 1964

# LASER

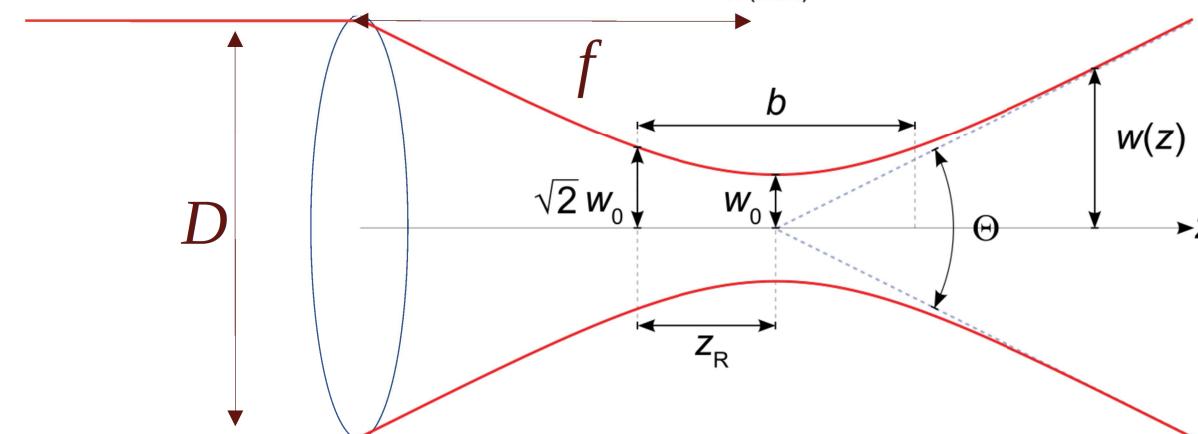
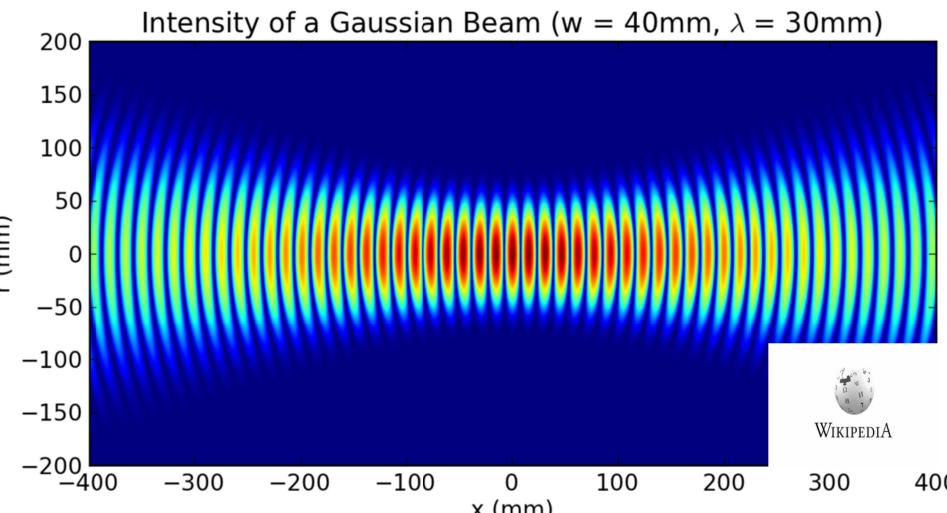


Laser as an extremely intense source of energy

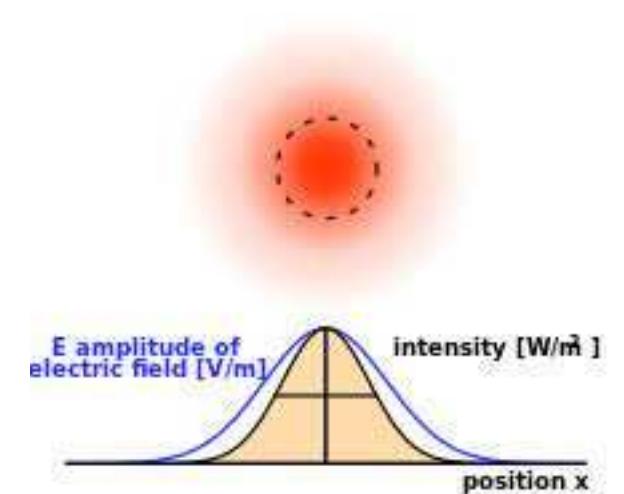
Goldinger - 1964

# LASER

$$I = \frac{\langle P \rangle}{A}$$

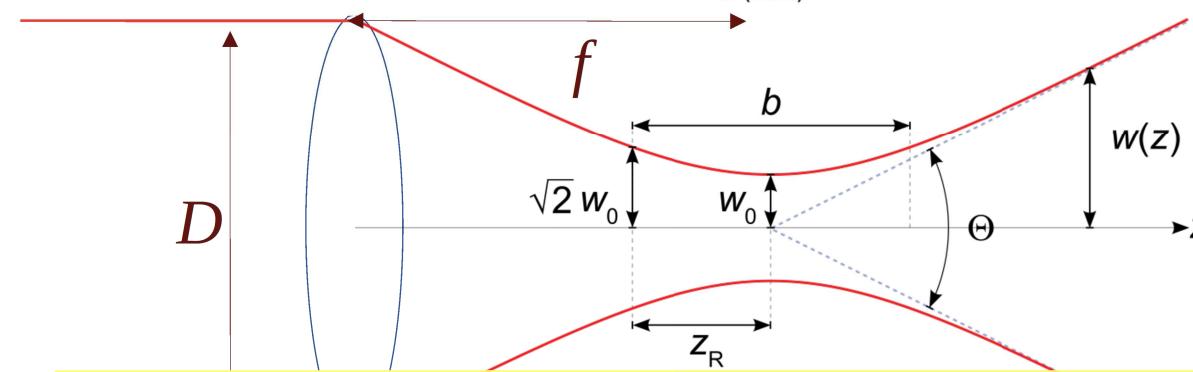
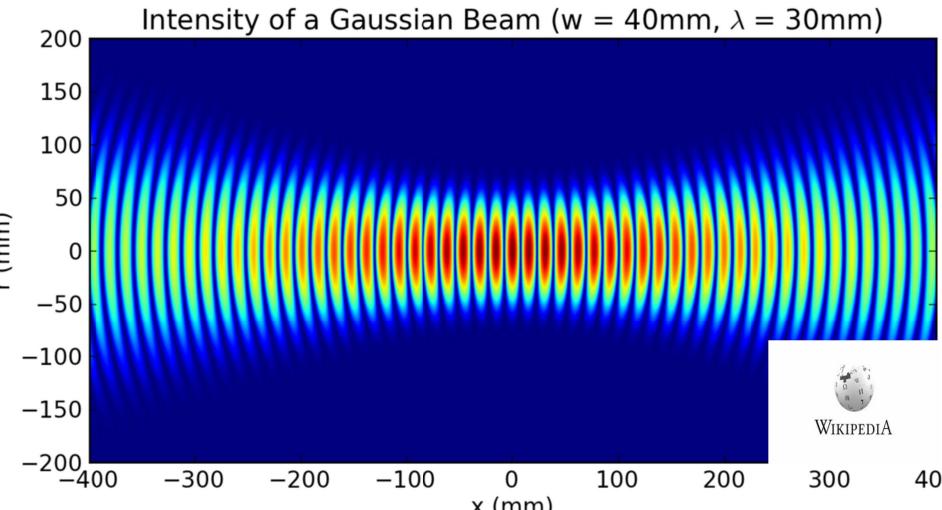


$$2w_0 = M^2 \frac{2\lambda}{\pi\theta} = M^2 \frac{4\lambda f}{\pi D}$$



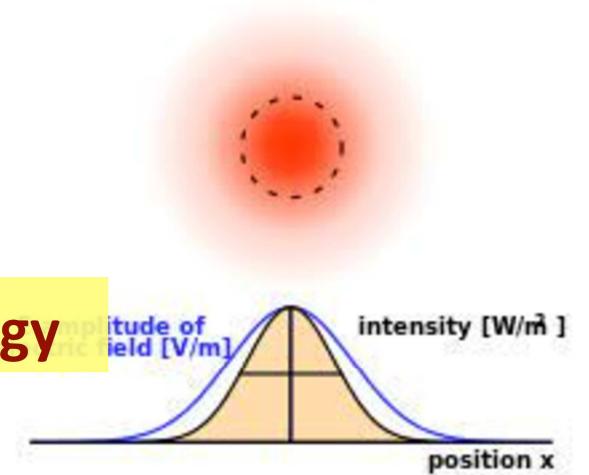
# LASER

$$I = \frac{\langle P \rangle}{A}$$



Laser as an extremely localized source of energy

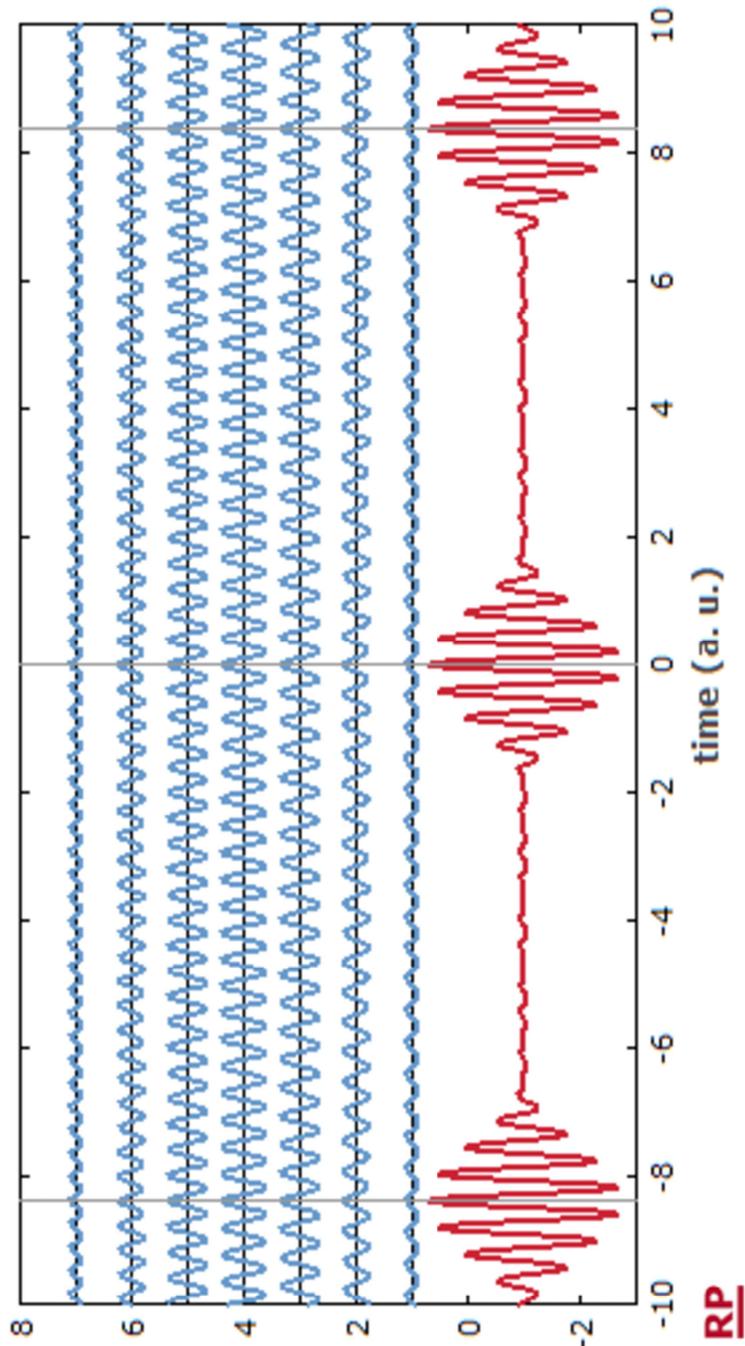
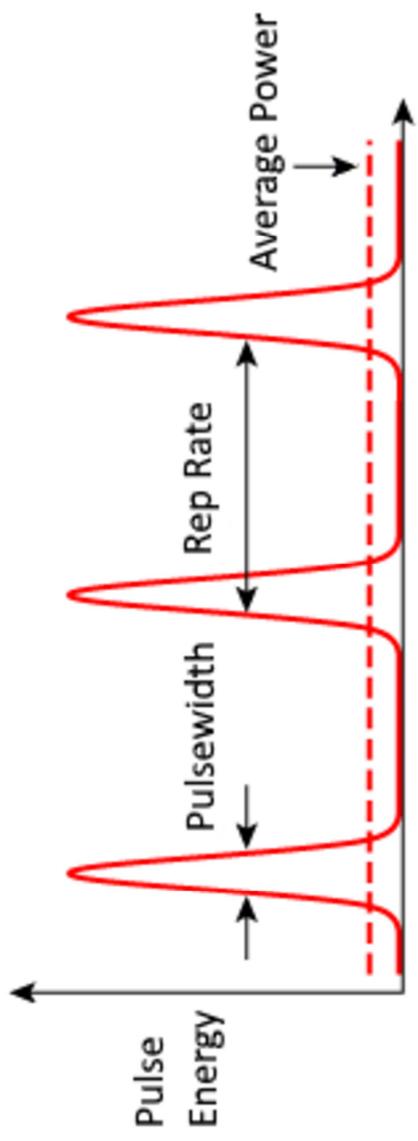
$$2w_0 = M^2 \frac{2\lambda}{\pi\theta} = M^2 \frac{4\lambda f}{\pi D}$$



# LASER

Pulsed lasers

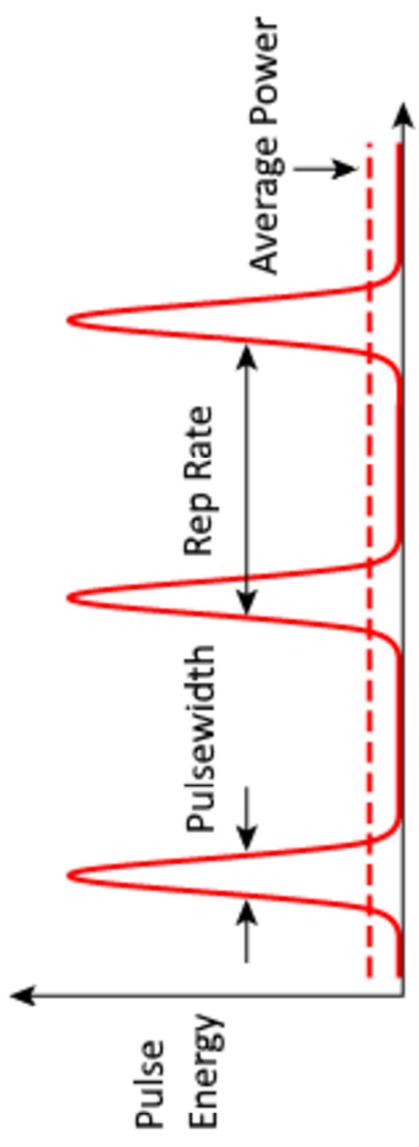
$$I = \frac{\langle E \rangle / \Delta t}{A}$$



Mode locking

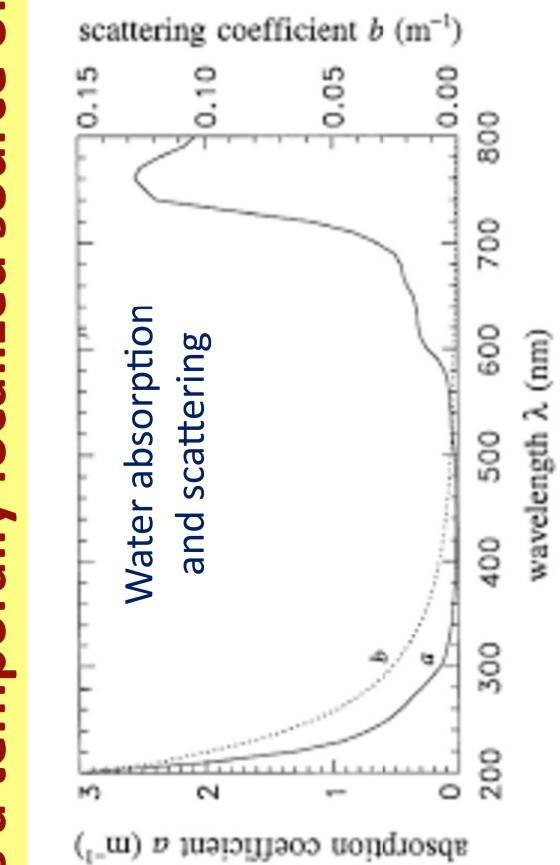
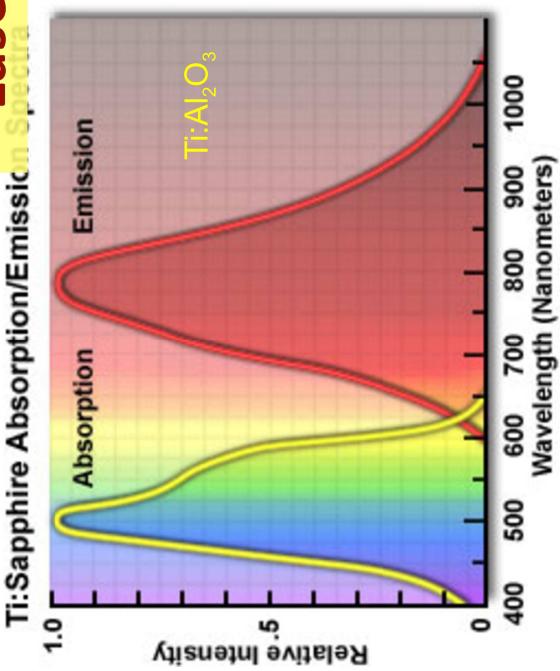
# LASER

Pulsed lasers

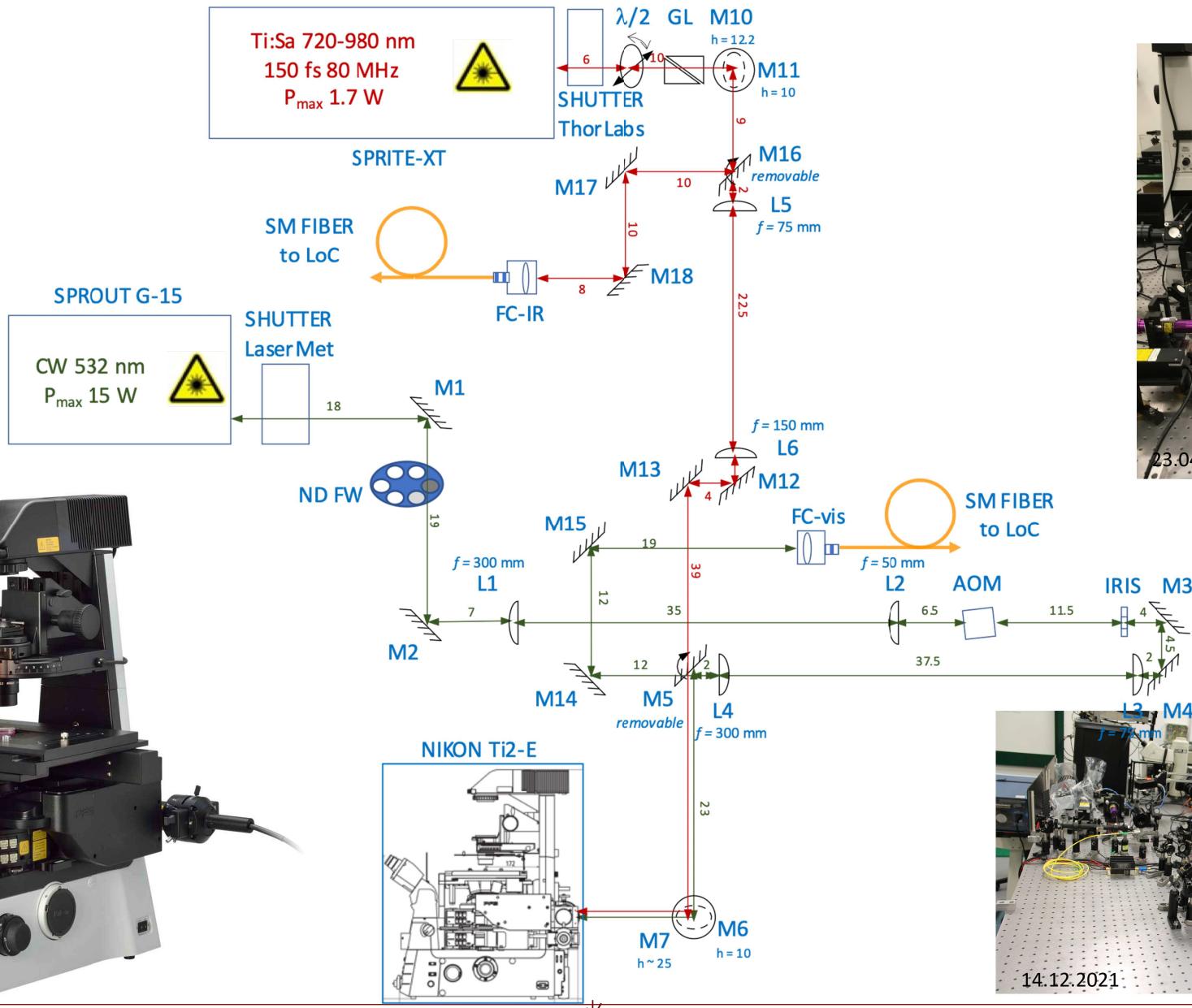


$$I = \frac{\langle E \rangle / \Delta t}{A}$$

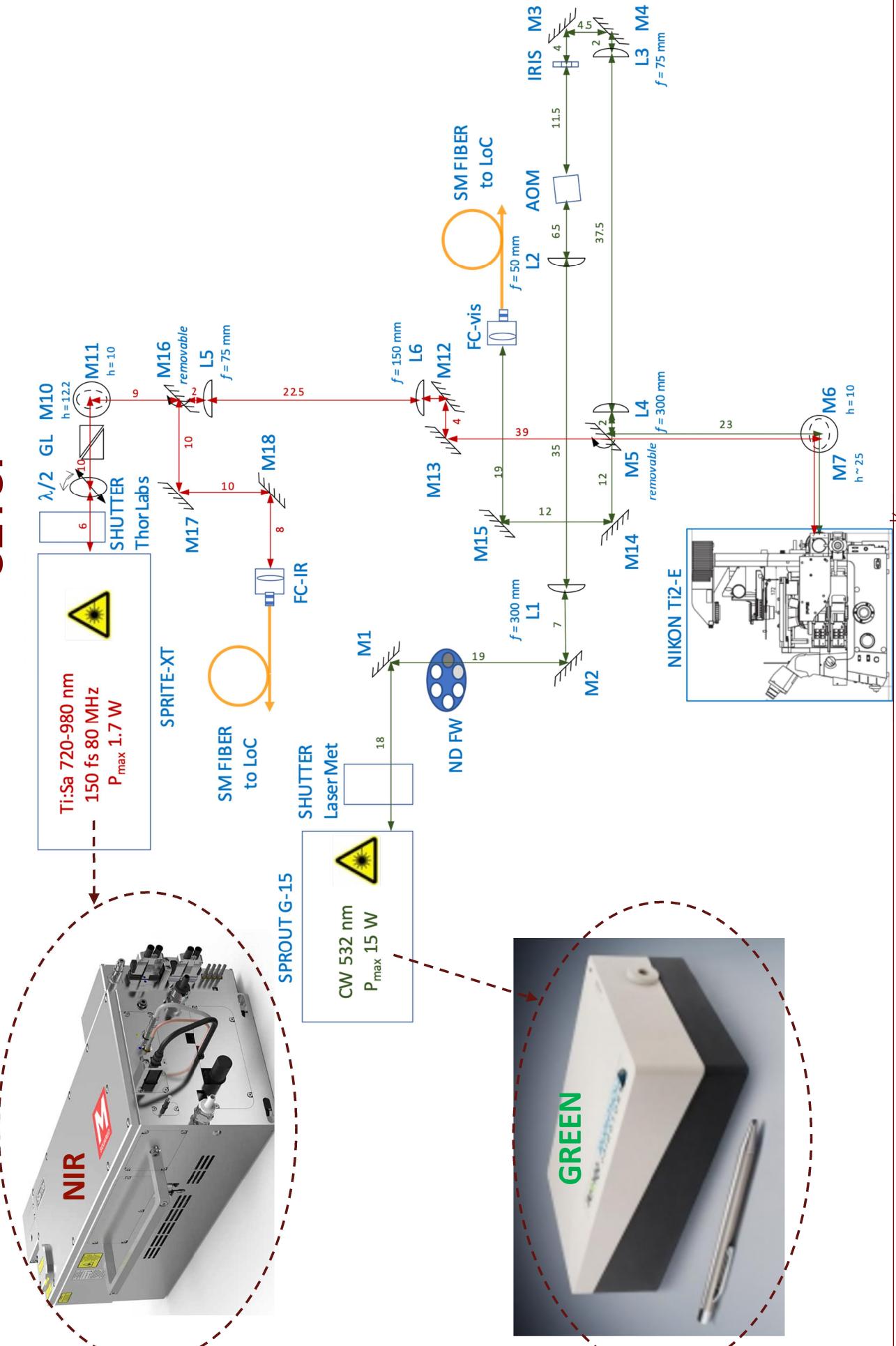
## Laser as a temporally localized source of energy



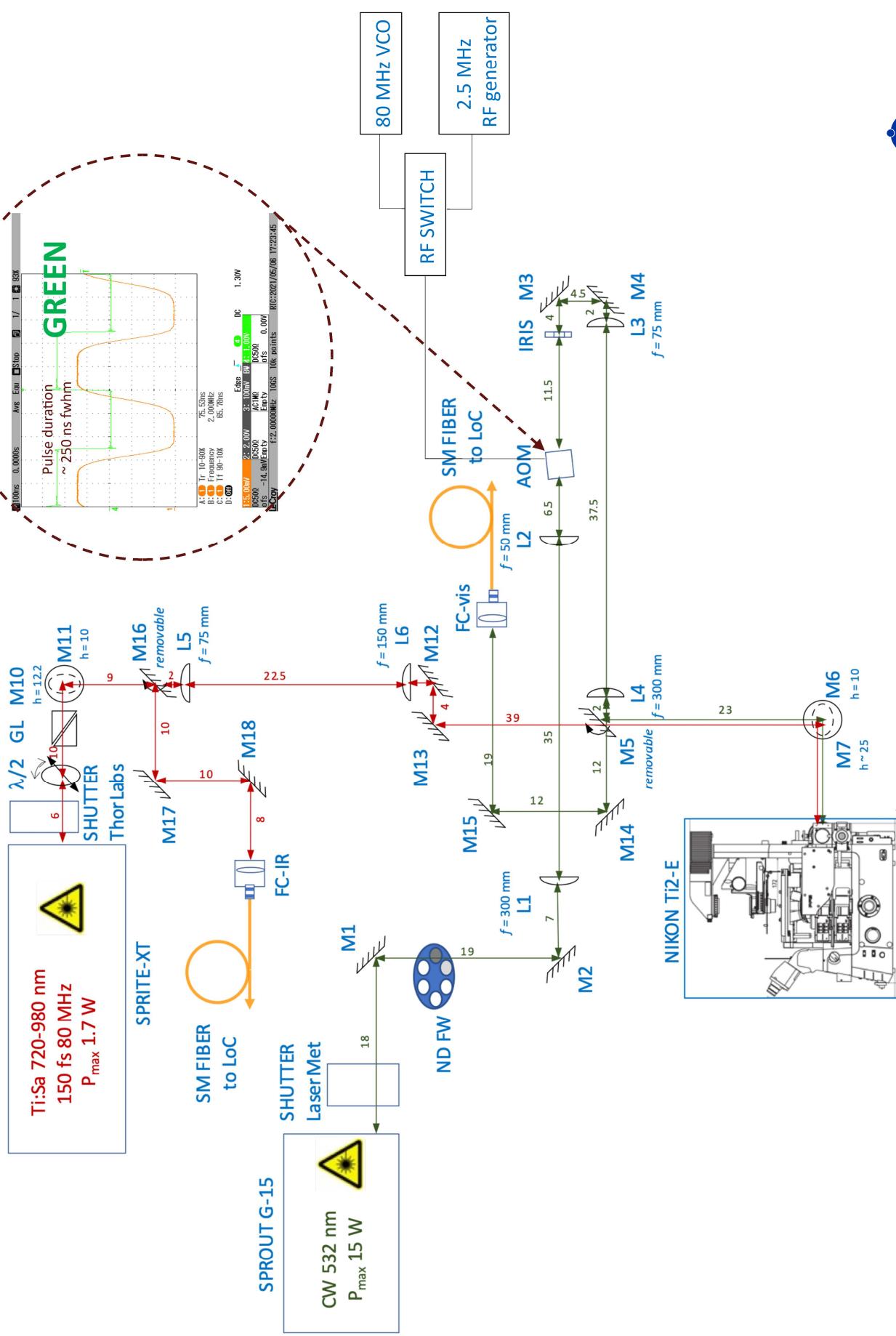
# SETUP



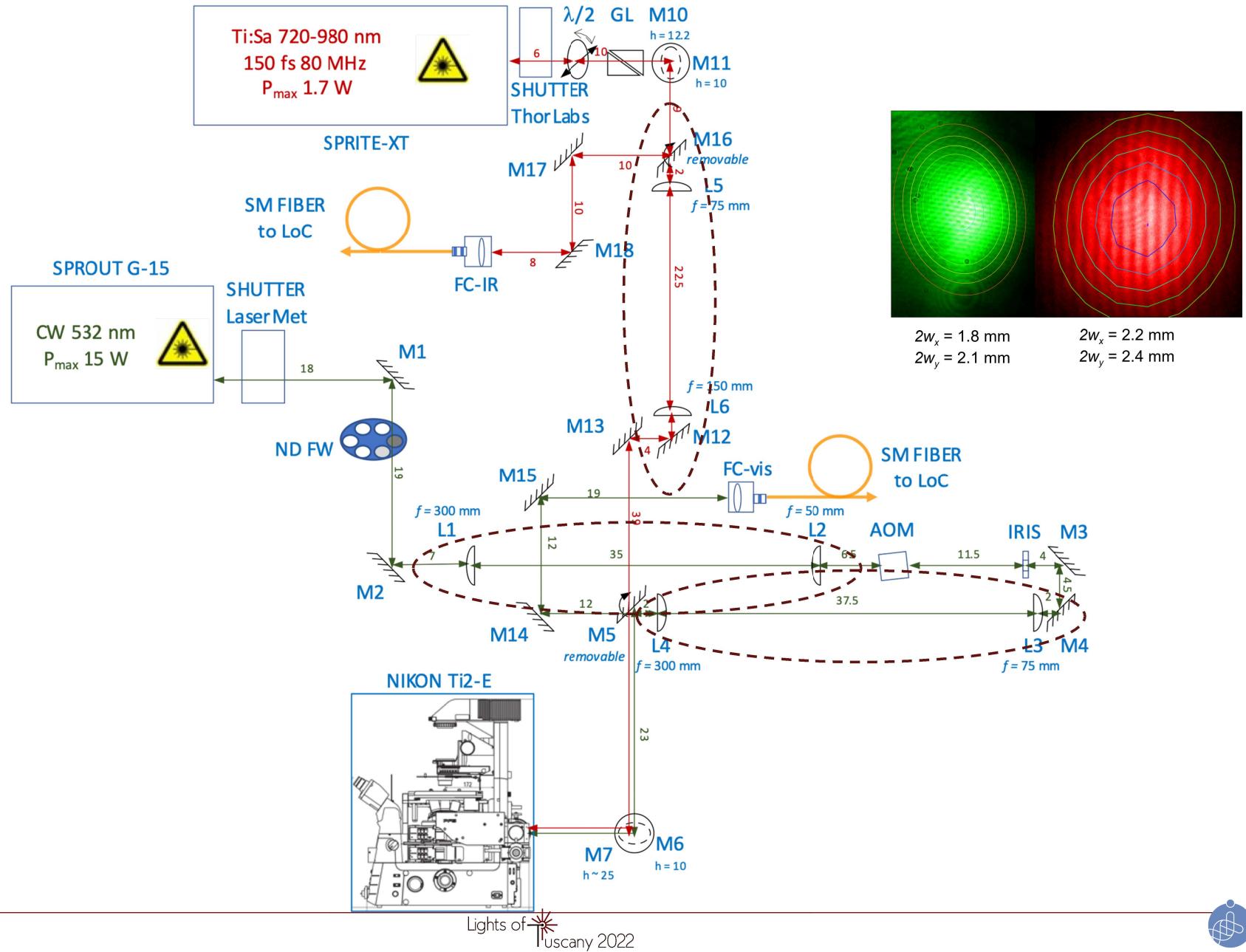
# SETUP



# SETUP

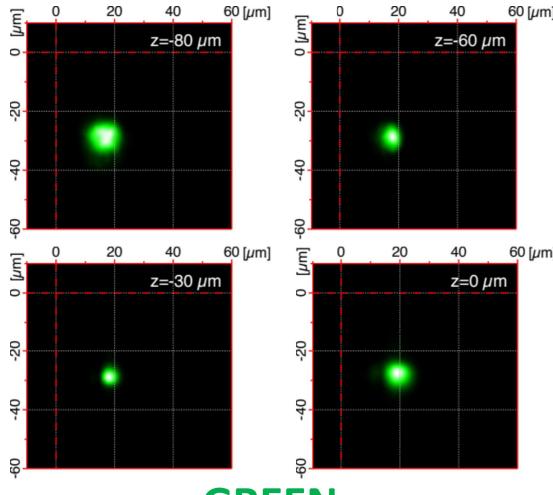


# SETUP

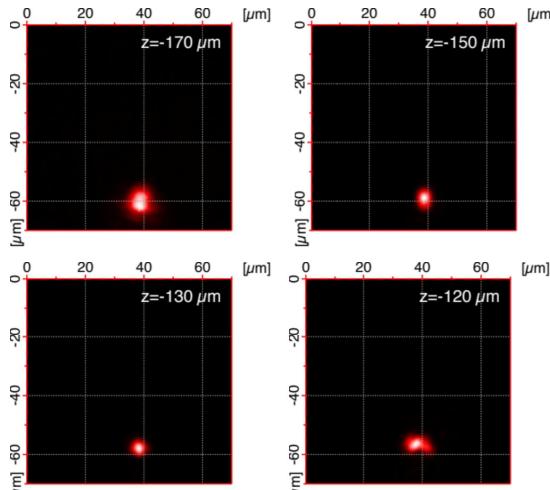


# SETUP

Images of the focal spot



GREEN

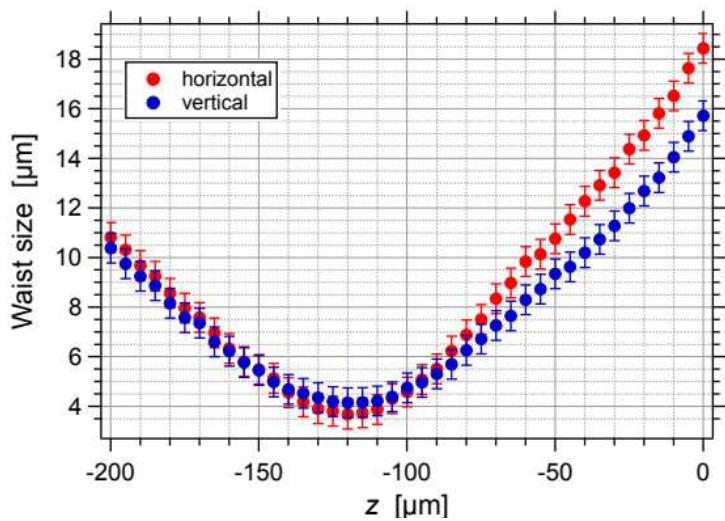
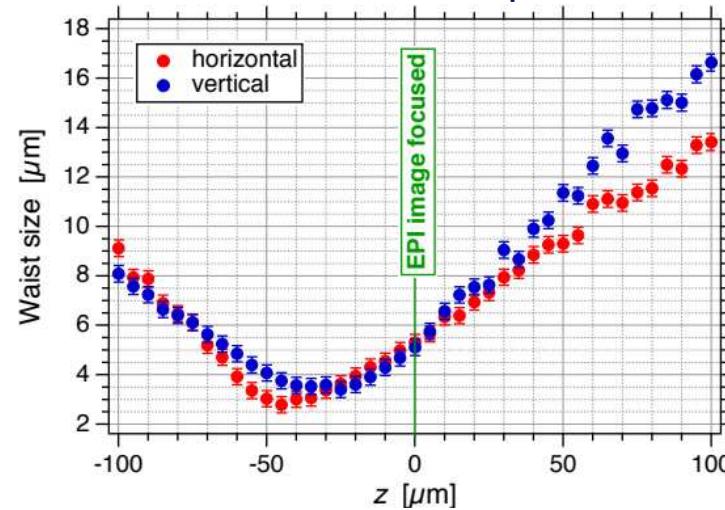


NIR

10x/0.25 objective

francesco.fuso@unipi.it

Beam envelope



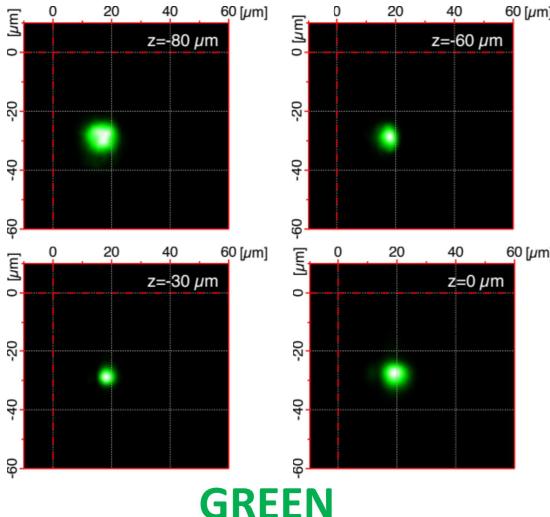
$$I(r, z) = I_0 \left( \frac{w_0}{w(z)} \right)^2 \exp \left( -\frac{2r^2}{w(z)^2} \right)$$

$$w(z) = w_0 \sqrt{1 + \left( \frac{z}{z_R} \right)^2}$$

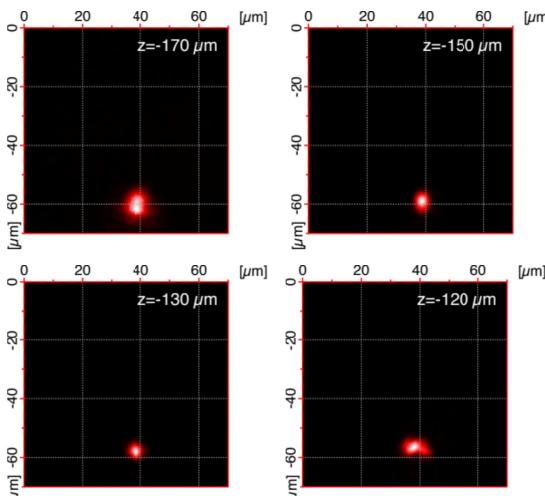
$$z_R = \frac{\pi w_0^2}{\lambda}$$

# SETUP

Images of the focal spot



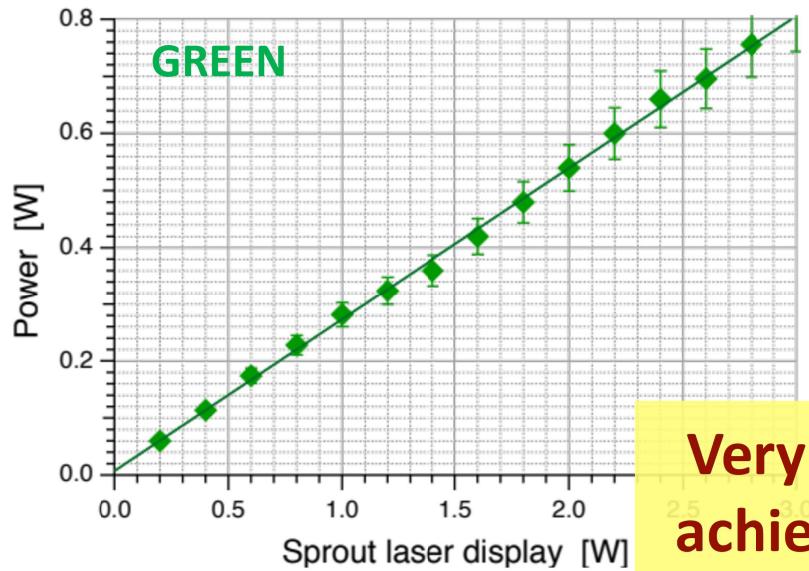
GREEN



NIR

10x/0.25 objective

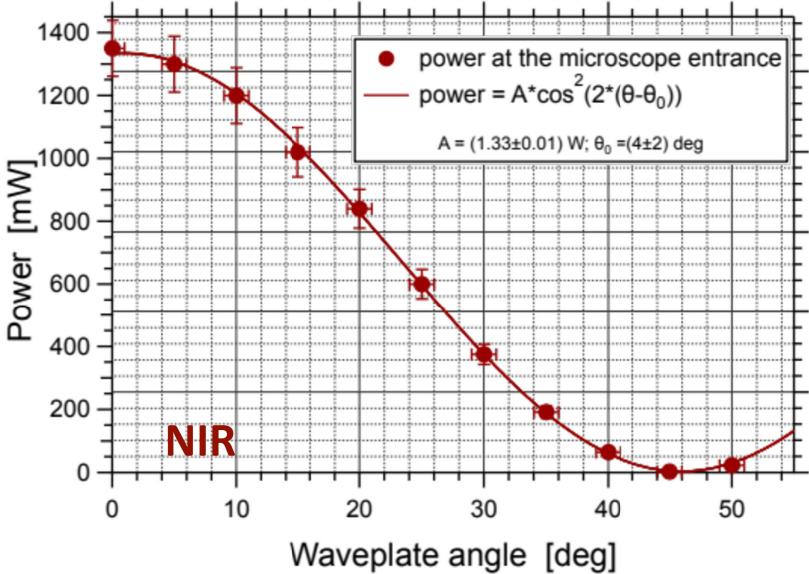
Assuming a  $38 \mu\text{m}^2$  focal area



GREEN

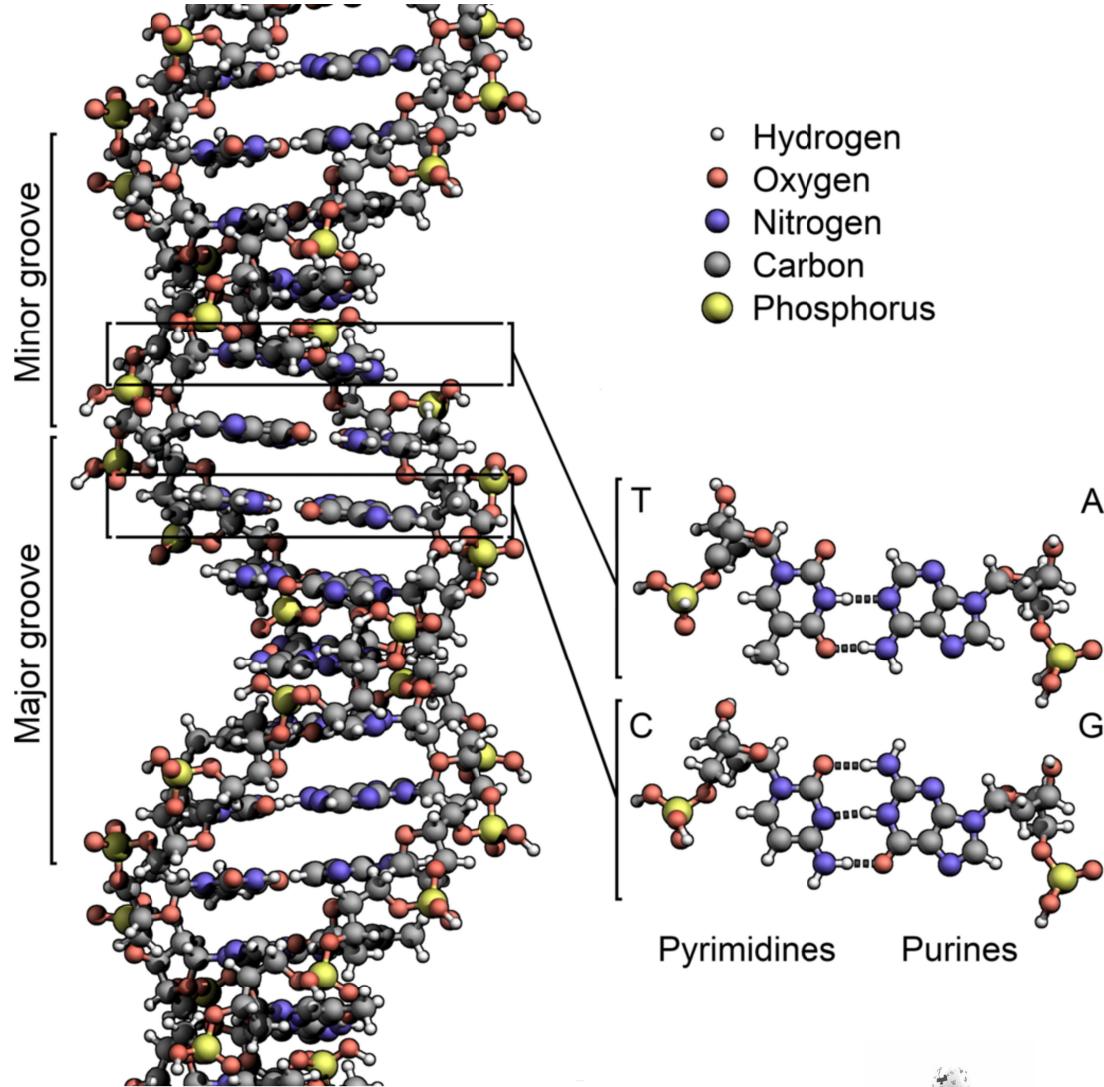
Very large laser intensity  
achieved in the focal spot

Assuming 1.90 fs square pulses and a  $38 \mu\text{m}^2$  focal area

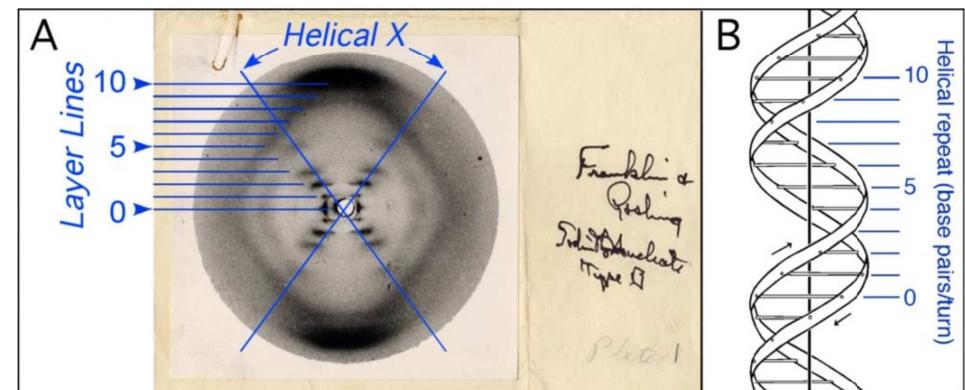
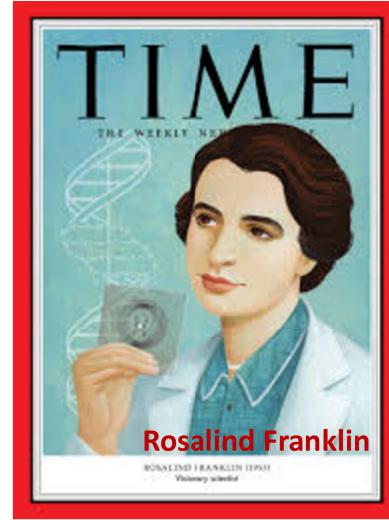


## **2. GENE EDITING: WHAT IS THAT?**

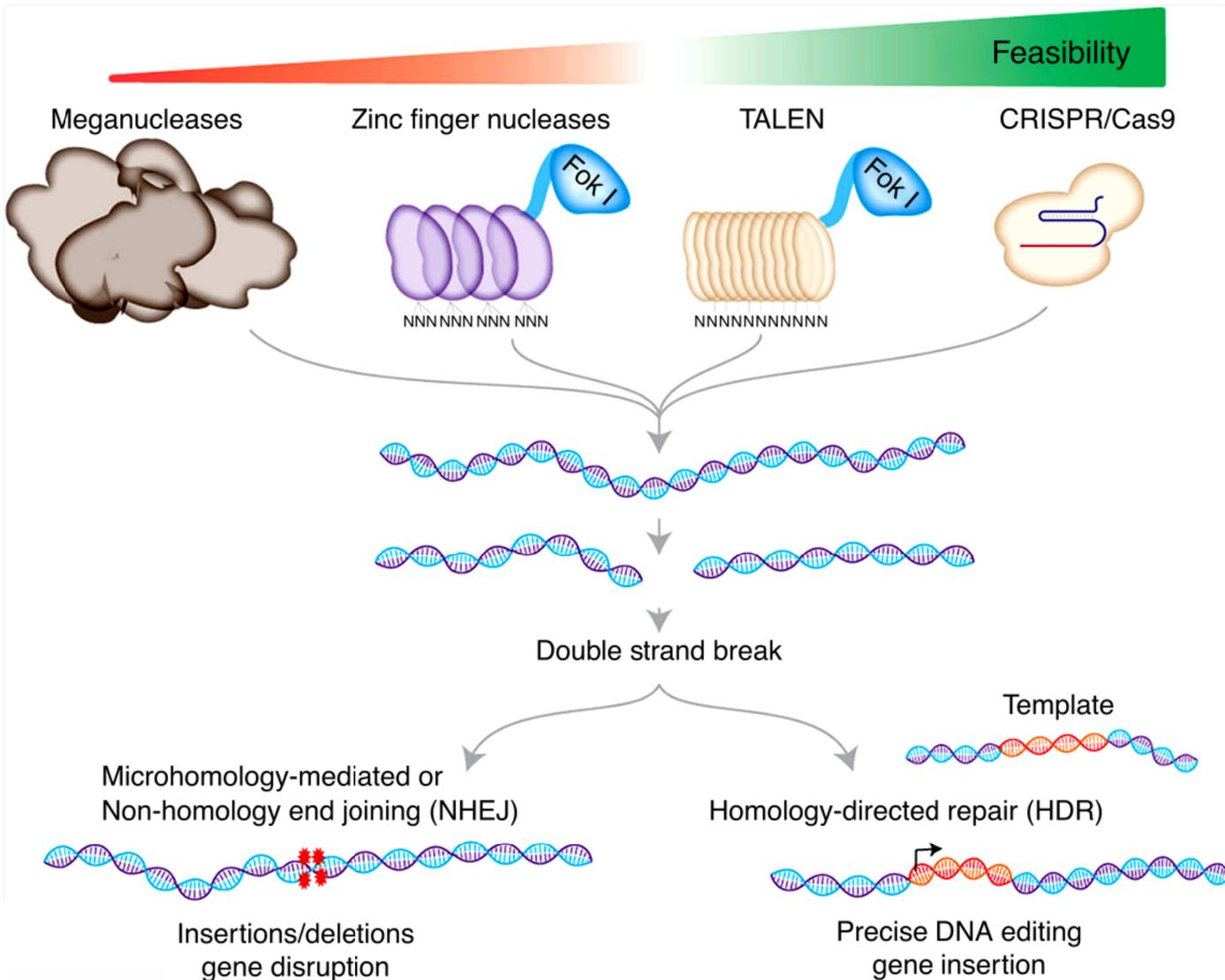
# THE DNA



WIKIPEDIA

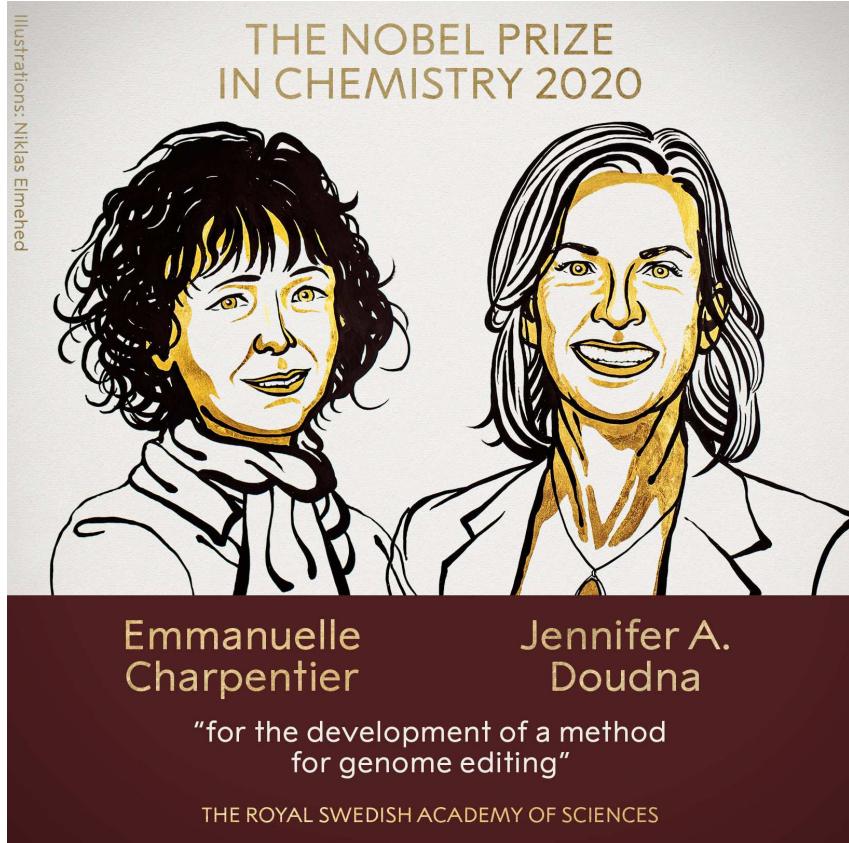


# GENE EDITING



WIKIPEDIA

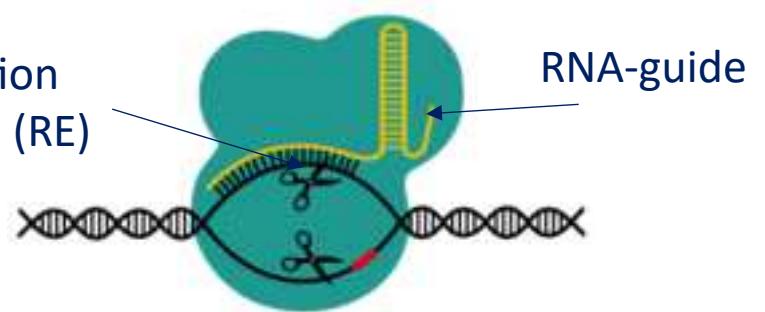
# CRISPR-Cas9



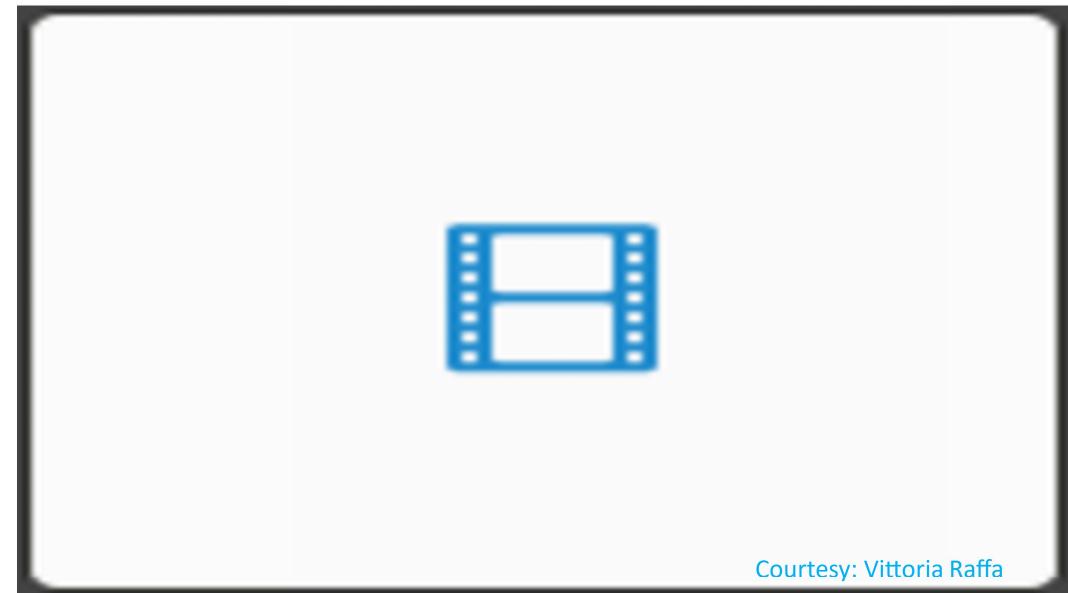
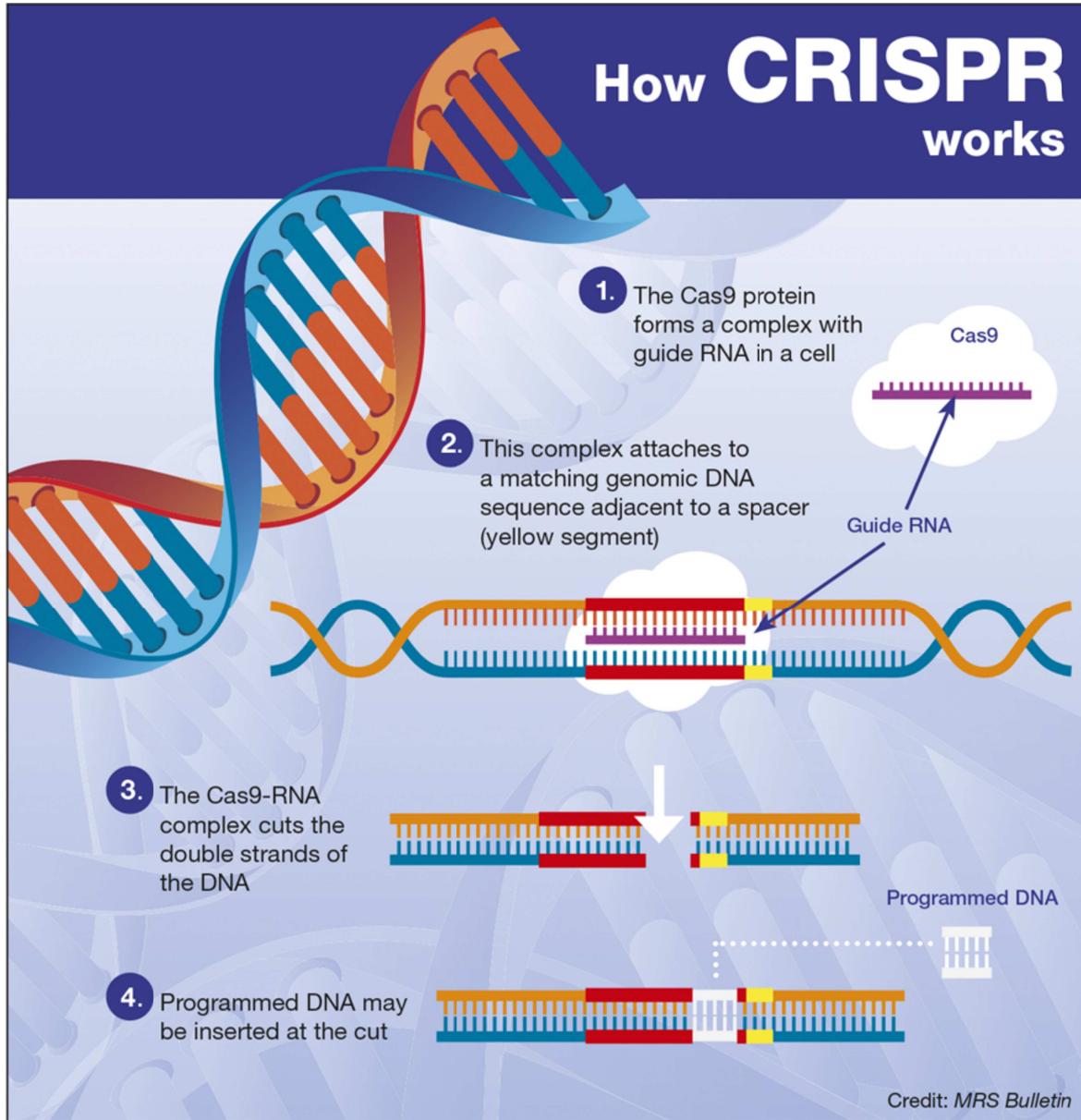
**C**lustered  
**R**egularly  
**I**nter  
**S**paced  
**P**alindromic  
**R**epeat

**Cas9**  
(CRISPR associated system) protein

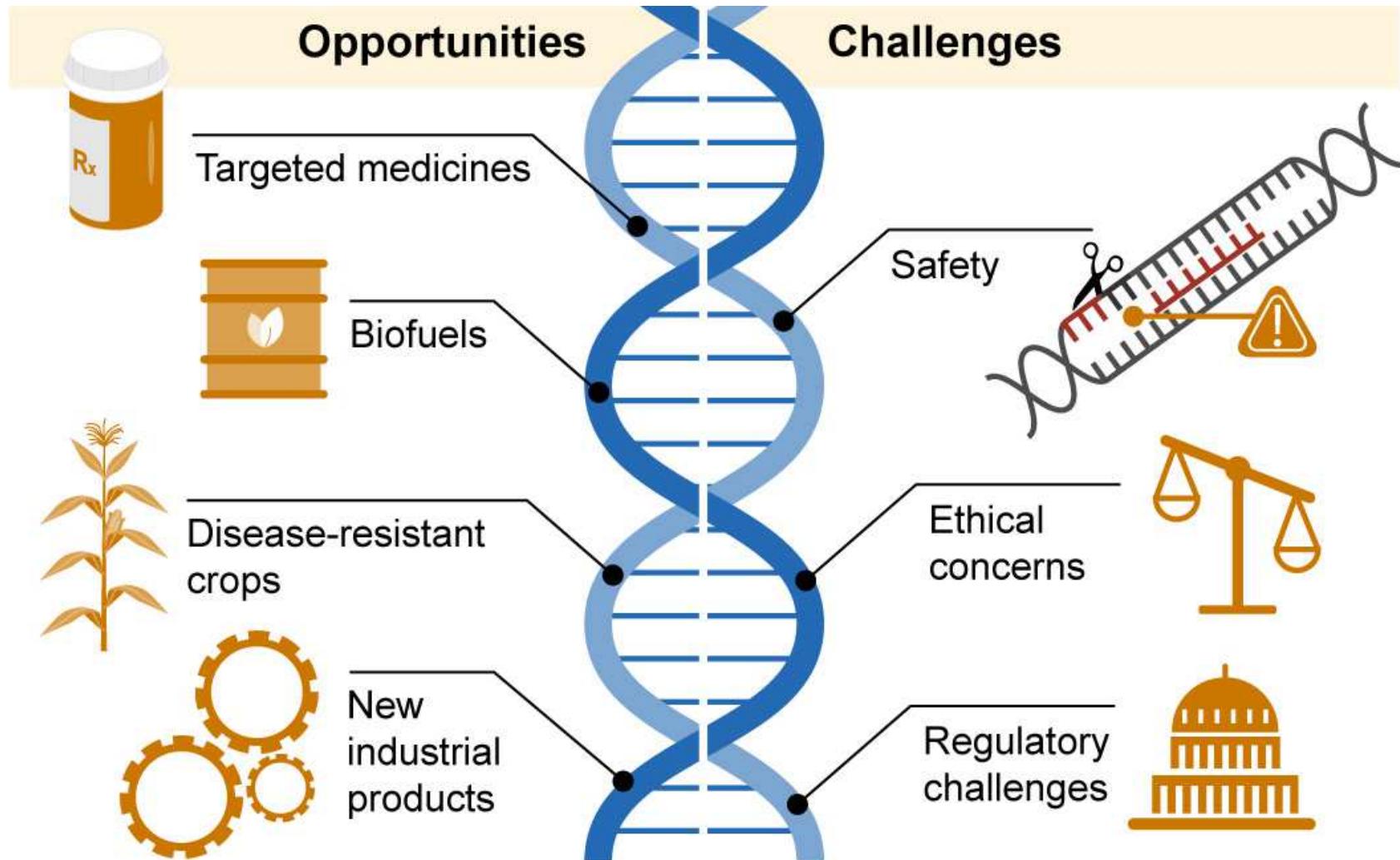
Restriction Enzyme (RE)



# CRISPR-Cas9

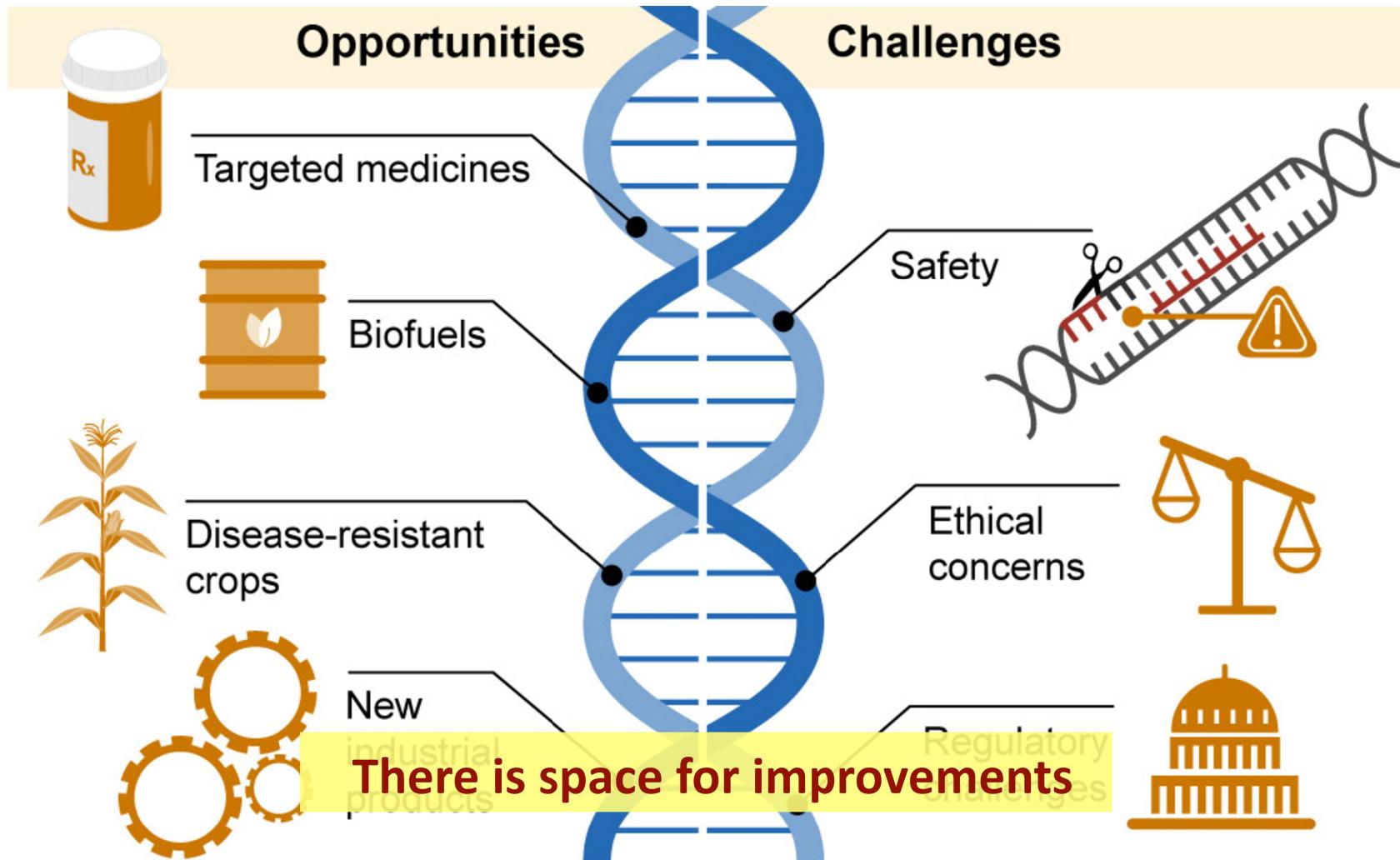


# OPEN ISSUES



Source: GAO. | GAO-20-478SP

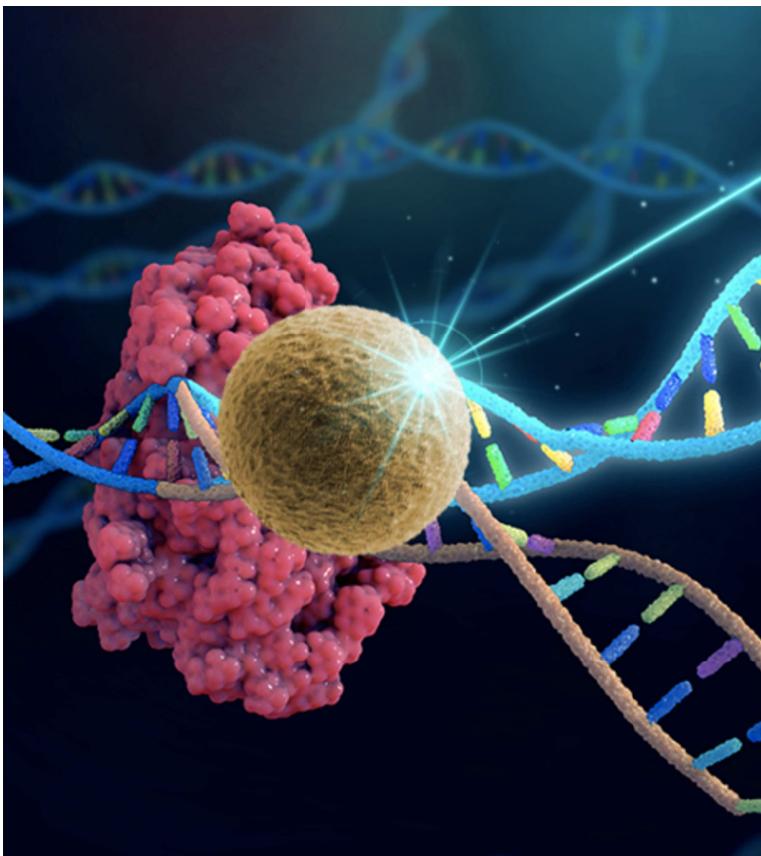
# OPEN ISSUES



Source: GAO. | GAO-20-478SP

# THE I-GENE PROJECT

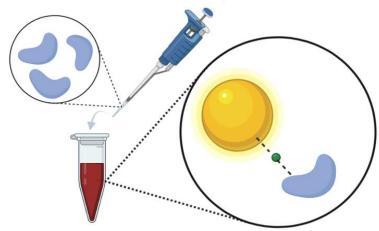
## In-vivo Gene Editing by NanotransducErs



1. A nanoparticle (NP) is incorporated in the enzyme formulation
2. Nanoparticle acts as a nanotransducer
3. Upon laser absorption NP undergoes a localized temperature increase
4. Temperature increase triggers the scissor operation and DNA cleavage occurs
5. Negligible temperature increase should occur outside the enzyme-concerned volume

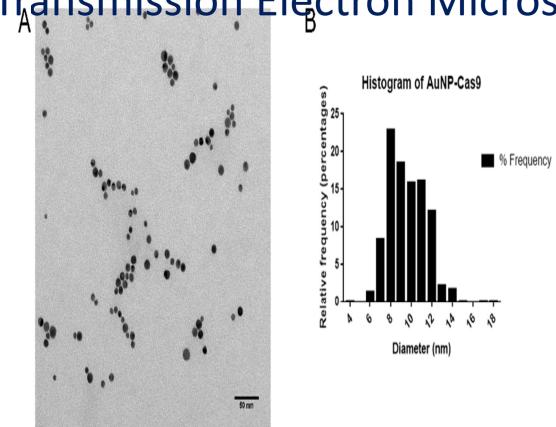


# THE COMPLEX

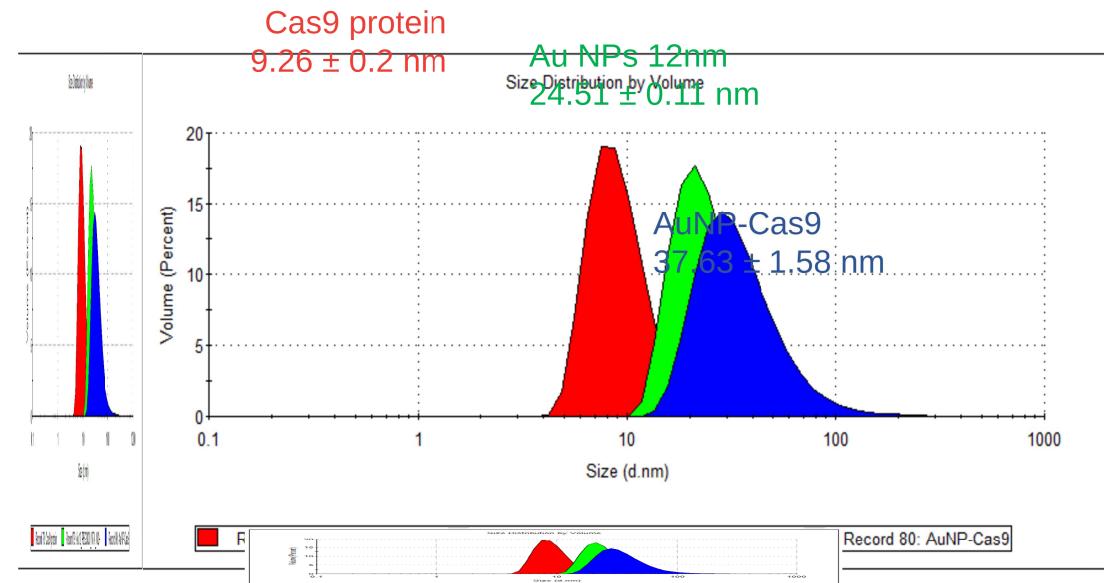


Au spherical nanoparticles (AuNP) functionalized by affinity binding

## Transmission Electron Microscopy (TEM)

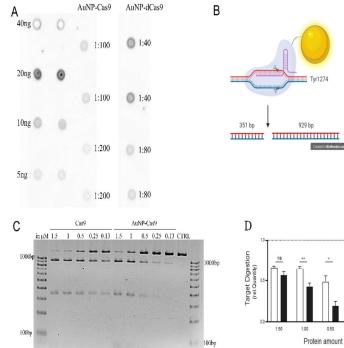


## Dynamic Light Scattering (DLS)

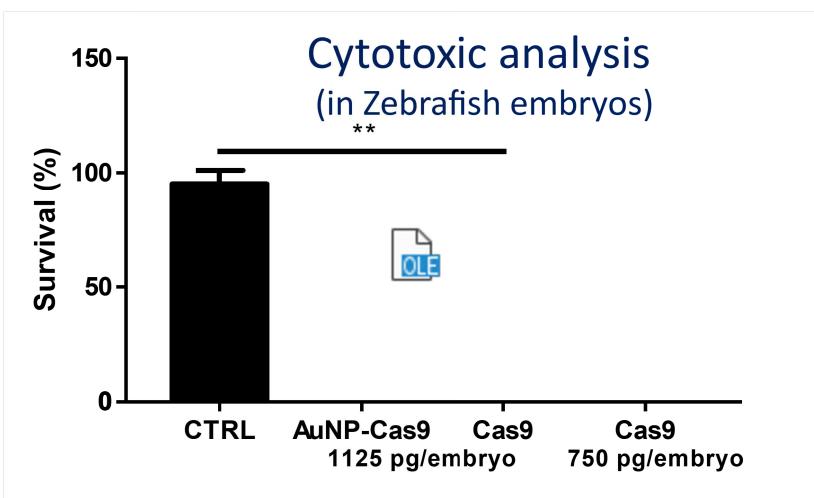


Complex effectively synthesized

# THE COMPLEX

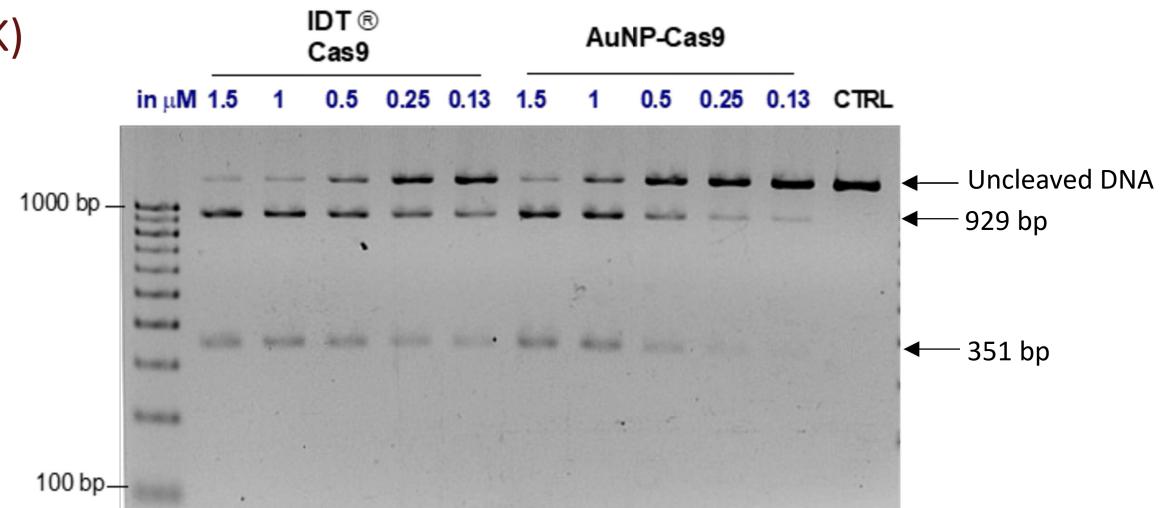


Expected enzyme activation  
temperature: 57 °C ( $\Delta T \sim 20$  K)



Scissors still work and  
the complex is viable (despite NPs)

## Electrophoresis gel analysis



Khan Academy

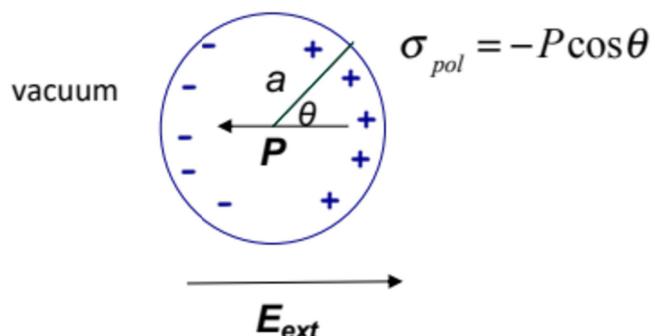
### **3. PLASMONICS: HOW CAN IT HELP?**

# LOCALIZED PLASMON RESONANCES

## STATIC POLARIZABILITY OF A DIELECTRIC SPHERE

Let's consider a dielectric, rather than metal, sphere immersed in a vacuum: we will see that the result can be exported to the metal case with no major change

The problem considered here is thus the calculation of polarizability according to Clausius-Mossotti (aka Lorentz-Lorenz) formula



$$E_{loc,z} = -\frac{1}{4\pi\epsilon_0} \int_S \frac{\sigma_{pol} \cos\theta}{a^2} dS$$

$$\text{with } dS = 2\pi a^2 \sin\theta d\theta$$

$$E_{loc,z} = \frac{P}{2\epsilon_0} \int_0^\pi \cos^2\theta \sin\theta d\theta = \frac{P}{3\epsilon_0} \rightarrow \vec{E}_{loc} = \frac{\vec{P}}{3\epsilon_0}$$

$$\vec{E} = \vec{E}_{ext} + \vec{E}_{loc} = \vec{E}_{ext} + \frac{\vec{P}}{3\epsilon_0}$$

$$\text{By definition: } \vec{P} = \epsilon_0 \chi \vec{E}_{ext} = \epsilon_0 (\epsilon_r - 1) \vec{E}_{ext}$$

$$\text{By definition: } \vec{P} = \frac{N_{dip}}{Vol} \vec{p} = \frac{N_{dip}}{\frac{4}{3}\pi a^3} \vec{p}$$

$$\text{For an elementary dipole at the center: } \vec{p} = \alpha \vec{E}$$

$$\vec{P} = \frac{N_{dip}}{\frac{4}{3}\pi a^3} \alpha \left( \vec{E}_{ext} + \frac{\vec{P}}{3\epsilon_0} \right) = \epsilon_0 (\epsilon_r - 1) \vec{E}_{ext}$$

$$\rightarrow \frac{N_{dip}}{\frac{4}{3}\pi a^3} \vec{E}_{ext} \alpha \left( 1 + \frac{\epsilon_0 (\epsilon_r - 1)}{3\epsilon_0} \right) = \epsilon_0 (\epsilon_r - 1) \vec{E}_{ext}$$

$$\Rightarrow \alpha \propto \frac{\epsilon_r - 1}{\epsilon_r + 2}$$

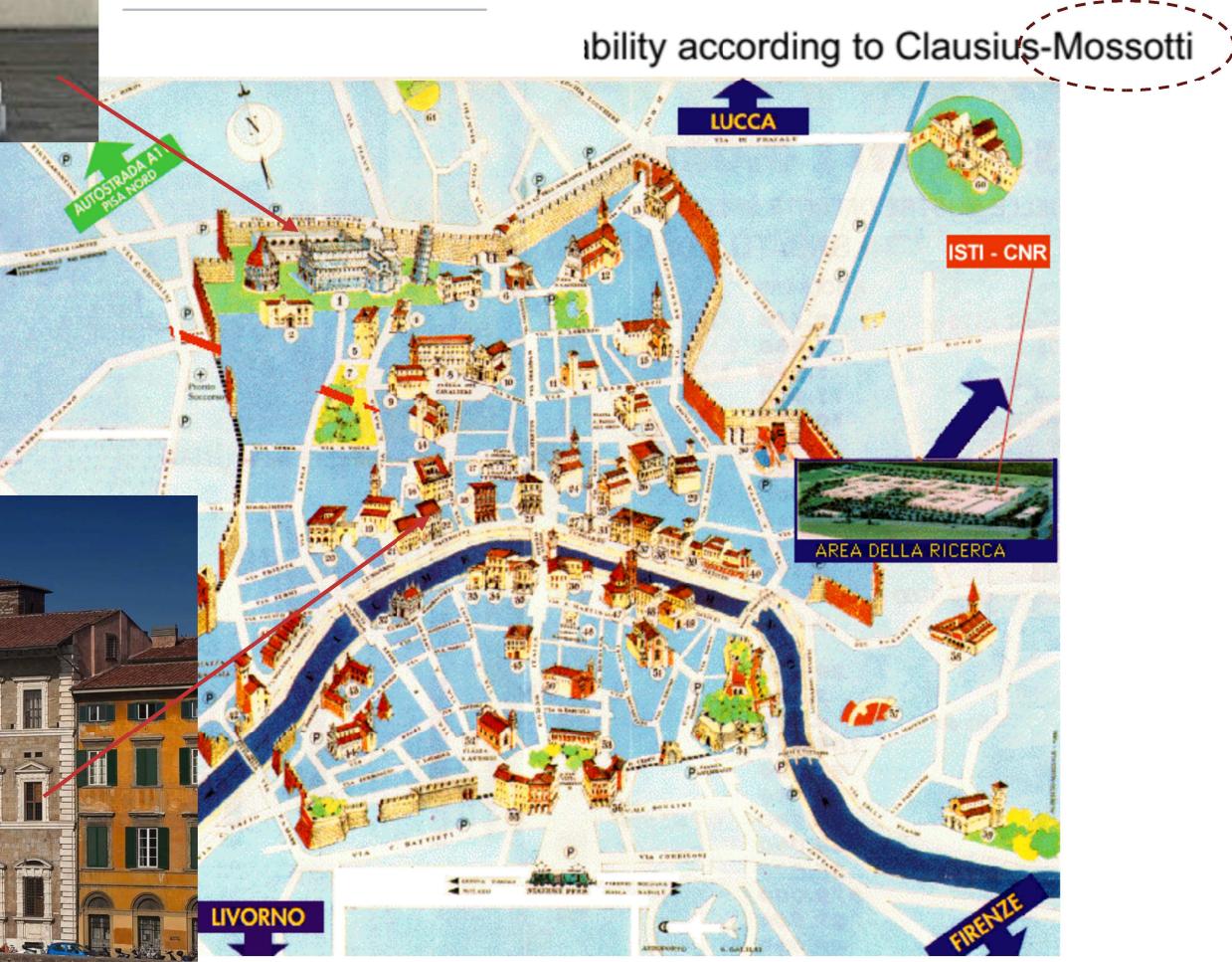
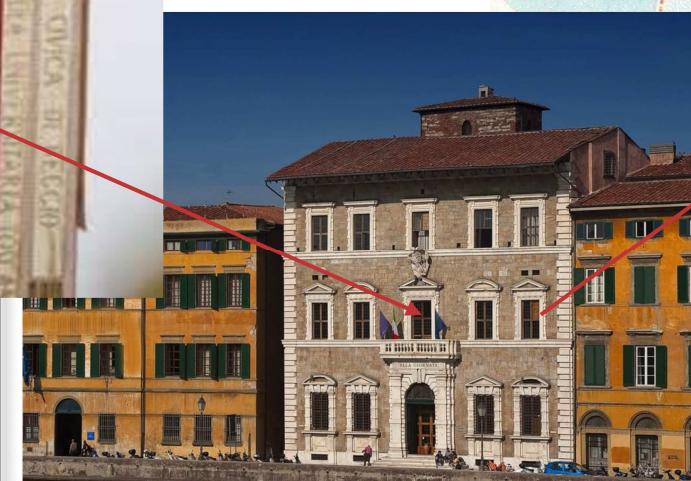
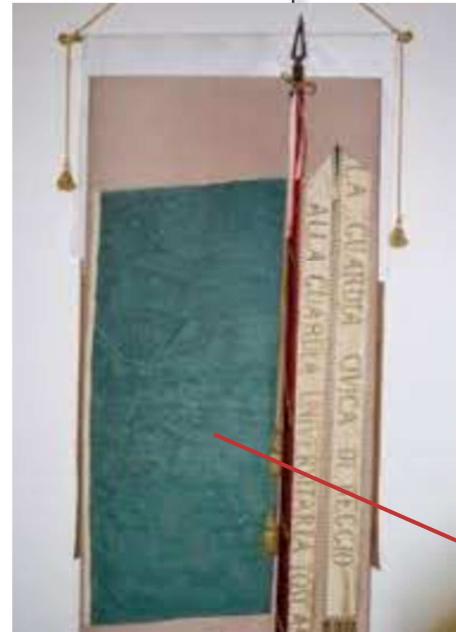
# LOCALIZED PLASMON RESONANCES



## Ottaviano Fabrizio Mossotti

Da Wikipedia, l'enciclopedia libera.

**Ottaviano Fabrizio Mossotti** (Novara, 17 aprile 1791 – Pisa, 20 marzo 1863) è stato un [matematico](#), [fisico](#), [astronomo](#) e [accademico italiano](#).



# LOCALIZED PLASMON RESONANCES

## DRUDE MODEL FOR THE METAL

**Drude model** (classical, but its main results are in general agreement with quantum models):

*Electrons in the metal undergo collisions with the lattice, giving rise to a damping at rate  $\gamma$*

$$\gamma = \frac{1}{\tau_c} = \frac{ne^2}{m\sigma_c} = \frac{\epsilon_0 \omega_p^2}{\sigma_c}$$

Electrons in a metal driven by an oscillating electric field are well described by a damped/driven motion:

$$\begin{aligned} \vec{E} &= \vec{E}(t) = \vec{E}_0 \exp(-i\omega t) \rightarrow \vec{r} = \vec{r}(t) = \vec{r}_0 \exp(-i\omega t) \\ m \frac{d^2 \vec{r}}{dt^2} + \gamma \frac{d\vec{r}}{dt} &= -e \vec{E} \\ &\rightarrow -\omega^2 m \vec{r}_0 - i\omega \gamma m \vec{r}_0 = -e \vec{E}_0 \\ &\rightarrow \vec{r}_0 = \vec{E}_0 \frac{e}{m\omega^2 + i\omega\gamma m} \end{aligned}$$

The polarization field can be written as:

$$\vec{P} = -ner = -\frac{ne^2}{m\omega^2 + i\omega\gamma m} \vec{E} = -\epsilon_0 \frac{\omega_p^2}{\omega^2 + i\gamma\omega} \vec{E} = \chi \vec{E}$$

Drude model leads to a frequency dependent, complex dielectric constant describing the metal

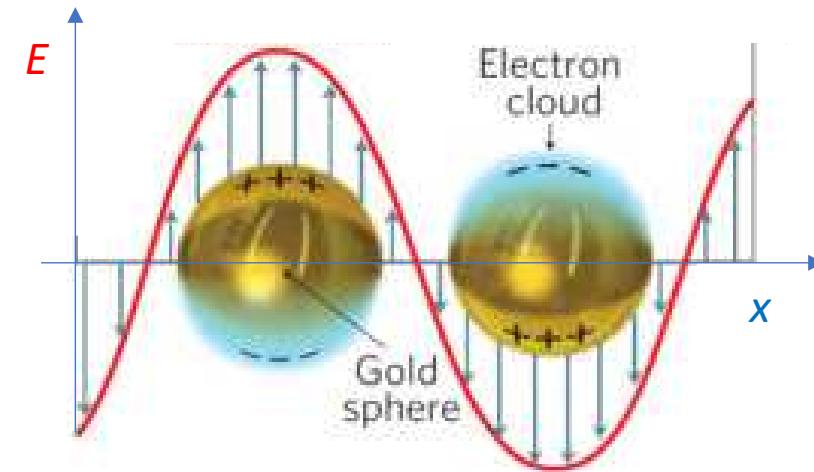
$$\chi = -\frac{\omega_p^2}{\omega^2 + i\gamma\omega} \rightarrow \epsilon_r = 1 + \chi = 1 - \frac{\omega_p^2}{\omega^2 + i\gamma\omega}$$



# LOCALIZED PLASMON RESONANCES

$$\alpha = 4\pi\epsilon_0 a^3 \frac{\epsilon_{\text{metal}} - \epsilon_{\text{dielectric}}}{\epsilon_{\text{metal}} + 2\epsilon_{\text{dielectric}}} \quad \text{OLE}$$

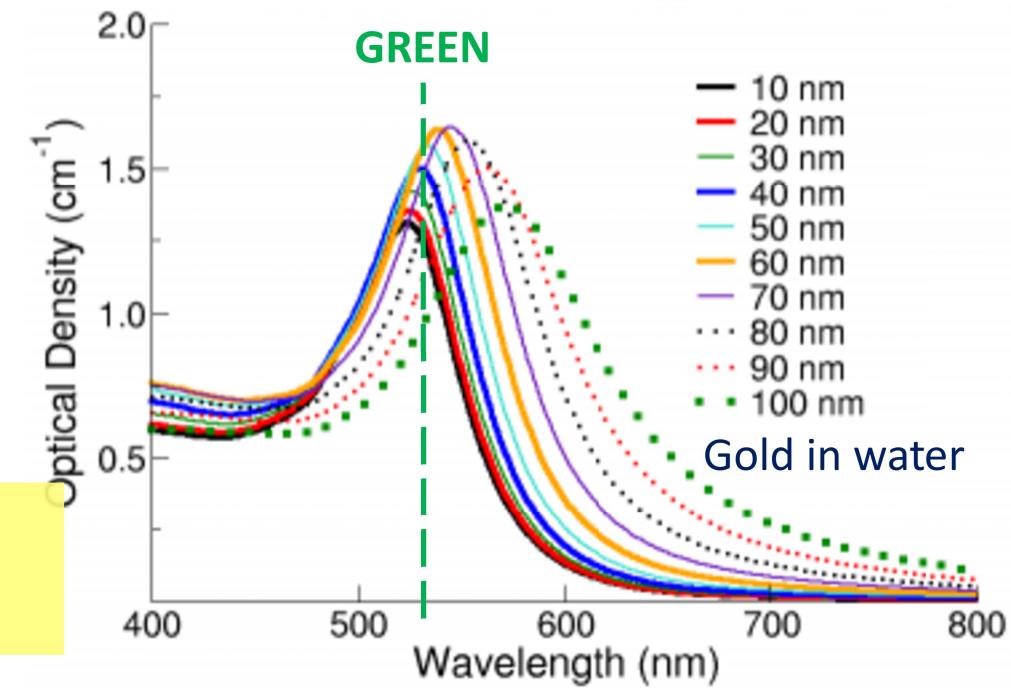
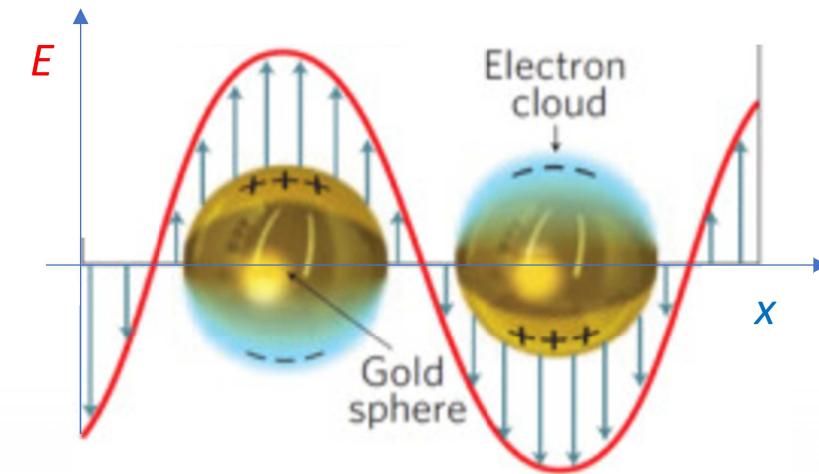
Lycurgus cup (IV century AD) @ British Museum



# LOCALIZED PLASMON RESONANCES

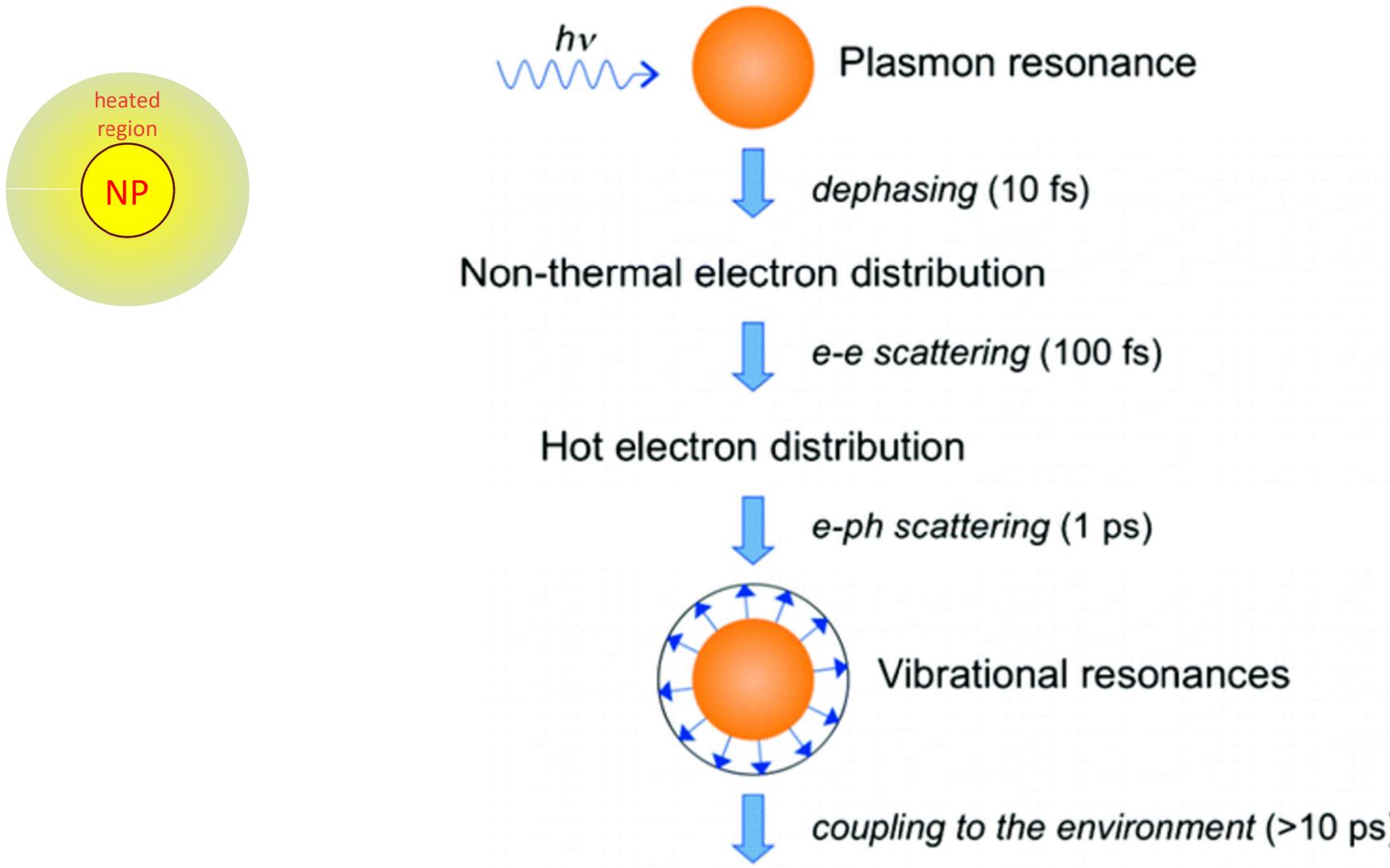
$$\alpha = 4\pi\epsilon_0 a^3 \frac{\epsilon_{\text{metal}} - \epsilon_{\text{dielectric}}}{\epsilon_{\text{metal}} + 2\epsilon_{\text{dielectric}}} \quad \text{OLE}$$

Lycurgus cup (IV century AD) @ British Museum



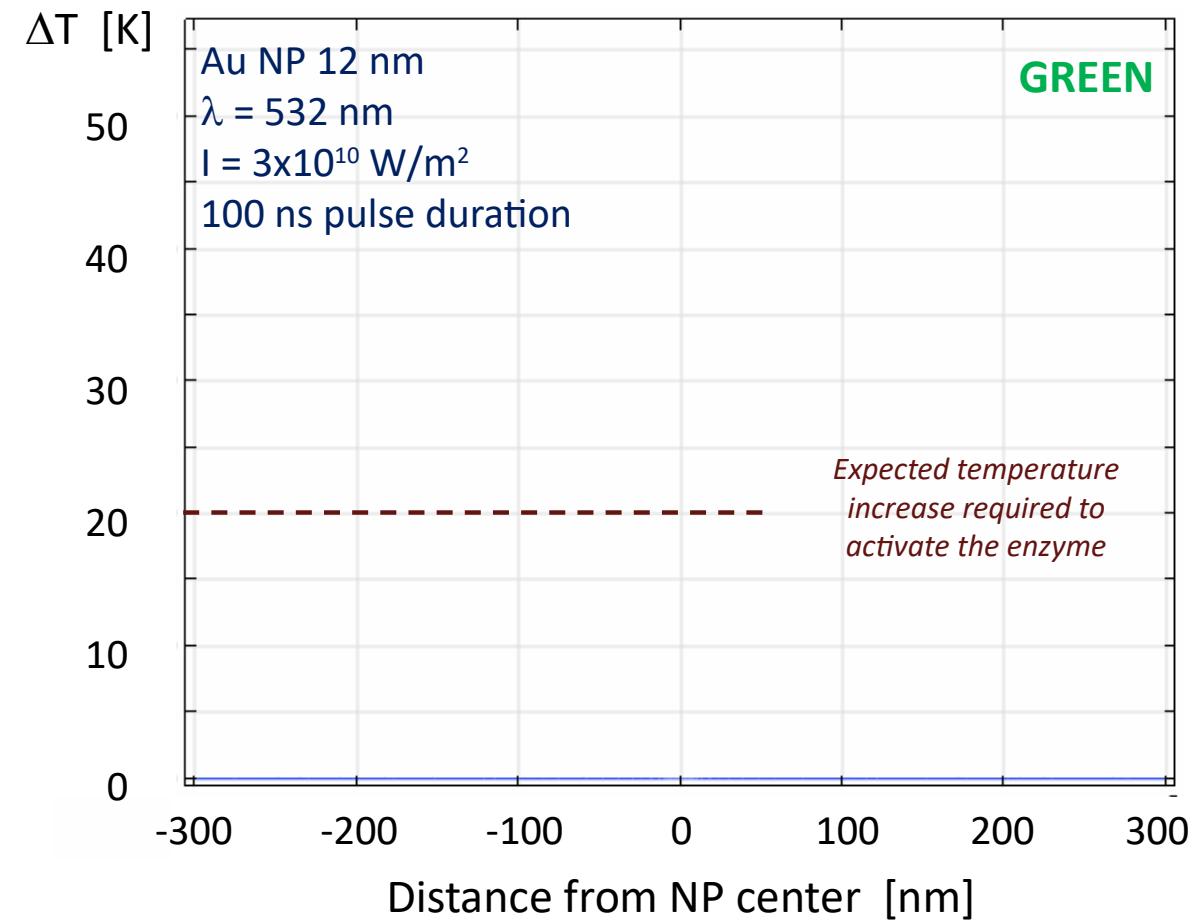
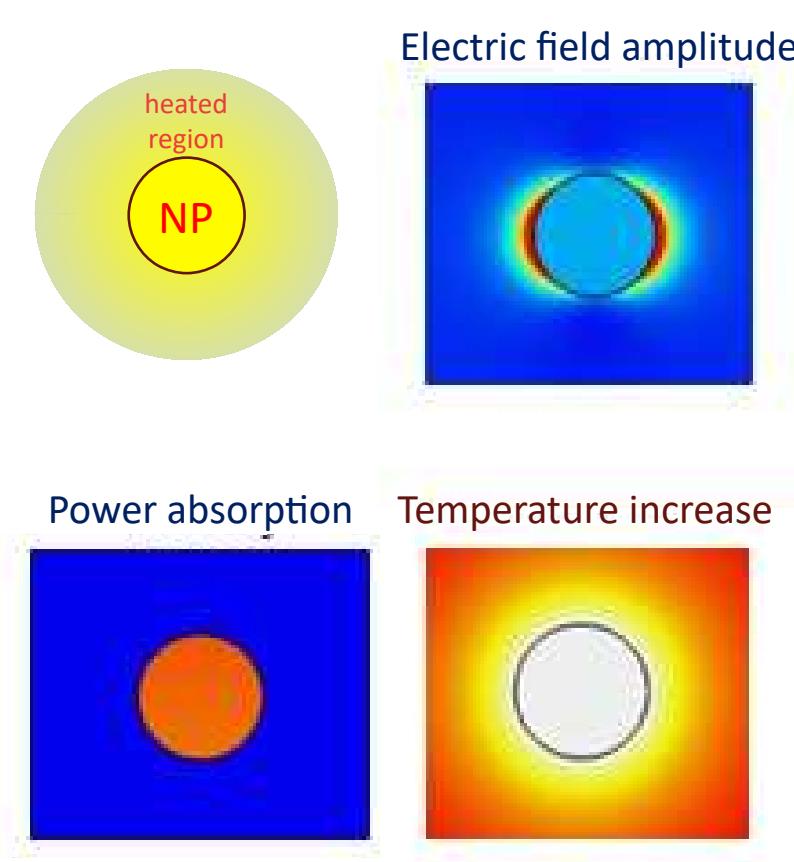
# SIMULATED HEATING

Multiphysics simulation including light absorption, temperature increase, heat propagation



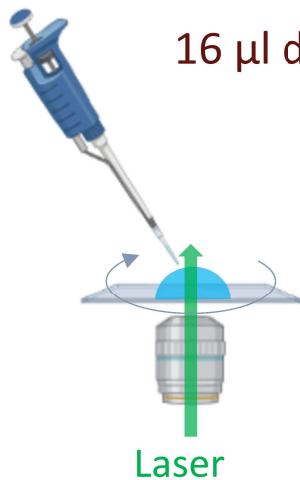
# SIMULATED HEATING

Multiphysics simulation including light absorption, temperature increase, heat propagation



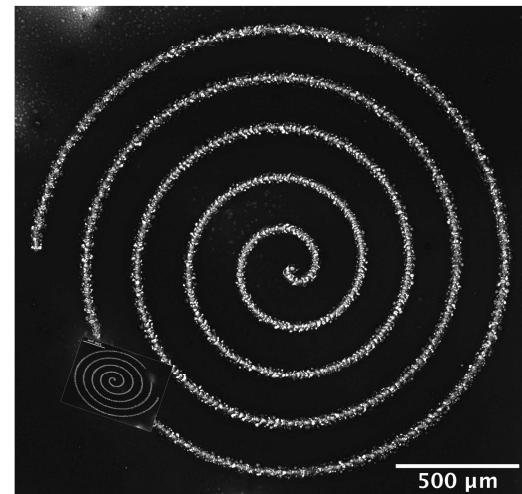
Experimentally, we should be in the proper range

# EXPERIMENT

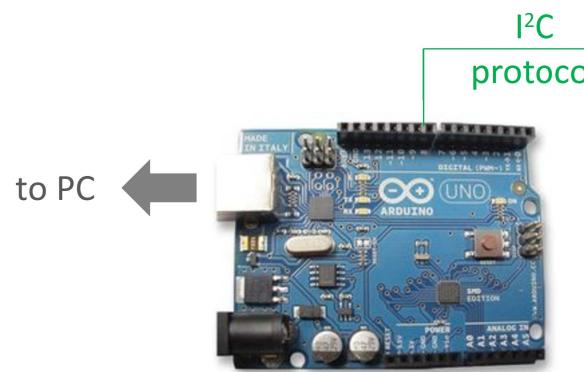
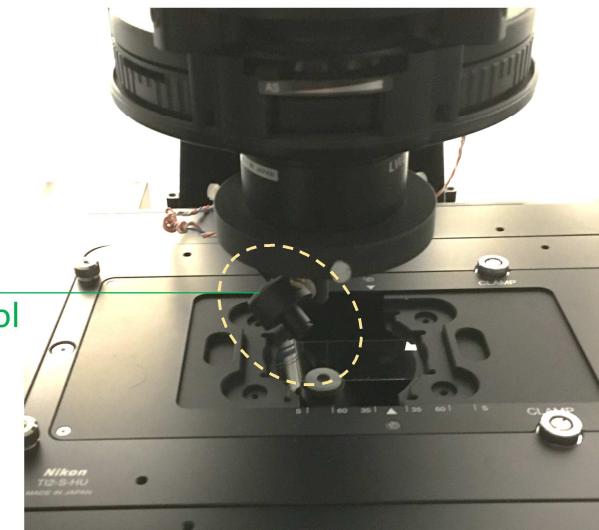


16  $\mu\text{l}$  drop (4.5 mm diameter) containing DNA sample in solution

Spiral-like trajectory to increase  
irradiated area (60 s irradiation)

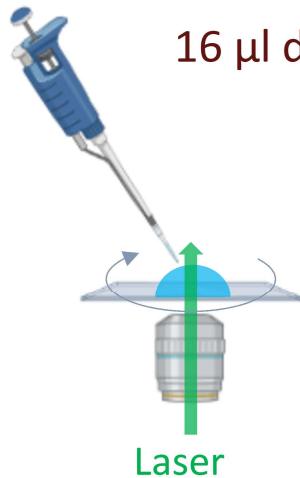


Real-time monitor of the bulk  
temperature

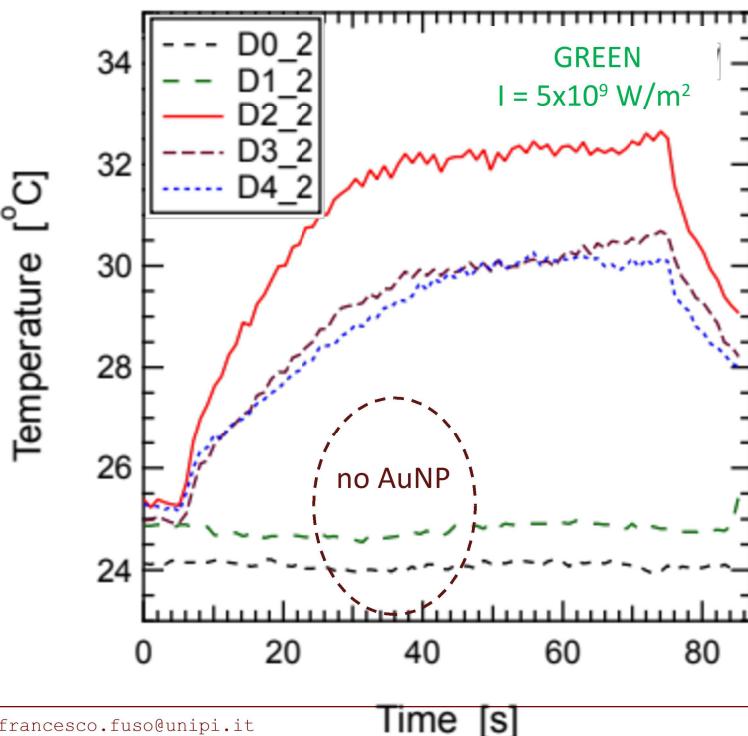
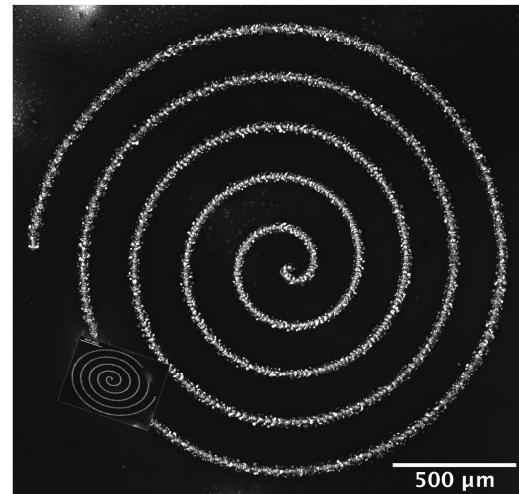


MLX90614 IR sensor  
10 bit – 0.02  $^{\circ}\text{C}$  sensitivity

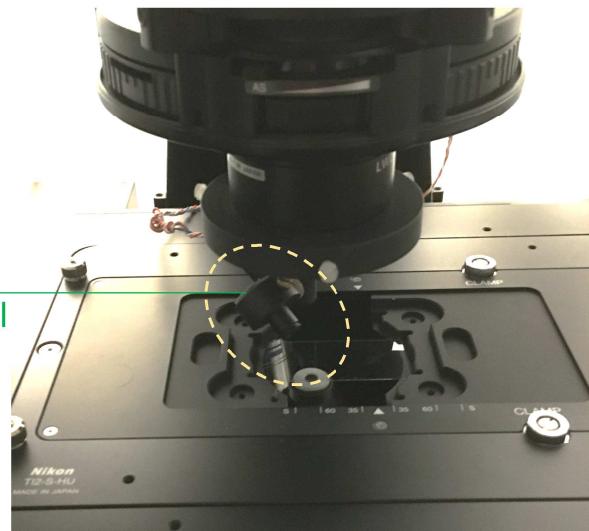
# EXPERIMENT



Spiral-like trajectory to increase irradiated area (60 s irradiation)



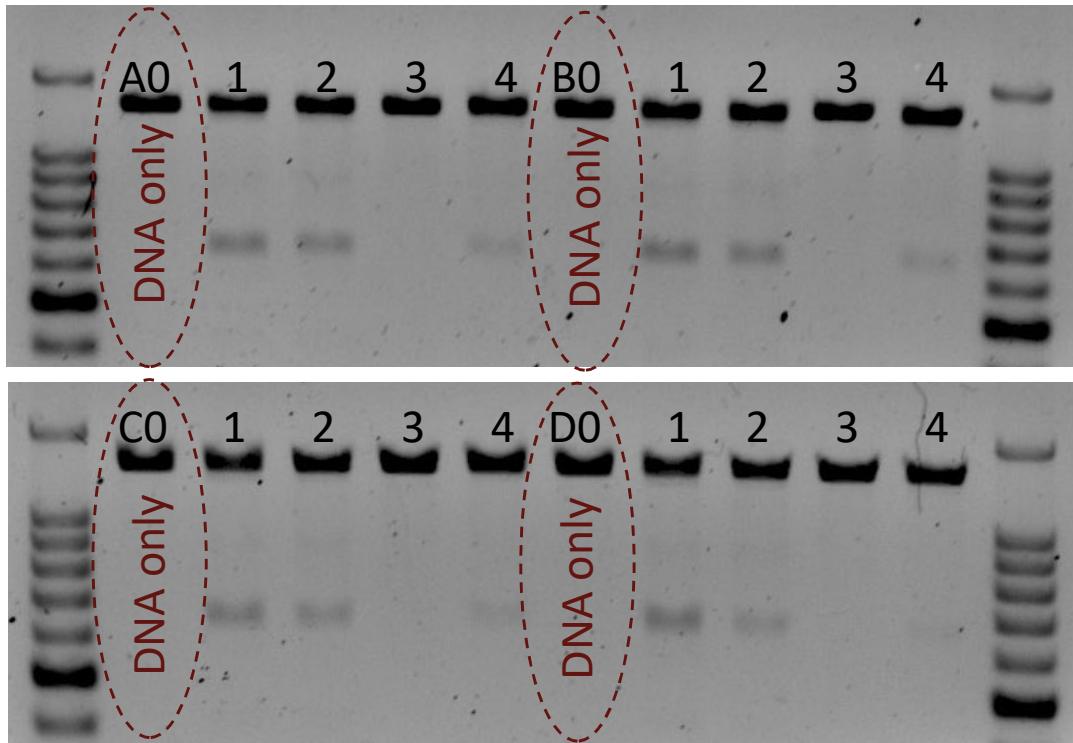
to PC



MLX90614 IR sensor  
10 bit – 0.02  $^{\circ}\text{C}$  sensitivity

# PRELIMINARY

Electrophoresis gel analysis



## Laser intensity

- A :  $5 \times 10^9 \text{ W/m}^2$
- B :  $2.5 \times 10^9 \text{ W/m}^2$
- C :  $1 \times 10^9 \text{ W/m}^2$
- D :  $0.6 \times 10^9 \text{ W/m}^2$

## Sample material legend

- 0 : DNA only
- 1 : DNA + RE
- 2 : DNA + AuNP + RE + dCas9
- 3 : DNA + AuNP + dCas9 (functionalized)
- 4 : DNA + AuNP + RE + dCas9 (functionalized)

Not properly working, yet!

# LOCAL TEMPERATURE INCREASE

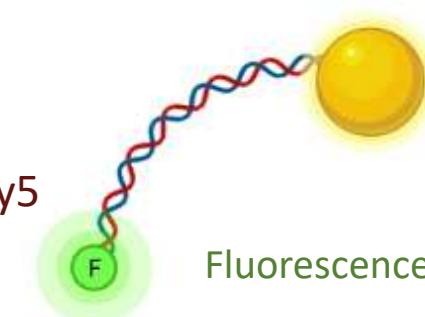
DNA hairpin functionalized  
with a fluorophore



A nanosized thermometer



Fluorescence quenched

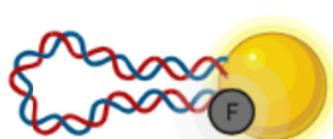


Cy5

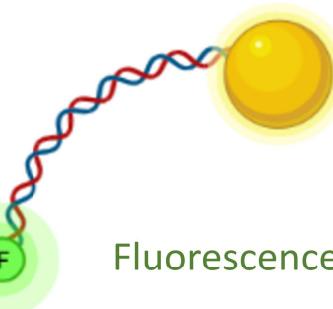
Fluorescence activated

# LOCAL TEMPERATURE INCREASE

DNA hairpin functionalized  
with a fluorophore

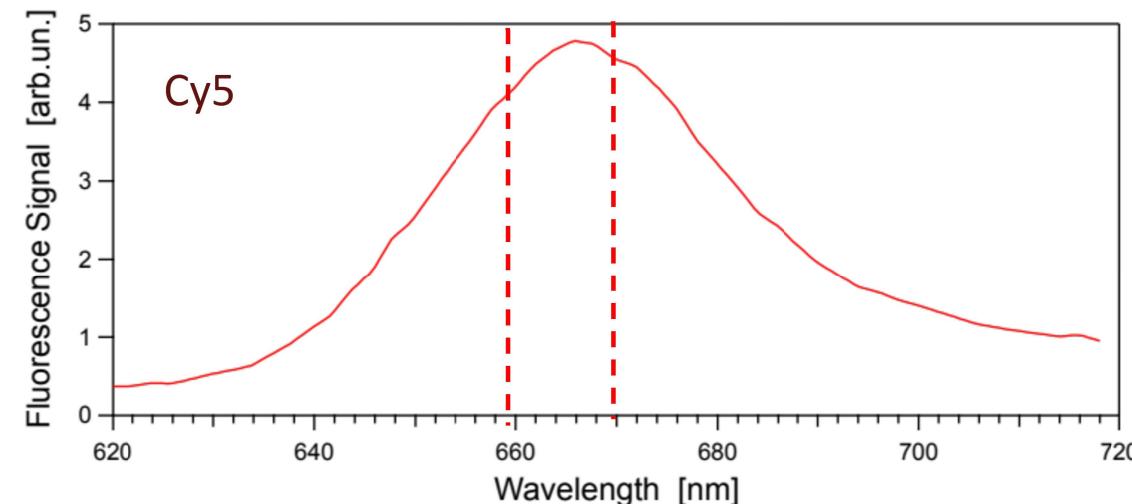
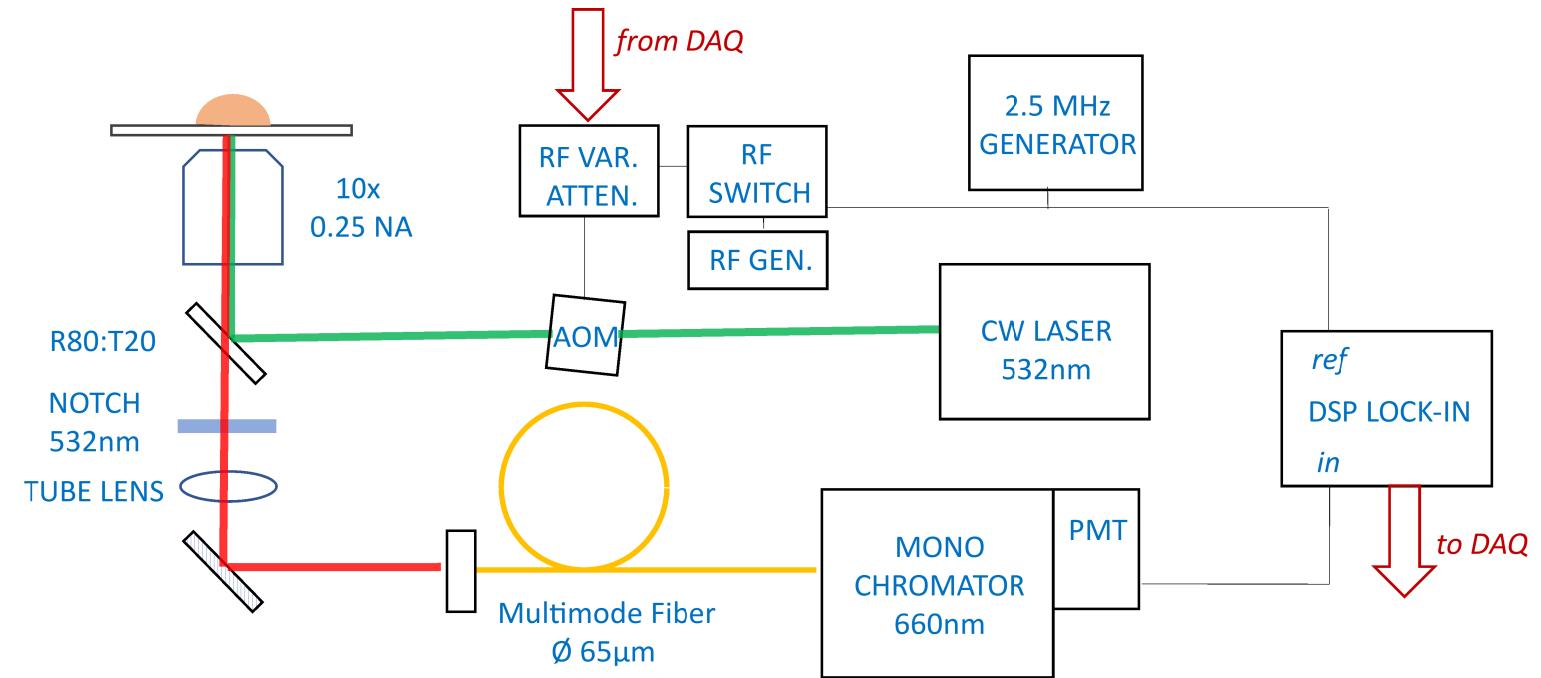
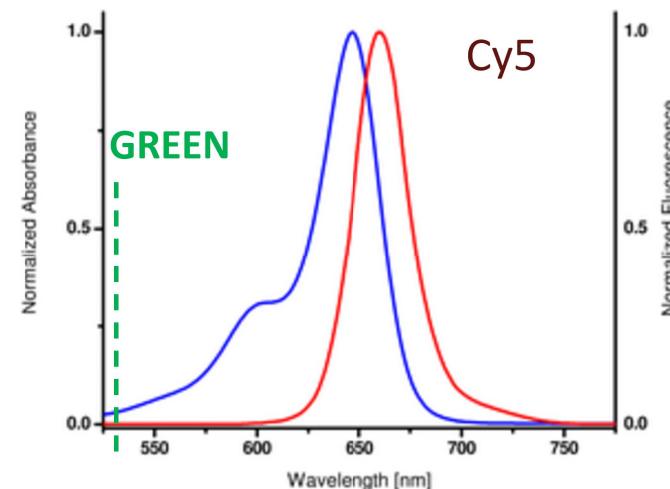


Fluorescence quenched

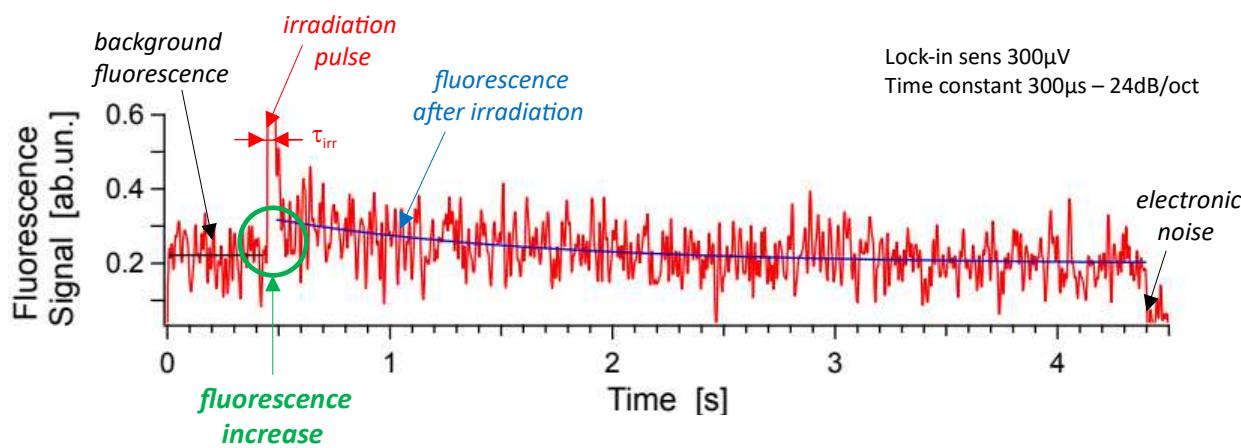
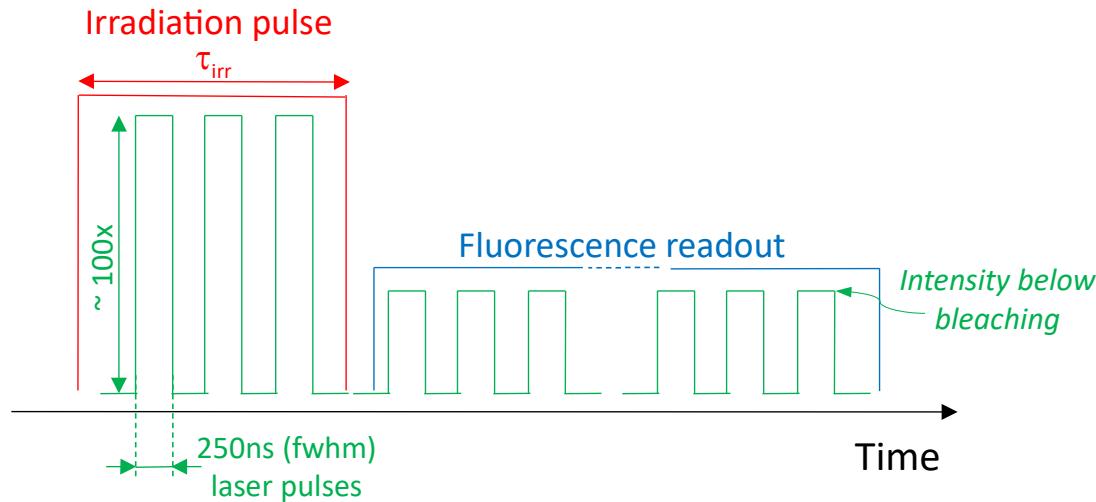


Cy5

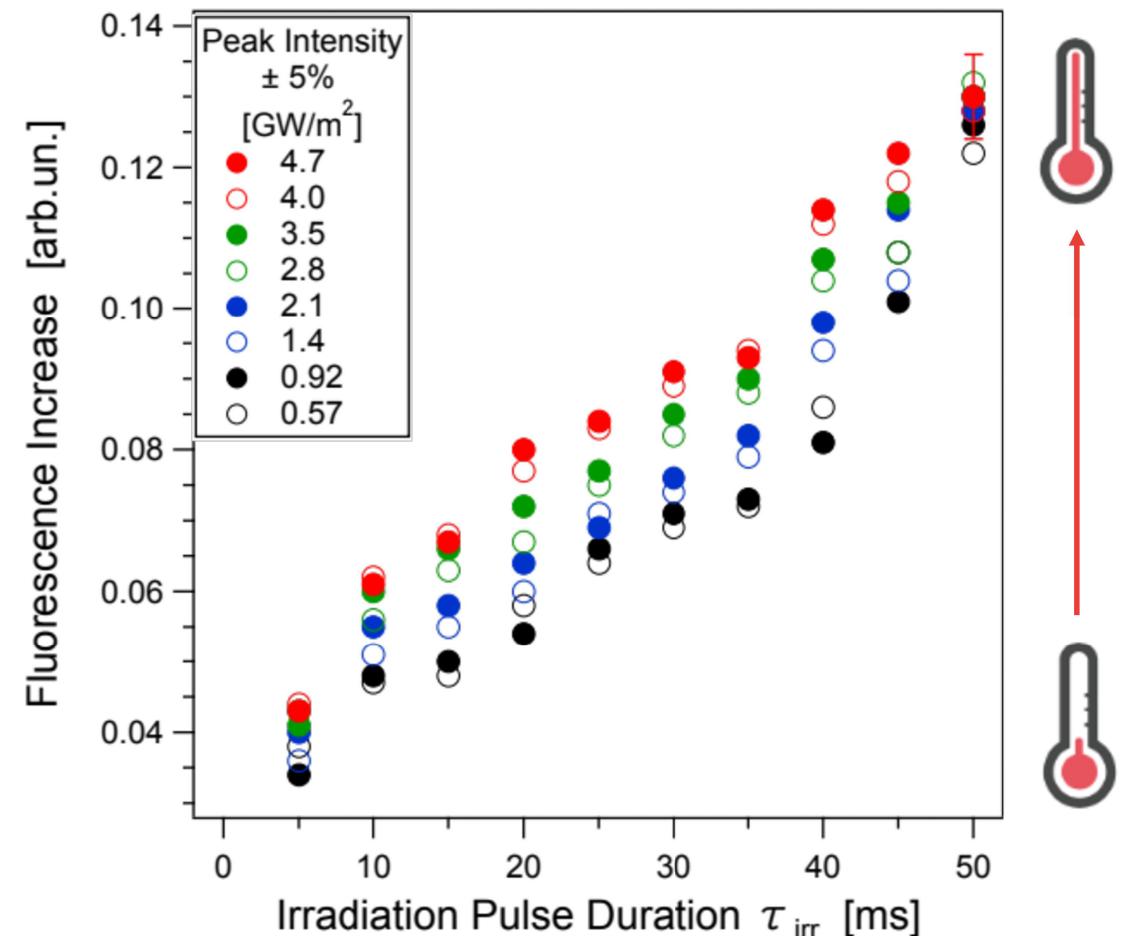
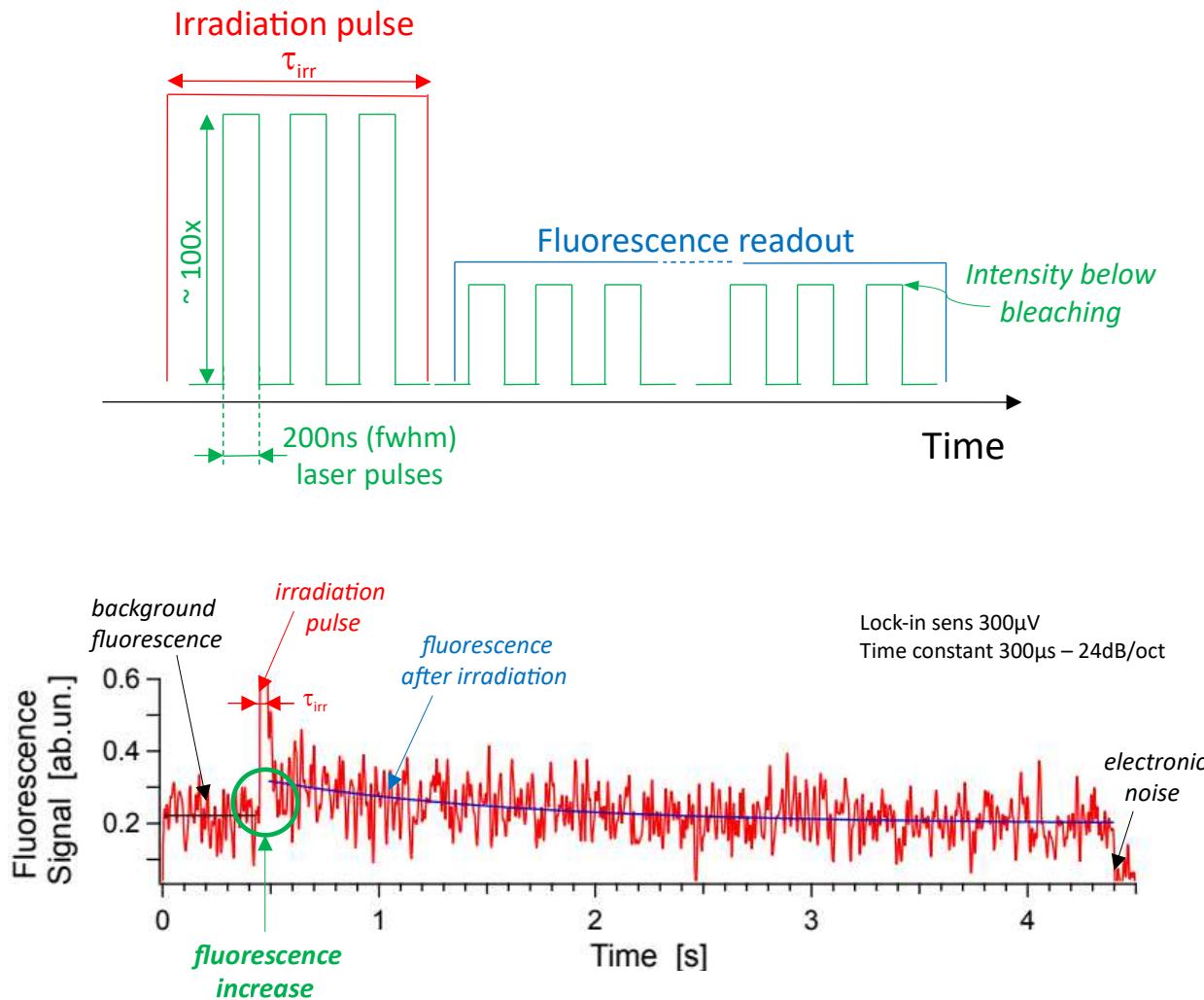
Fluorescence activated



# LOCAL TEMPERATURE INCREASE



# LOCAL TEMPERATURE INCREASE



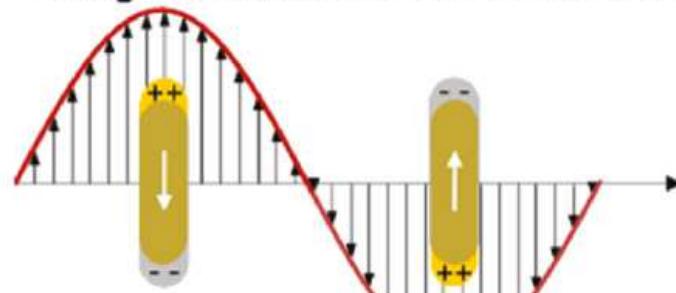
Temperature increase confirmed

## **3bis. PLASMONICS: ANOTHER STRATEGY**

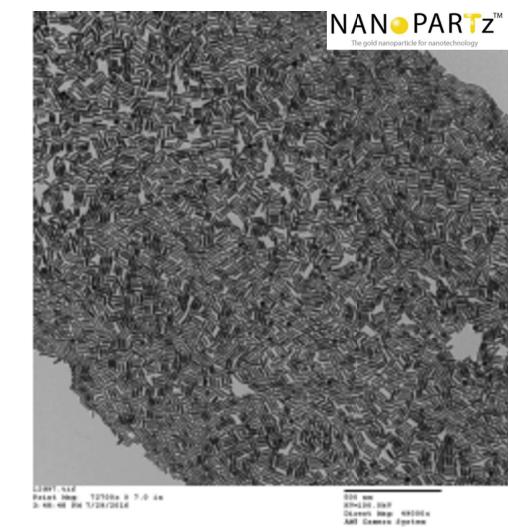
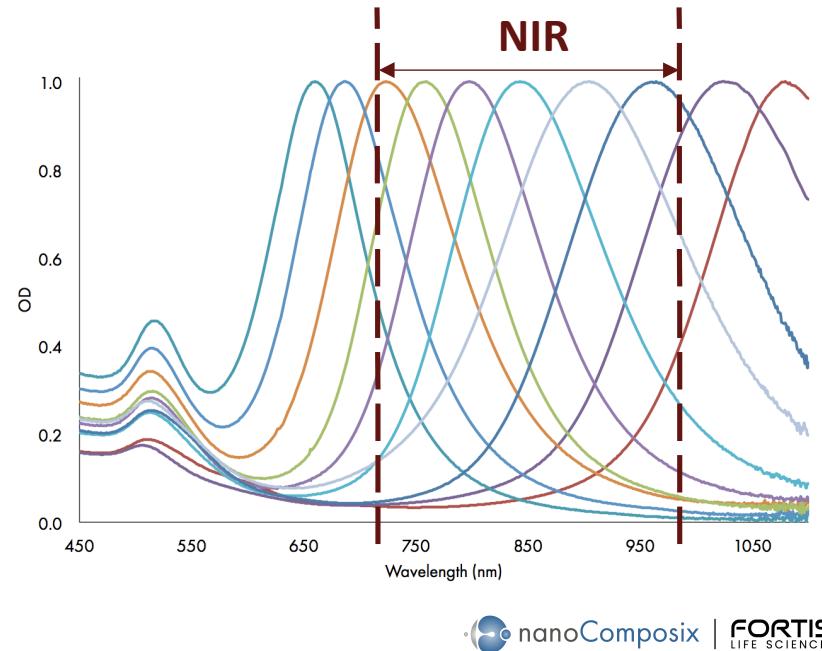
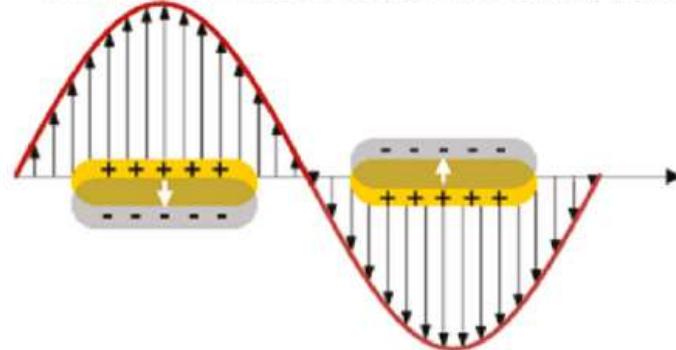
# Au NANORODS

Using nanorods rather than nanospheres leads to another, red-shifted, plasmon resonance band

Longitudinal electron oscillation



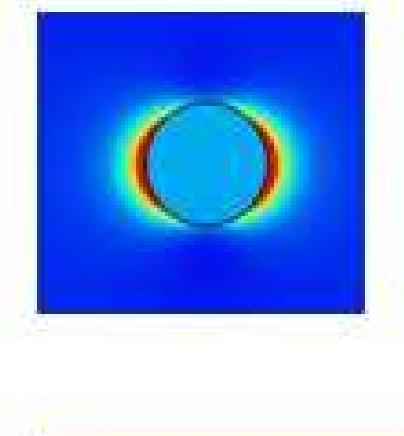
Transverse electron oscillation



<https://doi.org/10.1515/nanoph-2017-0064>

# DIMERS

Electric field amplitude

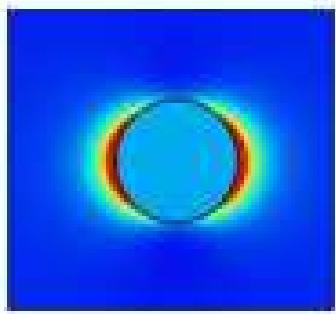


Field amplitude strongly enhanced close to the NP surface

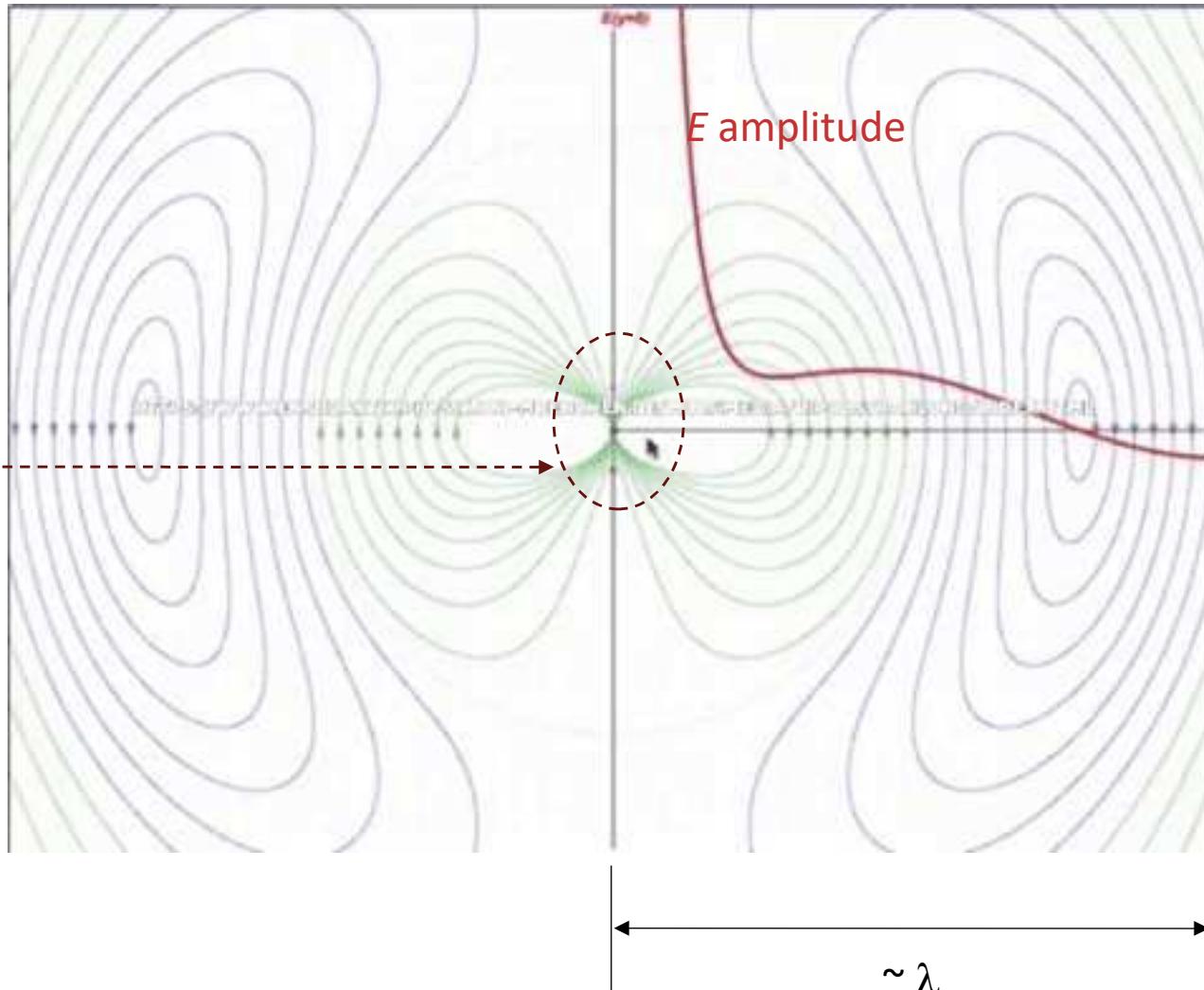
Near-field effect

# DIMERS

Electric field amplitude



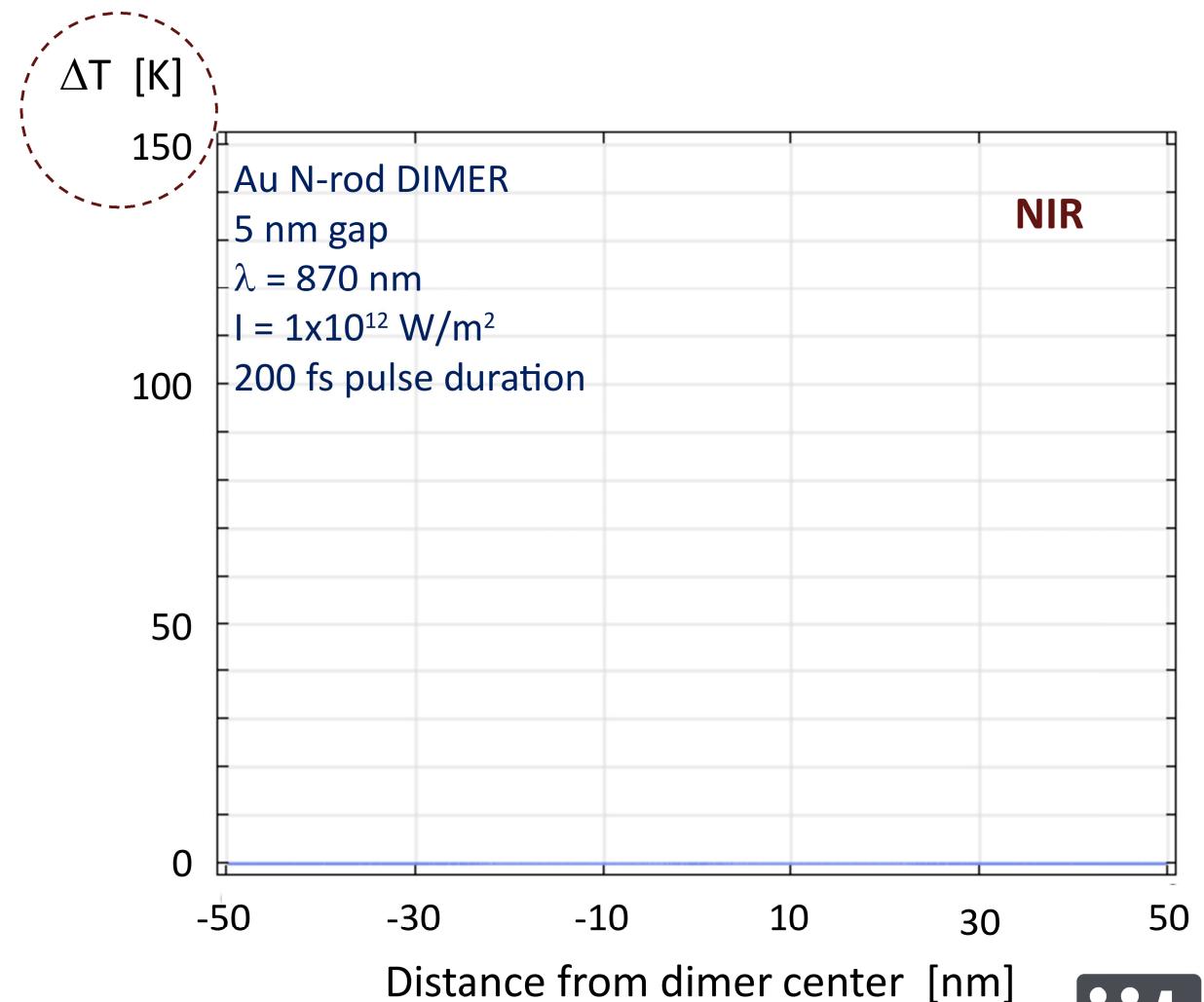
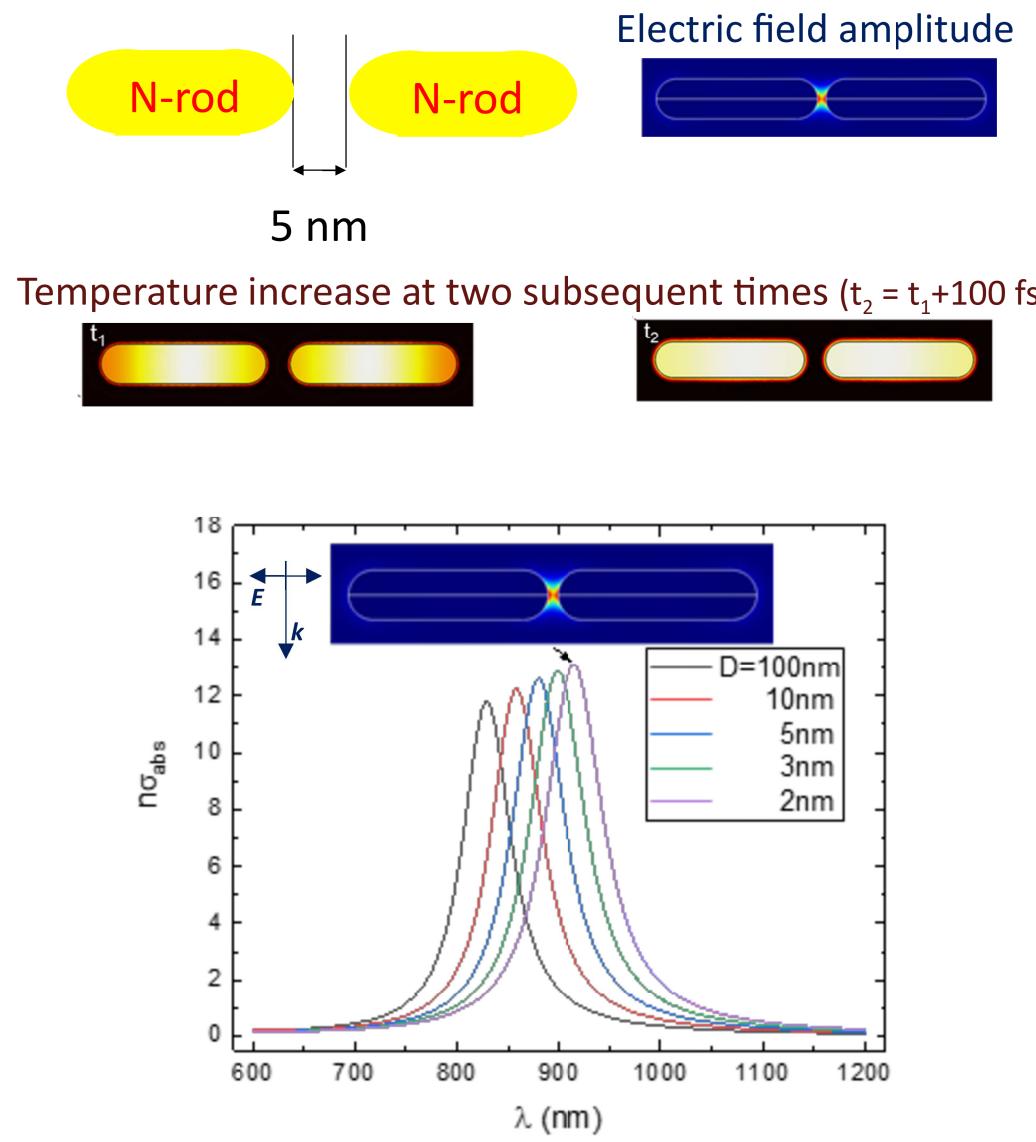
Oscillating dipole



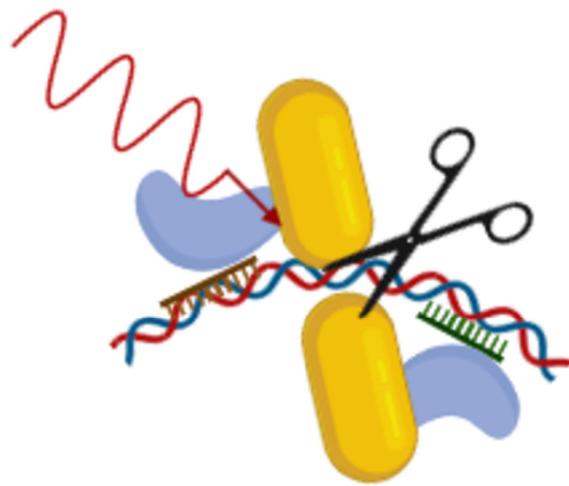
<http://iopscience.iop.org/article/10.1088/0143-0807/37/6/065206/meta>

francesco.fuso@unipi.it

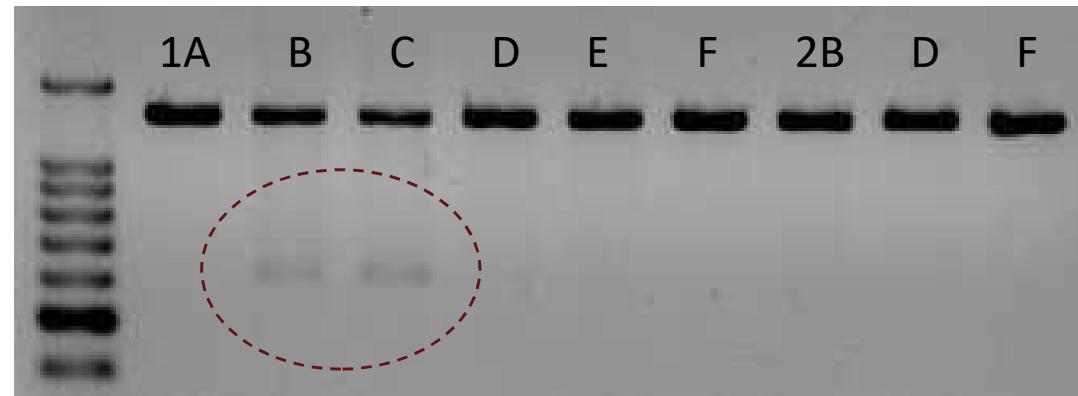
# DIMERS



# PRELIMINARY



Created in BioRender.com bio



## Laser intensity

1 :  $8 \times 10^{14} \text{ W/m}^2$

2 :  $4 \times 10^{14} \text{ W/m}^2$

## Sample material legend

A : only DNA

B : DNA + dimer @ $15 \times 10^{10}$

C : DNA + dimer @ $30 \times 10^{10}$

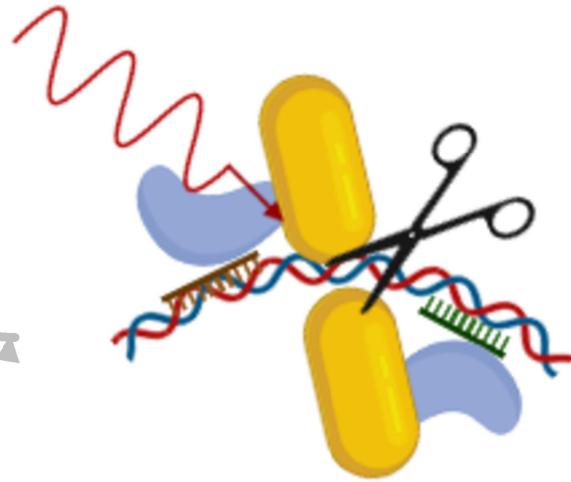
D : DNA + dimer @ $7.5 \times 10^{10}$

E : DNA + dimer @ $5 \times 10^{10}$

F : DNA + dimer @ $2.5 \times 10^{10}$

Maybe!

# CONCLUSIONS



- An experimental setup has been developed
- Simulations suggest feasibility
- Preliminary in-vitro tests in progress
- Still lot of work to do including other directions



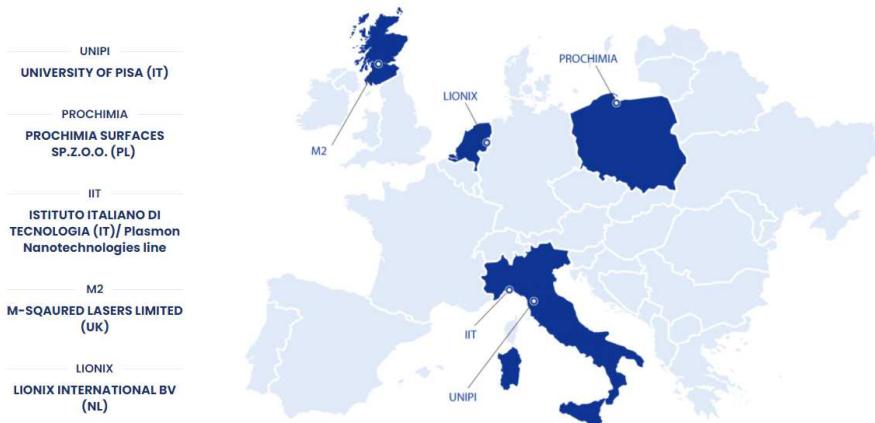
The presented project is part of the I-GENE project, which is funded by Horizon 2020, Call identifier: H2020-FETOPEN-2018-2020



I-

## Partners

I-GENE Consortium is composed of 5 partners (2 from Accademia and 3 from Industry), recognized for excellence in the field of gene therapy, nanomedicine, photonics and material science.



Vittoria Raffa



Tati Konstantidinou



Tiziana Schmidt



Francesco Tantussi



Marta D'Amora



James Bain



Arnoud Lindstaedt



ISTITUTO  
ITALIANO DI  
TECNOLOGIA



@I-Gene Project



@igeneproject